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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury  
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	RAYMOND & BEVERLY SACKLER FOUNDATION, INC 17 EAST 62ND STREET NEW YORK, NY 10021-7204		A Employer identification number 23-7022467
			B Telephone number (see the instructions) 212-981-2621
	H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		C If exemption application is pending, check here <input type="checkbox"/> D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
	I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 17,716,032.	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch)				
	2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	3,480.	3,480.	3,480.	
	4 Dividends and interest from securities	431,098.	431,098.	431,098.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	-57,282.			
	b Gross sales price for all assets on line 6a	3,122,266.			
	7 Capital gain net income (from Part IV, line 2)		110,895.		
	8 Net short-term capital gain				
ADMINISTRATIVE EXPENSES AND OTHER	9 Income modifications				
	10a Gross sales less returns and allowances				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	377,296.	545,473.	434,578.	
	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages	677,404.			677,404.
	15 Pension plans, employee benefits	69,794.			69,794.
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch) SEE ST 1	62,261.	62,261.	62,261.	0.
	17 Interest				
	18 Taxes (attach schedule) (see instr) SEE STM 2	22,914.	15,159.	15,159.	0.
	19 Depreciation (attach sch) and depletion	7,901.			
	20 Occupancy SEE STMT 11	71,679.			71,679.
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 3	247,328.	133.	133.	246,273.
24 Total operating and administrative expenses. Add lines 13 through 23	1,159,281.	77,553.	77,553.	1,065,150.	
25 Contributions, gifts, grants paid					
26 Total expenses and disbursements. Add lines 24 and 25	1,159,281.	77,553.	77,553.	1,065,150.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-781,985.				
b Net investment income (if negative, enter -0-)		467,920.			
c Adjusted net income (if negative, enter -0-)			357,025.		

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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

ASSETS

1	Cash — non-interest-bearing	56,367.	-11,098.	-11,098.
2	Savings and temporary cash investments	521,473.	2,373,822.	2,373,822.
3	Accounts receivable <span>▶</span> 1,951.			
	Less: allowance for doubtful accounts <span>▶</span> -----	8,410.	1,951.	1,951.
4	Pledges receivable <span>▶</span> -----			
	Less: allowance for doubtful accounts <span>▶</span> -----			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
7	Other notes and loans receivable (attach sch) <span>▶</span> -----			
	Less: allowance for doubtful accounts <span>▶</span> -----			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments — U.S. and state government obligations (attach schedule)	2,756,439.		
b	Investments — corporate stock (attach schedule) STATEMENT 4	6,548,151.	6,751,948.	8,820,502.
c	Investments — corporate bonds (attach schedule) STATEMENT 5	2,442,225.	2,442,225.	2,075,773.
11	Investments — land, buildings, and equipment basis <span>▶</span> -----			
	Less: accumulated depreciation (attach schedule) <span>▶</span> -----			
12	Investments — mortgage loans			
13	Investments — other (attach schedule) STATEMENT 6	1,258,878.	1,258,878.	4,455,082.
14	Land, buildings, and equipment basis <span>▶</span> 189,316.			
	Less: accumulated depreciation (attach schedule) SEE STMT 7 & 11 181,544.	15,673.	7,772.	
15	Other assets (describe <span>▶</span> -----)			
16	<b>Total assets</b> (to be completed by all filers — see instructions. Also, see page 1, item I)	13,607,616.	12,825,498.	17,716,032.

LIABILITIES

17	Accounts payable and accrued expenses	1,733.	1,600.	
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, & other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe <span>▶</span> -----)			
23	<b>Total liabilities</b> (add lines 17 through 22)	1,733.	1,600.	

NET ASSETS OR FUND BALANCES

	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> <span>▶</span> <input type="checkbox"/>			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <span>▶</span> <input checked="" type="checkbox"/>			
27	Capital stock, trust principal, or current funds	13,605,883.	12,823,898.	
28	Paid-in or capital surplus, or land, building, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	<b>Total net assets or fund balances</b> (see the instructions)	13,605,883.	12,823,898.	
31	<b>Total liabilities and net assets/fund balances</b> (see the instructions)	13,607,616.	12,825,498.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,605,883.
2	Enter amount from Part I, line 27a	2	-781,985.
3	Other increases not included in line 2 (itemize) <span>▶</span> -----	3	
4	Add lines 1, 2, and 3	4	12,823,898.
5	Decreases not included in line 2 (itemize) <span>▶</span> -----	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	12,823,898.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a SEE STATEMENT 8				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	— [ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ] —		2	110,895.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	— [ If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8 ] —		3	0.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	864,692.	18,501,503.	0.046736
2007	1,137,517.	22,055,628.	0.051575
2006	1,042,236.	20,674,435.	0.050412
2005	761,727.	19,948,548.	0.038185
2004	536,156.	19,271,651.	0.027821

2 Total of line 1, column (d)	2	0.214729
3 Average distribution ratio for the 5-year base period —divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.042946
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	16,518,797.
5 Multiply line 4 by line 3	5	709,416.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,679.
7 Add lines 5 and 6	7	714,095.
8 Enter qualifying distributions from Part XII, line 4	8	1,065,150.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 • Date of ruling or determination letter _____ (attach copy of letter if necessary - see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	4,679.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	4,679.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-		5	4,679.
6 Credits/Payments			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	7,544.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	7,544.	
8 Enter any <b>penalty</b> for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	3.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,862.	
11 Enter the amount of line 10 to be. Credited to 2010 estimated tax <input checked="" type="checkbox"/> 2,862.   Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes.</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) <input checked="" type="checkbox"/> NY NC CT MA RI OH NJ		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation.</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>	X	
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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**Part VII-A Statements Regarding Activities Continued**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>TAXPAYER</u> Located at <u>30 ROCKEFELLER PLAZA, ROOM 3223 NEW YORK NY</u> Telephone no <u>212-408-5100</u> ZIP + 4 <u>10112</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>	N/A	<input type="checkbox"/>	N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
<b>1a</b> During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here <u>  </u>	1b	X
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
<b>a</b> At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2b	N/A
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	3b	N/A
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

5b N/A

**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

6b X

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RAYMOND R. SACKLER, MD 17 EAST 62ND STREET NEW YORK, NY 10021-7204	V-PRES/ASST 1.00	0.	0.	0.
RICHARD S. SACKLER, MD 17 EAST 62ND STREET NEW YORK, NY 10021-7204	V-PRES/DIREC 0	0.	0.	0.
JONATHAN D. SACKLER 17 EAST 62ND STREET NEW YORK, NY 10021-7204	PRES/CEO/DIR 1.00	0.	0.	0.
BEVERLY SACKLER 17 EAST 62ND STREET NEW YORK, NY 10021-7204	SECY-TREAS/D 0	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHRIS HARRIS 1009 CUMMINGS ROAD MONMOUTH JUNCTION, NJ 08852	RESEARCH 40	130,647.	0.	0.
TANUPRIYA CONTRACTOR 44 KENNEDY COURT PRINCETON, NJ 08540	RESEARCH 40	74,161.	0.	0.

Total number of other employees paid over \$50,000

▶ 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services — (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
GARDNER, RUSSO & GARDNER 223 E. CHESTNUT STREET LANCASTER, PA 17602	INVESTMENT ADVISOR	61,161.
Total number of others receiving over \$50,000 for professional services.		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 10	1,065,150.
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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**Part X** **Minimum investment return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b> Average monthly fair market value of securities	<b>1a</b>	10,546,247.
<b>b</b> Average of monthly cash balances	<b>1b</b>	1,769,023.
<b>c</b> Fair market value of all other assets (see instructions)	<b>1c</b>	4,455,082.
<b>d</b> Total (add lines 1a, b, and c)	<b>1d</b>	16,770,352.
<b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b> Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b> Subtract line 2 from line 1d	<b>3</b>	16,770,352.
<b>4</b> Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	251,555.
<b>5</b> Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	16,518,797.
<b>6</b> Minimum investment return. Enter 5% of line 5	<b>6</b>	825,940.

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

<b>1</b> Minimum investment return from Part X, line 6	N/A	<b>1</b>	
<b>2a</b> Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>		
<b>b</b> Income tax for 2009 (This does not include the tax from Part VI)	<b>2b</b>		
<b>c</b> Add lines 2a and 2b		<b>2c</b>	
<b>3</b> Distributable amount before adjustments Subtract line 2c from line 1		<b>3</b>	
<b>4</b> Recoveries of amounts treated as qualifying distributions		<b>4</b>	
<b>5</b> Add lines 3 and 4		<b>5</b>	
<b>6</b> Deduction from distributable amount (see instructions)		<b>6</b>	
<b>7</b> Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		<b>7</b>	

**Part XII** **Qualifying Distributions** (see instructions)

<b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b> Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	<b>1a</b>	1,065,150.
<b>b</b> Program-related investments — total from Part IX-B	<b>1b</b>	
<b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b> Amounts set aside for specific charitable projects that satisfy the		
<b>a</b> Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b> Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b> Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,065,150.
<b>5</b> Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	4,679.
<b>6</b> Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	1,060,471.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2009.				
a Enter amount for 2008 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e				
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ _____				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2009 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

BAA

Form 990-PF (2009)

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1 a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling					8/17/88
<b>b</b> Check box to indicate whether the foundation is a private operating foundation described in section	<input checked="" type="checkbox"/> 4942(j)(3) or				<input type="checkbox"/> 4942(j)(5)
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
	357,025.	612,016.	439,460.	451,125.	1,859,626.
<b>b</b> 85% of line 2a	303,471.	520,214.	373,541.	383,456.	1,580,682.
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed	1,065,150.	872,235.	1,144,846.	1,049,428.	4,131,659.
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					0.
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	1,065,150.	872,235.	1,144,846.	1,049,428.	4,131,659.
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> 'Assets' alternative test — enter					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	550,626.	616,716.	735,187.	689,148.	2,591,677.
<b>c</b> 'Support' alternative test — enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

SEE STATEMENT 9

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

N/A

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
<b>Total</b>			<b>3a</b>	<b>0.</b>
<b>b</b> Approved for future payment				
<b>Total</b>			<b>3b</b>	<b>0.</b>

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	3,480.	
4	Dividends and interest from securities			14	431,098.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	-57,282.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				377,296.	
13	Total. Add line 12, columns (b), (d), and (e)					377,296.

(See worksheet in the instructions for line 13 to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



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## FEDERAL STATEMENTS

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RAYMOND &amp; BEVERLY SACKLER FOUNDATION, INC

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**STATEMENT 1**  
**FORM 990-PF, PART I, LINE 16C**  
**OTHER PROFESSIONAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGERIAL FEES	\$ 62,261.	\$ 62,261.	\$ 62,261.	
<b>TOTAL</b>	<b>\$ 62,261.</b>	<b>\$ 62,261.</b>	<b>\$ 62,261.</b>	<b>\$ 0.</b>

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 18**  
**TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL DOMESTIC TAX	\$ 7,755.			
FOREIGN TAX WITHHELD	15,159.	\$ 15,159.	\$ 15,159.	
<b>TOTAL</b>	<b>\$ 22,914.</b>	<b>\$ 15,159.</b>	<b>\$ 15,159.</b>	<b>\$ 0.</b>

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 23**  
**OTHER EXPENSES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	\$ 72.	\$ 72.	\$ 72.	
FOREIGN FEES	61.	61.	61.	
INSURANCE	19,913.			\$ 19,913.
LAB SUPPLIES	224,835.			224,835.
MISC.	10.			10.
NON-DEDUCTIBLE PENALTY	922.			
OFFICE & FILING FEES	1,515.			1,515.
<b>TOTAL</b>	<b>\$ 247,328.</b>	<b>\$ 133.</b>	<b>\$ 133.</b>	<b>\$ 246,273.</b>

**STATEMENT 4**  
**FORM 990-PF, PART II, LINE 10B**  
**INVESTMENTS - CORPORATE STOCKS**

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BERKSHIRE HATHAWAY INC CL A	COST	\$ 96,986.	\$ 198,400.
COMCAST CORP CL A SPL	COST	341,643.	320,200.
E W SCRIPPS COMPANY CO CL A	COST	0.	0.
DIAGEO, PLC	COST	178,002.	262,576.
HASBRO INC	COST	41,260.	61,716.
HEINEKEN HOLDING NV ORD-A	COST	362,985.	606,100.
MARTIN MARIETTA MATERIALS INC	COST	128,106.	318,210.
NESTLE REG ADR	COST	450,339.	898,380.

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**STATEMENT 4 (CONTINUED)**  
**FORM 990-PF, PART II, LINE 10B**  
**INVESTMENTS - CORPORATE STOCKS**

<u>CORPORATE STOCKS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
NORGES HANDELS OG SJOF	COST	\$ 22,362.	\$ 18,128.
PHILIP MORRIS CR AS	COST	0.	0.
TELEGRAAF HOLDING CVA	COST	0.	0.
WELLS FARGO	COST	265,862.	290,143.
WASHINGTON POST CO CL B	COST	268,361.	198,699.
BROWN-FORMAN CORP CL A	COST	111,044.	220,177.
CIE FINANC RICHEMONT A-SW	COST	286,199.	551,816.
AMERICAN EXPRESS COMPANY	COST	83,248.	91,170.
ALTRIA GROUP INC	COST	334,794.	299,358.
BERKSHIRE HATHAWAY CLASS B	COST	503,771.	548,762.
SCHIBSTED A/S	COST	43,392.	61,933.
TWEEDY BROWNE GLOBAL VALUE FUND	COST	1,374,788.	1,147,113.
UNILEVER NV WI	COST	226,704.	306,327.
CADBURY SCHWEPPE'S ORD	COST	144,344.	215,071.
PERNOD RICARD SA ORD	COST	324,568.	455,563.
SCRIPPS NETWORKS	COST	227,126.	297,887.
PHILLIP MORRIS INTL	COST	366,043.	672,251.
WELLPOINT (TWEEDY BROWNE)	COST	16,472.	19,236.
BRITISH AMERICAN TOBACCO COMPANY	COST	235,555.	262,951.
BROWN FORMAN CL B	COST	0.	0.
SABMILLER	COST	317,994.	498,335.
	TOTAL	\$ 6,751,948.	\$ 8,820,502.

**STATEMENT 5**  
**FORM 990-PF, PART II, LINE 10C**  
**INVESTMENTS - CORPORATE BONDS**

<u>CORPORATE BONDS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
AP SHORT TERM INCOME FUND	COST	\$ 2,442,225.	\$ 2,075,773.
	TOTAL	\$ 2,442,225.	\$ 2,075,773.

**STATEMENT 6**  
**FORM 990-PF, PART II, LINE 13**  
**INVESTMENTS - OTHER**

<u>OTHER INVESTMENTS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
PERRY PARTNERS INTERNATIONAL	COST	\$ 1,258,878.	\$ 4,455,082.
	TOTAL	\$ 1,258,878.	\$ 4,455,082.



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**STATEMENT 7**  
**FORM 990-PF, PART II, LINE 14**  
**LAND, BUILDINGS, AND EQUIPMENT**

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
MACHINERY AND EQUIPMENT	\$ 189,316.	\$ 181,544.	\$ 7,772.	\$ 0.
TOTAL	\$ 189,316.	\$ 181,544.	\$ 7,772.	\$ 0.

**STATEMENT 8**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	1,780,000 SH US TREAS NOTE @ 5.500% 5/15/09	PURCHASED	6/29/1999	5/15/2009
2	CAVANAL HILL - STCG DISTR.	PURCHASED	VARIOUS	12/15/2009
3	125,000 SH FNMA KE7	PURCHASED	9/20/2002	4/09/2009
4	190,000 SH FHLMC NA3	PURCHASED	12/12/2002	5/05/2009
5	215,000 SH FHLMC E62	PURCHASED	3/07/2003	5/05/2009
6	160,000 SH FHLMC F53	PURCHASED	3/13/2003	10/16/2009
7	205,000 SH FHLMC 2J7	PURCHASED	3/26/2003	10/16/2009
8	39,000 SH FHLMC YC7	PURCHASED	3/24/2004	5/05/2009
9	100,000 SH FNMA H41	PURCHASED	3/26/2004	4/06/2009
10	977 SH BROWN FORMAN CL B	DONATED	10/31/2008	1/22/2009
11	6,050 SH CADBURY PLC	PURCHASED	VARIOUS	12/29/2009
12	2392 SH EW SCRIPPS CO	DONATED	VARIOUS	6/26/2009
13	150 SH HASBRO	PURCHASED	9/25/2000	4/23/2009
14	750 SH ALTRIA GROUP INC	PURCHASED	11/12/2007	8/17/2009
15	1 SH PERNOD RICARD S A	PURCHASED	4/02/2008	12/28/2009
16	4,500 SH PERNOD RICARD S A RIGHTS EXP	PURCHASED	VARIOUS	4/24/2009
17	200 SH PHILLIP MORRIS CRA SCZK	DONATED	VARIOUS	1/23/2009
18	2,750 SH SCHIBSTED ASA RIGHTS EXP	PURCHASED	VARIOUS	7/01/2009
19	2,675 SH TELEGRAAF MEDIA GROEP	DONATED	VARIOUS	1/08/2009
20	1,400 SH TELEGRAAF MEDIA GROEP	DONATED	VARIOUS	5/15/2009
21	1,000 SH TELEGRAAF MEDIA GROEP	DONATED	VARIOUS	6/02/2009
22	1,925 SH TELEGRAAF MEDIA GROEP	DONATED	7/16/1991	6/15/2009
23	425 SH WELLS FARGO	PURCHASED	5/31/2006	11/02/2009
24	475 SH WELLS FARGO	PURCHASED	10/29/2007	11/02/2009

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	1723441.		1723441.	0.				\$ 0.
2	189.		0.	189.				189.
3	125,000.		125,000.	0.				0.
4	190,000.		190,000.	0.				0.
5	215,000.		215,000.	0.				0.
6	160,000.		160,000.	0.				0.
7	205,000.		205,000.	0.				0.
8	39,000.		39,000.	0.				0.
9	100,000.		99,000.	1,000.				1,000.
10	46,314.		19,732.	26,582.				26,582.
11	76,116.		66,467.	9,649.				9,649.
12	5,260.		6,897.	-1,637.				-1,637.
13	4,081.		1,663.	2,418.				2,418.
14	13,120.		16,824.	-3,704.				-3,704.
15	43.		51.	-8.				-8.

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STATEMENT 8 (CONTINUED)  
 FORM 990-PF, PART IV, LINE 1  
 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
16	16,036.		17,240.	-1,204.				\$ -1,204.
17	52,291.		28,774.	23,517.				23,517.
18	6,232.		3,688.	2,544.				2,544.
19	43,476.		38,851.	4,625.				4,625.
20	25,041.		8,260.	16,781.				16,781.
21	18,555.		5,652.	12,903.				12,903.
22	33,572.		10,388.	23,184.				23,184.
23	11,569.		14,148.	-2,579.				-2,579.
24	12,930.		16,295.	-3,365.				-3,365.
							TOTAL	\$ 110,895.

STATEMENT 9  
 FORM 990-PF, PART XV, LINE 1A  
 FOUNDATION MANAGERS - 2% OR MORE CONTRIBUTORS

RAYMOND R. SACKLER, MD  
 RICHARD S. SACKLER, MD  
 JONATHAN D. SACKLER  
 BEVERLY SACKLER

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## STATEMENT 10

FORM 990-PF, PART IX-A, LINE 1  
SUMMARY OF DIRECT CHARITABLE ACTIVITIES

DURING 2009, MEDICAL RESEARCH WAS CONDUCTED BY THE FOUNDATION'S PERSONNEL AT THE FOUNDATION'S SEVEN MEDICAL RESEARCH LABORATORIES. AS OF 12/31/09, THE FOUNDATION CONDUCTED RESEARCH IN THE FIELDS OF CELLULAR AND MOLECULAR BIOLOGY. THE FOLLOWING IS A SUMMARY OF THE FOUNDATION'S ACTIVITY IN 2009:

RESEARCH FACILITY	NUMBER OF PERSONNEL @ 12/31/09	PUBLISHED PAPERS
TUFTS UNIVERSITY 136 HARRISON AVE BOSTON, MA	2	2
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVE. CAMBRIDGE, MA	2	0
MEDICAL UNIVERSITY OF OHIO 3035 ARLINGTON AVE. TOLEDO, OH	3	0
YALE UNIVERSITY 300 CEDAR STREET NEW HAVEN, CT	2	1
BROWN UNIVERSITY 70 SHIP STREET PROVIDENCE, RI	3	0
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVE NEW YORK, NY	1	1
CANCER INSTITUTE OF NEW JERSEY 335 GEORGE STREET LIBERTY PLAZA, STE. 3450 NEW BRUNSWICK, NJ	5	0

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## 2009 FEDERAL BOOK DEPRECIATION SCHEDULE

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## STATEMENT 11

NO.	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST/ BASIS	BUS. PCT.	CUR 179 BONUS	SPECIAL DEPR ALLOW	PRIOR 179/ BONUS/ SP. DEPR	PRIOR DEC BAL DEPR	SALVAGE /BASIS REDUCT	DEPR BASIS	PRIOR DEPR	METHOD	LIFE	RATE	CURRENT DEPR
FORM 990/990-PF																
MACHINERY AND EQUIPMENT																
1	LUMINOMETER	4/01/91		10,517							10,517		S/L	10		0
2	LAB COMPUTER	1/01/92		2,625							2,625		S/L	10		0
3	ROTOR W/TUBES AND CAPS	3/01/91		7,119							7,119		S/L	10		0
4	3000XI POWER SUPPLY	3/01/91		2,395							2,395		S/L	10		0
5	MDL 1000/500 POWER SUPPLY	3/01/91		2,190							2,190		S/L	10		0
6	583 GEL DRYER	3/01/91		1,047							1,047		S/L	10		0
7	PROTEAN II XI 16CM	3/01/91		1,070							1,070		S/L	10		0
8	SPACERS, 16CM X 1MM	3/01/91		45							45		S/L	10		0
9	TEFLON CONE	3/01/91		33							33		S/L	10		0
10	2 PROTEAN II INNER PLATES	3/01/91		34							34		S/L	10		0
11	TRANS BLOT CELL	3/01/91		510							510		S/L	10		0
12	SUPER COOLING COIL	3/01/91		120							120		S/L	10		0
13	3 MINI SUB CELL	3/01/91		645							645		S/L	10		0
14	3 COMB MINI-SUB	3/01/91		69							69		S/L	10		0
15	3 COMB MINI-SUB, 15	3/01/91		69							69		S/L	10		0
16	6 COMB HOLDERS	3/01/91		228							228		S/L	10		0
17	2 MINI PREP COMB	3/01/91		46							46		S/L	10		0
18	4 8X10 XRAY CASSETTE	3/01/91		320							320		S/L	10		0
19	2 14X17 XRAY CASSETTE	3/01/91		212							212		S/L	10		0
20	4 INTENSIFYING SCRIN 8X10	3/01/91		300							300		S/L	10		0
21	2 INTENSIFYING SCRIN 14X17	3/01/91		440							440		S/L	10		0
22	PLATFORM/G24	3/01/91		350							350		S/L	10		0
23	COMB CELL 20	3/01/91		30							30		S/L	10		0

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## STATEMENT 11

NO.	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST/ BASIS	BUS PCT.	CUR 179 BONUS	SPECIAL DEPR. ALLOW.	PRIOR 179/ BONUS/ SP. DEPR.	PRIOR DEC BAL DEPR.	SALVAG /BASIS REDUCT	DEPR BASIS	PRIOR DEPR.	METHOD	LIFE	RATE	CURRENT DEPR.
24	WIDE MINI SUB CELL	3/01/91		316							316			S/L	10	0
25	SUB CELL SYSTEM	3/01/91		407							407			S/L	10	0
26	PER COMB 2 WELL	3/01/91		52							52			S/L	10	0
27	GEL TRAY 15X10	3/01/91		52							52			S/L	10	0
28	GEL TRAY 15X20	3/01/91		52							52			S/L	10	0
29	DUAL CHAMBER INCUBATOR	4/01/91		6,238							6,238			S/L	10	0
30	SHAKER INCUBATOR	4/01/91		3,120							3,120			S/L	10	0
31	UPRIGHT FREEZER	5/01/91		4,495							4,495			S/L	10	0
32	ELUTRAP SYSTEM	11/01/94		3,504							3,504			S/L	10	0
33	TISSUE CULTURE ROTATOR	8/01/92		1,052							1,052			S/L	10	0
34	PRODIGENE SOFTWARE & DGTZ	8/01/92		5,475							5,475			S/L	10	0
35	PRESSURE BLOTTER	8/01/92		1,400							1,400			S/L	10	0
36	NANOFUGE	10/01/92		639							639			S/L	10	0
37	CD ROM	3/01/93		651							651			S/L	10	0
38	SHAKER INCUBATOR	9/01/96		4,139							4,139			S/L	10	0
39	SHAKER PLATFORM	4/01/97		624							624			S/L	10	0
40	GNE AMP PCR SYSTEM	5/01/97		3,073							3,073			S/L	10	0
41	COMPUTER	6/01/97		2,385							2,385			S/L	10	0
42	MAC POWERBOOK	8/01/97		5,096							5,096			S/L	10	0
43	ROTATOR	11/01/98		631							631			S/L	10	0
44	LIGHTTOOLS CAMERA	12/01/98		7,728							7,728			S/L	10	0
45	PRINTER	12/01/98		7,420							7,420			S/L	10	0
46	OPTICAL UNIT	11/01/99		2,585							2,585			S/L	10	212
47	PRINTER	6/01/99		949							949			S/L	10	39
48	NIKON MICROSCOPE	4/01/99		8,378							8,378			S/L	10	210
49	COMPUTER	5/01/99		1,980							1,980			S/L	10	66
50	MICROSCOPE	5/01/99		5,666							5,666			S/L	10	188

12/31/09

## 2009 FEDERAL BOOK DEPRECIATION SCHEDULE

PAGE 3

CLIENT FOUNDATI

RAYMOND &amp; BEVERLY SACKLER FOUNDATION, INC

23-7022467

7/22/10

09 45AM

## STATEMENT 11

NO.	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST/ BASIS	BUS PCT	CUR 179 BONUS	SPECIAL DEPR ALLOW	PRIOR 179/ BONUS/ SP DEPR	PRIOR DEC BAL DEPR	SALVAGE /BASIS REDUCT	DEPR BASIS	PRIOR DEPR	METHOD	LIFE	RATE	CURRENT DEPR
51	PIPETTE PULLER	7/01/99		6,357							6,357	6,041	S/L	10		316
52	CAMERA SYSTEM	8/01/99		13,819							13,819	13,013	S/L	10		806
53	MINI TRANSBLOT SYSTEM	1/01/00		883							883	793	S/L	10		90
54	POWERPAC 3000	3/01/00		1,814							1,814	1,600	S/L	10		181
55	SCREEN CASSETTE	3/01/00		2,366							2,366	2,092	S/L	10		237
56	PRESSURE VESSEL	3/01/00		1,354							1,354	1,194	S/L	10		135
57	APPLE COMPUTER	8/01/00		1,468							1,468	1,237	S/L	10		147
58	NIKON MICROSCOPE	6/01/00		25,048							25,048	21,498	S/L	10		2,505
59	MJ RESEARCH DNMA ENGINE	1/01/01		8,017							8,017	6,415	S/L	10		802
60	ILLUMINATOR	3/01/02		9,716							9,716	6,641	S/L	10		972
61	BLOOD PRESSURE MONITOR	6/01/06		4,890							4,890	1,268	S/L	10		489
62	MTX LAB UV SPECTRUM	1/01/01		5,063							5,063	4,050	S/L	10		506
TOTAL MACHINERY AND EQUIPME																
				189,316		0	0	0	0	0	189,316	173,644				7,901
TOTAL DEPRECIATION																
				189,316		0	0	0	0	0	189,316	173,644				7,901
GRAND TOTAL DEPRECIATION																
				189,316		0	0	0	0	0	189,316	173,644				7,901

**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box . . . . . ☒ **X**  
• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only . . . . . ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

<b>Type or print</b>  File by the due date for filing your return. See instructions.	Name of Exempt Organization <b>Raymond &amp; Beverly Sackler Foundation, Inc.</b>	Employer identification number <b>23 7022467</b>
	Number, street, and room or suite no. If a P.O. box, see instructions <b>17 East 62 Street</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>New York, NY 10021</b>	

**Check type of return to be filed** (file a separate application for each return):

- |   |   |                                    |
|---|---|------------------------------------|
| <input type="checkbox"/> Form 990               | <input type="checkbox"/> Form 990-T (corporation)                 | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL            | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ            | <input type="checkbox"/> Form 990-T (trust other than above)      | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A                              | <input type="checkbox"/> Form 8870 |

• The books are in the care of ► Chadbourn & Parke, LLP

Telephone No. ► ( 212 ) 408-5520 FAX No. ► ( 212 ) 408-1644

- If the organization does not have an office or place of business in the United States, check this box . . . . . ☐  
• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . . . . . . If this is for the whole group, check this box . . . . . ☐ . If it is for part of the group, check this box . . . . . ☐ and attach a list with the names and EINs of all members the extension will cover

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until August 15, 2010, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
► ☒ calendar year 2009 or  
► ☐ tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_

- 2** If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b> \$ <u>1900.00</u>
<b>b</b> If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b> \$ <u>1900.00</u>
<b>c Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b> \$ <u>0</u>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

Form **990-W**

(Worksheet)

Department of the Treasury  
Internal Revenue Service

FOR FORM 990-PF PURPOSES  
**Estimated Tax on Unrelated Business Taxable  
 Income for Tax-Exempt Organizations**  
 (and on Investment Income for Private Foundations)  
 (Keep for your records. Do not send to the Internal Revenue Service.)

OMB No 1545-0976

**2010**

1	Unrelated business taxable income expected in the tax year	1	
2	Tax on the amount on line 1. See instructions for tax computation	2	
3	Alternative minimum tax (see instructions)	3	
4	Total Add lines 2 and 3	4	
5	Estimated tax credits (see instructions)	5	
6	Subtract line 5 from line 4	6	
7	Other taxes (see instructions)	7	
8	Total Add lines 6 and 7	8	
9	Credit for federal tax paid on fuels (see instructions)	9	
10a	Subtract line 9 from line 8 <b>Note.</b> If less than \$500, the organization is not required to make estimated tax payments Private foundations, see instructions	10a	4,680.
b	Enter the tax shown on the 2009 return (see instructions). <b>Caution.</b> If zero or the tax year was for less than 12 months, skip this line and enter the amount from line 10a on line 10c	10b	
c	<b>2010 Estimated Tax.</b> Enter the smaller of line 10a or line 10b. If the organization is required to skip line 10b, enter the amount from line 10a on line 10c	10c	4,680.

		(a)	(b)	(c)	(d)	
11	Installment due dates (see instructions)	11	5/17/10	6/15/10	9/15/10	12/15/10
12	Required installments. Enter 25% of line 10c in columns (a) through (d) unless the organization uses the annualized income installment method, the adjusted seasonal installment method, or is a 'large organization' (see instructions)	12	1,170.	1,170.	1,170.	1,170.
13	2009 Overpayment. (see instructions)	13	1,170.	1,170.	522.	0.
14	Payment due. (Subtract line 13 from line 12.)	14	0.	0.	648.	1,170.

BAA For Paperwork Reduction Act Notice, see separate instructions.

Form 990-W (2010)