

See a Social Security Number? Say Something! Report Privacy Problems to https://public.resource.org/privacy Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990**

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

OMB No 1545-0047

| Interna | ıl Revenue | Service | ► The org | anızatıon may have to use a copy | of this return to satisfy | state reporting | g requirements | Inspection |
|---------------------------------------|-----------------|------------------------|------------------------------|--|---|---------------------|--|----------------------------|
| A F | or the : | 2009 ca | | or tax year beginning 01-01-2009 | and ending 12-31-20 | 009 | D. F | |
| | | pplicable | Please | C Name of organization AMERICAN INSTITUTES FOR RESEARCH | IN THE | | D Employer ide | ntification number |
| | ldress ch | _ | use IRS label or | BEHAVIORAL SCIENCES Doing Business As | | | 25-096521 E Telephone nu | |
| | ame cha | | print or type. See | - | | | (202) 403-5 | |
| | ıtıal retu | | Specific Instruc- | Number and street (or P O box if mail is | s not delivered to street add | ress) Room/suite | G Gross receipts : | |
| | eminate | | tions. | 1000 THOMAS JEFFERSON ST NW | | | 2 3.333 (333,pt3) | |
| ☐ Ar | nended | return | | City or town, state or country, and ZIP + WASHINGTON, DC 200073835 | - 4 | • | 1 | |
| Γ Ap | plication | n pending | | · | | | | |
| | | | F Name SOLPEL | e and address of principal officer | | | is a group return | |
| | | | 1000 TH | IOMAS JEFF ST NW | | апіна | ates? | ⊤Yes ▼ No |
| | | | WASH, D | OC 20007 | | H(b) Are a | ll affiliates include | ed? |
| ————————————————————————————————————— | ax-exem | nnt status | ▼ 501(c) (| (3) ◄ (insert no) | | | • | (see instructions) |
| | | | | | 327 | H(c) Grou | up exemption nui | mber 🟲 |
| | | | W A I R O R G | | | | | |
| | | | • | n Trust Association Other | | L Year of fo | ormation 1946 M | State of legal domicile PA |
| Pa | 1 | Sumr Briefly d | | organization's mission or most sic | unificant activities | | | |
| | * | • | RT III, LINE | | innicant activities | | | |
| ဋ | | | | | | | | |
| Ē | | | | | | | | |
| Governance | 2 | Checkt | hıs box 🛏 | ıf the organization discontinued its | s operations or dispose | ed of more than | 25% of its net a | ssets |
| | 3 | Number | of voting me | embers of the governing body (Par | t VI, line 1a) | | 3 | 10 |
| Activities & | 4 | Number | ofındepend | ent voting members of the governi | ng body (Part VI, line : | 1b) | | 9 |
| Ė | | | | oloyees (Part V , line 2a) | | | 5 | 1,128 |
| 당 | | | | unteers (estimate if necessary) | | | | 0 |
| • | | _ | | ed business revenue from Part VII | , | | 7a 7b | 0 |
| | B | Net unit | erateu Dusiii | ess taxable income from Form 990 | 7-1, IIIIe 34 | Drie | or Year | Current Year |
| | 8 | Contrib | outions and | grants (Part VIII, line 1h) | | | 301,324,174 | 298,916,637 |
| ₽ | 9 | | | evenue (Part VIII, line 2g) | | 685,041 | 686,062 | |
| Revenue | 10 | Invest | ment income | e (Part VIII, column (A), lines 3, 4 | l, and 7d) | | 2,942,939 | 1,535,789 |
| ď | 11 | Other | revenue (Pa | rt VIII, column (A), lınes 5, 6d, 8c | c, 9c, 10c, and 11e) | | -296,797 | -649,507 |
| | 12 | | | d lines 8 through 11 (must equal P | | | 304,655,357 | 300,488,981 |
| | 13 | | | amounts paid (Part IX, column (A | | | 73,087 | 154,172 |
| | 14 | | | for members (Part IX, column (A), | • | | , | 0 |
| (6 | 15 | | s, other con | npensation, employee benefits (Pa | rt IX, column (A), lines | 5 5 – | | |
| Expenses | | 10) | 1.6 | 6 (5 17) | 4.4. | | 104,574,994 | 110,873,183 |
| <u>₹</u> | 16a | | | aising fees (Part IX, column (A), lii | ne lle) | | | 0 |
| 五 | b | | | nses (Part IX, column (D), line 25) • 0 | L 116 246 | | 192 444 620 | 160.052.070 |
| | 17 18 | | | art IX, column (A), lines 11a-11c dd lines 13-17 (must equal Part I | | | 182,444,620 287,092,701 | 169,953,878 |
| | 19 | | | enses Subtract line 18 from line 1. | | | 17,562,656 | 19,507,748 |
| <u>ም</u> | | | <u> </u> | | | Beginnin | g of Current | End of Year |
| 9 8 8 | | | | | | | /ear | |
| Ass Ba | 20 | | | X, line 16) | | | 126,050,452 | 144,588,151 |
| Net Assets or Fund Balances | 21 22 | | | art X, line 26) | | | 49,529,549 76,520,903 | 48,052,208 |
| | 72 111 11 | | ature Blo | | | | 70,520,903 | 90,535,945 |
| ΓŒ | 111 | Under pe | enalties of perj | ury, I declare that I have examined this re prect, and complete Declaration of prepar | | | | |
| Sig | | **** | | | | 2010- | -11-12 | |
| Her | ·e | Signa | ature of officer | | | Date | | |
| | | | DO AHLGRIMM or print name | TREASURER, SR VP, AND CFO | | | | |
| | | ▼ 'ype | or print name | and title | D-1- | Charl 6 | B / | |
| D | | Preparer' signature | | A A O'MALLEY CPA | Date | Check if self- | Preparer's identification (see instructions) | |
| Paid | l parer's | | , | L DUDTNO C MOOFFITT OUT | empolyed 🕨 🦵 | | | |
| - | oarer s Only | ıf self-em | | P | _ | EIN Þ | | |
| | , | address, | and ZIP + 4 | 6903 ROCKLEDGE DRIVE SUITE 1200 | | | Phone no 1 (30 | 01) 564-3636 |
| | tha ID | | c this return | BETHESDA, MD 20817 | coo instructions | | , | Vas [No |

Part III Statement of Program Service Accomplishments

Briefly describe the organization's mission

AIR'S PURPOSE IS TO CONDUCT AND APPLY BEHAVIORAL AND SOCIAL SCIENCE RESEARCH TO IMPROVE PEOPLE'S LIVES AND WELL BEING, WITH A SPECIAL EMPHASIS ON THE DISADVANTAGED

| Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? | | | | | | | |
|---|----|---|---|--|--|---|--|
| Jud the organization cease conducting, or make significant changes in how it conducts, any program services? If "Yes," describe these changes on Schedule O Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported THE ASSESSMENT PROGRAM DEVELOPS COGNITIVE ASSESSMENTS AND QUESTIONNAIRES FOR EXAMINING THE INFLUENCES AND OTHER BACKGROUND VA AND CONDUCTS STUDIES OF THE VALIDITY OF ASSESSMENTS FOR FEDERAL, STATE, AND LOCAL EDUCATION AGENCIES BY DRAWING ON THE RESOURCES AIR'S UNPARALLELED EDUCATIONAL RESEARCH EFFORTS, AIR DESIGNS AND BUILDS ASSESSMENT PROGRAMS THAT AID IN MEASURING COMPLIANCE WITH RIGOROUS REQUIREMENTS SET BY STATE AND FEDERALLY MANDATED PROGRAMS. SUCH ASSESSMENTS ALSO SERVE AS A FOUNDATION FOR ADVANCING LEARNING ACHIEVEMENT Code) (Expenses \$ 72,239,602 including grants of \$) (Revenue \$) AIR'S INTERNATIONAL DEVELOPMENT IN COLLABORATION WITH LOCAL PARTNERS AIR SEEKS TO - ENSURE CHILDREN'S EQUITABLE ACCESS TO ALL EDUCATION, AND SOCIAL DEVELOPMENT IN COLLABORATION WITH LOCAL PARTNERS AIR SEEKS TO - ENSURE CHILDREN'S EQUITABLE ACCESS TO ALL EDUCATION AND SOCIAL DEVELOPMENT IN COLLABORATION WITH LOCAL PARTNERS AIR SEEKS TO - ENSURE CHILDREN'S EQUITABLE ACCESS TO ALL EDUCATION, AND SOCIAL DEVELOPMENT IN COLLABORATION WITH LOCAL PARTNERS AIR SEEKS TO - ENSURE CHILDREN'S EQUITABLE ACCESS TO ALL EDUCATION, AND SOCIAL DEVELOPMENT IN COLLABORATION WITH LOCAL PARTNERS AIR SEEKS TO - ENSURE CHILDREN'S EQUITABLE ACCESS TO ALL EDUCATION AND SOCIAL DEVELOPMENT IN COLLABORATION WITH LOCAL PARTNERS AIR SEEKS TO - ENSURE CHILDREN'S EQUITABLE ACCESS TO ALL EDUCATION AND SOCIAL DEVELOPMENT IN COLLABORATION EVENT OF THESE OBJECTIVES, AIR HAS WORKED IN MORE THAN 80 COUNTRIES OV PAST THREE DECADES, STEENGTHENING NOTAL EDUCATION SYSTEMS, INCR | 2 | | | | | r which were not listed on | ┌ Yes ┌ No |
| If "Yes," describe these changes on Schedule O Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501 (c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported (Code) (Expenses \$ 74,184,899 including grants of \$) (Revenue \$ 3,202) THE ASSESSMENT PROGRAM DEVELOPS COGNITIVE ASSESSMENTS AND QUESTIONAIRES FOR EXAMINING THE INFLUENCES AND OTHER BACKGROUND VA AND CONDUCTS STUDIES of The VALIDITY of ASSESSMENTS FOR EPOERAL, STATE, AND LOCAL EDUCATION AGENCIES BY DRAWING ON THE RESOURCES AIR'S UNPARALLELED EDUCATIONAL RESEARCH EFFORTS, AIR DESIGNS AND BUILDS ASSESSMENT PROGRAMS THAT AID IN MEASURING COMPLIANCE WITH RIGOROUS REQUIREMENTS SET BY STATE AND FEDERALLY MANDATED PROGRAMS SUCH ASSESSMENTS ALSO SERVE AS A FOUNDATION FOR ADVANCING LEARNING ACHIEVEMENT AIR'S INTERNATIONAL DEVELOPMENT PROGRAM SEEKS TO ENHANCE THE CAPACITY OF DEVELOPING COUNTRIES TO IMPROVE THEIR QUALITY OF LIFE THE EDUCATION, AND SOCIAL DEVELOPMENT IN COLLABORATION WITH LOCAL PARTNERS AIR SEEKS TO -ENSURE CHILDREN'S EQUITABLE ACCESS TO ALL LEED CLATION, AND SOCIAL DEVELOPMENT IN COLLABORATION WITH LOCAL PARTNERS AIR SEEKS TO -ENSURE CHILDREN'S EQUITABLE ACCESS TO ALL LEED CLATION, IMPROVE THE QUALITY AND RELEVANCE OF EDUCATION, AND -ENPOWER INDIVIDUALS, COMMUNITIES, AND INSTITUTIONS AS AGENTS OF AND BEHAVIORAL CHANGE SEE SCHEDULE O FOR CONTINUATIONIN PURSUIT OF THESE OBJECTIVES, AIR HAS WORKED IN MORE THAN 80 COUNTRIES OV PAST THREE DECADES, STRENGTHENING NATIONAL EDUCATION AND SOCIAL DEARNING, DEVELOPING NEW TECHNIQUES FOR ASSESSING STUDENT PERFORMANCE, INCREASING ACCESS FOR BOTH GIRLS BOYS, ACCELERATING EDUCATIONAL REFORM, IMPROVING INFORMATION SYSTEMS, SLOWING THE SPREAD OF HIV/AIDS THROUGH BEHAVIOR CHANGE, AIR REDUCING THE INCIDENCE OF CHILD LABOR | | If "Yes," describe these r | new services on Sc | hedule O | | | |
| Describe the exempt purpose achievements for each of the organization's three largest program services by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported 4a (Code) (Expenses \$ 74,184,899 including grants of \$) (Revenue \$ 3,202) THE ASSESSMENT PROGRAM DEVELOPS COGNITIVE ASSESSMENTS AND QUESTIONNAIRES FOR EXAMINING THE INFLUENCES AND OTHER BACKGROUND VA AND CONDUCTS STUDIES OF THE VALIDITY OF ASSESSMENTS FOR FEDERAL, STATE, AND LOCAL EDUCATION AGENCIES BY DRAWING ON THE RESOURCES AIR'S UNPARALLELED EDUCATIONAL RESEARCH EFFORTS, AIR DESIGNS AND BUILDS ASSESSMENT PROGRAMS THAT AID IN MEASURING COMPLIANCE WITH RIGOROUS REQUIREMENTS SET BY STATE AND FEDERALLY MANDATED PROGRAMS SUCH ASSESSMENT PROGRAMS THAT AID IN MEASURING COMPLIANCE WITH RIGOROUS REQUIREMENTS SET BY STATE AND FEDERALLY MANDATED PROGRAMS SUCH ASSESSMENT ALSO SERVE AS A FOUNDATION FOR ADVANCING LEARNING ACHIEVEMENT 4b (Code) (Expenses \$ 72,239,602 including grants of \$) (Revenue \$) AIR'S INTERNATIONAL DEVELOPMENT IN COLLABORATION WITH LOCAL PARTITIES OF ENSURE CHILDREN'S EQUITABLE ACCESS TO ALL LEFE DUCATION, - IMPROVE THE QUALITY AND RELEVANCE OF EDUCATION, AND - EMPOWER INDIVIDUALS, COMMUNITIES, AND INSTITUTIONS AS AGENTS OF AND BEHAVIORAL CHANGE SEE SCHEDULE O FOR CONTINUATIONIN PURSUIT OF THESE OBJECTIVES, AIR HAS WORKED IN MORE THAN 80 COUNTRIES OV PAST THREE DECADES, STRENGTHENING NATIONAL EDUCATION SYSTEMS, INCREASING THE INVOLVEMENT OF COMMUNITIES IN LOCAL SCHOOLS, IMPROV QUALITY OF TEACHING AND LEARNING, DEVELOPING NEW TECHNIQUES FOR ASSESSING STUDENT PERFORMANCE, INCREASING ACCESS FOR BOTH GIRLS BOYS, ACCELERATING EDUCATIONAL PROPORTION AND SYSTEMS, INCREASING THE INVOLVEMENT OF COMMUNITIES IN LOCAL SCHOOLS, IMPROV QUALITY OF TEACHING AND LEARNING, DEVELOPING NEW TECHNIQUES FOR ASSESSING STUDENT PERFORMANCE, INCREASING ACCESS FOR BOTH GIRLS BOYS, ACCELE | 3 | _ | | ake significan | t changes in how it co | nducts, any program | ┌ Yes ┌ No |
| Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported 4a (Code) (Expenses \$ 74,184,899 including grants of \$) (Revenue \$ 3,202) THE ASSESSMENT PROGRAM DEVELOPS COGNITIVE ASSESSMENTS AND QUESTIONNAIRES FOR EXAMINING THE INFLUENCES AND OTHER BACKGROUND VA AND CONDUCTS STUDIES OF THE VALIDITY OF ASSESSMENTS FOR FEDERAL, STATE, AND LOCAL EDUCATION AGENCIES BY DRAWING ON THE RESOURCES AIR'S UNPARALLELED EDUCATIONAL RESEARCH EFFORTS, AIR DESIGNS AND BUILDS ASSESSMENT PROGRAMS THAT AID IN MEASURING COMPLIANCE WITH RIGOROUS REQUIREMENTS SET BY STATE AND FEDERALLY MANDATED PROGRAMS SUCH ASSESSMENT ALSO SERVE AS A FOUNDATION FOR ADVANCING LEARNING ACHIEVEMENT 4b (Code) (Expenses \$ 72,239,602 including grants of \$) (Revenue \$) AIR'S INTERNATIONAL DEVELOPMENT IN COLLABORATION WITH LOCAL PARTNERS AIR SEEKS TO - ENSURE CHILDREN'S EQUITABLE ACCESS TO ALL LEY EDUCATION, - IMPROVE THE QUALITY AND RELEVANCE OF EDUCATION, AND - EMPOWER INDIVIDUALS, COMMUNITIES, AND INSTITUTIONS AS AGENTS OF AND BEHAVIORAL CHANGE SEE SCHEDULE OF FOR CONTINUATIONIN PURSUIT OF THESE OBJECTIVES, AIR HAS WORKED IN MORE THAN 80 COUNTRIES OV PAST THREE DECADES, STRENGTHENING NATIONAL EDUCATION SYSTEMS, INCREASING THE INVOLVEMENT OF COMMUNITIES IN LOCAL SCHOOLS, IMPROV QUALITY OF TEACHING AND LEARNING, DEVELOPING NEW TECHNIQUES FOR ASSESSING STUDENT PERFORMANCE, INCREASING ACCESS FOR BOTH GIRLS BOYS, ACCELERATING EDUCATIONA, IMPROVING INFORMATION SYSTEMS, INCREASING THE INVOLVEMENT OF COMMUNITIES IN LOCAL SCHOOLS, IMPROV QUALITY OF TEACHING AND LEARNING, DEVELOPING NEW TECHNIQUES FOR ASSESSING STUDENT PERFORMANCE, INCREASING HE INCIDENCE OF CHILD LABOR 4c (Code) (Expenses \$ 56,447,282 including grants of \$ 154,172) (Revenue \$ 69,910) ARP'S EDUCATION, HUMAN DEVELOPMENT & THE WORKFORCE PROGRAM SERVES GOVERNMENT AGENCIES AT THE NATIONAL, STATE, AND LOCAL LEVEL IN AREAS OF EDUCAT | | If "Yes," describe these o | changes on Schedu | le O | | | |
| THE ASSESSMENT PROGRAM DEVELOPS COGNITIVE ASSESSMENTS AND QUESTIONNAIRES FOR EXAMINING THE INFLUENCES AND OTHER BACKGROUND VA AND CONDUCTS STUDIES OF THE VALIDITY OF ASSESSMENTS FOR FEDERAL, STATE, AND LOCAL EDUCATION AGENCIES BY DRAWING ON THE RESOURCES AIR'S UNPARALLELED EDUCATIONAL RESEARCH EFFORTS, AIR DESIGNS AND BUILDS ASSESSMENT PROGRAMS THAT AID IN MEASURING COMPLIANCE WITH RIGOROUS REQUIREMENTS SET BY STATE AND FEDERALLY MANDATED PROGRAMS SUCH ASSESSMENTS ALSO SERVE AS A FOUNDATION FOR ADVANCING LEARNING ACHIEVEMENT 4b (Code) (Expenses \$ 72,239,602 including grants of \$) (Revenue \$) AIR'S INTERNATIONAL DEVELOPMENT PROGRAM SEEKS TO ENHANCE THE CAPACITY OF DEVELOPING COUNTRIES TO IMPROVE THEIR QUALITY OF LIFE THE EDUCATION AND SOCIAL DEVELOPMENT IN COLLABORATION WITH LOCAL PARTNERS AIR SEEKS TO - ENSURE CHILDREN'S EQUITABLE ACCESS TO ALL LEVELOCATION, - IMPROVE THE QUALITY AND RELEVANCE OF EDUCATION, AND - EMPOWER INDIVIDUALS, COMMUNITIES, AND INSTITUTIONS AS AGENTS OF AND BEHAVIORAL CHANGE SEE SCHEDULE O FOR CONTINUATIONIN PURSUIT OF THESE OBJECTIVES, AIR HAS WORKED IN MORE THAN 80 COUNTRIES OV PAST THREE DECADES, STRENGTHENING NATIONAL EDUCATION SYSTEMS, INCREASING THE INVOLVEMENT OF COMMUNITIES IN LOCAL SCHOOLS, IMPROVE QUALITY OF TEACHING AND LEARNING, DEVELOPING NEW TECHNIQUES FOR ASSESSING STUDENT PERFORMANCE, INCREASING ACCESS FOR BOTH GIRLS BOYS, ACCELERATING EDUCATIONAL REFORM, IMPROVING INFORMATION SYSTEMS, SLOWING THE SPREAD OF HIV/AIDS THROUGH BEHAVIOR CHANGE, AIR REDUCING THE INCIDENCE OF CHILD LABOR 4c (Code) (Expenses \$ 56,447,282 INcluding grants of \$ 154,172) (Revenue \$ 69,910) AIR'S EDUCATION, HUMAN DEVELOPMENT & THE WORKFORCE PROGRAM SERVES GOVERNMENT AGENCIES AT THE NATIONAL, STATE, AND LOCAL LEVEL IN AREAS OF EDUCATION INCLUDING ADDULT EDUCATION AND LITERACY, CHILDREN'S MENTAL HEALTH, DISTRICT CONSULTING, EARLY CHILDHOOD, EDUCATION | 4 | Section 501(c)(3) and 50 | 01(c)(4) organızatı | ons and section | on 4947(a)(1) trusts a | are required to report the ar | |
| AND CONDUCTS STUDIES OF THE VALIDITY OF ASSESSMENTS FOR FEDERAL, STATE, AND LOCAL EDUCATION AGENCIES BY DRAWING ON THE RESOURCES AIR'S UNPARALLED EDUCATIONAL RESEARCH EFFORTS, AIR DESIGNS AND BUILDS ASSESSMENT PROGRAMS THAT AID IN MEASURING COMPLIANCE WITH RIGOROUS REQUIREMENTS SET BY STATE AND FEDERALLY MANDATED PROGRAMS SUCH ASSESSMENTS ALSO SERVE AS A FOUNDATION FOR ADVANCING LEARNING ACHIEVEMENT 4b (Code) (Expenses \$ 72,239,602 including grants of \$) (Revenue \$) AIR'S INTERNATIONAL DEVELOPMENT PROGRAM SEEKS TO ENHANCE THE CAPACITY OF DEVELOPING COUNTRIES TO IMPROVE THEIR QUALITY OF LIFE THE EDUCATION AND SOCIAL DEVELOPMENT IN COLLABORATION WITH LOCAL PARTNERS AIR SEEKS TO - ENSURE CHILDREN'S EQUITABLE ACCESS TO ALL LEVEL DUCATION, - IMPROVE THE QUALITY AND RELEVANCE OF EDUCATION, AND - EMPOWER INDIVIDUALS, COMMUNITIES, AND INSTITUTIONS AS AGENTS OF AND BEHAVIORAL CHANGE SEE SCHEDULE O FOR CONTINUATIONIN PURSUIT OF THESE OBJECTIVES, AIR HAS WORKED IN MORE THAN 80 COUNTRIES OV PAST THREE DECADES, STRENGTHENING NATIONAL EDUCATION SYSTEMS, INCREASING THE INVOLVEMENT OF COMMUNITIES IN LOCAL SCHOOLS, IMPROV QUALITY OF TEACHING AND LEARNING, DEVELOPING NEW TECHNIQUES FOR ASSESSING STUDENT PERFORMANCE, INCREASING ACCESS FOR BOTH GIRLS BOYS, ACCELERATING EDUCATIONAL REFORM, IMPROVING INFORMATION SYSTEMS, SLOWING THE SPREAD OF HIV/AIDS THROUGH BEHAVIOR CHANGE, AIR REDUCING THE INCIDENCE OF CHILD LABOR 4c (Code) (Expenses \$ 56,447,282 including grants of \$ 154,172) (Revenue \$ 69,910) AIR'S EDUCATION, HUMAN DEVELOPMENT & THE WORKFORCE PROGRAM SERVES GOVERNMENT AGENCIES AT THE NATIONAL, STATE, AND LOCAL LEVEL IN AREAS OF EDUCATION INCLUDING ADULT EDUCATION AND LITERACY, CHILDREN'S MENTAL HEALTH, DISTRICT CONSULTING, EARLY CHILDHOOD, EDUCATION | 4a | (Code |) (Expenses \$ | 74,184,899 | ıncludıng grants of \$ |) (Revenue \$ | 3,202) |
| AIR'S INTERNATIONAL DEVELOPMENT PROGRAM SEEKS TO ENHANCE THE CAPACITY OF DEVELOPING COUNTRIES TO IMPROVE THEIR QUALITY OF LIFE THE EDUCATION AND SOCIAL DEVELOPMENT IN COLLABORATION WITH LOCAL PARTNERS AIR SEEKS TO - ENSURE CHILDREN'S EQUITABLE ACCESS TO ALL LEVELOW EDUCATION, - IMPROVE THE QUALITY AND RELEVANCE OF EDUCATION, AND - EMPOWER INDIVIDUALS, COMMUNITIES, AND INSTITUTIONS AS AGENTS OF AND BEHAVIORAL CHANGE SEE SCHEDULE O FOR CONTINUATIONIN PURSUIT OF THESE OBJECTIVES, AIR HAS WORKED IN MORE THAN 80 COUNTRIES OV PAST THREE DECADES, STRENGTHENING NATIONAL EDUCATION SYSTEMS, INCREASING THE INVOLVEMENT OF COMMUNITIES IN LOCAL SCHOOLS, IMPROV QUALITY OF TEACHING AND LEARNING, DEVELOPING NEW TECHNIQUES FOR ASSESSING STUDENT PERFORMANCE, INCREASING ACCESS FOR BOTH GIRLS BOYS, ACCELERATING EDUCATIONAL REFORM, IMPROVING INFORMATION SYSTEMS, SLOWING THE SPREAD OF HIV/AIDS THROUGH BEHAVIOR CHANGE, AIR REDUCING THE INCIDENCE OF CHILD LABOR 4c (Code) (Expenses \$ 56,447,282 Including grants of \$ 154,172) (Revenue \$ 69,910) AIR'S EDUCATION, HUMAN DEVELOPMENT & THE WORKFORCE PROGRAM SERVES GOVERNMENT AGENCIES AT THE NATIONAL, STATE, AND LOCAL LEVEL IN AREAS OF EDUCATION INCLUDING ADULT EDUCATION AND LITERACY, CHILDREN'S MENTAL HEALTH, DISTRICT CONSULTING, EARLY CHILDHOOD, EDUCATION | | AND CONDUCTS STUDIES OF AIR'S UNPARALLELED EDUCAT RIGOROUS REQUIREMENTS S | THE VALIDITY OF ASSETIONAL RESEARCH EFF | SSMENTS FOR FI | EDERAL, STATE, AND LOCA NS AND BUILDS ASSESSME | LEDUCATION AGENCIES BY DRA NT PROGRAMS THAT AID IN MEA: | WING ON THE RESOURCES OF SURING COMPLIANCE WITH |
| AIR'S INTERNATIONAL DEVELOPMENT PROGRAM SEEKS TO ENHANCE THE CAPACITY OF DEVELOPING COUNTRIES TO IMPROVE THEIR QUALITY OF LIFE THE EDUCATION AND SOCIAL DEVELOPMENT IN COLLABORATION WITH LOCAL PARTNERS AIR SEEKS TO - ENSURE CHILDREN'S EQUITABLE ACCESS TO ALL LEVELOW EDUCATION, - IMPROVE THE QUALITY AND RELEVANCE OF EDUCATION, AND - EMPOWER INDIVIDUALS, COMMUNITIES, AND INSTITUTIONS AS AGENTS OF AND BEHAVIORAL CHANGE SEE SCHEDULE O FOR CONTINUATIONIN PURSUIT OF THESE OBJECTIVES, AIR HAS WORKED IN MORE THAN 80 COUNTRIES OV PAST THREE DECADES, STRENGTHENING NATIONAL EDUCATION SYSTEMS, INCREASING THE INVOLVEMENT OF COMMUNITIES IN LOCAL SCHOOLS, IMPROV QUALITY OF TEACHING AND LEARNING, DEVELOPING NEW TECHNIQUES FOR ASSESSING STUDENT PERFORMANCE, INCREASING ACCESS FOR BOTH GIRLS BOYS, ACCELERATING EDUCATIONAL REFORM, IMPROVING INFORMATION SYSTEMS, SLOWING THE SPREAD OF HIV/AIDS THROUGH BEHAVIOR CHANGE, AIR REDUCING THE INCIDENCE OF CHILD LABOR 4c (Code) (Expenses \$ 56,447,282 Including grants of \$ 154,172) (Revenue \$ 69,910) AIR'S EDUCATION, HUMAN DEVELOPMENT & THE WORKFORCE PROGRAM SERVES GOVERNMENT AGENCIES AT THE NATIONAL, STATE, AND LOCAL LEVEL IN AREAS OF EDUCATION INCLUDING ADULT EDUCATION AND LITERACY, CHILDREN'S MENTAL HEALTH, DISTRICT CONSULTING, EARLY CHILDHOOD, EDUCATION | | (Code |) (Expenses \$ | 72 239 602 | including grants of \$ |) (Revenue \$ |) |
| AIR'S EDUCATION, HUMAN DEVELOPMENT & THE WORKFORCE PROGRAM SERVES GOVERNMENT AGENCIES AT THE NATIONAL, STATE, AND LOCAL LEVEL IN AREAS OF EDUCATION INCLUDING ADULT EDUCATION AND LITERACY, CHILDREN'S MENTAL HEALTH, DISTRICT CONSULTING, EARLY CHILDHOOD, EDUCATION | | AIR'S INTERNATIONAL DEVELOR EDUCATION AND SOCIAL DEVELOR EDUCATION, - IMPROVE THE AND BEHAVIORAL CHANGE SE PAST THREE DECADES, STREIQUALITY OF TEACHING AND LEOYS, ACCELERATING EDUCA | OPMENT PROGRAM SEE VELOPMENT IN COLLABI E QUALITY AND RELEVAN EE SCHEDULE O FOR CO ENGTHENING NATIONAL LEARNING, DEVELOPING ATIONAL REFORM, IMPE | EKS TO ENHANCE DRATION WITH LO ICE OF EDUCATION DIVINUATION IN FEDUCATION SYSTEM MEDUCATION SYSTEM MEDICATION SYSTEM MED | THE CAPACITY OF DEVELO DCAL PARTNERS AIR SEEKS DN, AND - EMPOWER INDI PURSUIT OF THESE OBJECT TEMS, INCREASING THE IN JES FOR ASSESSING STUDE | DPING COUNTRIES TO IMPROVE T S TO - ENSURE CHILDREN'S EQU VIDUALS, COMMUNITIES, AND IN: ITVES, AIR HAS WORKED IN MOR VOLVEMENT OF COMMUNITIES IN ENT PERFORMANCE, INCREASING | JITABLE ACCESS TO ALL LEVELS OF STITUTIONS AS AGENTS OF SOCIAL E THAN 80 COUNTRIES OVER THE I LOCAL SCHOOLS, IMPROVING THE ACCESS FOR BOTH GIRLS AND |
| AIR'S EDUCATION, HUMAN DEVELOPMENT & THE WORKFORCE PROGRAM SERVES GOVERNMENT AGENCIES AT THE NATIONAL, STATE, AND LOCAL LEVEL IN AREAS OF EDUCATION INCLUDING ADULT EDUCATION AND LITERACY, CHILDREN'S MENTAL HEALTH, DISTRICT CONSULTING, EARLY CHILDHOOD, EDUCATION | | | | | | | |
| EVIDENCE & INDICATORS, EDUCATION FINANCE, EDUCATION TECHNOLOGY, HIGHER EDUCATION, SCHOOL REFORM, SPECIAL EDUCATION AND WORKFOR RESEARCH & ANALYSIS | 4c | AIR'S EDUCATION, HUMAN DE AREAS OF EDUCATION INCLU EVIDENCE & INDICATORS, ED | EVELOPMENT & THE WO | ORKFORCE PROG ON AND LITERACY | RAM SERVES GOVERNMEN , CHILDREN'S MENTAL HEA | T AGENCIES AT THE NATIONAL, S ALTH, DISTRICT CONSULTING, EA | TATE, AND LOCAL LEVEL IN ALL RLY CHILDHOOD, EDUCATION |
| 4d Other program services (Describe in Schedule O.) See also Additional Data for Description | | Other program comme | /December of Caba | dula O \ 6 | alaa Additional Data S | ar Dagarintian | |
| 4d Other program services (Describe in Schedule O) See also Additional Data for Description (Expenses \$ 42,835,629 including grants of \$) (Revenue \$ 612,950) | 40 | · - | • | • | | - | 612 950) |
| 4e Total program service expenses \$\dagger\$ 245.707.412 | | · · · | , , | | <u> </u> | / (IVe veriue 4 | <u> </u> |

| art IV | Chec | klict | of Re | auired | Sche | dule |
|--------|------|-------|-------|--------|------|------|
| | | | | | | |

| | | | Yes | No |
|-----|---|-----|-----|----|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A | 1 | Yes | |
| 2 | Is the organization required to complete Schedule B, Schedule of Contributors? 🕏 | 2 | Yes | |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I | 3 | | No |
| 4 | Section 501(c)(3) organizations. Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II | 4 | | No |
| 5 | Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? If "Yes," complete Schedule C, Part III | 5 | | |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I | 6 | | No |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? <i>If "Yes," complete Schedule D, Part II</i> | 7 | | No |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III | 8 | | No |
| 9 | Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV | 9 | | No |
| 10 | Did the organization, directly or through a related organization, hold assets in term, permanent,or quasi- endowments? If "Yes," complete Schedule D, Part V | 10 | | No |
| 11 | Is the organization's answer to any of the following questions "Yes"? If so,complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable | 11 | Yes | |
| | ◆ Did the organization report an amount for land, buildings, and equipment in Part X, line10? If "Yes," complete Schedule D, Part VI. | | | |
| | ◆ Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII. | | | |
| | ◆ Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII. | | | |
| | ◆ Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX. | | | |
| | ◆ Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X. | | | |
| | ◆ Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? If "Yes," complete Schedule D, Part X. | | | |
| 12 | Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII | 12 | | No |
| 12A | Was the organization included in consolidated, independent audited financial statements for the tax year? Yes No | | | |
| | If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional | | | |
| 13 | Is the organization a school described in section $170(b)(1)(A)(II)^7$ If "Yes," complete Schedule E | 13 | | No |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States? | 14a | Yes | |
| b | Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? If "Yes," complete Schedule F, Part I | 14b | Yes | |
| 15 | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S? If "Yes," complete Schedule F, Part II | 15 | | No |
| 16 | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S ? If "Yes," complete Schedule F, Part III | 16 | | Νο |
| 17 | Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I | 17 | | No |
| 18 | Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II | 18 | | No |
| 19 | Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III | 19 | | No |
| 20 | Did the organization operate one or more hospitals? If "Yes," complete Schedule H | 20 | | Νο |

| Par | Checklist of Required Schedules (continued) | | | |
|-----|---|-----|-----|----|
| 21 | Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II | 21 | Yes | |
| 22 | Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III | 22 | | Νο |
| 23 | Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J | 23 | Yes | |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to line 25 | 24a | | No |
| b | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | | |
| c | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | | |
| d | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | | |
| 25a | Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I | 25a | | No |
| b | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I | 25b | | No |
| 26 | Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II | 26 | | No |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? If "Yes," complete Schedule L, Part III | 27 | | No |
| 28 | Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions) | | | |
| а | A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV | 28a | | No |
| b | A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV | 28b | Yes | |
| c | An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or owner? If "Yes," complete Schedule L, Part IV | 28c | Yes | |
| 29 | Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M | 29 | | Νo |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M | 30 | | No |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I | 31 | | No |
| 32 | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II | 32 | | No |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? If "Yes," complete Schedule R, Part I | 33 | Yes | |
| 34 | Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1 | 34 | Yes | |
| 35 | Is any related organization a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 | 35 | Yes | |
| 36 | Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2 | 36 | | Νο |
| 37 | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI | 37 | | No |
| 38 | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? | 38 | Yes | |

| | 990 (2009) | | | Page |
|---------|---|----------|-----|--|
| Pa | Tt V Statements Regarding Other IRS Filings and Tax Compliance | | | |
| | | | Yes | No |
| 1a | Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable | | | |
| | 1a 803 | | | |
| ь | Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable | | | |
| | 1b 0 | | | |
| c | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? | 1c | Yes | |
| 2a | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax | | | |
| | Statements filed for the calendar year ending with or within the year covered by this return | | | |
| ь | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? | | | |
| | Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return (see instructions) | 2b | Yes | |
| 3a | Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this | | | |
| | return? | 3a | | No |
| | If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O | 3Ь | | |
| 4a | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial | | | |
| | account)? | 4a | Yes | |
| b | BR, EG, HA, HO, MI, MK, MZ, NU, PK, SF, UK, ZA, GG, | | | |
| | If "Yes," enter the name of the foreign country <u>FET</u> See the instructions for exceptions and filing requirements for Form TD F 90-22 1, Report of Foreign Bank and | | | |
| | Financial Accounts | | | <u> </u> |
| 5a | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? \cdot . | 5a | | Νο |
| b | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | 5b | | No |
| c | If "Yes" to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding | Ĺ | | |
| 6a | Prohibited Tax Shelter Transaction? | 5с 6а | | No |
| | organization solicit any contributions that were not tax deductible? | 0a | | IN O |
| D | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | 6b | | |
| 7 | Organizations that may receive deductible contributions under section 170(c). | | | |
| а | Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? | 7a | | No |
| b | If "Yes," did the organization notify the donor of the value of the goods or services provided? | 7b | | |
| c | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to | 7- | | N |
| d | file Form 8282? | 7c | | No |
| u | 11 Tes, indicate the number of forms 6262 med during the year | | | |
| e | Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal | 7e | | |
| f | benefit contract? | 76 7f | | |
| | For all contributions of qualified intellectual property, did the organization file Form 8899 as required? | 71 7g | | |
| _ | For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as | , 9 | | |
| | required? | 7h | | <u> </u> |
| 8 | Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? | | | |
| _ | | 8 | | |
| 9 | Sponsoring organizations maintaining donor advised funds. | 0- | | |
| a | Did the organization make any taxable distributions under section 4966? | 9a 9b | | |
| ь 10 | Section 501(c)(7) organizations. Enter | 90 | | |
| | Initiation fees and capital contributions included on Part VIII, line 12 10a | | | |
| | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club | | | |
| _ | facilities | | | |
| 11 | Section 501(c)(12) organizations. Enter | | | |
| | Gross income from members or shareholders | | | |
| b | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them) | | | |
| 12a | Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? | 12a | | |
| | If "Yes," enter the amount of tax-exempt interest received or accrued during the | | | |
| | year 12b | | | 1 |

1000 THOMAS JEFFERSON ST NW WASHINGTON, DC 200073835

(202) 403-5000

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

| Se | ction A. Governing Body and Management | | | |
|-----------------|--|---------|----------|--------------|
| | | | Yes | No |
| | | | | |
| 1a | Enter the number of voting members of the governing body | | | |
| та b | Enter the number of voting members of the governing body | | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any | | | |
| _ | other officer, director, trustee, or key employee? | 2 | Yes | |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? | 3 | | Νο |
| 4 | Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed? | 4 | | Νο |
| 5 | Did the organization become aware during the year of a material diversion of the organization's assets? | 5 | | Νο |
| 6 | Does the organization have members or stockholders? | 6 | | Νο |
| 7a | Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body? | 7a | | N o |
| b | Are any decisions of the governing body subject to approval by members, stockholders, or other persons? | 7b | | Νο |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following | | | |
| а | The governing body? | 8a | Yes | |
| b | Each committee with authority to act on behalf of the governing body? | 8b | Yes | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O | 9 | | No |
| | ction B. Policies (This Section B requests information about policies not required by the Internal | | | |
| Re | venue Code.) | I | V | N' - |
| 10- | Describe augustion have lead about an humahar an efficience? | 10- | Yes | No |
| | Does the organization have local chapters, branches, or affiliates? | 10a | | <u> N o </u> |
| | affiliates, and branches to ensure their operations are consistent with those of the organization? | 10b | | |
| 11 | Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form? | 11 | Yes | |
| 11A | Describe in Schedule O the process, if any, used by the organization to review the Form 990 | | | |
| 12a | Does the organization have a written conflict of interest policy? If "No," go to line 13 | 12a | Yes | |
| b | Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise | | | |
| | to conflicts? | 12b | Yes | |
| С | Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done | 12c | Yes | |
| 13 | Does the organization have a written whistleblower policy? | 13 | Yes | |
| 14 | Does the organization have a written document retention and destruction policy? | 14 | Yes | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | | |
| | The organization's CEO, Executive Director, or top management official | 15a | Yes | |
| Ь | Other officers or key employees of the organization | 15b | Yes | |
| | If "Yes" to line a or b, describe the process in Schedule O (See instructions) | | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | 16a | | Νο |
| Ь | If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? | 10 | | |
| 6. | ction C. Disclosure | 16b | | |
| <u>5e</u> 17 | List the States with which a copy of this Form 990 is required to be filed FCA , OH , MA , OR , NC , RI , MI , IL , FI | CT | AK.N | J NM . |
| | CO, NY, WA, PA | , - , , | , 14- | |
| 18 | Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you make these available. Check all that apply Own website. Another's website. | | | |
| 19 | Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table | | | |
| 20 | State the name, physical address, and telephone number of the person who possesses the books and records of the MARIJO AHLGRIMM | ie orga | nızatıon | • |

Part VIII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year. Use Schedule J-2 if additional space is needed

- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and current key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's current key employees. See instructions for definition of "key employee"
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

| Check this box if the organization did i | not compen | sate any | y curi | rent | or fo | rmer | ffice | r, dırector, trustee | or key employee | |
|--|-------------------------|--|------------------------------|--------------|------------------------------|--------|--|---|--|--|
| (A) Name and Title | (B) Average hours | Posi t | (C tion (hat a | ched | ckal) | I | | (D) Reportable compensation | (E) Reportable compensation | (F) Estimated amount of other |
| | per week | Officer Officer Institutional Trustee Individual trustee or director | | Key employee | Highest compensated employee | Former | from the organization (W- 2/1099-MISC) | from related organizations (W- 2/1099- MISC) | compensation from the organization and related organizations | |
| See add'l data | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |

| 1b | Total . | | | | | | | | | • | 7,902,993 | 1,472,533 |
|----|---------|--|--|--|--|--|--|--|--|---|-----------|-----------|

Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization -230

| | | | Yes | No |
|---|--|---|-----|----|
| 3 | Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If</i> " <i>Yes," complete Schedule J for such individual</i> | 3 | Yes | |
| 4 | For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual | 4 | Yes | |
| | | - | 163 | |
| 5 | Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? If "Yes," complete Schedule J for such person | 5 | | No |

Section B. Independent Contractors

Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization

| (A) Name and business address | (B) Description of services | (C) Compensation |
|---|---|---------------------|
| PEARSON EDUC MEASUREMENTNCS PEARSON I 13036 COLLECTION CTR DR CHICAGO, IL 60693 | PRINT, SCORE, AND DIST OF TEST REPORTS | 25,476,565 |
| DATA RECOGNITION CORP M177 PO BOX 1150 MINNEAPOLIS, MN 554801150 | PRINT, SCORE, AND DIST OF TEST REPORTS | 14,536,850 |
| LE FONDS PARRAINAGE 9 RUE 4 PACOT, PORT-AU-PRINCE HA | SEE SCHEDULE O | 3,232,536 |
| AGHA KHAN FOUNDATION 1825 K STREET NW SUITE 901 WASHINGTON, DC 20006 | SEE SCHEDULE O | 2,737,474 |
| THE CORKERY GROUP 260 FIFTH AVENUE NEW YORK, NY 10001 | SEE SCHEDULE O | 2,510,000 |
| 2 Total number of independent contractors (including but not limited to those listed above) | who received more than | |

\$100,000 in compensation from the organization \ 82

| Form 99 | • | <u> </u> | | | | | | Page 9 |
|--|-----------|---|---|--------------------------|----------------------|--|---|---|
| Part V | <u> </u> | Statement o | f Revenue | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512, 513, or 514 |
| ats ats | 1a | Federated camp | paigns 1a | | | | | |
| E I | ь | Membership du | es 1b | | | | | |
| રે. ∰ | c | Fundraising eve | ents 1c | | | | | |
| <u>∌,≅</u> | d | Related organiz | ations 1d | | | | | |
| έŒ | e | Government grants | s (contributions) 1e | 272,418,459 | | | | |
| afi er : | f | All other contribution similar amounts no | ons, gifts, grants, and 1f of included above | 26,498,178 | | | | |
| ⊕ | g | | butions included in | | | | | |
| Contributions, gifts, grants and other similar amounts | h | | | ▶ | 298,916,637 | | | |
| <u>O @</u> | | Total. Add filles | S 14-11 | | 250,510,001 | | | |
| Jile | 2a | PROVISION OF VAR | ? SFRV | Business Code 900,099 | 592,191 | 592,191 | | |
| Program Service Revenue | ь | SPEAKING FEES | | 900,099 | 93,828 | 93,828 | | |
| ě. E | _ | MISC PROGRAM F | EES | 900,099 | 43 | 43 | | |
| 7. 2. | d | | | | | | | |
| å ⊆ | e | | | | | | | |
| gran | f | All other progra | ım service revenue | | | | | |
| Ž. | g | Total Add lines | s 2a-2f | h - | 686,062 | | | |
| | 3 | | ome (including divident | | 000,002 | | | |
| | | | aramounts) | · · · | 1,129,538 | | | 1,129,538 |
| | 4 | Income from inves | tment of tax-exempt bond p | oroceeds 🕨 | | | | |
| | 5 | Royalties | | | 26,626 | | | 26,626 |
| | | Crass Bants | (ı) Real 1,825,536 | (11) Personal 19,404 | | | | |
| | 6а b | Gross Rents Less rental | 2,501,669 | 19,404 | | | | |
| | | expenses Rental income | -676,133 | 0 | | | | |
| | | or (loss) | | <u> </u> | -676,133 | | | -676,133 |
| | d | Net rental incor | me or (loss) (ı) Securities | (II) O ther | -070,133 | | | -070,133 |
| | 7a | Gross amount from sales of assets other than inventory | 19,364,027 | (ii) o thei | | | | |
| | ь | Less cost or other basis and sales expenses | 18,956,645 | 1,131 | | | | |
| | c | Gain or (loss) | 407,382 | -1,131 | | | | |
| | d 8a | | s) | | 406,251 | | | 406,251 |
| Other Revenue | ь | See Part IV , lin | luding reported on line 1c) | | | | | |
| ŏ | С | Net income or (| loss) from fundraising | events 🟲 | | | | |
| | 9a | Gross income fi See Part IV, lin | rom gaming activities e 19 a | | | | | |
| | b c | | penses b loss) from gaming activ | vities | | | | |
| | 10a | Gross sales of returns and allo | | | | | | |
| | ь | Less cost of go | oods sold b | | | | | |
| | С | | loss) from sales of inve | | | | | |
| | | Miscellaneous | Revenue | Business Code | | | | |
| | 11a | | | | | | | |
| | Ь | | | | | | | |
| | c | A.II | | | | | | |
| | d | All other revenu | | | | | | |
| | 12 | | Sila-lid See Instructions | · · · · • | | | | |
| | ** | iotal levenue. | oee instructions | · · [| 300,488,981 | 686,062 | 0 | 886,282 |

Part IX Statement of Functional Expenses

| | Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D). | | | | | | | | | | | |
|----|---|-----------------------|-----------------------------|---------------------------------|-------------------------|--|--|--|--|--|--|--|
| | | | ns (B), (C), and ((B) | (C) | (D) | | | | | | | |
| | ot include amounts reported on lines 6b, o, 9b, and 10b of Part VIII. | (A) Total expenses | Program service expenses | Management and general expenses | Fundraising expenses | | | | | | | |
| 1 | Grants and other assistance to governments and organizations | | | | | | | | | | | |
| | in the U.S. See Part IV, line 21 | 154,172 | 154,172 | | | | | | | | | |
| 2 | Grants and other assistance to individuals in the U.S. See Part IV, line 22 | | | | | | | | | | | |
| 3 | Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16 | | | | | | | | | | | |
| 4 | Benefits paid to or for members | | | | | | | | | | | |
| 5 | Compensation of current officers, directors, trustees, and key employees | 7,153,961 | 2,556,973 | 4,596,988 | | | | | | | | |
| 6 | Compensation not included above, to disqualified persons (as defined under section $4958(f)(1)$) and persons described in section $4958(c)(3)(B)$ | 156,601 | 129,868 | 26,733 | | | | | | | | |
| 7 | Other salaries and wages | 83,503,555 | 71,997,649 | 11,505,906 | | | | | | | | |
| 8 | Pension plan contributions (include section 401(k) and section 403(b) employer contributions) | 6,264,342 | 5,046,721 | 1,217,621 | | | | | | | | |
| 9 | Other employee benefits | 8,372,093 | 6,130,798 | 2,241,295 | _ | | | | | | | |
| 10 | Payroll taxes | 5,422,631 | 4,485,615 | 937,016 | | | | | | | | |
| 11 | Fees for services (non-employees) | , , | , , | · | | | | | | | | |
| а | Management | | | | | | | | | | | |
| b | Legal | 845,324 | | 845,324 | | | | | | | | |
| c | Accounting | 320,371 | | 320,371 | | | | | | | | |
| d | Lobbying | , | | , | | | | | | | | |
| e | Professional fundraising See Part IV, line 17 | | | | _ | | | | | | | |
| f | Investment management fees | 15,478 | | 15,478 | - | | | | | | | |
| g | Other | 102,411,609 | 100,033,083 | 2,378,526 | | | | | | | | |
| 12 | Advertising and promotion | 206,895 | 167,863 | 39,032 | - | | | | | | | |
| 13 | Office expenses | 17,364,661 | 15,982,653 | 1,382,008 | _ | | | | | | | |
| 14 | Information technology | 1,578,544 | 1,381,435 | 197,109 | _ | | | | | | | |
| 15 | Royalties | | | | - | | | | | | | |
| 16 | Occupancy | 15,205,578 | 12,596,610 | 2,608,968 | _ | | | | | | | |
| 17 | Travel | 12,284,188 | 11,638,772 | 645,416 | | | | | | | | |
| 18 | Payments of travel or entertainment expenses for any federal, state, or local public officials | | | | | | | | | | | |
| 19 | Conferences, conventions, and meetings | 2,788,935 | 2,606,438 | 182,497 | | | | | | | | |
| 20 | Interest | 19 | | 19 | | | | | | | | |
| 21 | Payments to affiliates | | | | | | | | | | | |
| 22 | Depreciation, depletion, and amortization | 2,533,203 | 1,956,440 | 576,763 | | | | | | | | |
| 23 | Insurance | 432,703 | 175,117 | 257,586 | | | | | | | | |
| 24 | Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below) | | | | | | | | | | | |
| а | TRAINING | 6,060,702 | 6,016,800 | 43,902 | | | | | | | | |
| b | MISCELLANEOUS | 2,825,133 | 3,002 | 2,822,131 | | | | | | | | |
| c | PRINTING - PRG MATERIAL | 2,647,403 | 2,647,403 | | | | | | | | | |
| d | BAD DEBT EXPENSES | 2,433,132 | | 2,433,132 | | | | | | | | |
| e | | | | | | | | | | | | |
| f | All other expenses | | | | | | | | | | | |
| 25 | Total functional expenses. Add lines 1 through 24f | 280,981,233 | 245,707,412 | 35,273,821 | 0 | | | | | | | |
| 26 | Joint costs. Check here ► ☐ If following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation | | | | | | | | | | | |

| Pa | rt X | Balance Sheet | | | | | | | |
|--------------|------|--|---|-------------------|-------------------|-----------|-------------|--|--|
| | | | | | (A) | | (B) | | |
| | 1 | | | | Beginning of year | | End of year | | |
| | 1 | Cash—non-interest-bearing | | | 11,282,917 | | 13,977,449 | | |
| | 2 | Savings and temporary cash investments | • | | 25,002,183 | | | | |
| | 3 | Pledges and grants receivable, net | • | | | 3 | | | |
| | 4 | Accounts receivable, net | | | 63,754,084 | 4 | 51,489,119 | | |
| | 5 | Receivables from current and former officers, directors, trustees highest compensated employees Complete Part II of | s, key | employees, and | | | | | |
| | | Schedule L | | | | 5 | | | |
| | 6 | Receivables from other disqualified persons (as defined under s persons described in section $4958(c)(3)(B)$ Complete Part II of | | 1 4958(f)(1)) and | | | | | |
| | | Schedule L | | | | 6 | | | |
| ssets | 7 | Notes and loans receivable, net | | 24,195 | 7 | 10,000 | | | |
| Š | 8 | Inventories for sale or use | | | | 8 | | | |
| ⋖ | 9 | Prepaid expenses and deferred charges | | | 1,535,525 | 9 | 2,884,053 | | |
| | 10a | Land, buildings, and equipment cost or other basis <i>Complete</i> Part VI of Schedule D | 10a | 25,758,016 | | | | | |
| | ь | Less accumulated depreciation | 10b | 15,331,286 | 11,109,166 | 10c | 10,426,730 | | |
| | 11 | Investments—publicly traded securities | | | 3,274,192 | 11 | 55,648,618 | | |
| | 12 | Investments—other securities See Part IV, line 11 | | 889,245 | 12 | 1,301,120 | | | |
| | 13 | Investments—program-related See Part IV, line 11 | | | 13 | | | | |
| | 14 | Intangible assets | | | 14 | _ | | | |
| | 15 | Other assets See Part IV, line 11 | | | | | | | |
| | 16 | Total assets. Add lines 1 through 15 (must equal line 34) . | | | 126,050,452 | 16 | 144,588,151 | | |
| | 17 | Accounts payable and accrued expenses . | | | 30,968,205 | 17 | 34,518,899 | | |
| | 18 | Grants payable | | 18 | | | | | |
| | 19 | Deferred revenue | 12,876,301 | 19 | 6,791,715 | | | | |
| | 20 | Tax-exempt bond liabilities | | 20 | | | | | |
| 68 | 21 | Escrow or custodial account liability Complete Part IV of Schedul | | 21 | | | | | |
| Liabilities | 22 | Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified | | | | | | | |
| eį. | | persons Complete Part II of Schedule L | | • | | 22 | | | |
| _ | 23 | Secured mortgages and notes payable to unrelated third parties | | | | 23 | | | |
| | 24 | Unsecured notes and loans payable to unrelated third parties | | | | 24 | | | |
| | 25 | Other liabilities Complete Part X of Schedule D | | | 5,685,043 | 25 | 6,741,594 | | |
| | 26 | Total liabilities. Add lines 17 through 25 | | | 49,529,549 | 26 | 48,052,208 | | |
| 5 | | Organizations that follow SFAS 117, check here ▶ 🔽 and comp | lete l | ines 27 | | | | | |
| æ | | through 29, and lines 33 and 34. | | | | | | | |
| an | 27 | Unrestricted net assets | | | 76,520,903 | 27 | 96,535,943 | | |
| <u>е</u> | 28 | Temporarily restricted net assets | | 28 | | | | | |
| Fund Balance | 29 | Permanently restricted net assets | | | | 29 | | | |
| Fui | | Organizations that do not follow SFAS 117, check here ▶ ┌ ar | nat do not follow SFAS 117, check here 🕨 🦵 and complete | | | | | | |
| 10 | | lines 30 through 34. | | | | | | | |
| | 30 | Capital stock or trust principal, or current funds | • | | | 30 | | | |
| Assets | 31 | Paid-in or capital surplus, or land, building or equipment fund | | | | 31 | | | |
| | 32 | Retained earnings, endowment, accumulated income, or other fu | ınds | | | 32 | | | |
| Net | 33 | Total net assets or fund balances | | | 76,520,903 | 33 | 96,535,943 | | |
| | 34 | Total liabilities and net assets/fund balances | | | 126,050,452 | 34 | 144,588,151 | | |

Part XI Financial Statements and Reporting

| | | | Yes | No |
|----|---|----|-----|----|
| 1 | Accounting method used to prepare the Form 990 | | | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? | 2a | | No |
| b | Were the organization's financial statements audited by an independent accountant? | 2b | Yes | |
| C | If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O | 2c | Yes | |
| d | If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both Separate basis Consolidated basis Both consolidated and separated basis | | | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | 3a | Yes | |
| b | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits | 3b | Yes | |

Form **990** (2009)

Name of the organization AMERICAN INSTITUTES FOR RESEARCH IN THE

0MBN: 1545 004

OMB No 1545-0047

2000

Open to Public Inspection

SCHEDULE A

(Form 990 or 990EZ)

Department of the Treasury Internal Revenue Service

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Public Charity Status and Public Support

Attach to Form 990 or Form 990-EZ. See separate instructions.

Employer identification number

| :HA\ | VIORAL S | SCIENCES | | | | | | | 25-09652 | 19 | | | | | |
|-----------------------------------|--|---|---------------|--|---|-------------|--|---|---|---------------|----------------------------|---------|--|--|--|
| | rt I | Reason for Public Charity Status (All organizations must complete this part.) See instructions ration is not a private foundation because it is (For lines 1 through 11, check only one box) | | | | | | | | | | | | | |
| he | organiz | zation is | not a private | e foundation because | tis (Forli | nes 1 throu | ıgh 11, check | only one bo | ×) | | | | | | |
| 1 | Γ | A churc | h, conventio | on of churches, or as: | sociation of | churches : | section 170(b |)(1)(A)(i). | | | | | | | |
| 2 | Γ | A schoo | l described | ın section 170(b)(1) | (A)(ii). (Att | ach Sched | ule E) | | | | | | | | |
| 3 | \sqcap | A hospit | al or a coop | perative hospital serv | vice organiza | atıon descr | ıbed ın sectio | n 170(b)(1) | (A)(iii). | | | | | | |
| 4 | Γ | A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state | | | | | | | | | | | | | |
| 5 | Γ | _ | • | rated for the benefit (Complete Pa | _ | or universi | ty owned or o | perated by a | governmenta | al unit desci | ribed in | | | | |
| 6 | Г | | | ate, or local government or governmental unit described in section 170(b)(1)(A)(v). | | | | | | | | | | | |
| 7 | Γ | An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi) (Complete Part II) | | | | | | | | | | | | | |
| 8 | Г | | | | | (Cor | nplete Part II |) | | | | | | | |
| 9 10 11 e | An organization that normally receives (1) more than 331/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 331/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.) An organization organized and operated exclusively to test for public safety. See section 509(a)(4). An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Che the box that describes the type of supporting organization and complete lines 11e through 11h a | | | | | | | | ses of .Check her ons 1) or | | | | | | |
| | | (i) a per | son who dır | ectly or indirectly co | ntrols, eithe | ralone ort | ogether with p | persons des | crıbed ın (ıı) | | Yes | No | | | |
| | | and (III) | below, the g | joverning body of the | the support | ted organiz | ation? | | | 11g(| (i) | | | | |
| | | (ii) a far | mily membe | r of a person describ | ed ın (ı) abo | ve? | | | | 11g(| ii) | | | | |
| h | | | | ed entity of a person g information about t | | | | | | 11g(| iii) | <u></u> | | | |
| (i) Name suppor organiza | | ne of (ii) (described on orted EIN lines 1-9 above or IRC section | | Is th organizat col (i) lis your gove | (iv) Is the organization in col (i) listed in your governing document? | |) otify the tion in of your ort? | (vi Is t organiza col (i) or in the l | he ation in ganized | Am | (vii) ount of pport? | | | | |
| | | | | (see instructions)) | Yes | No | Yes | No | Yes | No | | | | | |
| | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | |
| ot a | | | | | | | | | | | | | | | |

ınstructions

| P | Support Schedule (Complete only if yo | | | | | and 170(b) | (1)(A)(vi) |
|---------|--|--------------------------|--------------------|---------------------|---------------------|-----------------|------------------|
| S | ection A. Public Support | a checked tile | DOX OII IIIC 3, | ,, or o or rare. | ֥ <i>)</i> | | |
| | endar year (or fiscal year beginning | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) 2009 | (f) Total |
| | ın) | (a) 2005 | (b) 2006 | (6) 2007 | (d) 2008 | (e) 2009 | (I) Total |
| 1 | Gifts, grants, contributions, and | | | | | | |
| | membership fees received (Do not | | | | | | |
| | include any "unusual | | | | | | |
| _ | grants ") Tax revenues levied for the | | | | | | |
| 2 | organization's benefit and either | | | | | | |
| | paid to or expended on its | | | | | | |
| | behalf | | | | | | |
| 3 | The value of services or facilities | | | | | | |
| - | furnished by a governmental unit to | | | | | | |
| | the organization without charge | | | | | | |
| 4 | Total. Add lines 1 through 3 | | | | | | |
| 5 | The portion of total contributions by | | | | | | |
| | each person (other than a | | | | | | |
| | governmental unit or publicly | | | | | | |
| | supported organization) included on | | | | | | |
| | line 1 that exceeds 2% of the | | | | | | |
| | amount shown on line 11, column | | | | | | |
| _ | (f) Dublic Support Subtract line F from | | | | | | |
| 6 | Public Support. Subtract line 5 from line 4 | | | | | | |
| S | ection B. Total Support | 1 | | - | | | |
| | endar year (or fiscal year beginning | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) 2009 | (f) Total |
| | ın) | (a) 2005 | (b) 2000 | (6) 2007 | (d) 2008 | (e) 2009 | (1) Total |
| 7 | A mounts from line 4 | | | | | | |
| 8 | Gross income from interest, | | | | | | |
| | dividends, payments received on | | | | | | |
| | securities loans, rents, royalties | | | | | | |
| | and income from similar | | | | | | |
| 9 | sources Net income from unrelated | | | | | | |
| 9 | business activities, whether or | | | | | | |
| | not the business is regularly | | | | | | |
| | carried on | | | | | | |
| 10 | Other income (Explain in Part | | | | | | |
| | IV) Do not include gain or loss | | | | | | |
| | from the sale of capital assets | | | | | | |
| 11 | Total support (Add lines 7 | | | | | | |
| 4.5 | through 10) | /5 | | | | | |
| 12 | Gross receipts from related activities | , | • | | | 12 | |
| 13 | First Five Years If the Form 990 is f | or the organizati | on's first, second | , third, fourth, or | fifth tax year as a | 501(c)(3) orga | inization, ▶□ |
| | check this box and stop here | | | | | | -1 |
| S | ection C. Computation of Pub | lic Support P | ercentage | | | | |
| 14 | Public Support Percentage for 2009 | | | 11 column (f)) | | 14 | |
| 15 | Public Support Percentage for 2008 | Schedule A . Pa | rt II. line 14 | | | 15 | |
| | 33 1/3% support test—2009. If the | • | , | v on line 13 and | line 14 is 33 1/30 | | k this hox |
| | and stop here. The organization qua | - | | · | IIIIC 14 13 33 1/3/ | o or more, ence | ▶ □ |
| ь | 33 1/3% support test—2008. If the | | | | 5a, and line 15 is | 33 1/3% or moi | |
| | box and stop here. The organization | | | | , | | ▶ |
| 17a | 10%-facts-and-circumstances test- | -2009. If the org | anızatıon dıd not | check a box on lı | ne 13, 16a, or 16 | b and line 14 | |
| | ıs 10% or more, and ıf the organizat | | | | | | |
| | in Part IV how the organization mee | ts the "facts and | l cırcumstances" | test The organiz | ration qualifies as | a publicly supp | |
| L | organization | _2009 Ifthe c== | onization did net | chack a bay as li | no 12 165 164 | or 17a and line | ▶□ |
| D | 10%-facts-and-circumstances test- 15 is 10% or more, and if the organ | _ | | | | | |
| | Explain in Part IV how the organizat | | | | | | clv |
| | supported organization | | | | gaao., quu | | ▶ ┌ |
| 10 | Deiveta Farmdation Ifthe averages | on did not obselv | a hay an line 12 | 16- 16- 17- | 17 | hay and cas | • |

▶□

Part III Support Schedule for Organizations Described in IRC 509(a)(2)

(Complete only if you checked the box on line 9 of Part I.)

| Se | ction A. Public Support | | | • | | | |
|------|--|-------------------|---------------------|----------------------|---------------------|------------------------|------------------|
| Cale | ndar year (or fiscal year beginning in) | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) 2009 | (f) Total |
| 1 | Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants") | 187,044,283 | 220,035,387 | 240,380,082 | 301,324,174 | 298,916,726 | 1,247,700,65 |
| 2 | Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt | 32,982,065 | 30,822,269 | 28,896,769 | 644,304 | 685,968 | 94,031,37 |
| 3 | purpose Gross receipts from activities that are not an unrelated trade or | | | | | | |
| 4 | business under section 513 Tax revenues levied for the organization's benefit and either paid to or expended on its | | | | | | |
| 5 | behalf The value of services or facilities furnished by a governmental unit to the organization without | | | | | | |
| 6 | charge Total. Add lines 1 through 5 | 220,026,348 | 250,857,656 | 269,276,851 | 301,968,478 | 299,602,694 | 1,341,732,02 |
| | A mounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | ı |
| b | A mounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the | 9,082,679 | 1,287,389 | 279,043 | | | 10,649,11 |
| _ | year Add lines 7a and 7b | 9,082,679 | 1,287,389 | 279,043 | | | 10,649,11 |
| 8 | Public Support (Subtract line 7c | 3,002,073 | 1,207,309 | 273,013 | | | 1,331,082,91 |
| | from line 6) | | | | | | 1,551,002,51 |
| | ction B. Total Support | | | | | | |
| cale | ndar year (or fiscal year beginning in) | (a) 2005 | (b) 2006 | (c) 2007 | (d) 2008 | (e) 2009 | (f) Total |
| 9 | A mounts from line 6 | 220,026,348 | 250,857,656 | 269,276,851 | 301,968,478 | 299,602,694 | 1,341,732,02 |
| 10a | Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | 874,476 | 831,157 | 1,249,000 | 1,820,770 | 3,001,104 | 7,776,50 |
| b | Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | 5,973 | 1,904 | 2,092 | 10,345 | | 20,31 |
| c | Add lines 10a and 10b | 880,449 | 833,061 | 1,251,092 | 1,831,115 | 3,001,104 | 7,796,82 |
| 11 | Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 | Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV) | 8,332 | 25,960 | | | | 34,29 |
| 13 | Total support (Add lines 9, 10c, 11 and 12) | 220,915,129 | 251,716,677 | 270,527,943 | 303,799,593 | 302,603,798 | 1,349,563,14 |
| 14 | First Five Years If the Form 990 is check this box and stop here | for the organizat | Ion's first, second | I, third, fourth, or | fifth tax year as a | I a 501(c)(3) orgar | nization, ▶ |
| Sa | ction C. Computation of Pub | lic Support D | ercentage | | | | |

15 Public Support Percentage for 2009 (line 8 column (f) divided by line 13 column (f))

16 Public support percentage from 2008 Schedule A, Part III, line 15

| 15 | 98 630 | % |
|----|--------|-----|
| 16 | 98 490 | 0/2 |

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2009 (line 10c column (f) divided by line 13 column (f))

18 Investment income percentage from 2008 Schedule A, Part III, line 17

| 17 | 0 580 % |
|----|---------|
| 18 | 0 450 % |

19a 33 1/3% support tests—2009. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support tests—2008. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions

Part IV

Supplemental Information. Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. See instructions

Schedule A (Form 990 or 990-EZ) 2009

Additional Data

Software ID:

Software Version:

EIN: 25-0965219

Name: AMERICAN INSTITUTES FOR RESEARCH IN THE

BEHAVIORAL SCIENCES

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services (Code)(Expenses \$ 24,426,553 including grants of \$) (Revenue \$ 15.814) THE AIR HEALTH PROGRAM USES RESEARCH AS A CATALYST FOR CHANGE THAT LEADS TO SAFER COMMUNITIES, IMPROVED HEALTHCARE. HEALTHIER ENVIRONMENTS, AND IMPROVED QUALITY OF LIFE AIR HAS A GROWING HEALTH POLICY RESEARCH, COMMUNICATIONS, AND INFORMATION SCIENCES GROUP OUR CLIENTS AND AUDIENCES INCLUDE FEDERAL, STATE, AND LOCAL GOVERNMENTS, FOUNDATIONS, PRIVATE HEALTHCARE PROVIDERS, UNIVERSITIES, AND THE GENERAL PUBLIC OUR HEALTH SERVICES AND POLICY RESEARCH GROUP DRAWS ON A NUMBER OF DISCIPLINES TO DESIGN, IMPLEMENT AND EVALUATE POLICIES THROUGH BOTH TRADITIONAL RESEARCH METHODS AND TECHNICAL ASSISTANCE ACTIVITIES OUR AWARD-WINNING TEAM OF HEALTH COMMUNICATORS HAS DEVELOPED MANY SUCCESSFUL PUBLIC HEALTH CAMPAIGNS TO INCREASE AWARENESS AND PROMOTE HEALTHY BEHAVIORS AMONG DIVERSE POPULATIONS (Code) (Expenses \$ 18,409,076 including grants of \$) (Revenue \$ 597,136) AIR'S FEDERAL STATISTICS PROGRAM WORKS WITH THE NATIONAL CENTER FOR EDUCATION STATISTICS (NCES), WHICH IS THE FEDERAL GOVERNMENT'S PRIMARY SOURCE FOR COLLECTING AND ANALYZING STATISTICAL DATA ABOUT PUBLIC EDUCATION IN THE UNITED STATES AND AROUND THE WORLD THE INFORMATION PROVIDED BY OUR EXPERTS CONTRIBUTES TO THE DEVELOPMENT OF HIGH-OUALITY STATISTICS ABOUT EDUCATION THAT INFORM DISCUSSION AND DEBATE AT THE DECISION-MAKING LEVELS OF NATIONAL, STATE, AND LOCAL GOVERNMENTS

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest

| (A) Name and Title | (B) Average hours per | | ((ition (that a | che | | | | (D) Reportable compensation from the | (E) Reportable compensation from related | (F) Estimated amount of other compensation |
|---|---|---|-------------------------|---------|--------------|------------------------------|--------|--|---|--|
| | Individual trustee or director ek we | | Institutional Trustee | Officei | Key employee | Highest compensated employee | Former | organization (W- 2/1099-MISC) | organizations (W- 2/1099- MISC) | from the organization and related organizations |
| SOL H PELAVIN PRESIDENT, BOARD MEMBER | 40 00 | Х | | Х | | | | 1,340,006 | 0 | 332,722 |
| LAWRENCE BOBO VICE CH, BD MEM, CMTE CH | 5 00 | Х | | X | | | | 48,750 | 0 | 0 |
| ROBERT F BORUCH BOARD MEMBER | 2 00 | Х | | | | | | 30,472 | 0 | 0 |
| DELANO LEWIS BOARD MEMBER | 2 00 | Х | | | | | | 25,000 | 0 | 0 |
| PATRICIA GURIN BOARD CHAIR, BD MEMBER | 6 00 | Х | | Х | | | | 22,500 | 0 | 0 |
| SARA B KIESLER BD MEMBER, CMTE CHAIR | 5 00 | Х | | | | | | 45,000 | 0 | 0 |
| GREG BARONI BD MEMBER, CMTE CHAIR | 5 00 | Х | | | | | | 41,250 | 0 | 0 |
| RICHARD A SMITH BOARD MEMBER | 2 00 | Х | | | | | | 25,000 | 0 | 0 |
| ALAN KRUEGER BOARD MEMBER | 2 00 | Х | | | | | | 10,000 | 0 | 0 |
| NANCY CANTOR BRECHIN BOARD MEMBER | 2 00 | Х | | | | | | 25,000 | 0 | 0 |
| KATHY MCKINLESS BOARD MEMBER, CMTE CHAIR | 5 00 | Х | | | | | | 48,750 | 0 | 0 |
| MARIJO AHLGRIMM TREASURER, SR VP, CFO | 40 00 | | | Χ | | | | 56,273 | 0 | 363 |
| DONA KILPATRICK SECRETARY | 40 00 | | | Χ | | | | 187,840 | 0 | 29,951 |
| CHRISTIAN B HAMILTON TREASURER, SR VP, CFO | 40 00 | | | Χ | | | | 530,414 | 0 | 35,361 |
| DIANE PELAVIN EXECUTIVE VP | 40 00 | | | | Х | | | 731,355 | 0 | 177,634 |
| MICHAEL KANE EXECUTIVE VP | 40 00 | | | | X | | | 436,060 | 0 | 149,937 |
| DAVID MYERS SR VP | 40 00 | | | | X | | | 401,745 | 0 | 44,235 |
| JON COHEN VICE PRESIDENT | 40 00 | | | | X | | | 464,484 | 0 | 76,927 |
| JANET ROBB SR VP | 40 00 | | | | Х | | | 393,994 | 0 | 31,520 |
| MARK FANNING SR VP | 40 00 | | | | Х | | | 323,290 | 0 | 45,987 |
| MARK KUTNER VICE PRESIDENT | 40 00 | | | | Х | | | 348,192 | 0 | 77,449 |
| THOMAS JESULAITIS CHIEF CONT /GRANTS OFF | 40 00 | | | | Х | | | 178,120 | 0 | 58,962 |
| MARILYN MOON VICE PRESIDENT | 40 00 | | | | Х | | | 332,191 | 0 | 47,228 |
| STEPHEN KROMER DIR ASSESSMENT OPERATION | 40 00 | | | | | Χ | | 421,638 | 0 | 70,566 |
| GARY PHILLIPS VICE PRESIDENT | 40 00 | | | | | Χ | | 368,864 | 0 | 40,503 |

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

| (A) Name and Title | (B) Average hours per week | t | 욕볼 활 기의 | | | Highest compensat | Former | (D) Reportable compensation from the organization (W- 2/1099-MISC) | (E) Reportable compensation from related organizations (W- 2/1099- MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|--|--|---|------------|----------|---------|-------------------|--------|--|--|--|
| LAURA SALGANIK VICE PRESIDENT | 40 00 | | σ. | <u> </u> | <u></u> | <u>*</u> × | | 309,800 | 0 | 70,664 |
| DWAYNE NORRIS VICE PRESIDENT | 40 00 | | | | | x | | 297,455 | 0 | 49,789 |
| DAVID OSHER VICE PRESIDENT | 40 00 | | | | | X | | 242,071 | 0 | 72,382 |
| GEORGE W BOHRNSTEDT SR VP, FMR KEY EMPLOYEE | 24 00 | | | | | | Х | 217,479 | 0 | 60,353 |

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93493317013030

OMB No 1545-0047

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements ► Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

► Attach to Form 990. ► See separate instructions.

Open to Public Inspection

| Name of the organization MERICAN INSTITUTES FOR RESEARCH IN THE | | Employer identification number | | | | | | |
|---|----------------------------------|-----------------------------------|--|--|--|--|--|--|
| BEHAVIORAL SCIENCES | | 25-0965219 | | | | | | |
| Part I Organizations Maintaining Donor Ad | lvised Funds or Other Similar Fu | inds or Accounts. Complete if the | | | | | | |
| organization answered "Yes" to Form 990, Part IV, line 6. | | | | | | | | |
| | (a) Donor advised funds | (b) Funds and other accounts | | | | | | |

| | | (a) Donor ad | vised funds | (| b) Funds and (| other accou | nts |
|--------|--|-------------------------|-----------------------|-----------|-----------------------|-------------|------|
| 1 | Total number at end of year | | | | | | |
| 2 | Aggregate contributions to (during year) | | | | | | |
| 3 | Aggregate grants from (during year) | | | | | | |
| 4 | Aggregate value at end of year | | | | | | |
| 5 | Did the organization inform all donors and donor advi funds are the organization's property, subject to the | _ | | nor advis | ed | ☐ Yes | ┌ No |
| 6 | Did the organization inform all grantees, donors, and used only for charitable purposes and not for the ben conferring impermissible private benefit | | - | | | ☐ Yes | ┌ No |
| Pa | rt II Conservation Easements. Complete | ıf the organization | answered "Yes" t | o Form | 990, Part I' | V, line 7. | |
| 1 2 | Purpose(s) of conservation easements held by the or Preservation of land for public use (e.g., recreating Protection of natural habitat Preservation of open space Complete lines 2a-2d if the organization held a quality | on or pleasure) | Preservation of an | certified | historic struc | | a |
| | easement on the last day of the tax year | | | | | | |
| | T-4-1 | | | | Held at the | End of the | Year |
| а | Total number of conservation easements | | | 2a | | | |
| Ь | Total acreage restricted by conservation easements | | - d (-) | 2b | | | |
| с | Number of conservation easements on a certified his | | • , | 2c | | | |
| d | Number of conservation easements included in (c) a | cquired after 8/1//06 | | 2d | | | |
| 3 | Number of conservation easements modified, transfe | rred, released, exting: | uished, or terminate | ed by the | e organization | during | |
| 4 | Number of states where property subject to conserva | ation easement is loc | ated 🕨 | | | | |
| 5 | Does the organization have a written policy regarding enforcement of the conservation easements it holds? | • | ring, inspection, han | dling of | violations, and | ☐ Yes | ┌ No |
| 6 | Staff and volunteer hours devoted to monitoring, insp | pecting and enforcing | conservation easen | nents du | rıng the year | - | |
| 7 | A mount of expenses incurred in monitoring, inspecti | ng, and enforcing con | servation easement | s during | the year ► \$ | | |
| 8 | Does each conservation easement reported on line 2 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? | ?(d) above satisfy the | requirements of sec | tion | | ☐ Yes | ┌ No |
| 9 | In Part XIV, describe how the organization reports cobalance sheet, and include, if applicable, the text of the organization's accounting for conservation easen | the footnote to the org | | | | | |
| Par | Complete if the organization answered ' | | | or Oth | er Similar | Assets. | |
| 1a | If the organization elected, as permitted under SFAS art, historical treasures, or other similar assets held provide, in Part XIV, the text of the footnote to its fin | for public exhibition, | education or resear | ch ın fur | | | ₽, |
| b | If the organization elected, as permitted under SFAS historical treasures, or other similar assets held for provide the following amounts relating to these items | public exhibition, edu | | | | • | |
| | (i) Revenues included in Form 990, Part VIII, line 1 | | | | ► \$ | | |
| | (ii) Assets included in Form 990, Part X | | | | | | |
| 2 | If the organization received or held works of art, histofollowing amounts required to be reported under SFA | • | | or financ | | | |
| а | Revenues included in Form 990, Part VIII, line 1 | 2 | | | ► \$ | | |

b Assets included in Form 990, Part X

| 3 | Using the organization's accession and other | | | | | | | | | | continued) |
|--------|--|----------------------|---------|----------------|-----------|--------|---------------|--------|------------------------------|----------|------------|
| | items (check all that apply) | | ., | _ | _ | | - | | | | |
| а | Public exhibition | | d | Г | Loan | orexo | hange prog | rams | | | |
| b | Scholarly research | | e | Γ | O the | r | | | | | |
| С | Preservation for future generations | | | | | | | | | | |
| 4 | Provide a description of the organization's co Part XIV | llections and expla | ain ho | w the | y furthe | er the | organızatıoı | ı's ex | kempt purpose | ın | |
| 5 | During the year, did the organization solicit o | r receive donation | s of ar | rt, hıs | torıcal | treas | ures or othe | rsın | nılar | | |
| | assets to be sold to raise funds rather than t | | | | | | | | | Yes | │ No |
| Ра | rt IV Escrow and Custodial Arrang Part IV, line 9, or reported an an | | | | | | n answere | :d "Y | es" to Form S | 990, | |
| 1a | Is the organization an agent, trustee, custod included on Form 990, Part X? | | | | | utions | or other ass | ets | | ┌ Yes | ☐ No |
| b | If "Yes," explain the arrangement in Part XIV | and complete the | follov | ving t | able | | Γ | | An | nount | |
| С | Beginning balance | | | | | | | 1c | | | |
| d | Additions during the year | | | | | | F | 1d | | | |
| e | Distributions during the year | | | | | | ļ | 1e | | | |
| f | Ending balance | | | | | | | 1f | | | |
| 2a | Did the organization include an amount on Fo | orm 990. Part X. lun | ie 21? | , | | | L | | | ☐ Yes | |
| ь | If "Yes," explain the arrangement in Part XIV | | | | | | | | | , | , |
| | rt V Endowment Funds. Complete | | n ans | wer | ed "Ye | s" to | Form 990 | , Pai | t IV, line 10. | | |
| | • | (a)Current Year | |) Prior | | | vo Years Back | | Three Years Back | (e)Four | Years Back |
| 1a | Beginning of year balance | | | | | | | | | | |
| b | Contributions | | | | | | | | | | |
| c | Investment earnings or losses | | | | | | | | | | |
| d | Grants or scholarships | | | | | | | | | | |
| e | O ther expenditures for facilities and programs | | | | | | | | | | |
| f | Administrative expenses | | | | | | | | | | |
| g | End of year balance | | | | | | | | | | |
| 2 | Provide the estimated percentage of the yea | r end balance held | as | | | | | | | | |
| а | Board designated or quasi-endowment 🕨 | % | | | | | | | | | |
| ь | Permanent endowment 🕨 % | | | | | | | | | | |
| c | Term endowment ► % | | | | | | | | | | |
| 3a | Are there endowment funds not in the posses | ssion of the organiz | ation | that | are hel | d and | admınıstere | d for | the | | |
| | organization by | | | | | | | | | Yes | No |
| | (i) unrelated organizations | | • | | | • | | • | 3a | | |
| | (ii) related organizations | | | | | | | • | 3a(| | <u> </u> |
| ь 4 | If "Yes" to 3a(II), are the related organization Describe in Part XIV the intended uses of the | | | | | • | | • | 3 | Б | |
| | rt VI Investments—Land, Buildings | | | | | an D | art Y line | 10 | | | |
| ГQ | investments—Land, buildings | , and Equipme | | | Cost or | | (b)Cost or o | | (c) Assumulated | T | |
| | Description of investment | | | | s (invest | | basis (othe | | (c) Accumulated depreciation | (d) B | look value |
| | Land | | • | | | | | | | | |
| | Buildings | | • | | | | | | | | |
| C | Leasehold improvements | | • | | | | 14,95 | 1,914 | 8,285,00 | 6 | 6,666,908 |
| d | Equipment | | • | | | | 10,806 | 5,102 | 7,046,28 | 0 | 3,759,822 |
| | Other | | | | | | | | | | |
| Tota | al. Add lines 1a-1e <i>(Column (d) should equal Fo</i> | rm 990, Part X, colu | mn (B |), line | 10(c)., |) | · · · · | • | ▶ | | 10,426,730 |

| Part VII Investments—Other Securities. See (a) Description of security or category | Form 990, Part X, line 17 T | | d of valuation |
|--|--------------------------------|----------------|----------------------|
| (including name of security) | (b)Book value | | year market value |
| Financial derivatives | | | |
| Closely-held equity interests | | | |
| Other | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| Total. (Column (b) should equal Form 990, Part X, col (B) line 12) | | | |
| Part VIII Investments—Program Related. See | Form 990, Part X, line | | |
| (a) Description of investment type | (b) Book value | | d of valuation |
| | | Cost or ena-of | year market value |
| | + | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | _ |
| | | | |
| | | | |
| | | | |
| | | | |
| Total. (Column (b) should equal Form 990, Part X, col (B) line 13) | | | |
| Part IX Other Assets. See Form 990, Part X, lin | ne 15. | | (h) Paak walva |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip | ne 15. | | (b) Book value |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip | ne 15. | | 673,326 |
| Part IX Other Assets. See Form 990, Part X, III (a) Descrip | ne 15. | | |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip | ne 15. | | 673,326 |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip | ne 15. | | 673,326 |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip | ne 15. | | 673,326 |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip | ne 15. | | 673,326 |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip | ne 15. | | 673,326 |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip | ne 15. | | 673,326 |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip | ne 15. | | 673,326 |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip | ne 15. | | 673,326 |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip | ne 15. | | 673,326 |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip | ne 15. | | 673,326 |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip | ne 15. | | 673,326 |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip | ne 15. | | 673,326 |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip | ne 15. | | 673,326 |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip DEPOSITS INTERCOMPANY RECEIVABLE FROM SUBSIDIARIES | ne 15. tion | | 673,326 |
| Part IX Other Assets. See Form 990, Part X, Im (a) Descrip DEPOSITS INTERCOMPANY RECEIVABLE FROM SUBSIDIARIES | ne 15. tion 5.) | | 673,326 8,177,736 |
| Part IX Other Assets. See Form 990, Part X, Image (a) Descrip DEPOSITS INTERCOMPANY RECEIVABLE FROM SUBSIDIARIES Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 | ne 15. tion 5.) | | 673,326 8,177,736 |
| Part IX Other Assets. See Form 990, Part X, Immunity (a) Description DEPOSITS INTERCOMPANY RECEIVABLE FROM SUBSIDIARIES Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability | 5.) | | 673,326 8,177,736 |
| Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X (a) Description of Liability Federal Income Taxes | 5.) | | 673,326 8,177,736 |
| Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X (a) Description of Liability Federal Income Taxes DEFERRED RENT | 5.) , line 25. (b) A mount | | 673,326 8,177,736 |
| Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X (a) Description of Liability Federal Income Taxes DEFERRED RENT | 5.) | | 673,326 8,177,736 |
| Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X (a) Description of Liability Federal Income Taxes DEFERRED RENT | 5.) , line 25. (b) A mount | | 673,326 8,177,736 |
| Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X (a) Description of Liability Federal Income Taxes DEFERRED RENT | 5.) , line 25. (b) A mount | | 673,326 8,177,736 |
| Part IX Other Assets. See Form 990, Part X, Immunity (a) Description of Liability Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability Federal Income Taxes DEFERRED RENT | 5.) , line 25. (b) A mount | | 673,326 8,177,736 |
| Part IX Other Assets. See Form 990, Part X, Immunity (a) Description of Liability Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability Federal Income Taxes DEFERRED RENT | 5.) , line 25. (b) A mount | | 673,326 8,177,736 |
| Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X (a) Description of Liability Federal Income Taxes DEFERRED RENT | 5.) , line 25. (b) A mount | | 673,326 8,177,736 |
| Part IX Other Assets. See Form 990, Part X, Immunity (a) Description of Liability Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability Federal Income Taxes DEFERRED RENT | 5.) , line 25. (b) A mount | | 673,326 8,177,736 |
| Part IX Other Assets. See Form 990, Part X, Immunity (a) Description of Liability Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability Federal Income Taxes DEFERRED RENT | 5.) , line 25. (b) A mount | | 673,326 8,177,736 |
| Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X (a) Description of Liability Federal Income Taxes DEFERRED RENT | 5.) , line 25. (b) A mount | | 673,326 8,177,736 |
| Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X (a) Description of Liability Federal Income Taxes DEFERRED RENT | 5.) , line 25. (b) A mount | | 673,326 8,177,736 |
| Part IX Other Assets. See Form 990, Part X, Immunity (a) Description of Liability Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X 1 (a) Description of Liability Federal Income Taxes DEFERRED RENT | 5.) , line 25. (b) A mount | | 673,326 8,177,736 |
| Part IX Other Assets. See Form 990, Part X, Immage (a) Descripe DEPOSITS INTERCOMPANY RECEIVABLE FROM SUBSIDIARIES Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X | 5.) , line 25. (b) A mount | | 673,326 8,177,736 |
| Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X (a) Description of Liability Federal Income Taxes DEFERRED RENT | 5.) , line 25. (b) A mount | | 673,326 8,177,736 |
| Total. (Column (b) should equal Form 990, Part X, col.(B) line 1 Part X Other Liabilities. See Form 990, Part X (a) Description of Liability Federal Income Taxes DEFERRED RENT | 5.) , line 25. (b) A mount | | 673,326 8,177,736 |

Schedule D (Form 990) 2009

| Par | Reconciliation of Change in Net Assets from Form 990 to Financial Statemen | ITS |
|------|--|------------|
| 1 | Total revenue (Form 990, Part VIII, column (A), line 12) | 1 |
| 2 | Total expenses (Form 990, Part IX, column (A), line 25) | 2 |
| 3 | Excess or (deficit) for the year Subtract line 2 from line 1 | 3 |
| 4 | Net unrealized gains (losses) on investments | 4 |
| 5 | Donated services and use of facilities | 5 |
| 6 | Investment expenses | 6 |
| 7 | Prior period adjustments | 7 |
| 8 | Other (Describe in Part XIV) | 8 |
| 9 | Total adjustments (net) Add lines 4 - 8 | 9 |
| 10 | Excess or (deficit) for the year per financial statements. Combine lines 3 and 9 | 10 |
| | XII Reconciliation of Revenue per Audited Financial Statements With Revenue p | |
| 1 | Total revenue, gains, and other support per audited financial statements | 1 |
| 2 | A mounts included on line 1 but not on Form 990, Part VIII, line 12 | |
| а | Net unrealized gains on investments | |
| ь | Donated services and use of facilities | |
| c | Recoveries of prior year grants | |
| d | Other (Describe in Part XIV) 2d | |
| e | Add lines 2a through 2d | 2e |
| 3 | Subtract line 2e from line 1 | 3 |
| 4 | A mounts included on Form 990, Part VIII, line 12, but not on line 1 | |
| а | Investment expenses not included on Form 990, Part VIII, line 7b . 4a | |
| b | Other (Describe in Part XIV) 4b | |
| c | Add lines 4a and 4b | 4c |
| 5 | Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12) | 5 |
| Part | XIII Reconciliation of Expenses per Audited Financial Statements With Expenses | per Return |
| 1 | Total expenses and losses per audited financial statements | 1 |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25 | |
| а | Donated services and use of facilities | |
| ь | Prior year adjustments | 1 |
| c | Other losses | 1 |
| d | Other (Describe in Part XIV) 2d | 1 |
| e | Add lines 2a through 2d | 2e |
| 3 | Subtract line 2e from line 1 | 3 |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line 1: | |
| а | Investment expenses not included on Form 990, Part VIII, line 7b 4a | |
| b | Other (Describe in Part XIV) |] |
| c | Add lines 4a and 4b | 4c |
| 5 | Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18) | 5 |
| Par | t XIV Supplemental Information | |
| | nplete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Pa V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete t | |

Identifier Return Reference Explanation

additional information

efile GRAPHIC print - DO NOT PROCESS | As Filed Data -

DLN: 93493317013030

OMB No 1545-0047

SCHEDULE F (Form 990)

Department of the Treasury Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16. ▶ Attach to Form 990. ▶ See separate instructions.

Inspection

Name of the organization AMERICAN INSTITUTES FOR RESEARCH IN THE **Employer identification number**

| BEHAVIORAL SCIENCES 25-0965219 | | | | | | |
|--------------------------------|--|--------------------------------|--|--|--|--|
| Pa | "Yes" to Form 990, Part IV, line 14b. | e if the organization answered | | | | |
| 1 | For grantmakers. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? | | | | | |
| 2 | For grant makers. Describe in Part IV the organization's procedures for monitoring the use of grain United States | nt funds outside the | | | | |

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in | (e) If activity listed in (d) is a program service, describe specific type of | (f) Total expenditures for region |
|---|---|---|---|--|--|
| CENTRAL AMERICAN & THE CARIBBEAN | 10 | | the region) PROGRAM SERVICES | service(s) in region EDUCATION REFORM, MINISTRY OF EDUCATION (MOE) SUPPORT ON SYSTEMS DESIGN AND DEVELOPMENT EDUCATION TESTING UNIT, AND INTERVENTION AND PREVENTION OF CHILDREN FROM CHILD LABOR | 12,486,109 |
| UROPE | 1 | 1 | PROGRAM SERVICES | REVIEW OF THE IMPACT OF BUSINESS INVOLVEMENT IN EDUCATION, HUMAN TRAFFICKING, AND GENERAL PEER REVIEW | 49,876 |
| 4IDDLE EAST & N AFRICA | 8 | 226 | PROGRAM SERVICES | COMMUNITY BASED EDUCATIONAL REFORM, CONTINUOUS ASSESSMENTS, AND GIRL SCHOLARSHIPS | 10,805,728 |
| RUSSIA & THE NEWLY NDEPENDENT STATES | 1 | 10 | PROGRAM SERVICES | SUPPORT TO VOCATIONAL EDUCATIONAL CENTERS | 1,588,757 |
| SOUTH AMERICA | 1 | 13 | PROGRAM SERVICES | TRAINING HIGH SCHOOL STUDENTS FOR EMPLOYABILITY | 559,905 |
| SOUTH ASIA | 20 | 345 | PROGRAM SERVICES | MOE SUPPORT, TEACHER TRAINING, COMMUNITY DEVELOPMENT, AND IMPROVING STUDENT LEARNING OUTCOME | 25,457,165 |
| SUB-SAHARAN AFRICA | 10 | 112 | PROGRAM SERVICES | SCHOOL HEALTH AND NUTRITION, CONTINUOUS ASSESSMENT, MOE SUPPORT ON TEACHER TRAINING AND DEVELOPMENT, HIV/AIDS AWARENESS AND INTERVENTION WITH SCHOOL SUPPORT ACTIVITIES, NURTURING THE DEVELOPMENT, HEALTH, SAFETY AND ENHANCED FUTURE EMPLOYABILITY OF TARGETED CHILDREN, ASSISTING SOUTHERN AFRICAN FARMERS TO AMELIORATE AGRICULTURE PRODUCTION, AND TEACHER TRAINING AND CAPACITY BUILDING | 6,380,018 |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| Part IV | Part IV, line 15, for any recipient who received more than \$5,000. Check this box if no one recipient received more than \$5,000 | | | | | | | | | |
|-------------------------------|---|--|---|--|--|---|--|--|--|--|
| 1 (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) A mount of cash grant | (f) Manner of cash disbursement | (g) A mount of of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| 2 Enter total n tax-exempt | number of recipions by the IRS, or f | ent organizations lis or which the grante | ted above that are e or counsel has pr | recognized as chari ovided a section 50 | ties by the foreign o 1(c)(3) equivalency | country, recognized letter | as . ► | | | |
| 3 Enter total n | umber of other | organizations or en | tities | | | | | : (Earm 990) 2009 | | |

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Schedule F-1 (Form 990) if additional space is needed. (c) Number of (b) Region (d) A mount of (e) Manner of cash (a) Type of grant or (f) A mount of (g) Description (h) Method of assistance cash grant disbursement of non-cash valuation recipients non-cash (book, FMV, assistance assistance appraisal, other)

Schedule F (Form 990) 2009

| Identifier | ReturnReference | in Part I, line 2, and any additional information. Explanation |
|------------|-----------------|---|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | _ | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | + | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data -

Schedule I (Form 990)

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.

► Attach to Form 990

OMB No 1545-0047

DLN: 93493317013030

Inspection

Department of the Treasury Internal Revenue Service

EVANSTON, IL 60208

Name of the organization AMERICAN INSTITUTES FOR RESEARCH IN THE Employer identification number

| Part I | General Information on Grants and Assistance | | |
|---|--|------------|--|
| BEHAVIOR | RAL SCIENCES | 25-0965219 | |
| THE RESIDENCE OF THE PROPERTY | | | |

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use

| Part IV and Sche | Part IV and Schedule I-1 (Form 990) ıf addıtıonal space ıs needed | | | | | | | | |
|--|---|------------------------------------|------------------------------|---|---|--|---|--|--|
| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) A mount of cash grant | (e) A mount of non- cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance | | |
| AMERICAN EDUCATIONAL RESEARCH ASSOCIATION (AERA)1430 K STREET NW STE 1200 WASHINGTON, DC 20005 | 237003537 | 501(C)(3) | 7,500 | | | | CNTRB TO AERA'S FRIEND OF THE BROWN LECTURE IN EDUCATION RESEARCH HELD IN OCT 2009 | | |
| AMERICAN EDUCATION FINANCE ASSOCIATION 258 NORMAN HALL BOX 117049 GAINESVILLE, FL 326117049 | 581350874 | 501(C)(3) | 20,000 | | | | SUPPORT FOR EDUCATION FINANCE & POLICY JOURNAL | | |
| COLLEGE BOUND INC128 M STREET NW STE 220 WASHINGTON, DC 20001 | 521761312 | 501(C)(3) | 10,450 | | | | DONATION FOR SCHOLARSHIP PROGRAM | | |
| DC VOICE1436 U STREET NW SUITE 401 WASHINGTON, DC 20009 | 300180184 | 501(C)(3) | 10,000 | | | | SUPPORT FOR COMMUNITY-BASED ACTION RESEARCH RELATED TO DC PUBLIC SCHOOL | | |
| PORTLAND SCHOOLS FOUNDATION905 NW 12TH AVENUE PORTLAND,OR 97209 | 931149789 | 501(C)(3) | 40,000 | | | | TITLE SPONSOR FOR '09/'10 CELEBRATING STUDENT SUCCESS BANQUET & AWARDS | | |
| PUBLIC PRIVATE ACTION 1330 CONNECTICUT AVE NW 3RD FL STE B WASHINGTON, DC 20036 | 270536491 | | 30,000 | | | | SPONSORSHIP IN SUPPORT OF PPA'S EDUCATION EMAIL NEWSLETTER | | |
| SOCIETY FOR RESEARCH ON EDUCATION EFFECTIVENESS2040 SHERIDAN ROAD | 264739791 | 501(C)(3) | 20,000 | | | | SUPPORT FOR SREE ANNUAL CONFERENCE | | |

| 2 | Enter total number of section 501(c)(3) and government organizations | 6 |
|---|--|---|
| 3 | Enter total number of other organizations | 1 |

| Schedule I | (Form 990) 2009 | |
|------------|---|----|
| Part III | Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 2 | 22 |
| | Use Schedule I-1 (Form 990) if additional space is needed. | |

| (a)Type of grant or assistance | (b) Number of recipients | (c)A mount of cash grant | (d)A mount of non-cash assistance | (e)Method of valuation (book, FMV, appraisal, other) | (f)Description of non-cash assistance |
|--------------------------------|----------------------------------|-----------------------------|--------------------------------------|--|---------------------------------------|
| | | | | | |

| Part IV | Supplemental Informa | ation. Complete this part | to provide the information | n required in Part I. line 2. | and any other additional information. |
|---------|----------------------|---------------------------|----------------------------|-------------------------------|---------------------------------------|

| Ident if ier | Return Reference | Explanation |
|---|------------------|--|
| Procedure for Monitoring Grants in the U S | Part I, Line 2 | Schedule I, Part I, Line 2 AIR'S GRANTS ARE UNRESTRICTED CONTRIBUTIONS OF DE MINIMIS AMOUNTS AND AS SUCH DO NOT REQUIRE ONGOING MONITORING |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data -**Compensation Information**

DLN: 93493317013030

Employer identification number

OMB No 1545-0047

Schedule J (Form 990)

Department of the Treasury Internal Revenue Service

Name of the organization

For certain Officers, Directors, Trustees, Key Employees, and Highest **Compensated Employees**

► Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

► Attach to Form 990. ► See separate instructions.

Open to Public Inspection

| | RICAN INSTITUTES FOR RESEARCH IN THE AVIORAL SCIENCES | | | 25-0965219 | | | | | |
|----|--|----------|---|------------------------|----|-----|----|--|--|
| Pa | rt I Questions Regarding Compensation | 1 | | 23-0303213 | | | | | |
| | Questions Regulating compensation | | | | | Yes | No | | |
| 1a | Check the appropriate box(es) if the organization prov | ıded a | inv of the following to or for a person l | sted in Form | | | | | |
| | 990, Part VII, Section A, line 1a Complete Part III t | | | | | | | | |
| | First-class or charter travel | Γ | Housing allowance or residence for | personal use | | | | | |
| | ▼ Travel for companions | Γ | Payments for business use of perso | nal residence | | | | | |
| | Tax idemnification and gross-up payments | Γ | Health or social club dues or initiat | on fees | | | | | |
| | Discretionary spending account | Γ | Personal services (e g , maid, chau | feur, chef) | | | | | |
| b | If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement orprovision of all the expenses described above? If "No," complete Part III to explain | | | | | | | | |
| 2 | Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a? | | | | | | | | |
| 3 | Indicate which, if any, of the following the organization organization's CEO/Executive Director Check all tha | | | | | | | | |
| | ✓ Compensation committee | Γ | Written employment contract | | | | | | |
| | ✓ Independent compensation consultant | 굣 | Compensation survey or study | | | | | | |
| | Form 990 of other organizations | <u> </u> | Approval by the board or compensa | tion committee | | | | | |
| 4 | During the year, did any person listed in Form 990, Por a related organization | art V I | I, Section A, line 1a with respect to t | he filing organization | | | | | |
| а | Receive a severance payment or change-of-control p | ayme | nt? | | 4a | Yes | | | |
| b | Participate in, or receive payment from, a supplement | tal nor | nqualified retirement plan? | | 4b | Yes | | | |
| c | Participate in, or receive payment from, an equity-bas | sed co | ompensation arrangement? | | 4c | | Νo | | |
| | If "Yes" to any of lines 4a-c, list the persons and pro- | vide t | he applicable amounts for each item i | n Part III | | | | | |
| | Only 501(c)(3) and 501(c)(4) organizations only mus | st com | plete lines 5-9. | | | | | | |
| 5 | For persons listed in form 990, Part VII, Section A, li compensation contingent on the revenues of | ıne 1a | , did the organization pay or accrue a | ny | | | | | |
| а | The organization? | | | | 5a | | Νo | | |
| Ь | Any related organization? | | | | 5b | | Νo | | |
| | If "Yes," to line 5a or 5b, describe in Part III | | | | | | | | |
| 6 | For persons listed in form 990, Part VII, Section A, li compensation contingent on the net earnings of | ıne 1a | , did the organization pay or accrue a | ny | | | | | |
| а | The organization? | | | | 6a | | Νo | | |
| b | Any related organization? | | | | 6b | | Νo | | |
| | If "Yes," to line 6a or 6b, describe in Part III | | | | | | | | |
| 7 | For persons listed in Form 990, Part VII, Section A, I payments not described in lines 5 and 6? If "Yes," de | | | n-fixed | 7 | Yes | | | |
| 8 | Were any amounts reported in Form 990, Part VII, pa | | | | | | | | |
| | subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III | | | | | | | | |
| 9 | If "Yes" to line 8, did the organization also follow the section 53 4958-6(c)? | rebutt | able presumption procedure describe | d in Regulations | 9 | | No | | |

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(1)-(111) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a

| (A) Name (B) Breakdown of W-2 and/or 1099-MISC compensation (I) Base compensation (I) Davis compensation (II) Davis compensation (III) Other comp | | | | | | | | | |
|--|---------------------------|--------------------------|--------------------|---|--------------------|----------|----------------------|-------------|--|
| (i) Base incentive reportable compensation reportable compensation compensation reportable | (A) Name | (B) Breakdown of | W-2 and/or 1099-MI | | (C) Retirement and | | (E) Total of columns | | |
| See Additional Data Table | | (i) Base compensation | ıncentive | (iii) Other reportable compensation | | benefits | (B)(ı)-(D) | Form 990 or | |
| | See Additional Data Table | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

| Ident if ier | Return Reference | Explanation |
|--------------------------|---------------------|--|
| | | OFFICER AND KEY EMPLOYEE TRAVEL IS PURCHASED USING COMPANY-BILLED CREDIT CARDS UPON COMPLETION OF TRAVEL, CREDIT CARD CHARGES ARE RECONCILED AND REVIEWED FOR COMPLETENESS OF SUBSTANTIATION IN ACCORDANCE WITH AN ACCOUNTABLE PLAN SINCE THE TRAVEL IS ACCOUNTED FOR UNDER A ACCOUNTABLE PLAN IT IS NOT CLASSIFIED AS TAXABLE INCOME ANY TRAVEL FOR COMPANIONS IS APPROVED ON AN EXCEPTION BASIS AND IS GENERALLY DE MINIMIS AND ALSO REPORTED AS TAXABLE INCOME TO THE INDIVIDUAL |
| | Part I, Line 4a | Part I, Line 4a CHRISTIAN HAMILTON SEVERANCE PAYMENT \$236,400 |
| | · | SENIOR OFFICERS PARTICIPATE IN AN EXECUTIVE INCENTIVE PLAN, WHICH PROVIDES FOR INCENTIVE PAYMENTS BASED ON INDIVIDUAL AND ORGANIZATION PERFORMANCE DIRECTORS OF THE BOARD DO NOT PARTICIPATE IN BONUS OR INCENTIVE PLANS, WITH THE EXCEPTION OF S PELAVIN WHO IN HIS PRESIDENT AND CEO CAPACITY PARTICIPATES IN THE EXECUTIVE INCENTIVE PLAN NON-SENIOR OFFICERS, NON-OFFICERS HIGHLY COMPENSATED AND KEY EMPLOYEES MAY RECEIVE BONUSES UNDER AIR'S PERFORMANCE BONUS PROGRAM, WHICH IS A DISCRETIONARY BONUS PROGRAM DESIGNED TO RECOGNIZE EXCEPTIONAL PERFORMANCE |
| Supplemental Information | | PART I, LINE 4B GEORGE BOHRNSTEDT DEFERRED COMPENSATION AWARD \$49,664 THE PLAN WAS FIRST ESTABLISHED ON 1/1/1996 TO ALLOW DISCRETIONARY CONTRIBUTIONS TO BE MADE TO THE PLAN BY AIR ON BEHALF OF A SELECT GROUP OF MANAGEMENT OR HIGHLY-COMPENSATED EMPLOYEES THE ELIGIBLE EMPLOYEES ARE EMPLOYEES DESIGNATED FROM TIME TO TIME BY THE PRESIDENT, WITH THE APPROVAL OF THE COMPENSATION COMMITTEE OF THE AIR BOARD OF DIRECTORS, WHO ARE IN "A SELECT GROUP OF MANAGEMENT OR HIGHLY COMPENSATED EMPLOYEES" OF AIR PART II COLUMN B (III) THE AMOUNTS REPORTED IN COLUMN B (III) FOR MR SOL PELAVIN AND MRS DIANE PELAVIN INCLUDE PAYMENTS OF \$242,750 AND \$114,480, RESPECTIVELY, MADE PURSUANT TO A NON-QUALIFIED COMPENSATION PLAN PROVIDING CERTAIN KEY EXECUTIVE WITH THE ANNUAL EQUIVALENT OF THE RETIREMENT CONTRIBUTION THEY WOULD HAVE RECEIVED HAD THEY BEEN ELIGIBLE TO FULLY PARTICIPATE IN THE COMPANY'S DEFINED CONTRIBUTION PENSION PLAN THE AMOUNT REPORTED IN COLUMN B (III) FOR MR CHRISTIAN HAMILTON INCLUDES A SEVERANCE PAYMENT OF \$236,400 PART II, COLUMN C AMOUNTS REPORTED IN COLUMN C REPRESENT AIR'S PAYMENTS TO THE DEFINED CONTRIBUTION PENSION PLAN AS WELL AS THE ANNUAL ACCRUAL OF CURRENT AND PRIOR YEAR CONTRIBUTIONS TO A NON-QUALIFIED DEFERRED COMPENSATION PLAN TO COVER CERTAIN KEY EXECUTIVES OTHERWISE INELIGIBLE TO FULLY PARTICIPATE IN THE COMPANY'S DEFINED CONTRIBUTION PLAN TO COVER CERTAIN KEY EXECUTIVES OTHERWISE INELIGIBLE TO FULLY PARTICIPATE IN THE COMPANY'S DEFINED CONTRIBUTION PLAN TO COVER CERTAIN KEY EXECUTIVES OTHERWISE INELIGIBLE TO FULLY PARTICIPATE IN THE DEFINED CONTRIBUTION PLAN TO NATIONAL RECEIVED HAD THEY BEEN ELIGIBLE TO FULLY PARTICIPATE IN THE DEFINED CONTRIBUTION PLAN TO PLAN TERMS, AMOUNTS REMAIN IN THE DEFERRED PLAN UNTIL THEY BECOME FULLY VESTED PART II, COLUMN D NONTAXABLE BENEFITS REPRESENTS AIR'S PREMIUM PAYMENTS FOR CERTAIN FRINGE BENEFIT OFFERINGS GENERALLY AVAILABLE TO ALL OF ITS EMPLOYEES, INCLUDING HEALTH INSURANCE, LONG-TERM DISABILITY, DENTAL INSURANCE, LONG-TERM DISABILITY, DENTAL |

Software ID: Software Version:

EIN: 25-0965219

Name: AMERICAN INSTITUTES FOR RESEARCH IN THE

BEHAVIORAL SCIENCES

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

| (A) Name | | (B) Breakdown of | W-2 and/or 1099-MIS | C compensation | (C) Deferred | (D) Nontaxable | (E) Total of columns | (F) Compensation reported in prior Form |
|-------------------------|-------------|--------------------------|---|-----------------------------|--------------|------------------------|----------------------|--|
| | | (i) Base Compensation | (ii) Bonus & incentive compensation | (iii) Other compensation | compensation | benefits | (B)(ı)-(D) | 990 or Form 990-EZ |
| SOL H PELAVIN | (I) (II) | 688,875 0 | 400,000 0 | 251,131 0 | 316,882 0 | 15,840 0 | 1,672,728 0 | 0 0 |
| DONA KILPATRICK | (I) (II) | 184,835 0 | 0 0 | 3,005 0 | 13,264 0 | 16,687 0 | 217,791 0 | 0 0 |
| CHRISTIAN B HAMILTON | (I) (II) | 232,406 0 | 50,000 0 | 248,008 0 | 18,289 0 | 17,072 0 | 565,775 0 | 0 |
| DIANE PELAVIN | (I) (II) | 442,355 0 | 165,000 0 | 124,000 0 | 163,974 0 | 13,660 | 908,989 | 0 |
| MICHAEL KANE | (I) (II) | 363,834 0 | 60,000 0 | 12,226 0 | 124,905 0 | 25,032 0 | 585,997 0 | 0 |
| DAVID MYERS | (I) (II) | 358,907 0 | 40,000 | 2,838 0 | 22,050 0 | 22,185 0 | 445,980 0 | 0 |
| JON COHEN | (ı) (ıı) | 286,494 0 | 177,000 0 | 990 0 | 49,000 0 | 27,927 0 | 541,411 0 | 0 |
| JANET ROBB | (ı) (ıı) | 328,332 0 | 57,500 0 | 8,162 0 | 19,502 0 | 12,018 0 | 425,514 0 | 0 |
| MARK FANNING | (ı) (ıı) | 264,178 0 | 52,500 0 | 6,612 0 | 19,502 0 | 26,485 0 | 369,277 0 | 0 |
| MARK KUTNER | (ı) (ıı) | 281,989 0 | 35,000 0 | 31,203 0 | 49,000 0 | 28,449 0 | 425,641 0 | 0 |
| THOMAS JESULAITIS | (I) (II) | 173,983 0 | 0 | 4,137 0 | 45,063 0 | 13,899 | 237,082 | 0 |
| MARILYN MOON | (I) (II) | 207,223 0 | 120,000 | 4,968 0 | 42,705 0 | 4,523 0 | 379,419 0 | 0 |
| STEPHEN KROMER | (I) (II) | 335,600 0 | 83,200 0 | 2,838 0 | 45,995 0 | 24,571 0 | 492,204 0 | 0 |
| GARY PHILLIPS | (ı) (ıı) | 239,808 0 | 124,700 0 | 4,356 0 | 24,698 0 | 15,805 0 | 409,367 0 | 0 |
| LAURA SALGANIK | (ı) (ıı) | 219,544 0 | 85,900 0 | 4,356 0 | 49,000 | 21,664 | 380,464 0 | 0 |
| DWAYNE NORRIS | (ı) (ıı) | 171,858 0 | 125,000 | 597 0 | 22,661 0 | 27,128 0 | 347,244 0 | 0 |
| DAVID OSHER | (ı) (ıı) | 233,689 0 | 0 | 8,382 0 | 49,000 0 | 23,382 | 314,453 0 | 0 |
| GEORGE W BOHRNSTEDT | (ı) (ıı) | 123,291 0 | 35,650 0 | 58,538 0 | 34,500 0 | 25,853 0 | 277,832 0 | 0 |

DLN: 93493317013030

Schedule L

(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Transactions with Interested Persons ► Complete if the organization answered

"Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V lines 38a or 40b.

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

OMB No 1545-0047

Open to Public Inspection

Name of the organization

AMERICAN INSTITUTES FOR RESEARCH IN THE BEHAVIORAL SCIENCES

Employer identification number

25-0965219

Part I Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

(a) Name of disqualified person 1

(b) Description of transaction

(c) Corrected?

Yes No

| ar | t II | Loans | to a | and | or/ | Fror | n I | nter | este | ed I | Per | sor | ıs. | | | | | | | | | | | | | | |
|----|-------|---------------------|-------|------|-------|---------|-----|-------|------|------|-----|-----|------|------|------|------|------|----|---|---|---|---|---|---|---|--------|--|
| 3 | Enter | the amou | nt of | tax, | ıfany | y, on l | ine | 2, ab | ove, | reım | bur | sed | by t | he o | orga | nıza | tior | ١. | • | • | • | • | • | • | • | \$ | |
| | | the amou on 4958 | | | • | | | | | | | | | | | | | | | | | | , | | | | |
| - | | | | | | | | | | | | | | | | | - 1 | | | | | | | | | | |

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

(f) (b) Loan to (e) In Approved (g)Written (a) Name of interested person and or from the (c)Original (d)Balance due default? by board or agreement? organization? purpose principal amount committee?

Τо From Yes Yes Yes No

Part III Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person

(b) Relationship between interested person and the organization

(c)A mount of grant or type of assistance

Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

| (a) Name of interested person | (b) Relationship between interested person and the | (c) A mount of transaction | (d) Description of transaction | (e) Sharing o organization's revenues? | | |
|-------------------------------|--|----------------------------|--|--|----|--|
| | organization | | | Yes | No | |
| MELISSA KUTNER | DAUGHTER OF MARK KUTNER, KEY EMPLOYEE | 36,250 | REGULAR EMPLOYEE OF AIR | | Νο | |
| DALE COHEN | BROTHER OF JON COHEN, KEY EMPLOYEE | 39,024 | CONSULTING CONTRACT | | No | |
| EDCOUNT LLC | OWNER (ELLEN FORTE) IS WIFE OF MICHAEL KANE, KEY EMPLOYEE | 90,844 | SUBCONTRACTOR TO AIR ON A FEDERAL AWARD | | No | |

OMB No 1545-0047

Open to Public Inspection

SCHEDULE 0

(Form 990)

Department of the Treasury Internal Revenue Service

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information. ► Attach to Form 990.

Supplemental Information to Form 990

Name of the organization AMERICAN INSTITUTES FOR RESEARCH IN THE BEHAVIORAL SCIENCES

Employer identification number

25-0965219

| ldentifier | Return Reference | Explanation |
|--|---------------------|---|
| Form 990, Part VI, Section A, line 2 | | SOL PELAVIN, PRESIDENT & CEO, AND DIANE PELAVIN, EXECUTIVE VICE PRESIDENT, ARE SPOUSES |
| Form 990, Part VI, Section B, Iine 11 | | 1 THE FORM 990 AND RELATED SCHEDULES ARE PREPARED UNDER THE GUIDANCE OF THE CEO/PRESIDENT AND CFO/TREASURER 2 THE FORM 990 IS REVIEWED INTERNALLY BY THE CEO/PRESIDENT, CFO/TREASURER, LEGAL COUNSEL, AND THE SENIOR VP OF HUMAN RESOURCES PRIOR TO BEING PROVIDED TO ANY MEMBERS OF THE BOARD 3 THE FORM 990 IS PROVIDED TO THE AUDIT AND COMPENSATION COMMITTEES OF THE BOARD FOR REVIEW AT LEAST 30 DAYS PRIOR TO THE DUE DATE (INCLUDING ANY EXTENSIONS), AND THEN MADE AVAILABLE TO ALL MEMBERS OF THE BOARD PRIOR TO FILING |
| Form 990, Part VI, Section B, Iine 12c | | ON AN ANNUAL BASIS, AIR REQUIRES ITS OFFICERS TO COMPLETE A SENIOR MANAGEMENT DISCLOSURE FORM, WHICH IS REVIEWED BY THE LEGAL COUNSEL, ETHICS OFFICER, AND CHIEF FINANCIAL OFFICER OFFICERS ARE REQUIRED TO DISCLOSE ANY RELATIONSHIP OR TRANSACTION WHICH MAY POSE A CONFLICT AND MUST UPDATE THE FORM WHEN THERE HAS BEEN A CHANGE IN CIRCUMSTANCE IN ADDITION, AIR OFFICERS AND EMPLOYEES ARE REQUIRED TO COMPLY WITH AIR'S CODE OF CONDUCT, WHICH PROVIDES GUIDANCE ON CONFLICTS OF INTEREST AIR'S BOARD OF DIRECTORS COMPLETES AN ANNUAL CONFLICT OF INTEREST QUESTIONNAIRE AND FOLLOWS A BOARD CONFLICT OF INTEREST POLICY IN ADDITION TO AIR'S CODE OF CONDUCT THE CONFLICT OF INTEREST QUESTIONNAIRES ARE SUBMITTED TO AND REVIEWED BY THE LEGAL COUNSEL AND SECRETARY OF THE ORGANIZATION |
| Form 990, Part VI, Section B, line 15 | | COMPENSATION IS REVIEWED AND APPROVED BY THE COMPENSATION COMMITTEE OF THE BOARD OF DIRECTORS (100% COMPOSED OF INDEPENDENT DIRECTORS) EACH YEAR THE COMMITTEE HIRES AN OUTSIDE INDEPENDENT CONSULTANT TO PERFORM A COMPARABILITY REVIEW OF COMPENSATION, WHICH IS COMPLETED USING EXTERNAL PUBLISHED DATA THIS INFORMATION IS INCORPORATED INTO THE FINAL REVIEW AND DECISION PROCESS FOR THOSE INDIVIDUALS REVIEWED BY THE COMMITTEE WRITTEN MINUTES OF THESE MEETINGS ARE KEPT CONTEMPORANEOUSLY AND MADE AVAILABLE AS REQUESTED FOR THE OTHER KEY EMPLOYEES, MOST FOLLOW THE EXACT SAME PROCESS AS THE CEO ANY OF THE KEY EMPLOYEES WHOSE COMPENSATION IS NOT SET BY THE COMPENSATION COMMITTEE (DUE TO NOT BEING AN OFFICER OR A PROGRAM DIRECTOR) ARE REVIEWED INTERNALLY USING THE SAME TYPE OF DATA BY THE RESPECTIVE PROGRAM DIRECTOR AND/OR THE CEO, WITH APPROVAL BY THE CEO |
| Form 990, Part VI, Section C, line 19 | | AIR HAS MADE A PUBLIC FILING OF ITS GOVERNING DOCUMENTS WITH THE COMMONWEALTH OF PENNSYLVANIA A CONDENSED VERSION OF AIR'S FINANCIAL STATEMENTS ARE AVAILABLE ON ITS WEBSITE AIR WILL MAKE ITS COMPLETE SET OF FINANCIAL STATEMENTS AND CONFLICT OF INTEREST POLICY AVAILABLE UPON REQUEST |
| FORM 990, PART IV, LINE 12 AND PART XI, LINE 2B | | AIR PREPARES THE CONSOLIDATED FINANCIAL STATEMENTS WHICH INCLUDE THE ORGANIZATION AND ITS AFFILIATES, THE STATEMENTS ARE AUDITED BY INDEPENDENT AUDITORS ON AN ANNUAL BASIS |
| FORM 990 PART XI, LINE 2C | | AIR HAS AN AUDIT COMMITTEE THAT ASSUMES RESPONSIBILITY FOR OVERSIGHT OF THE AUDIT OF THE FINANCIAL STATEMENTS AND SELECTION OF AN INDEPENDENT AUDITOR |
| FORM 990, PART VI, LINE 1A | | THE EXECUTIVE COMMITTEE IS COMPRISED OF THE BOARD CHAIR AND CHAIRS OF THE AUDIT, FINANCE, GOVERNANCE, HUMAN RESOURCES AND COMPENSATION COMMITTEES AND THE CHIEF EXECUTIVE OFFICER FOR THE PURPOSE OF EXERCISING ALL OF THE POWERS AND AUTHORITY OF THE FULL BOARD WHEN THE BOARD IS NOT IN SESSION AND WHEN IT IS NOT PRACTICAL OR POSSIBLE FOR THE FULL BOARD TO CONVENE A MEETING A QUORUM IS DEFINED AS FOUR (4) MEMBERS OF THE EXECUTIVE COMMITTEE |

| ldentifier | Return Reference | Explanation |
|---|---------------------|---|
| FORM 990, PART VII, SECTION B, LINE 1, COLUMN B | | COLUMN B DESCRIPTION FOR LE FONDS PARRAINAGE SCHOLARSHIP FOR 2008/2009 SCHOOL YEAR TO 20,000 CHILDREN ATTENDING PUBLIC/PRIVATE SCHOOL COLUMN B DESCRIPTION FOR AGHA KHAN FOUNDATION DELIVERY OF TRAINING & ASSESSMENT ACTIVITIES COLUMN B DESCRIPTION FOR THE CORKERY GROUP MEDIA STRATEGY SUPPORT TO CDC'S HIV, STD, AND TB PREVENTION PROGRAM |

Schedule O (Form 990) 2009

DLN: 93493317013030

OMB No 1545-0047

Open to Public Inspection

SCHEDULE R (Form 990)

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37. ► Attach to Form 990. ► See separate instructions.

Department of the Treasury Internal Revenue Service

Name of the organization **Employer identification number** AMERICAN INSTITUTES FOR RESEARCH IN THE BEHAVIORAL SCIENCES

RESEARCH

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

25-0965219

960,000 N/A

| (a) (b) (c) (d) (e) (f) Name, address, and EIN of disregarded entity Primary activity Legal domicile (state Total income End-of-year assets Direct controlling or foreign country) entity | | • | | , | , , | |
|--|--|---|---------------------------|---|-----|--------------------|
| | | | Legal domicile (state | | | Direct controlling |

AIR ASSESSMENT LLC 1000 THOMAS JEFFRSON ST NW WASHINGTON, DC 20007 26-2123597

DE

Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | |
|---|--|---|----------------------------|--|--|--|
| NEW AMERICAN SCHOOLS | | | | | | |
| 1000 THOMAS JEFFERSON ST NW | TO INCREASE STUDENT ACHIEVEMENT THROUGH | VA | 501(C)(3) | 11A, TYPE I | N/A | |
| WASHINGTON, DC 20007 52-1730425 | EDUCATION | | | | | |
| AMERICAN INSTITUTES FOR RESEARCH UK LIMITED | | | | | | |
| 21 HOLBORN VIADUCT LONDON, LONDON EC1A 2DY UK | BEHAVIORAL AND SOCIAL SCIENCES RESEARCH AND APPLICATIONS | UK | | | N/A | |

Yes

No

Yes

No

Part III Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(j) (c) (h) (i) (e) **(f)** Share of total income Code V—UBI General or (a) Name, address, and EIN of (b) Lègal (d) Disproprtionate (g) Predominant income Primary activity Direct controlling Share of end-of-year allocations? amount in box 20 of domicile managing (related, unrelated, related organization Schedule K-1 partner? (state or entity assets excluded from tax (Form 1065) foreign under sections 512country) 514)

Part IV Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income | (g) Share of end-of-year assets | (h) Percentage ownership |
|---|--------------------------------|---|--|---|-------------------------------------|--|--------------------------------|
| PROSPECT ASSOCIATES LTD 1000 THOMAS JEFFERSON ST NW WASHINGTON, DC20007 52-1213051 | RESEARCH & SERVICES | MD | AIR HOLDING COMPANY | С | 747,193 | 8,076,882 | 100 000 % |
| AIR HOLDING COMPANY 1000 THOMAS JEFFERSON ST NW WASHINGTON, DC20007 52-2205344 | EDUCATIONAL SERVICES | DE | N/A | С | | 9,186,023 | 100 000 % |
| THE MCKENZIE GROUP INC 1000 THOMAS JEFFERSON ST NW WASHINGTON, DC20007 52-1811969 | EDUCATIONAL SERVICES | DE | AIR HOLDING COMPANY | С | 153,833 | 205,233 | 100 000 % |
| SUTTON GROUP 1000 THOMAS JEFFERSON ST NW WASHINGTON, DC20007 52-2135746 | EDUCATIONAL SERVICES | DC | AIR HOLDING COMPANY | С | | | 100 000 % |

(5)

(6)

Note. Complete line 1 if any entity is listed in Parts II, III or IV

Yes

| Part V | Transactions With Related Organizations (Co | nplete if the organization answered | "Yes" on Form 990, | , Part IV, line 34, 35, or 36.) |
|--------|---|-------------------------------------|--------------------|---------------------------------|
|--------|---|-------------------------------------|--------------------|---------------------------------|

| (1) AIR UK LTD R 13 (2) AIR HOLDING COMPANY P 33 (3) PROSPECT ASSOCIATES LIMITED | 1 D | During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV? | | | | | |
|--|--|--|----|------------------------|----------|--|--|
| C Gift, grant, or capital contribution from other organization(s) d Loans or loan guarantees to or for other organization(s) f Sale of assests to other organization(s) g Purchase of assests from other organization(s) h Exchange of assests from other organization(s) j Lease of facilities, equipment, or other assets to other organization(s) i Lease of facilities, equipment, or other assets from other organization(s) j Lease of facilities, equipment, or other assets from other organization(s) j Lease of facilities, equipment, or other assets from other organization(s) j Lease of facilities, equipment, or other assets from other organization(s) j Lease of facilities, equipment, or other assets from other organization(s) j Lease of facilities, equipment, or other assets from other organization(s) j Performance of services or membership or fundraising solicitations for other organization(s) j Performance of services or membership or fundraising solicitations by other organization(s) m Sharing of paid employees o Reimbursement paid to other organization for expenses q Other transfer of cash or property from other organization(s) q Other transfer of cash or property from other organization(s) If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds (C) (C) (C) (C) (C) (C) (C) (C | а | a Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity | | | | | |
| Loans or loan guarantees to or for other organization(s) Loans or loan guarantees by other organization(s) Parchase of assets to other organization(s) Purchase of assets from other organization(s) Purchase of assets from other organization(s) Performance of services or membership or fundraising solicitations by other organization(s) Performance of services or membership or fundraising solicitations by other organization(s) Performance of services or membership or fundraising solicitations by other organization(s) Performance of services or membership or fundraising solicitations by other organization(s) Performance of services or membership or fundraising solicitations by other organization(s) Performance of services or membership or fundraising solicitations by other organization(s) Performance of services or membership or fundraising solicitations by other organization(s) Performance of services or membership or fundraising solicitations by other organization(s) Performance of services or membership or fundraising solicitations by other organization(s) Performance of services or membership or fundraising solicitations by other organization(s) Performance of services or membership or fundraising solicitations by other organization(s) Performance of services or membership or fundraising solicitations by other organization(s) Performance of services or membership or fundraising solicitations by other organization(s) Performance of services or membership or fundraising solicitations by other organization(s) Performance of services or membership or fundraising solicitations by other organization(s) Performance of services or membership or fundraising solicitations by other organization(s) Performance of services or membership or fundraising solicitations for other organization(s) Performance of services or membership or fundraising solicitations for other organization(s) Performance of services or membership or fundraising solicitations for other organization(s) Performance of services or m | b | b Gift, grant, or capital contribution to other organization(s) | | | | | |
| E Lans or loan guarantees by other organization(s) F Sale of assets to other organization(s) F Sale of assets to other organization(s) F Exchange of assets from other organization(s) F Exchange of assets from other organization(s) F Lease of facilities, equipment, or other assets to other organization(s) F Lease of facilities, equipment, or other assets from other organization(s) F Performance of services or membership or fundraising solicitations for other organization(s) F Performance of services or membership or fundraising solicitations by other organization(s) F Performance of services or membership or fundraising solicitations by other organization(s) F Performance of services or membership or fundraising solicitations by other organization(s) F Performance of services or membership or fundraising solicitations by other organization(s) F Performance of services or membership or fundraising solicitations by other organization(s) F Performance of services or membership or fundraising solicitations by other organization(s) F Performance of services or membership or fundraising solicitations by other organization(s) F P Performance of services or membership or fundraising solicitations by other organization(s) F P Performance of services or membership or fundraising solicitations by other organization for expenses F P P Reimbursement paid to other organization for expenses F P P P P P P P P P P P P P P P P P P | c | c Gift, grant, or capital contribution from other organization(s) | | | | | |
| f Sale of assets to other organization(s) f Purchase of assets to other organization(s) f Exchange of assets i Lease of facilities, equipment, or other assets to other organization(s) i Lease of facilities, equipment, or other assets from other organization(s) it Lease of facilities, equipment, or other assets from other organization(s) it Performance of services or membership or fundriaising solicitations for other organization(s) it Performance of services or membership or fundriaising solicitations by other organization(s) it Performance of services or membership or fundriaising solicitations by other organization(s) it Performance of services or membership or fundriaising solicitations by other organization(s) it Performance of services or membership or fundriaising solicitations by other organization(s) it Performance of services or membership or fundriaising solicitations by other organization(s) it Performance of services or membership or fundriaising solicitations by other organization(s) it Performance of services or membership or fundriaising solicitations by other organization(s) it Performance of services or membership or fundriaising solicitations by other organization(s) it performance of services or membership or fundriaising solicitations by other organization(s) it performance of services or membership or fundriaising solicitations by other organization for expenses it performance of services or membership or fundriaising solicitations for other organization(s) it performance of services or membership or fundriaising solicitations for other organization(s) it performance of services or membership or fundriaising solicitations for other organization(s) it performance of services or membership or fundriaising solicitations for other organization(s) it performance of services or membership or fundriaising solicitations for other organization(s) it performance of services or membership or fundriaising solicitations for other organization(s) it performance of services or membe | d | Loans or loan guarantees to or for other organization(s) | 1d | | No | | |
| g Purchase of assets from other organization(s) h Exchange of assets i Lease of facilities, equipment, or other assets from other organization(s) j Lease of facilities, equipment, or other assets from other organization(s) k Performance of services or membership or fundraising solicitations for other organization(s) l Performance of services or membership or fundraising solicitations by other organization(s) m Sharing of facilities, equipment, mailing lists, or other assets n Sharing of paid employees o Reimbursement paid to other organization for expenses p Reimbursement paid to other organization for expenses p Reimbursement paid by other organization for expenses p Other transfer of cash or property to other organization(s) r Other transfer of cash or property from other organization(s) 2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds (c) Name of other organization (a) Name of other organization (b) Transaction Type(a-r) AR OLD ING COMPANY p 3. P 3 | e Loans or loan guarantees by other organization(s) | | | | | | |
| h Exchange of assets i Lease of facilities, equipment, or other assets from other organization(s) i Lease of facilities, equipment, or other assets from other organization(s) i Performance of services or membership or fundraising solicitations for other organization(s) i Performance of services or membership or fundraising solicitations by other organization(s) in Sharing of facilities, equipment, mailing lists, or other assets in Sharing of facilities, equipment, mailing lists, or other assets in Sharing of paid employees in Sharing of Eachlities, equipment, or other assets from other assets in Sharing of Eachlities, equipment, or other assets from other assets in Sharing of Eachlities, equipment, or other assets from other organization of expenses in Sharing of Eachlities, equipment, or other assets from other organization of expenses in Sharing of Eachlities, equipment, or other assets from other organization of expenses in Sharing of Eachlities, equipment, or other assets from other organization of expenses in Sharing of Eachlities, equipment, or other assets from other organization of expenses in Sharing of Eachlities, equipment, or other assets from other organization of expenses in Sharing of Eachlities, equipment, or other assets from other organization of expenses in Sharing of Eachlities, equipment, or other assets from other organization of expenses in Sharing of Eachlities, equipment, or other assets i | | | | | No No | | |
| i Lease of facilities, equipment, or other assets to other organization(s) j Lease of facilities, equipment, or other assets from other organization(s) k Performance of services or membership or fundraising solicitations for other organization(s) l Performance of services or membership or fundraising solicitations by other organization(s) l Performance of services or membership or fundraising solicitations by other organization(s) l Performance of services or membership or fundraising solicitations by other organization(s) l Performance of services or membership or fundraising solicitations by other organization(s) l D D D D D D D D D D D D D D D D D D | _ | | 1h | | No | | |
| k Performance of services or membership or fundraising solicitations for other organization(s) I Performance of services or membership or fundraising solicitations by other organization(s) II Performance of services or membership or fundraising solicitations by other organization(s) III IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII | | | | | | | |
| k Performance of services or membership or fundraising solicitations for other organization(s) I Performance of services or membership or fundraising solicitations by other organization(s) II Performance of services or membership or fundraising solicitations by other organization(s) III IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII | | | | | | | |
| I Performance of services or membership or fundraising solicitations by other organization(s) m Sharing of facilities, equipment, mailing lists, or other assets n Sharing of paid employees n Reimbursement paid to other organization for expenses n Reimbursement paid by other organization for expenses n Other transfer of cash or property to other organization(s) r Other transfer of cash or property from other organization(s) 1 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds (a) Name of other organization (b) Transaction type(a-r) Name of other organization (c) Amount involving COMPANY 3) PROSPECT ASSOCIATES LIMITED | j | Lease of facilities, equipment, or other assets from other organization(s) | 1j | | No | | |
| m Sharing of facilities, equipment, mailing lists, or other assets n Sharing of paid employees o Reimbursement paid to other organization for expenses p Reimbursement paid by other organization for expenses q Other transfer of cash or property to other organization(s) 1 | k | Performance of services or membership or fundraising solicitations for other organization(s) | 1k | | No | | |
| n Sharing of paid employees Reimbursement paid to other organization for expenses p Reimbursement paid by other organization for expenses q O ther transfer of cash or property to other organization(s) 1 | ı | Performance of services or membership or fundraising solicitations by other organization(s) | 11 | | No | | |
| Reimbursement paid to other organization for expenses P Reimbursement paid by other organization for expenses Q Other transfer of cash or property to other organization(s) T Other transfer of cash or property from other organization(s) 2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds (a) Name of other organization (a) Name of other organization (b) Transaction type(a-r) Amount involved (1) AIR UK LTD R 13 (2) AIR HOLDING COMPANY P 33 (3) PROSPECT ASSOCIATES LIMITED | m | n Sharing of facilities, equipment, mailing lists, or other assets | 1m | | No | | |
| P Reimbursement paid by other organization for expenses Q Other transfer of cash or property to other organization(s) 1 Other transfer of cash or property from other organization(s) 2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds (a) Name of other organization (b) Transaction (b) Transaction (c) Transaction (pe(a-r)) Amount involv (1) AIR UK LTD R 12 (3) PROSPECT ASSOCIATES LIMITED | n | Sharing of paid employees | 1n | | No | | |
| P Reimbursement paid by other organization for expenses Q Other transfer of cash or property to other organization(s) 1 Other transfer of cash or property from other organization(s) 2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds (a) Name of other organization (b) Transaction (b) Transaction (c) Transaction (pe(a-r)) Amount involv (1) AIR UK LTD R 12 (3) PROSPECT ASSOCIATES LIMITED | | | | | | | |
| q Other transfer of cash or property to other organization(s) 1 | 0 | Reimbursement paid to other organization for expenses | 10 | | | | |
| To ther transfer of cash or property from other organization(s) 2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds (a) (b) Transaction (b) Transaction (c) Amount involv (1) AIR UK LTD R 1: (2) AIR HOLDING COMPANY (3) PROSPECT ASSOCIATES LIMITED (4) THE MCKENZIE GROUP INC | p Reimbursement paid by other organization for expenses | | 1р | Yes | | | |
| To ther transfer of cash or property from other organization(s) 2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds (a) (b) Transaction (b) Transaction (c) Amount involv (1) AIR UK LTD R 1: (2) AIR HOLDING COMPANY (3) PROSPECT ASSOCIATES LIMITED (4) THE MCKENZIE GROUP INC | | | | | N- | | |
| 2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds (a) Name of other organization (1) AIR UK LTD R 1: (2) AIR HOLDING COMPANY P 3: (3) PROSPECT ASSOCIATES LIMITED | | | - | V | No | | |
| (a) Name of other organization (b) Transaction type(a-r) (1) AIR UK LTD R 12 (2) AIR HOLDING COMPANY (3) PROSPECT ASSOCIATES LIMITED (4) THE MCKENIZE GROUP INC | r | Other transfer of cash or property from other organization(s) | 11 | 165 | <u> </u> | | |
| Name of other organization Name of other organization R 1: (2) AIR HOLDING COMPANY P 3: (3) PROSPECT ASSOCIATES LIMITED | 2 | | s | | | | |
| (2) AIR HOLDING COMPANY P 3: (3) PROSPECT ASSOCIATES LIMITED P 1-1 (4) THE MCKENZIE GROUP INC | | Name of other organization Transaction | | (c) Amount involved | | | |
| 23) PROSPECT ASSOCIATES LIMITED P 3. (A) THE MCKENZIE GROUP INC | (1) A | AIR UK LTD | | 13 | 31,752 | | |
| A) THE MCKENZIE GROUD INC | (2) A | UR HOLDING COMPANY | | 3: | 14,000 | | |
| (A) THE MCKENZIE GDOLID INC | 3) PROSPECT ASSOCIATES LIMITED | | | - | 73,000 | | |
| · · | P THE MCKENZIE GROUP INC | | | 56,90 | | | |

Part VI Unrelated Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships

(a)
Name, address, and EIN of entity

(b) Primary activity (c) Legal domicile (state or foreign country) (d)
Are all
partners
section
501(c)(3)
organizations?

Yes No

(e) Share of end-of-year assets **(f)** Disproprtionate allocations?

No

Yes

(g) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065) (h) General or managing partner?

Yes No