Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending ,

G Check all that apply: [ ] Initial return [ ] Initial Return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

CHANGE HAPPENS FOUNDATION
A DELAWARE NONPROFIT ORGANIZATION
75-5710 MAMALAHOA HIGHWAY
HOLUALOA, HI 96725

A Employer identification number: 20-5222620

B Telephone number (see the instructions): 808-322-7432

C If exemption application is pending, check here [ ]

D 1 Foreign organizations, check here [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 18,550,247.

J Accounting method [ ] Cash [X] Accrual
[ ] Other (specify) ______________
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1	Contributions, gifts, grants, etc, received (att sch)				
	2	Ck ► [X] if the foundn is not req to att Sch B				
	3	Interest on savings and temporary cash investments			N/A	
	4	Dividends and interest from securities	511,168.	511,168.		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain/(loss) from sale of assets not on line 10	-1,387,843.			
	b	Gross sales price for all assets on line 6a 15,684,233.				
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit/(loss) (att sch)				
	11	Other income (attach schedule) SEE STATEMENT 1	-1,840.			
	12	**Total.** Add lines 1 through 11	-878,515.	511,168.		
OPERATING AND ADMINISTRATIVE EXPENSES	13	Compensation of officers, directors, trustees, etc	94,750.			94,750.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule) SEE ST 2	17,512.			17,512.
	b	Accounting fees (attach sch) SEE ST 3	48,470.			48,470.
	c	Other prof fees (attach sch) SEE ST 4	96,862.	96,862.		
	17	Interest				
	18	Taxes (attach schedule)(see instr) SEE STM 5	21,123.	7,021.		5,602.
	19	Depreciation (attach sch) and depletion	696.			
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) SEE STATEMENT 6	7,762.	383.		7,379.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	287,175.	104,266.		173,713.
	25	Contributions, gifts, grants paid PART XV	752,330.			752,330.
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,039,505.	104,266.		926,043.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-1,918,020.			
	b	**Net investment income** (if negative, enter -0-)		406,902.		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	640,271.	359,179.	359,179.
	3 Accounts receivable ►			
	Less allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less: allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S. and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)			
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment: basis ►			
	Less: accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) STATEMENT 7	17,144,542.	18,049,527.	18,049,527.
	14 Land, buildings, and equipment. basis ► 2,088.			
	Less: accumulated depreciation (attach schedule) SEE STMT 8 ► 2,088.	696.		
	15 Other assets (describe ► SEE STATEMENT 9)	191,232.	141,541.	141,541.
	16 Total assets (to be completed by all filers – see instructions Also, see page 1, item I)	17,976,741.	18,550,247.	18,550,247.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ► SEE STATEMENT 10)	124,465.	802.	
	23 **Total liabilities** (add lines 17 through 22)	124,465.	802.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► [ ] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	17,852,276.	18,549,445.	
	30 **Total net assets or fund balances** (see the instructions)	17,852,276.	18,549,445.	
	31 **Total liabilities and net assets/fund balances** (see the instructions)	17,976,741.	18,550,247.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,852,276.
2	Enter amount from Part I, line 27a	2	-1,918,020.
3	Other increases not included in line 2 (itemize) ► SEE STATEMENT 11	3	2,615,189.
4	Add lines 1, 2, and 3	4	18,549,445.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	18,549,445.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	SEE STATEMENT 12			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	-1,387,843.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8	3	-879,108.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	553,978.	21,142,513.	0.026202
2007	1,060,302.	22,786,841.	0.046531
2006	1,371,440.	21,915,997.	0.062577
2005	769,617.	17,700,703.	0.043479
2004	447,999.	12,211,142.	0.036688

2	**Total** of line 1, column (d)	2	0.215477
3	Average distribution ratio for the 5-year base period –divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.043095
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	17,587,564.
5	Multiply line 4 by line 3	5	757,936.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	4,069.
7	Add lines 5 and 6	7	762,005.
8	Enter qualifying distributions from Part XII, line 4	8	926,043.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter. ________ (attach copy of letter if necessary - see instr.)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b			1	4,069.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	4,069.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	4,069.
6 Credits/Payments.				
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	7,475.		
b Exempt foreign organizations – tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	7,475.
8 Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached			8	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed ►			9	0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►			10	3,406.
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ►	0.	Refunded ►	11	3,406.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ► $ 0. (2) On foundation managers ► $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes.*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see the instructions) ► DE HI			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses.*	10		X

Part VII-A Statements Regarding Activities *Continued*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► CHANGEHAPPENS.US

14 The books are in care of ► ELAINE FUKUSHIMA Telephone no ► 808-322-7342

Located at ► 75-5710 MAMALAHOA HIGHWAY HOLUALOA H ZIP + 4 ► 96725

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .	☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see the instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? .. **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DOUGLAS D. TROXEL 75-5710 MAMALAHOA HIGHWAY HOLUALOA, HI 96725	PRESIDENT & 1.00	0.	0.	0.
MICHAEL DOUGLAS TROXEL 75-5710 MAMALAHOA HIGHWAY HOLUALOA, HI 96725	EXECUTIVE DI 30.00	76,500.	0.	0.
SERGEI GEORGE TROXEL 75-5710 MAMALAHOA HIGHWAY HOLUALOA, HI 96725	SECRETARY 1.00	8,250.	0.	0.
KENNETH D. TROXEL 75-5710 MAMALAHOA HIGHWAY HOLUALOA, HI 96725	DIRECTOR 1.00	10,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes. a Average monthly fair market value of securities		1a	17,004,883.
b Average of monthly cash balances		1b	611,999.
c Fair market value of all other assets (see instructions)		1c	238,513.
d Total (add lines 1a, b, and c)		1d	17,855,395.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2 Acquisition indebtedness applicable to line 1 assets		2	0.
3 Subtract line 2 from line 1d		3	17,855,395.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	267,831.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	17,587,564.
6 **Minimum investment return.** Enter 5% of line 5		6	879,378.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	879,378.
2a Tax on investment income for 2009 from Part VI, line 5	2a 4,069.		
b Income tax for 2009. (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b		2c	4,069.
3 Distributable amount before adjustments. Subtract line 2c from line 1		3	875,309.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	875,309.
6 Deduction from distributable amount (see instructions)		6	
7 **Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	875,309.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	926,043.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	926,043.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	4,069.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	921,974.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				875,309.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			1,798.	
b Total for prior years: 20___, 20___, 20___		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ► $ 926,043.				
a Applied to 2008, but not more than line 2a			1,798.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2009 distributable amount				875,309.
e Remaining amount distributed out of corpus	48,936.			
5 Excess distributions carryover applied to 2009. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5	48,936.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	48,936.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009 48,936.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a 'Assets' alternative test – enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 13

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT FOR LINE 2A

c Any submission deadlines

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 14				
Total			► **3a**	752,330.
b *Approved for future payment*				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (see the instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	511,168.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-1,387,843.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a FROM K-1			14	-1,840.	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				-878,515.	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 -878,515.

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See the instructions.)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

SIGN HERE

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

► Douglas D. Troxel 2010/11/11 ► President

Signature of officer or trustee — Date — Title

Paid Preparer's Use Only

Preparer's signature ► [signature] CPA	Date 11/9/10	Check if self-employed ► ☐	Preparer's Identifying number (See **Signature** in the instrs) N/A
Firm's name (or yours if self-employed), address, and ZIP code ► MOHLER, NIXON & WILLIAMS ACCOUNTANCY CORP. 635 CAMPBELL TECHNOLOGY PKWY CAMPBELL, CA 95008-5071		EIN ► N/A	Phone no ► (408) 369-2400

Form **8868**
(Rev April 2009)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ► [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless *you have already been granted an automatic 3-month extension on a previously filed Form 8868.*

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ► [ ]

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing *(e-file)*. Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T) However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Type or print	Name of Exempt Organization CHANGE HAPPENS FOUNDATION A DELAWARE NONPROFIT ORGANIZATION	**Employer identification number** 20-5222620
File by the due date for filing your return See instructions	Number, street, and room or suite number If a P O box, see instructions 75-5710 MAMALAHOA HIGHWAY	
	City, town or post office, state, and ZIP code For a foreign address, see instructions HOLUALOA, HI 96725	

Check type of return to be filed (file a separate application for each return):

[ ] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (section 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990 EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- The books are in the care of ► ELAINE FUKUSHIMA

Telephone No. ► 808-322-7342 FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ► [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box ► [ ] If it is for part of the group, check this box ► [ ] and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 10, to file the exempt organization return for the organization named above. The extension is for the organization's return for

► [X] calendar year 20 09 or

► [ ] tax year beginning ________, 20 ___, and ending ________, 20 ___

2 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	3a	$ 4,149.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 7,475.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ► [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print

File by the extended due date for filing the return See instructions

Name of Exempt Organization	CHANGE HAPPENS FOUNDATION A DELAWARE NONPROFIT ORGANIZATION
Employer identification number	20-5222620
Number, street, and room or suite number If a P O box, see instructions	75-5710 MAMALAHOA HIGHWAY
For IRS use only	
City, town or post office, state, and ZIP code For a foreign address, see instructions	HOLUALOA, HI 96725

Check type of return to be filed (File a separate application for each return)

- [ ] Form 990
- [ ] Form 990-BL
- [ ] Form 990-EZ
- [X] Form 990-PF
- [ ] Form 990-T (section 401(a) or 408(a) trust)
- [ ] Form 990-T (trust other than above)
- [ ] Form 1041-A
- [ ] Form 4720
- [ ] Form 5227
- [ ] Form 6069
- [ ] Form 8870

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of ► ELAINE FUKUSHIMA
  Telephone No. ► 808-322-7342 FAX No. ►
- If the organization does not have an office or place of business in the United States, check this box . . . . ► [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ► [ ] . If it is for part of the group, check this box ► [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15 , 20 10

5 For calendar year 2009 , or other tax year beginning ______ , 20 __ , and ending ______ , 20 __ .

6 If this tax year is for less than 12 months, check reason. [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension TAXPAYER RESPECTFULLY REQUESTS ADDITIONAL TIME TO GATHER INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	8a	$ 4,142.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 7,475.
c	**Balance Due.** Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instrs	8c	$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ► [signature] Title ► CPA Date ► 8/10/10

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
FROM K-1	$ -1,840.		
TOTAL	$ -1,840.	$ 0.	$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	$ 17,512.			$ 17,512.
TOTAL	$ 17,512.	$ 0.		$ 17,512.

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	$ 48,470.			$ 48,470.
TOTAL	$ 48,470.	$ 0.		$ 48,470.

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING SERVICES	$ 96,862.	$ 96,862.		
TOTAL	$ 96,862.	$ 96,862.		$ 0.

STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	$ 8,500.			
FOREIGN TAX	7,021.	$ 7,021.		
PAYROLL TAXES	5,602.			$ 5,602.
TOTAL	$ 21,123.	$ 7,021.		$ 5,602.

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES & MEMBERSHIP	$ 3,450.			$ 3,450.
FILING FEES	10.			10.
OFFICE EXPENSE	2,207.			2,207.
PORTFOLIO DEDUCTIONS	383.	$ 383.		
POSTAGE	568.			568.
TELEPHONE	1,144.			1,144.
TOTAL	$ 7,762.	$ 383.		$ 7,379.

STATEMENT 7
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

OTHER INVESTMENTS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
820,000 SHS SERENA SOFTWARE, INC	MKT VAL	$ 2,451,800.	$ 2,451,800.
SEE ATTACHED STATEMENT #036209	MKT VAL	672,729.	672,729.
SEE ATTACHED STATEMENT #003203	MKT VAL	417,626.	417,626.
SEE ATTACHED STATEMENT #036191	MKT VAL	454,334.	454,334.
SEE ATTACHED STATEMENT #036183	MKT VAL	562,975.	562,975.
SEE ATTACHED STATEMENT #036217	MKT VAL	450,438.	450,438.
SEE ATTACHED STATEMENT #036175	MKT VAL	580,813.	580,813.
SEE ATTACHED STATEMENT #003195	MKT VAL	656,434.	656,434.
SEE ATTACHED STATEMENT #036225	MKT VAL	522,068.	522,068.
SEE ATTACHED STATEMENT #036167	MKT VAL	1,298,964.	1,298,964.
SEE ATTACHED STATEMENT #009034	MKT VAL	3,502,723.	3,502,723.
SEE ATTACHED STATEMENT #716933	MKT VAL	5,891,215.	5,891,215.
POWERSHARES DB US DOLLAR PARTNERSHIP	MKT VAL	196,012.	196,012.
INERGY L.P.	MKT VAL	6,948.	6,948.
BUCKEYE PARTNERS, L.P.	MKT VAL	3,869.	3,869.
ORION FUTURES FUND L.P.	MKT VAL	380,579.	380,579.
TOTAL		$ 18,049,527.	$ 18,049,527.

STATEMENT 8
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
MACHINERY AND EQUIPMENT	$ 2,088.	$ 2,088.	$ 0.	$ 0.
TOTAL	$ 2,088.	$ 2,088.	$ 0.	$ 0.

STATEMENT 9
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
EXCISE TAX RECEIVABLE	$ 141,541.	$ 141,541.
TOTAL	$ 141,541.	$ 141,541.

STATEMENT 10
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

PAYROLL TAX PAYABLE	$ 802.
TOTAL	$ 802.

STATEMENT 11
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

UNREALIZED GAIN ON SECURITIES	$ 2,615,189.
TOTAL	$ 2,615,189.

STATEMENT 12
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	SEE ATTACHED CREDIT SUISSE #9034	PURCHASED	VARIOUS	VARIOUS
2	SEE ATTACHED CREDIT SUISSE #9034	PURCHASED	VARIOUS	VARIOUS
3	SEE ATTACHED CREDIT SUISSE #3203	PURCHASED	VARIOUS	VARIOUS
4	SEE ATTACHED CREDIT SUISSE #3203	PURCHASED	VARIOUS	VARIOUS
5	SEE ATTACHED CREDIT SUISSE #3195	PURCHASED	VARIOUS	VARIOUS
6	SEE ATTACHED CREDIT SUISSE #3195	PURCHASED	VARIOUS	VARIOUS
7	SEE ATTACHED CREDIT SUISSE #6225	PURCHASED	VARIOUS	VARIOUS
8	SEE ATTACHED CREDIT SUISSE #6225	PURCHASED	VARIOUS	VARIOUS
9	SEE ATTACHED CREDIT SUISSE #6217	PURCHASED	VARIOUS	VARIOUS
10	SEE ATTACHED CREDIT SUISSE #6217	PURCHASED	VARIOUS	VARIOUS

STATEMENT 12 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
11	SEE ATTACHED CREDIT SUISSE #6209	PURCHASED	VARIOUS	VARIOUS
12	SEE ATTACHED CREDIT SUISSE #6191	PURCHASED	VARIOUS	VARIOUS
13	SEE ATTACHED CREDIT SUISSE #6183	PURCHASED	VARIOUS	VARIOUS
14	SEE ATTACHED CREDIT SUISSE #6183	PURCHASED	VARIOUS	VARIOUS
15	SEE ATTACHED CREDIT SUISSE #6175	PURCHASED	VARIOUS	VARIOUS
16	SEE ATTACHED CREDIT SUISSE #6175	PURCHASED	VARIOUS	VARIOUS
17	SEE ATTACHED CREDIT SUISSE #6167	PURCHASED	VARIOUS	VARIOUS
18	SEE ATTACHED CREDIT SUISSE #6167	PURCHASED	VARIOUS	VARIOUS
19	SEE ATTACHED CREDIT SUISSE #6933	PURCHASED	VARIOUS	VARIOUS
20	SEE ATTACHED CREDIT SUISSE #6933	PURCHASED	VARIOUS	VARIOUS
21	SEE ATTACHED UBS #505	PURCHASED	VARIOUS	VARIOUS
22	SEE ATTACHED UBS #515	PURCHASED	VARIOUS	VARIOUS
23	SEE ATTACHED UBS #515	PURCHASED	VARIOUS	VARIOUS
24	SEE ATTACHED UBS #513	PURCHASED	VARIOUS	VARIOUS
25	SEE ATTACHED UBS #508	PURCHASED	VARIOUS	VARIOUS
26	SEE ATTACHED UBS #507	PURCHASED	VARIOUS	VARIOUS
27	SEE ATTACHED UBS #507	PURCHASED	VARIOUS	VARIOUS
28	SEE ATTACHED UBS #506	PURCHASED	VARIOUS	VARIOUS
29	SEE ATTACHED UBS #153	PURCHASED	VARIOUS	VARIOUS
30	SEE ATTACHED UBS #153	PURCHASED	VARIOUS	VARIOUS
31	SEE ATTACHED UBS #150	PURCHASED	VARIOUS	VARIOUS
32	SEE ATTACHED UBS #150	PURCHASED	VARIOUS	VARIOUS
33	SEE ATTACHED UBS #147	PURCHASED	VARIOUS	VARIOUS
34	SEE ATTACHED UBS #147	PURCHASED	VARIOUS	VARIOUS
35	SEE ATTACHED USB #155	PURCHASED	VARIOUS	VARIOUS
36	SEE ATTACHED UBS #155	PURCHASED	VARIOUS	VARIOUS
37	$100,000 IOWA STUD LN LIQ REV	PURCHASED	1/29/2008	1/02/2009
38	630 AXA SA SPONS ADR	PURCHASED	VARIOUS	12/07/2009
39	270 BNP PARIBAS SPON ADR	PURCHASED	VARIOUS	11/09/2009
40	358 SOCIETE GENERALE FRANCE SPON ADR	PURCHASED	VARIOUS	11/09/2009
41	CREDIT SUISSE #6183 - CASH IN LIEU	PURCHASED	VARIOUS	VARIOUS
42	WYETH MERGER	PURCHASED	VARIOUS	VARIOUS
43	FROM K-1	PURCHASED	VARIOUS	VARIOUS
44	FROM K-1	PURCHASED	VARIOUS	VARIOUS
45	BUCKEYE PARTNERS - GAIN ADJ	PURCHASED	3/12/2009	11/16/2009
46	CAPITAL GAINS DISTRIBUTIONS	PURCHASED	VARIOUS	VARIOUS

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
1	268,551.		325,356.	-56,805.				$ -56,805.
2	248,812.		300,023.	-51,211.				-51,211.
3	227,692.		220,715.	6,977.				6,977.
4	81,887.		88,867.	-6,980.				-6,980.
5	37,899.		46,511.	-8,612.				-8,612.
6	5,948.		5,861.	87.				87.
7	300,802.		257,483.	43,319.				43,319.
8	374,691.		657,283.	-282,592.				-282,592.
9	598,698.		758,971.	-160,273.				-160,273.
10	9,179.		8,420.	759.				759.
11	573,632.		698,305.	-124,673.				-124,673.
12	317,939.		502,470.	-184,531.				-184,531.
13	326,551.		411,690.	-85,139.				-85,139.
14	7,701.		10,533.	-2,832.				-2,832.
15	435,723.		556,753.	-121,030.				-121,030.

STATEMENT 12 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
16	49,013.		68,785.	-19,772.				$ -19,772.
17	2517511.		2588071.	-70,560.				-70,560.
18	146,915.		263,416.	-116,501.				-116,501.
19	4756001.		4639917.	116,084.				116,084.
20	71,690.		73,158.	-1,468.				-1,468.
21	4,187.		6,187.	-2,000.				-2,000.
22	110,468.		172,339.	-61,871.				-61,871.
23	9,248.		10,371.	-1,123.				-1,123.
24	41,725.		95,224.	-53,499.				-53,499.
25	78,314.		146,594.	-68,280.				-68,280.
26	52,860.		110,168.	-57,308.				-57,308.
27	2,976.		7,343.	-4,367.				-4,367.
28	5,282.		6,885.	-1,603.				-1,603.
29	34,428.		40,279.	-5,851.				-5,851.
30	6,035.		5,904.	131.				131.
31	1,169.		13,110.	-11,941.				-11,941.
32	8,166.		6,667.	1,499.				1,499.
33	176,523.		253,343.	-76,820.				-76,820.
34	24,194.		36,446.	-12,252.				-12,252.
35	2735143.		2640230.	94,913.				94,913.
36	916,641.		915,446.	1,195.				1,195.
37	100,000.		100,000.	0.				0.
38	365.		0.	365.				365.
39	261.		0.	261.				261.
40	284.		0.	284.				284.
41	20.		0.	20.				20.
42	18,543.		0.	18,543.				18,543.
43	0.		9,128.	-9,128.				-9,128.
44	0.		13,824.	-13,824.				-13,824.
45	50.		0.	50.				50.
46	516.		0.	516.				516.
							TOTAL	$ -1387843.

STATEMENT 13
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:	
NAME:	MICHAEL D. TROXEL
CARE OF:	
STREET ADDRESS:	P.O. BOX 415
CITY, STATE, ZIP CODE:	HOLUALOA, HI 96725
TELEPHONE:	
FORM AND CONTENT:	THERE ARE TWO STEPS WHEN APPLYING FOR A GRANT FROM THE CHANGE HAPPENS FOUNDATION.

STEP ONE: LETTER OF INQUIRY

THE APPLICATION PROCESS TO THE CHANGE HAPPENS FOUNDATION BEGINS WITH AN INITIAL LETTER OF INQUIRY WHICH IS REQUIRED TO DETERMINE WHETHER THE FOUNDATION'S CURRENT INTERESTS AND BUDGET ALLOW CONSIDERATION OF THE GRANTSEEKER'S REQUEST.

**STATEMENT 13 (CONTINUED)
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION**

ONLINE FORM
THEY ASK THAT ALL APPLICANTS COMPLETE AND SUBMIT OUR ONLINE LETTER OF INQUIRY FORM PROVIDING BASIC ORGANIZATIONAL AND PROJECT INFORMATION. THEIR WEB ADDRESS IS WWW.CHANGEHAPPENS.US

DOWNLOAD AND MAIL
IF YOU ARE UNABLE TO COMPLETE AND SUBMIT YOUR LETTER OF INQUIRY ONLINE, PLEASE DOWNLOAD AND MAIL THE LETTER OF INQUIRY FORM.

STEP TWO: PROPOSAL

IF YOUR LETTER OF INQUIRY IS ACCEPTED FOR FURTHER CONSIDERATION, YOU WILL BE ASKED TO SUBMIT A FORMAL GRANT PROPOSAL. PLEASE DO NOT SUBMIT A PROPOSAL WITHOUT AN INVITATION TO APPLY

SUBMISSION DEADLINES:

RESTRICTIONS ON AWARDS: THE CHANGE HAPPENS FOUNDATION WILL CONSIDER DIRECTING RESOURCES TO ACTIVITIES OR PROGRAM-RELATED INVESTMENTS THAT ARE WITHIN THE FOUNDATION'S MISSION AND BUDGET LIMITATIONS. GRANTS FOR RELIGIOUS ACTIVITIES, GRADUATE OR UNDERGRADUATE SCHOLARSHIPS WILL NOT BE REVIEWED.

ACTIVITIES SUPPORTED BY GRANTS MUST BE CHARITABLE, EDUCATIONAL, LITERARY, OR SCIENTIFIC, AS DEFINED UNDER THE APPROPRIATE PROVISIONS OF THE U.S. INTERNAL REVENUE CODE AND TREASURY REGULATIONS. THE FOUNDATION MONITORS GRANTS THROUGH REGULAR FINANCIAL AND NARRATIVE REPORTS SUBMITTED BY THE GRANTEE.

**STATEMENT 14
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
WEST HAWAII COMMUNITY HEALTH CENTER 75-5751 KUAKINI HWY, SUITE 203 KAILUA-KONA, HI 96740	NONE	PUBLIC	COMMUNITY PEDIATRIC DENTAL CARE.	$ 30,000.
ONE WARM COAT P.O. BOX 642850 SAN FRANCISCO, CA 94164	NONE	PUBLIC	NATIONAL COAT DRIVES	5,000.

**STATEMENT 14 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
CHALLENGED ATHELETES PLAYING EQUALLY P.O. BOX 10986 MURFREESBORO, TN 37219	NONE	PUBLIC	BOWLING SEASON FOR CHILDREN WITH SPECIAL NEEDS.	$ 1,000.
CENTER FOR MUSIC BY PEOPLE W/DISABILITIE MISSOULA, MT 59801	NONE	PUBLIC	NINTH MUSIC STUDIO FOR DISABLED PEOPLE.	3,000.
JOHNS HOPKINS UNIVERSITY 733 NORTH BROADWAY BALTIMORE, MD 21205	NONE	PUBLIC	RESTORE PROJECT CELL-BASED THERAPIES IN THE CENTRAL NERVOUS SYSTEM	100,000.
FULL BELLY PROJECT PO BOX 7874 WILMINGTON, NC 28406	NONE	PUBLIC	PROJECT: UNIVERSAL NUT SHELLER IN MALAWI AFRICA	40,000.
CENTER FOR BIOLOGICAL DIVERSITY P.O. BOX 710 TUCSON, AZ 85702	NONE	PUBLIC	CAMPAIGN FOR HAWAII'S THREATENED AND ENDANGERED SPECIES	10,000.
BOYS & GIRLS CLUB OF SAN GABRIEL VALLEY 2740 MOUNTAIN VIEW RD EL MONTE, CA 91732	NONE	PUBLIC	RETURN PROJECT AFTERCARE SERVICES FOR JUVENILES	5,000.
CARS 4 CHARITIES 286 GENESEE STREET, SUITE 7 UTICA, NY 13502	NONE	PUBLIC	WEBSITE UPGRADE TO STREAMLINE DONATION PROCESS	6,000.
NATURE'S VOICE OUR CHOICE 3420 SPRING LANE, SUITE 26 FALLS CHURCH, VA 22041	NONE	PUBLIC	URBAN RAIN WATER HARVESTING PROJECT	7,700.
FLORENCE PREVER ROSTEN FOUNDATION P.O. BOX 750 DARBY, MT 59829	NONE	PUBLIC	MAPS MEDIA INSTITUTE MEDIA PRODUCTION TRAINING	10,000.
WOODLANDS TRAIL AND PARK 1112 ENGINEERS ROAD BELLE CHASSE, LA 70037	NONE	PUBLIC	INVASIVE SPECIES REMOVAL PROJECT	4,000.
TIPPAH COUNTY GOOD SAMARITAN CENTER 160 BAILS RD. P.O. BOX 76 RIPLEY, MS 38663	NONE	PUBLIC	FOOD AND REPAIRS FOR TWO FOOD BANKS	5,000.

STATEMENT 14 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
TEACH FOR AMERICA 315 WEST 36TH STREET, 7TH FLOOR NEW YORK, NY 10018	NONE	PUBLIC	CORPS MEMBER TEACHERS IN BIG ISLAND PUBLIC SCHOOLS	$ 8,000.
HAWAII ENDEAVOR 75-170 HUALALAI ROAD, B102 KAILUA, HI 96740	NONE	PUBLIC	HIGH SCHOOL VOCATIONAL MENTORING PROGRAM.	10,000.
VALLEY CARE FOUNDATION 1111 E. STANLEY BLVD. LIVERMORE, CA 94550	NONE	PUBLIC	MOBILE MEDICAL CLINIC FOR THE UNDERSERVED.	5,000.
PLANNED PARENTHOOD OF HAWAII 1350 S. KING STREET, SUITE 309 HONOLULU, HI 96814	NONE	PUBLIC	KONA CLINIC FAMILY PLANNING PROGRAM AND EDUCATIONAL ACTIVITIES ON BIG ISLAND.	60,000.
KONA STATEPARKS ASSOCIATION 76-6246 ALII DRIVE #365 KAILUA KONA, HI 96740	NONE	PUBLIC	CONSTRUCTION OF PERMANENT SKATEPARK IN KONA.	25,000.
MERCY MINISTRIES PO BOX 111060 NASHVILLE, TN 37222	NONE	PUBLIC	COMPUTER EQUIPMENT FOR THE MERCY EMPLOYMENT ASSISTANCE PROGRAM.	3,000.
SHELTER PARTNERSHIP 523 W. 6TH STREET, SUITE 616 LOS ANGELES, CA 90014	NONE	PUBLIC	SUPPORTIVE SERVICES FOR HOMELESS.	5,000.
COMMUNITY HOUSING PARTNERSHIP 280 TURK STREET SAN FRANCISCO, CA 94102	NONE	PUBLIC	SUPPORTIVE HOUSING EMPLOYMENT COLLABORATIVE.	5,000.
THE PLANETARY SOCIETY 85 SOUTH GRAND PASADENA, CA 91105	NONE	PUBLIC	PLANETARY CITIZENSHIP PROJECT	40,000.
WOMENS ECONOMIC VENTURES 333 S. SALINAS ST. SANTA BARBARA, CA 93103	NONE	PUBLIC	SELF-EMPLOYMENT TRAINING COURSE	5,000.

STATEMENT 14 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
UNITED WAY OF CENTRAL SAVANNAH RIVER 630 ELLIS STREET AUGUSTA, GA 30901	NONE	PUBLIC	BORN LEARNING INITIATIVE.	$ 5,000.
CHARACTERS OF CHARACTER P.O. BOX 391 MCHENRY, IL 60050	NONE	PUBLIC	COSTUMES TO PROMOTE CORE VALUES.	8,000.
YMCA OF HAWAII ISLAND 145 ULULANI ST HILO, HI 96720	NONE	PUBLIC	HAMAKUA YOUTH CENTER SERVICES.	4,000.
AMERICAN BIRD CONSERVANCY 4249 LOUDOUN AVE THE PLAINS, VA 20198	NONE	PUBLIC	SAVING HAWAII ENDANGERED NATIVE BIRD SPECIES.	5,000.
COMPUTERS 2 SD KIDS 3510 FRONT ST, SUITE 3D SAN DIEGO, CA 92103	NONE	PUBLIC	TECHNOLOGY ASSISTANCE PROGRAM AND TRAINING FOR LOW INCOME FAMILIES.	10,000.
VIVA VERDE NATURE EDUCATION 1043 TUNITAS CREEK RD. HALF MOON BAY, CA 94019	NONE	PUBLIC	CAMPING ADVENTURES FOR LOW INCOME FAMILY STUDENTS.	5,000.
MARINE CONSERVATION SCIENCE 2809 SOUTH MISSION RD, SUITE G FALLBROOK, CA 92028	NONE	PUBLIC	RESEARCH FOR MANAGING OUR MARINE RESOURCES.	10,000.
FAIRBANKS RESCUE MISSION 723 27TH AVENUE FAIRBANKS, AK 99701	NONE	PUBLIC	GREEN COLLAR JOBS PROGRAM.	3,300.
CLAREMONT GRADUATE UNIVERSITY 150 EAST 10TH STREET CLAREMONT, CA 91711	NONE	PUBLIC	GRADUATE LEVEL CERTIFICATION PROGRAM FOR AUTISM SPECIALISTS.	28,330.
ELEMENTARY INSTITUTE OF SCIENCE 608 51ST STREET SAN DIEGO, CA 92114	NONE	PUBLIC	SCIENCE AND TECHNOLGY ENRICHMENT FOR YOUTH.	10,000.
UNIV OF COLORADO FOUNDATION 4740 WALNUT STREET BOULDER, CO 80301	NONE	PUBLIC	UC BOULDER BIOTECH BUILDING FUNDING.	100,000.

STATEMENT 14 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
FEEDING AMERICA 35 EAST WACKER DRIVE, SUITE 2000 CHICAGO, IL 60601	NONE	PUBLIC		$ 100,000.
WELD FOOD BANK 1108 H STREET GREELEY, CO 80631	NONE	PUBLIC	COMMERCIAL KITCHEN FOR HUNGER RELIEF PROGRAMS.	30,000.
YES READING 1308 FACTORY PLACE LOS ANGELES, CA 90013	NONE	PUBLIC	ONE ON ONE LITERACY PROGRAMS.	10,000.
PROJECT DIGNITY 12020 CHAPMAN AVE, #257 GARDEN GROVE, CA 92840	NONE	PUBLIC	AFTER SCHOOL COMPUTER PROGRAM.	10,000.
PALEAKU GARDENS PEACE SANTUARY 83-5401 PAINTED CHURCH ROAD CAPTIAN COOK, HI 96704	NONE	PUBLIC	GALAXY GARDEN MAINTENANCE.	4,000.
GLOBALHOOD 2 WASHINGTON ST. 20TH FLOOR NEW YORK, NY 10004	NONE	PUBLIC	GLOBAL VOTE INTERNET VOTING.	5,000.
SOCCER KIDS OF AMERICA 5000 LAKEMONT DRIVE RALEIGH , NC 27609	NONE	PUBLIC	AFTER SCHOOL SOCCER PROGRAM FOR AT-RISK ELEMENTARY STUDENTS.	2,000.
BOARD OF TRUSTEES UNIVERSITY OF ILLINOIS 506 SOUTH WRIGHT STREET URBANA , IL 61801	NONE	PUBLIC	UNDERSTANDING APOPTOSIS.	10,000.
			TOTAL	$ 752,330.

CREDIT SUISSE #9034

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
06/02/09	07/17/08	SELL	BLACKROCK GLOBAL ALLOCATION FUND INC	MCLOX	48.656	859.75	740.06	(119 69)
06/02/09	12/15/08	SELL	BLACKROCK GLOBAL ALLOCATION FUND INC	MCLOX	688.280	9,278 01	10,468.73	1,190.72
06/02/09	09/10/08	SELL	EVERGREEN ASSET ALLOCATION FUND	EAAFX	21,090.308	276,072.13	214,488 43	(61,583.70)
06/02/09	12/29/08	SELL	EVERGREEN ASSET ALLOCATION FUND	EAAFX	3,081.138	28,623 77	31,335.17	2,711.40
06/02/09	12/29/08	SELL	EVERGREEN ASSET ALLOCATION FUND	EAAFX	1,132.610	10,521.95	11,518.65	996.70
Total Short Term						**325,355.61**	**268,551.04**	**(56,804.57)**
Long Term								
06/02/09	01/31/08	SELL	BLACKROCK GLOBAL ALLOCATION FUND INC	MCLOX	5,470.460	100,005.25	83,205.70	(16,799.55)
06/02/09	02/01/08	SELL	BLACKROCK GLOBAL ALLOCATION FUND INC	MCLOX	10,888.000	200,017.81	165,606.48	(34,411.33)
Total Long Term						**300,023.06**	**248,812.18**	**(51,210.88)**

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
NATIXIS VAUGHN NELSON SCV

Account Number: 2M9-003203

Recipient's Identification
Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
03/10/09	11/07/08	SELL	AMSURG CORP COM	AMSG	55.000	1,318.44	795.30	(523 14)
03/10/09	11/12/08	SELL	AMSURG CORP COM	AMSG	22.000	501.10	318.12	(182.98)
03/10/09	12/09/08	SELL	AMSURG CORP COM	AMSG	16 000	343.35	231.36	(111 99)
03/10/09	01/16/09	SELL	AMSURG CORP COM	AMSG	19.000	419.48	274.74	(144.74)
03/10/09	01/26/09	SELL	AMSURG CORP COM	AMSG	18.000	371.77	260.28	(111.49)
03/10/09	09/24/08	SELL	ATMOS ENERGY CORP.	ATO	39.000	1,053 80	805.74	(248.06)
03/10/09	10/07/08	SELL	ATMOS ENERGY CORP.	ATO	1.000	27.58	20.66	(6.92)
03/10/09	10/02/08	SELL	BELDEN INC COM	BDC	10 000	279.97	88 80	(191.17)
03/10/09	04/01/08	SELL	HEALTHSPRING INC COM	HS	97.000	1,412.28	510 52	(901.76)
03/10/09	08/04/08	SELL	HEALTHSPRING INC COM	HS	84.000	1,660.07	442.10	(1,217.97)
03/10/09	09/23/08	SELL	HEALTHSPRING INC COM	HS	2.000	42.10	10.53	(31.57)
03/10/09	07/18/08	SELL	INVENTIVE HEALTH INC COM	VTIV	2.000	48.58	13.94	(34.64)
03/10/09	08/25/08	SELL	INVENTIVE HEALTH INC COM	VTIV	205 000	4,448.62	1,428.87	(3,019.75)
03/10/09	09/23/08	SELL	INVENTIVE HEALTH INC COM	VTIV	10.000	175.66	69.70	(105.96)
03/10/09	10/10/08	SELL	INVENTIVE HEALTH INC COM	VTIV	6.000	64.30	41.82	(22.48)
03/10/09	05/07/08	SELL	LHC GROUP INC COM	LHCG	19.000	395.08	330.60	(64.48)
03/10/09	05/12/08	SELL	MEDNAX INC COM	MD	36 000	2,116.90	940.00	(1,176.90)
03/10/09	05/20/08	SELL	MEDNAX INC COM	MD	29.000	1,601.08	757.22	(843.86)

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
NATIXIS VAUGHN NELSON SCV

Account Number: 2M9-003203

Recipient's Identification Number. 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term (continued)								
03/10/09	05/30/08	SELL	MEDNAX INC COM	MD	35.000	1,865.55	913.90	(951 65)
03/10/09	11/07/08	SELL	OWENS AND MINOR INC HLDGS CO INC	OMI	25.000	1,036.91	800.25	(236.66)
03/10/09	10/24/08	SELL	OWENS ILLINOIS INC	OI	226.000	4,185.95	2,283.96	(1,901.99)
03/10/09	11/05/08	SELL	OWENS ILLINOIS INC	OI	27.000	646 26	272.86	(373.40)
03/10/09	12/09/08	SELL	OWENS ILLINOIS INC	OI	10 000	202.14	101 06	(101 08)
03/10/09	01/26/09	SELL	OWENS ILLINOIS INC	OI	21 000	455.74	212.23	(243 51)
03/10/09	02/23/09	SELL	OWENS ILLINOIS INC	OI	1.000	14.77	10.10	(4.67)
03/10/09	05/01/08	SELL	PACTIV CORP COM	PTV	130.000	3,168.86	1,409 21	(1,759.65)
03/10/09	07/31/08	SELL	RAYMOND JAMES FINL INC COM	RJF	80 000	2,356.77	1,035 27	(1,321.50)
03/10/09	09/12/08	SELL	RAYMOND JAMES FINL INC COM	RJF	3.000	93.68	38.82	(54.86)
03/10/09	09/23/08	SELL	RAYMOND JAMES FINL INC COM	RJF	10.000	312 84	129.41	(183 43)
03/10/09	10/09/08	SELL	RAYMOND JAMES FINL INC COM	RJF	1.000	25.17	12.94	(12.23)
03/10/09	10/15/08	SELL	RAYMOND JAMES FINL INC COM	RJF	15 000	347.30	194.12	(153 18)
03/10/09	08/26/08	SELL	SONIC CORP	SONC	177.000	2,474.12	1,143.74	(1,330.38)
03/10/09	09/23/08	SELL	SONIC CORP	SONC	5.000	78.93	32 31	(46.62)
03/10/09	10/10/08	SELL	SONIC CORP	SONC	39.000	442.20	252 01	(190.19)
03/10/09	10/24/08	SELL	SONIC CORP	SONC	33 000	310.38	213.24	(97.14)

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
NATIXIS VAUGHN NELSON SCV

Account Number: 2M9-003203

Recipient's Identification
Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/10/09	12/09/08	SELL	SONIC CORP	SONC	21.000	217.77	135.70	(82.07)
03/10/09	09/24/08	SELL	VECTREN CORP COM	VVC	30.000	824.77	555.90	(268 87)
03/10/09	09/24/08	SELL	WESTAR ENERGY INC COM	WR	40.000	916.60	613.60	(303.00)
03/11/09	05/07/08	SELL	LHC GROUP INC COM	LHCG	10.000	207.94	206.90	(1.04)
03/23/09	06/12/08	SELL	UNITED BANKSHARES INC W. VA	UBSI	14.000	372.78	233 87	(138.91)
03/23/09	07/17/08	SELL	UNITED BANKSHARES INC W. VA	UBSI	49.000	1,138.51	818.54	(319.97)
03/23/09	08/04/08	SELL	UNITED BANKSHARES INC W. VA	UBSI	108.000	2,794.06	1,804.13	(989.93)
03/23/09	10/27/08	SELL	UNITED BANKSHARES INC W. VA	UBSI	11.000	295.35	183.75	(111.60)
03/23/09	03/11/09	SELL	UNITED BANKSHARES INC W. VA	UBSI	10.000	155.90	167.06	11.16
03/27/09	09/23/08	SELL	TREEHOUSE FOODS INC COM	THS	12.000	350.97	345.48	(5.49)
03/27/09	09/24/08	SELL	WESTAR ENERGY INC COM	WR	10.000	229.15	175.71	(53.44)
03/27/09	10/06/08	SELL	WESTAR ENERGY INC COM	WR	26 000	564.74	456.85	(107.89)
03/27/09	10/27/08	SELL	WESTAR ENERGY INC COM	WR	49.000	866.56	860.98	(5.58)
03/27/09	02/24/09	SELL	WESTAR ENERGY INC COM	WR	1.000	16.69	17.57	0.88
04/01/09	10/07/08	SELL	ATMOS ENERGY CORP.	ATO	32.000	882 57	732.31	(150 26)
04/01/09	10/27/08	SELL	ATMOS ENERGY CORP.	ATO	36 000	794.29	823.85	29.56
04/01/09	02/23/09	CLEU	FIRST HORIZON NATL CORP COM	FHN	0 828	7.03	9.13	2.10

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
NATIXIS VAUGHN NELSON SCV

Account Number: 2M9-003203

Recipient's Identification
Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
04/01/09	03/11/09	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	54.000	1,850.03	2,152.99	302.96
04/09/09	10/07/08	SELL	CACI INTL INC CL A	CACI	50.000	2,359 44	1,799.80	(559 64)
04/09/09	07/08/08	SELL	URS CORP NEW COM	URS	20.000	733.15	845.90	112.75
04/13/09	10/02/08	SELL	BELDEN INC COM	BDC	18.000	503.95	256.39	(247.56)
04/13/09	11/03/08	SELL	BELDEN INC COM	BDC	32.000	709.20	455 81	(253.39)
04/13/09	12/04/08	SELL	BELDEN INC COM	BDC	17.000	312.16	242.15	(70 01)
04/13/09	01/16/09	SELL	BELDEN INC COM	BDC	24.000	337.91	341.85	3.94
04/15/09	09/24/08	SELL	VECTREN CORP COM	VVC	23 000	632 33	468.30	(164 03)
04/15/09	10/06/08	SELL	VECTREN CORP COM	VVC	16.000	390.37	325.78	(64.59)
04/15/09	10/27/08	SELL	VECTREN CORP COM	VVC	32.000	708.02	651 55	(56.47)
04/15/09	06/12/08	SELL	WADDELL & REED FINL INC CL A	WDR	44.000	1,569.30	892 41	(676 89)
04/16/09	05/07/08	SELL	LHC GROUP INC COM	LHCG	92.000	1,913.01	1,959.97	46.96
04/16/09	09/23/08	SELL	LHC GROUP INC COM	LHCG	3.000	87.98	63.91	(24.07)
04/16/09	02/23/09	SELL	LHC GROUP INC COM	LHCG	1 000	27.51	21.31	(6 20)
04/16/09	08/13/08	SELL	SRA INTL INC CL A COM	SRX	215.000	5,035.45	3,038 36	(1,997.09)
04/17/09	06/12/08	SELL	WADDELL & REED FINL INC CL A	WDR	32.000	1,141 31	669.20	(472 11)
04/17/09	07/14/08	SELL	WADDELL & REED FINL INC CL A	WDR	45.000	1,401.75	941.07	(460 68)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
04/17/09	07/17/08	SELL	WADDELL & REED FINL INC CL A	WDR	19.000	605.51	397.34	(208.17)
04/20/09	03/11/09	CLEU	WALTER INVT MGMT CORP COM	WAC	0.688	5.34	5.03	(0.31)
04/22/09	10/22/08	SELL	GALLAGHER ARTHUR J & CO	AJG	110 000	2,658.35	2,004.78	(653.57)
04/24/09	03/11/09	CLEU	WALTER INDS INC C/A EFF 04/20/09 1 OLD	93317Q998	0.203	0.47	0.44	(0.03)
04/29/09	12/03/08	SELL	FOSTER WHEELER AG COM	FWLT	3 000	68.89	63.15	(5.74)
04/29/09	12/03/08	SELL	CLIFFS NAT RES INC COM	CLF	9.000	175.73	190.66	14.93
04/29/09	11/03/08	SELL	FACTSET RESEARCH SYSTEMS INC	FDS	4.000	157.09	212.40	55.31
04/29/09	08/05/08	SELL	THE SCOTTS MIRACLE GRO CO HLDG CO	SMG	1 000	23.31	33.51	10.20
04/29/09	08/27/08	SELL	SMITH A O CORP COMMON	AOS	1.000	41.24	30.97	(10.27)
05/06/09	02/25/09	SELL	ABERCROMBIE & FITCH CO CL A	ANF	10.000	224.58	259.02	34.44
05/06/09	11/07/08	SELL	BUCYRUS INTL INC NEW COM	BUCY	10.000	226.15	272.01	45.86
05/06/09	12/03/08	SELL	CLIFFS NAT RES INC COM	CLF	20 000	390.51	604.48	213.97
05/06/09	02/23/09	SELL	FIRST HORIZON NATL CORP COM	FHN	10.000	84.96	135.00	50.04
05/06/09	09/23/08	SELL	FORWARD AIR CORP COM	FWRD	7.000	204 78	133.14	(71.64)
05/06/09	12/05/08	SELL	FORWARD AIR CORP COM	FWRD	8.000	186.95	152.16	(34.79)
05/06/09	12/19/08	SELL	FORWARD AIR CORP COM	FWRD	13.000	281.35	247.26	(34.09)
05/06/09	01/16/09	SELL	FORWARD AIR CORP COM	FWRD	8.000	158.17	152.16	(6 01)

Recipient's Name and Address:
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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
05/06/09	03/11/09	SELL	FORWARD AIR CORP COM	FWRD	10.000	144.50	190.19	45.69
05/06/09	06/27/08	SELL	GENERAL CABLE CORP	BGC	10.000	600.85	357.13	(243 72)
05/06/09	11/18/08	SELL	HANES BRANDS INC COM	HBI	10.000	135.70	165.70	30.00
05/06/09	03/11/09	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	10.000	342.60	474.43	131 83
05/06/09	02/04/09	SELL	LANDSTAR SYSTEMS INC COM	LSTR	10.000	363.71	391.03	27.32
05/06/09	02/04/09	SELL	LINDSAY CORP COM	LNN	10.000	280.45	403.56	123.11
05/06/09	05/08/08	SELL	MICRO SYS INC	MCRS	10 000	306.25	234.91	(71.34)
05/06/09	04/13/09	SELL	PATTERSON UTI ENERGY INC COM	PTEN	10.000	117.31	150.51	33.20
05/06/09	12/04/08	SELL	STEEL DYNAMICS INC COM	STLD	10.000	74.64	135.22	60 58
05/13/09	10/20/08	SELL	EQT CORPORATION COM	EQT	19.000	536.79	679.30	142 51
05/13/09	11/03/08	SELL	FACTSET RESEARCH SYSTEMS INC	FDS	10 000	392.72	546 20	153.48
05/13/09	07/31/08	SELL	RALCORP HOLDINGS INC NEW COM	RAH	22.000	1,191.74	1,347.10	155.36
05/13/09	09/23/08	SELL	SILGAN HLDGS INC COM	SLGN	5 000	261.32	226 45	(34 87)
05/13/09	10/06/08	SELL	SILGAN HLDGS INC COM	SLGN	7 000	344.39	317.04	(27.35)
05/13/09	07/08/08	SELL	URS CORP NEW COM	URS	28 000	1,026.42	1,193.72	167.30
05/13/09	07/17/08	SELL	WADDELL & REED FINL INC CL A	WDR	16.000	509.90	355.69	(154 21)
05/13/09	08/20/08	SELL	WADDELL & REED FINL INC CL A	WDR	19 000	569.80	422.39	(147.41)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
05/14/09	12/03/08	SELL	CLIFFS NAT RES INC COM	CLF	16 000	312.40	350.91	38.51
05/14/09	12/05/08	SELL	CLIFFS NAT RES INC COM	CLF	21.000	400.68	460.57	59 89
05/14/09	12/19/08	SELL	CLIFFS NAT RES INC COM	CLF	11.000	293.15	241.24	(51.91)
05/14/09	03/11/09	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	43.000	1,473.17	1,970.78	497 61
05/14/09	08/13/08	SELL	SRA INTL INC CL A COM	SRX	27.000	632.36	444.47	(187.89)
05/14/09	03/11/09	SELL	WALTER INVT MGMT CORP COM	WAC	14.215	110.44	192.62	82.18
05/14/09	03/27/09	SELL	WALTER INVT MGMT CORP COM	WAC	23.344	238.73	316.32	77.59
05/14/09	04/21/09	SELL	2WALTER INVT MGMT CORP COM	WAC	8 441	0	114.38	114.38
05/19/09	11/03/08	SELL	FACTSET RESEARCH SYSTEMS INC	FDS	25.000	981.79	1,377.55	395.76
05/19/09	07/31/08	SELL	RALCORP HOLDINGS INC NEW COM	RAH	17.000	920.89	1,024.15	103 26
05/20/09	10/20/08	SELL	EQT CORPORATION COM	EQT	79 000	2,231.91	2,979.51	747 60
05/20/09	07/08/08	SELL	URS CORP NEW COM	URS	27.000	989.76	1,304 87	315.11
05/20/09	10/10/08	SELL	URS CORP NEW COM	URS	5 000	106.55	241.64	135 09
05/20/09	10/27/08	SELL	URS CORP NEW COM	URS	17.000	358.11	821.59	463.48
05/20/09	04/29/09	SELL	URS CORP NEW COM	URS	8.000	351.59	386 63	35 04
05/27/09	07/31/08	SELL	RALCORP HOLDINGS INC NEW COM	RAH	29.000	1,570.94	1,646.27	75.33
05/27/09	09/23/08	SELL	RALCORP HOLDINGS INC NEW COM	RAH	2.000	132.63	113 54	(19 09)

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
05/27/09	10/27/08	SELL	RALCORP HOLDINGS INC NEW COM	RAH	1 000	64 40	56 77	(7 63)
05/27/09	03/11/09	SELL	RALCORP HOLDINGS INC NEW COM	RAH	10.000	542.40	567.68	25.28
05/27/09	10/06/08	SELL	SILGAN HLDGS INC COM	SLGN	4.000	196.79	177.93	(18.86)
05/27/09	10/08/08	SELL	SILGAN HLDGS INC COM	SLGN	18.000	808.36	800.68	(7.68)
05/27/09	10/09/08	SELL	SILGAN HLDGS INC COM	SLGN	5.000	225.70	222 41	(3.29)
05/27/09	10/27/08	SELL	SILGAN HLDGS INC COM	SLGN	11 000	459.94	489.30	29.36
05/27/09	03/11/09	SELL	SILGAN HLDGS INC COM	SLGN	10.000	477.00	444.82	(32.18)
05/27/09	09/23/08	SELL	TREEHOUSE FOODS INC COM	THS	51 000	1,491.62	1,372.44	(119.18)
05/27/09	03/11/09	SELL	TREEHOUSE FOODS INC COM	THS	10 000	275.60	269 11	(6.49)
05/27/09	04/29/09	SELL	TREEHOUSE FOODS INC COM	THS	1.000	27 01	26.91	(0.10)
06/02/09	02/25/09	SELL	ABERCROMBIE & FITCH CO CL A	ANF	38.000	853 41	1,203 61	350 20
06/08/09	10/20/08	SELL	EQT CORPORATION COM	EQT	58.000	1,638 61	2,094.42	455 81
06/08/09	11/14/08	SELL	EQT CORPORATION COM	EQT	29.000	876.06	1,047.21	171 15
06/08/09	11/19/08	SELL	EQT CORPORATION COM	EQT	15.000	426.16	541 66	115.50
06/08/09	11/26/08	SELL	EQT CORPORATION COM	EQT	7 000	220 02	252 78	32 76
06/08/09	03/11/09	SELL	EQT CORPORATION COM	EQT	10.000	303.20	361.11	57.91
06/08/09	03/17/09	SELL	EQT CORPORATION COM	EQT	30.000	965.99	1,083 32	117 33

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/08/09	03/24/09	SELL	EQT CORPORATION COM	EQT	3 000	103.20	108.33	5.13
06/08/09	04/29/09	SELL	EQT CORPORATION COM	EQT	10 000	359.26	361.11	1.85
06/12/09	04/02/09	FSLG	2100,000THS FIRST HORIZON NATIONAL	DCA517101	59,250.000	0	7 89	7.89
06/16/09	12/03/08	SELL	FOSTER WHEELER AG COM	FWLT	39 000	895.62	1,011.14	115 52
06/16/09	12/09/08	SELL	FOSTER WHEELER AG COM	FWLT	2 000	49 48	51 85	2.37
06/16/09	03/11/09	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	87.000	2,980.60	4,042.92	1,062.32
06/16/09	08/05/08	SELL	THE SCOTTS MIRACLE GRO CO HLDG CO	SMG	10.000	233 11	349 42	116 31
06/17/09	08/05/08	SELL	THE SCOTTS MIRACLE GRO CO HLDG CO	SMG	4 000	93.25	143.24	49.99
06/25/09	03/11/09	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	16 000	548.16	731.36	183.20
06/25/09	04/15/09	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	188 000	8,109.97	8,593.48	483 51
06/25/09	04/29/09	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	11.000	507.65	502.81	(4.84)
06/25/09	05/27/09	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	59 000	2,726.51	2,696 89	(29 62)
07/01/09	02/23/09	CLEU	FIRST HORIZON NATL CORP COM	FHN	0 235	1.97	2 77	0 80
07/02/09	09/23/08	SELL	ALLIANT TECHSYSTEMS INC	ATK	7.000	692.35	568.58	(123.77)
07/02/09	10/02/08	SELL	ALLIANT TECHSYSTEMS INC	ATK	3.000	270.94	243.68	(27.26)
07/02/09	10/15/08	SELL	ALLIANT TECHSYSTEMS INC	ATK	8.000	673 05	649.80	(23.25)
07/02/09	12/09/08	SELL	ALLIANT TECHSYSTEMS INC	ATK	12.000	987.61	974.70	(12 91)

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TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/02/09	01/26/09	SELL	ALLIANT TECHSYSTEMS INC	ATK	2.000	168.82	162.45	(6.37)
07/02/09	02/23/09	SELL	ALLIANT TECHSYSTEMS INC	ATK	1.000	74 07	81.23	7.16
07/02/09	04/29/09	SELL	ALLIANT TECHSYSTEMS INC	ATK	2.000	161.68	162.45	0 77
07/02/09	03/11/09	SELL	HILLENBRAND INC COM	HI	170.000	2,741.90	2,870 93	129.03
07/02/09	03/25/09	SELL	HILLENBRAND INC COM	HI	31.000	478 87	523 52	44.65
07/10/09	07/17/08	SELL	PACTIV CORP COM	PTV	40.000	846 02	823.21	(22.81)
07/10/09	09/23/08	SELL	PACTIV CORP COM	PTV	4.000	100 22	82 32	(17.90)
07/10/09	10/06/08	SELL	PACTIV CORP COM	PTV	6 000	141.24	123.48	(17.76)
07/14/09	02/23/09	SELL	FIRST HORIZON NATL CORP COM	FHN	177.527	1,484.83	2,143 36	658 53
07/14/09	03/11/09	SELL	FIRST HORIZON NATL CORP COM	FHN	20.316	188.80	245 28	56.48
07/14/09	04/29/09	SELL	FIRST HORIZON NATL CORP COM	FHN	10.158	115.28	122 64	7.36
07/15/09	10/06/08	SELL	PACTIV CORP COM	PTV	13 000	306.03	284.18	(21.85)
07/15/09	10/08/08	SELL	PACTIV CORP COM	PTV	30.000	686.39	655.79	(30.60)
07/15/09	10/09/08	SELL	PACTIV CORP COM	PTV	1.000	24.90	21.86	(3.04)
07/15/09	10/27/08	SELL	PACTIV CORP COM	PTV	36.000	896 92	786.95	(109.97)
07/15/09	03/18/09	SELL	PACTIV CORP COM	PTV	11.000	147.93	240.46	92 53
07/20/09	03/18/09	SELL	PACTIV CORP COM	PTV	49.000	658.95	1,138 82	479 87

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/20/09	03/24/09	SELL	PACTIV CORP COM	PTV	5.000	64.95	116 21	51 26
07/20/09	03/27/09	SELL	PACTIV CORP COM	PTV	17.000	250.55	395.10	144 55
07/20/09	04/01/09	SELL	PACTIV CORP COM	PTV	24 000	357.35	557.79	200.44
07/20/09	04/07/09	SELL	PACTIV CORP COM	PTV	23.000	361.49	534.55	173 06
07/20/09	04/08/09	SELL	PACTIV CORP COM	PTV	28 000	441.72	650.75	209 03
07/20/09	04/29/09	SELL	PACTIV CORP COM	PTV	18 000	397.77	418.33	20.56
07/20/09	08/20/08	SELL	WADDELL & REED FINL INC CL A	WDR	51.000	1,529.45	1,263.40	(266 05)
07/20/09	10/02/08	SELL	WADDELL & REED FINL INC CL A	WDR	45.000	1,051.89	1,114.77	62.88
07/22/09	04/02/09	FSLG	2100,000THS FIRST HORIZON NATIONAL	DCA517101	23,531.000	0	2.76	2.76
07/28/09	12/09/08	SELL	FOSTER WHEELER AG COM	FWLT	2 000	49.47	44.90	(4.57)
07/28/09	01/16/09	SELL	FOSTER WHEELER AG COM	FWLT	22.000	521.73	493.90	(27 83)
07/28/09	01/26/09	SELL	FOSTER WHEELER AG COM	FWLT	11.000	261.06	246 95	(14.11)
07/28/09	02/13/09	SELL	FOSTER WHEELER AG COM	FWLT	13 000	295.07	291.85	(3.22)
07/28/09	02/25/09	SELL	FOSTER WHEELER AG COM	FWLT	12.000	171.74	269.40	97 66
07/28/09	03/11/09	SELL	FOSTER WHEELER AG COM	FWLT	9 000	156.51	202.05	45 54
07/28/09	03/11/09	SELL	FOSTER WHEELER AG COM	FWLT	41 000	712 99	939.72	226.73
07/28/09	03/30/09	SELL	FOSTER WHEELER AG COM	FWLT	22.000	376.61	504.24	127 63

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TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/28/09	05/06/09	SELL	FOSTER WHEELER AG COM	FWLT	20.000	443 20	458.40	15.20
07/31/09	11/07/08	SELL	BUCYRUS INTL INC NEW COM	BUCY	31.000	701.05	921.37	220.32
07/31/09	12/09/08	SELL	BUCYRUS INTL INC NEW COM	BUCY	6.000	106 02	178.33	72 31
07/31/09	12/17/08	SELL	BUCYRUS INTL INC NEW COM	BUCY	28.000	610.89	832 20	221.31
07/31/09	01/16/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	5.000	89.40	148.61	59.21
07/31/09	12/19/08	SELL	CLIFFS NAT RES INC COM	CLF	5.000	133.25	137.20	3.95
07/31/09	01/16/09	SELL	CLIFFS NAT RES INC COM	CLF	8 000	177.89	219 53	41 64
07/31/09	03/24/09	SELL	CLIFFS NAT RES INC COM	CLF	14.000	240.66	384.17	143 51
07/31/09	03/25/09	SELL	CLIFFS NAT RES INC COM	CLF	43.000	785 87	1,179.96	394.09
07/31/09	04/07/09	SELL	CLIFFS NAT RES INC COM	CLF	18.000	340.20	493.94	153.74
07/31/09	04/13/09	SELL	PATTERSON UTI ENERGY INC COM	PTEN	117 000	1,372.51	1,608.45	235 94
07/31/09	12/04/08	SELL	STEEL DYNAMICS INC COM	STLD	121.000	903.13	1,992 06	1,088 93
07/31/09	12/05/08	SELL	STEEL DYNAMICS INC COM	STLD	29.000	233.83	477.43	243 60
07/31/09	03/11/09	SELL	WALTER ENERGY INC COM	WLT	46 000	752.79	2,190 43	1,437 64
08/05/09	11/18/08	SELL	HANES BRANDS INC COM	HBI	53.000	719.22	1,054.72	335.50
08/07/09	02/25/09	SELL	ABERCROMBIE & FITCH CO CL A	ANF	30.000	673.75	973 57	299 82
08/07/09	10/22/08	SELL	GALLAGHER ARTHUR J & CO	AJG	40.000	966.67	939.61	(27.06)

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TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
08/10/09	09/12/08	SELL	CONCHO RES INC COM	CXO	9 000	245 41	308.87	63.46
08/10/09	10/02/08	SELL	CONCHO RES INC COM	CXO	41.000	992.01	1,407.07	415.06
08/10/09	11/18/08	SELL	HANES BRANDS INC COM	HBI	62.000	841.35	1,292 25	450.90
08/10/09	03/11/09	SELL	WEST PHARMACEUTICAL SVCS INC COM	WST	55 000	1,649.32	2,149.04	499.72
08/12/09	09/23/08	SELL	HEALTH CARE SERVICES GROUP	HCSG	10.000	198.98	178.47	(20.51)
08/12/09	04/29/09	SELL	HEALTH CARE SERVICES GROUP	HCSG	1 000	18.17	17.85	(0.32)
08/14/09	02/25/09	SELL	ABERCROMBIE & FITCH CO CL A	ANF	56.000	1,257 66	1,923.05	665.39
08/14/09	03/11/09	SELL	ABERCROMBIE & FITCH CO CL A	ANF	30 000	571 20	1,030.20	459 00
08/14/09	04/29/09	SELL	ABERCROMBIE & FITCH CO CL A	ANF	7 000	187.39	240 38	52 99
08/14/09	05/27/09	SELL	ABERCROMBIE & FITCH CO CL A	ANF	14 000	403.73	480 76	77 03
08/14/09	11/03/08	SELL	FACTSET RESEARCH SYSTEMS INC	FDS	21.000	824.70	1,156 70	332 00
08/14/09	10/22/08	SELL	GALLAGHER ARTHUR J & CO	AJG	36 000	870 00	870.13	0.13
08/14/09	10/27/08	SELL	GALLAGHER ARTHUR J & CO	AJG	19.000	428 20	459 24	31.04
08/14/09	03/11/09	SELL	GALLAGHER ARTHUR J & CO	AJG	20 000	318.20	483 41	165.21
08/14/09	04/29/09	SELL	GALLAGHER ARTHUR J & CO	AJG	6 000	131.64	145 02	13.38
08/18/09	11/18/08	SELL	PATTERSON COS INC COM	PDCO	80.000	1,724 26	2,000 55	276 29
08/18/09	12/05/08	SELL	STEEL DYNAMICS INC COM	STLD	10.000	80.63	162.46	81.83

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
08/18/09	01/16/09	SELL	STEEL DYNAMICS INC COM	STLD	10.000	109.67	162 46	52.79
08/18/09	01/26/09	SELL	STEEL DYNAMICS INC COM	STLD	31.000	334 60	503 62	169 02
08/18/09	04/07/09	SELL	STEEL DYNAMICS INC COM	STLD	9.000	81 70	146 21	64.51
08/21/09	02/04/09	SELL	LINDSAY CORP COM	LNN	18.000	504.81	804.53	299.72
08/21/09	09/23/08	SELL	MICROSEMI CORP COM	MSCC	4.000	101.68	53 39	(48 29)
08/21/09	04/07/09	SELL	STEEL DYNAMICS INC COM	STLD	31.000	281.42	524.89	243 47
08/21/09	04/13/09	SELL	STEEL DYNAMICS INC COM	STLD	1.000	10.95	16 93	5 98
08/21/09	04/29/09	SELL	STEEL DYNAMICS INC COM	STLD	14.000	162.96	237.05	74 09
08/21/09	05/27/09	SELL	STEEL DYNAMICS INC COM	STLD	25.000	368.75	423.30	54.55
08/21/09	06/22/09	SELL	STEEL DYNAMICS INC COM	STLD	22.000	306.20	372.51	66.31
08/26/09	04/15/09	SELL	ROFIN SINAR TECHNOLOGIES INC	RSTI	16.000	299 95	401.08	101.13
08/27/09	09/23/08	SELL	ARENA RES INC COM	ARD	7.000	324.31	219.42	(104.89)
08/27/09	01/16/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	6.000	107.27	183.20	75.93
08/27/09	01/26/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	18.000	286.40	549.61	263 21
08/27/09	02/12/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	4.000	60.74	122.14	61.40
08/27/09	02/25/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	5.000	63 81	152.67	88 86
08/27/09	05/21/09	SELL	OIL STATE INTERNATIONAL INC	OIS	8 000	190 87	240.49	49 62

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
08/27/09	04/13/09	SELL	PATTERSON UTI ENERGY INC COM	PTEN	58.000	680.39	786.21	105.82
08/27/09	08/11/09	SELL	UNIT CORP NEW	UNT	27.000	1,004 26	1,025 54	21.28
08/27/09	03/11/09	SELL	WALTER ENERGY INC COM	WLT	4 000	65.46	216.28	150 82
08/27/09	03/27/09	SELL	WALTER ENERGY INC COM	WLT	3 000	64 63	162.21	97 58
08/27/09	03/27/09	SELL	WALTER ENERGY INC COM	WLT	12.000	258.52	657.07	398 55
08/28/09	08/11/09	SELL	APOLLO INVT CORP COM SHS BEN INT	AINV	7 000	61.99	65.25	3 26
08/28/09	04/07/09	SELL	CLIFFS NAT RES INC COM	CLF	31.000	585 90	807.87	221.97
08/28/09	04/15/09	SELL	CLIFFS NAT RES INC COM	CLF	14.000	265.44	364 84	99.40
08/28/09	06/25/09	SELL	CLIFFS NAT RES INC COM	CLF	47.000	1,118.79	1,224.84	106.05
08/28/09	11/18/08	SELL	HANES BRANDS INC COM	HBI	9.000	122.13	191.92	69 79
08/28/09	02/04/09	SELL	LINDSAY CORP COM	LNN	6.000	168.27	259 02	90.75
08/28/09	07/21/09	SELL	QLOGIC CORP	QLGC	27.000	376.19	432.55	56.36
08/28/09	08/14/09	SELL	TRIQUINT SEMICONDUCTOR INC	TQNT	14.000	90.72	103.61	12 89
08/28/09	10/02/08	SELL	WADDELL & REED FINL INC CL A	WDR	16.000	374 01	430.10	56 09
08/28/09	10/10/08	SELL	WADDELL & REED FINL INC CL A	WDR	36.000	528.59	967.74	439.15
09/08/09	Please Provide	*****	IPC HOLDINGS LTD BERMUDA SHS CASH AND	G4933P101	6 000	0 ~~Please Provide~~	0.08 Please Provide	0 08
09/08/09	Please Provide	*****	IPC HOLDINGS LTD BERMUDA SHS CASH AND	G4933P101	6.000	0 ~~Please Provide~~	14.66 Please Provide	14.66

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TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
09/08/09	Please Provide	*****	IPC HOLDINGS LTD BERMUDA SHS CASH AND	G4933P101	7 000	~~Please Provide~~ 0	~~Please Provide~~ 32.83	32.83
09/08/09	Please Provide	*****	IPC HOLDINGS LTD BERMUDA SHS CASH AND	G4933P101	8 000	~~Please Provide~~ 0	~~Please Provide~~ [illegible]	47.07
09/08/09	Please Provide	*****	IPC HOLDINGS LTD BERMUDA SHS CASH AND	G4933P101	10 000	~~Please Provide~~ 0	~~Please Provide~~ 41.22	41.22
09/14/09	11/03/08	SELL	FACTSET RESEARCH SYSTEMS INC	FDS	30.000	1,178.15	1,807.16	629.01
09/14/09	09/23/08	SELL	MICROSEMI CORP COM	MSCC	1 000	25.42	14.77	(10.65)
09/14/09	12/12/08	SELL	MICROSEMI CORP COM	MSCC	32.000	431.75	472.65	40 90
09/21/09	06/22/09	CLEU	FIRSTMERIT CORP COM	FMER	0.259	4.28	4.74	0.46
09/22/09	05/29/09	SELL	CHIMERA INVT CORP COM	CIM	119 000	405 79	509.44	103.65
09/22/09	11/03/08	SELL	FACTSET RESEARCH SYSTEMS INC	FDS	16 000	628.35	1,085 77	457 42
09/22/09	11/05/08	SELL	FACTSET RESEARCH SYSTEMS INC	FDS	5 000	199 22	339.30	140 08
09/22/09	12/12/08	SELL	MICROSEMI CORP COM	MSCC	6 000	80.95	93 42	12.47
09/22/09	01/26/09	SELL	MICROSEMI CORP COM	MSCC	24.000	201.81	373.70	171 89
09/22/09	02/13/09	SELL	MICROSEMI CORP COM	MSCC	1 000	10.69	15.57	4 88
09/22/09	03/11/09	SELL	WEST PHARMACEUTICAL SVCS INC COM	WST	13.000	389 84	525 07	135.23
09/24/09	11/05/08	SELL	FACTSET RESEARCH SYSTEMS INC	FDS	4.000	159.37	273.50	114.13
09/24/09	11/14/08	SELL	FACTSET RESEARCH SYSTEMS INC	FDS	8.000	299.03	547.00	247 97
09/24/09	01/16/09	SELL	FACTSET RESEARCH SYSTEMS INC	FDS	4.000	172.22	273.50	101 28

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
09/24/09	03/11/09	SELL	FACTSET RESEARCH SYSTEMS INC	FDS	10.000	365.20	683 75	318 55
09/24/09	06/23/09	SELL	FACTSET RESEARCH SYSTEMS INC	FDS	3.000	142.75	205.12	62 37
10/01/09	02/13/09	SELL	MICROSEMI CORP COM	MSCC	33.000	352 67	496.55	143.88
10/01/09	04/29/09	SELL	WENDYS ARBYS GROUP INC COM	WEN	9.000	49.40	42.56	(6.84)
10/01/09	08/04/09	SELL	WENDYS ARBYS GROUP INC COM	WEN	173.000	833 86	818.15	(15.71)
10/01/09	08/14/09	SELL	WENDYS ARBYS GROUP INC COM	WEN	67.000	358 37	316 85	(41.52)
10/01/09	08/20/09	SELL	WENDYS ARBYS GROUP INC COM	WEN	66.000	350 43	312.12	(38.31)
10/05/09	02/13/09	SELL	MICROSEMI CORP COM	MSCC	133 000	1,421.35	2,015.45	594 10
10/05/09	04/29/09	SELL	MICROSEMI CORP COM	MSCC	11.000	151.68	166.69	15.01
10/14/09	06/23/09	SELL	FACTSET RESEARCH SYSTEMS INC	FDS	19.000	904.08	1,248.69	344.61
10/14/09	04/13/09	SELL	PATTERSON UTI ENERGY INC COM	PTEN	51.000	598.27	856.82	258.55
10/14/09	10/27/08	SELL	SRA INTL INC CL A COM	SRX	5 000	84 68	102 43	17.75
10/16/09	04/13/09	SELL	PATTERSON UTI ENERGY INC COM	PTEN	1.000	11.73	17.17	5 44
10/20/09	02/04/09	SELL	LANDSTAR SYSTEMS INC COM	LSTR	25.000	909.28	927.34	18 06
10/20/09	02/04/09	SELL	LINDSAY CORP COM	LNN	15.000	420.67	477.46	56.79
10/20/09	02/09/09	SELL	LINDSAY CORP COM	LNN	12.000	361 22	381.97	20.75
10/20/09	03/11/09	SELL	LINDSAY CORP COM	LNN	1.000	25.99	31.83	5.84

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/20/09	04/13/09	SELL	PATTERSON UTI ENERGY INC COM	PTEN	48.000	563.08	816.02	252.94
10/20/09	05/13/09	SELL	PRIVATEBANCORP INC COM	PVTB	86.000	1,757.43	1,813 55	56.12
10/20/09	05/15/09	SELL	PRIVATEBANCORP INC COM	PVTB	32.000	615 64	674 81	59.17
10/21/09	06/16/09	SELL	FBR CAP MKTS CORP COM	FBCM	280.000	1,304.80	1,659.68	354.88
10/21/09	04/13/09	SELL	PATTERSON UTI ENERGY INC COM	PTEN	62.000	727.32	1,117.89	390.57
10/21/09	04/15/09	SELL	PATTERSON UTI ENERGY INC COM	PTEN	25.000	303.75	450 76	147.01
10/21/09	04/29/09	SELL	PATTERSON UTI ENERGY INC COM	PTEN	11.000	152 57	198 33	45.76
10/21/09	06/22/09	SELL	PATTERSON UTI ENERGY INC COM	PTEN	26 000	303.93	468.79	164 86
10/21/09	05/15/09	SELL	PRIVATEBANCORP INC COM	PVTB	25 000	480.97	500 66	19.69
10/21/09	05/19/09	SELL	PRIVATEBANCORP INC COM	PVTB	12.000	239.74	240.32	0 58
10/21/09	06/17/09	SELL	PRIVATEBANCORP INC COM	PVTB	34 000	730.80	680 90	(49.90)
10/22/09	10/24/08	SELL	CULLEN FROST BANKERS	CFR	11.000	555 39	529.83	(25.56)
10/22/09	01/30/09	SELL	CULLEN FROST BANKERS	CFR	9.000	398.42	433.50	35.08
10/22/09	02/04/09	SELL	CULLEN FROST BANKERS	CFR	2 000	86 28	96.33	10 05
10/22/09	03/24/09	SELL	CULLEN FROST BANKERS	CFR	8 000	379 20	385.33	6 13
10/22/09	04/29/09	SELL	CULLEN FROST BANKERS	CFR	4 000	193.04	192.66	(0 38)
10/22/09	07/22/09	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	89.000	4,299.29	5,040 94	741.65

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term (continued)								
10/22/09	08/14/09	SELL	RF MICRO DEVICES INC COM	RFMD	160.000	815.94	630 75	(185.19)
10/22/09	08/20/09	SELL	RF MICRO DEVICES INC COM	RFMD	114.000	568.85	449.41	(119.44)
10/22/09	08/24/09	SELL	RF MICRO DEVICES INC COM	RFMD	87 000	442.80	342 97	(99 83)
10/22/09	08/25/09	SELL	RF MICRO DEVICES INC COM	RFMD	117 000	596.69	461.24	(135.45)
10/22/09	09/15/09	SELL	RF MICRO DEVICES INC COM	RFMD	110.000	628.06	433.64	(194 42)
10/22/09	10/12/09	SELL	RF MICRO DEVICES INC COM	RFMD	34.000	165.58	134 04	(31.54)
10/23/09	02/25/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	15.000	191.41	722.55	531.14
10/23/09	03/11/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	27.000	367.36	1,300 60	933 24
10/23/09	11/18/08	SELL	WADDELL & REED FINL INC CL A	WDR	9.000	94.54	270.29	175 75
10/23/09	12/19/08	SELL	WADDELL & REED FINL INC CL A	WDR	3 000	41.19	90.09	48 90
10/28/09	12/19/08	SELL	WADDELL & REED FINL INC CL A	WDR	16.000	219.67	469.28	249 61
11/04/09	10/20/09	SELL	CYTEC INDS INC	CYT	36 000	1,331.05	1,212 50	(118 55)
11/11/09	03/11/09	SELL	BUCYRUS INTL INC NEW COM	BUCY	13 000	176 88	687.63	510.75
11/20/09	10/20/09	SELL	CYTEC INDS INC	CYT	45.000	1,663.82	1,562.66	(101.16)
11/27/09	08/07/09	SELL	PETROQUEST ENERGY INC COM	PQ	92.000	430.99	529.02	98 03
12/01/09	07/07/09	SELL	ZOLL MEDICAL CORP	ZOLL	56.000	1,033.44	1,412.20	378.76
12/02/09	07/07/09	SELL	ZOLL MEDICAL CORP	ZOLL	85.000	1,568.62	2,121.65	553.03

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
12/07/09	05/06/09	SELL	AUTOLIV INC COM	ALV	41.000	1,057.74	1,694.40	636 66
12/07/09	07/22/09	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	61.000	2,946.70	3,451.38	504.68
12/07/09	08/04/09	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	86.000	4,577.77	4,865 88	288 11
12/07/09	08/07/09	SELL	PETROQUEST ENERGY INC COM	PQ	128 000	599 65	692.23	92 58
12/07/09	08/11/09	SELL	PETROQUEST ENERGY INC COM	PQ	36.000	164 16	194 69	30.53
12/07/09	09/15/09	SELL	PETROQUEST ENERGY INC COM	PQ	70 000	386 38	378.56	(7 82)
12/14/09	07/21/09	SELL	QLOGIC CORP	QLGC	92.000	1,281.82	1,690.68	408 86
12/21/09	04/16/09	SELL	VALIDUS HLDGS LTD COM SHS	VR	6.809	166 24	182.34	16.10
12/21/09	04/29/09	SELL	VALIDUS HLDGS LTD COM SHS	VR	7.782	189 99	208.39	18.40
12/21/09	06/04/09	SELL	VALIDUS HLDGS LTD COM SHS	VR	9.727	237.48	260 48	23.00
12/21/09	09/09/09	SELL	COEUR D ALENE MINES CORP IDAHO COM NEW	CDE	70 000	1,354.50	1,281.24	(73 26)
12/21/09	09/14/09	SELL	COEUR D ALENE MINES CORP IDAHO COM NEW	CDE	26.000	509 08	475.89	(33.19)
12/21/09	10/28/09	SELL	COEUR D ALENE MINES CORP IDAHO COM NEW	CDE	27.000	561.33	494.20	(67.13)
12/21/09	08/04/09	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	114.000	6,068.21	6,608.58	540 37
12/21/09	10/13/09	SELL	ISHARES TR RUSSELL 2000 VALUE INDEX FD	IWN	1.000	57.46	57.97	0 51
12/21/09	03/11/09	SELL	WADDELL & REED FINL INC CL A	WDR	30 000	405.60	912 04	506.44
12/28/09	05/29/09	SELL	CHIMERA INVT CORP COM	CIM	612 000	2,086.92	2,543 24	456 32
Total Short Term						**220,714.68**	227,692.05	6977.37

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term								
03/10/09	06/29/07	SELL	HEALTHSPRING INC COM	HS	99.000	1,885.71	521.05	(1,364.66)
03/10/09	08/03/07	SELL	HEALTHSPRING INC COM	HS	55.000	1,020.25	289.47	(730.78)
03/10/09	08/03/07	SELL	LHC GROUP INC COM	LHCG	31.000	712.07	539.40	(172.67)
03/10/09	04/25/07	SELL	OWENS AND MINOR INC HLDGS CO INC	OMI	26 000	941.92	832.26	(109.66)
03/10/09	11/09/07	SELL	TYLER TECHNOLOGIES INC COM	TYL	20 000	307.33	248 00	(59.33)
03/10/09	02/13/07	SELL	UNITED FIRE & CAS CO COM	UFCS	10 000	347.55	174.10	(173 45)
03/10/09	01/02/08	SELL	WENDYS ARBYS GROUP INC COM	WEN	120.000	1,035.02	479 02	(556.00)
04/09/09	04/01/08	SELL	URS CORP NEW COM	URS	40.000	1,336.85	1,691 80	354 95
04/15/09	08/03/07	SELL	FORWARD AIR CORP COM	FWRD	27 000	954.45	436.36	(518.09)
04/15/09	10/31/07	SELL	FORWARD AIR CORP COM	FWRD	19.000	619.17	307 07	(312 10)
04/16/09	03/10/08	SELL	SILGAN HLDGS INC COM	SLGN	22.000	1,039.13	1,146 26	107 13
04/16/09	03/25/08	SELL	SILGAN HLDGS INC COM	SLGN	52.000	2,534.97	2,709.34	174.37
04/28/09	11/14/06	SELL	AARONS INC COM	AAN	20.000	519.54	673 82	154.28
04/29/09	03/24/08	SELL	ARENA RES INC COM	ARD	1.000	35.51	29.43	(6.08)
04/29/09	08/03/07	SELL	NORDSON CORP	NDSN	1.000	46.63	36.41	(10 22)
04/29/09	03/25/08	SELL	SILGAN HLDGS INC COM	SLGN	1.000	48.75	51.26	2 51
04/29/09	06/18/07	SELL	SYBASE INC COM	SY	2.000	47.16	68.50	21.34

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TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
04/29/09	06/21/07	SELL	SYBASE INC COM	SY	3 000	73.87	102.75	28.88
04/29/09	02/13/07	SELL	UNITED FIRE & CAS CO COM	UFCS	15.000	521.32	284.04	(237 28)
04/29/09	06/29/07	SELL	UNITED FIRE & CAS CO COM	UFCS	47 000	1,706.08	889 99	(816.09)
04/29/09	08/03/07	SELL	UNITED FIRE & CAS CO COM	UFCS	25.000	886.00	473.40	(412 60)
05/06/09	12/17/07	SELL	CONCHO RES INC COM	CXO	10.000	193.24	287.48	94.24
05/06/09	10/31/07	SELL	FORWARD AIR CORP COM	FWRD	91.000	2,965.47	1,730 81	(1,234.66)
05/06/09	03/24/08	SELL	HCC INS HLDGS INC COM	HCC	10.000	227.62	238.41	10.79
05/06/09	06/29/07	SELL	HEALTH CARE SERVICES GROUP	HCSG	10.000	197.98	179.01	(18.97)
05/06/09	01/14/08	SELL	LINCOLN ELEC HLDGS INC COM	LECO	10.000	627.32	445 73	(181.59)
05/06/09	11/09/07	SELL	TYLER TECHNOLOGIES INC COM	TYL	10.000	153.66	163 46	9.80
05/06/09	01/02/08	SELL	WENDYS ARBYS GROUP INC COM	WEN	10.000	86 25	49.62	(36 63)
05/13/09	06/21/07	SELL	RALCORP HOLDINGS INC NEW COM	RAH	9.000	488.62	551.08	62.46
05/13/09	03/25/08	SELL	SILGAN HLDGS INC COM	SLGN	2.000	97.50	90.58	(6.92)
06/03/09	11/14/06	SELL	AARONS INC COM	AAN	22 000	571.49	729.97	158 48
06/03/09	08/03/07	SELL	AARONS INC COM	AAN	8 000	187.04	265.45	78.41
06/12/09	09/07/01	FSLG	2100,000THS FIRST HORIZON NATIONAL	DCA517101	23,531.000	0 Please Provide	3.13	3.13 ~~N/A~~
06/16/09	08/22/07	SELL	AIRGAS INC	ARG	7 000	312.56	279 52	(33 04)

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
NATIXIS VAUGHN NELSON SCV

Account Number: 2M9-003203

Recipient's Identification Number 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
06/16/09	10/24/07	SELL	CULLEN FROST BANKERS	CFR	18.000	898 03	835.78	(62.25)
07/02/09	08/03/07	SELL	ALLIANT TECHSYSTEMS INC	ATK	38 000	4,328.20	3,086.56	(1,241.64)
07/02/09	06/29/07	SELL	HEALTH CARE SERVICES GROUP	HCSG	47.000	930.48	848.48	(82.00)
07/02/09	08/03/07	SELL	HEALTH CARE SERVICES GROUP	HCSG	8 000	140.50	144 42	3.92
07/02/09	01/22/08	SELL	HEALTH CARE SERVICES GROUP	HCSG	31 000	709.73	559 63	(150.10)
07/10/09	05/01/08	SELL	PACTIV CORP COM	PTV	59.000	1,438.17	1,214.24	(223 93)
07/20/09	07/17/08	SELL	PROSPERITY BANCSHARES INC COM	PRSP	64 000	1,788.46	1,990.89	202.43
07/31/09	06/27/08	SELL	GENERAL CABLE CORP	BGC	42.000	2,523.55	1,629.01	(894.54)
08/05/09	06/12/08	SELL	PHILLIPS VAN HEUSEN	PVH	45.000	1,872.49	1,568 41	(304.08)
08/05/09	06/27/08	SELL	PHILLIPS VAN HEUSEN	PVH	26.000	952.52	906.19	(46.33)
08/05/09	06/30/08	SELL	PHILLIPS VAN HEUSEN	PVH	11.000	413 86	383.39	(30.47)
08/06/09	08/22/07	SELL	AIRGAS INC	ARG	17.000	759.09	766.42	7.33
08/06/09	06/21/07	SELL	SYBASE INC COM	SY	19 000	467.85	666.52	198 67
08/10/09	06/25/08	SELL	ASPEN INSURANCE HLDGS LTD SHS	AHL	16 000	400.06	388.07	(11.99)
08/10/09	07/17/08	SELL	ASPEN INSURANCE HLDGS LTD SHS	AHL	61.000	1,415.52	1,479 54	64.02
08/10/09	03/24/08	SELL	ARENA RES INC COM	ARD	17 000	603.73	501.06	(102 67)
08/10/09	05/05/08	SELL	ARENA RES INC COM	ARD	13.000	590.46	383.16	(207.30)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
08/10/09	12/17/07	SELL	CONCHO RES INC COM	CXO	6.000	115.95	205.91	89.96
08/10/09	06/30/08	SELL	PHILLIPS VAN HEUSEN	PVH	50.000	1,881.18	1,737.93	(143 25)
08/11/09	11/09/07	SELL	TYLER TECHNOLOGIES INC COM	TYL	54.000	829.78	788.35	(41.43)
08/12/09	01/22/08	SELL	HEALTH CARE SERVICES GROUP	HCSG	124 000	2,838.92	2,213 02	(625.90)
08/21/09	01/02/08	SELL	MICROSEMI CORP COM	MSCC	28.000	614.21	373.78	(240.43)
08/21/09	03/11/08	SELL	MICROSEMI CORP COM	MSCC	90.000	1,929.18	1,201.43	(727.75)
08/21/09	03/17/08	SELL	MICROSEMI CORP COM	MSCC	80 000	1,627.07	1,067.93	(559.14)
08/21/09	07/14/08	SELL	MICROSEMI CORP COM	MSCC	38.000	891.18	507.27	(383.91)
08/26/09	06/12/08	SELL	ROFIN SINAR TECHNOLOGIES INC	RSTI	5.000	168.84	125 34	(43.50)
08/26/09	07/14/08	SELL	ROFIN SINAR TECHNOLOGIES INC	RSTI	71.000	2,087 62	1,779 80	(307.82)
08/27/09	01/22/08	SELL	IPC HOLDINGS LTD BERMUDA SHS CASH AND	G4933P101	92.000	2,350.57	2,924 90	574 33
08/27/09	05/05/08	SELL	ARENA RES INC COM	ARD	24.000	1,090.08	752.28	(337.80)
09/08/09	Please Provide	*****	IPC HOLDINGS LTD BERMUDA SHS CASH AND	G4933P101	87.000	0 ~~Please Provide~~	495.79 ~~Please Provide~~	495 79
09/08/09	01/22/08	CLEU	VALIDUS HLDGS LTD COM SHS	VR	0.615	15.01	15.68	0.67
09/14/09	08/22/07	SELL	AIRGAS INC	ARG	11.000	491.17	503 32	12 15
09/14/09	01/28/08	SELL	AIRGAS INC	ARG	4 000	171.13	183.02	11.89
09/14/09	03/24/08	SELL	HCC INS HLDGS INC COM	HCC	6.000	136.57	163.03	26.46

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CHANGE HAPPENS FOUNDATION
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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
09/14/09	06/27/08	SELL	HCC INS HLDGS INC COM	HCC	46.000	994.32	1,249 86	255.54
09/14/09	08/13/08	SELL	SRA INTL INC CL A COM	SRX	23.000	538.68	500.04	(38 64)
09/22/09	06/27/08	SELL	HCC INS HLDGS INC COM	HCC	20.000	432.32	552.41	120.09
09/24/09	11/09/07	SELL	TYLER TECHNOLOGIES INC COM	TYL	98.000	1,505.90	1,626.72	120 82
09/24/09	12/26/07	SELL	TYLER TECHNOLOGIES INC COM	TYL	17.000	236.78	282.19	45.41
10/01/09	01/02/08	SELL	WENDYS ARBYS GROUP INC COM	WEN	185.000	1,595.66	874.90	(720.76)
10/01/09	09/23/08	SELL	WENDYS ARBYS GROUP INC COM	WEN	172 000	893.64	813.42	(80.22)
10/14/09	08/13/08	SELL	SRA INTL INC CL A COM	SRX	16.000	374.73	327.74	(46.99)
10/14/09	09/23/08	SELL	SRA INTL INC CL A COM	SRX	29 000	665.23	594 03	(71 20)
10/14/09	10/07/08	SELL	SRA INTL INC CL A COM	SRX	23.000	467.44	471.13	3 69
10/14/09	10/09/08	SELL	SRA INTL INC CL A COM	SRX	18.000	335.24	368.71	33.47
10/16/09	07/25/08	SELL	VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS	VSEA	76.000	2,093.68	2,436.91	343.23
10/20/09	10/24/07	SELL	CULLEN FROST BANKERS	CFR	24.000	1,197.38	1,219.27	21.89
10/20/09	01/03/08	SELL	CULLEN FROST BANKERS	CFR	5 000	244.70	254 02	9.32
10/20/09	02/13/08	SELL	FIRST CASH FIN'L SVCS INC (FORMALLY)	FCFS	132 000	1,238.37	2,422 80	1,184.43
10/20/09	07/17/08	SELL	PROSPERITY BANCSHARES INC COM	PRSP	17 000	475.06	594 88	119.82
10/20/09	10/08/08	SELL	PROSPERITY BANCSHARES INC COM	PRSP	15.000	483.23	524.90	41.67

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/22/09	01/03/08	SELL	CULLEN FROST BANKERS	CFR	5.000	244.70	240.83	(3.87)
10/22/09	01/09/08	SELL	CULLEN FROST BANKERS	CFR	5 000	231.40	240 83	9 43
10/22/09	01/14/08	SELL	CULLEN FROST BANKERS	CFR	20 000	939.20	963.33	24.13
10/22/09	03/24/08	SELL	CULLEN FROST BANKERS	CFR	5.000	277.50	240.83	(36.67)
10/22/09	07/17/08	SELL	CULLEN FROST BANKERS	CFR	46.000	2,343.82	2,215.65	(128.17)
10/23/09	02/13/08	SELL	FIRST CASH FIN'L SVCS INC (FORMALLY)	FCFS	13.000	121.96	236 35	114.39
10/23/09	10/10/08	SELL	WADDELL & REED FINL INC CL A	WDR	16.000	234.93	480 51	245 58
10/23/09	10/15/08	SELL	WADDELL & REED FINL INC CL A	WDR	1.000	16.03	30 03	14.00
11/04/09	10/22/08	SELL	NICE SYSTEMS LTD SPONS ADR	NICE	17.000	363.44	498.34	134.90
11/11/09	08/27/08	SELL	SMITH A O CORP COMMON	AOS	72 000	2,969.05	3,009.11	40.06
12/07/09	12/26/07	SELL	TYLER TECHNOLOGIES INC COM	TYL	47.000	654.64	887.13	232 49
12/21/09	01/22/08	SELL	VALIDUS HLDGS LTD COM SHS	VR	84.010	2,051.11	2,249.74	198 63
12/21/09	09/23/08	SELL	VALIDUS HLDGS LTD COM SHS	VR	5.836	142.49	156.29	13 80
12/21/09	12/09/08	SELL	VALIDUS HLDGS LTD COM SHS	VR	5 836	142.49	156.29	13.80
12/21/09	12/19/08	SELL	WADDELL & REED FINL INC CL A	WDR	59.000	810 03	1,793 68	983 65
12/28/09	09/24/08	SELL	MFA FINL INC COM	MFA	523 000	3,352.17	3,985.26	633.09
								(4,979.65)
Total Long Term						**88,866.51**	81 886.[illegible]	
Total Short Term and Long Term						**309,581.19**	**308,957.06**	**(120.64)**

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

Securities Purchased. Securities purchased through your account during 2009 are displayed in this section We provide this information to you because you will need it to calculate a gain or loss upon disposition of the securities The net cost displayed includes commissions and fees If the security purchased is a debt instrument, the net cost does not include accrued interest purchased Accrued interest purchased is reported separately in this section of your Tax Information Statement The cost of securities purchased during 2009 should be reported on your tax return in the year in which the securities are sold or redeemed on IRS Form 1040, Schedule D

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
06/23/09	09/10/08	SELL	BEST BUY COMPANY INC	BBY	263.000	11,940 20	8,697.59	(3,242.61)
06/23/09	10/28/08	SELL	COACH INC COM	COH	299.000	5,318.61	7,574.03	2,255.42
06/23/09	09/10/08	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	46.000	5,452.76	4,804.24	(648 52)
06/23/09	09/10/08	SELL	RESEARCH IN MOTION LTD COM	RIMM	171.000	17,986.88	11,996.67	(5,990.21)
06/23/09	09/10/08	SELL	WALGREEN CO	WAG	162 000	5,812.38	4,826 04	(986.34)
Total Short Term						**46,510.83**	**37,898.57**	**(8,612.26)**
Long Term								
06/23/09	09/14/07	SELL	ORACLE CORP COM	ORCL	171 000	3,436.42	3,404.63	(31.79)
06/23/09	08/02/07	SELL	QUALCOMM INC	QCOM	58.000	2,424.40	2,543.40	119.00
Total Long Term						**5,860.82**	**5,948.03**	**87.21**
Total Short Term and Long Term						**52,371.65**	**43,846.60**	**(8,525.05)**

The Schedule of Realized Gains and Losses is not reported to the IRS This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
SCHAFER CULLEN CAP MGMT

Account Number: 2A9-036225

Recipient's Identification
Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
03/09/09	02/17/09	SELL	CAREFOUR SA ADR	CRERY	410.000	2,771.85	2,312 40	(459 45)
03/09/09	09/15/08	SELL	IBERDROLA S A SPON ADR REPSTG 4 ORD SHS	IBDRY	95.000	3,913.85	2,118 50	(1,795.35)
03/09/09	09/25/08	SELL	IBERDROLA S A SPON ADR REPSTG 4 ORD SHS	IBDRY	55.000	2,379.83	1,226.50	(1,153 33)
03/09/09	09/26/08	SELL	IBERDROLA S A SPON ADR REPSTG 4 ORD SHS	IBDRY	45.000	1,968.91	1,003.50	(965.41)
03/09/09	10/17/08	SELL	IBERDROLA S A SPON ADR REPSTG 4 ORD SHS	IBDRY	35.000	1,053.71	780 50	(273 21)
03/09/09	10/22/08	SELL	IBERDROLA S A SPON ADR REPSTG 4 ORD SHS	IBDRY	90.000	2,568.47	2,007.00	(561.47)
03/09/09	02/02/09	SELL	IBERDROLA S A SPON ADR REPSTG 4 ORD SHS	IBDRY	95.000	2,900.06	2,118 50	(781 56)
03/09/09	02/13/09	SELL	SEVEN & I HLDGS CO LTD	SVNDY	56.000	2,893.14	2,212.00	(681.14)
03/09/09	09/16/08	SELL	SIEMENS A G SPONSORED ADR	SI	40.000	3,747.34	1,942.72	(1,804.62)
03/09/09	09/22/08	SELL	SIEMENS A G SPONSORED ADR	SI	16.000	1,604.56	777.09	(827.47)
03/09/09	09/23/08	SELL	SIEMENS A G SPONSORED ADR	SI	30.000	2,996.05	1,457.04	(1,539.01)
03/09/09	09/29/08	SELL	SIEMENS A G SPONSORED ADR	SI	45 000	4,191.21	2,185.56	(2,005.65)
03/09/09	05/06/08	SELL	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	155 000	3,665.46	725.40	(2,940 06)
03/09/09	05/07/08	SELL	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	320 000	7,504.67	1,497.60	(6,007.07)
03/09/09	06/06/08	SELL	TAKEDA PHARMACEUTICAL CO	TKPHY	7 000	197.60	107.45	(90 15)
03/09/09	06/12/08	SELL	TAKEDA PHARMACEUTICAL CO	TKPHY	275 000	7,353.69	4,221.25	(3,132.44)
03/09/09	06/13/08	SELL	TAKEDA PHARMACEUTICAL CO	TKPHY	70.000	1,847.58	1,074.50	(773 08)
03/09/09	07/01/08	SELL	TAKEDA PHARMACEUTICAL CO	TKPHY	145.000	3,731.68	2,225.75	(1,505.93)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	07/02/08	SELL	TAKEDA PHARMACEUTICAL CO	TKPHY	95.000	2,466.48	1,458 25	(1,008 23)
03/09/09	07/03/08	SELL	TAKEDA PHARMACEUTICAL CO	TKPHY	100.000	2,566.00	1,535.00	(1,031 00)
03/09/09	10/07/08	SELL	TAKEDA PHARMACEUTICAL CO	TKPHY	15.000	386.91	230.25	(156 66)
03/09/09	10/08/08	SELL	TAKEDA PHARMACEUTICAL CO	TKPHY	105 000	2,641.82	1,611.75	(1,030 07)
03/10/09	05/05/08	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	22.000	244 30	182.64	(61.66)
04/14/09	03/10/09	SELL	FRANCE TELECOM SPONSORED ADR	FTE	550 000	11,940.06	12,025.64	85.58
04/16/09	03/10/09	CLEU	HSBC HLDGS PLC ADS RT EXP 03/31/09	404280992	0.167	0.95	1.42	0 47
04/27/09	03/10/09	SELL	FRANCE TELECOM SPONSORED ADR	FTE	50.000	1,085.46	1,077 56	(7 90)
04/29/09	03/10/09	SELL	ENERPLUS RES FD TR UNIT SER G NEW	ERF	380.000	5,371 50	7,246.44	1,874.94
04/29/09	03/10/09	SELL	FRANCE TELECOM SPONSORED ADR	FTE	10.000	217.09	220.98	3 89
06/01/09	03/10/09	SELL	FRANCE TELECOM SPONSORED ADR	FTE	40.000	868.37	947.39	79 02
06/04/09	03/10/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	110.000	1,439 85	2,330 96	891.11
06/04/09	03/11/09	SELL	ABB LTD SPONSORED ADR	ABB	180.000	2,366.77	2,997.02	630.25
06/04/09	03/10/09	SELL	ASTRAZENECA PLC SPONSORED ADR	AZN	230.000	7,048.77	9,420.85	2,372 08
06/04/09	03/10/09	SELL	AUSTRALIA & NEW ZEALAND BKG GRP LTD	ANZBY	560 000	4,720 80	7,621 60	2,900.80
06/04/09	03/10/09	SELL	BNP PARIBAS SPONSORED ADR REPSTG	BNPQY	335.000	5,456 04	11,462.11	6,006 07
06/04/09	03/26/09	SELL	CHUNGHWA TELECOM CO LTD SPONS ADR NEW	17133Q403	290.000	5,437 50	5,687.16	249 66

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TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/04/09	03/10/09	SELL	COMPANHIA SIDERURGICA NACIONAL	SID	540 000	7,395.84	13,074.13	5,678 29
06/04/09	03/10/09	SELL	DEUTSCHE LUFTHANSA AG SPONSORED ADR	DLAKY	430.000	4,433.30	6,063.00	1,629.70
06/04/09	03/10/09	SELL	DIAGEO PLC SPONSORED ADR NEW	DEO	40.000	1,712.48	2,191 20	478.72
06/04/09	03/10/09	SELL	ENI SPA SPONSORED ADR	E	210 000	7,131.60	10,443.68	3,312.08
06/04/09	03/10/09	SELL	ENERPLUS RES FD TR UNIT SER G NEW	ERF	90.000	1,272.20	2,133.90	861 70
06/04/09	03/10/09	SELL	HSBC HLDGS PLC SPONS ADR NEW	HBC	434.000	10,551.84	18,740.25	8,188.41
06/04/09	03/10/09	SELL	HOPEWELL HLDGS LTD SPON ADR	HOWWY	910.000	2,548 00	2,802 80	254 80
06/04/09	04/30/09	SELL	ITAU UNIBANCO HLDG SA NS SPONSORED ADR	ITUB	310.000	4,320.91	5,068.78	747 87
06/04/09	03/10/09	SELL	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	110.000	3,472.70	4,120.60	647.90
06/04/09	03/10/09	SELL	NOKIA CORP SPONSORED ADR	NOK	420.000	3,977.40	6,464.14	2,486 74
06/04/09	03/10/09	SELL	PETROCHINA CO LTD SPONS ADR	PTR	60.000	4,257.39	7,105.20	2,847 81
06/04/09	03/10/09	SELL	PRIMARIS RETAIL REAL ESTATE INVT TR UNIT	PMZFF	120.000	795.78	1,346.28	550.50
06/04/09	03/10/09	SELL	RIOCAN REAL ESTATE INVESTMENT TR UNIT	RIOCF	300.000	2,746.50	4,054.68	1,308.18
06/04/09	04/06/09	SELL	SILICONWARE PRECISION INDS LTD	SPIL	870.000	5,497.97	6,431.04	933.07
06/04/09	04/27/09	SELL	SILICONWARE PRECISION INDS LTD	SPIL	140.000	896.39	1,034.88	138 49
06/04/09	07/29/08	SELL	SINGAPORE TELECOMMUNICATIONS	SGAPY	174 000	4,489.48	3,497.40	(992.08)
06/04/09	08/18/08	SELL	SINGAPORE TELECOMMUNICATIONS	SGAPY	196.000	4,822.72	3,939 60	(883.12)

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TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/04/09	03/10/09	SELL	UNILEVER NV NEW YORK SHS NEW	UN	440.000	7,787.95	10,943.68	3,155.73
06/04/09	11/13/08	SELL	UNITED OVERSEAS BK LTD SPON ADR	UOVEY	10.000	160.53	205.00	44.47
06/04/09	03/10/09	SELL	UNITED OVERSEAS BK LTD SPON ADR	UOVEY	25.000	275.00	512.50	237 50
06/04/09	03/10/09	SELL	VERMILLION ENERGY TRUST	VETMF	230.000	4,364.90	6,716 79	2,351.89
06/04/09	03/10/09	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	320.000	5,184.00	5,856 29	672 29
06/05/09	03/10/09	SELL	SANDVIK AB ADR	SDVKY	810.000	4,738.50	6,771 68	2,033 18
07/06/09	03/10/09	SELL	HONDA MTR LTD ADR REPRESENTING 2 ORD	HMC	220 000	4,800 36	5,907.11	1,106 75
07/07/09	03/10/09	SELL	FRANCE TELECOM SPONSORED ADR	FTE	210.000	4,558.93	4,788.84	229.91
07/20/09	08/18/08	SELL	SINGAPORE TELECOMMUNICATIONS	SGAPY	164.000	4,035.33	3,713.04	(322 29)
07/20/09	11/13/08	SELL	SINGAPORE TELECOMMUNICATIONS	SGAPY	16.000	252.23	362.25	110 02
08/05/09	03/10/09	SELL	ENI SPA SPONSORED ADR	E	70.000	2,377.20	3,227.22	850 02
08/06/09	03/10/09	SELL	ENI SPA SPONSORED ADR	E	50.000	1,698.00	2,304.28	606.28
08/18/09	03/10/09	SELL	DEUTSCHE LUFTHANSA AG SPONSORED ADR	DLAKY	630.000	6,495.30	9,218.73	2,723.43
09/22/09	03/10/09	SELL	DIAGEO PLC SPONSORED ADR NEW	DEO	90.000	3,853.08	5,779 41	1,926 33
09/22/09	03/10/09	SELL	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	130.000	4,104.10	5,584.16	1,480 06
10/07/09	03/10/09	SELL	DEUTSCHE LUFTHANSA AG SPONSORED ADR	DLAKY	320.000	3,299.20	5,451 36	2,152.16
10/07/09	03/10/09	SELL	MUNICH RE GROUP ADR	MURGY	690.000	7,452.00	11,220.02	3,768.02

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
12/09/09	03/10/09	SELL	UNITED OVERSEAS BK LTD SPON ADR	UOVEY	180.000	1,980.00	5,093.71	3,113 71
12/15/09	03/10/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	21.000	274.88	325 51	50.63
12/15/09	03/11/09	SELL	ABB LTD SPONSORED ADR	ABB	100 000	1,314.87	1,812.66	497.79
12/15/09	03/10/09	SELL	COMPANHIA ENERGETICA DE MINAS GERAIS ADR	CIG	300 000	3,357.12	5,613.00	2,255.88
12/15/09	04/30/09	SELL	ITAU UNIBANCO HLDG SA NS SPONSORED ADR	ITUB	70.000	886.99	1,620.21	733 22
12/16/09	03/10/09	SELL	TSAKOS ENERGY NAVIGATION LTD SHS	TNP	149.000	1,950.34	2,275.44	325.10
12/21/09	03/10/09	SELL	COMPANHIA SIDERURGICA NACIONAL	SID	20.000	273.92	625.15	351.23
12/22/09	03/10/09	SELL	COMPANHIA SIDERURGICA NACIONAL	SID	10.000	136.96	311 64	174 68
Total Short Term						**257,482.52**	**300,801.52**	**43,319.00**
Long Term								
03/09/09	09/11/03	SELL	AMCOR LTD ADR (NEW)	AMCRY	15.000	355.35	150.00	(205.35)
03/09/09	12/12/03	SELL	AMCOR LTD ADR (NEW)	AMCRY	50.000	1,211.50	500 00	(711 50)
03/09/09	03/17/04	SELL	AMCOR LTD ADR (NEW)	AMCRY	49.000	1,165.71	490.00	(675.71)
03/09/09	06/14/04	SELL	AMCOR LTD ADR (NEW)	AMCRY	48 000	902.71	480.00	(422 71)
03/09/09	09/16/04	SELL	AMCOR LTD ADR (NEW)	AMCRY	31.000	646.66	310.00	(336 66)
03/09/09	06/06/05	SELL	AMCOR LTD ADR (NEW)	AMCRY	37.000	808.08	370.00	(438.08)
03/09/09	09/02/05	SELL	AMCOR LTD ADR (NEW)	AMCRY	26.000	520.43	260 00	(260.43)
03/09/09	06/01/06	SELL	AMCOR LTD ADR (NEW)	AMCRY	123.000	2,472.30	1,230.00	(1,242.30)

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/09/09	09/16/04	SELL	BG GROUP PLC ADR FINAL INSTALLMENT	BRGYY	13.000	419.88	858.65	438 77
03/09/09	06/06/05	SELL	BG GROUP PLC ADR FINAL INSTALLMENT	BRGYY	49.000	1,925.21	3,236.45	1,311.24
03/09/09	09/02/05	SELL	BG GROUP PLC ADR FINAL INSTALLMENT	BRGYY	33.000	1,551.48	2,179.65	628 17
03/09/09	06/01/06	SELL	BG GROUP PLC ADR FINAL INSTALLMENT	BRGYY	105 000	7,034.96	6,935.25	(99.71)
03/09/09	11/18/04	SELL	BANCO SANTANDER SA ADR	STD	414.000	4,742.37	2,049.73	(2,692 64)
03/09/09	06/06/05	SELL	BANCO SANTANDER SA ADR	STD	226 000	2,601.05	1,118.94	(1,482.11)
03/09/09	09/02/05	SELL	BANCO SANTANDER SA ADR	STD	142.000	1,792.31	703.05	(1,089.26)
03/09/09	06/01/06	SELL	BANCO SANTANDER SA ADR	STD	573.000	8,314.23	2,836.94	(5,477.29)
03/09/09	09/15/05	SELL	BAYER AG SPONSORED ADR	BAYRY	10 000	369 68	444.00	74 32
03/09/09	06/01/06	SELL	BAYER AG SPONSORED ADR	BAYRY	150 000	6,697 93	6,660.00	(37.93)
03/09/09	12/12/03	SELL	CANON INC ADR REPSTG 5 SHS	CAJ	47.231	1,420 24	1,025.95	(394 29)
03/09/09	03/17/04	SELL	CANON INC ADR REPSTG 5 SHS	CAJ	25.490	834.29	553.69	(280 60)
03/09/09	06/14/04	SELL	CANON INC ADR REPSTG 5 SHS	CAJ	80.969	2,748 09	1,758 81	(989 28)
03/09/09	09/16/04	SELL	CANON INC ADR REPSTG 5 SHS	CAJ	43.483	1,376.67	944.54	(432.13)
03/09/09	06/06/05	SELL	CANON INC ADR REPSTG 5 SHS	CAJ	47.981	1,751.79	1,042.24	(709.55)
03/09/09	08/09/05	SELL	CANON INC ADR REPSTG 5 SHS	CAJ	167 936	5,562.04	3,647.91	(1,914.13)
03/09/09	09/02/05	SELL	CANON INC ADR REPSTG 5 SHS	CAJ	49.481	1,679.39	1,074.83	(604 56)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/09/09	06/01/06	SELL	CANON INC ADR REPSTG 5 SHS	CAJ	202.429	9,487.57	4,397.16	(5,090.41)
03/09/09	04/13/07	SELL	DEUTSCHE TELEKOM AG SPONSORED ADR	DT	29.000	508.04	330 04	(178 00)
03/09/09	04/13/07	SELL	DEUTSCHE TELEKOM AG SPONSORED ADR	DT	482.000	8,563.36	5,485.54	(3,077.82)
03/09/09	05/09/07	SELL	DEUTSCHE TELEKOM AG SPONSORED ADR	DT	570 000	9,755.95	6,487.05	(3,268.90)
03/09/09	07/05/07	SELL	DEUTSCHE TELEKOM AG SPONSORED ADR	DT	174.000	3,235.93	1,980.26	(1,255.67)
03/09/09	07/06/07	SELL	DEUTSCHE TELEKOM AG SPONSORED ADR	DT	399 000	7,420 68	4,540.95	(2,879.73)
03/09/09	07/15/04	SELL	FORTIS NL SPONSORED ADR NEW	FORSY	89.000	1,681.46	125.49	(1,555 97)
03/09/09	09/16/04	SELL	FORTIS NL SPONSORED ADR NEW	FORSY	78.000	1,553.84	109.98	(1,443 86)
03/09/09	06/06/05	SELL	FORTIS NL SPONSORED ADR NEW	FORSY	270.000	6,311.58	380.70	(5,930.88)
03/09/09	09/02/05	SELL	FORTIS NL SPONSORED ADR NEW	FORSY	49.000	1,201.87	69.09	(1,132.78)
03/09/09	06/01/06	SELL	FORTIS NL SPONSORED ADR NEW	FORSY	255.000	7,886 28	359.55	(7,526 73)
03/09/09	12/12/03	SELL	FOSTERS BREWING GRP LTD SPONS ADR NEW	FBRWY	454.000	1,489.12	1,502.74	13.62
03/09/09	03/17/04	SELL	FOSTERS BREWING GRP LTD SPONS ADR NEW	FBRWY	516 000	1,723 44	1,707 96	(15.48)
03/09/09	09/16/04	SELL	FOSTERS BREWING GRP LTD SPONS ADR NEW	FBRWY	222.000	730 38	734 82	4 44
03/09/09	06/06/05	SELL	FOSTERS BREWING GRP LTD SPONS ADR NEW	FBRWY	235 000	987.00	777.85	(209 15)
03/09/09	09/02/05	SELL	FOSTERS BREWING GRP LTD SPONS ADR NEW	FBRWY	163.000	723.72	539.53	(184.19)
03/09/09	06/01/06	SELL	FOSTERS BREWING GRP LTD SPONS ADR NEW	FBRWY	867.000	3,598.05	2,869.77	(728 28)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/09/09	03/17/04	SELL	HONG KONG ELEC HLDGS LTD SPON ADR	HGKGY	186 000	818 40	1,065.78	247.38
03/09/09	06/14/04	SELL	HONG KONG ELEC HLDGS LTD SPON ADR	HGKGY	157 000	682 95	899 61	216.66
03/09/09	09/16/04	SELL	HONG KONG ELEC HLDGS LTD SPON ADR	HGKGY	296 000	1,346.80	1,696 08	349 28
03/09/09	06/06/05	SELL	HONG KONG ELEC HLDGS LTD SPON ADR	HGKGY	246.000	1,107.00	1,409.58	302.58
03/09/09	09/02/05	SELL	HONG KONG ELEC HLDGS LTD SPON ADR	HGKGY	173.000	847.70	991.29	143.59
03/09/09	06/01/06	SELL	HONG KONG ELEC HLDGS LTD SPON ADR	HGKGY	864.000	3,801.60	4,950.72	1,149 12
03/09/09	06/14/04	SELL	ING GROEP N V ADR	ING	75 000	1,689.74	235.51	(1,454 23)
03/09/09	08/12/04	SELL	ING GROEP N V ADR	ING	32.000	820.16	100.48	(719.68)
03/09/09	09/16/04	SELL	ING GROEP N V ADR	ING	86.000	2,160.84	270.05	(1,890.79)
03/09/09	06/06/05	SELL	ING GROEP N V ADR	ING	141.000	3,923.27	442.75	(3,480.52)
03/09/09	09/02/05	SELL	ING GROEP N V ADR	ING	54.000	1,581.48	169 57	(1,411 91)
03/09/09	06/01/06	SELL	ING GROEP N V ADR	ING	252.000	9,882.39	791 31	(9,091.08)
03/09/09	02/29/08	SELL	ING GROEP N V ADR	ING	335 000	11,341.53	1,051.93	(10,289 60)
03/09/09	05/23/06	SELL	KAO CORP SPONSORED ADR REPSTG	KCRPY	1 000	264.01	178 01	(86 00)
03/09/09	06/01/06	SELL	KAO CORP SPONSORED ADR REPSTG	KCRPY	24.000	6,072.00	4,272.24	(1,799.76)
03/09/09	10/30/06	SELL	KAO CORP SPONSORED ADR REPSTG	KCRPY	19.000	4,914 62	3,382.19	(1,532 43)
03/09/09	10/31/06	SELL	KAO CORP SPONSORED ADR REPSTG	KCRPY	5.000	1,304.10	890.05	(414 05)

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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/09/09	11/06/06	SELL	KAO CORP SPONSORED ADR REPSTG	KCRPY	11.000	2,850.12	1,958 11	(892 01)
03/09/09	07/03/07	SELL	KAO CORP SPONSORED ADR REPSTG	KCRPY	37.000	9,686.72	6,586 37	(3,100.35)
03/09/09	06/14/04	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	4 000	128.48	8.80	(119 68)
03/09/09	09/16/04	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	28.000	869.86	61.60	(808.26)
03/09/09	10/29/04	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	67.000	2,129.20	147.41	(1,981.79)
03/09/09	11/01/04	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	43.000	1,384.69	94 60	(1,290 09)
03/09/09	11/02/04	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	78.000	2,533.53	171 61	(2,361 92)
03/09/09	11/03/04	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	114 000	3,704.09	250 81	(3,453 28)
03/09/09	06/06/05	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	69.000	2,283 21	151.81	(2,131.40)
03/09/09	09/02/05	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	44 000	1,517.12	96.80	(1,420 32)
03/09/09	06/01/06	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	171.000	6,634.54	376.22	(6,258.32)
03/09/09	06/01/06	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	13.000	1,473.56	28 60	(1,444 96)
03/09/09	06/20/06	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	68 000	7,818.51	149 61	(7,668.90)
03/09/09	09/21/06	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	51.000	6,809.63	112.21	(6,697 42)
03/09/09	11/10/06	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	30.000	4,148.04	66.00	(4,082.04)
03/09/09	11/13/06	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	8 000	1,072.07	17 60	(1,054 47)
03/09/09	11/14/06	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	10.000	1,394 06	22.00	(1,372 06)

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TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/09/09	07/05/07	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	24.000	3,258.37	52 80	(3,205 57)
03/09/09	07/06/07	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	53.000	6,404.40	116 61	(6,287.79)
03/09/09	06/14/04	SELL	NATIONAL AUSTRALIA BANK LTD ADRS	NABZY	51.000	1,034.18	512.55	(521 63)
03/09/09	09/16/04	SELL	NATIONAL AUSTRALIA BANK LTD ADRS	NABZY	60.000	1,128.36	603.00	(525 36)
03/09/09	11/04/04	SELL	NATIONAL AUSTRALIA BANK LTD ADRS	NABZY	470.000	9,959.11	4,723.50	(5,235.61)
03/09/09	06/06/05	SELL	NATIONAL AUSTRALIA BANK LTD ADRS	NABZY	145.000	3,422.29	1,457.25	(1,965.04)
03/09/09	09/02/05	SELL	NATIONAL AUSTRALIA BANK LTD ADRS	NABZY	75.000	1,779.15	753.75	(1,025.40)
03/09/09	06/01/06	SELL	NATIONAL AUSTRALIA BANK LTD ADRS	NABZY	330.000	8,834.62	3,316.50	(5,518 12)
03/09/09	10/31/05	SELL	NATIONAL GRID PLC SPON ADR NEW	NGG	80 997	3,733 96	3,145.68	(588 28)
03/09/09	11/02/05	SELL	NATIONAL GRID PLC SPON ADR NEW	NGG	17.000	782.83	660.23	(122 60)
03/09/09	06/01/06	SELL	NATIONAL GRID PLC SPON ADR NEW	NGG	87.003	4,938.55	3,378 94	(1,559 61)
03/09/09	04/07/05	SELL	NIPPON TELEG & TELEPHONE CORP	NTT	3.000	66.89	56.24	(10 65)
03/09/09	04/15/05	SELL	NIPPON TELEG & TELEPHONE CORP	NTT	221.000	4,693.55	4,142 84	(550 71)
03/09/09	06/06/05	SELL	NIPPON TELEG & TELEPHONE CORP	NTT	43.000	908.59	806 07	(102 52)
03/09/09	09/02/05	SELL	NIPPON TELEG & TELEPHONE CORP	NTT	53 000	1,163.88	993.53	(170 35)
03/09/09	03/01/06	SELL	NIPPON TELEG & TELEPHONE CORP	NTT	172 000	3,757 05	3,224 29	(532.76)
03/09/09	03/03/06	SELL	NIPPON TELEG & TELEPHONE CORP	NTT	123.000	2,753.85	2,305.74	(448.11)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/09/09	06/01/06	SELL	NIPPON TELEG & TELEPHONE CORP	NTT	273.000	6,883.07	5,117.63	(1,765 44)
03/09/09	03/17/04	SELL	REED ELSEVIER NV SPON ADR NEW	ENL	10.000	305.79	197.55	(108.24)
03/09/09	06/14/04	SELL	REED ELSEVIER NV SPON ADR NEW	ENL	43.000	1,394.07	849.46	(544.61)
03/09/09	09/16/04	SELL	REED ELSEVIER NV SPON ADR NEW	ENL	33 000	1,043.22	651 91	(391 31)
03/09/09	06/06/05	SELL	REED ELSEVIER NV SPON ADR NEW	ENL	38 000	1,266.30	750.68	(515.62)
03/09/09	09/02/05	SELL	REED ELSEVIER NV SPON ADR NEW	ENL	29.000	976.23	572.89	(403 34)
03/09/09	01/30/06	SELL	REED ELSEVIER NV SPON ADR NEW	ENL	132.000	4,285.84	2,607.64	(1,678.20)
03/09/09	01/31/06	SELL	REED ELSEVIER NV SPON ADR NEW	ENL	93.000	3,053 67	1,837.20	(1,216.47)
03/09/09	06/01/06	SELL	REED ELSEVIER NV SPON ADR NEW	ENL	192 000	6,190.13	3,792 92	(2,397.21)
03/09/09	09/11/03	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	3.000	137.16	123.18	(13 98)
03/09/09	12/12/03	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	33.000	1,591.92	1,355.01	(236 91)
03/09/09	03/17/04	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	26.000	1,252.94	1,067.58	(185 36)
03/09/09	06/14/04	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	29.000	1,450.23	1,190.77	(259.46)
03/09/09	09/16/04	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	30.000	1,543.20	1,231.83	(311 37)
03/09/09	06/06/05	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	28.000	1,660.12	1,149.71	(510.41)
03/09/09	09/02/05	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	22.000	1,466.55	903 34	(563 21)
03/09/09	06/01/06	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	96.000	6,298.78	3,941.85	(2,356 93)

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
SCHAFER CULLEN CAP MGMT

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/09/09	08/14/07	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	120 000	8,817.61	4,927.30	(3,890 31)
03/09/09	09/16/04	SELL	SASOL LTD SPONSORED ADR	SSL	120 000	2,245.90	2,804.91	559 01
03/09/09	06/06/05	SELL	SASOL LTD SPONSORED ADR	SSL	54.000	1,409.40	1,262.21	(147.19)
03/09/09	09/02/05	SELL	SASOL LTD SPONSORED ADR	SSL	24.000	852.74	560.98	(291.76)
03/09/09	06/01/06	SELL	SASOL LTD SPONSORED ADR	SSL	111 000	4,120.32	2,594 54	(1,525.78)
03/09/09	03/17/04	SELL	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	3.000	51.75	14.04	(37.71)
03/09/09	06/14/04	SELL	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	64.000	1,088.00	299.52	(788.48)
03/09/09	09/16/04	SELL	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	137.000	2,418.05	641.16	(1,776 89)
03/09/09	06/06/05	SELL	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	122 000	2,440.00	570 96	(1,869.04)
03/09/09	09/02/05	SELL	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	84 000	1,848.00	393.12	(1,454 88)
03/09/09	06/01/06	SELL	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	477 000	14,262.30	2,232 36	(12,029 94)
03/09/09	08/17/05	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	182 000	2,468.46	655 20	(1,813 26)
03/09/09	08/18/05	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	143 000	1,914.98	514.80	(1,400.18)
03/09/09	08/19/05	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	144 000	1,960.56	518.40	(1,442.16)
03/09/09	09/02/05	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	53.000	740.79	190 80	(549 99)
03/09/09	06/01/06	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	186 000	2,631.54	669.60	(1,961.94)
03/09/09	03/01/07	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	38.000	614.41	136.80	(477 61)

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Recipient's Identification Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/09/09	03/01/07	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	12.000	193.76	43.20	(150 56)
03/09/09	03/05/07	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	102 000	1,637.18	367.20	(1,269.98)
03/09/09	06/01/06	SELL	TELECOM CORP OF NEW ZEALAND LTD	NZT	205.000	3,375.04	1,185.23	(2,189 81)
03/09/09	08/03/06	SELL	TELECOM CORP OF NEW ZEALAND LTD	NZT	172 000	2,547.96	994.43	(1,553.53)
03/09/09	08/04/06	SELL	TELECOM CORP OF NEW ZEALAND LTD	NZT	357.000	5,018.40	2,064 03	(2,954.37)
03/09/09	10/24/05	SELL	TELEFONICA S A ADR SPONS ADR	TEF	102.995	5,118.45	5,397 35	278.90
03/09/09	01/30/06	SELL	TELEFONICA S A ADR SPONS ADR	TEF	185.000	8,446.06	9,694.74	1,248.68
03/09/09	06/01/06	SELL	TELEFONICA S A ADR SPONS ADR	TEF	189.005	9,313.92	9,904.62	590.70
03/09/09	08/18/05	SELL	TELSTRA LTD SPON ADR FINAL	TLSYY	63.000	1,144.75	665.91	(478.84)
03/09/09	08/19/05	SELL	TELSTRA LTD SPON ADR FINAL	TLSYY	123.000	2,204 28	1,300.11	(904.17)
03/09/09	09/02/05	SELL	TELSTRA LTD SPON ADR FINAL	TLSYY	72.000	1,268.85	761.04	(507.81)
03/09/09	09/06/05	SELL	TELSTRA LTD SPON ADR FINAL	TLSYY	119.000	2,007.36	1,257.83	(749.53)
03/09/09	10/19/05	SELL	TELSTRA LTD SPON ADR FINAL	TLSYY	116.000	1,775.12	1,226.12	(549 00)
03/09/09	10/20/05	SELL	TELSTRA LTD SPON ADR FINAL	TLSYY	129.000	1,985.85	1,363.53	(622 32)
03/09/09	10/21/05	SELL	TELSTRA LTD SPON ADR FINAL	TLSYY	71.000	1,092.82	750.47	(342.35)
03/09/09	06/01/06	SELL	TELSTRA LTD SPON ADR FINAL	TLSYY	111.000	1,569.56	1,173.27	(396.29)
03/09/09	06/14/04	SELL	TOKIO MARINE HLDGS INC ADR	TKOMY	0.630	18.23	11.48	(6 75)

Recipient's Name and Address:

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SCHAFER CULLEN CAP MGMT

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Recipient's Identification Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/09/09	09/16/04	SELL	TOKIO MARINE HLDGS INC ADR	TKOMY	69.964	1,829.56	1,274 74	(554 82)
03/09/09	06/06/05	SELL	TOKIO MARINE HLDGS INC ADR	TKOMY	22.488	586.26	409.73	(176.53)
03/09/09	09/02/05	SELL	TOKIO MARINE HLDGS INC ADR	TKOMY	34.982	1,027.77	637.37	(390.40)
03/09/09	06/01/06	SELL	TOKIO MARINE HLDGS INC ADR	TKOMY	134.931	4,877.76	2,458.44	(2,419.32)
03/09/09	01/18/07	SELL	TOKIO MARINE HLDGS INC ADR	TKOMY	9 000	335 66	163 98	(171.68)
03/09/09	01/19/07	SELL	TOKIO MARINE HLDGS INC ADR	TKOMY	2.000	74 31	36.44	(37 87)
03/09/09	01/25/07	SELL	TOKIO MARINE HLDGS INC ADR	TKOMY	122 000	4,565 46	2,222 84	(2,342.62)
03/09/09	01/26/07	SELL	TOKIO MARINE HLDGS INC ADR	TKOMY	55.000	2,002 28	1,002 10	(1,000.18)
03/09/09	03/13/07	SELL	TOKIO MARINE HLDGS INC ADR	TKOMY	149 000	5,303.61	2,714 78	(2,588.83)
03/09/09	03/14/07	SELL	TOKIO MARINE HLDGS INC ADR	TKOMY	124 005	4,293.04	2,259.38	(2,033.66)
03/09/09	11/01/04	SELL	TOYOTA MTR CO SPON ADR	TM	41.000	3,147.91	2,367.63	(780 28)
03/09/09	11/04/04	SELL	TOYOTA MTR CO SPON ADR	TM	11.000	854.20	635.22	(218 98)
03/09/09	11/12/04	SELL	TOYOTA MTR CO SPON ADR	TM	24.000	1,871.94	1,385 93	(486.01)
03/09/09	04/07/05	SELL	TOYOTA MTR CO SPON ADR	TM	77 000	5,905.90	4,446.53	(1,459.37)
03/09/09	04/15/05	SELL	TOYOTA MTR CO SPON ADR	TM	10.000	738.24	577.47	(160.77)
03/09/09	06/06/05	SELL	TOYOTA MTR CO SPON ADR	TM	9.000	647.82	519.72	(128.10)
03/09/09	09/02/05	SELL	TOYOTA MTR CO SPON ADR	TM	19 000	1,554 80	1,097 20	(457.60)

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
SCHAFER CULLEN CAP MGMT

Account Number: 2A9-036225

Recipient's Identification Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/09/09	06/01/06	SELL	TOYOTA MTR CO SPON ADR	TM	84.000	9,105.60	4,850.77	(4,254.83)
03/09/09	09/02/05	SELL	UNILEVER PLC SPON ADR NEW	UL	3.161	72 80	54.30	(18.50)
03/09/09	01/24/06	SELL	UNILEVER PLC SPON ADR NEW	UL	255.552	5,877.70	4,389.78	(1,487.92)
03/09/09	01/26/06	SELL	UNILEVER PLC SPON ADR NEW	UL	3 599	83 88	61.82	(22 06)
03/09/09	03/03/06	SELL	UNILEVER PLC SPON ADR NEW	UL	340 136	7,957.44	5,842.74	(2,114 70)
03/09/09	05/11/06	SELL	UNILEVER PLC SPON ADR NEW	UL	309.543	7,351.85	5,317.22	(2,034.63)
03/09/09	06/01/06	SELL	UNILEVER PLC SPON ADR NEW	UL	417.009	9,355.81	7,163.24	(2,192.57)
03/09/09	03/13/03	SELL	UPM KYMMENE CORP SPONSORED ADR	UPMKY	15.000	207.00	92 40	(114.60)
03/09/09	06/17/03	SELL	UPM KYMMENE CORP SPONSORED ADR	UPMKY	84 000	1,299.76	517.44	(782.32)
03/09/09	09/11/03	SELL	UPM KYMMENE CORP SPONSORED ADR	UPMKY	48.000	857.28	295.68	(561.60)
03/09/09	12/12/03	SELL	UPM KYMMENE CORP SPONSORED ADR	UPMKY	94 000	1,813 26	579 04	(1,234 22)
03/09/09	03/17/04	SELL	UPM KYMMENE CORP SPONSORED ADR	UPMKY	66.000	1,240 14	406.56	(833.58)
03/09/09	06/14/04	SELL	UPM KYMMENE CORP SPONSORED ADR	UPMKY	61.000	1,093.12	375.76	(717.36)
03/09/09	09/16/04	SELL	UPM KYMMENE CORP SPONSORED ADR	UPMKY	56.000	1,027.04	344.96	(682.08)
03/09/09	06/06/05	SELL	UPM KYMMENE CORP SPONSORED ADR	UPMKY	65.000	1,277.25	400.40	(876 85)
03/09/09	09/02/05	SELL	UPM KYMMENE CORP SPONSORED ADR	UPMKY	47 000	963.31	289 52	(673 79)
03/09/09	06/01/06	SELL	UPM KYMMENE CORP SPONSORED ADR	UPMKY	171 000	3,721 27	1,053 36	(2,667.91)

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Recipient's Identification Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/10/09	06/14/04	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	31.000	1,290 10	852.87	(437 23)
03/10/09	09/16/04	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	39 000	1,663.74	1,072.97	(590 77)
03/10/09	06/06/05	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	52.000	2,603.78	1,430.62	(1,173 16)
03/10/09	09/02/05	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	36.000	1,790.28	990 43	(799 85)
03/10/09	06/01/06	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	39.000	2,173 86	1,072.97	(1,100.89)
03/10/09	06/06/07	SELL	NOVARTIS AG SPONSORED ADR	NVS	10.000	561 78	343.12	(218.66)
03/10/09	06/07/07	SELL	NOVARTIS AG SPONSORED ADR	NVS	69.000	3,841 53	2,367.53	(1,474 00)
03/10/09	06/19/07	SELL	NOVARTIS AG SPONSORED ADR	NVS	175.000	9,727.83	6,004.60	(3,723 23)
03/10/09	07/09/07	SELL	NOVARTIS AG SPONSORED ADR	NVS	101.000	5,588.07	3,465.51	(2,122.56)
03/10/09	01/07/08	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	30.000	260 74	249.06	(11.68)
03/10/09	01/08/08	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	547.000	4,809.90	4,541 20	(268 70)
03/10/09	09/16/04	SELL	TOTAL S A SPONSORED ADR	TOT	3 000	146 92	141.00	(5 92)
03/10/09	06/06/05	SELL	TOTAL S A SPONSORED ADR	TOT	34.000	1,879.63	1,598 01	(281 62)
03/10/09	09/02/05	SELL	TOTAL S A SPONSORED ADR	TOT	26 000	1,743.42	1,222 01	(521.41)
03/10/09	03/01/06	SELL	TOTAL S A SPONSORED ADR	TOT	120 000	7,507.63	5,640.02	(1,867 61)
03/10/09	06/01/06	SELL	TOTAL S A SPONSORED ADR	TOT	112.000	7,279.12	5,264 02	(2,015.10)
04/07/09	06/01/06	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	117 000	6,521.58	3,525 67	(2,995.91)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
04/07/09	10/11/06	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	63.000	3,423.68	1,898.44	(1,525 24)
04/14/09	10/11/06	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	90.000	4,890.98	2,702 32	(2,188 66)
04/14/09	10/12/06	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	9 000	495.98	270 23	(225 75)
04/14/09	01/23/07	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	17.000	945 46	510 44	(435 02)
04/14/09	01/24/07	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	10.000	551.46	300 26	(251 20)
04/14/09	01/25/07	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	10 000	550.44	300 26	(250.18)
04/14/09	01/26/07	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	19 000	1,035.83	570.49	(465.34)
04/14/09	01/29/07	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	35.000	1,907.34	1,050.90	(856.44)
04/29/09	01/29/07	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	2.000	108.99	61 42	(47.57)
04/29/09	01/30/07	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	17 000	928.02	522.10	(405 92)
04/29/09	01/31/07	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	16 000	867.02	491.38	(375.64)
04/29/09	12/14/07	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	195 000	10,401.61	5,988.75	(4,412.86)
06/01/09	01/26/05	SELL	BP PLC SPONS ADR	BP	27.000	1,611 27	1,396 44	(214 83)
06/01/09	06/06/05	SELL	BP PLC SPONS ADR	BP	40 000	2,449.60	2,068 80	(380 80)
06/01/09	09/02/05	SELL	BP PLC SPONS ADR	BP	22.000	1,540.88	1,137 84	(403.04)
06/01/09	06/01/06	SELL	BP PLC SPONS ADR	BP	41.000	2,894.60	2,120 52	(774 08)
06/04/09	06/01/06	SELL	BP PLC SPONS ADR	BP	55.000	3,883.00	2,807.76	(1,075.24)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
06/04/09	03/12/07	SELL	BP PLC SPONS ADR	BP	16.000	977.43	816.80	(160 63)
06/04/09	07/07/05	SELL	BNP PARIBAS SPONSORED ADR REPSTG	BNPQY	46.000	1,540 63	1,573.90	33.27
06/04/09	01/30/06	SELL	BNP PARIBAS SPONSORED ADR REPSTG	BNPQY	142.000	6,251.12	4,858 57	(1,392.55)
06/04/09	06/01/06	SELL	BNP PARIBAS SPONSORED ADR REPSTG	BNPQY	87.000	4,084.65	2,976 73	(1,107.92)
06/04/09	07/09/07	SELL	NOVARTIS AG SPONSORED ADR	NVS	90.000	4,979.46	3,621.76	(1,357.70)
06/04/09	10/23/07	SELL	NOVARTIS AG SPONSORED ADR	NVS	50.000	2,608.39	2,012.09	(596 30)
06/04/09	12/12/03	SELL	RWE AG SPONSORED ADR	RWEOY	29.000	1,045.45	2,427 01	1,381.56
06/04/09	03/17/04	SELL	RWE AG SPONSORED ADR	RWEOY	42.000	1,827.00	3,514.98	1,687 98
06/04/09	06/14/04	SELL	RWE AG SPONSORED ADR	RWEOY	17.000	745.45	1,422 73	677.28
06/04/09	09/16/04	SELL	RWE AG SPONSORED ADR	RWEOY	22.000	1,061.50	1,841.18	779 68
06/04/09	09/25/06	SELL	UNITED OVERSEAS BK LTD SPON ADR	UOVEY	21.000	424.98	430 50	5.52
06/04/09	09/25/06	SELL	UNITED OVERSEAS BK LTD SPON ADR	UOVEY	1 000	20.15	20.50	0 35
06/04/09	10/11/06	SELL	UNITED OVERSEAS BK LTD SPON ADR	UOVEY	136.000	2,867.98	2,788 00	(79.98)
06/04/09	10/12/06	SELL	UNITED OVERSEAS BK LTD SPON ADR	UOVEY	123.000	2,618 29	2,521.50	(96.79)
06/04/09	10/17/06	SELL	UNITED OVERSEAS BK LTD SPON ADR	UOVEY	124.000	2,649.33	2,542 00	(107.33)
08/14/09	05/05/08	CLEU	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	0.350	3.87	3.80	(0.07)
Total Long Term						**657,282.67**	**374,690.85**	**(282,591.82)**
Total Short Term and Long Term						**914,765.19**	**675,492.37**	**(239,272.82)**

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

Securities Purchased. Securities purchased through your account during 2009 are displayed in this section We provide this information to you because you will need it to calculate a gain or loss upon disposition of the securities The net cost displayed includes commissions and fees If the security purchased is a debt instrument, the net cost does not include accrued interest purchased. Accrued interest purchased is reported separately in this section of your Tax Information Statement The cost of securities purchased during 2009 should be reported on your tax return in the year in which the securities are sold or redeemed on IRS Form 1040, Schedule D.

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
03/10/09	09/15/08	SELL	DEUTSCHE BANK AG NAMEN AKT	DB	310 000	23,311.23	8,804.01	(14,507.22)
03/10/09	09/16/08	SELL	DEUTSCHE BANK AG NAMEN AKT	DB	15.000	1,122.00	426.00	(696 00)
03/10/09	11/07/08	SELL	DEUTSCHE BANK AG NAMEN AKT	DB	45 000	1,738.09	1,278.00	(460.09)
03/10/09	11/13/08	SELL	DEUTSCHE BANK AG NAMEN AKT	DB	76.000	2,516.34	2,158.41	(357.93)
03/10/09	12/03/08	SELL	AU OPTRONICS CORP SPON ADR	AUO	583.000	3,468.03	4,437 10	969.07
03/10/09	12/03/08	SELL	AU OPTRONICS CORP SPON ADR	AUO	165 000	981.67	1,255.78	274.11
03/10/09	09/15/08	SELL	ALLIANZ SE SPONS ADR REPSTG	AZSEY	1,071.000	15,406.98	7,165.10	(8,241.88)
03/10/09	09/16/08	SELL	ALLIANZ SE SPONS ADR REPSTG	AZSEY	210.000	2,969.30	1,404 92	(1,564.38)
03/10/09	11/13/08	SELL	ALLIANZ SE SPONS ADR REPSTG	AZSEY	240.000	1,733 47	1,605 62	(127.85)
03/10/09	11/14/08	SELL	ALLIANZ SE SPONS ADR REPSTG	AZSEY	235.000	1,690.07	1,572.18	(117.89)
03/10/09	09/15/08	SELL	AMCOR LTD ADR (NEW)	AMCRY	200.000	3,858.00	2,082.00	(1,776.00)
03/10/09	09/16/08	SELL	AMCOR LTD ADR (NEW)	AMCRY	5.000	98.50	52.05	(46 45)
03/10/09	12/03/08	SELL	AMCOR LTD ADR (NEW)	AMCRY	115.000	1,704.43	1,197.15	(507 28)
03/10/09	12/04/08	SELL	AMCOR LTD ADR (NEW)	AMCRY	12.000	179 06	124.92	(54.14)
03/10/09	09/15/08	SELL	ARCELORMITTAL SA LUXEMBOURG	MT	270 000	16,437.60	5,365.55	(11,072.05)

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SCHEDULE of REALIZED GAINS and LOSSES (Continued)

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Short Term *(continued)*								
03/10/09	09/16/08	SELL	ARCELORMITTAL SA LUXEMBOURG	MT	20.000	1,265.80	397.45	(868.35)
03/10/09	12/03/08	SELL	ARCELORMITTAL SA LUXEMBOURG	MT	140.000	2,859.78	2,782.14	(77.64)
03/10/09	12/03/08	SELL	AUSTRALIA & NEW ZEALAND BKG GRP LTD	ANZBY	115.000	1,074.08	967.15	(106 93)
03/10/09	12/04/08	SELL	AUSTRALIA & NEW ZEALAND BKG GRP LTD	ANZBY	151.000	1,407.38	1,269 91	(137.47)
03/10/09	01/21/09	SELL	AUSTRALIA & NEW ZEALAND BKG GRP LTD	ANZBY	161.000	1,423 85	1,354 01	(69.84)
03/10/09	09/15/08	SELL	BASF SE SPONS ADR	BASFY	385 000	19,708.15	11,099.55	(8,608.60)
03/10/09	09/16/08	SELL	BASF SE SPONS ADR	BASFY	30.000	1,498 50	864.90	(633.60)
03/10/09	02/06/09	SELL	BCE INC COM NEW	BCE	123 000	2,466.53	2,364 08	(102.45)
03/10/09	02/18/09	SELL	BCE INC COM NEW	BCE	35.000	707.24	672.71	(34 53)
03/10/09	09/15/08	SELL	BHP BILLITON PLC SPON ADR	BBL	215.000	10,647.60	7,505.72	(3,141.88)
03/10/09	09/16/08	SELL	BHP BILLITON PLC SPON ADR	BBL	20.000	1,027.35	698.21	(329.14)
03/10/09	12/03/08	SELL	BHP BILLITON PLC SPON ADR	BBL	21.000	655.74	733.11	77.37
03/10/09	09/15/08	SELL	BNP PARIBAS SPONSORED ADR REPSTG	BNPQY	410.000	17,015.00	6,875.70	(10,139.30)
03/10/09	09/16/08	SELL	BNP PARIBAS SPONSORED ADR REPSTG	BNPQY	45 000	1,872.00	754 65	(1,117.35)
03/10/09	09/15/08	SELL	BARCLAYS PLC ADRS	BCS	720 000	15,443.35	2,736.58	(12,706 77)
03/10/09	09/16/08	SELL	BARCLAYS PLC ADRS	BCS	60.000	1,366 74	228 05	(1,138 69)
03/10/09	11/07/08	SELL	BARCLAYS PLC ADRS	BCS	130.000	1,595.39	494.10	(1,101.29)

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SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

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Short Term *(continued)*								
03/10/09	11/13/08	SELL	BARCLAYS PLC ADRS	BCS	236.000	2,394.62	896 99	(1,497.63)
03/10/09	01/21/09	SELL	BAYER AG SPONSORED ADR	BAYRY	46.000	2,554.04	2,179.02	(375 02)
03/10/09	12/03/08	SELL	CANON INC ADR REPSTG 5 SHS	CAJ	110 000	3,183.07	2,486.22	(696.85)
03/10/09	12/03/08	SELL	CANON INC ADR REPSTG 5 SHS	CAJ	85.000	2,448.48	1,921.17	(527 31)
03/10/09	02/18/09	SELL	CANON INC ADR REPSTG 5 SHS	CAJ	10 000	251.72	226 02	(25.70)
03/10/09	09/15/08	SELL	CHINA PETE & CHEM CORP SPONSORED ADR	SNP	120.000	10,086.84	6,106.89	(3,979.95)
03/10/09	09/16/08	SELL	CHINA PETE & CHEM CORP SPONSORED ADR	SNP	5 000	425.25	254.45	(170 80)
03/10/09	09/15/08	SELL	COMPANHIA VALE DO RIO DOCE ADR REPSTG	204412100	72.000	1,353.95	834.62	(519 33)
03/10/09	09/16/08	SELL	COMPANHIA VALE DO RIO DOCE ADR REPSTG	204412100	70.000	1,351.27	811.44	(539 83)
03/10/09	12/03/08	SELL	COMPANHIA VALE DO RIO DOCE ADR REPSTG	204412100	105.000	940.75	1,217.16	276.41
03/10/09	01/21/09	SELL	CREDIT AGRICOLE SA ADR	CRARY	1,120 000	5,332.43	4,816 00	(516 43)
03/10/09	09/15/08	SELL	CREDIT SUISSE GROUP SPONSORED ADR	CS	285.000	12,149.55	6,322.33	(5,827.22)
03/10/09	09/16/08	SELL	CREDIT SUISSE GROUP SPONSORED ADR	CS	35 000	1,502.20	776.43	(725.77)
03/10/09	11/13/08	SELL	CREDIT SUISSE GROUP SPONSORED ADR	CS	69.000	1,893.43	1,530.66	(362 77)
03/10/09	09/15/08	SELL	DEUTSCHE TELEKOM AG SPONSORED ADR	DT	420.000	6,433 90	5,014.84	(1,419.06)
03/10/09	09/15/08	SELL	ENI SPA SPONSORED ADR	E	216.000	12,084 23	7,469.66	(4,614.57)
03/10/09	09/16/08	SELL	ENI SPA SPONSORED ADR	E	20.000	1,103.60	691 64	(411 96)

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SCHEDULE of REALIZED GAINS and LOSSES (Continued)

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Short Term *(continued)*								
03/10/09	09/15/08	SELL	E ON AG SPONSORED ADR	EONGY	355.000	17,913.30	8,693.95	(9,219.35)
03/10/09	09/16/08	SELL	E ON AG SPONSORED ADR	EONGY	10 000	497.50	244.90	(252.60)
03/10/09	12/03/08	SELL	EAST JAPAN RY CO ADR	EJPRY	120.000	1,596.00	1,068 00	(528 00)
03/10/09	12/03/08	SELL	EAST JAPAN RY CO ADR	EJPRY	80.000	1,059.85	712.00	(347 85)
03/10/09	12/04/08	SELL	EAST JAPAN RY CO ADR	EJPRY	104.000	1,323 93	925.60	(398 33)
03/10/09	10/14/08	SELL	ERICSSON L M TEL CO ADR CL B SEK 10 NEW	ERIC	360.000	2,609.71	2,945.52	335 81
03/10/09	12/03/08	SELL	ESTALISSEMENTS DELHAIZE FRERES ET	DEG	40.000	2,483.20	2,339.20	(144.00)
03/10/09	12/03/08	SELL	ESTALISSEMENTS DELHAIZE FRERES ET	DEG	30.000	1,870.20	1,754 40	(115.80)
03/10/09	12/03/08	SELL	EUROPEAN AERONAUTIC DEFENCE & SPACE CO	EADSY	160.000	2,499.60	2,112 00	(387.60)
03/10/09	12/03/08	SELL	EUROPEAN AERONAUTIC DEFENCE & SPACE CO	EADSY	105.000	1,685 25	1,386.00	(299.25)
03/10/09	01/21/09	SELL	EUROPEAN AERONAUTIC DEFENCE & SPACE CO	EADSY	39.000	666 62	514 80	(151.82)
03/10/09	09/15/08	SELL	FRANCE TELECOM SPONSORED ADR	FTE	170 000	4,596.80	3,704.45	(892.35)
03/10/09	12/03/08	SELL	FUJITSU LTD ADR 5 COM	FJTSY	80.000	1,696.00	1,348.00	(348 00)
03/10/09	12/03/08	SELL	FUJITSU LTD ADR 5 COM	FJTSY	20 000	424.17	337.00	(87.17)
03/10/09	12/04/08	SELL	FUJITSU LTD ADR 5 COM	FJTSY	214.000	4,338.06	3,605.90	(732.16)
03/10/09	09/15/08	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	395.000	17,355.12	11,060.40	(6,294.72)
03/10/09	09/16/08	SELL	GLAXOSMITHKLINE PLC SPONS ADR	GSK	10 000	430.70	280.01	(150.69)

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/10/09	10/17/08	SELL	HSBC HLDGS PLC SPONS ADR NEW	HBC	156 000	10,665 28	4,265.44	(6,399 84)
03/10/09	11/07/08	SELL	HSBC HLDGS PLC SPONS ADR NEW	HBC	20.000	1,172 91	546.85	(626.06)
03/10/09	12/03/08	SELL	HSBC HLDGS PLC SPONS ADR NEW	HBC	40.000	2,091.60	1,093.71	(997.89)
03/10/09	09/15/08	SELL	HITACHI LTD ADR 10 COM NEW	HIT	130.000	8,588.58	3,307.78	(5,280.80)
03/10/09	09/16/08	SELL	HITACHI LTD ADR 10 COM NEW	HIT	5 000	347.00	127.22	(219.78)
03/10/09	12/03/08	SELL	HITACHI LTD ADR 10 COM NEW	HIT	30.000	1,371.90	763 34	(608.56)
03/10/09	09/15/08	SELL	HONDA MTR LTD ADR REPRESENTING 2 ORD	HMC	220.000	6,903.27	4,829.44	(2,073.83)
03/10/09	09/16/08	SELL	HONDA MTR LTD ADR REPRESENTING 2 ORD	HMC	5 000	160.45	109.76	(50 69)
03/10/09	12/03/08	SELL	ING GROEP N V ADR	ING	850.000	6,356.73	3,213.09	(3,143.64)
03/10/09	02/06/09	SELL	INTESA SANPAOLO S P A SPON ADR	ISNPY	139 000	2,520.42	1,702.75	(817.67)
03/10/09	09/15/08	SELL	JSC MMC NORILSK NICKEL SPONSORED ADR	NILSY	690.000	9,582 65	3,712.20	(5,870 45)
03/10/09	09/15/08	SELL	KB FINL GROUP INC SPONSORED ADR REPSTG	KB	138.000	6,814.91	2,888.47	(3,926.44)
03/10/09	02/18/09	SELL	KB FINL GROUP INC SPONSORED ADR REPSTG	KB	135.000	2,585.94	2,825 67	239.73
03/10/09	02/06/09	SELL	KONINKLIJKE PHILIPS ELECTRS N V	PHG	260.000	5,051.36	3,980.83	(1,070.53)
03/10/09	09/15/08	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	171.000	4,432.39	473.69	(3,958.70)
03/10/09	09/16/08	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	163.000	3,639.75	451 53	(3,188.22)
03/10/09	12/03/08	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	259.000	2,074 85	717 46	(1,357.39)

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SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

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Short Term *(continued)*								
03/10/09	12/03/08	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	248.000	2,366.91	686.99	(1,679.92)
03/10/09	12/03/08	SELL	LLOYDS BANKING GROUP PLC SPONS ADR	LYG	110.000	1,051.47	304.70	(746.77)
03/10/09	01/21/09	SELL	MICHELIC COMPANIE SHS	MGDDY	274.000	2,418.41	1,720.72	(697.69)
03/10/09	09/15/08	SELL	MITSUBISHI CORP SPONSORED ADR	MSBHY	295.000	13,717.50	6,938 40	(6,779.10)
03/10/09	12/03/08	SELL	MITSUBISHI CORP SPONSORED ADR	MSBHY	120.000	2,784.00	2,822.40	38.40
03/10/09	09/15/08	SELL	MITSUI & CO LTD ADR	MITSY	20.000	5,998.90	3,580.20	(2,418.70)
03/10/09	12/03/08	SELL	MUNICH RE GROUP ADR	MURGY	370.000	5,134.31	4,033 00	(1,101.31)
03/10/09	12/03/08	SELL	MUNICH RE GROUP ADR	MURGY	75.000	1,057.50	817.50	(240.00)
03/10/09	01/21/09	SELL	MUNICH RE GROUP ADR	MURGY	98.000	1,365.01	1,068.20	(296.81)
03/10/09	09/15/08	SELL	NISSAN MOTOR LTD SPON ADR	NSANY	1,176.000	16,343.46	7,915.66	(8,427.80)
03/10/09	09/16/08	SELL	NISSAN MOTOR LTD SPON ADR	NSANY	35.000	495.95	235 58	(260 37)
03/10/09	09/15/08	SELL	LUKOIL CO SPOND ADR SHS	LUKOY	145.000	8,802.95	5,499 85	(3,303 10)
03/10/09	09/16/08	SELL	LUKOIL CO SPOND ADR SHS	LUKOY	10.000	569.00	379.30	(189.70)
03/10/09	12/03/08	SELL	LUKOIL CO SPOND ADR SHS	LUKOY	75.000	2,186.25	2,844.75	658.50
03/10/09	09/15/08	SELL	PETRO CDA COM SHS STOCK MERGER EFF	71644E102	282 000	10,492.94	6,124.01	(4,368.93)
03/10/09	09/16/08	SELL	PETRO CDA COM SHS STOCK MERGER EFF	71644E102	20 000	750.60	434.33	(316.27)
03/10/09	09/15/08	SELL	RWE AG SPONSORED ADR	RWEOY	65 000	6,077.50	4,052.75	(2,024.75)

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Short Term *(continued)*								
03/10/09	09/15/08	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	362.000	21,668.42	15,577.84	(6,090.58)
03/10/09	09/16/08	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	5.000	297.00	215.16	(81.84)
03/10/09	09/15/08	SELL	SHARP CORP ADR	SHCAY	763.000	8,469.30	5,539.38	(2,929 92)
03/10/09	01/21/09	SELL	SHINHAN FINL GROUP CO LTD SPONSORED	SHG	46.000	1,790.80	1,413.12	(377.68)
03/10/09	12/03/08	SELL	SOLVAY S A SPONS ADR	SVYSY	36.000	2,475.79	1,965.96	(509.83)
03/10/09	12/03/08	SELL	SOLVAY S A SPONS ADR	SVYSY	20.000	1,391.00	1,092.20	(298.80)
03/10/09	09/15/08	SELL	SONY CORP ADR AMERN SH NEW	SNE	310 000	10,351.80	5,710.48	(4,641.32)
03/10/09	09/16/08	SELL	SONY CORP ADR AMERN SH NEW	SNE	5.000	168.90	92.10	(76.80)
03/10/09	11/07/08	SELL	SONY CORP ADR AMERN SH NEW	SNE	75.000	1,743.94	1,381.57	(362 37)
03/10/09	12/03/08	SELL	SONY CORP ADR AMERN SH NEW	SNE	75.000	1,431.00	1,381.57	(49.43)
03/10/09	09/15/08	SELL	STATOIL ASA SPONSORED ADR	STO	468.000	10,999.31	8,115 21	(2,884.10)
03/10/09	09/16/08	SELL	STATOIL ASA SPONSORED ADR	STO	25 000	584.75	433.51	(151.24)
03/10/09	09/15/08	SELL	SUMITOMO MITSUI FINL GROUP INC ADR	SMFJY	2,250.000	12,915.00	6,345.00	(6,570.00)
03/10/09	12/03/08	SELL	SUMITOMO MITSUI FINL GROUP INC ADR	SMFJY	665.000	2,314.20	1,875 30	(438.90)
03/10/09	09/15/08	SELL	TELECOM ITALIA S P A NEW SPONS ADR	TI	315.000	4,794.30	3,254 23	(1,540.07)
03/10/09	10/14/08	SELL	TELEFONICA S A ADR SPONS ADR	TEF	92.000	5,995.61	4,980 96	(1,014.65)
03/10/09	12/03/08	SELL	TELEFONICA S A ADR SPONS ADR	TEF	20.000	1,213.60	1,082.82	(130.78)

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Short Term *(continued)*								
03/10/09	02/06/09	SELL	TELEFONICA S A ADR SPONS ADR	TEF	41.000	2,325 07	2,219.78	(105 29)
03/10/09	09/15/08	SELL	TOTAL S A SPONSORED ADR	TOT	261.000	15,859.17	12,471 05	(3,388.12)
03/10/09	09/16/08	SELL	TOTAL S A SPONSORED ADR	TOT	10.000	618.59	477.82	(140.77)
03/10/09	09/15/08	SELL	TOYOTA MTR CO SPON ADR	TM	75.000	6,472.99	4,509 00	(1,963.99)
03/10/09	12/03/08	SELL	TOYOTA MTR CO SPON ADR	TM	29.000	1,757.70	1,743.48	(14.22)
03/10/09	10/14/08	SELL	UNIBANCO UNIAO C/A EFF 4/3/091 OLD=	90458E107	42.000	3,672.10	2,373 42	(1,298.68)
03/10/09	09/15/08	SELL	UNITED MICROELECTRONICS	UMC	4,063 000	7,677 04	8,249.51	572.47
03/10/09	09/16/08	SELL	UNITED MICROELECTRONICS	UMC	195.000	371.57	395.93	24 36
03/10/09	09/15/08	SELL	VOLVO AKTIEBOLAGET ADR B	VOLVY	480.000	4,612.80	2,164 80	(2,448.00)
03/10/09	09/16/08	SELL	VOLVO AKTIEBOLAGET ADR B	VOLVY	15.000	148.50	67.65	(80.85)
03/10/09	12/03/08	SELL	VOLVO AKTIEBOLAGET ADR B	VOLVY	575.000	2,524.25	2,593 25	69.00
03/11/09	10/14/08	SELL	ERICSSON L M TEL CO ADR CL B SEK 10 NEW	ERIC	467.000	3,385.38	3,828 84	443.46
03/11/09	12/03/08	SELL	ERICSSON L M TEL CO ADR CL B SEK 10 NEW	ERIC	200.000	1,429.80	1,639.76	209 96
03/23/09	03/11/09	SELL	ROHM CO LTD ADR	ROHCY	196 000	4,468.80	5,099 25	630 45
04/02/09	03/10/09	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	199.000	885.29	1,345 70	460.41
04/03/09	03/10/09	SELL	MAGNA INTERNATIONAL INC CLASS A	MGA	142 000	2,898.11	4,755 07	1,856 96
04/09/09	03/10/09	SELL	ALCATEL LUCENT SPON ADR	ALU	2,268.000	2,834.09	4,701.11	1,867.02

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Short Term *(continued)*								
04/13/09	03/10/09	SELL	ALUMINA LTD SPONSORED ADR	AWC	883.000	1,977.57	3,630.90	1,653.33
04/23/09	10/14/08	SELL	NOKIA CORP SPONSORED ADR	NOK	80.000	1,445.65	1,194.51	(251.14)
05/01/09	09/15/08	SELL	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	272.000	4,441.76	2,768 96	(1,672.80)
06/02/09	03/10/09	SELL	NEXEN INC COM SHS	NXY	62.000	859.93	1,594.09	734.16
06/04/09	03/10/09	SELL	UBS AG SHS NEW	UBS	242.000	1,991.64	3,579 20	1,587.56
06/04/09	03/10/09	SELL	AEGON N V ORD AMER REG	AEG	1,068.000	3,320.63	7,134.35	3,813.72
06/04/09	03/10/09	SELL	ALCATEL LUCENT SPON ADR	ALU	1,522.000	1,901.89	4,094.33	2,192.44
06/04/09	03/10/09	SELL	ALUMINA LTD SPONSORED ADR	AWC	1,807.000	4,046.95	8,077.63	4,030.68
06/04/09	03/10/09	SELL	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	60.000	1,768.19	2,518.20	750.01
06/04/09	10/14/08	SELL	BP PLC SPONS ADR	BP	76.000	3,679 81	3,878.28	198.47
06/04/09	03/10/09	SELL	BARRICK GOLD CORP COM	ABX	100.000	2,594.99	3,796.00	1,201 01
06/04/09	03/10/09	SELL	CAMECO CORP COM ISIN#CA13321L1085	CCJ	125.000	1,867.17	3,443.88	1,576.71
06/04/09	03/12/09	SELL	CENTRAIS ELECTRICAS BRASILEIRAS SA SPON	EBR B	636 000	6,283 68	8,051.90	1,768 22
06/04/09	03/10/09	SELL	COMPANHIA DE SANEAMENTO BASICO DO	SBS	77.000	1,495.76	2,350 81	855 05
06/04/09	03/10/09	SELL	CURENCYSHARES EURO TR EURO SHS	FXE	17.000	2,162 23	2,412.47	250 24
06/04/09	03/11/09	SELL	DAI NIPPON PRTG LTD JAPAN SPON ADR	DNPLY	472.000	3,941.20	5,984 96	2,043.76
06/04/09	03/11/09	SELL	DAIWA HOUSE IND LTD ADR	DWAHY	16.000	1,064.00	1,476.80	412 80

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/04/09	03/10/09	SELL	FUJIFILM HLDGS CORP ADR 2 ORD	FUJIY	244.000	4,423.52	7,066.48	2,642 96
06/04/09	03/12/09	SELL	IMPALA PLTUM HLD LTD SPONSORED ADR	IMPUY	136.000	1,839.97	3,170.16	1,330.19
06/04/09	03/13/09	SELL	KAO CORP SPONSORED ADR REPSTG	KCRPY	18 000	3,479.09	3,824.10	345.01
06/04/09	03/10/09	SELL	MAGNA INTERNATIONAL INC CLASS A	MGA	191.000	3,898.15	6,614.39	2,716 24
06/04/09	03/11/09	SELL	MITSUI SUMITOMO INS GRP HLDGS INC ADR	MSIGY	205.000	2,148.40	2,999.15	850 75
06/04/09	03/10/09	SELL	NEWMONT MINING CORP (HLDG CO)	NEM	38.000	1,317.01	1,804 24	487.23
06/04/09	03/10/09	SELL	NEXEN INC COM SHS	NXY	131.000	1,816.96	3,307.99	1,491.03
06/04/09	09/15/08	SELL	NIPPON TELEG & TELEPHONE CORP	NTT	196.000	4,390.10	3,892 57	(497.53)
06/04/09	10/14/08	SELL	NOKIA CORP SPONSORED ADR	NOK	91.000	1,644 43	1,404.13	(240.30)
06/04/09	09/15/08	SELL	NOVARTIS AG SPONSORED ADR	NVS	104.000	5,596.03	4,160 00	(1,436.03)
06/04/09	03/10/09	SELL	PANASONIC CORP ADR	PC	97.000	1,079.42	1,373 52	294.10
06/04/09	03/11/09	SELL	ROHM CO LTD ADR	ROHCY	54.000	1,231.20	1,757.70	526 50
06/04/09	03/12/09	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS B	60.000	2,620.80	3,340.80	720.00
06/04/09	03/10/09	SELL	SK TELECOM LTD SPONSORED ADR	SKM	202.000	2,734.27	3,185 58	451.31
06/04/09	09/15/08	SELL	SANOFI AVENTIS SPONS ADR	SNY	69.000	2,419.80	2,268.03	(151.77)
06/04/09	03/10/09	SELL	SEGA SAMMY HLDGS INC SPONS ADR REPSTG COM	SGAMY	448 000	999.04	1,321.60	322.56
06/04/09	03/11/09	SELL	SEKISUI HOUSE LTD SPONSORED ADR	SKHSY	304 000	2,006.40	2,857.60	851.20

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
TRADEWINDS

Account Number: 2A9-036217

Recipient's Identification
Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/04/09	03/11/09	SELL	SHISEIDO LTD SPON ADR	SSDOY	132.000	1,782.00	2,191.20	409.20
06/04/09	03/10/09	SELL	SIEMENS A G SPONSORED ADR	SI	51.000	2,714.73	3,911.19	1,196 46
06/04/09	09/15/08	SELL	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	282.000	4,605.06	3,496.80	(1,108.26)
06/04/09	03/10/09	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	769.000	3,014.48	4,637.07	1,622.59
06/04/09	03/11/09	SELL	SUMITOMO TR & BKG LTD SPONSORED ADR	STBUY	731.000	2,222.24	3,655.00	1,432.76
06/04/09	03/10/09	SELL	SUNCOR ENERGY INC STOCK MERGER EFF	867229106	58.000	1,393.69	1,995.78	602.09
06/04/09	03/10/09	SELL	TDK CORP AMERICAN DEP SHS	TTDKY	78.000	2,589.50	3,580.20	990.70
06/04/09	03/10/09	SELL	TECHNIP SPONS ADR ISIN#US8785462099	TKPPY	56.000	1,961.68	2,928 80	967.12
06/04/09	03/12/09	SELL	TELECOM ITALIA S P A NEW SPON ADR REPSTG	TI A	273.000	2,303.25	2,673.76	370.51
06/04/09	03/10/09	SELL	UNITED UTILS GROUP PLC SPON ADR	UUGRY	134.000	1,731.28	2,308.82	577.54
06/04/09	09/15/08	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	142.000	3,260 32	2,601.57	(658.75)
06/04/09	03/10/09	SELL	WOLTERS KLUWER N V SPON ADR	WTKWY	152.000	2,234.40	2,930.56	696.16
06/15/09	03/11/09	SELL	DAIWA HOUSE IND LTD ADR	DWAHY	10.000	665.00	1,008.30	343.30
07/07/09	03/10/09	SELL	NEWMONT MINING CORP (HLDG CO)	NEM	66.000	2,287.44	2,614 51	327.07
07/09/09	03/10/09	SELL	CURRENCYSHARES JAPANESE YEN TR	FXY	40.000	4,048 80	4,274.79	225.99
07/15/09	03/10/09	SELL	SUNCOR ENERGY INC STOCK MERGER EFF	867229106	59.000	1,417.72	1,728 54	310 82
07/22/09	03/10/09	SELL	COMPANHIA DE SANEAMENTO BASICO DO	SBS	146.000	2,836.13	4,271 24	1,435.11

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/24/09	03/10/09	SELL	TECHNIP SPONS ADR ISIN#US8785462099	TKPPY	50 000	1,751 50	2,982.51	1,231.01
07/31/09	03/10/09	SELL	TDK CORP AMERICAN DEP SHS	TTDKY	15.000	497.98	781.26	283 28
08/03/09	03/10/09	SELL	TDK CORP AMERICAN DEP SHS	TTDKY	39.000	1,294.75	2,062 50	767.75
08/05/09	03/10/09	SELL	MAGNA INTERNATIONAL INC CLASS A	MGA	15.000	306.14	738.59	432.45
08/06/09	03/10/09	SELL	CURENCYSHARES EURO TR EURO SHS	FXE	31.000	3,942 89	4,440.46	497 57
08/06/09	03/10/09	SELL	MAGNA INTERNATIONAL INC CLASS A	MGA	70 000	1,428 64	3,443.97	2,015 33
08/06/09	03/10/09	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	230 000	901.60	1,459 81	558.21
08/06/09	03/10/09	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	465 000	1,822.80	2,966.84	1,144 04
08/17/09	03/10/09	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	189.000	840.81	1,635.11	794.30
08/19/09	03/10/09	SELL	NEWMONT MINING CORP (HLDG CO)	NEM	55.000	1,906.20	2,160 74	254.54
08/19/09	03/10/09	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	384.000	1,505.28	2,532 48	1,027.20
08/20/09	03/10/09	SELL	NEWMONT MINING CORP (HLDG CO)	NEM	47.000	1,628.94	1,870 48	241.54
08/20/09	03/10/09	SELL	SUNCOR ENERGY INC NEW COM	SU	73.000	1,754.12	2,323 21	569.09
08/21/09	03/10/09	SELL	TDK CORP AMERICAN DEP SHS	TTDKY	40.000	1,327.95	2,269.68	941.73
08/24/09	03/10/09	SELL	TDK CORP AMERICAN DEP SHS	TTDKY	13 000	431.58	749 74	318.16
08/26/09	03/10/09	SELL	ALCATEL LUCENT SPON ADR	ALU	375.000	468.60	1,412.29	943 69
08/27/09	03/10/09	SELL	ALCATEL LUCENT SPON ADR	ALU	504 000	629 80	1,903.96	1,274.16

Recipient's Name and Address:
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Recipient's Identification
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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
09/15/09	03/10/09	SELL	STORA ENSO CORP SPONSORED ADR	SEOAY	349.000	1,368.08	2,517.83	1,149.75
09/17/09	03/10/09	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	141 000	627.27	1,742.77	1,115.50
10/01/09	03/10/09	SELL	SUNCOR ENERGY INC NEW COM	SU	72 000	1,730 09	2,412.30	682.21
10/20/09	03/10/09	SELL	BARRICK GOLD CORP COM	ABX	50.000	1,297.50	1,894.79	597.29
10/26/09	03/10/09	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	103.000	458.21	1,320.00	861.79
10/29/09	03/10/09	SELL	NEWMONT MINING CORP (HLDG CO)	NEM	116.000	4,020.35	4,933.02	912 67
10/29/09	03/10/09	SELL	SIEMENS A G SPONSORED ADR	SI	12.000	638.76	1,148.22	509.46
11/06/09	03/10/09	SELL	MAGNA INTERNATIONAL INC CLASS A	MGA	44.000	898.01	2,216.59	1,318.58
11/18/09	03/10/09	SELL	BARRICK GOLD CORP COM	ABX	63.000	1,634.84	2,761.86	1,127.02
Total Short Term						**758,971.26**	**598,698.33**	**(160,272.93)**
Long Term								
10/27/09	10/14/08	SELL	BP PLC SPONS ADR	BP	51.000	2,469.34	2,956.29	486 95
10/28/09	09/15/08	SELL	SANOFI AVENTIS SPONS ADR	SNY	37.000	1,297.57	1,422.82	125.25
11/24/09	09/15/08	SELL	VODAFONE GROUP PLC SPON ADR NEW	VOD	48.000	1,102 08	1,086.72	(15.36)
12/02/09	09/15/08	SELL	NOVARTIS AG SPONSORED ADR	NVS	66.000	3,551.33	3,712.91	161.58
Total Long Term						**8,420.32**	**9,178.74**	**758.42**
Total Short Term and Long Term						**767,391.58**	**607,877.07**	**(159,514.51)**

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
SCOTT & STRINGFELLOW, INC.

Account Number: 2A9-036209

Recipient's Identification Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
03/09/09	09/09/08	SELL	ASSURED GUARANTY LTD COM	AGO	60.000	1,191.57	179 52	(1,012 05)
03/09/09	02/02/09	SELL	ASSURED GUARANTY LTD COM	AGO	760.000	5,926.33	2,273.92	(3,652.41)
03/09/09	10/21/08	SELL	AT&T INC COM	T	710 000	19,022.04	15,648.68	(3,373.36)
03/09/09	09/09/08	SELL	AMERCO COMMON STOCK	UHAL	260.000	11,415.25	5,883 28	(5,531 97)
03/09/09	09/09/08	SELL	BAXTER INTERNATIONAL INC	BAX	250.000	17,124.18	12,712.95	(4,411.23)
03/09/09	09/09/08	SELL	CALPINE CORP COM NEW	CPN	1,820.000	27,774.66	9,283.27	(18,491.39)
03/09/09	09/25/08	SELL	CALPINE CORP COM NEW	CPN	550.000	7,551.89	2,805.39	(4,746.50)
03/09/09	12/01/08	SELL	COMMERCIAL METALS CO	CMC	1,430.000	14,783.91	14,727.91	(56.00)
03/09/09	12/08/08	SELL	COMMERCIAL METALS CO	CMC	320.000	3,414 02	3,295 75	(118 27)
03/09/09	09/09/08	SELL	EAST WEST BANCORP INC COM	EWBC	1,300.000	17,709.12	4,578.60	(13,130.52)

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2009 TAX and
YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	11/19/08	SELL	EDISON INTERNATIONAL	EIX	590.000	18,799.41	14,148 20	(4,651.21)
03/09/09	09/09/08	SELL	GOLDMAN SACHS GROUP INC COM	GS	100.000	16,087.00	7,454.00	(8,633.00)
03/09/09	09/17/08	SELL	GOLDMAN SACHS GROUP INC COM	GS	120.000	12,114.18	8,944.80	(3,169.38)
03/09/09	01/22/09	SELL	GRACE W R & CO DEL NEW COM	GRA	920.000	6,366.58	3,827 55	(2,539.03)
03/09/09	01/23/09	SELL	GRACE W R & CO DEL NEW COM	GRA	660 000	4,560.60	2,745.85	(1,814.75)
03/09/09	01/26/09	SELL	GRACE W R & CO DEL NEW COM	GRA	490 000	3,511.63	2,038.59	(1,473.04)
03/09/09	02/20/09	SELL	INTREPID POTASH INC COM	IPI	320.000	7,233.66	4,888.96	(2,344.70)
03/09/09	09/09/08	SELL	KANSAS CITY SOUTHERN COM NEW	KSU	500.000	22,265.00	6,820.40	(15,444 60)
03/09/09	12/16/08	SELL	MDU RES GROUP INC	MDU	760.000	16,518.22	10,062.48	(6,455.74)
03/09/09	09/09/08	SELL	MEMC ELECTRONIC MATERIALS INC COM	WFR	560.000	18,147.30	7,745.25	(10,402.05)
03/09/09	01/07/09	SELL	MGIC INVESTMENT CORPORATION	MTG	1,430.000	5,719.14	1,804.09	(3,915.05)
03/09/09	09/09/08	SELL	MUELLER WTR PRODS INC SER A COM	MWA	3,790.000	36,336.25	7,588 60	(28,747.65)
03/09/09	09/09/08	SELL	NEWFIELD EXPL CO COMMON	NFX	550.000	19,870.95	10,969.82	(8,901.13)
03/09/09	10/22/08	SELL	NEWFIELD EXPL CO COMMON	NFX	320 000	6,433.31	6,382.44	(50.87)
03/09/09	09/09/08	SELL	NEXEN INC COM SHS	NXY	670.000	16,410.38	8,737.34	(7,673.04)
03/09/09	11/11/08	SELL	NEXEN INC COM SHS	NXY	630.000	9,468.40	8,215.70	(1,252 70)
03/09/09	09/09/08	SELL	NORTHEAST UTILITIES	NU	710.000	18,185.37	14,017.47	(4,167.90)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	11/12/08	SELL	OLD REPUBLIC INTL CORP	ORI	1,440.000	12,549.60	11,132.35	(1,417.25)
03/09/09	01/12/09	SELL	PNC FINL SVCS GROUP INC COM	PNC	360.000	16,918 13	7,265.30	(9,652.83)
03/09/09	02/02/09	SELL	PNC FINL SVCS GROUP INC COM	PNC	200.000	6,194.18	4,036 28	(2,157.90)
03/09/09	09/09/08	SELL	PAPA JOHNS INTL INC	PZZA	650.000	18,541.38	13,878 58	(4,662.80)
03/09/09	12/29/08	SELL	PEABODY ENERGY CORP COM	BTU	750.000	16,549.58	17,341.50	791.92
03/09/09	09/09/08	SELL	RANGE RES CORP COM	RRC	240.000	9,275.38	8,841.14	(434 24)
03/09/09	09/09/08	SELL	RAYONIER INC COM	RYN	240.000	10,785.60	5,446.20	(5,339.40)
03/09/09	10/29/08	SELL	RAYONIER INC COM	RYN	160.000	5,036.11	3,630.80	(1,405.31)
03/09/09	09/09/08	SELL	SAFETY INS GROUP INC COM	SAFT	460 000	19,994.64	13,358 60	(6,636.04)
03/09/09	09/09/08	SELL	SHERWIN WILLIAMS CO	SHW	140.000	8,357.54	6,133.01	(2,224.53)
03/09/09	02/17/09	SELL	SHERWIN WILLIAMS CO	SHW	190.000	8,692.20	8,323 37	(368.83)
03/09/09	09/09/08	SELL	SOUTHERN CO COM	SO	670.000	25,430.12	18,265.54	(7,164.58)
03/09/09	10/22/08	SELL	TRAVELERS COS INC COM	TRV	180.000	6,679.01	6,084.02	(594.99)
03/09/09	10/23/08	SELL	TRAVELERS COS INC COM	TRV	280.000	10,548.02	9,464 03	(1,083 99)
03/09/09	02/10/09	SELL	WEYERHAEUSER CO	WY	650.000	17,765.09	12,643.54	(5,121.55)
04/09/09	03/09/09	SELL	SYMANTEC CORP	SYMC	90.000	1,151.01	1,542 60	391.59
04/15/09	03/09/09	SELL	CONSOL ENERGY INC COM	CNX	620.000	15,986.82	16,688.50	701 68

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Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
SCOTT & STRINGFELLOW, INC.

Account Number: 2A9-036209

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
04/16/09	03/09/09	SELL	PETROLEO BRASILEIRO SA SPONSORED ADR	PBR A	135.000	2,936.24	3,699.49	763.25
05/04/09	03/09/09	SELL	ENERGIZER HLDGS INC COM	ENR	70.000	2,702.56	4,193.02	1,490.46
05/04/09	03/09/09	SELL	NALCO HLDG CO COM	NLC	340.000	3,352.37	5,798.94	2,446.57
05/08/09	05/04/09	SELL	COVANTA HLDGS CORP COM	CVA	775.000	11,004.61	12,640.17	1,635.56
05/08/09	03/09/09	SELL	PETROLEO BRASILEIRO SA SPONSORED ADR	PBR A	210 000	4,567.48	6,589.91	2,022.43
05/19/09	03/09/09	SELL	WEATHERFORD INTL LTD REG	WFT	405.000	4,401.54	7,845.12	3,443.58
05/19/09	03/09/09	SELL	HALLIBURTON CO COM	HAL	80.000	1,294.27	1,763 20	468.93
05/20/09	03/09/09	SELL	WEATHERFORD INTL LTD REG	WFT	90.000	978.12	1,817.58	839.46
05/20/09	03/09/09	SELL	HALLIBURTON CO COM	HAL	80.000	1,294.27	1,838.47	544.20
05/27/09	05/27/09	CLEU	HARRIS STRATEX NETWORKS INC COM	41457P106	0.502	2.69	2.64	(0 05)
06/01/09	03/09/09	SELL	PETROLEO BRASILEIRO SA SPONSORED ADR	PBR A	55.000	1,196.24	2,002.56	806 32
06/03/09	03/09/09	SELL	ALLSCRIPTS HEALTHCARE SOLUTIONS	MDRX	250.000	2,069.90	3,314.20	1,244 30
06/03/09	03/09/09	SELL	APACHE CORP	APA	60.000	3,185.89	4,922.40	1,736.51
06/03/09	03/09/09	SELL	CISCO SYSTEMS INC	CSCO	115.000	1,602.87	2,212.83	609.96
06/03/09	03/09/09	SELL	DELL INC COM	DELL	240.000	1,955.62	2,774.83	819.21
06/03/09	03/09/09	SELL	EBAY INC COM	EBAY	400 000	4,167.36	7,172 00	3,004 64
06/03/09	03/09/09	SELL	ENERGIZER HLDGS INC COM	ENR	65.000	2,509.52	3,509.35	999.83

Seq # (2A9 115332)

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
SCOTT & STRINGFELLOW, INC.

Account Number: 2A9-036209

Recipient's Identification Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/03/09	03/09/09	SELL	NALCO HLDG CO COM	NLC	145 000	1,429.69	2,475 44	1,045.75
06/03/09	03/09/09	SELL	SPX CORPORATION	SPW	65.000	2,777.58	3,043.95	266.37
06/03/09	03/09/09	SELL	SMITHFIELD FOODS INC	SFD	775.000	4,586.91	9,696 80	5,109.89
06/03/09	03/09/09	SELL	SPIRIT AEROSYSTEMS HLDGS INC CL A	SPR	500.000	4,284.60	6,995 10	2,710.50
06/03/09	03/09/09	SELL	UNITEDHEALTH GROUP INC COM	UNH	190.000	3,400.98	5,217.40	1,816.42
06/03/09	03/09/09	SELL	YUM BRANDS INC COM	YUM	110.000	2,602.51	4,025.12	1,422.61
06/04/09	03/09/09	SELL	SPIRIT AEROSYSTEMS HLDGS INC CL A	SPR	585.000	5,012.98	8,728.32	3,715 34
06/05/09	03/09/09	SELL	SPIRIT AEROSYSTEMS HLDGS INC CL A	SPR	765.000	6,555.44	12,249.26	5,693.82
06/11/09	03/09/09	SELL	HALLIBURTON CO COM	HAL	210.000	3,397.47	5,096.36	1,698 89
06/26/09	03/09/09	SELL	SMITHFIELD FOODS INC	SFD	1,070.000	6,332.90	14,665.10	8,332.20
07/14/09	03/09/09	SELL	SMITHFIELD FOODS INC	SFD	375.000	2,219.48	4,983.83	2,764.35
07/15/09	03/09/09	SELL	SMITHFIELD FOODS INC	SFD	370 000	2,189.88	5,004.03	2,814.15
08/13/09	03/09/09	SELL	SPX CORPORATION	SPW	55.000	2,350.26	3,190.51	840.25
09/09/09	03/09/09	SELL	SPX CORPORATION	SPW	10.000	427.32	602.17	174.85
09/11/09	03/09/09	SELL	SPX CORPORATION	SPW	110.000	4,700.52	6,982.47	2,281.95
09/28/09	03/09/09	SELL	PETROLEO BRASILEIRO SA SPONSORED ADR	PBR A	310.000	6,742.47	12,038.54	5,296.07
09/28/09	08/26/09	SELL	PETROLEO BRASILEIRO SA SPONSORED ADR	PBR A	50.000	1,781.50	1,941.70	160.20

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TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/09/09	03/09/09	SELL	ALLSCRIPTS HEALTHCARE SOLUTIONS	MDRX	750.000	6,209.70	15,664.50	9,454.80
10/14/09	03/09/09	SELL	NALCO HLDG CO COM	NLC	190.000	1,873.38	4,299.15	2,425 77
10/28/09	03/09/09	SELL	HARRIS CORP DEL	HRS	45.000	1,376.03	1,883.52	507.49
11/12/09	03/09/09	SELL	NALCO HLDG CO COM	NLC	140.000	1,380.39	3,292.76	1,912.37
11/16/09	03/09/09	SELL	NALCO HLDG CO COM	NLC	145.000	1,429.68	3,493.34	2,063.66
12/01/09	03/09/09	SELL	NALCO HLDG CO COM	NLC	165.000	1,626.88	4,109.84	2,482.96
Total Short Term						**698,304.86**	**573,632.09**	**(124,672.77)**
Total Short Term and Long Term						**698,304.86**	**573,632.09**	**(124,672.77)**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes** - If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.
- **Corporate Actions** - For corporate actions, especially cash and stock mergers, the Schedule of Realized Gains and Losses may report the economic gain or loss resulting from the transaction, including the value of any stock or securities received. Thus, the Schedule of Realized Gains and Losses may report no capital gain, a capital gain equal to the difference between the original cost basis versus the fair market value of the new security, or a capital gain equal to the cash received, depending on the terms of the corporate action. In all events, however, the 1099 will only report the cash portion of the corporate action.
- **Cost Basis Service Enrollment** - The Schedule of Realized Gains and Losses will only be displayed for closing transactions during the period the account was enrolled in the cost basis service. However, proceeds will be reported on the 1099 for the full year.

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
THE LONDON CO - SMALL CAP CORE

Account Number: 2A9-036191

Recipient's Identification Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
03/09/09	08/15/08	SELL	ASSURED GUARANTY LTD COM	AGO	174.000	2,482.89	520 28	(1,962.61)
03/09/09	12/09/08	SELL	ASSURED GUARANTY LTD COM	AGO	1 000	14.07	2.99	(11.08)
03/09/09	12/12/08	SELL	ASSURED GUARANTY LTD COM	AGO	20.000	242.80	59.80	(183.00)
03/09/09	12/02/08	SELL	ALVARION LTD SHS ISIN#IL0010844582	ALVR	86.000	298.11	220.33	(77 78)
03/09/09	12/03/08	SELL	ALVARION LTD SHS ISIN#IL0010844582	ALVR	172.000	608.57	440.67	(167.90)
03/09/09	08/15/08	SELL	RADVISION LTD SHS	RVSN	364.000	2,529.12	1,874 92	(654 20)
03/09/09	08/15/08	SELL	AMBASSADORS GROUP INC COM	EPAX	71.000	1,321.66	443.75	(877.91)
03/09/09	08/15/08	SELL	AMERICAN STS WATER COM	AWR	37.000	1,490.36	1,116 29	(374.07)
03/09/09	08/15/08	SELL	APOGEE ENTERPRISES	APOG	156 000	3,144.96	1,351.15	(1,793 81)
03/09/09	08/15/08	SELL	BALDOR ELECTRIC CO	BEZ	293.000	11,183.37	3,015 56	(8,167.81)
03/09/09	08/15/08	SELL	BANK HAWAII CORP COM	BOH	211.000	11,514.87	5,522.66	(5,992.21)
03/09/09	08/15/08	SELL	BLACKBAUD INC COM	BLKB	193.000	4,348.28	1,795.13	(2,553.15)
03/09/09	11/17/08	SELL	CAPELLA ED CO COM	CPLA	1.000	51.00	47.55	(3.45)
03/09/09	11/18/08	SELL	CAPELLA ED CO COM	CPLA	30.000	1,530 00	1,426 50	(103.50)
03/09/09	12/01/08	SELL	CAPELLA ED CO COM	CPLA	27.000	1,554.68	1,283.85	(270.83)
03/09/09	08/15/08	SELL	CARBO CERAMICS INC COM	CRR	117.000	6,523.34	3,900.55	(2,622.79)
03/09/09	08/15/08	SELL	CLARCOR INC	CLC	263.000	11,194.86	6,370.68	(4,824.18)
03/09/09	08/15/08	SELL	COHERENT INC	COHR	84.000	3,058.44	1,218 00	(1,840.44)

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Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
THE LONDON CO - SMALL CAP CORE

Account Number: 2A9-036191

Recipient's Identification Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	01/27/09	SELL	COMMERCE BANCSHARES	CBSH	46.000	1,631.80	1,320.20	(311.60)
03/09/09	02/10/09	SELL	COMMERCE BANCSHARES	CBSH	42.000	1,584.29	1,205.40	(378.89)
03/09/09	08/15/08	SELL	COMMERCIAL METALS CO	CMC	36.000	925.45	361.44	(564 01)
03/09/09	08/15/08	SELL	CORPORATE OFFICE PPTYS TR COM	OFC	173.000	6,752.19	3,642.27	(3,109.92)
03/09/09	08/15/08	SELL	CREDO PETE CORP COM PAR $0.10	CRED	154.000	1,529.82	1,076.15	(453 67)
03/09/09	08/15/08	SELL	DAWSON GEOPHYSICAL CO COM	DWSN	52 000	3,236.48	544.44	(2,692.04)
03/09/09	08/15/08	SELL	DIAMOND FOODS INC COM	DMND	157.000	4,307.58	3,270.62	(1,036.96)
03/09/09	08/15/08	SELL	DIGITAL RLTY TR INC COM	DLR	61.000	2,807.22	1,624.55	(1,182.67)
03/09/09	10/07/08	SELL	DIGITAL RLTY TR INC COM	DLR	47.000	1,810.95	1,251.70	(559 25)
03/09/09	11/12/08	SELL	DIGITAL RLTY TR INC COM	DLR	53 000	1,570.68	1,411.50	(159.18)
03/09/09	11/12/08	SELL	DIGITAL RLTY TR INC COM	DLR	15.000	442.44	399.48	(42.96)
03/09/09	08/15/08	SELL	DIME CMNTY BANCORP INC COM	DCOM	592.000	9,850.39	4,101.96	(5,748.43)
03/09/09	08/15/08	SELL	DIONEX CORP	DNEX	138.000	9,574.88	5,582.10	(3,992.78)
03/09/09	08/15/08	SELL	EHEALTH INC COM	EHTH	298.000	4,564.76	3,895.34	(669.42)
03/09/09	08/15/08	SELL	ENCORE ACQUISITION CO COM	EAC	233.000	11,145.44	4,309.01	(6,836.43)
03/09/09	08/15/08	SELL	ESCO TECHNOLOGIES INC COM	ESE	80.000	3,746.36	2,526.40	(1,219.96)
03/09/09	12/12/08	SELL	F5 NETWORKS INC COM	FFIV	74.000	1,666.82	1,389.75	(277 07)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	12/18/08	SELL	F5 NETWORKS INC COM	FFIV	45.000	1,014.97	845.12	(169.85)
03/09/09	01/26/09	SELL	F5 NETWORKS INC COM	FFIV	20.000	481.00	375.61	(105.39)
03/09/09	08/15/08	SELL	FUEL TECH INC COM	FTEK	43.000	790.56	336.05	(454.51)
03/09/09	08/15/08	SELL	GAIAM INC COM	GAIA	220 000	3,132.78	576.72	(2,556.06)
03/09/09	08/15/08	SELL	GENESEE & WYO INC CL A	GWR	306.000	13,407.48	5,161.61	(8,245.87)
03/09/09	08/15/08	SELL	GENTEX CORP COM	GNTX	585 000	9,470.57	4,276 58	(5,193.99)
03/09/09	04/28/08	SELL	GREEN MTN COFFEE ROASTERS INC COM	GMCR	106.000	3,390.06	4,022.91	632 85
03/09/09	08/15/08	SELL	HAIN CELESTIAL GROUP INC COM	HAIN	146.000	3,869.00	1,665 99	(2,203.01)
03/09/09	08/15/08	SELL	HEALTHWAYS INC COM	HWAY	62.000	1,670.84	459.42	(1,211.42)
03/09/09	08/15/08	SELL	HIBBETT SPORTS INC COM	HIBB	106 000	2,428.09	1,419.55	(1,008.54)
03/09/09	09/25/08	SELL	ICU MED INC COM ISIN#US44930G1076	ICUI	78.000	2,497.94	2,102.52	(395.42)
03/09/09	12/09/08	SELL	ICU MED INC COM ISIN#US44930G1076	ICUI	25.000	759.81	673.89	(85.92)
03/09/09	08/15/08	SELL	IDEXX LABS INC COM	IDXX	215.000	12,297.73	6,122.02	(6,175.71)
03/09/09	12/22/08	SELL	IMMUCOR INC	BLUD	61.000	1,513.40	1,264.53	(248.87)
03/09/09	08/20/08	SELL	INDEPENDENT BK CORP MASS	INDB	90.000	2,472.98	980.26	(1,492.72)
03/09/09	09/25/08	SELL	INDEPENDENT BK CORP MASS	INDB	37.000	1,122.50	402.99	(719.51)
03/09/09	08/15/08	SELL	INTERFACE INC CL A	IFSIA	72.000	930.60	123.84	(806.76)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	01/07/09	SELL	INVESTMENT TECHOLOGY GROUP	ITG	64.000	1,594.98	1,082.26	(512.72)
03/09/09	01/08/09	SELL	INVESTMENT TECHOLOGY GROUP	ITG	9.000	226.28	152.19	(74.09)
03/09/09	02/10/09	SELL	INVESTMENT TECHOLOGY GROUP	ITG	38.000	862 79	642.60	(220.19)
03/09/09	08/15/08	SELL	ITRON INC COM	ITRI	114 000	11,933.06	4,693.79	(7,239.27)
03/09/09	08/15/08	SELL	J2 GLOBAL COMMUNICATIONS INC	JCOM	320.000	8,589.48	5,590.66	(2,998.82)
03/09/09	10/22/08	SELL	JONES LANG LASALLE INC COM	JLL	129.000	3,644.33	2,212.61	(1,431.72)
03/09/09	08/15/08	SELL	KADANT INC COM	KAI	39 000	954.02	264.81	(689.21)
03/09/09	08/15/08	SELL	LKQ CORP COM	LKQX	350.000	7,146.96	4,316 20	(2,830.76)
03/09/09	08/15/08	SELL	LANDAUER INC	LDR	149 000	10,090.82	7,297.72	(2,793.10)
03/09/09	08/15/08	SELL	LAYNE CHRISTENSEN CO COM	LAYN	67.000	3,327.22	985 57	(2,341 65)
03/09/09	08/15/08	SELL	LIFEWAY FOODS INC. COMMON	LWAY	259 000	3,398.37	1,691 47	(1,706.90)
03/09/09	08/15/08	SELL	LINDSAY CORP COM	LNN	91.000	8,287.96	2,070 25	(6,217.71)
03/09/09	08/15/08	SELL	MERIDIAN BIOSCIENCE INC COM	VIVO	364.000	9,993.36	6,159.17	(3,834.19)
03/09/09	08/15/08	SELL	MET PRO CORP	MPR	105 000	1,678.69	643.74	(1,034 95)
03/09/09	08/15/08	SELL	MIDDLEBY CORP	MIDD	56 000	3,158.40	1,295.28	(1,863.12)
03/09/09	08/15/08	SELL	MILLER HERMAN INC	MLHR	94.000	2,674.77	783.96	(1,890 81)
03/09/09	08/15/08	SELL	MINERAL TECHNOLOGIES INC	MTX	116.000	7,836.38	3,176.50	(4,659.88)

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CHANGE HAPPENS FOUNDATION
THE LONDON CO - SMALL CAP CORE

Account Number: 2A9-036191

Recipient's Identification Number. 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	11/20/08	SELL	NII HLDGS INC CL B NEW	NIHD	97.000	1,286.01	1,203.77	(82.24)
03/09/09	08/15/08	SELL	NATCO GROUP INC CL A STOCK MGR EFF	63227W203	67.000	2,984.93	1,090.09	(1,894.84)
03/09/09	08/15/08	SELL	NATIONAL INSTRUMENTS CORP COMMON STOCK	NATI	91.000	3,069.45	1,462.37	(1,607 08)
03/09/09	08/15/08	SELL	NEOGEN CORP	NEOG	105 000	2,895.73	1,942.71	(953.02)
03/09/09	08/15/08	SELL	NET 1 UEPS TECHNOLOGIES INC COM	UEPS	312.000	7,709.51	3,900.12	(3,809.39)
03/09/09	08/15/08	SELL	NEW JERSEY RES CORP COM	NJR	260.000	9,291.23	8,065.90	(1,225.33)
03/09/09	08/15/08	SELL	ORMAT TECHNOLOGIES INC COM	ORA	47.000	2,211.35	1,092.75	(1,118.60)
03/09/09	08/15/08	SELL	PARKWAY PPTYS INC COM	PKY	221.000	7,994.35	1,893.09	(6,101.26)
03/09/09	08/15/08	SELL	PLANTRONICS INC NEW	PLT	371.000	9,421.36	2,964 62	(6,456.74)
03/09/09	08/15/08	SELL	POLYCOM INC COM	PLCM	312 000	8,743.80	4,134.12	(4,609.68)
03/09/09	11/13/08	SELL	POLYCOM INC COM	PLCM	65.000	1,175.14	861.28	(313 86)
03/09/09	08/15/08	SELL	POWER INTEGRATIONS INC COM	POWI	352.000	10,643.90	6,386 89	(4,257.01)
03/09/09	11/12/08	SELL	PRE PAID LEGAL SVCS INC COM	PPD	20.000	727.57	580.60	(146 97)
03/09/09	11/13/08	SELL	PRE PAID LEGAL SVCS INC COM	PPD	18.000	676.38	522.54	(153.84)
03/09/09	11/14/08	SELL	PRE PAID LEGAL SVCS INC COM	PPD	9.000	330.21	261.27	(68.94)
03/09/09	12/12/08	SELL	QUAKER CHEMICAL CORP	KWR	21.000	244.80	101 09	(143.71)
03/09/09	12/15/08	SELL	QUAKER CHEMICAL CORP	KWR	37 000	451.46	178.12	(273.34)

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
THE LONDON CO - SMALL CAP CORE

Account Number: 2A9-036191

Recipient's Identification Number. 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	12/16/08	SELL	QUAKER CHEMICAL CORP	KWR	18.000	235.15	86.65	(148 50)
03/09/09	12/22/08	SELL	QUAKER CHEMICAL CORP	KWR	43.000	600.47	207.00	(393.47)
03/09/09	12/23/08	SELL	QUAKER CHEMICAL CORP	KWR	17.000	238.40	81.84	(156 56)
03/09/09	12/24/08	SELL	QUAKER CHEMICAL CORP	KWR	1 000	14 00	4.82	(9 18)
03/09/09	08/15/08	SELL	QUALITY SYS INC	QSII	153.000	6,096.17	5,574.10	(522 07)
03/09/09	08/15/08	SELL	RENAISSANCE LEARNING INC COM	RLRN	167.000	2,488.64	1,135.93	(1,352.71)
03/09/09	11/17/08	SELL	RESMED INC COM	RMD	45.000	1,577.78	1,511.55	(66.23)
03/09/09	12/01/08	SELL	RESMED INC COM	RMD	52.000	1,780.50	1,746.68	(33.82)
03/09/09	08/15/08	SELL	SCHOLASTIC CORP	SCHL	94 000	2,589.94	933.42	(1,656.52)
03/09/09	08/15/08	SELL	SCHOOL SPECIALTY INC	SCHS	35.000	1,196.74	459.20	(737.54)
03/09/09	08/15/08	SELL	SIMPSON MANUFACTURING CO	SSD	215.000	6,124.60	3,005 44	(3,119.16)
03/09/09	08/15/08	SELL	SOUTH JERSEY INDS	SJI	266.000	9,254.02	8,642.34	(611.68)
03/09/09	09/25/08	SELL	SOUTHSIDE BANCSHARES INC COM	SBSI	15.000	380.45	210.90	(169 55)
03/09/09	09/26/08	SELL	SOUTHSIDE BANCSHARES INC COM	SBSI	48.000	1,238.32	674.88	(563.44)
03/09/09	09/29/08	SELL	SOUTHSIDE BANCSHARES INC COM	SBSI	34.000	864.81	478.04	(386.77)
03/09/09	08/15/08	SELL	STRAYER ED INC COM	STRA	46.000	10,171 06	7,360.92	(2,810.14)
03/09/09	11/21/08	SELL	SUNPOWER CORP COM CL B	SPWRB	56.000	660.18	1,155.28	495.10

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	08/15/08	SELL	TEAM INC	TISI	172.000	6,602.56	1,821.69	(4,780 87)
03/09/09	08/15/08	SELL	TIMBERLAND CO CL A COM	TBL	205.000	3,411.51	1,818.39	(1,593 12)
03/09/09	08/15/08	SELL	UMPQUA HLDGS CORP COM	UMPQ	187.000	2,686.95	1,320.29	(1,366 66)
03/09/09	11/21/08	SELL	UNDER ARMOUR INC CL A COM	UA	76.000	1,382.83	937.84	(444.99)
03/09/09	08/15/08	SELL	UNITED NAT FOODS INC COM	UNFI	188.000	3,584.22	2,679.17	(905 05)
03/09/09	08/15/08	SELL	WABTEC COM	WAB	217.000	12,479.67	5,136.52	(7,343.15)
03/09/09	08/15/08	SELL	WATTS WATER TECHNOLOGIES INC	WTS	167.000	5,206.81	2,675.42	(2,531.39)
03/09/09	08/15/08	SELL	WEST PHARMACEUTICAL SVCS INC COM	WST	173 000	8,820.25	4,848.08	(3,972.17)
03/09/09	08/22/08	SELL	WHOLE FOODS MKT INC	WFMI	132 000	2,460.19	1,569.72	(890 47)
03/09/09	08/15/08	SELL	WILEY JOHN & SONS INC CLASS A	JW A	204.000	10,029.38	5,792 48	(4,236.90)
03/09/09	09/10/08	SELL	WILMINGTON TRUST CORP	WL	197.000	4,893.91	1,419.19	(3,474.72)
03/09/09	09/25/08	SELL	WILMINGTON TRUST CORP	WL	142.000	4,433.34	1,022.97	(3,410.37)
04/27/09	03/09/09	SELL	ADVENT SOFTWARE INC COM	ADVS	175.000	4,401.43	5,771.75	1,370.32
05/15/09	03/09/09	CLEU	KINDER MORGAN MGMT LLC SHS	KMR	0 096	3 36	3.82	0 46
06/17/09	03/09/09	SELL	NEWMARKET CORP COM	NEU	207 000	6,146.22	14,562.76	8,416 54
06/18/09	03/09/09	SELL	NEWMARKET CORP COM	NEU	101.000	2,998.88	7,092.09	4,093.21
07/01/09	03/09/09	SELL	MARTIN MARIETTA MATERIAL INC	MLM	80.000	5,621.60	6,295.16	673.56

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
08/11/09	03/09/09	SELL	ALBEMARLE CORP	ALB	223.000	3,617.04	7,058 77	3,441.73
08/11/09	03/09/09	SELL	HATTERAS FINL CORP COM	HTS	131.000	2,866.21	3,789 66	923.45
08/11/09	03/09/09	SELL	MARKEL CORP COM	MKL	13.000	2,791.62	3,897.79	1,106 17
08/14/09	03/09/09	CLEU	KINDER MORGAN MGMT LLC SHS	KMR	0.356	12.21	16.46	4 25
09/16/09	03/09/09	SELL	MARKEL CORP COM	MKL	11.000	2,362.14	3,478.65	1,116.51
11/10/09	03/09/09	SELL	BUCKEYE PARTNERS L.P	BPL	120.000	4,033.12	6,106.02	2,072.90
11/10/09	06/17/09	SELL	CARMAX INC COM	KMX	120 000	1,518.74	2,535 55	1,016 81
11/13/09	03/09/09	CLEU	KINDER MORGAN MGMT LLC SHS	KMR	0.239	8.01	0.00	(8 01)
11/23/09	03/09/09	SELL	IMS HEALTH INC COM	RX	52.000	589.67	1,122 64	532 97
11/24/09	03/09/09	SELL	IMS HEALTH INC COM	RX	103.000	1,168.01	2,218.84	1,050.83
11/25/09	03/09/09	SELL	IMS HEALTH INC COM	RX	62 000	703.07	1,328.65	625.58
12/30/09	03/09/09	SELL	INERGY LP UNIT LTD PARTNERSHIP INT	NRGY	262.000	5,381.96	9,317.19	3,935.23
Total Short Term						**502,470.43**	**317,939.24**	**(184,531.19)**
Total Short Term and Long Term						**502,470.43**	**317,939.24**	**(184,531.19)**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting

CREDIT SUISSE # 036183

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
03/09/09	08/15/08	SELL	ENDURANCE SPECIALITY HOLDINGS LTD COM	ENH	151 000	4,982 77	3,022 11	(1,960.66)
03/09/09	08/15/08	SELL	WEATHERFORD INTL LTD REG	WFT	205.000	7,453 49	2,253 31	(5,200.18)
03/09/09	10/22/08	SELL	WEATHERFORD INTL LTD REG	WFT	99.000	1,494.06	1,088 18	(405 88)
03/09/09	08/15/08	SELL	MILLICOM INTL CELLULAR SA SHS NEW	MICC	92.000	7,454.26	3,336.10	(4,118.16)
03/09/09	10/16/08	SELL	MILLICOM INTL CELLULAR SA SHS NEW	MICC	35 000	1,633.40	1,269.17	(364 23)
03/09/09	08/15/08	SELL	AFFILIATED COMPUTER SVCS INC CL A	ACS	200.000	9,973.00	8,941 08	(1,031 92)
03/09/09	08/15/08	SELL	AMEREN CORP COM	AEE	5 000	209.26	100.15	(109.11)

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TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	08/15/08	SELL	ANADARKO PETE CORP	APC	172.000	10,030.18	5,977.41	(4,052.77)
03/09/09	08/15/08	SELL	ANNALY CAP MANAGEMENT INC	NLY	711.000	9,982.44	9,194.65	(787 79)
03/09/09	10/20/08	SELL	ANNALY CAP MANAGEMENT INC	NLY	70 000	875.24	905 24	30.00
03/09/09	02/10/09	SELL	ANNALY CAP MANAGEMENT INC	NLY	5.000	74 82	64.66	(10.16)
03/09/09	08/15/08	SELL	AON CORPORATION	AON	6.000	283.64	234.42	(49.22)
03/09/09	08/15/08	SELL	BRANDYWINE REALTY TRUST SBI	BDN	420 000	7,479.61	1,440 64	(6,038.97)
03/09/09	12/11/08	SELL	BRANDYWINE REALTY TRUST SBI	BDN	255.000	1,388.70	874 68	(514.02)
03/09/09	08/15/08	SELL	BROADRIDGE FINL SOLUTIONS INC COM	BR	256 000	5,079.04	4,076.54	(1,002.50)
03/09/09	08/15/08	SELL	CABLEVISION SYS CORP (NEW) NY GROUP CL A	CVC	237.000	7,531.24	2,322 60	(5,208.64)
03/09/09	10/16/08	SELL	CABLEVISION SYS CORP (NEW) NY GROUP CL A	CVC	115.000	1,879 81	1,127.00	(752 81)
03/09/09	08/15/08	SELL	COLONIAL PROPERTIES TRUST SBI	CLP	373 000	7,470.18	1,175 70	(6,294.48)
03/09/09	08/15/08	SELL	CONSOLIDATED EDISON INC COM	ED	246.000	10,008.12	8,221.32	(1,786.80)
03/09/09	08/15/08	SELL	DREAMWORKS ANIMATION SKG INC CL A	DWA	161.000	5,014.99	2,944.83	(2,070.16)
03/09/09	09/15/08	SELL	ENTERGY CORP	ETR	75 000	7,347.66	4,645.50	(2,702.16)
03/09/09	08/15/08	SELL	GENUINE PARTS CO	GPC	116.000	4,958.30	2,960 78	(1,997 52)
03/09/09	08/15/08	SELL	GENZYME CORP COM FORMERLY COM GEN DIV	GENZ	150.000	12,501.51	8,130.54	(4,370.97)
03/09/09	08/15/08	SELL	GREAT PLAINS ENERGY INC COM	GXP	206 000	4,987.82	2,373.53	(2,614 29)

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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	08/15/08	SELL	HCP INC COM	HCP	212.000	7,476 60	3,466 22	(4,010.38)
03/09/09	08/15/08	SELL	HASBRO INC	HAS	128.000	4,997.56	2,775.73	(2,221.83)
03/09/09	08/15/08	SELL	HEALTH CARE REIT INC	HCN	142.000	7,453 58	3,934 11	(3,519 47)
03/09/09	08/15/08	SELL	HUTCHISON TELECOMMUNICATIONS	HTX	399 000	7,501.20	1,725 28	(5,775 92)
03/09/09	12/10/08	SELL	HUTCHISON TELECOMMUNICATIONS	HTX	15.000	65.55	64 86	(0.69)
03/09/09	08/15/08	SELL	INTEGRYS ENERGY GRP INC COM	TEG	194 000	9,971.48	4,056 54	(5,914.94)
03/09/09	08/15/08	SELL	IVANHOE MINES LTD ISIN#CA46579N1033	IVN	724.000	7,516.57	3,156.65	(4,359.92)
03/09/09	08/15/08	SELL	MATTEL INC	MAT	232.000	5,001.57	2,526 90	(2,474.67)
03/09/09	10/20/08	SELL	MCDERMOTT INT'L INC.	MDR	100.000	1,872.42	1,198 00	(674 42)
03/09/09	08/15/08	SELL	NISOURCE INC HLDG CO COM STK	NI	453 000	7,491.94	3,842.26	(3,649 68)
03/09/09	08/15/08	SELL	NOBLE ENERGY INC COM	NBL	77 000	5,164.91	3,348.73	(1,816.18)
03/09/09	08/15/08	SELL	OLD REPUBLIC INTL CORP	ORI	373.000	4,030.64	2,875.87	(1,154.77)
03/09/09	08/15/08	SELL	PENTAIR INC	PNR	200.000	7,474.28	3,602.02	(3,872.26)
03/09/09	08/15/08	SELL	PIONEER NAT RES CO COM	PXD	128.000	7,539.78	1,634 68	(5,905.10)
03/09/09	08/15/08	SELL	PROGRESS ENERGY INC COM	PGN	225 000	10,025 66	7,225 43	(2,800.23)
03/09/09	10/20/08	SELL	PROGRESS ENERGY INC COM	PGN	40.000	1,499.67	1,284 52	(215.15)
03/09/09	08/15/08	SELL	RANGE RES CORP COM	RRC	116.000	5,043.45	4,301 49	(741 96)

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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	10/23/08	SELL	RANGE RES CORP COM	RRC	5.000	155.25	185.41	30.16
03/09/09	08/15/08	SELL	ROCKWELL COLLINS INC COM	COL	93.000	5,003.31	2,640.27	(2,363.04)
03/09/09	08/15/08	SELL	ROWAN COS INC	RDC	212.000	7,489.32	2,643.65	(4,845.67)
03/09/09	10/17/08	SELL	ROWAN COS INC	RDC	135.000	2,288.72	1,683.46	(605 26)
03/09/09	08/15/08	SELL	SPDR GOLD TR GOLD SHS	GLD	162.000	12,550.14	14,627.01	2,076 87
03/09/09	02/11/09	SELL	SCANA CORP NEW	SCG	26.000	893.54	698.10	(195.44)
03/09/09	08/15/08	SELL	HENRY SCHEIN INC	HSIC	125 000	7,487.18	4,316.25	(3,170.93)
03/09/09	08/15/08	SELL	SEMPRA ENERGY COM	SRE	131 000	7,469.87	4,897.49	(2,572.38)
03/09/09	08/15/08	SELL	TELEPHONE & DATA SYS INC SPL COM	TDS S	46.000	1,748.83	1,058.46	(690.37)
03/09/09	08/15/08	SELL	TIME WARNER CABLE INC CL A R/S EFF	88732J108	362 000	9,994.82	2,591.96	(7,402.86)
03/09/09	08/15/08	SELL	UDR INC COM	UDR	288 000	7,472.30	2,102.66	(5,369.64)
03/09/09	01/29/09	SELL	UDR INC COM	UDR	23.000	269.41	167.92	(101 49)
03/09/09	08/15/08	SELL	ULTRA PETE CORP COM	UPL	115.000	7,493.23	3,718 16	(3,775 07)
03/09/09	08/15/08	SELL	XCEL ENERGY INC	XEL	365 000	7,511.15	6,012.57	(1,498.58)
03/09/09	08/15/08	SELL	ZIMMER HLDGS INC COM	ZMH	104.000	7,521.63	3,397.20	(4,124.43)
05/15/09	08/15/08	SELL	COVIDIEN LTD SHS ISIN#BMG2552X1083	G2552X108	11.000	589.46	402 49	(186 97)
05/15/09	03/09/09	SELL	TYCO INTL LTD SHS	TYC	7.000	126.41	178 85	52.44

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
05/15/09	03/09/09	SELL	AES CORP	AES	124.000	642.07	1,062.93	420.86
05/15/09	03/09/09	SELL	ALLEGHENY ENERGY INC COM	AYE	22.000	462.62	575.76	113.14
05/15/09	08/15/08	SELL	AMEREN CORP COM	AEE	33 000	1,381.10	767.98	(613.12)
05/15/09	03/09/09	SELL	AMERIPRISE FINL INC COM	AMP	13.000	184.71	329.03	144 32
05/15/09	03/09/09	SELL	BROOKFIELD ASSET MGMT INC VTG	BAM	192.000	2,226 82	3,353 70	1,126 88
05/15/09	03/09/09	SELL	CALPINE CORP COM NEW	CPN	92.000	469.02	961.30	492.28
05/15/09	03/09/09	SELL	CIMAREX ENERGY CO COM	XEC	62.000	1,049.28	1,735.49	686.21
05/15/09	03/09/09	SELL	CONSTELLATION ENERGY GROUP INC	CEG	117.000	1,841.35	2,882.03	1,040 68
05/15/09	03/09/09	SELL	COVANTA HLDGS CORP COM	CVA	66.000	894.17	1,050.78	156.61
05/15/09	03/09/09	SELL	COVENTRY HEALTH CARE INC COM	CVH	201 000	1,718 23	3,877 61	2,159.38
05/15/09	03/09/09	SELL	DISH NETWORK CORP CL A	DISH	49 000	476 23	781.75	305 52
05/15/09	03/09/09	SELL	ENCORE ACQUISITION CO COM	EAC	44.000	839.05	1,365.84	526 79
05/15/09	03/09/09	SELL	FIDELITY NATL FINL INC CL A COM	FNF	18 000	273.42	274.17	0 75
05/15/09	03/09/09	SELL	FOREST CITY ENTERPRISES INC	FCE A	28.000	114.19	177.25	63.06
05/15/09	03/09/09	SELL	GENTEX CORP COM	GNTX	32.000	234.23	351.07	116 84
05/15/09	03/09/09	SELL	LAMAR ADVERTISING CO CL A	LAMR	17.000	93 67	315.70	222 03
05/15/09	03/09/09	SELL	LEGG MASON INC	LM	59.000	657.26	1,085 99	428 73

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
05/15/09	03/09/09	SELL	LIBERTY GLOBAL INC COM SER A	LBTYA	42.000	406.94	621.18	214.24
05/15/09	03/09/09	SELL	LINCARE HLDGS INC	LNCR	7 000	142.17	162.89	20 72
05/15/09	03/09/09	SELL	MARKEL CORP COM	MKL	4 000	862.92	1,126 44	263 52
05/15/09	03/09/09	SELL	MERCURY GENERAL CORP	MCY	22.000	509.83	760 98	251.15
05/15/09	03/09/09	SELL	MOHAWK INDUSTRIES INC	MHK	19.000	341.05	762.28	421.23
05/15/09	03/09/09	SELL	QUESTAR CORP COM	STR	22.000	575.22	728 80	153.58
05/15/09	08/15/08	SELL	TELEPHONE & DATA SYS INC SPL COM	TDS S	13 000	494.23	364 00	(130.23)
05/15/09	03/09/09	SELL	USG CORP (NEW) COMMON STOCK	USG	32.000	138.88	388 32	249.44
05/15/09	03/09/09	SELL	VALEANT PHARMACEUTICALS INTL	VRX	70.000	1,124.89	1,408.53	283.64
05/15/09	03/09/09	SELL	WILLIAMS COS INC COM	WMB	91.000	956.23	1,365.18	408 95
06/24/09	08/15/08	SELL	COVIDIEN PLC SHS	COV	5 000	267.94	178.50	(89 44)
06/24/09	03/09/09	SELL	NABORS INDS LTD SHS ISIN#BMG6359F1032	NBR	57.000	530.56	852.72	322.16
06/24/09	10/22/08	SELL	WEATHERFORD INTL LTD REG	WFT	17.000	256 56	318.24	61 68
06/24/09	03/09/09	SELL	AES CORP	AES	14.000	72.49	144.21	71.72
06/24/09	08/15/08	SELL	AMEREN CORP COM	AEE	68.000	2,845 90	1,643.78	(1,202.12)
06/24/09	03/09/09	SELL	BROOKFIELD ASSET MGMT INC VTG	BAM	4 000	46.39	68.76	22.37
06/24/09	03/09/09	SELL	CALPINE CORP COM NEW	CPN	52.000	265.10	556.41	291.31

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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/24/09	03/09/09	SELL	CIMAREX ENERGY CO COM	XEC	13.000	220.01	367.69	147 68
06/24/09	08/15/08	SELL	CINTAS CORP	CTAS	12.000	373.16	270.24	(102 92)
06/24/09	03/09/09	SELL	CONSTELLATION ENERGY GROUP INC	CEG	143.000	2,250.53	3,726.34	1,475 81
06/24/09	03/09/09	SELL	COVENTRY HEALTH CARE INC COM	CVH	18 000	153.87	330.91	177 04
06/24/09	03/09/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCA	13.000	193.30	272.10	78 80
06/24/09	03/09/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCK	10.000	137.99	190 01	52.02
06/24/09	03/09/09	SELL	DISH NETWORK CORP CL A	DISH	15.000	145.78	227.25	81 47
06/24/09	03/09/09	SELL	ENCORE ACQUISITION CO COM	EAC	43 000	819.98	1,302.97	482 99
06/24/09	03/09/09	SELL	FOREST CITY ENTERPRISES INC	FCE A	5 000	20.39	32.10	11.71
06/24/09	03/09/09	SELL	GENTEX CORP COM	GNTX	36.000	263.50	401.43	137.93
06/24/09	03/09/09	SELL	IRON MTN INC PA COM	IRM	10.000	179 18	282.52	103 34
06/24/09	03/09/09	SELL	LIBERTY GLOBAL INC COM SER A	LBTYA	6.000	58.13	91.68	33 55
06/24/09	03/09/09	SELL	LIBERTY MEDIA HLDG CORP CAP COM SER A	LCAPA	11 000	51.26	140.18	88 92
06/24/09	03/09/09	SELL	LIBERTY MEDIA CORP NEW ENTMT COM SER A	53071M500	9 000	152 60	237.38	84.78
06/24/09	03/09/09	SELL	LINCARE HLDGS INC	LNCR	5.000	101.55	105.87	4.32
06/24/09	03/09/09	SELL	MERCURY GENERAL CORP	MCY	5.000	115 87	160.55	44.68
06/24/09	03/09/09	SELL	NEWMONT MINING CORP (HLDG CO)	NEM	12.000	458.72	507.26	48 54

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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/24/09	03/09/09	SELL	QUESTAR CORP COM	STR	22.000	575.22	691.04	115 82
06/24/09	08/15/08	SELL	ST JUDE MED INC COM	STJ	52.000	2,393.30	2,094 69	(298 61)
06/24/09	03/09/09	SELL	SPECTRA ENERGY CORP COM	SE	5.000	59.25	82.45	23.20
06/24/09	08/15/08	SELL	TELEPHONE & DATA SYS INC SPL COM	TDS S	4.000	152.07	106.00	(46 07)
06/24/09	03/09/09	SELL	VALEANT PHARMACEUTICALS INTL	VRX	25.000	401.74	606.02	204.28
06/24/09	03/09/09	SELL	WEYERHAEUSER CO	WY	19 000	372.21	585.79	213.58
06/24/09	03/09/09	SELL	WILLIAMS COS INC COM	WMB	21.000	220.67	315.43	94.76
06/24/09	03/09/09	SELL	XTO ENERGY INC COM	XTO	12.000	379.32	454.68	75.36
08/11/09	03/09/09	SELL	NABORS INDS LTD SHS ISIN#BMG6359F1032	NBR	43.000	400.24	758.54	358.30
08/11/09	03/09/09	SELL	TYCO INTL LTD SHS	TYC	5 000	90.29	152.15	61.86
08/11/09	03/09/09	SELL	AES CORP	AES	11.000	56.96	148.52	91.56
08/11/09	03/09/09	SELL	ALLIANT TECHSYSTEMS INC	ATK	5.000	317.00	389.00	72.00
08/11/09	08/15/08	SELL	AMEREN CORP COM	AEE	38.000	1,590.36	1,001.36	(589 00)
08/11/09	03/09/09	SELL	CALPINE CORP COM NEW	CPN	52.000	265.09	649.52	384.43
08/11/09	03/09/09	SELL	CIMAREX ENERGY CO COM	XEC	8 000	135.39	311.28	175 89
08/11/09	08/15/08	SELL	CINTAS CORP	CTAS	4 000	124.39	104.56	(19 83)
08/11/09	03/09/09	SELL	CONSTELLATION ENERGY GROUP INC	CEG	26.000	409.19	770 16	360.97

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
PRINCIPAL GLOBAL INVESTORS

Account Number: 2A9-036183

Recipient's Identification
Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
08/11/09	03/09/09	SELL	COVENTRY HEALTH CARE INC COM	CVH	20.000	170.97	451.41	280.44
08/11/09	03/09/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCA	16.000	237.91	400.33	162.42
08/11/09	03/09/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCK	8.000	110.39	183.21	72.82
08/11/09	03/09/09	SELL	DISH NETWORK CORP CL A	DISH	8.000	77.75	148.16	70.41
08/11/09	03/09/09	SELL	EOG RES INC COM	EOG	12.000	622.25	896.18	273.93
08/11/09	03/09/09	SELL	EQT CORPORATION COM	EQT	4 000	118.19	158 96	40.77
08/11/09	03/09/09	SELL	ECHOSTAR CORP CL A	SATS	11.000	159.82	200.09	40 27
08/11/09	03/09/09	SELL	ENCORE ACQUISITION CO COM	EAC	17.000	324.18	613.73	289.55
08/11/09	03/09/09	SELL	GENTEX CORP COM	GNTX	6.000	43 92	84.54	40.62
08/11/09	03/09/09	SELL	IRON MTN INC PA COM	IRM	4.000	71.67	112.88	41.21
08/11/09	03/09/09	SELL	LENDER PROCESSING SVCS INC COM	LPS	6.000	150.60	193.86	43.26
08/11/09	03/09/09	SELL	LIBERTY GLOBAL INC COM SER A	LBTYA	35.000	339.12	754.74	415.62
08/11/09	03/09/09	SELL	LIBERTY MEDIA HLDG CORP INTERACTIVE COM	LINTA	5.000	13.59	46.15	32 56
08/11/09	03/09/09	SELL	LIBERTY MEDIA HLDG CORP CAP COM SER A	LCAPA	3.000	13.98	49.29	35.31
08/11/09	03/09/09	SELL	LIBERTY MEDIA CORP NEW ENTMT COM SER A	53071M500	166 000	2,814.70	4,457.26	1,642.56
08/11/09	03/09/09	SELL	LINCARE HLDGS INC	LNCR	3.000	60.93	76 50	15.57
08/11/09	03/09/09	SELL	LOEWS CORP COM	L	3.000	54.92	93.06	38.14

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
PRINCIPAL GLOBAL INVESTORS

Account Number: 2A9-036183

Recipient's Identification Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
08/11/09	03/09/09	SELL	QUESTAR CORP COM	STR	24.000	627.51	809.86	182 35
08/11/09	03/09/09	SELL	SAIC INC COM	SAI	16 000	278.21	281.29	3.08
08/11/09	08/15/08	SELL	ST JUDE MED INC COM	STJ	59.000	2,715.48	2,258 00	(457.48)
08/11/09	03/09/09	SELL	SPECTRA ENERGY CORP COM	SE	60.000	710.95	1,150.90	439 95
08/11/09	03/09/09	SELL	VALEANT PHARMACEUTICALS INTL	VRX	22 000	353.54	582 34	228.80
08/11/09	03/09/09	SELL	WESTERN UN CO COM	WU	20.000	218.18	352.85	134 67
08/11/09	03/09/09	SELL	WEYERHAEUSER CO	WY	41 000	803.19	1,449.78	646 59
08/11/09	03/09/09	SELL	WILLIAMS COS INC COM	WMB	18.000	189.14	303.34	114.20
09/15/09	03/09/09	SELL	EVEREST REINSURANCE GROUP LTD SHS	RE	3.000	187.22	256.59	69 37
09/15/09	03/09/09	SELL	NABORS INDS LTD SHS ISIN#BMG6359F1032	NBR	9.000	83.77	177.03	93.26
09/15/09	03/09/09	SELL	AES CORP	AES	21 000	108.74	306 21	197 47
09/15/09	03/09/09	SELL	ALLEGHENY ENERGY INC COM	AYE	3.000	63.09	80.01	16.92
09/15/09	03/09/09	SELL	AMERIPRISE FINL INC COM	AMP	4.000	56.83	127.44	70.61
09/15/09	03/09/09	SELL	BROOKFIELD ASSET MGMT INC VTG	BAM	7.000	81.19	155.76	74.57
09/15/09	03/09/09	SELL	CALPINE CORP COM NEW	CPN	17.000	86.67	213.37	126.70
09/15/09	03/09/09	SELL	CIMAREX ENERGY CO COM	XEC	11.000	186.16	458.95	272.79
09/15/09	03/09/09	SELL	CONSTELLATION ENERGY GROUP INC	CEG	17.000	267.55	551.33	283.78

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
PRINCIPAL GLOBAL INVESTORS

Account Number: 2A9-036183

Recipient's Identification
Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
09/15/09	03/09/09	SELL	COVENTRY HEALTH CARE INC COM	CVH	6.000	51.29	138.96	87.67
09/15/09	03/09/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCA	19.000	282.51	539.25	256.74
09/15/09	03/09/09	SELL	EQT CORPORATION COM	EQT	15.000	443.22	637 52	194.30
09/15/09	03/09/09	SELL	ENCORE ACQUISITION CO COM	EAC	6 000	114.42	234.48	120 06
09/15/09	03/09/09	SELL	FOREST CITY ENTERPRISES INC	FCE A	6.000	24.47	69.24	44.77
09/15/09	03/09/09	SELL	LINCARE HLDGS INC	LNCR	8.000	162.48	208.97	46 49
09/15/09	03/09/09	SELL	LOEWS CORP COM	L	9.000	164.77	307.80	143.03
09/15/09	03/09/09	SELL	MARSH & MCLENNAN COS INC COM	MMC	5 000	90.89	118.10	27.21
09/15/09	03/09/09	SELL	MERCURY GENERAL CORP	MCY	10.000	231.74	364 71	132 97
09/15/09	03/09/09	SELL	NEWMONT MINING CORP (HLDG CO)	NEM	3.000	114.68	139.83	25.15
09/15/09	03/09/09	SELL	QUESTAR CORP COM	STR	6.000	156 88	212.95	56.07
09/15/09	03/09/09	SELL	SPECTRA ENERGY CORP COM	SE	3.000	35.55	57.66	22.11
09/15/09	03/09/09	SELL	VALEANT PHARMACEUTICALS INTL	VRX	12.000	192.84	310.33	117.49
09/15/09	03/09/09	SELL	WESTERN UN CO COM	WU	12.000	130.91	224.90	93.99
09/15/09	03/09/09	SELL	WEYERHAEUSER CO	WY	33.000	646.47	1,306.91	660 44
11/19/09	03/09/09	SELL	NABORS INDS LTD SHS ISIN#BMG6359F1032	NBR	137.000	1,275.20	2,906.55	1,631.35
11/19/09	03/09/09	SELL	AES CORP	AES	147 000	761 17	1,903.67	1,142.50

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
PRINCIPAL GLOBAL INVESTORS

Account Number: 2A9-036183

Recipient's Identification Number 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
11/19/09	03/09/09	SELL	AUTOMATIC DATA PROCESSING INC COM	ADP	4.000	133.20	173.36	40.16
11/19/09	03/09/09	SELL	CALPINE CORP COM NEW	CPN	5.000	25.49	53.61	28.12
11/19/09	03/09/09	SELL	CONSTELLATION ENERGY GROUP INC	CEG	102.000	1,605.27	3,186 57	1,581.30
11/19/09	03/09/09	SELL	COVENTRY HEALTH CARE INC COM	CVH	35.000	299.19	776.42	477.23
11/19/09	03/09/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCA	216.000	3,211.75	6,640.96	3,429.21
11/19/09	05/15/09	SELL	DISCOVERY COMMUNICATIONS INC	DISCA	90 000	1,752.23	2,767.06	1,014.83
11/19/09	03/09/09	SELL	EOG RES INC COM	EOG	6.000	311.13	515.28	204.15
11/19/09	03/09/09	SELL	ECHOSTAR CORP CL A	SATS	6 000	87.17	118.26	31.09
11/19/09	03/09/09	SELL	ENCORE ACQUISITION CO COM	EAC	6 000	114.42	270.54	156.12
11/19/09	03/09/09	SELL	FOREST CITY ENTERPRISES INC	FCE A	8.000	32.63	85.68	53 05
11/19/09	03/09/09	SELL	GENTEX CORP COM	GNTX	3 000	21.96	50.70	28.74
11/19/09	08/11/09	SELL	KELLOGG CO	K	11.000	508.04	578 55	70.51
11/19/09	03/09/09	SELL	LAMAR ADVERTISING CO CL A	LAMR	14.000	77.14	402.37	325.23
11/19/09	03/09/09	SELL	LENDER PROCESSING SVCS INC COM	LPS	10.000	251.00	409.00	158 00
11/19/09	03/09/09	SELL	LIBERTY MEDIA CORP NEW ENTMT COM SER A	53071M500	53.000	898.67	1,866.71	968.04
11/19/09	03/09/09	SELL	LINCARE HLDGS INC	LNCR	43.000	873.31	1,498.19	624.88
11/19/09	03/09/09	SELL	MERCURY GENERAL CORP	MCY	30.000	695.22	1,111 50	416.28

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
PRINCIPAL GLOBAL INVESTORS

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Recipient's Identification Number· 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
11/19/09	03/09/09	SELL	NEWMONT MINING CORP (HLDG CO)	NEM	217.000	8,295.13	11,116.56	2,821.43
11/19/09	06/24/09	SELL	PEPSICO INC	PEP	40 000	2,127 40	2,463.60	336 20
11/19/09	03/09/09	SELL	SPECTRA ENERGY CORP COM	SE	3.000	35 55	56.88	21.33
11/19/09	03/09/09	SELL	VALEANT PHARMACEUTICALS INTL	VRX	90 000	1,446.28	3,011.28	1,565.00
11/19/09	03/09/09	SELL	WASHINGTON POST CO CL B	WPO	3 000	937.35	1,275.40	338.05
11/19/09	03/09/09	SELL	WESTERN UN CO COM	WU	4.000	43 64	76.44	32 80
11/19/09	03/09/09	SELL	WILLIAMS COS INC COM	WMB	12.000	126.10	237.17	111.07
11/24/09	03/09/09	CLEU	LIBERTY ENTMT INC COM SER A STK MGR	53045T102	0.600	9.77	8.12	(1 65)
11/24/09	03/09/09	CLEU	LIBERTY MEDIA CORP NEW LIBERTY STARZ	LSTZA	0.400	9 18	8 12	(1.06)
11/25/09	03/09/09	CLEU	DIRECTV COM CL A	DTV	0.390	5.72	12.45	6.73
12/01/09	03/09/09	SELL	DIRECTV COM CL A	DTV	15 000	219.89	476 25	256.36
12/22/09	03/09/09	SELL	NABORS INDS LTD SHS ISIN#BMG6359F1032	NBR	8.000	74 46	178.27	103.81
12/22/09	03/09/09	SELL	AUTOMATIC DATA PROCESSING INC COM	ADP	7.000	233.10	300.38	67.28
12/22/09	08/11/09	SELL	CLOROX CO COM	CLX	5.000	288 52	309.66	21.14
12/22/09	03/09/09	SELL	CONSTELLATION ENERGY GROUP INC	CEG	118 000	1,857.08	4,149.39	2,292.31
12/22/09	03/09/09	SELL	COVENTRY HEALTH CARE INC COM	CVH	84.000	718.07	2,110.94	1,392 87
12/22/09	03/09/09	SELL	DIRECTV COM CL A	DTV	247.988	3,635.42	8,374.56	4,739.14

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
PRINCIPAL GLOBAL INVESTORS

Account Number: 2A9-036183

Recipient's Identification Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
12/22/09	05/15/09	SELL	DIRECTV COM CL A	DTV	57.010	1,210 02	1,925 22	715.20
12/22/09	09/15/09	SELL	DIRECTV COM CL A	DTV	13.002	329.12	439.08	109.96
12/22/09	03/09/09	SELL	ECHOSTAR CORP CL A	SATS	13.000	188.87	252.47	63.60
12/22/09	03/09/09	SELL	FIDELITY NATL INFORMATION SVCS INC	FIS	35.000	558.54	823 59	265.05
12/22/09	08/11/09	SELL	KELLOGG CO	K	14.000	646.59	736.98	90.39
12/22/09	03/09/09	SELL	LENDER PROCESSING SVCS INC COM	LPS	8.000	200.80	342.86	142 06
12/22/09	03/09/09	SELL	LINCARE HLDGS INC	LNCR	59.000	1,198.26	2,226.97	1,028.71
12/22/09	03/09/09	SELL	MERCURY GENERAL CORP	MCY	45.000	1,042.83	1,761 77	718.94
12/22/09	03/09/09	SELL	MICROCHIP TECHNOLOGY INC COM	MCHP	8 000	145.84	231.53	85.69
12/22/09	03/09/09	SELL	NEWMONT MINING CORP (HLDG CO)	NEM	8.000	305.81	372.97	67.16
12/22/09	05/15/09	SELL	PAYCHEX INC	PAYX	6.000	161.15	185.29	24.14
12/22/09	06/24/09	SELL	PEPSICO INC	PEP	40.000	2,127.40	2,426.00	298 60
12/22/09	03/09/09	SELL	SAIC INC COM	SAI	64.000	1,112.83	1,217.98	105.15
12/22/09	03/09/09	SELL	SYSCO CORP	SYY	21.000	415.99	595.79	179 80
12/22/09	03/09/09	SELL	VALEANT PHARMACEUTICALS INTL	VRX	18.000	289.26	586.46	297.20
12/22/09	03/09/09	SELL	WESTERN UN CO COM	WU	18.000	196.37	349.59	153 22
Total Short Term						**411,690.16**	**326,550.62**	**(85,139.54)**

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
PRINCIPAL GLOBAL INVESTORS

Account Number: 2A9-036183

Recipient's Identification
Number: 20-5222620

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term								
03/09/09	06/01/06	SELL	MCDERMOTT INT'L INC.	MDR	217.000	4,894.65	2,599.66	(2,294.99)
09/15/09	08/15/08	SELL	AON CORPORATION	AON	3.000	141.82	124.50	(17.32)
09/15/09	08/15/08	SELL	ST JUDE MED INC COM	STJ	4.000	184.10	152.60	(31.50)
11/19/09	08/15/08	SELL	COVIDIEN PLC SHS	COV	13.000	696.64	576.45	(120.19)
11/19/09	10/22/08	SELL	WEATHERFORD INTL LTD REG	WFT	6 000	90.55	107.22	16 67
11/19/09	08/15/08	SELL	CINTAS CORP	CTAS	113.000	3,513.89	3,171 21	(342 68)
12/22/09	08/15/08	SELL	COVIDIEN PLC SHS	COV	9.000	482.29	424.27	(58.02)
12/22/09	08/15/08	SELL	BROADRIDGE FINL SOLUTIONS INC COM	BR	11.000	218.24	248.31	30.07
12/22/09	08/15/08	SELL	CINTAS CORP	CTAS	10.000	310.96	296.52	(14 44)
Total Long Term						**10,533.14**	**7,700.74**	**(2,832.40)**
Total Short Term and Long Term						**422,223.30**	**334,251.36**	**(87,971.94)**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes -** If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.

CREDIT SUISSE *D36175

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
03/09/09	01/14/09	SELL	COVIDIEN LTD SHS ISIN#BMG2552X1083	G2552X108	122.000	4,388.02	3,415 02	(973.00)
03/09/09	09/18/08	SELL	ACE LIMITED SHS	ACE	213 000	12,288.23	6,936.83	(5,351 40)
03/09/09	09/11/08	SELL	AETNA US HEALTHCARE INC COM	AET	176 000	7,481.18	3,588.96	(3,892 22)
03/09/09	10/07/08	SELL	AIR PRODUCTS & CHEMS INC	APD	54.000	3,252.35	2,482.38	(769.97)
03/09/09	10/20/08	SELL	AIR PRODUCTS & CHEMS INC	APD	43 000	2,592.61	1,976.71	(615 90)
03/09/09	09/11/08	SELL	ANADARKO PETE CORP	APC	126.000	6,928 36	4,362.35	(2,566.01)
03/09/09	09/11/08	SELL	APACHE CORP	APA	49 000	5,313 07	2,601 41	(2,711.66)
03/09/09	01/14/09	SELL	AVALONBAY CMNTYS INC COM	AVB	70.000	3,669.72	2,947.70	(722 02)
03/09/09	09/11/08	SELL	BEST BUY COMPANY INC	BBY	204.000	9,223.15	5,106 28	(4,116.87)

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
SCOTT & STRINGFELLOW

Account Number: 2A9-036175

Recipient's Identification
Number 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	12/22/08	SELL	BHP BILLITON LTD SPONSORED ADR	BHP	99.000	3,872.55	3,645.19	(227.36)
03/09/09	01/26/09	SELL	BIOGEN IDEC INC COM	BIIB	51.000	2,485.79	2,270 52	(215 27)
03/09/09	11/21/08	SELL	BOSTON PPTYS INC COM	BXP	58.000	2,507.54	1,860.06	(647 48)
03/09/09	12/22/08	SELL	BOSTON SCIENTIFIC CORP	BSX	391.000	2,894.26	2,417.44	(476.82)
03/09/09	09/11/08	SELL	BURLINGTON NORTHERN SANTA FE COMMON	BNI	113 000	11,589.29	5,936.09	(5,653.20)
03/09/09	01/26/09	SELL	CVS CAREMARK CORP	CVS	157.000	4,369.14	3,786.86	(582.28)
03/09/09	09/11/08	SELL	CHUBB CORP	CB	226 000	11,038.61	7,961.98	(3,076 63)
03/09/09	11/21/08	SELL	CISCO SYSTEMS INC	CSCO	158.000	2,308 22	2,201 37	(106.85)
03/09/09	09/11/08	SELL	COMCAST CORP CL A	CMCSA	424 000	9,005.55	4,787.38	(4,218.17)
03/09/09	10/20/08	SELL	COMCAST CORP CL A	CMCSA	107.000	1,688.55	1,208 14	(480.41)
03/09/09	09/11/08	SELL	CONOCOPHILLIPS COM	COP	176.000	12,601.35	6,461.10	(6,140.25)
03/09/09	11/21/08	SELL	CONOCOPHILLIPS COM	COP	35.000	1,532.70	1,284.88	(247 82)
03/09/09	12/16/08	SELL	CONOCOPHILLIPS COM	COP	48.000	2,519.40	1,762.12	(757.28)
03/09/09	09/11/08	SELL	DEERE & CO	DE	112 000	6,850.70	2,951.42	(3,899 28)
03/09/09	12/16/08	SELL	DEVON ENERGY CORP NEW COM	DVN	56.000	3,862.44	2,241.68	(1,620 76)
03/09/09	01/26/09	SELL	DEVON ENERGY CORP NEW COM	DVN	23.000	1,458.28	920.69	(537.59)
03/09/09	01/26/09	SELL	DIAMOND OFFSHORE DRILLING INC COM	DO	46.000	3,053.11	2,570 02	(483.09)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	09/11/08	SELL	DISNEY WALT CO DISNEY COM	DIS	214.000	6,950.72	3,372 66	(3,578.06)
03/09/09	09/11/08	SELL	EDISON INTERNATIONAL	EIX	256 000	10,783.59	6,138 88	(4,644.71)
03/09/09	02/05/09	SELL	EDISON INTERNATIONAL	EIX	60.000	1,965.05	1,438.80	(526 25)
03/09/09	11/21/08	SELL	EMERSON ELECTRIC CO COM	EMR	120.000	3,696.70	3,013.30	(683 40)
03/09/09	07/29/08	SELL	EXXON MOBIL CORP COM	XOM	77.000	6,198.28	5,002.83	(1,195 45)
03/09/09	10/14/08	SELL	EXXON MOBIL CORP COM	XOM	55.000	3,867.01	3,573.45	(293.56)
03/09/09	09/12/08	SELL	FIRSTENERGY CORP COM	FE	119 000	8,275.30	4,430 85	(3,844.45)
03/09/09	09/18/08	SELL	FIRSTENERGY CORP COM	FE	74.000	4,759.72	2,755.32	(2,004 40)
03/09/09	02/05/09	SELL	FIRSTENERGY CORP COM	FE	31.000	1,613 23	1,154.25	(458.98)
03/09/09	09/11/08	SELL	GENERAL DYNAMICS CORP COM	GD	72.000	6,059.28	2,620 08	(3,439.20)
03/09/09	10/20/08	SELL	GOLDMAN SACHS GROUP INC COM	GS	25.000	2,988.31	1,863 50	(1,124.81)
03/09/09	10/28/08	SELL	GOLDMAN SACHS GROUP INC COM	GS	21.000	1,966.42	1,565.34	(401.08)
03/09/09	09/11/08	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	144.000	16,978.56	12,096.00	(4,882 56)
03/09/09	06/19/08	SELL	JP MORGAN CHASE & CO COM	JPM	272.000	10,263.08	4,540 23	(5,722.85)
03/09/09	06/23/08	SELL	JP MORGAN CHASE & CO COM	JPM	222.000	8,254.49	3,705.62	(4,548 87)
03/09/09	09/11/08	SELL	JOHNSON & JOHNSON COM	JNJ	249.000	17,728.80	11,606.34	(6,122 46)
03/09/09	04/11/08	SELL	KROGER CO	KR	504.000	12,311.86	9,904.61	(2,407.25)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	09/11/08	SELL	LOCKHEED MARTIN CORP COM	LMT	139.000	15,837.31	8,201.14	(7,636.17)
03/09/09	09/11/08	SELL	MERCK & CO N/C EFF 11/3/09 1 OLD = 1 CU	589331107	113.000	3,830.70	2,309.72	(1,520 98)
03/09/09	10/14/08	SELL	MERCK & CO N/C EFF 11/3/09 1 OLD = 1 CU	589331107	98.000	2,801.96	2,003.12	(798 84)
03/09/09	01/26/09	SELL	MICROSOFT CORP COM	MSFT	149.000	2,643.38	2,284.47	(358.91)
03/09/09	09/11/08	SELL	NESTLE SA SPONSORED ADRS REGISTERED	NSRGY	392.000	17,083.36	11,962 00	(5,121.36)
03/09/09	09/11/08	SELL	NIKE INC CLASS B	NKE	62 000	3,741.91	2,421.10	(1,320 81)
03/09/09	01/26/09	SELL	NIKE INC CLASS B	NKE	25.000	1,152.80	976 25	(176 55)
03/09/09	01/14/09	SELL	NORTHERN TRUST CORP	NTRS	114.000	5,425.59	5,575.74	150.15
03/09/09	12/22/08	SELL	NUCOR CORP COM	NUE	61.000	2,508.70	1,943.46	(565.24)
03/09/09	09/11/08	SELL	OCCIDENTAL PETROLEUM CORP	OXY	163 000	11,270.39	8,278.46	(2,991.93)
03/09/09	12/05/08	SELL	PG & E CORP	PCG	74.000	2,670.33	2,655 98	(14 35)
03/09/09	02/05/09	SELL	PG & E CORP	PCG	47 000	1,854 02	1,686 90	(167.12)
03/09/09	09/11/08	SELL	PUBLIC SVC ENTERPRISE GROUP	PEG	385.000	14,147.40	9,423.19	(4,724.21)
03/09/09	01/26/09	SELL	RAYTHEON CO COM NEW	RTN	96.000	4,852 47	3,286.08	(1,566.39)
03/09/09	09/11/08	SELL	SCHERING PLOUGH MERGER EFF 11/3/09	806605101	377.000	7,067.05	7,628 60	561 55
03/09/09	09/11/08	SELL	STAPLES INC	SPLS	335 000	8,281.17	4,877.94	(3,403.23)
03/09/09	10/07/08	SELL	TJX COMPANIES INC (NEW)	TJX	139.000	3,911.06	3,058.25	(852 81)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	12/16/08	SELL	THERMO FISHER SCIENTIFIC INC	TMO	81.000	2,474.93	2,635.74	160.81
03/09/09	09/11/08	SELL	TIME WARNER INC R/S EFF 3/27/09 1 OLD=	887317105	605.000	8,675.70	4,392.78	(4,282.92)
03/09/09	02/05/09	SELL	TOTAL S A SPONSORED ADR	TOT	87.000	4,593.10	3,946.32	(646.78)
03/09/09	09/11/08	SELL	TRAVELERS COS INC COM	TRV	363.000	16,061.73	12,269.44	(3,792.29)
03/09/09	09/11/08	SELL	UNITED TECHNOLOGIES CORP DEL COM	UTX	281.000	17,715.20	10,653.22	(7,061.98)
03/09/09	09/11/08	SELL	VERIZON COMMUNICATIONS COM	VZ	495 000	16,962.91	13,059.44	(3,903.47)
03/09/09	06/27/08	SELL	WELLS FARGO & CO NEW COM	WFC	487.000	11,535.72	4,914 80	(6,620.92)
03/09/09	05/27/08	SELL	XTO ENERGY INC COM	XTO	116.000	7,308.86	3,651.15	(3,657.71)
03/18/09	09/11/08	SELL	METLIFE INC COM	MET	263.000	15,216.13	6,139.33	(9,076 80)
03/18/09	10/07/08	SELL	METLIFE INC COM	MET	12.000	467.03	280 12	(186.91)
03/18/09	10/07/08	SELL	METLIFE INC COM	MET	65.000	2,529.75	1,636.41	(893.34)
03/18/09	03/09/09	SELL	METLIFE INC COM	MET	350 000	4,427.22	8,811.41	4,384.19
03/19/09	03/09/09	SELL	REALTY INCOME CORP COMMON STOCK	O	1,060.000	15,899.89	18,422.06	2,522.17
04/02/09	03/09/09	SELL	INTEL CORP COM	INTC	30.000	387.24	475.51	88 27
04/02/09	03/09/09	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	210.000	1,664.94	2,100 00	435.06
04/30/09	03/09/09	SELL	EATON CORP COM	ETN	285 000	8,820.52	12,799.83	3,979.31
05/08/09	03/09/09	SELL	EATON CORP COM	ETN	225.000	6,963.57	10,487.27	3,523.70

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
05/15/09	03/09/09	CLEU	KINDER MORGAN MGMT LLC SHS	KMR	0.151	5.29	6.01	0.72
06/04/09	03/09/09	SELL	BOEING CO COM	BA	250.000	7,837.30	12,657.76	4,820 46
06/05/09	03/09/09	SELL	BOEING CO COM	BA	275.000	8,621.03	14,532.93	5,911.90
07/17/09	03/09/09	SELL	INTEL CORP COM	INTC	120 000	1,548.96	2,218 81	669 85
08/05/09	03/09/09	SELL	GENERAL ELECTRIC CO COM	GE	2,100 000	16,041.48	29,299.41	13,257 93
08/14/09	03/09/09	CLEU	KINDER MORGAN MGMT LLC SHS	KMR	0 988	33 98	45.69	11.71
08/14/09	03/09/09	CLEU	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	0 650	5.13	7.06	1.93
09/16/09	03/09/09	SELL	BP PLC SPONS ADR	BP	40.000	1,449.56	2,180.40	730 84
10/15/09	03/09/09	SELL	TEEKAY CORP SHS	TK	390.000	4,912.44	9,765 05	4,852 61
11/12/09	03/09/09	SELL	DIAGEO PLC SPONSORED ADR NEW	DEO	16.000	666.11	1,075.10	408 99
11/13/09	03/09/09	CLEU	KINDER MORGAN MGMT LLC SHS	KMR	0.794	26.75	0 00	(26.75)
11/24/09	03/09/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	25.000	866 25	1,377.52	511.27
12/01/09	03/09/09	SELL	NOVARTIS AG SPONSORED ADR	NVS	15.000	519.75	843.75	324 00
Total Short Term						**556,752.64**	**435,723.36**	**(121,029.28)**
Long Term								
03/09/09	06/01/06	SELL	AT&T INC COM	T	17.513	459.61	385.99	(73 62)
03/09/09	06/01/06	SELL	AT&T INC COM	T	91.000	2,436.98	2,005.68	(431.30)
03/09/09	06/21/06	SELL	AT&T INC COM	T	51.000	1,390.77	1,124.06	(266.71)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/09/09	06/21/06	SELL	AT&T INC COM	T	26.482	707.20	583.67	(123.53)
03/09/09	01/25/07	SELL	AT&T INC COM	T	426.005	15,717.44	9,389.32	(6,328.12)
03/09/09	11/21/07	SELL	AMGEN INC COM	AMGN	109.000	5,820.55	5,046.90	(773 65)
03/09/09	03/04/08	SELL	AMGEN INC COM	AMGN	105.000	4,747.02	4,861.69	114 67
03/09/09	03/16/07	SELL	CVS CAREMARK CORP	CVS	254.000	8,329.90	6,126 50	(2,203.40)
03/09/09	01/09/07	SELL	EXXON MOBIL CORP COM	XOM	60.000	4,309.43	3,898.31	(411 12)
03/09/09	08/02/07	SELL	HEWLETT PACKARD CO COM	HPQ	261.000	12,467.97	6,739.23	(5,728.74)
03/09/09	08/29/07	SELL	HEWLETT PACKARD CO COM	HPQ	157.000	7,453.45	4,053.86	(3,399.59)
03/09/09	02/20/08	SELL	WAL MART STORES INC	WMT	100.000	4,945.00	4,798.20	(146.80)
Total Long Term						**68,785.32**	**49,013.41**	**(19,771.91)**
Total Short Term and Long Term						**625,537.96**	**484,736.77**	**(140,801.19)**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes -** If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.

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2009 TAX and YEAR-END STATEMENT

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
03/09/09	09/12/08	SELL	COVIDIEN LTD SHS ISIN#BMG2552X1083	G2552X108	84.000	4,704.00	2,321 17	(2,382.83)
03/09/09	09/15/08	SELL	COVIDIEN LTD SHS ISIN#BMG2552X1083	G2552X108	32.000	1,792.00	884.26	(907.74)
03/09/09	09/17/08	SELL	COVIDIEN LTD SHS ISIN#BMG2552X1083	G2552X108	98.000	5,424.64	2,708.03	(2,716 61)
03/09/09	09/19/08	SELL	COVIDIEN LTD SHS ISIN#BMG2552X1083	G2552X108	68 000	3,665.35	1,879 04	(1,786.31)
03/09/09	10/13/08	SELL	COVIDIEN LTD SHS ISIN#BMG2552X1083	G2552X108	38 000	1,828.14	1,050.06	(778 08)
03/09/09	07/08/08	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	61 000	8,712.12	3,224 56	(5,487.56)
03/09/09	09/12/08	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	157.000	19,180.69	8,299.29	(10,881.40)
03/09/09	09/16/08	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	40.000	4,647.88	2,114 47	(2,533.41)
03/09/09	10/10/08	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	157.000	10,433.26	8,299.29	(2,133 97)
03/09/09	10/14/08	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	1.000	86.17	52.86	(33.31)
03/09/09	02/09/09	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	77.000	4,677.30	4,070.35	(606.95)
03/09/09	02/09/09	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	18 000	1,106 63	951.51	(155.12)
03/09/09	02/10/09	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	126 000	7,407.11	6,660.57	(746 54)
03/09/09	01/21/09	SELL	ABBOTT LABS COM	ABT	163 000	8,512.66	7,610.69	(901 97)
03/09/09	01/23/09	SELL	ABBOTT LABS COM	ABT	55.000	2,905.33	2,568.02	(337.31)
03/09/09	01/28/09	SELL	ABBOTT LABS COM	ABT	24.000	1,307.46	1,120 59	(186.87)
03/09/09	01/30/09	SELL	ABBOTT LABS COM	ABT	79 000	4,374.46	3,688 62	(685 84)
03/09/09	02/06/09	SELL	ABBOTT LABS COM	ABT	72.000	4,110.32	3,361.78	(748.54)

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TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	02/06/09	SELL	ABBOTT LABS COM	ABT	49.000	2,797.43	2,287.88	(509.55)
03/09/09	02/09/09	SELL	ABBOTT LABS COM	ABT	83.000	4,742.99	3,875.38	(867 61)
03/09/09	02/19/09	SELL	ABBOTT LABS COM	ABT	71.000	3,868.39	3,315.08	(553.31)
03/09/09	07/07/08	SELL	AMERICAN TOWER CORP CL A	AMT	157.000	6,380 54	4,280 70	(2,099.84)
03/09/09	09/16/08	SELL	AMERICAN TOWER CORP CL A	AMT	100.000	3,774.30	2,726.56	(1,047 74)
03/09/09	09/25/08	SELL	AMERICAN TOWER CORP CL A	AMT	135.000	5,163.25	3,680.85	(1,482.40)
03/09/09	09/26/08	SELL	AMERICAN TOWER CORP CL A	AMT	123 000	4,648.24	3,353.67	(1,294.57)
03/09/09	09/26/08	SELL	AMERICAN TOWER CORP CL A	AMT	20.000	746.33	545.31	(201.02)
03/09/09	10/03/08	SELL	AMERICAN TOWER CORP CL A	AMT	17.000	597.00	463.51	(133.49)
03/09/09	10/10/08	SELL	AMERICAN TOWER CORP CL A	AMT	93.000	2,786.75	2,535.70	(251.05)
03/09/09	10/29/08	SELL	APOLLO GROUP INC CL A	APOL	10.000	652.57	651.33	(1.24)
03/09/09	11/03/08	SELL	APOLLO GROUP INC CL A	APOL	48 000	3,288.24	3,126.38	(161.86)
03/09/09	11/06/08	SELL	APOLLO GROUP INC CL A	APOL	32.000	2,133.65	2,084.26	(49.39)
03/09/09	11/07/08	SELL	APOLLO GROUP INC CL A	APOL	27.000	1,861.70	1,758.59	(103.11)
03/09/09	11/10/08	SELL	APOLLO GROUP INC CL A	APOL	15.000	1,043.37	976.99	(66.38)
03/09/09	11/11/08	SELL	APOLLO GROUP INC CL A	APOL	20.000	1,380.89	1,302.66	(78 23)
03/09/09	11/13/08	SELL	APOLLO GROUP INC CL A	APOL	31 000	2,032.71	2,019.12	(13.59)

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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	11/14/08	SELL	APOLLO GROUP INC CL A	APOL	34.000	2,319.30	2,214.53	(104.77)
03/09/09	06/17/08	SELL	APPLE INC COM	AAPL	12.000	2,170 05	1,040.11	(1,129 94)
03/09/09	07/02/08	SELL	APPLE INC COM	AAPL	62.000	10,688.14	5,373 91	(5,314.23)
03/09/09	07/09/08	SELL	APPLE INC COM	AAPL	47.000	8,418.31	4,073 77	(4,344.54)
03/09/09	09/12/08	SELL	APPLE INC COM	AAPL	277 000	41,253.61	24,009 25	(17,244.36)
03/09/09	09/16/08	SELL	APPLE INC COM	AAPL	10.000	1,392.60	866.76	(525.84)
03/09/09	01/27/09	SELL	APPLE INC COM	AAPL	11.000	995.55	953 44	(42.11)
03/09/09	01/28/09	SELL	APPLE INC COM	AAPL	13 000	1,228.44	1,126.79	(101.65)
03/09/09	01/29/09	SELL	APPLE INC COM	AAPL	23.000	2,151.99	1,993.55	(158.44)
03/09/09	02/13/09	SELL	BEST BUY COMPANY INC	BBY	79.000	2,396.42	1,982.11	(414.31)
03/09/09	02/13/09	SELL	BEST BUY COMPANY INC	BBY	54.000	1,658 61	1,354 86	(303.75)
03/09/09	02/17/09	SELL	BEST BUY COMPANY INC	BBY	142 000	4,047 00	3,562.78	(484.22)
03/09/09	03/11/08	SELL	CVS CAREMARK CORP	CVS	200.000	7,881.28	4,864.45	(3,016.83)
03/09/09	09/12/08	SELL	CVS CAREMARK CORP	CVS	635.000	23,850.60	15,444.63	(8,405.97)
03/09/09	09/16/08	SELL	CVS CAREMARK CORP	CVS	200.000	7,191.34	4,864.45	(2,326.89)
03/09/09	01/08/09	SELL	CVS CAREMARK CORP	CVS	235 000	6,869.80	5,715.73	(1,154.07)
03/09/09	05/01/08	SELL	CISCO SYSTEMS INC	CSCO	599 000	16,018.03	8,572.89	(7,445.14)

Seq # (2A9 115328)

Recipient's Name and Address:

CHANGE HAPPENS FOUNDATION
CORNERSTONE INVESTMENT PARTNERS

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	09/16/08	SELL	CISCO SYSTEMS INC	CSCO	200.000	4,498.00	2,862.40	(1,635.60)
03/09/09	02/09/09	SELL	COMPANHIA VALE DO RIO DOCE ADR N/C EFF	204412209	118.000	2,075.36	1,519 98	(555.38)
03/09/09	02/09/09	SELL	COMPANHIA VALE DO RIO DOCE ADR N/C EFF	204412209	382.000	6,328.10	4,920.60	(1,407.50)
03/09/09	02/09/09	SELL	COMPANHIA VALE DO RIO DOCE ADR N/C EFF	204412209	128.000	2,168.32	1,648 79	(519 53)
03/09/09	02/10/09	SELL	COMPANHIA VALE DO RIO DOCE ADR N/C EFF	204412209	91.000	1,465 10	1,172.18	(292.92)
03/09/09	02/20/09	SELL	COMPANHIA VALE DO RIO DOCE ADR N/C EFF	204412209	196 000	2,673.83	2,524.70	(149.13)
03/09/09	09/12/08	SELL	COSTCO WHSL CORP NEW COM	COST	350.000	24,195.50	13,648.60	(10,546 90)
03/09/09	12/09/08	SELL	DEERE & CO	DE	61.000	2,356.31	1,591.82	(764.49)
03/09/09	12/10/08	SELL	DEERE & CO	DE	60.000	2,241.09	1,565 72	(675 37)
03/09/09	09/16/08	SELL	DIRECTV GROUP INC COM STOCK MERGER EFF	25459L106	100 000	2,617.00	2,010.20	(606.80)
03/09/09	11/07/08	SELL	DIRECTV GROUP INC COM STOCK MERGER EFF	25459L106	76.000	1,667.04	1,527.75	(139.29)
03/09/09	11/10/08	SELL	DIRECTV GROUP INC COM STOCK MERGER EFF	25459L106	94.000	2,070.90	1,889.59	(181.31)
03/09/09	11/11/08	SELL	DIRECTV GROUP INC COM STOCK MERGER EFF	25459L106	45.000	961.05	904 59	(56.46)
03/09/09	01/14/09	SELL	DIRECTV GROUP INC COM STOCK MERGER EFF	25459L106	108.000	2,251.04	2,171.01	(80 03)
03/09/09	10/31/08	SELL	EMC CORP (MASS) COM	EMC	805 000	9,374 47	8,035 19	(1,339 28)
03/09/09	11/10/08	SELL	EMC CORP (MASS) COM	EMC	181.000	1,946.17	1,806 67	(139 50)
03/09/09	11/11/08	SELL	EMC CORP (MASS) COM	EMC	133.000	1,359.26	1,327.55	(31 71)

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	12/11/08	SELL	EMC CORP (MASS) COM	EMC	130.000	1,388.34	1,297.61	(90.73)
03/09/09	12/12/08	SELL	EMC CORP (MASS) COM	EMC	430.000	4,635.06	4,292 09	(342.97)
03/09/09	06/11/08	SELL	FIRST SOLAR INC COM	FSLR	43.000	11,104.13	4,789.77	(6,314 36)
03/09/09	09/16/08	SELL	FIRST SOLAR INC COM	FSLR	20.000	4,078.28	2,227.80	(1,850.48)
03/09/09	11/03/08	SELL	FIRST SOLAR INC COM	FSLR	12.000	1,860.07	1,336.68	(523.39)
03/09/09	09/12/08	SELL	GENENTECH INC CASH MGR EFF 3/25/09	368710406	525.000	50,687.39	47,643.85	(3,043.54)
03/09/09	02/19/09	SELL	GENENTECH INC CASH MGR EFF 3/25/09	368710406	23.000	1,950.39	2,087.25	136 86
03/09/09	02/19/09	SELL	GENENTECH INC CASH MGR EFF 3/25/09	368710406	23.000	1,954.11	2,087 25	133.14
03/09/09	02/20/09	SELL	GENENTECH INC CASH MGR EFF 3/25/09	368710406	45.000	3,831.60	4,083.77	252.17
03/09/09	09/12/08	SELL	GENERAL DYNAMICS CORP COM	GD	476 000	40,398.12	17,155.93	(23,242.19)
03/09/09	01/09/09	SELL	GENERAL DYNAMICS CORP COM	GD	77.000	4,469.29	2,775.22	(1,694 07)
03/09/09	01/29/09	SELL	GENZYME CORP COM FORMERLY COM GEN DIV	GENZ	32.000	2,230.79	1,736.00	(494 79)
03/09/09	01/30/09	SELL	GENZYME CORP COM FORMERLY COM GEN DIV	GENZ	31.000	2,142.52	1,681.75	(460.77)
03/09/09	09/16/08	SELL	GILEAD SCIENCES INC	GILD	100 000	4,949.78	4,440.32	(509 46)
03/09/09	09/26/08	SELL	GILEAD SCIENCES INC	GILD	49.000	2,360.82	2,175.76	(185.06)
03/09/09	10/10/08	SELL	GILEAD SCIENCES INC	GILD	45.000	1,843.98	1,998.14	154.16
03/09/09	12/22/08	SELL	GILEAD SCIENCES INC	GILD	92 000	4,602.72	4,085.09	(517 63)

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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	12/23/08	SELL	GILEAD SCIENCES INC	GILD	41 000	2,071.72	1,820.53	(251.19)
03/09/09	01/28/09	SELL	GILEAD SCIENCES INC	GILD	40.000	2,011.59	1,776.12	(235 47)
03/09/09	09/12/08	SELL	GOLDMAN SACHS GROUP INC COM	GS	79.000	12,424.33	6,010.32	(6,414.01)
03/09/09	09/19/08	SELL	GOLDMAN SACHS GROUP INC COM	GS	72.000	9,541.68	5,477 76	(4,063.92)
03/09/09	11/25/08	SELL	GOLDMAN SACHS GROUP INC COM	GS	40.000	2,818.77	3,043 20	224.43
03/09/09	08/12/08	SELL	GOOGLE INC CL A	GOOG	11.000	5,552.93	3,362 39	(2,190.54)
03/09/09	09/16/08	SELL	GOOGLE INC CL A	GOOG	20.000	8,816.24	6,113.44	(2,702 80)
03/09/09	09/17/08	SELL	GOOGLE INC CL A	GOOG	6.000	2,533 19	1,834.03	(699.16)
03/09/09	09/19/08	SELL	GOOGLE INC CL A	GOOG	15.000	6,763 01	4,585.08	(2,177.93)
03/09/09	10/30/08	SELL	GOOGLE INC CL A	GOOG	27.000	9,768.71	8,253.14	(1,515.57)
03/09/09	10/31/08	SELL	GOOGLE INC CL A	GOOG	8.000	2,865.84	2,445.38	(420.46)
03/09/09	12/26/08	SELL	GOOGLE INC CL A	GOOG	10 000	3,004.08	3,056 72	52 64
03/09/09	01/29/09	SELL	GOOGLE INC CL A	GOOG	17 000	5,807.35	5,196.42	(610.93)
03/09/09	02/09/09	SELL	GOOGLE INC CL A	GOOG	21.000	7,955.85	6,419.11	(1,536.74)
03/09/09	02/18/09	SELL	GOOGLE INC CL A	GOOG	12.000	4,200.00	3,668.07	(531.93)
03/09/09	09/16/08	SELL	HEWLETT PACKARD CO COM	HPQ	21.000	1,021.38	566.41	(454.97)
03/09/09	09/16/08	SELL	HEWLETT PACKARD CO COM	HPQ	300 000	14,613.00	8,091.54	(6,521.46)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	09/17/08	SELL	HEWLETT PACKARD CO COM	HPQ	67.000	3,151.92	1,807.11	(1,344.81)
03/09/09	10/14/08	SELL	HEWLETT PACKARD CO COM	HPQ	105.000	4,231.02	2,832 04	(1,398.98)
03/09/09	12/12/08	SELL	HEWLETT PACKARD CO COM	HPQ	160.000	5,736.98	4,315 48	(1,421.50)
03/09/09	08/12/08	SELL	KOHLS CORPORATION	KSS	521.000	25,464.76	18,053.43	(7,411.33)
03/09/09	09/16/08	SELL	KOHLS CORPORATION	KSS	100.000	5,133.95	3,465.15	(1,668.80)
03/09/09	11/10/08	SELL	KOHLS CORPORATION	KSS	39 000	1,308.83	1,351.41	42.58
03/09/09	11/11/08	SELL	KOHLS CORPORATION	KSS	88.000	2,674.90	3,049.33	374.43
03/09/09	01/21/09	SELL	KOHLS CORPORATION	KSS	1.000	36 66	34.65	(2.01)
03/09/09	09/12/08	SELL	LOCKHEED MARTIN CORP COM	LMT	465.000	54,166.69	27,181.49	(26,985.20)
03/09/09	09/19/08	SELL	LOCKHEED MARTIN CORP COM	LMT	82 000	9,094.20	4,793.29	(4,300.91)
03/09/09	09/12/08	SELL	LOWES COS INC	LOW	670.000	17,125.20	9,072 87	(8,052.33)
03/09/09	02/11/09	SELL	LOWES COS INC	LOW	67.000	1,219.40	907.29	(312.11)
03/09/09	02/12/09	SELL	LOWES COS INC	LOW	210.000	3,790.29	2,843.74	(946 55)
03/09/09	02/12/09	SELL	LOWES COS INC	LOW	200 000	3,598.60	2,708.32	(890.28)
03/09/09	02/13/09	SELL	LOWES COS INC	LOW	206.000	3,737.60	2,789.56	(948 04)
03/09/09	09/12/08	SELL	MASTERCARD INC CL A COM	MA	160.000	35,866.40	22,637.12	(13,229 28)
03/09/09	10/09/08	SELL	MASTERCARD INC CL A COM	MA	34.000	5,310.17	4,810.39	(499.78)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	01/28/09	SELL	MASTERCARD INC CL A COM	MA	15.000	2,008.81	2,122.23	113 42
03/09/09	08/11/08	SELL	MCDONALDS CORP	MCD	299.000	19,670.52	15,784.45	(3,886.07)
03/09/09	09/12/08	SELL	MCDONALDS CORP	MCD	1,061 000	67,727.24	56,011 04	(11,716 20)
03/09/09	09/16/08	SELL	MCDONALDS CORP	MCD	100.000	6,420.67	5,279.08	(1,141.59)
03/09/09	10/09/08	SELL	MCDONALDS CORP	MCD	21.000	1,156 35	1,108 61	(47.74)
03/09/09	10/10/08	SELL	MCDONALDS CORP	MCD	32.000	1,639.83	1,689.30	49.47
03/09/09	09/12/08	SELL	MONSANTO CO NEW COM	MON	375.000	41,741.25	27,706 96	(14,034.29)
03/09/09	10/09/08	SELL	MONSANTO CO NEW COM	MON	41.000	3,280.49	3,029.29	(251.20)
03/09/09	01/28/09	SELL	MONSANTO CO NEW COM	MON	70 000	5,579.82	5,171 97	(407.85)
03/09/09	09/16/08	SELL	NII HLDGS INC CL B NEW	NIHD	200.000	9,023 80	2,513.85	(6,509.95)
03/09/09	08/22/08	SELL	NATIONAL OILWELL VARCO INC	NOV	69.000	5,258.21	1,802.65	(3,455.56)
03/09/09	08/26/08	SELL	NATIONAL OILWELL VARCO INC	NOV	168.000	12,612.85	4,389.07	(8,223.78)
03/09/09	09/16/08	SELL	NATIONAL OILWELL VARCO INC	NOV	100 000	5,031.00	2,612.54	(2,418.46)
03/09/09	09/18/08	SELL	NATIONAL OILWELL VARCO INC	NOV	27.000	1,345 95	705.39	(640.56)
03/09/09	10/13/08	SELL	NATIONAL OILWELL VARCO INC	NOV	21.000	600.67	548.63	(52.04)
03/09/09	01/20/09	SELL	NATIONAL OILWELL VARCO INC	NOV	99.000	2,347.06	2,586.41	239 35
03/09/09	09/12/08	SELL	NORFOLK SOUTHERN CORP	NSC	330.000	22,314.60	9,114.93	(13,199.67)

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	09/29/08	SELL	NORFOLK SOUTHERN CORP	NSC	27.000	1,782.60	745.77	(1,036.83)
03/09/09	10/23/08	SELL	NORFOLK SOUTHERN CORP	NSC	70.000	3,755 89	1,933.47	(1,822.42)
03/09/09	01/08/09	SELL	NORFOLK SOUTHERN CORP	NSC	75 000	3,561.55	2,071 58	(1,489.97)
03/09/09	01/09/09	SELL	NORFOLK SOUTHERN CORP	NSC	38.000	1,764.99	1,049.59	(715 40)
03/09/09	12/16/08	SELL	OCCIDENTAL PETROLEUM CORP	OXY	25.000	1,382.70	1,279.20	(103.50)
03/09/09	12/17/08	SELL	OCCIDENTAL PETROLEUM CORP	OXY	28.000	1,656.39	1,432.70	(223.69)
03/09/09	12/17/08	SELL	OCCIDENTAL PETROLEUM CORP	OXY	26.000	1,519.75	1,330.37	(189.38)
03/09/09	12/18/08	SELL	OCCIDENTAL PETROLEUM CORP	OXY	65.000	3,662.75	3,325.92	(336.83)
03/09/09	10/14/08	SELL	PETROLEO BRASILEIRO SA PETROBRAS	PBR	341.000	11,068.28	9,316.62	(1,751.66)
03/09/09	11/04/08	SELL	PETROLEO BRASILEIRO SA PETROBRAS	PBR	155.000	4,572.55	4,234.83	(337.72)
03/09/09	11/05/08	SELL	PETROLEO BRASILEIRO SA PETROBRAS	PBR	185.000	5,254.00	5,054.47	(199.53)
03/09/09	11/05/08	SELL	PETROLEO BRASILEIRO SA PETROBRAS	PBR	170.000	4,765.76	4,644.65	(121.11)
03/09/09	11/06/08	SELL	PETROLEO BRASILEIRO SA PETROBRAS	PBR	166.000	4,205.98	4,535 36	329.38
03/09/09	11/10/08	SELL	PETROLEO BRASILEIRO SA PETROBRAS	PBR	27.000	725.50	737.68	12.18
03/09/09	11/11/08	SELL	PETROLEO BRASILEIRO SA PETROBRAS	PBR	108 000	2,710.18	2,950.72	240 54
03/09/09	01/20/09	SELL	PETROLEO BRASILEIRO SA PETROBRAS	PBR	68.000	1,634.45	1,857 86	223 41
03/09/09	01/29/09	SELL	PETROLEO BRASILEIRO SA PETROBRAS	PBR	81.000	2,140.71	2,213 04	72.33

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TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	01/30/09	SELL	PETROLEO BRASILEIRO SA PETROBRAS	PBR	76.000	2,038.04	2,076.41	38.37
03/09/09	02/10/09	SELL	POTASH CORP OF SASKATCHEWAN INC	POT	51.000	4,472.99	3,533 79	(939.20)
03/09/09	07/18/08	SELL	PRAXAIR INC	PX	51.000	4,652.20	2,806.79	(1,845 41)
03/09/09	07/24/08	SELL	PRAXAIR INC	PX	90 000	8,342.94	4,953 15	(3,389.79)
03/09/09	08/06/08	SELL	PRAXAIR INC	PX	89.000	8,072 30	4,898.12	(3,174.18)
03/09/09	09/12/08	SELL	PRAXAIR INC	PX	280.000	24,142 80	15,409 80	(8,733.00)
03/09/09	10/09/08	SELL	PRAXAIR INC	PX	77.000	5,044.37	4,237.69	(806.68)
03/09/09	07/24/08	SELL	QUALCOMM INC	QCOM	161 000	8,436.56	5,493.46	(2,943 10)
03/09/09	07/25/08	SELL	QUALCOMM INC	QCOM	160.000	8,712.00	5,459.34	(3,252.66)
03/09/09	09/12/08	SELL	QUALCOMM INC	QCOM	436 000	20,819 00	14,876.70	(5,942 30)
03/09/09	09/16/08	SELL	QUALCOMM INC	QCOM	200 000	9,540.00	6,824.18	(2,715.82)
03/09/09	09/22/08	SELL	QUALCOMM INC	QCOM	54.000	2,481.30	1,842.53	(638 77)
03/09/09	10/30/08	SELL	QUALCOMM INC	QCOM	26.000	1,025.07	887.14	(137.93)
03/09/09	10/31/08	SELL	QUALCOMM INC	QCOM	97.000	3,756.42	3,309 73	(446.69)
03/09/09	02/23/09	SELL	ST JUDE MED INC COM	STJ	52 000	1,913.18	1,715 15	(198 03)
03/09/09	02/23/09	SELL	ST JUDE MED INC COM	STJ	51.000	1,884 45	1,682.16	(202 29)
03/09/09	02/24/09	SELL	ST JUDE MED INC COM	STJ	53 000	1,934.04	1,748.13	(185 91)

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TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	12/02/08	SELL	SCHERING PLOUGH MERGER EFF 11/3/09	806605101	135.000	2,147.80	2,696 22	548 42
03/09/09	12/03/08	SELL	SCHERING PLOUGH MERGER EFF 11/3/09	806605101	272.000	4,324.04	5,432.38	1,108 34
03/09/09	12/03/08	SELL	SCHERING PLOUGH MERGER EFF 11/3/09	806605101	138.000	2,174.47	2,756 14	581.67
03/09/09	12/09/08	SELL	SCHERING PLOUGH MERGER EFF 11/3/09	806605101	300.000	4,798.98	5,991.60	1,192 62
03/09/09	12/10/08	SELL	SCHERING PLOUGH MERGER EFF 11/3/09	806605101	12 000	187.79	239.66	51.87
03/09/09	10/20/08	SELL	STARWOOD HOTELS & RESORTS WORLDWIDE	HOT	150 000	3,120.98	1,495 74	(1,625.24)
03/09/09	10/21/08	SELL	STARWOOD HOTELS & RESORTS WORLDWIDE	HOT	93 000	1,996.44	927.36	(1,069.08)
03/09/09	06/11/08	SELL	STATE STREET CORP COM	STT	114.000	7,792.64	2,118 58	(5,674.06)
03/09/09	09/16/08	SELL	STATE STREET CORP COM	STT	100 000	7,045 02	1,858.40	(5,186.62)
03/09/09	12/12/08	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	205.000	1,587.15	1,711.89	124.74
03/09/09	12/15/08	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	142.000	1,080.62	1,185 80	105.18
03/09/09	12/16/08	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	149.000	1,220.31	1,244.25	23.94
03/09/09	12/17/08	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	422 000	3,473.48	3,524.00	50.52
03/09/09	12/17/08	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	258.000	2,118.00	2,154.48	36.48
03/09/09	12/22/08	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	261.000	2,004.01	2,179.53	175.52
03/09/09	12/23/08	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	287 000	2,164.15	2,396.65	232.50
03/09/09	12/23/08	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	258 000	1,969.62	2,154.48	184 86

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	01/08/09	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	355.000	2,630.94	2,964 50	333.56
03/09/09	02/09/09	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	256 000	2,152.96	2,137.78	(15.18)
03/09/09	02/09/09	SELL	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	224.000	1,894.30	1,870.56	(23.74)
03/09/09	09/12/08	SELL	TARGET CORP	TGT	15.000	852.00	388.68	(463.32)
03/09/09	10/16/08	SELL	TARGET CORP	TGT	281 000	10,615.17	7,281 27	(3,333 90)
03/09/09	09/16/08	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	100 000	4,549.00	4,437 20	(111.80)
03/09/09	02/06/09	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	58.000	2,451.08	2,573 58	122 50
03/09/09	02/06/09	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	14.000	592.97	621.20	28.23
03/09/09	05/20/08	SELL	THERMO FISHER SCIENTIFIC INC	TMO	168.000	9,901.15	5,628 27	(4,272.88)
03/09/09	09/16/08	SELL	THERMO FISHER SCIENTIFIC INC	TMO	100.000	5,685.01	3,350.16	(2,334.85)
03/09/09	10/23/08	SELL	TRAVELERS COS INC COM	TRV	39.000	1,480.94	1,329 90	(151 04)
03/09/09	10/23/08	SELL	TRAVELERS COS INC COM	TRV	46.000	1,741.16	1,568.60	(172 56)
03/09/09	10/24/08	SELL	TRAVELERS COS INC COM	TRV	139.000	5,828.83	4,739.90	(1,088.93)
03/09/09	10/29/08	SELL	TRAVELERS COS INC COM	TRV	124.000	5,120.74	4,228.40	(892.34)
03/09/09	11/11/08	SELL	TRAVELERS COS INC COM	TRV	72.000	2,942.94	2,455.20	(487.74)
03/09/09	11/17/08	SELL	TRAVELERS COS INC COM	TRV	70.000	2,960.38	2,387.00	(573.38)
03/09/09	12/02/08	SELL	TRAVELERS COS INC COM	TRV	10.000	408.84	341.00	(67.84)

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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	09/12/08	SELL	US BANCORP DEL COM	USB	247.000	8,306.24	2,471 29	(5,834.95)
03/09/09	12/03/08	SELL	US BANCORP DEL COM	USB	138 000	3,527.74	1,380.72	(2,147.02)
03/09/09	09/12/08	SELL	UNION PACIFIC CORP COM	UNP	670.000	51,447.16	23,082.67	(28,364.49)
03/09/09	01/28/09	SELL	UNITEDHEALTH GROUP INC COM	UNH	72 000	2,156 08	1,282.50	(873.58)
03/09/09	02/02/09	SELL	UNITEDHEALTH GROUP INC COM	UNH	76.000	2,215 69	1,353.75	(861.94)
03/09/09	02/04/09	SELL	UNITEDHEALTH GROUP INC COM	UNH	73 000	2,150 61	1,300 31	(850.30)
03/09/09	02/06/09	SELL	UNITEDHEALTH GROUP INC COM	UNH	87.000	2,513 73	1,549.69	(964 04)
03/09/09	02/12/09	SELL	UNITEDHEALTH GROUP INC COM	UNH	141.000	4,117.20	2,511.56	(1,605 64)
03/09/09	02/13/09	SELL	UNITEDHEALTH GROUP INC COM	UNH	146.000	4,264.66	2,600 63	(1,664.03)
03/09/09	02/17/09	SELL	UNITEDHEALTH GROUP INC COM	UNH	46.000	1,289 01	819.38	(469 63)
03/09/09	02/18/09	SELL	UNITEDHEALTH GROUP INC COM	UNH	145 000	4,084 03	2,582.81	(1,501 22)
03/09/09	02/19/09	SELL	UNITEDHEALTH GROUP INC COM	UNH	149.000	4,271.55	2,654.07	(1,617.48)
03/09/09	09/12/08	SELL	VISA INC COM CL A	V	297.000	21,173.13	14,704.47	(6,468 66)
03/09/09	10/08/08	SELL	VISA INC COM CL A	V	161.000	8,579.17	7,971.11	(608.06)
03/09/09	10/14/08	SELL	VISA INC COM CL A	V	77.000	4,439.74	3,812 27	(627.47)
03/09/09	01/09/09	SELL	VISA INC COM CL A	V	136 000	7,527.38	6,733 36	(794.02)
03/09/09	05/09/08	SELL	WAL MART STORES INC	WMT	111.000	6,359.99	5,437.98	(922 01)

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TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/09/09	06/03/08	SELL	WAL MART STORES INC	WMT	159.000	9,206.92	7,789.54	(1,417.38)
03/09/09	09/17/08	SELL	WAL MART STORES INC	WMT	95.000	5,725 43	4,654.13	(1,071.30)
03/09/09	10/03/08	SELL	WAL MART STORES INC	WMT	183.000	10,988.16	8,965.32	(2,022.84)
03/09/09	10/16/08	SELL	WAL MART STORES INC	WMT	282 000	15,143.23	13,815.41	(1,327.82)
03/09/09	10/17/08	SELL	WAL MART STORES INC	WMT	82.000	4,439.13	4,017 25	(421 88)
03/09/09	11/10/08	SELL	WAL MART STORES INC	WMT	67.000	3,697.70	3,282.38	(415 32)
03/09/09	11/11/08	SELL	WAL MART STORES INC	WMT	87 000	4,682.62	4,262 20	(420.42)
03/09/09	02/17/09	SELL	WAL MART STORES INC	WMT	11.000	528 00	538 90	10 90
03/09/09	02/19/09	SELL	WAL MART STORES INC	WMT	51.000	2,553.11	2,498.51	(54.60)
03/09/09	12/30/08	SELL	WELLS FARGO & CO NEW DEP SHS SER J	WFC PR	80.000	1,751.86	923.28	(828.58)
03/09/09	12/31/08	SELL	WELLS FARGO & CO NEW DEP SHS SER J	WFC PR	24.000	526.77	276 99	(249.78)
03/09/09	09/12/08	SELL	YUM BRANDS INC COM	YUM	1,046 000	39,800.30	25,198 98	(14,601.32)
04/01/09	03/09/09	SELL	PFIZER INC COM	PFE	1,940.000	24,442.52	26,348 58	1,906.06
04/17/09	03/09/09	SELL	INTEL CORP COM	INTC	3,420.000	43,738.38	53,044.26	9,305.88
04/17/09	03/09/09	SELL	PFIZER INC COM	PFE	370 000	4,661.72	5,228.82	567.10
04/17/09	03/19/09	SELL	PFIZER INC COM	PFE	1,510 000	20,594.19	21,339.24	745 05
04/20/09	03/09/09	SELL	HCC INS HLDGS INC COM	HCC	530.000	11,207.09	13,221 87	2,014.78

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
04/22/09	03/09/09	SELL	WYETH CASH AND STOCK MERGER EFF 10/15/09	983024100	330.000	13,381.23	13,850.36	469.13
04/24/09	02/03/09	SELL	MICROSOFT CORP COM	MSFT	217.000	3,997 03	4,398.60	401.57
04/24/09	02/04/09	SELL	MICROSOFT CORP COM	MSFT	213.000	4,001.82	4,317.52	315.70
04/24/09	02/04/09	SELL	MICROSOFT CORP COM	MSFT	212.000	4,006.80	4,297 25	290.45
04/24/09	02/05/09	SELL	MICROSOFT CORP COM	MSFT	256 000	4,690 36	5,189.14	498 78
04/24/09	02/11/09	SELL	MICROSOFT CORP COM	MSFT	116.000	2,236.02	2,351.33	115.31
04/24/09	02/11/09	SELL	MICROSOFT CORP COM	MSFT	65 000	1,236.30	1,317.55	81.25
04/24/09	03/09/09	SELL	MICROSOFT CORP COM	MSFT	1,250.000	19,510.50	25,337 58	5,827.08
04/27/09	09/12/08	SELL	NIKE INC CLASS B	NKE	225.000	13,827.76	12,229.30	(1,598.46)
04/27/09	11/26/08	SELL	NIKE INC CLASS B	NKE	75.000	3,889.54	4,076 43	186 89
05/05/09	03/09/09	SELL	PEPSICO INC	PEP	1,070.000	49,847.88	53,220.80	3,372 92
05/22/09	03/09/09	SELL	BANK OF AMERICA COM	BAC	930.000	3,431.68	10,332.30	6,900 62
06/04/09	04/24/09	SELL	ACE LIMITED SHS	ACE	380.000	16,809.17	17,387.28	578.11
06/04/09	03/09/09	SELL	FLEXTRONICS INTL LTD ORD SHS	FLEX	10,100.000	20,797.88	41,309.00	20,511.12
06/04/09	03/09/09	SELL	AT&T INC COM	T	1,320 000	29,645.61	32,524 81	2,879.20
06/04/09	03/09/09	SELL	BANK OF AMERICA COM	BAC	3,000 000	11,069.92	34,560.00	23,490.08
06/04/09	03/09/09	SELL	BOEING CO COM	BA	520.000	15,910.34	25,615.62	9,705 28

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/04/09	04/17/09	SELL	BRISTOL MYERS SQUIBB CO COM	BMY	1,240 000	25,762.83	24,731.23	(1,031.60)
06/04/09	03/09/09	SELL	CANADIAN NATL RY CO COM	CNI	590.000	18,006.21	25,936.58	7,930.37
06/04/09	03/09/09	SELL	CAPITAL ONE FINL CORP COM	COF	1,150.000	10,194.18	28,029.47	17,835.29
06/04/09	03/09/09	SELL	CHEVRON CORP COM NEW	CVX	450.000	26,520.22	31,027 68	4,507.46
06/04/09	03/09/09	SELL	CHUBB CORP	CB	890 000	31,717.74	36,342 26	4,624.52
06/04/09	03/09/09	SELL	CONOCOPHILLIPS COM	COP	650.000	23,578.75	29,718 01	6,139.26
06/04/09	05/27/09	SELL	GAMESTOP CORP NEW CLASS A	GME	760 000	17,418.06	17,869 12	451.06
06/04/09	03/09/09	SELL	GANNETT COMPANY INC	GCI	2,080.000	4,407.10	8,799 65	4,392.55
06/04/09	03/09/09	SELL	HCC INS HLDGS INC COM	HCC	1,100.000	23,260.01	27,726.30	4,466 29
06/04/09	03/09/09	SELL	HALLIBURTON CO COM	HAL	1,570.000	25,355.34	36,206.97	10,851.63
06/04/09	03/09/09	SELL	HONEYWELL INTL INC COM	HON	820.000	19,522.56	28,660.38	9,137 82
06/04/09	03/09/09	SELL	ITT CORP NEW COM	ITT	790 000	26,028.61	34,534.06	8,505.45
06/04/09	07/18/08	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	62.000	8,034.05	6,588.24	(1,445 81)
06/04/09	09/16/08	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	50.000	5,787.34	5,313 10	(474.24)
06/04/09	12/12/08	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	90.000	7,420.83	9,563.57	2,142.74
06/04/09	11/07/08	SELL	JOHNSON & JOHNSON COM	JNJ	328 000	19,512.49	18,276.16	(1,236 33)
06/04/09	03/09/09	SELL	JOHNSON & JOHNSON COM	JNJ	142.000	6,696.61	7,912.24	1,215 63

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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/04/09	03/09/09	SELL	LILLY ELI & CO COM	LLY	940.000	26,204.71	32,099 20	5,894 49
06/04/09	03/09/09	SELL	MORGAN STANLEY COM NEW	MS	1,070 000	18,606.38	32,967.13	14,360.75
06/04/09	12/08/08	SELL	NIKE INC CLASS B	NKE	59.000	3,341.17	3,352 32	11.15
06/04/09	12/09/08	SELL	NIKE INC CLASS B	NKE	67.000	3,605.34	3,806 87	201.53
06/04/09	03/09/09	SELL	NIKE INC CLASS B	NKE	254.000	10,000.39	14,432.03	4,431 64
06/04/09	03/09/09	SELL	NOKIA CORP SPONSORED ADR	NOK	2,390.000	20,815.94	36,688.89	15,872.95
06/04/09	10/30/08	SELL	ORACLE CORP COM	ORCL	113 000	2,088.65	2,296.16	207.51
06/04/09	10/31/08	SELL	ORACLE CORP COM	ORCL	144 000	2,647.18	2,926 08	278 90
06/04/09	12/16/08	SELL	ORACLE CORP COM	ORCL	55.000	926.15	1,117.60	191.45
06/04/09	12/17/08	SELL	ORACLE CORP COM	ORCL	237.000	3,988.71	4,815.84	827.13
06/04/09	12/17/08	SELL	ORACLE CORP COM	ORCL	203.000	3,421.20	4,124.96	703 76
06/04/09	12/19/08	SELL	ORACLE CORP COM	ORCL	157.000	2,800.24	3,190.24	390.00
06/04/09	12/22/08	SELL	ORACLE CORP COM	ORCL	284 000	4,942.11	5,770 88	828.77
06/04/09	03/09/09	SELL	ORACLE CÒRP COM	ORCL	797.000	11,642.34	16,195 04	4,552.70
06/04/09	03/09/09	SELL	PRECISION CASTPARTS CORP	PCP	480 000	23,717 55	40,316.49	16,598.94
06/04/09	03/09/09	SELL	ROYAL DUTCH SHELL PLC SPONSORED ADR	RDS A	610.000	25,186.72	33,462.16	8,275.44
06/04/09	04/17/09	SELL	ST JUDE MED INC COM	STJ	490 000	17,668.37	19,693 10	2,024.73

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TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
06/04/09	03/09/09	SELL	SUPERVALU INC	SVU	2,020.000	28,739 08	34,039 16	5,300.08
06/04/09	05/06/09	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	590.000	26,108.88	27,883 42	1,774.54
06/04/09	03/09/09	SELL	WYETH CASH AND STOCK MERGER EFF 10/15/09	983024100	390 000	15,814.19	17,362.80	1,548.61
06/04/09	03/19/09	SELL	WYETH CASH AND STOCK MERGER EFF 10/15/09	983024100	200.000	8,389.76	8,904.00	514.24
06/17/09	03/09/09	SELL	HALLIBURTON CO COM	HAL	700 000	11,304.93	15,163.34	3,858.41
06/18/09	03/09/09	SELL	NIKE INC CLASS B	NKE	466.000	18,347.16	26,448.19	8,101.03
07/15/09	03/09/09	SELL	CANADIAN NATL RY CO COM	CNI	350.000	10,681.65	14,986.54	4,304.89
07/15/09	03/09/09	SELL	HONEYWELL INTL INC COM	HON	490.000	11,665.92	15,883.58	4,217 66
07/20/09	03/09/09	SELL	FLEXTRONICS INTL LTD ORD SHS	FLEX	2,030.000	4,180.17	9,987.93	5,807.76
07/21/09	03/09/09	SELL	CANADIAN NATL RY CO COM	CNI	400.000	12,207.60	18,196 29	5,988.69
07/23/09	03/09/09	SELL	GANNETT COMPANY INC	GCI	2,600.000	5,508.88	15,757.24	10,248.36
07/23/09	01/30/09	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	70.000	6,498.36	8,246.00	1,747.64
08/06/09	03/09/09	SELL	BANK OF AMERICA COM	BAC	760 000	2,804.38	12,730 00	9,925 62
08/20/09	03/09/09	SELL	CAPITAL ONE FINL CORP COM	COF	20.000	177 29	696.68	519 39
08/21/09	03/09/09	SELL	HALLIBURTON CO COM	HAL	240.000	3,875.98	6,019.39	2,143 41
08/21/09	03/09/09	SELL	HONEYWELL INTL INC COM	HON	270 000	6,428.16	9,796.45	3,368.29
09/01/09	03/09/09	SELL	CONOCOPHILLIPS COM	COP	690.000	25,029.75	31,182.27	6,152.52

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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES

(Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
09/04/09	03/09/09	SELL	HALLIBURTON CO COM	HAL	500.000	8,074 95	12,088.58	4,013 63
09/16/09	03/09/09	SELL	FLEXTRONICS INTL LTD ORD SHS	FLEX	940.000	1,935.64	7,003 16	5,067.52
09/16/09	03/09/09	SELL	PRECISION CASTPARTS CORP	PCP	150 000	7,411.74	14,521.25	7,109 51
10/05/09	03/09/09	SELL	PRECISION CASTPARTS CORP	PCP	230.000	11,364.66	22,907.85	11,543 19
10/14/09	03/09/09	SELL	FLEXTRONICS INTL LTD ORD SHS	FLEX	1,020 000	2,100.38	7,956.73	5,856 35
10/16/09	10/15/09	CLEU	PFIZER INC COM	PFE	0.750	13.25	13.35	0.10
10/16/09	Please Provide	*****	WYETH CASH AND STOCK MERGER EFF 10/15/09	983024100	690.000	0 00	5,827.95	5,827 95
10/16/09	Please Provide	*****	WYETH CASH AND STOCK MERGER EFF 10/15/09	983024100	60.000	0 00	379 52	379 52
10/22/09	03/09/09	SELL	LILLY ELI & CO COM	LLY	70.000	1,951.41	2,373 00	421 59
10/27/09	03/09/09	SELL	HALLIBURTON CO COM	HAL	530.000	8,559.45	15,833.73	7,274 28
10/30/09	03/09/09	SELL	HONEYWELL INTL INC COM	HON	260 000	6,190 08	9,339 60	3,149.52
11/12/09	03/09/09	SELL	FLEXTRONICS INTL LTD ORD SHS	FLEX	1,040 000	2,141 56	7,438 90	5,297 34
11/13/09	03/09/09	SELL	PRECISION CASTPARTS CORP	PCP	220.000	10,870.54	22,307.33	11,436.79
12/07/09	03/09/09	SELL	NOKIA CORP SPONSORED ADR	NOK	1,700.000	14,806.32	21,506.03	6,699.71
Total Short Term						**2,588,071.11**	2,517,511.32	**(70,559.79)**
Long Term								
03/09/09	02/06/08	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	128.000	15,640.29	6,766.30	(8,873 99)
03/09/09	02/20/08	SELL	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	130 000	17,895.15	6,872.02	(11,023.13)

Seq # (2A9 115328)

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
CORNERSTONE INVESTMENT PARTNERS

Account Number: 2A9-036167

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/09/09	02/06/08	SELL	AMERICAN TOWER CORP CL A	AMT	585.000	22,036 25	15,950.36	(6,085.89)
03/09/09	11/16/07	SELL	CVS CAREMARK CORP	CVS	451.000	19,029 27	10,969.34	(8,059.93)
03/09/09	12/18/07	SELL	CVS CAREMARK CORP	CVS	25.000	978 00	608 06	(369.94)
03/09/09	12/18/07	SELL	CISCO SYSTEMS INC	CSCO	349 000	9,825.01	4,994.89	(4,830.12)
03/09/09	02/13/08	SELL	DIRECTV GROUP INC COM STOCK MERGER EFF	25459L106	34.000	841.50	683.47	(158 03)
03/09/09	02/14/08	SELL	DIRECTV GROUP INC COM STOCK MERGER EFF	25459L106	680 000	17,191.02	13,669.36	(3,521.66)
03/09/09	08/08/07	SELL	EQUINIX INC COM NEW	EQIX	23 000	2,219.57	1,008.60	(1,210.97)
03/09/09	08/09/07	SELL	EQUINIX INC COM NEW	EQIX	105.000	10,233.85	4,604.49	(5,629.36)
03/09/09	08/10/07	SELL	EQUINIX INC COM NEW	EQIX	10.000	941.39	438 52	(502.87)
03/09/09	11/29/07	SELL	EQUINIX INC COM NEW	EQIX	70 000	7,368.84	3,069.66	(4,299.18)
03/09/09	12/18/07	SELL	EQUINIX INC COM NEW	EQIX	5.000	479 60	219.27	(260.33)
03/09/09	07/10/07	SELL	GILEAD SCIENCES INC	GILD	309.000	12,360.59	13,720.59	1,360 00
03/09/09	07/11/07	SELL	GILEAD SCIENCES INC	GILD	175.000	6,940.34	7,770 56	830 22
03/09/09	08/01/07	SELL	GILEAD SCIENCES INC	GILD	5 000	183.90	222.02	38.12
03/09/09	11/29/07	SELL	GILEAD SCIENCES INC	GILD	65.000	2,964 56	2,886.21	(78 35)
03/09/09	12/18/07	SELL	GILEAD SCIENCES INC	GILD	20.000	913 58	888.06	(25.52)
03/09/09	01/14/08	SELL	METLIFE INC COM	MET	444.000	26,606.52	5,457.12	(21,149 40)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
03/09/09	01/07/08	SELL	NII HLDGS INC CL B NEW	NIHD	74.000	3,776 04	930.12	(2,845 92)
03/09/09	01/08/08	SELL	NII HLDGS INC CL B NEW	NIHD	190 000	9,712.71	2,388.15	(7,324 56)
03/09/09	01/28/08	SELL	NII HLDGS INC CL B NEW	NIHD	775 000	32,116.15	9,741 16	(22,374 99)
03/09/09	02/12/08	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	94 000	4,398 85	4,170.97	(227 88)
03/09/09	02/13/08	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	120 000	5,700 49	5,324 64	(375 85)
03/09/09	01/10/08	SELL	THERMO FISHER SCIENTIFIC INC	TMO	164.000	9,234 33	5,494 26	(3,740 07)
03/09/09	02/06/08	SELL	THERMO FISHER SCIENTIFIC INC	TMO	165 000	8,893.04	5,527 77	(3,365 27)
06/04/09	05/13/08	SELL	INTERNATIONAL BUSINESS MACHS CORP	IBM	118.000	14,935.58	12,538.90	(2,396 68)
Total Long Term						**263,416.42**	**146,914.87**	**(116,501.55)**
Total Short Term and Long Term						**2,851,487.53**	2,664,426.19	**(187,061.34)**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

There may be differences between the Schedule of Realized Gains and Losses and 1099 reporting. Below are some of the reasons for these differences:

- **Account Number Changes -** If your account number changed during the year, the Schedule of Realized Gains and Losses may have been transferred from the original account to the new account. The new account will provide you with the complete Schedule of Realized Gains and Losses. However, you will receive a complete 1099 for each account open during the year.

CREDIT SUISSE #716933

SCHEDULE of REALIZED GAINS and LOSSES

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
03/05/09	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 3,447 25	31402DP79	267,000.000	3,447.25	3,331.19	(116 06)
03/05/09	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 7,850.72	31410GW90	478,000.000	7,850.72	7,572.72	(278 00)
03/05/09	07/18/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis 7,311.56	31414TL33	280,000.000	7,311.56	7,512.90	201.34
03/05/09	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 7,146.82	31415M2W4	334,000.000	7,146.82	7,370.28	223.46

Recipient's Name and Address:
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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
03/05/09	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis 9,947.55	31416BLD8	491,000 000	9,947.55	9,637 41	(310.14)
03/05/09	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 5,833.18	31416BM96	241,000.000	5,833.18	5,658.99	(174 19)
03/05/09	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 6,417.59	31416B2E7	291,000.000	6,417.59	6,230 66	(186 93)
03/24/09	08/07/08	SELL	FEDERAL NATL MTG ASSN BENCHMARK NTS Original Cost Basis: 440,533.29	31359MTG8	432,000.000	439,620.28	469,659.69	30,039.41
03/24/09	12/17/08	SELL	FEDERAL NATL MTG ASSN BENCHMARK NTS Original Cost Basis: 342,299.67	31359MTG8	305,000.000	340,396.45	331,588 44	(8,808.01)
03/24/09	02/12/09	SELL	UNITED STATES TREAS NTS Original Cost Basis: 173,293.82	912828KD1	174,000.000	173,294.61	172,953 02	(341 59)
04/06/09	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 3,763.81	31402DP79	267,000.000	3,763.81	3,637.09	(126.72)
04/06/09	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 6,507.78	31410GW90	478,000.000	6,507.78	6,277.33	(230.45)
04/06/09	07/18/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 3,323.33	31414TL33	280,000 000	3,323.33	3,414.84	91.51
04/06/09	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 5,211.86	31415M2W4	334,000.000	5,211.86	5,374.82	162.96
04/06/09	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 9,773.70	31416BLD8	491,000 000	9,773.70	9,468 98	(304 72)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
04/06/09	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis· 3,900.82	31416BM96	241,000.000	3,900.82	3,784.33	(116.49)
04/06/09	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 7,049.66	31416B2E7	291,000.000	7,049.66	6,844 32	(205.34)
04/22/09	07/18/08	SELL	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 248,758.86	31414TL33	280,000 000	248,758.86	262,436.51	13,677.65
05/06/09	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 3,562.52	31402DP79	267,000.000	3,562.52	3,442.58	(119.94)
05/06/09	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis· 6,180.40	31410GW90	478,000.000	6,180.40	5,961.55	(218 85)
05/06/09	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 5,812.63	31415M2W4	334,000 000	5,812.63	5,994.37	181.74
05/06/09	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis· 9,286.85	31416BLD8	491,000.000	9,286.85	8,997.31	(289.54)
05/06/09	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 5,289 07	31416BM96	241,000.000	5,289.07	5,131.13	(157 94)
05/06/09	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis· 8,657.71	31416B2E7	291,000.000	8,657.71	8,405.54	(252.17)
05/27/09	03/04/09	SELL	FEDERAL NATL MTG ASSN Original Cost Basis: 421,067.87	31398ADM1	377,000.000	420,087.33	405,995.07	(14,092.26)
05/27/09	06/20/08	SELL	UNITED STATES TREAS BDS Original Cost Basis: 52,506.56	912810EV6	42,000.000	52,127.92	53,221 71	1,093 79

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
05/27/09	12/03/08	SELL	UNITED STATES TREAS BDS Original Cost Basis: 66,918.03	912810PX0	54,000.000	66,791.51	52,318 61	(14,472.90)
06/04/09	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 3,725.73	31402DP79	267,000 000	3,725.73	3,600.29	(125.44)
06/04/09	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 10,827.93	31415M2W4	334,000.000	10,827.93	11,166.49	338 56
06/04/09	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 10,096.01	31416BLD8	491,000.000	10,096.01	9,781.25	(314.76)
06/04/09	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 4,779.52	31416BM96	241,000.000	4,779.52	4,636.80	(142.72)
06/04/09	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 8,495.55	31416B2E7	291,000.000	8,495.55	8,248.10	(247 45)
07/07/09	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 4,013.10	31402DP79	267,000 000	4,013.10	3,877 99	(135.11)
07/07/09	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 3,128.50	31415M2W4	334,000.000	3,128.50	3,226 32	97.82
07/07/09	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 10,056.15	31416BLD8	491,000.000	10,056.15	9,742 62	(313 53)
07/07/09	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 3,922.98	31416BM96	241,000.000	3,922.98	3,805.83	(117.15)
07/07/09	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis. 8,402.80	31416B2E7	291,000 000	8,402 80	8,158 05	(244.75)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
07/09/09	04/21/09	SELL	AUSTRALIAN GOVERNMENT Original Cost Basis· 197,918 20	Q0819ACX6	250,000.000	197,918 20	206,083.51	8,165.31
07/09/09	02/09/09	SELL	UNITED STATES TREAS NOTES Original Cost Basis· 168,358.44	912828JW1	172,000 000	168,648 99	166,700.85	(1,948.14)
07/23/09	06/05/09	SELL	HEWLETT PACKARD CO COM	HPQ	540.000	20,196.16	22,257.16	2,061 00
07/23/09	06/05/09	SELL	QUALCOMM INC	QCOM	445.000	20,331.63	20,756.76	425.13
08/06/09	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 2,855.11	31402DP79	267,000 000	2,855.11	2,758.98	(96.13)
08/06/09	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis 7,859.70	31416BLD8	491,000.000	7,859.70	7,614 66	(245.04)
08/06/09	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 4,355.37	31416BM96	241,000.000	4,355.37	4,225.31	(130.06)
08/06/09	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 6,626 86	31416B2E7	291,000.000	6,626.86	6,433.84	(193.02)
08/12/09	06/05/09	SELL	BARCLAYS BK PLC 8.125% SPON ADR PREF	BCS PR	1,065.000	20,118.52	24,790.17	4,671 65
08/12/09	06/05/09	SELL	INTEL CORP COM	INTC	1,265.000	20,199.13	23,690.70	3,491.57
08/25/09	01/14/09	SELL	FEDERAL NATL MTG ASSN Original Cost Basis. 514,037.76	31398AUU4	512,000 000	513,628.16	513,064.91	(563 25)
09/04/09	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis. 2,090.99	31402DP79	267,000.000	2,090.99	2,020.58	(70.41)
09/04/09	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis· 6,308.10	31416BLD8	491,000 000	6,308.10	6,111 43	(196 67)

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
09/04/09	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 2,397.19	31416BM96	241,000 000	2,397.19	2,325 60	(71.59)
09/04/09	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 5,019.65	31416B2E7	291,000.000	5,019.65	4,873.44	(146.21)
09/10/09	06/05/09	SELL	GOLDMAN SACHS GROUP INC COM	GS	135.000	20,330.14	23,104.98	2,774.84
09/10/09	06/05/09	SELL	ING GROEP N V 8 5% PERPETUAL HYBRID CAP	IGK	1,070.000	20,294 57	18,466.71	(1,827.86)
09/10/09	06/05/09	SELL	TARGET CORP	TGT	490.000	20,133.52	23,203.70	3,070.18
09/24/09	06/05/09	SELL	ALCOA INC COM	AA	1,830 000	20,164.95	24,487.38	4,322.43
09/24/09	07/09/09	SELL	CANADIAN GOVERNMENT NTS Original Cost Basis: 248,611.81	135087YS7	277,000.000	248,611.81	257,817.08	9,205 27
10/06/09	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 1,711.55	31402DP79	267,000.000	1,711.55	1,653.92	(57.63)
10/06/09	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis. 5,555.49	31416BLD8	491,000 000	5,555.49	5,382.28	(173 21)
10/06/09	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 2,314.88	31416BM96	241,000.000	2,314.88	2,245 75	(69.13)
10/06/09	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 4,560.74	31416B2E7	291,000 000	4,560.74	4,427.90	(132.84)
10/09/09	03/25/09	SELL	CURRENCYSHARES AUSTRAILIAN DLR TR	FXA	425.000	30,327.18	38,009.86	7,682.68
10/09/09	06/02/09	SELL	CURRENCYSHARES AUSTRAILIAN DLR TR	FXA	305.000	25,343.27	27,277.66	1,934.39
10/09/09	03/24/09	SELL	ISHARES TR IBOXX HIGH YIELD	HYG	595.000	41,587.12	50,404.70	8,817.58

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TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
10/09/09	02/09/09	SELL	UNITED STATES TREAS NOTES Original Cost Basis: 93,967.50	912828JW1	96,000.000	94,232.55	93,241 92	(990.63)
10/09/09	03/03/09	SELL	UNITED STATES TREAS NOTES Original Cost Basis: 102,449.56	912828JW1	104,000.000	102,638.13	101,012.08	(1,626.05)
10/29/09	03/25/09	SELL	ISHARES TR IBOXX USD INVT GRADE	LQD	765.000	71,888.78	79,307 63	7,418 85
10/29/09	03/24/09	SELL	ISHARES TR IBOXX HIGH YIELD	HYG	400.000	27,957.73	34,091.74	6,134 01
10/29/09	06/05/09	SELL	PETROLEO BRASILEIRO SA PETROBRAS	PBR	465.000	20,246.61	22,183.69	1,937.08
11/05/09	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 1,844.54	31402DP79	267,000.000	1,844.54	1,782 43	(62.11)
11/05/09	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis 6,212.40	31416BLD8	491,000.000	6,212.40	6,018.72	(193.68)
11/05/09	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 2,676.53	31416BM96	241,000.000	2,676 53	2,596.61	(79 92)
11/05/09	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 6,202.60	31416B2E7	291,000 000	6,202.60	6,021 93	(180 67)
11/18/09	06/05/09	SELL	DIAGEO PLC SPONSORED ADR NEW	DEO	370.000	20,161.12	25,181 07	5,019 95
11/18/09	06/05/09	SELL	DISNEY WALT CO DISNEY COM	DIS	800.000	20,135.36	24,147.93	4,012 57
11/18/09	03/25/09	SELL	ISHARES TR IBOXX USD INVT GRADE	LQD	470.000	44,166.96	49,419.90	5,252 94
11/18/09	06/05/09	SELL	NATIONAL BK GREECE S A ADR PREF SER A	NBG PR	900.000	20,299.97	22,170.35	1,870 38
11/19/09	03/25/09	SELL	BUNDESREPUBLIK DEUTSCHLAND Original Cost Basis: 364,650.35	D14464MM6	250,000 000	364,650.35	394,485.22	29,834 87

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2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS *(Continued)*

SCHEDULE of REALIZED GAINS and LOSSES *(Continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term *(continued)*								
11/19/09	11/19/09	SELL	EURO CURRENCY	EUR999995	269,734.380	403,711.45	401,256.86	(2,454.59)
12/04/09	01/20/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis· 1,952.31	31402DP79	267,000.000	1,952.31	1,886.58	(65.73)
12/04/09	01/21/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis· 5,696.31	31416BLD8	491,000.000	5,696.31	5,518 71	(177.60)
12/04/09	01/27/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis· 2,464.51	31416BM96	241,000 000	2,464 51	2,390.91	(73.60)
12/04/09	02/11/09	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 8,067.56	31416B2E7	291,000.000	8,067.56	7,832.57	(234.99)
12/14/09	11/19/09	BUY	EURO CURRENCY	EUR999995	(0.300)	0 44	0 45	0 01
12/14/09	06/05/09	SELL	COMCAST CORP CL A	CMCSA	1,415 000	20,136.72	24,570.82	4,434.10
12/14/09	06/05/09	SELL	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	420.000	20,285.46	22,228.09	1,942.63
Total Short Term						**4,639,917.41**	**4,756,001.16**	**116,083.75**
Long Term								
06/04/09	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis. 6,379.23	31410GW90	478,000.000	6,379.23	6,153.34	(225.89)
07/07/09	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 7,422.22	31410GW90	478,000 000	7,422.22	7,159.40	(262 82)
08/06/09	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 8,234.81	31410GW90	478,000.000	8,234.81	7,943.21	(291.60)
08/06/09	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis· 3,895 01	31415M2W4	334,000.000	3,895.01	4,016.79	121.78

Recipient's Name and Address:
CHANGE HAPPENS FOUNDATION
75-5710 MAMALAHOA HWY

Account Number: 219-716933

Recipient's Identification Number: 20-5222620

2009 TAX and YEAR-END STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS (Continued)

SCHEDULE of REALIZED GAINS and LOSSES (Continued)

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
09/04/09	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis· 9,993.27	31410GW90	478,000.000	9,993.27	9,639.40	(353.87)
09/04/09	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 3,358.03	31415M2W4	334,000.000	3,358.03	3,463 03	105 00
10/06/09	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 8,639.33	31410GW90	478,000.000	8,639.33	8,333.40	(305.93)
10/06/09	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis: 5,353.62	31415M2W4	334,000.000	5,353.62	5,521.01	167.39
11/05/09	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis· 9,822.20	31410GW90	478,000.000	9,822 20	9,474.39	(347 81)
11/05/09	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis· 2,236.68	31415M2W4	334,000.000	2,236.68	2,306.62	69.94
12/04/09	05/07/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis 5,836 70	31410GW90	478,000.000	5,836.70	5,630 01	(206 69)
12/04/09	07/23/08	RPP	FNMA GTD MTG PASS THRU CTFS Original Cost Basis. 1,986 84	31415M2W4	334,000.000	1,986.84	2,048 96	62.12
Total Long Term						**73,157.94**	**71,689.56**	**(1,468.38)**
Total Short Term and Long Term						**4,713,075.35**	**4,827,690.72**	**114,615.37**

The Schedule of Realized Gains and Losses is not reported to the IRS. This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes; for example, it does not reflect disallowed losses from wash sale events. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. Typically these adjustments are received after year-end and there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports or online displays you may have available to you. Return of capital information has been obtained from sources we believe to be reliable.

When you report your cost basis on your tax return, it should be verified with your own records. In particular, there may be other adjustments which you need to make and you should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

UBS

UBS Financial Services Inc
555 CALIFORNIA STREET
SUITE 3200
SAN FRANCISCO CA 94104-1608

APZ3002569521 1209 Y3 2

2009 Year End Summary

Duplicate statement for the account of:
CHANGE HAPPENS FOUNDATION
DOUGLAS D TROXEL
NON PROFIT PUBLIC BEN CORP
75-5710 MAMALAHOA HWY
HOLUALOA HI 96725-9630
Account number Y3 00505 FP

00006218 01 AV 0.335 01 TR 00035 B301B061 000000 edg
MOHLER NIXON & WILLIAMS
BILL KELLEHER
635 CAMPBELL TECHNOLOGY PKWY
SUITE 100
CAMPBELL CA 95008-5075

Summary of gains and losses

	Amount ($)
Short term	-1,999 90

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order *See Important information about your statement* on the last page of this statement for more information

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
LANDAUER INC	FIFO	40 000	Aug 15, 08	Jan 27, 09	2,588 39	2,708 95	-120 56		
NEW JERSEY RESOURCES CRP	FIFO	31 000	Aug 15, 08	Jan 07, 09	1,170 66	1,107 80		62 86	
SUNOPTA INC	FIFO	371.000	Aug 15, 08	Feb 24, 09	427 90	2,370 10	-1,942 20		
Total					**$4,186.95**	**$6,186.85**	**-$2,062.76**	**$62.86**	**-$1,999.90**
Net capital gains/losses:									**-$1,999.90**

UBS

UBS Financial Services Inc
555 CALIFORNIA STREET
SUITE 3200
SAN FRANCISCO CA 94104-1608

APZ3002569557 1209 Y3 1

2009 Year End Summary

Duplicate statement for the account of:
CHANGE HAPPENS FOUNDATION
DOUGLAS D TROXEL
NON PROFIT PUBLIC BEN CORP
75-5710 MAMALAHOA HWY
HOLUALOA HI 96725-9630
Account number Y3 00515 FP

00006227 01 AV 0 335 01 TR 00035 B301B061 000000 edg
MOHLER NIXON & WILLIAMS
ATTN GREG LOMAZZI
635 CAMPBELL TECHNOLOGY PKWY
SUITE 100
CAMPBELL CA 95008-5075

Summary of gains and losses

	Amount ($)
Short term	-61,871 02
Long term	-1,123 72
Total	**$-62,994.74**

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order *See Important information about your statement* on the last page of this statement for more information

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AMERCO	FIFO	160 000	Sep 09, 08	Jan 15, 09	4,971 15	7,024 77	-2,053 62		
ASSURED GUARANTY LTD	FIFO	580 000	Sep 09, 08	Feb 20, 09	3,222 34	11,518 51	-8,296 17		
BAXTER INTL INC	FIFO	80 000	Sep 09, 08	Feb 10, 09	4,623 23	5,479 74	-856 51		

continued next page

UBS

Account name: CHANGE HAPPENS FOUNDATION
Account number: Y3 00515 FP

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	100 000	Sep 09, 08	Jan 05, 09	5,430 51	6,849 67	-1,419 16		
COCA COLA CO COM	FIFO	80 000	Sep 09, 08	Jan 05, 09	3,662 43	4,343 76	-681 33		
EAST WEST BANCORP INC	FIFO	160 000	Sep 09, 08	Jan 08, 09	2,029 64	2,179 58	-149 94		
GUARANTY FINANCIAL GROUP INC	FIFO	1,060 000	Sep 09, 08	Feb 24, 09	509 22	5,440 45	-4,931 23		
	FIFO	1,150 000	Jan 13, 09	Feb 24, 09	552 45	2,825 78	-2,273 33		
MGM MIRAGE	FIFO	1,320 000	Jan 14, 09	Feb 17, 09	6,495 68	14,895 94	-8,400 26		
OLD REPUBLIC INTL CORP	FIFO	540 000	Nov 12, 08	Jan 13, 09	6,377 90	4,706 10		1,671 80	
PAPA JOHNS INTL INC	FIFO	260.000	Sep 09, 08	Jan 08, 09	4,581 85	7,416 55	-2,834 70		
RANGE RESOURCES CORP	FIFO	160 000	Sep 09, 08	Jan 13, 09	5,519 13	6,183 58	-664 45		
RAYONIER INC REIT	FIFO	310 000	Sep 09, 08	Jan 15, 09	8,280 67	13,931 40	-5,650 73		
SHERWIN WILLIAMS CO	FIFO	70 000	Sep 09, 08	Jan 12, 09	4,101 14	4,178 77	-77 63		
WELLPOINT INC	FIFO	410 000	Sep 09, 08	Jan 13, 09	15,896 47	20,396 89	-4,500 42		
WELLS FARGO & CO NEW	FIFO	640 000	Oct 15, 08	Jan 13, 09	15,531 17	21,857 66	-6,326 49		
WINN DIXIE STORES INC NEW	FIFO	1,120 000	Dec 29, 08	Feb 19, 09	9,971 97	16,372 50	-6,400 53		
WSTN DIGITAL CORP	FIFO	700 000	Sep 09, 08	Jan 06, 09	8,710 89	16,737 21	-8,026 32		
Total					**$110,467.84**	**$172,338.86**	**-$63,542.82**	**$1,671.80**	**-$61,871.02**

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
COCA COLA CO COM	FIFO	132 000	Jun 19, 07	Jan 05, 09	6,043 00	6,766 61	-723 61		1
	FIFO	55 000	Jun 20, 07	Jan 05, 09	2,517 92	2,832 47	-314 55		1
	FIFO	15 000	Jun 21, 07	Jan 05, 09	686 70	772 26	-85 56		1
Total					**$9,247.62**	**$10,371.34**	**-$1,123.72**		**-$1,123.72**
Net capital gains/losses:									**-$62,994.74**

[1] Indicates that the cost basis information has been provided by a source other than UBS Financial Services Inc

119,716 182716

APZ30006002569558 PZ3000272167 00001 1209 000000000 1 Y3 FP
End of statement for account number Y3 00515 FP

UBS

UBS Financial Services Inc
555 CALIFORNIA STREET
SUITE 3200
SAN FRANCISCO CA 94104-1608

APZ3002569549 1209 Y3 1

2009 Year End Summary

Duplicate statement for the account of.
CHANGE HAPPENS FOUNDATION
DOUGLAS D TROXEL
NON PROFIT PUBLIC BEN CORP
75-5710 MAMALAHOA HWY
HOLUALOA HI 96725-9630
Account number Y3 00513 FP

00006225 01 AV 0 335 01 TR 00035 B301B061 000000 edg
MOHLER NIXON & WILLIAMS
ATTN GREG LOMAZZI
635 CAMPBELL TECHNOLOGY PKWY
SUITE 100
CAMPBELL CA 95008-5075

Summary of gains and losses

	Amount ($)
Short term	-53,498 90

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order *See Important information about your statement* on the last page of this statement for more information

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
ALLIANZ SE ADR	FIFO	32 000	Sep 15, 08	Jan 21, 09	246 89	460 34	-213 45		
ARCELORMITTAL SA NY REG	FIFO	185.000	Sep 15, 08	Feb 18, 09	4,227 63	11,262 80	-7,035 17		
COMPANHIA VALE DO RIO DOCE SPONS ADR 250 PREF **NAME CHANGE 05/	FIFO	413 000	Sep 15, 08	Feb 25, 09	4,621 89	7,766 38	-3,144 49		

continued next page

UBS

Account name: CHANGE HAPPENS FOUNDATION
Account number: Y3 00513 FP

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
HONDA MOTOR CO ADR JAPAN ADR	FIFO	160 000	Sep 15, 08	Feb 18, 09	3,863 27	5,020 56	-1,157 29		
LLOYDS BANKING GROUP PLC SPON ADR	LIFO	0 656	Dec 03, 08	Jan 22, 09	2 29	5 25	-2 96		
NISSAN MTR LTD SPONS ADR JAPAN ADR	FIFO	214 000	Sep 15, 08	Feb 06, 09	1,347 27	2,974 07	-1,626 80		
ORIX CORP SPON ADR	FIFO	220 000	Sep 15, 08	Jan 21, 09	4,842 02	13,984 26	-9,142 24		
	FIFO	10 000	Sep 16, 08	Jan 21, 09	220 09	617 40	-397 31		
	FIFO	130 000	Dec 03, 08	Jan 21, 09	2,861 19	3,645 27	-784 08		
PETRO-CANADA COM STOCK**MEREGR EFF 07/2009**	FIFO	123 000	Sep 15, 08	Jan 20, 09	2,689 19	4,576 71	-1,887 52		
ROYAL BANK SCOTLAND SPON ADR	FIFO	194 000	Sep 15, 08	Feb 06, 09	1,283 05	14,802 34	-13,519 29		
	FIFO	65 000	Sep 16, 08	Feb 06, 09	429 89	4,439 51	-4,009 62		
	FIFO	60 000	Dec 03, 08	Feb 06, 09	396 82	1,181 04	-784 22		
SHARP CORP UNSPONSORED ADR	FIFO	652 000	Sep 15, 08	Jan 21, 09	5,334 96	7,237 20	-1,902 24		
SOCIETE GENERALE FRANCE SPONS ADR ADR	FIFO	267 000	Sep 15, 08	Jan 21, 09	1,790 08	4,360 11	-2,570 03		
STATOIL ASA SPON ADR	FIFO	217 000	Sep 15, 08	Jan 21, 09	3,769 85	5,100 11	-1,330 26		
TECK RESOURCES LTD CL B ORD	FIFO	115 000	Sep 15, 08	Jan 21, 09	485 00	3,963 99	-3,478 99		
	FIFO	235 000	Dec 03, 08	Jan 21, 09	991 08	805 93		185 15	
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	FIFO	35 000	Sep 15, 08	Feb 06, 09	2,322 64	3,020 73	-698 09		
Total					**$41,725.10**	**$95,224.00**	**-$53,684.05**	**$185.15**	**-$53,498.90**
Net capital gains/losses:									**-$53,498.90**

APZ30006002569550 PZ3000272165 00001 1209 000000000 1 Y3 FP
End of statement for account number Y3 00513 FP

UBS

UBS Financial Services Inc
555 CALIFORNIA STREET
SUITE 3200
SAN FRANCISCO CA 94104-1608

APZ3002569545 1209 Y3 2

2009 Year End Summary

Duplicate statement for the account of:
CHANGE HAPPENS FOUNDATION
DOUGLAS D TROXEL
NON PROFIT PUBLIC BEN CORP
75-5710 MAMALAHOA HWY
HOLUALOA HI 96725-9630
Account number Y3 00508 FP

00006224 01 AV 0 335 01 TR 00035 B301B061 000000 edg
MOHLER NIXON & WILLIAMS
BILL KELLEHER
635 CAMPBELL TECHNOLOGY PKWY
SUITE 100
CAMPBELL CA 95008-5075

Summary of gains and losses

	Amount ($)
Short term	-68,280 11

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order *See Important information about your statement* on the last page of this statement for more information

We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
ABB LTD SPON ADR	FIFO	153 000	Dec 18, 08	Feb 18, 09	1,862 78	2,249 94	-387 16		
	FIFO	154 000	Dec 29, 08	Feb 18, 09	1,874 95	2,253 93	-378 98		
AMAZON COM INC	FIFO	67 000	Jan 08, 09	Jan 30, 09	3,934 67	3,801 92		132 75	
DEVON ENERGY CORP NEW	FIFO	35 000	Oct 22, 08	Feb 24, 09	1,624 03	2,480 38	-856 35		
GOLDMAN SACHS GROUP INC	FIFO	52 000	Sep 12, 08	Jan 20, 09	3,386 62	8,178 04	-4,791 42		
HESS CORP	FIFO	120 000	Sep 12, 08	Feb 24, 09	6,275 72	10,887 00	-4,611 28		

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	95 000	Sep 12, 08	Feb 18, 09	4,914.19	8,618 88	-3,704 69		
MONSANTO CO NEW	FIFO	52 000	Sep 12, 08	Feb 24, 09	4,033 08	5,788 12	-1,755 04		
ORACLE CORP	FIFO	158 000	Oct 30, 08	Feb 02, 09	2,649 23	2,920 41	-271 18		
SCHLUMBERGER LTD NETHERLANDS ANTILLES	FIFO	24 000	Sep 12, 08	Feb 17, 09	941 54	2,102 96	-1,161 42		
	FIFO	12 000	Oct 09, 08	Feb 17, 09	470 77	726 60	-255 83		
	FIFO	105 000	Oct 09, 08	Feb 17, 09	4,062 97	6,357 75	-2,294 78		
	FIFO	61 000	Oct 13, 08	Feb 17, 09	2,360 39	3,956 13	-1,595 74		
TARGET CORP	FIFO	129 000	Sep 12, 08	Feb 24, 09	3,670 33	7,327 20	-3,656 87		
	FIFO	101 000	Sep 12, 08	Feb 02, 09	3,037 46	5,736 80	-2,699 34		
US BANCORP DEL (NEW)	FIFO	202 000	Sep 12, 08	Feb 18, 09	2,117 36	6,792 96	-4,675 60		
	FIFO	3 000	Sep 12, 08	Feb 17, 09	33 14	100 89	-67 75		
	FIFO	146 000	Sep 12, 08	Jan 22, 09	2,057 43	4,909 76	-2,852 33		
	FIFO	314 000	Sep 12, 08	Jan 20, 09	5,427 80	10,559 35	-5,131 55		
WALT DISNEY CO (HOLDING CO) DISNEY COM	FIFO	15 000	Dec 08, 08	Feb 18, 09	264 55	386 42	-121 87		
	FIFO	99 000	Dec 09, 08	Feb 18, 09	1,746 06	2,343 84	-597 78		
	FIFO	75 000	Dec 08, 08	Feb 05, 09	1,394 53	1,932 11	-537 58		
WELLS FARGO & CO NEW	FIFO	279 000	Nov 06, 08	Feb 20, 09	2,742 86	7,938 22	-5,195 36		
	FIFO	62 000	Dec 03, 08	Feb 20, 09	609 53	1,650 40	-1,040 87		
	FIFO	105 000	Nov 06, 08	Feb 19, 09	1,301 97	2,987 50	-1,685 53		
	FIFO	225 000	Sep 12, 08	Feb 17, 09	3,272 02	7,662 49	-4,390 47		
	FIFO	153 000	Nov 06, 08	Feb 17, 09	2,224 97	4,353 22	-2,128 25		
	FIFO	222 000	Sep 12, 08	Jan 21, 09	3,324 39	7,560 32	-4,235 93		
	FIFO	412 000	Sep 12, 08	Jan 20, 09	6,698 96	14,030 87	-7,331 91		
Total					**$78,314.30**	**$146,594.41**	**-$68,412.86**	**$132.75**	**-$68,280.11**
Net capital gains/losses:									**-$68,280.11**

UBS

UBS Financial Services Inc
555 CALIFORNIA STREET
SUITE 3200
SAN FRANCISCO CA 94104-1608

APZ3002569537 1209 Y3 2

2009 Year End Summary

Duplicate statement for the account of.
CHANGE HAPPENS FOUNDATION
DOUGLAS D TROXEL
NON PROFIT PUBLIC BEN CORP
75-5710 MAMALAHOA HWY
HOLUALOA HI 96725-9630
Account number Y3 00507 FP

00006222 01 AV 0 335 01 TR 00035 B301B061 000000 edg
MOHLER NIXON & WILLIAMS
BILL KELLEHER
635 CAMPBELL TECHNOLOGY PKWY
SUITE 100
CAMPBELL CA 95008-5075

Summary of gains and losses

	Amount ($)
Short term	-57,307 43
Long term	-4,367 31
Total	**$-61,674.74**

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order *See Important information about your statement* on the last page of this statement for more information

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AFLAC INC	FIFO	76 000	Sep 12, 08	Jan 26, 09	1,669 47	4,444 14	-2,774 67		
	FIFO	86 000	Sep 18, 08	Jan 26, 09	1,889 13	4,921 73	-3,032 60		
	FIFO	89 000	Nov 03, 08	Jan 26, 09	1,955 03	3,963 38	-2,008 35		

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UBS

Account name: CHANGE HAPPENS FOUNDATION
Account number: Y3 00507 FP

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
APACHE CORP	FIFO	25 000	Sep 11, 08	Jan 14, 09	1,813.57	2,710 75	-897 18		
BANK OF AMER CORP	FIFO	486 000	Sep 11, 08	Jan 14, 09	4,998 91	15,824 16	-10,825 25		
	FIFO	82 000	Oct 20, 08	Jan 14, 09	843 44	1,968 40	-1,124 96		
CATERPILLAR INC	FIFO	65 000	May 20, 08	Feb 05, 09	2,048 52	5,428 80	-3,380 28		
DOW CHEMICAL	FIFO	171 000	Oct 28, 08	Jan 07, 09	2,799 37	4,158 46	-1,359 09		
FRANKLIN RESOURCES INC	FIFO	132 000	Sep 11, 08	Jan 14, 09	7,284 55	12,478 42	-5,193 87		
GENL DYNAMICS CORP	FIFO	96 000	Sep 11, 08	Jan 26, 09	5,190 20	8,079 04	-2,888 84		
JOHNSON CONTROLS INC	FIFO	235 000	Sep 11, 08	Jan 26, 09	3,078 06	7,271 25	-4,193 19		
PNC FINANCIAL SERVICES GROUP	FIFO	74 000	Apr 21, 08	Jan 26, 09	2,318 19	4,847 81	-2,529 62		
	FIFO	57 000	Jun 23, 08	Jan 26, 09	1,785 64	3,222 03	-1,436 39		
SCHLUMBERGER LTD NETHERLANDS ANTILLES	FIFO	102 000	Oct 07, 08	Jan 26, 09	4,306 76	6,858 13	-2,551 37		
SIMON PPTY GROUP INC SBI	FIFO	109 000	Sep 11, 08	Jan 14, 09	4,632 08	10,339 20	-5,707 12		
TRANSOCEAN LTD	FIFO	53 000	Sep 11, 08	Jan 26, 09	2,962 26	6,286 33	-3,324 07		
US BANCORP DEL (NEW)	FIFO	244 000	Jun 13, 08	Jan 26, 09	3,285 15	7,365 73	-4,080 58		
Total					**$52,860.33**	**$110,167.76**	**-$57,307.43**		**-$57,307.43**

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
CATERPILLAR INC	FIFO	47 000	Oct 15, 07	Feb 05, 09	1,481 24	3,674 97	-2,193 73		
US BANCORP DEL (NEW)	FIFO	111 000	Jul 10, 07	Jan 26, 09	1,494 47	3,668 05	-2,173 58		[1]
Total					**$2,975.71**	**$7,343.02**	**-$4,367.31**		**-$4,367.31**
Net capital gains/losses:									**-$61,674.74**

55,836

[1] Indicates that the cost basis information has been provided by a source other than UBS Financial Services Inc

UBS

UBS Financial Services Inc
555 CALIFORNIA STREET
SUITE 3200
SAN FRANCISCO CA 94104-1608

APZ3002569529 1209 Y3 2

2009 Year End Summary

Duplicate statement for the account of:
CHANGE HAPPENS FOUNDATION
DOUGLAS D TROXEL
NON PROFIT PUBLIC BEN CORP
75-5710 MAMALAHOA HWY
HOLUALOA HI 96725-9630
Account number Y3 00506 FP

00006220 01 AV 0 335 01 TR 00035 B301B061 000000 edg
MOHLER NIXON & WILLIAMS
BILL KELLEHER
635 CAMPBELL TECHNOLOGY PKWY
SUITE 100
CAMPBELL CA 95008-5075

Summary of gains and losses

	Amount ($)
Short term	-1,603 68

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order *See Important information about your statement* on the last page of this statement for more information

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AMEREN CORP	FIFO	30 000	Aug 15, 08	Feb 18, 09	792 88	1,255 55	-462 67		
	FIFO	5 000	Aug 15, 08	Jan 23, 09	162 67	209 26	-46 59		
AON CORP	FIFO	50 000	Aug 15, 08	Feb 18, 09	1,996 41	2,363 67	-367 26		
	FIFO	15 000	Aug 15, 08	Feb 06, 09	605 57	709 10	-103 53		

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
NOBLE ENERGY INC	FIFO	35 000	Aug 15, 08	Feb 19, 09	1,724 06	2,347 69	-623 63		
Total					**$5,281.59**	**$6,885.27**	**-$1,603.68**		**-$1,603.68**
Net capital gains/losses:									**-$1,603.68**

UBS

UBS Financial Services Inc
555 CALIFORNIA STREET
SUITE 3200
SAN FRANCISCO CA 94104-1608

APZ3002569735 1209 Y3 2

2009 Year End Summary

Duplicate statement for the account of:
CHANGE HAPPENS FOUNDATION
DOUGLAS D TROXEL
NON PROFIT PUBLIC BEN CORP
75-5710 MAMALAHOA HWY
HOLUALOA HI 96725-9630
Account number Y3 04153 FP

00006259 01 AV 0.335 01 TR 00035 B301B061 000000 edg
MOHLER NIXON & WILLIAMS
ATTN GREG LOMAZZI
635 CAMPBELL TECHNOLOGY PKWY
SUITE 100
CAMPBELL CA 95008-5075

Summary of gains and losses

	Amount ($)
Short term	-5,851 26
Long term	131 19
Total	**$-5,720.07**

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order *See Important information about your statement* on the last page of this statement for more information

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AMSURG CORP NEW	FIFO	1 000	Nov 07, 08	Feb 18, 09	18 52	23 97	-5 45		
ARENA RESOURCES INC	FIFO	1 000	Mar 24, 08	Feb 18, 09	21 30	35 51	-14 21		
ATMOS ENERGY CORP	FIFO	36 000	Sep 24, 08	Jan 07, 09	855 36	972 73	-117 37		

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
BELDEN INC NEW	FIFO	1 000	Oct 02, 08	Feb 18, 09	13 20	28 00	-14 80		
CACI INTL INC CL A	FIFO	3 000	Oct 07, 08	Feb 18, 09	131 59	141 57	-9 98		
CLIFFS NAT RESOURCES INC	FIFO	1 000	Dec 03, 08	Feb 18, 09	22 82	19 53		3 29	
EQT CORP	FIFO	3 000	Oct 20, 08	Feb 18, 09	98 34	84 76		13 58	
FACTSET RESH SYSTEMS INC	FIFO	1 000	Nov 03, 08	Feb 18, 09	42 21	39 27		2 94	
GALLAGHER ARTHUR J & CO	FIFO	4 000	Oct 22, 08	Feb 18, 09	70 04	96 67	-26 63		
GENL CABLE CORP	FIFO	2 000	Jun 27, 08	Feb 18, 09	33 83	120 17	-86 34		
HANESBRANDS INC	FIFO	1 000	Nov 18, 08	Feb 24, 09	5 54	13 57	-8 03		
	FIFO	1 000	Nov 18, 08	Feb 18, 09	7 16	13 57	-6 41		
HCC INSURANCE HLDGS INC	FIFO	2 000	Mar 24, 08	Feb 18, 09	47 74	45 52		2 22	
INVENTIV HEALTH INC	FIFO	5 000	Jul 18, 08	Feb 18, 09	52 41	121 44	-69 03		
KAYDON CORP	FIFO	1 000	May 01, 08	Feb 18, 09	26 34	53 61	-27 27		
MEDNAX INC	FIFO	1 000	May 12, 08	Feb 24, 09	34 22	58 80	-24 58		
	FIFO	5 000	May 12, 08	Feb 18, 09	185 18	294 01	-108 83		
OIL STATES INTL INC	FIFO	72 000	Aug 25, 08	Feb 23, 09	977 81	3,889 95	-2,912 14		
	FIFO	6 000	Sep 12, 08	Feb 23, 09	81 48	252 63	-171 15		
	FIFO	6 000	Sep 23, 08	Feb 23, 09	81 48	233 40	-151 92		
	FIFO	6 000	Jan 16, 09	Feb 23, 09	81 48	120 52	-39 04		
OWENS ILLINOIS INC NEW	FIFO	1 000	Oct 24, 08	Feb 24, 09	14 40	18 52	-4 12		
	FIFO	1 000	Oct 24, 08	Feb 18, 09	15 56	18 52	-2 96		
PACTIV CORP	FIFO	36 000	May 01, 08	Feb 12, 09	719 27	877 53	-158 26		
PATTERSON COMPANIES INC	FIFO	1 000	Nov 18, 08	Feb 18, 09	18 59	21 55	-2 96		
PHILLIPS VAN HEUSEN	FIFO	2 000	Jun 12, 08	Feb 18, 09	35 68	83 22	-47 54		
PROSPERITY BANCSHARES INC	FIFO	1 000	Jul 17, 08	Feb 18, 09	26 68	27 94	-1 26		
RAYMOND JAMES FINANCIAL CORP	FIFO	1 000	Jul 31, 08	Feb 18, 09	18 37	29 46	-11 09		
ROFIN-SINAR TECHNOLOGIES INC	FIFO	2 000	Jun 12, 08	Feb 18, 09	30 94	67 54	-36 60		
SCOTTS MIRACLE-GRO CO CL A	FIFO	18 000	Aug 05, 08	Feb 04, 09	624 78	419 61		205 17	
	FIFO	87 000	Aug 05, 08	Jan 02, 09	2,617 51	2,028 09		589 42	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
SILGAN HOLDINGS INC	FIFO	40 000	Feb 22, 08	Feb 12, 09	2,009 50	1,896 80		112 70	
	FIFO	3 000	Mar 10, 08	Feb 12, 09	150 71	141 70		9 01	
SMUCKER J M CO NEW	FIFO	47 000	Nov 07, 08	Feb 12, 09	2,015 38	1,923 66		91 72	
	FIFO	22 000	Nov 14, 08	Feb 12, 09	943 37	870 40		72 97	
	FIFO	68 000	Nov 07, 08	Feb 04, 09	3,069 93	2,783 17		286 76	
SONIC CORP	FIFO	1 000	Aug 26, 08	Feb 18, 09	9 07	13 98	-4 91		
SYNIVERSE HOLDINGS INC	FIFO	1 000	Sep 24, 08	Feb 24, 09	14 94	16 44	-1 50		
	FIFO	3 000	Sep 24, 08	Feb 18, 09	43 15	49 31	-6 16		
TEAM INC	FIFO	20 000	Mar 24, 08	Jan 21, 09	446 45	633 03	-186 58		
	FIFO	8 000	Sep 23, 08	Jan 21, 09	178 58	303 60	-125 02		
	FIFO	13 000	Nov 07, 08	Jan 21, 09	290 19	329 31	-39 12		
	FIFO	3 000	Nov 26, 08	Jan 21, 09	66 97	78 05	-11 08		
	FIFO	15 000	Mar 24, 08	Jan 16, 09	358 22	474 77	-116 55		
TREEHOUSE FOODS INC	FIFO	26 000	Mar 17, 08	Feb 12, 09	638 54	589 58		48 96	
	FIFO	13 000	Sep 23, 08	Feb 12, 09	319 27	380 22	-60 95		
UNTD BANKSHARES INC PARKERSBURG WEST VIRG	FIFO	4 000	Jun 12, 08	Feb 18, 09	67 71	106 51	-38 80		
URS CORP NEW	FIFO	1 000	Apr 01, 08	Feb 18, 09	31 85	33 42	-1 57		
VECTREN CORP	FIFO	1 000	Sep 24, 08	Feb 18, 09	23 08	27 49	-4 41		
	FIFO	34 000	Sep 24, 08	Jan 07, 09	849 02	934 75	-85 73		
WADDELL & REED FINANCIAL INC CL A	FIFO	3 000	Jun 12, 08	Feb 18, 09	44 63	107 00	-62 37		
WASTE CONNECTIONS INC	FIFO	2 000	Feb 21, 08	Feb 18, 09	52 02	61 60	-9 58		
WESTAR ENERGY INC	FIFO	1 000	Sep 24, 08	Feb 18, 09	18 00	22 92	-4 92		
	FIFO	48 000	Sep 24, 08	Jan 07, 09	960 38	1,099 92	-139 54		
ISHARES TRUST RUSSELL 2000 VALUE INDEX FD	FIFO	81 000	Dec 19, 08	Jan 27, 09	3,537 35	3,989 89	-452 54		
	FIFO	64 000	Dec 19, 08	Jan 26, 09	2,778 95	3,152 51	-373 56		
	FIFO	79 000	Oct 07, 08	Jan 16, 09	3,571 98	4,491 62	-919 64		
	FIFO	40 000	Oct 31, 08	Jan 16, 09	1,808 60	2,117 13	-308 53		
	FIFO	69 000	Dec 19, 08	Jan 16, 09	3,119 83	3,398 80	-278 97		
Total					**$34,427.50**	**$40,278.76**	**-$7,290.00**	**$1,438.74**	**-$5,851.26**

UBS

Account name: CHANGE HAPPENS FOUNDATION
Account number: Y3 04153 FP

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AARONS INC	FIFO	1 000	Nov 14, 06	Feb 24, 09	24 03	25 98	-1 95		1
	FIFO	1 000	Nov 14, 06	Feb 18, 09	24 37	25 98	-1 61		1
	FIFO	46 000	Sep 18, 06	Jan 16, 09	1,236 88	1,170 32		66 56	1
	FIFO	74 000	Nov 14, 06	Jan 16, 09	1,989 77	1,922 30		67 47	1
ALLIANT TECHSYSTEMS INC	FIFO	1 000	Aug 03, 07	Feb 24, 09	71 21	113 90	-42 69		
	FIFO	1 000	Aug 03, 07	Feb 18, 09	78 80	113 90	-35 10		
FIRST CASH FINCL SERVICES	FIFO	7 000	Feb 13, 08	Feb 18, 09	112 41	65 67		46 74	
HEALTH CARE SVCS GROUP INC	FIFO	4 000	Jun 29, 07	Feb 18, 09	63 02	79 18	-16 16		1
HEALTHSPRING INC	FIFO	7 000	Jun 29, 07	Feb 18, 09	104 89	133 33	-28 44		1
IPC HOLDINGS LTD **MERGER 09/08/2009**	FIFO	1 000	Jan 22, 08	Feb 18, 09	29 01	25 55		3 46	
LHC GROUP INC	FIFO	1 000	Aug 03, 07	Feb 18, 09	29 95	22 97		6 98	
LINCOLN ELEC HOLDINGS NEW	FIFO	1 000	Jan 14, 08	Feb 18, 09	41 18	62 73	-21 55		
OWENS & MINOR INC NEW	FIFO	1 000	Apr 25, 07	Feb 18, 09	36 61	36 23		0 38	1
RALCORP HOLDINGS INC NEW	FIFO	14 000	Jun 21, 07	Feb 04, 09	818 64	760 08		58 56	1
SILGAN HOLDINGS INC	FIFO	16 000	Jan 22, 08	Feb 12, 09	803 80	748 71		55 09	
SYBASE INC	FIFO	7 000	Jun 18, 07	Feb 18, 09	196 70	165 08		31 62	1
TYLER TECHNOLOGIES INC	FIFO	9 000	Nov 09, 07	Feb 18, 09	113 96	138 30	-24 34		
UNTD FIRE & CASUALTY CO	FIFO	3 000	Feb 13, 07	Feb 18, 09	58 94	104 26	-45 32		1
WATSON WYATT WORLDWIDE INC CL A	FIFO	4 000	Jan 28, 08	Feb 18, 09	200 94	189 45		11 49	
Total					**$6,035.11**	**$5,903.92**	**-$217.16**	**$348.35**	**$131.19**
Net capital gains/losses:									**-$5,720.07**

[1] Indicates that the cost basis information has been provided by a source other than UBS Financial Services Inc

40,463

End of statement for account number Y3 04153 FP

UBS

UBS Financial Services Inc
555 CALIFORNIA STREET
SUITE 3200
SAN FRANCISCO CA 94104-1608

APZ3002569713 1209 Y3 2

2009 Year End Summary

Duplicate statement for the account of:
CHANGE HAPPENS FOUNDATION
DOUGLAS D TROXEL
NON PROFIT PUBLIC BEN CORP
75-5710 MAMALAHOA HWY
HOLUALOA HI 96725-9630
Account number Y3 04150 FP

00006255 01 AV 0 335 01 TR 00035 B301B061 000000 edg
MOHLER NIXON & WILLIAMS
ATTN · GREG LOMAZZI
635 CAMPBELL TECHNOLOGY PKWY
SUITE 100
CAMPBELL CA 95008-5075

Summary of gains and losses

	Amount ($)
Short term	-11,941 58
Long term	1,499 22
Total	**$-10,442.36**

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order *See Important information about your statement* on the last page of this statement for more information

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
ROYAL BANK SCOTLAND SPON									
ADR	FIFO	6 000	Jun 11, 08	Feb 09, 09	44 39	550 83	-506 44		
	FIFO	94 000	Jun 19, 08	Feb 09, 09	695 40	8,386 24	-7,690 84		

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UBS

Account name: CHANGE HAPPENS FOUNDATION
Account number: Y3 04150 FP

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	32 000	Sep 15, 08	Feb 09, 09	236 73	2,514 08	-2,277 35		
	FIFO	26 000	Sep 16, 08	Feb 09, 09	192 35	1,659 30	-1,466 95		
Total					**$1,168.87**	**$13,110.45**	**-$11,941.58**		**-$11,941.58**

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
LLOYDS BANKING GROUP PLC SPON ADR	LIFO	0 427	Jul 06, 07	Jan 22, 09	1 49	51 65	-50 16		[1]
NIPPON TELEG & TEL CORP SPON ADR	FIFO	25 000	Apr 07, 05	Feb 13, 09	567 48	557 38		10 10	[1]
	FIFO	33 000	Nov 22, 04	Feb 09, 09	755 75	748 96		6 79	[1]
	FIFO	77 000	Apr 07, 05	Feb 09, 09	1,763 41	1,716 73		46 68	[1]
RWE AG ADR	FIFO	23 000	Sep 11, 03	Feb 02, 09	1,769 23	650 90		1,118 33	[1]
	FIFO	2 000	Dec 12, 03	Feb 02, 09	153 85	72 10		81 75	[1]
TOTAL S A FRANCE SPON ADR	FIFO	27 000	Jun 14, 04	Feb 04, 09	1,419 55	1,252 72		166 83	[1]
	FIFO	33 000	Sep 16, 04	Feb 04, 09	1,735 01	1,616 11		118 90	[1]
Total					**$8,165.77**	**$6,666.55**	**-$50.16**	**$1,549.38**	**$1,499.22**
Net capital gains/losses:									**-$10,442.36**

9335

[1] Indicates that the cost basis information has been provided by a source other than UBS Financial Services Inc

End of statement for account number Y3 04150 FP

UBS

UBS Financial Services Inc
555 CALIFORNIA STREET
SUITE 3200
SAN FRANCISCO CA 94104-1608

APZ3002569701 1209 Y3 2

2009 Year End Summary

Duplicate statement for the account of:
CHANGE HAPPENS FOUNDATION
DOUGLAS D TROXEL
NON PROFIT PUBLIC BEN CORP
75-5710 MAMALAHOA HWY
HOLUALOA HI 96725-9630
Account number Y3 04147 FP

00006253 01 AV 0.335 01 TR 00035 B301B061 000000 edg
MOHLER NIXON & WILLIAMS
ATTN GREG LOMAZZI
635 CAMPBELL TECHNOLOGY PKWY
SUITE 100
CAMPBELL CA 95008-5075

Summary of gains and losses

	Amount ($)
Short term	-76,820 64
Long term	-12,252 19
Total	**$-89,072.83**

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order *See Important information about your statement* on the last page of this statement for more information

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Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AMER TOWER CORP CL A	FIFO	165 000	Feb 06, 08	Feb 06, 09	4,986 58	6,215 35	-1,228 77		
	FIFO	15 000	Feb 06, 08	Feb 06, 09	452 57	565 03	-112 46		
AON CORP	FIFO	27 000	Nov 03, 08	Jan 30, 09	999 13	1,123 07	-123 94		

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Realized gains and losses *(continued)*

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	17 000	Nov 11, 08	Jan 30, 09	629 08	682 77	-53 69		
	FIFO	33 000	Nov 21, 08	Jan 30, 09	1,241 89	1,337 97	-96 08		
	FIFO	16 000	Nov 21, 08	Jan 30, 09	592 07	648 71	-56 64		
	FIFO	20 000	Dec 02, 08	Jan 30, 09	752 66	874 24	-121 58		
	FIFO	10 000	Dec 03, 08	Jan 30, 09	376 33	433 04	-56 71		
	FIFO	50 000	Nov 03, 08	Jan 29, 09	1,907 89	2,079 76	-171 87		
	FIFO	26 000	Oct 24, 08	Jan 28, 09	999 69	918.16		81 53	
	FIFO	30 000	Oct 29, 08	Jan 28, 09	1,153 49	1,181 10	-27 61		
	FIFO	5 000	Nov 03, 08	Jan 28, 09	192 25	207 98	-15 73		
	FIFO	21 000	Oct 14, 08	Jan 27, 09	795 89	834 95	-39 06		
	FIFO	33 000	Oct 21, 08	Jan 27, 09	1,250 69	1,258 77	-8 08		
	FIFO	28 000	Oct 22, 08	Jan 27, 09	1,073 82	1,050 00		23 82	
	FIFO	1 000	Oct 22, 08	Jan 27, 09	37 90	37 50		0 40	
	FIFO	29 000	Oct 23, 08	Jan 27, 09	1,112 17	1,035 73		76 44	
	FIFO	5 000	Oct 24, 08	Jan 27, 09	191 75	176 57		15 18	
	FIFO	12 000	Oct 10, 08	Jan 26, 09	466 22	456 00		10 22	
	FIFO	28 000	Oct 14, 08	Jan 26, 09	1,087.84	1,113 27	-25 43		
	FIFO	48 000	Oct 09, 08	Jan 23, 09	1,919 02	2,053 44	-134 42		
	FIFO	11 000	Oct 09, 08	Jan 23, 09	440 01	470 58	-30 57		
	FIFO	51 000	Oct 10, 08	Jan 23, 09	2,040 06	1,938 00		102 06	
APOLLO GROUP INC CL A	FIFO	38 000	Oct 29, 08	Jan 09, 09	3,280 76	2,479 78		800 98	
	FIFO	36 000	Oct 29, 08	Jan 07, 09	2,737 62	2,349 26		388 36	
BROADCOM CORP CL A	FIFO	70 000	Dec 19, 08	Feb 24, 09	1,098 89	1,243 70	-144 81		
	FIFO	96 000	Dec 22, 08	Feb 24, 09	1,507 05	1,652 51	-145 46		
	FIFO	68 000	Dec 23, 08	Feb 24, 09	1,067 50	1,173 46	-105 96		
	FIFO	35 000	Dec 17, 08	Feb 23, 09	555 25	665 08	-109 83		
	FIFO	120 000	Dec 17, 08	Feb 23, 09	1,903 73	2,236 37	-332 64		
	FIFO	73 000	Dec 19, 08	Feb 23, 09	1,158 10	1,297 00	-138 90		
	FIFO	15 000	Dec 15, 08	Feb 20, 09	245 96	260 12	-14 16		
	FIFO	58 000	Dec 16, 08	Feb 20, 09	951 05	1,089 77	-138 72		
	FIFO	205 000	Dec 17, 08	Feb 20, 09	3,361 48	3,895 49	-534 01		

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	90 000	Dec 12, 08	Jan 28, 09	1,629 89	1,590 82		39 07	
	FIFO	49 000	Dec 15, 08	Jan 28, 09	887 38	849 71		37 67	
COVIDIEN LTD **NAME CHANGE 2009**	FIFO	61 000	Sep 12, 08	Jan 29, 09	2,349 75	3,416 00	-1,066 25		
DELTA AIR LINES INC DELA NEW	FIFO	145 000	Jan 21, 09	Feb 02, 09	1,014 99	1,415 72	-400 73		
	FIFO	203 000	Jan 22, 09	Feb 02, 09	1,420 99	2,125 41	-704 42		
	FIFO	210 000	Jan 27, 09	Feb 02, 09	1,469 99	1,705 20	-235 21		
	FIFO	182 000	Jan 20, 09	Jan 30, 09	1,284 47	2,107 16	-822 69		
	FIFO	101 000	Jan 21, 09	Jan 30, 09	712 81	986 12	-273 31		
	FIFO	364 000	Jan 20, 09	Jan 29, 09	2,944 08	4,214 32	-1,270 24		
EMC CORP MASS	FIFO	125 000	Oct 31, 08	Feb 10, 09	1,495 34	1,455 66		39 68	
FIRST SOLAR INC	FIFO	26 000	May 30, 08	Feb 18, 09	3,431 14	7,149 22	-3,718 08		
	FIFO	28 000	Jun 06, 08	Feb 18, 09	3,695 08	7,223 68	-3,528 60		
	FIFO	4 000	Jun 11, 08	Feb 18, 09	527 87	1,032 94	-505 07		
	FIFO	16 000	May 30, 08	Feb 10, 09	2,401 21	4,399 52	-1,998 31		
	FIFO	15 000	May 01, 08	Feb 06, 09	2,219 04	4,003 26	-1,784 22		
GOLDMAN SACHS GROUP INC	FIFO	12 000	Jan 02, 09	Feb 23, 09	1,006 51	1,001 11		5 40	
	FIFO	37 000	Jan 05, 09	Feb 23, 09	3,103 40	3,197 80	-94 40		
	FIFO	18 000	Dec 31, 08	Feb 20, 09	1,457 21	1,518 38	-61 17		
	FIFO	27 000	Jan 02, 09	Feb 20, 09	2,185 81	2,252 50	-66 69		
	FIFO	27 000	Dec 31, 08	Feb 06, 09	2,557 31	2,277 57		279 74	
	FIFO	6 000	Dec 31, 08	Jan 20, 09	394 14	506 13	-111 99		
GOOGLE INC CL A	FIFO	5 000	Jul 24, 08	Feb 23, 09	1,675 44	2,413 79	-738 35		
	FIFO	5 000	Aug 12, 08	Feb 23, 09	1,675 44	2,524 06	-848 62		
	FIFO	5 000	Jul 24, 08	Feb 10, 09	1,854 98	2,413 79	-558 81		
INTL BUSINESS MACH	FIFO	19 000	May 13, 08	Jan 22, 09	1,680 20	2,404 88	-724 68		
JPMORGAN CHASE & CO	FIFO	50 000	Oct 13, 08	Feb 18, 09	1,073 39	2,077 81	-1,004 42		
	FIFO	69 000	Nov 10, 08	Feb 18, 09	1,481 27	2,527 10	-1,045 83		
	FIFO	55 000	Nov 11, 08	Feb 18, 09	1,180 73	1,963 50	-782 77		
	FIFO	7 000	Nov 25, 08	Feb 18, 09	150 27	207 32	-57 05		

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	64 000	Oct 10, 08	Feb 17, 09	1,439 12	2,597 15	-1,158 03		
	FIFO	16 000	Oct 13, 08	Feb 17, 09	359 78	664 90	-305 12		
	FIFO	37 000	Oct 10, 08	Feb 13, 09	926 16	1,501 47	-575 31		
	FIFO	108 000	Oct 09, 08	Jan 21, 09	2,277 74	4,103 25	-1,825 51		
	FIFO	19 000	Oct 10, 08	Jan 21, 09	400 71	771 03	-370 32		
	FIFO	38 000	Oct 09, 08	Jan 15, 09	984 38	1,443 74	-459 36		
LOCKHEED MARTIN CORP	FIFO	16 000	Dec 05, 08	Feb 11, 09	1,247 21	1,268 05	-20 84		
	FIFO	29 000	Dec 11, 08	Feb 11, 09	2,260 56	2,215 25		45 31	
	FIFO	20 000	Dec 12, 08	Feb 11, 09	1,559.01	1,553 70		5 31	
	FIFO	20 000	Dec 04, 08	Feb 10, 09	1,559 99	1,542 46		17 53	
	FIFO	39 000	Dec 05, 08	Feb 10, 09	3,041 98	3,090 88	-48 90		
MCDONALDS CORP	FIFO	35 000	Aug 11, 08	Feb 20, 09	1,915 55	2,302 57	-387 02		
PNC FINANCIAL SERVICES GROUP	FIFO	52 000	Nov 05, 08	Jan 20, 09	1,308 00	3,686 78	-2,378 78		
	FIFO	25 000	Nov 05, 08	Jan 20, 09	628 85	1,822 88	-1,194 03		
	FIFO	35 000	Nov 05, 08	Jan 20, 09	926 71	2,552 03	-1,625 32		
	FIFO	35 000	Nov 07, 08	Jan 20, 09	926 71	2,318 82	-1,392 11		
	FIFO	24 000	Nov 10, 08	Jan 20, 09	635 46	1,609 68	-974 22		
	FIFO	34 000	Nov 11, 08	Jan 20, 09	900 23	2,178 67	-1,278 44		
	FIFO	30 000	Nov 13, 08	Jan 20, 09	794 32	1,830 19	-1,035 87		
	FIFO	40 000	Nov 17, 08	Jan 20, 09	1,059 09	2,409 52	-1,350 43		
	FIFO	6 000	Nov 25, 08	Jan 20, 09	158 86	307 01	-148 15		
	FIFO	50 000	Dec 03, 08	Jan 20, 09	1,323 87	2,261 98	-938 11		
	FIFO	61 000	Dec 09, 08	Jan 20, 09	1,615 12	3,378 74	-1,763 62		
	FIFO	19 000	Nov 04, 08	Jan 16, 09	715 03	1,379 69	-664 66		
	FIFO	37 000	Nov 05, 08	Jan 16, 09	1,392 43	2,623 29	-1,230 86		
	FIFO	31 000	Nov 04, 08	Jan 15, 09	1,261 94	2,251 07	-989 13		
PRAXAIR INC	FIFO	31 000	Jul 18, 08	Feb 09, 09	2,169 98	2,827 81	-657 83		
PROCTER & GAMBLE CO	FIFO	1 000	Sep 29, 08	Feb 11, 09	51 00	67 52	-16 52		
	FIFO	49 000	Oct 02, 08	Feb 11, 09	2,498 91	3,496 64	-997 73		
	FIFO	53 000	Oct 03, 08	Feb 11, 09	2,702 90	3,729 28	-1,026 38		
	FIFO	66 000	Oct 09, 08	Feb 11, 09	3,365 87	4,268 77	-902 90		

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	12 000	Oct 10, 08	Feb 11, 09	611 98	689 52	-77 54		
	FIFO	15 000	Nov 10, 08	Feb 11, 09	764 97	972 75	-207 78		
	FIFO	18 000	Nov 11, 08	Feb 11, 09	917 97	1,155 96	-237 99		
	FIFO	121 000	Sep 29, 08	Jan 23, 09	6,776 47	8,169 70	-1,393 23		
QUALCOMM INC	FIFO	102 000	Jul 02, 08	Feb 03, 09	3,481 55	4,661 40	-1,179 85		
	FIFO	234 000	Jul 09, 08	Feb 03, 09	7,987 08	11,134 05	-3,146 97		
	FIFO	20 000	Jul 24, 08	Feb 03, 09	682 66	1,048 02	-365 36		
RESEARCH IN MOTION LTD	FIFO	113 000	Jan 16, 09	Feb 11, 09	5,369 08	5,570 90	-201 82		
	FIFO	95 000	Jan 20, 09	Feb 11, 09	4,513 83	4,932 13	-418 30		
	FIFO	32 000	Jan 16, 09	Feb 02, 09	1,794 26	1,577 60		216 66	
WAL MART STORES INC	FIFO	50 000	May 09, 08	Jan 21, 09	2,442 85	2,864 86	-422 01		
WEATHERFORD INTL INC (BERMUDA) ** EXCHANGE EFF 2/26/09 **	FIFO	58 000	Jul 11, 08	Jan 20, 09	563 14	2,406 68	-1,843 54		
	FIFO	138 000	Jul 22, 08	Jan 20, 09	1,339 90	5,345 39	-4,005 49		
	FIFO	254 000	Jul 22, 08	Jan 20, 09	2,517 76	9,838 61	-7,320 85		
	FIFO	85 000	Aug 13, 08	Jan 20, 09	842 56	3,244 38	-2,401 82		
	FIFO	200 000	Sep 16, 08	Jan 20, 09	1,982 49	5,681 40	-3,698 91		
Total					**$176,522.54**	**$253,343.18**	**-$79,006.00**	**$2,185.36**	**-$76,820.64**

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AMER TOWER CORP CL A	FIFO	47 000	Jan 14, 08	Feb 06, 09	1,418 06	1,874 89	-456 83		
CISCO SYSTEMS INC	FIFO	228 000	Dec 18, 07	Jan 30, 09	3,476 98	6,418 63	-2,941 65		
CVS CAREMARK CORP	FIFO	119 000	Aug 10, 07	Jan 09, 09	3,117 78	4,502 39	-1,384 61		
	FIFO	89 000	Nov 16, 07	Jan 09, 09	2,331 78	3,755 22	-1,423 44		
GILEAD SCIENCES INC	FIFO	28 000	Jul 10, 07	Jan 05, 09	1,432 05	1,120 05		312 00	
THERMO FISHER SCIENTIFIC INC	FIFO	8 000	Nov 12, 07	Feb 05, 09	309 60	459 73	-150 13		
	FIFO	5 000	Dec 18, 07	Feb 05, 09	193 50	283 53	-90 03		
	FIFO	41 000	Jan 10, 08	Feb 05, 09	1,586 70	2,308 58	-721 88		

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UBS

Account name: CHANGE HAPPENS FOUNDATION
Account number: Y3 04147 FP

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	40 000	Nov 09, 07	Feb 04, 09	1,521 99	2,310 89	-788 90		
	FIFO	28 000	Nov 09, 07	Feb 04, 09	1,057 62	1,617 62	-560 00		
	FIFO	132 000	Nov 12, 07	Feb 04, 09	5,022 57	7,585 51	-2,562 94		
	FIFO	39 000	Jun 14, 07	Jan 21, 09	1,398 44	2,071 37	-672 93		
	FIFO	37 000	Nov 09, 07	Jan 21, 09	1,326 73	2,137 58	-810 85		
Total					**$24,193.80**	**$36,445.99**	**-$12,564.19**	**$312.00**	**-$12,252.19**
Net capital gains/losses:									**-$89,072.83**

[1] Indicates that the cost basis information has been provided by a source other than UBS Financial Services Inc

200,717

UBS

UBS Financial Services Inc
555 CALIFORNIA STREET
SUITE 3200
SAN FRANCISCO CA 94104-1608

APZ3002569741 1209 Y3 1

2009 Year End Summary

Duplicate statement for the account of:
CHANGE HAPPENS FOUNDATION
DOUGLAS D TROXEL
NON PROFIT PUBLIC BEN CORP
75-5710 MAMALAHOA HWY
HOLUALOA HI 96725-9630
Account number Y3 04155 FP

00006260 01 AV 0.335 01 TR 00035 B301B061 000000 edg
MOHLER NIXON & WILLIAMS
BILL KELLEHER
635 CAMPBELL TECHNOLOGY PKWY
SUITE 100
CAMPBELL CA 95008-5075

Summary of gains and losses

	Amount ($)
Short term	94,912 59
Long term	1,194 23
Total	**$96,106.82**

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order *See Important information about your statement* on the last page of this statement for more information

We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
FHLMC PL G03820 06 5000 DUE 01/01/38	FIFO	155,014 000	Feb 14, 08	Jan 08, 09	133,939 39	133,108 28		831 11	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
FNMA PL 889072 06 5000 DUE 12/01/37	FIFO	197,000 000	May 07, 08	Jan 08, 09	172,362 58	171,099 00		1,263 58	
FNMA PL 889298 05 0000 DUE 12/01/36	FIFO	248,000 000	Nov 10, 08	Jan 14, 09	240,275 72	227,252 45		13,023 27	
FNMA PL 973006 05 0000 DUE 03/01/38	FIFO	355,000 000	Feb 20, 08	Jan 14, 09	347,427 37	323,967 47		23,459 90	
FNMA PL 973575 05 5000 DUE 03/01/38	FIFO	526,000 000	Nov 19, 08	Jan 21, 09	495,415 31	483,839 84		11,575 47	
FNMA PL 975116 05 0000 DUE 05/01/38	FIFO	11,000 000	Aug 21, 08	Jan 14, 09	11,071 70	10,238 35		833 35	
FHLMC 05 625 % DUE 031511 DTD 031501 FC 09152001	FIFO	10,000 000	Mar 14, 08	Feb 11, 09	10,817 00	10,814 06		2 94	
	FIFO	142,000 000	Sep 10, 08	Feb 11, 09	153,601 40	150,822 60		2,778 80	
	FIFO	195,000 000	Sep 23, 08	Feb 11, 09	210,931 50	206,131 19		4,800 31	
FNMA NTS 04 750 % DUE 121510 DTD 112305 FC 12152005	FIFO	168,000 000	Dec 03, 08	Feb 12, 09	178,128 55	175,845 77		2,282 78	
	FIFO	42,000 000	Nov 10, 08	Feb 09, 09	44,514 79	43,712 05		802 74	
	FIFO	71,000 000	Dec 03, 08	Feb 09, 09	75,251 19	74,315 77		935 42	
	FIFO	98,000 000	Nov 10, 08	Jan 27, 09	104,105 40	101,994 77		2,110 63	
FNMA NTS SER 1 04 750 % DUE 111912 DTD 101807 FC 11192007	FIFO	246,000 000	Jul 30, 08	Feb 19, 09	268,692 27	252,458 56		16,233 71	
UNITED STATES TREAS BOND 04 500 % DUE 05/15/38 DTD 08/15/08 FC 11/15/08	FIFO	51,000 000	Dec 03, 08	Jan 30, 09	59,366 98	63,200 36	-3,833 38		
US TSY BOND 6 8750% DUE 08/15/25 DTD 08/15/95 FC 02/15/96	FIFO	41,000 000	Jun 20, 08	Feb 06, 09	56,810 46	51,256 41		5,554 05	
US TSY NOTE 04 500 % DUE 05/15/17 DTD 05/15/07 FC 11/15/07	FIFO	39,000 000	Sep 09, 08	Jan 23, 09	44,500 97	41,976 95		2,524 02	
	FIFO	8,000 000	Sep 22, 08	Jan 23, 09	9,128 41	8,410 34		718 07	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	102,000 000	Sep 09, 08	Jan 20, 09	118,801 70	109,785 88		9,015 82	
Total					**$2,735,142 69**	**$2,640,230.10**	**-$3,833 38**	**$98,745.97**	**$94,912 59**

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
FHLMC 05 625 % DUE 031511 DTD 031501 FC 09152001	FIFO	98,000 000	Jan 11, 08	Feb 11, 09	106,006 60	104,812 37		1,194 23	1,194 23
Net capital gains/losses:									**$96,106 82**

Gains and losses not calculated

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
FHLMC 05 625 % DUE 031511 DTD 031501 FC 09152001		221,000 000		Mar 03, 09	238,970 00	238,970 00			
FHLMC 05 750 % DUE 011512 DTD 011402 FC 07152002		254,000 000		Mar 04, 09	280,643 92	280,643.92			
UNITED STATES TREAS BOND 04 500 % DUE 05/15/38 DTD 08/15/08 FC 11/15/08		111,000 000		Mar 04, 09	126,538 64	126,538 64			
US TSY NOTE 04 000 % DUE 08/15/18 DTD 08/15/08 FC 02/15/09		86,000 000		Mar 03, 09	93,616 83	93,616.83			
US TSY NOTE 04 500 % DUE 05/15/17 DTD 05/15/07 FC 11/15/07		63,000 000		Mar 03, 09	70,864 58	70,864.58			
Total					916,641	915,446			

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 94.00% of Portfolio								
Common Stocks								
WEATHERFORD INTL LTD REG								
ISIN#CH0038838394								
Dividend Option: Cash								
Security Identifier WFT								
955 000	03/09/09	10 8680	10,378 94	17 9300	17,123.15	6,744.21		
225 000	08/26/09	20 1700	4,538 25	17 9300	4,034 25	-504 00		
360 000	11/23/09	16 4220	5,911 88	17 9300	6,454 80	542 92		
1,540.000	**Total**		**$20,829.07**		**$27,612.20**	**$6,783.13**	**$0.00**	
ACTIVISION BLIZZARD INC COM								
Dividend Option Cash								
Security Identifier ATVI								
800 000	03/09/09	10 2190	8,175.20	11 1100	8,888 00	712 80		
300 000	08/26/09	11.7080	3,512.40	11 1100	3,333 00	-179.40		
650 000	09/15/09	11 5360	7,498 27	11 1100	7,221 50	-276 77		
460 000	10/29/09	11 0200	5,069 20	11 1100	5,110 60	41 40		
195 000	12/16/09	10.9550	2,136.15	11 1100	2,166 45	30 30		
2,405.000	**Total**		**$26,391.22**		**$26,719.55**	**$328.33**	**$0.00**	
AKAMAI TECHNOLOGIES INC COM								
Dividend Option Cash								
Security Identifier AKAM								
940 000	03/09/09	16 4580	15,470 91	25 3300	23,810.20	8,339.29		
400 000	08/26/09	18 0280	7,211 20	25 3300	10,132.00	2,920 80		
1,340.000	**Total**		**$22,682.11**		**$33,942.20**	**$11,260.09**	**$0.00**	
APACHE CORP								
Dividend Option. Cash								
Security Identifier APA								
230 000	03/09/09	53.0980	12,212 59	103 1700	23,729.10	11,516 51	138.00	0 58%
45 000	08/26/09	85.3500	3,840.75	103.1700	4,642 65	801 90	27 00	0 58%
275.000	**Total**		**$16,053.34**		**$28,371.75**	**$12,318.41**	**$165.00**	

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
BANK OF NEW YORK MELLON CORP COM								
Dividend Option Cash								
Security Identifier BK								
475 000	10/08/09	28 3370	13,460 27	27 9700	13,285 75	-174 52	171 00	1 28%
BAXTER INTERNATIONAL INC								
ISIN#US0718131099								
Dividend Option Cash								
Security Identifier BAX								
450 000	06/05/09	47 7800	21,500 78	58 6800	26,406 00	4,905.22	522 00	1 97%
BECTON DICKINSON & CO								
Dividend Option Cash								
Security Identifier BDX								
190 000	05/06/09	61 4180	11,669 48	78 8600	14,983 40	3,313 92	281 20	1 87%
155 000	08/26/09	69 7760	10,815 28	78 8600	12,223.30	1,408 02	229 40	1 87%
345.000	**Total**		**$22,484.76**		**$27,206.70**	**$4,721.94**	**$510.60**	
BRISTOL MYERS SQUIBB CO COM								
Dividend Option. Cash								
Security Identifier BMY								
840 000	03/09/09	18 9990	15,959 33	25 2500	21,210 00	5,250 67	1,041 60	4 91%
225 000	05/28/09	19 5260	4,393 28	25 2500	5,681 25	1,287 97	279 00	4 91%
1,065.000	**Total**		**$20,352.61**		**$26,891.25**	**$6,538.64**	**$1,320.60**	
CISCO SYSTEMS INC								
Dividend Option Cash								
Security Identifier CSCO								
965 000	03/09/09	13 9380	13,450.17	23 9400	23,102 10	9,651.93		
125 000	08/26/09	21 9880	2,748 50	23 9400	2,992 50	244 00		
1,090.000	**Total**		**$16,198.67**		**$26,094.60**	**$9,895.93**	**$0.00**	
COMCAST CORP CL A								
Dividend Option Cash								
Security Identifier CMCSA								
1,320 000	03/09/09	11 2980	14,913.89	16 8600	22,255 20	7,341.31	356 40	1.60%
250 000	08/26/09	15 2680	3,817 00	16 8600	4,215 00	398 00	67 50	1 60%
1,570.000	**Total**		**$18,730.89**		**$26,470.20**	**$7,739.31**	**$423.90**	
DELL INC COM								
Dividend Option Cash								
Security Identifier DELL								
1,590 000	03/09/09	8 1480	12,955 95	14.3600	22,832.40	9,876.45		

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
DOLE FOOD CO INC NEW								
Dividend Option: Cash								
Security Identifier DOLE								
1,150 000	12/10/09	11 8950	13,679 71	12.4100	14,271.50	591 79		
EBAY INC COM								
Dividend Option Cash								
Security Identifier EBAY								
1,070 000	03/09/09	10 4180	11,147.69	23.5400	25,187 80	14,040.11		
ENERGIZER HLDGS INC COM								
Dividend Option: Cash								
Security Identifier ENR								
265.000	03/09/09	38 6080	10,231.12	61 2800	16,239 20	6,008 08		
80 000	05/14/09	49 5800	3,966.40	61.2800	4,902.40	936.00		
345.000	**Total**		**$14,197.52**		**$21,141.60**	**$6,944.08**	**$0.00**	
GILEAD SCIENCES INC								
Dividend Option Cash								
Security Identifier GILD								
245 000	08/14/09	44.4550	10,891.43	43.2800	10,603 60	-287.83		
35 000	08/26/09	46.5100	1,627 85	43.2800	1,514.80	-113.05		
260 000	10/02/09	44 7150	11,625 77	43.2800	11,252.80	-372.97		
80 000	12/28/09	42 9960	3,439 66	43.2800	3,462.40	22.74		
620.000	**Total**		**$27,584.71**		**$26,833.60**	**-$751.11**	**$0.00**	
HALLIBURTON CO COM								
Dividend Option. Cash								
Security Identifier HAL								
630 000	03/09/09	16 1780	10,192.39	30.0900	18,956 70	8,764 31	226 80	1 19%
HARRIS CORP DEL								
Dividend Option. Cash								
Security Identifier. HRS								
445 000	03/09/09	30 5780	13,607.39	47.5500	21,159 75	7,552 36	391 60	1 85%
140 000	04/16/09	28 4880	3,988.31	47.5500	6,657.00	2,668.69	123 20	1 85%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
HARRIS CORP DEL *(continued)*								
50 000	08/26/09	35 4300	1,771 50	47 5500	2,377 50	606 00	44 00	1 85%
635.000	**Total**		**$19,367.20**		**$30,194.25**	**$10,827.05**	**$558.80**	
HARRIS STRATEX NETWORKS INC COM								
Dividend Option Cash								
Security Identifier HSTX								
156 000	05/27/09	5 3660	837.10	6 9100	1,077 96	240 86		
INTUIT INCORPORATED COM								
Dividend Option Cash								
Security Identifier INTU								
470.000	09/10/09	28 0070	13,163 38	30 7100	14,433 70	1,270 32		
L 3 COMMUNICATIONS HLDGS INC COM								
Dividend Option Cash								
Security Identifier LLL								
260 000	03/09/09	58 3980	15,183 35	86 9500	22,607 00	7,423 65	364 00	1.61%
25 000	07/07/09	64 0900	1,602 25	86 9500	2,173 75	571 50	35 00	1 61%
285.000	**Total**		**$16,785.60**		**$24,780.75**	**$7,995.15**	**$399.00**	
MARKEL CORP COM								
Dividend Option Cash								
Security Identifier MKL								
70 000	03/09/09	215 6900	15,098 30	340 0000	23,800 00	8,701 70		
MCKESSON CORP COM								
Dividend Option Cash								
Security Identifier MCK								
390 000	03/09/09	38 9990	15,209 69	62 5000	24,375 00	9,165 31	187 20	0 76%
35 000	08/26/09	57 0500	1,996 75	62 5000	2,187 50	190 75	16 80	0 76%
425.000	**Total**		**$17,206.44**		**$26,562.50**	**$9,356.06**	**$204.00**	
NALCO HLDG CO COM								
Dividend Option Cash								
Security Identifier NLC								
395 000	03/09/09	9 8600	3,894 66	25 5100	10,076 45	6,181 79	55 30	0 54%
300 000	08/26/09	17 9600	5,387 91	25 5100	7,653.00	2,265 09	42 00	0.54%
695.000	**Total**		**$9,282.57**		**$17,729.45**	**$8,446.88**	**$97.30**	
RALCORP HOLDINGS INC NEW COM								
Dividend Option Cash								
Security Identifier RAH								
230 000	10/06/09	58 0440	13,350 01	59 7100	13,733 30	383 29		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
RALCORP HOLDINGS INC NEW COM *(continued)*								
250 000	11/17/09	54.1780	13,544.58	59 7100	14,927.50	1,382 92		
480.000	**Total**		**$26,894.59**		**$28,660.80**	**$1,766.21**	**$0.00**	
SPX CORPORATION								
Dividend Option Cash								
Security Identifier SPW								
130 000	03/09/09	42 7320	5,555.16	54 7000	7,111 00	1,555 84	130 00	1 82%
85 000	04/15/09	40 9460	3,480 45	54 7000	4,649.50	1,169 05	85 00	1 82%
215.000	**Total**		**$9,035.61**		**$11,760.50**	**$2,724.89**	**$215.00**	
SYMANTEC CORP								
Dividend Option Cash								
Security Identifier. SYMC								
1,085 000	03/09/09	12.7890	13,876 07	17 8900	19,410 65	5,534 58		
475 000	08/26/09	15.4480	7,337.80	17 8900	8,497.75	1,159 95		
1,560.000	**Total**		**$21,213.87**		**$27,908.40**	**$6,694.53**	**$0.00**	
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR								
ISIN#US8816242098								
Dividend Option: Cash								
Security Identifier TEVA								
350 000	03/09/09	43 1890	15,116 15	56 1800	19,663.00	4,546 85	168 86	0 85%
100 000	08/26/09	52.2960	5,229 60	56.1800	5,618 00	388 40	48 25	0 85%
450.000	**Total**		**$20,345.75**		**$25,281.00**	**$4,935.25**	**$217.11**	
UNITEDHEALTH GROUP INC COM								
Dividend Option Cash								
Security Identifier UNH								
670 000	03/09/09	17 9000	11,992 93	30 4800	20,421 60	8,428.67	20.10	0 09%
135 000	08/26/09	29 4870	3,980 79	30 4800	4,114 80	134.01	4.05	0.09%
805.000	**Total**		**$15,973.72**		**$24,536.40**	**$8,562.68**	**$24.15**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
YUM BRANDS INC COM								
Dividend Option. Cash								
Security Identifier YUM								
515 000	03/09/09	23 6590	12,184 49	34 9700	18,009.55	5,825 06	432 60	2 40%
165.000	08/26/09	35 2980	5,824 20	34 9700	5,770 05	-54.15	138 60	2 40%
680.000	**Total**		**$18,008.69**		**$23,779.60**	**$5,770.91**	**$571.20**	
Total Common Stocks			**$491,654.51**		**$672,729.11**	**$181,074.60**	**$5,626.46**	
Total Equities			**$491,654.51**		**$672,729.11**	**$181,074.60**	**$5,626.46**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$532,290.31**	**$713,364.91**	**$181,074.60**	**$0.00**	**$5,626.46**

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you A summary of this information is available to you promptly upon your written request directed to your introducing firm In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized This Period
Short-Term Gain/Loss	4,272 95	6,862 34	45,140 09
Long-Term Gain/Loss	2,075 46	-6,982 98	27,800 03
Net Gain/Loss	**6,348.41**	**-120.64**	**72,940.12**

This summary excludes transactions where cost basis information is not available.

For Your Information

Effective immediately CSSU clients who engage in short sales will be required to pay the costs incurred by CSSU (and or Pershing LLC, as clearing broker and custodian for accounts introduced by CSSU to Pershing) when borrowing securities to cover short transactions ("Borrowing Costs").

Customer Service Information

Your Relationship Manager: 33X	Contact Information	Customer Service Information
SANFORD M KATZ PRIVATE BANKING USA 650 CALIFORNIA STREET 31ST FLOOR SAN FRANCISCO CA 94108-2612	**Telephone Number:** (800) 227-4492 **Fax Number:** (415) 249-2060	**Web Site:** www credit-suisse com
Portfolio Manager: NATIXIS ASSET MANAGEMENT ADVISORS, LP		**Portfolio Investment Style:** SMALL CAPITALIZATION VALUE

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 5.00% of Portfolio									
Cash Balance				4 00	0 00				
Money Market									
DREYFUS TREASURY & AGENCY INV									
24,368 350	12/01/09	0000005530	12/31/09	11,377 51	24,368 35	0 00	0 00	0 00%	0 00%
Total Money Market				**$11,377.51**	**$24,368.35**	**$0.00**	**$0.00**		
Total Cash, Money Funds, and FDIC Deposits				**$11,381.51**	**$24,368.35**	**$0.00**	**$0.00**		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 93.00% of Portfolio								
Common Stocks								
3ASPEN INSURANCE HLDGS LTD SHS								
ISIN#BMG053841059								
Dividend Option Cash								
Security Identifier AHL								
54 000	07/17/08	23 2050	1,253 08	25 4500	1,374 30	121 22	32 40	2 35%
2 000	09/23/08	25 9900	51 98	25 4500	50 90	-1 08	1 20	2 35%
4 000	10/09/08	19 4780	77 91	25 4500	101 80	23 89	2 40	2 35%
19 000	12/19/08	23 0450	437 85	25 4500	483 55	45 70	11 40	2 35%
13 000	01/26/09	21 8770	284 40	25 4500	330 85	46 45	7 80	2 35%
10 000	03/11/09	19 8600	198 60	25 4500	254 50	55 90	6 00	2 35%
4 000	04/29/09	21 7980	87 19	25 4500	101 80	14 61	2 40	2 35%
5 000	05/27/09	22 6900	113 45	25 4500	127 25	13 80	3 00	2 35%
34.000	06/17/09	22 7500	773.50	25 4500	865 30	91 80	20 40	2 35%
145.000	**Total**		**$3,277.96**		**$3,690.25**	**$412.29**	**$87.00**	
ASSURED GUARANTY LTD COM								
ISIN#BMG0585R1060								
Dividend Option Cash								
Security Identifier AGO								
70.000	08/07/09	17 5000	1,224 98	21 8080	1,526 56	301 58	12 60	0 82%
27 000	08/17/09	16 8240	454.25	21 8080	588 82	134 57	4 86	0 82%
56 000	08/24/09	20 0000	1,120 00	21 8080	1,221 25	101 25	10 08	0 82%
52 000	10/20/09	19 5760	1,017 96	21 8080	1,134 02	116 06	9 36	0 82%
20 000	12/21/09	22 3840	447 68	21 8080	436 15	-11 53	3 60	0 82%
225.000	**Total**		**$4,264.87**		**$4,906.80**	**$641.93**	**$40.50**	
MF GLOBAL LTD SHS								
ISIN#BMG606421086								
Dividend Option Cash								
Security Identifier MF								
414 000	10/21/09	8 1620	3,379 24	6 9500	2,877 30	-501 94		
61 000	10/28/09	7 2500	442 25	6 9500	423 95	-18 30		
93 000	11/06/09	6 8290	635 13	6 9500	646.35	11 22		

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
MF GLOBAL LTD SHS *(continued)*								
36 000	12/21/09	7 0300	253 08	6 9500	250 20	-2 88		
604.000	**Total**		**$4,709.70**		**$4,197.80**	**-$511.90**	**$0.00**	
VANTAGE DRILLING CO ORD SHS								
ISIN#KYG932051132								
Dividend Option Cash								
Security Identifier VTG								
596 000	08/28/09	1 6500	983 40	1 6100	959 56	-23 84		
196 000	10/23/09	1 9900	390 04	1.6100	315 56	-74 48		
792.000	**Total**		**$1,373.44**		**$1,275.12**	**-$98.32**	**$0.00**	
3AARONS INC COM								
Dividend Option: Cash								
Security Identifier AAN								
57 000	08/03/07	23 3800	1,332 66	27.7300	1,580 61	247 95	4 10	0 25%
15 000	10/03/07	21.9800	329 70	27 7300	415 95	86 25	1 08	0.25%
5 000	01/09/08	17 1900	85 95	27 7300	138 65	52 70	0 36	0 25%
1 000	02/23/09	24 8300	24 83	27 7300	27 73	2 90	0 07	0 25%
20 000	03/11/09	23 8400	476 80	27 7300	554 60	77 80	1 44	0 25%
22 000	04/29/09	34 5700	760 54	27 7300	610 06	-150 48	1 58	0 25%
15 000	07/30/09	27.4700	412 05	27 7300	415 95	3 90	1 08	0 25%
11 000	09/01/09	25 7490	283 24	27 7300	305 03	21 79	0 79	0 25%
7.000	09/02/09	25 5890	179 12	27 7300	194 11	14 99	0 50	0.25%
25 000	09/25/09	26 1200	653 00	27 7300	693.25	40 25	1 80	0 25%
28 000	12/10/09	27.2600	763 28	27 7300	776 44	13 16	2 01	0 25%
13 000	12/16/09	28 5780	371 51	27.7300	360.49	-11 02	0 95	0 25%
219.000	**Total**		**$5,672.68**		**$6,072.87**	**$400.19**	**$15.76**	
3ACTUANT CORP CL A NEW								
Dividend Option Cash								
Security Identifier ATU								
99 000	07/25/08	30 7180	3,041 13	18 5300	1,834 47	-1,206 66	3 96	0 21%
19 000	12/04/08	17 5650	333 74	18 5300	352 07	18 33	0 76	0 21%
20 000	01/16/09	17 0570	341.13	18 5300	370 60	29 47	0 80	0 21%
7 000	01/26/09	16.5190	115 63	18 5300	129 71	14 08	0 28	0 21%
12 000	02/12/09	15 3770	184 52	18 5300	222 36	37 84	0 48	0 21%
4 000	03/25/09	9.5900	38 36	18.5300	74 12	35 76	0 16	0 21%
15 000	04/13/09	11 8260	177 39	18 5300	277 95	100 56	0 60	0 21%
19 000	04/29/09	11 9000	226 10	18 5300	352 07	125 97	0 76	0 21%
64 000	06/25/09	12 4780	798 62	18 5300	1,185 92	387.30	2 56	0 21%
15 000	07/09/09	10 4990	157 49	18 5300	277 95	120 46	0.60	0 21%
29 000	07/31/09	12 8600	372 94	18 5300	537.37	164 43	1 16	0 21%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ACTUANT CORP CL A NEW *(continued)*								
28 000	10/12/09	16 9000	473 19	18 5300	518 84	45 65	1 12	0 21%
39 000	12/28/09	19 3650	755 22	18 5300	722 67	-32 55	1 56	0.21%
370.000	**Total**		**$7,015.46**		**$6,856.10**	**-$159.36**	**$14.80**	
3AIRGAS INC								
Dividend Option Cash								
Security Identifier ARG								
36 000	01/28/08	42 7830	1,540 18	47 6000	1,713 60	173 42	25 92	1 51%
50 000	03/18/08	43 8950	2,194 74	47 6000	2,380 00	185.26	36 00	1 51%
18 000	07/28/08	55 5840	1,000 51	47 6000	856 80	-143 71	12.96	1.51%
7.000	09/23/08	50 4660	353.26	47 6000	333 20	-20 06	5 04	1 51%
8 000	10/07/08	42 0940	336 75	47 6000	380 80	44 05	5 76	1 51%
4 000	12/19/08	37 0680	148.27	47 6000	190 40	42 13	2 88	1 51%
6 000	01/26/09	38 1980	229 19	47 6000	285 60	56 41	4 32	1 51%
10 000	03/11/09	28 9300	289 30	47 6000	476 00	186 70	7.20	1.51%
2 000	04/29/09	42.5100	85 02	47.6000	95.20	10.18	1 44	1 51%
141.000	**Total**		**$6,177.22**		**$6,711.60**	**$534.38**	**$101.52**	
3ARENA RES INC COM								
Dividend Option Cash								
Security Identifier ARD								
6 000	09/23/08	46 3300	277 98	43.1200	258 72	-19.26		
15 000	11/03/08	28 0200	420.30	43 1200	646 80	226 50		
7 000	11/19/08	21 8000	152 60	43 1200	301 84	149 24		
34 000	01/16/09	24 4090	829 89	43 1200	1,466 08	636 19		
14 000	02/23/09	19 1130	267 58	43 1200	603 68	336 10		
10 000	03/11/09	25 3800	253.80	43.1200	431.20	177 40		
9 000	03/24/09	28 7490	258 74	43 1200	388.08	129 34		
27 000	03/30/09	25 3600	684 73	43 1200	1,164 24	479.51		
6 000	07/28/09	31 8420	191.05	43.1200	258 72	67 67		
12 000	10/13/09	38 1400	457.68	43 1200	517 44	59 76		
140.000	**Total**		**$3,794.35**		**$6,036.80**	**$2,242.45**	**$0.00**	

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
AUTOLIV INC COM								
Dividend Option. Cash								
Security Identifier. ALV								
19 000	05/06/09	25 7980	490 17	43 3600	823 84	333 67		
11 000	05/15/09	23 3940	257 33	43 3600	476 96	219 63		
24 000	05/22/09	25 4030	609 67	43 3600	1,040 64	430 97		
30 000	05/28/09	25 7580	772 74	43 3600	1,300 80	528 06		
3 000	07/09/09	27.5000	82 50	43 3600	130 08	47 58		
15 000	08/25/09	32 7000	490 50	43 3600	650 40	159 90		
102.000	**Total**		**$2,702.91**		**$4,422.72**	**$1,719.81**	**$0.00**	
BJS WHSL CLUB INC COM								
Dividend Option Cash								
Security Identifier BJ								
117 000	09/17/09	36 4830	4,268 55	32 7100	3,827 07	-441 48		
39 000	10/05/09	35 5050	1,384 71	32.7100	1,275 69	-109 02		
8 000	10/12/09	36.2680	290 14	32 7100	261 68	-28 46		
164.000	**Total**		**$5,943.40**		**$5,364.44**	**-$578.96**	**$0.00**	
BANCORPSOUTH INC								
Dividend Option Cash								
Security Identifier BXS								
146 000	05/21/09	21 9640	3,206 80	23 4600	3,425 16	218 36	128 48	3 75%
12 000	05/27/09	21 9460	263 35	23 4600	281 52	18 17	10 56	3 75%
45 000	06/17/09	21 1020	949 59	23 4600	1,055 70	106 11	39 60	3 75%
7 000	06/25/09	19 7990	138 59	23 4600	164 22	25 63	6 16	3 75%
20 000	07/09/09	20 2850	405 70	23 4600	469 20	63 50	17 60	3 75%
12 000	08/20/09	23 4970	281 96	23 4600	281 52	-0 44	10 56	3 75%
242.000	**Total**		**$5,245.99**		**$5,677.32**	**$431.33**	**$212.96**	
BUCYRUS INTL INC NEW COM								
Dividend Option Cash								
Security Identifier BUCY								
10 000	03/11/09	13 6060	136 06	56 3700	563 70	427 64	1 00	0 17%
21 000	04/07/09	16 7900	352 58	56 3700	1,183 77	831 19	2 10	0 17%
3 000	04/13/09	18 4500	55 35	56 3700	169 11	113 76	0 30	0 17%
24 000	04/29/09	20 7200	497.28	56 3700	1,352 88	855 60	2 40	0 17%
19 000	05/27/09	27 3190	519 07	56 3700	1,071 03	551 96	1 90	0 17%
3 000	10/27/09	47 7900	143 37	56 3700	169 11	25 74	0 30	0 17%
80.000	**Total**		**$1,703.71**		**$4,509.60**	**$2,805.89**	**$8.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3CACI INTL INC CL A								
Dividend Option Cash								
Security Identifier CACI								
65 000	10/07/08	47 1890	3,067 28	48 8500	3,175 25	107 97		
9 000	10/09/08	43 6490	392 84	48 8500	439 65	46 81		
17 000	10/27/08	39 8920	678 17	48 8500	830 45	152 28		
3 000	04/29/09	37 2400	111 72	48 8500	146 55	34 83		
9 000	08/21/09	46 3870	417 48	48 8500	439 65	22 17		
1 000	08/25/09	47 1900	47 19	48 8500	48 85	1 66		
9 000	09/03/09	45 3190	407 87	48 8500	439 65	31 78		
1 000	10/26/09	47 8800	47 88	48 8500	48 85	0 97		
16 000	12/28/09	48 9690	783 51	48 8500	781 60	-1 91		
130.000	**Total**		**$5,953.94**		**$6,350.50**	**$396.56**	**$0.00**	
3CONCHO RES INC COM								
Dividend Option Cash								
Security Identifier CXO								
54 000	10/02/08	24 1960	1,306 56	44 9000	2,424 60	1,118 04		
7 000	11/19/08	20 4700	143 29	44 9000	314 30	171 01		
7 000	01/26/09	22 7030	158 92	44 9000	314 30	155 38		
30 000	03/11/09	21 8000	654 00	44 9000	1,347 00	693 00		
12 000	03/24/09	26 3060	315 67	44 9000	538 80	223 13		
27 000	04/29/09	26 4470	714 06	44 9000	1,212 30	498 24		
137.000	**Total**		**$3,292.50**		**$6,151.30**	**$2,858.80**	**$0.00**	
CONSECO INC COM NEW								
Dividend Option Cash								
Security Identifier CNO								
197 000	10/20/09	6 2760	1,236 33	5 0000	985 00	-251 33		
89 000	10/28/09	5 3100	472 55	5 0000	445 00	-27 55		
24 000	11/06/09	5 0680	121 63	5 0000	120 00	-1 63		
36 000	11/20/09	5 0680	182 45	5 0000	180 00	-2 45		
49 000	12/01/09	5 0990	249 84	5 0000	245 00	-4 84		
130 000	12/10/09	4 7690	619 94	5 0000	650 00	30 06		

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CONSECO INC COM NEW *(continued)*								
58 000	12/14/09	4 8490	281 23	5 0000	290 00	8 77		
61 000	12/16/09	5 0600	308 65	5 0000	305 00	-3 65		
161.000	12/28/09	5 0690	816.11	5 0000	805.00	-11 11		
805.000	**Total**		**$4,288.73**		**$4,025.00**	**-$263.73**	**$0.00**	
³DANVERS BANCORP INC COM								
Dividend Option Cash								
Security Identifier DNBK								
26 000	02/09/09	12 9830	337 55	12 9900	337 74	0 19	2 08	0 61%
12.000	02/23/09	12 4510	149 41	12 9900	155 88	6 47	0 96	0 61%
30 000	03/11/09	12 7500	382 50	12 9900	389 70	7 20	2.40	0 61%
26 000	04/29/09	14 4850	376 62	12 9900	337 74	-38 88	2 08	0 61%
68 000	07/02/09	13 2290	899 57	12 9900	883 32	-16 25	5 44	0 61%
10 000	10/29/09	13 8830	138 83	12.9900	129 90	-8 93	0 80	0 61%
172.000	**Total**		**$2,284.48**		**$2,234.28**	**-$50.20**	**$13.76**	
E TRADE FINANCIAL CORP COM								
Dividend Option. Cash								
Security Identifier. ETFC								
1,103 000	10/20/09	1 7000	1,875 10	1 7500	1,930 25	55 15		
1,161 000	12/28/09	1.8000	2,089 80	1 7500	2,031 75	-58 05		
2,264.000	**Total**		**$3,964.90**		**$3,962.00**	**-$2.90**	**$0.00**	
FIFTH ST FIN CORP COM								
Dividend Option. Cash								
Security Identifier FSC								
129 000	08/26/09	10 7560	1,387 50	10 7400	1,385 46	-2 04	139 32	10 05%
35 000	09/17/09	10 9940	384 79	10 7400	375 90	-8 89	37 80	10 05%
70 000	09/24/09	10 6800	747 60	10 7400	751 80	4 20	75 60	10 05%
25 000	11/03/09	9 6600	241.50	10 7400	268 50	27 00	27 00	10 05%
259.000	**Total**		**$2,761.39**		**$2,781.66**	**$20.27**	**$279.72**	
³FIRST CASH FIN'L SVCS INC (FORMALLY)								
FIRST CASH INC EFF 1/14/99								
Dividend Option. Cash								
Security Identifier FCFS								
220 000	02/13/08	9 3820	2,063 95	22.1900	4,881 80	2,817 85		
10 000	03/11/09	12 7500	127 50	22 1900	221 90	94 40		
6 000	04/29/09	16 9200	101.52	22.1900	133 14	31 62		
236.000	**Total**		**$2,292.97**		**$5,236.84**	**$2,943.87**	**$0.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
FIRSTMERIT CORP COM								
Dividend Option Cash								
Security Identifier FMER								
127 660	06/22/09	16 5390	2,111 38	20 1400	2,571 07	459 69	81 70	3 17%
27 195	06/25/09	16 5700	450 63	20.1400	547 71	97.08	17 40	3 17%
20.145	07/09/09	16 9280	341 00	20 1400	405 71	64 71	12.89	3 17%
82.000	12/07/09	20 6220	1,691 03	20 1400	1,651 49	-39 54	52 49	3.17%
257.000	**Total**		**$4,594.04**		**$5,175.98**	**$581.94**	**$164.48**	
3GENERAL CABLE CORP								
Dividend Option Cash								
Security Identifier BGC								
4 000	06/27/08	60 0850	240 34	29.4200	117.68	-122 66		
17 000	09/16/08	35 1860	598 17	29 4200	500 14	-98 03		
10 000	09/23/08	36 9250	369 25	29 4200	294 20	-75 05		
17 000	10/15/08	17 4620	296 85	29 4200	500.14	203 29		
30 000	10/24/08	14 5030	435 08	29 4200	882 60	447.52		
40 000	10/28/08	15 1330	605 31	29 4200	1,176 80	571 49		
6 000	04/29/09	24 7400	148 44	29 4200	176 52	28 08		
12 000	10/23/09	38 8400	466 08	29 4200	353 04	-113 04		
7 000	10/27/09	33 6400	235 48	29 4200	205 94	-29 54		
9 000	10/28/09	32.1180	289 06	29 4200	264.78	-24 28		
5 000	11/06/09	31.9920	159 96	29 4200	147 10	-12 86		
10 000	11/09/09	32.3580	323 58	29.4200	294 20	-29 38		
167.000	**Total**		**$4,167.60**		**$4,913.14**	**$745.54**	**$0.00**	
GMX RES INC COM								
Dividend Option Cash								
Security Identifier. GMXR								
164 000	10/21/09	17.1800	2,817.53	13 7400	2,253 36	-564.17		
58 000	10/26/09	14 9520	867.20	13 7400	796 92	-70.28		
222.000	**Total**		**$3,684.73**		**$3,050.28**	**-$634.45**	**$0.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
GRAFTECH INTL LTD COM								
Dividend Option Cash								
Security Identifier GTI								
85 000	07/31/09	13 8190	1,174 64	15 5500	1,321 75	147 11		
74 000	08/04/09	14 9600	1,107 04	15 5500	1,150 70	43 66		
110 000	08/07/09	15 2490	1,677 39	15 5500	1,710 50	33 11		
56 000	10/02/09	13 2880	744 14	15 5500	870 80	126 66		
27 000	10/12/09	15 0200	405 54	15 5500	419 85	14 31		
13 000	11/02/09	13 5690	176 40	15 5500	202.15	25 75		
21 000	12/21/09	15 5580	326 72	15 5500	326 55	-0 17		
386.000	**Total**		**$5,611.87**		**$6,002.30**	**$390.43**	**$0.00**	
GYMBOREE CORP COM								
Dividend Option Cash								
Security Identifier GYMB								
100 000	11/06/09	41 9530	4,195 25	43 4900	4,349 00	153 75		
15 000	12/01/09	40 9970	614 96	43 4900	652.35	37 39		
20 000	12/07/09	41 2750	825 50	43 4900	869 80	44 30		
10 000	12/16/09	42 6380	426 38	43 4900	434 90	8 52		
145.000	**Total**		**$6,062.09**		**$6,306.05**	**$243.96**	**$0.00**	
3HCC INS HLDGS INC COM								
Dividend Option Cash								
Security Identifier HCC								
39 000	06/27/08	21 6160	843 01	27 9700	1,090 83	247 82	21 06	1 93%
89 000	07/17/08	20 3110	1,807 67	27 9700	2,489 33	681 66	48 06	1 93%
100 000	08/04/08	23 1060	2,310 58	27 9700	2,797 00	486 42	54 00	1 93%
12 000	09/23/08	26 3600	316 32	27 9700	335 64	19 32	6 48	1 93%
9 000	12/19/08	25 7140	231 43	27 9700	251 73	20 30	4 86	1 93%
12 000	04/29/09	24 3700	292 44	27 9700	335 64	43 20	6 48	1 93%
16 000	05/27/09	24 7900	396 64	27 9700	447 52	50 88	8 64	1 93%
31 000	06/16/09	24 2040	750 31	27 9700	867 07	116 76	16 74	1 93%
17 000	12/14/09	26 9500	458 15	27 9700	475 49	17 34	9.18	1 93%
15 000	12/16/09	26 9500	404 25	27 9700	419 55	15 30	8 10	1 93%
340.000	**Total**		**$7,810.80**		**$9,509.80**	**$1,699.00**	**$183.60**	
3HANES BRANDS INC COM								
Dividend Option. Cash								
Security Identifier HBI								
103 000	11/18/08	13 5700	1,397 74	24 1100	2,483 33	1,085 59		
33 000	12/04/08	12 7530	420 85	24 1100	795 63	374 78		
3 000	12/09/08	14 3900	43 17	24 1100	72 33	29 16		
54 000	12/19/08	13 3210	719 33	24 1100	1,301 94	582 61		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
HANES BRANDS INC COM *(continued)*								
19 000	01/16/09	11 4790	218 10	24 1100	458 09	239 99		
23 000	01/30/09	9 0200	207 45	24 1100	554 53	347 08		
1 000	02/23/09	6 4900	6 49	24 1100	24 11	17 62		
20 000	03/11/09	6 7800	135 60	24 1100	482 20	346 60		
19 000	04/29/09	15 0100	285 19	24 1100	458 09	172 90		
23 000	12/09/09	24 6580	567 13	24 1100	554.53	-12 60		
298.000	**Total**		**$4,001.05**		**$7,184.78**	**$3,183.73**	**$0.00**	
HANOVER INSURANCE GROUP INC								
Dividend Option Cash								
Security Identifier THG								
178 000	06/15/09	36 3460	6,469.55	44 4300	7,908 54	1,438 99	133 50	1 68%
14 000	06/17/09	36 4990	510 99	44 4300	622 02	111 03	10 50	1 68%
13 000	10/26/09	42 5940	553 72	44 4300	577 59	23 87	9 75	1 68%
205.000	**Total**		**$7,534.26**		**$9,108.15**	**$1,573.89**	**$153.75**	
HEXCEL CORP NEW COM								
Dividend Option Cash								
Security Identifier HXL								
108 000	08/26/09	11 2500	1,214 99	12 9800	1,401 84	186 85		
127 000	12/28/09	13.2050	1,676.99	12 9800	1,648 46	-28 53		
235.000	**Total**		**$2,891.98**		**$3,050.30**	**$158.32**	**$0.00**	
INTERNATIONAL SPEEDWAY CORP CL A								
Dividend Option Cash								
Security Identifier ISCA								
194 000	07/02/09	25 2140	4,891 61	28 4500	5,519 30	627 69	27 16	0 49%
2 000	07/09/09	24 4300	48 86	28 4500	56.90	8 04	0 28	0 49%
4 000	08/11/09	27 4980	109 99	28 4500	113 80	3 81	0 56	0 49%
200.000	**Total**		**$5,050.46**		**$5,690.00**	**$639.54**	**$28.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
JONES LANG LASALLE INC COM								
Dividend Option. Cash								
Security Identifier JLL								
45 000	06/25/09	32 4740	1,461 33	60 4000	2,718 00	1,256 67	9 00	0 33%
6 000	07/09/09	30 3100	181 86	60 4000	362 40	180 54	1 20	0 33%
9 000	07/20/09	33 6920	303 23	60 4000	543 60	240 37	1 80	0 33%
17 000	07/31/09	37 7240	641 31	60 4000	1,026 80	385 49	3 40	0 33%
77.000	**Total**		**$2,587.73**		**$4,650.80**	**$2,063.07**	**$15.40**	
3KAYDON CORP								
Dividend Option Cash								
Security Identifier KDN								
7 000	05/01/08	53 6140	375 30	35 7600	250 32	-124 98	5 04	2 01%
53 000	08/25/08	53 2790	2,823 78	35 7600	1,895 28	-928 50	38 16	2 01%
1 000	09/23/08	51 4000	51 40	35 7600	35 76	-15 64	0 72	2 01%
8 000	11/07/08	31 1680	249 34	35 7600	286 08	36 74	5 76	2 01%
7 000	12/19/08	32 3500	226 45	35 7600	250 32	23 87	5 04	2 01%
6 000	01/16/09	28 1620	168 97	35 7600	214 56	45 59	4 32	2 01%
4 000	01/26/09	28 7050	114 82	35 7600	143 04	28 22	2 88	2 01%
13 000	01/30/09	27 6800	359 84	35 7600	464 88	105 04	9 36	2 01%
10 000	03/11/09	26 3300	263 30	35 7600	357 60	94 30	7 20	2 01%
14 000	03/30/09	26 9680	377 55	35.7600	500 64	123 09	10 08	2 01%
3 000	04/29/09	31 7600	95 28	35 7600	107 28	12 00	2 16	2 01%
17 000	07/30/09	34 3000	583 10	35 7600	607 92	24 82	12 24	2 01%
143.000	**Total**		**$5,689.13**		**$5,113.68**	**-$575.45**	**$102.96**	
KEY ENERGY SVCS INC								
Dividend Option· Cash								
Security Identifier KEG								
280 000	05/19/09	6 1050	1,709 27	8 7900	2,461 20	751 93		
70 000	05/27/09	6 1630	431 42	8 7900	615 30	183 88		
33 000	06/25/09	5 1380	169 55	8 7900	290 07	120 52		
12 000	07/09/09	5.3580	64 30	8 7900	105 48	41 18		
98 000	10/23/09	8 8800	870 20	8 7900	861 42	-8 78		
31 000	11/19/09	7.8100	242 11	8 7900	272 49	30 38		
524.000	**Total**		**$3,486.85**		**$4,605.96**	**$1,119.11**	**$0.00**	
3LANDSTAR SYSTEMS INC COM								
Dividend Option Cash								
Security Identifier LSTR								
24 000	02/04/09	36 3710	872 90	38 7700	930 48	57 58	4 32	0 46%
10 000	03/11/09	28 8900	288 90	38 7700	387 70	98 80	1 80	0 46%
10 000	04/07/09	33 2100	332 10	38 7700	387 70	55 60	1 80	0 46%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
LANDSTAR SYSTEMS INC COM *(continued)*								
62 000	04/29/09	35 3600	2,192 35	38 7700	2,403 74	211 39	11 16	0 46%
6 000	07/09/09	34 7780	208 67	38 7700	232 62	23 95	1 08	0 46%
112.000	**Total**		**$3,894.92**		**$4,342.24**	**$447.32**	**$20.16**	
3LINCOLN ELEC HLDGS INC COM								
Dividend Option Cash								
Security Identifier LECO								
22.000	01/14/08	62 7320	1,380.10	53 4600	1,176 12	-203.98	24 64	2 09%
50 000	03/17/08	64 5810	3,229 06	53 4600	2,673 00	-556 06	56 00	2 09%
10.000	07/17/08	69.1940	691 94	53 4600	534 60	-157 34	11 20	2 09%
6.000	12/11/08	45 6770	274 06	53 4600	320 76	46 70	6 72	2 09%
40 000	03/11/09	28 7200	1,148 80	53 4600	2,138 40	989 60	44 80	2 09%
2 000	04/29/09	43 5200	87 04	53 4600	106 92	19.88	2.24	2.09%
12 000	05/27/09	41 5330	498 39	53 4600	641.52	143 13	13 44	2 09%
9 000	07/30/09	43 4180	390.76	53 4600	481.14	90 38	10 08	2 09%
151.000	**Total**		**$7,700.15**		**$8,072.46**	**$372.31**	**$169.12**	
LINDSAY CORP COM								
Dividend Option Cash								
Security Identifier LNN								
29 000	03/11/09	25 9900	753 71	39 8500	1,155 65	401 94	9 28	0 80%
10 000	03/17/09	24 9320	249 32	39 8500	398 50	149.18	3 20	0.80%
5 000	04/29/09	40 9540	204 77	39 8500	199 25	-5 52	1 60	0 80%
6 000	08/06/09	38 9500	233 70	39 8500	239 10	5 40	1 92	0 80%
50.000	**Total**		**$1,441.50**		**$1,992.50**	**$551.00**	**$16.00**	
LOUISIANA PAC CORP								
Dividend Option. Cash								
Security Identifier. LPX								
112 000	08/26/09	7 5090	840 96	6 9800	781 76	-59 20		
52 000	09/10/09	7 5390	392 03	6 9800	362 96	-29 07		
52 000	09/25/09	6 7890	353.03	6 9800	362 96	9 93		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
LOUISIANA PAC CORP *(continued)*								
24.000	11/02/09	5 0800	121.91	6 9800	167.52	45 61		
240.000	**Total**		**$1,707.93**		**$1,675.20**	**-$32.73**	**$0.00**	
3MEDNAX INC COM								
Dividend Option· Cash								
Security Identifier MD								
32 000	05/30/08	53 3010	1,705 64	60 1100	1,923.52	217 88		
35 000	06/27/08	50 4370	1,765 30	60.1100	2,103 85	338 55		
2.000	09/23/08	52.1000	104 20	60 1100	120 22	16 02		
20 000	10/06/08	41 6010	832 01	60 1100	1,202.20	370 19		
16 000	10/07/08	40 5670	649 07	60 1100	961 76	312 69		
9 000	10/27/08	35 0510	315 46	60 1100	540.99	225 53		
1 000	02/23/09	35 5300	35 53	60 1100	60.11	24 58		
115.000	**Total**		**$5,407.21**		**$6,912.65**	**$1,505.44**	**$0.00**	
3MICRO SYS INC								
Dividend Option Cash								
Security Identifier MCRS								
15 000	05/08/08	30.6250	459 37	31 0300	465 45	6 08		
70 000	06/10/08	31 3050	2,191 34	31 0300	2,172 10	-19 24		
4 000	09/23/08	28.2850	113 14	31 0300	124 12	10 98		
15 000	10/15/08	20 2790	304 19	31 0300	465 45	161 26		
27 000	10/24/08	18 7310	505 74	31 0300	837 81	332 07		
20 000	10/27/08	18 1110	362 22	31.0300	620 60	258 38		
12 000	01/26/09	14 9230	179 08	31.0300	372 36	193 28		
22 000	02/12/09	16 6910	367 21	31 0300	682.66	315 45		
10 000	03/11/09	16 2500	162 50	31 0300	310.30	147 80		
8 000	04/29/09	21 7590	174 07	31 0300	248 24	74 17		
22 000	07/09/09	23 2900	512 38	31 0300	682 66	170 28		
9 000	10/29/09	26 7990	241 19	31 0300	279 27	38.08		
234.000	**Total**		**$5,572.43**		**$7,261.02**	**$1,688.59**	**$0.00**	
3NICE SYSTEMS LTD SPONS ADR								
Dividend Option Cash								
Security Identifier. NICE								
146 000	10/22/08	21 3790	3,121 32	31 0400	4,531.84	1,410 52		
18 000	11/14/08	21 2240	382 04	31 0400	558 72	176 68		
5 000	12/09/08	22 1340	110 67	31 0400	155 20	44 53		
26 000	12/19/08	22 8320	593 63	31 0400	807 04	213 41		
4 000	01/16/09	21 5400	86 16	31 0400	124.16	38 00		
8 000	01/26/09	19 9880	159 90	31 0400	248 32	88 42		
10 000	03/11/09	23 1700	231.70	31 0400	310.40	78 70		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
NICE SYSTEMS LTD SPONS ADR *(continued)*								
20.000	05/06/09	25 6300	512.60	31.0400	620 80	108 20		
237.000	**Total**		**$5,198.02**		**$7,356.48**	**$2,158.46**	**$0.00**	
³NORDSON CORP								
Dividend Option Cash								
Security Identifier NDSN								
26 000	08/03/07	46.6300	1,212 38	61 1800	1,590 68	378 30	19 76	1 24%
1 000	09/18/07	47 4000	47 40	61.1800	61 18	13 78	0 76	1 24%
1 000	01/04/08	54 3700	54 37	61.1800	61.18	6 81	0 76	1 24%
1 000	03/18/08	51.6300	51.63	61.1800	61 18	9.55	0 76	1 24%
5 000	01/16/09	31 5400	157 70	61.1800	305 90	148 20	3 80	1 24%
5 000	01/26/09	32 2860	161.43	61 1800	305 90	144 47	3 80	1 24%
10 000	03/11/09	22 5900	225 90	61.1800	611 80	385 90	7 60	1 24%
5 000	03/27/09	30.1220	150 61	61.1800	305 90	155.29	3 80	1.24%
54.000	**Total**		**$2,061.42**		**$3,303.72**	**$1,242.30**	**$41.04**	
NORTHWEST BANCSHARES INC MD COM								
Dividend Option Cash								
Security Identifier. NWBI								
144 000	12/16/09	10 8220	1,558 40	11 3200	1,630 08	71 68		
OIL STATE INTERNATIONAL INC								
COM								
Dividend Option Cash								
Security Identifier OIS								
69 000	05/21/09	23 8590	1,646.26	39 2900	2,711 01	1,064 75		
25.000	05/27/09	24 8000	619 99	39 2900	982 25	362 26		
39 000	07/30/09	26 0000	1,013 99	39 2900	1,532 31	518 32		
133.000	**Total**		**$3,280.24**		**$5,225.57**	**$1,945.33**	**$0.00**	
PACKAGING CORP AMER COM								
Dividend Option. Cash								
Security Identifier PKG								
140 000	07/10/09	16 0250	2,243 47	23 0100	3,221 40	977 93	84 00	2 60%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
PACKAGING CORP AMER COM *(continued)*								
66 000	07/20/09	18 0090	1,188 59	23 0100	1,518 66	330 07	39 60	2 60%
17 000	10/12/09	20 7260	352 34	23 0100	391 17	38 83	10 20	2 60%
27 000	10/16/09	22 0080	594 22	23 0100	621 27	27 05	16 20	2 60%
22 000	11/03/09	18 7070	411 56	23 0100	506 22	94 66	13 20	2 60%
52 000	12/28/09	23.9370	1,244 70	23 0100	1,196 52	-48 18	31 20	2 60%
324.000	**Total**		**$6,034.88**		**$7,455.24**	**$1,420.36**	**$194.40**	
3PATTERSON COS INC COM								
Dividend Option Cash								
Security Identifier PDCO								
109 000	11/18/08	21 5530	2,349 31	27 9800	3,049 82	700 51		
38 000	11/19/08	20 9740	797 01	27 9800	1,063 24	266 23		
34 000	11/26/08	18 3960	625 46	27 9800	951 32	325 86		
31 000	12/04/08	17 9100	555.21	27 9800	867.38	312 17		
30 000	12/09/08	18 2050	546 16	27 9800	839 40	293 24		
10 000	12/19/08	18 5380	185 38	27 9800	279 80	94 42		
4 000	01/26/09	18 4650	73 86	27 9800	111 92	38 06		
10 000	03/11/09	17 3600	173 60	27 9800	279 80	106 20		
10 000	03/17/09	17 6580	176 58	27 9800	279 80	103 22		
19 000	04/29/09	19 9380	378 83	27 9800	531 62	152 79		
295.000	**Total**		**$5,861.40**		**$8,254.10**	**$2,392.70**	**$0.00**	
3PHILLIPS VAN HEUSEN								
Dividend Option Cash								
Security Identifier PVH								
51 000	06/30/08	37 6240	1,918 80	40 6800	2,074 68	155 88	7 65	0 36%
17 000	10/27/08	22 9980	390 97	40 6800	691.56	300 59	2 55	0 36%
10 000	11/05/08	23.9160	239 16	40 6800	406 80	167 64	1 50	0 36%
26 000	11/26/08	16 6310	432 41	40 6800	1,057 68	625 27	3 90	0 36%
7 000	12/04/08	18 7070	130 95	40 6800	284 76	153 81	1 05	0 36%
27 000	12/09/08	20 2420	546 53	40 6800	1,098 36	551 83	4 05	0 36%
1 000	12/11/08	17 5700	17 57	40 6800	40 68	23 11	0 15	0 36%
31 000	01/16/09	18 8470	584 25	40 6800	1,261 08	676 83	4 65	0 36%
20 000	03/11/09	15 3800	307 60	40 6800	813 60	506 00	3 00	0 36%
6 000	04/29/09	29 3400	176 04	40 6800	244 08	68 04	0 90	0 36%
196.000	**Total**		**$4,744.28**		**$7,973.28**	**$3,229.00**	**$29.40**	
3PROSPERITY BANCSHARES INC COM								
Dividend Option. Cash								
Security Identifier PRSP								
12 000	10/08/08	32 2160	386 59	40 4700	485 64	99 05	7 44	1 53%
16 000	10/09/08	30 2010	483 22	40 4700	647.52	164 30	9 92	1.53%

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
650 California Street
31st Floor
San Francisco CA 94108
(800) 227-4492

Brokerage
Account Statement

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
PROSPERITY BANCSHARES INC COM *(continued)*								
38 000	10/27/08	30 3180	1,152 07	40 4700	1,537 86	385 79	23 56	1 53%
8 000	11/18/08	30 3500	242 80	40 4700	323 76	80 96	4 96	1 53%
19 000	12/19/08	27 3490	519 64	40 4700	768 93	249 29	11 78	1 53%
65 000	01/26/09	24 4650	1,590 24	40 4700	2,630 55	1,040 31	40 30	1 53%
1 000	02/23/09	24 8200	24 82	40 4700	40 47	15 65	0 62	1 53%
20 000	03/11/09	22 9000	458 00	40 4700	809 40	351 40	12 40	1 53%
2 000	03/24/09	27 3650	54 73	40 4700	80 94	26 21	1 24	1 53%
20 000	05/06/09	29 7310	594 61	40 4700	809 40	214 79	12 40	1 53%
201.000	**Total**		**$5,506.72**		**$8,134.47**	**$2,627.75**	**$124.62**	
QLOGIC CORP								
Dividend Option. Cash								
Security Identifier QLGC								
150 000	07/21/09	13 9330	2,089 92	18 8700	2,830 50	740 58		
26 000	07/31/09	13 1280	341 34	18 8700	490 62	149 28		
27.000	08/14/09	14 3890	388 49	18 8700	509 49	121 00		
20 000	08/18/09	14 0100	280 19	18 8700	377 40	97 21		
67 000	09/01/09	15 5600	1,042 50	18 8700	1,264 29	221 79		
24 000	09/14/09	17 3100	415 43	18 8700	452 88	37 45		
9 000	10/26/09	18.5700	167.13	18 8700	169 83	2 70		
323.000	**Total**		**$4,725.00**		**$6,095.01**	**$1,370.01**	**$0.00**	
REGAL ENTMT GROUP INC CL A								
Dividend Option Cash								
Security Identifier RGC								
339 000	08/21/09	12 5540	4,255 85	14 4400	4,895 16	639 31	244 08	4 98%
REGIS CORP MINNESOTA								
Dividend Option: Cash								
Security Identifier RGS								
180 000	08/07/09	14 8850	2,679 34	15 5700	2,802 60	123 26	28 80	1 02%
44 000	08/14/09	14 5060	638 27	15.5700	685 08	46 81	7 04	1 02%
5 000	08/20/09	15 8780	79 39	15 5700	77 85	-1.54	0 80	1 02%
20 000	08/28/09	16 7280	334 55	15 5700	311 40	-23 15	3 20	1 02%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
REGIS CORP MINNESOTA *(continued)*								
16 000	09/03/09	15 5000	248 00	15 5700	249 12	1 12	2 56	1 02%
20 000	09/14/09	16 0250	320 50	15 5700	311 40	-9 10	3 20	1 02%
29 000	10/23/09	16 6980	484.25	15 5700	451 53	-32 72	4 64	1 02%
17 000	11/02/09	15 9960	271 93	15 5700	264 69	-7.24	2 72	1 02%
49 000	12/28/09	15 7090	769.72	15 5700	762.93	-6 79	7 84	1 02%
380.000	**Total**		**$5,825.95**		**$5,916.60**	**$90.65**	**$60.80**	
ROBBINS & MYERS INC								
Dividend Option Cash								
Security Identifier RBN								
64 000	03/30/09	14 9170	954 66	23 5200	1,505 28	550 62	10 24	0 68%
50 000	04/15/09	17.5700	878 49	23 5200	1,176 00	297 51	8.00	0 68%
8 000	04/29/09	18 8560	150 85	23 5200	188 16	37 31	1 28	0 68%
30 000	05/07/09	20 4760	614 28	23 5200	705 60	91 32	4 80	0 68%
7 000	05/27/09	19 8490	138 94	23 5200	164 64	25 70	1.12	0 68%
16 000	07/10/09	18 0620	288 99	23 5200	376 32	87 33	2 56	0 68%
11 000	07/31/09	21 0180	231.20	23 5200	258.72	27.52	1 76	0 68%
186.000	**Total**		**$3,257.41**		**$4,374.72**	**$1,117.31**	**$29.76**	
3SRA INTL INC CL A COM								
Dividend Option Cash								
Security Identifier SRX								
11 000	10/27/08	16 9360	186 30	19 1000	210 10	23 80		
63 000	02/18/09	13 7970	869.20	19 1000	1,203 30	334 10		
10 000	03/11/09	12 5000	125 00	19 1000	191 00	66 00		
16 000	04/29/09	15.3580	245.73	19 1000	305 60	59 87		
113 000	12/28/09	19 5260	2,206 49	19 1000	2,158 30	-48 19		
213.000	**Total**		**$3,632.72**		**$4,068.30**	**$435.58**	**$0.00**	
3THE SCOTTS MIRACLE GRO CO HLDG CO								
Dividend Option Cash								
Security Identifier SMG								
108 000	08/05/08	23 3110	2,517 63	39 3100	4,245 48	1,727 85	54 00	1 27%
31 000	08/12/08	25 0160	775 51	39 3100	1,218 61	443 10	15 50	1 27%
13 000	09/23/08	24 7700	322.01	39 3100	511 03	189 02	6 50	1 27%
10 000	03/11/09	28 9100	289 10	39 3100	393 10	104 00	5 00	1 27%
12 000	08/14/09	39.3680	472 42	39.3100	471.72	-0 70	6 00	1 27%
174.000	**Total**		**$4,376.67**		**$6,839.94**	**$2,463.27**	**$87.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SENSIENT TECHNOLOGIES CORP								
COM								
Dividend Option Cash								
Security Identifier SXT								
46 000	07/31/09	25 1860	1,158 56	26 3000	1,209 80	51 24	34 96	2 88%
15 000	08/14/09	25 4510	381 76	26 3000	394 50	12 74	11 40	2 88%
10 000	08/18/09	25 5000	255 00	26 3000	263 00	8 00	7 60	2 88%
11 000	09/02/09	26 3750	290 13	26 3000	289 30	-0 83	8 36	2 88%
56 000	09/22/09	27 9690	1,566 26	26 3000	1,472 80	-93 46	42 56	2 88%
19 000	10/20/09	27 2930	518 57	26 3000	499 70	-18 87	14 44	2 88%
40 000	11/02/09	25 2850	1,011 39	26 3000	1,052 00	40 61	30 40	2 88%
197.000	**Total**		**$5,181.67**		**$5,181.10**	**-$0.57**	**$149.72**	
SKYWORKS SOLUTIONS INC COM								
Dividend Option Cash								
Security Identifier SWKS								
71 000	08/14/09	11 0480	784 38	14 1900	1,007 49	223 11		
51 000	08/20/09	11 1000	566 10	14 1900	723 69	157 59		
93 000	08/24/09	12 2600	1,140 14	14 1900	1,319 67	179 53		
24 000	09/14/09	13 8500	332 39	14 1900	340 56	8 17		
27 000	10/12/09	12 4700	336 68	14 1900	383 13	46 45		
18 000	10/23/09	11.0090	198.17	14 1900	255 42	57.25		
284.000	**Total**		**$3,357.86**		**$4,029.96**	**$672.10**	**$0.00**	
3SMITH A O CORP COMMON								
Dividend Option Cash								
Security Identifier AOS								
17 000	08/27/08	41 2360	701 02	43 3900	737 63	36 61	13 26	1 79%
4 000	09/12/08	43 5230	174 09	43 3900	173 56	-0 53	3 12	1 79%
2 000	09/23/08	40 9400	81 88	43 3900	86 78	4 90	1 56	1 79%
10 000	01/16/09	31 6950	316 95	43 3900	433 90	116 95	7 80	1 79%
11 000	01/30/09	27 8340	306 17	43 3900	477 29	171 12	8 58	1 79%
2 000	02/12/09	26 9250	53 85	43 3900	86 78	32 93	1 56	1 79%
4 000	03/27/09	25 5200	102 08	43 3900	173 56	71 48	3 12	1 79%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SMITH A O CORP COMMON *(continued)*								
14 000	04/01/09	24 9280	348 99	43 3900	607 46	258 47	10 92	1 79%
9 000	04/13/09	28.1140	253 03	43 3900	390.51	137 48	7 02	1 79%
73.000	**Total**		**$2,338.06**		**$3,167.47**	**$829.41**	**$56.94**	
3SYBASE INC COM								
Dividend Option Cash								
Security Identifier SY								
92 000	06/21/07	24 6240	2,265 40	43 4000	3,992 80	1,727 40		
62 000	06/29/07	24 2790	1,505.28	43 4000	2,690 80	1,185 52		
50 000	08/03/07	23 8000	1,190 00	43 4000	2,170 00	980 00		
70 000	08/22/07	22 9400	1,605 81	43 4000	3,038 00	1,432 19		
13 000	10/21/08	27 3060	354 98	43 4000	564 20	209 22		
287.000	**Total**		**$6,921.47**		**$12,455.80**	**$5,534.33**	**$0.00**	
3SYNIVERSE HLDGS INC COM								
Dividend Option Cash								
Security Identifier SVR								
240 000	09/24/08	16 4360	3,944 64	17 4800	4,195 20	250 56		
106 000	10/06/08	16 1850	1,715 65	17 4800	1,852 88	137 23		
22 000	10/09/08	17 2090	378 59	17 4800	384 56	5 97		
59 000	12/19/08	9 9850	589 12	17 4800	1,031.32	442 20		
1 000	02/23/09	15 0200	15 02	17 4800	17 48	2 46		
17 000	04/29/09	13 1100	222 87	17 4800	297 16	74.29		
1 000	05/27/09	14 7000	14 70	17 4800	17 48	2 78		
6 000	10/26/09	18 1580	108.95	17.4800	104 88	-4 07		
452.000	**Total**		**$6,989.54**		**$7,900.96**	**$911.42**	**$0.00**	
3TELEFLEX INC								
Dividend Option Cash								
Security Identifier TFX								
49 000	06/10/08	58 4610	2,864 61	53 8900	2,640 61	-224 00	66 64	2 52%
2 000	09/23/08	65 7750	131 55	53.8900	107 78	-23 77	2 72	2 52%
6 000	12/05/08	46 1150	276 69	53 8900	323.34	46 65	8 16	2 52%
6 000	12/09/08	46 2330	277 40	53 8900	323.34	45 94	8 16	2 52%
3 000	01/16/09	50 9870	152 96	53 8900	161.67	8 71	4 08	2 52%
3 000	01/26/09	51 0700	153 21	53 8900	161.67	8 46	4 08	2 52%
10 000	03/11/09	44.3700	443 70	53.8900	538 90	95.20	13 60	2 52%
11 000	03/25/09	40 1390	441 53	53 8900	592 79	151 26	14 96	2 52%
7 000	04/01/09	38 6560	270 59	53 8900	377.23	106 64	9 52	2 52%
2 000	04/29/09	43 7200	87 44	53 8900	107 78	20 34	2 72	2 52%
5 000	05/19/09	44 6200	223 10	53 8900	269 45	46 35	6 80	2 52%
5 000	05/27/09	44.1640	220 82	53 8900	269 45	48 63	6 80	2 52%

CREDIT SUISSE

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Brokerage
Account Statement

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
TELEFLEX INC *(continued)*								
19 000	07/31/09	48 1550	914 95	53 8900	1,023 91	108 96	25 84	2 52%
128.000	**Total**		**$6,458.55**		**$6,897.92**	**$439.37**	**$174.08**	
TERRITORIAL BANCORP INC COM								
Dividend Option Cash								
Security Identifier TBNK								
54 000	10/14/09	16 2300	876 42	18 0500	974 70	98 28		
32 000	12/10/09	17.2730	552 74	18.0500	577 60	24 86		
86.000	**Total**		**$1,429.16**		**$1,552.30**	**$123.14**	**$0.00**	
THOMPSON CREEK METALS CO INC COM								
ISIN#CA8847681027								
Dividend Option. Cash								
Security Identifier TC								
125 000	08/24/09	14 6600	1,832 49	11 7200	1,465 00	-367.49		
74 000	08/27/09	12 0240	889 79	11 7200	867 28	-22 51		
11 000	09/02/09	11 1200	122.32	11 7200	128 92	6 60		
33 000	09/14/09	12 5660	414 68	11.7200	386 76	-27 92		
98 000	09/22/09	12 6200	1,236 72	11 7200	1,148 56	-88 16		
6 000	10/12/09	13 0580	78 35	11.7200	70.32	-8 03		
52 000	10/13/09	12 9480	673.30	11 7200	609 44	-63 86		
17 000	10/26/09	11 4300	194 31	11 7200	199 24	4 93		
28 000	10/28/09	10 2180	286 10	11 7200	328.16	42 06		
34 000	11/09/09	12 1100	411 74	11 7200	398 48	-13 26		
13 000	12/14/09	11 3000	146 90	11 7200	152 36	5 46		
491.000	**Total**		**$6,286.70**		**$5,754.52**	**-$532.18**	**$0.00**	
TRANSDIGM GROUP INC COM								
Dividend Option: Cash								
Security Identifier TDG								
64 000	07/21/09	37 9330	2,427 68	47 4900	3,039 36	611 68		
12 000	08/05/09	44 6490	535 79	47.4900	569 88	34 09		
76.000	**Total**		**$2,963.47**		**$3,609.24**	**$645.77**	**$0.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
TRIQUINT SEMICONDUCTOR INC								
Dividend Option Cash								
Security Identifier TQNT								
108 000	08/14/09	6 4800	699 84	6 0000	648 00	-51 84		
81 000	08/20/09	6 7300	545 10	6 0000	486 00	-59 10		
45 000	08/24/09	7 4100	333 43	6 0000	270 00	-63 43		
87 000	08/25/09	7.3690	641 10	6 0000	522 00	-119 10		
41 000	09/14/09	7 8690	322 64	6 0000	246 00	-76 64		
48 000	10/12/09	8.1790	392 59	6 0000	288 00	-104 59		
73 000	10/23/09	6 0690	443 04	6 0000	438 00	-5 04		
97 000	11/02/09	5 2300	507 27	6 0000	582 00	74 73		
70 000	12/16/09	6 1600	431.20	6 0000	420 00	-11 20		
650.000	**Total**		**$4,316.21**		**$3,900.00**	**-$416.21**	**$0.00**	
3TYLER TECHNOLOGIES INC COM								
Dividend Option Cash								
Security Identifier TYL								
31 000	12/26/07	13 9290	431 79	19 9100	617 21	185 42		
43 000	07/09/08	14 5190	624 30	19 9100	856 13	231 83		
7 000	09/23/08	15 5610	108 93	19 9100	139 37	30 44		
47 000	10/27/08	11 6770	548 81	19 9100	935 77	386 96		
2 000	04/29/09	17.1200	34.24	19 9100	39 82	5 58		
130.000	**Total**		**$1,748.07**		**$2,588.30**	**$840.23**	**$0.00**	
UNIT CORP NEW								
Dividend Option Cash								
Security Identifier UNT								
106 000	08/11/09	37 1950	3,942 63	42 5000	4,505 00	562 37		
UNITED FINL BANCORP INC MD COM								
Dividend Option Cash								
Security Identifier UBNK								
53 000	10/14/09	12 2710	650 36	13 1100	694 83	44 47	14 84	2 13%
62 000	12/10/09	12 9560	803 25	13 1100	812 82	9 57	17 36	2 13%
115.000	**Total**		**$1,453.61**		**$1,507.65**	**$54.04**	**$32.20**	
VALMONT INDUSTRIES INC								
Dividend Option Cash								
Security Identifier VMI								
20 000	08/07/09	79 7280	1,594 56	78 4500	1,569 00	-25 56	12 00	0 76%
16 000	10/27/09	75 9780	1,215 64	78 4500	1,255.20	39 56	9 60	0 76%
6 000	11/02/09	71 9200	431 52	78 4500	470 70	39 18	3 60	0 76%
42.000	**Total**		**$3,241.72**		**$3,294.90**	**$53.18**	**$25.20**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
VARIAN SEMICONDUCTOR EQUIPMENT ASSOCS								
INC COM								
Dividend Option Cash								
Security Identifier. VSEA								
41 000	07/25/08	27 5480	1,129 48	35 8800	1,471 08	341 60		
14 000	08/04/08	29 4280	411 99	35 8800	502 32	90 33		
19 000	11/05/08	22 1050	420 00	35 8800	681 72	261 72		
11 000	12/04/08	17 9480	197 43	35 8800	394 68	197 25		
19 000	12/19/08	20 3130	385 94	35 8800	681 72	295 78		
17 000	01/16/09	18 9930	322 88	35 8800	609 96	287 08		
1 000	01/26/09	19 7900	19 79	35 8800	35.88	16 09		
16 000	02/12/09	20 1860	322 98	35 8800	574 08	251.10		
14 000	03/30/09	21.1990	296 78	35 8800	502 32	205.54		
17 000	04/13/09	22 2250	377 82	35 8800	609 96	232 14		
4 000	04/29/09	23 6280	94 51	35 8800	143 52	49 01		
8 000	09/14/09	32 3380	258 70	35 8800	287 04	28 34		
181.000	**Total**		**$4,238.30**		**$6,494.28**	**$2,255.98**	**$0.00**	
WALTER ENERGY INC COM								
Dividend Option Cash								
Security Identifier WLT								
63 000	03/27/09	21 5430	1,357.24	75 3100	4,744 53	3,387.29	25 20	0 53%
WASHINGTON FED INC COM								
Dividend Option Cash								
Security Identifier WFSL								
135 000	05/13/09	12 6260	1,704 46	19 3400	2,610 90	906 44	27 00	1 03%
70 000	05/28/09	12 7370	891 60	19 3400	1,353 80	462 20	14 00	1 03%
60 000	06/22/09	12 9800	778 78	19 3400	1,160 40	381 62	12 00	1 03%
39 000	07/31/09	14 0380	547 48	19 3400	754.26	206 78	7 80	1 03%
14 000	09/21/09	16 7690	234 77	19 3400	270 76	35 99	2 80	1 03%
58 000	10/29/09	17 7020	1,026.71	19 3400	1,121 72	95.01	11 60	1.03%
376.000	**Total**		**$5,183.80**		**$7,271.84**	**$2,088.04**	**$75.20**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3WASTE CONNECTIONS INC COM								
Dividend Option Cash								
Security Identifier WCN								
32 000	02/21/08	30 7980	985 53	33 3400	1,066 88	81 35		
55 000	03/24/08	31 6630	1,741 49	33 3400	1,833.70	92 21		
117 000	05/07/08	31 1320	3,642 39	33 3400	3,900 78	258 39		
5 000	06/12/08	32 2800	161 40	33 3400	166 70	5 30		
23 000	09/24/08	33 2990	765 87	33 3400	766 82	0 95		
19 000	10/07/08	33 6960	640 22	33 3400	633 46	-6 76		
1 000	12/09/08	29 3100	29 31	33 3400	33 34	4 03		
20 000	03/11/09	21 5600	431.20	33 3400	666 80	235 60		
4 000	04/01/09	25 4600	101 84	33 3400	133 36	31 52		
9 000	04/29/09	26 3990	237 59	33 3400	300 06	62 47		
50 000	05/06/09	24 8890	1,244 47	33 3400	1,667.00	422 53		
13 000	06/15/09	25 8970	336 66	33 3400	433 42	96 76		
18 000	08/20/09	27 5060	495 11	33 3400	600 12	105 01		
366.000	**Total**		**$10,813.08**		**$12,202.44**	**$1,389.36**	**$0.00**	
3WATSON WYATT WORLWIDE INC CL A								
C/A EFF 1/1/10 1 OLD =1 NEW CU 891894107								
THOMAS & WATSON CO								
Dividend Option Cash								
Security Identifier WW								
9 000	01/28/08	47 3630	426 27	47 5200	427 68	1 41	2 70	0 63%
50 000	06/27/08	53 8560	2,692 80	47 5200	2,376 00	-316 80	15 00	0 63%
36 000	07/08/08	51 3950	1,850.23	47 5200	1,710 72	-139 51	10 80	0 63%
45 000	08/14/08	58 4060	2,628 27	47 5200	2,138 40	-489 87	13 50	0 63%
5 000	09/23/08	51 7080	258.54	47 5200	237 60	-20 94	1 50	0 63%
6 000	10/07/08	43 8630	263 18	47 5200	285 12	21 94	1 80	0 63%
17 000	10/21/08	38 1960	649 33	47 5200	807 84	158 51	5 10	0 63%
1 000	02/23/09	48.1700	48 17	47 5200	47 52	-0 65	0 30	0 63%
8 000	04/29/09	53 6660	429 33	47 5200	380 16	-49 17	2 40	0 63%
6 000	07/09/09	35 7200	214 32	47 5200	285 12	70 80	1 80	0 63%
183.000	**Total**		**$9,460.44**		**$8,696.16**	**-$764.28**	**$54.90**	
WEST PHARMACEUTICAL SVCS INC COM								
Dividend Option Cash								
Security Identifier WST								
52 000	03/11/09	29 9880	1,559 35	39 2000	2,038 40	479.05	33 28	1 63%
17 000	03/16/09	30 3500	515 95	39 2000	666 40	150.45	10 88	1 63%
6 000	07/09/09	32 8670	197.20	39 2000	235.20	38 00	3 84	1 63%
75.000	**Total**		**$2,272.50**		**$2,940.00**	**$667.50**	**$48.00**	

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
850 California Street
31st Floor
San Francisco CA 94108
(800) 227-4492

Brokerage Account Statement

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3WILEY JOHN & SONS INC CLASS A								
Dividend Option Cash								
Security Identifier JW A								
75 000	11/28/08	33 9580	2,546 85	41 8800	3,141 00	594 15	42 00	1 33%
7 000	01/26/09	36 4070	254 85	41 8800	293.16	38 31	3 92	1 33%
10 000	03/11/09	28 1700	281 70	41 8800	418 80	137 10	5 60	1 33%
2 000	04/29/09	34 6500	69.30	41 8800	83 76	14 46	1 12	1 33%
11 000	06/25/09	34 1380	375 52	41 8800	460 68	85 16	6 16	1 33%
6 000	07/10/09	31 8370	191 02	41 8800	251 28	60 26	3 36	1 33%
26 000	12/07/09	38 5000	1,001 00	41 8800	1,088 88	87 88	14 56	1 33%
137.000	**Total**		**$4,720.24**		**$5,737.56**	**$1,017.32**	**$76.72**	
WOLVERINE WORLD WIDE INC COMMON STOCK								
Dividend Option Cash								
Security Identifier WWW								
126 000	08/28/09	24 3770	3,071 53	27 2200	3,429 72	358 19	55 44	1 61%
31 000	09/22/09	25 6830	796 16	27 2200	843 82	47 66	13 64	1 61%
35 000	11/06/09	26 8900	941 14	27.2200	952 70	11.56	15 40	1 61%
192.000	**Total**		**$4,808.83**		**$5,226.24**	**$417.41**	**$84.48**	
Total Common Stocks			**$325,419.44**		**$396,261.13**	**$70,841.69**	**$3,241.23**	
Real Estate Investment Trusts								
CHIMERA INVT CORP COM								
Dividend Option Cash								
Security Identifier. CIM								
329 000	05/29/09	3 4100	1,121 89	3 8800	1,276 52	154 63	223 72	17 52%
420 000	06/22/09	3.1900	1,339 80	3.8800	1,629.60	289 80	285 60	17 52%
81 000	06/23/09	3 1600	255.94	3 8800	314 28	58 34	55 08	17 52%
66 000	07/09/09	3 2990	217 73	3 8800	256 08	38 35	44 88	17 52%
15 000	07/14/09	3 3900	50.85	3 8800	58 20	7 35	10 20	17 52%
116.000	10/20/09	3 8700	448 92	3 8800	450 08	1 16	78 88	17 52%
24.000	11/02/09	3 5480	85 15	3.8800	93.12	7 97	16 32	17 52%

Account Number 2M9-003203
CHANGE HAPPENS FOUNDATION

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Real Estate Investment Trusts *(continued)*								
CHIMERA INVT CORP COM *(continued)*								
107 000	12/21/09	3 8700	414 07	3 8800	415 16	1 09	72 76	17 52%
1,158.000	**Total**		**$3,934.35**		**$4,493.04**	**$558.69**	**$787.44**	
DIAMONDROCK HOSPITALITY CO COM								
Dividend Option Cash								
Security Identifier. DRH								
204 000	10/02/09	7 7000	1,570 72	8 4700	1,727 88	157 16	269 28	15 58%
84 000	11/02/09	7 3680	618 90	8 4700	711 48	92 58	110 88	15 58%
21 000	11/27/09	8 0890	169 86	8 4700	177 87	8 01	27 72	15 58%
309.000	**Total**		**$2,359.48**		**$2,617.23**	**$257.75**	**$407.88**	
LASALLE HOTEL PPTYS COM SH BEN INT								
Dividend Option· Cash								
Security Identifier LHO								
99 000	10/02/09	18 4090	1,822 50	21 2300	2,101 77	279 27	3 96	0 18%
16 000	10/29/09	18 1420	290 27	21 2300	339 68	49 41	0 64	0 18%
14 000	11/20/09	18 8460	263 85	21.2300	297 22	33 37	0 56	0 18%
129.000	**Total**		**$2,376.62**		**$2,738.67**	**$362.05**	**$5.16**	
3MFA FINL INC COM								
Dividend Option Cash								
Security Identifier MFA								
53 000	09/24/08	6 4090	339.70	7.3500	389 55	49 85	57 24	14 69%
199 000	11/05/08	5 8140	1,156 99	7 3500	1,462 65	305 66	214 92	14 69%
51 000	12/04/08	5 9120	301 52	7 3500	374 85	73 33	55 08	14 69%
12 000	12/19/08	5 9450	71 34	7 3500	88 20	16 86	12 96	14 69%
34 000	01/16/09	6 0500	205 70	7 3500	249 90	44 20	36 72	14 69%
35 000	01/26/09	5 9630	208 69	7 3500	257 25	48 56	37 80	14 69%
2 000	02/04/09	5 7500	11 50	7 3500	14 70	3 20	2 16	14 69%
1 000	02/18/09	5 9300	5 93	7 3500	7 35	1 42	1 08	14 69%
10 000	03/11/09	5 7600	57 60	7 3500	73 50	15 90	10 80	14 69%
42 000	04/29/09	5 8000	243 60	7 3500	308 70	65 10	45 36	14 69%
40 000	05/06/09	6 2400	249 60	7 3500	294 00	44 40	43 20	14 69%
37 000	07/31/09	7 4490	275 62	7 3500	271 95	-3 67	39 96	14 69%
46 000	12/21/09	7 4600	343 16	7 3500	338 10	-5 06	49 68	14 69%
562.000	**Total**		**$3,470.95**		**$4,130.70**	**$659.75**	**$606.96**	
Total Real Estate Investment Trusts			**$12,141.40**		**$13,979.64**	**$1,838.24**	**$1,807.44**	
Total Equities			**$337,560.84**		**$410,240.77**	**$72,679.93**	**$5,048.67**	

CREDIT SUISSE

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Brokerage
Account Statement

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 2.00% of Portfolio								
Mutual Funds								
APOLLO INVT CORP COM SHS BEN INT								
Closed End Fund								
Security Identifier AINV								
Dividend Option Cash, Capital Gains Option Cash								
256 000	08/11/09	8 8560	2,267 11	9 5300	2,439 68	172 57	286 72	11 75%
110 000	08/17/09	8 8390	972 29	9 5300	1,048 30	76 01	123 20	11 75%
68 000	08/21/09	9 0800	617 41	9 5300	648 04	30 63	76 16	11 75%
85 000	09/15/09	9 9390	844 82	9 5300	810 05	-34 77	95 20	11 75%
26 000	12/14/09	9 9400	258.44	9 5300	247 78	-10 66	29 12	11.75%
545.000	**Total**		**$4,960.07**		**$5,193.85**	**$233.78**	**$610.40**	
ARES CAP CORP COM								
Closed End Fund								
Security Identifier ARCC								
Dividend Option Cash, Capital Gains Option. Cash								
176 000	12/21/09	12 3000	2,164 79	12 4500	2,191 20	26 41	246 40	11 24%
Total Mutual Funds			**$7,124.86**		**$7,385.05**	**$260.19**	**$856.80**	
Total Mutual Funds			**$7,124.86**		**$7,385.05**	**$260.19**	**$856.80**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$369,054.05	$441,994.17	$72,940.12	$0.00	$5,905.47

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

The values, where indicated, of real estate investment trusts (REITs) and direct participation programs (DPPs), including limited partnerships, have been provided by the REITs or DPPs, generally through an intermediary The values are not guaranteed and are intended to reflect an estimate of the interest in the REIT or DPP represented by the units or shares described above. REIT and DPP securities are generally illiquid, and the value may not be realized when you seek to liquidate the security Where no value is indicated, please note that.

- REIT or DPP securities are generally illiquid
- The value of the security may be different from its purchase price
- Accurate valuation information is not available.

LESS CASH (24,368.35)

TOTAL INVESTMENTS $417,625.82

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 98.00% of Portfolio								
Common Stocks								
$100,000THS KINDER MORGAN MGMT LLC SHS								
Dividend Option Cash								
Security Identifier EKE55U103								
9,576 000	05/18/09	N/A	Please Provide	N/A	N/A	N/A		
35,802 000	08/14/09	N/A	Please Provide	N/A	N/A	N/A		
24,821 000	11/13/09	N/A	Please Provide	N/A	N/A	N/A		
70,199.000	**Total**		**N/A**		**$0.00**	**N/A**	**$0.00**	
MONTPELIER RE HOLDINGS LTD SHS								
ISIN#BMG621851069								
Dividend Option. Cash								
Security Identifier MRH								
890 000	03/09/09	10 9000	9,700.64	17 3200	15,414.80	5,714 16	320 40	2 07%
WHITE MOUNTAINS INSURANCE GROUP LTD								
ISIN#BMG9618E1075 SHS								
Dividend Option Cash								
Security Identifier WTM								
50 000	03/09/09	150 6500	7,532 50	332 6600	16,633 00	9,100 50	50 00	0 30%
ADVENT SOFTWARE INC COM								
Dividend Option· Cash								
Security Identifier ADVS								
105.000	03/09/09	25 1510	2,640.85	40.7300	4,276 65	1,635 80		
ALBEMARLE CORP								
Dividend Option: Cash								
Security Identifier. ALB								
507.000	03/09/09	16 2200	8,223 50	36 3700	18,439.59	10,216 09	253.50	1.37%
ALEXANDER & BALDWIN INC COM								
Dividend Option. Cash								
Security Identifier ALEX								
650 000	03/09/09	16 2050	10,533 45	34 2300	22,249 50	11,716 05	819 00	3 68%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ALLEGHANY CORP DEL COM								
Dividend Option. Cash								
Security Identifier Y								
51 000	03/09/09	256 3630	13,074 50	276 0000	14,076 00	1,001 50		
ATWOOD OCEANICS INC								
Dividend Option Cash								
Security Identifier ATW								
540 000	03/09/09	13 7010	7,398 46	35 8500	19,359 00	11,960 54		
BRINKS CO COM								
Dividend Option Cash								
Security Identifier BCO								
310 000	03/09/09	21 5670	6,685 86	24 3400	7,545 40	859 54	124 00	1 64%
BRINKS HOME SEC HLDGS INC COM								
Dividend Option Cash								
Security Identifier CFL								
230 000	03/09/09	19 9880	4,597.24	32 6400	7,507.20	2,909 96		
85 000	08/11/09	28 4500	2,418.24	32 6400	2,774 40	356 16		
315.000	**Total**		**$7,015.48**		**$10,281.60**	**$3,266.12**	**$0.00**	
BUCKEYE PARTNERS L.P								
Dividend Option. Cash								
Security Identifier. BPL								
130 000	03/09/09	33 6090	4,369 21	54 4500	7,078 50	2,709 29	481 00	6 79%
CABELAS INC COM CL A								
Dividend Option Cash								
Security Identifier CAB								
331 000	06/17/09	11 0730	3,665 00	14 2600	4,720 06	1,055 06		
160 000	06/18/09	10 9800	1,756 74	14 2600	2,281 60	524 86		
129 000	07/01/09	12.3520	1,593 46	14.2600	1,839 54	246 08		
77 000	08/11/09	16.2290	1,249 66	14 2600	1,098 02	-151 64		
697.000	**Total**		**$8,264.86**		**$9,939.22**	**$1,674.36**	**$0.00**	
CARMAX INC COM								
Dividend Option. Cash								
Security Identifier KMX								
169 000	06/17/09	12 6560	2,138 90	24 2500	4,098 25	1,959 35		
140 000	06/18/09	13 0060	1,820 80	24 2500	3,395 00	1,574 20		
107.000	07/01/09	14.7270	1,575.78	24 2500	2,594 75	1,018 97		
416.000	**Total**		**$5,535.48**		**$10,088.00**	**$4,552.52**	**$0.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CORRECTIONS CORP AMER NEW COM NEW								
Dividend Option: Cash								
Security Identifier CXW								
650 000	03/09/09	9 9580	6,472 70	24.5500	15,957.50	9,484 80		
EATON VANCE CORP COM NON VTG								
Dividend Option Cash								
Security Identifier. EV								
370 000	03/09/09	14 8460	5,493 02	30 4100	11,251.70	5,758 68	236 80	2 10%
ENERGIZER HLDGS INC COM								
Dividend Option. Cash								
Security Identifier ENR								
160 000	03/09/09	38 3140	6,130.24	61.2800	9,804 80	3,674 56		
FORCE PROTN INC COM NEW								
Dividend Option. Cash								
Security Identifier FRPT								
208 000	11/23/09	5 3470	1,112 22	5 2100	1,083 68	-28.54		
412 000	11/24/09	5 3390	2,199.75	5 2100	2,146.52	-53 23		
247 000	11/25/09	5 2330	1,292 53	5 2100	1,286 87	-5 66		
242 000	12/28/09	5 4740	1,324 80	5 2100	1,260 82	-63 98		
1,109.000	**Total**		**$5,929.30**		**$5,777.89**	**-$151.41**	**$0.00**	
HASBRO INC								
Dividend Option. Cash								
Security Identifier HAS								
145 000	06/17/09	24 9170	3,613 01	32 0600	4,648 70	1,035 69	116 00	2 49%
71 000	06/18/09	24 8040	1,761 11	32 0600	2,276.26	515.15	56 80	2 49%
77 000	07/01/09	24 6770	1,900 14	32 0600	2,468 62	568 48	61 60	2 49%
164 000	08/11/09	26 6700	4,373 85	32.0600	5,257.84	883 99	131.20	2 49%
457.000	**Total**		**$11,648.11**		**$14,651.42**	**$3,003.31**	**$365.60**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
IMS HEALTH INC COM								
Dividend Option Cash								
Security Identifier RX								
253 000	03/09/09	11 3400	2,869 00	21 0600	5,328 18	2,459 18	30 36	0 56%
160 000	08/11/09	12 8080	2,049 34	21 0600	3,369 60	1,320 26	19 20	0 56%
413.000	**Total**		**$4,918.34**		**$8,697.78**	**$3,779.44**	**$49.56**	
INERGY LP UNIT LTD PARTNERSHIP INT								
Dividend Option Cash								
Security Identifier NRGY								
440 000	03/09/09	20 5420	9,038 40	35 6800	15,699.20	6,660 80	1,188 00	7 56%
INVESTORS TITLE CO								
Dividend Option Cash								
Security Identifier ITIC								
152 000	03/09/09	22 1500	3,366 80	31 1000	4,727 20	1,360 40	42 56	0 90%
28 000	03/10/09	20 9000	585 20	31 1000	870 80	285 60	7 84	0 90%
180.000	**Total**		**$3,952.00**		**$5,598.00**	**$1,646.00**	**$50.40**	
KINDER MORGAN MGMT LLC SHS								
Dividend Option Cash								
Security Identifier KMR								
299 000	03/09/09	33 5850	10,041 86	54 6400	16,337 36	6,295 50		
KNOLL INC COM NEW								
Dividend Option Cash								
Security Identifier KNL								
710 000	03/09/09	5 6080	3,981 68	10 3300	7,334 30	3,352 62	56 80	0 77%
KORN / FERRY INTL COM NEW								
Dividend Option Cash								
Security Identifier KFY								
540 000	03/09/09	8 4590	4,567 97	16 5000	8,910 00	4,342 03		
LANCE INC								
Dividend Option Cash								
Security Identifier LNCE								
490 000	03/09/09	21 4260	10,498 74	26 3000	12,887 00	2,388 26	313 60	2 43%
MARKEL CORP COM								
Dividend Option Cash								
Security Identifier MKL								
16 000	03/09/09	214 7400	3,435 84	340 0000	5,440 00	2,004 16		

[redacted]

CREDIT SUISSE

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650 California Street
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San Francisco CA 94108
(800) 227-4492

Preferred Advisors

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
NEWMARKET CORP COM								
Dividend Option Cash								
Security Identifier NEU								
152 000	03/09/09	29.6920	4,513 17	114 7700	17,445 04	12,931 87	228 00	1 30%
46 000	11/10/09	107 2850	4,935.11	114.7700	5,279.42	344 31	69 00	1.30%
198.000	**Total**		**$9,448.28**		**$22,724.46**	**$13,276.18**	**$297.00**	
OWENS AND MINOR INC HLDGS CO INC								
Dividend Option: Cash								
Security Identifier OMI								
150 000	03/09/09	30 5490	4,582 37	42 9300	6,439 50	1,857 13	138 00	2.14%
PRICESMART INC COM								
Dividend Option: Cash								
Security Identifier PSMT								
690 000	03/09/09	15 7280	10,852 43	20 4400	14,103 60	3,251 17	345 00	2.44%
SERVICE CORP INTL								
Dividend Option: Cash								
Security Identifier SCI								
1,540.000	03/09/09	2 8290	4,356 97	8 1900	12,612 60	8,255 63	246 40	1 95%
STURM RUGER & CO INC								
Dividend Option. Cash								
Security Identifier. RGR								
1,150 000	03/09/09	10 2660	11,805 90	9 7000	11,155 00	-650 90	441 60	3 95%
SYNAPTICS INC COM								
Dividend Option: Cash								
Security Identifier SYNA								
154 000	09/16/09	28 4670	4,383 87	30 6500	4,720 10	336 23		
169 000	09/22/09	26 7560	4,521 81	30 6500	5,179.85	658 04		
323.000	**Total**		**$8,905.68**		**$9,899.95**	**$994.27**	**$0.00**	
TEJON RANCH CO								
Dividend Option Cash								
Security Identifier TRC								

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
TEJON RANCH CO *(continued)*								
400 000	03/09/09	18 7180	7,487 32	29 2200	11,688 00	4,200 68		
TENET HEALTHCARE CORP								
Dividend Option Cash								
Security Identifier THC								
1,276 000	06/17/09	2 8420	3,626 01	5 3900	6,877 64	3,251 63		
599 000	06/18/09	2 9350	1,758 36	5 3900	3,228 61	1,470 25		
436 000	07/01/09	2 8680	1,250 23	5 3900	2,350 04	1,099 81		
404 000	08/11/09	4 1500	1,676 58	5 3900	2,177 56	500 98		
2,715.000	**Total**		**$8,311.18**		**$14,633.85**	**$6,322.67**	**$0.00**	
TREDEGAR CORP								
Dividend Option Cash								
Security Identifier TG								
350 000	03/09/09	14 6200	5,116 91	15 8200	5,537 00	420 09	56 00	1 01%
UNIVERSAL CORP VA								
Dividend Option Cash								
Security Identifier UVV								
237 000	04/27/09	30 2860	7,177 90	45 6100	10,809 57	3,631 67	445 56	4 12%
VULCAN MATERIALS CO HLDG CO COM								
Dividend Option Cash								
Security Identifier VMC								
130 000	03/09/09	34 8030	4,524 36	52 6700	6,847 10	2,322 74	130 00	1 89%
WESCO FINL CORP								
Dividend Option Cash								
Security Identifier WSC								
30 000	03/09/09	226 0000	6,780 00	343 0000	10,290 00	3,510 00	47 40	0 46%
Total Common Stocks			**$266,432.29**		**$430,468.84**	**$164,036.55**	**$6,455.62**	
Real Estate Investment Trusts								
HATTERAS FINL CORP COM								
Dividend Option Cash								
Security Identifier HTS								
489 000	03/09/09	21 8800	10,699 08	27 9600	13,672 44	2,973.36	2,347 20	17.16%
UDR INC COM								
Dividend Option Cash								
Security Identifier UDR								

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Real Estate Investment Trusts *(continued)*								
UDR INC COM *(continued)*								
620 000	03/09/09	7 2280	4,481 36	16 4400	10,192 80	5,711 44	446 40	4 37%
Total Real Estate Investment Trusts			**$15,180.44**		**$23,865.24**	**$8,684.80**	**$2,793.60**	
Total Equities			**$281,612.73**		**$454,334.08**	**$172,721.35**	**$9,249.22**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$290,383.80**	**$463,105.15**	**$172,721.35**	**$0.00**	**$9,249.22**

[5] Unrealized gains and losses are not reported for securities for which cost basis or market value is not available.

The values, where indicated, of real estate investment trusts (REITs) and direct participation programs (DPPs), including limited partnerships, have been provided by the REITs or DPPs, generally through an intermediary. The values are not guaranteed and are intended to reflect an estimate of the interest in the REIT or DPP represented by the units or shares described above. REIT and DPP securities are generally illiquid, and the value may not be realized when you seek to liquidate the security. Where no value is indicated, please note that:

- REIT or DPP securities are generally illiquid
- The value of the security may be different from its purchase price
- Accurate valuation information is not available.

Please note the values for DPPs and REITs which are provided by the REITs and DPPs may not reflect recent activity and do not reflect an independent evaluation of the REIT or DPP.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 98.00% of Portfolio								
Common Stocks								
COVIDIEN PLC SHS								
ISIN#IE00B3QN1M21								
Dividend Option Cash								
Security Identifier COV								
148 000	08/15/08	53 5880	7,930 95	47 8900	7,087 72	-843.23	106 56	1 50%
7 000	03/09/09	28 4600	199 22	47 8900	335 23	136 01	5 04	1 50%
7 000	09/15/09	41 4700	290 29	47 8900	335 23	44 94	5 04	1 50%
162.000	**Total**		**$8,420.46**		**$7,758.18**	**-$662.28**	**$116.64**	
EVEREST REINSURANCE GROUP LTD SHS								
ISIN#BMG3223R1088								
Dividend Option Cash								
Security Identifier RE								
129 000	03/09/09	62 4080	8,050 64	85 6800	11,052 72	3,002 08	247 68	2 24%
15 000	05/15/09	70 0100	1,050 15	85 6800	1,285 20	235 05	28 80	2 24%
4 000	08/11/09	82 7400	330 96	85 6800	342 72	11 76	7.68	2 24%
5 000	11/19/09	88 0920	440 46	85 6800	428 40	-12 06	9 60	2 24%
3 000	12/22/09	86 5470	259 64	85 6800	257.04	-2 60	5 76	2.24%
156.000	**Total**		**$10,131.85**		**$13,366.08**	**$3,234.23**	**$299.52**	
NABORS INDS LTD SHS ISIN#BMG6359F1032								
Dividend Option Cash								
Security Identifier NBR								
148 000	03/09/09	9 3080	1,377 59	21 8900	3,239 72	1,862 13		
20 000	05/15/09	16 2400	324 80	21.8900	437 80	113 00		
168.000	**Total**		**$1,702.39**		**$3,677.52**	**$1,975.13**	**$0.00**	
WHITE MOUNTAINS INSURANCE GROUP LTD								
ISIN#BMG9618E1075 SHS								
Dividend Option: Cash								
Security Identifier WTM								
17.000	03/09/09	151 8800	2,581 96	332 6600	5,655 22	3,073 26	17 00	0 30%

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
WEATHERFORD INTL LTD REG								
ISIN#CH0038838394								
Dividend Option· Cash								
Security Identifier WFT								
68 000	10/22/08	15 0910	1,026 22	17 9300	1,219.24	193 02		
26 000	05/15/09	17 8400	463 84	17 9300	466 18	2 34		
5 000	12/22/09	17 4980	87 49	17.9300	89 65	2 16		
99.000	**Total**		**$1,577.55**		**$1,775.07**	**$197.52**	**$0.00**	
3TYCO INTL LTD SHS								
ISIN#CH0100383485								
Dividend Option Cash								
Security Identifier· TYC								
108 000	03/09/09	18 0580	1,950.26	35 6800	3,853 44	1,903 18	86 40	2 24%
9 000	09/15/09	33 2480	299 23	35 6800	321.12	21 89	7 20	2 24%
3 000	12/22/09	35 2770	105 83	35 6800	107.04	1.21	2 40	2 24%
120.000	**Total**		**$2,355.32**		**$4,281.60**	**$1,926.28**	**$96.00**	
AES CORP								
Dividend Option Cash								
Security Identifier AES								
405 000	03/09/09	5 1780	2,097 09	13 3100	5,390 55	3,293 46		
9 000	12/22/09	13 6190	122 57	13 3100	119 79	-2 78		
414.000	**Total**		**$2,219.66**		**$5,510.34**	**$3,290.68**	**$0.00**	
ALLEGHENY ENERGY INC COM								
Dividend Option Cash								
Security Identifier AYE								
230 000	03/09/09	21 0280	4,836 53	23 4800	5,400 40	563 87	138 00	2 55%
10 000	06/24/09	25 7000	257 00	23 4800	234 80	-22 20	6 00	2 55%
25.000	12/22/09	24 0280	600 71	23 4800	587 00	-13 71	15 00	2 55%
265.000	**Total**		**$5,694.24**		**$6,222.20**	**$527.96**	**$159.00**	
ALLIANT TECHSYSTEMS INC								
Dividend Option· Cash								
Security Identifier ATK								
97 000	03/09/09	63.3990	6,149.74	88 2700	8,562 19	2,412 45		
10 000	11/19/09	84 3190	843.19	88 2700	882 70	39 51		
3 000	12/22/09	89 4300	268 29	88 2700	264 81	-3 48		
110.000	**Total**		**$7,261.22**		**$9,709.70**	**$2,448.48**	**$0.00**	

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
AMERICAN TOWER CORP CL A								
Dividend Option. Cash								
Security Identifier AMT								
25 000	03/09/09	27 4400	686 00	43 2100	1,080 25	394 25		
5 000	05/15/09	28 5100	142 55	43 2100	216 05	73 50		
123 000	06/24/09	31 6390	3,891 62	43.2100	5,314 83	1,423 21		
110 000	08/11/09	32 3780	3,561 55	43 2100	4,753 10	1,191 55		
4 000	09/15/09	35 1300	140 52	43 2100	172 84	32 32		
8 000	11/19/09	40 0980	320 78	43 2100	345 68	24 90		
3 000	12/22/09	42 7400	128 22	43 2100	129 63	1 41		
278.000	**Total**		**$8,871.24**		**$12,012.38**	**$3,141.14**	**$0.00**	
AMERIPRISE FINL INC COM								
Dividend Option. Cash								
Security Identifier AMP								
103 000	03/09/09	14 2080	1,463 47	38 8200	3,998 46	2,534 99	70 04	1 75%
4 000	06/24/09	24 0000	96 00	38 8200	155 28	59 28	2 72	1 75%
5 000	11/19/09	37 8580	189 29	38 8200	194 10	4 81	3 40	1 75%
3 000	12/22/09	38 5600	115 68	38 8200	116 46	0 78	2 04	1 75%
115.000	**Total**		**$1,864.44**		**$4,464.30**	**$2,599.86**	**$78.20**	
3AON CORPORATION								
Dividend Option Cash								
Security Identifier AON								
85 000	08/15/08	47 2730	4,018 24	38 3400	3,258 90	-759 34	51 00	1 56%
24 000	05/15/09	36 8460	884 30	38 3400	920 16	35 86	14 40	1 56%
4 000	06/24/09	37 2000	148 80	38 3400	153 36	4 56	2 40	1 56%
9 000	11/19/09	38 7190	348 47	38 3400	345 06	-3 41	5 40	1 56%
6 000	12/22/09	38 6180	231.71	38 3400	230 04	-1 67	3 60	1 56%
128.000	**Total**		**$5,631.52**		**$4,907.52**	**-$724.00**	**$76.80**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
AUTOMATIC DATA PROCESSING INC COM								
Dividend Option Cash								
Security Identifier ADP								
37 000	03/09/09	33 3000	1,232 10	42 8200	1,584 34	352 24	50 32	3 17%
9 000	05/15/09	36 2700	326 43	42 8200	385 38	58 95	12.24	3 17%
46.000	**Total**		**$1,558.53**		**$1,969.72**	**$411.19**	**$62.56**	
3BROADRIDGE FINL SOLUTIONS INC COM								
Dividend Option Cash								
Security Identifier BR								
110 000	08/15/08	19 8400	2,182 40	22 5600	2,481 60	299 20	61 60	2 48%
29 000	05/15/09	17 5690	509 50	22 5600	654 24	144 74	16 24	2 48%
9 000	06/24/09	16 0660	144 59	22 5600	203 04	58 45	5 04	2 48%
4 000	08/11/09	19 2700	77 08	22 5600	90 24	13 16	2 24	2 48%
9 000	09/15/09	21 2590	191.33	22 5600	203 04	11 71	5 04	2 48%
161.000	**Total**		**$3,104.90**		**$3,632.16**	**$527.26**	**$90.16**	
BROOKFIELD ASSET MGMT INC VTG								
SHS CL A ISIN#CA1125851040								
Dividend Option Cash								
Security Identifier BAM								
251 000	03/09/09	11.5980	2,911 09	22 1800	5,567 18	2,656 09	130 52	2 34%
123 000	11/19/09	21 0480	2,588 96	22 1800	2,728 14	139 18	63 96	2 34%
76 000	12/22/09	21 5790	1,640 00	22 1800	1,685 68	45 68	39 52	2 34%
450.000	**Total**		**$7,140.05**		**$9,981.00**	**$2,840.95**	**$234.00**	
BROWN & BROWN INC								
Dividend Option Cash								
Security Identifier BRO								
233.000	03/09/09	15 9360	3,713.09	17 9700	4,187 01	473.92	72 23	1 72%
33 000	05/15/09	18 4600	609 18	17 9700	593 01	-16 17	10 23	1 72%
11 000	06/24/09	19.3500	212 85	17 9700	197.67	-15 18	3 41	1 72%
20 000	11/19/09	17 8980	357 95	17 9700	359 40	1 45	6 20	1 72%
10 000	12/22/09	17 9480	179 48	17 9700	179 70	0 22	3 10	1 72%
307.000	**Total**		**$5,072.55**		**$5,516.79**	**$444.24**	**$95.17**	
CALPINE CORP COM NEW								
Dividend Option Cash								
Security Identifier CPN								
475 000	03/09/09	5 0980	2,421 54	11 0000	5,225 00	2,803 46		
66 000	12/22/09	11 1880	738 41	11 0000	726 00	-12 41		
541.000	**Total**		**$3,159.95**		**$5,951.00**	**$2,791.05**	**$0.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CIMAREX ENERGY CO COM								
Dividend Option Cash								
Security Identifier XEC								
237 000	03/09/09	16 9240	4,010 96	52.9700	12,553.89	8,542 93	56 88	0 45%
3CINTAS CORP								
Dividend Option Cash								
Security Identifier CTAS								
102 000	08/15/08	31 0960	3,171.83	26.0500	2,657 10	-514 73	47 94	1 80%
380 000	03/09/09	18 5380	7,044 52	26 0500	9,899 00	2,854.48	178 60	1 80%
9 000	05/15/09	25 0900	225.81	26 0500	234 45	8 64	4.23	1 80%
491.000	**Total**		**$10,442.16**		**$12,790.55**	**$2,348.39**	**$230.77**	
CLOROX CO COM								
Dividend Option. Cash								
Security Identifier CLX								
153 000	08/11/09	57.7040	8,828 77	61 0000	9,333 00	504 23	306 00	3.27%
4 000	09/15/09	57.2200	228.88	61 0000	244 00	15.12	8 00	3 27%
17 000	11/19/09	59 8680	1,017 76	61 0000	1,037 00	19 24	34 00	3 27%
174.000	**Total**		**$10,075.41**		**$10,614.00**	**$538.59**	**$348.00**	
COPART INC								
Dividend Option Cash								
Security Identifier CPRT								
12 000	05/15/09	29 6890	356.27	36 6300	439.56	83 29		
20 000	08/11/09	36 0540	721 08	36 6300	732 60	11 52		
4 000	09/15/09	37 0100	148 04	36 6300	146 52	-1 52		
58 000	11/19/09	33 1400	1,922 10	36 6300	2,124.54	202.44		
8 000	12/22/09	36 0380	288 30	36.6300	293 04	4 74		
102.000	**Total**		**$3,435.79**		**$3,736.26**	**$300.47**	**$0.00**	
COVANTA HLDGS CORP COM								
Dividend Option Cash								
Security Identifier CVA								
678 000	03/09/09	13 5480	9,185.54	18 0900	12,265 02	3,079 48		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
COVANTA HLDGS CORP COM *(continued)*								
9 000	08/11/09	16.9980	152 98	18 0900	162 81	9 83		
24 000	11/19/09	17 0400	408 96	18 0900	434 16	25 20		
36 000	12/22/09	17.6340	634 81	18 0900	651 24	16 43		
747.000	**Total**		**$10,382.29**		**$13,513.23**	**$3,130.94**	**$0.00**	
COVENTRY HEALTH CARE INC COM								
Dividend Option Cash								
Security Identifier CVH								
206 000	03/09/09	8 5480	1,760 97	24.2900	5,003 74	3,242 77		
DENTSPLY INTL INC NEW								
Dividend Option· Cash								
Security Identifier XRAY								
102 000	03/09/09	22 2980	2,274 42	35 1700	3,587 34	1,312 92	20 40	0 56%
36 000	05/15/09	29 4200	1,059 11	35 1700	1,266 12	207 01	7 20	0 56%
4 000	08/11/09	32 3200	129.28	35.1700	140 68	11 40	0 80	0 56%
19 000	11/19/09	33 1970	630 75	35.1700	668 23	37 48	3 80	0 56%
8 000	12/22/09	34 9280	279 42	35 1700	281 36	1 94	1 60	0 56%
169.000	**Total**		**$4,372.98**		**$5,943.73**	**$1,570.75**	**$33.80**	
DISCOVERY COMMUNICATIONS INC								
COM SER C COM NEW								
Dividend Option Cash								
Security Identifier DISCK								
263 000	03/09/09	13 7990	3,629 20	26 5200	6,974 76	3,345 56		
72 000	05/15/09	18 1180	1,304 52	26 5200	1,909 44	604 92		
7 000	09/15/09	25 5000	178 50	26 5200	185 64	7 14		
302 000	11/19/09	27 2450	8,227 98	26 5200	8,009 04	-218 94		
50 000	12/22/09	27 0500	1,352 48	26.5200	1,326 00	-26 48		
694.000	**Total**		**$14,692.68**		**$18,404.88**	**$3,712.20**	**$0.00**	
DISH NETWORK CORP CL A								
Dividend Option Cash								
Security Identifier DISH								
527 000	03/09/09	9 7190	5,121.92	20 7700	10,945 79	5,823 87		
21 000	09/15/09	17 9880	377 75	20 7700	436 17	58 42		
12 000	11/19/09	19 6980	236 38	20 7700	249 24	12 86		
98 000	12/22/09	21 1000	2,067.76	20 7700	2,035 46	-32 30		
658.000	**Total**		**$7,803.81**		**$13,666.66**	**$5,862.85**	**$0.00**	

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
DUN & BRADSTREET CORP DEL NEW COM								
Dividend Option Cash								
Security Identifier DNB								
63 000	08/11/09	74 2170	4,675 65	84 3700	5,315 31	639 66	85 68	1 61%
23 000	09/15/09	74 5690	1,715 09	84 3700	1,940 51	225 42	31 28	1 61%
16.000	11/19/09	79 9600	1,279 36	84 3700	1,349 92	70 56	21 76	1 61%
5 000	12/22/09	82 7020	413 51	84.3700	421 85	8 34	6 80	1 61%
107.000	**Total**		**$8,083.61**		**$9,027.59**	**$943.98**	**$145.52**	
EOG RES INC COM								
Dividend Option Cash								
Security Identifier EOG								
83 000	03/09/09	51 8550	4,303 93	97 3000	8,075 90	3,771 97	48 14	0 59%
EQT CORPORATION COM								
Dividend Option Cash								
Security Identifier EQT								
212 000	03/09/09	29 5480	6,264 22	43 9200	9,311 04	3,046 82	186 56	2 00%
5 000	11/19/09	40 7360	203 68	43 9200	219 60	15 92	4 40	2 00%
17 000	12/22/09	44 1520	750.58	43 9200	746 64	-3 94	14 96	2 00%
234.000	**Total**		**$7,218.48**		**$10,277.28**	**$3,058.80**	**$205.92**	
ECHOSTAR CORP CL A								
Dividend Option Cash								
Security Identifier SATS								
134 000	03/09/09	14 5290	1,946 86	20 1400	2,698 76	751 90		
45 000	05/15/09	16 0570	722 58	20 1400	906 30	183 72		
11 000	06/24/09	14 8300	163 13	20 1400	221 54	58 41		
190.000	**Total**		**$2,832.57**		**$3,826.60**	**$994.03**	**$0.00**	
ENCORE ACQUISITION CO COM								
Dividend Option Cash								
Security Identifier EAC								
312 000	03/09/09	19 0690	5,949 65	48 0200	14,982 24	9,032 59		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ENCORE ACQUISITION CO COM *(continued)*								
5 000	12/22/09	47 9040	239 52	48 0200	240 10	0 58		
317.000	**Total**		**$6,189.17**		**$15,222.34**	**$9,033.17**	**$0.00**	
FIDELITY NATL INFORMATION SVCS INC								
COM								
Dividend Option Cash								
Security Identifier FIS								
244 000	03/09/09	15 9580	3,893 80	23 4400	5,719 36	1,825 56	48 80	0 85%
38 000	05/15/09	18 3480	697.23	23 4400	890 72	193 49	7 60	0 85%
4 000	06/24/09	19 7000	78 80	23 4400	93 76	14 96	0 80	0 85%
8 000	08/11/09	24 0400	192 32	23 4400	187 52	-4 80	1 60	0 85%
21 000	09/15/09	24 7780	520 34	23 4400	492 24	-28 10	4 20	0 85%
7 000	11/19/09	23 1190	161 83	23 4400	164 08	2 25	1 40	0 85%
322.000	**Total**		**$5,544.32**		**$7,547.68**	**$2,003.36**	**$64.40**	
FOREST CITY ENTERPRISES INC								
CL A								
Dividend Option Cash								
Security Identifier FCE A								
278 000	03/09/09	4 0780	1,133 74	11 7800	3,274 84	2,141 10		
7 000	12/22/09	12 5970	88 18	11 7800	82 46	-5 72		
285.000	**Total**		**$1,221.92**		**$3,357.30**	**$2,135.38**	**$0.00**	
GENTEX CORP COM								
Dividend Option Cash								
Security Identifier GNTX								
263 000	03/09/09	7 3200	1,925 05	17 8500	4,694 55	2,769 50	115 72	2 46%
8 000	09/15/09	15 1490	121 19	17 8500	142 80	21 61	3 52	2 46%
35 000	12/22/09	17 6670	618 34	17 8500	624 75	6 41	15 40	2 46%
306.000	**Total**		**$2,664.58**		**$5,462.10**	**$2,797.52**	**$134.64**	
HEARTLAND EXPRESS INC								
Dividend Option Cash								
Security Identifier HTLD								
45 000	03/09/09	12 2500	551 25	15 2700	687 15	135 90	3 60	0 52%
6 000	05/15/09	14 9400	89 64	15.2700	91 62	1.98	0 48	0.52%
51.000	**Total**		**$640.89**		**$778.77**	**$137.88**	**$4.08**	
INTUIT INCORPORATED COM								
Dividend Option. Cash								
Security Identifier INTU								
76 000	03/09/09	23 2400	1,766 24	30 7100	2,333 96	567 72		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
INTUIT INCORPORATED COM *(continued)*								
23.000	05/15/09	24 4200	561.66	30 7100	706 33	144 67		
3 000	06/24/09	27 7400	83 22	30.7100	92 13	8 91		
5 000	08/11/09	30 2300	151 15	30 7100	153 55	2 40		
38 000	09/15/09	27 5680	1,047 58	30 7100	1,166 98	119 40		
17 000	11/19/09	30 1280	512.18	30 7100	522 07	9 89		
21 000	12/22/09	30.5780	642 14	30 7100	644 91	2 77		
183.000	**Total**		**$4,764.17**		**$5,619.93**	**$855.76**	**$0.00**	
IRON MTN INC PA COM								
Dividend Option. Cash								
Security Identifier IRM								
463 000	03/09/09	17 9180	8,296 13	22 7600	10,537 88	2,241.75		
39 000	05/15/09	27 1060	1,057 13	22 7600	887 64	-169 49		
87 000	11/19/09	24 2350	2,108 47	22 7600	1,980.12	-128 35		
62 000	12/22/09	23 4290	1,452 60	22.7600	1,411.12	-41.48		
651.000	**Total**		**$12,914.33**		**$14,816.76**	**$1,902.43**	**$0.00**	
KELLOGG CO								
Dividend Option Cash								
Security Identifier K								
104 000	08/11/09	46 1850	4,803.26	53 2000	5,532 80	729 54	156 00	2 81%
15 000	09/15/09	47 9790	719 68	53.2000	798 00	78 32	22 50	2.81%
119.000	**Total**		**$5,522.94**		**$6,330.80**	**$807.86**	**$178.50**	
LABORATORY CORP AMER HLDGS COM NEW								
Dividend Option. Cash								
Security Identifier LH								
186 000	03/09/09	53.9660	10,037 68	74 8400	13,920 24	3,882 56		
15.000	05/15/09	62 5800	938 70	74.8400	1,122 60	183.90		
4 000	06/24/09	65 8700	263 48	74 8400	299.36	35 88		
20 000	11/19/09	73 0430	1,460.86	74 8400	1,496.80	35 94		
4 000	12/22/09	75 7450	302.98	74.8400	299 36	-3 62		
229.000	**Total**		**$13,003.70**		**$17,138.36**	**$4,134.66**	**$0.00**	

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
LAMAR ADVERTISING CO CL A								
Dividend Option. Cash								
Security Identifier LAMR								
65 000	03/09/09	5 5100	358 15	31 0900	2,020.85	1,662 70		
5 000	09/15/09	23 1900	115 95	31 0900	155 45	39 50		
7 000	12/22/09	31 2690	218 88	31 0900	217.63	-1 25		
77.000	**Total**		**$692.98**		**$2,393.93**	**$1,700.95**	**$0.00**	
LENDER PROCESSING SVCS INC COM								
Dividend Option Cash								
Security Identifier LPS								
103 000	03/09/09	25 1000	2,585 29	40 6600	4,187 98	1,602 69	41.20	0 98%
30 000	05/15/09	28 6600	859 79	40 6600	1,219 80	360 01	12 00	0 98%
12 000	06/24/09	26 8100	321.72	40 6600	487 92	166 20	4 80	0 98%
145.000	**Total**		**$3,766.80**		**$5,895.70**	**$2,128.90**	**$58.00**	
LEUCADIA NATIONAL CORP								
Dividend Option Cash								
Security Identifier LUK								
217 000	12/22/09	24 0280	5,214 02	23 7900	5,162.43	-51 59		
LIBERTY GLOBAL INC COM SER A								
Dividend Option Cash								
Security Identifier LBTYA								
486 000	03/09/09	9 6890	4,708 85	21 9100	10,648 26	5,939 41		
15 000	11/19/09	20 9990	314 98	21.9100	328.65	13.67		
64 000	12/22/09	21 3090	1,363 76	21 9100	1,402 24	38 48		
565.000	**Total**		**$6,387.59**		**$12,379.15**	**$5,991.56**	**$0.00**	
LIBERTY MEDIA HLDG CORP INTERACTIVE COM SER A								
Dividend Option Cash								
Security Identifier LINTA								
271 000	03/09/09	2 7170	736 41	10 8400	2,937 64	2,201 23		
12 000	05/15/09	5 6000	67 20	10 8400	130 08	62 88		
14 000	09/15/09	10 7780	150 89	10 8400	151 76	0 87		
16 000	11/19/09	10.7760	172 42	10 8400	173.44	1 02		
37.000	12/22/09	10 5580	390 66	10 8400	401 08	10 42		
350.000	**Total**		**$1,517.58**		**$3,794.00**	**$2,276.42**	**$0.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
LIBERTY MEDIA HLDG CORP CAP COM SER A								
Dividend Option Cash								
Security Identifier LCAPA								
365 000	03/09/09	4 6600	1,700 75	23 8800	8,716 20	7,015 45		
22 000	05/15/09	12 9780	285 52	23 8800	525 36	239 84		
7 000	09/15/09	22 6060	158 24	23 8800	167 16	8 92		
5 000	11/19/09	23 9600	119 80	23 8800	119 40	-0 40		
58 000	12/22/09	23 8460	1,383.04	23 8800	1,385 04	2 00		
457.000	**Total**		**$3,647.35**		**$10,913.16**	**$7,265.81**	**$0.00**	
LIBERTY MEDIA CORP NEW LIBERTY STARZ								
COM SER A								
Dividend Option Cash								
Security Identifier LSTZA								
26 000	03/09/09	22 9380	596 39	46 1500	1,199 90	603 51		
5 700	05/15/09	33 2110	189.30	46 1500	263 05	73 75		
1 300	09/15/09	39 6000	51 48	46 1500	59 99	8 51		
3 000	12/22/09	47 5800	142 74	46 1500	138 46	-4 28		
36.000	**Total**		**$979.91**		**$1,661.40**	**$681.49**	**$0.00**	
LINCARE HLDGS INC								
Dividend Option Cash								
Security Identifier LNCR								
174 000	03/09/09	20 3100	3,533 87	37 1200	6,458 88	2,925 01		
LOEWS CORP COM								
Dividend Option Cash								
Security Identifier L								
381 000	03/09/09	18 3080	6,975 35	36 3500	13,849 35	6,874 00	95 25	0 68%
10.000	05/15/09	25 8080	258 08	36 3500	363 50	105 42	2 50	0 68%
18 000	06/24/09	26 6980	480 56	36 3500	654 30	173 74	4 50	0 68%
24 000	11/19/09	36 0360	864 86	36 3500	872 40	7 54	6 00	0 68%
13 000	12/22/09	36 1480	469 93	36 3500	472 55	2 62	3 25	0 68%
446.000	**Total**		**$9,048.78**		**$16,212.10**	**$7,163.32**	**$111.50**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
MARKEL CORP COM								
Dividend Option Cash								
Security Identifier MKL								
32 000	03/09/09	215 7300	6,903 36	340 0000	10,880 00	3,976 64		
3 000	11/19/09	327 2900	981 87	340 0000	1,020 00	38 13		
35.000	**Total**		**$7,885.23**		**$11,900.00**	**$4,014.77**	**$0.00**	
MARSH & MCLENNAN COS INC COM								
Dividend Option Cash								
Security Identifier MMC								
152 000	03/09/09	18 1780	2,763 06	22 0800	3,356 16	593 10	121 60	3 62%
22 000	05/15/09	19 4200	427 23	22 0800	485 76	58 53	17 60	3 62%
9 000	06/24/09	20 2100	181 89	22 0800	198 72	16 83	7 20	3 62%
17.000	12/22/09	21 8290	371 09	22 0800	375 36	4 27	13 60	3 62%
200.000	**Total**		**$3,743.27**		**$4,416.00**	**$672.73**	**$160.00**	
MERCURY GENERAL CORP								
Dividend Option Cash								
Security Identifier MCY								
111 000	03/09/09	23 1740	2,572 31	39 2600	4,357 86	1,785 55	261 96	6 01%
MICROCHIP TECHNOLOGY INC COM								
Dividend Option Cash								
Security Identifier MCHP								
3 000	03/09/09	18 2300	54 69	29 0600	87 18	32 49	4 08	4 67%
3 000	05/15/09	20 2200	60 66	29 0600	87 18	26 52	4 08	4 67%
5 000	08/11/09	26 3500	131 75	29 0600	145 30	13.55	6 80	4 67%
16 000	09/15/09	27 4840	439.74	29 0600	464 96	25 22	21 76	4 67%
60 000	11/19/09	25 4090	1,524 55	29 0600	1,743 60	219 05	81 60	4 67%
87.000	**Total**		**$2,211.39**		**$2,528.22**	**$316.83**	**$118.32**	
MOHAWK INDUSTRIES INC								
Dividend Option Cash								
Security Identifier MHK								
35 000	03/09/09	17 9500	628 25	47 6000	1,666 00	1,037 75		
18 000	08/11/09	49 2390	886 30	47 6000	856 80	-29 50		
3 000	12/22/09	48.9600	146 88	47 6000	142 80	-4 08		
56.000	**Total**		**$1,661.43**		**$2,665.60**	**$1,004.17**	**$0.00**	
NATIONAL FUEL GAS CO N J COM								
Dividend Option Cash								
Security Identifier NFG								
85 000	03/09/09	27 6600	2,351 10	50 0000	4,250 00	1,898 90	113 90	2 68%

CREDIT SUISSE
CREDIT SUISSE SECURITIES (USA) LLC
650 California Street
31st Floor
San Francisco CA 94108
(800) 227-4492

Preferred Advisors

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
NATIONAL FUEL GAS CO N J COM *(continued)*								
6 000	05/15/09	31 0600	186 36	50 0000	300 00	113 64	8 04	2 68%
8 000	12/22/09	50 0100	400 08	50.0000	400 00	-0 08	10 72	2 68%
99.000	**Total**		**$2,937.54**		**$4,950.00**	**$2,012.46**	**$132.66**	
NEWMONT MINING CORP (HLDG CO)								
Dividend Option Cash								
Security Identifier NEM								
204 000	03/09/09	38 2260	7,798 18	47 3100	9,651 24	1,853 06	81 60	0 84%
76 000	05/15/09	43 3310	3,293 16	47 3100	3,595 56	302 40	30 40	0 84%
9 000	08/11/09	40 1280	361 15	47.3100	425.79	64 64	3 60	0 84%
289.000	**Total**		**$11,452.49**		**$13,672.59**	**$2,220.10**	**$115.60**	
O'REILLY AUTOMOTIVE INC COM								
Dividend Option Cash								
Security Identifier ORLY								
286 000	03/09/09	32 4480	9,280 13	38 1200	10,902 32	1,622 19		
121 000	05/15/09	35 9980	4,355 72	38 1200	4,612 52	256 80		
8 000	06/24/09	36 7840	294 27	38 1200	304 96	10 69		
10 000	08/11/09	37 6000	376 00	38 1200	381.20	5 20		
22 000	09/15/09	36 3960	800 71	38 1200	838 64	37 93		
22 000	11/19/09	38 9870	857 72	38 1200	838.64	-19 08		
52 000	12/22/09	38.4900	2,001 48	38.1200	1,982.24	-19.24		
521.000	**Total**		**$17,966.03**		**$19,860.52**	**$1,894.49**	**$0.00**	
PAYCHEX INC								
Dividend Option: Cash								
Security Identifier PAYX								
28.000	05/15/09	26 8590	752 04	30 6400	857 92	105.88	34 72	4 04%
24 000	08/11/09	27 2480	653 96	30.6400	735.36	81 40	29 76	4 04%
4 000	09/15/09	28 6600	114 64	30 6400	122 56	7 92	4 96	4 04%
56.000	**Total**		**$1,520.64**		**$1,715.84**	**$195.20**	**$69.44**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
PEPSICO INC								
Dividend Option Cash								
Security Identifier PEP								
55 000	06/24/09	53 1850	2,925 17	60 8000	3,344 00	418 83	99 00	2 96%
16 000	08/11/09	57 1880	915 01	60 8000	972 80	57 79	28 80	2 96%
8 000	09/15/09	58 0380	464 30	60 8000	486 40	22 10	14 40	2 96%
79.000	**Total**		**$4,304.48**		**$4,803.20**	**$498.72**	**$142.20**	
PROGRESSIVE CORP OF OHIO COM								
Dividend Option: Cash								
Security Identifier PGR								
161 000	09/15/09	16 8790	2,717 49	17 9900	2,896 39	178 90		
35 000	11/19/09	16 8080	588 28	17 9900	629 65	41 37		
28 000	12/22/09	17 6390	493 89	17 9900	503 72	9 83		
224.000	**Total**		**$3,799.66**		**$4,029.76**	**$230.10**	**$0.00**	
QUESTAR CORP COM								
Dividend Option Cash								
Security Identifier STR								
187 000	03/09/09	26 1460	4,889 38	41 5700	7,773 59	2,884 21	97 24	1 25%
20 000	12/22/09	42 1370	842 73	41.5700	831 40	-11 33	10.40	1 25%
207.000	**Total**		**$5,732.11**		**$8,604.99**	**$2,872.88**	**$107.64**	
ROYAL GOLD INC								
Dividend Option Cash								
Security Identifier RGLD								
206 000	11/19/09	51 6920	10,648 48	47 1000	9,702 60	-945 88	65 92	0 67%
12 000	12/22/09	46 9680	563 61	47 1000	565.20	1 59	3 84	0 67%
218.000	**Total**		**$11,212.09**		**$10,267.80**	**-$944.29**	**$69.76**	
SAIC INC COM								
Dividend Option Cash								
Security Identifier SAI								
459 000	03/09/09	17.3880	7,981 09	18 9400	8,693 46	712 37		
78 000	05/15/09	17 9300	1,398 54	18 9400	1,477 32	78 78		
30 000	06/24/09	18 2500	547 50	18 9400	568 20	20 70		
10 000	11/19/09	18 0800	180 80	18 9400	189 40	8 60		
577.000	**Total**		**$10,107.93**		**$10,928.38**	**$820.45**	**$0.00**	
3ST JUDE MED INC COM								
Dividend Option Cash								
Security Identifier STJ								
102 000	08/15/08	46 0250	4,694 55	36 7800	3,751 56	-942.99		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ST JUDE MED INC COM *(continued)*								
52 000	03/09/09	33.0100	1,716 52	36 7800	1,912 56	196 04		
9 000	05/15/09	37 3100	335 79	36 7800	331 02	-4.77		
163.000	**Total**		**$6,746.86**		**$5,995.14**	**-$751.72**	**$0.00**	
3SCANA CORP NEW								
Dividend Option Cash								
Security Identifier SCG								
84 000	02/11/09	34 3670	2,886 84	37 6800	3,165 12	278 28	157 92	4 98%
3 000	08/11/09	33 9400	101 82	37.6800	113 04	11 22	5 64	4 98%
15 000	12/22/09	37 7240	565.86	37 6800	565 20	-0 66	28 20	4 98%
102.000	**Total**		**$3,554.52**		**$3,843.36**	**$288.84**	**$191.76**	
SPECTRA ENERGY CORP COM								
Dividend Option Cash								
Security Identifier SE								
376 000	03/09/09	11 8490	4,455 29	20 5100	7,711 76	3,256 47	376 00	4 87%
12 000	05/15/09	14 9500	179 40	20 5100	246 12	66.72	12 00	4 87%
5 000	12/22/09	20 3880	101 94	20 5100	102 55	0 61	5 00	4 87%
393.000	**Total**		**$4,736.63**		**$8,060.43**	**$3,323.80**	**$393.00**	
SYSCO CORP								
Dividend Option Cash								
Security Identifier SYY								
236 000	03/09/09	19 8090	4,674 97	27 9400	6,593 84	1,918 87	236 00	3 57%
14 000	05/15/09	23 0800	323 12	27 9400	391 16	68 04	14 00	3 57%
55 000	06/24/09	22 9800	1,263 88	27 9400	1,536 70	272.82	55 00	3 57%
31 000	08/11/09	24 8580	770 60	27 9400	866 14	95 54	31 00	3 57%
5 000	09/15/09	25 8200	129 10	27 9400	139 70	10 60	5.00	3.57%
11 000	11/19/09	27.0280	297 31	27 9400	307 34	10 03	11 00	3 57%
352.000	**Total**		**$7,458.98**		**$9,834.88**	**$2,375.90**	**$352.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
TJX COMPANIES INC (NEW)								
Dividend Option Cash								
Security Identifier TJX								
26.000	03/09/09	22 0800	574 08	36 5500	950 30	376 22	12 48	1 31%
8 000	05/15/09	27 0300	216 24	36 5500	292 40	76 16	3 84	1 31%
49 000	06/24/09	30 4360	1,491 36	36 5500	1,790 95	299 59	23 52	1 31%
22 000	08/11/09	34 7200	763 83	36 5500	804 10	40 27	10 56	1 31%
34 000	09/15/09	36 9290	1,255 57	36 5500	1,242 70	-12 87	16 32	1 31%
17 000	11/19/09	38 8680	660 76	36 5500	621 35	-39 41	8 16	1 31%
69 000	12/22/09	36 9660	2,550 67	36 5500	2,521 95	-28 72	33.12	1 31%
225.000	**Total**		**$7,512.51**		**$8,223.75**	**$711.24**	**$108.00**	
3TELEPHONE & DATA SYS INC SPL COM								
Dividend Option Cash								
Security Identifier TDS S								
200 000	08/15/08	38 0180	7,603 60	30 2000	6,040.00	-1,563 60	86 00	1 42%
7 000	09/15/09	28 9000	202 30	30 2000	211.40	9 10	3 01	1 42%
17 000	12/22/09	30 2490	514.24	30.2000	513 40	-0 84	7 31	1 42%
224.000	**Total**		**$8,320.14**		**$6,764.80**	**-$1,555.34**	**$96.32**	
VALEANT PHARMACEUTICALS INTL								
COM ISIN#CN0009099507								
Dividend Option Cash								
Security Identifier VRX								
327 000	03/09/09	16 0700	5,254 82	31 7900	10,395.33	5,140 51		
WASHINGTON POST CO CL B								
Dividend Option Cash								
Security Identifier WPO								
17 000	03/09/09	312 4500	5,311 65	439 6000	7,473 20	2,161 55	146 20	1 95%
WESTERN UN CO COM								
Dividend Option Cash								
Security Identifier WU								
303 000	03/09/09	10 9090	3,305 48	18 8500	5,711 55	2,406 07	18 18	0 31%
28 000	05/15/09	16 5000	462 00	18 8500	527 80	65 80	1 68	0 31%
8 000	06/24/09	15.9800	127 84	18 8500	150 80	22 96	0 48	0 31%
339.000	**Total**		**$3,895.32**		**$6,390.15**	**$2,494.83**	**$20.34**	
WILLIAMS COS INC COM								
Dividend Option Cash								
Security Identifier WMB								
459 000	03/09/09	10 5080	4,823 17	21 0800	9,675 72	4,852 55	201 96	2 08%
5 000	09/15/09	18 5900	92 95	21 0800	105 40	12 45	2 20	2 08%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
WILLIAMS COS INC COM *(continued)*								
25 000	12/22/09	20 9880	524 71	21 0800	527 00	2 29	11 00	2 08%
489.000	**Total**		**$5,440.83**		**$10,308.12**	**$4,867.29**	**$215.16**	
YUM BRANDS INC COM								
Dividend Option. Cash								
Security Identifier YUM								
7.000	03/09/09	24 0100	168 07	34 9700	244 79	76 72	5 88	2 40%
146 000	11/19/09	35 4330	5,173 17	34 9700	5,105.62	-67 55	122 64	2 40%
19 000	12/22/09	35 3190	671 06	34 9700	664 43	-6 63	15 96	2 40%
172.000	**Total**		**$6,012.30**		**$6,014.84**	**$2.54**	**$144.48**	
Total Common Stocks			**$406,369.53**		**$562,974.64**	**$156,605.11**	**$5,790.04**	
Total Equities			**$406,369.53**		**$562,974.64**	**$156,605.11**	**$5,790.04**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$415,231.87**	**$571,836.98**	**$156,605.11**	**$0.00**	**$5,790.04**

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you A

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 92.00% of Portfolio								
Common Stocks								
AXIS CAPITAL HLDGS LTD SHS								
ISIN#BMG0692U1099								
Dividend Option. Cash								
Security Identifier AXS								
166 000	10/22/09	30 5260	5,067 33	28 4100	4,716 06	-351 27	139 44	2 95%
UBS AG SHS NEW								
ISIN#CH0024899483								
Dividend Option Cash								
Security Identifier UBS								
458 000	03/10/09	8 2300	3,769.29	15 5100	7,103 58	3,334 29		
AEGON N V ORD AMER REG								
Dividend Option Cash								
Security Identifier AEG								
694 000	03/10/09	3 1090	2,157.78	6 4100	4,448 54	2,290 76	564 43	12 68%
ALCATEL LUCENT SPON ADR								
Dividend Option Cash								
Security Identifier ALU								
2,504 000	03/10/09	1 2500	3,129 00	3.3200	8,313 28	5,184 28		
ANGLOGOLD ASHANTI LTD SPONORED ADR								
ISIN#US0351282068								
Dividend Option Cash								
Security Identifier AU								
274 000	03/10/09	29 4700	8,074 72	40 1800	11,009 32	2,934 60	34 67	0 31%
34 000	07/10/09	34 1430	1,160 85	40 1800	1,366 12	205.27	4 30	0 31%
308.000	**Total**		**$9,235.57**		**$12,375.44**	**$3,139.87**	**$38.97**	
[3]BP PLC SPONS ADR								
Dividend Option Cash								
Security Identifier BP								
2 000	10/14/08	48 4200	96 84	57 9700	115 94	19 10	6 72	5 79%
45 000	12/03/08	44 6200	2,007 90	57 9700	2,608 65	600 75	151 20	5 79%
20 000	12/03/08	44 6600	893.20	57 9700	1,159 40	266 20	67 20	5 79%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
BP PLC SPONS ADR *(continued)*								
96 000	01/21/09	40 2170	3,860 85	57 9700	5,565 12	1,704 27	322 56	5 79%
46 000	03/10/09	37 2800	1,714 88	57.9700	2,666 62	951 74	154 56	5 79%
209.000	**Total**		**$8,573.67**		**$12,115.73**	**$3,542.06**	**$702.24**	
BARRICK GOLD CORP COM								
ISIN#CA0679011084								
Dividend Option: Cash								
Security Identifier ABX								
222 000	03/10/09	25 9500	5,760 88	39 3800	8,742 36	2,981 48		
86 000	04/09/09	28 8160	2,478 18	39 3800	3,386 68	908 50		
82 000	08/18/09	33 4730	2,744 80	39 3800	3,229 16	484 36		
61 000	12/17/09	38 4820	2,347 40	39 3800	2,402.18	54 78		
451.000	**Total**		**$13,331.26**		**$17,760.38**	**$4,429.12**	**$0.00**	
CAMECO CORP COM ISIN#CA13321L1085								
Dividend Option Cash								
Security Identifier CCJ								
163 000	03/10/09	14 9370	2,434 80	32.1700	5,243 71	2,808 91		
CAREFOUR SA ADR								
Dividend Option. Cash								
Security Identifier CRERY								
275 000	09/25/09	9 0590	2,491 23	9 6300	2,648 25	157 02	61 19	2 31%
279 000	10/05/09	8 7380	2,438 04	9 6300	2,686 77	248 73	62 08	2 31%
248 000	10/22/09	9 1590	2,271 36	9 6300	2,388 24	116 88	55 18	2 31%
297 000	10/28/09	8 8010	2,613 84	9 6300	2,860 11	246 27	66 07	2 31%
1,099.000	**Total**		**$9,814.47**		**$10,583.37**	**$768.90**	**$244.52**	
DAI NIPPON PRTG LTD JAPAN SPON ADR								
ISIN#US2338063066								
Dividend Option Cash								
Security Identifier DNPLY								
1,029 000	03/11/09	8 3500	8,592 15	12 6860	13,053 89	4,461 74	266 76	2 04%
DAIWA HOUSE IND LTD ADR								
Dividend Option Cash								
Security Identifier DWAHY								
72 000	03/11/09	66 5000	4,788.00	106 7730	7,687 66	2,899 66	165 55	2 15%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
EMBRAER EMPRESA BRASILEIRA DE AERONAUTICA S A SPONSORED ADR REPSTG COM SHS								
Dividend Option Cash								
Security Identifier ERJ								
109 000	10/30/09	22 0550	2,404 00	22 1100	2,409 99	5 99		
126 000	11/03/09	20 1450	2,538 23	22 1100	2,785 86	247 63		
235.000	**Total**		**$4,942.23**		**$5,195.85**	**$253.62**	**$0.00**	
FUJIFILM HLDGS CORP ADR 2 ORD ISIN#US35958N1072								
Dividend Option Cash								
Security Identifier FUJIY								
450 000	03/10/09	18 1290	8,158 14	29 9690	13,486 05	5,327 91	93 12	0 69%
GOLD FIELDS LTD NEW SPONS ADR								
Dividend Option. Cash								
Security Identifier GFI								
734 000	03/10/09	10 5690	7,757 79	13 1100	9,622 74	1,864 95	96 77	1 00%
HACHIJUNI BK LTD ADR								
Dividend Option Cash								
Security Identifier HACBY								
81 000	03/25/09	60 5000	4,900 50	58 0050	4,698.41	-202 09	36 99	0 78%
IMPALA PLTUM HLD LTD SPONSORED ADR REPSTEG 1/4 SH								
Dividend Option: Cash								
Security Identifier IMPUY								
190 000	03/12/09	13 5290	2,570 55	27 5660	5,237 54	2,666 99	67 27	1 28%
IVANHOE MINES LTD ISIN#CA46579N1033								
Dividend Option. Cash								
Security Identifier IVN								
293 000	03/10/09	4 4490	1,303 47	14 6100	4,280 73	2,977.26		

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
KAO CORP SPONSORED ADR REPSTG								
10 SHS COM								
Dividend Option Cash								
Security Identifier KCRPY								
280 000	03/13/09	19 3280	5,411 91	23 3630	6,541 64	1,129 73	158 44	2 42%
60 000	04/23/09	20 1930	1,211 57	23 3630	1,401 78	190 21	33 95	2 42%
170 000	05/20/09	21 2910	3,619 54	23 3630	3,971 71	352 17	96 19	2 42%
510.000	**Total**		**$10,243.02**		**$11,915.13**	**$1,672.11**	**$288.58**	
KINROSS GOLD CORP COM NO PAR								
ISIN#CA4969024047								
Dividend Option Cash								
Security Identifier KGC								
236 000	07/07/09	18 4950	4,364 77	18 4810	4,361 53	-3 24	23 60	0 54%
125 000	08/19/09	18 3920	2,298 96	18 4810	2,310 13	11 17	12 50	0 54%
139 000	08/20/09	18 6950	2,598 61	18 4810	2,568 87	-29 74	13 90	0 54%
174 000	10/30/09	18 2640	3,177 90	18 4810	3,215 70	37 80	17 40	0 54%
674.000	**Total**		**$12,440.24**		**$12,456.23**	**$15.99**	**$67.40**	
KOREA ELEC PWR CO SPONSORED ADR RPSTG								
ISIN#US5006311063 1/2 SHS								
Dividend Option Cash								
Security Identifier KEP								
766 000	03/11/09	9 1690	7,023 68	14 5400	11,137 64	4,113 96		
MAGNA INTERNATIONAL INC CLASS A								
ISIN#CA5592224011								
Dividend Option Cash								
Security Identifier MGA								
146.000	03/10/09	20 4090	2,979 74	50.5800	7,384.68	4,404 94		
MITSUI SUMITOMO INS GRP HLDGS INC ADR								
ISIN#US60684V1089								
Dividend Option Cash								
Security Identifier MSIGY								
450.000	03/11/09	10 4800	4,716 00	12 7020	5,715 90	999 90	103 23	1 80%
80 000	08/27/09	13 7810	1,102 45	12 7020	1,016 16	-86 29	18 35	1 80%
530.000	**Total**		**$5,818.45**		**$6,732.06**	**$913.61**	**$121.58**	
NEWCREST MINING LTD ADR								
Dividend Option Cash								
Security Identifier NCMGY								
105 000	08/07/09	25 1890	2,644 83	31 7740	3,336 27	691 44	12 69	0 38%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
NEWCREST MINING LTD ADR *(continued)*								
173 000	08/10/09	25 1140	4,344 79	31 7740	5,496 90	1,152 11	20 92	0 38%
278.000	**Total**		**$6,989.62**		**$8,833.17**	**$1,843.55**	**$33.61**	
NEXEN INC COM SHS								
ISIN#CA65334H1029								
Dividend Option Cash								
Security Identifier NXY								
274 000	03/10/09	13 8700	3,800 35	23 9300	6,556 82	2,756 47		
149 000	10/28/09	21 9550	3,271 35	23 9300	3,565 57	294 22		
423.000	**Total**		**$7,071.70**		**$10,122.39**	**$3,050.69**	**$0.00**	
NINTENDO LTD ADR								
Dividend Option Cash								
Security Identifier NTDOY								
68 000	08/03/09	33 9500	2,308 58	29 5930	2,012 32	-296 26	85 16	4 23%
72 000	08/20/09	32 3690	2,330 59	29 5930	2,130 70	-199 89	90 17	4 23%
84 000	08/27/09	32 4830	2,728 61	29 5930	2,485.81	-242 80	105 20	4 23%
224.000	**Total**		**$7,367.78**		**$6,628.83**	**-$738.95**	**$280.53**	
3NIPPON TELEG & TELEPHONE CORP								
SPONSORED ADR								
Dividend Option Cash								
Security Identifier NTT								
189 000	09/15/08	22 3990	4,233.32	19 7400	3,730 86	-502 46	108 49	2 90%
265 000	03/10/09	19 5600	5,183.37	19 7400	5,231 10	47 73	152 12	2 90%
310 000	04/14/09	19.3420	5,995 93	19 7400	6,119 40	123 47	177 96	2.90%
764.000	**Total**		**$15,412.62**		**$15,081.36**	**-$331.26**	**$438.57**	
3NOKIA CORP SPONSORED ADR								
Dividend Option Cash								
Security Identifier NOK								
171 000	10/14/08	18 0710	3,090 07	12 8500	2,197 35	-892 72	67 20	3 05%
250 000	12/03/08	13 1260	3,281 58	12 8500	3,212 50	-69 08	98 25	3 05%
10 000	01/21/09	13 2610	132 61	12 8500	128 50	-4 11	3 93	3 05%

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
NOKIA CORP SPONSORED ADR *(continued)*								
73 000	07/20/09	13 1090	956 93	12 8500	938 05	-18 88	28 69	3 05%
225 000	11/18/09	13 9500	3,138 86	12 8500	2,891 25	-247 61	88 43	3 05%
729.000	**Total**		**$10,600.05**		**$9,367.65**	**-$1,232.40**	**$286.50**	
³NOVARTIS AG SPONSORED ADR								
Dividend Option Cash								
Security Identifier NVS								
55 000	09/15/08	53 8080	2,959 44	54.4300	2,993 65	34 21	79 95	2 67%
20 000	12/03/08	46 7700	935 40	54 4300	1,088 60	153 20	29 07	2.67%
30 000	02/18/09	41 5490	1,246 46	54 4300	1,632 90	386 44	43 61	2 67%
76 000	04/14/09	36 8920	2,803 79	54 4300	4,136 68	1,332 89	110 48	2 67%
85 000	05/08/09	38.0480	3,234 11	54 4300	4,626 55	1,392 44	123 58	2 67%
266.000	**Total**		**$11,179.20**		**$14,478.38**	**$3,299.18**	**$386.69**	
PANASONIC CORP ADR								
ISIN#US69832A2050								
Dividend Option Cash								
Security Identifier PC								
466 000	03/10/09	11 1280	5,185 64	14 3500	6,687 10	1,501 46	57.27	0 85%
REXAM PLC SPONSORED ADR NEW								
Dividend Option Cash								
Security Identifier REXMY								
104 000	11/18/09	24 3720	2,534 64	23 4640	2,440 26	-94.38	174 19	7 13%
ROHM CO LTD ADR								
Dividend Option Cash								
Security Identifier ROHCY								
133 000	03/11/09	22 8000	3,032 40	32 5470	4,328 75	1,296 35	80 31	1 85%
ROYAL DUTCH SHELL PLC SPONSORED ADR								
RESPTG B SHS								
Dividend Option Cash								
Security Identifier RDS B								
238 000	03/12/09	43 6800	10,395 84	58 1300	13,834 94	3,439 10	799 68	5 78%
SK TELECOM LTD SPONSORED ADR								
ISIN#US78440P1084								
Dividend Option Cash								
Security Identifier SKM								
745 000	03/10/09	13 5360	10,084 32	16.2600	12,113 70	2,029 38	439 04	3 62%
92 000	05/20/09	15 9110	1,463 78	16 2600	1,495 92	32 14	54 22	3 62%
33 000	08/20/09	15 7940	521 21	16 2600	536 58	15 37	19 44	3 62%
870.000	**Total**		**$12,069.31**		**$14,146.20**	**$2,076.89**	**$512.70**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3SANOFI AVENTIS SPONS ADR								
ISIN#US80105N1054								
Dividend Option Cash								
Security Identifier SNY								
369 000	09/15/08	35 0690	12,940 64	39 2700	14,490 63	1,549 99	412 30	2 84%
10 000	09/16/08	34 1600	341 60	39.2700	392 70	51 10	11 17	2 84%
379.000	**Total**		**$13,282.24**		**$14,883.33**	**$1,601.09**	**$423.47**	
SEGA SAMMY HLDGS INC SPONS ADR REPSTG COM								
SEGA SAMMY HLDGS INC ISIN#US8157941027								
Dividend Option Cash								
Security Identifier SGAMY								
2,290 000	03/10/09	2 2300	5,106 70	3 0500	6,984 50	1,877 80	267 03	3 82%
SEKISUI HOUSE LTD SPONSORED ADR								
Dividend Option Cash								
Security Identifier SKHSY								
681 000	03/11/09	6 6000	4,494 60	9 0120	6,137.17	1,642 57	127 25	2 07%
SEVEN & I HLDGS CO LTD								
ISIN#US81783H1059								
Dividend Option Cash								
Security Identifier SVNDY								
203 000	03/11/09	41 2000	8,363 60	41 4000	8,404 20	40 60	221 80	2 63%
34 000	04/13/09	42 7810	1,454 54	41 4000	1,407 60	-46 94	37 15	2.63%
78 000	06/04/09	47 3900	3,696 43	41 4000	3,229 20	-467 23	85 22	2 63%
315.000	**Total**		**$13,514.57**		**$13,041.00**	**-$473.57**	**$344.17**	
SHISEIDO LTD SPON ADR								
Dividend Option Cash								
Security Identifier SSDOY								
488 000	03/11/09	13 5000	6,588 00	19 1310	9,335 93	2,747 93	222 89	2 38%
167 000	03/31/09	14 7860	2,469 31	19 1310	3,194 88	725 57	76 28	2 38%
655.000	**Total**		**$9,057.31**		**$12,530.81**	**$3,473.50**	**$299.17**	

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SIEMENS A G SPONSORED ADR								
ISIN#US8261975010								
Dividend Option Cash								
Security Identifier SI								
104 000	03/10/09	53.2300	5,535 92	91 7000	9,536.80	4,000 88		
³SOCIETE GENERALE FRANCE SPON ADR								
Dividend Option: Cash								
Security Identifier SCGLY								
208 000	09/15/08	16 3300	3,396 64	14.0460	2,921 57	-475 07	59 74	2 04%
150 000	09/16/08	16 1000	2,415 00	14 0460	2,106 90	-308 10	43 08	2 04%
358.000	**Total**		**$5,811.64**		**$5,028.47**	**-$783.17**	**$102.82**	
SUMITOMO TR & BKG LTD SPONSORED ADR								
Dividend Option Cash								
Security Identifier STBUY								
1,110 000	03/11/09	3 0400	3,374 40	4 8660	5,401 26	2,026 86	65 72	1 21%
SWISSCOM SPON ADR								
Dividend Option Cash								
Security Identifier SCMWY								
296 000	03/10/09	27 9500	8,273 20	38 2680	11,327 33	3,054 13	411 45	3 63%
TDK CORP AMERICAN DEP SHS								
ISIN#US8723514084								
Dividend Option Cash								
Security Identifier TTDKY								
81.000	03/10/09	33 1990	2,689 09	60 6910	4,915 97	2,226 88	68 25	1 38%
TECHNIP SPONS ADR ISIN#US8785462099								
Dividend Option. Cash								
Security Identifier TKPPY								
76 000	03/10/09	35 0300	2,662.28	70 8760	5,386 58	2,724 30	93 17	1 72%
TELECOM ITALIA S P A NEW SPON ADR REPSTG								
SVGS SHS ISIN#US87927Y2019								
Dividend Option Cash								
Security Identifier TI A								
1,346.000	03/12/09	8 4370	11,355 93	11 0000	14,806 00	3,450 07	935 60	6 31%
UNITED UTILS GROUP PLC SPON ADR								
Dividend Option. Cash								
Security Identifier UUGRY								
501 000	03/10/09	12 9200	6,472 92	16 0100	8,021 01	1,548 09	538 33	6 71%

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
650 California Street
31st Floor
San Francisco CA 94108
(800) 227-4492

Preferred Advisors

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
[3]VODAFONE GROUP PLC SPON ADR NEW								
ISIN#US92857W2098								
Dividend Option Cash								
Security Identifier VOD								
404 000	09/15/08	22 9600	9,275 84	23 0900	9,328 36	52 52	521 60	5 59%
20 000	09/16/08	23 0200	460 39	23 0900	461 80	1 41	25 82	5 59%
125 000	03/27/09	16 6990	2,087 43	23 0900	2,886 25	798 82	161 39	5 59%
549.000	**Total**		**$11,823.66**		**$12,676.41**	**$852.75**	**$708.81**	
WACOAL HLDGS CORP ADR								
ISIN#US9300042051								
Dividend Option Cash								
Security Identifier WACLY								
151.000	03/10/09	54 7000	8,259.70	54 9500	8,297.45	37.75	178 63	2 15%
WOLTERS KLUWER N V SPON ADR								
Dividend Option: Cash								
Security Identifier WTKWY								
127 000	03/10/09	14 7000	1,866 90	21 9520	2,787 90	921 00	90 49	3 24%
327 000	05/13/09	18 3500	6,000 55	21.9520	7,178.31	1,177 76	232 98	3 24%
454.000	**Total**		**$7,867.45**		**$9,966.21**	**$2,098.76**	**$323.47**	
Total Common Stocks			**$350,451.54**		**$450,438.03**	**$99,986.49**	**$10,831.01**	
Total Equities			**$350,451.54**		**$450,438.03**	**$99,986.49**	**$10,831.01**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$389,498.14**	**$489,484.63**	**$99,986.49**	**$0.00**	**$10,831.01**

[3] The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

Disclosures and Other Information

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 89.00% of Portfolio								
Common Stocks								
[5]100,000THS KINDER MORGAN MGMT LLC SHS								
Dividend Option Cash								
Security Identifier EKE55U103								
15,048 000	05/18/09	N/A	Please Provide	N/A	N/A	N/A		
99,117 000	08/14/09	N/A	Please Provide	N/A	N/A	N/A		
81,862 000	11/13/09	N/A	Please Provide	N/A	N/A	N/A		
196,027.000	**Total**		**N/A**		**$0.00**	**N/A**	**$0.00**	
TEEKAY CORP SHS								
ISIN#MHY8564W1030								
Dividend Option Cash								
Security Identifier TK								
910 000	03/09/09	12 5960	11,462 37	23 2100	21,121 10	9,658 73	1,151 15	5 45%
[3]ABBOTT LABS COM								
Dividend Option Cash								
Security Identifier ABT								
92 000	10/10/07	55 1140	5,070 48	53 9900	4,967 08	-103 40	147 20	2 96%
250 000	03/09/09	46 4970	11,624 33	53 9900	13,497 50	1,873.17	400 00	2 96%
130 000	05/19/09	43 0650	5,598 46	53 9900	7,018 70	1,420 24	208 00	2 96%
472.000	**Total**		**$22,293.27**		**$25,483.28**	**$3,190.01**	**$755.20**	
ALLSTATE CORP								
Dividend Option Cash								
Security Identifier ALL								
1,100 000	03/09/09	14 1080	15,518 80	30 0400	33,044 00	17,525 20	880 00	2 66%
BP PLC SPONS ADR								
Dividend Option Cash								
Security Identifier BP								
410 000	03/09/09	36 2390	14,858 04	57 9700	23,767 70	8,909 66	1,377 60	5 79%
[3]CHEVRON CORP COM NEW								
Dividend Option Cash								
Security Identifier CVX								
98 000	10/28/08	68 3810	6,701 38	76 9900	7,545 02	843 64	266 56	3 53%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CHEVRON CORP COM NEW *(continued)*								
43 000	11/03/08	74 0570	3,184 44	76 9900	3,310 57	126 13	116 96	3 53%
33.000	11/21/08	65 4010	2,158.23	76 9900	2,540 67	382 44	89 76	3 53%
100 000	03/09/09	58.7180	5,871 81	76 9900	7,699 00	1,827 19	272 00	3 53%
274.000	**Total**		**$17,915.86**		**$21,095.26**	**$3,179.40**	**$745.28**	
DIAGEO PLC SPONSORED ADR NEW								
Dividend Option Cash								
Security Identifier DEO								
354 000	03/09/09	41 6320	14,737.73	69 4100	24,571 14	9,833.41	801.73	3 26%
DOMINION RES INC VA COM								
Dividend Option Cash								
Security Identifier D								
570 000	03/09/09	27 6580	15,765.12	38 9200	22,184 40	6,419 28	997 50	4 49%
95 000	05/21/09	30 6200	2,908 86	38 9200	3,697.40	788.54	166 25	4 49%
665.000	**Total**		**$18,673.98**		**$25,881.80**	**$7,207.82**	**$1,163.75**	
FAMILY DOLLAR STORES								
Dividend Option· Cash								
Security Identifier FDO								
465 000	12/22/09	27 9860	13,013 26	27 8300	12,940.95	-72.31	251 10	1 94%
FEDERATED INVS INC PA CL B								
Dividend Option· Cash								
Security Identifier FII								
950 000	03/09/09	17.2480	16,385.22	27.5000	26,125 00	9,739 78	912 00	3.49%
INTEL CORP COM								
Dividend Option. Cash								
Security Identifier INTC								
1,125 000	03/09/09	12 9080	14,521 50	20 4000	22,950.00	8,428 50	630 00	2.74%
³KIMBERLY CLARK CORP								
Dividend Option Cash								
Security Identifier KMB								
102 000	09/11/08	63 9780	6,525 71	63 7100	6,498 42	-27 29	244 80	3.76%
260 000	03/09/09	43 5760	11,329 76	63 7100	16,564 60	5,234 84	624 00	3.76%
362.000	**Total**		**$17,855.47**		**$23,063.02**	**$5,207.55**	**$868.80**	
KINDER MORGAN MGMT LLC SHS								
Dividend Option Cash								
Security Identifier KMR								
469 000	03/09/09	33 6800	15,795.80	54 6400	25,626.16	9,830 36		

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
650 California Street
31st Floor
San Francisco, CA 94108
(800) 227-4492

Preferred Advisors

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
KRAFT FOODS INC CL A								
Dividend Option Cash								
Security Identifier KFT								
845 000	04/17/09	22 7720	19,242 17	27.1800	22,967 10	3,724 93	980 20	4 26%
LOCKHEED MARTIN CORP COM								
Dividend Option Cash								
Security Identifier LMT								
255 000	06/16/09	81 9130	20,887 87	75 3500	19,214 25	-1,673 62	642 60	3 34%
MCDONALDS CORP								
Dividend Option Cash								
Security Identifier MCD								
365 000	07/13/09	56.7480	20,713 02	62.4400	22,790 60	2,077.58	803 00	3 52%
NOKIA CORP SPONSORED ADR								
Dividend Option Cash								
Security Identifier NOK								
1,830 000	03/09/09	8 7980	16,101.07	12 8500	23,515 50	7,414 43	719 20	3 05%
NOVARTIS AG SPONSORED ADR								
Dividend Option Cash								
Security Identifier NVS								
420 000	03/09/09	34 6500	14,552 95	54 4300	22,860 60	8,307 65	610 56	2 67%
PAYCHEX INC								
Dividend Option Cash								
Security Identifier PAYX								
760 000	03/09/09	20 4780	15,563.28	30.6400	23,286 40	7,723 12	942 40	4 04%
PEARSON PLC SPONSORED ADR								
Dividend Option Cash								
Security Identifier. PSO								
1,760 000	03/09/09	8 6400	15,206.22	14 3600	25,273 60	10,067 38	929.96	3 67%
PEPSICO INC								
Dividend Option Cash								
Security Identifier PEP								

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
PEPSICO INC *(continued)*								
340 000	03/09/09	46.3360	15,754 38	60 8000	20,672 00	4,917 62	612 00	2 96%
3PFIZER INC COM								
Dividend Option Cash								
Security Identifier PFE								
333 000	10/14/08	17 0570	5,680 01	18.1900	6,057 27	377 26	239 76	3 95%
163 000	11/03/08	17 8300	2,906 37	18 1900	2,964 97	58 60	117 36	3 95%
205 000	01/14/09	17 1880	3,523 58	18 1900	3,728 95	205 37	147 60	3 95%
560 000	03/09/09	12.6580	7,088 70	18 1900	10,186 40	3,097 70	403 20	3 95%
1,261.000	**Total**		**$19,198.66**		**$22,937.59**	**$3,738.93**	**$907.92**	
3PHILIP MORRIS INTL INC COM								
Dividend Option Cash								
Security Identifier PM								
175 000	09/11/08	54 5300	9,542 75	48 1900	8,433.25	-1,109 50	406 00	4 81%
88.000	12/05/08	42.1500	3,709 16	48.1900	4,240 72	531 56	204 16	4 81%
220 000	03/09/09	33 6890	7,411 62	48.1900	10,601 80	3,190 18	510 40	4 81%
483.000	**Total**		**$20,663.53**		**$23,275.77**	**$2,612.24**	**$1,120.56**	
TAIWAN SEMICONDUCTOR MFG CO SPONSORED								
ADR ISIN#US8740391003								
Dividend Option Cash								
Security Identifier TSM								
1,738 000	03/09/09	7 8890	13,710 83	11 4400	19,882 72	6,171 89	632 54	3 18%
VERIZON COMMUNICATIONS COM								
Dividend Option Cash								
Security Identifier VZ								
400 000	09/16/09	30 3990	12,159 72	33 1300	13,252 00	1,092 28	760 00	5.73%
370 000	09/22/09	29 4180	10,884.51	33 1300	12,258 10	1,373 59	703 00	5.73%
770.000	**Total**		**$23,044.23**		**$25,510.10**	**$2,465.87**	**$1,463.00**	
3WASTE MGMT INC COM								
Dividend Option Cash								
Security Identifier WM								
104 000	10/07/08	30 4000	3,161 56	33 8100	3,516 24	354 68	120 64	3 43%
575 000	03/09/09	22.5380	12,959 58	33 8100	19,440.75	6,481.17	667 00	3.43%
679.000	**Total**		**$16,121.14**		**$22,956.99**	**$6,835.85**	**$787.64**	
Total Common Stocks			**$423,790.65**		**$580,812.63**	**$157,021.98**	**$20,688.19**	
Total Equities			**$423,790.65**		**$580,812.63**	**$157,021.98**	**$20,688.19**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 98.00% of Portfolio								
Common Stocks								
3AT&T INC COM								
Dividend Option Cash								
Security Identifier T								
101 043	04/26/06	26 3000	2,657 43	28 0300	2,832 24	174 81	165 71	5 85%
277 775	05/05/06	26 0580	7,238 35	28 0300	7,786 03	547 68	455 55	5 85%
213 182	05/05/06	25 4960	5,435 36	28 0300	5,975 49	540 13	349 62	5 85%
365 000	09/10/08	31 5480	11,515 20	28 0300	10,230 95	-1,284.25	598 60	5 85%
151 000	06/23/09	24 6200	3,717 62	28 0300	4,232.53	514 91	247 64	5 85%
1,108.000	**Total**		**$30,563.96**		**$31,057.24**	**$493.28**	**$1,817.12**	
3AMERICAN TOWER CORP CL A								
Dividend Option Cash								
Security Identifier AMT								
864 000	09/10/08	38 4580	33,227 28	43 2100	37,333 44	4,106 16		
47 000	06/23/09	29 7890	1,400 09	43 2100	2,030 87	630 78		
911.000	**Total**		**$34,627.37**		**$39,364.31**	**$4,736.94**	**$0.00**	
3AMGEN INC COM								
Dividend Option Cash								
Security Identifier AMGN								
424 000	10/28/08	58 0550	24,615 15	56 5700	23,985 68	-629 47		
105 000	06/23/09	51 0500	5,360.23	56 5700	5,939 85	579 62		
529.000	**Total**		**$29,975.38**		**$29,925.53**	**-$49.85**	**$0.00**	
3AUTODESK INC COM								
Dividend Option Cash								
Security Identifier ADSK								
1,089 000	09/10/08	33 6380	36,631 78	25 4100	27,671 49	-8,960 29		
68 000	10/28/08	21 2870	1,447 49	25 4100	1,727 88	280 39		
210 000	06/23/09	19 7800	4,153.76	25 4100	5,336 10	1,182 34		
1,367.000	**Total**		**$42,233.03**		**$34,735.47**	**-$7,497.56**	**$0.00**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3BEST BUY COMPANY INC								
Dividend Option Cash								
Security Identifier BBY								
544 000	09/10/08	45 4000	24,697 60	39 4600	21,466 24	-3,231 36	304 64	1 41%
278 000	10/28/08	23 0470	6,407 04	39 4600	10,969 88	4,562 84	155 68	1 41%
822.000	**Total**		**$31,104.64**		**$32,436.12**	**$1,331.48**	**$460.32**	
3CELGENE CORP								
Dividend Option Cash								
Security Identifier CELG								
437 000	10/28/08	56 9900	24,904 50	55 6800	24,332 16	-572 34		
140 000	06/23/09	46 9100	6,567 37	55 6800	7,795 20	1,227 83		
577.000	**Total**		**$31,471.87**		**$32,127.36**	**$655.49**	**$0.00**	
3CISCO SYSTEMS INC								
Dividend Option Cash								
Security Identifier CSCO								
1,422 000	09/10/08	23 0600	32,791 32	23 9400	34,042 68	1,251 36		
51 000	06/23/09	18 4880	942.90	23 9400	1,220 94	278 04		
1,473.000	**Total**		**$33,734.22**		**$35,263.62**	**$1,529.40**	**$0.00**	
3COACH INC COM								
Dividend Option Cash								
Security Identifier COH								
1,073 000	10/28/08	17 7880	19,086 53	36 5300	39,196 69	20,110.16	321 90	0 82%
3COSTCO WHSL CORP NEW COM								
Dividend Option Cash								
Security Identifier COST								
449 000	10/28/08	54 3070	24,383.66	59 1700	26,567.33	2,183 67	323.28	1 21%
150 000	06/23/09	45 1600	6,774 00	59 1700	8,875 50	2,101 50	108 00	1.21%
599.000	**Total**		**$31,157.66**		**$35,442.83**	**$4,285.17**	**$431.28**	
3GENZYME CORP COM FORMERLY COM GEN DIV								
TO 5/27/2004								
Dividend Option Cash								
Security Identifier GENZ								
360.000	10/28/08	68 9410	24,818 76	49 0100	17,643 60	-7,175 16		
134 000	06/23/09	54 7550	7,337.20	49.0100	6,567.34	-769 86		
494.000	**Total**		**$32,155.96**		**$24,210.94**	**-$7,945.02**	**$0.00**	

CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
650 California Street
31st Floor
San Francisco CA 94108
(800) 227-4492

Brokerage Account Statement

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3INTEL CORP COM								
Dividend Option Cash								
Security Identifier INTC								
1,668 000	09/10/08	20 2300	33,743 64	20 4000	34,027 20	283 56	934 08	2 74%
48 000	06/23/09	15 7880	757 82	20 4000	979 20	221 38	26 88	2 74%
1,716.000	**Total**		**$34,501.46**		**$35,006.40**	**$504.94**	**$960.96**	
3INTERNATIONAL BUSINESS MACHS CORP COM								
Dividend Option Cash								
Security Identifier IBM								
260 000	09/10/08	118 5380	30,819 93	130 9000	34,034 00	3,214 07	572 00	1 68%
3LOWES COS INC								
Dividend Option Cash								
Security Identifier LOW								
1,395 000	09/10/08	25 3200	35,321 40	23 3900	32,629 05	-2,692 35	502 20	1 53%
79 000	06/23/09	18 3400	1,448 86	23 3900	1,847 81	398 95	28 44	1 53%
1,474.000	**Total**		**$36,770.26**		**$34,476.86**	**-$2,293.40**	**$530.64**	
3NIKE INC CLASS B								
Dividend Option Cash								
Security Identifier NKE								
501 000	10/28/08	48 7800	24,438 68	66 0700	33,101 07	8,662 39	541 08	1 63%
3ORACLE CORP COM								
Dividend Option Cash								
Security Identifier ORCL								
1,290 000	09/14/07	20 0960	25,923 84	24 5400	31,656 60	5,732 76	258 00	0 81%
70 000	09/10/08	19 2500	1,347.50	24 5400	1,717 80	370 30	14 00	0 81%
1,360.000	**Total**		**$27,271.34**		**$33,374.40**	**$6,103.06**	**$272.00**	

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
3QUALCOMM INC								
Dividend Option. Cash								
Security Identifier QCOM								
405 000	08/02/07	41.8000	16,929 00	46 2600	18,735 30	1,806 30	275 40	1 46%
133 000	02/27/08	43 2180	5,747 93	46.2600	6,152 58	404 65	90 44	1 46%
85 000	09/10/08	47 1300	4,006 05	46 2600	3,932 10	-73 95	57 80	1 46%
623.000	**Total**		**$26,682.98**		**$28,819.98**	**$2,137.00**	**$423.64**	
3RESEARCH IN MOTION LTD COM								
ISIN#CA7609751028								
Dividend Option Cash								
Security Identifier RIMM								
177 000	09/10/08	105.1860	18,617 99	67 5400	11,954.58	-6,663 41		
215 000	10/28/08	43 2980	9,309 03	67 5400	14,521 10	5,212 07		
392.000	**Total**		**$27,927.02**		**$26,475.68**	**-$1,451.34**	**$0.00**	
3TARGET CORP								
Dividend Option Cash								
Security Identifier TGT								
645 000	09/10/08	56 9100	36,706 95	48.3700	31,198.65	-5,508.30	438 60	1 40%
40 000	10/28/08	35 1200	1,404 80	48 3700	1,934 80	530 00	27 20	1 40%
22.000	06/23/09	38 3880	844 54	48 3700	1,064.14	219 60	14.96	1 40%
707.000	**Total**		**$38,956.29**		**$34,197.59**	**-$4,758.70**	**$480.76**	
3VERIZON COMMUNICATIONS COM								
Dividend Option. Cash								
Security Identifier VZ								
838 000	09/10/08	34 8090	29,170 36	33 1300	27,762 94	-1,407 42	1,592 20	5.73%
54 000	06/23/09	30 5980	1,652 29	33 1300	1,789 02	136 73	102 60	5 73%
892.000	**Total**		**$30,822.65**		**$29,551.96**	**-$1,270.69**	**$1,694.80**	
3WALGREEN CO								
Dividend Option Cash								
Security Identifier WAG								
859 000	09/10/08	35 8790	30,819 98	36 7200	31,542 48	722 50	472 45	1 49%
57 000	10/28/08	22.9070	1,305 70	36.7200	2,093.04	787 34	31 35	1.49%
916.000	**Total**		**$32,125.68**		**$33,635.52**	**$1,509.84**	**$503.80**	
Total Common Stocks			**$626,426.91**		**$656,433.57**	**$30,006.66**	**$9,010.30**	
Total Equities			**$626,426.91**		**$656,433.57**	**$30,006.66**	**$9,010.30**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 97.00% of Portfolio								
Common Stocks								
TSAKOS ENERGY NAVIGATION LTD SHS								
ISIN#BMG9108L1081								
Dividend Option. Cash								
Security Identifier TNP								
250 000	03/10/09	13 0890	3,272 37	14 6800	3,670 00	397 63	287 50	7 83%
30 000	07/28/09	16 6560	499 69	14 6800	440 40	-59 29	34 50	7 83%
40 000	07/29/09	16 6780	667 12	14 6800	587 20	-79 92	46 00	7 83%
120 000	07/30/09	17 3650	2,083 76	14 6800	1,761 60	-322 16	138 00	7 83%
30 000	07/31/09	17 7630	532 88	14 6800	440 40	-92 48	34 50	7 83%
40 000	08/03/09	18 2410	729 64	14 6800	587 20	-142 44	46 00	7 83%
510.000	**Total**		**$7,785.46**		**$7,486.80**	**-$298.66**	**$586.50**	
ABB LTD SPONSORED ADR								
Dividend Option Cash								
Security Identifier ABB								
440 000	03/11/09	13 1490	5,785 42	19 1000	8,404 00	2,618 58		
ALLIANZ SE SPONS ADR REPSTG								
1/10 SHS ISIN#US0188051017								
Dividend Option Cash								
Security Identifier AZSEY								
990 000	07/09/09	8 9400	8,850.90	12 5730	12,447.27	3,596 37	1,011 41	8 12%
ASTRAZENECA PLC SPONSORED ADR								
Dividend Option Cash								
Security Identifier AZN								
390 000	03/10/09	30 6470	11,952 25	46.9400	18,306 60	6,354 35	815 10	4 45%
AXA SA SPONS ADR								
ISIN#US0545361075								
Dividend Option Cash								
Security Identifier AXA								
420 000	10/07/09	27 6510	11,613 21	23 6800	9,945 60	-1,667 61	192 12	1 93%
110 000	11/10/09	26 0820	2,869 04	23 6800	2,604 80	-264 24	50 32	1 93%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
AXA SA SPONS ADR *(continued)*								
100 000	11/11/09	25 7750	2,577 45	23 6800	2,368 00	-209 45	45 74	1 93%
630.000	**Total**		**$17,059.70**		**$14,918.40**	**-$2,141.30**	**$288.18**	
[3]BP PLC SPONS ADR								
Dividend Option Cash								
Security Identifier BP								
72 000	03/12/07	61 0890	4,398 44	57 9700	4,173 84	-224 60	241 92	5 79%
49 000	03/13/07	60 9240	2,985 30	57 9700	2,840 53	-144 77	164 64	5 79%
14 000	03/14/07	59 6930	835 70	57 9700	811.58	-24 12	47 04	5 79%
125 000	12/13/07	74 5760	9,321 96	57 9700	7,246 25	-2,075 71	420 00	5 79%
260.000	**Total**		**$17,541.40**		**$15,072.20**	**-$2,469.20**	**$873.60**	
BNP PARIBAS SPONSORED ADR REPSTG								
25 SHS								
Dividend Option Cash								
Security Identifier BNPQY								
270 000	03/10/09	16 2870	4,397 41	40 1010	10,827 27	6,429 86	140 12	1 29%
110 000	11/10/09	43 0810	4,738 89	40.1010	4,411 11	-327 78	57 08	1 29%
380.000	**Total**		**$9,136.30**		**$15,238.38**	**$6,102.08**	**$197.20**	
BAYER AG SPONSORED ADR								
Dividend Option Cash								
Security Identifier BAYRY								
200 000	08/24/09	63 2440	12,648 78	80 6180	16,123 60	3,474 82	274 69	1 70%
BRITISH AMERN TOB PLC SPONSORED ADR								
ISIN#US1104481072								
Dividend Option Cash								
Security Identifier BTI								
380 000	03/10/09	49 2300	18,707 40	64 6600	24,570.80	5,863 40	1,038.57	4 22%
CHUNGHWA TELECOM CO LTD SPONS ADR NEW								
2009 ISIN#US17133Q4038								
Dividend Option Cash								
Security Identifier CHT								
770 000	03/26/09	17 0450	13,125 00	18 5700	14,298 90	1,173 90	1,479 87	10 34%
COMPANHIA SIDERURGICA NACIONAL								
SPONSORED ADR REPSTG ORD SHS								
ISIN#US20440W1053								
Dividend Option. Cash								
Security Identifier SID								
120 000	03/10/09	13 6960	1,643 52	31 9300	3,831.60	2,188 08	131 59	3 43%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
COMPANHIA SIDERURGICA NACIONAL *(continued)*								
310 000	03/20/09	15 1980	4,711.44	31 9300	9,898 30	5,186 86	339 93	3 43%
430.000	**Total**		**$6,354.96**		**$13,729.90**	**$7,374.94**	**$471.52**	
COMPANHIA ENERGETICA DE MINAS GERAIS ADR								
ISIN#US2044096012								
Dividend Option Cash								
Security Identifier CIG								
550 000	03/10/09	11 1900	6,154 72	18 0600	9,933 00	3,778 28	438 66	4 41%
DIAGEO PLC SPONSORED ADR NEW								
Dividend Option Cash								
Security Identifier DEO								
200 000	03/10/09	42 8120	8,562 40	69 4100	13,882 00	5,319 60	452 95	3 26%
ENI SPA SPONSORED ADR								
Dividend Option Cash								
Security Identifier E								
160 000	03/10/09	33 9600	5,433 60	50 6100	8,097 60	2,664 00	379 65	4 68%
ENERPLUS RES FD TR UNIT SER G NEW								
ISIN#CA29274D6046								
Dividend Option Cash								
Security Identifier ERF								
200 000	03/10/09	14 1360	2,827 10	22 9600	4,592 00	1,764 90		
FOSTERS BREWING GRP LTD SPONS ADR NEW								
ISIN#US3502583079								
Dividend Option Cash								
Security Identifier FBRWY								
1,260 000	09/22/09	4 9930	6,291 68	4 9460	6,231 96	-59 72	243 27	3 90%
1,390.000	09/23/09	5.0750	7,054.81	4.9460	6,874 94	-179 87	268 37	3 90%
2,650.000	**Total**		**$13,346.49**		**$13,106.90**	**-$239.59**	**$511.64**	
GDF SUEZ SPON ADR								
Dividend Option. Cash								
Security Identifier GDFZY								

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
GDF SUEZ SPON ADR *(continued)*								
320 000	12/16/09	42 3850	13,563 07	43 4510	13,904 32	341 25	474 00	3 40%
3HSBC HLDGS PLC SPONS ADR NEW								
Dividend Option Cash								
Security Identifier HBC								
56 000	03/10/09	24 3130	1,361 53	57 0900	3,197 04	1,835 51	95 20	2 97%
204 000	04/08/09	18 4870	3,771 43	57 0900	11,646 36	7,874 93	346 80	2 97%
10 000	11/10/09	60.3170	603 17	57.0900	570 90	-32.27	17 00	2 97%
270.000	**Total**		**$5,736.13**		**$15,414.30**	**$9,678.17**	**$459.00**	
HOPEWELL HLDGS LTD SPON ADR								
Dividend Option Cash								
Security Identifier HOWWY								
3,640 000	03/10/09	2 8000	10,192 00	3 2370	11,782 68	1,590 68	381 94	3 24%
MUNICH RE GROUP ADR								
ISIN#US6261881063								
Dividend Option Cash								
Security Identifier MURGY								
190 000	03/10/09	10 8000	2,052 00	15 6200	2,967 80	915 80	97 14	3 27%
520 000	06/05/09	13 9700	7,264 40	15 6200	8,122 40	858 00	265 86	3 27%
710.000	**Total**		**$9,316.40**		**$11,090.20**	**$1,773.80**	**$363.00**	
NESTLE SA SPONSORED ADRS REGISTERED								
Dividend Option Cash								
Security Identifier NSRGY								
360 000	03/10/09	31 5700	11,365 20	48 5610	17,481 96	6,116 76	289 44	1 65%
NINTENDO LTD ADR								
Dividend Option Cash								
Security Identifier NTDOY								
340 000	03/10/09	35 1400	11,947 60	29 5930	10,061 62	-1,885 98	425 80	4 23%
NOKIA CORP SPONSORED ADR								
Dividend Option Cash								
Security Identifier NOK								
580 000	03/10/09	9 4700	5,492 60	12 8500	7,453 00	1,960 40	227 94	3 05%
3NOVARTIS AG SPONSORED ADR								
Dividend Option Cash								
Security Identifier NVS								
140 000	10/23/07	52 1680	7,303 49	54 4300	7,620 20	316 71	203 52	2 67%
120 000	03/20/09	38 2900	4,594 80	54 4300	6,531 60	1,936 80	174 45	2 67%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
NOVARTIS AG SPONSORED ADR *(continued)*								
190 000	06/02/09	39 9200	7,584 74	54 4300	10,341 70	2,756 96	276 20	2 67%
450.000	**Total**		**$19,483.03**		**$24,493.50**	**$5,010.47**	**$654.17**	
PETROCHINA CO LTD SPONS ADR								
ISIN#US71646E1001								
Dividend Option Cash								
Security Identifier PTR								
80 000	03/10/09	70 9560	5,676 51	118 9600	9,516 80	3,840 29	285 42	2 99%
20 000	11/09/09	132 9960	2,659 91	118 9600	2,379 20	-280 71	71 36	2 99%
100.000	**Total**		**$8,336.42**		**$11,896.00**	**$3,559.58**	**$356.78**	
3RWE AG SPONSORED ADR								
Dividend Option Cash								
Security Identifier RWEOY								
24 000	09/16/04	48 2500	1,158 00	97 8920	2,349 41	1,191 41	102 90	4 37%
37 000	06/06/05	62 4500	2,310 65	97 8920	3,622 00	1,311 35	158 63	4 37%
26 000	09/02/05	69 0500	1,795 30	97 8920	2,545 19	749 89	111 47	4 37%
123 000	06/01/06	84 5000	10,393 50	97 8920	12,040 72	1,647 22	527 35	4 37%
210.000	**Total**		**$15,657.45**		**$20,557.32**	**$4,899.87**	**$900.35**	
SILICONWARE PRECISION INDS LTD								
SPONSORED ADR SPIL ISIN#US8270848646								
Dividend Option Cash								
Security Identifier SPIL								
920 000	04/27/09	6 4030	5,890 58	7 0100	6,449 20	558 62	184 23	2 85%
3SINGAPORE TELECOMMUNICATIONS								
LTD SPONSORED ADR NEW 2006								
Dividend Option Cash								
Security Identifier SGAPY								
59 000	11/13/08	15 7650	930 11	22.1520	1,306 97	376 86	52 15	3 99%
70 000	11/14/08	16 0890	1,126 22	22 1520	1,550 64	424 42	61 88	3 99%
45 000	11/17/08	15 9530	717 89	22 1520	996 84	278 95	39 78	3 99%
20 000	11/18/08	15 9860	319 71	22 1520	443 04	123 33	17 68	3 99%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
SINGAPORE TELECOMMUNICATIONS *(continued)*								
306 000	03/10/09	16 1500	4,941 90	22 1520	6,778 51	1,836 61	270 49	3 99%
500.000	**Total**		**$8,035.83**		**$11,076.00**	**$3,040.17**	**$441.98**	
3TAIWAN SEMICONDUCTOR MFG CO SPONSORED								
ADR ISIN#US8740391003								
Dividend Option Cash								
Security Identifier TSM								
224 770	05/05/08	11 0490	2,483 58	11 4400	2,571 37	87 79	81 80	3 18%
297 480	05/06/08	11 0550	3,288 75	11 4400	3,403 17	114 42	108 27	3 18%
351 750	05/07/08	11 0290	3,879 35	11 4400	4,024 02	144 67	128 02	3 18%
874.000	**Total**		**$9,651.68**		**$9,998.56**	**$346.88**	**$318.09**	
TELEFONICA S A ADR SPONS ADR								
ISIN#US8793822086								
Dividend Option Cash								
Security Identifier TEF								
180 000	04/14/09	59 8580	10,774 35	83 5200	15,033 60	4,259 25	620 49	4 12%
30 000	06/24/09	66 4480	1,993 45	83 5200	2,505 60	512 15	103 42	4 12%
210.000	**Total**		**$12,767.80**		**$17,539.20**	**$4,771.40**	**$723.91**	
3TOTAL S A SPONSORED ADR								
Dividend Option Cash								
Security Identifier TOT								
32 000	06/01/06	64 9920	2,079 75	64 0400	2,049 28	-30 47	88 88	4 33%
9 000	01/16/07	66 7030	600 33	64 0400	576 36	-23 97	25 00	4 33%
169 000	01/17/07	66 6310	11,260 61	64 0400	10,822 76	-437 85	469 40	4 33%
210.000	**Total**		**$13,940.69**		**$13,448.40**	**-$492.29**	**$583.28**	
UNILEVER NV NEW YORK SHS NEW								
Dividend Option Cash								
Security Identifier UN								
630 000	03/10/09	17 7000	11,150 94	32 3300	20,367 90	9,216 96	581 93	2 85%
UNITED OVERSEAS BK LTD SPON ADR								
Dividend Option. Cash								
Security Identifier UOVEY								
480 000	03/10/09	11 0000	5,280 00	28 0640	13,470.72	8,190 72	397 41	2 95%
VERMILLION ENERGY TRUST								
ISIN#CA9237281097								
Dividend Option Cash								
Security Identifier VETMF								
390 000	03/10/09	18.9780	7,401 36	30 7800	12,004 20	4,602 84		

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
VODAFONE GROUP PLC SPON ADR NEW								
ISIN#US92857W2098								
Dividend Option. Cash								
Security Identifier VOD								
850 000	03/10/09	16 2000	13,770 00	23 0900	19,626 50	5,856 50	1,097 43	5 59%
60 000	06/17/09	19 3350	1,160 11	23 0900	1,385 40	225 29	77 47	5 59%
910.000	**Total**		**$14,930.11**		**$21,011.90**	**$6,081.79**	**$1,174.90**	
VOLVO AKTIEBOLAGET ADR B								
Dividend Option Cash								
Security Identifier: VOLVY								
1,050 000	05/05/09	6 9930	7,342 23	8 6060	9,036 30	1,694 07	211 20	2 33%
Total Common Stocks			**$372,753.00**		**$488,745.63**	**$115,992.63**	**$17,968.89**	
Preferred Stocks *(Listed by expiration date)*								
ITAU UNIBANCO HLDG SA NS SPONSORED ADR								
REPSTG 500 PFD ISIN#US4655621062								
Dividend Option Cash								
Security Identifier ITUB								
238 000	04/30/09	12 6710	3,015 76	22 8400	5,435 92	2,420 16	16 19	0 29%
Total Preferred Stocks			**$3,015.76**		**$5,435.92**	**$2,420.16**	**$16.19**	
Real Estate Investment Trusts								
PRIMARIS RETAIL REAL ESTATE INVT TR UNIT								
ISIN#CA74157U1093								
Dividend Option. Cash								
Security Identifier. PMZFF								
590 000	03/10/09	6 6310	3,912 57	15 3230	9,040 57	5,128 00		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Real Estate Investment Trusts *(continued)*								
RIOCAN REAL ESTATE INVESTMENT TR UNIT								
Dividend Option. Cash								
Security Identifier RIOCF								
1,000.000	03/10/09	9 1550	9,155 00	18 8460	18,846 00	9,691 00		
Total Real Estate Investment Trusts			**$13,067.57**		**$27,886.57**	**$14,819.00**	**$0.00**	
Total Equities			**$388,836.33**		**$522,068.12**	**$133,231.79**	**$17,985.08**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$406,713.08**	**$539,944.87**	**$133,231.79**	**$0.00**	**$17,985.08**

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

The values, where indicated, of real estate investment trusts (REITs) and direct participation programs (DPPs), including limited partnerships, have been provided by the REITs or DPPs, generally through an intermediary. The values are not guaranteed and are intended to reflect an estimate of the interest in the REIT or DPP represented by the units or shares described above REIT and DPP securities are generally illiquid, and the value may not be realized when you seek to liquidate the security. Where no value is indicated, please note that.

- REIT or DPP securities are generally illiquid
- The value of the security may be different from its purchase price
- Accurate valuation information is not available.

Please note the values for DPPs and REITs which are provided by the REITs and DPPs may not reflect recent activity and do not reflect an independent evaluation of the REIT or DPP.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U S dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 96.00% of Portfolio								
Common Stocks								
ACE LIMITED SHS								
ISIN#CH0044328745								
Dividend Option Cash								
Security Identifier ACE								
470 000	04/24/09	44 2350	20,790 29	50 4000	23,688 00	2,897 71	582 80	2 46%
270 000	06/23/09	42 8370	11,565 89	50.4000	13,608 00	2,042 11	334 80	2 46%
120 000	09/15/09	50 9620	6,115 44	50 4000	6,048 00	-67 44	148 80	2 46%
860.000	**Total**		**$38,471.62**		**$43,344.00**	**$4,872.38**	**$1,066.40**	
FLEXTRONICS INTL LTD ORD SHS								
ISIN#SG9999000020								
Dividend Option Cash								
Security Identifier FLEX								
7,610 000	03/09/09	2 0590	15,670 49	7 3000	55,553 00	39,882 51		
AT&T INC COM								
Dividend Option: Cash								
Security Identifier T								
1,660 000	03/09/09	22 4590	37,281.61	28.0300	46,529 80	9,248.19	2,722 40	5 85%
BANK OF AMERICA COM								
Dividend Option Cash								
Security Identifier BAC								
2,990 000	03/09/09	3 6900	11,033 02	15 0600	45,029 40	33,996 38	119.60	0 26%
BOEING CO COM								
Dividend Option Cash								
Security Identifier BA								
640 000	03/09/09	30 5970	19,581 95	54.1300	34,643 20	15,061.25	1,075 20	3.10%
160.000	09/16/09	51 9590	8,313.49	54 1300	8,660.80	347 31	268 80	3 10%
800.000	**Total**		**$27,895.44**		**$43,304.00**	**$15,408.56**	**$1,344.00**	
BRISTOL MYERS SQUIBB CO COM								
Dividend Option Cash								
Security Identifier BMY								
660 000	04/17/09	20 7760	13,712.48	25 2500	16,665 00	2,952 52	818 40	4 91%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
BRISTOL MYERS SQUIBB CO COM *(continued)*								
890 000	04/29/09	19 0690	16,971 27	25 2500	22,472 50	5,501 23	1,103 60	4 91%
350 000	06/24/09	20 2000	7,069 97	25 2500	8,837 50	1,767 53	434 00	4 91%
1,900.000	**Total**		**$37,753.72**		**$47,975.00**	**$10,221.28**	**$2,356.00**	
CAPITAL ONE FINL CORP COM								
Dividend Option Cash								
Security Identifier COF								
650 000	03/09/09	8 8650	5,761 93	38.3400	24,921 00	19,159 07	130 00	0 52%
780 000	03/17/09	12 4170	9,685 32	38 3400	29,905 20	20,219 88	156 00	0 52%
1,430.000	**Total**		**$15,447.25**		**$54,826.20**	**$39,378.95**	**$286.00**	
CHEVRON CORP COM NEW								
Dividend Option Cash								
Security Identifier CVX								
560 000	03/09/09	58 9340	33,002 93	76 9900	43,114 40	10,111 47	1,523 20	3 53%
CHUBB CORP								
Dividend Option Cash								
Security Identifier CB								
720 000	03/09/09	35 6380	25,659 30	49 1800	35,409 60	9,750 30	1,008 00	2 84%
400 000	05/22/09	38 8570	15,542.67	49 1800	19,672 00	4,129 33	560 00	2 84%
1,120.000	**Total**		**$41,201.97**		**$55,081.60**	**$13,879.63**	**$1,568.00**	
CONOCOPHILLIPS COM								
Dividend Option Cash								
Security Identifier COP								
120 000	03/09/09	36 2750	4,353 00	51 0700	6,128 40	1,775 40	240 00	3 91%
850 000	06/05/09	44 7500	38,037 33	51 0700	43,409 50	5,372 17	1,700 00	3 91%
970.000	**Total**		**$42,390.33**		**$49,537.90**	**$7,147.57**	**$1,940.00**	
EXELON CORP COM								
Dividend Option Cash								
Security Identifier EXC								
540 000	12/15/09	51 2260	27,662 01	48 8700	26,389 80	-1,272 21	1,134 00	4 29%
GAMESTOP CORP NEW CLASS A								
Dividend Option Cash								
Security Identifier GME								
960 000	05/27/09	22 9180	22,001 75	21 9400	21,062 40	-939 35		
570 000	06/23/09	21 4840	12,245 70	21 9400	12,505 80	260 10		
290 000	08/20/09	23 9990	6,959 83	21 9400	6,362 60	-597 23		
350 000	11/20/09	25 1870	8,815 47	21 9400	7,679 00	-1,136 47		

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
GAMESTOP CORP NEW CLASS A *(continued)*								
150 000	12/02/09	21 9200	3,287 97	21 9400	3,291 00	3 03		
2,320.000	**Total**		**$53,310.72**		**$50,900.80**	**-$2,409.92**	**$0.00**	
GENERAL DYNAMICS CORP COM								
Dividend Option Cash								
Security Identifier GD								
430 000	10/30/09	62 9740	27,078 61	68 1700	29,313 10	2,234 49	653 60	2 22%
HCC INS HLDGS INC COM								
Dividend Option Cash								
Security Identifier HCC								
1,380 000	03/09/09	21 1450	29,180 73	27 9700	38,598 60	9,417 87	745 20	1 93%
ITT CORP NEW COM								
Dividend Option Cash								
Security Identifier ITT								
1,000 000	03/09/09	32 9480	32,947 61	49 7400	49,740.00	16,792 39	850 00	1 70%
3INTERNATIONAL BUSINESS MACHS CORP								
COM								
Dividend Option Cash								
Security Identifier IBM								
24 000	01/30/09	92 8340	2,228 01	130 9000	3,141 60	913 59	52 80	1 68%
24 000	02/09/09	96 3430	2,312 22	130 9000	3,141 60	829 38	52 80	1 68%
280 000	03/09/09	86 0990	24,107 76	130 9000	36,652 00	12,544 24	616 00	1 68%
328.000	**Total**		**$28,647.99**		**$42,935.20**	**$14,287.21**	**$721.60**	
JOHNSON & JOHNSON COM								
Dividend Option Cash								
Security Identifier JNJ								
288 000	03/09/09	47 1590	13,581 85	64 4100	18,550 08	4,968 23	564 48	3 04%
290 000	04/22/09	51 2390	14,859 39	64 4100	18,678 90	3,819 51	568 40	3 04%
578.000	**Total**		**$28,441.24**		**$37,228.98**	**$8,787.74**	**$1,132.88**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
LILLY ELI & CO COM								
Dividend Option Cash								
Security Identifier LLY								
890 000	03/09/09	27 8770	24,810 84	35 7100	31,781 90	6,971 06	1,744 40	5 48%
220 000	03/17/09	31 4920	6,928 28	35 7100	7,856 20	927 92	431 20	5 48%
300 000	09/28/09	33 2120	9,963 67	35 7100	10,713 00	749 33	588 00	5 48%
1,410.000	**Total**		**$41,702.79**		**$50,351.10**	**$8,648.31**	**$2,763.60**	
MCDONALDS CORP								
Dividend Option Cash								
Security Identifier MCD								
460 000	10/30/09	58 6530	26,980 51	62 4400	28,722 40	1,741 89	1,012.00	3 52%
MORGAN STANLEY COM NEW								
Dividend Option Cash								
Security Identifier MS								
1,330 000	03/09/09	17 3890	23,127 55	29 6000	39,368 00	16,240 45	266 00	0 67%
NOKIA CORP SPONSORED ADR								
Dividend Option: Cash								
Security Identifier NOK								
1,280 000	03/09/09	8 7100	11,148 29	12 8500	16,448 00	5,299 71	503 05	3 05%
490 000	07/16/09	13 5200	6,624 80	12 8500	6,296.50	-328 30	192 57	3 05%
1,770.000	**Total**		**$17,773.09**		**$22,744.50**	**$4,971.41**	**$695.62**	
ORACLE CORP COM								
Dividend Option Cash								
Security Identifier ORCL								
2,493 000	03/09/09	14 6080	36,416 99	24 5400	61,178 22	24,761 23	498 60	0 81%
PFIZER INC COM								
Dividend Option Cash								
Security Identifier PFE								
678 900	10/15/09	17 6600	11,989 37	18 1900	12,349 19	359 82	488 81	3 95%
59 100	10/15/09	17 6600	1,043 71	18.1900	1,075 03	31 32	42 55	3 95%
1,560.000	10/20/09	18 0700	28,189 20	18 1900	28,376 40	187.20	1,123 20	3 95%
2,298.000	**Total**		**$41,222.28**		**$41,800.62**	**$578.34**	**$1,654.56**	
ROYAL DUTCH SHELL PLC SPONSORED ADR								
RESPTG A SHS								
Dividend Option Cash								
Security Identifier RDS A								
760 000	03/09/09	41 2900	31,380 17	60 1100	45,683 60	14,303 43	2,170 56	4 75%

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
ROYAL DUTCH SHELL PLC SPONSORED ADR *(continued)*								
170 000	09/04/09	55 8730	9,498 43	60 1100	10,218.70	720 27	485 52	4 75%
930.000	**Total**		**$40,878.60**		**$55,902.30**	**$15,023.70**	**$2,656.08**	
ST JUDE MED INC COM								
Dividend Option. Cash								
Security Identifier STJ								
610 000	04/17/09	36 0580	21,995.31	36 7800	22,435 80	440 49		
240 000	06/23/09	40.9850	9,836 33	36 7800	8,827 20	-1,009 13		
120 000	10/06/09	34 2780	4,113 40	36 7800	4,413 60	300.20		
970.000	**Total**		**$35,945.04**		**$35,676.60**	**-$268.44**	**$0.00**	
SUPERVALU INC								
Dividend Option: Cash								
Security Identifier SVU								
40 000	03/09/09	14 2270	569 09	12 7100	508 40	-60 69	28 00	5 50%
650 000	03/17/09	15 2190	9,892 18	12 7100	8,261 50	-1,630 68	455 00	5 50%
1,280 000	04/06/09	14 7000	18,815 88	12 7100	16,268 80	-2,547 08	896 00	5 50%
560 000	05/20/09	16 2170	9,081 56	12 7100	7,117 60	-1,963 96	392 00	5 50%
480 000	06/24/09	14 0060	6,723.08	12 7100	6,100 80	-622 28	336 00	5 50%
3,010.000	**Total**		**$45,081.79**		**$38,257.10**	**-$6,824.69**	**$2,107.00**	
SYSCO CORP								
Dividend Option Cash								
Security Identifier SYY								
920 000	07/21/09	22 9810	21,142 87	27 9400	25,704 80	4,561 93	920 00	3 57%
600 000	09/01/09	25 4050	15,242 88	27 9400	16,764 00	1,521 12	600 00	3 57%
220 000	09/22/09	25 6190	5,636.22	27 9400	6,146 80	510 58	220 00	3 57%
1,740.000	**Total**		**$42,021.97**		**$48,615.60**	**$6,593.63**	**$1,740.00**	
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR								
ISIN#US8816242098								
Dividend Option Cash								
Security Identifier TEVA								
300 000	05/06/09	44 2520	13,275 70	56 1800	16,854 00	3,578 30	144 75	0 85%

[redacted]

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
TEVA PHARMACEUTICAL INDUSTRIES LTD ADR *(continued)*								
440 000	05/20/09	45 2570	19,913 08	56 1800	24,719 20	4,806 12	212 29	0 85%
740.000	**Total**		**$33,188.78**		**$41,573.20**	**$8,384.42**	**$357.04**	
V F CORP								
Dividend Option Cash								
Security Identifier VFC								
190 000	07/29/09	63 6340	12,090 40	73 2400	13,915 60	1,825 20	456 00	3 27%
175 000	10/27/09	73 3540	12,836 87	73 2400	12,817 00	-19.87	420 00	3 27%
365.000	**Total**		**$24,927.27**		**$26,732.60**	**$1,805.33**	**$876.00**	
WAL MART STORES INC								
Dividend Option Cash								
Security Identifier WMT								
480 000	07/20/09	48 4300	23,246 40	53 4500	25,656 00	2,409 60	523 20	2 03%
130 000	08/21/09	51 6300	6,711 87	53 4500	6,948 50	236 63	141 70	2 03%
150 000	09/01/09	50 9300	7,639 43	53 4500	8,017 50	378 07	163 50	2 03%
150 000	11/05/09	51 0220	7,653 37	53 4500	8,017 50	364 13	163 50	2 03%
910.000	**Total**		**$45,251.07**		**$48,639.50**	**$3,388.43**	**$991.90**	
Total Common Stocks			**$981,935.02**		**$1,298,963.52**	**$317,028.50**	**$33,781.28**	
Total Equities			**$981,935.02**		**$1,298,963.52**	**$317,028.50**	**$33,781.28**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$1,039,270.18**	**$1,356,298.68**	**$317,028.50**	**$0.00**	**$33,781.28**

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you A

Summary of Gains and Losses

	Realized This Period	Realized Year-to-Date	Unrealized This Period
Short-Term Gain/Loss	0.00	-56,804 57	216,122 07
Long-Term Gain/Loss	0 00	-51,210 88	150,972 53
Net Gain/Loss	**0.00**	**-108,015.45**	**367,094.60**

This summary excludes transactions where cost basis information is not available.

For Your Information

Effective immediately CSSU clients who engage in short sales will be required to pay the costs incurred by CSSU (and or Pershing LLC, as clearing broker and custodian for accounts introduced by CSSU to Pershing) when borrowing securities to cover short transactions ("Borrowing Costs").

Customer Service Information

Your Relationship Manager: 33X	Contact Information	Customer Service Information
SANFORD M KATZ PRIVATE BANKING USA 650 CALIFORNIA STREET 31ST FLOOR SAN FRANCISCO CA 94108-2612	**Telephone Number:** (800) 227-4492 **Fax Number:** (415) 249-2060	**Web Site:** www credit-suisse com

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 1.00% of Portfolio									
Cash Balance				0.00	3,271 36				
Money Market									
DREYFUS TREASURY & AGENCY INV									
5,726 290	12/01/09	0000005561	12/31/09	4,419 96	5,726 29	0 00	0 00	0 00%	0 00%
Total Money Market				**$4,419.96**	**$5,726.29**	**$0.00**	**$0.00**		
Total Cash, Money Funds, and FDIC Deposits				**$4,419.96**	**$8,997.65**	**$0.00**	**$0.00**		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 73.00% of Portfolio								
Mutual Funds								
BLACKROCK HIGH YIELD BOND FUND								
CLASS A								
Open End Fund								
Security Identifier BHYAX								
Dividend Option Reinvest, Capital Gains Option Reinvest								
25,380 711	06/05/09	5 9100	150,000 00	7 0000	177,664 98	27,664 98	14,911 70	8 39%
1,132 595	Reinvestments to Date	6 4730	7,330 94	7 0000	7,928 16	597 22	665 42	8 39%
26,513.306	**Total**		**$157,330.94**		**$185,593.14**	**$28,262.20**	**$15,577.12**	
3BLACKROCK GLOBAL ALLOCATION FUND INC								
CLASS A								
Open End Fund								
Security Identifier MDLOX								
Dividend Option Reinvest, Capital Gains Option Reinvest								
13,259 097	09/10/08	17 6100	233,492 69	17 8900	237,205 24	3,712 55	4,112 42	1 73%
576 490	12/15/08	14 3700	8,284 16	17 8900	10,313 41	2,029 25	178 80	1 73%
247 602	Reinvestments to Date	17 3910	4,306 15	17 8900	4,429 60	123 45	76 80	1 73%
14,083.189	**Total**		**$246,083.00**		**$251,948.25**	**$5,865.25**	**$4,368.02**	
3FIDELITY ADVISOR LEVERAGED COMPANY								
STOCK FUND CLASS A								
Open End Fund								
Security Identifier FLSAX								
Dividend Option Reinvest, Capital Gains Option Reinvest								
13,008 530	09/10/08	31 8300	414,061 51	27 7300	360,726 53	-53,334 98	1,170 76	0 32%
83 368	12/15/08	16 5400	1,378 91	27 7300	2,311 79	932 88	7 50	0 32%
11 634	12/31/08	16 8800	196 38	27 7300	322 61	126 23	1 05	0 32%
4,608 295	06/03/09	21 7000	100,000 00	27 7300	127,788 02	27,788 02	414.74	0 32%
65.573	Reinvestments to Date	25 9370	1,700 76	27 7300	1,818 34	117 58	5 90	0 32%
17,777.400	**Total**		**$517,337.56**		**$492,967.30**	**-$24,370.27**	**$1,599.96**	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
THE HARTFORD FLOATING RATE FUND								
CLASS A								
Open End Fund								
Security Identifier HFLAX								
Dividend Option Reinvest, Capital Gains Option Reinvest								
45,811 518	06/03/09	7 6400	350,000 00	8.4700	388,023 56	38,023 56	19,794.70	5.10%
1,270 204	Reinvestments to Date	8 1420	10,341 38	8 4700	10,758 63	417 25	548 84	5.10%
47,081.722	**Total**		**$360,341.38**		**$398,782.19**	**$38,440.81**	**$20,343.54**	
[3]OPPENHEIMER INTL BOND CLASS A								
Open End Fund								
Security Identifier. OIBAX								
Dividend Option Reinvest, Capital Gains Option Reinvest								
55,417 599	09/10/08	6 1300	339,709 88	6 4000	354,672 63	14,962 75	12,797 58	3 60%
122 898	09/30/08	5 9600	732.47	6 4000	786 55	54 08	28 38	3 60%
221 861	10/31/08	5 5400	1,229 11	6 4000	1,419 91	190 80	51 23	3 60%
176 667	11/28/08	5 6800	1,003 47	6 4000	1,130 67	127 20	40 80	3 60%
1,131 356	12/30/08	5 9200	6,697 63	6 4000	7,240 68	543 05	261 26	3 60%
217 709	12/30/08	5 9200	1,288 84	6 4000	1,393 34	104 50	50 28	3 60%
208 637	12/30/08	5 9200	1,235 13	6.4000	1,335 28	100 15	48 18	3 60%
124 070	01/30/09	5 7000	707 20	6 4000	794 05	86 85	28 65	3 60%
134 114	02/27/09	5 4600	732 26	6 4000	858 33	126 07	30 97	3 60%
2,380 314	Reinvestments to Date	6.2360	14,844 42	6 4000	15,234 01	389.59	549 69	3.60%
60,135.225	**Total**		**$368,180.41**		**$384,865.44**	**$16,685.04**	**$13,887.02**	
OPPENHEIMER DEVELOPING MARKETS								
CLASS A								
Open End Fund								
Security Identifier ODMAX								
Dividend Option Reinvest; Capital Gains Option Reinvest								
8,988 764	06/03/09	22 2500	200,000 00	28 7600	258,516 86	58,516 86	1,044 58	0 40%
1,004 823	08/25/09	24 8800	25,000 00	28 7600	28,898 71	3,898 71	116 77	0 40%
40 706	Reinvestments to Date	28 5300	1,161 35	28 7600	1,170 71	9 36	4 73	0 40%
10,034.293	**Total**		**$226,161.35**		**$288,586.27**	**$62,424.93**	**$1,166.08**	
PRINCIPAL HIGH YIELD II FUND								
CLASS A								
Open End Fund								
Security Identifier CPHYX								
Dividend Option Reinvest, Capital Gains Option Reinvest								
21,459 227	06/05/09	6 9900	150,000 00	7 7700	166,738 19	16,738 19	14,177 98	8 50%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*								
Mutual Funds *(continued)*								
PRINCIPAL HIGH YIELD II FUND *(continued)*								
922 271	Reinvestments to Date	7 4140	6,838 09	7 7700	7,166 05	327 96	609 34	8 50%
22,381.498	**Total**		**$156,838.09**		**$173,904.24**	**$17,066.15**	**$14,787.32**	
3TEMPLETON GLOBAL BOND FUND CLASS A								
Open End Fund								
Security Identifier TPINX								
Dividend Option Reinvest, Capital Gains Option Reinvest								
29,720 899	09/10/08	11 4300	339,709 88	12 7200	378,049 84	38,339 96	16,049 28	4 24%
119 201	09/19/08	11 2200	1,337 44	12 7200	1,516 24	179 80	64 37	4 24%
121 082	10/17/08	11 0900	1,342 80	12 7200	1,540 16	197 36	65 38	4 24%
124 263	11/21/08	10 8500	1,348 25	12 7200	1,580 62	232 37	67 10	4 24%
1,146 786	12/19/08	11 0500	12,671 99	12 7200	14,587 12	1,915 13	619 26	4 24%
124 597	01/20/09	11 2800	1,405 45	12 7200	1,584 87	179 42	67 28	4 24%
131 018	02/19/09	10 7700	1,411 06	12 7200	1,666 55	255 49	70 75	4 24%
1,199 851	Reinvestments to Date	12 0160	14,417 98	12 7200	15,262 10	844 12	647 92	4 24%
32,687.697	**Total**		**$373,644.85**		**$415,787.51**	**$42,142.65**	**$17,651.35**	
Total Mutual Funds			**$2,405,917.58**		**$2,592,434.34**	**$186,516.76**	**$89,380.41**	
Total Mutual Funds			**$2,405,917.58**		**$2,592,434.34**	**$186,516.76**	**$89,380.41**	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 26.00% of Portfolio								
Exchange-Traded Products								
3ISHARES TR MSCI EAFE INDEX FD								
Security Identifier EFA								
Dividend Option Cash, Capital Gains Option Cash								
6,599 000	11/14/08	42 0560	277,526 90	55 2800	364,792 72	87,265 82	9,508 65	2 60%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR RUSSELL MIDCAP INDEX FD								
Security Identifier IWR								
Dividend Option. Cash, Capital Gains Option Cash								
2,205 000	06/02/09	67 9060	149,733 39	82 5100	181,934 55	32,201 16	3,594 95	1 97%
1,000 000	08/25/09	74 8200	74,820 00	82 5100	82,510 00	7,690 00	1,630 37	1 97%
3,205.000	**Total**		**$224,553.39**		**$264,444.55**	**$39,891.16**	**$5,225.32**	
3STANDARD & POORS DEPOSITARY RECEIPTS (SPDR'S) UNITS OF UNDIVIDED BENEFICIAL INTEREST								
Security Identifier SPY								
Dividend Option. Cash, Capital Gains Option Cash								
2,522 000	11/14/08	90 2580	227,630 82	111 4400	281,051 68	53,420 86	5,953 83	2 11%
Total Exchange-Traded Products			**$729,711.11**		**$910,288.95**	**$180,577.84**	**$20,687.80**	
Total Exchange-Traded Products			**$729,711.11**		**$910,288.95**	**$180,577.84**	**$20,687.80**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$3,144,626.34**	**$3,511,720.94**	**$367,094.60**	**$0.00**	**$110,068.21**

LESS CASH <8,997.65>

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

TOTAL INVESTMENTS $3,502,723.29

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency

Portfolio Holdings *(continued)*

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits *(continued)*									
Money Market									
DREYFUS TREASURY & AGENCY INV									
62,675 740	12/01/09	0000005539	12/31/09	106,405 16	62,675 74	0 00	0 09	0 00%	0 00%
Total Money Market				**$106,405.16**	**$62,675.74**	**$0.00**	**$0.09**		
Total Cash, Money Funds, and FDIC Deposits				**$107,567.24**	**$71,026.44**	**$0.00**	**$0.09**		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 60.00% of Portfolio *(In Maturity Date Sequence)*									
U.S.Treasury Securities									
3UNITED STATES TREAS NOTES 1 500% 12/31/13 B/E DTD 12/31/08 1ST CPN DTE 06/30/09 CPN PMT SEMI ANNUAL Moody Rating AAA S & P Rating AAA *Security Identifier* 912828JW1									
400,000 000	03/03/09	98 5090	395,022 44	97 3670	389,468 00	-5,554 44	0 00	6,000 00	1 54%
Original Cost Basis 394,036 76									
Total U.S. Treasury Securities **400,000.000**			**$395,022.44**		**$389,468.00**	**-$5,554.44**	**$0.00**	**$6,000.00**	
U.S. Government Bonds									
3FEDERAL HOME LN MTG CORP REFERENCE NT 5 500% 09/15/11 B/E DTD 09/25/01 1ST CPN DTE 03/15/02 CPN PMT SEMI ANNUAL Moody Rating AAA S & P Rating AAA *Security Identifier* 3134A4HF4									
154,000 000	01/08/09	109 8610	163,771 30	107 3750	165,357 50	1,586 20	2,493 94	8,470 00	5 12%
Original Cost Basis 169,185 94									

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
U.S. Government Bonds *(continued)*									
3FEDERAL NATL MTG ASSN BENCHMARK NTS 5 000% 03/15/16 B/E DTD 02/16/06 1ST CPN DTE 03/15/06 CPN PMT SEMI ANNUAL Moody Rating AAA S & P Rating AAA *Security Identifier* 31359MH89									
202,000 000 Original Cost Basis 207,547 93	07/10/08	102 7470	206,633 88	108 6880	219,549 76	12,915 88	2,973 89	10,100 00	4 60%
110,000 000 Original Cost Basis 122,135 53	02/19/09	111 0320	120,805 30	108 6880	119,556 80	-1,248 50	1,619 44	5,500 00	4 60%
312,000.000	**Total**		**$327,439.18**		**$339,106.56**	**$11,667.38**	**$4,593.33**	**$15,600.00**	
3FEDERAL NATL MTG ASSN DEB 5 250% 09/15/16 B/E DTD 08/17/06 1ST CPN DTE 09/15/06 CPN PMT SEMI ANNUAL Moody Rating AAA S & P Rating AAA *Security Identifier* 31359MW41									
160,000 000 Original Cost Basis 186,622 40	12/17/08	116 6390	183,417 60	110 4690	176,750 40	-6,667 20	2,473 34	8,400 00	4 75%
148,000 000 Original Cost Basis 166,054 81	02/11/09	112 1990	164,201 56	110.4690	163,494 12	-707 44	2,287 83	7,770 00	4 75%
308,000.000	**Total**		**$347,619.16**		**$340,244.52**	**-$7,374.64**	**$4,761.17**	**$16,170.00**	
Total U.S. Government Bonds **774,000.000**			**$838,829.64**		**$844,708.58**	**$5,878.94**	**$11,848.44**	**$40,240.00**	
Asset Backed Securities									
3FNMA GTD MTG PASS THRU CTFS POOL # 995473 5 000% 01/01/24 B/E Factor 0 75034965 DTD 01/01/09 1ST CPN DTE 02/25/09 *Security Identifier:* 31416B2E7									
291,000 000 Original Cost Basis: 294,402 99	02/11/09	103 0000	224,902 27	104 6160	228,430 87	3,528 60	909 80		
3FNMA GTD MTG PASS THRU CTFS POOL # 725946 5 500% 11/01/34 B/E Factor. 0 40732239 DTD 10/01/04 1ST CPN DTE 11/25/04 *Security Identifier:* 31402DP79									
267,000 000 Original Cost Basis. 141,511.00	01/20/09	103 4840	112,544 09	105 1040	114,305 94	1,761 85	498 46		
3FNMA GTD MTG PASS THRU CTFS POOL # 995024 5 500% 08/01/37 B/E Factor 0 80709994 DTD 10/01/08 1ST CPN DTE 11/25/08 *Security Identifier* 31416BLD8									
491,000 000 Original Cost Basis 489,830 82	01/21/09	103.2180	409,038 56	105 1040	416,512 51	7,473 95	1,816 31		

CREDIT SUISSE SECURITIES (USA) LLC
650 California Street
31st Floor
San Francisco CA 94108
(800) 227-4492

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Asset Backed Securities *(continued)*									
3FNMA GTD MTG PASS THRU CTFS POOL # 995084 5 500% 08/01/37 B/E Factor 0 81769752 DTD 11/01/08 1ST CPN DTE 12/25/08 *Security Identifier* 31416BM96									
241,000 000	01/27/09	103 0780	203,130 76	105 1040	207,123 31	3,992 55	903 22		
Original Cost Basis 241,064 81									
3FNMA GTD MTG PASS THRU CTFS POOL # 889072 6 500% 12/01/37 B/E Factor 0.67296380 DTD 01/01/08 1ST CPN DTE 02/25/08 *Security Identifier* 31410GW90									
478,000 000	05/07/08	103 6710	333,485 44	107 2030	344,847 07	11,361 63	1,742 42		
Original Cost Basis 410,352 10									
3FNMA GTD MTG PASS THRU CTFS POOL # 984689 5.500% 07/01/38 B/E Factor 0 81489193 DTD 07/01/08 1ST CPN DTE 08/25/08 *Security Identifier.* 31415M2W4									
334,000 000	07/23/08	96 9680	263,921 58	104 7920	285,216 48	21,294.90	1,247 46		
Original Cost Basis 312,879 50									
Total Asset Backed Securities			**$1,547,022.70**		**$1,596,436.18**	**$49,413.48**	**$7,117.67**	**$0.00**	
Corporate Bonds									
3 5LEHMAN BROS HLDGS INC MTN LKD TO ASIAN CURRENCIES 0 000% 03/31/10 B/E DTD 03/31/08 SECURITY IN DEFAULT 1ST CPN DTE 10/31/08 INTEREST PAYABLE AT MATURITY *Security Identifier:* 52523J438									
10,000 000	03/26/08	1,039 5290	103,952 85	N/A	N/A	N/A	0 00		
Original Cost Basis. 103,952 85									
AT&T INC GLOBAL NT 4.850% 02/15/14 B/E DTD 02/03/09 CALLABLE 1ST CPN DTE 08/15/09 CPN PMT SEMI ANNUAL Moody Rating A2 S & P Rating A *Security Identifier* 00206RAQ5									

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income *(continued)*									
Corporate Bonds *(continued)*									
AT&T INC GLOBAL NT 4.850% 02/15/14 B/E *(continued)*									
100,000 000	06/04/09	104 7420	104,219 00	106 3290	106,329 00	2,110 00	1,832 22	4,850 00	4 56%
Original Cost Basis 104,742 00									
MORGAN STANLEY GLOBAL SUB NT									
4 750% 04/01/14 B/E DTD 03/30/04									
1ST CPN DTE 10/01/04 CPN PMT SEMI ANNUAL									
Moody Rating A3 S & P Rating A-									
Security Identifier 61748AAE6									
100,000 000	06/04/09	96 1000	96,502 00	100 5750	100,575 00	4,073 00	1,187 50	4,750 00	4 72%
Original Cost Basis 96,100 00									
UNITED KINGDOM OF GREAT BRITAIN AND									
NORTN TRY STK EURO ISIN#GB0031829509									
5 000% 09/07/14 REG DTD 07/25/02									
Security Identifier G92450NU8									
125,000 000	08/25/09	184 2160	230,269 98	177.0247	221,280.93	-8,989 05	3,205 78		
Original Cost Basis 230,269 98									
GOLDMAN SACHS GROUP INC FOR FUTURE									
EQUITY USE 38 143U SR GLOBAL NT									
5 125% 01/15/15 B/E DTD 01/12/05									
Moody Rating A1 S & P Rating A									
Security Identifier 38141GEA8									
100,000 000	06/04/09	101 1050	101,009 00	104 5186	104,518 64	3,509 64	2,363 19	5,125 00	4 90%
Original Cost Basis. 101,105 00									
GOLDMAN SACHS GROUP INC SR NT									
6 250% 09/01/17 B/E DTD 08/30/07									
CALLABLE 1ST CPN DTE 03/01/08									
Moody Rating A1 S & P Rating A									
Security Identifier 38144LAB6									
225,000 000	09/24/09	107 4600	241,332 75	107 2410	241,292.25	-40 50	4,687 50	14,062 50	5 82%
Original Cost Basis 241,785 00									
Total Corporate Bonds			**$877,285.58**		**$773,995.82**	**$663.09**	**$13,276.19**	**$28,787.50**	
660,000.000									
[4] **Total Fixed Income**									
1,834,000.000			**$3,658,160.36**		**$3,604,608.58**	**$50,401.07**	**$32,242.30**	**$75,027.50**	

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 15.00% of Portfolio								
Common Stocks								
ALTRIA GROUP INC COM								
Dividend Option Cash								
Security Identifier MO								
1,175 000	06/05/09	17 1900	20,198 49	19 6300	23,065 25	2,866 76	1,598 00	6 92%
APACHE CORP								
Dividend Option Cash								
Security Identifier APA								
230 000	08/25/09	87 0540	20,022 33	103 1700	23,729 10	3,706.77	138 00	0 58%
AXA SA SPONS ADR								
ISIN#US0545361075								
Dividend Option Cash								
Security Identifier AXA								
1,050 000	12/14/09	23 8400	25,031.83	23 6800	24,864 00	-167 83	480 31	1 93%
BIOMARIN PHARMACEUTICALS INC								
Dividend Option Cash								
Security Identifier BMRN								
1,440 000	11/18/09	17 3880	25,038 37	18 8100	27,086 40	2,048 03		
CME GROUP INC COM								
Dividend Option Cash								
Security Identifier CME								
70 000	07/23/09	280 9010	19,663 08	335 9500	23,516 50	3,853 42	322 00	1 36%
CVS CAREMARK CORP								
Dividend Option Cash								
Security Identifier CVS								
660 000	06/05/09	30 5470	20,161 32	32 2100	21,258 60	1,097 28	201 30	0 94%
CHINA MOBILE LTD SPON ADR S A								
ISIN#US16941M099								
Dividend Option Cash								
Security Identifier CHL								
390.000	06/05/09	51 5810	20,116 47	46 4300	18,107.70	-2,008 77	622 56	3 43%

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
CISCO SYSTEMS INC								
Dividend Option Cash								
Security Identifier CSCO								
1,015 000	06/05/09	19 8870	20,185 20	23 9400	24,299 10	4,113 90		
COSTCO WHSL CORP NEW COM								
Dividend Option Cash								
Security Identifier COST								
425 000	06/05/09	47 8060	20,317 43	59 1700	25,147 25	4,829 82	306 00	1 21%
DEERE & CO								
Dividend Option Cash								
Security Identifier DE								
435.000	06/05/09	46 7330	20,328 72	54 0900	23,529 15	3,200 43	487 20	2 07%
DIGITAL RIVER INC COM								
Dividend Option Cash								
Security Identifier DRIV								
630 000	09/24/09	39 8390	25,098 72	26 9900	17,003 70	-8,095 02		
EDISON INTERNATIONAL								
Dividend Option Cash								
Security Identifier EIX								
750 000	09/10/09	33 7240	25,292 93	34 7800	26,085 00	792 07	945 00	3 62%
EMERSON ELECTRIC CO COM								
Dividend Option Cash								
Security Identifier EMR								
575 000	06/05/09	35.1880	20,233 33	42 6000	24,495 00	4,261 67	770 50	3 14%
FLUOR CORP NEW COM								
Dividend Option Cash								
Security Identifier FLR								
615 000	12/14/09	40 8370	25,114.77	45 0400	27,699 60	2,584 83	307 50	1 11%
GENERAL ELECTRIC CO COM								
Dividend Option Cash								
Security Identifier GE								
1,475 000	06/05/09	13 7060	20,215 91	15.1300	22,316 75	2,100 84	590 00	2 64%
GENZYME CORP COM FORMERLY COM GEN DIV								
TO 5/27/2004								
Dividend Option Cash								
Security Identifier GENZ								
335 000	06/05/09	60 8020	20,368 67	49 0100	16,418 35	-3,950 32		

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CREDIT SUISSE

CREDIT SUISSE SECURITIES (USA) LLC
650 California Street
31st Floor
San Francisco CA 94108
(800) 227-4492

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
HEINZ H J COMPANY								
Dividend Option Cash								
Security Identifier. HNZ								
545 000	06/05/09	37 0470	20,190 51	42 7600	23,304 20	3,113 69	915 60	3 92%
HOME DEPOT INC COM								
Dividend Option Cash								
Security Identifier HD								
915 000	09/10/09	27 6840	25,330 85	28 9300	26,470 95	1,140 10	823 50	3 11%
JOHNSON & JOHNSON COM								
Dividend Option Cash								
Security Identifier JNJ								
360 000	06/05/09	56 2970	20,267 06	64 4100	23,187 60	2,920 54	705 60	3 04%
MCDONALDS CORP								
Dividend Option Cash								
Security Identifier MCD								
335 000	06/05/09	60 5090	20,270 55	62 4400	20,917 40	646 85	737 00	3 52%
MICROSOFT CORP COM								
Dividend Option Cash								
Security Identifier MSFT								
910 000	06/05/09	22 2200	20,220 20	30 4900	27,745 90	7,525 70	473 20	1 70%
MONSANTO CO NEW COM								
Dividend Option Cash								
Security Identifier MON								
245 000	06/05/09	82 5370	20,221 61	81.7500	20,028.75	-192 86	259 70	1 29%
NOKIA CORP SPONSORED ADR								
Dividend Option Cash								
Security Identifier NOK								
1,870 000	08/12/09	13 3720	25,006.39	12.8500	24,029 50	-976 89	734.92	3 05%
NUCOR CORP COM								
Dividend Option. Cash								
Security Identifier. NUE								

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
NUCOR CORP COM *(continued)*								
425 000	06/05/09	47 8310	20,328.25	46 6500	19,826.25	-502 00	612 00	3 08%
OMNICARE INC COM								
Dividend Option Cash								
Security Identifier OCR								
1,075 000	08/12/09	23.2810	25,026 54	24 1800	25,993 50	966 96	96 75	0 37%
PEPSICO INC								
Dividend Option Cash								
Security Identifier PEP								
365 000	06/05/09	55 2270	20,157 78	60 8000	22,192 00	2,034 22	657 00	2 96%
PROCTER & GAMBLE CO COM								
Dividend Option Cash								
Security Identifier PG								
380 000	06/05/09	53 5610	20,353 11	60 6300	23,039 40	2,686 29	668 80	2 90%
QUANTA SVCS INC COM								
Dividend Option Cash								
Security Identifier PWR								
855 000	08/25/09	23.3900	19,998 26	20 8400	17,818.20	-2,180 06		
REGENERON PHARMACEUTICALS INC								
Dividend Option. Cash								
Security Identifier REGN								
1,280 000	12/14/09	19 5950	25,081 48	24.1800	30,950 40	5,868 92		
SAFEWAY INC COM NEW								
Dividend Option Cash								
Security Identifier SWY								
1,080 000	07/23/09	18 6140	20,103 44	21 2900	22,993.20	2,889.76	432 00	1 87%
SANOFI AVENTIS SPONS ADR								
ISIN#US80105N1054								
Dividend Option Cash								
Security Identifier SNY								
625 000	06/05/09	32 4920	20,307 31	39 2700	24,543 75	4,236.44	698 33	2 84%
TEREX CORP NEW .01 PV								
Dividend Option Cash								
Security Identifier TEX								
1,125 000	11/18/09	22 2480	25,029 54	19 8100	22,286 25	-2,743.29		

Statement Period: 12/01/2009 - 12/31/2009

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
VERIZON COMMUNICATIONS COM								
Dividend Option Cash								
Security Identifier VZ								
685 000	06/05/09	29 5120	20,215 86	33 1300	22,694 05	2,478 19	1,301 50	5 73%
Total Common Stocks			**$715,496.31**		**$766,642.75**	**$51,146.44**	**$15,884.27**	
Preferred Stocks *(Listed by expiration date)*								
BANK AMER CORP 8.2% DEP SH REPSTG								
1/1000TH PFD SER H PERP/CALL 5/1/13								
@25 00								
Dividend Option Cash								
Security Identifier BAC PRH								
1,080 000	06/05/09	18 8530	20,361 42	24 1700	26,103 60	5,742 18	2,214 00	8 48%
DEUTSCHE BK 7.60% CONTINGENT CAP TR								
III TR PFD SECS CALLABLE 02/20/2018								
@$25 00								
Dividend Option Cash								
Security Identifier DTK								
1,010 000	06/05/09	20 0530	20,253 37	23 7300	23,967 30	3,713 93	1,919 00	8 00%
GOLDMAN SACHS GROUP INC DEPOSITARY SHS								
REPSTG 1/1000TH PFD SER A FLOATING QRTLY								
CALLABLE 4/25/10								
Dividend Option Cash								
Security Identifier GS PRA								
1,215 000	06/05/09	16 6940	20,283 06	21 9300	26,644 95	6,361 89	1,164 36	4 36%
1,055 000	09/10/09	19 2690	20,329 20	21 9300	23,136 15	2,806 95	1,011 02	4 36%
2,270.000	**Total**		**$40,612.26**		**$49,781.10**	**$9,168.84**	**$2,175.38**	
3 5LEHMAN BROS HLDG INC PRIN PROTN ABSOLUTE								
RETURN BARRIER NT LKD TO S&P 500								
INDEX								
Dividend Option Cash								
Security Identifier 52522L525								

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Preferred Stocks *(continued)*								
LEHMAN BROS HLDG INC PRIN PROTN ABSOLUTE *(continued)*								
10,000 000	01/28/08	10 3850	103,854 00	N/A	N/A	N/A		
ROYAL BANK SCOTLAND GROUP PLC 7 25% SPON ADR REPSTG SER T PFD SHS CALLABLE 12/31/12@ 25 00 Dividend Option Cash *Security Identifier* RBS PRT								
2,105 000	11/18/09	11 8810	25,009 36	11.8900	25,028.45	19 09	3,815 31	15 24%
USB CAP VIII TR PFD SECS 6.35% DUE 12/29/2065 CALLABLE 12/29/10@25 Dividend Option Cash *Security Identifier* USB PRG								
935 000	06/05/09	21 5750	20,172 35	23 1500	21,645 25	1,472 90	1,484 31	6 85%
Total Preferred Stocks			**$230,262.76**		**$146,525.70**	**$20,116.94**	**$11,608.00**	
Total Equities			**$945,759.07**		**$913,168.45**	**$71,263.38**	**$27,492.27**	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 1.00% of Portfolio								
Mutual Funds								
KAYNE ANDERSON MLP INVT CO COM Closed End Fund *Security Identifier* KYN Dividend Option Cash, Capital Gains Option Cash								
1,235 000	08/12/09	20 2630	25,024 71	25 0400	30,924 40	5,899 69	2,371 20	7 66%
Total Mutual Funds			**$25,024.71**		**$30,924.40**	**$5,899.69**	**$2,371.20**	
Total Mutual Funds			**$25,024.71**		**$30,924.40**	**$5,899.69**	**$2,371.20**	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products 23.00% of Portfolio								
Exchange-Traded Products								
CURRENCYSHARES SWISS FRANC TR SWISS FRANC SHS *Security Identifier* FXF Dividend Option Cash, Capital Gains Option Cash								
335 000	03/25/09	90 2480	30,233 16	96 1200	32,200 20	1,967 04		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
CURRENCYSHARES SWISS FRANC TR SWISS FRANC *(continued)*								
265 000	06/02/09	95 0210	25,180 51	96.1200	25,471 80	291 29		
600.000	**Total**		**$55,413.67**		**$57,672.00**	**$2,258.33**	**$0.00**	
CURRENCYSHARES CDN DLR TR CDN DOLLAR								
SHS								
Security Identifier. FXC								
Dividend Option Cash; Capital Gains Option. Cash								
365 000	03/25/09	82 5000	30,112 61	94 8500	34,620 25	4,507 64		
270 000	06/02/09	93 4000	25,218 11	94 8500	25,609 50	391 39		
635.000	**Total**		**$55,330.72**		**$60,229.75**	**$4,899.03**	**$0.00**	
CURRENCYSHARES JAPANESE YEN TR								
JAPANESE YEN SHS								
Security Identifier FXY								
Dividend Option Cash, Capital Gains Option Cash								
290 000	03/25/09	103 6430	30,056 51	106 6100	30,916 90	860 39		
240 000	06/02/09	105 0800	25,219 30	106 6100	25,586 40	367 10		
530.000	**Total**		**$55,275.81**		**$56,503.30**	**$1,227.49**	**$0.00**	
CURENCYSHARES EURO TR EURO SHS								
Security Identifier FXE								
Dividend Option Cash, Capital Gains Option Cash								
385 000	06/02/09	144 6520	55,691 10	142 9100	55,020 35	-670 75		
ISHARES TR S&P 500 INDEX FD								
Security Identifier IVV								
Dividend Option. Cash, Capital Gains Option Cash								
900 000	07/09/09	89 3850	80,446 50	111.8100	100,629 00	20,182 50	2,152 48	2 13%
1,385 000	10/09/09	108 3050	150,002 04	111 8100	154,856 85	4,854 81	3,312 44	2 13%
2,285.000	**Total**		**$230,448.54**		**$255,485.85**	**$25,037.31**	**$5,464.92**	
ISHARES TR MSCI EMERGING MKTS								
INDEX FD								
Security Identifier EEM								
Dividend Option Cash, Capital Gains Option Cash								
950 000	07/09/09	31.5730	29,993.97	41 5000	39,425 00	9,431 03	22 82	0 05%

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
ISHARES TR MSCI EMERGING MKTS *(continued)*								
675 000	08/25/09	36 9130	24,916 60	41 5000	28,012 50	3,095 90	16 21	0 05%
1,625.000	**Total**		**$54,910.57**		**$67,437.50**	**$12,526.93**	**$39.03**	
ISHARES TR IBOXX USD INVT GRADE								
CORP BD FD								
Security Identifier LQD								
Dividend Option Cash, Capital Gains Option Cash								
1,455 000	03/25/09	93 9720	136,729 64	104 1500	151,538 25	14,808 61	8,329 96	5 49%
ISHARES TR MSCI EAFE INDEX FD								
Security Identifier EFA								
Dividend Option Cash, Capital Gains Option Cash								
450 000	07/09/09	44 8240	20,170 71	55 2800	24,876 00	4,705 29	648 41	2 60%
ISHARES TR DOW JONES U S REAL ESTATE								
INDEX FD								
Security Identifier: IYR								
Dividend Option Cash, Capital Gains Option Cash								
625 000	08/12/09	40 0670	25,041 69	45 9200	28,700 00	3,658 31	1,252 94	4 36%
725 000	10/29/09	41 7530	30,271 22	45 9200	33,292 00	3,020 78	1,453 42	4 36%
1,350.000	**Total**		**$55,312.91**		**$61,992.00**	**$6,679.09**	**$2,706.36**	
ISHARES TR IBOXX HIGH YIELD								
CORP BD FD								
Security Identifier HYG								
Dividend Option Cash, Capital Gains Option Cash								
1,155 000	03/24/09	69 8940	80,727 95	87 8400	101,455 20	20,727 25	9,619 29	9 48%
POWERSHARES EXCHANGE TRADED FD TR								
FINL PFD PORTFOLIO								
Security Identifier PGF								
Dividend Option Cash, Capital Gains Option Cash								
10,250 000	03/24/09	9 7060	99,482 17	16 3200	167,280 00	67,797 83	23,044 04	13 77%
1,935 000	07/23/09	15 5290	30,047 73	16 3200	31,579 20	1,531 47	4,350.27	13 77%
12,185.000	**Total**		**$129,529.90**		**$198,859.20**	**$69,329.30**	**$27,394.31**	
POWERSHARES DB U S DLR INDEX TR								
POWERSHARES DV US$ INDEX BEARISH DD COM UNIT								
Security Identifier. UDN								
Dividend Option. Cash, Capital Gains Option Cash								
7,065 000	10/09/09	28 3310	200,157 11	27 5400	194,570 10	-5,587 01		

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Exchange-Traded Products *(continued)*								
Exchange-Traded Products *(continued)*								
SPDR GOLD TR GOLD SHS								
Security Identifier GLD								
Dividend Option. Cash, Capital Gains Option Cash								
530 000	07/23/09	94 3880	50,025 49	107 3100	56,874 30	6,848 81		
Total Exchange-Traded Products			**$1,179,724.12**		**$1,342,513.80**	**$162,789.68**	**$54,202.28**	
Total Exchange-Traded Products			**$1,179,724.12**		**$1,342,513.80**	**$162,789.68**	**$54,202.28**	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	**$5,879,694.70**	**$5,962,241.67**	**$290,353.82**	**$32,242.30**	**$159,093.34**
		LESS: CASH (71,026.44)			

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns The method of calculation is based upon the type of fixed income security and certain attributes, obtained from sources believed to be reliable. In the event, one or more of these attributes is changed, there may be a temporary incorrect adjusted cost basis reflected until the portfolio system is amended to reflect this change. These calculations will not be performed under certain circumstances, including those involving continuously callable bonds, foreign bonds, variable rates, bonds in default, index-linked bonds, bonds sold short or bonds that have a negative yield. This information is meant as a general guide and you should consult your tax advisor in the preparation of your tax returns.

3 The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

TOTAL INVESTMENTS $5,891,215

4 Total Quantity field does not include Asset Backed Securities.

5 Unrealized gains and losses are not reported for securities for which cost basis or market value is not available.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

2009 FEDERAL BOOK DEPRECIATION SCHEDULE

CHANGE HAPPENS FOUNDATION
A DELAWARE NONPROFIT ORGANIZATION

20-5222620

NO.	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST/ BASIS	BUS. PCT.	CUR 179 BONUS	SPECIAL DEPR ALLOW.	PRIOR 179/ BONUS/ SP. DEPR.	PRIOR DEC BAL DEPR.	SALVAG /BASIS REDUCT	DEPR BASIS	PRIOR DEPR.	METHOD	LIFE	RATE	CURRENT DEPR.
	FORM 990/990-PF															
	MACHINERY AND EQUIPMENT															
1	EQUIPMENT	1/01/07		2,088							2,088	1,392	S/L	3		696
	TOTAL MACHINERY AND EQUIPME			2,088		0	0	0	0	0	2,088	1,392				696
	TOTAL DEPRECIATION			2,088		0	0	0	0	0	2,088	1,392				696
	GRAND TOTAL DEPRECIATION			2,088		0	0	0	0	0	2,088	1,392				696