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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 01-01-2009 , and ending 12-31-2009

G

Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation JOHN M HOPWOOD CHARITABLE TRUST		A Employer identification number 25-6022634	
	Number and street (or P O box number if mail is not delivered to street address) Room/suite PNC BANK 620 Liberty Avenue No 10thFL		B Telephone number (see page 10 of the instructions) (412) 762-3791	
	City or town, state, and ZIP code PITTSBURGH, PA 152222705		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 23,366,267		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	30,538	30,538		
	4 Dividends and interest from securities.	760,243	760,243		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-246,198			
	b Gross sales price for all assets on line 6a <u>2,799,357</u>				
	7 Capital gain net income (from Part IV , line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	3,024	0		
	12 Total. Add lines 1 through 11	547,607	790,781		
	13 Compensation of officers, directors, trustees, etc	164,164	114,866		49,298
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	31,069	24,855		6,214
	b Accounting fees (attach schedule)	700	0		700
	c Other professional fees (attach schedule)				
	17 Interest	2,021	2,021		0
	18 Taxes (attach schedule) (see page 14 of the instructions)	17,390	3,881		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	47,523	22,053		25,470
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	262,867	167,676		81,682
	25 Contributions, gifts, grants paid	828,000			828,000
	26 Total expenses and disbursements. Add lines 24 and 25	1,090,867	167,676		909,682
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-543,260			
	b Net investment income (if negative, enter -0-)		623,105		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	5,229,822	5,231,596	5,231,596
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	5,045,780	☒ 4,543,800	4,904,638
	b	Investments—corporate stock (attach schedule)	5,155,364	☒ 6,079,658	10,523,320
	c	Investments—corporate bonds (attach schedule).	3,358,246	☒ 2,390,898	2,706,713
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	18,789,212	18,245,952	23,366,267

Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	

Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	18,672,870	18,219,809	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	116,342	26,143	
	30	Total net assets or fund balances (see page 17 of the instructions)	18,789,212	18,245,952	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	18,789,212	18,245,952	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	18,789,212
2	Enter amount from Part I, line 27a	2	-543,260
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	18,245,952
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	18,245,952

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	class action proceeds	P		
b	SALES OF publicly traded SECURITIES	P		
c	short term capital gain distributions	P		
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,720		75	1,645
b 2,799,019		3,048,092	-249,073
c 338			338
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			1,645
b			-249,073
c			338
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-247,090
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	1,034,813	23,516,185	0 044004
2007	1,393,028	26,187,048	0 053195
2006	1,278,309	24,447,450	0 052288
2005	1,572,005	23,975,647	0 065567
2004	1,400,385	25,250,506	0 055460

2 Total of line 1, column (d).	2	0 270514
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 054103
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5.	4	21,604,262
5 Multiply line 4 by line 3.	5	1,168,855
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	6,231
7 Add lines 5 and 6.	7	1,175,086
8 Enter qualifying distributions from Part XII, line 4.	8	909,682

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	12,462
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	12,462
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	12,462
6		Credits/Payments			
a		2009 estimated tax payments and 2008 overpayment credited to 2009	6a	13,846	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	13,846
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	1,384
11		Enter the amount of line 10 to be Credited to 2010 estimated tax ☐ 1,384	Refunded ☐	11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☐ PA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of PNC BANK NA Telephone no (412) 762-3791 Located at 620 LIBERTY AVENUE 10TH FLOOR PITTSBURGH PA ZIP+4 152222705			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.		15	

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?. If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. Yes No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PNC BANK	CO-TRUSTEE	109,280	0	0
620 LIBERTY AVENUE PITTSBURGH, PA 15222	22 00			
WILLIAM T HOPWOOD	CO-TRUSTEE	54,884	0	0
46 HIGH STREET CAMDEN, ME 04843	11 00			

2

Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0
2	
All other program-related investments. See page 24 of the instructions.	
3 	0
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	21,933,261
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	21,933,261
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	21,933,261
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	328,999
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	21,604,262
6	Minimum investment return. Enter 5% of line 5.	6	1,080,213

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,080,213
2a	Tax on investment income for 2009 from Part VI, line 5.	2a	12,462
b	Income tax for 2009 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	12,462
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,067,751
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,067,751
6	Deduction from distributable amount (see page 25 of the instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,067,751

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	909,682
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	909,682
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	909,682
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,067,751
2 Undistributed income, if any, as of the end of 2008				
a Enter amount for 2008 only.			0	
b Total for prior years 20__ , 20__ , 20__		0		
3 Excess distributions carryover, if any, to 2009				
a From 2004.				35,251
b From 2005.				396,259
c From 2006.				77,122
d From 2007.				106,722
e From 2008.				
f Total of lines 3a through e.	615,354			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 909,682				
a Applied to 2008, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d Applied to 2009 distributable amount.				909,682
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	158,069			158,069
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	457,285			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .		0		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).	0			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions).	0			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	457,285			
10 Analysis of line 9				
a Excess from 2005.				273,441
b Excess from 2006.				77,122
c Excess from 2007.				106,722
d Excess from 2008.				
e Excess from 2009.				

Part XIVPrivate Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
(a) 2009	(b) 2008	(c) 2007	(d) 2006		
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

JOHN M HOPWOOD CHARITABLE TRUST PNC
ATTN CHARITABLE TR COMM 620 LIBERTY
AVE 25TH FLOOR
PITTSBURGH, PA 15222
(412) 762-3576

b

The form in which applications should be submitted and information and materials they should include

LETTER FORM - NO ELECTRONIC MEDIA (VIDEOS OR COMPUTER DISKS)

c

Any submission deadlines

SEPTEMBER 30TH

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

LIMITED TO CORPORATIONS OR ASSOCIATIONS ORGANIZED AND OPERATED EXCLUSIVELY FOR RELIGIOUS, CHARITABLE, SCIENTIFIC, LITERARY OR EDUCATIONAL PURPOSES

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	828,000
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions on page 28 to verify calculations)

Form **990-PF** (2009)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge					
	***** Signature of officer or trustee		2010-04-29 Date		***** Title	
	Paid Preparer's Use Only	Preparer's Signature		Date		Check if self-employed ☐ Preparer's identifying number (see Signature on page 30 of the instructions)
		Firm's name (or yours if self-employed), address, and ZIP code		EIN ☐		
			Phone no			

Additional Data

Software ID: 09000028
Software Version: 2009.03040
EIN: 25-6022634
Name: JOHN M HOPWOOD CHARITABLE TRUST

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALZHEIMER-PARKINSON ASSOCIATION2501 27TH AVENUE SUITE A-3 VERO BEACH,FL 32960	none	Public charity	GeNERAL CONTRIBUTION	5,000
AROOSTOCK MENTAL HEALTH SERVICESPO BOX 1018 CARIBOU,ME 04736	none	public charity	gENERAL CONTRIBUTION	10,000
BANK STREET SCHOOL610 WEST 112TH ST NEW YORK,NY 10025	none	Public charity	gENERAL CONTRIBUTION	15,000
BAT CONSERVATION INTERNATIONALPO BOX 162603 AUSTIN,TX 78716	None	Public charity	GENERAL CONTRIBUTION	20,000
CAMDEN HILLS HIGH SCHOOL25 KELLSON DR CAMDEN,ME 04843	none	Public charity	gENERAL CONTRIBUTION	30,000
CHEWONKI FOUNDATION INC485 CHEWONKI NECK ROAD WISCASSET,ME 04578	none	public charity	gENERAL CONTRIBUTION	30,000
CHILDCARE RESOURCES3622 WEST STREET WEIRTON,WV 260624581	None	Public charity	GENERAL CONTRIBUTION	10,000
CHILDCARE RESOURCES OF INDIAN1801 24TH STREET VERO BEACH,FL 32960	none	Public charity	gENERAL CONTRIBUTION	4,000
CLEAN WATER FUND OF NORTH CAROLINA29 1/2 PAGE Avenue BURNSVILLE,NC 28714	none	Public charity	gENERAL CONTRIBUTION	3,000
COASTAL MAINE BOTANICAL GARDENS132 BOTANICAL GARDEN DRIVE BOOTHBAY,ME 04537	none	public charity	gENERAL CONTRIBUTION	15,000
COASTAL MOUNTAINS LAND TRUST101 MOUNT BATTIE STREET CAMDEN,ME 04843	none	public charity	gENERAL CONTRIBUTION	20,000
COMMON GOOD VENTURES537 CONGRESS STREET SUITE 402 PORTLAND,ME 04101	None	Public charity	GENERAL CONTRIBUTION	10,000
COMMUNITY FOUNDATION OF JACKSON HOLE255 E SIMPSON STREET JACKSON,WY 83301	none	public charity	gENERAL CONTRIBUTION	3,000
DOWN EAST LAKES LAND TrustPO BOX 75 4 WATER ST GRAND LAKE STREAM,ME 04637	None	Public charity	GENERAL CONTRIBUTION	30,000
EDUCATION FOUNDATION OF IRC PO BOX 7046 VERO BEACH,FL 32960	none	scholarship	gENERAL CONTRIBUTION	3,000
Total ▶ 3a				828,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ENERGY CONSERVATION COUNCIL PO BOX 39 MARIANNA,PA 15345	none	public charity	gGENERAL CONTRIBUTION	20,000
ENVIRONMENT NORTHEAST8 SUMMER ST POB 583 ROCKPORT,ME 04856	None	Public charity	GENERAL CONTRIBUTION	25,000
ENVIRONMENTAL DEFENSE1875 CONNECTICUT AVE NW SUITE 600 WASHINGTON,DC 20009	none	public charity	gGENERAL CONTRIBUTION	30,000
ENVIRONMENTAL INTEGRITY PROJECT1920 L ST NW SUITE 800 WASHINGTON,DC 20036	None	Public charity	GENERAL CONTRIBUTION	35,500
ENVIRONMENTAL LEARNIng Center 255 Live Oak Dr VERO BEACH,FL 32963	none	public charity	gGENERAL CONTRIBUTION	4,000
GIFFORD YOUTH ACTIVITY CENTER4975 43RD AVENUE VERO BEACH,FL 32967	none	public charity	gGENERAL CONTRIBUTION	25,000
HIBISCUS CHILDREN'S CENTER INCPO BOX 305 JENSEN BEACH,FL 349580305	none	public charity	gGENERAL CONTRIBUTION	1,000
HOMELESS FAMILY CENTER INC 720 4TH STREET VERO BEACH,FL 32960	None	Public charity	GENERAL CONTRIBUTION	6,000
HUMANE SOCIETY OF VERO BEACH FLA6230 77TH STREET VERO BEACH,FL 32960	none	public charity	gGENERAL CONTRIBUTION	500
IR COMMUNITY COLLEGE FOUNDATION INC3209 VIRGINIA AVENUE FORT PIERCE,FL 349815596	none	public charity	gGENERAL CONTRIBUTION	4,000
INDIAN RIVER COMMUNITY COLLEGE3209 VIRGINIA AVE FORT PIERCE,FL 349815596	none	public charity	gGENERAL CONTRIBUTION	25,000
INDIAN RIVER COUNTY LEADERSHIP1105 34TH AVE VERO BEACH,FL 32960	None	Public charity	GENERAL CONTRIBUTION	20,000
INDIAN RIVER HOSPITAL FOUNDATION1000 36TH STREET VERO BEACH,FL 32960	none	public charity	gGENERAL CONTRIBUTION	5,000
JA WORLDWIDEONE EDUCATION WAY COLORADO SPRINGS,CO 80906	none	public charity	gGENERAL CONTRIBUTION	2,000
MAINE COMMUNITY FOUNDATION INC245 MAIN STREET ELLSWORTH,ME 04605	None	Public charity	GENERAL CONTRIBUTION	33,000
Total  3a				828,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MAINE FARMLAND TRUST INC97 MAIN STREET BELFAST,ME 04915	none	public charity	gENERAL CONTRIBUTION	15,000
MEDICAL CARE DEVELOPMENT INC 11 PARKWOOD DR AUGUSTA,ME 04330	none	Public charity	gENERAL CONTRIBUTION	15,000
MENTAL HEALTH ASSOCIATION 2000 N BEAUREGARD ST 6TH FL ALEXANDRIA,VA 22311	none	public charity	gENERAL CONTRIBUTION	5,000
MITCHELL - YANCEY HABITAT FOR HUMANITYPO BOX 409 MICAVILLE,NC 28755	none	public charity	gENERAL CONTRIBUTION	2,000
NATIONAL WILDLIFE FEDERATION 11100 WILDLIFE CENTER DRIVE RESTON,VA 201905362	none	public charity	gENERAL CONTRIBUTION	20,000
NATURAL RESOURCES COUNCIL OF MAINE3 WADE STREET AUGUSTA,ME 043306351	none	public charity	gENERAL CONTRIBUTION	15,000
NORTH CAROLINA SCHOOL301 N WILMINGTON ST RALEIGH,NC 27601	None	Public charity	GENERAL CONTRIBUTION	1,000
NORTHEAST HEALTH FOUNDATION 55 TOZER ROAD BEVERLY,MA 01915	None	Public charity	GENERAL CONTRIBUTION	15,000
OWLS HEAD CENTRAL SCHOOL54 ASH POINT DRIVE OWLS HEAD,ME 04854	none	public charity	gENERAL CONTRIBUTION	14,000
PENLAND SCHOOL OF CRAFTSPO BOX 37 PENLAND,NC 28402	none	public charity	gENERAL CONTRIBUTION	2,000
POINT PARK UNIVERSITY201 WOOD STREET PITTSBURGH,PA 15222	None	Public charity	GENERAL CONTRIBUTION	1,000
PRESBYTERIAN CHURCH (USA)901 ALLEGHENY AVENUE PITTSBURGH,PA 15233	None	Public charity	GENERAL CONTRIBUTION	15,000
ROLLINS COLLEGE1000 HOLT AVE WINTER PARK,FL 32789	None	Public charity	GENERAL CONTRIBUTION	2,500
SCHOLARSHIP AMERICA INC1550 AMERICAN BLVD E SUITE 155 MINNEAPOLIS,MN 55425	none	public charity	gENERAL CONTRIBUTION	31,250
SCHOLARSHIP AMERICA INC1550 AMERICAN BLVD E SUITE 155 MINNEAPOLIS,MN 55425	none	public charity	gENERAL CONTRIBUTION	31,250
Total  3a				828,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SHADYSIDE HOSPITAL FOUNDATION5230 CENTRE AVE PITTSBURGH,PA 15232	none	Public charity	gENERAL CONTRIBUTION	65,000
SOUTHERN APPALACHIAN HIGHLANDS CONSERVANCY34 WALL STREET ASHVILLE,NC 28402	None	Public charity	GENERAL CONTRIBUTION	5,000
SOUTHERN RECONCILIATION MINISTRIES INCPO BOX 1147 BURNSIDE,NC 28714	none	public charity	gENERAL CONTRIBUTION	2,000
THE NATURE CONSERVANCY4245 NORTH FAIRFAX DRIVE SUITE 100 ARLINGTON,VA 22203	none	public charity	gENERAL CONTRIBUTION	10,000
THE NEW LONDON HOSPITAL273 COUNTY ROAD NEW LONDON,NH 03257	none	public charity	gENERAL CONTRIBUTION	20,000
THE YANCEY FOUNDATION1000 SCHOOL CIRCLE PO BOX 190 BURNSVILLE,NC 28714	none	Public charity	gENERAL CONTRIBUTION	3,000
TREKKERSPO BOX 455 TENANTS HARBOR,ME 04843	None	Public charity	GENERAL CONTRIBUTION	10,000
UNITED MID-COAST CHARITIES21 ELM STREET/PO BOX 205 CAMDEN,ME 04843	none	public charity	gENERAL CONTRIBUTION	10,000
UNITED WAY OF INDIAN RIVER COUNTY INC2145 14th Ave VERO BEACH,FL 32960	none	public charity	gENERAL CONTRIBUTION	10,000
UNIVERSITY OF MASSACHUSETTS 181 PRESIDENTS DRIVE AMHERST,MA 01003	none	public charity	gENERAL CONTRIBUTION	30,000
WASHINGTON COUNTY COMMUNITY331 SOUTH MAIN STREET WASHINGTON,PA 15301	none	public charity	gENERAL CONTRIBUTION	34,000
Total  3a				828,000

TY 2009 Accounting Fees Schedule

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE	700	0		700

**TY 2009 All Other Program
Related Investments Schedule**

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

Category	Amount
N/A	0

**TY 2009 Investments Corporate
Bonds Schedule**

Name: JOHN M HOPWOOD CHARITABLE TRUST
EIN: 25-6022634

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Corporate Bonds	2,390,898	2,706,713

**TY 2009 Investments Corporate
Stock Schedule**

Name: JOHN M HOPWOOD CHARITABLE TRUST
EIN: 25-6022634

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Corporate Stocks	6,079,658	10,523,320

TY 2009 Investments Government Obligations Schedule

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

US Government Securities - End of

Year Book Value:

4,543,800

US Government Securities - End of

Year Fair Market Value:

4,904,638

State & Local Government Securities - End of Year Book

Value:

0

State & Local Government Securities - End of Year Fair

Market Value:

0

TY 2009 Legal Fees Schedule

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	31,069	24,855		6,214

TY 2009 Other Expenses Schedule**Name:** JOHN M HOPWOOD CHARITABLE TRUST**EIN:** 25-6022634

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE EXPENSE	35,000	17,500		17,500
cOUNCIL OF FDNS - MEMBERSHIP FEE	2,970	0		2,970
PROCESSING FEE ON FOREIGN DIVIDENDS	333	333		0
MAP MANAGER FEE	4,158	4,158		0
ADR ISSUANCE FEE	62	62		0
MAINE PHLANTHROPY CENTER MEMBERSHIP RENEWAL	5,000	0		5,000

TY 2009 Other Income Schedule

Name: JOHN M HOPWOOD CHARITABLE TRUST

EIN: 25-6022634

Description	Revenue And Expenses Per Books	Net Invest ment Income	Adjusted Net Income
CLASS ACTION SETTLEMENT	1,645		1,645
foreign tax refunds	1,379		1,379

TY 2009 Taxes Schedule**Name:** JOHN M HOPWOOD CHARITABLE TRUST**EIN:** 25-6022634

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID	3,881	3,881		0
ESTIMATED EXCISE TAXES	13,509	0		0