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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service (77)

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☒ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation MICHAEL E. HORN FAM FDN INC-IMA 10260442850		A Employer identification number 26-1375584
	Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number (see page 10 of the instructions) (270) 313-0245
	P.O. BOX 1944		
	City or town, state, and ZIP code OWENSBORO KY 42302		C If exemption application is pending, check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			D 1 Foreign organizations, check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 8,570,420	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	2. Foreign organizations meeting the 85% test check here and attach computation ☐	
		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	0	0		
	4 Dividends and interest from securities	225,775	230,940		
	5 a Gross rents		0		
	b Net rental income or (loss)	0			
	6 a Net gain or (loss) from sale of assets not on line 10	-645,817			
	b Gross sales price for all assets on line 6a	4,991,265			
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10 a Gross sales less returns and allowances	0			
b Less Cost of goods sold	0				
c Gross profit or (loss) (attach schedule)	0				
11 Other income (attach schedule)	-15,729	-15,407	0		
12 Total. Add lines 1 through 11	-435,771	215,533	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	52,000			52,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)	0	0	0	0
	b Accounting fees (attach schedule)	0	0	0	0
	c Other professional fees (attach schedule)	36,206	36,206	0	0
	17 Interest	9,250	9,250		
	18 Taxes (attach schedule) (see page 14 of the instructions)	16,783	3,030	0	3,978
	19 Depreciation (attach schedule) and depletion	0	0	0	
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	22,891	16,934	0	6,265
	24 Total operating and administrative expenses. Add lines 13 through 23	137,130	65,420	0	62,243
	25 Contributions, gifts, grants paid	331,993			331,993
26 Total expenses and disbursements. Add lines 24 and 25	469,123	65,420	0	394,236	
27 Subtract line 26 from line 12	-904,894				
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)		150,113			
c Adjusted net income (if negative, enter -0-)			0		

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

(HTA)

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ENVELOPE
POSTMARK DATE NOV 15 2010

SCANNED NOV 24 2010

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		13,580	24,350	24,350
	2	Savings and temporary cash investments		24,556	107,527	107,527
	3	Accounts receivable ▶	0			
		Less: allowance for doubtful accounts ▶	0	0	0	0
	4	Pledges receivable ▶	0			
		Less: allowance for doubtful accounts ▶	0	0	0	0
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)		0	0	0
	7	Other notes and loans receivable (attach schedule) ▶	0			
		Less: allowance for doubtful accounts ▶	0	0	0	0
	8	Inventories for sale or use			0	
	9	Prepaid expenses and deferred charges			0	
	10 a	Investments—U S and state government obligations (attach schedule)		0	0	0
	b	Investments—corporate stock (attach schedule)		0	0	0
	c	Investments—corporate bonds (attach schedule)		0	0	0
	11	Investments—land, buildings, and equipment basis ▶	0			
	Less: accumulated depreciation (attach schedule) ▶	0	0	0	0	
12	Investments—mortgage loans					
13	Investments—other (attach schedule)		8,643,361	7,692,180	7,752,792	
14	Land, buildings, and equipment basis ▶	0				
	Less: accumulated depreciation (attach schedule) ▶	0	0	0	0	
15	Other assets (describe ▶ MELLON OPTIMA L/S STRATEG)		750,000	750,000	685,751	
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		9,431,497	8,574,057	8,570,420	
Liabilities	17	Accounts payable and accrued expenses			0	
	18	Grants payable				
	19	Deferred revenue			0	
	20	Loans from officers, directors, trustees, and other disqualified persons		0	0	
	21	Mortgages and other notes payable (attach schedule)		0	0	
	22	Other liabilities (describe ▶)		0	0	
	23	Total liabilities (add lines 17 through 22)		0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒					
	27	Capital stock, trust principal, or current funds		9,431,497	8,574,057	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see page 17 of the instructions)		9,431,497	8,574,057	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)		9,431,497	8,574,057		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,431,497
2	Enter amount from Part I, line 27a	2	-904,894
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	64,518
4	Add lines 1, 2, and 3	4	8,591,121
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	17,064
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,574,057

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 0	0	0	0
b 0	0	0	0
c 0	0	0	0
d 0	0	0	0
e 0	0	0	0

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a 0	0	0	0
b 0	0	0	0
c 0	0	0	0
d 0	0	0	0
e 0	0	0	0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-645,817
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 }	3	-201,971

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	451,995	8,358,374	0.054077
2007			0.000000
2006			0.000000
2005			0.000000
2004			0.000000

2 Total of line 1, column (d)	2	0.054077
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.054077
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	7,753,751
5 Multiply line 4 by line 3	5	419,300
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,501
7 Add lines 5 and 6	7	420,801
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.	8	394,236

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1	
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1 3,002
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2 0
3	Add lines 1 and 2	3 3,002
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5 3,002
6	Credits/Payments	
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a 7,412
b	Exempt foreign organizations—tax withheld at source	6b
c	Tax paid with application for extension of time to file (Form 8868)	6c 0
d	Backup withholding erroneously withheld	6d
7	Total credits and payments. Add lines 6a through 6d	7 7,412
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8 0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9 0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 4,410
11	Enter the amount of line 10 to be Credited to 2010 estimated tax ☐ 4,410 Refunded ☐	11 0

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☐ KY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **► N/A**

14 The books are in care of **► BNY Mellon, N A** Telephone no **► (270) 313-0245**
 Located at **► P O Box 185 Pittsburgh PA** ZIP+4 **► 15230-0185**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year **► 15 N/A**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ► 20 , 20 , 20 , 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?**5b** N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**6b** N/A**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**7b** N/A**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement	00	0	0	0
	00	0	0	0
	00	0	0	0
	00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

☐ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0
		0
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments. See page 24 of the instructions	
3 NONE	0
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	7,737,842
b	Average of monthly cash balances	1b	133,986
c	Fair market value of all other assets (see page 24 of the instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	7,871,828
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,871,828
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	118,077
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,753,751
6	Minimum investment return. Enter 5% of line 5	6	387,688

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	387,688
2a	Tax on investment income for 2009 from Part VI, line 5	2a	3,002
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,002
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	384,686
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	384,686
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	384,686

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	394,236
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	394,236
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	394,236

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				384,686
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2009				
a From 2004	NONE			
b From 2005	NONE			
c From 2006	NONE			
d From 2007	NONE			
e From 2008	32,349			
f Total of lines 3a through e	32,349			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 394,236				
a Applied to 2008, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d Applied to 2009 distributable amount				384,686
e Remaining amount distributed out of corpus	9,550			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	41,899			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	41,899			
10 Analysis of line 9				
a Excess from 2005	0			
b Excess from 2006	0			
c Excess from 2007	0			
d Excess from 2008	32,349			
e Excess from 2009	9,550			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)**N/A****1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling**b** Check box to indicate whether the foundation is a private operating foundation described in section☐ 4942(j)(3) or ☐ 4942(j)(5)**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed**b** 85% of line 2a**c** Qualifying distributions from Part XII, line 4 for each year listed**d** Amounts included in line 2c not used directly for active conduct of exempt activities**e** Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c**3** Complete 3a, b, or c for the alternative test relied upon**a** "Assets" alternative test—enter**(1)** Value of all assets**(2)** Value of assets qualifying under section 4942(j)(3)(B)(i)**b** "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed**c** "Support" alternative test—enter**(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)**(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)**(3)** Largest amount of support from an exempt organization**(4)** Gross investment income

Tax year	Prior 3 years			(e) Total
(a) 2009	(b) 2008	(c) 2007	(d) 2006	
0				0
0	0	0	0	0
0				0
				0
0	0	0	0	0
				0
0				0
				0
				0
				0
				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see page 28 of the instructions.)**1 Information Regarding Foundation Managers:****a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d**a** The name, address, and telephone number of the person to whom applications should be addressed

KATHRYN CROWE, EXECUTIVE DIRECTOR P O BOX 1944 OWENSBORO KY 42302

b The form in which applications should be submitted and information and materials they should include

GRANT AND SCHOLARSHIP APPLICATIONS SPECIFIC INFORMATION TO INCLUDE IS STATED ON THE APPLICATION

c Any submission deadlines.

SCHOLARSHIP APPLICATION DEADLINE IS MARCH 1ST FOUNDATION GRANT DEADLINE IS OCTOBER 15TH

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NOT APPLICABLE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached Statement				
Total			▶ 3a	331,993
b Approved for future payment NONE				
Total			▶ 3b	0

MICHAEL E. HORN FAM FDN INC-IMA 10260442850

26-1375584

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

DIOCESE OF OWENSBORO

☐

Person

☒

Business

Street

600 LOCUST ST

City

OWENSBORO

State

KY

Zip code

42301

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

4,000

Name

OCS-SCHOOL HEALTH

☐

Person

☒

Business

Street

1524 W PARRISH AVE

City

OWENSBORO

State

KY

Zip code

42301

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

2,130

Name

OCHS PROJECT GRAD

☐

Person

☒

Business

Street

1524 W PARRISH AVE

City

OWENSBORO

State

KY

Zip code

42301

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

1,000

Name

OCES 4-6 ACAD TEAM

☐

Person

☒

Business

Street

1524 W PARRISH AVE.

City

OWENSBORO

State

KY

Zip code

42301

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

1,000

Name

ASSOC OF SM FOUNDATION

☐

Person

☒

Business

Street

City

State

Zip code

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

500

Name

KY PHIL INITITIVE, INC

☐

Person

☒

Business

Street

City

State

Zip code

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

1,000

MICHAEL E HORN FAM FDN INC-IMA 10260442850

26-1375584

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

ALMA RANDOLPH CHAR FN

☐ Person ☒ Business

Street

City

State

Zip code

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

1,000

Name

O COMM & TECH COLLEGE

☐ Person ☒ Business

Street

City

State

Zip code

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

10,000

Name

BRESCIA

☐ Person ☒ Business

Street

City

State

Zip code

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

8,000

Name

TRANSYLVANIA UNIV

☐ Person ☒ Business

Street

City

State

Zip code

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

2,000

Name

WESTERN KY UNIV

☐ Person ☒ Business

Street

City

State

Zip code

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

4,000

Name

GEORGETOWN COLLEGE

☐ Person ☒ Business

Street

City

State

Zip code

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

4,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year
Recipient(s) paid during the year

Name
 UNIV OF LOUISVILLE ☐ Person ☒ Business
 Street

City State Zip code Foreign Country
 Relationship Foundation Status
 NONE PUBLIC
 Purpose of grant/contribution Amount
 CHARITABLE-GENERAL 8,000

Name
 ASBURY COLLEGE ☐ Person ☒ Business
 Street

City State Zip code Foreign Country
 Relationship Foundation Status
 NONE PUBLIC
 Purpose of grant/contribution Amount
 CHARITABLE-GENERAL 2,000

Name
 DAV CO ED FOUNDATION ☐ Person ☒ Business
 Street

1501 BRECKENRIDGE ST
 City State Zip code Foreign Country
 OWENSBORO KY 42303
 Relationship Foundation Status
 NONE PUBLIC
 Purpose of grant/contribution Amount
 CHARITABLE-GENERAL 500

Name
 MURRAY ST UNIVERSITY ☐ Person ☒ Business
 Street

City State Zip code Foreign Country
 Relationship Foundation Status
 NONE PUBLIC
 Purpose of grant/contribution Amount
 CHARITABLE-GENERAL 2,000

Name
 UNIV OF KY ☐ Person ☒ Business
 Street

City State Zip code Foreign Country
 Relationship Foundation Status
 NONE PUBLIC
 Purpose of grant/contribution Amount
 CHARITABLE-GENERAL 6,000

Name
 MT ST JOSEPH URSULINE ☐ Person ☒ Business
 Street

City State Zip code Foreign Country
 Relationship Foundation Status
 NONE PUBLIC
 Purpose of grant/contribution Amount
 CHARITABLE-GENERAL 4,363

MICHAEL E HORN FAM FDN INC-IMA 10260442850

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

MARIAN SHRINE COMM

☐ Person ☒ Business

Street

City

State

Zip code

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

2,500

Name

TRINITY ED RADIO ASSOC

☐ Person ☒ Business

Street

City

State

Zip code

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

7,000

Name

OBORO MUSEUM & ARTS

☐ Person ☒ Business

Street

City

State

Zip code

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

15,000

Name

FAMILY Y

☐ Person ☒ Business

Street

900 KENTUCKY PARKWAY

City

OWENSBORO

State

KY

Zip code

42301

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

2,500

Name

TRINITY HIGH SCHOOL

☐ Person ☒ Business

Street

10510 MAIN CROSS ST

City

WHITESVILLE

State

KY

Zip code

42378

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

25,000

Name

RIGHT TO LIFE

☐ Person ☒ Business

Street

City

State

Zip code

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

1,500

MICHAEL E. HORN FAM FDN INC-IMA 10260442850

26-1375584

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year
Recipient(s) paid during the year

Name OCHS PROJECT GRAD				☐ Person ☒ Business	
Street 1524 W PARRISH AVE.					
City OWENSBORO		State KY	Zip code 42301	Foreign Country	
Relationship NONE		Foundation Status PUBLIC			
Purpose of grant/contribution CHARITABLE-GENERAL					Amount 1,000

Name OCHS				☐ Person ☒ Business	
Street 1524 W. PARRISH AVE					
City OWENSBORO		State KY	Zip code 42301	Foreign Country	
Relationship NONE		Foundation Status PUBLIC			
Purpose of grant/contribution CHARITABLE-GENERAL					Amount 10,000

Name MENTOR KIDS KY				☐ Person ☒ Business	
Street					
City		State	Zip code	Foreign Country	
Relationship NONE		Foundation Status PUBLIC			
Purpose of grant/contribution CHARITABLE-GENERAL					Amount 13,500

Name MAX MONTESSORI ACADEMY				☐ Person ☒ Business	
Street					
City		State	Zip code	Foreign Country	
Relationship NONE		Foundation Status PUBLIC			
Purpose of grant/contribution CHARITABLE-GENERAL					Amount 17,500

Name CARENET				☐ Person ☒ Business	
Street					
City		State	Zip code	Foreign Country	
Relationship NONE		Foundation Status PUBLIC			
Purpose of grant/contribution CHARITABLE-GENERAL					Amount 10,000

Name FND DAV CO PUB SCHOOLS				☐ Person ☒ Business	
Street					
City		State	Zip code	Foreign Country	
Relationship NONE		Foundation Status PUBLIC			
Purpose of grant/contribution CHARITABLE-GENERAL					Amount 5,000

MICHAEL E HORN FAM FDN INC-IMA 10260442850

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

PLEASANT VLY CHURCH

☐ Person ☒ Business

Street

800 PLEASANT VALLEY ROAD

City

OWENSBORO

State

KY

Zip code

42303

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

50,000

Name

CLIFF HAGAN BOYS & GIRLS

☐ Person ☒ Business

Street

3415 BUCKLAND SQUARE

City

OWENSBORO

State

KY

Zip code

42301

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

35,000

Name

KY WESLEYAN COLLEGE

☐ Person ☒ Business

Street

3000 FREDERICA STREET

City

OWENSBORO

State

KY

Zip code

42301

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

5,000

Name

ST MARY ELEM. SCHOOL

☐ Person ☒ Business

Street

10521 FRANKLIN STREET

City

WHITESVILLE

State

KY

Zip code

42378

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

7,500

Name

OCCS

☐ Person ☒ Business

Street

1524 W PARRISH AVE

City

OWENSBORO

State

KY

Zip code

42301

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

10,000

Name

OCCS-SCHOOL HEALTH

☐ Person ☒ Business

Street

1524 W PARRISH AVE

City

OWENSBORO

State

KY

Zip code

42301

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

2,000

MICHAEL E. HORN FAM FDN INC-IMA 10260442850

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

INTER BLUEGRASS MUSEUM

☐ Person ☒ Business

Street

207 E 2ND STREET

City

OWENSBORO

State

KY

Zip code

42303

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

20,000

Name

ST BERNARD PREP SCHOOL

☐ Person ☒ Business

Street

City

State

Zip code

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

25,000

Name

ALMA RANDOLPH CHAR FN

☐ Person ☒ Business

Street

City

State

Zip code

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

2,500

Name

ST JOSEPH & PAUL

☐ Person ☒ Business

Street

City

State

Zip code

Foreign Country

Relationship

NONE

Foundation Status

PUBLIC

Purpose of grant/contribution

CHARITABLE-GENERAL

Amount

3,000

Name

☐ Person ☐ Business

Street

City

State

Zip code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

0

Name

☐ Person ☐ Business

Street

City

State

Zip code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

0

[illegible]

TAX MARKET VALUE DETAIL REPORT

ACCOUNT NO	ACCOUNT NAME	CUSIP ID	ASSET SHORT NAME	SHARES	MARKET VAL	FEDERAL TAX COST
10260442850	MICHAEL E. HORN	G1151C101	ACCENTURE PLC-CL A	660	27,390.00	23,763.31
10260442850	MICHAEL E. HORN	H89128104	TYCO INTERNATIONAL LTD	1,190.00	42,459.20	30,589.41
10260442850	MICHAEL E. HORN	00110AAD6	AEP TEXAS CENTRAL 5 17%	25,000.00	26,745.75	22,250.00
10260442850	MICHAEL E. HORN	00184X105	AOL INC	59	1,373.52	946.26
10260442850	MICHAEL E. HORN	00206RAR3	AT&T INC 5 8%	15,000.00	15,989.40	16,076.55
10260442850	MICHAEL E. HORN	00206R102	AT & T INC	1,750.00	49,052.50	63,449.71
10260442850	MICHAEL E. HORN	002451AA0	AXA FINL INC 7 75%	20,000.00	20,756.20	21,708.40
10260442850	MICHAEL E. HORN	00817YAD0	AETNA INC 5 75%	15,000.00	15,730.65	15,688.80
10260442850	MICHAEL E. HORN	018581108	ALLIANCE DATA SYS CORP	430	27,773.70	25,364.51
10260442850	MICHAEL E. HORN	03076C106	AMERIPRISE FINANCIAL INC	500	19,410.00	9,583.90
10260442850	MICHAEL E. HORN	031162100	AMGEN INC	640	36,204.80	34,034.49
10260442850	MICHAEL E. HORN	037411105	APACHE CORP	520	53,648.40	52,314.72
10260442850	MICHAEL E. HORN	037833100	APPLE INC	411	86,610.85	66,247.17
10260442850	MICHAEL E. HORN	052800109	AUTOLIV INC	610	26,449.60	16,691.44
10260442850	MICHAEL E. HORN	05569M509	BNY MELLON MID CAP STK FD CL	22,768.10	217,207.67	222,790.70
10260442850	MICHAEL E. HORN	05569M616	BNY MELLON MONEY MARKET FUND	107,527.22	107,527.22	107,527.22
10260442850	MICHAEL E. HORN	05569M855	BNY MELLON EMERGING MARKETS	71,961.23	733,284.95	811,193.08
10260442850	MICHAEL E. HORN	05569M871	BNY MELLON INTERNATIONAL FD	30,929.47	322,594.46	359,285.56
10260442850	MICHAEL E. HORN	060505CL6	BANK OF AMERICA CORP 5 75%	25,000.00	25,163.00	25,532.75
10260442850	MICHAEL E. HORN	060505104	BANK OF AMERICA CORP	3,510.00	52,860.60	44,901.65
10260442850	MICHAEL E. HORN	06739FGF2	BARCLAYS BANK PLC 5.0%	25,000.00	25,545.25	24,967.75
10260442850	MICHAEL E. HORN	09247XAC5	BLACKROCK INC 6 25%	15,000.00	16,128.30	15,521.70
10260442850	MICHAEL E. HORN	09247X101	BLACKROCK INC	97	22,523.40	14,582.79
10260442850	MICHAEL E. HORN	125509109	CIGNA CORP	530	18,693.10	13,062.06
10260442850	MICHAEL E. HORN	125565AD3	CITEC 2006-VT2 A4 5.05%	11,147.80	11,298.63	11,315.03
10260442850	MICHAEL E. HORN	12626PAE3	CRH AMERICA INC 5 3%	15,000.00	15,632.70	14,573.25
10260442850	MICHAEL E. HORN	126650100	CVS CAREMARK CORP	1,850.00	59,588.50	72,470.41
10260442850	MICHAEL E. HORN	13063A7D0	CALIFORNIA ST 7.3%	30,000.00	28,352.40	30,246.90
10260442850	MICHAEL E. HORN	171232101	CHUBB CORP	530	26,065.40	27,527.87
10260442850	MICHAEL E. HORN	17275RAC6	CISCO SYSTEMS INC 5.5%	10,000.00	10,979.00	10,319.90
10260442850	MICHAEL E. HORN	17275R102	CISCO SYSTEMS INC	2,830.00	67,750.20	60,519.33
10260442850	MICHAEL E. HORN	172967EM9	CITIGROUP INC 6 125%	10,000.00	10,079.80	10,289.30
10260442850	MICHAEL E. HORN	191219104	COCA COLA ENTERPRISES INC	1,110.00	23,532.00	24,567.30
10260442850	MICHAEL E. HORN	20030NAL5	COMCAST CORP 5 9%	15,000.00	16,153.80	15,008.70
10260442850	MICHAEL E. HORN	20825C104	CONOCOPHILLIPS	770	39,323.90	55,931.98
10260442850	MICHAEL E. HORN	231021106	CUMMINS INC	580	26,598.80	19,784.39
10260442850	MICHAEL E. HORN	235851102	DANAHER CORP	250	18,800.00	17,599.97
10260442850	MICHAEL E. HORN	244217BG9	DEERE JOHN CAP CORP NT 7 0%	15,000.00	16,640.10	16,776.60
10260442850	MICHAEL E. HORN	25244SAD3	DIAGEO FINANCE BV 5 5%	15,000.00	16,157.25	15,765.00
10260442850	MICHAEL E. HORN	261980759	DREYFUS PREM LT TRM HI YLD-I	19,645.28	127,497.88	123,175.92

10260442850	MICHAEL E HORN	261986582	DREYFUS PR GL R/E SEC FD CL	26,113.67	169,477.73	170,000.00
10260442850	MICHAEL E HORN	26200Q204	DREYFUS SMALL CAP STOCK INDE	4,451.03	74,020.78	75,000.00
10260442850	MICHAEL E HORN	26203E604	DREYFUS NEWTON INTERN EQTY-I	19,787.27	329,458.11	327,417.78
10260442850	MICHAEL E HORN	26203E851	DREYFUS THE BOSTON CO S/C VA	4,306.21	83,411.40	81,731.25
10260442850	MICHAEL E HORN	263534109	DU PONT (E I) DE NEMOURS	440	14,814.80	11,718.30
10260442850	MICHAEL E HORN	268648102	E M C CORP MASS	2,370.00	41,403.90	34,532.80
10260442850	MICHAEL E HORN	30161N101	EXELON CORP	650	31,765.50	49,037.49
10260442850	MICHAEL E HORN	30231G102	EXXON MOBIL CORP	1,200.00	81,828.00	99,825.20
10260442850	MICHAEL E HORN	3128L1KB5	FHLMC GOLD A69290 6.0%	11,231.65	11,930.15	11,475.57
10260442850	MICHAEL E HORN	3128M1BF5	FHLMC GOLD G11938 4.5%	5,348.39	5,546.23	5,263.24
10260442850	MICHAEL E HORN	3128M6HA9	FHLMC GOLD G04425 6.0%	16,344.34	17,360.79	16,921.51
10260442850	MICHAEL E HORN	3128M7N28	FHLMC GOLD G05509 6.0%	17,489.90	18,577.60	18,188.13
10260442850	MICHAEL E HORN	3128M7Q90	FHLMC GOLD G05580 6.5% 4/1/	31,373.22	33,611.70	33,451.69
10260442850	MICHAEL E HORN	3128P7KF9	FHLMC GOLD C91194 5.0%	21,769.41	22,499.56	21,317.91
10260442850	MICHAEL E HORN	3128X8WF5	FED HOME LOAN MTGE COR 3.0%	30,000.00	29,985.60	30,000.00
10260442850	MICHAEL E HORN	3128X8XS6	FED HOME LOAN MTG CORP 2.0%	15,000.00	15,099.90	14,977.50
10260442850	MICHAEL E HORN	3128X9GK0	FED HOME LOAN MTG CORP 2.0%	20,000.00	19,971.60	20,060.00
10260442850	MICHAEL E HORN	3128X9KL3	FED HOME LN MTG CORP 2.0%	20,000.00	19,920.40	20,025.00
10260442850	MICHAEL E HORN	31315PMD8	FED AGRI MTGE CORP 2.1%	15,000.00	15,192.75	15,000.00
10260442850	MICHAEL E HORN	31331GL80	FED FARM CREDIT BANK 3.0%	25,000.00	25,078.25	24,967.26
10260442850	MICHAEL E HORN	31359MSL8	FEDERAL NATL MTG AS 4.375%	20,000.00	21,443.80	21,649.00
10260442850	MICHAEL E HORN	31359MU3	FEDERAL NATL MTG ASSN 5.25%	35,000.00	35,306.25	36,130.85
10260442850	MICHAEL E HORN	31398AB35	FED NATL MTGE AS 2.625%	15,000.00	14,714.10	15,000.00
10260442850	MICHAEL E HORN	31398AYY2	FED NATL MTGE ASSN 3.0%	15,000.00	15,192.15	14,959.50
10260442850	MICHAEL E HORN	31398AZF2	FED NATL MTG ASSN 2.0%	38,215.51	19,962.60	20,060.00
10260442850	MICHAEL E HORN	31403DWH8	FNMA POOL # 745948 6.5% 10	9,482.09	41,010.21	40,804.02
10260442850	MICHAEL E HORN	31407JDR0	FNMA POOL # 831812 5.99965%	15,292.77	10,071.78	9,606.54
10260442850	MICHAEL E HORN	31410FYK5	FNMA POOL # 888214 5.0%	7,848.22	16,092.73	15,297.54
10260442850	MICHAEL E HORN	31410F2L8	FNMA POOL # 888279 4.5%	60,733.74	7,885.81	7,461.07
10260442850	MICHAEL E HORN	31410GTY9	FNMA POOL # 888967 6.0%	16,033.14	64,858.78	61,635.26
10260442850	MICHAEL E HORN	31410G7C1	FNMA POOL # 889291 5.0%	69,199.91	16,781.73	16,631.88
10260442850	MICHAEL E HORN	31410KMR2	FNMA POOL # 889668 6.0%	28,066.01	73,362.70	69,189.09
10260442850	MICHAEL E HORN	31410WQC5	FNMA POOL # 899651 6.5%	15,632.37	30,087.60	28,925.52
10260442850	MICHAEL E HORN	31411X5D3	FNMA POOL # 918044 5.94%	14,232.92	16,638.78	15,444.78
10260442850	MICHAEL E HORN	31412PEY3	FNMA POOL # 930851 4.0%	43,929.72	14,336.82	14,495.33
10260442850	MICHAEL E HORN	31414CGL6	FNMA POOL # 930924 4.5% 4/	87,953.50	43,893.26	44,231.73
10260442850	MICHAEL E HORN	31414CRW0	FNMA POOL # 962003 5.5%	29,066.27	92,168.23	87,755.88
10260442850	MICHAEL E HORN	31414HKV8	FNMA POOL # 962301 4.5%	61,999.25	29,959.77	29,915.54
10260442850	MICHAEL E HORN	31416RRQ8	FNMA POOL # 966608 5.5%	33,385.48	64,970.25	62,047.68
10260442850	MICHAEL E HORN	31416SKB6	FNMA POOL # AA7694 4.5%	24,341.84	34,380.37	34,715.68
10260442850	MICHAEL E HORN	31417KVN4	FNMA POOL # AA8389 4.5%	14,629.80	24,321.64	23,725.67
10260442850	MICHAEL E HORN	31417SAB6	FNMA POOL # AC1520 4% 9/1/	9,921.97	14,736.60	14,849.25
10260442850	MICHAEL E HORN	31417JD0	FNMA POOL # AC5401 5.0%	24,956.51	10,192.24	10,261.49
10260442850	MICHAEL E HORN	31428X106	FNMA POOL # MA0259 5.0%	300	25,636.33	25,812.44
			FEDEX CORP		25,035.00	18,854.74

10260442850	MICHAEL E HORN	320517105	FIRST HORIZON NATIONAL CORP	1,578 00	21,142 04	16,703 74
10260442850	MICHAEL E HORN	354613101	FRANKLIN RES INC	200	21,070.00	10,223.87
10260442850	MICHAEL E HORN	36241KKS6	GNMA POOL # 782105 5 0%	26,614 79	27,451 29	26,370 84
10260442850	MICHAEL E HORN	36292DRD6	GNMA POOL # 645884 5.5%	10,116.02	10,630 22	10,201 37
10260442850	MICHAEL E HORN	36296A4Y7	GNMA POOL # 685839 5 0%	51,097 68	52,644.92	50,287.66
10260442850	MICHAEL E HORN	36296DER5	GNMA POOL # 687844 6.5% 8/	14,343.01	15,261 39	14,717 27
10260442850	MICHAEL E HORN	369604103	GENERAL ELECTRIC COMPANY	2,780.00	42,061.40	90,089.50
10260442850	MICHAEL E HORN	36962G3H5	GENERAL ELEC CAP CRP 5.625%	15,000.00	15,453.45	14,064.60
10260442850	MICHAEL E HORN	370334BH6	GENERAL MILLS INC 5.65%	10,000 00	10,605.50	10,155.85
10260442850	MICHAEL E HORN	38141GFD1	GOLDMAN SACHS GRP 6.75%	15,000.00	15,418.35	14,192.10
10260442850	MICHAEL E HORN	38259P508	GOOGLE INC - CLA	115	71,297.70	51,218 13
10260442850	MICHAEL E HORN	40429CCS9	HSBC FINANCE CORP 5 0%	15,000 00	15,495.00	14,622.45
10260442850	MICHAEL E HORN	406216101	HALLIBURTON CO	750	22,567.50	24,894.29
10260442850	MICHAEL E HORN	41283ABY4	HDMOT 2005-3 A2 4.41%	2,820.17	2,862.78	2,834.26
10260442850	MICHAEL E HORN	41283UAD7	HDMOT 2007-1 A4 5.21%	15,000.00	15,586.05	15,217.97
10260442850	MICHAEL E HORN	42809H107	HESS CORP	790	47,795.00	55,912.42
10260442850	MICHAEL E HORN	428236103	HEWLETT PACKARD CO	1,130.00	58,206.30	50,152.72
10260442850	MICHAEL E HORN	437076102	HOME DEPOT INC	1,980.00	57,281.40	46,291.66
10260442850	MICHAEL E HORN	43812RAD0	HAROT 2006-3 A4 5 11%	17,959 40	18,205.26	18,315.79
10260442850	MICHAEL E HORN	438516106	HONEYWELL INTL INC	750	29,400 00	40,759.99
10260442850	MICHAEL E HORN	441060100	HOSPIRA INC	640	32,640 00	25,409.50
10260442850	MICHAEL E HORN	44329FAD0	HAT 2007-1 A4 5.33%	15,000.00	15,610.50	15,435.94
10260442850	MICHAEL E HORN	44903108	HUMAN GENOME SCIENCES INC	780	23,852.40	22,102.85
10260442850	MICHAEL E HORN	459200AM3	I B M 7.000%	15,000.00	17,196.00	16,650.30
10260442850	MICHAEL E HORN	459200101	INTERNATIONAL BUSINESS MACHI	296	38,746.40	30,506.12
10260442850	MICHAEL E HORN	461202AA1	INTUIT INC 5.4%	15,000.00	15,936.00	15,376.05
10260442850	MICHAEL E HORN	46428R107	ISHARES S&P GSCI COMMODITY-I	3,400 00	108,188.00	101,666 12
10260442850	MICHAEL E HORN	46625HGT1	JP MORGAN CHASE & CO 5 375%	5,000.00	5,410.65	5,210 40
10260442850	MICHAEL E HORN	46625H100	JPMORGAN CHASE & CO	1,545.00	64,380.15	53,256 53
10260442850	MICHAEL E HORN	48203R104	JUNIPER NETWORKS INC	580	15,468 60	8,695 14
10260442850	MICHAEL E HORN	48242W106	KBR INC	850	16,150.00	13,798.61
10260442850	MICHAEL E HORN	50177AAB5	LBCMT 2007-C3 A2 5.84%	35,000 00	35,919.80	34,332.81
10260442850	MICHAEL E HORN	532716107	LIMITED BRANDS INC	1,620 00	31,168 80	29,049 59
10260442850	MICHAEL E HORN	58155Q103	MCKESSON CORPORATION	400	25,000.00	20,387.93
10260442850	MICHAEL E HORN	585055106	MEDTRONIC INC	590	25,948 20	27,755.13
10260442850	MICHAEL E HORN	58933Y105	MERCK & CO INC	1,542.00	56,344.68	34,317.18
10260442850	MICHAEL E HORN	59156RAT5	MET LIFE GLOB FNDG 7.717%	15,000.00	17,627.10	15,358.40
10260442850	MICHAEL E HORN	594918104	MICROSOFT CORPORATION	1,450 00	44,196 00	39,979.98
10260442850	MICHAEL E HORN	617446448	MORGAN STANLEY	990	29,304 00	26,452.50
10260442850	MICHAEL E HORN	61748AAE6	MORGAN STANLEY GLOB 4 75%	20,000.00	20,115.00	19,187.80
10260442850	MICHAEL E HORN	629491AA9	NYSE EURONEXT 4.8%	10,000.00	10,491 30	10,578.20
10260442850	MICHAEL E HORN	652478AY4	NEWS AMERICA HOLDINGS 7 6%	15,000 00	17,360 25	17,019.15
10260442850	MICHAEL E HORN	65248E203	NEWS CORP INC	3,240 00	51,580.80	61,070 75
10260442850	MICHAEL E HORN	652482BN9	NEWS AMERICA INC 6.15%	10,000.00	9,948.90	9,372.60
10260442850	MICHAEL E HORN	655844108	NORFOLK SOUTHERN CORP	590	30,927.80	29,308.36

10260442850	MICHAEL E HORN	674599105	OCCIDENTAL PETROLEUM CORP	330	26,845.50	18,323.16
10260442850	MICHAEL E HORN	681919106	OMNICOM GROUP INC	660	25,839.00	29,437.74
10260442850	MICHAEL E HORN	6832348D3	ONTARIO (PROVINCE OF) 4.0%	20,000.00	19,150.80	19,965.60
10260442850	MICHAEL E HORN	68389XAC9	ORACLE CORP 5.75%	20,000.00	21,623.80	19,990.60
10260442850	MICHAEL E HORN	68389X105	ORACLE CORP	1,780.00	43,663.40	34,968.88
10260442850	MICHAEL E HORN	693476AV5	PNC FUNDING CORP 4.5%	10,000.00	10,065.60	10,044.60
10260442850	MICHAEL E HORN	701094104	PARKER HANNIFIN CORP	420	22,629.60	16,342.99
10260442850	MICHAEL E HORN	712223106	DREYFUS MIDCAP INDEX	8,911.28	201,395.02	196,574.89
10260442850	MICHAEL E HORN	713448108	PEPSICO INC	760	46,208.00	53,008.53
10260442850	MICHAEL E HORN	717081103	PFIZER INC	4,260.00	77,489.40	75,963.15
10260442850	MICHAEL E HORN	718172109	PHILIP MORRIS INTERNAT-W/I	900	43,371.00	44,532.98
10260442850	MICHAEL E HORN	74005P104	PRAXAIR INC	300	24,093.00	23,703.28
10260442850	MICHAEL E HORN	742718109	PROCTER & GAMBLE CO	620	37,590.60	41,283.93
10260442850	MICHAEL E HORN	74432QBJ3	PRUDENTIAL FINL INC 4.75%	10,000.00	10,140.20	9,976.70
10260442850	MICHAEL E HORN	755111507	RAYTHEON CO	340	17,516.80	17,572.17
10260442850	MICHAEL E HORN	77531QAD0	ROGERS WIRELESS INC 6.375%	10,000.00	11,071.90	10,545.20
10260442850	MICHAEL E HORN	78387GAK9	SBC COMMUNICATIONS 5.875%	10,000.00	10,917.00	10,644.30
10260442850	MICHAEL E HORN	808513105	SCHWAB CHARLES CORP NEW	870	16,373.40	13,242.54
10260442850	MICHAEL E HORN	816851109	SEMPRA ENERGY	870	48,702.60	47,228.29
10260442850	MICHAEL E HORN	828807BS5	SIMON PROPERTY GRP LP 5.75%	20,000.00	21,010.00	20,229.60
10260442850	MICHAEL E HORN	842400EU3	SOUTHERN CAL EDISON 4.65%	10,000.00	10,573.30	9,947.00
10260442850	MICHAEL E HORN	86271F677	DREYFUS SELECT MANAGERS S/C	4,483.28	74,243.15	75,139.62
10260442850	MICHAEL E HORN	86271F818	DREYFUS PREM STRAT GLBL ST-I	12,844.31	161,196.18	156,093.29
10260442850	MICHAEL E HORN	87236YAB4	TD AMERITRADE HLDG CO 4.15%	10,000.00	9,859.30	10,037.90
10260442850	MICHAEL E HORN	87612E106	TARGET CORP	1,060.00	51,272.20	42,888.83
10260442850	MICHAEL E HORN	87938WAJ2	TELEFONICA EMISIONES 4.949%	10,000.00	10,689.40	10,000.00
10260442850	MICHAEL E HORN	883203101	TEXTRON INC	1,300.00	24,453.00	38,190.48
10260442850	MICHAEL E HORN	883556102	THERMO FISHER SCIENTIFIC INC	850	40,536.50	40,143.66
10260442850	MICHAEL E HORN	887317303	TIME WARNER INC	700	20,398.00	13,954.08
10260442850	MICHAEL E HORN	88732JAS7	TIME WARNER CABLE INC 8.25%	20,000.00	23,821.80	22,052.10
10260442850	MICHAEL E HORN	904767704	UNILEVER PLC ADR	780	24,882.00	24,040.33
10260442850	MICHAEL E HORN	912810EQ7	U S TREASURY NOTE 6.25%	5,000.00	5,971.90	5,977.15
10260442850	MICHAEL E HORN	912810PS1	US TREAS-CPI INFLAT 2.375%	32,157.90	33,841.04	33,782.97
10260442850	MICHAEL E HORN	912828AP5	UNITED STATES TREASURY 4.0%	125,000.00	133,525.00	134,261.54
10260442850	MICHAEL E HORN	912828BH2	UNITED STATES TREAS 4.25%	125,000.00	134,882.50	134,549.93
10260442850	MICHAEL E HORN	912828BR0	UNITED STATES TREAS 4.25%	60,000.00	64,818.60	65,473.65
10260442850	MICHAEL E HORN	912828EN6	U S TREASURY NOTE 4.5%	15,000.00	16,243.35	16,379.55
10260442850	MICHAEL E HORN	912828ES5	U S TREASURY NOTE 4.25%	75,000.00	77,812.50	79,968.22
10260442850	MICHAEL E HORN	912828FF2	U S TREASURY NOTE 5.125%	25,000.00	27,882.75	27,220.71
10260442850	MICHAEL E HORN	912828FS4	U S TREASURY NOTE 4.625%	55,000.00	58,321.45	59,034.96
10260442850	MICHAEL E HORN	912828GD6	U S INFL IX BD 2.375%	32,157.90	34,733.10	34,528.97
10260442850	MICHAEL E HORN	912828GK0	U S TREASURY NOTE 4.625%	105,000.00	112,539.00	115,840.79
10260442850	MICHAEL E HORN	912828HW3	U S TSY INFL IDX BD 0.625%	15,340.95	15,599.91	14,624.12
10260442850	MICHAEL E HORN	912828JE1	U S TREASURY INFL IN 1.375%	35,086.10	35,157.32	34,371.77
10260442850	MICHAEL E HORN	912828KG4	UNITED STATES TREAS 1.375%	25,000.00	25,056.75	25,167.08

10260442850	MICHAEL E HORN	912828LR9	U S TREASURY NOTE	1 375%	30,000.00	29,831.40	29,941.51
10260442850	MICHAEL E HORN	91912E105	VALE SA-SP ADR		1,170.00	33,965.10	35,117.73
10260442850	MICHAEL E HORN	92343VAL8	VERIZON COMMUNICATIONS 5.5%		20,000.00	20,871.40	18,717.05
10260442850	MICHAEL E. HORN	92532F100	VERTEX PHARMACEUTICALS INC		830	35,565.50	21,963.13
10260442850	MICHAEL E HORN	92826C839	VISA INC-CLASS A SHRS		230	20,115.80	10,091.91
10260442850	MICHAEL E HORN	949746101	WELLS FARGO & COMPANY		1,030.00	27,799.70	31,105.99
10260442850	MICHAEL E HORN	963320106	WHIRLPOOL CORP		280	22,584.80	18,261.50
10260442850	MICHAEL E HORN	98385X106	XTO ENERGY INC		990	46,064.70	53,438.88
10260442850	MICHAEL E. HORN	9934AA008	MELLON OPTIMA L/S STRATEGY F		685,751.08	685,751.08	750,000.00
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TOTAL FOR ACCT#10260442850					8,546,070.14 8,549,706.84		
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Outside Checking 24,350.05 24,350.05

8,570,420.19 8,574,050.89

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

		Amount		Totals											
		498													
		Long Term CG Distributions		Short Term CG Distributions											

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Totals														
Securities														
Other sales														
Gross Sales														
4,991,265														
0														
Cost, Other Basis and Expenses														
5,637,082														
0														
Net Gain or Loss														
-645,817														
0														
Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price		Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation
50	X	FHLMC GOLD POOL G11938 4	3128M1BF5			11/18/2008		12/15/2009	138	135				
51	X	FHLMC GOLD G11938 4 5%	3128M1BF5			11/18/2008		12/31/2009	159	156				
52	X	FHLMC GOLD G04425 6 0%	3128M6HA9			2/9/2009		3/15/2009	121	125				
53	X	FHLMC GOLD G04425 6 0%	3128M6HA9			2/9/2009		4/15/2009	291	302				
54	X	FHLMC GOLD G04425 6 0%	3128M6HA9			2/9/2009		5/15/2009	486	504				
55	X	FHLMC GOLD G04425 6 0%	3128M6HA9			2/9/2009		6/15/2009	108	112				
56	X	FHLMC GOLD G04425 6 0%	3128M6HA9			2/9/2009		7/15/2009	58	60				
57	X	FHLMC GOLD G04425 6 0%	3128M6HA9			2/9/2009		8/15/2009	520	539				
58	X	FHLMC GOLD G04425 6 0%	3128M6HA9			2/9/2009		9/15/2009	211	219				
59	X	FHLMC GOLD G04425 6 0%	3128M6HA9			2/9/2009		10/15/2009	698	723				
60	X	FHLMC GOLD G04425 6 0%	3128M6HA9			2/9/2009		11/15/2009	51	53				
61	X	FHLMC GOLD G04425 6 0%	3128M6HA9			2/9/2009		12/15/2009	118	122				
62	X	FHLMC GOLD G04425 6 0%	3128M6HA9			2/9/2009		12/31/2009	163	169				
63	X	FHLMC GOLD G04719 5 5%	3128M6SG4			9/30/2008		1/15/2009	370	371				
64	X	FHLMC GOLD G04719 5 5%	3128M6SG4			9/30/2008		2/15/2009	767	769				
65	X	FHLMC GOLD G04719 5 5%	3128M6SG4			9/30/2008		3/15/2009	984	986				
66	X	FHLMC GOLD G04719 5 5%	3128M6SG4			9/30/2008		4/15/2009	1,294	1,297				
67	X	FHLMC GOLD G04719 5 5%	3128M6SG4			9/30/2008		5/15/2009	1,054	1,057				
68	X	FHLMC GOLD G04719 5 5%	3128M6SG4			9/30/2008		6/15/2009	930	933				
69	X	THERMO FISHER SCIENTIFIC	883556102			2/12/2008		12/28/2009	3,869	4,498				
70	X	US TREASURY NOTE 4 0%	912828CL2			5/26/2009		6/15/2009	13,000	13,027				
71	X	DREYFUS PREM LT TRM HI Y	261980759			11/30/2009		12/29/2009	1,151	1,125				
72	X	DREYFUS PREM LT TRM HI Y	261980759			9/22/2009		12/29/2009	17,388	16,824				
73	X	DREYFUS THE BOSTON CO S	26203E851			9/22/2009		12/29/2009	40,000	38,616				
74	X	DU PONT (E I) DE NEMOURS	263534109			4/14/2009		9/22/2009	3,396	2,681				
75	X	DU PONT (E I) DE NEMOURS	263534109			4/14/2009		12/28/2009	1,007	804				
76	X	E M C CORP MASS	268648102			7/30/2009		9/22/2009	7,990	7,330				
77	X	E M C CORP MASS	268648102			7/30/2009		12/28/2009	3,681	3,275				
78	X	ERP OPERATING LP 6 95%	26884AAM5			2/19/2008		1/26/2009	15,000	15,527				
79	X	EMERSON ELECTRIC CO	291011104			2/12/2008		3/16/2009	7,684	14,782				
80	X	EMERSON ELECTRIC CO	291011104			2/12/2008		3/17/2009	4,128	8,120				
81	X	EMERSON ELECTRIC CO	291011104			11/3/2008		3/17/2009	3,176	3,824				
82	X	EXELON CORP	30161N101			2/12/2008		4/14/2009	6,534	11,136				
83	X	EXELON CORP	30161N101			2/12/2008		9/22/2009	7,220	11,136				
84	X	EXELON CORP	30161N101			2/12/2008		12/28/2009	2,466	3,977				
85	X	EXXON MOBIL CORP	30231G102			2/12/2008		9/22/2009	17,482	21,160				
86	X	EXXON MOBIL CORP	30231G102			2/12/2008		12/28/2009	7,577	9,310				
87	X	FHLMC GOLD # 456495 5 5%	3128KKG7			2/27/2008		1/15/2009	94	93				
88	X	FHLMC GOLD # 456495 5 5%	3128KKG7			2/27/2008		2/15/2009	310	308				
89	X	FHLMC GOLD # 456495 5 5%	3128KKG7			2/27/2008		3/15/2009	453	450				
90	X	FHLMC GOLD # 456495 5 5%	3128KKG7			2/27/2008		4/15/2009	452	450				
91	X	FHLMC GOLD # 456495 5 5%	3128KKG7			2/27/2008		5/15/2009	435	433				
92	X	FHLMC GOLD # 456495 5 5%	3128KKG7			2/27/2008		6/15/2009	330	328				
93	X	FHLMC GOLD # 456495 5 5%	3128KKG7			2/27/2008		7/15/2009	527	524				
94	X	FHLMC GOLD # 456495 5 5%	3128KKG7			2/27/2008		8/15/2009	526	523				
95	X	FHLMC GOLD # 456495 5 5%	3128KKG7			2/27/2008		9/1/2009	8,829	8,426				
96	X	FHLMC GOLD # 456495 5 5%	3128KKG7			2/27/2008		9/15/2009	402	400				
97	X	FHLMC GOLD A68027 6 0%	3128KY4L0			2/27/2008		1/15/2009	121	122				
98	X	FHLMC GOLD A68027 6 0%	3128KY4L0			2/29/2008		1/15/2009	205	210				

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Amount		Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals		Expense of Sale and Cost of Improve-ments	Depreciation	
		Long Term CG Distributions									Gross Sales	Cost, Other Basis and Expenses			Net Gain or Loss
		Short Term CG Distributions													
99	X		498	FHLMC GOLD A68027	6 0%	3128KY4L0		2/27/2008		2/15/2009	27	27			
100	X			FHLMC GOLD A68027	6 0%	3128KY4L0		2/29/2008		2/15/2009	46	47			
101	X			FHLMC GOLD A68027	6 0%	3128KY4L0		2/27/2008		3/15/2009	9	10			
102	X			FHLMC GOLD A68027	6 0%	3128KY4L0		2/29/2008		3/15/2009	16	16			
103	X			FHLMC GOLD A68027	6 0%	3128KY4L0		2/27/2008		4/15/2009	165	167			
104	X			FHLMC GOLD A68027	6 0%	3128KY4L0		2/29/2008		4/15/2009	281	287			
105	X			FHLMC GOLD A68027	6 0%	3128KY4L0		2/27/2008		5/15/2009	133	135			
106	X			FHLMC GOLD A68027	6 0%	3128KY4L0		2/29/2008		5/15/2009	227	232			
107	X			FHLMC GOLD A68027	6 0%	3128KY4L0		2/27/2008		6/15/2009	113	114			
108	X			FHLMC GOLD A68027	6 0%	3128KY4L0		2/29/2008		6/15/2009	191	195			
109	X			FHLMC GOLD A68027	6 0%	3128KY4L0		2/29/2008		6/16/2009	14,673	14,423			
110	X			FHLMC GOLD A68027	6 0%	3128KY4L0		2/27/2008		6/16/2009	8,176	7,970			
111	X			FHLMC GOLD A68027	6 0%	3128KY4L0		2/27/2008		7/15/2009	438	443			
112	X			FHLMC GOLD A69290	6 0%	3128L1K85		2/29/2008		1/15/2009	16	16			
113	X			PRAXAIR INC		7400SP104		2/12/2008		3/10/2009	10,927	15,789			
114	X			PRAXAIR INC		7400SP104		2/12/2008		9/22/2009	4,893	4,986			
115	X			PRAXAIR INC		7400SP104		2/12/2008		12/28/2009	2,417	2,493			
116	X			PROCTER & GAMBLE CO		742718109		2/12/2008		9/22/2009	7,419	8,700			
117	X			PROCTER & GAMBLE CO		742718109		2/12/2008		12/28/2009	3,051	3,346			
118	X			QUALCOMM INC		747525103		2/12/2008		8/21/2009	21,116	18,868			
119	X			QUALCOMM INC		747525103		2/12/2008		8/21/2009	2,815	2,188			
120	X			QUALCOMM INC		747525103		11/10/2008		8/21/2009	21,585	16,274			
121	X			QUALCOMM INC		747525103		11/10/2008		9/3/2009	14,361	11,321			
122	X			RAYTHEON CO		755111507		10/7/2008		9/22/2009	2,854	3,120			
123	X			RAYTHEON CO		755111507		10/7/2008		12/28/2009	2,098	2,080			
124	X			ROSS STORES INC		778296103		4/17/2008		6/25/2009	3,116	2,641			
125	X			ROSS STORES INC		778296103		4/16/2008		6/25/2009	3,973	3,357			
126	X			ROSS STORES INC		778296103		4/17/2008		6/25/2009	3,116	2,641			
127	X			ROSS STORES INC		778296103		4/16/2008		6/26/2009	11,511	9,842			
128	X			ROSS STORES INC		778296103		1/13/2008		6/26/2009	3,080	2,485			
129	X			ROSS STORES INC		778296103		4/16/2008		6/26/2009	4,206	3,588			
130	X			ST JUDE MEDICAL INC		790849103		2/12/2008		6/19/2009	12,058	13,112			
131	X			ST JUDE MEDICAL INC		790849103		2/12/2008		6/19/2009	4,015	4,371			
132	X			ST JUDE MEDICAL INC		790849103		2/12/2008		9/22/2009	2,764	3,060			
133	X			ST JUDE MEDICAL INC		790849103		11/3/2008		10/7/2009	1,321	1,507			
134	X			ST JUDE MEDICAL INC		790849103		2/12/2008		10/7/2009	8,251	10,927			
135	X			ST JUDE MEDICAL INC		790849103		11/3/2008		10/7/2009	1,650	1,883			
136	X			SCHERING PLOUGH CORP		806605101		2/12/2008		9/22/2009	10,858	8,513			
137	X			SCHERING PLOUGH CORP		806605101		2/12/2008		11/3/2009	19,425	17,094			
138	X			SCHERING PLOUGH CORP		806605101		11/3/2008		11/3/2009	2,835	1,661			
139	X			SCHWAB CHARLES CORP NE		808513105		11/20/2008		9/22/2009	3,079	2,588			
140	X			SCHWAB CHARLES CORP NE		808513105		11/20/2008		12/28/2009	1,513	1,218			
141	X			US TREASURY NOTE	4 0%	912828CL2		5/26/2009		6/15/2009	14,000	14,029			
142	X			US TREAS	3 625% 7/11	912828CN8		6/8/2009		7/10/2009	6,001	6,021			
143	X			US TREAS	3 625% 7/11	912828CN8		6/8/2009		7/10/2009	7,001	7,024			
144	X			US TREAS	3 625% 7/11	912828CN8		6/8/2009		7/10/2009	7,001	7,024			
145	X			U S TREASURY NOTE	4 5%	912828EN6		6/8/2009		12/11/2009	11,059	11,016			
146	X			UNITED STATES TREAS	4 25	912828ES5		1/6/2009		2/9/2009	15,976	16,119			
147	X			U S TREASURY NOTE	4 25%	912828ES5		1/2/2009		7/7/2009	21,074	21,488			

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Totals:														
Securities														
Other sales														
Gross Sales														
4,991,265														
0														
Cost, Other Basis and Expenses														
5,637,082														
Net Gain or Loss														
-645,817														
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Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Long Term CG Distributions		Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Net Gain or Loss																											
		Short Term CG Distributions																																								
Totals																																										
Amount																																										
498																																										
Securities																																										
Other sales																																										
197	X	FNMA POOL #831812	6.0%		31407JDR0			2/27/2008		8/25/2009	180	182																														
198	X	FNMA POOL #831812	6.0%		31407JDR0			2/27/2008		9/25/2009	272	275																														
199	X	FNMA POOL #831812	6.0%		31407JDR0			2/27/2008		10/25/2009	97	98																														
200	X	FNMA POOL #831812	6.0%		31407JDR0			2/27/2008		11/25/2009	13	13																														
201	X	FNMA POOL #831812	5.9965		31407JDR0			2/27/2008		12/25/2009	13	13																														
202	X	FNMA POOL #831812	6% 9/1		31407JDR0			2/27/2008		12/31/2009	104	105																														
203	X	FNMA POOL #888279	4.5%		31410F2L8			2/27/2008		1/25/2009	115	108																														
204	X	FNMA POOL #888279	4.5%		31410F2L8			2/27/2008		2/25/2009	219	205																														
205	X	FNMA POOL #888279	4.5%		31410F2L8			2/27/2008		3/25/2009	188	176																														
206	X	FNMA POOL #888279	4.5%		31410F2L8			2/27/2008		4/25/2009	208	196																														
207	X	FNMA POOL #888279	4.5%		31410F2L8			2/27/2008		5/25/2009	253	238																														
208	X	FNMA POOL #888279	4.5%		31410F2L8			2/27/2008		6/25/2009	266	251																														
209	X	FNMA POOL #888279	4.5%		31410F2L8			2/27/2008		7/25/2009	302	285																														
210	X	FNMA POOL #888279	4.5%		31410F2L8			2/27/2008		8/25/2009	263	249																														
211	X	FNMA POOL #888279	4.5%		31410F2L8			2/27/2008		9/25/2009	228	215																														
212	X	FNMA POOL #888279	4.5%		31410F2L8			2/27/2008		10/25/2009	150	142																														
213	X	FNMA POOL #888279	4.5%		31410F2L8			2/27/2008		11/25/2009	169	160																														
214	X	FNMA POOL #888279	4.5%		31410F2L8			2/27/2008		12/10/2009	12,015	11,186																														
215	X	FNMA POOL #888279	4.5%		31410F2L8			2/27/2008		12/25/2009	240	228																														
216	X	FNMA POOL #888279	4.5%		31410F2L8			2/27/2008		12/31/2009	85	81																														
217	X	FNMA POOL #888279	4.5%		31410F2L8			2/27/2008		1/25/2009	257	257																														
218	X	FNMA POOL #888279	5.0%		31410FYK5			2/27/2008		2/25/2009	409	409																														
219	X	FNMA POOL #888279	5.0%		31410FYK5			2/27/2008		3/25/2009	316	316																														
220	X	FNMA POOL #888279	5.0%		31410FYK5			2/27/2008		4/25/2009	320	320																														
221	X	FNMA POOL #888279	5.0%		31410FYK5			2/27/2008		5/25/2009	369	369																														
222	X	FNMA POOL #888279	5.0%		31410FYK5			2/27/2008		6/25/2009	439	439																														
223	X	FNMA POOL #888279	5.0%		31410FYK5			2/27/2008		7/25/2009	617	617																														
224	X	FNMA POOL #888279	5.0%		31410FYK5			2/27/2008		8/25/2009	333	333																														
225	X	FNMA POOL #888279	5.0%		31410FYK5			2/27/2008		9/25/2009	283	283																														
226	X	FNMA POOL #888279	5.0%		31410FYK5			2/27/2008		10/25/2009	323	323																														
227	X	FNMA POOL #888279	5.0%		31410FYK5			2/27/2008		11/25/2009	294	294																														
228	X	FNMA POOL #888279	5.0%		31410FYK5			2/27/2008		12/25/2009	332	332																														
229	X	FNMA POOL #888279	5.0%		31410FYK5			2/27/2008		12/31/2009	288	288																														
230	X	FNMA POOL #888279	5.0%		31410G7C1			5/20/2009		7/25/2009	664	689																														
231	X	FNMA POOL #888279	5.0%		31410G7C1			5/20/2009		8/25/2009	544	564																														
232	X	FNMA POOL #888279	5.0%		31410G7C1			5/20/2009		9/25/2009	391	405																														
233	X	FNMA POOL #888279	5.0%		31410G7C1			5/20/2009		10/25/2009	368	381																														
234	X	FNMA POOL #888279	5.0%		31410G7C1			5/20/2009		11/25/2009	392	407																														
235	X	FNMA POOL #888279	5.0%		31410G7C1			5/20/2009		12/25/2009	501	520																														
236	X	FNMA POOL #888279	5.0%		31410G7C1			5/20/2009		12/31/2009	474	492																														
237	X	FNMA POOL #888279	6.0%		31410GTY9			6/9/2008		1/25/2009	636	646																														
238	X	FNMA POOL #888279	6.0%		31410GTY9			6/9/2008		2/25/2009	865	878																														
239	X	FNMA POOL #888279	6.0%		31410GTY9			6/9/2008		3/25/2009	1,360	1,380																														
240	X	FNMA POOL #888279	6.0%		31410GTY9			6/9/2008		4/25/2009	1,079	1,095																														
241	X	FNMA POOL #888279	6.0%		31410GTY9			6/9/2008		5/25/2009	956	970																														
242	X	FNMA POOL #888279	6.0%		31410GTY9			6/9/2008		6/25/2009	1,030	1,045																														
243	X	FNMA POOL #888279	6.0%		31410GTY9			6/9/2008		7/25/2009	1,284	1,303																														
244	X	FNMA POOL #888279	6.0%		31410GTY9			6/9/2008		8/25/2009	1,066	1,082																														
245	X	FNMA POOL #888279	6.0%		31410GTY9			6/9/2008		9/25/2009	655	665																														

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Totals												
Securities												
Other sales												
Amount												
498												
Long Term CG Distributions												
Short Term CG Distributions												
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Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Net Gain or Loss												
Totals																									
Long Term CG Distributions																									
Short Term CG Distributions																									
Amount																									
498																									
295	X	U.S. TREASURY NT	3 125%	912828HJ2		9/15/2009		10/13/2009	1,004	1,006															
296	X	U.S. TREASURY NT	3 125%	912828HJ2		9/15/2009		10/13/2009	1,004	1,006															
297	X	U.S. TREASURY NT	3 125%	912828HJ2		9/17/2009		10/20/2009	12,039	12,070															
298	X	U.S. TREASURY NT	3 125%	912828HJ2		9/17/2009		10/20/2009	10,033	10,059															
299	X	U.S. TREASURY NT	3 125%	912828HJ2		9/17/2009		10/20/2009	3,010	3,018															
300	X	U.S. TREASURY NT	3 125%	912828HJ2		9/15/2009		10/20/2009	10,033	10,062															
301	X	U.S. TREASURY NT	3 125%	912828HJ2		9/15/2009		10/20/2009	8,026	8,049															
302	X	U.S. TREASURY NT	3 125%	912828HJ2		9/15/2009		10/20/2009	1,003	1,006															
303	X	U.S. TREASURY NT	3 125%	912828HJ2		9/15/2009		10/20/2009	3,010	3,019															
304	X	U.S. TREASURY NT	3 125%	912828HJ2		9/15/2009		10/20/2009	2,007	2,012															
305	X	U.S. TREASURY NT	3 125%	912828HJ2		9/15/2009		10/20/2009	5,016	5,031															
306	X	U.S. TREASURY NT	3 125%	912828HJ2		9/15/2009		10/20/2009	10,033	10,062															
307	X	U.S. TREASURY NOTE	1 75%	912828HJ7		10/19/2009		11/10/2009	12,074	12,086															
308	X	U.S. TREASURY NOTE	1 75%	912828HJ7		10/22/2009		11/10/2009	18,112	18,123															
309	X	U.S. TREASURY NOTE	1 75%	912828HJ7		10/22/2009		11/10/2009	19,118	19,130															
310	X	U.S. TREASURY NOTE	1 75%	912828HJ7		10/22/2009		11/10/2009	3,019	3,021															
311	X	U.S. TREASURY NOTE	1 75%	912828HJ7		10/22/2009		11/16/2009	15,089	15,103															
312	X	U.S. TREASURY NOTE	1 75%	912828HJ7		11/19/2009		12/15/2009	35,164	35,211															
313	X	U.S. TREASURY NT	3 875%	912828HJ6		6/5/2008		1/9/2009	44,781	39,456															
314	X	U.S. TREASURY NOTE	3 25%	912828HJ9		6/24/2009		9/29/2009	10,241	9,952															
315	X	UNITED TECHNOLOGIES	6 11	913017BP3		5/13/2008		10/8/2009	5,695	5,028															
316	X	UNITED TECHNOLOGIES	6 11	913017BP3		5/13/2008		10/8/2009	11,391	9,971															
317	X	VALE SA-SP ADR		91912E105		2/12/2008		9/22/2009	5,448	7,887															
318	X	VALE SA-SP ADR		91912E105		2/12/2008		12/28/2009	3,195	3,615															
319	X	VERTEX PHARMACEUTICALS	92532F100			3/6/2009		9/22/2009	2,616	1,972															
320	X	VERTEX PHARMACEUTICALS	92532F100			3/5/2009		9/22/2009	3,737	2,797															
321	X	VERTEX PHARMACEUTICALS	92532F100			3/5/2009		12/28/2009	3,028	1,958															
322	X	VISA INC-CLASS A SHRS	92826C839			1/27/2009		9/22/2009	3,692	2,194															
323	X	FED HOME LOAN BANK	3 62	3133XSAE8		9/11/2008		10/28/2009	10,604	9,971															
324	X	FEDL NATL MTGE ASSN	5 37	31359M7M9		2/12/2008		10/28/2009	5,219	5,268															
325	X	FEDL NATL MTGE ASSN	5 37	31359M7M9		10/13/2009		10/28/2009	249	247															
326	X	FNMA POOL 255770	5 49971	31371MCF2		2/27/2008		12/5/2009	75	76															
327	X	FNMA POOL 255770	5 49971	31371MCF2		4/7/2008		12/5/2009	468	465															
328	X	FNMA POOL 255770	5 5%	31371MCF2		2/27/2008		2/25/2009	140	142															
329	X	FNMA POOL 255770	5 5%	31371MCF2		4/7/2008		2/25/2009	866	861															
330	X	FNMA POOL 255770	5 5%	31371MCF2		2/27/2008		3/25/2009	260	263															
331	X	FNMA POOL 255770	5 5%	31371MCF2		4/7/2008		3/25/2009	690	686															
332	X	FNMA POOL 255770	5 5%	31371MCF2		2/27/2008		4/25/2009	207	210															
333	X	FNMA POOL 255770	5 5%	31371MCF2		4/7/2008		4/25/2009	653	649															
334	X	FNMA POOL 255770	5 5%	31371MCF2		2/27/2008		5/25/2009	196	198															
335	X	FNMA POOL 255770	5 5%	31371MCF2		4/7/2008		5/25/2009	798	795															
336	X	FNMA POOL 255770	5 5%	31371MCF2		2/27/2008		6/25/2009	240	243															
337	X	FNMA POOL 255770	5 5%	31371MCF2		4/7/2008		7/25/2009	930	925															
338	X	FNMA POOL 255770	5 5%	31371MCF2		2/27/2008		7/25/2009	279	282															
339	X	FNMA POOL 255770	5 5%	31371MCF2		4/7/2008		8/25/2009	1,392	1,392															
340	X	FNMA POOL 889668	6 0%	31410KMR2		6/13/2008		9/25/2009	1,508	1,508															
341	X	FNMA POOL 889668	6 0%	31410KMR2		6/13/2008		10/25/2009	2,130	2,130															
342	X	FNMA POOL 889668	6 0%	31410KMR2		6/13/2008		11/25/2009	1,362	1,362															
343	X	FNMA POOL 889668	6 0%	31410KMR2		6/13/2008																			

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Amount		Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation
		Totals													
		Gross Sales	Cost, Other Basis and Expenses												
Long Term CG Distributions															
Short Term CG Distributions															
498															
344	X	FNMA POOL # 889668	6%	6/1	31410KMR2			6/13/2008		12/25/2009	1,705	1,705			
345	X	FNMA POOL # 889668	6%	6/1	31410KMR2			6/13/2008		12/31/2009	1,354	1,354			
346	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/27/2008		1/25/2009	140	144			
347	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/27/2008		2/25/2009	410	422			
348	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/27/2008		3/25/2009	603	621			
349	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/27/2008		4/25/2009	608	627			
350	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/27/2008		5/25/2009	892	919			
351	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/27/2008		6/25/2009	1,063	1,096			
352	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/27/2008		7/25/2009	362	373			
353	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/27/2008		8/25/2009	848	874			
354	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/27/2008		9/25/2009	366	378			
355	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/27/2008		10/25/2009	303	312			
356	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/27/2008		11/25/2009	643	663			
357	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/27/2008		12/25/2009	440	454			
358	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/27/2008		12/31/2009	1,164	1,200			
359	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			10/29/2008		1/25/2009	265	262			
360	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			10/29/2008		2/25/2009	626	618			
361	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			10/29/2008		3/25/2009	6	5			
362	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			10/29/2008		4/25/2009	162	160			
363	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			10/29/2008		5/25/2009	6	6			
364	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			10/29/2008		6/25/2009	8	8			
365	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			10/29/2008		7/25/2009	6	6			
366	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			10/29/2008		8/25/2009	1,212	1,197			
367	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			10/29/2008		9/25/2009	458	452			
368	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			10/29/2008		10/25/2009	176	174			
369	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			10/29/2008		11/25/2009	893	882			
370	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			10/29/2008		12/25/2009	3	3			
371	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			10/29/2008		12/31/2009	1,296	1,281			
372	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			4/9/2009		5/25/2009	90	92			
373	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			4/9/2009		6/25/2009	78	80			
374	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			4/9/2009		7/25/2009	77	78			
375	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			4/9/2009		8/25/2009	101	103			
376	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			4/9/2009		9/25/2009	107	109			
377	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			4/9/2009		10/25/2009	100	102			
378	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			4/9/2009		11/25/2009	93	95			
379	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			4/9/2009		12/25/2009	121	123			
380	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			4/9/2009		12/31/2009	138	140			
381	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			9/1/2009		10/25/2009	187	188			
382	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			9/1/2009		11/25/2009	141	142			
383	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			9/1/2009		12/25/2009	178	180			
384	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			9/1/2009		1/25/2009	241	243			
385	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/25/2008		2/25/2009	716	713			
386	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/25/2008		2/25/2009	160	159			
387	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/25/2008		3/25/2009	1,206	1,201			
388	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/25/2008		4/25/2009	2,565	2,555			
389	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/25/2008		5/25/2009	944	941			
390	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/25/2008		6/25/2009	1,255	1,251			
391	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/25/2008		7/25/2009	4,020	4,010			
392	X	FNMA POOL # 899651	6.5%	6/5	31410WQC5			2/25/2008		8/25/2009	150	150			

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Amount		Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Totals		Expense of Sale and Cost of Improvements	Depreciation
		Long Term CG Distributions	Short Term CG Distributions							Gross Sales	Cost, Other Basis and Expenses		
			498							4,991,265	5,637,082		-645,817
										0	0		0
393	X	FNMA POOL # 962003	5.5%	31414CGGL6				2/25/2008		22,757	21,787		
394	X	FNMA POOL # 962003	5.5%	31414CGGL6				2/25/2008		1,797	1,793		
395	X	FNMA POOL # 962003	5.5%	31414CGGL6				2/25/2008		755	753		
396	X	FNMA POOL # 962003	5.5%	31414CGGL6				2/25/2008		1,076	1,073		
397	X	FNMA POOL # 962003	5.5%	31414CGGL6				2/25/2008		9,025	8,520		
398	X	FNMA POOL # 962003	5.5%	31414CGGL6				2/25/2008		1,131	1,128		
399	X	FNMA POOL # 962003	5.5%	31414CGGL6				2/25/2008		970	967		
400	X	FNMA POOL # 962003	4.5%	31414CRW0				5/20/2009		900	926		
401	X	FNMA POOL # 962003	4.5%	31414CRW0				5/20/2009		402	414		
402	X	FNMA POOL # 962003	4.5%	31414CRW0				5/20/2009		736	758		
403	X	FNMA POOL # 962003	5.5%	31371MCF2				2/27/2008		460	458		
404	X	FNMA POOL # 962003	5.5%	31371MCF2				2/27/2008		138	140		
405	X	FNMA POOL # 962003	5.5%	31371MCF2				4/7/2008		8,350	8,097		
406	X	FNMA POOL # 962003	5.5%	31371MCF2				4/7/2008		27,241	25,965		
407	X	FNMA POOL # 962003	5.5%	31371MCF2				2/27/2008		569	566		
408	X	FED NATL MTG ASSN	5.5%	31398AEE8				2/13/2008		25,000	25,652		
409	X	FED NATL MTG ASSN	4.0%	31398AEE8				9/29/2008		25,255	25,082		
410	X	FNMA POOL # 735988	4.984%	31402RUM9				2/28/2008		248	247		
411	X	FNMA POOL # 735988	4.983%	31402RUM9				2/28/2008		314	312		
412	X	FNMA POOL # 735988	4.976%	31402RUM9				2/28/2008		91	91		
413	X	FNMA POOL # 735988	4.976%	31402RUM9				2/28/2008		15,643	15,643		
414	X	FNMA POOL # 745234	4.917%	31403C4T5				2/28/2008		158	157		
415	X	FNMA POOL # 745234	4.914%	31403C4T5				2/28/2008		137	137		
416	X	FNMA POOL # 745234	4.914%	31403C4T5				2/28/2008		133	134		
417	X	FNMA POOL # 745234	4.92%	31403C4T5				2/28/2008		389	390		
418	X	FNMA POOL # 745234	4.92%	31403C4T5				2/28/2008		426	428		
419	X	FNMA POOL # 745234	4.92%	31403C4T5				2/28/2008		14,564	14,090		
420	X	FNMA POOL # 745234	4.92%	31403C4T5				2/28/2008		306	307		
421	X	FNMA POOL # 745275	5.0%	31403C6L0				2/28/2008		147	150		
422	X	FNMA POOL # 745275	5.0%	31403C6L0				2/28/2008		147	151		
423	X	FNMA POOL # 745275	5.0%	31403C6L0				2/28/2008		139	142		
424	X	FNMA POOL # 745275	5.0%	31403C6L0				2/28/2008		139	142		
425	X	FNMA POOL # 745275	5.0%	31403C6L0				2/28/2008		146	149		
426	X	FNMA POOL # 745275	5.0%	31403C6L0				2/28/2008		146	149		
427	X	FNMA POOL # 745275	5.0%	31403C6L0				2/28/2008		17,157	15,332		
428	X	VISA INC-CLASS A SHRS		92826CB39				1/27/2009		1,726	878		
429	X	WAL MART STORES INC		931142103				5/7/2009		5,493	6,149		
430	X	WAL MART STORES INC		931142103				5/7/2009		25,969	26,306		
431	X	WAL MART STORES INC		931142103				5/28/2009		13,350	13,659		
432	X	WAL MART STORES INC		931142103				6/1/2009		8,446	8,600		
433	X	WAL MART STORES INC		931142103				6/10/2009		18,032	18,212		
434	X	WAL-MART STORES INC	6.5%	931142103				10/27/2009		17,157	15,332		
435	X	WELLS FARGO & COMPANY		949746101				1/21/2009		7,478	16,006		
436	X	WELLS FARGO & COMPANY		949746101				2/12/2008		6,402	6,644		
437	X	WELLS FARGO & COMPANY		949746101				2/12/2008		2,395	2,718		
438	X	WHIRLPOOL CORP		963320106				8/28/2009		5,037	4,615		
439	X	WHIRLPOOL CORP		963320106				8/28/2009		2,472	1,978		
440	X	WYETH		983024100				1/21/2009		5,231	4,498		
441	X	WYETH		983024100				1/21/2009		13,494	11,594		

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Net Gain or Loss													
Totals																										
Long Term CG Distributions																										
Short Term CG Distributions																										
Amount																										
498																										
442	X	WYETH	983024100			1/21/2009		7/7/2009	10,249	8,802																
443	X	WYETH	983024100			1/21/2009		7/8/2009	4,537	4,576																
444	X	XTO ENERGY INC	98385X106			2/12/2008		9/22/2009	8,604	11,386																
445	X	XTO ENERGY INC	98385X106			2/12/2008		12/28/2009	4,254	5,124																
446	X	ACCENTURE PLC-CL A	G1151C101			2/27/2008		9/22/2009	5,084	5,139																
447	X	ACCENTURE PLC-CL A	G1151C101			8/11/2008		9/22/2009	2,507	2,203																
448	X	TYCO INTERNATIONAL LTD	H89128104			2/12/2008		9/22/2009	7,603	9,968																
449	X	TYCO INTERNATIONAL LTD	H89128104			2/12/2008		9/22/2009	691	812																
450	X	TYCO INTERNATIONAL LTD	H89128104			2/12/2008		12/28/2009	3,914	4,468																
451	X	FNMA POOL 962301 4 5%	31414CRW0			5/20/2009		10/25/2009	595	612																
452	X	FNMA POOL 962301 4 5%	31414CRW0			5/20/2009		11/25/2009	878	904																
453	X	FNMA POOL # 962301 4 5%	31414CRW0			5/20/2009		12/25/2009	666	685																
454	X	FNMA POOL # 962301 4 5%	31414CRW0			5/20/2009		12/31/2009	772	794																
455	X	FNMA POOL 966608 5 5%	31414HKV8			2/15/2008		1/25/2009	103	103																
456	X	FNMA POOL 966608 5 5%	31414HKV8			2/15/2008		2/25/2009	100	100																
457	X	FNMA POOL 966608 5 5%	31414HKV8			2/15/2008		3/25/2009	2,886	2,889																
458	X	FNMA POOL 966608 5 5%	31414HKV8			2/15/2008		4/25/2009	850	851																
459	X	FNMA POOL 966608 5 5%	31414HKV8			2/15/2008		5/25/2009	1,704	1,706																
460	X	FNMA POOL 966608 5 5%	31414HKV8			2/15/2008		6/25/2009	2,062	2,062																
461	X	FNMA POOL 966608 5 5%	31414HKV8			2/15/2008		7/25/2009	877	877																
462	X	FNMA POOL 966608 5 5%	31414HKV8			2/15/2008		8/25/2009	89	89																
463	X	FNMA POOL 966608 5 5%	31414HKV8			2/15/2008		9/25/2009	1,345	1,346																
464	X	FNMA POOL 966608 5 5%	31414HKV8			2/15/2008		10/25/2009	90	90																
465	X	FNMA POOL 966608 5 5%	31414HKV8			2/15/2008		11/25/2009	87	87																
466	X	FNMA POOL # 966608 5 5%	31414HKV8			2/15/2008		12/25/2009	1,283	1,283																
467	X	FNMA POOL # 966608 5 5%	31414HKV8			2/15/2008		12/31/2009	512	513																
468	X	FNMA POOL # 975814 6 5%	31414TSB8			5/1/2008		1/25/2009	287	298																
469	X	FNMA POOL # 975814 6 5%	31414TSB8			6/6/2008		1/25/2009	45	46																
470	X	FNMA POOL # 975814 6 5%	31414TSB8			5/1/2008		2/25/2009	287	298																
471	X	FNMA POOL # 975814 6 5%	31414TSB8			6/6/2008		2/25/2009	45	46																
472	X	FNMA POOL # 975814 6 5%	31414TSB8			5/1/2008		3/25/2009	166	172																
473	X	FNMA POOL # 975814 6 5%	31414TSB8			6/6/2008		3/25/2009	26	27																
474	X	FNMA POOL # 975814 6 5%	31414TSB8			5/1/2008		4/25/2009	153	159																
475	X	FNMA POOL # 975814 6 5%	31414TSB8			6/6/2008		5/25/2009	24	25																
476	X	FNMA POOL # 975814 6 5%	31414TSB8			5/1/2008		5/25/2009	494	513																
477	X	FNMA POOL # 975814 6 5%	31414TSB8			6/6/2008		5/25/2009	77	80																
478	X	FNMA POOL # 975814 6 5%	31414TSB8			5/1/2008		6/25/2009	229	238																
479	X	FNMA POOL # 975814 6 5%	31414TSB8			6/6/2008		6/25/2009	36	37																
480	X	FNMA POOL # 975814 6 5%	31414TSB8			5/1/2008		7/25/2009	457	474																
481	X	FNMA POOL # 975814 6 5%	31414TSB8			6/6/2008		7/25/2009	71	74																
482	X	FNMA POOL # 975814 6 5%	31414TSB8			5/1/2008		8/25/2009	891	925																
483	X	FNMA POOL # 975814 6 5%	31414TSB8			6/6/2008		8/25/2009	139	144																
484	X	FNMA POOL # 975814 6 5%	31414TSB8			5/1/2008		9/10/2009	28,528	27,613																
485	X	FNMA POOL # 975814 6 5%	31414TSB8			6/6/2008		9/10/2009	3,651	3,519																
486	X	FNMA POOL # 975814 6 5%	31414TSB8			5/1/2008		9/25/2009	752	777																
487	X	FNMA POOL # AA7694 4 5%	31416RRQ8			11/10/2009		12/31/2009	1,062	1,105																
488	X	FNMA POOL AA8389 4 5%	31416SKB6			6/11/2009		8/25/2009	132	128																
489	X	FNMA POOL AA8389 4 5%	31416SKB6			6/11/2009		9/25/2009	237	231																
490	X	FNMA POOL AA8389 4 5%	31416SKB6			6/11/2009		10/25/2009	36	35																

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Long Term CG Distributions		Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Net Gain or Loss													
		Short Term CG Distributions																										
Totals															-645,617													
Securities																												
Other sales																												
Amount															0													
498																												
491	X			FNMA POOL # AA6389 4 5%	31416SKB6			6/11/2009		11/25/2009	133	130																
492	X			FNMA POOL # AA8389 4 5%	31416SKB6			6/11/2009		12/25/2009	43	42																
493	X			FNMA POOL # AA8389 4 5%	31416SKB6			6/11/2009		12/31/2009	136	133																
494	X			FNMA POOL # AC1520 4% 9/	31417KVN4			10/14/2009		12/25/2009	71	72																
495	X			FNMA POOL # AC1520 4% 9/	31417KVN4			10/14/2009		12/31/2009	71	72																
496	X			FNMA POOL # AC5401 5 0%	31417SAB6			10/9/2009		12/11/2009	15,520	15,392																
497	X			FNMA POOL # AC5401 5% 10	31417SAB6			10/9/2009		12/25/2009	98	101																
498	X			FNMA POOL # AC5401 5 0%	31417SAB6			10/9/2009		12/31/2009	52	54																
499	X			FNMA POOL # MA0259 5% 1	31417YJ00			10/9/2009		12/25/2009	43	45																
500	X			FNMA POOL # MA0259 5 0%	31417YJ00			10/9/2009		12/31/2009	203	210																
501	X			FEDEX CORP	31428X105			10/31/2008		9/22/2009	4,583	3,927																
502	X			FEDEX CORP	31428X105			10/31/2008		12/26/2009	2,472	1,963																
503	X			FIFTH THIRD BANCORP	316773100			10/14/2008		1/26/2009	1,938	8,911																
504	X			FIFTH THIRD BANCORP	316773100			10/14/2008		1/26/2009	393	1,824																
505	X			FIFTH THIRD BANCORP	316773100			10/15/2008		1/26/2009	1,685	7,854																
506	X			FIFTH THIRD BANCORP	316773100			11/3/2008		1/26/2009	477	1,953																
507	X			FIRST HORIZON NATIONAL C	320517105			11/3/2008		2/25/2009	9	7																
508	X			FIRST HORIZON NATIONAL C	320517105			11/3/2008		5/22/2009	7	10																
509	X			FIRST HORIZON NATIONAL C	320517105			11/3/2008		8/27/2009	4	4																
510	X			FIRST HORIZON NATIONAL C	320517105			11/3/2008		9/22/2009	2,188	1,751																
511	X			FIRST HORIZON NATIONAL C	320517105			10/15/2008		9/22/2009	2,190	1,721																
512	X			FIRST HORIZON NATIONAL C	320517105			10/15/2008		10/29/2009	1	1																
513	X			FIRST HORIZON NATIONAL C	320517105			10/15/2008		12/28/2009	2,014	1,589																
514	X			FRANKLIN RES INC	354613101			12/1/2009		9/22/2009	4,096	2,045																
515	X			FRANKLIN RES INC	354613101			12/1/2009		12/28/2009	2,116	1,022																
516	X			GNMA POOL # 605619 6 0%	36200NYQ8			12/1/2009		1/15/2009	126	130																
517	X			GNMA POOL # 605619 6 0%	36200NYQ8			12/1/2009		2/4/2009	13,985	14,044																
518	X			GNMA POOL # 605619 6 0%	36200NYQ8			12/1/2009		2/15/2009	129	134																
519	X			GNMA POOL # 782105 5 0%	36241KK56			12/1/2009		1/15/2009	220	217																
520	X			GNMA POOL # 782105 5 0%	36241KK56			12/1/2009		2/15/2009	257	254																
521	X			GNMA POOL # 782105 5 0%	36241KK56			12/1/2009		3/15/2009	244	241																
522	X			GNMA POOL # 782105 5 0%	36241KK56			12/1/2009		4/15/2009	329	325																
523	X			GNMA POOL # 782105 5 0%	36241KK56			12/1/2009		5/15/2009	542	536																
524	X			GNMA POOL # 782105 5 0%	36241KK56			12/1/2009		6/15/2009	839	830																
525	X			GNMA POOL # 782105 5 0%	36241KK56			12/1/2009		7/15/2009	519	513																
526	X			GNMA POOL # 782105 5 0%	36241KK56			12/1/2009		8/15/2009	480	475																
527	X			GNMA POOL # 782105 5 0%	36241KK56			12/1/2009		9/15/2009	258	255																
528	X			GNMA POOL # 782105 5 0%	36241KK56			12/1/2009		10/15/2009	485	481																
529	X			GNMA POOL # 782105 5 0%	36241KK56			12/1/2009		11/15/2009	314	311																
530	X			GNMA POOL # 782105 5 0%	36241KK56			12/1/2009		12/15/2009	653	647																
531	X			GNMA POOL # 782105 5 0%	36241KK56			12/1/2009		12/31/2009	706	700																
532	X			GNMA POOL # 782105 5 0%	36241KK56			12/1/2009		1/15/2009	965	1,018																
533	X			GNMA POOL # 782105 5 0%	36241KK56			12/1/2009		12/15/2009	1,220	1,287																
534	X			GNMA POOL # 782105 5 0%	36241KK56			12/1/2009		12/23/2009	24,435	24,288																
535	X			GNMA POOL # 645884 5 5%	36292DRD6			12/1/2009		1/15/2009	139	140																
536	X			GNMA POOL # 645884 5 5%	36292DRD6			12/1/2009		2/15/2009	636	641																
537	X			GNMA POOL # 645884 5 5%	36292DRD6			12/1/2009		3/15/2009	275	278																
538	X			GNMA POOL # 645884 5 5%	36292DRD6			12/1/2009		4/15/2009	620	625																
539	X			GNMA POOL # 645884 5 5%	36292DRD6			12/1/2009		5/15/2009	1,005	1,013																

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Amount		Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Net Gain or Loss												
		Totals																									
		Long Term CG Distributions	Short Term CG Distributions																								
			498																								
540	X	GNMA POOL # 645884	5.5%	36292DRD6	2/27/2008	2/27/2008		6/15/2009		1,263	1,274																
541	X	GNMA POOL # 645884	5.5%	36292DRD6	2/27/2008	2/27/2008		7/15/2009		787	794																
542	X	GNMA POOL # 645884	5.5%	36292DRD6	2/27/2008	2/27/2008		8/15/2009		20	20																
543	X	GNMA POOL # 645884	5.5%	36292DRD6	2/27/2008	2/27/2008		9/15/2009		294	296																
544	X	GNMA POOL # 645884	5.5%	36292DRD6	2/27/2008	2/27/2008		10/15/2009		127	128																
545	X	GNMA POOL # 645884	5.5%	36292DRD6	2/27/2008	2/27/2008		11/15/2009		395	398																
546	X	GNMA POOL # 645884	5.5%	36292DRD6	2/27/2008	2/27/2008		12/15/2009		875	882																
547	X	GNMA POOL # 645884	5.5%	36292DRD6	2/27/2008	2/27/2008		12/31/2009		408	412																
548	X	GNMA POOL # 645884	5.5%	36296AA7	6/27/2008	6/27/2008		1/15/2009		89	86																
549	X	GNMA POOL # 645884	5.5%	36296AA7	4/25/2008	4/25/2008		2/15/2009		1,340	1,319																
550	X	GNMA POOL # 645884	5.5%	36296AA7	6/6/2008	6/6/2008		2/15/2009		134	131																
551	X	GNMA POOL # 645884	5.5%	36296AA7	6/27/2008	6/27/2008		2/15/2009		670	650																
552	X	GNMA POOL # 645884	5.5%	36296AA7	4/25/2008	4/25/2008		3/15/2009		1,186	1,168																
553	X	GNMA POOL # 645884	5.5%	36296AA7	6/6/2008	6/6/2008		3/15/2009		119	116																
554	X	GNMA POOL # 645884	5.5%	36296AA7	6/27/2008	6/27/2008		3/15/2009		593	576																
555	X	GNMA POOL # 645884	5.5%	36296AA7	4/25/2008	4/25/2008		4/15/2009		899	886																
556	X	GNMA POOL # 645884	5.5%	36296AA7	6/6/2008	6/6/2008		4/15/2009		90	88																
557	X	GNMA POOL # 645884	5.5%	36296AA7	6/27/2008	6/27/2008		4/15/2009		449	437																
558	X	GNMA POOL # 645884	5.5%	36296AA7	4/25/2008	4/25/2008		5/15/2009		633	624																
559	X	GNMA POOL # 645884	5.5%	36296AA7	6/6/2008	6/6/2008		5/15/2009		63	62																
560	X	GNMA POOL # 645884	5.5%	36296AA7	6/27/2008	6/27/2008		5/15/2009		317	308																
561	X	GNMA POOL # 645884	5.5%	36296AA7	4/25/2008	4/25/2008		6/15/2009		1,929	1,902																
562	X	GNMA POOL # 645884	5.5%	36296AA7	6/6/2008	6/6/2008		6/15/2009		193	189																
563	X	GNMA POOL # 645884	5.5%	36296AA7	6/27/2008	6/27/2008		6/15/2009		964	939																
564	X	GNMA POOL # 645884	5.5%	36296AA7	4/25/2008	4/25/2008		7/15/2009		1,096	1,081																
565	X	GNMA POOL # 645884	5.5%	36296AA7	6/6/2008	6/6/2008		7/15/2009		110	107																
566	X	GNMA POOL # 645884	5.5%	36296AA7	6/27/2008	6/27/2008		7/15/2009		548	534																
567	X	GNMA POOL # 645884	5.5%	36296AA7	4/25/2008	4/25/2008		8/15/2009		781	770																
568	X	GNMA POOL # 645884	5.5%	36296AA7	6/6/2008	6/6/2008		8/15/2009		78	77																
569	X	GNMA POOL # 645884	5.5%	36296AA7	6/27/2008	6/27/2008		8/15/2009		390	381																
570	X	GNMA POOL # 645884	5.5%	36296AA7	4/25/2008	4/25/2008		9/15/2009		823	813																
571	X	GNMA POOL # 645884	5.5%	36296AA7	6/6/2008	6/6/2008		9/15/2009		82	81																
572	X	GNMA POOL # 645884	5.5%	36296AA7	6/27/2008	6/27/2008		9/15/2009		412	402																
573	X	GNMA POOL # 645884	5.5%	36296AA7	4/25/2008	4/25/2008		10/15/2009		319	315																
574	X	GNMA POOL # 645884	5.5%	36296AA7	6/6/2008	6/6/2008		10/15/2009		32	31																
575	X	GNMA POOL # 645884	5.5%	36296AA7	6/27/2008	6/27/2008		10/15/2009		160	156																
576	X	GNMA POOL # 645884	5.5%	36296AA7	4/25/2008	4/25/2008		11/10/2009		12,414	11,746																
577	X	GNMA POOL # 645884	5.5%	36296AA7	6/6/2008	6/6/2008		11/15/2009		201	199																
578	X	GNMA POOL # 645884	5.5%	36296AA7	6/27/2008	6/27/2008		11/15/2009		20	20																
579	X	GNMA POOL # 645884	5.5%	36296AA7	4/25/2008	4/25/2008		11/15/2009		101	98																
580	X	GNMA POOL # 645884	5.5%	36296AA7	6/6/2008	6/6/2008		12/15/2009		221	219																
581	X	GNMA POOL # 645884	5.5%	36296AA7	6/27/2008	6/27/2008		12/15/2009		32	31																
582	X	GNMA POOL # 645884	5.5%	36296AA7	4/25/2008	4/25/2008		12/15/2009		158	154																
583	X	GNMA POOL # 645884	5.5%	36296AA7	6/6/2008	6/6/2008		12/31/2009		1,505	1,488																
584	X	GNMA POOL # 645884	5.5%	36296AA7	6/27/2008	6/27/2008		12/31/2009		215	212																
585	X	GNMA POOL # 645884	5.5%	36296AA7	4/25/2008	4/25/2008		12/31/2009		1,075	1,052																
586	X	GNMA POOL # 645884	5.5%	36296BEK4	6/23/2008	6/23/2008		1/15/2009		832	858																
587	X	GNMA POOL # 645884	5.5%	36296BEK4	6/23/2008	6/23/2008		2/15/2009		168	173																
588	X	GNMA POOL # 645884	5.5%	36296BEK4	6/23/2008	6/23/2008		3/15/2009		1,636	1,687																

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

		Amount		Totals													
		498		Securities				Other sales				Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss	
												4,991,265		5,637,082		-645,817	
												0		0		0	

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Long Term CG Distributions		Short Term CG Distributions		CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals		Expense of Sale and Cost of Improvements	Net Gain or Loss	
		Amount		Gross Sales	Cost, Other Basis and Expenses											
			498									Sales				
638	X	FNMA POOL 745275	5.0%			31403C6L0			1/22/2009		7/25/2009	155	158			
639	X	FNMA POOL 745275	5.0%			31403C6L0			2/12/2009		7/25/2009	155	159			
640	X	FNMA POOL 745275	5.0%			31403C6L0			1/22/2009		8/25/2009	119	122			
641	X	FNMA POOL 745275	5.0%			31403C6L0			2/12/2009		8/25/2009	119	122			
642	X	FNMA POOL 745275	5.0%			31403C6L0			1/22/2009		9/25/2009	86	88			
643	X	FNMA POOL 745275	5.0%			31403C6L0			2/12/2009		9/25/2009	86	88			
644	X	FNMA POOL 745275	5.0%			31403C6L0			2/12/2009		10/9/2009	7,096	6,999			
645	X	FNMA POOL 745275	5.0%			31403C6L0			1/22/2009		10/9/2009	6,927	6,814			
646	X	FNMA POOL 745275	5.0%			31403C6L0			1/22/2009		10/25/2009	162	166			
647	X	FNMA POOL #745418	5.5%			31403DDX4			2/27/2008		1/25/2009	90	89			
648	X	FNMA POOL #745418	5.5%			31403DDX4			2/27/2008		2/25/2009	199	198			
649	X	FNMA POOL #745418	5.5%			31403DDX4			2/27/2008		3/25/2009	264	263			
650	X	FNMA POOL #745418	5.5%			31403DDX4			2/27/2008		4/25/2009	253	252			
651	X	FNMA POOL #745418	5.5%			31403DDX4			2/27/2008		5/25/2009	245	243			
652	X	FNMA POOL #745418	5.5%			31403DDX4			2/27/2008		6/25/2009	270	269			
653	X	FNMA POOL #745418	5.5%			31403DDX4			2/27/2008		7/25/2009	257	256			
654	X	FNMA POOL #745418	5.5%			31403DDX4			2/27/2008		8/25/2009	210	209			
655	X	FNMA POOL #745418	5.5%			31403DDX4			2/27/2008		9/1/2009	9,750	9,294			
656	X	FNMA POOL #745418	5.5%			31403DDX4			2/27/2008		9/25/2009	165	164			
657	X	FNMA POOL 745870	5.0%			31403DT33			6/11/2009		8/25/2009	608	609			
658	X	FNMA POOL 745870	5.0%			31403DT33			6/11/2009		9/25/2009	347	347			
659	X	FNMA POOL 745870	5.0%			31403DT33			6/11/2009		10/9/2009	28,154	28,097			
660	X	FNMA POOL 745870	5.0%			31403DT33			6/11/2009		10/25/2009	426	427			
661	X	FNMA POOL 745948	6.5%			31403DWH8			9/10/2009		11/25/2009	1,090	1,164			
662	X	FNMA POOL #745948	6.5%			31403DWH8			9/10/2009		12/25/2009	818	873			
663	X	FNMA POOL #745948	6.5%			31403DWH8			9/10/2009		12/31/2009	952	1,017			
664	X	FNMA POOL 773289	4.575%			31404NC20			2/28/2008		1/25/2009	600	599			
665	X	FNMA POOL 773289	4.585%			31404NC20			2/28/2008		2/25/2009	15	15			
666	X	FNMA POOL 773289	4.585%			31404NC20			2/28/2008		3/25/2009	15	15			
667	X	FNMA POOL 773289	4.585%			31404NC20			2/28/2008		4/25/2009	15	15			
668	X	FNMA POOL 773289	4.585%			31404NC20			2/28/2008		5/20/2009	8,869	8,567			
669	X	FNMA POOL 773289	4.585%			31404NC20			2/28/2008		5/25/2009	16	16			
670	X	FNMA POOL 831812	6.0%			31407JDR0			2/27/2008		1/25/2009	17	17			
671	X	FNMA POOL 831812	6.0%			31407JDR0			2/27/2008		2/25/2009	259	262			
672	X	FNMA POOL 831812	6.0%			31407JDR0			2/27/2008		3/25/2009	120	121			
673	X	FNMA POOL 831812	6.0%			31407JDR0			2/27/2008		4/25/2009	385	390			
674	X	HMOT 2005-3 A2	4.41%			41283ABY4			3/25/2008		5/15/2009	318	320			
675	X	HMOT 2005-3 A2	4.41%			41283ABY4			3/25/2008		6/15/2009	276	277			
676	X	HMOT 2005-3 A2	4.41%			41283ABY4			3/25/2008		7/15/2009	283	285			
677	X	HMOT 2005-3 A2	4.41%			41283ABY4			3/25/2008		8/15/2009	269	270			
678	X	HMOT 2005-3 A2	4.41%			41283ABY4			3/25/2008		9/15/2009	266	267			
679	X	HMOT 2005-3 A2	4.41%			41283ABY4			3/25/2008		10/15/2009	263	264			
680	X	HMOT 2005-3 A2	4.41%			41283ABY4			3/25/2008		11/15/2009	230	231			
681	X	HMOT 2005-3 A2	4.41%			41283ABY4			3/25/2008		12/15/2009	228	229			
682	X	HESS CORP				42809H107			2/12/2008		9/22/2009	8,468	13,633			
683	X	HESS CORP				42809H107			2/12/2008		12/28/2009	4,199	6,362			
684	X	HEWLETT PACKARD CO				428236103			9/4/2009		9/22/2009	3,293	3,133			
685	X	HEWLETT PACKARD CO				428236103			8/21/2009		9/22/2009	7,997	7,546			
686	X	HEWLETT PACKARD CO				428236103			8/21/2009		12/28/2009	5,254	4,439			
												5,637,082				
												0				
												0				
												-645,817				

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Totals					
								Long Term CG Distributions		Short Term CG Distributions		Amount	
								Gross Sales	Cost, Other Basis and Expenses	Cost, Other Basis	Net Gain or Loss		
687	X	HOME DEPOT INC	437076102			8/28/2009		9/22/2009	10,850	10,811			
688	X	HOME DEPOT INC	437076102			8/28/2009		12/28/2009	2,033	1,941			
689	X	HOME DEPOT INC	437076102			9/11/2009		12/28/2009	2,904	2,745			
690	X	HAROT 2006-3 A4 5.11%	43812RAD0			3/5/2008		11/15/2009	736	750			
691	X	HAROT 2006-3 A4 5.11%	43812RAD0			3/5/2008		12/15/2009	1,305	1,331			
692	X	HONEYWELL INTL INC	438516106			2/12/2008		9/22/2009	5,964	8,700			
693	X	HONEYWELL INTL INC	438516106			2/12/2008		12/28/2009	2,780	4,060			
694	X	HOSPIRA INC	441060100			2/12/2008		9/22/2009	6,135	5,824			
695	X	HOSPIRA INC	441060100			2/12/2008		12/28/2009	2,526	2,080			
696	X	HUMAN GENOME SCIENCES	444903108			12/3/2009		12/28/2009	1,860	1,731			
697	X	HYDRO-QUEBEC 7.5%	448814EK5			4/29/2009		6/24/2009	11,468	11,650			
698	X	ILLINOIS ST TOLL HWY 6 184	452252FH7			5/12/2009		5/12/2009	5,070	5,000			
699	X	INTEL CORP	458140100			3/10/2009		6/5/2009	5,922	5,138			
700	X	INTEL CORP	458140100			5/15/2008		6/5/2009	1,109	1,744			
701	X	INTEL CORP	458140100			5/16/2008		6/5/2009	10,611	16,676			
702	X	INTEL CORP	458140100			1/13/2008		6/5/2009	3,167	3,150			
703	X	INTEL CORP	458140100			3/10/2009		6/5/2009	14,982	12,996			
704	X	INTERNATIONAL BUSINESS N	459200101			2/12/2008		8/21/2009	32,321	28,879			
705	X	INTERNATIONAL BUSINESS N	459200101			2/12/2008		9/22/2009	8,516	7,487			
706	X	INTERNATIONAL BUSINESS N	459200101			2/12/2008		12/28/2009	3,164	2,567			
707	X	INTL LEASE FIN CORP 5.75%	45974VA24			2/19/2008		8/5/2009	3,988	5,133			
708	X	AOL INC	00184X105			12/4/2008		12/28/2009	239	160			
709	X	AOL INC	00184X105			12/4/2008		12/29/2009	2	1			
710	X	AT & T INC	00206R102			2/12/2008		9/22/2009	9,831	13,901			
711	X	AT & T INC	00206R102			2/12/2008		12/28/2009	4,239	5,636			
712	X	AT&T INC 6.5% 9/01/2	00206RAD4			2/19/2008		10/27/2009	15,927	14,925			
713	X	ABBOTT LABORATORIES	002824100			2/12/2008		5/1/2009	21,958	29,892			
714	X	ABBOTT LABORATORIES	002824100			1/13/2008		5/1/2009	2,486	3,360			
715	X	ADOBE SYSTEMS INC	00724F101			3/26/2008		2/23/2009	7,365	15,799			
716	X	ADOBE SYSTEMS INC	00724F101			3/26/2008		2/23/2009	4,796	10,331			
717	X	ADOBE SYSTEMS INC	00724F101			1/13/2008		2/23/2009	1,370	2,155			
718	X	AETNA INC	00817Y108			8/8/2008		3/5/2009	716	1,635			
719	X	AETNA INC	00817Y108			8/11/2008		3/5/2009	4,704	10,611			
720	X	AETNA INC	00817Y108			7/23/2008		3/5/2009	194	407			
721	X	AETNA INC	00817Y108			7/24/2008		6/15/2009	3,600	6,369			
722	X	AETNA INC	00817Y108			1/13/2008		6/15/2009	2,490	2,838			
723	X	AETNA INC	00817Y108			7/23/2008		6/15/2009	679	1,221			
724	X	AETNA INC	00817Y108			7/24/2008		6/15/2009	7,942	14,060			
725	X	ALLIANCE DATA SYS CORP	018581108			7/23/2008		9/22/2009	5,723	5,678			
726	X	ALLIANCE DATA SYS CORP	018581108			7/23/2008		12/28/2009	2,567	2,523			
727	X	AMERIPRISE FINANCIAL INC	03076C106			1/21/2009		9/22/2009	3,279	1,917			
728	X	AMERIPRISE FINANCIAL INC	03076C106			1/21/2009		12/28/2009	1,971	958			
729	X	AMGEN INC	031162100			11/3/2008		9/22/2009	1,834	1,828			
730	X	AMGEN INC	031162100			10/29/2008		9/22/2009	6,726	6,615			
731	X	AMGEN INC	031162100			10/29/2008		12/28/2009	2,875	3,007			
732	X	APACHE CORP	037411105			2/12/2008		9/22/2009	9,311	10,483			
733	X	APACHE CORP	037411105			2/12/2008		12/28/2009	5,221	5,241			
734	X	INTL LEASE FIN CORP 5.75%	45974VA24			2/19/2008		8/19/2009	4,225	5,133			
735	X	INTL LEASE FIN CORP 5.75%	45974VA24			2/19/2008		9/3/2009	4,400	5,133			
								Securities	4,991,265	5,637,082		Expense of Sale and Cost of Improve-ments	
								Other sales	0	0			-645,817

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

		Long Term CG Distributions		Short Term CG Distributions		Amount		Totals		Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss	
						498		Securities		4,991,265		5,637,082		-645,817	
								Other sales		0		0		0	
Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements		Depreciation	
736	X	INTL LEASE FIN CORP 5.75%	45974VA24			2/19/2008		9/10/2009	4,425	5,133					
737	X	JPMORGAN CHASE & CO	46625H100			2/12/2008		9/22/2009	14,067	13,601					
738	X	JPMORGAN CHASE & CO	46625H100			2/12/2008		12/28/2009	5,828	5,142					
739	X	JOHNSON & JOHNSON	478160104			2/12/2008		1/21/2009	36,299	40,715					
740	X	JOHNSON & JOHNSON	478160104			11/3/2008		1/21/2009	4,468	4,866					
741	X	JOHNSON CONTROLS INC 5	478366AR8			5/7/2008		7/11/2009	4,576	4,915					
742	X	JOHNSON CONTROLS INC 5	478366AR8			5/7/2008		7/12/2009	9,174	9,830					
743	X	JOHNSON CONTROLS INC 5	478366AR8			6/3/2008		7/7/2009	4,619	4,911					
744	X	JOHNSON CONTROLS INC 5	478366AR8			6/30/2008		7/14/2009	4,638	4,818					
745	X	JOHNSON CONTROLS INC 5	478366AR8			7/17/2008		7/14/2009	4,638	4,798					
746	X	JUNIPER NETWORKS INC	48203R104			10/29/2008		6/5/2009	10,112	7,823					
747	X	JUNIPER NETWORKS INC	48203R104			10/29/2008		6/8/2009	704	559					
748	X	JUNIPER NETWORKS INC	48203R104			11/3/2008		6/8/2009	2,345	1,807					
749	X	JUNIPER NETWORKS INC	48203R104			10/28/2008		6/8/2009	2,814	2,137					
750	X	JUNIPER NETWORKS INC	48203R104			10/28/2008		9/22/2009	3,304	2,137					
751	X	JUNIPER NETWORKS INC	48203R104			10/28/2008		12/28/2009	1,338	890					
752	X	KBR INC	48242W106			2/12/2008		9/2/2009	217	320					
753	X	KBR INC	48242W106			2/12/2008		9/2/2009	282	416					
754	X	KBR INC	48242W106			2/12/2008		9/2/2009	628	929					
755	X	KBR INC	48242W106			2/12/2008		9/3/2009	3,714	5,509					
756	X	KBR INC	48242W106			2/12/2008		9/3/2009	868	1,281					
757	X	KBR INC	48242W106			2/12/2008		9/3/2009	174	256					
758	X	KBR INC	48242W106			2/12/2008		9/4/2009	7,111	10,505					
759	X	KBR INC	48242W106			2/12/2008		9/4/2009	432	641					
760	X	KBR INC	48242W106			2/12/2008		9/22/2009	4,051	5,765					
761	X	KBR INC	48242W106			2/12/2008		12/28/2009	1,380	2,242					
762	X	KEYCORP NEW	493267108			11/3/2008		5/21/2009	376	876					
763	X	KEYCORP NEW	493267108			10/14/2008		5/21/2009	1,613	3,507					
764	X	KEYCORP NEW	493267108			11/3/2008		5/21/2009	595	1,376					
765	X	KEYCORP NEW	493267108			10/14/2008		5/22/2009	2,150	4,792					
766	X	KEYCORP NEW	493267108			10/15/2008		5/22/2009	1,678	3,734					
767	X	KEYCORP NEW	493267108			10/14/2008		5/22/2009	1,692	3,740					
768	X	KEYCORP NEW	493267108			10/15/2008		5/26/2009	3,729	8,401					
769	X	LABORATORY CORP AMER H	50540R409			4/23/2008		1/21/2009	58	77					
770	X	LABORATORY CORP AMER H	50540R409			4/23/2008		1/21/2009	1,730	2,310					
771	X	LABORATORY CORP AMER H	50540R409			4/23/2008		1/21/2009	5,190	6,904					
772	X	LABORATORY CORP AMER H	50540R409			4/24/2008		1/21/2009	10,323	13,251					
773	X	LABORATORY CORP AMER H	50540R409			11/3/2008		1/21/2009	1,730	1,836					
774	X	ESTEE LAUDER COMPANIES	518439104			8/14/2008		3/3/2009	1,275	3,076					
775	X	ESTEE LAUDER COMPANIES	518439104			8/14/2008		3/3/2009	1,912	4,550					
776	X	ESTEE LAUDER COMPANIES	518439104			8/14/2008		3/3/2009	3,824	9,141					
777	X	ESTEE LAUDER COMPANIES	518439104			8/15/2008		3/3/2009	595	1,439					
778	X	ESTEE LAUDER COMPANIES	518439104			8/14/2008		3/3/2009	744	1,767					
779	X	ESTEE LAUDER COMPANIES	518439104			8/15/2008		3/3/2009	671	1,644					
780	X	AUTOLIV INC	052800109			6/10/2009		9/22/2009	4,261	3,770					
781	X	AUTOLIV INC	052800109			6/10/2009		12/28/2009	2,604	1,885					
782	X	BNY MELLON MID CAP STK F	05569M509			2/12/2008		9/22/2009	315,000	380,219					
783	X	BNY MELLON MID CAP STK F	05569M509			2/12/2008		12/29/2009	20,000	23,392					
784	X	BNY MELLON SMALL CAP ST	05569M806			2/12/2008		9/22/2009	120,000	149,711					

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Totals												
Securities												
Other sales												
Gross Sales												
4,991,265												
0												
Cost, Other Basis and Expenses												
5,637,082												
Net Gain or Loss												
-645,817												
0												
Depreciation												
Expense of Sale and Cost of Improvements												
Valuation Method												
Cost or Other Basis												
Gross Sales Price												
Date Sold												
Acquisition Method												
Date Acquired												
Check "X" if Purchaser is a Business												
Purchaser												
CUSIP #												
Description												
Check "X" if Sale of Security												
Index												
785	X	BNY MELLON SMALL CAP ST	05569M806			2/12/2008		12/29/2009	102,255	125,289		
786	X	BNY MELLON SMALL CAP ST	05569M806			11/3/2008		12/29/2009	19,322	18,000		
787	X	BNY MELLON SMALL CAP ST	05569M806			12/3/2008		12/29/2009	3,117	2,438		
788	X	BNY MELLON SMALL CAP ST	05569M806			3/27/2009		12/29/2009	68	49		
789	X	BNY MELLON SMALL CAP ST	05569M806			12/8/2009		12/29/2009	159	150		
790	X	BNY MELLON INTERNATIONAL	05569M871			2/12/2008		9/22/2009	570,000	713,173		
791	X	BANK OF AMERICA CORP	060505104			2/12/2008		1/26/2009	9,182	59,228		
792	X	BANK OF AMERICA CORP	060505104			2/12/2008		2/6/2009	4,291	30,043		
793	X	BANK OF AMERICA CORP	060505104			10/14/2008		2/6/2009	1,986	8,424		
794	X	BANK OF AMERICA CORP	060505104			10/14/2008		2/6/2009	215	907		
795	X	BANK OF AMERICA CORP	060505104			10/15/2008		2/6/2009	2,152	9,018		
796	X	BANK OF AMERICA CORP	060505104			11/3/2008		2/6/2009	2,023	7,719		
797	X	BANK OF AMERICA CORP	060505104			5/7/2009		9/22/2009	12,517	9,617		
798	X	BANK OF AMERICA CORP	060505104			5/7/2009		12/28/2009	4,703	4,199		
799	X	BEAR STEARNS CO INC	073902CE6			5/2/2008		9/18/2009	10,358	9,908		
800	X	BEST BUY INC	086516101			3/17/2009		9/11/2009	30,030	23,993		
801	X	BLACKROCK INC	09247X101			4/24/2009		9/22/2009	6,219	4,510		
802	X	BLACKROCK INC	09247X101			4/24/2009		12/28/2009	716	451		
803	X	BRITISH SKY BROADCASTS	111013AB4			3/4/2008		2/23/2009	15,000	15,326		
804	X	BROADCOM CORP CL A	111320107			2/23/2009		9/22/2009	4,029	2,086		
805	X	BROADCOM CORP CL A	111320107			2/23/2009		11/3/2009	18,100	11,233		
806	X	CIGNA CORP	125509109			6/19/2009		9/22/2009	3,432	2,745		
807	X	CIGNA CORP	125509109			6/19/2009		12/28/2009	1,797	1,248		
808	X	CITEC 2006-VT2 A4 5.05%	125565AD3			3/5/2008		6/20/2009	711	722		
809	X	CITEC 2006-VT2 A4 5.05%	125565AD3			3/5/2008		7/20/2009	1,698	1,723		
810	X	CITEC 2006-VT2 A4 5.05%	125565AD3			3/5/2008		8/20/2009	1,774	1,800		
811	X	CITEC 2006-VT2 A4 5.05%	125565AD3			3/5/2008		9/20/2009	1,366	1,386		
812	X	APPLE INC	037833100			7/8/2008		9/22/2009	14,747	14,157		
813	X	APPLE INC	037833100			7/8/2008		12/28/2009	8,230	6,901		
814	X	CITEC 2006-VT2 A4 5.05%	125565AD3			3/5/2008		10/20/2009	1,170	1,188		
815	X	CITEC 2006-VT2 A4 5.05%	125565AD3			3/5/2008		11/20/2009	1,112	1,129		
816	X	CITEC 2006-VT2 A4 5.05%	125565AD3			3/5/2008		12/20/2009	1,022	1,037		
817	X	CIT GROUP INC	125581AX6			2/19/2008		5/7/2009	3,425	4,652		
818	X	CIT GROUP INC	125581AX6			2/19/2008		5/29/2009	3,500	4,652		
819	X	CIT GROUP INC	125581AX6			2/19/2008		5/29/2009	3,450	4,652		
820	X	CNH 2005-A A48 4.29%	12613XCR0			5/15/2008		1/15/2009	651	644		
821	X	CNH 2005-A A48 4.29%	12613XCR0			5/15/2008		2/17/2009	3,488	3,451		
822	X	CVS CAREMARK CORP	126650100			2/12/2008		9/22/2009	13,608	15,394		
823	X	CVS CAREMARK CORP	126650100			2/12/2008		12/28/2009	5,171	6,482		
824	X	CALIFORNIA ST	13063A5E0			4/22/2009		3/4/2009	5,189	5,037		
825	X	ESTEE LAUDER COMPANIES	518439104			8/14/2008		3/4/2009	2,360	3,844		
826	X	ESTEE LAUDER COMPANIES	518439104			11/3/2008		3/4/2009	2,360	3,844		
827	X	LIMITED BRANDS INC	532716107			10/6/2009		12/28/2009	2,142	1,989		
828	X	LIMITED BRANDS INC	532716107			10/6/2009		12/28/2009	389	361		
829	X	LORILLARD INC	544147101			12/1/2009		9/22/2009	5,179	4,297		
830	X	LORILLARD INC	544147101			12/1/2009		10/5/2009	15,146	12,399		
831	X	LORILLARD INC	544147101			12/1/2009		10/5/2009	4,878	3,990		
832	X	LORILLARD INC	544147101			12/1/2009		10/6/2009	7,161	5,831		
833	X	LORILLARD INC	544147101			12/1/2009		10/6/2009	1,356	1,105		
Totals									4,991,265	5,637,082		
Securities									0	0		
Other sales									0	0		
Gross Sales									4,991,265	5,637,082		
Cost, Other Basis and Expenses									5,637,082			
Net Gain or Loss									-645,817			
									0			

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals			
									Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss	Depreciation
Long Term CG Distributions									4,991,265	5,637,082	-645,817	
Short Term CG Distributions									0	0	0	
Amount									498			
834	X	MCAFEES INC	579064106			2/12/2008		7/29/2009	6,696	5,160		
835	X	MCAFEES INC	579064106			2/12/2008		7/30/2009	16,862	13,416		
836	X	MCAFEES INC	579064106			1/13/2008		7/30/2009	3,026	2,249		
837	X	MC DONALD'S CORPORATION	580135101			4/2/2008		3/17/2009	18,620	19,976		
838	X	MC DONALD'S CORPORATION	580135101			4/1/2008		3/17/2009	4,256	4,558		
839	X	MC DONALD'S CORPORATION	580135101			4/1/2008		5/7/2009	17,558	18,803		
840	X	MC DONALD'S CORPORATION	580135101			1/13/2008		5/7/2009	4,788	5,117		
841	X	MCKESSON CORPORATION	58155Q103			2/4/2009		9/22/2009	2,912	2,278		
842	X	MCKESSON CORPORATION	58155Q103			10/7/2009		12/28/2009	1,897	1,774		
843	X	MEDTRONIC INC	585055106			2/12/2008		9/22/2009	4,446	5,771		
844	X	MEDTRONIC INC	585055106			2/12/2008		12/28/2009	2,206	2,404		
845	X	MERCK & CO INC	58933Y105			3/13/2009		9/22/2009	2,838	2,470		
846	X	MERCK & CO INC	58933Y105			3/13/2009		12/8/2009	22	17		
847	X	MERCK & CO INC	58933Y105			3/13/2009		12/28/2009	5,204	3,842		
848	X	MICROSOFT CORPORATION	594918104			2/12/2008		9/22/2009	7,647	8,508		
849	X	MICROSOFT CORPORATION	594918104			2/12/2008		12/28/2009	3,714	3,403		
850	X	MOLSON COORS BREWING CO	60871R209			10/6/2008		3/13/2009	1,257	1,860		
851	X	MOLSON COORS BREWING CO	60871R209			10/6/2008		3/13/2009	7,543	10,866		
852	X	MOLSON COORS BREWING CO	60871R209			11/3/2008		3/13/2009	1,257	1,454		
853	X	MOLSON COORS BREWING CO	60871R209			5/21/2009		9/22/2009	5,529	4,786		
854	X	MORGAN STANLEY	617446448			5/21/2009		12/28/2009	1,301	1,125		
855	X	MORGAN STANLEY	617446448			5/21/2009		12/28/2009	2,633	2,531		
856	X	MORGAN STANLEY	617446448			6/10/2008		4/14/2009	7,958	25,374		
857	X	MOSAIC CO	61945A107			11/3/2008		4/14/2009	884	741		
858	X	NATIONAL OILWELL VARCO INC	637071101			12/30/2008		4/23/2009	9,667	7,886		
859	X	NATIONAL OILWELL VARCO INC	637071101			12/29/2008		4/24/2009	3,935	3,105		
860	X	NATIONAL OILWELL VARCO INC	637071101			12/29/2008		4/24/2009	1,241	976		
861	X	NATIONAL OILWELL VARCO INC	637071101			12/30/2008		4/24/2009	6,629	5,233		
862	X	NEW JERSEY ST TPK AU 7.41	646139W35			4/20/2009		4/20/2009	10,537	10,000		
863	X	NEWS CORP INC	65248E203			2/12/2008		9/22/2009	9,497	13,299		
864	X	NEWS CORP INC	65248E203			2/12/2008		12/28/2009	4,598	5,844		
865	X	NALT 2006-A A4 5.1% 7/1	65480AAD1			3/5/2008		6/15/2009	4,793	4,852		
866	X	NALT 2006-A A4 5.1% 7/1	65480AAD1			5/19/2008		7/15/2009	1,917	1,898		
867	X	NALT 2006-A A4 5.1% 7/1	65480AAD1			3/5/2008		8/15/2009	6,268	6,346		
868	X	NALT 2006-A A4 5.1% 7/1	65480AAD1			5/19/2008		7/15/2009	2,507	2,482		
869	X	NALT 2006-A A4 5.1% 7/1	65480AAD1			3/5/2008		8/15/2009	6,140	6,216		
870	X	CALIFORNIA ST 7.5% 4/1	13063A5E0			4/22/2009		4/23/2009	5,166	5,037		
871	X	CALIFORNIA ST 7.55% 4/1	13063A5G5			4/22/2009		5/11/2009	5,134	5,069		
872	X	CALIFORNIA ST 7.55% 4/1	13063A5G5			4/22/2009		5/11/2009	5,133	5,069		
873	X	CALIFORNIA ST 7.55% 4/1	13063A5G5			4/22/2009		10/6/2009	5,406	5,069		
874	X	CHUBB CORP	171232101			2/12/2008		12/2/2009	22,370	27,659		
875	X	CHUBB CORP	171232101			2/12/2008		9/22/2009	5,346	5,740		
876	X	CHUBB CORP	171232101			2/12/2008		12/28/2009	2,455	2,609		
877	X	CISCO SYSTEMS INC	17275R102			2/12/2008		2/23/2009	6,486	10,462		
878	X	CISCO SYSTEMS INC	17275R102			2/12/2008		9/22/2009	13,566	13,791		
879	X	CISCO SYSTEMS INC	17275R102			2/12/2008		12/28/2009	5,987	5,945		
880	X	COACH INC	189754104			10/21/2008		1/21/2009	6,069	9,015		
881	X	COACH INC	189754104			10/21/2008		1/21/2009	706	1,047		
882	X	COACH INC	189754104									
Gross Sales									4,991,265	5,637,082	-645,817	
Securities									0	0	0	
Other sales									0	0	0	

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Totals		Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Net Gain or Loss
								Long Term CG Distributions	Short Term CG Distributions					
								Amount	498					
883	X	COACH INC	189754104			10/21/2008				431	628			
884	X	COACH INC	189754104			10/22/2008				1,005	1,367			
885	X	COACH INC	189754104			10/22/2008				4,020	5,497			
886	X	COACH INC	189754104			11/3/2008				1,292	1,802			
887	X	COCA COLA ENTERPRISES INC	191219104			2/12/2008				4,819	5,568			
888	X	COCA COLA ENTERPRISES INC	191219104			2/12/2008				2,113	2,421			
889	X	COLGATE-PALMOLIVE CO	194162103			8/8/2008				5,019	5,385			
890	X	COLGATE-PALMOLIVE CO	194162103			8/8/2008				5,892	6,287			
891	X	COLGATE-PALMOLIVE CO	194162103			8/8/2008				3,558	3,803			
892	X	COLGATE-PALMOLIVE CO	194162103			8/8/2008				1,306	1,397			
893	X	COLGATE-PALMOLIVE CO	194162103			8/8/2008				5,813	6,353			
894	X	COLGATE-PALMOLIVE CO	194162103			8/8/2008				5,813	6,275			
895	X	COLGATE-PALMOLIVE CO	194162103			8/8/2008				648	697			
896	X	COLGATE-PALMOLIVE CO	194162103			8/7/2008				3,602	3,797			
897	X	COLGATE-PALMOLIVE CO	194162103			8/7/2008				502	532			
898	X	COLGATE-PALMOLIVE CO	194162103			8/7/2008				1,003	1,063			
899	X	CONOCOPHILLIPS	20825C104			11/3/2008				3,583	3,168			
900	X	CONOCOPHILLIPS	20825C104			2/12/2008				4,479	9,243			
901	X	CONOCOPHILLIPS	20825C104			2/12/2008				6,714	13,865			
902	X	CONOCOPHILLIPS	20825C104			2/12/2008				7,474	12,324			
903	X	CONOCOPHILLIPS	20825C104			2/12/2008				3,566	5,392			
904	X	CUMMINS INC	231021106			5/1/2009				824	615			
905	X	CUMMINS INC	231021106			5/1/2009				4,669	3,482			
906	X	CUMMINS INC	231021106			5/1/2009				947	683			
907	X	CUMMINS INC	231021106			2/12/2008				1,420	1,024			
908	X	DANAHER CORP	235851102			2/12/2008				3,400	3,696			
909	X	DANAHER CORP	235851102			2/12/2008				1,512	1,479			
910	X	DARDEN RESTAURANTS INC	237194105			5/8/2008				11,107	12,082			
911	X	DARDEN RESTAURANTS INC	237194105			5/8/2008				2,294	2,538			
912	X	DARDEN RESTAURANTS INC	237194105			5/8/2008				4,589	5,087			
913	X	DARDEN RESTAURANTS INC	237194105			5/8/2008				1,639	1,825			
914	X	DARDEN RESTAURANTS INC	237194105			5/8/2008				328	366			
915	X	DARDEN RESTAURANTS INC	237194105			11/3/2008				2,622	1,734			
916	X	DEVON FING CORP	25179SAC4			2/13/2008				5,382	5,480			
917	X	DEVON FING CORP	25179SAC4			2/13/2008				5,386	5,480			
918	X	NALT 2006-A A4	65480AAD1			5/19/2008				2,456	2,431			
919	X	NALT 2006-A A4	65480AAD1			5/19/2008				5,914	5,987			
920	X	NALT 2006-A A4	65480AAD1			3/5/2008				2,366	2,342			
921	X	NALT 2006-A A4	65480AAD1			5/19/2008				1,885	1,908			
922	X	NALT 2006-A A4	65480AAD1			5/19/2008				754	746			
923	X	NOKIA CORP-SPON ADR	654902204			7/28/2008				5,068	10,699			
924	X	NOKIA CORP-SPON ADR	654902204			7/28/2008				1,334	2,820			
925	X	NOKIA CORP-SPON ADR	654902204			7/16/2009				1,600	1,889			
926	X	NOKIA CORP-SPON ADR	654902204			7/16/2009				6,795	14,382			
927	X	NORFOLK SOUTHERN CORP	655844108			11/4/2009				2,673	2,492			
928	X	NORTHERN TR CORP	665859104			1/21/2009				1,799	1,870			
929	X	NORTHERN TR CORP	665859104			1/21/2009				4,082	4,275			
930	X	NORTHERN TR CORP	665859104			2/4/2009				1,025	1,210			
931	X	NORTHERN TR CORP	665859104			2/4/2009				2,050	2,418			
Totals										4,991,265	5,637,082			
Securities										0	0			-645,817
Other sales										0	0			0

Index	Check "X" if Sale of Security	Long Term CG Distributions		Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Totals					
		Amount								Gross Sales	Cost, Other Basis and Expenses		Net Gain or Loss		
		Short Term CG Distributions	498								Basis and Expenses	Valuation Method		Depreciation	
Other sales															
932	X			NORTHERN TR CORP	665859104			1/21/2009		5/21/2009	4,612	4,809			
933	X			NORTHERN TR CORP	665859104			1/21/2009		5/22/2009	5,473	5,611			
934	X			NOVARTIS AG-ADR	66987V109			9/24/2008		4/24/2009	10,311	15,265			
935	X			NOVARTIS AG-ADR	66987V109			9/25/2008		4/24/2009	1,106	1,658			
936	X			NOVARTIS AG-ADR	66987V109			9/25/2008		4/24/2009	1,474	2,213			
937	X			NOVARTIS AG-ADR	66987V109			9/24/2008		4/24/2009	1,843	2,726			
938	X			NOVARTIS AG-ADR	66987V109			10/7/2008		4/27/2009	2,364	3,511			
939	X			NOVARTIS AG-ADR	66987V109			11/3/2008		4/27/2009	3,637	5,089			
940	X			NOVARTIS AG-ADR	66987V109			10/7/2008		4/27/2009	4,122	6,050			
941	X			NOVARTIS AG-ADR	66987V109			9/24/2008		4/27/2009	2,575	3,816			
942	X			NOVARTIS AG-ADR	66987V109			9/24/2008		4/27/2009	1,839	2,724			
943	X			NOVARTIS AG-ADR	66987V109			10/7/2008		9/22/2009	7,467	10,965			
944	X			OCCIDENTAL PETROLEUM CO	674599105			4/23/2009		9/22/2009	4,732	3,332			
945	X			OCCIDENTAL PETROLEUM CO	674599105			4/23/2009		12/28/2009	2,460	1,666			
946	X			OMNICOM GROUP INC	681919106			2/12/2008		9/22/2009	5,310	6,586			
947	X			OMNICOM GROUP INC	681919106			2/12/2008		12/28/2009	2,368	2,823			
948	X			ORACLE CORP	68389X105			2/12/2008		9/22/2009	7,959	7,356			
949	X			ORACLE CORP	68389X105			2/12/2008		12/28/2009	3,181	6,883			
950	X			PNC FINANCIAL SERVICES G	693475105			2/29/2008		2/4/2009	3,229	3,543			
951	X			PARKER HANNIFIN CORP	701094104			12/24/2008		9/22/2009	4,876	389			
952	X			PARKER HANNIFIN CORP	701094104			12/23/2008		12/28/2009	1,633	1,167			
953	X			PARKER HANNIFIN CORP	701094104			12/23/2008		12/28/2009	37,400	35,515			
954	X			DREYFUS MIDCAP INDEX	712223106			9/22/2009		9/22/2009	9,341	11,466			
955	X			PEPSICO INC	713448108			2/12/2008		12/28/2009	4,273	5,016			
956	X			PEPSICO INC	713448108			2/12/2008		7/9/2009	6,194	4,988			
957	X			PEPSICO INC	713448B16			10/21/2008		7/14/2009	6,187	4,988			
958	X			PEPSICO INC	713448B16			10/21/2008		7/14/2009	6,187	4,988			
959	X			PEPSICO INC	713448B16			10/21/2008		9/22/2009	14,362	19,435			
960	X			PFIZER INC	717081103			2/12/2008		12/28/2009	7,041	8,587			
961	X			PFIZER INC	717081103			2/12/2008		9/22/2009	8,755	9,099			
962	X			PHILIP MORRIS INTERNAT W	718172109			2/12/2008		12/28/2009	3,898	4,044			
963	X			PHILIP MORRIS INTERNAT W	718172109			2/12/2008		12/28/2009	296	286			
964	X			DREYFUS PREM LT TRM HI Y	261980759			9/30/2009		12/29/2009	1,165	1,138			
965	X			DREYFUS PREM LT TRM HI Y	261980759		X	10/30/2009		12/29/2009		6,076			
966	X			MELLON OPTIMA-SHORT TER								28,236			
967	X			MELLON OPTIMA-SHORT TER								1,059			
968	X			MELLON OPTIMA-SEC 1256											
969	X			MELLON OPTIMA-SEC 1256											

Line 11 (990-PF) - Other Income

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	MISC RECEIPTS-INFLATION/DEFLATIO	171	171	
2	MELLON OPTIMA-ORDINARY INCOME	-14,083	-14,083	
3	MELLON OPTIMA-OTHER INCOME	-1,817	-1,817	
4	MELLON OPTIMA-ORDINARY UBTI		322	
5			0	
6			0	
7			0	
8			0	
9			0	
10			0	
		-15,729	-15,407	0

Line 16c (990-PF) - Other Fees

		36,206	36,206	0	0
	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	BNY Mellon	36,206	36,206		
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 18 (990-PF) - Taxes

		16,783	3,030	0	3,978
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES PAID	2,808	2,808		
2	2009 ESTIMATED TAX PAYMENTS	4,888			
3	FEDERAL EXTENSION PAYMENT	4,887			
4	MELLON OPTIMA-FOREIGN TAXES PA	222	222		
5	O/S CHECKING ACCOUNT - TAXES PA	3,978			3,978
6					
7					
8					
9					
10					

Line 23 (990-PF) - Other Expenses

		22,891	16,934	0	6,265
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Amortization. See attached statement	0	0	0	0
2					
3	O/S CHECKING ACCOUNT - PHONE EXPENSES	1,161			1,161
4	O/S CHECKING ACCOUNT - FALL CONFERENCE	2,180			2,180
5	O/S CHECKING ACCOUNT - INSURANCE	1,164			1,164
6	O/S CHECKING ACCOUNT - PO BOX RENTAL	106			106
7	O/S CHECKING ACCOUNT - OFFICE SUPPLIES	857			857
8	O/S CHECKING ACCOUNT - FOUNDATION DUES	495			495
9	O/S CHECKING ACCOUNT - BOARD MEETING	302			302
10	ADR FEES	46	46		
11	INVESTMENT FEES	4,012	4,320		
12	MELLON OPTIMA-PORTFOLIO 2% FLOOR	12,369	12,369		
13	ISHARES-PORTFOLIO 2% FLOOR	199	199		
14					
15					

Part II, Line 13 (990-PF) - Investments - Other

		8,643,361	7,692,180	7,752,792
		Book Value	Book Value	FMV
		End of Year	End of Year	End of Year
		8,643,361	7,692,180	7,752,792
1	Item or Category	Basis of Valuation		
2	INVESTMENTS - OTHER			
3				
4				
5				
6				
7				
8				
9				
10				

Part II, Line 15 (990-PF) - Other Assets

	Description	Beginning Balance	Ending Balance	Fair Market Value
1	MELLON OPTIMA US STRATEGY FD LLC	750,000	750,000	685,751
2				
3				
4				
5				
6				
7				
8				
9				
10				

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	MELLON OPTIMA ADJUSTMENT	1	64,335
2	iSHARES ADJUSTMENT	2	183
3	Total	3	64,518

Line 5 - Decreases not included in Part III, Line 2

1	PURCHASE OF ACCRUED INTEREST C/O TO NEXT YEAR	1	1,236
2	LOSS ON PY SALES SETTLED IN CY	2	15,828
3	Total	3	17,064

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

		Amount		Totals		4,990,767		5,837,082		-646,315		F M V.		Adjusted Basis		Excess of FMV		Gains Minus Excess	
		Long Term CG Distributions		Short Term CG Distributions		Date		Gross Sales Price		Depreciation Allowed		Cost or Other Basis Plus Expense of Sale		as of 12/31/69		Over Adj Basis		of FMV Over Adjusted Basis of Losses	
		Kind(s) of Property Sold		How Acquired		Date Acquired		Date Sold		Gross Sales Price		Depreciation Allowed		Cost or Other Basis Plus Expense of Sale		Gain or Loss		F M V. as of 12/31/69	
		CUSIP #		498		0													
1	TIME WARNER INC	887317303				12/4/2008	9/22/2009	4,252	0	2,995	1,257								
2	TIME WARNER INC	887317303				12/4/2008	12/28/2009	1,750	0	1,195	555								
3	TIME WARNER INC	887317303				3/18/2008	6/3/2009	8,390	0	9,015	-625								
4	TIME WARNER CABLE INC	88732J207				12/4/2008	4/22/2009	25	0	25	0								
5	TIME WARNER CABLE INC	88732J207				12/4/2008	6/1/2009	6,872	0	6,317	555								
6	TIME WARNER CABLE INC	88732J207				6/16/2008	4/14/2009	9,571	0	9,970	-399								
7	US BANCORP	902973304				2/29/2008	12/1/2009	4,688	0	12,006	-7,318								
8	US BANCORP	902973304				2/29/2008	12/1/2009	1,774	0	4,542	-2,768								
9	US BANCORP	902973304				1/13/2009	12/1/2009	887	0	2,110	-1,223								
10	UNILEVER PLC ADR	904767704				12/14/2009	12/28/2009	2,248	0	2,164	84								
11	U S TREASURY NOTE	912810E07				2/14/2008	4/20/2009	6,415	0	5,984	431								
12	U S TREASURY NOTE	912810E07				2/19/2008	4/20/2009	6,415	0	5,977	438								
13	U S TREASURY NOTE	912810E07				2/19/2008	10/8/2009	6,312	0	5,977	335								
14	U S TREASURY BD	912810F70				2/19/2008	1/2/2009	19,716	0	14,546	5,070								
15	UNITED STATES TREASURY	912828A05				5/14/2009	5/26/2009	5,417	0	5,448	-31								
16	UNITED STATES TREAS	912828B02				12/11/2008	5/20/2009	5,501	0	5,666	-165								
17	UNITED STATES TREAS	912828B02				12/11/2008	7/7/2009	27,198	0	28,328	-1,130								
18	UNITED STATES TREAS	912828B02				12/11/2008	8/18/2009	5,431	0	5,666	-235								
19	UNITED STATES TREAS	912828B02				1/22/2009	8/18/2009	16,293	0	16,937	-644								
20	UNITED STATES TREAS	912828B02				1/17/2008	8/18/2009	5,431	0	5,417	14								
21	UNITED STATES TREAS	912828B02				1/17/2008	9/12/2009	5,459	0	5,417	42								
22	UNITED STATES TREAS	912828B02				9/23/2009	10/9/2009	5,452	0	5,443	9								
23	UNITED STATES TREAS	912828B02				10/22/2009	10/28/2009	10,900	0	10,889	11								
24	UNITED STATES TREAS	912828B02				9/23/2009	11/16/2009	21,951	0	21,770	181								
25	US TREASURY NOTE	912828C02				5/26/2009	6/15/2009	13,000	0	13,026	-26								
26	US TREASURY NOTE	912828C02				5/26/2009	6/15/2009	13,000	0	13,027	-27								
27	FHLMC GOLD A69290	3128L1KB5				2/29/2008	2/15/2009	827	0	845	-18								
28	FHLMC GOLD A69290	3128L1KB5				2/29/2008	3/15/2009	1,149	0	1,174	-25								
29	FHLMC GOLD A69290	3128L1KB5				2/29/2008	4/15/2009	307	0	314	-7								
30	FHLMC GOLD A69290	3128L1KB5				2/29/2008	5/15/2009	15	0	15	0								
31	FHLMC GOLD A69290	3128L1KB5				2/29/2008	6/15/2009	141	0	144	-3								
32	FHLMC GOLD A69290	3128L1KB5				2/29/2008	7/15/2009	14	0	15	-1								
33	FHLMC GOLD A69290	3128L1KB5				2/29/2008	8/15/2009	182	0	186	-4								
34	FHLMC GOLD A69290	3128L1KB5				2/29/2008	9/15/2009	149	0	153	-4								
35	FHLMC GOLD A69290	3128L1KB5				2/29/2008	10/15/2009	11	0	11	0								
36	FHLMC GOLD A69290	3128L1KB5				2/29/2008	11/15/2009	11	0	11	0								
37	FHLMC GOLD A69290	3128L1KB5				2/29/2008	12/15/2009	154	0	157	-3								
38	FHLMC GOLD A69290	3128L1KB5				2/29/2008	12/31/2009	209	0	213	-4								
39	FHLMC GOLD A69290	3128M1BF5				11/18/2008	1/15/2009	73	0	71	2								
40	FHLMC GOLD A69290	3128M1BF5				11/18/2008	2/15/2009	109	0	107	2								
41	FHLMC GOLD A69290	3128M1BF5				11/18/2008	3/15/2009	141	0	138	3								
42	FHLMC GOLD A69290	3128M1BF5				11/18/2008	4/15/2009	166	0	162	4								
43	FHLMC GOLD A69290	3128M1BF5				11/18/2008	5/15/2009	135	0	132	3								
44	FHLMC GOLD A69290	3128M1BF5				11/18/2008	6/15/2009	159	0	156	3								
45	FHLMC GOLD A69290	3128M1BF5				11/18/2008	7/15/2009	169	0	166	3								
46	FHLMC GOLD A69290	3128M1BF5				11/18/2008	8/15/2009	131	0	129	2								
47	FHLMC GOLD A69290	3128M1BF5				11/18/2008	9/15/2009	143	0	140	3								
48	FHLMC GOLD A69290	3128M1BF5				11/18/2008	10/15/2009	104	0	102	2								
49	FHLMC GOLD A69290	3128M1BF5				11/18/2008	11/15/2009	104	0	103	1								
50	FHLMC GOLD A69290	3128M1BF5				11/18/2008	12/15/2009	138	0	135	3								
51	FHLMC GOLD A69290	3128M1BF5				11/18/2008	12/31/2009	159	0	156	3								
52	FHLMC GOLD A69290	3128M6HA9				2/9/2009	3/15/2009	121	0	125	-4								
53	FHLMC GOLD A69290	3128M6HA9				2/9/2009	4/15/2009	291	0	302	-11								
54	FHLMC GOLD A69290	3128M6HA9				2/9/2009	5/15/2009	486	0	504	-18								
55	FHLMC GOLD A69290	3128M6HA9				2/9/2009	6/15/2009	108	0	112	-4								
56	FHLMC GOLD A69290	3128M6HA9				2/9/2009	7/15/2009	58	0	60	-2								
57	FHLMC GOLD A69290	3128M6HA9				2/9/2009	8/15/2009	520	0	539	-19								
58	FHLMC GOLD A69290	3128M6HA9				2/9/2009	9/15/2009	211	0	219	-8								
59	FHLMC GOLD A69290	3128M6HA9				2/9/2009	10/15/2009	698	0	723	-25								
60	FHLMC GOLD A69290	3128M6HA9				2/9/2009	11/15/2009	51	0	53	-2								

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Short Term CG Distributions										Amount																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													
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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions															Amount	
Short Term CG Distributions															498	
															0	
	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Totals	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	0	Gains Minus Excess of FMV Over Adjusted Basis or Losses	
121	QUALCOMM INC	747525103		11/10/2008	9/3/2009	14,361		0	11,321	3,040			0		-646,315	
122	RAYTHEON CO	755111507		10/7/2008	12/28/2009	2,854		0	3,120	-266			0		3,040	
123	ROSS STORES INC	778296103		4/17/2008	6/25/2009	3,116		0	2,080	18			0		-266	
124	ROSS STORES INC	778296103		4/17/2008	6/25/2009	3,973		0	2,641	475			0		18	
125	ROSS STORES INC	778296103		4/17/2008	6/25/2009	3,116		0	3,357	616			0		475	
126	ROSS STORES INC	778296103		4/16/2008	6/25/2009	11,511		0	2,641	475			0		616	
127	ROSS STORES INC	778296103		11/3/2008	6/25/2009	3,080		0	9,842	1,669			0		475	
128	ROSS STORES INC	778296103		4/16/2008	6/26/2009	4,206		0	2,485	595			0		1,669	
129	ROSS STORES INC	778296103		2/12/2008	6/19/2009	12,058		0	3,588	618			0		595	
130	ST JUDE MEDICAL INC	790849103		2/12/2008	6/19/2009	4,015		0	13,112	-1,054			0		618	
131	ST JUDE MEDICAL INC	790849103		2/12/2008	6/19/2009	2,764		0	4,371	-356			0		-1,054	
132	ST JUDE MEDICAL INC	790849103		2/12/2008	6/19/2009	8,251		0	3,060	-296			0		-356	
133	ST JUDE MEDICAL INC	790849103		2/12/2008	10/7/2009	1,321		0	1,507	-186			0		-296	
134	ST JUDE MEDICAL INC	790849103		11/3/2008	10/7/2009	1,650		0	10,927	-2,676			0		-186	
135	SCHERING PLOUGH CORP	806605101		2/12/2008	9/22/2009	10,858		0	1,883	-233			0		-2,676	
136	SCHERING PLOUGH CORP	806605101		2/12/2008	11/3/2009	19,425		0	8,513	2,345			0		-233	
137	SCHERING PLOUGH CORP	806605101		11/3/2008	11/3/2009	2,835		0	17,094	2,331			0		2,345	
138	SCHERING PLOUGH CORP	806605101		11/20/2008	9/22/2009	3,079		0	2,588	491			0		2,331	
139	SCHWAB CHARLES CORP NEW	808513105		11/20/2008	12/28/2009	1,513		0	1,218	295			0		1,174	
140	SCHWAB CHARLES CORP NEW	808513105		5/26/2009	6/15/2009	14,000		0	14,029	-29			0		491	
141	US TREAS	912828CL2		6/8/2009	7/10/2009	6,001		0	6,021	-20			0		295	
142	US TREAS	912828CN8		6/8/2009	7/10/2009	7,001		0	7,024	-23			0		-20	
143	US TREAS	912828CN8		6/8/2009	7/10/2009	7,001		0	7,024	-23			0		-23	
144	US TREAS	912828CN8		6/8/2009	7/10/2009	7,001		0	7,024	-23			0		-23	
145	US TREASURY NOTE	912828EN6		1/2/2009	9/10/2009	11,059		0	11,016	43			0		43	
146	UNITED STATES TREAS	912828ES5		1/6/2009	2/9/2009	15,976		0	16,119	-143			0		-143	
147	US TREASURY NOTE	912828ES5		1/2/2009	7/7/2009	21,074		0	21,488	-414			0		-414	
148	US TREASURY NOTE	912828ES5		1/13/2009	8/5/2009	5,269		0	5,373	-104			0		-104	
149	US TREASURY NOTE	912828ES5		1/6/2009	8/5/2009	10,470		0	10,741	-271			0		-271	
150	US TREASURY NOTE	912828ES5		1/9/2009	8/5/2009	5,235		0	5,363	-128			0		-128	
151	US TREASURY NOTE	912828ES5		1/9/2009	8/13/2009	15,722		0	16,089	-367			0		-367	
152	US TREASURY NOTE	912828ES5		1/9/2009	9/17/2009	26,177		0	26,815	-638			0		-638	
153	US TREASURY NOTE	912828ES5		1/9/2009	9/30/2009	20,950		0	21,452	-502			0		-502	
154	US TREASURY NOTE	912828FF2		6/5/2008	4/30/2009	11,591		0	10,888	703			0		703	
155	US TREASURY NOTE	912828FF2		6/5/2008	6/22/2009	11,121		0	10,888	233			0		233	
156	FHLMC GOLD G04719	3128M6SG4		9/30/2008	7/15/2009	1,095		0	1,098	-3			0		-3	
157	FHLMC GOLD G04719	3128M6SG4		9/30/2008	8/15/2009	1,058		0	1,061	-3			0		-3	
158	FHLMC GOLD G04719	3128M6SG4		9/30/2008	9/22/2009	16,337		0	15,706	631			0		631	
159	FHLMC GOLD G04719	3128M6SG4		9/30/2008	9/15/2009	858		0	860	-2			0		-2	
160	FHLMC GOLD G04719	3128M6SG4		9/30/2008	9/23/2009	16,044		0	15,415	629			0		629	
161	FHLMC GOLD G04719	3128M6SG4		9/30/2008	10/15/2009	319		0	320	-1			0		-1	
162	FHLMC GOLD G04719	3128M6SG4		9/30/2008	11/15/2009	31		0	31	0			0		0	
163	FHLMC GOLD G04719	3128M6SG4		9/30/2008	12/11/2009	1,564		0	1,482	82			0		82	
164	FHLMC GOLD G04719	3128M6SG4		9/30/2008	12/15/2009	29		0	29	0			0		0	
165	FHLMC GOLD PL# G05509	3128M7N28		6/8/2009	8/15/2009	515		0	535	-20			0		-20	
166	FHLMC GOLD PL# G05509	3128M7N28		6/8/2009	9/15/2009	376		0	391	-15			0		-15	
167	FHLMC GOLD PL# G05509	3128M7N28		6/8/2009	10/15/2009	301		0	313	-12			0		-12	
168	FHLMC GOLD PL# G05509	3128M7N28		6/8/2009	11/15/2009	302		0	314	-12			0		-12	
169	FHLMC GOLD PL# G05509	3128M7N28		6/8/2009	12/15/2009	427		0	444	-17			0		-17	
170	FHLMC GOLD G05509	3128M7N28		6/8/2009	12/31/2009	627		0	669	-42			0		-42	
171	FHLMC GOLD G05580	3128M7Q90		9/1/2009	10/15/2009	762		0	812	-50			0		-50	
172	FHLMC GOLD G05580	3128M7Q90		9/1/2009	11/15/2009	592		0	631	-39			0		-39	
173	FHLMC GOLD G05580	3128M7Q90		9/1/2009	12/31/2009	715		0	762	-47			0		-47	
174	FHLMC GOLD G05580	3128M7Q90		8/20/2008	1/15/2009	76		0	74	2			0		2	
175	FHLMC GOLD G05580	3128P7KF9		8/20/2008	2/15/2009	685		0	663	22			0		22	
176	FHLMC GOLD G05580	3128P7KF9		8/20/2008	3/15/2009	528		0	509	19			0		19	
177	FHLMC GOLD G05580	3128P7KF9		8/20/2008	4/15/2009	849		0	823	26			0		26	
178	FHLMC GOLD G05580	3128P7KF9		8/20/2008	5/15/2009	1,020		0	991	29			0		29	
179	FHLMC GOLD G05580	3128P7KF9		8/20/2008	6/15/2009	1,390		0	1,353	37			0		37	
180	FHLMC GOLD G05580	3128P7KF9		8/20/2008	6/15/2009	1,390		0	1,353	37			0		37	

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	Kind(s) of Property Sold	Amount		How Acquired	Date Acquired	Totals	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis of Losses
		Long Term CG Distributions	Short Term CG Distributions											
		498	0				4,990,767	0	5,637,082	-646,315	0	0	0	-646,315
181	FHLMC GOLD C91194 5.0% 7/01/28 3128P7KF9				8/20/2008	7/15/2009	1,168	0	1,137	31	31	31	0	31
182	FHLMC GOLD C91194 5.0% 7/01/28 3128P7KF9				8/20/2008	8/15/2009	522	0	509	13	13	13	0	13
183	FHLMC GOLD C91194 5.0% 7/01/28 3128P7KF9				8/20/2008	9/15/2009	410	0	401	9	9	9	0	9
184	FHLMC GOLD C91194 5.0% 7/01/28 3128P7KF9				8/20/2008	10/15/2009	217	0	212	5	5	5	0	5
185	FHLMC GOLD C91194 5.0% 7/01/28 3128P7KF9				8/20/2008	11/15/2009	289	0	292	7	7	7	0	7
186	FHLMC GOLD C91194 5.0% 7/01/28 3128P7KF9				8/20/2008	12/15/2009	563	0	552	11	11	11	0	11
187	FHLMC GOLD C91194 5.0% 7/01/28 3128P7KF9				8/20/2008	12/31/2009	485	0	475	10	10	10	0	10
188	FED HOME LN MTGE CORP 5.5% 3/22/13128X5V70				2/13/2008	10/28/2009	20,970	0	20,156	814	814	814	0	814
189	FED HOME LN MTGE CORP 5.375% 1/09/13128X5W11				2/13/2008	1/8/2009	10,000	0	10,192	-192	-192	-192	0	-192
190	FED HOME LN MTGE CORP 5.4% 2/02/13128X5ZC5				2/13/2008	2/2/2009	20,000	0	20,503	-503	-503	-503	0	-503
191	FEDERAL HM LN MTG CORP 5.9% 6/15/13128X6DE3				9/29/2008	10/28/2009	21,350	0	21,162	188	188	188	0	188
192	FED HM LN MTG CORP 3.875% 9/30/113128X7Z80				10/12/2008	4/22/2009	20,266	0	20,020	246	246	246	0	246
193	FED HM LN MTG CORP 3.875% 9/30/113128X7Z80				10/12/2008	4/22/2009	25,332	0	24,939	393	393	393	0	393
194	FNMA POOL 831812 6.0% 9/01/36 31407JDR0				2/27/2008	5/25/2009	225	0	228	-3	-3	-3	0	-3
195	FNMA POOL 831812 6.0% 9/01/36 31407JDR0				2/27/2008	6/25/2009	362	0	367	-5	-5	-5	0	-5
196	FNMA POOL 831812 6.0% 9/01/36 31407JDR0				2/27/2008	7/25/2009	805	0	815	-10	-10	-10	0	-10
197	FNMA POOL 831812 6.0% 9/01/36 31407JDR0				2/27/2008	8/25/2009	180	0	182	-2	-2	-2	0	-2
198	FNMA POOL 831812 6.0% 9/01/36 31407JDR0				2/27/2008	9/25/2009	272	0	275	-3	-3	-3	0	-3
199	FNMA POOL 831812 6.0% 9/01/36 31407JDR0				2/27/2008	10/25/2009	97	0	98	-1	-1	-1	0	-1
200	FNMA POOL 831812 6.0% 9/01/36 31407JDR0				2/27/2008	11/25/2009	13	0	13	0	0	0	0	0
201	FNMA POOL 831812 5.9965% 9/01/36 31407JDR0				2/27/2008	12/25/2009	13	0	13	0	0	0	0	0
202	FNMA POOL 831812 6% 9/1/36 31407JDR0				2/27/2008	12/31/2009	104	0	105	-1	-1	-1	0	-1
203	FNMA POOL 888279 4.5% 10/1/36 31410F2L8				2/27/2008	1/25/2009	115	0	108	7	7	7	0	7
204	FNMA POOL 888279 4.5% 10/1/36 31410F2L8				2/27/2008	2/25/2009	219	0	205	14	14	14	0	14
205	FNMA POOL 888279 4.5% 10/1/36 31410F2L8				2/27/2008	3/25/2009	188	0	176	12	12	12	0	12
206	FNMA POOL 888279 4.5% 10/1/36 31410F2L8				2/27/2008	4/25/2009	208	0	196	12	12	12	0	12
207	FNMA POOL 888279 4.5% 10/1/36 31410F2L8				2/27/2008	5/25/2009	253	0	238	15	15	15	0	15
208	FNMA POOL 888279 4.5% 10/1/36 31410F2L8				2/27/2008	6/25/2009	266	0	251	15	15	15	0	15
209	FNMA POOL 888279 4.5% 10/1/36 31410F2L8				2/27/2008	7/25/2009	302	0	285	17	17	17	0	17
210	FNMA POOL 888279 4.5% 10/1/36 31410F2L8				2/27/2008	8/25/2009	263	0	249	14	14	14	0	14
211	FNMA POOL 888279 4.5% 10/1/36 31410F2L8				2/27/2008	9/25/2009	228	0	215	13	13	13	0	13
212	FNMA POOL 888279 4.5% 10/1/36 31410F2L8				2/27/2008	10/25/2009	150	0	142	8	8	8	0	8
213	FNMA POOL 888279 4.5% 10/1/36 31410F2L8				2/27/2008	11/25/2009	169	0	160	9	9	9	0	9
214	FNMA POOL 888279 4.5% 10/1/36 31410F2L8				2/27/2008	12/10/2009	12,015	0	11,186	829	829	829	0	829
215	FNMA POOL 888279 4.5% 10/1/36 31410F2L8				2/27/2008	12/25/2009	240	0	228	12	12	12	0	12
216	FNMA POOL 888279 4.5% 10/1/36 31410F2L8				2/27/2008	12/31/2009	85	0	81	4	4	4	0	4
217	FNMA POOL 888214 5.0% 3/01/21 31410FYK5				2/27/2008	1/25/2009	257	0	257	0	0	0	0	0
218	FNMA POOL 888214 5.0% 3/01/21 31410FYK5				2/27/2008	2/25/2009	409	0	409	0	0	0	0	0
219	FNMA POOL 888214 5.0% 3/01/21 31410FYK5				2/27/2008	3/25/2009	316	0	316	0	0	0	0	0
220	FNMA POOL 888214 5.0% 3/01/21 31410FYK5				2/27/2008	4/25/2009	320	0	320	0	0	0	0	0
221	FNMA POOL 888214 5.0% 3/01/21 31410FYK5				2/27/2008	5/25/2009	369	0	369	0	0	0	0	0
222	FNMA POOL 888214 5.0% 3/01/21 31410FYK5				2/27/2008	6/25/2009	439	0	439	0	0	0	0	0
223	FNMA POOL 888214 5.0% 3/01/21 31410FYK5				2/27/2008	7/25/2009	617	0	617	0	0	0	0	0
224	FNMA POOL 888214 5.0% 3/01/21 31410FYK5				2/27/2008	8/25/2009	333	0	333	0	0	0	0	0
225	FNMA POOL 888214 5.0% 3/01/21 31410FYK5				2/27/2008	9/25/2009	283	0	283	0	0	0	0	0
226	FNMA POOL 888214 5.0% 3/01/21 31410FYK5				2/27/2008	10/25/2009	294	0	294	0	0	0	0	0
227	FNMA POOL 888214 5.0% 3/01/21 31410FYK5				2/27/2008	11/25/2009	323	0	323	0	0	0	0	0
228	FNMA POOL 888214 5% 3/1/2021 31410FYK5				2/27/2008	12/25/2009	332	0	332	0	0	0	0	0
229	FNMA POOL 888214 5% 3/1/2021 31410FYK5				2/27/2008	12/31/2009	288	0	288	0	0	0	0	0
230	FNMA POOL 889291 5.0% 4/01/23 31410G7C1				5/20/2009	7/25/2009	664	0	689	-25	-25	-25	0	-25
231	FNMA POOL 889291 5.0% 4/01/23 31410G7C1				5/20/2009	8/25/2009	544	0	564	-20	-20	-20	0	-20
232	FNMA POOL 889291 5.0% 4/01/23 31410G7C1				5/20/2009	9/25/2009	391	0	405	-14	-14	-14	0	-14
233	FNMA POOL 889291 5.0% 4/01/23 31410G7C1				5/20/2009	10/25/2009	368	0	381	-13	-13	-13	0	-13
234	FNMA POOL 889291 5.0% 4/01/23 31410G7C1				5/20/2009	11/25/2009	392	0	407	-15	-15	-15	0	-15
235	FNMA POOL 889291 5% 4/1/2023 31410G7C1				5/20/2009	12/25/2009	501	0	520	-19	-19	-19	0	-19
236	FNMA POOL 889291 5.0% 4/01/23 31410G7C1				5/20/2009	12/31/2009	474	0	492	-18	-18	-18	0	-18
237	FNMA POOL 888967 6.0% 12/01/37 31410GT9				6/9/2008	1/25/2009	636	0	646	-10	-10	-10	0	-10
238	FNMA POOL 888967 6.0% 12/01/37 31410GT9				6/9/2008	2/25/2009	865	0	878	-13	-13	-13	0	-13
239	FNMA POOL 888967 6.0% 12/01/37 31410GT9				6/9/2008	3/25/2009	1,360	0	1,380	-20	-20	-20	0	-20
240	FNMA POOL 888967 6.0% 12/01/37 31410GT9				6/9/2008	4/25/2009	1,079	0	1,095	-16	-16	-16	0	-16

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Amount			
Short Term CG Distributions										498	0		
	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
241	FNMA POOL 888967 6.0% 12/01/37	31410GT9		6/9/2008	5/25/2009	956	0	970	-14			0	-646,315
242	FNMA POOL 888967 6.0% 12/01/37	31410GT9		6/9/2008	6/25/2009	1,030	0	1,045	-15			0	-15
243	FNMA POOL 888967 6.0% 12/01/37	31410GT9		6/9/2008	7/25/2009	1,284	0	1,303	-19			0	-18
244	FNMA POOL 888967 6.0% 12/01/37	31410GT9		6/9/2008	8/25/2009	1,066	0	1,082	-16			0	-16
245	FNMA POOL 888967 6.0% 12/01/37	31410GT9		6/9/2008	9/25/2009	655	0	665	-10			0	-10
246	FNMA POOL 888967 6.0% 12/01/37	31410GT9		6/9/2008	10/25/2009	814	0	827	-13			0	-13
247	FNMA POOL 888967 6.0% 12/01/37	31410GT9		6/9/2008	11/25/2009	869	0	882	-13			0	-13
248	FNMA POOL # 888967 6% 12/01/37	31410GT9		6/9/2008	12/25/2009	993	0	1,008	-15			0	-15
249	FNMA POOL # 888967 6.0% 12/01/37	31410GT9		6/9/2008	12/31/2009	747	0	758	-11			0	-11
250	FNMA POOL 889668 6.0% 6/01/38	31410KMR2		6/13/2008	1/25/2009	720	0	720	0			0	0
251	FNMA POOL 889668 6.0% 6/01/38	31410KMR2		6/13/2008	2/25/2009	1,289	0	1,288	1			0	0
252	FNMA POOL 889668 6.0% 6/01/38	31410KMR2		6/13/2008	3/25/2009	1,372	0	1,372	0			0	0
253	FNMA POOL 889668 6.0% 6/01/38	31410KMR2		6/13/2008	4/25/2009	1,374	0	1,374	0			0	0
254	FNMA POOL 889668 6.0% 6/01/38	31410KMR2		6/13/2008	5/25/2009	1,838	0	1,837	1			0	0
255	FNMA POOL 889668 6.0% 6/01/38	31410KMR2		6/13/2008	6/25/2009	1,537	0	1,537	0			0	0
256	FNMA POOL 889668 6.0% 6/01/38	31410KMR2		6/13/2008	7/25/2009	1,827	0	1,827	0			0	0
257	SEMPRA ENERGY	816851109		2/12/2008	9/22/2009	8,573	0	9,565	-992			0	-992
258	STATE STREET CORP	857477103		5/21/2009	9/22/2009	3,945	0	3,939	6			0	6
259	STATE STREET CORP	857477103		5/21/2009	12/11/2009	6,353	0	5,166	1,187			0	1,187
260	STATE STREET CORP	857477103		5/21/2009	12/11/2009	10,668	0	11,623	-955			0	-955
261	STATE STREET CORP	857477103		5/21/2009	9/9/2009	14,037	0	15,067	-1,030			0	-1,030
262	TAIWAN SEMICONDUCTOR MFG CO AD	874039100		3/3/2009	9/22/2009	2,376	0	1,722	654			0	654
263	TAIWAN SEMICONDUCTOR MFG CO AD	874039100		3/3/2009	11/3/2009	710	0	576	134			0	134
264	TAIWAN SEMICONDUCTOR MFG CO AD	874039100		3/3/2009	11/3/2009	4,185	0	3,468	717			0	717
265	TAIWAN SEMICONDUCTOR MFG CO AD	874039100		3/3/2009	11/3/2009	2,700	0	2,195	505			0	505
266	TAIWAN SEMICONDUCTOR MFG CO AD	874039100		3/3/2009	11/4/2009	2,755	0	1,052	267			0	267
267	TAIWAN SEMICONDUCTOR MFG CO AD	874039100		3/3/2009	11/4/2009	10,154	0	2,195	560			0	560
268	TARGET CORP	87612E106		6/1/2009	9/22/2009	4,842	0	9,729	1,425			0	1,425
269	TARGET CORP	87612E106		6/1/2009	12/29/2009	4,268	0	4,157	685			0	685
270	TARGET CORP	87612E106		6/1/2009	12/29/2009	2,295	0	12,590	-8,322			0	-8,322
271	TEXTRON INC	883203101		2/12/2008	9/22/2009	4,268	0	6,867	-4,572			0	-4,572
272	TEXTRON INC	883203101		2/12/2008	12/28/2009	2,295	0	18,555	-6,691			0	-6,691
273	THERMO FISHER SCIENTIFIC INC	883555102		2/12/2008	1/21/2009	11,864	0	9,559	-3,754			0	-3,754
274	THERMO FISHER SCIENTIFIC INC	883555102		2/12/2008	3/5/2009	5,805	0	5,080	-914			0	-914
275	THERMO FISHER SCIENTIFIC INC	883555102		2/12/2008	9/22/2009	4,146	0	20,191	-752			0	-752
276	FEDL FARM CR BK	31331Y266		6/21/2008	12/30/2009	20,943	0	20,210	-210			0	-210
277	FEDERAL HOME LN BKS	3133XK2N4		6/16/2008	3/6/2009	20,000	0	20,210	-210			0	-210
278	FEDERAL HOME LN BK	3133XKJW6		5/28/2008	10/28/2009	26,386	0	25,361	1,027			0	1,027
279	FED HOME LOAN BANK	3133XSAE8		7/9/2009	12/7/2009	5,302	0	4,986	316			0	316
280	U S TREASURY NOTE	5.125% 5/15/16		9/12/2009	9/18/2009	5,669	0	5,689	-20			0	-20
281	U S TREASURY NOTE	5.125% 5/15/16		7/14/2009	9/18/2009	11,339	0	11,365	-26			0	-26
282	U S TREASURY NOTE	4.625% 8/31/11		11/14/2008	12/22/2009	5,470	0	5,455	15			0	15
283	U S TREASURY NOTE	4.625% 8/31/11		11/14/2008	4/9/2009	5,411	0	5,455	-44			0	-44
284	U S TREASURY NOTE	4.625% 2/29/12		12/12/2008	6/8/2009	5,385	0	5,560	-175			0	-175
285	U S TREASURY NOTE	4.625% 2/29/12		12/11/2008	6/8/2009	16,154	0	16,671	-517			0	-517
286	U S TREASURY NT	4.5% 5/15/17		12/13/2008	1/9/2009	5,777	0	5,330	447			0	447
287	U S TREASURY NT	4.5% 5/15/17		2/14/2008	1/22/2009	17,186	0	15,856	1,330			0	1,330
288	U S TREASURY NT	4.5% 5/15/17		2/19/2008	2/11/2009	5,669	0	5,262	407			0	407
289	U S TREASURY NT	4.5% 5/15/17		2/19/2008	2/12/2009	5,670	0	5,262	408			0	408
290	U S TREASURY NT	4.5% 5/15/17		2/19/2008	5/27/2009	5,436	0	5,262	174			0	174
291	U S TREASURY NT	4.5% 5/15/17		2/19/2008	9/29/2009	10,987	0	10,535	462			0	462
292	UNITED STATES TREAS	4.75% 8/15/17		6/18/2009	1/9/2009	17,651	0	16,018	1,633			0	1,633
293	U S TREASURY NOTE	4.0% 8/3/09		6/18/2009	7/10/2009	45,227	0	45,341	-114			0	-114
294	U S TREASURY NT	3.125% 11/30/09		9/15/2009	10/8/2009	1,004	0	1,006	-2			0	-2
295	U S TREASURY NT	3.125% 11/30/09		9/15/2009	10/13/2009	1,004	0	1,006	-2			0	-2
296	U S TREASURY NT	3.125% 11/30/09		9/15/2009	10/13/2009	12,039	0	12,070	-31			0	-31
297	U S TREASURY NT	3.125% 11/30/09		9/17/2009	10/20/2009	10,033	0	10,059	-26			0	-26
298	U S TREASURY NT	3.125% 11/30/09		9/17/2009	10/20/2009	3,010	0	3,018	-8			0	-8
299	U S TREASURY NT	3.125% 11/30/09		9/17/2009	10/20/2009	10,033	0	10,062	-29			0	-29
300	U S TREASURY NT	3.125% 11/30/09		9/15/2009	10/20/2009	10,033	0	10,062	-29			0	-29

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Totals		Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						Amount	0								
	Long Term CG Distributions					498	0								
	Short Term CG Distributions					0									
301	U.S. TREASURY NT 3.125% 11/30/09	912828HJ2		9/15/2009	10/20/2009			8,026	0	8,049	-23				-646,315
302	U.S. TREASURY NT 3.125% 11/30/09	912828HJ2		9/15/2009	10/20/2009			1,003	0	1,006	-3				-23
303	U.S. TREASURY NT 3.125% 11/30/09	912828HJ2		9/15/2009	10/20/2009			3,010	0	3,019	-9				-9
304	U.S. TREASURY NT 3.125% 11/30/09	912828HJ2		9/15/2009	10/20/2009			2,007	0	2,012	-5				-5
305	U.S. TREASURY NT 3.125% 11/30/09	912828HJ2		9/15/2009	10/20/2009			5,016	0	5,031	-15				-15
306	U.S. TREASURY NT 3.125% 11/30/09	912828HJ2		9/15/2009	10/20/2009			10,033	0	10,062	-29				-29
307	U.S. TREASURY NOTE 1.75% 3/31/10	912828HJ7		10/22/2009	11/10/2009			12,074	0	12,086	-12				-12
308	U.S. TREASURY NOTE 1.75% 3/31/10	912828HJ7		10/22/2009	11/10/2009			18,112	0	18,123	-11				-11
309	U.S. TREASURY NOTE 1.75% 3/31/10	912828HJ7		10/22/2009	11/10/2009			19,118	0	19,130	-12				-12
310	U.S. TREASURY NOTE 1.75% 3/31/10	912828HJ7		10/22/2009	11/10/2009			3,019	0	3,021	-2				-2
311	U.S. TREASURY NOTE 1.75% 3/31/10	912828HJ7		10/22/2009	11/16/2009			15,089	0	15,103	-14				-14
312	U.S. TREASURY NOTE 1.75% 3/31/10	912828HJ7		11/19/2009	12/15/2009			35,164	0	35,211	-47				-47
313	U.S. TREASURY NT 3.875% 5/15/18	912828HJ26		6/5/2008	1/9/2009			44,781	0	39,456	5,325				5,325
314	U.S. TREASURY NOTE 3.25% 5/31/16	912828KW9		6/24/2009	9/29/2009			10,241	0	9,952	289				289
315	UNITED TECHNOLOGIES 6.125% 7/15/91	913017BP3		5/13/2008	10/8/2009			5,695	0	5,028	667				667
316	UNITED TECHNOLOGIES 6.125% 7/15/91	913017BP3		5/13/2008	10/8/2009			11,391	0	9,971	1,420				1,420
317	VALE SA-SP ADR	91912E105		2/12/2008	9/22/2009			5,448	0	7,887	-2,439				-2,439
318	VALE SA-SP ADR	91912E105		2/12/2008	12/28/2009			3,195	0	3,615	-420				-420
319	VERTEX PHARMACEUTICALS INC	92532F100		3/5/2009	9/22/2009			2,616	0	1,972	644				644
320	VERTEX PHARMACEUTICALS INC	92532F100		3/5/2009	9/22/2009			3,737	0	2,787	940				940
321	VERTEX PHARMACEUTICALS INC	92532F100		3/5/2009	12/28/2009			3,692	0	2,194	1,498				1,498
322	VISA INC-CLASS A SHRS	92826C839		9/11/2008	12/7/2009			10,604	0	9,971	633				633
323	FED. NATL. MTGE ASSN 3.625% 10/18/11	3133XSAE8		2/13/2008	10/28/2009			15,656	0	15,307	349				349
324	FED. NATL. MTGE ASSN 5.375% 4/11/12	31359M7M9		10/13/2008	10/28/2009			5,218	0	5,268	-49				-49
325	FED. NATL. MTGE ASSN 5.375% 4/11/12	31359M7M9		2/27/2008	1/25/2009			248	0	247	1				1
326	FNMA POOL 255770 5.49871% 7/01/35	31371MCF2		4/7/2008	1/25/2009			75	0	76	-1				-1
327	FNMA POOL 255770 5.49871% 7/01/35	31371MCF2		2/27/2008	1/25/2009			468	0	465	3				3
328	FNMA POOL 255770 5.5% 7/01/35	31371MCF2		2/27/2008	2/25/2009			140	0	142	-2				-2
329	FNMA POOL 255770 5.5% 7/01/35	31371MCF2		4/7/2008	2/25/2009			866	0	861	5				5
330	FNMA POOL 255770 5.5% 7/01/35	31371MCF2		2/27/2008	3/25/2009			260	0	263	-3				-3
331	FNMA POOL 255770 5.5% 7/01/35	31371MCF2		4/7/2008	4/25/2009			690	0	686	4				4
332	FNMA POOL 255770 5.5% 7/01/35	31371MCF2		2/27/2008	4/25/2009			207	0	210	-3				-3
333	FNMA POOL 255770 5.5% 7/01/35	31371MCF2		4/7/2008	5/25/2009			653	0	649	4				4
334	FNMA POOL 255770 5.5% 7/01/35	31371MCF2		2/27/2008	5/25/2009			198	0	198	0				0
335	FNMA POOL 255770 5.5% 7/01/35	31371MCF2		4/7/2008	6/25/2009			799	0	795	4				4
336	FNMA POOL 255770 5.5% 7/01/35	31371MCF2		2/27/2008	6/25/2009			240	0	243	-3				-3
337	FNMA POOL 255770 5.5% 7/01/35	31371MCF2		4/7/2008	7/25/2009			930	0	925	5				5
338	FNMA POOL 255770 5.5% 7/01/35	31371MCF2		2/27/2008	7/25/2009			279	0	282	-3				-3
339	FNMA POOL 255770 5.5% 7/01/35	31371MCF2		4/7/2008	8/25/2009			1,392	0	1,392	0				0
340	FNMA POOL 255770 5.5% 7/01/35	31371MCF2		6/13/2008	9/25/2009			1,508	0	1,508	0				0
341	FNMA POOL 899668 6.0% 6/01/38	31410KMR2		6/13/2008	9/25/2009			2,130	0	2,130	0				0
342	FNMA POOL 899668 6.0% 6/01/38	31410KMR2		6/13/2008	10/25/2009			1,362	0	1,362	0				0
343	FNMA POOL 899668 6.0% 6/01/38	31410KMR2		6/13/2008	11/25/2009			1,705	0	1,705	0				0
344	FNMA POOL 899668 6.0% 6/01/38	31410KMR2		6/13/2008	12/25/2009			1,354	0	1,354	0				0
345	FNMA POOL 899668 6.0% 6/01/38	31410KMR2		6/13/2008	12/31/2009			140	0	144	-4				-4
346	FNMA POOL 899668 6.0% 6/01/38	31410WQC5		2/27/2008	2/25/2009			410	0	422	-12				-12
347	FNMA POOL 899668 6.0% 6/01/38	31410WQC5		2/27/2008	3/25/2009			603	0	621	-18				-18
348	FNMA POOL 899668 6.0% 6/01/38	31410WQC5		2/27/2008	4/25/2009			608	0	627	-19				-19
349	FNMA POOL 899668 6.0% 6/01/38	31410WQC5		2/27/2008	5/25/2009			1,063	0	1,066	-3				-3
350	FNMA POOL 899668 6.0% 6/01/38	31410WQC5		2/27/2008	6/25/2009			352	0	373	-21				-21
351	FNMA POOL 899668 6.0% 6/01/38	31410WQC5		2/27/2008	7/25/2009			366	0	378	-12				-12
352	FNMA POOL 899668 6.0% 6/01/38	31410WQC5		2/27/2008	8/25/2009			303	0	312	-9				-9
353	FNMA POOL 899668 6.0% 6/01/38	31410WQC5		2/27/2008	9/25/2009			440	0	454	-14				-14
354	FNMA POOL 899668 6.0% 6/01/38	31410WQC5		2/27/2008	10/25/2009			154	0	164	-10				-10
355	FNMA POOL 899668 6.0% 6/01/38	31410WQC5		2/27/2008	11/25/2009			265	0	262	3				3
356	FNMA POOL 899668 6.0% 6/01/38	31410WQC5		2/27/2008	12/31/2009			626	0	618	8				8
357	FNMA POOL 899668 6.0% 6/01/38	31410WQC5		10/29/2008	1/25/2009				0						
358	FNMA POOL 899668 6.0% 6/01/38	31410WQC5		10/29/2008	2/25/2009				0						
359	FNMA POOL 899668 6.0% 6/01/38	31410WQC5		10/29/2008	2/25/2009				0						
360	FNMA POOL 899668 6.0% 6/01/38	31410WQC5		10/29/2008	2/25/2009				0						

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Kind(s) of Property Sold	Amount		How Acquired	Date Acquired	Date Sold	Totals		Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F.M.V. as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
		Long Term CG Distributions	Short Term CG Distributions				4,990,767	0								
421	FNMA POOL #745234 4.92% 9/01/35				2/28/2008	5/25/2009	306	0	307	0	307	-1			0	-648,315
422	FNMA POOL #745275 5.0% 2/01/36				2/28/2009	3/25/2009	147	0	150	0	150	-3			0	-3
423	FNMA POOL #745275 5.0% 2/01/36				2/28/2009	3/25/2009	147	0	151	0	151	-4			0	-4
424	FNMA POOL #745275 5.0% 2/01/36				2/28/2009	4/25/2009	139	0	142	0	142	-3			0	-3
425	FNMA POOL #745275 5.0% 2/01/36				2/28/2009	4/25/2009	139	0	142	0	142	-3			0	-3
426	FNMA POOL #745275 5.0% 2/01/36				2/28/2009	5/25/2009	146	0	149	0	149	-3			0	-3
427	FNMA POOL #745275 5.0% 2/01/36				2/28/2009	5/25/2009	146	0	149	0	149	-3			0	-3
428	VISA INC-CLASS A SHRS				12/28/2009	12/28/2009	1,726	0	878	0	878	848			0	848
429	WAL MART STORES INC				11/12/2008	5/7/2009	5,493	0	6,149	0	6,149	-656			0	-656
430	WAL MART STORES INC				2/12/2008	5/7/2009	25,968	0	26,306	0	26,306	-337			0	-337
431	WAL MART STORES INC				2/12/2008	5/28/2009	13,350	0	13,659	0	13,659	-309			0	-309
432	WAL MART STORES INC				2/12/2008	6/1/2009	8,446	0	8,600	0	8,600	-154			0	-154
433	WAL MART STORES INC				2/12/2008	6/10/2009	18,032	0	18,212	0	18,212	-180			0	-180
434	WAL MART STORES INC 6.5% 8/15/37				2/12/2008	10/27/2009	17,157	0	15,332	0	15,332	1,825			0	1,825
435	WELLS FARGO & COMPANY				2/12/2008	12/1/2009	7,478	0	16,006	0	16,006	-8,528			0	-8,528
436	WELLS FARGO & COMPANY				2/12/2008	9/22/2009	6,402	0	6,644	0	6,644	-242			0	-242
437	WELLS FARGO & COMPANY				2/12/2008	12/28/2009	2,395	0	2,718	0	2,718	-323			0	-323
438	WHIRLPOOL CORP				8/28/2009	9/22/2009	5,037	0	4,815	0	4,815	222			0	222
439	WHIRLPOOL CORP				8/28/2009	12/28/2009	2,472	0	1,978	0	1,978	494			0	494
440	WYETH				12/1/2009	7/7/2009	5,231	0	4,495	0	4,495	733			0	733
441	WYETH				12/1/2009	7/7/2009	13,494	0	11,594	0	11,594	1,900			0	1,900
442	WYETH				12/1/2009	7/7/2009	10,249	0	8,802	0	8,802	1,447			0	1,447
443	WYETH				12/1/2009	7/8/2009	5,337	0	4,576	0	4,576	761			0	761
444	XTO ENERGY INC				2/12/2008	9/22/2009	8,604	0	11,386	0	11,386	-2,782			0	-2,782
445	XTO ENERGY INC				2/12/2008	12/28/2009	4,254	0	5,124	0	5,124	-870			0	-870
446	ACCENTURE PLC-CL A				2/27/2008	9/22/2009	5,084	0	5,139	0	5,139	-55			0	-55
447	ACCENTURE PLC-CL A				2/27/2008	12/28/2009	2,507	0	2,203	0	2,203	304			0	304
448	TYCO INTERNATIONAL LTD				8/11/2008	9/22/2009	7,603	0	9,968	0	9,968	-2,365			0	-2,365
449	TYCO INTERNATIONAL LTD				2/12/2008	9/22/2009	691	0	812	0	812	-121			0	-121
450	TYCO INTERNATIONAL LTD				2/12/2008	12/28/2009	3,914	0	4,468	0	4,468	-554			0	-554
451	FNMA POOL #62301 4.5% 3/01/23				5/20/2009	10/25/2009	595	0	612	0	612	-17			0	-17
452	FNMA POOL #62301 4.5% 3/01/23				5/20/2009	11/25/2009	878	0	904	0	904	-26			0	-26
453	FNMA POOL #62301 4.5% 3/01/23				5/20/2009	12/25/2009	666	0	685	0	685	-19			0	-19
454	FNMA POOL #62301 4.5% 3/01/23				5/20/2009	12/31/2009	772	0	794	0	794	-22			0	-22
455	FNMA POOL #66608 5.5% 2/01/38				2/15/2008	12/25/2009	103	0	100	0	100	3			0	3
456	FNMA POOL #66608 5.5% 2/01/38				2/15/2008	2/25/2009	100	0	100	0	100	0			0	0
457	FNMA POOL #66608 5.5% 2/01/38				2/15/2008	3/25/2009	2,886	0	2,889	0	2,889	-3			0	-3
458	FNMA POOL #66608 5.5% 2/01/38				2/15/2008	4/25/2009	850	0	851	0	851	-1			0	-1
459	FNMA POOL #66608 5.5% 2/01/38				2/15/2008	5/25/2009	1,704	0	1,706	0	1,706	-2			0	-2
460	FNMA POOL #66608 5.5% 2/01/38				2/15/2008	7/25/2009	2,060	0	2,062	0	2,062	-2			0	-2
461	FNMA POOL #66608 5.5% 2/01/38				2/15/2008	8/25/2009	877	0	877	0	877	0			0	0
462	FNMA POOL #66608 5.5% 2/01/38				2/15/2008	9/25/2009	89	0	89	0	89	0			0	0
463	FNMA POOL #66608 5.5% 2/01/38				2/15/2008	9/25/2009	1,345	0	1,346	0	1,346	-1			0	-1
464	FNMA POOL #66608 5.5% 2/01/38				2/15/2008	10/25/2009	90	0	90	0	90	0			0	0
465	FNMA POOL #66608 5.5% 2/01/38				2/15/2008	11/25/2009	87	0	87	0	87	0			0	0
466	FNMA POOL #66608 5.5% 2/01/38				2/15/2008	12/25/2009	1,282	0	1,283	0	1,283	-1			0	-1
467	FNMA POOL #66608 5.5% 2/01/38				2/15/2008	12/31/2009	512	0	513	0	513	-1			0	-1
468	FNMA POOL #66608 5.5% 2/01/38				5/1/2008	1/25/2009	287	0	298	0	298	-11			0	-11
469	FNMA POOL #66608 5.5% 2/01/38				6/6/2008	1/25/2009	45	0	46	0	46	-1			0	-1
470	FNMA POOL #66608 5.5% 2/01/38				5/1/2008	2/25/2009	287	0	298	0	298	-11			0	-11
471	FNMA POOL #66608 5.5% 2/01/38				6/6/2008	2/25/2009	45	0	46	0	46	-1			0	-1
472	FNMA POOL #66608 5.5% 2/01/38				5/1/2008	3/25/2009	166	0	172	0	172	-6			0	-6
473	FNMA POOL #66608 5.5% 2/01/38				6/6/2008	3/25/2009	26	0	27	0	27	-1			0	-1
474	FNMA POOL #66608 5.5% 2/01/38				6/6/2008	4/25/2009	153	0	159	0	159	-6			0	-6
475	FNMA POOL #66608 5.5% 2/01/38				6/6/2008	4/25/2009	24	0	25	0	25	-1			0	-1
476	FNMA POOL #66608 5.5% 2/01/38				5/1/2008	5/25/2009	494	0	513	0	513	-19			0	-19
477	FNMA POOL #66608 5.5% 2/01/38				6/6/2008	5/25/2009	77	0	80	0	80	-3			0	-3
478	FNMA POOL #66608 5.5% 2/01/38				5/1/2008	6/25/2009	229	0	238	0	238	-9			0	-9
479	FNMA POOL #66608 5.5% 2/01/38				6/6/2008	6/25/2009	36	0	37	0	37	-1			0	-1
480	FNMA POOL #66608 5.5% 2/01/38				5/1/2008	7/25/2009	457	0	474	0	474	-17			0	-17

Long Term CG Distributions	498
Short Term CG Distributions	0

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions															Amount	
Short Term CG Distributions															498	
															0	
	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses			
541	GNMA POOL # 645884	5.5% 2/15/36	36292DRD6	2/27/2008	7/15/2009	787	0	794	-7	0	0	0	-646,315	-646,315		
542	GNMA POOL # 645884	5.5% 2/15/36	36292DRD6	2/27/2008	8/15/2009	20	0	20	0	0	0	0	0	0		
543	GNMA POOL # 645884	5.5% 2/15/36	36292DRD6	2/27/2008	9/15/2009	294	0	296	-2	0	0	0	-2	0		
544	GNMA POOL # 645884	5.5% 2/15/36	36292DRD6	2/27/2008	10/15/2009	127	0	128	-1	0	0	0	-1	0		
545	GNMA POOL # 645884	5.5% 2/15/36	36292DRD6	2/27/2008	11/15/2009	395	0	398	-3	0	0	0	-3	0		
546	GNMA POOL # 645884	5.5% 2/15/36	36292DRD6	2/27/2008	12/15/2009	875	0	882	-7	0	0	0	-7	0		
547	GNMA POOL # 645884	5.5% 2/15/36	36292DRD6	2/27/2008	12/31/2009	408	0	412	-4	0	0	0	-4	0		
548	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	1/15/2009	89	0	86	3	0	0	0	3	0		
549	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	2/15/2009	1,340	0	1,319	21	0	0	0	21	0		
550	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	2/15/2009	134	0	131	3	0	0	0	3	0		
551	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	2/15/2009	670	0	650	20	0	0	0	20	0		
552	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	3/15/2009	1,186	0	1,168	18	0	0	0	18	0		
553	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	3/15/2009	119	0	116	3	0	0	0	3	0		
554	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	3/15/2009	593	0	576	17	0	0	0	17	0		
555	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	4/15/2009	899	0	886	13	0	0	0	13	0		
556	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	4/15/2009	90	0	88	2	0	0	0	2	0		
557	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	4/15/2009	449	0	437	12	0	0	0	12	0		
558	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	5/15/2009	633	0	624	9	0	0	0	9	0		
559	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	5/15/2009	63	0	62	1	0	0	0	1	0		
560	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	5/15/2009	317	0	308	9	0	0	0	9	0		
561	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	6/15/2009	1,929	0	1,902	27	0	0	0	27	0		
562	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	6/15/2009	193	0	189	4	0	0	0	4	0		
563	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	6/15/2009	964	0	939	25	0	0	0	25	0		
564	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	7/15/2009	1,096	0	1,081	15	0	0	0	15	0		
565	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	7/15/2009	110	0	107	3	0	0	0	3	0		
566	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	7/15/2009	548	0	534	14	0	0	0	14	0		
567	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	8/15/2009	781	0	770	11	0	0	0	11	0		
568	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	8/15/2009	78	0	77	1	0	0	0	1	0		
569	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	8/15/2009	390	0	381	9	0	0	0	9	0		
570	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	9/15/2009	823	0	813	10	0	0	0	10	0		
571	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	9/15/2009	82	0	81	1	0	0	0	1	0		
572	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	9/15/2009	412	0	402	10	0	0	0	10	0		
573	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	10/15/2009	319	0	315	4	0	0	0	4	0		
574	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	10/15/2009	32	0	31	1	0	0	0	1	0		
575	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	10/15/2009	160	0	156	4	0	0	0	4	0		
576	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	11/10/2009	12,414	0	11,746	668	0	0	0	668	0		
577	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	11/15/2009	201	0	199	2	0	0	0	2	0		
578	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	11/15/2009	20	0	20	0	0	0	0	0	0		
579	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	12/31/2009	101	0	98	3	0	0	0	3	0		
580	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	12/15/2009	221	0	219	2	0	0	0	2	0		
581	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	12/15/2009	32	0	31	1	0	0	0	1	0		
582	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	12/31/2009	158	0	154	4	0	0	0	4	0		
583	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	12/31/2009	1,505	0	1,488	17	0	0	0	17	0		
584	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	12/31/2009	215	0	212	3	0	0	0	3	0		
585	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	1/15/2009	1,075	0	1,052	23	0	0	0	23	0		
586	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	1/15/2009	832	0	817	15	0	0	0	15	0		
587	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	2/15/2009	168	0	173	-5	0	0	0	-5	0		
588	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	2/15/2009	1,636	0	1,587	49	0	0	0	49	0		
589	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	2/15/2009	3,754	0	3,086	668	0	0	0	668	0		
590	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	2/15/2009	2,993	0	2,993	0	0	0	0	0	0		
591	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	3/15/2009	18,381	0	17,993	388	0	0	0	388	0		
592	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	3/15/2009	1,132	0	1,135	-3	0	0	0	-3	0		
593	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	3/15/2009	1,805	0	1,834	-29	0	0	0	-29	0		
594	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	4/15/2009	1,898	0	1,930	-32	0	0	0	-32	0		
595	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	4/15/2009	989	0	1,005	-16	0	0	0	-16	0		
596	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	4/15/2009	1,341	0	1,363	-22	0	0	0	-22	0		
597	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	5/15/2009	29,064	0	28,448	616	0	0	0	616	0		
598	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/6/2008	5/15/2009	1,341	0	1,363	-22	0	0	0	-22	0		
599	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	6/27/2008	5/15/2009	1,211	0	1,242	-31	0	0	0	-31	0		
600	GNMA POOL # 645884	5.5% 4/15/38	36296A4Y7	4/25/2008	6/15/2009	1,211	0	1,242	-31	0	0	0	-31	0		

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

		Kind(s) of Property Sold	Amount		Totals	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F.M.V. as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis of Losses
			Long Term CG Distributions	Short Term CG Distributions									
			498	0									
601	GNMA POOL #687844	6.5% 8/15/38	36296DERS		8/22/2008	1,675	0	1,719	-44				-646,315
602	GNMA POOL #687844	6.5% 8/15/38	36296DERS		8/22/2008	1,499	0	1,538	-39				-39
603	GNMA POOL #687844	6.5% 8/15/38	36296DERS		8/22/2008	1,953	0	2,004	-51				-51
604	GNMA POOL #687844	6.5% 8/15/38	36296DERS		8/22/2008	1,244	0	1,276	-32				-32
605	GNMA POOL #687844	6.5% 8/15/38	36296DERS		8/22/2008	940	0	964	-24				-24
606	GNMA POOL #687844	6.5% 8/15/38	36296DERS		8/22/2008	928	0	953	-25				-25
607	GNMA POOL #687844	6.5% 8/15/38	36296DERS		8/22/2008	503	0	516	-13				-13
608	GNMA POOL #687844	6.5% 8/15/38	36296DERS		8/22/2008	1,736	0	1,781	-45				-45
609	GNMA POOL #687844	6.5% 8/15/38	36296DERS		8/22/2008	776	0	796	-20				-20
610	GNMA POOL #687844	6.5% 8/15/38	36296DERS		8/22/2008	1,244	0	1,277	-33				-33
611	GNMA POOL #687844	6.5% 8/15/38	36296DERS		8/22/2008	1,016	0	1,042	-26				-26
612	GNMA POOL #687844	6.5% 8/15/38	36296DERS		8/22/2008	667	0	685	-18				-18
613	GAP INC		364760109		2/12/2008	10,889	0	19,438	-8,549				-8,549
614	GAP INC		364760108		2/12/2008	5,100	0	9,314	-4,214				-4,214
615	GAP INC		364760109		2/12/2008	1,319	0	2,430	-1,111				-1,111
616	GAP INC		364760108		2/12/2008	4,266	0	7,897	-3,631				-3,631
617	GAP INC		364760109		2/12/2008	109	0	202	-93				-93
618	GAP INC		364760108		2/12/2008	2,406	0	2,748	-342				-342
619	GENERAL ELECTRIC COMPANY		369604103		2/12/2008	9,918	0	20,004	-10,086				-10,086
620	GENERAL ELECTRIC COMPANY		369604103		2/12/2008	3,665	0	8,277	-4,612				-4,612
621	GENERAL ELEC CAP CORP	4.8% 5/01/36	36962G3T9		9/30/2008	9,801	0	9,365	436				436
622	GENERAL ELEC CAP CORP	4.8% 5/01/36	36962G3T9		9/30/2008	4,900	0	4,575	325				325
623	GILEAD SCIENCES INC		375558103		12/12/2009	4,600	0	4,874	-274				-274
624	GILEAD SCIENCES INC		375558103		12/12/2009	17,238	0	18,133	-895				-895
625	GILEAD SCIENCES INC		375558103		12/12/2009	6,419	0	6,727	-308				-308
626	GOLDMAN SACHS GROUP INC		38141G104		2/12/2008	6,700	0	14,733	-8,033				-8,033
627	GOLDMAN SACHS GROUP INC		38141G104		2/12/2008	837	0	880	-43				-43
628	GOOGLE INC - CL A		38259P508		8/21/2009	15,046	0	13,928	1,118				1,118
629	GOOGLE INC - CL A		38259P508		8/21/2009	3,108	0	2,321	787				787
630	HALLIBURTON CO		406216101		12/28/2009	4,202	0	5,289	-1,087				-1,087
631	HALLIBURTON CO		406216101		12/28/2009	1,811	0	2,116	-305				-305
632	HDMOT 2005-3 A2	4.41% 6/15/12	41283ABY4		3/25/2008	281	0	282	-1				-1
633	HDMOT 2005-3 A2	4.41% 6/15/12	41283ABY4		3/25/2008	287	0	289	-2				-2
634	HDMOT 2005-3 A2	4.41% 6/15/12	41283ABY4		3/25/2008	295	0	297	-2				-2
635	HDMOT 2005-3 A2	4.41% 6/15/12	41283ABY4		3/25/2008	339	0	341	-2				-2
636	FNMA POOL 745275	5.0% 2/01/36	31403C6L0		1/22/2009	167	0	170	-3				-3
637	FNMA POOL 745275	5.0% 2/01/36	31403C6L0		1/22/2009	155	0	158	-3				-3
638	FNMA POOL 745275	5.0% 2/01/36	31403C6L0		1/22/2009	155	0	159	-4				-4
639	FNMA POOL 745275	5.0% 2/01/36	31403C6L0		1/22/2009	119	0	122	-3				-3
640	FNMA POOL 745275	5.0% 2/01/36	31403C6L0		1/22/2009	119	0	122	-3				-3
641	FNMA POOL 745275	5.0% 2/01/36	31403C6L0		1/22/2009	86	0	88	-2				-2
642	FNMA POOL 745275	5.0% 2/01/36	31403C6L0		1/22/2009	86	0	88	-2				-2
643	FNMA POOL 745275	5.0% 2/01/36	31403C6L0		1/22/2009	7,096	0	6,999	97				97
644	FNMA POOL 745275	5.0% 2/01/36	31403C6L0		1/22/2009	6,927	0	6,814	113				113
645	FNMA POOL 745275	5.0% 2/01/36	31403C6L0		1/22/2009	162	0	166	-4				-4
646	FNMA POOL 745275	5.0% 2/01/36	31403C6L0		1/22/2009	90	0	89	1				1
647	FNMA POOL #745418	5.5% 4/01/36	31403DDX4		2/27/2008	199	0	198	1				1
648	FNMA POOL #745418	5.5% 4/01/36	31403DDX4		2/27/2008	263	0	263	1				1
649	FNMA POOL #745418	5.5% 4/01/36	31403DDX4		2/27/2008	253	0	252	1				1
650	FNMA POOL #745418	5.5% 4/01/36	31403DDX4		2/27/2008	245	0	243	2				2
651	FNMA POOL #745418	5.5% 4/01/36	31403DDX4		2/27/2008	270	0	269	1				1
652	FNMA POOL #745418	5.5% 4/01/36	31403DDX4		2/27/2008	210	0	209	1				1
653	FNMA POOL #745418	5.5% 4/01/36	31403DDX4		2/27/2008	9,750	0	9,294	456				456
654	FNMA POOL #745418	5.5% 4/01/36	31403DDX4		2/27/2008	165	0	164	1				1
655	FNMA POOL #745418	5.5% 4/01/36	31403DDX4		2/27/2008	608	0	609	-1				-1
656	FNMA POOL #745418	5.5% 4/01/36	31403DDX4		2/27/2008	347	0	347	0				0
657	FNMA POOL #745870	5.0% 10/01/36	31403DT33		6/11/2009	29,154	0	28,097	1,057				1,057
658	FNMA POOL #745870	5.0% 10/01/36	31403DT33		6/11/2009	426	0	427	-1				-1
659	FNMA POOL #745870	5.0% 10/01/36	31403DT33		6/11/2009		0						
660	FNMA POOL #745870	5.0% 10/01/36	31403DT33		6/11/2009		0						

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions															Amount	
Short Term CG Distributions															498	
	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses			
661	FNMA POOL 745948 6 5% 10/01/36	31403DWWH8		9/10/2009	11/25/2009	1,090	0	1,164	-74			0	-646,315			
662	FNMA POOL # 745948 6 5% 10/1/2036	31403DWWH8		9/10/2009	12/25/2009	818	0	873	-55			0	-74			
663	FNMA POOL # 745948 6 5% 10/1/2036	31403DWWH8		9/10/2009	12/31/2009	952	0	1,017	-65			0	-55			
664	FNMA POOL 773289 4 575% 3/01/35	31404ANC20		2/28/2008	1/25/2009	600	0	599	1			0	-65			
665	FNMA POOL 773289 4 585% 3/01/35	31404ANC20		2/28/2008	2/25/2009	15	0	15	0			0	1			
666	FNMA POOL 773289 4 585% 3/01/35	31404ANC20		2/28/2008	3/25/2009	15	0	15	0			0	0			
667	FNMA POOL 773289 4 585% 3/01/35	31404ANC20		2/28/2008	4/25/2009	15	0	15	0			0	0			
668	FNMA POOL 773289 4 585% 3/01/35	31404ANC20		2/28/2008	5/20/2009	8,869	0	8,567	302			0	302			
669	FNMA POOL 831812 6 0% 9/01/36	31407JDR0		2/27/2008	1/25/2009	16	0	16	0			0	0			
670	FNMA POOL 831812 6 0% 9/01/36	31407JDR0		2/27/2008	2/25/2009	17	0	17	0			0	0			
671	FNMA POOL 831812 6 0% 9/01/36	31407JDR0		2/27/2008	3/25/2009	259	0	262	-3			0	-3			
672	FNMA POOL 831812 6 0% 9/01/36	31407JDR0		2/27/2008	4/25/2009	120	0	121	-1			0	-1			
673	FNMA POOL 831812 6 0% 9/01/36	31407JDR0		2/27/2008	5/15/2009	385	0	390	-5			0	-5			
674	HMOT 2005-3 A2 4 41% 6/15/12	41283ABY4		3/25/2008	6/15/2009	318	0	320	-2			0	-2			
675	HMOT 2005-3 A2 4 41% 6/15/12	41283ABY4		3/25/2008	7/15/2009	276	0	277	-1			0	-1			
676	HMOT 2005-3 A2 4 41% 6/15/12	41283ABY4		3/25/2008	8/15/2009	283	0	285	-2			0	-2			
677	HMOT 2005-3 A2 4 41% 6/15/12	41283ABY4		3/25/2008	9/15/2009	269	0	270	-1			0	-1			
678	HMOT 2005-3 A2 4 41% 6/15/12	41283ABY4		3/25/2008	10/15/2009	266	0	267	-1			0	-1			
679	HMOT 2005-3 A2 4 41% 6/15/12	41283ABY4		3/25/2008	11/15/2009	230	0	231	-1			0	-1			
680	HMOT 2005-3 A2 4 41% 6/15/12	41283ABY4		3/25/2008	12/15/2009	228	0	229	-1			0	-1			
681	HESS CORP	42809H107		2/12/2008	9/22/2009	8,468	0	13,633	-5,165			0	-5,165			
682	HESS CORP	42809H107		2/12/2008	12/28/2009	4,199	0	6,362	-2,163			0	-2,163			
683	HEWLETT PACKARD CO	428236103		9/4/2009	9/22/2009	3,293	0	3,133	160			0	160			
684	HEWLETT PACKARD CO	428236103		8/21/2009	9/22/2009	7,997	0	7,546	451			0	451			
685	HEWLETT PACKARD CO	428236103		8/21/2009	12/28/2009	5,254	0	4,439	815			0	815			
686	HOME DEPOT INC	437076102		8/28/2009	9/22/2009	10,850	0	10,811	39			0	39			
687	HOME DEPOT INC	437076102		8/28/2009	12/28/2009	2,033	0	1,941	92			0	92			
688	HOME DEPOT INC	437076102		9/11/2009	12/28/2009	2,904	0	2,745	159			0	159			
689	HAROT 2006-3 A4 5 11% 4/15/12	43812RAD0		3/5/2008	11/15/2009	736	0	750	-14			0	-14			
690	HAROT 2006-3 A4 5 11% 4/15/12	43812RAD0		2/12/2008	9/22/2009	1,305	0	1,331	-26			0	-26			
691	HAROT 2006-3 A4 5 11% 4/15/12	43812RAD0		2/12/2008	12/28/2009	5,964	0	8,700	-2,736			0	-2,736			
692	HONEYWELL INTL INC	438516106		2/12/2008	9/22/2009	2,780	0	4,060	-1,280			0	-1,280			
693	HONEYWELL INTL INC	438516106		2/12/2008	12/28/2009	6,135	0	5,824	311			0	311			
694	HOSPIRA INC	441060100		2/12/2008	12/28/2009	2,526	0	2,080	446			0	446			
695	HOSPIRA INC	441060100		2/12/2008	12/28/2009	1,860	0	1,731	129			0	129			
696	HUMAN GENOMICS INC	444903108		4/29/2009	6/24/2009	11,468	0	11,650	-182			0	-182			
697	HYDRO-QUEBEC	448814EK5		5/12/2009	5/12/2009	5,070	0	5,000	70			0	70			
698	ILLINOIS ST TOLL HWY 6 184% 1/01/34	452252FH7		5/12/2009	6/5/2009	5,922	0	5,138	784			0	784			
699	INTEL CORP	458140100		5/15/2008	6/5/2009	1,109	0	1,744	-635			0	-635			
700	INTEL CORP	458140100		5/16/2008	6/5/2009	10,611	0	16,676	-6,065			0	-6,065			
701	INTEL CORP	458140100		11/3/2008	6/5/2009	3,167	0	3,150	17			0	17			
702	INTEL CORP	458140100		3/10/2008	6/5/2009	14,982	0	12,996	1,986			0	1,986			
703	INTEL CORP	458140100		2/12/2008	8/21/2009	32,321	0	28,879	3,442			0	3,442			
704	INTERNATIONAL BUSINESS MACHINES	459200101		2/12/2008	9/22/2009	8,516	0	7,487	1,029			0	1,029			
705	INTERNATIONAL BUSINESS MACHINES	459200101		2/12/2008	12/28/2009	3,164	0	2,567	597			0	597			
706	INTERNATIONAL BUSINESS MACHINES	459200101		2/19/2008	8/5/2009	3,988	0	5,133	-1,145			0	-1,145			
707	INTL LEASE FIN CORP 5 75% 6/15/11	45974VA24		12/4/2008	12/28/2009	239	0	160	79			0	79			
708	AOL INC	00184X105		12/4/2008	12/29/2009	2	0	1	1			0	1			
709	AOL INC	00184X105		2/12/2008	9/22/2009	9,831	0	13,901	-4,070			0	-4,070			
710	AT & T INC	00206R102		2/12/2008	12/28/2009	4,239	0	5,656	-1,397			0	-1,397			
711	AT & T INC	00206R102		2/19/2008	10/27/2009	15,927	0	14,925	1,002			0	1,002			
712	AT&T INC	00206RAD4		2/12/2008	5/1/2009	21,958	0	29,892	-7,934			0	-7,934			
713	ABBOTT LABORATORIES	002824100		1/3/2008	5/1/2009	2,486	0	3,360	-874			0	-874			
714	ABBOTT LABORATORIES	002824100		3/26/2008	2/23/2009	7,365	0	15,799	-8,434			0	-8,434			
715	ADOBE SYSTEMS INC	00724F101		3/26/2008	2/23/2009	4,796	0	10,331	-5,535			0	-5,535			
716	ADOBE SYSTEMS INC	00724F101		11/3/2008	3/5/2009	1,370	0	2,155	-785			0	-785			
717	ADOBE SYSTEMS INC	00724F101		8/8/2008	3/5/2009	716	0	1,635	-919			0	-919			
718	AETNA INC	00817Y108		7/23/2008	3/5/2009	4,704	0	10,611	-5,907			0	-5,907			
719	AETNA INC	00817Y108		7/23/2008	3/5/2009	194	0	407	-213			0	-213			
720	AETNA INC	00817Y108		7/23/2008	3/5/2009	194	0	407	-213			0	-213			

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Long Term CG Distributions															Amount		
Short Term CG Distributions															498		
															0		
721	AETNA INC	00817Y108	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Totals		Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F.M.V. as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gain Minus Excess of FMV Over Adjusted Basis or Losses
								4,990,767	5,637,082								
722	AETNA INC	00817Y108				7/24/2008	6/15/2009	3,600	0	6,369	0	6,369	-2,769	0	0	0	-2,769
723	AETNA INC	00817Y108				11/3/2008	6/15/2009	2,490	0	2,838	0	2,838	-348	0	0	0	-348
724	AETNA INC	00817Y108				7/23/2008	6/15/2009	679	0	1,221	0	1,221	-542	0	0	0	-542
725	ALLIANCE DATA SYS CORP	018581108				7/24/2008	6/15/2009	7,942	0	14,060	0	14,060	-6,118	0	0	0	-6,118
726	ALLIANCE DATA SYS CORP	018581108				7/23/2008	9/22/2009	5,723	0	5,678	0	5,678	45	0	0	0	45
727	AMERIPRISE FINANCIAL INC	03076C106				7/23/2008	12/28/2009	2,567	0	2,523	0	2,523	44	0	0	0	44
728	AMERIPRISE FINANCIAL INC	03076C106				1/21/2009	9/22/2009	3,279	0	1,917	0	1,917	1,362	0	0	0	1,362
729	AMGEN INC	031162100				12/28/2009	12/28/2009	1,971	0	958	0	958	1,013	0	0	0	1,013
730	AMGEN INC	031162100				9/22/2009	9/22/2009	1,834	0	6	0	1,828	6	0	0	0	6
731	AMGEN INC	031162100				10/29/2008	9/22/2009	6,726	0	6,615	0	6,615	111	0	0	0	111
732	APACHE CORP	037411105				10/29/2008	12/28/2009	2,875	0	3,007	0	3,007	-132	0	0	0	-132
733	APACHE CORP	037411105				2/12/2008	9/22/2009	9,311	0	10,483	0	10,483	-1,172	0	0	0	-1,172
734	INTL LEASE FIN CORP 5.75% 6/15/11	45974VA24				2/12/2008	8/19/2009	5,221	0	5,241	0	5,241	-20	0	0	0	-20
735	INTL LEASE FIN CORP 5.75% 6/15/11	45974VA24				2/19/2008	9/3/2009	4,225	0	5,133	0	5,133	-908	0	0	0	-908
736	INTL LEASE FIN CORP 5.75% 6/15/11	45974VA24				2/19/2008	9/10/2009	4,400	0	5,133	0	5,133	-733	0	0	0	-733
737	JPMORGAN CHASE & CO	46625H100				2/12/2008	9/22/2009	4,425	0	5,133	0	5,133	-708	0	0	0	-708
738	JOHNSON & JOHNSON	478160104				2/12/2008	12/28/2009	14,067	0	13,601	0	13,601	466	0	0	0	466
739	JOHNSON & JOHNSON	478160104				2/12/2008	12/21/2009	5,828	0	6,142	0	6,142	-314	0	0	0	-314
740	JOHNSON & JOHNSON	478160104				2/12/2008	12/21/2009	36,289	0	40,715	0	40,715	-4,416	0	0	0	-4,416
741	JOHNSON CONTROLS INC 5.5% 1/15/1478366AR8					11/3/2008	7/1/2009	4,468	0	4,866	0	4,866	-398	0	0	0	-398
742	JOHNSON CONTROLS INC 5.5% 1/15/1478366AR8					5/7/2008	7/2/2009	4,576	0	4,915	0	4,915	-339	0	0	0	-339
743	JOHNSON CONTROLS INC 5.5% 1/15/1478366AR8					7/2/2008	7/2/2009	9,174	0	9,830	0	9,830	-656	0	0	0	-656
744	JOHNSON CONTROLS INC 5.5% 1/15/1478366AR8					6/3/2008	7/7/2009	4,619	0	4,911	0	4,911	-292	0	0	0	-292
745	JOHNSON CONTROLS INC 5.5% 1/15/1478366AR8					6/30/2008	7/14/2009	4,638	0	4,818	0	4,818	-180	0	0	0	-180
746	JUNIPER NETWORKS INC	48203R104				7/17/2008	7/14/2009	4,638	0	4,798	0	4,798	-160	0	0	0	-160
747	JUNIPER NETWORKS INC	48203R104				10/29/2008	6/5/2009	10,112	0	7,823	0	7,823	2,289	0	0	0	2,289
748	JUNIPER NETWORKS INC	48203R104				6/8/2009	6/8/2009	704	0	559	0	559	145	0	0	0	145
749	JUNIPER NETWORKS INC	48203R104				10/29/2008	6/8/2009	2,345	0	1,807	0	1,807	538	0	0	0	538
750	JUNIPER NETWORKS INC	48203R104				11/3/2008	6/8/2009	2,814	0	2,137	0	2,137	677	0	0	0	677
751	JUNIPER NETWORKS INC	48203R104				10/28/2008	9/22/2009	3,304	0	2,137	0	2,137	1,167	0	0	0	1,167
752	KBR INC	48242W106				10/28/2008	12/28/2009	1,338	0	890	0	890	448	0	0	0	448
753	KBR INC	48242W106				2/12/2008	9/2/2009	217	0	320	0	320	-103	0	0	0	-103
754	KBR INC	48242W106				2/12/2008	9/2/2009	282	0	416	0	416	-134	0	0	0	-134
755	KBR INC	48242W106				2/12/2008	9/2/2009	628	0	929	0	929	-301	0	0	0	-301
756	KBR INC	48242W106				2/12/2008	9/3/2009	3,714	0	5,509	0	5,509	-1,795	0	0	0	-1,795
757	KBR INC	48242W106				2/12/2008	9/3/2009	868	0	1,281	0	1,281	-413	0	0	0	-413
758	KBR INC	48242W106				2/12/2008	9/4/2009	174	0	256	0	256	-82	0	0	0	-82
759	KBR INC	48242W106				2/12/2008	9/4/2009	7,111	0	10,505	0	10,505	-3,394	0	0	0	-3,394
760	KBR INC	48242W106				2/12/2008	9/22/2009	432	0	641	0	641	-209	0	0	0	-209
761	KBR INC	48242W106				2/12/2008	12/28/2009	4,051	0	5,765	0	5,765	-1,714	0	0	0	-1,714
762	KEYCORP NEW	493267108				12/28/2009	5/21/2009	1,380	0	876	0	876	-500	0	0	0	-500
763	KEYCORP NEW	493267108				11/3/2008	5/21/2009	1,613	0	3,507	0	3,507	-1,894	0	0	0	-1,894
764	KEYCORP NEW	493267108				10/14/2008	5/21/2009	595	0	1,376	0	1,376	-781	0	0	0	-781
765	KEYCORP NEW	493267108				11/3/2008	5/22/2009	2,150	0	4,792	0	4,792	-2,642	0	0	0	-2,642
766	KEYCORP NEW	493267108				10/14/2008	5/22/2009	1,678	0	3,734	0	3,734	-2,056	0	0	0	-2,056
767	KEYCORP NEW	493267108				10/15/2008	5/22/2009	1,692	0	3,740	0	3,740	-2,048	0	0	0	-2,048
768	KEYCORP NEW	493267108				10/14/2008	5/26/2009	3,729	0	8,401	0	8,401	-4,672	0	0	0	-4,672
769	LABORATORY CORP AMER HLDGS	50540R409				10/15/2008	1/21/2009	58	0	77	0	77	-19	0	0	0	-19
770	LABORATORY CORP AMER HLDGS	50540R409				4/23/2008	1/21/2009	1,730	0	2,310	0	2,310	-580	0	0	0	-580
771	LABORATORY CORP AMER HLDGS	50540R409				4/23/2008	1/21/2009	5,190	0	6,904	0	6,904	-1,714	0	0	0	-1,714
772	LABORATORY CORP AMER HLDGS	50540R409				4/24/2008	1/21/2009	10,323	0	13,251	0	13,251	-2,928	0	0	0	-2,928
773	LABORATORY CORP AMER HLDGS	50540R409				11/3/2008	1/21/2009	1,730	0	1,836	0	1,836	-106	0	0	0	-106
774	ESTEE LAUDER COMPANIES CLASS A	518439104				8/14/2008	3/3/2009	1,275	0	3,076	0	3,076	-1,801	0	0	0	-1,801
775	ESTEE LAUDER COMPANIES CLASS A	518439104				8/14/2008	3/3/2009	1,912	0	4,550	0	4,550	-2,638	0	0	0	-2,638
776	ESTEE LAUDER COMPANIES CLASS A	518439104				8/14/2008	3/3/2009	3,824	0	9,141	0	9,141	-5,317	0	0	0	-5,317
777	ESTEE LAUDER COMPANIES CLASS A	518439104				8/15/2008	3/3/2009	595	0	1,439	0	1,439	-844	0	0	0	-844
778	ESTEE LAUDER COMPANIES CLASS A	518439104				8/14/2008	3/3/2009	744	0	1,767	0	1,767	-1,023	0	0	0	-1,023
779	ESTEE LAUDER COMPANIES CLASS A	518439104				8/15/2008	3/3/2009	671	0	1,644	0	1,644	-973	0	0	0	-973
780	AUTOLIV INC	052800109				6/10/2009	9/22/2009	4,261	0	3,770	0	3,770	491	0	0	0	491

Long Term CG Distributions		Short Term CG Distributions		Totals		Gross Sales Price		Depreciation Allowed		Cost or Other Basis Plus Expense of Sale		Gain or Loss		F.M.V. as of 12/31/69		Adjusted Basis as of 12/31/69		Excess of FMV Over Adj Basis		Gains Minus Excess of FMV Over Adjusted Basis or Losses	
Amount		498		0		4,990,767		0		5,637,082		-646,315		0		0		0		-646,315	
		CUSIP #	How Acquired	Date Acquired	Date Sold																
781	AUTOLIV INC	052800109		6/10/2009	12/28/2009	2,604		0	1,885	719											
782	BNY MELLON MID CAP STK FD CL M	05569M509		2/12/2008	9/22/2009	315,000		0	380,219	-65,219											
783	BNY MELLON MID CAP STK FD CL M	05569M509		2/12/2008	12/29/2009	20,000		0	23,392	-3,392											
784	BNY MELLON SMALL CAP STK FD CL M	05569M806		2/12/2008	9/22/2009	120,000		0	149,711	-29,711											
785	BNY MELLON SMALL CAP STK FD CL M	05569M806		2/12/2008	12/29/2009	102,255		0	125,289	-23,034											
786	BNY MELLON SMALL CAP STK FD CL M	05569M806		1/13/2008	12/29/2009	19,322		0	18,000	1,322											
787	BNY MELLON SMALL CAP STK FD CL M	05569M806		12/3/2008	12/29/2009	3,117		0	2,438	679											
788	BNY MELLON SMALL CAP STK FD CL M	05569M806		3/27/2009	12/29/2009	68		0	49	19											
789	BNY MELLON SMALL CAP STK FD CL M	05569M806		12/8/2009	12/29/2009	159		0	150	9											
790	BNY MELLON INTERNATIONAL FD CL M	05569M871		2/12/2008	9/22/2009	570,000		0	713,173	-143,173											
791	BANK OF AMERICA CORP	060505104		2/12/2008	1/26/2009	9,182		0	59,228	-50,046											
792	BANK OF AMERICA CORP	060505104		2/12/2008	2/6/2009	4,291		0	30,043	-25,752											
793	BANK OF AMERICA CORP	060505104		10/14/2008	2/6/2009	1,986		0	8,424	-6,438											
794	BANK OF AMERICA CORP	060505104		10/14/2008	2/6/2009	215		0	907	-692											
795	BANK OF AMERICA CORP	060505104		10/15/2008	2/6/2009	2,152		0	9,018	-6,866											
796	BANK OF AMERICA CORP	060505104		11/3/2008	2/6/2009	2,023		0	7,719	-5,696											
797	BANK OF AMERICA CORP	060505104		5/7/2009	9/22/2009	12,517		0	9,617	2,900											
798	BANK OF AMERICA CORP	060505104		5/7/2009	12/28/2009	4,703		0	4,199	504											
799	BEAR STEARNS CO INC 4.5%	0073902CEE6		5/2/2008	9/18/2009	10,358		0	9,908	450											
800	BEST BUY INC	086516101		3/17/2009	9/11/2009	30,030		0	23,993	6,037											
801	BLACKROCK INC	08247X101		4/24/2009	9/22/2009	6,219		0	4,510	1,709											
802	BLACKROCK INC	08247X101		4/24/2009	12/28/2009	716		0	265	451											
803	BRITISH SKY BROADCASTS 6.875%	1111013AB4		3/4/2008	2/23/2009	15,000		0	15,326	-326											
804	BROADCOM CORP CL A	111320107		2/23/2009	9/22/2009	4,029		0	2,086	1,943											
805	BROADCOM CORP CL A	111320107		2/23/2009	11/3/2009	18,100		0	11,233	6,867											
806	CIGNA CORP	125509109		6/19/2009	9/22/2009	3,432		0	2,745	687											
807	CIGNA CORP	125509109		6/19/2009	12/28/2009	1,797		0	1,248	549											
808	CITEC 2006-VT2 A4 5.05%	125565AD3		3/5/2008	6/20/2009	711		0	722	-11											
809	CITEC 2006-VT2 A4 5.05%	125565AD3		3/5/2008	7/20/2009	1,698		0	1,723	-25											
810	CITEC 2006-VT2 A4 5.05%	125565AD3		3/5/2008	8/20/2009	1,774		0	1,800	-26											
811	CITEC 2006-VT2 A4 5.05%	125565AD3		3/5/2008	9/20/2009	1,366		0	1,386	-20											
812	APPLE INC	037833100		7/8/2008	9/22/2009	14,747		0	14,157	590											
813	APPLE INC	037833100		7/8/2008	12/28/2009	8,320		0	6,901	1,329											
814	CITEC 2006-VT2 A4 5.05%	125565AD3		3/5/2008	10/20/2009	1,170		0	1,188	-18											
815	CITEC 2006-VT2 A4 5.05%	125565AD3		3/5/2008	11/20/2009	1,112		0	1,129	-17											
816	CITEC 2006-VT2 A4 5.05%	125565AD3		3/5/2008	12/20/2009	1,022		0	1,037	-15											
817	CIT GROUP INC	125581AX6		2/19/2008	5/7/2009	3,425		0	4,652	-1,227											
818	CIT GROUP INC	125581AX6		2/19/2008	5/29/2009	3,500		0	4,652	-1,152											
819	CIT GROUP INC	125581AX6		2/19/2008	5/29/2009	3,450		0	4,652	-1,202											
820	CNH 2005-A A4B 4.29%	12613XCRO		5/15/2008	1/15/2009	651		0	644	7											
821	CNH 2005-A A4B 4.29%	12613XCRO		5/15/2008	2/17/2009	3,488		0	3,451	37											
822	CVS CAREMARK CORP	126650100		2/12/2008	9/22/2009	13,608		0	15,394	-1,786											
823	CVS CAREMARK CORP	126650100		2/12/2008	12/28/2009	5,171		0	6,482	-1,311											
824	CALIFORNIA ST 7.5%	13063A5E0		4/22/2009	4/22/2009	5,189		0	5,037	152											
825	ESTEE LAUDER COMPANIES CLASS A	518439104		8/14/2008	3/4/2009	7,186		0	16,914	-9,728											
826	ESTEE LAUDER COMPANIES CLASS A	518439104		11/3/2008	3/4/2009	2,360		0	3,844	-1,484											
827	LIMITED BRANDS INC	532716107		10/6/2009	12/28/2009	2,142		0	1,989	153											
828	LIMITED BRANDS INC	532716107		10/6/2009	12/28/2009	389		0	361	28											
829	LORILLARD INC	544147101		12/1/2009	9/22/2009	5,179		0	4,297	882											
830	LORILLARD INC	544147101		12/1/2009	10/5/2009	15,146		0	12,399	2,747											
831	LORILLARD INC	544147101		12/1/2009	10/5/2009	4,878		0	3,990	888											
832	LORILLARD INC	544147101		12/1/2009	10/6/2009	7,161		0	5,831	1,330											
833	LORILLARD INC	544147101		12/1/2009	10/6/2009	1,356		0	1,105	251											
834	MCAFEES INC	579064106		2/12/2008	7/30/2009	6,696		0	5,160	1,536											
835	MCAFEES INC	579064106		2/12/2008	7/30/2009	16,862		0	13,416	3,446											
836	MCAFEES INC	579064106		11/3/2008	7/30/2009	3,026		0	2,249	777											
837	MC DONALD'S CORPORATION	580135101		4/12/2008	3/17/2009	18,620		0	19,976	-1,356											
838	MC DONALD'S CORPORATION	580135101		4/12/2008	3/17/2009	4,256		0	4,558	-302											
839	MC DONALD'S CORPORATION	580135101		4/12/2008	5/7/2009	17,558		0	18,803	-1,245											
840	MC DONALD'S CORPORATION	580135101		11/3/2008	5/7/2009	4,788		0	5,117	-329											

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Short Term CG Distributions										Amount		
																				498		
																				0		
Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses										
841 MCKESSON CORPORATION	58155Q103		2/4/2009	9/22/2009	2,912	0	2,278	634	634	0	0	634	-646,315									
842 MCKESSON CORPORATION	58155Q103		10/7/2009	12/28/2009	1,897	0	1,774	123	123	0	0	123	-1,325									
843 MEDTRONIC INC	585055106		2/12/2008	9/22/2009	4,446	0	5,771	-1,325	1,325	0	0	1,325	-1,325									
844 MEDTRONIC INC	585055106		2/12/2008	12/28/2009	2,066	0	2,404	-198	198	0	0	198	-198									
845 MERCK & CO INC	589331107		3/13/2009	9/22/2009	2,838	0	2,470	368	368	0	0	368	368									
846 MERCK & CO INC	589331105		3/13/2009	12/28/2009	22	0	17	5	5	0	0	5	5									
847 MERCK & CO INC	589331105		3/13/2009	12/28/2009	5,204	0	3,842	1,362	1,362	0	0	1,362	1,362									
848 MICROSOFT CORPORATION	594918104		2/12/2008	9/22/2009	7,647	0	8,508	-861	861	0	0	861	-861									
849 MICROSOFT CORPORATION	594918104		2/12/2008	12/28/2009	3,714	0	3,403	311	311	0	0	311	311									
850 MOLSOL COORS BREWING CO-B	60871R209		10/3/2008	3/13/2009	1,257	0	1,860	-603	603	0	0	603	-603									
851 MOLSOL COORS BREWING CO-B	60871R209		10/6/2008	3/13/2009	7,543	0	10,866	-3,323	3,323	0	0	3,323	-3,323									
852 MOLSOL COORS BREWING CO-B	60871R209		10/6/2008	3/13/2009	2,828	0	4,089	-1,261	1,261	0	0	1,261	-1,261									
853 MOLSOL COORS BREWING CO-B	60871R209		11/3/2008	3/13/2009	1,257	0	1,454	-197	197	0	0	197	-197									
854 MORGAN STANLEY	617446448		5/21/2009	9/22/2009	5,529	0	4,786	743	743	0	0	743	743									
855 MORGAN STANLEY	617446448		5/21/2009	9/22/2009	1,301	0	1,125	176	176	0	0	176	176									
856 MORGAN STANLEY	617446448		5/21/2009	12/28/2009	2,633	0	2,531	102	102	0	0	102	102									
857 MOSAIC CO	61945A107		6/10/2008	4/14/2009	7,958	0	25,374	-17,416	17,416	0	0	17,416	-17,416									
858 MOSAIC CO	61945A107		11/3/2008	4/14/2009	884	0	741	143	143	0	0	143	143									
859 NATIONAL OILWELL VARGO INC	637071101		12/30/2008	4/23/2009	9,667	0	7,866	1,801	1,801	0	0	1,801	1,801									
860 NATIONAL OILWELL VARGO INC	637071101		12/29/2008	4/24/2009	3,935	0	3,105	830	830	0	0	830	830									
861 NATIONAL OILWELL VARGO INC	637071101		12/29/2008	4/24/2009	1,241	0	976	265	265	0	0	265	265									
862 NATIONAL OILWELL VARGO INC	637071101		12/30/2008	4/24/2009	6,629	0	5,233	1,396	1,396	0	0	1,396	1,396									
863 NEW JERSEY ST TPK AU 7 414% 10/14	646139W35		4/20/2009	9/22/2009	10,537	0	10,000	537	537	0	0	537	537									
864 NEWS CORP INC	65248E203		2/12/2008	12/28/2009	9,497	0	13,299	-3,802	3,802	0	0	3,802	-3,802									
865 NEWS CORP INC	65248E203		3/5/2008	6/15/2009	4,793	0	5,844	-1,246	1,246	0	0	1,246	-1,246									
866 NALT 2006-A4 5 1% 7/16/12	65480AAD1		5/19/2008	6/15/2009	1,917	0	4,852	-59	59	0	0	59	-59									
867 NALT 2006-A4 5 1% 7/16/12	65480AAD1		5/19/2008	7/15/2009	6,268	0	1,898	19	19	0	0	19	19									
868 NALT 2006-A4 5 1% 7/16/12	65480AAD1		5/19/2008	7/15/2009	2,507	0	6,346	-78	78	0	0	78	-78									
869 NALT 2006-A4 5 1% 7/16/12	65480AAD1		3/5/2008	8/15/2009	6,140	0	2,482	25	25	0	0	25	25									
870 NALT 2006-A4 5 1% 7/16/12	65480AAD1		3/5/2008	8/15/2009	6,140	0	2,482	25	25	0	0	25	25									
871 CALIFORNIA ST 7 5% 4/01/34	13063A5E0		4/22/2009	4/23/2009	5,166	0	5,037	129	129	0	0	129	129									
872 CALIFORNIA ST 7 55% 4/01/39	13063A5G5		4/22/2009	5/11/2009	5,134	0	5,069	65	65	0	0	65	65									
873 CALIFORNIA ST 7 55% 4/01/39	13063A5G5		4/22/2009	5/11/2009	5,133	0	5,069	64	64	0	0	64	64									
874 CALIFORNIA ST 7 55% 4/01/39	13063A5G5		4/22/2009	10/6/2009	5,406	0	5,069	337	337	0	0	337	337									
875 CHUBB CORP	171232101		2/12/2008	12/12/2009	22,370	0	27,659	-5,289	5,289	0	0	5,289	-5,289									
876 CHUBB CORP	171232101		2/12/2008	9/22/2009	5,346	0	5,740	-394	394	0	0	394	-394									
877 CHUBB CORP	171232101		2/12/2008	12/28/2009	2,455	0	2,609	-154	154	0	0	154	-154									
878 CISCO SYSTEMS INC	17275R102		2/12/2008	2/23/2009	6,486	0	10,462	-3,976	3,976	0	0	3,976	-3,976									
879 CISCO SYSTEMS INC	17275R102		2/12/2008	9/22/2009	13,566	0	13,791	-225	225	0	0	225	-225									
880 CISCO SYSTEMS INC	17275R102		2/12/2008	12/28/2009	5,987	0	5,945	42	42	0	0	42	42									
881 COACH INC	189754104		10/21/2008	1/21/2009	6,069	0	9,015	-2,946	2,946	0	0	2,946	-2,946									
882 COACH INC	189754104		10/21/2008	1/21/2009	706	0	1,047	-341	341	0	0	341	-341									
883 COACH INC	189754104		10/21/2008	1/23/2009	431	0	628	-197	197	0	0	197	-197									
884 COACH INC	189754104		10/22/2008	1/23/2009	1,005	0	1,367	-362	362	0	0	362	-362									
885 COACH INC	189754104		11/3/2008	1/23/2009	4,020	0	5,497	-1,477	1,477	0	0	1,477	-1,477									
886 COACH INC	189754104		11/3/2008	1/23/2009	1,292	0	1,802	-510	510	0	0	510	-510									
887 COCA COLA ENTERPRISES INC	191219104		2/12/2008	9/22/2009	4,819	0	5,568	-749	749	0	0	749	-749									
888 COCA COLA ENTERPRISES INC	191219104		2/12/2008	12/28/2009	2,113	0	2,421	-308	308	0	0	308	-308									
889 COLGATE-PALMOLIVE CO	194162103		8/8/2008	8/28/2009	5,019	0	5,385	-366	366	0	0	366	-366									
890 COLGATE-PALMOLIVE CO	194162103		8/8/2008	8/28/2009	3,558	0	6,287	-395	395	0	0	395	-395									
891 COLGATE-PALMOLIVE CO	194162103		8/8/2008	8/31/2009	1,306	0	3,803	-245	245	0	0	245	-245									
892 COLGATE-PALMOLIVE CO	194162103		8/8/2008	8/31/2009	5,813	0	6,353	-402	402	0	0	402	-402									
893 COLGATE-PALMOLIVE CO	194162103		8/8/2008	9/1/2009	5,951	0	6,275	-62	62	0	0	62	-62									
894 COLGATE-PALMOLIVE CO	194162103		8/8/2008	9/1/2009	648	0	697	-49	49	0	0	49	-49									
895 COLGATE-PALMOLIVE CO	194162103		8/8/2008	9/1/2009	3,602	0	3,797	-195	195	0	0	195	-195									
896 COLGATE-PALMOLIVE CO	194162103		8/7/2008	9/1/2009	502	0	532	-30	30	0	0	30	-30									
897 COLGATE-PALMOLIVE CO	194162103		8/7/2008	9/2/2009	1,003	0	1,063	-60	60	0	0	60	-60									
898 COLGATE-PALMOLIVE CO	194162103		11/3/2008	9/2/2009	3,583	0	3,168	415	415	0	0	415	415									
899 COLGATE-PALMOLIVE CO	20825C104		2/12/2008	3/17/2009	4,478	0	9,243	-4,764	4,764	0	0	4,764	-4,764									

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions															Amount	
Short Term CG Distributions															498	0
	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Totals	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F.M.V. as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses		
901	CONOCOPHILLIPS	20825C104		2/12/2008	3/17/2009		6,714	0	13,865	-7,151			0	-646,315		
902	CONOCOPHILLIPS	20825C104		2/12/2008	9/22/2009		7,474	0	12,324	-4,850			0	-7,151		
903	CONOCOPHILLIPS	20825C104		2/12/2008	12/28/2009		3,566	0	5,392	-1,826			0	-4,850		
904	CUMMINS INC	231021106		5/1/2009	9/22/2009		824	0	615	209			0	-1,826		
905	CUMMINS INC	231021106		5/1/2009	9/22/2009		4,669	0	3,462	1,187			0	-1,826		
906	CUMMINS INC	231021106		5/1/2009	12/28/2009		947	0	683	264			0	1,187		
907	CUMMINS INC	231021106		5/1/2009	12/28/2009		1,420	0	1,024	396			0	264		
908	DANAHER CORP	235851102		2/12/2008	9/22/2009		3,400	0	3,696	-296			0	396		
909	DANAHER CORP	235851102		2/12/2008	12/28/2009		1,512	0	1,479	33			0	-296		
910	DARDEN RESTAURANTS INC	237194105		5/8/2008	5/21/2009		11,107	0	12,092	-985			0	33		
911	DARDEN RESTAURANTS INC	237194105		5/8/2008	5/22/2009		2,294	0	2,538	-244			0	-985		
912	DARDEN RESTAURANTS INC	237194105		5/8/2008	5/22/2009		4,589	0	5,087	-498			0	-244		
913	DARDEN RESTAURANTS INC	237194105		5/8/2008	5/22/2009		1,639	0	1,825	-186			0	-498		
914	DARDEN RESTAURANTS INC	237194105		5/8/2008	5/22/2009		328	0	366	-38			0	-186		
915	DARDEN RESTAURANTS INC	237194105		11/3/2008	5/22/2009		2,622	0	1,734	888			0	-38		
916	DEVON FING CORP 6.875% 9/30/11	25179SAC4		2/13/2008	5/20/2009		5,382	0	5,480	-98			0	888		
917	DEVON FING CORP 6.875% 9/30/11	25179SAC4		2/13/2008	5/26/2009		5,386	0	5,480	-94			0	-98		
918	NALT 2006-A A4 5.1% 7/16/12	65480AAD1		5/19/2008	8/15/2009		2,456	0	2,431	25			0	-94		
919	NALT 2006-A A4 5.1% 7/16/12	65480AAD1		3/5/2008	9/15/2009		5,914	0	5,987	-73			0	-25		
920	NALT 2006-A A4 5.1% 7/16/12	65480AAD1		5/19/2008	10/15/2009		2,366	0	2,342	24			0	-73		
921	NALT 2006-A A4 5.1% 7/16/12	65480AAD1		3/5/2008	10/15/2009		1,885	0	1,908	-23			0	24		
922	NALT 2006-A A4 5.1% 7/16/12	65480AAD1		5/19/2008	10/15/2009		754	0	746	8			0	-23		
923	NOKIA CORP-SPON ADR	654902204		7/28/2008	7/16/2009		5,068	0	10,699	-5,631			0	8		
924	NOKIA CORP-SPON ADR	654902204		7/28/2008	7/16/2009		1,334	0	2,820	-1,486			0	-5,631		
925	NOKIA CORP-SPON ADR	654902204		11/3/2008	7/16/2009		1,600	0	1,889	-289			0	-1,486		
926	NOKIA CORP-SPON ADR	654902204		7/28/2008	7/16/2009		6,795	0	14,382	-7,587			0	-289		
927	NORFOLK SOUTHERN CORP	655844108		11/4/2009	12/28/2009		2,673	0	2,492	181			0	-7,587		
928	NORTHERN TR CORP	665859104		12/1/2009	5/21/2009		1,799	0	1,870	-71			0	181		
929	NORTHERN TR CORP	665859104		12/1/2009	5/21/2009		4,082	0	4,275	-193			0	-71		
930	NORTHERN TR CORP	665859104		2/4/2009	5/21/2009		1,025	0	1,210	-185			0	-193		
931	NORTHERN TR CORP	665859104		2/4/2009	5/21/2009		2,050	0	2,418	-368			0	-185		
932	NORTHERN TR CORP	665859104		12/1/2009	5/21/2009		4,612	0	4,809	-197			0	-368		
933	NORTHERN TR CORP	665859104		12/1/2009	5/21/2009		5,473	0	5,611	-138			0	-197		
934	NOVARTIS AG-ADR	66987V109		9/24/2008	4/24/2009		10,311	0	15,265	-4,954			0	-138		
935	NOVARTIS AG-ADR	66987V109		9/25/2008	4/24/2009		1,106	0	1,658	-552			0	-4,954		
936	NOVARTIS AG-ADR	66987V109		9/25/2008	4/24/2009		1,474	0	2,213	-739			0	-552		
937	NOVARTIS AG-ADR	66987V109		9/24/2008	4/24/2009		1,843	0	2,726	-883			0	-739		
938	NOVARTIS AG-ADR	66987V109		10/7/2008	4/27/2009		2,364	0	3,511	-1,147			0	-883		
939	NOVARTIS AG-ADR	66987V109		11/3/2008	4/27/2009		3,637	0	5,089	-1,452			0	-1,147		
940	NOVARTIS AG-ADR	66987V109		10/7/2008	4/27/2009		4,122	0	6,050	-1,928			0	-1,452		
941	NOVARTIS AG-ADR	66987V109		9/24/2008	4/27/2009		2,575	0	3,816	-1,241			0	-1,928		
942	NOVARTIS AG-ADR	66987V109		10/7/2008	4/27/2009		1,839	0	2,724	-885			0	-1,241		
943	NOVARTIS AG-ADR	66987V109		4/23/2009	9/22/2009		7,467	0	10,965	-3,498			0	-885		
944	OCCIDENTAL PETROLEUM CORP	674599105		4/23/2009	9/22/2009		4,732	0	3,332	1,400			0	-3,498		
945	OCCIDENTAL PETROLEUM CORP	674599105		4/23/2009	12/28/2009		2,460	0	1,666	794			0	1,400		
946	OMNICOM GROUP INC	681919106		2/12/2008	9/22/2009		5,310	0	6,586	-1,276			0	794		
947	OMNICOM GROUP INC	681919106		2/12/2008	12/28/2009		2,368	0	2,823	-455			0	-1,276		
948	ORACLE CORP	68389X105		2/12/2008	9/22/2009		3,976	0	7,356	-3,379			0	-455		
949	ORACLE CORP	68389X105		2/12/2008	12/28/2009		3,229	0	6,883	-3,654			0	-3,379		
950	PNC FINANCIAL SERVICES GROUP	693475105		2/29/2008	2/4/2009		4,876	0	3,543	1,333			0	795		
951	PARKER HANNIFIN CORP	701094104		12/24/2008	9/22/2009		542	0	389	153			0	603		
952	PARKER HANNIFIN CORP	701094104		12/23/2008	9/22/2009		1,633	0	1,167	466			0	784		
953	PARKER HANNIFIN CORP	701094104		12/23/2008	12/28/2009		37,400	0	35,515	1,885			0	466		
954	DREYFUS MIDCAP INDEX	712223106		9/22/2008	12/28/2009		9,341	0	11,466	-2,125			0	-455		
955	PEPSICO INC	713448108		2/12/2008	9/22/2009		4,273	0	5,016	-743			0	-455		
956	PEPSICO INC	713448108		2/12/2008	12/28/2009		6,194	0	4,988	1,206			0	785		
957	PEPSICO INC	713448108		10/21/2008	7/14/2009		6,187	0	4,988	1,199			0	603		
958	PEPSICO INC	713448108		10/21/2008	7/14/2009		6,187	0	4,988	1,199			0	785		
959	PEPSICO INC	713448108		2/12/2008	9/22/2009		14,362	0	19,435	-5,073			0	-3,654		
960	PFIZER INC	717081103		2/12/2008	9/22/2009			0					0	1,333		

		Amount		Totals										0		5,637,082		-646,315		0		0		-646,315	
		Long Term CG Distributions		Short Term CG Distributions																					
		498		0																					
	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses												
961	PFIZER INC	717081103		2/12/2008	12/28/2009	7,041		0	8,587	-1,546		0	-1,546												
962	PHILIP MORRIS INTERNAT-W/	718172109		2/12/2008	9/22/2009	8,755		0	9,099	-344		0	-344												
963	PHILIP MORRIS INTERNAT-W/	718172109		2/12/2008	12/28/2009	3,898		0	-146			0	-146												
964	DREYFUS PREM LT TRM HI YLD-I	261980759		9/30/2009	12/29/2009	296		0	286	10		0	10												
965	DREYFUS PREM LT TRM HI YLD-I	261980759		10/30/2009	12/29/2009	1,165		0	1,138	27		0	27												
966	MELLON OPTIMA-SHORT TERM					0		0	6,076	-6,076		0	-6,076												
967	MELLON OPTIMA-LONG TERM					0		0	28,236	-28,236		0	-28,236												
968	MELLON OPTIMA-SEC 1256					0		0	1,059	-1,059		0	-1,059												
969						0		0	0			0	0												

Part XVI-A, Lines 11a-11e (990-PF) - Other Revenue

		Unrelated Business Income		Excluded by Section 512, 513, or 514		
	Program Service Revenue	Business Code	Amount	Exclusion Code	Amount	Related or Exempt Function Income
1	MELLON OPTIMA	525990	-322		-15,407	
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	2,524
2 First quarter estimated tax payment	5/12/2009	2	1,222
3 Second quarter estimated tax payment	6/10/2009	3	1,222
4 Third quarter estimated tax payment	9/9/2009	4	1,222
5 Fourth quarter estimated tax payment	12/9/2009	5	1,222
6 Other payments		6	
7 Total		7	7,412

QUALIFIED ELECTING FUND STATEMENT

NOTE MELLON OPTIMA L/S STRATEGIC FUND, LLC ("THE FUND") HAS INVESTED INDIRECTLY IN PFICS THROUGH ITS INVESTMENT IN VARIOUS U S PARTNERSHIPS ("THE UNDERLYING FUNDS") THE UNDERLYING FUNDS HAVE MADE A TIMELY QUALIFIED ELECTING FUND ("QEF") ELECTION WITH RESPECT TO ITS INVESTMENTS IN PFICS, EFFECTIVE FOR EACH YEAR OF OWNERSHIP, AND HAVE FILED THE REQUIRED FORMS 8621 EACH PFIC WAS ACQUIRED FOR CASH AS THE FIRST U S SHAREHOLDER THAT HAS MADE A QEF ELECTION FOR A PFIC, THE FUND IS REQUIRED TO INCLUDE INCOME CURRENTLY UNDER IRC SECTION 1293 AND TO FILE FORM 8621 YOUR DISTRIBUTIVE SHARE OF SUCH AMOUNTS, IF ANY, HAS BEEN INCLUDED IN YOUR SCHEDULE K-1 AS A PARTNER IN A U S PARTNERSHIP THAT HAS MADE THE QEF ELECTION AND FILED FORM 8621, YOUR DISTRIBUTIVE SHARE OF THIS INCOME IS NOT REQUIRED TO BE FURTHER REPORTED ON AN ADDITIONAL FORM 8621 THE IRS HAS CLARIFIED INFORMALLY THAT NO ADDITIONAL FORM 8621 REPORTING IS NECESSARY UNDER THESE CIRCUMSTANCES AND INTENDS TO REVISE THE INSTRUCTIONS TO THE FORM 8621 THEREFORE, THE UNDERLYING FUNDS HAVE NOT PROVIDED FORM 8621 OR THE INFORMATION NECESSARY TO PREPARE FORM 8621 TO ITS PARTNERS PLEASE CONSULT YOUR TAX ADVISOR

STATE INFORMATION

THE PARTNERSHIP HAS INVESTED IN OTHER PARTNERSHIPS WHICH HAVE DERIVED INCOME/(LOSS) ALLOCABLE TO VARIOUS STATES THE FOLLOWING REPRESENTS THE AMOUNTS INCLUDED ON YOUR SCHEDULE K-1 SOURCED TO VARIOUS STATES PLEASE CONSULT YOUR TAX ADVISOR TO DETERMINE YOUR STATE FILING REQUIREMENTS

ORDINARY INCOME/(LOSS)

CALIFORNIA	(25)
COLORADO	(1)
DELAWARE	(2)
ILLINOIS	(3)
INDIANA	(1)
MARYLAND	(1)
MINNESOTA	(1)
NEW JERSEY	(33)
NEW YORK	(40)
OHIO	(1)
OREGON	2
PENNSYLVANIA	(2)

THE PARTNERSHIP IS FILING A NEW YORK STATE RETURN REPORTING NEW YORK SOURCE INCOME THE PARTNERSHIP HAS INVESTED IN A LOWER-TIER PARTNERSHIP THAT REPORTED INCOME OR LOSS FROM NEW YORK SOURCES IN ADDITION, THE PARTNERSHIP REPORTS INCOME OR LOSS FROM TRADING IN SECURITIES THROUGH ITS INVESTMENTS IN LOWER-TIER ENTITIES THAT SOLELY TRADE SECURITIES FOR THEIR OWN ACCOUNT PLEASE CONTACT THE PARTNERSHIP IF ADDITIONAL INFORMATION IS REQUIRED TO COMPLETE YOUR RETURN PLEASE NOTE THAT THE PARTNERSHIP QUALIFIES AS A PORTFOLIO INVESTMENT PARTNERSHIP UNDER NYS REGULATION SECTION 1-3.2(a)(6)(iii)(d)

A PORTFOLIO INVESTMENT PARTNERSHIP IS A LIMITED PARTNERSHIP WHICH MEETS THE GROSS INCOME REQUIREMENT OF SECTION 851(b)(2) OF THE INTERNAL REVENUE CODE INCLUDED AS ELIGIBLE INCOME IS INCOME AND GAINS FROM COMMODITIES (NOT DESCRIBED IN SECTION 1221(1) OF SUCH CODE) AND FROM FUTURES, FORWARDS AND OPTIONS WITH RESPECT TO SUCH COMMODITIES THE COMMODITIES MUST BE OF THE KIND CUSTOMARILY TRADED ON AN EXCHANGE AND THE TRANSACTION MUST BE OF A KIND CUSTOMARILY CONSUMMATED ON AN EXCHANGE THE PARTNERSHIP MUST BE TRADING PROPERTY FOR ITS OWN ACCOUNT INCOME CONNECTED TO ACTIVITIES CONDUCTED AS A DEALER IS NOT QUALIFIED INCOME SEE NYS REGULATION SECTION 1-3.2(a)(6)(iii)(d)

DISCLOSURE ACKNOWLEDGEMENTS PURSUANT TO IRS NOTICE 2006-16
FOR THE TAX YEAR ENDED DECEMBER 31, 2009

IN ACCORDANCE WITH RECENT GUIDELINES ISSUED BY THE IRS IN NOTICE 2006-16, MELLON OPTIMA US STRATEGY FUND, LLC HAS RECEIVED ACKNOWLEDGEMENTS THAT THE FOLLOWING ENTITIES IN WHICH IT HAS A DIRECT OR INDIRECT INTEREST, HAVE OR WILL COMPLY WITH THEIR SEPARATE DISCLOSURE OBLIGATIONS UNDER TREAS REG SEC 1 6011-4 WITH RESPECT TO TRANSACTIONS DESCRIBED IN IRS NOTICE 2002-35 BY FILING FORM 8886, REPORTABLE TRANSACTION DISCLOSURE STATEMENT, UNDER TREAS REG SEC 1 6011-4(F)(2)

	EIN
1 CHILTON INVESTMENT PARTNERS, L P	13-3671505
2 KARSCH CAPITAL II, LP	13-4127481
3 LANSDOWNE EUROPEAN STRATEGIC EQUITY FUND LP	99-0360331
4 MAVERICK FUND USA, LTD	75-2500667

BECAUSE OF YOUR INDIRECT INTEREST IN THESE ENTITIES, THE FUND IS HEREBY DISCLOSING KNOWLEDGE OF THE ABOVE AND WILL RETAIN THE UNDERLYING ACKNOWLEDGEMENTS IN THE OFFICE OF THE TAX MATTERS PARTNER ON YOUR BEHALF WHICH WILL BE MADE AVAILABLE TO YOU UPON REQUEST PLEASE SEE NOTICE 2006-16, SECTION 3 02, "DISCLOSURE REQUIREMENT SAFE HARBOR" FOR FURTHER INFORMATION (NOTICE 2006-16 CAN BE FOUND ON THE IRS' WEBSITE AT WWW.IRS.GOV/PUB/IRS-DROP/N-06-16.PDF)

IF YOU ARE A PASS-THROUGH ENTITY, PLEASE PROVIDE THIS INFORMATION TO TAXPAYERS HOLDING AN INTEREST IN YOUR PASS-THROUGH ENTITY

PLEASE CONSULT YOUR TAX ADVISOR WITH REGARD TO THESE ACKNOWLEDGEMENTS AND NOTICE 2006-16

Form **8868**
(Rev. April 2009)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Type or print File by the due date for filing your return. See instructions	Name of Exempt Organization	Employer identification number
	MICHAEL E HORN FAM FDN INC-IMA 10260442850	26-1375584
	Number, street, and room or suite no. If a P O box, see instructions	
	BNY Mellon, N A - P O Box 185	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	Pittsburgh	PA 15230-0185

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|--|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

- The books are in the care of ▶ BNY Mellon, N A P O Box 185 Pittsburgh PA 15230-0185

Telephone No ▶ (412) 234-0023

FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15/2010 to file the exempt organization return for the organization named above. The extension is for the organization's return for ☒ calendar year 2009 or ☐ tax year beginning _____, and ending _____

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3 a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	2,023
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	7,412
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.
(HTA)

Form **8868** (Rev. 4-2009)

102604428

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**
Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization	Employer identification number
	MICHAEL E HORN FAM FDN INC-IMA	26-1375584
	Number, street, and room or suite no. If a P O box, see instructions	For IRS use only
	BNY Mellon, N A - P O Box 185	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	Pittsburgh PA 15230-0185	

Check type of return to be filed (File a separate application for each return)

- | | | | |
|--------------------------------------|---|--------------------------------------|------------------------------------|
| ☐ Form 990 | ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 6069 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 4720 | ☐ Form 8870 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 5227 | |

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☒ BNY Mellon, N.A. P O Box 185 Pittsburgh PA 15230-0185
Telephone No ☒ (412) 234-0023 FAX No ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until 11/15/2010
- 5 For calendar year 2009, or other tax year beginning _____, and ending _____
- 6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension More time is requested to acquire all information needed to complete and file an accurate return.

8 a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	2,023
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	7,412
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	\$	0

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☒

Title ☒

Date ☒