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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No. 1545-0052

2009

Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , 2009, and ending ,

G Check all that apply:

☐ Initial return☐ Initial Return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the
IRS label.
Otherwise,
print
or type.
See Specific
Instructions.NICHOLAS G. AND SANDRA K. NICHOLAS
FOUNDATION
127 ANDERSON STREET #127
PITTSBURGH, PA 15212-5803

A Employer identification number

25-1646744

B Telephone number (see the instructions)

412-321-9433

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, column (c), line 16)

▶ \$ 196,635.

J Accounting method: ☐ Cash ☒ Accrual
☐ Other (specify) _____

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE

1 Contributions, gifts, grants, etc. received (att sch.)

11,202.

2 Ck ☐ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

6,990.

6,990.

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

6,673.

b Gross sales price for all assets on line 6a

10,372.

7 Capital gain net income (from Part IV, line 2)

6,673.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less. Cost of goods sold

c Gross profit/(loss) (att sch.)

11 Other income (attach schedule)

SEE STATEMENT 1

3.

12 Total. Add lines 1 through 11

24,868.

13,663.

0.

13 Compensation of officers, directors, trustees, etc.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch.)

SEE ST 2

2,550.

2,550.

c Other prof fees (attach sch.)

17 Interest

18 Taxes (attach schedule) (see instr.)

SEE STM 3

220.

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

SEE STATEMENT 4

100.

100.

24 Total operating and administrative expenses. Add lines 13 through 23

2,870.

2,650.

25 Contributions, gifts, grants paid STMT. 5

31,450.

26 Total expenses and disbursements. Add lines 24 and 25

34,320.

2,650.

0.

31,450.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

-9,452.

b Net investment income (if negative, enter -0-)

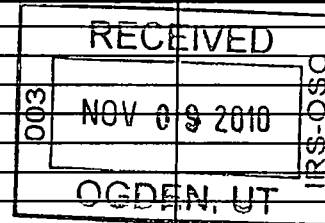
11,013.

c Adjusted net income (if negative, enter -0-)

0.

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ADMINISTRATIVE EXPENSES



Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	21,509.	3,601.	3,601.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges		180.	180.
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) STATEMENT 6	232,930.	236,619.	192,460.
	c Investments — corporate bonds (attach schedule) STATEMENT 7	97.	91.	97.
	11 Investments — land, buildings, and equipment: basis			
LIABILITIES	Less: accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule) STATEMENT 8	118.	134.	134.
	14 Land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe			
	16 Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)	254,654.	240,625.	196,472.
	17 Accounts payable and accrued expenses	8,020.	10,170.	
	18 Grants payable			
	19 Deferred revenue			
FUND ASSETS	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe			
	23 Total liabilities (add lines 17 through 22)	8,020.	10,170.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☒ X			
	24 Unrestricted	246,634.	230,455.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐			
	27 Capital stock, trust principal, or current funds			
FUND BALANCES	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see the instructions)	246,634.	230,455.	
	31 Total liabilities and net assets/fund balances (see the instructions)	254,654.	240,625.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	246,634.
2 Enter amount from Part I, line 27a	2	-9,452.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	237,182.
5 Decreases not included in line 2 (itemize)	5	6,727.
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	230,455.

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	.829 BLACKROCK GLOBAL OPP EQTY TR	P	12/03/07	7/29/09
b	350 MICROSOFT CORP	D	11/25/09	11/25/09
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 14.		24.	-10.
b 10,358.		3,675.	6,683.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(j) Fair Market Value as of 12/31/69	(l) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			-10.
b			6,683.
c			
d			
e			

2 Capital gain net income or (net capital loss).	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	6,673.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8		3	0.

Part VII Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	33,900.	260,061.	0.130354
2007	69,430.	359,399.	0.193184
2006	104,989.	288,635.	0.363743
2005	8,000.	308,909.	0.025898
2004	102,087.	314,679.	0.324416

2 Total of line 1, column (d)	2	1.037595
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.207519
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	184,298.
5 Multiply line 4 by line 3	5	38,245.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	110.
7 Add lines 5 and 6	7	38,355.
8 Enter qualifying distributions from Part XII, line 4	8	31,450.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VII Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here. ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ☐ and enter 1% of Part I, line 27b.		1	220.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b) . . .			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	220.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	220.
6 Credits/Payments:			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009.	6a	400.	
b Exempt foreign organizations — tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d.	7	400.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed.	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	180.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax 180. Refunded	11	0.	

Part VIII Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation .. > \$ 0. (2) On foundation managers .. > \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers .. > \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? .. If 'Yes,' attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes.		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? .. If 'Yes,' attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV.	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) ... N/A		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses.		X

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Part VII Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address: <u>N/A</u>	13	X	
14	The books are in care of <u>NICHOLAS G. NICHOLAS</u> Telephone no. <u>412-261-4225</u> Located at <u>23 MARKET PLACE, PITTSBURGH, PA</u> ZIP + 4 <u>15222</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			N/A

Part VIII Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes	☒ No
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	☐ Yes	☒ No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20__ , 20__ , 20__ , 20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If 'Yes' to 6b, file Form 8870.**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NICHOLAS G. NICHOLAS 23 MARKET PLACE PITTSBURGH, PA 15222	PRESIDENT 1.00	0.	0.	0.
SANDRA K. NICHOLAS 23 MARKET PLACE PITTSBURGH, PA 15222	VICE PRESIDE 0	0.	0.	0.
ROBERT G. PANAGULIAS 127 ANDERSON STREET, SUITE 127 PITTSBURGH, PA 15212-5803	SECRETARY 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ 0

Part I Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	166,507.
b Average of monthly cash balances	1b	20,472.
c Fair market value of all other assets (see instructions)	1c	126.
d Total (add lines 1a, b, and c)	1d	187,105.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	187,105.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	2,807.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	184,298.
6 Minimum investment return. Enter 5% of line 5	6	9,215.

Part II Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	9,215.
2a Tax on investment income for 2009 from Part VI, line 5	2a	220.
b Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	220.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	8,995.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	8,995.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	8,995.

Part III Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	31,450.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	31,450.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	31,450.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part II Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				8,995.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			0.	
b Total for prior years: 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	88,529.			
b From 2005				
c From 2006	91,355.			
d From 2007	53,500.			
e From 2008	21,267.			
f Total of lines 3a through e	254,651.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 31,450.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount				8,995.
e Remaining amount distributed out of corpus	22,455.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5.	277,106.			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010.				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	88,529.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	188,577.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006	91,355.			
c Excess from 2007	53,500.			
d Excess from 2008	21,267.			
e Excess from 2009	22,455.			

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- | | | | | | |
|---|----------|---------------|----------|----------|------------|
| 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling. | | | | | |
| b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or | | | | | 4942(j)(5) |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed. | Tax year | Prior 3 years | | | (e) Total |
| | (a) 2009 | (b) 2008 | (c) 2007 | (d) 2006 | |
| b 85% of line 2a. | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed. | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities. | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c. | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a 'Assets' alternative test – enter: | | | | | |
| (1) Value of all assets. | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i). | | | | | |
| b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | | | |
| c 'Support' alternative test – enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| (3) Largest amount of support from an exempt organization. | | | | | |
| (4) Gross investment income. | | | | | |

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NICHOLAS G. NICHOLAS

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc. Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:**

Schedule Attached

- b** The form in which applications should be submitted and information and materials they should include:

Schedule Attached

- c Any submission deadlines:**

Schedule Attached

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

None

Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Total				3a
b Approved for future payment				
Total				3b

Part XVI-A: Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	6,990.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income			14	3.	
8	Gain or (loss) from sales of assets other than inventory			14	6,673.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				13,666.	
13	Total. Add line 12, columns (b), (d), and (e)				13	13,666.

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, 990-EZ, or 990-PF**

OMB No. 1545-0047

2009

Name of the organization **NICHOLAS G. AND SANDRA K. NICHOLAS
FOUNDATION**

Employer identification number
25-1646744

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)(____) (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule –

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules –

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year.... ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

NICHOLAS G. AND SANDRA K. NICHOLAS

25-1646744

Contributors (see instructions.)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	NICHOLAS G. NICHOLAS 23 MARKET PLACE PITTSBURGH, PA 15222	\$ 10,402.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

NICHOLAS G. AND SANDRA K. NICHOLAS

25-1646744

Part III Exclusively religious, charitable, etc, individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete cols (a) through (e) and the following line entry.)

For organizations completing Part III, enter total of *exclusively* religious, charitable, etc, contributions of \$1,000 or less for the year. (Enter this information once – see instructions.) \$ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

2009

FEDERAL STATEMENTS
NICHOLAS G. AND SANDRA K. NICHOLAS
FOUNDATION

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11/02/10

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STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME.....	\$ 3.		
TOTAL	\$ 3.	\$ 0.	\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PREPARING FINANCIAL STATEMENTS & FORM 990	\$ 2,550.	\$ 2,550.		
TOTAL	\$ 2,550.	\$ 2,550.	\$ 0.	\$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX.....	\$ 220.			
TOTAL	\$ 220.	\$ 0.	\$ 0.	\$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE EXPENSES.....	\$ 100.	\$ 100.		
TOTAL	\$ 100.	\$ 100.	\$ 0.	\$ 0.

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FEDERAL STATEMENTS

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NICHOLAS G. AND SANDRA K. NICHOLAS
FOUNDATION

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STATEMENT 5
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

CASH GRANTS AND ALLOCATIONS

CLASS OF ACTIVITY:	GENERAL PURPOSES	
DONEE'S NAME:	FRANKLIN & MARSHALL COLLEGE	
DONEE'S ADDRESS:	701 COLLEGE AVENUE LANCASTER, PA 17604,	
RELATIONSHIP OF DONEE:		
ORGANIZATIONAL STATUS OF DONEE:	EDUCATIONAL	
AMOUNT GIVEN:		\$ 25,000.
CLASS OF ACTIVITY:	GENERAL PURPOSES	
DONEE'S NAME:	HOLY CROSS GREEK ORTHODOX CHUR	
DONEE'S ADDRESS:	- PITTSBURGH, PA 15228	
RELATIONSHIP OF DONEE:		
ORGANIZATIONAL STATUS OF DONEE:	RELIGIOUS	
AMOUNT GIVEN:		6,000.
CLASS OF ACTIVITY:	GENERAL PURPOSES	
DONEE'S NAME:	LEA'S FOUNDATION	
DONEE'S ADDRESS:	150 TRUMBULL STREET, 2ND FLOOR HARTFORD, CT 06103	
RELATIONSHIP OF DONEE:		
ORGANIZATIONAL STATUS OF DONEE:	PUBLIC CHARITY-HEALTHCARE	
AMOUNT GIVEN:		200.
CLASS OF ACTIVITY:	GENERAL PURPOSES	
DONEE'S NAME:	KDKA TURKEY FUND	
DONEE'S ADDRESS:	P.O. BOX THANKS PITTSBURGH, PA 15230	
RELATIONSHIP OF DONEE:		
ORGANIZATIONAL STATUS OF DONEE:	PUBLIC CHARITY	
AMOUNT GIVEN:		250.
TOTAL		\$ 31,450.

STATEMENT 6
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
RUSSELL INVESTMENT-EQUITY GROWTH STRATEG	MKT VAL	\$ 114,130.	\$ 86,341.
BLACKROCK GLOBAL EQUITY INCOME	MKT VAL	0.	0.
FLAHERTY & CRUMRINE PFD INCOME	MKT VAL	10,735.	9,004.
JOHN HANCOCK PFD INCOME FD	MKT VAL	10,824.	9,026.
NUVEEN QUALITY PFD INCOME FD	MKT VAL	10,705.	6,671.
TEMPLETON GLOBAL FUND	MKT VAL	64,641.	72,135.
DEVELOPERS DIVERSIFIED REALTY CORP	MKT VAL	15,797.	2,426.
BLACKROCK GLOBAL OPPORTUNITIES EQUITY TR	MKT VAL	9,787.	6,857.
TOTAL		\$ 236,619.	\$ 192,460.

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FOUNDATION

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STATEMENT 7
FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS

<u>CORPORATE BONDS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
FANNIE MAE 10% DUE 3/20/19	MKT VAL	\$ 91.	\$ 97.
	TOTAL	<u>\$ 91.</u>	<u>\$ 97.</u>

STATEMENT 8
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

<u>OTHER INVESTMENTS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
MAPS CHARTER FUND, LP	MKT VAL	\$ 134.	\$ 134.
	TOTAL	<u>\$ 134.</u>	<u>\$ 134.</u>

Nicholas G. and Sandra K. Nicholas Foundation
Supplementary Information (Part XV)

Requests for grants should be sent to Mr. Nicholas G. Nicholas, Nicholas G. and Sandra K. Nicholas Foundation, 127 Anderson Street, Suite 127, Pittsburgh, PA 15212-5803. The telephone number is 412-261-4225 during regular business hours.

Organizations that apply should request and use the Foundation's standard grant application. In the case of research grants, the applicant should submit an outline of the proposed investigation and a proposed budget.

Applications for grants may be submitted at anytime. Applicants are usually notified within one month regarding their applications.

Grants to individuals are limited to persons who attend educational institutions beyond the secondary level and recipients are selected on the basis of need and prior scholastic achievements. Individuals requesting scholarship grants must complete the Scholarship Application form, including the Financial Information form.

Organization's Application for Grant From Private Foundation

To:

Nicholas G. and Sandra K. Nicholas
Foundation

127 Anderson Street, Suite 127

Pittsburgh, PA 15212-5803

From:

(Name of Applicant)

(Street Address)

(City, State and Zip Code)

Part I. Information about the Applicant

1. Is the applicant organized as a nonprofit organization under State laws governing charitable organizations? [] Yes [] No
If yes, what State or Commonwealth governs? _____
If no, please explain:

2. Has the applicant received a ruling or determination letter from the Internal Revenue Service about any of the following:
(a) Exempt status [] Yes [] No
(b) Private foundation status [] Yes [] No
(c) Grant-making procedures [] Yes [] No
Attach a photocopy of each such letter.
If any item is marked no, explain (b) and (c).

3. (a) Attach a copy of the applicant's proposed budget for the year in which the grant funds are to be used.
(b) If this grant will be a substantial and material part of the total budget, attach a copy of the latest information return (Form 990 or 990PF) filed by the applicant with the Internal Revenue Service.
(c) Describe the applicant's purposes and activities in general.

4. Is the applicant controlled by, related to, connected with, or sponsored by another organization? [] Yes [] No
If yes, identify the organization (including its purposes and activities) and explain the relationship:

5. List the name and address and title of each member of the applicant's governing board:

_____ (Name)	_____ (Title or office)
_____ (Street Address)	_____ (City, State and Zip Code)
_____ (Name)	_____ (Title or office)
_____ (Street Address)	_____ (City, State and Zip Code)
_____ (Name)	_____ (Title or office)
_____ (Street Address)	_____ (City, State and Zip Code)

(If more space is needed attach a separate list)

6. Has the applicant (or any organization listed in 4, above) ever applied for or received a grant from this foundation? [] Yes [] No
If yes, give details:

Part II. Use of the proposed grant

7. Show the amount requested and explain in detail how it will be used. State whether the grant is to be earmarked for the use or benefit of any one person, group, or class of people. If so, for whom?

8. Person to contact who will be administering the proposed program.

_____	_____
(Name)	(Title or office)
_____	_____
(Street Address)	(City, State and Zip Code)

(Area code and telephone number)	

Describe this person's experience and qualifications to administer this program.

From my knowledge, I state that the information given in Parts I and II is correct. The applicant organization has authorized me to make this application.

_____	_____
(Name)	(Date)

(Title or office)	

The information in Parts I and II is to help the grantor foundation meet the requirements of section 4945(h) of the Internal Revenue Code.

NICHOLAS G. AND SANDRA K. NICHOLAS FOUNDATION

Scholarship Application

NAME _____
STREET ADDRESS _____
CITY _____ STATE _____ ZIP _____
TELEPHONE (_____) _____
HIGH SCHOOL _____
STREET ADDRESS _____
CITY _____ STATE _____ ZIP _____
TELEPHONE (_____) _____

I am applying for a scholarship to attend the following school
after graduation from high school:

Name _____
Address _____

I am interested in studying _____
and wish to pursue:

_____ Degree in _____

Certificate in _____

ADDITIONAL INFORMATION:

DATE OF BIRTH _____ SOCIAL SEC.# _____
I will graduate from _____ High School
on _____.

NAME OF PARENT OR GUARDIAN _____
STREET ADDRESS _____
CITY _____ STATE _____ ZIP _____

INSTRUCTIONS:

This application must be submitted and signed by your Guidance Counselor and must be accompanied by the following additional information:

1. Two letters of recommendation (from teachers, counselors, employers.)
2. A copy of your academic record through the end of the first term of your senior academic year.
3. A statement containing a minimum of 250 words explaining in detail why you want a career in the field you have listed on this application and why you feel you would be successful in this field of endeavor. This statement may be typed or hand written, but it must be on a standard 8 1/2 x 11 inch paper. Your name, address and high school name must appear in the upper left-hand corner.
4. The above statement must include a signed statement from you indicating that it was written by you without the assistance of another person.

SCHOOL INFORMATION: (TO BE COMPLETED BY GUIDANCE COUNSELOR)

I certify that the above applicant has, as of the end of the first term of his or her senior academic year, obtained a grade point average of _____ based on a 4.0 scale and currently ranks _____ in a class of _____ graduates.

Signature of Guidance Counselor	Title	Date
---------------------------------	-------	------

High School	Telephone
-------------	-----------

FINANCIAL NEED:

Awards will be based on financial need which will be determined from the financial information provided by you. Please complete the Financial Information form attached. If you have lived with or have been supported by your parent(s) within the past eighteen months, please complete Sections A and B. If you have not lived with or have not been supported by your parents within the past eighteen months, please complete Section B. All must complete page 1-7 of the Financial Information requested.

Please itemize your anticipated expenditures for the next school year

Tuition	_____
Lodging and Meals	_____
Books and Supplies	_____
Travel	_____
Miscellaneous	_____
Total	_____

All of the information contained in the Scholarship Application, including the Financial Information is true and correct to the best of my knowledge.

Signature of Student Applicant

- * DEADLINE: APRIL 15 OF EACH YEAR
- * RETURN ALL THE REQUESTED DOCUMENTS TOGETHER TO:

Nicholas G. and Sandra K. Nicholas Foundation
127 Anderson Street, Suite 127
Pittsburgh, PA 15212-5803

NICHOLAS G. AND SANDRA K. NICHOLAS FOUNDATION
SCHOLARSHIP APPLICATION
FINANCIAL INFORMATION

Name: _____

_____ Not Married

_____ Married

Name of Spouse: _____

Name(s) of Children:

_____ Live with or supported by parent(s) within the past 18 months (complete Sections A and B)

_____ Have not lived with and have not been supported by parent(s) within the past 18 months (complete Section B)

Extra Ordinary Financial Circumstances (Explain):

Section A

Dependent Student - Parental Information

Parents Name(s)

Mother: _____

Father: _____

Number of Exemptions Claimed on U.S. Individual Income Tax
Return _____ (20____) by parent(s).

Parental Income and Expenses (Immediately Preceding Calendar Year)

<u>Income</u>	<u>Amount</u>
Salaries and Wages	_____
Interest Income and Dividends	_____
Capital Gains or Losses	_____
Business Income or Loss	_____
Rental Income or Loss	_____
Partnerships and S Corporations	_____
Estate and Trusts	_____
Total Pensions, Social Security and Annuities	_____
Other Taxable Income	
_____	_____
_____	_____
_____	_____
Other Nontaxable Income	
_____	_____
_____	_____
_____	_____
Total	_____

Section A (Continued)

Dependent Student - Parental Information

<u>Expenses:</u>	<u>Amount</u>
Medical and Dental	_____
Home Mortgage Interest	_____
Real Estate Taxes	_____
Federal Income Taxes (per tax return filed)	_____
State and Local Income Taxes (per tax returns filed)	_____
Job Related Expenses	_____
Tuitions Paid for all Dependents	_____
Total	_____

Anticipated Tuitions to be Paid Next School Year:

<u>For Whom</u> <u>(Include Student Applicant)</u>	<u>Name of School</u>	<u>Amount</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Section A (Continued)
Dependent Student - Parental Information

<u>Assets:</u>	<u>Amount</u>
Cash	_____
Residence	_____
Investments	_____
Business Assets	_____
Other Real Estate	_____
Other Assets	_____
 Total	 _____
<u>Obligations:</u>	
Residential Mortgage	_____
Investments Debts	_____
Business Debts	_____
Other Real Estate Mortgages	_____
 Total	 _____

Section B
Student Information

Parents Name(s)

Mother: _____

Father: _____

Number of Exemptions Claimed by You on Your U.S. Individual Income Tax Return if filed _____ (20__)

**Student Income and Expenses - Immediately Preceding Calendar Year
(Include Spouse if Married)**

<u>Income</u>	<u>Amount</u>
Salaries and Wages	_____
Interest Income and Dividends	_____
Capital Gains or Losses	_____
Business Income or Loss	_____
Rental Income or Loss	_____
Partnerships and S Corporations	_____
Estate and Trusts	_____
Total Pensions, Social Security and Annuities	_____
Other Taxable Income	
_____	_____
_____	_____
_____	_____
Other Nontaxable Income	
_____	_____
_____	_____
_____	_____
Total	_____

Section B (Continued)

Student Information

<u>Expenses:</u>	<u>Amount</u>
Medical and Dental	_____
Home Mortgage Interest	_____
Real Estate Taxes	_____
Federal Income Taxes (per tax return filed)	_____
State and Local Income Taxes (per tax returns filed)	_____
Job Related Expenses	_____
Tuitions Paid for all Dependents	_____
 Total	 _____

Anticipated Tuitions to be Paid Next School Year:

<u>For Whom</u> <u>(Include Student Applicant)</u>	<u>Name of School</u>	<u>Amount</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Section B (Continued)

Student Information

<u>Assets:</u>	<u>Amount</u>
Cash	_____
Residence	_____
Investments	_____
Business Assets	_____
Other Real Estate	_____
Other Assets	_____
 Total	 _____

<u>Obligations:</u>	
Residential Mortgage	_____
Investments Debts	_____
Business Debts	_____
Other Real Estate Mortgages	_____
 Total	 _____