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# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust

### Treated as a Private Foundation

OMB No. 1545-0052

2009

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions	Name of foundation <b>THE LINDA BRAUN FOUNDATION, INC.</b>		A Employer identification number <b>26-0729948</b>	
	Number and street (or P.O. box number if mail is not delivered to street address) <b>2700 MARKET TOWER, 10 WEST MARKET ST.</b>		B Telephone number <b>(727) 596-5278</b>	
	City or town, state, and ZIP code <b>INDIANAPOLIS, IN 46204</b>		C If exemption application is pending, check here <input type="checkbox"/>	
	H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 1,913,096.</b> (Part I, column (d) must be on cash basis)		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
				F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	1,506.		N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	4,337.	4,337.		STATEMENT 1
	4 Dividends and interest from securities	22,114.	22,114.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	12,091.			
	b Gross sales price for all assets on line 6a <b>99,750.</b>				
	7 Capital gain net income (from Part IV, line 2)		12,091.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	605.	605.		STATEMENT 3	
12 Total. Add lines 1 through 11	40,653.	39,147.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees <b>STMT 4</b>	1,704.	0.		1,704.
	c Other professional fees <b>STMT 5</b>	7,621.	7,621.		0.
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses. Add lines 13 through 23	9,325.	7,621.		1,704.
	25 Contributions, gifts, grants paid				
26 Total expenses and disbursements. Add lines 24 and 25	9,325.	7,621.		1,704.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	31,328.				
b Net investment income (if negative, enter -0-)		31,526.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	1,588,051.	292,922.	292,922.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations STMT 6	0.	75,170.	75,258.
	b	Investments - corporate stock STMT 7	0.	476,398.	629,408.
	c	Investments - corporate bonds STMT 8	0.	205,034.	207,216.
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 9	0.	569,855.	708,292.
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	<b>Total assets (to be completed by all filers)</b>	1,588,051.	1,619,379.	1,913,096.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	<b>Total liabilities (add lines 17 through 22)</b>	0.	0.	
		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
		and complete lines 24 through 26 and lines 30 and 31			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
		and complete lines 27 through 31			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	1,588,051.	1,619,379.	
	30	<b>Total net assets or fund balances</b>	1,588,051.	1,619,379.	
	31	<b>Total liabilities and net assets/fund balances</b>	1,588,051.	1,619,379.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,588,051.
2	Enter amount from Part I, line 27a	2	31,328.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,619,379.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,619,379.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 99,750.		87,659.	12,091.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e			12,091.	
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 12,091.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		{		3 N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2008	25,000.	448,413.	.055752
2007	25,000.	113,275.	.220702
2006			
2005			
2004			
2 Total of line 1, column (d)			2 .276454
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .138227
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 1,707,237.
5 Multiply line 4 by line 3			5 235,986.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 315.
7 Add lines 5 and 6			7 236,301.
8 Enter qualifying distributions from Part XII, line 4			8 1,704.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	631.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	631.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	631.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		631.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>DREW P. BRAUN</u> Telephone no. ► <u>(727) 596-5278</u> Located at ► <u>620 BELLE ISLE AVENUE, BELLEAIR BEACH, FL</u> ZIP+4 ► <u>33786</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year			15
				N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ► _____ , _____ , _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____ , _____ , _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0





**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,110,578.
b	Average of monthly cash balances	1b	622,658.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,733,236.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,733,236.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	25,999.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,707,237.
6	Minimum investment return. Enter 5% of line 5	6	85,362.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	85,362.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	631.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	631.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	84,731.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	84,731.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	84,731.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,704.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,704.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,704.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
<b>1</b> Distributable amount for 2009 from Part XI, line 7				84,731.
<b>2</b> Undistributed income, if any, as of the end of 2009				
<b>a</b> Enter amount for 2008 only			0.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2009:				
<b>a</b> From 2004				
<b>b</b> From 2005				
<b>c</b> From 2006				
<b>d</b> From 2007				22,828.
<b>e</b> From 2008				2,579.
<b>f</b> Total of lines 3a through e	25,407.			
<b>4</b> Qualifying distributions for 2009 from Part XII, line 4: ► \$ 1,704.				
<b>a</b> Applied to 2008, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2009 distributable amount				1,704.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	25,407.			25,407.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				57,620.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2010 Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2005				
<b>b</b> Excess from 2006				
<b>c</b> Excess from 2007				
<b>d</b> Excess from 2008				
<b>e</b> Excess from 2009				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

DREW P. BRAUN, 727-596-5278

620 BELLE ISLE AVENUE, BELLEAIR BEACH, FL 33786

b The form in which applications should be submitted and information and materials they should include:

PROOF OF NOT-FOR-PROFIT STATUS SHOULD BE INCLUDED WITH APPLICATION.

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

ORGANIZATIONS MUST OPERATE AS "QUALIFIED" CHARITABLE ORGANIZATIONS AS DEFINED BY SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE.





<b>Part XVII</b>	<b>Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations</b>
------------------	--

- |          |  | Yes | No |
|----------|--|-----|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |     |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:   |     |    |
|          | (1) Cash   |     | X  |
|          | (2) Other assets   |     | X  |
| <b>b</b> | Other transactions:  |     |    |
|          | (1) Sales of assets to a noncharitable exempt organization   |     | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization   |     | X  |
|          | (3) Rental of facilities, equipment, or other assets   |     | X  |
|          | (4) Reimbursement arrangements   |     | X  |
|          | (5) Loans or loan guarantees   |     | X  |
|          | (6) Performance of services or membership or fundraising solicitations   |     | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   |     | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

**Sign Here**

Signature of officer or trustee

Date \_\_\_\_\_

PRESIDENT

**Title**

Preparer's  
signature

Firm's name (or yours  
if self-employed),  
address, and ZIP code

CLIFTON GUNDERSON LLP  
9339 PRIORITY WY W DR STE 200  
INDIANAPOLIS, IN 46240-6429

Date \_\_\_\_\_

2/10/10

Check if self-employed

Preparer's identifying number

P00180031

EIN ▶ 37-0802863

Phone no. (317) 574-9100

THE LINDA BRAUN FOUNDATION, INC.

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	500 SHS STRYKER CORP.	P	04/15/09	04/23/09
b	500 SHS DOVER CORP.	P	04/09/09	05/28/09
c	500 SHS WELLS FARGO CO.	P	04/09/09	06/19/09
d	550 SHS SMITH INTL' INC.	P	04/09/09	09/21/09
e	150 SHS BURLINGTON NORTHERN SANTA FE	P	04/09/09	11/05/09
f	100 SHS MONSANTO CO.	P	04/09/09	11/13/09
g	700 SHS CHESAPEAKE ENERGY CORP.	P	04/09/09	12/10/09
h	CAPITAL GAINS DIVIDENDS			
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	18,592.		17,345.	1,247.
b	15,186.		15,810.	-624.
c	11,895.		8,917.	2,978.
d	15,468.		13,019.	2,449.
e	14,547.		9,953.	4,594.
f	7,390.		8,296.	-906.
g	16,081.		14,319.	1,762.
h	591.			591.
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,247.
b			-624.
c			2,978.
d			2,449.
e			4,594.
f			-906.
g			1,762.
h			591.
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	12,091.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	AMOUNT
KEY PRIVATE BANK	4,337.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	4,337.

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
KEY PRIVATE BANK	22,705.	591.	22,114.
TOTAL TO FM 990-PF, PART I, LN 4	22,705.	591.	22,114.

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FORM 990-PF OTHER INCOME STATEMENT 3

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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	605.	605.	
TOTAL TO FORM 990-PF, PART I, LINE 11	605.	605.	

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FORM 990-PF ACCOUNTING FEES STATEMENT 4

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,704.	0.		1,704.
TO FORM 990-PF, PG 1, LN 16B	1,704.	0.		1,704.

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FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	7,621.	7,621.		0.
TO FORM 990-PF, PG 1, LN 16C	7,621.	7,621.		0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	6
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US TREASURY BONDS (SEE ATTACHED)	X		75,170.	75,258.
TOTAL U.S. GOVERNMENT OBLIGATIONS			75,170.	75,258.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			75,170.	75,258.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITIES (SEE ATTACHED)	476,398.	629,408.
TOTAL TO FORM 990-PF, PART II, LINE 10B	476,398.	629,408.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS (SEE ATTACHED)	205,034.	207,216.
TOTAL TO FORM 990-PF, PART II, LINE 10C	205,034.	207,216.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS (SEE ATTACHED)	COST	569,855.	708,292.
TOTAL TO FORM 990-PF, PART II, LINE 13		569,855.	708,292.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	10
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DREW P. BRAUN 620 BELLE ISLE AVENUE BELLEAIR BEACH, FL 33786	PRESIDENT 0.00	0.	0.	0.
CHERIE C. BRAUN-DIAZ 10216 FALCON TERRACE SEMINOLE, FL 33778	VICE PRESIDENT 0.00	0.	0.	0.
MELISSA S. HALEY 2467 OAK TREE CIRCLE WINAMAC, IN 46996	TREASURER 0.00	0.	0.	0.
BRENT A. BRAUN 2481 OAK TREE CIRCLE WINAMAC, IN 46996	SECRETARY 0.00	0.	0.	0.
TODD W. BRAUN 112 BYERS CREEK MOORESVILLE, NC 28117	BOARD OF DIRECTOR MEMBER 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.



# Account Statement

THE LINDA BRAUN FDN INC M/AG 20-10-225-1630280

December 1, 2009 - December 31, 2009

## Holdings Detail-Principal Assets

EQUITIES	Description	Quantity	Unit price	Market value	Cost per unit	Tax cost	Unrealized gain/loss	Estimated annual income	Current market yield (%)
COMMON STOCK - BASIC INDUSTRY									
CHEMICAL									
AIR PRODUCTS & CHEMICALS INC									
	APD	150.00	81.06	12,159.00	60.24	9,036.00	3,123.00	270	2.22
	Acquired 04-09-2009					\$9,036.00	\$3,123.00	\$270	2.22
TOTAL CHEMICAL									
METALS AND MINING									
BHP BILLITON LTD									
	SPONS ADR	200.00	76.58	15,316.00	48.88	9,376.00	5,940.00	328	2.14
	BHP					\$9,376.00	\$5,940.00	\$328	2.14
	Acquired 04-09-2009								
TOTAL METALS AND MINING									
PAPER AND FOREST PRODUCTS									
	SEALED AIR CORP	300.00	21.86	6,558.00	22.34	6,701.49	-143.49	144	2.20
	SEE					\$6,701.49	-\$143.49	\$144	2.20
	Acquired 11-17-2009								
TOTAL PAPER AND FOREST PRODUCTS									
TOTAL BASIC INDUSTRY									
COMMON STOCK - CAPITAL GOODS									
MACHINERY AND MANUFACTURING									
	EATON CORP	350.00	63.62	22,267.00	43.42	15,195.50	7,071.50	700	3.14
	ETN								
	Acquired 04-09-2009								



# Account Statement

THE LINDA BRAUN FDN INC M/AG 20-10-225-1630280

December 1, 2009 - December 31, 2009

## Holdings Detail-Principal Assets

EQUITIES									
Description	Quantity	Unit price	Market value	Cost per unit	Tax cost	Unrealized gain/loss	Estimated annual income	Current market yield (%)	
ILLINOIS TOOL WKS INC									
ITW									
Acquired 04-09-2009	400.00	47.99	19,196.00	32.15	12,862.00	6,334.00	496	2.58	
<b>TOTAL MACHINERY AND MANUFACTURING</b>			<b>\$41,463.00</b>		<b>\$28,057.50</b>	<b>\$13,405.50</b>	<b>\$1,196</b>	<b>2.88</b>	
MISC-INDUSTRIAL									
GENERAL ELEC CO									
GE									
Acquired 04-09-2009	650.00	15.13	9,834.50	11.45	7,442.50	2,392.00	260	2.64	
UNITED TECHNOLOGIES CORP									
UTX									
Acquired 04-09-2009	300.00	69.41	20,823.00	46.98	14,094.99	6,728.01	462	2.22	
<b>TOTAL MISC-INDUSTRIAL</b>			<b>\$30,657.50</b>		<b>\$21,537.49</b>	<b>\$9,120.01</b>	<b>\$722</b>	<b>2.35</b>	
<b>TOTAL CAPITAL GOODS</b>			<b>\$72,120.50</b>		<b>\$49,594.99</b>	<b>\$22,525.51</b>	<b>\$1,918</b>	<b>2.66</b>	
COMMON STOCK - CONSUMER CYCLICALS									
AUTOMOTIVE									
JOHNSON CONTROLS INC									
JCI									
Acquired 04-09-2009	700.00	27.24	19,068.00	16.42	11,494.00	7,574.00	364	1.91	
<b>TOTAL AUTOMOTIVE</b>			<b>\$19,068.00</b>		<b>\$11,494.00</b>	<b>\$7,574.00</b>	<b>\$364</b>	<b>1.91</b>	
HOME PRODUCTS									
SHERWIN WILLIAMS CO									
SHW									
Acquired 04-09-2009	350.00	61.65	21,577.50	53.43	18,702.01	2,875.49	497	2.30	
<b>TOTAL HOME PRODUCTS</b>			<b>\$21,577.50</b>		<b>\$18,702.01</b>	<b>\$2,875.49</b>	<b>\$497</b>	<b>2.30</b>	



# Account Statement

THE LINDA BRAUN FDN INC M/AG 20-10-225-1630280

December 1, 2009 - December 31, 2009

## Holdings Detail-Principal Assets

EQUITIES		Quantity	Unit price	Market value	Cost per unit	Tax cost	Unrealized gain/loss	Estimated annual income	Current market yield (%)
RETAIL									
TARGET CORP									
TGT									
Acquired 04-09-2009		550.00	48.37	26,603.50	39.93	21,963.98	4,639.52	374	1.41
TOTAL RETAIL				\$26,603.50		\$21,963.98	\$4,639.52	\$374	1.41
TOTAL CONSUMER CYCLICALS				\$67,249.00		\$52,159.99	\$15,089.01	\$1,235	1.84
COMMON STOCK - CONSUMER STAPLES									
FOOD/BEVERAGE/MISC-STAPLES									
H J HEINZ CO									
HNZ									
Acquired 04-09-2009		500.00	42.76	21,380.00	34.08	17,038.00	4,342.00	840	3.93
PEPSICO INC									
PEP									
Acquired 04-09-2009		350.00	60.80	21,280.00	52.42	18,345.50	2,934.50	630	2.96
TOTAL FOOD/BEVERAGE/MISC-STAPLES				\$42,660.00		\$35,383.50	\$7,276.50	\$1,470	3.45
DRUGS									
CELGENE CORP									
CELG									
Acquired 12-03-2009		100.00	55.68	5,568.00	57.68	5,767.75	-199.75		0.00
TOTAL DRUGS				\$5,568.00		\$5,767.75	-\$199.75		0.00
HEALTH CARE									
ABBOTT LABS									
ABT									
Acquired 04-09-2009		350.00	53.99	18,896.50	42.97	15,039.50	3,857.00	560	2.96



# Account Statement

THE LINDA BRAUN FDN INC M/AG 20-10-225-1630280

December 1, 2009 - December 31, 2009

## Holdings Detail-Principal Assets

EQUITIES									
Description	Quantity	Unit price	Market value	Cost per unit	Tax cost	Unrealized gain/loss	Estimated annual income	Current market yield (%)	
BAXTER INTERNATIONAL INC									
BAX									
Acquired 04-09-2009	400.00	58.68	23,472.00	49.82	19,930.00	3,542.00	464	1.98	
JOHNSON & JOHNSON									
JNJ									
Acquired 04-09-2009	300.00	64.41	19,323.00	51.34	15,402.00	3,921.00	588	3.04	
MEDTRONIC INC									
MDT									
Acquired 04-09-2009	600.00	43.98	26,388.00	30.96	18,576.00	7,812.00	492	1.86	
TOTAL HEALTH CARE			\$88,079.50		\$68,947.50	\$19,132.00	\$2,104	2.39	
SOAPS/PERSONAL CARE									
COLGATE PALMOLIVE CO									
CL									
Acquired 04-09-2009	300.00	82.15	24,645.00	59.68	17,904.00	6,741.00	528	2.14	
TOTAL SOAPS/PERSONAL CARE			\$24,645.00		\$17,904.00	\$6,741.00	\$528	2.14	
TOTAL CONSUMER STAPLES			\$160,952.50		\$128,002.75	\$32,949.75	\$4,102	2.55	
COMMON STOCK - ENERGY/UTILITIES									
ELECTRICS & NATURAL GAS									
PEABODY ENERGY CORP									
BTU									
Acquired 04-09-2009	450.00	45.21	20,344.50	28.56	12,853.98	7,490.52	126	0.62	
TOTAL ELECTRICS & NATURAL GAS			\$20,344.50		\$12,853.98	\$7,490.52	\$126	0.62	



# Account Statement

THE LINDA BRAUN FDN INC M/AG 20-10-225-1630280

December 1, 2009 - December 31, 2009

## Holdings Detail-Principal Assets

EQUITIES									
Description	Quantity	Unit price	Market value	Cost per unit	Tax cost	Unrealized gain/loss	Estimated annual income	Current market yield (%)	
OIL									
BP PLC									
SPONS ADR									
BP									
Acquired 04-09-2009	300.00	57.97	17,391.00	39.16	11,748.00	5,643.00	1,008	5.80	
EXXON MOBIL CORP									
XOM									
Acquired 04-09-2009	150.00	68.19	10,228.50	69.88	10,482.00	-253.50	252	2.46	
SCHLUMBERGER LTD									
FGN COM									
SLB									
Acquired 04-09-2009	250.00	65.09	16,272.50	44.14	11,035.50	5,237.00	210	1.29	
TOTAL OIL			\$43,892.00		\$33,265.50	\$10,626.50	\$1,470	3.35	
TOTAL ENERGY/UTILITIES			\$84,236.50		\$46,119.48	\$18,117.02	\$1,596	2.48	
COMMON STOCK - FINANCIAL									
BANKS									
BANK OF NEW YORK MELLON CORP									
BK									
Acquired 04-09-2009	450.00	27.97	12,586.50	28.78	12,951.00	-364.50	162	1.29	
PNC FINANCIAL SERVICES GROUP INC									
PNC									
Acquired 04-09-2009	450.00	52.79	23,755.50	35.82	16,117.02	7,638.48	180	0.76	
TOTAL BANKS			\$36,342.00		\$29,068.02	\$7,273.98	\$342	0.94	



# Account Statement

THE LINDA BRAUN FDN INC M/AG 20-10-225-1630280

December 1, 2009 - December 31, 2009

## Holdings Detail-Principal Assets

EQUITIES	Description	Quantity	Unit price	Market value	Cost per unit	Tax cost	Unrealized gain/loss	Estimated annual income	Current market yield (%)
FINANCIAL SERVICES									
	JP MORGAN CHASE & CO JPM	450.00	41.67	18,751.50	30.83	13,873.50	4,878.00	90	0.48
	Acquired 04-09-2009								
	T ROWE PRICE GROUP INC TROW	450.00	53.25	23,982.50	31.37	14,116.01	9,846.49	450	1.88
	Acquired 04-09-2009			\$42,714.00		\$27,989.51	\$14,724.49	\$540	1.26
TOTAL FINANCIAL SERVICES				\$79,056.00		\$57,057.53	\$21,998.47	\$982	1.12
COMMON STOCK - TECHNOLOGY									
COMMUNICATION SERVICES									
	CISCO SYS INC CSCO	750.00	23.94	17,955.00	18.02	13,515.00	4,440.00		0.00
	Acquired 04-09-2009								
	TELEFONICA S A SPONS ADR TEF	200.00	83.52	16,704.00	59.86	11,973.00	4,731.00	689	4.13
	Acquired 04-09-2009								
	VERIZON COMMUNICATIONS INC VZ	500.00	33.13	16,565.00	32.05	16,024.00	541.00	950	5.74
	Acquired 04-09-2009			\$51,224.00		\$41,512.00	\$9,712.00	\$1,639	3.20
TOTAL COMMUNICATION SERVICES									
COMPUTER SOFTWARE/HARDWARE & SERVICES									
	HEWLETT PACKARD CO HPQ	400.00	51.51	20,604.00	34.27	13,708.00	6,896.00	128	0.62
	Acquired 04-09-2009								



page 13 of 27





# Account Statement

THE LINDA BRAUN FDN INC M/AG 20-10-225-1630280

December 1, 2009 - December 31, 2009

## Holdings Detail-Principal Assets

EQUITIES		Quantity	Unit price	Market value	Cost per unit	Tax cost	Unrealized gain/loss	Estimated annual income	Current market yield (%)
MICROSOFT CORP									
MSFT		350.00	30.48	10,668.00	19.30	6,755.00	3,913.00	182	1.71
Acquired 04-09-2009									
ORACLE CORP									
ORCL		700.00	24.53	17,171.00	19.16	13,408.99	3,762.01	140	0.82
Acquired 04-09-2009									
TOTAL COMPUTER SOFTWARE/HARDWARE & SERVICES				\$48,443.00		\$33,871.99	\$14,571.01	\$450	0.93
ELECTRONICS									
INTEL CORP									
INTC		900.00	20.40	18,360.00	15.91	14,319.00	4,041.00	504	2.75
Acquired 04-09-2009									
QUALCOMM INC									
QCOM		350.00	46.26	16,191.00	41.44	14,504.74	1,686.26	238	1.47
Acquired 04-09-2009									
XILINX INC									
XLNX		700.00	25.06	17,542.00	20.20	14,143.01	3,398.99	448	2.55
Acquired 04-09-2009									
TOTAL ELECTRONICS				\$52,093.00		\$42,966.75	\$9,126.25	\$1,190	2.28
TOTAL TECHNOLOGY				\$151,760.00		\$118,350.74	\$33,409.26	\$3,279	2.16
TOTAL COMMON STOCK				\$829,407.50		\$478,398.97	\$153,008.53	\$13,764	2.18



# Account Statement

THE LINDA BRAUN FDN INC M/AG 20-10-225-1630280  
December 1, 2009 - December 31, 2009

## Holdings Detail-Principal Assets

EQUITIES	Description	Quantity	Unit price	Market value	Cost per unit	Tax cost	Unrealized gain/loss	Estimated annual income	Current market yield (%)
EQUITY FUNDS									
MUTUAL FUNDS									
	HARBOR INTERNATIONAL FD	2,726.281	54.87	149,591.04	36.68	100,000.00	49,591.04	1,922	1.28
	OPEN-END FUND								
	HAINX								
	ISHARES S&P GSCI COMMODITY INDEX	700.00	31.82	22,274.00	26.27	18,389.00	3,885.00		0.00
	CLOSED-END FUND								
	JANUS PERKINS MID CAP VALUE FD	2,956.636	19.80	58,541.39	15.22	45,000.00	13,541.39	106	0.18
	OPEN-END FUND CL J								
	JMCVX								
	MUNDER MIDCAP CORE GROWTH FUND	4,357.931	22.68	98,837.88	17.21	75,000.00	23,837.88	357	0.36
	OPEN-END FUND CL Y								
	MGYX								
	PIMCO COMMODITY REALRETURN STRAT	3,607.716	8.28	29,871.89	7.17	25,859.28	4,012.61	1,789	5.99
	OPEN-END FUND INSTL CL								
	PCRIX								





# Account Statement

THE LINDA BRAUN FDN INC M/AG 20-10-225-1630280  
December 1, 2009 - December 31, 2009

## Holdings Detail-Principal Assets

EQUITIES	Description	Quantity	Unit price	Market value	Cost per unit	Tax cost	Unrealized gain/loss	Estimated annual income	Current market yield (%)
	POWERSHARES DB COMMODITY INDEX	700.00	24.62	17,234.00	20.87	14,609.00	2,625.00		0.00
	CLOSED-END FUND								
	ROYCE SPECIAL EQUITY FUND	2,194.587	17.50	38,405.27	13.67	30,000.00	8,405.27	158	0.41
	OPEN-END FUND								
	RYSEX								
	WELLS FARGO ADV SMALL CAP GROWTH	5,625.000	11.30	63,562.50	8.00	45,000.00	18,562.50		0.00
	OPEN-END FUND CL A								
	MNSCX								
TOTAL MUTUAL FUNDS				\$478,317.97		\$353,857.28	\$124,460.69	\$4,333	0.91
TOTAL EQUITY FUNDS				\$478,317.97		\$353,857.28	\$124,460.69	\$4,333	0.91
TOTAL EQUITIES				\$1,107,725.47		\$830,256.25	\$277,469.22	\$18,088	1.63

FIXED INCOME	Description	Quantity	Unit price	Market value	Cost per unit	Tax cost	Unrealized gain/loss	Estimated annual income	Current market yield (%)
AGENCIES									
FEDERAL HOME LOAN BANKS									
FEDERAL HOME LN BANKS									
DEB									
DTD 04/08/09 1.25% DUE 10/08/10		25,000.00	100.53	25,132.75	100.40	25,100.00	32.75	313	1.24
Acquired 04-09-2009									
FEDERAL HOME LN BANKS									
DEB									
DTD 02/17/09 1.05% DUE 05/28/10		25,000.00	100.37	25,093.75	100.07	25,019.75	74.00	263	1.05
Acquired 04-09-2009									



# Account Statement

THE LINDA BRAUN FDN INC M/AG 20-10-225-1630280

December 1, 2009 - December 31, 2009

## Holdings Detail-Principal Assets

FIXED INCOME												
Description	Quantity	Unit price	Market value	Cost per unit	Tax cost	Unrealized gain/loss	Estimated annual income	Current market yield (%)				
FEDERAL HOME LN BANKS												
DEB SER 1												
DTD 02/23/09 1.05% DUE 02/23/10	25,000.00	100.12	25,031.25	100.20	25,050.00	-18.75	263	1.05				
Acquired 04-09-2009			\$75,257.75		\$75,169.75	\$88.00	\$838	1.11				
TOTAL FEDERAL HOME LOAN BANKS			\$75,257.75		\$75,169.75	\$88.00	\$838	1.11				
TOTAL AGENCIES												
CORPORATE BONDS												
INDUSTRIAL - CORP BONDS												
ABBOTT LABORATORIES												
NOTE												
DTD 03/18/04 3.75% DUE 03/15/11	50,000.00	103.30	51,652.50	103.33	51,668.00	-15.50	1,875	3.63				
Acquired 04-09-2009												
PFIZER INC												
SENIOR NT												
DTD 03/24/09 4.45% DUE 03/15/12	50,000.00	105.76	52,882.50	104.61	52,305.00	577.50	2,225	4.21				
Acquired 04-14-2009												
PRAXAIR INC												
NOTE												
DTD 11/16/09 1.75% DUE 11/15/12	50,000.00	99.03	49,516.50	100.52	50,260.00	-743.50	875	1.77				
Acquired 11-17-2009			\$154,051.50		\$154,233.00	-\$181.50	\$4,975	3.23				
TOTAL INDUSTRIAL - CORP BONDS												



# Account Statement

THE LINDA BRAUN FDN INC M/AG 20-10-225-1630280

December 1, 2009 - December 31, 2009

## Holdings Detail-Principal Assets

FIXED INCOME	Description	Quantity	Unit price	Market value	Cost per unit	Tax cost	Unrealized gain/loss	Estimated annual income	Current market yield (%)
UTILITIES - CORP BONDS									
AT&T INC									
NOTE									
DTD 02/03/09 4.85% DUE 02/15/14									
Acquired 04-09-2009									
TOTAL UTILITIES - CORP BONDS		50,000.00	106.32	53,164.50	101.60	50,800.50	2,364.00	2,425	4.56
TOTAL CORPORATE BONDS				\$53,164.50		\$50,800.50	\$2,364.00	\$2,425	4.56
TOTAL CORPORATE BONDS				\$207,216.00		\$205,033.50	\$2,182.50	\$7,400	3.57
FIXED INCOME FUNDS									
MUTUAL FUNDS									
GOLDMAN SACHS HIGH YIELD FUND									
OPEN-END FUND INSTL CL									
GSHIX									
ISHARES BARCLAYS TIPS BOND FUND									
CLOSED-END FUND									
PIMCO FUNDS-TOTAL RETURN FUND									
OPEN-END FUND INSTL CL									
PTTRX									
TOTAL MUTUAL FUNDS				\$229,973.75		\$215,998.00	\$13,975.75	\$11,577	5.03
TOTAL FIXED INCOME FUNDS				\$229,973.75		\$215,998.00	\$13,975.75	\$11,577	5.03
TOTAL FIXED INCOME				\$512,447.50		\$496,201.25	\$16,246.25	\$19,815	3.87