



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490





Form **990-PF**

Department of the Treasury  
Internal Revenue Service

**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

**2009**

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year **2009**, or tax year beginning , **2009**, and ending , **20**

G Check all that apply:  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

ENVELOPE POSTMARK DATE MAY 05 2010

|   |  |            |   |
|---|--|------------|---|
| Use the IRS label. Otherwise, print or type. See Specific Instructions. | Name of foundation<br><b>FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN CO-TTEE</b> |            | A Employer identification number<br><b>22-6703253</b> |
|   | Number and street (or P O box number if mail is not delivered to street address) | Room/suite | B Telephone number (see page 10 of the instructions)  |
|   | <b>101 JFK PARKWAY</b>   |            | ( ) -   |
|   | City or town, state, and ZIP code<br><b>SHORT HILLS, NJ 07078-2708</b>           |            |   |

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ **9,532,621.**

J Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
 (Part I, column (d) must be on cash basis.)

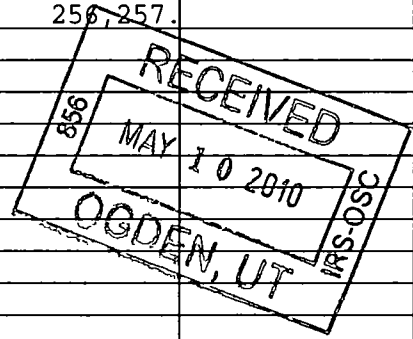
E If private foundation status was terminated under section 507(b)(1)(A), check here .

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

|  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|------------------------------------|---------------------------|-------------------------|---|
|--|------------------------------------|---------------------------|-------------------------|---|

|  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|------------------------------------|---------------------------|-------------------------|---|
| <b>1</b> Contributions, gifts, grants, etc., received (attach schedule)  |                                    |                           |                         |   |
| <b>2</b> Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B. . . . . |                                    |                           |                         |   |
| <b>3</b> Interest on savings and temporary cash investments  |                                    |                           |                         |   |
| <b>4</b> Dividends and interest from securities . . . . .  | 257,705.                           | 256,257.                  |                         | STMT 1  |
| <b>5a</b> Gross rents . . . . .  |                                    |                           |                         |   |
| <b>b</b> Net rental income or (loss)   |                                    |                           |                         |   |
| <b>6a</b> Net gain or (loss) from sale of assets not on line 10  | -438,733.                          |                           |                         |   |
| <b>b</b> Gross sales price for all assets on line 6a <b>3,509,027.</b>   |                                    |                           |                         |   |
| <b>7</b> Capital gain net income (from Part IV, line 2) . . . . .  |                                    |                           |                         |   |
| <b>8</b> Net short-term capital gain . . . . .   |                                    |                           |                         |   |
| <b>9</b> Income modifications . . . . .  |                                    |                           |                         |   |
| <b>10 a</b> Gross sales less returns and allowances . . . . .  |                                    |                           |                         |   |
| <b>b</b> Less Cost of goods sold . . . . .   |                                    |                           |                         |   |
| <b>c</b> Gross profit or (loss) (attach schedule) . . . . .  |                                    |                           |                         |   |
| <b>11</b> Other income (attach schedule) . . . . .   | 5,974.                             | 125.                      |                         | STMT 5  |
| <b>12 Total.</b> Add lines 1 through 11 . . . . .  | -175,054.                          | 256,382.                  |                         |   |
| <b>13</b> Compensation of officers, directors, trustees, etc. . . . .  | 49,601.                            | 29,760.                   |                         | 19,840.   |
| <b>14</b> Other employee salaries and wages . . . . .  |                                    |                           |                         |   |
| <b>15</b> Pension plans, employee benefits   |                                    |                           |                         |   |
| <b>16a</b> Legal fees (attach schedule) STMT 6 . . . . .   | 297.                               | NONE                      | NONE                    | 297.  |
| <b>b</b> Accounting fees (attach schedule) STMT 7 . . . . .  | 1,010.                             | 60.                       | NONE                    | 950.  |
| <b>c</b> Other professional fees (attach schedule) . . . . .   |                                    |                           |                         |   |
| <b>17</b> Interest . . . . .   |                                    |                           |                         |   |
| <b>18</b> Taxes (attach schedule) (see page 14 of the instructions) STMT 8 . . . . .                           | 1,276.                             | 1,276.                    |                         |   |
| <b>19</b> Depreciation (attach schedule) and depletion . . . . .   |                                    |                           |                         |   |
| <b>20</b> Occupancy . . . . .  | 4,740.                             |                           |                         | 4,740.  |
| <b>21</b> Travel, conferences, and meetings . . . . .  |                                    |                           |                         |   |
| <b>22</b> Printing and publications . . . . .  |                                    |                           |                         |   |
| <b>23</b> Other expenses (attach schedule) STMT 9 . . . . .  | 30,509.                            | 731.                      |                         | 30,509.   |
| <b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .                       | 87,433.                            | 31,827.                   | NONE                    | 56,336.   |
| <b>25</b> Contributions, gifts, grants paid . . . . .  | 418,500.                           |                           |                         | 418,500.  |
| <b>26 Total expenses and disbursements</b> Add lines 24 and 25 . . . . .                                       | 505,933.                           | 31,827.                   | NONE                    | 474,836.  |
| <b>27 Subtract</b> line 26 from line 12.   |                                    |                           |                         |   |
| <b>a</b> Excess of revenue over expenses and disbursements . . . . .   | -680,987.                          |                           |                         |   |
| <b>b</b> Net investment income (if negative, enter -0-) . . . . .  |                                    | 224,555.                  |                         |   |
| <b>c</b> Adjusted net income (if negative, enter -0-) . . . . .  |                                    |                           |                         |   |



SCANNED MAY 12 2010

P 10



| Part II Balance Sheets   |  | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) |   |            |
|--|--|--|---|------------|
|  |  | Beginning of year<br>(a) Book Value  | End of year<br>(b) Book Value (c) Fair Market Value |            |
| Assets   | 1 Cash - non-interest-bearing  | NONE   | NONE  |            |
|  | 2 Savings and temporary cash investments   | 633,083.   | 432,832.  | 432,832.   |
|  | 3 Accounts receivable  |  |   |            |
|  | Less allowance for doubtful accounts   |  |   |            |
|  | 4 Pledges receivable   |  |   |            |
|  | Less allowance for doubtful accounts   |  |   |            |
|  | 5 Grants receivable  |  |   |            |
|  | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions) |  |   |            |
|  | 7 Other notes and loans receivable (attach schedule) *   |  | *   | NONE       |
|  | Less allowance for doubtful accounts   | NONE   | NONE  | NONE       |
|  | 8 Inventories for sale or use  |  |   |            |
|  | 9 Prepaid expenses and deferred charges  |  |   |            |
|  | 10 a Investments - U S and state government obligations (attach schedule)  | 697,396.   | 297,561.  | 325,218.   |
|  | b Investments - corporate stock (attach schedule)  | 7,596,574.   | 7,416,717.  | 8,226,207. |
|  | c Investments - corporate bonds (attach schedule)  | 410,974.   | 410,974.  | 449,346.   |
|  | 11 Investments - land, buildings, and equipment basis  | NONE   |   |            |
| Less accumulated depreciation (attach schedule)  | NONE   | NONE   |   |            |
| 12 Investments - mortgage loans  | NONE   | NONE   |   |            |
| 13 Investments - other (attach schedule)   | NONE   | 95,619.  | 99,018.   |            |
| 14 Land, buildings, and equipment basis  |  |  |   |            |
| Less accumulated depreciation (attach schedule)  |  |  |   |            |
| 15 Other assets (describe )  | NONE   | NONE   | NONE  |            |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | 9,338,027.   | 8,653,703.   | 9,532,621.  |            |
| Liabilities  | 17 Accounts payable and accrued expenses   |  |   |            |
|  | 18 Grants payable  |  |   |            |
|  | 19 Deferred revenue  |  |   |            |
|  | 20 Loans from officers, directors, trustees, and other disqualified persons  |  |   |            |
|  | 21 Mortgages and other notes payable (attach schedule)   |  |   |            |
|  | 22 Other liabilities (describe )   |  |   |            |
| 23 Total liabilities (add lines 17 through 22)   |  |  |   |            |
| Net Assets or Fund Balances  | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>              |  |   |            |
|  | 24 Unrestricted  |  |   |            |
|  | 25 Temporarily restricted  |  |   |            |
|  | 26 Permanently restricted  |  |   |            |
|  | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>                |  |   |            |
|  | 27 Capital stock, trust principal, or current funds  | 9,338,027.   | 8,653,703.  |            |
|  | 28 Paid-in or capital surplus, or land, bldg, and equipment fund   |  |   |            |
| 29 Retained earnings, accumulated income, endowment, or other funds                              | NONE   | NONE   |   |            |
| 30 Total net assets or fund balances (see page 17 of the instructions)                           | 9,338,027.   | 8,653,703.   |   |            |
| 31 Total liabilities and net assets/fund balances (see page 17 of the instructions)              | 9,338,027.   | 8,653,703.   |   |            |

| Part III Analysis of Changes in Net Assets or Fund Balances  |   |            |
|--|---|------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 9,338,027. |
| 2 Enter amount from Part I, line 27a   | 2 | -680,987.  |
| 3 Other increases not included in line 2 (itemize) SEE STATEMENT 10  | 3 | 4,233.     |
| 4 Add lines 1, 2, and 3  | 4 | 8,661,273. |
| 5 Decreases not included in line 2 (itemize) SEE STATEMENT 11  | 5 | 7,570.     |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30  | 6 | 8,653,703. |



**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)               |  |   |  | (b) How acquired<br>P-Purchase<br>D-Donation   | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|---|--|---|--|--|--------------------------------------|----------------------------------|
| <b>1a</b> SEE PART IV DETAIL  |  |   |  |  |                                      |                                  |
| <b>b</b>  |  |   |  |  |                                      |                                  |
| <b>c</b>  |  |   |  |  |                                      |                                  |
| <b>d</b>  |  |   |  |  |                                      |                                  |
| <b>e</b>  |  |   |  |  |                                      |                                  |
| (e) Gross sales price   | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |  |                                      |                                  |
| <b>a</b>  |  |   |  |  |                                      |                                  |
| <b>b</b>  |  |   |  |  |                                      |                                  |
| <b>c</b>  |  |   |  |  |                                      |                                  |
| <b>d</b>  |  |   |  |  |                                      |                                  |
| <b>e</b>  |  |   |  |  |                                      |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69   |  |   |  | (i) Gains (Col (h) gain minus col (k), but not less than -0- or Losses (from col. (h)) |                                      |                                  |
| (i) F.M.V. as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col (i)<br>over col. (j), if any  |  |  |                                      |                                  |
| <b>a</b>  |  |   |  |  |                                      |                                  |
| <b>b</b>  |  |   |  |  |                                      |                                  |
| <b>c</b>  |  |   |  |  |                                      |                                  |
| <b>d</b>  |  |   |  |  |                                      |                                  |
| <b>e</b>  |  |   |  |  |                                      |                                  |
| <b>2</b> Capital gain net income or (net capital loss) . . . . .  |  |   |  | <b>2</b>   | -438,733.                            |                                  |
| { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }   |  |   |  |  |                                      |                                  |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  |  |   |  | <b>3</b>   |                                      |                                  |
| If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).<br>If (loss), enter -0- in Part I, line 8. . . . . |  |   |  |  |                                      |                                  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . .  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)  | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
|---|--|--|---|
| 2008  | 436,273.                                 | 10,146,383.                                  | 0.04299788407   |
| 2007  | 544,874.                                 | 11,577,821.                                  | 0.04706187805   |
| 2006  | 568,691.                                 | 10,880,633.                                  | 0.05226635252   |
| 2005  | 472,651.                                 | 10,150,379.                                  | 0.04656486226   |
| 2004  | 485,440.                                 | 9,678,516.                                   | 0.05015644960   |
| <b>2</b> Total of line 1, column (d) . . . . .  |  |  | <b>2</b> 0.23904742650                                    |
| <b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . . |  |  | <b>3</b> 0.04780948530                                    |
| <b>4</b> Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 . . . . .   |  |  | <b>4</b> 8,536,906.                                       |
| <b>5</b> Multiply line 4 by line 3 . . . . .  |  |  | <b>5</b> 408,145.   |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .   |  |  | <b>6</b> 2,246.   |
| <b>7</b> Add lines 5 and 6 . . . . .  |  |  | <b>7</b> 410,391.   |
| <b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . .   |  |  | <b>8</b> 474,836.   |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.



Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

Table with 11 rows and 2 columns. Row 1: Exempt operating foundations... 2,246. Row 2: Tax under section 511... 2,246. Row 3: Add lines 1 and 2... NONE. Row 4: Subtitle A (income) tax... 2,246. Row 5: Tax based on investment income... 5,420. Row 6: Credits/Payments... 5,420. Row 7: Total credits and payments... 3,174. Row 8: Enter the amount of line 10 to be: Credited to 2010 estimated tax... 926.

Part VII-A Statements Regarding Activities

Table with 10 rows and 3 columns (Yes, No). Row 1a: During the tax year, did the foundation attempt to influence any national, state, or local legislation... X. Row 2: Has the foundation engaged in any activities that have not previously been reported to the IRS? X. Row 3: Has the foundation made any changes, not previously reported to the IRS... X. Row 4a: Did the foundation have unrelated business gross income of \$1,000 or more during the year? X. Row 6: Are the requirements of section 508(e) satisfied either... X. Row 7: Did the foundation have at least \$5,000 in assets at any time during the year? X. Row 8b: If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General... X. Row 9: Is the foundation claiming status as a private operating foundation... X. Row 10: Did any persons become substantial contributors during the tax year? X.



Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) . . . . .  11  X

12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .  12  X

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .  13  X

Website address ▶ N/A

14 The books are in care of ▶ PRIVATE BANK, TAX SERVICES Telephone no ▶ (401) 278-6880  
 Located at ▶ P O BOX 1802, PROVIDENCE, RI ZIP + 4 ▶ 02901-1802

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . .  15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|   | Yes | No |
|---|-----|----|
| 1a During the year did the foundation (either directly or indirectly)   |     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  |     |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . . . <input type="checkbox"/> 1b <input checked="" type="checkbox"/> X   |     |    |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? . . . . . <input type="checkbox"/> 1c <input checked="" type="checkbox"/> X   |     |    |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  |     |    |
| a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years ▶   |     |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions.) . . . . . <input type="checkbox"/> 2b  |     |    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>▶   |     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |    |
| b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) . . . . . <input type="checkbox"/> 3b |     |    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . . <input type="checkbox"/> 4a <input checked="" type="checkbox"/> X  |     |    |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? . . . . . <input type="checkbox"/> 4b <input checked="" type="checkbox"/> X   |     |    |



Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?  Yes  No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 14     |   | 49,601.                                   | -0-   | -0-                                   |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| SEE STATEMENT 15  |   | NONE             | NONE  | NONE                                  |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |

Total number of other employees paid over \$50,000  NONE



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| SEE STATEMENT 16  |                     | NONE             |
| -----   |                     |                  |
| -----   |                     |                  |
| -----   |                     |                  |
| -----   |                     |                  |

Total number of others receiving over \$50,000 for professional services . . . . . **NONE**

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|----------|
| 1 NONE  |          |
| 2   |          |
| 3   |          |
| 4   |          |

**Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 NONE   |        |
| 2  |        |
| All other program-related investments See page 24 of the instructions  |        |
| 3 NONE   |        |
| <b>Total. Add lines 1 through 3 . . . . .</b>  |        |



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

|          |  |           |            |
|----------|--|-----------|------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:              |           |            |
| <b>a</b> | Average monthly fair market value of securities  | <b>1a</b> | 8,143,185. |
| <b>b</b> | Average of monthly cash balances   | <b>1b</b> | 523,725.   |
| <b>c</b> | Fair market value of all other assets (see page 24 of the instructions)  | <b>1c</b> | NONE       |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)  | <b>1d</b> | 8,666,910. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)                | <b>1e</b> |            |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets   | <b>2</b>  | NONE       |
| <b>3</b> | Subtract line 2 from line 1d   | <b>3</b>  | 8,666,910. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions) | <b>4</b>  | 130,004.   |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4              | <b>5</b>  | 8,536,906. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5   | <b>6</b>  | 426,845.   |

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

|           |   |           |          |
|-----------|---|-----------|----------|
| <b>1</b>  | Minimum investment return from Part X, line 6   | <b>1</b>  | 426,845. |
| <b>2a</b> | Tax on investment income for 2009 from Part VI, line 5  | <b>2a</b> | 2,246.   |
| <b>b</b>  | Income tax for 2009. (This does not include the tax from Part VI.)  | <b>2b</b> |          |
| <b>c</b>  | Add lines 2a and 2b   | <b>2c</b> | 2,246.   |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>  | 424,599. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions   | <b>4</b>  | NONE     |
| <b>5</b>  | Add lines 3 and 4   | <b>5</b>  | 424,599. |
| <b>6</b>  | Deduction from distributable amount (see page 25 of the instructions)                                     | <b>6</b>  | NONE     |
| <b>7</b>  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 424,599. |

**Part XII Qualifying Distributions** (see page 25 of the instructions)

|          |   |           |          |
|----------|---|-----------|----------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:  |           |          |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26   | <b>1a</b> | 474,836. |
| <b>b</b> | Program-related investments - total from Part IX-B  | <b>1b</b> |          |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes   | <b>2</b>  | NONE     |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:  |           |          |
| <b>a</b> | Suitability test (prior IRS approval required)  | <b>3a</b> | NONE     |
| <b>b</b> | Cash distribution test (attach the required schedule)   | <b>3b</b> | NONE     |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4   | <b>4</b>  | 474,836. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | <b>5</b>  | 2,246.   |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4   | <b>6</b>  | 472,590. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

|   | (a)<br>Corpus | (b)<br>Years prior to 2008 | (c)<br>2008 | (d)<br>2009 |
|---|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2009 from Part XI, line 7 . . . . .   |               |                            |             | 424,599.    |
| <b>2</b> Undistributed income, if any, as of the end of 2009  |               |                            |             |             |
| <b>a</b> Enter amount for 2008 only . . . . .   |               |                            | 3,769.      |             |
| <b>b</b> Total for prior years 20 <u>07</u> , 20 <u>  </u> , 20 <u>  </u>   |               | NONE                       |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2009.   |               |                            |             |             |
| <b>a</b> From 2004 . . . . .  | NONE          |                            |             |             |
| <b>b</b> From 2005 . . . . .  | NONE          |                            |             |             |
| <b>c</b> From 2006 . . . . .  | NONE          |                            |             |             |
| <b>d</b> From 2007 . . . . .  | NONE          |                            |             |             |
| <b>e</b> From 2008 . . . . .  | NONE          |                            |             |             |
| <b>f</b> Total of lines 3a through e . . . . .  | NONE          |                            |             |             |
| <b>4</b> Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ <u>474,836.</u>   |               |                            |             |             |
| <b>a</b> Applied to 2008, but not more than line 2a . . . . .   |               |                            | 3,769.      |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .   |               | NONE                       |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .   | NONE          |                            |             |             |
| <b>d</b> Applied to 2009 distributable amount . . . . .   |               |                            |             | 424,599.    |
| <b>e</b> Remaining amount distributed out of corpus . . . . .   | 46,468.       |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2009 . . . . .<br>(If an amount appears in column (d), the same amount must be shown in column (a) )                                     | NONE          |                            |             | NONE        |
| <b>6</b> Enter the net total of each column as indicated below:   |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e. Subtract line 5   | 46,468.       |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .  |               | NONE                       |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               | NONE                       |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions . . . . .  |               | NONE                       |             |             |
| <b>e</b> Undistributed income for 2008 Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions . . . . .  |               |                            |             |             |
| <b>f</b> Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010. . . . .   |               |                            |             | NONE        |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .                   | NONE          |                            |             |             |
| <b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .   | NONE          |                            |             |             |
| <b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a . . . . .  | 46,468.       |                            |             |             |
| <b>10</b> Analysis of line 9:   |               |                            |             |             |
| <b>a</b> Excess from 2005 . . . . .   | NONE          |                            |             |             |
| <b>b</b> Excess from 2006 . . . . .   | NONE          |                            |             |             |
| <b>c</b> Excess from 2007 . . . . .   | NONE          |                            |             |             |
| <b>d</b> Excess from 2008 . . . . .   | NONE          |                            |             |             |
| <b>e</b> Excess from 2009 . . . . .   | 46,468.       |                            |             |             |



Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

Empty box for date of ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with columns for Tax year (2009, 2008, 2007, 2006) and (e) Total. Rows include 2a (Adjusted net income), 2b (85% of line 2a), 2c (Qualifying distributions), 2d (Amounts included), 2e (Qualifying distributions made directly), 3 (Alternative tests: Assets, Endowment, Support).

Part XV Supplemental Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 17

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A



**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| <b>Recipient</b><br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount               |
|---|--|--------------------------------------|-------------------------------------|----------------------|
| <b>a Paid during the year</b><br><br>SEE STATEMENT 18   |  |                                      |                                     |                      |
| <b>Total . . . . .</b>                                  |  |                                      |                                     | <b>▶ 3a</b> 418,500. |
| <b>b Approved for future payment</b>                    |  |                                      |                                     |                      |
| <b>Total . . . . .</b>                                  |  |                                      |                                     | <b>▶ 3b</b>          |



Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash (2) Other assets b Other transactions (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee

Date 04/28/2010

Title SVP Tax

Sign Here section containing Preparer's signature, Date, Check if self-employed, Preparer's identifying number, Firm's name, address, and ZIP code, EIN, and Phone no.



**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description  |                          |                                 |                                     | P<br>or<br>D | Date<br>acquired     | Date sold  |
|--|---------------------------------------|--|--------------------------|---------------------------------|-------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis  | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj. basis |              | Gain<br>or<br>(loss) |            |
|  |                                       | TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS |                          |                                 |                                     |              | -1,686.              |            |
|  |                                       | TOTAL SHORT-TERM GAIN FROM FORM 6781   |                          |                                 |                                     |              | 685.                 |            |
|  |                                       | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS   |                          |                                 |                                     |              | 7,434.               |            |
|  |                                       | TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS  |                          |                                 |                                     |              | -8,542.              |            |
|  |                                       | TOTAL LONG-TERM GAIN FROM FORM 6781  |                          |                                 |                                     |              | 1,027.               |            |
|  |                                       | .536 WELLS FARGO COMPANY<br>PROPERTY TYPE: SECURITIES  |                          |                                 |                                     |              | 09/30/2003           | 01/21/2009 |
| 11.00  |                                       | 112.00   |                          |                                 |                                     | -101.00      |                      |            |
|  |                                       | 550. GENENTECH INC<br>PROPERTY TYPE: SECURITIES  |                          |                                 |                                     |              | 01/25/2007           | 03/26/2009 |
| 52,250.00                                    |                                       | 48,281.00  |                          |                                 |                                     | 3,969.00     |                      |            |
|  |                                       | 170. GENENTECH INC<br>PROPERTY TYPE: SECURITIES  |                          |                                 |                                     |              | 05/07/2007           | 03/26/2009 |
| 16,150.00                                    |                                       | 13,834.00  |                          |                                 |                                     | 2,316.00     |                      |            |
|  |                                       | 400000. FEDERAL NATL MTG ASSN NT<br>PROPERTY TYPE: SECURITIES  |                          |                                 |                                     |              | 04/26/2007           | 04/15/2009 |
| 400,000.00                                   |                                       | 399,835.00   |                          |                                 |                                     | 165.00       |                      |            |
|  |                                       | 355. ABBOTT LABORATORIES<br>PROPERTY TYPE: SECURITIES  |                          |                                 |                                     |              | 05/07/2007           | 06/18/2009 |
| 16,946.00                                    |                                       | 20,905.00  |                          |                                 |                                     | -3,959.00    |                      |            |
|  |                                       | 120. AGILENT TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES   |                          |                                 |                                     |              | 05/07/2007           | 06/18/2009 |
| 2,255.00                                     |                                       | 4,476.00   |                          |                                 |                                     | -2,221.00    |                      |            |
|  |                                       | 15. AGILENT TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES  |                          |                                 |                                     |              | 01/25/2007           | 06/18/2009 |
| 282.00                                       |                                       | 484.00   |                          |                                 |                                     | -202.00      |                      |            |



**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description   |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|--|---------------------------------------|---|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis   | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 23,493.00                                    |                                       | 1250. AGILENT TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES<br>37,064.00        |                          |                                |                                    |              | 07/05/2006           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -13,571.00           |            |
| 376.00                                       |                                       | 20. AGILENT TECHNOLOGIES INC<br>PROPERTY TYPE: SECURITIES<br>581.00             |                          |                                |                                    |              | 09/06/2006           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -205.00              |            |
| 4,333.00                                     |                                       | 50. ALLIANT TECHSYSTEMS INCORPORATED<br>PROPERTY TYPE: SECURITIES<br>5,853.00   |                          |                                |                                    |              | 12/11/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -1,520.00            |            |
| 26,000.00                                    |                                       | 300. ALLIANT TECHSYSTEMS INCORPORATED<br>PROPERTY TYPE: SECURITIES<br>33,974.00 |                          |                                |                                    |              | 11/20/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -7,974.00            |            |
| 5,349.00                                     |                                       | 65. AMAZON COM INC<br>PROPERTY TYPE: SECURITIES<br>6,055.00                     |                          |                                |                                    |              | 12/11/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -706.00              |            |
| 27,154.00                                    |                                       | 330. AMAZON COM INC<br>PROPERTY TYPE: SECURITIES<br>26,887.00                   |                          |                                |                                    |              | 11/20/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | 267.00               |            |
| 22,094.00                                    |                                       | 600. AON CORP<br>PROPERTY TYPE: SECURITIES<br>29,579.00                         |                          |                                |                                    |              | 12/11/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -7,485.00            |            |
| 2,332.00                                     |                                       | 100. ASSURANT INC<br>PROPERTY TYPE: SECURITIES<br>6,584.00                      |                          |                                |                                    |              | 12/11/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -4,252.00            |            |
| 16,327.00                                    |                                       | 700. ASSURANT INC<br>PROPERTY TYPE: SECURITIES<br>45,906.00                     |                          |                                |                                    |              | 11/20/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -29,579.00           |            |
| 6,546.00                                     |                                       | 20. CME GROUP INC COM<br>PROPERTY TYPE: SECURITIES<br>14,060.00                 |                          |                                |                                    |              | 12/11/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -7,514.00            |            |
| 24,547.00                                    |                                       | 75. CME GROUP INC COM<br>PROPERTY TYPE: SECURITIES<br>49,004.00                 |                          |                                |                                    |              | 11/20/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -24,457.00           |            |



**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description  |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|--|---------------------------------------|--|--------------------------|---------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis  | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 31,280.00                                    |                                       | 1645. CISCO SYSTEMS INCORPORATED<br>PROPERTY TYPE: SECURITIES<br>32,452.00 |                          |                                 |                                    |              | 07/05/2006           | 06/18/2009 |
|  |                                       |  |                          |                                 |                                    |              | -1,172.00            |            |
| 44,971.00                                    |                                       | 2365. CISCO SYSTEMS INCORPORATED<br>PROPERTY TYPE: SECURITIES<br>16,555.00 |                          |                                 |                                    |              | 06/11/1997           | 06/18/2009 |
|  |                                       |  |                          |                                 |                                    |              | 28,416.00            |            |
| 7,434.00                                     |                                       | 290. COACH INC COM<br>PROPERTY TYPE: SECURITIES<br>13,967.00               |                          |                                 |                                    |              | 05/07/2007           | 06/18/2009 |
|  |                                       |  |                          |                                 |                                    |              | -6,533.00            |            |
| 35,888.00                                    |                                       | 1400. COACH INC COM<br>PROPERTY TYPE: SECURITIES<br>61,128.00              |                          |                                 |                                    |              | 01/25/2007           | 06/18/2009 |
|  |                                       |  |                          |                                 |                                    |              | -25,240.00           |            |
| 3,076.00                                     |                                       | 120. COACH INC COM<br>PROPERTY TYPE: SECURITIES<br>4,495.00                |                          |                                 |                                    |              | 12/11/2007           | 06/18/2009 |
|  |                                       |  |                          |                                 |                                    |              | -1,419.00            |            |
| 41,524.00                                    |                                       | 840. COCA COLA CO COM<br>PROPERTY TYPE: SECURITIES<br>35,893.00            |                          |                                 |                                    |              | 12/05/2005           | 06/18/2009 |
|  |                                       |  |                          |                                 |                                    |              | 5,631.00             |            |
| 4,696.00                                     |                                       | 95. COCA COLA CO COM<br>PROPERTY TYPE: SECURITIES<br>4,001.00              |                          |                                 |                                    |              | 03/30/2006           | 06/18/2009 |
|  |                                       |  |                          |                                 |                                    |              | 695.00               |            |
| 9,567.00                                     |                                       | 135. COLGATE PALMOLIVE CO<br>PROPERTY TYPE: SECURITIES<br>8,028.00         |                          |                                 |                                    |              | 07/05/2006           | 06/18/2009 |
|  |                                       |  |                          |                                 |                                    |              | 1,539.00             |            |
| 67,679.00                                    |                                       | 955. COLGATE PALMOLIVE CO<br>PROPERTY TYPE: SECURITIES<br>55,530.00        |                          |                                 |                                    |              | 03/30/2006           | 06/18/2009 |
|  |                                       |  |                          |                                 |                                    |              | 12,149.00            |            |
| 35,434.00                                    |                                       | 500. COLGATE PALMOLIVE CO<br>PROPERTY TYPE: SECURITIES<br>27,495.00        |                          |                                 |                                    |              | 12/05/2005           | 06/18/2009 |
|  |                                       |  |                          |                                 |                                    |              | 7,939.00             |            |
| 7,087.00                                     |                                       | 100. COLGATE PALMOLIVE CO<br>PROPERTY TYPE: SECURITIES<br>5,482.00         |                          |                                 |                                    |              | 02/24/2006           | 06/18/2009 |
|  |                                       |  |                          |                                 |                                    |              | 1,605.00             |            |



**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description   |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|--|---------------------------------------|---|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis   | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 2,973.00                                     |                                       | 70. CONOCOPHILLIPS COM<br>PROPERTY TYPE: SECURITIES<br>5,488.00             |                          |                                |                                    |              | 11/20/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -2,515.00            |            |
| 20,600.00                                    |                                       | 485. CONOCOPHILLIPS COM<br>PROPERTY TYPE: SECURITIES<br>30,555.00           |                          |                                |                                    |              | 12/05/2005           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -9,955.00            |            |
| 1,487.00                                     |                                       | 35. CONOCOPHILLIPS COM<br>PROPERTY TYPE: SECURITIES<br>2,176.00             |                          |                                |                                    |              | 09/06/2006           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -689.00              |            |
| 42,474.00                                    |                                       | 1000. CONOCOPHILLIPS COM<br>PROPERTY TYPE: SECURITIES<br>54,854.00          |                          |                                |                                    |              | 06/06/2005           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -12,380.00           |            |
| 1,814.00                                     |                                       | 100. COVENTRY HEALTH CARE INC COM<br>PROPERTY TYPE: SECURITIES<br>5,947.00  |                          |                                |                                    |              | 12/11/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -4,133.00            |            |
| 6,532.00                                     |                                       | 360. COVENTRY HEALTH CARE INC COM<br>PROPERTY TYPE: SECURITIES<br>21,340.00 |                          |                                |                                    |              | 05/07/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -14,808.00           |            |
| 3,810.00                                     |                                       | 210. COVENTRY HEALTH CARE INC COM<br>PROPERTY TYPE: SECURITIES<br>12,280.00 |                          |                                |                                    |              | 11/20/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -8,470.00            |            |
| 6,532.00                                     |                                       | 360. COVENTRY HEALTH CARE INC COM<br>PROPERTY TYPE: SECURITIES<br>18,061.00 |                          |                                |                                    |              | 01/25/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -11,529.00           |            |
| 2,840.00                                     |                                       | 120. DISNEY WALT CO<br>PROPERTY TYPE: SECURITIES<br>4,288.00                |                          |                                |                                    |              | 05/07/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -1,448.00            |            |
| 18,103.00                                    |                                       | 765. DISNEY WALT CO<br>PROPERTY TYPE: SECURITIES<br>26,465.00               |                          |                                |                                    |              | 01/25/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -8,362.00            |            |
| 1,775.00                                     |                                       | 75. DISNEY WALT CO<br>PROPERTY TYPE: SECURITIES<br>2,417.00                 |                          |                                |                                    |              | 12/11/2007           | 06/18/2009 |
|  |                                       |   |                          |                                |                                    |              | -642.00              |            |



**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description  |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|--|---------------------------------------|--|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis  | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 3,786.00                                     |                                       | 160. DISNEY WALT CO<br>PROPERTY TYPE: SECURITIES<br>5,067.00                     |                          |                                |                                    |              | 11/20/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -1,281.00            |            |
| 15,233.00                                    |                                       | 190. DUN & BRADSTREET CORP DEL NEW COM<br>PROPERTY TYPE: SECURITIES<br>18,034.00 |                          |                                |                                    |              | 05/07/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -2,801.00            |            |
| 8,162.00                                     |                                       | 105. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES<br>12,310.00              |                          |                                |                                    |              | 05/07/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -4,148.00            |            |
| 21,765.00                                    |                                       | 280. ENTERGY CORP NEW COM<br>PROPERTY TYPE: SECURITIES<br>25,425.00              |                          |                                |                                    |              | 01/25/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -3,660.00            |            |
| 6,608.00                                     |                                       | 100. EXPRESS SCRIPTS INC CL A<br>PROPERTY TYPE: SECURITIES<br>7,239.00           |                          |                                |                                    |              | 12/11/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -631.00              |            |
| 25,442.00                                    |                                       | 385. EXPRESS SCRIPTS INC CL A<br>PROPERTY TYPE: SECURITIES<br>25,586.00          |                          |                                |                                    |              | 11/20/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -144.00              |            |
| 17,493.00                                    |                                       | 245. EXXON MOBIL CORPORATION<br>PROPERTY TYPE: SECURITIES<br>15,067.00           |                          |                                |                                    |              | 03/30/2006           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | 2,426.00             |            |
| 17,850.00                                    |                                       | 250. EXXON MOBIL CORPORATION<br>PROPERTY TYPE: SECURITIES<br>15,089.00           |                          |                                |                                    |              | 02/24/2006           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | 2,761.00             |            |
| 35,700.00                                    |                                       | 500. EXXON MOBIL CORPORATION<br>PROPERTY TYPE: SECURITIES<br>29,700.00           |                          |                                |                                    |              | 12/05/2005           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | 6,000.00             |            |
| 43,910.00                                    |                                       | 615. EXXON MOBIL CORPORATION<br>PROPERTY TYPE: SECURITIES<br>28,210.00           |                          |                                |                                    |              | 08/09/2004           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | 15,700.00            |            |
| 68,543.00                                    |                                       | 960. EXXON MOBIL CORPORATION<br>PROPERTY TYPE: SECURITIES<br>35,347.00           |                          |                                |                                    |              | 09/30/2003           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | 33,196.00            |            |



**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description  |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|--|---------------------------------------|--|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis  | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 3,879.00                                     |                                       | 325. GENERAL ELEC CO<br>PROPERTY TYPE: SECURITIES<br>12,362.00                 |                          |                                |                                    |              | 11/20/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -8,483.00            |            |
| 1,503.00                                     |                                       | 255. GENWORTH FINANCIAL INC CL A COM<br>PROPERTY TYPE: SECURITIES<br>8,843.00  |                          |                                |                                    |              | 07/05/2006           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -7,340.00            |            |
| 2,387.00                                     |                                       | 405. GENWORTH FINANCIAL INC CL A COM<br>PROPERTY TYPE: SECURITIES<br>13,631.00 |                          |                                |                                    |              | 03/30/2006           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -11,244.00           |            |
| 1,916.00                                     |                                       | 325. GENWORTH FINANCIAL INC CL A COM<br>PROPERTY TYPE: SECURITIES<br>10,646.00 |                          |                                |                                    |              | 02/24/2006           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -8,730.00            |            |
| 1,356.00                                     |                                       | 230. GENWORTH FINANCIAL INC CL A COM<br>PROPERTY TYPE: SECURITIES<br>6,583.00  |                          |                                |                                    |              | 06/06/2005           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -5,227.00            |            |
| 2,063.00                                     |                                       | 350. GENWORTH FINANCIAL INC CL A COM<br>PROPERTY TYPE: SECURITIES<br>8,711.00  |                          |                                |                                    |              | 11/20/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -6,648.00            |            |
| 29,820.00                                    |                                       | 820. GLAXO PLC<br>PROPERTY TYPE: SECURITIES<br>41,861.00                       |                          |                                |                                    |              | 12/05/2005           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -12,041.00           |            |
| 2,569.00                                     |                                       | 50. GOODRICH B F CO<br>PROPERTY TYPE: SECURITIES<br>3,779.00                   |                          |                                |                                    |              | 12/11/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -1,210.00            |            |
| 25,692.00                                    |                                       | 500. GOODRICH B F CO<br>PROPERTY TYPE: SECURITIES<br>35,519.00                 |                          |                                |                                    |              | 11/20/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -9,827.00            |            |
| 15,523.00                                    |                                       | 415. HEWLETT PACKARD COMPANY<br>PROPERTY TYPE: SECURITIES<br>18,222.00         |                          |                                |                                    |              | 05/07/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -2,699.00            |            |
| 561.00                                       |                                       | 15. HEWLETT PACKARD COMPANY<br>PROPERTY TYPE: SECURITIES<br>633.00             |                          |                                |                                    |              | 01/25/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -72.00               |            |



**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description               |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|--|---------------------------------------|---------------------------|--------------------------|---------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 187.00                                       |                                       | 180.00                    |                          |                                 |                                    |              | 09/06/2006           | 06/18/2009 |
|  |                                       |                           |                          |                                 |                                    |              |                      | 7.00       |
| 43,809.00                                    |                                       | 34,074.00                 |                          |                                 |                                    |              | 03/30/2006           | 06/18/2009 |
|  |                                       |                           |                          |                                 |                                    |              |                      | 9,735.00   |
| 17,780.00                                    |                                       | 27,682.00                 |                          |                                 |                                    |              | 05/07/2007           | 06/18/2009 |
|  |                                       |                           |                          |                                 |                                    |              |                      | -9,902.00  |
| 15,181.00                                    |                                       | 15,550.00                 |                          |                                 |                                    |              | 10/04/2005           | 06/18/2009 |
|  |                                       |                           |                          |                                 |                                    |              |                      | -369.00    |
| 20,618.00                                    |                                       | 20,284.00                 |                          |                                 |                                    |              | 12/05/2005           | 06/18/2009 |
|  |                                       |                           |                          |                                 |                                    |              |                      | 334.00     |
| 4,305.00                                     |                                       | 4,211.00                  |                          |                                 |                                    |              | 03/30/2006           | 06/18/2009 |
|  |                                       |                           |                          |                                 |                                    |              |                      | 94.00      |
| 2,312.00                                     |                                       | 3,114.00                  |                          |                                 |                                    |              | 11/20/2007           | 06/18/2009 |
|  |                                       |                           |                          |                                 |                                    |              |                      | -802.00    |
| 1,164.00                                     |                                       | 1,486.00                  |                          |                                 |                                    |              | 05/07/2007           | 06/18/2009 |
|  |                                       |                           |                          |                                 |                                    |              |                      | -322.00    |
| 34,400.00                                    |                                       | 41,523.00                 |                          |                                 |                                    |              | 07/05/2006           | 06/18/2009 |
|  |                                       |                           |                          |                                 |                                    |              |                      | -7,123.00  |
| 7,687.00                                     |                                       | 9,070.00                  |                          |                                 |                                    |              | 05/07/2007           | 06/18/2009 |
|  |                                       |                           |                          |                                 |                                    |              |                      | -1,383.00  |
| 9,019.00                                     |                                       | 16,142.00                 |                          |                                 |                                    |              | 02/24/2006           | 06/18/2009 |
|  |                                       |                           |                          |                                 |                                    |              |                      | -7,123.00  |



**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description  |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|--|---------------------------------------|--|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis  | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 12,554.00                                    |                                       | 515. LAM RESEARCH CORP<br>PROPERTY TYPE: SECURITIES<br>22,144.00               |                          |                                |                                    |              | 03/30/2006           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -9,590.00            |            |
| 366.00                                       |                                       | 15. LAM RESEARCH CORP<br>PROPERTY TYPE: SECURITIES<br>607.00                   |                          |                                |                                    |              | 09/06/2006           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -241.00              |            |
| 2,570.00                                     |                                       | 100. MERCK & CO INC COM<br>PROPERTY TYPE: SECURITIES<br>6,079.00               |                          |                                |                                    |              | 12/11/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -3,509.00            |            |
| 10,282.00                                    |                                       | 400. MERCK & CO INC COM<br>PROPERTY TYPE: SECURITIES<br>23,182.00              |                          |                                |                                    |              | 11/20/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -12,900.00           |            |
| 30,331.00                                    |                                       | 1180. MERCK & CO INC COM<br>PROPERTY TYPE: SECURITIES<br>61,322.00             |                          |                                |                                    |              | 05/07/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -30,991.00           |            |
| 6,169.00                                     |                                       | 240. MERCK & CO INC COM<br>PROPERTY TYPE: SECURITIES<br>11,044.00              |                          |                                |                                    |              | 01/25/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -4,875.00            |            |
| 44,624.00                                    |                                       | 545. MONSANTO CO NEW COM<br>PROPERTY TYPE: SECURITIES<br>29,865.00             |                          |                                |                                    |              | 01/25/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | 14,759.00            |            |
| 4,257.00                                     |                                       | 75. NIKE INC CL B<br>PROPERTY TYPE: SECURITIES<br>4,951.00                     |                          |                                |                                    |              | 12/11/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -694.00              |            |
| 39,736.00                                    |                                       | 700. NIKE INC CL B<br>PROPERTY TYPE: SECURITIES<br>43,540.00                   |                          |                                |                                    |              | 11/20/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -3,804.00            |            |
| 12,048.00                                    |                                       | 810. NOKIA CORPORATION SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>17,105.00 |                          |                                |                                    |              | 03/30/2006           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -5,057.00            |            |
| 669.00                                       |                                       | 45. NOKIA CORPORATION SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>942.00     |                          |                                |                                    |              | 01/25/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -273.00              |            |



**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description   |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|--|---------------------------------------|---|--------------------------|---------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis   | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 744.00                                       |                                       | 50. NOKIA CORPORATION SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>980.00      |                          |                                 |                                    |              | 09/06/2006           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -236.00              |            |
| 23,799.00                                    |                                       | 1600. NOKIA CORPORATION SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>30,188.00 |                          |                                 |                                    |              | 02/24/2006           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -6,389.00            |            |
| 3,435.00                                     |                                       | 90. P G & E CORPORATION<br>PROPERTY TYPE: SECURITIES<br>4,650.00                |                          |                                 |                                    |              | 05/07/2007           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -1,215.00            |            |
| 24,807.00                                    |                                       | 650. P G & E CORPORATION<br>PROPERTY TYPE: SECURITIES<br>29,952.00              |                          |                                 |                                    |              | 01/25/2007           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -5,145.00            |            |
| 52,873.00                                    |                                       | 970. PEPSICO INCORPORATED<br>PROPERTY TYPE: SECURITIES<br>45,709.00             |                          |                                 |                                    |              | 11/05/2003           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | 7,164.00             |            |
| 9,443.00                                     |                                       | 130. PRAXAIR INCORPORATED<br>PROPERTY TYPE: SECURITIES<br>6,854.00              |                          |                                 |                                    |              | 12/05/2005           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | 2,589.00             |            |
| 26,514.00                                    |                                       | 365. PRAXAIR INCORPORATED<br>PROPERTY TYPE: SECURITIES<br>17,036.00             |                          |                                 |                                    |              | 06/06/2005           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | 9,478.00             |            |
| 3,689.00                                     |                                       | 45. PRECISION CASTPARTS CORPORATION<br>PROPERTY TYPE: SECURITIES<br>4,907.00    |                          |                                 |                                    |              | 05/07/2007           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -1,218.00            |            |
| 26,236.00                                    |                                       | 320. PRECISION CASTPARTS CORPORATION<br>PROPERTY TYPE: SECURITIES<br>27,273.00  |                          |                                 |                                    |              | 01/25/2007           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -1,037.00            |            |
| 29,623.00                                    |                                       | 580. PROCTER & GAMBLE CO COM<br>PROPERTY TYPE: SECURITIES<br>26,912.00          |                          |                                 |                                    |              | 09/30/2003           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | 2,711.00             |            |
| 13,616.00                                    |                                       | 370. PRUDENTIAL FINL INC COM<br>PROPERTY TYPE: SECURITIES<br>37,279.00          |                          |                                 |                                    |              | 05/07/2007           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -23,663.00           |            |

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description  |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|--|---------------------------------------|--|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis  | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 6,440.00                                     |                                       | 175. PRUDENTIAL FINL INC COM<br>PROPERTY TYPE: SECURITIES<br>15,352.00             |                          |                                |                                    |              | 01/25/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -8,912.00            |            |
| 11,973.00                                    |                                       | 75. RIO TINTO PLC SPONSORED ADR<br>PROPERTY TYPE: SECURITIES<br>11,064.00          |                          |                                |                                    |              | 08/16/2005           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | 909.00               |            |
| 16,790.00                                    |                                       | 300. SCHLUMBERGER LIMITED<br>PROPERTY TYPE: SECURITIES<br>19,077.00                |                          |                                |                                    |              | 03/30/2006           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -2,287.00            |            |
| 560.00                                       |                                       | 10. SCHLUMBERGER LIMITED<br>PROPERTY TYPE: SECURITIES<br>628.00                    |                          |                                |                                    |              | 01/25/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -68.00               |            |
| 560.00                                       |                                       | 10. SCHLUMBERGER LIMITED<br>PROPERTY TYPE: SECURITIES<br>598.00                    |                          |                                |                                    |              | 09/06/2006           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -38.00               |            |
| 19,029.00                                    |                                       | 340. SCHLUMBERGER LIMITED<br>PROPERTY TYPE: SECURITIES<br>20,190.00                |                          |                                |                                    |              | 02/24/2006           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -1,161.00            |            |
| 9,523.00                                     |                                       | 550. SCHWAB CHARLES CORP NEW COM<br>PROPERTY TYPE: SECURITIES<br>13,664.00         |                          |                                |                                    |              | 12/11/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -4,141.00            |            |
| 226.00                                       |                                       | 10. STARWOOD HOTELS & RESORTS WORLDWIDE<br>PROPERTY TYPE: SECURITIES<br>701.00     |                          |                                |                                    |              | 05/07/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -475.00              |            |
| 6,326.00                                     |                                       | 280. STARWOOD HOTELS & RESORTS WORLDWIDE<br>PROPERTY TYPE: SECURITIES<br>17,251.00 |                          |                                |                                    |              | 01/25/2007           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -10,925.00           |            |
| 16,268.00                                    |                                       | 720. STARWOOD HOTELS & RESORTS WORLDWIDE<br>PROPERTY TYPE: SECURITIES<br>44,149.00 |                          |                                |                                    |              | 07/05/2006           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -27,881.00           |            |
| 339.00                                       |                                       | 15. STARWOOD HOTELS & RESORTS WORLDWIDE<br>PROPERTY TYPE: SECURITIES<br>813.00     |                          |                                |                                    |              | 09/06/2006           | 06/18/2009 |
|  |                                       |  |                          |                                |                                    |              | -474.00              |            |



**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description   |                          |                                 |                                    | P<br>or<br>D | Date<br>acquired     | Date sold  |
|--|---------------------------------------|---|--------------------------|---------------------------------|------------------------------------|--------------|----------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis   | FMV<br>as of<br>12/31/69 | Adj. basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |            |
| 3,172.00                                     |                                       | 70. TIDEWATER INC<br>PROPERTY TYPE: SECURITIES<br>4,484.00            |                          |                                 |                                    |              | 05/07/2007           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -1,312.00            |            |
| 680.00                                       |                                       | 15. TIDEWATER INC<br>PROPERTY TYPE: SECURITIES<br>783.00              |                          |                                 |                                    |              | 12/11/2007           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -103.00              |            |
| 6,797.00                                     |                                       | 150. TIDEWATER INC<br>PROPERTY TYPE: SECURITIES<br>7,463.00           |                          |                                 |                                    |              | 11/20/2007           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -666.00              |            |
| 18,126.00                                    |                                       | 400. TIDEWATER INC<br>PROPERTY TYPE: SECURITIES<br>19,405.00          |                          |                                 |                                    |              | 01/25/2007           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -1,279.00            |            |
| 9,195.00                                     |                                       | 515. US BANCORP DEL COM NEW<br>PROPERTY TYPE: SECURITIES<br>17,838.00 |                          |                                 |                                    |              | 05/07/2007           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -8,643.00            |            |
| 4,397.00                                     |                                       | 80. UNITED TECHNOLOGIES CORP<br>PROPERTY TYPE: SECURITIES<br>3,164.00 |                          |                                 |                                    |              | 09/30/2003           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | 1,233.00             |            |
| 20,124.00                                    |                                       | 710. WASTE MANAGEMENT INC<br>PROPERTY TYPE: SECURITIES<br>21,478.00   |                          |                                 |                                    |              | 12/05/2005           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -1,354.00            |            |
| 6,561.00                                     |                                       | 279. WELLS FARGO COMPANY<br>PROPERTY TYPE: SECURITIES<br>58,350.00    |                          |                                 |                                    |              | 09/30/2003           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -51,789.00           |            |
| 729.00                                       |                                       | 31. WELLS FARGO COMPANY<br>PROPERTY TYPE: SECURITIES<br>920.00        |                          |                                 |                                    |              | 09/28/2004           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -191.00              |            |
| 5,259.00                                     |                                       | 630.974 WINDSTREAM CORP COM<br>PROPERTY TYPE: SECURITIES<br>7,203.00  |                          |                                 |                                    |              | 03/30/2006           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -1,944.00            |            |
| 2,801.00                                     |                                       | 336.026 WINDSTREAM CORP COM<br>PROPERTY TYPE: SECURITIES<br>3,346.00  |                          |                                 |                                    |              | 05/12/2005           | 06/18/2009 |
|  |                                       |   |                          |                                 |                                    |              | -545.00              |            |



## FORM 990-PF - PART IV CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property                             |                                       | Description   |                          |                                |                                    | P<br>or<br>D | Date<br>acquired            | Date sold  |
|--|---------------------------------------|---|--------------------------|--------------------------------|------------------------------------|--------------|-----------------------------|------------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis   | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss)        |            |
| 8,527.00                                     |                                       | 250. YUM BRANDS INC COM<br>PROPERTY TYPE: SECURITIES<br>9,877.00                    |                          |                                |                                    |              | 12/11/2007<br>-1,350.00     | 06/18/2009 |
| 13,643.00                                    |                                       | 400. YUM BRANDS INC COM<br>PROPERTY TYPE: SECURITIES<br>15,192.00                   |                          |                                |                                    |              | 11/20/2007<br>-1,549.00     | 06/18/2009 |
| 5,457.00                                     |                                       | 160. YUM BRANDS INC COM<br>PROPERTY TYPE: SECURITIES<br>3,216.00                    |                          |                                |                                    |              | 09/24/2004<br>2,241.00      | 06/18/2009 |
| 508,429.00                                   |                                       | 44149.047 INTERM GOVT/CREDIT BOND CTF<br>PROPERTY TYPE: SECURITIES<br>522,194.00    |                          |                                |                                    |              | 04/09/2004<br>-13,765.00    | 06/19/2009 |
| 331,904.00                                   |                                       | 40036.61 COLUMBIA INTERMEDIATE BOND FUND<br>PROPERTY TYPE: SECURITIES<br>365,134.00 |                          |                                |                                    |              | 01/31/2005<br>-33,230.00    | 07/17/2009 |
| 194,168.00                                   |                                       | 21915.144 COLUMBIA INTERMEDIATE BOND FUN<br>PROPERTY TYPE: SECURITIES<br>199,866.00 |                          |                                |                                    |              | 01/31/2005<br>-5,698.00     | 12/17/2009 |
| 353,189.00                                   |                                       | 39863.326 COLUMBIA INTERMEDIATE BOND FUN<br>PROPERTY TYPE: SECURITIES<br>350,000.00 |                          |                                |                                    |              | 11/20/2007<br>3,189.00      | 12/17/2009 |
| TOTAL GAIN (LOSS) .....                      |                                       |   |                          |                                |                                    |              | -----<br>-438,733.<br>===== |            |



Form **6781**  
 Department of the Treasury  
 Internal Revenue Service

**Gains and Losses From Section 1256  
 Contracts and Straddles**

▶ Attach to your tax return.

OMB No 1545-0644

**2009**

Attachment  
 Sequence No **82**

Name(s) shown on tax return **FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN  
 CO-TTEE**

Identifying number  
**22-6703253**

Check all applicable boxes (see instructions). **A**  Mixed straddle election **C**  Mixed straddle account election  
**B**  Straddle-by-straddle identification election **D**  Net section 1256 contracts loss election

**Part I Section 1256 Contracts Marked to Market**

| (a) Identification of account   | (b) (Loss) | (c) Gain |
|---|------------|----------|
| 1 SEE STATEMENT 19  |            | 1,712.   |
| 2 Add the amounts on line 1 in columns (b) and (c)  | 2 ( )      | 1,712.   |
| 3 Net gain or (loss) Combine line 2, columns (b) and (c)  | 3          | 1,712.   |
| 4 Form 1099-B adjustments. See instructions and attach schedule   | 4          |          |
| 5 Combine lines 3 and 4   | 5          | 1,712.   |
| <i>Note: If line 5 shows a net gain, skip line 6 and enter the gain on line 7. Partnerships and S corporations, see instructions</i>                      |            |          |
| 6 If you have a net section 1256 contracts loss and checked box D above, enter the amount of loss to be carried back. Enter the loss as a positive number | 6          |          |
| 7 Combine lines 5 and 6   | 7          | 1,712.   |
| 8 Short-term capital gain or (loss). Multiply line 7 by 40% (.40). Enter here and include on the appropriate line of Schedule D (see instructions).       | 8          | 685.     |
| 9 Long-term capital gain or (loss). Multiply line 7 by 60% (.60). Enter here and include on the appropriate line of Schedule D (see instructions).        | 9          | 1,027.   |

**Part II Gains and Losses From Straddles. Attach a separate schedule listing each straddle and its components.**

**Section A - Losses From Straddles**

| (a) Description of property  | (b) Date entered into or acquired | (c) Date closed out or sold | (d) Gross sales price | (e) Cost or other basis plus expense of sale | (f) Loss If column (e) is more than (d), enter difference. Otherwise, enter -0- | (g) Unrecognized gain on offsetting positions | (h) Recognized loss If column (f) is more than (g), enter difference. Otherwise, enter -0- |
|--|-----------------------------------|-----------------------------|-----------------------|--|---|---|--|
| 10   |                                   |                             |                       |  |   |   |  |
| 11a Enter the short-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D (see instructions) |                                   |                             |                       |  |   |   | 11a ( )  |
| b Enter the long-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D (see instructions)    |                                   |                             |                       |  |   |   | 11b ( )  |

**Section B - Gains From Straddles**

| (a) Description of property   | (b) Date entered into or acquired | (c) Date closed out or sold | (d) Gross sales price | (e) Cost or other basis plus expense of sale | (f) Gain If column (d) is more than (e), enter difference. Otherwise, enter -0- |     |
|---|-----------------------------------|-----------------------------|-----------------------|--|---|-----|
| 12  |                                   |                             |                       |  |   |     |
| 13a Enter the short-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D (see instructions) |                                   |                             |                       |  |   | 13a |
| b Enter the long-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D (see instructions)    |                                   |                             |                       |  |   | 13b |

**Part III Unrecognized Gains From Positions Held on Last Day of Tax Year. Memo Entry Only (see instructions)**

| (a) Description of property | (b) Date acquired | (c) Fair market value on last business day of tax year | (d) Cost or other basis as adjusted | (e) Unrecognized gain. If column (c) is more than (d), enter difference. Otherwise, enter -0- |
|-----------------------------|-------------------|--|-------------------------------------|---|
| 14                          |                   |  |                                     |   |

For Paperwork Reduction Act Notice, see page 4.

Form **6781** (2009)

FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION                              | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|--|---|-----------------------------|
| AT&T INC                                 | 5,027.                                  | 5,027.                      |
| ABBOTT LABORATORIES                      | 1,221.                                  | 1,221.                      |
| AON CORP                                 | 180.                                    | 180.                        |
| APACHE CORPORATION                       | 248.                                    | 248.                        |
| ASSURANT INC                             | 232.                                    | 232.                        |
| AVON PRODUCTS INC                        | 1,191.                                  | 1,191.                      |
| WILLIAM BLAIR FUND INTL SMALL CAP GROWTH | 311.                                    | 311.                        |
| CIGNA CORPORATION                        | 27.                                     | 27.                         |
| CME GROUP INC COM                        | 219.                                    | 219.                        |
| INTERM GOVT/CREDIT BOND CTF              | 10,718.                                 | 10,718.                     |
| COACH INC COM                            | 136.                                    | 136.                        |
| COCA COLA CO COM                         | 767.                                    | 767.                        |
| COLGATE PALMOLIVE CO                     | 1,420.                                  | 1,420.                      |
| COLUMBIA ACORN FUND CLASS Z SHARES       | 392.                                    | 392.                        |
| COLUMBIA ACORN INTERNATIONAL FUND CL Z   | 2,153.                                  | 2,153.                      |
| CMG ULTRA SHORT TERM BOND FUND           | 13,712.                                 | 13,712.                     |
| COLUMBIA SHORT TERM BOND FUND CLASS Z SH | 20,879.                                 | 20,879.                     |
| COLUMBIA SMALL CAP VALUE FUND II CLASS Z | 576.                                    | 576.                        |
| COLUMBIA MID CAP VALUE FUND CLASS Z SHAR | 1,131.                                  | 1,131.                      |
| COLUMBIA INTERMEDIATE BOND FUND CLASS Z  | 38,617.                                 | 38,617.                     |
| COLUMBIA CONSERVATIVE HIGH YIELD FUND CL | 25,295.                                 | 25,295.                     |
| COMCAST CORP                             | 250.                                    | 250.                        |
| CONOCOPHILLIPS COM                       | 1,495.                                  | 1,495.                      |
| CONSOLIDATED EDISON CO NY INC SR UNSECD  | 13,538.                                 | 13,538.                     |
| CORNING INC                              | 377.                                    | 377.                        |
| DISNEY WALT CO                           | 704.                                    | 704.                        |
| DU PONT E I DE NEMOURS & CO SR NT        | 6,867.                                  | 6,867.                      |
| DUN & BRADSTREET CORP DEL NEW COM        | 435.                                    | 435.                        |
| EOG RESOURCES INC                        | 174.                                    | 174.                        |
| ENERGY CORP NEW COM                      | 1,448.                                  | 1,448.                      |
| EXELON CORP COM                          | 1,538.                                  | 1,538.                      |
| EXXON MOBIL CORPORATION                  | 3,311.                                  | 3,311.                      |

FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION                              | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|--|---|-----------------------------|
| FPL GROUP INC COM                        | 950.                                    | 950.                        |
| FEDERAL NATL MTG ASSN NT                 | 9,750.                                  | 9,750.                      |
| FEDERAL NATL MTG ASSN MTN CALL 2/21/06 @ | 14,250.                                 | 14,250.                     |
| FIDELITY INVT TR DIVERSIFIED INTL 72     | 4,573.                                  | 4,573.                      |
| FLOWERVE CORP                            | 78.                                     | 78.                         |
| FORWARD FDS GLOBAL EMERGING MKTS FD INST | 3,996.                                  | 3,996.                      |
| GENERAL ELEC CO                          | 4,080.                                  | 4,080.                      |
| GLAXO PLC                                | 1,131.                                  | 1,131.                      |
| GOLDMAN SACHS GROUP INC                  | 364.                                    | 364.                        |
| GOODRICH B F CO                          | 413.                                    | 413.                        |
| HARTFORD FINANCIAL SVCS GRP              | 502.                                    | 502.                        |
| HESS CORP COM                            | 229.                                    | 229.                        |
| HEWLETT PACKARD COMPANY                  | 642.                                    | 642.                        |
| INTERNATIONAL BUSINESS MACHS             | 2,269.                                  | 2,269.                      |
| J P MORGAN CHASE & CO COM                | 1,514.                                  | 1,514.                      |
| JOHNSON & JOHNSON                        | 833.                                    | 833.                        |
| KELLOGG CO                               | 602.                                    | 602.                        |
| KIMBERLY CLARK CORP COM                  | 2,270.                                  | 2,270.                      |
| KRAFT FOODS INC CL A COM                 | 798.                                    | 798.                        |
| LOCKHEED MARTIN CORPORATION              | 348.                                    | 348.                        |
| LOWES COMPANIES INCORPORATED             | 332.                                    | 332.                        |
| MCKESSON HBOC INC                        | 87.                                     | 87.                         |
| MERCK & CO INC COM                       | 3,040.                                  | 3,040.                      |
| MICROSOFT CORPORATION                    | 2,131.                                  | 2,131.                      |
| FEDERATED MM OB II PRIME VALUATION #859  | 5,570.                                  | 5,570.                      |
| MONSANTO CO NEW COM                      | 482.                                    | 482.                        |
| NEWS CORP CL A                           | 149.                                    | 149.                        |
| NIKE INC CL B                            | 581.                                    | 581.                        |
| NOKIA CORPORATION SPONSORED ADR          | 1,367.                                  | 1,367.                      |
| NORDSTROM INCORPORATED                   | 530.                                    | 530.                        |
| OCCIDENTAL PETROLEUM CORPORATION         | 285.                                    | 285.                        |
| OPPENHEIMER DEVELOPING MKTS FUNDS CL A   | 377.                                    | 377.                        |
| P G & E CORPORATION                      | 599.                                    | 599.                        |

FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION                              | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|--|---|-----------------------------|
| -----                                    | -----                                   | -----                       |
| PNC BK CORP                              | 172.                                    | 172.                        |
| PACKAGING CORP OF AMERICA                | 136.                                    | 136.                        |
| PARKER HANFIFIN CORPORATION              | 288.                                    | 288.                        |
| PEPSICO INCORPORATED                     | 1,261.                                  | 1,261.                      |
| PFIZER INC                               | 1,670.                                  | 1,670.                      |
| PHILIP MORRIS INTL INC COM               | 2,240.                                  | 2,240.                      |
| PIMCO COMMODITY REALRETURN STRATEGY FUND | 12,978.                                 | 12,978.                     |
| POTASH CORP SASK INC                     | 40.                                     | 40.                         |
| PRAXAIR INCORPORATED                     | 772.                                    | 772.                        |
| PRECISION CASTPARTS CORPORATION          | 22.                                     | 22.                         |
| PROCTER & GAMBLE CO COM                  | 487.                                    | 487.                        |
| RIO TINTO PLC SPONSORED ADR              | 204.                                    | 204.                        |
| SCHLUMBERGER LIMITED                     | 416.                                    | 416.                        |
| SCHWAB CHARLES CORP NEW COM              | 66.                                     | 66.                         |
| STAPLES INCORPORATED                     | 342.                                    | 342.                        |
| STARWOOD HOTELS & RESORTS WORLDWIDE INC  | 923.                                    | 923.                        |
| STATE STREET CORP                        | 226.                                    | 226.                        |
| TEVA PHARMACEUTICAL INDS LTD ADR         | 120.                                    | 120.                        |
| TEXAS INSTRS INC                         | 1,014.                                  | 1,014.                      |
| TIDEWATER INC                            | 318.                                    | 318.                        |
| US BANCORP DEL COM NEW                   | 1,668.                                  | 1,668.                      |
| UNION PAC CORP COM                       | 5,400.                                  | 5,400.                      |
| UNITED PARCEL SERVICE CL B               | 473.                                    | 473.                        |
| UNITED TECHNOLOGIES CORP                 | 2,533.                                  | 2,533.                      |
| VALE S A ADR                             | 266.                                    | 266.                        |
| VERIZON COMMUNICATIONS                   | 257.                                    | 257.                        |
| WAL-MART STORES INCORPORATED             | 461.                                    | 461.                        |
| WASTE MANAGEMENT INC                     | 1,015.                                  | 1,015.                      |
| WELLS FARGO COMPANY                      | 674.                                    | 674.                        |
| WINDSTREAM CORP COM                      | 484.                                    | 484.                        |
| YUM BRANDS INC COM                       | 908.                                    | 908.                        |
| AXIS CAPITAL HOLDINGS LTD COM            | 160.                                    | 160.                        |

FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
=====

| DESCRIPTION<br>----- | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|----------------------|--|--------------------------------------|
| TOTAL                | 257,705.<br>=====                                | 256,257.<br>=====                    |

FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

FORM 990PF, PART I - OTHER INCOME  
=====

| DESCRIPTION<br>-----               | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|------------------------------------|--|--------------------------------------|
| FEDERAL TAX REFUND                 | 5,974.   | 125.                                 |
| POWERSHARES DB AGRIC FUND COM CL F |  |                                      |
| TOTALS                             | 5,974.   | 125.                                 |
|                                    | =====  | =====                                |

FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

FORM 990PF, PART I - LEGAL FEES  
=====

| DESCRIPTION<br>-----            | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- | ADJUSTED<br>NET<br>INCOME<br>----- | CHARITABLE<br>PURPOSES<br>----- |
|---------------------------------|--|--------------------------------------|------------------------------------|---------------------------------|
| LEGAL FEES - INCOME (ALLOCABLE) | 297.   |                                      |                                    | 297.                            |
| TOTALS                          | 297.   | NONE                                 | NONE                               | 297.                            |



FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

FORM 990PF, PART I - ACCOUNTING FEES

| DESCRIPTION                    | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|--------------------------------|--------------------------------|-----------------------|---------------------|---------------------|
| AUDIT & ACCOUNTING FEES (ALLOC | 60.                            | 60.                   |                     |                     |
| TAX PREPARATION FEE (NON-ALLOC | 950.                           |                       |                     | 950.                |
| TOTALS                         | 1,010.                         | 60.                   | NONE                | 950.                |

FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

FORM 990PF, PART I - TAXES

=====

| DESCRIPTION                    | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME |
|--------------------------------|---|-----------------------------|
| -----                          | -----                                   | -----                       |
| FOREIGN TAXES                  | 275.                                    | 275.                        |
| FOREIGN TAXES ON QUALIFIED FOR | 596.                                    | 596.                        |
| FOREIGN TAXES ON NONQUALIFIED  | 405.                                    | 405.                        |
|                                | -----                                   | -----                       |
| TOTALS                         | 1,276.                                  | 1,276.                      |
|                                | =====                                   | =====                       |



FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION                    | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|--------------------------------|--------------------------------|-----------------------|---------------------|
| OTHER ALLOCABLE EXPENSE-PRINCI | 23,224.                        |                       | 23,224.             |
| OTHER ALLOCABLE EXPENSE-INCOME | 7,262.                         |                       | 7,262.              |
| OTHER NON-ALLOCABLE EXPENSE -  | 23.                            |                       | 23.                 |
| DIVIDEND INVESTMENT EXPENSE -  |                                | 731.                  |                     |
| TOTALS                         | 30,509.                        | 731.                  | 30,509.             |



FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION    | AMOUNT |
|----------------|--------|
| CTF ADJUSTMENT | 1,246. |
| PTRNERSHIP ADJ | 1,067. |
| YE SALES ADJ   | 1,911. |
| ROUNDING       | 9.     |
| TOTAL          | 4,233. |



FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

=====

| DESCRIPTION<br>-----  | AMOUNT<br>----- |
|-----------------------|-----------------|
| TYE INCOME ADJUSTMENT | 6,016.          |
| COST BASIS ADJ        | 1,554.          |
|                       | -----           |
| TOTAL                 | 7,570.          |
|                       | =====           |



FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

-----  
STATE(S) WHERE THE FOUNDATION IS REGISTERED  
=====

NJ



FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

990PF, PART VII-A LINE 8b - EXPLANATION OF NON-FILING WITH A.G. STMT.

=====

NO FILING IS REQUIRED FOR THE STATE OF NEW JERSEY



FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:

BANK OF AMERICA, NA

ADDRESS:

PO BOX 1802  
PROVIDENCE, RI 02901-1802

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

COMPENSATION ..... 13,422.

OFFICER NAME:

ROBERT W. COCKREN

ADDRESS:

520 SHERWOOD PARKWAY  
WESTFIELD, NJ 07090

TITLE:

CO-TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 8

COMPENSATION ..... 26,814.

OFFICER NAME:

PATRICIA BURCH BYERS

ADDRESS:

283 NASSAU STREET  
PRINCETON, NJ 08540

TITLE:

CO-TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 3

COMPENSATION ..... 9,365.

TOTAL COMPENSATION:

49,601.

=====

~~CONFIDENTIAL~~

FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

=====

EMPLOYEE NAME:

NONE



FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

=====  
NAME :  
NONE



FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN  
FORM 990PF, PART XV - LINES 2a - 2d

22-6703253

=====

## RECIPIENT NAME:

ANNMARIE PULEIO, EXECUTIVE DIRECTOR

## ADDRESS:

316 LENOX AVE SUITE 2C

WESTFIELD, NJ 07090

RECIPIENT'S PHONE NUMBER: 908-317-8600

FORM, INFORMATION AND MATERIALS:

FORM, INFORMATION AND MATERIALS: CONTACT

ANNMARIE PULEIO @ 908 317-8600

FRED C RUMMEL FOUNDATION



FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN 22-6703253  
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID  
=====

RECIPIENT NAME:  
SEE ATTACHED STATEMENT

RELATIONSHIP:  
NONE

PURPOSE OF GRANT:  
SUPPORTS VARIOUS CHARITIES

FOUNDATION STATUS OF RECIPIENT:  
N/A

AMOUNT OF GRANT PAID ..... 418,500.

TOTAL GRANTS PAID: 418,500.  
=====

FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

FORM 6781, PART I -- SECTION 1256 CONTRACTS MARKED TO MARKET

| DESCRIPTION            | DATE ACQUIRED | DATE SOLD | GROSS SALES PRICE | COST OR BASIS | LOSS | GAIN   |
|------------------------|---------------|-----------|-------------------|---------------|------|--------|
| POWERSHARES DB AGRIC.  |               |           |                   |               |      | 1,712. |
| TOTAL GAINS AND LOSSES |               |           |                   |               |      | 1,712. |





**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

**2009**

Name of estate or trust: **FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN** Employer identification number: **22-6703253**

**Note: Form 5227 filers need to complete only Parts I and II.**

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| (a) Description of property<br>(Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see page 4 of the instructions) | (f) Gain or (loss) for the entire year<br>Subtract (e) from (d) |
|--|--------------------------------------|----------------------------------|-----------------|---|---|
| <b>1a</b>  |                                      |                                  |                 |   |   |
|  |                                      |                                  |                 |   |   |
|  |                                      |                                  |                 |   |   |
|  |                                      |                                  |                 |   |   |
|  |                                      |                                  |                 |   |   |

|  |           |         |
|--|-----------|---------|
| <b>b</b> Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b   | <b>1b</b> |         |
| <b>2</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824   | <b>2</b>  | 685.    |
| <b>3</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts                                      | <b>3</b>  | -1,686. |
| <b>4</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet             | <b>4</b>  | ( )     |
| <b>5</b> <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back | <b>5</b>  | -1,001. |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property<br>(Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired<br>(mo., day, yr.) | (c) Date sold<br>(mo., day, yr.) | (d) Sales price | (e) Cost or other basis<br>(see page 4 of the instructions) | (f) Gain or (loss) for the entire year<br>Subtract (e) from (d) |
|--|--------------------------------------|----------------------------------|-----------------|---|---|
| <b>6a</b><br>LONG-TERM CAPITAL GAIN DIVIDENDS                              |                                      | STMT 1                           |                 |   | 7,434.  |
|  |                                      |                                  |                 |   |   |
|  |                                      |                                  |                 |   |   |
|  |                                      |                                  |                 |   |   |
|  |                                      |                                  |                 |   |   |

|  |           |           |
|--|-----------|-----------|
| <b>b</b> Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b  | <b>6b</b> | -437,651. |
| <b>7</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824  | <b>7</b>  | 1,027.    |
| <b>8</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts   | <b>8</b>  | -8,542.   |
| <b>9</b> Capital gain distributions  | <b>9</b>  |           |
| <b>10</b> Gain from Form 4797, Part I  | <b>10</b> |           |
| <b>11</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet              | <b>11</b> | ( )       |
| <b>12</b> <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back | <b>12</b> | -437,732. |



| <b>Part III Summary of Parts I and II</b>                          |   | (1) Beneficiaries' (see page 5) | (2) Estate's or trust's | (3) Total |
|--|---|---------------------------------|-------------------------|-----------|
| <b>Caution: Read the instructions before completing this part.</b> |   |                                 |                         |           |
| <b>13</b>  | Net short-term gain or (loss)                               | <b>13</b>                       |                         | -1,001.   |
| <b>14</b>  | Net long-term gain or (loss):                               |                                 |                         |           |
| a  | Total for year  | <b>14a</b>                      |                         | -437,732. |
| b  | Unrecaptured section 1250 gain (see line 18 of the wrksht.) | <b>14b</b>                      |                         |           |
| c  | 28% rate gain   | <b>14c</b>                      |                         |           |
| <b>15</b>  | Total net gain or (loss). Combine lines 13 and 14a          | <b>15</b>                       |                         | -438,733. |

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary

| <b>Part IV Capital Loss Limitation</b> |   | <b>16</b> |
|--|---|-----------|
| <b>16</b>                              | Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: |           |
| a                                      | The loss on line 15, column (3) or b \$3,000  | ( 3,000 ) |

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

|           |  |           |  |  |
|-----------|--|-----------|--|--|
| <b>17</b> | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)  | <b>17</b> |  |  |
| <b>18</b> | Enter the smaller of line 14a or 15 in column (2) but not less than zero   | <b>18</b> |  |  |
| <b>19</b> | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)   | <b>19</b> |  |  |
| <b>20</b> | Add lines 18 and 19  | <b>20</b> |  |  |
| <b>21</b> | If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0-  | <b>21</b> |  |  |
| <b>22</b> | Subtract line 21 from line 20. If zero or less, enter -0-  | <b>22</b> |  |  |
| <b>23</b> | Subtract line 22 from line 17. If zero or less, enter -0-  | <b>23</b> |  |  |
| <b>24</b> | Enter the smaller of the amount on line 17 or \$2,300  | <b>24</b> |  |  |
| <b>25</b> | Is the amount on line 23 equal to or more than the amount on line 24?<br><input type="checkbox"/> Yes. Skip lines 25 and 26; go to line 27 and check the "No" box.<br><input type="checkbox"/> No. Enter the amount from line 23 | <b>25</b> |  |  |
| <b>26</b> | Subtract line 25 from line 24  | <b>26</b> |  |  |
| <b>27</b> | Are the amounts on lines 22 and 26 the same?<br><input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22  | <b>27</b> |  |  |
| <b>28</b> | Enter the amount from line 26 (If line 26 is blank, enter -0-)   | <b>28</b> |  |  |
| <b>29</b> | Subtract line 28 from line 27  | <b>29</b> |  |  |
| <b>30</b> | Multiply line 29 by 15% (.15)  | <b>30</b> |  |  |
| <b>31</b> | Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)   | <b>31</b> |  |  |
| <b>32</b> | Add lines 30 and 31  | <b>32</b> |  |  |
| <b>33</b> | Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)   | <b>33</b> |  |  |
| <b>34</b> | Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)   | <b>34</b> |  |  |



Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co)                                 | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---------------------------------|-----------------------------|--|--|--|
| <b>6a</b> .536 WELLS FARGO COMPANY  | 09/30/2003                      | 01/21/2009                  | 11.00  | 112.00   | -101.00                                  |
| 550. GENENTECH INC  | 01/25/2007                      | 03/26/2009                  | 52,250.00  | 48,281.00  | 3,969.00                                 |
| 170. GENENTECH INC  | 05/07/2007                      | 03/26/2009                  | 16,150.00  | 13,834.00  | 2,316.00                                 |
| 400000. FEDERAL NATL MTG ASSN NT  | 04/26/2007                      | 04/15/2009                  | 400,000.00                                       | 399,835.00   | 165.00                                   |
| 355. ABBOTT LABORATORIES  | 05/07/2007                      | 06/18/2009                  | 16,946.00  | 20,905.00  | -3,959.00                                |
| 120. AGILENT TECHNOLOGIES INC   | 05/07/2007                      | 06/18/2009                  | 2,255.00   | 4,476.00   | -2,221.00                                |
| 15. AGILENT TECHNOLOGIES INC  | 01/25/2007                      | 06/18/2009                  | 282.00   | 484.00   | -202.00                                  |
| 1250. AGILENT TECHNOLOGIES INC  | 07/05/2006                      | 06/18/2009                  | 23,493.00  | 37,064.00  | -13,571.00                               |
| 20. AGILENT TECHNOLOGIES INC  | 09/06/2006                      | 06/18/2009                  | 376.00   | 581.00   | -205.00                                  |
| 50. ALLIANT TECHSYSTEMS INCORPORATED  | 12/11/2007                      | 06/18/2009                  | 4,333.00   | 5,853.00   | -1,520.00                                |
| 300. ALLIANT TECHSYSTEMS INCORPORATED   | 11/20/2007                      | 06/18/2009                  | 26,000.00  | 33,974.00  | -7,974.00                                |
| 65. AMAZON COM INC  | 12/11/2007                      | 06/18/2009                  | 5,349.00   | 6,055.00   | -706.00                                  |
| 330. AMAZON COM INC   | 11/20/2007                      | 06/18/2009                  | 27,154.00  | 26,887.00  | 267.00                                   |
| 600. AON CORP   | 12/11/2007                      | 06/18/2009                  | 22,094.00  | 29,579.00  | -7,485.00                                |
| 100. ASSURANT INC   | 12/11/2007                      | 06/18/2009                  | 2,332.00   | 6,584.00   | -4,252.00                                |
| 700. ASSURANT INC   | 11/20/2007                      | 06/18/2009                  | 16,327.00  | 45,906.00  | -29,579.00                               |
| 20. CME GROUP INC COM   | 12/11/2007                      | 06/18/2009                  | 6,546.00   | 14,060.00  | -7,514.00                                |
| 75. CME GROUP INC COM   | 11/20/2007                      | 06/18/2009                  | 24,547.00  | 49,004.00  | -24,457.00                               |
| 1645. CISCO SYSTEMS INCORPORATED  | 07/05/2006                      | 06/18/2009                  | 31,280.00  | 32,452.00  | -1,172.00                                |
| 2365. CISCO SYSTEMS INCORPORATED  | 06/11/1997                      | 06/18/2009                  | 44,971.00  | 16,555.00  | 28,416.00                                |
| 290. COACH INC COM  | 05/07/2007                      | 06/18/2009                  | 7,434.00   | 13,967.00  | -6,533.00                                |
| 1400. COACH INC COM   | 01/25/2007                      | 06/18/2009                  | 35,888.00  | 61,128.00  | -25,240.00                               |
| 120. COACH INC COM  | 12/11/2007                      | 06/18/2009                  | 3,076.00   | 4,495.00   | -1,419.00                                |
| 840. COCA COLA CO COM   | 12/05/2005                      | 06/18/2009                  | 41,524.00  | 35,893.00  | 5,631.00                                 |
| 95. COCA COLA CO COM  | 03/30/2006                      | 06/18/2009                  | 4,696.00   | 4,001.00   | 695.00                                   |
| <b>6b Total.</b> Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . |                                 |                             |  |  |  |

Schedule D-1 (Form 1041) 2009



Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co) | (b) Date<br>acquired<br>(mo, day, yr) | (c) Date sold<br>(mo, day, yr) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|--|---------------------------------------|--------------------------------|--|--|---|
| 6a 135. COLGATE PALMOLIVE CO   | 07/05/2006                            | 06/18/2009                     | 9,567.00   | 8,028.00   | 1,539.00                                    |
| 955. COLGATE PALMOLIVE CO  | 03/30/2006                            | 06/18/2009                     | 67,679.00  | 55,530.00  | 12,149.00                                   |
| 500. COLGATE PALMOLIVE CO  | 12/05/2005                            | 06/18/2009                     | 35,434.00  | 27,495.00  | 7,939.00                                    |
| 100. COLGATE PALMOLIVE CO  | 02/24/2006                            | 06/18/2009                     | 7,087.00   | 5,482.00   | 1,605.00                                    |
| 70. CONOCOPHILLIPS COM   | 11/20/2007                            | 06/18/2009                     | 2,973.00   | 5,488.00   | -2,515.00                                   |
| 485. CONOCOPHILLIPS COM  | 12/05/2005                            | 06/18/2009                     | 20,600.00  | 30,555.00  | -9,955.00                                   |
| 35. CONOCOPHILLIPS COM   | 09/06/2006                            | 06/18/2009                     | 1,487.00   | 2,176.00   | -689.00                                     |
| 1000. CONOCOPHILLIPS COM   | 06/06/2005                            | 06/18/2009                     | 42,474.00  | 54,854.00  | -12,380.00                                  |
| 100. COVENTRY HEALTH CARE<br>INC COM                                   | 12/11/2007                            | 06/18/2009                     | 1,814.00   | 5,947.00   | -4,133.00                                   |
| 360. COVENTRY HEALTH CARE<br>INC COM                                   | 05/07/2007                            | 06/18/2009                     | 6,532.00   | 21,340.00  | -14,808.00                                  |
| 210. COVENTRY HEALTH CARE<br>INC COM                                   | 11/20/2007                            | 06/18/2009                     | 3,810.00   | 12,280.00  | -8,470.00                                   |
| 360. COVENTRY HEALTH CARE<br>INC COM                                   | 01/25/2007                            | 06/18/2009                     | 6,532.00   | 18,061.00  | -11,529.00                                  |
| 120. DISNEY WALT CO  | 05/07/2007                            | 06/18/2009                     | 2,840.00   | 4,288.00   | -1,448.00                                   |
| 765. DISNEY WALT CO  | 01/25/2007                            | 06/18/2009                     | 18,103.00  | 26,465.00  | -8,362.00                                   |
| 75. DISNEY WALT CO   | 12/11/2007                            | 06/18/2009                     | 1,775.00   | 2,417.00   | -642.00                                     |
| 160. DISNEY WALT CO  | 11/20/2007                            | 06/18/2009                     | 3,786.00   | 5,067.00   | -1,281.00                                   |
| 190. DUN & BRADSTREET CORP<br>DEL NEW COM                              | 05/07/2007                            | 06/18/2009                     | 15,233.00  | 18,034.00  | -2,801.00                                   |
| 105. ENTERGY CORP NEW COM  | 05/07/2007                            | 06/18/2009                     | 8,162.00   | 12,310.00  | -4,148.00                                   |
| 280. ENTERGY CORP NEW COM  | 01/25/2007                            | 06/18/2009                     | 21,765.00  | 25,425.00  | -3,660.00                                   |
| 100. EXPRESS SCRIPTS INC<br>CL A                                       | 12/11/2007                            | 06/18/2009                     | 6,608.00   | 7,239.00   | -631.00                                     |
| 385. EXPRESS SCRIPTS INC<br>CL A                                       | 11/20/2007                            | 06/18/2009                     | 25,442.00  | 25,586.00  | -144.00                                     |
| 245. EXXON MOBIL<br>CORPORATION  | 03/30/2006                            | 06/18/2009                     | 17,493.00  | 15,067.00  | 2,426.00                                    |
| 250. EXXON MOBIL<br>CORPORATION  | 02/24/2006                            | 06/18/2009                     | 17,850.00  | 15,089.00  | 2,761.00                                    |
| 500. EXXON MOBIL<br>CORPORATION  | 12/05/2005                            | 06/18/2009                     | 35,700.00  | 29,700.00  | 6,000.00                                    |
| 615. EXXON MOBIL<br>CORPORATION  | 08/09/2004                            | 06/18/2009                     | 43,910.00  | 28,210.00  | 15,700.00                                   |

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2009



Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---------------------------------|-----------------------------|--|--|--|
| 6a 960. EXXON MOBIL CORPORATION                                     | 09/30/2003                      | 06/18/2009                  | 68,543.00  | 35,347.00  | 33,196.00                                |
| 325. GENERAL ELEC CO  | 11/20/2007                      | 06/18/2009                  | 3,879.00   | 12,362.00  | -8,483.00                                |
| 255. GENWORTH FINANCIAL INC CL A COM                                | 07/05/2006                      | 06/18/2009                  | 1,503.00   | 8,843.00   | -7,340.00                                |
| 405. GENWORTH FINANCIAL INC CL A COM                                | 03/30/2006                      | 06/18/2009                  | 2,387.00   | 13,631.00  | -11,244.00                               |
| 325. GENWORTH FINANCIAL INC CL A COM                                | 02/24/2006                      | 06/18/2009                  | 1,916.00   | 10,646.00  | -8,730.00                                |
| 230. GENWORTH FINANCIAL INC CL A COM                                | 06/06/2005                      | 06/18/2009                  | 1,356.00   | 6,583.00   | -5,227.00                                |
| 350. GENWORTH FINANCIAL INC CL A COM                                | 11/20/2007                      | 06/18/2009                  | 2,063.00   | 8,711.00   | -6,648.00                                |
| 820. GLAXO PLC  | 12/05/2005                      | 06/18/2009                  | 29,820.00  | 41,861.00  | -12,041.00                               |
| 50. GOODRICH B F CO   | 12/11/2007                      | 06/18/2009                  | 2,569.00   | 3,779.00   | -1,210.00                                |
| 500. GOODRICH B F CO  | 11/20/2007                      | 06/18/2009                  | 25,692.00  | 35,519.00  | -9,827.00                                |
| 415. HEWLETT PACKARD COMPANY  | 05/07/2007                      | 06/18/2009                  | 15,523.00  | 18,222.00  | -2,699.00                                |
| 15. HEWLETT PACKARD COMPANY   | 01/25/2007                      | 06/18/2009                  | 561.00   | 633.00   | -72.00                                   |
| 5. HEWLETT PACKARD COMPANY  | 09/06/2006                      | 06/18/2009                  | 187.00   | 180.00   | 7.00                                     |
| 410. INTERNATIONAL BUSINESS MACHS                                   | 03/30/2006                      | 06/18/2009                  | 43,809.00  | 34,074.00  | 9,735.00                                 |
| 525. J P MORGAN CHASE & CO COM                                      | 05/07/2007                      | 06/18/2009                  | 17,780.00  | 27,682.00  | -9,902.00                                |
| 335. KELLOGG CO   | 10/04/2005                      | 06/18/2009                  | 15,181.00  | 15,550.00  | -369.00                                  |
| 455. KELLOGG CO   | 12/05/2005                      | 06/18/2009                  | 20,618.00  | 20,284.00  | 334.00                                   |
| 95. KELLOGG CO  | 03/30/2006                      | 06/18/2009                  | 4,305.00   | 4,211.00   | 94.00                                    |
| 45. KIMBERLY CLARK CORP COM   | 11/20/2007                      | 06/18/2009                  | 2,312.00   | 3,114.00   | -802.00                                  |
| 45. KRAFT FOODS INC CL A COM  | 05/07/2007                      | 06/18/2009                  | 1,164.00   | 1,486.00   | -322.00                                  |
| 1330. KRAFT FOODS INC CL A COM                                      | 07/05/2006                      | 06/18/2009                  | 34,400.00  | 41,523.00  | -7,123.00                                |
| 115. LABORATORY CORP AMER HLDGS COM NEW                             | 05/07/2007                      | 06/18/2009                  | 7,687.00   | 9,070.00   | -1,383.00                                |
| 370. LAM RESEARCH CORP  | 02/24/2006                      | 06/18/2009                  | 9,019.00   | 16,142.00  | -7,123.00                                |
| 515. LAM RESEARCH CORP  | 03/30/2006                      | 06/18/2009                  | 12,554.00  | 22,144.00  | -9,590.00                                |
| 15. LAM RESEARCH CORP   | 09/06/2006                      | 06/18/2009                  | 366.00   | 607.00   | -241.00                                  |

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2009

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr) | (d) Sales price (see page 4 of the instructions) | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---------------------------------|-----------------------------|--|--|--|
| 6a 100. MERCK & CO INC COM  | 12/11/2007                      | 06/18/2009                  | 2,570.00   | 6,079.00   | -3,509.00                                |
| 400. MERCK & CO INC COM   | 11/20/2007                      | 06/18/2009                  | 10,282.00  | 23,182.00  | -12,900.00                               |
| 1180. MERCK & CO INC COM  | 05/07/2007                      | 06/18/2009                  | 30,331.00  | 61,322.00  | -30,991.00                               |
| 240. MERCK & CO INC COM   | 01/25/2007                      | 06/18/2009                  | 6,169.00   | 11,044.00  | -4,875.00                                |
| 545. MONSANTO CO NEW COM  | 01/25/2007                      | 06/18/2009                  | 44,624.00  | 29,865.00  | 14,759.00                                |
| 75. NIKE INC CL B   | 12/11/2007                      | 06/18/2009                  | 4,257.00   | 4,951.00   | -694.00                                  |
| 700. NIKE INC CL B  | 11/20/2007                      | 06/18/2009                  | 39,736.00  | 43,540.00  | -3,804.00                                |
| 810. NOKIA CORPORATION SPONSORED ADR                                | 03/30/2006                      | 06/18/2009                  | 12,048.00  | 17,105.00  | -5,057.00                                |
| 45. NOKIA CORPORATION SPONSORED ADR                                 | 01/25/2007                      | 06/18/2009                  | 669.00   | 942.00   | -273.00                                  |
| 50. NOKIA CORPORATION SPONSORED ADR                                 | 09/06/2006                      | 06/18/2009                  | 744.00   | 980.00   | -236.00                                  |
| 1600. NOKIA CORPORATION SPONSORED ADR                               | 02/24/2006                      | 06/18/2009                  | 23,799.00  | 30,188.00  | -6,389.00                                |
| 90. P G & E CORPORATION   | 05/07/2007                      | 06/18/2009                  | 3,435.00   | 4,650.00   | -1,215.00                                |
| 650. P G & E CORPORATION  | 01/25/2007                      | 06/18/2009                  | 24,807.00  | 29,952.00  | -5,145.00                                |
| 970. PEPSICO INCORPORATED   | 11/05/2003                      | 06/18/2009                  | 52,873.00  | 45,709.00  | 7,164.00                                 |
| 130. PRAXAIR INCORPORATED   | 12/05/2005                      | 06/18/2009                  | 9,443.00   | 6,854.00   | 2,589.00                                 |
| 365. PRAXAIR INCORPORATED   | 06/06/2005                      | 06/18/2009                  | 26,514.00  | 17,036.00  | 9,478.00                                 |
| 45. PRECISION CASTPARTS CORPORATION                                 | 05/07/2007                      | 06/18/2009                  | 3,689.00   | 4,907.00   | -1,218.00                                |
| 320. PRECISION CASTPARTS CORPORATION                                | 01/25/2007                      | 06/18/2009                  | 26,236.00  | 27,273.00  | -1,037.00                                |
| 580. PROCTER & GAMBLE CO COM  | 09/30/2003                      | 06/18/2009                  | 29,623.00  | 26,912.00  | 2,711.00                                 |
| 370. PRUDENTIAL FINL INC COM  | 05/07/2007                      | 06/18/2009                  | 13,616.00  | 37,279.00  | -23,663.00                               |
| 175. PRUDENTIAL FINL INC COM  | 01/25/2007                      | 06/18/2009                  | 6,440.00   | 15,352.00  | -8,912.00                                |
| 75. RIO TINTO PLC SPONSORED ADR                                     | 08/16/2005                      | 06/18/2009                  | 11,973.00  | 11,064.00  | 909.00                                   |
| 300. SCHLUMBERGER LIMITED   | 03/30/2006                      | 06/18/2009                  | 16,790.00  | 19,077.00  | -2,287.00                                |
| 10. SCHLUMBERGER LIMITED  | 01/25/2007                      | 06/18/2009                  | 560.00   | 628.00   | -68.00                                   |
| 10. SCHLUMBERGER LIMITED  | 09/06/2006                      | 06/18/2009                  | 560.00   | 598.00   | -38.00                                   |

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . .

Schedule D-1 (Form 1041) 2009

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side  
**FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN**

Employer identification number  
**22-6703253**

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| (a) Description of property (Example<br>100 sh 7% preferred of "Z" Co)                              | (b) Date<br>acquired<br>(mo, day, yr) | (c) Date sold<br>(mo, day, yr) | (d) Sales price<br>(see page 4 of the<br>instructions) | (e) Cost or other basis<br>(see page 4 of the<br>instructions) | (f) Gain or (loss)<br>Subtract (e) from (d) |
|---|---------------------------------------|--------------------------------|--|--|---|
| 6a 340. SCHLUMBERGER LIMITED  | 02/24/2006                            | 06/18/2009                     | 19,029.00  | 20,190.00  | -1,161.00                                   |
| 550. SCHWAB CHARLES CORP<br>NEW COM   | 12/11/2007                            | 06/18/2009                     | 9,523.00   | 13,664.00  | -4,141.00                                   |
| 10. STARWOOD HOTELS &<br>RESORTS WORLDWIDE INC COM  | 05/07/2007                            | 06/18/2009                     | 226.00   | 701.00   | -475.00                                     |
| 280. STARWOOD HOTELS &<br>RESORTS WORLDWIDE INC COM   | 01/25/2007                            | 06/18/2009                     | 6,326.00   | 17,251.00  | -10,925.00                                  |
| 720. STARWOOD HOTELS &<br>RESORTS WORLDWIDE INC COM   | 07/05/2006                            | 06/18/2009                     | 16,268.00  | 44,149.00  | -27,881.00                                  |
| 15. STARWOOD HOTELS &<br>RESORTS WORLDWIDE INC COM  | 09/06/2006                            | 06/18/2009                     | 339.00   | 813.00   | -474.00                                     |
| 70. TIDEWATER INC   | 05/07/2007                            | 06/18/2009                     | 3,172.00   | 4,484.00   | -1,312.00                                   |
| 15. TIDEWATER INC   | 12/11/2007                            | 06/18/2009                     | 680.00   | 783.00   | -103.00                                     |
| 150. TIDEWATER INC  | 11/20/2007                            | 06/18/2009                     | 6,797.00   | 7,463.00   | -666.00                                     |
| 400. TIDEWATER INC  | 01/25/2007                            | 06/18/2009                     | 18,126.00  | 19,405.00  | -1,279.00                                   |
| 515. US BANCORP DEL COM<br>NEW  | 05/07/2007                            | 06/18/2009                     | 9,195.00   | 17,838.00  | -8,643.00                                   |
| 80. UNITED TECHNOLOGIES<br>CORP   | 09/30/2003                            | 06/18/2009                     | 4,397.00   | 3,164.00   | 1,233.00                                    |
| 710. WASTE MANAGEMENT INC   | 12/05/2005                            | 06/18/2009                     | 20,124.00  | 21,478.00  | -1,354.00                                   |
| 279. WELLS FARGO COMPANY  | 09/30/2003                            | 06/18/2009                     | 6,561.00   | 58,350.00  | -51,789.00                                  |
| 31. WELLS FARGO COMPANY   | 09/28/2004                            | 06/18/2009                     | 729.00   | 920.00   | -191.00                                     |
| 630.974 WINDSTREAM CORP<br>COM  | 03/30/2006                            | 06/18/2009                     | 5,259.00   | 7,203.00   | -1,944.00                                   |
| 336.026 WINDSTREAM CORP<br>COM  | 05/12/2005                            | 06/18/2009                     | 2,801.00   | 3,346.00   | -545.00                                     |
| 250. YUM BRANDS INC COM   | 12/11/2007                            | 06/18/2009                     | 8,527.00   | 9,877.00   | -1,350.00                                   |
| 400. YUM BRANDS INC COM   | 11/20/2007                            | 06/18/2009                     | 13,643.00  | 15,192.00  | -1,549.00                                   |
| 160. YUM BRANDS INC COM   | 09/24/2004                            | 06/18/2009                     | 5,457.00   | 3,216.00   | 2,241.00                                    |
| 44149.047 INTERM<br>GOVT/CREDIT BOND CTF  | 04/09/2004                            | 06/19/2009                     | 508,429.00   | 522,194.00   | -13,765.00                                  |
| 40036.61 COLUMBIA<br>INTERMEDIATE BOND FUND CLAS  | 01/31/2005                            | 07/17/2009                     | 331,904.00   | 365,134.00   | -33,230.00                                  |
| 21915.144 COLUMBIA<br>INTERMEDIATE BOND FUND CLAS   | 01/31/2005                            | 12/17/2009                     | 194,168.00   | 199,866.00   | -5,698.00                                   |
| 39863.326 COLUMBIA<br>INTERMEDIATE BOND FUND CLAS   | 11/20/2007                            | 12/17/2009                     | 353,189.00   | 350,000.00   | 3,189.00                                    |
|   |                                       |                                |  |  |   |
| <b>6b Total.</b> Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . |                                       |                                |  |  | -437,651.00                                 |

Schedule D-1 (Form 1041) 2009



FRED C RUMMEL FOUNDATION C/O ROBERT COCKREN

22-6703253

FEDERAL CAPITAL GAIN DIVIDENDS  
=====LONG-TERM CAPITAL GAIN DIVIDENDS  
-----

## 15% RATE CAPITAL GAIN DIVIDENDS

|   |          |          |
|---|----------|----------|
| EL PASO CORP COM                              | 322.00   |          |
| PIMCO COMMODITY REALRETURN STRATEGY FUND      | 3,616.00 |          |
| TYCO INTERNATIONAL LTD                        | 2,766.00 |          |
| CLASS ACTIONS SETTLEMENT FOR AIG INC (SEC) (2 | 730.00   |          |
|   | -----    |          |
| TOTAL 15% RATE CAPITAL GAIN DIVIDENDS         |          | 7,434.00 |
|   |          | -----    |
| TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS        |          | 7,434.00 |
|   |          | =====    |



## GAINS AND LOSSES FROM PASS-THRU ENTITIES

=====

NET SHORT-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS  
AND OTHER FIDUCIARIES

COMMON TRUST FUNDS

-1,686.00

-----

TOTAL NET SHORT-TERM GAIN OR LOSS (ROUNDED)

-----  
-1,686.00

=====

NET LONG-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS  
AND OTHER FIDUCIARIES

COMMON TRUST FUNDS

-8,542.00

-----

TOTAL NET LONG-TERM GAIN OR LOSS (ROUNDED)

-----  
-8,542.00

=====

Custom Portfolio Holdings Detail Report

As of: December, 2009

8536172 - FRED C RUMMEL FOUNDATION U/W

| Asset Description 2              | Cusip     | Units      | Market Value | Fed Tax Cost |
|----------------------------------|-----------|------------|--------------|--------------|
| ABBOTT LABS                      | 002824100 | 610.00     | 32,933.90    | 32,402.37    |
| ADOBE SYS INC                    | 00724F101 | 1,780.00   | 65,468.40    | 51,290.70    |
| AMAZON COM INC                   | 023135106 | 270.00     | 36,320.40    | 21,998.52    |
| AMGEN INC                        | 031162100 | 525.00     | 29,699.25    | 27,790.88    |
| APACHE CORP                      | 037411105 | 825.00     | 85,115.25    | 64,602.13    |
| APOLLO GROUP INC                 | 037604105 | 195.00     | 11,813.10    | 13,066.94    |
| AT&T INC                         | 00206R102 | 3,495.00   | 97,964.85    | 81,078.75    |
| AUTODESK INC                     | 052769106 | 1,005.00   | 25,537.05    | 31,936.80    |
| AVON PRODS INC                   | 054303102 | 2,275.00   | 71,662.50    | 67,694.13    |
| AXIS CAP HLDGS LTD               | G0692U109 | 400.00     | 11,364.00    | 10,142.00    |
| CIGNA CORP                       | 125509109 | 1,635.00   | 57,666.45    | 56,573.58    |
| CMG ULTRA SHORT TERM BOND        | 19765E823 | 50,074.22  | 458,179.11   | 482,214.74   |
| COLUMBIA ACORN FUND              | 197199409 | 7,959.03   | 196,428.84   | 114,530.43   |
| COLUMBIA ACORN INTERNATIONAL     | 197199813 | 3,713.89   | 127,238.01   | 105,103.20   |
| COLUMBIA CONSERVATIVE HIGH YIELD | 19765P323 | 47,108.66  | 352,372.78   | 400,000.00   |
| COLUMBIA INTERMEDIATE BOND FUND  | 19765N468 | 0.00       | 0.00         | 0.00         |
| COLUMBIA MID CAP VALUE FUND      | 19765J830 | 11,261.26  | 124,774.77   | 150,000.00   |
| COLUMBIA SELECT LARGE CAP GROWTH | 19765Y688 | 49,393.43  | 500,849.42   | 325,502.73   |
| COLUMBIA SHORT TERM BOND FUND    | 19765H362 | 110,362.69 | 1,090,383.42 | 1,065,000.00 |
| COLUMBIA SMALL CAP GROWTH I FUND | 19765P596 | 17,084.47  | 411,393.99   | 338,053.45   |
| COLUMBIA SMALL CAP VALUE FUND    | 19765J764 | 8,339.95   | 91,656.07    | 105,000.00   |
| COMCAST CORP                     | 20030N101 | 1,850.00   | 31,191.00    | 25,798.25    |
| CONSOLIDATED EDISON CO NY INC    | 209111EV1 | 200,000.00 | 231,112.00   | 209,376.00   |
| CORNING INC                      | 219350105 | 3,770.00   | 72,798.70    | 58,188.82    |
| DEAN FOODS CO                    | 242370104 | 2,330.00   | 42,033.20    | 42,787.42    |
| DISNEY WALT CO                   | 254687106 | 890.00     | 28,702.50    | 27,094.79    |
| DU PONT E I DE NEMOURS & CO      | 263534BT5 | 200,000.00 | 218,234.00   | 201,598.00   |
| DUN & BRADSTREET CORP DEL NEW    | 26483E100 | 225.00     | 18,983.25    | 19,950.75    |
| EMC CORP                         | 268648102 | 4,585.00   | 80,099.95    | 59,486.71    |
| ENTERGY CORP NEW                 | 29364G103 | 290.00     | 23,733.60    | 26,767.81    |
| EOG RES INC                      | 26875P101 | 600.00     | 58,380.00    | 43,178.94    |
| EXELON CORP                      | 30161N101 | 740.00     | 36,163.80    | 35,566.67    |
| EXPRESS SCRIPTS INC              | 302182100 | 560.00     | 48,395.20    | 29,256.99    |
| EXXON MOBIL CORP                 | 30231G102 | 725.00     | 49,437.75    | 26,694.50    |
| FEDERAL NATL MTG ASSN            | 31359MQV8 | 300,000.00 | 325,218.00   | 297,561.00   |
| FEDERATED MM OB II PRIME         | 60934N567 | 432,832.09 | 432,832.09   | 432,832.09   |
| FIDELITY INVT TR                 | 315910802 | 12,064.94  | 337,818.26   | 193,642.26   |
| FLOWERVE CORP                    | 34354P105 | 145.00     | 13,706.85    | 11,183.13    |
| FORWARD FDS                      | 349913814 | 19,163.50  | 416,806.21   | 346,073.78   |
| FPL GROUP INC                    | 302571104 | 755.00     | 39,879.10    | 46,231.28    |
| GENERAL ELEC CO                  | 369604103 | 4,690.00   | 70,959.70    | 162,849.33   |
| GILEAD SCIENCES INC              | 375558103 | 825.00     | 35,697.75    | 38,589.38    |
| GOLDMAN SACHS GROUP INC          | 38141G104 | 520.00     | 87,796.80    | 74,406.38    |
| HARTFORD FINL SVCS GROUP INC     | 416515104 | 1,720.00   | 40,007.20    | 71,371.66    |
| HESS CORP                        | 42809H107 | 935.00     | 56,567.50    | 49,647.27    |

|                               |           |           |              |              |
|-------------------------------|-----------|-----------|--------------|--------------|
| HEWLETT PACKARD CO            | 428236103 | 1,680.00  | 86,536.80    | 45,046.45    |
| INTERNATIONAL BUSINESS MACHS  | 459200101 | 855.00    | 111,919.50   | 67,772.02    |
| J P MORGAN CHASE & CO         | 46625H100 | 2,430.00  | 101,258.10   | 117,021.04   |
| JOHNSON & JOHNSON             | 478160104 | 850.00    | 54,748.50    | 47,578.24    |
| KIMBERLY CLARK CORP           | 494368103 | 920.00    | 58,613.20    | 54,340.16    |
| LABORATORY CORP AMER HLDGS    | 50540R409 | 575.00    | 43,033.00    | 42,805.32    |
| LIFE TECHNOLOGIES CORP        | 53217V109 | 290.00    | 15,143.80    | 11,798.65    |
| LOCKHEED MARTIN CORP          | 539830109 | 290.00    | 21,851.50    | 24,267.55    |
| LOWES COS INC                 | 548661107 | 1,845.00  | 43,154.55    | 34,306.67    |
| MCKESSON CORP                 | 58155Q103 | 725.00    | 45,312.50    | 31,899.50    |
| MERCK & CO INC                | 58933Y105 | 560.00    | 20,462.40    | 25,769.80    |
| MICROSOFT CORP                | 594918104 | 4,195.00  | 127,863.60   | 92,350.09    |
| MONSANTO CO                   | 61166W101 | 200.00    | 16,350.00    | 10,959.50    |
| NABORS INDS INC               | G6359F103 | 700.00    | 15,323.00    | 11,287.50    |
| NEWS CORP                     | 65248E104 | 2,480.00  | 33,951.20    | 23,497.26    |
| NORDSTROM INC                 | 655664100 | 1,255.00  | 47,162.90    | 30,320.53    |
| OCCIDENTAL PETE CORP DEL      | 674599105 | 865.00    | 70,367.75    | 55,597.88    |
| OPPENHEIMER DEVELOPING MKTS   | 683974109 | 2,630.62  | 75,656.72    | 90,538.91    |
| PACKAGING CORP AMER           | 695156109 | 905.00    | 20,824.05    | 13,389.48    |
| PARKER HANNIFIN CORP          | 701094104 | 575.00    | 30,981.00    | 24,587.98    |
| PFIZER INC                    | 717081103 | 2,175.00  | 39,563.25    | 56,067.90    |
| PHILIP MORRIS INTL INC        | 718172109 | 2,000.00  | 96,380.00    | 86,249.60    |
| PIMCO COMMODITY REALRETURN    | 722005667 | 36,492.16 | 302,155.07   | 338,118.91   |
| PNC FINL SVCS GROUP INC       | 693475105 | 860.00    | 45,399.40    | 33,570.10    |
| POTASH CORP SASK INC          | 73755L107 | 200.00    | 21,700.00    | 19,063.00    |
| POWERSHARES DB AGRIC FUND     | 73936B408 | 3,745.00  | 99,017.80    | 95,619.00    |
| PRAXAIR INC                   | 74005P104 | 235.00    | 18,872.85    | 10,968.62    |
| SOUTHWESTERN ENERGY CO        | 845467109 | 1,110.00  | 53,502.00    | 31,258.47    |
| STAPLES INC                   | 855030102 | 2,075.00  | 51,024.25    | 42,173.14    |
| STATE STR CORP                | 857477103 | 1,010.00  | 43,975.40    | 72,989.92    |
| TEVA PHARMACEUTICAL INDS LTD  | 881624209 | 385.00    | 21,629.30    | 18,316.38    |
| TEXAS INSTRS INC              | 882508104 | 2,735.00  | 71,274.10    | 76,916.11    |
| THERMO FISHER SCIENTIFIC CORP | 883556102 | 585.00    | 27,898.65    | 24,191.69    |
| UNION PAC CORP                | 907818108 | 5,000.00  | 319,500.00   | 299,153.75   |
| UNITED PARCEL SVC INC         | 911312106 | 525.00    | 30,119.25    | 25,327.58    |
| UNITED TECHNOLOGIES CORP      | 913017109 | 1,605.00  | 111,403.05   | 63,485.77    |
| US BANCORP DEL                | 902973304 | 2,475.00  | 55,712.25    | 77,662.23    |
| VALE S A                      | 91912E105 | 950.00    | 27,578.50    | 17,693.75    |
| VERIZON COMMUNICATIONS INC    | 92343V104 | 275.00    | 9,110.75     | 8,311.88     |
| WAL-MART STORES INC           | 931142103 | 1,690.00  | 90,330.50    | 82,462.87    |
| WASTE MGMT INC DEL            | 94106L109 | 520.00    | 17,581.20    | 15,730.00    |
| WELLS FARGO & CO              | 949746101 | 1,129.00  | 30,471.71    | 33,521.42    |
| WILLIAM BLAIR FUND            | 093001188 | 19,600.10 | 205,605.09   | 180,124.96   |
| YUM BRANDS INC                | 988498101 | 770.00    | 26,926.90    | 15,477.00    |
|                               |           |           | 9,532,621.31 | 8,653,703.14 |

Run Date: 02-18-2010 07:03 AM, CST

Trust Web. Copyright 2006. Bank of America.

| TAX<br>CODE/DATE | TRANSACTIONS   | TAXABLE   | INCOME    | PRINCIPAL | EDIT<br>NUMBER  |
|------------------|--|-----------|-----------|-----------|---|
| 149              | BENEFICIARY DISTRIBUTIONS (CONTINUED)  |           |           |           |   |
| 06/24/09         | CHILDREN'S INSTITUTE<br>CAREER EDUCATION PROGRAM<br>FOR:<br>TR TRAN#=091750011000 TR CD=555 REG=0 PORT=INC   | -5000.00  | -5000.00  |           | 0906000104<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | THE COMMUNITY FOODBANK OF<br>KIDS CAFES AND BACKPACK PROGRAM<br>FOR:<br>TR TRAN#=091750012000 TR CD=555 REG=0 PORT=INC   | -10000.00 | -10000.00 |           | 0906000105<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | COUNCIL OF NEW JERSEY<br>NEW JERSEY TOGETHER - CIVIC JUSTICE<br>CORPS<br>FOR:<br>TR TRAN#=091750013000 TR CD=555 REG=0 PORT=INC  | -1000.00  | -1000.00  |           | 0906000106<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | CHILDREN ON THE GREEN, INC.<br>SHELTER SLOT PROGRAM<br>FOR:<br>TR TRAN#=091750009000 TR CD=555 REG=0 PORT=INC  | -2000.00  | -2000.00  |           | 0906000102<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/21/10 KZC |
| 06/24/09         | FURNITURE ASSIST, INC.<br>PROGRAM SERVICE - FURNITURE FOR THOSE<br>WITH NEED<br>FOR:<br>TR TRAN#=091750015000 TR CD=555 REG=0 PORT=INC   | -4000.00  | -4000.00  |           | 0906000108<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | GEORGE STREET PLAYHOUSE, INC.<br>GEORGE STREET PLAYHOUSE TOURING THEATRE<br>PROGRAM<br>FOR:<br>TR TRAN#=091750016000 TR CD=555 REG=0 PORT=INC  | -3500.00  | -3500.00  |           | 0906000109<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | HOMEFIRST INTERFAITH HOUSING AND<br>INTERFAITH HOSPITALITY NETWORK -<br>EMERGENCY SHELTER<br>FOR:<br>TR TRAN#=091750017000 TR CD=555 REG=0 PORT=INC  | -7500.00  | -7500.00  |           | 0906000110<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | HUDSON CRADLE, INC.<br>INFANT CARE AND PEDIATRIC NURSING<br>SERVICES<br>FOR:<br>TR TRAN#=091750018000 TR CD=555 REG=0 PORT=INC   | -5000.00  | -5000.00  |           | 0906000111<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | FOUNDATION FOR NEW JERSEY PUBLIC<br>CLOSED CAPTIONING OF NJN PUBLIC AFFAIRS<br>PROGRAMMING<br>FOR:<br>TR TRAN#=091750014000 TR CD=555 REG=0 PORT=INC   | -5000.00  | -5000.00  |           | 0906000107<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | THE WESTFIELD SYMPHONY<br>W.S.O. CARAVAN<br>FOR:<br>TR TRAN#=091750025000 TR CD=555 REG=0 PORT=INC   | -4000.00  | -4000.00  |           | 0906000118<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | YOUTH & FAMILY COUNSELING<br>WALK-IN CRISIS COUNSELING SERVICE<br>FOR:<br>TR TRAN#=091750026000 TR CD=555 REG=0 PORT=INC   | -2500.00  | -2500.00  |           | 0906000119<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | NEW EYES FOR THE NEEDY, INC.<br>NEW EYES FOR SENIORS<br>FOR:<br>TR TRAN#=091750027000 TR CD=555 REG=0 PORT=PRIN  | -7500.00  | -7500.00  |           | 0906000120<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | PLANNED LIFETIME ASSISTANCE<br>PROMOTING INDEPENDENT LIVING: PAYEE,<br>GUARDIANSHIP AND MENTORING SERVICES FOR<br>INDIVIDUALS WITH DISABILITIES<br>FOR:<br>TR TRAN#=091750028000 TR CD=555 REG=0 PORT=PRIN | -5000.00  | -5000.00  |           | 0906000121<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | INTERFAITH COUNCIL FOR HOMELESS<br>EMERGENCY SHELTER PROGRAM<br>FOR:<br>TR TRAN#=091750019000 TR CD=555 REG=0 PORT=INC   | -7500.00  | -7500.00  |           | 0906000112<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | JERSEY BATTERED WOMEN'S SERVICE<br>CHILDREN'S PROGRAM<br>FOR:<br>TR TRAN#=091750020000 TR CD=555 REG=0 PORT=INC  | -5000.00  | -5000.00  |           | 0906000113<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | LITERACY VOLUNTEERS OF UNION<br>THE LITERACY CENTER<br>FOR:<br>TR TRAN#=091750021000 TR CD=555 REG=0 PORT=INC  | -4000.00  | -4000.00  |           | 0906000114<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |

| TAX<br>CODE/DATE | TRANSACTIONS  | TAXABLE   | INCOME    | PRINCIPAL | EDIT<br>NUMBER  |
|------------------|---|-----------|-----------|-----------|---|
| 149              | BENEFICIARY DISTRIBUTIONS (CONTINUED)   |           |           |           |   |
| 06/24/09         | METROPOLITAN YMCA OF THE<br>FINANCIAL ASSISTANCE FUND<br>FOR:<br>TR TRAN#=091750022000 TR CD=555 REG=0 PORT=INC   | -5000.00  | -5000.00  |           | 0906000115<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | MORRIS MUSEUM, INC.<br>ADULT OUTREACH<br>FOR:<br>TR TRAN#=091750023000 TR CD=555 REG=0 PORT=INC   | -3000.00  | -3000.00  |           | 0906000116<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | MORRISTOWN MEMORIAL HEALTH<br>OUTPATIENT SPECIALTY CLINIC<br>FOR:<br>TR TRAN#=091750024000 TR CD=555 REG=0 PORT=INC   | -5000.00  | -5000.00  |           | 0906000117<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | PLANNED PARENTHOOD ASSOCIATION<br>LATINA CONNECTIONS<br>FOR:<br>TR TRAN#=091750029000 TR CD=555 REG=0 PORT=PRIN   | -7500.00  |           | -7500.00  | 0906000122<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | PRINCETON OUTREACH PROJECTS,<br>CRISIS MINISTRY OF PRINCETON AND<br>TRENTON'S UTILITY ASSISTANCE PROGRAM<br>FOR:<br>TR TRAN#=091750030000 TR CD=555 REG=0 PORT=PRIN | -7500.00  |           | -7500.00  | 0906000123<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | PRINCETON SENIOR RESOURCE<br>SOCIAL SERVICE PROGRAMS<br>FOR:<br>TR TRAN#=091750031000 TR CD=555 REG=0 PORT=PRIN   | -5000.00  |           | -5000.00  | 0906000124<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | SUMMIT SPEECH SCHOOL<br>PARENT INFANT PROGRAM<br>FOR:<br>TR TRAN#=091750032000 TR CD=555 REG=0 PORT=PRIN  | -3000.00  |           | -3000.00  | 0906000125<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 06/24/09         | TEACH FOR AMERICA, INC.<br>TEACH FOR AMERICA - NEWARK<br>FOR:<br>TR TRAN#=091750033000 TR CD=555 REG=0 PORT=PRIN  | -10000.00 |           | -10000.00 | 0906000126<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/22/10 KZC |
| 12/23/09         | THE APPEL FARM ARTS AND MUSIC<br>PAYMENT AS REQUESTED<br>FOR<br>TR TRAN#=093570001000 TR CD=823 REG=0 PORT=INC  | -5000.00  | -5000 00  |           | 0912000055<br>**CHANGED** 04/22/10 KZC                                  |
| 12/23/09         | CATHOLIC CHARITIES DIOCESE OF<br>PAYMENT AS REQUESTED<br>FOR<br>TR TRAN#=093570002000 TR CD=823 REG=0 PORT=INC  | -5000.00  | -5000 00  |           | 0912000056<br>**CHANGED** 04/22/10 KZC                                  |
| 12/23/09         | CONCORDIA LEARNING CENTER AT<br>PAYMENT AS REQUESTED<br>FOR.<br>TR TRAN#=093570004000 TR CD=823 REG=0 PORT=INC  | -10000.00 | -10000.00 |           | 0912000058<br>**CHANGED** 04/22/10 KZC                                  |
| 12/23/09         | CONTACT USA, INC<br>PAYMENT AS REQUESTED<br>FOR<br>TR TRAN#=093570005000 TR CD=823 REG=0 PORT=INC   | -5000 00  | -5000 00  |           | 0912000059<br>**CHANGED** 04/22/10 KZC                                  |
| 12/23/09         | CPC BEHAVIORAL HEALTHCARE, INC.<br>PAYMENT AS REQUESTED<br>FOR<br>TR TRAN#=093570006000 TR CD=823 REG=0 PORT=INC  | -2000 00  | -2000 00  |           | 0912000060<br>**CHANGED** 04/22/10 KZC                                  |
| 12/23/09         | COLLIER SERVICES<br>PAYMENT AS REQUESTED<br>FOR<br>TR TRAN#=093570003000 TR CD=823 REG=0 PORT=INC   | -5000 00  | -5000 00  |           | 0912000057<br>**CHANGED** 04/22/10 KZC                                  |
| 12/23/09         | ELECTRONIC INFORMATION AND<br>PAYMENT AS REQUESTED<br>FOR.<br>TR TRAN#=093570008000 TR CD=823 REG=0 PORT=INC  | -5000.00  | -5000 00  |           | 0912000062<br>**CHANGED** 04/22/10 KZC                                  |
| 12/23/09         | ELIZABETHPORT PRESBYTERIAN<br>PAYMENT AS REQUESTED<br>FOR<br>TR TRAN#=093570009000 TR CD=823 REG=0 PORT=INC   | -5000.00  | -5000.00  |           | 0912000063<br>**CHANGED** 04/22/10 KZC                                  |
| 12/23/09         | EVA'S VILLAGE, INC.<br>PAYMENT AS REQUESTED<br>FOR<br>TR TRAN#=093570010000 TR CD=823 REG=0 PORT=INC  | -10000.00 | -10000.00 |           | 0912000064<br>**CHANGED** 04/22/10 KZC                                  |
| 12/23/09         | THE INSTITUTE FOR CHILDREN WITH<br>PAYMENT AS REQUESTED<br>FOR.<br>TR TRAN#=093570011000 TR CD=823 REG=0 PORT=INC   | -5000.00  | -5000 00  |           | 0912000065<br>**CHANGED** 04/22/10 KZC                                  |

| TAX<br>CODE/DATE | TRANSACTIONS  | TAXABLE   | INCOME    | PRINCIPAL                        | EDIT<br>NUMBER    |
|------------------|---|-----------|-----------|----------------------------------|-------------------|
| 149              | BENEFICIARY DISTRIBUTIONS (CONTINUED)   |           |           |                                  |                   |
| 12/23/09         | MARGARET ANNA CUSACK CARE<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570007000 TR CD=823 REG=0 PORT=INC     | -5000.00  | -5000 00  | **CHANGED** 04/22/10             | 0912000061<br>KZC |
| 12/23/09         | JEWISH FAMILY SERVICE OF<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570017000 TR CD=823 REG=0 PORT=INC      | -4000.00  | -4000 00  | **CHANGED** 04/22/10             | 0912000071<br>KZC |
| 12/23/09         | NEW JERSEY INTERGENERATIONAL<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570018000 TR CD=823 REG=0 PORT=INC  | -1000 00  | -1000 00  | **CHANGED** 04/22/10             | 0912000072<br>KZC |
| 12/23/09         | NEW JERSEY SEEDS, INC<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570019000 TR CD=823 REG=0 PORT=INC         | -5000.00  | -5000 00  | **CHANGED** 04/22/10             | 0912000073<br>KZC |
| 12/23/09         | NEWARK MUSEUM ASSOCIATION<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570020000 TR CD=823 REG=0 PORT=INC     | -3000.00  | -3000.00  | **CHANGED** 04/22/10             | 0912000074<br>KZC |
| 12/23/09         | GREATER NEWARK CONSERVANCY,<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570012000 TR CD=823 REG=0 PORT=INC   | -2000 00  | -2000 00  | **CHANGED** 04/22/10             | 0912000066<br>KZC |
| 12/23/09         | HITOPS<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570013000 TR CD=823 REG=0 PORT=INC                        | -3000 00  | -3000 00  | **CHANGED** 04/22/10             | 0912000067<br>KZC |
| 12/23/09         | HEALTH CARE MINISTRY OF<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570014000 TR CD=823 REG=0 PORT=INC       | -2000 00  | -2000 00  | **CHANGED** 04/22/10             | 0912000068<br>KZC |
| 12/23/09         | INTERFAITH CAREGIVERS TRENTON<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570015000 TR CD=823 REG=0 PORT=INC | -3000.00  | -3000 00  | **CHANGED** 04/22/10             | 0912000069<br>KZC |
| 12/23/09         | JEWISH FAMILY SERVICE AGENCY<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570016000 TR CD=823 REG=0 PORT=INC  | -10000.00 | -10000 00 | **CHANGED** 04/22/10             | 0912000070<br>KZC |
| 12/23/09         | OUR HOUSE, INC.<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570021000 TR CD=823 REG=0 PORT=INC               | -5000.00  | -5000 00  | **CHANGED** 04/22/10             | 0912000075<br>KZC |
| 12/23/09         | BOSTON COLLEGE TRUSTEES<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570022000 TR CD=823 REG=0 PORT=PRIN      | -750.00   |           | -750.00<br>**CHANGED** 04/22/10  | 0912000076<br>KZC |
| 12/23/09         | CHURCH OF ST. HELEN<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570023000 TR CD=823 REG=0 PORT=PRIN          | -750 00   |           | -750.00<br>**CHANGED** 04/22/10  | 0912000077<br>KZC |
| 12/23/09         | HOLY CROSS HIGH SCHOOL<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570024000 TR CD=823 REG=0 PORT=PRIN       | -500 00   |           | -500 00<br>**CHANGED** 04/22/10  | 0912000078<br>KZC |
| 12/23/09         | NAMI MERCER NJ, INC<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570025000 TR CD=823 REG=0 PORT=PRIN          | -6500.00  |           | -6500 00<br>**CHANGED** 04/22/10 | 0912000079<br>KZC |
| 12/23/09         | NEW JERSEY WORKSHOP FOR THE<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570026000 TR CD=823 REG=0 PORT=PRIN  | -2500.00  |           | -2500 00<br>**CHANGED** 04/27/10 | 0912000080<br>KZC |
| 12/23/09         | OPPORTUNITY PROJECT, INC<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570027000 TR CD=823 REG=0 PORT=PRIN     | -3000.00  |           | -3000 00<br>**CHANGED** 04/22/10 | 0912000081<br>KZC |
| 12/23/09         | RESCUE MISSION OF TRENTON<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570028000 TR CD=823 REG=0 PORT=PRIN    | -7000.00  |           | -7000.00<br>**CHANGED** 04/22/10 | 0912000082<br>KZC |

| TAX<br>CODE/DATE | TRANSACTIONS   | TAXABLE  | INCOME | PRINCIPAL | EDIT<br>NUMBER                         |
|------------------|--|----------|--------|-----------|--|
| 149              | BENEFICIARY DISTRIBUTIONS (CONTINUED)  |          |        |           |  |
| 12/23/09         | SAINT CLARES FOUNDATION, INC<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570029000 TR CD=823 REG=0 PORT=PRIN    | -5000.00 |        | -5000.00  | 0912000083<br>**CHANGED** 04/22/10 KZC |
| 12/23/09         | SENIOR SERVICES CENTER OF THE<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570030000 TR CD=823 REG=0 PORT=PRIN   | -3000.00 |        | -3000.00  | 0912000084<br>**CHANGED** 04/22/10 KZC |
| 12/23/09         | SIENA COLLEGE<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570031000 TR CD=823 REG=0 PORT=PRIN                   | -750.00  |        | -750.00   | 0912000085<br>**CHANGED** 04/22/10 KZC |
| 12/23/09         | ST PETER'S HAVEN CORPORATION<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570033000 TR CD=823 REG=0 PORT=PRIN    | -5000.00 |        | -5000.00  | 0912000087<br>**CHANGED** 04/22/10 KZC |
| 12/23/09         | TRINITAS HEALTH FOUNDATION<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570034000 TR CD=823 REG=0 PORT=PRIN      | -6500.00 |        | -6500.00  | 0912000088<br>**CHANGED** 04/22/10 KZC |
| 12/23/09         | YMCA CORPORATION<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570035000 TR CD=823 REG=0 PORT=PRIN                | -2500.00 |        | -2500.00  | 0912000089<br>**CHANGED** 04/22/10 KZC |
| 12/23/09         | YMCA OF PLAINFIELD<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570036000 TR CD=823 REG=0 PORT=PRIN              | -4000.00 |        | -4000.00  | 0912000090<br>**CHANGED** 04/22/10 KZC |
| 12/23/09         | SOMERSET MEDICAL CENTER<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570032000 TR CD=823 REG=0 PORT=PRIN         | -8000.00 |        | -8000.00  | 0912000086<br>**CHANGED** 04/22/10 KZC |
| 12/23/09         | YOUTH CONSULTATION SERVICE, INC<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570038000 TR CD=823 REG=0 PORT=PRIN | -2500.00 |        | -2500.00  | 0912000092<br>**CHANGED** 04/22/10 KZC |
| 12/23/09         | YWCA OF EASTERN UNION COUNTY<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570039000 TR CD=823 REG=0 PORT=PRIN    | -7500.00 |        | -7500.00  | 0912000093<br>**CHANGED** 04/22/10 KZC |
| 12/23/09         | YWCA OF PRINCETON NJ<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570040000 TR CD=823 REG=0 PORT=PRIN            | -7500.00 |        | -7500.00  | 0912000094<br>**CHANGED** 04/22/10 KZC |
| 12/23/09         | KENWOOD CONVENT OF THE SACRED<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570041000 TR CD=823 REG=0 PORT=PRIN   | -750.00  |        | -750.00   | 0912000095<br>**CHANGED** 04/22/10 KZC |
| 12/23/09         | YMCA OF WESTFIELD NJ<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093570037000 TR CD=823 REG=0 PORT=PRIN            | -4000.00 |        | -4000.00  | 0912000091<br>**CHANGED** 04/22/10 KZC |
| 12/28/09         | AMNESTY INTERNATIONAL USA, INC<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093620001000 TR CD=823 REG=0 PORT=PRIN  | -200.00  |        | -200.00   | 0912000097<br>**CHANGED** 04/22/10 KZC |
| 12/28/09         | CHAMPLAIN COLLEGE, INC<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093620003000 TR CD=823 REG=0 PORT=PRIN          | -300.00  |        | -300.00   | 0912000099<br>**CHANGED** 04/22/10 KZC |
| 12/28/09         | LAWRENCEVILLE SCHOOL<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093620004000 TR CD=823 REG=0 PORT=PRIN            | -300.00  |        | -300.00   | 0912000100<br>**CHANGED** 04/22/10 KZC |
| 12/28/09         | N STREET VILLAGE, INC<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093620005000 TR CD=823 REG=0 PORT=PRIN           | -200.00  |        | -200.00   | 0912000101<br>**CHANGED** 04/22/10 KZC |
| 12/28/09         | OXFAM-AMERICA, INC.<br>PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093620002000 TR CD=823 REG=0 PORT=PRIN             | -200.00  |        | -200.00   | 0912000098<br>**CHANGED** 04/22/10 KZC |

149 BENEFICIARY DISTRIBUTIONS

|          |  |          |          |   |
|----------|--|----------|----------|---|
| 06/24/09 | ALZHEIMER'S DISEASE AND RELATED CAREGIVERS RESPITE CARE ASSISTANCE PROGRAM<br>FOR:<br>TR TRAN#=091750003000 TR CD=555 REG=0 PORT=INC       | -8500.00 | -8500.00 | 0906000096<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/21/10 KZC |
| 06/24/09 | AMERICAN NATIONAL RED CROSS TRANSPORTATION SERVICES FOR AMBULATORY SENIORS<br>FOR:<br>TR TRAN#=091750004000 TR CD=555 REG=0 PORT=INC       | -7500.00 | -7500.00 | 0906000097<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/21/10 KZC |
| 06/24/09 | ADLER APHASIA CENTER LIFE SKILLS PROGRAM TO SUPPORT DISABLED ADULTS WITH APHASIA<br>FOR:<br>TR TRAN#=091750002000 TR CD=555 REG=0 PORT=INC | -5000.00 | -5000.00 | 0906000095<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/21/10 KZC |
| 06/24/09 | BIG BROTHERS BIG SISTERS OF BIG BROTHERS BIG SISTERS YOUTH MENTORING PROGRAM<br>FOR:<br>TR TRAN#=091750006000 TR CD=555 REG=0 PORT=INC     | -4000.00 | -4000.00 | 0906000099<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/21/10 KZC |
| 06/24/09 | CAMDEN EYE CENTER SIGHT FIRST FOR KIDS AND FAMILIES COMMUNITY EYE CARE PROGRAM<br>FOR:<br>TR TRAN#=091750007000 TR CD=555 REG=0 PORT=INC   | -5000.00 | -5000.00 | 0906000100<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/21/10 KZC |
| 06/24/09 | CATHOLIC SOCIAL SERVICES OF SENIORS: SAFE IN PLACE<br>FOR:<br>TR TRAN#=091750008000 TR CD=555 REG=0 PORT=INC                               | -5000.00 | -5000.00 | 0906000101<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/21/10 KZC |
| 06/24/09 | AMERICAN NATIONAL RED CROSS HEALTH SAFETY & PREPAREDNESS COURSE ENLARGEMENT<br>FOR:<br>TR TRAN#=091750005000 TR CD=555 REG=0 PORT=INC      | -2000.00 | -2000.00 | 0906000098<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/21/10 KZC |
| 06/24/09 | CHILDREN'S AID AND FAMILY EDUCATIONAL SUPPORTS FOR FOSTER CHILDREN<br>FOR:<br>TR TRAN#=091750010000 TR CD=555 REG=0 PORT=INC               | -4000.00 | -4000.00 | 0906000103<br>BENE DISTRIBUTION WITH NO SSN<br>**CHANGED** 04/21/10 KZC |

149 BENEFICIARY DISTRIBUTIONS (CONTINUED)

|  |   |            |            |  |
|--|---|------------|------------|--|
| 12/28/09                                   | PRINCETON NATIONAL ROWING PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093620006000 TR CD=823 REG=0 PORT=PRIN       | -3800.00   | -3800.00   | 0912000102<br>**CHANGED** 04/22/10 KZC |
| 12/31/09                                   | KEAN UNIVERSITY FOUNDATION, INC PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093650001000 TR CD=823 REG=0 PORT=PRIN | -30000.00  | -30000.00  | 0912000106<br>**CHANGED** 04/22/10 KZC |
| 12/31/09                                   | MONTCLAIR STATE UNIVERSITY PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093650002000 TR CD=823 REG=0 PORT=PRIN      | -20000.00  | -20000.00  | 0912000107<br>**CHANGED** 04/22/10 KZC |
| 12/31/09                                   | THE WILLIAM PATERSON UNIVERSITY PAYMENT AS REQUESTED<br>FOR:<br>TR TRAN#=093650003000 TR CD=823 REG=0 PORT=PRIN | -20000.00  | -20000.00  | 0912000108<br>**CHANGED** 04/22/10 KZC |
| TOTAL CODE 149 - BENEFICIARY DISTRIBUTIONS |   | -418500.00 | -220000.00 | -198500.00                             |