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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust

OMB No. 1545-0052

**2009**

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year **2009**, or tax year beginning , **2009**, and ending , **20**G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☒ Address change ☐ Name changeUse the IRS  
label.Otherwise,  
print  
or type.See Specific  
Instructions.

Name of foundation

WILLIAM G &amp; HELEN C HOFFMAN FDN

Number and street (or P.O. box number if mail is not delivered to street address)

1525 W.W.T. HARRIS BLVD. D1114-044

City or town, state, and ZIP code

CHARLOTTE, NC 28288-1161

A Employer identification number

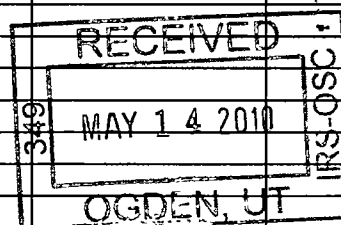
23-7981677

B Telephone number (see page 10 of the instructions)

(336) 732-6488

C If exemption application is  
pending, check here ☐D 1 Foreign organizations, check here ☐  
2. Foreign organizations meeting the  
85% test, check here and attach  
computation ☐E If private foundation status was terminated  
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end  
of year (from Part II, col. (c), line  
16) ▶ \$ 4,394,972.  
J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis.)**Part I Analysis of Revenue and Expenses** (The  
total of amounts in columns (b), (c), and (d)  
may not necessarily equal the amounts in  
column (a) (see page 11 of the instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	463.	463.		STMT 1
4 Dividends and interest from securities	98,626.	98,626.		STMT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-138,330.			
b Gross sales price for all assets on line 6a	747,965.			
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	2,625.			STMT 4
12 Total. Add lines 1 through 11	-36,616.	99,089.		
13 Compensation of officers, directors, trustees, etc.	43,269.	43,269.		
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) STMT 5	23,685.	20,230.	NONE	3,455.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) STMT 6	1,830.	1,830.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) STMT 7	5.			
24 Total operating and administrative expenses. Add lines 13 through 23	68,789.	65,329.	NONE	3,455.
25 Contributions, gifts, grants paid	150,000.			150,000.
26 Total expenses and disbursements Add lines 24 and 25	218,789.	65,329.	NONE	153,455.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-255,405.			
b Net investment income (if negative, enter -0-)		33,760.		
c Adjusted net income (if negative, enter -0-)				



SCANNED Revenue 9 2009

Operating and Administrative Expenses

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing . . . . .	NONE	NONE	
	2 Savings and temporary cash investments . . . . .	205,505.	23,188.	23,188.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable . . . . .			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ *		*	NONE
	Less: allowance for doubtful accounts ▶	NONE	NONE	NONE
	8 Inventories for sale or use . . . . .			
	9 Prepaid expenses and deferred charges . . . . .			
	10 a Investments - U.S. and state government obligations (attach schedule) . . . . .	NONE	NONE	NONE
	b Investments - corporate stock (attach schedule) . . . . .	NONE	NONE	NONE
	c Investments - corporate bonds (attach schedule) . . . . .	NONE	NONE	NONE
	Liabilities	11 Investments - land, buildings, and equipment, basis (attach schedule) . . . . .	NONE	
Less: accumulated depreciation (attach schedule) ▶		NONE	NONE	
12 Investments - mortgage loans . . . . .		NONE	NONE	
13 Investments - other (attach schedule) . . . . .		4,186,372.	4,096,795.	4,371,784.
14 Land, buildings, and equipment, basis (attach schedule) ▶				
Less: accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶) . . . . .		NONE	NONE	NONE
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .		4,391,877.	4,119,983.	4,394,972.
17 Accounts payable and accrued expenses . . . . .				
18 Grants payable . . . . .				
19 Deferred revenue . . . . .				
20 Loans from officers, directors, trustees, and other disqualified persons . . . . .				
21 Mortgages and other notes payable (attach schedule) . . . . .				
22 Other liabilities (describe ▶) . . . . .				
23 Total liabilities (add lines 17 through 22) . . . . .				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted . . . . .			
	25 Temporarily restricted . . . . .			
	26 Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds . . . . .	4,391,877.	4,119,983.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .			
	29 Retained earnings, accumulated income, endowment, or other funds . . . . .	NONE	NONE	
30 Total net assets or fund balances (see page 17 of the instructions) . . . . .	4,391,877.	4,119,983.		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	4,391,877.	4,119,983.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	4,391,877.
2 Enter amount from Part I, line 27a . . . . .	2	-255,405.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8 . . . . .	3	4.
4 Add lines 1, 2, and 3 . . . . .	4	4,136,476.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9 . . . . .	5	16,493.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	4,119,983.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE PART IV DETAIL</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69</b>					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2 Capital gain net income or (net capital loss) . . . . .</b>			<b>2</b>	<b>-138,330.</b>	
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b>			<b>3</b>		
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).					
If (loss), enter -0- in Part I, line 8 . . . . .					

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.**1** Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	307,229.	4,736,100.	0.06486961846
2007	278,559.	5,624,001.	0.04953039660
2006	221,614.	5,362,853.	0.04132389980
2005	211,372.	5,144,939.	0.04108348029
2004	295,317.	5,058,485.	0.05838052302
<b>2 Total of line 1, column (d) . . . . .</b>			<b>2</b> 0.25518791817
<b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .</b>			<b>3</b> 0.05103758363
<b>4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 . . . . .</b>			<b>4</b> 3,870,033.
<b>5 Multiply line 4 by line 3 . . . . .</b>			<b>5</b> 197,517.
<b>6 Enter 1% of net investment income (1% of Part I, line 27b) . . . . .</b>			<b>6</b> 338.
<b>7 Add lines 5 and 6 . . . . .</b>			<b>7</b> 197,855.
<b>8 Enter qualifying distributions from Part XII, line 4 . . . . .</b>			<b>8</b> 153,455.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	675.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	675.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	675.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	636.	
b Exempt foreign organizations-tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	636.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		39.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address N/A

14 The books are in care of WACHOVIA BANK, NA Telephone no. (908) 598-3582  
 Located at 190 RIVER ROAD 2ND FLOOR, SUMMIT, NJ ZIP + 4 07901-1444

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		<input checked="" type="checkbox"/> X
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		<input checked="" type="checkbox"/> X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)		<input checked="" type="checkbox"/> X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		<input checked="" type="checkbox"/> X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		<input checked="" type="checkbox"/> X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		43,269.	-0-	-0-

**2** Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

**Total** number of other employees paid over \$50,000 ☐ NONE

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services . . . . . **NONE**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions	
3 NONE	
<b>Total.</b> Add lines 1 through 3 . . . . .	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	3,931,799.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	-2,831.
<b>c</b>	Fair market value of all other assets (see page 24 of the instructions)	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	3,928,968.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	3,928,968.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	<b>4</b>	58,935.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	3,870,033.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	193,502.

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	193,502.
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	675.
<b>b</b>	Income tax for 2009. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	675.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	192,827.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4	<b>5</b>	192,827.
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions)	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	192,827.

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	153,455.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	153,455.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	<b>5</b>	N/A
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	153,455.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
<b>1</b> Distributable amount for 2009 from Part XI, line 7 . . . . .				192,827.
<b>2</b> Undistributed income, if any, as of the end of 2009:				
<b>a</b> Enter amount for 2008 only . . . . .			NONE	
<b>b</b> Total for prior years. 20 <u>07</u> , 20 <u>  </u> , 20 <u>  </u> . . . . .		NONE		
<b>3</b> Excess distributions carryover, if any, to 2009:				
<b>a</b> From 2004 . . . . .	NONE			
<b>b</b> From 2005 . . . . .	NONE			
<b>c</b> From 2006 . . . . .	NONE			
<b>d</b> From 2007 . . . . .	3,613.			
<b>e</b> From 2008 . . . . .	71,694.			
<b>f</b> Total of lines 3a through e . . . . .	75,307.			
<b>4</b> Qualifying distributions for 2009 from Part XII, line 4: ► \$ <u>153,455.</u>				
<b>a</b> Applied to 2008, but not more than line 2a . . . . .			NONE	
<b>b</b> Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .	NONE			
<b>d</b> Applied to 2009 distributable amount . . . . .				153,455.
<b>e</b> Remaining amount distributed out of corpus . . . . .	NONE			
<b>5</b> Excess distributions carryover applied to 2009 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a).)	39,372.			39,372.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	35,935.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions . . . . .			NONE	
<b>f</b> Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 . . . . .				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .	NONE			
<b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .	NONE			
<b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a . . . . .	35,935.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2005 . . . . .	NONE			
<b>b</b> Excess from 2006 . . . . .	NONE			
<b>c</b> Excess from 2007 . . . . .	NONE			
<b>d</b> Excess from 2008 . . . . .	35,935.			
<b>e</b> Excess from 2009 . . . . .				

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>  SEE STATEMENT 15				
<b>Total</b> . . . . .			▶ <b>3a</b>	150,000.
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .			▶ <b>3b</b>	



## Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |          |  |              |           |
|----------|--|--------------|-----------|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  | <b>Yes</b>   | <b>No</b> |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:   |              |           |
|          | (1) Cash   | <b>1a(1)</b> | X         |
|          | (2) Other assets   | <b>1a(2)</b> | X         |
| <b>b</b> | Other transactions:  |              |           |
|          | (1) Sales of assets to a noncharitable exempt organization   | <b>1b(1)</b> | X         |
|          | (2) Purchases of assets from a noncharitable exempt organization   | <b>1b(2)</b> | X         |
|          | (3) Rental of facilities, equipment, or other assets   | <b>1b(3)</b> | X         |
|          | (4) Reimbursement arrangements   | <b>1b(4)</b> | X         |
|          | (5) Loans or loan guarantees   | <b>1b(5)</b> | X         |
|          | (6) Performance of services or membership or fundraising solicitations   | <b>1b(6)</b> | X         |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | <b>1c</b>    | X         |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |              |           |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

**Sign Here**

*[Handwritten signature]*

Signature of officer or trustee

WACHOVIA BANK

<u>04/07/2010</u>	<u>Trustee</u>
Date	Title

Date \_\_\_\_\_

**Title**

**Paid  
Preparer's  
Use Only**

Preparer's signature 

Date

Check if self-employed ☐

Preparer's identifying  
number (See **Signature** on  
page 30 of the instructions)

**Firm's name (or yours if self-employed), address, and ZIP code**

EIN ▶

Phone no.

**SCHEDULE D**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No. 1545-0092

**2009**

Name of estate or trust

**WILLIAM G & HELEN C HOFFMAN FDN**

Employer identification number

**23-7981677**

**Note:** Form 5227 filers need to complete only Parts I and II.

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example: 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>1a</b>					

<b>b</b> Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .	<b>1b</b>	<b>-79,447.</b>
<b>2</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .	<b>2</b>	
<b>3</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>3</b>	
<b>4</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet . . . . .	<b>4</b>	( )
<b>5</b> <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back . . . . . ▶	<b>5</b>	<b>-79,447.</b>

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example: 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>6a</b> <b>LONG-TERM CAPITAL GAIN DIVIDENDS</b>		<b>STMT 1</b>			<b>698.</b>

<b>b</b> Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .	<b>6b</b>	<b>-59,581.</b>
<b>7</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .	<b>7</b>	
<b>8</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>8</b>	
<b>9</b> Capital gain distributions . . . . .	<b>9</b>	
<b>10</b> Gain from Form 4797, Part I . . . . .	<b>10</b>	
<b>11</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet . . . . .	<b>11</b>	( )
<b>12</b> <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back . . . . . ▶	<b>12</b>	<b>-58,883.</b>

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

**Part III Summary of Parts I and II****Caution: Read the instructions before completing this part.****(1) Beneficiaries'**  
(see page 5)**(2) Estate's**  
or trust's**(3) Total**

<b>13</b>	<b>Net short-term gain or (loss)</b> . . . . .	<b>13</b>			-79,447.
<b>14</b>	<b>Net long-term gain or (loss):</b>				
a	Total for year . . . . .	<b>14a</b>			-58,883.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.) . . . .	<b>14b</b>			
c	28% rate gain . . . . .	<b>14c</b>			
<b>15</b>	<b>Total net gain or (loss).</b> Combine lines 13 and 14 . . . . . ▶	<b>15</b>			-138,330.

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

**Part IV Capital Loss Limitation**

<b>16</b>	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:		
a	The loss on line 15, column (3) or b \$3,000 . . . . .	<b>16</b>	( 3,000 )

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

<b>17</b>	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . .	<b>17</b>	
<b>18</b>	Enter the <b>smaller</b> of line 14a or 15 in column (2) but not less than zero . . . . .	<b>18</b>	
<b>19</b>	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . .	<b>19</b>	
<b>20</b>	Add lines 18 and 19 . . . . .	<b>20</b>	
<b>21</b>	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	<b>21</b>	
<b>22</b>	Subtract line 21 from line 20. If zero or less, enter -0- . . . . .	<b>22</b>	
<b>23</b>	Subtract line 22 from line 17. If zero or less, enter -0- . . . . .	<b>23</b>	
<b>24</b>	Enter the <b>smaller</b> of the amount on line 17 or \$2,300 . . . . .	<b>24</b>	
<b>25</b>	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> <b>Yes.</b> Skip lines 25 and 26; go to line 27 and check the "No" box. <input type="checkbox"/> <b>No.</b> Enter the amount from line 23 . . . . .	<b>25</b>	
<b>26</b>	Subtract line 25 from line 24 . . . . .	<b>26</b>	
<b>27</b>	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> <b>Yes.</b> Skip lines 27 thru 30; go to line 31 <input type="checkbox"/> <b>No.</b> Enter the <b>smaller</b> of line 17 or line 22 . . . . .	<b>27</b>	
<b>28</b>	Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . . .	<b>28</b>	
<b>29</b>	Subtract line 28 from line 27 . . . . .	<b>29</b>	
<b>30</b>	Multiply line 29 by 15% (.15) . . . . .	<b>30</b>	
<b>31</b>	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>31</b>	
<b>32</b>	Add lines 30 and 31 . . . . .	<b>32</b>	
<b>33</b>	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>33</b>	
<b>34</b>	<b>Tax on all taxable income.</b> Enter the <b>smaller</b> of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . .	<b>34</b>	

Schedule D (Form 1041) 2009



**Continuation Sheet for Schedule D**  
**(Form 1041)**

OMB No 1545-0092

2009

► See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

WILLIAM G &amp; HELEN C HOFFMAN FDN

Employer identification number

23-7981677

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**[illegible]

<b>1b Total.</b> Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .	-79,447.00
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**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.**

**Schedule D-1 (Form 1041) 2009**

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

WILLIAM G &amp; HELEN C HOFFMAN FDN

23-7981677

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 193. LOCKHEED MARTIN CORP COM	08/13/2007	01/13/2009	15,152.00	19,110.00	-3,958.00
222. CHEVRONTEXACO CORP COM	02/22/2007	01/20/2009	15,770.00	15,644.00	126.00
189. JOHNSON & JOHNSON COM	05/19/2003	01/28/2009	11,023.00	10,280.00	743.00
832. DEVRY INC DEL COM	01/15/2008	02/26/2009	43,391.00	48,888.00	-5,497.00
50000. ILLINOIS TOOL WORKS INC NT DTD 2/24/99 5.75% 03	12/15/2005	03/01/2009	50,000.00	51,331.00	-1,331.00
100000. CITIGROUP INC BD DTD 09/16/2004 5% 09/15	02/27/2008	03/04/2009	60,250.00	96,329.00	-36,079.00
719. GENERAL ELEC CO COM	02/09/1987	03/04/2009	4,659.00	2,986.00	1,673.00
200. CHEVRONTEXACO CORP COM	02/22/2007	03/25/2009	13,939.00	14,094.00	-155.00
447. CISCO SYS INC COM W/RIGHTS ATTACHED EXPIRING	08/13/2007	03/25/2009	7,408.00	13,897.00	-6,489.00
300. DIAGEO PLC SPONSORED ADR NEW	01/05/2005	03/25/2009	13,311.00	17,095.00	-3,784.00
391. DIAGEO PLC SPONSORED ADR NEW	01/05/2005	03/25/2009	17,497.00	22,196.00	-4,699.00
445. JOHNSON & JOHNSON COM	05/19/2003	03/25/2009	23,580.00	24,204.00	-624.00
359. NIKE INC CL B COM	06/27/2007	03/25/2009	16,588.00	20,477.00	-3,889.00
316. PEPSICO INC COM	12/02/2004	03/25/2009	16,536.00	16,062.00	474.00
195. QUALCOMM INC COM W/RTS ATTACHED	01/20/2005	03/25/2009	7,548.00	7,399.00	149.00
161. STRYKER CORP COM	05/19/2003	03/25/2009	5,477.00	5,221.00	256.00
239. TOTAL FINA ELF S A SPONSORED ADR	06/29/2007	03/25/2009	12,464.00	16,838.00	-4,374.00
112. MONSANTO CO NEW COM	05/20/2008	06/02/2009	9,110.00	13,942.00	-4,832.00
387. NIKE INC CL B COM	06/27/2007	09/21/2009	22,387.00	22,074.00	313.00
1096. QUALCOMM INC COM W/RTS ATTACHED	01/20/2005	09/21/2009	48,376.00	41,589.00	6,787.00
1039. STRYKER CORP COM	05/19/2003	09/21/2009	49,112.00	33,694.00	15,418.00
377. JP MORGAN CHASE & CO COM	01/24/2008	10/23/2009	17,300.00	17,044.00	256.00
257. MONSANTO CO NEW COM	07/15/2008	10/23/2009	19,398.00	29,463.00	-10,065.00

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . -59,581.00

Schedule D-1 (Form 1041) 2009

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					698.	
15,152.00		193. LOCKHEED MARTIN CORP COM PROPERTY TYPE: SECURITIES 19,110.00					08/13/2007 -3,958.00	01/13/2009
20,238.00		3370. BANK AMER CORP COM PROPERTY TYPE: SECURITIES 101,578.00					07/22/2008 -81,340.00	01/20/2009
15,770.00		222. CHEVRONTXACO CORP COM PROPERTY TYPE: SECURITIES 15,644.00					02/22/2007 126.00	01/20/2009
34,312.00		806. FTI CONSULTING INC COM PROPERTY TYPE: SECURITIES 53,117.00					09/11/2008 -18,805.00	01/28/2009
11,023.00		189. JOHNSON & JOHNSON COM PROPERTY TYPE: SECURITIES 10,280.00					05/19/2003 743.00	01/28/2009
43,391.00		832. DEVRY INC DEL COM PROPERTY TYPE: SECURITIES 48,888.00					01/15/2008 -5,497.00	02/26/2009
50,000.00		50000. ILLINOIS TOOL WORKS INC NT DTD 2/ PROPERTY TYPE: SECURITIES 51,331.00					12/15/2005 -1,331.00	03/01/2009
60,250.00		100000. CITIGROUP INC BD DTD 09/16/2004 PROPERTY TYPE: SECURITIES 96,329.00					02/27/2008 -36,079.00	03/04/2009
4,659.00		719. GENERAL ELEC CO COM PROPERTY TYPE: SECURITIES 2,986.00					02/09/1987 1,673.00	03/04/2009
13,939.00		200. CHEVRONTXACO CORP COM PROPERTY TYPE: SECURITIES 14,094.00					02/22/2007 -155.00	03/25/2009

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
7,408.00		447. CISCO SYS INC COM W/RIGHTS ATTACHED PROPERTY TYPE: SECURITIES 13,897.00					08/13/2007 -6,489.00	03/25/2009
13,311.00		300. DIAGEO PLC SPONSORED ADR NEW PROPERTY TYPE: SECURITIES 17,095.00					01/05/2005 -3,784.00	03/25/2009
17,497.00		391. DIAGEO PLC SPONSORED ADR NEW PROPERTY TYPE: SECURITIES 22,196.00					01/05/2005 -4,699.00	03/25/2009
23,580.00		445. JOHNSON & JOHNSON COM PROPERTY TYPE: SECURITIES 24,204.00					05/19/2003 -624.00	03/25/2009
4,625.00		55. MONSANTO CO NEW COM PROPERTY TYPE: SECURITIES 6,847.00					05/20/2008 -2,222.00	03/25/2009
16,588.00		359. NIKE INC CL B COM PROPERTY TYPE: SECURITIES 20,477.00					06/27/2007 -3,889.00	03/25/2009
16,536.00		316. PEPSICO INC COM PROPERTY TYPE: SECURITIES 16,062.00					12/02/2004 474.00	03/25/2009
7,548.00		195. QUALCOMM INC COM W/RTS ATTACHED PROPERTY TYPE: SECURITIES 7,399.00					01/20/2005 149.00	03/25/2009
5,477.00		161. STRYKER CORP COM PROPERTY TYPE: SECURITIES 5,221.00					05/19/2003 256.00	03/25/2009
12,464.00		239. TOTAL FINA ELF S A SPONSORED ADR PROPERTY TYPE: SECURITIES 16,838.00					06/29/2007 -4,374.00	03/25/2009
44,617.00		3203. BANK AMER CORP COM PROPERTY TYPE: SECURITIES 25,047.00					03/19/2009 19,570.00	05/07/2009

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj. basis		Gain or (loss)	
10,737.00		132. MONSANTO CO NEW COM PROPERTY TYPE: SECURITIES 15,133.00					07/15/2008 -4,396.00	06/02/2009
9,110.00		112. MONSANTO CO NEW COM PROPERTY TYPE: SECURITIES 13,942.00					05/20/2008 -4,832.00	06/02/2009
33,519.00		404. POTASH CORP SASK INC COM W/RTS ATTA PROPERTY TYPE: SECURITIES 48,040.00					06/02/2009 -14,521.00	07/14/2009
19,173.00		237. L-3 COMMUNICATIONS HLDGS INC COM PROPERTY TYPE: SECURITIES 18,204.00					01/13/2009 969.00	09/21/2009
22,387.00		387. NIKE INC CL B COM PROPERTY TYPE: SECURITIES 22,074.00					06/27/2007 313.00	09/21/2009
35,188.00		604. NORTHERN TR CORP COM PROPERTY TYPE: SECURITIES 36,177.00					01/28/2009 -989.00	09/21/2009
48,376.00		1096. QUALCOMM INC COM W/RTS ATTACHED PROPERTY TYPE: SECURITIES 41,589.00					01/20/2005 6,787.00	09/21/2009
49,112.00		1039. STRYKER CORP COM PROPERTY TYPE: SECURITIES 33,694.00					05/19/2003 15,418.00	09/21/2009
23,484.00		1419. BANK AMER CORP COM PROPERTY TYPE: SECURITIES 11,066.00					03/19/2009 12,418.00	10/23/2009
17,300.00		377. JP MORGAN CHASE & CO COM PROPERTY TYPE: SECURITIES 17,044.00					01/24/2008 256.00	10/23/2009
19,398.00		257. MONSANTO CO NEW COM PROPERTY TYPE: SECURITIES 29,463.00					07/15/2008 -10,065.00	10/23/2009

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
21,098.00		443. NATIONAL OILWELL INC COM PROPERTY TYPE: SECURITIES 11,229.00					03/04/2009  9,869.00	10/23/2009
TOTAL GAIN(LOSS) .....							----- -138,330. =====	

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
WACHOVIA PT MONEY MARKET	463.	463.
	-----	-----
TOTAL	463.	463.
	=====	=====

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
APACHE CORP COM RTS EXP 01/31/2006	583.	583.
BANK AMER CORP COM	302.	302.
BHP LIMITED SPONS ADR COM	647.	647.
BLACKROCK INC COM	330.	330.
CME GROUP INC COM	1,435.	1,435.
CATERPILLAR INC NT DTD 12/05/08 7.9% 12/	8,010.	8,010.
CHEVRONTXACO CORP COM	941.	941.
CITIGROUP INC BD DTD 09/16/2004 5% 09/15	2,417.	2,417.
DEVRY INC DEL COM	82.	82.
DIAGEO PLC SPONSORED ADR NEW	566.	566.
DU PONT E I DE NEMOURS & CO NT DTD 12/12	3,427.	3,427.
EXPEDITORS INTL WASH INC COM	684.	684.
EXXON MOBIL CORP COM	2,437.	2,437.
FED FARM CREDIT BD DTD 02/05/07 5.44% 01	2,720.	2,720.
FLOWERVE CORP COM	438.	438.
GENERAL ELEC CO COM	446.	446.
GENERAL ELEC CAP CORP NTS DTD 12/6/2002	5,450.	5,450.
GOLDMAN SACHS GRP INC COM	832.	832.
GOLDMAN SACHS GRP INC BD DTD 08/27/2002	2,850.	2,850.
HARBOR INTERNATIONAL FUND	5,517.	5,517.
HEWLETT-PACKARD CO COM	332.	332.
ILLINOIS TOOL WORKS INC NT DTD 2/24/99 5	1,438.	1,438.
INTL BUSINESS MACHINES CORP SR NT DTD 09	5,700.	5,700.
JP MORGAN CHASE & CO COM	1,046.	1,046.
JOHNSON & JOHNSON COM	1,490.	1,490.
L-3 COMMUNICATIONS HLDGS INC COM	249.	249.
MASSACHUSETTS ST HEALTH & EDLFAC S AUTH	5,260.	5,260.
MASTERCARD INC-CL A	423.	423.
MCDONALDS CORP COM	1,576.	1,576.
MICROSOFT CORP COM	570.	570.
MONSANTO CO NEW COM	1,576.	1,576.
NATIONAL OILWELL INC COM	1,554.	1,554.



## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
NIKE INC CL B COM	630.	630.
NORSK HYDRO A S DEB DTD 1/15/98 6.7% 01/	6,700.	6,700.
NORTHERN TR CORP COM	507.	507.
ONTARIO PROV CDA BD DTD 02/03/2005 4.5%	4,500.	4,500.
PEPSICO INC COM	1,681.	1,681.
PHILIP MORRIS INTL INC COM	6,347.	6,347.
PITNEY BOWES INC BD DTD 09/27/2002 4.625	2,313.	2,313.
PROCTER & GAMBLE CO COM	1,536.	1,536.
QUALCOMM INC COM W/RTS ATTACHED	786.	786.
SCHLUMBERGER LTD COM	1,265.	1,265.
STRYKER CORP COM	480.	480.
TOTAL FINA ELF S A SPONSORED ADR	2,255.	2,255.
UNITED STATES TREAS DTD 2/15/2001 5% 02/	5,000.	5,000.
UNITED TECHNOLOGIES CORP COM	594.	594.
ALCON INC COM	2,704.	2,704.
	-----	-----
TOTAL	98,626.	98,626.
	=====	=====

FORM 990PF, PART I - OTHER INCOME  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
US TREASU REFUND	2,625.
	-----
TOTALS	2,625.
	=====

FORM 990PF, PART I - ACCOUNTING FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
AUDIT & ACCOUNTING FEES (ALLOC	20,230.	20,230.		3,455.
TAX PREPARATION FEE (NON-ALLOC	3,455.			
	-----	-----	-----	-----
TOTALS	23,685.	20,230.	NONE	3,455.
	=====	=====	=====	=====

FORM 990PF, PART I - TAXES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	1,066.	1,066.
FOREIGN TAXES ON QUALIFIED FOR	764.	764.
	-----	-----
TOTALS	1,830.	1,830.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
ADR FEES	5.
	-----
TOTALS	5.
	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES  
=====

DESCRIPTION -----	AMOUNT -----
ROUNDING	4.
	-----
TOTAL	4.
	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES  
=====DESCRIPTION  
-----AMOUNT  
-----

BOOK VALUE ADJUSTMENT

16,493.

TOTAL

-----  
16,493.  
=====

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

WACHOVIA BANK.

ADDRESS:

1525 WEST WT HARRIS BLVD  
CHARLOTTE, NC 28288-1114

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

COMPENSATION ..... 43,269.

TOTAL COMPENSATION:

43,269.

=====



RECIPIENT NAME:  
RECORDING FOR THE BLIND & DYSLEXIC,  
NJ UNIT  
ADDRESS:  
20 ROSZEL ROAD  
PRINCETON, NJ 08540  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
GENERAL  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC CHARITY  
AMOUNT OF GRANT PAID ..... 15,000.

RECIPIENT NAME:  
STATE THEATRE REGIONAL ARTS CENTER  
AT NEW BRUNSWICK  
ADDRESS:  
15 LIVINGSTON AVENUE  
NEW BRUNSWICK, NJ 08901-1903  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
GENERAL  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC CHARITY  
AMOUNT OF GRANT PAID ..... 10,000.

RECIPIENT NAME:  
SOUTH JERSEY EYE CEBTER  
ADDRESS:  
400 CHAMBERS AVENUE  
CAMDEN, NJ 08103  
RELATIONSHIP:  
NONE  
PURPOSE OF GRANT:  
GENERAL  
FOUNDATION STATUS OF RECIPIENT:  
PUBLIC CHARITY  
AMOUNT OF GRANT PAID ..... 15,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

## RECIPIENT NAME:

JEWISH FAMILY SERVICES OF CENTRAL  
NEW JERSEY

## ADDRESS:

655 WESTFIELD AVENUE  
ELIZABETH, NJ 07208

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 10,000.

## RECIPIENT NAME:

FAMILY SERVICE OF MORRIS COUNTY

## ADDRESS:

62 ELM STREET  
MORRISTOWN, NJ 07960

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 5,000.

## RECIPIENT NAME:

SEEING EYE

## ADDRESS:

P O BOX 375 WASHINGTON VALLEY RD  
MORRISTOWN, NJ 07963-0375

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 10,000.

=====

## RECIPIENT NAME:

PAPER MILL PLAYHOUSE

## ADDRESS:

BROOKSIDE DRIVE

MILLBURN, NJ 07041

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 5,000.

## RECIPIENT NAME:

ST JOSEPH SCHOOL FOR THE BLIND

## ADDRESS:

761 SUMMIT AVENUE

JERSEY CITY, NJ 07307-3831

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 10,000.

## RECIPIENT NAME:

ASSOCIATION FOR THE MULTIPLE IMPAIR  
BLIND, INC

## ADDRESS:

909 CEDAR BRIDGE AVE LEONIAK PL #4

BRICK, NJ 08723

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 25,000.

## RECIPIENT NAME:

HELEN L DILLER VACATION HOME FOR  
THE BLIND CHILDREN

## ADDRESS:

127 26TH STREET  
AVALON, NJ 08202

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 10,000.

## RECIPIENT NAME:

ELECTRONIC INFORMATION & EDUCATION  
SERVICE OF NEW JERSEY

## ADDRESS:

59 SCOTLAND ROAD - POBOX 411  
SOUTH ORANGE, NJ 07079

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 5,000.

## RECIPIENT NAME:

CHRIST HOSPITAL FOUNDATION

## ADDRESS:

176 PALISADE AVE  
JERSEY CITY, NJ 07306-1121

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 10,000.

=====

## RECIPIENT NAME:

TRINITAS HEALTH FOUNDATION

## ADDRESS:

P O BOX 259

ELIZABETH, NJ 07207

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 10,000.

## RECIPIENT NAME:

MORRISTOWN MEMORIAL HEALTH FOUNDATI

## ADDRESS:

100 MADISON AVENUE

MORRISTOWN, NJ 07962-1956

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 10,000.

TOTAL GRANTS PAID:

150,000.

=====

FEDERAL CAPITAL GAIN DIVIDENDS  
=====LONG-TERM CAPITAL GAIN DIVIDENDS  
-----

## 15% RATE CAPITAL GAIN DIVIDENDS

AMERICAN INTL GRP INC COM  
FREDDIE MAC COM VTG

684.00

14.00  
-----

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

698.00  
-----

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

698.00  
=====

RUN APR 30 10  
AT 8:56 AM

WELLS FARGO BANK, N.A.  
INVEST AFC/AFP INTERFACE

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AS OF 04/30/10

DETAIL LIST  
TICKLER 04/30/10 SEQUENCE 1277 12/31/09 THROUGH 12/31/09  
SETTLE DATE POSITION FOR ██████████ HOFFMAN FDN AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS /	ORIG. FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
PRINCIPAL							
=====							
DEPOSIT ACCOUNTS							
-----							
997981006	WACHOVIA PT MONEY MARKET			8,975.60	8,975.60		
TOTAL	DEPOSIT ACCOUNTS			8,975.60	8,975.60		
U.S. GOVERNMENTS & AGENCIES							
-----							
31331XNK4	FED FARM CREDIT BD DTD 02/05/07 5.44% 01/05/2022	50,000		50,221.00	52,609.50	50,221.00	50,221.00
9128276T4	UNITED STATES TREAS NT DTD 2/15/2001 5% 02/15/2011	100,000		102,351.56	104,801.00	102,351.56	102,351.56
TOTAL	U.S. GOVERNMENTS & AGENCIES	150,000		152,572.56	157,410.50	152,572.56	152,572.56
MUNICIPALS - TAXABLE							
-----							
57586C6V2	MASSACHUSETTS ST HEALTH & EDLFAC S AUTH HARVARD UNIV SER C BD DTD 06/10/2008 5.26% 10/01/2018	100,000		102,066.00	103,071.00	102,066.00	102,066.00
TOTAL	MUNICIPALS - TAXABLE	100,000		102,066.00	103,071.00	102,066.00	102,066.00
CORPORATE BONDS - NONCONVERTIBLE							
-----							
149123BQ3	CATERPILLAR INC NT DTD 12/05/08 7.9% 12/15/2018	100,000		102,281.00	121,993.00	102,281.00	102,281.00
263534BV0	DU PONT E I DE NEMOURS & CO NT DTD 12/12/08 5.875% 01/15/2014	100,000		99,686.00	110,464.00	99,686.00	99,686.00
36962GZY3	GENERAL ELEC CAP CORP NTS DTD 12/6/2002 5.45% 01/15/2013	100,000		104,982.83	106,309.00	104,982.83	104,982.83
38141GCG7	GOLDMAN SACHS GROUP INC BD DTD 08/27/2002 5.7% 09/01/2012	50,000		52,373.00	53,783.00	52,373.00	52,373.00
459200GJ4	INTL BUSINESS MACHINES CORP SR NT DTD 09/14/07 5.7% 09/14/2017	100,000		104,460.00	109,331.00	104,460.00	104,460.00

RUN APR 30 10  
AT 8:56 AM

WELLS FARGO BANK, N.A.  
INVEST AFC/AFP INTERFACE

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AS OF 04/30/10

DETAIL LIST									
TICKLER 04/30/10 SEQUENCE 1277 12/31/09 THROUGH 12/31/09									
SETTLE DATE POSITION FOR ██████████ HOFFMAN FDN AS OF 12/31/09									
CUSIP #	SECURITY NAME	UNITS /	ORIG	FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST	
656531AF7	NORSK HYDRO A S DEB DTD 1/15/98 6.7% 01/15/2018	100,000			110,318.00	114,441.00	110,318.00	110,318.00	
724479AF7	PITNEY BOWES INC BD DTD 09/27/2002 4.625% 10/01/2012	50,000			51,497.50	53,186.00	51,497.50	51,497.50	
TOTAL	CORPORATE BONDS - NONCONVERTIBLE	600,000			625,598.33	669,507.00	625,598.33	625,598.33	
SOVEREIGN FIXED INCOME									
-----									
683234WQ7	ONTARIO PROV CDA BD DTD 02/03/2005 4.5% 02/03/2015	100,000			101,645.00	105,596.00	101,645.00	101,645.00	
TOTAL	SOVEREIGN FIXED INCOME	100,000			101,645.00	105,596.00	101,645.00	101,645.00	
COMMON STOCKS									
-----									
023135106	AMAZON COM INC COM	485			43,603.88	65,242.20	43,603.88	43,603.88	
037411105	APACHE CORP COM	972			103,146.03	100,281.24	102,386.50	102,386.50	
037604105	APOLLO GRP INC CL A	1,092			79,238.18	66,153.36	79,238.18	79,238.18	
037833100	APPLE INC COM	860			84,794.68	181,229.52	84,794.68	84,794.68	
060505104	BANK OF AMERICA CORP COM	6,773			52,544.33	102,001.38	52,287.56	52,287.56	
084670207	BERKSHIRE HATHAWAY INC DEL CL B	21			83,525.86	69,006.00	83,525.86	83,525.86	
088606108	BHP BILLITON LTD SPON ADR	789			47,665.46	60,421.62	47,665.46	47,665.46	
09247X101	BLACKROCK INC COM	423			86,910.64	98,220.60	86,910.64	86,910.64	
12572Q105	CME GROUP INC COM	323			163,036.05	108,515.08	163,036.05	163,036.05	
151020104	CELGENE CORP COM	1,252			69,401.82	69,711.36	69,401.82	69,401.82	
166764100	CHEVRON CORP COM	305			22,767.62	23,481.95	21,492.74	21,492.74	
17275R102	CISCO SYSTEMS INC COM	1,058			32,893.22	25,328.52	32,893.22	32,893.22	
192446102	COGNIZANT TECH SOLUTIONS CRP COM CL A EXP 3/5/2013	797			30,764.20	36,128.01	30,764.20	30,764.20	



RUN APR 30 10  
AT 8:56 AM

WELLS FARGO BANK, N.A.  
INVEST AFC/AFP INTERFACE

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AS OF 04/30/10

DETAIL LIST  
TICKLER 04/30/10 SEQUENCE 1277 12/31/09 THROUGH 12/31/09  
SETTLE DATE POSITION FOR [REDACTED] HOFFMAN FDN AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS /	ORIG. FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
302130109	EXPEDITORS INTL WASH INC COM	1,800		34,805.90	62,586.00	34,065.52	34,065.52
30231G102	EXXON MOBIL CORP COM	1,468		78,375.79	100,102.92	74,414.86	74,414.86
34354P105	FLOWERVE CORP COM	986		74,617.80	93,206.58	74,617.80	74,617.80
372917104	GENZYME CORP COM -GEN DIV	778		51,147.78	38,129.78	51,147.78	51,147.78
375558103	GILEAD SCIENCES INC COM	900		31,583.70	38,943.00	31,583.70	31,583.70
38141G104	GOLDMAN SACHS GROUP INC COM	664		93,992.64	112,109.76	89,124.71	89,124.71
38259P508	GOOGLE INC-CL A COM	127		52,650.18	78,737.46	51,767.74	51,767.74
428236103	HEWLETT-PACKARD CO COM	1,037		48,957.70	53,415.87	48,957.70	48,957.70
46625H100	JP MORGAN CHASE & CO COM	2,251		87,909.90	93,799.17	85,588.98	85,588.98
478160104	JOHNSON & JOHNSON COM	666		35,448.22	42,897.06	34,127.74	34,127.74
57636Q104	MASTERCARD INC-CL A COM	765		124,537.41	195,824.70	124,537.41	124,537.41
580135101	MCDONALDS CORP COM	769		41,492.78	48,016.36	41,492.78	41,492.78
594918104	MICROSOFT CORP COM	1,097		39,464.25	33,436.56	39,464.25	39,464.25
61166W101	MONSANTO CO COM	1,191		126,539.48	97,364.25	120,228.06	120,228.06
637071101	NATIONAL OILWELL VARCO INC COM	1,413		33,832.08	62,299.17	33,210.17	33,210.17
713448108	PEPSICO INC COM	807		41,019.81	49,065.60	41,019.81	41,019.81
718172109	PHILIP MORRIS INTL INC COM	2,885		114,601.20	139,028.15	114,123.60	114,123.60
742718109	PROCTER & GAMBLE CO COM	893		17,474.06	54,142.59	3,441.77	3,441.77
760975102	RESEARCH IN MOTION COM	874		74,099.61	59,029.96	74,099.61	74,099.61
806857108	SCHLUMBERGER LTD COM	1,506		94,564.20	98,025.54	79,071.95	79,071.95
89151E109	TOTAL SA - SPONSORED ADR	688		49,598.26	44,059.52	47,543.55	47,543.55

RUN APR 30 10  
AT 8:56 AM

WELLS FARGO BANK, N.A.  
INVEST AFC/AFP INTERFACE

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AS OF 04/30/10

DETAIL LIST  
TICKLER 04/30/10 SEQUENCE 1277 12/31/09 THROUGH 12/31/09  
SETTLE DATE POSITION FOR [REDACTED] HOFFMAN FDN AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS / ORIG. FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
913017109	UNITED TECHNOLOGIES CORP COM	386	28,712.29	26,792.26	27,140.91	27,140.91
H01301102	ALCON INC COM	742	82,511.33	121,947.70	80,492.06	80,492.06
TOTAL	COMMON STOCKS	39,843	2,358,228.34	2,748,680.80	2,299,263.25	2,299,263.25
	MUTUAL FUNDS - EQUITY					
74926P878	RBC ENTERPRISE FUND S	16,100.423	401,255.13	217,516.71	401,255.13	401,255.13
TOTAL	MUTUAL FUNDS - EQUITY	16,100.423	401,255.13	217,516.71	401,255.13	401,255.13
	MUTUAL FUNDS - INTERNATIONAL EQUITY					
411511306	HARBOR INTERNATIONAL FUND INS CL	6,743.244	355,429.73	370,001.80	355,429.73	355,429.73
TOTAL	MUTUAL FUNDS - INTERNATIONAL EQUITY	6,743.244	355,429.73	370,001.80	355,429.73	355,429.73
	PRINCIPAL CASH		0.00	0.00		
*****	PRINCIPAL TOTAL	1,012,686.667	4,105,770.69	4,380,759.41	4,037,830.00	4,037,830.00
	INCOME					
	=====					
	DEPOSIT ACCOUNTS					
997981006	WACHOVIA PT MONEY MARKET		14,212.65	14,212.65		
TOTAL	DEPOSIT ACCOUNTS		14,212.65	14,212.65		
	INCOME CASH		0.00	0.00		
*****	INCOME TOTAL		14,212.65	14,212.65	0.00	0.00
	ASSET FILE TOTAL	1,012,686.667	4,119,983.34	4,394,972.06	4,037,830.00	4,037,830.00