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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending

G Check all that apply. ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label otherwise, print or type. See Specific Instructions.	EQUINOX FOUNDATION INC 20 CORPORATE WOODS BLVD SUITE 600 ALBANY, NY 12211		A Employer identification number 22-3109260
			B Telephone number (see the instructions) 518-465-4747
			C If exemption application is pending, check here ☐
			D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 12,971,691.		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc., received (att sch)	241,614.			
	2 Ck ☐ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	2,568.	2,568.		
	4 Dividends and interest from securities	349,253.	349,253.		
	5a Gross rents	32,643.	32,643.		
	b Net rental income or (loss) 32,643.				
	6a Net gain/(loss) from sale of assets not on line 10	30,340.			
	b Gross sales price for all assets on line 6a 99,930.				
	7 Capital gain net income (from Part IV, line 2)		42,659.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule) See Statement 1	32.				
12 Total. Add lines 1 through 11	656,450.	427,123.	0.		
ADMINISTRATIVE AND EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) See St 2	1,007.	1,007.		
	c Other prof fees (attach sch)				
	17 Interest	2.	2.		
	18 Taxes (attach schedule)(see instr) See Stm 3	14,834.	2,721.		
	19 Depreciation (attach sch) and depletion	7,500.	7,500.		
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) See Statement 4	114,638.	47,976.		66,661.
	24 Total operating and administrative expenses. Add lines 13 through 23	137,981.	59,206.		66,661.
	25 Contributions, gifts, grants paid Part XV	597,925.			597,925.
26 Total expenses and disbursements. Add lines 24 and 25	735,906.	59,206.	0.	664,586.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-79,456.				
b Net investment income (if negative, enter -0)		367,917.			
c Adjusted net income (if negative, enter -0)			0.		

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	50,059.	26,299.	26,299.
	2 Savings and temporary cash investments	3,220,697.	1,348,132.	1,348,132.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) Statement 5	5,858,833.	6,262,915.	5,811,303.
	c Investments — corporate bonds (attach schedule) Statement 6	3,990,524.	5,270,343.	5,254,342.
	11 Investments — land, buildings, and equipment: basis			
LIABILITIES	Less: accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe See Statement 7)	65,878.	205,278.	531,615.
	16 Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)	13,185,991.	13,112,967.	12,971,691.
	17 Accounts payable and accrued expenses			
	18 Grants payable	501,834.	224,000.	
	19 Deferred revenue			
FUND ASSETS	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	501,834.	224,000.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
NET ASSETS OR FUND BALANCES	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	12,684,157.	12,888,967.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see the instructions)	12,684,157.	12,888,967.	
	31 Total liabilities and net assets/fund balances (see the instructions)	13,185,991.	13,112,967.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,684,157.
2 Enter amount from Part I, line 27a	2	-79,456.
3 Other increases not included in line 2 (itemize) See Statement 8	3	285,336.
4 Add lines 1, 2, and 3	4	12,890,037.
5 Decreases not included in line 2 (itemize) See Statement 9	5	1,070.
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	12,888,967.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	42,659.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	688,046.	13,151,077.	0.052319
2007	524,353.	13,711,063.	0.038243
2006	567,753.	12,243,790.	0.046371
2005	511,293.	11,442,942.	0.044682
2004	423,212.	10,511,088.	0.040263

2 Total of line 1, column (d)	2	0.221878
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.044376
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	12,059,262.
5 Multiply line 4 by line 3	5	535,142.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,679.
7 Add lines 5 and 6	7	538,821.
8 Enter qualifying distributions from Part XII, line 4	8	664,586.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instr.)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1 3,679.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2 0.
3 Add lines 1 and 2		3 3,679.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 3,679.
6 Credits/Payments		
a 2009 estimated tax prmts and 2008 overpayment credited to 2009	6a 13,868.	
b Exempt foreign organizations – tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d	7 13,868.	
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9 0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 10,189.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax 3,680. Refunded	11 6,509.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>MICHELLE LECLAIR</u> Telephone no. <u>518-465-4747</u> Located at <u>20 CORP. WOODS BLVD. SUITE 600 ALBANY NY</u> ZIP + 4 <u>12211</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>	N/A		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	9,257,195.
b	Average of monthly cash balances	1b	2,597,711.
c	Fair market value of all other assets (see instructions)	1c	388,000.
d	Total (add lines 1a, b, and c)	1d	12,242,906.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,242,906.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	183,644.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,059,262.
6	Minimum investment return. Enter 5% of line 5	6	602,963.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	602,963.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	3,679.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,679.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	599,284.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	599,284.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	599,284.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	664,586.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	664,586.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	3,679.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	660,907.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				599,284.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			602,387.	
b Total for prior years 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 664,586.				
a Applied to 2008, but not more than line 2a			602,387.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount				62,199.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				537,085.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

BAA

Form 990-PF (2009)

N/A

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities.

- e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a 'Assets' alternative test – enter:

- (1) Value of all assets**

- (2) Value of assets qualifying under section 4942(i)(3)(B)(i)

- b** 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c 'Support' alternative test – enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income**

Part XV **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Statement 11				
Total			3a	597,925.
b Approved for future payment				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments	14	2,568.	14		
4 Dividends and interest from securities	14	349,253.	14		
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					32,643.
6 Net rental income or (loss) from personal property					
7 Other investment income	900001	32.			
8 Gain or (loss) from sales of assets other than inventory	18	32,511.			-2,171.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a TAX REFUND					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		384,364.			30,472.
13 Total. Add line 12, columns (b), (d), and (e)					414,836.

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF

OMB No 1545-0047

2009

Name of the organization

EQUINOX FOUNDATION INC

Employer identification number

22-3109260

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)(____) (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule —

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules —

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

EQUINOX FOUNDATION INC

22-3109260

Part I Contributors (see instructions.)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	JOHN D. PICOTTE 20 CORPORATE WOODS BLVD ALBANY, NY 12211	\$ 144,114.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
2	KMP CLT I 20 CORPORATE WOODS BLVD. ALBANY, NY 12211,	\$ 97,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

EQUINOX FOUNDATION INC

22-3109260

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	LIFE INSURANCE POLICIES		
		\$ 144,114.	Various
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

EQUINOX FOUNDATION INC

22-3109260

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete cols (a) through (e) and the following line entry.)For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once — see instructions.)

► \$ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

EQUINOX FOUNDATION INC

22-3109260

Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Other Investment Income	\$ 32.		
Total	\$ 32.	\$ 0.	\$ 0.

Statement 2
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
WEISER	\$ 1,007.	\$ 1,007.		
Total	\$ 1,007.	\$ 1,007.	\$ 0.	\$ 0.

Statement 3
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ESTIMATES	\$ 12,113.			
FOREIGN TAXES	2,721.	\$ 2,721.		
Total	\$ 14,834.	\$ 2,721.	\$ 0.	\$ 0.

Statement 4
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
COMMUNITY FOUNDATION	\$ 17,885.			\$ 17,885.
INVESTMENT EXPENSES	21,580.	\$ 10,790.		10,790.
Misc	23,685.	11,842.		11,842.
MJP HOLDINGS	50,554.	25,277.		25,277.
NYS DEPT OF LAW	800.			800.
OFFICE EXPENSES	134.	67.		67.
Total	\$ 114,638.	\$ 47,976.	\$ 0.	\$ 66,661.

EQUINOX FOUNDATION INC

22-3109260

Statement 5
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

<u>Corporate Stocks</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
LONGLEAF SMALL CAP FUND	Cost	\$ 370,000.	\$ 421,920.
INTERNATIONAL ETFS	Cost	943,691.	1,073,757.
LARGE CAP MUTUAL FUNDS	Cost	2,920,952.	2,239,714.
WILSHIRE FUND	Cost	348,393.	408,269.
CYCLICS PREFERRED	Cost	1,000,000.	999,998.
VANGUARD MID CAP	Cost	16,169.	21,447.
EMERGING MARKETS	Cost	663,710.	646,198.
	Total	\$ 6,262,915.	\$ 5,811,303.

Statement 6
Form 990-PF, Part II, Line 10c
Investments - Corporate Bonds

<u>Corporate Bonds</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
PIMPCO SHORT TERM FUND	Cost	\$ 1,008,361.	\$ 1,023,884.
PIMPCO TOTAL RETURN	Cost	4,261,982.	4,230,458.
	Total	\$ 5,270,343.	\$ 5,254,342.

Statement 7
Form 990-PF, Part II, Line 15
Other Assets

	<u>Book Value</u>	<u>Fair Market Value</u>
GUARDIAN LIFE POLICY	\$ 35,762.	\$ 35,762.
KMP PROPERTIES	61,164.	387,500.
KNIGHTS OF COLUMBUS POLICY	34,294.	34,294.
MASS MUTUAL POLICY	74,058.	74,059.
	Total \$ 205,278.	\$ 531,615.

Statement 8
Form 990-PF, Part III, Line 3
Other Increases

DECREASE GRANTS PAYABLE	\$ 277,834.
DEPRECIATION ADJUSTMENT	7,500.
TAX EXEMPT INCOME	2.
	Total \$ 285,336.

EQUINOX FOUNDATION INC

22-3109260

Statement 9
Form 990-PF, Part III, Line 5
Other Decreases

COST ADJ
 NON DEDUCTABLE EXPENSES

\$ 1,066.
 4.
 Total \$ 1,070.

Statement 10
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title and Average Hours Per Week Devoted	Compen- sation	Contri- bution to EBP & DC	Expense Account/ Other
JOHN D PICOTTE 624 BRIDGEWAY LANE NAPLES, FL 34108	PRESIDENT 0	\$ 0.	\$ 0.	\$ 0.
MARGARET HINES PICOTTE 624 BRIDGEWAY LANE NAPLES, FL 34108	Director 0	0.	0.	0.
JOHN D PICOTTE JR 20 CORPORATE WOODS BLVD ALBANY, NY 12211	DIRECTOR 0	0.	0.	0.
BROOKE A PICOTTE 20 CORPORATE WOODS BLVE ALBANY, NY 12211	DIRECTOR 0	0.	0.	0.
MARGARET P. MACCLARENCE 20 CORPORATE WOODS BLVD ALBANY, NY 12211	Treasurer 0	0.	0.	0.
MICHELLE R. LECLAIR 20 CORPORATE WOODS BLVD ALBANY, NY 12211	Secretary 0	0.	0.	0.
Total	\$ 0.	\$ 0.	\$ 0.	

Statement 11
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
Siena College 515 Loudon Road Loudonville, NY 12211			3rd payment on 5-year pledge to the Father Kevin E. Mackin, OFM Scholarship Fund.	\$ 2,000.
Friends of Hildene 1005 Hildene Road/PO Box 377 Machester, VT 05254			JDP/MHP-Donation to the Annual Fund at Hildene.	1,500.
Albany Medical Center Foundation 43 New Scotland Avenue/MC 119 Albany, NY 12208			JDP/MHP-Dancing in the Woods Event, benefitting the Center for Childhood Cancer and Blood Disorders.	2,500.
Auxillary of St. Vincent's Hospital 130 West 12th Street, #3H New York, NY 10011			BAP-2009 donation in support of the Christmas luncheon given by the Auxiliary at the Hospital.	2,200.
Paul Robert Carey Foundation 20 Corporate Woods Blvd. Albany, NY 12211			Contribution to the Shining Spirit Breakfast (10.27.2009.).	1,650.
Convent of the Sacred Heart 1 East 91st Street New York, NY 10129			BAP-2009 annual support of early to higher educations (pre K through 12th grades).	1,500.
The Nature Conservancy 195 New Karner Road, Suite 201 Albany, NY 12205			3rd payment on 4-year pledge; supporting land and marine conservation.	10,000.
Siena College 515 Loudon Road Loudonville, NY 12211			2009 donation to the Annual Fund.	5,000.

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
St. Scholastica Priory 271 North Main Street/PO Box 606 Petersham, MA 01366			JDP/MHP-2009 donation to the Annual Appeal.	\$ 500.
Village Community School 272 West 10th Street New York, NY 10014			BAP-4th and final payment on pledge plus an additional \$10,000. The pledge supports early education (K through 8th grades).	20,000.
Adirondack Museum PO Box 99 Blue Mountain Lake, NY 12812			MPM-Support for the Adirondack Museum's Annual Fund.	500.
The Albany Academies 140 Academy Road Albany, NY 12208			MPM-Donation to the 2009-2010 Annual Fund.	1,000.
Albany Medical Center Foundation 43 New Scotland Avenue/MC 119 Albany, NY 12208			MPM-Gift to the Unrestricted Annual Fund.	1,000.
Capital Region Youth Tennis Foundation 85 Watervliet Avenue Albany, NY 12206			MPM-Donation to the 15Love program for the year 2009.	4,000.
Emma Willard School 285 Pawling Avenue Troy, NY 12180			MPM-Support of the 2009-2010 Annual Fund.	1,500.
Johnsburgh Emergency Squad PO Box 413 North Creek, NY 12853			MPM-2009 support for the work of the emergency squad.	250.
New York State Ski Foundation PO Box 300 Wilmington, NY 12997			MPM-2009 support of the NYS Ski Education program.	1,000.
North Country Ministry PO Box 111 North Creek, NY 12853			MPM-Support of the ministry for the year 2009.	500.

EQUINOX FOUNDATION INC

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Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
Proctors Theatre 432 State Street Schenectady, NY 12305			MPM-2009 support for arts and entertainment in the Capital Region.	\$ 500.
Robert C. Parker School 4254 NY Route 43 Wynantskill, NY 12198			MPM-In support of the school for the year 2009.	1,500.
Trinity College 300 Summit Street Hartford, CT 06106			MPM-2009 donation to the Alumni Fund.	2,500.
University at Albany Foundation 1400 Washington Avenue/UAB 226 Albany, NY 12222			MPM-Support to the University at Albany Foundation Board of Directors' Fund.	10,000.
Foundation for AIDS Research 120 Wall Street/13th Floor New York, NY 10005			JAY-2009 support for AIDS research, HIV prevention, treatment education, and advocacy initiatives.	1,000.
International Yacht Restoration School/M 449 Thames Street Newport, RI 02840			JAY-2009 annual support to the International Yacht Restoration School and the Museum of Yachting.	4,000.
Landmark College River Road South Putney, VT 05346			JAY-Donation to the Annual Fund for the year 2009.	3,500.
Martin Luther King Center 20 Dr. Marcus F. Wheatland Blvd. Newport, RI 02840			JAY-Gift in support of the Martin Luther King Center for the year 2009.	2,500.

EQUINOX FOUNDATION INC

22-3109260

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Mystic Seaport Museum 75 Greenmanville Avenue/PO Box 6000 Mystic, CT 06355			JAY-Donation to the 2009-2010 Annual Fund.	\$ 2,000.
Norman Bird Sanctuary 583 Third Beach Road Middletown, RI 02842			JAY-Support for the protection of wildlife and environmental education programs.	2,000.
Pennfield School 110 Sandy Point Avenue Portsmouth, RI 02871			JAY-Support for the Pennfield School's 2009-2010 Annual Fund.	750.
Sail Newport 60 Fort Adam Drive Newport, RI 02840			JAY-2009 donation in support of Sail Newport's public access sailing programs.	2,500.
Save the Bay 100 Save the Bay Drive Providence, RI 02905			JAY-Support for Save the Bay's Annual Fund.	500.
Naples Botanical Garden 4820 Bayshore Drive Naples, FL 34112			JDP/MHP-Annual donation.	1,000.
The Olana Partnership PO Box 199 Hudson, NY 12534			JDP/MHP-2009 support for the Olana historic site.	500.
Planned Parenthood of Collier County 1425 Creech Road Naples, FL 34103			JDP/MHP-Gift in support of health care, education, and advocacy programs.	1,000.
Southern Vermont Art Center PO Box 617/West Road Manchester, VT 05254			JDP/MHP-Gift to the Annual Giving Fund Drive for 2009.	1,500.

EQUINOX FOUNDATION INC

22-3109260

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Southwestern Vermont Medical Center 100 Hospital Drive Bennington, VT 05201			JDP/MHP-Contribu tion to the Bennington Hospital at the SVMC.	\$ 1,000.
The Gregorian University Foundation 106 West 56th Street New York, NY 10019			BAP-Donation in support of raising the urgently needed funds for scholarships, academic chairs, libraries and capital improvements for the Pontifical Gregorian University Consortium.	1,000.
The Lamplighter Foundation 110 Washington Avenue Albany, NY 12210			JDP/MHP-2009 donation to the Lamplighter Foundation; support for the restoration/pres ervation of the Fort Orange Club.	1,000.
Maple Street School 322 Maple Street Manchester Center, VT 05255			JDP/MHP-Support of the annual fund, at the school.	1,000.
The Nature Conservancy of Vermont 27 State Street, Suite 4 Montpelier, VT 05602			JDP/MHP-Donation in support of the Equinox Highlands project challenge grant.	1,500.
Albany Medical Center Foundation 43 New Scotland Avenue/MC 119 Albany, NY 12208			CF-2009 grant to the Clinical Competency and Patient Safety Center.	15,000.

EQUINOX FOUNDATION INC

22-3109260

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
Albany Medical Center Foundation 43 New Scotland Avenue, MC-119 Albany, NY 12208			9th payment on 10-year pledge in support of the Albany Medical Center Pillars Campaign.	\$ 50,000.
Christian Brothers Acadmey 12 Airline Drive Albany, NY 12205			2nd payment on 5-year pledge, to the Brother Aloysius Myers, FSC Scholarship Fund.	5,000.
St. Peter's Hospital Foundation 319 South Manning Blvd./Suite 309 Albany, NY 12208			2nd payment on 5-year pledge supporting the Cornerstone Campaign.	50,000.
Big Brothers Big Sisters of the Capital 1492 Central Avenue Albany, NY 12205			CF-2009 grant for the Improving Children's Lives Through Mentoring Program.	10,000.
Capital Region Youth Tennis 120 Broadway Albany, NY 12204			MPM-Donation to Fore Love & Money; 15-LOVE's annual golf and tennis fundraiser which generates approximately 25% of the entire 15-LOVE annual budget.	1,175.
AIDS Council of Northeastern New York 927 Broadway Albany, NY 12207			CF-2009 grant for the Peer Associates Initiative.	5,000.
Albany Pro Musica PO Box 3850 Albany, NY 12203			CF-2009 grant for the Enhanced Season Programming.	5,000.

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
Albany Symphony Orchestra 19 Clinton Avenue Albany, NY 12207			CF-2009 grant for the Adopt-A-School (City of Albany) Program.	\$ 10,000.
Capital Region Sponsor A Scholar Pine West Plaze, Building 3 Albany, NY 12205			CF-2009 grant for the Tutoring/Homework Help Sessions Program.	5,000.
Center for Law & Justice Pine West Plaza, Building 2 Albany, NY 12205			CF-2009 grant for the First Stop Program.	10,000.
Gilda's Club Capital Region NY 1 Penny Lane Latham, NY 12110			CF-Support for the Noogieland-Cancer Support for Children.	8,000.
Guiding Eyes for the Blind 611 Granite Springs Road Yorktown Heights, NY 10598			BAP-2009 donation in support of the blind.	300.
West Village Nursery School 73 Horatio Street New York, NY 10014			BAP-2009 support to the school.	1,000.
The Museum of Yachting Fort Adams State Park Newport, RI 02840			JAY-2009 support assisting the programs provided by the museum to preserve the culture of yachting.	4,000.
Rose Island Lighthouse Foundation PO Box 1419 Newport, RI 02840			JAY-Support for the preservation of Rose Island and the various environmental, historical, and educational programs on the Island.	3,500.

EQUINOX FOUNDATION INC

22-3109260

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
CoNSERNS-U/Catholic Charities PO Box 765/23 Academy Street Rensselaer, NY 12144			MPM-2009 supporting the mission of helping individual families meet their basic fundamental needs.	\$ 500.
Campaign for Catholic Schools 143 Newbury Street Boston, MA 02116			JDP/MHP-Support helping rebuild Catholic schools in the Archdiocese of Boston.	500.
Capital City Rescue Mission 259 South Pearl Street Albany, NY 12201			JDP/MHP-2009 support for the needy.	1,000.
Historic Cherry Hill 523 1/2 South Pearl Street Albany, NY 12202			JDP/MHP-Support for the preservation of the historic structure.	1,000.
Dana Farber Hospital 44 Binney Street Boston, MA 02115			JDP/MHP-Gift for the 2009 Annual Appeal.	100.
Community Foundation of Collier County 2400 Tamiami Trail North, Suite 300 Naples, FL 34103			JDP/MHP-2009 gift to open the John and Maggie Picotte Donor Advised Fund.	13,500.
Guadalupe Center of Immokalee 509 Hope Circle Immokalee, FL 34142			JDP/MHP-2009 gift in support of the Guadalupe Center.	2,500.
Immokalee Child Care Center 3775 Airport Pulling Road North Naples, FL 34105			JDP/MHP-support for the work done for the children in the Naples Community.	500.

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
NCH Healthcare Foundation PO Box 234 Naples, FL 34106			JDP/MHP-2009 support providing healthcare to the community.	\$ 5,000.
St. Matthew's House 2001 Airport Road South Naples, FL 34112			JDP/MHP-Gift in support of St. Matthew's House, for the year 2009.	2,000.
Dorset Theatre Festival Box 510 Dorset, VT 05251			JDP/MHP-2009 support to the theatre for the season.	1,000.
International Yacht Restoration School 449 Thames Street Newport, RI 02840			JDP/MHP-2009 donation to the Annual Fund.	1,500.
Vermont Food Bank Box 254 South Barre, VT 05670			JDP/MHP-Support in providing food to those in need.	1,000.
Pittsburgh Symphony Orchestra Heinz Hall/600 Penn Avenue Pittsburgh, PA 15222			JDP/MHP-Donation to the orchestra, in the name of Mr. and Mrs. Nicholas Beckwith III.	1,500.
Albany High School Theater Assembly 700 Washington Avenue Albany, NY 12203			CF-2009 grant for the Preparing Young Lighting Designers for 21st Century Technology Program.	8,000.
Palace Performing Arts Center 19 Clinton Avenue Albany, NY 12207			CF-2009 grant for the International Family Series-Arts in Education Initiative.	10,000.

EQUINOX FOUNDATION INC

22-3109260

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Assisi in Albany 160 Central Avenue Albany, NY 12206			CF-2009 grant for Assisi in Albany (aid for the elderly).	\$ 5,000.
The Beacon Institute for Rivers and Estu 199 Main Street Beacon, NY 12508			CF-2009 grant for the SENSE IT: Innovation at Tech Valley High School Program.	10,000.
Capital Region Youth Tennis Foundation 785 Washington Avenue Albany, NY 12206			CF-2009 grant for the Building Capital Campaign.	15,000.
Downtown Day Care Center, Inc. 110 North Pearl Street Albany, NY 12207			CF-2009 grant for the Tuition Scholarships for Children Program.	8,000.
Help Yourself Foundation 1090 Avon Road Schenectady, NY 12308			CF-Grant to support the Help Yourself Foundation (educational support for children in high school).	15,000.
New Netherland Institute Box 2536/ESP Station Albany, NY 12220			CF-Grant for the transcription, translation, and digitization of the Antonie de Hogges Memorandum Book.	5,000.
Parsons Child and Family Center 60 Academy Road Albany, NY 12208			CF-2009 support for the Child & Adolescent Mobile Crisis Team Evaluation Project.	12,000.
Peaceful Acres Horses, Inc. 3740 Rynex Corners Road Pattersonville, NY 12137			CF-2009 grant for the Small Group Youth Program.	12,000.

EQUINOX FOUNDATION INC

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Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
The Sage Colleges/Academy for Character 45 Ferry Street Troy, NY 12180			CF-2009 support for the Summer Character Education Program for students and families.	\$ 4,000.
Scotia-Glenville Children's Museum 303 Mohawk Avenue Scotia , NY 12302			CF-2009 support for educational programs offered by the museum.	8,000.
Trinity Institution-Homer Perkins Cente 15 Trinity Place Albany, NY 12202			CF-2009 support for the general operations for the Trinity Institution-Home r Perkins Center, Inc..	10,000.
Wildwood Foundation 1190 Troy-Schenectady Road Latham, NY 12210			CF-2009 grant for the Interactive Whiteboard: Pilot Project.	6,500.
American Red Cross of Northeastern NY 33 Everett Road Albany, NY 12205			CF-2009 grant for the Health & Safety Mannequin Makeovers.	5,000.
Community Caregivers, Inc. 2113 Western Avenue Guilderland, NY 12084			CF-2009 grant for the Building a Caring and Health Community program.	10,000.
Legal Aid Society of Northeastern NY 55 Colvin Avenue Albany, NY 12206			CF-2009 grant for the Medical Legal Partnership of Children and Families.	10,000.
Regional Food Bank of Northeastern New Y 965 Albany-Shaker Road Albany, NY 12110			CF-2009 grant for the Expanded Food Transportation Program.	10,000.

EQUINOX FOUNDATION INC

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Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Senior Services of Albany 25 Delaware Avenue Albany, NY 12210			CF-2009 support for the Meals on Wheels program.	\$ 15,000.
Volunteer Physicians Project of Schenect 600 Franklin Street/Room 205 Schenectady, NY 12305			CF-2009 grant for the Schenectady Free Health Clinic.	15,000.
Bethesda House of Schenectady 418 Liberty Street Schenectady, NY 12305			CF-2009 grant for the Day Shelter/Hospital ity Center.	10,000.
Cohoes Community Center 22-40 Remsen Street Cohoes, NY 12047			CF-2009 grant for the Project Face Lift (Playground Safety Reconstruction).	5,000.
Equinox, Inc. 95 Central Avenue Albany, NY 12206			CF-2009 grant for the Equinox Campaign.	8,000.
Capital District Habitat for Humanity 454 North Pearl Street Albany, NY 12204			CF-2009 grant to the Stephen Street Project and the Restore Program.	15,000.
Interfaith Partnership for the Homeless 176 Sheridan Avenue Albany, NY 12210			CF-2009 grant for the Interfaith's Housing Program.	10,000.
Schenectady Day Nursery, Inc. 25 Lafayette Street Schenectady, NY 12305			CF-2009 grant for the SDN Meal Program.	7,500.
Schenectady Inner City Ministry 930 Albany Street Schenectady, NY 12307			CF-2009 grant for the Schenectady Damien Center Renovation Project.	5,000.

Statement 11 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
YWCA of the Greater Capital Region 21 First Street Troy, NY 12180			CF-2009 grant for general operating support.	\$ 12,000.
The Children's Museum of Science and Tec 250 Jordan Road Troy, NY 12180			CF-2009 grant for the Indoor Living Hudson River Experience.	5,000.
Schenectady Museum Association 15 Nott Terrace Heights Schenectady, NY 12308			CF-2009 grant for the Little Wonders of Science Program.	3,000.
Koinonia Healthcare/Compassion in Action 553 Clinton Avenue Albany, NY 12206			CF-2009 grant for general operating support.	10,000.
Total				\$ <u>597,925.</u>