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JUL 21 '14 Statute clear

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☑ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation: ROBERT L. MAULDIN CHARITABLE TRUST		A Employer identification number: 20-3969051
	Number and street (or P O box number if mail is not delivered to street address): 385 WINTERLOCKEN ROAD	Room/suite	B Telephone number (see page 10 of the instructions): 704-637-2002
	City or town, state, and ZIP code: SALISBURY, NC 28144		C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 277357

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	18	18	18	
	4	Dividends and interest from securities	8625	8625	8625	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	8643	8643	8643	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)	75	75	75	
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	1652	1652	1652	
	24	**Total operating and administrative expenses.** Add lines 13 through 23	1727	1727	1727	
	25	Contributions, gifts, grants paid	16500			16500
	26	**Total expenses and disbursements.** Add lines 24 and 25	18227	1727	1727	16500
	27	Subtract line 26 from line 12.				
	a	**Excess of revenue over expenses and disbursements**	(9584)			
	b	**Net investment income** (if negative, enter -0-)		6916		
	c	**Adjusted net income** (if negative, enter -0-)			6916	

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Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing		4733	4733
2	Savings and temporary cash investments			
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)		231603	267580
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)		4285	5044
14	Land, buildings, and equipment basis ▶			
	Less. accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)		240621	277357
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds		240621	
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see page 17 of the instructions)		240621	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)		240621	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	
2	Enter amount from Part I, line 27a	2	
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	240621

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SCHEDULE-MERRILL LYNCH	P	07/01/09	VARIOUS
b	SCHEDULE-MERRILL LYNCH	P	07//1/09	VARIOUS
c	CAPITAL GAIN DISTRIBUTION-MERRILL LYNCH			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	331286		390556	(69111)
b	426		365	61
c	65			
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	(68985)
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2008			
2007			
2006			
2005			
2004			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter. ________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	138
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	138
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	138
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	138
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		✓
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		✓
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ ________			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		✓
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	13		

14 The books are in care of ▶ R.L. MAULDING Telephone no. ▶
Located at ▶ 385 WINTERLOCKEN ROAD, SALISBURY, NC ZIP+4 ▶ 28144

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☑
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | 02

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20_____, 20_____, 20_____, 20_____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20_____, 20_____, 20_____, 20_____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to:				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		✓
	If "Yes" to 6b, file Form 8870.				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT M. MAULDIN 385 WINTERLOCKEN RD, SALISBURY, NC 28144	TRUSTEE	-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 CALVARY LUTHERAN CHURCH, SPENCER , NC -DONATION FOR RELIGIOUS PROGRAMS OF THE CHURCH	15000
2 NORTH ROWAN HIGH SCHOOL BOOSTERS CLUB-DONATION TO ASSIST WITH ATHLETIC PROGRAMS OF THE SCHOOL	1000
3 SPENCER LITTLE LEAGUE ASSN., SPENCER, NC -DONATION TO AID IN PROMOTING CIVIC PROGRAM	500
4	

Part IX-B **Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	272624
b	Average of monthly cash balances	1b	4733
c	Fair market value of all other assets (see page 24 of the instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	277357
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	277357
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see page 25 of the instructions)	4	4160
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	273197
6	**Minimum investment return.** Enter 5% of line 5	6	13660

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	13660
2a	Tax on investment income for 2009 from Part VI, line 5	2a	138		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	0		
c	Add lines 2a and 2b			2c	138
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	13522
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	13522
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	13522

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	16500
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	16500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	69
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	16431

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				13522
2	Undistributed income, if any, as of the end of 2009:				
a	Enter amount for 2008 only				
b	Total for prior years: 20____,20____,20____				
3	Excess distributions carryover, if any, to 2009:				
a	From 2004				
b	From 2005				
c	From 2006				
d	From 2007				
e	From 2008				
f	**Total** of lines 3a through e				
4	Qualifying distributions for 2009 from Part XII, line 4: ▶ $				
a	Applied to 2008, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d	Applied to 2009 distributable amount				13522
e	Remaining amount distributed out of corpus	2978			
5	Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	2978			
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions				
e	Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions				
f	Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	2978			
10	Analysis of line 9				
a	Excess from 2005				
b	Excess from 2006				
c	Excess from 2007				
d	Excess from 2008				
e	Excess from 2009 — 2978				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	6916				6916
b 85% of line 2a	5879				5879
c Qualifying distributions from Part XII, line 4 for each year listed	16500				16500
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	16500				16500
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter (1) Value of all assets	277357				277357
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter ⅔ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CALVARY LUTHERAN CHURCH SPENCER, NC 28159			RELIGIOUS	15000
NORTH ROWAN HIGH SCHOOL BOOSTERS CLUB SPENCER, NC 28159			EDUCATIONAL	1000
SPENCER LITTLE LEAGUE ASSN SPENCER, NC 28159			CIVIC	500
Total . . . . . . ▶ 3a				16500
b *Approved for future payment*				
Total . . . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					18
4 Dividends and interest from securities					8625
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					8643

13 **Total.** Add line 12, columns (b), (d), and (e) 13 8643

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 7/8/14 Title: Trustee

Paid Preparer's Use Only

Preparer's signature: [signature]	Date: 1-3-14	Check if self-employed ▶ ☑	Preparer's identifying number (see **Signature** on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code	ALVIN B BRANDON, CPA P.O. BOX 3177, SALISBURY, NC 28145	EIN ▶ 56-1844694 Phone no 704-637-2002	

ROBERT M MAULDIN TTEE

2009 TAX REPORTING STATEMENT

Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and the preparation of your tax return. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The Cost Basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

Data from Forms 1099 (reportable Dividend and Interest) is also repeated in this summary for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

Merrill Lynch is neither a tax return preparer or tax advisor. Please see your tax advisor for more information.

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL GAINS								
ISHARES TRUST S&P MIDCAP	25 0000	07/10/09	10/01/09			1,684.76	1,361 60	323 16
	21 0000	07/10/09	10/14/09			1,487 81	1,143 74	344 07
		Security Subtotal				**3,172.57**	**2,505.34**	**667.23**
ISHARES S&P SMALLCAP 600	13.0000	07/10/09	10/14/09			702.63	547 63	155 00
ISHARES DJ US TELCOMMUNI	14.0000	07/10/09	10/14/09			258.85	233.08	25.77
	49.0000	07/10/09	12/17/09			964.24	815 78	148 46
		Security Subtotal				**1,223.09**	**1,048.86**	**174.23**
ISHARES COHEN & STEERS	8.0000	07/10/09	10/14/09			390 95	258.54	132.41
	61 0000	07/10/09	12/17/09			3,143.86	1,971 42	1,172 44
	39.0000	10/01/09	12/17/09			2,010 01	1,843 69	166.32
		Security Subtotal				**5,544.82**	**4,073.65**	**1,471.17**
ISHARES MSCI EAFE INDEX	34 0000	07/10/09	10/01/09			1,814 94	1,496.64	318.30
	33.0000	07/10/09	10/14/09			1,867 09	1,452 62	414 47
		Security Subtotal				**3,682.03**	**2,949.26**	**732.77**

ROBERT M MAULDIN TTEE

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ISHARES IBOXX $	19 0000	07/10/09	10/14/09			1,980 32	1,912 57	67.75
	24 0000	07/10/09	12/17/09			2,535 01	2,415 88	119 13
		Security Subtotal				**4,515.33**	**4,328.45**	**186.88**
ISHARES BARCLAYS AGGRGT	119.0000	07/10/09	10/01/09			12,462 98	12,239.04	223.94
ISHARES BARCLAYS TIPS BO	3 0000	07/10/09	10/14/09			309 74	302 32	7 42
VANGUARD INFORMATION	54 0000	07/10/09	10/01/09			2,638.59	2,211 90	426.69
	25.0000	07/10/09	10/14/09			1,286 47	1,024.03	262.44
		Security Subtotal				**3,925.06**	**3,235.93**	**689.13**
VANGUARD EMERGING MKTS	24 0000	07/10/09	10/01/09			903 60	734 15	169 45
	20 0000	07/10/09	10/14/09			822 58	611 80	210 78
		Security Subtotal				**1,726.18**	**1,345.95**	**380.23**
SPDR BARCLY CPTAL INTL	6.0000	07/10/09	10/14/09			355.31	329 04	26.27
ISHARES LEHMAN 3-7 YR	16 0000	07/10/09	10/01/09			1,804 59	1,795 67	8 92
ISHARES BARCLAYS MBS BON	17.0000	07/10/09	10/14/09			1,817.76	1,801 40	16.36
	22 0000	07/10/09	12/17/09			2,360 32	2,331 23	29 09
		Security Subtotal				**4,178.08**	**4,132.63**	**45.45**
S&P US PFD STK INDEX FD	18 0000	07/10/09	10/14/09			647.44	567 86	79.58
	26 0000	07/10/09	12/17/09			940.24	820 25	119 99
		Security Subtotal				**1,587.68**	**1,388.11**	**199.57**
SPDR S P BRIC 40	22 0000	07/10/09	10/14/09			562 31	401.87	160 44
	19.0000	07/10/09	12/17/09			468 72	347 07	121 65
		Security Subtotal				**1,031.03**	**748.94**	**282.09**
ISHARES S&P GLOBAL	9 0000	07/10/09	10/14/09			308 33	248.86	59.47

Merrill Lynch

Account No. 571-49700 | Taxpayer No. 20-3969051

ROBERT M MAULDIN TTEE

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
MATERIALS SELECT SECTOR	8 0000	07/10/09	10/14/09			258.39	193 67	64 72
	13 0000	07/10/09	12/17/09			423 66	314.71	108 95
		Security Subtotal				**682.05**	**508.38**	**173.67**
HEALTH CARE SELECT SPDR	36 0000	07/10/09	10/01/09			1,021.32	928 43	92 89
	39.0000	07/10/09	10/14/09			1,134 48	1,005 80	128.68
	133 0000	07/10/09	12/17/09			4,162 79	3,430 04	732 75
		Security Subtotal				**6,318.59**	**5,364.27**	**954.32**
SECTOR SPDR CONSMRS STPL	23 0000	07/10/09	10/14/09			597 06	529 20	67 86
CONSUMER DISCRETIONARY	51 0000	07/10/09	10/01/09			1,374 47	1,124.95	249.52
	21 0000	07/10/09	10/14/09			594 49	463 21	131 28
		Security Subtotal				**1,968.96**	**1,588.16**	**380.80**
SECTOR SPDR ENERGY	29.0000	07/10/09	10/01/09			1,528 26	1,284 09	244 17
	14 0000	07/10/09	10/14/09			806 52	619 90	186 62
	39 0000	07/10/09	12/17/09			2,201 10	1,726 88	474 22
		Security Subtotal				**4,535.88**	**3,630 87**	**905.01**
SECTOR SPDR FINANCIAL	191 0000	07/10/09	10/01/09			2,773 36	2,121.83	651 53
	50 0000	07/10/09	10/14/09			779 98	555 45	224 53
		Security Subtotal				**3,553.34**	**2,677.28**	**876.06**
SECTOR SPDR INDUSTRIAL	72 0000	07/10/09	10/01/09			1,864 84	1,485 23	379.61
	24.0000	07/10/09	10/14/09			648 46	495 07	153 39
		Security Subtotal				**2,513.30**	**1,980.30**	**533.00**
SECTOR SPDR UTILITIES	7 0000	07/10/09	10/14/09			204 88	188 36	16 52
	29 0000	07/10/09	12/17/09			913 45	780 36	133 09
		Security Subtotal				**1,118.33**	**968.72**	**149.61**

ROBERT M MAULDIN TTEE

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
IVY ASSET STRATEGY FD A	88.0000	12/11/08	07/10/09			1,628 00	1,542.64	85 36
	31.0000	12/11/08	07/10/09			573 50	543 43	30 07
	1 0000	12/11/08	07/10/09			18.51	17 53	0 98
		Security Subtotal				**2,220.01**	**2,103.60**	**116.41**
DELAWARE DIVD INC A	22 0000	12/26/08	07/10/09			168 52	152 90	15.62
	11 0000	03/25/09	07/10/09			84.26	72 71	11 55
	1 0000	03/26/09	07/10/09			7 66	6 94	0 72
		Security Subtotal				**260.44**	**232.55**	**27.89**
IVY GLBL NATRL RES CL I	5 0000	10/01/09	10/14/09			91 48	83 79	7 69
IVY ASSET STRATEGY FD I	16 0000	10/01/09	10/14/09			362.24	338 88	23 36
NATIXIS GATEWAY FUND CL	14 0000	07/10/09	10/14/09			346.36	327 59	18.77
AMER CAP WRLD GRW & INC A	6 0000	12/18/08	07/10/09			160 86	159 84	1 02
	1 0000	12/19/08	07/10/09			26.81	25 87	0 94
	3 0000	03/23/09	07/10/09			80 43	69 87	10 56
	1 0000	03/24/09	07/10/09			26 81	24 05	2 76
		Security Subtotal				**294.91**	**279.63**	**15.28**
BLACKROCK GLOBAL ALLOC A	46 0000	12/16/08	07/10/09			716 96	661 02	55 94
CALAMOS GR & IN FD A	669.8140	03/03/09	07/10/09			15,553.07	12,773.35	2,779.72
	1 0000	03/19/09	07/10/09			23 21	20 97	2 24
	1.0000	03/20/09	07/10/09			23.22	20 72	2 50
		Security Subtotal				**15,599.50**	**12,815.04**	**2,784.46**
TMPLTN GLBL BD FD ADV CL	25.0000	10/01/09	10/14/09			318 00	311 75	6 25
	39 0000	10/01/09	12/17/09			489 45	486 33	3 12
		Security Subtotal				**807.45**	**798.08**	**9.37**

ROBERT M MAULDIN TTEE

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
FRANKLIN INC FD CL A	62 0000	02/04/09	07/10/09			109.12	99 82	9.30
	1.0000	02/05/09	07/10/09			1.76	1 62	0.14
	15503.0000	03/03/09	07/10/09			27,285 35	22,014 25	5,271 10
	71 0000	03/04/09	07/10/09			124 96	101 53	23 43
	192 0000	04/03/09	07/10/09			337.92	295 68	42.24
	179 0000	05/05/09	07/10/09			315 04	297.14	17.90
	1 0000	05/06/09	07/10/09			1 76	1.72	0 04
	170 0000	06/03/09	07/10/09			299.20	299 20	0.00
	56.0000	11/05/08	07/10/09			98 56	96 32	2 24
	1.0000	11/06/08	07/10/09			1.76	1.69	0 07
	6 0000	12/03/08	07/10/09			10 56	9 06	1 50
	114 0000	12/03/08	07/10/09			200 64	172.14	28 50
	1 0000	12/04/08	07/10/09			1 75	1 52	0 23
	59 0000	01/07/09	07/10/09			103.84	99 71	4.13
		Security Subtotal				**28,892.22**	**23,491.40**	**5,400.82**
AMERICAN INC FD OF AMCA A	30.0000	12/24/08	07/10/09			385.80	381.60	4 20
	6.0000	12/26/08	07/10/09			77 16	76 32	0 84
	1 0000	12/29/08	07/10/09			12 86	12.76	0 10
	33 0000	03/20/09	07/10/09			424.39	384 12	40.27
	1 0000	03/23/09	07/10/09			12 86	12 03	0 83
		Security Subtotal				**913.07**	**866.83**	**46.24**
		Short Term Capital Gains Subtotal				**118,321.60**	**100,465.27**	**17,856.33**

SHORT TERM CAPITAL LOSSES

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ISHARES BARCLAY 7-10 YR	4 0000	07/10/09	10/14/09			366 63	368.91	(2.28)
	6.0000	07/10/09	12/17/09			544.99	553 36	(8 37)
		Security Subtotal				**911.62**	**922.27**	**(10.65)**
ISHARES BARCLYS 1-3 YEAR	6 0000	07/10/09	10/14/09			503 75	503.80	(0 05)
	6 0000	07/10/09	12/17/09			501 47	503 80	(2 33)
		Security Subtotal				**1,005.22**	**1,007.60**	**(2.38)**

ROBERT M MAULDIN TTEE

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ISHARE BARLCYS 20+ YR	43 0000	07/10/09	08/06/09			3,919 81	4,133 59	(213 78)
PROSHARES SHORT S&P500	44 0000	07/10/09	10/01/09			2,521.67	3,020.12	(498.45)
ISHARES LEHMAN 3-7 YR	4 0000	07/10/09	10/14/09			448 39	448 92	(0.53)
	4 0000	07/10/09	12/17/09			447 95	448 92	(0.97)
		Security Subtotal				**896.34**	**897.84**	**(1.50)**
ISHARES BARCLAYS SHORT	1 0000	07/10/09	10/14/09			110.17	110 22	(0 05)
SPDR BARCLY CAPTL 1-3 MO	3 0000	07/10/09	10/14/09			137 54	137 63	(0 09)
DELAWARE DIVD INC A	9 0000	09/25/08	07/10/09			68 94	85.77	(16 83)
	1.0000	09/26/08	07/10/09			7 66	9 38	(1.72)
	10 0000	06/25/09	07/10/09			76 62	76 70	(0 08)
		Security Subtotal				**153.22**	**171.85**	**(18.63)**
AMER CAP WRLD GRW & INC A	4 0000	09/25/08	07/10/09			107.24	137 88	(30 64)
	8 0000	06/22/09	07/10/09			214 48	221 76	(7 28)
	1 0000	06/23/09	07/10/09			26 83	27 16	(0.33)
		Security Subtotal				**348.55**	**386.80**	**(38.25)**
BLACKROCK GLOBAL ALLOC A	6 0000	07/18/08	07/10/09			93.51	112 80	(19 29)
	1 0000	07/21/08	07/10/09			15 58	18 83	(3 25)
		Security Subtotal				**109.09**	**131.63**	**(22.54)**
CALAMOS GR & IN FD A	4 0000	06/18/09	07/10/09			92 88	95.68	(2 80)
	1 0000	06/19/09	07/10/09			23 24	23.99	(0 75)
		Security Subtotal				**116.12**	**119.67**	**(3.55)**
MUTUAL SHARES CL A	13 0000	09/09/08	03/03/09			161 85	275 08	(113.23)
	2 0000	09/09/08	03/03/09			24.90	42.32	(17 42)
	2.0000	09/09/08	03/03/09			24 90	42.32	(17.42)
	1.0000	09/10/08	03/03/09			12 45	21.14	(8.69)

ROBERT M MAULDIN TTEE

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
MUTUAL SHARES CL A	1 0000	09/10/08	03/03/09			12 45	21 14	(8 69)
	20 0000	12/23/08	03/03/09			249 00	300.20	(51 20)
	1 0000	12/24/08	03/03/09			12 47	14 73	(2 26)
		Security Subtotal				**498.02**	**716.93**	**(218.91)**
FRANKLIN INC FD CL A	1 0000	06/04/09	07/10/09			1.76	1.77	(0.01)
	169 0000	07/06/09	07/10/09			297 46	302 51	(5 05)
	41 0000	08/05/08	07/10/09			72.16	93 89	(21.73)
	1 0000	08/06/08	07/10/09			1 76	2 30	(0 54)
	42 0000	09/04/08	07/10/09			73 92	96.18	(22.26)
	49 0000	10/03/08	07/10/09			86 24	96.04	(9 80)
	1 0000	10/06/08	07/10/09			1.76	1 84	(0 08)
		Security Subtotal				**535.06**	**594.53**	**(59.47)**
AMERICAN INC FD OF AMCA A	22 0000	09/19/08	07/10/09			282 92	366 52	(83.60)
	1 0000	09/22/08	07/10/09			12 86	16 31	(3 45)
	25 0000	06/22/09	07/10/09			321 52	326 25	(4 73)
		Security Subtotal				**617.30**	**709.08**	**(91.78)**
		Short Term Capital Losses Subtotal				**11,879.73**	**13,059.76**	**(1,180.03)**
NET SHORT TERM CAPITAL GAIN (LOSS)								**16,676.30**
LONG TERM CAPITAL GAINS								
DUKE ENERGY CORP NEW	3130 0000	12/31/03	07/10/09			44,822.95	37,195 34	7,627 61
		Long Term Capital Gains Subtotal				**44,822.95**	**37,195.34**	**7,627.61**
LONG TERM CAPITAL LOSSES								
SPECTRA ENERGY CORP	1500 0000	12/31/03	03/03/09			17,739 54	25,699 51	(7,959 97)
	65 0000	12/31/03	03/03/09			768 95	1,113.65	(344 70)
		Security Subtotal				**18,508.49**	**26,813.16**	**(8,304.67)**

ROBERT M MAULDIN TTEE

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
IVY ASSET STRATEGY FD A	379.6000	10/03/06	07/10/09			7,022 60	7,521.43	(498 83)
	2 0000	12/14/06	07/10/09			36 99	39.76	(2.77)
	239 0000	01/22/07	07/10/09			4,421 50	5,019 57	(598 07)
	239 0000	03/14/07	07/10/09			4,421.50	5,024.35	(602.85)
	4 0000	06/14/07	07/10/09			74 00	87.88	(13 88)
	1 0000	06/15/07	07/10/09			18 50	22 17	(3 67)
	3 0000	12/13/07	07/10/09			55 50	82 38	(26.88)
	2 0000	12/13/07	07/10/09			37 00	54 92	(17 92)
	3 0000	12/13/07	07/10/09			55 50	82 38	(26 88)
	1 0000	12/14/07	07/10/09			18.50	27 31	(8 81)
	1 0000	06/13/08	07/10/09			18.50	27 04	(8 54)
		Security Subtotal				**16,180.09**	**17,989.19**	**(1,809.10)**
DELAWARE DIVD INC A	368.8560	05/25/07	07/10/09			2,825 43	5,029 18	(2,203 75)
	2 0000	06/27/07	07/10/09			15.31	25 70	(10 39)
	389 0000	07/30/07	07/10/09			2,979.74	5,015 67	(2,035 93)
	6 0000	09/26/07	07/10/09			45 96	75 24	(29 28)
	1.0000	09/27/07	07/10/09			7.66	12.57	(4.91)
	22 0000	12/27/07	07/10/09			168.52	250 58	(82 06)
	8 0000	06/25/08	07/10/09			61.28	82.80	(21 52)
	11 0000	12/27/07	07/10/09			84 26	125.29	(41 03)
	1 0000	12/28/07	07/10/09			7.66	11.32	(3.66)
	7 0000	03/26/08	07/10/09			53 62	73 71	(20.09)
	1.0000	03/27/08	07/10/09			7 66	10 48	(2 82)
		Security Subtotal				**6,257.10**	**10,712.54**	**(4,455.44)**
AMER CAP WRLD GRW & INC A	180 3370	10/03/06	07/10/09			4,834 82	7,527 21	(2,692 39)
	7 0000	12/21/06	07/10/09			187.66	291 34	(103.68)
	1.0000	12/21/06	07/10/09			26 81	41 62	(14 81)
	115 0000	01/22/07	07/10/09			3,083 15	5,006.70	(1,923.55)
	118 0000	03/14/07	07/10/09			3,163 58	5,020 35	(1,856.77)
	2 0000	03/19/07	07/10/09			53.62	82 20	(28.58)
	106 0000	05/25/07	07/10/09			2,841 86	5,035 05	(2,193 19)
	4 0000	06/18/07	07/10/09			107 24	184 84	(77 60)
	1 0000	06/19/07	07/10/09			26.81	46 25	(19.44)

ROBERT M MAULDIN TTEE

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
AMER CAP WRLD GRW & INC A	2 0000	09/24/07	07/10/09			53 62	94 74	(41.12)
	1 0000	09/25/07	07/10/09			26 81	47.42	(20 61)
	40.0000	12/18/07	07/10/09			1,072 40	1,730 00	(657.60)
	3 0000	12/18/07	07/10/09			80 43	129 75	(49.32)
	2 0000	12/19/07	07/10/09			53 62	87 04	(33.42)
	2 0000	03/24/08	07/10/09			53 62	80 04	(26.42)
	1.0000	03/25/08	07/10/09			26 81	41 11	(14.30)
	6 0000	06/26/08	07/10/09			160 86	241 44	(80.58)
		Security Subtotal				**15,853.72**	**25,687.10**	**(9,833.38)**
BLACKROCK GLOBAL ALLOC A	251 8470	05/25/07	07/10/09			3,925 09	5,037.27	(1,112 18)
	1 0000	12/18/07	07/10/09			15 58	19.42	(3 84)
	501 0000	06/08/07	07/10/09			7,808 19	10,010.32	(2,202 13)
	7 0000	07/20/07	07/10/09			109 09	139.72	(30 63)
	249 0000	07/30/07	07/10/09			3,880 73	5,022 70	(1,141 97)
	5 0000	12/17/07	07/10/09			77 92	97 75	(19 83)
	36 0000	12/17/07	07/10/09			561 07	703 80	(142 73)
	18 0000	12/17/07	07/10/09			280 53	351.90	(71 37)
	1 0000	12/18/07	07/10/09			15 58	19 42	(3 84)
		Security Subtotal				**16,673.78**	**21,402.30**	**(4,728.52)**
CALAMOS GROWTH FD CL A	183 6110	10/03/06	03/03/09			4,584.75	10,085 61	(5,500.86)
	6.0000	11/16/06	03/03/09			149 82	324 84	(175 02)
	89.0000	01/22/07	03/03/09			2,222 33	5,056.10	(2,833 77)
	91 0000	03/14/07	03/03/09			2,272 27	5,044 93	(2,772 66)
	82 0000	05/25/07	03/03/09			2,047 54	5,005 71	(2,958 17)
	59.0000	11/16/07	03/03/09			1,473 23	3,361 82	(1,888 59)
	1 0000	11/19/07	03/03/09			24 99	55 79	(30.80)
		Security Subtotal				**12,774.93**	**28,934.80**	**(16,159.87)**
MUTUAL SHARES CL A	563 2270	04/20/06	03/03/09			7,012 16	15,015 35	(8,003 19)
	187.0000	04/24/06	03/03/09			2,328.15	4,989 15	(2,661.00)
	193.0000	05/22/06	03/03/09			2,402.85	4,989.04	(2,586 19)
	6 0000	06/20/06	03/03/09			74 70	144 42	(69 72)
	6 0000	06/20/06	03/03/09			74.70	144 42	(69 72)

Merrill Lynch

Account No. 571-49700

Taxpayer No. 20-3969051

ROBERT M MAULDIN TTEE

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
MUTUAL SHARES CL A	1 0000	06/21/06	03/03/09			12.44	24.17	(11.73)
	196 0000	06/26/06	03/03/09			2,440 20	4,978.40	(2,538 20)
	1 0000	06/29/06	03/03/09			12 45	24 59	(12.14)
	195.0000	07/24/06	03/03/09			2,427 75	4,991.99	(2,564.24)
	13 0000	12/27/06	03/03/09			161 85	334.75	(172.90)
	65 0000	12/27/06	03/03/09			809 25	1,673 75	(864.50)
	15 0000	12/27/06	03/03/09			186.75	386.25	(199.50)
	1 0000	12/28/06	03/03/09			12 45	25 96	(13.51)
	187 0000	03/14/07	03/03/09			2,328 16	5,013 21	(2,685 05)
	2.0000	06/19/07	03/03/09			24 90	56 94	(32 04)
	2 0000	06/19/07	03/03/09			24.90	56.94	(32 04)
	1 0000	12/26/07	03/03/09			12 45	25 20	(12.75)
	51 0000	12/26/07	03/03/09			634 95	1,285 20	(650 25)
	41 0000	12/26/07	03/03/09			510 45	1,033 20	(522 75)
	1 0000	12/27/07	03/03/09			12 45	25 15	(12 70)
	1 0000	12/27/07	03/03/09			12 45	25 15	(12.70)
		Security Subtotal				**21,516.41**	**45,243.23**	**(23,726.82)**
FRANKLIN INC FD CL A	1725 9200	05/25/07	07/10/09			3,037 61	5,010 52	(1,972 91)
	7 0000	06/05/07	07/10/09			12 31	19 60	(7 29)
	3509 0000	06/08/07	07/10/09			6,175.84	10,006 00	(3,830 16)
	22.0000	07/05/07	07/10/09			38 72	60 50	(21 78)
	1 0000	07/06/07	07/10/09			1.76	2.74	(0 98)
	1819 0000	07/30/07	07/10/09			3,201 44	5,007 60	(1,806.16)
	32 0000	08/03/07	07/10/09			56 32	84 80	(28.48)
	31 0000	09/06/07	07/10/09			54 55	83 08	(28.53)
	1.0000	09/07/07	07/10/09			1.75	2 66	(0.91)
	31.0000	10/03/07	07/10/09			54 56	84.94	(30 38)
	31 0000	11/05/07	07/10/09			54 56	84 01	(29 45)
	1.0000	11/06/07	07/10/09			1 76	2 71	(0 95)
	47.0000	12/05/07	07/10/09			82 72	121.73	(39.01)
	121 0000	12/05/07	07/10/09			212 96	313 39	(100.43)
	48 0000	12/05/07	07/10/09			84 48	124 32	(39 84)
	2 0000	12/06/07	07/10/09			3 52	5 22	(1 70)
	34 0000	01/07/08	07/10/09			59 84	87 04	(27 20)

ROBERT M MAULDIN TTEE

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
FRANKLIN INC FD CL A	1 0000	01/08/08	07/10/09			1 75	2.53	(0.78)
	36 0000	02/05/08	07/10/09			63 35	91 08	(27 73)
	1 0000	02/06/08	07/10/09			1 75	2 48	(0.73)
	38.0000	03/05/08	07/10/09			66.88	92 34	(25 46)
	38 0000	04/03/08	07/10/09			66 88	92.34	(25.46)
	1 0000	04/04/08	07/10/09			1.75	2.46	(0.71)
	37 0000	05/05/08	07/10/09			65.12	93 98	(28 86)
	38 0000	06/04/08	07/10/09			66 88	95 00	(28 12)
	40 0000	07/03/08	07/10/09			70 40	94 40	(24 00)
	1 0000	07/07/08	07/10/09			1 76	2 32	(0 56)
		Security Subtotal				**13,541.22**	**21,669.79**	**(8,128.57)**
AMERICAN INC FD OF AMCA A	365.9600	10/03/06	07/10/09			4,706 23	7,536 82	(2,830 59)
	2 0000	12/22/06	07/10/09			25 71	40 44	(14.73)
	8 0000	12/26/06	07/10/09			102 88	161 76	(58 88)
	2 0000	12/26/06	07/10/09			25.72	40 44	(14.72)
	1 0000	12/27/06	07/10/09			12 86	20 39	(7.53)
	1 0000	12/27/06	07/10/09			12.86	20 39	(7 53)
	237 0000	01/22/07	07/10/09			3,047.82	5,008 42	(1,960.60)
	241.0000	03/14/07	07/10/09			3,099 26	5,022 97	(1,923.71)
	5.0000	03/23/07	07/10/09			64.30	103 30	(39.00)
	225 0000	05/25/07	07/10/09			2,893 50	5,009 35	(2,115.85)
	455 0000	06/08/07	07/10/09			5,851 30	10,024.45	(4,173 15)
	8.0000	06/22/07	07/10/09			102 88	169.36	(66 48)
	1 0000	06/25/07	07/10/09			12 86	21 14	(8 28)
	235 0000	07/30/07	07/10/09			3,022 10	5,024 95	(2,002 85)
	15.0000	09/21/07	07/10/09			192 90	318 30	(125 40)
	18 0000	12/21/07	07/10/09			231 48	349 92	(118 44)
	78 0000	12/24/07	07/10/09			1,003 08	1,516.32	(513 24)
	12 0000	12/24/07	07/10/09			154 32	233.28	(78 96)
	1 0000	12/26/07	07/10/09			12 86	19 54	(6.68)
	20 0000	03/20/08	07/10/09			257 20	357 00	(99.80)
	1 0000	03/24/08	07/10/09			12 86	17 99	(5 13)
	21 0000	06/23/08	07/10/09			270 06	367 29	(97 23)
		Security Subtotal				**25,115.04**	**41,383.82**	**(16,268.78)**

ROBERT M MAULDIN TTEE

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
AMERICAN INC FD OF AMCA A								
		Long Term Capital Losses Subtotal				146,420.78	239,835.93	(93,415.15)
NET LONG TERM CAPITAL GAIN (LOSS)								(85,787.54)
OTHER TRANSACTIONS								
SUNTRUST BKS INC COM	800 0000	10/05/04	02/02/09			9,702 24	N/A	N/A
		Other Transactions Subtotal				9,702.24		
TOTAL CAPITAL GAINS AND LOSSES						331,147.30	390,556.30	(69,111.24)
TOTAL REPORTABLE GROSS PROCEEDS						331,286.34		
DIFFERENCE						(139.04) *		

Note· Capital gains and losses in this statement are not reported to the IRS

* Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e.g , liquidation of fractional shares and the proceeds from certain tender offers) It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e g , option premium received)

N/A - Results which cannot be calculated because of insufficient data in the Capital Gain or (Loss) Column and are not included in the realized capital gain and loss summary.

REALIZED CAPITAL GAIN AND LOSS SUMMARY

	SHORT TERM GAINS	SHORT TERM LOSSES	LONG TERM GAINS	LONG TERM LOSSES
TOTAL	17,856.33	(1,180 03)	7,627 61	(93,415 15)

Merrill Lynch

Account No. 571-49700

Taxpayer No. 20-3969051

ROBERT M MAULDIN TTEE

2009 SUPPLEMENTAL TAX INFORMATION STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL GAINS								
SPDR GOLD TRUST	4.0000	07/10/09	07/31/09			0 12	0.11	0 01
	38 0000	07/10/09	07/31/09			1 10	1 08	0.02
	4 0000	07/10/09	08/31/09			0 12	0 12	0 00
	38 0000	07/10/09	08/31/09			1.16	1.12	0 04
	4 0000	07/10/09	09/30/09			0 14	0 12	0 02
	38.0000	07/10/09	09/30/09			1.28	1 18	0 10
	4.0000	07/10/09	10/14/09			417 31	357.71	59 60
	38.0000	07/10/09	10/31/09			1 23	1.08	0 15
	9 0000	10/01/09	10/31/09			0 29	0 28	0 01
	38.0000	07/10/09	11/30/09			1 32	1 10	0 22
	9 0000	10/01/09	11/30/09			0 31	0 29	0 02
	38.0000	07/10/09	12/31/09			1 33	1 00	0 33
	9 0000	10/01/09	12/31/09			0 31	0 26	0 05
		Security Subtotal				*426.02*	*365.45*	*60.57*
		SHORT TERM CAPITAL GAINS SUBTOTAL				426.02	365.45	60.57
NET SHORT TERM CAPITAL GAIN (LOSS)								**60.57**
TOTAL CAPITAL GAINS AND LOSSES						**426.02**	**365.45**	**60.57**
TOTAL REPORTABLE GROSS PROCEEDS						**426.02**		

Note. Capital gains and losses in this statement are not reported to the IRS

* This transaction denotes the gain or loss on the sale of an option While option sales are not subject to 1099-B reporting, the gain or loss information is relevant for tax return preparation

** Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e.g , liquidation of fractional shares and the proceeds from certain tender offers) It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e g , option premium received)

(P) Indicates that an option premium has been included in the calculation

(S) Short Sale

(M) Marked to Market

(CSVB) Because of complex tax rules, the holding period used for trades resulting from covering short against the box should be reviewed to verify applicability.

(D) The cost basis for this tax lot has been adjusted to include an option premium and a portion of the Unlimited Advantage fee assessed in the previous years starting in 2000

(L5) This transaction may be subject to reduced capital gains tax rates on five year gains. Please consult your tax advisor for more information

N/C Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interest, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero coupon issues.

YOUR CMA FOR TRUST BANK DEPOSIT INTEREST SUMMARY

December 01, 2009 - December 31, 2009

Money Account Description	*Opening Balance*	*Average Deposit Balance*	*Current Yield%*	*Interest on Deposits*	*Closing Balance*
FIA Card Services, N A.	20,643	7,702	40	2.58	**3,606**
TOTAL *ML Bank Deposit Program*	20,643			2.58	**3,606**

YOUR CMA FOR TRUST ASSETS

CASH/MONEY ACCOUNTS *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Estimated Annual Income*	*Est. Annual Yield%*
CASH	1,127.14	1,127 14		**1,127.14**		
ML BANK DEPOSIT PROGRAM	3,606.00	3,606 00	1.0000	**3,606.00**	**14**	40
TOTAL		4,733.14		**4,733.14**	**14**	.40

EQUITIES *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
MIRANT CORP STK ESCROW *ESCROW ORIG# 46H83*		N/A	1,112	N/A	N/A	LIQ	**N/A**	N/A		
TOTAL										

MUTUAL FUNDS/CLOSED END FUNDS/UIT *Description*	*Quantity*	*Total Client Investment*	*Cumulative Investment Return*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
CONSUMER DISCRETIONARY *SPDR* *SYMBOL XLY* *Initial Purchase. 07/10/09* *Equity 100%*	305	6,999	2,080	6,999.03	29.7700	**9,079.85**	2,080.82	**196**	2.15
HEALTH CARE SELECT SPDR *SYMBOL XLV* *Initial Purchase 07/10/09* *Equity 100%*	379	9,774	2,001	9,774 34	31 0700	**11,775.53**	2,001.19	**260**	2 20
ING GLOBAL REAL ESTATE *FUND CL A*	375	5,542	(41)	5,542 49	14 6700	**5,501.25**	(41.24)	**313**	5 68

ROBERT M MAULDIN TTEE   Account Number 571-49700

YOUR CMA FOR TRUST ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT

(continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SYMBOL IGLAX Initial Purchase: 12/17/09	1010 Fractional Share			N/A	14 6700	**1.48**	N/A	**1**	5.68
Equity 100%									
ISHARES TRUST S&P MIDCAP 400 INDEX FUND	296	16,549	4,883	16,549 98	72.4100	**21,433.36**	4,883.38	**399**	1 85
SYMBOL IJH Initial Purchase 07/10/09									
Equity 100%									
ISHARES S&P SMALLCAP 600 INDEX FUND	163	6,866	2,052	6,866 56	54.7200	**8,919.36**	2,052.80	**111**	1 23
SYMBOL IJR Initial Purchase 07/10/09									
Equity 100%									
ISHARES DJ US TELCOMMUNI SECTOR INDEX FUND	138	2,297	465	2,297 51	20.0200	**2,762.76**	465 25	**104**	3 74
SYMBOL: IYZ Initial Purchase 07/10/09									
Equity 100%									
ISHARES MSCI EAFE INDEX FUND	475	21,307	4,950	21,307.16	55 2800	**26,258.00**	4,950.84	**684**	2 60
SYMBOL EFA Initial Purchase: 07/10/09									
Equity 100%									
ISHARES BARCLAY 7-10 YR TREAS INDEX FUND	34	3,135	(123)	3,135.76	88 6000	**3,012.40**	(123 36)	**103**	3 38
SYMBOL: IEF Initial Purchase 07/10/09									
Fixed Income 100%									
ISHARES IBOXX $ INVT GRADE CORP BD FUND	221	22,701	315	22,701 36	104 1500	**23,017.15**	315 79	**1,266**	5 49
SYMBOL LQD Initial Purchase 07/10/09									

YOUR CMA FOR TRUST ASSETS

MUTUAL FUNDS/CLOSED END FUNDS/UIT
(continued)

Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
Fixed Income 100%									
ISHARES BARCLYS 1-3 YEAR *TREAS INDEX FUND* *SYMBOL· SHY Initial Purchase 07/10/09* *Fixed Income 100%*	65	5,459	(66)	5,459.07	82.9600	**5,392.40**	(66.67)	**91**	1 68
ISHARES BARCLAYS TIPS BO *PROTECTED SECS FD* *SYMBOL TIP Initial Purchase 07/10/09* *Fixed Income 100%*	38	3,829	118	3,829.46	103.9000	**3,948.20**	118 74	**154**	3.89
ISHARES LEHMAN 3-7 YR *TRSY BD FD* *SYMBOL IEI Initial Purchase 07/10/09* *Fixed Income 100%*	49	5,499	(101)	5,499 28	110.1600	**5,397.84**	(101.44)	**130**	2 40
ISHARES BARCLAYS SHORT *TREASUR* *SYMBOL SHV Initial Purchase: 07/10/09* *Fixed Income 100%*	12	1,322		1,322.64	110 1900	**1,322.28**	(0.36)	**5**	.34
ISHARES BARCLAYS MBS BON *FUND* *SYMBOL MBB Initial Purchase 07/10/09* *Fixed Income 100%*	195	20,694	(28)	20,694 63	105 9800	**20,666.10**	(28 53)	**728**	3 51
ISHARES S&P GLOBAL *INFRASTR* *SYMBOL IGF Initial Purchase 07/10/09* *Equity 100%*	119	3,554	500	3,554.84	34 0800	**4,055.52**	500.68	**143**	3 52

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YOUR CMA FOR TRUST ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT

(continued) Description		Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
IVY ASSET STRATEGY FUND CL I		248	5,229	333	5,273 55	22.4300	**5,562.64**	289 09	**32**	57
SYMBOL: IVAEX	*Initial Purchase. 10/01/09*	*3160 Fractional Share*			*N/A*	*22 4300*	***7.08***	*N/A*	***1***	*.57*
Fixed Income 3% Equity 97%										
IVY GLOBAL NATURAL RESOURCES FD CL I		70	1,173	140	1,173 20	18.7700	**1,313.90**	140 70	**4**	22
SYMBOL IGNIX	*Initial Purchase 10/01/09*	*.1190 Fractional Share*			*N/A*	*18 7700*	***2.23***	*N/A*	***1***	*22*
Equity 100%										
MATERIALS SELECT SECTOR SPDR FUND		83	2,009	728	2,009.37	32 9900	**2,738.17**	728.80	**59**	2.14
SYMBOL XLB	*Initial Purchase: 07/10/09*									
Equity 100%										
NATIXIS GATEWAY FUND CL Y		220	5,169	383	5,243 33	25 2400	**5,552.80**	309 47	**117**	2.10
SYMBOL GTEYX	*Initial Purchase 07/10/09*	*9900 Fractional Share*			*N/A*	*25 2400*	***24.98***	*N/A*	***1***	*2.10*
Equity 100%										
S&P US PFD STK INDEX FD ISHARES		214	7,323	530	7,323 68	36.7000	**7,853.80**	530 12	**619**	7 86
SYMBOL PFF	*Initial Purchase 07/10/09*									
Equity 100%										
SECTOR SPDR CONSMRS STPL		305	7,017	1,055	7,017.78	26.4700	**8,073.35**	1,055.57	**304**	3 75
SYMBOL. XLP	*Initial Purchase 07/10/09*									
Equity 100%										
SECTOR SPDR ENERGY		145	6,420	1,845	6,420 49	57 0100	**8,266.45**	1,845.96	**177**	2 13

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YOUR CMA FOR TRUST ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT

(continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SYMBOL XLE *Initial Purchase· 07/10/09* *Equity 100%*									
SECTOR SPDR FINANCIAL *SYMBOL XLF* *Initial Purchase 07/10/09* *Equity 100%*	830	9,730	2,221	9,730 75	14 4000	**11,952.00**	2,221 25	**174**	1.45
SECTOR SPDR INDUSTRIAL *SYMBOL· XLI* *Initial Purchase 07/10/09* *Equity 100%*	484	11,284	2,166	11,284 04	27.7900	**13,450.36**	2,166 32	**350**	2 59
SECTOR SPDR UTILITIES *SYMBOL XLU* *Initial Purchase· 07/10/09* *Equity 100%*	58	1,560	238	1,560.74	31 0200	**1,799.16**	238 42	**86**	4.75
SPDR BARCLY CPTAL INTL *TREAS BD ETF* *SYMBOL BWX* *Initial Purchase 07/10/09* *Fixed Income 100%*	69	3,784	137	3,784 00	56 8300	**3,921.27**	137 27	**216**	5 49
SPDR BARCLY CAPTL 1-3 MO *BILL* *SYMBOL BIL* *Initial Purchase 07/10/09* *Fixed Income 100%*	29	1,330		1,330 50	45.8700	**1,330.23**	(0 27)		
SPDR S P BRIC 40 *SYMBOL BIK* *Initial Purchase. 07/10/09* *Equity 100%*	264	4,822	1,795	4,822.56	25 0700	**6,618.48**	1,795.92	**40**	.59
TEMPLETON GLBL BOND FD *ADV CL*	310	3,815	118	3,866 43	12 6900	**3,933.90**	67.47	**177**	4.48

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ROBERT M MAULDIN TTEE   Account Number: 571-49700

YOUR CMA FOR TRUST ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SYMBOL TGBAX Initial Purchase 10/01/09 1560 Fractional Share Fixed Income 100%				N/A	12 6900	**1.97**	N/A	**1**	4 48
VANGUARD INFORMATION TECH ETF SYMBOL VGT Initial Purchase 07/10/09 Equity 100%	393	16,860	4,703	16,860.28	54.8700	**21,563.91**	4,703 63	**99**	45
VANGUARD EMERGING MKTS ETF SYMBOL. VWO Initial Purchase 07/10/09 Equity 100%	270	8,367	2,702	8,367 77	41.0000	**11,070.00**	2,702.23	**148**	1 32
Subtotal (Fixed Income)						*72,110 83*			
Subtotal (Equities)						*195,469 33*			
TOTAL			36,099	231,602 58		**267,580.16**	35,939 84	**7,294**	2 73

Total Client Investment: Cost of shares directly purchased and still held Does not include shares purchased through reinvestment.
Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts
Initial Purchase: Date of your initial investment in this fund

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

ALTERNATIVE INVESTMENTS Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SPDR GOLD TRUST	07/10/09	38	89.5176	3,401 67	107 3100	4,077 78	676.11		
SPDR GOLD TRUST	10/01/09	9	98.1588	883 43	107 3100	965 79	82 36		
Subtotal		*47*		*4,285 10*		***5,043.57***	*758 47*		
TOTAL				4,285 10		**5,043.57**	758.47		

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ROBERT M MAULDIN TTEE

2009 TAX REPORTING STATEMENT

2009 OTHER DISTRIBUTIONS AND CHARGES*

Security Description	Quantity	Date	Transaction Description	Total Amount	AMT Amount	Remarks
INVESTMENT FEE INFORMATION						
PERS INVEST ADVIS				(1,651 83)		SEE NOTE

*Information in the Other Distributions and Charges section may have an impact on your Alternative Minimum Tax (AMT) calculation Consult your tax advisor for more details

END OF STATEMENT

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