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990-PF

Form 990-PF  
Department of the Treasury  
Internal Revenue ServiceReturn of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, 2009, and ending

, 20

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS  
label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions.

Name of foundation

CHARLES B. MATHIS MEM. TR.

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

1525 W W.T. HARRIS BLVD. D1114-044

City or town, state, and ZIP code

CHARLOTTE, NC 28288-1161

A Employer identification number

23-2733573

B Telephone number (see page 10 of the instructions)

(908) 598-3582

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

of year (from Part II, col. (c), line

16) \$ 1,594,810.

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis.)

## Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)

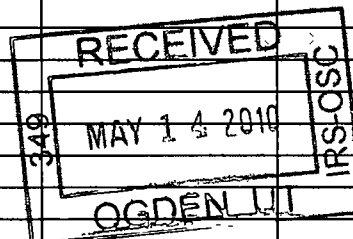
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	52,060.	49,915.		STMT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-61,846.			
	b	Gross sales price for all assets on line 6a	182,989.			
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold					
c	Gross profit or (loss) (attach schedule)					
11	Other income (attach schedule)					
12	Total. Add lines 1 through 11	-9,786.	49,915.			
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	20,871.	20,871.		
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,220.	NONE	NONE	2,220.
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)	482.	482.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	Total operating and administrative expenses. Add lines 13 through 23	23,573.	21,353.	NONE	2,220.
	25	Contributions, gifts, grants paid	75,275.			75,275.
26	Total expenses and disbursements. Add lines 24 and 25	98,848.	21,353.	NONE	77,495.	
27	Subtract line 26 from line 12:					
a	Excess of revenue over expenses and disbursements	-108,634.				
b	Net investment income (if negative, enter -0-)		28,562.			
c	Adjusted net income (if negative, enter -0-)					



For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA

Form 990-PF (2009)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .		NONE	NONE	
	2	Savings and temporary cash investments . . . . .		55,280.	34,474.	34,474.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ *			NONE	
		Less: allowance for doubtful accounts ▶		NONE	NONE	NONE
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10 a	Investments - U.S. and state government obligations (attach schedule)		NONE	NONE	NONE
	b	Investments - corporate stock (attach schedule)		NONE	NONE	NONE
	c	Investments - corporate bonds (attach schedule)		NONE	NONE	NONE
	11	Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule)	NONE	NONE	NONE	
	12	Investments - mortgage loans . . . . .		NONE	NONE	
	13	Investments - other (attach schedule)		1,663,363.	1,604,699.	1,560,336.
14	Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule)					
15	Other assets (describe ▶ )		NONE	NONE	NONE	
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .		1,718,643.	1,639,173.	1,594,810.	
Liabilities	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ )				
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds . . . . .		1,715,685.	1,639,173.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .		2,958.	NONE	
30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .		1,718,643.	1,639,173.		
31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .		1,718,643.	1,639,173.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	1,718,643.
2	Enter amount from Part I, line 27a . . . . .	2	-108,634.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 4	3	29,167.
4	Add lines 1, 2, and 3 . . . . .	4	1,639,176.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 5	5	3.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	1,639,173.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SEE PART IV DETAIL</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) . . . . .			2	-61,846.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8. . . . .			3		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	95,095.	1,708,453.	0.05566146684
2007	89,289.	2,032,161.	0.04393795570
2006	69,708.	1,872,613.	0.03722498989
2005	96,456.	1,817,751.	0.05306337337
2004	94,375.	1,762,505.	0.05354594739
<b>2</b> Total of line 1, column (d) . . . . .			0.24343373319
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .			0.04868674664
<b>4</b> Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 . . . . .			NONE
<b>5</b> Multiply line 4 by line 3 . . . . .			NONE
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .			286.
<b>7</b> Add lines 5 and 6 . . . . .			286.
<b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . .			77,495.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .			
Date of ruling or determination letter: _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	286.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		2	
3 Add lines 1 and 2 . . . . .		3	286.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	286.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009 . . . . .	6a		
b Exempt foreign organizations-tax withheld at source . . . . .	6b		NONE
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c		NONE
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7		NONE
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9		286.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10		
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/> . . . . .	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .		X
If "Yes," attach a detailed description of the activities.		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .		X
If "Yes," attach the statement required by General Instruction T.		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. . . . .	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)			X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		X	
Website address <u>N/A</u>				
14	The books are in care of <u>SEE STATEMENT 6</u>	Telephone no.		
Located at <u>ZIP + 4</u>				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			
and enter the amount of tax-exempt interest received or accrued during the year		15		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		X
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions.)		X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No  
 Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No  
 If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		20,871.	-0-	-0-

**2** Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ NONE

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services . . . . . **NONE****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions	
3 NONE	
<b>Total.</b> Add lines 1 through 3 . . . . .	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	NONE
<b>b</b>	Average of monthly cash balances	<b>1b</b>	NONE
<b>c</b>	Fair market value of all other assets (see page 24 of the instructions)	<b>1c</b>	NONE
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	NONE
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	NONE
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	<b>4</b>	NONE
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	NONE
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	NONE

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	NONE
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	286.
<b>b</b>	Income tax for 2009. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	286.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4	<b>5</b>	NONE
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions)	<b>6</b>	NONE
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	NONE

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	77,495.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	NONE
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	77,495.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	<b>5</b>	286.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	77,209.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
<b>1</b> Distributable amount for 2009 from Part XI, line 7 . . . . .				NONE
<b>2</b> Undistributed income, if any, as of the end of 2009:				
<b>a</b> Enter amount for 2008 only . . . . .			17,174.	
<b>b</b> Total for prior years. 20 <u>07</u> , 20 <u>    </u> , 20 <u>    </u> . . . . .		NONE		
<b>3</b> Excess distributions carryover, if any, to 2009:				
<b>a</b> From 2004 . . . . .	NONE			
<b>b</b> From 2005 . . . . .	NONE			
<b>c</b> From 2006 . . . . .	NONE			
<b>d</b> From 2007 . . . . .	NONE			
<b>e</b> From 2008 . . . . .	NONE			
<b>f</b> Total of lines 3a through e . . . . .	NONE			
<b>4</b> Qualifying distributions for 2009 from Part XII, line 4: ► \$ <u>77,495.</u>				
<b>a</b> Applied to 2008, but not more than line 2a . . . . .			17,174.	
<b>b</b> Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .	NONE			
<b>d</b> Applied to 2009 distributable amount . . . . .				NONE
<b>e</b> Remaining amount distributed out of corpus . . . . .	60,321.			
<b>5</b> Excess distributions carryover applied to 2009 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	60,321.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions . . . . .				
<b>f</b> Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 . . . . .				NONE
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .	NONE			
<b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .	NONE			
<b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a . . . . .	60,321.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2005 . . . . .	NONE			
<b>b</b> Excess from 2006 . . . . .	NONE			
<b>c</b> Excess from 2007 . . . . .	NONE			
<b>d</b> Excess from 2008 . . . . .	NONE			
<b>e</b> Excess from 2009 . . . . .	60,321.			

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE ATTACHED DETAIL

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

SEE ATTACHED DETAIL

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 8

**b** The form in which applications should be submitted and information and materials they should include:

N/A

**c** Any submission deadlines:

N/A

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>  SEE STATEMENT 17				
<b>Total</b> . . . . .			▶ <b>3a</b>	75,275.
<b>b Approved for future payment</b>				
<b>Total</b> . . . . .			▶ <b>3b</b>	

**Part XVI-A Analysis of Income-Producing Activities**

**Enter gross amounts unless otherwise indicated.**

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue:						
<b>a</b> _____						
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>f</b> _____						
<b>g</b> Fees and contracts from government agencies						
<b>2</b> Membership dues and assessments . . . . .						
<b>3</b> Interest on savings and temporary cash investments						
<b>4</b> Dividends and interest from securities . . . . .				14	52,060.	
<b>5</b> Net rental income or (loss) from real estate:						
<b>a</b> Debt-financed property . . . . .						
<b>b</b> Not debt-financed property . . . . .						
<b>6</b> Net rental income or (loss) from personal property .						
<b>7</b> Other investment income . . . . .						
<b>8</b> Gain or (loss) from sales of assets other than inventory				18	-61,846.	
<b>9</b> Net income or (loss) from special events . . . . .						
<b>10</b> Gross profit or (loss) from sales of inventory . .						
<b>11</b> Other revenue: <b>a</b> _____						
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>12</b> Subtotal. Add columns (b), (d), and (e) . . . . .					-9,786.	
<b>13</b> Total. Add line 12, columns (b), (d), and (e) . . . . .						-9,786.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
ARTIO TOTAL RETURN BOND FD-I	3,981.	3,981.
ARTIO INTERNATIONAL EQUITY II-I	4,116.	4,116.
DODGE & COX INTERNATIONAL STOCK FD	1,307.	1,307.
DODGE & COX INCOME FD COM	6,720.	6,720.
EVERGREEN INTL BOND FUND CL I (FD #460)	286.	286.
EVERGREEN INST MONEY MARKET FUND CL I (F	224.	224.
GOLDEN LARGE CORE VALUE FD INS	1,042.	1,042.
GOLDMAN SACHS LARGE CAP VALUE FUND INST	1,194.	1,194.
JANUS MID CAP VALUE FUND CL I	427.	392.
PERKINS MID CAP VALUE FD CL I	167.	167.
ARTIO TOTAL RETURN BOND FD CL I	616.	616.
MORGAN STANLEY INSTITUTIONAL FUND INC -	2,148.	2,148.
PIMCO FDS PAC INVT MGMT SER TOTAL RETURN	6,836.	6,836.
PIMCO FDS PAC INVT MGMT SER HIGH YLD FD	3,827.	3,770.
PIMCO FOREIGN BOND FUND-INST	1,123.	1,123.
PIMCO EMERGING MARKETS BOND FD INS CL	3,774.	3,767.
PIMCO COMMODITY REALRETURN STRATEGY FD I	3,837.	3,837.
PIONEER HIGH YIELD FD-Y	3,287.	3,287.
ROWE T PRICE REAL ESTATE FD COM	4,913.	2,867.
SSGA EMERGING MARKETS FUND CL S	1,921.	1,921.
WELLS FARGO ADVANTAGE ENDEAVOR SELECT FD	314.	314.
	-----	-----
TOTAL	52,060.	49,915.
	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	2,220.			2,220.
TOTALS	2,220.	NONE	NONE	2,220.
	=====	=====	=====	=====



FORM 990PF, PART I - TAXES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES ON QUALIFIED FOR	310.	310.
FOREIGN TAXES ON NONQUALIFIED	172.	172.
	-----	-----
TOTALS	482.	482.
	=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES  
=====

DESCRIPTION -----	AMOUNT -----
BOOK VALUE ADJUSTMENT	29,167.
	-----
TOTAL	29,167.
	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES  
=====

DESCRIPTION -----	AMOUNT -----
ROUNDING	3.
	-----
TOTAL	3.
	=====

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF  
=====

NAME: WACHOVIA BANK  
CHARITABLE SERVICES  
ADDRESS: 1525 WEST WT HARRIS BLVD.  
CHARLOTTE, NC 28288-5709

TELEPHONE NUMBER: (704) 590-2826

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES  
=====

OFFICER NAME:

WACHOVIA BANK, N.A.

ADDRESS:

1525 WEST WT HARRIS BLVD.

CHARLOTTE, NC 28288-1114

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

COMPENSATION ..... 20,871.

TOTAL COMPENSATION:

20,871.

=====

CHARLES B. MATHIS MEM. TR.  
FORM 990PF, PART XV - LINES 2a - 2d

23-2733573

RECIPIENT NAME:

WACHOVIA BANK

ADDRESS:

CHARITABLE ADMINISTRATION, 190 RIVER ROAD  
SUMMIT, NJ 07901-3132

STATEMENT 8

=====

## RECIPIENT NAME:

RUTGERS UNIVERSITY  
2009 SCHOLARSHIP WINNER

## ADDRESS:

303 COOPER STREET  
CAMDEN, NJ 08102

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

EDUCATION

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 3,000.

## RECIPIENT NAME:

OCEAN COUNTY COLLEGE  
2009 WINNER KELLY E. GRAY

## ADDRESS:

P O BOX 2001  
TOMS RIVER, NJ 08754-2001

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

EDUCATION

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 1,500.

## RECIPIENT NAME:

TEAM RANDY, INC

## ADDRESS:

213 ROUTE 37 E (K-MART PLAZA)  
TOMS RIVER, NJ 08753

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 1,600.

=====

## RECIPIENT NAME:

UNIVERSITY OF CONNECTICUT

2009 SCHOLLARSHIP WINNER

## ADDRESS:

115 NORTH EAGLEVILLE ROAD

STORRS, CT 06269

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

EDUACTION

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 3,000.

## RECIPIENT NAME:

COLGATE UNIVERSITY

2009 SCHOLARSHIP WINNER

## ADDRESS:

13 OAK DRIVE

HAMILTON, NY 13346

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

EDUCATION

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 1,500.

## RECIPIENT NAME:

COLLEGE OF NEW JERSEY

2009 SCHOLLARSHIP WINNER

## ADDRESS:

2000 PENNINGTON ROAD

EWING, NJ 08628-0718

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

EDUCATION

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 1,500.



=====

## RECIPIENT NAME:

YORK COLLEGE OF PENNSYLVANIA  
2009 SCHOLLARSHIP WINNER

## ADDRESS:

441 COUNTRY CLUB ROAD  
YORK, PA 17403

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

EDUCATION

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 1,500.

## RECIPIENT NAME:

STEVENS INSTITUTE OF TECHNOLOGY  
2009 SCHOLARSHIP WINNER

## ADDRESS:

CASTLE POINT ON HUDSON  
HOBOKEN, NJ 07030-5991

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

EDUCATION

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 3,000.

## RECIPIENT NAME:

FRIENDS OF THE OLD YELLOW MEETING

## ADDRESS:

BOX 1717  
IMALAYSTOWN, NJ 08526

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 10.

=====

## RECIPIENT NAME:

UNIVERSITY OF PENNSYLVANIA  
2009 SCHOLARSHIP WINNER

## ADDRESS:

3451 WALNUT STREET  
PHILADELPHIA, PA 19104-6243

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

EDUCATION

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 1,500.

## RECIPIENT NAME:

UNIVERSITY OF MARYLAND  
2009 SCHOLARSHIP WINNER

## ADDRESS:

1201 TURNER HALL  
COLLEGE PARK, MD 20742

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

EDUCATION

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 1,500.

## RECIPIENT NAME:

ROWAN UNIVERSITY  
2009 SCHOLARSHIP WINNER

## ADDRESS:

201 MULICA HILL ROAD  
GLASSBORO, NJ 08028

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

EDUCATION

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 1,500.

.=====

## RECIPIENT NAME:

YOUR GRANDMOTHER'S CUPBOARD

ROSLYN PLAZA

## ADDRESS:

173A HWY 37 WEST

TOMS RIVER, NJ 08755

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 1,000.

## RECIPIENT NAME:

AMERICAN CANCER SOCIETY

## ADDRESS:

132 WEST 32ND STREET

NEW YORK, NY 10001

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 5,000.

## RECIPIENT NAME:

HOPE CENTER HUMAN NEED

## ADDRESS:

253 CHESTNUT STREET

TOMS RIVER, NJ 08753

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 5,000.

## RECIPIENT NAME:

BOYS SCOUTS OF AMERICA JERSEY COUNC  
LEONIAK PLAZA SUITE #4

## ADDRESS:

1518 RIDGEWAY ROAD  
BRICK, NJ 08723

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 6,000.

## RECIPIENT NAME:

GIRL SCOUTT COUNCIL OF OCEAN COUNTY

## ADDRESS:

1405 OLD FREEHOLD ROAD  
TOMS RIVER, NJ 08753

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 5,500.

## RECIPIENT NAME:

ASSC FOR MULTIPLE IMPAIRED BLIND  
LEONIAK PLAZA SUITE #4

## ADDRESS:

909 CEDAR BRIDGE AVENUE  
BRICK, NJ 08723

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 7,000.

## RECIPIENT NAME:

FIRST BAPTIST CHURCH OF TOMS RIV

ATTN: JOHN D. STRAIN, PAS

## ADDRESS:

700 NORTH MAIN STREET

TOMS RIVER, NJ 08753-6518

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 265.

## RECIPIENT NAME:

TUCKERTON LIBRARY ASSOCIATION

## ADDRESS:

380 BAY AVENUE

TUCKERTON, NJ 08087

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

EDUCATION

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 2,000.

## RECIPIENT NAME:

DEBORAH HOSPITAL FOUNDATION

HEART &amp; LUNG CENTER

## ADDRESS:

20 PINE MILL ROAD

BROWNS MILLS, NJ 08015

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 7,000.

=====

## RECIPIENT NAME:

TURNING LIVES AROUND

1 BETHANY ROAD

## ADDRESS:

42 BLDG 3

HAZLET, NJ 07730

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 5,000.

## RECIPIENT NAME:

OCEAN HOUSING ALLIANCE

## ADDRESS:

605 BAY AVENUE

POINT PLEASANT BEACH, NJ 08742

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 500.

## RECIPIENT NAME:

SHOOL FOR CHILDREN WITH HIDDEN INT

## ADDRESS:

812 E COUNTY LINE ROAD

LAKEWOOD, NJ 08701

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 2,400.

=====

## RECIPIENT NAME:

OCEAN & MONMOUTH CONTIES  
CRISIS INTERVENTION

## ADDRESS:

P O BOX 1121  
TOMS RIVER, NJ 08754

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

GENERAL

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 6,000.

## RECIPIENT NAME:

EMORY UNIVERSITY

## ADDRESS:

201 DOWMAN DRIVE  
ATLANT, GA 30322

## RELATIONSHIP:

NONE

## PURPOSE OF GRANT:

EDUCATION

## FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID ..... 1,500.

TOTAL GRANTS PAID:

75,275.

=====

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
		TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS				1,999.	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS				1,281.	
239.00		20.054 DODGE & COX INCOME FD COM PROPERTY TYPE: SECURITIES 244.00				07/07/2008 -5.00	01/07/2009
2,924.00		215.309 GOLDMAN SACHS GROWTH OPPORTUNIT PROPERTY TYPE: SECURITIES 2,681.00				12/01/2008 243.00	01/07/2009
4,259.00		323.874 JANUS MID CAP VALUE FUND CL I PROPERTY TYPE: SECURITIES 3,812.00				12/01/2008 447.00	01/07/2009
6,851.00		505.268 MORGAN STANLEY INSTITUTIONAL FUN PROPERTY TYPE: SECURITIES 10,636.00				07/07/2008 -3,785.00	01/07/2009
2,051.00		291.706 PIMCO FDS PAC INVT MGMT SER HIGH PROPERTY TYPE: SECURITIES 2,614.00				07/07/2008 -563.00	01/07/2009
481.00		55.78 PIMCO EMERGING MARKETS BOND FD INS PROPERTY TYPE: SECURITIES 631.00				10/02/2006 -150.00	01/07/2009
14,620.00		1335.124 ROWE T PRICE REAL ESTATE FD COM PROPERTY TYPE: SECURITIES 23,098.00				07/07/2008 -8,478.00	01/07/2009
3,885.00		489.272 ROYCE VALUE PLUS FUND-INV PROPERTY TYPE: SECURITIES 3,444.00				12/01/2008 441.00	01/07/2009
6,445.00		542.524 SSGA EMERGING MARKETS FUND CL S PROPERTY TYPE: SECURITIES 11,567.00				10/02/2006 -5,122.00	01/07/2009
6,094.00		490.25 ARTIO TOTAL RETURN BOND FD-I PROPERTY TYPE: SECURITIES 6,136.00				01/07/2009 -42.00	04/07/2009



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
5,054.00		434.198 DODGE & COX INCOME FD COM PROPERTY TYPE: SECURITIES 5,284.00				07/07/2008 -230.00	04/07/2009
1,557.00		156.504 EVERGREEN INTL BOND FUND CL I (F PROPERTY TYPE: SECURITIES 1,811.00				07/07/2008 -254.00	04/07/2009
2,364.00		175.514 GOLDMAN SACHS GROWTH OPPORTUNIT PROPERTY TYPE: SECURITIES 2,185.00				12/01/2008 179.00	04/07/2009
54.00		4.355 JANUS MID CAP VALUE FUND CL I PROPERTY TYPE: SECURITIES 51.00				12/01/2008 3.00	04/07/2009
5,852.00		578.294 PIMCO FDS PAC INVT MGMT SER TOTA PROPERTY TYPE: SECURITIES 5,962.00				12/01/2008 -110.00	04/07/2009
491.00		74.324 PIMCO FDS PAC INVT MGMT SER HIGH PROPERTY TYPE: SECURITIES 666.00				07/07/2008 -175.00	04/07/2009
1,283.00		142.76 PIMCO FOREIGN BOND FUND-INST PROPERTY TYPE: SECURITIES 1,489.00				10/02/2006 -206.00	04/07/2009
4,142.00		476.036 PIMCO EMERGING MARKETS BOND FD I PROPERTY TYPE: SECURITIES 5,384.00				10/02/2006 -1,242.00	04/07/2009
709.00		111.989 PIMCO COMMODITY REALRETURN STRAT PROPERTY TYPE: SECURITIES 2,310.00				07/07/2008 -1,601.00	04/07/2009
1,984.00		317.893 PIONEER HIGH YIELD FD-Y PROPERTY TYPE: SECURITIES 3,459.00				10/02/2006 -1,475.00	04/07/2009
151.00		19.788 ROYCE VALUE PLUS FUND-INV PROPERTY TYPE: SECURITIES 139.00				12/01/2008 12.00	04/07/2009

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
3,764.00		310.841 SSGA EMERGING MARKETS FUND CL S PROPERTY TYPE: SECURITIES 6,627.00				10/02/2006 -2,863.00	04/07/2009
2,375.00		376.414 WELLS FARGO ADVANTAGE ENDEAVOR S PROPERTY TYPE: SECURITIES 4,148.00				07/07/2008 -1,773.00	04/07/2009
1,652.00		169.038 ARTIO INTERNATIONAL EQUITY II-I PROPERTY TYPE: SECURITIES 2,515.00				07/07/2008 -863.00	07/07/2009
7,197.00		294.473 DODGE & COX INTERNATIONAL STOCK PROPERTY TYPE: SECURITIES 11,641.00				07/07/2008 -4,444.00	07/07/2009
1,015.00		67.019 GOLDMAN SACHS GROWTH OPPORTUNITI PROPERTY TYPE: SECURITIES 834.00				12/01/2008 181.00	07/07/2009
5,490.00		401.281 MORGAN STANLEY INSTITUTIONAL FUN PROPERTY TYPE: SECURITIES 8,447.00				07/07/2008 -2,957.00	07/07/2009
1,703.00		225.626 PIMCO FDS PAC INVT MGMT SER HIGH PROPERTY TYPE: SECURITIES 2,022.00				07/07/2008 -319.00	07/07/2009
3,485.00		467.781 PIONEER HIGH YIELD FD-Y PROPERTY TYPE: SECURITIES 5,089.00				10/02/2006 -1,604.00	07/07/2009
4,886.00		541.657 ROWE T PRICE REAL ESTATE FD COM PROPERTY TYPE: SECURITIES 9,371.00				07/07/2008 -4,485.00	07/07/2009
1,739.00		195.122 ROYCE VALUE PLUS FUND-INV PROPERTY TYPE: SECURITIES 1,374.00				12/01/2008 365.00	07/07/2009
6,921.00		471.793 SSGA EMERGING MARKETS FUND CL S PROPERTY TYPE: SECURITIES 10,059.00				10/02/2006 -3,138.00	07/07/2009

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
5,290.00		488.438 ARTIO INTERNATIONAL EQUITY II-I PROPERTY TYPE: SECURITIES 7,268.00				07/07/2008 -1,978.00	07/24/2009
4,864.00		176.217 DODGE & COX INTERNATIONAL STOCK PROPERTY TYPE: SECURITIES 6,966.00				07/07/2008 -2,102.00	07/24/2009
2,121.00		283.877 GOLDEN SMALL CORE VALUE FD INS C PROPERTY TYPE: SECURITIES 3,001.00				07/07/2008 -880.00	07/24/2009
2,541.00		296.824 GOLDEN LARGE CORE VALUE FD INS PROPERTY TYPE: SECURITIES 3,155.00				07/07/2008 -614.00	07/24/2009
2,873.00		168.982 GOLDMAN SACHS GROWTH OPPORTUNIT PROPERTY TYPE: SECURITIES 2,104.00				12/01/2008 769.00	07/24/2009
4,921.00		519.04 GOLDMAN SACHS LARGE CAP VALUE FU PROPERTY TYPE: SECURITIES 6,493.00				07/07/2008 -1,572.00	07/24/2009
2,325.00		133.3 PERKINS MID CAP VALUE FD CL I PROPERTY TYPE: SECURITIES 1,936.00				07/07/2009 389.00	07/24/2009
2,405.00		160.004 MORGAN STANLEY INSTITUTIONAL FUN PROPERTY TYPE: SECURITIES 3,368.00				07/07/2008 -963.00	07/24/2009
1,040.00		143.2 PIMCO COMMODITY REALRETURN STRATEG PROPERTY TYPE: SECURITIES 2,954.00				07/07/2008 -1,914.00	07/24/2009
657.00		83.701 PIONEER HIGH YIELD FD-Y PROPERTY TYPE: SECURITIES 911.00				10/02/2006 -254.00	07/24/2009
1,860.00		186.347 ROWE T PRICE REAL ESTATE FD COM PROPERTY TYPE: SECURITIES 3,224.00				07/07/2008 -1,364.00	07/24/2009

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
2,158.00		216.231 ROYCE VALUE PLUS FUND-INV PROPERTY TYPE: SECURITIES 1,522.00					12/01/2008 636.00	07/24/2009
4,182.00		255.798 SSGA EMERGING MARKETS FUND CL S PROPERTY TYPE: SECURITIES 5,454.00					10/02/2006 -1,272.00	07/24/2009
6,067.00		833.37 WELLS FARGO ADVANTAGE ENDEAVOR SE PROPERTY TYPE: SECURITIES 9,184.00					07/07/2008 -3,117.00	07/24/2009
267.00		22.143 ARTIO INTERNATIONAL EQUITY II-I PROPERTY TYPE: SECURITIES 329.00					07/07/2008 -62.00	10/07/2009
2,745.00		86.964 DODGE & COX INTERNATIONAL STOCK F PROPERTY TYPE: SECURITIES 3,438.00					07/07/2008 -693.00	10/07/2009
313.00		16.57 GOLDMAN SACHS GROWTH OPPORTUNITIE PROPERTY TYPE: SECURITIES 206.00					12/01/2008 107.00	10/07/2009
5,874.00		315.962 MORGAN STANLEY INSTITUTIONAL FUN PROPERTY TYPE: SECURITIES 6,651.00					07/07/2008 -777.00	10/07/2009
13,494.00		1086.435 ROWE T PRICE REAL ESTATE FD COM PROPERTY TYPE: SECURITIES 18,656.00					07/07/2008 -5,162.00	10/07/2009
1,995.00		107.198 SSGA EMERGING MARKETS FUND CL S PROPERTY TYPE: SECURITIES 2,285.00					10/02/2006 -290.00	10/07/2009

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
TOTAL GAIN (LOSS) .....							----- -61,846. =====	

**SCHEDULE D**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

# Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No. 1545-0092

**2009**

Name of estate or trust

CHARLES B. MATHIS MEM. TR.

Employer identification number

23-2733573

**Note:** Form 5227 filers need to complete *only* Parts I and II.

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example. 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a SHORT-TERM CAPITAL GAIN DIVIDENDS		STMT 1			1,999.

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .	1b	-26,312.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet . . . . .	4	( )
5 <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back . . . . . ▶	5	-24,313.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example. 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS		STMT 1			1,281.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .	6b	-38,814.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	8	
9 Capital gain distributions . . . . .	9	
10 Gain from Form 4797, Part I . . . . .	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet . . . . .	11	( )
12 <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back . . . . . ▶	12	-37,533.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

**Part III Summary of Parts I and II****Caution: Read the instructions before completing this part.**

		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
<b>13</b>	<b>Net short-term gain or (loss)</b> . . . . .	<b>13</b>		-24,313.
<b>14</b>	<b>Net long-term gain or (loss):</b>			
a	Total for year . . . . .	<b>14a</b>		-37,533.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.) . . . .	<b>14b</b>		
c	28% rate gain . . . . .	<b>14c</b>		
<b>15</b>	<b>Total net gain or (loss).</b> Combine lines 13 and 14a . . . . . ▶	<b>15</b>		-61,846.

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

**Part IV Capital Loss Limitation**

<b>16</b>	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	<b>16</b>	
a	The loss on line 15, column (3) or b \$3,000 . . . . .		( 3,000 )

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

<b>17</b>	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . .	<b>17</b>		
<b>18</b>	Enter the <b>smaller</b> of line 14a or 15 in column (2) but not less than zero . . . . .	<b>18</b>		
<b>19</b>	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . .	<b>19</b>		
<b>20</b>	Add lines 18 and 19 . . . . .	<b>20</b>		
<b>21</b>	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	<b>21</b>		
<b>22</b>	Subtract line 21 from line 20. If zero or less, enter -0- . . . . .	<b>22</b>		
<b>23</b>	Subtract line 22 from line 17. If zero or less, enter -0- . . . . .	<b>23</b>		
<b>24</b>	Enter the <b>smaller</b> of the amount on line 17 or \$2,300 . . . . .	<b>24</b>		
<b>25</b>	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> <b>Yes.</b> Skip lines 25 and 26; go to line 27 and check the "No" box. <input type="checkbox"/> <b>No.</b> Enter the amount from line 23 . . . . .	<b>25</b>		
<b>26</b>	Subtract line 25 from line 24 . . . . .	<b>26</b>		
<b>27</b>	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> <b>Yes.</b> Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> <b>No.</b> Enter the <b>smaller</b> of line 17 or line 22 . . . . .	<b>27</b>		
<b>28</b>	Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . . .	<b>28</b>		
<b>29</b>	Subtract line 28 from line 27 . . . . .	<b>29</b>		
<b>30</b>	Multiply line 29 by 15% (.15) . . . . .	<b>30</b>		
<b>31</b>	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>31</b>		
<b>32</b>	Add lines 30 and 31 . . . . .	<b>32</b>		
<b>33</b>	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>33</b>		
<b>34</b>	<b>Tax on all taxable income.</b> Enter the <b>smaller</b> of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . .	<b>34</b>		

Schedule D (Form 1041) 2009

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2009**

Name of estate or trust

CHARLES B. MATHIS MEM. TR.

Employer identification number

23-2733573

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 20.054 DODGE & COX INCOME FD COM	07/07/2008	01/07/2009	239.00	244.00	-5.00
215.309 GOLDMAN SACHS GROWTH OPPORTUNITIES INSTIT	12/01/2008	01/07/2009	2,924.00	2,681.00	243.00
323.874 JANUS MID CAP VALUE FUND CL I	12/01/2008	01/07/2009	4,259.00	3,812.00	447.00
505.268 MORGAN STANLEY INSTITUTIONAL FUND INC - IN	07/07/2008	01/07/2009	6,851.00	10,636.00	-3,785.00
291.706 PIMCO FDS PAC INVT MGMT SER HIGH YLD FD INSTL	07/07/2008	01/07/2009	2,051.00	2,614.00	-563.00
1335.124 ROWE T PRICE REAL ESTATE FD COM	07/07/2008	01/07/2009	14,620.00	23,098.00	-8,478.00
489.272 ROYCE VALUE PLUS FUND-INV	12/01/2008	01/07/2009	3,885.00	3,444.00	441.00
490.25 ARTIO TOTAL RETURN BOND FD-I	01/07/2009	04/07/2009	6,094.00	6,136.00	-42.00
434.198 DODGE & COX INCOME FD COM	07/07/2008	04/07/2009	5,054.00	5,284.00	-230.00
156.504 EVERGREEN INTL BOND FUND CL I (FD #460)	07/07/2008	04/07/2009	1,557.00	1,811.00	-254.00
175.514 GOLDMAN SACHS GROWTH OPPORTUNITIES INSTIT	12/01/2008	04/07/2009	2,364.00	2,185.00	179.00
4.355 JANUS MID CAP VALUE FUND CL I	12/01/2008	04/07/2009	54.00	51.00	3.00
578.294 PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD IN	12/01/2008	04/07/2009	5,852.00	5,962.00	-110.00
74.324 PIMCO FDS PAC INVT MGMT SER HIGH YLD FD INSTL	07/07/2008	04/07/2009	491.00	666.00	-175.00
111.989 PIMCO COMMODITY REALRETURN STRATEGY FD I	07/07/2008	04/07/2009	709.00	2,310.00	-1,601.00
19.788 ROYCE VALUE PLUS FUND-INV	12/01/2008	04/07/2009	151.00	139.00	12.00
376.414 WELLS FARGO ADVANTAGE ENDEAVOR SELECT F	07/07/2008	04/07/2009	2,375.00	4,148.00	-1,773.00
169.038 ARTIO INTERNATIONAL L EQUITY II-I	07/07/2008	07/07/2009	1,652.00	2,515.00	-863.00
294.473 DODGE & COX INTERNATIONAL STOCK FD	07/07/2008	07/07/2009	7,197.00	11,641.00	-4,444.00
67.019 GOLDMAN SACHS GROWTH OPPORTUNITIES INSTIT	12/01/2008	07/07/2009	1,015.00	834.00	181.00
401.281 MORGAN STANLEY INSTITUTIONAL FUND INC - IN	07/07/2008	07/07/2009	5,490.00	8,447.00	-2,957.00
225.626 PIMCO FDS PAC INVT MGMT SER HIGH YLD FD INSTL	07/07/2008	07/07/2009	1,703.00	2,022.00	-319.00
541.657 ROWE T PRICE REAL ESTATE FD COM	07/07/2008	07/07/2009	4,886.00	9,371.00	-4,485.00
195.122 ROYCE VALUE PLUS FUND-INV	12/01/2008	07/07/2009	1,739.00	1,374.00	365.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009



**Department of the Treasury**  
**Internal Revenue Service**

**► See instructions for Schedule D (Form 1041).**

**► Attach to Schedule D to list additional transactions for lines 1a and 6a.**

2009

Name of estate or trust

Employer identification number

CHARLES B. MATHIS MEM. TR.

23-2733573

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**[illegible]

<b>1b Total.</b> Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .	-26,312.00
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**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.**

**Schedule D-1 (Form 1041) 2009**

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

CHARLES B. MATHIS MEM. TR.

23-2733573

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 55.78 PIMCO EMERGING MARKETS BOND FD INS CL	10/02/2006	01/07/2009	481.00	631.00	-150.00
542.524 SSGA EMERGING MARKETS FUND CL S	10/02/2006	01/07/2009	6,445.00	11,567.00	-5,122.00
142.76 PIMCO FOREIGN BOND FUND-INST	10/02/2006	04/07/2009	1,283.00	1,489.00	-206.00
476.036 PIMCO EMERGING MARKETS BOND FD INS CL	10/02/2006	04/07/2009	4,142.00	5,384.00	-1,242.00
317.893 PIONEER HIGH YIELD FD-Y	10/02/2006	04/07/2009	1,984.00	3,459.00	-1,475.00
310.841 SSGA EMERGING MARKETS FUND CL S	10/02/2006	04/07/2009	3,764.00	6,627.00	-2,863.00
467.781 PIONEER HIGH YIELD FD-Y	10/02/2006	07/07/2009	3,485.00	5,089.00	-1,604.00
471.793 SSGA EMERGING MARKETS FUND CL S	10/02/2006	07/07/2009	6,921.00	10,059.00	-3,138.00
488.438 ARTIO INTERNATIONAL EQUITY II-I	07/07/2008	07/24/2009	5,290.00	7,268.00	-1,978.00
176.217 DODGE & COX INTERNATIONAL STOCK FD	07/07/2008	07/24/2009	4,864.00	6,966.00	-2,102.00
283.877 GOLDEN SMALL CORE VALUE FD INS CL	07/07/2008	07/24/2009	2,121.00	3,001.00	-880.00
296.824 GOLDEN LARGE CORE VALUE FD INS	07/07/2008	07/24/2009	2,541.00	3,155.00	-614.00
519.04 GOLDMAN SACHS LARGE CAP VALUE FUND INSTIT	07/07/2008	07/24/2009	4,921.00	6,493.00	-1,572.00
160.004 MORGAN STANLEY INSTITUTIONAL FUND INC - IN	07/07/2008	07/24/2009	2,405.00	3,368.00	-963.00
143.2 PIMCO COMMODITY REALRETURN STRATEGY FD I	07/07/2008	07/24/2009	1,040.00	2,954.00	-1,914.00
83.701 PIONEER HIGH YIELD FD-Y	10/02/2006	07/24/2009	657.00	911.00	-254.00
186.347 ROWE T PRICE REAL ESTATE FD COM	07/07/2008	07/24/2009	1,860.00	3,224.00	-1,364.00
255.798 SSGA EMERGING MARKETS FUND CL S	10/02/2006	07/24/2009	4,182.00	5,454.00	-1,272.00
833.37 WELLS FARGO ADVANTAGE ENDEAVOR SELECT F	07/07/2008	07/24/2009	6,067.00	9,184.00	-3,117.00
22.143 ARTIO INTERNATIONAL EQUITY II-I	07/07/2008	10/07/2009	267.00	329.00	-62.00
86.964 DODGE & COX INTERNATIONAL STOCK FD	07/07/2008	10/07/2009	2,745.00	3,438.00	-693.00
315.962 MORGAN STANLEY INSTITUTIONAL FUND INC - IN	07/07/2008	10/07/2009	5,874.00	6,651.00	-777.00
1086.435 ROWE T PRICE REAL ESTATE FD COM	07/07/2008	10/07/2009	13,494.00	18,656.00	-5,162.00
107.198 SSGA EMERGING MARKETS FUND CL S	10/02/2006	10/07/2009	1,995.00	2,285.00	-290.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . -38,814.00

Schedule D-1 (Form 1041) 2009

FEDERAL CAPITAL GAIN DIVIDENDS  
=====SHORT-TERM CAPITAL GAIN DIVIDENDS  
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PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD I	1,088.00
PIMCO FOREIGN BOND FUND-INST	
PIMCO FOREIGN BOND FUND-INST	911.00

TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS	1,999.00
	=====

LONG-TERM CAPITAL GAIN DIVIDENDS  
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## 15% RATE CAPITAL GAIN DIVIDENDS

PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD I	303.00
PIMCO FOREIGN BOND FUND-INST	195.00
PIMCO COMMODITY REALRETURN STRATEGY FD I	784.00

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS	1,281.00
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TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS	1,281.00
	=====

RUN APR 30 10  
AT 8:56 AM

WELLS FARGO BANK, N.A.  
INVEST AFC/AFP INTERFACE

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AS OF 04/30/10

DETAIL LIST  
TICKLER 04/30/10 SEQUENCE 1277 12/31/09 THROUGH 12/31/09  
SETTLE DATE POSITION FOR ████████ MATHIS FDN AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS /	ORIG. FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
PRINCIPAL							
=====							
TEMPORARY INVESTMENTS							
-----							
300250602	EVERGREEN INST MONEY MARKET FUND CL I (FD #495)			11,986.77	11,986.77		
TOTAL							
				11,986.77	11,986.77		
MUTUAL FUNDS - FIXED TAXABLE							
-----							
04315J209	ARTIO TOTAL RETURN BOND FD-I	9,029.538		112,835.13	120,905.51	112,772.50	112,772.50
256210105	DODGE & COX INCOME FD	10,614.5		129,838.67	137,563.92	129,838.67	129,838.67
299908830	EVERGREEN INTERNATIONAL BOND FD CL I (FD #460)	2,605.15		29,717.40	29,386.09	29,695.04	29,695.04
693390700	PIMCO TOTAL RETURN FD INST CL	12,525.026		129,834.02	135,270.28	129,833.69	129,833.69
693390841	PIMCO HIGH YIELD FD INSTL CL	5,380.591		47,995.11	47,349.20	47,978.26	47,978.26
693390882	PIMCO FOREIGN BOND FD U.S. DOLLAR - HEDGED INST CL	2,950.854		30,405.04	29,508.54	30,375.40	30,375.40
693391559	PIMCO EMERGING MARKETS BOND FD INS CL	5,879.046		65,940.88	60,671.75	65,892.60	65,892.60
72369B406	PIONEER HIGH YIELD FD CL Y	5,262.945		54,166.18	47,998.06	53,482.47	53,482.47
TOTAL							
		54,247.65		600,732.43	608,653.35	599,868.63	599,868.63
MUTUAL FUNDS - OTHER							
-----							
722005667	PIMCO COMMODITY REALRETURN STRATEGY FD INSTL	7,909.949		109,835.30	65,494.38	108,194.78	108,194.78
779919109	T ROME PRICE REAL ESTATE FD	8,574.525		111,507.82	118,585.68	99,138.28	99,138.28
TOTAL							
		16,484.474		221,343.12	184,080.06	207,333.06	207,333.06

RUN APR 30 10  
AT 8:56 AM

WELLS FARGO BANK, N.A.  
INVEST AFC/AFP INTERFACE

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AS OF 04/30/10

DETAIL LIST  
TICKLER 04/30/10 SEQUENCE 1277 12/31/09 THROUGH 12/31/09  
SETTLE DATE POSITION FOR [REDACTED] MATHIS FDN AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS / ORIG. FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
MUTUAL FUNDS - EQUITY						
-----						
34984T857	GOLDEN SMALL CORE VALUE FD INS CL	4,631.172	45,119.62	38,114.55	44,203.91	44,203.91
34984T881	GOLDEN LARGE CORE VALUE FD INS	8,330.119	86,524.27	78,219.82	86,462.65	86,462.65
38142Y401	GOLDMAN SACHS GROWTH OPPORTUNITIES FD INS CL	2,822.905	35,145.15	58,236.53	35,145.15	35,145.15
38142Y773	GOLDMAN SACHS LARGE CAP VALUE FD CL I	8,869.685	106,966.35	94,639.54	106,732.25	106,732.25
47103C241	PERKINS MID CAP VALUE FD CL I	2,800.562	38,791.21	55,451.13	38,694.75	38,694.75
780905212	ROYCE VALUE PLUS FUND-INV	3,486.114	24,650.90	39,427.95	24,650.90	24,650.90
949915565	WELLS FARGO ADVANTAGE ENDEAVOR SELECT FD CL I	11,709.899	116,997.38	98,714.45	115,957.53	115,957.53
TOTAL	MUTUAL FUNDS - EQUITY	42,650.456	454,194.88	462,803.97	451,847.14	451,847.14
MUTUAL FUNDS - INTERNATIONAL EQUITY						
-----						
04315J837	ARTIO INTERNATIONAL EQUITY II-I	6,944.529	97,521.74	81,806.55	96,895.09	96,895.09
256206103	DODGE & COX INTERNATIONAL STOCK FD	2,658.928	95,161.21	84,686.86	93,075.20	93,075.20
61744J317	MORGAN STANLEY INSTITUTIONAL FUND INC - INTERNATIONAL REAL ESTATE CL I (FD #1)	3,333.413	60,901.98	59,334.75	57,454.20	57,454.20
784924425	SSGA EMERGING MARKETS FUND CL S	4,100.247	74,843.77	78,970.76	67,498.95	67,498.95
TOTAL	MUTUAL FUNDS - INTERNATIONAL EQUITY	17,037.117	328,428.70	304,798.92	314,923.44	314,923.44
PRINCIPAL CASH						
0.00						
*****	PRINCIPAL TOTAL	130,419.697	1,616,685.90	1,572,323.07	1,573,972.27	1,573,972.27
INCOME						
=====						

RUN APR 30 10  
AT 8:56 AM

WELLS FARGO BANK, N.A.  
INVEST AFC/AFP INTERFACE

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AS OF 04/30/10

DETAIL LIST  
TICKLER 04/30/10 SEQUENCE 1277 12/31/09 THROUGH 12/31/09  
SETTLE DATE POSITION FOR ██████████ MATHIS FDN AS OF 12/31/09

CUSIP #	SECURITY NAME	UNITS /	ORIG. FACE	BOOK VALUE	MARKET VALUE	FED TAX COST	STATE TAX COST
TEMPORARY INVESTMENTS							
-----							
300250602	EVERGREEN INST MONEY MARKET FUND CL I (FD #495)		22,487.41	22,487.41	22,487.41		
TOTAL	TEMPORARY INVESTMENTS		22,487.41	22,487.41	22,487.41		
	INCOME CASH		0.00	0.00	0.00	0.00	0.00
*****	INCOME TOTAL		22,487.41	22,487.41	22,487.41	0.00	0.00
	ASSET FILE TOTAL	130,419.697	1,639,173.31	1,594,810.48	1,573,972.27	1,573,972.27	1,573,972.27