Form **990-PF**
Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
**or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation**

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2009**

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label Otherwise, print or type. See Specific Instructions

Name of foundation
39-039139457714 A.M. ROBERTS CHARITABLE FOUNDATION

A Employer identification number
22-6948512

Number and street (or P O box number if mail is not delivered to street address) Room/suite
666 5TH AVENUE - FLOOR 12B

B Telephone number (see page 10 of the instructions)
( ) -

City or town, state, and ZIP code
NEW YORK, NY 10103

C If exemption application is pending, check here ▶ [ ]
D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 9,398,918.

J Accounting method [X] Cash [ ] Accrual
[ ] Other (specify) ____________
*(Part I, column (d) must be on cash basis.)*

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**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

| | Line | Description | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 | Check ▶ [X] if the foundation is **not** required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities | 208,605. | 211,322. | | STMT 1 |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 173,383. | | | |
| | b | Gross sales price for all assets on line 6a 10,422,999. | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 217,378. | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | 3,503. | 29. | | STMT 2 |
| | 12 | **Total** Add lines 1 through 11 | 385,491. | 428,729. | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | 163,614. | 81,807. | | 81,807. |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees (attach schedule) STMT 3 | 18,314. | NONE | NONE | 18,314. |
| | b | Accounting fees (attach schedule) | | | | |
| | c | Other professional fees (attach schedule) | | | | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see page 14 of the instructions) STMT 4 | 5,493. | 1,804. | | |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | | | |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) STMT 5 | 3,104. | 140. | | 2,964. |
| | 24 | **Total operating and administrative expenses** Add lines 13 through 23 | 190,525. | 83,751. | NONE | 103,085. |
| | 25 | Contributions, gifts, grants paid | 341,295. | | | 341,295. |
| | 26 | Total expenses and disbursements Add lines 24 and 25 | 531,820. | 83,751. | NONE | 444,380. |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | Excess of revenue over expenses and disbursements | -146,329. | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 344,978. | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | | |

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| Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|
| **Assets** | | | |
| 1 Cash - non-interest-bearing | | | |
| 2 Savings and temporary cash investments | 479,961. | 481,305. | 481,305. |
| 3 Accounts receivable ▶ | | | |
| Less allowance for doubtful accounts ▶ | | | |
| 4 Pledges receivable ▶ | | | |
| Less allowance for doubtful accounts ▶ | | | |
| 5 Grants receivable | | | |
| 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions) | | | |
| 7 Other notes and loans receivable (attach schedule) ▶ | | | |
| Less allowance for doubtful accounts ▶ | | | |
| 8 Inventories for sale or use | | | |
| 9 Prepaid expenses and deferred charges | | | |
| 10 a Investments - U S and state government obligations (attach schedule) | | | |
| b Investments - corporate stock (attach schedule) | | | |
| c Investments - corporate bonds (attach schedule) | | | |
| 11 Investments - land, buildings, and equipment basis ▶ | | | |
| Less accumulated depreciation (attach schedule) ▶ | | | |
| 12 Investments - mortgage loans | | | |
| 13 Investments - other (attach schedule) STMT 6 | 8,584,301. | 8,436,394. | 8,917,613. |
| 14 Land, buildings, and equipment basis ▶ | | | |
| Less accumulated depreciation (attach schedule) ▶ | | | |
| 15 Other assets (describe ▶ ) | | | |
| 16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 9,064,262. | 8,917,699. | 9,398,918. |
| **Liabilities** | | | |
| 17 Accounts payable and accrued expenses | | | |
| 18 Grants payable | | | |
| 19 Deferred revenue | | | |
| 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 Mortgages and other notes payable (attach schedule) | | | |
| 22 Other liabilities (describe ▶ ) | | | |
| 23 **Total liabilities** (add lines 17 through 22) | | | |
| **Net Assets or Fund Balances** | | | |
| **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| 24 Unrestricted | | | |
| 25 Temporarily restricted | | | |
| 26 Permanently restricted | | | |
| **Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]** | | | |
| 27 Capital stock, trust principal, or current funds | 9,064,262. | 8,917,699. | |
| 28 Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| 30 **Total net assets or fund balances** (see page 17 of the instructions) | 9,064,262. | 8,917,699. | |
| 31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions) | 9,064,262. | 8,917,699. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|---|---|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 9,064,262. |
| 2 Enter amount from Part I, line 27a | 2 | -146,329. |
| 3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7 | 3 | 5,457. |
| 4 Add lines 1, 2, and 3 | 4 | 8,923,390. |
| 5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8 | 5 | 5,691. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 8,917,699. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo , day, yr.) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | SEE PART IV DETAIL | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col (j), if any | (l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) . . . . . { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 217,378.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).
If (loss), enter -0- in Part I, line 8 . . . . **3**

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2008 | 618,113. | 9,194,526. | 0.06722619524 |
| 2007 | 692,524. | 11,136,254. | 0.06218644079 |
| 2006 | 575,550. | 10,709,660. | 0.05374120187 |
| 2005 | 689,635. | 10,544,188. | 0.06540427769 |
| 2004 | 496,544. | 10,601,695. | 0.04683628420 |

| | | Line | Amount |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.29539439979 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.05907887996 |
| 4 | Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 | 4 | 8,099,415. |
| 5 | Multiply line 4 by line 3 | 5 | 478,504. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 3,450. |
| 7 | Add lines 5 and 6 | 7 | 481,954. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 444,380. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____________ (attach copy of ruling letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | 1 | 6,900. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 6,900. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | NONE |
| 5 | **Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 6,900. |
| 6 | Credits/Payments | | |
| a | 2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 2,397. | | |
| b | Exempt foreign organizations-tax withheld at source — 6b: NONE | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c: NONE | | |
| d | Backup withholding erroneously withheld — 6d: | | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | 2,397. |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** Pd by EFTPS ▶ | 9 | 4,503. |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | |
| 11 | Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | | X |
| | *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | N/A | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ STMT 9 | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ A.M. ROBERTS CHARITABLE FOUNDATION Telephone no ▶ (800) 770-8444

Located at ▶ 666 FIFTH AVENUE, FLOOR 12B, NEW YORK, NY ZIP + 4 ▶ 10103

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | | | Yes | No |
|---|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes | ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes | ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes | ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☒ Yes | ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes | ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | ☐ Yes | ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | | | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? | | | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | | | |
| a | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? | ☐ Yes | ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions.) | | | 2b | | X |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____ | | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes | ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)* | | | 3b | N/A | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | | | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . . . ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . . . ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) . . . . . . ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . . ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? . . . . . . **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here . . . . . . ▶ ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . . ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53 4945-5(d).*

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . . ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . . **6b** No: X

*If "Yes" to 6b, file Form 8870*

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . . . **7b** N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 10 | | 163,614. | -0- | -0- |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | NONE | NONE | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 . . . . . . ▶ NONE

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | NONE |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services ▶ NONE

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see page 23 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See page 24 of the instructions | |
| 3 NONE | |
| **Total.** Add lines 1 through 3 ▶ | |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes. | | |
| a | Average monthly fair market value of securities | 1a | 7,267,704. |
| b | Average of monthly cash balances | 1b | 955,052. |
| c | Fair market value of all other assets (see page 24 of the instructions) | 1c | NONE |
| d | **Total** (add lines 1a, b, and c) | 1d | 8,222,756. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 8,222,756. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions) | 4 | 123,341. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 8,099,415. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 404,971. |

## Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 404,971. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 | 2a | 6,900. | | |
| b | Income tax for 2009 (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 6,900. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 398,071. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | NONE |
| 5 | Add lines 3 and 4 | | | 5 | 398,071. |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | | | 6 | NONE |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 398,071. |

## Part XII Qualifying Distributions (see page 25 of the instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 444,380. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | **Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 444,380. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | N/A |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 444,380. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

| | | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---|---|---|---|---|
| 1 | Distributable amount for 2009 from Part XI, line 7 | | | | 398,071. |
| 2 | Undistributed income, if any, as of the end of 2009 | | | | |
| a | Enter amount for 2008 only | | | NONE | |
| b | Total for prior years 20 07 ,20 ____, 20 ____ | | NONE | | |
| 3 | Excess distributions carryover, if any, to 2009: | | | | |
| a | From 2004 . . . NONE | | | | |
| b | From 2005 . . . NONE | | | | |
| c | From 2006 . . . NONE | | | | |
| d | From 2007 . . . NONE | | | | |
| e | From 2008 . . . 142,577. | | | | |
| f | **Total** of lines 3a through e | 142,577. | | | |
| 4 | Qualifying distributions for 2009 from Part XII, line 4. ▶ $ 444,380. | | | | |
| a | Applied to 2008, but not more than line 2a | | | NONE | |
| b | Applied to undistributed income of prior years (Election required - see page 26 of the instructions) | | NONE | | |
| c | Treated as distributions out of corpus (Election required - see page 26 of the instructions) | NONE | | | |
| d | Applied to 2009 distributable amount | | | | 398,071. |
| e | Remaining amount distributed out of corpus | 46,309. | | | |
| 5 | Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | NONE | | | NONE |
| 6 | **Enter the net total of each column as indicated below:** | | | | |
| a | Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 188,886. | | | |
| b | Prior years' undistributed income Subtract line 4b from line 2b | | NONE | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | NONE | | |
| d | Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions | | NONE | | |
| e | Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions | | | NONE | |
| f | Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 | | | | NONE |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) | NONE | | | |
| 8 | Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) | NONE | | | |
| 9 | **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a | 188,886. | | | |
| 10 | Analysis of line 9 | | | | |
| a | Excess from 2005 . . . NONE | | | | |
| b | Excess from 2006 . . . NONE | | | | |
| c | Excess from 2007 . . . NONE | | | | |
| d | Excess from 2008 . . . 142,577. | | | | |
| e | Excess from 2009 . . . 46,309. | | | | |

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

| | Tax year (a) 2009 | Prior 3 years (b) 2008 | (c) 2007 | (d) 2006 | (e) Total |
|---|---|---|---|---|---|
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SEE STATEMENT 11 | | | | |
| **Total** . . . . . ▶ **3a** | | | | 341,295. |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See page 28 of the instructions) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 208,605. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 173,383. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b OTHER INCOME | | | 1 | 29. | |
| c FED TAX REFUND | | | 1 | 3,474. | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | 385,491. | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 385,491.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.) |
|---|---|
| | NOT APPLICABLE |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Ralph Cheifetz — Signature of officer or trustee RALPH CHEIFETZ | Date 05/07/2010 | Title TRUSTEE

**Paid Preparer's Use Only**

| | | | |
|---|---|---|---|
| Preparer's signature: Jeffrey E Kuhlin | Date 05/07/2010 | Check if self-employed ☐ | Preparer's identifying number (See Signature on page 30 of the instructions) P00353001 |
| Firm's name (or yours if self-employed), address, and ZIP code | KPMG LLP<br>P.O. BOX 6768<br>PROVIDENCE, RI 02940 | EIN ▶ 13-5565207 | Phone no 800-770-8444 |

**SCHEDULE D (Form 1041)**

Department of the Treasury
Internal Revenue Service

# Capital Gains and Losses

▶ **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

**2009**

Name of estate or trust: 39-039139457714 A.M. ROBERTS CHARITABLE

**Employer identification number**: 22-6948512

**Note:** *Form 5227 filers need to complete **only** Parts I and II.*

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr) | (d) Sales price | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) for the entire year Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | | | |
|---|---|---|---|
| b | Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b | 1b | 476,744. |
| 2 | Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 | 2 | |
| 3 | Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 3 | |
| 4 | Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet | 4 | ( ) |
| 5 | **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶ | 5 | 476,744. |

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| (a) Description of property (Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr) | (d) Sales price | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) for the entire year Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a LONG-TERM CAPITAL GAIN DIVIDENDS | | STMT 1 | | | 246. |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | | | |
|---|---|---|---|
| b | Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b | 6b | -259,684. |
| 7 | Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 | 7 | |
| 8 | Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 8 | |
| 9 | Capital gain distributions | 9 | 72. |
| 10 | Gain from Form 4797, Part I | 10 | |
| 11 | Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet | 11 | ( ) |
| 12 | **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶ | 12 | -259,366. |

| **Part III** Summary of Parts I and II<br>**Caution:** *Read the instructions* **before** *completing this part.* | | (1) Beneficiaries' (see page 5) | (2) Estate's or trust's | (3) Total |
|---|---|---|---|---|
| 13 **Net short-term gain or (loss)** | 13 | | | 476,744. |
| 14 **Net long-term gain or (loss):** | | | | |
| a Total for year | 14a | | | -259,366. |
| b Unrecaptured section 1250 gain (see line 18 of the wrksht.) | 14b | | | 72. |
| c 28% rate gain | 14c | | | |
| 15 **Total net gain or (loss).** Combine lines 13 and 14a ▶ | 15 | | | 217,378. |

**Note:** *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* **do not** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* **Capital Loss Carryover Worksheet,** *as necessary.*

## Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 ....... 16 ( )

**Note:** *If the loss on line 15, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* **Capital Loss Carryover Worksheet** *on page 7 of the instructions to figure your capital loss carryover*

## Part V Tax Computation Using Maximum Capital Gains Rates

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

**Caution:** *Skip this part and complete the worksheet on page 8 of the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

| | | | | |
|---|---|---|---|---|
| 17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) | | | 17 | |
| 18 Enter the **smaller** of line 14a or 15 in column (2) but not less than zero | 18 | | | |
| 19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) | 19 | | | |
| 20 Add lines 18 and 19 | 20 | | | |
| 21 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶ | 21 | | | |
| 22 Subtract line 21 from line 20. If zero or less, enter -0- | | | 22 | |
| 23 Subtract line 22 from line 17. If zero or less, enter -0- | | | 23 | |
| 24 Enter the **smaller** of the amount on line 17 or $2,300 | | | 24 | |
| 25 Is the amount on line 23 equal to or more than the amount on line 24?<br>☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box<br>☐ **No.** Enter the amount from line 23 | | | 25 | |
| 26 Subtract line 25 from line 24 | | | 26 | |
| 27 Are the amounts on lines 22 and 26 the same?<br>☐ **Yes** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22 | | | 27 | |
| 28 Enter the amount from line 26 (If line 26 is blank, enter -0-) | | | 28 | |
| 29 Subtract line 28 from line 27 | | | 29 | |
| 30 Multiply line 29 by 15% (.15) | | | 30 | |
| 31 Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | 31 | |
| 32 Add lines 30 and 31 | | | 32 | |
| 33 Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | 33 | |
| 34 **Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) | | | 34 | |

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: 39-039139457714 A.M. ROBERTS CHARITABLE

Employer identification number: 22-6948512

**Part I** Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 539.77 FHLMCG POOL #G13248 5.000% 7/01/23 | 09/11/2008 | 01/15/2009 | 540.00 | 544.00 | -4.00 |
| 584.65 FHLMCG POOL #G13255 5.000% 7/01/23 | 09/19/2008 | 01/15/2009 | 585.00 | 587.00 | -2.00 |
| 35000. WELLS FARGO & CO 4.950% 10/16/13 | 04/10/2008 | 01/16/2009 | 34,572.00 | 35,765.00 | -1,193.00 |
| 1109.33 FNMA POOL #980042 5.500% 7/01/22 | 08/15/2008 | 01/25/2009 | 1,109.00 | 1,116.00 | -7.00 |
| 193. DELL INC | 04/10/2008 | 01/30/2009 | 1,876.00 | 3,656.00 | -1,780.00 |
| 607. DELL INC | 04/10/2008 | 02/02/2009 | 5,634.00 | 11,500.00 | -5,866.00 |
| 1221.26 FHLMCG POOL #G13248 5.000% 7/01/23 | 09/11/2008 | 02/15/2009 | 1,221.00 | 1,232.00 | -11.00 |
| 1170.58 FHLMCG POOL #G13255 5.000% 7/01/23 | 09/19/2008 | 02/15/2009 | 1,171.00 | 1,176.00 | -5.00 |
| 398. BARRICK GOLD CORP | 05/19/2008 | 02/17/2009 | 15,362.00 | 16,265.00 | -903.00 |
| 1183.53 FNMA POOL #980042 5.500% 7/01/22 | 08/15/2008 | 02/25/2009 | 1,184.00 | 1,191.00 | -7.00 |
| 55446.67 FNMA POOL #980042 5.500% 7/01/22 | 08/15/2008 | 03/10/2009 | 57,561.00 | 55,776.00 | 1,785.00 |
| 1250. MGIC INVT CORP WIS | 01/02/2009 | 03/10/2009 | 1,258.00 | 5,000.00 | -3,742.00 |
| 320. MGIC INVT CORP WIS | 01/02/2009 | 03/11/2009 | 326.00 | 1,280.00 | -954.00 |
| 1567.2 FHLMCG POOL #G13248 5.000% 7/01/23 | 09/11/2008 | 03/15/2009 | 1,567.00 | 1,580.00 | -13.00 |
| 1924.29 FHLMCG POOL #G13255 5.000% 7/01/23 | 09/19/2008 | 03/15/2009 | 1,924.00 | 1,932.00 | -8.00 |
| 1758.77 FNMA POOL #980042 5.500% 7/01/22 | 08/15/2008 | 03/25/2009 | 1,759.00 | 1,759.00 | |
| 529. BARRICK GOLD CORP | 09/10/2008 | 04/06/2009 | 15,233.00 | 15,774.00 | -541.00 |
| 802. KRAFT FOODS INC-A | 06/13/2008 | 04/06/2009 | 18,140.00 | 24,733.00 | -6,593.00 |
| 151. BARRICK GOLD CORP | 09/10/2008 | 04/07/2009 | 4,423.00 | 4,083.00 | 340.00 |
| 414. KRAFT FOODS INC-A | 06/13/2008 | 04/07/2009 | 9,316.00 | 12,768.00 | -3,452.00 |
| 464. KRAFT FOODS INC-A | 10/10/2008 | 04/13/2009 | 10,441.00 | 13,167.00 | -2,726.00 |
| 1653.86 FHLMCG POOL #G13248 5.000% 7/01/23 | 09/11/2008 | 04/15/2009 | 1,654.00 | 1,668.00 | -14.00 |
| 1698.22 FHLMCG POOL #G13255 5.000% 7/01/23 | 09/19/2008 | 04/15/2009 | 1,698.00 | 1,705.00 | -7.00 |
| 647. SYNOVUS FINL CORP | 09/17/2008 | 04/23/2009 | 2,113.00 | 6,628.00 | -4,515.00 |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: 39-039139457714 A.M. ROBERTS CHARITABLE

Employer identification number: 22-6948512

**Part I** Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 164. SYNOVUS FINL CORP | 09/17/2008 | 04/24/2009 | 533.00 | 1,680.00 | -1,147.00 |
| 1028.58 FNMA POOL #735057 4.500% 1/01/19 | 03/10/2009 | 04/25/2009 | 1,029.00 | 1,061.00 | -32.00 |
| 56. SYNOVUS FINL CORP | 09/22/2008 | 04/27/2009 | 182.00 | 574.00 | -392.00 |
| 409. SYNOVUS FINL CORP | 09/22/2008 | 04/28/2009 | 1,330.00 | 4,186.00 | -2,856.00 |
| 59. SYNOVUS FINL CORP | 09/22/2008 | 04/29/2009 | 192.00 | 604.00 | -412.00 |
| 1680.07 FHLMCG POOL #G13248 5.000% 7/01/23 | 09/11/2008 | 05/15/2009 | 1,680.00 | 1,694.00 | -14.00 |
| 1613.09 FHLMCG POOL #G13255 5.000% 7/01/23 | 09/19/2008 | 05/15/2009 | 1,613.00 | 1,620.00 | -7.00 |
| 867.31 FNMA POOL #735057 4.500% 1/01/19 | 03/10/2009 | 05/25/2009 | 867.00 | 894.00 | -27.00 |
| 30000. MORGAN STANLEY 5.300% 3/01/13 | 01/08/2009 | 05/29/2009 | 30,067.00 | 27,952.00 | 2,115.00 |
| 1585.59 FHLMCG POOL #G13248 5.000% 7/01/23 | 09/11/2008 | 06/15/2009 | 1,586.00 | 1,599.00 | -13.00 |
| 2093.54 FHLMCG POOL #G13255 5.000% 7/01/23 | 09/19/2008 | 06/15/2009 | 2,094.00 | 2,102.00 | -8.00 |
| 831.02 FNMA POOL #735057 4.500% 1/01/19 | 03/10/2009 | 06/25/2009 | 831.00 | 857.00 | -26.00 |
| .5229 SIMON PPTY GROUP INC NEW COM | 05/07/2009 | 06/25/2009 | 26.00 | 27.00 | -1.00 |
| 249. SIMON PPTY GROUP INC NEW COM | 05/07/2009 | 06/30/2009 | 12,851.00 | 12,968.00 | -117.00 |
| 300. SIMON PPTY GROUP INC NEW COM | 05/07/2009 | 07/01/2009 | 15,619.00 | 15,624.00 | -5.00 |
| 76. GAMCO INVS INC | 03/04/2009 | 07/13/2009 | 3,478.00 | 2,200.00 | 1,278.00 |
| 725. MCKESSON HBOC INC | 11/04/2008 | 07/14/2009 | 31,830.00 | 27,153.00 | 4,677.00 |
| 1527.21 FHLMCG POOL #G13248 5.000% 7/01/23 | 09/11/2008 | 07/15/2009 | 1,527.00 | 1,540.00 | -13.00 |
| 2008.41 FHLMCG POOL #G13255 5.000% 7/01/23 | 09/19/2008 | 07/15/2009 | 2,008.00 | 2,017.00 | -9.00 |
| 114. GAMCO INVS INC | 03/05/2009 | 07/16/2009 | 5,215.00 | 3,286.00 | 1,929.00 |
| 65000. FEDL NATL MTG ASSN 2.000% 4/01/11 | 03/06/2009 | 07/21/2009 | 65,572.00 | 64,968.00 | 604.00 |
| 923.56 FNMA POOL #735057 4.500% 1/01/19 | 03/10/2009 | 07/25/2009 | 924.00 | 952.00 | -28.00 |
| 900. PENSKE AUTO GROUP INC | 01/30/2009 | 08/04/2009 | 18,913.00 | 9,397.00 | 9,516.00 |
| .2915 MARRIOTT INTERNATIONAL-CL A | 03/24/2009 | 08/05/2009 | 6.00 | 5.00 | 1.00 |

**1b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: 39-039139457714 A.M. ROBERTS CHARITABLE

Employer identification number: 22-6948512

**Part I** Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 1565.53 FHLMCG POOL #G13248 5.000% 7/01/23 | 09/11/2008 | 08/15/2009 | 1,566.00 | 1,579.00 | -13.00 |
| 1683.77 FHLMCG POOL #G13255 5.000% 7/01/23 | 09/19/2008 | 08/15/2009 | 1,684.00 | 1,691.00 | -7.00 |
| 37000. PEPSICO INC 7.900% 11/01/18 | 11/19/2008 | 08/20/2009 | 46,349.00 | 40,741.00 | 5,608.00 |
| 823.21 FNMA POOL #735057 4.500% 1/01/19 | 03/10/2009 | 08/25/2009 | 823.00 | 849.00 | -26.00 |
| 10. EAST WEST BANCORP INC PAR .001 | 10/09/2008 | 08/31/2009 | 92.00 | 120.00 | -28.00 |
| 23. EAST WEST BANCORP INC PAR .001 | 10/09/2008 | 09/01/2009 | 212.00 | 276.00 | -64.00 |
| 204. EAST WEST BANCORP INC PAR .001 | 10/10/2008 | 09/09/2009 | 1,877.00 | 2,448.00 | -571.00 |
| 38503.48 FNMA POOL #735057 4.500% 1/01/19 | 03/10/2009 | 09/09/2009 | 40,206.00 | 39,701.00 | 505.00 |
| .3303 MARRIOTT INTERNATION AL-CL A | 03/24/2009 | 09/09/2009 | 7.00 | 6.00 | 1.00 |
| 16. EAST WEST BANCORP INC PAR .001 | 10/10/2008 | 09/11/2009 | 147.00 | 192.00 | -45.00 |
| 1267.3 FHLMCG POOL #G13255 5.000% 7/01/23 | 09/19/2008 | 09/15/2009 | 1,267.00 | 1,273.00 | -6.00 |
| 1485. AKAMAI TECHNOLOGIES INC | 08/18/2009 | 09/16/2009 | 28,022.00 | 26,828.00 | 1,194.00 |
| 3100. APPLIED MATERIALS INC | 01/30/2009 | 09/16/2009 | 41,384.00 | 30,809.00 | 10,575.00 |
| 600. AUTODESK INC | 01/30/2009 | 09/16/2009 | 14,310.00 | 10,541.00 | 3,769.00 |
| 1150. BAKER HUGHES INC | 11/05/2008 | 09/16/2009 | 45,877.00 | 55,991.00 | -10,114.00 |
| 6700. BANK OF AMERICA CORP | 01/12/2009 | 09/16/2009 | 115,237.00 | 100,891.00 | 14,346.00 |
| 125. BHP BILLITON LTD 00 | 05/15/2009 | 09/16/2009 | 8,591.00 | 6,252.00 | 2,339.00 |
| 171. BLACKROCK INC | 04/08/2009 | 09/16/2009 | 35,172.00 | 20,927.00 | 14,245.00 |
| 100. BOEING CO | 01/30/2009 | 09/16/2009 | 5,211.00 | 4,182.00 | 1,029.00 |
| 300. BROADCOM CORP-CL A | 01/30/2009 | 09/16/2009 | 9,018.00 | 4,725.00 | 4,293.00 |
| 1300. CVS CORPORATION (DEL) | 01/09/2009 | 09/16/2009 | 47,932.00 | 34,051.00 | 13,881.00 |
| 1200. CARNIVAL CORP PAIRED CTF 1 COM CARNI | 06/15/2009 | 09/16/2009 | 39,661.00 | 30,124.00 | 9,537.00 |
| 700. CELGENE CORP 00 | 03/06/2009 | 09/16/2009 | 37,660.00 | 35,146.00 | 2,514.00 |
| 1000. CISCO SYS INC | 01/30/2009 | 09/16/2009 | 23,319.00 | 15,007.00 | 8,312.00 |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: 39-039139457714 A.M. ROBERTS CHARITABLE

Employer identification number: 22-6948512

**Part I** Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 310. CITRIX SYS INC | 01/30/2009 | 09/16/2009 | 11,597.00 | 7,716.00 | 3,881.00 |
| 100. COCA COLA COMPANY | 01/30/2009 | 09/16/2009 | 5,258.00 | 4,288.00 | 970.00 |
| 150. COMERICA INC | 05/19/2009 | 09/16/2009 | 4,701.00 | 3,218.00 | 1,483.00 |
| 902. DEVON ENERGY CORP NEW | 08/11/2009 | 09/16/2009 | 64,551.00 | 56,878.00 | 7,673.00 |
| 800. DOLBY LABORATORIES INC | 01/30/2009 | 09/16/2009 | 30,713.00 | 23,176.00 | 7,537.00 |
| 1400. ELECTRONIC ARTS | 01/30/2009 | 09/16/2009 | 26,375.00 | 23,777.00 | 2,598.00 |
| 1600. ERICSSON (LM)TEL ADR-EACH REP 10 ORD | 09/17/2008 | 09/16/2009 | 17,202.00 | 15,714.00 | 1,488.00 |
| 200. FIRST SOLAR INC | 02/25/2009 | 09/16/2009 | 29,271.00 | 23,019.00 | 6,252.00 |
| 1500. FLUOR CORP NEW | 01/30/2009 | 09/16/2009 | 83,685.00 | 57,614.00 | 26,071.00 |
| 750. FRANKLIN RES INC | 01/30/2009 | 09/16/2009 | 75,816.00 | 45,358.00 | 30,458.00 |
| 1100. FREEPORT MCMORAN COPPER & GOLD | 02/20/2009 | 09/16/2009 | 79,235.00 | 27,116.00 | 52,119.00 |
| 105. GOOGLE INC CL A | 12/29/2008 | 09/16/2009 | 51,167.00 | 37,300.00 | 13,867.00 |
| 290. HALLIBURTON CO | 01/30/2009 | 09/16/2009 | 8,080.00 | 5,031.00 | 3,049.00 |
| 500. HESS CORP | 06/25/2009 | 09/16/2009 | 28,015.00 | 27,032.00 | 983.00 |
| 120. HOME DEPOT INC | 01/30/2009 | 09/16/2009 | 3,373.00 | 2,576.00 | 797.00 |
| 200. HONEYWELL INTERNATIONAL INC | 01/30/2009 | 09/16/2009 | 8,007.00 | 6,517.00 | 1,490.00 |
| 200. INTEL CORP | 01/30/2009 | 09/16/2009 | 3,926.00 | 2,604.00 | 1,322.00 |
| 345. JACOBS ENGR GROUP INC | 08/17/2009 | 09/16/2009 | 16,614.00 | 15,355.00 | 1,259.00 |
| 825. JONES LANG LASALLE INC PAR .01 | 07/06/2009 | 09/16/2009 | 44,496.00 | 27,413.00 | 17,083.00 |
| 2600. JUNIPER NETWORK INC | 02/19/2009 | 09/16/2009 | 71,186.00 | 41,547.00 | 29,639.00 |
| 4700. KEYCORP (NEW) F/K/A SOCIETY CORP | 06/15/2009 | 09/16/2009 | 32,524.00 | 27,871.00 | 4,653.00 |
| 896. LASALLE HOTEL PPTYS | 03/30/2009 | 09/16/2009 | 16,443.00 | 7,025.00 | 9,418.00 |
| 1610. LAWSON SOFTWARE INC NEW | 01/07/2009 | 09/16/2009 | 10,051.00 | 7,649.00 | 2,402.00 |
| 2872. LIBERTY MEDIA HLDG CORP | 01/30/2009 | 09/16/2009 | 31,576.00 | 9,911.00 | 21,665.00 |

**1b Total** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust
39-039139457714 A.M. ROBERTS CHARITABLE

Employer identification number
22-6948512

**Part I** Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 352. MARRIOTT INTERNATIONAL-CL A | 03/24/2009 | 09/16/2009 | 9,311.00 | 6,079.00 | 3,232.00 |
| 1350. MCDERMOTT INTL INC | 11/14/2008 | 09/16/2009 | 37,166.00 | 10,795.00 | 26,371.00 |
| 2625. MERCK & CO. INC | 05/13/2009 | 09/16/2009 | 84,433.00 | 63,671.00 | 20,762.00 |
| 200. NASDAQ OMX GROUP INC | 01/30/2009 | 09/16/2009 | 4,422.00 | 4,341.00 | 81.00 |
| 466. NATIONAL-OILWELL INC | 01/13/2009 | 09/16/2009 | 20,490.00 | 12,271.00 | 8,219.00 |
| 40. NORTHROP GRUMMAN CORP | 04/01/2009 | 09/16/2009 | 1,988.00 | 1,735.00 | 253.00 |
| 400. NOVELLUS SYS INC | 01/30/2009 | 09/16/2009 | 8,173.00 | 5,499.00 | 2,674.00 |
| 850. NUCOR CORP | 05/19/2009 | 09/16/2009 | 40,716.00 | 36,470.00 | 4,246.00 |
| 600. NVIDIA CORP 00 | 12/29/2008 | 09/16/2009 | 9,504.00 | 4,538.00 | 4,966.00 |
| 260. PACCAR INC | 01/30/2009 | 09/16/2009 | 10,216.00 | 7,905.00 | 2,311.00 |
| 620. PARKER HANNIFIN CORP | 05/21/2009 | 09/16/2009 | 33,992.00 | 26,297.00 | 7,695.00 |
| 850. QUALCOMM INC | 10/28/2008 | 09/16/2009 | 38,887.00 | 32,146.00 | 6,741.00 |
| 400. ROBERT HALF INTL INC | 01/30/2009 | 09/16/2009 | 10,676.00 | 9,582.00 | 1,094.00 |
| 846. ROCHE HOLDINGS LTD-SPONSORED ADR | 04/22/2009 | 09/16/2009 | 34,135.00 | 29,547.00 | 4,588.00 |
| 2650. SAFEWAY INC NEW | 06/24/2009 | 09/16/2009 | 51,175.00 | 53,213.00 | -2,038.00 |
| 819. SANDISK CORP | 01/07/2009 | 09/16/2009 | 17,299.00 | 10,328.00 | 6,971.00 |
| 383. SCHLUMBERGER LTD | 06/19/2009 | 09/16/2009 | 23,820.00 | 21,063.00 | 2,757.00 |
| 2300. SCHWAB CHARLES CORP NEW | 02/19/2009 | 09/16/2009 | 41,767.00 | 30,949.00 | 10,818.00 |
| 180. SEARS HLDGS CORP | 01/30/2009 | 09/16/2009 | 11,796.00 | 7,381.00 | 4,415.00 |
| 535. SIMON PPTY GROUP INC NEW COM | 06/19/2009 | 09/16/2009 | 38,964.00 | 27,441.00 | 11,523.00 |
| 675. STATE ST CORP | 05/18/2009 | 09/16/2009 | 36,680.00 | 27,638.00 | 9,042.00 |
| 567. TEXAS INSTRS INC | 01/30/2009 | 09/16/2009 | 13,668.00 | 8,475.00 | 5,193.00 |
| 1600. TOLL BROS INC | 06/15/2009 | 09/16/2009 | 35,298.00 | 30,203.00 | 5,095.00 |
| 551. TUTOR PERINI CORP | 06/25/2009 | 09/16/2009 | 11,156.00 | 11,190.00 | -34.00 |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: 39-039139457714 A.M. ROBERTS CHARITABLE

Employer identification number: 22-6948512

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| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 359. UNITED PARCEL SERVICE -CL B | 01/30/2009 | 09/16/2009 | 21,239.00 | 15,266.00 | 5,973.00 |
| 321. VARIAN SEMICONDUCTOR EQUIPTMENT | 01/05/2009 | 09/16/2009 | 10,690.00 | 5,857.00 | 4,833.00 |
| 720. VERTEX PHARMACEUTICAL S INC | 11/17/2008 | 09/16/2009 | 26,670.00 | 19,282.00 | 7,388.00 |
| 500. WEYERHAEUSER CO | 01/30/2009 | 09/16/2009 | 19,841.00 | 13,634.00 | 6,207.00 |
| 1435. XTO ENERGY INC | 08/12/2009 | 09/16/2009 | 60,300.00 | 59,353.00 | 947.00 |
| 2100. YAHOO INC | 07/09/2009 | 09/16/2009 | 35,678.00 | 29,648.00 | 6,030.00 |
| 260. ALLIED WRLD ASSURANCE COM LTSHS | 04/20/2009 | 09/16/2009 | 12,079.00 | 9,848.00 | 2,231.00 |
| 697. SEAGATE TECHNOLOGY | 01/30/2009 | 09/16/2009 | 10,672.00 | 2,681.00 | 7,991.00 |
| 2000. WEATHERFORD INT LTD COM USD1.00 | 04/13/2009 | 09/16/2009 | 44,797.00 | 23,851.00 | 20,946.00 |
| 500. TYCO INTERNATIONAL COM USD0.80 | 01/30/2009 | 09/16/2009 | 17,130.00 | 10,503.00 | 6,627.00 |
| 500. TYCO ELECTRONICS LTD COM CHF2.60 | 01/30/2009 | 09/16/2009 | 11,801.00 | 7,110.00 | 4,691.00 |
| 3. SIMON PPTY GROUP INC NEW COM | 09/18/2009 | 09/21/2009 | 221.00 | 199.00 | 22.00 |
| .8633 SIMON PPTY GROUP INC NEW COM | 09/18/2009 | 09/24/2009 | 61.00 | 57.00 | 4.00 |
| 701.9 FNMA POOL #735057 4.500% 1/01/19 | 03/10/2009 | 09/25/2009 | 702.00 | 724.00 | -22.00 |
| 150. NEOGEN CORP | 09/28/2009 | 09/29/2009 | 4,951.00 | 5,052.00 | -101.00 |
| 391. RESEARCH IN MOTION | 09/28/2009 | 09/30/2009 | 26,693.00 | 26,353.00 | 340.00 |
| 330. WELLPOINT INC | 09/28/2009 | 10/01/2009 | 15,516.00 | 16,493.00 | -977.00 |
| 551. AIR LIQUIDE | 09/28/2009 | 10/02/2009 | 11,879.00 | 12,728.00 | -849.00 |
| 304. AMERICA MOVIL - SERIES L | 09/28/2009 | 10/02/2009 | 12,947.00 | 13,175.00 | -228.00 |
| 2559. ARM HOLDINGS PLC-SPONS ADR | 09/28/2009 | 10/02/2009 | 16,766.00 | 17,411.00 | -645.00 |
| 170. BG GROUP PLC | 09/28/2009 | 10/02/2009 | 14,280.00 | 14,736.00 | -456.00 |
| 417. BNP PARIBAS SPND ADR | 09/28/2009 | 10/02/2009 | 16,058.00 | 17,322.00 | -1,264.00 |
| 436. BRITISH SKY BROADCASTING GROUP PLC | 09/28/2009 | 10/02/2009 | 15,611.00 | 15,957.00 | -346.00 |
| 15. BROOKDALE SR LIVING INC | 09/28/2009 | 10/02/2009 | 261.00 | 285.00 | -24.00 |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: 39-039139457714 A.M. ROBERTS CHARITABLE

Employer identification number: 22-6948512

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|---|---|---|---|---|---|
| 1a 567. CSL LTD | 09/28/2009 | 10/02/2009 | 8,165.00 | 8,329.00 | -164.00 |
| 336. CANADIAN NATL RAILWAY CO | 09/28/2009 | 10/02/2009 | 16,024.00 | 16,600.00 | -576.00 |
| 185. CANADIAN NAT RES LTD COM CN$ | 09/28/2009 | 10/02/2009 | 11,678.00 | 12,515.00 | -837.00 |
| 570. CARNIVAL CORP PAIRED CTF 1 COM CARNI | 09/28/2009 | 10/02/2009 | 18,513.00 | 19,155.00 | -642.00 |
| 277. CHINA LIFE INS CO LTD SPD ADR REP H | 09/28/2009 | 10/02/2009 | 17,541.00 | 18,483.00 | -942.00 |
| 497. COCA-COLA HELLENIC SPD ADR | 09/28/2009 | 10/02/2009 | 12,752.00 | 13,130.00 | -378.00 |
| 173. DASSAULT SYS S A SPD ADR | 09/28/2009 | 10/02/2009 | 9,371.00 | 9,766.00 | -395.00 |
| 1769. DEUTSCHE BOERSE | 09/28/2009 | 10/02/2009 | 14,152.00 | 14,931.00 | -779.00 |
| 556. EMBRAER-EMPRESA BRASILEIRA SPD ADR | 09/28/2009 | 10/02/2009 | 12,533.00 | 13,216.00 | -683.00 |
| 303. FANUC LTD JAPAN | 09/28/2009 | 10/02/2009 | 12,883.00 | 13,526.00 | -643.00 |
| 1971. FLSMIDTH & CO A S SPONSORED ADR | 09/28/2009 | 10/02/2009 | 9,953.00 | 11,629.00 | -1,676.00 |
| 396. FRESENIUS MED CARE AG & CO SPD ADR | 09/28/2009 | 10/02/2009 | 19,314.00 | 19,667.00 | -353.00 |
| 514. GAZPROM O A O SPD ADR | 09/28/2009 | 10/02/2009 | 11,668.00 | 12,387.00 | -719.00 |
| 784. HANG LUNG PPTYS LTD | 09/28/2009 | 10/02/2009 | 14,229.00 | 13,937.00 | 292.00 |
| 100. HEALTH CARE REIT INC | 09/28/2009 | 10/02/2009 | 4,123.00 | 4,238.00 | -115.00 |
| 1642. HENNES & MAURITZ AB ADR | 09/28/2009 | 10/02/2009 | 17,848.00 | 18,801.00 | -953.00 |
| 783. HONG KONG EXCHANGES & CLEARI | 09/28/2009 | 10/02/2009 | 13,467.00 | 14,240.00 | -773.00 |
| 345. INDUSTRIAL & COML BK CHINA ADR | 09/28/2009 | 10/02/2009 | 12,765.00 | 12,938.00 | -173.00 |
| 268. INFOSYS TECH LTD SPND ADR REPSTG 1/4 00 | 09/28/2009 | 10/02/2009 | 12,850.00 | 12,887.00 | -37.00 |
| 651. INTESA SAN PAOLO-SPON ADR | 09/28/2009 | 10/02/2009 | 16,535.00 | 17,382.00 | -847.00 |
| 763. ITAU UNIBANCO HOLDING S.A | 09/28/2009 | 10/02/2009 | 15,346.00 | 14,436.00 | 910.00 |
| 1605. JULIUS BAER HLDG LTD | 09/28/2009 | 10/02/2009 | 16,820.00 | 16,756.00 | 64.00 |
| 2400. KINGFISHER PLC | 09/28/2009 | 10/02/2009 | 15,600.00 | 15,840.00 | -240.00 |
| 246. KOMATSU LTD SPNS ADR | 09/28/2009 | 10/02/2009 | 17,665.00 | 18,942.00 | -1,277.00 |

**1b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.** **Schedule D-1 (Form 1041) 2009**

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SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: 39-039139457714 A.M. ROBERTS CHARITABLE

Employer identification number: 22-6948512

**Part I** Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 1184. LVMH MOET HENNESSY LOUIS VUITTON | 09/28/2009 | 10/02/2009 | 22,796.00 | 24,094.00 | -1,298.00 |
| 89. MONSANTO CO NEW | 09/28/2009 | 10/02/2009 | 6,675.00 | 6,870.00 | -195.00 |
| 2975. NATIONAL BANK OF GREECE ADR | 09/28/2009 | 10/02/2009 | 20,461.00 | 20,847.00 | -386.00 |
| 448. NESTLE S A SPONSORED ADR REPSTG REG SH | 09/28/2009 | 10/02/2009 | 18,726.00 | 19,071.00 | -345.00 |
| 58. NEW ORIENTAL ED & TECH GRP ISPON ADR | 09/28/2009 | 10/02/2009 | 4,556.00 | 4,510.00 | 46.00 |
| 219. NINTENDO LTD ADR NEW 00 | 09/28/2009 | 10/02/2009 | 6,833.00 | 7,185.00 | -352.00 |
| 48. NOVO NORDISK A S ADR | 09/28/2009 | 10/02/2009 | 3,003.00 | 3,064.00 | -61.00 |
| 855. OSTERREICHISCHE | 09/28/2009 | 10/02/2009 | 8,313.00 | 8,916.00 | -603.00 |
| 97. POTASH CORP SASK INC COM | 09/28/2009 | 10/02/2009 | 8,310.00 | 8,873.00 | -563.00 |
| 1725. RECKITT BENCKISER GROUP PLC ADR | 09/28/2009 | 10/02/2009 | 16,680.00 | 16,754.00 | -74.00 |
| 375. ROCHE HOLDINGS LTD-SPONSORED ADR | 09/28/2009 | 10/02/2009 | 14,946.00 | 15,338.00 | -392.00 |
| 508. SABMILLER PLC SPD ADR | 09/28/2009 | 10/02/2009 | 12,248.00 | 12,344.00 | -96.00 |
| 406. SAP AG-SPONSORED ADR | 09/28/2009 | 10/02/2009 | 19,651.00 | 19,854.00 | -203.00 |
| 343. SCHLUMBERGER LTD | 09/28/2009 | 10/02/2009 | 19,435.00 | 20,813.00 | -1,378.00 |
| 272. SMITH & NEPHEW P L C SPD ADR NEW | 09/28/2009 | 10/02/2009 | 12,008.00 | 12,184.00 | -176.00 |
| 334. SOUTHERN COPPER CORP DEL | 09/28/2009 | 10/02/2009 | 9,739.00 | 10,277.00 | -538.00 |
| 269. TELEFONICA DE ESPANA SPONSORED | 09/28/2009 | 10/02/2009 | 21,719.00 | 22,542.00 | -823.00 |
| 567. TEVA PHARMACEUTICAL INDS ADR | 09/28/2009 | 10/02/2009 | 28,481.00 | 29,335.00 | -854.00 |
| 1017. TURKCELL ILETISIM HIZMETLERI A S | 09/28/2009 | 10/02/2009 | 17,250.00 | 17,716.00 | -466.00 |
| 678. VESTAS WIND SYS A/S | 09/28/2009 | 10/02/2009 | 15,289.00 | 16,326.00 | -1,037.00 |
| 435. WAL-MART DE MEXICO SA-SP ADR | 09/28/2009 | 10/02/2009 | 14,768.00 | 15,460.00 | -692.00 |
| 1251. LOGITECH INTERNATION AL S.A. | 09/28/2009 | 10/02/2009 | 21,454.00 | 23,304.00 | -1,850.00 |
| 50. BROOKDALE SR LIVING INC | 09/28/2009 | 10/05/2009 | 905.00 | 951.00 | -46.00 |
| 24. SOUTHWEST GAS CORP COM | 09/28/2009 | 10/06/2009 | 615.00 | 627.00 | -12.00 |

1b **Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust
39-039139457714 A.M. ROBERTS CHARITABLE

Employer identification number
22-6948512

**Part I** **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 154. YUM BRANDS INC | 09/29/2009 | 10/06/2009 | 5,389.00 | 5,044.00 | 345.00 |
| 58. SOUTHWEST GAS CORP COM | 09/28/2009 | 10/07/2009 | 1,491.00 | 1,514.00 | -23.00 |
| 532. YUM BRANDS INC | 09/28/2009 | 10/07/2009 | 18,253.00 | 17,428.00 | 825.00 |
| 80. SOUTHWEST GAS CORP COM | 09/28/2009 | 10/08/2009 | 2,069.00 | 2,088.00 | -19.00 |
| 371. WELLPOINT INC | 09/28/2009 | 10/08/2009 | 16,488.00 | 18,542.00 | -2,054.00 |
| 173. WESTAR ENERGY INC | 09/28/2009 | 10/08/2009 | 3,403.00 | 3,401.00 | 2.00 |
| 61. SOUTHWEST GAS CORP COM | 09/28/2009 | 10/09/2009 | 1,585.00 | 1,592.00 | -7.00 |
| 325. WESTAR ENERGY INC | 09/28/2009 | 10/09/2009 | 6,373.00 | 6,389.00 | -16.00 |
| 5. HEALTH CARE REIT INC | 09/28/2009 | 10/12/2009 | 219.00 | 212.00 | 7.00 |
| 70. SOUTHWEST GAS CORP COM | 09/28/2009 | 10/12/2009 | 1,816.00 | 1,827.00 | -11.00 |
| 242. WESTAR ENERGY INC | 09/28/2009 | 10/12/2009 | 4,752.00 | 4,758.00 | -6.00 |
| 771.91 FHLMC POOL #G18309 4.500% 5/01/24 | 09/09/2009 | 10/15/2009 | 772.00 | 795.00 | -23.00 |
| 138. SOUTHWEST GAS CORP COM | 09/28/2009 | 10/15/2009 | 3,538.00 | 3,602.00 | -64.00 |
| 50000. BANK OF AMERICA CORP 3.125% 6/15/12 | 12/05/2008 | 10/16/2009 | 52,050.00 | 50,408.00 | 1,642.00 |
| 54. SOUTHWEST GAS CORP COM | 09/28/2009 | 10/16/2009 | 1,372.00 | 1,410.00 | -38.00 |
| 75. SOUTHWEST GAS CORP COM | 09/28/2009 | 10/19/2009 | 1,901.00 | 1,958.00 | -57.00 |
| 218. KUBOTA LTD ADR | 09/28/2009 | 10/20/2009 | 8,682.00 | 9,407.00 | -725.00 |
| 637. AT&T INC | 09/28/2009 | 10/22/2009 | 16,663.00 | 17,533.00 | -870.00 |
| 432. AMGEN INC | 09/28/2009 | 10/22/2009 | 24,396.00 | 26,329.00 | -1,933.00 |
| 240. G & K SVCS INC | 09/28/2009 | 10/23/2009 | 4,824.00 | 5,577.00 | -753.00 |
| 300. MANHATTAN ASSOCS INC 00 | 09/28/2009 | 10/23/2009 | 6,929.00 | 6,178.00 | 751.00 |
| 574. ALLSTATE CORP | 09/28/2009 | 10/27/2009 | 17,286.00 | 17,484.00 | -198.00 |
| 463. METLIFE INC | 09/28/2009 | 10/28/2009 | 15,914.00 | 17,571.00 | -1,657.00 |
| 294. ENCANA CORP | 09/29/2009 | 10/29/2009 | 16,765.00 | 16,992.00 | -227.00 |

**1b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: 39-039139457714 A.M. ROBERTS CHARITABLE

Employer identification number: 22-6948512

**Part I** Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 310. HEALTHCARE REALTY TRUST INC | 09/28/2009 | 11/03/2009 | 6,362.00 | 6,617.00 | -255.00 |
| 144. CVS CORPORATION (DEL) | 09/28/2009 | 11/05/2009 | 4,164.00 | 5,083.00 | -919.00 |
| 1175. GARMIN LTD | 09/29/2009 | 11/05/2009 | 32,967.00 | 44,166.00 | -11,199.00 |
| 370. HEALTHCARE REALTY TRUST INC | 09/28/2009 | 11/09/2009 | 7,585.00 | 7,898.00 | -313.00 |
| 40000. CAMPBELL SOUP CO 4.500% 2/15/19 | 08/21/2009 | 11/10/2009 | 41,033.00 | 40,140.00 | 893.00 |
| 354. WAL MART STORES INC | 09/28/2009 | 11/10/2009 | 18,490.00 | 17,564.00 | 926.00 |
| 1400.67 FHLMC POOL #G18309 4.500% 5/01/24 | 09/09/2009 | 11/15/2009 | 1,401.00 | 1,443.00 | -42.00 |
| 600. CVS CORPORATION (DEL) | 09/28/2009 | 11/16/2009 | 18,182.00 | 21,180.00 | -2,998.00 |
| 336. STATE ST CORP | 09/28/2009 | 11/16/2009 | 13,688.00 | 17,491.00 | -3,803.00 |
| 555. COCA COLA AMATIL LTD | 10/02/2009 | 11/20/2009 | 10,656.00 | 9,629.00 | 1,027.00 |
| 385. FRANCE TELECOM | 10/02/2009 | 11/20/2009 | 9,845.00 | 10,052.00 | -207.00 |
| 296. HUTCHISON WHAMPOA LTD ADR | 09/28/2009 | 11/20/2009 | 10,217.00 | 10,697.00 | -480.00 |
| 214. J. P . MORGAN CHASE & CO F/K/A | 09/29/2009 | 11/20/2009 | 9,076.00 | 9,076.00 | |
| 114. KOMATSU LTD SPNS ADR | 09/28/2009 | 11/20/2009 | 8,728.00 | 8,771.00 | -43.00 |
| 279. PACCAR INC | 09/29/2009 | 11/20/2009 | 10,619.00 | 10,589.00 | 30.00 |
| 252. PUBLICIS S A NEW SPND ADR 00 | 10/02/2009 | 11/20/2009 | 9,715.00 | 9,652.00 | 63.00 |
| 47. TOYOTA MTR CORP 00 | 09/28/2009 | 11/20/2009 | 3,619.00 | 3,813.00 | -194.00 |
| 13. TARGET CORP | 09/28/2009 | 11/23/2009 | 614.00 | 611.00 | 3.00 |
| 147. TARGET CORP | 09/28/2009 | 11/24/2009 | 6,943.00 | 6,910.00 | 33.00 |
| 990. YAHOO INC | 10/02/2009 | 11/25/2009 | 15,084.00 | 17,019.00 | -1,935.00 |
| 849. WEATHERFORD INT LTD COM USD1.00 | 09/28/2009 | 11/25/2009 | 13,880.00 | 17,699.00 | -3,819.00 |
| 70. HAIN CELESTIAL GROUP 00 | 09/28/2009 | 11/30/2009 | 1,206.00 | 1,364.00 | -158.00 |
| 47. HAIN CELESTIAL GROUP 00 | 09/28/2009 | 12/01/2009 | 818.00 | 916.00 | -98.00 |
| 202. TARGET CORP | 09/28/2009 | 12/01/2009 | 9,501.00 | 9,496.00 | 5.00 |

**1b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: 39-039139457714 A.M. ROBERTS CHARITABLE

Employer identification number: 22-6948512

**Part I** **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr.) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a 75. HAIN CELESTIAL GROUP 00 | 09/28/2009 | 12/03/2009 | 1,259.00 | 1,462.00 | -203.00 |
| 64. HAIN CELESTIAL GROUP 00 | 09/28/2009 | 12/04/2009 | 1,071.00 | 1,247.00 | -176.00 |
| 573. TARGET CORP | 09/28/2009 | 12/04/2009 | 26,261.00 | 26,936.00 | -675.00 |
| 44. HAIN CELESTIAL GROUP 00 | 09/28/2009 | 12/07/2009 | 732.00 | 858.00 | -126.00 |
| 122. COMPANHIA VALE DO RIO DOCE | 09/28/2009 | 12/07/2009 | 3,417.00 | 2,779.00 | 638.00 |
| 297. HUTCHISON WHAMPOA LTD ADR | 09/28/2009 | 12/09/2009 | 9,909.00 | 10,734.00 | -825.00 |
| 10000. U S TREASURY NOTES 4.875% 8/15/16 | 09/18/2009 | 12/10/2009 | 11,275.00 | 11,166.00 | 109.00 |
| 174. PHILIP MORRIS INTL INC | 09/28/2009 | 12/11/2009 | 8,456.00 | 8,351.00 | 105.00 |
| 178. FREEPORT MCMORAN COPPER & GOLD | 09/28/2009 | 12/14/2009 | 13,798.00 | 12,095.00 | 1,703.00 |
| 109. OCCIDENTAL PETROLEUM CORP | 11/05/2009 | 12/14/2009 | 8,400.00 | 8,816.00 | -416.00 |
| 383. QUALCOMM INC | 09/28/2009 | 12/14/2009 | 17,182.00 | 17,514.00 | -332.00 |
| 1874.26 FHLMC POOL #G18309 4.500% 5/01/24 | 09/09/2009 | 12/15/2009 | 1,874.00 | 1,931.00 | -57.00 |
| 524. ATLAS COPCO AB SPONSORED ADR | 09/28/2009 | 12/17/2009 | 7,361.00 | 6,896.00 | 465.00 |
| 756. CENOVUS ENERGY INC | 09/29/2009 | 12/17/2009 | 18,705.00 | 21,191.00 | -2,486.00 |
| 157. SHERWIN WILLIAMS CO | 09/29/2009 | 12/17/2009 | 9,642.00 | 9,465.00 | 177.00 |
| 149. PHILIPS ELECTRONICS-N Y SHR | 10/02/2009 | 12/18/2009 | 4,257.00 | 3,427.00 | 830.00 |
| 126. LAFARGE S A SPND ADR NEW | 10/02/2009 | 12/18/2009 | 2,501.00 | 2,671.00 | -170.00 |
| 43. NATIONAL GRID PLC SPD ADR | 10/02/2009 | 12/18/2009 | 2,247.00 | 2,068.00 | 179.00 |
| 387. COMPANHIA VALE DO RIO DOCE | 09/28/2009 | 12/18/2009 | 10,638.00 | 8,815.00 | 1,823.00 |
| 231. LINDE AG | 10/02/2009 | 12/21/2009 | 2,777.00 | 2,437.00 | 340.00 |
| 497. GILEAD SCIENCES INC | 09/28/2009 | 12/22/2009 | 21,526.00 | 23,283.00 | -1,757.00 |
| .5 NEOGEN CORP | 09/28/2009 | 12/24/2009 | 12.00 | 11.00 | 1.00 |
| | | | | | |
| | | | | | |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . . . 476,744.00

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.** **Schedule D-1 (Form 1041) 2009**

| Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| 39-039139457714 A.M. ROBERTS CHARITABLE | 22-6948512 |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 1400. DU PONT E I DE NEMOURS & CO. | 10/19/2005 | 01/07/2009 | 36,739.00 | 59,632.00 | -22,893.00 |
| 45000. MORGAN STANLEY 4.000% 1/15/10 | 03/23/2006 | 01/08/2009 | 44,325.00 | 44,285.00 | 40.00 |
| 81187.91 FNMA POOL # 255273 4.500% 5/01/19 | 12/19/2006 | 01/21/2009 | 83,154.00 | 79,947.00 | 3,207.00 |
| 170.56 FNMA POOL # 255273 4.500% 5/01/19 | 12/19/2006 | 01/25/2009 | 1,092.00 | 1,092.00 | |
| 1301. DELL INC | 11/04/2005 | 01/29/2009 | 13,168.00 | 39,699.00 | -26,531.00 |
| 599. DELL INC | 02/24/2006 | 01/30/2009 | 5,822.00 | 17,690.00 | -11,868.00 |
| 120. GENENTECH INC | 12/29/2005 | 01/30/2009 | 9,733.00 | 11,150.00 | -1,417.00 |
| 503. CATERPILLAR INC. | 05/26/2006 | 02/03/2009 | 15,098.00 | 37,432.00 | -22,334.00 |
| 197. CATERPILLAR INC. | 05/26/2006 | 02/04/2009 | 6,001.00 | 14,660.00 | -8,659.00 |
| 75000. U.S. TREASURY NOTES 3.375% 9/15/09 | 03/23/2006 | 02/11/2009 | 76,269.00 | 73,697.00 | 2,572.00 |
| 43000. BANKAMERICA CORP 5.875% 2/15/09 | 07/20/2007 | 02/15/2009 | 43,000.00 | 43,376.00 | -376.00 |
| 100. TEXAS INSTRS INC | 01/19/2006 | 02/19/2009 | 1,520.00 | 3,258.00 | -1,738.00 |
| 3000. TIME WARNER INC NEW | 10/19/2005 | 02/19/2009 | 23,069.00 | 55,404.00 | -32,335.00 |
| .82 FNMA POOL # 255273 4.500% 5/01/19 | 12/19/2006 | 02/25/2009 | 1.00 | 1.00 | |
| 300. AMAZON COM INC | 10/27/2005 | 03/06/2009 | 18,484.00 | 13,288.00 | 5,196.00 |
| 202000. FED NATL MTG ASSN 5.375% 11/15/11 | 05/21/2007 | 03/06/2009 | 220,511.00 | 204,781.00 | 15,730.00 |
| 160. GENENTECH INC | 01/11/2006 | 03/06/2009 | 14,345.00 | 14,785.00 | -440.00 |
| 861. RAYTHEON COMPANY | 06/16/2006 | 03/23/2009 | 30,376.00 | 35,564.00 | -5,188.00 |
| 1344. WYETH | 10/19/2005 | 03/23/2009 | 57,141.00 | 62,131.00 | -4,990.00 |
| 539. RAYTHEON COMPANY | 10/27/2003 | 03/24/2009 | 19,102.00 | 15,269.00 | 3,833.00 |
| 456. WYETH | 11/17/2005 | 03/24/2009 | 19,398.00 | 20,007.00 | -609.00 |
| 620. GENENTECH INC | 03/10/2006 | 03/27/2009 | 58,900.00 | 53,075.00 | 5,825.00 |
| 195. CHUBB CORP. | 03/13/2008 | 04/06/2009 | 8,165.00 | 9,689.00 | -1,524.00 |
| 300. WAL MART STORES INC | 06/10/2005 | 04/06/2009 | 16,010.00 | 14,336.00 | 1,674.00 |
| .3376 TIME WARNER INC | 10/19/2005 | 04/08/2009 | 7.00 | 14.00 | -7.00 |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: 39-039139457714 A.M. ROBERTS CHARITABLE

Employer identification number: 22-6948512

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo., day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a .488 TIME WARNER CABLE W/I | 10/19/2005 | 04/08/2009 | 13.00 | 26.00 | -13.00 |
| 39000. UNITED MEXICAN STS 6.625% 3/03/15 | 05/25/2007 | 04/14/2009 | 42,572.00 | 41,906.00 | 666.00 |
| 345. TIME WARNER INC | 10/19/2005 | 04/17/2009 | 8,021.00 | 13,883.00 | -5,862.00 |
| 210. TIME WARNER CABLE W/I | 11/17/2005 | 04/17/2009 | 6,111.00 | 11,089.00 | -4,978.00 |
| 65000. WAL-MART STORES NTS 4.550% 5/01/13 | 03/23/2006 | 04/21/2009 | 68,975.00 | 63,855.00 | 5,120.00 |
| 903. TIME WARNER INC | 11/17/2005 | 04/23/2009 | 19,727.00 | 36,174.00 | -16,447.00 |
| 325. TIME WARNER CABLE W/I | 02/24/2006 | 04/23/2009 | 8,753.00 | 16,841.00 | -8,088.00 |
| 885. TIME WARNER INC | 02/24/2006 | 04/24/2009 | 19,286.00 | 34,775.00 | -15,489.00 |
| 400. INTERNATIONAL BUSINESS MACHS CORP | 02/07/2006 | 05/05/2009 | 42,338.00 | 34,416.00 | 7,922.00 |
| 70000. J P MORGAN CHASE & 5.750% 1/02/13 | 06/21/2007 | 05/19/2009 | 71,375.00 | 69,843.00 | 1,532.00 |
| 111. WAL MART STORES INC | 06/10/2005 | 05/21/2009 | 5,452.00 | 5,302.00 | 150.00 |
| 14. WAL MART STORES INC | 06/10/2005 | 05/22/2009 | 691.00 | 669.00 | 22.00 |
| 125. WAL MART STORES INC | 06/10/2005 | 05/26/2009 | 6,268.00 | 5,970.00 | 298.00 |
| 134. WAL MART STORES INC | 06/27/2005 | 05/26/2009 | 6,719.00 | 6,394.00 | 325.00 |
| 276. WAL MART STORES INC | 06/27/2005 | 05/27/2009 | 13,798.00 | 13,087.00 | 711.00 |
| 100. WAL MART STORES INC | 05/31/2005 | 05/28/2009 | 4,932.00 | 4,741.00 | 191.00 |
| 10. WAL MART STORES INC | 05/31/2005 | 05/29/2009 | 493.00 | 474.00 | 19.00 |
| 150. WAL MART STORES INC | 05/31/2005 | 05/29/2009 | 7,400.00 | 7,108.00 | 292.00 |
| 1072. VERIZON COMMUNICATIO NS | 10/21/2003 | 06/12/2009 | 32,152.00 | 32,936.00 | -784.00 |
| 199. VERIZON COMMUNICATION S | 10/21/2003 | 06/15/2009 | 5,920.00 | 6,114.00 | -194.00 |
| 113. WAL MART STORES INC | 04/27/2005 | 06/15/2009 | 5,481.00 | 5,333.00 | 148.00 |
| 604. VERIZON COMMUNICATION S | 10/21/2003 | 06/16/2009 | 17,897.00 | 18,557.00 | -660.00 |
| 167. WAL MART STORES INC | 04/27/2005 | 06/16/2009 | 8,101.00 | 7,882.00 | 219.00 |
| 500. CHEVRONTEXACO CORP | 10/22/2003 | 06/24/2009 | 33,155.00 | 19,388.00 | 13,767.00 |
| 41000. TIME WARNER INC NT 6.875% 5/01/12 | 10/10/2007 | 06/30/2009 | 43,791.00 | 43,404.00 | 387.00 |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: 39-039139457714 A.M. ROBERTS CHARITABLE

Employer identification number: 22-6948512

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr.) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 57000. WACHOVIA CORP NEW 5.250% 8/01/14 | 06/01/2007 | 07/08/2009 | 56,565.00 | 56,164.00 | 401.00 |
| 2328. PFIZER INC. | 02/03/2006 | 07/09/2009 | 33,290.00 | 58,200.00 | -24,910.00 |
| 1672. PFIZER INC. | 10/19/2005 | 07/10/2009 | 23,755.00 | 39,893.00 | -16,138.00 |
| 444. J. P . MORGAN CHASE & CO F/K/A | 05/21/2008 | 07/20/2009 | 16,336.00 | 19,063.00 | -2,727.00 |
| .5911 TAIWAN SEMICONDUCTOR -SP ADR | 09/15/2006 | 08/05/2009 | 6.00 | 6.00 | |
| 1500. ABBOTT LABS | 11/17/2005 | 08/07/2009 | 66,000.00 | 64,123.00 | 1,877.00 |
| 1200. EXXON MOBIL CORP | 11/07/2005 | 08/10/2009 | 83,008.00 | 60,878.00 | 22,130.00 |
| 280. TRANSOCEAN INC COM USD0.01 'REGISTER | 06/03/2004 | 08/11/2009 | 20,790.00 | 15,042.00 | 5,748.00 |
| 100. EAST WEST BANCORP INC PAR .001 | 08/26/2008 | 08/31/2009 | 920.00 | 1,200.00 | -280.00 |
| 70. SIMPSON MFG INC | 11/22/2006 | 09/01/2009 | 1,803.00 | 2,136.00 | -333.00 |
| 12. SIMPSON MFG INC | 11/22/2006 | 09/08/2009 | 309.00 | 366.00 | -57.00 |
| 441. EXPEDIA INC DEL | 06/25/2008 | 09/10/2009 | 10,162.00 | 8,853.00 | 1,309.00 |
| 169. EXPEDIA INC DEL | 06/25/2008 | 09/11/2009 | 3,901.00 | 3,392.00 | 509.00 |
| 523. SIMPSON MFG INC | 12/11/2006 | 09/11/2009 | 13,467.00 | 15,477.00 | -2,010.00 |
| 857.57 FHLMCG POOL #G13248 5.000% 7/01/23 | 09/11/2008 | 09/15/2009 | 858.00 | 865.00 | -7.00 |
| 850. ADVENT SOFTWARE INC | 02/24/2006 | 09/16/2009 | 33,864.00 | 23,718.00 | 10,146.00 |
| 1300. AMAZON COM INC | 07/21/2006 | 09/16/2009 | 116,699.00 | 48,276.00 | 68,423.00 |
| 1400. AMGEN INC | 05/22/2008 | 09/16/2009 | 83,290.00 | 83,546.00 | -256.00 |
| 2700. ANADARKO PETE CORP | 04/05/2007 | 09/16/2009 | 174,070.00 | 123,160.00 | 50,910.00 |
| 3700. APPLIED MATERIALS INC | 04/29/2008 | 09/16/2009 | 49,394.00 | 68,531.00 | -19,137.00 |
| 1300. AUTODESK INC | 02/24/2006 | 09/16/2009 | 31,004.00 | 46,754.00 | -15,750.00 |
| 450. BAKER HUGHES INC | 11/04/2005 | 09/16/2009 | 17,952.00 | 23,937.00 | -5,985.00 |
| 1300. BED BATH & BEYOND INC COM | 12/29/2005 | 09/16/2009 | 49,764.00 | 49,569.00 | 195.00 |
| 25. BERKSHIRE HATHAWAY INC DEL | 10/21/2003 | 09/16/2009 | 84,425.00 | 63,550.00 | 20,875.00 |
| 3400. BIOGEN IDEC INC | 10/27/2005 | 09/16/2009 | 175,253.00 | 131,652.00 | 43,601.00 |

6b **Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: 39-039139457714 A.M. ROBERTS CHARITABLE

Employer identification number: 22-6948512

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo., day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 600. BOEING CO | 01/19/2006 | 09/16/2009 | 31,266.00 | 39,956.00 | -8,690.00 |
| 1200. BROADCOM CORP-CL A | 07/24/2006 | 09/16/2009 | 36,071.00 | 27,446.00 | 8,625.00 |
| 2400. CABLEVISION SYS CORP | 04/09/2008 | 09/16/2009 | 61,129.00 | 40,304.00 | 20,825.00 |
| 2500. CHARMING SHOPPES INC | 03/10/2006 | 09/16/2009 | 12,305.00 | 34,088.00 | -21,783.00 |
| 1705. CHUBB CORP. | 03/13/2008 | 09/16/2009 | 84,449.00 | 62,142.00 | 22,307.00 |
| 7000. CISCO SYS INC | 12/01/2005 | 09/16/2009 | 163,236.00 | 122,788.00 | 40,448.00 |
| 290. CITRIX SYS INC | 09/15/2008 | 09/16/2009 | 10,849.00 | 8,270.00 | 2,579.00 |
| 1400. COCA COLA COMPANY | 12/29/2005 | 09/16/2009 | 73,616.00 | 57,517.00 | 16,099.00 |
| 6200. COMCAST CORP NEW CL A SPL | 12/29/2005 | 09/16/2009 | 103,537.00 | 111,968.00 | -8,431.00 |
| 1100. COMERICA INC | 08/13/2008 | 09/16/2009 | 34,476.00 | 33,474.00 | 1,002.00 |
| 1340. CREE INC | 11/18/2005 | 09/16/2009 | 48,040.00 | 32,936.00 | 15,104.00 |
| 4500. WALT DISNEY CO. | 12/29/2005 | 09/16/2009 | 127,655.00 | 106,274.00 | 21,381.00 |
| 4000. EBAY INC | 07/17/2008 | 09/16/2009 | 97,477.00 | 119,277.00 | -21,800.00 |
| 1300. ELECTRONIC ARTS | 03/15/2007 | 09/16/2009 | 24,491.00 | 69,218.00 | -44,727.00 |
| 1300. EMERSON ELEC CO | 04/05/2007 | 09/16/2009 | 53,655.00 | 54,622.00 | -967.00 |
| 2390. EXPEDIA INC DEL | 06/25/2008 | 09/16/2009 | 58,638.00 | 41,019.00 | 17,619.00 |
| 1900. FOREST LABS INC | 11/17/2005 | 09/16/2009 | 54,993.00 | 70,242.00 | -15,249.00 |
| 150. FRANKLIN RES INC | 12/12/2007 | 09/16/2009 | 15,163.00 | 17,626.00 | -2,463.00 |
| 1785. GAP INC. DEL | 01/10/2008 | 09/16/2009 | 38,859.00 | 33,005.00 | 5,854.00 |
| 4700. GENERAL ELEC CO. | 04/05/2007 | 09/16/2009 | 79,616.00 | 160,165.00 | -80,549.00 |
| 1000. GENZYME CORP | 04/05/2006 | 09/16/2009 | 55,759.00 | 71,263.00 | -15,504.00 |
| 810. HALLIBURTON CO | 08/18/2008 | 09/16/2009 | 22,567.00 | 34,798.00 | -12,231.00 |
| 5180. HOME DEPOT INC | 06/23/2008 | 09/16/2009 | 145,622.00 | 192,674.00 | -47,052.00 |
| 1300. HONEYWELL INTERNATIONAL INC | 11/04/2005 | 09/16/2009 | 52,043.00 | 44,576.00 | 7,467.00 |
| 4600. INTEL CORP | 03/10/2006 | 09/16/2009 | 90,296.00 | 108,922.00 | -18,626.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: 39-039139457714 A.M. ROBERTS CHARITABLE

Employer identification number: 22-6948512

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 2400. J. P . MORGAN CHASE & CO F/K/A | 08/20/2008 | 09/16/2009 | 106,581.00 | 87,317.00 | 19,264.00 |
| 2575. JOHNSON & JOHNSON | 11/04/2005 | 09/16/2009 | 154,818.00 | 147,427.00 | 7,391.00 |
| 850. L-3 COMMUNICATIONS HLDGS INC | 12/15/2005 | 09/16/2009 | 67,685.00 | 66,134.00 | 1,551.00 |
| 990. LAWSON SOFTWARE INC NEW | 05/05/2008 | 09/16/2009 | 6,180.00 | 7,347.00 | -1,167.00 |
| 622. LIBERTY GLOBAL INC COM SER A | 04/03/2006 | 09/16/2009 | 15,551.00 | 12,946.00 | 2,605.00 |
| 3528. LIBERTY MEDIA HLDG CORP | 09/08/2004 | 09/16/2009 | 38,789.00 | 59,774.00 | -20,985.00 |
| 1184. LIBERTY MEDIA HLDG CORP | 06/24/2008 | 09/16/2009 | 27,613.00 | 14,901.00 | 12,712.00 |
| 2200. LIBERTY MEDIA CORP NEW ENT COM SER A | 09/08/2004 | 09/16/2009 | 64,791.00 | 30,327.00 | 34,464.00 |
| 1950. MCDERMOTT INTL INC | 09/12/2008 | 09/16/2009 | 53,684.00 | 62,848.00 | -9,164.00 |
| 5200. MICROSOFT CORP | 10/19/2005 | 09/16/2009 | 131,369.00 | 137,466.00 | -6,097.00 |
| 120. MURPHY OIL CORP | 09/11/2008 | 09/16/2009 | 7,391.00 | 7,985.00 | -594.00 |
| 1200. NASDAQ OMX GROUP INC | 05/13/2008 | 09/16/2009 | 26,532.00 | 45,241.00 | -18,709.00 |
| 359. NATIONAL-OILWELL INC | 03/10/2006 | 09/16/2009 | 15,786.00 | 26,750.00 | -10,964.00 |
| 1600. NOVARTIS AG-ADR | 04/07/2008 | 09/16/2009 | 77,649.00 | 79,916.00 | -2,267.00 |
| 1600. NOVELLUS SYS INC | 04/04/2008 | 09/16/2009 | 32,694.00 | 38,438.00 | -5,744.00 |
| 3600. NVIDIA CORP 00 | 09/10/2008 | 09/16/2009 | 57,024.00 | 63,894.00 | -6,870.00 |
| 190. PACCAR INC | 09/15/2008 | 09/16/2009 | 7,465.00 | 8,135.00 | -670.00 |
| 1700. PALL CORP | 12/15/2005 | 09/16/2009 | 57,553.00 | 45,603.00 | 11,950.00 |
| 970. PEPSICO INC | 02/07/2006 | 09/16/2009 | 56,491.00 | 55,232.00 | 1,259.00 |
| 1200. PROCTOR & GAMBLE CO | 01/05/2006 | 09/16/2009 | 66,202.00 | 70,684.00 | -4,482.00 |
| 104. ROCHE HOLDINGS LTD-SPONSORED ADR | 09/15/2008 | 09/16/2009 | 4,196.00 | 4,335.00 | -139.00 |
| 981. SANDISK CORP | 07/09/2008 | 09/16/2009 | 20,721.00 | 52,587.00 | -31,866.00 |
| 400. SCHLUMBERGER LTD | 01/16/2007 | 09/16/2009 | 24,877.00 | 22,996.00 | 1,881.00 |
| 720. SEARS HLDGS CORP | 05/22/2008 | 09/16/2009 | 47,183.00 | 70,822.00 | -23,639.00 |
| 1000. STATE ST CORP | 02/05/2007 | 09/16/2009 | 54,340.00 | 67,278.00 | -12,938.00 |

**6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . .

| Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| 39-039139457714 A.M. ROBERTS CHARITABLE | 22-6948512 |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a 3938. TAIWAN SEMICONDUCTOR -SP ADR | 01/11/2008 | 09/16/2009 | 43,049.00 | 34,966.00 | 8,083.00 |
| 6333. TEXAS INSTRS INC | 07/23/2008 | 09/16/2009 | 152,657.00 | 187,108.00 | -34,451.00 |
| 2400. UNILEVER PLC SPNSRD ADR NEW | 11/04/2005 | 09/16/2009 | 65,907.00 | 52,623.00 | 13,284.00 |
| 841. UNITED PARCEL SERVICE -CL B | 07/16/2008 | 09/16/2009 | 49,754.00 | 57,869.00 | -8,115.00 |
| 2600. UNITEDHEALTH GROUP INC | 05/01/2008 | 09/16/2009 | 75,621.00 | 136,624.00 | -61,003.00 |
| 2975. VODAFONE GROUP SPD ADR REP 10 ORD SH | 02/24/2006 | 09/16/2009 | 69,173.00 | 80,662.00 | -11,489.00 |
| 1400. WEYERHAEUSER CO | 11/04/2005 | 09/16/2009 | 55,556.00 | 88,043.00 | -32,487.00 |
| 820. WILLIAMS SONOMA INC | 07/17/2008 | 09/16/2009 | 16,163.00 | 18,105.00 | -1,942.00 |
| 420. ALLIED WRLD ASSURANCE COM LTSHS | 03/13/2008 | 09/16/2009 | 19,511.00 | 17,563.00 | 1,948.00 |
| 1000. COVIDIEN PLC USD0.20 | 02/07/2006 | 09/16/2009 | 42,490.00 | 34,199.00 | 8,291.00 |
| 2803. SEAGATE TECHNOLOGY | 08/10/2005 | 09/16/2009 | 42,917.00 | 40,244.00 | 2,673.00 |
| 4000. WEATHERFORD INT LTD COM USD1.00 | 11/17/2005 | 09/16/2009 | 89,594.00 | 62,426.00 | 27,168.00 |
| 1000. TYCO INTERNATIONAL COM USD0.80 | 02/07/2006 | 09/16/2009 | 34,261.00 | 42,029.00 | -7,768.00 |
| 1000. TYCO ELECTRONICS LTD COM CHF2.60 | 02/07/2006 | 09/16/2009 | 23,601.00 | 31,484.00 | -7,883.00 |
| 1165. VERIGY LTD | 11/03/2006 | 09/16/2009 | 14,342.00 | 19,060.00 | -4,718.00 |
| 951.51 FHLMCG POOL #G13248 5.000% 7/01/23 | 09/11/2008 | 10/15/2009 | 952.00 | 960.00 | -8.00 |
| 1006.95 FHLMCG POOL #G13255 5.000% 7/01/23 | 09/19/2008 | 10/15/2009 | 1,007.00 | 1,011.00 | -4.00 |
| 1077.02 FHLMCG POOL #G13248 5.000% 7/01/23 | 09/11/2008 | 11/15/2009 | 1,077.00 | 1,086.00 | -9.00 |
| 1396.08 FHLMCG POOL #G13255 5.000% 7/01/23 | 09/19/2008 | 11/15/2009 | 1,396.00 | 1,402.00 | -6.00 |
| 97000. U.S. T NTS INFL INDX 2.000% 7/15/14 | 01/04/2008 | 11/18/2009 | 118,748.00 | 109,139.00 | 9,609.00 |
| 47558.95 FHLMCG POOL #G13248 5.000% 7/01/23 | 09/11/2008 | 12/09/2009 | 50,412.00 | 47,960.00 | 2,452.00 |
| 43000. GOLDMAN SACHS GROUP 4.500% 6/15/10 | 06/01/2007 | 12/09/2009 | 43,881.00 | 41,986.00 | 1,895.00 |
| 90000. U S TREASURY NOTES 4.875% 8/15/16 | 04/03/2008 | 12/10/2009 | 101,475.00 | 99,640.00 | 1,835.00 |
| 1208.12 FHLMCG POOL #G13248 5.000% 7/01/23 | 09/11/2008 | 12/15/2009 | 1,208.00 | 1,218.00 | -10.00 |
| 1240.11 FHLMCG POOL #G13255 5.000% 7/01/23 | 09/19/2008 | 12/15/2009 | 1,240.00 | 1,245.00 | -5.00 |
| **6b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . | | | | | -259,684.00 |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | | | | 246. | |
| | | 1400. DU PONT E I DE NEMOURS & CO.<br>PROPERTY TYPE: SECURITIES | | | | | 10/19/2005 | 01/07/2009 |
| 36,739.00 | | 59,632.00 | | | | | -22,893.00 | |
| | | 45000. MORGAN STANLEY 4.000% 1/15<br>PROPERTY TYPE: SECURITIES | | | | | 03/23/2006 | 01/08/2009 |
| 44,325.00 | | 44,285.00 | | | | | 40.00 | |
| | | 539.77 FHLMCG POOL #G13248 5.000% 7/01<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 01/15/2009 |
| 540.00 | | 544.00 | | | | | -4.00 | |
| | | 584.65 FHLMCG POOL #G13255 5.000% 7/01<br>PROPERTY TYPE: SECURITIES | | | | | 09/19/2008 | 01/15/2009 |
| 585.00 | | 587.00 | | | | | -2.00 | |
| | | 35000. WELLS FARGO & CO 4.950% 10/16<br>PROPERTY TYPE: SECURITIES | | | | | 04/10/2008 | 01/16/2009 |
| 34,572.00 | | 35,765.00 | | | | | -1,193.00 | |
| | | 81187.91 FNMA POOL # 255273 4.500% 5/<br>PROPERTY TYPE: SECURITIES | | | | | 12/19/2006 | 01/21/2009 |
| 83,154.00 | | 79,947.00 | | | | | 3,207.00 | |
| | | 170.56 FNMA POOL # 255273 4.500% 5/01<br>PROPERTY TYPE: SECURITIES | | | | | 12/19/2006 | 01/25/2009 |
| 1,092.00 | | 1,092.00 | | | | | | |
| | | 1109.33 FNMA POOL #980042 5.500% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 08/15/2008 | 01/25/2009 |
| 1,109.00 | | 1,116.00 | | | | | -7.00 | |
| | | 1301. DELL INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/04/2005 | 01/29/2009 |
| 13,168.00 | | 39,699.00 | | | | | -26,531.00 | |
| | | 193. DELL INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/10/2008 | 01/30/2009 |
| 1,876.00 | | 3,656.00 | | | | | -1,780.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property: Gross sale price less expenses of sale | Depreciation allowed/ allowable | Description: Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | P or D | Date acquired / Gain or (loss) | Date sold |
|---|---|---|---|---|---|---|---|---|
| | | 599. DELL INC | | | | | 02/24/2006 | 01/30/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 5,822.00 | | 17,690.00 | | | | | -11,868.00 | |
| | | 120. GENENTECH INC | | | | | 12/29/2005 | 01/30/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 9,733.00 | | 11,150.00 | | | | | -1,417.00 | |
| | | 607. DELL INC | | | | | 04/10/2008 | 02/02/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 5,634.00 | | 11,500.00 | | | | | -5,866.00 | |
| | | 503. CATERPILLAR INC. | | | | | 05/26/2006 | 02/03/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 15,098.00 | | 37,432.00 | | | | | -22,334.00 | |
| | | 197. CATERPILLAR INC. | | | | | 05/26/2006 | 02/04/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 6,001.00 | | 14,660.00 | | | | | -8,659.00 | |
| | | 75000. U.S. TREASURY NOTES 3.375% 9/15 | | | | | 03/23/2006 | 02/11/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 76,269.00 | | 73,697.00 | | | | | 2,572.00 | |
| | | 43000. BANKAMERICA CORP 5.875% 2/15 | | | | | 07/20/2007 | 02/15/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 43,000.00 | | 43,376.00 | | | | | -376.00 | |
| | | 1221.26 FHLMCG POOL #G13248 5.000% 7/0 | | | | | 09/11/2008 | 02/15/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,221.00 | | 1,232.00 | | | | | -11.00 | |
| | | 1170.58 FHLMCG POOL #G13255 5.000% 7/0 | | | | | 09/19/2008 | 02/15/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,171.00 | | 1,176.00 | | | | | -5.00 | |
| | | 398. BARRICK GOLD CORP | | | | | 05/19/2008 | 02/17/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 15,362.00 | | 16,265.00 | | | | | -903.00 | |
| | | 100. TEXAS INSTRS INC | | | | | 01/19/2006 | 02/19/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,520.00 | | 3,258.00 | | | | | -1,738.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 3000. TIME WARNER INC NEW<br>PROPERTY TYPE: SECURITIES | | | | | 10/19/2005 | 02/19/2009 |
| 23,069.00 | | 55,404.00 | | | | | -32,335.00 | |
| | | .82 FNMA POOL # 255273 4.500% 5/01/19<br>PROPERTY TYPE: SECURITIES | | | | | 12/19/2006 | 02/25/2009 |
| 1.00 | | 1.00 | | | | | | |
| | | 1183.53 FNMA POOL #980042 5.500% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 08/15/2008 | 02/25/2009 |
| 1,184.00 | | 1,191.00 | | | | | -7.00 | |
| | | 300. AMAZON COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 10/27/2005 | 03/06/2009 |
| 18,484.00 | | 13,288.00 | | | | | 5,196.00 | |
| | | 202000. FED NATL MTG ASSN 5.375% 11/1<br>PROPERTY TYPE: SECURITIES | | | | | 05/21/2007 | 03/06/2009 |
| 220,511.00 | | 204,781.00 | | | | | 15,730.00 | |
| | | 160. GENENTECH INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/11/2006 | 03/06/2009 |
| 14,345.00 | | 14,785.00 | | | | | -440.00 | |
| | | 55446.67 FNMA POOL #980042 5.500% 7/<br>PROPERTY TYPE: SECURITIES | | | | | 08/15/2008 | 03/10/2009 |
| 57,561.00 | | 55,776.00 | | | | | 1,785.00 | |
| | | 1250. MGIC INVT CORP WIS<br>PROPERTY TYPE: SECURITIES | | | | | 01/02/2009 | 03/10/2009 |
| 1,258.00 | | 5,000.00 | | | | | -3,742.00 | |
| | | 320. MGIC INVT CORP WIS<br>PROPERTY TYPE: SECURITIES | | | | | 01/02/2009 | 03/11/2009 |
| 326.00 | | 1,280.00 | | | | | -954.00 | |
| | | 1567.2 FHLMCG POOL #G13248 5.000% 7/01<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 03/15/2009 |
| 1,567.00 | | 1,580.00 | | | | | -13.00 | |
| | | 1924.29 FHLMCG POOL #G13255 5.000% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 09/19/2008 | 03/15/2009 |
| 1,924.00 | | 1,932.00 | | | | | -8.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 861. RAYTHEON COMPANY<br>PROPERTY TYPE: SECURITIES | | | | | 06/16/2006 | 03/23/2009 |
| 30,376.00 | | 35,564.00 | | | | | -5,188.00 | |
| | | 1344. WYETH<br>PROPERTY TYPE: SECURITIES | | | | | 10/19/2005 | 03/23/2009 |
| 57,141.00 | | 62,131.00 | | | | | -4,990.00 | |
| | | 539. RAYTHEON COMPANY<br>PROPERTY TYPE: SECURITIES | | | | | 10/27/2003 | 03/24/2009 |
| 19,102.00 | | 15,269.00 | | | | | 3,833.00 | |
| | | 456. WYETH<br>PROPERTY TYPE: SECURITIES | | | | | 11/17/2005 | 03/24/2009 |
| 19,398.00 | | 20,007.00 | | | | | -609.00 | |
| | | 1758.77 FNMA POOL #980042 5.500% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 08/15/2008 | 03/25/2009 |
| 1,759.00 | | 1,759.00 | | | | | | |
| | | 620. GENENTECH INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/10/2006 | 03/27/2009 |
| 58,900.00 | | 53,075.00 | | | | | 5,825.00 | |
| | | 529. BARRICK GOLD CORP<br>PROPERTY TYPE: SECURITIES | | | | | 09/10/2008 | 04/06/2009 |
| 15,233.00 | | 15,774.00 | | | | | -541.00 | |
| | | 195. CHUBB CORP.<br>PROPERTY TYPE: SECURITIES | | | | | 03/13/2008 | 04/06/2009 |
| 8,165.00 | | 9,689.00 | | | | | -1,524.00 | |
| | | 802. KRAFT FOODS INC-A<br>PROPERTY TYPE: SECURITIES | | | | | 06/13/2008 | 04/06/2009 |
| 18,140.00 | | 24,733.00 | | | | | -6,593.00 | |
| | | 300. WAL MART STORES INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/10/2005 | 04/06/2009 |
| 16,010.00 | | 14,336.00 | | | | | 1,674.00 | |
| | | 151. BARRICK GOLD CORP<br>PROPERTY TYPE: SECURITIES | | | | | 09/10/2008 | 04/07/2009 |
| 4,423.00 | | 4,083.00 | | | | | 340.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 414. KRAFT FOODS INC-A<br>PROPERTY TYPE: SECURITIES | | | | | 06/13/2008 | 04/07/2009 |
| 9,316.00 | | 12,768.00 | | | | | -3,452.00 | |
| | | .3376 TIME WARNER INC<br>PROPERTY TYPE: SECURITIES | | | | | 10/19/2005 | 04/08/2009 |
| 7.00 | | 14.00 | | | | | -7.00 | |
| | | .488 TIME WARNER CABLE W/I<br>PROPERTY TYPE: SECURITIES | | | | | 10/19/2005 | 04/08/2009 |
| 13.00 | | 26.00 | | | | | -13.00 | |
| | | 464. KRAFT FOODS INC-A<br>PROPERTY TYPE: SECURITIES | | | | | 10/10/2008 | 04/13/2009 |
| 10,441.00 | | 13,167.00 | | | | | -2,726.00 | |
| | | 39000. UNITED MEXICAN STS 6.625% 3/03<br>PROPERTY TYPE: SECURITIES | | | | | 05/25/2007 | 04/14/2009 |
| 42,572.00 | | 41,906.00 | | | | | 666.00 | |
| | | 1653.86 FHLMCG POOL #G13248 5.000% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 04/15/2009 |
| 1,654.00 | | 1,668.00 | | | | | -14.00 | |
| | | 1698.22 FHLMCG POOL #G13255 5.000% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 09/19/2008 | 04/15/2009 |
| 1,698.00 | | 1,705.00 | | | | | -7.00 | |
| | | 345. TIME WARNER INC<br>PROPERTY TYPE: SECURITIES | | | | | 10/19/2005 | 04/17/2009 |
| 8,021.00 | | 13,883.00 | | | | | -5,862.00 | |
| | | 210. TIME WARNER CABLE W/I<br>PROPERTY TYPE: SECURITIES | | | | | 11/17/2005 | 04/17/2009 |
| 6,111.00 | | 11,089.00 | | | | | -4,978.00 | |
| | | 65000. WAL-MART STORES NTS 4.550% 5/01<br>PROPERTY TYPE: SECURITIES | | | | | 03/23/2006 | 04/21/2009 |
| 68,975.00 | | 63,855.00 | | | | | 5,120.00 | |
| | | 647. SYNOVUS FINL CORP<br>PROPERTY TYPE: SECURITIES | | | | | 09/17/2008 | 04/23/2009 |
| 2,113.00 | | 6,628.00 | | | | | -4,515.00 | |

## FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 903. TIME WARNER INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/17/2005 | 04/23/2009 |
| 19,727.00 | | 36,174.00 | | | | | -16,447.00 | |
| | | 325. TIME WARNER CABLE W/I<br>PROPERTY TYPE: SECURITIES | | | | | 02/24/2006 | 04/23/2009 |
| 8,753.00 | | 16,841.00 | | | | | -8,088.00 | |
| | | 164. SYNOVUS FINL CORP<br>PROPERTY TYPE: SECURITIES | | | | | 09/17/2008 | 04/24/2009 |
| 533.00 | | 1,680.00 | | | | | -1,147.00 | |
| | | 885. TIME WARNER INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/24/2006 | 04/24/2009 |
| 19,286.00 | | 34,775.00 | | | | | -15,489.00 | |
| | | 1028.58 FNMA POOL #735057 4.500% 1/0<br>PROPERTY TYPE: SECURITIES | | | | | 03/10/2009 | 04/25/2009 |
| 1,029.00 | | 1,061.00 | | | | | -32.00 | |
| | | 56. SYNOVUS FINL CORP<br>PROPERTY TYPE: SECURITIES | | | | | 09/22/2008 | 04/27/2009 |
| 182.00 | | 574.00 | | | | | -392.00 | |
| | | 409. SYNOVUS FINL CORP<br>PROPERTY TYPE: SECURITIES | | | | | 09/22/2008 | 04/28/2009 |
| 1,330.00 | | 4,186.00 | | | | | -2,856.00 | |
| | | 59. SYNOVUS FINL CORP<br>PROPERTY TYPE: SECURITIES | | | | | 09/22/2008 | 04/29/2009 |
| 192.00 | | 604.00 | | | | | -412.00 | |
| | | 400. INTERNATIONAL BUSINESS MACHS CORP<br>PROPERTY TYPE: SECURITIES | | | | | 02/07/2006 | 05/05/2009 |
| 42,338.00 | | 34,416.00 | | | | | 7,922.00 | |
| | | 1680.07 FHLMCG POOL #G13248 5.000% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 05/15/2009 |
| 1,680.00 | | 1,694.00 | | | | | -14.00 | |
| | | 1613.09 FHLMCG POOL #G13255 5.000% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 09/19/2008 | 05/15/2009 |
| 1,613.00 | | 1,620.00 | | | | | -7.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property / Description | Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | P or D | Date acquired | Gain or (loss) | Date sold |
|---|---|---|---|---|---|---|---|---|---|---|
| 70000. J P MORGAN CHASE & 5.750% 1/02<br>PROPERTY TYPE: SECURITIES | 71,375.00 | | 69,843.00 | | | | | 06/21/2007 | 1,532.00 | 05/19/2009 |
| 111. WAL MART STORES INC<br>PROPERTY TYPE: SECURITIES | 5,452.00 | | 5,302.00 | | | | | 06/10/2005 | 150.00 | 05/21/2009 |
| 14. WAL MART STORES INC<br>PROPERTY TYPE: SECURITIES | 691.00 | | 669.00 | | | | | 06/10/2005 | 22.00 | 05/22/2009 |
| 867.31 FNMA POOL #735057 4.500% 1/01<br>PROPERTY TYPE: SECURITIES | 867.00 | | 894.00 | | | | | 03/10/2009 | -27.00 | 05/25/2009 |
| 125. WAL MART STORES INC<br>PROPERTY TYPE: SECURITIES | 6,268.00 | | 5,970.00 | | | | | 06/10/2005 | 298.00 | 05/26/2009 |
| 134. WAL MART STORES INC<br>PROPERTY TYPE: SECURITIES | 6,719.00 | | 6,394.00 | | | | | 06/27/2005 | 325.00 | 05/26/2009 |
| 276. WAL MART STORES INC<br>PROPERTY TYPE: SECURITIES | 13,798.00 | | 13,087.00 | | | | | 06/27/2005 | 711.00 | 05/27/2009 |
| 100. WAL MART STORES INC<br>PROPERTY TYPE: SECURITIES | 4,932.00 | | 4,741.00 | | | | | 05/31/2005 | 191.00 | 05/28/2009 |
| 30000. MORGAN STANLEY 5.300% 3/01<br>PROPERTY TYPE: SECURITIES | 30,067.00 | | 27,952.00 | | | | | 01/08/2009 | 2,115.00 | 05/29/2009 |
| 10. WAL MART STORES INC<br>PROPERTY TYPE: SECURITIES | 493.00 | | 474.00 | | | | | 05/31/2005 | 19.00 | 05/29/2009 |
| 150. WAL MART STORES INC<br>PROPERTY TYPE: SECURITIES | 7,400.00 | | 7,108.00 | | | | | 05/31/2005 | 292.00 | 05/29/2009 |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 1072. VERIZON COMMUNICATIONS<br>PROPERTY TYPE: SECURITIES | | | | | 10/21/2003 | 06/12/2009 |
| 32,152.00 | | 32,936.00 | | | | | -784.00 | |
| | | 1585.59 FHLMCG POOL #G13248 5.000% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 06/15/2009 |
| 1,586.00 | | 1,599.00 | | | | | -13.00 | |
| | | 2093.54 FHLMCG POOL #G13255 5.000% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 09/19/2008 | 06/15/2009 |
| 2,094.00 | | 2,102.00 | | | | | -8.00 | |
| | | 199. VERIZON COMMUNICATIONS<br>PROPERTY TYPE: SECURITIES | | | | | 10/21/2003 | 06/15/2009 |
| 5,920.00 | | 6,114.00 | | | | | -194.00 | |
| | | 113. WAL MART STORES INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/27/2005 | 06/15/2009 |
| 5,481.00 | | 5,333.00 | | | | | 148.00 | |
| | | 604. VERIZON COMMUNICATIONS<br>PROPERTY TYPE: SECURITIES | | | | | 10/21/2003 | 06/16/2009 |
| 17,897.00 | | 18,557.00 | | | | | -660.00 | |
| | | 167. WAL MART STORES INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/27/2005 | 06/16/2009 |
| 8,101.00 | | 7,882.00 | | | | | 219.00 | |
| | | 500. CHEVRONTEXACO CORP<br>PROPERTY TYPE: SECURITIES | | | | | 10/22/2003 | 06/24/2009 |
| 33,155.00 | | 19,388.00 | | | | | 13,767.00 | |
| | | 831.02 FNMA POOL #735057 4.500% 1/01<br>PROPERTY TYPE: SECURITIES | | | | | 03/10/2009 | 06/25/2009 |
| 831.00 | | 857.00 | | | | | -26.00 | |
| | | .5229 SIMON PPTY GROUP INC NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2009 | 06/25/2009 |
| 26.00 | | 27.00 | | | | | -1.00 | |
| | | 41000. TIME WARNER INC NT 6.875% 5/01<br>PROPERTY TYPE: SECURITIES | | | | | 10/10/2007 | 06/30/2009 |
| 43,791.00 | | 43,404.00 | | | | | 387.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 249. SIMON PPTY GROUP INC NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2009 | 06/30/2009 |
| 12,851.00 | | 12,968.00 | | | | | -117.00 | |
| | | 300. SIMON PPTY GROUP INC NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/07/2009 | 07/01/2009 |
| 15,619.00 | | 15,624.00 | | | | | -5.00 | |
| | | 57000. WACHOVIA CORP NEW 5.250% 8/01<br>PROPERTY TYPE: SECURITIES | | | | | 06/01/2007 | 07/08/2009 |
| 56,565.00 | | 56,164.00 | | | | | 401.00 | |
| | | 2328. PFIZER INC.<br>PROPERTY TYPE: SECURITIES | | | | | 02/03/2006 | 07/09/2009 |
| 33,290.00 | | 58,200.00 | | | | | -24,910.00 | |
| | | 1672. PFIZER INC.<br>PROPERTY TYPE: SECURITIES | | | | | 10/19/2005 | 07/10/2009 |
| 23,755.00 | | 39,893.00 | | | | | -16,138.00 | |
| | | 76. GAMCO INVS INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/04/2009 | 07/13/2009 |
| 3,478.00 | | 2,200.00 | | | | | 1,278.00 | |
| | | 725. MCKESSON HBOC INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/04/2008 | 07/14/2009 |
| 31,830.00 | | 27,153.00 | | | | | 4,677.00 | |
| | | 1527.21 FHLMCG POOL #G13248 5.000% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 07/15/2009 |
| 1,527.00 | | 1,540.00 | | | | | -13.00 | |
| | | 2008.41 FHLMCG POOL #G13255 5.000% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 09/19/2008 | 07/15/2009 |
| 2,008.00 | | 2,017.00 | | | | | -9.00 | |
| | | 114. GAMCO INVS INC<br>PROPERTY TYPE: SECURITIES | | | | | 03/05/2009 | 07/16/2009 |
| 5,215.00 | | 3,286.00 | | | | | 1,929.00 | |
| | | 444. J. P . MORGAN CHASE & CO F/K/A<br>PROPERTY TYPE: SECURITIES | | | | | 05/21/2008 | 07/20/2009 |
| 16,336.00 | | 19,063.00 | | | | | -2,727.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 65000. FEDL NATL MTG ASSN 2.000% 4/01<br>PROPERTY TYPE: SECURITIES | | | | | 03/06/2009 | 07/21/2009 |
| 65,572.00 | | 64,968.00 | | | | | 604.00 | |
| | | 923.56 FNMA POOL #735057 4.500% 1/01<br>PROPERTY TYPE: SECURITIES | | | | | 03/10/2009 | 07/25/2009 |
| 924.00 | | 952.00 | | | | | -28.00 | |
| | | 900. PENSKE AUTO GROUP INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 08/04/2009 |
| 18,913.00 | | 9,397.00 | | | | | 9,516.00 | |
| | | .2915 MARRIOTT INTERNATIONAL-CL A<br>PROPERTY TYPE: SECURITIES | | | | | 03/24/2009 | 08/05/2009 |
| 6.00 | | 5.00 | | | | | 1.00 | |
| | | .5911 TAIWAN SEMICONDUCTOR-SP ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/15/2006 | 08/05/2009 |
| 6.00 | | 6.00 | | | | | | |
| | | 1500. ABBOTT LABS<br>PROPERTY TYPE: SECURITIES | | | | | 11/17/2005 | 08/07/2009 |
| 66,000.00 | | 64,123.00 | | | | | 1,877.00 | |
| | | 1200. EXXON MOBIL CORP<br>PROPERTY TYPE: SECURITIES | | | | | 11/07/2005 | 08/10/2009 |
| 83,008.00 | | 60,878.00 | | | | | 22,130.00 | |
| | | 280. TRANSOCEAN INC COM USD0.01 'REGISTE<br>PROPERTY TYPE: SECURITIES | | | | | 06/03/2004 | 08/11/2009 |
| 20,790.00 | | 15,042.00 | | | | | 5,748.00 | |
| | | 1565.53 FHLMCG POOL #G13248 5.000% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 08/15/2009 |
| 1,566.00 | | 1,579.00 | | | | | -13.00 | |
| | | 1683.77 FHLMCG POOL #G13255 5.000% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 09/19/2008 | 08/15/2009 |
| 1,684.00 | | 1,691.00 | | | | | -7.00 | |
| | | 37000. PEPSICO INC 7.900% 11/01<br>PROPERTY TYPE: SECURITIES | | | | | 11/19/2008 | 08/20/2009 |
| 46,349.00 | | 40,741.00 | | | | | 5,608.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 823.21 FNMA POOL #735057 4.500% 1/01<br>PROPERTY TYPE: SECURITIES | | | | | 03/10/2009 | 08/25/2009 |
| 823.00 | | 849.00 | | | | | -26.00 | |
| | | 10. EAST WEST BANCORP INC PAR .001<br>PROPERTY TYPE: SECURITIES | | | | | 10/09/2008 | 08/31/2009 |
| 92.00 | | 120.00 | | | | | -28.00 | |
| | | 100. EAST WEST BANCORP INC PAR .001<br>PROPERTY TYPE: SECURITIES | | | | | 08/26/2008 | 08/31/2009 |
| 920.00 | | 1,200.00 | | | | | -280.00 | |
| | | 23. EAST WEST BANCORP INC PAR .001<br>PROPERTY TYPE: SECURITIES | | | | | 10/09/2008 | 09/01/2009 |
| 212.00 | | 276.00 | | | | | -64.00 | |
| | | 70. SIMPSON MFG INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/22/2006 | 09/01/2009 |
| 1,803.00 | | 2,136.00 | | | | | -333.00 | |
| | | 12. SIMPSON MFG INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/22/2006 | 09/08/2009 |
| 309.00 | | 366.00 | | | | | -57.00 | |
| | | 204. EAST WEST BANCORP INC PAR .001<br>PROPERTY TYPE: SECURITIES | | | | | 10/10/2008 | 09/09/2009 |
| 1,877.00 | | 2,448.00 | | | | | -571.00 | |
| | | 38503.48 FNMA POOL #735057 4.500% 1/<br>PROPERTY TYPE: SECURITIES | | | | | 03/10/2009 | 09/09/2009 |
| 40,206.00 | | 39,701.00 | | | | | 505.00 | |
| | | .3303 MARRIOTT INTERNATIONAL-CL A<br>PROPERTY TYPE: SECURITIES | | | | | 03/24/2009 | 09/09/2009 |
| 7.00 | | 6.00 | | | | | 1.00 | |
| | | 441. EXPEDIA INC DEL<br>PROPERTY TYPE: SECURITIES | | | | | 06/25/2008 | 09/10/2009 |
| 10,162.00 | | 8,853.00 | | | | | 1,309.00 | |
| | | 16. EAST WEST BANCORP INC PAR .001<br>PROPERTY TYPE: SECURITIES | | | | | 10/10/2008 | 09/11/2009 |
| 147.00 | | 192.00 | | | | | -45.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 169. EXPEDIA INC DEL<br>PROPERTY TYPE: SECURITIES | | | | | 06/25/2008 | 09/11/2009 |
| 3,901.00 | | 3,392.00 | | | | | 509.00 | |
| | | 523. SIMPSON MFG INC<br>PROPERTY TYPE: SECURITIES | | | | | 12/11/2006 | 09/11/2009 |
| 13,467.00 | | 15,477.00 | | | | | -2,010.00 | |
| | | 857.57 FHLMCG POOL #G13248 5.000% 7/01<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 09/15/2009 |
| 858.00 | | 865.00 | | | | | -7.00 | |
| | | 1267.3 FHLMCG POOL #G13255 5.000% 7/01<br>PROPERTY TYPE: SECURITIES | | | | | 09/19/2008 | 09/15/2009 |
| 1,267.00 | | 1,273.00 | | | | | -6.00 | |
| | | 850. ADVENT SOFTWARE INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/24/2006 | 09/16/2009 |
| 33,864.00 | | 23,718.00 | | | | | 10,146.00 | |
| | | 1485. AKAMAI TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/18/2009 | 09/16/2009 |
| 28,022.00 | | 26,828.00 | | | | | 1,194.00 | |
| | | 1300. AMAZON COM INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/21/2006 | 09/16/2009 |
| 116,699.00 | | 48,276.00 | | | | | 68,423.00 | |
| | | 1400. AMGEN INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/22/2008 | 09/16/2009 |
| 83,290.00 | | 83,546.00 | | | | | -256.00 | |
| | | 2700. ANADARKO PETE CORP<br>PROPERTY TYPE: SECURITIES | | | | | 04/05/2007 | 09/16/2009 |
| 174,070.00 | | 123,160.00 | | | | | 50,910.00 | |
| | | 3100. APPLIED MATERIALS INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 41,384.00 | | 30,809.00 | | | | | 10,575.00 | |
| | | 3700. APPLIED MATERIALS INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/29/2008 | 09/16/2009 |
| 49,394.00 | | 68,531.00 | | | | | -19,137.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 600. AUTODESK INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 14,310.00 | | 10,541.00 | | | | | 3,769.00 | |
| | | 1300. AUTODESK INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/24/2006 | 09/16/2009 |
| 31,004.00 | | 46,754.00 | | | | | -15,750.00 | |
| | | 1150. BAKER HUGHES INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/05/2008 | 09/16/2009 |
| 45,877.00 | | 55,991.00 | | | | | -10,114.00 | |
| | | 450. BAKER HUGHES INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/04/2005 | 09/16/2009 |
| 17,952.00 | | 23,937.00 | | | | | -5,985.00 | |
| | | 6700. BANK OF AMERICA CORP<br>PROPERTY TYPE: SECURITIES | | | | | 01/12/2009 | 09/16/2009 |
| 115,237.00 | | 100,891.00 | | | | | 14,346.00 | |
| | | 1300. BED BATH & BEYOND INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 12/29/2005 | 09/16/2009 |
| 49,764.00 | | 49,569.00 | | | | | 195.00 | |
| | | 25. BERKSHIRE HATHAWAY INC DEL<br>PROPERTY TYPE: SECURITIES | | | | | 10/21/2003 | 09/16/2009 |
| 84,425.00 | | 63,550.00 | | | | | 20,875.00 | |
| | | 125. BHP BILLITON LTD 00<br>PROPERTY TYPE: SECURITIES | | | | | 05/15/2009 | 09/16/2009 |
| 8,591.00 | | 6,252.00 | | | | | 2,339.00 | |
| | | 3400. BIOGEN IDEC INC<br>PROPERTY TYPE: SECURITIES | | | | | 10/27/2005 | 09/16/2009 |
| 175,253.00 | | 131,652.00 | | | | | 43,601.00 | |
| | | 171. BLACKROCK INC<br>PROPERTY TYPE: SECURITIES | | | | | 04/08/2009 | 09/16/2009 |
| 35,172.00 | | 20,927.00 | | | | | 14,245.00 | |
| | | 100. BOEING CO<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 5,211.00 | | 4,182.00 | | | | | 1,029.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 600. BOEING CO | | | | | 01/19/2006 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 31,266.00 | | 39,956.00 | | | | | -8,690.00 | |
| | | 300. BROADCOM CORP-CL A | | | | | 01/30/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 9,018.00 | | 4,725.00 | | | | | 4,293.00 | |
| | | 1200. BROADCOM CORP-CL A | | | | | 07/24/2006 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 36,071.00 | | 27,446.00 | | | | | 8,625.00 | |
| | | 1300. CVS CORPORATION (DEL) | | | | | 01/09/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 47,932.00 | | 34,051.00 | | | | | 13,881.00 | |
| | | 2400. CABLEVISION SYS CORP | | | | | 04/09/2008 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 61,129.00 | | 40,304.00 | | | | | 20,825.00 | |
| | | 1200. CARNIVAL CORP PAIRED CTF 1 COM CAR | | | | | 06/15/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 39,661.00 | | 30,124.00 | | | | | 9,537.00 | |
| | | 700. CELGENE CORP 00 | | | | | 03/06/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 37,660.00 | | 35,146.00 | | | | | 2,514.00 | |
| | | 2500. CHARMING SHOPPES INC | | | | | 03/10/2006 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 12,305.00 | | 34,088.00 | | | | | -21,783.00 | |
| | | 1705. CHUBB CORP. | | | | | 03/13/2008 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 84,449.00 | | 62,142.00 | | | | | 22,307.00 | |
| | | 1000. CISCO SYS INC | | | | | 01/30/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 23,319.00 | | 15,007.00 | | | | | 8,312.00 | |
| | | 7000. CISCO SYS INC | | | | | 12/01/2005 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 163,236.00 | | 122,788.00 | | | | | 40,448.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 310. CITRIX SYS INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 11,597.00 | | 7,716.00 | | | | | 3,881.00 | |
| | | 290. CITRIX SYS INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/15/2008 | 09/16/2009 |
| 10,849.00 | | 8,270.00 | | | | | 2,579.00 | |
| | | 100. COCA COLA COMPANY<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 5,258.00 | | 4,288.00 | | | | | 970.00 | |
| | | 1400. COCA COLA COMPANY<br>PROPERTY TYPE: SECURITIES | | | | | 12/29/2005 | 09/16/2009 |
| 73,616.00 | | 57,517.00 | | | | | 16,099.00 | |
| | | 6200. COMCAST CORP NEW CL A SPL<br>PROPERTY TYPE: SECURITIES | | | | | 12/29/2005 | 09/16/2009 |
| 103,537.00 | | 111,968.00 | | | | | -8,431.00 | |
| | | 150. COMERICA INC<br>PROPERTY TYPE: SECURITIES | | | | | 05/19/2009 | 09/16/2009 |
| 4,701.00 | | 3,218.00 | | | | | 1,483.00 | |
| | | 1100. COMERICA INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/13/2008 | 09/16/2009 |
| 34,476.00 | | 33,474.00 | | | | | 1,002.00 | |
| | | 1340. CREE INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/18/2005 | 09/16/2009 |
| 48,040.00 | | 32,936.00 | | | | | 15,104.00 | |
| | | 902. DEVON ENERGY CORP NEW<br>PROPERTY TYPE: SECURITIES | | | | | 08/11/2009 | 09/16/2009 |
| 64,551.00 | | 56,878.00 | | | | | 7,673.00 | |
| | | 4500. WALT DISNEY CO.<br>PROPERTY TYPE: SECURITIES | | | | | 12/29/2005 | 09/16/2009 |
| 127,655.00 | | 106,274.00 | | | | | 21,381.00 | |
| | | 800. DOLBY LABORATORIES INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 30,713.00 | | 23,176.00 | | | | | 7,537.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 4000. EBAY INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/17/2008 | 09/16/2009 |
| 97,477.00 | | 119,277.00 | | | | | -21,800.00 | |
| | | 1400. ELECTRONIC ARTS<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 26,375.00 | | 23,777.00 | | | | | 2,598.00 | |
| | | 1300. ELECTRONIC ARTS<br>PROPERTY TYPE: SECURITIES | | | | | 03/15/2007 | 09/16/2009 |
| 24,491.00 | | 69,218.00 | | | | | -44,727.00 | |
| | | 1300. EMERSON ELEC CO<br>PROPERTY TYPE: SECURITIES | | | | | 04/05/2007 | 09/16/2009 |
| 53,655.00 | | 54,622.00 | | | | | -967.00 | |
| | | 1600. ERICSSON (LM)TEL ADR-EACH REP 10 O<br>PROPERTY TYPE: SECURITIES | | | | | 09/17/2008 | 09/16/2009 |
| 17,202.00 | | 15,714.00 | | | | | 1,488.00 | |
| | | 2390. EXPEDIA INC DEL<br>PROPERTY TYPE: SECURITIES | | | | | 06/25/2008 | 09/16/2009 |
| 58,638.00 | | 41,019.00 | | | | | 17,619.00 | |
| | | 200. FIRST SOLAR INC<br>PROPERTY TYPE: SECURITIES | | | | | 02/25/2009 | 09/16/2009 |
| 29,271.00 | | 23,019.00 | | | | | 6,252.00 | |
| | | 1500. FLUOR CORP NEW<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 83,685.00 | | 57,614.00 | | | | | 26,071.00 | |
| | | 1900. FOREST LABS INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/17/2005 | 09/16/2009 |
| 54,993.00 | | 70,242.00 | | | | | -15,249.00 | |
| | | 750. FRANKLIN RES INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 75,816.00 | | 45,358.00 | | | | | 30,458.00 | |
| | | 150. FRANKLIN RES INC<br>PROPERTY TYPE: SECURITIES | | | | | 12/12/2007 | 09/16/2009 |
| 15,163.00 | | 17,626.00 | | | | | -2,463.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 1100. FREEPORT MCMORAN COPPER & GOLD<br>PROPERTY TYPE: SECURITIES | | | | | 02/20/2009 | 09/16/2009 |
| 79,235.00 | | 27,116.00 | | | | | 52,119.00 | |
| | | 1785. GAP INC. DEL<br>PROPERTY TYPE: SECURITIES | | | | | 01/10/2008 | 09/16/2009 |
| 38,859.00 | | 33,005.00 | | | | | 5,854.00 | |
| | | 4700. GENERAL ELEC CO.<br>PROPERTY TYPE: SECURITIES | | | | | 04/05/2007 | 09/16/2009 |
| 79,616.00 | | 160,165.00 | | | | | -80,549.00 | |
| | | 1000. GENZYME CORP<br>PROPERTY TYPE: SECURITIES | | | | | 04/05/2006 | 09/16/2009 |
| 55,759.00 | | 71,263.00 | | | | | -15,504.00 | |
| | | 105. GOOGLE INC CL A<br>PROPERTY TYPE: SECURITIES | | | | | 12/29/2008 | 09/16/2009 |
| 51,167.00 | | 37,300.00 | | | | | 13,867.00 | |
| | | 290. HALLIBURTON CO<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 8,080.00 | | 5,031.00 | | | | | 3,049.00 | |
| | | 810. HALLIBURTON CO<br>PROPERTY TYPE: SECURITIES | | | | | 08/18/2008 | 09/16/2009 |
| 22,567.00 | | 34,798.00 | | | | | -12,231.00 | |
| | | 500. HESS CORP<br>PROPERTY TYPE: SECURITIES | | | | | 06/25/2009 | 09/16/2009 |
| 28,015.00 | | 27,032.00 | | | | | 983.00 | |
| | | 120. HOME DEPOT INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 3,373.00 | | 2,576.00 | | | | | 797.00 | |
| | | 5180. HOME DEPOT INC<br>PROPERTY TYPE: SECURITIES | | | | | 06/23/2008 | 09/16/2009 |
| 145,622.00 | | 192,674.00 | | | | | -47,052.00 | |
| | | 200. HONEYWELL INTERNATIONAL INC<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 8,007.00 | | 6,517.00 | | | | | 1,490.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 1300. HONEYWELL INTERNATIONAL INC | | | | | 11/04/2005 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 52,043.00 | | 44,576.00 | | | | | 7,467.00 | |
| | | 200. INTEL CORP | | | | | 01/30/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 3,926.00 | | 2,604.00 | | | | | 1,322.00 | |
| | | 4600. INTEL CORP | | | | | 03/10/2006 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 90,296.00 | | 108,922.00 | | | | | -18,626.00 | |
| | | 2400. J. P . MORGAN CHASE & CO F/K/A | | | | | 08/20/2008 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 106,581.00 | | 87,317.00 | | | | | 19,264.00 | |
| | | 345. JACOBS ENGR GROUP INC | | | | | 08/17/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 16,614.00 | | 15,355.00 | | | | | 1,259.00 | |
| | | 2575. JOHNSON & JOHNSON | | | | | 11/04/2005 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 154,818.00 | | 147,427.00 | | | | | 7,391.00 | |
| | | 825. JONES LANG LASALLE INC PAR .01 | | | | | 07/06/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 44,496.00 | | 27,413.00 | | | | | 17,083.00 | |
| | | 2600. JUNIPER NETWORK INC | | | | | 02/19/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 71,186.00 | | 41,547.00 | | | | | 29,639.00 | |
| | | 4700. KEYCORP (NEW) F/K/A SOCIETY CORP | | | | | 06/15/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 32,524.00 | | 27,871.00 | | | | | 4,653.00 | |
| | | 850. L-3 COMMUNICATIONS HLDGS INC | | | | | 12/15/2005 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 67,685.00 | | 66,134.00 | | | | | 1,551.00 | |
| | | 896. LASALLE HOTEL PPTYS | | | | | 03/30/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 16,443.00 | | 7,025.00 | | | | | 9,418.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property / Description | P or D | Date acquired | Date sold | Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | Gain or (loss) |
|---|---|---|---|---|---|---|---|---|---|---|
| 1610. LAWSON SOFTWARE INC NEW<br>PROPERTY TYPE: SECURITIES | | 01/07/2009 | 09/16/2009 | 10,051.00 | | 7,649.00 | | | | 2,402.00 |
| 990. LAWSON SOFTWARE INC NEW<br>PROPERTY TYPE: SECURITIES | | 05/05/2008 | 09/16/2009 | 6,180.00 | | 7,347.00 | | | | -1,167.00 |
| 622. LIBERTY GLOBAL INC COM SER A<br>PROPERTY TYPE: SECURITIES | | 04/03/2006 | 09/16/2009 | 15,551.00 | | 12,946.00 | | | | 2,605.00 |
| 2872. LIBERTY MEDIA HLDG CORP<br>PROPERTY TYPE: SECURITIES | | 01/30/2009 | 09/16/2009 | 31,576.00 | | 9,911.00 | | | | 21,665.00 |
| 3528. LIBERTY MEDIA HLDG CORP<br>PROPERTY TYPE: SECURITIES | | 09/08/2004 | 09/16/2009 | 38,789.00 | | 59,774.00 | | | | -20,985.00 |
| 1184. LIBERTY MEDIA HLDG CORP<br>PROPERTY TYPE: SECURITIES | | 06/24/2008 | 09/16/2009 | 27,613.00 | | 14,901.00 | | | | 12,712.00 |
| 2200. LIBERTY MEDIA CORP NEW ENT COM SER<br>PROPERTY TYPE: SECURITIES | | 09/08/2004 | 09/16/2009 | 64,791.00 | | 30,327.00 | | | | 34,464.00 |
| 352. MARRIOTT INTERNATIONAL-CL A<br>PROPERTY TYPE: SECURITIES | | 03/24/2009 | 09/16/2009 | 9,311.00 | | 6,079.00 | | | | 3,232.00 |
| 1350. MCDERMOTT INTL INC<br>PROPERTY TYPE: SECURITIES | | 11/14/2008 | 09/16/2009 | 37,166.00 | | 10,795.00 | | | | 26,371.00 |
| 1950. MCDERMOTT INTL INC<br>PROPERTY TYPE: SECURITIES | | 09/12/2008 | 09/16/2009 | 53,684.00 | | 62,848.00 | | | | -9,164.00 |
| 2625. MERCK & CO. INC<br>PROPERTY TYPE: SECURITIES | | 05/13/2009 | 09/16/2009 | 84,433.00 | | 63,671.00 | | | | 20,762.00 |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 5200. MICROSOFT CORP | | | | | 10/19/2005 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 131,369.00 | | 137,466.00 | | | | | -6,097.00 | |
| | | 120. MURPHY OIL CORP | | | | | 09/11/2008 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 7,391.00 | | 7,985.00 | | | | | -594.00 | |
| | | 200. NASDAQ OMX GROUP INC | | | | | 01/30/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 4,422.00 | | 4,341.00 | | | | | 81.00 | |
| | | 1200. NASDAQ OMX GROUP INC | | | | | 05/13/2008 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 26,532.00 | | 45,241.00 | | | | | -18,709.00 | |
| | | 466. NATIONAL-OILWELL INC | | | | | 01/13/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 20,490.00 | | 12,271.00 | | | | | 8,219.00 | |
| | | 359. NATIONAL-OILWELL INC | | | | | 03/10/2006 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 15,786.00 | | 26,750.00 | | | | | -10,964.00 | |
| | | 40. NORTHROP GRUMMAN CORP | | | | | 04/01/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,988.00 | | 1,735.00 | | | | | 253.00 | |
| | | 1600. NOVARTIS AG-ADR | | | | | 04/07/2008 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 77,649.00 | | 79,916.00 | | | | | -2,267.00 | |
| | | 400. NOVELLUS SYS INC | | | | | 01/30/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 8,173.00 | | 5,499.00 | | | | | 2,674.00 | |
| | | 1600. NOVELLUS SYS INC | | | | | 04/04/2008 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 32,694.00 | | 38,438.00 | | | | | -5,744.00 | |
| | | 850. NUCOR CORP | | | | | 05/19/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 40,716.00 | | 36,470.00 | | | | | 4,246.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 600. NVIDIA CORP 00 | | | | | 12/29/2008 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 9,504.00 | | 4,538.00 | | | | | 4,966.00 | |
| | | 3600. NVIDIA CORP 00 | | | | | 09/10/2008 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 57,024.00 | | 63,894.00 | | | | | -6,870.00 | |
| | | 260. PACCAR INC | | | | | 01/30/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 10,216.00 | | 7,905.00 | | | | | 2,311.00 | |
| | | 190. PACCAR INC | | | | | 09/15/2008 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 7,465.00 | | 8,135.00 | | | | | -670.00 | |
| | | 1700. PALL CORP | | | | | 12/15/2005 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 57,553.00 | | 45,603.00 | | | | | 11,950.00 | |
| | | 620. PARKER HANNIFIN CORP | | | | | 05/21/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 33,992.00 | | 26,297.00 | | | | | 7,695.00 | |
| | | 970. PEPSICO INC | | | | | 02/07/2006 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 56,491.00 | | 55,232.00 | | | | | 1,259.00 | |
| | | 1200. PROCTOR & GAMBLE CO | | | | | 01/05/2006 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 66,202.00 | | 70,684.00 | | | | | -4,482.00 | |
| | | 850. QUALCOMM INC | | | | | 10/28/2008 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 38,887.00 | | 32,146.00 | | | | | 6,741.00 | |
| | | 400. ROBERT HALF INTL INC | | | | | 01/30/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 10,676.00 | | 9,582.00 | | | | | 1,094.00 | |
| | | 846. ROCHE HOLDINGS LTD-SPONSORED ADR | | | | | 04/22/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 34,135.00 | | 29,547.00 | | | | | 4,588.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 104. ROCHE HOLDINGS LTD-SPONSORED ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/15/2008 | 09/16/2009 |
| 4,196.00 | | 4,335.00 | | | | | -139.00 | |
| | | 2650. SAFEWAY INC NEW<br>PROPERTY TYPE: SECURITIES | | | | | 06/24/2009 | 09/16/2009 |
| 51,175.00 | | 53,213.00 | | | | | -2,038.00 | |
| | | 819. SANDISK CORP<br>PROPERTY TYPE: SECURITIES | | | | | 01/07/2009 | 09/16/2009 |
| 17,299.00 | | 10,328.00 | | | | | 6,971.00 | |
| | | 981. SANDISK CORP<br>PROPERTY TYPE: SECURITIES | | | | | 07/09/2008 | 09/16/2009 |
| 20,721.00 | | 52,587.00 | | | | | -31,866.00 | |
| | | 383. SCHLUMBERGER LTD<br>PROPERTY TYPE: SECURITIES | | | | | 06/19/2009 | 09/16/2009 |
| 23,820.00 | | 21,063.00 | | | | | 2,757.00 | |
| | | 400. SCHLUMBERGER LTD<br>PROPERTY TYPE: SECURITIES | | | | | 01/16/2007 | 09/16/2009 |
| 24,877.00 | | 22,996.00 | | | | | 1,881.00 | |
| | | 2300. SCHWAB CHARLES CORP NEW<br>PROPERTY TYPE: SECURITIES | | | | | 02/19/2009 | 09/16/2009 |
| 41,767.00 | | 30,949.00 | | | | | 10,818.00 | |
| | | 180. SEARS HLDGS CORP<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 11,796.00 | | 7,381.00 | | | | | 4,415.00 | |
| | | 720. SEARS HLDGS CORP<br>PROPERTY TYPE: SECURITIES | | | | | 05/22/2008 | 09/16/2009 |
| 47,183.00 | | 70,822.00 | | | | | -23,639.00 | |
| | | 535. SIMON PPTY GROUP INC NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 06/19/2009 | 09/16/2009 |
| 38,964.00 | | 27,441.00 | | | | | 11,523.00 | |
| | | 675. STATE ST CORP<br>PROPERTY TYPE: SECURITIES | | | | | 05/18/2009 | 09/16/2009 |
| 36,680.00 | | 27,638.00 | | | | | 9,042.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 1000. STATE ST CORP | | | | | 02/05/2007 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 54,340.00 | | 67,278.00 | | | | | -12,938.00 | |
| | | 3938. TAIWAN SEMICONDUCTOR-SP ADR | | | | | 01/11/2008 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 43,049.00 | | 34,966.00 | | | | | 8,083.00 | |
| | | 567. TEXAS INSTRS INC | | | | | 01/30/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 13,668.00 | | 8,475.00 | | | | | 5,193.00 | |
| | | 6333. TEXAS INSTRS INC | | | | | 07/23/2008 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 152,657.00 | | 187,108.00 | | | | | -34,451.00 | |
| | | 1600. TOLL BROS INC | | | | | 06/15/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 35,298.00 | | 30,203.00 | | | | | 5,095.00 | |
| | | 551. TUTOR PERINI CORP | | | | | 06/25/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 11,156.00 | | 11,190.00 | | | | | -34.00 | |
| | | 2400. UNILEVER PLC SPNSRD ADR NEW | | | | | 11/04/2005 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 65,907.00 | | 52,623.00 | | | | | 13,284.00 | |
| | | 359. UNITED PARCEL SERVICE -CL B | | | | | 01/30/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 21,239.00 | | 15,266.00 | | | | | 5,973.00 | |
| | | 841. UNITED PARCEL SERVICE -CL B | | | | | 07/16/2008 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 49,754.00 | | 57,869.00 | | | | | -8,115.00 | |
| | | 2600. UNITEDHEALTH GROUP INC | | | | | 05/01/2008 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 75,621.00 | | 136,624.00 | | | | | -61,003.00 | |
| | | 321. VARIAN SEMICONDUCTOR EQUIPTMENT | | | | | 01/05/2009 | 09/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 10,690.00 | | 5,857.00 | | | | | 4,833.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 720. VERTEX PHARMACEUTICALS INC<br>PROPERTY TYPE: SECURITIES | | | | | 11/17/2008 | 09/16/2009 |
| 26,670.00 | | 19,282.00 | | | | | 7,388.00 | |
| | | 2975. VODAFONE GROUP SPD ADR REP 10 ORD<br>PROPERTY TYPE: SECURITIES | | | | | 02/24/2006 | 09/16/2009 |
| 69,173.00 | | 80,662.00 | | | | | -11,489.00 | |
| | | 500. WEYERHAEUSER CO<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 19,841.00 | | 13,634.00 | | | | | 6,207.00 | |
| | | 1400. WEYERHAEUSER CO<br>PROPERTY TYPE: SECURITIES | | | | | 11/04/2005 | 09/16/2009 |
| 55,556.00 | | 88,043.00 | | | | | -32,487.00 | |
| | | 820. WILLIAMS SONOMA INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/17/2008 | 09/16/2009 |
| 16,163.00 | | 18,105.00 | | | | | -1,942.00 | |
| | | 1435. XTO ENERGY INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/12/2009 | 09/16/2009 |
| 60,300.00 | | 59,353.00 | | | | | 947.00 | |
| | | 2100. YAHOO INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/09/2009 | 09/16/2009 |
| 35,678.00 | | 29,648.00 | | | | | 6,030.00 | |
| | | 260. ALLIED WRLD ASSURANCE COM LTSHS<br>PROPERTY TYPE: SECURITIES | | | | | 04/20/2009 | 09/16/2009 |
| 12,079.00 | | 9,848.00 | | | | | 2,231.00 | |
| | | 420. ALLIED WRLD ASSURANCE COM LTSHS<br>PROPERTY TYPE: SECURITIES | | | | | 03/13/2008 | 09/16/2009 |
| 19,511.00 | | 17,563.00 | | | | | 1,948.00 | |
| | | 1000. COVIDIEN PLC USD0.20<br>PROPERTY TYPE: SECURITIES | | | | | 02/07/2006 | 09/16/2009 |
| 42,490.00 | | 34,199.00 | | | | | 8,291.00 | |
| | | 697. SEAGATE TECHNOLOGY<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 10,672.00 | | 2,681.00 | | | | | 7,991.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 2803. SEAGATE TECHNOLOGY<br>PROPERTY TYPE: SECURITIES | | | | | 08/10/2005 | 09/16/2009 |
| 42,917.00 | | 40,244.00 | | | | | 2,673.00 | |
| | | 2000. WEATHERFORD INT LTD COM USD1.00<br>PROPERTY TYPE: SECURITIES | | | | | 04/13/2009 | 09/16/2009 |
| 44,797.00 | | 23,851.00 | | | | | 20,946.00 | |
| | | 4000. WEATHERFORD INT LTD COM USD1.00<br>PROPERTY TYPE: SECURITIES | | | | | 11/17/2005 | 09/16/2009 |
| 89,594.00 | | 62,426.00 | | | | | 27,168.00 | |
| | | 500. TYCO INTERNATIONAL COM USD0.80<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 17,130.00 | | 10,503.00 | | | | | 6,627.00 | |
| | | 1000. TYCO INTERNATIONAL COM USD0.80<br>PROPERTY TYPE: SECURITIES | | | | | 02/07/2006 | 09/16/2009 |
| 34,261.00 | | 42,029.00 | | | | | -7,768.00 | |
| | | 500. TYCO ELECTRONICS LTD COM CHF2.60<br>PROPERTY TYPE: SECURITIES | | | | | 01/30/2009 | 09/16/2009 |
| 11,801.00 | | 7,110.00 | | | | | 4,691.00 | |
| | | 1000. TYCO ELECTRONICS LTD COM CHF2.60<br>PROPERTY TYPE: SECURITIES | | | | | 02/07/2006 | 09/16/2009 |
| 23,601.00 | | 31,484.00 | | | | | -7,883.00 | |
| | | 1165. VERIGY LTD<br>PROPERTY TYPE: SECURITIES | | | | | 11/03/2006 | 09/16/2009 |
| 14,342.00 | | 19,060.00 | | | | | -4,718.00 | |
| | | 3. SIMON PPTY GROUP INC NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/18/2009 | 09/21/2009 |
| 221.00 | | 199.00 | | | | | 22.00 | |
| | | .8633 SIMON PPTY GROUP INC NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/18/2009 | 09/24/2009 |
| 61.00 | | 57.00 | | | | | 4.00 | |
| | | 701.9 FNMA POOL #735057 4.500% 1/01/<br>PROPERTY TYPE: SECURITIES | | | | | 03/10/2009 | 09/25/2009 |
| 702.00 | | 724.00 | | | | | -22.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 150. NEOGEN CORP<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 09/29/2009 |
| 4,951.00 | | 5,052.00 | | | | | -101.00 | |
| | | 391. RESEARCH IN MOTION<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 09/30/2009 |
| 26,693.00 | | 26,353.00 | | | | | 340.00 | |
| | | 330. WELLPOINT INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/01/2009 |
| 15,516.00 | | 16,493.00 | | | | | -977.00 | |
| | | 551. AIR LIQUIDE<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 11,879.00 | | 12,728.00 | | | | | -849.00 | |
| | | 304. AMERICA MOVIL - SERIES L<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 12,947.00 | | 13,175.00 | | | | | -228.00 | |
| | | 2559. ARM HOLDINGS PLC-SPONS ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 16,766.00 | | 17,411.00 | | | | | -645.00 | |
| | | 170. BG GROUP PLC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 14,280.00 | | 14,736.00 | | | | | -456.00 | |
| | | 417. BNP PARIBAS SPND ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 16,058.00 | | 17,322.00 | | | | | -1,264.00 | |
| | | 436. BRITISH SKY BROADCASTING GROUP PLC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 15,611.00 | | 15,957.00 | | | | | -346.00 | |
| | | 15. BROOKDALE SR LIVING INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 261.00 | | 285.00 | | | | | -24.00 | |
| | | 567. CSL LTD<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 8,165.00 | | 8,329.00 | | | | | -164.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 336. CANADIAN NATL RAILWAY CO | | | | | 09/28/2009 | 10/02/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 16,024.00 | | 16,600.00 | | | | | -576.00 | |
| | | 185. CANADIAN NAT RES LTD COM CN$ | | | | | 09/28/2009 | 10/02/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 11,678.00 | | 12,515.00 | | | | | -837.00 | |
| | | 570. CARNIVAL CORP PAIRED CTF 1 COM CARN | | | | | 09/28/2009 | 10/02/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 18,513.00 | | 19,155.00 | | | | | -642.00 | |
| | | 277. CHINA LIFE INS CO LTD SPD ADR REP H | | | | | 09/28/2009 | 10/02/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 17,541.00 | | 18,483.00 | | | | | -942.00 | |
| | | 497. COCA-COLA HELLENIC SPD ADR | | | | | 09/28/2009 | 10/02/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 12,752.00 | | 13,130.00 | | | | | -378.00 | |
| | | 173. DASSAULT SYS S A SPD ADR | | | | | 09/28/2009 | 10/02/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 9,371.00 | | 9,766.00 | | | | | -395.00 | |
| | | 1769. DEUTSCHE BOERSE | | | | | 09/28/2009 | 10/02/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 14,152.00 | | 14,931.00 | | | | | -779.00 | |
| | | 556. EMBRAER-EMPRESA BRASILEIRA SPD ADR | | | | | 09/28/2009 | 10/02/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 12,533.00 | | 13,216.00 | | | | | -683.00 | |
| | | 303. FANUC LTD JAPAN | | | | | 09/28/2009 | 10/02/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 12,883.00 | | 13,526.00 | | | | | -643.00 | |
| | | 1971. FLSMIDTH & CO A S SPONSORED ADR | | | | | 09/28/2009 | 10/02/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 9,953.00 | | 11,629.00 | | | | | -1,676.00 | |
| | | 396. FRESENIUS MED CARE AG & CO SPD ADR | | | | | 09/28/2009 | 10/02/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 19,314.00 | | 19,667.00 | | | | | -353.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 514. GAZPROM O A O SPD ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 11,668.00 | | 12,387.00 | | | | | -719.00 | |
| | | 784. HANG LUNG PPTYS LTD<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 14,229.00 | | 13,937.00 | | | | | 292.00 | |
| | | 100. HEALTH CARE REIT INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 4,123.00 | | 4,238.00 | | | | | -115.00 | |
| | | 1642. HENNES & MAURITZ AB ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 17,848.00 | | 18,801.00 | | | | | -953.00 | |
| | | 783. HONG KONG EXCHANGES & CLEARI<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 13,467.00 | | 14,240.00 | | | | | -773.00 | |
| | | 345. INDUSTRIAL & COML BK CHINA ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 12,765.00 | | 12,938.00 | | | | | -173.00 | |
| | | 268. INFOSYS TECH LTD SPND ADR REPSTG 1/<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 12,850.00 | | 12,887.00 | | | | | -37.00 | |
| | | 651. INTESA SAN PAOLO-SPON ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 16,535.00 | | 17,382.00 | | | | | -847.00 | |
| | | 763. ITAU UNIBANCO HOLDING S.A<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 15,346.00 | | 14,436.00 | | | | | 910.00 | |
| | | 1605. JULIUS BAER HLDG LTD<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 16,820.00 | | 16,756.00 | | | | | 64.00 | |
| | | 2400. KINGFISHER PLC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 15,600.00 | | 15,840.00 | | | | | -240.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 246. KOMATSU LTD SPNS ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 17,665.00 | | 18,942.00 | | | | | -1,277.00 | |
| | | 1184. LVMH MOET HENNESSY LOUIS VUITTON<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 22,796.00 | | 24,094.00 | | | | | -1,298.00 | |
| | | 89. MONSANTO CO NEW<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 6,675.00 | | 6,870.00 | | | | | -195.00 | |
| | | 2975. NATIONAL BANK OF GREECE ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 20,461.00 | | 20,847.00 | | | | | -386.00 | |
| | | 448. NESTLE S A SPONSORED ADR REPSTG REG<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 18,726.00 | | 19,071.00 | | | | | -345.00 | |
| | | 58. NEW ORIENTAL ED & TECH GRP ISPON ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 4,556.00 | | 4,510.00 | | | | | 46.00 | |
| | | 219. NINTENDO LTD ADR NEW 00<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 6,833.00 | | 7,185.00 | | | | | -352.00 | |
| | | 48. NOVO NORDISK A S ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 3,003.00 | | 3,064.00 | | | | | -61.00 | |
| | | 855. OSTERREICHISCHE<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 8,313.00 | | 8,916.00 | | | | | -603.00 | |
| | | 97. POTASH CORP SASK INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 8,310.00 | | 8,873.00 | | | | | -563.00 | |
| | | 1725. RECKITT BENCKISER GROUP PLC ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 16,680.00 | | 16,754.00 | | | | | -74.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 375. ROCHE HOLDINGS LTD-SPONSORED ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 14,946.00 | | 15,338.00 | | | | | -392.00 | |
| | | 508. SABMILLER PLC SPD ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 12,248.00 | | 12,344.00 | | | | | -96.00 | |
| | | 406. SAP AG-SPONSORED ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 19,651.00 | | 19,854.00 | | | | | -203.00 | |
| | | 343. SCHLUMBERGER LTD<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 19,435.00 | | 20,813.00 | | | | | -1,378.00 | |
| | | 272. SMITH & NEPHEW P L C SPD ADR NEW<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 12,008.00 | | 12,184.00 | | | | | -176.00 | |
| | | 334. SOUTHERN COPPER CORP DEL<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 9,739.00 | | 10,277.00 | | | | | -538.00 | |
| | | 269. TELEFONICA DE ESPANA SPONSORED<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 21,719.00 | | 22,542.00 | | | | | -823.00 | |
| | | 567. TEVA PHARMACEUTICAL INDS ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 28,481.00 | | 29,335.00 | | | | | -854.00 | |
| | | 1017. TURKCELL ILETISIM HIZMETLERI A S<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 17,250.00 | | 17,716.00 | | | | | -466.00 | |
| | | 678. VESTAS WIND SYS A/S<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 15,289.00 | | 16,326.00 | | | | | -1,037.00 | |
| | | 435. WAL-MART DE MEXICO SA-SP ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 14,768.00 | | 15,460.00 | | | | | -692.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 1251. LOGITECH INTERNATIONAL S.A. PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/02/2009 |
| 21,454.00 | | 23,304.00 | | | | | -1,850.00 | |
| | | 50. BROOKDALE SR LIVING INC PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/05/2009 |
| 905.00 | | 951.00 | | | | | -46.00 | |
| | | 24. SOUTHWEST GAS CORP COM PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/06/2009 |
| 615.00 | | 627.00 | | | | | -12.00 | |
| | | 154. YUM BRANDS INC PROPERTY TYPE: SECURITIES | | | | | 09/29/2009 | 10/06/2009 |
| 5,389.00 | | 5,044.00 | | | | | 345.00 | |
| | | 58. SOUTHWEST GAS CORP COM PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/07/2009 |
| 1,491.00 | | 1,514.00 | | | | | -23.00 | |
| | | 532. YUM BRANDS INC PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/07/2009 |
| 18,253.00 | | 17,428.00 | | | | | 825.00 | |
| | | 80. SOUTHWEST GAS CORP COM PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/08/2009 |
| 2,069.00 | | 2,088.00 | | | | | -19.00 | |
| | | 371. WELLPOINT INC PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/08/2009 |
| 16,488.00 | | 18,542.00 | | | | | -2,054.00 | |
| | | 173. WESTAR ENERGY INC PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/08/2009 |
| 3,403.00 | | 3,401.00 | | | | | 2.00 | |
| | | 61. SOUTHWEST GAS CORP COM PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/09/2009 |
| 1,585.00 | | 1,592.00 | | | | | -7.00 | |
| | | 325. WESTAR ENERGY INC PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/09/2009 |
| 6,373.00 | | 6,389.00 | | | | | -16.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 5. HEALTH CARE REIT INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/12/2009 |
| 219.00 | | 212.00 | | | | | 7.00 | |
| | | 70. SOUTHWEST GAS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/12/2009 |
| 1,816.00 | | 1,827.00 | | | | | -11.00 | |
| | | 242. WESTAR ENERGY INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/12/2009 |
| 4,752.00 | | 4,758.00 | | | | | -6.00 | |
| | | 951.51 FHLMCG POOL #G13248 5.000% 7/01<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 10/15/2009 |
| 952.00 | | 960.00 | | | | | -8.00 | |
| | | 1006.95 FHLMCG POOL #G13255 5.000% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 09/19/2008 | 10/15/2009 |
| 1,007.00 | | 1,011.00 | | | | | -4.00 | |
| | | 771.91 FHLMC POOL #G18309 4.500% 5/01<br>PROPERTY TYPE: SECURITIES | | | | | 09/09/2009 | 10/15/2009 |
| 772.00 | | 795.00 | | | | | -23.00 | |
| | | 138. SOUTHWEST GAS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/15/2009 |
| 3,538.00 | | 3,602.00 | | | | | -64.00 | |
| | | 50000. BANK OF AMERICA CORP 3.125% 6/15<br>PROPERTY TYPE: SECURITIES | | | | | 12/05/2008 | 10/16/2009 |
| 52,050.00 | | 50,408.00 | | | | | 1,642.00 | |
| | | 54. SOUTHWEST GAS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/16/2009 |
| 1,372.00 | | 1,410.00 | | | | | -38.00 | |
| | | 75. SOUTHWEST GAS CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/19/2009 |
| 1,901.00 | | 1,958.00 | | | | | -57.00 | |
| | | 218. KUBOTA LTD ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/20/2009 |
| 8,682.00 | | 9,407.00 | | | | | -725.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 637. AT&T INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/22/2009 |
| 16,663.00 | | 17,533.00 | | | | | -870.00 | |
| | | 432. AMGEN INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/22/2009 |
| 24,396.00 | | 26,329.00 | | | | | -1,933.00 | |
| | | 240. G & K SVCS INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/23/2009 |
| 4,824.00 | | 5,577.00 | | | | | -753.00 | |
| | | 300. MANHATTAN ASSOCS INC 00<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/23/2009 |
| 6,929.00 | | 6,178.00 | | | | | 751.00 | |
| | | 574. ALLSTATE CORP<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/27/2009 |
| 17,286.00 | | 17,484.00 | | | | | -198.00 | |
| | | 463. METLIFE INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 10/28/2009 |
| 15,914.00 | | 17,571.00 | | | | | -1,657.00 | |
| | | 294. ENCANA CORP<br>PROPERTY TYPE: SECURITIES | | | | | 09/29/2009 | 10/29/2009 |
| 16,765.00 | | 16,992.00 | | | | | -227.00 | |
| | | 310. HEALTHCARE REALTY TRUST INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 11/03/2009 |
| 6,362.00 | | 6,617.00 | | | | | -255.00 | |
| | | 144. CVS CORPORATION (DEL)<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 11/05/2009 |
| 4,164.00 | | 5,083.00 | | | | | -919.00 | |
| | | 1175. GARMIN LTD<br>PROPERTY TYPE: SECURITIES | | | | | 09/29/2009 | 11/05/2009 |
| 32,967.00 | | 44,166.00 | | | | | -11,199.00 | |
| | | 370. HEALTHCARE REALTY TRUST INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 11/09/2009 |
| 7,585.00 | | 7,898.00 | | | | | -313.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 40000. CAMPBELL SOUP CO 4.500% 2/15 | | | | | 08/21/2009 | 11/10/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 41,033.00 | | 40,140.00 | | | | | 893.00 | |
| | | 354. WAL MART STORES INC | | | | | 09/28/2009 | 11/10/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 18,490.00 | | 17,564.00 | | | | | 926.00 | |
| | | 1077.02 FHLMCG POOL #G13248 5.000% 7/0 | | | | | 09/11/2008 | 11/15/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,077.00 | | 1,086.00 | | | | | -9.00 | |
| | | 1396.08 FHLMCG POOL #G13255 5.000% 7/0 | | | | | 09/19/2008 | 11/15/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,396.00 | | 1,402.00 | | | | | -6.00 | |
| | | 1400.67 FHLMC POOL #G18309 4.500% 5/0 | | | | | 09/09/2009 | 11/15/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,401.00 | | 1,443.00 | | | | | -42.00 | |
| | | 600. CVS CORPORATION (DEL) | | | | | 09/28/2009 | 11/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 18,182.00 | | 21,180.00 | | | | | -2,998.00 | |
| | | 336. STATE ST CORP | | | | | 09/28/2009 | 11/16/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 13,688.00 | | 17,491.00 | | | | | -3,803.00 | |
| | | 97000. U.S. T NTS INFL INDX 2.000% 7/15 | | | | | 01/04/2008 | 11/18/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 118,748.00 | | 109,139.00 | | | | | 9,609.00 | |
| | | 555. COCA COLA AMATIL LTD | | | | | 10/02/2009 | 11/20/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 10,656.00 | | 9,629.00 | | | | | 1,027.00 | |
| | | 385. FRANCE TELECOM | | | | | 10/02/2009 | 11/20/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 9,845.00 | | 10,052.00 | | | | | -207.00 | |
| | | 296. HUTCHISON WHAMPOA LTD ADR | | | | | 09/28/2009 | 11/20/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 10,217.00 | | 10,697.00 | | | | | -480.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 214. J. P . MORGAN CHASE & CO F/K/A<br>PROPERTY TYPE: SECURITIES | | | | | 09/29/2009 | 11/20/2009 |
| 9,076.00 | | 9,076.00 | | | | | | |
| | | 114. KOMATSU LTD SPNS ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 11/20/2009 |
| 8,728.00 | | 8,771.00 | | | | | -43.00 | |
| | | 279. PACCAR INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/29/2009 | 11/20/2009 |
| 10,619.00 | | 10,589.00 | | | | | 30.00 | |
| | | 252. PUBLICIS S A NEW SPND ADR 00<br>PROPERTY TYPE: SECURITIES | | | | | 10/02/2009 | 11/20/2009 |
| 9,715.00 | | 9,652.00 | | | | | 63.00 | |
| | | 47. TOYOTA MTR CORP 00<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 11/20/2009 |
| 3,619.00 | | 3,813.00 | | | | | -194.00 | |
| | | 13. TARGET CORP<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 11/23/2009 |
| 614.00 | | 611.00 | | | | | 3.00 | |
| | | 147. TARGET CORP<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 11/24/2009 |
| 6,943.00 | | 6,910.00 | | | | | 33.00 | |
| | | 990. YAHOO INC<br>PROPERTY TYPE: SECURITIES | | | | | 10/02/2009 | 11/25/2009 |
| 15,084.00 | | 17,019.00 | | | | | -1,935.00 | |
| | | 849. WEATHERFORD INT LTD COM USD1.00<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 11/25/2009 |
| 13,880.00 | | 17,699.00 | | | | | -3,819.00 | |
| | | 70. HAIN CELESTIAL GROUP 00<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 11/30/2009 |
| 1,206.00 | | 1,364.00 | | | | | -158.00 | |
| | | 47. HAIN CELESTIAL GROUP 00<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 12/01/2009 |
| 818.00 | | 916.00 | | | | | -98.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 202. TARGET CORP | | | | | 09/28/2009 | 12/01/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 9,501.00 | | 9,496.00 | | | | | 5.00 | |
| | | 75. HAIN CELESTIAL GROUP 00 | | | | | 09/28/2009 | 12/03/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,259.00 | | 1,462.00 | | | | | -203.00 | |
| | | 64. HAIN CELESTIAL GROUP 00 | | | | | 09/28/2009 | 12/04/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,071.00 | | 1,247.00 | | | | | -176.00 | |
| | | 573. TARGET CORP | | | | | 09/28/2009 | 12/04/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 26,261.00 | | 26,936.00 | | | | | -675.00 | |
| | | 44. HAIN CELESTIAL GROUP 00 | | | | | 09/28/2009 | 12/07/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 732.00 | | 858.00 | | | | | -126.00 | |
| | | 122. COMPANHIA VALE DO RIO DOCE | | | | | 09/28/2009 | 12/07/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 3,417.00 | | 2,779.00 | | | | | 638.00 | |
| | | 47558.95 FHLMCG POOL #G13248 5.000% 7/ | | | | | 09/11/2008 | 12/09/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 50,412.00 | | 47,960.00 | | | | | 2,452.00 | |
| | | 43000. GOLDMAN SACHS GROUP 4.500% 6/15 | | | | | 06/01/2007 | 12/09/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 43,881.00 | | 41,986.00 | | | | | 1,895.00 | |
| | | 297. HUTCHISON WHAMPOA LTD ADR | | | | | 09/28/2009 | 12/09/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 9,909.00 | | 10,734.00 | | | | | -825.00 | |
| | | 10000. U S TREASURY NOTES 4.875% 8/15 | | | | | 09/18/2009 | 12/10/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 11,275.00 | | 11,166.00 | | | | | 109.00 | |
| | | 90000. U S TREASURY NOTES 4.875% 8/15 | | | | | 04/03/2008 | 12/10/2009 |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 101,475.00 | | 99,640.00 | | | | | 1,835.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 174. PHILIP MORRIS INTL INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 12/11/2009 |
| 8,456.00 | | 8,351.00 | | | | | 105.00 | |
| | | 178. FREEPORT MCMORAN COPPER & GOLD<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 12/14/2009 |
| 13,798.00 | | 12,095.00 | | | | | 1,703.00 | |
| | | 109. OCCIDENTAL PETROLEUM CORP<br>PROPERTY TYPE: SECURITIES | | | | | 11/05/2009 | 12/14/2009 |
| 8,400.00 | | 8,816.00 | | | | | -416.00 | |
| | | 383. QUALCOMM INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 12/14/2009 |
| 17,182.00 | | 17,514.00 | | | | | -332.00 | |
| | | 1208.12 FHLMCG POOL #G13248 5.000% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 09/11/2008 | 12/15/2009 |
| 1,208.00 | | 1,218.00 | | | | | -10.00 | |
| | | 1240.11 FHLMCG POOL #G13255 5.000% 7/0<br>PROPERTY TYPE: SECURITIES | | | | | 09/19/2008 | 12/15/2009 |
| 1,240.00 | | 1,245.00 | | | | | -5.00 | |
| | | 1874.26 FHLMC POOL #G18309 4.500% 5/0<br>PROPERTY TYPE: SECURITIES | | | | | 09/09/2009 | 12/15/2009 |
| 1,874.00 | | 1,931.00 | | | | | -57.00 | |
| | | 524. ATLAS COPCO AB SPONSORED ADR<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 12/17/2009 |
| 7,361.00 | | 6,896.00 | | | | | 465.00 | |
| | | 756. CENOVUS ENERGY INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/29/2009 | 12/17/2009 |
| 18,705.00 | | 21,191.00 | | | | | -2,486.00 | |
| | | 157. SHERWIN WILLIAMS CO<br>PROPERTY TYPE: SECURITIES | | | | | 09/29/2009 | 12/17/2009 |
| 9,642.00 | | 9,465.00 | | | | | 177.00 | |
| | | 149. PHILIPS ELECTRONICS-NY SHR<br>PROPERTY TYPE: SECURITIES | | | | | 10/02/2009 | 12/18/2009 |
| 4,257.00 | | 3,427.00 | | | | | 830.00 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 126. LAFARGE S A SPND ADR NEW<br>PROPERTY TYPE: SECURITIES | | | | | 10/02/2009 | 12/18/2009 |
| 2,501.00 | | 2,671.00 | | | | | -170.00 | |
| | | 43. NATIONAL GRID PLC SPD ADR<br>PROPERTY TYPE: SECURITIES | | | | | 10/02/2009 | 12/18/2009 |
| 2,247.00 | | 2,068.00 | | | | | 179.00 | |
| | | 387. COMPANHIA VALE DO RIO DOCE<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 12/18/2009 |
| 10,638.00 | | 8,815.00 | | | | | 1,823.00 | |
| | | 231. LINDE AG<br>PROPERTY TYPE: SECURITIES | | | | | 10/02/2009 | 12/21/2009 |
| 2,777.00 | | 2,437.00 | | | | | 340.00 | |
| | | 497. GILEAD SCIENCES INC<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 12/22/2009 |
| 21,526.00 | | 23,283.00 | | | | | -1,757.00 | |
| | | .5 NEOGEN CORP<br>PROPERTY TYPE: SECURITIES | | | | | 09/28/2009 | 12/24/2009 |
| 12.00 | | 11.00 | | | | | 1.00 | |
| | | | | | | | ---------- | |
| TOTAL GAIN(LOSS) ...... | | ...................................... | | | | | 217,378. | |
| | | | | | | | ========== | |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|---|
| DIVIDENDS & INTEREST | | 208,605. | 211,322. |
| | TOTAL | 208,605. | 211,322. |

## FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| OTHER INCOME | 29. | 29. |
| FED TAX REFUND | 3,474. | |
| TOTALS | 3,503. | 29. |

FORM 990PF, PART I - LEGAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| LEGAL FEES | 18,314. | | | 18,314. |
| TOTALS | 18,314. | NONE | NONE | 18,314. |

FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| FOREIGN TAX | 1,804. | |
| 2008 BAL DUE | 1,754. | |
| FED ESTIMATES | 1,935. | |
| FOREIGN TAX-DIVIDEND | | 1,804. |
| TOTALS | 5,493. | 1,804. |

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---|---|---|---|
| ADR FOREIGN FEES | 140. | 140. | |
| MISC EXP | 2,964. | | 2,964. |
| TOTALS | 3,104. | 140. | 2,964. |

FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | COST/ FMV C OR F | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|---|
| SEE ATTACHED STATEMENTS | C | 8,584,301. | 8,436,394. | 8,917,613. |
| TOTALS | | 8,584,301. | 8,436,394. | 8,917,613. |

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | | AMOUNT |
|---|---|---|
| ACCRUED MARKET DISCOUNT | | 5,055. |
| CV ADJ/SIMON PPTY GROUP | | 332. |
| TC ADJ/FNMA POOL | | 17. |
| TC ADJ/FNMA POOL | | 53. |
| | TOTAL | 5,457. |

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | | AMOUNT |
|---|---|---|
| PURCHASE ACCRUED INTEREST | | 3,914. |
| WASH SALE/JP MORGAN CHASE | | 507. |
| WYETH ROC | | 63. |
| TIME WARNER ROC | | 205. |
| MBS ADJUSTMENTS FROM PAYDOWNS | | 977. |
| MISC ADJ | | 25. |
| | TOTAL | 5,691. |

| STATE(S) WHERE THE FOUNDATION IS REGISTERED |
| --- |
| FL |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
CITIBANK, N.A.
ADDRESS:
666 FIFTH AVENUE, FLOOR 12B
NEW YORK, NY 10103
TITLE:
TRUSTEE, AS REQ'D
COMPENSATION ........................................... 123,614.

OFFICER NAME:
CHAPLIN BARNES
ADDRESS:
5 ICE POND ROAD
WESTERLY, RI 02891
TITLE:
CO-TRUSTEE, AS REQ'D
COMPENSATION ........................................... 40,000.

TOTAL COMPENSATION: 163,614.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
SEE ATTACHED
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL CHARITABLE PURPOSES
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID ........................................... 341,295.

TOTAL GRANTS PAID: 341,295.

## FEDERAL CAPITAL GAIN DIVIDENDS

### LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

| | | |
|---|---|---|
| LTC PPTYS INC | 2.00 | |
| SIMON PPTY GROUP INC NEW COM | 4.00 | |
| TYCO ELECTRONICS LTD COM CHF2.60 | 240.00 | |
| TOTAL 15% RATE CAPITAL GAIN DIVIDENDS | | 246.00 |
| TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | 246.00 |

990PF, PART VII-B LINE 2B – SECTION 4942a2 EXPLANATION STATEMENT

This question does not apply. The foundation does not have any undistributed income from prior years

102 CHARITABLE DEDUCTION - CURRENT INCOME

01/29/09 CASH DISBURSEMENT -23000.00 -23000.00 090100093
CHARITABLE DIST FROM PRIN BENE DISTRIBUTION WITH NO SSN
9 HVAC FIREHOUSE MEETING ROOM
FOR: 22-6948512
PAID TO:
WATCH HILL FIRE DISTRICT
TR TRAN#=ES000487000001 TR CD=071 REG=0 PORT=PRIN

02/06/09 CASH DISBURSEMENT -5000.00 -5000.00 090200054
CHARITABLE DIST FROM INCO BENE DISTRIBUTION WITH NO SSN
9 CAMP WATCHAUG SCHOLARSHIPS
FOR: 22-6948512
PAID TO:
OCEAN COMMUNITY YMCA
TR TRAN#=ES000122000006 TR CD=072 REG=0 PORT=INC

03/09/09 CASH DISBURSEMENT -70000.00 -70000.00 090300029
CHARITABLE DIST FROM PRIN BENE DISTRIBUTION WITH NO SSN
9 CAD DISPATCH -2ND INSTALLMENT
FOR: 22-6948512
PAID TO:
THE WESTERLY AMBULANCE CORP
TR TRAN#=ES000154000025 TR CD=071 REG=0 PORT=PRIN

03/23/09 CASH DISBURSEMENT -16000.00 -16000.00 090300067
CHARITABLE DIST FROM PRIN BENE DISTRIBUTION WITH NO SSN
9 RESCUE 3
FOR. 22-6948512
PAID TO:
WESTERLY AMBULANCE CORPS
TR TRAN#=ES000443000026 TR CD=071 REG=0 PORT=PRIN

03/23/09 CASH DISBURSEMENT -40000.00 -40000.00 090300068
CHARITABLE DIST FROM PRIN BENE DISTRIBUTION WITH NO SSN
9 WOUND CARE AND EQUIPMENT(WOUND/CARDIAC)
FOR: 22-6948512
PAID TO:
WESTERLY HOSPITAL
TR TRAN#=ES000443000027 TR CD=071 REG=0 PORT=PRIN

03/23/09 CASH DISBURSEMENT -25000.00 -25000 00 090300069
CHARITABLE DIST FROM PRIN BENE DISTRIBUTION WITH NO SSN
9 PLANNING, ZONING AND PRESERVATION
FOR: 22-6948512
PAID TO:
WATCH HILL CONSERVATORY
TR TRAN#=ES000443000028 TR CD=071 REG=0 PORT=PRIN

03/23/09 CASH DISBURSEMENT -50000.00 -50000.00 090300070
CHARITABLE DIST FROM PRIN BENE DISTRIBUTION WITH NO SSN
9 EQUIPMENT
FOR: 22-6948512
PAID TO:
FLORIDA SCHOOL FOR THE DEAF & BLIND
TR TRAN#=ES000443000029 TR CD=071 REG=0 PORT=PRIN

03/23/09 CASH DISBURSEMENT -50000.00 -50000.00 090300071
CHARITABLE DIST FROM PRIN BENE DISTRIBUTION WITH NO SSN
9 ENDOWMENT FOR RESEARCH
FOR: 22-6948512
PAID TO:
MAYO FOUNDATION
TR TRAN#=ES000443000030 TR CD=071 REG=0 PORT=PRIN

03/23/09 CASH DISBURSEMENT -20000.00 -20000.00 090300072
CHARITABLE DIST FROM PRIN BENE DISTRIBUTION WITH NO SSN
9 PARK COMMISSION/NAPATREE CONSERVATION
FOR: 22-6948512
PAID TO:
WATCH HILL FIRE DISTRICT
TR TRAN#=ES000443000031 TR CD=071 REG=0 PORT=PRIN

03/23/09 CASH DISBURSEMENT -10000.00 -10000.00 090300074
CHARITABLE DIST FROM PRIN BENE DISTRIBUTION WITH NO SSN
9 CAPITAL RENOVATION/YEAR 2
FOR: 22-6948512
PAID TO
OCEAN COMMUNITY YMCA
TR TRAN#=ES000443000033 TR CD=071 REG=0 PORT=PRIN

03/23/09 CASH DISBURSEMENT -5000.00 -5000.00 090300073
CHARITABLE DIST FROM PRIN BENE DISTRIBUTION WITH NO SSN
9 SCHOLARSHIPS/CAMP WATCHAUG
FOR: 22-6948512

ACCT 5880 039139457714 CITIGROUP TRUST - DELAWARE, NA
PREP 39 ADMN 102 INV 52 TAX TRANSACTION DETAIL


RUN 05/07/2010
12 56 09 PM

| TAX CODE/DATE | TRANSACTIONS | TAXABLE | INCOME | PRINCIPAL | EDIT NUMBER |
|---|---|---|---|---|---|
| 102 | CHARITABLE DEDUCTION - CURRENT INCOME (CONTINUED)<br>PAID TO:<br>OCEAN COMMUNITY YMCA<br>TR TRAN#=ES000443000032 TR CD=071 REG=0 PORT=PRIN | | | | |
| 03/26/09 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 PLANNING, ZONING AND PRESERVATION<br>FOR: 22-6948512<br>PAID TO:<br>WATCH HILL CONSERVANCY<br>TR TRAN#=ES000534000025 TR CD=071 REG=0 PORT=PRIN | -25000.00 | BENE DISTRIBUTION WITH NO SSN | -25000.00 | 090300085 |
| 03/26/09 | REVERSAL - NEGATES PREVIOUS ENTRY<br>CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 PLANNING, ZONING AND PRESERVATION<br>STOP PAYMENT<br>MADE OUT WRONG PAYEE<br>FOR: 22-6948512<br>PAID TO:<br>WATCH HILL CONSERVATORY<br>TR TRAN#=ES000556000001 TR CD=071 REG=0 PORT=PRIN | 25000.00 | BENE DISTRIBUTION WITH NO SSN | 25000.00 | 090300087 |
| 09/03/09 | CASH DISBURSEMENT<br>PRINCIPAL DISTRIBUTION<br>4 ANNUAL SUBSRIPTION RENEWAL ID:13-1837418<br>FOR: 22-6948512<br>PAID TO.<br>THE FOUNDATION CENTER<br>TR TRAN#=ES000067000024 TR CD=051 REG=0 PORT=PRIN | -1295.00 | UNMATCHED BENE DISTRIBUTION<br>**CHANGED** 04/23/10 CXG | -1295.00 | 0909000012 |
| 09/16/09 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 2009 SUPPLIMENTAL<br>FOR 22-6948512<br>PAID TO<br>FLORIDA SCHOOL FOR THE DEAF & BLIND<br>TR TRAN#=ES000319000016 TR CD=071 REG=0 PORT=PRIN | -25000 00 | | -25000 00 | 0909000047 |
| 09/25/09 | CASH DISBURSEMENT<br>CHARITABLE DIST FROM PRIN<br>9 2009 GRANT ON BEHALF OF ROBERTS FOUNDATION<br>FOR 22-6948512<br>PAID TO<br>RISC FOUNDATION<br>TR TRAN#=ES000526000035 TR CD=071 REG=0 PORT=PRIN | -1000 00 | | -1000 00 | 0909000082 |
| | TOTAL CODE 102 - CHARITABLE DEDUCTION - CURRENT INCOME | -341295 00 | -5000 00 | -336295 00 | |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

### Asset Detail

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| *U.S. Treasuries and Agencies* | | | | | | |
| *U.S. Treasury Bonds and Notes* | | | | | | |
| U S Treas Nts 1.750% 11/15/11 CUSIP. 912828JU5 | 100,000 00 | $101,262 00 | $101,437 50 | -$175 50 | $1,750 00 | 1 08% |
| U S Treasury Notes 4.000% 11/15/12 CUSIP 912828AP5 | 127,000 00 | $135,661 40 | $133,422 14 | $2,239.26 | $5,080.00 | 1 44% |
| U S Treas Nts 2 625% 10/31/13 CUSIP 912828JQ4 | 84,000 00 | $86,027 76 | $87,255 00 | -$1,227 24 | $2,205 00 | 0 92% |
| U S. Treasury Notes 4 250% 8/15/14 CUSIP. 912828CT5 | 91,000 00 | $98,173 53 | $98,637 50 | -$463 97 | $3,867 50 | 1 04% |
| U S Treas Nts 3 375% 11/15/19 CUSIP: 912828LY4 | 138,000 00 | $132,739 44 | $136,986 53 | -$4,247 09 | $4,657 50 | 1 41% |
| | | $553,864 13 | $557,738 67 | -$3,874 54 | $17,560.00 | 5 89% |
| *Government Agency Bonds/Notes* | | | | | | |
| Fed Natl Mtg Assn 6 000% 5/15/11 CUSIP 31359MJH7 | 134,000 00 | $143,464 42 | $136,682 55 | $6,781 87 | $8,040 00 | 1 53% |
| Fed Natl Mtg Assn 5 375% 11/15/11 CUSIP. 31359MLS0 | 86,000 00 | $92,719 18 | $87,818 01 | $4,901 17 | $4,622 50 | 0 99% |
| Fed Natl Mtg Assn 1.875% 10/29/12 CUSIP· 31398AZQ8 | 54,000 00 | $53,679 24 | $53,867 70 | -$188 46 | $1,012 50 | 0.57% |
| Federal Home Ln Mtg 4 375% 7/17/15 CUSIP. 3134A4VC5 | 45,000 00 | $47,938.95 | $43,347 56 | $4,591 39 | $1,968.75 | 0 51% |
| Fed Natl Mtg Assn 5 250% 9/15/16 CUSIP 31359MW41 | 140,000 00 | $154,656 60 | $142,489 83 | $12,166 77 | $7,350 00 | 1 65% |
| | | $492,458 39 | $464,205 65 | $28,252 74 | $22,993 75 | 5 25% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| *GNMA, FNMA, FHLMC Pools* | | | | | | |
| Fhlmcg Pool #G13255 5 000% 7/01/23 CUSIP. 3128MBZU4 | 45,370 28 | $47,478 64 | $45,561.70 | $1,916 94 | $2,268 51 | 0 51% |
| FHLMC Pool #G18309 4 500% 5/01/24 CUSIP. 3128MMKX0 | 58,793 00 | $60,489.77 | $60,575 20 | -$85 43 | $2,645 69 | 0 64% |
| | | $107,968 41 | $106,136 90 | $1,831 51 | $4,914 20 | 1 15% |
| Total U.S Treasuries and Agencies | | $1,154,290.93 | $1,128,081 22 | $26,209 71 | $45,467 95 | 12.29% |
| *Corporate and Foreign Bonds* | | | | | | |
| *U.S. Corporate Bonds & Notes* | | | | | | |
| Gen Elec Cap Corp 6 125% 2/22/11 CUSIP 36962GWB6 | 70,000 00 | $73,969 00 | $73,302 10 | $666 90 | $4,287 50 | 0 79% |
| Conoco Funding 6.350% 10/15/11 CUSIP 20825UAB0 | 40,000 00 | $43,518 40 | $41,934 30 | $1,584 10 | $2,540 00 | 0 46% |
| Disney Walt Co New 6 375% 3/01/12 CUSIP 25468PBX3 | 40,000 00 | $43,692 40 | $41,804 00 | $1,888 40 | $2,550 00 | 0 46% |
| XTO Energy Inc 5 900% 8/01/12 CUSIP 98385XAK2 | 30,000 00 | $32,870 10 | $31,217 10 | $1,653 00 | $1,770 00 | 0 35% |
| Merrill Lynch & Co 6 150% 4/25/13 CUSIP 59018YN56 | 35,000 00 | $37,455 60 | $33,145 35 | $4,310 25 | $2,152 50 | 0 40% |
| Verizon Global Fdg 4 375% 6/01/13 CUSIP 92344GAV8 | 68,000 00 | $71,354 44 | $63,180 16 | $8,174 28 | $2,975 00 | 0 76% |
| Credit Suisse First 5.500% 8/15/13 CUSIP 22541LAH6 | 60,000 00 | $65,181 00 | $59,920 20 | $5,260 80 | $3,300 00 | 0 69% |
| Comcast Corp New 5.300% 1/15/14 CUSIP 20030NAE1 | 65,000 00 | $69,373 85 | $63,666 70 | $5,707 15 | $3,445 00 | 0 74% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Wyeth 5 500% 2/01/14<br>CUSIP 983024AE0 | 42,000 00 | $45,757 74 | $41,898 78 | $3,858 96 | $2,310 00 | 0 49% |
| Time Warner Cable In 7 500% 4/01/14<br>CUSIP 88732JAR9 | 40,000 00 | $46,087 20 | $44,152 00 | $1,935 20 | $3,000 00 | 0 49% |
| Goldman Sachs Grp In 6 000% 5/01/14<br>CUSIP· 38141EA33 | 29,000 00 | $31,719 33 | $32,165 64 | -$446.31 | $1,740 00 | 0 34% |
| American Express Co 7 250% 5/20/14<br>CUSIP 025816BA6 | 20,000 00 | $22,567 40 | $20,608 00 | $1,959 40 | $1,450 00 | 0 24% |
| JPMorgan Chase & Co 4 650% 6/01/14<br>CUSIP. 46625HHN3 | 41,000.00 | $43,191 04 | $41,099 22 | $2,091 82 | $1,906 50 | 0.46% |
| PNC Funding Corp 4.250% 9/21/15<br>CUSIP 693476BG7 | 53,000 00 | $54,065 30 | $54,967 89 | -$902 59 | $2,252 50 | 0 58% |
| Anadarko Pete Corp 5 950% 9/15/16<br>CUSIP 032511AX5 | 35,000 00 | $37,859 50 | $30,857 75 | $7,001 75 | $2,082 50 | 0 40% |
| Wachovia Corp 5 750% 2/01/18<br>CUSIP: 92976WBH8 | 35,000 00 | $36,524 95 | $34,805 40 | $1,719 55 | $2,012 50 | 0 39% |
| Hewlett Packard Co 5 500% 3/01/18<br>CUSIP 428236AS2 | 35,000 00. | $37,216 90 | $36,879 50 | $337 40 | $1,925 00 | 0 40% |
| At & T Inc 5 600% 5/15/18<br>CUSIP 00206RAM4 | 30,000 00 | $31,438 80 | $30,594 90 | $843 90 | $1,680 00 | 0 33% |
| Procter & Gamble Co 4 700% 2/15/19<br>CUSIP· 742718DN6 | 40,000 00 | $40,947 20 | $40,507 20 | $440 00 | $1,880.00 | 0 44% |
| BB&T Corporation 6 850% 4/30/19<br>CUSIP 05531FAB9 | 37,000 00 | $41,499 20 | $41,793 72 | -$294.52 | $2,534 50 | 0 44% |
| | | $906,289 35 | $858,499 91 | $47,789 44 | $47,793 50 | 9 65% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| *Foreign Corporate Bonds* | | | | | | |
| BP Capital Markets 5 250% 11/07/13<br>CUSIP 05565QBF4 | 40,000 00 | $43,569 20 | $40,322 80 | $3,246 40 | $2,100 00 | 0 46% |
| | | $43,569 20 | $40,322 80 | $3,246 40 | $2,100 00 | 0 46% |
| *Corporate Cmos and Remics* | | | | | | |
| U S T Nts Infl Indx 1.625% 1/15/18<br>CUSIP· 912828HN3 | 43,000 00 | $45,586 71 | $40,574 41 | $5,012 30 | $698 75 | 0.49% |
| | | $45,586 71 | $40,574.41 | $5,012.30 | $698.75 | 0.49% |
| Total Corporate and Foreign Bonds | | $995,445 26 | $939,397 12 | $56,048 14 | $50,592.25 | 10.60% |
| *Common Equity Securities*<br>*Domestic Common Stocks* | | | | | | |
| Abaxis Inc<br>CUSIP 002567105 | 275 00 | $7,026 25 | $7,604 69 | -$578 44 | $0 00 | 0 07% |
| Abbott Labs Inc Com<br>CUSIP· 002824100 | 1,325 00 | $71,536 75 | $65,015 10 | $6,521 65 | $2,120 00 | 0 76% |
| Adobe Sys Inc<br>CUSIP: 00724F101 | 535 00 | $19,677 30 | $17,568 60 | $2,108 70 | $0.00 | 0 21% |
| AFLAC Inc Com<br>CUSIP. 001055102 | 1,250 00 | $57,812 50 | $53,522 50 | $4,290 00 | $1,400 00 | 0 62% |
| Albany Intl Corp New CL A<br>CUSIP 012348108 | 555 00 | $12,465 30 | $11,315 06 | $1,150 24 | $266 40 | 0 13% |
| Allscripts-Misys Hlthcr Sol. Inc.<br>CUSIP· 01988P108 | 655 00 | $13,250 65 | $12,450 57 | $800 08 | $0 00 | 0.14% |
| American Sts Wtr Co<br>CUSIP. 029899101 | 375 00 | $13,278 75 | $13,571 25 | -$292 50 | $390 00 | 0 14% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Angiodynamics Inc<br>CUSIP 03475V101 | 730 00 | $11,716 50 | $10,305 05 | $1,411 45 | $0 00 | 0 12% |
| Ansys Inc<br>CUSIP· 03662Q105 | 265 00 | $11,516 90 | $10,545 48 | $971 42 | $0 00 | 0 12% |
| Apple Incorporated<br>CUSIP 037833100 | 474 00 | $99,886 97 | $87,599 94 | $12,287 03 | $0 00 | 1 06% |
| AT & T Inc<br>CUSIP. 00206R102 | 2,200 00 | $61,666 00 | $59,857 16 | $1,808.84 | $3,696 00 | 0 66% |
| Autoliv, Inc.<br>CUSIP 052800109 | 539.00 | $23,371 04 | $16,832 43 | $6,538 61 | $0.00 | 0 25% |
| Bank of America Corp<br>CUSIP 060505104 | 2,248 00 | $33,854 88 | $38,041 66 | -$4,186.78 | $89 92 | 0 36% |
| Barnes Group Inc<br>CUSIP 067806109 | 765 00 | $12,928 50 | $13,509 82 | -$581 32 | $244 80 | 0 14% |
| Baxter International Inc Com<br>CUSIP 071813109 | 311 00 | $18,249 48 | $18,144 74 | $104 74 | $360 76 | 0 19% |
| Beacon Roofing Supply Inc<br>CUSIP 073685109 | 630 00 | $10,080 00 | $10,154 91 | -$74 91 | $0 00 | 0 11% |
| Becton Dickinson & Co<br>CUSIP 075887109 | 850 00 | $67,031 00 | $59,737 83 | $7,293 17 | $1,258 00 | 0 71% |
| Bio-Reference Labs Inc<br>CUSIP· 09057G602 | 185 00 | $7,237 20 | $6,381 11 | $856 09 | $0 00 | 0 08% |
| Blackrock Inc<br>CUSIP· 09247X101 | 295 00 | $68,499.00 | $63,200 21 | $5,298 79 | $920 40 | 0 73% |
| Brigham Expl Co<br>CUSIP. 109178103 | 1,350 00 | $18,292 50 | $12,418 79 | $5,873 71 | $0 00 | 0 19% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Brinks Home SEC Hldgs Inc CUSIP 109699108 | 330 00 | $10,771 20 | $10,382 23 | $388 97 | $0.00 | 0.11% |
| Brookdale Sr Living Inc CUSIP 112463104 | 1,005 00 | $18,280 95 | $19,118.11 | -$837 16 | $0 00 | 0 19% |
| Cabot Microelectronics Corp CUSIP 12709P103 | 205 00 | $6,756 80 | $7,325 92 | -$569 12 | $0.00 | 0 07% |
| Capital One Finl Corp CUSIP 14040H105 | 991 00 | $37,994 94 | $35,088 73 | $2,906 21 | $198 20 | 0 40% |
| Cass Information Sys Inc CUSIP 14808P109 | 225 00 | $6,840 00 | $6,967 46 | -$127 46 | $126 00 | 0 07% |
| Celgene Corp CUSIP 151020104 | 471 00 | $26,225 28 | $26,354 19 | -$128 91 | $0 00 | 0 28% |
| Cepheid CUSIP 15670R107 | 1,425 00 | $17,784 00 | $19,549 29 | -$1,765 29 | $0.00 | 0 19% |
| Cheesecake Factory Inc CUSIP 163072101 | 485 00 | $10,471.15 | $9,349 98 | $1,121 17 | $0.00 | 0 11% |
| Chemed Corp New CUSIP. 16359R103 | 345 00 | $16,549 65 | $15,641 40 | $908 25 | $165 60 | 0 18% |
| Chevron Corp CUSIP: 166764100 | 915 00 | $70,445 85 | $64,954 94 | $5,490 91 | $2,488 80 | 0 75% |
| CISCO Systems Inc Com CUSIP 17275R102 | 3,260 00 | $78,044 40 | $77,237 04 | $807 36 | $0 00 | 0 83% |
| Coca Cola Co Com CUSIP 191216100 | 1,125 00 | $64,125.00 | $59,915.25 | $4,209 75 | $1,845 00 | 0 68% |
| Concur Technologies Inc CUSIP· 206708109 | 135 00 | $5,771 25 | $5,324 74 | $446 51 | $0 00 | 0 06% |
| Conseco Inc CUSIP 208464883 | 3,144 00 | $15,720 00 | $15,241 04 | $478.96 | $0.00 | 0 17% |

## Statement of Value and Activity

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Corn Prods Intl Inc Com Stk CUSIP 219023108 | 440 00 | $12,861 20 | $12,394 36 | $466 84 | $246 40 | 0 14% |
| Costar Group Inc CUSIP· 22160N109 | 145 00 | $6,056 65 | $5,990 24 | $66 41 | $0 00 | 0 06% |
| Crown Hldgs Inc CUSIP: 228368106 | 500 00 | $12,790 00 | $13,454 25 | -$664 25 | $0 00 | 0 14% |
| Cullen Frost Bankers Inc Com CUSIP 229899109 | 840 00 | $42,000 00 | $43,520 40 | -$1,520 40 | $1,444.80 | 0 45% |
| Darlıng Intl Inc CUSIP 237266101 | 2,147 00 | $17,991 86 | $15,210 25 | $2,781 61 | $0.00 | 0 19% |
| Dealertrack Hldgs Inc CUSIP 242309102 | 440 00 | $8,267 60 | $8,626 64 | -$359 04 | $0 00 | 0 09% |
| Dıgi Intl Inc Com CUSIP. 253798102 | 1,020 00 | $9,302 40 | $9,183 98 | $118 42 | $0 00 | 0 10% |
| DırecTV Com Usd0 01 Class 'a' CUSIP· 25490A101 | 1,370 00 | $45,689 50 | $42,743 68 | $2,945 82 | $0.00 | 0 49% |
| Dow Ghem Company Com CUSIP 260543103 | 1,885 00 | $52,082 55 | $49,110 95 | $2,971 60 | $1,131 00 | 0 55% |
| Dynamex Inc CUSIP 26784F103 | 225 00 | $4,072 50 | $3,620 05 | $452 45 | $0.00 | 0 04% |
| ECHELON Corp CUSIP· 27874N105 | 505 00 | $5,837 80 | $6,643 28 | -$805 48 | $0.00 | 0 06% |
| Emerson Electrıc Com CUSIP 291011104 | 1,500 00 | $63,900 00 | $60,117 00 | $3,783 00 | $2,010 00 | 0 68% |
| Eqt Corp CUSIP 26884L109 | 1,405 00 | $61,707 60 | $60,327 05 | $1,380 55 | $1,236 40 | 0 66% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Esterline Technologies Corp<br>CUSIP 297425100 | 400 00 | $16,308 00 | $15,758 60 | $549 40 | $0 00 | 0 17% |
| Exelon Corp<br>CUSIP. 30161N101 | 1,085.00 | $53,023 95 | $54,312 93 | -$1,288 98 | $2,278 50 | 0 56% |
| Express Scripts Inc<br>CUSIP 302182100 | 670.00 | $57,901 40 | $52,726 39 | $5,175 01 | $0 00 | 0 62% |
| Exterran Holdings Inc<br>CUSIP 30225X103 | 565 00 | $12,119 25 | $13,589 89 | -$1,470.64 | $0 00 | 0 13% |
| Faro Technologies Inc<br>CUSIP 311642102 | 230 00 | $4,931 20 | $4,008 92 | $922 28 | $0 00 | 0 05% |
| FedEx Corp<br>CUSIP 31428X106 | 199 00 | $16,606 55 | $18,240 72 | -$1,634 17 | $87.56 | 0 18% |
| Forest Oil Corp<br>CUSIP 346091705 | 405 00 | $9,011 25 | $7,944 12 | $1,067 13 | $0 00 | 0 10% |
| Forrester Resh Inc<br>CUSIP. 346563109 | 300 00 | $7,785 00 | $7,771 56 | $13 44 | $0 00 | 0 08% |
| Forward Air Corp<br>CUSIP. 349853101 | 235 00 | $5,882 05 | $5,345 66 | $536 39 | $65 80 | 0 06% |
| FPL Group Inc Com<br>CUSIP: 302571104 | 1,090 00 | $57,573 80 | $60,056 28 | -$2,482.48 | $2,060 10 | 0 61% |
| Freeport McMoran Copper B<br>CUSIP: 35671D857 | 470 00 | $37,736 30 | $31,934 95 | $5,801 35 | $282 00 | 0 40% |
| F5 Networks Inc<br>CUSIP 315616102 | 270 00 | $14,301 90 | $10,667 03 | $3,634 87 | $0.00 | 0 15% |
| General Dynamics Corp Com<br>CUSIP 369550108 | 650 00 | $44,310 50 | $44,508.48 | -$197.98 | $988 00 | 0.47% |
| Gentex Corp Com<br>CUSIP 371901109 | 865 00 | $15,440 25 | $12,385 24 | $3,055 01 | $380 60 | 0.16% |

## Statement of Value and Activity

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Gilead Sciences Inc<br>CUSIP. 375558103 | 627.00 | $27,130 29 | $29,373 32 | -$2,243 03 | $0 00 | 0 29% |
| Goldman Sachs Group Inc<br>CUSIP 38141G104 | 437 00 | $73,783 08 | $78,916 96 | -$5,133.88 | $611 80 | 0 79% |
| Google Inc<br>CUSIP 38259P508 | 175 00 | $108,496 50 | $87,716 13 | $20,780 37 | $0 00 | 1 15% |
| Guidance Software<br>CUSIP. 401692108 | 480 00 | $2,515 20 | $2,125 44 | $389 76 | $0.00 | 0 03% |
| Hewlett-Packard Co Com<br>CUSIP. 428236103 | 1,750 00 | $90,142 50 | $84,042 20 | $6,100 30 | $560 00 | 0 96% |
| Hudson City Bancorp Inc<br>CUSIP 443683107 | 4,525 00 | $62,128 25 | $59,906 93 | $2,221 32 | $2,715 00 | 0 66% |
| Iberiabank Corp<br>CUSIP. 450828108 | 245 00 | $13,183 45 | $11,164 31 | $2,019 14 | $333 20 | 0 14% |
| IBM Corporation Com<br>CUSIP 459200101 | 1,270 00 | $166,243 00 | $153,155 90 | $13,087 10 | $2,794 00 | 1 77% |
| Innerworkings Inc<br>CUSIP. 45773Y105 | 940 00 | $5,546 00 | $4,609 64 | $936 36 | $0 00 | 0 06% |
| Ion Geophysical Corp<br>CUSIP 462044108 | 2,685 00 | $15,895 20 | $10,168 44 | $5,726.76 | $0.00 | 0 17% |
| Ipc The Hospitalist Co Inc<br>CUSIP 44984A105 | 245 00 | $8,146 25 | $7,995 97 | $150 28 | $0 00 | 0 09% |
| JPMorgan Chase & Co<br>CUSIP 46625H100 | 3,110 00 | $129,593 70 | $137,048 59 | -$7,454 89 | $622 00 | 1 38% |
| Kindred HealthCare Inc<br>CUSIP 494580103 | 800 00 | $14,768 00 | $13,476 88 | $1,291 12 | $0 00 | 0 16% |
| Kohls Corp Com<br>CUSIP· 500255104 | 640 00 | $34,515 20 | $35,193 54 | -$678 34 | $0 00 | 0 37% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Landec Corp<br>CUSIP 514766104 | 655 00 | $4,087 20 | $4,451 64 | -$364 44 | $0 00 | 0 04% |
| Lkq Corp<br>CUSIP. 501889208 | 680 00 | $13,321 20 | $12,592 38 | $728 82 | $0.00 | 0 14% |
| Lorillard Inc<br>CUSIP. 544147101 | 805 00 | $64,585 15 | $60,085 12 | $4,500.03 | $3,220 00 | 0.69% |
| Maximus Inc<br>CUSIP 577933104 | 200 00 | $10,000 00 | $9,641 30 | $358 70 | $96 00 | 0 11% |
| Medco Health Solutions Inc<br>CUSIP 58405U102 | 940 00 | $60,075 40 | $52,664 91 | $7,410 49 | $0 00 | 0 64% |
| Mednax Inc<br>CUSIP· 58502B106 | 255 00 | $15,328 05 | $13,866 54 | $1,461.51 | $0 00 | 0.16% |
| Medtox Scientific Inc<br>CUSIP· 584977201 | 200 00 | $1,550 00 | $1,831 20 | -$281.20 | $0 00 | 0.02% |
| Merck & Co Inc New<br>CUSIP 58933Y105 | 1,384 00 | $50,571 36 | $44,065 45 | $6,505.91 | $2,103 68 | 0 54% |
| Microchip Technology Inc<br>CUSIP 595017104 | 2,470.00 | $71,753 50 | $65,054 86 | $6,698 64 | $3,359 20 | 0 76% |
| Microsoft Corp Com<br>CUSIP· 594918104 | 3,678 00 | $112,105 44 | $94,782 66 | $17,322 78 | $1,912 56 | 1 19% |
| Mobile Mini Inc<br>CUSIP· 60740F105 | 290 00 | $4,086 10 | $5,142 86 | -$1,056.76 | $0 00 | 0 04% |
| Napco Security Technologies<br>CUSIP. 630402105 | 375 00 | $626 25 | $576 71 | $49 54 | $0 00 | 0 01% |
| National Instrs Corp<br>CUSIP 636518102 | 550 00 | $16,197 50 | $15,303 70 | $893.80 | $264 00 | 0.17% |
| Neogen Corp<br>CUSIP 640491106 | 427 00 | $10,081 47 | $9,587 51 | $493 96 | $0.00 | 0 11% |

## Statement of Value and Activity

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| New York Cmnty Bancorp Inc CUSIP: 649445103 | 4,525 00 | $65,657 75 | $53,217 82 | $12,439.93 | $4,525.00 | 0 70% |
| Northwestern Corp CUSIP 668074305 | 645 00 | $16,782 90 | $15,795 28 | $987 62 | $864.30 | 0 18% |
| Occidental Pete Corp CUSIP 674599105 | 747.00 | $60,768 45 | $59,078 60 | $1,689 85 | $986.04 | 0 65% |
| Oneok Inc New Com CUSIP 682680103 | 495 00 | $22,062 15 | $18,057 65 | $4,004 50 | $831 60 | 0 23% |
| Oracle Corporation Com CUSIP 68389X105 | 1,627 00 | $39,910 31 | $35,579 20 | $4,331 11 | $325 40 | 0 42% |
| Owens ILL Inc CUSIP· 690768403 | 485 00 | $15,941 95 | $17,961 25 | -$2,019 30 | $0.00 | 0.17% |
| Paccar Inc CUSIP 693718108 | 1,441 00 | $52,265 07 | $54,692 15 | -$2,427 08 | $518 76 | 0 56% |
| Paychex Inc Com CUSIP. 704326107 | 2,070 00 | $63,424 80 | $59,739 79 | $3,685 01 | $2,566 80 | 0 67% |
| Penn VA Corp CUSIP. 707882106 | 519 00 | $11,049 51 | $11,015.90 | $33.61 | $116 78 | 0 12% |
| Pfizer Inc Com CUSIP 717081103 | 983 00 | $17,880 77 | $18,267 68 | -$386 91 | $707 76 | 0 19% |
| Philip Morris Intl Inc CUSIP· 718172109 | 1,672 00 | $80,573 68 | $81,031 27 | -$457 59 | $3,879 04 | 0 86% |
| Portfolio Recovery Associate CUSIP 73640Q105 | 330 00 | $14,800 50 | $14,889 60 | -$89 10 | $0 00 | 0 16% |
| Power Integrations Inc CUSIP· 739276103 | 340 00 | $12,362 40 | $11,511 14 | $851 26 | $34 00 | 0 13% |
| Praxair Inc Com CUSIP· 74005P104 | 326 00 | $26,181 06 | $26,331 74 | -$150 68 | $521 60 | 0 28% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Precision Castparts Corp<br>CUSIP 740189105 | 360 00 | $39,726 00 | $38,839 92 | $886 08 | $43 20 | 0 42% |
| Procter & Gamble Company Com<br>CUSIP· 742718109 | 935 00 | $56,689 05 | $54,078 53 | $2,610 52 | $1,645 60 | 0 60% |
| Qualcomm Inc Com<br>CUSIP· 747525103 | 1,430 00 | $66,151 80 | $64,992 07 | $1,159 73 | $972.40 | 0 70% |
| Quality Sys Inc<br>CUSIP 747582104 | 111 00 | $6,970 80 | $6,863 10 | $107 70 | $133.20 | 0 07% |
| Raytheon Co<br>CUSIP 755111507 | 1,245 00 | $64,142 40 | $60,119 06 | $4,023 34 | $1,543 80 | 0 68% |
| Resources Connection Inc<br>CUSIP. 76122Q105 | 620 00 | $13,156 40 | $10,706 97 | $2,449.43 | $0.00 | 0 14% |
| Rollins Inc<br>CUSIP 775711104 | 810 00 | $15,616 80 | $15,360 35 | $256 45 | $226 80 | 0 17% |
| Rudolph Technologies Inc<br>CUSIP 781270103 | 265 00 | $1,780 80 | $2,103 46 | -$322 66 | $0 00 | 0.02% |
| Semtech Corp<br>CUSIP 816850101 | 755 00 | $12,842 55 | $13,294 80 | -$452 25 | $0.00 | 0 14% |
| Sensient Technologies Corp<br>CUSIP. 81725T100 | 525 00 | $13,807 50 | $14,700 74 | -$893 24 | $399 00 | 0 15% |
| Sherwin Williams Co Com<br>CUSIP 824348106 | 743 00 | $45,805 95 | $44,792 65 | $1,013 30 | $1,055 06 | 0 49% |
| SLM Corp<br>CUSIP 78442P106 | 1,700 00 | $19,159 00 | $14,797 65 | $4,361 35 | $0 00 | 0 20% |
| Smith A O Corp<br>CUSIP: 831865209 | 500 00 | $21,695.00 | $19,316 45 | $2,378 55 | $390 00 | 0 23% |
| Smith Intl Inc<br>CUSIP· 832110100 | 105 00 | $2,852 85 | $3,058 08 | -$205 23 | $50 40 | 0 03% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Southern Copper Corp DEL CUSIP 84265V105 | 2,112 00 | $69,505 92 | $65,712 20 | $3,793 72 | $1,520 64 | 0 74% |
| Southern Un Co New CUSIP: 844030106 | 545 00 | $12,371 50 | $11,296 76 | $1,074.74 | $327 00 | 0 13% |
| Staples Inc Com CUSIP· 855030102 | 1,136 00 | $27,934 24 | $26,354 06 | $1,580 18 | $374 88 | 0 30% |
| Stewart Enterprises Inc CL A CUSIP 860370105 | 3,131 00 | $16,124 65 | $15,748 14 | $376 51 | $375 72 | 0 17% |
| Stratasys Inc CUSIP 862685104 | 445 00 | $7,671 80 | $7,545 02 | $126 78 | $0 00 | 0 08% |
| Techne Corp CUSIP 878377100 | 165 00 | $11,312 40 | $10,301 94 | $1,010 46 | $171 60 | 0 12% |
| Tetra Tech Inc New CUSIP 88162G103 | 450 00 | $12,226 50 | $11,608 36 | $618 14 | $0 00 | 0 13% |
| Tidewater Inc Com CUSIP 886423102 | 240 00 | $11,508 00 | $11,182 82 | $325 18 | $240 00 | 0 12% |
| Ultimate Software Group Inc CUSIP: 90385D107 | 430 00 | $12,629 10 | $12,364 65 | $264 45 | $0 00 | 0 13% |
| Union Pac Corp Com CUSIP· 907818108 | 878 00 | $56,104 20 | $52,506 95 | $3,597 25 | $948 24 | 0 60% |
| United Nat Foods Inc CUSIP· 911163103 | 585 00 | $15,642.90 | $14,196 78 | $1,446 12 | $0 00 | 0 17% |
| United Technologies Corp Com CUSIP 913017109 | 706 00 | $49,003 46 | $43,806 17 | $5,197 29 | $1,087 24 | 0 52% |
| Universal Technical Inst Inc CUSIP. 913915104 | 200 00 | $4,040 00 | $4,021 60 | $18 40 | $0.00 | 0 04% |
| US Bancorp DEL CUSIP· 902973304 | 1,920 00 | $43,219 20 | $44,713 34 | -$1,494.14 | $384 00 | 0 46% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Usana Health Sciences Inc<br>CUSIP 90328M107 | 90 00 | $2,871 00 | $3,135 15 | -$264 15 | $0 00 | 0 03% |
| V F Corp<br>CUSIP. 918204108 | 740 00 | $54,197 60 | $54,108 06 | $89 54 | $1,776 00 | 0 58% |
| Vectren Corporation<br>CUSIP 92240G101 | 575 00 | $14,191 00 | $13,521 99 | $669 01 | $782 00 | 0 15% |
| Verint Sys Inc<br>CUSIP. 92343X100 | 360 00 | $6,930 00 | $4,885 20 | $2,044 80 | $0.00 | 0 07% |
| Visa Inc-Class A Shares<br>CUSIP 92826C839 | 447 00 | $39,094 62 | $33,883 34 | $5,211 28 | $223 50 | 0 42% |
| Walt Disney Company Com<br>CUSIP 254687106 | 1,205 00 | $38,861 25 | $34,198 09 | $4,663 16 | $421 75 | 0 41% |
| Waste Mgmt Inc DEL<br>CUSIP 94106L109 | 1,995.00 | $67,450 95 | $59,848 40 | $7,602 55 | $2,314 20 | 0 72% |
| Yum Brands Inc<br>CUSIP 988498101 | 1,836 00 | $64,204 92 | $60,137 81 | $4,067 11 | $1,542 24 | 0 68% |
| Zoltek Cos Inc<br>CUSIP 98975W104 | 455 00 | $4,322 50 | $4,918 41 | -$595 91 | $0.00 | 0 05% |
| 3M Company<br>CUSIP. 88579Y101 | 608 00 | $50,263 36 | $46,908.27 | $3,355 09 | $1,240 32 | 0 53% |
| | | $4,478,843 51 | $4,222,057 62 | $256,785 89 | $82,438 35 | 47 62% |
| *ADRs* | | | | | | |
| ADR Erste Group Bank AG SPD ADR<br>CUSIP 296036304 | 877 00 | $16,522 68 | $19,586 74 | -$3,064.06 | $280 64 | 0 18% |
| Agrium Inc<br>CUSIP 008916108 | 364 00 | $22,386 00 | $17,079 97 | $5,306.03 | $40 04 | 0 24% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Air Liquide<br>CUSIP: 009126202 | 1,381 00 | $32,992 09 | $31,763.00 | $1,229 09 | $604.88 | 0 35% |
| Alcon Inc<br>CUSIP H01301102 | 583 00 | $95,816 05 | $81,790 04 | $14,026 01 | $2,125 04 | 1 02% |
| Allianz SE ADR<br>CUSIP 018805101 | 1,998 00 | $24,875 10 | $24,296 08 | $579.02 | $679 32 | 0 26% |
| America Movil S A B DE C V Ser L ADR<br>CUSIP 02364W105 | 414 00 | $19,449 72 | $18,100 08 | $1,349 64 | $187 96 | 0 21% |
| Arcelormittal-Ny Registered<br>CUSIP 03938L104 | 306 00 | $13,999 50 | $11,469 06 | $2,530 44 | $194 92 | 0 15% |
| Asahi Glass<br>CUSIP· 043393206 | 2,463 00 | $23,841 84 | $19,408 44 | $4,433 40 | $379.30 | 0 25% |
| Astellas Pharma Inc ADR<br>CUSIP· 04623U102 | 219 00 | $8,148 99 | $8,784 55 | -$635 56 | $258 42 | 0 09% |
| Astrazeneca PLC Spnd ADR<br>CUSIP. 046353108 | 463 00 | $21,733 22 | $20,250 93 | $1,482 29 | $967 67 | 0 23% |
| Atlas-Copco AB Sponsored ADR<br>CUSIP· 049255706 | 1,473 00 | $21,800 40 | $19,384 68 | $2,415 72 | $387 40 | 0 23% |
| AXA S A SPD ADR<br>CUSIP 054536107 | 898 00 | $21,264 64 | $23,542 51 | -$2,277.87 | $410 39 | 0 23% |
| Banco Santander Sa-Spoon ADR<br>CUSIP: 05964H105 | 1,424 00 | $23,410 56 | $21,756 87 | $1,653 69 | $1,247 42 | 0 25% |
| Bayerische Motoren Werke A G ADR<br>CUSIP 072743206 | 1,147 00 | $17,422 93 | $17,824 38 | -$401 45 | $102 77 | 0 19% |
| BG Group PLC<br>CUSIP 055434203 | 215 00 | $19,457 50 | $18,621 15 | $836 35 | $211 56 | 0 21% |
| BP PLC ADR<br>CUSIP· 055622104 | 326 00 | $18,898 22 | $16,592 75 | $2,305 47 | $1,095 36 | 0 20% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Bunge Limited<br>CUSIP G16962105 | 362 00 | $23,106.46 | $22,066 29 | $1,040 17 | $304 08 | 0 25% |
| Cenovus Energy Inc<br>CUSIP· 15135U109 | 316 00 | $7,963 20 | $8,650.92 | -$687 72 | $63 20 | 0.08% |
| China RES Enterprise Ltd SPD ADR<br>CUSIP 16940R109 | 1,351 00 | $9,956 87 | $9,078 95 | $877 92 | $120 24 | 0 11% |
| Chunghwa Telecom Co Ltd SPD ADR<br>CUSIP. 17133Q403 | 1,032 00 | $19,164 24 | $18,359 28 | $804 96 | $938 09 | 0 20% |
| Cnooc Ltd Spnd ADR<br>CUSIP 126132109 | 133 00 | $20,674 85 | $18,191 17 | $2,483 68 | $308 83 | 0 22% |
| Coca Cola Amatil Ltd<br>CUSIP 191085208 | 1,396 00 | $29,092 64 | $24,220 60 | $4,872 04 | $893 44 | 0 31% |
| Companhia Vale Do Rio Doce<br>CUSIP· 91912E105 | 1,038 00 | $30,133 14 | $23,701 95 | $6,431 19 | $233 56 | 0 32% |
| Dassault Sys S A SPD ADR<br>CUSIP 237545108 | 500 00 | $28,575 00 | $28,200 00 | $375 00 | $239 50 | 0 30% |
| DBS Group Holdings Ltd-Sponsored ADR<br>CUSIP. 23304Y100 | 446 00 | $19,624 00 | $16,502 00 | $3,122 00 | $693.53 | 0 21% |
| Deutsche Post AG Sponsored ADR<br>CUSIP 25157Y202 | 1,428 00 | $27,703 20 | $25,418 40 | $2,284 80 | $1,081 00 | 0 29% |
| Encana Corp<br>CUSIP: 292505104 | 1,800 00 | $58,302 00 | $53,976 55 | $4,325 45 | $1,440 00 | 0 62% |
| Ericsson (Lm)Tel Adr-Each Rep 10 Ord<br>CUSIP. 294821608 | 963 00 | $8,849 97 | $9,227 27 | -$377 30 | $154 08 | 0.09% |
| Esprit Hldgs Ltd<br>CUSIP 29666V204 | 1,373 00 | $18,329 55 | $17,841 89 | $487.66 | $487.42 | 0.20% |
| Fanuc Ltd Japan<br>CUSIP: 307305102 | 384 00 | $18,048 00 | $17,122 56 | $925 44 | $114 05 | 0 19% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Finmeccanica Spa<br>CUSIP. 318027208 | 2,728 00 | $21,687 60 | $23,837 81 | -$2,150.21 | $521 05 | 0.23% |
| Fresenius Med Care AG & Co SPD ADR<br>CUSIP. 358029106 | 299 00 | $15,849 99 | $14,827 38 | $1,022 61 | $361 19 | 0 17% |
| Gazprom O A O SPD ADR<br>CUSIP: 368287207 | 825 00 | $20,666 25 | $19,965 00 | $701.25 | $25 58 | 0 22% |
| Groupe Cgi Inc CL A Sub Vtg<br>CUSIP. 39945C109 | 3,311 00 | $44,897 16 | $38,937 36 | $5,959 80 | $0 00 | 0 48% |
| Hdfc Bk Ltd ADR Repstg 3 SHS<br>CUSIP 40415F101 | 101 00 | $13,138 08 | $11,615 00 | $1,523 08 | $60 50 | 0 14% |
| Hoya Corp SPD ADR<br>CUSIP· 443251103 | 827 00 | $21,998 20 | $19,641 25 | $2,356 95 | $501 16 | 0 23% |
| HSBC Hldgs PLC Sponsored ADR New<br>CUSIP 404280406 | 252 00 | $14,386.68 | $14,521 25 | -$134 57 | $428 40 | 0 15% |
| Imperial Oil Ltd<br>CUSIP 453038408 | 401 00 | $15,502 66 | $15,197 90 | $304 76 | $148 77 | 0 16% |
| Jupiter Telecommunication Co<br>CUSIP 48206M102 | 298 00 | $19,831 90 | $18,878 30 | $953 60 | $91 19 | 0 21% |
| Komatsu Ltd Spns ADR<br>CUSIP· 500458401 | 127 00 | $10,610 85 | $9,771 38 | $839 47 | $125.48 | 0 11% |
| Koninklijke Philips Electrs N V<br>CUSIP. 500472303 | 1,294 00 | $38,095 36 | $29,762 00 | $8,333 36 | $1,031 32 | 0 41% |
| Kubota Ltd ADR<br>CUSIP· 501173207 | 423 00 | $19,508 76 | $18,252 70 | $1,256 06 | $281 30 | 0 21% |
| L Oreal Co<br>CUSIP 502117203 | 1,351 00 | $30,127 30 | $27,028 92 | $3,098 38 | $359 37 | 0.32% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Lafarge S A Spnd ADR New<br>CUSIP 505861401 | 1,247.00 | $25,775.49 | $26,436 40 | -$660.91 | $649 69 | 0 27% |
| Li & Fung Ltd<br>CUSIP 501897102 | 2,067 00 | $8,805 42 | $8,735 56 | $69.86 | $59.94 | 0 09% |
| Linde AG<br>CUSIP 535223101 | 1,859 00 | $22,512 49 | $19,612.45 | $2,900 04 | $304 88 | 0.24% |
| Lvmh Moet Hennessy Louis Vuitton<br>CUSIP 502441306 | 1,414 00 | $31,744 30 | $28,774 90 | $2,969 40 | $446 82 | 0 34% |
| Mitsubishi Estate Ltd<br>CUSIP 606783207 | 81 00 | $12,964 05 | $13,081 50 | -$117 45 | $110 73 | 0 14% |
| Mitsubishi UFJ Finl Group Apd ADR<br>CUSIP 606822104 | 4,129 00 | $20,314 68 | $21,913 64 | -$1,598.96 | $450 06 | 0 22% |
| MTN Group Ltd<br>CUSIP 62474M108 | 1,129 00 | $18,165 61 | $17,926 26 | $239 35 | $199 83 | 0.19% |
| National Grid PLC SPD ADR<br>CUSIP 636274300 | 432 00 | $23,492 16 | $20,773 59 | $2,718.57 | $1,249 34 | 0 25% |
| Nestle SA Spon ADR Repstg Reg<br>CUSIP. 641069406 | 658 00 | $31,814 30 | $27,965 00 | $3,849 30 | $529.03 | 0 34% |
| Nokia Corp Spnsd ADR<br>CUSIP 654902204 | 2,702 00 | $34,720 70 | $41,209 12 | -$6,488 42 | $1,061 88 | 0 37% |
| Nomura Hldgs Inc SPD ADR<br>CUSIP 65535H208 | 920 00 | $6,808 00 | $5,813 66 | $994 34 | $111 32 | 0.07% |
| Nordea Bk Sweden A B ADR<br>CUSIP 65557A107 | 1,638 00 | $16,625 70 | $17,418 59 | -$792 89 | $319 41 | 0 18% |
| Novartis AG Spons ADR<br>CUSIP 66987V109 | 477 00 | $25,963 11 | $23,509 14 | $2,453 97 | $693 56 | 0 28% |
| Novo-Nordisk A S<br>CUSIP 670100205 | 522 00 | $33,329 70 | $33,299 74 | $29 96 | $408 21 | 0 35% |

## Statement of Value and Activity

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Panasonic Corp-Spon ADR CUSIP. 69832A205 | 1,190 00 | $17,076 50 | $18,082 65 | -$1,006 15 | $146 37 | 0 18% |
| Perusahaan Perseroan Persero P T CUSIP 715684106 | 462 00 | $18,456 90 | $16,162 70 | $2,294 20 | $509 59 | 0 20% |
| Petroleo Brasileiro SA ADR CUSIP 71654V101 | 743 00 | $31,495 77 | $28,638 71 | $2,857 06 | $264 51 | 0.34% |
| Publicis S A New Spnd ADR CUSIP 74463M106 | 1,270 00 | $25,971 50 | $24,320 50 | $1,651 00 | $434 34 | 0 28% |
| Qiagen N V CUSIP N72482107 | 764 00 | $17,060 12 | $16,013 21 | $1,046 91 | $0.00 | 0 18% |
| Ritchie Bros Auctioneers Inc CUSIP 767744105 | 445 00 | $9,981 35 | $11,061 61 | -$1,080.26 | $178 00 | 0 11% |
| Roche Holdings Ltd-Sponsored ADR CUSIP 771195104 | 586 00 | $24,729 20 | $23,914 66 | $814 54 | $389 69 | 0 26% |
| Royal Dutch Shell PLC SPD ADR CUSIP 780259206 | 1,130 00 | $67,924 30 | $65,266 65 | $2,657 65 | $3,227 28 | 0.72% |
| Sanofi-Aventis ADR CUSIP 80105N105 | .627 00 | $24,622.29 | $22,784 55 | $1,837 74 | $700 36 | 0 26% |
| Sap AG CUSIP 803054204 | 525.00 | $24,575 25 | $25,711 04 | -$1,135 79 | $582 75 | 0 26% |
| Sasol Ltd Spnd ADR CUSIP 803866300 | 216 00 | $8,627 04 | $8,350 56 | $276.48 | $234 36 | 0 09% |
| Schlumberger Ltd CUSIP: 806857108 | 245.00 | $15,947 05 | $14,799 96 | $1,147 09 | $205 80 | 0.17% |
| Schneider Electric SA CUSIP: 80687P106 | 994 00 | $11,778.90 | $10,427 06 | $1,351.84 | $391 64 | 0.13% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Shaw Communications Inc-B<br>CUSIP 82028K200 | 2,279 00 | $46,879 03 | $43,247 22 | $3,631 81 | $1,816 36 | 0 50% |
| Singapore Airls Ltd<br>CUSIP· 82930C106 | 915 00 | $19,489 50 | $17,064 75 | $2,424.75 | $235 16 | 0 21% |
| Taiwan Semiconductor Mfg Co Ltd ADR<br>CUSIP 874039100 | 2,739 00 | $31,334 16 | $29,526 42 | $1,807 74 | $997 00 | 0 33% |
| Techtronic Inds Ltd SPD ADR<br>CUSIP 87873R101 | 4,322 00 | $17,806 64 | $17,547 32 | $259 32 | $151 27 | 0 19% |
| Telefonica S A<br>CUSIP. 879382208 | 720 00 | $60,134 40 | $59,794 56 | $339 84 | $2,481 84 | 0.64% |
| Telstra<br>CUSIP: 87969N204 | 1,329 00 | $20,333 70 | $18,606 00 | $1,727 70 | $1,408 74 | 0 22% |
| Telus Corp - NON Vtg SHS<br>CUSIP 87971M202 | 580 00 | $18,067 00 | $17,651 26 | $415 74 | $1,034 14 | 0.19% |
| Telvent Git SA<br>CUSIP E90215109 | 235 00 | $9,160 30 | $7,182 19 | $1,978 11 | $115.15 | 0 10% |
| Tenaris S A SPD ADR<br>CUSIP 88031M109 | 196 00 | $8,359 40 | $6,569 43 | $1,789 97 | $168 56 | 0 09% |
| Tesco PLC Sponsored ADR<br>CUSIP. 881575302 | 892 00 | $18,348 44 | $16,680 40 | $1,668 04 | $497.74 | 0 20% |
| TEVA Pharmaceutical Inds ADR<br>CUSIP 881624209 | 269 00 | $15,112 42 | $13,925 05 | $1,187 37 | $129 66 | 0 16% |
| Thomson Reuters PLC<br>CUSIP 884903105 | 1,310 00 | $42,247 50 | $43,723 74 | -$1,476 24 | $1,467 20 | 0 45% |
| Tomkins PLC Sponsored ADR<br>CUSIP 890030208 | 1,957 00 | $24,442 93 | $22,012 34 | $2,430 59 | $360 09 | 0 26% |
| Total S A ADR<br>CUSIP 89151E109 | 292 00 | $18,699 68 | $16,728 21 | $1,971 47 | $811 18 | 0 20% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| Toyota Mtr Corp CUSIP. 892331307 | 165 00 | $13,886 40 | $13,270 16 | $616 24 | $180 51 | 0 15% |
| Transocean Inc Com Usd0 01 'register CUSIP H8817H100 | 925 00 | $76,590 00 | $78,459 80 | -$1,869 80 | $0.00 | 0 81% |
| Unilever PLC Spnsrd ADR New CUSIP 904767704 | 503 00 | $16,045 70 | $14,154 42 | $1,891 28 | $505 52 | 0 17% |
| Vivendi SA CUSIP 92852T102 | 707 00 | $21,033 25 | $21,316 05 | -$282 80 | $1,012 42 | 0 22% |
| Vodafone Group SPD ADR Rep 10 Ord SH CUSIP 92857W209 | 965 00 | $22,281 85 | $21,325 73 | $956 12 | $1,245 82 | 0 24% |
| Wal-Mart DE Mexico S.A.B DE C V CUSIP 93114W107 | 682 00 | $30,655 90 | $24,135 98 | $6,519.92 | $298 03 | 0 33% |
| WPP PLC CUSIP· 92933H101 | 1,276 00 | $62,077 40 | $54,031 00 | $8,046 40 | $1,598.83 | 0 66% |
| Yue Yuen Indl Hldgs Ltd CUSIP. 988415105 | 942 00 | $13,753 20 | $13,823 67 | -$70 47 | $505.85 | 0 15% |
| | | $2,225,864 03 | $2,087,824.42 | $138,039 61 | $51,059.88 | 23 70% |
| *Real Estate Investment Trusts* | | | | | | |
| Capstead Mtg Corp CUSIP· 14067E506 | 910 00 | $12,421 50 | $13,436 33 | -$1,014.83 | $1,892 80 | 0 13% |
| Health Care REIT Inc CUSIP· 42217K106 | 290 00 | $12,852 80 | $12,289 07 | $563 73 | $788 80 | 0 14% |
| LTC Pptys Inc Com CUSIP 502175102 | 675 00 | $18,056 25 | $16,550 33 | $1,505 92 | $1,053 00 | 0 19% |

## *Statement of Value and Activity*

*December 1, 2009 - December 31, 2009*

| Description | Shares/Par Value | Market Value | Tax Cost | Unrealized Gain/Loss | Estimated Annual Income | Percent of Market Value |
|---|---|---|---|---|---|---|
| OMEGA HealthCare Invs Inc CUSIP 681936100 | 1,020 00 | $19,839 00 | $16,757 99 | $3,081 01 | $1,224 00 | 0 21% |
| | | $63,169 55 | $59,033 72 | $4,135 83 | $4,958.60 | 0.67% |
| Total Common Equity Securities | | $6,767,877.09 | $6,368,915 76 | $398,961.33 | $138,456 83 | 71.99% |
| *Cash Equivalents* | | | | | | |
| *Invested Cash* | | | | | | |
| Citibank M.D A (L) CUSIP 9900CST72 | 97,844 75 | $97,844 75 | $97,844 75 | $0 00 | $244 61 | 1 04%(i) |
| Citibank M D.A (L) CUSIP 9900CST72 | 383,460 25 | $383,460 25 | $383,460 25 | $0 00 | $958 64 | 4 08% |
| Total Cash Equivalents | | $481,305.00 | $481,305.00 | $0.00 | $1,203.25 | 5.12% |
| **Total All Assets** | | **$9,398,918.28** | **$8,917,699.10** | **$481,219.18** | **$235,720.28** | **100:00%** |

***Your holdings are valued using the most current prices available to us. For Alternative Investments, unrealized gain/ loss and original tax cost (excluding acquisition costs) are shown for information purposes only and do not reflect any adjustments necessitated by annual K-1 forms. Consult your tax advisor regarding use of this information. Estimated income for Alternative Investments and certain non-publicly traded Partnerships cannot be accurately forecasted and is therefore not listed.***