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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 01-01-2009 , and ending 12-31-2009

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
KINNIE FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
12915 NW SKYLINE BLVD

City or town, state, and ZIP code
PORTLAND, OR 97231

A Employer identification number
26-0015458

B Telephone number (see page 10 of the instructions)
(503) 645-9931

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 3,413,544

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	11,120			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	9,288	9,288		
	4	Dividends and interest from securities	87,384	87,384		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-112,406			
	b	Gross sales price for all assets on line 6a 787,864				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	6,674	6,674		
	12	**Total.** Add lines 1 through 11	2,060	103,346		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	1,690			1,690
	c	Other professional fees (attach schedule)	13,733	13,733		13,733
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)	1,220	1,220		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	828	440		388
	24	**Total operating and administrative expenses.** Add lines 13 through 23	17,471	15,393		15,811
	25	Contributions, gifts, grants paid	181,400			181,400
	26	**Total expenses and disbursements.** Add lines 24 and 25	198,871	15,393		197,211
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-196,811			
	b	**Net investment income** (if negative, enter -0-)		87,953		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	374,645	70,646	70,655
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,811,440	1,988,267	2,234,195
	c	Investments—corporate bonds (attach schedule)	1,012,416	843,425	870,779
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	229,715	287,025	237,915
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	3,428,216	3,189,363	3,413,544
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	3,428,216	3,189,363	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	3,428,216	3,189,363	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	3,428,216	3,189,363	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,428,216
2	Enter amount from Part I, line 27a	2	-196,811
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,231,405
5	Decreases not included in line 2 (itemize) ▶	5	42,042
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,189,363

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-112,406
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	-451

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	200,661	3,647,133	0 055019
2007	181,682	4,131,219	0 043978
2006	161,670	3,816,865	0 042357
2005	126,650	3,470,768	0 036490
2004	10,000	3,267,915	0 003060

2	**Total** of line 1, column (d).	2	0 180904
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 036181
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5.	4	3,010,417
5	Multiply line 4 by line 3.	5	108,920
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	880
7	Add lines 5 and 6.	7	109,800
8	Enter qualifying distributions from Part XII, line 4.	8	197,211

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ____ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	880
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	880
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	880
6	Credits/Payments			
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a 960		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	960
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	80
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 80	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ____ **(2)** On foundation managers ▶ $ ____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ OR			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	Yes	

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶D CRAIG KINNIE Telephone no ▶(503) 645-9931

Located at ▶12915 NW SKYLINE BLVD PORTLAND OR ZIP+4 ▶97231

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☐ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
D CRAIG KINNIE 12915 NW SKYLINE BLVD PORTLAND, OR 97231	PRES/TREAS 40 00	0	0	0
CYNTHIA A KINNIE 12915 NW SKYLINE BLVD PORTLAND, OR 97231	V PRES/ SEC 40 00	0	0	0
KRISTIN KINNIE 4066 SE SPRING ST HILLSBORO, OR 97123	DIRECTOR 8 00	0	0	0
RYAN KINNIE 3010 SE OAK ST HILLSBORO, OR 97123	DIRECTOR 8 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	

Total. Add lines 1 through 3. . . . . ▶

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	2,642,846
b	Average of monthly cash balances		1b	302,569
c	Fair market value of all other assets (see page 24 of the instructions)		1c	237,915
d	**Total** (add lines 1a, b, and c)		1d	3,183,330
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	Subtract line 2 from line 1d		3	3,183,330
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)		4	172,913
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	3,010,417
6	**Minimum investment return.** Enter 5% of line 5		6	150,521

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	150,521
2a	Tax on investment income for 2009 from Part VI, line 5	2a 880		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	880
3	Distributable amount before adjustments Subtract line 2c from line 1		3	149,641
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	149,641
6	Deduction from distributable amount (see page 25 of the instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	149,641

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	197,211
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	197,211
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	880
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	196,331

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				149,641
2 Undistributed income, if any, as of the end of 2008				
a Enter amount for 2008 only.			181,365	
b Total for prior years 20___ , 20___ , 20___				
3 Excess distributions carryover, if any, to 2009				
a From 2004.				
b From 2005.				
c From 2006.				
d From 2007.				
e From 2008.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 197,211				
a Applied to 2008, but not more than line 2a			181,365	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .				
d Applied to 2009 distributable amount.				15,846
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				133,795
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2005. . . .				
b Excess from 2006. . . .				
c Excess from 2007. . . .				
d Excess from 2008. . . .				
e Excess from 2009. . . .				

Part XIV **Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	**(b)** 2008	**(c)** 2007	**(d)** 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

D CRAIG CYNTHIA A KINNIE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

D CRAIG KINNIE
12915 NW SKYLINE BLVD
PORTLAND,OR 97231

b The form in which applications should be submitted and information and materials they should include

NAME OF CHARITABLE ORGANIZATION ADDRESS EXEMPT ORGANIZATION STATUS UNDER IRC 170(B)(1)(A) AMOUNT OF DONATION REQUEST CONTACT INFORMATION

c Any submission deadlines

CHARITIES TO RECEIVE GRANTS WILL BE CHOSEN BY THE DIRECTORS AT MEETINGS HELD AT LEAST ANNUALLY

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				181,400
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	9,288	
4 Dividends and interest from securities. . . .			14	87,384	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					6,674
8 Gain or (loss) from sales of assets other than inventory			25	-112,406	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				-15,734	6,674

13 **Total.** Add line 12, columns (b), (d), and (e). . . . . 13 -9,060

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		No
	(2) Other assets	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
	(3) Rental of facilities, equipment, or other assets	1b(3)		No
	(4) Reimbursement arrangements	1b(4)		No
	(5) Loans or loan guarantees	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2010-05-07 | ******

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's Signature	Date	Check if self-employed	Preparer's identifying number (see **Signature** on page 30 of the instructions)
JAMIE L ZOLEZZI	2010-07-30	☐	

Firm's name (or yours if self-employed), address, and ZIP code: JONES & ROTH PC, 5635 NE ELAM YOUNG PKWY STE 100, HILLSBORO, OR 971246488

EIN ▶

Phone no (503) 648-0521

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of organization	**Employer identification number**
KINNIE FAMILY FOUNDATION	26-0015458

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule—

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
KINNIE FAMILY FOUNDATION

Employer identification number
26-0015458

Part I **Contributors** (see Instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	D CRAIG CYNTHIA A KINNIE 12915 NW SKYLINE BLVD PORTLAND, OR 97231	$ 11,120	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization
KINNIE FAMILY FOUNDATION

Employer identification number
26-0015458

Part II **Noncash Property** (see Instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	4000 INTEL SHARES	$ 81,440	2009-12-21
		$	
		$	
		$	
		$	
		$	

Name of organization
KINNIE FAMILY FOUNDATION

Employer identification number
26-0015458

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than $1,000 for the year.** (Complete columns **(a)** through **(e) and** the following line entry)
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Software ID: 09000034
Software Version: 09530951
EIN: 26-0015458
Name: KINNIE FAMILY FOUNDATION

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
SEE ATTAHCED - US TRUST	P		
SEE ATTACHED - US TRUST	P		
SEE ATTACHED - US TRUST	P		
SEE ATTACHED - US TRUST	P		
POWERSHARES DB COMMODITY INDEX K-1	P		
POWERSHARES DB COMMODITY INDEX K-1	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
92,986		91,113	1,873
596,325		711,342	-115,017
44,294		47,368	-3,074
50,938		48,340	2,598
750			750
		2,107	-2,107

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			1,873
			-115,017
			-3,074
			2,598
			750
			-2,107

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NORTH CLACKAMAS EDUCATION 12300 SE MALLARD WAY MILWAUKIE,OR 97222			CHARITABLE GIFT	11,000
COMMUNITY ACTION ORG1001 SW BASELINE HILLSBORO,OR 97123			CHARITABLE GIFT	10,000
PORTLAND RESCUE MISSION34 NW 1ST AVE PORTLAND,OR 97209			CHARITABLE GIFT	10,000
CLACLAMAS WOMEN'S SERV5114 SE MELDRUM AVE MILWAUKIE,OR 97267			CHARITABLE GIFT	10,000
DRESS FOR SUCCESS OREGON6312 SW CAPITOL HWY 432 PORTLAND,OR 97239			CHARITABLE GIFT	5,000
HERTEL CENTER FOOD BANK447 SE 3RD HILLSBORO,OR 97123			CHARITABLE GIFT	15,000
CLACKAMAS SERVICE CENTER8800 SE 80TH AVE CLACKAMAS,OR 97015			CHARITABLE GIFT	10,000
BOYS & GIRLS AID SOCIETY018 SW BOUNDARY CT PORTLAND,OR 97239			CHARITABLE GIFT	10,000
OUTSIDE IN1132 SW 13TH AVE PORTLAND,OR 97205			CHARITABLE GIFT	10,000
SONRISE BAPTIST CHURCH770 NE ROGAHN ST HILLSBORO,OR 97124			CHARITABLE GIFT	5,000
OHSU3181 SW SAM JACKSON RD PORTLAND,OR 97239			CHARITABLE GIFT	10,000
PARKINSONS RESOURCES OF O 3181 SW SAM JACKSON RD PORTLAND,OR 97239			CHARITABLE GIFT	5,000
CANDLELIGHTERS - PORTLANDPO BOX 2277 PORTLAND,OR 97208			CHARITABLE GIFT	15,400
OREGON STATE PARKS TRUSTPO BOX 581 BEND,OR 97709			CHARITABLE GIFT	10,000
HILLSBORO SCHOOLS FOUNDATPO BOX 205 HILLSBORO,OR 97124			CHARITABLE GIFT	10,000
Total . . . . ▶ **3a**				181,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MEDICAL TEAMS INTERNATION 14150 SW MILTON COURT PORTLAND, OR 97224			CHARITABLE GIFT	20,000
MERCY CORPS3015 SW 1ST AVE PORTLAND, OR 97201			CHARITABLE GIFT	15,000
Total . . . . ▶ **3a**				181,400

TY 2009 Accounting Fees Schedule

Name: KINNIE FAMILY FOUNDATION

EIN: 26-0015458

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT ACCOUNTING FEES	1,690			1,690

TY 2009 Investments Corporate Bonds Schedule

Name: KINNIE FAMILY FOUNDATION

EIN: 26-0015458

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED - US TRUST	843,425	870,779

TY 2009 Investments Corporate Stock Schedule

Name: KINNIE FAMILY FOUNDATION

EIN: 26-0015458

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED - US TRUST	1,988,267	2,234,195

TY 2009 Other Assets Schedule

Name: KINNIE FAMILY FOUNDATION

EIN: 26-0015458

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
US TRUST - TANGIBLE ASSET	81,771	147,842	155,859
US TRUST - REAL ESTATE FUND	147,944	139,183	82,056

TY 2009 Other Decreases Schedule

Name: KINNIE FAMILY FOUNDATION

EIN: 26-0015458

Description	Amount
OTHER DECREASES	42,042

TY 2009 Other Expenses Schedule

Name: KINNIE FAMILY FOUNDATION

EIN: 26-0015458

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
OREGON DEPARTMENT OF JUSTICE	388			388
POWERSHARES K-1	440	440		

TY 2009 Other Income Schedule

Name: KINNIE FAMILY FOUNDATION

EIN: 26-0015458

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
POWERSHARES K-1	6,674	6,674	

TY 2009 Other Professional Fees Schedule

Name: KINNIE FAMILY FOUNDATION

EIN: 26-0015458

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
US TRUST COMPANY MGMT FEES	13,733	13,733		13,733

TY 2009 Taxes Schedule

Name: KINNIE FAMILY FOUNDATION

EIN: 26-0015458

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,220	1,220		

Kinnie Family Foundation, Inc.
EIN: 26-0015458
Attachment for Form 990 for 2009
Explanation of amount on Line 4 of Part X

The cash deemed held for charitable activities was determined as follows:

Minimum distribution for charitable purposes due prior to 12/31/10 (estimated)	$155,900
Taxes due	1,213
Other expenses (estimated)	15,800
Total cash deemed held for charitable activities – line 4, Part X	$172,913

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Cash/Currency								
Cash Equivalents								
70,646.070	BANK OF AMERICA MONEY MARKET SAVINGS ACCOUNT	994458719	$70,646.07	$8.46	$70,646.07 1.000	$0.00	$105.97	0.2%
	Total Cash Equivalents		**$70,646.07**	**$8.46**	**$70,646.07**	**$0.00**	**$105.97**	**0.2%**
Total Cash/Currency			**$70,646.07**	**$8.46**	**$70,646.07**	**$0.00**	**$105.97**	**0.2%**
Equities								
Consumer Staples								
1,000.000	COCA COLA CO Ticker: KO	191216100	$57,000.00 57.000	$0.00	$44,906.30 44.906	$12,093.70	$1,640.00	2.9%
450.000	COSTCO WHSL CORP NEW Ticker: COST	22160K105	26,626.50 59.170	0.00	31,993.83 71.097	-5,367.33	324.00	1.2
	Total Consumer Staples		**$83,626.50**	**$0.00**	**$76,900.13**	**$6,726.37**	**$1,964.00**	**2.3%**
Energy								
525.000	APACHE CORP Ticker: APA	037411105	$54,164.25 103.170	$0.00	$25,429.50 48.437	$28,734.75	$315.00	0.6%
825.000	CHEVRON CORP Ticker: CVX	166764100	63,516.75 76.990	0.00	40,413.75 48.986	23,103.00	2,244.00	3.5
425.000	DEVON ENERGY CORP NEW Ticker: DVN	25179M103	31,237.50 73.500	0.00	10,248.38 24.114	20,989.12	272.00	0.9
500.000	EXXON MOBIL CORP Ticker: XOM	30231G102	34,095.00 68.190	0.00	38,718.00 77.436	-4,623.00	840.00	2.5
	Total Energy		**$183,013.50**	**$0.00**	**$114,809.63**	**$68,203.87**	**$3,671.00**	**2.0%**
Financials								
300.000	ACE LTD SWITZERLAND Ticker: ACE	H0023R105	$15,120.00 50.400	$93.00	$16,638.75 55.463	-$1,518.75	$372.00	2.5%

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
Financials (cont)								
850.000	AXIS CAP HLDGS LTD BERMUDA Ticker: AXS	G0692U109	24,148.50 28.410	178.50	24,722.25 29.085	-573.75	714.00	3.0
150.000	GOLDMAN SACHS GROUP INC Ticker: GS	38141G104	25,326.00 168.840	0.00	21,204.75 141.365	4,121.25	210.00	0.8
1,300.000	J P MORGAN CHASE & CO Ticker: JPM	46625H100	54,171.00 41.670	0.00	64,540.58 49.647	-10,369.58	260.00	0.5
	Total Financials		**$118,765.50**	**$271.50**	**$127,106.33**	**-$8,340.83**	**$1,556.00**	**1.3%**
Health Care								
250.000	EXPRESS SCRIPTS INC Ticker: ESRX	302182100	$21,605.00 86.420	$0.00	$20,236.25 80.945	$1,368.75	$0.00	0.0%
600.000	GILEAD SCIENCES INC Ticker: GILD	375558103	25,962.00 43.270	0.00	27,572.88 45.955	-1,610.88	0.00	0 0
350.000	MEDCO HLTH SOLUTIONS INC Ticker: MHS	58405U102	22,368.50 63.910	0.00	19,846.23 56.704	2,522.27	0.00	0.0
175.000	TEVA PHARMACEUTICAL INDS LTD ADR ISRAEL Ticker: TEVA	881624209	9,831.50 56.180	0.00	9,242.63 52.815	588.87	84.35	0.9
425.000	THERMO FISHER SCIENTIFIC CORP Ticker: TMO	883556102	20,268.25 47.690	0.00	20,056.73 47.192	211.52	0.00	0.0
	Total Health Care		**$100,035.25**	**$0.00**	**$96,954.72**	**$3,080.53**	**$84.35**	**0.1%**
Industrials								
500.000	DEERE & CO Ticker: DE	244199105	$27,045.00 54.090	$140.00	$27,683.75 55.368	-$638.75	$560.00	2.1%
250.000	EMERSON ELEC CO Ticker: EMR	291011104	10,650.00 42.600	0.00	10,503.75 42.015	146.25	335.00	3.1
750.000	HONEYWELL INTL INC Ticker: HON	438516106	29,400.00 39.200	0.00	28,098.61 37.465	1,301.39	907.50	3.1

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
Industrials (cont)								
600.000	NORFOLK SOUTHERN CORP Ticker: NSC	655844108	31,452.00 52.420	0.00	24,353.07 40.588	7,098.93	816.00	2.6
275.000	TYCO INTL LTD NEW F COM SWITZERLAND Ticker: TYC	H89128104	9,812.00 35.680	0.00	10,022.35 36.445	-210.35	220.00	2.2
600 000	UNION PAC CORP Ticker: UNP	907818108	38,340.00 63.900	162.00	34,047.16 56.745	4,292.84	648.00	1.7
	Total Industrials		**$146,699.00**	**$302.00**	**$134,708.69**	**$11,990.31**	**$3,486.50**	**2.4%**
Information Technology								
1,000.000	ACCENTURE PLC CL A COM IRELAND Ticker: ACN	G1151C101	$41,500.00 41.500	$0.00	$37,005.00 37.005	$4,495.00	$750.00	1.8%
200.000	APPLE INC Ticker: AAPL	037833100	42,146.40 210.732	0.00	17,145.00 85.725	25,001.40	0.00	0.0
500.000	HEWLETT PACKARD CO Ticker: HPQ	428236103	25,755.00 51.510	40.00	25,041.50 50.083	713.50	160.00	0.6
6,200.000	INTEL CORP Ticker: INTC	458140100	126,480.00 20.400	0.00	17,236.00 2.780	109,244.00	3,472.00	2.7
1,750.000	ORACLE CORP Ticker: ORCL	68389X105	42,927.50 24.530	0.00	22,704.56 12.974	20,222.94	350.00	0.8
	Total Information Technology		**$278,808.90**	**$40.00**	**$119,132.06**	**$159,676.84**	**$4,732.00**	**1.7%**
Materials								
800.000	MONSANTO CO NEW COM Ticker: MON	61166W101	$65,400.00 81.750	$0.00	$52,178.05 65.223	$13,221.95	$848.00	1.3%
	Total Materials		**$65,400.00**	**$0.00**	**$52,178.05**	**$13,221.95**	**$848.00**	**1.3%**

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
Utilities								
1,350.000	AES CORP Ticker: AES	00130H105	$17,968.50 13.310	$0.00	$20,121.75 14.905	-$2,153.25	$0.00	0.0%
850.000	ATMOS ENERGY CORP Ticker: ATO	049560105	24,990.00 29.400	0.00	22,689.01 26 693	2,300.99	1,139.00	4.6
	Total Utilities		**$42,958.50**	**$0.00**	**$42,810.76**	**$147.74**	**$1,139.00**	**2.7%**
Other Equities								
4,813.021	ARTIO INTL EQUITY FUND CL I Ticker: JIEI X	04315J506	$135,919.71 28.240	$0.00	$200,000.00 41.554	-$64,080.29	$10,362.43	7.6%
6,120.105	COLUMBIA ACORN FUND CLASS Z SHARES Ticker: ACRN X	197199409	151,044.19 24.680	0.00	138,103.45 22.566	12,940.74	299.89	0.2
9,279.000	COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES Ticker: UMLG X	19765Y688	94,089.06 10.140	0.00	86,164.58 9.286	7,924.48	0.00	0.0
11,132.238	COLUMBIA SELECT SMALL CAP FUND CLASS Z SHARES Ticker: UMLC X	19765Y589	151,955.05 13.650	0.00	147,186.36 13.222	4,768.69	0.00	0.0
2,770.407	COLUMBIA VALUE AND RESTRUCTURING FUND CLASS Z SHARES Ticker: UMBI X	19765Y514	118,545.72 42.790	0.00	89,646.43 32.359	28,899.29	1,562.51	1.3
4,006.410	EATON VANCE STRUCTURED EMERGING MKTS FUND CL I Ticker: EIEM X	277923751	52,724.36 13.160	0.00	50,000.00 12.480	2,724.36	464.74	0.9
1,580.091	FIDELITY INVT TR DIVERSIFIED INTL FD Ticker: FDIV X	315910802	44,242.55 28.000	0.00	38,919.02 24.631	5,323.53	549.87	1.2
3,206.131	HARBOR INTERNATIONAL FUND INSTL CL Ticker: HAIN X	411511306	175,920.41 54.870	0.00	170,000.00 53.023	5,920.41	2,260.32	1.3

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
Other Equities (cont)								
3,289.817	LAZARD FUNDS INC EMERGING MKTS PORTFOLIO INSTL Ticker: LZEM X	52106N889	59,249.60 18.010	0.00	60,663.75 18.440	-1,414.15	1,529.76	2.6
5,624.000	VANGUARD INTL EQUITY INDEX FDS VANGUARD EMERGING MKTS ETF Ticker: VWO	922042858	230,584.00 41 000	0.00	242,983.28 43 205	-12,399.28	3,065.08	1.3
	Total Other Equities		**$1,214,274.65**	**$0.00**	**$1,223,666.87**	**-$9,392.22**	**$20,094.60**	**1.7%**
Total Equities			**$2,233,581.80**	**$613.50**	**$1,988,267.24**	**$245,314.56**	**$37,575.45**	**1.7%**
Fixed Income								
Investment Grade Taxable								
25,000.000	***2011*** GOLDMAN SACHS GROUP INC SR NT DTD 01/17/06 5.000% DUE 01/15/11 Moody's: A1 S&P: A	38141GEF7	$25,970.75 103.883	$576.38	$24,988.75 99.955	$982.00	$1,250.00	4 8% 1.2
25,000.000	***2012*** GENERAL ELEC CAP CORP MTN SER A DTD 02/15/02 5.875% DUE 02/15/12 Moody's: AA2 S&P: AA+	36962GXS8	26,784.25 107.137	554.86	26,209.25 104.837	575.00	1,468.75	5.5 2.3
27,542.934	COLUMBIA BOND FUND CLASS Z SHARES	19765Y886	252,844.13 9.180	767.53	250,185.11 9.083	2,659.02	9,832.83	3.9
39,514.397	COLUMBIA SHORT-INTERMEDIATE BOND FUND CLASS Z SHARES	19765Y555	284,898.80 7.210	843.65	276,528.66 6.998	8,370.14	10,510.83	3.7
1,509.000	ISHARES BARCLAYS AGGREGATE BD FUND	464287226	155,713.71 103.190	455.11	149,294.43 98.936	6,419.28	6,051.09	3.9
	Total Investment Grade Taxable		**$746,211.64**	**$3,197.53**	**$727,206.20**	**$19,005.44**	**$29,113.50**	**3.9%**

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
International Developed Bonds								
5,679.579	PIMCO FOREIGN BOND FUND UNHEDGED INSTL CL	722005220	$56,852.59 10.010	$0.00	$56,621.71 9.969	$230.88	$2,038.97	3.6%
	Total International Developed Bonds		**$56,852.59**	**$0.00**	**$56,621.71**	**$230.88**	**$2,038.97**	**3.6%**
Global High Yield Taxable								
500.000	ISHARES IBOXX $ HIGH YIELD CORP BD FUND	464288513	$43,920.00 87.840	$0.00	$37,990.00 75.980	$5,930.00	$4,164.00	9.5%
1,995.838	PIMCO EMERGING MKTS BD FUND	693391559	20,597.05 10.320	0.00	21,606.73 10.826	-1,009.68	1,293.30	6.3
	Total Global High Yield Taxable		**$64,517.05**	**$0.00**	**$59,596.73**	**$4,920.32**	**$5,457.30**	**8.5%**
Total Fixed Income			**$867,581.28**	**$3,197.53**	**$843,424.64**	**$24,156.64**	**$36,609.77**	**4.2%**
Real Estate								
Real Estate Private Ownership								
1,251.619	UST EXCELSIOR LASALLE PROPERTY FUND INC (VALUATION AS OF 09/30/09)	30Z998996	$82,056.14 65.560	$0.00	$139,182.81 111.202	-$57,126.67	$0.00	0.0%
	Total Real Estate Private Ownership		**$82,056.14**	**$0.00**	**$139,182.81**	**-$57,126.67**	**$0.00**	**0.0%**
Total Real Estate			**$82,056.14**	**$0.00**	**$139,182.81**	**-$57,126.67**	**$0.00**	**0.0%**
Tangible Assets								
Commodities								
18,823 567	PIMCO COMMODITY REALRETURN STRATEGY FUND	722005667	$155,859.13 8.280	$0.00	$147,842.39 7.854	$8,016.74	$9,336.49	6.0%
	Total Commodities		**$155,859.13**	**$0.00**	**$147,842.39**	**$8,016.74**	**$9,336.49**	**6.0%**
Total Tangible Assets			**$155,859.13**	**$0.00**	**$147,842.39**	**$8,016.74**	**$9,336.49**	**6.0%**

Units	Description	CUSIP	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Tangible Assets (cont)								
Commodities (cont)								
Total Portfolio			**$3,409,724.42**	**$3,819.49**	**$3,189,363.15**	**$220,361.27**	**$83,627.68**	**2.5%**

Accrued Income	$3,819.49
Total	**$3,413,543.91**

Short term transactions

This category includes all assets held 12 months or less.

Description	Cusip	Number of shares exchanged	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
MERCK AND CO INC	589331107	300	11/11/08	03/10/09	$6,649 02	$8,221 56	$-1,572 54
PFIZER INC	717081103	400	12/01/08	10/16/09	$7,102 34	$6,342 96	$759.38
JOHNSON AND JOHNSON	478160104	100	11/11/08	10/16/09	$6,044 34	$5,871 48	$172 86
ISHARES TR GOLDMAN SACHS CORP BD	464287242	700	01/05/09	12/22/09	$73,190 11	$70,677 25	$2,512 86
Total short term gain/loss from sales:					$92,985 81	$91,113 25	$1,872 56

Long term transactions

This category includes all assets held more than 12 months.

Description	Cusip	Number of shares exchanged	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
MORGAN J P & CO INC MEDIUM TERM	61688AAX6	25000	01/23/06	01/15/09	$25,000 00	$25,764 75	$-764 75
ALLSTATE CORP	020002101	100	01/06/06	03/04/09	$1,570 64	$5,499 50	$-3,928 86
ALLSTATE CORP	020002101	200	09/25/03	03/04/09	$3,141.28	$7,314 00	$-4,172 72
ALLSTATE CORP	020002101	300	04/09/03	03/04/09	$4,711 93	$10,604 35	$-5,892 42
INTUITIVE SURGICAL INC NEW	46120E602	75	02/12/08	03/04/09	$6,685 85	$22,631 79	$-15,945 94
ALLSTATE CORP	020002101	200	10/19/06	03/04/09	$3,141 28	$12,375 00	$-9,233 72
PRUDENTIAL FINL INC	744320102	400	07/31/07	05/06/09	$14,365 47	$36,428 12	$-22,062 65
AMAZON COM INC	023135106	100	02/05/08	05/11/09	$7,769 22	$7,266 94	$502 28

Long term transactions

This category includes all assets held more than 12 months.

Description	Cusip	Number of shares exchanged	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
AMAZON COM INC	023135106	200	09/13/07	05/11/09	$15,538 44	$17,561 00	$-2,022 56
AMAZON COM INC	023135106	200	10/15/07	05/11/09	$15,538 44	$18,210 48	$-2,672 04
PENNEY J C CO INC	708160106	500	07/14/06	05/12/09	$13,797 14	$32,227 50	$-18,430 36
COLUMBIA HIGH YIELD OPPORTUNITY	19765L553	9175 63	01/23/06	05/12/09	$30,187 81	$40,000 00	$-9,812 19
DICKS SPORTING GOODS INC	253393102	1000	02/05/08	05/13/09	$17,388 35	$31,405 50	$-14,017 15
TYCO INTL LTD	H89128104	950	05/01/08	05/13/09	$23,950 02	$42,822 39	$-18,872 37
NUCOR CORP	670346105	150	03/02/07	10/16/09	$6,797 07	$8,789 25	$-1,992 18
PFIZER INC	717081103	800	11/01/07	10/16/09	$14,204 67	$19,355.04	$-5,150 37
SCHLUMBERGER LTD	806857108	300	07/26/06	10/16/09	$20,599 00	$19,999 50	$599 50
TRAVELERS COS INC	89417E109	300	06/30/08	10/16/09	$14,506 46	$13,091 25	$1,415 21
TRAVELERS COS INC	89417E109	350	07/14/06	10/16/09	$16,924 20	$15,251 25	$1,672.95
NUCOR CORP	670346105	300	02/05/08	10/16/09	$13,594 15	$16,961 25	$-3,367 10
STARBUCKS CORP	855244109	400	10/29/03	11/19/09	$8,542 34	$6,230.00	$2,312 34
STARBUCKS CORP	855244109	100	01/06/06	11/19/09	$2,135 58	$3,179 50	$-1,043 92
STARBUCKS CORP	855244109	1000	04/09/03	11/19/09	$21,355 85	$12,782 60	$8,573 25
CHUBB CORP	171232101	650	07/14/06	11/19/09	$32,413 23	$32,002 75	$410 48
JACOBS ENGINEERING GROUP INC	469814107	200	06/30/08	11/19/09	$7,299 35	$16,147 50	$-8,848 15
WAL MART STORES INC	931142103	350	02/05/08	11/19/09	$18,995 76	$17,536 68	$1,459 08
DISNEY WALT CO	254687106	500	07/28/06	11/19/09	$15,077 96	$14,577 78	$500 18
ABBOTT LABS	002824100	350	08/21/07	11/19/09	$18,460 31	$18,260 83	$199.48
DISNEY WALT CO	254687106	600	05/17/06	11/19/09	$18,093 55	$17,733 14	$360 41
PUBLIC SVC CO OKLA SR NT SER B PFD	744533605	1000	11/22/02	12/08/09	$25,206 35	$25,000 00	$206.35
GENERAL ELEC CAP CORP PUBLIC	369622519	1000	11/15/02	12/08/09	$24,249 67	$25,575 00	$-1,325 33
WELLS FARGO CAP IV GTD CAP SECS	94976Y207	1000	11/20/02	12/08/09	$24,584 76	$26,080 00	$-1,495.24
ORACLE CORPORATION	68389X105	350	03/20/06	12/22/09	$8,521 30	$4,805.50	$3,715 80
ISHARES TR GOLDMAN SACHS CORP BD	464287242	350	12/08/08	12/22/09	$36,595 06	$32,512 17	$4,082 89

Long term transactions

This category includes all assets held more than 12 months.

Description	Cusip	Number of shares exchanged	Acquisition Date	Sale date	Gross proceeds less commissions	Tax cost	Net gain or loss
ISHARES TR GOLDMAN SACHS CORP BD	464287242	150	01/23/06	12/22/09	$15,683 60	$16,301 25	$-617 65
ISHARES TR GOLDMAN SACHS CORP BD	464287242	200	12/02/04	12/22/09	$20,911 46	$22,034 00	$-1,122 54
INTERNATIONAL BUSINESS MACHINES	459200101	100	11/11/08	12/22/09	$12,962 16	$8,112 95	$4,849 21
ORACLE CORPORATION	68389X105	650	03/08/05	12/22/09	$15,825.27	$8,911.50	$6,913 77
Total long term gain/loss from sales:					$596,324 98	$711,342 01	$-115,017 03

Cost not available

Description	Number of shares exchanged	Acquisition Date	Sale date	Gross proceeds less commissions	Tax Cost	Net gain or loss
PWRSH DB COMMODITY INDEX TRACKING FD	472	09/25/08	10/06/09	$10,453 30	Unknown	
PWRSH DB COMMODITY INDEX TRACKING FD	1254	09/25/08	10/06/09	$27,772 12	Unknown	
PWRSH DB COMMODITY INDEX TRACKING FD	1000	04/16/09	10/06/09	$22,146 83	Unknown	
PWRSH DB COMMODITY INDEX TRACKING FD	1000	08/06/09	10/06/09	$22,146 83	Unknown	
PWRSH DB COMMODITY INDEX TRACKING FD	574	09/25/08	10/06/09	$12,712 28	Unknown	
Total Cost not available:				$95,231 36		

Proshares DB Commodity Index Tracking Fund

Units Disposed	Acquisition Date	Disposition Date	Sales Proceeds	Original Purchase Amount	Cumulative Adjustment to Tax Basis	Total Basis	Total Gain (Loss)	Short-Term Capital Gain(Loss)	Long-Term Capital Gain(Loss)
574	9/25/2008	10/6/2009	12,712.28	20,340.85	(8,344.00)	11,996.85	715.43		715.43
1254	9/25/2008	10/6/2009	27,772.12	44,604.40	(18,228.00)	26,376.40	1,395.72		1,395.72
472	9/25/2008	10/6/2009	10,453.30	16,825.44	(6,859.00)	9,966.44	486.86		486.86
1000	4/16/2009	10/6/2009	22,146.83	20,350.00	2,994.00	23,344.00	(1,197.17)	(1,197.17)	
1000	8/6/2009	10/6/2009	22,146.83	23,550.00	474.00	24,024.00	(1,877.17)	(1,877.17)	
			95,231.36			95,707.69	(476.33)	(3,074.34)	2,598.01