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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type See Specific Instructions	Name of foundation GEORGE AND RUTH HARMS FOUNDATION		A Employer identification number 22-3548916
	Number and street (or P.O. box number if mail is not delivered to street address) Room/suite PO BOX 817		B Telephone number (732) 938-4004
	City or town, state, and ZIP code FARMINGDALE, NJ 07727		C If exemption application is pending, check here ☐
	H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 179,283.		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	294,494.		N/A	
2	Check ☒ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	3,108.	2,824.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-39,444.			
b	Gross sales price for all assets on line 6a	375,150.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	258,158.	2,824.		
13	Compensation of officers, directors, trustees, etc.	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees				
c	Other professional fees	1,225.	1,225.		0.
17	Interest	75.	75.		0.
18	Taxes	46.	32.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	55.	55.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	1,401.	1,387.		0.
25	Contributions, gifts, grants paid	351,750.			351,750.
26	Total expenses and disbursements. Add lines 24 and 25	353,151.	1,387.		351,750.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-94,993.			
b	Net investment income (if negative, enter -0-)		1,437.		
c	Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	6,125.	4,727.	4,727.
	3 Accounts receivable ▶ Less. allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less. allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less. allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	184,115.	174,556.	174,556.
	c Investments - corporate bonds			
	11 Investments land buildings and equipment basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
14 Land, buildings, and equipment basis ▶ Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	190,240.	179,283.	179,283.	
Liabilities	17 Accounts payable and accrued expenses	52.	14.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	52.	14.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	190,188.	179,269.		
30 Total net assets or fund balances	190,188.	179,269.		
31 Total liabilities and net assets/fund balances	190,240.	179,283.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	190,188.
2 Enter amount from Part I, line 27a	2	-94,993.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	84,074.
4 Add lines 1, 2, and 3	4	179,269.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	179,269.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 375,150.		414,594.	-39,444.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			-39,444.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-39,444.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	319,915.	536,419.	.596390
2007	297,009.	613,228.	.484337
2006	196,118.	610,864.	.321050
2005	198,620.	754,436.	.263270
2004	62,261.	831,519.	.074876

2 Total of line 1, column (d)	2	1.739923
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.347985
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	152,340.
5 Multiply line 4 by line 3	5	53,012.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	14.
7 Add lines 5 and 6	7	53,026.
8 Enter qualifying distributions from Part XII, line 4	8	351,750.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	14.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	14.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	14.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		14.
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2010 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NJ</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation <u>SEE STATEMENT 7</u>		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses <u>STMT 8</u>	X	

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>GARY ABADRABO</u> Telephone no ► <u>732-938-4004</u> Located at ► <u>P O BOX 817 YELLOWBROOK, FARMINGDALE, NJ</u> ZIP+4 ► <u>07727</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	☐ 15 <u>N/A</u>		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	<u>N/A</u>	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ► _____ , _____ , _____	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<u>N/A</u>	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____ , _____ , _____ , _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	<u>N/A</u>	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	125,740.
b	Average of monthly cash balances	1b	28,920.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	154,660.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	154,660.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,320.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	152,340.
6	Minimum investment return. Enter 5% of line 5	6	7,617.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	7,617.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	14.
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	14.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	7,603.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	7,603.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	7,603.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	351,750.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	351,750.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	14.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	351,736.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				7,603.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004	21,163.			
b From 2005	161,938.			
c From 2006	165,839.			
d From 2007	266,798.			
e From 2008	293,264.			
f Total of lines 3a through e	909,002.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$	351,750.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				7,603.
e Remaining amount distributed out of corpus	344,147.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,253,149.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	21,163.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	1,231,986.			
10 Analysis of line 9:				
a Excess from 2005	161,938.			
b Excess from 2006	165,839.			
c Excess from 2007	266,798.			
d Excess from 2008	293,264.			
e Excess from 2009	344,147.			

Part XV	Supplementary Information (continued)
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3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 10				
Total			▶ 3a	351,750.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue.					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	3,108.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-39,444.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		-36,336.	0.
13 Total Add line 12, columns (b), (d), and (e)				-36,336.	-36,336.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash (2) Other assets b Other transactions (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		Yes	No
	1a(1)		X
	1a(2)		X
	1b(1)		X
	1b(2)		X
	1b(3)		X
	1b(4)		X
	1b(5)		X
	1b(6)		X
	1c		X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

Date _____

→ Title

Préparer's
signature

Date

☐ Check if self-employed

Preparer's identifying number

Firm's name (or yours if self-employed),
address, and ZIP code

THE CURCHIN GROUP, LLC
200 SCHULZ DR, STE 400
RED BANK, NJ 07701-6745

Phone no. 732-747-0500

Form **990-PF** (2009)

GEORGE AND RUTH HARMS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ALBEMARLE		D	11/05/09	12/21/09
b ATWOOD OCEANICS		D	09/02/09	10/02/09
c ATWOOD OCEANICS		D	09/02/09	10/08/09
d ATWOOD OCEANICS		D	09/02/09	10/26/09
e COMPANHIA DE BEBIDAS		D	11/05/09	12/21/09
f DISCOVERY COMMUNICATIONS		D	11/05/09	12/21/09
g DIRECT TV		D	11/05/09	12/21/09
h RR DONNELLEY		D	11/05/09	12/21/09
i GARDNER DENVER INC		D	09/02/09	10/02/09
j GARDNER DENVER INC		D	09/02/09	10/08/09
k GARDNER DENVER INC		D	09/02/09	10/26/09
l IVANHOE MINES		D	09/02/09	10/02/09
m IVANHOE MINES		D	09/02/09	10/02/09
n IVANHOE MINES		D	09/02/09	10/08/09
o IVANHOE MINES		D	09/02/09	10/26/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 7,130.		6,530.	600.
b 7,058.		5,996.	1,062.
c 749.		553.	196.
d 3,121.		2,293.	828.
e 4,230.		4,229.	1.
f 5,374.		5,039.	335.
g 51,741.		44,593.	7,148.
h 31,121.		30,530.	591.
i 6,487.		6,520.	-33.
j 742.		671.	71.
k 2,876.		2,397.	479.
l 9,156.		8,568.	588.
m 62.		56.	6.
n 720.		638.	82.
o 3,544.		3,338.	206.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			600.
b			1,062.
c			196.
d			828.
e			1.
f			335.
g			7,148.
h			591.
i			-33.
j			71.
k			479.
l			588.
m			6.
n			82.
o			206.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

GEORGE AND RUTH HARMS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a JANDJ SNACK FOOD		D	09/02/09	10/02/09
b JANDJ SNACK FOOD		D	09/02/09	10/08/09
c JANDJ SNACK FOOD		D	09/02/09	10/26/09
d LIBERTY MEDIA		D	11/05/09	12/21/09
e RC2		D	09/02/09	10/02/09
f RC2		D	09/02/09	10/02/09
g RC2		D	09/02/09	10/08/09
h RC2		D	09/02/09	10/26/09
i SXC HEALTH		D	09/02/09	10/02/09
j SXC HEALTH		D	09/02/09	10/02/09
k SXC HEALTH		D	09/02/09	10/08/09
l SXC HEALTH		D	09/02/09	10/26/09
m SXC HEALTH		D	09/02/09	12/21/09
n SYBASE		D	11/05/09	12/21/09
o WARNACO		D	09/02/09	10/26/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,415.		6,847.	-432.
b 725.		746.	-21.
c 2,317.		2,502.	-185.
d 7,363.		6,985.	378.
e 8,907.		10,482.	-1,575.
f 58.		73.	-15.
g 755.		778.	-23.
h 4,010.		4,081.	-71.
i 21,726.		19,883.	1,843.
j 578.		524.	54.
k 731.		605.	126.
l 5,578.		4,719.	859.
m 2,854.		2,218.	636.
n 13,802.		12,897.	905.
o 3,584.		3,045.	539.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-432.
b			-21.
c			-185.
d			378.
e			-1,575.
f			-15.
g			-23.
h			-71.
i			1,843.
j			54.
k			126.
l			859.
m			636.
n			905.
o			539.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	DIRECT TV	D	VARIOUS	11/25/09
b	WALTER ENERGY	D	11/05/09	12/21/09
c	WARNACO	D	VARIOUS	10/02/09
d	WARNACO	D	VARIOUS	10/02/09
e	WARNACO	D	VARIOUS	10/08/09
f	MORGAN STANLEY- SEE ATTACHED 42345	P	VARIOUS	VARIOUS
g	MORGAN STANLEY- SEE ATTACHED 42345	P	VARIOUS	VARIOUS
h	DOLBY LABS	P	VARIOUS	08/26/09
i	WEATHERFORD	P	04/19/06	08/26/09
j	TYCO ELECTRONICS	P	04/19/06	08/26/09
k	TYCO ELECTRONICS	P	04/19/06	08/26/09
l	ANADARKO	P	VARIOUS	08/26/09
m	BIOGEN	P	04/19/06	04/07/09
n	BIOGEN	P	04/19/06	08/26/09
o	COMCAST	P	04/19/06	08/26/09

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 13.			13.
b 16,188.		13,848.	2,340.
c 9,172.		8,108.	1,064.
d 212.		183.	29.
e 694.		587.	107.
f 8,089.		10,984.	-2,895.
g 39,598.		66,007.	-26,409.
h 395.		293.	102.
i 487.		583.	-96.
j 282.		369.	-87.
k 378.		493.	-115.
l 1,096.		935.	161.
m 739.		678.	61.
n 249.		226.	23.
o 363.		479.	-116.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			13.
b			2,340.
c			1,064.
d			29.
e			107.
f			-2,895.
g			-26,409.
h			102.
i			-96.
j			-87.
k			-115.
l			161.
m			61.
n			23.
o			-116.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

GEORGE AND RUTH HARMS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	CREE	P	04/19/06	08/26/09
b	FOREST LABS	P	04/19/06	08/26/09
c	L3 COMMUNICATIONS	P	04/19/06	04/07/09
d	L3 COMMUNICATIONS	P	04/19/06	08/26/09
e	LIBERTY MEDIA	P	VARIOUS	08/26/09
f	LIBERTY MEDIA	P	04/19/06	04/07/09
g	LIBERTY MEDIA	P	04/19/06	08/26/09
h	UNITED HEALTH	P	04/19/06	04/07/09
i	UNITED HEALTH	P	04/19/06	08/26/09
j	MORGAN STANLEY- SEE ATTACHED 42349	P	VARIOUS	VARIOUS
k	MORGAN STANLEY- SEE ATTACHED 42349	P	VARIOUS	VARIOUS
l	MORGAN STANLEY- SEE ATTACHED 25642		VARIOUS	VARIOUS
m	MORGAN STANLEY- SEE ATTACHED 25642		VARIOUS	VARIOUS
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	365.	344.	21.
b	292.	426.	-134.
c	1,073.	1,265.	-192.
d	372.	422.	-50.
e	186.	150.	36.
f	854.	601.	253.
g	280.	154.	126.
h	417.	879.	-462.
i	294.	489.	-195.
j	14,972.	20,939.	-5,967.
k	15,537.	19,281.	-3,744.
l	5,090.	10,130.	-5,040.
m	43,949.	57,405.	-13,456.
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			21.
b			-134.
c			-192.
d			-50.
e			36.
f			253.
g			126.
h			-462.
i			-195.
j			-5,967.
k			-3,744.
l			-5,040.
m			-13,456.
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-39,444.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MORGAN STANLEY SMITH BARNEY	2,824.	0.	2,824.
MORGAN STANLEY SMITH BARNEY - NONDIVIDEND DISTRIBUTION	284.	0.	284.
TOTAL TO FM 990-PF, PART I, LN 4	3,108.	0.	3,108.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT ADVISORY FEES	1,225.	1,225.			0.
TO FORM 990-PF, PG 1, LN 16C	1,225.	1,225.			0.

FORM 990-PF	TAXES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL EXCISE TAX	14.	0.		0.	
FOREIGN TAX	32.	32.		0.	
TO FORM 990-PF, PG 1, LN 18	46.	32.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ANNUAL FEES	25.	25.		0.	
NJ CRI	30.	30.		0.	
TO FORM 990-PF, PG 1, LN 23	55.	55.		0.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	5
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DESCRIPTION	AMOUNT
UNREALIZED GAIN (LOSS) ON INVESTMENTS	84,074.
TOTAL TO FORM 990-PF, PART III, LINE 3	84,074.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS	174,556.	174,556.
TOTAL TO FORM 990-PF, PART II, LINE 10B	174,556.	174,556.

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 8B	STATEMENT	7
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EXPLANATION

THE STATE OF NEW JERSEY REQUIRES PRIVATE FOUNDATIONS TO FILE WITH THE STATE OF NEW JERSEY, DEPARTMENT OF LAW AND PUBLIC SAFETY, DIVISION OF CONSUMER AFFAIRS, CHARITIES REGISTRATION IN NEWARK NJ. PRIVATE FOUNDATIONS ARE NOT REQUIRED TO MAKE AN ADDITIONAL FILING WITH THE ATTORNEY GENERAL.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT 8
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NAME OF CONTRIBUTOR	ADDRESS
GEORGE & RUTH HARMS	814 LINDEN LANE BRIELLE, NJ 08730

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 9
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN	EXPENSE CONTRIB	ACCOUNT
GEORGE HARMS 814 LINDEN LANE BRIELLE, NJ 08730	PRESIDENT / TRUSTEE 0.00	0.	0.	0.	0.
RUTH HARMS 814 LINDEN LANE BRIELLE, NJ 08730	VICE PRESIDENT / TRUSTEE 0.00	0.	0.	0.	0.
GARY ABADRABO 10 SEQUIOA COURT BRIELLE, NJ 08730	SECRETARY / TRUSTEE 0.00	0.	0.	0.	0.
KATHY DUFFE 520 HARRIS AVE BRIELLE, NJ 08730	TREASURER 0.00	0.	0.	0.	0.
ROBERT HARMS 2519 RIVER RD MANASQUAN, NJ 08736	TRUSTEE 0.00	0.	0.	0.	0.
KEVIN HARMS 932 COLE DRIVE BRIELLE, NJ 08730	TRUSTEE 0.00	0.	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.	0.

FORM 990-PF GRANTS AND CONTRIBUTIONS STATEMENT 10
PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ALZHEIMERS ASSOCIATION	NONE YEAR END DONATION	PUBLIC	1,000.
AMERICAN CANCER SOCIETY	NONE CANCER BALL	PUBLIC	8,000.
AMERICAN HEART ASSOCIATION	NONE YEAR END DONATION	PUBLIC	5,000.
BRIELLE BOARD OF EDUCATION	NONE 09-10 SCHOOL YEAR	PUBLIC	5,000.
CONSERVATION FORCE	NONE YEAR END DONATION	PUBLIC	5,000.
FELLOWSHIP FOR CHRISTIAN ATHLETES	NONE YEAR END DONATION	PUBLIC	5,000.
FIRST PRESBYTERIAN CHURCH OF MANASQUAN	NONE 2009 PLEDGE	PUBLIC	44,750.
FIRST PRESBYTERIAN CHURCH OF MANASQUAN	NONE OPERATING BUDGET	PUBLIC	25,000.

.GEORGE AND RUTH HARMS FOUNDATION

22-3548916

FIRST PRESBYTERIAN CHURCH OF MANASQUAN	NONE PLEDGE	PUBLIC	50,000.
FIRST PRESBYTERIAN CHURCH OF MANASQUAN	NONE TROUBLED YOUTH	PUBLIC	25,000.
FIRST PRESBYTERIAN CHURCH OF MANASQUAN	NONE SAMARITAN CENTER	PUBLIC	50,000.
FOOD BANK OF MONMOUTH/OCEAN COUNTY	NONE YEAR END DONATION	PUBLIC	10,000.
FOUNDATION OF ORTHOPEDICS	NONE PLEDGE	PUBLIC	6,250.
FOUNDATION OF ORTHOPEDICS	NONE LEADERSHIP	PUBLIC	10,000.
FOUNDATION OF ORTHOPEDICS	NONE SWANSON OUTPATIENT	PUBLIC	5,000.
GRAND SLAM CLUB/OVIS	NONE YEAR END DONATION	PUBLIC	5,000.
JERSEY SHORE UNIVERSITY MEDICAL CENTER	NONE OPEN HEART LUNCH	PUBLIC	3,000.
JOHN HOPKINS SCHOOL OF MEDICINE	NONE PLEDGE	PUBLIC	12,500.

LEUKEMIA SOCIETY OF AMERICA	NONE YEAR END DONATION	PUBLIC	2,000.
MANASQUAN BRIELLE LITTLE LEAGUE	NONE PLEDGE	PUBLIC	2,500.
MARCH OF DIMES	NONE YEAR END DONATION	PUBLIC	2,000.
MEMORIAL SLOAN-KETTERING HOME CANCER CENTER	NONE YEAR END DONATION	PUBLIC	2,000.
MERIDIAN HEALTH AFFILIATE FOUDATIONS	NONE LUNCHEON	PUBLIC	1,500.
MERIDIAN HEALTH AFFILIATE FOUDATIONS	NONE PLEDGE	PUBLIC	13,500.
MONMOUTH UNIVERSITY	NONE SCHOLARSHIP - JOHNSON HONOR	PUBLIC	5,000.
MUSCULAR DYSTROPHY	NONE YEAR END DONATION	PUBLIC	2,000.
NATIONAL MULTIPLE SCLEROSIS SOCIETY	NONE PLEDGE	PUBLIC	10,000.
OCEAN MEDICAL CENTER FOUNDATION	NONE LUNCHEON	PUBLIC	1,750.

GEORGE AND RUTH HARMS FOUNDATION

22-3548916

OCEAN MEDICAL CENTER FOUNDATION	NONE GOLF CLASSIC	PUBLIC	13,000.
OCEAN MEDICAL CENTER FOUNDATION	NONE GALA	PUBLIC	6,000.
RONALD MCDONALD HOUSE	NONE YEAR END DONATION	PUBLIC	2,000.
SAFARI CLUB INTERNATIONAL FOUNDATION	NONE YEAR END DONATION	PUBLIC	1,000.
SHORE MEMORIAL HEALTH FOUNDATION	NONE PLEDGE	PUBLIC	5,000.
UNITED WAY OF MONMOUTH COUNTY	NONE YEAR END DONATION	PUBLIC	2,000.
WASHINGTON COLLEGE	NONE PLEDGE	PUBLIC	4,000.
WESTERN MONMOUTH HABITAT FOR HUMANITY	NONE YEAR END DONATION	PUBLIC	1,000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

351,750.

Ref: 00000534 00015528

GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42345-11 246

Details of Earnings 2009 - continued

Dividends and Distributions, Section 2

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160003200	*** TOYOTA MOTOR CORP ADR NEW THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		\$.41				
160003400	*** ZURICH FINCL SVCS SPON ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED		7.19				
Totals			\$ 50.18				

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000050	5	AMERICA MOVIL S.A.B DE CV SER L SPONS ADR	05/16/08	04/14/09	\$ 154.14	\$ 287.67	(\$ 133.53)	
125000060	35	ATLAS COPCO AB SPONS ADR	09/29/08	04/14/09	299.24	395.42	(96.18)	
	10	RPSTG SER A -USD	02/06/09		85.50	87.26	(1.76)	
125000140	10	BARCLAYS PLC-ADR	07/28/08	04/14/09	111.70	230.59	(118.89)	
125000150	5	BAYER A G SPONSORED ADR	07/15/08	04/14/09	246.64	431.80	(185.16)	
125000170	5	BHP BILLITON LTD SPONS ADR	08/15/08	04/14/09	239.19	324.51	(85.32)	
125000200	5	CHINA LIFE INSURANCE CO LTD-SPONSORED ADR	03/05/09	04/14/09	268.44	209.75		58.69
125000210	5	CHINA MOBILE LTD SPONSORED ADR	02/06/09	04/14/09	224.04	250.75	(26.71)	
125000230	10	COMPANHIA VALE DI RIO ADR DOCE	08/15/08	04/14/09	162.69	252.05	(89.36)	



2009 YEAR END SUMMARY

Ref: 00000534 00015529

GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42345-11 246

Details of Short Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000240	29	DBS GROUP HLDG LTD SP ADR SALE OF RTS ON 28.0000 SHS RECORD 01/29/09 PAY 02/05/09	01/29/09	01/29/09	\$ 113.20	\$.01		\$ 113.19
125000320	9	GDF SUEZ-EUR	07/25/08	04/14/09	290.24	589.60	(299.36)	
125000350	10	HSBC HLDG PLC SP ADR NEW	04/08/09	04/14/09	357.79	184.87		172.92
125000380	20	ING GROEP NV SPONS ADR	10/22/08	04/14/09	171.39	223.80	(52.41)	
125000430	2	LVMH MOET HENNESSY LOUIS	02/12/09	04/08/09	26.60	24.90		1.70
3	3	VUITTON, PARIS-EUR ADR	02/13/09		39.89	37.72		2.17
125000440	30	LVMH MOET HENNESSY LOUIS VUITTON, PARIS-EUR ADR	02/13/09	04/14/09	415.48	377.18		38.30
125000470	4	MITSUBISHI CORP	08/11/08	04/14/09	128.64	216.99	(88.35)	
4	4	SPONS ADR	08/12/08		128.64	215.05	(86.41)	
2	2		08/11/08		64.32	108.70	(44.38)	
10	10		02/09/09		321.58	302.50		19.08
125000500	12	MITSUBISHI UFJ FINANCIAL	01/08/09	04/14/09	63.98	73.33	(9.35)	
46	46	GROUP INC ADR	01/09/09		245.26	280.92	(35.66)	
17	17		01/12/09		90.64	102.76	(12.12)	
125000580	5	NINTENDO CO LTD ADR NEW	09/17/08	04/08/09	180.74	272.09	(91.35)	
125000590	10	NINTENDO CO LTD ADR NEW	09/29/08	04/14/09	337.09	542.93	(205.84)	
10	10		10/10/08		337.09	423.25	(86.16)	
125000630	35	NORSK HYDRO A S SPONS ADR	02/22/08	02/05/09	131.59	497.31	(365.72)	
125000660	5	PRUDENTIAL PLC ADR	11/18/08	04/08/09	51.49	44.32		7.17
125000670	25	PRUDENTIAL PLC ADR	11/18/08	04/14/09	263.49	221.59		41.90
125000680	4	RWE AG SPONS ADR -USD	07/16/08	04/14/09	301.59	491.53	(189.94)	
125000710	1	RIO TINTO PLC-GBP	02/08/09	04/14/09	147.36	121.28		26.08
125000730	5	ROYAL DUTCH SHELL PLC ADR CL A	05/28/08	04/07/09	218.75	428.87	(209.92)	
125000740	10	ROYAL DUTCH SHELL PLC ADR	05/28/08	04/14/09	429.49	857.34	(427.85)	
5	5	CL A	07/14/08		214.74	382.19	(167.45)	
5	5		09/17/08		214.74	298.95	(84.21)	
125000890	5	TEVA PHARMACEUTICAL INDS	08/30/08	04/14/09	222.79	229.27	(6.48)	
10	10	LTD ADR	07/14/08		445.59	415.47		30.12
125000910	5	TOYOTA MOTOR CORP ADR NEW	02/07/08	02/05/09	343.49	549.65	(206.16)	
Total					\$ 8,088.26	\$ 10,983.97	(\$ 3,406.03)	\$ 511.32

7100.02

(2094.71)



Ref: 0000534 00015530

GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42345-11 246

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	5	ABB LTD SPONS ADR	04/11/07	04/08/09	\$ 71.64	\$ 89.20	(\$ 17.56)	
125000020	65	ABB LTD SPONS ADR	04/11/07	04/14/09	982.12	1,159.67	(177.55)	
125000030	5	ALLIANZ SE ADR	05/16/07	04/08/09	44.74	108.74	(64.00)	
125000040	30	ALLIANZ SE ADR	05/16/07	04/14/09	280.19	652.47	(372.28)	
	25		04/03/08		233.49	514.25	(280.76)	
125000050	5	AMERICA MOVIL S.A.B DE CV SER L SPONS ADR	02/21/08	04/14/09	154.15	308.64	(154.49)	
125000070	10	AXA S.A.SPONS ADR	04/19/06	04/08/09	132.79	352.40	(219.61)	
125000080	35	AXA S.A.SPONS ADR	04/19/06	04/14/09	538.28	1,233.40	(695.12)	
125000090	10	BG GROUP PLC SPON ADR	04/19/06	04/14/09	777.98	688.50		89.48
125000100	5	BNP PARIBAS SPON ADR	04/19/06	04/09/09	112.99	230.25	(117.26)	
125000110	35	BNP PARIBAS SPON ADR	04/19/06	04/14/09	874.97	1,611.75	(736.78)	
125000120	70	BANCO BILBAO VIZCAYA-SP ADR	04/19/06	04/21/09	709.38	1,445.30	(735.92) c	
125000130	.0018	BANCO BILBAO VIZCAYA-SP .1272 ADR	Unavailable	04/27/09	.02			
		CASH IN LIEU OF .12903 RECORD 04/09/09 PAY 04/27/09	04/19/06		1.38	2.66	(1.28)	
125000140	40	BARCLAYS PLC-ADR	04/19/06	04/14/09	446.78	1,948.80	(1,502.02)	
125000150	5	BAYER A G SPONSORED ADR	03/19/08	04/14/09	246.64	392.16	(145.52)	
125000160	5	BHP BILLITON LTD SPONS ADR	04/19/06	04/08/09	227.04	234.60	(7.56)	
125000170	5	BHP BILLITON LTD SPONS	04/19/06	04/14/09	239.19	234.60		4.59
	10	ADR	02/16/07		478.39	453.69		24.70
125000180	20	BRITISH AMERICAN TOBACCO PLC ADR	04/19/06	04/14/09	895.97	1,005.60	(109.63)	
125000190	27	CANON INC ADR	04/19/06	04/14/09	840.21	1,289.71	(449.50)	
125000220	5	COMPANHIA VALE DI RIO ADR	04/19/06	04/08/09	73.84	62.59		11.25
		DOCE						
125000230	30	COMPANHIA VALE DI RIO ADR	04/19/06	04/14/09	488.09	375.52		112.57
		DOCE						



Ref: 00000534 00015531

GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42345-11 246

Details of Long Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000250	10	DBS GROUP HLDG LTD SP ADR	04/20/07	04/14/09	\$ 236.99	\$ 579.47	(\$ 342.48)	
	1		06/08/07		23.70	63.15	(39.45)	
	9		06/08/07		213.29	569.03	(355.74)	
	9		12/04/07		213.30	510.27	(296.97)	
125000260	5	ENI SPA SPONSORED ADR	04/19/06	03/05/09	163.51	304.15	(140.64)	
125000270	5	ENI SPA SPONSORED ADR	04/19/06	04/08/09	195.24	304.15	(108.91)	
125000280	20	ENI SPA SPONSORED ADR	04/19/06	04/14/09	777.58	1,216.60	(439.02)	
125000290	5	E.ON AG SPONS ADR	04/19/06	04/08/09	147.19	192.05	(44.86)	
125000300	10	E.ON AG SPONS ADR	04/19/06	04/14/09	303.69	384.10	(80.41)	
	10		07/25/07		303.69	536.79	(233.10)	
	1		10/18/07		30.37	62.85	(32.48)	
	4		10/18/07		121.48	250.70	(129.22)	
	1		12/04/07		30.37	69.47	(39.10)	
	4		12/04/07		121.48	279.42	(157.94)	
	5		02/06/08		151.84	317.64	(165.80)	
125000310	20	FOMENTO ECONOMICO MEXICANO S.A.B DE CV SPONS ADR	04/19/06	04/14/09	560.98	589.26	(28.28)	
125000330	10	GLAXOSMITHKLINE PLC SP ADR	04/19/06	02/05/09	372.58	532.50	(159.92)	
125000340	20	GLAXOSMITHKLINE PLC SP ADR	04/19/06	04/14/09	600.18	1,085.00	(484.82)	
125000350	25	HSBC HLDG PLC SP ADR NEW	04/19/06	04/14/09	884.47	2,169.00	(1,274.53)	
125000360	.4166	RTS HSBC HOLDINGS PLC	Unavailable	03/16/09	3.54			
		03/31/2009						
		RECORD 03/13/09 PAY 03/16/09						
		FROM: 404280406001						
125000370	25	HONDA MOTOR CO LTD ADR-NEW	04/19/06	04/14/09	684.98	814.25	(129.27)	
125000380	10	ING GROEP NV SPONS ADR	04/19/06	04/08/09	69.01	397.90	(328.89)	
125000390	10	ING GROEP NV SPONS ADR	04/19/06	04/14/09	85.70	397.90	(312.20)	
	.1		01/28/07		8.57	43.48	(34.91)	
	14		01/28/07		119.97	605.89	(485.92)	
	1		12/04/07		8.57	38.45	(29.88)	
	9		12/04/07		77.13	343.94	(266.81)	
125000400	2	KOMATSU LTD ADR NEW	10/12/07	04/14/09	104.10	275.85	(171.75)	
	3		10/16/07		156.14	411.93	(255.79)	
	1		10/18/07		52.05	133.61	(81.56)	
	3		04/03/08		156.14	342.33	(186.19)	
125000410	5	KONINKLIJKE PHILIPS ELECTRONICS NS SPON ADR NEW	04/19/06	04/08/09	80.44	170.00	(89.56)	



Ref: 00000534 00015532

GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42345-11 246

Details of Long Term Gain (Loss) 2009 continued

2009 YEAR END SUMMARY

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000420	15	KONINKLIJKE PHILIPS	04/19/06	04/14/09	\$ 250.64	\$ 510.00	(\$ 259.36)	
	15	ELECTRONICIS NS SPON ADR NEW	02/06/08		250.64	587.94	(337.30)	
125000450	10	LAFARGE SPONS ADR NEW	04/19/06	04/08/09	131.49	293.50	(162.01)	
		LAFARGE COPPEE						
125000460	50	LAFARGE SPONS ADR NEW	04/19/06	04/14/09	694.98	1,467.50	(772.52)	
		LAFARGE COPPEE						
125000470	10	1 MITSUBISHI CORP	04/19/06	04/14/09	321.59	487.50	(165.91)	
	1	SPONS ADR	01/23/07		32.16	38.26	(6.10)	
	8		01/23/07		289.43	339.50	(50.07)	
125000480	2	MITSUBISHI EST CO LTD ADR	05/24/06	04/14/09	281.83	408.23	(148.40)	
	3		06/14/06		392.75	548.45	(155.70)	
125000490	10	MITSUBISHI UFJ FINANCIAL GROUP INC ADR	04/19/06	04/08/09	49.22	157.50	(108.28)	
125000500	75	MITSUBISHI UFJ FINANCIAL GROUP INC ADR	04/19/06	04/14/09	398.89	1,181.25	(781.36)	
125000510	10	NATIONAL GRID PLC GBP SPONS ADR NEW	04/19/06	04/14/09	386.19	511.60	(125.41)	
125000520	5	NESTLE S A SPONSORED ADR	04/19/06	04/08/09	172.49	152.20		20.29
125000530	42	NESTLE S A SPONSORED ADR	04/19/06	04/14/09	1,448.96	1,278.48		170.48
125000540	10	NEWS CORP CLASS B NEW	04/19/06	04/08/09	81.59	178.20	(96.61)	
125000550	30	NEWS CORP CLASS B NEW	04/19/06	04/14/09	254.09	534.60	(280.51)	
125000560	10	NIDEC CORPORATION SPON ADR	04/19/06	04/08/09	117.29	193.60	(76.31)	
125000570	30	NIDEC CORPORATION SPON ADR	04/19/06	04/14/09	370.19	580.80	(210.61)	
	14		02/22/07		172.75	236.19	(63.44)	
	1		02/23/07		12.34	16.97	(4.63)	
	25		02/23/07		308.49	419.58	(111.09)	
125000600	10	NOKIA CORP SPONSORED ADR	04/19/06	04/08/09	130.89	216.80	(85.91)	
125000610	50	NOKIA CORP SPONSORED ADR	04/19/06	04/14/09	693.98	1,084.00	(390.02)	
125000620	20	NOMURA HOLDINGS INC ADR	12/06/06	04/14/09	128.80	362.66	(233.86)	
	20		02/20/07		128.79	440.53	(311.74)	
125000640	25	NOVARTIS AG ADR	04/19/06	04/14/09	925.23	1,423.50	(498.27)	
	5		01/26/07		185.04	288.87	(103.83)	
125000650	19	PETROBRAS	01/19/07	04/14/09	668.78	440.45		228.33
125000690	5	REED ELSEVIER NV	04/19/06	04/08/09	105.89	189.12	(63.23)	
125000700	20	REED ELSEVIER NV	04/19/06	04/14/09	403.98	676.47	(272.49)	



Ref: 00000534 00015533

GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42345-11 246

Details of Long Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000710	2	RIO TINTO PLC-GBP	10/17/07	04/14/09	\$ 294.73	\$ 702.70	(\$ 407.97)	
125000720	30	ROCHE HLDG LTD SPON ADR	04/19/08	04/14/09	980.37	1,127.25	(146.88)	
125000750	5	SANOFI-AVENTIS SPONS ADR	04/19/08	04/08/09	138.44	232.00	(93.56)	
125000760	15	SANOFI-AVENTIS	04/19/08	04/14/09	408.94	696.00	(289.06)	
	10	SPONS ADR	10/20/08		271.29	442.43	(171.14)	
125000770	5	SAP AKLENGESELLSCHAFT SPONS ADR-USD	04/19/08	04/08/09	189.69	284.35	(94.66)	
125000780	10	SAP AKLENGESELLSCHAFT	04/19/08	04/14/09	388.89	568.70	(181.81)	
	10	SPONS ADR-USD	07/25/07		386.89	554.48	(167.59)	
125000780	5	SIEMENS A G SPONS ADR	04/19/08	04/14/09	307.39	480.30	(172.91)	
	10		10/11/06		614.78	840.50	(225.72)	
	5		10/30/06		307.39	448.89	(142.50)	
125000800	5	SONY CORP SPON ADR-NEW	08/17/08	04/08/09	116.44	222.67	(106.23)	
125000810	10	SONY CORP SPON ADR-NEW	08/17/08	04/14/09	255.79	445.35	(189.56)	
	10		10/30/06		255.79	419.20	(163.41)	
125000820	20	SUMITOMO MITSUI FINL GROUP INC ADR	06/14/06	04/14/09	59.60	187.88	(128.28)	
	9		07/06/06		26.82	96.30	(69.48)	
	31		07/07/06		92.38	334.29	(241.91)	
	45		08/17/06		134.09	506.10	(372.01)	
	16		02/22/07		47.68	161.33	(113.65)	
	1		02/23/07		2.98	10.05	(7.07)	
	38		02/23/07		113.23	380.65	(267.42)	
125000830	15	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	05/24/07	04/07/09	142.60	156.34	(13.74)	
	10		07/25/07		95.06	108.73	(13.67)	
125000840	5	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	07/25/07	04/08/09	47.80	54.37	(6.47)	
125000850	35	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	07/25/07	04/14/09	342.29	380.57	(38.28)	
125000860	10	TELEFONICA S.A. SPON ADR	02/22/08	04/14/09	599.78	851.20	(251.42)	
	5		03/19/08		299.89	427.46	(127.57)	
	5		04/03/08		299.89	444.00	(144.11)	
125000870	10	TESCO PLC SPONSORED ADR	04/19/08	04/08/09	146.49	181.00	(34.51)	
125000880	75	TESCO PLC SPONSORED ADR	04/19/08	04/14/09	1,073.22	1,357.50	(284.28)	
125000900	35	TOTAL S.A SPONS ADR	04/19/08	04/14/09	1,892.55	2,401.70	(709.15)	



Ref: 00000534 00015534

GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42345-11 246

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000920	2	TOYOTA MOTOR CORP ADR NEW	02/07/08	04/14/09	\$ 151.54	\$ 219.86	(\$ 68.32)	
	6		04/03/08		454.60	601.11	(146.51)	
125000930	5	VODAFONE GROUP PLC SPONS ADR NEW	04/19/06	04/08/09	91.24	130.44	(39.20)	
125000940	60	VODAFONE GROUP PLC SPONS ADR NEW	04/19/06	04/14/09	1,087.77	1,565.22	(477.45)	
125000950	15	WPP PLC ADR	04/19/06	04/14/09	465.58	939.00	(473.42)	
125000960	60	WOLSELEY PLC SPONSORED ADR	04/19/06	04/14/09	201.59	1,521.30	(1,319.71)	
125000970	5	WOLTERS KLUWER N V SP ADR	04/19/06	04/08/09	83.39	126.00	(42.61)	
125000980	25	WOLTERS KLUWER N V SP ADR	04/19/06	04/14/09	406.23	630.00	(223.77)	
125000990	5	ZURICH FINCL SVCS SPON ADR	04/19/06	04/08/09	78.14	117.88	(39.74)	
125001000	45	ZURICH FINCL SVCS SPON ADR	04/19/06	04/14/09	759.58	1,060.87	(301.29)	
Total					\$ 39,597.85	\$ 66,006.85	(\$ 27,074.25)	\$ 661.69

*Based on information supplied by Client or other financial institution, not verified by us.

Details of Deposits and Withdrawals 2009

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	01/27/09	GDF SUEZ-EUR REV ADR FEE OF .02000/SHR RECORD 11/26/08 PAY 12/18/08	\$.28
210000300	06/24/09	E.ON AG SPONS ADR TAX RECLAIM OF .117130 FOR RCD 04/30/08, PAY 05/09/08	5.27
210000500	08/10/09	BASF SE COMMON STOCK TAX REFUND OF .1562942 FOR RCD 05/04/06, PAY 05/15/06	1.56
210000200	03/13/09	REED ELSEVIER NV-NLG ADR TAX REFUND OF .04646551 FOR RCD 07/31/07, PAY 08/31/07	\$ 1.39
210000400	07/30/09	PETROBRAS TAX REFUND OF 0.0534119 FOR RCD 10/10/07, PAY 04/07/08	1.07
210000600	09/09/09	PETROBRAS TAX REFUND OF 0.051140 FOR RCD 08/22/07, PAY 01/30/08	1.02



Ref: 00000534 00015549

GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	2	COVIDIEN LTD	05/02/08	04/07/09	\$ 62.81	\$ 94.86	(\$ 32.05)	
125000030	5	ACE LIMITED	09/29/08	05/11/09	214.68	273.99	(59.31)	
125000050	2	AT&T INC	05/02/08	04/07/09	51.16	79.56	(28.40)	
125000070	5	ABBOTT LABORATORIES	05/02/08	03/13/09	234.28	267.85	(33.57)	
125000080	2	ABBOTT LABORATORIES	05/02/08	04/07/09	86.37	107.14	(20.77)	
125000130	3	AGNICO EAGLE MINES LTD MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/08/09	12/07/09	190.09	172.60		17.49
125000140	2	AMGEN INC	05/02/08	04/07/09	95.26	85.57		9.69
125000190	4	ANNALY CAPITAL MANAGEMENT INC	06/30/08	04/07/09	55.88	62.44	(6.56)	
125000200	13	ANNALY CAPITAL MANAGEMENT INC	06/30/08	04/30/09	184.27	202.91	(18.64)	
	3		07/02/08		42.52	46.44	(3.92)	
	2		07/15/08		28.35	28.66	(.31)	
125000210	7	ANNALY CAPITAL MANAGEMENT INC	07/15/08	05/29/09	98.67	100.29	(1.62)	
	1		09/17/08		14.09	14.22	(.13)	
125000220	2	ANNALY CAPITAL MANAGEMENT INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/17/08	08/26/09	34.85	28.45		6.40
125000230	4	AON CORP	05/02/08	03/13/09	152.54	188.14	(35.60)	
125000240	1	AON CORP	05/02/08	03/24/09	40.09	47.04	(6.95)	
125000250	2	AON CORP	05/02/08	04/07/09	79.08	94.07	(14.99)	
125000280	4	AON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/01/09	11/02/09	155.48	147.10		8.38
125000290	6	AON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/01/09	12/16/09	227.40	220.64		6.76



2009 YEAR END SUMMARY

Ref: 00000534 00015550

GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Short Term Gain (Loss) 2009 - continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000360	1	BB&T CORP	05/02/08	04/07/09	\$ 17.40	\$ 36.54	(\$ 19.14)	
	1		06/12/08		17.39	28.80	(9.41)	
125000370	5	BB&T CORP	06/12/08	05/04/09	128.54	133.98	(7.44)	
125000380	1	BB&T CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/12/08	06/11/09	22.96	26.79	(3.83)	
125000410	.0549	BANK OF AMERICA CORP	05/22/08	01/02/09	.77	2.85	(2.08)	
	.0893	MERGER 01/02/09 590188108000	06/20/08		1.25	3.76	(2.51)	
	.0982		06/23/08		1.34	3.87	(2.53)	
	.103		07/29/08		1.44	2.82	(1.38)	
	.0824		07/30/08		1.15	2.51	(1.36)	
	.0887		08/01/08		.96	2.15	(1.19)	
	.0982		08/04/08		1.34	2.92	(1.58)	
	.0618		10/03/08		.86	2.00	(1.14)	
125000420	6.8211	BANK OF AMERICA CORP	05/22/08	02/04/09	32.15	353.94	(321.79)	
	11.0842		06/20/08		52.25	466.81	(414.36)	
	11.9368		06/23/08		56.27	480.84	(424.57)	
	12.7895		07/29/08		60.29	350.21	(289.92)	
	2.3684		07/30/08		11.16	72.00	(60.84)	
125000430	7.8632	BANK OF AMERICA CORP	07/30/08	02/18/09	37.40	239.06	(201.66)	
	8.5263		08/01/08		40.56	266.44	(225.88)	
	6.6105		08/04/08		31.44	200.55	(169.11)	
125000440	5.3263	BANK OF AMERICA CORP	08/04/08	03/08/09	16.45	161.59	(145.14)	
	7.6737		10/03/08		23.70	248.36	(224.66)	
	7		10/08/08		21.61	157.18	(135.57)	
125000450	3	BANK NEW YORK MELLON CORP	05/02/08	03/24/09	83.11	135.44	(52.33)	
125000460	5	BANK NEW YORK MELLON CORP	05/02/08	03/27/09	141.55	225.73	(84.18)	
125000470	6	BANK NEW YORK MELLON CORP	05/02/08	04/07/09	166.14	270.87	(104.73)	
125000480	6	BANK NEW YORK MELLON CORP	05/02/08	04/13/09	191.70	270.87	(79.17)	
125000510	2	BEST BUY INC	08/24/08	04/07/09	76.97	75.89	1.08	
125000520	4	BEST BUY INC	08/24/08	04/17/09	158.38	151.79	6.59	
	3		10/27/08		118.79	67.69	51.10	
125000530	3	BEST BUY INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/27/08	06/25/09	102.03	67.69	34.34	



Ref: 00000534 00015551

GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Short Term Gain (Loss) 2009 continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000540	1	BEST BUY INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/27/08	07/21/09	\$ 36.15	\$ 22.56		\$ 13.59
125000550	1	BEST BUY INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/13/08	08/03/09	37.89	21.65		16.24
125000560	2	BEST BUY INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/13/08	08/26/09	74.63	43.30		31.33
125000570	3	BEST BUY INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/13/08	09/22/09	115.70	64.95		50.75
	3		11/20/08		115.71	56.27		59.44
125000580	3	BEST BUY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	11/20/08	10/14/09	121.83	56.27		65.56
125000600	11	BOSTON SCIENTIFIC CORP	05/02/08	04/07/09	92.72	148.28	(55.56)	
125000650	3	CVS CAREMARK CORP	04/01/09	05/18/09	91.96	83.74		8.22
125000700	8	CAPITAL ONE FINL CORP	05/02/08	01/23/09	154.31	444.86	(290.55)	
	6		09/15/08		115.73	275.69	(159.96)	
	4		09/25/08		77.16	196.25	(119.09)	
	1		11/20/08		19.29	26.72	(7.43)	
125000710	4	CAPITAL ONE FINL CORP	11/20/08	03/31/09	48.92	106.89	(57.97)	
	8		11/21/08		97.83	194.64	(96.81)	
125000720	6	CARDINAL HEALTH INC	10/06/08	05/15/09	210.07	290.95	(80.88)	
125000730	2	CARNIVAL CORP (PAIRED STOCK)	11/20/08	04/07/09	47.53	30.63		16.90
125000740	2	CARNIVAL CORP (PAIRED STOCK) Morgan Stanley Sml th Barney LLC acted as yo	11/21/08	08/26/09	60.97	30.24		30.73



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GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Short Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000750	3	CARNIVAL CORP (PAIRED STOCK) MorganStanley SmithBarney LLC acted as your agent	11/21/08	08/25/09	\$ 99.53	\$ 45.36		\$ 54.17
125000800	6	COCA COLA ENTERPRISES INC	05/02/08	04/07/09	83.83	136.12	(52.29)	
125000850	4	DELTA AIR LINES INC	08/08/08	04/07/09	27.16	33.76	(6.60)	
	7	(NEW)	08/07/08		47.54	59.64	(12.10)	
125000860	3	DELTA AIR LINES INC (NEW) Morgan Stanley Sml th Barney LLC acted as yo	10/20/08	08/26/09	22.56	27.18	(4.62)	
125000870	2	EATON CORP	05/02/08	04/07/09	81.24	176.83	(95.59)	
125000930	2	EXXON MOBIL CORP	05/02/08	04/07/09	136.83	179.17	(42.34)	
125000980	16	FIFTH THIRD BANCORP	07/18/08	02/18/09	22.37	213.87	(191.30)	
	15		10/21/08		20.97	177.35	(156.38)	
	12		10/22/08		16.77	143.19	(126.42)	
125000970	6	FORD MOTOR COMPANY Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/12/09	08/26/09	45.12	32.72		12.40
125000980	2	FRANKLIN RESOURCES INC	11/20/08	04/07/09	115.84	106.50		9.34
125000990	2	FRANKLIN RESOURCES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/20/08	08/26/09	187.39	106.50		80.89
125001000	1	FRANKLIN RESOURCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/20/08	10/21/09	114.61	53.25		61.36
125001010	1	FRANKLIN RESOURCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/20/08	11/11/09	115.05	53.25		61.80
125001030	8	FREEMONT MCMORAN COPPER & GOLD CL B	12/01/08	01/20/09	184.99	175.36		9.33
125001040	8	GENERAL ELECTRIC CO	05/02/08	04/07/09	86.40	266.48	(180.08)	
125001050	10	GENERAL ELECTRIC CO	05/02/08	04/13/09	122.72	333.10	(210.38)	



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GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001060	5	GENERAL ELECTRIC CO	05/02/08	04/14/09	\$ 62.19	\$ 166.55	(\$ 104.36)	
125001090	2	GOLDMAN SACHS GROUP INC	10/27/08	03/13/09	193.32	187.38		5.94
125001100	1	GOLDMAN SACHS GROUP INC	10/27/08	03/19/09	101.83	93.69		8.14
125001110	2	GOLDMAN SACHS GROUP INC	11/18/08	04/07/09	235.33	122.18		113.15
125001120	1	GOLDMAN SACHS GROUP INC	11/21/08	04/22/09	123.44	51.34		72.10
125001130	1	GOLDMAN SACHS GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/21/08	10/27/09	179.86	51.33		128.53
125001140	2	HALLIBURTON CO HOLDINGS CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/04/09	08/28/09	49.15	44.14		5.01
125001150	11	HERTZ GLOBAL HOLDINGS INC	05/02/08	04/07/09	47.75	145.98	(98.21)	
125001210	2	HESS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/13/09	12/15/09	113.47	119.91	(6.44)	
125001220	2	HEWLETT PACKARD CO	05/02/08	04/07/09	66.87	96.36	(29.49)	
125001250	2	HOME DEPOT INC	03/13/09	04/07/09	48.89	41.14		7.75
125001260	2	HOME DEPOT INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/13/09	08/28/09	55.52	41.14		14.38
125001270	3	HOME DEPOT INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/13/09	11/13/09	81.87	61.70		20.17
125001280	4	HOME DEPOT INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/13/09	12/03/09	111.87	82.27		29.60
125001290	2	IAC/INTERACTIVECORP	05/02/08	01/12/09	29.55	37.63	(8.08)	
125001300	2	IAC/INTERACTIVECORP	05/02/08	04/07/09	31.92	37.62	(5.70)	

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GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001320	2	INTEL CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/01/09	08/26/09	\$ 38.83	\$ 29.87		\$ 8.96
125001330	2	J.CREW GROUP INC	07/03/08	04/07/09	30.28	65.20	(34.94)	
125001360	6	JPMORGAN CHASE & CO	05/02/08	04/07/09	165.05	290.83	(125.78)	
125001400	3	JOHNSON & JOHNSON MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/04/09	11/16/09	165.85	182.58		3.27
125001410	3	KOHL'S CORP	10/03/08	04/02/09	135.97	127.34		8.63
125001420	1	KOHL'S CORP	10/03/08	04/07/09	44.43	42.45		1.98
	1		10/20/08		44.42	30.80		13.62
125001430	3	KOHL'S CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/20/08	07/28/09	148.26	92.41		55.85
125001440	1	KOHL'S CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/20/08	08/11/09	52.46	30.80		21.66
125001450	2	KOHL'S CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/20/08	08/26/09	108.20	61.61		44.59
125001470	2	KOHL'S CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/27/08	10/22/09	119.88	58.47		63.51
125001490	2	KRAFT FOODS INC CLASS A	05/02/08	04/07/09	44.95	64.12	(19.17)	
125001530	10	KROGER CO	05/02/08	01/16/09	249.99	273.69	(23.70)	
125001540	3	KROGER CO	05/02/08	04/07/09	61.20	82.11	(20.91)	
125001560	2	LOWES COMPANIES INC	09/16/08	04/07/09	37.94	48.83	(10.89)	
125001570	2	LOWES COMPANIES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/16/08	08/28/09	43.13	48.83	(5.70)	



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GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Short Term Gain (Loss) 2009 continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001590	2	MARRIOTT INTL INC NEW CL A	03/13/09	04/07/09	\$ 36.91	\$ 30.89		\$ 6.02
125001600	.045	MARRIOTT INTL INC NEW CL A	03/13/09	07/30/09	1.06	.69		.37
	.014	CASH IN LIEU OF .05904	06/30/09		.33	.31		.02
		RECORD 06/25/09 PAY 07/30/09						
125001610	2	MARRIOTT INTL INC NEW CL A	03/13/09	08/26/09	49.49	30.68		18.81
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125001620	.0606	MARRIOTT INTL INC NEW CL A	03/13/09	09/03/09	1.39	.93		.46
	.0189	CASH IN LIEU OF .07959	06/30/09		.44	.41		.03
		RECORD 08/20/09 PAY 09/03/09						
125001630	.0477	MARRIOTT INTL INC NEW CL A	03/13/09	12/03/09	1.27	.73		.54
	.017	CASH IN LIEU OF .06479	06/30/09		.46	.37		.09
		RECORD 11/19/09 PAY 12/03/09						
125001640	5	MASCO CORP DE	09/17/08	03/24/09	35.41	90.08	(54.67)	
125001650	2	MASCO CORP DE	09/17/08	04/07/09	14.73	36.03	(21.30)	
125001660	2	MASCO CORP DE	09/17/08	08/26/09	28.97	36.03	(7.06)	
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125001670	6	MASCO CORP DE	11/03/08	10/16/09	82.21	59.78		22.43
		MorganStanley SmithBarney LLC						
		acted as your agent						
		In this transaction.						
125001680	2	MERCK & CO INC	08/04/09	08/26/09	65.33	59.41		5.92
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125001690	2	METLIFE INC	06/17/09	08/26/09	76.17	57.98		18.19
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125001700	8	MICROSOFT CORP	05/02/08	02/04/09	148.53	231.91	(82.38)	
	21		08/19/08		392.50	575.45	(182.95)	
125001710	1	MONSANTO CO NEW	03/16/09	06/26/09	75.34	81.97	(6.63)	
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						



Ref: 00000534 00015556

GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Short Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001720	2	MOODYS CORPORATION	05/21/08	04/07/09	\$ 45.17	\$ 76.41	(\$ 31.24)	
125001750	9	MOODYS CORPORATION MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/25/09	12/07/09	225.29	159.30		65.99
125001760	3	MORGAN STANLEY Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/19/09	08/28/09	88.79	66.03		22.76
125001770	2	MORGAN STANLEY Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/19/09	09/09/09	56.77	44.02		12.75
125001780	5	OMNICOM GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/12/09	12/14/09	187.01	157.74		29.27
125001790	4	ORACLE CORP	05/02/08	04/07/09	74.72	85.36	(10.64)	
125001820	2	ORACLE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/14/09	10/05/09	40.80	45.62	(4.82)	
125001830	2	PNC FINANCIAL SERVICES GROUP	05/02/08	04/07/09	63.94	141.41	(77.47)	
125001860	2	J C PENNEY CO INC	10/08/08	04/07/09	42.72	53.82	(11.10)	
125001870	3	J C PENNEY CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/08/08	08/25/09	84.06	80.72		3.34
125001880	1	J C PENNEY CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/08/08	07/23/09	29.40	26.91		2.49
125001890	1	J C PENNEY CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/08/08 10/20/08	08/26/09	30.50 30.49	26.91 21.48		3.59 9.01



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GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Short Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001900	2	J C PENNEY CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/20/08	09/18/09	\$ 68.16	\$ 42.95		\$ 25.21
125001910	5	PETROHAWK ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/12/09	12/14/09	119.06	113.45		5.61
125001920	7	PETROHAWK ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/12/09	12/16/09	169.08	158.82		10.26
125001970	3	PULTE HOMES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/20/09	08/26/09	40.11	29.75		10.36
125001980	2	QUALCOMM INC	05/02/08	01/28/09	73.79	88.34	(14.55)	
125001990	2	QUALCOMM INC	05/02/08	04/07/09	79.81	88.34	(8.53)	
125002000	3	QUALCOMM INC	05/02/08	04/30/09	128.70	132.51	(3.81)	
125002030	6	ROCKWELL COLLINS INC	03/17/09	05/01/09	229.87	186.16		43.71
125002040	2	SCHLUMBERGER LTD	05/02/08	04/07/09	86.70	198.17	(111.47)	
125002060	7	SCHWAB CHARLES CORP	05/02/08	02/06/09	100.51	157.85	(57.34)	
	6		09/10/08		86.16	140.49	(54.33)	
125002070	3	SCHWAB CHARLES CORP	09/10/08	03/31/09	46.12	70.25	(24.13)	
	12		09/29/08		184.47	285.25	(100.78)	
125002080	7	SUNTRUST BANKS INC	05/02/08	02/06/09	85.28	409.64	(324.36)	
	1		06/30/08		12.18	36.53	(24.35)	
	2		10/09/08		24.37	73.47	(49.10)	
	4		10/14/08		48.74	173.98	(125.24)	
125002090	2	SUNTRUST BANKS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/30/09	08/26/09	44.13	32.67		11.46
125002100	3	TARGET CORP	10/16/08	04/07/09	104.76	105.28	(.52)	

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GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002110	2	TARGET CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/08/08	07/27/09	\$ 85.47	\$ 74.35		\$ 11.12
125002120	2	TARGET CORP	11/08/08	08/26/09	94.37	74.35		20.02
125002130	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/19/08		47.19	30.05		17.14
125002140	5	TEVA PHARMACEUTICAL INDS LTD ADR	05/02/08	03/13/09	228.91	235.28	(8.37)	
125002190	2	TEVA PHARMACEUTICAL INDS LTD ADR	05/02/08	04/07/09	91.07	94.11	(3.04)	
125002210	9	TICKETMASTER ENTERTAINMENT COM	05/02/08	03/19/09	36.52	240.50	(203.98)	
125002220	3	US BANCORP DEL NEW	05/02/08	01/23/09	43.61	103.53	(59.92)	
125002230	9	US BANCORP DEL NEW	06/13/08		130.81	278.50	(147.69)	
125002240	2	UNITEDHEALTH GROUP INC	02/24/09	04/07/09	46.03	48.55	(2.52)	
125002250	2	UNITEDHEALTH GROUP INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/24/09	08/26/09	57.97	48.55		9.42
125002260	2	VERIZON COMMUNICATIONS Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/01/09	08/26/09	62.97	60.63		2.34
125002270	5	WAL-MART STORES INC	05/02/08	01/02/09	285.11	286.37	(1.26)	
125002280	2	WAL-MART STORES INC	05/02/08	04/07/09	105.35	114.55	(9.20)	
125002290	6	WELLS FARGO & CO NEW	05/02/08	04/07/09	90.30	186.98	(96.68)	
125002300	1	WESTERN UNION COMPANY (THE)	07/01/08	04/16/09	15.03	24.91	(9.88)	
125002310	2	ZIONS BANCORP	10/17/08	04/07/09	21.42	70.69	(49.27)	
125002320	2	ZIONS BANCORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/17/08	08/26/09	34.66	70.69	(36.03)	
Total					\$ 14,971.98	\$ 20,838.86	(\$ 7,706.33)	\$ 1,738.45

(5966.88)



Ref: 00000534 00015559

GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000020	2	COVIDIEN PLC DUBLIN-USD Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	\$ 78.47	\$ 94.86	(\$ 16.39)	
125000040	.5454	AOL INC CASH IN LIEU OF .54545 RECORD 11/27/09 PAY 12/09/09 FROM: 887317303000 (MERGER)	Unavailable	12/10/09	12.60			
125000060	2	AT&T INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	53.08	79.56	(26.48)	
125000080	2	ABBOTT LABORATORIES	05/02/08	05/12/09	90.22	107.14	(16.92)	
125000100	2	ABBOTT LABORATORIES Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	92.37	107.14	(14.77)	
125000110	2	ADOBE SYSTEMS INC (DE) Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/18/08	08/26/09	65.03	87.89	(22.86)	
125000120	3	ADOBE SYSTEMS INC (DE) MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/18/08	10/30/09	99.86	131.83	(31.97)	
125000150	2	AMGEN INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	122.45	85.57		36.88
125000160	1	AMGEN INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/02/08	11/24/09	56.44	42.79		13.65

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GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Long Term Gain (Loss) 2009 *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000170	2	AMGEN INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	05/02/08	12/03/09	\$ 113.30	\$ 85.57		\$ 27.73
125000180	3	AMGEN INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	05/02/08	12/15/09	168.25	128.35		39.90
125000260	6	AON CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	07/29/09	236.18	282.21	(46.03)	
125000270	2	AON CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/28/09	82.99	94.07	(11.08)	
125000280	7	AON CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	05/02/08	11/02/09	272.08	329.25	(57.17)	
125000300	1 1	ARCHER-DANIELS-MIDLAND CO	06/08/07 06/11/07	04/07/09	26.25 26.24	34.42 34.41	(8.17) (8.17)	
125000310	4	ARCHER-DANIELS-MIDLAND CO	06/11/07	04/18/09	103.98	137.62	(33.64)	
125000320	10	ARCHER-DANIELS-MIDLAND CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/12/07	08/03/09	304.07	342.95	(38.88)	
125000330	1 2	ARCHER-DANIELS-MIDLAND CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/12/07 06/14/07	08/18/09	27.48 54.95	34.30 69.36	(6.82) (14.41)	
125000340	2	ARCHER-DANIELS-MIDLAND CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/28/08	08/28/09	56.01	80.71	(24.70)	



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GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Long Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000350	6	ARCHER-DANIELS-MIDLAND CO	05/28/08	09/30/09	\$ 174.77	\$ 242.14	(\$ 67.37)	
	5	MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/20/08		145.65	162.58	(16.93)	
125000390	2	BB&T CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/12/08	08/26/09	55.25	53.59		1.66
125000400	2	BB&T CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/12/08	12/03/09	52.04	53.59	(1.55)	
125000490	6	BANK NEW YORK MELLON CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	173.63	270.87	(97.24)	
125000500	16	BANK NEW YORK MELLON CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	09/17/09	502.08	722.34	(220.26)	
125000590	2	BEST BUY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/20/08	12/15/09	83.42	37.52		45.90
125000610	12	BOSTON SCIENTIFIC CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	137.53	161.76	(24.23)	
125000620	6	BOSTON SCIENTIFIC CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	09/21/09	66.47	80.88	(14.41)	
125000630	57	BOSTON SCIENTIFIC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/02/08	12/02/09	484.70	768.36	(283.66)	
125000640	3	CVS CAREMARK CORP	11/08/06	05/06/09	96.83	89.38		7.45
125000650	4	CVS CAREMARK CORP	11/08/06	05/18/09	122.62	119.18		3.44



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GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000660	2	CAMPBELL SOUP CO	10/25/07	04/07/09	\$ 52.98	\$ 72.12	(\$ 19.14)	
125000670	2	CAMPBELL SOUP CO	10/25/07	05/12/09	53.58	72.12	(18.54)	
125000680	4	CAMPBELL SOUP CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/25/07	06/02/09	115.19	144.24	(29.05)	
125000690	8	CAMPBELL SOUP CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/25/07	06/09/09	230.27	288.49	(58.22)	
125000760	3	CARNIVAL CORP (PAIRED STOCK) MorganStanley SmithBarney LLC acted as your agent	11/21/08	11/25/09	97.12	45.36		51.76
125000770	3	CARNIVAL CORP (PAIRED STOCK) MorganStanley SmithBarney LLC acted as your agent	11/21/08	12/11/09	97.42	45.35		52.07
125000780	2	CATERPILLAR INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	94.67	165.54	(70.87)	
125000790	4	CHEVRON CORP	11/02/07	05/05/09	263.32	352.78	(89.44)	
125000810	5	COCA COLA ENTERPRISES INC	05/02/08	05/07/09	86.67	113.44	(26.77)	
125000820	5	COCA COLA ENTERPRISES INC	05/02/08	05/27/09	85.07	113.44	(28.37)	
125000830	30	COCA COLA ENTERPRISES INC	05/02/08	08/01/09	512.17	680.62	(168.45)	
125000840	2	COCA COLA ENTERPRISES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	41.47	45.38	(3.91)	
125000860	8	DELTA AIR LINES INC (NEW) Morgan Stanley Sml th Barney LLC acted as yo	08/07/08	08/26/09	67.69	76.67	(8.98)	



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GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Long Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000880	2	EATON CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	\$ 110.91	\$ 176.83	(\$ 65.92)	
125000890	3	EATON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/02/08	11/24/09	191.55	265.25	(73.70)	
125000900	5	EL PASO CORP	08/31/07	04/07/09	32.69	79.50	(46.81)	
125000910	10	EL PASO CORP	08/31/07	07/21/09	99.11	158.99	(59.88)	
	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/05/07		9.91	16.24	(6.33)	
125000920	4	EL PASO CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/05/07	08/26/09	39.20	64.94	(25.74)	
125000940	3	EXXON MOBIL CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	207.55	268.76	(61.21)	
125000950	2	EXXON MOBIL CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	142.61	179.18	(36.57)	
125001020	1	FRANKLIN RESOURCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/20/08	11/24/09	111.14	53.25		57.89
125001070	18	GENERAL ELECTRIC CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	07/28/09	223.60	599.58	(375.98)	
125001080	5	GENERAL ELECTRIC CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	70.64	166.55	(95.91)	

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GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001180	34	HERTZ GLOBAL HOLDINGS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/05/09	\$ 355.76	\$ 451.16	(\$ 95.40)	
125001170	8	HERTZ GLOBAL HOLDINGS INC	05/02/08	08/26/09	85.61	106.15	(20.54)	
	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/02/08		10.70	12.97	(2.27)	
125001180	2	HESS CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/19/08	08/26/09	105.01	261.92	(156.91)	
125001190	4	HESS CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/17/08	08/18/09	220.84	323.42	(102.58)	
125001200	1	HESS CORP Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	09/17/08	10/13/09	56.59	80.85	(22.26)	
125001230	2	HEWLETT PACKARD CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	89.17	96.36	(7.19)	
125001240	5	HEWLETT PACKARD CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	09/16/09	227.98	240.90	(12.94)	
125001310	19	IAC/INTERACTIVECORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	06/03/09	304.97	357.44	(52.47)	
125001340	1	J.CREW GROUP INC	07/03/08	08/26/09	32.37	32.60	(.23)	
	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/04/08		32.36	29.13		3.23



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GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001350	2	JCREW GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/04/08	12/11/09	\$ 90.20	\$ 58.26		\$ 31.94
125001370	10	JPMORGAN CHASE & CO	05/02/08	05/19/09	370.42	484.71	(114.29)	
125001380	4	JPMORGAN CHASE & CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	07/27/09	153.04	193.89	(40.85)	
125001390	6	JPMORGAN CHASE & CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	259.03	280.83	(31.80)	
125001460	2	KOHL'S CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/20/08	10/21/09	118.56	61.61		56.95
125001480	4	KOHL'S CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/27/08	12/11/09	221.26	112.95		108.31
125001500	3	KRAFT FOODS INC CLASS A	05/02/08	05/18/09	74.47	96.18	(21.71)	
125001510	2	KRAFT FOODS INC CLASS A Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	56.93	64.12	(7.19)	
125001520	7	KRAFT FOODS INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/02/08	12/02/09	187.40	224.42	(37.02)	
125001550	3	KROGER CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	65.40	82.11	(16.71)	
125001580	14 8	LOWES COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/16/08 09/18/08	10/28/09	276.89 158.22	341.84 179.35	(64.95) (21.13)	



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GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Long Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001670	1	MASCO CORP DE MorganStanley SmithBarney LLC acted as your agent In this transaction.	09/17/08	10/16/09	\$ 13.70	\$ 18.01	(\$ 4.31)	
125001730	2	MOODY'S CORPORATION Morgan Stanley Sml th Barney LLC acted as yo ur agent In this transa	05/21/08	08/26/09	53.48	76.41	(22.93)	
125001740	2	MOODY'S CORPORATION Morgan Stanley Sml th Barney LLC acted as yo ur agent In this transa	05/21/08	09/16/09	50.40	76.41	(26.01)	
125001750	1 5 5	MOODY'S CORPORATION MorganStanley SmithBarney LLC acted as your agent In this transaction.	05/21/08 07/21/08 09/17/08	12/07/09	25.03 125.16 125.16	38.21 173.90 170.36	(13.18) (48.74) (45.20)	
125001800	14	ORACLE CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent In this transa	05/02/08	06/25/09	302.51	298.77		3.74
125001810	3	ORACLE CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent In this transa	05/02/08	08/26/09	68.63	64.02		2.81
125001820	27	ORACLE CORP MorganStanley SmithBarney LLC acted as your agent In this transaction.	05/02/08	10/05/09	550.81	576.20	(25.39)	
125001840	2	PNC FINANCIAL SERVICES GROUP Morgan Stanley Sml th Barney LLC acted as yo ur agent In this transa	05/02/08	08/26/09	83.99	141.41	(57.42)	
125001850	1	PNC FINANCIAL SERVICES GROUP MorganStanley SmithBarney LLC acted as your agent In this transaction.	05/02/08	10/29/09	51.77	70.70	(18.93)	



Ref: 00000534 00015567

GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001930	5	PRAXAIR INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	07/10/09	\$ 344.32	\$ 463.11	(\$ 118.79)	
125001940	2	PROGRESS ENERGY INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	79.25	85.76	(6.51)	
125001950	4	PROGRESS ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	05/02/08	10/02/09	152.71	171.51	(18.80)	
125001960	1	PROGRESS ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	05/02/08	10/13/09	37.46	42.88	(5.42)	
125002010	4	QUALCOMM INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	09/22/09	177.53	176.68		.85
125002020	6	QUALCOMM INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	05/02/08	09/30/09	270.61	265.02		5.59
125002050	2	SCHLUMBERGER LTD Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	112.88	198.17	(85.29)	
125002150	1	TEVA PHARMACEUTICAL INDS LTD ADR Morgan Stanley Sml th Barney LLC acted as yo	05/02/08	07/31/09	53.41	47.06		6.35
125002160	2	TEVA PHARMACEUTICAL INDS LTD ADR Morgan Stanley Sml th Barney LLC acted as yo	05/02/08	08/26/09	103.39	94.11		9.28



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GEORGE & RUTH HARMS FOUNDATION
Account Number 681-42349-17 246

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002170	3	TEVA PHARMACEUTICAL INDS LTD ADR MorganStanley SmithBarney LLC acted as your agent	05/02/08	10/21/09	\$ 154.07	\$ 141.17		\$ 12.90
125002180	2	TEVA PHARMACEUTICAL INDS LTD ADR MorganStanley SmithBarney LLC acted as your agent	05/02/08	11/23/09	106.68	94.11		12.57
125002200	.251	TIME WARNER CABLE INC CASH IN LIEU OF .25101 RECORD 03/12/09 PAY 03/27/09 FROM: 887317105000 (SPINOFF)	Unavailable	03/30/09	6.86			
125002270	1	WAL-MART STORES INC	05/02/08	05/11/09	50.67	57.27	(6.60)	
125002280	5	WAL-MART STORES INC	05/02/08	05/18/09	247.08	286.38	(39.32)	
125002290	3	WAL-MART STORES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/07/09	148.08	171.83	(23.77)	
125002300	11	WASTE MGMT INC DEL	05/02/08	05/15/09	294.87	401.95	(107.08)	
125002320	7	WELLS FARGO & CO NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	08/26/09	183.05	217.77	(24.72)	
125002330	6	WELLS FARGO & CO NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/02/08	09/22/09	170.58	186.86	(16.08)	
125002340	4 2	WESTERN UNION COMPANY (THE)	03/14/07 03/19/07	04/02/09	52.32 26.16	84.34 43.69	(32.02) (17.53)	
125002350	2	WESTERN UNION COMPANY (THE)	03/19/07	04/07/09	26.99	43.70	(16.71)	
125002360	7	WESTERN UNION COMPANY (THE)	03/19/07	04/16/09	105.21	152.93	(47.72)	
125002370	7	WESTERN UNION COMPANY (THE) Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/01/08	07/08/09	108.01	174.36	(65.35)	

No Cost Basis →



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GEORGE & RUTH HARMS FOUNDATION
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Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002380	2	XTO ENERGY INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/22/08	08/26/09	\$ 78.99	\$ 134.32	(\$ 55.33)	
125002390	4	XTO ENERGY INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/23/08	09/09/09	157.53	262.20	(104.67)	
Total					\$ 15,537.29	\$ 19,281.34	(\$ 4,358.18)	\$ 592.65

Details of Deposits and Withdrawals 2009

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
2100000100	04/30/09	CONSULTING & ADVISORY SERVICES FROM 04/14/09 TO 06/30/09	\$ 8.30
Total			\$ 10.97

Withdrawals

Reference number	Date	Description	Referral number	Amount
2200000100	01/16/09	CONSULTING & ADVISORY SERVICES FROM 01/01/09 TO 03/31/09		\$ 126.81
2200000300	04/17/09	CONSULTING & ADVISORY SERVICES FROM 04/01/09 TO 06/30/09		109.34
2200000500	08/31/09	FROM 681-42349-01 TO 681-25643-01		3,000.00
Total				\$ 7,185.08

FEE WITHDRAWALS 485.06
FEE DEPOSITS - 10.97
NET FEES 474.09



2009 YEAR END SUMMARY

CASH IN DEU
ROL - T 12.60
TIME WARRIOR + 6.86
(612.11)
(3744.05)

Ref: 00000534 00015510

GEORGE & RUTH HARMS FOUNDATION
Account Number 681-25642-16 246

Details of Earnings 2009

Dividends and Distributions, Section 1

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax.
Total dividends includes both Qualified and non-qualified dividends received.
Total capital gain distributions is a total of long term capital gain distributions, Unrecaptured Sec. 1250 gain and Section 1202 gain.

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec 1250 gain	Section 1202 gain	Alternative minimum tax
160000100	AUTOMATIC DATA PROCESSING INC.	\$ 19.80	\$ 19.80					
160000200	BANK NEW YORK MELLON CORP	22.56	22.56					
160000300	C R BARD INC NEW JERSEY	5.60	5.60					
160000400	ECOLAB INC	9.80	9.80					
160000500	GENERAL ELECTRIC CO	26.35	26.35					
160000600	WESTERN ASSET MONEY MARKET FUND CLASS A SHARES (EX)	44.76						
160000700	MEDTRONIC INC	18.75	18.75					
160000800	OMNICOM GROUP INC	14.25	14.25					
160000900	PROCTER & GAMBLE CO	16.00	16.00					
160001000	STATE STREET CORP	7.20	7.20					
160001100	XTO ENERGY INC	11.04	11.04					
Totals		\$ 196.11	\$ 151.35					

100.02 44.76 NON-QUAL DIVIDENDS

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000030	60	ADOBE SYSTEMS INC (OE)	06/02/08	01/28/09	\$ 1,247.42	\$ 2,589.53	(\$ 1,342.11)	
	20		09/10/08		415.80	816.32	(400.52)	
125000070	50	CITRIX SYSTEMS INC	04/03/08	01/28/09	1,206.94	1,563.00	(356.06)	
125000190	50	PEABODY ENERGY CORP	05/30/08	01/28/09	1,387.10	3,681.50	(2,294.40)	
	30		09/10/08		832.26	1,479.30	(647.04)	
Total					\$ 5,088.52	\$ 10,128.66	(\$ 5,040.13)	



Ref: 00000534 00015511

GEORGE & RUTH HARMS FOUNDATION
Account Number 681-25642-16 246

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	25	ALCON INC	09/14/07	01/28/09	\$ 2,158.83	\$ 3,483.25	(\$ 1,324.42)	
125000020	60	AFLAC INC	08/20/03	01/28/09	1,515.16	1,898.97	(383.81)	
125000040	50	AUTOMATIC DATA PROCESSING INC.	07/25/08	01/28/09	1,874.32	1,942.09	(67.77)	
125000050	47,056 48,944	BANK NEW YORK MELLON CORP	01/28/02 08/14/06	01/28/09	1,271.44 1,268.44	2,114.86 1,548.06	(843.42) (279.62)	
125000060	35	C R BARD INC NEW JERSEY	04/07/08	01/28/09	3,008.88	2,398.43		610.43
125000070	10 50	CITRIX SYSTEMS INC	10/15/07 10/19/07	01/28/09	241.39 1,208.95	420.30 1,981.95	(178.91) (775.00)	
125000080	40	COSTCO WHOLESALE CORP NEW	01/03/03	01/28/09	1,924.39	1,142.40		781.99
125000090	30 150	EMC CORP-MASS	03/19/03 03/07/07	01/28/09	340.69 1,703.46	233.40 1,981.50		107.29
125000100	60	EBAY INC	08/10/06	01/22/09	704.22	1,487.40	(783.18)	
125000110	20 50	ECOLAB INC	05/19/04 08/14/07	01/28/09	704.08 1,760.19	567.66 2,140.99		136.42
125000120	35 50	GENERAL ELECTRIC CO	11/11/02 06/14/06	01/28/09	473.21 676.01	849.80 1,690.00	(376.59) (1,013.99)	
125000130	20 100	INTEL CORP	01/03/03 01/31/06	01/28/09	281.40 1,407.00	330.60 2,142.00	(49.20) (735.00)	
125000140	50	JOHNSON & JOHNSON	12/27/01	01/28/09	2,912.53	3,001.00	(88.47) c	
125000150	100	MEDTRONIC INC	10/17/03	01/28/09	3,388.02	4,618.00	(1,229.98)	
125000160	165	MICROSOFT CORP	12/27/01	01/28/09	2,965.41	5,597.83	(2,632.22) c	
125000170	100	MICROCHIP TECHNOLOGY INC	05/22/07	01/28/09	1,803.00	4,053.00	(2,150.00)	
125000180	45 50	OMNICOM GROUP INC	03/19/03 10/10/07	01/28/09	1,237.25 1,374.73	1,211.85 2,580.50		25.40
125000200	40	PROCTER & GAMBLE CO	10/12/06	01/28/09	2,302.80	2,513.11	(210.31)	
125000210	30	STATE STREET CORP	01/03/03	01/28/09	748.49	1,215.90	(467.41)	
125000220	40	WALGREEN CO NEW	10/10/07	01/28/09	1,113.19	1,568.00	(454.81)	
125000230	92	XTO ENERGY INC	07/06/05	01/28/09	3,483.30	2,692.04		791.26
Total					\$ 43,848.76	\$ 67,404.69	(\$ 15,908.72)	\$ 2,452.79

c Based on information supplied by Client or other financial institution, not verified by us.

(13,455.93)

