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Return of Private Foundation

OMB No. 1545-0052

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation CATHERINE V. & MARTIN HOFMANN FOUNDATION		A Employer identification number 23-6447843
	Number and street (or P O box number if mail is not delivered to street address) Room/suite 3145 SHILLINGTON ROAD		B Telephone number (610) 678-8051
	City or town, state, and ZIP code SINKING SPRING, PA 19608		C If exemption application is pending, check here ☐
	H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 948,105. (Part I, column (d) must be on cash basis.)		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ If the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		1,077.	1,077.		STATEMENT 1
4 Dividends and interest from securities		29,386.	29,386.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		8,155.			
b Gross sales price for all assets on line 6a		44,301.			
7 Capital gain net income (from Part IV, line 2)			8,155.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		38,618.	38,618.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		1,580.	0.		1,580.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		295.	295.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		1,371.	1,371.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		3,246.	1,666.		1,580.
25 Contributions, gifts, grants paid		49,116.			49,116.
26 Total expenses and disbursements. Add lines 24 and 25		52,362.	1,666.		50,696.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-13,744.			
b Net investment income (if negative, enter -0-)			36,952.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		1,761.	544.	544.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock				
	c	Investments - corporate bonds				
Liabilities	11	Investments - land, buildings, and equipment: basis				
		Less: accumulated depreciation				
	12	Investments - mortgage loans				
	13	Investments - other	STMT 7	848,853.	836,326.	947,561.
	14	Land, buildings, and equipment: basis				
		Less: accumulated depreciation				
	15	Other assets (describe)				
	16	Total assets (to be completed by all filers)		850,614.	836,870.	948,105.
	17	Accounts payable and accrued expenses				
	18	Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds		850,614.	836,870.	STATEMENT 6	
30	Total net assets or fund balances		850,614.	836,870.		
31	Total liabilities and net assets/fund balances		850,614.	836,870.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	850,614.
2	Enter amount from Part I, line 27a	2	-13,744.
3	Other increases not included in line 2 (itemize)	3	0.
4	Add lines 1, 2, and 3	4	836,870.
5	Decreases not included in line 2 (itemize)	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	836,870.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a VANGUARD 500 INDEX FUND	P	VARIOUS	10/08/09
b VANGUARD 500 INDEX FUND	P	VARIOUS	10/08/09
c VANGUARD EQ INC- ADM	P	04/15/96	12/24/09
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 869.		729.	140.
b 25,807.		20,317.	5,490.
c 15,000.		15,100.	-100.
d 2,625.			2,625.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			140.
b			5,490.
c			-100.
d			2,625.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	8,155.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	59,708.	1,016,250.	.058753
2007	53,459.	1,191,813.	.044855
2006	53,019.	1,097,963.	.048289
2005	54,226.	1,060,033.	.051155
2004	36,312.	1,109,401.	.032731

2 Total of line 1, column (d)	2	.235783
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047157
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	876,170.
5 Multiply line 4 by line 3	5	41,318.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	370.
7 Add lines 5 and 6	7	41,688.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	50,696.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	370.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	370.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	370.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	697.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	300.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	997.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	627.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☒ 627. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► MR. BERNARD HOFMANN Telephone no. ► 610-678-8051
 Located at ► 3145 SHILLINGTON RD, SINKING SPRING, PA ZIP+4 ► 19608

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	<u>N/A</u>	1b
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ► _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<u>N/A</u>	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	<u>N/A</u>	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

N/A
► ☐**5b****c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b**X****7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BERNARD HOFMANN	TRUSTEE			
C/O HOFMANN INDUSTRIES 3145 SHILLING				
SINKING SPRING, PA 19608	0.00	0.	0.	0.
STEVE HOFMANN	TRUSTEE			
C/O HOFMANN INDUSTRIES 3145 SHILLING				
SINKING SPRING, PA 19608	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	884,145.
b	Average of monthly cash balances	1b	5,368.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	889,513.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	889,513.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13,343.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	876,170.
6	Minimum investment return. Enter 5% of line 5	6	43,809.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	43,809.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	370.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	370.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	43,439.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	43,439.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	43,439.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	50,696.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	50,696.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	370.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	50,326.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				43,439.
2 Undistributed income, if any, as of the end of 2009.				
a Enter amount for 2008 only			48,800.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 50,696.				
a Applied to 2008, but not more than line 2a			48,800.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				1,896.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				41,543.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

Part XV	Supplementary Information (continued)
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3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE ATTACHED SCHEDULE	NONE	501(C)3 ORGANIZATION	ALL GRANTS & CONTRIBUTIONS ARE MADE BY THE FOUNDATION FOR VARIOUS RELIGIOUS, CHARITABLE, SCIENTIFIC, AND EDUCATIONAL PURPOSES.	49,116.
Total				49,116.
b Approved for future payment NONE				
Total				0.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
SMITH BARNEY	1,077.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	1,077.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
AMERICAN CENTURY	1,041.	0.	1,041.
FEDERATED FUND FOR U.S. GOVT SEC	3,751.	0.	3,751.
FIDELITY INVESTMENTS	327.	0.	327.
JB HANAUER	737.	0.	737.
RBC CAPITAL MARKETS CORP	246.	0.	246.
SMITH BARNEY	1,568.	0.	1,568.
VANGUARD ENERGY FUND	2,152.	0.	2,152.
VANGUARD EUROPEAN STOCK FUND	2,582.	0.	2,582.
VANGUARD HEALTH CARE FUND	1,888.	407.	1,481.
VANGUARD-500 INDEX	1,104.	0.	1,104.
VANGUARD-EQUITY	2,704.	0.	2,704.
VANGUARD-INDEX 500	388.	0.	388.
VANGUARD-LT US TREASURY	7,796.	2,218.	5,578.
VANGUARD-LT US TREASURY INV	529.	0.	529.
VANGUARD-MONEY MARKET	23.	0.	23.
VANGUARD-WELLINGTON	5,175.	0.	5,175.
TOTAL TO FM 990-PF, PART I, LN 4	32,011.	2,625.	29,386.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	1,580.	0.		1,580.
TO FORM 990-PF, PG 1, LN 16B	1,580.	0.		1,580.

FORM 990-PF

TAXES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX ON INVESTMENTS	295.	295.		0.
TO FORM 990-PF, PG 1, LN 18	295.	295.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES AND SUBSCRIPTIONS	863.	863.		0.
OFFICE SUPPLIES	55.	55.		0.
POSTAGE & DELIVERY	33.	33.		0.
BANK SERVICE CHARGES	73.	73.		0.
TELEPHONE EXPENSE	312.	312.		0.
REPAIRS	35.	35.		0.
TO FORM 990-PF, PG 1, LN 23	1,371.	1,371.		0.

FORM 990-PF

OTHER FUNDS

STATEMENT 6

DESCRIPTION	(A) BEGINNING OF YEAR	(B) END OF YEAR
PRINCIPAL	850,614.	836,870.
TOTAL TO FORM 990-PF, PART II, LINE 29	850,614.	836,870.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 7

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS	COST	836,326.	947,561.
TOTAL TO FORM 990-PF, PART II, LINE 13		836,326.	947,561.

CATHERINE V. & MARTIN HOFMANN FOUNDATION
EIN 23-6447843
DECEMBER 31, 2009

PAGE 11, PART XV, LINE 3A
GRANTS AND CONTRIBUTIONS PAID:

NAME	ADDRESS	PURPOSE	AMOUNT
<u>CASH CONTRIBUTIONS:</u>		ALL GRANTS & CONTRIBUTIONS ARE MADE BY THE FOUNDATION FOR VARIOUS RELIGIOUS, CHARITABLE, SCIENTIFIC, OR EDUCATIONAL PURPOSES	
ALVERNIA UNIVERSITY	400 ST BERNADINE STREET READING, PA 19607		\$100
ALZHEIMER ASSOCIATION	919 N MICHIGAN AVENUE CHICAGO, IL 60611		\$85
AMERICA'S SECOND HARVEST	P O BOX 8929 TOPEKA, KS 66608-9929		\$42
AMERICAN ACTION FUND FOR BLIND	1800 JOHNSON STREET BALTIMORE, MD 21230		\$25
AMERICAN CANCER SOCIETY	PA DIV 1 BERKS UNIT, P O BOX 15066 READING, PA 19612		\$140
AMERICAN RED CROSS	701 CENTRE AVENUE READING, PA 19601-2599		\$125
AMERICARES	161 CHERRY ST NEW CANAAN, CT 06840		\$125
AMVETS	4647 FORBES BLVD LANHAM, MD 20706		\$20
ARTHRITIS FOUNDATION/PA CHAPTER	111 S INDEPENDENCE MALL SUITE 500 PHILADELPHIA, PA 19106		\$85
BASILICA OF THE NATIONAL SHRINE	400 MICHIGAN AVE WASHINGTON, DC 20017		\$42
BERKS BUSINESS EDUCATION COALITION	12 COMMERCE DRIVE WYOMISSING, PA 19610		\$500
BERKS COUNTY ASSOC FOR THE BLIND	2020 HAMPDEN BLVD READING, PA 19604		\$60
BERKS COUNTY CONSERVANCY	25 N 11TH STREET READING, PA 19601		\$85
BERKS COUNTY SENIOR CITIZENS COUNCIL	40 NORTH NINTH STREET READING, PA 19601		\$40
BERKS ENCORE	40 NORTH NINTH STREET READING, PA 19601		\$250
BERNARDINE FRANCISCAN SISTERS CAMPAIGN, OFFICE OF DEVELOPMENT	450 ST BERNADINE ST READING, PA 19607		\$425
BISHOPS ANNUAL APPEAL	1101 WEST HAMILTON ST ALLENTOWN, PA 18105-1538		\$500
BOYERTOWN MUSEUM OF HISTORIC VEHICLES	85 S WALNUT STREET BOYERTOWN, PA 19512-1415		\$800
BOYSTOWN	14100 CRAWFORD ST BOYS TOWN, NE 68010		\$85
BRAIN TUMOR SOCIETY	124 WATERTOWN STREET, SUITE 34 WATERTOWN, MA 02472		\$3,000
BURN PREVENTION FOUNDATION	EAST/NORTHEAST PA OFFICE 5000 TILGHMAN STREET ALLENTOWN, PA 18104		\$85
CFACT- COMMITTEE FOR A CONTRUCTIVE TOMORROW	1875 EYE STREET, NW 5TH FLOOR WASHINGTON, DC 20006		\$80

CATHERINE V. & MARTIN HOFMANN FOUNDATION
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CARE	151 ELLIS STREET NE ATLANTA, GA 30303-2440		\$125
CARON FOUNDATION	GALEN HALL ROAD, BOX A WERNERSVILLE, PA 19565		\$1,500
CATHOLIC RELIEF SERVICES	209 WEST FAYETTE STREET BALTIMORE, MD 21202-3443		\$85
CENTRAL CATHOLIC HIGH SCHOOL	1400 HILL ROAD READING, PA 19601		\$300
CIVIL WAR PRESERVATION TRUST	1331 H STREET NW WASHINGTON D C 20005		\$125
CITIZENS AGAINST GOVERNMENT WASTE	1301 PENNSYLVANIA AVENUE, NW SUITE 1075 WASHINGTON, DC 20004		\$25
CITIZENS UNITED FOUNDATION	1006 PENNSYLVANIA AVE, SE WASHINGTON, PA 20003		\$20
COLONIAL WILLIAMSBURG FOUNDATION	PO BOX 1776 WILLIAMSBURG, VA 23187		\$100
COVENANT HOUSE	346 WEST 17TH STREET NEW YORK, NY 10011		\$850
CHRISTIAN FOUNDATION FOR CHILDREN & AGING	#1 ELMWOOD AVENUE KANSAS CITY, KANSAS 66103		\$425
D.A.V.	PO BOX 14301 CINCINNATI, OH 45250		\$20
EASTER SEALS, EASTERN PA	1503 N CEDAR CREST BLVD, SUITE 317 ALLENTOWN, PA 18104		\$165
FREEDOM ALLIANCE	2721H-I-J MERRILEE DRIVE FAIRFAX, VA 22031-4407		\$40
FREEDOM WORKS FOUNDATION	601 PENNSYLVANIA AVE, NW NORTH BUILDING- SUITE 700 WASHINGTON, DC 20004-2601		\$85
FRIENDS OF READING/BERKS PUBLIC LIBRARIES	1037F MACARTHUR ROAD READING, PA 19605		\$100
GOVERNOR MIFFLIN SOCCER CLUB	P O BOX 371 SHILLINGTON, PA 19607		\$250
GREATER BERKS FOOD BANK	1011 TUCKERTON COURT READING, PA 19605		\$125
HAWK MOUNTAIN COUNCIL, BSA	5027 POTTSVILLE PIKE READING, PA 19605		\$5,612
HERITAGE FOUNDATION	214 MASSACHUSETTS AVENUE, NE WASHINGTON, DC 20002-4999		\$125
HISTORICAL SOCIETY OF BERKS	940 CENTRE AVENUE READING, PA 19601		\$125
JESUIT CENTER FOR SPIRITUAL GROWTH	501 NORTH CHURCH ROAD		\$2,100

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PAGE 11, PART XV, LINE 3A
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	WERNERSVILLE, PA 19565-0223		
JOHN PAUL II CENTER FOR SPECIAL LEARNING	200 READING AVENUE WEST READING, PA 19611		\$420
JUDICAL WATCH	P O BOX 98160 WASHINGTON, D C 20090-8160		\$100
JUVENILE DIABETES RESEARCH FDN	EAST CENTRAL PENN BRANCH 120 WALL ST 19TH FLR NEW YORK, NY 10005		\$212
LITERACY COUNCIL OF READING-BERKS	35 DWIGHT ST WEST LAWN, PA 19609		\$125
MARCH OF DIMES	P O BOX 8659 PHILADELPHIA, PA 19101		\$42
MARINE CORP RES TOYS FOR TOTS	P O BOX 227 QUANTICO, VA 22134-0227		\$125
MARY'S SHELTER	325 S 12TH ST READING, PA 19602		\$420
MEDIA RESEARCH CENTER	325 S PATRICK STREET ALEXANDRIA, VA 22314		\$125
MIFFLIN COMMUNITY LIBRARY	6 PHILADELPHIA AVENUE SHILLINGTON, PA 19607		\$1,000
MILLER-KEYSTONE COMMUNITY BLOOD BANK	2745A LEISCZ'S BRIDGE ROAD READING, PA 19605		\$25
MISSIONAIRES OF AFRICA	1622 21ST STREET, NW WASHINGTON, DC 20009-1606		\$50
MISSIONARY SISTERS OF PRECIOUS BLOOD	P.O BOX 97 SHILLINGTON, PA 19607		\$1,700
MOUNT VERNON LADIES ASSOCIATION	PO BOX 376 MOUNT VERNON, VA 22121-0376		\$105
MUSCULAR DYSTROPHY ASSOC	3300 EAST SUNRISE DRIVE TUCSON, AZ 85718		\$42
NATIONAL CATHOLIC COMMITTEE	1325 WEST WALNUT HILL LANE IRVING, TX 75015-2079		\$85
NATIONAL DOWNS SYNDROME SOCIETY	666 BROADWAY NEW YORK, NY 10012		\$85
NATIONAL MULTIPLE SCLEROSIS SOCIETY	GREATER DELAWARE VALLEY, ONE REED ST PHILA, PA 19147		\$125
NATIONAL RIGHT TO WORK LEGAL DEFENSE FDN	8001 BRADDOCK SPRINGFIELD, VA 22160		\$210
NATIONAL SHRINE ST ELIZABETH A. SETON	333 S. SETON AVENUE EMMITTSBURG, MD 21727		\$100
OBLATFS MISSIONS MASS ASSOC.	P O BOX 659432 SAN ANTONIO, TX 78265		\$85
OBLATES OF ST FRANCIS	P.O BOX 87 CHILDS, MD 21910		\$125

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PAGE 11, PART XV, LINE 3A
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PA FREE ENTERPRISE EDUCATION FOUND	2545 W 26TH STREET ERIE, PA 16506		\$525
PARALYZED VETERANS OF AMERICA	801 18TH ST NW, WASHINGTON, DC 20006-3517		\$15
PA RIGHT TO WORK DEFENSE EDUCATION FDN	225 STATE STREET, SUITE 300 HARRISBURG, PA 17101		\$215
PERPETUAL HELP CENTER-REDEMPTRISTS	294 EAST 150TH ST BRONX, NY 10454		\$20
PROJECT HOPE	255 CARTER HALL LANE MILLWOOD, VA 22646		\$195
PROPAGATION OF THE FAITH SOCIETY	DIOCESE OF ALLENTOWN 1040 FLEXER AVE ALLENTOWN, PA 18103		\$85
READING MUSICAL FOUNDATION	P O BOX 14835 READING, PA 19612-4835		\$80
READING PUBLIC MUSEUM	500 MUSEUM ROAD READING, PA 19611		\$500
SALVATION ARMY	P.O. BOX 11776 PHILADELPHIA, PA 19101		\$42
SACRED HEART - SOUTHERN MISSION	WALLS, MS 38686		\$125
SCHUYKILL RIVER GREENWAY ASSOC	140 COLLEGE DRIVE POTTSTOWN, PA 19464		\$125
SISTER SERVANTS OF THE IMMACULATE HEART OF MARY	CAMILLA HALL NURSING HOME 1145 KING ROAD BOX 400 IMMACULATE, PA 19345		\$850
ST FRANCIS MISSION	PO BOX 149 ST FRANCIS, SD 57572		\$42
ST IGNATIUS LOYOLA SCHOOL	2700 ST ALBANS DRIVE WEST LAWN, PA 19609		\$450
ST. JOHN BAPTIST DE LA SALLE	420 HOLLAND ST SHILLINGTON, PA 19607		\$2,000
ST JOSEPH'S MEDICAL CENTER	P O BOX 316 READING, PA 19604-0316		\$335
ST JOSEPH'S R C CHURCH	1018 N 8TH STREET READING, PA 19604		\$1,000
ST JOSEPH'S UNIVERSITY	5600 CITY LINE AVENUE PHILADELPHIA, PA 19131		\$2,500
ST LABRE INDIAN SCHOOL	TONGUE RIVER RD ASHLAND, MT 59003		\$42
SPECIAL OLYMPICS OF PA	124 WASHINGTON SQUARE NORRISTOWN, PA 19403		\$125
TECHNO SERVE	49 DAY STREET NORWALK, CT 06854		\$105
TRINITY MISSIONS	P O BOX 35105		\$50

CATHERINE V. & MARTIN HOFMANN FOUNDATION
EIN 23-6447843
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PAGE 11, PART XV, LINE 3A
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<u>CASH CONTRIBUTIONS.</u>		ALL GRANTS & CONTRIBUTIONS ARE MADE BY THE FOUNDATION FOR VARIOUS RELIGIOUS, CHARITABLE, SCIENTIFIC, OR EDUCATIONAL PURPOSES	
	CLEVELAND, OH 44135		
U S ENGLISH FOUNDATION	1747 PENNSYLVANIA AVE NW SUITE 1100 WASHINGTON, DC 20006		\$85
U S O	BOX 96898 WASHINGTON, DC 20090		\$250
UNITED WAY OF BERKS COUNTY	P O BOX 702 READING, PA 19603-0702		\$11,850
VETERANS OF FOREIGN WARS	406 WEST 34TH ST KANSAS CITY, MO 64111		\$23
WCR CENTER FOR THE ARTS	140 N 5TH STREET READING, PA 198601		\$2,200
WESTERN BERKS AMBULANCE ASSOCIATION	P O BOX 2506, 1100 BELMONT STREET WEST LAWN, PA 19609		\$600
WOOD TO WONDERFUL	159 WOLF CREEK ROAD BERNVILLE, PA 19506		\$125
WORLD VILLAGES FOR CHILDREN- ASIAN RELIEF	6411 IVY LANE, SUITE 204 GREENBELT, MD 20770		\$125
WYOMISSING INSTITUTE OF FINE ARTS	1100 BELMONT AVENUE READING, PA 19610		\$125
TOTAL CONTRIBUTIONS			<u>\$49,116</u>

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I and check this box ☒ **X**
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II (on page 2 of this form).
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Type or print	Name of Exempt Organization CATHERINE V. & MARTIN HOFMANN FOUNDATION	Employer identification number 23-6447843
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. 3145 SHILLINGTON ROAD	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. SINKING SPRING, PA 19608	

Check type of return to be filed (file a separate application for each return).

- | | | |
|---|---|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

MR. BERNARD HOFMANN

- The books are in the care of ► **3145 SHILLINGTON RD - SINKING SPRING, PA 19608**
Telephone No. ► **610-678-8051** FAX No. ► _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year **2009** or
- ☐ tax year beginning _____, and ending _____

- 2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	997.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	697.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	300.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

ParenteBeard LLC
Reading, PA 19603
E1 #23-2932984

Form 8868 (Rev. 4-2009)