Form **990** Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0047

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning and ending

B Check if applicable: [ ] Address change [ ] Name change [ ] Initial return [ ] Terminated [ ] Amended return [ ] Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization: RIO GRANDE CANCER FOUNDATION

Doing Business As

Number and street (or P O box if mail is not delivered to street address): 10460 VISTA DEL SOL — Room/suite: 101

City or town, state or country, and ZIP + 4: EL PASO, TX 79925-7939

D Employer identification number: 23-7105159

E Telephone number: 915-562-7660

G Gross receipts $ 5,440,110.

F Name and address of principal officer: PATTY TISCARENO SAME AS C ABOVE

H(a) Is this a group return for affiliates? [ ] Yes [X] No

H(b) Are all affiliates included? [ ] Yes [ ] No

If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: [X] 501(c) (3) ◀ (insert no.) [ ] 4947(a)(1) or [ ] 527

J Website: ▶ WWW.RGCF.ORG

K Form of organization: [X] Corporation [ ] Trust [ ] Association [ ] Other ▶

L Year of formation 1971 **M** State of legal domicile TX

Part I Summary

Activities & Governance

1	Briefly describe the organization's mission or most significant activities: RIO GRANDE CANCER FOUNDATION FUNDS EXTERNAL AGENCIES THAT PROVIDE CANCER SUPPORT SERVICES AND		
2	Check this box ▶ [ ] if the organization discontinued its operations or disposed of more than 25% of its net assets		
3	Number of voting members of the governing body (Part VI, line 1a)	3	15
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	15
5	Total number of employees (Part V, line 2a)	5	4
6	Total number of volunteers (estimate if necessary)	6	38
7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.

		Prior Year	Current Year
Revenue			
8	Contributions and grants (Part VIII, line 1h)	125,814.	86,164.
9	Program service revenue (Part VIII, line 2g)		
10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	936,087.	<239,335.>
11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		14,035.
12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,061,901.	<139,136.>
Expenses			
13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	424,940.	392,218.
14	Benefits paid to or for members (Part IX, column (A), line 4)		
15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	287,218.	291,923.
16a	Professional fundraising fees (Part IX, column (A), line 11e)		
b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 5,845.		
17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	278,003.	257,285.
18	Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)	990,161.	941,426.
19	Revenue less expenses. Subtract line 18 from line 12	71,740.	<1,080,562.>

Net Assets or Fund Balances		Beginning of Current Year	End of Year
20	Total assets (Part X, line 16)	10,554,986.	9,544,553.
21	Total liabilities (Part X, line 26)	41,159.	111,288.
22	Net assets or fund balances. Subtract line 21 from line 20	10,513,827.	9,433,265.

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SCANNED APR 16 2010

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here ▶ Patricia Tiscareno — Signature of officer — Date 3/24/10

▶ PATTY TISCARENO, EXECUTIVE DIRECTOR — Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶ Don Scurry — Date 3.23.10 — Check if self-employed ▶ [ ] — Preparer's identifying number (see instructions)

Firm's name (or yours if self-employed), address, and ZIP + 4: STOCKTON SCURRY & SMITH, 4487 NORTH MESA ST., SUITE 110, EL PASO, TEXAS 79902 — EIN ▶ — Phone no ▶ 915-566-9305

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [ ] No

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

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Part III | Statement of Program Service Accomplishments

1 Briefly describe the organization's mission
TO REDUCE THE HUMAN AND ECONOMIC IMPACT OF CANCER ON THE CITIZENS OF EL PASO COUNTY THROUGH THE FINANCIAL SUPPORT AND DEVELOPMENT OF EFFECTIVE PROGRAMS FOR ADVOCACY, EDUCATION, EARLY DETECTION AND OTHER SERVICES TO CANCER PATIENTS AND THEIR FAMILIES.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No
If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No
If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses $ 336,000. including grants of $ 336,000.) (Revenue $)
PROVIDED DIRECT GRANTS TO 19 QUALIFIED NON-PROFIT ORGANIZATIONS WITH MISSIONS SUPPORTING CANCER ISSUES INCLUDING QUALITY OF LIFE, TREATMENT, EDUCATION AND PREVENTION, END OF LIFE, AND SOCIAL / SUPPORT SERVICES.

4b (Code) (Expenses $ 56,218. including grants of $) (Revenue $)
FUNDED PATIENT AIR TRANSPORT PROGRAM, IN PARTNERSHIPS WITH COMMERCIAL AIRLINES, FOR THE PURPOSE OF PROVIDING AIR TRAVEL FOR 244 CANCER PATIENTS AND THEIR FAMILIES TO MD ANDERSON CANCER CENTER AND BAYLOR CANCER CENTER FOR TREATMENT UNAVAILABLE LOCALLY.

4c (Code.) (Expenses $ 313,728. including grants of $) (Revenue $)
FUNDED GREENHOUSE PROGRAM THAT PROVIDED LIBRARY MATERIALS TO 178 PATRONS AND WELLNESS WORKSHOP PROGRAMS FOR 52 INDIVIDUALS THROUGHOUT THE YEAR.

4d Other program services. (Describe in Schedule O)
(Expenses $ 26,735. including grants of $) (Revenue $)

4e **Total program service expenses** ▶ $ 732,681.

932002
02-04-10

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		X
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? *If "Yes," complete Schedule C, Part II*	4		X
5	**Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? *If "Yes," complete Schedule C, Part III*	5		
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		X
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		X
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10		X
11	Is the organization's answer to any of the following questions "Yes"? *If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable*	11	X	
	• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*			
	• Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII.*			
	• Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*			
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*			
	• Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X.*			
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? *If "Yes," complete Schedule D, Part X*			
12	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12	X	
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional* — 12A: Yes [ ] No [X]			
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		X
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? *If "Yes," complete Schedule F, Part I*	14b		X
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Part II*	15		X
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Part III*	16		X
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17		X
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		X
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		X
20	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20		X

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	X	
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	X	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23		X
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K If "No", go to line 25*	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		X
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		X
28	Was the organization a party to a business transaction with one of the following parties, (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		X
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		X
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		X
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34		X
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35		X
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O.	38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable	1a	4			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	4			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?			2b	X	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* this return. (see instructions)					
3a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?			3a		X
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*			3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		X
b	If "Yes," enter the name of the foreign country. ▶					
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?			6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		X
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?			7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				

Part VI Governance, Management, and Disclosure *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Section A. Governing Body and Management

			Yes	No
1a	Enter the number of voting members of the governing body	1a 15		
b	Enter the number of voting members that are independent	1b 15		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		X
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4		X
5	Did the organization become aware during the year of a material diversion of the organization's assets?	5		X
6	Does the organization have members or stockholders?	6		X
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a		X
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
a	The governing body?	8a	X	
b	Each committee with authority to act on behalf of the governing body?	8b	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*	9		X

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		X
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11	X	
11A	Describe in Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Does the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	X	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this is done*	12c	X	
13	Does the organization have a written whistleblower policy?	13	X	
14	Does the organization have a written document retention and destruction policy?	14	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	X	
b	Other officers or key employees of the organization	15b		X
	If "Yes" to line 15a or 15b, describe the process in Schedule O (See instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		X
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ NONE

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available Check all that apply.

☐ Own website ☐ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization. ▶

PATTY TISCARENO - 915-562-7660

10460 VISTA DEL SOL DR. SUITE 101, EL PASO, TX 79925

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year Use Schedule J-2 if additional space is needed.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees, highest compensated employees; and former such persons.

☐ Check this box if the organization did not compensate any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week	(C) Position: Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
MALENA FIELD DIRECTOR	1.00	X						0.	0.	0.
PETER FRAIRE DIRECTOR	1.00	X						0.	0.	0.
PATRICIA SLAUGHTER DIRECTOR	1.00	X						0.	0.	0.
SHARON CARR-LEAMON VICE PRESIDENT	4.00	X						0.	0.	0.
AMY SANDERS DIRECTOR	1.00	X						0.	0.	0.
KERRY LORE DIRECTOR	1.00	X						0.	0.	0.
ROBERT ASH DIRECTOR	1.00	X						0.	0.	0.
ROBERT WARACH SECRETARY / TREASURER	4.00	X						0.	0.	0.
JUAN HERRADA, MD DIRECTOR	1.00	X						0.	0.	0.
JOHN BOOMER DIRECTOR	1.00	X						0.	0.	0.
GREG HARTLEY DIRECTOR	1.00	X						0.	0.	0.
DAN OLIVAS DIRECTOR	1.00	X						0.	0.	0.
EDUARDO CASTORENA DIRECTOR	1.00	X						0.	0.	0.
VICTOR MASCORRO, JR. PRESIDENT	4.00	X						0.	0.	0.
JEANNE FOSKETT DIRECTOR	1.00	X						0.	0.	0.
PATTI WETZEL DIRECTOR	1.00	X						0.	0.	0.
KATHRIN BERG PETIT DIRECTOR	1.00	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
SHELLY RUDDOCK DIRECTOR	1.00	X						0.	0.	0.
PATRICIA TISCARENO EXECUTIVE DIRECTOR	40.00			X				92,650.	0.	6,370.
1b Total ▶								92,650.	0.	6,370.

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶ 0

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		X
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4		X
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. NONE

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶ 0

Part VIII Statement of Revenue

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1 a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c				
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f 86,164.				
	g Noncash contributions included in lines 1a-1f $					
	h **Total.** Add lines 1a-1f ▶		86,164.			
Program Service Revenue		Business Code				
	2 a					
	b					
	c					
	d					
	e					
	f All other program service revenue					
	g **Total.** Add lines 2a-2f ▶					
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts) ▶		369,149.			369,149.
	4 Income from investment of tax-exempt bond proceeds ▶					
	5 Royalties ▶					
		(i) Real / (ii) Personal				
	6 a Gross Rents					
	b Less rental expenses					
	c Rental income or (loss)					
	d Net rental income or (loss) ▶					
	7 a Gross amount from sales of assets other than inventory	(i) Securities 4959899. / (ii) Other				
	b Less cost or other basis and sales expenses	5568383.				
	c Gain or (loss)	<608484.>				
	d Net gain or (loss) ▶		<608,484.>			<608,484.>
	8 a Gross income from fundraising events (not including $ of contributions reported on line 1c) See Part IV, line 18	a 24,898.				
	b Less: direct expenses	b 10,863.				
	c Net income or (loss) from fundraising events ▶		14,035.	14,035.		
	9 a Gross income from gaming activities. See Part IV, line 19	a				
	b Less: direct expenses	b				
	c Net income or (loss) from gaming activities ▶					
	10 a Gross sales of inventory, less returns and allowances	a				
	b Less cost of goods sold	b				
	c Net income or (loss) from sales of inventory ▶					
	Miscellaneous Revenue	Business Code				
	11 a					
	b					
	c					
	d All other revenue					
	e **Total.** Add lines 11a-11d ▶					
	12 **Total revenue.** See instructions ▶		<139,136.>	14,035.	0.	<239,335.>

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U S See Part IV, line 21	336,000.	336,000.		
2 Grants and other assistance to individuals in the U S. See Part IV, line 22	56,218.	56,218.		
3 Grants and other assistance to governments, organizations, and individuals outside the U.S See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	96,899.	63,045.	29,354.	4,500.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	144,375.	96,066.	48,309.	
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	9,749.	5,088.	4,661.	
9 Other employee benefits	22,674.	14,421.	7,253.	1,000.
10 Payroll taxes	18,226.	11,909.	5,972.	345.
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting	15,800.		15,800.	
d Lobbying				
e Professional fundraising services See Part IV, line 17				
f Investment management fees	48,804.		48,804.	
g Other	25,681.	25,681.		
12 Advertising and promotion	9,334.	9,334.		
13 Office expenses	23,480.	12,974.	10,506.	
14 Information technology	11,426.	11,426.		
15 Royalties				
16 Occupancy	9,162.	4,581.	4,581.	
17 Travel	15,493.	3,141.	12,352.	
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	8,240.	4,120.	4,120.	
23 Insurance				
24 Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a PRINTING AND POSTAGE	49,187.	45,409.	3,778.	
b COMMUNITY PROJECTS	23,449.	23,449.		
c INSURANCE	7,410.		7,410.	
d GREEN HOUSE LIBRARY EXP	5,615.	5,615.		
e FOUR SEASONS EDUCATIONA	3,286.	3,286.		
f All other expenses	918.	918.		
25 **Total functional expenses** Add lines 1 through 24f	941,426.	732,681.	202,900.	5,845.
26 **Joint costs** Check here ▶ ☐ if following SOP 98-2 Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

					(A) Beginning of year		(B) End of year
Assets	1	Cash - non-interest-bearing			51,492.	1	28,329.
	2	Savings and temporary cash investments				2	
	3	Pledges and grants receivable, net			11,762.	3	1,250.
	4	Accounts receivable, net				4	
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L				5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L				6	
	7	Notes and loans receivable, net				7	
	8	Inventories for sale or use				8	
	9	Prepaid expenses and deferred charges			764.	9	
	10a	Land, buildings, and equipment cost or other basis. Complete Part VI of Schedule D	10a	144,539.			
	b	Less accumulated depreciation	10b	126,186.	22,465.	10c	18,353.
	11	Investments - publicly traded securities			10,440,391.	11	9,474,453.
	12	Investments - other securities. See Part IV, line 11				12	
	13	Investments - program-related. See Part IV, line 11				13	
	14	Intangible assets				14	
	15	Other assets See Part IV, line 11			28,112.	15	22,168.
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)			10,554,986.	16	9,544,553.
Liabilities	17	Accounts payable and accrued expenses			11,159.	17	10,278.
	18	Grants payable			30,000.	18	101,000.
	19	Deferred revenue				19	
	20	Tax-exempt bond liabilities				20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D				21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L				22	
	23	Secured mortgages and notes payable to unrelated third parties				23	
	24	Unsecured notes and loans payable to unrelated third parties				24	
	25	Other liabilities Complete Part X of Schedule D			0.	25	10.
	26	**Total liabilities.** Add lines 17 through 25			41,159.	26	111,288.
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ [X] and complete lines 27 through 29, and lines 33 and 34.**					
	27	Unrestricted net assets			10,435,580.	27	9,375,510.
	28	Temporarily restricted net assets			78,247.	28	57,755.
	29	Permanently restricted net assets				29	
		Organizations that do not follow SFAS 117, check here ▶ [ ] and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building, or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			10,513,827.	33	9,433,265.
	34	Total liabilities and net assets/fund balances			10,554,986.	34	9,544,553.

Part XI Financial Statements and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990. [ ] Cash [X] Accrual [ ] Other ______ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		X
b	Were the organization's financial statements audited by an independent accountant?	2b	X	
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	X	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both. [X] Separate basis [ ] Consolidated basis [ ] Both consolidated and separate basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	3b		

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization
RIO GRANDE CANCER FOUNDATION

Employer identification number
23-7105159

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)
3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: ____
5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
7 [X] An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)
8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	**11g(i)**		
(ii) A family member of a person described in (i) above?	**11g(ii)**		
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document? Yes	No	(v) Did you notify the organization in col (i) of your support? Yes	No	(vi) Is the organization in col (i) organized in the US? Yes	No	(vii) Amount of support
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants")	47,021.	64,479.	126,445.	125,814.	86,164.	449,923.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3	47,021.	64,479.	126,445.	125,814.	86,164.	449,923.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public support.** Subtract line 5 from line 4						449,923.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	47,021.	64,479.	126,445.	125,814.	86,164.	449,923.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	614,448.	484,583.	595,511.	649,283.	369,149.	2712974.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 **Total support.** Add lines 7 through 10						3162897.

12 Gross receipts from related activities, etc. (see instructions) | 12 |

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f)) | 14 | 14.23 %

15 Public support percentage from 2008 Schedule A, Part II, line 14 | 15 | 12.82 %

16a **33 1/3% support test - 2009.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support test - 2008.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10% -facts-and-circumstances test - 2009.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☒

b **10% -facts-and-circumstances test - 2008.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Part III Support Schedule for Organizations Described in Section 509(a)(2) (Complete only if you checked the box on line 9 of Part I)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 **Total support** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2009** (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from **2008** Schedule A, Part III, line 17	18	%

19a **33 1/3% support tests - 2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests - 2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Provide any other additional information See instructions.

PART II, SECTION B, LINE 17A, FACTS AND CIRCUMSTANCES TEST:

THE ORGANIZATION'S PUBLIC SUPPORT PERCENTAGE FOR 2009 IS 14.23%.

THE ORGANIZATION OPERATES FIVE PUBLIC EDUCATION AND HELP CENTERS FOR CANCER PATIENTS AND THEIR FAMILIES IN EL PASO COUNTY, TEXAS.

THE ORGANIZATION'S BOARD OF DIRECTORS IS COMPRISED OF ELECTED INDIVIDUALS WHO ARE LEADERS IN THE COMMUNITY, AND HAVE EXPERTISE THAT IS DIRECTLY RELATED TO THE PROGRAM PURPOSE OR OPERATIONS OF THE ORGANIZATION.

Schedule D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization: RIO GRANDE CANCER FOUNDATION

Employer identification number: 23-7105159

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or pleasure)
☐ Protection of natural habitat
☐ Preservation of open space
☐ Preservation of an historically important land area
☐ Preservation of a certified historic structure

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

			Held at the End of the Tax Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ______

4 Number of states where property subject to conservation easement is located ▶ ______

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ ______

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ______

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ______

(ii) Assets included in Form 990, Part X ▶ $ ______

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items.

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ______

b Assets included in Form 990, Part X ▶ $ ______

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV **Escrow and Custodial Arrangements.** Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV.

Part V **Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the year end balance held as:

a Board designated or quasi-endowment ▶ ________ %

b Permanent endowment ▶ ________ %

c Term endowment ▶ ________ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

		Yes	No
(i) unrelated organizations	3a(i)		
(ii) related organizations	3a(ii)		
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI **Investments - Land, Buildings, and Equipment.** See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment				
e Other		144,539.	126,186.	18,353.
Total. Add lines 1a through 1e. *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				18,353.

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
Financial derivatives		
Closely-held equity interests		
Other		
Total (Col (b) must equal Form 990, Part X, col (B) line 12) ▶		

Part VIII Investments - Program Related. See Form 990, Part X, line 13

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
Total (Col (b) must equal Form 990, Part X, col (B) line 13) ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. (Column (b) must equal Form 990, Part X, col (B) line 15) ▶	

Part X Other Liabilities. See Form 990, Part X, line 25

1 (a) Description of liability	(b) Amount
Federal income taxes	
ACCRUED LIABILITIES	10.
Total. (Column (b) must equal Form 990, Part X, col (B) line 25.) ▶	10.

2. FIN 48 Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48.

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	<139,136.>
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	941,426.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	<1,080,562.>
4	Net unrealized gains (losses) on investments	4	
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	
9	Total adjustments (net) Add lines 4 through 8	9	0.
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	<1,080,562.>

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	1,993,985.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	2,088,555.		
b	Donated services and use of facilities	2b	33,703.		
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV.)	2d	10,863.		
e	Add lines **2a** through **2d**			2e	2,133,121.
3	Subtract line **2e** from line **1**			3	<139,136.>
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV.)	4b			
c	Add lines **4a** and **4b**			4c	0.
5	Total revenue. Add lines **3** and **4c**. *(This must equal Form 990, Part I, line 12)*			5	<139,136.>

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	985,992.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25.				
a	Donated services and use of facilities	2a	33,703.		
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV.)	2d	10,863.		
e	Add lines **2a** through **2d**			2e	44,566.
3	Subtract line **2e** from line **1**			3	941,426.
4	Amounts included on Form 990, Part IX, line 25, but not on line **1**:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV.)	4b			
c	Add lines **4a** and **4b**			4c	0.
5	Total expenses Add lines **3** and **4c**. *(This must equal Form 990, Part I, line 18)*			5	941,426.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b, Part V, line 4; Part X, line 2, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information

PART XII, LINE 2D - OTHER ADJUSTMENTS:

FUNDRAISING EXPENSES NETTED AGAINST FUNDRAISING REVENUE: 10863.

PART XIII, LINE 2D - OTHER ADJUSTMENTS:

FUNDRAISING EXPENSES NETTED INTO FUNDRAISING REVENUE: 10863.

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization: RIO GRANDE CANCER FOUNDATION

Employer identification number 23-7105159

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000 Check this box if no one recipient received more than $5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ▶ [ ]

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN CANCER SOCIETY 909 E. SAN ANTONION ST. EL PASO, TX 79902	74-1185665	501(C)(3)	15,000.	0.			CANCER RECOVERY
BORDER AIDS PARTNERHSIP P.O. BOX 272 EL PASO, TX 79943	20-5385547	501(C)(3)	7,500.	0.			HPV DISEASE IN WOMEN
CANCER AND CHRONIC DISEASE CONSORTIUM - 6070 GATEWAY BLVD E. #310 - EL PASO, TX 79905	74-2686131	501(C)(3)	50,000.	0.			GENERAL SUPPORT
CANDLELIGHTERS OF EL PASO 1900 N. OREGON SUITE 402 EL PASO, TX 79902	74-2243283	501(C)(3)	20,000.	0.			GENERAL SUPPORT AND SUMMER CAMPS
CHILDREN'S GRIEF CENTER 11625 PELLICANO DR. SUITE B EL PASO, TX 79936	74-2770329	501(C)(3)	25,000.	0.			GENERAL SUPPORT
LA CLINICA GUADALUPANA 901 ASENCION RD EL PASO, TX 79928	74-2718315	501(C)(3)	24,000.	0.			CANCER SCREENINGS

2 Enter total number of section 501(c)(3) and government organizations ▶ 19.

3 Enter total number of other organizations ▶

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Part IV and Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
AIR TRANSPORT ASSISTANCE TO MEDICAL FACILITIES	244	56,218.	0.	COST OF AIR TRAVEL	AIR TRAVEL PURCHASED FOR CANCER PATIENTS AND FAMILY MEMBERS

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

SCHEDULE I, PART I, LINE 2: GRANTEES ARE REQUIRED TO SUBMIT PROGRESS REPORTS PERIODICALLY. PROGRAM PERSONNEL FROM RIO GRANDE CANCER FOUNDATION PERIODICALLY VISIT GRANTEES' OFFICES TO REVIEW REPORTS AND OTHER DOCUMENTS SHOWING GRANT USE.

SCHEDULE I-1
(Form 990)
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule I (Form 990)
▶ Attach to Form 990 to list additional information for Schedule I (Form 990), Part II or Part III.

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization: RIO GRANDE CANCER FOUNDATION
Employer identification number: 23-7105159

Part I Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CREATIVE KIDS 504 SAN FRANCISCO EL PASO, TX 79901	74-2910251	501(C)(3)	25,000.	0.			ARTS IN MOTION PROJECT
EL PASO BAPTIST CLINIC 8308 ECHO AVE EL PASO, TX 79904	20-3046801	501(C)(3)	12,500.	0.			HPV SCREENINGS
EL PASO LIGHTHOUSE FOR THE BLIND 200 WASHINGTON ST EL PASO, TX 79905	74-1255622	501(C)(3)	12,000.	0.			OCULAR SERVICES
EL PASO CHILD GUIDANCE CENTER 2701 E. YANDELL EL PASO, TX 79903	74-1204335	501(C)(3)	15,000.	0.			COUNSELING AND GROUP THERAPY
LEUKEMIA AND LYMPHOMA SOCIETY 3411 CANDELARIA RD NE SUITE M ALBUQUERQUE, NM 87107	13-5644916	501(C)(3)	5,000.	0.			PATIENT AID
HOSPICE OF EL PASO 1440 MIRACLE WAY EL PASO, TX 79925	74-2093957	501(C)(3)	5,000.	0.			CANCER SCREENING SERVICES
SUSAN G. KOMEN FOUNDATION 1700 MURCHISON SUITE 207 EL PASO, TX 79902	75-1835298	501(C)(3)	15,000.	0.			OUTREACH
CENTRO SAN VICENTE 8061 ALAMEDA AVE EL PASO, TX 79915	74-2505561	501(C)(3)	25,000.	0.			FINANCIAL ASSISTANCE PROGRAM

SCHEDULE I-1
(Form 990)
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule I (Form 990)
▶ Attach to Form 990 to list additional information for Schedule I (Form 990), Part II or Part III.

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization: RIO GRANDE CANCER FOUNDATION

Employer identification number 23-7105159

Part I **Continuation of Grants and Other Assistance to Governments and Organizations in the United States** (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TEXAS TECH UNIVERSITY HEALTH SCIENCES - 4800 ALBERTA AVE - EL PASO, TX 79905	75-2668014	501(C)(3)	25,000.	0.			GENERAL SUPPORT
HOSPICE OF VNA 1750 CURIE EL PASO, TX 79902	74-6087587	501(C)(3)	20,000.	0.			HOSPICE CARE
RONALD MCDONALD HOUSE CHARITIES 300 E CALIFORNIA AVE EL PASO, TX 79902	74-2257357	501(C)(3)	20,000.	0.			GENERAL OPERATING AND RELOCATION ASSISTANCE PROGRAM
HEALING HEARTS 1750 CURIE EL PASO, TX 79902	APPLIED FOR	501(C)(3)	5,000.	0.			GENERAL SUPPORT
UNIVERSITY MEDICAL CENTER 4824 ALBERTA AVE EL PASO, TX 79905	74-6000756	501(C)(3)	10,000.	0.			SURVIVING CANCER PROGRAM

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization	Employer identification number
RIO GRANDE CANCER FOUNDATION	23-7105159

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

DEVELOPS AND FUNDS INTERNAL PROGRAMS FOR CANCER SUPPORT.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

FUNDED THE FOUR SEASONS PROGRAM, WHICH PROVIDED FREE WIGS AND OTHER BEAUTY ENHANCEMENTS TO 300 CANCER PATIENTS.

EXPENSES $ 3286. INCLUDING GRANTS OF $ 0. REVENUE $ 0.

COMMUNITY PROJECTS

EXPENSES $ 23449. INCLUDING GRANTS OF $ 0. REVENUE $ 0.

FORM 990, PART VI, SECTION B, LINE 11: THE EXECUTIVE DIRECTOR PROVIDES DRAFT COPIES TO THE BOARD FOR THEIR REVIEW AND APPROVAL BEFORE FILING.

FORM 990, PART VI, SECTION B, LINE 12C: OFFICERS AND DIRECTORS ARE PROHIBITED FROM ENGAGING IN TRANSACTIONS WITH THE ORGANIZATION, AND SIGN CONFLICT OF INTEREST FORMS EACH YEAR.

FORM 990, PART VI, SECTION B, LINE 15A: THE BOARD OF DIRECTORS ANNUALLY REVIEWS THE EXECUTIVE DIRECTOR'S PERFORMANCE, AND THE BOARD PRESIDENT COMPILES THE REVIEW AND PRESENTS IT TO THE EXECUTIVE COMMITTEE, WHO PREPARES THE ANNUAL EXECUTIVE DIRECTOR'S CONTRACT. THE ANNUAL SALARY IS BASED UPON NON-FINANCIAL PERFORMANCE, AND IS COMPARED TO THE COUNCIL ON FOUNDATIONS' GRANTMAKERS SALARY AND BENEFITS REPORTS IN COMPLIANCE WITH IRS REASONABLE COMPENSATION RULES.

SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization: RIO GRANDE CANCER FOUNDATION

Employer identification number: 23-7105159

FORM 990, PART VI, SECTION C, LINE 19: FORM 990 , ANNUAL FINANCIAL STATEMENTS, THE CONFLICT OF INTEREST POLICY AND GOVERNING DOCUMENTS ARE AVAILABLE FOR VIEWING AT RIO GRANDE CANCER FOUNDATION'S MAIN OFFICES DURING NORMAL OPERATING HOURS UPON REQUEST.

MV SECURITIES GROUP, INC.

Member FINRA, SIPC

There were no positions in your account at the close of the statement period

ACCOUNT ACTIVITY

NFS-provided estimated cost basis (including cost basis and short sale proceeds information provided to NFS by customers), realized gain and loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption or exchange NFS does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information taxpayers may be required to report to federal, state and other taxing authorities NFS makes no warranties with respect to and specifically disclaims any liability arising out of a customer's use of, or any tax position taken in reliance upon such information Unless otherwise specified NFS determines cost basis at the time of sale based on the average cost-single category (ACSC) method for open-end mutual funds and based on the first-in, first-out (FIFO) method for all other securities

Amortization, accretion and similar adjustments to cost basis have been provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain types, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs) Where current year premium or acquisition premium amortization is provided, the prior years' cumulative amortization is reflected in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts

LIMITATION ON COST BASIS INFORMATION NFS's cost basis information system has a cumulative lifetime limit on how much activity it can track for each individual security position in an account For this purpose, each buy, sell, dividend, wash sale disallowed loss stock split, stock merger, etc, is an event For some customers, this limit can be reached with approximately 1500 events Upon reaching the limit, the system no longer displays or tracks cost basis information for the affected position, and such information will usually show as not available or unknown Once the limit is reached, all cost basis information for the affected position will need to be tracked and updated by you, the investor

TRADING

Securities Sold

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Total Cost Basis	Realized Gain (Loss)
08/25/09	CASH	YOU SOLD	BLACK ROCK ALL CAP ENERGY & RESOURCES A registered investmen or CONF 028416210 @ 11 28 LT Loss $35,194 45 ST Loss $7,227 96	(66,275 41)	$747,530 37	$789,952 78	($42,422 41)
Net Securities Sold					$747,530.37		
NET TRADING					$747,530.37		

ADDITIONS AND WITHDRAWALS

Other Additions and Withdrawals

Date	Account Type	Transaction	Description	Quantity	Amount	Total Cost Basis	Realized Gain (Loss)
08/25/09	CASH	TRANSFERRED TO	VS KMG-761672-1		($100,000 00)		
08/25/09	CASH	TRANSFERRED TO	VS KMG-761656-1		($193,027 19)		

MV SECURITIES GROUP, INC.

Member FINRA, SIPC

MISCELLANEOUS ACCOUNT ACTIVITY

Date	Account Type	Transaction	Description	Quantity	Amount	Total Cost Basis	Realized Gain (Loss)
11/04/09	CASH	NAME CHANGED	MERCK & CO INC NEW COM N/C FROM 589331107 #REOR M0050606430001	850	$0 00		
11/04/09	CASH	NAME CHANGED	MERCK & CO INC N/C TO 58933Y105 #REOR M0050606430000	(850)	$0 00		

NET MISCELLANEOUS ACCOUNT ACTIVITY $0.00

REALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2009

Realized Gain (Loss) in this section may differ from the Realized Gain (Loss) on your monthly/quarterly statements due to trade adjustments and/or reclassifications that are determined after the calendar year end The revised figure(s), if applicable, are updated here and in the Supplemental Information section of your tax-reporting statements

This report is not a replacement for the Schedule D (Form 1040), which Federal tax law requires to be completed and submitted when you file your tax return NFS-provided estimated cost basis (including cost basis and short sale proceeds information provided to NFS by customers), realized gain and loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption, or exchange NFS does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information taxpayers may be required to report to federal, state, and other taxing authorities NFS makes no warranties with respect to, and specifically disclaims any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information Unless otherwise specified, NFS determines cost basis at the time of sale based on the average cost-single category (ACSC) method for open-end mutual funds and based on the first-in, first-out (FIFO) method for all other securities Customers should consult their tax advisors for further information

For the specific share identification cost basis method, appropriate instructions must be given before or at the time of the trade If specific shares were selected manually rather than electronically, if some or all of the tax lots a customer specified do not correspond with NFS records, or if a customer used the specific share identification method before such method could be tracked by NFS systems, then cost basis and gain (loss) information displayed here for such transactions will be based on the first-in, first-out (FIFO) method Affected customers will need to refer to their trade confirmation for the shares (tax lots) they specified and calculate their gain (loss) accordingly Additionally, all cost basis and realized and unrealized gain (loss) information based on the first-in, first-out method may need to be re-calculated to remove the effect of any tax lots that were specifically identified and allocated to other sales

Amortization, accretion and similar adjustments to cost basis have been provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain types, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs) Where current year premium or acquisition premium amortization is provided, the prior years' cumulative amortization is reflected in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts

LIMITATION ON COST BASIS INFORMATION NFS's cost basis information system has a cumulative lifetime limit on how much activity it can track for each individual security position in an account For this purpose, each buy, sell, dividend, wash sale disallowed loss, stock split, stock merger, etc. is an event For some customers, this limit can be reached with approximately 1500 events Upon reaching the limit, the system no longer displays or tracks cost basis information for the affected position, and such information will usually show as not available or unknown Once the limit is reached, all cost basis information for the affected position will need to be tracked and updated by you, the investor

EQUITIES

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis	Realized Gain (Loss)	Holding Period
AGILENT TECH INC	08/18/09	12/10/08	A	$25 4568	400	$10,137 46	$7,259 89	$2,877 57	ST

Account Number: KMG-761656
Account Name: RIO NWQLCV

Statement Date: 01/01/2009 to 12/31/2009

Separate Account Manager: NWQ INVESTMENT MANAGEMENT
Investment Discipline: LARGE CAP VALUE

MV SECURITIES GROUP, INC.

Member FINRA, SIPC

EQUITIES

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis	Realized Gain (Loss)	Holding Period
AGILENT TECH INC	08/18/09	12/17/08	A	$25 4568	100	$2,534 36	$1,676 91	$857 45	ST
AGILENT TECH INC	09/22/09	12/18/08	A	$28 5692	200	$5,657 44	$3,331 28	$2,326 16	ST
AGILENT TECH INC	09/21/09	12/18/08	A	$28 8225	100	$2,854 05	$1,703 12	$1,150 93	ST
AGILENT TECH INC	09/21/09	12/18/08	A	$28 8225	100	$2,854 05	$1,665 64	$1,188 41	ST
		Position Total	A		900	$24,037.36	$15,636.84	$8,400.52	
ANGLOGOLD ASHANTI LTD SPONS ADR ISIN #US0351282068 SEDOL #2257495	03/05/09		AU	$29 4028	48	$1,384 32	Unknown		
ANGLOGOLD ASHANTI LTD SPONS ADR ISIN #US0351282068 SEDOL #2257495	03/03/09		AU	$29 2888	100	$2,872 61	Unknown		
ANGLOGOLD ASHANTI LTD SPONS ADR ISIN #US0351282068 SEDOL #2257495	09/11/09	03/31/08	AU	$43 6291	100	$4,306 54	$3,378 53	$928.01	LT
ANGLOGOLD ASHANTI LTD SPONS ADR ISIN #US0351282068 SEDOL #2257495	05/29/09	03/31/08	AU	$41 9628	130	$5,398 76	$4,392 08	$1,006 68	LT
ANGLOGOLD ASHANTI LTD SPONS ADR ISIN #US0351282068 SEDOL #2257495	03/05/09	03/31/08	AU	$29 4028	52	$1,499 69	$1,756 83	($257 14)	ST
		Position Total	AU		430	$15,461.92	Unknown	$1,677.55	
BARRICK GOLD CORP ISIN #CA0679011084 SEDOL #2024644	01/22/09	12/09/03	ABX	$36 6908	150	$5,447 33	$3,326 62 T	$2,120 71	LT
EOG RESOURCES INC	11/25/09	11/13/08	EOG	$89 5996	100	$8,903 47	$7,663 70	$1,239 77	LT
EOG RESOURCES INC	12/03/09	08/26/09	EOG	$87 6094	50	$4,352 23	$3,747 75	$604 48	ST
EOG RESOURCES INC	12/03/09	09/28/09	EOG	$87 6094	50	$4,352 23	$4,043 25	$308 98	ST
		Position Total	EOG		200	$17,607.93	$15,454.70	$2,153.23	
GENWORTH FINL INC COM CL A	02/23/09	05/25/04	GNW	$1 4019	1,300	$1,783 97	$25,117 56	($23,333 59)	LT
GENWORTH FINL INC COM CL A	02/23/09	12/20/07	GNW	$1 4019	600	$823 37	$14,801 71	($13,978 34)	LT
		Position Total	GNW		1,900	$2,607.34	$39,919.27	($37,311.93)	
ILLINOIS TOOL WORKS	04/17/09	01/17/08	ITW	$32 5429	100	$3,226 08	$4,778 55	($1,552 47)	LT

Account Number: KMG-761656
Account Name: RIO NWQLCV

Statement Date: 01/01/2009 to 12/31/2009

Separate Account Manager: NWQ INVESTMENT MANAGEMENT
Investment Discipline: LARGE CAP VALUE

MV SECURITIES GROUP, INC.

Member FINRA, SIPC

EQUITIES

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis	Realized Gain (Loss)	Holding Period
ILLINOIS TOOL WORKS	04/16/09	01/17/08	ITW	$33 3164	100	$3,275 30	$4,778 56	($1,503 26)	LT
ILLINOIS TOOL WORKS	04/17/09	02/29/08	ITW	$32 5429	100	$3,226 08	$4,989 64	($1,763 56)	LT
	Position Total		ITW		300	$9,727.46	$14,546.75	($4,819.29)	
JPMORGAN CHASE & CO	08/24/09	03/08/02	JPM	$43 9554	100	$4,339 17	$3,436 00 T	$903 17	LT
JPMORGAN CHASE & CO	08/24/09	03/08/02	JPM	$43 9757	100	$4,341 20	$3,436.00 T	$905 20	LT
	Position Total		JPM		200	$8,680.37	$6,872.00	$1,808.37	
KRAFT FOODS INC CL A	09/11/09	01/15/08	KFT	$26 2065	300	$7,805 49	$9,368 97	($1,563.48)	LT
	Wash Sale Disallowed Loss						($1,042 32)	$1,042 32	
KRAFT FOODS INC CL A	09/14/09	01/15/08 W	KFT	$26 1311	200	$5,169 83	$6,750 41	($1,580 58)	LT
	Position Total		KFT		500	$12,975.32	$15,077.06	($2,101.74)	
MICROSOFT CORP	10/23/09	02/03/05	MSFT	$28 739	200	$5,722 65	$5,285 32	$437 33	LT
MICROSOFT CORP	12/16/09	06/24/05	MSFT	$30 2742	300	$9,025 77	$7,564 99	$1,460 78	LT
MICROSOFT CORP	10/23/09	06/24/05	MSFT	$28 739	250	$7,153.31	$6,304 15	$849.16	LT
	Position Total		MSFT		750	$21,901.73	$19,154.46	$2,747.27	
NOBLE ENERGY INC COM	12/18/09	05/12/05	NBL	$71 5847	100	$7,102 03	$3,325 05	$3,776 98	LT
UNITED STATES STEEL CORP	07/17/09	08/14/06	X	$37 5993	50	$1,851 79	$2,862 44	($1,010 65)	LT
UNITED STATES STEEL CORP	07/20/09	09/17/08	X	$39 0619	100	$3,849 83	$9,035 42	($5,185 59)	ST
UNITED STATES STEEL CORP	07/17/09	09/17/08	X	$37 5993	50	$1,851.79	$4,524 28	($2,672 49)	ST
	Position Total		X		200	$7,553.41	$16,422.14	($8,868.73)	
Total Equities					5,630	$133,102.20	Unknown	($30,417.06)*	

Account Number: KMG-761621
Account Name: RIO ASTON

Statement Date: 01/01/2009 to 12/31/2009

Separate Account Manager: NWQ INVESTMENT MANAGEMENT
Investment Discipline: SMALL CAP VALUE

MV SECURITIES GROUP, INC.

Member FINRA, SIPC

REALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2009

Realized Gain (Loss) in this section may differ from the Realized Gain (Loss) on your monthly/quarterly statements due to trade adjustments and/or reclassifications that are determined after the calendar year end The revised figure(s), if applicable, are updated here and in the Supplemental Information section of your tax-reporting statements

This report is not a replacement for the Schedule D (Form 1040), which Federal tax law requires to be completed and submitted when you file your tax return NFS-provided estimated cost basis (including cost basis and short sale proceeds information provided to NFS by customers), realized gain and loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption, or exchange NFS does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information taxpayers may be required to report to federal, state, and other taxing authorities NFS makes no warranties with respect to and specifically disclaims any liability arising out of a customer's use of, or any tax position taken in reliance upon, such information *Unless otherwise specified, NFS determines cost basis at the time of sale* based on the average cost-single category (ACSC) method for open-end mutual funds and based on the first-in, first-out (FIFO) method for all other securities Customers should consult their tax advisors for further information

For the specific share identification cost basis method, appropriate instructions must be given before or at the time of the trade If specific shares were selected manually rather than electronically, if some or all of the tax lots a customer specified do not correspond with NFS records, or if a customer used the specific share identification method before such method could be tracked by NFS systems, then cost basis and gain (loss) information displayed here for such transactions will be based on the first-in, first-out (FIFO) method Affected customers will need to refer to their trade confirmation for the shares (tax lots) they specified and calculate their gain (loss) accordingly Additionally, all cost basis and realized and unrealized gain (loss) information based on the first-in, first-out method may need to be re-calculated to remove the effect of any tax lots that were specifically identified and allocated to other sales

Amortization, accretion and similar adjustments to cost basis have been provided for many fixed income securities (and some bond-like equities), however, they are not provided for certain types, such as short-term instruments, Unit Investment Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs) Where current year premium or acquisition premium amortization is provided, the prior years' cumulative amortization is reflected in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts

LIMITATION ON COST BASIS INFORMATION NFS's cost basis information system has a cumulative lifetime limit on how much activity it can track for each individual security position in an account For this purpose, each buy, sell, dividend, wash sale disallowed loss, stock split, stock merger, etc, is an event For some customers, this limit can be reached with approximately 1500 events Upon reaching the limit, the system no longer displays or tracks cost basis information for the affected position, and such information will usually show as not available or unknown Once the limit is reached, all cost basis information for the affected position will need to be tracked and updated by you, the investor.

MUTUAL FUNDS

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis		Realized Gain (Loss)	Holding Period
NUVEEN NWQ SMALL CAP VALUE FD CLASS A	03/05/09	06/13/06	NSCAX	$9 19	25,904 55	$238,008 69	$609,235 69	F	($371,227 00)	LT
NUVEEN NWQ SMALL CAP VALUE FD CLASS A	03/05/09	12/18/06	NSCAX	$9 19	25 873	$237 72	$702 13	F	($464 41)	LT
NUVEEN NWQ SMALL CAP VALUE FD CLASS A	03/05/09	12/18/06	NSCAX	$9 19	165 042	$1,516 39	$4,478 80	F	($2,962 41)	LT
NUVEEN NWQ SMALL CAP VALUE FD CLASS A	03/05/09	12/29/06	NSCAX	$9 19	67 554	$620 68	$1,844 05	F	($1,223 37)	LT
NUVEEN NWQ SMALL CAP VALUE FD CLASS A	03/05/09	12/17/07	NSCAX	$9 19	93 854	$862 32	$2,328 26	F	($1,465 94)	LT

MUTUAL FUNDS

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis		Realized Gain (Loss)	Holding Period
NUVEEN NWQ SMALL CAP VALUE FD CLASS A	03/05/09	12/17/07	NSCAX	$9 19	638 943	$5,870 55	$15,850 44	F	($9,979 89)	LT
NUVEEN NWQ SMALL CAP VALUE FD CLASS A	03/05/09	12/31/07	NSCAX	$9 19	23 891	$219 51	$615 83	F	($396 32)	LT
		Position Total	**NSCAX**		**26,919.707**	**$247,335.86**	**$635,055.20**		**($387,719.34)**	

UNREALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2009

NFS-provided estimated cost basis (including cost basis and short sale proceeds information provided to NFS by customers), realized gain and loss, and holding period information may not reflect all adjustments necessary for tax reporting purposes Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption, or exchange NFS does not report such information to the IRS or other taxing authorities and is not responsible for the accuracy of such information taxpayers may be required to report to federal, state, and other taxing authorities NFS makes no warranties with respect to, and specifically disclaims any liability arising out of a customer's use of or any tax position taken in reliance upon, such information Unless otherwise specified, NFS determines cost basis at the time of sale based on the average cost-single category (ACSC) method for open-end mutual funds and based on the first-in, first-out (FIFO) method for all other securities Customers should consult their tax advisors for further information

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MUTUAL FUNDS

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/09	Quantity	Market Value	Cost Basis/ Proceeds		Unrealized Gain (Loss)	Holding Period
ASTON/RIVER RD SMALL CAP VAL CL N	CASH	03/10/09	ARSVX	$10 72	17,558 477	$188,226 87	$123,667 93	I	$64,558 94	ST
ASTON/RIVER RD SMALL CAP VAL CL N	CASH	12/29/09	ARSVX	$10 72	15 374	$164 81	$166 81	I	($2 00)	ST
	Position Total		**ARSVX**		**17,573.85100**	**$188,391.68**	**123,834.74**		**$64,556.94**	

MV SECURITIES GROUP, INC.

Member FINRA, SIPC

NET ADDITIONS AND WITHDRAWALS ($3,226,371.33)

INCOME AND EXPENSES

Taxable Income

Date	Account Type	Transaction	Description	Quantity	Amount
Taxable Dividends					
08/31/09	CASH	DIVIDEND RECEIVED	PIMCO SHORT TERM INSTITUTIONAL		$4,239 72
Net Taxable Income					**$4,239.72**
Total Income					**$4,239.72**
NET INCOME AND EXPENSES					**$4,239.72**

REALIZED GAIN (LOSS) LOT DETAIL - Year-to-Date

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MV SECURITIES GROUP, INC.

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MUTUAL FUNDS

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis	Realized Gain (Loss)	Holding Period
PIMCO SHORT TERM INSTITUTIONAL	09/03/09	Various	PTSHX	$9 78	11,309 061	$110,600 69	$110,409 70	$190 99	ST
PIMCO SHORT TERM INSTITUTIONAL	09/03/09	Various	PTSHX	$9 78	318,158	$3,111,530 92	$3,106,158 15	$5,372 77	LT
		Position Total	**PTSHX**		**329,467.061**	**$3,222,131.61**	**$3,216,567.85**	**$5,563.76**	

INTERESTED PARTIES INFORMATION

Copies of this statement have been sent to

DONNA SCURRY
STOCKTON SCURRY SMITH
4487 N MESA SUITE 110
EL PASO TX 79902

FOOTNOTES AND COST BASIS INFORMATION

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For investments in partnerships, NFS does not make any adjustments to cost basis information as the calculation of basis in such investments requires supplemental information from the partnership on its income and distributions during the period you held your investment Partnerships usually provide this additional information on a Form K-1 issued by April 15th of the following year

Cost basis and gain/loss information is provided as a service to corporate accounts The information listed in the year-to-date gain/loss summary section is based on a calendar year (January - December) If your business/entity has a fiscal year end other than December 31st for tax purposes, the year-to-date information will not apply If you have questions about your tax situation, consult your tax advisor

LT - Long-Term (Held more than twelve months) **ST** - Short-term (Held twelve months or less)

Account Number: KMG-761630
Account Name: RIO TRWI

Statement Date: 01/01/2009 to 12/31/2009

Separate Account Manager: TRADEWINDS GLOBAL INVESTORS
Investment Discipline: INTERNATIONAL ADR

MV SECURITIES GROUP, INC.

Member FINRA, SIPC

REALIZED GAIN (LOSS) LOT DETAIL - YEAR ENDING 2009

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EQUITIES

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis	Realized Gain (Loss)	Holding Period
ANGLOGOLD ASHANTI LTD SPONS ADR ISIN #US0351282068 SEDOL #2257495	02/17/09	08/18/05	AU	$31 8656	83	$2,605.59	$2,986 66	($381 07)	LT
ANGLOGOLD ASHANTI LTD SPONS ADR ISIN #US0351282068 SEDOL #2257495	03/11/09	04/10/06	AU	$31 688	114	$3,563 83	$5,971 30	($2,407 47)	LT
ANGLOGOLD ASHANTI LTD SPONS ADR ISIN #US0351282068 SEDOL #2257495	02/17/09	04/10/06	AU	$31 8656	36	$1,130 14	$1,885 68	($755 54)	LT

MV SECURITIES GROUP, INC.

Member FINRA, SIPC

EQUITIES

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis	Realized Gain (Loss)	Holding Period
ANGLOGOLD ASHANTI LTD SPONS ADR ISIN #US0351282068 SEDOL #2257495	03/13/09	10/27/06	AU	$34 1529	162	$5,498 08	$6,819 11	($1,321 03)	LT
ANGLOGOLD ASHANTI LTD SPONS ADR ISIN #US0351282068 SEDOL #2257495	03/11/09	10/27/06	AU	$31 688	18	$562 71	$757 68	($194 97)	LT
ANGLOGOLD ASHANTI LTD SPONS ADR ISIN #US0351282068 SEDOL #2257495	09/08/09	10/03/07	AU	$43 0662	99	$4,215 85	$4,335 85	($120 00)	LT
ANGLOGOLD ASHANTI LTD SPONS ADR ISIN #US0351282068 SEDOL #2257495	03/13/09	10/03/07	AU	$34 1529	101	$3,427 82	$4,423 44	($995 62)	LT
ANGLOGOLD ASHANTI LTD SPONS ADR ISIN #US0351282068 SEDOL #2257495	09/08/09	12/07/07	AU	$43 0662	18	$766 52	$784 78	($18.26)	LT
		Position Total	**AU**		**631**	**$21,770.54**	**$27,964.50**	**($6,193.96)**	
BP ADR(CNV INTO 6 ORD USD0 25 SHS)	10/28/09	02/26/07	BP	$57 5028	40	$2,276 11	$2,604 63	($328 52)	LT
BP ADR(CNV INTO 6 ORD USD0 25 SHS)	10/28/09	02/26/07	BP	$57 5028	54	$3,072 76	$3,504 97	($432 21)	LT
		Position Total	**BP**		**94**	**$5,348.87**	**$6,109.60**	**($760.73)**	
BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #2024644	11/18/09	12/07/07	ABX	$44 6712	66	$2,930 11	$2,625 34	$304 77	LT
BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #2024644	10/20/09	12/07/07	ABX	$37 8957	176	$6,613 21	$7,000 89	($387 68)	LT
BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #2024644	02/11/09	12/07/07	ABX	$39 0447	234	$9,080 15	$9,308 01	($227 86)	LT
	Wash Sale Disallowed Loss						($126 59)	$126 59	
BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #2024644	11/18/09	12/23/07 W	ABX	$44 6712	130	$5,771 44	$4,117 90	$1,653 54	LT
BARRICK GOLD CORP COM NPV ISIN #CA0679011084 SEDOL #2024644	11/18/09	03/20/08	ABX	$44 6712	9	$399 56	$391 91	$7 65	LT
		Position Total	**ABX**		**615**	**$24,794.47**	**$23,317.46**	**$1,477.01**	

MV SECURITIES GROUP, INC.

Member FINRA, SIPC

EQUITIES

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis	Realized Gain (Loss)	Holding Period
CENTRAIS ELETRICAS BRASILEIRAS SA SPONS ADR REPSTG 50 COM SHS (ELECTROBRAS ORD ADR)	04/16/09	03/02/07	EBR	$12 9543	190	$2,405 00	$2,004 35	$400 65	LT
DAIWA HOUSE IND LTD ADR ISIN #US2340622065 SEDOL #2251798	01/20/09	10/16/07	DWAHY	$92 9389	70	$6,458 81	$8,564 11	($2,105 30)	LT
DAIWA HOUSE IND LTD ADR ISIN #US2340622065 SEDOL #2251798	06/15/09	11/15/07	DWAHY	$100 8303	31	$3,078 53	$3,816 40	($737 87)	LT
DAIWA HOUSE IND LTD ADR ISIN #US2340622065 SEDOL #2251798	04/09/09	11/15/07	DWAHY	$87 0146	25	$2,119 06	$3,077 74	($958 68)	LT
DAIWA HOUSE IND LTD ADR ISIN #US2340622065 SEDOL #2251798	01/20/09	11/15/07	DWAHY	$92 9389	14	$1,291 76	$1,723 54	($431 78)	LT
DAIWA HOUSE IND LTD ADR ISIN #US2340622065 SEDOL #2251798	06/15/09	11/16/07	DWAHY	$100 8303	6	$595 84	$728 13	($132 29)	LT
		Position Total	**DWAHY**		**146**	**$13,544.00**	**$17,909.92**	**($4,365.92)**	
ERICSSON L M TEL CO ADR CL B SEK 10 NEW	01/27/09	11/21/07	ERIC	$8 2674	660	$5,426 24	$8,020 83	($2,594 59)	LT
ERICSSON L M TEL CO ADR CL B SEK 10 NEW	01/27/09	12/07/07	ERIC	$8 2674	420	$3,453 06	$5,281 15	($1,828 09)	LT
ERICSSON L M TEL CO ADR CL B SEK 10 NEW	01/29/09	03/20/08	ERIC	$8 0475	991	$7,918 77	$8,936 13	($1,017 36)	ST
ERICSSON L M TEL CO ADR CL B SEK 10 NEW	01/27/09	03/20/08	ERIC	$8 2674	149	$1,225 02	$1,343 58	($118 56)	ST
		Position Total	**ERIC**		**2,220**	**$18,023.09**	**$23,581.69**	**($5,558.60)**	
FUJI FILM HOLDINGS CORPORATION ADR-EACH CNV INTO 1 ORD NPV	07/17/09	05/25/05	FUJI	$30 4468	151	$4,541 10	$4,754 61	($213 51)	LT
GEMALTO NV ADR	04/21/09	01/27/09	GTOFY	$15 3604	646	$9,866 31	$7,657 02	$2,209 29	ST
GOLD FIELDS LTD ADR	01/29/09	02/15/07	GFI	$10 6718	200	$2,113 32	$3,564 51	($1,451 19)	LT
GOLD FIELDS LTD ADR	01/29/09	02/16/07	GFI	$10 6718	70	$739 66	$1,283 12	($543 46)	LT
GOLD FIELDS LTD ADR	01/29/09	03/02/07	GFI	$10 6718	210	$2,218 98	$3,619 53	($1,400 55)	LT
GOLD FIELDS LTD ADR	03/09/09	03/07/07 W	GFI	$10 9821	195	$2,108 95	$3,093 31	($984 36)	LT

MV SECURITIES GROUP, INC.

Member FINRA, SIPC

EQUITIES

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis	Realized Gain (Loss)	Holding Period
GOLD FIELDS LTD ADR	01/29/09	03/07/07 W	GFI	$10 6718	55	$581 16	$872 47	($291 31)	LT
GOLD FIELDS LTD ADR	03/12/09	05/04/07	GFI	$12 2768	88	$1,073 22	$1,589 01	($515 79)	LT
GOLD FIELDS LTD ADR	03/09/09	05/04/07	GFI	$10 9821	142	$1,535 74	$2,564 09	($1,028 35)	LT
GOLD FIELDS LTD ADR	03/12/09	05/15/07	GFI	$12.2768	240	$2,926 96	$4,170 54	($1,243 58)	LT
GOLD FIELDS LTD ADR	03/12/09	05/15/07	GFI	$12 2768	350	$4,268 49	$6,073 52	($1,805 03)	LT
GOLD FIELDS LTD ADR	03/19/09	11/06/07	GFI	$12 4529	294	$3,626 67	$5,346 68	($1,720 01)	LT
GOLD FIELDS LTD ADR	03/12/09	11/06/07	GFI	$12 2768	16	$195 13	$290 98	($95.85)	LT
GOLD FIELDS LTD ADR	03/19/09	12/07/07	GFI	$12 4529	186	$2,294 43	$2,906 48	($612 05)	LT
		Position Total	GFI		2,046	$23,682.71	$35,374.24	($11,691.53)	
HACHIJUNI BK LTD ADR ISIN #US4045082025 SEDOL #2463311	02/27/09	05/22/08	HACBY	$55 4533	78	$4,269 08	$5,231 06	($961 98)	ST
IMPALA PLATINUM HLDGS SPON ADR 1 REP 1 ORD ZAR0 025	09/10/09	09/02/08	IMPUY	$24 5458	225	$5,466 41	$5,747 36	($280 95)	LT
IVANHOE MINES LTD COM NPV ISIN #CA46579N1033 SEDOL #2478014	09/22/09	01/23/06	IVN	$12 2489	490	$5,948 49	$4,008 44	$1,940 05	LT
IVANHOE MINES LTD COM NPV ISIN #CA46579N1033 SEDOL #2478014	10/08/09	01/24/06	IVN	$12 8155	74	$892 07	$589 97	$302 10	LT
IVANHOE MINES LTD COM NPV ISIN #CA46579N1033 SEDOL #2478014	10/06/09	01/24/06	IVN	$13 2184	182	$2,349 43	$1,451 00	$898 43	LT
IVANHOE MINES LTD COM NPV ISIN #CA46579N1033 SEDOL #2478014	10/05/09	01/24/06	IVN	$13 1976	203	$2,622 79	$1,618 42	$1,004 37	LT
IVANHOE MINES LTD COM NPV ISIN #CA46579N1033 SEDOL #2478014	09/22/09	01/24/06	IVN	$12 2489	27	$327.77	$215 26	$112 51	LT
		Position Total	IVN		976	$12,140.55	$7,883.09	$4,257.46	
KT CORPORATION	03/12/09	02/25/04	KTC	$13 0392	152	$1,936 94	$2,888 27 T	($951 33)	LT
KT CORPORATION	04/14/09	11/09/04	KTC	$14 5995	51	$737.45	$968 07	($230 62)	LT
KT CORPORATION	03/13/09	11/09/04	KTC	$12 9047	211	$2,666 62	$4,005 17	($1,338 55)	LT
KT CORPORATION	03/12/09	11/09/04	KTC	$13 0392	38	$484 24	$721 31	($237 07)	LT
KT CORPORATION	05/13/09	05/25/05	KTC	$14 8499	183	$2,679 89	$3,741 93	($1,062 04)	LT
KT CORPORATION	04/15/09	05/25/05	KTC	$14 2653	404	$5,706 78	$8,260 88	($2,554 10)	LT
KT CORPORATION	04/14/09	05/25/05	KTC	$14 5995	353	$5,104 34	$7,218 04	($2,113 70)	LT

Account Number: KMG-761630
Account Name: RIO TRWI

Statement Date: 01/01/2009 to 12/31/2009

Separate Account Manager: TRADEWINDS GLOBAL INVESTORS
Investment Discipline: INTERNATIONAL ADR

MV SECURITIES GROUP, INC.
Member FINRA, SIPC

EQUITIES

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis	Realized Gain (Loss)	Holding Period
KT CORPORATION	05/14/09	09/08/05	KTC	$14 6594	199	$2,884 79	$4,218 02	($1,333 23)	LT
KT CORPORATION	05/13/09	09/08/05	KTC	$14 8499	91	$1,332 62	$1,928 84	($596 22)	LT
KT CORPORATION	05/14/09	05/15/06	KTC	$14 6594	90	$1,304 68	$2,107.68	($803 00)	LT
KT CORPORATION	05/14/09	05/15/06	KTC	$14 6594	57	$826 29	$1,315 04	($488 75)	LT
KT CORPORATION	05/14/09	05/15/06	KTC	$14 5201	193	$2,765 50	$4,452 69	($1,687 19)	LT
KT CORPORATION	12/01/09	01/16/08	KTC	$16 4648	388	$6,357 04	$9,334 42	($2,977 38)	LT
KT CORPORATION	05/14/09	01/16/08	KTC	$14 5201	102	$1,461 56	$2,453 90	($992 34)	LT
KT CORPORATION	12/01/09	01/17/08	KTC	$16 4648	110	$1,802 25	$2,688 78	($886 53)	LT
KT CORPORATION	12/02/09	05/13/08	KTC	$16 5944	357	$5,867 79	$7,793 86	($1,926 07)	LT
KT CORPORATION	12/01/09	05/13/08	KTC	$16 4648	203	$3,325 98	$4,431 81	($1,105 83)	LT
		Position Total	**KTC**		**3,182**	**$47,244.76**	**$68,528.71**	**($21,283.95)**	
KIRIN HLDGS CO LTD SPON ADR	04/07/09	05/25/05	KNBWY	$11 1317	567	$6,279 58	$5,495 94	$783 64	LT
KIRIN HLDGS CO LTD SPON ADR	04/01/09	05/25/05	KNBWY	$10 5632	683	$7,158 37	$6,620 33	$538 04	LT
KIRIN HLDGS CO LTD SPON ADR	04/08/09	08/12/05	KNBWY	$10 8689	138	$1,493 98	$1,367 49	$126 49	LT
KIRIN HLDGS CO LTD SPON ADR	04/07/09	08/12/05	KNBWY	$11 1317	432	$4,784 45	$4,280 85	$503 60	LT
KIRIN HLDGS CO LTD SPON ADR	04/08/09	11/06/06	KNBWY	$10 8689	760	$8,227 72	$10,058 66	($1,830 94)	LT
KIRIN HLDGS CO LTD SPON ADR	04/08/09	12/07/07	KNBWY	$10 8689	420	$4,546 89	$6,669 25	($2,122 36)	LT
		Position Total	**KNBWY**		**3,000**	**$32,490.99**	**$34,492.52**	**($2,001.53)**	
LIHIR GOLD LTD ADR	03/06/09	05/25/05	LIHR	$20 4342	90	$1,795 04	$774 19	$1,020 85	LT
LIHIR GOLD LTD ADR	05/20/09	12/20/07	LIHR	$22 9501	147	$3,317 32	$4,069 68	($752 36)	LT
LIHIR GOLD LTD ADR	05/20/09	12/20/07	LIHR	$23 8215	8	$187 08	$221 48	($34 40)	LT
LIHIR GOLD LTD ADR	03/06/09	12/20/07	LIHR	$20 4342	25	$498 62	$692 12	($193 50)	LT
LIHIR GOLD LTD ADR	06/01/09	07/24/08	LIHR	$26 4143	339	$8,897 96	$9,341 31	($443 35)	ST
LIHIR GOLD LTD ADR	05/20/09	07/24/08	LIHR	$23 8215	121	$2,829 56	$3,334 21	($504 65)	ST
		Position Total	**LIHR**		**730**	**$17,525.58**	**$18,432.99**	**($907.41)**	
LONMIN PUB LTD CO SPON ADR NEW	03/13/09	07/15/05	LNMIY	$18 4998	94	$1,710 69	$1,855 61	($144 92)	LT
LONMIN PUB LTD CO SPON ADR NEW	03/13/09	09/26/07	LNMIY	$18 4998	70	$1,273 92	$4,676 66	($3,402 74)	LT
LONMIN PUB LTD CO SPON ADR NEW	04/14/09	07/25/08	LNMIY	$21 3505	87	$1,843 62	$4,085 65	($2,242 03)	ST
LONMIN PUB LTD CO SPON ADR NEW	03/13/09	07/25/08	LNMIY	$18 4998	23	$418 58	$1,080 11	($661 53)	ST
LONMIN PUB LTD CO SPON ADR NEW	04/14/09	08/01/08	LNMIY	$21 3505	90	$1,907 19	$4,227 64	($2,320 45)	ST

MV SECURITIES GROUP, INC.

Member FINRA, SIPC

EQUITIES

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis	Realized Gain (Loss)	Holding Period
LONMIN PUB LTD CO SPON ADR NEW	04/14/09	09/26/08	LNMIY	$21 3505	177	$3,750 82	$8,455 06	($4,704 24)	ST
		Position Total	**LNMIY**		**541**	**$10,904.82**	**$24,380.73**	**($13,475.91)**	
MAGNA INTERNATIONAL INC CLASS A SUB VTG COM NPV ISIN #CA5592224011 SEDOL #2554475	11/09/09	06/04/08	MGA	$50 8321	74	$3,705 23	$5,204 39	($1,499 16)	LT
MAGNA INTERNATIONAL INC CLASS A SUB VTG COM NPV ISIN #CA5592224011 SEDOL #2554475	11/06/09	06/04/08	MGA	$50 3771	57	$2,815 16	$4,008 79	($1,193 63)	LT
		Position Total	**MGA**		**131**	**$6,520.39**	**$9,213.18**	**($2,692.79)**	
NEC ELECTRONICS CORP ADR REPSTG 1/2 COM SHS	01/29/09	11/09/05	NELTY	$3 3087	530	$1,713 85	$7,679 38	($5,965 53)	LT
NEC ELECTRONICS CORP ADR REPSTG 1/2 COM SHS	01/29/09	11/10/05	NELTY	$3 3087	60	$194 02	$971 30	($777.28)	LT
NEC ELECTRONICS CORP ADR REPSTG 1/2 COM SHS	01/29/09	12/02/05	NELTY	$3 3087	160	$517 39	$2,833 05	($2,315 66)	LT
		Position Total	**NELTY**		**750**	**$2,425.26**	**$11,483.73**	**($9,058.47)**	
NEWCREST MNG LTD SPONSORED ADR	02/12/09	06/13/06	NCMGY	$21 9636	249	$5,412 65	$3,407 07	$2,005 58	LT
NEWMONT MNG CORP HLDG CO	10/29/09	07/16/07	NEM	$43 1599	59	$2,539 14	$2,426 04	$113 10	LT
NEWMONT MNG CORP HLDG CO	09/02/09	07/16/07	NEM	$41 959	75	$3,090 59	$3,083 94	$6 65	LT
NEWMONT MNG CORP HLDG CO	09/01/09	07/16/07	NEM	$40 3139	259	$10,384 78	$10,649 89	($265 11)	LT
NEWMONT MNG CORP HLDG CO	07/02/09	07/16/07	NEM	$40 902	168	$6,815 11	$6,908 04	($92 93)	LT
NEWMONT MNG CORP HLDG CO	07/01/09	07/16/07	NEM	$42 3783	97	$4,054 34	$3,988 57	$65 77	LT
NEWMONT MNG CORP HLDG CO	02/05/09	07/16/07	NEM	$41 0691	242	$9,882 41	$9,950 87	($68.46)	LT
NEWMONT MNG CORP HLDG CO	10/29/09	08/16/07	NEM	$43 1599	310	$13,341 23	$12,139 32	$1,201 91	LT
NEWMONT MNG CORP HLDG CO	10/29/09	12/07/07	NEM	$43 1599	90	$3,873 26	$4,561 45	($688 19)	LT
		Position Total	**NEM**		**1,300**	**$53,980.86**	**$53,708.12**	**$272.74**	
NEXEN INC ISIN #CA65334H1029 SEDOL #2172219	06/02/09	10/03/07	NXY	$25 4739	180	$4,554 31	$5,501 60	($947 29)	LT
NEXEN INC ISIN #CA65334H1029 SEDOL #2172219	06/02/09	10/03/07	NXY	$25 4739	148	$3,744 66	$4,518 79	($774 13)	LT

MV SECURITIES GROUP, INC.
Member FINRA, SIPC

EQUITIES

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis	Realized Gain (Loss)	Holding Period
		Position Total	**NXY**		**328**	**$8,298.97**	**$10,020.39**	**($1,721.42)**	
NOKIA CORP ADR	04/22/09	01/27/09	NOK	$14 8927	482	$7,121 84	$6,011 93	$1,109 91	ST
NOVAGOLD RESOURCES ISIN #CA66987E2069 SEDOL #2135450	02/27/09	01/23/07	NG	$2 9426	260	$751 01	$4,227 25	($3,476 24)	LT
NOVAGOLD RESOURCES ISIN #CA66987E2069 SEDOL #2135450	02/27/09	04/20/07	NG	$2 9426	280	$808.78	$4,609 85	($3,801.07)	LT
NOVAGOLD RESOURCES ISIN #CA66987E2069 SEDOL #2135450	02/27/09	03/07/08	NG	$2 9426	500	$1,444 24	$5,063 90	($3,619 66)	ST
		Position Total	**NG**		**1,040**	**$3,004.03**	**$13,901.00**	**($10,896.97)**	
NOVARTIS AG ADR-EACH REPR 1 CHF0 5(REGD)	12/02/09	02/12/09	NVS	$56 2562	165	$9,242 70	$7,059 82	$2,182 88	ST
NOVARTIS AG ADR-EACH REPR 1 CHF0 5(REGD)	12/02/09	02/13/09	NVS	$56 2562	71	$3,977 16	$3,053 70	$923 46	ST
		Position Total	**NVS**		**236**	**$13,219.86**	**$10,113.52**	**$3,106 34**	
PETRO CANADA COM ISIN #CA71644E1025 SEDOL #2684316	01/28/09	12/17/07	PCZ	$23 6888	330	$7,784 69	$16,780 13	($8,995 44)	LT
PETRO CANADA COM ISIN #CA71644E1025 SEDOL #2684316	01/28/09	02/15/08	PCZ	$23 6888	240	$5,661 60	$11,005 82	($5,344 22)	ST
		Position Total	**PCZ**		**570**	**$13,446.29**	**$27,785.95**	**($14,339.66)**	
PROMISE CO LTD	04/02/09	09/22/06	PMSEY	$8 0616	219	$1,754 32	$4,344 85	($2,590 53)	LT
PROMISE CO LTD	04/02/09	10/23/06	PMSEY	$8 0616	350	$2,803 71	$6,469 23	($3,665 52)	LT
PROMISE CO LTD	04/02/09	10/24/06	PMSEY	$8 0616	100	$801 06	$1,940 18	($1,139 12)	LT
PROMISE CO LTD	04/03/09	09/03/08	PMSEY	$7 9608	558	$4,399 34	$6,118 33	($1,718 99)	ST
PROMISE CO LTD	04/02/09	09/03/08	PMSEY	$8 0616	435	$3,484 62	$4,769 66	($1,285 04)	ST
PROMISE CO LTD	04/03/09	09/04/08	PMSEY	$7 9608	176	$1,387 60	$1,944 54	($556.94)	ST
		Position Total	**PMSEY**		**1,838**	**$14,630.65**	**$25,586.79**	**($10,956.14)**	
ROHM CO LTD UNSP ADR EACH REPR 1/2 ORD	07/02/09	01/16/09	ROHCY	$35 1916	149	$5,187 16	$3,733 17	$1,453 99	ST

MV SECURITIES GROUP, INC.

Member FINRA, SIPC

EQUITIES

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis	Realized Gain (Loss)	Holding Period
SANOFI-AVENTIS SPON ADR ECH REP 1/2 ORD EUR2 LEVEL II	10/29/09	09/27/07	SNY	$37 7608	32	$1,200 91	$1,359 87	($158 96)	LT
SANOFI-AVENTIS SPON ADR ECH REP 1/2 ORD EUR2 LEVEL II	01/23/09	09/27/07	SNY	$30 4054	146	$4,382 91	$6,204 38	($1,821 47)	LT
SANOFI-AVENTIS SPON ADR ECH REP 1/2 ORD EUR2 LEVEL II	01/21/09	09/27/07	SNY	$30 9705	132	$4,031 83	$5,609 45	($1,577 62)	LT
SANOFI-AVENTIS SPON ADR ECH REP 1/2 ORD EUR2 LEVEL II	10/29/09	10/01/07	SNY	$37 7608	211	$7,918 47	$8,967 69	($1,049 22)	LT
		Position Total	SNY		521	$17,534.12	$22,141.39	($4,607.27)	
SEKISUI HOUSE LTD SPONSORED ADR FORMER LY SEKISUI PREFAB HOMES LTD TO 04/28/1982	05/21/09	07/26/07	SKHSY	$9 5258	42	$396 15	$529 24	($133 09)	LT
SEKISUI HOUSE LTD SPONSORED ADR FORMER LY SEKISUI PREFAB HOMES LTD TO 04/28/1982	05/21/09	09/05/07	SKHSY	$9 5258	250	$2,358 03	$3,105 05	($747 02)	LT
SEKISUI HOUSE LTD SPONSORED ADR FORMER LY SEKISUI PREFAB HOMES LTD TO 04/28/1982	05/21/09	09/13/07	SKHSY	$9 5258	310	$2,923 95	$3,676 30	($752 35)	LT
		Position Total	SKHSY		602	$5,678.13	$7,310.59	($1,632.46)	
SIEMENS AG ADR-EACH CNV INTO 1 ORD NPV	10/30/09	09/30/08	SI	$93 5435	87	$8,081 82	$7,915 69	$166 13	LT
SOCIETE GENERALE SPON ADR-EACH 5 CNV INTO 1 ORD EUR1 25	10/06/09	10/20/08	SCGLY	$15 4182	595	$9,117 34	$6,776 38	$2,340 96	ST
STORA ENSO OYJ ADR EACH REP 1 SER R SHS SPON	08/13/09	10/14/05	SEOAY	$6 3019	120	$753 47	$1,607 10	($853 63)	LT
STORA ENSO OYJ ADR EACH REP 1 SER R SHS SPON	08/13/09	10/14/05	SEOAY	$6 3019	810	$5,085 89	$10,544 24	($5,458 35)	LT
STORA ENSO OYJ ADR EACH REP 1 SER R SHS SPON	08/13/09	10/17/05	SEOAY	$6 3019	330	$2,072 03	$4,284 35	($2,212 32)	LT
STORA ENSO OYJ ADR EACH REP 1 SER R SHS SPON	08/14/09	11/08/05	SEOAY	$6 605	309	$2,027 32	$3,893 84	($1,866 52)	LT

MV SECURITIES GROUP, INC.

Member FINRA, SIPC

EQUITIES

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis	Realized Gain (Loss)	Holding Period
STORA ENSO OYJ ADR EACH REP 1 SER R SHS SPON	08/13/09	11/08/05	SEOAY	$6 3019	1,201	$7,540 94	$15,134 32	($7,593 38)	LT
STORA ENSO OYJ ADR EACH REP 1 SER R SHS SPON	08/24/09	04/16/08	SEOAY	$6 8799	228	$1,558 98	$2,680 57	($1,121 59)	LT
STORA ENSO OYJ ADR EACH REP 1 SER R SHS SPON	08/14/09	04/16/08	SEOAY	$6 605	972	$6,377 22	$11,427 68	($5,050 46)	LT
STORA ENSO OYJ ADR EACH REP 1 SER R SHS SPON	09/09/09	07/31/08	SEOAY	$7 4598	742	$5,503 21	$6,500 81	($997 60)	LT
STORA ENSO OYJ ADR EACH REP 1 SER R SHS SPON	08/24/09	07/31/08	SEOAY	$6 8799	1,108	$7,576 08	$9,707 42	($2,131 34)	LT
STORA ENSO OYJ ADR EACH REP 1 SER R SHS SPON	09/09/09	08/01/08	SEOAY	$7 4598	570	$4,227 54	$5,061 36	($833 82)	LT
		Position Total	SEOAY		6,390	$42,722.68	$70,841.69	($28,119.01)	
SUNCOR ENERGY COM NPV NEW ISIN #CA8672241079 SEDOL #B3NB1P2	10/06/09	01/29/09	SU	$34 2095	267	$9,077 45	$5,404 59	$3,672 86	ST
SUNCOR ENERGY COM NPV NEW ISIN #CA8672241079 SEDOL #B3NB1P2	08/20/09	01/29/09	SU	$31 8922	271	$8,586 31	$5,485 55	$3,100 76	ST
SUNCOR ENERGY COM NPV NEW ISIN #CA8672241079 SEDOL #B3NB1P2	03/12/09	05/25/05	SU	$24 1591	260	$6,239 55	$4,947 96	$1,291 59	LT
SUNCOR ENERGY COM NPV NEW ISIN #CA8672241079 SEDOL #B3NB1P2	07/16/09	12/07/07	SU	$29 3127	30	$871 17	$1,540 86	($669 69)	LT
SUNCOR ENERGY COM NPV NEW ISIN #CA8672241079 SEDOL #B3NB1P2	03/12/09	12/07/07	SU	$24 1591	90	$2,159 84	$4,622 59	($2,462 75)	LT
SUNCOR ENERGY COM NPV NEW ISIN #CA8672241079 SEDOL #B3NB1P2	07/16/09	07/23/08	SU	$29 3127	170	$4,936 61	$9,205 94	($4,269 33)	ST
SUNCOR ENERGY COM NPV NEW ISIN #CA8672241079 SEDOL #B3NB1P2	07/16/09	01/29/09	SU	$29 3127	6	$174 23	$121 45	$52 78	ST
		Position Total	SU		1,094	$32,045.16	$31,328.94	$716.22	
TDK CORP ADR-EACH CNV INTO 1 NPV	07/01/09	07/01/08	TTDKY	$46 9077	57	$2,633 08	$3,527 11	($894 03)	ST
TDK ELECTRONICS ADR	01/28/09	07/01/08	TDK	$38 0786	113	$4,246 60	$6,992 35	($2,745 75)	ST
TDK CORP ADR-EACH CNV INTO 1 NPV	08/06/09	07/23/08	TTDKY	$52 146	28	$1,443 65	$1,729 28	($285 63)	LT
TDK CORP ADR-EACH CNV INTO 1 NPV	08/05/09	07/23/08	TTDKY	$52 0107	110	$5,664 78	$6,793 59	($1,128 81)	LT

MV SECURITIES GROUP, INC.

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EQUITIES

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis	Realized Gain (Loss)	Holding Period
TDK CORP ADR-EACH CNV INTO 1 NPV	07/01/09	07/23/08	TTDKY	$46 9077	22	$1,016 28	$1,358 72	($342 44)	ST
TDK CORP ADR-EACH CNV INTO 1 NPV	08/20/09	10/21/08	TTDKY	$55 9411	177	$9,845 06	$6,173 26	$3,671 80	ST
TDK CORP ADR-EACH CNV INTO 1 NPV	08/06/09	10/21/08	TTDKY	$52 146	68	$3,505 99	$2,371 65	$1,134 34	ST
		Position Total	TTDKY		405	$21,475.76	$18,426.50	$3,049.26	
TNT NV SPONS ADR EACH REP 1ORD NLG1	10/14/09	03/26/09	TNTTY	$27 5515	157 55582	$4,309 58	$2,686 24	$1,623 34	ST
TNT NV SPONS ADR EACH REP 1ORD NLG1	10/13/09	03/26/09	TNTTY	$27 2722	125	$3,352 69	$2,131 18	$1,221 51	ST
TNT NV SPONS ADR EACH REP 1ORD NLG1	10/15/09	03/30/09	TNTTY	$27 7962	22	$555 25	$369 20	$186 05	ST
TNT NV SPONS ADR EACH REP 1ORD NLG1	10/14/09	03/30/09	TNTTY	$27 5515	126 44418	$3,458 59	$2,121 93	$1,336 66	ST
TNT NV SPONS ADR EACH REP 1ORD NLG1	04/29/09	04/29/09	TNTTY	unavailable	0	$9 65		$9 65	ST
		Position Total	TNTTY		431.00000	$11,685.76	$7,308.55	$4,377.21	
TECHNIP NEW SPONS ADR	03/10/09	08/11/06	TKPPY	$34 9384	50	$1,730 65	$2,705 42	($974 77)	LT
TECHNIP NEW SPONS ADR	03/10/09	10/16/06	TKPPY	$34 9384	60	$2,076 78	$3,350 15	($1,273 37)	LT
TECHNIP NEW SPONS ADR	03/10/09	10/16/06	TKPPY	$34 9384	60	$2,076 78	$3,352 02	($1,275 24)	LT
TECHNIP NEW SPONS ADR	05/12/09	10/17/06	TKPPY	$45 2703	17	$754 86	$1,000 47	($245 61)	LT
TECHNIP NEW SPONS ADR	03/10/09	10/17/06	TKPPY	$34 9384	3	$103 84	$176 55	($72 71)	LT
TECHNIP NEW SPONS ADR	07/30/09	01/30/08	TKPPY	$59 4088	52	$3,075 05	$3,421 66	($346 61)	LT
TECHNIP NEW SPONS ADR	05/12/09	01/30/08	TKPPY	$45 2703	48	$2,131 38	$3,158 46	($1,027.08)	LT
TECHNIP NEW SPONS ADR	07/30/09	07/24/08	TKPPY	$59 4088	80	$4,730 84	$6,260 11	($1,529 27)	LT
TECHNIP NEW SPONS ADR	07/30/09	11/21/08	TKPPY	$59 4088	75	$4,435 16	$1,754 24	$2,680 92	ST
		Position Total	TKPPY		445	$21,115.34	$25,179.08	($4,063.74)	
TOMKINS ADR-EACH REPR 4 ORD SHS GBP0 05	07/02/09	12/17/03	TKS	$9 641	40	$383 09	$753 78 T	($370 69)	LT
TOMKINS ADR-EACH REPR 4 ORD SHS GBP0 05	07/02/09	05/25/05	TKS	$9 641	710	$6,799 91	$13,608 15	($6,808 24)	LT
TOMKINS ADR-EACH REPR 4 ORD SHS GBP0 05	10/09/09	09/08/06	TKS	$11 8226	183	$2,155 20	$3,406 06	($1,250 86)	LT

Account Number: KMG-761630
Account Name: RIO TRWI

Statement Date: 01/01/2009 to 12/31/2009

Separate Account Manager: TRADEWINDS GLOBAL INVESTORS
Investment Discipline: INTERNATIONAL ADR

MV SECURITIES GROUP, INC.

Member FINRA, SIPC

EQUITIES

Description	Date of Sale	Date Acquired	Symbol/ Cusip	Sales Price/ Share	Quantity	Proceeds	Cost Basis	Realized Gain (Loss)	Holding Period
TOMKINS ADR-EACH REPR 4 ORD SHS GBP0 05	07/02/09	09/08/06	TKS	$9 641	137	$1,312 10	$2,549 89	($1,237 79)	LT
TOMKINS ADR-EACH REPR 4 ORD SHS GBP0 05	10/09/09	09/12/06	TKS	$11 8226	350	$4,121 96	$6,437 55	($2,315 59)	LT
TOMKINS ADR-EACH REPR 4 ORD SHS GBP0 05	10/09/09	12/07/07	TKS	$11 8226	710	$8,361 70	$11,701 37	($3,339 67)	LT
	Position Total		TKS		2,130	$23,133.96	$38,456.80	($15,322.84)	
TOPPAN PRTG LTD ISIN #US8907472075 SEDOL #2896597	05/22/09	11/21/06	TONPY	$41 6629	10	$412 84	$510 10	($97 26)	LT
TOPPAN PRTG LTD ISIN #US8907472075 SEDOL #2896597	05/21/09	11/21/06	TONPY	$41 6035	100	$4,103 99	$5,101 05	($997 06)	LT
TOPPAN PRTG LTD ISIN #US8907472075 SEDOL #2896597	05/22/09	11/22/06	TONPY	$41 6629	20	$825 69	$1,061 91	($236 22)	LT
TOPPAN PRTG LTD ISIN #US8907472075 SEDOL #2896597	12/02/09	05/09/07	TONPY	$40 3865	11	$440 25	$589 00	($148 75)	LT
TOPPAN PRTG LTD ISIN #US8907472075 SEDOL #2896597	05/22/09	05/09/07	TONPY	$41 6629	119	$4,912 83	$6,371 88	($1,459.05)	LT
TOPPAN PRTG LTD ISIN #US8907472075 SEDOL #2896597	12/02/09	05/10/07	TONPY	$40 3865	140	$5,603 15	$7,543 54	($1,940 39)	LT
TOPPAN PRTG LTD ISIN #US8907472075 SEDOL #2896597	12/02/09	05/11/07	TONPY	$40 3865	4	$160 09	$214 20	($54 11)	LT
	Position Total		TONPY		404	$16,458.84	$21,391.68	($4,932.84)	
VODAFONE GROUP SPON ADR REP 10 ORD USD0.11428571	11/25/09	05/26/06	VOD	$22 9407	286	$6,504 62	$7,356 67	($852 05)	LT
Total Equities					36,104.00000	$609,699.45	$763,288.12	($153,588.67)	