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# Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

**2009**Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation <b>ROGER AND SUSAN STONE FAMILY FOUNDATION</b>		A Employer identification number <b>23-7026711</b>
	C/O ROGER STONE		B Telephone number <b>847-239-8831</b>
	Number and street (or P O box number if mail is not delivered to street address) <b>1101 SKOKIE BLVD STE 300</b>	Room/suite	C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
City or town, state, and ZIP code <b>NORTHBROOK, IL 60062</b>			
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 19,635,946.</b>		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		13,361.	13,361.		STATEMENT 1
4 Dividends and interest from securities		56,784.	56,784.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-730,122.			
b Gross sales price for all assets on line 6a <b>809,755.</b>					
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		1,062.	0.		STATEMENT 3
12 Total. Add lines 1 through 11		-658,915.	70,145.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees <b>STMT 4</b>		363.	0.		363.
b Accounting fees <b>STMT 5</b>		12,900.	1,290.		11,610.
c Other professional fees <b>STMT 6</b>		453.	453.		0.
17 Interest					
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses <b>STMT 7</b>		143.	0.		25.
24 Total operating and administrative expenses. Add lines 13 through 23		13,859.	1,743.		11,998.
25 Contributions, gifts, grants paid		643,380.			643,380.
26 Total expenses and disbursements. Add lines 24 and 25		657,239.	1,743.		655,378.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-1,316,154.			
b Net investment income (if negative, enter -0-)			68,402.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

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<b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	28,660.	7,941.	7,941.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock <b>STMT 8</b>	13,772,034.	12,476,599.	19,628,005.
	c Investments - corporate bonds			
<b>Liabilities</b>	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 <b>Total assets (to be completed by all filers)</b>	13,800,694.	12,484,540.	19,635,946.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 <b>Total liabilities (add lines 17 through 22)</b>	0.	0.		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	13,800,694.	12,484,540.	
30 <b>Total net assets or fund balances</b>	13,800,694.	12,484,540.		
31 <b>Total liabilities and net assets/fund balances</b>	13,800,694.	12,484,540.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,800,694.
2 Enter amount from Part I, line 27a	2	-1,316,154.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	12,484,540.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 <b>Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30</b>	6	12,484,540.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a 2450 SHS SPDR TRUST SERIES 1</b>	<b>P</b>	<b>VARIOUS</b>	<b>05/20/09</b>
<b>b BERNSTEIN GLOBAL DIVERSIFIED STRATEGIES</b>	<b>P</b>	<b>VARIOUS</b>	<b>04/03/09</b>
<b>c KAPSTONE - EXPIRED WARRANTS</b>	<b>P</b>	<b>VARIOUS</b>	<b>06/11/09</b>
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 217,047.</b>		<b>285,817.</b>	<b>-68,770.</b>
<b>b 592,708.</b>		<b>1,042,914.</b>	<b>-450,206.</b>
<b>c</b>		<b>130,000.</b>	<b>-130,000.</b>
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			<b>-68,770.</b>
<b>b</b>			<b>-450,206.</b>
<b>c</b>			<b>-130,000.</b>
<b>d</b>			
<b>e</b>			

<b>2 Capital gain net income or (net capital loss)</b>	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	<b>-648,976.</b>
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b> If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	475,991.	14,240,819.	.033424
2007	204,100.	6,730,216.	.030326
2006	733,610.	5,249,785.	.139741
2005	526,329.	5,704,850.	.092260
2004	376,020.	4,602,696.	.081696

<b>2 Total of line 1, column (d)</b>	<b>2</b>	<b>.377447</b>
<b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>	<b>3</b>	<b>.075489</b>
<b>4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5</b>	<b>4</b>	<b>11,585,985.</b>
<b>5 Multiply line 4 by line 3</b>	<b>5</b>	<b>874,614.</b>
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>	<b>6</b>	<b>684.</b>
<b>7 Add lines 5 and 6</b>	<b>7</b>	<b>875,298.</b>
<b>8 Enter qualifying distributions from Part XII, line 4</b>	<b>8</b>	<b>655,378.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,368.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,368.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,368.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	2,011.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	1,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,011.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,643.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> 1,643. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>Yes</b>	<b>No</b>
	1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		X
c Did the foundation file Form 1120-POL for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

N/A

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	13	X	
14	The books are in care of <b>ROGER STONE</b> Telephone no. <b>847-239-8831</b> Located at <b>1101 SKOKIE BLVD STE 300, NORTHBROOK, IL</b> ZIP+4 <b>60062</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <b>15</b> <b>N/A</b>			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <b>N/A</b>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? <b>X</b>	1c	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years <b>N/A</b>	2b	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <b>N/A</b>		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) <b>N/A</b>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <b>X</b>	4a	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? <b>X</b>	4b	

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**Part VII (B) Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3	0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	9,704,927.
b	Average of monthly cash balances	1b	2,057,494.
c	Fair market value of all other assets	1c	0.
d	Total (add lines 1a, b, and c)	1d	11,762,421.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,762,421.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	176,436.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,585,985.
6	Minimum investment return. Enter 5% of line 5	6	579,299.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	579,299.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,368.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,368.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	577,931.
4	Recoveries of amounts treated as qualifying distributions	4	1,062.
5	Add lines 3 and 4	5	578,993.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	578,993.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	655,378.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	655,378.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	655,378.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				578,993.
2 Undistributed income, if any, as of the end of 2009			0.	
a Enter amount for 2008 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005	78,123.			
c From 2006	735,670.			
d From 2007	204,100.			
e From 2008	475,991.			
f Total of lines 3a through e	1,493,884.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 655,378.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	655,378.			
d Applied to 2009 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a) )	578,993.			578,993.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,570,269.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	1,570,269.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006	234,800.			
c Excess from 2007	204,100.			
d Excess from 2008	475,991.			
e Excess from 2009	655,378.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(i)(3) or		4942(i)(5)
---------------	--	------------

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b 85% of line 2a**

**c** Qualifying distributions from Part XII,  
line 4 for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

**e Qualifying distributions made directly for active conduct of exempt activities.**

**Subtract line 2d from line 2c**

**3** Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

**(1) Value of all assets**

**(2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

**b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

**c "Support" alternative test - enter:**

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

**(3) Largest amount of support from an exempt organization**

**(4) Gross investment income**

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

### 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ROGER STONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a The name, address, and telephone number of the person to whom applications should be addressed:**

**b The form in which applications should be submitted and information and materials they should include:**

**c Any submission deadlines:**

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year <b>SEE ATTACHED SCHEDULE</b>				<b>643,380.</b>
<b>Total</b>			<b>▶ 3a</b>	<b>643,380.</b>
<b>b</b> Approved for future payment  <b>NONE</b>				
<b>Total</b>			<b>▶ 3b</b>	<b>0.</b>

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	13,361.		
4 Dividends and interest from securities			14	56,784.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	-730,122.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a MISCELLANEOUS INCOME			01	1,062.		
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		-658,915.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	-658,915.

[illegible]



FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
ALLIANCE BERNSTEIN	20.
JP MORGAN	7.
JP MORGAN	3.
JP MORGAN	9.
JP MORGAN MM	13,322.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	13,361.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
JP MORGAN	19,884.	0.	19,884.
JP MORGAN	36,900.	0.	36,900.
TOTAL TO FM 990-PF, PART I, LN 4	56,784.	0.	56,784.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	1,062.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,062.	0.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	363.	0.		363.
TO FM 990-PF, PG 1, LN 16A	363.	0.		363.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEES	12,900.	1,290.		11,610.
TO FORM 990-PF, PG 1, LN 16B	12,900.	1,290.		11,610.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	453.	453.		0.
TO FORM 990-PF, PG 1, LN 16C	453.	453.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
REPORT FEE	10.	0.		10.
FILING FEE	15.	0.		15.
MISCELLANEOUS EXPENSES	118.	0.		0.
TO FORM 990-PF, PG 1, LN 23	143.	0.		25.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
JP MORGAN	1,303,555.	1,349,359.
JP MORGAN PRIVATE BANK- MM	1,651,295.	1,651,295.
ALLIANCE BERNSTEIN	0.	0.
JP MORGAN PRIVATE BANK - ASSET ACCOUNT	9,521,749.	16,627,351.
TOTAL TO FORM 990-PF, PART II, LINE 10B	12,476,599.	19,628,005.



## ROGER AND SUSAN STONE FAMILY FND

For the Period 12/1/09 to 12/31/09

## Equity Detail

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income		Yield
						Accrued Dividends		
US Large Cap								
JPMORGAN INTREPID AMERICA FUND SELECT SHARE CLASS FUND 1206 4812A2-10-8 JPIA X	13,943.398	20.31	283,190.41	281,878.73	1,311.69	5,354.26		1.89%
JPMORGAN INTREPID GROWTH FUND SELECT SHARE CLASS FUND 1202 4812A2-20-7 JPGS X	15,241.741	19.68	299,957.46	302,500.00	(2,542.54)	2,728.27		0.91%
JPMORGAN US EQUITY FUND SELECT SHARE CLASS FUND 1224 4812A1-15-9 JUES X	52,590.990	9.03	474,896.64	493,662.03	(18,765.39)	7,099.78		1.50%
JPMORGAN US LARGE CAP CORE PLUS FUND SELECT FUND 1002 4812A2-38-9 JLPS X	15,542.797	18.18	282,568.05	216,767.73	65,800.32	1,771.87		0.63%
Total US Large Cap			\$1,340,612.56	\$1,294,808.49	\$45,804.08	\$16,954.18		1.26%

Cash

8,746.74

8,746.74

Total

1,349,359.30

1,303,555.23

ROGER & SUSAN STONE FAMILY FND  
For the Period 12/1/09 to 12/31/09

## Equity Detail

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income		Yield
						Accrued Dividends		
US Large Cap								
MC DONALDS CORP 580135-10-1 MCD	18,000,000	62.44	1,123,920.00	\$ 421,867.80	N/A	39,600.00		3.52%
US Mid Cap/Small Cap								
KAPSTONE PAPER AND PACKAGING CORP 48562P-10-3 KS	1,573,400,000	9.83	15,466,522.00	\$ 9,042,972				

Cash	36,909.32	36,909.32
Total	16,627,351.32	9,521,749.12

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 9

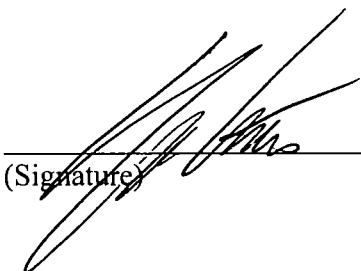
NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ROGER STONE 1101 SKOKIE BLVD SUITE 300 NORTHBROOK, IL 60062	PRESIDENT/TREASURER/DIREC 3.00	0.	0.	0.
SUSAN STONE 1101 SKOKIE BLVD SUITE 300 NORTHBROOK, IL 60062	SECRETARY/DIRECTOR 2.00	0.	0.	0.
KAREN STONE KAPLAN 1101 SKOKIE BLVD SUITE 300 NORTHBROOK, IL 60062	DIRECTOR 2.00	0.	0.	0.
LAUREN GAIL STONE 1101 SKOKIE BLVD SUITE 300 NORTHBROOK, IL 60062	DIRECTOR 2.00	0.	0.	0.
JENNIFER LYNN STONE 1101 SKOKIE BLVD SUITE 300 NORTHBROOK, IL 60062	DIRECTOR 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

**Section 4942(h)(2) Election  
As to the Treatment of Qualifying Distributions**

Roger and Susan Stone Family Foundation  
1101 Skokie Blvd, Suite 300  
Northbrook, IL 60062  
FEIN: 23-7026711  
FYE: December 31, 2009

Pursuant to IRC Section 4942(h)(2) and Regulation 53.4942(a)-3(d)(2), the above referenced foundation hereby elects to treat current-year qualifying distributions in excess of the immediately preceding tax year's undistributed income as being made out of corpus.

(Signature)



(Name)

ROGER W. STONE

(Title)

PRESIDENT & TREASURER

**Roger and Susan Stone Family Foundation**  
**Year End December 31, 2009**  
**23-7026711**  
**Form 990-PF, Part XV 3**

Name	Address	Relationship	Status	Purpose	Amount
Accion International	Boston, MA	None	Public	Charitable	50,000 00
Alzheimer's Disease & Related Disorders	Chicago, IL	None	Public	Charitable	10,000 00
American Council on Science and Health	New York, NY	None	Public	Charitable	3,000 00
American Cancer Society	Chicago, IL	None	Public	Charitable	250 00
American Jewish Committee	Chicago, IL	None	Public	Charitable	5,000 00
Amigos, Chicago North Chapter	Chicago, IL	None	Public	Charitable	100 00
Armed Forces Relief Trust	Washington, D C	None	Public	Charitable	5,000 00
Army Emergency Relief	Alexandria, VA	None	Public	Charitable	5,000 00
The Art Institute of Chicago	Chicago, IL	None	Public	Charitable	10,000 00
Bowdoin College, Robert N Thurston Memorial Scholarship Fund	Brunswick, ME	None	Public	Charitable	2 000 00
Boys & Girls Clubs of America	Atlanta, GA	None	Public	Charitable	5,000 00
Career Resource Center	Lake Forest, IL	None	Public	Charitable	500 00
CATO Institute	Washington, D C	None	Public	Charitable	5,000 00
Center on Media and Child Health	Boston, MA	None	Public	Charitable	25,000 00
Chicago Botanic Garden	Chicago, IL	None	Public	Charitable	12,100 00
Chicago Lighthouse for People who are Blind or Visually Impaired	Chicago, IL	None	Public	Charitable	10,000 00
Chicago Symphony Orchestra	Chicago, IL	None	Public	Charitable	5,000 00
Chicago Youth Center	Chicago, IL	None	Public	Charitable	2,500 00
Children's Memorial Hospital	Chicago, IL	None	Public	Charitable	500 00
Children's Museum of the East End	Bridgehampton, NY	None	Public	Charitable	5,000 00
City Year Chicago	Chicago, IL	None	Public	Charitable	2,500 00
The Civic Federation	Chicago, IL	None	Public	Charitable	2,500 00
Colonial Williamsburg Foundation	Williamsburg, VA	None	Public	Charitable	250 00
Committee for a Constructive Tomorrow	Washington, D C	None	Public	Charitable	2,500 00
Committee for Economic Development	Washington, D C	None	Public	Charitable	5,000 00
Crohn's & Colitis Foundation of America	New York, NY	None	Public	Charitable	5 000 00
The Dana-Farber Cancer Institute	Boston, MA	None	Public	Charitable	500 00
Deerfield Park Foundation	Deerfield, IL	None	Public	Charitable	1,000 00
DePaul University	Chicago, IL	None	Public	Charitable	5,000 00
Do Something, Inc	New York, NY	None	Public	Charitable	500 00
Drayton Hall	Charleston, SC	None	Public	Charitable	5,000 00
Emergency Fund	Chicago, IL	None	Public	Charitable	1,000 00
Evans Scholars Foundation	Golf, IL	None	Public	Charitable	200 00
Facing History and Ourselves	Chicago, IL	None	Public	Charitable	1,000 00
The Field Museum	Chicago, IL	None	Public	Charitable	2,500 00
First Book	Washington, D C	None	Public	Charitable	2,500 00
The Federalist Society	Washington, D C	None	Public	Charitable	1,000 00
Folds of Honor Foundation	Tulsa, OK	None	Public	Charitable	500 00
The Garden Conservancy	Cold Spring, NY	None	Public	Charitable	10,280 00
Gifts of Love Charity Missions	Avon, CT	None	Public	Charitable	10,000 00
Gilda's Club Chicago	Chicago, IL	None	Public	Charitable	2 500 00
Glenwood School for Boys and Girls	St Charles, IL	None	Public	Charitable	50,000 00
Greater Chicago Food Depository	Chicago, IL	None	Public	Charitable	5,000 00
The Group for the East End	Southold, NY	None	Public	Charitable	2,500 00
Habitat for Humanity of Collier County, Inc	Naples, FL	None	Public	Charitable	1,000 00
Harvard Graduate School of Education	Cambridge MA	None	Public	Charitable	1,000 00
Harlem Children's Zone	New York, NY	None	Public	Charitable	15,000 00
Heifer International Foundation	Little Rock, AR	None	Public	Charitable	50,000 00
The Heritage Foundation	Washington, D C	None	Public	Charitable	5,000 00
Historic Charleston Foundation	Charleston, SC	None	Public	Charitable	5,000 00
Horizon Hospice and Palliative Care	Chicago, IL	None	Public	Charitable	1,000 00
100 Club of Chicago	Chicago, IL	None	Public	Charitable	200 00
Institute for Justice	Arlington, VA	None	Public	Charitable	1,000 00
Institute of International Education	Chicago, IL	None	Public	Charitable	1,000 00
Intercollegiate Studies Institute, Inc	Wilmington, DE	None	Public	Charitable	1,000 00
International Development Enterprises	Lakewood, CO	None	Public	Charitable	5,000 00
Jewish Community Center	Chicago, IL	None	Public	Charitable	10,000 00
Jewish United Fund	Chicago, IL	None	Public	Charitable	50,000 00
Judicial Watch	Washington, D C	None	Public	Charitable	2 500 00
Junior Achievement	Chicago, IL	None	Public	Charitable	1,000 00
Kohl Children's Museum of Greater Chicago	Glenview, IL	None	Public	Charitable	1,000 00
Lake Forest Academy	Lake Forest, IL	None	Public	Charitable	11,050 00
Lake Forest Country Day School	Lake Forest, IL	None	Public	Charitable	500 00

Roger and Susan Stone Family Foundation  
Year End December 31, 2009  
23-7026711  
Form 990-PF, Part XV 3

Name	Address	Relationship	Status	Purpose	Amount
Leo Catholic High School	Chicago, IL	None	Public	Charitable	10,000 00
Lincoln Park Zoo	Chicago, IL	None	Public	Charitable	1,000 00
Lyrnc Opera of Chicago	Chicago, IL	None	Public	Charitable	20,000 00
Manhattan Institute	New York, NY	None	Public	Charitable	5,000 00
Marine Corps - Law Enforcement Foundation	New York, NY	None	Public	Charitable	1,000 00
Marwen Foundation	Chicago, IL	None	Public	Charitable	1,000 00
Ronald McDonald House Charities	Oak Brook, IL	None	Public	Charitable	20,000 00
Media Research Center	Alexandria, VA	None	Public	Charitable	8,000 00
Merit School of Music	Chicago, IL	None	Public	Charitable	1,000 00
Metropolitan Family Services	Chicago, IL	None	Public	Charitable	2,500 00
Mockingbird Foundation	Moorepark CA	None	Public	Charitable	250 00
Morehouse College	Atlanta, GA	None	Public	Charitable	5,000 00
North Shore Country Day School	Winnetka, IL	None	Public	Charitable	1,000 00
Northshore University Healthsystem Foundation	Evanston, IL	None	Public	Charitable	2,500 00
Northwestern University/Kellogg School	Evanston, IL	None	Public	Charitable	5 000 00
Northwestern Memorial Hospital	Evanston, IL	None	Public	Charitable	500 00
Northwestern University/President's Fund	Evanston, IL	None	Public	Charitable	1,000 00
Northwestern University/ Women's Board Endowed Scholarship Fund	Evanston, IL	None	Public	Charitable	500 00
PADS Crisis Services	Chicago, IL	None	Public	Charitable	500 00
Pathways Foundation	Chicago, IL	None	Public	Charitable	2,500 00
Paws Chicago	Chicago, IL	None	Public	Charitable	1,000 00
Peconic Land Trust	Southampton, NY	None	Public	Charitable	5,000 00
Philanthropy Roundtable Membership	Washington, D C	None	Public	Charitable	1,000 00
Providence St Mel School	Chicago, IL	None	Public	Charitable	5,000 00
Rehabilitation Institute of Chicago	Chicago, IL	None	Public	Charitable	5,000 00
St Jude Children's Research Hospital	Memphis, TN	None	Public	Charitable	1,000 00
Sickle Cell Foundation of Palm Beach County Inc	West Palm Beach, FL	None	Public	Charitable	1,000 00
Smith College	North Hampton, MA	None	Public	Charitable	1,000 00
Southampton Hospital Foundation	Southampton, NY	None	Public	Charitable	5,000 00
The Terry Lee Stauffer III and Jacob Stauffer Scholarship Fund	Chicago, IL	None	Public	Charitable	500 00
Technoserve	Washington, D C	None	Public	Charitable	50,000 00
United Way of Metropolitan Chicago	Chicago, IL	None	Public	Charitable	25,000 00
University of Chicago/Women's Board	Chicago, IL	None	Public	Charitable	1,000 00
U S Holocaust Museum	Washington, D C	None	Public	Charitable	1,000 00
WBEZ Chicago Public Radio	Chicago, IL	None	Public	Charitable	200 00
Working in the Schools	Chicago, IL	None	Public	Charitable	1 000 00
Washington Legal Foundation	Washington, D C	None	Public	Charitable	1,000 00
The Woodrow Wilson International Center for Scholars	Washington, D C	None	Public	Charitable	5,000 00
Wounded Warrior Project	Jacksonville, FL	None	Public	Charitable	2,500 00
Writers' Theatre	Glencoe, IL	None	Public	Charitable	1,000 00
					<b>643,380 00</b>

**Total Charitable Contributions**

All recipients are public charities as described in section 509(a)(1),(2), or (3)

All of the above contributions are for the general charitable, religious, scientific, literary or educational activities of each donee

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

<b>Part II</b>		<b>Additional (Not Automatic) 3-Month Extension of Time.</b> Only file the original (no copies needed)	
Type or print  File by the extended due date for filing the return. See instructions.	Name of Exempt Organization <b>ROGER AND SUSAN STONE FAMILY FOUNDATION C/O ROGER STONE</b>		Employer identification number <b>23-7026711</b>
	Number, street, and room or suite no. If a P.O. box, see instructions. <b>1101 SKOKIE BLVD STE 300</b>		For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions <b>NORTHBROOK, IL 60062</b>		

**Check type of return to be filed** (File a separate application for each return):

- ☐ Form 990    ☐ Form 990-EZ    ☐ Form 990-T (sec. 401(a) or 408(a) trust)    ☐ Form 1041-A    ☐ Form 5227    ☐ Form 8870  
☐ Form 990-BL    ☒ Form 990-PF    ☐ Form 990-T (trust other than above)    ☐ Form 4720    ☐ Form 6069

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

### ROGER STONE

- The books are in the care of **1101 SKOKIE BLVD STE 300 - NORTHBROOK, IL 60062**

Telephone No. **847-239-8831**

FAX No. \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **NOVEMBER 15, 2010.**
- 5 For calendar year **2009**, or other tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.
- 6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension  
**THE TAXPAYER IS GATHERING ADDITIONAL INFORMATION TO PREPARE AND COMPLETE AN ACCURATE TAX RETURN.**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	3,011.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	3,011.
c <b>Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

### Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **Audrey Perkins**

Title **CPA**

Date **8/10/2010**

Form 8868 (Rev. 4-2009)

# Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II** unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

## **Part I** Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

Type or print  File by the due date for filing your return. See instructions	Name of Exempt Organization <b>ROGER AND SUSAN STONE FAMILY FOUNDATION C/O ROGER STONE</b>	Employer identification number  <b>23-7026711</b>
	Number, street, and room or suite no. If a P.O. box, see instructions <b>1101 SKOKIE BLVD STE 300</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions <b>NORTHBROOK, IL 60062</b>	

**Check type of return to be filed** (file a separate application for each return)

- |   |   |                                    |
|---|---|------------------------------------|
| <input type="checkbox"/> Form 990               | <input type="checkbox"/> Form 990-T (corporation)                 | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL            | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ            | <input type="checkbox"/> Form 990-T (trust other than above)      | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A                              | <input type="checkbox"/> Form 8870 |

**ROGER STONE**

- The books are in the care of ► **1101 SKOKIE BLVD STE 300 - NORTHBROOK, IL 60062**

Telephone No ► **847-239-8831**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for ► ☒ calendar year **2009** or ► ☐ tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

- 2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$ <b>3,011.</b>
<b>b</b> If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$ <b>2,011.</b>
<b>c</b> <b>Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$ <b>1,000.</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

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