Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No. 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
THUNDER BAY FOUNDATION, INC.

A Employer identification number
26-1172656

Number and street (or P O box number if mail is not delivered to street address) Room/suite
901 SOUTH BOND STREET

B Telephone number (see page 10 of the instructions)
(410) 537-5484

City or town, state, and ZIP code
BALTIMORE, MD 21231

C If exemption application is pending, check here ▶ ☐
D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 17,904,719.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	154,980.	140,536.		STMT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-423,726.			
	b	Gross sales price for all assets on line 6a 5,266,898.				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	-268,746.	140,536.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule) STMT [illegible]	54,870.	54,870.		
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions) STMT [illegible]	5,625.	1,337.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) STMT. 8	4,680.			4,680.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	65,175.	56,207.		4,680.
	25	Contributions, gifts, grants paid	890,000.			890,000.
	26	**Total expenses and disbursements** Add lines 24 and 25	955,175.	56,207.		894,680.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-1,223,921.			
	b	**Net investment income** (if negative, enter -0-)		84,329.		
	c	**Adjusted net income** (if negative, enter -0-)				

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10N6

		Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	11,592,717.	8,065,421.	8,065,421.
	3	Accounts receivable ▶ Less. allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less. allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) STMT 9	8,821,716.	7,977,139.	6,577,560.
	c	Investments - corporate bonds (attach schedule) STMT 10	39,223.	3,187,167.	3,261,738.
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	20,453,656.	19,229,727.	17,904,719.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	20,453,656.	19,229,727.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	20,453,656.	19,229,727.	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	20,453,656.	19,229,727.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	20,453,656.
2	Enter amount from Part I, line 27a	2	-1,223,921.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	19,229,735.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 11	5	8.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	19,229,727.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE PART IV DETAIL			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) ..... { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 -423,726.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? .... ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	51,395.	18,957,032.	0.00271113115
2007	NONE	19,985,852.	NONE
2006			
2005			
2004			

2	**Total** of line 1, column (d)	2	0.00271113115
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.00135556558
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	16,388,796.
5	Multiply line 4 by line 3	5	22,216.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	843.
7	Add lines 5 and 6	7	23,059.
8	Enter qualifying distributions from Part XII, line 4	8	894,680.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______________ (attach copy of ruling letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	843.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	
3	Add lines 1 and 2			3	843.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	843.
6	Credits/Payments:				
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a			
b	Exempt foreign organizations-tax withheld at source	6b	NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	NONE
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	25.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	868.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ __________ (2) On foundation managers ▶ $ __________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ __________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ STMT 12			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BROWN ADVISORY Telephone no. ▶ (410)537-5404

Located at ▶ 901 SOUTH BOND STREET, BALTIMORE, MD ZIP + 4 ▶ 21231

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ▶ ____, ____, ____, ____			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions.)	2b		X
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over $50,000 for professional services . . . . ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions. 3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	6,482,341.
b	Average of monthly cash balances	1b	10,156,031.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	16,638,372.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	16,638,372.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	249,576.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	16,388,796.
6	**Minimum investment return.** Enter 5% of line 5	6	819,440.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	819,440.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	843.		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	843.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	818,597.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	818,597.
6	Deduction from distributable amount (see page 25 of the instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	818,597.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	894,680.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	894,680.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	843.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	893,837.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

			(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7					818,597.
2	Undistributed income, if any, as of the end of 2009					
a	Enter amount for 2008 only				888,167.	
b	Total for prior years 20 07 , 20 ___ , 20 ___			NONE		
3	Excess distributions carryover, if any, to 2009					
a	From 2004	NONE				
b	From 2005	NONE				
c	From 2006	NONE				
d	From 2007	NONE				
e	From 2008	NONE				
f	**Total** of lines 3a through e		NONE			
4	Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 894,680.					
a	Applied to 2008, but not more than line 2a				888,167.	
b	Applied to undistributed income of prior years (Election required - see page 26 of the instructions)			NONE		
c	Treated as distributions out of corpus (Election required - see page 26 of the instructions)		NONE			
d	Applied to 2009 distributable amount					6,513.
e	Remaining amount distributed out of corpus		NONE			
5	Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		NONE			NONE
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e. Subtract line 5		NONE			
b	Prior years' undistributed income. Subtract line 4b from line 2b			NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			NONE		
d	Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions			NONE		
e	Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions					
f	Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010					812,084.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)		NONE			
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)		NONE			
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		NONE			
10	Analysis of line 9					
a	Excess from 2005	NONE				
b	Excess from 2006	NONE				
c	Excess from 2007	NONE				
d	Excess from 2008	NONE				
e	Excess from 2009	NONE				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter: (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

26-1172656

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* SEE STATEMENT 15				
Total . . . . ▶ **3a**				890,000.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	154,980.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-423,726.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				-268,746.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . . 13 -268,746.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Teresa J. Kight

Signature of officer or trustee TERESA J KIGHT

Date 5.7.2010

Title PRES - DIRECTOR

Paid Preparer's Use Only

Preparer's signature

Date 04/28/2010

Check if self-employed ▶ ☐

Preparer's identifying number (See Signature on page 30 of the instructions)

Firm's name (or yours if self-employed), address, and ZIP code ▶ BROWN INVESTMENT ADVISORY & TRUST
901 SOUTH BOND STREET
BALTIMORE, MD 21231

EIN ▶ 52-1811121

Phone no. 410-537-5484

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					141.	
		300. AFC ENTERPRISES INC					11/15/2007	11/17/2009
		PROPERTY TYPE: SECURITIES						
2,370.00		3,435.00					-1,065.00	
		100. AFC ENTERPRISES INC					11/15/2007	11/18/2009
		PROPERTY TYPE: SECURITIES						
794.00		1,145.00					-351.00	
		100. ACETO CORP					06/05/2008	11/12/2009
		PROPERTY TYPE: SECURITIES						
530.00		805.00					-275.00	
		100. ACETO CORP					05/12/2008	11/13/2009
		PROPERTY TYPE: SECURITIES						
518.00		805.00					-287.00	
		400. ACETO CORP					11/15/2007	11/23/2009
		PROPERTY TYPE: SECURITIES						
2,080.00		3,082.00					-1,002.00	
		280. ACETO CORP					01/22/2008	12/23/2009
		PROPERTY TYPE: SECURITIES						
1,434.00		2,134.00					-700.00	
		300. ACXIOM CORP					05/20/2008	06/04/2009
		PROPERTY TYPE: SECURITIES						
3,468.00		3,894.00					-426.00	
		100. ADMINISTAFF INC					06/17/2009	12/07/2009
		PROPERTY TYPE: SECURITIES						
2,446.00		2,333.00					113.00	
		100. AFFILIATED MANAGERS GROUP INC					11/15/2007	04/30/2009
		PROPERTY TYPE: SECURITIES						
6,044.00		12,622.00					-6,578.00	
		70. AIR PRODUCTS & CHEMICALS INC.					01/12/2009	08/27/2009
		PROPERTY TYPE: SECURITIES						
5,293.00		3,982.00					1,311.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		105. AIR PRODUCTS & CHEMICALS INC.					01/12/2009	09/18/2009
		PROPERTY TYPE: SECURITIES						
8,448.00		5,972.00					2,476.00	
		105. AIR PRODUCTS & CHEMICALS INC.					01/12/2009	09/25/2009
		PROPERTY TYPE: SECURITIES						
8,072.00		5,960.00					2,112.00	
		55. AIR PRODUCTS & CHEMICALS INC.					01/12/2009	09/30/2009
		PROPERTY TYPE: SECURITIES						
4,239.00		3,119.00					1,120.00	
		470. AIR PRODUCTS & CHEMICALS INC.					02/25/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
38,392.00		25,909.00					12,483.00	
		100. ALBERTO CULVER CO NEW					12/31/2008	06/25/2009
		PROPERTY TYPE: SECURITIES						
2,544.00		2,448.00					96.00	
		100. ALBERTO CULVER CO NEW					10/30/2008	09/08/2009
		PROPERTY TYPE: SECURITIES						
2,698.00		2,407.00					291.00	
		100. ALBERTO CULVER CO NEW					11/17/2008	11/24/2009
		PROPERTY TYPE: SECURITIES						
2,791.00		2,343.00					448.00	
		1270. ALBERTO CULVER CO NEW					03/31/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
37,951.00		28,563.00					9,388.00	
		520. ALLERGAN INC					01/22/2008	03/11/2009
		PROPERTY TYPE: SECURITIES						
20,047.00		33,934.00					-13,887.00	
		50. ALLERGAN INC					06/14/2006	12/30/2009
		PROPERTY TYPE: SECURITIES						
3,127.00		2,516.00					611.00	
		100. AMERICAN EAGLE OUTFITTERS INC NEW C					12/16/2008	09/25/2009
		PROPERTY TYPE: SECURITIES						
1,675.00		984.00					691.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		100. AMERICAN EAGLE OUTFITTERS INC NEW C PROPERTY TYPE: SECURITIES					12/22/2008	10/28/2009
1,822.00		950.00					872.00	
		1725. AMERICAN EXPRESS CO. PROPERTY TYPE: SECURITIES					12/19/2008	12/30/2009
69,912.00		35,983.00					33,929.00	
		475. AMETEK INC (NEW) COM PROPERTY TYPE: SECURITIES					11/15/2007	07/16/2009
16,791.00		22,304.00					-5,513.00	
		400. AMPHENOL CORP - CL A PROPERTY TYPE: SECURITIES					11/15/2007	01/27/2009
10,384.00		15,008.00					-4,624.00	
		80. APPLE COMPUTER INC. PROPERTY TYPE: SECURITIES					03/31/2009	12/30/2009
16,799.00		8,438.00					8,361.00	
		460. APPLE COMPUTER INC. PROPERTY TYPE: SECURITIES					12/03/2008	12/30/2009
96,593.00		42,885.00					53,708.00	
		100. APPLIED SIGNAL TECHNOLOGY, INC. PROPERTY TYPE: SECURITIES					11/17/2008	06/10/2009
2,567.00		1,665.00					902.00	
		60. ARENA RESOURCES, INC. PROPERTY TYPE: SECURITIES					07/27/2009	11/17/2009
2,530.00		1,989.00					541.00	
		40. ARENA RESOURCES, INC. PROPERTY TYPE: SECURITIES					07/24/2009	12/09/2009
1,607.00		1,312.00					295.00	
		85. ARENA RESOURCES, INC. PROPERTY TYPE: SECURITIES					06/23/2009	12/09/2009
3,399.00		2,575.00					824.00	
		35. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES					08/12/2008	01/07/2009
157.00		289.00					-132.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		73. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES					08/12/2008	01/07/2009
325.00		601.00					-276.00	
		66. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES					08/08/2008	01/08/2009
288.00		542.00					-254.00	
		29. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES					08/08/2008	01/08/2009
126.00		234.00					-108.00	
		8. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES					08/07/2008	01/09/2009
34.00		65.00					-31.00	
		29. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES					08/07/2008	01/13/2009
119.00		234.00					-115.00	
		8. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES					08/07/2008	01/14/2009
32.00		65.00					-33.00	
		11. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES					08/07/2008	01/28/2009
44.00		89.00					-45.00	
		501. ARRAY BIOPHARMA INC. PROPERTY TYPE: SECURITIES					08/07/2008	01/28/2009
2,020.00		3,641.00					-1,621.00	
		275. ASSURANT INC COM PROPERTY TYPE: SECURITIES					05/12/2009	12/30/2009
8,148.00		6,555.00					1,593.00	
		400. BB&T CORP PROPERTY TYPE: SECURITIES					12/31/2008	02/17/2009
5,794.00		10,472.00					-4,678.00	
		385. BB&T CORP PROPERTY TYPE: SECURITIES					06/19/2008	10/13/2009
10,589.00		9,458.00					1,131.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		160. BB&T CORP PROPERTY TYPE: SECURITIES					01/08/2009	10/23/2009
4,144.00		3,816.00					328.00	
		75. BB&T CORP PROPERTY TYPE: SECURITIES					06/19/2008	10/23/2009
1,943.00		1,843.00					100.00	
		330. BB&T CORP PROPERTY TYPE: SECURITIES					05/18/2009	10/28/2009
8,195.00		7,575.00					620.00	
		655. BB&T CORP PROPERTY TYPE: SECURITIES					07/24/2009	10/30/2009
15,632.00		14,233.00					1,399.00	
		200. B & G FOODS INC NEW PROPERTY TYPE: SECURITIES					11/17/2008	10/20/2009
3,033.00		2,230.00					803.00	
		107. BAC CAPITAL TRUST XII 6.875% PFD PROPERTY TYPE: SECURITIES					01/22/2009	06/02/2009
1,915.00		1,626.00					289.00	
		18. BAC CAPITAL TRUST XII 6.875% PFD PROPERTY TYPE: SECURITIES					01/22/2009	06/03/2009
320.00		273.00					47.00	
		26. BAC CAPITAL TRUST XII 6.875% PFD PROPERTY TYPE: SECURITIES					01/22/2009	06/05/2009
473.00		395.00					78.00	
		91. BAC CAPITAL TRUST XII 6.875% PFD PROPERTY TYPE: SECURITIES					01/22/2009	06/08/2009
1,625.00		1,382.00					243.00	
		133. BAC CAPITAL TRUST XII 6.875% PFD PROPERTY TYPE: SECURITIES					01/20/2009	06/09/2009
2,364.00		2,018.00					346.00	
		60. BAC CAPITAL TRUST XII 6.875% PFD PROPERTY TYPE: SECURITIES					01/20/2009	06/10/2009
1,063.00		901.00					162.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		94. BAC CAPITAL TRUST XII 6.875% PFD PROPERTY TYPE: SECURITIES					01/23/2009	06/10/2009
1,646.00		1,409.00					237.00	
		386. BAC CAPITAL TRUST XII 6.875% PFD PROPERTY TYPE: SECURITIES					01/23/2009	06/11/2009
6,763.00		5,738.00					1,025.00	
		762. BANK OF AMERICA CORPORATION PROPERTY TYPE: SECURITIES					07/29/2008	01/20/2009
4,616.00		26,142.00					-21,526.00	
		25. BANK OF AMERICA CORPORATION PROPERTY TYPE: SECURITIES					01/02/2008	01/20/2009
151.00		1,034.00					-883.00	
		321. BANK OF AMERICA CORPORATION PROPERTY TYPE: SECURITIES					09/15/2008	01/20/2009
1,904.00		9,466.00					-7,562.00	
		410. BANK OF AMERICA CORPORATION PROPERTY TYPE: SECURITIES					10/10/2008	01/20/2009
2,373.00		11,030.00					-8,657.00	
		647. BANK OF AMERICA CORPORATION PROPERTY TYPE: SECURITIES					11/24/2008	01/20/2009
3,705.00		10,359.00					-6,654.00	
		110. BANK OF NEW YORK MELLON CORP. PROPERTY TYPE: SECURITIES					09/17/2008	02/13/2009
2,809.00		3,739.00					-930.00	
		105. BANK OF NEW YORK MELLON CORP. PROPERTY TYPE: SECURITIES					09/17/2008	04/16/2009
3,256.00		3,569.00					-313.00	
		100. BARNES & NOBLE INC PROPERTY TYPE: SECURITIES					11/15/2007	02/10/2009
1,754.00		3,613.00					-1,859.00	
		110. BARNES & NOBLE INC PROPERTY TYPE: SECURITIES					01/22/2008	05/06/2009
2,754.00		3,891.00					-1,137.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		100. BECKMAN COULTER INC					05/19/2009	07/31/2009
		PROPERTY TYPE: SECURITIES						
6,199.00		5,300.00					899.00	
		100. BECKMAN COULTER INC					12/23/2008	08/07/2009
		PROPERTY TYPE: SECURITIES						
6,598.00		4,230.00					2,368.00	
		100. BECKMAN COULTER INC					12/16/2008	09/14/2009
		PROPERTY TYPE: SECURITIES						
7,098.00		4,133.00					2,965.00	
		465. BECTON DICKINSON & CO.					08/03/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
36,887.00		29,465.00					7,422.00	
		125. BHP LIMITED SPONSORED ADR					03/10/2009	07/29/2009
		PROPERTY TYPE: SECURITIES						
7,442.00		4,910.00					2,532.00	
		120. BHP LIMITED SPONSORED ADR					03/06/2009	09/11/2009
		PROPERTY TYPE: SECURITIES						
8,001.00		4,430.00					3,571.00	
		240. BHP LIMITED SPONSORED ADR					03/05/2009	09/18/2009
		PROPERTY TYPE: SECURITIES						
16,104.00		8,785.00					7,319.00	
		40000. BLACK & DECKER CORP. 5.75% DUE 11					01/08/2009	08/31/2009
		PROPERTY TYPE: SECURITIES						
39,341.00		36,877.00					2,464.00	
		129. BROADRIDGE FINANCIAL SOLUTIONS, INC					02/24/2009	05/29/2009
		PROPERTY TYPE: SECURITIES						
2,124.00		1,797.00					327.00	
		21. BROADRIDGE FINANCIAL SOLUTIONS, INC.					01/22/2009	05/29/2009
		PROPERTY TYPE: SECURITIES						
346.00		270.00					76.00	
		200. CAL DIVE INTERNATIONAL, INC.					09/22/2009	12/10/2009
		PROPERTY TYPE: SECURITIES						
1,401.00		2,023.00					-622.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		200. CAL DIVE INTERNATIONAL, INC.					10/27/2009	12/11/2009
		PROPERTY TYPE: SECURITIES						
1,418.00		1,985.00					-567.00	
		670. CANADIAN NATURAL RESOURCES LTD					07/17/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
48,041.00		31,538.00					16,503.00	
		525. CANADIAN NATURAL RESOURCES LTD					05/19/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
37,644.00		26,449.00					11,195.00	
		301. CAPITAL ONE FINL CORP.					01/22/2008	02/02/2009
		PROPERTY TYPE: SECURITIES						
4,833.00		12,123.00					-7,290.00	
		240. CAPITAL ONE FINL CORP.					07/03/2008	02/02/2009
		PROPERTY TYPE: SECURITIES						
3,859.00		9,262.00					-5,403.00	
		59. CAPITAL ONE FINL CORP.					01/22/2008	02/02/2009
		PROPERTY TYPE: SECURITIES						
949.00		2,349.00					-1,400.00	
		100. CARPENTER TECHNOLOGY CORP					11/15/2007	03/24/2009
		PROPERTY TYPE: SECURITIES						
1,379.00		7,050.00					-5,671.00	
		100. CARPENTER TECHNOLOGY CORP					05/14/2008	03/25/2009
		PROPERTY TYPE: SECURITIES						
1,317.00		5,995.00					-4,678.00	
		130. CARPENTER TECHNOLOGY CORP					01/22/2008	03/25/2009
		PROPERTY TYPE: SECURITIES						
1,712.00		7,657.00					-5,945.00	
		275. CHEVRONTEXACO CORP					01/22/2008	09/04/2009
		PROPERTY TYPE: SECURITIES						
18,815.00		23,081.00					-4,266.00	
		270. CHUBB CORP.					05/11/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
13,368.00		10,804.00					2,564.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		150. CISCO SYSTEMS					04/03/2001	12/30/2009
		PROPERTY TYPE: SECURITIES						
3,616.00		2,075.00					1,541.00	
		135. CISCO SYSTEMS					03/30/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
3,255.00		2,189.00					1,066.00	
		105. CITRIX SYSTEMS INC					07/23/2008	06/05/2009
		PROPERTY TYPE: SECURITIES						
3,349.00		2,879.00					470.00	
		540. CITRIX SYSTEMS INC					06/02/2008	07/17/2009
		PROPERTY TYPE: SECURITIES						
18,821.00		18,420.00					401.00	
		85. CITRIX SYSTEMS INC					08/04/2008	08/27/2009
		PROPERTY TYPE: SECURITIES						
3,076.00		2,301.00					775.00	
		1860. CITRIX SYSTEMS INC					07/24/2008	12/30/2009
		PROPERTY TYPE: SECURITIES						
78,649.00		53,462.00					25,187.00	
		225. COACH INC.					03/18/2008	12/30/2009
		PROPERTY TYPE: SECURITIES						
8,262.00		6,376.00					1,886.00	
		720. COGNIZANT TECH SOLUTIONS CRP COM					03/11/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
32,471.00		14,156.00					18,315.00	
		1155. COGNIZANT TECH SOLUTIONS CRP COM					10/21/2008	12/30/2009
		PROPERTY TYPE: SECURITIES						
52,089.00		22,333.00					29,756.00	
		176. COHEN & STEERS INC					07/31/2008	01/06/2009
		PROPERTY TYPE: SECURITIES						
2,333.00		4,042.00					-1,709.00	
		3100. COMCAST CORP - SPECIAL CL A NEW					11/15/2007	10/02/2009
		PROPERTY TYPE: SECURITIES						
45,453.00		68,453.00					-23,000.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		1900. COMCAST CORP - SPECIAL CL A NEW PROPERTY TYPE: SECURITIES					01/28/2008	10/27/2009
26,994.00		36,153.00					-9,159.00	
		50. COMPASS MINERALS INTERNATIONAL PROPERTY TYPE: SECURITIES					10/28/2008	10/14/2009
3,252.00		1,958.00					1,294.00	
		158. COMPLETE PRODUCTION SERVICES, INC. PROPERTY TYPE: SECURITIES					11/19/2008	01/22/2009
1,159.00		1,412.00					-253.00	
		43. COMPLETE PRODUCTION SERVICES, INC. PROPERTY TYPE: SECURITIES					11/19/2008	01/22/2009
315.00		321.00					-6.00	
		362. COMPLETE PRODUCTION SERVICES, INC. PROPERTY TYPE: SECURITIES					11/21/2008	01/22/2009
2,632.00		2,073.00					559.00	
		42. COMPLETE PRODUCTION SERVICES, INC. PROPERTY TYPE: SECURITIES					11/21/2008	01/23/2009
303.00		228.00					75.00	
		100. COMTECH TELECOMMUNICATIONS CORP NEW PROPERTY TYPE: SECURITIES					11/28/2008	03/12/2009
2,090.00		4,693.00					-2,603.00	
		100. CONCHO RESOURCES INC. PROPERTY TYPE: SECURITIES					06/02/2008	08/10/2009
3,497.00		3,347.00					150.00	
		100. CONCHO RESOURCES INC. PROPERTY TYPE: SECURITIES					05/08/2009	10/13/2009
3,930.00		3,052.00					878.00	
		100. CONCHO RESOURCES INC. PROPERTY TYPE: SECURITIES					05/14/2009	10/15/2009
4,110.00		2,870.00					1,240.00	
		40. CONOCOPHILLIPS PROPERTY TYPE: SECURITIES					11/28/2008	03/05/2009
1,439.00		2,065.00					-626.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		240. CONOCOPHILLIPS					01/22/2008	03/05/2009
		PROPERTY TYPE: SECURITIES						
8,636.00		17,752.00					-9,116.00	
		345. CONOCOPHILLIPS					11/28/2008	05/19/2009
		PROPERTY TYPE: SECURITIES						
15,875.00		17,239.00					-1,364.00	
		875. COSTCO WHOLESALE CORPORATION					05/29/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
52,743.00		42,088.00					10,655.00	
		390. COVANCE INC					04/30/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
21,235.00		15,465.00					5,770.00	
		100. CUBIC CORPORATION					07/10/2008	02/05/2009
		PROPERTY TYPE: SECURITIES						
3,151.00		2,327.00					824.00	
		100. CUBIC CORPORATION					06/26/2008	05/20/2009
		PROPERTY TYPE: SECURITIES						
3,727.00		2,170.00					1,557.00	
		165. DANAHER CORP					10/27/2008	12/30/2009
		PROPERTY TYPE: SECURITIES						
12,535.00		9,085.00					3,450.00	
		645. DAVITA INC					03/10/2008	12/30/2009
		PROPERTY TYPE: SECURITIES						
38,226.00		29,602.00					8,624.00	
		155. DEERE & CO.					07/29/2009	12/22/2009
		PROPERTY TYPE: SECURITIES						
8,643.00		6,270.00					2,373.00	
		1110. DEERE & CO.					07/10/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
60,752.00		37,521.00					23,231.00	
		2275. DELL INC.					01/22/2008	01/27/2009
		PROPERTY TYPE: SECURITIES						
22,910.00		58,300.00					-35,390.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		425. DELL INC.					05/14/2008	05/05/2009
		PROPERTY TYPE: SECURITIES						
5,148.00		8,527.00					-3,379.00	
		115. DELL INC.					04/18/2008	05/05/2009
		PROPERTY TYPE: SECURITIES						
1,393.00		2,248.00					-855.00	
		510. DELL INC.					04/18/2008	05/05/2009
		PROPERTY TYPE: SECURITIES						
6,088.00		9,934.00					-3,846.00	
		470. DELL INC.					04/29/2008	05/11/2009
		PROPERTY TYPE: SECURITIES						
5,121.00		9,134.00					-4,013.00	
		325. DELL INC.					05/01/2008	05/18/2009
		PROPERTY TYPE: SECURITIES						
3,628.00		6,208.00					-2,580.00	
		205. DEVON ENERGY CORP					02/02/2009	05/07/2009
		PROPERTY TYPE: SECURITIES						
12,458.00		12,326.00					132.00	
		325. DEVON ENERGY CORP					03/04/2009	11/06/2009
		PROPERTY TYPE: SECURITIES						
22,157.00		17,733.00					4,424.00	
		110. DIAGEO PLC-SPONSORED ADR NEW REPSTG					09/02/2008	02/05/2009
		PROPERTY TYPE: SECURITIES						
6,089.00		8,274.00					-2,185.00	
		125. DIAGEO PLC-SPONSORED ADR NEW REPSTG					09/02/2008	03/03/2009
		PROPERTY TYPE: SECURITIES						
5,461.00		9,402.00					-3,941.00	
		185. DIAGEO PLC-SPONSORED ADR NEW REPSTG					09/15/2008	03/06/2009
		PROPERTY TYPE: SECURITIES						
7,947.00		13,901.00					-5,954.00	
		120. DIAGEO PLC-SPONSORED ADR NEW REPSTG					09/15/2008	03/09/2009
		PROPERTY TYPE: SECURITIES						
4,967.00		8,875.00					-3,908.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		120. DIAGEO PLC-SPONSORED ADR NEW REPSTG PROPERTY TYPE: SECURITIES					09/11/2008	03/19/2009
5,215.00		8,760.00					-3,545.00	
		340. DIAGEO PLC-SPONSORED ADR NEW REPSTG PROPERTY TYPE: SECURITIES					10/07/2008	06/30/2009
19,408.00		23,324.00					-3,916.00	
		120. DIAMOND OFFSHORE DRILLING PROPERTY TYPE: SECURITIES					05/19/2009	12/30/2009
11,945.00		7,377.00					4,568.00	
		475. DIAMOND OFFSHORE DRILLING PROPERTY TYPE: SECURITIES					12/26/2008	12/30/2009
47,284.00		26,906.00					20,378.00	
		440. DOUBLE-TAKE SOFTWARE INC. PROPERTY TYPE: SECURITIES					09/15/2008	04/21/2009
3,372.00		4,862.00					-1,490.00	
		94. DOVER CORP. PROPERTY TYPE: SECURITIES					10/25/2007	01/15/2009
2,933.00		4,391.00					-1,458.00	
		301. DOVER CORP. PROPERTY TYPE: SECURITIES					10/25/2007	01/15/2009
9,312.00		14,061.00					-4,749.00	
		415. DOVER CORP. PROPERTY TYPE: SECURITIES					07/29/2009	12/30/2009
17,484.00		12,139.00					5,345.00	
		105. DOVER CORP. PROPERTY TYPE: SECURITIES					10/28/2008	12/30/2009
4,424.00		2,861.00					1,563.00	
		19. DRESSER-RAND GROUP INC PROPERTY TYPE: SECURITIES					04/23/2009	09/17/2009
604.00		514.00					90.00	
		161. DRESSER-RAND GROUP INC PROPERTY TYPE: SECURITIES					04/27/2009	09/17/2009
5,137.00		4,238.00					899.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		63. DRESSER-RAND GROUP INC					04/13/2009	10/16/2009
		PROPERTY TYPE: SECURITIES						
2,015.00		1,628.00					387.00	
		69. DRESSER-RAND GROUP INC					04/30/2009	10/16/2009
		PROPERTY TYPE: SECURITIES						
2,207.00		1,722.00					485.00	
		28. DRESSER-RAND GROUP INC					04/30/2009	10/16/2009
		PROPERTY TYPE: SECURITIES						
896.00		682.00					214.00	
		785. E I DU PONT DE NEMOURS & CO.					11/15/2007	03/19/2009
		PROPERTY TYPE: SECURITIES						
16,878.00		37,293.00					-20,415.00	
		310. E I DU PONT DE NEMOURS & CO.					12/12/2008	12/30/2009
		PROPERTY TYPE: SECURITIES						
10,481.00		8,357.00					2,124.00	
		645. EMC CORP					01/29/2008	01/27/2009
		PROPERTY TYPE: SECURITIES						
6,869.00		10,095.00					-3,226.00	
		860. EMC CORP					01/22/2008	01/27/2009
		PROPERTY TYPE: SECURITIES						
9,159.00		14,724.00					-5,565.00	
		150. EATON CORP.					12/09/2008	04/02/2009
		PROPERTY TYPE: SECURITIES						
6,188.00		6,993.00					-805.00	
		140. EATON CORP.					12/18/2008	04/06/2009
		PROPERTY TYPE: SECURITIES						
5,734.00		6,224.00					-490.00	
		105. EATON CORP.					12/18/2008	11/09/2009
		PROPERTY TYPE: SECURITIES						
6,841.00		4,658.00					2,183.00	
		255. EATON CORP.					11/24/2008	11/19/2009
		PROPERTY TYPE: SECURITIES						
15,969.00		11,312.00					4,657.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		135. EATON CORP. PROPERTY TYPE: SECURITIES					11/24/2008	12/07/2009
8,878.00		5,989.00					2,889.00	
		75. EATON CORP. PROPERTY TYPE: SECURITIES					11/25/2008	12/08/2009
4,784.00		3,323.00					1,461.00	
		100. EATON CORP. PROPERTY TYPE: SECURITIES					03/06/2009	12/30/2009
6,364.00		3,102.00					3,262.00	
		440. EATON CORP. PROPERTY TYPE: SECURITIES					12/18/2008	12/30/2009
28,003.00		18,770.00					9,233.00	
		795. EBAY INC. PROPERTY TYPE: SECURITIES					06/16/2009	12/30/2009
18,882.00		13,932.00					4,950.00	
		55. ECLIPSYS CORP PROPERTY TYPE: SECURITIES					01/22/2008	01/08/2009
662.00		1,314.00					-652.00	
		340. ECLIPSYS CORP PROPERTY TYPE: SECURITIES					11/15/2007	01/08/2009
4,095.00		7,764.00					-3,669.00	
		302. ECLIPSYS CORP PROPERTY TYPE: SECURITIES					11/15/2007	01/23/2009
2,457.00		6,896.00					-4,439.00	
		203. ECLIPSYS CORP PROPERTY TYPE: SECURITIES					11/15/2007	01/23/2009
1,641.00		4,636.00					-2,995.00	
		100. ELECTRO RENT CORP PROPERTY TYPE: SECURITIES					01/22/2008	06/01/2009
998.00		1,388.00					-390.00	
		100. ELECTRO RENT CORP PROPERTY TYPE: SECURITIES					11/15/2007	09/11/2009
1,067.00		1,385.00					-318.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		150. ELECTRO RENT CORP					11/15/2007	10/16/2009
		PROPERTY TYPE: SECURITIES						
1,759.00		2,078.00					-319.00	
		5. ELECTRONIC ARTS					01/20/2009	08/31/2009
		PROPERTY TYPE: SECURITIES						
91.00		84.00					7.00	
		1430. ELECTRONIC ARTS					01/22/2008	08/31/2009
		PROPERTY TYPE: SECURITIES						
25,900.00		78,336.00					-52,436.00	
		15. ESCO TECHNOLOGIES, INC.					01/22/2009	06/26/2009
		PROPERTY TYPE: SECURITIES						
662.00		538.00					124.00	
		50. ESCO TECHNOLOGIES, INC.					11/15/2007	06/26/2009
		PROPERTY TYPE: SECURITIES						
2,206.00		1,777.00					429.00	
		10. ESCO TECHNOLOGIES, INC.					11/15/2007	11/10/2009
		PROPERTY TYPE: SECURITIES						
402.00		355.00					47.00	
		38. ESCO TECHNOLOGIES, INC.					11/15/2007	11/11/2009
		PROPERTY TYPE: SECURITIES						
1,493.00		1,351.00					142.00	
		115. FMC TECHNOLOGIES INC					03/11/2009	06/02/2009
		PROPERTY TYPE: SECURITIES						
5,022.00		2,965.00					2,057.00	
		2. FMC TECHNOLOGIES INC					02/24/2009	06/03/2009
		PROPERTY TYPE: SECURITIES						
85.00		51.00					34.00	
		133. FMC TECHNOLOGIES INC					03/02/2009	06/03/2009
		PROPERTY TYPE: SECURITIES						
5,510.00		3,350.00					2,160.00	
		20. FMC TECHNOLOGIES INC					10/24/2007	09/25/2009
		PROPERTY TYPE: SECURITIES						
1,036.00		1,132.00					-96.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		225. FMC TECHNOLOGIES INC					10/24/2007	09/25/2009
		PROPERTY TYPE: SECURITIES						
11,673.00		12,735.00					-1,062.00	
		60. FMC TECHNOLOGIES INC					11/16/2005	12/30/2009
		PROPERTY TYPE: SECURITIES						
3,515.00		1,116.00					2,399.00	
		15. FACTSET RESEARCH SYSTEMS INC					11/15/2007	02/20/2009
		PROPERTY TYPE: SECURITIES						
591.00		961.00					-370.00	
		7. FACTSET RESEARCH SYSTEMS INC					11/15/2007	02/20/2009
		PROPERTY TYPE: SECURITIES						
272.00		448.00					-176.00	
		143. FACTSET RESEARCH SYSTEMS INC					11/15/2007	02/20/2009
		PROPERTY TYPE: SECURITIES						
5,665.00		9,158.00					-3,493.00	
		30. FACTSET RESEARCH SYSTEMS INC					11/15/2007	02/20/2009
		PROPERTY TYPE: SECURITIES						
1,169.00		1,921.00					-752.00	
		32. FACTSET RESEARCH SYSTEMS INC					11/15/2007	04/01/2009
		PROPERTY TYPE: SECURITIES						
1,555.00		2,049.00					-494.00	
		28. FACTSET RESEARCH SYSTEMS INC					11/15/2007	04/02/2009
		PROPERTY TYPE: SECURITIES						
1,356.00		1,793.00					-437.00	
		100. FAIR ISAAC & CO INC					11/15/2007	01/06/2009
		PROPERTY TYPE: SECURITIES						
1,699.00		3,948.00					-2,249.00	
		100. FAIR ISAAC & CO INC					03/26/2008	01/23/2009
		PROPERTY TYPE: SECURITIES						
1,480.00		2,306.00					-826.00	
		50. FAIR ISAAC & CO INC					01/22/2008	01/23/2009
		PROPERTY TYPE: SECURITIES						
740.00		1,104.00					-364.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		165. FASTENAL CO					03/06/2009	06/02/2009
		PROPERTY TYPE: SECURITIES						
5,926.00		5,387.00					539.00	
		22. FOREST OIL CORP.					10/17/2008	02/24/2009
		PROPERTY TYPE: SECURITIES						
309.00		581.00					-272.00	
		153. FOREST OIL CORP.					10/17/2008	02/24/2009
		PROPERTY TYPE: SECURITIES						
2,160.00		4,040.00					-1,880.00	
		24. FOREST OIL CORP.					10/17/2008	02/24/2009
		PROPERTY TYPE: SECURITIES						
336.00		631.00					-295.00	
		178. FOREST OIL CORP.					12/31/2008	02/24/2009
		PROPERTY TYPE: SECURITIES						
2,506.00		3,356.00					-850.00	
		108. FOREST OIL CORP.					01/29/2009	02/24/2009
		PROPERTY TYPE: SECURITIES						
1,493.00		1,466.00					27.00	
		20.388 BROWN CARDINAL SMALL COMPANIES FU					12/11/2008	04/08/2009
		PROPERTY TYPE: SECURITIES						
156.00		149.00					7.00	
		540.74 BROWN CARDINAL SMALL COMPANIES FU					12/12/2007	04/08/2009
		PROPERTY TYPE: SECURITIES						
4,137.00		5,899.00					-1,762.00	
		79172.784 BROWN ADVISORY CORE INTERNATIO					12/11/2008	12/30/2009
		PROPERTY TYPE: SECURITIES						
491,663.00		395,072.00					96,591.00	
		539. BROWN ADVISORY VALUE EQUITY FUND IN					12/12/2007	04/08/2009
		PROPERTY TYPE: SECURITIES						
3,999.00		7,186.00					-3,187.00	
		61.372 BROWN ADVISORY SMALL-CAP GROWTH I					12/11/2008	04/08/2009
		PROPERTY TYPE: SECURITIES						
477.00		445.00					32.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		1226.978 BROWN ADVISORY SMALL-CAP GROWTH					12/12/2007	04/08/2009
		PROPERTY TYPE: SECURITIES						
9,534.00		15,378.00					-5,844.00	
		39. FRANKLIN RESOURCES INC.					09/30/2008	08/05/2009
		PROPERTY TYPE: SECURITIES						
3,567.00		3,544.00					23.00	
		171. FRANKLIN RESOURCES INC.					10/02/2008	08/05/2009
		PROPERTY TYPE: SECURITIES						
15,630.00		15,510.00					120.00	
		35. FRANKLIN RESOURCES INC.					10/07/2008	08/05/2009
		PROPERTY TYPE: SECURITIES						
3,188.00		3,032.00					156.00	
		90. FRANKLIN RESOURCES INC.					10/07/2008	08/07/2009
		PROPERTY TYPE: SECURITIES						
8,324.00		6,451.00					1,873.00	
		75. FRANKLIN RESOURCES INC.					10/10/2008	08/27/2009
		PROPERTY TYPE: SECURITIES						
6,947.00		4,646.00					2,301.00	
		55. FRANKLIN RESOURCES INC.					10/10/2008	09/25/2009
		PROPERTY TYPE: SECURITIES						
5,431.00		3,368.00					2,063.00	
		75. FRANKLIN RESOURCES INC.					10/28/2008	10/16/2009
		PROPERTY TYPE: SECURITIES						
8,203.00		4,218.00					3,985.00	
		15. FRANKLIN RESOURCES INC.					10/10/2008	10/16/2009
		PROPERTY TYPE: SECURITIES						
1,641.00		912.00					729.00	
		155. FRANKLIN RESOURCES INC.					02/19/2009	10/23/2009
		PROPERTY TYPE: SECURITIES						
17,442.00		8,328.00					9,114.00	
		600. FRONTIER COMMUNICATIONS CORPORATION					06/02/2008	01/21/2009
		PROPERTY TYPE: SECURITIES						
4,646.00		6,883.00					-2,237.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		60. H.B. FULLER CO. PROPERTY TYPE: SECURITIES					01/22/2008	01/06/2009
973.00		1,085.00					-112.00	
		200. H.B. FULLER CO. PROPERTY TYPE: SECURITIES					11/15/2007	01/06/2009
3,242.00		5,555.00					-2,313.00	
		100. AUTHUR J. GALLAGHER & CO. PROPERTY TYPE: SECURITIES					11/15/2007	02/06/2009
1,843.00		2,657.00					-814.00	
		100. AUTHUR J. GALLAGHER & CO. PROPERTY TYPE: SECURITIES					11/15/2007	09/25/2009
2,478.00		2,657.00					-179.00	
		100. AUTHUR J. GALLAGHER & CO. PROPERTY TYPE: SECURITIES					11/15/2007	12/14/2009
2,219.00		2,657.00					-438.00	
		100. AUTHUR J. GALLAGHER & CO. PROPERTY TYPE: SECURITIES					09/30/2008	12/16/2009
2,230.00		2,558.00					-328.00	
		100. AUTHUR J. GALLAGHER & CO. PROPERTY TYPE: SECURITIES					12/30/2008	12/17/2009
2,213.00		2,523.00					-310.00	
		30. AUTHUR J. GALLAGHER & CO. PROPERTY TYPE: SECURITIES					01/22/2008	12/18/2009
661.00		714.00					-53.00	
		35. GEN-PROBE INCORPORATED PROPERTY TYPE: SECURITIES					11/15/2007	06/16/2009
1,477.00		2,218.00					-741.00	
		70. GEN-PROBE INCORPORATED PROPERTY TYPE: SECURITIES					11/15/2007	07/07/2009
2,861.00		4,436.00					-1,575.00	
		22. GEN-PROBE INCORPORATED PROPERTY TYPE: SECURITIES					11/15/2007	08/13/2009
845.00		1,394.00					-549.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		63. GEN-PROBE INCORPORATED PROPERTY TYPE: SECURITIES					01/22/2008	08/13/2009
2,418.00		3,786.00					-1,368.00	
		400. GENENTECH INC NEW PROPERTY TYPE: SECURITIES					11/15/2007	03/11/2009
36,948.00		29,882.00					7,066.00	
		365. GENENTECH INC NEW PROPERTY TYPE: SECURITIES					11/15/2007	03/16/2009
34,266.00		27,151.00					7,115.00	
		300. GENENTECH INC. (CONTRA CUSIP) PROPERTY TYPE: SECURITIES					01/22/2008	03/26/2009
28,500.00		21,059.00					7,441.00	
		121. GENERAL ELECTRIC CO. PROPERTY TYPE: SECURITIES					11/15/2007	01/07/2009
2,008.00		4,670.00					-2,662.00	
		154. GENERAL ELECTRIC CO. PROPERTY TYPE: SECURITIES					11/15/2007	01/07/2009
2,548.00		5,944.00					-3,396.00	
		1065. GENERAL ELECTRIC CO. PROPERTY TYPE: SECURITIES					01/22/2008	02/05/2009
11,685.00		38,725.00					-27,040.00	
		780. GENERAL ELECTRIC CO. PROPERTY TYPE: SECURITIES					09/25/2008	03/30/2009
7,773.00		18,828.00					-11,055.00	
		350. GENERAL ELECTRIC CO. PROPERTY TYPE: SECURITIES					02/26/2008	03/30/2009
3,488.00		11,895.00					-8,407.00	
		35. GLOBAL PMTS INC COM W/RIGHTS ATTACHE PROPERTY TYPE: SECURITIES					11/15/2007	08/28/2009
1,498.00		1,552.00					-54.00	
		55. GOOGLE INC CL A PROPERTY TYPE: SECURITIES					03/16/2009	12/30/2009
34,103.00		17,615.00					16,488.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		55. GOOGLE INC CL A					12/03/2008	12/30/2009
		PROPERTY TYPE: SECURITIES						
34,103.00		15,084.00					19,019.00	
		46. HANSEN MEDICAL, INC.					02/27/2008	01/07/2009
		PROPERTY TYPE: SECURITIES						
360.00		893.00					-533.00	
		17. HANSEN MEDICAL, INC.					02/27/2008	01/07/2009
		PROPERTY TYPE: SECURITIES						
134.00		329.00					-195.00	
		327. HANSEN MEDICAL, INC.					09/17/2008	01/08/2009
		PROPERTY TYPE: SECURITIES						
2,032.00		5,314.00					-3,282.00	
		56. HANSEN MEDICAL, INC.					04/17/2009	10/13/2009
		PROPERTY TYPE: SECURITIES						
193.00		182.00					11.00	
		51. HANSEN MEDICAL, INC.					04/17/2009	10/14/2009
		PROPERTY TYPE: SECURITIES						
177.00		166.00					11.00	
		94. HANSEN MEDICAL, INC.					04/17/2009	10/14/2009
		PROPERTY TYPE: SECURITIES						
326.00		306.00					20.00	
		389. HANSEN MEDICAL, INC.					07/20/2009	10/15/2009
		PROPERTY TYPE: SECURITIES						
1,276.00		1,248.00					28.00	
		129. HANSEN MEDICAL, INC.					07/17/2009	10/15/2009
		PROPERTY TYPE: SECURITIES						
426.00		395.00					31.00	
		256. HANSEN MEDICAL, INC.					07/17/2009	10/16/2009
		PROPERTY TYPE: SECURITIES						
843.00		780.00					63.00	
		150. HANSEN NATURAL CORP					11/17/2008	04/23/2009
		PROPERTY TYPE: SECURITIES						
5,867.00		3,766.00					2,101.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		50. HANSEN NATURAL CORP					11/17/2008	04/29/2009
		PROPERTY TYPE: SECURITIES						
2,039.00		1,253.00					786.00	
		27. HARMAN INTERNATIONAL INDUSTRIES INC.					05/12/2008	01/16/2009
		PROPERTY TYPE: SECURITIES						
500.00		1,031.00					-531.00	
		28. HARMAN INTERNATIONAL INDUSTRIES INC.					01/22/2008	01/16/2009
		PROPERTY TYPE: SECURITIES						
520.00		1,068.00					-548.00	
		95. HARMAN INTERNATIONAL INDUSTRIES INC.					01/22/2008	01/30/2009
		PROPERTY TYPE: SECURITIES						
1,591.00		3,624.00					-2,033.00	
		80. HARMAN INTERNATIONAL INDUSTRIES INC.					10/29/2008	07/16/2009
		PROPERTY TYPE: SECURITIES						
1,904.00		1,440.00					464.00	
		170. HARMAN INTERNATIONAL INDUSTRIES INC					01/22/2008	07/16/2009
		PROPERTY TYPE: SECURITIES						
4,047.00		6,484.00					-2,437.00	
		115. HARMAN INTERNATIONAL INDUSTRIES INC					03/24/2009	08/13/2009
		PROPERTY TYPE: SECURITIES						
3,129.00		1,616.00					1,513.00	
		1340. HARRIS CORP.					09/21/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
63,792.00		39,986.00					23,806.00	
		100. HEWITT ASSOC INC CL A					08/05/2008	12/08/2009
		PROPERTY TYPE: SECURITIES						
4,309.00		4,091.00					218.00	
		100. HEWITT ASSOC INC CL A					08/25/2008	12/21/2009
		PROPERTY TYPE: SECURITIES						
4,301.00		4,002.00					299.00	
		100. HEWITT ASSOC INC CL A					01/23/2009	12/29/2009
		PROPERTY TYPE: SECURITIES						
4,291.00		2,676.00					1,615.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		100. IMS HEALTH INC PROPERTY TYPE: SECURITIES					06/04/2008	07/16/2009
1,290.00		2,450.00					-1,160.00	
		200. IMS HEALTH INC PROPERTY TYPE: SECURITIES					04/07/2008	07/20/2009
2,617.00		4,531.00					-1,914.00	
		100. IMS HEALTH INC PROPERTY TYPE: SECURITIES					04/04/2008	07/22/2009
1,287.00		2,236.00					-949.00	
		100. IMS HEALTH INC PROPERTY TYPE: SECURITIES					09/30/2008	07/27/2009
1,200.00		1,864.00					-664.00	
		100. IMS HEALTH INC PROPERTY TYPE: SECURITIES					07/23/2008	07/27/2009
1,200.00		2,202.00					-1,002.00	
		30. IDEXX LABORATORIES CORP PROPERTY TYPE: SECURITIES					08/25/2008	04/22/2009
1,119.00		1,718.00					-599.00	
		660. IDEXX LABORATORIES CORP PROPERTY TYPE: SECURITIES					04/16/2009	12/30/2009
35,880.00		20,992.00					14,888.00	
		520. IDEXX LABORATORIES CORP PROPERTY TYPE: SECURITIES					10/27/2008	12/30/2009
28,269.00		16,104.00					12,165.00	
		220. ILLINOIS TOOL WORKS PROPERTY TYPE: SECURITIES					09/11/2008	04/03/2009
7,027.00		10,400.00					-3,373.00	
		130. ILLINOIS TOOL WORKS PROPERTY TYPE: SECURITIES					09/11/2008	04/16/2009
4,330.00		6,146.00					-1,816.00	
		195. ILLINOIS TOOL WORKS PROPERTY TYPE: SECURITIES					08/04/2008	07/29/2009
7,732.00		9,167.00					-1,435.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		135. ILLINOIS TOOL WORKS PROPERTY TYPE: SECURITIES					08/04/2008	11/09/2009
6,676.00		6,344.00					332.00	
		105. ILLINOIS TOOL WORKS PROPERTY TYPE: SECURITIES					08/04/2008	11/10/2009
5,174.00		4,926.00					248.00	
		175. ILLINOIS TOOL WORKS PROPERTY TYPE: SECURITIES					08/04/2008	12/07/2009
8,497.00		8,209.00					288.00	
		55. ILLINOIS TOOL WORKS PROPERTY TYPE: SECURITIES					09/29/2008	12/08/2009
2,610.00		2,345.00					265.00	
		185. ILLINOIS TOOL WORKS PROPERTY TYPE: SECURITIES					09/29/2008	12/22/2009
8,785.00		7,888.00					897.00	
		310. ILLINOIS TOOL WORKS PROPERTY TYPE: SECURITIES					10/28/2008	12/30/2009
15,052.00		10,380.00					4,672.00	
		25. ILLUMINA INC. PROPERTY TYPE: SECURITIES					01/22/2009	07/02/2009
846.00		675.00					171.00	
		46. ILLUMINA INC. PROPERTY TYPE: SECURITIES					11/15/2007	07/02/2009
1,557.00		1,201.00					356.00	
		99. ILLUMINA INC. PROPERTY TYPE: SECURITIES					11/15/2007	07/02/2009
3,321.00		2,586.00					735.00	
		20. ILLUMINA INC. PROPERTY TYPE: SECURITIES					11/15/2007	08/13/2009
724.00		522.00					202.00	
		45. ILLUMINA INC. PROPERTY TYPE: SECURITIES					11/15/2007	08/28/2009
1,577.00		1,175.00					402.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
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		20. ILLUMINA INC. PROPERTY TYPE: SECURITIES					12/03/2008	09/17/2009
792.00		421.00					371.00	
		135. ILLUMINA INC. PROPERTY TYPE: SECURITIES					11/15/2007	09/17/2009
5,343.00		3,526.00					1,817.00	
		150. IMMUCOR INC PROPERTY TYPE: SECURITIES					03/13/2009	04/24/2009
2,236.00		3,525.00					-1,289.00	
		515. INTEL CORP. PROPERTY TYPE: SECURITIES					10/25/2007	03/09/2009
6,601.00		13,480.00					-6,879.00	
		220. INTEL CORP. PROPERTY TYPE: SECURITIES					11/15/2007	03/27/2009
3,366.00		5,731.00					-2,365.00	
		145. INTEL CORP. PROPERTY TYPE: SECURITIES					09/08/2008	03/27/2009
2,232.00		3,022.00					-790.00	
		450. INTEL CORP. PROPERTY TYPE: SECURITIES					11/15/2007	03/27/2009
6,927.00		11,635.00					-4,708.00	
		320. INTEL CORP. PROPERTY TYPE: SECURITIES					09/08/2008	04/23/2009
4,889.00		6,558.00					-1,669.00	
		25. INTEL CORP. PROPERTY TYPE: SECURITIES					09/05/2008	05/12/2009
375.00		505.00					-130.00	
		320. INTEL CORP. PROPERTY TYPE: SECURITIES					01/16/2008	05/12/2009
4,806.00		6,451.00					-1,645.00	
		220. INTEL CORP. PROPERTY TYPE: SECURITIES					01/16/2008	05/18/2009
3,399.00		4,435.00					-1,036.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		635. INTEL CORP.					01/22/2008	06/16/2009
		PROPERTY TYPE: SECURITIES						
10,054.00		12,000.00					-1,946.00	
		50. INTUITIVE SURGICAL INC COM NEW					01/22/2008	07/28/2009
		PROPERTY TYPE: SECURITIES						
11,194.00		13,403.00					-2,209.00	
		160. INTUITIVE SURGICAL INC COM NEW					03/11/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
49,141.00		15,542.00					33,599.00	
		65. INTUITIVE SURGICAL INC COM NEW					11/13/2008	12/30/2009
		PROPERTY TYPE: SECURITIES						
19,963.00		9,048.00					10,915.00	
		100. INVESTMENT TECHNOLOGY GROUP, INC.					11/15/2007	02/03/2009
		PROPERTY TYPE: SECURITIES						
2,233.00		4,212.00					-1,979.00	
		50. INVESTMENT TECHNOLOGY GROUP, INC.					11/15/2007	04/29/2009
		PROPERTY TYPE: SECURITIES						
1,097.00		2,106.00					-1,009.00	
		100. INVESTMENT TECHNOLOGY GROUP, INC.					11/15/2007	05/15/2009
		PROPERTY TYPE: SECURITIES						
2,011.00		4,212.00					-2,201.00	
		95. ISHARES TR RUSSELL 2000 GROWTH INDEX					01/30/2009	02/19/2009
		PROPERTY TYPE: SECURITIES						
4,419.00		4,517.00					-98.00	
		60. ISHARES TR RUSSELL 2000 GROWTH INDEX					01/30/2009	03/02/2009
		PROPERTY TYPE: SECURITIES						
2,420.00		2,853.00					-433.00	
		90. ISHARES TR RUSSELL 2000 GROWTH INDEX					01/30/2009	03/02/2009
		PROPERTY TYPE: SECURITIES						
3,609.00		4,279.00					-670.00	
		25. ISHARES TR RUSSELL 2000 GROWTH INDEX					01/30/2009	03/05/2009
		PROPERTY TYPE: SECURITIES						
974.00		1,189.00					-215.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		25. ISHARES TR RUSSELL 2000 GROWTH INDEX					01/30/2009	03/11/2009
		PROPERTY TYPE: SECURITIES						
1,017.00		1,189.00					-172.00	
		220. ISHARES TR RUSSELL 2000 GROWTH INDE					02/25/2009	03/13/2009
		PROPERTY TYPE: SECURITIES						
9,425.00		10,044.00					-619.00	
		165. JOHNSON & JOHNSON					01/22/2008	02/13/2009
		PROPERTY TYPE: SECURITIES						
9,452.00		10,712.00					-1,260.00	
		430. JOHNSON & JOHNSON					10/25/2007	03/02/2009
		PROPERTY TYPE: SECURITIES						
21,092.00		27,720.00					-6,628.00	
		55. K12 INC.					10/08/2008	09/22/2009
		PROPERTY TYPE: SECURITIES						
953.00		1,268.00					-315.00	
		172. K12 INC.					12/31/2008	09/22/2009
		PROPERTY TYPE: SECURITIES						
2,976.00		3,780.00					-804.00	
		97. K12 INC.					12/31/2008	09/23/2009
		PROPERTY TYPE: SECURITIES						
1,680.00		1,804.00					-124.00	
		41. K12 INC.					12/24/2008	09/28/2009
		PROPERTY TYPE: SECURITIES						
680.00		746.00					-66.00	
		100. K12 INC.					02/24/2009	10/06/2009
		PROPERTY TYPE: SECURITIES						
1,688.00		1,725.00					-37.00	
		55. K12 INC.					01/29/2009	10/13/2009
		PROPERTY TYPE: SECURITIES						
1,025.00		915.00					110.00	
		55. K12 INC.					03/31/2009	10/14/2009
		PROPERTY TYPE: SECURITIES						
1,048.00		787.00					261.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		14. K12 INC. PROPERTY TYPE: SECURITIES					03/27/2009	10/16/2009
262.00		189.00					73.00	
		171. K12 INC. PROPERTY TYPE: SECURITIES					03/30/2009	10/19/2009
2,994.00		2,231.00					763.00	
		195. KRAFT FOODS INC PROPERTY TYPE: SECURITIES					03/03/2009	12/30/2009
5,355.00		4,274.00					1,081.00	
		85. LENDER PROCESSING SERVICES INC PROPERTY TYPE: SECURITIES					07/24/2009	10/27/2009
3,640.00		2,618.00					1,022.00	
		18. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES					04/01/2009	05/28/2009
121.00		132.00					-11.00	
		29. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES					04/01/2009	05/28/2009
195.00		211.00					-16.00	
		1. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES					03/31/2009	05/29/2009
7.00		7.00						
		13. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES					03/31/2009	05/29/2009
87.00		94.00					-7.00	
		56. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES					03/31/2009	06/01/2009
380.00		406.00					-26.00	
		8. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES					03/27/2009	06/01/2009
53.00		58.00					-5.00	
		32. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES					03/27/2009	06/01/2009
216.00		231.00					-15.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		28. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES					03/30/2009	06/02/2009
187.00		200.00					-13.00	
		32. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES					03/09/2009	06/02/2009
214.00		223.00					-9.00	
		31. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES					03/09/2009	06/02/2009
208.00		216.00					-8.00	
		59. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES					03/09/2009	06/03/2009
384.00		412.00					-28.00	
		48. LIFE SCIENCES RESEARCH, INC. PROPERTY TYPE: SECURITIES					03/09/2009	06/03/2009
313.00		327.00					-14.00	
		135. LINCOLN NATIONAL CORP IND PROPERTY TYPE: SECURITIES					10/25/2007	01/07/2009
3,126.00		8,719.00					-5,593.00	
		25. LINCOLN NATIONAL CORP IND PROPERTY TYPE: SECURITIES					02/01/2008	01/07/2009
552.00		1,387.00					-835.00	
		310. LINCOLN NATIONAL CORP IND PROPERTY TYPE: SECURITIES					11/15/2007	01/07/2009
6,842.00		19,503.00					-12,661.00	
		82. LINCOLN NATIONAL CORP IND PROPERTY TYPE: SECURITIES					02/01/2008	02/11/2009
1,201.00		4,539.00					-3,338.00	
		76. LINCOLN NATIONAL CORP IND PROPERTY TYPE: SECURITIES					02/01/2008	02/11/2009
1,119.00		4,199.00					-3,080.00	
		55. LINCOLN NATIONAL CORP IND PROPERTY TYPE: SECURITIES					09/15/2008	02/11/2009
796.00		2,861.00					-2,065.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		17. LINCOLN NATIONAL CORP IND PROPERTY TYPE: SECURITIES					02/01/2008	02/11/2009
246.00		939.00					-693.00	
		20. LINCOLN NATIONAL CORP IND PROPERTY TYPE: SECURITIES					09/15/2008	02/11/2009
290.00		1,040.00					-750.00	
		31. LINCOLN NATIONAL CORP IND PROPERTY TYPE: SECURITIES					02/05/2008	02/11/2009
450.00		1,598.00					-1,148.00	
		119. LINCOLN NATIONAL CORP IND PROPERTY TYPE: SECURITIES					02/05/2008	02/11/2009
1,726.00		5,989.00					-4,263.00	
		132. LINCOLN NATIONAL CORP IND PROPERTY TYPE: SECURITIES					10/02/2008	02/17/2009
1,747.00		5,717.00					-3,970.00	
		60. LINCOLN NATIONAL CORP IND PROPERTY TYPE: SECURITIES					01/22/2008	02/17/2009
794.00		2,990.00					-2,196.00	
		436. LINCOLN NATIONAL CORP IND PROPERTY TYPE: SECURITIES					11/04/2008	02/17/2009
5,692.00		12,589.00					-6,897.00	
		447. LINCOLN NATIONAL CORP IND PROPERTY TYPE: SECURITIES					11/28/2008	02/17/2009
5,811.00		7,241.00					-1,430.00	
		15. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES					01/22/2008	02/13/2009
90.00		177.00					-87.00	
		14. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES					01/22/2008	02/13/2009
83.00		165.00					-82.00	
		3. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES					01/22/2008	02/17/2009
17.00		35.00					-18.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		2. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES					01/22/2008	02/17/2009
12.00		24.00					-12.00	
		37. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES					01/22/2008	02/24/2009
181.00		437.00					-256.00	
		99. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES					01/22/2008	02/24/2009
493.00		1,104.00					-611.00	
		29. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES					11/15/2007	02/25/2009
141.00		313.00					-172.00	
		49. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES					11/15/2007	02/26/2009
232.00		528.00					-296.00	
		40. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES					11/15/2007	02/27/2009
192.00		431.00					-239.00	
		21. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES					11/15/2007	03/02/2009
99.00		226.00					-127.00	
		482. LIQUIDITY SERVICES INC PROPERTY TYPE: SECURITIES					11/15/2007	03/02/2009
2,270.00		5,198.00					-2,928.00	
		129. LUMBER LIQUIDATORS, INC. PROPERTY TYPE: SECURITIES					11/19/2008	03/20/2009
1,413.00		1,164.00					249.00	
		34. LUMBER LIQUIDATORS, INC. PROPERTY TYPE: SECURITIES					11/18/2008	03/23/2009
363.00		304.00					59.00	
		97. LUMBER LIQUIDATORS, INC. PROPERTY TYPE: SECURITIES					11/18/2008	03/23/2009
1,034.00		865.00					169.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		200. LUMINEX CORP PROPERTY TYPE: SECURITIES					02/24/2009	08/07/2009
3,037.00		3,629.00					-592.00	
		44. LUMINEX CORP PROPERTY TYPE: SECURITIES					10/29/2008	09/23/2009
792.00		731.00					61.00	
		24. LUMINEX CORP PROPERTY TYPE: SECURITIES					03/02/2009	09/24/2009
418.00		384.00					34.00	
		15. LUMINEX CORP PROPERTY TYPE: SECURITIES					03/02/2009	09/24/2009
262.00		237.00					25.00	
		67. LUMINEX CORP PROPERTY TYPE: SECURITIES					03/02/2009	09/25/2009
1,147.00		1,058.00					89.00	
		385. LUMINEX CORP PROPERTY TYPE: SECURITIES					06/02/2009	11/05/2009
5,356.00		6,018.00					-662.00	
		170. M & T BK CORP COM PROPERTY TYPE: SECURITIES					07/15/2008	02/17/2009
5,970.00		12,807.00					-6,837.00	
		365. M & T BK CORP COM PROPERTY TYPE: SECURITIES					05/15/2009	12/30/2009
24,368.00		16,944.00					7,424.00	
		100. MDC PARTNERS INC CL A SUB VTG PROPERTY TYPE: SECURITIES					11/15/2007	11/04/2009
845.00		1,003.00					-158.00	
		13. MASIMO CORPORATION PROPERTY TYPE: SECURITIES					09/17/2008	06/16/2009
322.00		509.00					-187.00	
		47. MASIMO CORPORATION PROPERTY TYPE: SECURITIES					02/24/2009	06/16/2009
1,140.00		1,275.00					-135.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		60. MASIMO CORPORATION					05/21/2008	06/16/2009
		PROPERTY TYPE: SECURITIES						
1,455.00		1,774.00					-319.00	
		330. MASTERCARD INC.					11/13/2008	12/30/2009
		PROPERTY TYPE: SECURITIES						
84,801.00		58,081.00					26,720.00	
		400. MEASUREMENT SPECIALTIES INC					08/07/2008	01/08/2009
		PROPERTY TYPE: SECURITIES						
2,080.00		7,232.00					-5,152.00	
		495. MEDTRONIC INC.					10/24/2007	04/16/2009
		PROPERTY TYPE: SECURITIES						
15,608.00		23,751.00					-8,143.00	
		115. MEDTRONIC INC.					10/24/2007	07/16/2009
		PROPERTY TYPE: SECURITIES						
3,981.00		5,445.00					-1,464.00	
		540. MEDTRONIC INC.					07/29/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
24,116.00		19,261.00					4,855.00	
		148. MERCK & CO. INC.					11/15/2007	04/21/2009
		PROPERTY TYPE: SECURITIES						
3,500.00		8,420.00					-4,920.00	
		1427. MERCK & CO. INC.					04/08/2008	04/21/2009
		PROPERTY TYPE: SECURITIES						
33,808.00		73,169.00					-39,361.00	
		260. MERCK & CO. INC.					05/06/2008	04/23/2009
		PROPERTY TYPE: SECURITIES						
5,983.00		10,140.00					-4,157.00	
		60. MERCK & CO. INC.					04/08/2008	04/23/2009
		PROPERTY TYPE: SECURITIES						
1,381.00		2,482.00					-1,101.00	
		82. METABOLIX INC.					06/05/2008	02/25/2009
		PROPERTY TYPE: SECURITIES						
543.00		1,073.00					-530.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		328. METABOLIX INC.					02/24/2009	02/25/2009
		PROPERTY TYPE: SECURITIES						
2,198.00		3,653.00					-1,455.00	
		20. MICROS SYSTEMS INC					09/29/2008	03/26/2009
		PROPERTY TYPE: SECURITIES						
398.00		559.00					-161.00	
		120. MICROS SYSTEMS INC					01/22/2008	03/26/2009
		PROPERTY TYPE: SECURITIES						
2,389.00		3,710.00					-1,321.00	
		1040. MICROSOFT CORP.					11/15/2007	03/11/2009
		PROPERTY TYPE: SECURITIES						
17,326.00		35,199.00					-17,873.00	
		280. MICROSOFT CORP.					11/15/2007	05/27/2009
		PROPERTY TYPE: SECURITIES						
5,663.00		9,477.00					-3,814.00	
		240. MICROSOFT CORP.					01/22/2008	06/05/2009
		PROPERTY TYPE: SECURITIES						
5,285.00		7,886.00					-2,601.00	
		190. MICROSOFT CORP.					01/22/2008	06/25/2009
		PROPERTY TYPE: SECURITIES						
4,499.00		6,120.00					-1,621.00	
		345. MICROSOFT CORP.					01/22/2008	07/17/2009
		PROPERTY TYPE: SECURITIES						
8,359.00		11,153.00					-2,794.00	
		275. MICROSOFT CORP.					01/22/2008	07/23/2009
		PROPERTY TYPE: SECURITIES						
6,883.00		8,748.00					-1,865.00	
		1265. MICROSOFT CORP.					01/22/2008	09/25/2009
		PROPERTY TYPE: SECURITIES						
32,408.00		38,152.00					-5,744.00	
		180. MICROSOFT CORP.					10/25/2007	10/23/2009
		PROPERTY TYPE: SECURITIES						
5,115.00		5,691.00					-576.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		380. MICROSOFT CORP.					01/23/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
11,853.00		6,651.00					5,202.00	
		310. MICROSOFT CORP.					10/24/2008	12/30/2009
		PROPERTY TYPE: SECURITIES						
9,670.00		6,767.00					2,903.00	
		20. MILLIPORE CORP.					01/22/2008	04/22/2009
		PROPERTY TYPE: SECURITIES						
1,138.00		1,341.00					-203.00	
		300. MILLIPORE CORP.					11/15/2007	10/02/2009
		PROPERTY TYPE: SECURITIES						
20,570.00		24,064.00					-3,494.00	
		100. MIPS TECHNOLOGIES INC					11/15/2007	12/29/2009
		PROPERTY TYPE: SECURITIES						
446.00		661.00					-215.00	
		63. MOLEX INC					10/25/2007	01/07/2009
		PROPERTY TYPE: SECURITIES						
960.00		1,830.00					-870.00	
		587. MOLEX INC					11/15/2007	01/07/2009
		PROPERTY TYPE: SECURITIES						
8,812.00		16,424.00					-7,612.00	
		172. MOLEX INC					08/11/2008	01/12/2009
		PROPERTY TYPE: SECURITIES						
2,453.00		4,276.00					-1,823.00	
		350. MOLEX INC					11/15/2007	01/12/2009
		PROPERTY TYPE: SECURITIES						
4,991.00		9,420.00					-4,429.00	
		303. MOLEX INC					08/12/2008	01/12/2009
		PROPERTY TYPE: SECURITIES						
4,345.00		7,501.00					-3,156.00	
		575. MOLEX INC					08/12/2008	01/12/2009
		PROPERTY TYPE: SECURITIES						
8,090.00		13,350.00					-5,260.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		25. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES					05/07/2008	02/06/2009
303.00		488.00					-185.00	
		106. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES					05/07/2008	02/13/2009
1,268.00		2,071.00					-803.00	
		29. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES					05/16/2008	03/06/2009
343.00		565.00					-222.00	
		56. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES					05/16/2008	03/09/2009
673.00		1,087.00					-414.00	
		96. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES					05/21/2008	04/14/2009
1,406.00		1,863.00					-457.00	
		37. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES					05/09/2008	04/15/2009
538.00		711.00					-173.00	
		92. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES					05/09/2008	04/15/2009
1,335.00		1,768.00					-433.00	
		31. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES					05/07/2008	07/24/2009
456.00		595.00					-139.00	
		189. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES					06/10/2008	07/27/2009
2,662.00		3,625.00					-963.00	
		153. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES					06/10/2008	07/29/2009
2,226.00		2,916.00					-690.00	
		42. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES					05/28/2008	07/30/2009
611.00		792.00					-181.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		68. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES					06/12/2009	09/22/2009
1,105.00		916.00					189.00	
		4. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES					05/12/2008	09/22/2009
65.00		75.00					-10.00	
		11. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES					06/12/2009	09/22/2009
180.00		148.00					32.00	
		222. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES					06/15/2009	09/22/2009
3,591.00		2,951.00					640.00	
		11. NATIONAL CINEMEDIA, INC. PROPERTY TYPE: SECURITIES					06/19/2008	09/22/2009
178.00		141.00					37.00	
		100. NELNET INC CL A PROPERTY TYPE: SECURITIES					11/15/2007	02/26/2009
763.00		1,493.00					-730.00	
		200. NELNET INC CL A PROPERTY TYPE: SECURITIES					11/15/2007	07/22/2009
2,952.00		2,986.00					-34.00	
		645. NETAPP, INC PROPERTY TYPE: SECURITIES					10/24/2007	03/31/2009
9,488.00		20,276.00					-10,788.00	
		555. NETAPP, INC PROPERTY TYPE: SECURITIES					11/15/2007	11/17/2009
16,356.00		15,714.00					642.00	
		2075. NETAPP, INC PROPERTY TYPE: SECURITIES					10/27/2008	12/30/2009
70,411.00		34,616.00					35,795.00	
		805. NOKIA CORP. PROPERTY TYPE: SECURITIES					01/22/2008	04/06/2009
10,449.00		30,234.00					-19,785.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		715. NOKIA CORP. PROPERTY TYPE: SECURITIES					05/30/2008	04/17/2009
10,741.00		20,511.00					-9,770.00	
		110. NOKIA CORP. PROPERTY TYPE: SECURITIES					01/22/2008	04/17/2009
1,652.00		3,494.00					-1,842.00	
		75. NOKIA CORP. PROPERTY TYPE: SECURITIES					06/16/2008	06/16/2009
1,128.00		1,918.00					-790.00	
		50. NOKIA CORP. PROPERTY TYPE: SECURITIES					05/14/2008	06/16/2009
752.00		1,427.00					-675.00	
		325. NOKIA CORP. PROPERTY TYPE: SECURITIES					07/01/2008	06/25/2009
4,725.00		7,804.00					-3,079.00	
		130. NOKIA CORP. PROPERTY TYPE: SECURITIES					06/16/2008	06/25/2009
1,890.00		3,325.00					-1,435.00	
		310. NOKIA CORP. PROPERTY TYPE: SECURITIES					07/01/2008	09/21/2009
4,873.00		7,432.00					-2,559.00	
		655. NOKIA CORP. PROPERTY TYPE: SECURITIES					08/26/2009	09/22/2009
10,253.00		8,528.00					1,725.00	
		300. NOKIA CORP. PROPERTY TYPE: SECURITIES					09/05/2008	09/22/2009
4,696.00		6,779.00					-2,083.00	
		185. NORFOLK SOUTHERN CORP. PROPERTY TYPE: SECURITIES					02/05/2009	09/25/2009
8,114.00		7,290.00					824.00	
		915. NORFOLK SOUTHERN CORP. PROPERTY TYPE: SECURITIES					05/29/2009	12/30/2009
48,854.00		34,136.00					14,718.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
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		200. NOVELL INC.					11/26/2007	08/26/2009
		PROPERTY TYPE: SECURITIES						
942.00		1,307.00					-365.00	
		500. NOVELL INC.					03/25/2008	10/09/2009
		PROPERTY TYPE: SECURITIES						
2,217.00		3,233.00					-1,016.00	
		300. NOVELL INC.					03/28/2008	10/12/2009
		PROPERTY TYPE: SECURITIES						
1,342.00		1,894.00					-552.00	
		20. NOVELL INC.					03/31/2009	10/13/2009
		PROPERTY TYPE: SECURITIES						
90.00		87.00					3.00	
		380. NOVELL INC.					07/09/2008	10/13/2009
		PROPERTY TYPE: SECURITIES						
1,707.00		2,266.00					-559.00	
		300. NOVELL INC.					04/09/2009	10/19/2009
		PROPERTY TYPE: SECURITIES						
1,316.00		1,287.00					29.00	
		280. NOVELL INC.					04/09/2009	10/20/2009
		PROPERTY TYPE: SECURITIES						
1,224.00		1,051.00					173.00	
		100. OCEANEERING INTERNATIONAL INC					11/15/2007	02/03/2009
		PROPERTY TYPE: SECURITIES						
3,281.00		6,392.00					-3,111.00	
		1. OCEANEERING INTERNATIONAL INC					02/15/2008	04/01/2009
		PROPERTY TYPE: SECURITIES						
36.00		64.00					-28.00	
		34. OCEANEERING INTERNATIONAL INC					07/31/2008	04/02/2009
		PROPERTY TYPE: SECURITIES						
1,288.00		2,091.00					-803.00	
		9. OCEANEERING INTERNATIONAL INC					02/15/2008	04/02/2009
		PROPERTY TYPE: SECURITIES						
341.00		572.00					-231.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		98. ORBITZ WORLDWIDE, INC.					09/15/2008	08/25/2009
		PROPERTY TYPE: SECURITIES						
643.00		606.00					37.00	
		250. ORBITZ WORLDWIDE, INC.					07/23/2008	08/25/2009
		PROPERTY TYPE: SECURITIES						
1,640.00		1,603.00					37.00	
		116. ORBITZ WORLDWIDE, INC.					09/17/2008	08/26/2009
		PROPERTY TYPE: SECURITIES						
745.00		711.00					34.00	
		60. ORBITZ WORLDWIDE, INC.					07/31/2008	08/26/2009
		PROPERTY TYPE: SECURITIES						
385.00		366.00					19.00	
		146. ORBITZ WORLDWIDE, INC.					07/31/2008	08/26/2009
		PROPERTY TYPE: SECURITIES						
939.00		877.00					62.00	
		35. ORBITZ WORLDWIDE, INC.					07/30/2008	08/27/2009
		PROPERTY TYPE: SECURITIES						
220.00		210.00					10.00	
		71. ORBITZ WORLDWIDE, INC.					07/30/2008	09/09/2009
		PROPERTY TYPE: SECURITIES						
395.00		426.00					-31.00	
		69. ORBITZ WORLDWIDE, INC.					07/31/2008	09/10/2009
		PROPERTY TYPE: SECURITIES						
379.00		411.00					-32.00	
		98. ORBITZ WORLDWIDE, INC.					07/31/2008	09/10/2009
		PROPERTY TYPE: SECURITIES						
539.00		581.00					-42.00	
		122. ORBITZ WORLDWIDE, INC.					07/30/2008	09/11/2009
		PROPERTY TYPE: SECURITIES						
644.00		723.00					-79.00	
		232. ORBITZ WORLDWIDE, INC.					08/01/2008	10/21/2009
		PROPERTY TYPE: SECURITIES						
1,620.00		1,343.00					277.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		833. ORBITZ WORLDWIDE, INC. PROPERTY TYPE: SECURITIES					09/30/2008	10/21/2009
5,792.00		4,329.00					1,463.00	
		58. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES					10/24/2007	01/08/2009
638.00		1,032.00					-394.00	
		194. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES					10/24/2007	01/08/2009
2,128.00		3,451.00					-1,323.00	
		120. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES					10/24/2007	01/08/2009
1,341.00		2,134.00					-793.00	
		162. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES					10/24/2007	01/08/2009
1,775.00		2,881.00					-1,106.00	
		13. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES					10/24/2007	01/08/2009
143.00		231.00					-88.00	
		107. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES					10/24/2007	01/08/2009
1,145.00		1,903.00					-758.00	
		508. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES					10/24/2007	01/08/2009
5,439.00		9,035.00					-3,596.00	
		585. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES					01/22/2008	01/08/2009
6,099.00		8,430.00					-2,331.00	
		203. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES					10/24/2007	01/08/2009
2,116.00		3,416.00					-1,300.00	
		16. PARAMETRIC TECHNOLOGY CORP (NEW) PROPERTY TYPE: SECURITIES					10/25/2007	01/08/2009
179.00		287.00					-108.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		244. PARAMETRIC TECHNOLOGY CORP (NEW)					10/25/2007	01/08/2009
		PROPERTY TYPE: SECURITIES						
2,709.00		4,379.00					-1,670.00	
		60. PARAMETRIC TECHNOLOGY CORP (NEW)					10/25/2007	01/08/2009
		PROPERTY TYPE: SECURITIES						
660.00		1,077.00					-417.00	
		203. PARAMETRIC TECHNOLOGY CORP (NEW)					10/25/2007	01/08/2009
		PROPERTY TYPE: SECURITIES						
2,227.00		3,643.00					-1,416.00	
		125. PARAMETRIC TECHNOLOGY CORP (NEW)					10/25/2007	01/08/2009
		PROPERTY TYPE: SECURITIES						
1,397.00		2,243.00					-846.00	
		170. PARAMETRIC TECHNOLOGY CORP (NEW)					10/25/2007	01/08/2009
		PROPERTY TYPE: SECURITIES						
1,863.00		3,051.00					-1,188.00	
		13. PARAMETRIC TECHNOLOGY CORP (NEW)					10/25/2007	01/08/2009
		PROPERTY TYPE: SECURITIES						
143.00		233.00					-90.00	
		23. PARAMETRIC TECHNOLOGY CORP (NEW)					08/27/2008	01/08/2009
		PROPERTY TYPE: SECURITIES						
253.00		471.00					-218.00	
		82. PARAMETRIC TECHNOLOGY CORP (NEW)					01/22/2008	01/08/2009
		PROPERTY TYPE: SECURITIES						
878.00		1,184.00					-306.00	
		29. PARAMETRIC TECHNOLOGY CORP (NEW)					10/25/2007	01/08/2009
		PROPERTY TYPE: SECURITIES						
310.00		520.00					-210.00	
		79. PARAMETRIC TECHNOLOGY CORP (NEW)					08/27/2008	01/08/2009
		PROPERTY TYPE: SECURITIES						
867.00		1,617.00					-750.00	
		531. PARAMETRIC TECHNOLOGY CORP (NEW)					01/22/2008	01/08/2009
		PROPERTY TYPE: SECURITIES						
5,686.00		7,665.00					-1,979.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		49. PARAMETRIC TECHNOLOGY CORP (NEW)					08/26/2008	01/08/2009
		PROPERTY TYPE: SECURITIES						
548.00		996.00					-448.00	
		822. PARAMETRIC TECHNOLOGY CORP (NEW)					10/30/2008	01/08/2009
		PROPERTY TYPE: SECURITIES						
8,570.00		10,250.00					-1,680.00	
		66. PARAMETRIC TECHNOLOGY CORP (NEW)					08/26/2008	01/08/2009
		PROPERTY TYPE: SECURITIES						
723.00		1,337.00					-614.00	
		5. PARAMETRIC TECHNOLOGY CORP (NEW)					08/25/2008	01/08/2009
		PROPERTY TYPE: SECURITIES						
55.00		101.00					-46.00	
		154. PARAMETRIC TECHNOLOGY CORP (NEW)					08/25/2008	02/24/2009
		PROPERTY TYPE: SECURITIES						
1,304.00		3,118.00					-1,814.00	
		414. PARAMETRIC TECHNOLOGY CORP (NEW)					11/03/2008	02/24/2009
		PROPERTY TYPE: SECURITIES						
3,495.00		7,118.00					-3,623.00	
		370. PEPSICO INC.					11/15/2007	03/31/2009
		PROPERTY TYPE: SECURITIES						
19,101.00		27,419.00					-8,318.00	
		1090. PEPSICO INC.					01/22/2008	05/29/2009
		PROPERTY TYPE: SECURITIES						
55,991.00		76,595.00					-20,604.00	
		45000. PREMCOR REFINING GROUP, INC. 6.12					02/03/2009	09/01/2009
		PROPERTY TYPE: SECURITIES						
47,168.00		44,876.00					2,292.00	
		30. PRICELINE.COM INC					10/24/2008	04/08/2009
		PROPERTY TYPE: SECURITIES						
2,550.00		1,547.00					1,003.00	
		80. PRICELINE.COM INC					10/24/2008	05/05/2009
		PROPERTY TYPE: SECURITIES						
8,395.00		4,126.00					4,269.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		40. PRICELINE.COM INC					10/24/2008	06/02/2009
		PROPERTY TYPE: SECURITIES						
4,540.00		2,063.00					2,477.00	
		85. PROCTER & GAMBLE CO.					07/01/2008	02/02/2009
		PROPERTY TYPE: SECURITIES						
4,472.00		5,202.00					-730.00	
		20. PROCTER & GAMBLE CO.					01/22/2008	02/02/2009
		PROPERTY TYPE: SECURITIES						
1,052.00		1,316.00					-264.00	
		220. PROCTER & GAMBLE CO.					03/17/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
13,582.00		10,507.00					3,075.00	
		200. PROVIDENCE SERVICE CORP.					11/15/2007	04/21/2009
		PROPERTY TYPE: SECURITIES						
1,827.00		5,750.00					-3,923.00	
		100. PROVIDENCE SERVICE CORP.					11/15/2007	04/23/2009
		PROPERTY TYPE: SECURITIES						
964.00		2,875.00					-1,911.00	
		300. PROVIDENCE SERVICE CORP.					01/22/2008	05/07/2009
		PROPERTY TYPE: SECURITIES						
3,505.00		8,563.00					-5,058.00	
		90. PROVIDENCE SERVICE CORP.					07/18/2008	05/15/2009
		PROPERTY TYPE: SECURITIES						
1,056.00		1,741.00					-685.00	
		10. PROVIDENCE SERVICE CORP.					01/22/2008	05/15/2009
		PROPERTY TYPE: SECURITIES						
117.00		281.00					-164.00	
		110. PROVIDENCE SERVICE CORP.					08/14/2008	06/09/2009
		PROPERTY TYPE: SECURITIES						
1,210.00		1,406.00					-196.00	
		180. PRUDENTIAL FINANCIAL INC					11/15/2007	01/07/2009
		PROPERTY TYPE: SECURITIES						
6,094.00		17,828.00					-11,734.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		110. PRUDENTIAL FINANCIAL INC					11/15/2007	02/02/2009
		PROPERTY TYPE: SECURITIES						
2,825.00		10,576.00					-7,751.00	
		95. PRUDENTIAL FINANCIAL INC					10/25/2007	02/11/2009
		PROPERTY TYPE: SECURITIES						
2,460.00		9,106.00					-6,646.00	
		130. PRUDENTIAL FINANCIAL INC					01/22/2008	02/11/2009
		PROPERTY TYPE: SECURITIES						
3,328.00		10,987.00					-7,659.00	
		115. PRUDENTIAL FINANCIAL INC					11/04/2008	02/17/2009
		PROPERTY TYPE: SECURITIES						
2,752.00		7,083.00					-4,331.00	
		130. PRUDENTIAL FINANCIAL INC					02/07/2008	02/17/2009
		PROPERTY TYPE: SECURITIES						
3,111.00		9,632.00					-6,521.00	
		460. PRUDENTIAL FINANCIAL INC					11/28/2008	02/19/2009
		PROPERTY TYPE: SECURITIES						
8,778.00		13,951.00					-5,173.00	
		205. QUANTA SVCS INC COM W/RIGHTS ATTACH					09/29/2008	01/30/2009
		PROPERTY TYPE: SECURITIES						
4,299.00		4,880.00					-581.00	
		194. QUANTA SVCS INC COM W/RIGHTS ATTACH					01/22/2008	01/30/2009
		PROPERTY TYPE: SECURITIES						
4,068.00		4,934.00					-866.00	
		21. QUANTA SVCS INC COM W/RIGHTS ATTACHE					01/22/2008	01/30/2009
		PROPERTY TYPE: SECURITIES						
441.00		415.00					26.00	
		275. QUANTA SVCS INC COM W/RIGHTS ATTACH					01/22/2008	09/15/2009
		PROPERTY TYPE: SECURITIES						
6,592.00		5,430.00					1,162.00	
		290. QUANTA SVCS INC COM W/RIGHTS ATTACH					11/20/2008	09/16/2009
		PROPERTY TYPE: SECURITIES						
6,991.00		3,882.00					3,109.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		5. QUANTA SVCS INC COM W/RIGHTS ATTACHED					01/22/2008	09/16/2009
		PROPERTY TYPE: SECURITIES						
121.00		99.00					22.00	
		45000. ROCHE HOLDINGS INC. 6% DUE 03/01/					02/18/2009	09/29/2009
		PROPERTY TYPE: SECURITIES						
49,942.00		44,539.00					5,403.00	
		94. ROCKWOOD HOLDINGS INC.					07/31/2008	02/12/2009
		PROPERTY TYPE: SECURITIES						
767.00		3,602.00					-2,835.00	
		55. ROCKWOOD HOLDINGS INC.					07/31/2008	02/12/2009
		PROPERTY TYPE: SECURITIES						
446.00		2,015.00					-1,569.00	
		106. ROCKWOOD HOLDINGS INC.					09/17/2008	02/12/2009
		PROPERTY TYPE: SECURITIES						
851.00		3,624.00					-2,773.00	
		325. ROCKWOOD HOLDINGS INC.					11/14/2008	03/30/2009
		PROPERTY TYPE: SECURITIES						
2,598.00		5,536.00					-2,938.00	
		33. ROCKWOOD HOLDINGS INC.					11/12/2008	12/15/2009
		PROPERTY TYPE: SECURITIES						
782.00		277.00					505.00	
		28. ROCKWOOD HOLDINGS INC.					11/12/2008	12/15/2009
		PROPERTY TYPE: SECURITIES						
669.00		235.00					434.00	
		254. ROCKWOOD HOLDINGS INC.					11/19/2008	12/16/2009
		PROPERTY TYPE: SECURITIES						
6,031.00		2,046.00					3,985.00	
		50000. ROHM & HAAS COMPANY 6% DUE 09/15/					01/28/2009	04/02/2009
		PROPERTY TYPE: SECURITIES						
41,643.00		43,465.00					-1,822.00	
		65. ROPER INDUSTRIES INC NEW					02/24/2009	07/07/2009
		PROPERTY TYPE: SECURITIES						
2,800.00		2,674.00					126.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		75. ROPER INDUSTRIES INC NEW PROPERTY TYPE: SECURITIES					11/19/2008	07/17/2009
3,408.00		2,911.00					497.00	
		125. ROPER INDUSTRIES INC NEW PROPERTY TYPE: SECURITIES					10/24/2008	07/27/2009
5,763.00		4,818.00					945.00	
		345. ROPER INDUSTRIES INC NEW PROPERTY TYPE: SECURITIES					10/27/2008	12/30/2009
18,457.00		13,402.00					5,055.00	
		5. SBA COMMUNICATIONS CORP PROPERTY TYPE: SECURITIES					02/13/2008	01/23/2009
90.00		155.00					-65.00	
		90. SBA COMMUNICATIONS CORP PROPERTY TYPE: SECURITIES					11/15/2007	01/23/2009
1,624.00		2,956.00					-1,332.00	
		125. SBA COMMUNICATIONS CORP PROPERTY TYPE: SECURITIES					09/29/2008	01/30/2009
2,479.00		3,475.00					-996.00	
		35. SBA COMMUNICATIONS CORP PROPERTY TYPE: SECURITIES					01/22/2008	01/30/2009
694.00		900.00					-206.00	
		50. SBA COMMUNICATIONS CORP PROPERTY TYPE: SECURITIES					01/22/2008	03/12/2009
1,116.00		1,285.00					-169.00	
		200. SRS LABS, INC. PROPERTY TYPE: SECURITIES					11/15/2007	04/13/2009
1,110.00		1,164.00					-54.00	
		100. SRS LABS, INC. PROPERTY TYPE: SECURITIES					11/15/2007	05/08/2009
585.00		582.00					3.00	
		200. SRS LABS, INC. PROPERTY TYPE: SECURITIES					11/15/2007	07/23/2009
1,394.00		1,164.00					230.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		240. SALESFORCE.COM INC					07/24/2009	12/23/2009
		PROPERTY TYPE: SECURITIES						
17,212.00		7,163.00					10,049.00	
		1065. SALESFORCE.COM INC					07/17/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
78,174.00		32,826.00					45,348.00	
		100. HENRY SCHEIN INC					03/31/2009	08/10/2009
		PROPERTY TYPE: SECURITIES						
5,106.00		4,015.00					1,091.00	
		100. HENRY SCHEIN INC					01/14/2009	09/04/2009
		PROPERTY TYPE: SECURITIES						
5,400.00		3,658.00					1,742.00	
		235. SCHLUMBERGER LTD.					10/28/2008	12/30/2009
		PROPERTY TYPE: SECURITIES						
15,281.00		9,278.00					6,003.00	
		100. SCHOLASTIC CORP COM					11/15/2007	02/18/2009
		PROPERTY TYPE: SECURITIES						
1,086.00		3,679.00					-2,593.00	
		100. SCHOLASTIC CORP COM					11/15/2007	02/19/2009
		PROPERTY TYPE: SECURITIES						
1,127.00		3,679.00					-2,552.00	
		130. SCHOLASTIC CORP COM					01/22/2008	03/16/2009
		PROPERTY TYPE: SECURITIES						
1,462.00		4,159.00					-2,697.00	
		485. CHARLES SCHWAB CORP.					11/13/2008	12/30/2009
		PROPERTY TYPE: SECURITIES						
9,182.00		7,547.00					1,635.00	
		35. SEATTLE GENETICS INC					05/22/2008	09/23/2009
		PROPERTY TYPE: SECURITIES						
494.00		337.00					157.00	
		5. SEATTLE GENETICS INC					05/22/2009	09/24/2009
		PROPERTY TYPE: SECURITIES						
68.00		47.00					21.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		100. SEATTLE GENETICS INC PROPERTY TYPE: SECURITIES					05/22/2008	09/24/2009
1,359.00		953.00					406.00	
		250. SHERWIN WILLIAMS CO PROPERTY TYPE: SECURITIES					03/19/2009	12/30/2009
15,515.00		11,055.00					4,460.00	
		140. SIGMA-ALDRICH CORP. PROPERTY TYPE: SECURITIES					03/02/2009	07/10/2009
6,501.00		4,854.00					1,647.00	
		280. SIGMA-ALDRICH CORP. PROPERTY TYPE: SECURITIES					03/02/2009	08/20/2009
14,293.00		9,679.00					4,614.00	
		100. J.M. SMUCKER COMPANY PROPERTY TYPE: SECURITIES					07/28/2008	06/17/2009
4,357.00		4,738.00					-381.00	
		100. J.M. SMUCKER COMPANY PROPERTY TYPE: SECURITIES					11/17/2008	06/22/2009
4,731.00		3,906.00					825.00	
		1725. SNAP-ON INC PROPERTY TYPE: SECURITIES					07/29/2009	12/30/2009
74,204.00		49,780.00					24,424.00	
		100. STAGE STORES INC PROPERTY TYPE: SECURITIES					11/15/2007	01/08/2009
832.00		1,725.00					-893.00	
		157. STAPLES INC. PROPERTY TYPE: SECURITIES					05/30/2008	02/27/2009
2,469.00		3,664.00					-1,195.00	
		148. STAPLES INC. PROPERTY TYPE: SECURITIES					05/30/2008	02/27/2009
2,330.00		3,454.00					-1,124.00	
		145. STAPLES INC. PROPERTY TYPE: SECURITIES					05/30/2008	03/02/2009
2,253.00		3,382.00					-1,129.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

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		235. STAPLES INC.					01/02/2008	03/02/2009
		PROPERTY TYPE: SECURITIES						
3,651.00		5,436.00					-1,785.00	
		435. STAPLES INC.					01/22/2008	04/29/2009
		PROPERTY TYPE: SECURITIES						
9,070.00		9,679.00					-609.00	
		175. STAPLES INC.					01/22/2008	05/11/2009
		PROPERTY TYPE: SECURITIES						
3,483.00		3,846.00					-363.00	
		505. STRYKER CORP.					10/24/2007	04/16/2009
		PROPERTY TYPE: SECURITIES						
18,440.00		35,987.00					-17,547.00	
		835. STRYKER CORP.					01/22/2008	04/28/2009
		PROPERTY TYPE: SECURITIES						
31,871.00		56,004.00					-24,133.00	
		100. SYBASE INC COM					12/26/2007	07/22/2009
		PROPERTY TYPE: SECURITIES						
3,489.00		2,647.00					842.00	
		100. SYBASE INC COM					12/28/2007	09/30/2009
		PROPERTY TYPE: SECURITIES						
3,898.00		2,607.00					1,291.00	
		100. SYBASE INC COM					04/11/2008	10/28/2009
		PROPERTY TYPE: SECURITIES						
4,015.00		2,575.00					1,440.00	
		263. SYMANTEC CORP					11/15/2007	02/13/2009
		PROPERTY TYPE: SECURITIES						
3,935.00		4,535.00					-600.00	
		302. SYMANTEC CORP					11/15/2007	02/13/2009
		PROPERTY TYPE: SECURITIES						
4,496.00		5,208.00					-712.00	
		19. SYNCHRONOSS TECHNOLOGIES, INC.					02/19/2008	01/02/2009
		PROPERTY TYPE: SECURITIES						
206.00		362.00					-156.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		31. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES					02/22/2008	01/02/2009
339.00		569.00					-230.00	
		52. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES					02/22/2008	01/05/2009
524.00		924.00					-400.00	
		36. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES					02/22/2008	01/06/2009
364.00		620.00					-256.00	
		107. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES					02/22/2008	01/06/2009
1,082.00		1,843.00					-761.00	
		135. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES					05/09/2008	02/05/2009
1,138.00		2,026.00					-888.00	
		115. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES					05/09/2008	02/19/2009
1,187.00		1,490.00					-303.00	
		40. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES					05/09/2008	03/20/2009
492.00		518.00					-26.00	
		35. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES					05/09/2008	03/23/2009
434.00		454.00					-20.00	
		163. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES					05/21/2008	04/17/2009
2,168.00		2,059.00					109.00	
		20. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES					05/21/2008	04/21/2009
246.00		242.00					4.00	
		83. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES					08/06/2008	04/21/2009
1,029.00		919.00					110.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		37. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES					08/06/2008	04/22/2009
458.00		385.00					73.00	
		67. SYNCHRONOSS TECHNOLOGIES, INC. PROPERTY TYPE: SECURITIES					08/06/2008	04/22/2009
819.00		697.00					122.00	
		135. SYNAPTICS INC PROPERTY TYPE: SECURITIES					09/01/2009	10/13/2009
2,948.00		3,649.00					-701.00	
		290. SYSCO CORP PROPERTY TYPE: SECURITIES					02/13/2009	09/25/2009
7,282.00		6,891.00					391.00	
		654. SYSCO CORP PROPERTY TYPE: SECURITIES					04/21/2009	12/30/2009
18,533.00		14,939.00					3,594.00	
		190. TJX COS INC PROPERTY TYPE: SECURITIES					02/11/2009	07/20/2009
6,711.00		4,178.00					2,533.00	
		85. TJX COS INC PROPERTY TYPE: SECURITIES					02/11/2009	07/24/2009
3,016.00		1,865.00					1,151.00	
		420. TJX COS INC PROPERTY TYPE: SECURITIES					03/06/2009	08/03/2009
15,320.00		9,025.00					6,295.00	
		70. T-3 ENERGY SERVICES, INC. PROPERTY TYPE: SECURITIES					07/06/2009	09/28/2009
1,369.00		825.00					544.00	
		15. T-3 ENERGY SERVICES, INC. PROPERTY TYPE: SECURITIES					07/06/2009	09/28/2009
295.00		177.00					118.00	
		20. T-3 ENERGY SERVICES, INC. PROPERTY TYPE: SECURITIES					07/06/2009	09/29/2009
394.00		236.00					158.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		57. T-3 ENERGY SERVICES, INC. PROPERTY TYPE: SECURITIES					07/06/2009	09/29/2009
1,125.00		671.00					454.00	
		670. TALISMAN ENERGY INC PROPERTY TYPE: SECURITIES					06/23/2008	03/10/2009
6,431.00		15,311.00					-8,880.00	
		610. TALISMAN ENERGY INC PROPERTY TYPE: SECURITIES					06/25/2008	03/10/2009
5,856.00		13,610.00					-7,754.00	
		215. TALISMAN ENERGY INC PROPERTY TYPE: SECURITIES					07/30/2008	07/29/2009
3,193.00		3,895.00					-702.00	
		275. TALISMAN ENERGY INC PROPERTY TYPE: SECURITIES					07/23/2008	07/29/2009
4,084.00		5,205.00					-1,121.00	
		970. TALISMAN ENERGY INC PROPERTY TYPE: SECURITIES					09/29/2008	09/04/2009
15,374.00		14,183.00					1,191.00	
		125. TALISMAN ENERGY INC PROPERTY TYPE: SECURITIES					07/30/2008	09/04/2009
1,981.00		2,264.00					-283.00	
		50. TARGET CORPORATION PROPERTY TYPE: SECURITIES					10/12/2000	12/30/2009
2,423.00		1,157.00					1,266.00	
		31. TECHNE CORP PROPERTY TYPE: SECURITIES					10/09/2008	09/14/2009
1,968.00		2,016.00					-48.00	
		25. TECHNE CORP PROPERTY TYPE: SECURITIES					04/27/2009	09/15/2009
1,560.00		1,450.00					110.00	
		13. TECHNE CORP PROPERTY TYPE: SECURITIES					03/12/2009	09/16/2009
805.00		649.00					156.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		31. TECHNE CORP PROPERTY TYPE: SECURITIES					03/06/2009	09/17/2009
1,920.00		1,465.00					455.00	
		320. TELETECH HOLDINGS INC PROPERTY TYPE: SECURITIES					07/17/2008	01/21/2009
2,350.00		5,598.00					-3,248.00	
		200. TELETECH HOLDINGS INC PROPERTY TYPE: SECURITIES					11/15/2007	01/21/2009
1,469.00		4,275.00					-2,806.00	
		150. TIFFANY & CO PROPERTY TYPE: SECURITIES					04/09/2009	08/28/2009
5,481.00		3,537.00					1,944.00	
		95. TIFFANY & CO PROPERTY TYPE: SECURITIES					04/09/2009	09/30/2009
3,607.00		2,240.00					1,367.00	
		145. TIFFANY & CO PROPERTY TYPE: SECURITIES					04/09/2009	10/23/2009
6,057.00		3,226.00					2,831.00	
		300. TIFFANY & CO PROPERTY TYPE: SECURITIES					03/31/2009	11/18/2009
12,674.00		6,571.00					6,103.00	
		120. TIFFANY & CO PROPERTY TYPE: SECURITIES					03/31/2009	11/19/2009
4,931.00		2,628.00					2,303.00	
		760. TOTAL FINA ELF S.A. ADS REPSTG 1/2 PROPERTY TYPE: SECURITIES					05/07/2009	12/30/2009
49,190.00		37,110.00					12,080.00	
		341. TOTAL SYSTEMS SVC INC PROPERTY TYPE: SECURITIES					10/14/2008	11/17/2009
5,936.00		5,998.00					-62.00	
		230. TOTAL SYSTEMS SVC INC PROPERTY TYPE: SECURITIES					02/24/2009	11/17/2009
4,016.00		3,021.00					995.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		219. TOTAL SYSTEMS SVC INC					10/14/2008	11/17/2009
		PROPERTY TYPE: SECURITIES						
3,824.00		3,270.00					554.00	
		230. TRIMBLE NAVIGATION LTD					09/17/2008	01/08/2009
		PROPERTY TYPE: SECURITIES						
5,052.00		6,368.00					-1,316.00	
		440. TRIMBLE NAVIGATION LTD					07/17/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
11,276.00		9,135.00					2,141.00	
		430. TRIMBLE NAVIGATION LTD					10/27/2008	12/30/2009
		PROPERTY TYPE: SECURITIES						
11,020.00		7,275.00					3,745.00	
		610. ULTRA PETROLEUM CORP					10/28/2008	04/21/2009
		PROPERTY TYPE: SECURITIES						
23,180.00		38,889.00					-15,709.00	
		47. UNICA CORPORATION					11/15/2007	01/15/2009
		PROPERTY TYPE: SECURITIES						
251.00		516.00					-265.00	
		25. UNICA CORPORATION					11/15/2007	01/15/2009
		PROPERTY TYPE: SECURITIES						
132.00		275.00					-143.00	
		2. UNICA CORPORATION					11/15/2007	01/16/2009
		PROPERTY TYPE: SECURITIES						
11.00		22.00					-11.00	
		4. UNICA CORPORATION					11/15/2007	01/16/2009
		PROPERTY TYPE: SECURITIES						
21.00		44.00					-23.00	
		7. UNICA CORPORATION					11/15/2007	01/21/2009
		PROPERTY TYPE: SECURITIES						
37.00		77.00					-40.00	
		1. UNICA CORPORATION					11/15/2007	01/22/2009
		PROPERTY TYPE: SECURITIES						
5.00		11.00					-6.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		1. UNICA CORPORATION					11/15/2007	01/23/2009
		PROPERTY TYPE: SECURITIES						
5.00		11.00					-6.00	
		1. UNICA CORPORATION					11/15/2007	01/26/2009
		PROPERTY TYPE: SECURITIES						
5.00		11.00					-6.00	
		4. UNICA CORPORATION					11/15/2007	01/27/2009
		PROPERTY TYPE: SECURITIES						
21.00		44.00					-23.00	
		24. UNICA CORPORATION					11/15/2007	01/28/2009
		PROPERTY TYPE: SECURITIES						
121.00		264.00					-143.00	
		1. UNICA CORPORATION					11/15/2007	01/29/2009
		PROPERTY TYPE: SECURITIES						
5.00		11.00					-6.00	
		2. UNICA CORPORATION					11/15/2007	01/30/2009
		PROPERTY TYPE: SECURITIES						
10.00		22.00					-12.00	
		4. UNICA CORPORATION					11/15/2007	02/02/2009
		PROPERTY TYPE: SECURITIES						
20.00		44.00					-24.00	
		3. UNICA CORPORATION					11/15/2007	02/03/2009
		PROPERTY TYPE: SECURITIES						
15.00		33.00					-18.00	
		1. UNICA CORPORATION					11/15/2007	02/04/2009
		PROPERTY TYPE: SECURITIES						
5.00		11.00					-6.00	
		1. UNICA CORPORATION					11/15/2007	02/05/2009
		PROPERTY TYPE: SECURITIES						
5.00		11.00					-6.00	
		10. UNICA CORPORATION					11/15/2007	02/06/2009
		PROPERTY TYPE: SECURITIES						
50.00		110.00					-60.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		9. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/14/2009
43.00		99.00					-56.00	
		6. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/14/2009
29.00		66.00					-37.00	
		13. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/15/2009
63.00		143.00					-80.00	
		18. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/16/2009
87.00		198.00					-111.00	
		17. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/17/2009
82.00		187.00					-105.00	
		4. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/20/2009
19.00		44.00					-25.00	
		13. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/21/2009
62.00		143.00					-81.00	
		7. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/21/2009
33.00		77.00					-44.00	
		3. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/22/2009
14.00		33.00					-19.00	
		22. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/22/2009
102.00		242.00					-140.00	
		3. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/23/2009
13.00		33.00					-20.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		21. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/24/2009
96.00		231.00					-135.00	
		20. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/24/2009
91.00		220.00					-129.00	
		1. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/27/2009
5.00		11.00					-6.00	
		22. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/28/2009
99.00		242.00					-143.00	
		3. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/29/2009
14.00		33.00					-19.00	
		37. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/30/2009
171.00		406.00					-235.00	
		11. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	04/30/2009
52.00		121.00					-69.00	
		4. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	05/05/2009
19.00		44.00					-25.00	
		11. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	05/06/2009
53.00		121.00					-68.00	
		14. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	05/07/2009
66.00		154.00					-88.00	
		12. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	05/08/2009
57.00		132.00					-75.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		28. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	05/08/2009
134.00		308.00					-174.00	
		7. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	05/12/2009
33.00		77.00					-44.00	
		32. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	05/13/2009
148.00		352.00					-204.00	
		25. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	05/13/2009
116.00		275.00					-159.00	
		8. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	05/14/2009
37.00		88.00					-51.00	
		26. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	05/15/2009
120.00		286.00					-166.00	
		6. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	05/18/2009
28.00		66.00					-38.00	
		15. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	05/19/2009
70.00		165.00					-95.00	
		2. UNICA CORPORATION PROPERTY TYPE: SECURITIES					05/30/2008	05/19/2009
9.00		17.00					-8.00	
		42. UNICA CORPORATION PROPERTY TYPE: SECURITIES					11/15/2007	05/19/2009
194.00		461.00					-267.00	
		38. UNICA CORPORATION PROPERTY TYPE: SECURITIES					05/30/2008	05/20/2009
176.00		322.00					-146.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		32. UNICA CORPORATION					05/30/2008	05/20/2009
		PROPERTY TYPE: SECURITIES						
148.00		271.00					-123.00	
		16. UNICA CORPORATION					05/30/2008	05/21/2009
		PROPERTY TYPE: SECURITIES						
72.00		136.00					-64.00	
		1. UNICA CORPORATION					05/30/2008	05/21/2009
		PROPERTY TYPE: SECURITIES						
4.00		8.00					-4.00	
		2. UNICA CORPORATION					05/30/2008	05/22/2009
		PROPERTY TYPE: SECURITIES						
9.00		17.00					-8.00	
		2. UNICA CORPORATION					05/30/2008	05/26/2009
		PROPERTY TYPE: SECURITIES						
9.00		17.00					-8.00	
		22. UNICA CORPORATION					05/30/2008	05/26/2009
		PROPERTY TYPE: SECURITIES						
99.00		187.00					-88.00	
		7. UNICA CORPORATION					06/03/2008	05/27/2009
		PROPERTY TYPE: SECURITIES						
32.00		58.00					-26.00	
		13. UNICA CORPORATION					06/03/2008	06/01/2009
		PROPERTY TYPE: SECURITIES						
60.00		109.00					-49.00	
		25. UNICA CORPORATION					06/03/2008	06/02/2009
		PROPERTY TYPE: SECURITIES						
113.00		209.00					-96.00	
		13. UNICA CORPORATION					05/30/2008	06/02/2009
		PROPERTY TYPE: SECURITIES						
59.00		108.00					-49.00	
		8. UNICA CORPORATION					06/02/2008	06/02/2009
		PROPERTY TYPE: SECURITIES						
37.00		66.00					-29.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		17. UNICA CORPORATION PROPERTY TYPE: SECURITIES					06/02/2008	06/03/2009
77.00		140.00					-63.00	
		23. UNICA CORPORATION PROPERTY TYPE: SECURITIES					06/02/2008	06/04/2009
103.00		190.00					-87.00	
		41. UNICA CORPORATION PROPERTY TYPE: SECURITIES					06/02/2008	06/04/2009
185.00		338.00					-153.00	
		176. UNICA CORPORATION PROPERTY TYPE: SECURITIES					06/02/2008	06/05/2009
786.00		1,443.00					-657.00	
		4. UNICA CORPORATION PROPERTY TYPE: SECURITIES					05/29/2008	06/05/2009
18.00		32.00					-14.00	
		28. UNICA CORPORATION PROPERTY TYPE: SECURITIES					05/29/2008	06/08/2009
124.00		224.00					-100.00	
		280. UNILEVER N V - NY SHARES PROPERTY TYPE: SECURITIES					11/15/2007	03/06/2009
4,885.00		9,096.00					-4,211.00	
		294. UNILEVER N V - NY SHARES PROPERTY TYPE: SECURITIES					01/22/2008	03/17/2009
5,403.00		9,466.00					-4,063.00	
		210. UNILEVER N V - NY SHARES PROPERTY TYPE: SECURITIES					06/25/2008	03/17/2009
3,866.00		6,250.00					-2,384.00	
		96. UNILEVER N V - NY SHARES PROPERTY TYPE: SECURITIES					01/22/2008	03/17/2009
1,767.00		3,070.00					-1,303.00	
		315. UNILEVER N V - NY SHARES PROPERTY TYPE: SECURITIES					07/01/2008	03/19/2009
5,922.00		8,984.00					-3,062.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		720. UNILEVER N V - NY SHARES PROPERTY TYPE: SECURITIES					10/07/2008	04/09/2009
14,176.00		19,957.00					-5,781.00	
		105. URBAN OUTFITTERS INC PROPERTY TYPE: SECURITIES					07/24/2009	08/13/2009
3,006.00		1,825.00					1,181.00	
		55. URBAN OUTFITTERS INC PROPERTY TYPE: SECURITIES					02/24/2009	08/28/2009
1,547.00		889.00					658.00	
		165. URBAN OUTFITTERS INC PROPERTY TYPE: SECURITIES					03/06/2009	09/01/2009
4,561.00		2,498.00					2,063.00	
		100. VENOCO INC PROPERTY TYPE: SECURITIES					02/15/2008	01/26/2009
250.00		1,365.00					-1,115.00	
		330. VENOCO INC PROPERTY TYPE: SECURITIES					01/22/2008	01/26/2009
825.00		5,834.00					-5,009.00	
		4250. VANGUARD REIT ETF FUND PROPERTY TYPE: SECURITIES					04/23/2008	03/10/2009
100,892.00		281,643.00					-180751.00	
		4250. VANGUARD REIT ETF FUND PROPERTY TYPE: SECURITIES					04/23/2008	04/09/2009
121,154.00		281,643.00					-160489.00	
		228. VERIZON COMMUNICATIONS PROPERTY TYPE: SECURITIES					10/25/2007	01/12/2009
7,231.00		10,137.00					-2,906.00	
		157. VERIZON COMMUNICATIONS PROPERTY TYPE: SECURITIES					11/15/2007	01/12/2009
4,973.00		6,844.00					-1,871.00	
		425. VERIZON COMMUNICATIONS PROPERTY TYPE: SECURITIES					01/22/2008	02/05/2009
13,138.00		17,103.00					-3,965.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		130. VOLTERRA SEMICONDUCTOR CORP					06/02/2009	07/14/2009
		PROPERTY TYPE: SECURITIES						
1,892.00		1,798.00					94.00	
		70. VOLTERRA SEMICONDUCTOR CORP					11/15/2007	07/14/2009
		PROPERTY TYPE: SECURITIES						
1,019.00		871.00					148.00	
		120. WMS INDS INC					01/22/2008	03/26/2009
		PROPERTY TYPE: SECURITIES						
2,692.00		3,953.00					-1,261.00	
		80. WMS INDS INC					01/22/2008	05/07/2009
		PROPERTY TYPE: SECURITIES						
2,678.00		2,600.00					78.00	
		1660. WALGREEN CO.					11/15/2007	01/27/2009
		PROPERTY TYPE: SECURITIES						
44,251.00		65,682.00					-21,431.00	
		190. WALGREEN CO.					01/07/2008	02/13/2009
		PROPERTY TYPE: SECURITIES						
4,975.00		6,649.00					-1,674.00	
		395. WALGREEN CO.					01/22/2008	02/26/2009
		PROPERTY TYPE: SECURITIES						
9,294.00		13,472.00					-4,178.00	
		290. WALGREEN CO.					01/22/2008	02/26/2009
		PROPERTY TYPE: SECURITIES						
6,830.00		9,768.00					-2,938.00	
		255. WATERS CORP					04/16/2008	06/02/2009
		PROPERTY TYPE: SECURITIES						
12,248.00		13,514.00					-1,266.00	
		35. WATERS CORP					04/15/2008	06/30/2009
		PROPERTY TYPE: SECURITIES						
1,780.00		1,828.00					-48.00	
		103. WATERS CORP					10/30/2008	07/10/2009
		PROPERTY TYPE: SECURITIES						
5,065.00		4,606.00					459.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		32. WATERS CORP					04/15/2008	07/10/2009
		PROPERTY TYPE: SECURITIES						
1,574.00		1,672.00					-98.00	
		272. WATERS CORP					12/12/2008	12/30/2009
		PROPERTY TYPE: SECURITIES						
16,849.00		10,596.00					6,253.00	
		100. WATSON WYATT WORLDWIDE INC. CL A					07/16/2008	08/12/2009
		PROPERTY TYPE: SECURITIES						
3,916.00		5,486.00					-1,570.00	
		100. WATSON WYATT WORLDWIDE INC. CL A					07/08/2008	09/17/2009
		PROPERTY TYPE: SECURITIES						
4,473.00		5,300.00					-827.00	
		44. ZYMOGENETICS INC					11/15/2007	01/07/2009
		PROPERTY TYPE: SECURITIES						
137.00		618.00					-481.00	
		39. ZYMOGENETICS INC					11/15/2007	01/08/2009
		PROPERTY TYPE: SECURITIES						
118.00		548.00					-430.00	
		22. ZYMOGENETICS INC					11/15/2007	01/08/2009
		PROPERTY TYPE: SECURITIES						
69.00		309.00					-240.00	
		4. ZYMOGENETICS INC					01/22/2008	01/09/2009
		PROPERTY TYPE: SECURITIES						
12.00		41.00					-29.00	
		53. ZYMOGENETICS INC					01/22/2008	01/12/2009
		PROPERTY TYPE: SECURITIES						
158.00		537.00					-379.00	
		1300. ACCENTURE PLC					01/22/2008	12/30/2009
		PROPERTY TYPE: SECURITIES						
54,417.00		43,492.00					10,925.00	
		1225. ACCENTURE PLC					08/31/2009	12/30/2009
		PROPERTY TYPE: SECURITIES						
51,277.00		34,554.00					16,723.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		190. ORIENT-EXPRESS HOTELS LTD. CLASS A PROPERTY TYPE: SECURITIES					09/17/2008	01/21/2009
1,263.00		6,349.00					-5,086.00	
		99. ORIENT-EXPRESS HOTELS LTD. CLASS A PROPERTY TYPE: SECURITIES					08/06/2008	01/21/2009
657.00		3,144.00					-2,487.00	
		33. ORIENT-EXPRESS HOTELS LTD. CLASS A PROPERTY TYPE: SECURITIES					09/24/2008	01/21/2009
211.00		1,038.00					-827.00	
		190. ORIENT-EXPRESS HOTELS LTD. CLASS A PROPERTY TYPE: SECURITIES					11/11/2008	01/22/2009
1,272.00		3,056.00					-1,784.00	
		102. ORIENT-EXPRESS HOTELS LTD. CLASS A PROPERTY TYPE: SECURITIES					11/12/2008	01/23/2009
673.00		827.00					-154.00	
		59. SEAGATE TECHNOLOGY PROPERTY TYPE: SECURITIES					01/22/2008	01/22/2009
203.00		1,185.00					-982.00	
		270. SEAGATE TECHNOLOGY PROPERTY TYPE: SECURITIES					01/18/2008	01/22/2009
930.00		5,780.00					-4,850.00	
		581. SEAGATE TECHNOLOGY PROPERTY TYPE: SECURITIES					01/22/2008	01/22/2009
1,997.00		11,672.00					-9,675.00	
		15. SEAGATE TECHNOLOGY PROPERTY TYPE: SECURITIES					01/18/2008	01/22/2009
52.00		299.00					-247.00	
		548. SEAGATE TECHNOLOGY PROPERTY TYPE: SECURITIES					11/10/2008	01/22/2009
1,885.00		6,434.00					-4,549.00	
		345. SEAGATE TECHNOLOGY PROPERTY TYPE: SECURITIES					01/18/2008	01/22/2009
1,187.00		6,838.00					-5,651.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		1042. SEAGATE TECHNOLOGY PROPERTY TYPE: SECURITIES					11/10/2008	01/22/2009
3,452.00		6,619.00					-3,167.00	
		210. WARNER CHILCOTT LIMITED CL A PROPERTY TYPE: SECURITIES					01/22/2008	07/24/2009
3,178.00		3,811.00					-633.00	
		35.994 WILLIS GROUP HOLDINGS LTD PROPERTY TYPE: SECURITIES					05/01/2009	12/30/2009
953.00		952.00					1.00	
		64.006 WILLIS GROUP HOLDINGS LTD PROPERTY TYPE: SECURITIES					11/15/2007	12/30/2009
1,695.00		1,853.00					-158.00	
		100. CHECK POINT SOFTWARE TECHNOLOGIES I PROPERTY TYPE: SECURITIES					12/03/2008	04/17/2009
2,462.00		1,940.00					522.00	
		50. CHECK POINT SOFTWARE TECHNOLOGIES IN PROPERTY TYPE: SECURITIES					12/03/2008	04/20/2009
1,237.00		957.00					280.00	
		70. SYNERON MEDICAL LTD PROPERTY TYPE: SECURITIES					01/22/2008	01/22/2009
490.00		1,005.00					-515.00	
		400. SYNERON MEDICAL LTD PROPERTY TYPE: SECURITIES					11/15/2007	01/22/2009
2,800.00		6,784.00					-3,984.00	

TOTAL GAIN(LOSS)		....					-423,726.	
							==========	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ACETO CORP	176.	176.
ADMINISTAFF INC	26.	26.
AIR PRODUCTS & CHEMICALS INC.	961.	961.
ALBERTO CULVER CO NEW	353.	353.
ALLERGAN INC	235.	235.
ALLERGAN INC. 5.75% DUE 04/01/2016	1,641.	1,641.
AMERICAN EAGLE OUTFITTERS INC NEW COM	160.	160.
AMERICAN EXPRESS CO.	1,242.	1,242.
AMETEK INC (NEW) COM	276.	276.
AMPHENOL CORP - CL A	6.	6.
APPLIED SIGNAL TECHNOLOGY, INC.	125.	125.
ASSURANT INC COM	560.	560.
AVON PRODUCTS INC. 6.5% DUE 03/01/2019	1,501.	1,501.
BB&T CORP	1,150.	1,150.
B&G FOODS INC. CLASS A	588.	588.
B & G FOODS INC NEW	308.	308.
BJ SERVICES COMPANY	120.	120.
BAC CAPITAL TRUST XII 6.875% PFD	786.	786.
BANK OF NEW YORK MELLON CORP.	1,632.	1,632.
BARNES & NOBLE INC	28.	28.
BARRICK NA FINANCE LLC 6.8% DUE 09/15/20	1,887.	1,887.
BECKMAN COULTER INC	102.	102.
BECTON DICKINSON & CO.	348.	348.
BHP LIMITED SPONSORED ADR	295.	295.
BLACK & DECKER CORP. 5.75% DUE 11/15/201	2,002.	2,002.
BRINKS CO	10.	10.
BROADRIDGE FINANCIAL SOLUTIONS, INC. COM	295.	295.
CANADIAN NATURAL RESOURCES LTD	211.	211.
CAPITALSOURCE INC	12.	12.
CAPSTEAD MORTGAGE CORP.	112.	112.
CARPENTER TECHNOLOGY CORP	59.	59.
DWS TAX-EXEMPT CASH INSTITUTIONAL	14,444.	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
CASH AMERICA INTERNATIONAL, INC	50.	50.
CATERPILLAR FINANCIAL SERVICES CORP. 6.1	1,408.	1,408.
CHEMED CORPORATION	120.	120.
CHEVRONTEXACO CORP	545.	545.
CHUBB CORP.	1,145.	1,145.
COACH INC.	195.	195.
COMCAST CORP - SPECIAL CL A NEW	1,116.	1,116.
COMCAST CORP. 6.50% DUE 01/15/15	1,170.	1,170.
COMPASS MINERALS INTERNATIONAL	53.	53.
CONOCOPHILLIPS	294.	294.
COSTCO WHOLESALE CORPORATION	383.	383.
CUBIC CORPORATION	9.	9.
CYPRESS SHARPRIDGE INVESTMENTS	105.	105.
DANAHER CORP	104.	104.
DEERE & CO.	773.	773.
DEVON ENERGY CORP	189.	189.
DIAGEO PLC-SPONSORED ADR NEW REPSTG 4 OR	627.	627.
DIAMOND OFFSHORE DRILLING	4,640.	4,640.
R R DONNELLEY & SONS CO.	520.	520.
DOVER CORP.	1,876.	1,876.
E I DU PONT DE NEMOURS & CO.	2,429.	2,429.
E.I. DUPONT DE NEMOURS & CO. 5.75% DUE 0	1,537.	1,537.
SPECTRA ENERGY CAPITAL 6.75% 07/15/2018	1,754.	1,754.
EATON CORP.	2,315.	2,315.
ELECTRO RENT CORP	165.	165.
ENTERTAINMENT PROPERTIES TRUST	130.	130.
EXXON MOBIL CORP	193.	193.
FACTSET RESEARCH SYSTEMS INC	108.	108.
FASTENAL CO	40.	40.
FEDERATED ADJUSTABLE RATE SECURITIES FUN	5,105.	5,105.
FEDEX CORP 8% DUE 01/15/2019	1,355.	1,355.
BROWN ADVISORY CORE INTERNATIONAL FUND I	24,763.	24,763.
BROWN ADVISORY VALUE EQUITY FUND INSTITU	57.	57.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FRANKLIN RESOURCES INC.	485.	485.
H.B. FULLER CO.	20.	20.
GTE CORP 6.84% DUE 04/15/2018	1,922.	1,922.
AUTHUR J. GALLAGHER & CO.	518.	518.
GENERAL ELECTRIC CO.	1,206.	1,206.
GENERAL ELECTRIC CO. 5% DUE 02/01/13	1,626.	1,626.
GLOBAL PMTS INC COM W/RIGHTS ATTACHED EX	37.	37.
GULF ISLAND FABRICATION INC.	4.	4.
HARMAN INTERNATIONAL INDUSTRIES INC.	5.	5.
HARRIS CORP.	554.	554.
HATTERAS FINANCIAL CORPORATION	230.	230.
HERCULES TECHNOLOGY	366.	366.
IMS HEALTH INC	36.	36.
IDEX CORP	271.	271.
ILLINOIS TOOL WORKS	1,880.	1,880.
INTEL CORP.	567.	567.
INTERACTIVE DATA CORP.	460.	460.
ICT TREASURY PORTFOLIO INSTITUTIONAL	8,787.	8,787.
JOHNSON & JOHNSON	1,073.	1,073.
KAMAN CORP	414.	414.
KRAFT FOODS INC	1,135.	1,135.
LENDER PROCESSING SERVICES INC	52.	52.
LINCOLN NATIONAL CORP IND	408.	408.
M & T BK CORP COM	1,637.	1,637.
MASTERCARD INC.	198.	198.
MEDTRONIC INC.	1,113.	1,113.
MERCK & CO. INC.	3,500.	3,500.
MICROSOFT CORP.	1,713.	1,713.
MOLEX INC	313.	313.
NATIONAL CINEMEDIA, INC.	627.	627.
NELNET INC CL A	76.	76.
NOKIA CORP.	705.	705.
NORFOLK SOUTHERN CORP.	938.	938.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
NORFOLK SOUTHERN CORP 5.9% DUE 06/15/201	1,388.	1,388.
PACCAR INC. 6.875% DUE 02/15/2014	1,271.	1,271.
PEPSICO INC.	1,241.	1,241.
PETMED EXPRESS INC.	89.	89.
PETSMART INC	457.	457.
POTASH CORP-SASKATCHEWAN 5.25% DUE 05/15	229.	229.
PREMCOR REFINING GROUP, INC. 6.125% DUE	1,668.	1,668.
PROCTER & GAMBLE CO.	711.	711.
QUALCOMM CORP.	272.	272.
ROCHE HOLDINGS INC. 6% DUE 03/01/2019	1,657.	1,657.
ROHM & HAAS COMPANY 6% DUE 09/15/2017/1	681.	681.
ROPER INDUSTRIES INC NEW	355.	355.
SCHLUMBERGER LTD.	1,042.	1,042.
SCHOLASTIC CORP COM	25.	25.
CHARLES SCHWAB CORP.	533.	533.
CHARLES SCHWAB CORP. 6.375% DUE 09/01/20	1,065.	1,065.
SHERWIN WILLIAMS CO	335.	335.
SIGMA-ALDRICH CORP.	61.	61.
SILGAN HLDGS INC COM	323.	323.
J.M. SMUCKER COMPANY	134.	134.
SNAP-ON INC	1,497.	1,497.
SPEEDWAY MOTORSPORTS INC.	284.	284.
STAGE STORES INC	110.	110.
STANLEY WORKS	196.	196.
STAPLES INC.	244.	244.
STAPLES INC. 7.375% DUE 10/01/2012	2,385.	2,385.
STARBUCKS CORP. 6.25% DUE 08/15/2017	1,575.	1,575.
STRYKER CORP.	536.	536.
SYSCO CORP	650.	650.
TJX COS INC	83.	83.
TALISMAN ENERGY INC	130.	130.
TARGET CORPORATION	413.	413.
TECHNE CORP	60.	60.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
3M COMPANY	969.	969.
TIDEWATER INC	454.	454.
TIFFANY & CO	250.	250.
TOTAL FINA ELF S.A. ADS REPSTG 1/2 OF A	3,157.	3,157.
TOTAL SYSTEMS SVC INC	205.	205.
VANGUARD REIT ETF FUND	2,032.	2,032.
VERIZON COMMUNICATIONS	373.	373.
WALGREEN CO.	461.	461.
WATSON WYATT WORLDWIDE INC. CL A	45.	45.
WEATHERFORD INTERNATIONAL LTD. 5.15% DUE	2,145.	2,145.
WENDY'S/ARBY'S GROUP, INC. CLASS A	62.	62.
WEST PHARMACEUTICAL SERVICES INC	116.	116.
ACCENTURE PLC	2,558.	2,558.
UTI WORLDWIDE INC	76.	76.
WILLIS GROUP HOLDINGS LTD	286.	286.
ACE LIMITED ORD	291.	291.
TOTAL	154,980.	140,536.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT FEES-PRIN	54,870.	54,870.
TOTALS	54,870.	54,870.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	1,337.	1,337.
FEDERAL EXCISE TAX	4,288.	
TOTALS	5,625.	1,337.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
MISCELLANEOUS EXPENSES	4,680.	4,680.
TOTALS	4,680.	4,680.

THUNDER BAY FOUNDATION, INC. 26-1172656

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
REAL ESTATE INVESTMENT TRUSTS	9,901.	9,624.
COMMON STOCK - MAIN A/C	2,166,741.	2,103,778.
EQUITY MUTUAL FUNDS - MAIN A/C	2,842,218.	1,358,783.
COMMON STOCK - LCV	1,769,705.	1,783,530.
COMMON STOCK - SCG	549,765.	690,436.
COMMON STOCK - SCV	547,069.	562,357.
REAL ESTATE MUTUAL FUNDS AKAMAI TECHNOLOGIES	91,740.	69,052.
TOTALS	7,977,139.	6,577,560.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
CORPORATE BONDS	687,167.	764,299.
FIXED INCOME MUTUAL FDS	2,500,000.	2,497,439.
TOTALS	3,187,167.	3,261,738.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
ROUNDING		8.
	TOTAL	8.

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STATE(S) WHERE THE FOUNDATION IS REGISTERED
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FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES
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OFFICER NAME:
  TERESA J. KIGHT
ADDRESS:
  2771 MABRY RD. NE
  ATLANTA, GA 303319
TITLE:
  PRESIDENT-DIRECTOR

OFFICER NAME:
  PETER J. KIGHT
ADDRESS:
  2771 MABRY RD. NE
  ATLANTA, GA 30319
TITLE:
  SECRETARY/TREASURER

OFFICER NAME:
  TIMOTHY F. AGNEW
ADDRESS:
  171 17TH STREET, NW
  ATLANTA, GA 30363
TITLE:
  ASST SEC/ASST TREAS

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID
===

RECIPIENT NAME:
BOYS AND GIRLS CLUB OF ATLANTA
ADDRESS:
100 E EDGEWOOD AVE NE, SUITE 700
ATLANTA, GA 30303
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 15,000.

RECIPIENT NAME:
U.S. TRACK AND FIELD FDN
ADDRESS:
132 EAST WASHINGTON ST
INDIANAPOLIS, IN 46225
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 25,000.

RECIPIENT NAME:
HEALTHCARE FOUNDATION
NORTHERN SONOMA COUNTY
ADDRESS:
111 MONTE VISTA AVE
HEALDSBURG, CA 95448
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 600,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID
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RECIPIENT NAME:
WOODWARD ACADEMY
ADDRESS:
1662 RUGBY AVENUE
COLLEGE PARK, GA 30337-2199
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL SCHOLARSHIP
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID .... 250,000.

TOTAL GRANTS PAID: 890,000.
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