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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

| | For ca | <u>lenda</u> | r year 2009, or ta | ax year beginning | | , 2009 | , and ending | | | | |
|---------|------------------|---|--|---|---|---------------|--------------------|-----------------|--|-----------------------|--------|
| 4 | G Ch | eck al | ll that apply: | Initial return Init | tial Return | of a former p | ublic charity | ma | Fin | al return | |
| - | Use | | | | | Address | Jiange INa | A | Employer identification nu | mber | |
| | IRS la | | | hubert Foundat | 20-0447577 B Telephone number (see the instructions) | | | | | | |
| | prir | Otherwise, 27 Southwind Road print Louisville, KY 40207 | | | | | | | Telephone number (see the | instructions) | |
| | or ty: See Sp | pc. | 20015 11110 | , KI 40207 | | | | c | 713-320-9835 If exemption application is | panding chack hara | . • |
| | Instruc | | | | | | | b | 1 Foreign organizations, che | | . ▶ |
| • | H Ç | | type of organiza | | | | | 1 | 2 Foreign organizations mee | ting the 85% test, ch | neck |
| | | | | nonexempt charitable | | | orivate foundation | E | here and attach computate If private foundation statu | | |
| | ' (| air mar <i>from Pa</i> | ket value of all asset art II, column (c), line | is at end of year J Acc | Other (specif | ethod: XC | ashAccrual | 1 | under section 507(b)(1)(A |), check here. | ▶ 🗌 |
| _ | ► \$ | | | | | | n cash basıs.) | F | If the foundation is in a 60 under section 507(b)(1)(B | | ▶ □ |
| [| Part I | | nalysis of Re | | (a) Rev | enue and | (b) Net investme | ent | (c) Adjusted net | (d) Disbursem | nents |
| | | CC | olumns (b), (c), a | total of amounts in and (d) may not neces- | expenses | s per books | income | | income | for charitat | ble |
| | | sa | arily equal the an ee the instruction | nounts ın column (a) | • | | | | | (cash basis o | |
| • | | 1 1 | Contributions, gifts, | grants, etc. received (att sch). | | | | | | | |
| 30 | 2 | 2 3 | | oundn is not req to att Sch B | | | | | | | |
| 2010 | 1 | 3 | cash investmen | ings and temporary | | | | | N/A | | |
| \$ | | 4 | | rest from securities . | | 24,447. | 24,44 | <u> 17.</u> | | - | |
| ~ | | | Gross rents Net rental income or (loss) | • • • | | | | | | | |
| APR | R | | Net gain/(loss) from | sale of assets not on line 10 | | -6,927. | | | | 1 | |
| | | l _ | Gross sales price for assets on line 6a | 00,403. | | | | | | | |
| | E N | 8 | Capital gain net inco Net short-term | ome (from Part IV, line 2) capital gain | | | | 0. | | | |
| Z | Ü | 9 | Income modific | . • | | | | | | | |
| SCANNED | _ | 10 a | Gross sales less returns and allowances | | | | | | | | |
| Ŵ | 1 | l t | Less. Cost of goods sold. | | | | | | RECE | IVED | |
| | | | Gross profit/(loss) | | | | | | | i i | |
| | | 111 | | attach schedule). See Statement 1 | | 74. | | | APR 0 | 2 2010 B | |
| | | 12 | Total. Add lines | | | 17,594. | 24,44 | 17 . | 71.11 | 2 2010 J | |
| | | 13 | • | icers, directors, trustees, etc | | 0. | | | GODE | N UT | |
| | | 14 | Other employee sala | aries and wages employee benefits | | | | | | | 1 |
| | A | 1 | Legal fees (attach s | | | | | | | | |
| | D M | | | tach sch) See St .2 | | 900. | | 50. | | | 450. |
| | o N | | Other prof fees (atta Interest. | ach sch) See St 3 | | 6,005. | 6,00 | <u>)5.</u> | | | |
| | PS | 18 | | ee instr) . See. Stm. 4 | - | 623. | 62 | 23. | | | |
| | O P E R A T | 19 | Depreciation (a sch) and deplet | ttach | | | | | | | |
| | N V | 20 | Occupancy | | | | | | | | |
| | | 21 | | nces, and meetings | | | | | | | |
| | A E X P | 22 | Printing and pu Other expenses | iblications s (attach schedule) | | | | | _ | | |
| | E | | | | | | | | | | |
| | S | 24 | Total operating | and administrative lines 13 through 23 | | 7,528. | 7,07 | 7 Q | | | 450. |
| | 3 | 25 | | grants paid Part XV | | 50,000. | | , o . | | | 000. |
| | | 26 | Total expenses Add lines 24 an | and disbursements. | | 57,528. | 7,07 | 70 | | 50 | 450 |
| - | | | Subtract line 26 | from line 12: | | 31,320. | 7,0 | υ. | | 30, | 450. |
| | | a | Excess of rever | nue over expenses ents | | 39,934. | | | | | 1 |
| | | b | | me (if negative, enter -0-). | | 35,754. | 17,36 | 59. | | | - |
| - | - | | | e (if negative, enter -0-) | L | | | | | | |
| | BAA F | or Pri | ivacy Act and Pa | perwork Reduction A | ct Notice, s | ee the instru | ictions. | TEE | A0504L 02/02/10 | Form 990-PF | (2009) |

| Form | 990- | PF (2009) The Sam Schubert Foundation | | 20-04 | 47577 Page 2 |
|-----------------------|----------|--|--------------------------|----------------|-----------------------|
| Part | 11 | Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. | Beginning of year | End | of year |
| | | (See instructions.) | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| | 1 | Cash — non-interest-bearing | | | |
| 4 | 2 | Savings and temporary cash investments | 203. | 1,210 | 1,210. |
| | 3 | Accounts receivable | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 4 | | | | |
| | | Less: allowance for doubtful accounts | | | |
| | 5 | Grants receivable | | | <u> </u> |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions). | | | |
| ٨ | 7 | Other notes and loans receivable (attach sch) | | | |
| A S S E T | | Less: allowance for doubtful accounts | | | |
| S | 8 | Inventories for sale or use . | | | |
| Ť | 9 | Prepaid expenses and deferred charges | | | |
| S | 10 a | a Investments — U.S. and state government obligations (attach schedule) | | | |
| | 1 | b Investments — corporate stock (attach schedule) Statement 5 . | 849,906. | 808,965 | 909,060. |
| | • | c Investments — corporate bonds (attach schedule) | | | ļ <u></u> |
| | 11 | Investments — land, buildings, and equipment: basis | | | |
| | | Less: accumulated depreciation (attach schedule) | | | |
| | 12 | Investments – mortgage loans | | | |
| | 13 | Investments — other (attach schedule) | | | |
| | 14 | | | | |
| | | Less: accumulated depreciation (attach schedule) | | | |
| | 15 16 | Total assets (to be completed by all filers – | | | |
| | | see instructions. Also, see page 1, item I) | 850,109. | 810,175 | 910,270. |
| L | 17 | | | | _ |
| À | 18 | 1.3 | | | - |
| B | 19 | Deferred revenue | | | 4 |
| Ļ | 20 | Loans from officers, directors, trustees, & other disqualified persons | | | - |
| ÷ | 21 | Mortgages and other notes payable (attach schedule). | | | 4 |
| E | 22 | Other liabilities (describe | | | - |
| <u> 5</u> | 23 | Total liabilities (add lines 17 through 22) | 0. | 0. | |
| | | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. | | | |
| ΝF | 24 | Unrestricted | İ | | |
| N F E U T N | 25 | Temporarily restricted | | | |
| D | 26 | Permanently restricted | | | 1 |
| S B S A | | Foundations that do not follow SFAS 117, check here. X and complete lines 27 through 31. | | | |
| E L T A | 27 | Capital stock, trust principal, or current funds | 850,109. | 810,175 | |
| С | 28 | Paid-in or capital surplus, or land, building, and equipment fund | | | 4 |
| OE | 29 | Retained earnings, accumulated income, endowment, or other funds | 252 422 | | 4 |
| ŔŚ | 30 31 | Total net assets or fund balances (see the instructions) Total liabilities and net assets/fund balances | 850,109. | 810,175. | 4 |
| Dau | <u> </u> | (see the instructions). Analysis of Changes in Net Assets or Fund Balance | 850,109. | 810,175. | |
| | | | | | |
| 1 | Total | I net assets or fund balances at beginning of year – Part II, colui of-year figure reported on prior year's return) | mn (a), line 30 (must ag | ree with | 050 100 |
| | | r amount from Part I, line 27a | | 1 | 850,109. -39,934. |
| | | increases not included in line 2 (itemize) . | •• ••••• | 2 | -33, 334. |
| | | lines 1, 2, and 3 | | | 810,175. |
| | | | | | |

810,175.

5 6

| | LOSSES for Tax on Investmer the kind(s) of property sold (e.g., i e; or common stock, 200 shares Mi | | (b) How acc P - Purch D - Dona | nase | (C) Date acquired (month, day, year) | (d) Date sold (month, day, year) |
|--|---|--|--------------------------------------|----------|--|-------------------------------------|
| 1a Publicly-traded securities | | | | | Various | Various |
| ·p | | | P | | | 1022000 |
| С | | | | | | |
| d | | | | | | |
| <u>e</u> | | | L | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other bar plus expense of sa | | | (h) Gain or (e) plus (f) m | |
| <u>a</u> 68,465. | | 7 | 5,392. | | | -6,927. |
| b | | | | | | |
| d d | | · | | | · | |
| | | | | | | |
| | ng gain in column (h) and owned b | v the foundation on 12/31/69 | <u> </u> | | (1) Oarra (Cali | /b) |
| (i) Fair Market Value as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of column over column (j), if a | (1) | g tha | (1) Gains (Coli ain minus column (i an -0-) or Losses (fr | (), but not less |
| a | | | | | | -6,927. |
| b | | | | | | |
| с | | | | | | |
| d | | | | | | |
| е | | | | | ı | |
| 2 Capital gain net income or (net | — | enter in Part I, line 7 ter -0- in Part I, line 7 | . | 2 | | -6,927. |
| 3 Net short-term capital gain or (| loss) as defined in sections 1222(5) | and (6): | | | | |
| ın Part I, line 8 | 8, column (c) (see the instructions | | · | 3 | | 0. |
| Part V Qualification Under (For optional use by domestic private | Section 4940(e) for Reduce | | | | | |
| If section 4940(d)(2) applies, leave the Was the foundation liable for the section of the sectio | tion 4942 tax on the distributable ar | mplete this part. | N/A | ıes. | XYes | ☐ No |
| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use ass | sets | (colı | (d) Distribution umn (b) divided | |
| 2008 | | | | | | |
| 2007 | | | | | | |
| 2006 | | | | | | |
| 2005 | | | | | | |
| 2004 | | | | | | |
| 2 Total of line 1, column (d) | | | | 2 | | |
| 3 Average distribution ratio for the number of years the foundation | e 5-year base period — divide the to has been in existence if less than ! | otal on line 2 by 5, or by the 5 years | | 3 | | |
| 4 Enter the net value of nonchard | table-use assets for 2009 from Part | X, line 5 | | 4 | · | |
| 5 Multiply line 4 by line 3 | | | | 5 | | |
| 6 Enter 1% of net investment inco | ome (1% of Part I, line 27b) | | . [| 6 | | |
| 7 Add lines 5 and 6 | | | | 7 | | |
| 8 Enter qualifying distributions from | om Part XII, line 4 | | | 8 | | |
| If line 8 is equal to or greater th | nan line 7, check the box in Part VI, | line 1b, and complete that | part using | a 1% | tax rate. See th | ne |
| Part VI instructions. | | | | | | |

| | 1990-PF (2009) The Sam Schubert Foundation 20-044757 | | | Page 4 |
|-----|--|--|----------------|--|
| Par | | uctions |) | |
| 1 a | Exempt operating foundations described in section 4940(d)(2), check here and enter 'N/A' on line 1. | | |] |
| | Date of ruling or determination letter: (attach copy of letter if necessary - see instr.) | | | |
| \th | Domestic foundations that meet the section 4940(e) requirements in Part V, | | | 347. |
| | check here ► and enter 1% of Part I, line 27b | | | İ |
| c | : All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b) | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable | | | |
| | foundations only. Others enter -0-) | | | <u>0.</u> |
| 3 | Add lines 1 and 2 | | | 347. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-). | | | 0. |
| 5 | Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0 | | | 347. |
| 6 | Credits/Payments: | | | |
| | 292. | | | |
| | Exempt foreign organizations — tax withheld at source | | | |
| | Tax paid with application for extension of time to file (Form 8868). | | | |
| | Backup withholding erroneously withheld | | | 202 |
| _ | Total credits and payments. Add lines 6a through 6d | | | 292. |
| 8 | Enter any penalty for underpayment of estimated tax. Check here I if Form 2220 is attached | | | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | | | 55. |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. | | | |
| 11 | Enter the amount of line 10 to be: Credited to 2010 estimated tax Refunded 11 | | | |
| | | | V | T _{Na} |
| 1 a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it | 1 | Yes | No X |
| | participate or intervene in any political campaign? | . <u>1</u> a | - | ┼^ |
| b | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? | 16 | | x |
| | | <u> </u> | - | 1 |
| | If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | | |
| | Did the foundation file Form 1120-POL for this year? | 10 | <u> </u> | X |
| | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: | <u> </u> | | 1 |
| | (1) On the foundation ►\$ 0. (2) On foundation managers►\$ |). | | |
| е | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on | | | |
| • | foundation managers. \$ 0. | l | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? | 2 | | X |
| | If 'Yes,' attach a detailed description of the activities. | ľ | | |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes | <u> </u> | | |
| 4- | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | 3 | - | X |
| | olf 'Yes,' has it filed a tax return on Form 990-T for this year? | 4a 4b | • | /A |
| | Was there a liquidation, termination, dissolution, or substantial contraction during the year? | 5 | 1 | X |
| J | If 'Yes,' attach the statement required by General Instruction T. | - | | ^- - |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: | ı | | |
| · | By language in the governing instrument, or | | | |
| | | | | |
| | • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | - |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV | 7 | X | $\vdash \vdash$ |
| | Enter the states to which the foundation reports or with which it is registered (see the instructions) | | ^ | \vdash |
| | KY TX | | | |
| b | olf the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation | 8 b | X | <u> </u> |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(1)(3) or 4942(1)(3) | 5) | | |
| - | for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If 'Yes,' complete Part XI | ý <u> </u> | | Х |
| 10 | Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names | | | |
| BAA | and addresses. | 10 | 0.05 | (2000) |
| | | Form 9 9 | ·U-rr | (2009) |

| orm 990-PF (2009) The Sam Schubert Foundation | 20-044757 | 7 | Page 5 |
|--|----------------------|----------------|-------------|
| Part VII-A Statements Regarding Activities Continued | | | |
| At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions). | | 11 | x |
| 12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | · · · · · · | 12 | x |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption. | n application? | 13 | Х |
| | e no. ► 713-32 | 0-98 | 35 |
| Located at > 27 Southwind Road Louisville KY ZIP + 4 > 15 Section 4047(c)(1) represent about this form 200 RF in line of Farm 1041 Charles have | | | ·- <u>-</u> |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here and enter the amount of tax-exempt interest received or accrued during the year | ▶ 15 | N/A. | N/A |
| Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required | | | |
| File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies. | | | Yes No |
| 1 a During the year did the foundation (either directly or indirectly): | [| | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | Yes X No | | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | Yes X No | | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | Yes X No | | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | Yes X No | | |
| | | ŀ | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | Yes X No | | |
| (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). | Yes X No | | |
| b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instruction). | ons)? | 1 b | N/A |
| Organizations relying on a current notice regarding disaster assistance check here | ▶ 📗 | 1 | |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts that were not corrected before the first day of the tax year beginning in 2009? | , | 1c | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | i | |
| a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? | Yes X No | | |
| If 'Yes,' list the years ▶ 20 , 20 , 20 | | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942 (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942 all years listed, answer 'No' and attach statement — see the instructions.) | ?(a)(2) (a)(2) to | 2b | N/A |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years > 20, 20, 20 | here. | | NA |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | Yes X No | | |
| b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approve by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) | | | |
| determine if the foundation had excess business holdings in 2009.) | | 3 b | N/A |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | х |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | | 4b | X |
| BAA | For | m 990 - | PF (2009) |

| Form 990-PF (2009) The Sam Schubert | | | 20-04 | 47577 | Page 6 |
|--|--|--|--|------------------------|--------------------|
| Part VII-B Statements Regarding Activit | | n 4720 May Be Req | uired (continued) | | |
| 5a During the year did the foundation pay or inco | • | (| □√. ⊌ | . | |
| (1) Carry on propaganda, or otherwise attem | - | | Yes X | No | |
| (2) Influence the outcome of any specific put on, directly or indirectly, any voter registr | ation drive? | | | No | |
| (3) Provide a grant to an individual for travel | , study, or other similar | purposes? | . Yes X | No | |
| (4) Provide a grant to an organization other to in section 509(a)(1), (2), or (3), or section | than a charitable, etc, on 4940(d)(2)? (see instr | rganization described uctions) | Yes X | No | |
| (5) Provide for any purpose other than religion educational purposes, or for the prevention | ous, charitable, scientific on of cruelty to children | c, literary, or or animals? | Tes X | No | |
| • | n a current notice regar · · · · · · · · · | ding disaster assistance | tions | 5b | N/A |
| Organizations relying on a current notice rega | | | | ' | |
| c If the answer is 'Yes' to question 5a(4), does tax because it maintained expenditure respon If 'Yes,' attach the statement required by Reg | isibility for the grant? . | •••• | N/A Yes | No | |
| 6a Did the foundation, during the year, receive a | | • • | s | | |
| | | | ∐Yes X | No 6b | X |
| If 'Yes' to 6b, file Form 8870. | - | | | | |
| 7a At any time during the tax year, was the foun | | | | , – | |
| b If yes, did the foundation receive any proceed Part VIII : Information About Officers, D | | | | | N/A |
| and Contractors | mectors, Trustees, | | gers, migniy raiu b | Imployees | , |
| 1 List all officers, directors, trustees, foundation | on managers and their | compensation (see inst | ructions). | , | |
| (a) Name and address | (b) Title and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense other allo | account, wances |
| Marjorie B. Schubert | President | 0. | 0. | | 0. |
| 27 Southwind Road | 1.00 | | | | |
| Louisville, KY 40207 | | | | | |
| Carter Lee | Sec/Treas. | 0. | 0. | | 0. |
| 27 Southwind Road Louisville, KY 40207 | 1.00 | | | | |
| R. Allen Schubert | Member at Lq | 0. | 0. | | 0. |
| 27 Southwind Road Louisville, KY 40207 | 1.00 | 0. | 0. | | 0. |
| | | | | | |
| 2 Commention of five binks of maid and a | | | | | |
| Compensation of five highest-paid employee (a) Name and address of each employee | (b) Title and average | (c) Compensation | (d) Contributions to | | |
| paid more than \$50,000 | hours per week devoted to position | (c) Compensation | employee benefit plans and deferred compensation | (e) Expense other allo | wances |
| <u>None</u> | | | os.mponoanon | | |
| | | | | | |
| | | | | | · · · |
| | | | | | |
| | | | | _ | |
| Total number of other employees paid over \$50,000 | 0 | | . | | 0 |
| BAA | TEEA0306L (| 07/06/09 | | Form 990- | -PF (2009) |

| Form 990-PF (2009) The Sam Schubert Foundation 20-044 Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid E and Contractors (continued) | |
|--|---------------------------------|
| 3 Five highest-paid independent contractors for professional services — (see instructions). If none, enter 'NONE.' | |
| (a) Name and address of each person paid more than \$50,000 (b) Type of service | (c) Compensation |
| None | |
| | |
| | |
| | |
| | |
| Total number of others receiving over \$50,000 for professional services | 0 |
| Part IX-A Summary of Direct Charitable Activities | |
| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
| 1 <u>N/A</u> | |
| | |
| 2 | |
| 3 | |
| 4 | · |
| | _ |
| Part IX-B Summary of Program-Related Investments (see instructions) | |
| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. 1 N/A | Amount |
| 2 | |
| All other program-related investments. See instructions. | <u> </u> |
| 3 | |
| Table Add by a 1 through 2 | |
| Total. Add lines 1 through 3 | 0. Form 990-PF (2009) |

| Par | t X Minimum Investment Return (All domestic foundations must complete this part. Fo | reign | foundations, |
|-----|--|---------|---------------------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes: Average monthly fair market value of securities | | 007 500 |
| | | 1 a | 807,582. |
| | Average of monthly cash balances | 1 b | 5,562. |
| | Fair market value of all other assets (see instructions) | 1 c | |
| | Total (add lines 1a, b, and c) | 1 d | 813,144. |
| е | Reduction claimed for blockage or other factors reported on lines 1a and 1c | | |
| _ | (attach detailed explanation) | | • |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 813,144. |
| 4 | Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions) | 4 | 12,197. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 800,947. |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 40,047. |
| Par | Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating and certain foreign organizations check here ► ☐ and do not complete this pa | _ | foundations |
| 1 | Minimum investment return from Part X, line 6 | 1 | 40,047. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 2a 347. | | |
| ь | Income tax for 2009. (This does not include the tax from Part VI.). | | |
| С | Add lines 2a and 2b | 2c | 347. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 39,700. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 | 5 | 39,700. |
| 6 | Deduction from distributable amount (see instructions) | 6 | |
| 7_ | Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 39,700. |
| | Qualifying Distributions (see Instructions) | | |
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes: Expenses, contributions, gifts, etc — total from Part I, column (d), line 26. | 1 a | 50,450. |
| | Program-related investments — total from Part IX-B | 1 b | 30,430. |
| | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes | 2 | |
| | | -+ | |
| | Amounts set aside for specific charitable projects that satisfy the: Suitability test (prior IRS approval required) | 3a | |
| | Cash distribution test (attach the required schedule) | 3 b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 50,450. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 50,450. |
| | Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating wheth qualifies for the section 4940(e) reduction of tax in those years. | her the | foundation |
| BAA | | | Form 990-PF (2009) |

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

| Distributable amount for 2009 from Part XI, line 7 | | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---|----------------------|----------------------------|--------------------|--------------------|
| 2. Undestributed scome, if any, as of the end of 2008: a Enter amount for 2008 only b Total for promy pass: 202020 | | | | | 39 700 |
| a Enter amount for 2008 only b 1041 for proyests: 20 | | | | | 39,100. |
| b Total for prior years: 20 | | | | 49.855. | |
| 3 Excess distributions carryower, if any, to 2009: a From 2005 From 2005 From 2006 From 2007 From 2007 From 2008 1 Total of lines 3a through a O. 4 Qualifying distributions for 2009 from Part XIII, line 4: | - | | 0 | 13,000. | |
| a From 2005 c From 2006 d From 2007 c From 2006 d From 2007 d From 2008 1 Total of lines 3a through a Outlinging distributions for 2009 from Part XII, line 4: ► \$ 5.0, 450. a Applied to 2008, but not more than line 2a b Applied to undistributed income of prior years (Election required — see instructions) d Applied to 2009 distributible amount. e Remaining amount distributed out of corpus (Election required — see instructions) d Applied to 2009 distributible amount. e Remaining amount distributed out of corpus (Election required — see instructions) d Applied to 2009 distributible amount. e Remaining amount distributed out of corpus (I a manuari appears in column (a), the same amount must be shown in column (a), the same amount must be shown in column (a), the same amount of prory years' undistributed line 40 from line 2b. b Priory years' undistributed income. Subtract line 40 from line 2b. c Enter the net total of each column as indicated below: a corpus, 4d lines 3 (4c, and 4c, Subtract line 5 b Priory years' undistributed income. Subtract line 40 from line 2b. c Enter the amount of prory years' undistribution has been issued, or on which the section 494/2(a) tax has been previously assessed d Subtract line 6c from line 6b. Taxable amount — see instructions. e I Indistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed more for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed more for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed more for 2009. Subtract lines 4d and 5 from line 6 from line 6 from line 6 in 10 from 10 from 5 or line 7 (see instructions) 9 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions) 9 Excess from 2005 b Excess from 2006 c Excess from 2007 d Excess from 2008 | | | | | |
| b From 2005 c From 2006 d From 2007 e From 2008 1 Total of lines 3a through e 4. Qualifying distributions for 2009 from Part XII, line 4: F\$ 50, 450. a Applied to 2008, but not more than line 2a 4 Applied to 2008, but not more than line 2a 5 Applied to undistributed income of prior years (Election required — see instructions) 6 I Treated as distributions out of corpus (Clection required — see instructions) 7 A Treated as distributions of the corpus (If an amount appears in column (a), the same amount most be shown in column (a), 6 Enter the net total of each column as indicated below: a Corpus, All lines 3I, 4c, and 4s. Subtract line 5 6 Prory years' undistributed income. Subtract line 4b from line 2b 6 Subtract line 6b from line 6b Taxable amount — see instructions. 6 Undistributed income for 2008. Subtract lines 4d and 5 from line 1. This amount must be distributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed income for 2009. Subtract lines 4d and 5 from line 6 from lin | | | | | |
| From 2006 d From 2007 e From 2008 1 Total of lines 3a through e 1 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 50, 450. A Applied to 2008 distributions for 2009 from Part XII, line 4: ► \$ 50, 450. A Applied to undistributed income of prior years (Election required — see instructions) Treated as distributions out of corpus Flexible as distributions out of corpus Cliection required — see instructions) 4 Applied to 2009 distributible amount. Remaining amount distributed out of corpus. 5 Excess distributions carryover applied to 2005 (iff an amount appears in column (a), the same amount mays be shown in column (a), the same amount must be shown in column (a).) 6 Enter the net total of each column as indicated below: a Corpus, Add lines 3!, 4c, and 4c, Subtract line 5 b From years' undistributed income. Subtract line 4b from line 2b. From years' undistributed income. Subtract line 5 d A Subtract line 6c from line 6b Taxable amount — see instructions. 4 Undistributed income for 2008. Subtract line 4d and 5 from line 1. This amount must be distributed income for colos. Subtract lines 4d and 5 from line 1. This amount must be distributed income for 2008. Subtract lines 4d and 5 from line 1. This amount must be distributed income for 2008. Subtract lines 4d and 5 from line 1. This amount must be distributed income for 2008. Subtract lines 4d and 5 from line 1. This amount must be distributed income for 2008. Subtract lines 4d and 5 from line 1. This amount must be 339, 105. Amounts lessed as distributions out of special positions. 6 Undistributed income for 2009. Subtract lines 4d and 5 from line 6 lines 7 and 8 from line 6 lines 7 and 8 from line 6 lines 7 and 8 from line 6 lines 7 and 8 from line 6 lines 7 and 8 from line 6 lines 7 and 8 from line 6 lines 7 and 8 from line 6 lines 7 and 8 from line 6 lines 7 and 8 from line 6 lines 7 and 8 from line 6 lines 8 lines 8 lines 8 lines 8 lines 8 lines 8 lines 8 lines 8 lines 8 lines 8 lines 8 lines 8 lines 8 lines 8 lines 8 lines 8 lines 8 l | | | | | |
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| d Applied to 2009 distributable amount. e Remaining amount distributed out of corpus. 595. Excess distributions carryover to 2010. Gli an amount appears in column (a), the same amount must be shown in column (a), and an amount appears in column (a), the same amount must be shown in column (a), and a sindicated below: a Corpus. Add lines 31, 4c, and 4e. Subtract line 5 b Prior years' undistributed income. Subtract line 4b from line 2b from line 2b line 4b from line 2b line 5b li | c Treated as distributions out of corpus (Election required – see instructions) | 0. | | | , |
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| ed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount — see instructions. e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions. f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to salisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions). 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: a Excess from 2005 b Excess from 2006 c Excess from 2007 detected. | | | | | |
| d Subtract line 6c from line 6b Taxable amount — see instructions. e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions. f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions). 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: a Excess from 2005 b Excess from 2006 c Excess from 2007 d Excess from 2008 | ed income for which a notice of deficiency | | | | ì |
| d Subtract line 6c from line 6b Taxable amount — see instructions e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions. f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions). 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: a Excess from 2005 b Excess from 2005 c Excess from 2007 d Excess from 2008 | 4942(a) tax has been previously assessed | | 0.1 | | |
| amount – see instructions. e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions. f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions). 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: a Excess from 2005 b Excess from 2006 c Excess from 2007 d Excess from 2008. | | | | | |
| e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions | | | 0.1 | | |
| Inne 2a. Taxable amount — see instructions | | | | | |
| f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions). 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: a Excess from 2005 b Excess from 2006 c Excess from 2007 d Excess from 2008. | | | | n l | |
| 4d and 5 from line 1. This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions) 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: a Excess from 2005 b Excess from 2006 c Excess from 2007 d Excess from 2008 | | | | | |
| distributed in 2010 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions) 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: a Excess from 2005 b Excess from 2006 c Excess from 2007 d Excess from 2008. | f Undistributed income for 2009. Subtract lines | | | | |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) 0. 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions) 0. 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 0. 10 Analysis of line 9: a Excess from 2005 b Excess from 2006 c Excess from 2007 d Excess from 2008. | distributed in 2010 | | | | 39 105 |
| corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) 0. 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions) 0. 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 0. 10 Analysis of line 9: a Excess from 2005 b Excess from 2006 c Excess from 2007 d Excess from 2008. | | | | | 37,103. |
| (see instructions) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions). 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: a Excess from 2005 b Excess from 2006 c Excess from 2007 . d Excess from 2008. | corpus to satisfy requirements imposed | | | Ì | |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions). 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: a Excess from 2005 b Excess from 2006 c Excess from 2007 . d Excess from 2008. | by section 1/0(b)(1)(F) or 4942(g)(3) (see instructions) | n | | | |
| applied on line 5 or line 7 (see instructions). 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: a Excess from 2005 b Excess from 2006 c Excess from 2007 . d Excess from 2008. | , | - 0. | | | |
| 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 0. 10 Analysis of line 9: a Excess from 2005 b Excess from 2006 c Excess from 2007 . d Excess from 2008. | 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions) | n | | 1 | |
| Subtract lines 7 and 8 from line 6a | · · | <u></u> | | | |
| a Excess from 2005 | 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a | 0. | | | |
| b Excess from 2006 c Excess from 2007 . d Excess from 2008. | 10 Analysis of line 9: | | | | |
| c Excess from 2007 . d Excess from 2008. | a Excess from 2005 | | | | |
| d Excess from 2008. | b Excess from 2006 | | j | | } |
| | c Excess from 2007 . | | | j | |
| e Excess from 2009 . | d Excess from 2008. | | | | |
| | e Excess from 2009 . | | | | _ |

| Form 990-PF (2009) The Sam Schubert | | | | 20-044757 | 7 Page 10 N/A | |
|---|-----------------------|-------------------------------|------------------------|-----------------------|-------------------|--|
| Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling | | | | | | |
| is effective for 2009, enter the date of the | ruling | | | n, and the ruling | | |
| b Check box to indicate whether the foundate | tion is a private ope | erating foundation d | lescribed in section | 4942(j)(3) or | 4942(j)(5) | |
| 2a Enter the lesser of the adjusted net | Tax year | | Prior 3 years | | | |
| income from Part I or the minimum investment return from Part X for each year listed | (a) 2009 | (b) 2008 | (c) 2007 | (d) 2006 | (e) Total | |
| b 85% of line 2a | | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | | |
| a 'Assets' alternative test - enter: | | | | | | |
| (1) Value of all assets | | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | | |
| b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | : | | | | | |
| c 'Support' alternative test - enter: | | | | | | |
| Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942()(3)(B)(III). | | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | | |
| (4) Gross investment income | | ' | | | | |
| Part XV Supplementary Information assets at any time during the | (Complete this | part only if the structions.) | organization ha | id \$5,000 or moi | re in | |
| 1 Information Regarding Foundation Mana a List any managers of the foundation who close of any tax year (but only if they have | have contributed me | ore than 2% of the | total contributions r | eceived by the found | dation before the | |
| See Statement 6 | e contributed more | man \$5,000). (See | section 507(d)(2).) | | | |
| b List any managers of the foundation who | own 10% or more o | f the stock of a cor | poration (or an equa | ally large portion of | the ownership of | |
| a partnership or other entity) of which the None | foundation has a 1 | 0% or greater intere | est. | any lange permane | | |
| 0 lefe | 10:01 0: | Involve at D | | | | |
| 2 Information Regarding Contribution, Gran Check here ► X if the foundation only requests for funds If the foundation make complete items 2a, b, c, and d. | nakes contributions | to preselected cha | ritable organizations | | | |
| a The name, address, and telephone number | er of the person to v | whom applications s | should be addressed | | | |
| a The hame, address, and telephone hambe | or the person to | mom apphoations t | silodia de adaresse. | . | | |
| | | | | | | |
| b The form in which applications should be | submitted and infor | mation and materia | ls they should inclu | de: | | |
| | | ·-·· | | <u> </u> | | |
| c Any submission deadlines: | | | | | | |
| d Any restrictions or limitations on awards, | such as by geograp | hical areas, charita | ble fields, kinds of i | nstitutions, or other | factors: | |
| | | | | | | |

Part XV | Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual. Foundation show any relationship to any foundation manager or substantial contributor Recipient Purpose of grant or contribution status of recipient Amount Name and address (home or business) a Paid during the year Shepherd's House N/A 509a2 General Operating 10,000. 154 Bonnie Brae Drive Fund Lexington, KY 40508 Louisville Collegiate School N/A 509a2 Capital Campaign 13,000. 2427 Glenmary Avenue Pledge Louisville, KY Genearl support & lacrosse Trinity High School Foundation N/A 509a1 Tennis invitational 7,000. 4011 Shelbyville Road tournament uniforms. Louisville, KY 40207 Breaking New Grounds Inc. 509a1 N/A General Operating 10,000. PO Box 7348 Fund Louisville, KY 40257 Healing Place Inc N/A 509a1 General Operating 10,000. 1020 W. Market Street Fund Louisville, KY 40202 50,000. 3a **b** Approved for future payment

Total

3ь

Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | | Unrelate | d business income | Excluded | l by section 512, 513, or 514 | | | |
|---|---|--|----------------------|--|--|---|--|--|
| 1 Progr | ram service revenue: | (a) Business code | (b) Amount | (c) Exclu- sion | (d) Amount | (e) Related or exempt function income | | |
| | | | | code | | (see the instructions) | | |
| | | | | | | | | |
| c | | | | | | | | |
| d | | | | i | | | | |
| е | | 1 | | | | | | |
| f | | | | | | | | |
| g Fees | and contracts from government agencies | | | | | | | |
| 2 Mem | bership dues and assessments | | | | | | | |
| 3 Interes | st on savings and temporary cash investments | | | | <u>. </u> | | | |
| | ends and interest from securities | | | 14 | 24,447. | | | |
| | ental income or (loss) from real estate: | <u> </u> | | ļ | | | | |
| | financed property | - | | | | - · · · · · · · · · · · · · · · · · · · | | |
| | lebt-financed property | | | <u> </u> | | <u> </u> | | |
| | ntal income or (loss) from personal property | ļ | | | | | | |
| | r investment income | | | 10 | 6 007 | | | |
| | r (loss) from sales of assets other than inventory | | | 18 | -6,927. | • | | |
| | ncome or (loss) from special events s profit or (loss) from sales of inventory | | | ļ | | | | |
| 11 Other | · · · · · · · · · · · · · · · · · · · | | | | | | | |
| | eral Tax Refund | | | 1 | 74. | | | |
| | | | | 1 | | | | |
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| | otal. Add columns (b), (d), and (e) | | | 1 1 | 17,594. | | | |
| 12 Subto | otal. Add columns (b), (d), and (e) . Add line 12, columns (b), (d), and (e) | | | <u> </u> | 17,594. 13 | 17,594. | | |
| 12 Subto | | alculations.) | | | | 17,594. | | |
| 12 Subto 13 Total (See works | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify ca | | shment of Exemp | t Purpo | 13 | 17,594. | | |
| 12 Subto 13 Total (See works Part XVI- Line No. | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify ca | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- Line No. | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |
| 12 Subto 13 Total (See works Part XVI- | Add line 12, columns (b), (d), and (e) sheet in the instructions for line 13 to verify carbon Relationship of Activities to the | Accompli | <u> </u> | | oses | | | |

| | , | Exempt Organ | izations | | | _ | • | | | |
|----|------------------|--|--|---|---|--|--|---|----------------------|---------------|
| | 1 Did th | ne organization directly | or indirectly e | engage in any of th | e following with | any other orga | anization | | Yes | No |
| | • descr | ibed in section 501(c) one to political organization | of the Code (c | ther than section 5 | 01(c)(3) organiz | ations) or in s | ection 527, | | | |
| | | fers from the reporting | | a noncharitable e | xempt organizat | ion of: | | | | . |
| | (1) C | | | | _ | | | 1a(1) | | X |
| | (2) 0 | ther assets | | | | | | 1a (2) | | X |
| | | transactions: | | | | | | | | |
| | | ales of assets to a non | | | | | | 1 b (1) | | <u>X</u> |
| | | urchases of assets from | | | | | •• | 1 b (2) | | X |
| | | ental of facilities, equip | | er assets . | | • | | 1 b (3) | | <u>X</u> |
| | | eimbursement arrange oans or loan guarantee | | • • | | • | | 1 b (4) | | X |
| | | erformance of services | | | | | | 1 b (5) 1 b (6) | | X |
| | | ng of facilities, equipme | | | | | • • • • | 1c | | $\frac{x}{x}$ |
| | | | | | | | | | | - |
| | d If the | answer to any of the a | bove is 'Yes,' | complete the following for | wing schedule. | Column (b) sho | ould always show the fair i | narket val | ue of | |
| _ | any tr | ansaction or sharing a | rrangement, s | how in column (d) | the value of the | goods, other | ceived less than fair marke assets, or services receive | d. | | |
| _ | a) Line no. | (b) Amount involved | (c) Name | of noncharitable exempt | organization | (d) Description | on of transfers, transactions, and | sharing arrar | gement | s |
| N | /A | | | | | | | | , | |
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| _ | | | <u>. </u> | | | | | | | |
| | 2a Is the descri | foundation directly or ibed in section 501(c) of | indirectly affili of the Code (o | ated with, or relate ther than section 5 | d to, one or mo | re tax-exempt | organizations | . \square Yes | X | No |
| | | s,' complete the followi | | | 0.(0)(0)) 0 0 | 0001. 027. | | | [21] | 10 |
| | | (a) Name of organizati | on | (b) Type o | f organization | | (c) Description of rela | ationship | | |
| N | /A | | | | | | | | | |
| | | | | | | | | | | |
| _ | | | | | | | | | _ | |
| _ | | _ | | | | | | | | |
| _ | Under see | the of course I declare that I | h | | | | | | | |
| | complete [| Declaration of preparer (other t | han taxpayer or fic | is return, including accon luciary) is based on all in | npanying schedules a formation of which pr | nd statements, and eparer has any kno | to the best of my knowledge and b wledge | elief, it is true | , correct | , and |
| | | | | | | . 2 | 2 1 | | | |
| , | - | LAND | | | | 1-28 | -2010 - they by | | | |
| | Signa | ture of officer or trustee | - . - | | | Date | Title | | | |
| | ם: ב | Preparer's | MAD. | 11 | 01- | Date | | irer's Identifyi Signature in | ng numb the instr | er s) |
| | Paid Pre- | signature | Yarv | · · · · · · · · · · · · · · · · · · · | PA | 3.25 | self- employed > X N/I | 1 | | |
| | parer's Use | Firm's name (or yours if self- | | erling | | | EIN ► N/A | | | |
| | Only | employed), address, and | | , Suite 200 | | | | _, | | _ |
| _ | | ZIP code Houst | con, TX / | 7027-5132 | | | Phone no ► (71 | 3) 439 | | |
| si | 4A | | | | | | | Form 99 | J-PF (| 2009) |

| 2009 | Federal Statements | Page 1 |
|---|--|---|
| | The Sam Schubert Foundation | 20-0447577 |
| Statement 1 Form 990-PF, Part I, Line 11 Other Income | (a) (b) Net | (c) |
| Federal Tax Refund | Revenue Investment per Books Income \$ 74. Total \$ 74.\$ 0. | Adjusted Net Income |
| Statement 2 Form 990-PF, Part I, Line 16b Accounting Fees | | |
| Tax compliance | (a) (b) Net (c) Expenses Investment Adjusted per Books Income Net Income \$ 900. \$ 450. Total \$ 900. \$ 450. | (d) Charitable Purposes \$ 450. \$ 450. |
| Statement 3 Form 990-PF, Part I, Line 16c Other Professional Fees | | · |
| Investment management fees | (a) (b) Net (c) Expenses Investment Adjusted per Books Income Net Income \$ 6,005. \$ 6,005. Total \$ 6,005. | (d) Charitable Purposes \$ 0. |
| Statement 4 Form 990-PF, Part I, Line 18 Taxes | | |
| Foreign tax | (a) (b) Net (c) Expenses Investment Adjusted Potal \$ 623. \$ 623. Total \$ 623. \$ 623. | (d) Charitable Purposes \$ 0. |
| | | |

20-0447577

Statement 5 Form 990-PF, Part II, Line 10b Investments - Corporate Stocks

| Corporate Stocks | Valuation <u>Method</u> | Book Value | Fair Market Value |
|---------------------------------------|----------------------------|---------------|----------------------|
| 600 shs Abbott Labs | Cost | \$ 24,732. | \$ 32,394. |
| 800 shs Altria Group | Cost | 9,701. | 15,704. |
| 300 shs American Express | Cost | 12,549. | 12,156. |
| 100 shs Apple Inc | Cost | 12,322. | |
| 200 shs Automatic Data Processing Inc | Cost | 8,386. | 8,564. |
| 500 shs Bank of America | Cost | 19,688. | |
| 100 shs Becton Dickinson & Co | Cost | 7,759. | 7,886. |
| 200 shs Caterpillar Inc. | Cost | 12,482. | |
| 320 shs Chevron Corporation | Cost | 13,546. | 24,637. |
| 700 shs Cisco Systems Inc | Cost | 26,540. | |
| 925 shs Coca Cola Co | Cost | 46,153. | |
| 350 shs Conoco Phillips | Cost | 14,868. | |
| 1040 shs Exxon Mobil Corp | Cost | 42,393. | |
| 250 shs Fluor Corp New | Cost | 10,761. | |
| 90 shs Franklin Resources Inc | Cost | 9,651. | |
| 100 shs General Dynamics Corp | Cost | 8,810. | |
| 950 shs General Electric Co | Cost | 30,161. | |
| 283 shs HSBC Holdings | Cost | 18,376. | 16,156. |
| 430 shs Halliburton Co | Cost | 14,143. | 12,939. |
| 900 shs Intel Corp | Cost | 28,831. | |
| 30 shs Intuitive Surgical Inc New | Cost | 8,623. | 9,100. |
| 377 shs JP Morgan Chase & Co | Cost | 15,140. | 15,710. |
| 550 shs Johnson & Johnson | Cost | 29,078. | 35,426. |
| 250 shs Estee Lauder Companies Inc | Cost | 9,947. | |
| 200 shs McDonalds Corp | Cost | 11,597. | |
| 250 shs Medtronic Inc | Cost | 13,022. | |
| 300 shs Merck & Co Inc. | Cost | 14,588. | |
| 1400 shs Microsoft Corp | Cost | 38,326. | |
| 200 shs Microchip Technology Inc | Cost | 6,440. | 5,812. |
| 200 shs National-Oilwell Varco Inc | Cost | 13,158. | |
| 900 shs Nestle SA-Sponsored ADR | Cost | 24,015. | 43,705. |
| 200 shs Novo Nordisk | Cost | 12,605. | |
| 200 shs Occidental Pete Corp | Cost | 6,026. | 16,270. |
| 225 Peabody Energy Corporation | Cost | 9,310. | 10,172. |
| 470 shs Pepsico Inc | Cost | 21,725. | 28,576. |
| 900 shs Philip Morris International | Cost | 27,317. | |
| 220 shs Praxair Inc | Cost | 10,873. | 17,668. |
| 600 shs Procter & Gamble Co | Cost | 29,983. | 36,378. |
| 200 shs Qualcomm Inc | Cost | 7,538. | |
| 300 shs Royal Dutch Shell PLC | Cost | 14,542. | |
| 270 shs Sysco Corp | Cost | 8,704. | 7,544. |
| 300 shs Target Corp | Cost | 12,660. | 14,511. |
| 360 shs Texas Instruments Inc | Cost | 11,680. | 9,382. |
| 300 shs Total S.A. | Cost | 18,490. | 19,212. |
| 190 shs United Technologies Corp | Cost | 11,873. | 13,188. |
| 200 shs Wal-Mart Stores Inc | Cost | 9,933. | 10,690. |
| 500 shs Walgreen Co | Cost | 17,358. | 18,360. |
| 104 shs Transocean Ltd | Cost | 8,478. | 8,608. |
| 500 shs ABB Ltd | Cost | 14,084. | 9 <u>,55</u> 0. |
| | Total | \$ 808,965. | \$ 909,060. |

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The Sam Schubert Foundation

20-0447577

Statement 6
Form 990-PF, Part XV, Line 1a
Foundation Managers - 2% or More Contributors

Marjorie B. Schubert R. Allen Schubert