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**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

OMB No 1545-0052

**2009**Department of the Treasury  
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name changeUse the  
IRS label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions.The Prentice Family Foundation  
1805 Osceola Street  
Jacksonville, FL 32204

A Employer identification number

20-2557712

B Telephone number (see the instructions)

716-250-7500

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year  
(from Part II, column (c), line 16)

\$ 12,538,679.

J Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis)

**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (att sch)

5,000.

2 Ck ☐ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

109.

109.

N/A

4 Dividends and interest from securities

187,658.

187,658.

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

198,401.

b Gross sales price for all assets on line 6a

2,360,601.

7 Capital gain net income (from Part IV, line 2)

198,401.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less: Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

391,168.

386,168.

13 Compensation of officers, directors, trustees, etc

105,000.

89,000.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule) See St 1

13,511.

10,133.

b Accounting fees (attach sch) See St 2

6,778.

c Other prof fees (attach sch) See St 3

1,720.

17 Interest

18 Taxes (attach schedule)(see instr) See Stm 4

110,531.

982.

5,384.

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

14,848.

14,848.

22 Printing and publications

23 Other expenses (attach schedule)

See Statement 5

43,865.

43,041.

24 Total operating and administrative expenses. Add lines 13 through 23

296,253.

44,023.

119,365.

25 Contributions, gifts, grants paid Part. XV

383,418.

383,418.

26 Total expenses and disbursements. Add lines 24 and 25.

679,671.

44,023.

502,783.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

-288,503.

b Net investment income (if negative, enter -0-)

342,145.

c Adjusted net income (if negative, enter -0-)

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ADMINISTRATIVE AND OPERATING EXPENSES

9-18 16

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>ASSETS</b>	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	11,341,813.	204,978.	204,978.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch.)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) <b>Statement 6</b>		7,024,889.	8,452,576.
	c Investments — corporate bonds (attach schedule) <b>Statement 7</b>		3,805,884.	3,878,425.
	11 Investments — land, buildings, and equipment: basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)				
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe <b>See Statement 8</b> )		2,700.	2,700.	
16 <b>Total assets</b> (to be completed by all filers — see instructions. Also, see page 1, item I)	11,341,813.	11,038,451.	12,538,679.	
<b>LIABILITIES</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe )			
	23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.	
<b>NET ASSETS OR FUND BALANCES</b>	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	11,341,813.	11,038,451.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 <b>Total net assets or fund balances</b> (see the instructions)	11,341,813.	11,038,451.	
31 <b>Total liabilities and net assets/fund balances</b> (see the instructions)	11,341,813.	11,038,451.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,341,813.
2 Enter amount from Part I, line 27a.	2	-288,503.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	11,053,310.
5 Decreases not included in line 2 (itemize) <b>See Statement 9</b>	5	14,859.
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	11,038,451.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)

(b) How acquired  
P — Purchase  
D — Donation(c) Date acquired  
(month, day, year)(d) Date sold  
(month, day, year)

<b>1a</b> Associated Trust Co - See Att'd Schedule	<b>P</b>	<b>Various</b>	<b>Various</b>
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 2,360,601.		2,162,200.	198,401.
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
<b>a</b>			198,401.
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss). <span style="border: 1px solid black; padding: 2px;">If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</span>	<b>2</b>	198,401.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8 <span style="border: 1px solid black; padding: 2px;"> </span>	<b>3</b>	198,401.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	78,266.	10,064,537.	0.007776
2007		3,668,816.	
2006			
2005			
2004			

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.007776
<b>3</b> Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.003888
<b>4</b> Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	<b>4</b>	11,759,813.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	45,722.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	3,421.
<b>7</b> Add lines 5 and 6	<b>7</b>	49,143.
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	502,783.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income** (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary – see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	3,421.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,421.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	3,421.
6 Credits/Payments:			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	3,600.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,600.	
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	5.	
9 <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	0.	
10 <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	174.	
11 Enter the amount of line 10 to be <b>Credited to 2010 estimated tax</b> 174.   <b>Refunded</b>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) IL		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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**Part VII-A Statements Regarding Activities Continued**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>Bryant Prentice</u> Telephone no. <u>716-250-7500</u> Located at <u>1805 Osceola Street Jacksonville FL</u> ZIP + 4 <u>32204</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	N/A	<input type="checkbox"/>	N/A
		15		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
<b>1a</b> During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b> At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions.)	2b	N/A
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20__ , 20__ , 20__ , 20__</u>		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	3b	N/A
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 10		105,000.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

**Total** number of other employees paid over \$50,000.

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE'.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services .		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 See Statement 11	
	383,418.
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b> Average monthly fair market value of securities	<b>1a</b>	6,964,356.
<b>b</b> Average of monthly cash balances	<b>1b</b>	4,974,540.
<b>c</b> Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b> Total (add lines 1a, b, and c)	<b>1d</b>	11,938,896.
<b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b> Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b> Subtract line 2 from line 1d	<b>3</b>	11,938,896.
<b>4</b> Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	179,083.
<b>5</b> Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	11,759,813.
<b>6</b> Minimum investment return. Enter 5% of line 5	<b>6</b>	587,991.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b> Minimum investment return from Part X, line 6		<b>1</b>	587,991.
<b>2a</b> Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	3,421.	
<b>b</b> Income tax for 2009. (This does not include the tax from Part VI.)	<b>2b</b>		
<b>c</b> Add lines 2a and 2b		<b>2c</b>	3,421.
<b>3</b> Distributable amount before adjustments. Subtract line 2c from line 1		<b>3</b>	584,570.
<b>4</b> Recoveries of amounts treated as qualifying distributions		<b>4</b>	
<b>5</b> Add lines 3 and 4		<b>5</b>	584,570.
<b>6</b> Deduction from distributable amount (see instructions)		<b>6</b>	
<b>7</b> Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		<b>7</b>	584,570.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b> Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	<b>1a</b>	502,783.
<b>b</b> Program-related investments — total from Part IX-B	<b>1b</b>	
<b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b> Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b> Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b> Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b> Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	502,783.
<b>5</b> Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	3,421.
<b>6</b> Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	499,362.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				584,570.
2 Undistributed income, if any, as of the end of 2009.				
a Enter amount for 2008 only			399,866.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 502,783.				
a Applied to 2008, but not more than line 2a			399,866.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount				102,917.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010.				481,653.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

BAA



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> University of Buffalo Foundation Buffalo, NY 14260	None	Exempt	Administration of the scholarship program and awarding of scholarships under criteria set by the Foundation.	383,418.
<b>Total</b>				<b>383,418.</b>
<i>b Approved for future payment</i>				
<b>Total</b>				<b></b>





**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

► Attach to Form 990, 990-EZ, or 990-PF

OMB No 1545-0047

**2009**

Name of the organization

The Prentice Family Foundation

Employer identification number

20-2557712

Organization type (check one).

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)(\_\_\_\_) (enter number) organization  
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation  
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation  
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule –**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

**Special Rules –**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year. . . . ► \$ \_\_\_\_\_

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.**

**Schedule B** (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

The Prentice Family Foundation

20-2557712

**Part I** Contributors (see instructions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Marc C. Hutchinson 1805 Osceola Street Jacksonville, FL 32004-4607	\$ 5,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



Name of organization

The Prentice Family Foundation

Employer identification number

20-2557712

**Part II** Noncash Property (see instructions.)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A		
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

BAA

Employer identification number

20-2557712

### Part III

► \$ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee	
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee	
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee	
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee	

2009

## Federal Statements

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The Prentice Family Foundation

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**Statement 1**  
**Form 990-PF, Part I, Line 16a**  
**Legal Fees**

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Legal Fees	\$ 13,511.			\$ 10,133.
Total	<u>\$ 13,511.</u>	<u>\$ 0.</u>		<u>\$ 10,133.</u>

**Statement 2**  
**Form 990-PF, Part I, Line 16b**  
**Accounting Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting Fees	\$ 6,778.			
Total	<u>\$ 6,778.</u>	<u>\$ 0.</u>		<u>\$ 0.</u>

**Statement 3**  
**Form 990-PF, Part I, Line 16c**  
**Other Professional Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Other Fees	\$ 1,720.			
Total	<u>\$ 1,720.</u>	<u>\$ 0.</u>		<u>\$ 0.</u>

**Statement 4**  
**Form 990-PF, Part I, Line 18**  
**Taxes**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Federal Tax	\$ 102,851.			
Foreign Tax Paid	982.	\$ 982.		
Payroll Taxes	6,573.			\$ 5,259.
State Filing Fee	125.			125.
Total	<u>\$ 110,531.</u>	<u>\$ 982.</u>		<u>\$ 5,384.</u>

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## Federal Statements

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The Prentice Family Foundation

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**Statement 5**  
**Form 990-PF, Part I, Line 23**  
**Other Expenses**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Due and Subscriptions	\$ 302.			
Insurance	522.			
Investment Expense	43,041.	\$ 43,041.		
<b>Total</b>	<b>\$ 43,865.</b>	<b>\$ 43,041.</b>		<b>\$ 0.</b>

**Statement 6**  
**Form 990-PF, Part II, Line 10b**  
**Investments - Corporate Stocks**

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
Equities	Cost	\$ 7,024,889.	\$ 8,452,576.
	<b>Total</b>	<b>\$ 7,024,889.</b>	<b>\$ 8,452,576.</b>

**Statement 7**  
**Form 990-PF, Part II, Line 10c**  
**Investments - Corporate Bonds**

Corporate Bonds	Valuation Method	Book Value	Fair Market Value
Fixed Income Investments	Cost	\$ 3,805,884.	\$ 3,878,425.
	<b>Total</b>	<b>\$ 3,805,884.</b>	<b>\$ 3,878,425.</b>

**Statement 8**  
**Form 990-PF, Part II, Line 15**  
**Other Assets**

	Book Value	Fair Market Value
Prepaid Federal Income Tax	\$ 2,700.	\$ 2,700.
<b>Total</b>	<b>\$ 2,700.</b>	<b>\$ 2,700.</b>

**Statement 9**  
**Form 990-PF, Part III, Line 5**  
**Other Decreases**

Prior Period Adjustment		\$ 14,859.
<b>Total</b>		<b>\$ 14,859.</b>

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The Prentice Family Foundation

20-2557712

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**Statement 10**  
**Form 990-PF, Part VIII, Line 1**  
**List of Officers, Directors, Trustees, and Key Employees**

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compensation</u>	<u>Contribution to EBP &amp; DC</u>	<u>Expense Account/ Other</u>
Bryant H. Prentice, III 1805 Osceola Street Jacksonville, FL 32204	President 5.00	\$ 0.	\$ 0.	\$ 0.
Joan P. Prentice 1805 Osceola Street Jacksonville, FL 32204	Vice Pres. 5.00	0.	0.	0.
Colette P. Pike 1539 Foxhall Road NW, Washington DC, DC 20007	Secretary 5.00	0.	0.	0.
Marc C. Hutchinson 1092 McCue Court Great Falls, VA 22066	Treasurer 5.00	25,000.	0.	0.
Gail Johnstone 284 River Mist Buffalo, NY 14202	Executive Direc 40.00	80,000.	0.	0.
		Total \$ 105,000.	\$ 0.	\$ 0.

**Statement 11**  
**Form 990-PF, Part IX-A, Line 1**  
**Summary of Direct Charitable Activities**

<u>Direct Charitable Activities</u>	<u>Expenses</u>
Scholarship program for individuals who are or plan to pursue post secondary school education.	\$ 383,418.

Scholarships may be awarded for two year junior colleges, public four year colleges and universities and private four year colleges and universities. The granting of the scholarship will depend on eligibility, need, and student mix, as well as the student's ability to comply with the school's standard of progress to meet federal scholarship guidelines.

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Federal Supplemental Information

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The Prentice Family Foundation

20-2557712

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DISCLOSURE RE: SET-ASIDES FOR FUTURE DISTRIBUTIONS

The Foundation elected to set aside funds in the amount of \$131,612 to be distributed in future years. As of December 31, 2009 the Foundation has not paid an amount toward the set aside. The set-aside will be paid within 60 months of being set aside.

# 2009 Tax Information Statement

Page 7 of 23  
 Recipient's Name and Address  
 Ref: MMK  
 PRENTICE FAMILY FOUNDATION  
 BRYANT PRENTICE III, AUTHORIZED  
 1805 OSCEOLA STREET  
 JACKSONVILLE, FL 32204-4607

Account Number: 61G114004  
 Recipient's Tax ID number: 20-2557712  
 Payer's Federal ID number: 30-0219031  
 Questions? (920)433-3231  
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address  
 ASSOCIATED TRUST COMPANY, NA  
 PO BOX 12800  
 GREEN BAY, WI 54307-2800

## 2009 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums.

(Box 5)	(Box 1b)	(Box 7)	Date of Sale	Date of Acquisition	Stocks, Bonds, etc.	(Box 2)	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld	(Box 4)
<b>Short Term Sales Reported on 1099-B</b>										
745.0000	00184X105	AOL INC COM	12/10/2009	12/10/2009	17,367.59	14,388.79	2,978.80	0.00		
4540	00184X105	AOL INC COM	12/10/2009	12/22/2009	11.04	8.77	2.27	0.00		
400.0000	037411105	APACHE CORP COM	10/02/2009	11/12/2009	39,613.90	36,194.16	3,419.74	0.00		
650.0000	057224107	BAKER HUGHES INC COM	08/27/2009	12/04/2009	25,552.08	24,474.51	1,077.57	0.00		
4825.0000	064058100	BANK OF NEW YORK MELLON CORP COM	VARIOUS	10/15/2009	130,722.26	112,282.17	18,440.09	0.00		
425.0000	110122108	BRISTOL MYERS-SQUIBB CO COM	VARIOUS	12/04/2009	10,813.84	9,098.97	1,714.87	0.00		
200.0000	168764100	CHEVRONTXACO CORP COM	VARIOUS	11/12/2009	15,522.60	13,503.73	2,018.87	0.00		
225.0000	166764100	CHEVRONTXACO CORP COM	VARIOUS	12/04/2009	17,618.17	14,983.16	2,635.01	0.00		
500.0000	191216100	COCA COLA CO COM	VARIOUS	10/15/2009	27,157.80	23,058.00	4,099.80	0.00		
400.0000	20825C104	CONOCOPHILLIPS COM	VARIOUS	10/09/2009	20,165.48	16,707.57	3,457.91	0.00		
500.0000	20825C104	CONOCOPHILLIPS COM	VARIOUS	11/30/2009	25,856.83	19,857.84	5,998.99	0.00		
2000.0000	222816100	COVANCE INC COM	VARIOUS	11/20/2009	105,522.48	75,142.57	30,379.91	0.00		
1650.0000	126850100	CVS CORP COM	VARIOUS	12/04/2009	50,892.94	50,948.71	-55.77	0.00		
500.0000	126850100	CVS CORP COM	VARIOUS	12/22/2009	16,117.09	13,632.65	2,484.44	0.00		
5675.0000	254687106	DISNEY WALT CO COM	VARIOUS	04/03/2009	113,019.88	96,901.38	16,118.50	0.00		

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

# 2009 Tax Information Statement

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 Recipient's Name and Address  
 PRENTICE FAMILY FOUNDATION  
 BRYANT PRENTICE III, AUTHORIZED  
 1805 OSCEOLA STREET  
 JACKSONVILLE, FL 32204-4607

Account Number: 61G114004  
 Recipient's Tax ID number: 20-2557712  
 Payer's Federal ID number: 30-0219031  
 Questions? (920)433-3231  
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address  
 ASSOCIATED TRUST COMPANY, NA  
 PO BOX 12800  
 GREEN BAY, WI 54307-2800

(Box 5)	(Box 1b)	(Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
75000.0000	31331GUC1	FEDERAL FARM CREDIT BANK 1.60 05/04/2011 CALLABLE 08/04/09 @ 100	04/28/2009	08/04/2009	75,000.00	75,000.00	0.00	0.00
100000.0000	31331GUE7	FEDERAL FARM CREDIT FCCB 2.875 05/06/2013 CALLABLE 08/06/2009 @ 100	06/18/2009	09/25/2009	100,000.00	99,900.00	100.00	0.00
100000.0000	3128X8N24	FEDERAL HOME LOAN MTG CORP 3.500 06/24/2014	06/18/2009	09/24/2009	100,000.00	99,900.00	100.00	0.00
100000.0000	3136FHN50	FEDERAL NATIONAL MTGE ASSN 2.500 12/28/2012 CALLABLE 12/28/2009 @ 100	06/18/2009	12/28/2009	100,000.00	100,000.00	0.00	0.00
75000.0000	338032AZ8	FISHER SCIENTIFIC INTL 6.75 08/15/2014 CALLABLE 08/15/09 @ 103.38	05/13/2009	12/17/2009	77,531.25	75,937.50	1,593.75	0.00
50.0000	38259P508	GOOGLE INC CL A COM	VARIOUS	12/04/2009	29,599.99	21,807.64	7,792.35	0.00
300.0000	401617105	GUESS INC COM	06/08/2009	11/20/2009	10,895.60	8,563.50	2,332.10	0.00
4175.0000	413875105	HARRIS CORP DEL COM	VARIOUS	08/11/2009	124,201.38	129,529.11	-5,327.73	0.00
994.0000	41457P106	HARRIS STRATEX NETWORKS	VARIOUS	06/19/2009	6,019.30	5,333.39	685.91	0.00
350.0000	428236103	HEWLETT PACKARD CO COM	VARIOUS	10/30/2009	16,714.84	13,916.03	2,798.81	0.00
1000.0000	428236103	HEWLETT PACKARD CO COM	VARIOUS	11/30/2009	49,163.73	30,307.38	18,856.35	0.00
750.0000	580135101	MCDONALDS CORP COM	VARIOUS	10/09/2009	42,332.66	40,948.22	1,384.44	0.00
250.0000	580135101	MCDONALDS CORP COM	VARIOUS	10/30/2009	14,733.37	13,244.14	1,489.23	0.00
1000.0000	594918104	MICROSOFT CORP COM	VARIOUS	10/30/2009	27,934.28	20,943.99	6,990.29	0.00
2000.0000	594918104	MICROSOFT CORP COM	VARIOUS	11/30/2009	58,608.69	34,560.43	24,048.26	0.00
3475.0000	665859104	NORTHERN TRUST CORP COM	VARIOUS	10/27/2009	180,929.91	197,335.47	-16,405.56	0.00

This is Important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



# 2009 Tax Information Statement

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Ref: MMK

Account Number: 61G114004  
 Recipient's Tax ID number: 20-2557712  
 Payer's Federal ID number: 30-0219031  
 Questions? (920)433-3231  
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address  
 PRENTICE FAMILY FOUNDATION  
 BRYANT PRENTICE III, AUTHORIZED  
 1805 OSCEOLA STREET  
 JACKSONVILLE, FL 32204-4607

Payer's Name and Address  
 ASSOCIATED TRUST COMPANY, NA  
 PO BOX 12800  
 GREEN BAY, WI 54307-2800

Number of States (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or Other Basis	Net Gain or Loss (Box 4)	Federal Income Tax Withheld
725.0000	718172109	PHILIP MORRIS INTERNATIONAL INC COM	VARIOUS	12/04/2009	36,462.93	29,511.15	6,951.78	0.00
500.0000	806857108	SCHLUMBERGER LTD COM	VARIOUS	11/30/2009	31,919.33	26,530.32	5,389.01	0.00
1000.0000	842587107	SOUTHERN CO COM	VARIOUS	10/23/2009	33,204.14	30,913.00	2,291.14	0.00
300.0000	784635104	SPX CORP COM	VARIOUS	10/27/2009	17,867.84	15,462.23	2,405.61	0.00
3800.0000	790849103	ST JUDE MEDICAL INC COM	VARIOUS	10/08/2009	124,165.60	147,784.35	23,618.75	0.00
50000.0000	914744AA5	UNIV OF NOTRE DAME 4.141 09/01/2013	04/03/2009	06/04/2009	51,235.50	50,792.50	443.00	0.00
100000.0000	912828KA7	US TREASURY NOTE 1.125 12/15/2011	06/09/2009	07/02/2009	99,798.31	98,964.84	833.47	0.00
500.0000	931142103	WAL MART STORES INC COM	VARIOUS	10/15/2009	25,186.10	25,221.15	-35.05	0.00
400.0000	931142103	WAL MART STORES INC COM	03/05/2009	10/30/2009	20,041.48	20,041.00	0.48	0.00
3125.0000	94973V107	WELLPPOINT INC COM	VARIOUS	06/09/2009	141,365.18	109,988.28	31,376.90	0.00
5350.0000	949748101	WELLS FARGO & CO NEW COM	VARIOUS	12/01/2009	149,735.16	118,580.96	31,154.20	0.00
Total Short Term Sales Reported on 1099-B					2,360,600.55	2,162,199.56	198,400.99	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.