Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
## or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

**Note:** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2009**

**For calendar year 2009, or tax year beginning , 2009, and ending , 20**

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: THE RIEDMAN FOUNDATION

Number and street (or P O box number if mail is not delivered to street address): 45 EAST AVENUE

Room/suite:

City or town, state, and ZIP code: ROCHESTER, NY 14604

A Employer identification number: 22-2279168

B Telephone number (see page 10 of the instructions): (585) 232-4424

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 13,101,223.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis )*

**Part I Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions) )*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| **Revenue** | 1 Contributions, gifts, grants, etc , received (attach schedule) | 1,058. | | | |
| | 2 Check ▶ [X] if the foundation is **not** required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 31. | 31. | | ATCH 1 |
| | 4 Dividends and interest from securities | 312,325. | 312,325. | | ATCH 2 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | -458,314. | | | |
| | b Gross sales price for all assets on line 6a 2,823,562. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | 40,666. | 14. | | ATCH 3 |
| | 12 **Total.** Add lines 1 through 11 | -104,234. | 312,370. | | |
| **Operating and Administrative Expenses** | 13 Compensation of officers, directors, trustees, etc | 0. | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) ATCH 4 | 2,600. | 0. | 0. | 2,600. |
| | c Other professional fees (attach schedule) | | | | |
| | 17 Interest ATTACHMENT 5 | 2,830. | | | 2,830. |
| | 18 Taxes (attach schedule) (see page 14 of the instructions) ** | 8,722. | 3,735. | | 4,987. |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) ATCH 7 | 22,862. | 9,785. | | 6,048. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 37,014. | 13,520. | 0. | 16,465. |
| | 25 Contributions, gifts, grants paid | 657,752. | | | 657,752. |
| | 26 **Total expenses and disbursements** Add lines 24 and 25 | 694,766. | 13,520. | 0. | 674,217. |
| | 27 Subtract line 26 from line 12 | | | | |
| | a **Excess of revenue over expenses and disbursements** | -799,000. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 298,850. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | -0- | |

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## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

| | | Description | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|---|
| Assets | 1 | Cash - non-interest-bearing | 123,772. | 21,240. | 21,240. |
| | 2 | Savings and temporary cash investments | | | |
| | 3 | Accounts receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10 a | Investments - U S and state government obligations (attach schedule) ** | 214,804. | 284,862. | 284,862. |
| | b | Investments - corporate stock (attach schedule) ATCH 9 | 8,376,664. | 7,405,244. | 12,753,100. |
| | c | Investments - corporate bonds (attach schedule) | | | |
| | 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other (attach schedule) | | | |
| | 14 | Land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ATCH 10 ) | 37,246. | 42,021. | 42,021. |
| | 16 | **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 8,752,486. | 7,753,367. | 13,101,223. |
| Liabilities | 17 | Accounts payable and accrued expenses | 176. | 57. | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | 300,000. | 100,000. | ATCH 11 |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | 300,176. | 100,057. | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X** | | | |
| | 27 | Capital stock, trust principal, or current funds | 0. | | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | 0. | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | 8,452,310. | 7,653,310. | |
| | 30 | **Total net assets or fund balances** (see page 17 of the instructions) | 8,452,310. | 7,653,310. | |
| | 31 | **Total liabilities and net assets/fund balances** (see page 17 of the instructions) | 8,752,486. | 7,753,367. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | Description | Line | Amount |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 8,452,310. |
| 2 | Enter amount from Part I, line 27a | 2 | -799,000. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 7,653,310. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 7,653,310. |

**ATCH 8

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | SEE PART IV SCHEDULE | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** -458,314.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)
If (loss), enter -0- in Part I, line 8 **3**

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2008 | 680,615. | 13,450,707. | 0.050601 |
| 2007 | 738,492. | 16,237,756. | 0.045480 |
| 2006 | 615,233. | 14,401,430. | 0.042720 |
| 2005 | 553,181. | 12,476,912. | 0.044336 |
| 2004 | 519,300. | 11,735,930. | 0.044249 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.227386 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.045477 |
| 4 | Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 | 4 | 11,455,472. |
| 5 | Multiply line 4 by line 3 | 5 | 520,961. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 2,989. |
| 7 | Add lines 5 and 6 | 7 | 523,950. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 674,217. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b . . . | 1 | 2,989. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . | 2 | |
| 3 | Add lines 1 and 2 . . . | 3 | 2,989. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- . . . | 5 | 2,989. |
| 6 | Credits/Payments | | |
| a | 2009 estimated tax payments and 2008 overpayment credited to 2009 . . . 6a 3,600. | | |
| b | Exempt foreign organizations-tax withheld at source . . . 6b 0. | | |
| c | Tax paid with application for extension of time to file (Form 8868) . . . 6c 0. | | |
| d | Backup withholding erroneously withheld . . . 6d | | |
| 7 | Total credits and payments Add lines 6a through 6d . . . | 7 | 3,600. |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached . . . | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . ▶ | 10 | 611. |
| 11 | Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 611. **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . | 1b | | X |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? . . . | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . *If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* . . . | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? . . . | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? . . . | 4b | | X |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* . . | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NY, | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* . . . | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* . . . | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* . . . | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ NONE

14 The books are in care of ▶ JOHN RIEDMAN Telephone no ▶ 585-232-4424
Located at ▶ 45 EAST AVENUE ROCHESTER, NY ZIP + 4 ▶ 14604

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) | ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? | | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | | |
| a | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? | ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____ , ____ , ____ , ____ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions ) | | 2b | | X |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____ , ____ , ____ , ____ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009 )* | | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No | | | |
| **b** | If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? | **5b** | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| **c** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53 4945-5(d)* | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No | | | |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | **6b** | | X |
| | *If "Yes" to 6b, file Form 8870* | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No | | | |
| **b** | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | **7b** | | X |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ATTACHMENT 12 | | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ NONE

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services ▶ NONE

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B Summary of Program-Related Investments** (see page 23 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See page 24 of the instructions<br>3 NONE | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities | 1a | 11,557,415. |
| b | Average of monthly cash balances | 1b | 72,506. |
| c | Fair market value of all other assets (see page 24 of the instructions) | 1c | 0. |
| d | **Total** (add lines 1a, b, and c) | 1d | 11,629,921. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 11,629,921. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions) | 4 | 174,449. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 11,455,472. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 572,774. |

**Part XI** **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | 572,774. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 — 2a: 2,989. | | |
| b | Income tax for 2009 (This does not include the tax from Part VI ) — 2b: | | |
| c | Add lines 2a and 2b | 2c | 2,989. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 569,785. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 | 5 | 569,785. |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7 | 569,785. |

**Part XII** **Qualifying Distributions** (see page 25 of the instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 674,217. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | 0. |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | 0. |
| b | Cash distribution test (attach the required schedule) | 3b | 0. |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 674,217. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | 2,989. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 671,228. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see page 26 of the instructions)

| | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---|---|---|---|
| 1 Distributable amount for 2009 from Part XI, line 7 | | | | 569,785. |
| 2 Undistributed income, if any, as of the end of 2009 | | | | |
| a Enter amount for 2008 only | | | 666,836. | |
| b Total for prior years 20 07 ,20 06 ,20 05 | | | | |
| 3 Excess distributions carryover, if any, to 2009 | | | | |
| a From 2004 | | | | |
| b From 2005 | | | | |
| c From 2006 | | | | |
| d From 2007 | | | | |
| e From 2008 0. | | | | |
| f **Total** of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 674,217. | | | | |
| a Applied to 2008, but not more than line 2a | | | 666,836. | |
| b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) | | | | |
| c Treated as distributions out of corpus (Election required - see page 26 of the instructions) | | | | |
| d Applied to 2009 distributable amount | | | | 7,381. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions | | | | |
| e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions | | | | |
| f Undistributed income for 2009 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 | | | | 562,404. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) | | | | |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) | | | | |
| 9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2005 | | | | |
| b Excess from 2006 | | | | |
| c Excess from 2007 | | | | |
| d Excess from 2008 | | | | |
| e Excess from 2009 0. | | | | |

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2009 | (b) 2008 | (c) 2007 | (d) 2006 | (e) Total |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

JOHN R. RIEDMAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 13

b The form in which applications should be submitted and information and materials they should include

NO PRESCRIBED FORM

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient: Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ATTACHMENT 14 | | | | |
| **Total** . . . . . ▶ **3a** | | | | 657,752. |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See page 28 of the instructions) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a ATTACHMENT 15 | | | | 23,691. | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 31. | |
| 4 Dividends and interest from securities | | | 14 | 312,325. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | -458,314. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b ATTACHMENT 16 | | | | 16,975. | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | -105,292. | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 -105,292.

(See worksheet in line 13 instructions on page 28 to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions ) |
|---|---|
| | NONE |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | N/A | | N/A |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

John R. Fiedler 5/13/10 Trustee

Signature of officer or trustee | Date | Title

**Paid Preparer's Use Only**

| | | | |
|---|---|---|---|
| Preparer's signature | Date 5/13/10 | Check if self-employed ☐ | Preparer's identifying number (See Signature on page 30 of the instructions) P00500548 |
| Firm's name (or yours if self-employed), address, and ZIP code | GREENDYKE JENCIK & ASSOCIATES, PLLC<br>110C LINDEN OAKS<br>ROCHESTER, NY 14625 | EIN ▶ 20-1918001 | Phone no 585-899-3470 |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | TOTAL CAPITAL GAIN DISTRIBUTIONS | | | | | 6,984. | |
| | | DUKE ENERGY | | | | | VARIOUS | VARIOUS |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 1,547. | | 0. | | | | | 1,547. | |
| | | EXETER TRUST COMPANY | | | | | VARIOUS | VARIOUS |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 2,815,031. | | 3,281,876. | | | | | -466,845. | |
| TOTAL GAIN(LOSS) | | | | | | | -458,314. | |

RIEDMAN FOUNDATION
Account Number: XX5086

## Receipts (Continued)

| Posting Date | Trade Date | Activity | Description | Tax Cost | Realized Gain/Loss | Cash Amount |
|---|---|---|---|---|---|---|
| **Sales and Maturities** | | | | | | |
| *01/28/09 | 01/22/09 | Sold | L'OREAL<br>100 SHARES AT 52.600773 EUR | $10,433.88- | $3,615.12- | $6,818.76 |
| *01/30/09 | 01/27/09 | Sold | DEAN FOODS CO<br>900 SHARES AT 19.7067 USD | 25,121.25- | 7,412.32- | 17,708.93 |
| *02/02/09 | 01/28/09 | Sold | BECTON DICKINSON & CO<br>530 SHARES AT 70.874 USD | 41,075.42- | 3,528.31- | 37,547.11 |
| *02/02/09 | 01/28/09 | Sold | MIRANT CORP<br>2,950 SHARES AT 17.5917 USD | 45,152.41- | 6,654.31 | 51,806.72 |
| *02/06/09 | 02/03/09 | Sold | GOOGLE INC-A<br>120 SHARES AT 340.5694 USD | 59,483.32- | 18,618.82- | 40,864.50 |
| *02/09/09 | 02/04/09 | Sold | MILLIPORE CORP<br>200 SHARES AT 57.7439 USD | 14,156 14- | 2,614.43- | 11,541.71 |
| *02/10/09 | 02/05/09 | Sold | COMCAST CORP-A<br>3,670 SHARES AT 13.8251 USD | 73,245.72- | 22,617.98- | 50,627.74 |
| *02/11/09 | 02/06/09 | Sold | THERMO FISHER SCIENTIFIC INC<br>510 SHARES AT 40.0406 USD | 16,189.85- | 4,215.45 | 20,405.30 |
| *02/12/09 | 02/09/09 | Sold | PACCAR INC<br>550 SHARES AT 31.0249 USD | 15,220.26- | 1,826.84 | 17,047.10 |
| *02/17/09 | 02/12/09 | Sold | LONZA GROUP AG-REG<br>80 SHARES AT 113.86091194 CHF | 5,927 08- | 1,846 03 | 7,773.11 |
| *02/20/09 | 02/17/09 | Sold | INVERNESS MEDICAL INNOVATIONS<br>620 SHARES AT 25.459 USD | 19,893.75- | 4,127.86- | 15,765.89 |
| *03/10/09 | 03/05/09 | Sold | JETBLUE AIRWAYS CORP<br>3,990 SHARES AT 2.9514 USD | 33,891 36- | 22,235 04- | 11,656 32 |
| *03/12/09 | 03/09/09 | Sold | FORTUNE BRANDS INC<br>910 SHARES AT 18.5127 USD | 60,177.03- | 43,357.87- | 16,819.16 |

RIEDMAN FOUNDATION
Account Number: XX5086

## 'eceipts (Continued)

| ɔsting ɿte | Trade Date | Activity | Description | Tax Cost | Realized Gain/Loss | Cash Amount |
|---|---|---|---|---|---|---|
| ıles and Maturities (Continued) | | | | | | |
| '17/09 | 03/12/09 | Sold | CERNER CORP<br>1,030 SHARES AT 43.855 USD | 56,361 28- | 11,221 79- | 45,139 49 |
| 25/09 | 03/20/09 | Sold | CHARTER COMMUNICATIONS INC-A<br>21,580 SHARES AT 0.043 USD | 96,314.63- | 95,473.02- | 841.61 |
| '27/09 | 03/24/09 | Sold | ALLERGAN INC<br>440 SHARES AT 48 6458 USD | 15,640.72- | 5,750.11 | 21,390.83 |
| 30/09 | 03/25/09 | Sold | HOME DEPOT INC<br>1,760 SHARES AT 23.4351 USD | 50,156.04- | 6,963.29- | 41,192.75 |
| 13/09 | 04/07/09 | Sold | GOOGLE INC-A<br>80 SHARES AT 358.9012 USD | 41,150 23- | 12,441 27- | 28,708 96 |
| 13/09 | 04/07/09 | Sold | DICKS SPORTING GOODS INC<br>740 SHARES AT 15.8585 USD | 10,745.17- | 967.61 | 11,712.78 |
| 13/09 | 04/07/09 | Sold | NORDSTROM INC<br>900 SHARES AT 17.0261 USD | 12,156 03- | 3,140 06 | 15,296 09 |
| 13/09 | 04/07/09 | Sold | LOWES COS INC<br>1,770 SHARES AT 18.6955 USD | 41,280.51- | 8,243.43- | 33,037.08 |
| 15/09 | 04/09/09 | Sold | EMC CORP MASS<br>5,480 SHARES AT 13.2581 USD | 91,553 48- | 19,065 36- | 72,488 12 |
| 16/09 | 04/13/09 | Sold | SCHWAB CHARLES CORP<br>3,370 SHARES AT 17.0297 USD | 50,797.02- | 6,490.49 | 57,287.51 |
| 22/09 | 04/17/09 | Sold | GOOGLE INC-A<br>70 SHARES AT 394.2965 USD | 36,006 45- | 8,408 50- | 27,597 95 |
| 01/09 | 04/28/09 | Sold | ECOLAB INC<br>340 SHARES AT 38.337481 USD | 10,150.21- | 2,874.00 | 13,024.21 |
| 01/09 | 04/28/09 | Sold | JUNIPER NETWORKS INC<br>1,820 SHARES AT 21 2186 USD | 35,771 53- | 2,790 72 | 38,562 25 |
| 05/09 | 04/30/09 | Sold | WEYERHAEUSER CO<br>700 SHARES AT 35.6236 USD | 54,878.32- | 29,963.45- | 24,914.87 |
| 08/09 | 05/05/09 | Sold | JOHNSON & JOHNSON<br>210 SHARES AT 53 7995 USD | 12,941.08- | 1,649 78- | 11,291.30 |
| 08/09 | 05/05/09 | Sold | LAM RESEARCH CORPORATION<br>760 SHARES AT 27.6835 USD | 23,567.30- | 2,551.19- | 21,016.11 |
| 12/09 | 05/07/09 | Sold | TOKYO ELECTRON LTD<br>300 SHARES AT 4,638.29587638 JPY | 16,184 99- | 2,123.50- | 14,061 49 |
| 21/09 | 05/18/09 | Sold | SAP AG-SPONSORED ADR<br>1,110 SHARES AT 40.6332 USD | 56,780.83- | 11,712.44- | 45,068.39 |
| 04/09 | 06/01/09 | Sold | UNITED PARCEL SERVICE INC-B<br>620 SHARES AT 52 2787 USD | 43,950 00- | 11,556.65- | 32,393 35 |
| 05/09 | 06/02/09 | Sold | WEYERHAEUSER CO<br>550 SHARES AT 36.032 USD | 39,939.94- | 20,139.35- | 19,800.59 |

RIEDMAN FOUNDATION
Account Number: XX5086

## Receipts (Continued)

| Posting Date | Trade Date | Activity | Description | Tax Cost | Realized Gain/Loss | Cash Amount |
|---|---|---|---|---|---|---|
| Sales and Maturities (Continued) | | | | | | |
| 06/11/09 | 06/08/09 | Sold | WEATHERFORD INTL LTD<br>1,410 SHARES AT 19.8275 USD | 31,414 94- | 3,501.18- | 27,913 76 |
| 06/12/09 | 06/09/09 | Sold | FEDEX CORP<br>210 SHARES AT 57.0978 USD | 19,304.44- | 7,320.51- | 11,983.93 |
| 06/17/09 | 06/01/09 | Sold | 3M CO<br>440 SHARES AT 58 6229 USD | 33,218 15- | 7,437.93- | 25,780.22 |
| 06/19/09 | 06/16/09 | Sold | ECOLAB INC<br>630 SHARES AT 37.3348 USD | 19,429.02- | 4,072.39 | 23,501.41 |
| 06/19/09 | 06/16/09 | Sold | INTERNATIONAL GAME TECHNOLOGY<br>650 SHARES AT 15.7296 USD | 23,015.72- | 12,811.25- | 10,204 47 |
| 06/26/09 | 06/23/09 | Sold | 3M CO<br>1,045 SHARES AT 57.099 USD | 72,904.03- | 13,268.46- | 59,635.57 |
| 06/29/09 | 06/24/09 | Sold | SEI CORP<br>680 SHARES AT 17.5571 USD | 11,342.17- | 575.95 | 11,918 12 |
| 07/08/09 | 07/02/09 | Sold | MICROSOFT CORPORATION<br>1,220 SHARES AT 23.3869 USD | 41,206.11- | 12,735.83- | 28,470.28 |
| 07/10/09 | 07/07/09 | Sold | KLA TENCOR CORP<br>770 SHARES AT 25.5691 USD | 24,832 91- | 5,168.31- | 19,664 60 |
| 07/10/09 | 07/07/09 | Sold | BECTON DICKINSON & CO<br>240 SHARES AT 69.6311 USD | 16,987.49- | 283.66- | 16,703.83 |
| 07/10/09 | 07/07/09 | Sold | ELECTRONIC ARTS<br>740 SHARES AT 20.4511 USD | 35,259.96- | 20,148.74- | 15,111 22 |
| 07/13/09 | 07/08/09 | Sold | MANNING & NAPIER LIFE SCI SERIES<br>3,077 SHARES AT 7.88 USD | 30,770.00- | 6,523.24- | 24,246.76 |
| 07/15/09 | 07/10/09 | Sold | ASM LITHOGRAPHY<br>790 SHARES AT 15.28259233 EUR | 14,925 50- | 1,835 16 | 16,760 66 |
| 07/28/09 | 07/23/09 | Sold | PACCAR INC<br>621 SHARES AT 34.9021 USD | 16,689.35- | 4,965.66 | 21,655.01 |
| 07/28/09 | 07/23/09 | Sold | PACCAR INC<br>269 SHARES AT 34.6577 USD | 6,969.55- | 2,345 06 | 9,314.61 |
| 07/28/09 | 07/23/09 | Sold | NORDSTROM INC<br>420 SHARES AT 25.7334 USD | 5,672.81- | 5,122.34 | 10,795.15 |
| 07/28/09 | 07/23/09 | Sold | FEDEX CORP<br>550 SHARES AT 63.5942 USD | 48,881.22- | 13,921 81- | 34,959 41 |
| 07/29/09 | 07/24/09 | Sold | AMERICAN EXPRESS CO<br>1,640 SHARES AT 29.5541 USD | 69,357.90- | 20,939.63- | 48,418.27 |
| 07/29/09 | 07/24/09 | Sold | PERKINELMER INC<br>570 SHARES AT 18.0356 USD | 11,978 32- | 1,715 39- | 10,262.93 |
| 07/30/09 | 07/27/09 | Sold | CERNER CORP<br>350 SHARES AT 64.7062 USD | 16,402.75- | 6,233.34 | 22,636.09 |

RIEDMAN FOUNDATION
Account Number: XX5086

## 'eceipts (Continued)

| ›sting ›ate | Trade Date | Activity | Description | Tax Cost | Realized Gain/Loss | Cash Amount |
|---|---|---|---|---|---|---|
| ıles and Maturities (Continued) | | | | | | |
| '30/09 | 07/27/09 | Sold | EMC CORP MASS<br>3,290 SHARES AT 15.0665 USD | 45,757 15- | 3,711 66 | 49,468.81 |
| '05/09 | 07/31/09 | Sold | GOOGLE INC-A<br>70 SHARES AT 447 2742 USD | 37,261.26- | 5,954 98- | 31,306.28 |
| '12/09 | 08/07/09 | Sold | AMERICAN EXPRESS CO<br>1,200 SHARES AT 32.8473 USD | 27,827 80- | 11,551 94 | 39,379 74 |
| '12/09 | 08/07/09 | Sold | SALESFORCE COM INC<br>810 SHARES AT 46 7768 USD | 23,674.91- | 14,188.86 | 37,863.77 |
| '21/09 | 08/18/09 | Sold | SOUTHWEST AIRLINES CO<br>2,080 SHARES AT 8.8141 USD | 30,154.18- | 11,883 72- | 18,270 46 |
| '03/09 | 08/28/09 | Sold | L OREAL<br>580 SHARES AT 69 52820752 EUR | 60,516.52- | 2,759.90- | 57,756.62 |
| '03/09 | 08/31/09 | Sold | ASM LITHOGRAPHY<br>390 SHARES AT 19.19009694 EUR | 7,368 28- | 3,339 52 | 10,707 80 |
| '03/09 | 08/31/09 | Sold | ASM LITHOGRAPHY<br>650 SHARES AT 19 19009694 EUR | 11,564.84- | 6,281.49 | 17,846.33 |
| '14/09 | 09/09/09 | Sold | VIACOM INC-B<br>2,350 SHARES AT 25.5535 USD | 48,223 88- | 11,754 80 | 59,978 68 |
| '16/09 | 09/11/09 | Sold | WEYERHAEUSER CO<br>840 SHARES AT 37 0618 USD | 49,657.22- | 18,551.32- | 31,105.90 |
| '16/09 | 09/11/09 | Sold | QUEST DIAGNOSTICS INC<br>450 SHARES AT 54.3322 USD | 25,076 16- | 640 80- | 24,435 36 |
| '17/09 | 09/14/09 | Sold | NOVARTIS AG ADR<br>560 SHARES AT 47 7263 USD | 30,620.04- | 3,910.80- | 26,709.24 |
| '18/09 | 09/15/09 | Sold | CISCO SYSTEMS INC<br>1,570 SHARES AT 23.0246 USD | 40,627 09- | 4,526 50- | 36,100 59 |
| '21/09 | 09/16/09 | Sold | CARNIVAL CORP<br>2,270 SHARES AT 33 4669 USD | 96,748.28- | 20,848.48- | 75,899.80 |
| '28/09 | 09/23/09 | Sold | UNILEVER PLC-SPONSORED ADR<br>1,730 SHARES AT 28.3675 USD | 32,290 54- | 16,732.08 | 49,022.62 |
| '16/09 | 10/13/09 | Sold | LAM RESEARCH CORPORATION<br>940 SHARES AT 38 073 USD | 21,471.47- | 14,288.03 | 35,759.50 |
| '26/09 | 10/21/09 | Sold | UNILEVER PLC-SPONSORED ADR<br>1,450 SHARES AT 30.8417 USD | 36,694 51- | 7,981 31 | 44,675 82 |
| '19/09 | 11/16/09 | Sold | MANNING & NAPIER INTL SERIES<br>3,649 126 UNITS AT 8 85 USD | 36,606.03- | 4,311.26- | 32,294.77 |
| '19/09 | 11/16/09 | Sold | MANNING & NAPIER TECHNOLOGY SERIES<br>5,580.672 SHARES AT 9 50 USD | 40,553 70- | 12,462 68 | 53,016 38 |
| '19/09 | 11/16/09 | Sold | MANNING & NAPIER WORLD OPP SERIES<br>3,422 924 UNITS AT 8 17 USD | 33,477.98- | 5,512.69- | 27,965.29 |

RIEDMAN FOUNDATION
Account Number: XX5086

## Receipts (Continued)

| Posting Date | Trade Date | Activity | Description | Tax Cost | Realized Gain/Loss | Cash Amount |
|---|---|---|---|---|---|---|
| **Sales and Maturities (Continued)** | | | | | | |
| *11/19/09 | 11/16/09 | Sold | MANNING & NAPIER LIFE SCI SERIES<br>2,337.102 SHARES AT 10.23 USD | 26,711.17- | 2,802 62- | 23,908 55 |
| *11/19/09 | 11/16/09 | Sold | DICKS SPORTING GOODS INC<br>520 SHARES AT 25.14074 USD | 7,550.66- | 5,506.58 | 13,057.24 |
| *11/19/09 | 11/16/09 | Sold | NORDSTROM INC<br>530 SHARES AT 35.064062 USD | 7,158 55- | 11,409.02 | 18,567 57 |
| *11/19/09 | 11/16/09 | Sold | DISNEY (THE WALT) COMPANY DEL<br>640 SHARES AT 30.608276 USD | 17,200.19- | 2,369.40 | 19,569.59 |
| *11/19/09 | 11/16/09 | Sold | FEDEX CORP<br>280 SHARES AT 84.87691 USD | 22,327.96- | 1,428 56 | 23,756 52 |
| *11/19/09 | 11/16/09 | Sold | UNILEVER PLC SPONSORED ADR<br>390 SHARES AT 30.81419 USD | 10,727.27- | 1,278.25 | 12,005.52 |
| *11/19/09 | 11/16/09 | Sold | WASTE MGMT INC<br>370 SHARES AT 32.66327 USD | 11,628.35- | 445.64 | 12,073.99 |
| *11/19/09 | 11/16/09 | Sold | SEI CORP<br>3,335 SHARES AT 18.139235 USD | 65,575.52- | 5,182.78- | 60,392.74 |
| *11/19/09 | 11/16/09 | Sold | GOOGLE INC CL A<br>80 SHARES AT 575.60 USD | 42,179.72- | 3,864 70 | 46,044 42 |
| *11/19/09 | 11/16/09 | Sold | MICROSOFT CORP<br>500 SHARES AT 29.54579 USD | 16,887.75- | 2,130.23- | 14,757.52 |
| *11/19/09 | 11/16/09 | Sold | HESS CORP<br>260 SHARES AT 57.90139 USD | 15,001 38- | 44.79 | 15,046 17 |
| *11/19/09 | 11/16/09 | Sold | MICROSOFT CORP<br>500 SHARES AT 29.55 USD | 16,887.75- | 2,128.13- | 14,759.62 |
| *11/19/09 | 11/16/09 | Sold | AUTODESK INC<br>420 SHARES AT 27.43214 USD | 14,131.07- | 2,622.47- | 11,508 60 |
| *11/19/09 | 11/16/09 | Sold | AMERICAN EXPRESS CO<br>500 SHARES AT 41.7876 USD | 9,436.94- | 11,441.32 | 20,878.26 |
| *11/19/09 | 11/16/09 | Sold | EMC CORP MASS<br>740 SHARES AT 17.1343 USD | 10,105 88- | 2,550 97 | 12,656 85 |
| *11/19/09 | 11/16/09 | Sold | CROWN CASTLE INTERNATIONAL CORP<br>620 SHARES AT 37.392687 USD | 14,345.87- | 8,818.38 | 23,164.25 |
| *11/19/09 | 11/16/09 | Sold | CISCO SYSTEMS INC<br>575 SHARES AT 23.92595 USD | 13,599 49- | 140.33 | 13,739 82 |
| *11/19/09 | 11/16/09 | Sold | INVERNESS MEDICAL INNOVATIONS<br>320 SHARES AT 42.921098 USD | 10,267.74- | 3,457.05 | 13,724.79 |
| *11/19/09 | 11/16/09 | Sold | NOVARTIS AG ADR<br>1,440 SHARES AT 54.0883 USD | 69,329 54- | 8,512.40 | 77,841.94 |
| *11/27/09 | 11/23/09 | Sold | MANNING & NAPIER FIN SVCS SERIES<br>13,268 SHARES AT 5.64 USD | 147,207.56- | 72,376.04- | 74,831.52 |

EXETER
TRUST COMPANY



RIEDMAN FOUNDATION
Account Number: XX5086

## 'eceipts (Continued)

| ɔsting ɜte | Trade Date | Activity | Description | Tax Cost | Realized Gain/Loss | Cash Amount |
|---|---|---|---|---|---|---|
| ıles and Maturities (Continued) | | | | | | |
| '08/09 | 12/03/09 | Sold | COMCAST CORP-A<br>5,227 SHARES AT 15.98 USD | 107,742.03- | 24,373.53- | 83,368.50 |
| 09/09 | 12/04/09 | Sold | SOUTHWEST AIRLINES CO<br>4,600 SHARES AT 10.172675 USD | 67,342.50- | 20,687.40- | 46,655.10 |
| '11/09 | 12/08/09 | Sold | ACCENTURE PLC IRELAND<br>840 SHARES AT 42.8937 USD | 27,198 86- | 8,805.72 | 36,004.58 |
| '28/09 | 12/22/09 | Sold | GOOGLE INC CL A<br>60 SHARES AT 600.1939 USD | 28,304.52 | 7,704.38 | 36,008.90 |
| ıtal Sales and Maturities | | | | $3,281,875.68- | $466,844.98- | $2,815,030.70 |

ansfers

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

| DESCRIPTION | | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|---|
| BANK ACCOUNT | | 31. | 31. |
| | TOTAL | 31. | 31. |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| BANK OF AMERICA | 1,358. | 1,358. |
| PAYCHEX | 223,200. | 223,200. |
| CITIGROUP | 19. | 19. |
| IBM | 8,600. | 8,600. |
| OSHKOSH TRUCK | 1,000. | 1,000. |
| WELLS FARGO | 1,594. | 1,594. |
| SAGE RUTTY | 2,968. | 2,968. |
| NATIONAL OIL WELL | 4,400. | 4,400. |
| OTHER | 550. | 550. |
| EXETER | 68,536. | 68,536. |
| MONROE FUND | 46. | 46. |
| SPECTRA ENERGY | 54. | 54. |
| TOTAL | 312,325. | 312,325. |

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| CLASS ACTION PROCEEDS FROM SECURITIES | 14. | 14. |
| FISH FOOD AND DERBY INCOME | 23,691. | |
| US TREASURY | 16,961. | |
| TOTALS | 40,666. | 14. |

FORM 990PF, PART I - ACCOUNTING FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| LEGAL & ACCOUNTING | 2,600. | | | 2,600. |
| TOTALS | 2,600. | 0. | 0. | 2,600. |

FORM 990PF, PART I - INTEREST EXPENSE

| DESCRIPTION | | REVENUE AND EXPENSES PER BOOKS | CHARITABLE PURPOSES |
|---|---|---|---|
| LOAN INTEREST | | 2,830. | 2,830. |
| | TOTALS | 2,830. | 2,830. |

FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---|---|---|---|
| FEDERAL TAXES | 4,987. | | 4,987. |
| FOREIGN WITHHOLDING TAXES | 3,735. | 3,735. | |
| TOTALS | 8,722. | 3,735. | 4,987. |

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---|---|---|---|
| INVESTMENT MANAGEMENT FEES | 6,317. | 6,317. | |
| MONROE FUND EXPENSE | 2,103. | 2,103. | |
| DELTAPOINT CAP IV EXPENSE | 8,394. | 1,365. | |
| ADMINISTRATIVE EXPENSE | 5,298. | | 5,298. |
| NYS ANNUAL FEE | 750. | | 750. |
| TOTALS | 22,862. | 9,785. | 6,048. |

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 8

| DESCRIPTION | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| EXETER TRUST | 214,804. | 284,862. | 284,862. |
| US OBLIGATIONS TOTAL | 214,804. | 284,862. | 284,862. |

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 9

| DESCRIPTION | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| CITIGROUP-1,935 SHARES | 9,202. | 9,202. | 6,405. |
| BANK OF AMERICA-30,652 SHARES | 356,688. | 356,688. | 461,619. |
| IBM-4,000 SHARES | 50,000. | 50,000. | 523,600. |
| NATIONAL OILWELL,INC.-4000 SHA | 142,560. | 142,560. | 176,360. |
| OSKOSH TRUSCK-10,000 SHARES | 22,500. | 22,500. | 370,300. |
| PAYCHEX-180,000 SHARES | 1,293,067. | 1,293,067. | 5,515,200. |
| WELLS FARGO BANK-3,252 SHARES | 60,000. | 60,000. | 87,772. |
| PAR TECHNOLOGY-22,500 SHARES | 277,650. | 277,650. | 130,050. |
| HARLEYSVILLE GROUP-193 SHARES | 5,109. | 5,109. | 6,135. |
| LOCKHEED MARTIN-340 SHARES | 0. | 0. | 25,619. |
| EXETER-ACCOUNT | 6,159,888. | 5,188,468. | 5,450,040. |
| TOTALS | 8,376,664. | 7,405,244. | 12,753,100. |

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 10

| DESCRIPTION | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| MONROE FUND | 37,246. | 35,189. | 35,189. |
| DELTAPOINT CAPITAL IV | | 6,832. | 6,832. |
| TOTALS | 37,246. | 42,021. | 42,021. |

FORM 990PF, PART II - MORTGAGES AND OTHER NOTES PAYABLE

LENDER: BANK OF AMERICA-LOC
ORIGINAL AMOUNT: 300,000.

| | |
|---|---|
| BEGINNING BALANCE DUE | 300,000. |
| ENDING BALANCE DUE | 100,000. |
| TOTAL BEGINNING MORTGAGES AND OTHER NOTES PAYABLE | 300,000. |
| TOTAL ENDING MORTGAGES AND OTHER NOTES PAYABLE | 100,000. |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 12

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---|---|---|---|---|
| JOHN R. RIEDMAN<br>710 ROCK BEACH RD.<br>ROCHESTER, NY 14617-1321 | PRINCIPAL MANAGER<br>4.00 | 0. | 0. | 0. |
| | GRAND TOTALS | 0. | 0. | 0. |

ATTACHMENT 13

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

JOHN R. RIEDMAN
45 EAST AVENUE
ROCHESTER, NY 14604
585-232-4424

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| MEMORIAL ART GALLERY<br>500 UNIVERSITY AVE.<br>ROCHESTER, NY 14607 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 2,500. |
| BOYS & GIRLS CLUB<br>ROCHESTER, NY 14621 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 0 |
| BOY SCOUTS OF AMERICA-OTETIANA COUNCIL<br>475 EAST AVE.<br>ROCHESTER, NY 14624 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 0 |
| CORNELL UNIVERSITY<br>130 E SENECA ST<br>SUITE 400<br>ITHACA, NY 14850 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 7,500 |
| FISH HATCHERY AT POWDER MILLS PARK<br>154 PARK RD.<br>PITTSFORD, NY 14534 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 75,382. |
| GEORGE EASTMAN HOUSE<br>900 EAST AVE.<br>ROCHESTER, NY 14607 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 2,500 |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| GEVA THEATER<br>75 WOODBURY BLVD<br>ROCHESTER, NY 14607 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 500. |
| GOLDEN OPPORTUNITIES<br>1058 GARGIELD ST.<br>DENVER, CO 80206 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 1,500. |
| MARY PARKES ASTHMA CENTER<br>400 RED CREEK DRIVE #110<br>ROCHESTER, NY 14623 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 2,500 |
| COMPEER-ROCHESTER/MELONI GOLF TOURNAMENT<br>259 MONROE AVE.<br>ROCHESTER, NY 14607 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 500. |
| MONROE COMMUNITY HOSPITAL<br>435 E. HENRIETTA RD<br>ROCHESTER, NY 14607 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 500. |
| MONROE COUNTY SHERIFF'S FOUNDATION<br>47 S FITZHUGH ST.<br>SUITE 2<br>ROCHESTER, NY 14607 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 2,620. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| PURDUE FOUNDATION<br>610 PURDUE MALL<br>W LAFAYETTE, IN 47907 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 0 |
| ROCHESTER GENERAL HOSPITAL<br>280 STATE ST<br>SUITE #2<br>ROCHESTER, NY 14614 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 502,500. |
| ROCHESTER INSTITUTE OF TECHNOLOGY<br>ONE LOMB MEMORIAL DRIVE<br>ROCHESTER, NY 14623 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 5,000. |
| ROCHESTER MUSEUM & SCIENCE CENTER<br>657 EAST AVE<br>ROCHESTER, NY 14607 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 5,000. |
| ROCHESTER PHILHARMONIC ORCHESTRA<br>108 EAST AVE<br>ROCHESTER, NY 14607 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 2,500. |
| ROCHESTER PUBLIC LIBRARY<br>115 SOUTH AVE.<br>ROCHESTER, NY 14604 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 5,000. |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| SARAH SENECA RESIDENTIAL SERVICES<br>11 BUSINESS PARK DR<br>BRANFORD, CT 06405 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 1,500 |
| SCHOOL OF THE HOLY CHILDHOOD<br>100 GORTON PKWY<br>ROCHESTER, NY 14623 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 1,250. |
| SOCIETY FOR THE PROTECTION OF CHILDREN<br>148 S. FITZHUGH ST<br>ROCHESTER, NY 14608 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 3,000 |
| ST JOHN FISHER COLLEGE<br>3690 EAST AVE<br>ROCHESTER, NY 14618 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 10,000 |
| ST. JOSEPH'S VILLA<br>3300 EAST AVE<br>ROCHESTER, NY 14616 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 1,000. |
| STRONG MUSEUM<br>ONE MANHATTAN SQ.<br>ROCHESTER, NY 14607 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 500 |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| WXXI<br>280 STATE ST<br>ROCHESTER, NY 14614 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 2,000 |
| SENECA PARK ZOO SOCIETY<br>2222 SAINT PAUL ST.<br>ROCHESTER, NY 14621 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 5,000. |
| ST. JOHN'S HOME<br>150 HIGHLAND AVE.<br>ROCHESTER, NY 14620 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 15,000. |
| UNIVERSITY OF SOUTH FLORIDA<br>13201 BRUCE B DOWNS BLVD<br>MDC56<br>TAMPA, FL 33612 | NONE<br>IRC SEC 509 (A) (1) | UNRESTRICTED GIFT | 2,500 |
| | | TOTAL CONTRIBUTIONS PAID | 657,752. |

FORM 990-PF, PART XVI-A - ANALYSIS OF PROGRAM SERVICE REVENUE

ATTACHMENT 15

| DESCRIPTION | BUSINESS CODE | AMOUNT | EXCLUSION CODE | AMOUNT | RELATED OR EXEMPT FUNCTION INCOME |
|---|---|---|---|---|---|
| FISH FOOD AND DERBY INCOME | 900099 | | 03 | 23,691. | |
| TOTALS | | | | 23,691. | |

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 16

| DESCRIPTION | BUSINESS CODE | AMOUNT | EXCLUSION CODE | AMOUNT | RELATED OR EXEMPT FUNCTION INCOME |
|---|---|---|---|---|---|
| US TREASURY | 900099 | | 01 | 16,961. | |
| CLASS ACTION PROCEEDS FROM SECURITIES | 523000 | | 14 | 14. | |
| TOTALS | | | | 16,975. | |

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

# Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

| Name of estate or trust | Employer identification number |
|---|---|
| THE RIEDMAN FOUNDATION | 22-2279168 |

**Note:** *Form 5227 filers need to complete **only** Parts I and II*

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 shares 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) for the entire year Subtract (e) from (d) |
|---|---|---|---|---|---|
| 1a | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | | |
|---|---|---|
| b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b | 1b | -465,298. |
| 2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 | 2 | |
| 3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 3 | |
| 4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet | 4 | ( ) |
| 5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶ | 5 | -465,298. |

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| (a) Description of property (Example 100 shares 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see page 4 of the instructions) | (f) Gain or (loss) for the entire year Subtract (e) from (d) |
|---|---|---|---|---|---|
| 6a | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | | |
|---|---|---|
| b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b | 6b | |
| 7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 | 7 | |
| 8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 8 | |
| 9 Capital gain distributions | 9 | 6,984. |
| 10 Gain from Form 4797, Part I | 10 | |
| 11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet | 11 | ( ) |
| 12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶ | 12 | 6,984. |

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.**

**Part III** **Summary of Parts I and II**
**Caution:** *Read the instructions **before** completing this part.*

| | | (1) Beneficiaries' (see page 5) | (2) Estate's or trust's | (3) Total |
|---|---|---|---|---|
| 13 **Net short-term gain or (loss)** | 13 | | | -465,298. |
| 14 **Net long-term gain or (loss):** | | | | |
| a Total for year | 14a | | | 6,984. |
| b Unrecaptured section 1250 gain (see line 18 of the wrksht.) | 14b | | | |
| c 28% rate gain | 14c | | | |
| 15 **Total net gain or (loss).** Combine lines 13 and 14a ▶ | 15 | | | -458,314. |

**Note:** *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet,** as necessary*

**Part IV** **Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( 3,000)

**Note:** *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover*

**Part V** **Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

**Caution:** *Skip this part and complete the worksheet on page 8 of the instructions if*

- *Either line 14b, col (2) or line 14c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero

| | | | | | | |
|---|---|---|---|---|---|---|
| 17 | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) | | | 17 | | |
| 18 | Enter the **smaller** of line 14a or 15 in column (2) but not less than zero | 18 | | | | |
| 19 | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) | 19 | | | | |
| 20 | Add lines 18 and 19 | 20 | | | | |
| 21 | If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶ | 21 | | | | |
| 22 | Subtract line 21 from line 20 If zero or less, enter -0- | | | 22 | | |
| 23 | Subtract line 22 from line 17 If zero or less, enter -0- | | | 23 | | |
| 24 | Enter the **smaller** of the amount on line 17 or $2,300 | | | 24 | | |
| 25 | Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26, go to line 27 and check the "No" box ☐ **No.** Enter the amount from line 23 | | | 25 | | |
| 26 | Subtract line 25 from line 24 | | | 26 | | |
| 27 | Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the **smaller** of line 17 or line 22 | | | 27 | | |
| 28 | Enter the amount from line 26 (If line 26 is blank, enter -0-) | | | 28 | | |
| 29 | Subtract line 28 from line 27 | | | 29 | | |
| 30 | Multiply line 29 by 15% (.15) | | | | 30 | |
| 31 | Figure the tax on the amount on line 23 Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | | 31 | |
| 32 | Add lines 30 and 31 | | | | 32 | |
| 33 | Figure the tax on the amount on line 17 Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | | 33 | |
| 34 | **Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) | | | | 34 | |