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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust

OMB No 1545-0052

2009**Treated as a Private Foundation**
Note: The foundation may be able to use a copy of this return to satisfy state reporting requirementsFor calendar year **2009**, or tax year beginning, **2009**, and ending, **20**

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.Otherwise,
print
or type.See Specific
Instructions.

Name of foundation

COUCHMAN & NOBLE FOUNDATION, INC.

A Employer identification number

20-0499527

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see page 10 of the instructions)

8890 HUNTCLIFF TRACE

(770) 998-8777

City or town, state, and ZIP code

ATLANTA, GA 30350

H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end

J Accounting method ☒ Cash ☐ AccrualC If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2. Foreign organizations meeting the 95% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

of year (from Part II, col (c), line

16) \$ 1,457,408.

☐ Other (specify)

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

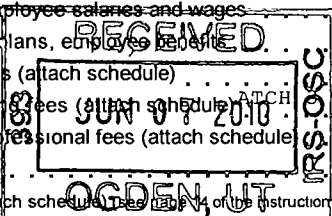
(d) Disbursements for charitable purposes (cash basis only)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	0.			
2 Check ☐ if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	36,969.	36,969.		ATCH 1
4 Dividends and interest from securities				
5a Gross rents				
b Net rental income or (loss)	-388,673.			
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a 978,866.				
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)	1,003.			ATCH 2
11 Other income (attach schedule)	-350,701.	36,969.		
12 Total. Add lines 1 through 11				
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)	2,200.	1,100.	0.	1,100.
b Accounting fees (attach schedule) ATCH 3				
c Other professional fees (attach schedule)				
17 Interest	1,161.	1,161.		
18 Taxes (attach schedule) (see page 14 of the instructions)				
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 5	12,521.	12,140.		381.
24 Total operating and administrative expenses. Add lines 13 through 23	15,882.	14,401.	0.	1,481.
25 Contributions, gifts, grants paid	81,525.			81,525.
26 Total expenses and disbursements Add lines 24 and 25	97,407.	14,401.	0.	83,006.
27 Subtract line 26 from line 12	-448,108.			
a Excess of revenue over expenses and disbursements		22,568.		
b Net investment income (if negative, enter -0-)			-0-	
c Adjusted net income (if negative, enter -0-)				

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA ** ATCH 4

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	134,695.	79,794.	79,794.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U.S. and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) <u>ATTCH 6</u>	1,671,441.	1,269,571.	1,377,614.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,806,142.	1,349,365.	1,457,408.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒ X			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	1,806,142.	1,349,365.	
	30 Total net assets or fund balances (see page 17 of the instructions)	1,806,142.	1,349,365.	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,806,142.	1,349,365.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,806,142.
2 Enter amount from Part I, line 27a	2	-448,108.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	1,358,034.
5 Decreases not included in line 2 (itemize) ▶ <u>ATTACHMENT 7</u>	5	8,669.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,349,365.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-388,673.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8.	{ }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	28,530.	1,660,213.	0.017185
2007	111,492.	1,971,542.	0.056551
2006	73,932.	1,878,749.	0.039352
2005	47,532.	1,502,463.	0.031636
2004	66,572.	1,595,118.	0.041735

2 Total of line 1, column (d)	2	0.186459
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.037292
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,252,726.
5 Multiply line 4 by line 3	5	46,717.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	226.
7 Add lines 5 and 6	7	46,943.
8 Enter qualifying distributions from Part XII, line 4	8	83,006.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	226.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	226.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	226.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	520.	
b Exempt foreign organizations-tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	520.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	294.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax ☒ 294. Refunded ☐	11		

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1 b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file Form 1120-POL for this year?	1 c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4 a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4 b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by General Instruction T			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either o By language in the governing instrument, or o By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☒ GA,			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8 b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ DAVID COUCHMAN Telephone no ☐ 770-998-8777			
	Located at ☐ 8890 HUNTCLIFF TRACE, ATLANTA, GA ZIP + 4 ☐ 30350			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ☐ 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 8		0.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,199,739.
b	Average of monthly cash balances	1b	72,064.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	1,271,803.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,271,803.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	19,077.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,252,726.
6	Minimum investment return. Enter 5% of line 5	6	62,636.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	62,636.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	226.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	226.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	62,410.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	62,410.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	62,410.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	83,006.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	83,006.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	226.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	82,780.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				62,410.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			81,438.	
b Total for prior years 20 <u>07</u> , 20 <u>06</u> , 20 <u>05</u>				
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				0.
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ <u>83,006.</u>				
a Applied to 2008, but not more than line 2a			81,438.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				1,568.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				60,842.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009	0.			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

DAVID COUCHMAN AND MELANIE J. NOBLE-COUCHMAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATTACHMENT 9				
Total.			▶ 3a	81,525.
b Approved for future payment				
Total.			▶ 3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions on page 28 to verify calculations)

NOT APPLICABLE

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS				107.	
128.		CREDIT SUISSE ACCT#510064-SEE ATTACHED 145.				VARIOUS -17.	VARIOUS
229,387.		CREDIT SUISSE ACCT#018928-SEE ATTACHED 300,196.				VARIOUS -70,809.	VARIOUS
127,068.		CREDIT SUISSE ACCT#018928-SEE ATTACHED 308,994.				VARIOUS -181,926.	VARIOUS
92,655.		CREDIT SUISSE ACCT#018894-SEE ATTACHED 91,995.				VARIOUS 660.	VARIOUS
54,574.		CREDIT SUISSE ACCT#018894-SEE ATTACHED 77,324.				VARIOUS -22,750.	VARIOUS
19,677.		CREDIT SUISSE ACCT#018910-SEE ATTACHED 26,420.				VARIOUS -6,743.	VARIOUS
35,707.		CREDIT SUISSE ACCT#018910-SEE ATTACHED 107,507.				VARIOUS -71,800.	VARIOUS
9,244.		CREDIT SUISSE ACCT#018886-SEE ATTACHED 12,011.				VARIOUS -2,767.	VARIOUS
34,217.		CREDIT SUISSE ACCT#018886-SEE ATTACHED 52,031.				VARIOUS -17,814.	VARIOUS
279,041.		CREDIT SUISSE ACCT#018902-SEE ATTACHED 263,124.				VARIOUS 15,917.	VARIOUS
97,061.		CREDIT SUISSE ACCT#018902-SEE ATTACHED 127,792.				VARIOUS -30,731.	VARIOUS
TOTAL GAIN (LOSS)						<u>-388,673.</u>	

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
CSFB LLC #510064	43.	43.
CSFB LLC #018928	10,434.	10,434.
CSFB LLC #018894	7,151.	7,151.
CSFB LLC #018910	13,637.	13,637.
CSFB LLC #018886	2,954.	2,954.
CSFB LLC #018902	2,738.	2,738.
LAZARD K-1	12.	12.
TOTAL	<u>36,969.</u>	<u>36,969.</u>

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION
FEDERAL TAX REFUND

REVENUE
AND
EXPENSES
PER BOOKS
1,003.

TOTALS

1,003.

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEES	2,200.	1,100.		1,100.
TOTALS	2,200.	1,100.	0.	1,100.

ATTACHMENT 4

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES	1,161.	1,161.
TOTALS	<u>1,161.</u>	<u>1,161.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	12,140.	12,140.	
ANNUAL FEE	30.		30.
MISCELLANEOUS	351.		351.
TOTALS	12,521.	12,140.	381.

COUCHMAN & NOBLE FOUNDATION, INC.

20-0499527

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 6

DESCRIPTION

ENDING
BOOK VALUE

ENDING
FMV

VARIOUS STOCKS - SEE ATTACHED

1,269,571.

1,377,614.

TOTALS

1,269,571.

1,377,614.

Security	12/31/2009 Cost Basis	12/31/2009 Market Value
ABBOTT LABS COM	\$ 11,891	\$ 14,037
ACCENTURE PLC IRELAND CLASS SHS ISIN#IE00B4BNMY34	\$ 1,597	\$ 2,158
ACUITY BRANDS INC COM	\$ 5,187	\$ 4,633
AEGEAN MARINE PETROLEUM NETWORK INC ISIN#MHY0017S1028	\$ 2,056	\$ 2,611
AES CORP	\$ 1,659	\$ 1,584
ALCATEL SPONSORED ADR	\$ 2,055	\$ 1,829
ALEXION PHARMACEUTICALS INC	\$ 2,238	\$ 2,343
ALLETE INC COM NEW	\$ 2,099	\$ 2,614
ALLIANCE DATA SYS CORP COM	\$ 3,249	\$ 3,359
ALLSCRIPTS HEALTHCARE SOLUTIONS INC COM	\$ 1,531	\$ 1,699
ALLSTATE CORP ISIN#US020002101	\$ 5,601	\$ 11,415
ALTRIA GROUP INC COM	\$ 10,227	\$ 11,189
AMERIPRISE FINL INC COM	\$ 113	\$ -
ANHEUSER BUSCH INBEVSA/NV SPONSORED ADR ISIN#US03524A11	\$ 2,996	\$ 4,162
ANTOFAGASTA P L C SPONSORED ADR ISIN#US0371891070	\$ 2,711	\$ 3,596
ARCELOMITTAL SA LUXEMBOURG N Y REGISTRY SHS	\$ 3,323	\$ 5,307
ARCH CHEMICALS INC COM	\$ 4,222	\$ 3,397
ASIAINFO HLDGS INC COM	\$ 2,425	\$ 3,898
ASSURED GUARANTY LTDCOM ISIN#BMG0585R1060	\$ 3,133	\$ 3,438
ASTRAZENECA PLC SPONSORED ADR	\$ 11,602	\$ 11,735
AT&T INC COM	\$ 14,060	\$ 10,651
ATHENAHEALTH INC COM	\$ 908	\$ 1,041
AUSTRALIA & NEW ZEALAND BKG GRP LTD SPONSORED ADR RPST	\$ (719)	\$ -
AVIS BUDGET GROUP INC COM	\$ 2,400	\$ 2,676
BAE SYS PLC SPONSORED ADR ISIN	\$ 3,764	\$ 4,033
BAIDU COM INC SPONS ADR REPSTG ORD SHS CL A	\$ 2,003	\$ 2,056
BANCO SANTANDER BRASIL SA ADS REPSTG1 UNIT	\$ 2,205	\$ 2,272
BANCO SANTANDER CENTHISPANO S A ADR ISIN#US05964H1059	\$ 1,841	\$ 2,236
BANKFINANCIAL CORP COM	\$ 3,350	\$ 1,881
BARCLAYS PLC ADRS	\$ 4,544	\$ 4,294
BARRICK GOLD CORP COM ISIN#CA0679011084	\$ 2,425	\$ 2,678
BASF AG SPONS ADR	\$ 1,603	\$ 3,540
BED BATH & BEYOND INC	\$ 1,103	\$ 1,081
BENEFICIAL MUT BANCORP INC COM	\$ 3,897	\$ 3,542
BHP BILLITON LTD SPONSORED ADR ISIN#US0886061086	\$ 2,497	\$ 4,901
BLACKROCK INC COM	\$ 2,184	\$ 2,322
BOEING CO COM	\$ 5,395	\$ 6,496
BP PLC SPONS ADR	\$ 28,976	\$ 31,420
BRINKS CO COM	\$ 305	\$ -
BRINKS HOME SEC HLDGS INC COM	\$ 2,850	\$ 3,590
BRISTOL-MYERS SQUIBB CO COM	\$ 17,405	\$ 16,413
BROADCOM CORP CL A	\$ 2,164	\$ 2,266
BROADRIDGE FINL SOLUTIONS INC COM	\$ 6,139	\$ 7,219
BUCYRUS INTL INC CL A COM	\$ 3,554	\$ 7,892
BUNGE LIMITED SHS	\$ 2,087	\$ 2,106
BUNZL PLC SPONS ADR NEW	\$ 3,417	\$ 2,725
CAMECO CORP COM ISIN#CA13321L1085	\$ 4,068	\$ 5,244
CANON INC ADR REPSTG5 SHS	\$ 3,809	\$ 4,486
CELANESE CORP DEL COM SER A	\$ 1,112	\$ 2,215
CERNER CORP	\$ 1,944	\$ 2,308
CHANGYOU COM LTD ADS REPSTG CL A SHS ISIN#US15911M1071	\$ 4,739	\$ 4,815
CHECK POINT SOFTWARE TECHNOLOGIES LTD SHS ISIN#IL00108241	\$ 1,389	\$ 1,694
CHEESE CAKE FACTORY INC COM	\$ 2,496	\$ 2,764
CHEVRONTXACO CORP COM	\$ 23,078	\$ 25,022
CHICAGO BRIDGE & IRON CO N V ISIN#US1672501095	\$ 6,615	\$ 4,448
CHINA MOBILE LTD SPON ADR S A ISIN#US16941M099	\$ 5,730	\$ 4,782
CIRCOR INTL INC COM	\$ 3,185	\$ 2,266
CLEVELAND CLIFFS INC	\$ 1,305	\$ 1,751
CNOOC LTD SPONSORED ADR ISIN#US1261321095	\$ 1,109	\$ 2,176

Security	12/31/2009 Cost Basis	12/31/2009 Market Value
COGNIZANT TECHNOLOGYSOLUTIONS CORP CL A	\$ 2,192	\$ 2,221
COMMSCOPE INC COM	\$ 1,699	\$ 1,831
COMPASS MINERALS INTL INC COM	\$ 3,742	\$ 3,360
COMSTOCK RES INC NEW	\$ 4,225	\$ 5,680
CONOCOPHILLIPS	\$ 9,289	\$ 9,193
COVANTA HLDGS CORP COM	\$ 6,622	\$ 5,065
CTRIPO COM INTL LTD ADS ISIN#US22943F1002	\$ 1,284	\$ 1,653
CYBERSOURCE CORP DELCOM	\$ 1,991	\$ 2,353
CYPRESS SEMICONDUCTOR CORP COM	\$ 2,701	\$ 2,703
DELTIC TIMBER CORP COM	\$ 5,680	\$ 4,618
DEUTSCHE BOERSE ADR ISIN#US2515421061	\$ 3,710	\$ 6,406
DIAGEO PLC SPONSORED ADR NEW	\$ 24,347	\$ 27,833
DINEEQUITY INC COM	\$ 6,566	\$ 2,915
DOLBY LABORATIES INCCL A	\$ 2,922	\$ 3,484
DOMINION RES INC VA COM	\$ 9,393	\$ 13,038
DRIL-QUIP INC COM	\$ 2,083	\$ 2,485
DU PONT E I DE NEMOURS & COMPA	\$ 9,850	\$ 9,764
ENPRO INDS INC COM	\$ 3,391	\$ 2,377
EXCO RES INC COM	\$ 4,815	\$ 5,944
EXPERIAN GROUP LTD SPON ADR	\$ 6,591	\$ 6,610
EXTERRAN HOLDINGS INC COM	\$ 2,646	\$ 2,360
F5 NETWORKS INC COM	\$ 2,652	\$ 3,602
FAMILY DOLLAR STORES	\$ 6,577	\$ 6,540
FASTENAL CO	\$ 2,765	\$ 2,915
FEDERAL HOME LN MTG CORP PARTN CTFS POOL # G12381 "GOLD"	\$ 5	\$ 368
FEDERATED INVS INC PA CL B	\$ 7,318	\$ 11,550
FIBRIA CELULOSE S A SPONSORED ADR REPSTG COM SHS ISIN#US	\$ 2,678	\$ 3,769
FIRST NIAGARA FINL GROUP INC NEW COM	\$ 3,260	\$ 3,478
FIRSTMERIT CORP COM	\$ 5,218	\$ 5,438
FLOWERS FOODS INC COM	\$ 4,762	\$ 5,702
FLOWERVE CORP COM	\$ 5,189	\$ 9,453
FNMA GTD MTG PASS THRU CTFS POOL # 893452 6 000% 09/01/36 B/	\$ 5	\$ 132
FNMA GTD MTG PASS THRU CTFS POOL # 901148 6 500% 10/01/36 B/	\$ (47)	\$ 33
FNMA GTD MTG PASS THRU CTFS POOL # 902195 5 000% 10/01/36 B/	\$ (15)	\$ 59
FORESTAR REAL ESTATEGROUP INC COM	\$ 3,991	\$ 3,517
FOSTER L B CO CL A	\$ 1,773	\$ 2,087
FOSTER WHEELER LTD SHS NEW ISIN#BMG365351391	\$ 7,219	\$ 7,154
FPL GROUP INC	\$ 8,279	\$ 8,979
FRESENIUS MED CARE AKTIENGESELLSCHAFT SPONSORED ADR R	\$ 5,032	\$ 5,407
GALLAGHER ARTHUR J & CO	\$ 3,577	\$ 3,377
GARTNER INC COM	\$ 2,020	\$ 1,858
GENERAL ELECTRIC CO COM	\$ 15,764	\$ 6,809
GENUINE PARTS CO	\$ 17,143	\$ 13,286
GIVAUDAN SA ADR ISIN#US37636P1084	\$ 2,220	\$ 2,946
GOODRICH PETE CORP COM NEW	\$ 6,788	\$ 5,357
GRAFTECH INTL LTD COM	\$ 2,927	\$ 2,908
GS1 COMMERCE INC COM	\$ 2,079	\$ 2,768
GUESS INC COM	\$ 1,945	\$ 2,200
HANES BRANDS INC COM	\$ 6,702	\$ 6,028
HANOVER INSURANCE GROUP INC	\$ 4,180	\$ 4,443
HARSCO CORP	\$ 2,509	\$ 2,288
HEALTH CARE REIT INC	\$ 10,749	\$ 10,637
HEINZ H J COMPANY	\$ 12,219	\$ 11,545
HIL ROM HLDGS COM	\$ 5,428	\$ 5,518
HSBC HLDGS PLC SPONS ADR NEW	\$ 14,836	\$ 20,039
HUNT J B TRANS SVCS INC COM	\$ 2,619	\$ 2,582
HYATT HOTELS CORP COM CL A	\$ 2,063	\$ 2,176
IBERIABANK CORP COM	\$ 4,390	\$ 5,381
ILLUMINA INC COM ISIN#US4523271090	\$ 2,540	\$ 1,933
INTEL CORP COM	\$ 13,408	\$ 18,156

Security	12/31/2009 Cost Basis	12/31/2009 Market Value
INTERPUBLIC GROUP COS INC	\$ 2,102	\$ 2,089
INVERNESS MED INNOVATIONS INC COM	\$ 4,411	\$ 4,566
INVESCO LTD ORD SHS ISIN#BMG491BT11088	\$ 2,353	\$ 2,396
INVESTMENT TECHNOLOGY GROUP INC NEW COM	\$ 2,528	\$ 1,970
ITC HLDGS CORP COM ISIN#US4656851056	\$ 4,240	\$ 4,688
JOHN BEAN TECHNOLOGIES CORP COM	\$ 145	\$ 323
JOHNSON & JOHNSON COM	\$ 5,556	\$ 6,441
JOHNSON CONTROLS INC	\$ 2,168	\$ 2,125
JOY GLOBAL INC COM	\$ 7,361	\$ 7,942
JUPITER TELECOMMUNICATION COLTD ADR ISIN#US48206M1027	\$ 5,159	\$ 6,123
KAISER ALUM CORP COM PAR	\$ 8,098	\$ 4,994
KANSAS CITY SOUTHERN COM NEW	\$ 9,449	\$ 9,821
KAO CORP SPONSORED ADR REPSTIG10 SHS COM	\$ 1,699	\$ 2,102
KDDI CORP ADR ISIN#US48667L1061	\$ 5,359	\$ 5,404
KENNAMETAL INC CAP STK	\$ 1,538	\$ 1,607
KIMBERLY CLARK CORP	\$ 25,183	\$ 27,395
KINDER MORGAN MGMT LLC SHS	\$ 8,871	\$ 13,988
KONINKLIJKE AHOLD NVSPONS ADR 2007 ISIN#US5004674025	\$ 5,272	\$ 6,214
KRAFT FOODS INC CL A	\$ 24,037	\$ 23,647
LAS VEGAS SANDS CORPCOM	\$ 1,353	\$ 1,061
LAZARD LTD SHS A ISIN#BMG540501027	\$ 2,051	\$ 2,050
LIBERTY GLOBAL INC COM SER A	\$ 2,745	\$ 2,868
LILLY ELI & CO	\$ 15,625	\$ 11,427
LOCKHEED MARTIN CORP COM	\$ 11,877	\$ 10,926
MANITOWOC INC	\$ 1,793	\$ 1,785
MARVELL TECHNOLOGY GROUP LTD SHS ISIN#BMG5876H1051	\$ 1,496	\$ 2,988
MASIMO CORP COM	\$ 2,122	\$ 2,342
MATTEL INC	\$ 3,182	\$ 3,317
MB FINL INC NEW COM	\$ 6,403	\$ 4,139
MCDERMOTT INT'L INC.	\$ 1,233	\$ 2,401
MCDONALDS CORP	\$ 11,633	\$ 12,800
MEAD JOHNSON NUTRITION CO COM CL A	\$ 2,754	\$ 2,753
MEDCOHEALTH SOLUTIONS INC COM	\$ 1,436	\$ 1,662
MICROSOFT CORP	\$ 3,015	\$ 4,878
MINNESOTA MINING & MANUFACTURING CO	\$ 8,762	\$ 12,401
MTN GROUP LTD SPONSORED ADR	\$ 2,896	\$ 3,073
MUELLER WTR PRODS INC SER A COM	\$ -	\$ 2,288
MYLAN LABORATORIES INC	\$ 2,334	\$ 2,728
NATIONAL OILWELL VARCO INC	\$ 2,056	\$ 2,072
NESTLE SA SPONSORED ADRS REGIS	\$ 4,439	\$ 4,738
NETAPP INC COM	\$ 2,089	\$ 2,886
NEWALLIANCE BANCSHARES INC COM	\$ 6,589	\$ 4,924
NINTENDO LTD ADR	\$ 6,220	\$ 4,026
NOBEL BIO CARE ADR ISIN#US65488C1071	\$ 3,481	\$ 4,029
NOBLE ENERGY INC COM	\$ 2,128	\$ 2,137
NOKIA CORP SPONSORED ADR	\$ 16,284	\$ 17,540
NOVARTIS AG SPONSORED ADR	\$ 11,346	\$ 15,077
NOVO NORDISK A S ADR FORMERLY NOVO INDUSTRIE A S ADR SA	\$ 5,096	\$ 7,087
NVR INC	\$ 1,408	\$ 2,132
OWENS ILLINOIS INC	\$ 3,798	\$ 3,254
PATTERSON DENTAL CO COM	\$ 2,846	\$ 4,197
PAYCHEX INC	\$ 8,988	\$ 13,022
PEARSON PLC SPONSORED ADR	\$ 8,343	\$ 12,996
PEGASYSTEMS INC COM	\$ 2,920	\$ 3,196
PENTAIR INC	\$ 1,434	\$ 1,486
PEPSICO INC	\$ 8,999	\$ 11,856
PETROCHINA CO LTD SPONS ADR ISIN#US71646E1001	\$ 6,703	\$ 6,543
PETROHAWK ENERGY CORP COM	\$ 4,025	\$ 5,567
PETROLEO BRASILEIRO SA PETROBRAS SPONSORED ADR	\$ 3,026	\$ 4,434
PFIZER INC	\$ 8,271	\$ 12,096

Security	12/31/2009 Cost Basis	12/31/2009 Market Value
PHILIP MORRIS INTL INC COM	\$ 19,053	\$ 23,372
POLO RALPH LAUREN CORP CL A	\$ 2,038	\$ 2,267
PORTFOLIO RECOVERY ASSOCS INC COM	\$ 1,503	\$ 1,435
POTASH CORP OF SASKATCHEWAN INC COM	\$ 2,717	\$ 3,147
PRECISION CASTPARTS CORP	\$ 1,596	\$ 1,766
PRICELINE COM INC COM NEW	\$ 1,835	\$ 2,403
PUBLICIS S A NEW SPONS ADR ISIN#US74463M1062	\$ 2,180	\$ 2,372
PULTE HOMES INC	\$ 2,348	\$ 1,900
QUANTA SVCS INC COM	\$ 1,607	\$ 1,375
QUEST SOFTWARE INC	\$ 2,044	\$ 2,153
RACKSPACE HOSTING INC COM	\$ 2,040	\$ 2,460
RALCORP HOLDINGS INCNEW COM	\$ 6,434	\$ 6,568
RANGE RES CORP COM	\$ 1,986	\$ 1,894
REED ELSEVIER P L C SPON ADR NEW	\$ 3,693	\$ 3,935
REGAL ENMT GROUP INC CL A	\$ 2,152	\$ 2,282
ROCHE HLDGS LTD SPONSORED ADR ISIN#US7711951043	\$ 4,453	\$ 5,233
ROVI CORP COM	\$ 3,198	\$ 3,251
ROYAL CARIBBEAN CRUISES LTD ISIN#LR0008862868	\$ 1,030	\$ 1,087
RYDER SYS INC	\$ 1,095	\$ 1,359
SAGE GROUP PLC UNSPONSORED ADR	\$ 2,276	\$ 2,180
SAP AKTIENGESELLSCHAFT SPONSORED ADR	\$ 4,447	\$ 4,587
SCHNEIDER ELEC SA ADR ISIN#US80687P1066	\$ 2,147	\$ 2,465
SEAGATE TECHNOLOGY SHS ISIN#KY	\$ 2,590	\$ 3,129
SEATTLE GENETICS INCCOM	\$ 2,123	\$ 1,534
SEVEN & I HLDGS CO LTD ISIN#US81783H1059	\$ 1,746	\$ 1,573
SGS SA ADR ISIN#US8188001049	\$ 5,895	\$ 6,168
SIEMENS A G SPONSORED ADR ISIN#US8261975010	\$ 4,673	\$ 4,493
SILICON LABORATORIES INC COM	\$ 2,439	\$ 3,774
SIRONA DENTAL SYS INC COM	\$ 3,048	\$ 3,206
SLM CORP COM	\$ 2,607	\$ 3,302
SMITH & NEPHEW P L C SPONSORED ADR NEW	\$ 3,482	\$ 5,228
SOCIEDAD QUIMICA Y MINERA DE CHILE S A SPON ADR	\$ 4,132	\$ 5,072
SOCIETE GENERALE FRANCE SPON ADR	\$ 3,519	\$ 3,175
SODEXHO SPON ADR ISIN#US8337921048	\$ 4,018	\$ 4,328
SPDR SER TR KBW REGL BKG ETF	\$ 5,491	\$ 5,118
STARBUCKS CORP COM	\$ 1,205	\$ 1,361
STERLITE INDS INDIA LTD ADS ISIN#US8597372072	\$ 2,128	\$ 5,047
SUN HUNG KAI PPTYS LTD ADR NEW	\$ 2,238	\$ 2,198
SWEDBANK A B SPONS ADR ISIN#US8701951043	\$ 4,129	\$ 3,960
SYBASE INC	\$ 2,031	\$ 2,344
TAIWAN SEMICONDUCTORMFG CO SPONSORED ADR ISIN#US87403	\$ 7,128	\$ 9,369
TALISMAN ENERGY INC COM	\$ 4,097	\$ 4,567
TEEKAY CORP SHS ISIN#MHY8564W1030	\$ 5,650	\$ 10,832
TENNANT CO	\$ 3,342	\$ 1,833
TERADYNE INC	\$ 2,626	\$ 3,090
TERNIUM S A SPONS ADR ISIN#US8808901081	\$ 1,939	\$ 2,444
TESCO PLC SPON ADR ISIN#US8815753020	\$ 4,945	\$ 5,019
TEXAS INDUSTRIES INC	\$ 4,261	\$ 2,099
TIMKEN CO COM	\$ 8,816	\$ 7,516
TNT N V SPONS ADR ISIN#US87260W1018	\$ 7,413	\$ 6,231
TOLL BROS INC	\$ 5,150	\$ 4,891
TOYOTA MTR CO SPON ADR	\$ 4,362	\$ 4,208
TRAVELERS COS INC COM	\$ 4,723	\$ 5,983
TREEHOUSE FOODS INC COM	\$ 3,338	\$ 4,663
ULTRAPAR PARTICIPACOES S A SPON ADR REPSTG PFD SHS	\$ 4,009	\$ 7,551
UNILEVER NV NEW YORKSHS NEW	\$ 17,012	\$ 19,818
UNITED OVERSEAS BK LTD SPON ADR	\$ 3,744	\$ 4,973
UNITED THERAPEUTICS CORP DEL COM	\$ 1,513	\$ 1,580
URBAN OUTFITTERS INC	\$ 2,451	\$ 2,764
VAIL RESORTS INC COM	\$ 5,336	\$ 4,536

Security	12/31/2009 Cost Basis	12/31/2009 Market Value
VECTREN CORP COM	\$ 4,315	\$ 4,196
VERIZON COMMUNICATIONS COM	\$ 26,273	\$ 25,841
VERTEX PHARMACEUTICALS INC COM	\$ 2,074	\$ 2,357
VIRGIN MEDIA INC COM	\$ 1,888	\$ 2,491
VMWARE INC CL A COM	\$ 2,159	\$ 2,246
VODAFONE GROUP PLC SPON ADR NEW	\$ 28,192	\$ 22,813
WABCO HLDGS INC COM	\$ 2,014	\$ 2,218
WABTEC COM	\$ 6,720	\$ 8,168
WALTER INDS INC COM ISIN#US93317Q1058	\$ 4,284	\$ 10,016
WALTER INVT MGMT CORP COM	\$ 44	\$ 974
WASTE MGMT INC COM	\$ 8,617	\$ 12,510
WEBMD HEALTH CORP COM	\$ 2,583	\$ 2,848
WENDYS / ARBYS GROUP INC COM	\$ 3,075	\$ 750
WERNER ENTERPRISES INC	\$ 2,160	\$ 2,158
WESTAR ENERGY INC COM	\$ 6,626	\$ 5,213
WESTFIELD FINL INC NEW COM	\$ 1,627	\$ 1,238
WILLBROS GROUP INC DEL COM	\$ 3,268	\$ 2,699
WILLIS GROUP HOLDINGS LTD SHS ISIN#BMG966551084	\$ 5,886	\$ 4,511
WMS INDS INC COM	\$ 2,546	\$ 2,520
WRIGHT EXPRESS CORP COM	\$ 6,958	\$ 7,646
WYNDHAM WORLDWIDE CORP COM	\$ 6,597	\$ 3,832
ZEBRA TECHNOLOGIES CORP CL-A	\$ 2,168	\$ 2,268
TOTAL Investments	\$ 1,269,571	\$ 1,377,614

ATTACHMENT 7FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

NET ASSET ADJUSTMENT

8,669.

TOTAL

8,669.

COUCHMAN & NOBLE FOUNDATION, INC.

20-0499527

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>
DAVID H. COUCHMAN 8890 HUNTCLIFF TRACE ATLANTA, GA 30350	PRESIDENT	0.
MELANIE J. NOBLE-COUCHMAN 8890 HUNTCLIFF TRACE ATLANTA, GA 30350	VICE PRESIDENT	0.
GRAND TOTALS		<u>0.</u>

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 9

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HOMESTRETCH 89 GROVE WAY ROSWELL, GA 30075	NONE PUBLIC	CHARITABLE	8,260
COMMUNITY ACTION CENTER 1130 HIGHTOWER TRAIL SANDY SPRINGS, GA 30350	NONE PUBLIC	CHARITABLE	1,560.
CHILDHOOD AUTISM FOUNDATION 1551 SHOUP COURT DECATUR, GA 30033	NONE PUBLIC	CHARITABLE	400
SANDY SPRINGS EDUCATION FORCE P O BOX 567683 SANDY SPRINGS, GA 31156	NONE PUBLIC	CHARITABLE	53,000
LOS NINOS PRIMERO 471 MOUNT VERNON HIGHWAY SANDY SPRINGS, GA 30328	NONE PUBLIC	CHARITABLE	2,100
COTTAGE SCHOOL 700 GRIMES BRIDGE ROAD ROSWELL, GA 30075	NONE PUBLIC	CHARITABLE	1,505.

ATTACHMENT 9

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
STAR HOUSE FOUNDATION 890-F ATLANTA STREET ROSWELL, GA 30075	NONE PUBLIC		CHARITABLE	10,000.
NORTH FULTON CHILD DEVELOPMENT CENTER 89 GROVE WAY ROSWELL, GA 30075	NONE PUBLIC		CHARITABLE	2,000.
FULTON FAMILIES MATTER 929 ZION CIRCLE ROSWELL, GA 30075	NONE PUBLIC		CHARITABLE	1,200
100 BLACK MEN OF NORTH METRO 2300 HOLCOMB BRIDGE ROAD SUITE 103-215 ROSWELL, GA 30076	NONE PUBLIC		CHARITABLE	1,000
SAFEFATH CHILDREN'S ADVOCACY CENTER 736 WHITLOCK AVENUE SUITE 600 MARIETTA, GA 30064	NONE PUBLIC		CHARITABLE	500.
TOTAL CONTRIBUTIONS PAID				<u>81,525.</u>

Capital Gains and Losses

► **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

2009

Name of estate or trust

COUCHMAN & NOBLE FOUNDATION, INC.

Employer identification number

20-0499527

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-63,742.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ►	5	-63,742.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-325,038.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	107.
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ►	12	-324,931.

Part III- Summary of Parts I and II		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13	Net short-term gain or (loss)	13		-63,742.
14	Net long-term gain or (loss):			
a	Total for year	14a		-324,931.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		-388,673.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation	
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 15, column (3) or b \$3,000
16	(3,000)

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates	
Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.	
Caution: Skip this part and complete the worksheet on page 8 of the instructions if • Either line 14b, col (2) or line 14c, col (2) is more than zero, or • Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.	
Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.	

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20	Add lines 18 and 19	20		
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21		
22	Subtract line 21 from line 20. If zero or less, enter -0-	22		
23	Subtract line 22 from line 17. If zero or less, enter -0-	23		
24	Enter the smaller of the amount on line 17 or \$2,300	24		
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box ☐ No. Enter the amount from line 23.	25		
26	Subtract line 25 from line 24.	26		
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29	Subtract line 28 from line 27	29		
30	Multiply line 29 by 15% (15)	30		
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	31		
32	Add lines 30 and 31	32		
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	33		
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34		

Schedule D (Form 1041) 2009

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).

► Attach to Schedule D to list additional transactions for lines 1a and 6a.

2009

Name of estate or trust

COUCHMAN & NOBLE FOUNDATION, INC.

Employer Identification number

20-0499527

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
CREDIT SUISSE ACCT#018928- SEE ATTACHED	VARIOUS	VARIOUS	229,387.	300,196.	-70,809.
CREDIT SUISSE ACCT#018894- SEE ATTACHED	VARIOUS	VARIOUS	92,655.	91,995.	660.
CREDIT SUISSE ACCT#018910- SEE ATTACHED	VARIOUS	VARIOUS	19,677.	26,420.	-6,743.
CREDIT SUISSE ACCT#018886- SEE ATTACHED	VARIOUS	VARIOUS	9,244.	12,011.	-2,767.
CREDIT SUISSE ACCT#018902- SEE ATTACHED	VARIOUS	VARIOUS	279,041.	263,124.	15,917.
1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b					-63,742

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b	-63,742.
For Paperwork Reduction Act Notice, see the Instructions for Form 1041.	

Schedule D-1 (Form 1041) 2009

Employer identification number

[illegible]

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b	-325,038.
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Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018928	FNDN- SCOTTSTRING-L	Short Term	NOVARTIS AG SPONSOR	05 Mar 2009	01 Dec 2009	10	\$ 562.50	\$ 348.30	\$ 214.20
2A7018928	FNDN- SCOTTSTRING-L	Short Term	NOVARTIS AG SPONSOR	05 Mar 2009	24 Nov 2009	25	\$ 1,377.52	\$ 870.74	\$ 506.78
2A7018928	FNDN- SCOTTSTRING-L	Short Term	KINDER MORGAN MGMT L	05 Mar 2009	13 Nov 2009	0.34	\$ -	\$ 11.90	\$ (11.90)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	TEEKAY CORP SHS ISIN#	05 Mar 2009	15 Oct 2009	210	\$ 5,258.11	\$ 2,636.86	\$ 2,621.25
2A7018928	FNDN- SCOTTSTRING-L	Short Term	BP PLC SPONS ADR (BP)	05 Mar 2009	16 Sep 2009	15	\$ 817.65	\$ 525.30	\$ 292.35
2A7018928	FNDN- SCOTTSTRING-L	Short Term	ALLSTATE CORP (ALL)	05 Mar 2009	31 Aug 2009	185	\$ 5,418.65	\$ 2,726.68	\$ 2,691.97
2A7018928	FNDN- SCOTTSTRING-L	Short Term	BP PLC SPONS ADR (BP)	05 Mar 2009	31 Aug 2009	40	\$ 2,054.80	\$ 1,400.80	\$ 654.00
2A7018928	FNDN- SCOTTSTRING-L	Short Term	DIAGEO PLC SPONSOR	05 Mar 2009	31 Aug 2009	30	\$ 1,858.80	\$ 1,321.02	\$ 537.78
2A7018928	FNDN- SCOTTSTRING-L	Short Term	DOMINION RES INC VA CC	05 Mar 2009	31 Aug 2009	35	\$ 1,158.15	\$ 967.38	\$ 190.77
2A7018928	FNDN- SCOTTSTRING-L	Short Term	FEDERATED INVS INC PA	05 Mar 2009	31 Aug 2009	85	\$ 2,223.60	\$ 1,481.11	\$ 742.49
2A7018928	FNDN- SCOTTSTRING-L	Short Term	INTEL CORP COM (INTC)	05 Mar 2009	31 Aug 2009	75	\$ 1,512.75	\$ 931.41	\$ 581.34
2A7018928	FNDN- SCOTTSTRING-L	Short Term	KRAFT FOODS INC CL A (K)	17 Apr 2009	31 Aug 2009	80	\$ 2,263.20	\$ 1,821.74	\$ 441.46
2A7018928	FNDN- SCOTTSTRING-L	Short Term	NOKIA CORP SPONSOR	05 Mar 2009	31 Aug 2009	165	\$ 2,303.55	\$ 1,509.63	\$ 793.92
2A7018928	FNDN- SCOTTSTRING-L	Short Term	NOVARTIS AG SPONSOR	05 Mar 2009	31 Aug 2009	25	\$ 1,158.00	\$ 870.75	\$ 287.25
2A7018928	FNDN- SCOTTSTRING-L	Short Term	PEARSON PLC SPONSOR	05 Mar 2009	31 Aug 2009	85	\$ 1,035.30	\$ 783.62	\$ 251.68
2A7018928	FNDN- SCOTTSTRING-L	Short Term	PFIZER INC COM (PFE)	05 Mar 2009	31 Aug 2009	70	\$ 1,160.60	\$ 870.66	\$ 289.94
2A7018928	FNDN- SCOTTSTRING-L	Short Term	PHILIP MORRIS INTL INC C	05 Mar 2009	31 Aug 2009	30	\$ 1,364.70	\$ 986.64	\$ 378.06
2A7018928	FNDN- SCOTTSTRING-L	Short Term	TAIWAN SEMICONDUCTO	12 Mar 2009	31 Aug 2009	140	\$ 1,493.80	\$ 1,217.41	\$ 276.39
2A7018928	FNDN- SCOTTSTRING-L	Short Term	KINDER MORGAN MGMT L	05 Mar 2009	14 Aug 2009	0.45	\$ 20.71	\$ 15.81	\$ 4.90
2A7018928	FNDN- SCOTTSTRING-L	Short Term	TAIWAN SEMICONDUCTO	12 Mar 2009	14 Aug 2009	0.78	\$ 8.42	\$ 6.74	\$ 1.68
2A7018928	FNDN- SCOTTSTRING-L	Short Term	GENERAL ELECTRIC CO C	04 Sep 2008	05 Aug 2009	195	\$ 2,720.66	\$ 5,469.19	\$ (2,748.53)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	GENERAL ELECTRIC CO C	08 Oct 2008	05 Aug 2009	10	\$ 139.52	\$ 203.30	\$ (63.78)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	GENERAL ELECTRIC CO C	03 Mar 2009	05 Aug 2009	910	\$ 12,696.41	\$ 6,398.02	\$ 6,298.39
2A7018928	FNDN- SCOTTSTRING-L	Short Term	INTEL CORP COM (INTC)	05 Mar 2009	17 Jul 2009	60	\$ 1,109.40	\$ 745.13	\$ 364.27
2A7018928	FNDN- SCOTTSTRING-L	Short Term	BOEING CO COM (BA)	04 Sep 2008	05 Jun 2009	75	\$ 3,963.52	\$ 4,728.00	\$ (764.48)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	BOEING CO COM (BA)	08 Oct 2008	05 Jun 2009	7	\$ 369.93	\$ 339.26	\$ 30.67
2A7018928	FNDN- SCOTTSTRING-L	Short Term	KINDER MORGAN MGMT L	05 Mar 2009	15 May 2009	0.08	\$ 3.28	\$ 2.96	\$ 0.32
2A7018928	FNDN- SCOTTSTRING-L	Short Term	EATON CORP COM (ETN)	05 Mar 2009	08 May 2009	125	\$ 5,826.26	\$ 3,874.80	\$ 1,951.46
2A7018928	FNDN- SCOTTSTRING-L	Short Term	EATON CORP COM (ETN)	05 Mar 2009	30 Apr 2009	145	\$ 6,512.20	\$ 4,494.77	\$ 2,017.43
2A7018928	FNDN- SCOTTSTRING-L	Short Term	INTEL CORP COM (INTC)	05 Mar 2009	02 Apr 2009	35	\$ 554.76	\$ 434.66	\$ 120.10
2A7018928	FNDN- SCOTTSTRING-L	Short Term	TAIWAN SEMICONDUCTO	12 Mar 2009	02 Apr 2009	90	\$ 900.00	\$ 786.54	\$ 113.46
2A7018928	FNDN- SCOTTSTRING-L	Short Term	REALTY INCOME CORP C	05 Mar 2009	19 Mar 2009	545	\$ 9,471.72	\$ 8,805.70	\$ 666.02
2A7018928	FNDN- SCOTTSTRING-L	Short Term	METLIFE INC COM (MET)	06 Mar 2009	18 Mar 2009	150	\$ 3,501.52	\$ 1,834.61	\$ 1,666.91
2A7018928	FNDN- SCOTTSTRING-L	Short Term	METLIFE INC COM (MET)	06 Mar 2009	18 Mar 2009	230	\$ 5,790.36	\$ 2,813.06	\$ 2,977.30
2A7018928	FNDN- SCOTTSTRING-L	Short Term	ADOBE SYSTEMS INC DEL	10 Feb 2009	05 Mar 2009	700	\$ 11,690.90	\$ 14,768.87	\$ (3,078.17)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	AETNA US HEALTHCARE	04 Sep 2008	05 Mar 2009	133	\$ 2,699.93	\$ 5,600.15	\$ (2,900.22)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	AETNA US HEALTHCARE	08 Oct 2008	05 Mar 2009	6	\$ 121.80	\$ 182.16	\$ (60.36)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	AKAMAI TECHNOLOGIES	04 Sep 2008	05 Mar 2009	183	\$ 3,025.17	\$ 3,623.03	\$ (597.86)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	AKAMAI TECHNOLOGIES	08 Oct 2008	05 Mar 2009	50	\$ 826.55	\$ 685.00	\$ 141.55
2A7018928	FNDN- SCOTTSTRING-L	Short Term	AT&T INC COM (T)	04 Sep 2008	05 Mar 2009	178	\$ 4,087.20	\$ 5,709.92	\$ (1,622.72)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	AT&T INC COM (T)	08 Oct 2008	05 Mar 2009	3	\$ 68.88	\$ 73.59	\$ (4.71)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	CORNING INC COM (GLW)	30 Jun 2008	05 Mar 2009	730	\$ 7,416.88	\$ 16,997.48	\$ (9,580.60)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	CORNING INC COM (GLW)	04 Sep 2008	05 Mar 2009	250	\$ 2,540.03	\$ 4,192.05	\$ (1,652.02)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	CORNING INC COM (GLW)	08 Oct 2008	05 Mar 2009	42	\$ 426.72	\$ 501.01	\$ (74.29)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	DU PONT E I DE NEMOUR	04 Sep 2008	05 Mar 2009	159	\$ 2,751.02	\$ 7,033.71	\$ (4,282.69)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	EXXON MOBIL CORP COM	04 Sep 2008	05 Mar 2009	82	\$ 5,144.68	\$ 6,281.20	\$ (1,136.52)

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018928	FNDN- SCOTTSTRING-L	Short Term	GOLDMAN SACHS GROUP	04 Sep 2008	05 Mar 2009	36	\$ 2,976.35	\$ 5,856.77	\$ (2,880.42)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	GOODRICH CORP (GR)	21 Jul 2008	05 Mar 2009	215	\$ 6,574.29	\$ 9,041.85	\$ (2,467.56)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	GOODRICH CORP (GR)	04 Sep 2008	05 Mar 2009	135	\$ 4,128.05	\$ 6,720.92	\$ (2,592.87)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	HEWLETT PACKARD CO C	04 Sep 2008	05 Mar 2009	160	\$ 4,358.13	\$ 7,102.32	\$ (2,734.19)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	HOME DEPOT INC COM (H	04 Sep 2008	05 Mar 2009	234	\$ 4,294.37	\$ 6,759.84	\$ (2,465.47)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	HOTEL HOTELS & RESORT	04 Sep 2008	05 Mar 2009	400	\$ 1,358.72	\$ 5,710.92	\$ (4,342.20)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	HOTEL HOTELS & RESORT	17 Feb 2009	05 Mar 2009	1795	\$ 6,142.13	\$ 7,585.80	\$ (1,443.67)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	INGERSROLL RAND CO C	01 Jul 2008	05 Mar 2009	460	\$ 5,718.72	\$ 16,932.14	\$ (11,213.42)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	INGERSROLL RAND CO C	08 Oct 2008	05 Mar 2009	26	\$ 323.23	\$ 606.96	\$ (283.73)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	INGERSROLL RAND CO C	26 Nov 2008	05 Mar 2009	536	\$ 6,653.55	\$ 7,762.64	\$ (1,099.09)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	INGERSROLL RAND CO C	04 Sep 2008	05 Mar 2009	179	\$ 3,177.61	\$ 6,950.54	\$ (3,772.93)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	JP MORGAN CHASE & CO	23 Sep 2008	05 Mar 2009	795	\$ 12,337.69	\$ 20,651.35	\$ (8,263.66)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	MICROSOFT CORP COM (I	03 Feb 2009	05 Mar 2009	1457	\$ 12,957.64	\$ 13,700.37	\$ (832.73)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	STARBUCKS CORP COM (I	29 May 2008	05 Mar 2009	125	\$ 6,618.75	\$ 19,374.31	\$ (12,755.56)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	TRANSOCEAN LTD ZUG N	04 Sep 2008	05 Mar 2009	45	\$ 2,392.75	\$ 5,414.85	\$ (3,032.10)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	TRANSOCEAN LTD ZUG N	08 Oct 2008	05 Mar 2009	6	\$ 317.70	\$ 442.98	\$ (125.28)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	TRANSOCEAN LTD ZUG N	04 Sep 2008	05 Mar 2009	180	\$ 5,088.75	\$ 6,225.71	\$ (1,136.96)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	VERIZON COMMUNICATIO	21 Jul 2008	17 Feb 2009	175	\$ 6,716.96	\$ 7,359.65	\$ (642.69)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	GOODRICH CORP (GR)	04 Sep 2008	02 Feb 2009	241	\$ 856.74	\$ 4,600.09	\$ (3,743.35)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	CITIGROUP INC COM (C)	08 Oct 2008	02 Feb 2009	25	\$ 88.87	\$ 352.25	\$ (263.38)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	CITIGROUP INC COM (C)	20 Nov 2008	02 Feb 2009	1552	\$ 5,517.24	\$ 7,802.34	\$ (2,285.10)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	LAM RESEARCH CORP (L	04 Sep 2008	02 Feb 2009	155	\$ 3,080.95	\$ 5,001.29	\$ (1,920.34)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	LAM RESEARCH CORP (L	08 Oct 2008	02 Feb 2009	16	\$ 318.04	\$ 357.04	\$ (39.00)
2A7018928	FNDN- SCOTTSTRING-L	Short Term	Total			Short Term	\$ 229,387.14	\$ 300,195.60	\$ (70,808.46)

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018894	FNDN- NEUBERGER INT	Short Term	SUEZ ENVIRONMENT CO	09 Feb 2009	22 Dec 2009	134	\$ 1,537.56	\$ 1,121.27	\$ 416.29
2A7018894	FNDN- NEUBERGER INT	Short Term	VIVO PARTICIPACOES SA	29 Jul 2009	21 Dec 2009	101	\$ 3,050.53	\$ 2,211.51	\$ 839.02
2A7018894	FNDN- NEUBERGER INT	Short Term	NATIONAL BK GREECE SA	09 Sep 2009	17 Dec 2009	419	\$ 2,142.51	\$ 2,848.82	\$ (706.31)
2A7018894	FNDN- NEUBERGER INT	Short Term	NATIONAL BK GREECE SA	22 Oct 2009	17 Dec 2009	150	\$ 767.01	\$ 1,196.46	\$ (429.45)
2A7018894	FNDN- NEUBERGER INT	Short Term	ACCENTURE PLC IRELAN	04 Jun 2009	14 Dec 2009	24	\$ 1,009.20	\$ 720.00	\$ 289.20
2A7018894	FNDN- NEUBERGER INT	Short Term	BANCO SANTANDER SA A	27 May 2009	14 Dec 2009	129	\$ 2,136.80	\$ 1,364.22	\$ 772.58
2A7018894	FNDN- NEUBERGER INT	Short Term	BANCO SANTANDER SA A	16 Jul 2009	14 Dec 2009	17	\$ 281.59	\$ 217.94	\$ 63.65
2A7018894	FNDN- NEUBERGER INT	Short Term	AMDOCS LTD SHS ISIN#G	29 Jan 2009	08 Dec 2009	44	\$ 1,226.12	\$ 734.83	\$ 491.29
2A7018894	FNDN- NEUBERGER INT	Short Term	BANCO SANTANDER SA A	27 May 2009	08 Dec 2009	107	\$ 1,837.01	\$ 1,131.57	\$ 705.44
2A7018894	FNDN- NEUBERGER INT	Short Term	FRANCE TELECOM SPON	23 Jul 2009	25 Nov 2009	137	\$ 3,642.51	\$ 3,315.29	\$ 327.22
2A7018894	FNDN- NEUBERGER INT	Short Term	LOTTOMATICA S P A SPO	29 Jan 2009	25 Nov 2009	47	\$ 963.03	\$ 871.85	\$ 91.18
2A7018894	FNDN- NEUBERGER INT	Short Term	VIVO PARTICIPACOES SA	29 Jul 2009	19 Nov 2009	15	\$ 432.05	\$ 328.44	\$ 103.61
2A7018894	FNDN- NEUBERGER INT	Short Term	CHECK POINT SOFTWARE	17 Dec 2008	12 Nov 2009	55	\$ 1,757.78	\$ 1,083.53	\$ 674.25
2A7018894	FNDN- NEUBERGER INT	Short Term	CHECK POINT SOFTWARE	07 Jul 2009	12 Nov 2009	18	\$ 575.28	\$ 400.50	\$ 174.78
2A7018894	FNDN- NEUBERGER INT	Short Term	CHUNGHWA TELECOM CO	18 Jun 2009	09 Nov 2009	104	\$ 1,860.68	\$ 1,822.84	\$ 37.84
2A7018894	FNDN- NEUBERGER INT	Short Term	SUEZ ENVIRONMENT CO	19 Dec 2008	22 Oct 2009	93	\$ 1,096.47	\$ 809.10	\$ 287.37
2A7018894	FNDN- NEUBERGER INT	Short Term	CNOOC LTD SPONSORED	01 Dec 2008	20 Oct 2009	7	\$ 1,109.79	\$ 554.65	\$ 555.14
2A7018894	FNDN- NEUBERGER INT	Short Term	FOMENTO ECONOMICO M	27 Feb 2009	14 Oct 2009	64	\$ 2,748.16	\$ 1,484.26	\$ 1,263.90
2A7018894	FNDN- NEUBERGER INT	Short Term	CHUNGHWA TELECOM CO	18 Jun 2009	25 Sep 2009	0.5	\$ 8.68	\$ 8.76	\$ (0.08)
2A7018894	FNDN- NEUBERGER INT	Short Term	TAIWAN SEMICONDUCTO	10 Nov 2008	22 Sep 2009	65.41	\$ 711.28	\$ 495.29	\$ 215.99
2A7018894	FNDN- NEUBERGER INT	Short Term	TAIWAN SEMICONDUCTO	07 Jul 2009	22 Sep 2009	118.59	\$ 1,289.58	\$ 1,091.21	\$ 198.37
2A7018894	FNDN- NEUBERGER INT	Short Term	VEOLIA ENVIRONMENT SI	29 Sep 2008	22 Sep 2009	20	\$ 795.40	\$ 854.55	\$ (59.15)
2A7018894	FNDN- NEUBERGER INT	Short Term	VEOLIA ENVIRONMENT SI	17 Oct 2008	22 Sep 2009	22	\$ 874.94	\$ 692.18	\$ 182.76
2A7018894	FNDN- NEUBERGER INT	Short Term	VEOLIA ENVIRONMENT SI	21 Nov 2008	22 Sep 2009	15	\$ 596.55	\$ 333.57	\$ 262.98
2A7018894	FNDN- NEUBERGER INT	Short Term	ESSILOR INTL S A SPONS	30 Sep 2008	09 Sep 2009	50	\$ 1,342.50	\$ 1,248.52	\$ 93.98
2A7018894	FNDN- NEUBERGER INT	Short Term	TAIWAN SEMICONDUCTO	10 Nov 2008	08 Sep 2009	6.95	\$ 76.52	\$ 52.63	\$ 23.89
2A7018894	FNDN- NEUBERGER INT	Short Term	CHECK POINT SOFTWARE	17 Dec 2008	25 Aug 2009	36	\$ 1,023.54	\$ 709.22	\$ 314.32
2A7018894	FNDN- NEUBERGER INT	Short Term	SECOM LTD ADR (SOMLY	17 Mar 2009	25 Aug 2009	14	\$ 1,205.68	\$ 1,043.00	\$ 162.68
2A7018894	FNDN- NEUBERGER INT	Short Term	SECOM LTD ADR (SOMLY	08 Apr 2009	25 Aug 2009	15	\$ 1,291.80	\$ 1,155.00	\$ 136.80
2A7018894	FNDN- NEUBERGER INT	Short Term	DIAGEO PLC SPONSORED	03 Oct 2008	21 Aug 2009	11	\$ 700.15	\$ 720.50	\$ (20.35)
2A7018894	FNDN- NEUBERGER INT	Short Term	EAST JAPAN RY CO ADR	18 Dec 2008	21 Aug 2009	52	\$ 520.52	\$ 666.82	\$ (146.30)
2A7018894	FNDN- NEUBERGER INT	Short Term	GOLD FIELDS LTD NEW S	03 Feb 2009	20 Aug 2009	105	\$ 1,249.51	\$ 1,102.50	\$ 147.01
2A7018894	FNDN- NEUBERGER INT	Short Term	GOLD FIELDS LTD NEW S	20 Mar 2009	20 Aug 2009	62	\$ 737.81	\$ 768.74	\$ (30.93)
2A7018894	FNDN- NEUBERGER INT	Short Term	TEVA PHARMACEUTICAL	17 Oct 2008	18 Aug 2009	9	\$ 455.98	\$ 375.18	\$ 80.80
2A7018894	FNDN- NEUBERGER INT	Short Term	TEVA PHARMACEUTICAL	21 Nov 2008	18 Aug 2009	13	\$ 658.64	\$ 530.92	\$ 127.72
2A7018894	FNDN- NEUBERGER INT	Short Term	TEVA PHARMACEUTICAL	24 Nov 2008	18 Aug 2009	12	\$ 607.98	\$ 506.16	\$ 101.82
2A7018894	FNDN- NEUBERGER INT	Short Term	UBS AG SHS NEW ISIN#C	21 Nov 2008	18 Aug 2009	159	\$ 2,509.12	\$ 1,467.16	\$ 1,041.96
2A7018894	FNDN- NEUBERGER INT	Short Term	UBS AG SHS NEW ISIN#C	21 Apr 2009	18 Aug 2009	85	\$ 1,341.35	\$ 981.10	\$ 360.25
2A7018894	FNDN- NEUBERGER INT	Short Term	VEOLIA ENVIRONMENT SI	29 Sep 2008	06 Aug 2009	33	\$ 1,070.86	\$ 1,410.02	\$ (339.16)
2A7018894	FNDN- NEUBERGER INT	Short Term	SUEZ ENVIRONMENT CO	19 Dec 2008	05 Aug 2009	56	\$ 540.41	\$ 487.20	\$ 53.21
2A7018894	FNDN- NEUBERGER INT	Short Term	ANHEUSER BUSCH INBEV	07 Jul 2009	31 Jul 2009	17	\$ 676.60	\$ 636.65	\$ 39.95
2A7018894	FNDN- NEUBERGER INT	Short Term	AMDOCS LTD SHS ISIN#G	21 Nov 2008	21 Jul 2009	28	\$ 644.00	\$ 472.95	\$ 171.05
2A7018894	FNDN- NEUBERGER INT	Short Term	UNITED OVERSEAS BK LT	09 Oct 2008	21 Jul 2009	66	\$ 1,419.00	\$ 1,421.64	\$ (2.64)
2A7018894	FNDN- NEUBERGER INT	Short Term	TELEFONICA S A ADR SP	25 Feb 2009	20 Jul 2009	6	\$ 422.00	\$ 319.62	\$ 102.38
2A7018894	FNDN- NEUBERGER INT	Short Term	VIVENDI SA SPON ADR (V	15 Apr 2009	17 Jul 2009	42	\$ 1,032.54	\$ 1,098.30	\$ (65.76)
2A7018894	FNDN- NEUBERGER INT	Short Term	VIVENDI SA SPON ADR (V	18 Jun 2009	17 Jul 2009	31	\$ 762.11	\$ 786.09	\$ (23.98)

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018894	FNDN- NEUBERGER INT	Short Term	ESSILOR INTL S A SPONS	30 Sep 2008	16 Jul 2009	38	\$ 956 90	\$ 948 88	\$ 8 02
2A7018894	FNDN- NEUBERGER INT	Short Term	WOLTERS KLUWER N V S	20 Mar 2009	09 Jul 2009	67	\$ 1,158 43	\$ 1,038 50	\$ 119 93
2A7018894	FNDN- NEUBERGER INT	Short Term	ARM HLDGS PLC SPONSC	12 May 2009	08 Jul 2009	323	\$ 1,835 93	\$ 1,619 33	\$ 216 60
2A7018894	FNDN- NEUBERGER INT	Short Term	DIAGEO PLC SPONSORED	03 Oct 2008	02 Jul 2009	11	\$ 652.11	\$ 720 50	\$ (68 39)
2A7018894	FNDN- NEUBERGER INT	Short Term	HEINEKEN NV ADR (HINK)	31 Jul 2008	02 Jul 2009	86	\$ 1,631 42	\$ 2,012 40	\$ (380 98)
2A7018894	FNDN- NEUBERGER INT	Short Term	HEINEKEN NV ADR (HINK)	09 Jan 2009	02 Jul 2009	44	\$ 834 68	\$ 713 68	\$ 121 00
2A7018894	FNDN- NEUBERGER INT	Short Term	NTT DOCOMO INC SPONS	24 Apr 2009	01 Jul 2009	116	\$ 1,695 02	\$ 1,652 56	\$ 42 46
2A7018894	FNDN- NEUBERGER INT	Short Term	MOBILE TELESYSTEMS O	30 Jul 2008	30 Jun 2009	27	\$ 994 06	\$ 1,947 86	\$ (953 80)
2A7018894	FNDN- NEUBERGER INT	Short Term	TURKCELL ILETISIM HIZM	30 Sep 2008	30 Jun 2009	70	\$ 968 68	\$ 1,064 47	\$ (95 79)
2A7018894	FNDN- NEUBERGER INT	Short Term	TURKCELL ILETISIM HIZM	14 Oct 2008	30 Jun 2009	49	\$ 678 07	\$ 767 73	\$ (89 66)
2A7018894	FNDN- NEUBERGER INT	Short Term	SEVEN & I HLDGS CO LTD	03 Apr 2009	25 Jun 2009	12	\$ 550 80	\$ 549 56	\$ 1 24
2A7018894	FNDN- NEUBERGER INT	Short Term	SHISEIDO LTD SPON ADR	30 Sep 2008	25 Jun 2009	105	\$ 1,670 67	\$ 2,388 75	\$ (718 08)
2A7018894	FNDN- NEUBERGER INT	Short Term	SHISEIDO LTD SPON ADR	15 Oct 2008	25 Jun 2009	42	\$ 658 27	\$ 850 08	\$ (181 81)
2A7018894	FNDN- NEUBERGER INT	Short Term	SHISEIDO LTD SPON ADR	14 Jan 2009	25 Jun 2009	26	\$ 413 68	\$ 513 24	\$ (99 56)
2A7018894	FNDN- NEUBERGER INT	Short Term	COCA COLA HELLENIC BC	22 Oct 2008	05 Jun 2009	56	\$ 1,133 39	\$ 752 90	\$ 430 49
2A7018894	FNDN- NEUBERGER INT	Short Term	COCA COLA HELLENIC BC	24 Nov 2008	05 Jun 2009	29	\$ 612 82	\$ 420 93	\$ 191 89
2A7018894	FNDN- NEUBERGER INT	Short Term	COCA COLA HELLENIC BC	22 Oct 2008	14 May 2009	71	\$ 1,321 16	\$ 954 56	\$ 366 60
2A7018894	FNDN- NEUBERGER INT	Short Term	ASML HOLDING N V HOLD	28 Jul 2008	06 May 2009	33	\$ 692.69	\$ 744 81	\$ (52 12)
2A7018894	FNDN- NEUBERGER INT	Short Term	ASML HOLDING N V HOLD	30 Jul 2008	06 May 2009	36	\$ 755 66	\$ 847 93	\$ (92 27)
2A7018894	FNDN- NEUBERGER INT	Short Term	TAIWAN SEMICONDUCTO	05 Aug 2008	05 May 2009	109	\$ 1,201 96	\$ 1,067 76	\$ 134 20
2A7018894	FNDN- NEUBERGER INT	Short Term	COMPANHIA DE BEBIDAS	09 Sep 2008	27 Apr 2009	16	\$ 855 73	\$ 914 21	\$ (58 48)
2A7018894	FNDN- NEUBERGER INT	Short Term	GRUPO AEROPORTUARI	02 Feb 2009	15 Apr 2009	37	\$ 1,152 79	\$ 1,099 74	\$ 53 05
2A7018894	FNDN- NEUBERGER INT	Short Term	BANK YOKOHAMA LTD JA	24 Oct 2008	02 Apr 2009	40	\$ 1,739 60	\$ 1,722 00	\$ 17 60
2A7018894	FNDN- NEUBERGER INT	Short Term	LUXOTTICA GROUP SPA S	16 Jun 2008	20 Mar 2009	53	\$ 751 07	\$ 1,336 88	\$ (585 81)
2A7018894	FNDN- NEUBERGER INT	Short Term	ASML HOLDING N V HOLD	15 Jul 2008	19 Mar 2009	58	\$ 1,033 02	\$ 1,391 05	\$ (358 03)
2A7018894	FNDN- NEUBERGER INT	Short Term	ASML HOLDING N V HOLD	28 Jul 2008	19 Mar 2009	9	\$ 160 30	\$ 203 13	\$ (42 83)
2A7018894	FNDN- NEUBERGER INT	Short Term	TOYOTA MTR CO SPON A	08 Aug 2008	18 Mar 2009	6	\$ 377 62	\$ 543 03	\$ (165 41)
2A7018894	FNDN- NEUBERGER INT	Short Term	TOYOTA MTR CO SPON A	02 Sep 2008	18 Mar 2009	3	\$ 188 81	\$ 266 13	\$ (77 32)
2A7018894	FNDN- NEUBERGER INT	Short Term	LOGITECH INTERNATIONAL	30 Sep 2008	13 Mar 2009	34	\$ 313 06	\$ 774 49	\$ (461 43)
2A7018894	FNDN- NEUBERGER INT	Short Term	LOGITECH INTERNATIONAL	21 Nov 2008	13 Mar 2009	45	\$ 414 34	\$ 531 50	\$ (117 16)
2A7018894	FNDN- NEUBERGER INT	Short Term	TOYOTA MTR CO SPON A	08 Aug 2008	13 Mar 2009	13	\$ 782 39	\$ 1,176 58	\$ (394 19)
2A7018894	FNDN- NEUBERGER INT	Short Term	TAKEDA PHARMACEUTICA	20 Jun 2008	06 Mar 2009	139	\$ 2,440 13	\$ 3,654 13	\$ (1,214 00)
2A7018894	FNDN- NEUBERGER INT	Short Term	TAKEDA PHARMACEUTICA	10 Sep 2008	06 Mar 2009	50	\$ 877 75	\$ 1,294 00	\$ (416 25)
2A7018894	FNDN- NEUBERGER INT	Short Term	CENTRAL EUROPEAN DIS	03 Nov 2008	04 Mar 2009	41	\$ 271 12	\$ 1,244 78	\$ (973 66)
2A7018894	FNDN- NEUBERGER INT	Short Term	CENTRAL EUROPEAN DIS	10 Nov 2008	04 Mar 2009	34	\$ 224 83	\$ 1,053 48	\$ (833 65)
2A7018894	FNDN- NEUBERGER INT	Short Term	CENTRAL EUROPEAN DIS	08 Jan 2009	04 Mar 2009	29	\$ 191 78	\$ 660 62	\$ (468 84)
2A7018894	FNDN- NEUBERGER INT	Short Term	CREDICORP LTD COM ISIL	20 Oct 2008	26 Feb 2009	45	\$ 1,676 47	\$ 1,749 10	\$ (72 63)
2A7018894	FNDN- NEUBERGER INT	Short Term	TURKCELL ILETISIM HIZM	30 Sep 2008	23 Feb 2009	23	\$ 298 08	\$ 349 76	\$ (51 68)
2A7018894	FNDN- NEUBERGER INT	Short Term	NITTO DENKO CORP ADR	24 Jul 2008	19 Feb 2009	6	\$ 1 112 82	\$ 1,948 50	\$ (835 68)
2A7018894	FNDN- NEUBERGER INT	Short Term	NITTO DENKO CORP ADR	06 Aug 2008	19 Feb 2009	5	\$ 927 35	\$ 1,560 00	\$ (632 65)
2A7018894	FNDN- NEUBERGER INT	Short Term	BANCO SANTANDER CHIL	04 Nov 2008	13 Feb 2009	30	\$ 1,101 23	\$ 1,088 84	\$ 12 39
2A7018894	FNDN- NEUBERGER INT	Short Term	BANCO SANTANDER CHIL	04 Nov 2008	11 Feb 2009	18	\$ 652 76	\$ 653 30	\$ (0 54)
2A7018894	FNDN- NEUBERGER INT	Short Term	TAIWAN SEMICONDUCTO	05 Aug 2008	09 Feb 2009	77	\$ 649 11	\$ 754 28	\$ (105 17)
2A7018894	FNDN- NEUBERGER INT	Short Term	ABB LTD SPONSORED AD	21 Nov 2008	06 Jan 2009	82	\$ 1,247 72	\$ 791 58	\$ 456 14
2A7018894	FNDN- NEUBERGER INT	Short Term	Total			Short Term	\$ 92,655.41	\$ 91,995.10	\$ 660.31

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018910	FNDN- SCHAFFER LRGV	Short Term	MICROSOFT CORP COM (	20 Apr 2009	29 Dec 2009	110	\$ 3,451.63	\$ 2,072.76	\$ 1,378.87
2A7018910	FNDN- SCHAFFER LRGV	Short Term	MORGAN STANLEY COM (	25 Mar 2009	04 Nov 2009	200	\$ 6,415.78	\$ 4,970.10	\$ 1,445.68
2A7018910	FNDN- SCHAFFER LRGV	Short Term	US BANCORP DEL COM (U	06 Jun 2008	04 Jun 2009	330	\$ 6,081.17	\$ 10,731.83	\$ (4,650.66)
2A7018910	FNDN- SCHAFFER LRGV	Short Term	US BANCORP DEL COM (U	06 Jun 2008	03 Jun 2009	150	\$ 2,757.96	\$ 4,878.11	\$ (2,120.15)
2A7018910	FNDN- SCHAFFER LRGV	Short Term	CEMEX S A B DE C V SPO	10 Jun 2008	26 Feb 2009	19	\$ 112.76	\$ 548.49	\$ (435.73)
2A7018910	FNDN- SCHAFFER LRGV	Short Term	BANK OF AMERICA COM (	07 Oct 2008	16 Jan 2009	120	\$ 857.80	\$ 3,218.63	\$ (2,360.83)
2A7018910	FNDN- SCHAFFER LRGV	Short Term	Total			Short Term	\$ 19,677.10	\$ 26,419.92	\$ (6,742.82)

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018886	FNDN- KEELEY SMVL	Short Term	IMS HEALTH INC CASH ME	04 Dec 2008	24 Nov 2009	60	\$ 1,232 32	\$ 788 28	\$ 504 04
2A7018886	FNDN- KEELEY SMVL	Short Term	IMS HEALTH INC CASH ME	04 Dec 2008	24 Nov 2009	80	\$ 1,723 10	\$ 1,051 03	\$ 672 07
2A7018886	FNDN- KEELEY SMVL	Short Term	IMS HEALTH INC CASH ME	05 Dec 2008	24 Nov 2009	80	\$ 1,723 10	\$ 1,031 48	\$ 691 62
2A7018886	FNDN- KEELEY SMVL	Short Term	FIRSTMERIT CORP COM (	31 Jul 2009	21 Sep 2009	0 87	\$ 15 91	\$ 16 10	\$ (0 19)
2A7018886	FNDN- KEELEY SMVL	Short Term	MCDERMOTT INT'L INC (N	07 Jan 2009	09 Sep 2009	90	\$ 2,394 57	\$ 1,109 80	\$ 1,284 77
2A7018886	FNDN- KEELEY SMVL	Short Term	COLFAX CORP COM (CFX)	01 Jul 2008	19 Jun 2009	20	\$ 159 72	\$ 499 99	\$ (340 27)
2A7018886	FNDN- KEELEY SMVL	Short Term	COLFAX CORP COM (CFX)	08 Jul 2008	19 Jun 2009	50	\$ 399 31	\$ 1,221 20	\$ (821 89)
2A7018886	FNDN- KEELEY SMVL	Short Term	COLFAX CORP COM (CFX)	30 Jun 2008	10 Jun 2009	30	\$ 269 49	\$ 742 44	\$ (472 95)
2A7018886	FNDN- KEELEY SMVL	Short Term	COLFAX CORP COM (CFX)	30 Jun 2008	10 Jun 2009	40	\$ 359 32	\$ 989 92	\$ (630 60)
2A7018886	FNDN- KEELEY SMVL	Short Term	COLFAX CORP COM (CFX)	01 Jul 2008	10 Jun 2009	20	\$ 179 66	\$ 500 00	\$ (320 34)
2A7018886	FNDN- KEELEY SMVL	Short Term	WEBSTER FINANCIAL CO	24 Jul 2008	04 Feb 2009	110	\$ 386 65	\$ 2,127 15	\$ (1,740 50)
2A7018886	FNDN- KEELEY SMVL	Short Term	WEBSTER FINANCIAL CO	24 Jul 2008	03 Feb 2009	100	\$ 341 01	\$ 1,933 77	\$ (1,592 76)
2A7018886	FNDN- KEELEY SMVL	Short Term	Total			Short Term	\$ 9,244 16	\$ 12,011 16	\$ (2,767 00)

Couchman Noble Foundation

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018902	FNDN - NICHOLAS - MID	Short Term	D R HORTON INC (DHI)	18 Sep 2009	30 Dec 2009	90	\$ 981 02	\$ 1,223 68	\$ (242 66)
2A7018902	FNDN - NICHOLAS - MID	Short Term	ROYAL CARIBBEAN CRUISES	18 Sep 2009	28 Dec 2009	4	\$ 106 49	\$ 95 80	\$ 10 69
2A7018902	FNDN - NICHOLAS - MID	Short Term	CELESTICA INC SUB VTG	18 Sep 2009	15 Dec 2009	180	\$ 1,495 81	\$ 1,761 87	\$ (266 06)
2A7018902	FNDN - NICHOLAS - MID	Short Term	INVERSCO LTD ORD SHS IS	18 Sep 2009	11 Dec 2009	64	\$ 1,367 16	\$ 1,476 48	\$ (109 32)
2A7018902	FNDN - NICHOLAS - MID	Short Term	LAS VEGAS SANDS CORP	18 Sep 2009	09 Dec 2009	48	\$ 731 54	\$ 914 78	\$ (183 24)
2A7018902	FNDN - NICHOLAS - MID	Short Term	ATHENAHEALTH INC COM	18 Sep 2009	07 Dec 2009	15	\$ 685 52	\$ 592 05	\$ 93 47
2A7018902	FNDN - NICHOLAS - MID	Short Term	BAIDU COM INC SPONS A	18 Sep 2009	07 Dec 2009	3	\$ 1,266 72	\$ 1,201 77	\$ 64 95
2A7018902	FNDN - NICHOLAS - MID	Short Term	CYBERSOURCE CORP DE	18 Sep 2009	07 Dec 2009	43	\$ 786 47	\$ 734 80	\$ 51 67
2A7018902	FNDN - NICHOLAS - MID	Short Term	GAMESTOP CORP NEW C	10 Nov 2009	07 Dec 2009	42	\$ 889 59	\$ 1,083 23	\$ (193 64)
2A7018902	FNDN - NICHOLAS - MID	Short Term	GAMESTOP CORP NEW C	11 Nov 2009	07 Dec 2009	45	\$ 953 13	\$ 1,109 25	\$ (156 12)
2A7018902	FNDN - NICHOLAS - MID	Short Term	GAMESTOP CORP NEW C	16 Nov 2009	07 Dec 2009	25	\$ 529 52	\$ 607 66	\$ (78 14)
2A7018902	FNDN - NICHOLAS - MID	Short Term	GAMESTOP CORP NEW C	18 Sep 2009	07 Dec 2009	31	\$ 552 17	\$ 1,095 51	\$ (143 34)
2A7018902	FNDN - NICHOLAS - MID	Short Term	HARSCO CORP (HSC)	18 Sep 2009	02 Dec 2009	14	\$ 547 43	\$ 551 32	\$ (3 89)
2A7018902	FNDN - NICHOLAS - MID	Short Term	BED BATH & BEYOND INC	18 Sep 2009	02 Dec 2009	54	\$ 1,090 33	\$ 1,022 43	\$ 67 90
2A7018902	FNDN - NICHOLAS - MID	Short Term	CARMAX INC COM (KMX)	18 Sep 2009	02 Dec 2009	157	\$ 2,095 95	\$ 2,157 16	\$ (61 21)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SKYWORX SOLUTIONS	18 Sep 2009	01 Dec 2009	24	\$ 483 88	\$ 454 42	\$ 29 46
2A7018902	FNDN - NICHOLAS - MID	Short Term	CARMAX INC COM (KMX)	18 Sep 2009	01 Dec 2009	59	\$ 1,859 98	\$ 2,160 58	\$ (300 60)
2A7018902	FNDN - NICHOLAS - MID	Short Term	DARDEN RESTAURANTS	18 Sep 2009	01 Dec 2009	33	\$ 1,376 39	\$ 1,234 20	\$ 142 19
2A7018902	FNDN - NICHOLAS - MID	Short Term	GUESS INC COM (GES)	18 Sep 2009	23 Nov 2009	15	\$ 569 73	\$ 590 70	\$ (20 97)
2A7018902	FNDN - NICHOLAS - MID	Short Term	BED BATH & BEYOND INC	18 Sep 2009	23 Nov 2009	35	\$ 721 36	\$ 662 69	\$ 58 67
2A7018902	FNDN - NICHOLAS - MID	Short Term	CARMAX INC COM (KMX)	18 Sep 2009	23 Nov 2009	80	\$ 708 04	\$ 783 06	\$ (75 02)
2A7018902	FNDN - NICHOLAS - MID	Short Term	CELESTICA INC SUB VTG	18 Sep 2009	18 Nov 2009	4	\$ 102 66	\$ 75 15	\$ 27 51
2A7018902	FNDN - NICHOLAS - MID	Short Term	ASIANFO HLDGS INC COM	18 Sep 2009	18 Nov 2009	10	\$ 439 53	\$ 394 70	\$ 44 83
2A7018902	FNDN - NICHOLAS - MID	Short Term	ATHENAHEALTH INC COM	18 Sep 2009	18 Nov 2009	1	\$ 237 31	\$ 204 81	\$ 32 50
2A7018902	FNDN - NICHOLAS - MID	Short Term	BLACKROCK INC COM (BL)	18 Sep 2009	18 Nov 2009	4	\$ 177 76	\$ 137 32	\$ 40 44
2A7018902	FNDN - NICHOLAS - MID	Short Term	CLIFFS NAT RES INC COM	18 Sep 2009	18 Nov 2009	2	\$ 145 54	\$ 111 66	\$ 33 88
2A7018902	FNDN - NICHOLAS - MID	Short Term	CTRIPO COM INTL LTD AME	18 Sep 2009	18 Nov 2009	6	\$ 166 93	\$ 166 74	\$ 0 19
2A7018902	FNDN - NICHOLAS - MID	Short Term	JOHNSON CONTROLS INC	18 Sep 2009	18 Nov 2009	25	\$ 731 01	\$ 706 25	\$ 24 76
2A7018902	FNDN - NICHOLAS - MID	Short Term	KANSAS CITY SOUTHERN	18 Sep 2009	18 Nov 2009	2	\$ 418 36	\$ 333 66	\$ 84 70
2A7018902	FNDN - NICHOLAS - MID	Short Term	PRICELINE COM INC COM	18 Sep 2009	18 Nov 2009	29	\$ 684 71	\$ 694 55	\$ (9 84)
2A7018902	FNDN - NICHOLAS - MID	Short Term	ROYAL CARIBBEAN CRUISES	18 Sep 2009	18 Nov 2009	8	\$ 250 40	\$ 224 79	\$ 25 61
2A7018902	FNDN - NICHOLAS - MID	Short Term	TERNIUM S A SPONS ADR	18 Sep 2009	18 Nov 2009	22	\$ 548 25	\$ 529 98	\$ 18 27
2A7018902	FNDN - NICHOLAS - MID	Short Term	TIMKEN CO COM (TKR)	24 Sep 2009	18 Nov 2009	23	\$ 309 04	\$ 867 10	\$ 41 94
2A7018902	FNDN - NICHOLAS - MID	Short Term	VERTEX PHARMACEUTICAL	18 Sep 2009	18 Nov 2009	4	\$ 288 32	\$ 252 24	\$ 36 08
2A7018902	FNDN - NICHOLAS - MID	Short Term	WALTER ENERGY INC CO	18 Sep 2009	18 Nov 2009	38	\$ 1,046 94	\$ 1,048 80	\$ (1 86)
2A7018902	FNDN - NICHOLAS - MID	Short Term	ZEBRA TECHNOLOGIES C	18 Sep 2009	16 Nov 2009	60	\$ 739 90	\$ 815 78	\$ (75 88)
2A7018902	FNDN - NICHOLAS - MID	Short Term	D R HORTON INC (DHI)	18 Sep 2009	13 Nov 2009	29	\$ 1,136 23	\$ 1,044 00	\$ 92 23
2A7018902	FNDN - NICHOLAS - MID	Short Term	AUTOLIV INC COM (ALV)	18 Sep 2009	11 Nov 2009	24	\$ 772 37	\$ 666 72	\$ 105 65
2A7018902	FNDN - NICHOLAS - MID	Short Term	CHECK POINT SOFTWARE	18 Sep 2009	11 Nov 2009	2	\$ 25 26	\$ 22 01	\$ 3 25
2A7018902	FNDN - NICHOLAS - MID	Short Term	FIRST HORIZON NATL CO	02 Apr 2009	11 Nov 2009	68	\$ 2,105 63	\$ 2,301 80	\$ (196 17)
2A7018902	FNDN - NICHOLAS - MID	Short Term	PENNEY J C INC (JCP)	18 Sep 2009	09 Nov 2009	33	\$ 888 03	\$ 892 65	\$ (4 62)
2A7018902	FNDN - NICHOLAS - MID	Short Term	MARRIOTT INTL INC NEW	18 Sep 2009	06 Nov 2009	480	\$ 1,824 00	\$ 2,067 98	\$ (243 98)
2A7018902	FNDN - NICHOLAS - MID	Short Term	ALCATEL LUCENT SPON A	18 Sep 2009	06 Nov 2009	7	\$ 213 86	\$ 236 95	\$ (23 09)
2A7018902	FNDN - NICHOLAS - MID	Short Term	PENNEY J C INC (JCP)	18 Sep 2009	05 Nov 2009	105	\$ 1,929 15	\$ 2,357 67	\$ (428 52)
2A7018902	FNDN - NICHOLAS - MID	Short Term	INTERNATIONAL GAME TR	18 Sep 2009	05 Nov 2009	39	\$ 1,022 97	\$ 1,054 95	\$ (31 98)
2A7018902	FNDN - NICHOLAS - MID	Short Term	MARRIOTT INTL INC NEW	18 Sep 2009	02 Nov 2009	31	\$ 1,074 15	\$ 1,115 00	\$ (41 85)
2A7018902	FNDN - NICHOLAS - MID	Short Term	AUTOLIV INC COM (ALV)	18 Sep 2009					

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018902	FNDN - NICHOLAS - MID	Short Term	CLIFFS NAT RES INC COM	18 Sep 2009	30 Oct 2009	18	\$ 655.12	\$ 617.94	\$ 47.18
2A7018902	FNDN - NICHOLAS - MID	Short Term	LAZARD LTD SHS A ISIN#	18 Sep 2009	30 Oct 2009	36	\$ 1,352.72	\$ 1,367.64	\$ (4.92)
2A7018902	FNDN - NICHOLAS - MID	Short Term	CTRIPO COM INTL LTD AME	18 Sep 2009	29 Oct 2009	9	\$ 522.54	\$ 502.47	\$ 20.07
2A7018902	FNDN - NICHOLAS - MID	Short Term	CTRIPO COM INTL LTD AME	18 Sep 2009	29 Oct 2009	15	\$ 875.13	\$ 837.45	\$ 37.68
2A7018902	FNDN - NICHOLAS - MID	Short Term	WEATHERFORD INTL LTD	18 Sep 2009	29 Oct 2009	82	\$ 1,421.19	\$ 1,829.42	\$ (408.23)
2A7018902	FNDN - NICHOLAS - MID	Short Term	TIFFANY & COMPANY (TIF	18 Sep 2009	28 Oct 2009	42	\$ 1,648.92	\$ 1,640.94	\$ 7.98
2A7018902	FNDN - NICHOLAS - MID	Short Term	MYRIAD GENETICS INC (M	18 Sep 2009	26 Oct 2009	62	\$ 1,583.28	\$ 1,884.18	\$ (300.90)
2A7018902	FNDN - NICHOLAS - MID	Short Term	MYRIAD GENETICS INC (M	29 Sep 2009	26 Oct 2009	15	\$ 383.05	\$ 409.62	\$ (26.57)
2A7018902	FNDN - NICHOLAS - MID	Short Term	NETEASE COM INC SPON	18 Sep 2009	21 Oct 2009	63	\$ 2,426.86	\$ 2,851.38	\$ (424.52)
2A7018902	FNDN - NICHOLAS - MID	Short Term	VIRGIN MEDIA INC COM (V	18 Sep 2009	21 Oct 2009	59	\$ 863.19	\$ 752.77	\$ 110.42
2A7018902	FNDN - NICHOLAS - MID	Short Term	CLIFFS NAT RES INC COM	18 Sep 2009	12 Oct 2009	10	\$ 363.82	\$ 343.30	\$ 20.52
2A7018902	FNDN - NICHOLAS - MID	Short Term	NATIONAL OILWELL VARCO	18 Sep 2009	12 Oct 2009	5	\$ 232.37	\$ 218.75	\$ 13.62
2A7018902	FNDN - NICHOLAS - MID	Short Term	TERNIUM S A SPONS ADR	18 Sep 2009	12 Oct 2009	34	\$ 953.72	\$ 955.37	\$ (1.65)
2A7018902	FNDN - NICHOLAS - MID	Short Term	WEATHERFORD INTL LTD	18 Sep 2009	12 Oct 2009	28	\$ 548.00	\$ 624.68	\$ (76.68)
2A7018902	FNDN - NICHOLAS - MID	Short Term	BUNGE LIMITED SHS (BG)	18 Sep 2009	05 Oct 2009	5	\$ 305.25	\$ 316.25	\$ (11.00)
2A7018902	FNDN - NICHOLAS - MID	Short Term	JOY GLOBAL INC COM (JC)	18 Sep 2009	05 Oct 2009	9	\$ 427.34	\$ 432.81	\$ (5.47)
2A7018902	FNDN - NICHOLAS - MID	Short Term	DICKS SPORTING GOODS	18 Sep 2009	01 Oct 2009	65	\$ 1,406.05	\$ 1,496.90	\$ (92.85)
2A7018902	FNDN - NICHOLAS - MID	Short Term	WHITING PETE CORP NEW	18 Sep 2009	30 Sep 2009	38	\$ 2,195.85	\$ 2,179.30	\$ 16.55
2A7018902	FNDN - NICHOLAS - MID	Short Term	GOODRICH CORP (GR)	18 Sep 2009	29 Sep 2009	33	\$ 1,793.27	\$ 1,849.98	\$ (56.71)
2A7018902	FNDN - NICHOLAS - MID	Short Term	ACTIVISION BLIZZARD INC	18 Sep 2009	25 Sep 2009	143	\$ 1,757.61	\$ 1,724.44	\$ 33.17
2A7018902	FNDN - NICHOLAS - MID	Short Term	ALCATEL LUCENT SPON A	18 Sep 2009	25 Sep 2009	231	\$ 1,035.09	\$ 995.22	\$ 39.87
2A7018902	FNDN - NICHOLAS - MID	Short Term	LAS VEGAS SANDS CORP	18 Sep 2009	25 Sep 2009	86	\$ 1,527.44	\$ 1,638.99	\$ (111.55)
2A7018902	FNDN - NICHOLAS - MID	Short Term	PRECISION CASTPARTS CO	18 Sep 2009	25 Sep 2009	4	\$ 400.85	\$ 398.92	\$ 1.93
2A7018902	FNDN - NICHOLAS - MID	Short Term	AIRGAS INC (ARG)	18 Sep 2009	24 Sep 2009	40	\$ 1,307.14	\$ 1,976.40	\$ (69.26)
2A7018902	FNDN - NICHOLAS - MID	Short Term	FLUOR CORP NEW COM (F	18 Sep 2009	24 Sep 2009	46	\$ 2,448.12	\$ 2,578.76	\$ (130.64)
2A7018902	FNDN - NICHOLAS - MID	Short Term	TELLABS INC COM (TLAB)	18 Sep 2009	24 Sep 2009	234	\$ 1,629.06	\$ 1,614.58	\$ 14.48
2A7018902	FNDN - NICHOLAS - MID	Short Term	URS CORP NEW COM (UR	18 Sep 2009	24 Sep 2009	49	\$ 2,049.67	\$ 2,197.16	\$ (147.49)
2A7018902	FNDN - NICHOLAS - MID	Short Term	AEROPOSTALE COM (ARC	14 Jan 2009	18 Sep 2009	6	\$ 263.28	\$ 113.37	\$ 149.91
2A7018902	FNDN - NICHOLAS - MID	Short Term	AEROPOSTALE COM (ARC	16 Jan 2009	18 Sep 2009	29	\$ 1,272.52	\$ 581.22	\$ 691.30
2A7018902	FNDN - NICHOLAS - MID	Short Term	AK STL HLDG CORP COM	16 Jul 2009	18 Sep 2009	37	\$ 868.44	\$ 727.84	\$ 140.60
2A7018902	FNDN - NICHOLAS - MID	Short Term	AK STL HLDG CORP COM	20 Jul 2009	18 Sep 2009	32	\$ 751.09	\$ 642.76	\$ 108.33
2A7018902	FNDN - NICHOLAS - MID	Short Term	AK STL HLDG CORP COM	21 Jul 2009	18 Sep 2009	35	\$ 821.50	\$ 671.28	\$ 150.22
2A7018902	FNDN - NICHOLAS - MID	Short Term	AK STL HLDG CORP COM	10 Aug 2009	18 Sep 2009	32	\$ 751.09	\$ 650.56	\$ 100.53
2A7018902	FNDN - NICHOLAS - MID	Short Term	ALPHA NAT RES INC OOM	03 Sep 2009	18 Sep 2009	17	\$ 661.64	\$ 575.57	\$ 86.07
2A7018902	FNDN - NICHOLAS - MID	Short Term	ALPHA NAT RES INC OOM	08 Sep 2009	18 Sep 2009	16	\$ 622.72	\$ 577.06	\$ 45.66
2A7018902	FNDN - NICHOLAS - MID	Short Term	ALPHA NAT RES INC OOM	09 Sep 2009	18 Sep 2009	33	\$ 1,284.36	\$ 1,228.52	\$ 55.84
2A7018902	FNDN - NICHOLAS - MID	Short Term	AMERICREDIT CORP (ACF	30 Jul 2009	18 Sep 2009	36	\$ 591.84	\$ 568.34	\$ 23.50
2A7018902	FNDN - NICHOLAS - MID	Short Term	AMERICREDIT CORP (ACF	31 Jul 2009	18 Sep 2009	50	\$ 822.00	\$ 783.01	\$ 38.99
2A7018902	FNDN - NICHOLAS - MID	Short Term	AMERICREDIT CORP (ACF	04 Aug 2009	18 Sep 2009	34	\$ 558.96	\$ 555.66	\$ 3.30
2A7018902	FNDN - NICHOLAS - MID	Short Term	AMERICREDIT CORP (ACF	10 Aug 2009	18 Sep 2009	36	\$ 591.84	\$ 598.31	\$ (6.47)
2A7018902	FNDN - NICHOLAS - MID	Short Term	AMERIPRISE FINL INC CO	10 Oct 2008	18 Sep 2009	35	\$ 1,143.56	\$ 666.24	\$ 477.32
2A7018902	FNDN - NICHOLAS - MID	Short Term	AMERISOURCE BERGEN	05 Feb 2009	18 Sep 2009	66	\$ 1,428.30	\$ 1,272.47	\$ 155.83
2A7018902	FNDN - NICHOLAS - MID	Short Term	AMERISOURCE BERGEN	10 Feb 2009	18 Sep 2009	36	\$ 779.08	\$ 689.60	\$ 89.48
2A7018902	FNDN - NICHOLAS - MID	Short Term	ANALLY CAP MANAGEMEN	14 May 2009	18 Sep 2009	2	\$ 37.18	\$ 29.12	\$ 8.06
2A7018902	FNDN - NICHOLAS - MID	Short Term	ANALLY CAP MANAGEMEN	18 May 2009	18 Sep 2009	57	\$ 1,059.63	\$ 841.51	\$ 218.12
2A7018902	FNDN - NICHOLAS - MID	Short Term	ARMSTRONG WORLD IND	14 May 2009	18 Sep 2009	12	\$ 415.56	\$ 213.74	\$ 201.82

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018902	FNDN - NICHOLAS - MID	Short Term	ARMSTRONG WORLD IND	20 May 2009	18 Sep 2009	39	\$ 1,350.57	\$ 703.51	\$ 647.06
2A7018902	FNDN - NICHOLAS - MID	Short Term	ARMSTRONG WORLD IND	02 Jun 2009	18 Sep 2009	45	\$ 1,558.35	\$ 974.73	\$ 583.62
2A7018902	FNDN - NICHOLAS - MID	Short Term	BRINKS CO COM (BCO)	12 May 2009	18 Sep 2009	26	\$ 728.52	\$ 732.45	\$ (3.93)
2A7018902	FNDN - NICHOLAS - MID	Short Term	CELANESE CORP DEL CO	11 Mar 2009	18 Sep 2009	44	\$ 1,212.64	\$ 451.99	\$ 760.65
2A7018902	FNDN - NICHOLAS - MID	Short Term	CENTURYTEL INC COM (C	07 Oct 2008	18 Sep 2009	11	\$ 350.90	\$ 391.53	\$ (40.63)
2A7018902	FNDN - NICHOLAS - MID	Short Term	CHARLES RIV LABORATO	09 Jul 2009	18 Sep 2009	22	\$ 809.62	\$ 683.50	\$ 126.12
2A7018902	FNDN - NICHOLAS - MID	Short Term	CHARLES RIV LABORATO	10 Jul 2009	18 Sep 2009	21	\$ 772.82	\$ 649.78	\$ 123.04
2A7018902	FNDN - NICHOLAS - MID	Short Term	COMMScope INC COM (C	20 May 2009	18 Sep 2009	28	\$ 885.92	\$ 706.68	\$ 179.24
2A7018902	FNDN - NICHOLAS - MID	Short Term	COMMScope INC COM (C	21 May 2009	18 Sep 2009	17	\$ 537.88	\$ 380.81	\$ 157.07
2A7018902	FNDN - NICHOLAS - MID	Short Term	CRANE COMPANY (CR)	23 Feb 2009	18 Sep 2009	7	\$ 184.59	\$ 109.06	\$ 75.53
2A7018902	FNDN - NICHOLAS - MID	Short Term	CRANE COMPANY (CR)	26 Feb 2009	18 Sep 2009	35	\$ 922.95	\$ 557.98	\$ 364.97
2A7018902	FNDN - NICHOLAS - MID	Short Term	CRANE COMPANY (CR)	11 Mar 2009	18 Sep 2009	30	\$ 791.10	\$ 428.30	\$ 362.80
2A7018902	FNDN - NICHOLAS - MID	Short Term	CRANE COMPANY (CR)	13 Mar 2009	18 Sep 2009	25	\$ 659.25	\$ 380.12	\$ 279.13
2A7018902	FNDN - NICHOLAS - MID	Short Term	DEAN FOODS CO NEW CO	01 Jun 2009	18 Sep 2009	47	\$ 854.08	\$ 881.25	\$ (27.17)
2A7018902	FNDN - NICHOLAS - MID	Short Term	DEAN FOODS CO NEW CO	04 Jun 2009	18 Sep 2009	41	\$ 745.05	\$ 758.72	\$ (13.67)
2A7018902	FNDN - NICHOLAS - MID	Short Term	DEAN FOODS CO NEW CO	11 Jun 2009	18 Sep 2009	42	\$ 763.23	\$ 776.84	\$ (13.61)
2A7018902	FNDN - NICHOLAS - MID	Short Term	DPL INC (DPL)	12 May 2009	18 Sep 2009	17	\$ 444.04	\$ 382.85	\$ 61.19
2A7018902	FNDN - NICHOLAS - MID	Short Term	DR PEPPER SNAPPLE GR	20 Apr 2009	18 Sep 2009	44	\$ 1,200.80	\$ 849.86	\$ 350.94
2A7018902	FNDN - NICHOLAS - MID	Short Term	DR PEPPER SNAPPLE GR	21 Apr 2009	18 Sep 2009	31	\$ 846.02	\$ 591.60	\$ 254.42
2A7018902	FNDN - NICHOLAS - MID	Short Term	DR PEPPER SNAPPLE GR	01 May 2009	18 Sep 2009	50	\$ 1,364.54	\$ 1,010.65	\$ 353.89
2A7018902	FNDN - NICHOLAS - MID	Short Term	DRESSER RAND GROUP I	01 Jun 2009	18 Sep 2009	31	\$ 999.44	\$ 896.96	\$ 102.48
2A7018902	FNDN - NICHOLAS - MID	Short Term	DRESSER RAND GROUP I	02 Jun 2009	18 Sep 2009	33	\$ 1,063.92	\$ 961.27	\$ 102.65
2A7018902	FNDN - NICHOLAS - MID	Short Term	DRESSER RAND GROUP I	16 Jul 2009	18 Sep 2009	17	\$ 548.08	\$ 456.83	\$ 91.25
2A7018902	FNDN - NICHOLAS - MID	Short Term	DST SYSTEMS INC DEL C	05 Mar 2009	18 Sep 2009	15	\$ 704.25	\$ 402.98	\$ 301.27
2A7018902	FNDN - NICHOLAS - MID	Short Term	DST SYSTEMS INC DEL C	10 Mar 2009	18 Sep 2009	9	\$ 422.55	\$ 241.84	\$ 180.71
2A7018902	FNDN - NICHOLAS - MID	Short Term	DST SYSTEMS INC DEL C	11 Mar 2009	18 Sep 2009	14	\$ 657.30	\$ 383.96	\$ 268.34
2A7018902	FNDN - NICHOLAS - MID	Short Term	DST SYSTEMS INC DEL C	16 Mar 2009	18 Sep 2009	18	\$ 845.10	\$ 556.49	\$ 288.61
2A7018902	FNDN - NICHOLAS - MID	Short Term	DTE ENERGY CO COM (D	23 Jul 2009	18 Sep 2009	22	\$ 783.86	\$ 743.01	\$ 35.85
2A7018902	FNDN - NICHOLAS - MID	Short Term	DUN & BRADSTREET COR	05 Mar 2009	18 Sep 2009	6	\$ 446.22	\$ 425.32	\$ 20.90
2A7018902	FNDN - NICHOLAS - MID	Short Term	DUN & BRADSTREET COR	10 Mar 2009	18 Sep 2009	3	\$ 223.11	\$ 209.95	\$ 13.16
2A7018902	FNDN - NICHOLAS - MID	Short Term	DUN & BRADSTREET COR	12 Mar 2009	18 Sep 2009	6	\$ 446.22	\$ 437.66	\$ 8.56
2A7018902	FNDN - NICHOLAS - MID	Short Term	DUN & BRADSTREET COR	18 Mar 2009	18 Sep 2009	10	\$ 743.70	\$ 737.44	\$ 6.26
2A7018902	FNDN - NICHOLAS - MID	Short Term	DUN & BRADSTREET COR	24 Mar 2009	18 Sep 2009	5	\$ 371.85	\$ 383.15	\$ (11.30)
2A7018902	FNDN - NICHOLAS - MID	Short Term	EMCOR GROUP INC (EME	11 Feb 2009	18 Sep 2009	36	\$ 948.24	\$ 783.84	\$ 164.40
2A7018902	FNDN - NICHOLAS - MID	Short Term	EMCOR GROUP INC (EME	13 Feb 2009	18 Sep 2009	38	\$ 1,000.92	\$ 801.17	\$ 199.75
2A7018902	FNDN - NICHOLAS - MID	Short Term	EMCORE ACQUISITION CO	19 Nov 2008	18 Sep 2009	14	\$ 545.16	\$ 366.33	\$ 178.83
2A7018902	FNDN - NICHOLAS - MID	Short Term	EMCORE ACQUISITION CO	20 Nov 2008	18 Sep 2009	25	\$ 973.50	\$ 529.41	\$ 444.09
2A7018902	FNDN - NICHOLAS - MID	Short Term	EMCORE ACQUISITION CO	01 Dec 2008	18 Sep 2009	20	\$ 778.80	\$ 471.94	\$ 306.86
2A7018902	FNDN - NICHOLAS - MID	Short Term	EMCORE ACQUISITION CO	19 Dec 2008	18 Sep 2009	14	\$ 545.16	\$ 331.62	\$ 213.54
2A7018902	FNDN - NICHOLAS - MID	Short Term	FIFTH THIRD BANCORP (F	13 Aug 2009	18 Sep 2009	92	\$ 942.12	\$ 984.97	\$ (42.85)
2A7018902	FNDN - NICHOLAS - MID	Short Term	FIFTH THIRD BANCORP (F	14 Aug 2009	18 Sep 2009	99	\$ 1,013.80	\$ 1,028.61	\$ (14.81)
2A7018902	FNDN - NICHOLAS - MID	Short Term	FIFTH THIRD BANCORP (F	18 Aug 2009	18 Sep 2009	68	\$ 696.34	\$ 674.91	\$ 21.43
2A7018902	FNDN - NICHOLAS - MID	Short Term	FIRST HORIZON NATL CO	25 Nov 2008	18 Sep 2009	152.85	\$ 2,183.26	\$ 1,427.10	\$ 756.16
2A7018902	FNDN - NICHOLAS - MID	Short Term	FIRST HORIZON NATL CO	02 Apr 2009	18 Sep 2009	35.15	\$ 502.06	\$ 386.91	\$ 115.15
2A7018902	FNDN - NICHOLAS - MID	Short Term	FOOT LOCKER INC COM (	18 May 2009	18 Sep 2009	22	\$ 251.46	\$ 241.21	\$ 10.25
2A7018902	FNDN - NICHOLAS - MID	Short Term	FOOT LOCKER INC COM (	21 May 2009	18 Sep 2009	71	\$ 811.53	\$ 738.88	\$ 72.65

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018902	FNDN - NICHOLAS - MID	Short Term	GAP INC (GPS)	07 Nov 2008	18 Sep 2009	35	\$ 776.35	\$ 443.37	\$ 332.98
2A7018902	FNDN - NICHOLAS - MID	Short Term	GENERAL CABLE CORP (E	19 Aug 2009	18 Sep 2009	29	\$ 1,153.62	\$ 999.28	\$ 154.34
2A7018902	FNDN - NICHOLAS - MID	Short Term	GENERAL CABLE CORP (E	09 Sep 2009	18 Sep 2009	23	\$ 914.94	\$ 907.76	\$ 7.18
2A7018902	FNDN - NICHOLAS - MID	Short Term	GRANITE CONSTR INC CO	30 Apr 2009	18 Sep 2009	21	\$ 724.92	\$ 837.97	\$ (113.05)
2A7018902	FNDN - NICHOLAS - MID	Short Term	GRANITE CONSTR INC CO	01 May 2009	18 Sep 2009	23	\$ 793.96	\$ 894.71	\$ (100.75)
2A7018902	FNDN - NICHOLAS - MID	Short Term	GRANITE CONSTR INC CO	04 May 2009	18 Sep 2009	12	\$ 414.24	\$ 480.95	\$ (66.71)
2A7018902	FNDN - NICHOLAS - MID	Short Term	HERBALIFE LTD USD COM	01 Oct 2008	18 Sep 2009	19	\$ 625.48	\$ 740.41	\$ (114.93)
2A7018902	FNDN - NICHOLAS - MID	Short Term	HERBALIFE LTD USD COM	25 Mar 2009	18 Sep 2009	33	\$ 1,086.36	\$ 500.23	\$ 586.13
2A7018902	FNDN - NICHOLAS - MID	Short Term	HERTZ GLOBAL HLDGS IN	21 Jul 2009	18 Sep 2009	103	\$ 1,163.50	\$ 933.09	\$ 230.41
2A7018902	FNDN - NICHOLAS - MID	Short Term	HERTZ GLOBAL HLDGS IN	22 Jul 2009	18 Sep 2009	59	\$ 666.47	\$ 534.86	\$ 131.61
2A7018902	FNDN - NICHOLAS - MID	Short Term	HERTZ GLOBAL HLDGS IN	30 Jul 2009	18 Sep 2009	73	\$ 824.61	\$ 689.26	\$ 135.35
2A7018902	FNDN - NICHOLAS - MID	Short Term	HEWITT ASSOCS INC CL A	22 Sep 2008	18 Sep 2009	29	\$ 1,044.00	\$ 1,164.50	\$ (120.50)
2A7018902	FNDN - NICHOLAS - MID	Short Term	HEWITT ASSOCS INC CL A	25 Sep 2008	18 Sep 2009	31	\$ 1,116.00	\$ 1,194.99	\$ (78.99)
2A7018902	FNDN - NICHOLAS - MID	Short Term	HEWITT ASSOCS INC CL A	23 Oct 2008	18 Sep 2009	25	\$ 900.00	\$ 632.59	\$ 267.41
2A7018902	FNDN - NICHOLAS - MID	Short Term	INGRAM MICRO INC CL A	04 Jun 2009	18 Sep 2009	42	\$ 725.42	\$ 720.69	\$ 4.73
2A7018902	FNDN - NICHOLAS - MID	Short Term	INGRAM MICRO INC CL A	10 Jun 2009	18 Sep 2009	43	\$ 742.69	\$ 740.40	\$ 2.29
2A7018902	FNDN - NICHOLAS - MID	Short Term	INGRAM MICRO INC CL A	23 Jun 2009	18 Sep 2009	31	\$ 535.43	\$ 502.16	\$ 33.27
2A7018902	FNDN - NICHOLAS - MID	Short Term	INTERNATIONAL PAPER CO	17 Jun 2009	18 Sep 2009	38	\$ 518.50	\$ 519.62	\$ 398.88
2A7018902	FNDN - NICHOLAS - MID	Short Term	INTERNATIONAL PAPER CO	19 Jun 2009	18 Sep 2009	35	\$ 545.98	\$ 496.21	\$ 349.77
2A7018902	FNDN - NICHOLAS - MID	Short Term	INTERNATIONAL PAPER CO	08 Jul 2009	18 Sep 2009	45	\$ 1,087.69	\$ 638.67	\$ 449.02
2A7018902	FNDN - NICHOLAS - MID	Short Term	INTERNATIONAL PAPER CO	09 Jul 2009	18 Sep 2009	36	\$ 570.16	\$ 523.38	\$ 346.78
2A7018902	FNDN - NICHOLAS - MID	Short Term	INTUIT INCORPORATED CO	01 Oct 2008	18 Sep 2009	33	\$ 915.42	\$ 1,012.57	\$ (97.15)
2A7018902	FNDN - NICHOLAS - MID	Short Term	JDS UNIPHASE CORP COI	14 Aug 2009	18 Sep 2009	171	\$ 1,510.48	\$ 1,000.01	\$ 310.47
2A7018902	FNDN - NICHOLAS - MID	Short Term	JDS UNIPHASE CORP COI	18 Aug 2009	18 Sep 2009	175	\$ 1,541.13	\$ 1,008.95	\$ 332.18
2A7018902	FNDN - NICHOLAS - MID	Short Term	JDS UNIPHASE CORP COI	19 Aug 2009	18 Sep 2009	92	\$ 705.05	\$ 536.53	\$ 168.52
2A7018902	FNDN - NICHOLAS - MID	Short Term	JONES APPAREL GROUP	18 Dec 2008	18 Sep 2009	107	\$ 1,983.78	\$ 604.65	\$ 1,379.13
2A7018902	FNDN - NICHOLAS - MID	Short Term	KING PHARMACEUTICALS	12 Dec 2008	18 Sep 2009	81	\$ 860.34	\$ 757.20	\$ 103.14
2A7018902	FNDN - NICHOLAS - MID	Short Term	KING PHARMACEUTICALS	16 Dec 2008	18 Sep 2009	75	\$ 796.61	\$ 728.78	\$ 67.83
2A7018902	FNDN - NICHOLAS - MID	Short Term	KING PHARMACEUTICALS	22 Jan 2009	18 Sep 2009	59	\$ 626.67	\$ 521.34	\$ 105.33
2A7018902	FNDN - NICHOLAS - MID	Short Term	LIBERTY GLOBAL INC COI	29 Jan 2009	18 Sep 2009	52	\$ 1,282.17	\$ 797.53	\$ 484.64
2A7018902	FNDN - NICHOLAS - MID	Short Term	LIBERTY GLOBAL INC COI	30 Jan 2009	18 Sep 2009	41	\$ 1,010.94	\$ 596.42	\$ 414.52
2A7018902	FNDN - NICHOLAS - MID	Short Term	LIBERTY GLOBAL INC COI	02 Feb 2009	18 Sep 2009	35	\$ 863.00	\$ 507.91	\$ 355.09
2A7018902	FNDN - NICHOLAS - MID	Short Term	LIBERTY GLOBAL INC COI	30 Apr 2009	18 Sep 2009	21	\$ 517.81	\$ 348.59	\$ 169.22
2A7018902	FNDN - NICHOLAS - MID	Short Term	LIBERTY PROPERTY TRU	25 Mar 2009	18 Sep 2009	40	\$ 1,388.80	\$ 715.32	\$ 673.48
2A7018902	FNDN - NICHOLAS - MID	Short Term	LIBERTY PROPERTY TRU	26 Mar 2009	18 Sep 2009	38	\$ 1,319.36	\$ 735.40	\$ 583.96
2A7018902	FNDN - NICHOLAS - MID	Short Term	LSI CORP COM (LSI)	13 Apr 2009	18 Sep 2009	228	\$ 1,258.99	\$ 809.33	\$ 449.66
2A7018902	FNDN - NICHOLAS - MID	Short Term	LSI CORP COM (LSI)	20 Apr 2009	18 Sep 2009	141	\$ 778.59	\$ 520.74	\$ 257.85
2A7018902	FNDN - NICHOLAS - MID	Short Term	LSI CORP COM (LSI)	07 May 2009	18 Sep 2009	133	\$ 734.41	\$ 569.77	\$ 164.64
2A7018902	FNDN - NICHOLAS - MID	Short Term	MACYS INC COM (M)	06 Apr 2009	18 Sep 2009	63	\$ 1,120.14	\$ 616.09	\$ 504.05
2A7018902	FNDN - NICHOLAS - MID	Short Term	MACYS INC COM (M)	17 Apr 2009	18 Sep 2009	24	\$ 426.73	\$ 306.71	\$ 120.02
2A7018902	FNDN - NICHOLAS - MID	Short Term	MARVELL TECHNOLOGY	14 Apr 2009	18 Sep 2009	44	\$ 707.52	\$ 455.83	\$ 251.69
2A7018902	FNDN - NICHOLAS - MID	Short Term	MASCO CORP COM (MAS)	11 Sep 2009	18 Sep 2009	73	\$ 1,070.58	\$ 1,064.89	\$ 5.69
2A7018902	FNDN - NICHOLAS - MID	Short Term	MASCO CORP COM (MAS)	14 Sep 2009	18 Sep 2009	73	\$ 1,070.57	\$ 1,037.02	\$ 33.55
2A7018902	FNDN - NICHOLAS - MID	Short Term	MCGRW HILL COMPANIE	23 Mar 2009	18 Sep 2009	4	\$ 108.64	\$ 80.15	\$ 28.49
2A7018902	FNDN - NICHOLAS - MID	Short Term	MCGRW HILL COMPANIE	24 Mar 2009	18 Sep 2009	15	\$ 407.40	\$ 338.75	\$ 68.65
2A7018902	FNDN - NICHOLAS - MID	Short Term	MCGRW HILL COMPANIE	02 Apr 2009	18 Sep 2009	19	\$ 516.04	\$ 469.36	\$ 46.68

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018902	FNDN - NICHOLAS - MID	Short Term	MSC INDL DIRECT INC CL	15 Dec 2008	18 Sep 2009	24	\$ 1,046 64	\$ 779 94	\$ 266 70
2A7018902	FNDN - NICHOLAS - MID	Short Term	MSC INDL DIRECT INC CL	16 Dec 2008	18 Sep 2009	22	\$ 959 42	\$ 723 53	\$ 235 89
2A7018902	FNDN - NICHOLAS - MID	Short Term	MSC INDL DIRECT INC CL	19 Dec 2008	18 Sep 2009	12	\$ 523 32	\$ 427 20	\$ 96 12
2A7018902	FNDN - NICHOLAS - MID	Short Term	MURPHY OIL CORP (MUR)	11 Jun 2009	18 Sep 2009	15	\$ 918 75	\$ 922 15	\$ (3 40)
2A7018902	FNDN - NICHOLAS - MID	Short Term	MURPHY OIL CORP (MUR)	19 Jun 2009	18 Sep 2009	10	\$ 612 50	\$ 553 68	\$ 58 82
2A7018902	FNDN - NICHOLAS - MID	Short Term	MURPHY OIL CORP (MUR)	30 Jun 2009	18 Sep 2009	11	\$ 673 75	\$ 594 88	\$ 78 87
2A7018902	FNDN - NICHOLAS - MID	Short Term	MURPHY OIL CORP (MUR)	15 Jul 2009	18 Sep 2009	9	\$ 551 25	\$ 487 41	\$ 63 84
2A7018902	FNDN - NICHOLAS - MID	Short Term	NATIONAL RETAIL PTYS	08 Oct 2008	18 Sep 2009	38	\$ 845 16	\$ 777 01	\$ 68 15
2A7018902	FNDN - NICHOLAS - MID	Short Term	NATIONAL RETAIL PTYS	10 Oct 2008	18 Sep 2009	42	\$ 934 12	\$ 717 57	\$ 216 55
2A7018902	FNDN - NICHOLAS - MID	Short Term	NAVISTAR INTL CORP NE	15 May 2009	18 Sep 2009	19	\$ 795 53	\$ 688 75	\$ 106 78
2A7018902	FNDN - NICHOLAS - MID	Short Term	NAVISTAR INTL CORP NE	20 May 2009	18 Sep 2009	23	\$ 963 01	\$ 966 65	\$ (3 64)
2A7018902	FNDN - NICHOLAS - MID	Short Term	NAVISTAR INTL CORP NE	05 Jun 2009	18 Sep 2009	14	\$ 586 18	\$ 597 08	\$ (10 90)
2A7018902	FNDN - NICHOLAS - MID	Short Term	NAVISTAR INTL CORP NE	02 Sep 2009	18 Sep 2009	61	\$ 361 03	\$ 778 67	\$ 82 36
2A7018902	FNDN - NICHOLAS - MID	Short Term	NCR CORP COM (NCR)	03 Sep 2009	18 Sep 2009	60	\$ 346 91	\$ 768 16	\$ 78 75
2A7018902	FNDN - NICHOLAS - MID	Short Term	NCR CORP COM (NCR)	08 Sep 2009	18 Sep 2009	41	\$ 578 72	\$ 550 75	\$ 27 97
2A7018902	FNDN - NICHOLAS - MID	Short Term	NOVELLUS SYSTEM INC (	29 Jun 2009	18 Sep 2009	42	\$ 359 39	\$ 700 84	\$ 158 55
2A7018902	FNDN - NICHOLAS - MID	Short Term	NOVELLUS SYSTEM INC (	01 Jul 2009	18 Sep 2009	39	\$ 798 01	\$ 685 56	\$ 112 45
2A7018902	FNDN - NICHOLAS - MID	Short Term	NOVELLUS SYSTEM INC (	08 Jul 2009	18 Sep 2009	37	\$ 757 09	\$ 624 68	\$ 132 41
2A7018902	FNDN - NICHOLAS - MID	Short Term	NRG ENERGY INC COM N	17 Dec 2008	18 Sep 2009	40	\$ 1 140 01	\$ 878 22	\$ 261 79
2A7018902	FNDN - NICHOLAS - MID	Short Term	NRG ENERGY INC COM N	07 Jan 2009	18 Sep 2009	28	\$ 798 01	\$ 665 31	\$ 132 70
2A7018902	FNDN - NICHOLAS - MID	Short Term	NRG ENERGY INC COM N	08 May 2009	18 Sep 2009	23	\$ 355 51	\$ 484 09	\$ 171 42
2A7018902	FNDN - NICHOLAS - MID	Short Term	NRG ENERGY INC COM N	11 May 2009	18 Sep 2009	13	\$ 370 50	\$ 269 61	\$ 100 89
2A7018902	FNDN - NICHOLAS - MID	Short Term	ON SEMICONDUCTOR CO	02 Sep 2009	18 Sep 2009	99	\$ 844 56	\$ 787 55	\$ 57 01
2A7018902	FNDN - NICHOLAS - MID	Short Term	ON SEMICONDUCTOR CO	03 Sep 2009	18 Sep 2009	70	\$ 597 16	\$ 562 80	\$ 34 36
2A7018902	FNDN - NICHOLAS - MID	Short Term	ON SEMICONDUCTOR CO	08 Sep 2009	18 Sep 2009	70	\$ 597 17	\$ 584 98	\$ 12 19
2A7018902	FNDN - NICHOLAS - MID	Short Term	OVERSEAS SHIPHOLDING	11 Feb 2009	18 Sep 2009	18	\$ 744 30	\$ 578 26	\$ 166 04
2A7018902	FNDN - NICHOLAS - MID	Short Term	OVERSEAS SHIPHOLDING	11 Mar 2009	18 Sep 2009	19	\$ 785 65	\$ 419 79	\$ 365 86
2A7018902	FNDN - NICHOLAS - MID	Short Term	OVERSEAS SHIPHOLDING	06 Apr 2009	18 Sep 2009	21	\$ 868 35	\$ 547 38	\$ 320 97
2A7018902	FNDN - NICHOLAS - MID	Short Term	OWENS CORNING NEW C	08 Oct 2008	18 Sep 2009	38	\$ 909 75	\$ 778 42	\$ 131 33
2A7018902	FNDN - NICHOLAS - MID	Short Term	OWENS CORNING NEW C	15 Oct 2008	18 Sep 2009	43	\$ 1 029 46	\$ 795 13	\$ 234 33
2A7018902	FNDN - NICHOLAS - MID	Short Term	OWENS CORNING NEW C	03 Nov 2008	18 Sep 2009	28	\$ 670 35	\$ 457 75	\$ 212 60
2A7018902	FNDN - NICHOLAS - MID	Short Term	PRINCIPAL FINL GROUP I	14 Sep 2009	18 Sep 2009	38	\$ 1 106 94	\$ 1 041 15	\$ 65 79
2A7018902	FNDN - NICHOLAS - MID	Short Term	PROLOGIS SHS BEN INT	30 Jun 2009	18 Sep 2009	86	\$ 1 085 48	\$ 710 29	\$ 375 19
2A7018902	FNDN - NICHOLAS - MID	Short Term	PROLOGIS SHS BEN INT	01 Jul 2009	18 Sep 2009	84	\$ 1 060 23	\$ 692 88	\$ 367 35
2A7018902	FNDN - NICHOLAS - MID	Short Term	PROLOGIS SHS BEN INT	07 Jul 2009	18 Sep 2009	83	\$ 1 047 61	\$ 615 21	\$ 432 40
2A7018902	FNDN - NICHOLAS - MID	Short Term	REINSURANCE GROUP AM	08 May 2009	18 Sep 2009	22	\$ 991 54	\$ 738 93	\$ 252 61
2A7018902	FNDN - NICHOLAS - MID	Short Term	SEMPRA ENERGY COM (S	12 May 2009	18 Sep 2009	16	\$ 817 77	\$ 734 20	\$ 83 57
2A7018902	FNDN - NICHOLAS - MID	Short Term	STERIS CORP (STE)	22 Oct 2008	18 Sep 2009	13	\$ 381 03	\$ 405 66	\$ (24 63)
2A7018902	FNDN - NICHOLAS - MID	Short Term	STERIS CORP (STE)	03 Nov 2008	18 Sep 2009	16	\$ 468 96	\$ 559 49	\$ (90 53)
2A7018902	FNDN - NICHOLAS - MID	Short Term	STRAYER ED INC COM (S	16 Jan 2009	18 Sep 2009	3	\$ 615 84	\$ 653 92	\$ (38 08)
2A7018902	FNDN - NICHOLAS - MID	Short Term	STRAYER ED INC COM (S	21 Jan 2009	18 Sep 2009	2	\$ 410 56	\$ 441 06	\$ (30 50)
2A7018902	FNDN - NICHOLAS - MID	Short Term	STRAYER ED INC COM (S	26 Jan 2009	18 Sep 2009	2	\$ 410 56	\$ 433 40	\$ (22 84)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SYMANTEC CORP (SYMC)	16 Mar 2009	18 Sep 2009	23	\$ 368 50	\$ 310 15	\$ 58 35
2A7018902	FNDN - NICHOLAS - MID	Short Term	TERRA INDUSTRIES INC (	12 Dec 2008	18 Sep 2009	50	\$ 1 850 00	\$ 766 28	\$ 1 083 72
2A7018902	FNDN - NICHOLAS - MID	Short Term	TERRA INDUSTRIES INC (	18 Dec 2008	18 Sep 2009	24	\$ 888 00	\$ 400 61	\$ 487 39
2A7018902	FNDN - NICHOLAS - MID	Short Term	VERISIGN INC COM (VRSN	21 Nov 2008	18 Sep 2009	38	\$ 892 69	\$ 634 51	\$ 258 18

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018902	FNDN - NICHOLAS - MID	Short Term	VERISIGN INC COM (VRSN)	24 Nov 2008	18 Sep 2009	29	\$ 631.26	\$ 540.08	\$ 141.18
2A7018902	FNDN - NICHOLAS - MID	Short Term	VERISIGN INC COM (VRSN)	01 Dec 2008	18 Sep 2009	38	\$ 832.69	\$ 735.98	\$ 156.71
2A7018902	FNDN - NICHOLAS - MID	Short Term	VERISIGN INC COM (VRSN)	07 Jul 2009	18 Sep 2009	28	\$ 657.77	\$ 506.48	\$ 151.29
2A7018902	FNDN - NICHOLAS - MID	Short Term	VISHAY INTERTECHNOLO	15 Oct 2008	18 Sep 2009	118	\$ 979.48	\$ 556.63	\$ 422.85
2A7018902	FNDN - NICHOLAS - MID	Short Term	VISHAY INTERTECHNOLO	22 Dec 2008	18 Sep 2009	130	\$ 1,079.10	\$ 457.94	\$ 621.16
2A7018902	FNDN - NICHOLAS - MID	Short Term	WADDELL & REED FINL IN	04 Jun 2009	18 Sep 2009	28	\$ 778.68	\$ 738.17	\$ 40.51
2A7018902	FNDN - NICHOLAS - MID	Short Term	WADDELL & REED FINL IN	05 Jun 2009	18 Sep 2009	32	\$ 889.92	\$ 864.39	\$ 25.53
2A7018902	FNDN - NICHOLAS - MID	Short Term	WADDELL & REED FINL IN	10 Jun 2009	18 Sep 2009	28	\$ 778.68	\$ 764.18	\$ 14.50
2A7018902	FNDN - NICHOLAS - MID	Short Term	WATERS CORP COM (WA)	23 Jun 2009	18 Sep 2009	18	\$ 1,004.06	\$ 874.52	\$ 129.54
2A7018902	FNDN - NICHOLAS - MID	Short Term	WATERS CORP COM (WA)	24 Jun 2009	18 Sep 2009	16	\$ 892.50	\$ 802.59	\$ 89.91
2A7018902	FNDN - NICHOLAS - MID	Short Term	WATERS CORP COM (WA)	08 Jul 2009	18 Sep 2009	14	\$ 780.93	\$ 679.23	\$ 101.70
2A7018902	FNDN - NICHOLAS - MID	Short Term	WATERS CORP COM (WA)	18 Aug 2009	18 Sep 2009	11	\$ 613.59	\$ 550.45	\$ 63.14
2A7018902	FNDN - NICHOLAS - MID	Short Term	WERNER ENTERPRISES I	07 Nov 2008	18 Sep 2009	45	\$ 856.72	\$ 808.36	\$ 48.36
2A7018902	FNDN - NICHOLAS - MID	Short Term	WERNER ENTERPRISES I	10 Nov 2008	18 Sep 2009	49	\$ 932.87	\$ 885.75	\$ 47.12
2A7018902	FNDN - NICHOLAS - MID	Short Term	WERNER ENTERPRISES I	22 Jan 2009	18 Sep 2009	49	\$ 932.86	\$ 751.02	\$ 181.84
2A7018902	FNDN - NICHOLAS - MID	Short Term	ANNALY CAP MANAGEME	14 May 2009	14 Sep 2009	56	\$ 994.14	\$ 815.26	\$ 178.88
2A7018902	FNDN - NICHOLAS - MID	Short Term	PUBLIC STORAGE COMM	12 Nov 2008	11 Sep 2009	19	\$ 1,341.29	\$ 1,259.90	\$ 81.39
2A7018902	FNDN - NICHOLAS - MID	Short Term	MCGRW HILL COMPANIE	20 Mar 2009	09 Sep 2009	4	\$ 109.72	\$ 76.15	\$ 33.57
2A7018902	FNDN - NICHOLAS - MID	Short Term	MCGRW HILL COMPANIE	23 Mar 2009	09 Sep 2009	31	\$ 850.30	\$ 621.19	\$ 229.11
2A7018902	FNDN - NICHOLAS - MID	Short Term	FIDELITY NATL FINL INC C	02 Feb 2009	08 Sep 2009	30	\$ 447.73	\$ 476.76	\$ (29.03)
2A7018902	FNDN - NICHOLAS - MID	Short Term	FIDELITY NATL FINL INC C	05 Feb 2009	08 Sep 2009	26	\$ 388.03	\$ 462.46	\$ (74.43)
2A7018902	FNDN - NICHOLAS - MID	Short Term	FIDELITY NATL FINL INC C	11 May 2009	08 Sep 2009	10	\$ 149.24	\$ 154.99	\$ (5.75)
2A7018902	FNDN - NICHOLAS - MID	Short Term	FOOT LOCKER INC COM (	14 May 2009	08 Sep 2009	50	\$ 533.30	\$ 540.34	\$ (7.04)
2A7018902	FNDN - NICHOLAS - MID	Short Term	FOOT LOCKER INC COM (	18 May 2009	08 Sep 2009	36	\$ 383.97	\$ 394.70	\$ (10.73)
2A7018902	FNDN - NICHOLAS - MID	Short Term	OWENS ILLINOIS INC (OI)	30 Oct 2008	03 Sep 2009	14	\$ 470.42	\$ 325.91	\$ 144.51
2A7018902	FNDN - NICHOLAS - MID	Short Term	OWENS ILLINOIS INC (OI)	11 May 2009	03 Sep 2009	16	\$ 537.63	\$ 418.39	\$ 119.24
2A7018902	FNDN - NICHOLAS - MID	Short Term	FIDELITY NATL FINL INC C	30 Jan 2009	02 Sep 2009	43	\$ 626.13	\$ 629.44	\$ (3.31)
2A7018902	FNDN - NICHOLAS - MID	Short Term	FIDELITY NATL FINL INC C	02 Feb 2009	02 Sep 2009	7	\$ 101.93	\$ 111.24	\$ (9.31)
2A7018902	FNDN - NICHOLAS - MID	Short Term	OWENS ILLINOIS INC (OI)	30 Oct 2008	02 Sep 2009	17	\$ 561.80	\$ 395.74	\$ 166.06
2A7018902	FNDN - NICHOLAS - MID	Short Term	CINNINNATI FINL CORP (C	12 Feb 2009	21 Aug 2009	24	\$ 596.67	\$ 555.02	\$ 41.65
2A7018902	FNDN - NICHOLAS - MID	Short Term	PACTIV CORP COM (PTV)	24 Mar 2009	21 Aug 2009	1	\$ 25.91	\$ 12.97	\$ 12.94
2A7018902	FNDN - NICHOLAS - MID	Short Term	PACTIV CORP COM (PTV)	26 May 2009	21 Aug 2009	22	\$ 570.13	\$ 481.12	\$ 89.01
2A7018902	FNDN - NICHOLAS - MID	Short Term	PACTIV CORP COM (PTV)	24 Mar 2009	20 Aug 2009	23	\$ 589.60	\$ 298.31	\$ 291.29
2A7018902	FNDN - NICHOLAS - MID	Short Term	PACTIV CORP COM (PTV)	12 Mar 2009	19 Aug 2009	7	\$ 180.08	\$ 81.29	\$ 98.79
2A7018902	FNDN - NICHOLAS - MID	Short Term	PACTIV CORP COM (PTV)	24 Mar 2009	19 Aug 2009	25	\$ 643.12	\$ 324.25	\$ 318.87
2A7018902	FNDN - NICHOLAS - MID	Short Term	ARMSTRONG WORLD IND	14 May 2009	18 Aug 2009	18	\$ 488.19	\$ 320.61	\$ 167.58
2A7018902	FNDN - NICHOLAS - MID	Short Term	CINNINNATI FINL CORP (C	04 Feb 2009	14 Aug 2009	25	\$ 619.15	\$ 549.91	\$ 69.24
2A7018902	FNDN - NICHOLAS - MID	Short Term	CINNINNATI FINL CORP (C	12 Feb 2009	14 Aug 2009	7	\$ 173.36	\$ 161.88	\$ 11.48
2A7018902	FNDN - NICHOLAS - MID	Short Term	PACTIV CORP COM (PTV)	12 Mar 2009	14 Aug 2009	16	\$ 410.80	\$ 185.80	\$ 225.00
2A7018902	FNDN - NICHOLAS - MID	Short Term	CINNINNATI FINL CORP (C	04 Feb 2009	13 Aug 2009	32	\$ 799.54	\$ 703.88	\$ 95.66
2A7018902	FNDN - NICHOLAS - MID	Short Term	PHARMACEUTICAL PROD	10 Oct 2008	11 Aug 2009	17	\$ 345.64	\$ 487.30	\$ (141.66)
2A7018902	FNDN - NICHOLAS - MID	Short Term	PHARMACEUTICAL PROD	23 Oct 2008	11 Aug 2009	22	\$ 447.31	\$ 557.17	\$ (109.86)
2A7018902	FNDN - NICHOLAS - MID	Short Term	JONES APPAREL GROUP	03 Sep 2008	10 Aug 2009	37	\$ 552.11	\$ 768.56	\$ (216.45)
2A7018902	FNDN - NICHOLAS - MID	Short Term	JONES APPAREL GROUP	04 Sep 2008	10 Aug 2009	6	\$ 89.53	\$ 122.35	\$ (32.82)
2A7018902	FNDN - NICHOLAS - MID	Short Term	NRG ENERGY INC COM N	17 Dec 2008	10 Aug 2009	33	\$ 941.17	\$ 724.53	\$ 216.64
2A7018902	FNDN - NICHOLAS - MID	Short Term	PHARMACEUTICAL PROD	03 Oct 2008	10 Aug 2009	12	\$ 246.07	\$ 475.46	\$ (229.39)

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018902	FNDN - NICHOLAS - MID	Short Term	PHARMACEUTICAL PROD	07 Oct 2008	10 Aug 2009	25	\$ 512 66	\$ 920 29	\$ (407 63)
2A7018902	FNDN - NICHOLAS - MID	Short Term	COMPASS MINERALS INT	05 Nov 2008	30 Jul 2009	1	\$ 50 86	\$ 56 16	\$ (5 30)
2A7018902	FNDN - NICHOLAS - MID	Short Term	COMPASS MINERALS INT	10 Nov 2008	30 Jul 2009	10	\$ 508 56	\$ 576 00	\$ (67 44)
2A7018902	FNDN - NICHOLAS - MID	Short Term	COMPASS MINERALS INT	05 Nov 2008	22 Jul 2009	12	\$ 589 71	\$ 673 93	\$ (84 22)
2A7018902	FNDN - NICHOLAS - MID	Short Term	DONNELLY R R & SONS	05 Sep 2008	21 Jul 2009	15	\$ 188 03	\$ 413 26	\$ (225 23)
2A7018902	FNDN - NICHOLAS - MID	Short Term	DONNELLY R R & SONS	07 Nov 2008	21 Jul 2009	43	\$ 539 00	\$ 649 41	\$ (110 41)
2A7018902	FNDN - NICHOLAS - MID	Short Term	PACTIV CORP COM (PTV)	22 Dec 2008	20 Jul 2009	18	\$ 409 46	\$ 426 11	\$ (16 65)
2A7018902	FNDN - NICHOLAS - MID	Short Term	PACTIV CORP COM (PTV)	12 Mar 2009	20 Jul 2009	11	\$ 250 23	\$ 127 74	\$ 122 49
2A7018902	FNDN - NICHOLAS - MID	Short Term	100.000THS FIRST HORIZ	02 Jan 2009	16 Jul 2009	66365	\$ 8 16	\$ -	\$ 8 16
2A7018902	FNDN - NICHOLAS - MID	Short Term	100.000THS FIRST HORIZ	01 Apr 2009	16 Jul 2009	1770	\$ 0 22	\$ -	\$ 0 22
2A7018902	FNDN - NICHOLAS - MID	Short Term	100.000THS FIRST HORIZ	01 Apr 2009	16 Jul 2009	92093	\$ 11 31	\$ -	\$ 11 31
2A7018902	FNDN - NICHOLAS - MID	Short Term	DONNELLY R R & SONS	04 Sep 2008	16 Jul 2009	21	\$ 258 23	\$ 589 45	\$ (331 22)
2A7018902	FNDN - NICHOLAS - MID	Short Term	DONNELLY R R & SONS	05 Sep 2008	16 Jul 2009	20	\$ 245 93	\$ 551 02	\$ (305 09)
2A7018902	FNDN - NICHOLAS - MID	Short Term	MCGRAW HILL COMPANIE	20 Mar 2009	16 Jul 2009	22	\$ 704 30	\$ 418 85	\$ 285 45
2A7018902	FNDN - NICHOLAS - MID	Short Term	DONNELLY R R & SONS	03 Sep 2008	15 Jul 2009	35	\$ 424 23	\$ 997 24	\$ (573 01)
2A7018902	FNDN - NICHOLAS - MID	Short Term	DONNELLY R R & SONS	04 Sep 2008	15 Jul 2009	15	\$ 181 81	\$ 421 03	\$ (239 22)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SILICON LABORATORIES	24 Oct 2008	09 Jul 2009	11	\$ 394 31	\$ 248 29	\$ 146 02
2A7018902	FNDN - NICHOLAS - MID	Short Term	TIDEWATER INC (TDW)	17 Dec 2008	09 Jul 2009	5	\$ 211 79	\$ 205 53	\$ 6 26
2A7018902	FNDN - NICHOLAS - MID	Short Term	TIDEWATER INC (TDW)	23 Apr 2009	09 Jul 2009	11	\$ 465 95	\$ 470 13	\$ (4 18)
2A7018902	FNDN - NICHOLAS - MID	Short Term	AEROPOSTALE COM (ARC)	14 Jan 2009	08 Jul 2009	19	\$ 632 49	\$ 359 02	\$ 273 47
2A7018902	FNDN - NICHOLAS - MID	Short Term	TIDEWATER INC (TDW)	12 Dec 2008	08 Jul 2009	6	\$ 246 85	\$ 223 84	\$ 23 01
2A7018902	FNDN - NICHOLAS - MID	Short Term	TIDEWATER INC (TDW)	17 Dec 2008	08 Jul 2009	14	\$ 575 97	\$ 575 47	\$ 0 50
2A7018902	FNDN - NICHOLAS - MID	Short Term	SOHU COM INC COMMON	12 Aug 2008	07 Jul 2009	8	\$ 468 14	\$ 604 32	\$ (136 18)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SOHU COM INC COMMON	20 Oct 2008	07 Jul 2009	6	\$ 351 11	\$ 341 56	\$ 9 55
2A7018902	FNDN - NICHOLAS - MID	Short Term	ALLIED WORLD ASSURAN	24 Jul 2008	01 Jul 2009	12	\$ 493 60	\$ 466 24	\$ 27 36
2A7018902	FNDN - NICHOLAS - MID	Short Term	SOHU COM INC COMMON	11 Aug 2008	01 Jul 2009	5	\$ 314 32	\$ 362 49	\$ (48 17)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SOHU COM INC COMMON	12 Aug 2008	01 Jul 2009	8	\$ 502 90	\$ 604 32	\$ (101 42)
2A7018902	FNDN - NICHOLAS - MID	Short Term	GRAFTECH INTL LTD COM	24 Feb 2009	24 Jun 2009	33	\$ 352 14	\$ 219 75	\$ 132 39
2A7018902	FNDN - NICHOLAS - MID	Short Term	LIZ CLAIBORNE INCORPO	07 May 2009	24 Jun 2009	181	\$ 529 01	\$ 1,068 14	\$ (539 13)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SILICON LABORATORIES	24 Oct 2008	24 Jun 2009	12	\$ 456 09	\$ 270 86	\$ 185 23
2A7018902	FNDN - NICHOLAS - MID	Short Term	GRAFTECH INTL LTD COM	24 Feb 2009	23 Jun 2009	54	\$ 563 52	\$ 359 59	\$ 203 93
2A7018902	FNDN - NICHOLAS - MID	Short Term	TIDEWATER INC (TDW)	12 Dec 2008	23 Jun 2009	15	\$ 642 89	\$ 559 59	\$ 83 30
2A7018902	FNDN - NICHOLAS - MID	Short Term	STRAYER ED INC COM (S	14 Jan 2009	19 Jun 2009	2	\$ 415 32	\$ 406 23	\$ 9 09
2A7018902	FNDN - NICHOLAS - MID	Short Term	ENCORE ACQUISITION CO	19 Nov 2008	17 Jun 2009	14	\$ 468 76	\$ 365 34	\$ 102 42
2A7018902	FNDN - NICHOLAS - MID	Short Term	CELANESE CORP DEL CO	27 Aug 2008	11 Jun 2009	12	\$ 288 28	\$ 443 06	\$ (154 78)
2A7018902	FNDN - NICHOLAS - MID	Short Term	HERBALIFE LTD USD COM	09 Sep 2008	11 Jun 2009	11	\$ 335 61	\$ 497 03	\$ (161 42)
2A7018902	FNDN - NICHOLAS - MID	Short Term	MEADWESTVACO CORP C	19 Dec 2008	11 Jun 2009	44	\$ 766 01	\$ 497 65	\$ 268 36
2A7018902	FNDN - NICHOLAS - MID	Short Term	MEADWESTVACO CORP C	17 Dec 2008	10 Jun 2009	33	\$ 550 43	\$ 405 20	\$ 145 23
2A7018902	FNDN - NICHOLAS - MID	Short Term	MEADWESTVACO CORP C	19 Dec 2008	10 Jun 2009	1	\$ 16 68	\$ 11 31	\$ 5 37
2A7018902	FNDN - NICHOLAS - MID	Short Term	SOHU COM INC COMMON	11 Aug 2008	10 Jun 2009	6	\$ 409 58	\$ 434 98	\$ (25 40)
2A7018902	FNDN - NICHOLAS - MID	Short Term	MEADWESTVACO CORP C	17 Dec 2008	04 Jun 2009	37	\$ 631 22	\$ 454 32	\$ 176 90
2A7018902	FNDN - NICHOLAS - MID	Short Term	SOHU.COM INC COMMON	11 Aug 2008	04 Jun 2009	7	\$ 464 08	\$ 507 48	\$ (43 40)
2A7018902	FNDN - NICHOLAS - MID	Short Term	ISIS PHARMACEUTICALS	30 Oct 2008	02 Jun 2009	11	\$ 163 68	\$ 148 13	\$ 15 55
2A7018902	FNDN - NICHOLAS - MID	Short Term	ISIS PHARMACEUTICALS	31 Oct 2008	02 Jun 2009	50	\$ 743 99	\$ 679 38	\$ 64 61
2A7018902	FNDN - NICHOLAS - MID	Short Term	STERIS CORP (STE)	16 Oct 2008	02 Jun 2009	22	\$ 562 13	\$ 703 24	\$ (141 11)
2A7018902	FNDN - NICHOLAS - MID	Short Term	STERIS CORP (STE)	22 Oct 2008	02 Jun 2009	10	\$ 255 51	\$ 312 04	\$ (56 53)

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018902	FNDN - NICHOLAS - MID	Short Term	ISIS PHARMACEUTICALS	29 Oct 2008	01 Jun 2009	62	\$ 877 71	\$ 813 55	\$ 64 16
2A7018902	FNDN - NICHOLAS - MID	Short Term	ISIS PHARMACEUTICALS	30 Oct 2008	01 Jun 2009	4	\$ 56 63	\$ 53 86	\$ 2 77
2A7018902	FNDN - NICHOLAS - MID	Short Term	BARD C R INC (BCR)	22 Jan 2009	21 May 2009	5	\$ 351 01	\$ 438 08	\$ (87 07)
2A7018902	FNDN - NICHOLAS - MID	Short Term	BARD C R INC (BCR)	23 Jan 2009	21 May 2009	7	\$ 491 41	\$ 605 74	\$ (114 33)
2A7018902	FNDN - NICHOLAS - MID	Short Term	ANALOG DEVICES INC (A	20 Oct 2008	20 May 2009	38	\$ 508 63	\$ 763 12	\$ 145 51
2A7018902	FNDN - NICHOLAS - MID	Short Term	BARD C R INC (BCR)	14 Jan 2009	20 May 2009	8	\$ 576 87	\$ 666 56	\$ (89 69)
2A7018902	FNDN - NICHOLAS - MID	Short Term	BARD C R INC (BCR)	22 Jan 2009	20 May 2009	5	\$ 360 55	\$ 438 09	\$ (77 54)
2A7018902	FNDN - NICHOLAS - MID	Short Term	CORPORATE EXECUTIVE	18 Dec 2008	20 May 2009	15	\$ 257 44	\$ 318 44	\$ (61 00)
2A7018902	FNDN - NICHOLAS - MID	Short Term	LIFE TECHNOLOGIES CO	13 Jun 2008	15 May 2009	18	\$ 652 37	\$ 698 22	\$ (45 85)
2A7018902	FNDN - NICHOLAS - MID	Short Term	CRANE COMPANY (CR)	23 Feb 2009	14 May 2009	21	\$ 471 29	\$ 327 17	\$ 144 12
2A7018902	FNDN - NICHOLAS - MID	Short Term	MCGRAW HILL COMPANIE	20 Mar 2009	14 May 2009	12	\$ 366 34	\$ 228 46	\$ 137 88
2A7018902	FNDN - NICHOLAS - MID	Short Term	GRAFTECH INTL LTD COM	23 Feb 2009	12 May 2009	57	\$ 550 72	\$ 426 68	\$ 124 04
2A7018902	FNDN - NICHOLAS - MID	Short Term	GRAFTECH INTL LTD COM	24 Feb 2009	12 May 2009	31	\$ 299 52	\$ 206 43	\$ 93 09
2A7018902	FNDN - NICHOLAS - MID	Short Term	VISHAY INTERTECHNOLO	25 Jul 2008	08 May 2009	144	\$ 849 60	\$ 1 223 77	\$ (374 17)
2A7018902	FNDN - NICHOLAS - MID	Short Term	VISHAY INTERTECHNOLO	30 Jul 2008	08 May 2009	35	\$ 206 50	\$ 311 94	\$ (105 44)
2A7018902	FNDN - NICHOLAS - MID	Short Term	OVERSEAS SHIPHOLDING	08 Jan 2009	06 May 2009	22	\$ 824 10	\$ 983 44	\$ (159 34)
2A7018902	FNDN - NICHOLAS - MID	Short Term	PACTIV CORP COM (PTV)	18 Nov 2008	23 Apr 2009	31	\$ 648 17	\$ 740 24	\$ (92 07)
2A7018902	FNDN - NICHOLAS - MID	Short Term	PACTIV CORP COM (PTV)	20 Nov 2008	23 Apr 2009	16	\$ 334 54	\$ 372 66	\$ (38 12)
2A7018902	FNDN - NICHOLAS - MID	Short Term	PACTIV CORP COM (PTV)	22 Dec 2008	23 Apr 2009	17	\$ 355 44	\$ 402 43	\$ (46 99)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SAIC INC COM (SAI)	07 Oct 2008	23 Apr 2009	3	\$ 52 49	\$ 56 38	\$ (3 89)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SAIC INC COM (SAI)	22 Oct 2008	23 Apr 2009	32	\$ 559 94	\$ 575 15	\$ (15 21)
2A7018902	FNDN - NICHOLAS - MID	Short Term	MEADWESTVACO CORP C	17 Dec 2008	21 Apr 2009	65	\$ 389 25	\$ 798 12	\$ 91 13
2A7018902	FNDN - NICHOLAS - MID	Short Term	ONEOK INC NEW COM (O	10 Jun 2008	14 Apr 2009	10	\$ 243 38	\$ 500 43	\$ (257 05)
2A7018902	FNDN - NICHOLAS - MID	Short Term	ONEOK INC NEW COM (O	13 Jun 2008	14 Apr 2009	16	\$ 389 40	\$ 783 99	\$ (394 59)
2A7018902	FNDN - NICHOLAS - MID	Short Term	ONEOK INC NEW COM (O	09 Jun 2008	09 Apr 2009	10	\$ 239 33	\$ 503 80	\$ (264 47)
2A7018902	FNDN - NICHOLAS - MID	Short Term	ONEOK INC NEW COM (O	10 Jun 2008	09 Apr 2009	16	\$ 382 94	\$ 800 69	\$ (417 75)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SAIC INC COM (SAI)	07 Oct 2008	09 Apr 2009	34	\$ 503 19	\$ 639 00	\$ (35 81)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SAIC INC COM (SAI)	03 Oct 2008	06 Apr 2009	13	\$ 241 21	\$ 261 23	\$ (20 02)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SAIC INC COM (SAI)	07 Oct 2008	06 Apr 2009	15	\$ 278 32	\$ 281 91	\$ (3 59)
2A7018902	FNDN - NICHOLAS - MID	Short Term	CIMAREX ENERGY CO CO	11 Jul 2008	02 Apr 2009	10	\$ 203 23	\$ 623 35	\$ (420 12)
2A7018902	FNDN - NICHOLAS - MID	Short Term	CIMAREX ENERGY CO CO	07 Jan 2009	02 Apr 2009	26	\$ 528 40	\$ 750 35	\$ (221 95)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SAIC INC COM (SAI)	03 Oct 2008	02 Apr 2009	29	\$ 547 83	\$ 582 73	\$ (34 90)
2A7018902	FNDN - NICHOLAS - MID	Short Term	TCF FINANCIAL CORP FO	04 Dec 2008	26 Mar 2009	35	\$ 457 82	\$ 500 52	\$ (42 70)
2A7018902	FNDN - NICHOLAS - MID	Short Term	WILLIAMS COS INC COM (	26 Mar 2008	26 Mar 2009	16	\$ 192 62	\$ 523 81	\$ (331 19)
2A7018902	FNDN - NICHOLAS - MID	Short Term	DISCOVER FINL SVCS CO	04 Sep 2008	23 Mar 2009	42	\$ 255 15	\$ 685 60	\$ (430 45)
2A7018902	FNDN - NICHOLAS - MID	Short Term	DISCOVER FINL SVCS CO	22 Sep 2008	23 Mar 2009	29	\$ 176 18	\$ 454 89	\$ (278 71)
2A7018902	FNDN - NICHOLAS - MID	Short Term	TCF FINANCIAL CORP FO	03 Dec 2008	23 Mar 2009	10	\$ 123 04	\$ 140 98	\$ (17 94)
2A7018902	FNDN - NICHOLAS - MID	Short Term	TCF FINANCIAL CORP FO	04 Dec 2008	23 Mar 2009	28	\$ 344 53	\$ 400 41	\$ (55 88)
2A7018902	FNDN - NICHOLAS - MID	Short Term	DISCOVER FINL SVCS CO	03 Sep 2008	20 Mar 2009	75	\$ 451 19	\$ 1 260 98	\$ (809 79)
2A7018902	FNDN - NICHOLAS - MID	Short Term	DISCOVER FINL SVCS CO	04 Sep 2008	20 Mar 2009	33	\$ 198 52	\$ 538 68	\$ (340 16)
2A7018902	FNDN - NICHOLAS - MID	Short Term	TCF FINANCIAL CORP FO	03 Dec 2008	20 Mar 2009	54	\$ 634 13	\$ 761 30	\$ (127 17)
2A7018902	FNDN - NICHOLAS - MID	Short Term	DOLLAR TREE INC COM (D	12 Nov 2008	16 Mar 2009	13	\$ 526 08	\$ 493 05	\$ 33 03
2A7018902	FNDN - NICHOLAS - MID	Short Term	DOLLAR TREE INC COM (D	11 Nov 2008	13 Mar 2009	6	\$ 246 30	\$ 228 30	\$ 18 00
2A7018902	FNDN - NICHOLAS - MID	Short Term	DOLLAR TREE INC COM (D	12 Nov 2008	13 Mar 2009	7	\$ 287 36	\$ 265 49	\$ 21 87
2A7018902	FNDN - NICHOLAS - MID	Short Term	ST JUDE MED INC COM (S	09 Apr 2008	12 Mar 2009	15	\$ 520 02	\$ 667 50	\$ (147 48)
2A7018902	FNDN - NICHOLAS - MID	Short Term	HEINZ H J COMPANY (HNZ	17 Mar 2008	11 Mar 2009	10	\$ 315 59	\$ 445 95	\$ (130 36)

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018902	FNDN - NICHOLAS - MID	Short Term	HEINZ H J COMPANY (HNZ)	15 Apr 2008	11 Mar 2009	14	\$ 441 82	\$ 663 34	\$ (221 52)
2A7018902	FNDN - NICHOLAS - MID	Short Term	HEINZ H J COMPANY (HNZ)	17 Mar 2008	10 Mar 2009	10	\$ 315 62	\$ 445 95	\$ (130 33)
2A7018902	FNDN - NICHOLAS - MID	Short Term	DOLLAR TREE INC COM (D)	11 Nov 2008	05 Mar 2009	16	\$ 648 53	\$ 608 81	\$ 39 72
2A7018902	FNDN - NICHOLAS - MID	Short Term	OMNICARE INC COM (OCF)	13 Jun 2008	26 Feb 2009	12	\$ 305 41	\$ 317 09	\$ (11 68)
2A7018902	FNDN - NICHOLAS - MID	Short Term	HELMERICH & PAYNE INC	21 Aug 2008	18 Feb 2009	7	\$ 153 77	\$ 400 25	\$ (246 48)
2A7018902	FNDN - NICHOLAS - MID	Short Term	HELMERICH & PAYNE INC	25 Aug 2008	18 Feb 2009	14	\$ 307 53	\$ 779 79	\$ (472 26)
2A7018902	FNDN - NICHOLAS - MID	Short Term	HELMERICH & PAYNE INC	20 Nov 2008	11 Feb 2009	23	\$ 635 48	\$ 679 33	\$ (43 85)
2A7018902	FNDN - NICHOLAS - MID	Short Term	ALLIANT ENERGY CORP (A)	21 Aug 2008	11 Feb 2009	29	\$ 649 25	\$ 1,658.18	\$ (1,008 93)
2A7018902	FNDN - NICHOLAS - MID	Short Term	HELMERICH & PAYNE INC	20 Nov 2008	10 Feb 2009	24	\$ 681 54	\$ 708 87	\$ (27 33)
2A7018902	FNDN - NICHOLAS - MID	Short Term	ALLIANT ENERGY CORP (A)	12 Sep 2008	06 Feb 2009	2	\$ 73 16	\$ 97 25	\$ (24 09)
2A7018902	FNDN - NICHOLAS - MID	Short Term	HEALTH CARE REIT INC (H)	16 Sep 2008	06 Feb 2009	25	\$ 914 46	\$ 1,184 93	\$ (270 47)
2A7018902	FNDN - NICHOLAS - MID	Short Term	HEALTH CARE REIT INC (H)	08 Sep 2008	06 Feb 2009	16	\$ 208 76	\$ 310 18	\$ (101 42)
2A7018902	FNDN - NICHOLAS - MID	Short Term	MATTEL INC (MAT)	08 Oct 2008	06 Feb 2009	36	\$ 469 72	\$ 596 58	\$ (126 86)
2A7018902	FNDN - NICHOLAS - MID	Short Term	HEALTH CARE REIT INC (H)	12 Sep 2008	05 Feb 2009	23	\$ 829 50	\$ 1,118 41	\$ (288 91)
2A7018902	FNDN - NICHOLAS - MID	Short Term	MATTEL INC (MAT)	05 Sep 2008	05 Feb 2009	22	\$ 277 28	\$ 416 00	\$ (138 72)
2A7018902	FNDN - NICHOLAS - MID	Short Term	MATTEL INC (MAT)	08 Sep 2008	05 Feb 2009	46	\$ 579 78	\$ 891 77	\$ (311 99)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SEAGATE TECHNOLOGY	26 Mar 2008	04 Feb 2009	26	\$ 112 08	\$ 566 16	\$ (454 08)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SVB FINL GROUP COM (S)	07 Nov 2008	04 Feb 2009	8	\$ 165 68	\$ 374 41	\$ (208 73)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SVB FINL GROUP COM (S)	14 Nov 2008	04 Feb 2009	14	\$ 289 95	\$ 554 74	\$ (264 79)
2A7018902	FNDN - NICHOLAS - MID	Short Term	MARSH & MCLENNAN CO	17 Oct 2008	02 Feb 2009	6	\$ 117 28	\$ 156 70	\$ (39 42)
2A7018902	FNDN - NICHOLAS - MID	Short Term	MARSH & MCLENNAN CO	29 Oct 2008	02 Feb 2009	26	\$ 508 21	\$ 720 00	\$ (211 79)
2A7018902	FNDN - NICHOLAS - MID	Short Term	MARSH & MCLENNAN CO	17 Oct 2008	30 Jan 2009	28	\$ 547 66	\$ 731 28	\$ (183 62)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SVB FINL GROUP COM (S)	17 Oct 2008	30 Jan 2009	10	\$ 206 75	\$ 514 35	\$ (307 60)
2A7018902	FNDN - NICHOLAS - MID	Short Term	SVB FINL GROUP COM (S)	29 Oct 2008	30 Jan 2009	10	\$ 206 75	\$ 489 70	\$ (282 95)
2A7018902	FNDN - NICHOLAS - MID	Short Term	MATTEL INC (MAT)	07 Nov 2008	30 Jan 2009	2	\$ 41 35	\$ 93 60	\$ (52 25)
2A7018902	FNDN - NICHOLAS - MID	Short Term	LABORATORY CORP AME	05 Sep 2008	29 Jan 2009	43	\$ 637 88	\$ 813 10	\$ (175 22)
2A7018902	FNDN - NICHOLAS - MID	Short Term	LABORATORY CORP AME	04 Mar 2008	21 Jan 2009	10	\$ 573 58	\$ 788 28	\$ (214 70)
2A7018902	FNDN - NICHOLAS - MID	Short Term	OMNICARE INC COM (OCF)	13 Jun 2008	21 Jan 2009	30	\$ 802 28	\$ 792 74	\$ 9 54
2A7018902	FNDN - NICHOLAS - MID	Short Term	LABORATORY CORP AME	04 Mar 2008	16 Jan 2009	10	\$ 608 72	\$ 788 29	\$ (179 57)
2A7018902	FNDN - NICHOLAS - MID	Short Term	APOLLO GROUP INC CL A	23 Jul 2008	13 Jan 2009	5	\$ 407 94	\$ 299 82	\$ 108 12
2A7018902	FNDN - NICHOLAS - MID	Short Term	INTL FLAVORS & FRAGRA	10 Jul 2008	08 Jan 2009	5	\$ 148 40	\$ 198 35	\$ (49 95)
2A7018902	FNDN - NICHOLAS - MID	Short Term	INTL FLAVORS & FRAGRA	15 Jul 2008	08 Jan 2009	18	\$ 534 22	\$ 711 19	\$ (176 97)
2A7018902	FNDN - NICHOLAS - MID	Short Term	INTL FLAVORS & FRAGRA	09 Jul 2008	07 Jan 2009	2	\$ 60 04	\$ 79 48	\$ (19 44)
2A7018902	FNDN - NICHOLAS - MID	Short Term	INTL FLAVORS & FRAGRA	10 Jul 2008	07 Jan 2009	20	\$ 630 42	\$ 793 38	\$ (192 96)
2A7018902	FNDN - NICHOLAS - MID	Short Term	Total			Short Term	\$ 279,040.62	\$ 263,124.39	\$ 15,916.23

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
217510064	FNDN BROKERAGE	Long Term	FEDERAL HOME LN MT	25 Oct 2006	04 Dec 2009	350	\$ 527	\$ 517	\$ 010
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	04 Dec 2009	124	\$ 060	\$ 061	\$ (0.01)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	04 Dec 2009	31	\$ 001	\$ 002	\$ (0.01)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	04 Dec 2009	57	\$ 006	\$ 007	\$ (0.01)
217510064	FNDN BROKERAGE	Long Term	FEDERAL HOME LN MT	25 Oct 2006	05 Nov 2009	350	\$ 392	\$ 385	\$ 007
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	05 Nov 2009	124	\$ 162	\$ 164	\$ (0.02)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	05 Nov 2009	31	\$ 001	\$ 002	\$ (0.01)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	05 Nov 2009	57	\$ 006	\$ 006	\$ -
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	05 Nov 2009	59	\$ 1872	\$ 3676	\$ (1804)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	06 Oct 2009	350	\$ 448	\$ 439	\$ 009
217510064	FNDN BROKERAGE	Long Term	FEDERAL HOME LN MT	25 Oct 2006	06 Oct 2009	124	\$ 281	\$ 283	\$ (0.02)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	06 Oct 2009	31	\$ 020	\$ 021	\$ (0.01)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	06 Oct 2009	59	\$ 004	\$ 005	\$ (0.01)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	06 Oct 2009	57	\$ 006	\$ 006	\$ -
217510064	FNDN BROKERAGE	Long Term	FEDERAL HOME LN MT	25 Oct 2006	04 Sep 2009	350	\$ 508	\$ 498	\$ 010
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	04 Sep 2009	124	\$ 243	\$ 245	\$ (0.02)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	04 Sep 2009	31	\$ 133	\$ 136	\$ (0.03)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	04 Sep 2009	59	\$ 310	\$ 305	\$ 005
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	04 Sep 2009	57	\$ 006	\$ 006	\$ -
217510064	FNDN BROKERAGE	Long Term	FEDERAL HOME LN MT	25 Oct 2006	06 Aug 2009	350	\$ 577	\$ 566	\$ 011
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	06 Aug 2009	124	\$ 283	\$ 284	\$ (0.01)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	06 Aug 2009	31	\$ 058	\$ 059	\$ (0.01)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	06 Aug 2009	59	\$ 005	\$ 005	\$ -
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	06 Aug 2009	57	\$ 006	\$ 006	\$ -
217510064	FNDN BROKERAGE	Long Term	FEDERAL HOME LN MT	25 Oct 2006	07 Jul 2009	350	\$ 749	\$ 734	\$ 015
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	07 Jul 2009	124	\$ 278	\$ 280	\$ (0.02)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	07 Jul 2009	31	\$ 098	\$ 101	\$ (0.03)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	07 Jul 2009	59	\$ 459	\$ 451	\$ 008
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	07 Jul 2009	57	\$ 006	\$ 006	\$ -
217510064	FNDN BROKERAGE	Long Term	FEDERAL HOME LN MT	25 Oct 2006	04 Jun 2009	350	\$ 657	\$ 645	\$ 012
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	04 Jun 2009	124	\$ 228	\$ 229	\$ (0.01)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	04 Jun 2009	31	\$ 144	\$ 147	\$ (0.03)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	04 Jun 2009	59	\$ 005	\$ 005	\$ -
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	04 Jun 2009	57	\$ 006	\$ 006	\$ -
217510064	FNDN BROKERAGE	Long Term	FEDERAL HOME LN MT	25 Oct 2006	06 May 2009	350	\$ 711	\$ 697	\$ 014
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	06 May 2009	124	\$ 142	\$ 143	\$ (0.01)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	06 May 2009	31	\$ 049	\$ 051	\$ (0.02)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	06 May 2009	59	\$ 005	\$ 005	\$ -
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	06 May 2009	57	\$ 006	\$ 006	\$ -
217510064	FNDN BROKERAGE	Long Term	FEDERAL HOME LN MT	25 Oct 2006	06 Apr 2009	350	\$ 693	\$ 680	\$ 013
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	06 Apr 2009	124	\$ 237	\$ 238	\$ (0.01)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	06 Apr 2009	31	\$ 002	\$ 002	\$ -
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	06 Apr 2009	59	\$ 005	\$ 005	\$ -
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	06 Apr 2009	57	\$ 006	\$ 006	\$ -
217510064	FNDN BROKERAGE	Long Term	FEDERAL HOME LN MT	25 Oct 2006	05 Mar 2009	350	\$ 655	\$ 643	\$ 012

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	05 Mar 2009	124	\$ 2 95	\$ 2 97	\$ (0.02)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	05 Mar 2009	31	\$ 0 72	\$ 0 73	\$ (0.01)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	05 Mar 2009	59	\$ 2 17	\$ 2 13	\$ 0 04
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	05 Mar 2009	57	\$ 0 06	\$ 0 06	\$ -
217510064	FNDN BROKERAGE	Long Term	FEDERAL HOME LN MT	25 Oct 2006	05 Feb 2009	350	\$ 4 41	\$ 4 32	\$ 0 09
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	05 Feb 2009	124	\$ 2 45	\$ 2 47	\$ (0.02)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	05 Feb 2009	31	\$ 0 02	\$ 0 02	\$ -
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	05 Feb 2009	59	\$ 0 05	\$ 0 06	\$ (0.01)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	05 Feb 2009	57	\$ 0 06	\$ 0 06	\$ -
217510064	FNDN BROKERAGE	Long Term	FEDERAL HOME LN MT	25 Oct 2006	07 Jan 2009	350	\$ 2 72	\$ 2 67	\$ 0 05
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	07 Jan 2009	124	\$ 1 41	\$ 1 42	\$ (0.01)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	07 Jan 2009	31	\$ 0 02	\$ 0 02	\$ -
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	07 Jan 2009	59	\$ 0 05	\$ 0 06	\$ (0.01)
217510064	FNDN BROKERAGE	Long Term	FNMA GTD MTG PASS	25 Oct 2006	07 Jan 2009	57	\$ 0 06	\$ 0 06	\$ -
217510064	FNDN BROKERAGE	Long Term	Total			Long Term	\$ 127.71	\$ 144.69	\$ (16.98)

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018928	FNDN- SCOTTSTRIL	Long Term	GENERAL ELECTRIC CO	12 Feb 2007	05 Aug 2009	255	\$ 3,557.79	\$ 9,139.20	\$ (5,581.41)
2A7018928	FNDN- SCOTTSTRIL	Long Term	BOEING CO COM (BA)	12 Feb 2007	05 Jun 2009	70	\$ 3,699.29	\$ 6,234.08	\$ (2,534.79)
2A7018928	FNDN- SCOTTSTRIL	Long Term	BOEING CO COM (BA)	12 Feb 2007	04 Jun 2009	150	\$ 7,594.65	\$ 13,358.73	\$ (5,764.08)
2A7018928	FNDN- SCOTTSTRIL	Long Term	AETNA US HEALTHCAR	12 Feb 2007	05 Mar 2009	372	\$ 7,551.68	\$ 15,958.80	\$ (8,407.12)
2A7018928	FNDN- SCOTTSTRIL	Long Term	AKAMAI TECHNOLOGIE	09 Aug 2007	05 Mar 2009	517	\$ 8,546.53	\$ 18,268.21	\$ (9,721.68)
2A7018928	FNDN- SCOTTSTRIL	Long Term	AT&T INC COM (T)	12 Feb 2007	05 Mar 2009	327	\$ 7,508.51	\$ 12,095.20	\$ (4,586.69)
2A7018928	FNDN- SCOTTSTRIL	Long Term	DU PONT E I DE NEMO	12 Feb 2007	05 Mar 2009	451	\$ 7,803.20	\$ 23,054.31	\$ (15,251.11)
2A7018928	FNDN- SCOTTSTRIL	Long Term	EXXON MOBIL CORP CO	12 Feb 2007	05 Mar 2009	93	\$ 5,834.82	\$ 6,962.91	\$ (1,128.09)
2A7018928	FNDN- SCOTTSTRIL	Long Term	GENERAL ELECTRIC CO	12 Feb 2007	05 Mar 2009	305	\$ 2,028.25	\$ 10,931.20	\$ (8,902.95)
2A7018928	FNDN- SCOTTSTRIL	Long Term	GOLDMAN SACHS GRO	16 Feb 2007	05 Mar 2009	109	\$ 9,011.73	\$ 23,638.86	\$ (14,627.13)
2A7018928	FNDN- SCOTTSTRIL	Long Term	HEWLETT PACKARD CO	12 Feb 2007	05 Mar 2009	277	\$ 7,562.32	\$ 11,719.42	\$ (4,157.10)
2A7018928	FNDN- SCOTTSTRIL	Long Term	HOME DEPOT INC COM	12 Feb 2007	05 Mar 2009	352	\$ 6,459.91	\$ 14,589.83	\$ (8,129.92)
2A7018928	FNDN- SCOTTSTRIL	Long Term	HOME DEPOT INC COM	25 Jan 2008	05 Mar 2009	112	\$ 2,055.42	\$ 3,166.24	\$ (1,110.82)
2A7018928	FNDN- SCOTTSTRIL	Long Term	HOST HOTELS & RESO	12 Feb 2007	05 Mar 2009	825	\$ 2,822.99	\$ 23,006.61	\$ (20,183.62)
2A7018928	FNDN- SCOTTSTRIL	Long Term	HOST HOTELS & RESO	25 Jan 2008	05 Mar 2009	330	\$ 1,129.19	\$ 5,336.62	\$ (4,207.43)
2A7018928	FNDN- SCOTTSTRIL	Long Term	HOST HOTELS & RESO	23 Jul 2007	05 Mar 2009	480	\$ 8,520.96	\$ 22,879.01	\$ (14,358.05)
2A7018928	FNDN- SCOTTSTRIL	Long Term	JP MORGAN CHASE & C	12 Feb 2007	05 Mar 2009	303	\$ 8,566.05	\$ 11,360.68	\$ (2,794.63)
2A7018928	FNDN- SCOTTSTRIL	Long Term	VERIZON COMMUNICA	12 Feb 2007	03 Mar 2009	175	\$ 3,941.00	\$ 6,472.97	\$ (2,531.97)
2A7018928	FNDN- SCOTTSTRIL	Long Term	AT&T INC COM (T)	12 Feb 2007	03 Mar 2009	26	\$ 549.17	\$ 1,239.28	\$ (690.11)
2A7018928	FNDN- SCOTTSTRIL	Long Term	JP MORGAN CHASE & C	23 Jul 2007	17 Feb 2009	202	\$ 4,038.01	\$ 8,372.58	\$ (4,334.57)
2A7018928	FNDN- SCOTTSTRIL	Long Term	HOME DEPOT INC COM	12 Feb 2007	05 Feb 2009	217	\$ 6,757.58	\$ 8,136.19	\$ (1,378.61)
2A7018928	FNDN- SCOTTSTRIL	Long Term	VERIZON COMMUNICA	12 Feb 2007	02 Feb 2009	428	\$ 1,521.51	\$ 22,945.08	\$ (21,423.57)
2A7018928	FNDN- SCOTTSTRIL	Long Term	CITIGROUP INC COM (C	25 Jan 2008	02 Feb 2009	271	\$ 963.39	\$ 7,193.66	\$ (6,230.27)
2A7018928	FNDN- SCOTTSTRIL	Long Term	LAM RESEARCH CORP	30 Oct 2007	02 Feb 2009	455	\$ 9,044.08	\$ 22,934.82	\$ (13,890.74)
2A7018928	FNDN- SCOTTSTRIL	Long Term	Total			Long Term	\$ 127,068.03	\$ 308,994.49	\$ (181,926.46)

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018894	FNDN- NEUBERGE	Long Term	SUEZ ENVIRONMENT C	19 Dec 2008	22 Dec 2009	54	\$ 619.61	\$ 469.80	\$ 149.81
2A7018894	FNDN- NEUBERGE	Long Term	AMDOCS LTD SHS ISIN	21 Nov 2008	08 Dec 2009	60	\$ 1,671.99	\$ 1,013.46	\$ 658.53
2A7018894	FNDN- NEUBERGE	Long Term	LOTTOMATICA S P A SF	24 Jun 2008	25 Nov 2009	92	\$ 1,885.08	\$ 2,614.70	\$ (729.62)
2A7018894	FNDN- NEUBERGE	Long Term	LOTTOMATICA S P A SF	30 Oct 2008	25 Nov 2009	30	\$ 614.70	\$ 681.00	\$ (66.30)
2A7018894	FNDN- NEUBERGE	Long Term	DIAGEO PLC SPONSOR	03 Oct 2008	13 Nov 2009	9	\$ 605.11	\$ 589.50	\$ 15.61
2A7018894	FNDN- NEUBERGE	Long Term	DIAGEO PLC SPONSOR	09 Oct 2008	13 Nov 2009	8	\$ 537.87	\$ 470.11	\$ 67.76
2A7018894	FNDN- NEUBERGE	Long Term	SOCIETE GENERALE FI	Various	14 Nov 2009	226	\$ 179.55	\$ -	\$ 179.55
2A7018894	FNDN- NEUBERGE	FNDN- NEUB	NESTLE SA SPONSORE	24 Oct 2007	21 Oct 2009	18	\$ 820.08	\$ 807.84	\$ 12.24
2A7018894	FNDN- NEUBERGE	FNDN- NEUB	SWEDBANK A B PONS	Various	23-Oct-09	259	\$ 514.83	\$ -	\$ 514.83
2A7018894	FNDN- NEUBERGE	Long Term	VODAFONE GROUP PL	12 Feb 2007	17 Sep 2009	46	\$ 1,071.80	\$ 1,356.54	\$ (284.74)
2A7018894	FNDN- NEUBERGE	Long Term	DR REDDY LABS LTD A	12 Feb 2007	11 Sep 2009	93	\$ 1,534.81	\$ 1,553.05	\$ (18.24)
2A7018894	FNDN- NEUBERGE	Long Term	TAIWAN SEMICONDUCT	05 Aug 2008	08 Sep 2009	75.05	\$ 826.30	\$ 731.52	\$ 94.78
2A7018894	FNDN- NEUBERGE	Long Term	EAST JAPAN RY CO AD	02 Jun 2008	21 Aug 2009	60	\$ 600.60	\$ 778.50	\$ (177.90)
2A7018894	FNDN- NEUBERGE	Long Term	EAST JAPAN RY CO AD	23 Jun 2008	21 Aug 2009	120	\$ 1,201.20	\$ 1,518.90	\$ (317.70)
2A7018894	FNDN- NEUBERGE	Long Term	EAST JAPAN RY CO AD	06 Aug 2008	21 Aug 2009	76	\$ 760.76	\$ 1,014.60	\$ (253.84)
2A7018894	FNDN- NEUBERGE	Long Term	TOTAL S A SPONSORE	12 Feb 2007	21 Aug 2009	83	\$ 4,679.54	\$ 5,609.14	\$ (929.60)
2A7018894	FNDN- NEUBERGE	Long Term	NESTLE SA SPONSORE	24 Oct 2007	20 Aug 2009	14	\$ 565.60	\$ 628.32	\$ (62.72)
2A7018894	FNDN- NEUBERGE	Long Term	NOKIA CORP SPONSOR	23 Jan 2008	19 Aug 2009	29	\$ 356.99	\$ 890.56	\$ (533.57)
2A7018894	FNDN- NEUBERGE	Long Term	NOKIA CORP SPONSOR	14 Mar 2008	19 Aug 2009	59	\$ 726.30	\$ 1,853.19	\$ (1,126.89)
2A7018894	FNDN- NEUBERGE	Long Term	NOKIA CORP SPONSOR	20 Mar 2008	19 Aug 2009	35	\$ 430.85	\$ 1,015.00	\$ (584.15)
2A7018894	FNDN- NEUBERGE	Long Term	NOKIA CORP SPONSOR	01 Jul 2008	19 Aug 2009	83	\$ 1,021.74	\$ 1,987.84	\$ (966.10)
2A7018894	FNDN- NEUBERGE	Long Term	TEVA PHARMACEUTICA	12 Feb 2007	18 Aug 2009	16	\$ 810.64	\$ 558.40	\$ 252.24
2A7018894	FNDN- NEUBERGE	Long Term	TAIWAN SEMICONDUCT	05 Aug 2008	14 Aug 2009	0.32	\$ 3.53	\$ 3.17	\$ 0.36
2A7018894	FNDN- NEUBERGE	Long Term	TEVA PHARMACEUTICA	12 Feb 2007	03 Aug 2009	37	\$ 1,961.80	\$ 1,291.30	\$ 670.50
2A7018894	FNDN- NEUBERGE	Long Term	TELEFONICA S A ADR	12 Feb 2007	20 Jul 2009	37	\$ 2,602.35	\$ 2,447.18	\$ 155.17
2A7018894	FNDN- NEUBERGE	Long Term	TELEFONICA S A ADR	07 May 2008	20 Jul 2009	16	\$ 1,125.34	\$ 1,396.15	\$ (270.81)
2A7018894	FNDN- NEUBERGE	Long Term	TELEFONICA S A ADR	20 May 2008	20 Jul 2009	14	\$ 984.67	\$ 1,231.66	\$ (246.99)
2A7018894	FNDN- NEUBERGE	Long Term	TELEFONICA S A ADR	12 Feb 2007	15 Jul 2009	24	\$ 1,629.83	\$ 1,587.36	\$ 42.47
2A7018894	FNDN- NEUBERGE	Long Term	ULTRAPAR PARTICIPA	12 Feb 2007	13 Jul 2009	61	\$ 1,983.79	\$ 1,518.90	\$ 464.89
2A7018894	FNDN- NEUBERGE	Long Term	LADBROKES PLC SPON	19 Nov 2007	08 Jul 2009	413	\$ 1,151.19	\$ 2,888.15	\$ (1,736.96)
2A7018894	FNDN- NEUBERGE	Long Term	LADBROKES PLC SPON	16 Jun 2008	08 Jul 2009	71	\$ 197.91	\$ 418.90	\$ (220.99)
2A7018894	FNDN- NEUBERGE	Long Term	NESTLE SA SPONSORE	24 Oct 2007	07 Jul 2009	23	\$ 873.31	\$ 1,032.24	\$ (158.93)
2A7018894	FNDN- NEUBERGE	Long Term	UNILEVER NV NEW YOR	20 Jun 2008	07 Jul 2009	28	\$ 675.64	\$ 826.97	\$ (151.33)
2A7018894	FNDN- NEUBERGE	Long Term	HEINEKEN NV ADR (HIN	16 Jun 2008	02 Jul 2009	5	\$ 94.85	\$ 137.25	\$ (42.40)
2A7018894	FNDN- NEUBERGE	Long Term	HEINEKEN NV ADR (HIN	08 May 2008	24 Jun 2009	27	\$ 492.75	\$ 762.75	\$ (270.00)
2A7018894	FNDN- NEUBERGE	Long Term	HEINEKEN NV ADR (HIN	16 Jun 2008	24 Jun 2009	26	\$ 474.50	\$ 713.70	\$ (239.20)
2A7018894	FNDN- NEUBERGE	Long Term	EAST JAPAN RY CO AD	02 Jun 2008	22 Jun 2009	108	\$ 1,058.40	\$ 1,401.30	\$ (342.90)
2A7018894	FNDN- NEUBERGE	Long Term	HEINEKEN NV ADR (HIN	08 May 2008	15 Jun 2009	73	\$ 1,227.77	\$ 2,062.25	\$ (834.48)
2A7018894	FNDN- NEUBERGE	Long Term	NIDEC CORP SPONS AL	12 Feb 2007	28 May 2009	89	\$ 1,255.94	\$ 1,428.68	\$ (172.74)
2A7018894	FNDN- NEUBERGE	Long Term	DR REDDY LABS LTD A	12 Feb 2007	19 May 2009	113	\$ 1,436.25	\$ 1,887.05	\$ (450.80)
2A7018894	FNDN- NEUBERGE	Long Term	NIDEC CORP SPONS AL	12 Feb 2007	18 May 2009	108	\$ 1,441.78	\$ 1,733.69	\$ (291.91)
2A7018894	FNDN- NEUBERGE	Long Term	DR REDDY LABS LTD A	12 Feb 2007	04 May 2009	23	\$ 257.15	\$ 384.09	\$ (126.94)
2A7018894	FNDN- NEUBERGE	Long Term	TNT N V SPONS ADR (S	23 Nov 2007	28 Apr 2009	0.95	\$ 16.14	\$ 36.70	\$ (20.56)
2A7018894	FNDN- NEUBERGE	Long Term	COMPANHIA DE BEBIDA	12 Feb 2007	27 Apr 2009	11	\$ 588.32	\$ 564.41	\$ 23.91
2A7018894	FNDN- NEUBERGE	Long Term	PUBLICIS S A NEW SPQ	12 Feb 2007	06 Apr 2009	40	\$ 1,118.00	\$ 1,794.80	\$ (676.80)

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018894	FNDN- NEUBERGE	Long Term	LUXOTTICA GROUP SP	04 Jan 2008	20 Mar 2009	97	\$ 1,374.60	\$ 2,888.08	\$ (1,513.48)
2A7018894	FNDN- NEUBERGE	Long Term	LOGITECH INTERNATIO	28 Feb 2007	13 Mar 2009	90	\$ 828.68	\$ 2,345.36	\$ (1,516.68)
2A7018894	FNDN- NEUBERGE	Long Term	COMPANHIA VALE DO F	02 Aug 2007	17 Feb 2009	190	\$ 2,401.49	\$ 4,010.21	\$ (1,608.72)
2A7018894	FNDN- NEUBERGE	Long Term	SWISS REINSURANCE	12 Feb 2007	11 Feb 2009	29	\$ 469.80	\$ 2,437.45	\$ (1,967.65)
2A7018894	FNDN- NEUBERGE	Long Term	SWISS REINSURANCE	12 Mar 2007	11 Feb 2009	49	\$ 793.80	\$ 4,312.00	\$ (3,518.20)
2A7018894	FNDN- NEUBERGE	Long Term	SWISS REINSURANCE	15 Aug 2007	11 Feb 2009	20	\$ 324.00	\$ 1,633.00	\$ (1,309.00)
2A7018894	FNDN- NEUBERGE	Long Term	SWISS REINSURANCE	08 Nov 2007	11 Feb 2009	13	\$ 210.60	\$ 1,160.25	\$ (949.65)
2A7018894	FNDN- NEUBERGE	Long Term	GLAXOSMITHKLINE PLC	12 Feb 2007	05 Feb 2009	61	\$ 2,267.08	\$ 3,461.75	\$ (1,194.67)
2A7018894	FNDN- NEUBERGE	Long Term	COMPANHIA DE BEBIDA	12 Feb 2007	07 Jan 2009	27	\$ 1,217.23	\$ 1,385.37	\$ (168.14)
2A7018894	FNDN- NEUBERGE	Long Term	Total		Long Term		\$ 54,574.44	\$ 77,324.09	\$ (22,749.65)

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018910	FNDN- SCHAFER LI	Long Term	DIAGEO PLC SPONSOR	12 Feb 2007	30 Dec 2009	40	\$ 2,777.13	\$ 3,153.20	\$ (376.07)
2A7018910	FNDN- SCHAFER LI	Long Term	HEINZ H J COMPANY (H	17 Aug 2007	30 Dec 2009	80	\$ 3,456.00	\$ 3,609.41	\$ (153.41)
2A7018910	FNDN- SCHAFER LI	Long Term	UNILEVER NV NEW YOR	12 Feb 2007	30 Dec 2009	140	\$ 4,553.11	\$ 3,644.20	\$ 908.91
2A7018910	FNDN- SCHAFER LI	Long Term	FORD MOTOR CO CAP	12 Feb 2007	06 Apr 2009	230	\$ 2,545.57	\$ 9,004.50	\$ (6,458.93)
2A7018910	FNDN- SCHAFER LI	Long Term	HCP INC COM (HCP)	12 Feb 2007	03 Apr 2009	260	\$ 5,162.59	\$ 10,399.50	\$ (5,236.91)
2A7018910	FNDN- SCHAFER LI	Long Term	FORD MOTOR CO CAP	12 Feb 2007	02 Apr 2009	110	\$ 920.66	\$ 4,306.50	\$ (3,385.84)
2A7018910	FNDN- SCHAFER LI	Long Term	CEMEX S A B DE C V S	12 Feb 2007	26 Feb 2009	530	\$ 3,145.39	\$ 18,741.81	\$ (15,596.42)
2A7018910	FNDN- SCHAFER LI	Long Term	CEMEX S A B DE C V S	11 Jun 2007	26 Feb 2009	13	\$ 77.15	\$ 502.32	\$ (425.17)
2A7018910	FNDN- SCHAFER LI	Long Term	JP MORGAN CHASE & C	12 Feb 2007	24 Feb 2009	370	\$ 7,388.60	\$ 18,670.79	\$ (11,282.19)
2A7018910	FNDN- SCHAFER LI	Long Term	DOW CHEM CO (DOW)	12 Feb 2007	20 Feb 2009	400	\$ 3,178.72	\$ 16,864.42	\$ (13,685.70)
2A7018910	FNDN- SCHAFER LI	Long Term	BANK OF AMERICA CO	12 Feb 2007	16 Jan 2009	350	\$ 2,501.90	\$ 18,610.06	\$ (16,108.16)
2A7018910	FNDN- SCHAFER LI	Long Term	Total			Long Term	\$ 35,706.82	\$ 107,506.71	\$ (71,799.89)

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018886	FNDN- KEELEY SM	Long Term	PETROHAWK ENERGY	15 Feb 2007	28 Dec 2009	140	\$ 3,483.69	\$ 1,674.39	\$ 1,809.30
2A7018886	FNDN- KEELEY SM	Long Term	BUCYRUS INTL INC NEW	15 Feb 2007	02 Nov 2009	40	\$ 1,822.82	\$ 1,015.40	\$ 807.42
2A7018886	FNDN- KEELEY SM	Long Term	JOY GLOBAL INC COM	15 Feb 2007	02 Nov 2009	20	\$ 1,022.08	\$ 954.40	\$ 67.68
2A7018886	FNDN- KEELEY SM	Long Term	PRIVATEBANCORP INC	04 Apr 2007	28 Oct 2009	90	\$ 833.60	\$ 3,281.43	\$ (2,447.83)
2A7018886	FNDN- KEELEY SM	Long Term	FMC TECHNOLOGIES INC	15 Feb 2007	08 Oct 2009	60	\$ 3,241.31	\$ 1,865.36	\$ 1,375.95
2A7018886	FNDN- KEELEY SM	Long Term	FMC TECHNOLOGIES INC	15 Feb 2007	07 Oct 2009	30	\$ 1,592.45	\$ 932.68	\$ 659.77
2A7018886	FNDN- KEELEY SM	Long Term	EXCO RES INC COM (X)	15 Feb 2007	09 Sep 2009	140	\$ 2,605.57	\$ 2,442.08	\$ 163.49
2A7018886	FNDN- KEELEY SM	Long Term	MCDERMOTT INT'L INC.	15 Feb 2007	09 Sep 2009	70	\$ 1,862.44	\$ 1,814.40	\$ 48.04
2A7018886	FNDN- KEELEY SM	Long Term	TESCO CORP COM (TE)	19 Mar 2007	03 Sep 2009	30	\$ 227.82	\$ 765.43	\$ (537.61)
2A7018886	FNDN- KEELEY SM	Long Term	TESCO CORP COM (TE)	28 Mar 2007	03 Sep 2009	20	\$ 151.88	\$ 530.87	\$ (378.99)
2A7018886	FNDN- KEELEY SM	Long Term	TESCO CORP COM (TE)	19 Mar 2007	02 Sep 2009	20	\$ 150.62	\$ 510.29	\$ (359.67)
2A7018886	FNDN- KEELEY SM	Long Term	TESCO CORP COM (TE)	19 Mar 2007	02 Sep 2009	60	\$ 451.87	\$ 1,530.86	\$ (1,078.99)
2A7018886	FNDN- KEELEY SM	Long Term	BRINKS CO COM (BCO)	15 Feb 2007	10 Aug 2009	110	\$ 2,931.26	\$ 3,597.09	\$ (665.83)
2A7018886	FNDN- KEELEY SM	Long Term	TEREX CORP NEW .01	15 Feb 2007	22 Jul 2009	110	\$ 1,508.62	\$ 7,151.10	\$ (5,642.48)
2A7018886	FNDN- KEELEY SM	Long Term	WALTER ENERGY INC	15 Feb 2007	09 Jul 2009	70	\$ 2,596.84	\$ 1,500.93	\$ 1,095.91
2A7018886	FNDN- KEELEY SM	Long Term	CITIZENS FIRST BANCORP	15 Feb 2007	11 Jun 2009	60	\$ 52.20	\$ 1,665.00	\$ (1,612.80)
2A7018886	FNDN- KEELEY SM	Long Term	AMERICAN RAILCAR INC	15 Feb 2007	08 May 2009	120	\$ 1,015.06	\$ 3,428.80	\$ (2,413.74)
2A7018886	FNDN- KEELEY SM	Long Term	UNITRIN INC (UTR)	15 Feb 2007	30 Apr 2009	140	\$ 2,400.82	\$ 6,715.79	\$ (4,314.97)
2A7018886	FNDN- KEELEY SM	Long Term	WALTER INV MT MGMT CO	15 Feb 2007	20 Apr 2009	0.73	\$ 5.36	\$ 7.46	\$ (2.10)
2A7018886	FNDN- KEELEY SM	Long Term	WALTER INV MT MGMT CO	15 Feb 2007	20 Apr 2009	1	\$ 8.00	\$ 10.18	\$ (2.18)
2A7018886	FNDN- KEELEY SM	Long Term	FLOWERS FOODS INC	15 Feb 2007	07 Apr 2009	90	\$ 2,150.35	\$ 1,785.59	\$ 364.76
2A7018886	FNDN- KEELEY SM	Long Term	GOODRICH PETE CORP	15 Feb 2007	28 Jan 2009	60	\$ 1,776.83	\$ 2,042.99	\$ (266.16)
2A7018886	FNDN- KEELEY SM	Long Term	HEXCEL CORP NEW CO	15 Feb 2007	21 Jan 2009	70	\$ 453.83	\$ 1,361.70	\$ (907.87)
2A7018886	FNDN- KEELEY SM	Long Term	HEXCEL CORP NEW CO	15 Feb 2007	14 Jan 2009	280	\$ 1,872.14	\$ 5,446.80	\$ (3,574.66)
2A7018886	FNDN- KEELEY SM	Long Term	Total			Long Term	\$ 34,217.46	\$ 52,031.02	\$ (17,813.56)

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018902	FNDN - NICHOLAS	Long Term	NOBLE ENERGY INC CO	09 Jul 2007	12 Oct 2009	13	\$ 940 08	\$ 848 94	\$ 91 14
2A7018902	FNDN - NICHOLAS	Long Term	L 3 COMMUNICATIONS	29 Jun 2007	25 Sep 2009	18	\$ 1,422 14	\$ 1,760 51	\$ (338.37)
2A7018902	FNDN - NICHOLAS	Long Term	ALLIED WORLD ASSUR	25 Jul 2008	18 Sep 2009	27	\$ 1,242 00	\$ 1,042.82	\$ 199 18
2A7018902	FNDN - NICHOLAS	Long Term	AMERICAN FINL GROUP	24 Oct 2007	18 Sep 2009	21	\$ 542 01	\$ 575 94	\$ (33 93)
2A7018902	FNDN - NICHOLAS	Long Term	AMERICAN FINL GROUP	25 Oct 2007	18 Sep 2009	71	\$ 1,832.51	\$ 1,929 34	\$ (96 83)
2A7018902	FNDN - NICHOLAS	Long Term	AMERIPRISE FINL INC	12 Feb 2007	18 Sep 2009	72	\$ 2,352 45	\$ 858 65	\$ (1,973 31)
2A7018902	FNDN - NICHOLAS	Long Term	AMERIPRISE FINL INC	01 Jul 2008	18 Sep 2009	21	\$ 686 13	\$ 858 65	\$ (172 52)
2A7018902	FNDN - NICHOLAS	Long Term	APOLLO GROUP INC CL	23 Jul 2008	18 Sep 2009	8	\$ 551 12	\$ 479 72	\$ 71 40
2A7018902	FNDN - NICHOLAS	Long Term	APOLLO GROUP INC CL	24 Jul 2008	18 Sep 2009	25	\$ 1,722 25	\$ 1,500.58	\$ 221.67
2A7018902	FNDN - NICHOLAS	Long Term	AUTOZONE INC (AZO)	12 Feb 2007	18 Sep 2009	2	\$ 295 78	\$ 252 70	\$ 43 08
2A7018902	FNDN - NICHOLAS	Long Term	AUTOZONE INC (AZO)	13 Aug 2007	18 Sep 2009	2	\$ 295 78	\$ 238 24	\$ 57 54
2A7018902	FNDN - NICHOLAS	Long Term	AUTOZONE INC (AZO)	26 Jun 2008	18 Sep 2009	10	\$ 1,478.90	\$ 1,138.61	\$ 340.29
2A7018902	FNDN - NICHOLAS	Long Term	BRINKS CO COM (BCO)	08 May 2007	18 Sep 2009	55	\$ 1,541 10	\$ 1,964.45	\$ (423 35)
2A7018902	FNDN - NICHOLAS	Long Term	CA INC COM (CA)	22 Aug 2007	18 Sep 2009	110	\$ 2,501 40	\$ 2,682 13	\$ (180 73)
2A7018902	FNDN - NICHOLAS	Long Term	CELANESE CORP DEL	27 Aug 2008	18 Sep 2009	12	\$ 330 72	\$ 443 05	\$ (112 33)
2A7018902	FNDN - NICHOLAS	Long Term	CENTURYTEL INC COM	02 Aug 2007	18 Sep 2009	26 97	\$ 860.34	\$ 1,228 97	\$ (368 63)
2A7018902	FNDN - NICHOLAS	Long Term	CENTURYTEL INC COM	13 Dec 2007	18 Sep 2009	26.03	\$ 830 36	\$ 962 50	\$ (132 14)
2A7018902	FNDN - NICHOLAS	Long Term	CENTURYTEL INC COM	23 Mar 2007	18 Sep 2009	2	\$ 117 22	\$ 139 93	\$ (22 71)
2A7018902	FNDN - NICHOLAS	Long Term	CEPHALON INC COM	02 Apr 2007	18 Sep 2009	27	\$ 1,582 47	\$ 2,034 78	\$ (452 31)
2A7018902	FNDN - NICHOLAS	Long Term	CEPHALON INC COM	15 May 2008	18 Sep 2009	10	\$ 389 80	\$ 538 37	\$ (148 57)
2A7018902	FNDN - NICHOLAS	Long Term	DOVER CORP (DOV)	06 Jun 2008	18 Sep 2009	33	\$ 1,286 34	\$ 1,723 25	\$ (436 91)
2A7018902	FNDN - NICHOLAS	Long Term	DOVER CORP (DOV)	20 Nov 2007	18 Sep 2009	20	\$ 522 40	\$ 589.35	\$ (66 95)
2A7018902	FNDN - NICHOLAS	Long Term	DPL INC (DPL)	26 Nov 2007	18 Sep 2009	44	\$ 1,149.28	\$ 1,312 32	\$ (163 04)
2A7018902	FNDN - NICHOLAS	Long Term	DPL INC (DPL)	07 Jan 2008	18 Sep 2009	27	\$ 705 24	\$ 800 27	\$ (95 03)
2A7018902	FNDN - NICHOLAS	Long Term	DPL INC (DPL)	11 Jul 2008	18 Sep 2009	32	\$ 332 53	\$ 616 57	\$ (284 04)
2A7018902	FNDN - NICHOLAS	Long Term	EL PASO CORP COM	15 Jul 2008	18 Sep 2009	50	\$ 519 58	\$ 952 43	\$ (432 85)
2A7018902	FNDN - NICHOLAS	Long Term	EL PASO CORP COM	23 Jul 2008	18 Sep 2009	57	\$ 592 31	\$ 1,018 91	\$ (426 60)
2A7018902	FNDN - NICHOLAS	Long Term	GAP INC (GPS)	22 Aug 2007	18 Sep 2009	13	\$ 288 36	\$ 226 04	\$ 62 32
2A7018902	FNDN - NICHOLAS	Long Term	GAP INC (GPS)	07 Sep 2007	18 Sep 2009	75	\$ 1,663 61	\$ 1,348 37	\$ 315 24
2A7018902	FNDN - NICHOLAS	Long Term	HASBRO INC (HAS)	26 Nov 2007	18 Sep 2009	48	\$ 1,386.24	\$ 1,328 17	\$ 58 07
2A7018902	FNDN - NICHOLAS	Long Term	HASBRO INC (HAS)	27 Nov 2007	18 Sep 2009	48	\$ 1,386 24	\$ 1,323 85	\$ 62 39
2A7018902	FNDN - NICHOLAS	Long Term	HERBALIFE LTD USD	09 Sep 2008	18 Sep 2009	11	\$ 362 12	\$ 497 03	\$ (134.91)
2A7018902	FNDN - NICHOLAS	Long Term	HERBALIFE LTD USD	10 Sep 2008	18 Sep 2009	22	\$ 724 24	\$ 966 77	\$ (242.53)
2A7018902	FNDN - NICHOLAS	Long Term	INTUIT INCORPORATED	16 Sep 2008	18 Sep 2009	40	\$ 1,109 60	\$ 1,193 88	\$ (84 28)
2A7018902	FNDN - NICHOLAS	Long Term	JONES APPAREL GROU	04 Sep 2008	18 Sep 2009	30	\$ 556 20	\$ 611 74	\$ (55 54)
2A7018902	FNDN - NICHOLAS	Long Term	JONES APPAREL GROU	05 Sep 2008	18 Sep 2009	36	\$ 667 44	\$ 729 80	\$ (62.36)
2A7018902	FNDN - NICHOLAS	Long Term	L 3 COMMUNICATIONS	29 Jun 2007	18 Sep 2009	13	\$ 1,067 95	\$ 1,271 48	\$ (203 53)
2A7018902	FNDN - NICHOLAS	Long Term	MACYS INC COM (M)	02 Oct 2007	18 Sep 2009	66	\$ 1,173 48	\$ 2,252 05	\$ (1,078.57)
2A7018902	FNDN - NICHOLAS	Long Term	MACYS INC COM (M)	25 Jan 2008	18 Sep 2009	33	\$ 586 74	\$ 846 06	\$ (259 32)
2A7018902	FNDN - NICHOLAS	Long Term	REINSURANCE GROUP	09 Nov 2007	18 Sep 2009	5	\$ 225 35	\$ 282 56	\$ (57 21)
2A7018902	FNDN - NICHOLAS	Long Term	RYDER SYS INC (R)	12 Feb 2007	18 Sep 2009	34	\$ 1,414 06	\$ 1,781 26	\$ (367.20)
2A7018902	FNDN - NICHOLAS	Long Term	SEMPRA ENERGY COM	12 Feb 2007	18 Sep 2009	18	\$ 920 00	\$ 1,090 44	\$ (170 44)
2A7018902	FNDN - NICHOLAS	Long Term	SEMPRA ENERGY COM	08 Jan 2008	18 Sep 2009	19	\$ 971 11	\$ 1,170 99	\$ (199.88)
2A7018902	FNDN - NICHOLAS	Long Term	SEMPRA ENERGY COM	15 Apr 2008	18 Sep 2009	8	\$ 408 89	\$ 445.20	\$ (36 31)
2A7018902	FNDN - NICHOLAS	Long Term	ST MARY LAND & EXPL	23 Jul 2008	18 Sep 2009	34	\$ 1,112 14	\$ 1,492 47	\$ (380.33)

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018902	FNDN - NICHOLAS	Long Term	ST MARY LAND & EXPL	24 Jul 2008	18 Sep 2009	36	\$ 1,177.56	\$ 1,555.12	\$ (377.56)
2A7018902	FNDN - NICHOLAS	Long Term	ST MARY LAND & EXPL	21 Aug 2008	18 Sep 2009	17	\$ 556.07	\$ 772.00	\$ (215.93)
2A7018902	FNDN - NICHOLAS	Long Term	SYMANTEC CORP (SYM)	19 Oct 2007	18 Sep 2009	28	\$ 448.61	\$ 566.55	\$ (117.94)
2A7018902	FNDN - NICHOLAS	Long Term	SYMANTEC CORP (SYM)	23 Oct 2007	18 Sep 2009	63	\$ 1,009.38	\$ 1,318.21	\$ (308.83)
2A7018902	FNDN - NICHOLAS	Long Term	TORO CO (TTC)	12 Feb 2007	18 Sep 2009	43	\$ 1,797.40	\$ 2,235.14	\$ (437.74)
2A7018902	FNDN - NICHOLAS	Long Term	TORO CO (TTC)	30 Jun 2008	18 Sep 2009	12	\$ 501.60	\$ 401.97	\$ 99.63
2A7018902	FNDN - NICHOLAS	Long Term	UNUM GROUP COM (UN	19 Jun 2007	18 Sep 2009	63	\$ 1,422.64	\$ 1,663.69	\$ (241.05)
2A7018902	FNDN - NICHOLAS	Long Term	UNUM GROUP COM (UN	20 Jun 2007	18 Sep 2009	69	\$ 1,558.12	\$ 1,823.02	\$ (264.90)
2A7018902	FNDN - NICHOLAS	Long Term	VISHAY INTERTECHNO	30 Jul 2008	18 Sep 2009	47	\$ 390.13	\$ 418.89	\$ (28.76)
2A7018902	FNDN - NICHOLAS	Long Term	VISHAY INTERTECHNO	06 Aug 2008	18 Sep 2009	79	\$ 655.75	\$ 794.73	\$ (138.98)
2A7018902	FNDN - NICHOLAS	Long Term	ALLIED WORLD ASSUR	24 Jul 2008	14 Sep 2009	21	\$ 969.54	\$ 815.92	\$ 153.62
2A7018902	FNDN - NICHOLAS	Long Term	ALLIED WORLD ASSUR	25 Jul 2008	14 Sep 2009	4	\$ 184.68	\$ 154.49	\$ 30.19
2A7018902	FNDN - NICHOLAS	Long Term	XILINX INC (XLNX)	17 Mar 2008	09 Sep 2009	35	\$ 813.59	\$ 829.87	\$ (16.28)
2A7018902	FNDN - NICHOLAS	Long Term	OWENS ILLINOIS INC (O	11 Aug 2008	02 Sep 2009	13	\$ 429.61	\$ 559.94	\$ (130.33)
2A7018902	FNDN - NICHOLAS	Long Term	REINSURANCE GROUP	09 Nov 2007	21 Aug 2009	15	\$ 652.00	\$ 847.70	\$ (195.70)
2A7018902	FNDN - NICHOLAS	Long Term	REINSURANCE GROUP	09 Nov 2007	20 Aug 2009	13	\$ 548.24	\$ 734.67	\$ (186.43)
2A7018902	FNDN - NICHOLAS	Long Term	OWENS ILLINOIS INC (O	21 Aug 2007	18 Aug 2009	11	\$ 367.14	\$ 405.38	\$ (38.24)
2A7018902	FNDN - NICHOLAS	Long Term	OWENS ILLINOIS INC (O	11 Aug 2008	18 Aug 2009	15	\$ 500.65	\$ 646.09	\$ (145.44)
2A7018902	FNDN - NICHOLAS	Long Term	BRINKER INTL INC COM	02 Apr 2008	14 Aug 2009	10	\$ 142.21	\$ 192.49	\$ (50.28)
2A7018902	FNDN - NICHOLAS	Long Term	BRINKER INTL INC COM	27 Jun 2008	14 Aug 2009	32	\$ 455.06	\$ 599.24	\$ (144.18)
2A7018902	FNDN - NICHOLAS	Long Term	BRINKER INTL INC COM	20 Mar 2008	13 Aug 2009	20	\$ 288.05	\$ 392.58	\$ (104.53)
2A7018902	FNDN - NICHOLAS	Long Term	BRINKER INTL INC COM	02 Apr 2008	13 Aug 2009	33	\$ 475.29	\$ 635.22	\$ (159.93)
2A7018902	FNDN - NICHOLAS	Long Term	OMNICARE INC COM (O	26 Jun 2008	31 Jul 2009	21	\$ 502.76	\$ 533.14	\$ (30.38)
2A7018902	FNDN - NICHOLAS	Long Term	AMERICAN FINL GROUP	24 Oct 2007	30 Jul 2009	31	\$ 768.51	\$ 850.19	\$ (81.68)
2A7018902	FNDN - NICHOLAS	Long Term	REINSURANCE GROUP	09 Nov 2007	30 Jul 2009	17	\$ 700.95	\$ 960.72	\$ (259.77)
2A7018902	FNDN - NICHOLAS	Long Term	OMNICARE INC COM (O	26 Jun 2008	23 Jul 2009	30	\$ 785.80	\$ 761.62	\$ 24.18
2A7018902	FNDN - NICHOLAS	Long Term	OMNICARE INC COM (O	13 Jun 2008	21 Jul 2009	9	\$ 227.44	\$ 237.82	\$ (10.38)
2A7018902	FNDN - NICHOLAS	Long Term	OMNICARE INC COM (O	26 Jun 2008	21 Jul 2009	22	\$ 555.95	\$ 558.53	\$ (2.58)
2A7018902	FNDN - NICHOLAS	Long Term	100,000THS FIRST HOR	07 Sep 2001	16 Jul 2009	96071.4	\$ 11.81	\$ -	\$ 11.81
2A7018902	FNDN - NICHOLAS	Long Term	BRINKER INTL INC COM	20 Mar 2008	15 Jul 2009	52	\$ 862.03	\$ 1,020.71	\$ (158.68)
2A7018902	FNDN - NICHOLAS	Long Term	XILINX INC (XLNX)	01 Feb 2008	10 Jul 2009	16	\$ 309.81	\$ 361.19	\$ (51.38)
2A7018902	FNDN - NICHOLAS	Long Term	XILINX INC (XLNX)	17 Mar 2008	10 Jul 2009	19	\$ 367.91	\$ 450.50	\$ (82.59)
2A7018902	FNDN - NICHOLAS	Long Term	XILINX INC (XLNX)	01 Feb 2008	08 Jul 2009	35	\$ 671.52	\$ 790.10	\$ (118.58)
2A7018902	FNDN - NICHOLAS	Long Term	XILINX INC (XLNX)	01 Feb 2008	07 Jul 2009	41	\$ 802.60	\$ 925.54	\$ (122.94)
2A7018902	FNDN - NICHOLAS	Long Term	CENTURYTEL INC COM	02 Aug 2007	01 Jul 2009	0.39	\$ 12.05	\$ 17.77	\$ (5.72)
2A7018902	FNDN - NICHOLAS	Long Term	NORTHERN TRUST CO	12 Feb 2007	29 Jun 2009	17	\$ 913.85	\$ 1,052.30	\$ (138.45)
2A7018902	FNDN - NICHOLAS	Long Term	DOVER CORP (DOV)	14 May 2008	23 Jun 2009	9	\$ 287.80	\$ 485.95	\$ (198.15)
2A7018902	FNDN - NICHOLAS	Long Term	DOVER CORP (DOV)	15 May 2008	23 Jun 2009	16	\$ 511.64	\$ 861.38	\$ (349.74)
2A7018902	FNDN - NICHOLAS	Long Term	NORTHERN TRUST CO	12 Feb 2007	19 Jun 2009	12	\$ 631.66	\$ 742.80	\$ (111.14)
2A7018902	FNDN - NICHOLAS	Long Term	TORO CO (TTC)	12 Feb 2007	19 Jun 2009	20	\$ 617.98	\$ 1,039.60	\$ (421.62)
2A7018902	FNDN - NICHOLAS	Long Term	CELANESE CORP DEL	12 Feb 2007	11 Jun 2009	8	\$ 192.19	\$ 228.40	\$ (36.21)
2A7018902	FNDN - NICHOLAS	Long Term	EXPRESS SCRIPTS INC	10 May 2007	10 Jun 2009	14	\$ 876.77	\$ 666.66	\$ 210.11
2A7018902	FNDN - NICHOLAS	Long Term	EXPRESS SCRIPTS INC	25 Apr 2007	05 Jun 2009	8	\$ 504.41	\$ 388.24	\$ 116.17
2A7018902	FNDN - NICHOLAS	Long Term	EXPRESS SCRIPTS INC	10 May 2007	05 Jun 2009	6	\$ 378.31	\$ 285.71	\$ 92.60
2A7018902	FNDN - NICHOLAS	Long Term	NORTHERN TRUST CO	12 Feb 2007	05 Jun 2009	13	\$ 720.46	\$ 804.70	\$ (84.24)

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018902	FNDN - NICHOLAS	Long Term	EXPRESS SCRIPTS INC	25 Apr 2007	04 Jun 2009	15	\$ 939.19	\$ 727.94	\$ 211.25
2A7018902	FNDN - NICHOLAS	Long Term	ANALOG DEVICES INC	24 Jan 2008	20 May 2009	5	\$ 119.56	\$ 145.15	\$ (25.59)
2A7018902	FNDN - NICHOLAS	Long Term	CORPORATE EXECUTIV	24 Jan 2008	20 May 2009	26	\$ 446.23	\$ 1,378.46	\$ (932.23)
2A7018902	FNDN - NICHOLAS	Long Term	CORPORATE EXECUTIV	26 Oct 2007	18 May 2009	31	\$ 510.27	\$ 2,209.94	\$ (1,699.67)
2A7018902	FNDN - NICHOLAS	Long Term	CORPORATE EXECUTIV	24 Jan 2008	18 May 2009	2	\$ 32.92	\$ 106.04	\$ (73.12)
2A7018902	FNDN - NICHOLAS	Long Term	REPUBLIC SVCS INC C4	12 Feb 2007	18 May 2009	23	\$ 508.07	\$ 655.96	\$ (147.89)
2A7018902	FNDN - NICHOLAS	Long Term	LIFE TECHNOLOGIES C	23 Jul 2007	15 May 2009	2	\$ 72.49	\$ 75.66	\$ (3.17)
2A7018902	FNDN - NICHOLAS	Long Term	ANALOG DEVICES INC	14 Jan 2008	12 May 2009	26	\$ 529.19	\$ 700.10	\$ (170.91)
2A7018902	FNDN - NICHOLAS	Long Term	ANALOG DEVICES INC	24 Jan 2008	12 May 2009	10	\$ 203.53	\$ 290.29	\$ (86.76)
2A7018902	FNDN - NICHOLAS	Long Term	CELANESE CORP DEL	12 Feb 2007	08 May 2009	35	\$ 756.89	\$ 999.24	\$ (242.35)
2A7018902	FNDN - NICHOLAS	Long Term	REPUBLIC SVCS INC C4	12 Feb 2007	08 May 2009	25	\$ 594.21	\$ 712.99	\$ (118.78)
2A7018902	FNDN - NICHOLAS	Long Term	LIFE TECHNOLOGIES C	23 Jul 2007	07 May 2009	26	\$ 938.51	\$ 983.59	\$ (45.08)
2A7018902	FNDN - NICHOLAS	Long Term	PG & E CORP (PCG)	12 Feb 2007	07 May 2009	18	\$ 670.73	\$ 869.04	\$ (198.31)
2A7018902	FNDN - NICHOLAS	Long Term	ST JUDE MED INC COM	10 Apr 2008	07 May 2009	15	\$ 526.55	\$ 665.65	\$ (139.10)
2A7018902	FNDN - NICHOLAS	Long Term	100,000THS FIRST HOR	07 Sep 2001	04 May 2009	16	\$ 18.07	\$ -	\$ 18.07
2A7018902	FNDN - NICHOLAS	Long Term	PG & E CORP (PCG)	12 Feb 2007	04 May 2009	19	\$ 716.05	\$ 917.32	\$ (201.27)
2A7018902	FNDN - NICHOLAS	Long Term	ST JUDE MED INC COM	10 Apr 2008	04 May 2009	20	\$ 672.10	\$ 887.53	\$ (215.43)
2A7018902	FNDN - NICHOLAS	Long Term	LIFE TECHNOLOGIES C	20 Jul 2007	01 May 2009	1	\$ 36.97	\$ 37.53	\$ (0.56)
2A7018902	FNDN - NICHOLAS	Long Term	LIFE TECHNOLOGIES C	23 Jul 2007	01 May 2009	18	\$ 665.54	\$ 680.94	\$ (15.40)
2A7018902	FNDN - NICHOLAS	Long Term	PG & E CORP (PCG)	12 Feb 2007	01 May 2009	18	\$ 674.31	\$ 869.04	\$ (194.73)
2A7018902	FNDN - NICHOLAS	Long Term	PG & E CORP (PCG)	12 Feb 2007	30 Apr 2009	18	\$ 665.63	\$ 869.04	\$ (203.41)
2A7018902	FNDN - NICHOLAS	Long Term	ST JUDE MED INC COM	09 Apr 2008	30 Apr 2009	14	\$ 472.07	\$ 623.00	\$ (150.93)
2A7018902	FNDN - NICHOLAS	Long Term	ST JUDE MED INC COM	10 Apr 2008	30 Apr 2009	5	\$ 168.59	\$ 221.88	\$ (53.29)
2A7018902	FNDN - NICHOLAS	Long Term	REPUBLIC SVCS INC C4	12 Feb 2007	21 Apr 2009	29	\$ 573.63	\$ 827.08	\$ (253.45)
2A7018902	FNDN - NICHOLAS	Long Term	BRINKER INTL INC COM	20 Mar 2008	20 Apr 2009	29	\$ 529.65	\$ 569.24	\$ (39.59)
2A7018902	FNDN - NICHOLAS	Long Term	AGILENT TECHNOLOGI	12 Feb 2007	17 Apr 2009	2	\$ 33.68	\$ 65.14	\$ (31.46)
2A7018902	FNDN - NICHOLAS	Long Term	AGILENT TECHNOLOGI	10 Aug 2007	17 Apr 2009	12	\$ 202.07	\$ 437.58	\$ (235.51)
2A7018902	FNDN - NICHOLAS	Long Term	AGILENT TECHNOLOGI	08 Jan 2008	17 Apr 2009	26	\$ 437.80	\$ 927.76	\$ (489.96)
2A7018902	FNDN - NICHOLAS	Long Term	AGILENT TECHNOLOGI	12 Feb 2007	06 Apr 2009	34	\$ 538.15	\$ 1,107.38	\$ (569.20)
2A7018902	FNDN - NICHOLAS	Long Term	AGILENT TECHNOLOGI	12 Feb 2007	02 Apr 2009	38	\$ 635.31	\$ 1,237.66	\$ (602.35)
2A7018902	FNDN - NICHOLAS	Long Term	CIMAREX ENERGY CO	12 Feb 2007	02 Apr 2009	8	\$ 162.59	\$ 295.20	\$ (132.61)
2A7018902	FNDN - NICHOLAS	Long Term	HARRIS CORP DEL (HR	12 Feb 2007	26 Mar 2009	16	\$ 474.42	\$ 831.36	\$ (356.94)
2A7018902	FNDN - NICHOLAS	Long Term	WILLIAMS COS INC COI	04 Mar 2008	26 Mar 2009	33	\$ 397.27	\$ 1,173.03	\$ (775.76)
2A7018902	FNDN - NICHOLAS	Long Term	CIMAREX ENERGY CO	12 Feb 2007	25 Mar 2009	41	\$ 806.13	\$ 1,512.90	\$ (706.77)
2A7018902	FNDN - NICHOLAS	Long Term	HARRIS CORP DEL (HR	12 Feb 2007	25 Mar 2009	21	\$ 587.84	\$ 1,091.16	\$ (503.32)
2A7018902	FNDN - NICHOLAS	Long Term	WILLIAMS COS INC COI	03 Mar 2008	20 Mar 2009	15	\$ 176.83	\$ 544.61	\$ (367.78)
2A7018902	FNDN - NICHOLAS	Long Term	WILLIAMS COS INC COI	04 Mar 2008	20 Mar 2009	25	\$ 294.71	\$ 888.66	\$ (593.95)
2A7018902	FNDN - NICHOLAS	Long Term	CENTURYTEL INC COM	12 Feb 2007	16 Mar 2009	17	\$ 430.80	\$ 757.52	\$ (326.72)
2A7018902	FNDN - NICHOLAS	Long Term	CENTURYTEL INC COM	01 Aug 2007	16 Mar 2009	8.22	\$ 208.30	\$ 376.61	\$ (168.31)
2A7018902	FNDN - NICHOLAS	Long Term	CENTURYTEL INC COM	02 Aug 2007	16 Mar 2009	2.78	\$ 70.45	\$ 126.68	\$ (56.23)
2A7018902	FNDN - NICHOLAS	Long Term	CENTURYTEL INC COM	12 Feb 2007	13 Mar 2009	24	\$ 588.87	\$ 1,069.44	\$ (480.57)
2A7018902	FNDN - NICHOLAS	Long Term	CENTURYTEL INC COM	12 Feb 2007	12 Mar 2009	25	\$ 646.11	\$ 1,114.00	\$ (467.89)
2A7018902	FNDN - NICHOLAS	Long Term	DPL INC (DPL)	20 Nov 2007	11 Mar 2009	26	\$ 527.03	\$ 766.16	\$ (239.13)
2A7018902	FNDN - NICHOLAS	Long Term	GAP INC (GPS)	22 Aug 2007	10 Mar 2009	44	\$ 457.15	\$ 765.04	\$ (307.88)
2A7018902	FNDN - NICHOLAS	Long Term	HEINZ H J COMPANY (H	26 Feb 2008	10 Mar 2009	7	\$ 220.94	\$ 318.44	\$ (97.50)

Account	Name	Type	Description	Date Acquired	Date Sold	Quantity	Proceeds	Basis	Gain/Loss
2A7018902	FNDN - NICHOLAS	Long Term	AUTOZONE INC (AZO)	12 Feb 2007	06 Mar 2009	4	\$ 601.00	\$ 505.40	\$ 95.60
2A7018902	FNDN - NICHOLAS	Long Term	LIFE TECHNOLOGIES C	20 Jul 2007	18 Feb 2009	15	\$ 447.43	\$ 562.98	\$ (115.55)
2A7018902	FNDN - NICHOLAS	Long Term	HARRIS CORP DEL (HR	12 Feb 2007	13 Feb 2009	20	\$ 842.82	\$ 1,039.20	\$ (196.38)
2A7018902	FNDN - NICHOLAS	Long Term	SEAGATE TECHNOLOG	06 Nov 2007	04 Feb 2009	78	\$ 336.26	\$ 2,182.67	\$ (1,846.41)
2A7018902	FNDN - NICHOLAS	Long Term	SEAGATE TECHNOLOG	07 Jan 2008	04 Feb 2009	37	\$ 159.51	\$ 862.09	\$ (702.58)
2A7018902	FNDN - NICHOLAS	Long Term	KROGER CO (KR)	21 Dec 2007	02 Feb 2009	20	\$ 452.00	\$ 530.44	\$ (78.44)
2A7018902	FNDN - NICHOLAS	Long Term	PATTERSON UTI ENER	20 Apr 2007	26 Jan 2009	6	\$ 61.34	\$ 144.83	\$ (83.49)
2A7018902	FNDN - NICHOLAS	Long Term	PATTERSON UTI ENER	06 Jun 2007	26 Jan 2009	55	\$ 562.24	\$ 1,471.91	\$ (909.67)
2A7018902	FNDN - NICHOLAS	Long Term	ANALOG DEVICES INC	11 Jan 2008	23 Jan 2009	20	\$ 392.03	\$ 534.63	\$ (142.60)
2A7018902	FNDN - NICHOLAS	Long Term	ANALOG DEVICES INC	14 Jan 2008	23 Jan 2009	22	\$ 431.24	\$ 592.40	\$ (161.16)
2A7018902	FNDN - NICHOLAS	Long Term	METTLER TOLEDO INTL	12 Feb 2007	16 Jan 2009	10	\$ 701.35	\$ 878.30	\$ (176.95)
2A7018902	FNDN - NICHOLAS	Long Term	PATTERSON UTI ENER	20 Apr 2007	16 Jan 2009	50	\$ 513.41	\$ 1,206.94	\$ (693.53)
2A7018902	FNDN - NICHOLAS	Long Term	METTLER TOLEDO INTL	12 Feb 2007	08 Jan 2009	10	\$ 666.39	\$ 878.30	\$ (211.91)
2A7018902	FNDN - NICHOLAS	Long Term	KROGER CO (KR)	21 Dec 2007	07 Jan 2009	29	\$ 752.67	\$ 769.14	\$ (16.47)
2A7018902	FNDN - NICHOLAS	Long Term	Total			Long Term	\$ 97,060.67	\$ 127,791.94	\$ (30,731.27)