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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service**Note:** The foundation may be able to use a copy of this return to satisfy state reporting requirements**For calendar year 2009, or tax year beginning , 2009, and ending****G** Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation Kinder Porter Scott Family Foundation		A Employer identification number 23-7052152
	Number and street (or P.O. box number if mail is not delivered to street address) Room/suite c/o D.R. Stogsdill, 233 S. 13th St. 1900	B Telephone number (see the instructions) (402) 474-6900	
	City or town State ZIP code Lincoln NE 68508-2095	C If exemption application is pending, check here ☐ D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 5,910,909.		(Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch)	2,500,000.			
	2 Ck ☐ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	5,339.	50,212.	50,212.	
	4 Dividends and interest from securities	80,949.	36,663.	36,663.	
	5a Gross rents				
	b Net rental income or (loss)		L-6a Stmt		
	6a Net gain/(loss) from sale of assets not on line 10	867,000.			
	b Gross sales price for all assets on line 6a	9,028,823.			
	7 Capital gain net income (from Part IV, line 2)		867,000.		
	8 Net short-term capital gain			861,046.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule) See Line 11 Stmt	-7,142.	-7,142.	-7,142.		
12 Total. Add lines 1 through 11	3,446,146.	946,733.	940,779.		
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans and employee benefits				
	16a Legal fees (attach schedule) L-16a Stmt	27,855.	18,585.	8,676.	9,270.
	b Accounting fees (attach sch) L-16b Stmt	4,927.	4,927.	4,927.	
	c Other professional fees (attach sch) L-16c Stmt	348.	348.	348.	
	17 Interest				
	18 Taxes (attach schedule) See Line 18 Stmt	10,240.			
	19 Depreciation (attach sch) and depletion				
	20 Occupancy	7,123.	7,123.	7,123.	
	21 Travel, conferences, and meetings	7,673.	5,115.	5,115.	2,558.
	22 Printing and publications	603.	603.		
	23 Other expenses (attach schedule) See Line 23 Stmt	12,078.	9,606.	9,606.	2,328.
	24 Total operating and administrative expenses. Add lines 13 through 23	70,847.	46,307.	35,795.	14,156.
	25 Contributions, gifts, grants paid	162,093.			162,093.
26 Total expenses and disbursements. Add lines 24 and 25	232,940.	46,307.	35,795.	176,249.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	3,213,206.				
b Net investment income (if negative, enter -0-)		900,426.			
c Adjusted net income (if negative, enter -0-)			904,984.		

516 10

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash — non-interest-bearing		18,038.	18,486.	18,486.
	2	Savings and temporary cash investments		941,080.	907,797.	907,797.
	3	Accounts receivable				
		Less: allowance for doubtful accounts		0.		
	4	Pledges receivable				
		Less: allowance for doubtful accounts		0.		
	5	Grants receivable		0.		
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)		0.		
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts		0.		
	8	Inventories for sale or use		0.		
	9	Prepaid expenses and deferred charges		0.		
	10a	Investments — U S and state government obligations (attach schedule)	0	0.		
	b	Investments — corporate stock (attach schedule) L-10b Stmt		950,184.	2,692,605.	2,611,201.
	c	Investments — corporate bonds (attach schedule) L-10c Stmt		0.	1,350,146.	1,403,706.
	LIABILITIES	11	Investments — land, buildings, and equipment: basis			
		Less: accumulated depreciation (attach schedule)		0.		
12		Investments — mortgage loans		0.		
13		Investments — other (attach schedule) L-13 Stmt		275,000.	275,000.	231,757.
14		Land, buildings, and equipment: basis	739,321.			
		Less: accumulated depreciation (attach schedule) L-14 Stmt	0.	522,078.	739,321.	737,962.
15		Other assets (describe)				
16		Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)		2,706,380.	5,983,355.	5,910,909.
17		Accounts payable and accrued expenses				
18		Grants payable				
FUND ASSETS	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
	23	Total liabilities (add lines 17 through 22)				
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☒				
	24	Unrestricted		2,706,380.	5,983,355.	
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐				
27	Capital stock, trust principal, or current funds					
28	Paid-in or capital surplus, or land, building, and equipment fund					
29	Retained earnings, accumulated income, endowment, or other funds					
30	Total net assets or fund balances (see the instructions)		2,706,380.	5,983,355.		
31	Total liabilities and net assets/fund balances (see the instructions)		2,706,380.	5,983,355.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,706,380.
2	Enter amount from Part I, line 27a	2	3,213,206.
3	Other increases not included in line 2 (itemize) <u>Book value adjustment</u>	3	63,769.
4	Add lines 1, 2, and 3	4	5,983,355.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	5,983,355.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a Charles Schwab - short term - see attached	P	various	various
b Charles Schwab - long term - see attached	P	various	various
c WRK LLC - short term - see attached	P	various	various
d WRK LLC - long term - see attached	P	various	various
e See Columns (a) thru (d)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,775,237.		7,921,056.	854,181.
b 227,414.		240,767.	-13,353.
c 6,865.		0.	6,865.
d 0.		603.	-603.
e See Columns (e) thru (h)		0.	19,910.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a 0.	0.	0.	854,181.
b			-13,353.
c 0.	0.	0.	6,865.
d			-603.
e See Columns (i) thru (l)	0.	0.	19,910.

2 Capital gain net income or (net capital loss). [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	867,000.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): [If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8]	3	861,046.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	203,760.	2,836,973.	0.071823
2007	77,750.	2,840,272.	0.027374
2006	58,985.	2,313,093.	0.025500
2005	59,549.	1,095,695.	0.054348
2004	41,230.	1,095,695.	0.037629

2 Total of line 1, column (d)	2	0.216674
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.043335
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	5,007,343.
5 Multiply line 4 by line 3	5	216,993.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,004.
7 Add lines 5 and 6	7	225,997.
8 Enter qualifying distributions from Part XII, line 4	8	176,249.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	18,009.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	18,009.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	18,009.
6 Credits/Payments:			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	2,258.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,258.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	15,751.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☐ 0. Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) NE – Nebraska		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address: <u>N/A</u>	13	X	
14	The books are in care of <u>Jean Porter Jennings</u> Telephone no <u>(402) 309-5146</u> Located at <u>128 N. 13th St., #1101 Lincoln, NE</u> ZIP + 4 <u>68508</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? ☐	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions.) ☐	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) ☐	3b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐ Yes ☒ No	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? ☐	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Jean Porter Jennings 6438 Willow Springs Dr. Morrison CO 80465	President 5.00	0.	0.	0.
William D. Scott 2900 Sheridan Blvd. Lincoln NE 68502	Vice Pres. 5.00	0.	0.	0.
Robert E. Scott 6539 Pheasant Run Place Lincoln NE 68516	Treasurer 5.00	0.	0.	0.
Michael B. Green 7550 Brummond Lincoln NE 68516	Secretary 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				
0				
0				
0				
0				

Total number of other employees paid over \$50,000

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE'.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 <u>Workspace Gallery - expenses related to insuring, receiving, and returning works of art and related expenses for a free showing open and advertised to the Lincoln community.</u>	2,328.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	2,840,687.
b Average of monthly cash balances	1b	1,273,191.
c Fair market value of all other assets (see instructions)	1c	969,719.
d Total (add lines 1a, b, and c)	1d	5,083,597.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	5,083,597.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	76,254.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,007,343.
6 Minimum investment return. Enter 5% of line 5	6	250,367.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	250,367.
2a Tax on investment income for 2009 from Part VI, line 5	2a	18,009.
b Income tax for 2009 (This does not include the tax from Part VI.)	2b	0.
c Add lines 2a and 2b	2c	18,009.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	232,358.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	232,358.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	232,358.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	176,249.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	0.
b Cash distribution test (attach the required schedule)	3b	0.
4 Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	176,249.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	176,249.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				232,358.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			0.	
b Total for prior years. 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2009:				
a From 2004	0.			
b From 2005	0.			
c From 2006	0.			
d From 2007	0.			
e From 2008	5,080.			
f Total of lines 3a through e	5,080.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 176,249.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount				176,249.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	5,080.			5,080.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				51,029.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005	0.			
b Excess from 2006	0.			
c Excess from 2007	0.			
d Excess from 2008	0.			
e Excess from 2009	0.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☒ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a 'Assets' alternative test — enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c 'Support' alternative test — enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a</i> Paid during the year Refer to Attached Exhibit Lincoln NE 68508		Refer to Exhibit	Refer to Exhibit	162,093.
Total			▶ 3a	162,093.
<i>b</i> Approved for future payment				
Total			▶ 3b	

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF**

OMB No 1545-0047

2009

Name of the organization

Kinder Porter Scott Family Foundation

Employer identification number

23-7052152

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)(____) (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule –

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules –

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year . . . ▶ \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

Kinder Porter Scott Family Foundation

23-7052152

Part I Contributors (see instructions.)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	William L. Porter Irrevocable Trust Michael B. Green, Trustee, 233 S. 13th St., #1900 Lincoln NE 68508	\$ 2,500,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Schedule K-1
(Form 1065)**2009**

For calendar year 2009, or tax

Department of the Treasury
Internal Revenue Serviceyear beginning _____, 2009
ending _____.

Form 990-PF, Page 1, Part I, Line 11

Line 11 Stmt

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
WRK LLC Ordinary Business Loss	-5,646.	-5,646.	-5,646.
WRK LLC Real Estate Income	-1,481.	-1,481.	-1,481.
WRK LLC misc income/payments	-15.	-15.	-15.
Total	-7,142.	-7,142.	-7,142.

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes (see the instructions)	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Foreign taxes	1,129.			
Real Estate Taxes	6,704.			
Special Assess/BID/Mainten	149.			
2009 estimated tax payment	2,258.			
Total	10,240.			

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Expenses on upkeep of #1003	5,354.	5,354.	5,354.	
Expenses on upkeep of Syford House	1,185.	1,185.	1,185.	
Misc office/computer expenses	3,067.	3,067.	3,067.	
Workspace Gallery Project	2,328.			2,328.
Workspace Gallery Project				
Misc charitable donation adjustm	144.			
Total	12,078.	9,606.	9,606.	2,328.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
WRK LLC - long term Section 1250 gain	P	various	various
WRK LLC - long term Section 1231 gain	P	various	various

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
329.		0.	329.
19,581.		0.	19,581.
Total		0.	19,910.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income

Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (column (h) gain minus column (k), but not less than -0-) or losses (from column (h))
0.	0.	0.	329.
0.	0.	0.	19,581.
Total	0.	0.	19,910.

Form 990-PF, Page 1, Part I

Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Cline Williams Law F	legal and tax	27,810.	18,540.		9,270.
Harding & Schultz	legal	45.	45.		
Total		27,855.	18,585.		9,270.

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Sheila Michels	bookkeeping	4,927.			
Total		4,927.			

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Wells Fargo Bank	bank fees	256.	256.	256.	
Charles Schwab	fees and charges	92.	92.	92.	
Total		348.	348.	348.	

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
Charles Schwab - stocks/investments	2,692,605.	2,611,201.
Total	<u>2,692,605.</u>	<u>2,611,201.</u>

Form 990-PF, Page 2, Part II, Line 10c

L-10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
Smith Hayes - bonds/investments	1,350,146.	1,403,706.
Total	<u>1,350,146.</u>	<u>1,403,706.</u>

Form 990-PF, Page 2, Part II, Line 13

L-13 Stmt

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
Interest in WRK, L.L.C.	275,000.	231,757.
Total	<u>275,000.</u>	<u>231,757.</u>

Form 990-PF, Page 2, Part II, Line 14

L-14 Stmt

Line 14b - Description of Land, Buildings, and Equipment	(a) Cost/Other Basis	(b) Accumulated Depreciation	(c) Book Value
128 N. 13th St., #1003, Lincoln, NE	129,700.	0.	129,700.
128 N. 13th St., #1101, Lincoln, NE	395,698.	0.	395,698.
700 N. 16th St., Lincoln, NE	199,471.	0.	199,471.
Office Equipment, Furniture, etc.	14,452.	0.	14,452.
Total	<u>739,321.</u>	<u>0.</u>	<u>739,321.</u>

Supporting Statement of:

Form 990-PF, p1/Line 1(a)

Description	Amount
William L. Porter Irrevocable Trust	2,500,000.
Total	<u>2,500,000.</u>

Supporting Statement of:

Form 990-PF, p2/Line 1(a)

Description	Amount
Wells Fargo Bank, N.A. #793-0756662	16,038.
Earnest Money deposit on 700 N. 16th St.	2,000.
Total	<u>18,038.</u>

Supporting Statement of:

Form 990-PF, p2/Line 2(a)

Description	Amount
First National Bank #20169632320	95,265.
Smith Hayes Financial #K3M-117005	109,990.
Wells Fargo Money Market Mutual Funds #13031869	10,276.
City Bank & Trust Co. #496687	96,232.
Union Bank & Trust Co. STFIT #2564716001	183,569.
Charles Schwab #6102-4066	8.
Charles Schwab #2801-5605	254,563.
TierOne Bank certificate of deposit	96,177.
U.S. Bank N.A., certificate of deposit	95,000.
Total	<u>941,080.</u>

Supporting Statement of:

Form 990-PF, p2/Line 1(b)

Description	Amount
Wells Fargo - checking	18,486.
Total	<u>18,486.</u>

Supporting Statement of:

Form 990-PF, p2/Line 2(b)

Description	Amount
Smith Hayes - money market	296,958.
Wells Fargo - money market	1,974.
Charles Schwab - money market	491,941.
US Bank - certificate of deposit	95,000.
City Bank - money market	21,924.
Total	907,797.

Supporting Statement of:

Form 990-PF, p12/Line 3 Column (d)

Description	Amount
City Bank & Trust Co. #496687	693.
US Bank #2988 000305790381290	2,159.
TierOne Bank #03-00179053	2,153.
First National Bank of Omaha #20169632320	312.
Union Bank & Trust Co. #2564716001	22.
Total	5,339.

Supporting Statement of:

Form 990-PF, p12/Line 4 Column (d)

Description	Amount
Charles Schwab - dividends and interest	33,972.
Smith Hayes - dividends and interest	45,016.
WRK, LLC - dividends and interest	1,961.
Total	80,949.

Kinder Porter Scott Family
Foundation
Charles Schwab
2009

	DATE ACQD	SECURITY	BEG BAL OF SHARES	KPSFF BASIS	KPSFF ADDITIONS NO OF SHRS	BASIS	KPSFF DELETIONS NO OF SHRS	BASIS	KPSFF ENDING BAL NO OF SHRS	YEAR 2009	KPSFF BASIS	SALE PRICE	GAIN/ LOSS	DATE SOLD	LONG TERM SHORT TERM
S	20-Jan-09	ABB LTD ADR			2,000	\$23,948.95	2,000	\$23,948.95	0		\$0.00	\$24,980.91	\$1,041.96	20-Mar-09	ST
S	20-Jan-09	CALLS ABB LTD ADR FEB 12 50			20	\$0.00	20	\$0.00	0		\$0.00	\$1,378.04	\$1,378.04	20-Mar-09	ST
S	23-Feb-09	CALLS ABB LTD ADR MAR 12 50			20	\$0.00	20	\$0.00	0		\$0.00	\$778.04	\$778.04	20-Mar-09	ST
S	18-May-09	ABB LTD ADR			2,000	\$30,508.95	2,000	\$30,508.95	0		\$0.00	\$34,980.15	\$4,471.20	21-Aug-09	ST
S	18-May-09	CALLS ABB LTD ADR JUNE 17 50			20	\$0.00	20	\$0.00	0		\$0.00	\$278.04	\$278.04	21-Aug-09	ST
S	20-Jul-09	CALLS ABB LTD ADR AUG 17 50			20	\$0.00	20	\$0.00	0		\$0.00	\$478.03	\$478.03	21-Aug-09	ST
S	18-May-09	ADORE SYSTEMS INC			1,000	\$28,088.95	1,000	\$28,088.95	0		\$0.00	\$27,980.33	\$1,903.38	18-Jun-09	ST
S	18-May-09	CALLS ADORE SYSTEMS JUNE 28 00			10	\$0.00	10	\$0.00	0		\$0.00	\$733.53	\$733.53	18-Jun-09	ST
S	23-Feb-09	AGNICO-EAGLE MINES LTD F			1,000	\$54,415.95	1,000	\$54,415.95	0		\$0.00	\$54,980.74	\$574.79	20-Mar-09	ST
S	23-Feb-09	CALLS AGNICO-EAGLE MIN MAR 55 00			10	\$0.00	10	\$0.00	0		\$0.00	\$3,583.52	\$3,583.52	20-Mar-09	ST
S	20-Apr-09	AGNICO-EAGLE MINES LTD F			3,000	\$135,759.95	3,000	\$135,759.95	0		\$0.00	\$149,987.19	\$14,228.24	15-May-09	ST
S	20-Apr-09	CALLS AGNICO-EAGLE MIN MAY 50 00			30	\$0.00	30	\$0.00	0		\$0.00	\$3,568.45	\$3,568.45	15-May-09	ST
S	24-Sep-09	AGNICO-EAGLE MINES LTD F			2,000	\$128,888.08	2,000	\$128,888.08	0		\$0.00	\$139,987.45	\$11,099.37	18-Oct-09	ST
S	24-Sep-09	CALLS AGNICO-EAGLE OCT 70 00			20	\$0.00	20	\$0.00	0		\$0.00	\$2,175.99	\$2,175.99	18-Oct-09	ST
S	03-Nov-09	AGNICO-EAGLE MINES LTD F			3,000	\$161,855.95	3,000	\$161,855.95	0		\$0.00	\$164,988.80	\$3,130.85	20-Nov-09	ST
S	03-Nov-09	CALLS AGNICO-EAGLE NOV 55 00			30	\$0.00	30	\$0.00	0		\$0.00	\$6,508.38	\$6,508.38	20-Nov-09	ST
S	23-Nov-09	AGNICO-EAGLE MINES LTD F			1,000	\$63,943.95	1,000	\$63,943.95	0		\$0.00	\$2,533.48	\$2,533.48	20-Nov-09	ST
S	23-Nov-09	CALLS AGNICO-EAGLE DEC 65 00			10	\$0.00	10	\$0.00	0		\$0.00	\$0.00	\$0.00	20-Nov-09	ST
S	25-Nov-09	AGNICO-EAGLE MINES LTD F			2,000	\$127,742.95	2,000	\$127,742.95	0		\$0.00	\$4,075.94	\$4,075.94	20-Nov-09	ST
S	25-Nov-09	CALLS AGNICO-EAGLE DEC 65 00			20	\$0.00	20	\$0.00	0		\$0.00	\$0.00	\$0.00	20-Nov-09	ST
S	21-Dec-09	CALLS AGNICO-EAGLE JAN 2010--60 00			30	\$0.00	30	\$0.00	0		\$0.00	\$0.00	\$0.00	20-Nov-09	ST
S	21-Dec-09	ALCOA INC			2,000	\$29,688.95	2,000	\$29,688.95	0		\$0.00	\$376.03	\$376.03	20-Nov-09	ST
S	13-Dec-09	CALLS ALCOA INC DEC 15 00			20	\$0.00	20	\$0.00	0		\$0.00	\$0.00	\$0.00	20-Nov-09	ST
S	13-Dec-09	CALLS ALCOA INC JAN 2010--18 00			20	\$0.00	20	\$0.00	0		\$0.00	\$0.00	\$0.00	20-Nov-09	ST
S	21-Dec-09	ALPHA NATURAL RESOURCES			5,000	\$218,042.36	5,000	\$218,042.36	0		\$0.00	\$0.00	\$0.00	20-Nov-09	ST
S	21-Dec-09	CALLS ALPHA NATURAL JAN 2010--44 00			25	\$0.00	25	\$0.00	0		\$0.00	\$0.00	\$0.00	20-Nov-09	ST
S	21-Dec-09	CALLS ALPHA NATURAL JAN 2010--45 00			1,000	\$67,012.88	1,000	\$67,012.88	0		\$0.00	\$69,989.25	\$2,976.37	18-Dec-09	ST
S	19-Oct-09	AMERICAN SCIENCE & ENG			10	\$0.00	10	\$0.00	0		\$0.00	\$1,383.51	\$1,383.51	18-Dec-09	ST
S	19-Oct-09	CALLS AMERICAN SCIENCE NOV 70 00			10	\$0.00	10	\$0.00	0		\$0.00	\$2,283.48	\$2,283.48	18-Dec-09	ST
S	23-Nov-09	ANGLO AMERICAN PLC ADR			500	\$10,021.95	500	\$10,021.95	0		\$0.00	\$4,988.38	\$-5,023.57	18-Jun-09	ST
W	06-Sep-08	ANGLO AMERICAN PLC ADR	500	\$10,021.95	15	\$0.00	15	\$0.00	0		\$0.00	\$354.79	\$354.79	18-Jun-09	ST
S	22-Dec-08	CALLS ANGLO AMERICAN JAN 09 12 50	15	\$0.00	1,000	\$10,038.95	1,000	\$10,038.95	0		\$0.00	\$9,986.78	\$-42.18	19-Jun-09	ST
S	22-Dec-08	ANGLO AMERICAN PLC ADR	1,000	\$10,038.95	10	\$0.00	10	\$0.00	0		\$0.00	\$583.54	\$583.54	19-Jun-09	ST
S	20-Jan-09	CALLS ANGLO AMERICAN FEB 10 00			1500	\$11,272.45	1,500	\$11,272.45	0		\$0.00	\$14,995.13	\$3,722.68	19-Jun-09	ST
S	23-Feb-09	ANGLO AMERICAN PLC ADR			30	\$0.00	30	\$0.00	0		\$0.00	\$1,618.54	\$1,618.54	19-Jun-09	ST
S	23-Feb-09	CALLS ANGLO AMERICAN JUNE 10 00			1,000	\$24,368.95	1,000	\$24,368.95	0		\$0.00	\$24,980.91	\$621.96	16-Jan-09	ST
S	12-Jan-09	ANGLOGOLD ASHANTI LTD			10	\$0.00	10	\$0.00	0		\$0.00	\$533.54	\$533.54	16-Jan-09	ST
S	20-Apr-09	ANGLOGOLD ASHANTI LTD			2,000	\$60,968.05	2,000	\$60,968.05	0		\$0.00	\$69,989.25	\$9,021.20	15-May-09	ST
S	20-Apr-09	CALLS ANGLOGOLD MAY 35 00			20	\$0.00	20	\$0.00	0		\$0.00	\$1,378.01	\$1,378.01	15-May-09	ST
S	20-Apr-09	ANGLOGOLD ASHANTI LTD			1,000	\$38,874.23	1,000	\$38,874.23	0		\$0.00	\$39,980.02	\$1,105.79	16-Oct-09	ST
S	24-Sep-09	ANGLOGOLD ASHANTI LTD			1,000	\$39,874.23	1,000	\$39,874.23	0		\$0.00	\$41,872.97	\$1,998.74	16-Oct-09	ST
S	24-Sep-09	CALLS ANGLOGOLD ASHANTI OCT 40 00			10	\$0.00	10	\$0.00	0		\$0.00	\$1,863.50	\$1,863.50	16-Oct-09	ST
S	24-Sep-09	CALLS ANGLOGOLD ASHANTI OCT 45 00			10	\$0.00	10	\$0.00	0		\$0.00	\$433.53	\$433.53	16-Oct-09	ST
S	19-Oct-09	CALLS ANGLOGOLD ASHANTI NOV 45 00			10	\$0.00	10	\$0.00	0		\$0.00	\$2,633.48	\$2,633.48	16-Oct-09	ST
S	23-Nov-09	CALLS ANGLOGOLD ASHANTI DEC 45 00			1,000	\$116.45	1,000	\$116.45	0		\$0.00	\$10,544.77	\$-3,963.24	15-Dec-09	ST
W	22-Sep-08	A-POWER ENERGY	1,000	\$14,508.01	10	\$0.00	10	\$14,508.01	0		\$0.00	\$4,991.02	\$1,042.07	16-Jan-09	ST
S	22-Dec-08	CALLS A-POWER ENERGY JAN 09 5 00	1,000	\$3,948.95	10	\$0.00	10	\$3,948.95	0		\$0.00	\$1,033.54	\$1,033.54	16-Jan-09	ST
S	22-Dec-08	CALLS A-POWER ENERGY JAN 09 7 50	10	\$0.00	10	\$0.00	10	\$0.00	0		\$0.00	\$183.54	\$183.54	16-Jan-09	ST
S	20-Jan-09	CALLS A-POWER ENERGY FEB 10 00			10	\$0.00	10	\$0.00	0		\$0.00	\$33.54	\$33.54	16-Jan-09	ST
S	03-Apr-09	ARCH COAL INC			1,000	\$14,508.95	1,000	\$14,508.95	0		\$0.00	\$14,990.68	\$481.71	15-May-09	ST
S	03-Apr-09	CALLS ARCH COAL INC APR 15 00			10	\$0.00	10	\$0.00	0		\$0.00	\$633.54	\$633.54	15-May-09	ST
S	20-Apr-09	CALLS ARCH COAL INC MAY 15 00			10	\$0.00	10	\$0.00	0		\$0.00	\$483.53	\$483.53	15-May-09	ST
S	23-Nov-09	ARCHER-DANIELS-MIDLAND CO			1,000	\$31,917.95	1,000	\$31,917.95	0		\$0.00	\$733.53	\$733.53	15-May-09	ST
S	23-Nov-09	CALLS ARCHER-DANIELS DEC 32 00			10	\$0.00	10	\$0.00	0		\$0.00	\$0.00	\$0.00	15-May-09	ST
S	23-Nov-09	CALLS ARCHER-DANIELS JAN 2010--31 00			10	\$0.00	10	\$0.00	0		\$0.00	\$0.00	\$0.00	15-May-09	ST
S	19-Oct-09	ARENA RESOURCES INC			2,000	\$85,768.15	2,000	\$85,768.15	0		\$0.00	\$2,875.97	\$2,875.97	17-Jul-09	ST
S	19-Oct-09	CALLS ARENA RESOURCES NOV 45 00			20	\$0.00	20	\$0.00	0		\$0.00	\$978.02	\$978.02	17-Jul-09	ST
S	23-Nov-09	CALLS ARENA RESOURCES DEC 45 00			20	\$0.00	20	\$0.00	0		\$0.00	\$0.00	\$0.00	17-Jul-09	ST
S	21-Dec-09	CALLS ARENA RESOURCES JAN 2010--45 00			20	\$0.00	20	\$0.00	0		\$0.00	\$443.14	\$443.14	17-Jul-09	ST
S	01-Jul-09	BAKER HUGHES INC			1,000	\$38,546.95	1,000	\$38,546.95	0		\$0.00	\$98,990.08	\$60,443.14	21-Aug-09	ST
S	01-Jul-09	CALLS BAKER HUGHES JULY 37 00			10	\$0.00	10	\$0.00	0		\$0.00	\$983.52	\$983.52	21-Aug-09	ST
S	21-May-09	BANK OF AMERICA CORP			7900	\$91,173.07	7,900	\$91,173.07	0		\$0.00	\$102,688.41	\$11,515.34	21-Aug-09	ST
S	21-May-09	BANK OF AMERICA CORP			2100	\$24,235.88	2,100	\$24,235.88	0		\$0.00	\$27,290.34	\$3,054.46	21-Aug-09	ST

S	21-May-09	CALLS BANK OF AMERICA JUNE 14 00	100	\$0.00	100	\$0.00	0	\$0.00	\$2,315.98	\$2,315.98	EXPIRED	ST
S	01-Jul-09	CALLS BANK OF AMERICA JULY 14 00	100	\$0.00	100	\$0.00	0	\$0.00	\$3,815.94	\$3,815.94	EXPIRED	ST
S	20-Jul-09	CALLS BANK OF AMERICA AUG 13 00	100	\$0.00	100	\$0.00	0	\$0.00	\$5,015.90	\$5,015.90	EXPIRED	ST
S	12-Jan-09	BARRICK GOLD CORP	1000	\$31,388.95	1000	\$31,388.95	0	\$0.00	\$34,990.85	\$34,990.85	20-Feb-09	ST
S	13-Jan-09	CALLS BARRICK GOLD JAN 35 00	10	\$0.00	10	\$0.00	0	\$0.00	\$183.54	\$183.54	EXPIRED	ST
S	20-Jan-09	CALLS BARRICK GOLD FEB 35 00	10	\$0.00	10	\$0.00	0	\$0.00	\$3,183.53	\$3,183.53	EXPIRED	ST
S	23-Feb-09	CALLS BARRICK GOLD MAR 37 50	10	\$0.00	10	\$0.00	0	\$0.00	\$1,733.54	\$1,733.54	EXPIRED	ST
S	23-Feb-09	BARRICK GOLD CORP	1000	\$35,737.95	1000	\$35,737.95	0	\$0.00	\$34,990.86	\$34,990.86	18-Sep-09	ST
S	23-Mar-09	CALLS BARRICK GOLD CORP APR 37 50	10	\$0.00	10	\$0.00	0	\$0.00	\$833.54	\$833.54	EXPIRED	ST
S	20-Apr-09	BARRICK GOLD CORP	1000	\$28,507.95	1000	\$28,507.95	0	\$0.00	\$34,990.86	\$34,990.86	18-Sep-09	ST
S	20-Apr-09	CALLS BARRICK GOLD MAY 34 00	20	\$0.00	20	\$0.00	0	\$0.00	\$478.03	\$478.03	EXPIRED	ST
S	18-May-09	CALLS BARRICK GOLD JUNE 35 00	20	\$0.00	20	\$0.00	0	\$0.00	\$2,075.99	\$2,075.99	EXPIRED	ST
S	01-Jul-09	CALLS BARRICK GOLD JULY 38 00	20	\$0.00	20	\$0.00	0	\$0.00	\$1,625.99	\$1,625.99	EXPIRED	ST
S	20-Jul-09	BARRICK GOLD CORP	2000	\$70,122.50	2000	\$70,122.50	0	\$0.00	\$69,893.73	\$69,893.73	18-Sep-09	ST
S	20-Jul-09	CALLS BARRICK GOLD AUG 38 00	20	\$0.00	20	\$0.00	0	\$0.00	\$2,375.98	\$2,375.98	EXPIRED	ST
S	20-Jul-09	CALLS BARRICK GOLD AUG 37 00	20	\$0.00	20	\$0.00	0	\$0.00	\$1,676.00	\$1,676.00	EXPIRED	ST
S	24-Aug-09	CALLS BARRICK GOLD SEPT 35 00	40	\$0.00	40	\$0.00	0	\$0.00	\$5,160.91	\$5,160.91	ASSIGNED	ST
S	30-Mar-09	BERKSHIRE HATHAWAY CL B	10	\$28,138.95	10	\$28,138.95	0	\$0.00	\$33,160.19	\$33,160.19	14-Aug-09	ST
S	03-Dec-09	BHP BILLITON LTD ADR	1000	\$77,795.95	1000	\$77,795.95	0	\$0.00	\$1,223.51	\$1,223.51	EXPIRED	ST
S	03-Dec-09	CALLS BHP BILLITON LTD DEC 80 00	10	\$0.00	10	\$0.00	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	21-Dec-09	CALLS BHP BILLITON LTD JAN 2010--80 00	10	\$0.00	10	\$0.00	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
W	09-Sep-08	BOEING CO	500	\$32,689.45	500	\$32,689.45	0	\$0.00	\$23,994.91	\$23,994.91	18-Sep-09	LT
W	23-Sep-08	BOEING CO	500	\$29,880.11	500	\$29,880.11	0	\$0.00	\$83.54	\$83.54	EXPIRED	ST
W	23-Sep-08	CALLS BOEING CO JAN 09 50 00	10	\$0.00	10	\$0.00	0	\$0.00	\$183.54	\$183.54	EXPIRED	ST
W	20-Jan-09	CALLS BOEING CO FEB 50 00	10	\$0.00	10	\$0.00	0	\$0.00	\$333.54	\$333.54	EXPIRED	ST
S	21-Aug-09	CALLS BOEING CO JULY 45 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,133.52	\$1,133.52	ASSIGNED	ST
S	21-Aug-09	CALLS BOEING CO SEPT 48 00	10	\$0.00	10	\$0.00	0	\$0.00	\$3,591.09	\$3,591.09	05-May-09	ST
S	23-Feb-09	BOEING CO	1000	\$35,398.95	1000	\$35,398.95	0	\$0.00	\$433.54	\$433.54	EXPIRED	ST
S	23-Feb-09	CALLS BOEING CO MAR 40 00	10	\$0.00	10	\$0.00	0	\$0.00	\$833.52	\$833.52	EXPIRED	ST
S	23-Mar-09	CALLS BOEING CO APR 40 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,951.91	\$1,951.91	18-Jun-09	ST
S	20-Apr-09	CALLS BOEING CO MAY 39 00	10	\$0.00	10	\$0.00	0	\$0.00	\$45,989.86	\$45,989.86	ASSIGNED	ST
S	18-May-09	BOEING CO	1000	\$44,037.95	1000	\$44,037.95	0	\$0.00	\$833.52	\$833.52	ASSIGNED	ST
S	20-Jul-09	CALLS BOEING CO JUNE 46 00	10	\$0.00	10	\$0.00	0	\$0.00	\$3,170.63	\$3,170.63	21-Aug-09	ST
S	20-Jul-09	CALLS BOEING CO AUG 43 00	20	\$0.00	20	\$0.00	0	\$0.00	\$2,175.99	\$2,175.99	ASSIGNED	ST
S	13-Jan-09	B P AMOCO	2,382	\$48,600.00	2,382	\$48,600.00	0	\$0.00	\$97,650.61	\$97,650.61	11-May-09	ST
W	23-Dec-08	CALLS BP AMOCO JAN 09 50 00	23	\$0.00	23	\$0.00	0	\$0.00	\$1,183.54	\$1,183.54	EXPIRED	ST
W	20-Jan-09	CALLS BP AMOCO FEB 45 00	13	\$0.00	13	\$0.00	0	\$0.00	\$371.29	\$371.29	EXPIRED	ST
S	20-Jan-09	CALLS BP AMOCO FEB 50 00	23	\$0.00	23	\$0.00	0	\$0.00	\$778.78	\$778.78	EXPIRED	ST
S	23-Feb-09	CALLS BP AMOCO MAR 45 00	28	\$1,152.85	28	\$1,152.85	0	\$0.00	\$737.91	\$737.91	12-May-09	ST
S	23-Mar-09	B P AMOCO	600	\$24,703.95	600	\$24,703.95	0	\$0.00	\$24,590.41	\$24,590.41	18-May-09	ST
S	23-Mar-09	B P AMOCO	10	\$411.73	10	\$411.73	0	\$0.00	\$463.83	\$463.83	EXPIRED	ST
S	23-Mar-09	CALLS BP AMOCO APR 15 00	30	\$0.00	30	\$0.00	0	\$0.00	\$1,488.54	\$1,488.54	EXPIRED	ST
S	23-Mar-09	CALLS BP AMOCO MAY 41 00	30	\$0.00	30	\$0.00	0	\$0.00	\$1,768.50	\$1,768.50	ASSIGNED	ST
W	20-Apr-09	BROADWIND ENERGY INC	1000	\$11,779.38	1000	\$11,779.38	0	\$0.00	\$7,495.09	\$7,495.09	18-Jun-09	ST
S	24-Nov-08	BROADWIND ENERGY INC	900	\$4,888.06	900	\$4,888.06	0	\$0.00	\$6,745.59	\$6,745.59	18-Jun-09	ST
S	18-May-09	CALLS BROADWIND ENERGY JUNE 7 50	20	\$0.00	20	\$0.00	0	\$0.00	\$176.01	\$176.01	ASSIGNED	ST
S	18-May-09	BUCYRUS INTL INC	3000	\$121,175.45	3000	\$121,175.45	0	\$0.00	\$125,980.80	\$125,980.80	20-Nov-09	ST
S	18-May-09	CALLS BUCYRUS INTL NOV 41 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,183.49	\$2,183.49	ASSIGNED	ST
S	18-May-09	CALLS BUCYRUS INTL NOV 42 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,733.50	\$1,733.50	ASSIGNED	ST
S	18-May-09	CALLS BUCYRUS INTL NOV 43 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,383.51	\$1,383.51	ASSIGNED	ST
S	23-Nov-09	BUCYRUS INTL INC	1000	\$53,727.95	1000	\$53,727.95	0	\$0.00	\$2,333.48	\$2,333.48	EXPIRED	ST
S	23-Nov-09	CALLS BUCYRUS INTL DEC 55 00	10	\$0.00	10	\$0.00	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	23-Nov-09	CALLS BUCYRUS INTL JAN 2010--55 00	10	\$0.00	10	\$0.00	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	23-Nov-09	BUNGE LIMITED	1000	\$83,488.95	1000	\$83,488.95	0	\$0.00	\$1,833.50	\$1,833.50	EXPIRED	ST
S	23-Nov-09	CALLS BUNGE LIMITED DEC 65 00	10	\$0.00	10	\$0.00	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	23-Nov-09	CALLS BUNGE LIMITED JAN 2010--65 00	10	\$0.00	10	\$0.00	0	\$0.00	\$4,325.81	\$4,325.81	18-Jun-09	ST
S	03-Apr-09	BURLINGTON NTH SANTA FE	1000	\$65,668.12	1000	\$65,668.12	0	\$0.00	\$1,483.54	\$1,483.54	EXPIRED	ST
S	20-Apr-09	CALLS BURLINGTON NTH SANTA FE APR 70 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,933.48	\$1,933.48	EXPIRED	ST
S	20-Apr-09	CALLS BURLINGTON NTH SANTA FE MAY 70 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,985.97	\$1,985.97	EXPIRED	ST
S	18-May-09	BURLINGTON NTH SANTA FE	1000	\$68,007.75	1000	\$68,007.75	0	\$0.00	\$4,375.93	\$4,375.93	ASSIGNED	ST
S	18-May-09	CALLS BURLINGTON NTH SANTA FE JUNE 70 00	20	\$0.00	20	\$0.00	0	\$0.00	\$6,821.04	\$6,821.04	21-Aug-09	ST
S	01-Jul-09	BURLINGTON NTH SANTA FE	1000	\$73,167.95	1000	\$73,167.95	0	\$0.00	\$1,163.51	\$1,163.51	EXPIRED	ST
S	01-Jul-09	CALLS BURLINGTON NTH SANTA FE JULY 75 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,533.51	\$1,533.51	ASSIGNED	ST
S	01-Jul-09	CALLS BURLINGTON NTH SANTA FE AUG 80 00	1000	\$34,328.40	1000	\$34,328.40	0	\$0.00	\$883.52	\$883.52	21-Aug-09	ST
S	01-Jul-09	CARBO CERAMICS, INC	5000	\$100,458.88	5000	\$100,458.88	0	\$0.00	\$953.51	\$953.51	EXPIRED	ST
S	05-May-09	CALLS CARBO CERAMICS INC JULY 35 00	50	\$0.00	50	\$0.00	0	\$0.00	\$2,953.47	\$2,953.47	EXPIRED	ST
S	05-May-09	CALLS CHESAPEAKE ENERGY MAY 22 50	50	\$0.00	50	\$0.00	0	\$0.00	\$1,203.51	\$1,203.51	EXPIRED	ST
S	18-May-09	CALLS CHESAPEAKE ENERGY JUNE 23 00	50	\$0.00	50	\$0.00	0	\$0.00	\$3,453.46	\$3,453.46	ASSIGNED	ST
S	01-Jul-09	CALLS CHESAPEAKE ENERGY JULY 21 00	50	\$0.00	50	\$0.00	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	20-Jul-09	CALLS CHESAPEAKE ENERGY AUG 21 00	50	\$0.00	50	\$0.00	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST

S	21-Sep-09	CHESAPEAKE ENERGY CORP	2000	\$54,847.90	2,000	\$54,847.90	0	\$0.00	\$55,989.61	\$1,341.71	16-Oct-09	ST
S	12-Oct-09	CALLS CHESAPEAKE ENERGY OCT 28 00	20	\$0.00	20	\$0.00	0	\$0.00	\$2,175.99	\$2,175.99	ASSIGNED	ST
S	21-Sep-09	CHESAPEAKE ENERGY CORP	2000	\$38,068.95	20	\$0.00	2000	\$59,068.95	\$0.00	\$0.00	EXPIRED	ST
S	12-Oct-09	CALLS CHESAPEAKE ENERGY OCT 29 00	20	\$0.00	20	\$0.00	0	\$0.00	\$1,378.01	\$1,378.01	EXPIRED	ST
S	21-Oct-09	CHESAPEAKE ENERGY CORP	2000	\$57,846.95	20	\$0.00	0	\$0.00	\$5,857.24	\$5,857.24	EXPIRED	ST
S	19-Oct-09	CALLS CHESAPEAKE ENERGY NOV 30 00	40	\$0.00	40	\$0.00	0	\$0.00	\$4,043.03	\$4,043.03	EXPIRED	ST
S	23-Nov-09	CALLS CHESAPEAKE ENERGY DEC 28 00	20	\$0.00	20	\$0.00	0	\$0.00	\$578.03	\$578.03	ASSIGNED	ST
S	21-Dec-09	CALLS CHESAPEAKE ENERGY JAN 2010-27 00	20	\$0.00	20	\$0.00	0	\$0.00	\$0.00	\$0.00	ASSIGNED	ST
S	23-Nov-09	CHESAPEAKE ENERGY CORP	1000	\$78,158.40	1000	\$78,158.40	0	\$0.00	\$1,283.51	\$1,283.51	EXPIRED	ST
S	23-Nov-09	CALLS CHEVRON CORP DEC 80 00	10	\$0.00	10	\$0.00	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	21-Dec-09	CALLS CHEVRON CORP JAN 2010-80 00	10	\$0.00	10	\$0.00	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	16-Apr-09	CLIFFS NATURAL RESOURCES, INC	2000	\$38,208.74	2,000	\$38,208.74	0	\$0.00	\$39,990.02	\$1,781.28	17-Apr-09	ST
S	16-Apr-09	CALLS CLIFFS NATURAL APR 20 00	20	\$0.00	20	\$0.00	0	\$0.00	\$378.03	\$378.03	ASSIGNED	ST
S	20-Apr-09	CLIFFS NATURAL RESOURCES, INC	2000	\$38,404.95	2,000	\$38,404.95	0	\$0.00	\$39,990.02	\$1,585.07	15-May-09	ST
S	20-Apr-09	CALLS CLIFFS NATURAL MAY 20 00	20	\$0.00	20	\$0.00	0	\$0.00	\$3,275.96	\$3,275.96	ASSIGNED	ST
S	05-May-09	CLIFFS NATURAL RESOURCES, INC	3000	\$82,178.49	3,000	\$82,178.49	0	\$0.00	\$7,993.52	\$4,184.87	21-Aug-09	ST
S	05-May-09	CALLS CLIFFS NATURAL MAY 30 00	30	\$0.00	30	\$0.00	0	\$0.00	\$2,068.49	\$2,068.49	EXPIRED	ST
S	18-May-09	CLIFFS NATURAL RESOURCES, INC	3000	\$88,398.95	3,000	\$88,398.95	0	\$0.00	\$77,963.52	\$11,594.57	21-Aug-09	ST
S	01-Jul-09	CALLS CLIFFS NATURAL JUNE 27 00	60	\$0.00	60	\$0.00	0	\$0.00	\$7,963.52	\$7,963.52	EXPIRED	ST
S	18-May-09	CALLS CLIFFS NATURAL JULY 27 00	60	\$0.00	60	\$0.00	0	\$0.00	\$3,845.94	\$3,845.94	EXPIRED	ST
S	20-Jul-09	CALLS CLIFFS NATURAL AUG 28 00	60	\$0.00	60	\$0.00	0	\$0.00	\$1,746.00	\$1,746.00	EXPIRED	ST
S	17-Sep-09	CLIFFS NATURAL RESOURCES, INC	5000	\$174,107.05	5,000	\$174,107.05	0	\$0.00	\$10,145.78	\$10,145.78	ASSIGNED	ST
S	12-Oct-09	CLIFFS NATURAL RESOURCES, INC	2000	\$72,722.72	2,000	\$72,722.72	0	\$0.00	\$9,561.28	\$9,561.28	ASSIGNED	ST
S	12-Oct-09	CALLS CLIFFS NATURAL OCT 37 00	20	\$0.00	20	\$0.00	0	\$0.00	\$1,278.01	\$1,278.01	ASSIGNED	ST
S	22-Sep-09	CLOROX COMPANY	3000	\$178,835.60	3,000	\$178,835.60	0	\$0.00	\$179,986.42	\$3,150.82	18-Dec-09	ST
S	22-Sep-09	CALLS CLOROX COMPANY OCT 80 00	25	\$0.00	25	\$0.00	0	\$0.00	\$2,213.28	\$2,213.28	EXPIRED	ST
S	19-Oct-09	CALLS CLOROX COMPANY NOV 80 00	30	\$0.00	30	\$0.00	0	\$0.00	\$2,218.49	\$2,218.49	EXPIRED	ST
S	23-Nov-09	COMPANHIA VALE DO ADR	1000	\$17,988.95	1,000	\$17,988.95	0	\$0.00	\$4,468.43	\$4,468.43	ASSIGNED	ST
S	16-May-09	CALLS COMPANHIA VALE ADR JUNE 19 00	10	\$0.00	10	\$0.00	0	\$0.00	\$16,990.56	\$991.81	21-Aug-09	ST
S	01-Jul-09	CALLS VALE SA ADR JULY 19 00	10	\$0.00	10	\$0.00	0	\$0.00	\$743.53	\$743.53	EXPIRED	ST
S	20-Jul-09	CALLS VALE SA ADR AUG 19 00	10	\$0.00	10	\$0.00	0	\$0.00	\$843.52	\$843.52	ASSIGNED	ST
S	23-Mar-09	CORNING, INC	5000	\$84,958.95	5,000	\$84,958.95	0	\$0.00	\$72,489.18	\$7,530.24	17-Apr-09	ST
S	30-Mar-09	CALLS CORNING INC APR 15 00	50	\$0.00	50	\$0.00	0	\$0.00	\$953.53	\$953.53	ASSIGNED	ST
S	30-Mar-09	CORNING INC	5000	\$64,158.95	5,000	\$64,158.95	0	\$0.00	\$72,489.18	\$8,330.23	17-Apr-09	ST
S	18-May-09	CORNING, INC	5000	\$70,808.95	5,000	\$70,808.95	0	\$0.00	\$1,453.54	\$1,453.54	ASSIGNED	ST
S	24-Jul-09	CALLS CORNING INC JUNE 15 00	50	\$0.00	50	\$0.00	0	\$0.00	\$74,889.12	\$4,180.17	19-Jun-09	ST
S	24-Jul-09	C R H PLC SPONSORED ADRF	500	\$13,421.60	500	\$13,421.60	0	\$0.00	\$2,203.48	\$2,203.48	ASSIGNED	ST
S	24-Nov-09	C R H PLC SPONSORED ADRF	500	\$10,643.95	500	\$10,643.95	0	\$0.00	\$14,594.14	\$1,172.54	21-Sep-09	ST
S	16-May-09	CALLS C S X CORP JUNE 31 00	10	\$0.00	1,000	\$28,187.95	0	\$0.00	\$3,950.19	\$3,950.19	21-Sep-09	ST
S	01-Jul-09	C S X CORP	1000	\$34,477.95	1,000	\$34,477.95	0	\$0.00	\$30,990.25	\$802.30	19-Jun-09	ST
S	20-Jul-09	CALLS C S X CORP JULY 35 00	10	\$0.00	1,000	\$34,477.95	0	\$0.00	\$583.53	\$583.53	ASSIGNED	ST
S	20-Jul-09	CTRP COM INTL LTD	1000	\$46,680.95	1,000	\$46,680.95	0	\$0.00	\$34,990.15	\$512.20	17-Jul-09	ST
S	21-Sep-09	CTRP COM INTL LTD	1800	\$104,714.70	1,900	\$104,714.70	0	\$0.00	\$1,233.51	\$1,233.51	ASSIGNED	ST
S	21-Sep-09	CALLS CTRIP COM INTL OCT 55 00	10	\$0.00	10	\$0.00	0	\$0.00	\$3,328.81	\$3,328.81	21-Aug-09	ST
S	21-Sep-09	CTRP COM INTL LTD	100	\$5,511.30	100	\$5,511.30	0	\$0.00	\$2,183.49	\$2,183.49	ASSIGNED	ST
S	19-Oct-09	CALLS CTRIP COM INTL OCT 60 00	10	\$0.00	100	\$5,511.30	0	\$0.00	\$4,764.57	\$4,764.57	16-Oct-09	ST
S	19-Oct-09	CTRP COM INTL LTD	2000	\$118,974.35	2,000	\$118,974.35	0	\$0.00	\$2,833.47	\$2,833.47	ASSIGNED	ST
S	01-Jul-09	DAWSON GEOPHYSICAL CO	1000	\$29,997.20	1000	\$29,997.20	0	\$0.00	\$5,490.90	\$5,490.90	15-Oct-09	ST
S	01-Jul-09	CALLS DAWSON GEOPHYSICAL JULY 30 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,033.52	\$1,033.52	ASSIGNED	ST
S	20-Jul-09	CALLS DAWSON GEOPHYSICAL AUG 30 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,013.61	\$1,013.61	20-Nov-09	ST
S	21-Sep-09	CALLS DAWSON GEOPHYSICAL OCT 30 00	10	\$0.00	10	\$0.00	0	\$0.00	\$6,775.87	\$6,775.87	ASSIGNED	ST
S	19-Oct-09	DAWSON GEOPHYSICAL NOV 30 00	10	\$0.00	10	\$0.00	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	12-Oct-09	DEVON ENERGY CP NEW	2000	\$139,264.80	2,000	\$139,264.80	0	\$0.00	\$1,383.51	\$1,383.51	EXPIRED	ST
S	12-Oct-09	CALLS DEVON ENERGY OCT 70 00	20	\$0.00	20	\$0.00	0	\$0.00	\$283.54	\$283.54	EXPIRED	ST
S	19-Oct-09	DEVON ENERGY CP NEW	2000	\$142,465.97	2000	\$142,465.97	0	\$0.00	\$883.52	\$883.52	EXPIRED	ST
S	21-Dec-09	CALLS DEVON ENERGY NOV 75 00	20	\$0.00	20	\$0.00	0	\$0.00	\$139,987.45	\$722.65	16-Oct-09	ST
S	12-Oct-09	CALLS DEVON ENERGY JAN 2010-75 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,867.04	\$1,867.04	ASSIGNED	ST
S	12-Oct-09	DOW CHEMICAL COMPANY	1000	\$28,188.95	1,000	\$28,188.95	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	12-Oct-09	CALLS DOW CHEMICAL OCT 26 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,575.98	\$2,575.98	EXPIRED	ST
S	15-Dec-09	DOW CHEMICAL COMPANY	1000	\$27,328.95	1000	\$27,328.95	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	15-Dec-09	CALLS DOW CHEMICAL DEC 28 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,012.16	\$2,012.16	19-Jun-09	ST
S	23-Feb-09	DRYSHIPS INC	2300	\$9,484.72	2,300	\$9,484.72	0	\$0.00	\$11,496.88	\$169.26	09-Jun-09	ST
S	23-Feb-09	DRYSHIPS INC	200	\$824.76	200	\$824.76	0	\$0.00	\$2,991.02	\$2,991.02	12-Jun-09	ST
S	23-Feb-09	DRYSHIPS INC	2500	\$10,309.48	2,500	\$10,309.48	0	\$0.00	\$12,490.72	\$2,181.24	16-Jun-09	ST
S	23-Feb-09	CALLS DRYSHIPS INC MAR 5 00	50	\$0.00	50	\$0.00	0	\$0.00	\$2,953.53	\$2,953.53	EXPIRED	ST
S	23-Mar-09	DRYSHIPS INC	5,000	\$23,758.95	5,000	\$23,758.95	0	\$0.00	\$24,993.23	\$1,234.28	19-Jun-09	ST

S	23-Mar-09	CALLS DRYSHIPS INC. JUNE 5 00	100	\$0.00	100	0	0	\$0.00	\$13,415.96	\$13,415.96	ASSIGNED	ST
S	21-Sep-09	DU PONT E I DE NEMOUR & CO	1,000	\$33,608.85	1,000	\$33,608.85	0	\$0.00	\$381.22	\$381.22	ASSIGNED	ST
S	15-Dec-09	CALLS DUPONT DE NEMOUR OCT 34 00	10	\$0.00	10	\$0.00	0	\$0.00	\$963.51	\$963.51	ASSIGNED	ST
S	15-Dec-09	CALLS DUPONT DE NEMOUR OCT 34 00	1,000	\$33,058.85	1,000	\$33,058.85	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	21-Dec-09	CALLS DUPONT DE NEMOUR JAN 2010--33 00	10	\$0.00	10	\$0.00	0	\$0.00	\$363.53	\$363.53	EXPIRED	ST
S	24-Sep-08	EASTMAN CHEMICAL CO	1,000	\$58,630.02	1,000	\$58,630.02	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	24-Nov-08	CALLS EASTMAN CHEMICAL JAN 09 30 00	10	\$0.00	10	\$0.00	0	\$0.00	\$29,980.88	\$29,980.88	ASSIGNED	ST
S	22-Dec-09	CALLS EASTMAN CHEMICAL FEB 40 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,233.54	\$1,233.54	EXPIRED	ST
S	20-Jan-09	CALLS EASTMAN CHEMICAL JUNE 35 00	10	\$316.45	10	\$316.45	0	\$0.00	\$33.54	\$33.54	EXPIRED	ST
S	23-Mar-09	CALLS EASTMAN CHEMICAL JUNE 45 00	10	\$0.00	10	\$0.00	0	\$0.00	\$163.54	\$163.54	EXPIRED	ST
S	18-May-09	CALLS EASTMAN CHEMICAL JUNE 45 00	10	\$0.00	10	\$0.00	0	\$0.00	\$533.53	\$533.53	EXPIRED	ST
S	21-Sep-09	EASTMAN CHEMICAL CO	1,000	\$54,275.95	1,000	\$54,275.95	0	\$0.00	\$54,994.11	\$54,994.11	EXPIRED	ST
S	24-Aug-09	CALLS EASTMAN CHEMICAL SEPT 60 00	10	\$0.00	10	\$0.00	0	\$0.00	\$363.53	\$363.53	EXPIRED	ST
S	21-Sep-09	CALLS EASTMAN CHEMICAL OCT 55 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,683.50	\$1,683.50	EXPIRED	ST
S	12-Oct-09	EASTMAN CHEMICAL CO	1,000	\$54,851.08	1,000	\$54,851.08	0	\$0.00	\$233.54	\$233.54	EXPIRED	ST
S	12-Oct-09	CALLS EASTMAN CHEMICAL OCT 55 00	10	\$0.00	10	\$0.00	0	\$0.00	\$633.52	\$633.52	EXPIRED	ST
S	19-Oct-09	CALLS EASTMAN CHEMICAL NOV 60 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,033.52	\$1,033.52	EXPIRED	ST
S	23-Nov-09	CALLS EASTMAN CHEMICAL DEC 60 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,683.50	\$1,683.50	EXPIRED	ST
S	21-Dec-09	CALLS EASTMAN CHEMICAL JAN 2010-60 00	10	\$0.00	10	\$0.00	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
W	09-Sep-08	ENI S P A SPON ADP	500	\$28,958.61	500	\$28,958.61	0	\$0.00	\$23,851.36	\$23,851.36	EXPIRED	LT
S	24-Nov-08	ENI S P A SPON ADP	500	\$22,448.95	500	\$22,448.95	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	15-Dec-09	EXCEED CO LTD	2,000	\$18,830.95	2,000	\$18,830.95	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	09-Apr-09	FIRST NATIONAL OF NEBRASKA INC	39	\$99,767.90	39	\$99,767.90	0	\$0.00	\$63,489.44	\$63,489.44	EXPIRED	ST
S	23-Nov-09	FIRST SOLAR INC	500	\$61,054.45	500	\$61,054.45	0	\$0.00	\$2,787.22	\$2,787.22	EXPIRED	ST
S	21-Sep-09	F M C CORP NEW	1,000	\$57,300.95	1,000	\$57,300.95	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	21-Sep-09	CALLS F M C CORP OCT 80 00	10	\$0.00	10	\$0.00	0	\$0.00	\$963.51	\$963.51	EXPIRED	ST
S	18-Oct-09	CALLS F M C CORP NOV 80 00	10	\$0.00	10	\$0.00	0	\$0.00	\$963.51	\$963.51	EXPIRED	ST
S	23-Nov-09	CALLS F M C CORP DEC 80 00	10	\$0.00	10	\$0.00	0	\$0.00	\$763.52	\$763.52	EXPIRED	ST
S	21-Dec-09	CALLS F M C CORP JAN 2010--60 00	10	\$0.00	10	\$0.00	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
W	21-Sep-09	FREEMPORT-MCMORAN COPPER	1,000	\$74,521.44	1,000	\$74,521.44	0	\$0.00	\$74,989.12	\$74,989.12	EXPIRED	LT
S	20-Jul-09	CALLS FREEMPORT-MCMORAN AUG 75 00	10	\$0.00	10	\$0.00	0	\$0.00	\$173.54	\$173.54	EXPIRED	ST
S	24-Aug-09	CALLS FREEMPORT-MCMORAN SEPT 75 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,173.51	\$1,173.51	EXPIRED	ST
S	24-Nov-09	FREEMPORT-MCMORAN COPPER	2,000	\$43,508.95	2,000	\$43,508.95	0	\$0.00	\$49,890.77	\$49,890.77	EXPIRED	ST
S	22-Dec-09	CALLS FREEMPORT-MCMORAN JAN 09 25 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,076.03	\$2,076.03	EXPIRED	ST
S	23-Feb-09	CALLS FREEMPORT-MCMORAN FEB 25 00	20	\$0.00	20	\$0.00	0	\$0.00	\$4,156.02	\$4,156.02	EXPIRED	ST
S	23-Feb-09	CALLS FREEMPORT-MCMORAN MAR 30 00	1,000	\$27,528.95	1,000	\$27,528.95	0	\$0.00	\$29,980.88	\$29,980.88	EXPIRED	ST
S	23-Mar-09	CALLS FREEMPORT-MCMORAN APR 55 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,533.54	\$1,533.54	EXPIRED	ST
S	20-Apr-09	CALLS FREEMPORT-MCMORAN MAY 20 00	10	\$0.00	10	\$0.00	0	\$0.00	\$243.54	\$243.54	EXPIRED	ST
S	18-May-09	CALLS FREEMPORT-MCMORAN JUNE 60 00	10	\$0.00	10	\$0.00	0	\$0.00	\$403.53	\$403.53	EXPIRED	ST
S	21-Sep-09	GENERAL CABLE CP DE NEW	2,000	\$33,076.90	2,000	\$33,076.90	0	\$0.00	\$393.53	\$393.53	EXPIRED	ST
S	20-Jan-09	CALLS GENERAL CABLE CORP FEB 17 50	20	\$0.00	20	\$0.00	0	\$0.00	\$1,393.51	\$1,393.51	EXPIRED	ST
S	23-Feb-09	CALLS GENERAL CABLE CORP MAR 17 50	20	\$0.00	20	\$0.00	0	\$0.00	\$3,990.85	\$3,990.85	EXPIRED	ST
S	23-Mar-09	CALLS GENERAL CABLE CORP APR 20 00	20	\$0.00	20	\$0.00	0	\$0.00	\$1,176.04	\$1,176.04	EXPIRED	ST
S	23-Mar-09	GENERAL ELECTRIC COMPANY	1,000	\$30,774.75	1,000	\$30,774.75	0	\$0.00	\$1,050.07	\$1,050.07	EXPIRED	ST
W	29-Mar-04	GENERAL ELECTRIC COMPANY	1,000	\$15,088.95	1,000	\$15,088.95	0	\$0.00	\$2,776.03	\$2,776.03	EXPIRED	LT
S	22-Dec-09	CALLS GENERAL ELECTRIC JAN 09 17 50	10	\$0.00	10	\$0.00	0	\$0.00	\$12,987.87	\$12,987.87	EXPIRED	ST
S	22-Dec-09	CALLS GENERAL ELECTRIC FEB 16 00	10	\$0.00	10	\$0.00	0	\$0.00	\$233.53	\$233.53	EXPIRED	ST
S	23-Mar-09	CALLS GENERAL ELECTRIC APR 12 00	5,000	\$51,158.45	5,000	\$51,158.45	0	\$0.00	\$5,244.07	\$5,244.07	EXPIRED	ST
S	30-Mar-09	CALLS GENERAL ELECTRIC APR 11 00	15,000	\$151,508.94	15,000	\$151,508.94	0	\$0.00	\$1,253.54	\$1,253.54	EXPIRED	ST
S	20-Apr-09	CALLS GENERAL ELECTRIC MAY 12 00	5,000	\$58,758.95	5,000	\$58,758.95	0	\$0.00	\$59,889.50	\$59,889.50	EXPIRED	ST
S	20-Apr-09	CALLS GENERAL ELECTRIC JUNE 14 00	3,000	\$40,388.95	3,000	\$40,388.95	0	\$0.00	\$2,803.47	\$2,803.47	EXPIRED	ST
S	18-May-09	CALLS GENERAL ELECTRIC JUNE 15 00	30	\$0.00	30	\$0.00	0	\$0.00	\$1,348.51	\$1,348.51	EXPIRED	ST
S	20-Jul-09	CALLS GENERAL ELECTRIC AUG 13 00	50	\$0.00	50	\$0.00	0	\$0.00	\$183.54	\$183.54	EXPIRED	ST
S	20-Jul-09	GILEAD SCIENCES INC	1,000	\$47,584.07	1,000	\$47,584.07	0	\$0.00	\$503.52	\$503.52	EXPIRED	ST
S	24-Aug-09	CALLS GILEAD SCIENCES AUG 50 00	10	\$0.00	10	\$0.00	0	\$0.00	\$583.53	\$583.53	EXPIRED	ST
S	21-Sep-09	CALLS GILEAD SCIENCES OCT 48 00	10	\$0.00	10	\$0.00	0	\$0.00	\$433.53	\$433.53	EXPIRED	ST
S	19-Oct-09	CALLS GILEAD SCIENCES NOV 47 50	10	\$0.00	10	\$0.00	0	\$0.00	\$733.53	\$733.53	EXPIRED	ST
S	23-Nov-09	CALLS GILEAD SCIENCES DEC 48 00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,083.52	\$1,083.52	EXPIRED	ST
S	21-Dec-09	CALLS GILEAD SCIENCES JAN 2010--47 00	10	\$0.00	10	\$0.00	0	\$0.00	\$633.53	\$633.53	EXPIRED	ST
S	06-Jan-09	GOLDCORP INC NEW	2,000	\$58,166.95	2,000	\$58,166.95	0	\$0.00	\$59,980.71	\$59,980.71	EXPIRED	ST
S	06-Jan-09	CALLS GOLDCORP INC JAN 32 50	20	\$123.95	20	\$123.95	0	\$0.00	\$576.04	\$576.04	EXPIRED	ST
S	12-Jan-09	CALLS GOLDCORP INC FEB 30 00	20	\$0.00	20	\$0.00	0	\$0.00	\$2,176.03	\$2,176.03	EXPIRED	ST
S	23-Feb-09	GOLDCORP INC NEW	1,000	\$31,007.95	1,000	\$31,007.95	0	\$0.00	\$32,480.86	\$32,480.86	EXPIRED	ST

S	23-Feb-09	CALLS GOLDCORP INC NEW MAR 32 50	10	\$0.00	10	\$0.00	0	\$0.00	\$1,483.54	\$1,483.54	ASSIGNED	ST
S	24-Sep-09	GOLDCORP INC NEW	1000	\$39,284.48	1000	\$39,284.48	0	\$0.00	\$40,989.99	\$1,695.51	16-Oct-09	ST
S	24-Sep-09	CALLS GOLDCORP INC NEW OCT 41 00	1000	\$39,284.48	1000	\$39,284.48	0	\$0.00	\$42,989.94	\$1,695.46	23-Nov-09	ST
S	24-Sep-09	CALLS GOLDCORP INC NEW OCT 42 50	10	\$0.00	10	\$0.00	0	\$0.00	\$1,083.52	\$1,083.52	ASSIGNED	ST
S	19-Oct-09	CALLS GOLDCORP INC NEW NOV 43 00	10	\$0.00	10	\$0.00	0	\$0.00	\$683.53	\$683.53	EXPIRED	ST
S	30-Mar-09	GRAHAM CORP	3000	\$26,228.95	3000	\$26,228.95	0	\$0.00	\$1,683.50	\$1,683.50	ASSIGNED	ST
S	01-Jul-09	HALLIBURTON CO HLDG CO	2500	\$51,783.95	2,500	\$51,783.95	0	\$0.00	\$33,327.18	\$7,100.21	08-Apr-09	ST
S	01-Jul-09	CALLS HALLIBURTON CO JULY 21 00	25	\$0.00	25	\$0.00	0	\$0.00	\$52,489.70	\$7,057.75	17-Jul-09	ST
S	31-Jul-09	HANSEN NATURAL CORP	1000	\$31,128.21	1,000	\$31,128.21	0	\$0.00	\$1,422.26	\$1,422.26	ASSIGNED	ST
S	31-Jul-09	CALLS HANSEN NATURAL CORP AUG 32 00	10	\$0.00	10	\$0.00	0	\$0.00	\$31,990.22	\$862.01	21-Aug-09	ST
S	23-Nov-09	HERSHEY COMPANY	2000	\$73,324.95	2,000	\$73,324.95	0	\$0.00	\$1,063.51	\$1,063.51	ASSIGNED	ST
S	23-Nov-09	CALLS THE HERSHEY CO DEC 40 00	20	\$0.00	20	\$0.00	0	\$0.00	\$176.04	\$176.04	EXPIRED	ST
S	21-Dec-09	CALLS THE HERSHEY CO JAN 2010-40 00	10	\$0.00	10	\$0.00	0	\$0.00	\$0.00	\$0.00	16-Oct-09	ST
S	12-Oct-09	HESS CORPORATION	2000	\$117,617.90	2,000	\$117,617.90	0	\$0.00	\$119,987.96	\$1,367.06	ASSIGNED	ST
S	12-Oct-09	CALLS HESS CORPORATION OCT 60 00	20	\$0.00	20	\$0.00	0	\$0.00	\$0.00	\$0.00	ASSIGNED	ST
S	01-Jul-09	HOUSTON WIRE & CABLE CO	20	\$0.00	20	\$0.00	0	\$0.00	\$876.02	\$876.02	EXPIRED	ST
S	01-Jul-09	CALLS HOUSTON WIRE & CABLE SEPT 12 50	20	\$0.00	20	\$0.00	0	\$0.00	\$176.04	\$176.04	EXPIRED	ST
S	24-Aug-09	CALLS HOUSTON WIRE & CABLE OCT 12 50	20	\$0.00	20	\$0.00	0	\$0.00	\$476.03	\$476.03	EXPIRED	ST
S	21-Sep-09	CALLS HOUSTON WIRE & CABLE NOV 12 50	20	\$0.00	20	\$0.00	0	\$0.00	\$676.03	\$676.03	EXPIRED	ST
S	19-Oct-09	CALLS HOUSTON WIRE & CABLE NOV 12 50	20	\$0.00	20	\$0.00	0	\$0.00	\$276.04	\$276.04	EXPIRED	ST
S	23-Nov-09	CALLS HOUSTON WIRE & CABLE DEC 12 50	20	\$0.00	20	\$0.00	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	21-Dec-09	CALLS HOUSTON WIRE & CABLE JAN 2010-12 50	20	\$0.00	20	\$0.00	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
W	24-Sep-09	INTREPID POTASH INC	1,000	\$33,494.34	1,000	\$33,494.34	0	\$0.00	\$22,485.40	\$10,988.94	20-Feb-09	ST
S	24-Sep-09	INTREPID POTASH INC	1,000	\$17,768.95	1,000	\$17,768.95	0	\$0.00	\$22,485.39	\$4,726.44	20-Feb-09	ST
S	22-Dec-09	CALLS INTREPID POTASH JAN 09 20 00	10	\$0.00	10	\$0.00	0	\$0.00	\$763.54	\$763.54	EXPIRED	ST
S	20-Jan-09	CALLS INTREPID POTASH FEB 22 50	10	\$0.00	10	\$0.00	0	\$0.00	\$1,476.04	\$1,476.04	ASSIGNED	ST
S	01-Apr-09	INTREPID POTASH INC	1,000	\$18,328.15	1,000	\$18,328.15	0	\$0.00	\$19,990.53	\$1,661.38	17-Apr-09	ST
S	01-Apr-09	CALLS INTREPID POTASH APR 20 00	10	\$0.00	10	\$0.00	0	\$0.00	\$633.54	\$633.54	ASSIGNED	ST
S	16-May-09	INTREPID POTASH INC	2,000	\$59,394.95	2,000	\$59,394.95	0	\$0.00	\$61,771.46	\$2,376.51	23-Nov-09	ST
S	16-May-09	CALLS INTREPID POTASH JUNE 30 00	20	\$0.00	20	\$0.00	0	\$0.00	\$4,575.93	\$4,575.93	EXPIRED	ST
S	01-Jul-09	CALLS INTREPID POTASH JULY 30 00	20	\$0.00	20	\$0.00	0	\$0.00	\$576.02	\$576.02	EXPIRED	ST
S	20-Jul-09	CALLS INTREPID POTASH AUG 30 00	20	\$0.00	20	\$0.00	0	\$0.00	\$676.03	\$676.03	EXPIRED	ST
S	24-Aug-09	CALLS INTREPID POTASH SEPT 28 00	20	\$0.00	20	\$0.00	0	\$0.00	\$176.02	\$176.02	EXPIRED	ST
S	21-Sep-09	CALLS INTREPID POTASH OCT 29 00	20	\$0.00	20	\$0.00	0	\$0.00	\$176.03	\$176.03	EXPIRED	ST
S	19-Oct-09	CALLS INTREPID POTASH NOV 30 00	20	\$0.00	20	\$0.00	0	\$0.00	\$1,176.01	\$1,176.01	EXPIRED	ST
S	19-Dec-09	INTUITIVE SURGICAL NEW	500	\$63,223.95	500	\$63,223.95	0	\$0.00	\$54,994.11	\$8,229.84	17-Apr-09	ST
S	19-Dec-09	CALLS INTUITIVE SURGICAL JAN 09 135 00	5	\$112.70	5	\$112.70	0	\$0.00	\$2,987.28	\$2,874.58	08-Jan-09	ST
S	08-Jan-09	CALLS INTUITIVE SURGICAL JAN 09 120 00	5	\$237.70	5	\$237.70	0	\$0.00	\$437.29	\$199.59	09-Jan-09	ST
S	20-Jan-09	INTUITIVE SURGICAL NEW	500	\$48,483.95	500	\$48,483.95	0	\$0.00	\$54,994.11	\$6,510.18	17-Apr-09	ST
S	20-Jan-09	CALLS INTUITIVE SURGICAL FEB 110 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,433.53	\$2,433.53	EXPIRED	ST
S	23-Feb-09	CALLS INTUITIVE SURGICAL MAR 110 00	10	\$0.00	10	\$0.00	0	\$0.00	\$3,683.52	\$3,683.52	EXPIRED	ST
S	23-Mar-09	CALLS INTUITIVE SURGICAL APR 110 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,863.53	\$2,863.53	ASSIGNED	ST
S	01-Jul-09	INTUITIVE SURGICAL NEW	300	\$49,122.55	300	\$49,122.55	0	\$0.00	\$49,489.77	\$367.22	21-Aug-09	ST
S	01-Jul-09	CALLS INTUITIVE SURGICAL JULY 165 00	3	\$0.00	3	\$0.00	0	\$0.00	\$1,428.76	\$1,428.76	EXPIRED	ST
S	20-Jul-09	CALLS INTUITIVE SURGICAL AUG 165 00	3	\$0.00	3	\$0.00	0	\$0.00	\$3,138.71	\$3,138.71	ASSIGNED	ST
W	24-Jul-09	JOHNSON & JOHNSON	500	\$34,829.22	500	\$34,829.22	0	\$0.00	\$29,986.99	\$4,832.23	20-Aug-09	LT
S	24-Nov-09	JOHNSON & JOHNSON	500	\$29,308.95	500	\$29,308.95	0	\$0.00	\$29,986.99	\$688.04	20-Aug-09	ST
S	22-Dec-09	CALLS JOHNSON & JOHNSON JAN 09 65 00	10	\$0.00	10	\$0.00	0	\$0.00	\$183.54	\$183.54	EXPIRED	ST
S	23-Feb-09	CALLS JOHNSON & JOHNSON FEB 65 00	10	\$0.00	10	\$0.00	0	\$0.00	\$33.54	\$33.54	EXPIRED	ST
S	23-Feb-09	CALLS JOHNSON & JOHNSON MAR 60 00	10	\$0.00	10	\$0.00	0	\$0.00	\$83.53	\$83.53	EXPIRED	ST
S	23-Mar-09	CALLS JOHNSON & JOHNSON APR 60 00	1000	\$55,358.05	1,000	\$55,358.05	0	\$0.00	\$33.54	\$33.54	EXPIRED	ST
S	16-May-09	JOHNSON & JOHNSON	20	\$0.00	20	\$0.00	0	\$0.00	\$59,993.98	\$4,635.93	20-Aug-09	ST
S	21-Sep-09	CALLS JOHNSON & JOHNSON JUNE 60 00	20	\$0.00	20	\$0.00	0	\$0.00	\$1,075.88	\$1,075.88	ASSIGNED	ST
S	20-Jul-09	CALLS JOHNSON & JOHNSON AUG 60 00	20	\$0.00	20	\$0.00	0	\$0.00	\$119,987.96	\$1,075.88	ASSIGNED	ST
S	03-Apr-09	KANSAS CITY SOUTHERN	2,000	\$121,348.95	2,000	\$121,348.95	0	\$0.00	\$119,987.96	\$1,075.88	ASSIGNED	ST
S	03-Apr-09	CALLS KANSAS CITY SOUTHERN APR 15 00	20	\$0.00	20	\$0.00	0	\$0.00	\$2,875.95	\$2,875.95	ASSIGNED	ST
S	03-Apr-09	CALLS KANSAS CITY SOUTHERN APR 29 00	20	\$0.00	20	\$0.00	0	\$0.00	\$1,051.71	\$1,051.71	17-Apr-09	ST
S	18-May-09	KANSAS CITY SOUTHERN	1,000	\$13,938.95	1,000	\$13,938.95	0	\$0.00	\$14,990.68	\$1,051.71	ASSIGNED	ST
S	18-May-09	CALLS KANSAS CITY SOUTHERN JUNE 17 50	10	\$0.00	10	\$0.00	0	\$0.00	\$283.54	\$283.54	ASSIGNED	ST
S	01-Jul-09	CALLS KANSAS CITY SOUTHERN JULY 17 50	1,000	\$15,082.95	1,000	\$15,082.95	0	\$0.00	\$17,490.60	\$2,387.65	17-Jul-09	ST
W	24-Jul-09	KRAFT FOODS INC	500	\$15,042.91	500	\$15,042.91	0	\$0.00	\$233.54	\$233.54	EXPIRED	ST
S	24-Nov-09	CALLS KRAFT FOODS FEB 30 00	10	\$0.00	10	\$0.00	0	\$0.00	\$533.54	\$533.54	EXPIRED	ST
S	23-Mar-09	CALLS KRAFT FOODS JUNE 27 50	10	\$0.00	10	\$0.00	0	\$0.00	\$63.54	\$63.54	EXPIRED	ST
S	20-Jul-09	CALLS KRAFT FOODS AUG 29 00	10	\$0.00	10	\$0.00	0	\$0.00	\$233.54	\$233.54	EXPIRED	ST
S	24-Aug-09	CALLS KRAFT FOODS SEPT 29 00	10	\$0.00	10	\$0.00	0	\$0.00	\$363.53	\$363.53	EXPIRED	ST
S	21-Sep-09	CALLS KRAFT FOODS OCT 28 00	10	\$0.00	10	\$0.00	0	\$0.00	\$63.54	\$63.54	EXPIRED	ST
S	19-Oct-09	CALLS KRAFT FOODS NOV 28 00	10	\$0.00	10	\$0.00	0	\$0.00	\$283.54	\$283.54	EXPIRED	ST
S	23-Nov-09	CALLS KRAFT FOODS DEC 28 00	10	\$0.00	10	\$0.00	0	\$0.00	\$233.54	\$233.54	EXPIRED	ST
S	21-Dec-09	CALLS KRAFT FOODS JAN 2010-27 50	10	\$0.00	10	\$0.00	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	20-Jul-09	LIFE PARTNERS HOLDINGS	3,000	\$53,662.32	3,000	\$53,662.32	0	\$0.00	\$51,739.72	\$1,922.60	16-Oct-09	ST
S	24-Aug-09	CALLS LIFE PARTNERS SEPT 17 50	30	\$0.00	30	\$0.00	0	\$0.00	\$2,518.48	\$2,518.48	EXPIRED	ST

S	21-Sep-09	CALLS LIFE PARTNERS OCT 17 25	30	\$0.00	30	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	31-Mar-09	LINDSAY CORPORATION	1000	\$28,752.95	1000	\$28,752.95	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	31-Mar-09	CALLS LINDSAY CORPORATION APR 30 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	03-Apr-09	MASSEY ENERGY CORP	1000	\$11,278.95	1000	\$11,278.95	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	03-Apr-09	MASSEY ENERGY CORP APR 12 50	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	20-Apr-09	MASSEY ENERGY CORP MAY 12 50	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
W	06-Sep-08	MEDTRONIC INC	500	\$27,568.63	500	\$27,568.63	500	\$27,568.63	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	24-Nov-08	MEDTRONIC INC	500	\$15,648.95	500	\$15,648.95	500	\$15,648.95	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	22-Dec-08	CALLS MEDTRONIC INC JAN 09 35 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	22-Dec-08	CALLS MEDTRONIC INC FEB 37 50	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	16-May-09	CALLS MEDTRONIC INC JUNE 38 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	01-Jul-09	CALLS MEDTRONIC INC JULY 35 00	5	\$0.00	5	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	20-Jul-09	CALLS MEDTRONIC INC AUG 35 00	5	\$0.00	5	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	24-Aug-09	CALLS MEDTRONIC INC SEPT 42 00	5	\$0.00	5	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	21-Sep-09	MEDTRONIC INC	1000	\$37,445.97	1000	\$37,445.97	1000	\$37,445.97	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	21-Sep-09	MEDTRONIC INC	500	\$18,723.98	500	\$18,723.98	500	\$18,723.98	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	21-Sep-09	CALLS MEDTRONIC INC OCT 40 00	20	\$0.00	20	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	19-Oct-09	CALLS MEDTRONIC INC NOV 37 50	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	23-Nov-09	CALLS MEDTRONIC INC DEC 44 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	21-Dec-09	CALLS MEDTRONIC INC JAN 2010--45 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	07-May-09	METLIFE INC	1000	\$32,888.95	1000	\$32,888.95	1000	\$32,888.95	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	07-May-09	CALLS METLIFE INC MAY 35 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	18-May-09	CALLS METLIFE INC JUNE 34 00	1000	\$30,277.95	1000	\$30,277.95	1000	\$30,277.95	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	01-Jul-09	CALLS METLIFE INC JULY 32 00	20	\$0.00	20	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	20-Jul-09	CALLS METLIFE INC AUG 32 00	20	\$0.00	20	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
W	24-Sep-08	MOSIAC COMPANY	1000	\$58,723.98	1000	\$58,723.98	1000	\$58,723.98	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	20-Jul-09	CALLS MOSIAC COMPANY AUG 60 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	24-Aug-09	CALLS MOSIAC COMPANY SEPT 60 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	21-Sep-09	CALLS MOSIAC COMPANY OCT 65 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	19-Oct-09	CALLS MOSIAC COMPANY NOV 60 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	23-Nov-09	CALLS MOSIAC COMPANY DEC 60 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	21-Dec-09	CALLS MOSIAC COMPANY JAN 2010--45 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	16-Jan-09	MOSIAC COMPANY	2000	\$71,525.15	2000	\$71,525.15	2000	\$71,525.15	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	16-Jan-09	CALLS MOSIAC CO FEB 35 00	20	\$0.00	20	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	23-Mar-09	MOSIAC COMPANY	1000	\$43,757.95	1000	\$43,757.95	1000	\$43,757.95	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	23-Mar-09	CALLS MOSIAC CO APR 45 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	20-Apr-09	CALLS MOSIAC COMPANY MAY 45 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	18-May-09	CALLS MOSIAC COMPANY JUNE 75 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
W	12-Sep-08	NEWMONT MINING CORP	1000	\$40,006.03	1000	\$40,006.03	1000	\$40,006.03	\$2,218.48	\$2,218.48	ASSIGNED	ST
W	22-Dec-08	CALLS NEWMONT MINING JAN 09 40 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	20-Jan-09	CALLS NEWMONT MINING FEB 42 50	1000	\$43,278.95	1000	\$43,278.95	1000	\$43,278.95	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	23-Feb-09	CALLS NEWMONT MINING MAR 45 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	23-Feb-09	CALLS NEWMONT MINING APR 45 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	20-Apr-09	CALLS NEWMONT MINING MAY 45 00	4000	\$157,166.95	4000	\$157,166.95	4000	\$157,166.95	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	16-May-09	CALLS NEWMONT MINING JUNE 44 00	50	\$0.00	50	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	01-Jul-09	CALLS NEWMONT MINING JULY 45 00	50	\$0.00	50	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	20-Jul-09	CALLS NEWMONT MINING AUG 44 00	50	\$0.00	50	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	24-Aug-09	CALLS NEWMONT MINING SEPT 42 50	50	\$0.00	50	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	01-Jul-09	NETGEAR INC	1000	\$14,757.75	1000	\$14,757.75	1000	\$14,757.75	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	01-Jul-09	CALLS NETGEAR INC JULY 15 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	20-Jul-09	NOVAVAX INC	5000	\$15,058.95	5000	\$15,058.95	5000	\$15,058.95	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	09-Apr-09	NUCOR CORP	1000	\$42,318.95	1000	\$42,318.95	1000	\$42,318.95	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	01-Jul-09	CALLS NUCOR CORP APR 42 50	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	01-Jul-09	CALLS NUCOR CORP JULY 45 00	25	\$0.00	25	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	01-Jul-09	CALLS NUCOR CORP AUG 46 00	1500	\$68,898.45	1500	\$68,898.45	1500	\$68,898.45	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	15-Dec-08	CALLS NUCOR CORP DEC 44 00	40	\$0.00	40	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	21-Dec-09	CALLS NUCOR CORP JAN 2010--45 00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	12-Oct-09	OCCEANAL PETE CORP	2000	\$161,194.21	2000	\$161,194.21	2000	\$161,194.21	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	18-Oct-09	CALLS OCCEANAL PETE OCT 80 00	500	\$0.00	500	\$0.00	500	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	12-Oct-09	CALLS OCCEANAL PETE JAN 2010--80 00	20	\$0.00	20	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	21-Dec-09	OCCEANAL PETE CORP	15	\$0.00	15	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	19-Oct-09	OCCEANAL PETE CORP	2500	\$208,733.15	2500	\$208,733.15	2500	\$208,733.15	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	18-Oct-09	CALLS OCCEANAL PETE NOV 85 00	30	\$0.00	30	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	23-Nov-09	CALLS OCCEANAL PETE DEC 85 00	30	\$0.00	30	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	21-Dec-09	CALLS OCCEANAL PETE JAN 2010--45 00	15	\$0.00	15	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	05-May-09	OTTER TAIL CORPORATION	2000	\$41,728.91	2000	\$41,728.91	2000	\$41,728.91	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	05-May-09	CALLS OTTER TAIL JUNE 22 50	20	\$0.00	20	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST
S	01-Jul-09	CALLS OTTER TAIL JULY 22 50	20	\$0.00	20	\$0.00	0	\$0.00	\$2,218.48	\$2,218.48	ASSIGNED	ST

S	21-Dec-08	PEABODY ENERGY CORP	5000	\$228,285.90	25	\$0.00	0	\$0.00	\$0.00	\$0.00	ST	
S	21-Dec-08	CALLS PEABODY ENERGY JAN 2010-45.00	25	\$0.00	25	\$0.00	0	\$0.00	\$0.00	\$0.00	ST	
S	21-Dec-08	CALLS PEABODY ENERGY JAN 2010-48.00	25	\$0.00	25	\$0.00	0	\$0.00	\$0.00	\$0.00	ST	
S	05-May-08	PETROHAWK ENERGY CORP	2000	\$50,166.95	2000	\$50,166.95	0	\$0.00	\$31,989.71	\$1,822.78	18-Oct-09	ST
S	05-May-08	CALLS PETROHAWK ENERGY MAY 28.00	20	\$0.00	20	\$0.00	0	\$0.00	\$376.03	\$376.03	EXPIRED	ST
S	18-May-08	CALLS PETROHAWK ENERGY JUNE 28.00	20	\$0.00	20	\$0.00	0	\$0.00	\$1,076.02	\$1,076.02	EXPIRED	ST
S	20-Jul-08	CALLS PETROHAWK ENERGY AUG. 25.00	20	\$0.00	20	\$0.00	0	\$0.00	\$1,276.01	\$1,276.01	EXPIRED	ST
S	24-Aug-08	CALLS PETROHAWK ENERGY SEPT. 28.00	20	\$0.00	20	\$0.00	0	\$0.00	\$376.03	\$376.03	EXPIRED	ST
S	21-Sep-08	CALLS PETROHAWK ENERGY OCT. 28.00	20	\$0.00	20	\$0.00	0	\$0.00	\$576.03	\$576.03	ASSIGNED	ST
S	12-Oct-08	PETROLEO BRASILEIRO	1000	\$48,665.95	1000	\$48,665.95	0	\$0.00	\$48,989.79	\$323.84	18-Oct-09	ST
S	12-Oct-08	CALLS PETROLEO BRASILEIRO OCT. 48.00	10	\$0.00	10	\$0.00	0	\$0.00	\$583.53	\$583.53	ASSIGNED	ST
W	24-Sep-08	PHILIP MORRIS INTERNATIONAL	1000	\$49,940.08	1000	\$49,940.08	0	\$0.00	\$43,989.91	-\$5,950.17	21-Aug-09	ST
W	22-Dec-08	CALLS PHILIP MORRIS JAN. 09. 45.00	10	\$0.00	10	\$0.00	0	\$0.00	\$333.54	\$333.54	EXPIRED	ST
S	20-Jan-08	CALLS PHILIP MORRIS FEB. 45.00	10	\$0.00	10	\$0.00	0	\$0.00	\$533.54	\$533.54	EXPIRED	ST
S	20-Jan-08	CALLS PHILIP MORRIS AUG. 44.00	10	\$0.00	10	\$0.00	0	\$0.00	\$1,033.52	\$1,033.52	ASSIGNED	ST
S	23-Feb-08	PHILIP MORRIS INTERNATIONAL	1000	\$35,537.95	1000	\$35,537.95	0	\$0.00	\$38,990.04	\$3,452.09	13-Mar-09	ST
S	23-Feb-08	CALLS PHILIP MORRIS MAR. 40.00	20	\$0.00	20	\$0.00	0	\$0.00	\$176.04	\$176.04	EXPIRED	ST
S	23-Mar-08	CALLS PHILIP MORRIS APR. 40.00	20	\$0.00	20	\$0.00	0	\$0.00	\$876.04	\$876.04	EXPIRED	ST
S	20-Apr-08	CALLS PHILIP MORRIS MAY 39.00	10	\$0.00	10	\$0.00	0	\$0.00	\$533.53	\$533.53	ASSIGNED	ST
S	23-Nov-08	PHILIP MORRIS INTERNATIONAL	1000	\$50,107.95	1000	\$50,107.95	0	\$0.00	\$983.52	\$983.52	EXPIRED	ST
S	23-Nov-08	CALLS PHILIP MORRIS DEC. 50.00	10	\$0.00	10	\$0.00	0	\$0.00	\$29,990.27	\$61.32	17-Apr-09	ST
S	21-Dec-08	CALLS PHILIP MORRIS JAN. 2010-50.00	1000	\$29,928.95	1000	\$29,928.95	0	\$0.00	\$833.52	\$833.52	ASSIGNED	ST
S	09-Apr-08	PLUM CREEK TIMBER CO	1000	\$0.00	10	\$0.00	0	\$0.00	\$59,989.50	\$1,000.55	18-Oct-09	ST
S	21-Sep-08	CALLS PLUM CREEK TIMBER APR. 30.00	1000	\$58,988.95	1000	\$58,988.95	0	\$0.00	\$1,583.50	\$1,583.50	ASSIGNED	ST
S	21-Sep-08	P P G INDUSTRIES INC	10	\$0.00	10	\$0.00	0	\$0.00	\$27,494.82	-\$8,853.23	18-Sep-09	ST
W	22-Sep-08	PROCTER & GAMBLE	500	\$34,348.05	500	\$34,348.05	0	\$0.00	\$187.26	\$187.26	EXPIRED	ST
W	22-Sep-08	CALLS PROCTER & GAMBLE JAN. 09. 65.00	5	\$0.00	5	\$0.00	0	\$0.00	\$27,494.81	-\$1,428.64	18-Sep-09	ST
S	16-Jan-09	PROCTER & GAMBLE	500	\$28,923.45	500	\$28,923.45	0	\$0.00	\$533.54	\$533.54	EXPIRED	ST
S	20-Jan-09	CALLS PROCTER & GAMBLE FEB. 62.50	10	\$0.00	10	\$0.00	0	\$0.00	\$283.54	\$283.54	ASSIGNED	ST
S	23-Mar-08	CALLS PROCTER & GAMBLE APR. 50.00	10	\$0.00	10	\$0.00	0	\$0.00	\$533.53	\$533.53	ASSIGNED	ST
S	24-Mar-08	CALLS PROCTER & GAMBLE SEPT. 55.00	10	\$0.00	10	\$0.00	0	\$0.00	\$49,989.78	\$2,471.81	17-Apr-09	ST
S	30-Mar-08	PROCTER & GAMBLE	1000	\$47,517.95	1000	\$47,517.95	0	\$0.00	\$483.54	\$483.54	EXPIRED	ST
S	30-Mar-08	CALLS PROCTER & GAMBLE APR. 50.00	10	\$0.00	10	\$0.00	0	\$0.00	\$114,988.08	\$918.18	20-Nov-09	ST
S	21-Sep-08	PROCTER & GAMBLE	2000	\$114,088.81	2000	\$114,088.81	0	\$0.00	\$1,876.00	\$1,876.00	EXPIRED	ST
S	21-Sep-08	CALLS PROCTER & GAMBLE OCT. 57.50	20	\$0.00	20	\$0.00	0	\$0.00	\$2,375.98	\$2,375.98	ASSIGNED	ST
S	19-Oct-08	CALLS PROCTER & GAMBLE NOV. 57.50	20	\$0.00	20	\$0.00	0	\$0.00	\$2,375.98	\$2,375.98	ASSIGNED	ST
S	23-Nov-08	PROCTER & GAMBLE	200	\$125,868.95	200	\$125,868.95	0	\$0.00	\$2,595.98	\$2,595.98	EXPIRED	ST
S	23-Nov-08	CALLS PROCTER & GAMBLE DEC. 62.50	20	\$0.00	20	\$0.00	0	\$0.00	\$2,595.98	\$2,595.98	EXPIRED	ST
S	21-Dec-08	CALLS PROCTER & GAMBLE JAN. 2010-62.50	20	\$0.00	20	\$0.00	0	\$0.00	\$2,768.10	\$2,768.10	19-Jun-09	ST
S	05-May-08	QUICKSILVER RESOURCES	2500	\$22,222.30	2,500	\$22,222.30	0	\$0.00	\$24,989.40	\$2,768.10	17-Jul-09	ST
S	05-May-08	CALLS QUICKSILVER MAY 10.00	50	\$0.00	50	\$0.00	0	\$0.00	\$953.51	\$953.51	EXPIRED	ST
S	18-May-08	CALLS QUICKSILVER JUNE 10.00	25	\$0.00	25	\$0.00	0	\$0.00	\$2,722.22	\$2,722.22	ASSIGNED	ST
S	18-May-08	CALLS QUICKSILVER JUNE 12.50	25	\$0.00	25	\$0.00	0	\$0.00	\$2,722.22	\$2,722.22	EXPIRED	ST
S	01-Jul-08	CALLS QUICKSILVER JULY 10.00	25	\$0.00	25	\$0.00	0	\$0.00	\$597.26	\$597.26	ASSIGNED	ST
S	20-Jul-08	RANDGOLD RES LTD ADR	1000	\$65,525.95	1,000	\$65,525.95	0	\$0.00	\$64,989.37	-\$536.58	18-Sep-09	ST
S	21-Sep-08	RANDGOLD RES LTD ADR	1000	\$65,525.95	1,000	\$65,525.95	0	\$0.00	\$69,989.25	\$4,463.30	18-Oct-09	ST
S	20-Jul-08	CALLS RANDGOLD RES LTD AUG. 65.00	10	\$0.00	10	\$0.00	0	\$0.00	\$4,183.44	\$4,183.44	EXPIRED	ST
S	20-Jul-08	CALLS RANDGOLD RES LTD AUG. 70.00	10	\$0.00	10	\$0.00	0	\$0.00	\$2,083.49	\$2,083.49	EXPIRED	ST
S	24-Aug-08	CALLS RANDGOLD RES LTD SEPT. 65.00	10	\$0.00	10	\$0.00	0	\$0.00	\$733.53	\$733.53	ASSIGNED	ST
S	21-Sep-08	CALLS RANDGOLD RES LTD OCT. 70.00	10	\$0.00	10	\$0.00	0	\$0.00	\$4,483.43	\$4,483.43	ASSIGNED	ST
S	21-Sep-08	RESEARCH IN MOTION LTD F	1000	\$83,873.45	1000	\$83,873.45	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	21-Sep-08	CALLS RESEARCH IN MOTION OCT. 65.00	5	\$0.00	5	\$0.00	0	\$0.00	\$2,412.23	\$2,412.23	EXPIRED	ST
S	21-Sep-08	CALLS RESEARCH IN MOTION OCT. 90.00	5	\$0.00	5	\$0.00	0	\$0.00	\$1,482.26	\$1,482.26	EXPIRED	ST
S	19-Oct-08	CALLS RESEARCH IN MOTION NOV. 80.00	10	\$0.00	10	\$0.00	0	\$0.00	\$233.54	\$233.54	EXPIRED	ST
S	23-Nov-08	CALLS RESEARCH IN MOTION DEC. 75.00	10	\$0.00	10	\$0.00	0	\$0.00	\$453.53	\$453.53	EXPIRED	ST
S	17-Dec-08	PITS RESEARCH IN MOTION DEC. 65.00	10	\$0.00	10	\$0.00	0	\$0.00	-\$3,358.45	-\$3,358.45	EXPIRED	ST
S	03-Dec-08	CALLS RESEARCH IN MOTION JAN. 2010-43.375	1000	\$212,567.03	1000	\$212,567.03	0	\$0.00	\$0.00	\$0.00	EXPIRED	ST
S	03-Dec-08	CALLS RIO TINTO PLC DEC. 210.00	5	\$0.00	5	\$0.00	0	\$0.00	\$4,637.16	\$4,637.16	EXPIRED	ST
S	03-Dec-08	CALLS RIO TINTO PLC DEC. 220.00	5	\$0.00	5	\$0.00	0	\$0.00	\$2,437.23	\$2,437.23	EXPIRED	ST
W	13-Jan-08	ROYAL DUTCH PETROLEUM CO.	1000	\$0.00	1000	\$0.00	0	\$0.00	\$44,989.89	\$19,418.36	06-May-09	LT
S	20-Jan-08	CALLS ROYAL DUTCH FEB. 55.00	10	\$0.00	10	\$0.00	0	\$0.00	\$433.54	\$433.54	EXPIRED	ST
S	23-Feb-08	CALLS ROYAL DUTCH MAR. 50.00	10	\$0.00	10	\$0.00	0	\$0.00	\$833.54	\$833.54	EXPIRED	ST
S	23-Mar-08	CALLS ROYAL DUTCH APR. 50.00	10	\$0.00	10	\$0.00	0	\$0.00	\$483.54	\$483.54	EXPIRED	ST
S	20-Apr-08	CALLS ROYAL DUTCH MAY 45.00	10	\$0.00	10	\$0.00	0	\$0.00	\$483.53	\$483.53	ASSIGNED	ST
S	20-Apr-08	ROYAL GOLD INC	4800	\$175,637.71	4,800	\$175,637.71	0	\$0.00	\$191,988.11	\$16,348.40	19-Jun-09	ST
S	20-Apr-08	CALLS ROYAL GOLD MAY 40.00	200	\$7,318.24	200	\$7,318.24	0	\$0.00	\$972.60	\$972.60	15-May-09	ST
S	20-Apr-08	CALLS ROYAL GOLD JUNE 40.00	50	\$0.00	50	\$0.00	0	\$0.00	\$4,453.43	\$4,453.43	EXPIRED	ST
S	18-May-08	CALLS ROYAL GOLD JULY 40.00	48	\$0.00	48	\$0.00	0	\$0.00	\$10,274.77	\$10,274.77	ASSIGNED	ST
S	27-Aug-08	SINOVAC BIOTECH LTD ORDF	2500	\$14,754.48	2,500	\$14,754.48	0	\$0.00	\$3,986.08	\$3,986.08	18-Sep-09	ST
S	27-Aug-08	SINOVAC BIOTECH LTD ORDF	2500	\$14,754.48	2,500	\$14,754.48	0	\$0.00	\$18,740.56	\$3,986.08	18-Sep-09	ST

Kinder Porter Scott Family Foundation

Part XV Supplementary Information - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and Address	If Recipient is an individual, show any relationship to any foundation manager or substantial contributor.	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount
Kansas State University Veterinary Medical Teaching Hospital 1800 Denison Ave. Manhattan, KS 66506		Public	Surgical Support	\$2,948
Lincoln Community Foundation 215 Centennial Mall South, Suite 100 Lincoln, NE 68508		Public	Thomas Woods Jr. Designated Fund and Operations Fund	\$350
Haydon Art Center 335 N. 8 th St., Suite A Lincoln, NE 68508		Public	Puppetry Project	\$505
University of Nebraska Foundation 1010 Lincoln Mall Lincoln, NE 68508		Public	Memorial and General Support	\$2,100
The Holy Spirit Adoration Sisters 1040 South Cotner Blvd. Lincoln, NE 68510		Public	Memorial	\$250
Young Life Lincoln P.O. Box 6442 Lincoln, NE 68506		Public	General Support	\$1,500
Pius X Foundation 6000 A Street Lincoln, NE 68510		Public	Memorial	\$50

Recipient Name and Address	If Recipient is an individual, show any relationship to any foundation manager or substantial contributor.	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount
First Baptist Nixa 601 West Wasson Drive Nixa, MO 65714		Public	Memorial	\$50
United Methodist Church 341 W. 7 th Ave. Red Cloud, NE 68970		Public	General Support	\$100
Saunders Medical Foundation 1760 County Road J Wahoo, NE 68066		Public	General Support	\$50
Christ Central Church of Lincoln 233 S. 13 th St., #1900 Lincoln, NE 68508		Public	General Support	\$13,500
Food Bank of Lincoln 4840 Doris Bair Cr., #A Lincoln, NE 68504		Public	General Support	\$5,000
Beatrice Wrestling Club P.O. Box 949 Beatrice, NE 68310		Public	General Support	\$5,725
American Cancer Society 5733 South 34 th Street Lincoln, NE 68516		Public	General Support	\$1,000
Child Advocacy Center 3200 Sumner Street Lincoln, NE 68502		Public	Building A Promise Campaign	\$18,000
YMCA 1039 P Street Lincoln, NE 68508		Public	General Support	\$1,500
SPCA International P.O. Box 1230 Washington, D.C. 20013		Public	General Support	\$5,000

Recipient Name and Address	If Recipient is an individual, show any relationship to any foundation manager or substantial contributor.	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount
Golf Course Builders Association of America Foundation 727 O Street Lincoln, NE 68508		Public	General Support	\$2,000
Dick Spangler Golf Scholarship 26484 W. 144 th Ct. Olathe, KS 66061		Public	General Support	\$760
St. Francis of Assisi Catholic Church 7075 Ashworth Road West Des Moines, IA 50266		Public	General Support	\$6,000
Teammates Mentoring Program 6801 O Street Lincoln, NE 68510		Public	Tailgate Gala	\$1,000
TADA Productions, Inc. 701 P Street, Suite Lincoln, NE 68508		Public	TADA Theatre	\$1,000
The Turtle Hospital 2396 Overseas Hwy Marathon, FL 33050		Public	General Support	\$5,000
War Dogs Memorial Fund 760 East Parkridge Ave. Corona, CA 92879		Public	Memorial	\$100
Saint Elizabeth Foundation 6900 L Street, Suite 100 Lincoln, NE 68510		Public	Respiratory Care General	\$20,000
2010 USA National Games 7600 North 70 th Street Lincoln, NE 68517		Public	2010 Games	\$8,334

Recipient Name and Address	If Recipient is an individual, show any relationship to any foundation manager or substantial contributor.	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount
Beatrice Baseball Boosters 2009 Fairway Dr. Beatrice, NE 68310		Public	General Support	\$5,000
Coleridge Community Educational Foundation P.O. Box 11 Coleridge, NE 68727		Public	General Support	\$2,500
Clyde Malone Community Center 2032 U Street Lincoln, NE 68503		Public	General Support	\$1,000
American Baptist Homes of the Midwest 14850 Scenic Heights Rd, #125 Eden Prairie, MN 55344		Public	Tudor Oaks Retirement Community	\$100
The Lincoln Children's Zoo 1222 S. 27 th Street Lincoln, NE 68502		Public	General Support	\$10,500
UNL Garden Friends P.O. Box 81501 Lincoln, NE 68501		Public	General Support	\$1,000
Foundation for Lincoln Public Schools 5901 O Street Lincoln, NE 68510		Public	Lincoln Youth Symphony	\$5,800
Junior Achievement 285 So. 68 th St. Place, #309 Lincoln, NE 68510		Public	General Support	\$1,000
Lincoln Haymarket Development Corp. 335 N. 8 th Street, Suite B Lincoln, NE 68508		Public	Cork & Ale Festival	\$500

Recipient Name and Address	If Recipient is an individual, show any relationship to any foundation manager or substantial contributor.	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount
Lincoln Children's Museum 1420 P Street Lincoln, NE 68508		Public	General Support	\$500
The Salvation Army 2625 Potter Street P.O. Box 30232 Lincoln, NE 68503		Public	General Support	\$2,000
Lincoln Arts Council 920 O Street Lincoln, NE 68508		Public	General Support	\$2,000
Capital Humane Society 2320 Park Boulevard Lincoln, NE 68502		Public	General Support	\$3,250
Lincoln Midwest Ballet Guild P.O. Box 5154 Lincoln, NE 68505-5154		Public	Backstage at the Ballet	\$2,110
Madonna Foundation 5401 South Street Lincoln, NE 68506		Public	General Support	\$200
NE Human Resources Research Foundation, Inc. 245 Mabel Lee Hall Lincoln, NE 68588		Public	General Support	\$2,836
First Plymouth Congregational Church 2000 D Street Lincoln, NE 68502		Public	General Support	\$2,500
March of Dimes 315 S. 9 th St., Ste. 214 Lincoln, NE 68508		Public	March for Babies	\$500

Recipient Name and Address	If Recipient is an individual, show any relationship to any foundation manager or substantial contributor.	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount
JDRF 2120 S. 56 th St., Ste. 206 Lincoln, NE 68506		Public	General Support	\$750
Bright Lights 5561 S. 48 th St., Ste. 220 Lincoln, NE 68516		Public	General Support	\$7,125
Max Fund Animal Adoption Center 1025 Galapago St. Denver, CO 80204		Public	General Support	\$250
Doctors Without Borders 333 7 th Avenue, 2 nd Floor New York, NY 10001-5004		Public	General Support	\$250
EMPOCO 10270 Rotherwood Circle Highlands Ranch, CO 80130		Public	General Support	\$500
Nebraska Boys Ranch P.O. Box 639 Alliance, NE 69301		Public	General Support	\$250
Clinic with a Heart P.O. Box 22851 Lincoln, NE 68542		Public	General Support	\$500
The Cather Foundation 413 North Webster Street Red Cloud, NE 68970		Public	General Support	\$1,000
Nebraska MET Auditions 2990 Sheridan Blvd. Lincoln, NE 68502		Public	General Support	\$500
Downtown Lincoln Foundation 206 S. 13 th St. Ste 101		Public	Star City Holiday Parade	\$1,000

Recipient Name and Address	If Recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount
Lincoln, NE 68508				
Foundation for Lincoln City Libraries 3760 F Street Lincoln, NE 68510		Public	General Support	\$250
Faith-Westwood UMC K9 Ambassadors c/o Faith-Westwood United Methodist Church 4814 Oaks Lane Omaha, NE 68137		Public	General Support	\$2,500
Lincoln Community Playhouse 2500 S. 56 th Street Lincoln, NE 68506		Public	General Support	\$2,000
Alzheimer's Association 225 N. Michigan Ave., Fl. 17 Chicago, IL 60601-7633		Public	General Support	\$100
			TOTAL:	\$162,093