



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

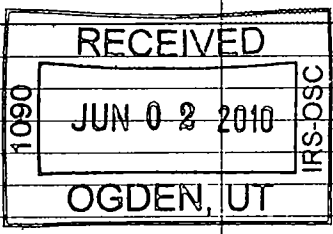
Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year **2009**, or tax year beginning , and ending

G Check all that apply Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Use the IRS label. Otherwise, print or type See Specific Instructions	Name of foundation HARLAN E. ANDERSON FOUNDATION		A Employer identification number 23-7011480
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (203) 544-8488
	City or town, state, and ZIP code REDDING, CT 06896		C If exemption application is pending, check here <input type="checkbox"/> D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 2847778.		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>			N/A	
1 Contributions, gifts, grants, etc., received				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	74513.	74513.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-10809.			
b Gross sales price for all assets on line 6a 1101735.				
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	193.	0.		STATEMENT 2
12 Total Add lines 1 through 11	63897.	74513.		
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
a Legal fees				
b Accounting fees				
c Other professional fees STMT 3	15517.	15517.		0.
17 Interest				
18 Taxes STMT 4	2643.	2023.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 5	1113.	1113.		0.
24 Total operating and administrative expenses Add lines 13 through 23	19273.	18653.		0.
25 Contributions, gifts, grants paid	139500.			139500.
26 Total expenses and disbursements. Add lines 24 and 25	158773.	18653.		139500.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-94876.			
b Net investment income (if negative, enter -0-)		55860.		
c Adjusted net income (if negative, enter -0-)			N/A	



SCANNED JUN 07 2010

614

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	96137.	76997.	76997.
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 6	2031678.	1955232.	2761697.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 7	9119.	9088.	9084.
14 Land, buildings, and equipment basis ▶ Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	2136934.	2041317.	2847778.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted and complete lines 24 through 26 and lines 30 and 31			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	2040797.	1964320.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	96137.	76997.		
30 Total net assets or fund balances	2136934.	2041317.		
31 Total liabilities and net assets/fund balances	2136934.	2041317.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2136934.
2 Enter amount from Part I, line 27a	2	-94876.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	2042058.
5 Decreases not included in line 2 (itemize) ▶ INCOME ON BOOKS NOT ON RETURN	5	741.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2041317.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a SEE ATTACHED JP MORGAN SCHEDULE	P		
b SEE ATTACHED JP MORGAN SCHEDULE	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 783712.		740896.	42816.
b 318023.		371648.	-53625.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			42816.
b			-53625.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-10809.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	121590.	3248680.	.037428
2007	177485.	3804388.	.046653
2006	174009.	3506470.	.049625
2005	126500.	3055636.	.041399
2004	118000.	2908877.	.040565

2 Total of line 1, column (d)	2	.215670
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.043134
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2620128.
5 Multiply line 4 by line 3	5	113017.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	559.
7 Add lines 5 and 6	7	113576.
8 Enter qualifying distributions from Part XII, line 4	8	139500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculation. Includes sub-tables for credits (6a-6d) and a 'Refunded' amount. Total amount owed is 681.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity statements and Yes/No columns. Includes questions about political campaigns, unrelated business income, and state reporting. N/A is noted for question 4b.

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X		
14	The books are in care of ▶ HARLAN E. ANDERSON Telephone no ▶ (203) 544-8488 Located at ▶ 2113 MEADOW RIDGE, REDDING, CT ZIP+4 ▶ 06896			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HARLAN E. ANDERSON 2113 MEADOW RIDGE REDDING, CT 06896	TRUSTEE 1.00	0.	0.	0.
LOIS J. ANDERSON 2113 MEADOW RIDGE REDDING, CT 06896	TRUSTEE 1.00	0.	0.	0.
SUSAN L ABBOTT GOODWIN PROCTER LLP, EXCHANGE PLACE BOSTON, MA 021092881	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
	0.
2	
All other program-related investments See instructions	
3 N/A	
	0.
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2571276.
b	Average of monthly cash balances	1b	77366.
c	Fair market value of all other assets	1c	11386.
d	Total (add lines 1a, b, and c)	1d	2660028.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2660028.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	39900.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2620128.
6	Minimum investment return. Enter 5% of line 5	6	131006.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	131006.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	559.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	559.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	130447.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	130447.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	130447.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	139500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	139500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	559.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	138941.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				130447.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			46233.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 139500.				
a Applied to 2008, but not more than line 2a			46233.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				93267.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				37180.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(i)(3) or 4942(i)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
3 Subtract line 2d from line 2c					
Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(i)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

HARLAN E. ANDERSON

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

HARLAN E. ANDERSON, 203-544-8488
2113 MEADOW RIDGE, REDDING, CT 06896

b The form in which applications should be submitted and information and materials they should include

NO STANDARD FORMAT

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

LOCAL AREA PREFERENCE, ELDERLY AND EDUCATIONAL PROGRAMS PREFERRED.

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T) However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Type or print	Name of Exempt Organization HARLAN E. ANDERSON FOUNDATION	Employer identification number 23-7011480
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions 2113 MEADOW RIDGE	
	City, town or post office, state, and ZIP code For a foreign address, see instructions REDDING, CT 06896	

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|--|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

HARLAN E. ANDERSON

- The books are in the care of ▶ **2113 MEADOW RIDGE - REDDING, CT 06896**
Telephone No ▶ **(203) 544-8488** FAX No ▶ _____
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ▶ . If it is for part of the group, check this box ▶ and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2010**, to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ calendar year **2009** or
▶ tax year beginning _____, and ending _____

2 If this tax year is for less than 12 months, check reason Initial return Final return Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	\$	1117.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	\$	1240.
c Balance Due. Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
JP MORGAN CHASE BANK	43237.	0.	43237.
JP MORGAN CHASE BANK	25837.	0.	25837.
JP MORGAN CHASE BANK	5439.	0.	5439.
TOTAL TO FM 990-PF, PART I, LN 4	74513.	0.	74513.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FEDERAL REFUND	218.	0.	
J.P.MORGAN	-25.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	193.	0.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GOODWIN PROCTER LLP	2695.	2695.		0.
ROCKEFELLER & CO	12822.	12822.		0.
TO FORM 990-PF, PG 1, LN 16C	15517.	15517.		0.

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INTERNAL REVENUE SERVICE	620.	0.			0.
FOREIGN TAXES	2023.	2023.			0.
TO FORM 990-PF, PG 1, LN 18	2643.	2023.			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CUSTODIAN FEES	1113.	1113.			0.
TO FORM 990-PF, PG 1, LN 23	1113.	1113.			0.

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE	
SEE ATTACHED SHEDULE		1955232.	2761697.	
TOTAL TO FORM 990-PF, PART II, LINE 10B		1955232.	2761697.	

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	7
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
VARIOUS OTHER ASSETS	COST	9088.	9084.	
TOTAL TO FORM 990-PF, PART II, LINE 13		9088.	9084.	

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 8

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AMERICARES 88 HAMILTON AVENUE STAMFORD, CT 06902	NONE FOR CURRENT YEAR PROGRAMS		5000.
BATES COLLEGE 2 ANDREWS ROAD LEWISTON, ME 04240	NONE FOR CURRENT YEAR PROGRAMS		2500.
BOSTON SYMPHONY ORCHESTRA-ANNUAL FUND SYMPHONY HALL 450 MASSACHUSETTS AVENUE BOSTON, MA 02115-4511	NONE FOR CURRENT YEAR PROGRAMS		16000.
CHURCH PLEDGE-CONGRGATIONAL CHURCH 1 PARK STREET NEW CANAAN, CT 06840	NONE FOR CURRENT YEAR PROGRAMS		65000.
COMPUTER HISTORY MUSEUM 1401 N. SHORELINE BLVD MOUNTAIN VIEW, CA 94043	NONE FOR CURRENT YEAR PROGRAMS		5000.
GONZAGA UNIVERSITY 502 E. BOONE AVENUE SPOKANE, WA 99258-0098	NONE FOR CURRENT YEAR PROGRAMS		2500.
GREENWICH CHORAL SOCIETY 299 GREENWICH AVENUE GREENWICH, CT 06836	NONE FOR CURRENT YEAR PROGRAMS		1000.
HABITAT FOR HUMANITY INTERNATIONAL 121 HABITAT STREET AMERICUS, GA 31709-3498	NONE FOR CURRENT YEAR PROGRAMS		5000.

HORIZONS 545 PONUD RIDGE NEW CANAAN, CT 06840	NONE FOR CURRENT YEAR PROGRAMS	5000.
NEW CANAAN COMMUNITY FOUNDATION P.O.BOX 1285 NEW CANAAN, CT 06840	NONE FOR CURRENT YEAR PROGRAMS	1000.
NORTHWESTERN UNIVERSITY 2020 RIDGE AVENUE EVANSTON, IL 60208-4305	NONE FOR CURRENT YEAR PROGRAMS	1000.
NORWALK COMMUNITY COLLEGE FOUNDATION 188 RICHARDS AVENUE NORWALK, CT 06854	NONE FOR CURRENT YEAR PROGRAMS	5000.
NURSING & HOME CARE P.O.BOX 489 WILTON, CT 06897	NONE FOR CURRENT YEAR PROGRAMS	2500.
OXFAM 226 CAUSEWAY ST BOSTON, MA 02114	NONE FOR CURRENT YEAR PROGRAMS	2500.
RENSSELAER POLYTECHNIC INSTITUTE 110 EIGHTH ST TROY, NY 12180-3590	NONE FOR CURRENT YEAR PROGRAMS	3000.
THE MACULAR FOUNDATION 210 EAST 64TH ST NEW YORK, NY 10065	NONE FOR CURRENT YEAR PROGRAMS	5000.
UNIVERSITY OF ILLINOIS FOUNDATION 1305 WEST GREEN ST URBANA, IL 61801-2962	NONE FOR CURRENT YEAR PROGRAMS	5000.
VOLUNTEERS FOR OUTDOOR COLORADO 600 S. MARION PARKWAY DENVER, CO 80209	NONE FOR CURRENT YEAR PROGRAMS	2500.

HARLAN E. ANDERSON FOUNDATION

23-7011480

WAVENEY CARE CENTER
3 FARM ROAD NEW CANAAN, CT
06840

NONE
FOR CURRENT YEAR
PROGRAMS

5000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

139500.

Detailed Statement of Assets

The Harlan E. Anderson Foundation - Total Relationship (R9194)
As of 12/31/2009

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Current Yield	Est. Ann. Income
Cash and Cash Equivalents								
Cash			75,675.75	75,675.75 *	0.00	2.66	0.07	53
Securities								
Fixed Income								
North America								
American Express, 7.25% Due 05/20/2014	15,000	112.84	14,978.60	16,925.57	1,946.97	0.59	6.43	1,088
Autozone Inc, 6.95% Due 06/15/2016	15,000	108.41	15,150.00	16,261.29	1,111.29	0.57	6.41	1,043
Boeing, 5.00% Due on 03/15/2014	20,000	107.65	19,924.03	21,530.98	1,606.95	0.76	4.64	1,000
Coca-Cola Co 4.875% Due on 3/15/2019	30,000	104.17	29,922.17	31,250.25	1,328.08	1.10	4.68	1,463
Federal Farm Credit FCCB 2.125% Due 06/18/12	40,000	101.25	39,920.56	40,500.00	579.44	1.42	2.10	850
General Electric Capital Corp, 4.00% Due 2/15/12	40,000	102.56	40,000.00	41,022.84	1,022.84	1.44	3.90	1,600
General Electric Capital Corp., 5.9% Due 05/13/14	25,000	108.11	24,975.16	27,026.80	2,051.64	0.95	5.46	1,475
Halliburton Company Notes, 6.15% Due on 09/15/19	20,000	111.67	19,934.92	22,334.22	2,399.30	0.78	5.51	1,230
Honeywell International Bonds, 5.00% Due on 2/15/2019	25,000	103.55	24,552.74	25,886.68	1,333.94	0.91	4.83	1,250
Honeywell International Bonds, 6.125% Due 11/01/11	100,000	109.01	103,307.71	109,011.40	5,703.69	3.83	5.62	6,125
Medtronic, Inc., 5.60% Due on 03/15/19	20,000	107.65	19,986.90	21,530.56	1,543.66	0.76	5.20	1,120
Microsoft Corp 2.95% Due 06/01/14	15,000	101.07	15,076.99	15,160.68	83.69	0.53	2.92	443
Oracle Corp 4.95% Due 4/15/2013	15,000	107.31	15,824.84	16,095.92	271.08	0.57	4.61	743
Pfizer Inc., 6.20% Due on 03/15/2019	40,000	111.16	39,961.62	44,464.88	4,503.26	1.56	5.58	2,480
Walt Disney Company Nt 5.50% Due on 03/15/19	20,000	107.14	19,965.65	21,428.38	1,462.73	0.75	5.13	1,100
Wells Fargo Co 4.375% Due 01/31/13	40,000	103.87	39,920.90	41,547.20	1,626.30	1.46	4.21	1,750
Total North America			483,402.79	511,977.65	28,574.86	17.99	4.84	24,758
Europe ex United Kingdom								
Nokia Corp 5.375% Due 05/15/19	15,000	102.09	15,028.68	15,314.13	285.45	0.54	5.26	806
Statoil 5.125% Due 04/30/2014	100,000	108.57	99,713.47	108,569.10	8,855.63	3.81	4.72	5,125

* - Settled cash is invested in JPM US Gov Inst MM; yield is estimated.

Detailed Statement of Assets

The Harlan E. Anderson Foundation - Total Relationship (R9194)
As of 12/31/2009

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Current Yield	Est. Ann. Income
Total Europe ex United Kingdom			114,742.15	123,883.23	9,141.08	4.35	4.79	5,931
Total Fixed Income			598,144.94	635,860.88	37,715.94	22.34	4.83	30,689
Equity								
North America								
Advance Auto Parts, Inc.	320	40.48	12,262.03	12,953.60	691.57	0.46	0.59	77
Alexion Pharmaceuticals, Inc.	180	48.82	8,201.83	8,787.60	585.77	0.31	0.00	0
Ameriprise Financial, Inc	670	38.82	24,557.85	26,009.40	1,451.55	0.91	1.75	456
Amgen Inc.	362	56.57	19,164.33	20,478.34	1,314.01	0.72	0.00	0
Annaly Mortgage Management Inc.	880	17.35	15,017.70	15,268.00	250.30	0.54	13.20	2,015
Avery Dennison Corp	750	36.49	22,030.95	27,367.50	5,336.55	0.96	2.19	600
Bank of America Corporation	1,840	15.06	31,885.51	27,710.40	-4,175.11	0.97	0.27	74
BioMarin Pharmaceutical Inc.	530	18.81	12,718.26	9,969.30	-2,748.96	0.35	0.00	0
Capital One Financial Corp	770	38.34	19,954.50	29,521.80	9,567.30	1.04	0.52	154
CareFusion Corporation	630	25.01	11,363.34	15,756.30	4,392.96	0.55	0.00	0
Cisco Systems, Inc.	1,580	23.94	27,704.17	37,825.20	10,121.03	1.33	0.00	0
Comcast Corporation - CL A	2,170	16.86	35,588.75	36,586.20	997.45	1.29	2.19	803
Continental Resources, Inc.	400	42.89	9,433.95	17,156.00	7,722.05	0.60	0.00	0
Covidien Limited	530	47.89	18,975.90	25,381.70	6,405.80	0.89	1.50	382
Exxon Mobil Corporation	6,556	68.19	16,217.19	447,053.64	430,836.45	15.71	2.46	11,014
FedEx Corporation	170	83.45	9,082.15	14,186.50	5,104.35	0.50	0.53	75
Genzyme Corporation	450	49.01	23,933.37	22,054.50	-1,878.87	0.77	0.00	0
Goldman Sachs Group, Inc.	107	168.84	10,454.54	18,065.88	7,611.34	0.63	0.83	150
Google Inc - Class A	73	619.98	44,563.19	45,258.54	695.35	1.59	0.00	0
Hologic, Inc.	1,270	14.50	30,592.56	18,415.00	-12,177.56	0.65	0.00	0
Honeywell Inc.	900	39.20	34,281.63	35,280.00	998.37	1.24	3.09	1,089

Detailed Statement of Assets

The Harlan E. Anderson Foundation - Total Relationship (R9194)
As of 12/31/2009

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Current Yield	Est. Ann. Income
Int'l Business Machines Corp	400	130.90	30,986.40	52,360.00	21,373.60	1.84	1.68	880
Intel Corp.	890	20.40	12,978.60	18,156.00	5,177.40	0.64	2.75	498
Laboratory Corp of America Holdings	260	74.84	16,999.08	19,458.40	2,459.32	0.68	0.00	0
Mastercard Inc - Class A	120	255.98	20,883.12	30,717.60	9,834.48	1.08	0.23	72
Microsoft Corp.	1,750	30.48	47,029.23	53,340.00	6,310.77	1.87	1.71	910
Moody's Corporation	2,910	26.80	4,195.09	77,988.00	73,792.91	2.74	1.57	1,222
Morgan Stanley	600	29.60	18,895.44	17,760.00	-1,135.44	0.62	0.68	120
Newmont Mining Corporation	400	47.31	18,768.32	18,924.00	155.68	0.66	0.85	160
NYSE Euronext	650	25.30	16,636.46	16,445.00	-191.46	0.58	4.74	780
Pepsico, Inc	610	60.80	31,787.97	37,088.00	5,300.03	1.30	2.96	1,098
R.H. Donnelley Corporation	400	0.00	734.31	1.52	-732.79	0.00	0.00	0
Republic Services Inc. Cl A	1,410	28.31	38,306.76	39,917.10	1,610.34	1.40	2.68	1,072
Rogers Communications -Cl B	700	31.18	18,998.23	21,827.63	2,829.40	0.77	3.55	775
State Street Corp	562	43.54	21,545.63	24,469.48	2,923.85	0.86	0.09	22
The Dun & Bradstreet Corporation	660	84.37	1,179.55	55,684.20	54,504.65	1.96	1.61	898
The Travelers Companies, Inc.	380	49.86	14,167.62	18,946.80	4,779.18	0.67	2.65	502
Toronto-Dominion Bank	335	62.72	21,561.10	21,011.20	-549.90	0.74	3.70	777
Wal-Mart Stores, Inc	710	53.45	33,917.00	37,949.50	4,032.50	1.33	2.04	774
Walgreen Co.	760	36.72	18,061.41	27,907.20	9,845.79	0.98	1.50	418
Wellpoint Inc.	540	58.29	26,618.85	31,476.60	4,857.75	1.11	0.00	0
Wells Fargo Company	1,010	26.99	14,260.64	27,259.90	12,999.26	0.96	0.74	202
Yum! Brands, Inc.	890	34.97	22,779.97	31,123.30	8,343.33	1.09	2.40	748
Zimmer Holdings, Inc.	310	59.11	21,426.47	18,324.10	-2,802.37	0.64	0.00	0
Total North America			910,400.95	1,609,220.93	698,819.98	56.53	1.79	28,815
United Kingdom								
Anglo American PLC	720	43.78	21,487.85	31,520.71	10,032.86	1.11	0.00	0

Detailed Statement of Assets

The Harlan E. Anderson Foundation - Total Relationship (R9194)
As of 12/31/2009

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Current Yield	Est. Ann. Income
ARM Holding plc	6,080	2.87	10,623.32	17,427.53	6,804.21	0.61	1.13	196
Centrica plc	7,390	4.54	27,915.13	33,545.89	5,630.76	1.18	4.27	1,432
InterContinental Hotels Group PLC	1,230	14.42	12,470.01	17,737.42	5,267.41	0.62	3.02	536
Intertek Group plc	860	20.27	16,828.49	17,429.15	600.66	0.61	1.67	292
Johnson Matthey PLC	990	24.72	26,938.06	24,476.22	-2,461.84	0.86	2.42	592
Royal Dutch Shell PLC-ADR A	370	60.11	20,140.11	22,240.70	2,100.59	0.78	4.74	1,055
Smiths Group plc	1,440	16.37	27,522.84	23,579.49	-3,943.35	0.83	3.35	791
Vodafone Group PLC - SP ADR	1,040	23.09	19,346.03	24,013.60	4,667.57	0.84	5.59	1,342
Total United Kingdom			183,271.84	211,970.71	28,698.87	7.45	2.94	6,235
Europe ex United Kingdom								
BNP Paribas	370	80.20	23,613.35	29,674.74	6,061.39	1.04	1.79	531
Boskalis Westminster - CVA	707	38.81	22,897.41	27,438.49	4,541.08	0.96	4.40	1,207
Carlsberg A/S	270	74.04	20,181.17	19,991.32	-189.85	0.70	0.91	182
Compagnie Financiere Richemont AG	530	33.60	17,125.82	17,805.95	680.13	0.63	0.86	154
Credit Suisse Group	470	49.53	18,831.00	23,278.36	4,447.36	0.82	0.20	45
Ericsson (LM) Tel - Sp ADR	870	9.19	9,224.61	7,995.30	-1,229.31	0.28	1.74	139
Intesa Sanpaolo	6,950	4.52	23,997.66	31,410.06	7,412.40	1.10	0.00	0
Nestle SA	770	48.56	28,399.48	37,392.02	8,992.54	1.31	2.79	1,043
Novartis AG - ADR	870	54.43	48,216.94	47,354.10	-862.84	1.66	2.66	1,262
Schneider Electric SA	260	117.33	20,735.23	30,506.61	9,771.38	1.07	4.22	1,287
SwedBank AB	1,330	9.94	13,886.70	13,225.30	-661.40	0.46	0.00	0
Total Europe ex United Kingdom			247,109.37	286,072.25	38,962.88	10.05	2.04	5,850
Asia ex Japan								
China Mobile (Hong Kong) Limited Sp ADR	400	46.43	16,304.92	18,572.00	2,267.08	0.65	3.42	636

Detailed Statement of Assets
The Harlan E. Anderson Foundation - Total Relationship (R9194)
As of 12/31/2009

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Current Yield	Est. Ann. Income
Total Equity			1,357,087.08	2,125,835.89	768,748.81	74.68	1.95	41,535
Total Securities			1,955,232.02	2,761,696.77	806,464.75	97.02	2.62	72,224
Other Assets								
Accrued Dividends Receivable			2,308.95	2,308.95	0.00	0.08		
Accrued Interest Receivable			6,774.48	6,774.48	0.00	0.24		
Div Receivable - F/X Value Adjustment			0.00	-3.58	-3.58	0.00		
Dividends Receivable - MM Sweeps			4.22	4.22	0.00	0.00		
Total Other Assets			9,087.65	9,084.07	-3.58	0.32	0.00	0
Total Account:			2,039,995.42	2,846,456.59	806,461.17	100.00	2.54	72,277

The Harlan E. Anderson Foundation (9194)
EIN: 23-7011480

Schedule D - Attachment
Rockefeller Financial

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
170 Sh Aflac, Inc	07/24/2008	03/03/2009	2,456.42	9,251.69	-6,795.27
70 Sh. Alstom	11/04/2008	01/16/2009	3,300.15	3,906.58	-606.43
20 Sh Alstom	11/04/2008	01/20/2009	906.88	1,116.17	-209.29
30 Sh. Alstom	11/05/2008	01/20/2009	1,360.31	1,604.26	-243.95
190 Sh. Annaly Mortgage Management Inc	06/10/2008	05/29/2009	2,653.78	3,124.80	-471.02
532 89 Sh. Bank of America Corporation	07/29/2008	01/15/2009	4,297.57	13,950.00	-9,652.43
370 Sh BNP Paribas - RTS 10/13/09	05/08/2009	10/12/2009	753.89	0.00	753.89
10 Sh China Mobile (Hong Kong) Limit	10/29/2008	09/30/2009	491.28	407.62	83.66
30 Sh China Mobile (Hong Kong) Limit	11/07/2008	09/30/2009	1,473.86	1,299.29	174.57
200 Sh Comcast Corporation - CL A	06/30/2008	01/30/2009	2,941.70	3,766.82	-825.12
230 Sh Comcast Corporation - CL A	06/30/2008	02/02/2009	3,348.90	4,331.84	-982.94
40 Sh Continental Resources, Inc.	07/09/2009	08/06/2009	1,488.33	976.47	511.86
20 Sh. Continental Resources, Inc	07/07/2009	08/10/2009	718.55	472.69	245.86
20 Sh Continental Resources, Inc.	07/09/2009	08/10/2009	718.56	488.24	230.32
20 Sh Continental Resources, Inc.	07/07/2009	08/21/2009	732.91	472.69	260.22
20 Sh Continental Resources, Inc	07/07/2009	08/24/2009	761.82	472.69	289.13
60 Sh. Continental Resources, Inc.	07/07/2009	09/08/2009	2,279.58	1,418.07	861.51
10 Sh. Continental Resources, Inc	07/07/2009	09/09/2009	380.07	236.35	143.72
30 Sh. Elan Corp PLC - ADR	08/07/2008	02/12/2009	226.69	337.92	-111.23
460 Sh Elan Corp PLC - ADR	08/07/2008	02/12/2009	3,475.97	5,213.36	-1,737.39
50 Sh Elan Corp PLC - ADR	09/22/2008	02/12/2009	377.83	565.22	-187.39
290 Sh Elan Corp PLC - ADR	08/07/2008	02/13/2009	2,187.92	3,266.53	-1,078.61
70 Sh Elan Corp PLC - ADR	08/07/2008	02/17/2009	499.48	788.47	-288.99
70 Sh Elan Corp PLC - ADR	08/07/2008	02/18/2009	479.68	788.47	-308.79
10 Sh Elan Corp PLC - ADR	09/23/2008	02/18/2009	68.52	110.70	-42.18
190 Sh Elan Corp PLC - ADR	09/23/2008	02/19/2009	1,324.54	2,103.36	-778.82
40 Sh Elan Corp PLC - ADR	09/23/2008	02/20/2009	262.27	442.81	-180.54
70 Sh Elan Corp PLC - ADR	09/23/2008	02/23/2009	431.09	774.92	-343.83
60 Sh Elan Corp PLC - ADR	09/23/2008	02/24/2009	378.51	664.22	-285.71
390 Sh Ericsson (LM) Tel - Sp ADR	09/16/2009	12/18/2009	3,513.26	4,210.48	-697.22
260 Sh Ericsson (LM) Tel - Sp ADR	09/16/2009	12/23/2009	2,404.70	2,806.99	-402.29
40000 Sh FFCB 1 70% 09/15/19	06/05/2009	09/24/2009	40,000.00	39,955.40	44.60
100 Sh GameStop Corporation	07/07/2008	06/04/2009	2,355.38	3,962.82	-1,607.44
40 Sh GameStop Corporation	07/03/2008	06/05/2009	962.31	1,558.44	-596.13
110 Sh GameStop Corporation	07/07/2008	06/05/2009	2,646.34	4,359.10	-1,712.76
50 Sh GameStop Corporation	07/03/2008	06/08/2009	1,185.52	1,948.05	-762.53
80 Sh GameStop Corporation	07/08/2008	06/08/2009	1,896.82	3,114.89	-1,218.07
140 Sh GameStop Corporation	07/08/2008	06/11/2009	3,501.53	5,451.07	-1,949.54
185 Sh Genentech, Inc	10/13/2008	03/26/2009	17,575.00	14,513.69	3,061.31
70 Sh Genentech, Inc	10/28/2008	03/26/2009	6,650.00	5,509.90	1,140.10
40 Sh. Genentech, Inc	02/03/2009	03/26/2009	3,800.00	3,299.20	500.80

Run Date 03/05/2010 07:51 AM

The Harlan E. Anderson Foundation (9194)
EIN: 23-7011480

Schedule D - Attachment
Rockefeller Financial

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
20 Sh Goldman Sachs Group	04/14/2009	08/28/2009	3,282.35	2,460.00	822.35
38 Sh Goldman Sachs Group	03/13/2009	08/31/2009	6,158.30	3,712.83	2,445.47
10 Sh. Goldman Sachs Group	04/14/2009	08/31/2009	1,620.61	1,230.00	390.61
110 Sh HSBC Holdings plc - Spons ADR	12/16/2008	01/21/2009	3,858.32	6,030.01	-2,171.69
30 Sh. HSBC Holdings plc - Spons ADR	12/16/2008	01/22/2009	1,093.60	1,644.55	-550.95
100 Sh. HSBC Holdings plc - Spons ADR	12/16/2008	01/23/2009	3,478.34	5,481.83	-2,003.49
13 Sh Mastercard Inc - Class A	09/30/2008	09/30/2009	2,646.84	2,326.37	320.47
20 Sh. Nestle S A Registered Shares	12/16/2008	09/09/2009	824.95	737.65	87.30
30 Sh. Nestle S A. Registered Shares	12/16/2008	09/10/2009	1,248.07	1,106.47	141.60
30 Sh Nestle S A Registered Shares	12/16/2008	09/11/2009	1,256.62	1,106.47	150.15
40 Sh Nestle S A Registered Shares	12/16/2008	09/14/2009	1,677.49	1,475.30	202.19
40 Sh. Nestle S A Registered Shares	12/16/2008	09/15/2009	1,674.35	1,475.30	199.05
310 Sh Nokia - ADR A	06/12/2008	02/02/2009	3,738.36	8,037.87	-4,299.51
420 Sh Nokia - ADR A	06/12/2008	02/02/2009	5,064.88	10,843.35	-5,778.47
220 Sh Nokia - ADR A	06/13/2008	02/02/2009	2,653.03	5,732.72	-3,079.69
350 Sh Nokia - ADR A	06/13/2008	02/02/2009	4,220.73	9,092.68	-4,871.95
150 Sh Owens-Illinois, Inc	01/26/2009	03/06/2009	1,486.88	3,242.79	-1,755.91
210 Sh Owens-Illinois, Inc	01/23/2009	03/09/2009	2,102.00	4,429.87	-2,327.87
230 Sh Owens-Illinois, Inc	01/26/2009	03/09/2009	2,302.20	4,972.28	-2,670.08
30 Sh Petroleum Geo-Services ASA (PG	08/19/2008	04/15/2009	146.98	602.34	-455.36
180 Sh. Petroleum Geo-Services ASA (PG	08/20/2008	04/15/2009	881.91	3,694.41	-2,812.50
370 Sh Petroleum Geo-Services ASA (PG	08/21/2008	04/15/2009	1,812.82	7,899.37	-6,086.55
410 Sh Petroleum Geo-Services ASA (PG	08/14/2008	05/15/2009	2,118.56	8,135.63	-6,017.07
80 Sh Petroleum Geo-Services ASA (PG	08/15/2008	05/15/2009	413.38	1,572.59	-1,159.21
130 Sh Petroleum Geo-Services ASA (PG	08/18/2008	05/15/2009	671.74	2,586.50	-1,914.76
130 Sh Petroleum Geo-Services ASA (PG	08/19/2008	05/15/2009	671.74	2,610.13	-1,938.39
170 Sh Petroleum Geo-Services ASA (PG	08/13/2008	05/18/2009	898.30	3,318.40	-2,420.10
190 Sh Petroleum Geo-Services ASA (PG	09/23/2008	05/18/2009	1,003.98	2,998.75	-1,994.77
130 Sh Petroleum Geo-Services ASA (PG	09/24/2008	05/18/2009	686.93	2,007.51	-1,320.58
207 Sh Petroleum Geo-Services ASA (PG	09/25/2008	05/18/2009	1,093.80	3,154.56	-2,060.76
3 Sh Petroleum Geo-Services ASA (PG	09/25/2008	05/19/2009	16.50	45.72	-29.22
100 Sh Petroleum Geo-Services ASA (PG	09/26/2008	05/19/2009	549.94	1,421.30	-871.36
70 Sh Petroleum Geo-Services ASA (PG	09/29/2008	05/19/2009	384.96	883.65	-498.69
200 Sh Petroleum Geo-Services ASA (PG	11/04/2008	05/19/2009	1,099.88	1,238.79	-138.91
120 Sh Petroleum Geo-Services ASA (PG	11/06/2008	05/19/2009	659.92	634.87	25.05
140 Sh State Street Corp	10/07/2008	05/29/2009	6,246.64	6,138.99	107.65
208 Sh State Street Corp	10/07/2008	08/07/2009	11,124.22	9,120.78	2,003.44
340 Sh Taiwan Semicon Manu Co Sp ADR	10/27/2008	04/06/2009	3,281.15	2,275.52	1,005.63
600 Sh Taiwan Semicon Manu Co Sp ADR	10/27/2008	04/07/2009	5,674.17	4,015.62	1,658.55
910 Sh Taiwan Semicon Manu Co Sp ADR	10/27/2008	04/14/2009	8,894.02	6,090.35	2,803.67
40 Sh Union Pacific Corp	04/02/2009	07/28/2009	2,251.07	1,834.97	416.10

Run Date. 03/05/2010 07 51 AM

The Harlan E. Anderson Foundation (9194)
EIN: 23-7011480

Schedule D - Attachment
Rockefeller Financial

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
40 Sh Union Pacific Corp	04/03/2009	07/28/2009	2,251 08	1,837 92	413 16
40 Sh. Union Pacific Corp	03/16/2009	07/29/2009	2,233 42	1,594.60	638 82
40 Sh. Union Pacific Corp	04/02/2009	07/29/2009	2,233 43	1,834 97	398.46
130 Sh Union Pacific Corp	03/16/2009	12/11/2009	8,352.57	5,182 46	3,170 11
125 Sh Union Pacific Corp	03/16/2009	12/16/2009	8,103.15	4,983 14	3,120 01
110 Sh Vestas Wind Systems A/S	04/27/2009	12/22/2009	6,270 18	6,295 05	-24.87
40 Sh Vestas Wind Systems A/S	04/29/2009	12/22/2009	2,280 06	2,503 14	-223 08
13 Sh Vestas Wind Systems A/S	04/30/2009	12/22/2009	741.02	858 57	-117 55
260 Sh Walgreen Co	02/03/2009	10/22/2009	10,218 23	6,967 56	3,250 67
90 Sh Walgreen Co	02/04/2009	10/22/2009	3,537 08	2,509 87	1,027 21
80 Sh Walgreen Co	03/16/2009	10/22/2009	3,144 07	1,939 30	1,204 77
110 Sh. Wells Fargo Company	03/16/2009	10/29/2009	3,075 07	1,609 46	1,465 61
270 Sh Wells Fargo Company	03/19/2009	10/29/2009	7,547 90	4,536 05	3,011 85
150 Sh Wyeth	02/24/2009	05/08/2009	6,572 83	6,359 35	213 48
210 Sh Wyeth	02/25/2009	05/08/2009	9,201 96	8,785 22	416 74
320 Sh Wyeth	02/26/2009	05/08/2009	14,022 04	13,389 57	632 47
Regular Gain/Loss Totals:					-53,625.06
Account Totals:			318,022 54	371,647.60	-53,625 06

Run Date 03/05/2010 07 51 AM

The Harlan E. Anderson Foundation (9194)

EIN: 23-7011480

Schedule D - Attachment

Rockefeller Financial

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
230 Sh Aflac, Inc	05/05/2004	03/03/2009	3,377.76	9,571.45	-6,193.69
390 Sh Aflac, Inc	09/17/2004	03/03/2009	5,727.51	15,619.50	-9,891.99
140 Sh. Aflac, Inc.	07/28/2004	03/03/2009	1,987.68	5,360.07	-3,372.39
30 Sh Aflac, Inc.	08/06/2004	03/03/2009	425.93	1,149.30	-723.37
220 Sh Aflac, Inc	09/17/2004	03/03/2009	3,123.50	8,811.00	-5,687.50
60 Sh. Aflac, Inc	10/27/2004	03/03/2009	851.86	2,098.35	-1,246.49
130 Sh Aflac, Inc	05/05/2004	03/03/2009	1,878.44	5,409.95	-3,531.51
80 Sh. Aflac, Inc	11/09/2006	03/03/2009	1,155.96	3,597.70	-2,441.74
30 Sh. Alstom	10/27/2005	01/20/2009	1,360.32	711.40	648.92
30 Sh Alstom	10/31/2005	01/20/2009	1,360.31	701.32	658.99
10 Sh Alstom	10/28/2005	01/21/2009	476.25	233.25	243.00
170 Sh Alstom	10/31/2005	01/21/2009	8,096.27	3,974.17	4,122.10
30 Sh Alstom	10/28/2005	01/22/2009	1,425.52	699.76	725.76
70 Sh Alstom	10/28/2005	01/23/2009	3,229.42	1,632.79	1,596.63
70 Sh Alstom	10/28/2005	01/27/2009	3,329.09	1,632.78	1,696.31
190 Sh. Annaly Mortgage Management Inc	05/21/2008	05/29/2009	2,653.77	3,310.98	-657.21
150 Sh Annaly Mortgage Management Inc	04/21/2008	08/07/2009	2,562.59	2,587.49	-24.90
90 Sh Annaly Mortgage Management Inc	04/22/2008	08/07/2009	1,537.56	1,543.82	-6.26
40 Sh Annaly Mortgage Management Inc	05/21/2008	08/07/2009	683.36	697.05	-13.69
80 Sh Annaly Mortgage Management Inc	04/18/2008	08/10/2009	1,358.04	1,367.30	-9.26
210 Sh Annaly Mortgage Management Inc	04/22/2008	08/10/2009	3,564.87	3,602.26	-37.39
0 705 Sh Bank of America Corporation	11/13/2007	01/14/2009	10.25	45.45	-35.20
661 11 Sh Bank of America Corporation	11/13/2007	01/15/2009	5,331.63	42,621.64	-37,290.01
80 Sh BE Aerospace, Inc	06/06/2007	09/30/2009	1,620.42	3,083.95	-1,463.53
140 Sh BE Aerospace, Inc	06/06/2007	12/11/2009	3,103.01	5,396.92	-2,293.91
70 Sh BE Aerospace, Inc	06/07/2007	12/11/2009	1,551.50	2,673.33	-1,121.83
150 Sh BE Aerospace, Inc	06/07/2007	12/14/2009	3,353.13	5,728.58	-2,375.45
140 Sh BE Aerospace, Inc	06/07/2007	12/15/2009	3,155.70	5,346.67	-2,190.97
20 Sh BE Aerospace, Inc	06/07/2007	12/16/2009	456.22	763.81	-307.59
160 Sh. BE Aerospace, Inc	07/31/2008	12/16/2009	3,649.76	4,201.92	-552.16
20 Sh. BE Aerospace, Inc	07/30/2008	12/16/2009	456.22	510.67	-54.45
50 Sh BE Aerospace, Inc	08/01/2008	12/16/2009	1,140.55	1,273.00	-132.45
155 Sh BNP Paribas	09/17/2004	02/03/2009	5,408.88	9,789.63	-4,380.75
25 Sh BNP Paribas	03/31/2006	02/03/2009	872.40	2,107.63	-1,235.23
15 Sh BNP Paribas	05/06/2004	02/10/2009	560.17	913.31	-353.14
75 Sh BNP Paribas	09/17/2004	02/10/2009	2,800.83	4,736.92	-1,936.09
160 Sh BNP Paribas	05/06/2004	02/12/2009	5,353.81	9,741.92	-4,388.11
25 Sh BNP Paribas	05/06/2004	02/13/2009	858.96	1,522.17	-663.21
120 Sh BNP Paribas	05/18/2004	02/13/2009	4,122.99	7,002.77	-2,879.78
40 Sh Boskalis Westminster - CVA	05/29/2007	12/02/2009	1,671.30	1,485.83	185.47
30 Sh Boskalis Westminster - CVA	05/31/2007	12/02/2009	1,253.47	1,110.84	142.63

Run Date 03/05/2010 07:51 AM

The Harlan E. Anderson Foundation (9194)

EIN: 23-7011480

Schedule D - Attachment

Rockefeller Financial

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
50 Sh Boskalis Westminster - CVA	06/01/2007	12/02/2009	2,089.13	1,907.96	181.17
10 Sh Boskalis Westminster - CVA	06/07/2007	12/02/2009	417.83	385.63	32.20
40 Sh. Boskalis Westminster - CVA	05/22/2007	12/03/2009	1,670.34	1,428.50	241.84
30 Sh. Boskalis Westminster - CVA	05/23/2007	12/03/2009	1,252.76	1,071.81	180.95
40 Sh Boskalis Westminster - CVA	05/30/2007	12/03/2009	1,670.35	1,457.13	213.22
10 Sh. Boskalis Westminster - CVA	05/31/2007	12/03/2009	417.59	370.28	47.31
30 Sh Boskalis Westminster - CVA	05/17/2007	12/04/2009	1,224.34	1,064.09	160.25
30 Sh. Boskalis Westminster - CVA	05/18/2007	12/04/2009	1,224.35	1,071.19	153.16
20 Sh. Boskalis Westminster - CVA	05/24/2007	12/04/2009	816.23	709.23	107.00
580 Sh. Comcast Corporation - CL A	12/10/2007	02/02/2009	8,445.04	10,693.87	-2,248.83
60 Sh Credit Suisse Group	06/21/2005	12/01/2009	3,186.09	2,419.06	767.03
84 Sh Credit Suisse Group	03/13/2006	12/01/2009	4,460.52	4,706.43	-245.91
6 Sh Credit Suisse Group	03/14/2006	12/01/2009	318.61	337.31	-18.70
80 Sh Credit Suisse Group	06/21/2005	12/02/2009	4,138.05	3,225.41	912.64
100 Sh. Exxon Mobil Corporation	08/28/1974	11/30/2009	7,486.80	217.58	7,269.22
40000 Sh. Federal Farm Credit 5 30%	12/18/2007	06/11/2009	40,412.00	40,000.00	412.00
40000 Sh. FFCB 4 20% 02/05/2015	01/23/2008	03/02/2009	40,000.00	40,000.00	0.00
40000 Sh. FFCB 4 20% 02/05/2015	02/04/2008	03/02/2009	40,000.00	39,880.22	119.78
16470 59 Sh FHLB 4 50% Due 02/20/15	02/01/2008	02/24/2009	16,470.59	16,470.59	0.00
23529 41 Sh. FHLB 4 50% Due 02/20/15	02/01/2008	03/06/2009	23,529.41	23,529.41	0.00
40000 Sh FHLB 5 05% 12/20/2017	12/03/2007	06/02/2009	40,908.00	40,000.00	908.00
100000 Sh FNMA 6 16% Due 10/06/2021	11/17/2006	04/06/2009	100,000.00	100,000.00	0.00
5 Sh Google Inc - Class A	11/05/2007	09/30/2009	2,482.25	3,586.71	-1,104.46
20 Sh Google Inc - Class A	11/05/2007	12/01/2009	11,776.89	14,346.85	-2,569.96
60 Sh Hologic, Inc	08/22/2007	08/06/2009	860.15	1,514.69	-654.54
100 Sh Hologic, Inc.	08/23/2007	08/06/2009	1,433.58	2,617.67	-1,184.09
260 Sh Hologic, Inc	02/21/2008	08/06/2009	3,727.32	7,768.50	-4,041.18
100 Sh Hologic, Inc	03/03/2008	08/06/2009	1,433.58	2,981.88	-1,548.30
50 Sh Hologic, Inc	08/20/2007	08/07/2009	721.63	1,239.26	-517.63
20 Sh Hologic, Inc	08/22/2007	08/07/2009	288.65	504.90	-216.25
4000 Sh IMS Health Inc	01/01/1901	11/30/2009	85,238.20	9,716.77	75,521.43
30 Sh Int'l Business Machines Corp.	07/18/2006	09/30/2009	3,595.11	2,212.71	1,382.40
60 Sh Int'l Business Machines Corp	10/09/2008	12/02/2009	7,658.11	5,596.27	2,061.84
40 Sh Johnson Matthey PLC	11/07/2006	09/16/2009	979.35	1,128.71	-149.36
20 Sh. Johnson Matthey PLC	11/08/2006	09/16/2009	489.67	561.20	-71.53
30 Sh Johnson Matthey PLC	11/06/2006	09/17/2009	733.82	835.35	-101.53
50 Sh Johnson Matthey PLC	11/08/2006	09/17/2009	1,223.04	1,402.99	-179.95
30 Sh Johnson Matthey PLC	11/01/2006	09/18/2009	700.32	828.45	-128.13
120 Sh Johnson Matthey PLC	11/06/2006	09/18/2009	2,801.28	3,341.39	-540.11
30 Sh. Johnson Matthey PLC	11/01/2006	09/21/2009	691.30	828.45	-137.15
50 Sh Johnson Matthey PLC	11/01/2006	09/22/2009	1,182.24	1,380.75	-198.51

Run Date 03/05/2010 07:51 AM

The Harlan E. Anderson Foundation (9194)
EIN: 23-7011480

Schedule D - Attachment
Rockefeller Financial

From: 01/01/2009 To: 12/31/2009

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
90 Sh Johnson Matthey PLC	11/01/2006	09/23/2009	2,117.13	2,485.34	-368.21
20 Sh. Johnson Matthey PLC	11/01/2006	09/24/2009	454.28	552.30	-98.02
70 Sh. Johnson Matthey PLC	11/02/2006	09/24/2009	1,589.98	1,924.91	-334.93
370 Sh Juniper Networks, Inc	06/02/2006	05/08/2009	7,941.44	6,378.51	1,562.93
50 Sh Juniper Networks, Inc	06/02/2006	05/08/2009	1,073.17	855.32	217.85
590 Sh Juniper Networks, Inc	06/02/2006	07/22/2009	15,075.23	10,092.78	4,982.45
260 Sh. Microsoft Corp	08/07/2007	07/20/2009	6,302.89	7,663.01	-1,360.12
220 Sh Microsoft Corp	08/09/2007	07/20/2009	5,333.21	6,512.68	-1,179.47
430 Sh Microsoft Corp	02/01/2008	07/20/2009	10,424.00	13,346.86	-2,922.86
100 Sh. Microsoft Corp	02/05/2008	07/20/2009	2,424.19	2,950.60	-526.41
1090 Sh Moody's Corporation	01/01/1901	10/14/2009	25,298.24	1,571.35	23,726.89
50 Sh Newmont Mining Corporation	12/01/2006	09/30/2009	2,215.94	2,346.04	-130.10
160 Sh Petroleo Brasileiro S A - ADR	04/13/2007	02/17/2009	3,642.72	3,774.19	-131.47
250 Sh Petroleo Brasileiro S A - ADR	04/13/2007	02/18/2009	5,480.34	5,897.16	-416.82
410 Sh Petroleo Brasileiro S A - ADR	04/13/2007	02/19/2009	9,217.24	9,671.35	-454.11
300 Sh Praxair, Inc	09/17/2004	05/08/2009	22,022.88	12,708.00	9,314.88
150 Sh Praxair, Inc	05/05/2004	05/11/2009	10,803.98	5,592.87	5,211.11
190 Sh Praxair, Inc	05/05/2004	05/12/2009	13,635.47	7,084.30	6,551.17
100 Sh Republic Services Inc Cl A	04/17/2007	09/30/2009	2,653.46	2,846.24	-192.78
340 Sh The Dun & Bradstreet Corp	01/01/1901	10/14/2009	25,574.14	607.64	24,966.50
140 Sh Total SA - Spns ADR	08/10/2004	10/16/2009	8,788.57	6,717.11	2,071.46
30 Sh Vallourec SA	04/07/2006	05/15/2009	3,428.82	6,738.85	-3,310.03
20 Sh Vallourec SA	11/10/2006	05/15/2009	2,285.88	5,306.05	-3,020.17
20 Sh. Vallourec SA	04/07/2006	05/18/2009	2,293.93	4,492.57	-2,198.64
25 Sh Vallourec SA	04/10/2006	05/19/2009	3,049.48	5,544.79	-2,495.31
60 Sh Wal-Mart Stores, Inc	09/17/2004	09/09/2009	3,071.62	3,165.00	-93.38
60 Sh. Wal-Mart Stores, Inc	09/17/2004	09/10/2009	3,045.41	3,165.00	-119.59
10 Sh. Wal-Mart Stores, Inc.	09/17/2004	09/11/2009	506.47	527.50	-21.03
120 Sh Wal-Mart Stores, Inc	02/14/2005	09/11/2009	6,077.61	6,288.05	-210.44
50 Sh Wal-Mart Stores, Inc	02/14/2005	09/30/2009	2,450.83	2,620.02	-169.19
70 Sh Zimmer Holdings, Inc	01/08/2008	10/14/2009	3,629.81	4,816.79	-1,186.98
50 Sh Zimmer Holdings, Inc	01/08/2008	10/15/2009	2,596.37	3,440.56	-844.19
80 Sh Zimmer Holdings, Inc	01/08/2008	10/16/2009	4,155.98	5,504.90	-1,348.92
Regular Gain/Loss Totals:					42,815.95
Account Totals:			783,712.44	740,896.49	42,815.95

Last Page of Report

Run Date 03/05/2010 07:51 AM