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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation THE HOXIE HARRISON SMITH FOUNDATION		A Employer identification number 23-6238148
	Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 665	Room/suite	B Telephone number (610) 269-0115
	City or town, state, and ZIP code DOWNINGTOWN, PA 19335		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 7,005,428.		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		189,021.	189,021.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-214,739.			
b Gross sales price for all assets on line 6a		361,873.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		5,167.	0.		STATEMENT 2
12 Total. Add lines 1 through 11		-20,551.	189,021.		
13 Compensation of officers, directors, trustees, etc		20,200.	13,600.		6,600.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		2,500.	2,500.		0.
c Other professional fees					
17 Interest					
18 Taxes		569.	569.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		1,740.	0.		1,740.
22 Printing and publications					
23 Other expenses		1,023.	0.		1,023.
24 Total operating and administrative expenses. Add lines 13 through 23		26,032.	16,669.		9,363.
25 Contributions, gifts, grants paid		322,700.			322,700.
26 Total expenses and disbursements. Add lines 24 and 25		348,732.	16,669.		332,063.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-369,283.			
b Net investment income (if negative, enter -0-)			172,352.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			217,357.	188,066.	188,066.
	3 Accounts receivable					
	Less: allowance for doubtful accounts					
	4 Pledges receivable					
	Less: allowance for doubtful accounts					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable					
	Less: allowance for doubtful accounts					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock					
	c Investments - corporate bonds					
11 Investments - land, buildings, and equipment basis						
Less: accumulated depreciation						
12 Investments - mortgage loans						
13 Investments - other	STMT 7		6,790,201.	6,447,695.	6,817,362.	
14 Land, buildings, and equipment basis						
Less: accumulated depreciation						
15 Other assets (describe)	DIVIDEND RECEIVABLE		231.	0.	0.	
16 Total assets (to be completed by all filers)			7,007,789.	6,635,761.	7,005,428.	
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
22 Other liabilities (describe)						
23 Total liabilities (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds			7,832,925.	7,832,925.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds			-825,136.	-1,197,164.		
30 Total net assets or fund balances			7,007,789.	6,635,761.		
31 Total liabilities and net assets/fund balances			7,007,789.	6,635,761.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,007,789.
2 Enter amount from Part I, line 27a	2	-369,283.
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	6,638,506.
5 Decreases not included in line 2 (itemize) FEDERAL EXCISE TAX	5	2,745.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,635,761.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 361,873.		576,612.	-214,739.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			-214,739.	
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8				3
				N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	337,052.	7,640,629.	.044113
2007	448,996.	8,892,685.	.050490
2006	430,358.	8,384,478.	.051328
2005	432,657.	8,124,741.	.053252
2004	434,753.	8,227,147.	.052844
2 Total of line 1, column (d)			2 .252027
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .050405
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 6,252,559.
5 Multiply line 4 by line 3			5 315,160.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,724.
7 Add lines 5 and 6			7 316,884.
8 Enter qualifying distributions from Part XII, line 4			8 332,063.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,724.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,724.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,724.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	5,440.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	5,440.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,716.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☒ 1,760. Refunded ☒	11	1,956.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► CHARLES P BARBER Telephone no. ► (610) 269-0115
 Located at ► PO BOX 665, DOWNINGTOWN, PA ZIP+4 ► 19335-0415

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <u>N/A</u>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? ☐ Yes ☒ No	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No		
If "Yes," list the years: <u>N/A</u>	2b	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>N/A</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) <u>N/A</u>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ N/AOrganizations relying on a current notice regarding disaster assistance check here ☒c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ N/A**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		20,200.	0.	2,764.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
	0.
2 NONE	
	0.
3 NONE	
	0.
4 NONE	
	0.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
	0.
2 NONE	
	0.
All other program-related investments. See instructions.	
3 NONE	
	0.
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	6,047,935.
b	Average of monthly cash balances	1b	299,841.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	6,347,776.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,347,776.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	95,217.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,252,559.
6	Minimum investment return. Enter 5% of line 5	6	312,628.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	312,628.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,724.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,724.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	310,904.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	310,904.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	310,904.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	332,063.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	332,063.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,724.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	330,339.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				310,904.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	25,604.			
b From 2005	32,822.			
c From 2006	19,054.			
d From 2007	12,464.			
e From 2008				
f Total of lines 3a through e	89,944.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$	332,063.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				310,904.
e Remaining amount distributed out of corpus	21,159.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	111,103.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	25,604.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	85,499.			
10 Analysis of line 9:				
a Excess from 2005	32,822.			
b Excess from 2006	19,054.			
c Excess from 2007	12,464.			
d Excess from 2008				
e Excess from 2009	21,159.			

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:
(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . .

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c' "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

CHARLES P BARBER, (610)269-0115
PO BOX 665, DOWNINGTOWN, PA 19335

b. The form in which applications should be submitted and information and materials they should include:

LETTER

c Any submission deadlines:

BEFORE SEPTEMBER 1ST OF EACH YEAR

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SOUTHEASTERN PENNSYLVANIA ONLY

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHED LIST		PUBLIC		
Total				0.
b Approved for future payment				
NONE				
Total				0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Exempt Organizations		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b. If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer or trustee 		Date <u>5/4/2010</u>	Title <u>PRESIDENT</u>
	Preparer's signature 		Date <u>3/31/10</u>	Check if self-employed ☐
Paid Preparer's Use Only	Firm's name (or yours if self-employed), address, and ZIP code LARSON ALLEN LLP 18 SENTRY PARK WEST, SUITE 300 BLUE BELL, PA 19422-2240		EIN 215-643-3900	
			Phone no. 215-643-3900	

THE HOXIE HARRISON SMITH FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	HSBC HOLDINGS PLC (CASH IN LIEU)	P	VARIOUS	04/16/09
b	HOME DEPOT INC 1000 SH	P	10/19/06	04/28/09
c	TEXAS INSTRUMENTS INC 1500 SH	P	10/19/06	04/28/09
d	GNMA II GTD MTG PASS THRU CTFs POOL #002341	P	VARIOUS	VARIOUS
e	AMERICAN INTERNATIONAL GROUP INC 120 SH	P	VARIOUS	11/11/09
f	CITIGROUP INC 1000 SH	P	VARIOUS	11/11/09
g	FANNIE MAE COM 700 SH	P	VARIOUS	11/11/09
h	GMAC BK MIDVALE UTAH 3.5% 11/28/08	P	11/28/08	11/27/09
i	CENTRAL ILLINOIS BANK 3.4% 12/23/08	P	12/16/08	12/23/09
j	WESTERN BANK 3.6% 12/03/08	P	11/20/08	12/03/09
k	CAPITAL GAINS DIVIDENDS			
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3.			3.
b 25,739.		36,069.	-10,330.
c 25,769.		47,610.	-21,841.
d 53.		53.	0.
e 4,479.		140,556.	-136,077.
f 4,190.		28,536.	-24,346.
g 707.		27,788.	-27,081.
h 96,000.		96,000.	0.
i 100,000.		100,000.	0.
j 100,000.		100,000.	0.
k 4,933.			4,933.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			3.
b			-10,330.
c			-21,841.
d			0.
e			-136,077.
f			-24,346.
g			-27,081.
h			0.
i			0.
j			0.
k			4,933.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-214,739.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
VANGUARD 500 INDEX FUND	28,218.	0.	28,218.
VANGUARD BROKERAGE SERVICES	56,384.	0.	56,384.
VANGUARD HEALTH CARE FUND ADM SHARES	10,353.	2,164.	8,189.
VANGUARD IT IG ADM FUND	86,767.	2,769.	83,998.
VANGUARD PRIME MONEY MARKET FUND	1,348.	0.	1,348.
VANGUARD PRIMECAP FUND ADMIRAL	10,397.	0.	10,397.
VANGUARD SHORT-TERM INVEST-GR ADM	487.	0.	487.
TOTAL TO FM 990-PF, PART I, LN 4	193,954.	4,933.	189,021.

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
TYCO CLASS ACTION SUIT	102.	0.	
QUEST CLASS ACTION SUIT	16.	0.	
HSBC HLDGS PLC SUBSCRIPTION PRICE REFUND	217.	0.	
AIG CLASS ACTION SUIT	1,696.	0.	
CARDINAL HEALTH INC	3,136.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	5,167.	0.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,500.	2,500.		0.
TO FORM 990-PF, PG 1, LN 16B	2,500.	2,500.		0.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES AND FEES	569.	569.		0.
TO FORM 990-PF, PG 1, LN 18	569.	569.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSES	1,023.	0.		1,023.
TO FORM 990-PF, PG 1, LN 23	1,023.	0.		1,023.

FOOTNOTES	STATEMENT	6
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AMOUNT PAID TO CHARLES BARBER	700.
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AMOUNT PAID TO COMPANIES OWNED BY CHARLES BARBER AS REIMBURSEMENT FOR SERVICES PROVIDED BY HIS EMPLOYEE FOR FOUNDATION WORK	13,200.
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TOTAL COMPENSATION FOR CHARLES BARBER	13,900.
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OFFICE, POSTAGE, TRAVEL EXPENSES REIMBURSED TO CHARLIE BARBER'S COMPANIES	1,624.
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BOARD OF DIRECTOR MEETING EXPENSES REIMBURSED TO WILLIAM HEILIG	1,140.
--	--------

FORM 990-PF

OTHER INVESTMENTS

STATEMENT

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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
VANGUARD BROKERAGE SERVICES	COST	1,752,423.	2,036,679.
VANGUARD IT IG FD ADM	COST	1,586,680.	1,573,991.
VANGUARD 500 INDEX FUND	COST	1,207,079.	1,315,100.
VANGUARD PRIMECAP FUND	COST	1,147,618.	1,209,602.
VANGUARD HEALTH CARE FUND	COST	557,895.	486,544.
VANGUARD ST IG FD ADM	COST	196,000.	195,446.
TOTAL TO FORM 990-PF, PART II, LINE 13		6,447,695.	6,817,362.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT

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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CHARLES P BARBER (SEE STATEMENT 6) PO BOX 415 DOWNINGTOWN, PA 19335	PRESIDENT 1.00	13,900.	0.	1,624.
WILLIAM W HEILIG (SEE STATEMENT 6) 924 WINDING LANE MEDIA, PA 19063	FIRST VP 1.00	700.	0.	1,140.
MARK T LEDGER 61 BARR ROAD MALVERN, PA 19355	SECOND VP 1.00	700.	0.	0.
LEE E DANNEY 911 CLOVER HILL ROAD WYNNEWOOD, PA 19096	ASSISTANT SECRETARY 1.00	700.	0.	0.
BRUCE M BROWN 709 GREAT SPRINGS ROAD BRYN MAWR, PA 19010	BOARD MEMBER 1.00	700.	0.	0.
JOSEPH H BARBER F305 OCEAN PARKK, 300 N AIA JUPITER, FL 33477	BOARD MEMBER 1.00	700.	0.	0.

THE HOXIE HARRISON SMITH FOUNDATION

23-6238148

JAMES A BENNETT 941 MERION SQUARE ROAD GLADWYNE, PA 19035	BOARD MEMBER 1.00	700.	0.	0.
PHILIP C BURNHAM JR 104 QUAKER LANE VILLANOVA, PA 19085	BOARD MEMBER 1.00	700.	0.	0.
HOWARD W BUSCH 627 GLENWOOD LANE WEST CHESTER, PA 19380	BOARD MEMBER 1.00	700.	0.	0.
JACK T TOMARCHIO 4103 MEADOW LANE NEWTOWN SQUARE, PA 19073	BOARD MEMBER 1.00	700.	0.	0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

20,200.

0.

2,764.

FORM 990-PF

OTHER REVENUE

STATEMENT

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DESCRIPTION	BUS CODE	UNRELATED BUSINESS INC	EXCL CODE	EXCLUDED AMOUNT	RELATED OR EXEMPT FUNC- TION INCOME
TYCO CLASS ACTION SUIT			14	102.	
QUEST CLASS ACTION SUIT			14	16.	
HSBC HLDGS PLC					
SUBSCRIPTION PRICE REFUND			14	217.	
AIG CLASS ACTION SUIT			14	1,696.	
CARDINAL HEALTH INC			14	3,136.	
TOTAL TO FORM 990-PF, PG 11, LN 11				5,167.	

GRANTS PAID 2009

Academy of Natural Sciences
1900 Benjamin Franklin Parkway
Philadelphia, PA 19103-1195
\$5,000
Support WINS

Amerikids
P.O. Box 2104
West Chester, PA 19380
\$5,000.
Operating Expenses

ARC of Chester County
900 Lawrence Drive
West Chester, PA 19380
\$6,000.
Operating Expenses

Baker Industries
The Edwin & Fannie Gray Hall Center
184 Pennsylvania Avenue
Malvern, PA 19355-2864
\$10,000.
Operating Expenses

Bethesda Project
1630 South Street
Philadelphia, PA 19146
\$10,000.
Operating Expenses

Boy Scouts of America
504 South Concord Road
West Chester, PA 19382-5261
\$8,000
Operating Expenses

Camphill Special School-Beaver Run
1784 Fairview Road
Glenmoore, PA 19343
\$15,000.
Financial Aid to Students and Families

Camphill Village Kimberton Hills
P.O. Box 1045
Kimberton, PA 19442
\$3,500.
Wheelchair Access Pathway

Care Center Foundation
127-129 S. Matlack Street
West Chester, PA 19382-3103
\$6,000.
Operating Expenses

Child Abuse Prevention Effort
8001 Roosevelt Boulevard
Smylie Times Building, Suite 404
Philadelphia, PA 191520
\$7,500.
Emergency Family Fund

CityTeam Ministries
634 Sproul Street
Chester, PA 19013
\$14,500
Operating Expenses

Cradles to Crayons
141 Gibraltar Road
Horsham, PA 19044
\$2,500
Expand Geographically

Darlington Arts Center
977 Shavertown Road
Garnet Valley, PA 19061-1104
\$2,000.
Operating Expenses

Drexel University Army ROTC
3205 Lancaster Avenue
Philadelphia, PA 19104
\$9,000.
Cadet Scholarship Awards

Family Service of Chester County
310 North Matlack Street
West Chester, PA 19380-2620
\$7,000.
Child Abuse Prevention

Friends Home in Kennett
147 West State Street
Kennett Square, PA 19348
\$4,000.
Gutter and Downspout Installation

Handi-Crafters, Inc.
P.O. Box 72646
Thorndale, PA 19372
\$10,000.
Support Disabled Program

Home of the Sparrow
969 E. Swedesford Road
Exton, PA 19341
\$7,000.
Case Management and Life Skills Training

Liberty USO
Terminal A-East
Philadelphia International Airport
Philadelphia, PA 19153
\$11,000
Operating Expenses-Food Distribution

Melmark
2600 Wayland Road
Berwyn, PA 19312-2307
\$9,500.
Outdoor Pool Renovation

Mercy Vocational High School
2900 West Hunting Park Avenue
Philadelphia, PA 19129-1803
\$9,000.
Scholarship

Military Order of World Wars
149 West Northwestern Avenue
Philadelphia, PA 19118
\$9,500.
Scholarship Awards

Musicopia, Inc.
2001 Market Street
Suite 3100
Philadelphia, PA 19103-7080
\$5,000.
Operating Expenses

New Horizons Senior Center
206 Price Avenue
P.O. Box 85
Narberth, PA 19072
\$4,000.
Operating Expenses

Paoli 1, Boy Scouts of America
1038 Radnor Road
Wayne, PA 19087
\$5,000.
Tree Removal Project

Penn State Abington
1600 Woodland Road
Abington, PA 19001-3990
\$2,000.
ROTC Scholarship

Pennsylvania College of Optometry
8360 Old York Road
Elkins Park, PA 19027-1516
\$6,500.
Operating Expenses

Pennsylvania School for the Deaf
100 W. School House Lane
Philadelphia, PA 19144
\$5,000
New Student Cafeteria & Communication Center

Philabundance
3616 S. Galloway Street
Philadelphia, PA 19148-5402
\$8,000.
Operating Expenses

Philadelphia Development Partnership
111 S. Independence Mall East
The Bourse Building, Suite 810
Philadelphia, PA 19106
\$4,000.
Operating Expenses

Philadelphia Military Academy
1100 E. Mt. Pleasant Avenue
Philadelphia, PA 19150
\$5,000
Scholarship Awards

Philadelphia Veterans Multi-Service &
Education Center, Inc.
213-217 N. 4th Street
Philadelphia, PA 19106-1801
\$4,500.
Operating Expenses

Philadelphia Wooden Boat Factory
4520 Worth Street, Suite L2
Philadelphia, PA 19124
\$4,000
Operating Expenses

Police Athletic League
2524 East Clearfield Street
Philadelphia, PA 19134-5098
\$9,500.
PAL Van for West Oak Lane Center

Presbyterian Children's Village
452 South Roberts Road
Rosemont, PA 19010
\$13,000.
Special Education Program

Recording for the Blind
215 W. Church Road
Suite 111
King of Prussia, PA 19406-3209
\$6,000
Education to Print Disabled

Royer-Greaves School
for the Blind
118 South Valley Road
Box 1007
Paoli, PA 19301
\$5,000.
Safe-keeping Facilities Project

Senior Community Services
600 Swarthmore Avenue
Folsom, PA 19033
\$3,000
Cognitive Stimulation Kits Program

Tabor Services, Inc
601 New Britain Road
Doylestown, PA 18901-2788
\$2,500
Operating Expenses

The Chester Fund
P.O. Box 22
Chester, PA 19016
\$2,500
Extended Day Program-Chester Upland School
of the Arts

The Clinic Medical Center for the
Uninsured
143 Church Street
Phoenixville, PA 19460
\$8,000.
Primary Care Assistance to Uninsured

The Food Trust
One Penn Center
1617 John F. Kennedy Blvd., Suite 900
Philadelphia, PA 19103
\$2,500
Promote Fresh Fruit & Vegetable Program

Turning Points for Children
415 S. 15th Street
Philadelphia, PA 19146
\$9,000
Operating Expenses

Wagner Free Institute of Science
1700 W. Montgomery Avenue
Philadelphia, PA 19121-3227
\$4,000.
Free Education Program

Wayne Senior Center
108 Station Road
Wayne, PA 19087
\$2,500
Operating Expenses

West Chester Area Senior Center
530 E. Union Street
West Chester, PA 19382
\$7,000.
Operating Expenses

White-Williams Scholars
215 South Broad Street
10th Floor
Philadelphia, PA 19107
\$3,000.
Operating Expenses

Williamson Free School of Mechanical
Trades
106 S. New Middletown Road
Media, PA 19063-5299
\$7,500.
Operating Expenses

World Impact
Frederick Douglas Christian School
700 Central Avenue
Chester, PA 19013-3059
\$9,500
Operating Expenses for Frederick Douglas
Christian School

YMCA of The Brandywine Valley
Kennett Square Area
50 South First Avenue
Coatesville, PA 19320
\$4,200
Computer Software for Classrooms

TOTAL: \$322,700.00