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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation****2009**Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions	Name of foundation Pritchard Foundation		A Employer identification number 23-7982138
	Number and street (or P O box number if mail is not delivered to street address) Room/suite 2745 Sea Grove Lane		B Telephone number (see the instructions) (904) 332-2017
	City or town State ZIP code Fernandina Beach FL 32034-4837		C If exemption application is pending, check here <input type="checkbox"/>
	H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 4,510,465.		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch)				
	2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	434.	434.	434.	
	4 Dividends and interest from securities	86,385.	86,385.	86,385.	
	5a Gross rents				
	b Net rental income or (loss)		L-6a Stmt		
	6a Net gain/(loss) from sale of assets not on line 10	-255,483.			
	b Gross sales price for all assets on line 6a 2,000,415.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain			28,180.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	-168,664.	86,819.	114,999.		
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) L-16b Stmt	4,860.	4,860.	4,860.	
	c Other prof fees (attach sch) L-16c Stmt	41,749.	41,749.	41,749.	
	17 Interest				
	18 Taxes (attach schedule)(see instr.) See Line 18 Stmt	1,428.	1,428.	1,428.	
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses (attach schedule)					
24 Total operating and administrative expenses. Add lines 13 through 23	48,037.	48,037.	48,037.		
25 Contributions, gifts, grants paid	255,000.				
26 Total expenses and disbursements. Add lines 24 and 25	303,037.	48,037.	48,037.		
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-471,701.				
b Net investment income (if negative, enter -0-)		38,782.			
c Adjusted net income (if negative, enter -0-)			66,962.		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing	9,335.	10,099.	10,099.
	2	Savings and temporary cash investments	555,687.	677,557.	677,557.
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule) L-10a Stmt	222,125.	39,841.	40,392.
	b	Investments – corporate stock (attach schedule) L-10b Stmt	3,271,192.	2,799,954.	3,134,559.
	c	Investments – corporate bonds (attach schedule) L-10c Stmt	575,470.	634,657.	647,858.
	11	Investments – land, buildings, and equipment: basis			
		Less: accumulated depreciation (attach schedule)			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule)			
	14	Land, buildings, and equipment: basis			
		Less: accumulated depreciation (attach schedule)			
	15	Other assets (describe)			
	16	Total assets (to be completed by all filers – see instructions Also, see page 1, item I)	4,633,809.	4,162,108.	4,510,465.
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	4,633,809.	4,162,108.	
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see the instructions)	4,633,809.	4,162,108.	
	31	Total liabilities and net assets/fund balances (see the instructions)	4,633,809.	4,162,108.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,633,809.
2	Enter amount from Part I, line 27a	2	-471,701.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	4,162,108.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	4,162,108.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a Stock .		P	Various	Various
b Stock		P	Various	Various
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,972,235.		2,255,898.	-283,663.
b 28,180.		0.	28,180.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b 0.	0.	0.	
c			
d			
e			

2 Capital gain net income or (net capital loss)	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	-255,483.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	— [If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8]	3	28,180.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☒ Yes☐ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008			
2007			
2006			
2005			
2004			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter. _____ (attach copy of letter if necessary - see instr.)		1	776.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	776.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	776.
6 Credits/Payments:			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	1,216.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	1,216.	
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	440.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) PA - Pennsylvania		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>Douglas M Newton</u> Telephone no. <u>(904) 321-2017</u> Located at <u>2745 Sea Grove Lane</u> <u>Fernandina Beach FL</u> ZIP + 4 <u>32034-4837</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2b	X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5b**

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

If 'Yes' to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Lee P. Klingenstein New York, NY New York NY 10158	Trustee 10.00	0.	0.	0.
Peter L. Buttenwieser Phila, PA Philhila PA 19118	Trustee 0.00	0.	0.	0.
Allen Grossman Phila, PA Philhila PA 19118	Trustee 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				
0				
0				
0				
0				

Total number of other employees paid over \$50,000

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 None	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 None	
	0.
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3	None

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a Average monthly fair market value of securities	1a	4,161,898.
b Average of monthly cash balances	1b	13,257.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	4,175,155.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	4,175,155.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	62,627.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,112,528.
6 Minimum investment return. Enter 5% of line 5	6	205,626.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	205,626.
2a Tax on investment income for 2009 from Part VI, line 5	2a	776.
b Income tax for 2009 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	776.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	204,850.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	204,850.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	204,850.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	255,000.
b Program-related investments — total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	255,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	255,000.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				204,850.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			246,798.	
b Total for prior years 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2009				
a From 2004	0.			
b From 2005	0.			
c From 2006	0.			
d From 2007	1,518.			
e From 2008	0.			
f Total of lines 3a through e	1,518.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 255,000.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2009 distributable amount				204,850.
e Remaining amount distributed out of corpus	50,150.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	51,668.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount — see instructions			246,798.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	51,668.			
10 Analysis of line 9:				
a Excess from 2005	0.			
b Excess from 2006	0.			
c Excess from 2007	1,518.			
d Excess from 2008	0.			
e Excess from 2009	50,150.			

BAA

Form 990-PF (2009)

N/A

- (4) Gross investment income**

[illegible]

None

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
Outward Bound 90 Broad Street New York NY 10004	250000	Exempt	Charitable	250,000.
PEF Isreal Endowment Funds 317 Madison Ave Suite 607 New York NY 10017	5000	Exempt	Charitable	5,000.
Total			3a	255,000.
<i>b Approved for future payment</i>				
Total			3b	

Name	Employer Identification Number
Pritchard Foundation	23-7982138

Asset Information:

Description of Property:	Stocks
Date Acquired: <u>Various</u>	How Acquired: <u>Donated</u>
Date Sold: <u>12/31/09</u>	Name of Buyer: _____
Sales Price: <u>2,000,415.</u>	Cost or other basis (do not reduce by depreciation) <u>2,255,898.</u>
Sales Expense: _____	Valuation Method: _____
Total Gain (Loss): <u>-255,483.</u>	Accumulation Depreciation: _____
Description of Property: _____	
Date Acquired: _____	How Acquired: _____
Date Sold: _____	Name of Buyer: _____
Sales Price: _____	Cost or other basis (do not reduce by depreciation) _____
Sales Expense: _____	Valuation Method: _____
Total Gain (Loss): _____	Accumulation Depreciation: _____
Description of Property: _____	
Date Acquired: _____	How Acquired: _____
Date Sold: _____	Name of Buyer: _____
Sales Price: _____	Cost or other basis (do not reduce by depreciation) _____
Sales Expense: _____	Valuation Method: _____
Total Gain (Loss): _____	Accumulation Depreciation: _____
Description of Property: _____	
Date Acquired: _____	How Acquired: _____
Date Sold: _____	Name of Buyer: _____
Sales Price: _____	Cost or other basis (do not reduce by depreciation) _____
Sales Expense: _____	Valuation Method: _____
Total Gain (Loss): _____	Accumulation Depreciation: _____
Description of Property: _____	
Date Acquired: _____	How Acquired: _____
Date Sold: _____	Name of Buyer: _____
Sales Price: _____	Cost or other basis (do not reduce by depreciation) _____
Sales Expense: _____	Valuation Method: _____
Total Gain (Loss): _____	Accumulation Depreciation: _____
Description of Property: _____	
Date Acquired: _____	How Acquired: _____
Date Sold: _____	Name of Buyer: _____
Sales Price: _____	Cost or other basis (do not reduce by depreciation) _____
Sales Expense: _____	Valuation Method: _____
Total Gain (Loss): _____	Accumulation Depreciation: _____
Description of Property: _____	
Date Acquired: _____	How Acquired: _____
Date Sold: _____	Name of Buyer: _____
Sales Price: _____	Cost or other basis (do not reduce by depreciation) _____
Sales Expense: _____	Valuation Method: _____
Total Gain (Loss): _____	Accumulation Depreciation: _____
Description of Property: _____	
Date Acquired: _____	How Acquired: _____
Date Sold: _____	Name of Buyer: _____
Sales Price: _____	Cost or other basis (do not reduce by depreciation) _____
Sales Expense: _____	Valuation Method: _____
Total Gain (Loss): _____	Accumulation Depreciation: _____

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes (see the instructions)	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Excise	830.	830.	830.	
Foreign Tax	598.	598.	598.	
Total	<u>1,428.</u>	<u>1,428.</u>	<u>1,428.</u>	

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Douglas M Newton	Accounting	4,860.			
Total		<u>4,860.</u>			

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Neuberger Berman	Investment Advice	41,749.			
Total		<u>41,749.</u>			

Form 990-PF, Page 2, Part II, Line 10a

L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	End of Year		End of Year	
	State and Local Obligations Book Value	State and Local Obligations FMV	US Government Obligations Book Value	US Government Obligations FMV
US Treasury Bills			39,841.	40,392.
Total			<u>39,841.</u>	<u>40,392.</u>

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
Stocks	2,799,954.	3,134,559.
Total	<u>2,799,954.</u>	<u>3,134,559.</u>

Form 990-PF, Page 2, Part II, Line 10c

L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
Corporate bonds	634,657.	647,858.
Total	<u>634,657.</u>	<u>647,858.</u>

Client Statement

For the period 12/01/2009 to 12/31/2009

L KLINGENSTEIN P BUTTENWIESER
A GROSSMAN TTEES H AMMEN STTLR
THE PRITCHARD FDN DTD 6/16/98

NEUBERGER BERMAN

Ridge Account : 538-64075 MKU
Base Currency : USD
NB Account Number : 551-64075

Account Summary

	Opening Balance ⁽¹⁾⁽⁴⁾	Closing Balance ⁽⁵⁾	Cost Basis ⁽¹⁾⁽⁴⁾⁽⁶⁾	Estimated Annual Income ⁽⁶⁾ Amount	% Yield ⁽¹⁰⁾
CASH	525.00	79.00	79.00	0.00	0.00
CASH EQUIVALENT	496,374.34	528,108.99	528,108.99	264.05	0.05
EQUITIES	2,988,662.55	3,134,559.00	2,799,953.95	33,422.93	1.07
CORPORATE BONDS	654,356.65	647,857.60	634,657.10	38,868.75	(5.75)
US GOVERNMENT & AGENCY	80,563.60	40,395.20	39,840.62	1,050.00	0.18
MISCELLANEOUS	119,184.72	119,451.54	120,000.00	2,070.00	1.98
ACCRUED INTEREST	10,953.35	11,894.99	11,894.99	0.00	0.00
ACCRUED DIVIDEND	5,163.54	2,192.25	2,192.25	0.00	0.00
TOTAL VALUE OF ACCOUNT	4,355,783.75	4,484,538.57	4,136,726.90	75,575.73	1.69

Account Composition

	This Month	Year-To-Date	% of Portfolio
CASH			N/A
CASH EQUIVALENT			11.81
EQUITIES			70.12
CORPORATE BONDS			14.49
US GOVERNMENT & AGENCY			0.90
MISCELLANEOUS			2.67

Income Summary

TAXABLE DIVIDENDS	5,309.09	40,382.95
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Y-T-D Market Value Change Summary

PREVIOUS YEAR ENDING VALUE	3,944,784.16
NET DEPOSITS AND WITHDRAWALS	(338,153.00)
INCOME AND EXPENSE ITEMS (NET)	43,147.84
NET CHANGE IN ASSET VALUE	834,759.57
CURRENT PERIOD ENDING VALUE	4,484,538.57

Y-T-D Net Realized Gains/Losses

TOTAL LONG TERM	(283,663.00)
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Client Statement

For the period 12/01/2009 to 12/31/2009

L KLINGENSTEIN P BUTTENWIESER
A GROSSMAN TTEES H AMMEN STTLR
THE PRITCHARD FDN DTD 6/16/98

NEUBERGER BERMAN

Ridge Account : 538-64075 MKU
Base Currency : USD
NB Account Number : 551-64075

Income Summary

This Month Year-To-Date

MONEY MKT. FUND DIVIDENDS	25.95	653.29
TAXABLE BOND INT.	2,757.50	45,257.36
FOREIGN TAX	(65.07)	(597.90)
ACCRUED INT PURCH.	0.00	(209.10)

Y-T-D Net Realized Gains/Losses

TOTAL SHORT-TERM

28,180.19

RIDGE CLEARING & OUTSOURCING
2 JOURNAL SQ PLAZA
JERSEY CITY, NJ 07306

Account No 538-64075
Account Name L KLINGENSTEIN P BUTTENWIESER
Taxpayer Identification Number. ON-FILE
Account Executive No MKU
ORIGINAL 12/31/09

NEUBERGER BERMAN

2009 INVESTMENT DETAILS

DETAILS OF 2009 PURCHASES AND SALES

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
08/20	***TYCO INTERNATIONAL LTD	H89128104	Bought	1,600 00	\$31 46	\$50,330 26	
11/10	***TYCO INTERNATIONAL LTD	H89128104	Bought	300 00	\$34 50	\$10,349 73	
11/11	***TYCO INTERNATIONAL LTD	H89128104	Bought	150 00	\$34 75	\$5,212 18	
09/09	***ABB LTD SPONSORED ADR	000375204	Sold	675 00	\$20 14	\$13,592 86	
10/12	***ABB LTD SPONSORED ADR	000375204	Sold	450 00	\$21 15	\$9,517 69	
02/09	ABBOTT LABORATORIES	002824100	Sold	475 00	\$57 02	\$27,085 23	
04/16	ABBOTT LABORATORIES	002824100	Bought	650 00	\$42 57	\$27,668 25	
05/15	AMERICAN EXPRESS CO SR UNSECURED NOTES	025816BA6	Bought	75,000 00	\$100 20	\$75,150 00	
10/28	BANK AMER NA CHARLOTTE NC C/D FDIC INS TO LIMITS	06051VKT2	Bought	60,000 00	\$100 00	\$60,000 00	
12/07	BECTON DICKINSON & CO	075887109	Sold	75 00	\$77 98	\$5,848 42	
01/09	CVS CAREMARK CORPORATION	126650100	Bought	700 00	\$25 94	\$18,157 79	
04/09	CVS CAREMARK CORPORATION	126650100	Sold	650 00	\$29 92	\$19,449 52	
04/21	CVS CAREMARK CORPORATION	126650100	Sold	1,050 00	\$30 06	\$31,559 35	
05/20	CVS CAREMARK CORPORATION	126650100	Sold	650 00	\$30 48	\$19,809 48	
06/01	CVS CAREMARK CORPORATION	126650100	Sold	675 00	\$30 49	\$20,579 68	
08/06	CVS CAREMARK CORPORATION	126650100	Sold	675 00	\$34 13	\$23,040 18	
03/20	***CANADIAN NATURAL RESOURCES LTD	136385101	Sold	500 00	\$40 96	\$20,480 00	
10/07	***CANADIAN NATURAL RESOURCES LTD	136385101	Sold	2,000 00	\$66 25	\$132,491 79	
08/14	CHATTEM INC	162456107	Bought	1,300 00	\$62 85	\$81,701 58	
12/21	CHATTEM INC	162456107	Sold	1,300 00	\$92 86	\$120,714 34	
08/06	CISCO SYSTEMS INC	17275R102	Sold	2,000 00	\$22 08	\$44,166 06	
09/15	DANAHER CORP	235851102	Sold	625 00	\$67 87	\$42,416 29	
10/07	DOMINION RESOURCES INC VA NEW	25746U109	Sold	1,000 00	\$34 06	\$34,060 12	

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RIDGE CLEARING & OUTSOURCING
2 JOURNAL SQ PLAZA
JERSEY CITY, NJ 07306

Account No 538-64075
Account Name L KLINGENSTEIN P BUTTENWIESER
Taxpayer Identification Number. ON-FILE

Account Executive No MKU

ORIGINAL: 12/31/09

NEUBERGER BERMAN

2009 INVESTMENT DETAILS

DETAILS OF 2009 PURCHASES AND SALES (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
12/17	DRESSER RAND GROUP INC	261608103	Bought	1,750 00	\$30.58	\$53,511.61	
03/20	EOG RES INC	26875P101	Sold	200 00	\$63.77	\$12,754.38	
08/13	EDWARDS LIFESCIENCES CORP	28176E108	Bought	1,000 00	\$64.37	\$64,373.69	
02/05	EQUIFAX INC	294429105	Sold	1,000.00	\$25.40	\$25,400.85	
02/06	EQUIFAX INC	294429105	Sold	400.00	\$25.11	\$10,043.58	
10/23	EQUIFAX INC	294429105	Bought	1,300 00	\$28.88	\$37,541.15	
06/04	EXPRESS SCRIPTS INC COMMON	302182100	Bought	675 00	\$61 00	\$41,175 00	
06/05	EXPRESS SCRIPTS INC COMMON	302182100	Bought	700 00	\$62 12	\$43,487 15	
09/16	EXPRESS SCRIPTS INC COMMON	302182100	Sold	275 00	\$77 09	\$21,199 03	
10/15	EXPRESS SCRIPTS INC COMMON	302182100	Sold	100 00	\$82 00	\$8,199 70	
12/02	EXPRESS SCRIPTS INC COMMON	302182100	Sold	100 00	\$87 62	\$8,761 45	
09/15	FMC TECHNOLOGIES INC	30249U101	Sold	400 00	\$53 27	\$21,309 05	
10/28	FMC TECHNOLOGIES INC	30249U101	Sold	350 00	\$55 38	\$19,381 80	
10/02	FEDERAL HOME LOAN BANK DUE 10/02/2009 05 000%	3133XH7E6	REDEMPTION	120,000 00	-	\$120,000 00	
07/21	FEDERAL FARM CREDIT BANK DUE 12/17/2012 04 600%	31331YJB7	Bought	40,000 00	\$101.63	\$40,650.80	
12/17	FEDERAL FARM CREDIT BANK DUE 12/17/2012 04 600%	31331YJB7	REDEMPTION	40,000 00	-	\$40,000 00	
10/28	GE MONEY BK SALT LAKE CITY UTAH C/D FDIC INS TO LIMITS	36159SGY1	Bought	60,000 00	\$100 00	\$60,000 00	
06/22	GENERAL ELECTRIC CO	369604103	Bought	5,600 00	\$11.64	\$65,202.26	
07/17	GENERAL ELECTRIC CO	369604103	Bought	1,200 00	\$11.73	\$14,070.84	
09/17	GENERAL ELECTRIC CO	369604103	Sold	1,700 00	\$17.22	\$29,273.56	
10/22	GENERAL ELECTRIC CO	369604103	Bought	600 00	\$15.28	\$9,169.22	
03/30	GILEAD SCIENCES INC	375558103	Bought	1,400 00	\$44.94	\$62,916.00	
10/15	GILEAD SCIENCES INC	375558103	Bought	100 00	\$46.46	\$4,646.24	
12/01	GILEAD SCIENCES INC	375558103	Bought	375 00	\$46.41	\$17,404.61	

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RIDGE CLEARING & OUTSOURCING
2 JOURNAL SQ PLAZA
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Account Name L KLINGENSTEIN P BUTTENWIESER
Taxpayer Identification Number ON-FILE
Account Executive No MKU
ORIGINAL 12/31/09

NEUBERGER BERMAN

2009 INVESTMENT DETAILS

DETAILS OF 2009 PURCHASES AND SALES (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
12/17	GILEAD SCIENCES INC	375558103	Bought	400 00	\$43 10	\$17,241 60	
10/08	HEWLETT PACKARD CO	428236103	Bought	1,450 00	\$46 78	\$67,833 76	
12/11	HEWLETT PACKARD CO	428236103	Bought	300 00	\$50 05	\$15,016 35	
06/01	HOLOGIC INC	436440101	Bought	1,500 00	\$13 26	\$19,884 45	
08/24	HOLOGIC INC	436440101	Sold	1,550 00	\$15 99	\$24,787 13	
07/30	ITT CORPORATION	450911102	Sold	450 00	\$48 73	\$21,926 81	
08/20	ITT CORPORATION	450911102	Sold	1,050 00	\$49 46	\$51,929 58	
08/25	ICONIX BRAND GROUP INC	451055107	Bought	2,850 00	\$17 39	\$49,551 47	
09/03	ICONIX BRAND GROUP INC	451055107	Bought	1,075 00	\$16 73	\$17,986 95	
10/02	ICONIX BRAND GROUP INC	451055107	Bought	1,350 00	\$12 64	\$17,059 01	
09/21	INTERNATIONAL BUSINESS MACHINES CORP	459200101	Sold	100 00	\$121 56	\$12,155 93	
11/05	JPMORGAN CHASE & CO FORMERLY J P MORGAN CHASE AND	46625H100	Bought	1,500 00	\$43 42	\$65,128 50	
08/06	JOHNSON & JOHNSON	478160104	Sold	350 00	\$60 06	\$21,020 52	
10/13	JOHNSON & JOHNSON	478160104	Bought	275 00	\$60 92	\$16,752 95	
03/20	LINCOLN NATIONAL CORP-IND	534187109	Sold	750 00	\$7 59	\$5,692 54	
03/02	***LUXOTTICA GROUP SP - SPONSORED ADR	55060R202	Sold	1,500 00	\$12 37	\$18,554 65	
07/23	MCDONALDS CORP	580135101	Bought	550 00	\$55 92	\$30,753 32	
09/11	MCDONALDS CORP	580135101	Bought	50 00	\$54 33	\$2,716 35	
11/12	MCDONALDS CORP	580135101	Sold	175 00	\$62 46	\$10,930 33	
06/01	MEDNAX INC	58502B106	Bought	1,600 00	\$41 31	\$66,090 72	
09/16	MEDNAX INC	58502B106	Sold	275 00	\$51 71	\$14,219 54	
01/09	MEDTRONIC INC	585055106	Bought	2,200 00	\$32 74	\$72,031 01	
04/03	MEDTRONIC INC	585055106	Bought	950 00	\$29 78	\$28,293 67	
06/29	MEDTRONIC INC	585055106	Sold	800 00	\$34 68	\$27,745 74	
08/06	MEDTRONIC INC	585055106	Sold	375 00	\$35 40	\$13,275 55	

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RIDGE CLEARING & OUTSOURCING
2 JOURNAL SQ PLAZA
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Account No 538-64075
Account Name L KLINGENSTEIN P BUTTENWIESER
Taxpayer Identification Number. ON-FILE

Account Executive No MKU

ORIGINAL 12/31/09

NEUBERGER BERMAN

2009 INVESTMENT DETAILS

DETAILS OF 2009 PURCHASES AND SALES (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
08/19	MEDTRONIC INC	585055106	Sold	675 00	\$37 01	\$24,978 76	
08/24	MEDTRONIC INC	585055106	Sold	1,300 00	\$37 81	\$49,146 01	
03/04	METLIFE INC	59156RAC2	Sold	15,000 00	\$98 75	\$14,812 50	
	DUE 12/01/2011 06 125%						
07/29	MICROSOFT CORP	594918104	Sold	1,100 00	\$23 53	\$25,880 01	
07/30	MICROSOFT CORP	594918104	Sold	1,100 00	\$23 91	\$26,300 13	
09/11	MONSANTO CO NEW	61166W101	Bought	650 00	\$77 98	\$50,686 58	
09/24	MONSANTO CO NEW	61166W101	Bought	250 00	\$76 51	\$19,127 67	
10/29	MONSANTO CO NEW	61166W101	Sold	900 00	\$70 26	\$63,230 89	
10/22	***NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	641069406	Sold	150 00	\$46 47	\$6,970 27	
05/07	NORFOLK SOUTHERN CORP	655844108	Bought	1,725 00	\$37 15	\$64,084 66	
09/21	NORFOLK SOUTHERN CORP	655844108	Sold	200 00	\$45 88	\$9,176 18	
11/03	NORFOLK SOUTHERN CORP	655844108	Sold	250 00	\$49 08	\$12,270 51	
11/09	NORFOLK SOUTHERN CORP	655844108	Sold	1,275 00	\$52 04	\$66,351 22	
05/11	OCCIDENTAL PETE CORP	674599105	Sold	400 00	\$63 01	\$25,203 91	
07/23	OCCIDENTAL PETE CORP	674599105	Sold	175 00	\$70 38	\$12,316 31	
09/17	OCCIDENTAL PETE CORP	674599105	Sold	150 00	\$79 08	\$11,861 01	
03/02	OMNICOM GROUP INC	681919106	Sold	2,000 00	\$22 84	\$45,682 72	
06/24	ORACLE CORP	68389X105	Sold	1,100 00	\$21 30	\$23,431 70	
06/25	ORACLE CORP	68389X105	Sold	900 00	\$21 39	\$19,253 92	
01/28	PRAXAIR INC	74005P104	Sold	225 00	\$63 42	\$14,270 29	
02/09	PRAXAIR INC	74005P104	Sold	150 00	\$69 99	\$10,499 09	
04/02	PRAXAIR INC	74005P104	Sold	175 00	\$69 69	\$12,194 89	
12/24	PRAXAIR INC	74005P104	Bought	225 00	\$79 88	\$17,973 86	
07/17	PROCTER & GAMBLE CO	742718109	Sold	200 00	\$55 43	\$11,085 71	

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RIDGE CLEARING & OUTSOURCING
2 JOURNAL SQ PLAZA
JERSEY CITY, NJ 07306

Account No: 538-64075
Account Name: L KLINGENSTEIN P BUTTENWIESER
Taxpayer Identification Number ON-FILE
Account Executive No: MKU
ORIGINAL: 12/31/09

NEUBERGER BERMAN

2009 INVESTMENT DETAILS

DETAILS OF 2009 PURCHASES AND SALES (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
07/22	PROCTER & GAMBLE CO	742718109	Sold	100 00	\$55 18	\$5,518 26	
08/21	PROCTER & GAMBLE CO	742718109	Sold	1,250 00	\$53 53	\$66,908 32	
04/06	RANGE RESOURCES CORP	75281A109	Bought	1,200 00	\$43 37	\$52,043 26	
04/07	RANGE RESOURCES CORP	75281A109	Bought	250 00	\$42 87	\$10,717 67	
04/20	RANGE RESOURCES CORP	75281A109	Bought	500 00	\$40 24	\$20,120 45	
08/20	RANGE RESOURCES CORP	75281A109	Sold	650 00	\$49 70	\$32,301 36	
12/03	RANGE RESOURCES CORP	75281A109	Bought	375 00	\$47 46	\$17,798 36	
12/10	RANGE RESOURCES CORP	75281A109	Bought	300 00	\$43 72	\$13,116 64	
06/09	ROPER INDUSTRIES INC NEW	776696106	Sold	200 00	\$47 09	\$9,417 87	
09/14	ROPER INDUSTRIES INC NEW	776696106	Sold	250 00	\$50 78	\$12,695 70	
09/21	***SCHLUMBERGER LTD	806857108	Sold	800 00	\$60 38	\$48,301 71	
05/13	SOTHEBYS	835898107	Bought	3,025 00	\$10 40	\$31,460 30	
11/19	SOTHEBYS	835898107	Sold	400 00	\$19 74	\$7,895 23	
11/20	SOTHEBYS	835898107	Sold	75 00	\$19 52	\$1,464 33	
04/28	***TEVA PHARMACEUTICAL INDUSTRIES LTD-ADR	881624209	Bought	1,000 00	\$45 28	\$45,283 60	
04/29	***TEVA PHARMACEUTICAL INDUSTRIES LTD-ADR	881624209	Bought	25 00	\$44 91	\$1,122 85	
05/07	***TEVA PHARMACEUTICAL INDUSTRIES LTD-ADR	881624209	Bought	425 00	\$44 58	\$18,947 96	
05/28	***TEVA PHARMACEUTICAL INDUSTRIES LTD-ADR	881624209	Bought	625 00	\$46 25	\$28,909 31	
01/12	TIFFANY & CO NEW	886547108	Sold	1,300 00	\$22 17	\$28,823 04	
01/13	TIFFANY & CO NEW	886547108	Sold	300 00	\$22 47	\$6,739 99	
05/18	UNITED STATES TREASURY NOTE DUE 05/31/2010 02 625%	912828JA9	Sold	60,000 00	\$102 18	\$61,309 95	
01/16	VCA ANTECH INC	918194101	Sold	2,350 00	\$19 13	\$44,961 12	
08/07	VARIAN MEDICAL SYSTEMS INC	92220P105	Bought	2,250 00	\$36 14	\$81,313 04	
11/19	VARIAN MEDICAL SYSTEMS INC	92220P105	Sold	300 00	\$45 66	\$13,697 88	

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RIDGE CLEARING & OUTSOURCING
2 JOURNAL SQ PLAZA
JERSEY CITY, NJ 07306

Account No. 538-64075
Account Name L KLINGENSTEIN P BUTTENWIESER
Taxpayer Identification Number ON-FILE
Account Executive No MKU
ORIGINAL 12/31/09

NEUBERGER BERMAN

2009 INVESTMENT DETAILS

DETAILS OF 2009 PURCHASES AND SALES (continued)

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Comment
11/20	VARIAN MEDICAL SYSTEMS INC	92220P105	Sold	225 00	\$45 66	\$10,272.56	<u>BK315</u>
03/18	WATERS CORP	941848103	Sold	1,400 00	\$32 57	\$45,600 66	
03/20	XTO ENERGY INC	98385X106	Bought	2,000 00	\$34 17	\$68,333 40	
05/28	XTO ENERGY INC	98385X106	Sold	2,000 00	\$42 35	\$84,703 86	
01/29	ZIMMER HOLDINGS INC	98956P102	Sold	1,750 00	\$37 20	\$65,107 33	

2009 OTHER DISTRIBUTIONS & CHARGES DETAILS

2,255,897
(283,663)
28,180.
6 AM/2.55
ST -

DETAILS OF 2009 DISTRIBUTIONS & CHARGES

Date	Security Description	CUSIP	Transaction Description	Amount	Income Tax Withheld	Foreign Tax Paid	Country	Comments
08/03	***ABB LTD	000375204	ADR Fees	-\$56 00	-	-	-	
Total ADR Fees				-\$56.00				
05/20	AMERICAN EXPRESS CO DUE 05/20/2014 AT 7 250%	025816BA6	Short Accrued Int	-\$30 21	-	-	-	
07/22	FEDERAL FARM CREDIT BANK DUE 12/17/2012 AT 4 600%	31331YJB7	Short Accrued Int	-\$178 89	-	-	-	
Total Short Accrued Interest				-\$209.10				

END OF 2009 DETAILS

