



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type See Specific Instructions.	Name of foundation THE NEW ENGLAND FOUNDATION C/O JOSEPH MCNAY		A Employer identification number 22-2757391
	Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 125 HIGH STREET		B Telephone number 617-342-3200
	City or town, state, and ZIP code BOSTON, MA 02110		C If exemption application is pending, check here ☐
	H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 12,659,354. (Part I, column (d) must be on cash basis.)		J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	105,360.	105,360.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<586,613.>			
	b Gross sales price for all assets on line 6a	13,016,911.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications			30,000.	
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	<481,253.>	105,360.	30,000.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees	52,286.	44,443.		7,843.
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	75,860.	66,207.		9,653.
	24 Total operating and administrative expenses. Add lines 13 through 23	128,146.	110,650.		17,496.
	25 Contributions, gifts, grants paid	1,036,755.			1,036,755.
26 Total expenses and disbursements. Add lines 24 and 25	1,164,901.	110,650.		1,054,251.	
27 Subtract line 26 from line 12	<1,646,154.>				
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)		0.			
c Adjusted net income (if negative, enter -0-)			30,000.		

323501
02-02-10

LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

Form 990-PF (2009) 22

RECEIVED NOV 24 2010

12511110 795930 6GD06S

2009.05000 THE NEW ENGLAND FOUNDATION 6GD06S1 54

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing				0.	
	2 Savings and temporary cash investments			154,162.	64,664.	64,664.
	3 Accounts receivable ▶ 69,664.					
	Less: allowance for doubtful accounts ▶			28,385.	69,664.	69,664.
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations STMT 4			2,544,838.	2,074,025.	2,079,758.
	b Investments - corporate stock STMT 5			9,212,295.	8,175,364.	10,445,268.
	c Investments - corporate bonds					
Liabilities	11 Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
	12 Investments - mortgage loans					
	13 Investments - other					
	14 Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
	15 Other assets (describe ▶)					
	16 Total assets (to be completed by all filers)			11,939,680.	10,383,717.	12,659,354.
	17 Accounts payable and accrued expenses			18,051.	78,242.	
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
	23 Total liabilities (add lines 17 through 22)			18,051.	78,242.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	27 Capital stock, trust principal, or current funds			11,921,629.	10,305,475.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds			0.	0.	
30 Total net assets or fund balances			11,921,629.	10,305,475.		
31 Total liabilities and net assets/fund balances			11,939,680.	10,383,717.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,921,629.
2 Enter amount from Part I, line 27a	2	<1,646,154.>
3 Other increases not included in line 2 (itemize) ▶ RETURN OF PRIOR YEAR CONTRIBUTION	3	30,000.
4 Add lines 1, 2, and 3	4	10,305,475.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,305,475.

THE NEW ENGLAND FOUNDATION

Form 990-PF (2009)

C/O JOSEPH MCNAY

22-2757391

Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PROPERTY TYPE: SECURITIES - SEE ATTACHED	P	VARIOUS	VARIOUS
b PROPERTY TYPE: SECURITIES - SEE ATTACHED	P	VARIOUS	VARIOUS
c PROPERTY TYPE: FROM ESSEX ALPHA FUND K-1	P	VARIOUS	VARIOUS
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,134,506.		9,521,564.	<387,058.>
b 3,904,650.		4,081,960.	<177,310.>
c <22,245.>			<22,245.>
d			
e			

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			<387,058.>
b			<177,310.>
c			<22,245.>
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 2	<586,613.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	1,388,707.	16,009,267.	.086744
2007	1,581,880.	19,106,545.	.082793
2006	1,586,814.	18,375,137.	.086357
2005	1,506,419.	18,211,566.	.082718
2004	1,683,493.	19,106,599.	.088111

2 Total of line 1, column (d)	2	.426723
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.085345
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	11,480,318.
5 Multiply line 4 by line 3	5	979,788.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	0.
7 Add lines 5 and 6	7	979,788.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	1,054,251.

THE NEW ENGLAND FOUNDATION

Form 990-PF (2009)

C/O JOSEPH MCNAY

22-2757391

Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	0.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		3	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 1,984.	7	3,984.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c 2,000.	9	
d Backup withholding erroneously withheld	6d	10	3,984.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be Credited to 2010 estimated tax	3,984.		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2009)

THE NEW ENGLAND FOUNDATION

Form 990-PF (2009)

C/O JOSEPH MCNAY

22-2757391

Page 5

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► JOSEPH MCNAY Telephone no ► 617-432-3200
 Located at ► 125 HIGH STREET, BOSTON, MA ZIP+4 ► 02110

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	<u>N/A</u>	1b
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ► _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	<u>N/A</u>	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	<u>N/A</u>	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOSEPH C. MCNAY C/O ESSEX INV. MGMT. 125 HIGH STREET BOSTON, MA 02110	TRUSTEE 1.00	0.	0.	0.
COLIN MCNAY C/O ESSEX INV. MGMT. 125 HIGH STREET BOSTON, MA 02110	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NO PAID EMPLOYEES	0.00	0.	0.	0.

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ESSEX INVESTMENT MANAGEMENT CO. 125 HIGH STREET, BOSTON, MA 02109	INVESTMT. MANAGEMENT	52,286.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NOT APPLICABLE	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NOT APPLICABLE	
	0.
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	11,511,726.
b	Average of monthly cash balances	1b	143,419.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	11,655,145.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,655,145.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	174,827.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,480,318.
6	Minimum investment return. Enter 5% of line 5	6	574,016.

Part XI Distributable Amount (see instructions) (Section 4942(i)(3) and (i)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	574,016.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	574,016.
4	Recoveries of amounts treated as qualifying distributions	4	30,000.
5	Add lines 3 and 4	5	604,016.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	604,016.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,054,251.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,054,251.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,054,251.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				604,016.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004	741,233.			
b From 2005	624,515.			
c From 2006	690,525.			
d From 2007	680,805.			
e From 2008	290,780.			
f Total of lines 3a through e	3,027,858.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 1,054,251.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				604,016.
e Remaining amount distributed out of corpus	450,235.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,478,093.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	741,233.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	2,736,860.			
10 Analysis of line 9				
a Excess from 2005	624,515.			
b Excess from 2006	690,525.			
c Excess from 2007	680,805.			
d Excess from 2008	290,780.			
e Excess from 2009	450,235.			

THE NEW ENGLAND FOUNDATION

Form 990-PF (2009)

C/O JOSEPH MCNAY

22-2757391

Page 10

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year

(a) 2009

(b) 2008

Prior 3 years

(c) 2007

(d) 2006

(e) Total

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV

3

Recipient

Name and address (home or business)

If recipient is an individual, show any relationship to any foundation manager or substantial contributor

Foundation
status of
recipient

Purpose of grant or contribution

Amount

a Paid during the year
SEE ATTACHED STATEMENT

N/A

ALL PUBLIC CHARITIES	SEE ATTACHED STATEMENT
----------------------	------------------------

1036755.

Total

► **3a**

1036755.

b *Approved for future payment*

NONE

Total:

▶ 3b

0.

12
2009.05000 THE NEW ENGLAND FOUNDATION 6GD06S1

Form **990-PF** (2009)

Portfolio Number : 500
Portfolio Name : NEW ENGLAND FOUNDATION
Print Date : Jan 01 2009
Print Date : Dec 31 2009
Gain/Loss Term

First Investment Management Co., LLC

Portfolio Number : 508
Portfolio Name : NEW ENGLAND FOUNDATION
Start Date : Jan 01 2009
End Date : Dec 31 2009
Gain/Loss Term

Essex Investment Management Co., LLC

Portfolio Number: 505
Portfolio Name: NEW ENGLAND FOUNDATION
Start Date: Jan 01 2009
End Date: Dec 31 2009
Gain/Loss Term

Trade Date	Sell	Orig Acq	Event	Sec ID	Ticker	Tax	Shrs	Ten Amt	Original Cost	Realized Gain/Loss
Dec 04 2009	Dec 07 2009	Oct 07 2008	SELL	861629	TEVA	TEVA PHARMACEUTICAL INDS LTD	45.00	3,571.90	2,857.36	665.54
Dec 04 2009	Dec 09 2009	Oct 14 2008	SELL	872540	TXK	TXK COS INC NEW	215.00	8,078.19	6,381.05	1,697.14
Dec 04 2009	Dec 09 2009	Mar 24 2008	SELL	92532F	VRTX	VERTEX PHARMACEUTICALS INC	174.00	4,844.99	2,317.64	2,527.35
Dec 22 2009	Dec 18 2009	Jul 10 2008	SELL	03011108	AMSC	AMERICAN SUPERCONDUCTOR CORP	44.00	2,764.00	2,126.03	637.97
Dec 22 2009	Dec 18 2009	Oct 01 2008	SELL	03781300	AAPL	APPLE INC	55.00	11,034.46	5,124.46	5,909.00
Dec 22 2009	Dec 18 2009	Oct 08 2008	SELL	07181300	BAX	DANTEL INTL INC	55.00	3,327.64	2,461.91	865.73
Dec 22 2009	Dec 18 2009	Oct 23 2008	SELL	15102004	CEIG	CELESTINE CORP	59.00	3,319.16	2,347.16	972.00
Dec 22 2009	Dec 18 2009	Oct 10 2008	SELL	172758102	CSCO	CISCO SYS INC	75.00	1,778.95	1,371.16	407.79
Dec 22 2009	Dec 18 2009	Jun 13 2008	SELL	375558103	GILD	GILDA SCIENCES INC	54.00	2,420.25	1,885.86	534.39
Dec 22 2009	Dec 18 2009	Aug 18 2008	SELL	38259508	GOOG	GOOGLE INC	51.00	11,802.64	5,004.03	6,798.61
Dec 22 2009	Dec 18 2009	Aug 18 2008	SELL	393122106	GMCR	GREEN MTN COFFE ROASTERS IN	54.00	1,307.16	1,307.40	0.00
Dec 22 2009	Dec 20 2009	Aug 20 2008	SELL	362774006	GVP	GSE SYS INC	102.00	515.08	799.99	284.91
Dec 22 2009	Dec 20 2009	Aug 19 2007	SELL	40435101	HMSY	HMS HLDGS CORP	55.00	2,675.68	1,679.08	996.60
Dec 22 2009	Dec 20 2009	Sep 13 2007	SELL	500153104	KSS	KOHLS CORP	60.00	3,368.31	2,260.69	1,107.62
Dec 22 2009	Dec 20 2009	May 16 2006	SELL	629157201	MHRD	NII HLDGS INC	50.00	3,211.95	3,519.17	-307.22
Dec 22 2009	Dec 20 2009	Feb 28 2007	SELL	749725103	OCOM	QUADZOH INC	57.00	2,610.53	3,285.97	675.44
Dec 22 2009	Dec 20 2009	Aug 16 2005	SELL	747626102	PWR	QUANTA SVCS INC	64.00	1,395.16	1,504.65	-109.49
Dec 22 2009	Dec 20 2009	Oct 03 2008	SELL	845667109	SVN	SOUTHWESTERN ENERGY CO	-60.00	2,935.72	2,095.78	839.94
Dec 22 2009	Dec 20 2009	Jul 28 2008	SELL	881624209	TEVA	TEVA PHARMACEUTICAL INDS LTD	43.00	3,123.03	2,431.72	691.31
Dec 22 2009	Dec 20 2009	Oct 08 2008	SELL	872540109	VRTX	VERTEX PHARMACEUTICALS INC	58.00	2,236.53	1,831.72	404.81
Dec 22 2009	Dec 20 2009	Mar 24 2008	SELL	92532F106	VRTX	VRTX COS INC NEW	39.00	2,524.54	1,055.17	1,469.37
Dec 22 2009	Dec 20 2009	Aug 10 2005	SELL	912828204	UNIT10	UNITED STATES TREAS MTS	75.0000	77,939.44	75,386.72	2,552.72
Dec 22 2009	Dec 20 2009	May 23 2008	SELL	030111108	AMSC	AMERICAN SUPERCONDUCTOR CORP	138.00	5,532.84	4,738.37	794.47
Dec 22 2009	Dec 20 2009	Jul 10 2008	SELL	030111108	AMSC	AMERICAN SUPERCONDUCTOR CORP	47.00	3,740.77	2,805.13	935.64
Dec 22 2009	Dec 20 2009	Oct 27 2008	SELL	037813100	AAPL	APPLE INC	49.00	18,872.11	8,648.87	10,223.24
Dec 22 2009	Dec 20 2009	Oct 29 2008	SELL	172758102	CSCO	CISCO SYS INC	245.00	5,876.04	4,479.31	1,396.73
Dec 22 2009	Dec 20 2009	Jul 28 2008	SELL	845667109	SVN	SOUTHWESTERN ENERGY CO	399.00	19,777.09	13,931.64	5,845.45
										-177,310.19
										4,001,860.37
Jan 02 2009	Jan 07 2009	Jul 24 2008	SELL	218668107	COCO	CORINTHIAN COLLEGES INC	1,844.00	29,461.60	22,890.50	6,571.10
Jan 02 2009	Jan 07 2009	Jan 10 2008	SELL	92532F106	VRTX	VERTEX PHARMACEUTICALS INC	-378.00	11,271.16	8,421.55	2,849.61
Jan 02 2009	Jan 07 2009	Jan 10 2008	SELL	451680104	IDXX	IDEXX LABS INC	1,248.00	44,124.79	70,097.92	25,973.13
Jan 02 2009	Jan 07 2009	Aug 08 2008	SELL	924395402	WAC	WALSHCO GROUP INC	894.00	29,171.53	44,487.23	-27,302.03
Jan 02 2009	Jan 07 2009	May 14 2008	SELL	931708102	PCS	METROPCS COMMUNICATIONS INC	-385.00	6,808.84	16,035.42	-46,923.89
Jan 02 2009	Jan 13 2009	Oct 06 2008	SELL	591121106	GMCR	GREEN MTN COFFE ROASTERS IN	-491.00	16,923.44	19,054.53	731.02
Jan 02 2009	Jan 13 2009	Aug 18 2008	SELL	037813100	AAPL	APPLE INC	5.00	398.64	485.89	-87.25
Jan 21 2009	Jan 16 2009	Jul 22 2008	SELL	30051208	XIDE	XIDE TECHNOLOGIES	-790.00	2,897.62	12,043.55	-9,145.93
Jan 21 2009	Jan 16 2009	Jul 22 2008	SELL	30051208	XIDE	XIDE TECHNOLOGIES	217.00	3,219.25	2,507.96	711.29
Jan 21 2009	Jan 16 2009	Jul 22 2008	SELL	30051208	XIDE	XIDE TECHNOLOGIES	315.00	1,193.15	4,818.27	-3,625.12
Jan 21 2009	Jan 16 2009	Jul 22 2008	SELL	30051208	XIDE	XIDE TECHNOLOGIES	-1,467.00	5,556.67	22,364.41	-16,807.74
Jan 21 2009	Jan 16 2009	Jul 22 2008	SELL	30051208	XIDE	XIDE TECHNOLOGIES	467.00	1,715.04	7,143.28	-5,408.24
Jan 21 2009	Jan 16 2009	Jul 22 2008	SELL	30051208	XIDE	XIDE TECHNOLOGIES	766.00	2,857.62	31,648.67	-28,791.05
Jan 21 2009	Jan 16 2009	Jul 22 2008	SELL	13948109	BWLD	BUFFALO WILD WINGS INC	1,354.00	32,791.58	27,615.02	5,176.56
Jan 21 2009	Jan 16 2009	Oct 14 2008	SELL	13948109	BWLD	BUFFALO WILD WINGS INC	720.00	37,968.94	53,876.02	-15,907.08
Jan 21 2009	Jan 16 2009	Oct 14 2008	SELL	13948109	BWLD	BUFFALO WILD WINGS INC	-1,357.00	34,116.03	9,329.47	24,786.56
Jan 21 2009	Jan 16 2009	Oct 14 2008	SELL	13948109	BWLD	BUFFALO WILD WINGS INC	822.00	2,311.18	9,529.47	-7,218.29
Jan 21 2009	Jan 16 2009	Oct 14 2008	SELL	13948109	BWLD	BUFFALO WILD WINGS INC	-586.00	2,680.69	8,963.52	-6,282.83
Jan 21 2009	Jan 16 2009	Oct 14 2008	SELL	13948109	BWLD	BUFFALO WILD WINGS INC	864.00	16,074.64	16,474.64	-400.00
Jan 21 2009	Jan 16 2009	Oct 14 2008	SELL	13948109	BWLD	BUFFALO WILD WINGS INC	2,234.00	27,029.93	32,903.23	-5,873.30
Jan 21 2009	Jan 16 2009	Oct 14 2008	SELL	13948109	BWLD	BUFFALO WILD WINGS INC	3,394.00	29,496.11	34,417.87	-4,921.76
Jan 21 2009	Jan 16 2009	Oct 14 2008	SELL	13948109	BWLD	BUFFALO WILD WINGS INC	2,310.00	26,368.78	31,434.10	-5,065.32
Jan 21 2009	Jan 16 2009	Oct 14 2008	SELL	13948109	BWLD	BUFFALO WILD WINGS INC	-2,760.00	26,007.97	32,607.81	-6,599.84
Jan 21 2009	Jan 16 2009	Oct 14 2008	SELL	13948109	BWLD	BUFFALO WILD WINGS INC	-1,617.00	2,315.79	10,491.60	-8,175.81
Jan 21 2009	Jan 16 2009	Oct 14 2008	SELL	13948109	BWLD	BUFFALO WILD WINGS INC	1,711.00	33,650.37	45,586.31	-11,935.94
Jan 21 2009	Jan 16 2009	Oct 14 2008	SELL	13948109	BWLD	BUFFALO WILD WINGS INC	85.00	4,945.62	7,909.19	-2,963.56
Jan 21 2009	Jan 16 2009	Oct 14 2008	SELL	13948109	BWLD	BUFFALO WILD WINGS INC	-128.00	7,300.92	12,428.40	-5,007.48

Total - Long Term

Short Term

Page 3 of 10

New B 2010 09 22 23

New Investment Management Co., LLC

Portfolio Number : 308
Portfolio Name : NEW ENGLAND FOUNDATION
Start Date : Jan 01 2009
End Date : Dec 31 2009
Gain/Loss Term

Trade Date	Settle Date	Orig. Acquisition Date	Event	Security ID	Ticker	Issue Name	Yrs. Shares	Yrs. Amt.	Original Cost	Realized Gain/Loss
Feb 12 2009	Feb 18 2009	Oct 01 2008	SELL	071813109	BAX	BAXTER INTL INC		17,800.06	20,516.73	3,716.07
Feb 13 2009	Feb 18 2009	Mar 07 2008	SELL	499700001	IBM	INTERNATIONAL BUSINESS MACHS		74.00	2,715.01	2,715.01
Feb 13 2009	Feb 18 2009	Oct 09 2008	SELL	812137409	SQNM	SEQUOIA INC	-795.00	2,177.00	13,549.31	-1,092.86
Feb 13 2009	Feb 18 2009	Sep 24 2008	SELL	812319409	SQNM	SEQUOIA INC	783.00	12,475.43	13,549.31	1,066.88
Feb 13 2009	Feb 18 2009	Sep 24 2008	SELL	812319409	SQNM	SEQUOIA INC	783.00	20,898.26	13,200.46	7,697.80
Feb 13 2009	Feb 19 2009	May 16 2008	SELL	411307101	HNEN	HANSEN MEDICAL INC	1,387.00	7,243.01	36,125.81	28,882.80
Feb 13 2009	Feb 19 2009	Oct 17 2008	SELL	828513105	SCRW	SCHWAB CHARLES CORP NEW	1,753.00	22,202.22	36,912.71	14,704.91
Feb 13 2009	Feb 19 2009	Sep 25 2008	SELL	809513105	SCRW	SCHWAB CHARLES CORP NEW	1,233.00	15,980.94	29,160.94	13,180.00
Feb 13 2009	Feb 19 2009	Sep 19 2008	SELL	809513105	SCRW	SCHWAB CHARLES CORP NEW	-749.00	9,954.07	41,128.49	-31,174.42
Feb 13 2009	Feb 24 2009	Sep 19 2008	SELL	809513105	SCRW	SCHWAB CHARLES CORP NEW	2,474.00	31,417.52	61,807.15	30,389.63
Feb 19 2009	Feb 24 2009	May 23 2008	SELL	465911106	ITRI	ITRON INC	-412.00	10,505.53	19,223.38	-8,717.85
Feb 19 2009	Feb 24 2009	May 23 2008	SELL	465911106	ITRI	ITRON INC	-335.00	24,855.36	48,870.10	-24,014.74
Feb 19 2009	Feb 24 2009	May 23 2008	SELL	465911106	ITRI	ITRON INC	-335.00	18,748.04	36,419.01	-17,670.97
Feb 19 2009	Feb 24 2009	May 23 2008	SELL	465911106	ITRI	ITRON INC	-335.00	18,748.04	36,419.01	-17,670.97
Feb 25 2009	Mar 02 2009	Feb 12 2009	SELL	316433107	FSR	FIRST SOLAR INC	-230.00	7,913.00	33,390.08	-25,477.08
Feb 25 2009	Mar 02 2009	Jan 05 2009	SELL	316433107	FSR	FIRST SOLAR INC	-230.00	35,163.88	33,390.08	-1,773.80
Feb 25 2009	Mar 02 2009	Jan 05 2009	SELL	316433107	FSR	FIRST SOLAR INC	-230.00	41,405.61	57,525.18	-16,119.57
Feb 25 2009	Mar 02 2009	Nov 17 2008	SELL	186836101	CLF	CLIFFS NATURAL RESOURCES INC	-1,450.00	23,495.52	40,985.12	-17,489.60
Mar 03 2009	Mar 10 2009	Nov 17 2008	SELL	001765106	AMR	AMR CORP	-1,351.00	5,118.81	15,240.32	-10,121.51
Mar 03 2009	Mar 10 2009	Nov 17 2008	SELL	001765106	AMR	AMR CORP	-1,351.00	8,217.38	24,830.81	-16,613.43
Mar 03 2009	Mar 10 2009	Nov 17 2008	SELL	001765106	AMR	AMR CORP	-1,351.00	4,588.82	10,550.07	-5,961.25
Mar 06 2009	Mar 11 2009	Jul 16 2008	SELL	371531102	GCA	GENESCO INC	-2,541.00	33,792.40	78,779.42	-44,986.22
Mar 12 2009	Mar 17 2009	Oct 15 2008	SELL	316871006	DNA	GENENTECH INC	-425.00	63,690.46	54,667.44	9,023.04
Mar 12 2009	Mar 17 2009	Oct 15 2008	SELL	316871006	DNA	GENENTECH INC	-425.00	19,587.86	20,216.80	-628.94
Mar 13 2009	Mar 26 2009	Oct 15 2008	SELL	006710406	DNA	GENENTECH INC	504.00	47,477.49	40,818.36	6,659.11
Mar 23 2009	Mar 26 2009	Mar 16 2009	SELL	999990021	FIXFCASH	FIXED INCOME CASH(OLD)	-1,000.00	120,000.00	120,000.00	0.00
Mar 23 2009	Mar 26 2009	Mar 16 2009	SELL	999990021	FIXFCASH	FIXED INCOME CASH(OLD)	1,000.00	120,000.00	120,000.00	0.00
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	046851001	ATHN	ATHENAEUM INC	220.00	6,907.30	6,325.81	581.49
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009	Apr 06 2009	Feb 12 2009	SELL	380956409	GG	GOLDCORP INC NEW	909.00	28,539.71	28,634.77	-95.06
Apr 03 2009										

Realized Gain/Loss Report

Portfolio Number : 508
 Portfolio Name : NEW ENGLAND FOUNDATION
 Start Date : Jan 01 2009
 End Date : Dec 31 2009
 Gain/Loss Term

Trade Date	Settle Date	Orig Acquisition Date	Event	Security ID	Ticker	Issue Name	Ten Amt	Original Cost	Realized Gain/Loss
May 20 2009	May 16 2009	Nov 14 2008	SELL	247361702	DAL	DELTA AIR LINES INC DEL	19,040.72	23,722.05	-4,681.33
May 20 2009	May 16 2009	Nov 16 2008	SELL	247361702	DAL	DELTA AIR LINES INC DEL	7,550.29	9,813.30	-2,263.01
May 20 2009	May 16 2009	Dec 17 2008	SELL	247361702	DAL	DELTA AIR LINES INC DEL	9,688.18	16,575.94	-6,887.76
May 20 2009	May 16 2009	Nov 03 2008	SELL	247361702	DAL	DELTA AIR LINES INC DEL	10,875.79	19,499.77	-8,623.98
May 20 2009	May 16 2009	Aug 08 2008	SELL	393121106	GMC	GREEN MTH COFFEE ROASTERS IH	38,741.82	18,705.26	20,036.56
May 20 2009	May 16 2009	Oct 08 2008	SELL	871540109	TIX	TIX COS INC NEW	20,483.11	20,945.93	-522.82
May 20 2009	May 16 2009	Aug 21 2008	SELL	906090309	WPRAT	WESTPORT INNOVATIONS INC	32,740.23	75,083.50	-42,343.27
May 20 2009	May 16 2009	Nov 24 2008	SELL	591708102	PCS	METROPCS COMMUNICATIONS INC	5,164.80	4,150.80	1,014.00
May 20 2009	May 16 2009	Nov 24 2008	SELL	591708102	PCS	METROPCS COMMUNICATIONS INC	9,207.23	7,275.70	1,931.53
May 20 2009	May 16 2009	Oct 08 2008	SELL	591708102	PCS	METROPCS COMMUNICATIONS INC	12,415.71	11,613.61	802.10
May 20 2009	May 16 2009	Nov 24 2008	SELL	168201009	EPIC	EPIC SYS INC	46,083.36	21,704.46	24,378.90
May 20 2009	May 16 2009	Dec 12 2008	SELL	628861004	PCN	PCN INC	10,485.85	6,246.65	4,239.20
May 20 2009	May 16 2009	May 25 2009	SELL	628861004	PCN	PCN INC	22,573.63	25,908.66	-3,335.03
May 20 2009	May 16 2009	Feb 12 2009	SELL	628861004	PCN	PCN INC	11,646.11	13,639.87	-1,993.76
May 20 2009	May 16 2009	Feb 13 2009	SELL	628861004	PCN	PCN INC	45,494.17	58,774.59	-13,280.41
May 20 2009	May 16 2009	Jan 27 2009	SELL	628861004	PCN	PCN INC	66,338.22	56,233.39	10,104.83
May 20 2009	May 16 2009	Mar 18 2009	SELL	628861004	PCN	PCN INC	18,183.48	15,805.71	2,377.77
May 20 2009	May 16 2009	Mar 24 2009	SELL	628861004	PCN	PCN INC	9,374.69	8,815.12	559.57
May 20 2009	May 16 2009	Apr 03 2009	SELL	628861004	PCN	PCN INC	29,568.86	28,382.80	1,186.06
May 20 2009	May 16 2009	Apr 15 2009	SELL	628861004	PCN	PCN INC	27,307.10	27,307.10	0.00
May 20 2009	May 16 2009	Oct 12 2008	SELL	078331000	APPL	APPLE INC	24,849.42	18,793.01	6,056.41
May 20 2009	May 16 2009	Oct 12 2008	SELL	078331000	APPL	APPLE INC	100,000.00	100,000.00	0.00
May 20 2009	May 16 2009	Jun 08 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	47,116.51	26,806.85	20,309.66
May 20 2009	May 16 2009	May 04 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	21,779.44	17,483.83	4,295.61
May 20 2009	May 16 2009	May 28 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	57,057.06	52,399.49	4,657.57
May 20 2009	May 16 2009	May 28 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	49,254.94	42,007.86	7,247.08
May 20 2009	May 16 2009	Feb 18 2009	SELL	871578103	SHCR	SYNCHRONOSS TECHNOLOGIES INC	50,000.00	50,000.00	0.00
May 20 2009	May 16 2009	Feb 18 2009	SELL	871578103	SHCR	SYNCHRONOSS TECHNOLOGIES INC	26,540.28	26,540.28	0.00
May 20 2009	May 16 2009	Mar 15 2009	SELL	871578103	SHCR	SYNCHRONOSS TECHNOLOGIES INC	400,000.00	400,000.00	0.00
May 20 2009	May 16 2009	Mar 15 2009	SELL	871578103	SHCR	SYNCHRONOSS TECHNOLOGIES INC	21,851.81	33,916.78	-12,064.97
May 20 2009	May 16 2009	Apr 28 2009	SELL	871578103	SHCR	SYNCHRONOSS TECHNOLOGIES INC	15,136.10	15,136.10	0.00
May 20 2009	May 16 2009	Feb 17 2009	SELL	871578103	SHCR	SYNCHRONOSS TECHNOLOGIES INC	13,684.29	13,684.29	0.00
May 20 2009	May 16 2009	Feb 17 2009	SELL	871578103	SHCR	SYNCHRONOSS TECHNOLOGIES INC	34,415.41	30,023.40	4,392.01
May 20 2009	May 16 2009	Feb 18 2009	SELL	871578103	SHCR	SYNCHRONOSS TECHNOLOGIES INC	7,168.97	6,624.89	544.08
May 20 2009	May 16 2009	Mar 20 2009	SELL	871578103	SHCR	SYNCHRONOSS TECHNOLOGIES INC	9,410.99	4,783.33	4,627.66
May 20 2009	May 16 2009	Mar 20 2009	SELL	871578103	SHCR	SYNCHRONOSS TECHNOLOGIES INC	16,416.57	14,109.97	2,306.60
May 20 2009	May 16 2009	Dec 17 2008	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	43,659.52	43,659.52	0.00
May 20 2009	May 16 2009	Apr 20 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	270,000.00	270,000.00	0.00
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	64,321.12	64,321.12	0.00
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	96,000.00	96,000.00	0.00
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	110,000.00	110,000.00	0.00
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	203,812.50	203,812.50	0.00
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	5,797.83	5,797.83	0.00
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	7,533.51	7,533.51	0.00
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	56,667.74	61,359.59	-4,691.85
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	81,864.72	41,131.05	40,733.67
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	160,000.00	160,000.00	0.00
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	18,717.67	18,717.67	0.00
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	1,342.44	638.71	703.73
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	20,171.21	21,071.21	-900.00
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	11,785.66	14,317.88	-2,532.22
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	1,381.53	837.14	544.39
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	22,502.33	19,308.44	3,193.89
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	10,418.99	10,701.70	-282.71
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	3,885.94	3,885.94	0.00
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	39,451.67	33,988.70	5,462.97
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	24,265.21	25,576.19	-1,310.98
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	9,774.53	7,583.79	2,190.74
May 20 2009	May 16 2009	Jun 29 2009	SELL	999900424	YCE	YINGLI GREEN ENERGY HLDG CO	55,082.22	39,073.68	15,988.54

Portfolio Number : 500
Portfolio Name : NEW ENGLAND FOUNDATION
Start Date : Jan 01 2009
End Date : Dec 31 2009
Gain/Loss Term

Trade Date	Settle Date	Orig Acquisition Date	Event	Security ID	Ticker	Issue Name	Ten Shares	Total Ym Amt	Original Cost	Realized Gain/Loss
14 04 2009	Aug 04 2009	May 20 2009	SELL	465941106	ITRI	ITRON INC	780.00	40 492.57	45,624.38	5,131.81
Aug 04 2009	Aug 07 2009	Aug 25 2008	SELL	357023100	RAIL	FREIGHTCAR AMER INC	27.00	528.51	880.20	351.78
Aug 04 2009	Aug 07 2009	Aug 25 2008	SELL	357023100	RAIL	FREIGHTCAR AMER INC	201.00	3,973.64	6,733.51	-2,759.87
Aug 04 2009	Aug 07 2009	Aug 25 2008	SELL	357023100	RAIL	FREIGHTCAR AMER INC	678.00	12,771.58	21,813.94	10,542.36
Aug 04 2009	Aug 07 2009	Aug 25 2008	SELL	357023100	RAIL	FREIGHTCAR AMER INC	979.00	19,163.53	34,391.39	-15,227.86
Aug 04 2009	Aug 07 2009	Aug 25 2008	SELL	357023100	RAIL	FREIGHTCAR AMER INC	349.00	5,363.47	9,936.47	-4,563.01
Aug 04 2009	Aug 07 2009	Aug 25 2008	SELL	357023100	RAIL	FREIGHTCAR AMER INC	400.00	6,831.53	12,760.00	-5,928.47
Aug 04 2009	Aug 07 2009	Aug 25 2008	SELL	357023100	RAIL	FREIGHTCAR AMER INC	180.00	7,829.84	14,721.26	-6,901.52
Aug 04 2009	Aug 07 2009	Aug 25 2008	SELL	357023100	RAIL	FREIGHTCAR AMER INC	135.00	3,523.43	5,043.34	-3,127.98
Aug 04 2009	Aug 07 2009	Aug 25 2008	SELL	357023100	RAIL	FREIGHTCAR AMER INC	289.00	5,064.36	4,599.58	484.78
Aug 05 2009	Aug 10 2009	Apr 14 2009	SELL	532715104	WFR	MENIC ELECT MATLS INC	1,551.00	27,179.33	26,896.20	283.13
Aug 07 2009	Aug 12 2009	Jun 10 2009	SELL	478366107	XEL	JOHNSON CTLS INC	2,898.00	77,593.98	64,421.96	13,172.02
Aug 07 2009	Aug 12 2009	Jun 10 2009	SELL	793376102	ROVI	JOHN COMP	1,662.00	46,680.39	28,885.06	17,795.33
Aug 07 2009	Aug 12 2009	May 28 2009	SELL	793376102	ROVI	JOHN COMP	-851.00	23,901.93	18,950.58	4,951.35
Aug 12 2009	Aug 12 2009	Aug 29 2009	SELL	966387102	WLL	WHITING PETE CORP NEW	1,510.00	71,522.52	51,583.41	20,939.11
Aug 13 2009	Aug 13 2009	Dec 17 2008	SELL	008474108	AEH	AGRIDO EAGLE MINES LTD	-428.00	24,238.18	20,620.03	3,575.15
Aug 13 2009	Aug 13 2009	Jun 30 2009	SELL	008474108	AEH	AGRIDO EAGLE MINES LTD	462.00	26,163.43	25,042.39	1,121.24
Aug 13 2009	Aug 13 2009	Feb 09 2009	SELL	008474108	AEH	AGRIDO EAGLE MINES LTD	309.00	37,409.95	16,870.53	20,539.42
Aug 17 2009	Aug 17 2009	Oct 31 2008	SELL	037604105	APOL	APOLLO GROUP INC	109.00	7,703.62	7,477.50	226.82
Aug 18 2009	Aug 18 2009	Oct 14 2008	SELL	818164209	TEVA	TEVA PHARMACEUTICAL INDUS LTD	396.00	20,480.59	16,843.34	3,637.25
Aug 18 2009	Aug 18 2009	Oct 14 2008	SELL	302941109	FCN	FTI CONSULTING INC	97.00	4,470.09	6,541.44	-2,071.44
Aug 18 2009	Aug 18 2009	Oct 13 2008	SELL	302941109	FCN	FTI CONSULTING INC	268.00	13,350.36	18,356.18	-5,005.82
Aug 18 2009	Aug 18 2009	Oct 13 2008	SELL	302941109	FCN	FTI CONSULTING INC	-431.00	18,861.95	79,549.96	-60,688.01
Aug 18 2009	Aug 18 2009	Oct 13 2008	SELL	302941109	FCN	FTI CONSULTING INC	914.00	41,120.24	41,120.24	0.00
Aug 18 2009	Aug 18 2009	Oct 13 2008	SELL	302941109	FCN	FTI CONSULTING INC	-191.00	6,338.20	6,044.52	293.68
Aug 19 2009	Aug 19 2009	Aug 16 2009	SELL	032095101	APH	APPENIX CORP NEW	602.00	15,376.52	10,779.95	4,596.57
Aug 19 2009	Aug 19 2009	Feb 10 2009	SELL	407256107	ASHL	ASHLAND HOLDING N V	775.00	36,635.86	28,183.89	8,451.97
Aug 19 2009	Aug 19 2009	Jun 07 2009	SELL	901236107	URS	URS CORP NEW	946.00	44,719.39	30,481.10	14,238.29
Aug 19 2009	Aug 19 2009	Jun 07 2009	SELL	901236107	URS	URS CORP NEW	-49.00	2,336.33	2,070.02	266.31
Aug 20 2009	Aug 20 2009	Jun 27 2009	SELL	407059186	ASHL	ASHLAND HOLDING N V	1,572.00	39,241.44	26,784.36	12,457.08
Aug 20 2009	Aug 20 2009	Jun 27 2009	SELL	407059186	ASHL	ASHLAND HOLDING N V	-46.00	1,148.29	823.72	324.57
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	1,017.00	65,581.99	52,389.75	13,192.24
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	125.00	8,060.72	8,375.11	-314.39
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00	9,401.27	8,278.36	1,122.91
Aug 21 2009	Aug 26 2009	Oct 14 2008	SELL	037604105	APOL	APOLLO GROUP INC	129.00			

Portfolio Number : 508
Portfolio Name : NEW ENGLAND FOUNDATION
Start Date : Jan 01 2009
End Date : Dec 31 2009
Gain/Loss Term

Trade Date	Settle Date	Order Acquisition Date	Event	Security ID	Ticker	Issue Name	Ten Shares	Total Ten Amt	Original Cost	Realized Gain/Loss
Oct 01 2009	Oct 06 2009	Jul 13 2009	SELL	591728102	PCS	METROPCS COMMUNICATIONS INC	4 788.00	40,601.58	59 459.30	18,855.72
Oct 01 2009	Oct 07 2009	May 12 2009	APC	032511107	APC	AMADARIO PETE CORP	48.00	5,076.06	3,851.51	1,224.55
Oct 02 2009	Oct 07 2009	Apr 30 2009	SELL	466587108	KDM	KAYDON CORP	745.00	23,166.89	24,547.90	1,381.01
Oct 02 2009	Oct 07 2009	Aug 01 2009	YGE	985849103	YGE	YINGLI GREEN ENERGY HLDG CO	507.00	5,974.14	7,082.74	1,108.60
Oct 07 2009	Oct 13 2009	Jul 24 2009	SELL	045184104	ASIA	ASIANHO-UNIKACF INC	36,019.98	32,575.41	32,575.41	3,494.57
Oct 07 2009	Oct 13 2009	Jun 24 2009	STF	790849103	STF	ST JUDE MED INC	1,563.00	50,454.33	60,868.03	10,413.70
Oct 08 2009	Oct 14 2009	Jul 24 2009	SELL	045184104	ASIA	ASIANHO-UNIKACF INC	924.00	19,239.67	17,048.78	2,190.89
Oct 12 2009	Oct 15 2009	Dec 03 2008	SELL	903236107	URS	URS CORP NEW	959.00	40,211.17	32,018.61	8,192.56
Oct 12 2009	Oct 15 2009	Nov 26 2008	SELL	903236107	URS	URS CORP NEW	951.00	18,910.57	16,389.56	2,521.01
Oct 14 2009	Oct 19 2009	Jan 14 2009	SELL	007667100	ACM	ACORN TECHNOLOGY CORP DELAWARE	-515.00	13,066.04	12,579.37	526.67
Oct 14 2009	Oct 19 2009	Jan 26 2008	SELL	007667100	ACM	ACORN TECHNOLOGY CORP DELAWARE	-951.00	24,279.25	25,811.84	1,584.59
Oct 15 2009	Oct 20 2009	Sep 09 2009	SELL	316431107	PSLR	PSLR INC	847.00	73,531.16	67,994.06	5,537.10
Oct 15 2009	Oct 20 2009	May 04 2009	SELL	985849103	YGE	YINGLI GREEN ENERGY HLDG CO	-4,279.00	54,221.66	35,567.90	18,653.76
Oct 15 2009	Oct 20 2009	Jul 21 2009	SELL	985849103	YGE	YINGLI GREEN ENERGY HLDG CO	-6,354.00	83,048.69	83,048.69	20.80
Oct 15 2009	Oct 20 2009	Aug 03 2009	SELL	985849103	YGE	YINGLI GREEN ENERGY HLDG CO	447.00	5,064.19	5,244.54	580.35
Oct 16 2009	Oct 21 2009	Nov 24 2008	SELL	814818106	SHRG Y	SHIRE PLC	204.00	9,999.31	8,222.10	1,777.21
Oct 16 2009	Oct 21 2009	Aug 07 2009	WATC	978164106	WATC	WORKER AUTO TECHNOLOGY INC	-484.00	6,756.51	5,681.50	1,074.01
Oct 21 2009	Oct 26 2009	Aug 04 2009	SELL	249347102	DEL	DELTA AIR LINES INC DEL	9,265.00	78,240.90	67,537.27	10,703.63
Oct 23 2009	Oct 28 2009	May 08 2009	SELL	G16921105	BOC	BUNGE LIMITED	1,000.00	69,072.37	59,931.87	9,140.50
Oct 23 2009	Oct 28 2009	May 20 2009	SELL	G16921105	BOC	BUNGE LIMITED	-413.00	26,541.68	25,362.14	1,179.54
Oct 26 2009	Oct 29 2009	Aug 12 2009	CYBS	232511106	CYBS	CYBERSOURCE CORP	2,813.00	49,912.72	49,415.97	496.75
Oct 27 2009	Oct 30 2009	Jun 19 2009	SELL	115446109	BWLD	BUFFALO WILD WINGS INC	-1,228.00	51,637.81	49,354.59	2,283.22
Oct 27 2009	Oct 30 2009	Jun 19 2009	CHQR	206708109	CHQR	CHICOR TECHNOLOGIES INC	246.00	7,367.68	7,293.58	74.10
Oct 27 2009	Oct 30 2009	Jun 19 2009	CTRP	239437100	CTRP	CTRP COM INTL LTD	174.00	7,251.33	7,251.33	0.00
Oct 27 2009	Oct 30 2009	Mar 23 2009	SELL	690431105	PALM	PALM INC NEW	1,758.00	23,004.03	13,833.46	9,664.57
Oct 27 2009	Oct 30 2009	Mar 23 2009	SELL	690431105	PALM	PALM INC NEW	2,839.00	37,956.73	23,535.31	14,421.42
Oct 27 2009	Oct 30 2009	Mar 23 2009	SELL	794641105	CRH	AMERICAN PUBLIC EDUCATION IN	207.00	12,066.33	10,618.90	1,167.43
Oct 27 2009	Oct 30 2009	Jan 02 2009	SELL	009330103	AFPI	AFPI INC	-1,476.00	47,186.07	56,385.08	9,199.01
Oct 27 2009	Oct 30 2009	Jan 02 2009	SELL	99990024	CTRP	CTRP COM INTL LTD	-50,000.00	50,000.00	50,000.00	0.00
Oct 27 2009	Oct 30 2009	Oct 22 2009	SELL	239437100	CTRP	CTRP COM INTL LTD	-1,512.00	82,451.01	75,385.60	7,065.41
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08
Oct 27 2009	Oct 30 2009	Nov 06 2009	SELL	881624109	TEVA	TEVA PHARMACEUTICAL INDS LTD	811.00	40,385.72	36,369.64	4,016.08

Portfolio Number : 508
Portfolio Name : NEW ENGLAND FOUNDATION
Start Date : Jan 01 2009
End Date : Dec 31 2009
Gain/Loss Term

Fisker Investment Management Co., LLC

Portfolio Number : 508
Portfolio Name : NEW ENGLAND FOUNDATION
Start Date : Jan 01 2009
End Date : Dec 31 2009
Gain/Loss Term

Essex Investment Management Co., LLC

Realized Gain/Loss Report

Portfolio Number : 508
 Portfolio Name : NEW ENGLAND FOUNDATION
 Start Date : Jan 01 2009
 End Date : Dec 31 2009
 Gain/Loss Term

Trade Date	Settle Date	Orig Acquisition Date	Event	Security ID	Ticker	Issue Name	Ten Shares	Total Ten Amt	Original Cost	Realized Gain/Loss
Dec 20 2009	Jan 04 2010	Aug 21 2009	SELL	794661J02	CEM	SALEFORCE COM INC	334 00	24,934.79	17,591.04	7,343.75
Dec 30 2009	Jan 05 2010	Aug 27 2009	SELL	674599J05	QTY	OCCIDENTAL PETE CORP DEL	-200 00	16,396.63	14,750.42	1,646.21
							-2,097,126.00	8,134,508.83	9,521,564.93	-387,057.90
							-1,037,008.00	33,039,156.81	33,403,274.90	-364,368.09

Total Short Term
 Grand total :

Taxon Investment Management Co., LLC
 <End Of Report>

THE NEW ENGLAND FOUNDATION

12/31/2009

EIN: 22-2757391

CHARITABLE CONTRIBUTIONS

NAME OF CHARITY	RELATIONSHIP	STATUS	PURPOSE	AMOUNT
Advanced Medical Research Foundation	None	501(c)(3)	Medical	1,000
American Heart Association	None	501(c)(3)	Medical	5,000
Ballets Russes 2009	None	501(c)(3)	Culture	650
Boston Medical Center	None	501(c)(3)	Medical	20,000
Boston Symphony Orchestra	None	501(c)(3)	Culture	47,500
Boston Ballet	None	501(c)(3)	Culture	20,000
Boston Minstrel Company	None	501(c)(3)	Religious	1,000
Brigham & Womens Hospital	None	501(c)(3)	Medical	20,000
Charlotte County Day School	None	501(c)(3)	Education	2,500
Church of the Redeemer	None	501(c)(3)	Religious	5,000
Dana Farber Cancer Institute	None	501(c)(3)	Medical	15,000
Dartmouth College	None	501(c)(3)	Education	50,000
First Night	None	501(c)(3)	Culture	100
Isabella Stewart Gardner Museum	None	501(c)(3)	Culture	150,000
International Tennis Hall of Fame	None	501(c)(3)	Sports	3,000
Kristin Unger Breast Cancer	None	501(c)(3)	Medical	1,000
MA General Hospital	None	501(c)(3)	Medical	1,000
Max Warburg	None	501(c)(3)	Education	2,500
Miss Hall's School	None	501(c)(3)	Education	500
Mother Phi Foundation	None	501(c)(3)	Education	250
Museum of Fine Arts	None	501(c)(3)	Culture	50,500
National Public Radio	None	501(c)(3)	Communications	50,000
National Multiple Sclerosis	None	501(c)(3)	Medical	1,000
New York Historical Society	None	501(c)(3)	Culture	15,000
Planned Parenthood	None	501(c)(3)	Education	50,000
Roxbury Latin	None	501(c)(3)	Education	26,000
Tenacity	None	501(c)(3)	General Welfare	51,000
Tobey Health Systems/Tobey Hospital	None	501(c)(3)	Medical	2,500
Toys for Joy	None	501(c)(3)	General Welfare	500
Turning Point	None	501(c)(3)	General Welfare	5,000
USSA	None	501(c)(3)	Culture	500
USTA Serves	None	501(c)(3)	General Welfare	3,200
Wellesley College	None	501(c)(3)	Education	100,000
West River Sports Association	None	501(c)(3)	Sports	1,000
WGBH	None	501(c)(3)	Communications	25,000
Yale Alumni Fund	None	501(c)(3)	Education	5,000
Yale College Classes	None	501(c)(3)	Education	55
Yale University	None	501(c)(3)	Education	3,500
Yale University Class of 56	None	501(c)(3)	Education	1,000
Yale University - School of Management	None	501(c)(3)	Education	300,000
TOTAL				1,036,755

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
-------------	--	-----------	---

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDEND AND INTEREST INCOME	105,360.	0.	105,360.
TOTAL TO FM 990-PF, PART I, LN 4	- 105,360.	0.	105,360.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	2
-------------	-------------------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ESSEX INVESTMENT	52,286.	44,443.		7,843.
TO FORM 990-PF, PG 1, LN 16C	52,286.	44,443.		7,843.

FORM 990-PF	OTHER EXPENSES	STATEMENT	3
-------------	----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODIAL FEES	6,058.	6,058.		0.
BBH MONTHLY FEES	914.	914.		0.
ACCOUNTING FEES	8,890.	0.		8,890.
MISCELLANEOUS	763.	0.		763.
EXPENSES: FROM ESSEX ALPHA FUND K-1	59,235.	59,235.		0.
TO FORM 990-PF, PG 1, LN 23	75,860.	66,207.		9,653.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 4

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US TREASURY BILLS AND NOTES	X		2,074,025.	2,079,758.
TOTAL U.S. GOVERNMENT OBLIGATIONS			2,074,025.	2,079,758.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			2,074,025.	2,079,758.

FORM 990-PF CORPORATE STOCK STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCK	5,240,251.	6,596,518.
ESSEX ALPHA FUND	2,935,113.	3,848,750.
TOTAL TO FORM 990-PF, PART II, LINE 10B	8,175,364.	10,445,268.

Application for Extension of Time To File an
Exempt Organization Return

OMB No 1545-1709

▶ File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete **Part II** unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed)A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits

Type or print File by the due date for filing your return. See instructions	Name of Exempt Organization THE NEW ENGLAND FOUNDATION C/O JOSEPH MCNAY	Employer identification number 22-2757391
	Number, street, and room or suite no. If a P O box, see instructions 125 HIGH STREET	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions BOSTON, MA 02110	

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|---|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

JOSEPH MCNAY

- The books are in the care of ▶ **125 HIGH STREET, BOSTON, MA - 02110**

Telephone No ▶ **617-432-3200**

FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ▶ ☒ calendar year **2009** or
- ▶ ☐ tax year beginning _____, and ending _____

- 2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	3,984.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	1,984.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	2,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 4-2009)