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990-PF

Form
Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

THE CAROL A AMMON FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address)

THE AYCO COMPANY, LP PO BOX 860

City or town, state, and ZIP code

SARATOGA SPRINGS, NY 12866-0860

A Employer identification number

20-2029151

B Telephone number (see page 10 of the instructions)

(518) 886-4213

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 18,363,529.
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☐ if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	19,471.	19,471.		ATCH 1
4 Dividends and interest from securities	306,765.	306,765.		ATCH 2
5a Gross rents				
b Net rental income or (loss)	-1,240,351.			
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a	6,786,874.			
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	40,209.			ATCH 3
12 Total. Add lines 1 through 11	-873,906.	326,236.		
13 Compensation of officers, directors, trustees, etc.	48,517.			48,517.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)	32,790.	0.	0.	32,790.
b Accounting fees (attach schedule) ATCH 4	74,633.	74,633.		
c Other professional fees (attach schedule) *				
17 Interest	28,099.	1,847.		25,849.
18 Taxes (attach schedule) (see page 14 of the instructions) *				
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications	128.			128.
23 Other expenses (attach schedule) ATCH 7	653.	71.		495.
24 Total operating and administrative expenses. Add lines 13 through 23	184,820.	76,551.	0.	107,779.
25 Contributions, gifts, grants paid	803,000.			803,000.
26 Total expenses and disbursements. Add lines 24 and 25	987,820.	76,551.	0.	910,779.
27 Subtract line 26 from line 12	-1,861,726.			
a Excess of revenue over expenses and disbursements		249,685.		
b Net investment income (if negative, enter -0-)			-0-	
c Adjusted net income (if negative, enter -0-)				

Operating and Administrative Expenses

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions. * ATCH 5 JSA ** ATCH 6 Form 990-PF (2009)

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Revenue

4914

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		539,891.	184,281.	184,281.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH 8	15,839,475.	14,287,391.	13,922,678.	
	c	Investments - corporate bonds (attach schedule) ATCH 9	4,432,459.	4,478,427.	4,256,570.	
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans					
13	Investments - other (attach schedule)					
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)			20,811,825.	18,950,099.	18,363,529.
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒					
	27	Capital stock, trust principal, or current funds	20,811,825.	18,950,099.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.		
	30	Total net assets or fund balances (see page 17 of the instructions)	20,811,825.	18,950,099.		
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	20,811,825.	18,950,099.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	20,811,825.
2	Enter amount from Part I, line 27a	2	-1,861,726.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	18,950,099.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	18,950,099.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	-1,240,351.	
If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3		
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8.					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1,170,373.	20,449,985.	0.057231
2007	874,005.	21,820,814.	0.040054
2006	735,425.	13,382,353.	0.054955
2005	89,207.	2,300,884.	0.038771
2004	16,000.	53,571.	0.298669
2 Total of line 1, column (d)			0.489680
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.097936
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			16,416,257.
5 Multiply line 4 by line 3			1,607,743.
6 Enter 1% of net investment income (1% of Part I, line 27b)			2,497.
7 Add lines 5 and 6			1,610,240.
8 Enter qualifying distributions from Part XII, line 4			910,779.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	4,994.
• Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	4,994.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	4,994.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	5,775.	
b Exempt foreign organizations-tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	5,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	10,775.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,781.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☐ 5,781. Refunded ☐ ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T.		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ► DE, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ THE AYCO COMPANY C/O E POWELL Telephone no ☐ 518/866-4213			
	Located at ☐ 321 BROADWAY SARATOGA SPRINGS, NY ZIP + 4 ☐ 12866			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year			☐ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** ☒ Organizations relying on a current notice regarding disaster assistance check here ☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☐ No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 10		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services **NONE****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	16,156,399.
b	Average of monthly cash balances	1b	509,852.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	16,666,251.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	16,666,251.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	249,994.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	16,416,257.
6	Minimum investment return. Enter 5% of line 5	6	820,813.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	820,813.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	4,994.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,994.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	815,819.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	815,819.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	815,819.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	910,779.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	910,779.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	910,779.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				815,819.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008 31,443.				
f Total of lines 3a through e	31,443.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 910,779.				
a Applied to 2008, but not more than line 2a . . .				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				815,819.
e Remaining amount distributed out of corpus . . .	94,960.			
5 Excess distributions carryover applied to 2009 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	126,403.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	126,403.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008 31,443.				
e Excess from 2009 94,960.				

Form 990-PF (2009)

4942(j)(5)

Part XV Supplementary Information (continued)**3. Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE ATTACHED				803,000.
Total.			▶ 3a	803,000.
b <i>Approved for future payment</i>				
Total.			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)	
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1	Program service revenue						
a							
b							
c							
d							
e							
f							
g	Fees and contracts from government agencies						
2	Membership dues and assessments						
3	Interest on savings and temporary cash investments			14	19,471.		
4	Dividends and interest from securities			14	306,765.		
5	Net rental income or (loss) from real estate						
a	Debt-financed property						
b	Not debt-financed property						
6	Net rental income or (loss) from personal property						
7	Other investment income						
8	Gain or (loss) from sales of assets other than inventory			18	-1,240,351.		
9	Net income or (loss) from special events						
10	Gross profit or (loss) from sales of inventory						
11	Other revenue a						
b	ATTACHMENT 11				40,209.		
c							
d							
e							
12	Subtotal Add columns (b), (d), and (e)				-873,906.		
13	Total. Add line 12, columns (b), (d), and (e)					13	-873,906.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

		Yes	No
1. Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

Date _____

Title

**Paid
Preparer's
Use Only**

Preparer's
signature

Date _____

Check if self-employed

Preparer's identifying
number (See **Signature** on
page 30 of the instructions)

Firm's name (or yours if self-employed), address, and ZIP code

THE AYCO COMPANY, LP
PO BOX 15014
ALBANY, NY

FIN

518-640-5000

The Carol A. Ammon Foundation, Inc.**Charitable Contributions**

01/01/2009 - 12/31/2009

<u>Date</u>	<u>Name</u>	<u>City</u>	<u>State</u>	<u>Zip</u>	<u>501(C)(3)</u>	<u>Purpose of Contribution</u>	<u>Amount</u>
01/21/2009	Chrstiana Care Health System	New Castle	DE	19720	501(C)(3)	General Charitable Purpose	110,000
03/09/2009	The Pilot School - Capital Campaign	Wilmington	DE	19807	501(C)(3)	General Charitable Purpose	50,000
03/10/2009	Billie Jean King WTT Chanties, Inc	Randolph	NJ	07869	501(C)(3)	General Charitable Purpose	50,000
04/24/2009	Chrstiana Care Health System	New Castle	DE	19720	501(C)(3)	General Charitable Purpose	1,000
06/12/2009	Boys & Girls Club of Delaware, Inc	Wilmington	DE	19805	501(C)(3)	General Charitable Purpose	25,000
06/12/2009	Christ Church Chrstiana Hundred	New Castle	DE	19720	501(C)(3)	General Charitable Purpose	50,000
07/30/2009	Westside Family Healthcare	Wilmington	DE	19805	501(C)(3)	General Charitable Purpose	1,000
08/13/2009	Pnncceton University	Pnncceton	NJ	08544	501(C)(3)	Scholarship	5,000
09/29/2009	Hagley Museum & Library - Annual Fund	Wilmington	DE	19807	501(C)(3)	General Charitable Purpose	10,000
12/17/2009	Chrstiana Care Health System	New Castle	DE	19720	501(C)(3)	General Charitable Purpose	500,000
12/31/2009	Delaware Children's Museum	Wilmington	DE	19801	501(C)(3)	General Charitable Purpose	1,000
Total Charitable Contributions							\$ 803,000

No good or services were provided in consideration
for the above-mentioned contrnbutions

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					6,169.	
762,104.		GS #23226 - SEE ATTACHED 744,143.					VAR 17,961.	VAR
357,896.		GS #23226 - SEE ATTACHED 312,020.					VAR 45,876.	VAR
86,926.		GS #23474 - SEE ATTACHED 107,083.					VAR -20,157.	VAR
796,695.		GS #23474 - SEE ATTACHED 1,211,040.					VAR -414,345.	VAR
295,532.		GS #23476 - SEE ATTACHED 290,710.					VAR 4,822.	VAR
151,955.		GS #23476 - SEE ATTACHED 316,117.					VAR -164,162.	VAR
1,387,716.		GS #23481 - SEE ATTACHED 1,468,495.					VAR -80,779.	VAR
837,823.		GS #23481 - SEE ATTACHED 1,264,186.					VAR -426,363.	VAR
271,181.		GS #27410 - SEE ATTACHED 253,490.					VAR 17,691.	VAR
111,843.		GS #27410 - SEE ATTACHED 233,885.					VAR -122,042.	VAR
668,774.		GS #30582 - SEE ATTACHED 684,810.					VAR -16,036.	VAR
1,052,260.		GS #30582 - SEE ATTACHED 1,141,246.					VAR -88,986.	VAR
TOTAL GAIN (LOSS)							<u>-1240351.</u>	

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
GOLDMAN SACHS ACCT# 030-23226-8	1,437.	1,437.
GOLDMAN SACHS ACCT# 030-26543-3	14.	14.
GOLDMAN SACHS ACCT# 030-23481-9	427.	427.
GOLDMAN SACHS ACCT# 030-23474-4	257.	257.
GOLDMAN SACHS ACCT# 030-23476-9	78.	78.
GOLDMAN SACHS ACCT# 030-27410-4	235.	235.
GOLDMAN SACHS ACCT# 030-27979-8	5.	5.
GOLDMAN SACHS ACCT# 030-30582-5	195.	195.
GOLDMAN SACHS ACCT# 030-30582-5 - OID	16,823.	16,823.
TOTAL	<u>19,471.</u>	<u>19,471.</u>

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
GOLDMAN SACHS ACCT# 030-232226-8	54,712.	54,712.
GOLDMAN SACHS ACCT# 030-23474-4	22,309.	22,309.
GOLDMAN SACHS ACCT# 030-23476-9	17,600.	17,600.
GOLDMAN SACHS ACCT# 030-23481-9	56,151.	56,151.
GOLDMAN SACHS ACCT# 030-27410-4	5,766.	5,766.
GOLDMAN SACHS ACCT# 030-27979-8	71,837.	71,837.
GOLDMAN SACHS ACCT# 030-30582-5	78,390.	78,390.
TOTAL	<u>306,765.</u>	<u>306,765.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
FEDERAL TAX REFUND - 2007	40,209.
TOTALS	<u>40,209.</u>

ATTACHMENT 4FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
AYCO COMPANY - TAX AND ACCTG	32,790.			32,790.
TOTALS	<u>32,790.</u>	<u>0.</u>	<u>0.</u>	<u>32,790.</u>

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT MGMT FEES-GS #30582	6,004.	6,004.
INVESTMENT MGMT FEES-GS #23481	20,624.	20,624.
INVESTMENT MGMT FEES-GS #23474	20,818.	20,818.
INVESTMENT MGMT FEES-GS #27410	7,187.	7,187.
INVESTMENT MGMT FEES-GS #23476	3,240.	3,240.
INVESTMENT MGMT FEES-GS #28562	16,760.	16,760.
TOTALS	74,633.	74,633.

ATTACHMENT 6FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
GS #23481 FOREIGN TAX WITHHELD	317.	317.	
GS #23481 RECLAIMABLE TAX	19.		
GS #27410 FOREIGN TAX WITHHELD	8.	8.	
GS #27410 RECLAIMABLE TAX	5.		
GS #23474 FOREIGN TAX WITHHELD	337.	337.	
GS #23474 RECLAIMABLE TAX	379.		
PAYROLL TAXES	25,794.		25,794.
CSC FEES	55.		55.
GS #27979 FOREIGN TAX WITHHELD	1,185.	1,185.	
TOTALS	<u>28,099.</u>	<u>1,847.</u>	<u>25,849.</u>

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
MEMBERSHIP FEES	495.		495.
GS #27410 - ADR FEES	47.	47.	
GS #23474 DIVIDENDS CHARGED	24.	24.	
MISCELLANEOUS EXPENSES	87.		
TOTALS	653.	71.	495.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 8

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
GS ACCT# 030-23481-9	2,938,187.	3,015,535.
GS ACCT# 030-23474-4	2,820,676.	3,140,645.
GS ACCT# 030-27979-8	962,240.	576,966.
GS ACCT# 030-27410-4	702,364.	743,002.
GS ACCT# 030-23476-9	578,924.	653,364.
GS ACCT# 030-28562-1	4,285,000.	3,333,337.
GS ACCT# 030-26543-3	2,000,000.	2,459,829.
TOTALS	<u>14,287,391.</u>	<u>13,922,678.</u>

FORM 990PF, PART II - CORPORATE BONDSATTACHMENT 9DESCRIPTION

	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
--	------------------------------	-----------------------

GS ACCT# 030-23226-8	3,142,412.	2,803,560.
GS ACCT# 030-30582-5	1,336,015.	1,453,010.

TOTALS

4,478,427.4,256,570.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10

TITLE AND AVERAGE HOURS PER
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

CAROL A. AMMON
PO BOX 860
SARATOGA SPRINGS, NY 12866
PRESIDENT/DIR. - AS NEEDED

MARIE E. PINIZZOTTO
PO BOX 860
SARATOGA SPRINGS, NY 12866
CEO/EXEC. DIRECTOR
20.00

GRAND TOTALS

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 11

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
FEDERAL TAX REFUND - 2007			01	40,209.	
TOTALS				40,209.	

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2009

Name of estate or trust

THE CAROL A AMMON FOUNDATION, INC.

Employer identification number

20-2029151

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-76,498.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	-76,498.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-1,170,022.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	6,169.
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	-1,163,853.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

Part III Summary of Parts I and II**Caution:** Read the instructions *before* completing this part.

		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13	Net short-term gain or (loss)	13		-76,498.
14	Net long-term gain or (loss):			
a	Total for year	14a		-1,163,853.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14 ▶	15		-1,240,351.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 15, column (3) or b \$3,000	16	(3,000)
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Note: If the loss on line 15, column (3), is more than \$3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,300	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box. ☐ No. Enter the amount from line 23.	25	
26	Subtract line 25 from line 24.	26	
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (15)	30	
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).

OMB No 1545-0092

2009

Name of estate or trust

THE CAROL A AMMON FOUNDATION, INC.

Employer identification number

20-2029151

[illegible]

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b	-76,498.
--	----------

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

Employer identification number

[illegible]

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b	-1,170,022.
---	-------------

Schedule D-1 (Form 1041) 2009

AMMON FDN BROKERAGE/CASH 030-23226-8 (AD DI)

From 01-Jan-2009 To 31-Dec-2009

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
GSFIX	GOLDMAN SACHS CORE FIXED-INC MUTUAL FUND CLA'	20-Oct-08	1-May-09	35,629.45	300,000.00	306,413.30	(6,413.30)	Short-Term
GSFIX	GOLDMAN SACHS CORE FIXED-INC MUTUAL FUND CLA'	20-Oct-08	18-May-09	17,605.63	150,000.00	151,408.45	(1,408.45)	Short-Term
GSFIX	GOLDMAN SACHS CORE FIXED-INC MUTUAL FUND CLA'	20-Oct-08	10-Sep-09	18,418.20	170,000.00	158,396.54	11,603.46	Short-Term
GSFIX	GOLDMAN SACHS CORE FIXED-INC MUTUAL FUND CLA'	15-Dec-08	9-Dec-09	540.669	5,136.36	4,460.52	675.84	Short-Term
GSFIX	GOLDMAN SACHS CORE FIXED-INC MUTUAL FUND CLA'	31-Dec-08	9-Dec-09	438.687	4,167.53	3,702.52	465.01	Short-Term
GSFIX	GOLDMAN SACHS CORE FIXED-INC MUTUAL FUND CLA'	15-Dec-08	9-Dec-09	139.143	1,321.86	1,147.93	173.93	Short-Term
GSFIX	GOLDMAN SACHS CORE FIXED-INC MUTUAL FUND CLA'	30-Jan-09	9-Dec-09	459.666	4,366.83	3,842.81	524.02	Short-Term
GSFIX	GOLDMAN SACHS CORE FIXED-INC MUTUAL FUND CLA'	27-Feb-09	9-Dec-09	492.205	4,675.95	4,036.08	639.87	Short-Term
GSFIX	GOLDMAN SACHS CORE FIXED-INC MUTUAL FUND CLA'	31-Mar-09	9-Dec-09	491.359	4,667.91	4,078.28	589.63	Short-Term
GSFIX	GOLDMAN SACHS CORE FIXED-INC MUTUAL FUND CLA'	30-Apr-09	9-Dec-09	437.198	4,153.38	3,681.21	472.17	Short-Term
GSFIX	GOLDMAN SACHS CORE FIXED-INC MUTUAL FUND CLA'	29-May-09	9-Dec-09	283.677	2,694.93	2,448.13	246.80	Short-Term
GSFIX	GOLDMAN SACHS CORE FIXED-INC MUTUAL FUND CLA'	2-Jun-09	9-Dec-09	11,675.69	110,919.05	100,527.68	10,391.36	Short-Term
TOTAL SHORT TERM					762,103.80	744,143.45	17,960.34	
GSFIX	GOLDMAN SACHS CORE FIXED-INC MUTUAL FUND CLA'	20-Oct-08	9-Dec-09	11,425.21	108,539.48	98,256.79	10,282.69	Long-Term
GSFIX	GOLDMAN SACHS CORE FIXED-INC MUTUAL FUND CLA'	31-Oct-08	9-Dec-09	289.892	2,753.97	2,426.40	327.57	Long-Term
GSFIX	GOLDMAN SACHS CORE FIXED-INC MUTUAL FUND CLA'	18-Nov-08	9-Dec-09	25,429.98	241,584.76	207,000.00	34,584.76	Long-Term
GSFIX	GOLDMAN SACHS CORE FIXED-INC MUTUAL FUND CLA'	28-Nov-08	9-Dec-09	528.211	5,018.00	4,336.61	681.40	Long-Term
TOTAL LONG TERM					357,896.21	312,019.80	45,876.42	
GRAND TOTAL					1,120,000.01	1,056,163.25	63,836.76	

AMMON FDN LCV - GSAM 030-23481-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : GSAM: Large Cap Value (Strategic)

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
STT	STATE STREET CORPORATION (NEW) CMN	4-Jun-08	7-Jan-09	150	6,606.23	10,617.30	(4,011.07)	Short-Term
STT	STATE STREET CORPORATION (NEW) CMN	4-Jun-08	8-Jan-09	230	9,778.05	16,279.86	(6,501.81)	Short-Term
UPS	UNITED PARCEL SERVICE, INC. CLASS B COMMON	17-Jul-08	21-Jan-09	390	18,244.44	23,273.95	(5,029.51)	Short-Term
BAC	BANK OF AMERICA CORP CMN	19-Feb-08	22-Jan-09	780	4,466.80	33,589.84	(29,123.04)	Short-Term
C	CITIGROUP INC. CMN	22-Sep-08	22-Jan-09	560	1,726.02	11,098.53	(9,372.51)	Short-Term
C	CITIGROUP INC. CMN	22-Apr-08	22-Jan-09	700	2,157.52	17,576.16	(15,418.64)	Short-Term
C	CITIGROUP INC. CMN	30-Apr-08	22-Jan-09	270	832.19	6,845.90	(6,013.71)	Short-Term
STI	SUNTRUST BANKS INC \$1.00 PAR CMN	22-Aug-08	22-Jan-09	245	3,503.16	10,078.00	(6,574.84)	Short-Term
STI	SUNTRUST BANKS INC \$1.00 PAR CMN	22-Sep-08	22-Jan-09	349	4,990.22	18,679.62	(13,689.40)	Short-Term
ZMH	ZIMMER HLDGS INC CMN	28-Jul-08	22-Jan-09	286	11,259.32	19,589.88	(8,330.56)	Short-Term
SII	SMITH INTERNATIONAL INC CMN	1-Oct-08	23-Jan-09	382	9,418.61	21,612.27	(12,193.66)	Short-Term
SII	SMITH INTERNATIONAL INC CMN	5-Nov-08	23-Jan-09	330	8,136.50	11,456.68	(3,320.18)	Short-Term
NUE	NUCOR CORPORATION CMN	10-Dec-08	27-Jan-09	78	3,039.66	3,375.61	(335.95)	Short-Term
NUE	NUCOR CORPORATION CMN	11-Dec-08	27-Jan-09	306	11,924.81	13,186.86	(1,262.05)	Short-Term
HES	HESS CORPORATION CMN	3-Nov-08	3-Feb-09	31	1,708.91	1,808.10	(99.18)	Short-Term
HES	HESS CORPORATION CMN	3-Mar-08	3-Feb-09	50	2,756.31	4,730.96	(1,974.65)	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	16-Sep-08	9-Feb-09	111	6,207.37	9,423.85	(3,216.48)	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	16-Sep-08	10-Feb-09	87	4,705.95	7,386.26	(2,680.31)	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	16-Sep-08	24-Feb-09	148	7,193.91	12,565.13	(5,371.21)	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	24-Sep-08	24-Feb-09	30	1,458.23	2,206.21	(747.99)	Short-Term
WMB	WILLIAMS COMPANIES INC. (THE) CMN	21-Oct-08	24-Feb-09	632	7,078.23	12,518.21	(5,439.98)	Short-Term
GE	GENERAL ELECTRIC CO CMN	9-Oct-08	4-Mar-09	417	2,650.94	8,396.17	(5,745.24)	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	24-Sep-08	9-Mar-09	205	9,422.79	15,075.79	(5,653.00)	Short-Term
	GENENTECH INC. CMN	18-Sep-08	9-Mar-09	146	13,137.40	13,237.67	(100.27)	Short-Term
GOOG	GOOGLE, INC. CMN CLASS A	13-Oct-08	10-Mar-09	46	14,164.01	16,505.25	(2,341.24)	Short-Term
CPB	CAMPBELL SOUP CO CMN	10-Feb-09	7-Apr-09	118	3,100.58	3,560.54	(459.96)	Short-Term
MS	MORGAN STANLEY CMN	29-Dec-08	7-Apr-09	438	10,489.25	6,303.21	4,186.04	Short-Term
MSFT	MICROSOFT CORPORATION CMN	3-Oct-08	7-Apr-09	751	14,093.56	19,938.60	(5,845.04)	Short-Term
MSFT	MICROSOFT CORPORATION CMN	20-Nov-08	7-Apr-09	382	7,168.76	7,019.79	148.98	Short-Term
CPB	CAMPBELL SOUP CO CMN	10-Feb-09	8-Apr-09	594	15,577.83	17,923.42	(2,345.59)	Short-Term
TWC	TIME WARNER CABLE INC. CMN	23-May-08	8-Apr-09	24	638.48	1,165.86	(527.38)	Short-Term

AMMON FDN LCV - GSAM 030-23481-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

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Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
AMGN	AMGEN INC. CMN	27-May-08	13-Apr-09	269	12,817.12	11,571.63	1,245.49	Short-Term
PFE	PFIZER INC. CMN	29-Jan-09	14-Apr-09	1,860	24,789.44	28,136.03	(3,346.59)	Short-Term
BDX	BECTON DICKINSON & CO CMN	23-Oct-08	23-Apr-09	105	6,708.78	7,254.38	(545.60)	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	13-Mar-09	23-Apr-09	522	25,714.36	24,159.41	1,554.95	Short-Term
XOM	EXXON MOBIL CORPORATION CMN	29-Sep-08	30-Apr-09	132	8,846.44	10,401.13	(1,554.69)	Short-Term
AMGN	AMGEN INC. CMN	27-May-08	1-May-09	61	2,946.24	2,624.05	322.19	Short-Term
AMGN	AMGEN INC. CMN	24-Jun-08	1-May-09	220	10,625.79	10,118.00	507.79	Short-Term
AMGN	AMGEN INC. CMN	14-Jul-08	1-May-09	61	2,946.24	3,110.72	(164.47)	Short-Term
AMGN	AMGEN INC. CMN	15-Jul-08	7-May-09	154	7,226.20	8,029.00	(802.81)	Short-Term
AMGN	AMGEN INC. CMN	14-Jul-08	7-May-09	1	46.92	51.00	(4.07)	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	24-Sep-08	7-May-09	130	8,190.51	9,560.25	(1,369.74)	Short-Term
AMGN	AMGEN INC. CMN	30-Sep-08	8-May-09	170	8,019.79	9,987.28	(1,967.49)	Short-Term
AMGN	AMGEN INC. CMN	15-Jul-08	8-May-09	106	5,000.58	5,526.46	(525.88)	Short-Term
XOM	EXXON MOBIL CORPORATION CMN	29-Sep-08	8-May-09	247	17,458.30	19,462.71	(2,004.42)	Short-Term
XOM	EXXON MOBIL CORPORATION CMN	1-Oct-08	8-May-09	154	10,884.93	11,730.99	(846.06)	Short-Term
MOS	THE MOSAIC COMPANY CMN	9-Feb-09	11-May-09	115	5,164.82	5,211.20	(46.38)	Short-Term
MOS	THE MOSAIC COMPANY CMN	10-Feb-09	11-May-09	17	763.50	766.28	(2.78)	Short-Term
MOS	THE MOSAIC COMPANY CMN	10-Feb-09	12-May-09	162	7,323.57	7,302.17	21.40	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	27-Jan-09	14-May-09	164	10,102.32	8,988.23	1,114.09	Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	24-Sep-08	14-May-09	92	5,667.15	6,765.72	(1,098.57)	Short-Term
MSFT	MICROSOFT CORPORATION CMN	21-Jan-09	18-May-09	845	17,267.12	16,014.95	1,252.18	Short-Term
MSFT	MICROSOFT CORPORATION CMN	20-Nov-08	18-May-09	243	4,965.58	4,465.47	500.11	Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	18-Nov-08	18-May-09	217	8,926.04	8,802.48	123.56	Short-Term
KFT	KRAFT FOODS INC. CMN CLASS A	7-Apr-09	2-Jun-09	596	16,086.16	13,396.95	2,689.21	Short-Term
AMTD	TD AMERITRADE HOLDING CORPORAT CMN	18-Nov-08	3-Jun-09	889	15,959.01	10,139.93	5,819.08	Short-Term
CB	CHUBB CORP CMN	28-Oct-08	5-Jun-09	343	14,088.42	15,511.97	(1,423.55)	Short-Term
ZMH	ZIMMER HLDGS INC CMN	12-Sep-08	25-Jun-09	48	2,078.33	3,440.13	(1,361.80)	Short-Term
ZMH	ZIMMER HLDGS INC CMN	15-Sep-08	25-Jun-09	163	7,057.67	11,434.60	(4,376.93)	Short-Term
ZMH	ZIMMER HLDGS INC CMN	28-Jul-08	25-Jun-09	54	2,338.12	3,698.79	(1,360.67)	Short-Term
RIG	TRANSOCEAN LTD. CMN	20-Feb-09	29-Jun-09	156	11,793.31	9,132.66	2,660.65	Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	18-Nov-08	2-Jul-09	267	10,712.48	10,830.70	(118.22)	Short-Term

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From 01-Jan-2009 To 31-Dec-2009

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Realized Gains/Losses

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BA	BOEING COMPANY CMN	25-Jul-08	14-Jul-09	280	11,293.42	17,745.81	(6,452.39)	Short-Term
VIAB	VIACOM INC. CMN CLASS B	21-Jul-08	14-Jul-09	105	2,205.09	3,097.61	(892.52)	Short-Term
VIAB	VIACOM INC. CMN CLASS B	18-Jul-08	14-Jul-09	336	7,056.29	9,919.73	(2,863.44)	Short-Term
VIAB	VIACOM INC. CMN CLASS B	21-Jul-08	15-Jul-09	238	5,116.67	7,021.24	(1,904.57)	Short-Term
MOS	THE MOSAIC COMPANY CMN	10-Feb-09	17-Jul-09	56	2,907.07	2,524.21	382.87	Short-Term
MOS	THE MOSAIC COMPANY CMN	9-Apr-09	17-Jul-09	209	10,849.62	9,280.69	1,568.93	Short-Term
MOS	THE MOSAIC COMPANY CMN	9-Apr-09	20-Jul-09	131	6,425.59	5,817.08	608.51	Short-Term
T	AT&T INC CMN	3-Mar-09	28-Jul-09	674	17,213.92	15,441.00	1,772.92	Short-Term
DOW	DOW CHEMICAL CO CMN	8-May-09	7-Aug-09	530	12,223.06	9,233.34	2,989.72	Short-Term
DOW	DOW CHEMICAL CO CMN	11-May-09	7-Aug-09	103	2,375.43	1,764.40	611.02	Short-Term
V	VISA INC. CMN CLASS A	24-Oct-08	19-Aug-09	230	15,292.17	11,009.94	4,282.23	Short-Term
V	VISA INC. CMN CLASS A	4-Aug-09	19-Aug-09	50	3,324.38	3,434.22	(109.84)	Short-Term
NKE	NIKE CLASS-B CMN CLASS B	4-Aug-09	20-Aug-09	70	3,982.93	3,949.40	33.53	Short-Term
NKE	NIKE CLASS-B CMN CLASS B	22-Jan-09	20-Aug-09	344	19,573.26	15,720.83	3,852.43	Short-Term
NKE	NIKE CLASS-B CMN CLASS B	17-Apr-09	20-Aug-09	186	10,583.22	9,968.67	614.55	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	13-Mar-09	25-Aug-09	513	27,446.64	23,742.87	3,703.78	Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	4-Aug-09	25-Aug-09	70	3,745.16	3,897.53	(152.37)	Short-Term
PRE	PARTNERRE LTD BERMUDA CMN	4-Aug-09	31-Aug-09	50	3,689.19	3,432.57	256.62	Short-Term
GOOG	GOOGLE, INC. CMN CLASS A	3-Aug-09	10-Sep-09	43	19,937.37	19,485.34	452.03	Short-Term
GOOG	GOOGLE, INC. CMN CLASS A	4-Aug-09	10-Sep-09	10	4,636.60	4,501.50	135.10	Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	29-Jun-09	10-Sep-09	342	7,489.40	6,835.86	653.54	Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	15-May-09	10-Sep-09	929	20,344.01	16,669.05	3,674.96	Short-Term
TWX	TIME WARNER INC. CMN	4-Aug-09	11-Sep-09	120	3,533.87	3,290.40	243.47	Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	4-Aug-09	11-Sep-09	250	5,506.68	4,746.05	760.63	Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	29-Jun-09	11-Sep-09	197	4,339.27	3,937.62	401.65	Short-Term
ATVI	ACTIVISION BLIZZARD INC CMN	18-May-09	15-Sep-09	1,373	15,763.49	16,004.65	(241.16)	Short-Term
ORCL	ORACLE CORPORATION CMN	24-Mar-09	16-Sep-09	1,135	25,083.71	20,464.73	4,618.98	Short-Term
ORCL	ORACLE CORPORATION CMN	4-Aug-09	16-Sep-09	160	3,536.03	3,512.74	23.29	Short-Term
MS	MORGAN STANLEY CMN	29-Dec-08	21-Sep-09	241	7,619.89	3,468.21	4,151.68	Short-Term
MS	MORGAN STANLEY CMN	30-Dec-08	21-Sep-09	408	12,900.06	6,017.43	6,882.63	Short-Term
MS	MORGAN STANLEY CMN	13-Feb-09	21-Sep-09	37	1,169.86	853.36	316.49	Short-Term

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TMO	THERMO FISHER SCIENTIFIC INC CMN	4-Aug-09	30-Sep-09	60	2,607.93	2,751.65	(143.72)	Short-Term
TMO	THERMO FISHER SCIENTIFIC INC CMN	4-May-09	30-Sep-09	434	18,864.02	16,121.10	2,742.92	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	3-Mar-09	1-Oct-09	485	7,642.66	6,119.00	1,523.66	Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	18-Nov-08	5-Oct-09	27	1,291.04	1,095.24	195.80	Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	25-Nov-08	5-Oct-09	230	10,997.78	8,935.29	2,062.49	Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	4-Dec-08	5-Oct-09	248	11,858.47	9,277.38	2,581.09	Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	31-Dec-08	5-Oct-09	325	15,540.34	11,202.07	4,338.27	Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	4-Aug-09	5-Oct-09	110	5,259.81	5,266.71	(6.90)	Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	3-Dec-08	5-Oct-09	25	1,195.41	948.16	247.25	Short-Term
HES	HESS CORPORATION CMN	3-Nov-08	8-Oct-09	179	10,142.78	10,440.30	(297.52)	Short-Term
HES	HESS CORPORATION CMN	12-Dec-08	8-Oct-09	210	11,899.35	9,808.81	2,090.54	Short-Term
HES	HESS CORPORATION CMN	17-Dec-08	8-Oct-09	150	8,499.53	7,869.62	629.92	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	3-Mar-09	9-Oct-09	584	9,034.48	7,368.04	1,666.44	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	30-Mar-09	9-Oct-09	243	3,759.21	3,317.58	441.63	Short-Term
RIG	TRANSOCEAN LTD. CMN	20-Feb-09	13-Oct-09	97	8,495.46	5,678.64	2,816.82	Short-Term
RIG	TRANSOCEAN LTD. CMN	26-Mar-09	13-Oct-09	155	13,575.22	10,000.91	3,574.31	Short-Term
RIG	TRANSOCEAN LTD. CMN	4-Aug-09	13-Oct-09	12	1,050.98	973.13	77.85	Short-Term
WM	WASTE MANAGEMENT INC CMN	15-Oct-08	13-Oct-09	81	2,348.82	2,467.71	(118.89)	Short-Term
WM	WASTE MANAGEMENT INC CMN	4-Aug-09	13-Oct-09	80	2,319.82	2,271.82	48.00	Short-Term
WM	WASTE MANAGEMENT INC CMN	13-Oct-08	13-Oct-09	263	7,626.42	7,843.32	(216.90)	Short-Term
WM	WASTE MANAGEMENT INC CMN	14-Oct-08	13-Oct-09	62	1,797.86	1,876.85	(78.99)	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	30-Mar-09	14-Oct-09	536	8,231.14	7,317.80	913.35	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	27-May-09	14-Oct-09	369	5,666.59	5,321.98	344.61	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	8-Apr-09	14-Oct-09	744	11,425.32	10,468.38	956.94	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	3-Aug-09	15-Oct-09	889	13,740.00	13,395.99	344.01	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	27-May-09	15-Oct-09	490	7,573.23	7,067.12	506.11	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	4-Aug-09	15-Oct-09	480	7,418.68	7,484.40	(65.72)	Short-Term
RIG	TRANSOCEAN LTD. CMN	4-Aug-09	16-Oct-09	28	2,554.85	2,270.65	284.21	Short-Term
RIG	TRANSOCEAN LTD. CMN	10-Sep-09	16-Oct-09	170	15,511.61	13,864.93	1,646.68	Short-Term
	WYETH CMN	4-Aug-09	16-Oct-09	110	5,537.28	5,173.74	363.54	Short-Term
MS	MORGAN STANLEY CMN	4-Aug-09	21-Oct-09	150	5,225.55	4,378.88	846.67	Short-Term

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MS	MORGAN STANLEY CMN	13-Feb-09	21-Oct-09	506	17,627.52	11,670.34	5,957.18	Short-Term
STT	STATE STREET CORPORATION (NEW) CMN	23-Apr-09	22-Oct-09	408	18,659.18	14,479.80	4,179.38	Short-Term
ATVI	ACTIVISION BLIZZARD INC CMN	18-May-09	28-Oct-09	478	5,494.32	5,571.90	(77.58)	Short-Term
ATVI	ACTIVISION BLIZZARD INC CMN	8-Jun-09	28-Oct-09	593	6,816.18	7,521.67	(705.49)	Short-Term
ATVI	ACTIVISION BLIZZARD INC CMN	9-Jun-09	28-Oct-09	262	3,011.53	3,338.40	(326.87)	Short-Term
ATVI	ACTIVISION BLIZZARD INC CMN	4-Aug-09	28-Oct-09	300	3,448.32	3,522.06	(73.74)	Short-Term
BDX	BECTON DICKINSON & CO CMN	31-Oct-08	28-Oct-09	55	3,679.63	3,752.80	(73.17)	Short-Term
BDX	BECTON DICKINSON & CO CMN	3-Nov-08	28-Oct-09	126	8,429.71	8,675.52	(245.81)	Short-Term
BDX	BECTON DICKINSON & CO CMN	4-Aug-09	28-Oct-09	40	2,676.10	2,618.80	57.30	Short-Term
PFE	PFIZER INC. CMN	26-Jan-07	28-Oct-09	123	2,135.01	2,172.18	(37.17)	Short-Term
PFE	PFIZER INC. CMN	15-Feb-07	28-Oct-09	271	4,703.97	4,785.86	(81.89)	Short-Term
PFE	PFIZER INC. CMN	6-Dec-07	28-Oct-09	87	1,510.13	1,536.42	(26.29)	Short-Term
PFE	PFIZER INC. CMN	4-Aug-09	28-Oct-09	103	1,787.85	1,818.98	(31.13)	Short-Term
WFC	WELLS FARGO & CO (NEW) CMN	8-May-09	28-Oct-09	635	17,638.17	16,498.89	1,139.28	Short-Term
WFC	WELLS FARGO & CO (NEW) CMN	4-Aug-09	28-Oct-09	100	2,777.66	2,567.00	210.66	Short-Term
HES	HESS CORPORATION CMN	17-Dec-08	29-Oct-09	152	8,562.89	7,974.54	588.35	Short-Term
HES	HESS CORPORATION CMN	23-Dec-08	29-Oct-09	208	11,717.64	10,011.60	1,706.04	Short-Term
HES	HESS CORPORATION CMN	12-May-09	29-Oct-09	150	8,450.22	9,559.32	(1,109.10)	Short-Term
HES	HESS CORPORATION CMN	4-Aug-09	29-Oct-09	150	8,450.22	8,435.45	14.77	Short-Term
PFE	PFIZER INC. CMN	4-Aug-09	29-Oct-09	5	86.59	88.30	(1.71)	Short-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	27-Aug-09	30-Oct-09	411	23,854.16	21,818.13	2,036.03	Short-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	28-Aug-09	30-Oct-09	126	7,312.95	6,650.16	662.79	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	23-Jan-09	3-Nov-09	68	4,461.19	4,116.33	344.86	Short-Term
PCG	P G & E CORPORATION CMN	4-Aug-09	3-Nov-09	80	3,271.69	3,234.40	37.29	Short-Term
MON	MONSANTO COMPANY CMN	28-Oct-09	11-Nov-09	303	22,749.19	21,704.84	1,044.35	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	30-Jan-09	13-Nov-09	155	10,408.48	9,628.50	779.98	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	23-Jan-09	13-Nov-09	82	5,506.42	4,963.80	542.62	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	30-Jan-09	19-Nov-09	7	485.17	434.84	50.34	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	4-Jun-09	19-Nov-09	161	11,158.99	10,576.22	582.77	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	11-Jun-09	19-Nov-09	141	9,772.78	9,440.29	332.49	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	4-Aug-09	19-Nov-09	130	9,010.37	7,878.42	1,131.95	Short-Term

AMMON FDN LCV - GSAM 030-23481-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : GSAM: Large Cap Value (Strategic)

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
HAL	HALLIBURTON COMPANY CMN	10-Sep-09	3-Dec-09	458	13,120.31	11,766.60	1,353.71	Short-Term
HD	THE HOME DEPOT, INC. CMN	4-Aug-09	7-Dec-09	100	2,820.89	2,628.00	192.89	Short-Term
HD	THE HOME DEPOT, INC. CMN	23-Dec-08	7-Dec-09	804	22,679.99	19,064.05	3,615.94	Short-Term
CVX	CHEVRON CORPORATION CMN	29-Oct-09	23-Dec-09	356	27,539.60	27,579.16	(39.56)	Short-Term
VIAB	VIACOM INC. CMN CLASS B	4-Aug-09	24-Dec-09	120	3,546.46	2,844.73	701.72	Short-Term
VIAB	VIACOM INC. CMN CLASS B	11-Sep-09	24-Dec-09	88	2,600.73	2,309.24	291.50	Short-Term
VIAB	VIACOM INC. CMN CLASS B	11-Sep-09	28-Dec-09	248	7,346.47	6,507.84	838.63	Short-Term
JCP	J.C. PENNEY CO INC (HLDNG CO) CMN	7-May-09	29-Dec-09	526	14,235.79	16,460.01	(2,224.22)	Short-Term
JCP	J.C. PENNEY CO INC (HLDNG CO) CMN	4-Aug-09	29-Dec-09	80	2,165.14	2,473.60	(308.46)	Short-Term
TOTAL SHORT TERM					1,387,715.88	1,468,494.97	(80,779.08)	
SVU	GENENTECH INC. CMN	6-Nov-07	9-Jan-09	180	15,223.50	13,552.22	1,671.28	Long-Term
SVU	GENENTECH INC. CMN	7-Nov-07	9-Jan-09	68	5,751.10	5,109.64	641.46	Long-Term
SVU	SUPervalu INC CMN	16-Jan-07	21-Jan-09	628	11,576.36	21,884.23	(10,307.87)	Long-Term
SVU	SUPervalu INC CMN	8-Feb-07	21-Jan-09	560	10,322.87	21,453.99	(11,131.12)	Long-Term
SVU	SUPervalu INC CMN	12-Jan-07	21-Jan-09	29	534.58	1,019.31	(484.73)	Long-Term
SVU	SUPervalu INC CMN	7-Feb-07	21-Jan-09	134	2,470.12	5,143.90	(2,673.78)	Long-Term
SVU	SUPervalu INC CMN	6-Dec-07	21-Jan-09	144	2,654.45	5,692.32	(3,037.87)	Long-Term
WMB	WILLIAMS COMPANIES INC. (THE) CMN	1-Jun-07	21-Jan-09	520	6,928.23	16,595.90	(9,667.68)	Long-Term
WMB	WILLIAMS COMPANIES INC. (THE) CMN	6-Oct-06	21-Jan-09	300	3,997.05	7,069.98	(3,072.93)	Long-Term
BAC	BANK OF AMERICA CORP CMN	18-Sep-06	22-Jan-09	153	876.18	7,937.36	(7,061.18)	Long-Term
BAC	BANK OF AMERICA CORP CMN	21-Aug-06	22-Jan-09	409	2,342.20	21,476.59	(19,134.38)	Long-Term
C	CITIGROUP INC. CMN	23-Apr-07	22-Jan-09	470	1,448.62	24,959.40	(23,510.78)	Long-Term
C	CITIGROUP INC. CMN	15-Mar-07	22-Jan-09	249	767.46	12,447.31	(11,679.85)	Long-Term
C	CITIGROUP INC. CMN	4-May-07	22-Jan-09	190	585.61	10,284.62	(9,699.01)	Long-Term
C	CITIGROUP INC. CMN	6-Dec-07	22-Jan-09	126	388.36	4,275.18	(3,886.82)	Long-Term
HES	HESS CORPORATION CMN	29-Oct-07	26-Jan-09	77	4,742.74	5,519.45	(776.71)	Long-Term
HES	HESS CORPORATION CMN	8-Nov-07	26-Jan-09	100	6,159.40	7,066.11	(906.71)	Long-Term
HES	HESS CORPORATION CMN	4-Dec-07	26-Jan-09	89	5,481.87	6,592.69	(1,110.82)	Long-Term
HPQ	HEWLETT-PACKARD CO. CMN	9-Mar-07	26-Jan-09	367	13,144.87	14,667.93	(1,523.06)	Long-Term
HPQ	HEWLETT-PACKARD CO. CMN	30-Mar-07	26-Jan-09	21	752.16	839.70	(87.54)	Long-Term
HES	HESS CORPORATION CMN	4-Dec-07	3-Feb-09	31	1,708.91	2,296.33	(587.42)	Long-Term

AMMON FDN LCV - GSAM 030-23481-9 (AD DI)(SA)**From 01-Jan-2009 To 31-Dec-2009****Separate Account Strategy : GSAM: Large Cap Value (Strategic)****Base Currency :USD and Custodian :Goldman Sachs & Company****Realized Gains/Losses**

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
HES	HESS CORPORATION CMN	6-Dec-07	3-Feb-09	53	2,921.69	4,159.44	(1,237.75)	Long-Term
HPQ	HEWLETT-PACKARD CO. CMN	16-Apr-07	9-Feb-09	48	1,756.19	1,970.34	(214.15)	Long-Term
HPQ	HEWLETT-PACKARD CO. CMN	13-Apr-07	9-Feb-09	62	2,268.41	2,550.82	(282.41)	Long-Term
HPQ	HEWLETT-PACKARD CO. CMN	30-Mar-07	9-Feb-09	170	6,219.84	6,797.61	(577.77)	Long-Term
NWL	NEWELL RUBBERMAID INC CMN	19-Jan-06	11-Feb-09	162	1,250.24	3,886.38	(2,636.14)	Long-Term
NWL	NEWELL RUBBERMAID INC CMN	21-Aug-06	11-Feb-09	1,326	10,233.48	35,404.20	(25,170.72)	Long-Term
NWL	NEWELL RUBBERMAID INC CMN	5-Sep-07	12-Feb-09	40	307.82	1,037.98	(730.16)	Long-Term
NWL	NEWELL RUBBERMAID INC CMN	21-Aug-06	12-Feb-09	6	46.17	160.20	(114.03)	Long-Term
NWL	NEWELL RUBBERMAID INC CMN	4-Sep-07	12-Feb-09	240	1,846.93	6,265.68	(4,418.75)	Long-Term
NWL	NEWELL RUBBERMAID INC CMN	6-Dec-07	12-Feb-09	188	1,446.76	5,047.80	(3,601.04)	Long-Term
WMB	WILLIAMS COMPANIES INC. (THE) CMN	1-Jun-07	24-Feb-09	300	3,359.92	9,574.56	(6,214.64)	Long-Term
WMB	WILLIAMS COMPANIES INC. (THE) CMN	6-Dec-07	24-Feb-09	198	2,217.55	7,038.23	(4,820.68)	Long-Term
GE	GENERAL ELECTRIC CO CMN	20-Jun-07	4-Mar-09	169	1,074.36	6,675.25	(5,600.89)	Long-Term
GE	GENERAL ELECTRIC CO CMN	26-Jun-07	4-Mar-09	370	2,352.15	14,118.83	(11,766.68)	Long-Term
GE	GENERAL ELECTRIC CO CMN	25-Jun-07	4-Mar-09	600	3,814.30	23,072.22	(19,257.92)	Long-Term
GE	GENERAL ELECTRIC CO CMN	6-Dec-07	4-Mar-09	221	1,404.93	8,181.42	(6,776.49)	Long-Term
JCI	JOHNSON CONTROLS INC CMN	11-Jun-07	4-Mar-09	67	745.87	2,474.41	(1,728.54)	Long-Term
JCI	JOHNSON CONTROLS INC CMN	21-Jun-07	4-Mar-09	36	400.77	1,366.34	(965.57)	Long-Term
JCI	JOHNSON CONTROLS INC CMN	22-Jun-07	4-Mar-09	225	2,504.80	8,539.52	(6,034.72)	Long-Term
JCI	JOHNSON CONTROLS INC CMN	25-Jun-07	4-Mar-09	294	3,272.94	11,162.34	(7,889.40)	Long-Term
JCI	JOHNSON CONTROLS INC CMN	26-Jun-07	4-Mar-09	30	333.97	1,140.01	(806.04)	Long-Term
JCI	JOHNSON CONTROLS INC CMN	6-Dec-07	4-Mar-09	78	868.33	2,950.74	(2,082.41)	Long-Term
	GENENTECH INC. CMN	7-Nov-07	9-Mar-09	122	10,977.82	9,167.29	1,810.54	Long-Term
	TIME WARNER INC. CMN	21-Aug-06	13-Mar-09	789	6,453.83	12,939.60	(6,485.77)	Long-Term
	TIME WARNER INC. CMN	9-Oct-06	13-Mar-09	550	4,498.86	10,349.19	(5,850.32)	Long-Term
	TIME WARNER INC. CMN	26-Feb-07	13-Mar-09	547	4,474.33	11,634.20	(7,159.87)	Long-Term
	TIME WARNER INC. CMN	16-Mar-07	13-Mar-09	29	237.21	564.22	(327.00)	Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	23-Aug-07	17-Mar-09	824	15,314.69	24,566.50	(9,251.81)	Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	24-Aug-07	17-Mar-09	320	5,947.45	9,566.62	(3,619.17)	Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	4-Dec-07	17-Mar-09	85	1,579.79	3,016.10	(1,436.30)	Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	5-Dec-07	18-Mar-09	355	6,586.88	12,661.61	(6,074.73)	Long-Term

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Realized Gains/Losses

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UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	4-Dec-07	18-Mar-09	630	11,689.39	22,354.60	(10,665.21)	Long-Term
S	SPRINT NEXTEL CORPORATION CMN	7-Nov-06	24-Mar-09	270	1,006.82	5,270.19	(4,263.36)	Long-Term
S	SPRINT NEXTEL CORPORATION CMN	27-Oct-06	24-Mar-09	902	3,363.54	17,221.62	(13,858.08)	Long-Term
S	SPRINT NEXTEL CORPORATION CMN	8-Nov-06	25-Mar-09	779	2,945.38	15,239.81	(12,294.43)	Long-Term
S	SPRINT NEXTEL CORPORATION CMN	7-Nov-06	25-Mar-09	1,021	3,860.38	19,929.11	(16,068.73)	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	4-Apr-07	26-Mar-09	10	485.10	712.85	(227.75)	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	9-Apr-07	26-Mar-09	160	7,761.66	11,651.36	(3,889.70)	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	4-May-07	26-Mar-09	18	873.19	1,379.61	(506.42)	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	11-May-07	27-Mar-09	21	971.70	1,575.71	(604.01)	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	4-May-07	27-Mar-09	152	7,033.25	11,650.04	(4,616.79)	Long-Term
TWC	TIME WARNER CABLE INC. CMN	16-Mar-07	27-Mar-09	0.08	1.94	-	1.94	Long-Term
TWX	TIME WARNER INC. CMN	16-Mar-07	2-Apr-09	0.33	7.33	-	7.33	Long-Term
TWC	TIME WARNER CABLE INC. CMN	16-Mar-07	8-Apr-09	19	505.46	1,113.81	(608.35)	Long-Term
TWC	TIME WARNER CABLE INC. CMN	23-Apr-07	8-Apr-09	58	1,542.99	3,578.73	(2,035.74)	Long-Term
TWC	TIME WARNER CABLE INC. CMN	6-Dec-07	8-Apr-09	34	904.51	1,732.13	(827.62)	Long-Term
TWC	TIME WARNER CABLE INC. CMN	25-Sep-07	8-Apr-09	213	5,666.48	11,354.69	(5,688.20)	Long-Term
TWC	TIME WARNER CABLE INC. CMN	19-Feb-08	8-Apr-09	23	611.87	1,111.51	(499.64)	Long-Term
RE	EVEREST RE GROUP LTD CMN	21-Aug-06	16-Apr-09	96	7,156.63	9,117.12	(1,960.49)	Long-Term
RE	EVEREST RE GROUP LTD CMN	14-Feb-07	17-Apr-09	38	2,774.08	3,681.97	(907.88)	Long-Term
RE	EVEREST RE GROUP LTD CMN	21-Aug-06	17-Apr-09	12	876.03	1,139.64	(263.61)	Long-Term
WMT	WAL MART STORES INC CMN	4-Apr-08	17-Apr-09	306	15,599.63	16,741.41	(1,141.78)	Long-Term
WMT	WAL MART STORES INC CMN	2-Apr-08	17-Apr-09	5	254.90	272.29	(17.39)	Long-Term
AMTD	TD AMERITRADE HOLDING CORPORAT CMN	2-Apr-08	23-Apr-09	871	14,359.72	15,629.41	(1,269.69)	Long-Term
V	VISA INC. CMN CLASS A	19-Mar-08	23-Apr-09	296	17,182.08	17,665.37	(483.29)	Long-Term
T	AT&T INC CMN	6-Dec-07	7-May-09	194	4,947.30	7,472.88	(2,525.58)	Long-Term
T	AT&T INC CMN	13-Mar-07	7-May-09	6	153.01	223.62	(70.61)	Long-Term
T	AT&T INC CMN	16-Mar-07	7-May-09	180	4,590.28	6,666.64	(2,076.36)	Long-Term
T	AT&T INC CMN	24-Apr-07	7-May-09	10	255.02	393.63	(138.62)	Long-Term
T	AT&T INC CMN	23-Apr-07	7-May-09	280	7,140.43	11,139.16	(3,998.73)	Long-Term
T	WYETH CMN	18-Jan-07	8-May-09	211	9,290.21	10,910.28	(1,620.07)	Long-Term
	WYETH CMN	19-Jan-07	8-May-09	127	5,591.74	6,614.57	(1,022.83)	Long-Term

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EOG	EOG RESOURCES INC CMN	19-Mar-08	12-May-09	101	7,247.08	11,439.67	(4,192.59)	Long-Term
EOG	EOG RESOURCES INC CMN	20-Mar-08	12-May-09	35	2,511.37	3,910.00	(1,398.64)	Long-Term
TWX	TIME WARNER INC. CMN	16-Mar-07	27-May-09	77	1,814.90	3,380.46	(1,565.56)	Long-Term
TWX	TIME WARNER INC. CMN	23-Apr-07	27-May-09	230	5,421.12	10,861.59	(5,440.47)	Long-Term
TWX	TIME WARNER INC. CMN	25-Sep-07	27-May-09	231	5,444.69	9,365.54	(3,920.84)	Long-Term
AMTD	TD AMERITRADE HOLDING CORPORAT CMN	2-Apr-08	3-Jun-09	39	700.11	699.82	0.29	Long-Term
EOG	EOG RESOURCES INC CMN	1-May-08	4-Jun-09	125	9,168.51	15,492.71	(6,324.20)	Long-Term
EOG	EOG RESOURCES INC CMN	20-Mar-08	4-Jun-09	41	3,007.27	4,580.29	(1,573.02)	Long-Term
LH	LABORATORY CORPORATION OF AMER CMN	8-Feb-08	5-Jun-09	108	6,631.79	8,450.14	(1,818.35)	Long-Term
LH	LABORATORY CORPORATION OF AMER CMN	11-Feb-08	5-Jun-09	10	614.05	789.76	(175.70)	Long-Term
LH	LABORATORY CORPORATION OF AMER CMN	11-Feb-08	8-Jun-09	80	4,881.15	6,318.04	(1,436.89)	Long-Term
LH	LABORATORY CORPORATION OF AMER CMN	25-Feb-08	8-Jun-09	34	2,074.49	2,693.00	(618.51)	Long-Term
WM	WASTE MANAGEMENT INC CMN	3-Jan-07	8-Jun-09	207	5,817.19	7,712.40	(1,895.21)	Long-Term
WM	WASTE MANAGEMENT INC CMN	5-Nov-07	8-Jun-09	98	2,754.03	3,496.68	(742.65)	Long-Term
WM	WASTE MANAGEMENT INC CMN	5-Nov-07	9-Jun-09	97	2,700.18	3,461.00	(760.82)	Long-Term
WM	WASTE MANAGEMENT INC CMN	6-Dec-07	9-Jun-09	103	2,867.21	3,543.20	(675.99)	Long-Term
WM	WASTE MANAGEMENT INC CMN	8-Feb-08	9-Jun-09	277	7,710.84	9,011.58	(1,300.75)	Long-Term
JNJ	JOHNSON & JOHNSON CMN	16-Aug-07	9-Jul-09	193	10,908.24	11,833.21	(924.97)	Long-Term
PM	PHILIP MORRIS INTL INC CMN	10-Aug-07	14-Jul-09	177	7,550.34	8,234.46	(684.12)	Long-Term
PM	PHILIP MORRIS INTL INC CMN	21-Aug-06	14-Jul-09	112	4,777.62	4,979.18	(201.56)	Long-Term
LH	LABORATORY CORPORATION OF AMER CMN	25-Feb-08	20-Jul-09	206	13,763.49	16,316.39	(2,552.90)	Long-Term
LH	LABORATORY CORPORATION OF AMER CMN	1-Jul-08	20-Jul-09	30	2,004.39	2,067.37	(62.98)	Long-Term
LH	LABORATORY CORPORATION OF AMER CMN	1-Jul-08	21-Jul-09	140	9,441.71	9,647.75	(206.04)	Long-Term
T	AT&T INC CMN	6-Dec-07	28-Jul-09	206	5,261.23	7,935.12	(2,673.89)	Long-Term
MTB	M&T BANK CORPORATION CMN	26-Jul-07	5-Aug-09	100	5,990.17	10,441.16	(4,450.99)	Long-Term
MTB	M&T BANK CORPORATION CMN	8-Aug-07	5-Aug-09	80	4,792.13	8,705.35	(3,913.22)	Long-Term
MTB	M&T BANK CORPORATION CMN	7-May-08	5-Aug-09	131	7,847.12	12,041.94	(4,194.82)	Long-Term
V	VISA INC. CMN CLASS A	19-Mar-08	19-Aug-09	115	7,646.08	6,863.24	782.85	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	11-May-07	27-Aug-09	99	6,124.49	7,428.33	(1,303.85)	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	18-May-07	27-Aug-09	121	7,485.48	9,405.34	(1,919.86)	Long-Term
PRE	PARTNERRE LTD BERMUDA CMN	21-Aug-06	28-Aug-09	217	16,115.34	13,835.81	2,279.53	Long-Term

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PRE	PARTNERRE LTD BERMUDA CMN	19-Jan-06	28-Aug-09	105	7,797.75	6,933.15	864.60	Long-Term
PRE	PARTNERRE LTD BERMUDA CMN	21-Aug-06	31-Aug-09	77	5,681.36	4,909.48	771.87	Long-Term
PRE	PARTNERRE LTD BERMUDA CMN	6-Dec-07	31-Aug-09	32	2,361.08	2,667.52	(306.44)	Long-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	29-Oct-07	10-Sep-09	237	11,500.37	12,560.38	(1,060.01)	Long-Term
TWX	TIME WARNER INC. CMN	25-Sep-07	11-Sep-09	619	18,228.87	25,096.40	(6,867.52)	Long-Term
TWX	TIME WARNER INC. CMN	6-Dec-07	11-Sep-09	135	3,975.60	5,257.07	(1,281.47)	Long-Term
TWX	TIME WARNER INC. CMN	23-May-08	11-Sep-09	96	2,827.10	3,538.43	(711.34)	Long-Term
TWX	TIME WARNER INC. CMN	19-Feb-08	11-Sep-09	90	2,650.40	3,373.49	(723.09)	Long-Term
ETR	ENTERGY CORPORATION CMN	21-Aug-06	30-Sep-09	393	31,369.15	30,563.37	805.78	Long-Term
	WYETH CMN	23-Jan-07	6-Oct-09	183	8,935.00	9,172.84	(237.84)	Long-Term
	WYETH CMN	19-Jan-07	6-Oct-09	113	5,517.24	5,885.40	(368.16)	Long-Term
	WYETH CMN	26-Jan-07	6-Oct-09	95	4,638.39	4,864.65	(226.26)	Long-Term
WM	WASTE MANAGEMENT INC CMN	8-Feb-08	13-Oct-09	213	6,176.53	6,929.49	(752.96)	Long-Term
PFE	PFIZER INC. CMN	26-Jan-07	16-Oct-09	0.03	0.53	-	0.53	Long-Term
	WYETH CMN	15-Feb-07	16-Oct-09	275	13,860.86	13,946.54	(85.68)	Long-Term
	WYETH CMN	26-Jan-07	16-Oct-09	125	6,297.18	6,400.85	(103.67)	Long-Term
	WYETH CMN	6-Dec-07	16-Oct-09	88	4,440.42	4,305.36	135.06	Long-Term
BDX	BECTON DICKINSON & CO CMN	23-Oct-08	28-Oct-09	65	4,348.66	4,490.80	(142.15)	Long-Term
BDX	BECTON DICKINSON & CO CMN	24-Oct-08	28-Oct-09	75	5,017.68	5,030.70	(13.02)	Long-Term
PM	PHILIP MORRIS INTL INC CMN	20-Aug-07	28-Oct-09	238	11,703.59	11,113.45	590.14	Long-Term
PM	PHILIP MORRIS INTL INC CMN	10-Aug-07	28-Oct-09	113	5,556.75	5,257.03	299.72	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	6-Dec-07	3-Nov-09	62	4,067.56	5,505.05	(1,437.49)	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	18-May-07	3-Nov-09	19	1,246.51	1,476.87	(230.36)	Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	29-Jan-08	3-Nov-09	100	6,560.57	8,398.08	(1,837.51)	Long-Term
PCG	P G & E CORPORATION CMN	30-Aug-07	3-Nov-09	442	18,076.11	19,584.67	(1,508.56)	Long-Term
PCG	P G & E CORPORATION CMN	6-Dec-07	3-Nov-09	111	4,539.48	5,173.33	(633.85)	Long-Term
VIAB	VIACOM INC. CMN CLASS B	21-Jul-08	2-Dec-09	123	3,691.02	3,628.62	62.39	Long-Term
VIAB	VIACOM INC. CMN CLASS B	15-Oct-08	2-Dec-09	509	15,274.20	9,412.89	5,861.32	Long-Term
BEN	FRANKLIN RESOURCES INC CMN	22-Oct-08	15-Dec-09	29	3,040.15	1,859.11	1,181.04	Long-Term
BEN	FRANKLIN RESOURCES INC CMN	22-Oct-08	16-Dec-09	75	7,776.61	4,808.04	2,968.57	Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	22-Jan-08	16-Dec-09	80	2,582.70	2,555.09	27.62	Long-Term

AMMON FDN LCV - GSAM 030-23481-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : GSAM: Large Cap Value (Strategic)

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	5-Dec-07	16-Dec-09	185	5,972.50	6,598.30	(625.80)	Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	6-Dec-07	16-Dec-09	250	8,070.95	8,869.95	(799.00)	Long-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	19-Nov-07	17-Dec-09	204	9,937.14	10,505.78	(568.63)	Long-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	29-Oct-07	17-Dec-09	90	4,384.04	4,769.77	(385.73)	Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	22-Jan-08	17-Dec-09	188	5,968.80	6,004.46	(35.66)	Long-Term
PM	PHILIP MORRIS INTL INC CMN	20-Aug-07	23-Dec-09	422	20,615.86	19,705.37	910.49	Long-Term
PM	PHILIP MORRIS INTL INC CMN	20-May-08	23-Dec-09	22	1,074.76	1,171.01	(96.25)	Long-Term
VIAB	VIACOM INC. CMN CLASS B	15-Oct-08	23-Dec-09	252	7,430.10	4,660.21	2,769.89	Long-Term
VIAB	VIACOM INC. CMN CLASS B	15-Oct-08	24-Dec-09	64	1,891.44	1,183.55	707.90	Long-Term
EOG	EOG RESOURCES INC CMN	1-May-08	29-Dec-09	1	99.74	123.94	(24.20)	Long-Term
EOG	EOG RESOURCES INC CMN	19-Jun-08	29-Dec-09	89	8,876.71	11,885.60	(3,008.88)	Long-Term
EOG	EOG RESOURCES INC CMN	20-Jun-08	30-Dec-09	84	8,314.57	11,067.87	(2,753.31)	Long-Term
EOG	EOG RESOURCES INC CMN	19-Jun-08	30-Dec-09	4	395.93	534.18	(138.25)	Long-Term
TOTAL LONG TERM					837,822.74	1,264,186.20	(426,363.43)	
GRAND TOTAL					2,225,538.62	2,732,681.17	(507,142.51)	

AMMON FDN LCG - DELAWARE 030-23474-4 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : Delaware: Large Cap Growth

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
RIMM	RESEARCH IN MOTION LIMITED CMN	9-Oct-08	10-Mar-09	79	2,921.38	4,538.28	(1,616.90)	Short-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	14-Oct-08	10-Mar-09	275	10,169.36	16,591.49	(6,422.13)	Short-Term
ADBE	ADOBE SYSTEMS INC CMN	1-May-09	18-Jun-09	199	5,709.44	5,465.91	243.53	Short-Term
ADBE	ADOBE SYSTEMS INC CMN	30-Apr-09	18-Jun-09	271	7,775.17	7,399.93	375.24	Short-Term
ISRG	INTUITIVE SURGICAL, INC. CMN	23-Feb-09	10-Aug-09	28	6,466.37	2,810.76	3,655.61	Short-Term
ISRG	INTUITIVE SURGICAL, INC. CMN	23-Feb-09	21-Sep-09	65	16,050.38	6,524.99	9,525.39	Short-Term
ISRG	INTUITIVE SURGICAL, INC. CMN	24-Feb-09	21-Sep-09	2	493.86	200.34	293.52	Short-Term
ISRG	INTUITIVE SURGICAL, INC. CMN	2-Mar-09	21-Sep-09	53	13,087.23	4,573.50	8,513.73	Short-Term
MGM	MGM MIRAGE CMN	11-Mar-08	5-Feb-09	261	1,643.11	15,561.99	(13,918.88)	Short-Term
MGM	MGM MIRAGE CMN	3-Jun-08	5-Feb-09	255	1,605.34	11,888.15	(10,282.81)	Short-Term
MGM	MGM MIRAGE CMN	28-Jul-08	5-Feb-09	569	3,582.11	15,637.94	(12,055.83)	Short-Term
NKE	NIKE CLASS-B CMN CLASS B	1-Apr-09	18-Jun-09	231	13,044.09	10,854.69	2,189.40	Short-Term
V	VISA INC. CMN CLASS A	27-Mar-08	20-Feb-09	79	4,378.43	5,034.65	(656.22)	Short-Term
TOTAL SHORT TERM					86,926.27	107,082.62	(20,156.35)	
RIMM	RESEARCH IN MOTION LIMITED CMN	20-Aug-07	17-Feb-09	239	10,818.48	18,557.03	(7,738.55)	Long-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	20-Aug-07	19-Feb-09	169	7,077.07	13,121.92	(6,044.84)	Long-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	6-Dec-07	20-Feb-09	156	6,085.13	15,919.11	(9,833.98)	Long-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	20-Aug-07	20-Feb-09	71	2,769.52	5,512.76	(2,743.24)	Long-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	6-Dec-07	2-Mar-09	239	8,909.25	24,388.90	(15,479.65)	Long-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	6-Dec-07	10-Mar-09	148	5,472.96	15,102.75	(9,629.79)	Long-Term
AGN	ALLERGAN INC CMN	22-Aug-06	26-Mar-09	111	5,456.18	6,301.44	(845.26)	Long-Term
AGN	ALLERGAN INC CMN	22-Aug-06	6-May-09	151	7,117.75	8,572.22	(1,454.47)	Long-Term
AAPL	APPLE, INC. CMN	5-Nov-07	20-Feb-09	45	4,072.87	8,382.15	(4,309.29)	Long-Term
AAPL	APPLE, INC. CMN	29-Oct-07	20-Feb-09	76	6,878.62	14,090.01	(7,211.39)	Long-Term
AAPL	APPLE, INC. CMN	5-Nov-07	18-Jun-09	75	10,294.98	13,970.26	(3,675.27)	Long-Term
AAPL	APPLE, INC. CMN	13-Nov-07	18-Jun-09	75	10,294.98	12,566.44	(2,271.46)	Long-Term
AAPL	APPLE, INC. CMN	13-Nov-07	20-Nov-09	15	3,003.60	2,513.29	490.31	Long-Term
AAPL	APPLE, INC. CMN	31-Dec-07	20-Nov-09	15	3,003.60	2,994.04	9.55	Long-Term
CME	CME GROUP INC. CMN CLASS A	22-Aug-06	5-Feb-09	85	15,138.94	38,681.80	(23,542.86)	Long-Term
CME	CME GROUP INC. CMN CLASS A	22-Aug-06	1-May-09	1	220.12	455.08	(234.96)	Long-Term
CME	CME GROUP INC. CMN CLASS A	22-Aug-06	14-May-09	40	11,511.21	18,203.20	(6,691.99)	Long-Term

AMMON FDN LCG - DELAWARE 030-23474-4 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : Delaware: Large Cap Growth

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
CME	CME GROUP INC. CMN CLASS A	22-Aug-06	18-Jun-09	13	4,262.49	5,916.04	(1,653.55)	Long-Term
CME	CME GROUP INC. CMN CLASS A	16-Oct-06	18-Jun-09	25	8,197.09	12,570.00	(4,372.91)	Long-Term
CME	CME GROUP INC. CMN CLASS A	12-Jun-07	18-Jun-09	20	6,557.67	11,032.49	(4,474.82)	Long-Term
CME	CME GROUP INC. CMN CLASS A	8-Feb-08	6-Jul-09	13	3,826.95	6,720.91	(2,893.95)	Long-Term
CME	CME GROUP INC. CMN CLASS A	12-Jun-07	6-Jul-09	6	1,766.29	3,309.75	(1,543.46)	Long-Term
CCI	CROWN CASTLE INTL CORP COMMON STOCK	26-Jun-07	23-Apr-09	174	3,886.27	5,936.13	(2,049.86)	Long-Term
CCI	CROWN CASTLE INTL CORP COMMON STOCK	25-Jun-07	23-Apr-09	317	7,080.17	10,947.94	(3,867.77)	Long-Term
EBAY	EBAY INC. CMN	6-Jul-06	2-Mar-09	31	323.94	835.76	(511.82)	Long-Term
EBAY	EBAY INC. CMN	9-Aug-06	2-Mar-09	178	1,860.02	4,525.24	(2,665.22)	Long-Term
EBAY	EBAY INC. CMN	22-Aug-06	2-Mar-09	1,550	16,196.79	42,935.00	(26,738.21)	Long-Term
EBAY	EBAY INC. CMN	22-Aug-06	6-Mar-09	2,175	21,967.15	60,247.50	(38,280.35)	Long-Term
	GENENTECH INC. CMN	25-Aug-06	5-Feb-09	74	6,039.60	5,894.84	144.76	Long-Term
	GENENTECH INC. CMN	25-Aug-06	20-Feb-09	154	13,102.87	12,267.64	835.23	Long-Term
	GENENTECH INC. CMN	25-Aug-06	20-Feb-09	108	9,178.30	8,603.28	575.02	Long-Term
	GENENTECH INC. CMN	4-Sep-07	30-Mar-09	96	9,120.00	7,406.82	1,713.18	Long-Term
	GENENTECH INC. CMN	25-Aug-06	30-Mar-09	428	40,660.00	34,094.48	6,565.52	Long-Term
	GENENTECH INC. CMN	16-Mar-07	30-Mar-09	138	13,110.00	11,222.05	1,887.95	Long-Term
	GENENTECH INC. CMN	10-Aug-07	30-Mar-09	77	7,315.00	5,560.16	1,754.84	Long-Term
	GENENTECH INC. CMN	6-Dec-07	30-Mar-09	490	46,550.00	32,410.22	14,139.78	Long-Term
GOOG	GOOGLE, INC. CMN CLASS A	2-May-06	20-Feb-09	5	1,725.83	1,959.26	(233.43)	Long-Term
GOOG	GOOGLE, INC. CMN CLASS A	17-Aug-06	20-Feb-09	14	4,832.34	5,406.80	(574.46)	Long-Term
GOOG	GOOGLE, INC. CMN CLASS A	13-Jun-06	20-Feb-09	18	6,213.00	6,910.20	(697.20)	Long-Term
GOOG	GOOGLE, INC. CMN CLASS A	22-Aug-06	10-Mar-09	24	7,290.16	9,058.84	(1,768.68)	Long-Term
GOOG	GOOGLE, INC. CMN CLASS A	17-Aug-06	10-Mar-09	3	911.27	1,158.60	(247.33)	Long-Term
GOOG	GOOGLE, INC. CMN CLASS A	22-Aug-06	17-Mar-09	16	5,191.15	6,039.23	(848.08)	Long-Term
GOOG	GOOGLE, INC. CMN CLASS A	22-Aug-06	18-Jun-09	32	13,329.25	12,078.46	1,250.79	Long-Term
ICE	INTERCONTINENTALEXCHANGE INC CMN	23-Oct-06	23-Apr-09	113	9,274.30	9,329.23	(54.93)	Long-Term
ICE	INTERCONTINENTALEXCHANGE INC CMN	24-Oct-06	23-Apr-09	21	1,723.54	1,742.90	(19.36)	Long-Term
ICE	INTERCONTINENTALEXCHANGE INC CMN	24-Oct-06	1-May-09	50	4,383.63	4,149.77	233.86	Long-Term
ICE	INTERCONTINENTALEXCHANGE INC CMN	26-Oct-06	1-May-09	79	6,926.13	6,728.67	197.46	Long-Term
ICE	INTERCONTINENTALEXCHANGE INC CMN	26-Oct-06	6-May-09	73	7,309.89	6,217.63	1,092.26	Long-Term

AMMON FDN LCG - DELAWARE 030-23474-4 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : Delaware: Large Cap Growth

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
ICE	INTERCONTINENTALEXCHANGE INC CMN	26-Oct-06	14-May-09	56	5,670.77	4,769.69	901.08	Long-Term
ICE	INTERCONTINENTALEXCHANGE INC CMN	26-Oct-06	18-Jun-09	31	3,487.67	2,640.36	847.31	Long-Term
ICE	INTERCONTINENTALEXCHANGE INC CMN	7-Nov-06	18-Jun-09	255	28,688.90	22,547.41	6,141.49	Long-Term
ICE	INTERCONTINENTALEXCHANGE INC CMN	12-Jun-07	30-Jun-09	47	5,425.36	7,016.91	(1,591.55)	Long-Term
ICE	INTERCONTINENTALEXCHANGE INC CMN	7-Nov-06	30-Jun-09	2	230.87	176.84	54.02	Long-Term
ICE	INTERCONTINENTALEXCHANGE INC CMN	12-Jun-07	6-Jul-09	51	5,590.10	7,614.10	(2,024.00)	Long-Term
INTU	INTUIT INC CMN	22-Aug-06	2-Mar-09	228	5,040.50	7,186.56	(2,146.06)	Long-Term
INTU	INTUIT INC CMN	19-Jan-06	2-Mar-09	401	8,865.10	11,631.96	(2,766.86)	Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	18-Dec-07	26-Jan-09	22	2,765.36	4,446.02	(1,680.66)	Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	20-Dec-07	26-Jan-09	78	9,804.46	16,101.73	(6,297.27)	Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	16-Jan-08	26-Jan-09	49	6,159.21	8,565.88	(2,406.67)	Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	16-Jan-08	28-Oct-09	7	1,557.72	1,223.70	334.02	Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	5-Feb-08	28-Oct-09	25	5,563.29	5,292.40	270.89	Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	5-Feb-08	29-Oct-09	35	7,912.83	7,409.36	503.47	Long-Term
MGM	MGM MIRAGE CMN	22-Aug-06	4-Feb-09	1,137	6,950.55	41,556.10	(34,605.55)	Long-Term
MGM	MGM MIRAGE CMN	25-Jan-08	4-Feb-09	93	568.51	6,618.81	(6,050.30)	Long-Term
MGM	MGM MIRAGE CMN	25-Jan-08	5-Feb-09	79	497.34	5,622.43	(5,125.09)	Long-Term
PX	PRAXAIR, INC CMN SERIES	22-Aug-06	2-Mar-09	168	9,053.12	9,511.91	(458.79)	Long-Term
PX	PRAXAIR, INC CMN SERIES	22-Aug-06	1-May-09	184	13,361.05	10,417.80	2,943.25	Long-Term
PX	PRAXAIR, INC CMN SERIES	22-Aug-06	30-Oct-09	167	13,517.28	9,455.29	4,061.99	Long-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	22-Aug-06	10-Aug-09	269	13,956.95	16,425.14	(2,468.19)	Long-Term
QCOM	QUALCOMM INC CMN	22-Aug-06	5-Feb-09	554	19,473.05	21,035.38	(1,562.33)	Long-Term
QCOM	QUALCOMM INC CMN	22-Aug-06	20-Feb-09	215	7,307.91	8,163.55	(855.64)	Long-Term
QCOM	QUALCOMM INC CMN	22-Aug-06	6-Nov-09	341	14,833.35	12,947.77	1,885.58	Long-Term
STX	SEAGATE TECHNOLOGY CMN	9-May-06	23-Jan-09	71	220.81	1,873.74	(1,652.93)	Long-Term
STX	SEAGATE TECHNOLOGY CMN	2-Jun-06	23-Jan-09	196	609.55	4,689.22	(4,079.67)	Long-Term
STX	SEAGATE TECHNOLOGY CMN	5-Jun-06	23-Jan-09	270	839.69	6,394.46	(5,554.77)	Long-Term
STX	SEAGATE TECHNOLOGY CMN	21-Jun-06	23-Jan-09	142	441.62	3,031.46	(2,589.84)	Long-Term
STX	SEAGATE TECHNOLOGY CMN	21-Jun-06	26-Jan-09	300	990.02	6,404.49	(5,414.47)	Long-Term
STX	SEAGATE TECHNOLOGY CMN	22-Aug-06	26-Jan-09	1,916	6,322.95	40,504.24	(34,181.29)	Long-Term
STX	SEAGATE TECHNOLOGY CMN	13-Dec-06	2-Feb-09	237	932.50	6,173.23	(5,240.74)	Long-Term

AMMON FDN LCG - DELAWARE 030-23474-4 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : Delaware: Large Cap Growth

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
STX	SEAGATE TECHNOLOGY CMN	22-Aug-06	2-Feb-09	2,049	8,061.95	43,315.86	(35,253.91)	Long-Term
STX	SEAGATE TECHNOLOGY CMN	14-Dec-06	2-Feb-09	220	865.61	5,737.93	(4,872.32)	Long-Term
STX	SEAGATE TECHNOLOGY CMN	25-Jan-08	2-Feb-09	589	2,317.47	12,350.09	(10,032.62)	Long-Term
STX	SEAGATE TECHNOLOGY CMN	25-Jan-08	3-Feb-09	962	3,626.90	20,171.12	(16,544.22)	Long-Term
TDC	TERADATA CORPORATION CMN	11-Oct-07	18-Jun-09	769	17,256.07	20,742.24	(3,486.17)	Long-Term
TDC	TERADATA CORPORATION CMN	10-Oct-07	18-Jun-09	644	14,451.11	17,437.85	(2,986.74)	Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	22-Aug-06	7-Jul-09	1,111	28,502.52	55,497.46	(26,994.94)	Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	22-Aug-06	24-Jul-09	330	8,917.79	16,484.39	(7,566.60)	Long-Term
VRSN	VERISIGN INC CMN	15-May-08	2-Jun-09	201	4,681.68	8,158.65	(3,476.97)	Long-Term
V	VISA INC. CMN CLASS A	27-Mar-08	23-Jul-09	197	13,223.15	12,554.75	668.40	Long-Term
V	VISA INC. CMN CLASS A	27-Mar-08	29-Oct-09	238	18,476.34	15,167.67	3,308.67	Long-Term
WAG	WALGREEN CO. CMN	22-Aug-06	18-Jun-09	397	12,511.60	19,433.15	(6,921.55)	Long-Term
WAG	WALGREEN CO. CMN	22-Aug-06	29-Oct-09	468	17,677.82	22,908.60	(5,230.78)	Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC. CMN	19-Jan-06	19-Oct-09	262	7,372.10	12,213.92	(4,841.82)	Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC. CMN	9-Aug-06	12-Nov-09	7	186.20	274.00	(87.80)	Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC. CMN	17-Aug-06	12-Nov-09	53	1,409.81	2,253.26	(843.45)	Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC. CMN	18-Aug-06	12-Nov-09	61	1,622.61	2,603.83	(981.22)	Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC. CMN	21-Aug-06	12-Nov-09	20	532.00	848.39	(316.39)	Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC. CMN	22-Aug-06	12-Nov-09	109	2,899.42	4,703.86	(1,804.44)	Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC. CMN	22-Aug-06	13-Nov-09	520	13,770.02	22,440.44	(8,670.42)	Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC. CMN	19-Jan-06	12-Nov-09	74	1,968.42	3,449.73	(1,481.32)	Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC. CMN	6-Jul-06	12-Nov-09	56	1,489.61	2,297.12	(807.51)	Long-Term
TOTAL LONG TERM					796,694.94	1,211,040.48	(414,345.57)	
GRAND TOTAL					883,621.21	1,318,123.10	(434,501.92)	

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : GSAM: Real Estate Securities (Total Return)

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
SHO	SUNSTONE HOTEL INVESTORS INC. CMN	15-Jan-09	15-Jan-09		1.95	-	1.95	Short-Term
BRE	BRE PROPERTIES INC CMN CLASS A	20-Feb-08	21-Jan-09	56	1,403.93	2,400.64	(996.71)	Short-Term
MAC	MACERICH COMPANY CMN	18-Nov-08	26-Jan-09	26	418.51	268.03	150.48	Short-Term
MAC	MACERICH COMPANY CMN	2-Dec-08	26-Jan-09	65	1,046.28	654.00	392.28	Short-Term
MAC	MACERICH COMPANY CMN	20-Feb-08	26-Jan-09	19	305.84	1,210.40	(904.56)	Short-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	29-Jan-09	29-Jan-09		4.32	-	4.32	Short-Term
AVB	AVALONBAY COMMUNITIES INC CMN	29-Jan-09	29-Jan-09		31.73	-	31.73	Short-Term
AKR	ACADIA REALTY TRUST CMN	30-Jan-09	30-Jan-09		10.47	-	10.47	Short-Term
BPO	BROOKFIELD PROPERTIES CORP CMN	3-Dec-08	2-Feb-09	11	58.88	62.22	(3.34)	Short-Term
WRI	WEINGARTEN REALTY INVS (SBI) CMN	22-Jan-09	2-Feb-09	4	64.12	65.82	(1.70)	Short-Term
HME	HOME PROPERTIES INC CMN	15-Feb-08	2-Feb-09	10	345.10	459.83	(114.72)	Short-Term
MAC	MACERICH COMPANY CMN	2-Dec-08	2-Feb-09	47	655.14	472.90	182.24	Short-Term
HME	HOME PROPERTIES INC CMN	20-Feb-08	2-Feb-09	11	379.62	515.72	(136.10)	Short-Term
BPO	BROOKFIELD PROPERTIES CORP CMN	4-Sep-08	2-Feb-09	27	144.52	582.56	(438.04)	Short-Term
BPO	BROOKFIELD PROPERTIES CORP CMN	3-Sep-08	2-Feb-09	44	235.51	945.56	(710.05)	Short-Term
CPT	CAMDEN PROPERTY TRUST CMN	6-Nov-08	2-Feb-09	42	1,093.47	1,316.66	(223.19)	Short-Term
WRI	WEINGARTEN REALTY INVS (SBI) CMN	22-Jan-09	2-Feb-09	84	1,346.40	1,385.71	(39.30)	Short-Term
MHGC	MORGANS HOTEL GROUP CO CMN	27-Jun-08	5-Feb-09	6	20.36	61.98	(41.61)	Short-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	29-Aug-08	5-Feb-09	5	39.56	177.20	(137.64)	Short-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	27-Jun-08	5-Feb-09	12	94.94	406.85	(311.91)	Short-Term
CPT	CAMDEN PROPERTY TRUST CMN	6-Nov-08	5-Feb-09	17	392.37	532.94	(140.57)	Short-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	20-Feb-08	5-Feb-09	15	118.67	539.37	(420.70)	Short-Term
MHGC	MORGANS HOTEL GROUP CO CMN	19-Feb-08	5-Feb-09	50	169.69	773.49	(603.80)	Short-Term
SHO	SUNSTONE HOTEL INVESTORS INC. CMN	5-Feb-09	9-Feb-09	35	142.24	153.18	(10.94)	Short-Term
SHO	SUNSTONE HOTEL INVESTORS INC. CMN	17-Nov-08	9-Feb-09	68	276.35	258.36	17.99	Short-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	16-Oct-08	9-Feb-09	14	100.36	268.67	(168.31)	Short-Term
SHO	SUNSTONE HOTEL INVESTORS INC. CMN	5-Nov-08	9-Feb-09	61	247.90	348.49	(100.58)	Short-Term
SHO	SUNSTONE HOTEL INVESTORS INC. CMN	15-Jan-09	9-Feb-09	67	272.28	373.86	(101.58)	Short-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	29-Aug-08	9-Feb-09	11	78.86	389.84	(310.98)	Short-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

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Realized Gains/Losses

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SHO	SUNSTONE HOTEL INVESTORS INC. CMN	22-Oct-08	9-Feb-09	75	302.62	465.99	(163.37)	Short-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	1-Dec-08	9-Feb-09	51	365.60	502.86	(137.26)	Short-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	26-Jan-09	9-Feb-09	51	365.60	509.72	(144.12)	Short-Term
SHO	SUNSTONE HOTEL INVESTORS INC. CMN	17-Oct-08	9-Feb-09	74	298.58	514.01	(215.43)	Short-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	29-Jan-09	9-Feb-09	58	415.78	549.26	(133.48)	Short-Term
SHO	SUNSTONE HOTEL INVESTORS INC. CMN	5-Nov-08	9-Feb-09	99	399.45	565.58	(166.12)	Short-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	11-Nov-08	9-Feb-09	48	344.09	625.60	(281.51)	Short-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	20-Oct-08	9-Feb-09	31	222.23	628.42	(406.19)	Short-Term
SHO	SUNSTONE HOTEL INVESTORS INC. CMN	27-Oct-08	9-Feb-09	139	560.85	645.92	(85.07)	Short-Term
PLD	PROLOGIS CMN	25-Apr-08	9-Feb-09	10	94.07	649.32	(555.25)	Short-Term
PLD	PROLOGIS CMN	1-Jul-08	9-Feb-09	13	122.29	675.76	(553.47)	Short-Term
WRI	WEINGARTEN REALTY INVS (SBI) CMN	22-Jan-09	9-Feb-09	45	733.92	740.45	(6.53)	Short-Term
CBL	CBL & ASSOC PROPERTIES, INC. CMN	30-Jan-09	9-Feb-09	182	888.28	771.53	116.75	Short-Term
PLD	PROLOGIS CMN	12-Jun-08	9-Feb-09	15	141.10	851.63	(710.53)	Short-Term
REG	REGENCY CTRS CORP CMN	2-Jun-08	9-Feb-09	25	854.51	1,634.63	(780.12)	Short-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	3-Sep-08	9-Feb-09	50	358.43	1,788.30	(1,429.87)	Short-Term
MHGC	MORGANS HOTEL GROUP CO CMN	3-Oct-08	10-Feb-09	42	115.74	367.61	(251.87)	Short-Term
MHGC	MORGANS HOTEL GROUP CO CMN	16-Sep-08	10-Feb-09	41	112.98	602.17	(489.19)	Short-Term
MHGC	MORGANS HOTEL GROUP CO CMN	27-Jun-08	10-Feb-09	89	245.25	919.30	(674.04)	Short-Term
MHGC	MORGANS HOTEL GROUP CO CMN	18-Sep-08	10-Feb-09	90	248.01	1,164.03	(916.02)	Short-Term
BPO	BROOKFIELD PROPERTIES CORP CMN	3-Dec-08	17-Feb-09	56	312.28	316.75	(4.47)	Short-Term
HOT	STARWOOD HOTELS & RESORTS CMN	9-Feb-09	17-Feb-09	53	649.97	794.62	(144.65)	Short-Term
HOT	STARWOOD HOTELS & RESORTS CMN	18-Sep-08	17-Feb-09	25	306.59	830.45	(523.86)	Short-Term
KIM	KIMCO REALTY CORPORATION CMN	9-Apr-08	17-Feb-09	25	240.72	978.55	(737.83)	Short-Term
KIM	KIMCO REALTY CORPORATION CMN	29-Dec-08	17-Feb-09	55	529.58	980.51	(450.92)	Short-Term
BPO	BROOKFIELD PROPERTIES CORP CMN	20-Jan-09	17-Feb-09	222	1,237.98	1,172.34	65.64	Short-Term
KIM	KIMCO REALTY CORPORATION CMN	2-Dec-08	17-Feb-09	94	905.11	1,207.68	(302.57)	Short-Term
WRI	WEINGARTEN REALTY INVS (SBI) CMN	22-Jan-09	17-Feb-09	96	1,209.02	1,579.63	(370.61)	Short-Term
KIM	KIMCO REALTY CORPORATION CMN	16-Sep-08	17-Feb-09	95	914.74	3,493.67	(2,578.93)	Short-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DII)(SA)

From 01-Jan-2009 To 31-Dec-2009

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Realized Gains/Losses

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HST	HOST HOTELS & RESORTS INC CMN	6-Feb-09	18-Feb-09	44	176.86	230.88	(54.02)	Short-Term
PLD	PROLOGIS CMN	1-Jul-08	18-Feb-09	7	44.39	363.87	(319.48)	Short-Term
HST	HOST HOTELS & RESORTS INC CMN	10-Feb-09	18-Feb-09	80	321.56	395.24	(73.68)	Short-Term
HST	HOST HOTELS & RESORTS INC CMN	3-Sep-08	18-Feb-09	48	192.94	685.84	(492.90)	Short-Term
HST	HOST HOTELS & RESORTS INC CMN	5-Feb-09	18-Feb-09	144	578.82	741.87	(163.05)	Short-Term
HST	HOST HOTELS & RESORTS INC CMN	20-Jan-09	18-Feb-09	148	594.90	844.46	(249.56)	Short-Term
HST	HOST HOTELS & RESORTS INC CMN	22-Dec-08	18-Feb-09	115	462.25	855.75	(393.50)	Short-Term
HST	HOST HOTELS & RESORTS INC CMN	1-Dec-08	18-Feb-09	139	558.72	901.47	(342.75)	Short-Term
HST	HOST HOTELS & RESORTS INC CMN	27-Jun-08	18-Feb-09	82	329.60	1,137.81	(808.20)	Short-Term
PLD	PROLOGIS CMN	20-Aug-08	18-Feb-09	42	266.33	1,886.16	(1,619.83)	Short-Term
PLD	PROLOGIS CMN	18-Sep-08	18-Feb-09	53	336.09	1,923.89	(1,587.80)	Short-Term
DRE	DUKE REALTY CORP CMN	4-Sep-08	19-Feb-09	19	140.92	486.38	(345.46)	Short-Term
DRE	DUKE REALTY CORP CMN	3-Sep-08	19-Feb-09	32	237.35	821.26	(583.91)	Short-Term
DRE	DUKE REALTY CORP CMN	2-Jul-08	19-Feb-09	69	511.78	1,598.10	(1,086.32)	Short-Term
PLD	PROLOGIS CMN	16-Oct-08	23-Feb-09	12	79.64	268.75	(189.11)	Short-Term
PLD	PROLOGIS CMN	7-Oct-08	23-Feb-09	51	338.46	1,427.94	(1,089.48)	Short-Term
PLD	PROLOGIS CMN	18-Sep-08	23-Feb-09	42	278.74	1,524.59	(1,245.86)	Short-Term
SLG	SL GREEN REALTY CORP CMN	9-Jan-09	5-Mar-09	16	153.20	341.03	(187.83)	Short-Term
SLG	SL GREEN REALTY CORP CMN	19-Sep-08	5-Mar-09	5	47.88	361.05	(313.17)	Short-Term
SLG	SL GREEN REALTY CORP CMN	20-Nov-08	5-Mar-09	30	287.25	461.78	(174.53)	Short-Term
SLG	SL GREEN REALTY CORP CMN	3-Sep-08	5-Mar-09	7	67.03	627.76	(560.73)	Short-Term
SLG	SL GREEN REALTY CORP CMN	18-Sep-08	5-Mar-09	15	143.63	992.77	(849.14)	Short-Term
SLG	SL GREEN REALTY CORP CMN	14-Oct-08	5-Mar-09	26	248.95	1,133.94	(884.99)	Short-Term
SLG	SL GREEN REALTY CORP CMN	5-May-08	5-Mar-09	12	114.90	1,189.36	(1,074.46)	Short-Term
SLG	SL GREEN REALTY CORP CMN	16-Apr-08	5-Mar-09	15	143.63	1,297.17	(1,153.54)	Short-Term
HST	HOST HOTELS & RESORTS INC CMN	10-Feb-09	5-Mar-09	615	2,085.81	3,038.41	(952.60)	Short-Term
PLD	PROLOGIS CMN	16-Oct-08	9-Mar-09	9	50.02	201.56	(151.54)	Short-Term
PLD	PROLOGIS CMN	4-Nov-08	9-Mar-09	26	144.51	349.87	(205.36)	Short-Term
PLD	PROLOGIS CMN	11-Nov-08	9-Mar-09	63	350.17	469.97	(119.80)	Short-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

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PLD	PROLOGIS CMN	22-Oct-08	9-Mar-09	31	172.31	546.47	(374.16)	Short-Term
PLD	PROLOGIS CMN	21-Oct-08	9-Mar-09	31	172.31	603.78	(431.47)	Short-Term
PLD	PROLOGIS CMN	23-Oct-08	9-Mar-09	55	305.70	758.85	(453.15)	Short-Term
PLD	PROLOGIS CMN	20-Oct-08	9-Mar-09	71	394.64	1,560.20	(1,165.56)	Short-Term
VNO	VORNADO REALTY TRUST CMN	12-Mar-09	12-Mar-09		1.41	-	1.41	Short-Term
EXR	EXTRA SPACE STORAGE INC. CMN	4-Nov-08	12-Mar-09	31	174.75	376.62	(201.87)	Short-Term
EXR	EXTRA SPACE STORAGE INC. CMN	26-Aug-08	12-Mar-09	27	152.20	407.78	(255.58)	Short-Term
EXR	EXTRA SPACE STORAGE INC. CMN	30-Jul-08	12-Mar-09	30	169.12	419.84	(250.72)	Short-Term
EXR	EXTRA SPACE STORAGE INC. CMN	27-Aug-08	12-Mar-09	32	180.39	487.99	(307.60)	Short-Term
EXR	EXTRA SPACE STORAGE INC. CMN	22-Jul-08	12-Mar-09	108	608.81	1,667.64	(1,058.83)	Short-Term
NLY	ANNALY CAPITAL MANAGEMENT, INC CMN	18-Feb-09	12-Mar-09	171	2,326.52	2,441.67	(115.15)	Short-Term
EXR	EXTRA SPACE STORAGE INC. CMN	10-Jul-08	12-Mar-09	180	1,014.69	2,702.21	(1,687.52)	Short-Term
SPG	SIMON PROPERTY GROUP INC CMN	18-Mar-09	18-Mar-09		14.70	-	14.70	Short-Term
OFC	CORPORATE OFFICE PROPERTIES TRUST (MD)	21-Jan-09	31-Mar-09	41	961.56	1,000.99	(39.43)	Short-Term
NLY	ANNALY CAPITAL MANAGEMENT, INC CMN	18-Feb-09	3-Apr-09	77	1,054.73	1,099.47	(44.74)	Short-Term
AMT	AMERICAN TOWER CORPORATION CMN CLASS A	9-Sep-08	6-Apr-09	10	324.22	386.81	(62.59)	Short-Term
AMT	AMERICAN TOWER CORPORATION CMN CLASS A	18-Nov-08	6-Apr-09	22	713.28	512.46	200.82	Short-Term
NHP	NATIONWIDE HEALTH PROPERTIES INC	3-Jul-08	8-Apr-09	21	468.01	652.28	(184.27)	Short-Term
OFC	CORPORATE OFFICE PROPERTIES TRUST (MD)	21-Jan-09	16-Apr-09	18	519.35	439.46	79.89	Short-Term
AKR	ACADIA REALTY TRUST CMN	6-May-08	16-Apr-09	57	736.29	1,442.13	(705.84)	Short-Term
BFS	SAUL CENTERS INC CMN	18-Feb-09	17-Apr-09	16	480.46	454.71	25.75	Short-Term
NNN	NATIONAL RETAIL PROPERTIES INC CMN	20-Feb-09	17-Apr-09	158	2,789.33	2,245.39	543.94	Short-Term
MFA	MFA FINANCIAL INC CMN	19-Feb-09	20-Apr-09	435	2,484.82	2,481.24	3.58	Short-Term
NLY	ANNALY CAPITAL MANAGEMENT, INC CMN	18-Feb-09	21-Apr-09	93	1,236.96	1,327.93	(90.97)	Short-Term
NLY	ANNALY CAPITAL MANAGEMENT, INC CMN	23-Feb-09	21-Apr-09	100	1,330.06	1,457.11	(127.05)	Short-Term
OFC	CORPORATE OFFICE PROPERTIES TRUST (MD)	27-Jan-09	30-Apr-09	5	154.31	137.29	17.01	Short-Term
NHP	NATIONWIDE HEALTH PROPERTIES INC	22-Dec-08	30-Apr-09	10	247.75	260.26	(12.51)	Short-Term
OFC	CORPORATE OFFICE PROPERTIES TRUST (MD)	26-Jan-09	30-Apr-09	18	555.50	494.86	60.64	Short-Term
MAA	MID-AMERICA APT CMNTYS INC CMN	18-Feb-09	30-Apr-09	24	887.79	621.02	266.78	Short-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DIJ)(SA)

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SKT	TANGER FACTORY OUTLET CENTERS CMN	18-Nov-08	30-Apr-09	22	738.65	625.58	113.07	Short-Term
ELS	EQUITY LIFESTYLE PPTYS INC CMN	17-Feb-09	30-Apr-09	20	803.55	689.65	113.90	Short-Term
OFC	CORPORATE OFFICE PROPERTIES TRUST (MD)	21-Jan-09	30-Apr-09	31	956.69	756.85	199.85	Short-Term
NHP	NATIONWIDE HEALTH PROPERTIES INC	3-Jul-08	30-Apr-09	29	718.47	900.77	(182.30)	Short-Term
SKT	TANGER FACTORY OUTLET CENTERS CMN	28-Jan-09	30-Apr-09	33	1,107.97	1,050.90	57.07	Short-Term
NHP	NATIONWIDE HEALTH PROPERTIES INC	3-Sep-08	30-Apr-09	40	991.00	1,391.38	(400.38)	Short-Term
NHP	NATIONWIDE HEALTH PROPERTIES INC	22-Dec-08	5-May-09	15	374.05	390.39	(16.34)	Short-Term
WRI	WEINGARTEN REALTY INVS (SBI) CMN	17-Apr-09	5-May-09	59	947.18	900.03	47.15	Short-Term
NHP	NATIONWIDE HEALTH PROPERTIES INC	27-Feb-09	5-May-09	44	1,097.23	909.99	187.24	Short-Term
CXW	CORRECTIONS CORP OF AMERICA CMN	2-Apr-09	7-May-09	4	67.99	58.22	9.77	Short-Term
CXW	CORRECTIONS CORP OF AMERICA CMN	2-Apr-09	8-May-09	44	751.06	640.41	110.65	Short-Term
MAA	MID-AMERICA APT CMNTYS INC CMN	18-Feb-09	8-May-09	55	2,142.49	1,423.16	719.33	Short-Term
NHP	NATIONWIDE HEALTH PROPERTIES INC	27-Feb-09	11-May-09	33	848.22	682.49	165.73	Short-Term
HME	HOME PROPERTIES INC CMN	27-May-08	12-May-09	8	277.48	410.14	(132.66)	Short-Term
MAA	MID-AMERICA APT CMNTYS INC CMN	18-Feb-09	28-May-09	19	647.60	491.64	155.96	Short-Term
NNN	NATIONAL RETAIL PROPERTIES INC CMN	20-Feb-09	28-May-09	40	648.67	568.45	80.21	Short-Term
NNN	NATIONAL RETAIL PROPERTIES INC CMN	23-Feb-09	28-May-09	41	664.88	599.26	65.63	Short-Term
HOT	STARWOOD HOTELS & RESORTS CMN	17-Apr-09	11-Jun-09	117	3,007.02	2,078.10	928.92	Short-Term
VNO	VORNADO REALTY TRUST CMN	12-Jun-09	12-Jun-09		41.42	-	41.42	Short-Term
AMB	AMB PROPERTY CORP CMN	28-Nov-08	12-Jun-09	10	193.70	169.09	24.60	Short-Term
AMB	AMB PROPERTY CORP CMN	14-Aug-08	12-Jun-09	18	348.65	874.03	(525.38)	Short-Term
AMB	AMB PROPERTY CORP CMN	20-Oct-08	12-Jun-09	40	774.79	1,018.01	(243.22)	Short-Term
PLD	PROLOGIS CMN	6-Apr-09	12-Jun-09	167	1,512.46	1,267.71	244.75	Short-Term
WRI	WEINGARTEN REALTY INVS (SBI) CMN	17-Apr-09	12-Jun-09	100	1,464.58	1,525.47	(60.89)	Short-Term
NNN	NATIONAL RETAIL PROPERTIES INC CMN	23-Feb-09	15-Jun-09	24	400.67	350.78	49.89	Short-Term
NNN	NATIONAL RETAIL PROPERTIES INC CMN	27-Feb-09	15-Jun-09	68	1,135.23	992.97	142.26	Short-Term
SPG	SIMON PROPERTY GROUP INC CMN	19-Jun-09	19-Jun-09		20.01	-	20.01	Short-Term
TCO	TAUBMAN CENTERS INC CMN	4-Sep-08	19-Jun-09	1	27.04	49.64	(22.60)	Short-Term
HST	HOST HOTELS & RESORTS INC CMN	20-Apr-09	19-Jun-09	54	423.16	307.21	115.95	Short-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : GSAM: Real Estate Securities (Total Return)

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
HOT	STARWOOD HOTELS & RESORTS CMN	17-Apr-09	19-Jun-09	23	528.47	408.51	119.96	Short-Term
MAC	MACERICH COMPANY CMN	22-Jun-09	22-Jun-09		7.28	-	7.28	Short-Term
MAA	MID-AMERICA APT CMNTYS INC CMN	24-Mar-09	24-Jun-09	15	513.24	465.30	47.94	Short-Term
MAA	MID-AMERICA APT CMNTYS INC CMN	23-Mar-09	24-Jun-09	35	1,197.55	1,024.46	173.09	Short-Term
MAA	MID-AMERICA APT CMNTYS INC CMN	18-Feb-09	24-Jun-09	46	1,573.93	1,190.28	383.65	Short-Term
NHP	NATIONWIDE HEALTH PROPERTIES INC	27-Feb-09	25-Jun-09	30	759.51	620.45	139.06	Short-Term
AMB	AMB PROPERTY CORP CMN	28-Nov-08	29-Jun-09	5	92.13	84.55	7.58	Short-Term
AMB	AMB PROPERTY CORP CMN	20-Jan-09	29-Jun-09	13	239.54	207.48	32.06	Short-Term
AMB	AMB PROPERTY CORP CMN	8-Dec-08	29-Jun-09	19	350.10	393.62	(43.52)	Short-Term
REG	REGENCY CTRS CORP CMN	11-May-09	29-Jun-09	18	616.84	645.73	(28.89)	Short-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	3-Sep-08	2-Jul-09	1	33.63	49.75	(16.12)	Short-Term
SKT	TANGER FACTORY OUTLET CENTERS CMN	28-Jan-09	2-Jul-09	15	471.75	477.68	(5.93)	Short-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	22-Sep-08	8-Jul-09	1	33.75	50.98	(17.23)	Short-Term
ELS	EQUITY LIFESTYLE PPTYS INC CMN	17-Feb-09	8-Jul-09	18	624.19	620.69	3.50	Short-Term
OFC	CORPORATE OFFICE PROPERTIES TRUST (MD)	27-Jan-09	8-Jul-09	25	690.70	686.46	4.24	Short-Term
OFC	CORPORATE OFFICE PROPERTIES TRUST (MD)	2-Feb-09	8-Jul-09	28	773.59	758.96	14.63	Short-Term
ELS	EQUITY LIFESTYLE PPTYS INC CMN	9-Mar-09	8-Jul-09	29	1,005.64	877.19	128.45	Short-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	3-Sep-08	8-Jul-09	21	708.71	1,044.67	(335.96)	Short-Term
TCO	TAUBMAN CENTERS INC CMN	4-Sep-08	8-Jul-09	25	576.59	1,240.99	(664.40)	Short-Term
EPR	ENTERTAINMENT PROPERTIES TRUST SHARES OF BE	24-Jun-09	23-Jul-09	65	1,659.56	1,325.61	333.95	Short-Term
PLD	PROLOGIS CMN	8-Apr-09	24-Jul-09	5	43.51	34.28	9.23	Short-Term
PLD	PROLOGIS CMN	6-Apr-09	24-Jul-09	114	991.97	865.39	126.59	Short-Term
MAC	MACERICH COMPANY CMN	22-Jun-09	30-Jul-09	2	39.29	34.18	5.11	Short-Term
CBL	CBL & ASSOC PROPERTIES, INC. CMN	9-Jul-09	30-Jul-09	127	703.38	575.32	128.06	Short-Term
HST	HOST HOTELS & RESORTS INC CMN	20-Apr-09	30-Jul-09	158	1,406.44	898.88	507.56	Short-Term
MAC	MACERICH COMPANY CMN	5-May-09	30-Jul-09	92	1,807.52	1,604.03	203.49	Short-Term
OFC	CORPORATE OFFICE PROPERTIES TRUST (MD)	2-Feb-09	31-Jul-09	8	271.07	216.84	54.22	Short-Term
HOT	STARWOOD HOTELS & RESORTS CMN	30-Apr-09	31-Jul-09	15	351.52	312.44	39.08	Short-Term
OFC	CORPORATE OFFICE PROPERTIES TRUST (MD)	5-Mar-09	31-Jul-09	17	576.02	375.99	200.02	Short-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : GSAM: Real Estate Securities (Total Return)

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
HOT	STARWOOD HOTELS & RESORTS CMN	17-Apr-09	31-Jul-09	33	773.36	586.13	187.22	Short-Term
OFC	CORPORATE OFFICE PROPERTIES TRUST (MD)	17-Feb-09	31-Jul-09	74	2,507.36	1,789.84	717.52	Short-Term
HME	HOME PROPERTIES INC CMN	18-Sep-08	4-Aug-09	10	364.38	538.91	(174.53)	Short-Term
HME	HOME PROPERTIES INC CMN	20-Mar-09	4-Aug-09	20	728.75	584.42	144.33	Short-Term
HME	HOME PROPERTIES INC CMN	18-Nov-08	4-Aug-09	40	1,457.50	1,284.00	173.50	Short-Term
HME	HOME PROPERTIES INC CMN	19-Dec-08	4-Aug-09	36	1,311.75	1,387.89	(76.14)	Short-Term
JLL	JONES LANG LASALLE INC CMN	18-Jun-09	12-Aug-09	6	257.26	183.00	74.26	Short-Term
EPR	ENTERTAINMENT PROPERTIES TRUST SHARES OF BE	24-Jun-09	12-Aug-09	9	288.09	183.55	104.55	Short-Term
CXW	CORRECTIONS CORP OF AMERICA CMN	2-Apr-09	12-Aug-09	37	761.89	538.53	223.36	Short-Term
EPR	ENTERTAINMENT PROPERTIES TRUST SHARES OF BE	25-Jun-09	12-Aug-09	44	1,408.46	906.16	502.30	Short-Term
CXW	CORRECTIONS CORP OF AMERICA CMN	6-Apr-09	12-Aug-09	66	1,359.05	951.48	407.57	Short-Term
HOT	STARWOOD HOTELS & RESORTS CMN	30-Apr-09	12-Aug-09	68	1,973.21	1,416.40	556.81	Short-Term
JLL	JONES LANG LASALLE INC CMN	12-Jun-09	12-Aug-09	46	1,972.36	1,572.57	399.79	Short-Term
HOT	STARWOOD HOTELS & RESORTS CMN	8-Jul-09	13-Aug-09	3	87.60	55.75	31.84	Short-Term
CXW	CORRECTIONS CORP OF AMERICA CMN	2-Jul-09	13-Aug-09	17	346.22	280.67	65.56	Short-Term
CXW	CORRECTIONS CORP OF AMERICA CMN	6-Apr-09	13-Aug-09	48	977.57	691.98	285.58	Short-Term
HOT	STARWOOD HOTELS & RESORTS CMN	30-Apr-09	13-Aug-09	48	1,401.52	999.81	401.70	Short-Term
STWD	STARWOOD PROPERTY TRUST INC. CMN	12-Aug-09	17-Aug-09	173	3,328.26	3,417.76	(89.50)	Short-Term
PLD	PROLOGIS CMN	8-Apr-09	31-Aug-09	94	1,028.49	644.40	384.09	Short-Term
PLD	PROLOGIS CMN	8-Apr-09	11-Sep-09	184	2,163.36	1,261.38	901.98	Short-Term
VNO	VORNADO REALTY TRUST CMN	14-Sep-09	14-Sep-09		23.31	-	23.31	Short-Term
PLD	PROLOGIS CMN	8-Apr-09	16-Sep-09	188	2,396.05	1,288.80	1,107.25	Short-Term
SPG	SIMON PROPERTY GROUP INC CMN	18-Sep-09	18-Sep-09		22.05	-	22.05	Short-Term
STWD	STARWOOD PROPERTY TRUST INC. CMN	12-Aug-09	29-Sep-09	194	3,841.10	3,832.63	8.47	Short-Term
AMB	AMB PROPERTY CORP CMN	30-Jan-09	2-Oct-09	12	254.89	199.30	55.59	Short-Term
PLD	PROLOGIS CMN	5-May-09	2-Oct-09	31	340.50	278.81	61.69	Short-Term
PLD	PROLOGIS CMN	30-Apr-09	2-Oct-09	87	955.60	780.02	175.58	Short-Term
PLD	PROLOGIS CMN	8-Apr-09	2-Oct-09	118	1,296.10	808.93	487.17	Short-Term
AMB	AMB PROPERTY CORP CMN	20-Jan-09	2-Oct-09	59	1,253.19	941.63	311.56	Short-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

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Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
PLD	PROLOGIS CMN	16-Apr-09	2-Oct-09	128	1,405.94	1,042.18	363.76	Short-Term
KIM	KIMCO REALTY CORPORATION CMN	6-Apr-09	6-Oct-09	328	4,018.95	2,992.77	1,026.18	Short-Term
MFA	MFA FINANCIAL INC CMN	31-Jul-09	7-Oct-09	209	1,664.25	1,563.74	100.51	Short-Term
STWD	STARWOOD PROPERTY TRUST INC. CMN	12-Aug-09	7-Oct-09	93	1,878.02	1,837.29	40.73	Short-Term
HST	HOST HOTELS & RESORTS INC CMN	20-Apr-09	8-Oct-09	263	3,060.42	1,496.23	1,564.19	Short-Term
SKT	TANGER FACTORY OUTLET CENTERS CMN	28-Jan-09	28-Oct-09	29	1,079.64	923.52	156.12	Short-Term
SKT	TANGER FACTORY OUTLET CENTERS CMN	19-Feb-09	28-Oct-09	33	1,228.55	954.68	273.87	Short-Term
SKT	TANGER FACTORY OUTLET CENTERS CMN	5-Mar-09	28-Oct-09	43	1,600.84	1,132.08	468.76	Short-Term
FRT	FEDERAL RLTY INVT TR SBI CMN	30-Jan-09	28-Oct-09	24	1,410.74	1,240.97	169.77	Short-Term
FRT	FEDERAL RLTY INVT TR SBI CMN	22-Jan-09	28-Oct-09	35	2,057.34	1,707.11	350.22	Short-Term
SKT	TANGER FACTORY OUTLET CENTERS CMN	4-Aug-09	28-Oct-09	50	1,861.44	1,797.75	63.70	Short-Term
FRT	FEDERAL RLTY INVT TR SBI CMN	4-Aug-09	28-Oct-09	80	4,702.48	4,595.69	106.79	Short-Term
HCP	HCP, INC. CMN	2-Dec-08	16-Nov-09	49	1,460.77	887.37	573.40	Short-Term
STWD	STARWOOD PROPERTY TRUST INC. CMN	12-Aug-09	16-Nov-09	55	1,096.46	1,086.57	9.89	Short-Term
CPT	CAMDEN PROPERTY TRUST CMN	8-May-09	16-Nov-09	69	2,778.41	2,129.41	649.00	Short-Term
CYS	CYPRESS SHARPRIDGE INVESTMENTS, INC.	15-Jun-09	19-Nov-09	113	1,547.63	1,315.85	231.78	Short-Term
CYS	CYPRESS SHARPRIDGE INVESTMENTS, INC.	15-Jun-09	20-Nov-09	22	300.31	256.18	44.12	Short-Term
CYS	CYPRESS SHARPRIDGE INVESTMENTS, INC.	30-Jul-09	20-Nov-09	56	764.42	726.81	37.61	Short-Term
CYS	CYPRESS SHARPRIDGE INVESTMENTS, INC.	4-Aug-09	20-Nov-09	90	1,228.53	1,197.90	30.63	Short-Term
JLL	JONES LANG LASALLE INC CMN	18-Jun-09	8-Dec-09	9	487.56	274.50	213.06	Short-Term
JLL	JONES LANG LASALLE INC CMN	4-Aug-09	8-Dec-09	30	1,625.19	1,161.30	463.89	Short-Term
REG	REGENCY CTRS CORP CMN	4-Aug-09	9-Dec-09	7	231.11	224.63	6.48	Short-Term
HCP	HCP, INC. CMN	2-Feb-09	9-Dec-09	11	349.15	253.66	95.49	Short-Term
HCP	HCP, INC. CMN	9-Jan-09	9-Dec-09	13	412.63	299.15	113.48	Short-Term
HCP	HCP, INC. CMN	22-Dec-08	9-Dec-09	36	1,142.67	914.90	227.77	Short-Term
REG	REGENCY CTRS CORP CMN	12-Jun-09	9-Dec-09	39	1,287.61	1,424.21	(136.60)	Short-Term
REG	REGENCY CTRS CORP CMN	11-May-09	9-Dec-09	50	1,650.79	1,793.68	(142.90)	Short-Term
HPT	HOSPITALITY PROPERTIES TRUST CMN	4-Aug-09	9-Dec-09	195	4,102.51	3,221.40	881.11	Short-Term
HPT	HOSPITALITY PROPERTIES TRUST CMN	19-Jun-09	9-Dec-09	399	8,394.36	4,540.10	3,854.26	Short-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : GSAM: Real Estate Securities (Total Return)

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
STWD	STARWOOD PROPERTY TRUST INC. CMN	12-Aug-09	10-Dec-09	78	1,502.10	1,540.96	(38.86)	Short-Term
HT	HERSHA HOSPITALITY TRUST CLASS A COMMON SH	8-Oct-09	10-Dec-09	637	1,656.52	2,042.09	(385.57)	Short-Term
HT	HERSHA HOSPITALITY TRUST CLASS A COMMON SH	8-Oct-09	11-Dec-09	308	818.21	987.39	(169.18)	Short-Term
SLG	SL GREEN REALTY CORP CMN	8-Jul-09	11-Dec-09	81	3,853.68	1,535.19	2,318.49	Short-Term
SLG	SL GREEN REALTY CORP CMN	2-Jul-09	11-Dec-09	72	3,425.50	1,559.29	1,866.20	Short-Term
TCO	TAUBMAN CENTERS INC CMN	30-Jan-09	11-Dec-09	92	3,241.59	1,845.88	1,395.71	Short-Term
SLG	SL GREEN REALTY CORP CMN	4-Aug-09	11-Dec-09	70	3,330.34	1,919.18	1,411.16	Short-Term
TCO	TAUBMAN CENTERS INC CMN	4-Aug-09	11-Dec-09	80	2,818.78	2,185.60	633.18	Short-Term
STWD	STARWOOD PROPERTY TRUST INC. CMN	12-Aug-09	11-Dec-09	159	3,063.33	3,141.18	(77.85)	Short-Term
VNO	VORNADO REALTY TRUST CMN	14-Dec-09	14-Dec-09		42.21	-	42.21	Short-Term
AMB	AMB PROPERTY CORP CMN	30-Jan-09	14-Dec-09	13	319.61	215.91	103.70	Short-Term
BDN	BRANDYWINE REALTY TRUST NEW CMN	27-Jul-09	14-Dec-09	49	502.02	391.47	110.55	Short-Term
MFA	MFA FINANCIAL INC CMN	6-Aug-09	14-Dec-09	81	621.27	623.12	(1.85)	Short-Term
EQR	EQUITY RESIDENTIAL CMN	19-Dec-08	14-Dec-09	22	706.34	635.18	71.16	Short-Term
AMB	AMB PROPERTY CORP CMN	11-Sep-09	14-Dec-09	30	737.56	669.48	68.08	Short-Term
EQR	EQUITY RESIDENTIAL CMN	19-Dec-08	14-Dec-09	26	834.77	765.96	68.81	Short-Term
AMB	AMB PROPERTY CORP CMN	9-Mar-09	14-Dec-09	81	1,991.40	814.00	1,177.40	Short-Term
EQR	EQUITY RESIDENTIAL CMN	6-Aug-09	14-Dec-09	31	995.30	889.36	105.94	Short-Term
BDN	BRANDYWINE REALTY TRUST NEW CMN	11-Sep-09	14-Dec-09	91	932.32	946.66	(14.34)	Short-Term
CPT	CAMDEN PROPERTY TRUST CMN	8-May-09	14-Dec-09	31	1,197.02	956.69	240.33	Short-Term
AMB	AMB PROPERTY CORP CMN	16-Sep-09	14-Dec-09	41	1,007.99	1,020.09	(12.10)	Short-Term
BDN	BRANDYWINE REALTY TRUST NEW CMN	4-Aug-09	14-Dec-09	130	1,331.89	1,112.80	219.09	Short-Term
CPT	CAMDEN PROPERTY TRUST CMN	27-Jul-09	14-Dec-09	43	1,660.39	1,226.69	433.70	Short-Term
EQR	EQUITY RESIDENTIAL CMN	11-May-09	14-Dec-09	54	1,733.76	1,245.84	487.92	Short-Term
CPT	CAMDEN PROPERTY TRUST CMN	15-May-09	14-Dec-09	47	1,814.84	1,296.66	518.18	Short-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	19-Dec-08	14-Dec-09	35	1,597.54	1,348.36	249.18	Short-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	30-Jan-09	14-Dec-09	42	1,917.05	1,549.34	367.71	Short-Term
BDN	BRANDYWINE REALTY TRUST NEW CMN	15-Jun-09	14-Dec-09	240	2,458.87	1,618.49	840.38	Short-Term
AMB	AMB PROPERTY CORP CMN	4-Aug-09	14-Dec-09	80	1,966.82	1,623.20	343.62	Short-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

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BMR	BIOMED REALTY TRUST INC CMN	20-Oct-09	14-Dec-09	128	1,868.28	1,662.39	205.89	Short-Term
MFA	MFA FINANCIAL INC CMN	4-Aug-09	14-Dec-09	225	1,725.75	1,694.25	31.50	Short-Term
CPT	CAMDEN PROPERTY TRUST CMN	14-May-09	14-Dec-09	68	2,625.73	1,834.64	791.09	Short-Term
MFA	MFA FINANCIAL INC CMN	31-Jul-09	14-Dec-09	252	1,932.84	1,885.46	47.38	Short-Term
BMR	BIOMED REALTY TRUST INC CMN	23-Sep-09	14-Dec-09	152	2,218.59	2,174.36	44.23	Short-Term
CPT	CAMDEN PROPERTY TRUST CMN	12-Jun-09	14-Dec-09	79	3,050.48	2,365.26	685.22	Short-Term
BMR	BIOMED REALTY TRUST INC CMN	5-Oct-09	14-Dec-09	186	2,714.85	2,439.32	275.53	Short-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	25-Feb-09	14-Dec-09	91	4,153.60	2,905.52	1,248.08	Short-Term
MFA	MFA FINANCIAL INC CMN	19-Nov-09	14-Dec-09	467	3,581.90	3,538.71	43.18	Short-Term
EQR	EQUITY RESIDENTIAL CMN	31-Jul-09	14-Dec-09	190	6,100.26	4,555.27	1,544.98	Short-Term
CPT	CAMDEN PROPERTY TRUST CMN	4-Aug-09	14-Dec-09	165	6,371.26	4,955.07	1,416.19	Short-Term
EQR	EQUITY RESIDENTIAL CMN	4-Aug-09	14-Dec-09	270	8,668.78	6,717.60	1,951.18	Short-Term
CXW	CORRECTIONS CORP OF AMERICA CMN	2-Jul-09	16-Dec-09	19	481.27	313.69	167.58	Short-Term
CXW	CORRECTIONS CORP OF AMERICA CMN	31-Jul-09	16-Dec-09	45	1,139.84	788.36	351.48	Short-Term
EPR	ENTERTAINMENT PROPERTIES TRUST SHARES OF BE	25-Jun-09	16-Dec-09	43	1,487.60	885.56	602.04	Short-Term
HST	HOST HOTELS & RESORTS INC CMN	18-Dec-09	18-Dec-09		1.32	-	1.32	Short-Term
SPG	SIMON PROPERTY GROUP INC CMN	18-Dec-09	18-Dec-09		1.54	-	1.54	Short-Term
ELS	EQUITY LIFESTYLE PPTYS INC CMN	9-Mar-09	29-Dec-09	3	150.92	90.74	60.17	Short-Term
ELS	EQUITY LIFESTYLE PPTYS INC CMN	4-Aug-09	29-Dec-09	8	402.44	338.96	63.48	Short-Term
EDR	EDUCATION REALTY TRUST, INC. CMN	29-Oct-09	29-Dec-09	67	330.52	350.96	(20.44)	Short-Term
ELS	EQUITY LIFESTYLE PPTYS INC CMN	24-Mar-09	29-Dec-09	17	855.20	699.94	155.26	Short-Term
ELS	EQUITY LIFESTYLE PPTYS INC CMN	23-Mar-09	29-Dec-09	39	1,961.92	1,517.51	444.41	Short-Term
ELS	EQUITY LIFESTYLE PPTYS INC CMN	20-Mar-09	29-Dec-09	66	3,320.17	2,473.03	847.14	Short-Term
ELS	EQUITY LIFESTYLE PPTYS INC CMN	6-Aug-09	30-Dec-09	40	1,994.55	1,742.42	252.13	Short-Term
ELS	EQUITY LIFESTYLE PPTYS INC CMN	4-Aug-09	30-Dec-09	52	2,592.91	2,203.26	389.66	Short-Term
EDR	EDUCATION REALTY TRUST, INC. CMN	29-Oct-09	30-Dec-09	464	2,245.27	2,430.53	(185.26)	Short-Term
EDR	EDUCATION REALTY TRUST, INC. CMN	29-Oct-09	31-Dec-09	21	102.14	110.00	(7.86)	Short-Term
EDR	EDUCATION REALTY TRUST, INC. CMN	6-Nov-09	31-Dec-09	200	972.79	1,025.34	(52.55)	Short-Term
TOTAL SHORT TERM							290,709.50	4,822.47

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : GSAM: Real Estate Securities (Total Return)

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
ARE	ALEXANDRIA REAL ESTATE EQUITIES, INC.	21-Aug-06	6-Jan-09	10	652.30	952.40	(300.10)	Long-Term
ARE	ALEXANDRIA REAL ESTATE EQUITIES, INC.	3-Nov-06	6-Jan-09	12	782.76	1,140.38	(357.62)	Long-Term
AVB	AVALONBAY COMMUNITIES INC CMN	12-Sep-06	6-Jan-09	10	589.27	1,217.27	(628.00)	Long-Term
PLD	PROLOGIS CMN	21-Aug-06	6-Jan-09	80	1,297.17	4,432.16	(3,134.99)	Long-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	24-Dec-07	9-Jan-09	13	160.12	483.47	(323.35)	Long-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	29-Oct-07	9-Jan-09	12	147.80	536.79	(388.98)	Long-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	21-Aug-07	9-Jan-09	41	505.00	1,877.45	(1,372.45)	Long-Term
ACC	AMERICAN CAMPUS CMNTYS, INC CMN	22-Mar-07	16-Jan-09	5	104.08	155.06	(50.98)	Long-Term
ACC	AMERICAN CAMPUS CMNTYS, INC CMN	4-Oct-07	16-Jan-09	8	166.52	230.70	(64.18)	Long-Term
ACC	AMERICAN CAMPUS CMNTYS, INC CMN	23-Mar-07	16-Jan-09	18	374.67	564.20	(189.53)	Long-Term
ACC	AMERICAN CAMPUS CMNTYS, INC CMN	2-Aug-07	16-Jan-09	39	811.78	1,010.10	(198.32)	Long-Term
TCO	TAUBMAN CENTERS INC CMN	1-Oct-07	22-Jan-09	6	126.16	333.71	(207.55)	Long-Term
KIM	KIMCO REALTY CORPORATION CMN	4-Oct-07	22-Jan-09	9	139.68	417.24	(277.56)	Long-Term
KIM	KIMCO REALTY CORPORATION CMN	29-Oct-07	22-Jan-09	39	605.30	1,615.43	(1,010.13)	Long-Term
SPG	SIMON PROPERTY GROUP INC CMN	21-Aug-06	22-Jan-09	21	938.14	1,764.21	(826.07)	Long-Term
KIM	KIMCO REALTY CORPORATION CMN	24-Dec-07	22-Jan-09	127	1,971.10	4,874.26	(2,903.16)	Long-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	4-Dec-07	23-Jan-09	24	896.12	1,030.27	(134.14)	Long-Term
AMT	AMERICAN TOWER CORPORATION CMN CLASS A	11-Jul-07	23-Jan-09	31	904.13	1,314.20	(410.07)	Long-Term
VNO	VORNADO REALTY TRUST CMN	21-Aug-06	26-Jan-09	15	790.46	1,561.65	(771.19)	Long-Term
MAC	MACERICH COMPANY CMN	24-Dec-07	26-Jan-09	72	1,158.96	5,382.72	(4,223.76)	Long-Term
PLD	PROLOGIS CMN	27-Nov-07	28-Jan-09	10	117.67	623.12	(505.45)	Long-Term
PLD	PROLOGIS CMN	23-Jan-07	28-Jan-09	10	117.67	624.65	(506.98)	Long-Term
PLD	PROLOGIS CMN	22-Mar-07	28-Jan-09	20	235.34	1,292.91	(1,057.57)	Long-Term
PLD	PROLOGIS CMN	21-Aug-06	28-Jan-09	50	588.35	2,770.10	(2,181.75)	Long-Term
PLD	PROLOGIS CMN	24-Dec-07	28-Jan-09	101	1,188.44	6,268.06	(5,079.59)	Long-Term
ACC	AMERICAN CAMPUS CMNTYS, INC CMN	4-Oct-07	2-Feb-09	16	336.70	461.39	(124.69)	Long-Term
DLR	DIGITAL REALTY TRUST, INC. CMN	7-Nov-06	2-Feb-09	14	448.97	474.14	(25.17)	Long-Term
NHP	NATIONWIDE HEALTH PROPERTIES INC	14-Jan-08	2-Feb-09	26	677.63	835.53	(157.90)	Long-Term
REG	REGENCY CTRS CORP CMN	24-Dec-07	2-Feb-09	15	522.65	1,001.40	(478.75)	Long-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : GSAM: Real Estate Securities (Total Return)

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
BPO	BROOKFIELD PROPERTIES CORP CMN	24-Dec-07	93	497.79	1,858.14	(1,360.35)	Long-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	31-Jan-08	8	63.29	315.76	(252.47)	Long-Term
EQR	EQUITY RESIDENTIAL CMN	21-Aug-06	15	331.07	712.50	(381.43)	Long-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	14-Jan-08	25	197.78	809.02	(611.24)	Long-Term
MHGC	MORGANS HOTEL GROUP CO CMN	24-Dec-07	82	278.30	1,598.18	(1,319.88)	Long-Term
AIV	APARTMENT INVT & MGMT CO CL-A CMN CLASS A	24-Dec-07	43	340.19	1,599.17	(1,258.98)	Long-Term
PLD	PROLOGIS CMN	24-Dec-07	45	452.81	2,792.70	(2,339.89)	Long-Term
SLG	SL GREEN REALTY CORP CMN	21-Aug-06	29	515.88	3,183.91	(2,668.03)	Long-Term
REG	REGENCY CTRS CORP CMN	24-Dec-07	18	615.24	1,201.68	(586.44)	Long-Term
PLD	PROLOGIS CMN	24-Dec-07	82	771.35	5,088.92	(4,317.57)	Long-Term
ESS	ESSEX PROPERTY TRUST INC CMN	6-Mar-07	5	272.39	645.77	(373.38)	Long-Term
ESS	ESSEX PROPERTY TRUST INC CMN	16-Aug-07	12	653.75	1,262.12	(608.37)	Long-Term
HOT	STARWOOD HOTELS & RESORTS CMN	24-Dec-07	24	294.32	1,105.20	(810.88)	Long-Term
EQR	EQUITY RESIDENTIAL CMN	21-Aug-06	64	1,250.99	3,040.00	(1,789.01)	Long-Term
KIM	KIMCO REALTY CORPORATION CMN	24-Dec-07	114	1,097.69	4,375.32	(3,277.63)	Long-Term
AVB	AVALONBAY COMMUNITIES INC CMN	4-Oct-07	2	84.45	242.45	(158.00)	Long-Term
AVB	AVALONBAY COMMUNITIES INC CMN	14-Mar-07	10	422.24	1,289.71	(867.46)	Long-Term
AVB	AVALONBAY COMMUNITIES INC CMN	13-Feb-07	33	1,393.41	4,743.51	(3,350.10)	Long-Term
HST	HOST HOTELS & RESORTS INC CMN	24-Dec-07	288	1,157.64	5,181.12	(4,023.48)	Long-Term
HST	HOST HOTELS & RESORTS INC CMN	21-Aug-06	253	1,016.95	5,497.69	(4,480.74)	Long-Term
AVB	AVALONBAY COMMUNITIES INC CMN	12-Sep-06	46	1,942.33	5,599.46	(3,657.13)	Long-Term
DRE	DUKE REALTY CORP CMN	4-Oct-07	14	103.84	477.63	(373.79)	Long-Term
DRE	DUKE REALTY CORP CMN	27-Jun-07	19	140.92	683.47	(542.55)	Long-Term
DRE	DUKE REALTY CORP CMN	24-Dec-07	35	259.60	943.60	(684.00)	Long-Term
DRE	DUKE REALTY CORP CMN	21-Aug-06	50	370.85	1,841.00	(1,470.15)	Long-Term
SLG	SL GREEN REALTY CORP CMN	27-Jun-07	3	35.96	377.41	(341.46)	Long-Term
SLG	SL GREEN REALTY CORP CMN	15-Aug-07	5	59.93	531.02	(471.09)	Long-Term
SLG	SL GREEN REALTY CORP CMN	14-Aug-07	5	59.93	537.72	(477.79)	Long-Term
SLG	SL GREEN REALTY CORP CMN	29-Nov-07	15	179.78	1,500.96	(1,321.18)	Long-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : GSAM: Real Estate Securities (Total Return)

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
SLG	SL GREEN REALTY CORP CMN	21-Aug-06	23-Feb-09	19	227.72	2,086.01	(1,858.29)	Long-Term
SLG	SL GREEN REALTY CORP CMN	24-Dec-07	23-Feb-09	47	563.30	4,626.21	(4,062.91)	Long-Term
SLG	SL GREEN REALTY CORP CMN	3-Nov-06	23-Feb-09	40	479.41	4,712.08	(4,232.67)	Long-Term
ESS	ESSEX PROPERTY TRUST INC CMN	21-Aug-07	25-Feb-09	1	54.67	114.02	(59.35)	Long-Term
HCP	HCP, INC. CMN	24-Dec-07	25-Feb-09	5	98.68	168.65	(69.97)	Long-Term
DEI	DOUGLAS EMMETT INC. CMN	24-Dec-07	25-Feb-09	17	137.00	398.99	(261.99)	Long-Term
ESS	ESSEX PROPERTY TRUST INC CMN	16-Aug-07	25-Feb-09	4	218.68	420.71	(202.03)	Long-Term
VTR	VENTAS, INC. CMN	1-Aug-07	25-Feb-09	15	368.98	483.61	(114.63)	Long-Term
ESS	ESSEX PROPERTY TRUST INC CMN	28-Sep-07	25-Feb-09	5	273.34	588.16	(314.82)	Long-Term
VTR	VENTAS, INC. CMN	2-Aug-07	25-Feb-09	19	467.38	647.09	(179.71)	Long-Term
VTR	VENTAS, INC. CMN	18-May-07	25-Feb-09	16	393.58	647.92	(254.34)	Long-Term
HCP	HCP, INC. CMN	18-Sep-07	25-Feb-09	28	552.61	929.24	(376.63)	Long-Term
DEI	DOUGLAS EMMETT INC. CMN	11-May-07	25-Feb-09	36	290.11	944.45	(654.34)	Long-Term
BXP	BOSTON PROPERTIES INC COMMON STOCK	21-Aug-06	25-Feb-09	12	468.72	1,173.53	(704.81)	Long-Term
HCP	HCP, INC. CMN	27-Sep-07	25-Feb-09	40	789.45	1,318.28	(528.83)	Long-Term
HCP	HCP, INC. CMN	1-Nov-07	25-Feb-09	45	888.13	1,451.78	(563.65)	Long-Term
EQR	EQUITY RESIDENTIAL CMN	21-Aug-06	25-Feb-09	32	624.74	1,520.00	(895.26)	Long-Term
HCP	HCP, INC. CMN	3-Oct-07	25-Feb-09	45	888.13	1,528.71	(640.58)	Long-Term
HCP	HCP, INC. CMN	1-Oct-07	25-Feb-09	65	1,282.85	2,192.40	(909.55)	Long-Term
AMT	AMERICAN TOWER CORPORATION CMN CLASS A	4-Oct-07	26-Feb-09	11	317.93	472.74	(154.81)	Long-Term
AMT	AMERICAN TOWER CORPORATION CMN CLASS A	11-Jul-07	26-Feb-09	13	375.74	551.12	(175.38)	Long-Term
TCO	TAUBMAN CENTERS INC CMN	24-Dec-07	27-Feb-09	12	187.36	629.88	(442.52)	Long-Term
FRT	FEDERAL RLTY INVT TR SBI CMN	5-Oct-07	27-Feb-09	7	294.44	658.43	(363.99)	Long-Term
TCO	TAUBMAN CENTERS INC CMN	4-Oct-07	27-Feb-09	15	234.20	874.16	(639.96)	Long-Term
TCO	TAUBMAN CENTERS INC CMN	1-Oct-07	27-Feb-09	17	265.43	945.51	(680.08)	Long-Term
FRT	FEDERAL RLTY INVT TR SBI CMN	4-Oct-07	27-Feb-09	21	883.34	1,962.94	(1,079.60)	Long-Term
TCO	TAUBMAN CENTERS INC CMN	5-Oct-07	27-Feb-09	40	624.53	2,373.31	(1,748.78)	Long-Term
SLG	SL GREEN REALTY CORP CMN	24-Dec-07	5-Mar-09	30	287.25	2,952.90	(2,665.65)	Long-Term
HCP	HCP, INC. CMN	24-Dec-07	18-Mar-09	40	728.33	1,349.20	(620.87)	Long-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : GSAM: Real Estate Securities (Total Return)

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
AVB	AVALONBAY COMMUNITIES INC CMN	4-Oct-07	20-Mar-09	8	361.99	969.81	(607.82)	Long-Term
EQR	EQUITY RESIDENTIAL CMN	21-Aug-06	20-Mar-09	25	437.28	1,187.50	(750.22)	Long-Term
AVB	AVALONBAY COMMUNITIES INC CMN	24-Dec-07	20-Mar-09	27	1,221.72	2,619.00	(1,397.28)	Long-Term
VNO	VORNADO REALTY TRUST CMN	21-Aug-06	30-Mar-09	16	515.15	1,665.76	(1,150.61)	Long-Term
EQR	EQUITY RESIDENTIAL CMN	21-Aug-06	30-Mar-09	79	1,349.86	3,752.50	(2,402.64)	Long-Term
DLR	DIGITAL REALTY TRUST, INC. CMN	7-Nov-06	31-Mar-09	7	222.53	237.07	(14.54)	Long-Term
DLR	DIGITAL REALTY TRUST, INC. CMN	14-Aug-07	31-Mar-09	14	445.06	514.36	(69.30)	Long-Term
AMB	AMB PROPERTY CORP CMN	21-Sep-07	31-Mar-09	9	122.01	523.29	(401.28)	Long-Term
AMB	AMB PROPERTY CORP CMN	21-Aug-06	31-Mar-09	18	244.02	957.38	(713.36)	Long-Term
DLR	DIGITAL REALTY TRUST, INC. CMN	27-Jul-07	31-Mar-09	33	1,049.06	1,140.16	(91.10)	Long-Term
AMB	AMB PROPERTY CORP CMN	6-Mar-07	31-Mar-09	50	677.83	2,886.27	(2,208.44)	Long-Term
BXP	BOSTON PROPERTIES INC COMMON STOCK	21-Aug-06	1-Apr-09	19	666.26	1,858.08	(1,191.82)	Long-Term
SPG	SIMON PROPERTY GROUP INC CMN	21-Aug-06	1-Apr-09	29	970.05	2,436.29	(1,466.24)	Long-Term
VNO	VORNADO REALTY TRUST CMN	21-Aug-06	1-Apr-09	28	929.58	2,915.08	(1,985.50)	Long-Term
AMT	AMERICAN TOWER CORPORATION CMN CLASS A	4-Oct-07	2-Apr-09	24	797.34	1,031.44	(234.09)	Long-Term
AMT	AMERICAN TOWER CORPORATION CMN CLASS A	24-Dec-07	2-Apr-09	32	1,063.13	1,364.48	(301.35)	Long-Term
SPG	SIMON PROPERTY GROUP INC CMN	26-Sep-07	3-Apr-09	10	368.21	989.87	(621.66)	Long-Term
SPG	SIMON PROPERTY GROUP INC CMN	27-Sep-07	3-Apr-09	13	478.67	1,297.50	(818.83)	Long-Term
SPG	SIMON PROPERTY GROUP INC CMN	21-Aug-06	3-Apr-09	32	1,178.26	2,688.32	(1,510.06)	Long-Term
SPG	SIMON PROPERTY GROUP INC CMN	27-Jun-07	3-Apr-09	32	1,178.26	3,073.26	(1,895.00)	Long-Term
AMT	AMERICAN TOWER CORPORATION CMN CLASS A	24-Dec-07	6-Apr-09	43	1,394.14	1,833.52	(439.38)	Long-Term
AMB	AMB PROPERTY CORP CMN	21-Sep-07	8-Apr-09	1	15.61	58.14	(42.54)	Long-Term
ACC	AMERICAN CAMPUS CMNTYS, INC CMN	4-Oct-07	8-Apr-09	21	380.52	605.58	(225.06)	Long-Term
ACC	AMERICAN CAMPUS CMNTYS, INC CMN	16-Nov-07	8-Apr-09	23	416.76	612.80	(196.05)	Long-Term
NHP	NATIONWIDE HEALTH PROPERTIES INC	14-Jan-08	8-Apr-09	29	646.30	931.93	(285.63)	Long-Term
AMB	AMB PROPERTY CORP CMN	24-Dec-07	8-Apr-09	46	717.92	2,647.76	(1,929.84)	Long-Term
VTR	VENTAS, INC. CMN	2-Aug-07	16-Apr-09	7	188.49	238.40	(49.91)	Long-Term
VTR	VENTAS, INC. CMN	17-Sep-07	16-Apr-09	21	565.48	830.60	(265.13)	Long-Term
AVB	AVALONBAY COMMUNITIES INC CMN	24-Dec-07	16-Apr-09	15	808.99	1,455.00	(646.01)	Long-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

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Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
FRT	FEDERAL RLTY INVT TR SBI CMN	5-Oct-07	16-Apr-09	19	1,051.29	1,787.17	(735.88)	Long-Term
BXP	BOSTON PROPERTIES INC COMMON STOCK	21-Aug-06	16-Apr-09	28	1,206.72	2,738.23	(1,531.51)	Long-Term
AMB	AMB PROPERTY CORP CMN	24-Dec-07	16-Apr-09	49	884.57	2,820.44	(1,935.87)	Long-Term
VTR	VENTAS, INC. CMN	17-Sep-07	22-Apr-09	13	356.63	514.18	(157.55)	Long-Term
HCP	HCP, INC. CMN	24-Dec-07	22-Apr-09	35	729.19	1,180.55	(451.36)	Long-Term
PSA	PUBLIC STORAGE CMN	25-Sep-06	22-Apr-09	19	1,205.96	1,627.86	(421.90)	Long-Term
BXP	BOSTON PROPERTIES INC COMMON STOCK	21-Aug-06	22-Apr-09	25	1,146.64	2,444.85	(1,298.21)	Long-Term
DLR	DIGITAL REALTY TRUST, INC. CMN	14-Aug-07	30-Apr-09	3	106.93	110.22	(3.29)	Long-Term
DLR	DIGITAL REALTY TRUST, INC. CMN	24-Dec-07	30-Apr-09	12	427.73	468.72	(40.99)	Long-Term
FRT	FEDERAL RLTY INVT TR SBI CMN	5-Oct-07	30-Apr-09	9	503.79	846.56	(342.76)	Long-Term
DLR	DIGITAL REALTY TRUST, INC. CMN	30-Nov-07	30-Apr-09	25	891.10	950.34	(59.24)	Long-Term
FRT	FEDERAL RLTY INVT TR SBI CMN	8-Oct-07	30-Apr-09	12	671.72	1,129.28	(457.56)	Long-Term
VNO	VORNADO REALTY TRUST CMN	21-Aug-06	4-May-09	9	441.37	936.99	(495.62)	Long-Term
VTR	VENTAS, INC. CMN	17-Sep-07	5-May-09	1	29.04	39.55	(10.51)	Long-Term
VTR	VENTAS, INC. CMN	2-Oct-07	5-May-09	10	290.43	424.70	(134.27)	Long-Term
VTR	VENTAS, INC. CMN	4-Oct-07	5-May-09	10	290.43	441.89	(151.46)	Long-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	4-Dec-07	5-May-09	11	367.96	472.21	(104.25)	Long-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	24-Dec-07	5-May-09	20	669.01	909.00	(239.99)	Long-Term
VTR	VENTAS, INC. CMN	4-Oct-07	5-May-09	32	929.36	1,409.05	(479.68)	Long-Term
PSA	PUBLIC STORAGE CMN	25-Sep-06	11-May-09	6	388.74	514.06	(125.32)	Long-Term
PSA	PUBLIC STORAGE CMN	12-Feb-07	11-May-09	8	518.32	874.57	(356.25)	Long-Term
ACC	AMERICAN CAMPUS CMNTYS, INC CMN	16-Nov-07	11-May-09	37	836.04	985.82	(149.77)	Long-Term
ACC	AMERICAN CAMPUS CMNTYS, INC CMN	24-Dec-07	11-May-09	38	858.64	1,081.10	(222.46)	Long-Term
HME	HOME PROPERTIES INC CMN	21-Feb-08	12-May-09	25	867.12	1,186.63	(319.51)	Long-Term
TCO	TAUBMAN CENTERS INC CMN	24-Dec-07	12-May-09	44	1,071.90	2,309.56	(1,237.66)	Long-Term
HME	HOME PROPERTIES INC CMN	20-Feb-08	12-May-09	52	1,803.60	2,437.95	(634.34)	Long-Term
ARE	ALEXANDRIA REAL ESTATE EQUITIES, INC.	3-Nov-06	14-May-09	3	92.82	285.10	(192.28)	Long-Term
ARE	ALEXANDRIA REAL ESTATE EQUITIES, INC.	6-Nov-06	14-May-09	7	216.58	670.34	(453.76)	Long-Term
AKR	ACADIA REALTY TRUST CMN	6-May-08	15-May-09	34	418.90	860.22	(441.31)	Long-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

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Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
AKR	ACADIA REALTY TRUST CMN	7-May-08	15-May-09	69	850.13	1,745.09	(894.96)	Long-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	5-May-08	19-May-09	7	233.24	336.68	(103.44)	Long-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	20-Feb-08	19-May-09	10	333.20	406.83	(73.63)	Long-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	24-Dec-07	19-May-09	13	433.16	590.85	(157.69)	Long-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	14-Jan-08	19-May-09	20	666.40	867.14	(200.74)	Long-Term
VTR	VENTAS, INC. CMN	1-Nov-07	20-May-09	1	28.50	41.90	(13.40)	Long-Term
EQR	EQUITY RESIDENTIAL CMN	21-Aug-06	20-May-09	20	470.61	950.00	(479.39)	Long-Term
VTR	VENTAS, INC. CMN	4-Oct-07	20-May-09	22	627.03	968.72	(341.69)	Long-Term
AKR	ACADIA REALTY TRUST CMN	7-May-08	28-May-09	62	810.68	1,568.05	(757.37)	Long-Term
AMB	AMB PROPERTY CORP CMN	30-Jan-08	11-Jun-09	22	420.79	1,135.98	(715.19)	Long-Term
AMB	AMB PROPERTY CORP CMN	24-Dec-07	11-Jun-09	20	382.54	1,151.20	(768.66)	Long-Term
FRT	FEDERAL RLTY INVT TR SBI CMN	8-Oct-07	12-Jun-09	3	167.20	282.32	(115.12)	Long-Term
AMB	AMB PROPERTY CORP CMN	30-Jan-08	12-Jun-09	13	251.80	671.26	(419.46)	Long-Term
FRT	FEDERAL RLTY INVT TR SBI CMN	2-Nov-07	12-Jun-09	20	1,114.66	1,677.46	(562.80)	Long-Term
TCO	TAUBMAN CENTERS INC CMN	24-Dec-07	12-Jun-09	40	1,089.29	2,099.60	(1,010.31)	Long-Term
FRT	FEDERAL RLTY INVT TR SBI CMN	24-Dec-07	12-Jun-09	26	1,449.05	2,168.92	(719.87)	Long-Term
TCO	TAUBMAN CENTERS INC CMN	24-Dec-07	19-Jun-09	7	189.26	367.43	(178.17)	Long-Term
TCO	TAUBMAN CENTERS INC CMN	21-Apr-08	19-Jun-09	10	270.37	551.81	(281.44)	Long-Term
PSA	PUBLIC STORAGE CMN	12-Feb-07	19-Jun-09	21	1,348.57	2,295.74	(947.17)	Long-Term
PSA	PUBLIC STORAGE CMN	12-Feb-07	25-Jun-09	5	316.06	546.61	(230.54)	Long-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	5-May-08	25-Jun-09	15	497.51	721.45	(223.94)	Long-Term
AVB	AVALONBAY COMMUNITIES INC CMN	24-Dec-07	29-Jun-09	6	334.90	582.00	(247.10)	Long-Term
EQR	EQUITY RESIDENTIAL CMN	21-Aug-06	29-Jun-09	21	461.50	997.50	(536.00)	Long-Term
PSA	PUBLIC STORAGE CMN	12-Feb-07	29-Jun-09	16	1,027.53	1,749.14	(721.60)	Long-Term
DLR	DIGITAL REALTY TRUST, INC. CMN	24-Dec-07	2-Jul-09	10	356.69	390.60	(33.91)	Long-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	5-May-08	2-Jul-09	9	302.66	432.87	(130.21)	Long-Term
AVB	AVALONBAY COMMUNITIES INC CMN	24-Dec-07	2-Jul-09	5	270.06	485.00	(214.94)	Long-Term
FRT	FEDERAL RLTY INVT TR SBI CMN	24-Dec-07	2-Jul-09	6	303.03	500.52	(197.49)	Long-Term
PSA	PUBLIC STORAGE CMN	12-Feb-07	2-Jul-09	5	323.02	546.61	(223.58)	Long-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : GSAM: Real Estate Securities (Total Return)

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
PSA	PUBLIC STORAGE CMN	13-Feb-07	9-Jul-09	1	63.40	109.70	(46.30)	Long-Term
PSA	PUBLIC STORAGE CMN	27-Jun-07	9-Jul-09	3	190.20	239.00	(48.80)	Long-Term
PSA	PUBLIC STORAGE CMN	12-Feb-07	9-Jul-09	9	570.61	983.89	(413.27)	Long-Term
SPG	SIMON PROPERTY GROUP INC CMN	27-Sep-07	9-Jul-09	12	571.80	1,197.69	(625.90)	Long-Term
SPG	SIMON PROPERTY GROUP INC CMN	1-Oct-07	9-Jul-09	19	905.34	1,931.31	(1,025.97)	Long-Term
ARE	ALEXANDRIA REAL ESTATE EQUITIES, INC.	6-Nov-06	23-Jul-09	18	712.77	1,723.74	(1,010.97)	Long-Term
HME	HOME PROPERTIES INC CMN	27-May-08	27-Jul-09	22	783.13	1,127.87	(344.74)	Long-Term
VTR	VENTAS, INC. CMN	24-Dec-07	30-Jul-09	2	70.86	88.76	(17.90)	Long-Term
DEI	DOUGLAS EMMETT INC. CMN	30-May-08	30-Jul-09	12	121.08	293.86	(172.78)	Long-Term
DEI	DOUGLAS EMMETT INC. CMN	29-May-08	30-Jul-09	16	161.44	383.43	(221.99)	Long-Term
VTR	VENTAS, INC. CMN	30-Nov-07	30-Jul-09	15	531.45	657.12	(125.67)	Long-Term
VTR	VENTAS, INC. CMN	24-Dec-07	30-Jul-09	19	679.23	843.22	(163.99)	Long-Term
DEI	DOUGLAS EMMETT INC. CMN	24-Dec-07	30-Jul-09	44	443.97	1,032.68	(588.71)	Long-Term
VTR	VENTAS, INC. CMN	1-Nov-07	30-Jul-09	44	1,558.91	1,843.73	(284.81)	Long-Term
VNO	VORNADO REALTY TRUST CMN	21-Aug-06	30-Jul-09	26	1,332.14	2,706.86	(1,374.72)	Long-Term
HME	HOME PROPERTIES INC CMN	27-May-08	4-Aug-09	5	182.19	256.33	(74.15)	Long-Term
DEI	DOUGLAS EMMETT INC. CMN	30-May-08	7-Aug-09	18	218.28	440.80	(222.51)	Long-Term
DEI	DOUGLAS EMMETT INC. CMN	3-Jun-08	7-Aug-09	61	739.74	1,457.93	(718.20)	Long-Term
ESS	ESSEX PROPERTY TRUST INC CMN	4-Oct-07	13-Aug-09	1	73.48	124.26	(50.78)	Long-Term
ESS	ESSEX PROPERTY TRUST INC CMN	28-Sep-07	13-Aug-09	10	734.83	1,172.92	(438.09)	Long-Term
ESS	ESSEX PROPERTY TRUST INC CMN	28-Sep-07	13-Aug-09	10	734.83	1,176.32	(441.49)	Long-Term
SPG	SIMON PROPERTY GROUP INC CMN	24-Dec-07	13-Aug-09	15	952.56	1,375.35	(422.79)	Long-Term
SPG	SIMON PROPERTY GROUP INC CMN	1-Oct-07	13-Aug-09	21	1,333.58	2,134.61	(801.03)	Long-Term
BXP	BOSTON PROPERTIES INC COMMON STOCK	21-Aug-06	13-Aug-09	22	1,315.24	2,151.47	(836.22)	Long-Term
ARE	ALEXANDRIA REAL ESTATE EQUITIES, INC.	4-Oct-07	14-Aug-09	6	294.87	605.27	(310.40)	Long-Term
ARE	ALEXANDRIA REAL ESTATE EQUITIES, INC.	24-Dec-07	14-Aug-09	13	638.88	1,358.63	(719.75)	Long-Term
VNO	VORNADO REALTY TRUST CMN	21-Aug-06	18-Sep-09	13	889.34	1,353.43	(464.09)	Long-Term
FRT	FEDERAL RLTY INVT TR SBI CMN	27-Jun-08	28-Sep-09	15	912.67	1,045.14	(132.47)	Long-Term
FRT	FEDERAL RLTY INVT TR SBI CMN	16-Sep-08	28-Sep-09	14	851.83	1,057.92	(206.09)	Long-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : GSAM: Real Estate Securities (Total Return)

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
FRT	FEDERAL RLTY INVT TR SBI CMN	5-May-08	28-Sep-09	23	1,399.43	1,935.99	(536.56)	Long-Term
FRT	FEDERAL RLTY INVT TR SBI CMN	24-Dec-07	28-Sep-09	41	2,494.64	3,420.22	(925.58)	Long-Term
HCP	HCP, INC. CMN	24-Dec-07	19-Oct-09	20	616.07	674.60	(58.53)	Long-Term
HCP	HCP, INC. CMN	14-Jan-08	19-Oct-09	74	2,279.47	2,298.39	(18.92)	Long-Term
HCP	HCP, INC. CMN	14-Jan-08	27-Oct-09	6	180.70	186.36	(5.66)	Long-Term
HCP	HCP, INC. CMN	8-Oct-08	27-Oct-09	24	722.79	769.57	(46.77)	Long-Term
HCP	HCP, INC. CMN	14-Feb-08	27-Oct-09	30	903.49	894.17	9.32	Long-Term
HCP	HCP, INC. CMN	30-May-08	27-Oct-09	40	1,204.66	1,361.04	(156.38)	Long-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	22-Sep-08	27-Oct-09	30	1,358.11	1,529.26	(171.15)	Long-Term
VTR	VENTAS, INC. CMN	24-Dec-07	27-Oct-09	35	1,407.36	1,553.30	(145.94)	Long-Term
FRT	FEDERAL RLTY INVT TR SBI CMN	16-Sep-08	28-Oct-09	10	587.81	755.65	(167.84)	Long-Term
EQR	EQUITY RESIDENTIAL CMN	21-Aug-06	29-Oct-09	5	142.56	237.50	(94.94)	Long-Term
EQR	EQUITY RESIDENTIAL CMN	24-Dec-07	29-Oct-09	138	3,934.65	5,238.48	(1,303.83)	Long-Term
HCP	HCP, INC. CMN	8-Oct-08	16-Nov-09	6	178.87	192.39	(13.52)	Long-Term
VNO	VORNADO REALTY TRUST CMN	21-Aug-06	16-Nov-09	25	1,667.04	2,602.75	(935.71)	Long-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	22-Sep-08	16-Nov-09	71	3,105.20	3,619.24	(514.04)	Long-Term
DEI	DOUGLAS EMMETT INC. CMN	17-Jun-08	19-Nov-09	13	172.57	306.46	(133.88)	Long-Term
DEI	DOUGLAS EMMETT INC. CMN	10-Jun-08	19-Nov-09	30	398.24	684.60	(286.36)	Long-Term
DEI	DOUGLAS EMMETT INC. CMN	3-Jun-08	19-Nov-09	34	451.34	812.62	(361.28)	Long-Term
HCP	HCP, INC. CMN	2-Dec-08	9-Dec-09	10	317.41	181.10	136.31	Long-Term
HCP	HCP, INC. CMN	3-Dec-08	9-Dec-09	39	1,237.90	730.29	507.61	Long-Term
ACC	AMERICAN CAMPUS CMNTYS, INC CMN	24-Dec-07	9-Dec-09	41	1,097.20	1,166.45	(69.25)	Long-Term
TCO	TAUBMAN CENTERS INC CMN	20-Oct-08	11-Dec-09	30	1,057.04	994.70	62.34	Long-Term
TCO	TAUBMAN CENTERS INC CMN	9-Oct-08	11-Dec-09	28	986.57	1,061.85	(75.28)	Long-Term
TCO	TAUBMAN CENTERS INC CMN	4-Sep-08	11-Dec-09	25	880.87	1,240.99	(360.12)	Long-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	22-Sep-08	14-Dec-09	3	136.93	152.93	(15.99)	Long-Term
EQR	EQUITY RESIDENTIAL CMN	5-May-08	14-Dec-09	9	288.96	388.58	(99.62)	Long-Term
HCN	HEALTH CARE REIT INC (DEL) CMN	11-Nov-08	14-Dec-09	14	639.02	502.86	136.16	Long-Term
EQR	EQUITY RESIDENTIAL CMN	24-Dec-07	14-Dec-09	19	610.03	721.24	(111.21)	Long-Term

AMMON FDN USRE - GSAM 030-23476-9 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : GSAM: Real Estate Securities (Total Return)

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
EQR	EQUITY RESIDENTIAL CMN	4-Dec-08	14-Dec-09	38	1,220.05	1,106.63	113.42	Long-Term
EQR	EQUITY RESIDENTIAL CMN	2-Dec-08	14-Dec-09	42	1,348.48	1,150.01	198.47	Long-Term
EQR	EQUITY RESIDENTIAL CMN	3-Sep-08	14-Dec-09	27	866.88	1,164.03	(297.15)	Long-Term
TOTAL LONG TERM					151,955.08	316,117.12	(164,161.97)	
GRAND TOTAL					447,487.14	606,826.62	(159,339.50)	

AMMON FDN SCV - NWQ 030-27410-4 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : NWQ: Small/Mid Cap Value

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
AGU	AGRIUM INC CMN	22-Dec-08	14-Apr-09	41	1,672.86	1,170.07	502.80	Short-Term
AGU	AGRIUM INC CMN	23-Dec-08	14-Apr-09	64	2,611.30	1,904.54	706.76	Short-Term
AGU	AGRIUM INC CMN	24-Dec-08	14-Apr-09	2	81.60	58.86	22.75	Short-Term
AGU	AGRIUM INC CMN	24-Dec-08	15-Apr-09	80	3,235.53	2,354.26	881.27	Short-Term
AGU	AGRIUM INC CMN	11-Dec-08	26-Mar-09	158	6,258.32	5,038.60	1,219.72	Short-Term
AGU	AGRIUM INC CMN	22-Dec-08	26-Mar-09	14	554.54	399.53	155.00	Short-Term
AGU	AGRIUM INC CMN	22-Dec-08	8-Apr-09	49	1,834.47	1,398.37	436.10	Short-Term
AGU	AGRIUM INC CMN	29-Sep-08	13-Mar-09	34	1,150.90	786.12	364.78	Short-Term
AU	ANGLOGOLD ASHANTI LIMITED SPONSORED ADR CMN	29-Sep-08	18-Mar-09	95	3,234.60	2,196.50	1,038.10	Short-Term
AU	ANGLOGOLD ASHANTI LIMITED SPONSORED ADR CMN	20-Nov-08	28-Apr-09	71	2,288.73	966.07	1,322.66	Short-Term
AU	ANGLOGOLD ASHANTI LIMITED SPONSORED ADR CMN	29-Sep-08	28-Apr-09	56	1,805.20	1,294.78	510.42	Short-Term
AU	ANGLOGOLD ASHANTI LIMITED SPONSORED ADR CMN	29-Sep-08	26-Mar-09	150	5,707.37	3,468.15	2,239.22	Short-Term
AU	ANGLOGOLD ASHANTI LIMITED SPONSORED ADR CMN	5-Dec-08	6-May-09	21	714.80	449.39	265.42	Short-Term
AU	ANGLOGOLD ASHANTI LIMITED SPONSORED ADR CMN	20-Nov-08	5-May-09	47	1,535.37	639.51	895.86	Short-Term
AU	ANGLOGOLD ASHANTI LIMITED SPONSORED ADR CMN	5-Dec-08	5-May-09	3	98.00	64.20	33.80	Short-Term
AU	ANGLOGOLD ASHANTI LIMITED SPONSORED ADR CMN	5-Dec-08	28-May-09	142	5,717.96	3,038.70	2,679.26	Short-Term
AU	ANGLOGOLD ASHANTI LIMITED SPONSORED ADR CMN	11-Dec-08	28-May-09	24	966.42	666.06	300.36	Short-Term
AUY	YAMANA GOLD INC CMN	28-Apr-09	29-Dec-09	202	2,328.09	1,599.48	728.61	Short-Term
AUY	YAMANA GOLD INC CMN	16-Apr-09	29-Dec-09	172	1,982.33	1,329.22	653.11	Short-Term
AUY	YAMANA GOLD INC CMN	31-Mar-09	29-Dec-09	477	5,497.52	4,419.12	1,078.40	Short-Term
AUY	YAMANA GOLD INC CMN	30-Jun-09	30-Dec-09	280	3,152.91	2,486.29	666.62	Short-Term
AUY	YAMANA GOLD INC CMN	28-Apr-09	30-Dec-09	293	3,299.30	2,320.03	979.27	Short-Term
AUY	YAMANA GOLD INC CMN	4-Aug-09	30-Dec-09	325	3,659.63	3,175.25	484.38	Short-Term
AUY	YAMANA GOLD INC CMN	30-Apr-09	30-Dec-09	163	1,835.45	1,290.01	545.44	Short-Term
AUY	YAMANA GOLD INC CMN	4-Aug-09	30-Dec-09	244	2,754.73	2,383.88	370.85	Short-Term
AIZ	ASSURANT, INC. CMN	27-Feb-09	29-Oct-09	120	3,727.59	2,475.71	1,251.88	Short-Term
	AVOCENT CORPORATION CMN	13-Mar-09	8-Oct-09	13	323.20	145.38	177.82	Short-Term
	AVOCENT CORPORATION CMN	17-Mar-09	8-Oct-09	28	696.13	317.07	379.06	Short-Term
	AVOCENT CORPORATION CMN	16-Mar-09	8-Oct-09	144	3,580.09	1,648.45	1,931.64	Short-Term
	AVOCENT CORPORATION CMN	18-Mar-09	8-Oct-09	167	4,151.91	2,049.57	2,102.34	Short-Term

AMMON FDN SCV - NWQ 030-27410-4 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : NWQ: Small/Mid Cap Value

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
	AVOCENT CORPORATION CMN	25-Mar-09	13-Oct-09	108	2,678.38	1,355.40	1,322.98	Short-Term
	AVOCENT CORPORATION CMN	18-Mar-09	13-Oct-09	6	148.80	73.64	75.16	Short-Term
	AVOCENT CORPORATION CMN	20-Mar-09	13-Oct-09	174	4,315.17	2,165.90	2,149.27	Short-Term
	AVOCENT CORPORATION CMN	25-Mar-09	27-Oct-09	108	2,688.30	1,355.40	1,332.90	Short-Term
	AVOCENT CORPORATION CMN	27-Mar-09	27-Oct-09	116	2,887.43	1,419.71	1,467.72	Short-Term
	AVOCENT CORPORATION CMN	30-Mar-09	27-Oct-09	90	2,240.25	1,070.36	1,169.89	Short-Term
	AVOCENT CORPORATION CMN	24-Apr-09	27-Oct-09	150	3,733.75	2,103.99	1,629.76	Short-Term
	AVOCENT CORPORATION CMN	29-May-09	27-Oct-09	32	796.53	437.72	358.81	Short-Term
	AVOCENT CORPORATION CMN	29-May-09	28-Oct-09	75	1,863.28	1,025.92	837.36	Short-Term
	AVOCENT CORPORATION CMN	3-Jun-09	28-Oct-09	45	1,117.97	687.11	430.86	Short-Term
	AVOCENT CORPORATION CMN	10-Jun-09	28-Oct-09	78	1,937.81	1,183.57	754.24	Short-Term
	AVOCENT CORPORATION CMN	4-Aug-09	28-Oct-09	118	2,931.56	1,858.50	1,073.06	Short-Term
	AVOCENT CORPORATION CMN	4-Aug-09	29-Oct-09	313	7,776.63	4,929.75	2,846.88	Short-Term
	AVOCENT CORPORATION CMN	20-Mar-09	5-Jun-09	56	1,144.35	651.94	492.41	Short-Term
BDC	BELDEN INC CMN	5-Sep-08	13-Aug-09	51	1,544.42	1,750.14	(205.72)	Short-Term
BBG	BILL BARRETT CORPORATION CMN	20-Jun-08	9-Feb-09	279	1,075.56	2,380.37	(1,304.80)	Short-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	23-Jun-08	9-Feb-09	74	285.28	630.28	(345.01)	Short-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	23-Jun-08	5-May-09	307	1,791.88	2,614.81	(822.93)	Short-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	23-Jun-08	20-May-09	80	496.62	681.39	(184.77)	Short-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	24-Jun-08	20-May-09	322	1,998.89	2,698.68	(699.79)	Short-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	16-Jul-08	20-May-09	280	1,738.17	2,314.23	(576.06)	Short-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	24-Jul-08	23-Jul-09	5	43.99	33.57	10.43	Short-Term
CRS	CARPENTER TECHNOLOGY INC CMN	8-Jun-09	30-Oct-09	67	1,415.54	1,574.17	(158.63)	Short-Term
CRS	CARPENTER TECHNOLOGY INC CMN	3-Jun-09	30-Oct-09	50	1,056.38	1,159.90	(103.52)	Short-Term
CRS	CARPENTER TECHNOLOGY INC CMN	8-Jun-09	3-Nov-09	39	824.48	916.31	(91.83)	Short-Term
CRS	CARPENTER TECHNOLOGY INC CMN	3-Jun-09	29-Oct-09	98	2,194.28	2,273.40	(79.12)	Short-Term
CRS	CARPENTER TECHNOLOGY INC CMN	17-Jul-09	3-Nov-09	54	1,141.58	1,020.77	120.81	Short-Term
CENX	CENTURY ALUMINUM COMPANY CMN	10-Dec-08	3-Mar-09	148	215.88	1,307.96	(1,092.08)	Short-Term
CENX	CENTURY ALUMINUM COMPANY CMN	11-Dec-08	3-Mar-09	167	243.60	1,500.46	(1,256.86)	Short-Term
CENX	CENTURY ALUMINUM COMPANY CMN	12-Dec-08	3-Mar-09	22	32.09	204.07	(171.98)	Short-Term

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From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : NWQ: Small/Mid Cap Value

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
CF	CF INDUSTRIES HOLDINGS, INC. CMN	17-Apr-09	5-Jun-09	73	5,767.76	5,106.94	660.82	Short-Term
CLR	CONTINENTAL RESOURCES, INC CMN	10-Dec-08	20-Mar-09	53	1,258.70	1,043.93	214.77	Short-Term
CLR	CONTINENTAL RESOURCES, INC CMN	10-Dec-08	23-Mar-09	118	3,003.61	2,324.22	679.39	Short-Term
CLR	CONTINENTAL RESOURCES, INC CMN	10-Dec-08	1-Jun-09	37	1,182.03	728.78	453.25	Short-Term
CLR	CONTINENTAL RESOURCES, INC CMN	17-Dec-08	1-Jun-09	11	351.42	229.89	121.53	Short-Term
CLR	CONTINENTAL RESOURCES, INC CMN	11-Dec-08	1-Jun-09	32	1,022.30	666.83	355.47	Short-Term
CLR	CONTINENTAL RESOURCES, INC CMN	16-Dec-08	1-Jun-09	91	2,907.16	1,818.23	1,088.93	Short-Term
CLR	CONTINENTAL RESOURCES, INC CMN	17-Dec-08	3-Aug-09	20	711.00	417.98	293.02	Short-Term
CLR	CONTINENTAL RESOURCES, INC CMN	24-Dec-08	3-Aug-09	160	5,687.97	2,964.63	2,723.34	Short-Term
CLR	CONTINENTAL RESOURCES, INC CMN	24-Dec-08	26-Aug-09	8	286.95	148.23	138.72	Short-Term
CLR	CONTINENTAL RESOURCES, INC CMN	13-Jan-09	26-Aug-09	161	5,774.84	3,531.36	2,243.48	Short-Term
DNR	DENBURY RESOURCES INC CMN	5-Sep-08	10-Feb-09	184	2,452.98	4,068.63	(1,615.66)	Short-Term
DNR	DENBURY RESOURCES INC CMN	8-Oct-08	10-Feb-09	25	333.28	287.59	45.70	Short-Term
DNR	DENBURY RESOURCES INC CMN	8-Oct-08	12-Feb-09	153	1,963.05	1,760.05	203.00	Short-Term
DNR	DENBURY RESOURCES INC CMN	8-Oct-08	20-Mar-09	105	1,703.29	1,207.88	495.41	Short-Term
DNR	DENBURY RESOURCES INC CMN	20-Nov-08	20-Mar-09	37	600.21	210.86	389.35	Short-Term
DNR	DENBURY RESOURCES INC CMN	20-Nov-08	26-Mar-09	242	3,943.17	1,379.11	2,564.06	Short-Term
DNR	DENBURY RESOURCES INC CMN	22-Apr-08	4-Mar-09	1,375	740.98	8,259.35	(7,518.37)	Short-Term
GDI	DOMTAR CORP CMN	18-Dec-08	23-Oct-09	42	1,704.92	1,003.16	701.76	Short-Term
BGC	GARDER DENVER INC CMN	25-Sep-08	13-May-09	80	2,625.91	3,153.52	(527.61)	Short-Term
BGC	GENERAL CABLE CORP CMN	26-Sep-08	13-May-09	12	393.89	459.50	(65.61)	Short-Term
BGC	GENERAL CABLE CORP CMN	26-Sep-08	4-Jun-09	42	1,701.61	1,608.25	93.36	Short-Term
BGC	GENERAL CABLE CORP CMN	26-Sep-08	5-Jun-09	23	931.99	880.71	51.28	Short-Term
BGC	GENERAL CABLE CORP CMN	24-Dec-08	5-Jun-09	62	2,512.32	1,031.16	1,481.16	Short-Term
BGC	GENERAL CABLE CORP CMN	24-Dec-08	16-Jun-09	80	3,135.81	1,330.53	1,805.28	Short-Term
BGC	GENERAL CABLE CORP CMN	24-Dec-08	29-Jul-09	56	2,078.67	931.37	1,147.30	Short-Term
ROCK	GIBALTAR INDUSTRIES INC CMN	13-May-09	21-Oct-09	175	2,767.16	1,336.46	1,430.70	Short-Term
ROCK	GIBALTAR INDUSTRIES INC CMN	12-May-09	21-Oct-09	79	1,249.18	636.61	612.57	Short-Term
ROCK	GIBALTAR INDUSTRIES INC CMN	12-May-09	7-Oct-09	35	468.99	282.04	186.94	Short-Term
ROCK	GIBALTAR INDUSTRIES INC CMN	4-Aug-09	29-Dec-09	101	1,643.34	856.15	787.20	Short-Term

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From 01-Jan-2009 To 31-Dec-2009

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Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
ROCK	GIBALTAR INDUSTRIES INC CMN	13-May-09	29-Dec-09	9	146.44	68.73	77.70	Short-Term
KMT	KENAMETAL INC. CMN	24-Jul-08	15-May-09	22	385.40	647.88	(262.47)	Short-Term
KMT	KENAMETAL INC. CMN	24-Jul-08	18-May-09	301	5,419.91	8,864.12	(3,444.20)	Short-Term
PRGO	PERRIGO COMPANY CMN	6-May-09	2-Nov-09	48	1,824.35	1,215.35	609.00	Short-Term
PRGO	PERRIGO COMPANY CMN	6-May-09	16-Nov-09	65	2,605.98	1,645.78	960.20	Short-Term
PRGO	PERRIGO COMPANY CMN	6-May-09	24-Nov-09	2	79.80	50.64	29.16	Short-Term
PRGO	PERRIGO COMPANY CMN	6-May-09	24-Nov-09	29	1,157.13	724.86	432.27	Short-Term
HK	PETROHAWK ENERGY CORPORATION CMN	17-Feb-09	17-Jun-09	247	5,685.05	4,828.03	857.02	Short-Term
	RELIANT ENERGY INC CMN	1-May-08	20-Feb-09	352	1,425.63	8,799.47	(7,373.84)	Short-Term
	RELIANT ENERGY INC CMN	5-May-08	20-Feb-09	50	202.50	1,242.28	(1,039.77)	Short-Term
	RELIANT ENERGY INC CMN	2-May-08	20-Feb-09	359	1,453.98	9,011.15	(7,557.17)	Short-Term
	RELIANT ENERGY INC CMN	5-May-08	23-Feb-09	315	1,233.28	7,826.34	(6,593.06)	Short-Term
	RELIANT ENERGY INC CMN	18-Jul-08	23-Feb-09	68	266.23	1,220.22	(953.99)	Short-Term
SHS	SAUER-DANFOSS INC CMN USD0.2800	4-Nov-08	29-Apr-09	225	918.83	2,026.10	(1,107.27)	Short-Term
SHS	SAUER-DANFOSS INC CMN USD0.2800	7-Nov-08	29-Apr-09	127	518.63	1,140.70	(622.07)	Short-Term
	SEPRACOR INC. CMN	6-Jun-08	2-Feb-09	225	3,479.09	4,575.96	(1,096.87)	Short-Term
	SEPRACOR INC. CMN	6-Jun-08	10-Feb-09	28	457.00	569.45	(112.45)	Short-Term
	SEPRACOR INC. CMN	9-Jun-08	10-Feb-09	72	1,175.15	1,448.45	(273.30)	Short-Term
	SEPRACOR INC. CMN	9-Jun-08	11-Feb-09	128	2,124.01	2,575.01	(451.00)	Short-Term
	SEPRACOR INC. CMN	16-Jul-08	29-Jun-09	180	3,070.30	3,690.43	(620.13)	Short-Term
	SEPRACOR INC. CMN	16-Jul-08	30-Jun-09	23	391.92	471.56	(79.64)	Short-Term
	SEPRACOR INC. CMN	26-Sep-08	29-Jul-09	328	5,413.40	5,655.54	(242.14)	Short-Term
	SEPRACOR INC. CMN	1-May-09	4-Aug-09	91	1,629.44	1,197.08	432.36	Short-Term
	SEPRACOR INC. CMN	4-May-09	4-Aug-09	91	1,629.44	1,249.25	380.19	Short-Term
	SEPRACOR INC. CMN	26-Sep-08	4-Aug-09	367	6,571.47	6,328.00	243.47	Short-Term
SFD	SMITHFIELD FOODS INC. CMN	11-Apr-08	19-Mar-09	55	467.60	1,390.52	(922.92)	Short-Term
TIN	TEMPLE-INLAND INC. CMN	1-May-08	22-Apr-09	395	3,484.95	4,714.01	(1,229.06)	Short-Term
TIN	TEMPLE-INLAND INC. CMN	15-Oct-08	13-May-09	108	1,123.31	1,049.38	73.93	Short-Term
TIN	TEMPLE-INLAND INC. CMN	15-Jan-09	14-May-09	9	92.12	34.39	57.74	Short-Term
TIN	TEMPLE-INLAND INC. CMN	15-Oct-08	14-May-09	65	665.34	631.57	33.77	Short-Term

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TIN	TEMPLE-INLAND INC. CMN	1-May-08	9-Apr-09	117	684.64	1,396.30	(711.66)	Short-Term
TIN	TEMPLE-INLAND INC. CMN	2-May-08	22-Apr-09	26	229.39	313.51	(84.12)	Short-Term
TIN	TEMPLE-INLAND INC. CMN	15-Jan-09	23-Jul-09	129	1,875.21	492.87	1,382.34	Short-Term
THS	TREEHOUSE FOODS, INC. CMN	4-Aug-09	13-Aug-09	215	7,937.81	6,878.00	1,059.81	Short-Term
UNM	UNUM GROUP CMN	9-Oct-08	25-Jun-09	144	2,271.29	2,121.96	149.34	Short-Term
UNM	UNUM GROUP CMN	9-Oct-08	29-Jun-09	97	1,550.55	1,429.37	121.18	Short-Term
UNM	UNUM GROUP CMN	10-Oct-08	29-Jun-09	104	1,662.44	1,563.68	98.76	Short-Term
UNM	UNUM GROUP CMN	17-Oct-08	29-Jun-09	25	399.63	418.07	(18.45)	Short-Term
UNM	UNUM GROUP CMN	17-Oct-08	30-Jun-09	143	2,258.27	2,391.37	(133.10)	Short-Term
UNM	UNUM GROUP CMN	17-Oct-08	30-Jun-09	85	1,335.15	1,421.45	(86.30)	Short-Term
UNM	UNUM GROUP CMN	23-Oct-08	30-Jun-09	227	3,584.80	3,287.23	297.57	Short-Term
UNM	UNUM GROUP CMN	4-Feb-09	30-Jun-09	163	2,574.11	2,307.66	266.45	Short-Term
TOTAL SHORT TERM					271,181.16	253,490.19	17,690.96	
ACGY	ACERGY S. A. SPONSORED ADR CMN	24-Dec-07	12-Feb-09	117	738.44	2,478.39	(1,739.95)	Long-Term
ACGY	ACERGY S. A. SPONSORED ADR CMN	24-Dec-07	23-Jun-09	244	2,139.75	5,168.60	(3,028.85)	Long-Term
ACGY	ACERGY S. A. SPONSORED ADR CMN	24-Dec-07	13-Aug-09	81	849.51	1,715.81	(866.30)	Long-Term
ACGY	ACERGY S. A. SPONSORED ADR CMN	24-Dec-07	2-Nov-09	193	2,429.32	4,088.28	(1,658.96)	Long-Term
ACGY	ACERGY S. A. SPONSORED ADR CMN	24-Dec-07	21-Oct-09	339	4,848.38	7,180.97	(2,332.59)	Long-Term
ACGY	ACERGY S. A. SPONSORED ADR CMN	24-Dec-07	10-Dec-09	85	1,284.82	1,800.54	(515.72)	Long-Term
ACGY	ACERGY S. A. SPONSORED ADR CMN	9-Sep-08	10-Dec-09	77	1,163.90	953.88	210.01	Long-Term
ACGY	ACERGY S. A. SPONSORED ADR CMN	9-Sep-08	11-Dec-09	35	525.16	433.58	91.58	Long-Term
AYI	ACUITY BRANDS INC CMN	24-Dec-07	18-Mar-09	185	4,234.60	8,474.85	(4,240.25)	Long-Term
AYI	ACUITY BRANDS INC CMN	24-Dec-07	19-Mar-09	19	435.48	870.39	(434.91)	Long-Term
AYI	ACUITY BRANDS INC CMN	24-Dec-07	19-Mar-09	76	1,736.19	3,481.56	(1,745.37)	Long-Term
ARW	ARROW ELECTRONICS INC. CMN	5-Feb-07	9-Feb-09	44	856.78	1,566.73	(709.95)	Long-Term
ARW	ARROW ELECTRONICS INC. CMN	26-Oct-07	5-May-09	10	237.67	395.68	(158.01)	Long-Term
ARW	ARROW ELECTRONICS INC. CMN	5-Feb-07	5-May-09	35	831.84	1,246.27	(414.42)	Long-Term
ARW	ARROW ELECTRONICS INC. CMN	23-Oct-07	5-May-09	51	1,212.12	2,045.90	(833.78)	Long-Term
ARW	ARROW ELECTRONICS INC. CMN	26-Oct-07	13-Aug-09	22	579.03	870.50	(291.47)	Long-Term
AVT	AVNET INC. CMN	21-Dec-07	9-Feb-09	30	624.12	1,078.20	(454.08)	Long-Term

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AVT	AVNET INC. CMN	26-Dec-07	9-Feb-09	76	1,581.09	2,732.12	(1,151.02)	Long-Term
AVT	AVNET INC. CMN	26-Dec-07	9-Dec-09	37	1,054.86	1,330.11	(275.25)	Long-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	16-Jul-08	23-Jul-09	302	2,657.25	2,496.06	161.19	Long-Term
BRCD	BROCADE COMMUNICATIONS SYSTEMS CMN	17-Jul-08	23-Jul-09	278	2,446.08	2,303.87	142.21	Long-Term
CENX	CENTURY ALUMINUM COMPANY CMN	5-Feb-07	3-Mar-09	61	85.42	2,735.25	(2,649.83)	Long-Term
CENX	CENTURY ALUMINUM COMPANY CMN	24-Dec-07	3-Mar-09	95	138.57	5,208.85	(5,070.28)	Long-Term
CENX	CENTURY ALUMINUM COMPANY CMN	5-Feb-07	3-Mar-09	20	29.17	896.80	(867.63)	Long-Term
	DOMTAR CORP CMN	24-Dec-07	3-Mar-09	1,190	718.04	9,678.63	(8,960.59)	Long-Term
	DOMTAR CORP CMN	24-Dec-07	4-Mar-09	732	394.47	5,953.58	(5,559.11)	Long-Term
	DOMTAR CORP CMN	20-Feb-08	4-Mar-09	257	138.50	1,703.91	(1,565.41)	Long-Term
	ELIZABETH ARDEN INC CMN	8-Aug-08	13-Aug-09	64	667.10	1,138.88	(471.78)	Long-Term
RDEN	ELIZABETH ARDEN INC CMN	8-Aug-08	18-Nov-09	42	633.34	747.39	(114.05)	Long-Term
RDEN	ELIZABETH ARDEN INC CMN	12-Aug-08	18-Nov-09	106	1,598.44	1,882.15	(283.71)	Long-Term
BGC	GENERAL CABLE CORP CMN	24-Dec-07	13-May-09	63	2,067.90	4,711.14	(2,643.24)	Long-Term
BGC	GENERAL CABLE CORP CMN	16-Jan-08	13-May-09	183	6,006.76	10,285.39	(4,278.63)	Long-Term
ROCK	GIBALTAR INDUSTRIES INC CMN	24-Dec-07	9-Feb-09	34	372.75	535.39	(162.64)	Long-Term
ROCK	GIBALTAR INDUSTRIES INC CMN	24-Dec-07	11-Feb-09	103	1,048.39	1,621.92	(573.53)	Long-Term
ROCK	GIBALTAR INDUSTRIES INC CMN	24-Dec-07	19-Feb-09	141	1,286.46	2,220.30	(933.84)	Long-Term
ROCK	GIBALTAR INDUSTRIES INC CMN	24-Dec-07	23-Feb-09	78	619.89	1,228.25	(608.36)	Long-Term
ROCK	GIBALTAR INDUSTRIES INC CMN	24-Dec-07	24-Feb-09	147	1,140.61	2,314.78	(1,174.17)	Long-Term
ROCK	GIBALTAR INDUSTRIES INC CMN	2-May-08	16-Sep-09	19	277.29	205.43	71.85	Long-Term
ROCK	GIBALTAR INDUSTRIES INC CMN	24-Dec-07	16-Sep-09	390	5,691.71	6,141.25	(449.54)	Long-Term
ROCK	GIBALTAR INDUSTRIES INC CMN	27-Dec-07	16-Sep-09	15	218.91	225.71	(6.80)	Long-Term
ROCK	GIBALTAR INDUSTRIES INC CMN	2-May-08	17-Sep-09	216	3,306.50	2,335.46	971.04	Long-Term
ROCK	GIBALTAR INDUSTRIES INC CMN	2-May-08	6-Oct-09	52	698.03	562.24	135.79	Long-Term
ROCK	GIBALTAR INDUSTRIES INC CMN	2-May-08	7-Oct-09	90	1,205.96	973.11	232.86	Long-Term
KMT	KENNAMETAL INC. CMN	5-Feb-07	14-May-09	138	2,509.50	4,234.89	(1,725.39)	Long-Term
KMT	KENNAMETAL INC. CMN	5-Feb-07	15-May-09	12	210.22	368.25	(158.03)	Long-Term
KMT	KENNAMETAL INC. CMN	24-Dec-07	15-May-09	92	1,611.68	3,660.68	(2,049.00)	Long-Term
PKG	PACKAGING CORP OF AMERICA COMMON STOCK	24-Dec-07	21-Dec-09	52	1,229.44	1,474.20	(244.76)	Long-Term

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PKG	PACKAGING CORP OF AMERICA COMMON STOCK	24-Dec-07	22-Dec-09	62	1,464.72	1,757.70	(292.98)	Long-Term
PBCT	PEOPLES UNITED FINANCIAL INC CMN	24-Dec-07	13-Aug-09	77	1,303.05	1,321.41	(18.36)	Long-Term
ROC	ROCKWOOD HOLDINGS, INC. CMN	5-Feb-07	2-Feb-09	106	767.59	2,810.51	(2,042.92)	Long-Term
ROC	ROCKWOOD HOLDINGS, INC. CMN	24-Dec-07	2-Feb-09	19	137.59	636.69	(499.10)	Long-Term
ROC	ROCKWOOD HOLDINGS, INC. CMN	24-Dec-07	4-Feb-09	162	1,197.69	5,428.62	(4,230.93)	Long-Term
ROC	ROCKWOOD HOLDINGS, INC. CMN	24-Dec-07	5-Feb-09	291	2,195.08	9,751.41	(7,556.33)	Long-Term
SHS	SAUER-DANFOSS INC CMN USD0.2800	24-Dec-07	18-Mar-09	179	546.66	4,786.86	(4,240.20)	Long-Term
SHS	SAUER-DANFOSS INC CMN USD0.2800	24-Dec-07	24-Apr-09	27	108.42	722.04	(613.62)	Long-Term
SHS	SAUER-DANFOSS INC CMN USD0.2800	24-Dec-07	28-Apr-09	81	310.13	2,166.12	(1,855.99)	Long-Term
SHS	SAUER-DANFOSS INC CMN USD0.2800	24-Dec-07	24-Mar-09	320	951.77	8,557.51	(7,605.74)	Long-Term
SHS	SAUER-DANFOSS INC CMN USD0.2800	24-Dec-07	2-Apr-09	65	165.74	1,738.24	(1,572.50)	Long-Term
SHS	SAUER-DANFOSS INC CMN USD0.2800	24-Dec-07	29-Apr-09	61	249.10	1,631.27	(1,382.17)	Long-Term
	SEPRACOR INC. CMN	9-Jun-08	29-Jun-09	15	255.86	301.76	(45.90)	Long-Term
	SEPRACOR INC. CMN	16-Jul-08	24-Jul-09	22	359.28	451.05	(91.77)	Long-Term
	SEPRACOR INC. CMN	17-Jul-08	24-Jul-09	66	1,077.84	1,365.52	(287.68)	Long-Term
	SEPRACOR INC. CMN	17-Jul-08	29-Jul-09	21	346.59	434.48	(87.89)	Long-Term
SFD	SMITHFIELD FOODS INC. CMN	24-Dec-07	18-Mar-09	401	3,490.40	11,839.29	(8,348.89)	Long-Term
SFD	SMITHFIELD FOODS INC. CMN	24-Dec-07	19-Mar-09	307	2,610.07	9,063.99	(6,453.92)	Long-Term
SM	ST. MARY LAND & EXPL CO. CMN	9-May-08	29-Oct-09	30	1,060.98	1,443.02	(382.04)	Long-Term
SM	ST. MARY LAND & EXPL CO. CMN	9-May-08	30-Oct-09	16	555.13	769.61	(214.48)	Long-Term
SFG	STANCORP FINANCIAL CORP, INC. CMN	16-Apr-08	21-Dec-09	18	719.09	899.15	(180.06)	Long-Term
SFG	STANCORP FINANCIAL CORP, INC. CMN	16-Apr-08	23-Dec-09	120	4,818.63	5,994.32	(1,175.69)	Long-Term
TIN	TEMPLE-INLAND INC. CMN	20-Mar-08	9-Apr-09	287	1,679.42	3,568.24	(1,888.82)	Long-Term
TIN	TEMPLE-INLAND INC. CMN	2-May-08	13-May-09	115	1,196.12	1,386.68	(190.56)	Long-Term
TIN	TEMPLE-INLAND INC. CMN	20-Feb-08	6-Apr-09	227	1,317.93	3,602.97	(2,285.04)	Long-Term
TIN	TEMPLE-INLAND INC. CMN	19-Feb-08	6-Apr-09	75	435.44	1,211.96	(776.52)	Long-Term
TIN	TEMPLE-INLAND INC. CMN	20-Feb-08	9-Apr-09	63	368.65	999.94	(631.29)	Long-Term
TIN	TEMPLE-INLAND INC. CMN	19-Mar-08	9-Apr-09	56	327.69	689.49	(361.80)	Long-Term
TWGP	TOWER GROUP, INC. CMN	24-Dec-07	6-May-09	49	1,317.76	1,750.97	(433.21)	Long-Term
TWGP	TOWER GROUP, INC. CMN	24-Dec-07	7-May-09	99	2,673.12	3,537.68	(864.56)	Long-Term

AMMON FDN SCV - NWQ 030-27410-4 (AD DI)(SA)

From 01-Jan-2009 To 31-Dec-2009

Separate Account Strategy : NWQ: Small/Mid Cap Value

Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
UNM	UNUM GROUP CMN	16-Apr-08	6-May-09	262	4,713.49	6,097.32	(1,383.83)	Long-Term
UNM	UNUM GROUP CMN	16-Apr-08	25-Jun-09	110	1,735.02	2,559.94	(824.93)	Long-Term
WCC	WESCO INTERNATIONAL INC. CMN	17-Jul-07	6-Oct-09	40	1,118.98	2,527.78	(1,408.80)	Long-Term
WCC	WESCO INTERNATIONAL INC. CMN	16-Jul-07	6-Oct-09	6	167.85	375.22	(207.37)	Long-Term
WCC	WESCO INTERNATIONAL INC. CMN	24-Jul-07	6-Oct-09	26	727.34	1,524.39	(797.05)	Long-Term
WCC	WESCO INTERNATIONAL INC. CMN	5-Oct-07	21-Dec-09	42	1,217.97	1,919.72	(701.75)	Long-Term
WCC	WESCO INTERNATIONAL INC. CMN	24-Dec-07	21-Dec-09	34	985.98	1,374.46	(388.48)	Long-Term
WCC	WESCO INTERNATIONAL INC. CMN	24-Dec-07	22-Dec-09	28	796.89	1,131.91	(335.02)	Long-Term
TOTAL LONG TERM					111,842.50	233,885.35	(122,042.86)	
GRAND TOTAL					383,023.66	487,375.54	(104,351.90)	

AMMON FDN TACTICAL TILTS 030-30582-5 (AD DI)
From 01-Jan-2009 To 31-Dec-2009
Base Currency :USD and Custodian :Goldman Sachs & Company

Realized Gains/Losses

<u>Symbol</u>	<u>Description</u>	<u>Trade Date</u>	<u>Date Sold</u>	<u>Qty</u>	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/Loss</u>	<u>Gain Type</u>
EWJ	ISHARES MSCI JAPAN INDEX FD MARKET INDEX	30-Sep-08	1-May-09	18692	159,438.63	200,004.40	(40,565.77)	Short-Term
EWU	ISHARES MSCI UNITED KINGDOM INDEX FUND ETF	1-May-09	3-Jun-09	12397	166,468.81	150,002.46	16,466.35	Short-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	22-Sep-08	11-Sep-09	16499	107,739.64	111,699.45	(3,959.80)	Short-Term
OIH	OIL SERVICE HOLDERS TRUST CMN	18-Jun-09	24-Jul-09	55	5,866.30	5,631.87	234.43	Short-Term
OIH	OIL SERVICE HOLDERS TRUST CMN	18-Jun-09	20-Aug-09	1400	147,045.91	143,356.64	3,689.27	Short-Term
XLK	TECHNOLOGY SELECT INDEX 'SPDR'	20-May-09	24-Jul-09	4217	82,215.04	74,115.46	8,099.58	Short-Term
	TOTAL SHORT TERM				668,774.33	684,810.28	(16,035.94)	
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	31-May-07	3-Aug-09	9064	57,918.14	75,139.50	(17,221.36)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	29-Jun-07	3-Aug-09	926	5,918.24	7,502.00	(1,583.76)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	21-Dec-07	3-Aug-09	6508	41,585.21	49,850.19	(8,264.98)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	31-May-07	3-Aug-09	907	5,794.57	7,517.52	(1,722.95)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	31-Jul-07	3-Aug-09	697	4,456.94	5,384.60	(927.66)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	31-Oct-07	3-Aug-09	500	3,193.17	3,967.73	(774.56)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	30-Apr-07	3-Aug-09	903	5,772.93	7,471.38	(1,698.45)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	28-Sep-07	3-Aug-09	495	3,165.28	3,933.07	(767.79)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	30-Nov-07	3-Aug-09	518	3,309.29	3,982.54	(673.25)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	31-Aug-07	3-Aug-09	503	3,212.76	3,911.62	(698.86)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	30-Mar-07	3-Aug-09	105	673.46	864.22	(190.76)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	21-Dec-07	20-Aug-09	20	125.16	149.81	(24.64)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	31-Dec-07	20-Aug-09	821	5,255.46	6,265.49	(1,010.03)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	29-Feb-08	20-Aug-09	503	3,220.58	3,608.05	(387.47)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	30-Apr-08	20-Aug-09	492	3,147.37	3,663.73	(516.36)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	31-Jan-08	20-Aug-09	590	3,775.48	4,324.10	(548.62)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	31-Mar-08	20-Aug-09	510	3,263.06	3,640.35	(377.29)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	30-May-08	20-Aug-09	497	3,179.38	3,696.03	(516.65)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	30-Jun-08	20-Aug-09	532	3,406.02	3,789.20	(383.18)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	18-Jul-08	20-Aug-09	24161	154,627.50	168,399.01	(13,771.51)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	29-Aug-08	11-Sep-09	236	1,538.08	1,637.00	(98.92)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	31-Jul-08	11-Sep-09	548	3,577.97	3,813.58	(235.61)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	29-Aug-08	11-Sep-09	564	3,680.13	3,916.83	(236.70)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	18-Jul-08	11-Sep-09	8121	53,027.90	56,600.99	(3,573.09)	Long-Term
GSHX	GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	31-Jul-08	11-Sep-09	67	436.28	465.01	(28.73)	Long-Term
SPXABS20	EKSPORTFINANS ASA LINKED TO S&P 500 0% COUPON DUE 10/29	18-Jul-08	29-Oct-09	225	225,000.00	231,864.73	(6,864.73)	Long-Term
SPXABS11	THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 0% COUP	13-Mar-08	29-Jun-09	450	450,000.00	475,888.03	(25,888.03)	Long-Term
	TOTAL LONG TERM				1,052,260.36	1,141,246.31	(88,985.94)	
	GRAND TOTAL				1,721,034.69	1,826,056.59	(105,021.88)	

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization THE CAROL A AMMON FOUNDATION, INC.	Employer identification number 20-2029151
	Number, street, and room or suite no. If a P.O. box, see instructions THE AYCO COMPANY, LP PO BOX 860	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. SARATOGA SPRINGS, NY 12866-0860	

Check type of return to be filed (file a separate application for each return):

☐ Form 990	☐ Form 990-T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (sec. 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☒ Form 990-PF	☐ Form 1041-A	☐ Form 8870

- The books are in the care of ► **THE AYCO COMPANY C/O E POWELL**

Telephone No ► **518 866-4213**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **08/16 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ☒ calendar year **2009** or
► ☐ tax year beginning _____, _____, and ending _____

2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 10,775.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 5,775.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 5,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization THE CAROL A AMMON FOUNDATION, INC.	Employer identification number 20-2029151
	Number, street, and room or suite no. If a P.O. box, see instructions. THE AYCO COMPANY, LP PO BOX 860	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions SARATOGA SPRINGS, NY 12866-0860	

Check type of return to be filed (File a separate application for each return):

☐ Form 990	☒ Form 990-PF	☐ Form 1041-A	☐ Form 6069
☐ Form 990-BL	☐ Form 990-T (sec. 401(a) or 408(a) trust)	☐ Form 4720	☐ Form 8870
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 5227	

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of **THE AYCO COMPANY C/O E POWELL**
Telephone No. **518 866-4213** FAX No. _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **08/16/2010**.
- 5 For calendar year **2009**, or other tax year beginning _____, and ending _____.
- 6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension
THIRD PARTY INFORMATION NECESSARY TO COMPLETE RETURN IS OUTSTANDING.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$	10,775.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	5,775.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$	5,000.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature *E. Powell, CPA* Title _____ Date 8/12/10

THE AYCO COMPANY, LP
PO BOX 15014
ALBANY, NY 12212-5014

Form **8868** (Rev 4-2009)