Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 1/1 **, 2009, and ending** 12/31 **, 2009**

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: StC Harvest Foundation

Number and street (or P O box number if mail is not delivered to street address): 2504 N. Bingham ST.

Room/suite:

City or town, state, and ZIP code: Cornwall, VT 05753

A Employer identification number 20 0443423

B Telephone number (see page 10 of the instructions) 802-462-2260

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $

J Accounting method. ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	59,136*			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	22,730	22,730	22,730	
	4	Dividends and interest from securities	66,352	66,352	66,352	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	42,473			
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		42,473		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	15,300	15,300	15,300	
	12	**Total.** Add lines 1 through 11	205,991	146,855	104,382	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	215	215	215	215
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	2,888	2,888	2,888	2,888
	24	**Total operating and administrative expenses.** Add lines 13 through 23	3,103	3,103	3,103	3,103
	25	Contributions, gifts, grants paid	105,500			105,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	108,603	3,103	3,103	108,603
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	97,388			
	b	**Net investment income** (if negative, enter -0-)		143,752		
	c	**Adjusted net income** (if negative, enter -0-)			101,279	

* Griss Family Charitable Lead Annuity Trust 2009 Contribution.

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	234,206	111,468	111,468
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2,064,294	2,284,420	2,342,806
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	2,298,500	2,395,888	2,454,274
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	2,298,500	2,395,888	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	2,298,500	2,395,888	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,298,500	2,395,888	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,298.500
2	Enter amount from Part I, line 27a	2	97388
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,395,888
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,395,888

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	General Motors Accept. Corp. Note	P	5/26/04	5/15/09
b	Precision CastParts Common Stock	D	12/8/03	4/27/09-12/14/09
c	Vanguard Dividends (see attached)	P	various	various
d	Vanguard Brokerage (see attached)	P	various	various
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	150,000		150,816	(816)
b	57,613		16,109	41,504
c	see attached			777
d	See attached			1,008
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 42,473

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).
If (loss), enter -0- in Part I, line 8 . . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	105,204	2,141,334	.049
2007	114,363	2,250,447	.050
2006	114,040	2,250,478	.050
2005	116,779	2,282,464	.051
2004	119,162	2,239,195	.053

2	**Total** of line 1, column (d)	2	0.253
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.051
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,147,934
5	Multiply line 4 by line 3	5	109,545
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,438
7	Add lines 5 and 6	7	110,983
8	Enter qualifying distributions from Part XII, line 4	8	108,603

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter: ______ (attach copy of letter if necessary—see instructions)					
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	2,875	—
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)					
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2		
3	Add lines 1 and 2			3	2,875	—
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4		
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	2,875	—
6	Credits/Payments.					
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a				
b	Exempt foreign organizations—tax withheld at source	6b				
c	Tax paid with application for extension of time to file (Form 8868)	6c				
d	Backup withholding erroneously withheld	6d				
7	Total credits and payments. Add lines 6a through 6d			7	0	—
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8		
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	2,875	—
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	0	—
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶	**Refunded** ▶		11	0	—

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ ______			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶

14 The books are in care of ▶ Charles W. Grigg Telephone no. ▶ 802-462-2260

Located at ▶ 2504 N. Bingham st, Cornwall, VT ZIP+4 ▶ 05753

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly): **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☐ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . . ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) . . ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here . . . . . . ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . **6b**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Charles W. Grigg (Pres. Trustee)	2 hrs.	0	0	0
Susan K. Grigg (VP, Sec, Treasurer)	1 hrs.	0	0	0
2504 N. Bingham St., Cornwell, VT 05753 Donald W. Grigg (Trustee)	1 hr.	0	0	0
5208 Piney Hollow Ct. Durham, N.C 27705 Sally G. Olmsted (Trustee)	1 hr	0	0	0
167 Fowler Rd. Adamant, VT 05640 Laurie D. Grigg (Trustee)	1 hr	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

1051 Jack Hill Rd., E. Calais, VT 05650

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over $50,000 . . . . ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 Making contributions to 23 worthy 501(c)3 charities To perform programs That will make This a better world.	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 Environmental Conservation	20,000
2 Church	15,000
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 ▶	25,000

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	2,124,578
b	Average of monthly cash balances			1b	56,066
c	Fair market value of all other assets (see page 24 of the instructions)			1c	
d	**Total** (add lines 1a, b, and c)			1d	2,180,644
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e			
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	2,180,644
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)			4	32,710
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	2,147,934
6	**Minimum investment return.** Enter 5% of line 5			6	107,397

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	107,397
2a	Tax on investment income for 2009 from Part VI, line 5	2a	2,875		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	2,875
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	104,522
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	104,522
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	104,522

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	108,603
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	108,603
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	108,603

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				104,522
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only				
b Total for prior years: 20____,20____,20____				
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f **Total** of lines 3a through e	0			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ $ ______				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d Applied to 2009 distributable amount				104,522
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions		0		
e Undistributed income for 2008 Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0	0	0	0	0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed	0	0	0	0	0
d Amounts included in line 2c not used directly for active conduct of exempt activities	0	0	0	0	0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets	0	0	0	0	0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)	0	0	0	0	0
b "Endowment" alternative test—enter ²/₃ of minimum investment return shown in Part X, line 6 for each year listed	0	0	0	0	0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)	0	0	0	0	0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)	0	0	0	0	0
(3) Largest amount of support from an exempt organization	0	0	0	0	0
(4) Gross investment income	0	0	0	0	0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed: 802-462-2260

Charles W. Grigg; 2504 N. Bingham ST. Cornwall, VT 05753

b The form in which applications should be submitted and information and materials they should include:

Any form which describes fully The purpose of The request

c Any submission deadlines:

By December 1st

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Prefer local Vermont charities

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Calais Elementary School Plainfield, VT		501(c)3	Improve lunch program	#5,000
Foodworks Montpelier, VT		501(c)3	Buy food for poor people	10,000 ~~5,000~~
Central Vermont Community Action Council Barre, VT		501(c)3	Help poor people buy heating oil	4,000
University of Vermont Vermont Farm Women's Fund		501(c)3	Help farm women To obtain education To expand Their business	1,000
Vermont Youth Conservation Corps. Richmond, VT		501(c)3	Buy equipment To complete conservation program	5,000
North Branch Nature Center Montpelier, VT		501(c)3	Support nature programs in schools	2,500
Union of Concerned Scientist Cambridge, MA		501(c)3	Fund progress on renewable energy	2,500
Addison County Parent/Child Center. Middlebury, VT		501(c)3	Help poor mothers raise children	10,000
Total ▶ 3a				46,000
b *Approved for future payment*			continue next page	
Total ▶ 3b				0

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Champlain Valley Unitarian Universalist Society Middlebury, VT		501(c)3	Help build a new church sanctuary	15,000
Counseling Service of Addison County, Inc. Middlebury, VT		501(c)3	Build a new building To house counseling service staff.	5,000
Vermont Public Television Colchester, VT		501(c)3	Support public Television	1,000
Vermont Foodbank South Barre, VT		501(c)3	Help feed needly people	1,000
Vermont Campaign To End Childhood Hunger Burlington, VT		501(c)3	Help feed needy children	2,500
The Nature Conservancy Montpelier, VT		501(c)3	Pursue conservation projects	10,000
Vermont Land Trust Montpelier, VT		501(c)3	Pursue conservation projects	5,000
Total ▶ 3a				39,500
b *Approved for future payment*			continue next page	
Total ▶ 3b				0

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
International Rescue Committee, New York, N.Y.		501(c)3	Provide aid to needy people	1,000
Doctors Without Borders New York, N.Y.		501(c)3	Provide medical help to needy people	1,000
Haiti Outreach Ministries Sumner, ME		501(c)3	Provide aid for poor in Haiti	10,000
Reality Ministries Durham, N.C.		501(c)3	Help needy people	2,500
Blacknall Memorial Presbyterian church, Durham, N.C.		501(c)3	Support church	2,000
Youth Conference Ministries Chattanooga, TN		501(c)3	Help educate young people	1,000
Prison Match of N.C., Inc. Raleigh, NC		501(c)3	Help prisoners see their family	1,000
Durham Academy Durham, NC		501(c)3	Support the school	500
Total . . . . ▶ 3a				19,000
b *Approved for future payment*			continue next page	
Total . . . . ▶ 3b				0

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Triangle Land Conservancy Raleigh, N.C.		501(c)3	Support land conservancy	$500
Girls on The Run Durham, N.C.		501(c)3	Help girls live a healty life	500
Christian Ministries Foundation, Brunswick, GA		501(c)3	Support christian ministries	5,000
Bucknell University Lewisberg, PA		501(c)3	Support college activities	1,000
Total . . . . ▶ 3a				7,000
b *Approved for future payment*				
Total . . . . ▶ 3b				0

Total gifts = $105,500

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue·					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 ____________

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Charles W. Grigg | 4/8/10 | President

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature	Date	Check if self-employed ▶ ☐	Preparer's identifying number (see **Signature** on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code		EIN ▶	
		Phone no	

Exhibit #1

S+C Harvest Foundation
2009 Financial Data

Income 2009

	Interest	Dividend	Capital Gains	Other	Total
Chittenden	$51	$	$	$	$51
Smith Barney	22,679	2,028	40,688	1,567	66,962
Vanguard		50,270	777		51,047
Gabelli		3,334		2,400	5,734
Penn Engr.					0
West. Pneu.				2,242	2,242
Vang. Brokerage (1)		5,082	504	2975 2,915	11,476
Vang. Brokerage (2)	———	5,638	504	3,201	9,343
Totals	22,730	66,352	42,473	15,300*	146,855

Expenses 2009

Legal fees (Gravel and Shea)	$215
Bank fees	23
Excise Tax	2,757
Penalty	510
Penalty refund	(402)
Total	3,103

Charitable Contributions (see attached)	$105,500

* Includes Partnership distributions and nondividend income

Exhibit #2

S+C Harvest Foundation
2009 Monthly Market Value of Assets

Assets	1/1/09	1/31/09	2/28/09	3/31/09	4/30/09	5/31/09	6/30/0
Bank Accounts							
Chittenden Cash	$38,811	$13,811	$13,811	$11,311	$11,311	$11,311	$7,339
Chittenden MM	195,395	19,412	19,414	14,416	14,418	34,414	19,416
Total	234,206	33,223	33,225	25,727	25,729	45,725	26,75
Smith Barney	456,945	410,726	349,686	371,805	420,708	423,348	435,36
Gabelli	222,723	215,640	188,192	199,409	214,785	229,400	234,49
Vanguard	1,041,534	1,196,393	1,135,472	1,166,928	1,229,953	1,209,085	1,287,50
PE Holding Co.	100,000	120,075	120,075	120,075	120,075	120,075	120,075
Western Pneu.	29,450	29,450	29,450	29,450	29,450	29,450	29,450
Total Securities	2,084,858	2,005,507	1,856,100	1,913,394	2,040,700	2,057,083	2,133,6

Exhibit # 2a

S & C Harvest Foundation
2009 Monthly Market Value of Assets

Assets	7/31/09	8/31/09	9/30/09	10/31/09	11/30/09	12/31/09
Bank Accounts						
Chittenden Cash	$7,339	$7,339	$7,339	$6,829	$101,822	$42,893
Chittenden MM	14,418	14,422	14,427	14,432	4,433	68,575
Total	21,757	21,761	21,766	21,261	106,255	111,468
Smith Barney	455,796	459,051	473,616	474,710	388,419	413,782
Gabelli	249,011	265,473	275,240	270,749	287,460	287,617
Vanguard	1,355,502	1,376,992	1,401,513	1,424,760	1,466,370	1,478,365
PE Holding Co.	120,075	120,075	120,075	120,075	120,075	133,592
Western Pneu.	29,450	29,450	29,450	29,450	29,450	29,450
Total Securities	2,231,591	2,272,802	2,341,660	2,341,005	2,378,029	2,454,27

Average of Monthly Cash $728,858 / 13 = $56,066
Average of monthly securities 27,619,511 / 13 = 2,124,578
Average of monthly cash + securities $28,348,369 / 13 = $2,180,644

S & C HARVEST FOUNDATION, INC
Account Number 641-35285-11 360

Details of Earnings 2009

Interest

Interest amounts include accrued interest received and interest exempt from Federal income tax.

Reference number	Description	Interest	Alternative minimum tax
140000100	BAC CAPITAL TRUST X 6.25% PFD	$ 3,125.00	
140000200	CITIGROUP CAPITAL XVII 6.35% PFD	6,032.52	
140000300	GENERAL ELEC CAP CORP 6.05%	3,025.00	
140000400	GENERAL MOTORS ACCEPT CORP GLOBAL NOTES BOOK/ENTRY DTD 5/25/04 DUE 05/15/2009 RATE 5.625	4,218 75	
140000500	WACHOVIA CAP TRUST IV 6.375%	1,275.00	
140000600	WELLS FARGO CAPITAL XI 6.25%	5,003.12	
Totals		**$ 22,679.39**	

Dividends and Distributions, Section 1

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax.
"Total dividends" includes both Qualified and non-qualified dividends received
"Total capital gain distributions" is a total of long term capital gain distributions, Unrecaptured Sec. 1250 gain and Section 1202 gain

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec 1250 gain	Section 1202 gain	Alternative minimum tax
160000100	UNITS CLAY GUGGENHEIM INTERMEDIATE INVESTMENT GRADE CORPORATE TRUST SERIES 3	$ 1,379.05*						
160000200	WESTERN ASSET MONEY MARKET FUND CLASS A SHARES (EX)	102.92						
160000300	NUTRI/SYSTEM INC	525.00	525.00					
160000400	WESTERN ASSET MONEY MARKET FUND CLASS A	82.85						
160000500	PRECISION CASTPARTS CORP	37.50	37 50					
Totals		**$ 2,127.32**	**$ 562.50**					

(99.34)* Divd. paid
2028

Details of Earnings 2009 - *continued*

Dividends and Distributions, Section 2

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax.

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160000100	UNITS CLAY GUGGENHEIM INTERMEDIATE INVESTMENT GRADE CORPORATE TRUST SERIES 3	$ 506 45					
Totals		**$ 506.45**					

Details of Additional Summary Information 2009

Other Income

This amount represents distributions with respect to investments such as limited partnerships, and certain trusts, which are subject to IRS Schedules K-1 reporting. Schedules K-1 are issued directly by the partnership or trust.

Reference number	Description	Amount	Federal Income tax withheld	NRA tax withheld	Foreign tax withheld
170000100	NUSTAR ENERGY L.P COM UNITS REPSTG LTD PRTNR INT	$ 1,061.25			
Totals		**$ 1,061.25**			

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.
For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000010	2,000	GENERAL ELEC CAP CORP 6.05% STRIP YIELD = 6.330% 6.0500% DUE 02/06/2047 NEXT CALL:02/06/12 AT 25 000	01/30/07	12/14/09	$ 48,123.55	$ 50,000.00 **$ 50,000.00**	($ 1,876.45) **($ 1,876 45)**	$ 0.00 $ 0.00

S & C HARVEST FOUNDATION, INC
Account Number 641-35285-11 360

Details of Long Term Gain (Loss) 2009 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000020	100,000	GENERAL MOTORS ACCEPT CORP	05/25/04	05/15/09	$ 100,000.00	$ 100,469.00	($ 469 00)	$ 0.00
		GLOBAL NOTES BOOK/ENTRY				**$ 100,000.00**	**$ 0.00**	$ 0.00
	50,000	DTD 5/25/04	05/26/04		50,000.00	50,347.00	(347.00)	0 00
		DUE 05/15/2009 RATE 5.625				**50,000.00**	**0.00**	0.00
125000030	200	PRECISION CASTPARTS CORP	Unavailable	04/27/09	14,232 07			
125000040	250	PRECISION CASTPARTS CORP	12/08/03	05/08/09	20,834.26	.01 e	20,834.25	0.00
						.01	**20,834.25**	0.00
125000050	200	PRECISION CASTPARTS CORP	12/08/03	12/14/09	22,546.41	.01 e	22,546.40	0.00
						.01	**22,546 40**	0 00
Total						$ 200,816.02	$ 40,688.20 ✓	$ 0.00
					$ 255,736.29	**$ 200,000.02**	**$ 41,504.20**	$ 0.00

[e] *Based on information supplied by Client or other financial institution, not verified by us*

Details of Accrued Income 2009

This section shows your accrued income paid or received as a result of purchases and sales.

Reference number	Transaction Description	Trade Date	Interest Paid	Interest Received
120000100	UNITS CLAY GUGGENHEIM INTERMEDIATE INVESTMENT GRADE CORPORATE TRUST SERIES 3	05/20/09	$ 99.30	
Total			**$ 99 30**	

2009 Form 1099-DIV
Dividends and Distributions

Vanguard®
P O BOX 2600 • VALLEY FORGE, PA 19482-2600

PAGE 1 OF 1 1-800-345-1344 R LEE

S & C HARVEST FOUNDATION INC
2504 N BINGHAM ST
CORNWALL VT 05753

5719

RECIPIENT'S identification number
20-0443423

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
Department of the Treasury - Internal Revenue Service

Fund Name		PAYER'S federal identification number	Recipient Account number			
Box 1a: Total ordinary dividends	Box 1b: Qualified dividends	Box 2a: Total capital gain distr.	Box 2b: Unrecap. Sec. 1250 gain	Box 3. Nondividend distributions	Box 4: Federal income tax withheld	Box 6. Foreign tax paid
PRIME MONEY MKT FUND		**23-6607979**	**09972289280**			
555.86	0.00	0.00	0.00	0.00	0.00	0.00
HIGH-YIELD CORP FUND ADM		**23-2439155**	**09972289280**			
21,296.39	0.00	0.00	0.00	0.00	0.00	0.00
GNMA FUND ADMIRAL SHARES		**23-2439154**	**09972289280**			
9,074.59	0.00	377.23	0.00	0.00	0.00	0.00
SHORT-TERM INVEST-GR ADM		**23-2439153**	**09972289280**			
7,447.73	0.00	0.00	0.00	0.00	0.00	0.00
INTER-TERM INVEST-GR ADM		**23-2735379**	**09972289280**			
11,895.47	0.00	400.04	0.00	0.00	0.00	0.00
50,270		777				

67,859

Form 1099-DIV
OMB No. 1545-0110

See Instructions on Reverse
Copy B For Recipient

5719 13 1-1
00065689

(keep for your records)

PAYER'S Name, Street Address, City, State, ZIP Code and Telephone Number
GABELLI FUNDS
POST OFFICE BOX 8308
BOSTON, MASSACHUSETTS 02266
800-GABELLI (422-3554)

TAX YEAR 2009

This is important tax information and is being furnished to the Internal Revenue Service If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Copy B For Recipient

Department of the Treasury-Internal Revenue Service

RECIPIENT'S Name, Street Address (including apt. no), City, State, and ZIP Code

AB 01 034700 07774 E 131 A
S&C HARVEST FOUNDATION INC
2504 N BINGHAM ST
CORWNALL VT 05753-4469

RECIPIENT'S identification number	20-0443423

(Keep for your Records)

Corrected (if checked) ☐ (OMB No. 1545-0110)

FORM 1099-DIV • DIVIDENDS AND DISTRIBUTIONS • 2009

(1a) Total ordinary dividends	(1b) Qualified dividends	(2a) Total capital gain distributions	(2b) Unrecap. sec. 1250 gain	(2d) Collectibles (28%) gain	(3) Nondividend distributions	**(4) Federal income tax withheld**	(6) Foreign tax paid
Fund: GABELLI EQUITY INCOME FUND- CLASS AAA		Account Number: 444-00000949942				PAYER'S Fed. ID no : 13-3626994	
3,333 73	3,333 73	0 00	0 00	0 00	2,400 35	0 00	0 00

Form **1099-DIV** For accounts with foreign tax paid there are various countries of origin

Corrected (if checked) ☐ (OMB No 1545-0715)

FORM 1099-B • PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS • 2009

(1a) Date of sale or exchange	(2) Gross proceeds from stocks, bonds, etc.(*)	**(4) Federal income tax withheld**	(7) Description Transaction	Share price	Shares this transaction(*)
		NOT APPLICABLE			

Form **1099-B** * Less commissions, if any, for funds subject to a deferred contingent sales charge

034700 1/1

VANGUARD BROKERAGE SERVICES
A DIV OF VANGUARD MARKETING CORP
100 VANGUARD BLVD
MALVERN PA-19355
TEL (800)992-8327

Account Number: A4V-958733

Recipient's Identification
Number: 20-0443423

2009
YOUR TAX INFORMATION STATEMENT

Recipient's Name and Address:

00207560 02 AB 0.485 02 TR 00737 X58B1D23
S & C HARVEST FOUNDATION INC
2504 N BINGHAM ST
CORNWALL VT 05753-4469

Your Account Executive:

ID: FP1

Payer Information:

PERSHING, LLC

Federal Identification Number: 13-2741729

Summary of Gross Proceeds and Regulated Futures Contracts	Amount
Gross Proceeds (Less Commissions and Fees)	2,975 26 *
Federal Income Tax Withheld - Gross Proceeds	**0.00**
Regulated Futures Contracts:	
Profit or (Loss) Realized in 2009	0 00
Unrealized Profit or (Loss) on Open Contracts - 12/31/2008	0 00
Unrealized Profit or (Loss) on Open Contracts - 12/31/2009	0 00
Aggregate Profit or (Loss)	0 00
Federal Income Tax Withheld - Reg. Futures Contracts	**0.00**

* Details of each Gross Proceeds transaction are reported to the IRS
Refer to the 1099-B section of this statement for these details.

2009 1099-INT — Interest Income — OMB No. 1545-0112

Box		Amount
1	Interest Income	0 00
2	Early Withdrawal Penalty	0 00
3	Interest on U.S Savings Bonds & Treasury Obligations	0 00
4	**Federal Income Tax Withheld**	**0.00**
5	Investment expenses	0 00
6	Foreign Tax Paid	0 00
7	Foreign Country or U S Possession	n/a
8	Tax-Exempt Interest	0 00
9	Specified Private Activity Bond Interest	0 00

2009 1099-DIV — Dividends and Distributions — OMB No. 1545-0110

Box		Amount
1a	Total Ordinary Dividends	5,081.91
1b	Qualified Dividends	5,081 91
2a	Total Capital Gain Distributions	504 00
2b	Unrecaptured Section 1250 Gain	0 00
2c	Section 1202 Gain	0 00
2d	Collectibles (28%) Gain	0 00
3	Nondividend Distributions	0.00
4	**Federal Income Tax Withheld**	**0.00**
5	Investment expenses	0 00
6	Foreign Tax Paid	0 00
7	Foreign Country or U S Possession	n/a
8	Cash Liquidation Distributions	0 00
9	NonCash Liquidation Distributions	0.00

Summary of Original Issue Discount	Amount
Original Issue Discount (Non-U S Treasury Obligations)	0 00
Other Periodic Interest	0 00
Federal Income Tax Withheld	**0.00**
Original Issue Discount on U.S Treasury Obligations	0 00
Investment expenses	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Recipient's Name and Address:

S & C HARVEST FOUNDATION INC
2504 N BINGHAM ST

Account Number: A4V-958733

Recipient's Identification
Number: 20-0443423

2009
YOUR TAX INFORMATION STATEMENT

Summary Of Transactions We Do Not Report To The IRS (See instructions for additional information)

	Amount
Non-Reportable Distributions	
Partnership Cash Distributions	2,915.50
Securities Purchased	
Net Cost of Securities Purchased	**72,257.31**

Margin Interest Expense Charged to Your Account. Margin interest expense charged to your account during 2009 is summarized and reported in this section of your Tax Information Statement Margin interest expense, if characterized as a deductible investment interest expense and if paid during 2009, should be reported on IRS Form 1040, Schedule A, line 14 This amount may be subject to the limitations imposed on deducting investment interest expense See IRS Form 4952 (Investment Interest Expense Deduction) for additional information.

2009 Form 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB No. 1545-0715

(For individuals, report details on Form 1040; Schedule D; Line 1 or 8.)

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Description (Box 7)	Transaction Type	CUSIP (Box 1b)	Trade/Process Date (Box 1a)	Quantity	Proceeds (Less Commissions & Fees) (Box 2)	Cost Basis Factor	Federal Income Tax Withheld (Box 4)
HSBC HLDGS PLC ADS RT EXP 03/31/09	From Cash in Lieu	404280992	04/16/2009		2.84		
	Redemption		04/21/2009	208	2,972 42		
					2,975 26		
Total					**2,975.26** ✓		**0.00**

IRS Form 1099-B–Proceeds from Broker and Barter Exchange Transactions

The amounts indicated in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, the option premium portion of reverse convertibles and bond maturities

Box 2–Gross Proceeds (Less Commissions and Fees). Gross proceeds from transactions involving stocks, bonds and other debt obligations are reported in Box 2 These amounts do not reflect net profits and are net of transaction costs (for instance, commissions and fees) Report the gross proceeds from each transaction separately on IRS Form 1040, Schedule D (Capital Gains and Losses) This box does not include proceeds from regulated futures contracts

Box 4–Federal Income Tax Withheld. Report federal income tax withheld (backup withholding) as a payment on IRS Form 1040 (U S Individual Income Tax Return), line 61 Federal income tax withheld is 28% of gross proceeds See the Additional Information section of these instructions

U.S. Treasury Bills. Proceeds from U S Treasury bill sales are reported in this section The discount on Treasury bills maturing during 2009 is reported in the 1099-INT section Refer to the 1099-INT section of these instructions for additional information

Accrued Interest Received. Accrued interest received on notes and bonds sold between interest payments is not reported in the 1099-B section. It is reported on a settlement-date basis in the 1099-INT section of your Tax Information Statement.

Short Sales. We report short sales on a trade-date basis in the year in which the sales are executed, not the year in which they are covered A short sale will be denoted with a symbol "II" in the 1099-B section of your Tax Information Statement Consult your tax professional or *IRS Publication 550* for information on reporting proceeds from short sales on your tax return

Trade Date. Transactions are reported on a trade-date basis, therefore, your Tax Information Statement only includes transactions with a 2009 trade date The date on the trust sale may be different from the date on your monthly statements for a trust sale because we are reporting the date the trust made the sale

WHFIT Reporting. If you held an interest in a unit investment trust (UIT), mortgage backed security, royalty trust, HOLDRS trust or commodities trust, we are now required to report certain details regarding transactions for these securities on IRS Form 1099-B because these products are considered to be widely held fixed investment trusts (WHFITs) New IRS rules governing reporting for WHFITs require us to provide more detailed and comprehensive information than was previously required on 1099 forms in prior years, but does not impact the *total*

Recipient's Name and Address:

S & C HARVEST FOUNDATION INC
2504 N BINGHAM ST

Account Number: A4V-958733

Recipient's Identification
Number: 20-0443423

2009
YOUR TAX INFORMATION STATEMENT

amount of income or expenses that we report to you Information reported to you for WHFITs on IRS Form 1099-B is generally reportable to you on IRS Form 1040, Schedule D Please consult with your tax professional regarding the proper reporting of items of income and expense for WHFITs and widely held mortgage trusts (WHMTs) Please consult with your tax professional regarding the proper reporting of items of income and expense for WHFITs and widely held mortgage trusts (WHMTs)

Additional Written Statement. Please note that we are also required to furnish you with an additional Tax Information Statement by March 15, 2010 This information is reported in the Additional Written Statement included in your Tax Information Statement You need this additional information to complete your tax return. The Additional Written Statement is provided only to you, and not to the IRS It sets forth detailed information regarding income and expenses for WHFITs Please refer to the Additional Written Statement provided and to the Tax Guide for other important information regarding WHFITs You can view and print a copy of the Tax Guide at www mytaxhandbook.com An explanation of items reflected on your IRS Form 1099-B is provided below.

Trust Sale. A trust sale represents the total amount paid to a selling trust interest holder for the sale of a trust interest and should be reported on Schedule D of your IRS Form 1040 This includes principal payments that completely retire a debt instrument (other than a final scheduled principal payment) and pro rata partial principal prepayments It does not include pro rata sales by non-mortgage widely held fixed investment trusts (NMWHFITs) to affect redemptions or assets received in any corporate reorganization
Cost Basis Factor. Please use the Cost Basis Allocation Factor provided to allocate a portion of your cost basis in the WHFIT (in other words, what you paid for the WHFIT, less any reinvested dividend and/or capital gains distributions) to each sale or disposition in order to determine any attributable and reportable sales proceeds and realized gains or losses on Schedule D of IRS Form 1040

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV)

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	*Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)
ALCOA INC COM	02/25/2009	85 00	85.00					
	05/26/2009	15.00	15 00					
		100 00	100 00					
BP PLC SPONS ADR FOREIGN % 100	03/09/2009	336 00	336.00					
	06/08/2009	336.00	336.00					
		672.00	672 00					
BANK OF AMERICA COM	03/27/2009	15 00	15 00					
BLACK HILLS CORP COM	03/02/2009	106.50	106.50					
	06/01/2009	106 50	106.50					
		213 00	213.00					
BOEING CO COM	03/06/2009	210.00	210.00					
	06/05/2009	210.00	210.00					
		420.00	420 00					
CATERPILLAR INC	02/20/2009	168.00	168.00					
	05/20/2009	252.00	252.00					
		420 00	420.00					
CITIGROUP INC COM	02/27/2009	10.00	10.00					
COCA COLA COMPANY	04/01/2009	205.00	205.00					
DEERE & CO	05/01/2009	84.00	84.00					

Recipient's Name and Address:

S & C HARVEST FOUNDATION INC
2504 N BINGHAM ST

Account Number: A4V-958733

Recipient's Identification
Number: 20-0443423

2009
YOUR TAX INFORMATION STATEMENT

DIVIDENDS AND DISTRIBUTIONS (Details of Form 1099-DIV) *(Continued)*

Description	Date Paid	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	*Total Capital Gain Distributions (Box 2a)	Nondividend Distributions (Box 3)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)
GENERAL ELECTRIC CO	01/26/2009	310 00	310 00					
COM	04/27/2009	465 00	465 00					
		775 00	775 00					
HSBC HLDGS PLC	01/14/2009	450 00	450 00					
SPONS ADR NEW	05/07/2009	250 00	250 00					
FOREIGN % 100	07/09/2009	200 00	200 00					
		900 00	900 00					
JOHNSON & JOHNSON COM	03/10/2009	230 00	230 00					
PEPSICO INC	01/02/2009	212 50	212.50					
	03/31/2009	212 50	212.50					
		425 00	425 00					
PLUM CREEK TIMBER CO	03/06/2009			252.00				
INC COM	05/29/2009			252.00				
				504.00				
PROCTER & GAMBLE CO	02/17/2009	200 00	200 00					
COM	05/15/2009	220 00	220 00					
		420 00	420 00					
TEXTRON INC COM	04/01/2009	10 00	10 00					
UNILEVER PLC SPON ADR NEW ISIN#US9047677045 FOREIGN % 100	06/18/2009	182 91	182 91					
Dividends - U S Corporations		3,327 00	3,327 00					
Dividends - Foreign Corporations		1,754 91	1,754 91					
Total		**5,081.91**	**5,081.91**	**504.00**	**0.00**	**0.00**	**0.00**	**0.00**

* All Capital Gain Distributions paid were classified as Long Term Capital Gain Distributions (see instructions for details).

Recipient's Name and Address:

S & C HARVEST FOUNDATION INC
2504 N BINGHAM ST

Account Number: A4V-958733

Recipient's Identification
Number 20-0443423

2009
YOUR TAX INFORMATION STATEMENT

TRANSACTIONS WE DO NOT REPORT TO THE IRS

NON-REPORTABLE DISTRIBUTIONS

Description	CUSIP	Date Paid	Partnership Cash Distributions	Trust Distributions	Return of Principal Distributions
700 SHRS ALLIANCE RESOURCE PARTNERS LP UNIT LTD PARTNER INT RD 02/06 PD 02/13/09	01877R108	02/13/2009 05/15/2009	500 50 511 00		
			1,011 50		
800 SHRS ENTERPRISE PRODS PARTNERS L P COM RD 01/30 PD 02/09/09	293792107	02/09/2009 05/08/2009	424 00 430.00		
			854.00		
500 SHRS KINDER MORGAN ENERGY PARTNERS L P COM UNITS REPSTG LTD PARTNER INTERESTS RD 01/30 PD 02/13/09	494550106	02/13/2009 05/15/2009	525 00 525 00		
			1,050 00		
Total			**2,915.50**	**0.00**	**0.00**

Partnership Cash Distributions and Trust Distributions. We report cash distributions from partnerships and certain trusts in these sections of your Tax Information Statement Accounts that held partnership and certain trust interests will receive their tax information directly from the partnership or trust on IRS Schedule K-1 Contact the partnership or trust directly if you do not receive this form

Return of Principal Distributions. Generally, certain return of principal distributions on a bond is not reportable as taxable income, but reduces the tax basis of your bond Consult with your tax professional for more detailed information

SECURITIES PURCHASED

Description	CUSIP	Trade/Process Date	Quantity	Net Cost	Accrued Interest Purchased Amount	Security Type
ALCOA INC COM	013817101	01/28/2009 01/28/2009 01/28/2009	300 100 100	2,658 00 886 00 886 00		
				4,430.00		
BANK OF AMERICA COM	060505104	01/28/2009	900	6,820 74		
BLACK HILLS CORP COM	092113109	01/28/2009	300	8,033.40		
BOEING CO COM	097023105	01/28/2009	200	8,850.00		
CATERPILLAR INC	149123101	01/28/2009 01/28/2009	100 100	3,314 00 3,314 00		

20100215 175401 VBTJD240 240A L3 . 272875 . 3910

IMPORTANT TAX DOCUMENT

Account number: 39979269 **RECIPIENT'S identification number: 20-0443423**

Vanguard Brokerage Services

Contact Info: 800-992-8327

S & C HARVEST FOUNDATION INC
2504 N BINGHAM ST
CORNWALL VT 05753-4469

2009

TAX INFORMATION STATEMENT

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PAYER
Vanguard Marketing Corporation
P O Box 1170
Valley Forge, PA 19482
PAYER'S federal identification number 23-2019846

Form 1099-DIV 2009 Dividends and Distributions OMB No. 1545-0110

Box		Amount
1a	Total ordinary dividends.	5,638 00
1b	Qualified dividends	5,638 00
2a	Total capital gain distr.	504 00
2b	Unrecap Sec 1250 gain	0 00
2c	Section 1202 gain	0 00
2d	Collectibles (28%) gain.	0 00
3	Nondividend distributions	0 00
4	**Federal income tax withheld**	**0.00**
5	Investment expenses	0 00
6	Foreign tax paid	0 00
7	Foreign country or U S possession	N/A
8	Cash liquidation distributions	0 00
9	Noncash liquidation distributions	0 00

Form 1099-INT 2009 Interest Income OMB No. 1545-0112

Box		Amount
1	Interest income	0 00
2	Early withdrawal penalty	0 00
3	Interest on U S Savings Bonds and Treas obligations	0 00
4	**Federal income tax withheld**	**0.00**
5	Investment expenses	0 00
6	Foreign tax paid	0 00
7	Foreign country or U S possession	N/A
8	Tax-exempt interest	0 00
9	Specified private activity bond interest	0 00

Summary of 2009 Proceeds From Broker and Barter Exchange Transactions

Box		Amount
2	Stocks, bonds, etc - Gross proceeds less commissions and option premiums	0 00 *
4	**Federal income tax withheld**	**0.00**
	Regulated Futures Contracts:	
8	Profit or (loss) realized in 2009	0 00
9	Unrealized profit or (loss) on open contracts - 12/31/2008	0 00
10	Unrealized profit or (loss) on open contracts - 12/31/2009	0 00
11	Aggregate profit or (loss)	0 00
4	**Federal income tax withheld**	**0.00**

***Gross Proceeds from each of your 2009 securites trades are individually reported to the IRS. Refer to the *2009 Proceeds From Broker and Barter Exchange Transactions* section of this statement.**

Summary of 2009 Original Issue Discount

Box		Amount
1	Original issue discount for 2009	0 00 **
2	Other periodic interest	0 00 **
4	**Federal income tax withheld**	**0.00 ****
6	Original issue discount on U S Treasury obligations	0 00 **
7	Investment expenses	0 00 **

**** Amounts of original issue discount are individually reported to the IRS. Refer to the *2009 Original Issue Discount* section of this statement.**

If you invested in a Collateralized Debt Obligation (CDO), Real Estate Mortgage Investment Conduit (REMIC) or Widely Held Fixed Investment Trust (WHFIT) at any time during the year, please note that this statement is incomplete and an additional written statement will be sent to you by March 15 that will contain information necessary to accurately file your U.S. federal income tax return.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

(keep for your records)

2009
TAX INFORMATION STATEMENT
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DIVIDENDS AND DISTRIBUTIONS **Details of Form 1099 – DIV**

Description	Date paid	Total ordinary dividends (Box 1a)	Qualified dividends (Box 1b)	Total capital gain distr (Box 2a)	Nondividend distributions (Box 3)	**Federal income tax withheld (Box 4)**	Investment expenses (Box 5)	Foreign tax paid (Box 6)
ALCOA INC	08/25/2009	30 00	30 00					
	11/25/2009	30 00	30 00					
		60 00	60 00					
BANK OF AMERICA CORP	06/26/2009	15 00	15 00					
	09/25/2009	15 00	15 00					
	12/24/2009	15 00	15 00					
		45 00	45 00					
BLACK HILLS CORPORATION	09/01/2009	106 50	106 50					
	12/01/2009	106 50	106 50					
		213 00	213 00					
BOEING COMPANY	09/08/2009	210 00	210 00					
	12/04/2009	210 00	210 00					
		420 00	420 00					

(Continued on next page)

2009
TAX INFORMATION STATEMENT
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DIVIDENDS AND DISTRIBUTIONS **Details of Form 1099 – DIV**

Description	Date paid	Total ordinary dividends (Box 1a)	Qualified dividends (Box 1b)	Total capital gain distr (Box 2a)	Nondividend distributions (Box 3)	Federal income tax withheld (Box 4)	Investment expenses (Box 5)	Foreign tax paid (Box 6)
BP PLC SPONS ADR	09/08/2009	336 00	336 00					
	12/07/2009	336 00	336 00					
		672 00	672 00					
CATERPILLAR INC	08/20/2009	252 00	252 00					
	11/20/2009	252 00	252 00					
		504 00	504 00					
COCA COLA COMPANY	07/01/2009	205 00	205 00					
	10/01/2009	205 00	205 00					
	12/15/2009	205 00	205 00					
		615 00	615 00					
DEERE & COMPANY	08/03/2009	84 00	84 00					
	11/02/2009	84 00	84 00					
		168 00	168 00					

(Continued on next page)

(keep for your records)

2009

TAX INFORMATION STATEMENT

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DIVIDENDS AND DISTRIBUTIONS Details of Form 1099 – DIV

Description	Date paid	Total ordinary dividends (Box 1a)	Qualified dividends (Box 1b)	Total capital gain distr (Box 2a)	Nondividend distributions (Box 3)	Federal income tax withheld (Box 4)	Investment expenses (Box 5)	Foreign tax paid (Box 6)
DUKE ENERGY CORP NEW	09/16/2009	240 00	240 00					
	12/16/2009	240 00	240 00					
		480 00	480 00					
GENERAL ELECTRIC COMPANY	07/27/2009	150 00	150 00					
	10/26/2009	150 00	150 00					
		300 00	300 00					
HSBC HLDGS PLC SPONS ADR	10/07/2009	200 00	200 00					
JOHNSON & JOHNSON	06/09/2009	245 00	245 00					
	09/08/2009	245 00	245 00					
	12/08/2009	245 00	245 00					
		735 00	735 00					
PEPSICO INC	06/30/2009	225 00	225 00					

(Continued on next page)

2009

TAX INFORMATION STATEMENT

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DIVIDENDS AND DISTRIBUTIONS **Details of Form 1099 – DIV**

Description	Date paid	Total ordinary dividends (Box 1a)	Qualified dividends (Box 1b)	Total capital gain distr (Box 2a)	Nondividend distributions (Box 3)	Federal income tax withheld (Box 4)	Investment expenses (Box 5)	Foreign tax paid (Box 6)
	09/30/2009	225 00	225 00					
		450 00	450 00					
PLUM CREEK TIMBER	08/31/2009			252 00				
	11/30/2009			252 00				
				504 00				
PROCTER & GAMBLE COMPANY	08/17/2009	220 00	220 00					
	11/16/2009	220 00	220 00					
		440 00	440 00					
TEXTRON INC	07/01/2009	10 00	10 00					
	10/01/2009	10 00	10 00					
		20 00	20 00					
UNILEVER PLC SPONS ADR	12/16/2009	316 00	316 00					
Total		**5,638.00**	**5,638.00**	**504.00**	**0.00**	**0.00**	**0.00**	**0.00**

(Continued on next page)

(keep for your records)

2009
TAX INFORMATION STATEMENT
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CAPITAL GAIN DISTRIBUTIONS **Details of Form 1099 – DIV**

Description	Date paid	Total capital gain distr (Box 2a)	Unrecap Sec 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)	Long term capital gain distributions
PLUM CREEK TIMBER	08/31/2009	252 00				252 00
	11/30/2009	252 00				252 00
		504 00				504 00
Total		**504.00**	**0.00**	**0.00**	**0.00**	**504.00**

(keep for your records)

2009

TAX INFORMATION STATEMENT

TRANSACTIONS NOT REPORTED TO THE IRS

NON-REPORTABLE DISTRIBUTIONS FROM PARTNERSHIPS

Description	CUSIP	Date paid	Partnership cash distribution
ALLIANCE RES PARTNERS LP	01877R108	08/14/2009	521 50
		11/13/2009	532 00
			1,053 50
ENTERPRISE PROD PARTN LP	293792107	08/07/2009	545 00
		11/05/2009	552 50
			1,097 50
KINDER MORGAN ENERGY	494550106	08/14/2009	525 00
		11/13/2009	525 00
			1,050 00
Total			**3,201.00**

(keep for your records)