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Form 990-EZ

Short Form Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Sponsoning organizations of donor advised funds and controlling organizations as defined in section 512(b)(13) must file Form 990. All other organizations with gross receipts less than \$500,000 and total assets less than \$1,250,000 at the end of the year may use this form

The organization may have to use a copy of this return to satisfy state reporting requirements

Open to Public Inspection

Form 990-EZ (2009)

929

OMB No 1545-1150

Department of the Treasury Internal Revenue Service

For the 2009 calendar year, or tax year beginning and ending Check if applicable Please Name of organization **Employer Identification number** use IRS AMERICAN WATER WORKS ASSOCIATION Address change label or 23-7026138 IOWA SECTION Name change print or Number and street (or P O box, if mail is not delivered to street address) Telephone number Initial return type. Room/suite See 203 515-283-8751 100 COURT AVENUE Termination Specific **Group Exemption** Amended return City or town, state or country, and ZIP + 4 Instruc-IA 50309 DES MOINES Application pending Number Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach X Cash G Accounting method Accrual a completed Schedule A (Form 990 or 990-EZ). Other (specify) WWW.IA-AWWA.ORG Website: ▶ Check ▶ if the organization is not required to attach Schedule B (Form 990, 990-EZ, or 990-PF) **X** 501(c) (3) **◄** (insert no) 527 Tax-exempt status (check only one) -4947(a)(1) or Check If the organization is not a section 509(a)(3) supporting organization and its gross receipts are normally not more than \$25,000. A Form 990-EZ or Form 990 return is not required, but if the organization chooses to file a return, be sure to file a complete return Add lines 5b, 6b, and 7b, to line 9 to determine gross receipts; if \$500,000 or more, file Form 990 instead of Form 990-EZ Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See the instructions for Part I.) 33,469 Contributions, gifts, grants, and similar amounts received 126,732 2 Program service revenue including government fees and contracts 2 3 Membership dues and assessments 3 4 Investment income 4 Gross amount from sale of assets other than inventory Less, cost or other basis and sales expenses b Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a) 5c c ONNE Sevenne Special events and activities (complete applicable parts of Schedule G). If any amount is from gaming, check here _____ of contributions Gross revenue (not including \$ 6a reported on line 1) Less direct expenses other than fundraising expenses 6b 6с Net income or (loss) from special events and activities (Subtract line 6b from line 6a) Gross sales of inventory, less returns and allowances 7a 7a 7b Less cost of goods sold Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a) 7c C 4,065 Other revenue (describe > See Statement 1 8 8) 164,735 Total revenue. Add lines 1, 2, 3, 4, 5c, 6c, 7c, and 8 9 10 10 Grants and similar amounts paid (attach schedule) 11 11 Benefits paid to or for members 12 Salaries, other compensation, and employee benefits 12 14,502 13 13 Professional fees and other payments to independent contractors Occupancy, rent, utilities, and maintenance 14 14 7,683 Ϋ́ 15 15 Printing, publications, postage, and shipping JUN 0 4 2010 163,889 Other expenses (describe ▶ See Statement 2 16 16) 186,074 Total expenses. Add lines 10 through 16 17 17 -21,339 18 Excess or (deficit) for the year (Subtract line 17 from line 9) Net Assets Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree 19 122,743 end-of-year figure reported on prior year's return) 20 Other changes in net assets or fund balances (attach explanation) 20 101,404 21 Net assets or fund balances at end of year Combine lines 18 through 20 Balance Sheets. If Total assets on line 25, column (B) are \$1,250,000 or more, file Form 990 instead of Form 990-EZ (See the instructions for Part II) (A) Beginning of year 122,743 101,404 22 Cash, savings, and investments 23 Land and buildings Other assets (describe 101,404 25 Total assets 26 26 Total liabilities (describe ▶ 122,743 101,404 27 Net assets or fund balances (line 27 of column (B) must agree with line 21)

For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.

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Form 990-EZ (2009) AMERICAN W	ATER WORKS ASSOCI	EATION 23	-7026138			Page 2
Part III Statement of Program	Service Accomplishment	s (See the instruc	tions for Part I	II.)	Exp	penses
What is the organization's primary exempt pur	rpose?				(Required	for section
See Statement 3					501(c)(3) a	and 501(c)(4)
Describe what was achieved in carrying out th					organizatio	ons and section
manner, describe the services provided, the n	umber of persons benefited, or ot	her relevant information	for		4947(a)(1)	trusts; optional
each program title					for others)
28 See Statement 4						
				ا جـم		164 600
	his amount includes foreign grant		<u> </u>	<u>- </u>	28a	164,630
29 EDUCATIONAL AND CURRENT EVENT	S NEWSLETTER PROVIDED TO	THE				
MEMBERSHIP.						
(Canada 6	this amount includes family asset	a abaak bara	•	<u>. l</u>	20.0	7,683
	his amount includes foreign grants	s, cneck nere		! 	29a	
30						
(Grants \$) If t	this amount includes foreign grants	s check here	•	$\cap \bot$	30a	
31 Other program services (attach schedule)		S, OHEOK HOIC		<u>'</u> '	<u> </u>	
	this amount includes foreign grants	s. check here	•	$\Box \bot$	31a	
32 Total program service expenses (add lin					32	172,313
	rustees, and Key Employees. Lis	st each one even if not o	compensated (Sec	e the ir	structions fo	
· · · · · · ·		(b) Title and average	(c) Compensation	(d) (Contributions to be benefit plans &	(e) Expense
(a) Name and	i address	hours per week devoted to position	(If not paid, enter -0)		d compensation	account and other allowances
JOEL MOHR	DAVENPORT	PAST PRES				
5201 GRAND AVE, PO BOX 979	IA 52805-0979	4.00	0		0	0
TIM WILSON	MARSHALLTOWN	CHAIR				
205 E STATE STREET	IA 50158	4.00	0		0	0
TED CORRIGAN	DES MOINES	SEC-TREAS				
2201 GEORGE FLAGG PARKWAY	IA 50321	6.00	0		0	0
MARK DUBEN	DES MOINES	CHAIR ELECT				
5525 MERLE HAY ROAD, STE 200	IA 50131	4.00	0		0	0
BOB GREEN	DUBUQUE	TRUSTEE				
1705 DIANE COURT	IA 52003	2.00	0		0	0
ED MORENO	IOWA CITY	TRUSTEE				
410 E WASHINGTON STREET	IA 52240	2.00	0	-	0	0
JOHN DUNN	AMES	TRUSTEE			_	
330 E FIFTH STREET, BLDG 1	IA 50010-6306	2.00		<u> </u>	0	0
CARLA SCHUMACHER	AMES	TRUSTEE				
1601 GOLDEN ASPEN DRIVE	IA 50010	2.00	0			0
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Form **990-EZ** (2009)

Form 990-EZ (2009)

SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

ame	of the organization		ICAN W. SECTI		ORKS	ASSOCI	ATION	1				•	ntification nu	mber	
Pa	rt I Reas	on for Pu	blic Chari	ity Status	(All org	anization	s must c	complet	e this	part.) :	See ir	struct	tions.		
1 2 3 4 5 6 7	rt I Reas rganization is not A church, co A school des A hospital or A medical re city, and stat An organizat section 170 A federal, sta An organizat described in A community X An organizat receipts from support from acquired by to An organizat purposes of 509(a)(3). Ch a Type By checking persons othe 509(a)(1) or if the organiz organization, Since Augus following pe	ton for Pu ta private for invention of control of the comparation of the comparation operated (b)(1)(A)(iv). ate, or local growth that norm section 170(control of the that norm activities religion that norm activities religion organized the organization organized the organization organized the box the box the box this box, I ce or than found section 509(action received the this box this box, I ce or than found the this box, I ce or than found	blic Char indation become independent of the benear income in ally received in section ally received in section after Junician after Junician operated and operated and operated in the benear income in a section of the benear income in a secti	ity Status ause it is (F association (1)(A)(ii). (Ai ervice organ ated in conju efit of a colle Part II) or governme is a substant (Complete in on 170(b)(1) is: (1) more to e and unrelate as 30, 1975 and exclusive ed exclusive ported organ es the type of organization ers and other determination	For lines 1 of church ttach Sche ttach Sche ttach Sche ttach Sche ttach Sche ttach Sche track tr	through 11, es described edule E) escribed in se ath a hospital versity owned described in se at support from the second of the support of the second of the	check only in section 170 described I or operate section 17 rom a gove till) poport from n exception ncome (less). (Complete fety. See seperform the section 500 con and contally integriblicly supples a Type I,	y one box 170(b)(1) (b)(1)(A)(In section ed by a gradient of the Part III section 50 the function (a)(1) or the properties of the propert	iii). iii). iii). iii). overnme overnme i)(v). i unit or i iii) ions, me 2) no mo iiii) ions of, or section nes 11e d [one or m janizatio or Type	from the embersh ore than to carry 509(a)(2 through ore disconsidered and the considered	ii). Enter t descri general p fees, 33 1/3 ousiness y out the 2) See: 11h e III—Ot qualified ribed in	er the holder the hold	ospital's nam	в,	
	(i) A perso	n who directly	y or indirectly	y controls, e	either alon	e or together	with perso	ons descr	ibed in (II)				Yes	No
	and (III)	below, the go	overning boo	ly of the sup	ported or	ganızatıon?							11g(i)		
	(ii) A family	member of	a person des	scribed in (i)	above?								11g(ii		
		controlled ent				(II) above?							11g(ii		
h	Provide the	following info	rmation abo	ut the suppo	orted orga	nızatıon(s)									
	h Provide the following information about the supported organization(s) (i) Name of supported organization (ii) EIN (iii) Type of organization (described on lines 19 above or IRC section (see instructions)) (ii) Name of supported organization (iii) EIN (iiii) Type of organization (described on lines 19 above or IRC section (see instructions)) (iv) Is the organization (v) Did you notify the organization in col (i) of your support? (vi) Is the organization organization in col (i) of your support? (vi) Did you notify the organization in col (i) organization in col (i) organized in the US? Yes No Yes No Yes No							ř							
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otal									1	1	1	1			

Sche	edule A (Form 990 or 990-EZ) 2009 AME	RICAN WAS	TER WORKS	ASSOCIAT	ION 23	-7026138	Page 2	
	art II Support Schedule for O					170(b)(1)(A)(vi		
	(Complete only if you cho	ecked the box	on line 5, 7, o	r 8 of Part I.)				
Sec	tion A. Public Support							
Ca	lendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total	
1	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants")							
2	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf							
3	The value of services or facilities furnished by a governmental unit to the organization without charge							
4	Total. Add lines 1 through 3							
5	The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)							
_6	Public support. Subtract line 5 from line 4		<u> </u>					
	tion B. Total Support			1				
Ca	lendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total	
7	Amounts from line 4							
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources							
9	Net income from unrelated business activities, whether or not the business is regularly carried on							
10	Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV.)							
11	Total support. Add lines 7 through 10					<u> </u>		
12	Gross receipts from related activities, etc	(see instructions)				12		
13	First five years. If the Form 990 is for the	organization's firs	t, second, third, fo	urth, or fifth tax ye	ar as a section 50°	1(c)(3)		
	organization, check this box and stop her						•	
Sec	tion C. Computation of Public Su							
14	Public support percentage for 2009 (line 6	,	•	nn (f))		14	%_	
15	Public support percentage from 2008 Sch					15		
16a	33 1/3 % support test—2009. If the organ				33 1/3 % or more,	check this box	_	
_	and stop here. The organization qualifies							
b	33 1/3 % support test—2008. If the organ				15 is 33 1/3 % or r	nore, check this	. □	
	box and stop here. The organization qual					441 4004		
17a		-						
	more, and if the organization meets the "fa						▶ □	
L	organization meets the "facts-and-circums		•	•				
b		-		not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% test, check this box and stop here. Explain in Part IV how the				
	organization meets the "facts-and-circums		•	•	•		▶ □	
18	Private foundation. If the organization did		-	· · · · · · ·				
	- I ITALE IOUNGALON, II LIE OIGANIZALION DIC	I HOL CHECK & DUX						

23-7026138

Page 3

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I.)

Sec	tion A. Public Support						
Ca	endar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	25,140	31,750	32,139	33,260	33,469	155,758
2	Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	161,573	127,076	158,269	149,659	126,732	723,309
3	Gross receipts from activities that are not an unrelated trade or business under section 513					2,741	2,741
4	Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5	The value of services or facilities furnished by a governmental unit to the organization without charge						
6	Total. Add lines 1 through 5	186,713	158,826	190,408	182,919	162,942	881,808
7a	Amounts included on lines 1, 2, and 3 received from disqualified persons						
b	Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year Add lines 7a and 7b						
8	Public support (Subtract line 7c from						
	line 6)				<u> </u>		881,808
	tion B. Total Support						
Ca	lendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9	Amounts from line 6	186,713	158,826	190,408	182,919	162,942	881,808
10a	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	2,501	2,313	2,183	1,468	469	8,934
b	Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
С	Add lines 10a and 10b	2,501	2,313	2,183	1,468	469	8,934
11	Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on					0	
12	Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV.)		4,563	10,837	5,163	4,065	24,628
13	Total support. (Add lines 9, 10c, 11,]					
	and 12)	189,214	165,702	203,428	189,550	167,476	915,370
14	First five years. If the Form 990 is for the organization, check this box and stop her	e		rth, or fifth tax yea	r as a section 501	(c)(3) 	.
	tion C. Computation of Public Su						
15	Public support percentage for 2009 (line 8			n (t))		15	96.33%
16	Public support percentage from 2008 Schitton D. Computation of Investme						96.57%
<u>3ec</u>				column (ft)		17	1 %
18	Investment income percentage for 2009 (line 10c, column (f) divided by line 13, column (f)) Investment income percentage from 2008 Schedule A, Part III, line 17						1%
19a	33 1/3 % support tests—2009. If the orga			14, and line 15 is	more than 33 1/3	18 %. and line	
ısa	17 is not more than 33 1/3 %, check this b						► X
b	33 1/3 % support tests—2008. If the orga						
	line 18 is not more than 33 1/3 %, check t						▶ [
20	Private foundation. If the organization did						>
DAA		_			Sch	edule A (Form 990	or 990-EZ) 2009

Schedule A (Form 990 or 990-EZ) 2009 AMERICAN WATER WORKS ASSOCIATION

23-7026138

Page 4

Supplemental Information. Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Provide any other additional information. See instructions.

Part III, Line 12 - Other Income Detail

OTHER INCOME

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24,628

20130 AMERICAN WATER WORKS ASSOCIATION
23-7026138 Federal Statements

FYE: 12/31/2009

5/19/2010 4:18 PM

Statement 1 - Form 990-EZ, Part I, Line 8 - Other Revenue

Description	 Amount
NEWSLETTER	\$ 2,741
OTHER REVENUE	1,299
HISTORY BOOK	 25
Total	\$ 4,065

Statement 2 - Form 990-EZ, Part I, Line 16 - Other Expenses

Description	 Amount				
Expenses	\$ 				
POSTAGE	307				
SUPPLIES	452				
CONFERENCES/MEETINGS	137,202				
AWARDS & SCHOLARSHIPS	613				
GOVERNMENTAL AFFAIRS	21,995				
BANK CHARGES	417				
STUDENT MEMBERSHIPS	280				
TELEPHONE	1,695				
WEBSITE	720				
MISCELLANEOUS	 208				
Total	\$ 163,889				

20130 AMERICAN WATER WORKS ASSOCIATION 23-7026138 Federal State

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Federal Statements

FYE: 12/31/2009

Statement 3 - Form 990-EZ, Part III - Organization's Primary Exempt Purpose

Description

EDUCATE MEMBERSHIP AND GENERAL PUBLIC ON ISSUES AFFECTING THE WATER INDUSTRY AND INTRODUCE NEW RESEARCH AND TECHNOLOGIES THAT ARE AVAILABLE TO IMPROVE WATER QUALITY IN IOWA.

Statement 4 - Form 990-EZ, Part III, Line 28 - Statement of Program Service Accomplishments

Description

EDUCATIONAL CONFERENCES AND WORKSHOPS TO EDUCATE THOSE IN THE WATER INDUSTRY ON CURRENT TECHNOLOGICAL AND SCIENTIFIC ADVANCES DESIGNED TO IMPROVE WATER QUALITY FOR IOWA RESIDENTS.

Form **8868**(Rev April 2009)

Department of the Treasury

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return.

OMB No 1545-1709

Form **8868** (Rev 4-2009)

Internal Revenue Service		1
If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box		▶ X
If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this for	n)	
Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed F	•	
Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)).	
A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete	Э	
Part I only		▶ 🗌
All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an externe to file income tax returns	ension of	—
Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of	time to file	
one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form		
electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 887	0, group	
returns, or a composite or consolidated Form 990-T Instead, you must submit the fully completed and signed page 2 (F	art II) of Fo	rm
8868. For more details on the electronic filing of this form, visit www irs gov/efile and click on e-file for Charities & Nonp	ofits.	
Type or Name of Exempt Organization	Employer id	dentification number
print AMERICAN WATER WORKS ASSOCIATION		
	<u>23-702</u>	16138
Number, street, and room or suite no. If a P O box, see instructions		
eturn. See 100 COURT AVENUE 203		
nstructions City, town or post office, state, and ZIP code For a foreign address, see instructions		
DES MOINES IA 50309		
Check type of return to be filed (file a separate application for each return)		
Form 990 Form 990-T (corporation)		Form 4720
Form 990-BL Form 990-T (sec 401(a) or 408(a) trust) Form 990-EZ Form 990-T (trust other than above)		Form 5227
		Form 6069
Form 990-PF		Form 8870
or the whole group, check this box If it is for part of the group, check this box and at list with the names and EINs of all members the extension will cover. I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15/10, to file the exempt organization return for the organization named above. The extension is		▶ □
for the organization's return for:		
X calendar year 2009 or		
tax year beginning , and ending .		
2 If this tax year is for less than 12 months, check reason Initial return Final return Change in	accounting	period
3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax,	T	
less any nonrefundable credits. See instructions.	3a \$	
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax		
payments made Include any prior year overpayment allowed as a credit.	3b \$	
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required,		
deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment		
System). See instructions.	3c \$	
Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879	}-EO	
or payment instructions.		

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.