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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
## or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

**Note**: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545 0052

**2009**

**For calendar year 2009, or tax year beginning , 2009, and ending ,**

G Check all that apply. [ ] Initial return [ ] Initial Return of a former public charity [ ] Final return
[ ] Amended return [X] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions

Ted and Jane Von Voigtlander Foundation
39209 W. Six Mile Road #201
Livonia, MI 48152

A Employer identification number: 20-5003935

B Telephone number (see the instructions): 734-432-1966

C If exemption application is pending, check here ► [ ]

D 1 Foreign organizations, check here ► [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ► [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ► [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 38,978,881.

J Accounting method. [X] Cash [ ] Accrual
[ ] Other (specify)
*(Part I, column (d) must be on cash basis )*

| **Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions) )* | **(a)** Revenue and expenses per books | **(b)** Net investment income | **(c)** Adjusted net income | **(d)** Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|
| **REVENUE** | | | | |
| 1 Contributions, gifts, grants, etc, received (att sch) | | | | |
| 2 Ck ► [X] if the foundn is **not** req to att Sch B | | | | |
| 3 Interest on savings and temporary cash investments | 12,706. | 12,706. | N/A | |
| 4 Dividends and interest from securities | 704,158. | 704,158. | | |
| 5a Gross rents | | | | |
| b Net rental income or (loss) | | | | |
| 6a Net gain/(loss) from sale of assets not on line 10 | -1,604,136. | | | |
| b Gross sales price for all assets on line 6a 16,443,095. | | | | |
| 7 Capital gain net income (from Part IV, line 2) | | 0. | | |
| 8 Net short-term capital gain | | | | |
| 9 Income modifications | | | | |
| 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | |
| c Gross profit/(loss) (att sch) | | | | |
| 11 Other income (attach schedule) See Statement 1 | 129,670. | 1,070. | | |
| 12 **Total.** Add lines 1 through 11 | -757,602. | 717,934. | | |
| **OPERATING AND ADMINISTRATIVE EXPENSES** | | | | |
| 13 Compensation of officers, directors, trustees, etc | 0. | | | |
| 14 Other employee salaries and wages | | | | |
| 15 Pension plans, employee benefits | | | | |
| 16a Legal fees (attach schedule) See St 2 | 8,102. | | | 8,102. |
| b Accounting fees (attach sch) | | | | |
| c Other prof fees (attach sch) See St 3 | 364,197. | 364,197. | | |
| 17 Interest | | | | |
| 18 Taxes (attach schedule)(see instr ) See Stm 4 | 17,398. | 10,998. | | |
| 19 Depreciation (attach sch) and depletion | | | | |
| 20 Occupancy | | | | |
| 21 Travel, conferences, and meetings | | | | |
| 22 Printing and publications | | | | |
| 23 Other expenses (attach schedule) See Statement 5 | 87,553. | 684. | | 86,819. |
| 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 477,250. | 375,879. | | 94,921. |
| 25 Contributions, gifts, grants paid Part XV | 1,631,193. | | | 1,631,193. |
| 26 **Total expenses and disbursements.** Add lines 24 and 25 | 2,108,443. | 375,879. | | 1,726,114. |
| 27 Subtract line 26 from line 12: | | | | |
| a **Excess of revenue over expenses and disbursements** | -2,866,045. | | | |
| b **Net investment income** (if negative, enter 0 ) | | 342,055. | | |
| c **Adjusted net income** (if negative, enter -0 ) | | | | |

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| Part II Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions ) | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| ASSETS | 1 Cash – non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 10,703,766. | 16,945,590. | 16,945,590. |
| | 3 Accounts receivable ► | | | |
| | Less allowance for doubtful accounts ► | | | |
| | 4 Pledges receivable ► | | | |
| | Less allowance for doubtful accounts ► | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions) | | | |
| | 7 Other notes and loans receivable (attach sch) ► | | | |
| | Less allowance for doubtful accounts ► | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments – U S and state government obligations (attach schedule) Statement 6 | 3,094,614. | 2,602,713. | 2,732,679. |
| | b Investments – corporate stock (attach schedule) Statement 7 | 18,734,163. | 11,052,986. | 9,190,253. |
| | c Investments – corporate bonds (attach schedule) Statement 8 | 10,594,990. | 10,033,948. | 10,004,594. |
| | 11 Investments – land, buildings, and equipment basis ► | | | |
| | Less accumulated depreciation (attach schedule) ► | | | |
| | 12 Investments – mortgage loans | | | |
| | 13 Investments – other (attach schedule) Statement 9 | 479,675. | 105,926. | 105,765. |
| | 14 Land, buildings, and equipment basis ► | | | |
| | Less accumulated depreciation (attach schedule) ► | | | |
| | 15 Other assets (describe ► ) | | | |
| | 16 **Total assets** (to be completed by all filers – see instructions Also, see page 1, item I) | 43,607,208. | 40,741,163. | 38,978,881. |
| LIABILITIES | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, & other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ► ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| NET ASSETS OR FUND BALANCES | **Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ► [X] and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, building, and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 43,607,208. | 40,741,163. | |
| | 30 **Total net assets or fund balances** (see the instructions) | 43,607,208. | 40,741,163. | |
| | 31 **Total liabilities and net assets/fund balances** (see the instructions) | 43,607,208. | 40,741,163. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 43,607,208. |
| 2 | Enter amount from Part I, line 27a | 2 | -2,866,045. |
| 3 | Other increases not included in line 2 (itemize) ► | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 40,741,163. |
| 5 | Decreases not included in line 2 (itemize) ► | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30 | 6 | 40,741,163. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company) | (b) How acquired P — Purchase D — Donation | (c) Date acquired (month, day, year) | (d) Date sold (month, day, year) |
|---|---|---|---|---|
| 1a | Publicly-traded securities | P | Various | Various |
| b | Passthrough K-1 Capital Gain | P | Various | Various |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 16,436,234. | | 18,047,231. | -1,610,997. |
| b | 6,861. | | | 6,861. |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) Fair Market Value as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of column (i) over column (j), if any | (l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 | 2 | -1,604,136. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8 | 3 | 0. |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (column (b) divided by column (c)) |
|---|---|---|---|
| 2008 | 1,808,068. | 41,341,992. | 0.043734 |
| 2007 | 30,000. | 21,922,911. | 0.001368 |
| 2006 | | | |
| 2005 | | | |
| 2004 | | | |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.045102 |
| 3 | Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.022551 |
| 4 | Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 | 4 | 36,396,963. |
| 5 | Multiply line 4 by line 3 | 5 | 820,788. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 3,421. |
| 7 | Add lines 5 and 6 | 7 | 824,209. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 1,726,114. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

| | | | |
|---|---|---|---|
| 1a Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1<br>Date of ruling or determination letter: ________ (attach copy of letter if necessary - see instr.)<br>b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b<br>c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b). | | 1 | 3,421. |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 3,421. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 4 | 0. |
| 5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | | 5 | 3,421. |
| 6 Credits/Payments. | | | |
| a 2009 estimated tax pmts and 2008 overpayment credited to 2009 | 6a 11,100. | | |
| b Exempt foreign organizations – tax withheld at source | 6b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments Add lines 6a through 6d | | 7 | 11,100. |
| 8 Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached | | 8 | |
| 9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ► | | 9 | 0. |
| 10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ► | | 10 | 7,679. |
| 11 Enter the amount of line 10 to be **Credited to 2010 estimated tax** ► 7,679. | **Refunded** ► | 11 | 0. |

## Part VII-A Statements Regarding Activities

| | | Yes | No |
|---|---|---|---|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? | 1b | | X |
| *If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0. | | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0. | | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities* | 2 | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes* | 3 | | X |
| 4a Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | X | |
| b If 'Yes,' has it filed a tax return on **Form 990-T** for this year? | 4b | X | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T* | 5 | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV* | 7 | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see the instructions) ► MI | | | |
| b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation* | 8b | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV* | 9 | | X |
| 10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses* | 10 | | X |

**Part VII-A Statements Regarding Activities** *Continued*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ► N/A

14 The books are in care of ► Jacquelin Moody Telephone no ► 734-432-1966

Located at ► 39209 W. Six Mile Road, Suite 201 Livonia MI ZIP + 4 ► 48152

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.**

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) | ☐ Yes ☒ No | | | |
| b | If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? | | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here | ► ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? | | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | | |
| a | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? | ☐ Yes ☒ No | | | |
| | If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see the instructions ) | | 2b | N/A | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| b | If 'Yes,' did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009 )* | | 3b | N/A | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

*If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)*

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

*If 'Yes' to 6b, file Form 8870*

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| C. Gwen Haggerty<br>39209 W Six Mile Road, Ste 201<br>Livonia, MI 48152 | Pres/CEO*/Dir<br>10.00<br>*Position eliminated in 2009 | 0. | 0. | 0. |
| Jacquelin Moody<br>39209 W Six Mile Road, Ste 201<br>Livonia, MI 48152 | VP*/Sec/Treas<br>15.00<br>*Position eliminated in 2009 | 0. | 0. | 0. |
| Jeffrey P. Von Voigtlander<br>39209 W Six Mile Road, Ste 201<br>Livonia, MI 48152 | Non-Perm Dir<br><1 hr/wk | 0. | 0. | 0. |
| Steven W. Bearden<br>39209 W Six Mile Road, Ste 201<br>Livonia, MI 48152 | Non-Perm Dir<br>5.00 | 0. | 0. | 0. |

**2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'**

| (a) Name and address of each employee paid more than $50,000 | (b) Title and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| None | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ► 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| Foundation Source, Inc.<br>55 Walls Drive<br>Fairfield, CT 06824 | Administration | 85,024. |
| LPL Financial<br>9785 Towne Centre Drive<br>San Diego, CA 92121 | Investment mgmt | 364,197. |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ► | | 0 |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments See instructions<br>3 | |
| **Total.** Add lines 1 through 3 ► | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes. a Average monthly fair market value of securities | | 1a | 24,094,822. |
| b Average of monthly cash balances | | 1b | 12,856,409. |
| c Fair market value of all other assets (see instructions) | | 1c | |
| d **Total** (add lines 1a, b, and c) | | 1d | 36,951,231. |
| e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e 0. | | |
| 2 Acquisition indebtedness applicable to line 1 assets | | 2 | 0. |
| 3 Subtract line 2 from line 1d | | 3 | 36,951,231. |
| 4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions) | | 4 | 554,268. |
| 5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | | 5 | 36,396,963. |
| 6 **Minimum investment return.** Enter 5% of line 5 | | 6 | 1,819,848. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

| | | | |
|---|---|---|---|
| 1 Minimum investment return from Part X, line 6 | | 1 | 1,819,848. |
| 2a Tax on investment income for 2009 from Part VI, line 5 | 2a 3,421. | | |
| b Income tax for 2009 (This does not include the tax from Part VI ) | 2b 17,342. | | |
| c Add lines 2a and 2b | | 2c | 20,763. |
| 3 Distributable amount before adjustments Subtract line 2c from line 1 | | 3 | 1,799,085. |
| 4 Recoveries of amounts treated as qualifying distributions | | 4 | |
| 5 Add lines 3 and 4 | | 5 | 1,799,085. |
| 6 Deduction from distributable amount (see instructions) | | 6 | |
| 7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | 7 | 1,799,085. |

## Part XII Qualifying Distributions (see instructions)

| | | |
|---|---|---|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26 | 1a | 1,726,114. |
| b Program-related investments — total from Part IX-B | 1b | |
| 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes | 2 | |
| 3 Amounts set aside for specific charitable projects that satisfy the a Suitability test (prior IRS approval required) | 3a | |
| b Cash distribution test (attach the required schedule) | 3b | |
| 4 **Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 1,726,114. |
| 5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | 3,421. |
| 6 **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 1,722,693. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

## Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---|---|---|---|
| 1 Distributable amount for 2009 from Part XI, line 7 | | | | 1,799,085. |
| 2 Undistributed income, if any, as of the end of 2009. | | | | |
| a Enter amount for 2008 only | | | 1,304,342. | |
| b Total for prior years: 20___, 20___, 20___ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2009 | | | | |
| a From 2004 | | | | |
| b From 2005 | | | | |
| c From 2006 | | | | |
| d From 2007 | | | | |
| e From 2008 | | | | |
| f **Total** of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2009 from Part XII, line 4 ► $ 1,726,114. | | | | |
| a Applied to 2008, but not more than line 2a | | | 1,304,342. | |
| b Applied to undistributed income of prior years (Election required — see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required — see instructions) | 0. | | | |
| d Applied to 2009 distributable amount | | | | 421,772. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | 0. | | | 0. |
| **6 Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b Taxable amount — see instructions | | 0. | | |
| e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount — see instructions | | | 0. | |
| f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 | | | | 1,377,313. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions) | 0. | | | |
| 9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9. | | | | |
| a Excess from 2005 | | | | |
| b Excess from 2006 | | | | |
| c Excess from 2007 | | | | |
| d Excess from 2008 | | | | |
| e Excess from 2009 | | | | |

**Part XIV | Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | **(a)** 2009 | **(b)** 2008 | **(c)** 2007 | **(d)** 2006 | **(e) Total** |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon. a 'Assets' alternative test – enter (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c 'Support' alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV | Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2) )

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| *a Paid during the year* | | | | |
| See attachment | N/A | Public | General Support | 1,631,193. |
| **Total** | | | ► 3a | 1,631,193. |
| *b Approved for future payment* | | | | |
| **Total** | | | ► 3b | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | (e) Related or exempt function income (see the instructions) |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 12,706. | |
| 4 Dividends and interest from securities | | | 14 | 704,158. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | -1,604,136. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue | | | | | |
| a 751 gain on pship sales | 525990 | 150,638. | 18 | | |
| b Foreign Tax Refund | | | 1 | 1,193. | |
| c K-1 Income | 525990 | -22,038. | 14 | -123. | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | 128,600. | | -886,202. | |

13 **Total.** Add line 12, columns (b), (d), and (e) 13 -757,602.

(See worksheet in the instructions for line 13 to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See the instructions ) |
|---|---|
| N/A | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| **1** | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| **a** | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | **(1)** Cash | **1a (1)** | | X |
| | **(2)** Other assets | **1a (2)** | | X |
| **b** | Other transactions. | | | |
| | **(1)** Sales of assets to a noncharitable exempt organization | **1b (1)** | | X |
| | **(2)** Purchases of assets from a noncharitable exempt organization | **1b (2)** | | X |
| | **(3)** Rental of facilities, equipment, or other assets | **1b (3)** | | X |
| | **(4)** Reimbursement arrangements | **1b (4)** | | X |
| | **(5)** Loans or loan guarantees | **1b (5)** | | X |
| | **(6)** Performance of services or membership or fundraising solicitations | **1b (6)** | | X |
| **c** | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | **1c** | | X |

**d** If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no. | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| N/A | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If 'Yes,' complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**SIGN HERE**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ A Loody | 8/2/2010 | ▶ Secretary-Treasurer

Signature of officer or trustee | Date | Title

**Paid Preparer's Use Only**

| | | | |
|---|---|---|---|
| Preparer's signature ▶ Jeffrey D. Haskell | Date 7-30-2010 | Check if self-employed ▶ ☐ | Preparer's Identifying number (See **Signature** in the instrs) |
| Firm's name (or yours if self-employed), address, and ZIP code ▶ Foundation Source, 55 Walls Drive, Fairfield, CT 06824 | | EIN ▶ | |
| | | Phone no ▶ (800) 839-1754 | |

**Statement 1**
**Form 990-PF, Part I, Line 11**
**Other Income**

| | (a) Revenue per Books | (b) Net Investment Income | (c) Adjusted Net Income |
|---|---|---|---|
| 751 gain on pship sales | $ 150,638. | | |
| Foreign Tax Refund | 1,193. | $ 1,193. | |
| K-1 Income | -22,161. | -123. | |
| Total | $ 129,670. | $ 1,070. | $ 0. |

**Statement 2**
**Form 990-PF, Part I, Line 16a**
**Legal Fees**

| | (a) Expenses Per Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|---|---|---|---|---|
| General governance & counsel | $ 8,102. | | | $ 8,102. |
| Total | $ 8,102. | $ 0. | | $ 8,102. |

**Statement 3**
**Form 990-PF, Part I, Line 16c**
**Other Professional Fees**

| | (a) Expenses per Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|---|---|---|---|---|
| Investment management services | $ 364,197. | $ 364,197. | | |
| Total | $ 364,197. | $ 364,197. | | $ 0. |

**Statement 4**
**Form 990-PF, Part I, Line 18**
**Taxes**

| | (a) Expenses per Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|---|---|---|---|---|
| Excise tax | $ 6,400. | | | |
| Foreign tax | 10,998. | $ 10,998. | | |
| Total | $ 17,398. | $ 10,998. | | $ 0. |

**Statement 5**
**Form 990-PF, Part I, Line 23**
**Other Expenses**

| | (a) Expenses per Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|---|---|---|---|---|
| Administrative fees | $ 85,024. | | | $ 85,024. |
| Bank charges | 177. | $ 177. | | |
| K-1 expenses | 557. | 507. | | |
| Office supplies | 1,451. | | | 1,451. |
| State filing fees | 20. | | | 20. |
| Website expenses | 324. | | | 324. |
| Total | $ 87,553. | $ 684. | | $ 86,819. |

**Statement 6**
**Form 990-PF, Part II, Line 10a**
**Investments - U.S. and State Government Obligations**

| U.S. Government Obligations | Valuation Method | Book Value | Fair Market Value |
|---|---|---|---|
| Government obligations - See attachment | Cost | $ 2,602,713. | $ 2,732,679. |
| | | $ 2,602,713. | $ 2,732,679. |
| | Total | $ 2,602,713. | $ 2,732,679. |

**Statement 7**
**Form 990-PF, Part II, Line 10b**
**Investments - Corporate Stocks**

| Corporate Stocks | Valuation Method | Book Value | Fair Market Value |
|---|---|---|---|
| Corporate stock - See attachment | Cost | $ 11,052,986. | $ 9,190,253. |
| | Total | $ 11,052,986. | $ 9,190,253. |

**Statement 8**
**Form 990-PF, Part II, Line 10c**
**Investments - Corporate Bonds**

| Corporate Bonds | Valuation Method | Book Value | Fair Market Value |
|---|---|---|---|
| Corporate bonds - See attachment | Cost | $ 10,033,948. | $ 10,004,594. |
| | Total | $ 10,033,948. | $ 10,004,594. |

**Statement 9**
**Form 990-PF, Part II, Line 13**
**Investments - Other**

| Other Investments | Valuation Method | Book Value | Fair Market Value |
|---|---|---|---|
| Powershares DB G10 Currency Harvest Fund | Cost | $ 105,926. | $ 105,765. |
| | Total | $ 105,926. | $ 105,765. |

**Ted and Jane Von Voigtlander Foundation**
**EIN: 20-5003935**
**Taxable Year Ending December 31, 2009**

## Form 990-PF, Part II, Line 10a - Columns (b) & (c) – U.S. and State Government Obligations

| Shares | Description/Symbol/CUSIP Number | Line 10a - Column (b) Book Value | Line 10a - Column (c) Fair Market Value |
|---|---|---|---|
| 484000 | FHLM GLOB REF NOTES 4 5% 7/15/13 (3134A4TZ7) | $490,655 00 | $522,419 92 |
| 468000 | FNMA - 6 000% - 05/15/2011 (31359MJH7) | $498,298 32 | $500,563 44 |
| 488000 | FEDERAL NATL MTG ASSN 4 37500% 09/15/2012 (31359MPF4) | $494,109 76 | $522,618 72 |
| 489000 | FNMA 4 625% 10/15/14 (31359MWJ8) | $496,100 28 | $529,802 16 |
| 599000 | FEDERAL HOME LN MTG CORP 5 12500% 11/17/2017 (3137EABA6) | $618,575 32 | $652,161 25 |
| 4808 | GNMA POOL #589203 - 6 500% - 09/15/2032 (36201PRY3) | $4,974 51 | $5,113 68 |
| | **TOTAL** | **$2,602,713** | **$2,732,679** |

**Ted and Jane Von Voigtlander Foundation**
**EIN: 20-5003935**
**Taxable Year Ending December 31, 2009**

## Form 990-PF, Part II, Line 10b - Columns (b) & (c) - Investments: Corporate Stock

| Shares | Description/Symbol | Line 10b - Column (b) Book Value | Line 10b - Column (c) Fair Market Value |
|---|---|---|---|
| 262 | APPLE INC (AAPL) | $50,135 90 | $55,211 78 |
| 3389 | ABBOTT LABS (ABT) | $173,499 68 | $182,993 65 |
| 1266 | COMP DE BEBA AM ADS (ABV) | $99,529 34 | $127,979 94 |
| 570 | AUTODESK, INC (ADSK) | $25,939 90 | $14,483 70 |
| 636 | ASTORIA FINL CORP COM (AF) | $16,403 38 | $7,903 94 |
| 965 | AAR CORP (AIR) | $30,991 48 | $22,175 70 |
| 1864 | ALLSTATE CORP (ALL) | $114,400 41 | $55,986 63 |
| 5944 | ALGER SMALL CAP GROWTH INSTI CL I (ALSRX) | $125,000 00 | $131,716 60 |
| 6788 | AMERICAN TOWER CORP CL A (AMT) | $268,490 66 | $293,309 48 |
| 1274 | ACTIVISION BLIZZARD INC (ATVI) | $20,111 36 | $14,154 14 |
| 325 | AUTOZONE INC (AZO) | $50,133 30 | $51,372 75 |
| 1155 | BEST BUY INC (BBY) | $50,660 38 | $45,576 30 |
| 257 | BAIDU COM - ADR (BIDU) | $99,926.69 | $105,686 11 |
| 1160 | IMMUCOR INC (BLUD) | $33,622 07 | $23,478 40 |
| 75 | BERKSHIRE HATHAWAY INC CLASS B (BRK-B) | $246,361 48 | $246,450 00 |
| 2601 | CANON INC (CAJ) | $149,909 32 | $110,074 32 |
| 3004 | CALAMOS CONVERTIBLE CLASS A (CCVIX) | $50,998 37 | $56,442 98 |
| 729 | CNOOC LTD ADS (CEO) | $98,633 79 | $113,323 05 |
| 4510 | WISDOMTREE DREYFUS EMERGING CURRENCY (CEW) | $100,031 80 | $99,220 00 |
| 2460 | COLGATE-PALMOLIVE COMPANY (CL) | $167,187 45 | $202,073 88 |
| 11915 | CALDWELL & ORKIN MARKET OPPORTUNITY FUND (COAGX) | $264,620 40 | $235,440 74 |
| 2444 | COGNIZANT TECHNOLOGY SOLUTIONS CORPORATION - CLASS (CTSH) | $100,219 92 | $110,786 52 |
| 1076 | CHEVRONTEXACO CORP (CVX) | $89,166 54 | $82,849 09 |
| 1053 | DELL INC (DELL) | $27,117 51 | $15,121 08 |
| 18565 | DELAWARE CORPORATE BOND FUND A CLASS (DGCAX) | $102,012 52 | $107,675 70 |
| 864 | QUEST DIAGNOSTICS (DGX) | $50,105 09 | $52,168 32 |
| 1854 | D R HORTON (DHI) | $38,526 60 | $20,155 03 |
| 1563 | DISCOVERY COMMUNICATIONS CL A (DISCA) | $50,009 90 | $47,937 21 |

**Ted and Jane Von Voigtlander Foundation**
**EIN: 20-5003935**
**Taxable Year Ending December 31, 2009**

## Form 990-PF, Part II, Line 10b - Columns (b) & (c) - Investments: Corporate Stock

| Shares | Description/Symbol | Line 10b - Column (b) Book Value | Line 10b - Column (c) Fair Market Value |
|---|---|---|---|
| 1501 | SPDR DJ SMALLCAP GRW (DSG) | $117,628 24 | $125,982 74 |
| 6548 | DEUTSCHE TELE AG ADS (DT) | $120,920 00 | $96,255 60 |
| 2064 | ISHARES MSCI EMERGING MARKETS INDEX FD (EEM) | $70,873 32 | $85,657 37 |
| 1059 | ISHARES TRUST MSCI EAFE INDEX FUND (EFA) | $50,469 02 | $58,562 19 |
| 472 | ISHARES JP MORGAN (EMB) | $48,415 59 | $48,034.56 |
| 5090 | ENERSIS S A (ENI) | $95,113 02 | $116,357 40 |
| 2250 | REED ELSEVIER N VSPONSORED ADR NEW (ENL) | $86,141 05 | $54,900 00 |
| 760 | EXPRESS SCRIPTS INC (ESRX) | $49,432 22 | $65,679 20 |
| 3555 | FORD MOTOR COMPANY (F) | $24,707 25 | $35,550 00 |
| 2845 | FORTIS NL S/ADR (FORSY PK) | $121,667 20 | $11,067 05 |
| 2257 | ISHARES TR FTSE XINHAU HK CHINA 25 (FXI) | $101,901 78 | $95,367 76 |
| 247 | GEN DYNAMICS CP (GD) | $23,074 98 | $16,818 56 |
| 4001 | GENERAL ELECTRIC CO (GE) | $133,196 56 | $60,536 26 |
| 4063 | AMERICAN GROWTH FUND OF AMERICA (GFAFX) | $126,850 97 | $110,301 95 |
| 2462 | GOLDCORP INC NEW (GG) | $98,455 07 | $96,855 08 |
| 1073 | GILEAD SCIENCES INC (GILD) | $49,980 23 | $46,428 71 |
| 770 | ST SPDR SP EM MK ETF (GMM) | $48,314 48 | $49,428 15 |
| 338 | GOODRICH CORPORATION (GR) | $23,353 24 | $21,729 93 |
| 3089 | GLAXOSMITHKLINE PLC (GSK) | $162,536 61 | $130,518 66 |
| 1328 | STRK SPDR S&P CH ETF (GXC) | $98,599 91 | $95,418 67 |
| 469 | HALLIBURTON COMPANY (HAL) | $17,019 16 | $14,107 22 |
| 410 | HARMAN INTERNATIONAL INDUSTRIES INC (HAR) | $37,728 14 | $14,464 80 |
| 5667 | THE HARTFORD GROWTH OPPORTUNITIES FUND CLASS I (HGOIX) | $125,196 97 | $130,913 43 |
| 855 | HELIX ENERGY SOLUTIONS GROUP INC (HLX) | $27,442 42 | $10,046 25 |
| 915 | H J HEINZ COMPANY (HNZ) | $41,036 85 | $39,121 98 |
| 350 | HONEYWELL INTERNATIONAL INC (HON) | $20,357 54 | $13,725 88 |
| 612 | HARSCO CORP (HSC) | $29,103 43 | $19,724 60 |
| 1290 | HEADWATERS INC (HW) | $14,352 02 | $8,410 80 |
| 520 | ISHARE SP SMALL CAP 600 INDEX FD (IJR) | $20,205 91 | $28,461 90 |
| 3898 | ING GROUP N V SPONSORED ADR (ING) | $166,092 13 | $38,239 38 |

**Ted and Jane Von Voigtlander Foundation**
**EIN: 20-5003935**
**Taxable Year Ending December 31, 2009**

## Form 990-PF, Part II, Line 10b - Columns (b) & (c) - Investments: Corporate Stock

| Shares | Description/Symbol | Line 10b - Column (b) Book Value | Line 10b - Column (c) Fair Market Value |
|---|---|---|---|
| 4332 | INTEL CORP (INTC) | $95,277 26 | $88,367 66 |
| 370 | INTERNATIONAL RECTIFIER CORP (IRF) | $13,530 25 | $8,184 40 |
| 240 | ISHARES TRUST RUSSELL 2000 VALUE INDEX FUND (IWN) | $19,111 95 | $13,934 88 |
| 3313 | JOHNSON CONTROLS INC (JCI) | $95,860 65 | $90,235 47 |
| 1127 | JACOBS ENGINEERNG GP (JEC) | $88,379 76 | $42,386 47 |
| 12685 | ARTIO GLOBAL HIGH INCOME FUND CLASS I (JHYIX) | $126,736 72 | $128,499 81 |
| 2225 | ISHARES MRNG LRG GR (JKE) | $125,790 31 | $130,560 13 |
| 3320 | SPDR LEHMAN HYB ETF (JNK) | $126,342 78 | $128,859 83 |
| 3830 | KAO CORP ADR (KCRPY PK) | $100,729 00 | $89,468 80 |
| 1069 | KRAFT FOODS INC (KFT) | $35,145 32 | $29,068 11 |
| 552 | KIMBERLY CLARK CORP (KMB) | $38,120 70 | $35,191.81 |
| 1217 | KROGER CO (KR) | $31,530 12 | $24,980 39 |
| 408 | ELI LILLY & CO (LLY) | $23,268 99 | $14,575 07 |
| 2379 | LOCKHEED MARTIN CORP (LMT) | $205,257 76 | $179,261 49 |
| 3709 | LLOYDS TSB GROUP PLC (LYG) | $249,915 60 | $12,128 43 |
| 2818 | LAZARD EMERGING MARKETS EQUITY INSTL (LZEMX) | $51,276 73 | $50,759 02 |
| 1451 | MASCO CORP (MAS) | $28,587 99 | $20,035 31 |
| 1481 | MATTELL INC (MAT) | $38,029 55 | $29,580 65 |
| 4729 | MEASUREMENT SPECIALTIES, INC (MEAS) | $100,100 02 | $47,526 45 |
| 3549 | MFS EMERGING MARKETS DEBT FUND CLASS W (MEDWX) | $50,113 10 | $49,900 80 |
| 1558 | METLIFE INC (MET) | $88,345 57 | $55,079 26 |
| 3218 | MOTOROLA INC (MOT) | $48,900 56 | $24,971 68 |
| 2200 | MERCK & CO INC (MRK) | $88,586 66 | $80,370 53 |
| 623 | MARATHON OIL CORP COM (MRO) | $31,399 07 | $19,442 35 |
| 2769 | MARVELL TECH GROUP (MRVL) | $49,990 97 | $57,456 75 |
| 4390 | NATIONAL AUSTRALIA BK LTD (NABZY PK) | $150,703 32 | $107,203 80 |
| 730 | NATIONAL GRID TRANSCO PLC (NGG) | $54,158 86 | $39,697 40 |
| 7317 | COLUMBIA FDS SRS TR COLUMBIA MARSICO GROWTH FUND (NGIPX) | $125,414 96 | $126,515 22 |
| 1062 | NORTHROP GRUMMAN CORP (NOC) | $79,049 57 | $59,288 74 |
| 291 | NORFOLK SOUTHERN CORP (NSC) | $21,071 28 | $15,266 80 |

**Ted and Jane Von Voigtlander Foundation**
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## Form 990-PF, Part II, Line 10b - Columns (b) & (c) - Investments: Corporate Stock

| Shares | Description/Symbol | Line 10b - Column (b) Book Value | Line 10b - Column (c) Fair Market Value |
|---|---|---|---|
| 3283 | TELECOM CORP NEW ZEALAND LTD (NZT) | $57,664 01 | $29,514 17 |
| 1818 | OPPENHEIMER DEVELOPING MARKETS FUND CLASS Y (ODVYX) | $50,317 53 | $51,584 35 |
| 504 | ONEOK INC (OKE) | $23,524 99 | $22,443 40 |
| 900 | OMNICOM GROUP (OMC) | $37,837 94 | $35,238 72 |
| 1505 | PACCAR INC (PCAR) | $85,688 90 | $54,590 63 |
| 1931 | POWERSHARES EMERGING MARKETS SOVEREIGN DEBT PORTFO (PCY) | $49,902 53 | $49,297 23 |
| 725 | PUBLIC SVC ENTERPRISE GROUP INC (PEG) | $31,879 12 | $24,091 79 |
| 1148 | PETROLEUM DEVELOPMENT CORP (PETD) | $57,926 36 | $20,905 08 |
| 4232 | PFIZER INC (PFE) | $83,307 19 | $76,987 87 |
| 1922 | PROCTER GAMBLE CO (PG) | $136,570 03 | $116,506 43 |
| 818 | PROGRESS ENERGY INC (PGN) | $38,745 75 | $33,531 87 |
| 2060 | PULTE GROUP INC (PHM) | $35,481 86 | $20,600 00 |
| 430 | PROTECTIVE LIFE CORPORATION (PL) | $20,607 72 | $7,109 27 |
| 365 | PNC FINANCIAL GROUP INC (PNC) | $25,933 73 | $19,247.97 |
| 1025 | PHARMACEUTICAL PRODUCT DEVELOPMENT (PPDI) | $37,091 45 | $24,027 71 |
| 882 | P P G IND (PPG) | $64,058 59 | $51,627 66 |
| 512 | PRUDENTIAL FINCL INC (PRU) | $45,095 96 | $25,483 09 |
| 718 | PHILLIPS VAN HEUSEN CORP (PVH) | $40,006 14 | $29,228 42 |
| 627 | PIONEER NAT RES CO (PXD) | $34,654 67 | $30,198 35 |
| 2860 | POWERSHARES QQQ NASDAQ 100 (QQQQ) | $125,237 43 | $130,835 35 |
| 15106 | OPPENHEIMER COMMODITY STRATEGY TOT RET A (QRAAX) | $50,000 00 | $51,661 63 |
| 1494 | ROYAL DUTCH SHELL PLC (RDS-A) | $117,894 82 | $89,804 34 |
| 547 | REINSURANCE GROUP AMER INC (RGA) | $29,948 01 | $26,073 89 |
| 162 | TRANSOCEAN LTD (RIG) | $18,775 41 | $13,413 60 |
| 778 | RAYMOND JAMES FINANCIAL INC (RJF) | $23,918 99 | $18,504 33 |
| 2057 | RAYTHEON CO (RTN) | $127,285 33 | $105,957 73 |
| 1217 | RWE AKTIENGESELLSCHAF (RWEOY PK) | $129,753 10 | $117,927 30 |
| 2085 | SINCLARI BROADCAST GROUP INC (SBGI) | $27,725 97 | $8,402 55 |
| 5324 | SOCIETE GENERALE FRANCE (SCGLY PK) | $27,324 21 | $74,802 20 |
| 3399 | STORA ENSO OYJ (SEOAY PK) | $61,520 67 | $23,419 11 |

**Ted and Jane Von Voigtlander Foundation**
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## Form 990-PF, Part II, Line 10b - Columns (b) & (c) - Investments: Corporate Stock

| Shares | Description/Symbol | Line 10b - Column (b) Book Value | Line 10b - Column (c) Fair Market Value |
|---|---|---|---|
| 2872 | SINGAPORE TELECOM SPD ADR (SGAPY) (SGAPY PK) | $65,270 38 | $63,040 40 |
| 695 | SCIENTIFIC GAMES CORP - CLASS A COMMON STOCK (SGMS) | $23,071 45 | $10,112 25 |
| 364 | SCOTTS CO CLASS A (SMG) | $16,926 69 | $14,293 86 |
| 810 | SONIC CORPORATION (SONC) | $17,552 07 | $8,156 70 |
| 237 | SPDR S&P 500 ETF TRUST (SPY) | $19,737 05 | $26,451 84 |
| 5430 | BANCO SANTANDER CEN (STD) | $100,889 40 | $89,269 20 |
| 726 | STATE STREET CORPORATION (STT) | $51,315 01 | $31,588 40 |
| 353 | STUDENT LOAN CORPORATION (STU) | $28,690 20 | $16,418 35 |
| 4007 | SUNCOR ENERGY INC (SU) | $200,882 44 | $141,487 17 |
| 220 | SEVEN & I HOLDINGS C (SVNDY PK) | $11,099 00 | $9,108 00 |
| 1095 | SAFEWAY INC NEW (SWY) | $37,566 00 | $23,309 76 |
| 890 | SYNGENTA AG ADS (SYT) | $50,196 36 | $50,080 30 |
| 5048 | AT&T CORP COM NEW (T) | $189,245 20 | $141,481 68 |
| 1065 | PROSHARES TRUST (TBT) | $50,097 07 | $53,122 20 |
| 1926 | TYCO ELECTRONICS (TEL) | $51,055 50 | $47,271 27 |
| 3594 | TESCO CORPORATION COM NPV (TESO) | $97,745 92 | $46,398 54 |
| 506 | ISHARES BARCLAYS TIPS BOND FUND (TIP) | $50,727 27 | $52,615 48 |
| 2769 | TOKIO MARINE HOLDINGS INC (TKOMY PK) | $111,590 21 | $75,344 49 |
| 3293 | TAKEDA PHARM CO LTD ADR (TKPHY PK) | $87,301 08 | $67,671 15 |
| 360 | TIMKEN CO COM (TKR) | $11,626 16 | $8,526 76 |
| 3176 | TELSTRA CORPORATION (TLSYY PK) | $62,567 20 | $48,592 80 |
| 1084 | TOYOTA MTR CORP (TM) | $139,449 79 | $91,229 44 |
| 3108 | TOTAL FINA ELF S A (TOT) | $236,499 44 | $199,036 32 |
| 2770 | UPM-KYMMENE OYJ (UPMKY PK) | $69,663 78 | $32,796 80 |
| 1699 | VODAFONE GROUP PLC (VOD) | $49,118 77 | $39,224.11 |
| 825 | VERIZON COMMUNICATIONS (VZ) | $34,969 91 | $27,317 34 |
| 978 | WASTE MANAGEMENT INC (WM) | $38,241 57 | $33,068 17 |
| 3376 | WORLD ACCEPTANCE CORP (WRLD) | $131,006 53 | $120,962 08 |
| 1992 | XEROX CP (XRX) | $37,434 12 | $16,852 28 |
| 1143 | XTO ENERGY INC (XTO) | $61,135 97 | $53,198 68 |
| | TOTAL | **$11,052,986** | **$9,190,253** |

**Ted and Jane Von Voigtlander Foundation**
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## Form 990-PF, Part II, Line 10c - Columns (b) & (c) - Investments: Corporate Bonds

| Shares | Description/Symbol/CUSIP Number | Line 10c - Column (b) Book Value | Line 10c - Column (c) Fair Market Value |
|---|---|---|---|
| 300000 | ABBOTT LABORATORIE - 5 875% - 05/15/2016 (002824AT7) | $313,701 00 | $330,897 00 |
| 175000 | BELLSOUTH 6 00% NT DUE 10/15/2011 (079860AB8) | $179,186 00 | $189,231 00 |
| 100000 | COMCAST CABLE COMMUNICATIONS NT 6 750% 01/30/2011 (20029PAL3) | $104,606 30 | $106,043 00 |
| 139000 | CONOCO FUNDING INC - 6 350% - 10/15/2011 (20825UAB0) | $147,855 83 | $151,712 94 |
| 300000 | DEUTSCHE BK AG LON SR NT CALL 6 000% 09/01/2017 (25152CMN3) | $312,573 00 | $327,066 00 |
| 1024000 | DEUTSCHEBANK AG (2515A0NG4) | $1,024,000 00 | $977,817 60 |
| 2000000 | DEUTSCHE BANK AG GLOBAL (2515A0RU9) | $2,000,000 00 | $1,707,400 00 |
| 1572000 | DEUTSCHE BK AG RANGE ACRUL NT 1 90425% 12/14/2016 (2515A0T37) | $1,572,050 00 | $1,572,000 00 |
| 152000 | GENERAL DYNAMICS CORP NT 4 250% 05/15/2013 (369550AK4) | $148,542 00 | $160,247 52 |
| 150000 | HSBC FIN CORP NT 5% DUE 6/30/15 (40429CCS9) | $144,531 00 | $154,950 00 |
| 100000 | HONEYWELL INTL INC NT 6 12500% 11/01/2011 (438516AN6) | $103,427.10 | $109,011 00 |
| 194000 | JP MORGAN CHASE & CO NT 5 37500% 10/01/2012 (46625HGT1) | $197,098 18 | $209,933 22 |
| 1500000 | JPMORGAN CHASE BK NEW YORK N Y ZERO CPN 07/31/2012 (48121CWC6) | $1,500,000 00 | $1,383,600 00 |
| 1000000 | JPMORGAN CHASE BK NEW YORK N Y ZERO CPN 07/29/2011 (48121CWF9) | $1,000,000 00 | $1,277,500 00 |
| 125000 | METLIFE INC NT 5% 6/15/15 (59156RAN8) | $121,562 50 | $132,220 00 |
| 147000 | MORGAN STANLEY 5 05% 01/21/2011 5 050% 01/21/2011 (61746SBS7) | $147,000 00 | $152,466 93 |
| 300000 | PACIFIC GAS & ELEC CO 1STM BD 4 800% 03/01/2014 (694308GD3) | $294,483 00 | $318,600 00 |
| 100000 | TECO ENERGY INC NT 7 000% 05/01/2012 (872375AG5) | $104,750 00 | $106,723 00 |
| 122000 | VERIZON COMMUNICATIONS 5 550% 02/15/2016 (92343VAC8) | $122,569 74 | $129,711 62 |
| 127000 | WACHOVIA BANK NATL ASSN MT SUB 4 875% 2/1/15 (92976GAD3) | $122,140 98 | $129,861 31 |
| 122000 | WELLS FARGO & CO NEW NT 5 25000% 10/23/2012 (949746NW7) | $123,821 46 | $130,452 16 |
| 250000 | WELLS FARGO BK N A CD IDX ZERO 0 0% 06/29/2012 (949748SL2) | $250,050 00 | $247,150 00 |
| | TOTAL | **$10,033,948** | **$10,004,594** |

**Ted and Jane Von Voigtlander Foundation**
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## Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

| Recipient name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| BOY SCOUTS OF AMERICA<br>1979 HURON PKWY, ANN ARBOR, MI 48104 | N/A | 509(a)(1) | THREE FIRES DISTRICT DIVISION | $10,000 00 |
| FOX ISLAND LIGHTHOUSE ASSOCIATION<br>PO BOX 935, NORTHPORT, MI 49670 | N/A | 509(a)(1) | GENERAL & UNRESTRICTED | $10,000 00 |
| FRIENDS OF THE HOWELL CARNEGIE DISTRICT LIBRARY<br>1598 DUCK DR, HOWELL, MI 48855 | N/A | 509(a)(2) | GENERAL & UNRESTRICTED | $25,000 00 |
| HOSPICE OF LITTLE TRAVERSE BAY<br>3434 M-119, HARBOR SPRINGS, MI 49740 | N/A | 509(a)(1) | GENERAL & UNRESTRICTED | $15,000 00 |
| LIVINGSTON COUNTY CHAPTER OF THE AMERICAN RED CROSS<br>1372 W GRAND RIVER, HOWELL, MI 48843 | N/A | 509(a)(1) | GENERAL & UNRESTRICTED | $25,000 00 |
| LIVINGSTON COUNTY UNITED WAY<br>2980 DORR RD, BRIGHTON, MI 48116 | N/A | 509(a)(1) | GENERAL & UNRESTRICTED | $150,000 00 |
| NEW HOPE CENTERS FOR GRIEF SUPPORT<br>315 GRISWOLD, NORTHVILLE, MI 48167 | N/A | 509(a)(1) | GENERAL & UNRESTRICTED | $10,000 00 |
| SPECTRUM HEALTH FOUNDATION<br>100 MICHIGAN ST NE, GRAND RAPIDS, MI 49503 | N/A | 509(a)(1) | GENERAL & UNRESTRICTED | $515,000 00 |

**Ted and Jane Von Voigtlander Foundation**
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## Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

| Recipient name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| SPECTRUM HEALTH FOUNDATION<br>100 MICHIGAN ST NE, GRAND RAPIDS, MI 49503 | N/A | 509(a)(1) | GIRAFFE OMNIBEDS PROJECT | $740,000 00 |
| ST JOSEPH MERCY LIVINGSTON HOME CARE AND HOSPICE<br>907 FOWLER STREET, HOWELL, MI 48843 | N/A | 509(a)(1) | GENERAL & UNRESTRICTED | $114,250 00 |
| ST JOSEPH MERCY LIVINGSTON HOME CARE AND HOSPICE<br>907 FOWLER STREET, HOWELL, MI 48843 | N/A | 509(a)(1) | CHARITABLE EVENT | $1,943 00 |
| UNIVERSITY OF MICHIGAN C S MOTT CHILDREN'S HOSPITAL<br>U OF MICHIGAN HEALTH SYSTEM, ANN ARBOR, MI 48109 | N/A | 509(a)(1) | CHARITABLE EVENT | $15,000 00 |
| | | | **TOTAL:** | **$1,631,193** |