PARIKHFOUND 05/12/2010 6.14 PM

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: The Neelima & Mukul Parikh Foundation

Number and street (or P O box number if mail is not delivered to street address): 2004 Mountain Pine Drive | Room/suite:

City or town, state, and ZIP code: Mechanicsburg PA 17050

A Employer identification number: 20-5948329

B Telephone number (see page 10 of the instructions): 717-728-9801

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization. [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 470,775

J Accounting method: [X] Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

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Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	52,814			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	62	62		
	4	Dividends and interest from securities	6,675	6,675		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10 Stmt 1	95,546			
	b	Gross sales price for all assets on line 6a 455,160				
	7	Capital gain net income (from Part IV, line 2)		156		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns & allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	155,097	6,893	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) Stmt 2	1,775			
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions) Stmt 3	139			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att. sch.) Stmt 4	500	500		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	2,414	500		0
	25	Contributions, gifts, grants paid	13,851			13,851
	26	**Total expenses and disbursements.** Add lines 24 and 25	16,265	500	0	13,851
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	138,832			
	b	**Net investment income** (if negative, enter -0-)		6,393		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	27,888	108,681	108,681
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (att. schedule) ▶			
		Less. allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) See Stmt 5	244,767	316,118	317,437
	c	Investments—corporate bonds (attach schedule) See Stmt 6	57,918	44,606	44,657
	11	Investments—land, buildings, and equipment. basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment. basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	330,573	469,405	470,775
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	330,573	469,405	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	330,573	469,405	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	330,573	469,405	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	330,573
2	Enter amount from Part I, line 27a	2	138,832
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	469,405
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	469,405

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr)
1a	Capital Gain Distribution			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	156			156
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				156
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7	2	156
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col (c))
2008	7,778		
2007	13,725		
2006			
2005			
2004			

2 **Total** of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	319,155
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	64
7 Add lines 5 and 6	7	64
8 Enter qualifying distributions from Part XII, line 4	8	13,851

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: .... (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	64
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	64
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	64
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	64
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year? N/A	1c		
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? N/A If "Yes," attach the statement required by General Instruction T.	5		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ PA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses Stmt 7	10		X

Part VII-A Statements Regarding Activities (continued)

				Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)		11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?		12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		13	X	

Website address ▶ N/A

14 The books are in care of ▶ Mukul L Parikh Telephone no. ▶ 717-728-9801

2004 Mountain Pine Drive

Located at ▶ Mechanicsburg, PA ZIP+4 ▶ 17070

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? N/A		**5b**
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No	
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b** No: X
	If "Yes" to 6b, file Form 8870.		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A		**7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation (If not paid, enter -0-)	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Statement 8				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions. 3	
Total. Add lines 1 through 3 ▶	

DAA

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	234,706
b	Average of monthly cash balances	1b	89,309
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	324,015
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	324,015
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)	4	4,860
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	319,155
6	**Minimum investment return.** Enter 5% of line 5	6	15,958

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	15,958
2a	Tax on investment income for 2009 from Part VI, line 5 — 2a: 64		
b	Income tax for 2009. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	64
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	15,894
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	15,894
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	15,894

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes.		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	13,851
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	13,851
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	64
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	13,787

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

art XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
Distributable amount for 2009 from Part XI, line 7					15,894
Undistributed income, if any, as of the end of 2009:					
a Enter amount for 2008 only					
b Total for prior years: 20 ____ , 20 ____ , 20 ____					
Excess distributions carryover, if any, to 2009:					
a From 2004					
b From 2005					
c From 2006					
d From 2007	18,567				
e From 2008	7,968				
f **Total** of lines 3a through e		26,535			
Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 13,851					
a Applied to 2008, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)					
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)					
d Applied to 2009 distributable amount					13,851
e Remaining amount distributed out of corpus					
Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)		2,043			2,043
Enter the net total of each column as indicated below:					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		24,492			
b Prior years' undistributed income. Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions					
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions					
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)					
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		24,492			
0 Analysis of line 9:					
a Excess from 2005					
b Excess from 2006					
c Excess from 2007	16,524				
d Excess from 2008	7,968				
e Excess from 2009					

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2009	Prior 3 years (b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

Mukul & Neelima Parikh $52,814

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed.

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Arsha Vidya Pitham P O Box 1059 Saylorsburg PA 18353			Study of Indian Disciplines	3,201
Ekal Vidyalaya Found USA 4023 Westhollow Parkway, Houston TX 77082			Education Tribal children in India	3,650
Hindu Am Religious Insti 301 Steigerwalt Hollow Rd New Cumberland PA 17070			Contributions HARI Temple	7,000
Total			▶ 3a	13,851
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See page 28 of the instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					62
4 Dividends and interest from securities					6,675
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					95,546
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0		0	102,283

13 Total. Add line 12, columns (b), (d), and (e) 13 102,283

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: [signature] Date: 5/13/10 Title: Director

Paid Preparer's Use Only

Preparer's signature: Martin Naugle [signature]	Date: 05/12/10	Check if self-employed ☐	Preparer's identifying number (see Signature on page 30 of the instructions): 198-44-7548
Firm's name (or yours if self-employed), address, and ZIP code: Walborn Naugle Associates, 1714 N. 2nd Street, Harrisburg, PA 17102			EIN ▶ 23-2124699
			Phone no 717-236-7912

DAA

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2009

Name of the organization	**Employer identification number**
The Neelima & Mukul Parikh Foundation	20-5948329

Organization type (check one).

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year . . . . . . ▶ $

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
The Neelima & Mukul Parikh	20-5948329

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Mukul L & Neelima Parikh 2004 Mountain Pine Dr Mechanicsburg PA 17050-8503	$ 52,814	Person [X] Payroll [ ] Noncash [X] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

Name of organization: The Neelima & Mukul Parikh

Employer identification number: 20-5948329

Part II **Noncash Property** (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	500 Shs Apple Inc	$ 60,110	04/13/09
1	3773.585 Shs Turner Midcap Grw	$ 101,057	12/16/09
		$	
		$	
		$	
		$	

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Description: Whom Sold	Date Acquired	Date Sold	How Received / Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
Apple	1/13/97	4/22/09	Donation $ 62,490	$ 2,300	$	$	$ 60,190
Constellation Brands	8/14/00	8/14/09	Donation 57,873	22,664			35,209
Turner Midcap Grw	10/08/02	12/17/09	Donation 101,057	50,000			51,057
AMERICAN BEACON LRG CAP INV	Various	12/17/09	Purchase 839	1,140			-301
AMERICAN EUROPACIFIC GRW CL F1	Various	12/17/09	Purchase 3,485	3,000			485
CAUSEWAY INTERNATNALVALUE INV	12/15/09	12/17/09	Purchase 55	89			-34
EATON VANCE LARGE-CAP VALUE CL A	1/17/08	1/08/09	Purchase 1,500	2,239			-739
EATON VANCE LARGE-CAP VALUE CL A	1/17/08	1/08/09	Purchase 300	311			-11
EATON VANCE LARGE-CAP VALUE CL A	1/17/08	1/13/09	Purchase 800	1,189			-389
EATON VANCE LARGE-CAP VALUE CL A	Various	8/06/09	Purchase 36	50			-14
MANNING & NAPIER WORLD OPPT SER CL A	Various	12/17/09	Purchase 775	728			47
FIDELITY CAPITAL & INCOME FUND	Various	12/17/09	Purchase 538	527			11
FIDELITY GROWTH COMPANY FUND	Various	12/17/09	Purchase 979	1,032			-53
FIDELITY ADV DIVERSIFIED INTL FD-CL	12/05/08	8/06/09	Purchase 140	261			-121
FIDELITY INT'L DISCOVERY FUND	7/09/09	12/15/09	Purchase 700	606			94
FIDELITY INT'L DISCOVERY FUND	Various	12/17/09	Purchase 3,939	3,454			485
FIDELITY ADV NEW INSIGHTS-CL I	Various	12/17/09	Purchase 517	578			-61

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description: Whom Sold	Date Acquired	Date Sold	How Received / Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
FRANKLIN GOLD & PRECIOUS METALS CL A			Purchase				
	3/26/09	12/15/09	$ 600	$ 440	$	$	$ 160
FIDELITY LOW PRICED STOCK FUND			Purchase				
	Various	12/17/09	840	1,097			-257
FIDELITY REAL ESTATE INVESTMENT PORT			Purchase				
	Various	4/07/09	351	922			-571
FIDELITY SHORT TERM BOND FUND			Purchase				
	Various	12/17/09	3,226	3,246			-20
SPARTAN 500 INDEX FD ADV C			Purchase				
	Various	8/06/09	2,168	2,600			-432
FIDELITY TOTAL BOND FUND			Purchase				
	Various	12/17/09	3,876	3,727			149
STRATEGIC ADVISERS VALUE FUND			Purchase				
	1/08/09	2/12/09	800	832			-32
STRATEGIC ADVISERS VALUE FUND			Purchase				
	Various	12/17/09	12,603	11,017			1,586
HARBOR INTERNATIONALADMISTRATIVE CL			Purchase				
	Various	12/17/09	1,853	1,623			230
MORGAN STA INST INC.INTERNATL EQUITY			Purchase				
	Various	12/17/09	1,568	2,253			-685
T ROWE PRICE HIGH YIELD ADVISOR CL			Purchase				
	Various	12/17/09	865	900			-35
PIMCO FOREIGN BD FD UNHEDGED ADM CL			Purchase				
	Various	5/22/09	126	148			-22
PIMCO HIGH YIELD ADM SHS			Purchase				
	Various	12/17/09	284	309			-25
PIMCO SHORT TERM ADM SHS			Purchase				
	Various	12/17/09	811	816			-5
PIMCO TOTAL RETURN ADM SHS			Purchase				
	Various	12/17/09	4,302	4,140			162
JOHN HANCOCK CLASSIC VALUE CL A			Purchase				
	Various	12/17/09	2,423	1,919			504
ROYCE PENNSYLVANIA MUTUAL FUND			Purchase				
	Various	12/17/09	3,474	2,900			574

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description: Whom Sold	Date Acquired	Date Sold	How Received / Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
SELECTED AMERICAN SHARES CL S			Purchase				
	3/12/09	12/17/09	$ 618	$ 761	$	$	$ -143
JOHN HANCOCK US GLBLLEADERS GRW CL A			Purchase				
	12/15/09	12/17/09	3	4			-1
WILLIAM BLAIR INT'L GROWTH CLASS N			Purchase				
	12/18/08	12/17/09	243	453			-210
AMERICAN BEACON LRG CAP INV			Purchase				
	Various	12/17/09	6,383	8,673			-2,290
CAUSEWAY INTERNATNALVALUE INV			Purchase				
	Various	12/17/09	3,667	5,913			-2,246
EATON VANCE LARGE-CAP VALUE CL A			Purchase				
	1/17/08	5/21/09	700	1,104			-404
EATON VANCE LARGE-CAP VALUE CL A			Purchase				
	1/17/08	5/21/09	100	105			-5
EATON VANCE LARGE-CAP VALUE CL A			Purchase				
	1/17/08	7/09/09	900	1,385			-485
EATON VANCE LARGE-CAP VALUE CL A			Purchase				
	Various	8/06/09	1,002	1,360			-358
MANNING & NAPIER WORLD OPPT SER CL A			Purchase				
	Various	12/17/09	2,235	2,101			134
FIDELITY CAPITAL & INCOME FUND			Purchase				
	10/31/07	5/21/09	300	390			-90
FIDELITY CAPITAL & INCOME FUND			Purchase				
	10/31/07	5/21/09	100	126			-26
FIDELITY CAPITAL & INCOME FUND			Purchase				
	10/31/07	7/09/09	400	495			-95
FIDELITY CAPITAL & INCOME FUND			Purchase				
	10/31/07	7/09/09	100	115			-15
FIDELITY CAPITAL & INCOME FUND			Purchase				
	Various	12/17/09	6,799	6,652			147
FIDELITY GROWTH COMPANY FUND			Purchase				
	1/17/08	7/09/09	1,300	1,737			-437
FIDELITY GROWTH COMPANY FUND			Purchase				
	1/17/08	8/06/09	1,700	2,009			-309

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description / Whom Sold	Date Acquired	Date Sold	How Received / Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
FIDELITY GROWTH COMPANY FUND			Purchase				
	Various	12/17/09	$ 4,876	$ 5,143	$	$	$ -267
FIDELITY DIVERSIFIED INTERNATIONAL			Purchase				
	10/31/07	2/12/09	800	1,673			-873
FIDELITY DIVERSIFIED INTERNATIONAL			Purchase				
	10/31/07	12/15/09	800	1,189			-389
FIDELITY DIVERSIFIED INTERNATIONAL			Purchase				
	10/31/07	12/15/09	100	128			-28
FIDELITY DIVERSIFIED INTERNATIONAL			Purchase				
	Various	12/17/09	4,020	5,975			-1,955
FIDELITY ADV DIVERSIFIED INTL FD-CL			Purchase				
	10/31/07	2/12/09	700	1,674			-974
FIDELITY ADV DIVERSIFIED INTL FD-CL			Purchase				
	10/31/07	7/09/09	900	1,920			-1,020
FIDELITY ADV DIVERSIFIED INTL FD-CL			Purchase				
	Various	8/06/09	988	1,845			-857
FIDELITY ADV NEW INSIGHTS-CL I			Purchase				
	1/17/08	7/09/09	800	1,094			-294
FIDELITY ADV NEW INSIGHTS-CL I			Purchase				
	1/17/08	8/06/09	800	993			-193
FIDELITY ADV NEW INSIGHTS-CL I			Purchase				
	Various	12/17/09	6,954	7,777			-823
FIDELITY LOW PRICED STOCK FUND			Purchase				
	10/31/07	5/21/09	500	837			-337
FIDELITY LOW PRICED STOCK FUND			Purchase				
	10/31/07	7/09/09	600	1,001			-401
FIDELITY LOW PRICED STOCK FUND			Purchase				
	10/31/07	8/06/09	700	1,036			-336
FIDELITY LOW PRICED STOCK FUND			Purchase				
	Various	12/17/09	6,507	8,494			-1,987
FIDELITY REAL ESTATE INV PORT			Purchase				
	10/31/07	3/12/09	700	1,905			-1,205
FIDELITY REAL ESTATE INV PORT			Purchase				
	10/31/07	3/12/09	100	128			-28

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description: Whom Sold	Date Acquired	Date Sold	How Received	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
FIDELITY REAL ESTATE INV PORT	10/31/07	3/26/09	Purchase	$ 500	$ 1,309	$	$	$ -809
FIDELITY REAL ESTATE INV PORT	Various	4/07/09	Purchase	557	1,463			-906
FIDELITY SHORT TERM BOND FUND	10/31/07	7/09/09	Purchase	2,100	2,145			-45
FIDELITY SHORT TERM BOND FUND	10/31/07	7/09/09	Purchase	100	128			-28
FIDELITY SHORT TERM BOND FUND	Various	12/17/09	Purchase	4,650	4,678			-28
SPARTAN 500 INDEX FD ADV C	Various	7/09/09	Purchase	2,100	2,860			-760
SPARTAN 500 INDEX FD ADV C	Various	7/09/09	Purchase	100	112			-12
SPARTAN 500 INDEX FD ADV C	Various	8/06/09	Purchase	395	474			-79
FIDELITY TOTAL BOND FUND	10/31/07	1/15/09	Purchase	600	660			-60
FIDELITY TOTAL BOND FUND	10/31/07	1/15/09	Purchase	100	109			-9
FIDELITY TOTAL BOND FUND	10/31/07	3/12/09	Purchase	400	451			-51
FIDELITY TOTAL BOND FUND	10/31/07	11/03/09	Purchase	700	679			21
FIDELITY TOTAL BOND FUND	10/31/07	12/15/09	Purchase	2,200	2,119			81
FIDELITY TOTAL BOND FUND	Various	12/17/09	Purchase	7,866	7,564			302
HARBOR INTERNATIONALADM CL	10/01/08	11/03/09	Purchase	700	635			65
HARBOR INTERNATIONALADM CL	10/01/08	12/15/09	Purchase	600	516			84
HARBOR INTERNATIONALADM CL	Various	12/17/09	Purchase	1,556	1,363			193

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description / Whom Sold	Date Acquired	Date Sold	How Received / Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
HARTFORD CAPITAL APPREC CL A	10/31/07	2/12/09	Purchase $ 500	$ 1,073	$	$	$ -573
HARTFORD CAPITAL APPREC CL A	10/31/07	3/26/09	Purchase 700	1,457			-757
HARTFORD CAPITAL APPREC CL A	10/31/07	5/21/09	Purchase 1,900	3,416			-1,516
HARTFORD CAPITAL APPREC CL A	10/31/07	8/06/09	Purchase 500	797			-297
JANUS FUND CLASS T SHARES	10/31/07	2/12/09	Purchase 400	698			-298
JANUS FUND CLASS T SHARES	10/31/07	3/12/09	Purchase 500	938			-438
JANUS FUND CLASS T SHARES	10/31/07	7/09/09	Purchase 1,400	2,293			-893
JANUS FUND CLASS T SHARES	10/31/07	7/09/09	Purchase 100	127			-27
JANUS FUND CLASS T SHARES	10/31/07	8/06/09	Purchase 1,800	2,596			-796
PERKINS MID CAP VALUE FUND CL T	1/17/08	5/21/09	Purchase 500	622			-122
PERKINS MID CAP VALUE FUND CL T	1/17/08	7/09/09	Purchase 400	527			-127
PERKINS MID CAP VALUE FUND CL T	1/17/08	7/09/09	Purchase 100	105			-5
PERKINS MID CAP VALUE FUND CL T	1/17/08	8/06/09	Purchase 900	998			-98
PERKINS MID CAP VALUE FUND CL T	1/17/08	12/15/09	Purchase 500	508			-8
PERKINS MID CAP VALUE FUND CL T	Various	12/17/09	Purchase 2,965	3,027			-62
MARSICO FOCUS	10/31/07	3/26/09	Purchase 400	799			-399
MARSICO FOCUS	10/31/07	4/07/09	Purchase 1,000	2,016			-1,016

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description: Whom Sold	Date Acquired	Date Sold	How Received / Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
MARSICO FOCUS	10/31/07	7/09/09	Purchase $ 700	$ 1,304	$	$	$ -604
MARSICO FOCUS	10/31/07	8/06/09	Purchase 1,300	2,110			-810
MARSICO FOCUS	Various	12/17/09	Purchase 4,578	6,734			-2,156
MORGAN STA INST INC.INTERNATL EQ P	10/31/07	11/03/09	Purchase 600	883			-283
MORGAN STA INST INC.INTERNATL EQ P	10/31/07	12/15/09	Purchase 500	711			-211
MORGAN STA INST INC.INTERNATL EQ P	Various	12/17/09	Purchase 1,876	2,696			-820
OAKMARK FUND I	10/31/07	1/13/09	Purchase 800	1,321			-521
OAKMARK FUND I	10/31/07	1/13/09	Purchase 100	337			-237
OAKMARK FUND I	10/31/07	1/15/09	Purchase 800	1,563			-763
OAKMARK FUND I	10/31/07	7/09/09	Purchase 1,000	1,716			-716
OAKMARK FUND I	Various	12/17/09	Purchase 3,809	4,990			-1,181
T ROWE PRICE HIGH YIELD ADVISOR CL	10/31/07	3/12/09	Purchase 400	593			-193
T ROWE PRICE HIGH YIELD ADVISOR CL	10/31/07	3/12/09	Purchase 100	126			-26
T ROWE PRICE HIGH YIELD ADVISOR CL	Various	12/17/09	Purchase 4,326	4,497			-171
T ROWE PRICE VALUE FUND ADVISOR CL	10/31/07	1/13/09	Purchase 1,000	1,834			-834
T ROWE PRICE VALUE FUND ADVISOR CL	10/31/07	1/15/09	Purchase 900	1,704			-804
T ROWE PRICE VALUE FUND ADVISOR CL	10/31/07	3/26/09	Purchase 400	771			-371

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description: Whom Sold	Date Acquired	Date Sold	How Received	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
T ROWE PRICE VALUE FUND ADVISOR CL	10/31/07	7/09/09	Purchase	$ 1,100	$ 1,875	$	$	$ -775
T ROWE PRICE VALUE FUND ADVISOR CL	10/31/07	8/06/09	Purchase	1,400	2,049			-649
T ROWE PRICE VALUE FUND ADVISOR CL	10/31/07	12/15/09	Purchase	2,300	3,054			-754
PIMCO FOREIGN BD FD UNHEDGED ADM CL	10/31/07	5/21/09	Purchase	1,800	2,128			-328
PIMCO FOREIGN BD FD UNHEDGED ADM CL	10/31/07	5/21/09	Purchase	100	102			-2
PIMCO FOREIGN BD FD UNHEDGED ADM CL	Various	5/22/09	Purchase	1,868	2,197			-329
PIMCO HIGH YIELD ADM SHS	10/31/07	5/21/09	Purchase	1,100	1,449			-349
PIMCO HIGH YIELD ADM SHS	10/31/07	5/21/09	Purchase	100	121			-21
PIMCO HIGH YIELD ADM SHS	10/31/07	7/09/09	Purchase	1,000	1,282			-282
PIMCO HIGH YIELD ADM SHS	10/31/07	7/09/09	Purchase	100	114			-14
PIMCO HIGH YIELD ADM SHS	Various	12/17/09	Purchase	3,197	3,476			-279
PIMCO SHORT TERM ADM SHS	10/31/07	3/12/09	Purchase	500	538			-38
PIMCO SHORT TERM ADM SHS	10/31/07	3/12/09	Purchase	100	102			-2
PIMCO SHORT TERM ADM SHS	10/31/07	3/26/09	Purchase	1,300	1,375			-75
PIMCO SHORT TERM ADM SHS	Various	12/17/09	Purchase	3,931	3,956			-25
PIMCO TOTAL RETURN ADM SHS	10/31/07	1/15/09	Purchase	800	821			-21
PIMCO TOTAL RETURN ADM SHS	10/31/07	1/15/09	Purchase	100	102			-2

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description: Whom Sold	Date Acquired	Date Sold	How Received / Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
PIMCO TOTAL RETURN ADM SHS	10/31/07	11/03/09	Purchase $ 700	$ 673	$	$	$ 27
PIMCO TOTAL RETURN ADM SHS	10/31/07	12/15/09	Purchase 3,100	2,997			103
PIMCO TOTAL RETURN ADM SHS	Various	12/17/09	Purchase 6,204	5,970			234
LEGG MASON CBA LRG CAP GRW A	10/31/07	1/08/09	Purchase 1,100	1,541			-441
LEGG MASON CBA LRG CAP GRW A	10/31/07	3/12/09	Purchase 400	592			-192
LEGG MASON CBA LRG CAP GRW A	10/31/07	7/09/09	Purchase 700	903			-203
LEGG MASON CBA LRG CAP GRW A	10/31/07	8/06/09	Purchase 800	931			-131
LEGG MASON CBA LRG CAP GRW A	Various	12/17/09	Purchase 5,100	5,382			-282
SELECTED AMERICAN SHS CL S	10/31/07	5/21/09	Purchase 1,500	2,269			-769
SELECTED AMERICAN SHS CL S	10/31/07	7/09/09	Purchase 500	770			-270
SELECTED AMERICAN SHS CL S	10/31/07	8/06/09	Purchase 1,100	1,471			-371
SELECTED AMERICAN SHS CL S	10/31/07	12/15/09	Purchase 900	1,106			-206
SELECTED AMERICAN SHS CL S	10/31/07	12/15/09	Purchase 100	113			-13
SELECTED AMERICAN SHS CL S	Various	12/17/09	Purchase 5,819	7,165			-1,346
JOHN HANCOCK US GLBLLEADERS GRW CL A	10/31/07	1/08/09	Purchase 400	661			-261
JOHN HANCOCK US GLBLLEADERS GRW CL A	10/31/07	1/08/09	Purchase 100	141			-41
JOHN HANCOCK US GLBLLEADERS GRW CL A	10/31/07	8/06/09	Purchase 600	814			-214

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Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets (continued)

Description: Whom Sold	Date Acquired	Date Sold	How Received	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
JOHN HANCOCK US GLBLLEADERS GRW CL A	Various	12/17/09	Purchase	$ 4,310	$ 5,166	$	$	$ -856
WILLIAM BLAIR INT'L GRW CLASS N	10/31/07	12/15/09	Purchase	1,100	2,011			-911
WILLIAM BLAIR INT'L GRW CLASS N	Various	12/17/09	Purchase	3,109	5,793			-2,684
Total				$ 455,004	$ 359,614	$ 0	$ 0	$ 95,390

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Accounting Fees	$ 1,775	$	$	$
Total	$ 1,775	$ 0	$ 0	$ 0

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
PA Registration Fee	$ 15	$	$	$
Federal Excise Tax	95			
Foreign Taxes Paid	29			
Total	$ 139	$ 0	$ 0	$ 0

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Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
Expenses				
Investment Advisor Fees	500	500		
Total	$ 500	$ 500	$ 0	$ 0

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Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Fid Diversified Intern'l	$ 8,876	$	Cost	$
Fid Advisor Diver Int'l	5,700		Cost	
Fid Real Estate Inv	5,685		Cost	
Fid Low Priced Stk	11,622		Cost	
Fid Div Growth	9,210		Cost	
Am Beacon Lrg Cap Value	9,313		Cost	
Wm Blair Int'l Grw Class N	8,257		Cost	
Causeway Int'l Value Inv	5,946		Cost	
John Hancock US Glbl Leaders Grw	6,742		Cost	
Oakmark Fd 1	9,691		Cost	
Legg Mason Ptnr's Lrg Cap Grw	9,349		Cost	
Marsico	12,962		Cost	
Morgan Sta Inst Int'l	5,542		Cost	
Selected Am Shs	13,186		Cost	
Constellation Brands Inc	22,664		Cost	
Spartan 500 Index Fid	5,197		Cost	
Fidelity Advisor New Insights	10,033		Cost	
Fidelity Pas Sm Cap	3,052		Cost	
EV Lgr Cap Value	7,703		Cost	
Harbor Int'l Adm	2,636		Cost	
Janus Mid Cap Value	5,764		Cost	
JP Morgan US Lgr Core	1,990		Cost	
Manning & Napier Wrld	2,207		Cost	
Victory Div Stk	2,806		Cost	
Hartford Capital Apprec	19,546	18,203	Cost	14,530
Janus Fd	18,154	13,254	Cost	10,914

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
PIMCO Comm Real Ret	$ 6,548	$ 11,010	Cost	$ 9,056
TRP- Value Fd	14,386	11,467	Cost	10,800
Spartan 500 Index Fid Adv Cl		7,286	Cost	7,330
Fid Pas Sm Cap Fd of Fds		17,118	Cost	18,429
EV Large Cap Value		21,073	Cost	21,866
JP Morgan US Lg Cap Core Plus Select		8,958	Cost	10,915
Victory Div Stk Cl		10,642	Cost	10,986
Franklin Gold		2,947	Cost	3,576
Aston/Montag & Coldwell Grw		7,225	Cost	7,362
Cambair Opp Inv		7,210	Cost	7,399
Edgewood Grw Fd Retail		7,200	Cost	7,457
Fidelity Emerging Mkts		4,840	Cost	5,510
Fidelity Pas US Opp Fd		21,669	Cost	21,978
FMI Lgr Cap		12,534	Cost	12,623
Hotchkis & Wiley Lgr Cap Value		8,900	Cost	9,006
Mainstay Lgr Cap		7,200	Cost	7,418
Morgan Stanley Mid Cap Grw		8,900	Cost	9,056
Rydex Mid Cap		8,900	Cost	9,147
TRP- Mid Cap Value		14,300	Cost	14,525
Fidelity Pas Int'l Fd		85,282	Cost	87,554
Total	$ 244,767	$ 316,118		$ 317,437

Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Fid Capital & Inc	$ 7,742	$	Cost	$

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Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Fid ST Bond	$ 6,268	$	Cost	$
Fid Total Bond	11,199		Cost	
PIMCO H Yield Adm Shs	6,367		Cost	
PIMCO ST Adm Shs	5,956		Cost	
TRP High Yield Advisor	5,326		Cost	
PIMCO Total Return	10,512		Cost	
PIMCO Foreign Bd Fd	4,548		Cost	
Fidelity Advisor H I Adv		3,608	Cost	3,650
Fidelity Pas Core Inc		14,370	Cost	14,207
Fidelity Pas Inc Opp		7,230	Cost	7,270
Western Asset Core		12,500	Cost	12,330
Templeton Global Bd		6,898	Cost	7,200
Total	$ 57,918	$ 44,606		$ 44,657

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Statement 7 - Form 990-PF, Part VII-A, Line 10 - Substantial Contributors

Name	Address	City, State, Zip
Mukul L Parikh	2004 Mountain Pine Drive	Mechanicsburg PA 17050
Neelima Parikh	2004 Mountain Pine Drive	Mechanicsburg PA 17050

Federal Statements

Statement 8 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
Mukul L Parikh 2004 Mountain Pine Dr Mechanicsburg PA 17050	Director	0.23	0	0	0
Neelima M Parikh 2004 Mountain Pine Dr Mechanicsburg PA 17050	Director	0.23	0	0	0
Ankur Parikh 17 Greenbriar Lane Danville PA 17821	Director	0.23	0	0	0
Anuj Parikh 2004 Mountain Pine Rd Mechanicsburg PA 17050	Director	0.23	0	0	0
Satish Upadhyay 3 Canterbury Court Mechanicsburg PA 17050	Director	0.23	0	0	0

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Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or $5,000

Name of Manager	Amount
Mukul & Neelima Parikh	$ 52,814
Total	$ 52,814