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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeUse the
IRS label.
Otherwise,
print
or type
See Specific
Instructions.ENLIGHT FOUNDATION
954 ROBLE RIDGE ROAD
PALO ALTO, CA 94306

A Employer identification number

20-1063909

B Telephone number (see the instructions)

(650) 804-1399

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, column (c), line 16)

\$ 59,436,750.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (att sch)

2 Check ☒ if the foundation is not required to attach Schedule B

3 Interest on savings and temporary cash investments

24,440.

24,440.

4 Dividends and interest from securities

630,395.

630,395.

5a Gross rents

b Net rental income or (loss)

STATEMENT 1

6a Net gain/(loss) from sale of assets not on line 10

5,275,378.

b Gross sales price for all assets on line 6a 47,308,962.

7 Capital gain net income (from Part IV, line 2)

5,275,378.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

SEE STATEMENT 2

229,781.

12 Total. Add lines 1 through 11

6,159,994.

5,930,213.

0.

13 Compensation of officers, directors, trustees, etc

36,000.

12,000.

24,000.

14 Other employee salaries and wages

15 Pension plans; employee benefits

16a Legal fees (attach schedule) SEE ST 3

15,806.

5,269.

10,537.

b Accounting fees (attach sch)

c Other prof fees (attach sch)

17 Interest

18 Taxes (attach schedule) (see instr)

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

SEE STATEMENT 4

4,455.

1,325.

3,130.

24 Total operating and administrative expenses. Add lines 13 through 23

56,261.

18,594.

37,667.

25 Contributions, gifts, grants paid PART XV

140,700.

140,700.

26 Total expenses and disbursements. Add lines 24 and 25

196,961.

18,594.

0.

178,367.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

5,963,033.

b Net investment income (if negative, enter -0-)

5,911,619.

c Adjusted net income (if negative, enter -0-)

0.

314-15

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	68,504.	6,995,063.	6,995,063.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions).			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)			
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
LIABILITIES	Less: accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)	48,461,563.	47,488,351.	52,441,687.
	14 Land, buildings, and equipment basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe)			
	16 Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)	48,530,067.	54,483,414.	59,436,750.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
FUND ASSETS OR BALANCES	20 Loans from officers, directors, trustees, & other disqualified persons.			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)	9,686.		
	23 Total liabilities (add lines 17 through 22)	9,686.	0.	
FUND ASSETS OR BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	X		
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	48,520,381.	54,483,414.	
	30 Total net assets or fund balances (see the instructions)	48,520,381.	54,483,414.	
	31 Total liabilities and net assets/fund balances (see the instructions)	48,530,067.	54,483,414.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	48,520,381.
2	Enter amount from Part I, line 27a	2	5,963,033.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	54,483,414.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	54,483,414.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>		2	5,275,378.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8 </div>		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	8,583,318.	45,537,907.	0.188487
2007	3,907,718.	53,568,283.	0.072948
2006	900,292.	52,044,939.	0.017298
2005	754,869.	32,538,804.	0.023199
2004	812.	1,723,783.	0.000471

2 Total of line 1, column (d)	2	0.302403
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.060481
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	49,701,672.
5 Multiply line 4 by line 3	5	3,006,007.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	59,116.
7 Add lines 5 and 6	7	3,065,123.
8 Enter qualifying distributions from Part XII, line 4	8	178,367.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter. _____ (attach copy of letter if necessary - see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	118,232.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	118,232.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	118,232.
6 Credits/Payments:			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	56,000.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	56,000.	
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	1,387.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	63,619.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XIV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) CA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses.</i>		X

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Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>YONG PING DUAN</u> Telephone no. <u>(650) 804-1399</u> Located at <u>954 ROBLE RIDGE ROAD PALO ALTO CA</u> ZIP + 4 <u>94306</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>	N/A		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
YONG PING DUAN 954 ROBLE RIDGE ROAD PALO ALTO, CA 94306	DIRECTOR 5.00	18,000.	0.	0.
XIN LIU 954 ROBLE RIDGE ROAD PALO ALTO, CA 94306	PRESIDENT & 10.00	18,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a Average monthly fair market value of securities	1a	45,796,159.
b Average of monthly cash balances	1b	2,135,841.
c Fair market value of all other assets (see instructions)	1c	2,526,550.
d Total (add lines 1a, b, and c)	1d	50,458,550.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	50,458,550.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	756,878.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	49,701,672.
6 Minimum investment return. Enter 5% of line 5	6	2,485,084.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	2,485,084.
2a Tax on investment income for 2009 from Part VI, line 5	2a	118,232.	
b Income tax for 2009. (This does not include the tax from Part VI.)	2b		
c Add lines 2a and 2b		2c	118,232.
3 Distributable amount before adjustments. Subtract line 2c from line 1		3	2,366,852.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	2,366,852.
6 Deduction from distributable amount (see instructions)		6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	2,366,852.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	178,367.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	178,367.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	178,367.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				2,366,852.
2 Undistributed income, if any, as of the end of 2009.				
a Enter amount for 2008 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				5,782,413.
f Total of lines 3a through e	5,782,413.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 178,367.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount				178,367.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	2,188,485.			2,188,485.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	3,593,928.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	3,593,928.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008	3,593,928.			
e Excess from 2009				

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a 'Assets' alternative test – enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i).

b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c 'Support' alternative test – enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

YONG PING DUAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc. Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> SEE STATEMENT 5				
Total			3a	140,700.
<i>b Approved for future payment</i>				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	24,440.	
4 Dividends and interest from securities			14	630,395.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	5,275,378.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a		229,781.			
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		229,781.		5,930,213.	
13 Total. Add line 12, columns (b), (d), and (e)				13	6,159,994.

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

SCH(D) SHORT-TERM CAPITAL GAINS AND LOSSES
ENLIGHT FOUNDATION

2009
FEIN#20-1063909

QUANTITY			DESCRIPTION	DATE ACQUIRE	DATE SOLD	SALES PRICE	COST BASIS	GAIN OR (LOSS)
200	SH	LONG	NETEASE	12/15/05	03/16/09	3,991	2,916	1,075
1,100	SH	LONG	NETEASE	12/15/05	03/16/09	19,241	16,036	3,205
34,348	SH	LONG	NETEASE	12/15/05	03/17/09	686,948	500,723	186,225
152	SH	LONG	NETEASE	12/16/05	03/17/09	3,040	2,173	867
39,848	SH	LONG	NETEASE	12/16/05	03/20/09	796,955	569,737	227,218
86,596	SH	LONG	NETEASE	12/16/05	03/20/09	1,731,909	1,228,631	503,278
52,400	SH	LONG	NETEASE	01/05/06	03/20/09	1,047,993	739,532	308,461
80,000	SH	LONG	NETEASE	01/10/06	03/20/09	1,599,990	1,144,210	455,780
117,201	SH	LONG	NETEASE	04/26/06	03/20/09	2,344,005	2,695,623	(351,618)
42,291	SH	LONG	NETEASE	05/02/06	03/20/09	845,815	908,849	(63,034)
80,721	SH	LONG	NETEASE	06/22/06	03/20/09	1,614,410	1,615,237	(827)
92,343	SH	LONG	NETEASE	07/24/06	03/20/09	1,846,848	1,823,862	22,986
7,300	SH	LONG	NETEASE	07/24/06	03/31/09	145,990	144,182	1,808
10,000	SH	LONG	NETEASE	07/24/06	04/08/09	199,986	197,509	2,477
13,700	SH	LONG	NETEASE	07/24/06	04/13/09	273,984	270,588	3,396
68,900	SH	LONG	NETEASE	07/24/06	04/17/09	1,377,956	1,360,840	17,116
10,000	SH	LONG	NETEASE	07/24/06	05/15/09	299,983	197,509	102,474
21,840	SH	LONG	NETEASE	07/24/06	05/15/09	633,342	431,361	201,981
36,399	SH	LONG	NETEASE	07/27/06	05/15/09	1,055,542	647,826	407,716
10,601	SH	LONG	NETEASE	07/28/06	05/15/09	307,420	188,718	118,702
9,500	SH	LONG	NETEASE	07/31/06	05/15/09	275,492	164,170	111,322
75,960	SH	LONG	NETEASE	08/24/06	05/15/09	2,202,779	1,298,313	904,466
3,100	SH	LONG	NETEASE	08/24/06	05/28/09	61,989	52,985	9,004
1,000	SH	LONG	NETEASE	08/24/06	06/03/09	28,990	17,092	11,898
4,800	SH	LONG	NETEASE	08/24/06	06/04/09	139,187	82,042	57,145
100	SH	LONG	NETEASE	08/24/06	06/04/09	2,991	1,709	1,282
11,100	SH	LONG	NETEASE	08/24/06	06/04/09	221,985	189,722	32,263
800	SH	LONG	NETEASE	08/24/06	06/05/09	23,190	13,674	9,516
1,000	SH	LONG	NETEASE	08/24/06	06/09/09	28,990	17,092	11,898
12,100	SH	LONG	NETEASE	08/24/06	06/10/09	350,882	206,814	144,068
45,900	SH	LONG	NETEASE	08/24/06	06/19/09	1,331,059	784,526	546,533
14,400	SH	LONG	NETEASE	08/25/09	06/19/09	417,588	244,657	172,931
19,900	SH	LONG	NETEASE	08/25/09	06/19/09	596,976	338,103	258,873
25,800	SH	LONG	NETEASE	08/25/09	06/19/09	515,978	438,344	77,634
30,000	SH	LONG	NETEASE	08/25/09	06/19/09	674,975	509,703	165,272
100	SH	LONG	NETEASE	08/25/09	06/22/09	1,991	1,700	291
14,800	SH	LONG	NETEASE	08/25/06	07/28/09	295,989	251,453	44,536
10,000	SH	LONG	NETEASE	10/05/06	07/28/09	199,992	163,920	36,072
9,600	SH	LONG	NETEASE	12/19/06	07/28/09	191,992	170,794	21,198
400	SH	LONG	NETEASE	12/19/06	07/28/09	9,998	7,116	2,882
2,100	SH	LONG	NETEASE	08/17/07	07/28/09	52,491	30,792	21,699
1,300	SH	LONG	NETEASE	08/17/07	07/31/09	32,490	19,062	13,428
25,600	SH	LONG	NETEASE	08/17/07	09/18/09	511,978	375,375	136,603
30,000	SH	LONG	NETEASE	08/17/07	09/18/09	674,974	439,893	235,081
6,000	SH	LONG	NETEASE	08/17/07	09/18/09	149,987	87,978	62,009
200	SH	LONG	NETEASE	08/20/07	09/18/09	5,000	2,896	2,104
7,000	SH	LONG	NETEASE	08/20/07	11/16/09	139,987	101,356	38,631
500	SH	LONG	NETEASE	08/20/07	12/04/09	12,491	7,240	5,251
32,300	SH	LONG	NETEASE	08/20/07	12/29/09	645,977	467,688	178,289
10,000	SH	LONG	NETEASE	08/21/07	12/29/09	199,993	136,320	63,673

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SCH(D) SHORT-TERM CAPITAL GAINS AND LOSSES
ENLIGHT FOUNDATION

2009
FEIN#20-1063909

QUANTITY			DESCRIPTION	DATE ACQUIRE	DATE SOLD	SALES PRICE	COST BASIS	GAIN OR (LOSS)
3,500	SH	LONG	NETEASE	08/22/07	12/29/09	69,998	49,917	20,081
1,214,800						26,903,727	21,358,508	5,545,219

1,500	CALL ASSIGNED	NETEASE \$20.00	03/21/09	03/21/09	02/02/09	149,190	0	149,190
999	CALL ASSIGNED	NETEASE \$20.00	03/21/09	03/21/09	02/25/09	74,396	0	74,396
100	CALL ASSIGNED	NETEASE \$20.00	03/21/09	03/21/09	02/27/09	10,920	0	10,920
3,472	CALL ASSIGNED	NETEASE \$20.00	03/21/09	03/21/09	03/03/09	484,282	0	484,282
190	CALL ASSIGNED	NETEASE \$20.00	03/21/09	03/21/09	03/04/09	29,325	0	29,325
11	CALL ASSIGNED	NETEASE \$17.50	03/21/09	03/21/09	02/25/09	2,458	0	2,458
73	CALL ASSIGNED	NETEASE \$20.00	04/18/09	03/31/09	02/27/09	11,276	0	11,276
100	CALL ASSIGNED	NETEASE \$20.00	04/18/09	04/08/09	02/27/09	15,448	0	15,448
137	CALL ASSIGNED	NETEASE \$20.00	04/18/09	04/13/09	02/27/09	21,162	0	21,162
689	CALL ASSIGNED	NETEASE \$20.00	04/18/09	04/18/09	02/27/09	106,429	0	106,429
100	CALL ASSIGNED	NETEASE \$30.00	05/16/09	05/16/09	04/15/09	13,920	0	13,920
1,543	CALL ASSIGNED	NETEASE \$29.00	05/16/09	05/16/09	04/15/09	248,547	0	248,547
31	CALL ASSIGNED	NETEASE \$20.00	06/20/09	05/28/09	02/13/09	5,560	0	5,560
10	CALL ASSIGNED	NETEASE \$29.00	06/20/09	06/03/09	04/15/09	2,442	0	2,442
1	CALL ASSIGNED	NETEASE \$30.00	06/20/09	06/04/09	04/15/09	199	0	199
111	CALL ASSIGNED	NETEASE \$20.00	06/20/09	06/04/09	02/13/09	19,908	0	19,908
48	CALL ASSIGNED	NETEASE \$29.00	06/20/09	06/04/09	04/15/09	11,677	0	11,677
139	CALL ASSIGNED	NETEASE \$29.00	06/20/09	06/00/09	04/15/09	33,769	0	33,769
199	CALL ASSIGNED	NETEASE \$30.00	06/20/09	06/20/09	04/15/09	39,641	0	39,641
58	CALL ASSIGNED	NETEASE \$20.00	06/20/09	06/20/09	02/13/09	10,402	0	10,402
200	CALL ASSIGNED	NETEASE \$20.00	06/20/09	06/20/09	02/27/09	38,870	0	38,870
603	CALL ASSIGNED	NETEASE \$29.00	06/20/09	06/20/09	04/15/09	146,971	0	146,971
300	CALL ASSIGNED	NETEASE \$22.50	06/20/09	06/20/09	02/02/09	32,791	0	32,791
1	CALL ASSIGNED	NETEASE \$20.00	01/16/10	06/22/09	02/27/09	299	0	299
100	CALL ASSIGNED	NETEASE \$20.00	09/19/09	07/28/09	02/26/09	19,920	0	19,920
244	CALL ASSIGNED	NETEASE \$20.00	09/19/09	07/28/09	02/27/09	63,303	0	63,303
25	CALL ASSIGNED	NETEASE \$25.00	09/19/09	07/28/09	02/02/09	2,480	0	2,480
13	CALL ASSIGNED	NETEASE \$25.00	09/19/09	07/31/09	02/02/09	1,290	0	1,290
256	CALL ASSIGNED	NETEASE \$20.00	09/19/09	09/19/09	02/27/09	66,417	0	66,417
300	CALL ASSIGNED	NETEASE \$22.50	09/19/09	09/19/09	02/00/09	53,761	0	53,761
62	CALL ASSIGNED	NETEASE \$25.00	09/19/09	09/19/09	02/02/09	6,151	0	6,151
70	CALL ASSIGNED	NETEASE \$20.00	01/16/10	11/16/09	02/27/09	20,963	0	20,963
5	CALL ASSIGNED	NETEASE \$25.00	01/16/10	12/01/09	03/03/09	871	0	871
458	CALL ASSIGNED	NETEASE \$20.00	01/16/10	12/29/09	02/27/09	137,157	0	137,157
12,148						1,882,195	0	1,882,195

100	CALL EXPIRED	NETEASE \$20.00	01/17/09	01/17/09	04/17/07	27,420	0	27,420
200	CALL EXPIRED	NETEASE \$20.00	01/17/09	01/17/09	04/24/07	52,870	0	52,870
50	CALL EXPIRED	NETEASE \$22.50	01/17/09	01/17/09	04/17/07	9,202	0	9,202
70	CALL EXPIRED	NETEASE \$25.00	01/17/09	01/17/09	04/17/07	9,039	0	9,039
						98,531	0	98,531

SUB. TOTAL - CHARLES SCHWAB (P.2)						28,884,453	21,358,508	7,525,945
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SCH(D) SHORT-TERM CAPITAL GAINS AND LOSSES
ENLIGHT FOUNDATION

2009
FEIN#20-1063909

QUANTITY			DESCRIPTION	DATE ACQUIRE	DATE SOLD	SALES PRICE	COST BASIS	GAIN OR (LOSS)
100	CALL EXPIRED		NETEASE \$22.50 01/17/09	01/17/09	02/27/08	23,420	0	23,420
90	CALL EXPIRED		NETEASE \$25.00 01/17/09	01/17/09	05/02/08	26,475	0	26,475
100	CALL EXPIRED		NETEASE \$25.00 01/17/09	01/17/09	10/29/08	9,920	0	9,920
1,490	CALL EXPIRED		NETEASE \$25.00 01/17/09	01/17/09	11/03/08	265,320	0	265,320
1,000	CALL EXPIRED		NETEASE \$20.00 02/21/09	02/21/09	01/30/09	54,441	0	54,441
1,000	CALL EXPIRED		NETEASE \$20.00 02/21/09	02/21/09	02/02/09	54,470	0	54,470
200	CALL EXPIRED		NETEASE \$20.00 02/21/09	02/21/09	02/04/09	10,870	0	10,870
2,500	CALL EXPIRED		NETEASE \$22.50 03/21/09	03/21/09	03/03/09	61,220	0	61,220
100	CALL EXPIRED		NETEASE \$25.00 03/21/09	03/21/09	08/04/08	26,420	0	26,420
100	CALL EXPIRED		NETEASE \$25.00 03/21/09	03/21/09	10/29/08	16,921	0	16,921
						549,477	0	549,477
6,000	SH	LONG	NETEASE	12/15/05	03/03/09	126,044	87,468	38,576
5,712	SH	LONG	NETEASE	07/27/06	04/15/09	168,795	112,817	55,978
						294,839	200,285	94,554
20,000	SH		GIANT INTERACTIVE	09/12/08	01/06/09	128,441	158,741	(30,300)
						128,441	158,741	(30,300)
182,300	SH		GIANT INTERACTIVE	09/16/08	04/17/09	1,367,206	1,446,926	(79,720)
182,300						1,367,206	1,446,926	(79,720)
28	CALL ASSIGNED	GA \$7.50	04/18/09	04/18/09	09/19/08	3,610	0	3,610
1,795	CALL ASSIGNED	GA \$7.50	04/18/09	04/18/09	09/23/08	150,922	0	150,922
1,823						154,532	0	154,532
100	CALL EXPIRED	GA \$7.50	01/17/09	01/17/09	09/17/08	9,420	0	9,420
205	CALL EXPIRED	GA \$7.50	04/18/09	04/18/09	09/23/08	17,018	0	17,018
320	CALL EXPIRED	GA \$7.50	04/18/09	04/18/09	09/24/08	26,438	0	26,438
180	CALL EXPIRED	GA \$7.50	04/18/09	04/18/09	09/25/08	15,652	0	15,652
1,000	CALL EXPIRED	GA \$7.50	04/18/09	04/18/09	10/29/08	49,352	0	49,352
1,000	CALL EXPIRED	GA \$10	06/20/09	06/20/09	05/21/09	4,470	0	4,470
1,000	CALL EXPIRED	GA \$10	07/18/09	07/18/09	05/21/09	4,470	0	4,470
64	CALL EXPIRED	GA \$10	08/22/09	08/22/09	07/20/09	263	0	263
50	CALL EXPIRED	GA \$10	08/22/09	08/22/09	07/20/09	204	0	204
						127,287	0	127,287
1,000	CALL	GIANT \$10	04/18/09	03/02/09	09/12/08	59,205	5,511	53,694
1,600	CALL	GIANT \$10	04/18/09	03/02/09	09/19/08	63,291	8,818	54,473
						122,496	14,329	108,167
SUB. TOTAL - CHARLES SCHWAB (P.3)						2,744,278	1,820,281	923,997

SCH(D) SHORT-TERM CAPITAL GAINS AND LOSSES
ENLIGHT FOUNDATION

2009
FEIN#20-1063909

QUANTITY			DESCRIPTION	DATE ACQUIRE	DATE SOLD	SALES PRICE	COST BASIS	GAIN OR (LOSS)
20,000	SH		GENERAL ELECTRIC	02/02/09	05/15/09	239,990	233,809	6,181
20,000	SH		GENERAL ELECTRIC	02/13/09	05/15/09	239,990	228,609	11,381
10,000	SH		GENERAL ELECTRIC	02/27/09	05/15/09	119,995	85,603	34,392
30,000	SH		GENERAL ELECTRIC	02/27/09	06/17/09	299,984	255,909	44,075
20,000	SH		GENERAL ELECTRIC	02/27/09	06/19/09	239,989	171,206	68,783
20,000	SH		GENERAL ELECTRIC	03/03/09	05/15/09	239,989	140,406	99,583
10,000	SH		GENERAL ELECTRIC	03/03/09	05/15/09	119,995	70,203	49,792
10,000	SH		GENERAL ELECTRIC	03/04/09	05/15/09	119,995	68,009	51,986
22,400	SH		GENERAL ELECTRIC	03/04/09	05/15/09	268,788	151,875	116,913
17,500	SH		GENERAL ELECTRIC	03/04/09	08/20/09	209,986	118,652	91,334
30,100	SH		GENERAL ELECTRIC	03/04/09	08/21/09	361,189	204,082	157,107
90,000	SH		GENERAL ELECTRIC	03/18/09	08/21/09	1,079,968	913,245	166,723
69,800	SH		GENERAL ELECTRIC	03/27/09	08/21/09	837,575	759,977	77,598
40,000	SH		GENERAL ELECTRIC	03/27/09	11/20/09	599,976	435,517	164,459
20,000	SH		GENERAL ELECTRIC	03/27/09	12/18/09	299,983	217,759	82,224
15,000	SH		GENERAL ELECTRIC	03/27/09	12/18/09	179,986	163,319	16,667
30,000	SH		GENERAL ELECTRIC	03/27/09	12/22/09	299,983	326,638	(26,655)
290,200	SH		GENERAL ELECTRIC	03/27/09	12/22/09	3,627,398	3,159,677	467,721
765,000						9,384,759	7,704,495	1,680,264
200	CALL ASSIGNED	GE \$12	05/16/09	05/16/09	04/15/09	20,741	0	20,741
300	CALL ASSIGNED	GE \$12	05/16/09	05/16/09	04/20/09	16,956	0	16,956
300	CALL ASSIGNED	GE \$10	06/20/09	06/17/09	06/20/09	19,320	0	19,320
400	CALL ASSIGNED	GE \$12	06/20/09	06/20/09	04/15/09	54,520	0	54,520
424	CALL ASSIGNED	GE \$12	06/20/09	08/19/09	06/22/09	31,999	0	31,999
175	CALL ASSIGNED	GE \$12	06/20/09	08/20/09	06/22/09	13,207	0	13,207
1,399	CALL ASSIGNED	GE \$12	06/20/09	08/22/09	06/22/09	105,580	0	105,580
500	CALL ASSIGNED	GE \$12	06/20/09	08/22/09	07/20/09	17,820	0	17,820
400	CALL ASSIGNED	GE \$15	11/21/09	11/21/09	10/30/09	9,141	0	9,141
150	CALL ASSIGNED	GE \$12	12/19/09	12/19/09	07/21/09	13,845	0	13,845
200	CALL ASSIGNED	GE \$15	12/19/09	12/19/09	10/30/09	9,870	0	9,870
300	CALL ASSIGNED	GE \$10	01/16/10	12/22/09	02/27/09	58,387	0	58,387
2,902	CALL ASSIGNED	GE \$12.50	01/16/10	12/22/09	3/09-6/09	431,916	0	431,916
7,650						803,302	0	803,302
800	CALL EXPIRED	GE \$13	06/20/09	06/20/09	04/15/09	73,110	0	73,110
1,550	CALL EXPIRED	GE \$13	06/20/09	06/20/09	04/20/09	95,030	0	95,030
200	CALL EXPIRED	GE \$14	06/20/09	06/20/09	06/01/09	7,870	0	7,870
200	CALL EXPIRED	GE \$12	07/18/09	07/18/09	06/18/09	14,670	0	14,670
300	CALL EXPIRED	GE \$12	07/18/09	07/18/09	06/22/09	12,720	0	12,720
						203,400	0	203,400
5,010	SH	LONG	AMERCO	07/27/07	05/22/09	167,857	325,365	(157,508)
3,935	SH	LONG	AMERCO	07/27/07	07/30/09	178,480	255,551	(77,071)
						346,337	580,916	(234,579)
1,065	SH		AMERCO	08/22/08	07/30/09	48,305	36,356	11,949
5,000	SH		AMERCO	08/22/08	08/04/09	231,736	170,686	61,050
						280,041	207,042	72,999
SUB. TOTAL - CHARLES SCHWAB (P.4)						11,017,839	8,492,453	2,525,386

SCH(D) SHORT-TERM CAPITAL GAINS AND LOSSES
ENLIGHT FOUNDATION

2009
FEIN#20-1063909

QUANTITY			DESCRIPTION	DATE ACQUIRE	DATE SOLD	SALES PRICE	COST BASIS	GAIN OR (LOSS)	
74,030	SH	LONG	FRONTIER AIRLINES	06/15/07	07/14/09	5,998	443,676	(437,678)	
100,000	SH	LONG	FRONTIER AIRLINES	08/07/07	07/14/09	8,102	501,560	(493,458)	
970	SH	LONG	FRONTIER AIRLINES	09/13/07	07/14/09	79	5,655	(5,576)	
50,205	SH	LONG	FRONTIER AIRLINES	09/20/07	07/14/09	4,068	294,373	(290,305)	
46,795	SH	LONG	FRONTIER AIRLINES	09/21/07	07/14/09	3,791	278,907	(275,116)	
4,600	SH	LONG	FRONTIER AIRLINES	09/21/07	07/30/09	367	27,417	(27,050)	
15,000	SH	LONG	FRONTIER AIRLINES	09/25/07	07/30/09	1,195	88,230	(87,035)	
1,867	SH	LONG	FRONTIER AIRLINES	10/15/07	07/30/09	149	12,538	(12,389)	
6,533	SH	LONG	FRONTIER AIRLINES	10/16/07	07/30/09	520	43,755	(43,235)	
22,588	SH	LONG	FRONTIER AIRLINES	10/16/07	08/13/09	4,284	151,284	(147,000)	
2,412	SH	LONG	FRONTIER AIRLINES	10/18/07	08/13/09	457	16,002	(15,545)	
240	SH	LONG	FRONTIER AIRLINES	11/15/07	08/13/09	46	1,448	(1,402)	
24,760	SH	LONG	FRONTIER AIRLINES	11/15/07	08/19/09	1,998	149,402	(147,404)	
17,002	SH	LONG	FRONTIER AIRLINES	11/19/07	08/19/09	1,372	110,702	(109,330)	
25,296	SH	LONG	FRONTIER AIRLINES	12/17/07	08/19/09	2,041	142,173	(140,132)	
5,200	SH	LONG	FRONTIER AIRLINES	12/20/07	08/19/09	420	29,140	(28,720)	
299,302	SH	LONG	FRONTIER AIRLINES	01/17/08	08/19/09	24,148	1,056,540	(1,032,392)	
243,200	SH	LONG	FRONTIER AIRLINES	01/17/08	08/20/09	17,056	858,500	(841,444)	
50,800	SH	LONG	FRONTIER AIRLINES	01/25/08	08/20/09	3,563	159,817	(156,254)	
54,200	SH	LONG	FRONTIER AIRLINES	01/25/08	08/20/09	3,791	170,513	(166,722)	
136,532	SH	LONG	FRONTIER AIRLINES	01/31/08	08/20/09	9,550	408,088	(398,538)	
243,708	SH	LONG	FRONTIER AIRLINES	01/31/08	08/24/09	17,052	728,431	(711,379)	
100,000	SH	LONG	FRONTIER AIRLINES	02/01/08	08/24/09	6,998	298,512	(291,514)	
						117,045	5,976,663	(5,859,618)	
300	CALL EXPIRED		FOCUS MEDIA\$10	9/19/09	09/19/09	09/09/09	7,320	0	7,320
300							7,320	0	7,320
2,100	SH		FOCUS MEDIA	08/27/09	10/14/09	20,991	19,219	1,772	
29,050			FOCUS MEDIA	08/27/09	10/16/09	290,491	265,868	24,623	
53,538			FOCUS MEDIA	08/28/09	10/16/09	535,362	481,503	53,859	
35,000			FOCUS MEDIA	08/31/09	10/16/09	349,989	315,056	34,933	
10,312			FOCUS MEDIA	09/01/09	10/16/09	103,116	91,307	11,809	
2,500			FOCUS MEDIA	09/01/09	12/22/09	24,991	22,136	2,855	
132,500						1,324,940	1,195,089	129,851	
1,300	CALL ASSIGNED		FOCUS MEDIA\$10	10/17/9	10/00/09	09/00/09	77,289	0	77,289
25	CALL ASSIGNED		FOCUS MEDIA\$10	1/16/10	12/22/09	09/01/09	2,112	0	2,112
1,325							79,401	0	79,401
100,000	SH		US NAT GAS FD	6/09-8/09	12/28/09	1,081,965	1,381,169	(299,204)	
11,600			US NAT GAS FD	08/14/09	12/29/09	123,880	146,045	(22,165)	
111,600						1,205,845	1,527,214	(321,369)	
SUB. TOTAL - CHARLES SCHWAB (P.5)						2,734,551	8,698,966	(5,964,415)	

SCH(D) SHORT-TERM CAPITAL GAINS AND LOSSES
ENLIGHT FOUNDATION

2009
FEIN#20-1063909

QUANTITY		DESCRIPTION	DATE ACQUIRE	DATE SOLD	SALES PRICE	COST BASIS	GAIN OR (LOSS)
400	CALL EXPIRED	US NA GAS \$15	07/18/09	06/10/09	31,770	0	31,770
284	CALL EXPIRED	US NA GAS \$15	09/19/09	07/20/09	16,868	0	16,868
1,000	CALL EXPIRED	US NA GAS \$13	09/19/09	08/14/09	79,468	0	79,468
116	CALL EXPIRED	US NA GAS \$12	11/21/09	09/29/09	8,612	0	8,612
1,000	CALL EXPIRED	US NA GAS \$13	11/21/09	09/21/09	49,469	0	49,469
<u>2,800</u>					<u>186,187</u>	<u>0</u>	<u>186,187</u>

116	CALL	US NA GAS \$15	10/17/09	09/29/09	07/20/09	10,352	667	9,685
						<u>10,352</u>	<u>667</u>	<u>9,685</u>

9,700	SH	AFL	10/30/09	11/13/09	407,381	405,813	1,568
300		AFL	10/30/09	11/20/09	12,591	12,546	45
<u>10,000</u>					<u>419,972</u>	<u>418,359</u>	<u>1,613</u>

97	CALL ASSIGNED	AFL \$42	11/21/09	11/13/09	10/30/09	14,958	0	14,958
3	CALL ASSIGNED	AFL \$42	11/21/09	11/21/09	10/30/09	462	0	462
<u>100</u>						<u>15,420</u>	<u>0</u>	<u>15,420</u>

10,000	SH	KRAFT FOODS	09/29/09	11/20/09	269,984	260,405	9,579
<u>10,000</u>					<u>269,984</u>	<u>260,405</u>	<u>9,579</u>

100	CALL ASSIGNED	KRAFT \$27	11/21/09	11/21/09	09/29/09	4,920	0	4,920
<u>100</u>						<u>4,920</u>	<u>0</u>	<u>4,920</u>

20,000	SH	WAL-MART	09/29/09	11/20/09	999,965	983,945	16,020
<u>20,000</u>					<u>999,965</u>	<u>983,945</u>	<u>16,020</u>

200	CALL ASSIGNED	WAL-MART \$50	11/21/09	11/21/09	09/29/09	21,041	0	21,041
<u>200</u>						<u>21,041</u>	<u>0</u>	<u>21,041</u>

SUB. TOTAL - CHARLES SCHWAB (P.5)					1,927,841	1,663,376	264,465
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TOTAL - CHARLES SCHWAB					47,308,962	42,033,584	5,275,378
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TOTAL LONG TERM CAPITAL GAIN	29,642,674	28,116,372	1,526,302
TOTAL SHORT TERM CAPITAL GAIN	17,666,288	13,917,212	3,749,076
TOTAL CAPITAL GAIN	<u>47,308,962</u>	<u>42,033,584</u>	<u>5,275,378</u>

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STATEMENT 1
FORM 990-PF, PART I, LINE 6A
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	CHARLES SCHWAB (P.1 - P.6)		
DATE ACQUIRED:	VARIOUS		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	VARIOUS		
TO WHOM SOLD:			
GROSS SALES PRICE:	29,642,674.		
COST OR OTHER BASIS:	28,116,372.		
BASIS METHOD:	COST		
		GAIN (LOSS)	1,526,302.
DESCRIPTION:	CHARLES SCHWAB (P.1 - P.6)		
DATE ACQUIRED:	VARIOUS		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	VARIOUS		
TO WHOM SOLD:			
GROSS SALES PRICE:	17,666,288.		
COST OR OTHER BASIS:	13,917,212.		
BASIS METHOD:	COST		
		GAIN (LOSS)	3,749,076.
		TOTAL	<u>\$ 5,275,378.</u>

STATEMENT 2
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
PRIOR YEAR'S FED TAX RFD			
TOTAL	\$ 229,781.	\$ 0.	\$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEE - GENERAL SERVICE	\$ 15,806.	\$ 5,269.	\$ 0.	\$ 10,537.
TOTAL	<u>\$ 15,806.</u>	<u>\$ 5,269.</u>	<u>\$ 0.</u>	<u>\$ 10,537.</u>

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STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	\$ 60.	\$ 20.		\$ 40.
FILING FEE	498.			498.
OFFICE EXPENSES	433.	144.		289.
STATE ANNUAL FEE	10.	10.		
TAXES ON PAYROLL	3,454.	1,151.		2,303.
TOTAL	\$ 4,455.	\$ 1,325.	\$ 0.	\$ 3,130.

STATEMENT 5
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
PARTNERS IN EDUCATION P.O. BOX 1557 PALO ALTO, CA 94302		501C3	EDUCATION	\$ 10,000.
BARRON PARK PTA 800 BARRON AVE PALO ALTO, CA 94306		501C3	EDUCATION	2,000.
GIVE 2 ASIA P.O. BOX 193223 SAN FRANCISCO, CA 94119		501C3	CHARITY	20,000.
LUCILE PACKARD CHILDREN'S FUND 400 HAMILTON AVE. #340 PALO ALTO, CA 94301		501C3	CHARITY	5,000.
UNITED HEALTH AWARENESS FOUNDATION 7403 HELLMAN AVE. ROSEMEAD, CA 91770		501C3	CHARITY	5,000.
GUNN SPORTS BOOSTERS 780 ARASTRADERO RD. PALO ALTO, CA 94306		501C3	EDUCATION	61,000.
RAVENSWOOD EDUCATION FOUNDATION P.O. BOX 396 MENLO PARK, CA 94026		501C3	EDUCATION	5,000.
MONTEREY BAY AQUARIUM 886 CANNERY ROW MONTEREY, CA 93940		501C3	EDUCATION	2,500.

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**STATEMENT 5 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR**

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
DHARMA TREASURE INC P.O. BOX 232 PEARCE, AZ 85625		501C3	RELIGION	\$ 5,000.
CALIFORNIA ACADEMY SCIENCES 55 MUSIC CONCOURSE DR. SAN FRANCISCO, CA 94118		501C3	EDUCATION	2,500.
FELL GOOD WORLD 2935 VAN NESS AVE. SUITE 9 SAN FRANCISCO, CA 94109		501C3	CHARITY	12,000.
STANFORD MEN'S GOLF STANFORD , CA 94305		501C3	EDUCATION	700.
THE ALICIA FOUNDATION FOR WORLD PEACE 579 COLLEGE WAY URBANA, OH 43078		501C3	CHARITY	10,000.
			TOTAL	\$ <u>140,700.</u>