



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545 0052

2009

Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label Otherwise, print or type. See Specific Instructions.	Name of foundation WANDA J. HERNDON FOUNDATION		A Employer identification number 20-8089043
	Number and street (or P O box number if mail is not delivered to street address) Room/suite P.O. BOX 263		B Telephone number (see the instructions) (302) 658-7796
	City or town State ZIP code ROCKLAND DE 19732		C If exemption application is pending, check here ☐
	H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 234,330.		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (att sch)				
2	Ch ☒ if the foundn is not req to att Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	6,638.	6,638.		
5a	Gross rents				
b	Net rental income or (loss)		L-6a Stmt		
6a	Net gain/(loss) from sale of assets not on line 10	-177,503.			
b	Gross sales price for all assets on line 6a	352,007.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit/(loss) (att sch)				
11	Other income (attach schedule)	14.	14.		
12	Total. Add lines 1 through 11	-170,851.	6,652.		
13	Compensation of officers, directors, trustees, etc				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule) L-16a Stmt	496.	496.		
b	Accounting fees (attach sch) L-16b Stmt	2,704.	2,704.		
c	Other prof fees (attach sch) L-16c Stmt	2,405.	2,405.		
17	Interest				
18	Taxes (attach schedule) (see instr) See Line 18 Stmt	147.	16.		
19	Depreciation (attach sch) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) See Line 23 Stmt	30.	30.		
24	Total operating and administrative expenses. Add lines 13 through 23	5,782.	5,651.		
25	Contributions, gifts, grants paid	20,000.			20,000.
26	Total expenses and disbursements. Add lines 24 and 25	25,782.	5,651.		20,000.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-196,633.			
b	Net investment income (if negative, enter -0-)		1,001.		
c	Adjusted net income (if negative, enter -0-)				

714
10

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end of year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash — non-interest-bearing	2,210.	33,869.	33,869.
	2 Savings and temporary cash investments	9,225.	5,027.	5,027.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) L-10b Stmt	381,685.	157,591.	195,434.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)				
14 Land, buildings, and equipment basis				
Less accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)	393,120.	196,487.	234,330.	
L I A B I L I T I E S	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)			
N E T A S S E T S O R F U N D B A L A N C E S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	393,120.	196,487.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see the instructions)	393,120.	196,487.	
	31 Total liabilities and net assets/fund balances (see the instructions)	393,120.	196,487.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	393,120.
2 Enter amount from Part I, line 27a	2	-196,633.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	196,487.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	196,487.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a See Attached Schedule		P	Various	Various
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 352,006.		529,509.	-177,503.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			-177,503.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss).	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	-177,503.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	0.	388,435.	0.000000
2007	15,602.	472,291.	0.033035
2006	0.	5,362.	0.000000
2005			
2004			

2 Total of line 1, column (d)	2	0.033035
3 Average distribution ratio for the 5-year base period— divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.011012
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	227,586.
5 Multiply line 4 by line 3	5	2,506.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10.
7 Add lines 5 and 6	7	2,516.
8 Enter qualifying distributions from Part XII, line 4	8	20,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instr.)		1	10.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	10.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	10.
6 Credits/Payments			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	49.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	49.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	39.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax	11	39.	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) WA - Washington		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

BAA

Form 990-PF (2009)

Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>POWDERMILL FINANCIAL SOLUTIONS, LLC</u> Telephone no. <u>(302) 658-7796</u> Located at <u>P.O. BOX 263</u> <u>ROCKLAND, DE</u> ZIP + 4 <u>19732</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2 b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	3 b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4 b	X

BAA

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If 'Yes' to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WANDA J. HERNDON 2033 2ND AVENUE PH-3, SEATTLE, WA 98121	DIR, PRES 1.00	0.	0.	0.
MARY J WILLIAMS 535 HIGH DRIVE LAGUNA BEACH CA 92651	SEC/VP/DIRECTOR 1.00	0.	0.	0.
DR. AVON LORRAINE BURNS 1623 BROAD CT SAGINAW MI 48503	DIRECTOR 1.00	0.	0.	0.
MARY BROADWAY 4790 DRESDEN CT SAGINAW MI 28601	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
0				
0				
0				
0				

Total number of other employees paid over \$50,000

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE'.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	

BAA

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	222,991.
b Average of monthly cash balances	1b	8,061.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	231,052.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	231,052.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	3,466.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	227,586.
6 Minimum investment return. Enter 5% of line 5	6	11,379.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	11,379.
2a Tax on investment income for 2009 from Part VI, line 5	2a	10.
b Income tax for 2009 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	10.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	11,369.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	11,369.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	11,369.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	20,000.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	20,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	10.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	19,990.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				11,369.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			18,698.	
b Total for prior years 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2009				
a From 2004	0.			
b From 2005	0.			
c From 2006	0.			
d From 2007	0.			
e From 2008	0.			
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: \$ 20,000.				
a Applied to 2008, but not more than line 2a			18,698.	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2009 distributable amount				
e Remaining amount distributed out of corpus	1,302.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	1,302.			1,302.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				10,067.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005	0.			
b Excess from 2006	0.			
c Excess from 2007	0.			
d Excess from 2008	0.			
e Excess from 2009	0.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(i)(3) or

4942(1)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(a) 2009

(b) 2008

(c) 2007

(d) 2006

(e) Total

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon

a 'Assets' alternative test – enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(i)(3)(B)(i)

b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c 'Support' alternative test – enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

- ### 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

Wanda J Herndon

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> THE 5TH AVENUE THEATRE 1308 5TH AVENUE SEATTLE WA 98101		501 (c) (3)	GENERAL PURPOSE	20,000.
Total				20,000.
<i>b Approved for future payment</i>				
Total				

Part XVII	Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations
------------------	--

	Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a Transfers from the reporting foundation to a noncharitable exempt organization of		
(1) Cash		X
(2) Other assets		X
b Other transactions:		
(1) Sales of assets to a noncharitable exempt organization		X
(2) Purchases of assets from a noncharitable exempt organization		X
(3) Rental of facilities, equipment, or other assets		X
(4) Reimbursement arrangements		X
(5) Loans or loan guarantees		X
(6) Performance of services or membership or fundraising solicitations		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

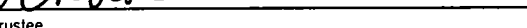
☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

SIGN HERE

SIGN HERE	Signature of officer or trustee 		Date <u>10/6/10</u>		Title _____	
	Paid Preparer's Use Only	Preparer's signature 		Date <u>10.4.10</u>		Check if self-employed ☐
		Firm's name (or yours if self-employed), address, and ZIP code				Preparer's identifying number (See Signature in the instrs)
		POWDERMILL FINANCIAL SOLUTIONS, LLC P.O. BOX 263 ROCKLAND DE 19732-0263				EIN <u> </u> Phone no <u>(302) 658-7796</u>

BAA

Form 990-PF (2009)

**Form 990-PF
Part I, Line 6a****Net Gain or Loss From Sale of Assets****2009**

Name WANDA J. HERNDON FOUNDATION	Employer Identification Number 20-8089043
--	---

Asset Information:

Description of Property		<u>SHORT TERM SECURITY SALES - SEE ATTACHED SCHEDULE</u>	
Date Acquired	<u>Various</u>	How Acquired	<u>Purchased</u>
Date Sold	<u>Various</u>	Name of Buyer	
Sales Price	<u>227,464.</u>	Cost or other basis (do not reduce by depreciation)	<u>268,082.</u>
Sales Expense		Valuation Method	
Total Gain (Loss)	<u>-40,618.</u>	Accumulation Depreciation	

Description of Property		<u>LONG TERM SECURITY SALES - SEE ATTACHED SCHEDULE</u>	
Date Acquired	<u>Various</u>	How Acquired	<u>Purchased</u>
Date Sold	<u>Various</u>	Name of Buyer	
Sales Price	<u>124,543.</u>	Cost or other basis (do not reduce by depreciation)	<u>261,428.</u>
Sales Expense		Valuation Method	
Total Gain (Loss)	<u>-136,885.</u>	Accumulation Depreciation	

Description of Property			
Date Acquired		How Acquired	
Date Sold		Name of Buyer	
Sales Price		Cost or other basis (do not reduce by depreciation)	
Sales Expense		Valuation Method	
Total Gain (Loss)		Accumulation Depreciation	

Description of Property			
Date Acquired		How Acquired	
Date Sold		Name of Buyer	
Sales Price		Cost or other basis (do not reduce by depreciation)	
Sales Expense		Valuation Method	
Total Gain (Loss)		Accumulation Depreciation	

Description of Property			
Date Acquired		How Acquired	
Date Sold		Name of Buyer	
Sales Price		Cost or other basis (do not reduce by depreciation)	
Sales Expense		Valuation Method	
Total Gain (Loss)		Accumulation Depreciation	

Description of Property			
Date Acquired		How Acquired	
Date Sold		Name of Buyer	
Sales Price		Cost or other basis (do not reduce by depreciation)	
Sales Expense		Valuation Method	
Total Gain (Loss)		Accumulation Depreciation	

Description of Property			
Date Acquired		How Acquired	
Date Sold		Name of Buyer	
Sales Price		Cost or other basis (do not reduce by depreciation)	
Sales Expense		Valuation Method	
Total Gain (Loss)		Accumulation Depreciation	

Description of Property			
Date Acquired		How Acquired	
Date Sold		Name of Buyer	
Sales Price		Cost or other basis (do not reduce by depreciation)	
Sales Expense		Valuation Method	
Total Gain (Loss)		Accumulation Depreciation	

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes (see the instructions)	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FOREIGN TAX PAID	16.	16.		
FED TAX PAID	131.			
Total	147.	16.		

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
BANK CHARGES	26.	26.		
MISCELLANEOUS	4.	4.		
Total	30.	30.		

Form 990-PF, Page 1, Part I

Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
HARRELL, CONNELL, CO	LEGAL FEES	496.	496.		
Total		496.	496.		

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PETRUCCI & ASSOC	TAX & ACCOUNTING	2,704.	2,704.		
Total		2,704.	2,704.		

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SIGNATOR	INV MNGMT FEES	2,405.	2,405.		
Total		2,405.	2,405.		

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
SEE ATTACHED SCHEDULE	157,591.	195,434.
Total	<u>157,591.</u>	<u>195,434.</u>

Form 990-PF Part II Line 10a Investments1 - As of 12/31/2009

As of 12/31/2009

10/4/2010

Page 1

Security	Shares	Quote/Price	est	Cost Basis	Gain/Loss	Balance
I - Sig 14940 Alliance Bern						
Royal Bank of Scotland Grp	0.340	9.390		-29	33	3
TOTAL I - Sig 14940 Alliance Bern				-29	33	3
I- Brinker-675-497754						
Alger Small Capital Class A	536.815	5.890		2,169	993	3,162
Aston/River RD Small	272.203	10.720		2,254	664	2,918
Blackrock Inflation	414.629	10.720		4,323	122	4,445
CRM Mid Cap Value Investor Shares	304.619	23.890		5,452	1,826	7,277
Delaware Value FD CL	1,168.259	9.070		8,505	2,091	10,596
Direxion Commodity	41.780	28.150		1,321	-145	1,176
Dreyfus Bond Market	3,098.788	10.300		32,001	-83	31,918
Dreyfus Greater China CL A Conf	43.819	46.160		1,790	233	2,023
Dreyfus Internatl Stock Index	408.354	14.210		4,278	1,525	5,803
DWS RREF Global Real	336.664	6.710		1,522	737	2,259
Federated Intermed Corp Bond FD	1,062.246	9.850		9,465	999	10,463
Janus Forty Class S	363.632	31.520		8,066	3,396	11,462
JP Morgan High Yield Bond Fund ...	363.777	7.720		2,561	247	2,808
JP Morgan Highbdg Stat	214.915	15.740		3,463	-80	3,383
Lazard Emerging Mkts	247.713	18.280		3,905	624	4,528
Leuthold Asset Allocation Fd	740.859	9.760		5,245	1,985	7,231
Listed Private Equity Class A	740.917	4.640		2,454	984	3,438
Merger Fund	474.571	15.540		7,126	249	7,375
MFS International Value Fund CL A	365.690	22.790		5,953	2,381	8,334
Pimco Developing Local Markets	322.733	10.020		2,827	407	3,234
Rivernorth Core	820.240	10.640		6,318	2,410	8,727
Spartan US Equity Indx	139.057	39.430		4,075	1,408	5,483
T Rowe Price Growth	420.417	27.330		8,131	3,359	11,490
TCW Dividend	1,160.651	9.040		7,447	3,045	10,492
Turner Midcap Growth	286.080	27.720		5,244	2,686	7,930
Victory Diversified	391.371	13.980		4,160	1,312	5,471
Wasatch International Opportunitie ..	1,371.957	2.150		1,640	1,310	2,950
William Blair Int'l Growth	488.136	18.550		5,926	3,129	9,055
TOTAL I- Brinker 675-497754				157,620	37,811	195,430
TOTAL Investments				157,590	37,843	195,434

Capital Gains - Last year
1/1/2009 through 12/31/2009

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L.
SHORT TERM							
I - Sig 14893 Household	Prime Fund - Capital Reserves	0.840	5/31/2008	5/5/2009	0.84	0.84	0.00
I - Sig 14893 Household	Prime Fund - Capital Reserves	34.230	3/31/2009	5/5/2009	34.23	34.23	0.00
I - Sig 14893 Household	Prime Fund - Capital Reserves	55.480	4/30/2009	5/5/2009	55.48	55.48	0.00
I - Sig 14940 Alliance Bern	Petro Canada	7.000	2/21/2008	1/15/2009	169.82	319.18	-149.36
I - Sig 14940 Alliance Bern	Royal DSM N V	48.000	4/23/2008	1/15/2009	312.96	633.60	-320.64
I - Sig 14940 Alliance Bern	Royal DSM N V	77.000	6/9/2008	1/15/2009	502.04	1,177.25	-675.21
I - Sig 14940 Alliance Bern	Royal DSM N V	43.000	7/1/2008	1/15/2009	280.36	593.40	-313.04
I - Sig 14940 Alliance Bern	Statoil Hydro ASA Spon ADR	25.000	1/16/2008	1/15/2009	416.36	719.07	-302.71
I - Sig 14940 Alliance Bern	Teck Cominco LTD	14.000	7/25/2008	1/15/2009	75.07	549.09	-474.02
I - Sig 14940 Alliance Bern	Lloyds Tsb Group Plc	0.000	12/2/2008	1/22/2009	0.05	0.00	0.05
I - Sig 14940 Alliance Bern	Hbos Plc Spon Adr	84.050	3/27/2008	1/22/2009	839.68	839.68	0.00
I - Sig 14940 Alliance Bern	Hbos Plc Spon Adr	59.450	5/5/2008	1/22/2009	551.00	551.00	0.00
I - Sig 14940 Alliance Bern	Hbos Plc Spon Adr	74.825	6/4/2008	1/22/2009	591.30	591.30	0.00
I - Sig 14940 Alliance Bern	Hbos Plc Spon Adr	144.525	7/15/2008	1/22/2009	781.14	781.14	0.00
I - Sig 14940 Alliance Bern	Prime Fund - Capital Reserves	142.100	6/30/2008	1/31/2009	142.10	142.10	0.00
I - Sig 14940 Alliance Bern	Prime Fund - Capital Reserves	233.080	8/31/2008	1/31/2009	233.08	233.08	0.00
I - Sig 14940 Alliance Bern	Prime Fund - Capital Reserves	248.630	11/30/2008	1/31/2009	248.63	248.63	0.00
I - Sig 14940 Alliance Bern	Prime Fund - Capital Reserves	96.320	12/31/2008	1/31/2009	96.32	96.32	0.00
I - Sig 14940 Alliance Bern	Royal Bank of Scotland Grp	6.051	6/1/2008	2/5/2009	35.81	591.67	-555.86
I - Sig 14940 Alliance Bern	Royal Bank of Scotland Grp	4.439	7/1/2008	2/5/2009	26.27	337.66	-311.39
I - Sig 14940 Alliance Bern	Royal Bank of Scotland Grp	0.510	9/23/2008	2/5/2009	3.02	0.00	3.02
I - Sig 14940 Alliance Bern	BHP Billiton PLC	4.000	12/2/2008	3/5/2009	116.04	141.36	-25.32
I - Sig 14940 Alliance Bern	Michelin Compagnie General	30.000	1/15/2009	3/5/2009	187.79	319.50	-131.71
I - Sig 14940 Alliance Bern	European Aeronautic Defenc	11.000	12/2/2008	3/9/2009	150.69	182.05	-31.36
I - Sig 14940 Alliance Bern	Deutsche Bank	5.000	5/5/2008	3/11/2009	116.20	600.80	-484.60
I - Sig 14940 Alliance Bern	Deutsche Bank	7.000	6/11/2008	3/11/2009	162.68	706.86	-544.18
I - Sig 14940 Alliance Bern	Deutsche Bank	10.000	11/12/2008	3/11/2009	232.40	385.77	-153.37
I - Sig 14940 Alliance Bern	UBS AG Shs	42.000	1/15/2009	3/11/2009	316.25	603.73	-287.48
I - Sig 14940 Alliance Bern	AU Optonics Corp	69.000	12/2/2008	3/11/2009	504.38	419.63	84.75
I - Sig 14940 Alliance Bern	Amcor Ltd	26.000	7/25/2008	3/11/2009	255.05	553.80	-298.75
I - Sig 14940 Alliance Bern	Amcor Ltd	10.000	12/2/2008	3/11/2009	98.10	160.00	-61.90
I - Sig 14940 Alliance Bern	Arcelormittal Sa Luxembourg	17.000	9/25/2008	3/11/2009	304.81	1,106.67	-801.86
I - Sig 14940 Alliance Bern	Australia & New Zealand Bkg	32.000	12/2/2008	3/11/2009	247.99	305.92	-57.93
I - Sig 14940 Alliance Bern	Australia & New Zealand Bkg	15.000	1/15/2009	3/11/2009	116.25	155.55	-39.30
I - Sig 14940 Alliance Bern	BCE Inc	14.000	2/5/2009	3/11/2009	264.03	280.44	-16.41
I - Sig 14940 Alliance Bern	BCE Inc	12.000	3/5/2009	3/11/2009	226.32	227.36	-1.04
I - Sig 14940 Alliance Bern	BHP Billiton PLC	15.000	12/2/2008	3/11/2009	488.84	530.12	-41.28
I - Sig 14940 Alliance Bern	BP Plc	8.000	10/22/2008	3/11/2009	278.56	356.62	-78.06
I - Sig 14940 Alliance Bern	BP Plc	12.000	12/2/2008	3/11/2009	417.84	568.15	-150.31
I - Sig 14940 Alliance Bern	BP Plc	13.000	1/15/2009	3/11/2009	452.66	593.62	-140.96
I - Sig 14940 Alliance Bern	Bayer AG Sponsored ADR	5.000	1/15/2009	3/11/2009	217.69	296.75	-79.06

Capital Gains - Last year

1/1/2009 through 12/31/2009

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
I - Sig 14940 Alliance Bern	Canon Inc ADR	10 000	12/2/2008	3/11/2009	216 99	299 55	-82 56
I - Sig 14940 Alliance Bern	Celestio AG ADR	56 000	3/5/2009	3/11/2009	218 95	221 76	-2 81
I - Sig 14940 Alliance Bern	Centrica PLC Sponsored ADR	37 000	3/5/2009	3/11/2009	511 70	549 08	-37 38
I - Sig 14940 Alliance Bern	Credit Agricole S A ADR	127 000	1/15/2009	3/11/2009	476 24	764 54	-288 30
I - Sig 14940 Alliance Bern	Deutsche Telekom	34 000	7/2/2008	3/11/2009	394 05	552 43	-158 38
I - Sig 14940 Alliance Bern	Deutsche Telekom	32 000	7/25/2008	3/11/2009	370 88	532 68	-161 80
I - Sig 14940 Alliance Bern	East Japan RY CO ADR	20 000	12/2/2008	3/11/2009	180 99	265 00	-84 01
I - Sig 14940 Alliance Bern	East Japan RY CO ADR	12 000	2/19/2009	3/11/2009	108 60	124 68	-16 08
I - Sig 14940 Alliance Bern	Encoson Im	99 000	10/22/2008	3/11/2009	750 41	685 28	65 13
I - Sig 14940 Alliance Bern	Establishments Delhaize Frer	5 000	12/2/2008	3/11/2009	274 45	303 90	-29 45
I - Sig 14940 Alliance Bern	Establishments Delhaize Frer	5 000	3/5/2009	3/11/2009	274 45	289 70	-15 25
I - Sig 14940 Alliance Bern	European Aeronautic Defenc.	9 000	12/2/2008	3/11/2009	113 76	148 95	-35 19
I - Sig 14940 Alliance Bern	European Aeronautic Defenc.	14 000	1/15/2009	3/11/2009	176 95	249 20	-72 25
I - Sig 14940 Alliance Bern	France Telecom	15 000	3/5/2009	3/11/2009	327 75	331 65	-3 90
I - Sig 14940 Alliance Bern	Fujitsu Ltd ADR	24 000	12/2/2008	3/11/2009	400 07	549 36	-149 29
I - Sig 14940 Alliance Bern	GlaxoSmithKline PLC	5 000	3/27/2008	3/11/2009	142 70	210 25	-67 55
I - Sig 14940 Alliance Bern	GlaxoSmithKline PLC	12 000	6/11/2008	3/11/2009	342 48	511 16	-168 68
I - Sig 14940 Alliance Bern	HSBC Holdings	5 000	10/27/2008	3/11/2009	125 60	332 50	-206 90
I - Sig 14940 Alliance Bern	HSBC Holdings	19 000	2/19/2009	3/11/2009	477 27	727 01	-249 74
I - Sig 14940 Alliance Bern	Hitachi Ltd ADR	13 000	9/15/2008	3/11/2009	317 06	928 90	-611 84
I - Sig 14940 Alliance Bern	Intesa Sanpaolo S P A Spons	5 000	2/5/2009	3/11/2009	54 19	91 45	-37 26
I - Sig 14940 Alliance Bern	JSC MMC Norilsk Nickel Spo.	39 000	3/20/2008	3/11/2009	186 41	1,090.05	-903.64
I - Sig 14940 Alliance Bern	China Petroleum & Chem Corp	8 000	6/11/2008	3/11/2009	377 28	812 09	-434 81
I - Sig 14940 Alliance Bern	Kookmin BK	2 000	3/27/2008	3/11/2009	33 58	113 94	-80 36
I - Sig 14940 Alliance Bern	Koninklijke Ahold NV ADR	32 000	5/5/2008	3/11/2009	330 88	474 88	-144 00
I - Sig 14940 Alliance Bern	Koninklijke Philips Electrs NV	30 000	2/5/2009	3/11/2009	429 29	551 41	-122 12
I - Sig 14940 Alliance Bern	Lloyds Tsb Group Plc	35 000	12/2/2008	3/11/2009	80 15	362 85	-282 70
I - Sig 14940 Alliance Bern	Lloyds Tsb Group Plc	12 710	3/27/2008	3/11/2009	29 11	839 68	-810 57
I - Sig 14940 Alliance Bern	Lloyds Tsb Group Plc	8 990	5/5/2008	3/11/2009	20 59	551 00	-530 41
I - Sig 14940 Alliance Bern	Lloyds Tsb Group Plc	11 315	6/4/2008	3/11/2009	25 91	591 30	-565 39
I - Sig 14940 Alliance Bern	Lloyds Tsb Group Plc	21 855	7/15/2008	3/11/2009	50 05	781 14	-731 09
I - Sig 14940 Alliance Bern	Mitsui & co	3 000	9/15/2008	3/11/2009	495 89	876 08	-380 19
I - Sig 14940 Alliance Bern	Munich RE Group ADR	39 000	12/2/2008	3/11/2009	398 18	542 10	-143 92
I - Sig 14940 Alliance Bern	Munich RE Group ADR	26 000	1/15/2009	3/11/2009	265 46	406 38	-140 92
I - Sig 14940 Alliance Bern	National Australia BK LTD	38 000	3/5/2009	3/11/2009	416 47	416 10	0 37
I - Sig 14940 Alliance Bern	Nissan Mtr Ltd	31 000	4/23/2008	3/11/2009	199 33	541 85	-342 52
I - Sig 14940 Alliance Bern	Nokia Corp ADR	41 000	10/22/2008	3/11/2009	353 82	691 26	-337 44
I - Sig 14940 Alliance Bern	Nokia Corp ADR	25 000	1/15/2009	3/11/2009	215 75	371 99	-156 24
I - Sig 14940 Alliance Bern	Novartis AG	28 000	9/15/2008	3/11/2009	965 43	1,501 93	-536 50
I - Sig 14940 Alliance Bern	Petro Canada	15 000	3/27/2008	3/11/2009	301 95	648 87	-346 92
I - Sig 14940 Alliance Bern	Petro Canada	6 000	5/5/2008	3/11/2009	120 78	296 49	-175 71
I - Sig 14940 Alliance Bern	Royal Dutch Shell PLC Spon	6 000	3/20/2008	3/11/2009	247 20	404 26	-157 06
I - Sig 14940 Alliance Bern	Royal Dutch Shell PLC Spon	5 000	6/4/2008	3/11/2009	206 00	426 20	-220 20

Capital Gains - Last year
1/1/2009 through 12/31/2009

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
I - Sig 14940 Alliance Bern	Shinhan Finl Group Co LTD	6 000	1/15/2009	3/11/2009	154.43	262.03	-107.60
I - Sig 14940 Alliance Bern	Sony Corp ADR	8 000	11/12/2008	3/11/2009	134.80	175.32	-40.52
I - Sig 14940 Alliance Bern	Statoil Hydro ASA Spon ADR	22 000	3/20/2008	3/11/2009	351.56	634.97	-283.41
I - Sig 14940 Alliance Bern	Statoil Hydro ASA Spon ADR	19 000	6/11/2008	3/11/2009	303.62	735.74	-432.12
I - Sig 14940 Alliance Bern	Telecom Italia	32 000	9/15/2008	3/11/2009	327.67	505.29	-177.62
I - Sig 14940 Alliance Bern	Telefonica S A ADR	11 000	10/22/2008	3/11/2009	590.14	685.06	-94.92
I - Sig 14940 Alliance Bern	Telefonica S A ADR	6 000	2/5/2009	3/11/2009	321.90	318.64	3.26
I - Sig 14940 Alliance Bern	Total S A Spon ADR	11 000	6/4/2008	3/11/2009	495.88	958.59	-462.71
I - Sig 14940 Alliance Bern	Total S A Spon ADR	11 000	7/2/2008	3/11/2009	495.88	916.76	-420.88
I - Sig 14940 Alliance Bern	United Microelectronics Corp	140 000	9/30/2008	3/11/2009	245.00	316.10	-71.10
I - Sig 14940 Alliance Bern	Volvo Aktiebolaget ADR	47 000	9/15/2008	3/11/2009	176.71	476.58	-299.87
I - Sig 14940 Alliance Bern	Prime Fund - Capital Reserves	762 580	12/31/2008	3/31/2009	762.58	762.58	0.00
I - Sig 14940 Alliance Bern	Prime Fund - Capital Reserves	233 690	2/28/2009	3/31/2009	233.69	233.69	0.00
I - Sig 14974 Transamerica	American Express Co	15 000	11/3/2008	2/24/2009	193.39	384.80	-191.41
I - Sig 14974 Transamerica	American Express Co	8 000	11/21/2008	2/24/2009	103.14	150.96	-47.82
I - Sig 14974 Transamerica	American Express Co	10 000	12/19/2008	2/24/2009	128.93	195.09	-66.16
I - Sig 14974 Transamerica	CME Group Inc.	1 000	11/21/2008	2/24/2009	184.25	182.99	1.26
I - Sig 14974 Transamerica	Daimlerchrysler AG Ord ISIN	3 000	11/21/2008	2/26/2009	72.84	86.40	-13.56
I - Sig 14974 Transamerica	Tyco Electronics Ltd	40 000	11/3/2008	3/6/2009	323.19	737.58	-414.39
I - Sig 14974 Transamerica	Tyco Electronics Ltd	20 000	11/21/2008	3/6/2009	161.60	282.20	-120.60
I - Sig 14974 Transamerica	AT & T Inc	13 000	11/21/2008	3/10/2009	293.67	337.61	-43.94
I - Sig 14974 Transamerica	Allergan Inc	2 000	11/21/2008	3/10/2009	71.86	70.18	1.68
I - Sig 14974 Transamerica	Amazon com Inc	1 000	4/30/2008	3/10/2009	65.12	78.16	-13.04
I - Sig 14974 Transamerica	Amazon com Inc	20 000	5/9/2008	3/10/2009	1,302.39	1,523.79	-221.40
I - Sig 14974 Transamerica	Amazon com Inc	8 000	5/20/2008	3/10/2009	520.96	605.85	-84.89
I - Sig 14974 Transamerica	Amazon com Inc	13 000	10/16/2008	3/10/2009	846.55	747.76	98.79
I - Sig 14974 Transamerica	Amazon.com Inc	7 000	10/27/2008	3/10/2009	455.84	345.81	110.03
I - Sig 14974 Transamerica	Amazon.com Inc	11 000	11/21/2008	3/10/2009	716.31	403.37	312.94
I - Sig 14974 Transamerica	Apple Inc	3 000	11/21/2008	3/10/2009	268.62	264.12	4.50
I - Sig 14974 Transamerica	Apple Inc	7 000	2/26/2009	3/10/2009	626.78	618.98	7.80
I - Sig 14974 Transamerica	Automatic Data Processing Inc	18 000	3/9/2009	3/10/2009	594.35	611.06	-16.71
I - Sig 14974 Transamerica	Becton Dickinson Co	18 000	11/3/2008	3/10/2009	1,150.37	1,200.25	-49.88
I - Sig 14974 Transamerica	Becton Dickinson Co	5 000	11/21/2008	3/10/2009	319.55	324.00	-4.45
I - Sig 14974 Transamerica	Boeing Co	5 000	11/21/2008	3/10/2009	146.60	193.10	-46.50
I - Sig 14974 Transamerica	Caterpillar Inc	4 000	11/21/2008	3/10/2009	94.08	140.72	-46.64
I - Sig 14974 Transamerica	Caterpillar Inc	6 000	2/26/2009	3/10/2009	141.12	156.50	-15.38
I - Sig 14974 Transamerica	Cisco Sys Inc	39 000	11/5/2008	3/10/2009	572.13	684.91	-112.78
I - Sig 14974 Transamerica	Cisco Sys Inc	6 000	11/21/2008	3/10/2009	88.02	94.44	-6.42
I - Sig 14974 Transamerica	Cisco Sys Inc	42 000	12/12/2008	3/10/2009	616.14	733.12	-116.98
I - Sig 14974 Transamerica	Disney Walt Co	43 000	11/6/2008	3/10/2009	691.86	1,043.42	-351.56
I - Sig 14974 Transamerica	Disney Walt Co	7 000	11/21/2008	3/10/2009	112.63	140.91	-28.28
I - Sig 14974 Transamerica	Disney Walt Co	10 000	12/12/2008	3/10/2009	160.90	245.12	-84.22
I - Sig 14974 Transamerica	Ecolab inc	9 000	11/21/2008	3/10/2009	271.26	299.52	-28.26

Capital Gains - Last year
1/1/2009 through 12/31/2009

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L.
I - Sig 14974 Transamerica	Ecolab Inc	7 000	2/26/2009	3/10/2009	210.98	230.38	-19.40
I - Sig 14974 Transamerica	Emerson Electric Co	22,000	12/19/2008	3/10/2009	553.07	740.04	-186.97
I - Sig 14974 Transamerica	Emerson Electric Co	10,000	12/31/2008	3/10/2009	251.40	343.76	-92.36
I - Sig 14974 Transamerica	Expeditors Intl Wash Inc	10,000	11/21/2008	3/10/2009	248.60	295.90	-47.30
I - Sig 14974 Transamerica	General Electric Co	27,000	11/3/2008	3/10/2009	183.06	518.88	-335.82
I - Sig 14974 Transamerica	General Electric Co	25,000	11/21/2008	3/10/2009	169.50	387.50	-218.00
I - Sig 14974 Transamerica	Gilead Sciences Inc	10,000	11/21/2008	3/10/2009	440.60	440.30	0.30
I - Sig 14974 Transamerica	Google Inc	2,000	11/21/2008	3/10/2009	611.50	575.44	36.06
I - Sig 14974 Transamerica	Hewlett-Packard Co	20,000	2/12/2009	3/10/2009	545.59	725.06	-179.47
I - Sig 14974 Transamerica	Intl Business Machine	7,000	3/9/2009	3/10/2009	615.85	635.96	-20.11
I - Sig 14974 Transamerica	Jacobs Engr Group Inc	8,000	11/21/2008	3/10/2009	261.92	237.60	24.32
I - Sig 14974 Transamerica	Johnson Ctls Inc	12,000	11/21/2008	3/10/2009	119.40	170.76	-51.36
I - Sig 14974 Transamerica	Johnson Ctls Inc	53,000	2/12/2009	3/10/2009	527.35	756.86	-229.51
I - Sig 14974 Transamerica	Paccar Inc	9,000	11/21/2008	3/10/2009	202.50	227.52	-25.02
I - Sig 14974 Transamerica	Praxair Inc	11,000	11/21/2008	3/10/2009	604.56	621.94	-17.38
I - Sig 14974 Transamerica	T Rowe Price Group	20,000	5/9/2008	3/10/2009	424.60	1,256.30	-831.70
I - Sig 14974 Transamerica	T Rowe Price Group	5,000	5/20/2008	3/10/2009	106.15	314.87	-208.72
I - Sig 14974 Transamerica	T Rowe Price Group	19,000	11/3/2008	3/10/2009	403.37	717.83	-314.46
I - Sig 14974 Transamerica	T Rowe Price Group	9,000	11/21/2008	3/10/2009	191.07	256.41	-65.34
I - Sig 14974 Transamerica	Qualcomm Inc	13,000	10/27/2008	3/10/2009	456.30	459.30	-3.00
I - Sig 14974 Transamerica	Qualcomm Inc	5,000	11/3/2008	3/10/2009	175.50	196.23	-20.73
I - Sig 14974 Transamerica	Qualcomm Inc	12,000	11/21/2008	3/10/2009	421.20	364.20	57.00
I - Sig 14974 Transamerica	Raytheon Co Com New	5,000	11/3/2008	3/10/2009	179.75	243.44	-63.69
I - Sig 14974 Transamerica	Raytheon Co Com New	10,000	11/21/2008	3/10/2009	359.50	463.20	-103.70
I - Sig 14974 Transamerica	Schwab Charles Corp	52,000	11/7/2008	3/10/2009	591.75	1,065.94	-474.19
I - Sig 14974 Transamerica	Schwab Charles Corp	8,000	11/21/2008	3/10/2009	91.04	121.76	-30.72
I - Sig 14974 Transamerica	Schwab Charles Corp	21,000	12/12/2008	3/10/2009	238.98	410.34	-171.36
I - Sig 14974 Transamerica	Schwab Charles Corp	21,000	2/12/2009	3/10/2009	238.98	303.12	-64.14
I - Sig 14974 Transamerica	Sigma Aldrich Corp	15,000	11/3/2008	3/10/2009	493.05	572.40	-79.35
I - Sig 14974 Transamerica	Sigma Aldrich Corp	8,000	11/21/2008	3/10/2009	262.96	314.24	-51.28
I - Sig 14974 Transamerica	Union Pacific Corp	14,000	5/9/2008	3/10/2009	490.13	1,042.02	-551.89
I - Sig 14974 Transamerica	Union Pacific Corp	20,000	11/3/2008	3/10/2009	700.19	1,273.20	-573.01
I - Sig 14974 Transamerica	Union Pacific Corp	10,000	11/21/2008	3/10/2009	350.10	560.90	-210.80
I - Sig 14974 Transamerica	Union Pacific Corp	1,000	2/26/2009	3/10/2009	35.01	38.80	-3.79
I - Sig 14974 Transamerica	Vanan Medical Sys Inc	6,000	11/21/2008	3/10/2009	167.64	234.30	-66.66
I - Sig 14974 Transamerica	Vanan Medical Sys Inc	14,000	2/26/2009	3/10/2009	391.16	477.91	-86.75
I - Sig 14974 Transamerica	Wal-Mart Stores	13,000	3/9/2009	3/10/2009	645.18	632.49	12.69
I - Sig 14974 Transamerica	Wells Fargo & Co	26,000	9/19/2008	3/10/2009	210.86	901.74	-690.88
I - Sig 14974 Transamerica	Wells Fargo & Co	37,000	10/16/2008	3/10/2009	300.07	1,029.98	-729.91
I - Sig 14974 Transamerica	Wells Fargo & Co	13,000	11/21/2008	3/10/2009	105.43	345.67	-240.24
I - Sig 14974 Transamerica	Wells Fargo & Co	17,000	12/19/2008	3/10/2009	137.87	478.11	-340.24
I - Sig 14974 Transamerica	Wells Fargo & Co	14,000	2/12/2009	3/10/2009	113.54	267.54	-154.00
I - Sig 14974 Transamerica	Borgwarner Inc Exec	22,000	5/8/2008	3/10/2009	351.78	1,125.67	-773.89

Capital Gains - Last year
1/1/2009 through 12/31/2009

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L.
I - Sig 14974 Transamerica	Borgwarner Inc Exec	18 000	5/20/2008	3/10/2009	287.82	987.69	-699.87
I - Sig 14974 Transamerica	Borgwarner Inc Exec	15 000	10/16/2008	3/10/2009	239.85	359.03	-119.18
I - Sig 14974 Transamerica	Borgwarner Inc Exec	17 000	11/21/2008	3/10/2009	271.83	278.80	-6.97
I - Sig 14974 Transamerica	Prime Fund - Capital Reserves	265 650	9/30/2008	3/31/2009	265.65	265.65	0.00
I - Sig 14974 Transamerica	Prime Fund - Capital Reserves	5,624 560	10/31/2008	3/31/2009	5,624.56	5,624.56	0.00
I - Sig 14974 Transamerica	Prime Fund - Capital Reserves	487 020	1/31/2009	3/31/2009	487.02	487.02	0.00
I - Sig 14974 Transamerica	Prime Fund - Capital Reserves	705 670	2/28/2009	3/31/2009	705.67	705.67	0.00
I - Sig 14974 Transamerica	Prime Fund - Capital Reserves	0 650	2/28/2009	4/29/2009	0.65	0.65	0.00
I - Sig 14990 Fed Strat Val	HSBC Holdings	10 000	9/12/2008	1/21/2009	398.99	800.30	-401.31
I - Sig 14990 Fed Strat Val	HSBC Holdings	10 000	9/12/2008	1/21/2009	398.99	792.46	-393.47
I - Sig 14990 Fed Strat Val	HSBC Holdings	10 000	10/9/2008	1/21/2009	398.99	769.30	-370.31
I - Sig 14990 Fed Strat Val	Gallagher Arthur J & Co	3 000	9/2/2008	2/10/2009	56.10	78.54	-22.44
I - Sig 14990 Fed Strat Val	PNC Financial Services	10 000	10/15/2008	2/10/2009	300.84	636.77	-335.93
I - Sig 14990 Fed Strat Val	PNC Financial Services	10 000	10/16/2008	2/10/2009	300.84	654.05	-353.21
I - Sig 14990 Fed Strat Val	Pfizer Inc	3 000	9/2/2008	2/10/2009	43.68	57.18	-13.50
I - Sig 14990 Fed Strat Val	US Bancorp Del Com New	3 000	9/2/2008	2/10/2009	44.65	92.67	-48.02
I - Sig 14990 Fed Strat Val	Wells Fargo & Co	44 000	10/15/2008	2/10/2009	734.48	1,251.27	-516.79
I - Sig 14990 Fed Strat Val	Wyeth Exec	38 000	11/18/2008	2/10/2009	1,639.05	1,285.18	353.87
I - Sig 14990 Fed Strat Val	Prime Fund - Capital Reserves	174 880	8/31/2008	2/28/2009	174.88	174.88	0.00
I - Sig 14990 Fed Strat Val	General Electric Co	39 000	6/10/2008	3/6/2009	273.74	1,198.47	-924.73
I - Sig 14990 Fed Strat Val	General Electric Co	26 000	6/23/2008	3/6/2009	182.49	746.16	-563.67
I - Sig 14990 Fed Strat Val	General Electric Co	23 000	8/8/2008	3/6/2009	161.44	672.06	-510.62
I - Sig 14990 Fed Strat Val	General Electric Co	6 000	9/2/2008	3/6/2009	42.11	169.20	-127.09
I - Sig 14990 Fed Strat Val	General Electric Co	19 000	10/15/2008	3/6/2009	133.36	386.27	-252.91
I - Sig 14990 Fed Strat Val	General Electric Co	39 000	1/8/2009	3/6/2009	273.74	664.15	-390.41
I - Sig 14990 Fed Strat Val	AGL Res Inc	23 000	10/10/2008	3/10/2009	576.60	703.77	-127.17
I - Sig 14990 Fed Strat Val	AT & T Inc	34 000	5/9/2008	3/10/2009	770.09	1,349.12	-579.03
I - Sig 14990 Fed Strat Val	AT & T Inc	46 000	8/8/2008	3/10/2009	1,041.89	1,429.22	-387.33
I - Sig 14990 Fed Strat Val	AT & T Inc	6 000	9/2/2008	3/10/2009	135.90	187.92	-52.02
I - Sig 14990 Fed Strat Val	Altria Group Inc	31 000	4/15/2008	3/10/2009	485.15	423.82	61.33
I - Sig 14990 Fed Strat Val	Altria Group Inc	3 000	9/2/2008	3/10/2009	46.95	61.80	-14.85
I - Sig 14990 Fed Strat Val	BCE Inc	33 000	1/23/2009	3/10/2009	625.67	657.11	-31.44
I - Sig 14990 Fed Strat Val	BCE Inc	26 000	3/6/2009	3/10/2009	492.96	487.64	5.32
I - Sig 14990 Fed Strat Val	BP Plc	3 000	9/2/2008	3/10/2009	103.62	174.39	-70.77
I - Sig 14990 Fed Strat Val	Bristol Myers Squibb	6 000	9/2/2008	3/10/2009	106.32	128.22	-21.90
I - Sig 14990 Fed Strat Val	CenturyTel Inc	23 000	10/14/2008	3/10/2009	582.81	810.91	-228.10
I - Sig 14990 Fed Strat Val	Coca Cola Co	14 000	12/18/2008	3/10/2009	531.58	623.07	-91.49
I - Sig 14990 Fed Strat Val	Coca Cola Co	14 000	1/8/2009	3/10/2009	531.58	639.90	-108.32
I - Sig 14990 Fed Strat Val	Coca Cola Co	13 000	3/6/2009	3/10/2009	493.61	509.47	-15.86
I - Sig 14990 Fed Strat Val	Conagra Foods Inc	43 000	10/14/2008	3/10/2009	623.92	822.66	-198.74
I - Sig 14990 Fed Strat Val	Conoco Phillips Exec	24 000	2/10/2009	3/10/2009	848.39	1,127.91	-279.52
I - Sig 14990 Fed Strat Val	Deutsche Telekom	3 000	9/2/2008	3/10/2009	34.68	48.96	-14.28
I - Sig 14990 Fed Strat Val	Diageo PLC Isin	24 000	2/10/2009	3/10/2009	1,048.55	1,337.42	-288.87

Capital Gains - Last year

1/1/2009 through 12/31/2009

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
I - Sig 14990 Fed Strat Val	Dominion Resources Inc	49 000	2/10/2009	3/10/2009	1,369.54	1,802.26	-432.72
I - Sig 14990 Fed Strat Val	DUKE ENERGY CORP NEW	6 000	9/2/2008	3/10/2009	72.42	106.44	-34.02
I - Sig 14990 Fed Strat Val	ENI Spa	3 000	9/2/2008	3/10/2009	97.77	194.82	-97.05
I - Sig 14990 Fed Strat Val	Frontier Communications Corp	3 000	9/2/2008	3/10/2009	17.94	36.96	-19.02
I - Sig 14990 Fed Strat Val	GlaxoSmithKline PLC	25 000	7/23/2008	3/10/2009	711.75	1,194.84	-483.09
I - Sig 14990 Fed Strat Val	GlaxoSmithKline PLC	34 000	9/12/2008	3/10/2009	967.97	1,505.45	-537.48
I - Sig 14990 Fed Strat Val	Heinz H J Co	3 000	9/2/2008	3/10/2009	93.75	154.41	-60.66
I - Sig 14990 Fed Strat Val	Johnson & Johnson	11 000	1/23/2009	3/10/2009	526.57	632.61	-106.04
I - Sig 14990 Fed Strat Val	Johnson & Johnson	24 000	2/10/2009	3/10/2009	1,148.87	1,402.57	-253.70
I - Sig 14990 Fed Strat Val	Kimberly Clark Corp	44 000	3/20/2008	3/10/2009	1,947.86	2,792.83	-844.97
I - Sig 14990 Fed Strat Val	Kimberly Clark Corp	3 000	9/2/2008	3/10/2009	132.81	183.30	-50.49
I - Sig 14990 Fed Strat Val	Kraft Foods Inc Cl A	3 000	9/2/2008	3/10/2009	63.27	96.15	-32.88
I - Sig 14990 Fed Strat Val	Lilly Eli & Co	19 000	11/7/2008	3/10/2009	523.26	665.37	-142.11
I - Sig 14990 Fed Strat Val	Lilly Eli & Co	15 000	11/12/2008	3/10/2009	413.10	499.44	-86.34
I - Sig 14990 Fed Strat Val	Lilly Eli & Co	17 000	2/10/2009	3/10/2009	468.18	649.84	-181.66
I - Sig 14990 Fed Strat Val	Merck & Co	23 000	2/10/2009	3/10/2009	512.43	690.87	-178.44
I - Sig 14990 Fed Strat Val	Phillip Morris Intl Inc Com	41 000	6/1/2008	3/10/2009	1,346.84	1,991.81	-644.97
I - Sig 14990 Fed Strat Val	Procter & Gamble	27 000	3/6/2009	3/10/2009	1,238.21	1,270.70	-32.49
I - Sig 14990 Fed Strat Val	Reynolds Amern Inc	3 000	9/2/2008	3/10/2009	99.24	159.75	-60.51
I - Sig 14990 Fed Strat Val	Royal Dutch Shell PLC Spon	12 000	11/7/2008	3/10/2009	471.24	711.65	-240.41
I - Sig 14990 Fed Strat Val	Royal Dutch Shell PLC Spon	28 000	11/19/2008	3/10/2009	1,099.55	1,376.43	-276.88
I - Sig 14990 Fed Strat Val	Scana Corp	19 000	9/12/2008	3/10/2009	529.90	748.59	-218.69
I - Sig 14990 Fed Strat Val	Southern Co	3 000	9/2/2008	3/10/2009	83.73	113.04	-29.31
I - Sig 14990 Fed Strat Val	Unilever Plc	32 000	10/14/2008	3/10/2009	569.60	824.78	-255.18
I - Sig 14990 Fed Strat Val	Unilever Plc	55 000	11/19/2008	3/10/2009	978.99	1,209.38	-230.39
I - Sig 14990 Fed Strat Val	Venzon Communications NF	3 000	9/2/2008	3/10/2009	84.03	104.34	-20.31
I - Sig 14990 Fed Strat Val	Vodafone Group Plc New Sp	46 000	4/14/2008	3/10/2009	752.55	1,447.92	-695.37
I - Sig 14990 Fed Strat Val	Vodafone Group Plc New Sp	41 000	10/9/2008	3/10/2009	670.76	884.49	-213.73
I - Sig 14990 Fed Strat Val	Windstream Corp	6 000	9/2/2008	3/10/2009	40.20	72.90	-32.70
I - Sig 14990 Fed Strat Val	Prime Fund - Capital Reserves	469 660	8/31/2008	3/31/2009	469.66	469.66	0.00
I - Sig 14990 Fed Strat Val	Prime Fund - Capital Reserves	59 040	1/31/2009	3/31/2009	59.04	59.04	0.00
I - Sig 14990 Fed Strat Val	Prime Fund - Capital Reserves	34 550	1/31/2009	4/30/2009	34.55	34.55	0.00
I - Sig 15008 US Trust Rad	Drew Industries Inc Com	36 000	3/12/2008	1/27/2009	313.20	956.38	-643.18
I - Sig 15008 US Trust Rad	Dryships Inc ISIN	36 000	1/27/2009	2/4/2009	247.25	457.10	-209.85
I - Sig 15008 US Trust Rad...	Prime Fund - Capital Reserves	271 690	6/30/2008	2/28/2009	271.69	271.69	0.00
I - Sig 15008 US Trust Rad	Prime Fund - Capital Reserves	929 480	12/31/2008	2/28/2009	929.48	929.48	0.00
I - Sig 15008 US Trust Rad	Prime Fund - Capital Reserves	40 190	1/31/2009	2/28/2009	40.19	40.19	0.00
I - Sig 15008 US Trust Rad	Drew Industries Inc Com	49 000	3/12/2008	3/4/2009	318.08	1,301.75	-983.67
I - Sig 15008 US Trust Rad	Drew Industries Inc Com	15 000	9/12/2008	3/4/2009	97.37	284.25	-186.88
I - Sig 15008 US Trust Rad	GFI Group Inc	70 000	7/28/2008	3/4/2009	173.92	641.48	-467.56
I - Sig 15008 US Trust Rad	Hercules Offshore Inc Com	93 000	3/12/2008	3/4/2009	141.22	2,257.67	-2,116.45
I - Sig 15008 US Trust Rad...	Hercules Offshore Inc Com	45 000	1/13/2008	3/4/2009	68.33	311.40	-243.07
I - Sig 15008 US Trust Rad	Hercules Offshore Inc Com	123 000	12/16/2008	3/4/2009	186.77	670.30	-483.53

Capital Gains - Last year
1/1/2009 through 12/31/2009

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L..
I-Sig 15008 US Trust Rad	Quanex Corp	35 000	3/12/2008	3/4/2009	245 85	442.91	-197.06
I-Sig 15008 US Trust Rad	Diana Shipping Inc	34 000	2/9/2009	3/10/2009	408 67	516.68	-108 01
I-Sig 15008 US Trust Rad	Caci Intl Inc	18 000	9/29/2008	3/10/2009	745 19	895.58	-150 39
I-Sig 15008 US Trust Rad	Caci Intl Inc	14 000	11/3/2008	3/10/2009	579.60	537.22	42.38
I-Sig 15008 US Trust Rad	Commscope Inc	12 000	3/12/2008	3/10/2009	93.12	485.72	-392.60
I-Sig 15008 US Trust Rad	Commscope Inc	40 000	12/10/2008	3/10/2009	310 40	444.75	-134.35
I-Sig 15008 US Trust Rad...	F5 Networks Inc	77 000	3/12/2008	3/10/2009	1,491 48	1,495.35	-3.87
I-Sig 15008 US Trust Rad..	GFI Group Inc	13 000	7/28/2008	3/10/2009	31 20	119.13	-87.93
I-Sig 15008 US Trust Rad	GFI Group Inc	169 000	12/16/2008	3/10/2009	405 59	582.85	-177.26
I-Sig 15008 US Trust Rad	Helmerich & Payne Average ..	41 000	3/4/2009	3/10/2009	828.19	947.83	-119.64
I-Sig 15008 US Trust Rad	J Crew Group	46 000	9/12/2008	3/10/2009	455.86	1,433.25	-977.39
I-Sig 15008 US Trust Rad	J Crew Group	51 000	12/10/2008	3/10/2009	505 40	631.54	-126.14
I-Sig 15008 US Trust Rad...	KBW Inc	89 000	7/28/2008	3/10/2009	1,273.58	2,376.73	-1,103.15
I-Sig 15008 US Trust Rad	Kansas City Southern Com	29 000	12/16/2008	3/10/2009	389 47	543.26	-153.79
I-Sig 15008 US Trust Rad	Kaydon Corp	18 000	5/28/2008	3/10/2009	415 26	1,059.79	-644.53
I-Sig 15008 US Trust Rad	Kaydon Corp	29 000	12/16/2008	3/10/2009	669.02	849.55	-180.53
I-Sig 15008 US Trust Rad	Navigant Consulting Inc	76 000	12/10/2008	3/10/2009	923 39	1,484.36	-560.97
I-Sig 15008 US Trust Rad	OM Group Ind	44 000	1/27/2009	3/10/2009	616 43	825.13	-208.70
I-Sig 15008 US Trust Rad	OM Group Ind	11 000	2/5/2009	3/10/2009	154 11	218.86	-64.75
I-Sig 15008 US Trust Rad..	Power Integrations Inc	55 000	5/28/2008	3/10/2009	1,004.84	1,861.99	-857.15
I-Sig 15008 US Trust Rad	Quanex Corp	2 000	3/12/2008	3/10/2009	11 78	25.31	-13.53
I-Sig 15008 US Trust Rad..	Quanex Corp	71 000	8/11/2008	3/10/2009	418 18	1,067.30	-649.12
I-Sig 15008 US Trust Rad	Regal Beloit Corp	40 000	5/28/2008	3/10/2009	1,071.99	1,894.60	-822.61
I-Sig 15008 US Trust Rad	Shaw Group Inc	30 000	1/13/2008	3/10/2009	662 39	454.85	207.54
I-Sig 15008 US Trust Rad	Steel Dynamics	49 000	2/5/2009	3/10/2009	394 93	542.43	-147.50
I-Sig 15008 US Trust Rad	Steel Dynamics	45 000	2/5/2009	3/10/2009	362 70	524.82	-162.12
I-Sig 15008 US Trust Rad ..	Thor Inds Inc	10 000	9/12/2008	3/10/2009	108 10	258.31	-150.21
I-Sig 15008 US Trust Rad...	Walter Inds Inc	41 000	1/27/2009	3/10/2009	667.88	789.99	-122.11
I-Sig 15008 US Trust Rad.	Walter Inds Inc	19 000	2/5/2009	3/10/2009	309.51	344.61	-35.10
I-Sig 15008 US Trust Rad	Whole Foods Mkt Inc	79 000	3/4/2009	3/10/2009	929.82	983.75	-53.93
I-Sig 15008 US Trust Rad	Wilmington Trust	49 000	8/11/2008	3/10/2009	352 30	1,237.73	-885.43
I-Sig 15008 US Trust Rad	Wilmington Trust	29 000	8/18/2008	3/10/2009	208.51	710.14	-501.63
I-Sig 15008 US Trust Rad	Prime Fund - Capital Reserves	480 620	1/31/2009	3/31/2009	480 62	480.62	0.00
I-Sig 721212	Prime Fund - Capital Reserves	0 010	6/30/2008	6/24/2009	0 01	0 01	0.00
I-Sig 721212	Prime Fund - Capital Reserves	0 010	7/31/2008	6/24/2009	0 01	0 01	0.00
I-Sig 721212	Prime Fund - Capital Reserves	0 010	8/29/2008	6/24/2009	0 01	0 01	0.00
I-Sig 721212	Prime Fund - Capital Reserves	0 010	9/30/2008	6/24/2009	0 01	0 01	0.00
I-Sig 721212	Prime Fund - Capital Reserves	0 010	10/31/2008	6/24/2009	0 01	0 01	0.00
I-Sig 721212	Prime Fund - Capital Reserves	0 010	11/30/2008	6/24/2009	0 01	0 01	0.00
I-Brinker 675-497754	Fidelity Municipal Money Mar	181 220	3/31/2009	4/30/2009	181 22	181.22	0.00
I-Brinker 675-497754	Dreyfus Bond Market	133 542	3/30/2009	7/24/2009	1,356.79	1,350.16	6.63
I-Brinker 675-497754	Federated Intermed Corp Bo	104 590	3/30/2009	7/24/2009	981 05	915.66	65.39
I-Brinker 675-497754	JP Morgan Highbdg Stat	20.827	3/30/2009	7/24/2009	337.81	334.48	3.33

Capital Gains - Last year
1/1/2009 through 12/31/2009

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L.
I- Brnker 675-497754	Leuthold Asset Allocation Fd	29 592	3/30/2009	7/24/2009	251 83	209.02	42.81
I- Brnker 675-497754	Merger Fund	18 879	3/30/2009	7/24/2009	283 18	277 71	5 47
I- Brnker 675-497754	Principal Investors	26 201	3/30/2009	7/24/2009	188 12	159 29	28 83
I- Brnker 675-497754	TCW Total Return Bond Class	266.278	3/30/2009	7/24/2009	2,686.74	2,525 95	160 79
I- Brnker 675-497754	Aston/River RD Small	54.631	3/30/2009	7/28/2009	547 40	452 34	95.06
I- Brnker 675-497754	Alger Small Capital Class A	20 489	3/30/2009	7/28/2009	105.11	82 78	22 33
I- Brnker 675-497754	Blackrock Inflation	159 263	3/30/2009	7/28/2009	1,634 04	1,640 41	-6.37
I- Brnker 675-497754	William Blair Int'l Growth	55 068	3/30/2009	7/28/2009	902 01	663.57	238.44
I- Brnker 675-497754	DWS RREF Global Real	61 515	3/30/2009	7/28/2009	375 24	265 74	109 50
I- Brnker 675-497754	Delaware Value FD CL	130.897	3/30/2009	7/28/2009	1,083 83	933.30	150 53
I- Brnker 675-497754	Direxion Commodity	11 078	3/30/2009	7/28/2009	321.16	351 62	-30.46
I- Brnker 675-497754	Dreyfus Bond Market	87 546	3/30/2009	7/28/2009	890.34	885 12	5 22
I- Brnker 675-497754	Janus Forty Class S	34 539	3/30/2009	7/28/2009	991 97	759 86	232.11
I- Brnker 675-497754	Leuthold Asset Allocation Fd	7 800	3/30/2009	7/28/2009	66 77	55.09	11.68
I- Brnker 675-497754	MFS International Value Fun	58 731	3/30/2009	7/28/2009	1,195 77	937 35	258 42
I- Brnker 675-497754	Merger Fund	164 741	3/30/2009	7/28/2009	2,474 41	2,423 34	51.07
I- Brnker 675-497754	T Rowe Price Growth	13.444	3/30/2009	7/28/2009	314 05	260 01	54 04
I- Brnker 675-497754	Principal Investors	297 758	3/30/2009	7/28/2009	2,152.79	1,810 25	342.54
I- Brnker 675-497754	Principal Investors	0 095	4/1/2009	7/28/2009	0 69	0 58	0 11
I- Brnker 675-497754	Principal Investors	2 844	5/1/2009	7/28/2009	20 56	17 29	3.27
I- Brnker 675-497754	Principal Investors	2 891	6/1/2009	7/28/2009	20 90	17.58	3 32
I- Brnker 675-497754	Principal Investors	3 009	7/1/2009	7/28/2009	21 76	18.29	3 47
I- Brnker 675-497754	SSGA Emerging Markets	249.799	3/30/2009	7/28/2009	4,099 20	2,925 15	1,174 05
I- Brnker 675-497754	TCW Dividend	208.951	3/30/2009	7/28/2009	1,663.25	1,335 97	327.28
I- Brnker 675-497754	Turner Midcap Growth	15 357	3/30/2009	7/28/2009	354.91	281 49	73 42
I- Brnker 675-497754	CRM Mid Cap Value Investor...	17 774	3/30/2009	7/28/2009	378 77	317 80	60 97
I- Brnker 675-497754	Fidelity Municipal Money Mar	191 730	3/31/2009	7/31/2009	191 73	191 73	0.00
I- Brnker 675-497754	Fidelity Municipal Money Mar...	603 930	3/31/2009	10/31/2009	603 93	603.93	0 00
I- Brnker 675-497754	Aston/River RD Small	56 372	3/30/2009	11/27/2009	591 34	466 76	124 58
I- Brnker 675-497754	Alger Small Capital Class A	209 308	3/30/2009	11/27/2009	1,167 94	845 60	322 34
I- Brnker 675-497754	Dreyfus Bond Market	126 092	3/30/2009	11/27/2009	1,322 71	1,275 21	47 50
I- Brnker 675-497754	Dreyfus Greater China CL A	1 572	7/28/2009	11/27/2009	70.64	64 22	6.42
I- Brnker 675-497754	Federated Intermcd Corp Bo ..	24 859	3/30/2009	11/27/2009	245 86	221.39	24.47
I- Brnker 675-497754	Spartan US Equity Indx	16.640	3/30/2009	11/27/2009	655 62	486.81	168 81
I- Brnker 675-497754	JP Morgan Highbldg Slat	54.900	3/30/2009	11/27/2009	875 11	884 60	-9 49
I- Brnker 675-497754	JP Morgan High Yield Bond F	13 024	7/28/2009	11/27/2009	98.98	91 65	7 33
I- Brnker 675-497754	TCW Dividend	5 770	3/30/2009	11/27/2009	51.47	36 96	14 51
I- Brnker 675-497754	TCW Total Return Bond Class	78 592	3/30/2009	11/27/2009	835 43	750 39	85.04
I- Brnker 675-497754	Turner Midcap Growth	15 433	3/30/2009	11/27/2009	402 04	282 89	119.15
I- Brnker 675-497754	Victory Diversified	29.560	3/30/2009	11/27/2009	404 67	314 05	90.62
I- Brnker 675-497754	CRM Mid Cap Value Investor	16 027	3/30/2009	11/27/2009	375 52	286 56	88 96
I- Brnker 675-497754	Fidelity Cash Reserves	70 950	11/25/2009	11/30/2009	70 95	70 95	0 00
I- Brnker 675-497754	Fidelity Municipal Money Mar	2,346 960	3/31/2009	11/30/2009	2,346 96	2,346.96	0.00

Capital Gains - Last year

1/1/2009 through 12/31/2009

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L..
I- Brnker 675-497754	Fidelity Municipal Money Mar	92.640	5/31/2009	11/30/2009	92.64	92.64	0.00
I- Brnker 675-497754	Fidelity Municipal Money Mar	0.570	6/30/2009	11/30/2009	0.57	0.57	0.00
I- Brnker 675-497754	Fidelity Municipal Money Mar	0.240	8/31/2009	11/30/2009	0.24	0.24	0.00
I- Brnker 675-497754	Fidelity Municipal Money Mar	0.070	9/30/2009	11/30/2009	0.07	0.07	0.00
I- Brnker 675-497754	TCW Total Return Bond Class	2,119.468	3/30/2009	12/9/2009	22,441.35	20,250.41	2,190.94
I- Brnker 675-497754	TCW Total Return Bond Class	20.862	4/1/2009	12/9/2009	220.89	199.33	21.56
I- Brnker 675-497754	TCW Total Return Bond Class	20.819	5/1/2009	12/9/2009	220.44	198.91	21.53
I- Brnker 675-497754	TCW Total Return Bond Class	21.075	6/1/2009	12/9/2009	223.15	201.36	21.79
I- Brnker 675-497754	TCW Total Return Bond Class	21.166	7/1/2009	12/9/2009	224.11	202.23	21.88
I- Brnker 675-497754	TCW Total Return Bond Class	141.331	7/28/2009	12/9/2009	1,496.44	1,350.34	146.10
I- Brnker 675-497754	TCW Total Return Bond Class	19.138	8/1/2009	12/9/2009	202.64	182.85	19.79
I- Brnker 675-497754	TCW Total Return Bond Class	18.360	9/1/2009	12/9/2009	194.40	175.42	18.98
I- Brnker 675-497754	TCW Total Return Bond Class	17.122	10/1/2009	12/9/2009	181.29	163.59	17.70
I- Brnker 675-497754	TCW Total Return Bond Class	15.869	11/2/2009	12/9/2009	168.02	151.62	16.40
I- Brnker 675-497754	TCW Total Return Bond Class	15.395	12/1/2009	12/9/2009	163.01	147.09	15.92
I- Brnker 675-497754	Fidelity Cash Reserves	4.380	11/25/2009	12/10/2009	4.38	4.38	0.00
I- Brnker 675-497754	Fidelity Cash Reserves	598.420	11/25/2009	12/23/2009	598.42	598.42	0.00
I- Brnker 675-497754	Aston/River RD Small	74.635	3/30/2009	12/24/2009	805.31	617.98	187.33
I- Brnker 675-497754	Alger Small Capital Class A	171.247	3/30/2009	12/24/2009	1,018.92	691.84	327.08
I- Brnker 675-497754	Blackrock Inflation	105.590	3/30/2009	12/24/2009	1,129.81	1,100.96	28.85
I- Brnker 675-497754	William Blair Int'l Growth	123.610	3/30/2009	12/24/2009	2,267.01	1,500.64	766.37
I- Brnker 675-497754	DWS RREF Global Real	89.550	3/30/2009	12/24/2009	597.30	404.74	192.56
I- Brnker 675-497754	Delaware Value FD CL	290.288	3/30/2009	12/24/2009	2,638.72	2,099.66	539.06
I- Brnker 675-497754	Direxion Commodity	10.426	3/30/2009	12/24/2009	287.65	329.64	-41.99
I- Brnker 675-497754	Dreyfus Bond Market	792.150	3/30/2009	12/24/2009	8,190.83	8,180.48	10.35
I- Brnker 675-497754	Dreyfus Greater China CL A	11.057	7/28/2009	12/24/2009	494.45	451.68	42.77
I- Brnker 675-497754	Dreyfus Internatl Stock Index	100.141	3/30/2009	12/24/2009	1,454.05	1,049.04	405.01
I- Brnker 675-497754	Federated Intermcd Corp Bo	271.215	3/30/2009	12/24/2009	2,674.18	2,416.51	257.67
I- Brnker 675-497754	Spartan US Equity Indx	36.614	3/30/2009	12/24/2009	1,450.29	1,072.99	377.30
I- Brnker 675-497754	Listed Private Equity Class A	164.293	3/30/2009	12/24/2009	755.75	544.19	211.56
I- Brnker 675-497754	Janus Forty Class S	93.720	3/30/2009	12/24/2009	2,936.24	2,078.89	857.35
I- Brnker 675-497754	JP Morgan Highbdg Stat	54.872	3/30/2009	12/24/2009	866.98	884.15	-17.17
I- Brnker 675-497754	JP Morgan High Yield Bond F	101.983	7/28/2009	12/24/2009	790.37	717.99	72.38
I- Brnker 675-497754	Lazard Emerging Mkts	61.269	7/28/2009	12/24/2009	1,128.58	962.39	166.19
I- Brnker 675-497754	Leuthold Asset Allocation Fd	195.130	3/30/2009	12/24/2009	1,904.47	1,380.89	523.58
I- Brnker 675-497754	MFS International Value Fun..	92.856	3/30/2009	12/24/2009	2,118.04	1,511.67	606.37
I- Brnker 675-497754	Merger Fund	121.192	3/30/2009	12/24/2009	1,884.54	1,819.69	64.85
I- Brnker 675-497754	Pimco Developing Local Mar	82.089	3/30/2009	12/24/2009	817.61	719.08	98.53
I- Brnker 675-497754	T Rowe Price Growth	114.288	3/30/2009	12/24/2009	3,120.06	2,210.33	909.73
I- Brnker 675-497754	Rivemorth Core	223.335	3/30/2009	12/24/2009	2,470.08	1,720.19	749.89
I- Brnker 675-497754	TCW Dividend	319.291	3/30/2009	12/24/2009	2,908.74	2,045.15	863.59
I- Brnker 675-497754	Turner Midcap Growth	96.545	3/30/2009	12/24/2009	2,694.58	1,769.67	924.91
I- Brnker 675-497754	Victory Diversified	110.643	3/30/2009	12/24/2009	1,553.43	1,175.97	377.46

Capital Gains - Last year
1/1/2009 through 12/31/2009

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
I- Bnker 675-497754	CRM Mid Cap Value Investor	86,558	3/30/2009	12/24/2009	2,082.58	1,549.11	533.47
I- Bnker 675-497754	Wasatch International Opport.	351,896	3/30/2009	12/24/2009	746.02	420.56	325.46
TOTAL SHORT TERM					227,463.94	268,081.98	-40,618.04

LONG TERM

I- Sig 14893 Household	Prime Fund - Capital Reserves	0.130	4/30/2007	5/5/2009	0.13	0.13	0.00
I- Sig 14893 Household	Prime Fund - Capital Reserves	0.220	5/31/2007	5/5/2009	0.22	0.22	0.00
I- Sig 14893 Household	Prime Fund - Capital Reserves	0.210	6/30/2007	5/5/2009	0.21	0.21	0.00
I- Sig 14893 Household	Prime Fund - Capital Reserves	0.220	7/31/2007	5/5/2009	0.22	0.22	0.00
I- Sig 14893 Household	Prime Fund - Capital Reserves	0.220	8/31/2007	5/5/2009	0.22	0.22	0.00
I- Sig 14893 Household	Prime Fund - Capital Reserves	0.220	9/28/2007	5/5/2009	0.22	0.22	0.00
I- Sig 14893 Household	Allianz Societas Europaea SE	20,000	3/5/2007	1/15/2009	193.49	430.20	-236.71
I- Sig 14940 Alliance Bern	Onx Corp	2,000	5/25/2007	1/15/2009	53.90	266.87	-212.97
I- Sig 14940 Alliance Bern	Onx Corp	11,000	7/2/2007	1/15/2009	296.47	1,428.15	-1,131.68
I- Sig 14940 Alliance Bern	Onx Corp	7,000	7/30/2007	1/15/2009	188.66	877.23	-688.57
I- Sig 14940 Alliance Bern	Sharp Corp Adr Isin	60,000	3/5/2007	1/15/2009	533.99	1,122.00	-588.01
I- Sig 14940 Alliance Bern	Sharp Corp Adr Isin	12,000	6/19/2007	1/15/2009	106.80	232.80	-126.00
I- Sig 14940 Alliance Bern	Societe Generale Adr	40,000	3/5/2007	1/15/2009	387.19	1,344.00	-956.81
I- Sig 14940 Alliance Bern	Hbos Plc Spon Adr	178,350	3/5/2007	1/22/2009	3,758.40	3,758.40	0.00
I- Sig 14940 Alliance Bern	Hbos Plc Spon Adr	20,500	6/19/2007	1/22/2009	411.00	411.00	0.00
I- Sig 14940 Alliance Bern	Hbos Plc Spon Adr	53,300	10/30/2007	1/22/2009	907.40	907.40	0.00
I- Sig 14940 Alliance Bern	Koninklijke Ahold NV ADR	14,000	7/30/2007	2/5/2009	162.96	235.77	-72.81
I- Sig 14940 Alliance Bern	Koninklijke Ahold NV ADR	27,000	9/10/2007	2/5/2009	314.27	369.50	-55.23
I- Sig 14940 Alliance Bern	Koninklijke Ahold NV ADR	9,000	1/18/2007	2/5/2009	104.76	130.77	-26.01
I- Sig 14940 Alliance Bern	Nissan Mtr Ltd	29,000	5/25/2007	2/5/2009	170.22	638.79	-468.57
I- Sig 14940 Alliance Bern	Royal Bank of Scotland Grp	8,700	1/18/2007	2/5/2009	51.49	1,734.31	-1,682.82
I- Sig 14940 Alliance Bern	Royal Bank of Scotland Grp	8,300	12/7/2007	2/5/2009	49.13	1,530.20	-1,481.07
I- Sig 14940 Alliance Bern	Arcelormittal Sa Luxembourg	6,000	3/5/2007	2/19/2009	153.95	301.14	-147.19
I- Sig 14940 Alliance Bern	BHP Billiton PLC	13,000	6/15/2007	2/19/2009	478.94	672.22	-193.28
I- Sig 14940 Alliance Bern	Honda Motors Ltd	15,000	3/5/2007	2/19/2009	362.46	557.85	-195.39
I- Sig 14940 Alliance Bern	Sumitomo Mitsui Finl Group Inc	121,000	3/5/2007	2/19/2009	436.80	1,191.85	-755.05
I- Sig 14940 Alliance Bern	Deutsche Bank	10,000	7/30/2007	3/5/2009	247.73	1,396.41	-1,148.68
I- Sig 14940 Alliance Bern	Deutsche Bank	1,000	12/12/2007	3/5/2009	24.77	131.81	-107.04
I- Sig 14940 Alliance Bern	Basf Ag Spons Adr	19,000	3/5/2007	3/5/2009	501.78	963.21	-461.43
I- Sig 14940 Alliance Bern	BHP Billiton PLC	5,000	6/15/2007	3/5/2009	145.04	258.54	-113.50
I- Sig 14940 Alliance Bern	Barclays Plc Adr	18,000	3/5/2007	3/5/2009	90.35	1,050.30	-959.95
I- Sig 14940 Alliance Bern	Companhia Vale Do Rio Dos	21,000	1/18/2008	3/5/2009	220.70	567.37	-346.67
I- Sig 14940 Alliance Bern	Stora Enso Corp Spon ADR	75,000	9/21/2007	3/5/2009	294.74	1,358.23	-1,063.49
I- Sig 14940 Alliance Bern	Stora Enso Corp Spon ADR	2,000	1/18/2007	3/5/2009	7.86	34.63	-26.77
I- Sig 14940 Alliance Bern	Deutsche Bank	10,000	12/12/2007	3/11/2009	232.40	1,318.10	-1,085.70
I- Sig 14940 Alliance Bern	Deutsche Bank	3,000	1/18/2008	3/11/2009	69.72	367.08	-297.36
I- Sig 14940 Alliance Bern	Allianz Societas Europaea SE	127,000	3/5/2007	3/11/2009	761.99	2,731.76	-1,969.77
I- Sig 14940 Alliance Bern	Allianz Societas Europaea SE	35,000	3/28/2007	3/11/2009	210.00	728.82	-518.82

Capital Gains - Last year
1/1/2009 through 12/31/2009

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L.
I - Sig 14940 Alliance Bern	Allianz Societas Europaea SE	38 000	5/25/2007	3/11/2009	228.00	834.51	-606.51
I - Sig 14940 Alliance Bern	Arcelormittal Sa Luxembourg	25.000	3/5/2007	3/11/2009	448.24	1,254.75	-806.51
I - Sig 14940 Alliance Bern	Basf Ag Spons ADR	31.000	3/5/2007	3/11/2009	805.37	1,571.55	-766.18
I - Sig 14940 Alliance Bern	BNP Paribas Sponsored	60 000	3/5/2007	3/11/2009	807.59	3,135.00	-2,327.41
I - Sig 14940 Alliance Bern	BNP Paribas Sponsored	6 000	6/19/2007	3/11/2009	80.76	364.20	-283.44
I - Sig 14940 Alliance Bern	Barclays Plc ADR	21 000	3/5/2007	3/11/2009	71.82	1,225.35	-1,153.53
I - Sig 14940 Alliance Bern	Barclays Plc ADR	14 000	9/5/2007	3/11/2009	47.88	660.52	-612.64
I - Sig 14940 Alliance Bern	Barclays Plc ADR	17 000	10/30/2007	3/11/2009	58.14	811.24	-753.10
I - Sig 14940 Alliance Bern	Companhia Vale Do Rio Dos	26 000	1/18/2008	3/11/2009	289.11	702.46	-413.35
I - Sig 14940 Alliance Bern	Credit Suisse Grp	51 000	3/5/2007	3/11/2009	1,030.19	3,498.98	-2,468.79
I - Sig 14940 Alliance Bern	ENI Spa	27 000	3/5/2007	3/11/2009	834.83	1,653.21	-818.38
I - Sig 14940 Alliance Bern	E on Ag Spon ADR	42 000	3/5/2007	3/11/2009	1,056.71	1,831.20	-774.49
I - Sig 14940 Alliance Bern	France Telecom	20 000	6/15/2007	3/11/2009	436.99	566.49	-129.50
I - Sig 14940 Alliance Bern	GlaxoSmithKline PLC	19 000	7/2/2007	3/11/2009	542.26	1,001.62	-459.36
I - Sig 14940 Alliance Bern	GlaxoSmithKline PLC	13 000	10/30/2007	3/11/2009	371.02	657.93	-286.91
I - Sig 14940 Alliance Bern	Honda Motors Ltd	8 000	3/5/2007	3/11/2009	169.52	297.52	-128.00
I - Sig 14940 Alliance Bern	Honda Motors Ltd	18 000	10/30/2007	3/11/2009	381.41	600.12	-218.71
I - Sig 14940 Alliance Bern	ING Group NV ADR	56 000	3/5/2007	3/11/2009	173.59	2,383.92	-2,210.33
I - Sig 14940 Alliance Bern	ING Group NV ADR	10 000	6/19/2007	3/11/2009	31.00	434.20	-403.20
I - Sig 14940 Alliance Bern	ING Group NV ADR	13 000	12/12/2007	3/11/2009	40.30	514.54	-474.24
I - Sig 14940 Alliance Bern	China Petroleum & Chem Corp	10 000	3/28/2007	3/11/2009	471.59	832.49	-360.90
I - Sig 14940 Alliance Bern	Kookmin BK	19 000	4/18/2007	3/11/2009	319.00	1,805.95	-1,486.95
I - Sig 14940 Alliance Bern	Koninklijke Ahold NV ADR	36 000	11/8/2007	3/11/2009	372.23	523.08	-150.85
I - Sig 14940 Alliance Bern	Lloyds Tsb Group Plc	26.970	3/5/2007	3/11/2009	61.76	3,757.82	-3,696.06
I - Sig 14940 Alliance Bern	Lloyds Tsb Group Plc	3.100	6/19/2007	3/11/2009	7.10	411.00	-403.90
I - Sig 14940 Alliance Bern	Lloyds Tsb Group Plc	8 060	10/30/2007	3/11/2009	18.46	907.40	-888.94
I - Sig 14940 Alliance Bern	Mitsubishi Corp ADR Isin	26 000	3/5/2007	3/11/2009	584.99	1,214.20	-629.21
I - Sig 14940 Alliance Bern	Mitsubishi Corp ADR Isin	7 000	12/12/2007	3/11/2009	157.50	394.52	-237.02
I - Sig 14940 Alliance Bern	Nippon Telegraph & Telepho	56 000	3/5/2007	3/11/2009	1,062.31	1,477.84	-415.53
I - Sig 14940 Alliance Bern	Nissan Mtr Ltd	20 000	5/25/2007	3/11/2009	128.60	440.55	-311.95
I - Sig 14940 Alliance Bern	Nissan Mtr Ltd	46 000	10/30/2007	3/11/2009	295.78	894.70	-598.92
I - Sig 14940 Alliance Bern	Nissan Mtr Ltd	9 000	12/7/2007	3/11/2009	57.87	202.14	-144.27
I - Sig 14940 Alliance Bern	Nissan Mtr Ltd	9 000	12/12/2007	3/11/2009	57.87	204.75	-146.88
I - Sig 14940 Alliance Bern	Nissan Mtr Ltd	19 000	1/18/2008	3/11/2009	122.17	368.08	-245.91
I - Sig 14940 Alliance Bern	Lukoil Oil Co Spon ADR	13 000	11/8/2007	3/11/2009	431.72	1,196.00	-764.28
I - Sig 14940 Alliance Bern	Petro Canada	14 000	2/21/2008	3/11/2009	281.82	638.37	-356.55
I - Sig 14940 Alliance Bern	RWE AG Spons ADR	2 000	6/15/2007	3/11/2009	121.32	212.30	-90.98
I - Sig 14940 Alliance Bern	RWE AG Spons ADR	5 000	10/30/2007	3/11/2009	303.29	687.25	-383.96
I - Sig 14940 Alliance Bern	Royal Dutch Shell PLC Spon	5 000	9/10/2007	3/11/2009	206.00	396.28	-190.28
I - Sig 14940 Alliance Bern	Royal Dutch Shell PLC Spon	9 000	10/2/2007	3/11/2009	370.80	741.74	-370.94
I - Sig 14940 Alliance Bern	Royal Dutch Shell PLC Spon	15 000	11/8/2007	3/11/2009	617.99	1,273.67	-655.68
I - Sig 14940 Alliance Bern	Royal Dutch Shell PLC Spon	5 000	11/19/2007	3/11/2009	206.00	407.00	-201.00
I - Sig 14940 Alliance Bern	Sanofi-Aventis Sponsored ADR	61 000	3/5/2007	3/11/2009	1,515.84	2,585.78	-1,069.94

Capital Gains - Last year
1/1/2009 through 12/31/2009

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
I - Sig 14940 Alliance Bern	Sharp Corp ADR Isin	2 000	6/19/2007	3/11/2009	14.82	38.80	-23.98
I - Sig 14940 Alliance Bern	Sharp Corp ADR Isin	83 000	10/30/2007	3/11/2009	615.02	1,307.25	-692.23
I - Sig 14940 Alliance Bern	Societe Generale ADR	50 000	3/5/2007	3/11/2009	242.49	1,680.00	-1,437.51
I - Sig 14940 Alliance Bern	Societe Generale ADR	12 000	6/19/2007	3/11/2009	58.20	464.40	-406.20
I - Sig 14940 Alliance Bern	Societe Generale ADR	16 000	10/30/2007	3/11/2009	77.60	514.40	-436.80
I - Sig 14940 Alliance Bern	Societe Generale ADR	20 000	12/12/2007	3/11/2009	97.00	624.00	-527.00
I - Sig 14940 Alliance Bern	Sony Corp ADR	27 000	8/8/2007	3/11/2009	454.94	1,370.46	-915.52
I - Sig 14940 Alliance Bern	Statoil Hydro ASA Spon ADR	13 000	1/16/2008	3/11/2009	207.74	373.92	-166.18
I - Sig 14940 Alliance Bern	Statoil Hydro ASA Spon ADR	7 000	1/18/2008	3/11/2009	111.86	200.35	-88.49
I - Sig 14940 Alliance Bern	Stora Enso Corp Spon ADR	14,000	11/8/2007	3/11/2009	50.96	242.44	-191.48
I - Sig 14940 Alliance Bern	Stora Enso Corp Spon ADR	33 000	12/12/2007	3/11/2009	120.11	529.32	-409.21
I - Sig 14940 Alliance Bern	Stora Enso Corp Spon ADR	10,000	1/18/2008	3/11/2009	36.40	144.00	-107.60
I - Sig 14940 Alliance Bern	Sumitomo Mitsui Finl Group Inc	121 000	3/5/2007	3/11/2009	331.53	1,191.85	-860.32
I - Sig 14940 Alliance Bern	Sumitomo Mitsui Finl Group Inc	104 000	10/30/2007	3/11/2009	284.96	750.88	-465.92
I - Sig 14940 Alliance Bern	Total S A Spon ADR	9 000	3/5/2007	3/11/2009	405.72	606.87	-201.15
I - Sig 14940 Alliance Bern	Toyota Mtrs Corp	10,000	3/5/2007	3/11/2009	573.19	1,328.70	-755.51
I - Sig 14940 Alliance Bern	United Microelectronics Corp	116 485	10/9/2007	3/11/2009	203.85	499.52	-295.67
I - Sig 14940 Alliance Bern	United Microelectronics Corp	174 515	10/30/2007	3/11/2009	305.40	624.56	-319.16
I - Sig 14940 Alliance Bern	Vodafone Group Plc New Sp	81 000	3/5/2007	3/11/2009	1,329.20	2,250.98	-921.78
I - Sig 14940 Alliance Bern	Vodafone Group Plc New Sp.	16 000	10/30/2007	3/11/2009	262.56	620.80	-358.24
I - Sig 14974 Transamerica	CME Group Inc	2 000	3/2/2007	1/12/2009	394.97	1,054.08	-659.11
I - Sig 14974 Transamerica	American Express Co	14 000	3/2/2007	1/12/2009	210.70	795.20	-584.50
I - Sig 14974 Transamerica	CME Group Inc	2 000	3/2/2007	2/6/2009	347.17	1,054.08	-706.91
I - Sig 14974 Transamerica	Tyco Electronics Ltd	8 000	7/9/2007	2/24/2009	94.51	317.92	-223.41
I - Sig 14974 Transamerica	Tyco Electronics Ltd	19 000	7/18/2007	2/24/2009	224.46	744.21	-519.75
I - Sig 14974 Transamerica	Tyco Electronics Ltd	11 000	7/31/2007	2/24/2009	129.95	419.33	-289.38
I - Sig 14974 Transamerica	Allergan Inc	6 000	3/2/2007	2/24/2009	247.05	337.89	-90.84
I - Sig 14974 Transamerica	American Express Co	10 000	3/2/2007	2/24/2009	128.93	568.00	-439.07
I - Sig 14974 Transamerica	CME Group Inc	1 000	3/2/2007	2/24/2009	184.25	527.04	-342.79
I - Sig 14974 Transamerica	Jacobs Engr Group Inc	2 000	3/2/2007	2/24/2009	71.83	91.64	-19.81
I - Sig 14974 Transamerica	Praxair Inc	12 000	3/2/2007	2/24/2009	767.65	753.84	13.81
I - Sig 14974 Transamerica	Daimlerchrysler AG Ord ISIN	9,000	8/16/2007	2/26/2009	218.52	766.18	-547.66
I - Sig 14974 Transamerica	Daimlerchrysler AG Ord ISIN	4,000	12/19/2007	2/26/2009	97.12	381.52	-284.40
I - Sig 14974 Transamerica	Daimlerchrysler AG Ord ISIN	6 000	2/6/2008	2/26/2009	145.68	476.05	-330.37
I - Sig 14974 Transamerica	Tyco Electronics Ltd	6 000	7/31/2007	2/26/2009	62.64	228.72	-166.08
I - Sig 14974 Transamerica	Tyco Electronics Ltd	14 000	8/29/2007	2/26/2009	146.15	500.96	-354.81
I - Sig 14974 Transamerica	Allergan Inc	16 000	3/2/2007	2/26/2009	640.12	901.04	-260.92
I - Sig 14974 Transamerica	Tyco Electronics Ltd	9 000	8/29/2007	3/6/2009	80.41	322.04	-241.63
I - Sig 14974 Transamerica	Tyco Electronics Ltd	11 000	9/7/2007	3/6/2009	98.28	383.46	-285.18
I - Sig 14974 Transamerica	Tyco Electronics Ltd	3 000	12/6/2007	3/6/2009	26.80	112.50	-85.70
I - Sig 14974 Transamerica	Boeing Co	3 000	7/13/2007	3/6/2009	88.11	300.94	-212.83
I - Sig 14974 Transamerica	Boeing Co	4 000	7/19/2007	3/6/2009	117.48	406.79	-289.31
I - Sig 14974 Transamerica	Caterpillar Inc	13 000	3/2/2007	3/6/2009	295.13	852.28	-557.15

Capital Gains - Last year
1/1/2009 through 12/31/2009

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
I - Sig 14974 Transamenca	Expeditors Intl Wash Inc	23 000	3/2/2007	3/6/2009	588.64	1,047.88	-459.24
I - Sig 14974 Transamenca	Jacobs Engr Group Inc	16 000	3/2/2007	3/6/2009	503.89	733.12	-229.23
I - Sig 14974 Transamenca	Johnson Ctls Inc	8 000	7/19/2007	3/6/2009	84.81	342.55	-257.74
I - Sig 14974 Transamenca	Johnson Ctls Inc	18 000	7/31/2007	3/6/2009	190.81	676.79	-485.98
I - Sig 14974 Transamenca	Johnson Ctls Inc	17 000	8/16/2007	3/6/2009	180.21	652.35	-472.14
I - Sig 14974 Transamenca	Paccar Inc	9 000	5/4/2007	3/6/2009	209.70	510.97	-301.27
I - Sig 14974 Transamenca	Paccar Inc	4 000	7/19/2007	3/6/2009	93.20	251.68	-158.48
I - Sig 14974 Transamenca	Praxair Inc	4 000	3/2/2007	3/6/2009	217.88	251.28	-33.40
I - Sig 14974 Transamenca	T Rowe Price Group	7 000	3/3/2008	3/6/2009	150.29	382.94	-232.65
I - Sig 14974 Transamenca	Varian Medical Sys Inc	26 000	3/2/2007	3/6/2009	761.20	1,238.12	-476.92
I - Sig 14974 Transamenca	Tyco Electronics Ltd	7 000	12/6/2007	3/6/2009	56.56	262.49	-205.93
I - Sig 14974 Transamenca	AT & T Inc	17 000	4/2/2007	3/10/2009	384.03	667.11	-283.08
I - Sig 14974 Transamenca	AT & T Inc	33 000	8/29/2007	3/10/2009	745.47	1,333.86	-588.39
I - Sig 14974 Transamenca	AT & T Inc	5 000	11/2/2007	3/10/2009	112.95	207.35	-94.40
I - Sig 14974 Transamenca	Allergan Inc	14 000	3/2/2007	3/10/2009	503.01	788.41	-285.40
I - Sig 14974 Transamenca	Apple Inc	27 000	3/2/2007	3/10/2009	2,417.57	2,315.52	102.05
I - Sig 14974 Transamenca	Becton Dickinson Co	12 000	2/12/2008	3/10/2009	766.91	1,048.43	-281.52
I - Sig 14974 Transamenca	Boeing Co	3 000	7/19/2007	3/10/2009	87.96	305.09	-217.13
I - Sig 14974 Transamenca	Boeing Co	12 000	8/16/2007	3/10/2009	351.83	1,204.86	-853.03
I - Sig 14974 Transamenca	Caterpillar Inc	17 000	3/2/2007	3/10/2009	399.83	1,114.52	-714.69
I - Sig 14974 Transamenca	Ecolab Inc	13 000	12/6/2007	3/10/2009	391.82	632.08	-240.26
I - Sig 14974 Transamenca	Ecolab Inc	11 000	12/19/2007	3/10/2009	331.54	567.10	-235.56
I - Sig 14974 Transamenca	Ecolab Inc	18 000	3/3/2008	3/10/2009	542.52	876.71	-334.19
I - Sig 14974 Transamenca	Expeditors Intl Wash Inc	38 000	3/2/2007	3/10/2009	944.67	1,731.28	-786.61
I - Sig 14974 Transamenca	General Electric Co	80 000	3/2/2007	3/10/2009	542.39	2,817.60	-2,275.21
I - Sig 14974 Transamenca	General Electric Co	12 000	12/19/2007	3/10/2009	81.36	449.16	-367.80
I - Sig 14974 Transamenca	Gilead Sciences Inc	32 000	5/4/2007	3/10/2009	1,409.91	1,319.12	90.79
I - Sig 14974 Transamenca	Gilead Sciences Inc	37 000	12/6/2007	3/10/2009	1,630.21	1,720.45	-90.24
I - Sig 14974 Transamenca	Google Inc	5 000	3/2/2007	3/10/2009	1,528.74	2,279.30	-750.56
I - Sig 14974 Transamenca	Google Inc	2 000	12/6/2007	3/10/2009	611.50	1,387.98	-776.48
I - Sig 14974 Transamenca	Jacobs Engr Group Inc	32 000	3/2/2007	3/10/2009	1,047.67	1,466.24	-418.57
I - Sig 14974 Transamenca	Johnson Ctls Inc	16 000	8/16/2007	3/10/2009	159.20	613.97	-454.77
I - Sig 14974 Transamenca	Johnson Ctls Inc	18 000	9/7/2007	3/10/2009	179.10	679.66	-500.56
I - Sig 14974 Transamenca	Johnson Ctls Inc	15 000	12/6/2007	3/10/2009	149.25	569.35	-420.10
I - Sig 14974 Transamenca	Paccar Inc	23 000	7/19/2007	3/10/2009	517.50	1,447.19	-929.69
I - Sig 14974 Transamenca	Paccar Inc	15 000	12/6/2007	3/10/2009	337.50	759.65	-422.15
I - Sig 14974 Transamenca	Paccar Inc	6 000	12/19/2007	3/10/2009	135.00	323.90	-188.90
I - Sig 14974 Transamenca	Praxair Inc	47 000	3/2/2007	3/10/2009	2,583.10	2,952.54	-369.44
I - Sig 14974 Transamenca	T Rowe Price Group	3 000	3/3/2008	3/10/2009	63.69	164.12	-100.43
I - Sig 14974 Transamenca	Qualcomm Inc	60 000	3/2/2007	3/10/2009	2,105.99	2,483.40	-377.41
I - Sig 14974 Transamenca	Raytheon Co Com New	23 000	9/25/2007	3/10/2009	826.84	1,452.82	-625.98
I - Sig 14974 Transamenca	Raytheon Co Com New	13 000	12/6/2007	3/10/2009	467.35	805.99	-338.64
I - Sig 14974 Transamenca	Raytheon Co Com New	11 000	12/19/2007	3/10/2009	395.45	682.49	-287.04

Capital Gains - Last year

1/1/2009 through 12/31/2009

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
I-Sig 14974 Transamerica	Raytheon Co Com New	9 000	3/3/2008	3/10/2009	323 55	604 15	-280 60
I-Sig 14974 Transamerica	Sigma Aldnch Corp	21 000	12/19/2007	3/10/2009	690 26	1,121 50	-431 24
I-Sig 14974 Transamerica	Sigma Aldnch Corp	10 000	2/12/2008	3/10/2009	328 70	496 77	-168 07
I-Sig 14974 Transamerica	Sigma Aldnch Corp	6 000	3/3/2008	3/10/2009	197 22	343 38	-146 16
I-Sig 14974 Transamerica	Union Pacific Corp	8 000	3/3/2008	3/10/2009	280 08	511 63	-231 55
I-Sig 14974 Transamerica	Vanian Medical Sys Inc	5 000	3/2/2007	3/10/2009	139 70	238 10	-98 40
I-Sig 14974 Transamerica	Vanian Medical Sys Inc	10 000	4/5/2007	3/10/2009	279 40	476 50	-197 10
I-Sig 14974 Transamerica	Vanian Medical Sys Inc	6 000	12/19/2007	3/10/2009	167 64	308 90	-141 26
I-Sig 14990 Fed Strat Val	Reynolds Amer Inc	34 000	3/1/2007	1/8/2009	1,366 40	2,137 58	-771 18
I-Sig 14990 Fed Strat Val	Gallagher Arthur J & Co	36 000	3/1/2007	2/10/2009	673 25	1,045 44	-372 19
I-Sig 14990 Fed Strat Val	Pfizer Inc	124 000	3/1/2007	2/10/2009	1,805 43	3,201 16	-1,395 73
I-Sig 14990 Fed Strat Val	Pfizer Inc	18 000	4/2/2007	2/10/2009	262 08	454 72	-192 64
I-Sig 14990 Fed Strat Val	Pfizer Inc	9 000	8/28/2007	2/10/2009	131 04	220 95	-89 91
I-Sig 14990 Fed Strat Val	US Bancorp Del Com New	8 000	3/1/2007	2/10/2009	119 05	291 44	-172 39
I-Sig 14990 Fed Strat Val	US Bancorp Del Com New	14 000	4/2/2007	2/10/2009	208 34	489 27	-280 93
I-Sig 14990 Fed Strat Val	US Bancorp Del Com New	14 000	5/11/2007	2/10/2009	208 34	484 40	-276 06
I-Sig 14990 Fed Strat Val	US Bancorp Del Com New	9 000	8/28/2007	2/10/2009	133 94	295 02	-161 08
I-Sig 14990 Fed Strat Val	Windstream Corp	139 000	3/1/2007	3/6/2009	893 77	2,147 55	-1,253 78
I-Sig 14990 Fed Strat Val	Altna Group Inc	28 000	4/27/2007	3/10/2009	438 20	315 75	122 45
I-Sig 14990 Fed Strat Val	Altna Group Inc	13 000	5/29/2007	3/10/2009	203 45	797 42	-593 97
I-Sig 14990 Fed Strat Val	BP Plc	25 000	3/1/2007	3/10/2009	863 49	1,596 50	-733 01
I-Sig 14990 Fed Strat Val	BP Plc	15 000	3/6/2007	3/10/2009	518 10	920 06	-401 96
I-Sig 14990 Fed Strat Val	BP Plc	5 000	2/19/2008	3/10/2009	172 70	329 28	-156 58
I-Sig 14990 Fed Strat Val	Bristol Myers Squibb	56 000	10/9/2007	3/10/2009	992 31	1,660 16	-667 85
I-Sig 14990 Fed Strat Val	Bristol Myers Squibb	39 000	10/24/2007	3/10/2009	691 07	1,156 35	-465 28
I-Sig 14990 Fed Strat Val	Bristol Myers Squibb	17 000	2/19/2008	3/10/2009	301 24	401 95	-100 71
I-Sig 14990 Fed Strat Val	Deutsche Telekom	159 000	3/1/2007	3/10/2009	1,838 02	2,931 94	-1,093 92
I-Sig 14990 Fed Strat Val	DUKE ENERGY CORP NEW	99 000	1/8/2007	3/10/2009	1,194 92	1,906 11	-711 19
I-Sig 14990 Fed Strat Val	DUKE ENERGY CORP NEW	25 000	11/20/2007	3/10/2009	301 75	484 75	-183 00
I-Sig 14990 Fed Strat Val	ENI Spa	46 000	3/15/2007	3/10/2009	1,499 13	2,850 41	-1,351 28
I-Sig 14990 Fed Strat Val	France Telecom	50 000	5/30/2007	3/10/2009	1,071 49	1,508 51	-437 02
I-Sig 14990 Fed Strat Val	Frontier Communications Corp	64 000	7/6/2007	3/10/2009	382 72	984 86	-602 14
I-Sig 14990 Fed Strat Val	Frontier Communications Corp	36 000	7/26/2007	3/10/2009	215 28	548 70	-333 42
I-Sig 14990 Fed Strat Val	Frontier Communications Corp	35 000	8/28/2007	3/10/2009	209 30	490 38	-281 08
I-Sig 14990 Fed Strat Val	Heinz H J Co	27 000	10/24/2007	3/10/2009	843 74	1,249 16	-405 42
I-Sig 14990 Fed Strat Val	Heinz H J Co	39 000	11/14/2007	3/10/2009	1,218 74	1,757 21	-538 47
I-Sig 14990 Fed Strat Val	Kraft Foods Inc Cl A	62 000	11/14/2007	3/10/2009	1,307 57	2,054 06	-746 49
I-Sig 14990 Fed Strat Val	Kraft Foods Inc Cl A	14 000	11/20/2007	3/10/2009	295 26	457 47	-162 21
I-Sig 14990 Fed Strat Val	Kraft Foods Inc Cl A	17 000	1/15/2008	3/10/2009	358 53	552 72	-194 19
I-Sig 14990 Fed Strat Val	New York Community Bancorp	66 000	4/2/2007	3/10/2009	529 97	1,145 71	-615 74
I-Sig 14990 Fed Strat Val	Progress Energy Inc	38 000	3/1/2007	3/10/2009	1,236 13	1,919 76	-683 63
I-Sig 14990 Fed Strat Val	Reynolds Amer Inc	28 000	3/1/2007	3/10/2009	926 23	1,760 36	-834 13
I-Sig 14990 Fed Strat Val	Southern Co	40 000	3/1/2007	3/10/2009	1,116 39	1,464 40	-348 01

Capital Gains - Last year

1/1/2009 through 12/31/2009

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
I-Sig 14990 Fed Strat Val	Southern Co	37 000	11/14/2007	3/10/2009	1,032.66	1,325.33	-292.67
I-Sig 14990 Fed Strat Val	Verizon Communications NF	44 000	2/19/2008	3/10/2009	1,232.43	1,662.73	-430.30
I-Sig 14990 Fed Strat Val	Verizon Communications NF	23 000	3/7/2008	3/10/2009	644.22	811.01	-166.79
I-Sig 14990 Fed Strat Val	Windstream Corp	31 000	3/1/2007	3/10/2009	207.70	478.95	-271.25
I-Sig 14990 Fed Strat Val	Windstream Corp	32 000	4/2/2007	3/10/2009	214.40	463.63	-249.23
I-Sig 15008 US Trust Rad	Cabelas Inc	139 000	3/2/2007	1/27/2009	784.25	3,512.53	-2,728.28
I-Sig 15008 US Trust Rad	Innovative Solutions & Suppo	138 000	3/2/2007	1/27/2009	459.54	3,002.87	-2,543.33
I-Sig 15008 US Trust Rad	MPS Group Inc	57 000	3/2/2007	1/27/2009	353.20	833.66	-480.46
I-Sig 15008 US Trust Rad	Molina Healthcare Inc	27 000	3/2/2007	1/27/2009	476.17	846.45	-370.28
I-Sig 15008 US Trust Rad	Tetra Technologies Inc	93 000	3/2/2007	1/27/2009	452.36	2,139.72	-1,687.36
I-Sig 15008 US Trust Rad	Shaw Group Inc	23 000	3/2/2007	2/5/2009	645.01	715.07	-70.06
I-Sig 15008 US Trust Rad	GFI Group Inc	176 000	3/2/2007	3/4/2009	437.30	2,728.44	-2,291.14
I-Sig 15008 US Trust Rad	Helix Energy Solutions Group	62 000	3/2/2007	3/4/2009	182.89	2,120.61	-1,937.72
I-Sig 15008 US Trust Rad	Aqua America Inc	87 000	3/2/2007	3/10/2009	1,583.39	2,079.30	-495.91
I-Sig 15008 US Trust Rad	Cabot Microelectronics CRP	65 000	3/2/2007	3/10/2009	1,256.44	2,163.84	-907.40
I-Sig 15008 US Trust Rad	Columbia Sportswear Co	41 000	3/2/2007	3/10/2009	1,052.05	2,619.90	-1,567.85
I-Sig 15008 US Trust Rad	Commscope Inc	55 000	3/2/2007	3/10/2009	426.79	2,122.45	-1,695.66
I-Sig 15008 US Trust Rad	Cowen Group Inc	31 000	10/2/2007	3/10/2009	116.56	429.78	-313.22
I-Sig 15008 US Trust Rad	Cowen Group Inc	155 000	10/3/2007	3/10/2009	582.79	2,150.92	-1,568.13
I-Sig 15008 US Trust Rad	Cowen Group Inc	50 000	11/8/2007	3/10/2009	188.00	557.11	-369.11
I-Sig 15008 US Trust Rad	FLIR Sys Inc	60 000	3/2/2007	3/10/2009	1,175.99	1,074.30	101.69
I-Sig 15008 US Trust Rad	FTI Consulting Inc	43 000	3/2/2007	3/10/2009	2,000.77	1,456.22	544.55
I-Sig 15008 US Trust Rad	Forrester Research Inc	108 000	3/2/2007	3/10/2009	1,852.10	2,971.07	-1,118.97
I-Sig 15008 US Trust Rad	Greenhill & Co Inc	39 000	3/2/2007	3/10/2009	2,222.20	2,676.57	-454.37
I-Sig 15008 US Trust Rad	Hewitt Associates Inc	65 000	3/2/2007	3/10/2009	1,715.34	1,934.40	-219.06
I-Sig 15008 US Trust Rad	Kansas City Southern Com	45 000	3/2/2007	3/10/2009	604.34	1,474.26	-869.92
I-Sig 15008 US Trust Rad	MPS Group Inc	89 000	3/2/2007	3/10/2009	380.02	1,301.68	-921.66
I-Sig 15008 US Trust Rad	Manhattan Associates Inc	130 000	3/2/2007	3/10/2009	1,839.48	3,745.29	-1,905.81
I-Sig 15008 US Trust Rad	Molina Healthcare Inc	48 000	3/2/2007	3/10/2009	838.07	1,504.80	-666.73
I-Sig 15008 US Trust Rad	Rogers Corp	61 000	9/11/2007	3/10/2009	974.16	2,439.76	-1,465.60
I-Sig 15008 US Trust Rad	Ryland Group Inc	51 000	10/30/2007	3/10/2009	647.18	1,391.45	-744.27
I-Sig 15008 US Trust Rad	Ryland Group Inc	46 000	11/26/2007	3/10/2009	583.74	1,126.64	-542.90
I-Sig 15008 US Trust Rad	St Joe Company	55 000	10/29/2007	3/10/2009	865.14	1,786.54	-921.40
I-Sig 15008 US Trust Rad	Shaw Group Inc	28 000	3/2/2007	3/10/2009	618.24	870.52	-252.28
I-Sig 15008 US Trust Rad	Simpson Manufacturing Co Inc	54 000	3/2/2007	3/10/2009	759.23	1,835.71	-1,076.48
I-Sig 15008 US Trust Rad	Simpson Manufacturing Co Inc	35 000	5/24/2007	3/10/2009	492.10	1,165.39	-673.29
I-Sig 15008 US Trust Rad	Thor Inds Inc	63 000	3/2/2007	3/10/2009	681.02	2,719.92	-2,038.90
I-Sig 15008 US Trust Rad	Triumph Group Inc	49 000	3/2/2007	3/10/2009	1,625.81	2,662.17	-1,036.36
I-Sig 15008 US Trust Rad	Varian Semiconductor Equip	81 000	3/2/2007	3/10/2009	1,403.72	2,613.05	-1,209.33
I-Sig 721212	Prime Fund - Capital Reserves	4.120	9/28/2007	6/24/2009	4.12	4.12	0.00
I-Sig 721212	Prime Fund - Capital Reserves	0.010	11/30/2007	6/24/2009	0.01	0.01	0.00
I-Sig 721212	Prime Fund - Capital Reserves	0.010	12/31/2007	6/24/2009	0.01	0.01	0.00
I-Sig 721212	Prime Fund - Capital Reserves	0.010	1/31/2008	6/24/2009	0.01	0.01	0.00

Capital Gains - Last year
1/1/2009 through 12/31/2009

Account	Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/L
I - Sig 721212	Prime Fund - Capital Reserves	0 010	2/29/2008	6/24/2009	0.01	0.01	0.00
I - Sig 721212	Prime Fund - Capital Reserves	0 010	3/31/2008	6/24/2009	0.01	0.01	0.00
I - Sig 721212	Prime Fund - Capital Reserves	0 010	4/30/2008	6/24/2009	0.01	0.01	0.00
I - Sig 721212	Prime Fund - Capital Reserves	0 010	5/31/2008	6/24/2009	0.01	0.01	0.00
TOTAL LONG TERM					124,542.74	261,427.45	-136,884.71
OVERALL TOTAL					352,006.68	529,509.43	-177,502.75

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545 1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns*

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization	Employer identification number
	WANDA J. HERNDON FOUNDATION	20-8089043
	Number, street, and room or suite number. If a P.O. box, see instructions	
	P.O. BOX 263	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	ROCKLAND	DE 19732

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|--|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (section 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

- The books are in the care of ► POWDERMILL FINANCIAL SOLUTIONS, LLC

Telephone No ► (302) 658-7796 FAX No ► (302) 658-6777

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until Aug 16, 20 10, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ☒ calendar year 20 09 or
- ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	49.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.Form **8868** (Rev 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box. ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions	Name of Exempt Organization	Employer identification number
	WANDA J. HERNDON FOUNDATION	20-8089043
	Number, street, and room or suite number. If a P.O. box, see instructions	For IRS use only
	P.O. BOX 263	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	ROCKLAND DE 19732	

Check type of return to be filed (File a separate application for each return):

- | | | | |
|--------------------------------------|--|--------------------------------------|------------------------------------|
| ☐ Form 990 | ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 6069 |
| ☐ Form 990-BL | ☐ Form 990-T (section 401(a) or 408(a) trust) | ☐ Form 4720 | ☐ Form 8870 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 5227 | |

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of POWDERMILL FINANCIAL SOLUTIONS, LLC
Telephone No. (302) 658-7796 FAX No. (302) 658-6777
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until Nov 15, 20 10.

5 For calendar year 2009, or other tax year beginning _____, 20 _____, and ending _____, 20 _____

6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension _____

Additional information is needed in order to file a complete and accurate return.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a \$	10.
8b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b \$	49.
8c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instrs	8c \$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature Stephane [Signature] Title CPA Date 8-18-10