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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation
In may be able to use a copy of this return to satisfy state returns to satisfy state

OMB No 1545-0052 2009

| G Check all | year 2009, o | | Initial return | | Ti | nıtıal return | of a former pu | and endi | | | Final re | , 20 eturn |
|---|---------------------------------------|----------|------------------|-----------------|----------------|--------------------------------------|----------------|----------|-------------|---|---------------|---------------------------------------|
| - 01.00X u | | | Amended r | | | | ss change | | | Name change | , | |
| | Name of four | ndatio | | | - | | | | | A Employer identific | ation num | nber |
| Use the IRS | | | | LIND | A VEST | ER FOUND | ATION, | | | | | |
| label. | INC | | | | | | | | | 20- | 040562 | :7 |
| Otherwise, | L | street | t (or P O box r | umber if m | all is not del | livered to street | address) | Room/su | ute | B Telephone number (see p. | | |
| print | C/O BRAV | | • | | | | • | | | | | |
| or type. | 12 EAST | | | | | | | | | (21 | 2) 421 | L-9760 |
| See Specific | | | , and ZIP cod | | | | - | · | | C If exemption application | on is | ▶ |
| Instructions. | Oity or town, | oldio, | , 0,10 2,11 000 | _ | | | | | | pending, check here | | ⊱⊢ |
| | NEW YORK | K N | Y 10017 | | | | | | | D 1 Foreign organization 2 Foreign organization | | · — |
| - Check typ | e of organizat | | | on 501/c |)(3) evem | pt private fo | undation | | | 85% test, check he | re and attach | , |
| | e oi oigainzai 4947(a)(1) non | | <u> </u> | | | | vate foundati | on | | computation . | | – 🗀 |
| | et value of all | | | | | thod X C | | | | E If private foundation s | | ► |
| | om Part II, co | | | | her (spec | | | Ji dai | | under section 507(b)(| | |
| 16) ▶ \$ | 3,68 | | | | | | n cash basis) |) | | F If the foundation is in under section 507(b)(| | ~ [] |
| | lysis of Reve | | | | · | | 1 | <u></u> | I | | | bursements |
| total | of amounts in c | columr | ıs (b), (c), and | d (d) | | enue and nses per | (b) Net inve | | (| (c) Adjusted net | for c | haritable |
| | not necessanly nn (a) (see pag | | | | | ooks | incon | ne | | ıncome | | irposes basis only) |
| | · · · · · · · · · · · · · · · · · · · | | | | | 423,000. | ATCH : | 1 | | | (Gasil | 2000 Only] |
| 2 Check | | oundat | tion is not requ | ured to | | ,_,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | 111 011 | <u>-</u> | | | | |
| | t on savings and | | 3 | 1 | | | | | | | | |
| | ends and interes | | • | Г | | 44,328. | | 14,328. | | | ATCI | H 2 |
| | rents | | | [| _ | · · · | - | | | | | |
| | ital income or (los | | | Г | _ | | | | 1 | RECEIVE | | |
| l | ın or (loss) from s | ale of a | assets not on lu | ne 10 | -1, | 033,476. | | | r | | 70 | |
| b Gross | sales price for all | | 3,844 | ,999. | | · | | c | δl | | , ISI | |
| 1 | on line 6a al gain net incor | | | | | | | | 21 | APR 2 6 ZUI | S | |
| 8 Net sl | nort-term capita | | | · · · | | | | | | | ا ا | |
| 1 | e modifications | | | | | | | \$2 | - | NO DER | j (4) | |
| 10 a Gross | sales less returns | ; | | ſ | | | | '_ | | | | |
| 1 | Cost of goods sold | | | | | | | | | | | |
| 1 | profit or (loss) | _ | h schedule) | | | | | | | | | |
| | income (attach | | | | | 518 | | 518. | | | ATC | н 3 |
| | Add lines 1 thr | | | | | 565,630. | - 4 | 14,846. | | | | |
| | ensation of officer | | | | | 0 | <u> </u> | | | | | |
| 1 | employee sala | | | | | | | | | | | |
| 15 Pensi | on plans, emplo | oyee b | enefits | [| | | | | <u></u> | | | |
| 16 a Legal | fees (attach sc | | | | | | <u> </u> | | | | | |
| b Accou | inting fees (atta | | | | | | | | | | | |
| c Other | professional fe | | | | | · · | | | | | | |
| 17 Intere | st | | | | | | | | | | | · · · · · · · · · · · · · · · · · · · |
| 18 Taxes | (attach schedule) | | | | | | | | | | | |
| 15 Pensi 16 a Legal b Accou c Other 17 Intere 18 Taxes 19 Depre | ciation (attach | sched | ule) and depl | etion . | | | | | | | | |
| 20 Occup | pancy | | - | | | | | | | | | |
| 21 Trave | l, conferences, | | | | | | | | | | | |
| | ig and publicati | | | 1 | | | | | <u> </u> | | | ·· |
| | expenses (atta | ich sch | hedule) [4 |] [| | 600 | · | 350. | | | | 250 |
| 24 Total | operating and | admin | nistrative exp | enses. | | | | | | | | |
| Add I | nes 13 through | | | | | 600 | · | 350. | <u>L</u> | | | 250 |
| 25 Contri | butions, gifts, g | grants | paid | [| 2, | 017,000. | | | | | | 2,017,000 |
| 26 Total e | penses and disbui | rsemen | ts Add lines 24 | and 25 | 2, | 017,600. | | 350. | | | | 2,017,250 |
| | act line 26 from | line 1 | 2 | | | | | | | | | |
| a Excess | of revenue over ex | penses | and disbursem | ents | | 583,230. | <u></u> | | | | | |
| | vestment inco | | | | | | | 14,496. | | | | |
| c Adjus | ted net income | e (if no | egative, enter | <u>0-).</u> . [| | | | | | -0- | | |
| | ct and Paperwo | ork Re | eduction Act | Notice, s | ee page 30 | of the instru | ctions. | | JSA | | Form | 990-PF (2009) |
| 0 1 000 | | | | | | | | 115 | 603 | 30 | | PAGE |
| | | | | | | | | | | | | |

| • | art II | Balance Sheets Attached schedules and amounts in the description column should be for end-of-year | Beginning of year | En | d of year |
|-------------|----------|---|---------------------------------|---------------------------------------|-----------------------|
| نا | الكالغ | amounts only (See instructions) | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| | 1 | Cash - non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 373,473. | 672,996 | 672,996 |
| | 3 | Accounts receivable | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 4 | Piedges receivable | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other | | | |
| | | disqualified persons (attach schedule) (see page 16 of the instructions) | | | |
| | ١., | Other notes and loans receivable (attach schedule) | | | |
| | 7 | | † | | |
| | _ | Less allowance for doubtful accounts | | | |
| ţ | 8 | Inventories for sale or use | | | |
| ssets | 9 | Prepaid expenses and deferred charges | | , <u></u> | |
| Ä | 10 a | Investments - U S and state government obligations (attach schedule) | F 670 640 | 0.700.000 | 2 011 440 |
| | | Investments - corporate stock (attach schedule) ATCH 5 | | 2,789,889 | 3,011,449 |
| | 11 C | Investments - corporate bonds (attach schedule) | , | | |
| | | (attach schedule) | | · · · · · · · · · · · · · · · · · · · | |
| | 12 | Investments - mortgage loans | | | |
| | 13 14 | Investments - other (attach schedule) Land, buildings, and equipment basis | | | |
| | | equipment basis Less accumulated depreciation (attach schedule) | | | |
| | 15 | Other assets (describe | | | |
| | 16 | Total assets (to be completed by all filers - see the | | | |
| _ | | ınstructions Also, see page 1, item I) | 6,046,115. | 3,462,885 | . 3,684,445 |
| | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| S | 19 | Deferred revenue | | | |
| Liabilities | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| iđe | 21 | Mortgages and other notes payable (attach schedule) | | | |
| Ë | 22 | Other liabilities (describe | | | |
| | | | - · · · · · · | | 7 |
| | 23 | Total liabilities (add lines 17 through 22) | | | |
| _ | | Foundations that follow SFAS 117, check here | | | |
| , 6 | | and complete lines 24 through 26 and lines 30 and 31. | | | |
| ances | 24 | Unrestricted | | | - |
| | | Temporarily restricted | | | _ |
| Ва | 26 | Permanently restricted | | | _ |
| or Fund Ba | | Foundations that do not follow SFAS 117, | | | |
| F | | check here and complete lines 27 through 31. $\blacktriangleright X$ | | | |
| ō | 27 | Capital stock, trust principal, or current funds | | | _ |
| ş | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| SS | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| Net Assets | 30 | Total net assets or fund balances (see page 17 of the | | | |
| Ž | | instructions) | 6,046,115. | 3,462,885 | |
| | 31 | Total liabilities and net assets/fund balances (see page 17 | | | |
| | | of the instructions) | 6,046,115. | 3,462,885 | |
| | art II | Analysis of Changes in Net Assets or Fund | | | · |
| | | net assets or fund balances at beginning of year - Part II, co | | iree with | |
| • | | of-year figure reported on prior year's return) | | | 6,046,115 |
| 2 | | | | | 2 500 000 |
| | | | | 2 | |
| | | r increases not included in line 2 (itemize) | | | |
| _ | _ | lines 1, 2, and 3 | | 1 _ | |
| 5 | Decr | eases not included in line 2 (itemize) I net assets or fund balances at end of year (line 4 minus line | | 5 | |
| 6 | Tota | i net assets or fund balances at end of year (line 4 minus line | : 5) - Part II, column (b), lir | ne 30 6 | 3,462,885 |

| _ | rm 990-PF (2009) | and Locace for Tay on Inc | 20-04056 | 27 | | Page 3 |
|------------|--|---|---|--|---------------------------------------|-----------------|
| į. | | and Losses for Tax on Invidence the kind(s) of property sold (e | | (b) How acquired | (c) Date | (d) Date sold |
| | | ick warehouse, or common stock, 200 s | | P-Purchase D-Donation | acquired (mo , day, yr) | (mo , day, yr) |
| <u>1a</u> | SEE PART IV SCHE | DULE | | | | |
| _ <u>b</u> |) | | | ļ | | |
| | | | | ļ | | |
| | | | · · · · · · · · · · · · · · · · · · · | | | |
| _e |) <u> </u> | (9 Depresention allowed | (g) Cost or other basis | - | (h) Gain or (li | |
| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | plus expense of sale | | (e) plus (f) min | |
| _a | | | | | | |
| _b |) | | | ļ | | |
| c | ; | | <u> </u> | ļ | | |
| | <u></u> | | | | | |
| _e | | (h) and a mad | h. the foundation on 42/24/60 | | | |
| | complete only for assets show | wing gain in column (h) and owned | | 7 ' <i>'</i> | Gains (Col (h) g (k), but not less | |
| _ | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | 301 | Losses (from co | |
| a | <u> </u> | | | | | |
| _ <u>b</u> |) | | | <u> </u> | | |
| | - | ···· | | 1 | | |
| | | | | | - | |
| <u>_e</u> | <u> </u> | , If | gain, also enter in Part I, line 7 | | | |
| 2 | Capital gain net income or (| not canital loss) | (loss), enter -0- in Part I, line 7 | 2 | -1, | 033,476. |
| | If gain, also enter in Part I, I If (loss), enter -0- in Part I, Ii | or (loss) as defined in sections 1222 ine 8, column (c) (see pages 13 and ine 8 | d 17 of the instructions) | 3 | · · · · · · · · · · · · · · · · · · · | |
| _ | | | ced Tax on Net Investment Inc | | | |
| W | | | able amount of any year in the base o not complete this part | period? | 🗆 | Yes X No |
| 1 | | unt in each column for each year, so | ee page 18 of the instructions before | e making a | any entries | |
| | (a) Base penod years | (b) Adjusted qualifying distributions | (c) Net value of nonchantable-use assets | | (d) Distribution ra | |
| _ | Calendar year (or tax year beginning in) | 2,007,651. | 6,674,325. | | (col (b) divided by | 0.300802 |
| _ | 2008 2007 | 2,025,513. | 7,854,605. | | | 0.257876 |
| _ | 2006 | 1,829,203. | 6,137,333. | 1 | | 0.298045 |
| _ | 2005 | 847,115. | 4,920,488. | | | 0.172161 |
| | 2004 | 485,336. | 1,878,296. | | | 0.258392 |
| | | | | | | |
| 2 | Total of line 1, column (d) | | | 2 | | 1.287276 |
| 3 | Average distribution ratio for | or the 5-year base period - divide th | | | | |
| | number of years the founda | ation has been in existence if less t | han 5 years | 3 | | 0.257455 |
| 4 | Enter the net value of nonc | charitable-use assets for 2009 from | Part X, line 5 | 4 | 4 | 1,084,239. |
| | | - | | | | |
| 5 | Multiply line 4 by line 3 | | | 5 | | 1,051,508. |
| 6 | Enter 1% of net investment | t income (1% of Part I, line 27b) | | 6 | | 445. |
| 7 | Add lines 5 and 6 | | | 7 | | 1,051,953. |
| 8 | Enter qualifying distribution | ns from Part XII, line 4 | | 8 | | 2,017,250. |
| | If line 8 is equal to or gre Part VI instructions on pag | eater than line 7, check the box i | in Part VI, line 1b, and complete | that part | using a 1% ta | x rate See the |

| 1 41 | Excise tax bases of investment income (occion to tell), to tell, to tell, or to to be page to of the mis | | 01137 | |
|------------|--|----|-------|------|
| 1à | Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1 | | | |
| | Date of ruling or determination letter(attach copy of ruling letter if necessary - see instructions) | | | |
| þ | Domestic foundations that meet the section 4940(e) requirements in Part V, check | | 4 | 45. |
| | here 🕨 🗓 and enter 1% of Part I, line 27b | | | |
| C | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% | | | |
| | of Part I, line 12, col (b) | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) 2 | | | |
| 3 | Add lines 1 and 2 | | 4 | 45. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 0. |
| 5 | Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- | | 4 | 145. |
| 6 | Credits/Payments | | | |
| а | 2009 estimated tax payments and 2008 overpayment credited to 2009 6a 2, 101. | | | |
| b | Exempt foreign organizations-tax withheld at source 6b 0. | | | |
| С | Tax paid with application for extension of time to file (Form 8868) | | | |
| d | Backup withholding erroneously withheld6d | | | |
| 7 | Total credits and payments Add lines 6a through 6d | | 2,1 | 01. |
| 8 | Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 8 | | | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | | | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | | 1,6 | 56. |
| 11 | Enter the amount of line 10 to be Credited to 2010 estimated tax 1,656. Refunded 11 | | | |
| Par | t VII-A Statements Regarding Activities | | | |
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it | | Yes | No |
| | participate or intervene in any political campaign? | 1a | | Х |
| b | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 | | | |
| | of the instructions for definition)? | 1b | | Х |
| | If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials | | | |
| | published or distributed by the foundation in connection with the activities | | | |
| С | Did the foundation file Form 1120-POL for this year? | 1c | | |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year | | | |
| | (1) On the foundation \$ (2) On foundation managers \$ | | | |
| е | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed | | | |
| • | on foundation managers >\$ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? | 2 | | х |
| | If "Yes," attach a detailed description of the activities | | | |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of | | | |
| | incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | | Х |
| 4 a | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | 4a | | Х |
| b | If "Yes," has it filed a tax return on Form 990-T for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? | 5 | | Х |
| Ū | If "Yes," attach the statement required by General Instruction T | | | |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either | | | |
| • | By language in the governing instrument, or | | | |
| | By state legislation that effectively amends the governing instrument so that no mandatory directions that | | | |
| | conflict with the state law remain in the governing instrument? | 6 | Х | |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV | 7 | Х | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the | | | |
| | instructions) •NY, | | | |
| þ | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General | | v | |
| | (or designate) of each state as required by General Instruction G? If "No," attach explanation | 8b | Х | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or | | | |
| | 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page | | | ١,, |
| | 27)? If "Yes," complete Part XIV | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their | | | |
| | names and addresses | 10 | | X |

| Par | t VII-A Statements Regarding Activities (continued) | | | |
|-----|---|------------|----------|-------------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the | | | |
| | meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | <u> </u> |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before | | | |
| | August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | <u> </u> |
| | Website address ►N/A | | | |
| 14 | The books are in care of ▶ BRAVE WARRIOR CAPITAL INC Telephone no ▶ 212-42: | <u>-97</u> | <u> </u> | |
| | Located at ▶12 EAST 49TH STREET, NEW YORK, NY ZIP+4 ▶ 10017 | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here · · · · · · · · · · · · · · · · · · | | > | • |
| Par | and enter the amount of tax-exempt interest received or accrued during the year t VII-B Statements Regarding Activities for Which Form 4720 May Be Required | | | |
| Гаг | | Т | Yes | No |
| 4 | File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | 103 | 110 |
| ıa | During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange or leasing of property with a disqualified person? Yes X No | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | 1 | | |
| | disqualified person? | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No | | | |
| | Vae X No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses or, a disqualified person? | | | |
| | the benefit or use of a disqualified person)? | | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if | 1 | | |
| | the foundation agreed to make a grant to or to employ the official for a period after | | | |
| | termination of government service, if terminating within 90 days) Yes X | | | |
| h | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations | | | |
| _ | section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | 1Ь | | |
| | Organizations relying on a current notice regarding disaster assistance check here | | | |
| С | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that | | | |
| | were not corrected before the first day of the tax year beginning in 2009? | 1c | ļ | Х |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private | - | | |
| | operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | 1 | | |
| а | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and | 1 | | |
| | 6e, Part XIII) for tax year(s) beginning before 2009? Yes X No | | | |
| | If "Yes," list the years | | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) | | | |
| | (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) | | | |
| | to all years listed, answer "No" and attach statement - see page 20 of the instructions) | 2b | <u> </u> | <u> </u> |
| С | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here | | | |
| | D | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business | | | |
| | enterprise at any time during the year? Yes X No | | | |
| b | If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or | | | |
| | disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the | | | |
| | Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse | | | |
| | of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the | | | |
| | foundation had excess business holdings in 2009) | 3b | + | ٠, |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | + | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its | ,. | | |
| | charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | | DO DE | X |

| | AVII B. Statemente Begarding Activities for | Which Form 47 | 20-040 | and (continued) | | Page 0 |
|--------------|--|------------------------------------|--|--|-------------------------|--------------|
| Pal | t VII-B Statements Regarding Activities for | Which Form 47 | ZU May Be Requir | ea (continuea) | | |
| 5 à | During the year did the foundation pay or incur any amount | | | | | |
| | (1) Carry on propaganda, or otherwise attempt to influence | legislation (section 4 | 945(e))? | Yes X No | • | İ |
| | (2) Influence the outcome of any specific public election (se | ee section 4955), or to | carry on, | | 1 1 | |
| | directly or indirectly, any voter registration drive? | | | | • | |
| | (3) Provide a grant to an individual for travel, study, or other | r sımılar purposes? | | . Yes X No | • | |
| | (4) Provide a grant to an organization other than a charitab | le, etc , organization (| described in | | | |
| | section 509(a)(1), (2), or (3), or section 4940(d)(2)? (se | e page 22 of the instri | uctions) | Yes X No | • | |
| | (5) Provide for any purpose other than religious, charitable, | , scientific, literary, or | educational | | | |
| | purposes, or for the prevention of cruelty to children or | anımals? | | Yes X No | , | - 1 |
| b | If any answer is "Yes" to 5a(1)-(5), did any of the transa | actions fail to qualify | under the exceptions | described in | | |
| | Regulations section 53 4945 or in a current notice regards | | | | 5b | |
| | Organizations relying on a current notice regarding disaster | assistance check hei | e | ▶ □ | | |
| С | If the answer is "Yes" to question 5a(4), does the foundation | | | | | |
| | because it maintained expenditure responsibility for the grain | | | Yes No | , | |
| | If "Yes," attach the statement required by Regulations section | | | . — — | | |
| 6.2 | Did the foundation, during the year, receive any funds, direct | | v nremiume | | | |
| va | on a personal benefit contract? | | | Yes No | , | |
| b | Did the foundation, during the year, pay premiums, directly | | | | 6b | |
| ~ | If "Yes" to 6b, file Form 8870 | or indirectly, orra pers | Sorial Deficit Contract. | | • • • • • • | |
| 7 2 | At any time during the tax year, was the foundation a party | to a prohibited tay she | lter transaction? | Yes X No | . | |
| | If yes, did the foundation receive any proceeds or have any | = ' | - | | 7b | <u> </u> |
| | t VIII Information About Officers, Directors | | | Highly Paid Emp | | |
| | and Contractors | <u> </u> | | | | |
| 1_ | List all officers, directors, trustees, foundation man | agers and their co | mpensation (see pa (c) Compensation | ge 22 of the instruct | | |
| | (a) Name and address | hours per week | (If not paid, enter | employee benefit plans | (e) Expense other allow | |
| | | devoted to position | -0-) | and deferred compensation | | |
| л т | TACHMENT 6 | | 0. | 0. | | 0 |
| -AI | TACHMENT 0 | | <u>.</u> | | | _ |
| | | | | | | |
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| | | | | | | |
| | Compensation of five highest-paid employees (othe | r than those inclu | dad on line 1 .coc.n | and 22 of the instruc | tions) | |
| - | If none, enter "NONE." | i triali tilose iliciti | Jea on fille 1 - See p | age 23 of the matruc | dons _j . | |
| | | (b) Title, and average | | (d) Contributions to employee benefit | (e) Expense | account |
| (a |) Name and address of each employee paid more than \$50,000 | hours per week devoted to position | (c) Compensation | plans and deferred | other allow | |
| | | devoted to position | | compensation | | |
| | NONE | | | | | |
| | NONE | | | | | |
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| | | i | | | | |
| | | L | | | | |
| Tota | I number of other employees paid over \$50,000 . | | | · · · · · · · · · · · · · · · · | . | <u> </u> |

| Part VIII | and Contractors (continued) | nagers, Highly Paid Emplo | yees, |
|-----------------|--|--------------------------------|------------------|
| 3 Five high | est-paid independent contractors for professional services (see page 23 | of the instructions). If none, | enter "NONE." |
| | (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
| NONE | | | |
| | | | - |
| | | | |
| | | | |
| | | | |
| Total numbe | r of others receiving over \$50,000 for professional services | | ▶ NONE |
| Part IX-A | Summary of Direct Charitable Activities | | |
| | stion's four largest direct chantable activities during the tax year include relevant statistical informations and other beneficiaries served, conferences convened, research papers produced, etc | tion such as the number | Expenses |
| 1 N/A | | | |
| 2 | | | |
| | | | |
| 3 | | | |
| 4 | | | |
| | | | |
| | Summary of Program-Related Investments (see page 23 of the instr | | |
| | wo largest program-related investments made by the foundation during the tax year on lines 1 and | 2 | Amount |
| 1 NONE | | | |
| 2 | | | |
| | | | |
| All other progr | am-related investments See page 24 of the instructions | | |
| | | | |
| Total. Add II | nes 1 through 3 | | |

| Pa | Minimum Investment Return (All domestic foundations must complete this part. Foresee page 24 of the instructions.) | ign foundation | ons, |
|----|--|----------------|---------------------------------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., | | |
| | purposes | 1 | |
| а | Average monthly fair market value of securities | . 1a | _3,623,201. |
| b | Average of monthly cash balances | . 1b | 523,235. |
| C | | . 1c | 0. |
| d | Total (add lines 1a, b, and c) | . 1d | 4,146,436. |
| е | Reduction claimed for blockage or other factors reported on lines 1a and | | |
| | 1c (attach detailed explanation) |] | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 4,146,436. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 | | |
| | of the instructions) | 4 | 62,197. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 4,084,239. |
| 6 | Minimum investment return. Enter 5% of line 5 | . 6 | 204,212. |
| Pa | Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) privations and certain foreign organizations check here ▶ and do not complete this | | |
| 1 | Minimum investment return from Part X, line 6 | . 1 | 204,212. |
| 2a | · 1 - 1 | | |
| b | · · · · · · · · · · · · · · · · · · · | - | |
| C | **** | 7 2c | 445. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | 203,767. |
| 4 | Recoveries of amounts treated as qualifying distributions | · | · · · · · · · · · · · · · · · · · · · |
| 5 | Add to a 0 and 4 | 5 | 203,767. |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | 6 | <u> </u> |
| 7 | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, | | |
| | line 1 | . 7 | 203,767. |
| | | | · · · · · · · · · · · · · · · · · · · |
| | Qualifying Distributions(see page 25 of the instructions) | | |
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| а | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | 2,017,250. |
| b | Program-related investments - total from Part IX-B Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc. | _ 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc. | | |
| | purposes | . 2 | 0. |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| а | Suitability test (prior IRS approval required) | . 3a | 0. |
| b | Cash distribution test (attach the required schedule) | 3b | 0. |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | . 4 | 2,017,250. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income | | |
| | Enter 1% of Part I, line 27b (see page 26 of the instructions) | . 5 | 445. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 2,016,805. |
| | Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating wh qualifies for the section 4940(e) reduction of tax in those years | ether the foun | dation |

| Pa | rt XIII Undistributed Income (see page | 26 of the instruction | ns) | | |
|----|---|-----------------------|---------------------|------|----------|
| | | (a) | (b) | (c) | (d) |
| 1 | Distributable amount for 2009 from Part XI, | Corpus | Years prior to 2008 | 2008 | 2009 |
| | line 7 | | | | 203,767. |
| 2 | Undistributed income, if any, as of the end of 2009 | | | | |
| а | Enter amount for 2008 only | | | 0. | |
| b | Total for pnor years 20 07 20 06 20 05 | | 0. | | |
| 3 | Excess distributions carryover, if any, to 2009 | | | | |
| а | From 2004 | | | | |
| b | From 2005 656, 401. | , | | | |
| С | From 2006 1,557,480. | | | | |
| d | From 2007 1,707,957. | | | | |
| е | From 2008 1,649,733. | | | | |
| f | Total of lines 3a through e | 5,993,520. | | | |
| 4 | Qualifying distributions for 2009 from Part XII, | | | | |
| | line 4 ▶ \$ 2,017,250. | , | | | |
| а | Applied to 2008, but not more than line 2a | | | 0. | |
| b | Applied to undistributed income of prior years (Election | | | | |
| ~ | required - see page 26 of the instructions) | | | | |
| c | Treated as distributions out of corpus (Election | | | | |
| · | required - see page 26 of the instructions) | | | | |
| d | Applied to 2009 distributable amount | | | | 203,767. |
| е | Remaining amount distributed out of corpus | 1,813,483. | | | |
| 5 | Excess distributions carryover applied to 2009 | | | | |
| | (If an amount appears in column (d), the same amount must be shown in column (a)) | | | | |
| 6 | Enter the net total of each column as | | | | |
| | indicated below: | | | | |
| а | Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 7,807,003. | | | |
| b | Prior years' undistributed income Subtract | | | | |
| | line 4b from line 2b | | 0. | | |
| С | Enter the amount of prior years' undistributed income for which a notice of deficiency has been | | | | |
| | issued, or on which the section 4942(a) tax has | | | | |
| | been previously assessed | | | | |
| d | Subtract line 6c from line 6b Taxable | | | | |
| _ | amount - see page 27 of the instructions | | 0. | | |
| е | Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page | | | | |
| | 27 of the instructions | | | 0. | |
| f | Undistributed income for 2009 Subtract lines | | | | |
| | 4d and 5 from line 1 This amount must be | | | | |
| 7 | distributed in 2010 | - | | | |
| • | to satisfy requirements imposed by section | | | | |
| | 170(b)(1)(F) or 4942(g)(3) (see page 27 of the | | | | |
| 8 | instructions) | | | | |
| • | applied on line 5 or line 7 (see page 27 of the | 421,949. | | | |
| _ | instructions) | 721, 343. | | | |
| 9 | Excess distributions carryover to 2010. | 7,385,054. | | | |
| | Subtract lines 7 and 8 from line 6a | 7,303,034. | | | |
| | Analysis of line 9 Excess from 2005 656, 401. | | | | |
| | 1 557 400 | | | | |
| | 1 707 057 | | | | |
| C | 1 649 733 | | | | |
| | Excess from 2008 | | | | |
| | | | I I | | |

| Pa | rt XIV Private Oper | ating Foundations (| see page 27 of the | nstructions and Pa | rt VII-A, question 9) | NOT APPLICABLE | | |
|----------|---|--|---|---|---|------------------------|--|--|
| 1 a· | at If the foundation has received a ruling or determination letter that it is a private operating | | | | | | | |
| | foundation, and the ruling is effective for 2009, enter the date of the ruling | | | | | | | |
| b | Check box to indicate whe | ther the foundation is a pr | vate operating foundation | n described in section | 4942() | (3) or 4942(J)(5) | | |
| 2 a | Enter the lesser of the ad- | Tax year | | Prior 3 years | | (e) Total | | |
| | justed net income from Part | (a) 2009 | (b) 2008 | (c) 2007 | (d) 2006 | (0) 10(2) | | |
| | From Part X for each | | | | | | | |
| | year listed | | - | | | | | |
| D | 85% of line 2a | | | | + | | | |
| С | Qualifying distributions from Part XII, line 4 for each year listed | | | | | | | |
| d | Amounts included in line 2c not | | | | | | | |
| _ | used directly for active conduct of exempt activities | | | | | | | |
| e | Qualifying distributions made | | | | | | | |
| | directly for active conduct of exempt activities Subtract line | | | | | | | |
| 3 | 2d from line 2c Complete 3a, b, or c for the | | | | | | | |
| _ | alternative test relied upon | | | | + | | | |
| а | "Assets" alternative test - enter | | | | | | | |
| | (1) Value of all assets | | | | | | | |
| | (2) Value of assets qualifying under section | | | | | | | |
| b | 4942(j)(3)(B)(i) | | | | | | | |
| ь | "Endowment" alternative test- enter 2/3 of minimum invest- | | | | İ | | | |
| | ment return shown in Part X, | | | | | | | |
| _ | line 6 for each year listed | | | | | - | | |
| С | "Support" alternative test - enter | | | | | | | |
| | (1) Total support other than gross investment income | | | | | | | |
| | (interest, dividends, rents, | | | | | | | |
| | payments on secunties loans (section 512(a)(5)). | | | | | | | |
| | or royalties) | | | | | | | |
| | (2) Support from general public and 5 or more | | | | | | | |
| | exempt organizations as provided in section 4942 | | | | | | | |
| | (j)(3)(B)(iii) | | | | <u> </u> | | | |
| | (3) Largest amount of sup- port from an exempt | | | | | | | |
| | organization | | | | | | | |
| | (4) Gross investment income | | | | | <u> </u> | | |
| Pa | | ary Information (Coduring the year - see | | | lation had \$5,000 | or more in assets | | |
| 1 | Information Regarding | - | | | | | | |
| а | List any managers of before the close of any | the foundation who h tax year (but only if th | lave contributed more ley have contributed r | e than 2% of the tota nore than \$5,000) (\$ | al contributions receiv See section 507(d)(2)) | ed by the foundation | | |
| | GLENN GREEN | NBERG & LINDA VE | ESTER | | | | | |
| b | List any managers of ownership of a partner | | | | | large portion of the | | |
| | N/A | | | | | | | |
| 2 | Information Regarding | Contribution Grant | Gift, Loan, Scholarshi | p. etc Programs: | | | | |
| ~ | | | | | | | | |
| | Check here ► X if t unsolicited requests f organizations under ot | or funds. If the found | dation makes gifts, g | rants, etc (see pag | | | | |
| a | The name, address, an | <u>·</u> | | | addressed | | | |
| | The form in which appli | cations should be sub- | nitted and information | and materials they she | ould include | | | |
| - | territori appii | | | and the same strong of the | · - · · · · · · · · · · · · | | | |
| | Any submission deadling | nes | | | | | | |
| - | , | | | | | | | |
| d | Any restrictions or li | mitations on awards, | such as by geogra | phical areas, charita | able fields, kinds of | institutions, or other | | |
| | factors | | | | | | | |

| Form 990-PF (2009) | | | 20-0405627 | Page 11 |
|--|---|--------------------------------|----------------------------------|---------------------------------------|
| Part XV Supplementary Information (c | ontinued) | | | |
| 3 Grants and Contributions Paid Dur | ing the Year or App | roved for | Future Payment | |
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | or substantial contributor | recipient | | · · · · · · · · · · · · · · · · · · · |
| a Paid during the year SEE ATTACHED SCHEDULE | NONE | | CHARITABLE | 2,017,000. |
| SHE ATTACHED SCHEDULE | NONE | | CHARTIABLE | 2,017,000. |
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| Total | | <u></u> | ▶ 3a | 2,017,000. |
| b Approved for future payment | | | | |
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| Total | . . | | ▶ 3b | |

| Part X | VI-A Analysis of Income-Prod | ucing Acti | vities | | | |
|-------------|--|---------------|---------------------------------------|----------------|--------------------------|---|
| Enter gr | oss amounts unless otherwise indicated | Unrela | ited business income | Excluded by | section 512, 513, or 514 | (e) |
| | | (a) | (b) | (c) | (d) | Related or exempt function income (See page 28 of |
| 1 Prog | ram service revenue | Business code | Amount | Exclusion code | Amount | the instructions) |
| a į | | | | | | |
| b | | | | | | |
| c | | | | _ | | |
| d | | | | | | |
| е | | | | | | |
| f | | | | | | <u> </u> |
| _ | Fees and contracts from government agencies | | | | | |
| | bership dues and assessments | | | | | |
| | est on savings and temporary cash investments | - | | 14 | 44,328. | |
| | lends and interest from securities | <u> </u> | | 14 | 44,526. | |
| | rental income or (loss) from real estate | - | | | | |
| | Debt-financed property Not debt-financed property | | · · · · · · · · · · · · · · · · · · · | | | |
| | Not debt-financed property ental income or (loss) from personal property | | | | | |
| | er investment income | | | + | | |
| | or (loss) from sales of assets other than inventory | | | 18 | -1,033,476. | |
| | ncome or (loss) from special events | | | | | |
| | s profit or (loss) from sales of inventory | | | | | |
| | r revenue a | | | | | |
| | ROYALTIES | | | | 518. | |
| c | | | | | | |
| d | | | | | | |
| е | | | | | | |
| 12 Subt | otal Add columns (b), (d), and (e) | | | | -988,630. | |
| | I. Add line 12, columns (b), (d), and (e) | | | | 13 | -988,630. |
| | rksheet in line 13 instructions on page 28 to | | | | | |
| Part X | VI-B Relationship of Activities | to the Ac | complishment of E | xempt Purp | oses | |
| Line N ▼ | Explain below how each active the accomplishment of the for page 29 of the instructions) | | | | | |
| | page 29 of the mistractions) | | | | | |
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| Pa 、 | rt XVİI | Information Exempt Orga | | ransfers To | and Transa | actions | and Re | elation | ships W | ith N | lonc | hari | table |
|-----------|--------------------------------|--|---------------------------------------|---------------------------------------|---------------------|--------------|--|---------------|----------------|---------------------|----------|--------|-----------------|
| 1 | ın se | the organization directly | | | | | | | | | | Yes | No |
| а | Trans | izations? fers from the reporting fou | | • | • | | | | | | | | |
| | | ash | | | | | | | | | 1a(1) | | X |
| | | ther assets transactions | | | • • • • • • • • | • • • • • | • • • • • | | • • • • • | • • • | 1a(2) | | ^- |
| | | ales of assets to a noncha | ritable exempt or | ganization | | | | | | | 1b(1) | | х |
| | | urchases of assets from a | | | | | | | | | 1b(2) | | X |
| | | ental of facilities, equipme | | | | | | | | | 1b(3) | | Х |
| | | eimbursement arrangeme | | | | | | | | | 1b(4) | _ | X |
| | | oans or loan guarantees | | | | | | | | | 1b(5) | | X |
| | - | erformance of services or | | | | | | | | | | - | X |
| C | | ng of facilities, equipment, | - · | - | | | | | | | 1c | fore | |
| d | | e answer to any of to of the goods, other | | | _ | | | | - | | | | |
| | | in any transaction or | • | - | | | | | | | | | |
| | | , | | | (2, | | | | | | | | |
| (a) | Line no | (b) Amount involved | (c) Name of r | onchantable exempt | torganization | | scription of ti | ransfers, tra | insactions, a | nd shan | ng amai | ngemer | nts |
| | | N/A | | | | N/A | | | | | | _ | |
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| | _ | | | | | | | | | | | | - |
| 2a | ls the | foundation directly or | indirectly affilia | ted with, or rela | ited to, one or | more tax- | exempt or | rganization | ns describe | ed in | • | | |
| | | n 501(c) of the Code (oth | - | | | | • | - | | ſ | Y | es 🗌 | X No |
| b | If "Ye: | s," complete the following | schedule | | | | | | | | | | |
| | | (a) Name of organization | · · · · · · · · · · · · · · · · · · · | (b) Typ | e of organization | | | (c) De | scription of r | elations | hip | | |
| | | | | | | | | | | | | | |
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| | | | | | | | <u> </u> | | | | | - | |
| | | · - | | | | | | | | | | | |
| | Under | penalties of perjury, I decl | are that I have ex | amined this return, | including accompa | inying sch | edules and | statements | and to the | best c | f my k | nowled | ige and |
| | belief, | it is true, correct, and com | olete Declaration | of preparer (other the | han taxpayer or fid | uciary) is b | pased on all | information | n of which | prepare | r has a | ny kno | wledge |
| | L _ | Xemb A | il. | | | 1 4/1 | 410 | _ | | | | | |
| e | S | ignature of officer or trustee | \ | | | Date | <u>′ </u> | Title | , | | | | |
| H | |) | • | | Date | | Check | ıf | | oarer's iber (Si | | | on. |
| Sign Here | ". ₹ | Preparer's | | (1) X | | 1 | | nployed P | · page | : 30 of ti | ne instr | | |
| Š | Paid reparer's Jse Onfy | signature PETER | | | 17/11 NOUTN 77 | 110 | | | | 0650 | | | |
| | Paid Preparer's Use Onfy | Firm's name (or yours if self-employed), address | | N BLOCK & A | NCHIN LL'P | | | EIN | ▶ 13- | 0436 | 940 | | |
| | | and ZIP code | NEW YO | | | 10 | 0018-70 |)01 Phr | one no 2 | 12-8 | 40-3 | 456 | |

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

| Name of the organization | | Employer identification number | | | | | |
|---|---|--------------------------------|--|--|--|--|--|
| GLENN GREENBERG AND LIND | A VESTER FOUNDATION, | | | | | | |
| INC | | 20-0405627 | | | | | |
| Organization type (check one). | | | | | | | |
| Filers of: Se | ection: | | | | | | |
| | | | | | | | |
| Form 990 or 990-EZ | 501(c)() (enter number) organization | | | | | | |
| | 4947(a)(1) nonexempt charitable trust not treated as a private foun | dation | | | | | |
| | 527 political organization | | | | | | |
| Form 990-PF | 501(c)(3) exempt private foundation | | | | | | |
| | 4947(a)(1) nonexempt charitable trust treated as a private foundation | | | | | | |
| | 501(c)(3) taxable private foundation | | | | | | |
| Instructions General Rule | (10) organization can check boxes for both the General Rule and a Spe | | | | | | |
| X For an organization filing Fo | orm 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or mo tributor. Complete Parts I and II | ore (in money or | | | | | |
| Special Rules | | | | | | | |
| For a section 501(c)(3) organized sections 509(a)(1) and 170 | anization filing Form 990 or 990-EZ that met the 33 1/3 % support test (b)(1)(A)(vi), and received from any one contributor, during the year, a countributor on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 | ontribution of the greater | | | | | |
| For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III | | | | | | | |
| For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. | | | | | | | |
| 990-EZ, or 990-PF), but it must answ | overed by the General Rule and/or the Special Rules does not file Sched ver "No" on Part IV, line 2 of its Form 990, or check the box on line H of it tify that it does not meet the filing requirements of Schedule B (Form 990) | ts Form 990-EZ, | | | | | |

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Schedule B (Form 990, 990-EZ, or 990-PF) (2009) Name of organization GLENN GREENBERG AND LINDA VESTER FOUNDATION, Employer identification number 20-0405627 Part I Contributors (see instructions) (a) (c) (d) (b) Aggregate contributions Type of contribution No. Name, address, and ZIP + 4 1 CLAT W/REMAINDER TO GLENN GREENBERG Person **Payroll** C/O BRAVE WARRIOR 12 EAST 49TH ST 80,000. Noncash (Complete Part II if there is NEW YORK, NY 10017 a noncash contribution) (a) (b) (c) Aggregate contributions Type of contribution Name, address, and ZIP + 4 No. 2 CLAT W/REMAINDER TO GLENN GREENBERG Person Payroll C/O BRAVE WARRIOR 12 EAST 49TH ST 80,000. Noncash (Complete Part II if there is NEW YORK, NY 10017 a noncash contribution) (d) (a) (c) No. Name, address, and ZIP + 4 Aggregate contributions Type of contribution 3 CLAT W/REMAINDER TO GLENN GREENBERG Х Person Payroll C/O BRAVE WARRIOR 12 EAST 49TH ST 80,000. Noncash (Complete Part II if there is NEW YORK, NY 10017 a noncash contribution) (a) (b) (c) (d) Aggregate contributions No. Name, address, and ZIP + 4 Type of contribution CLAT W/REMAINDER TO GLENN GREENBERG 4 Person Pavroll C/O BRAVE WARRIOR 12 EAST 49TH ST 80,000. Noncash (Complete Part II if there is NEW YORK, NY 10017 a noncash contribution) (a) (b) (c) (d) Type of contribution No. Name, address, and ZIP + 4 **Aggregate contributions** 5 CLAT W/REMAINDER TO GLENN GREENBERG Х Person Payroll C/O BRAVE WARRIOR 12 EAST 49TH ST 103,000. Noncash (Complete Part II if there is NEW YORK, NY 10017 a noncash contribution) (c) (d) (a) (b) No. Name, address, and ZIP + 4 **Aggregate contributions** Type of contribution Person Payroll Noncash

(Complete Part II if there is a noncash contribution)

| Glenn Greenberg & Linda Vester Foundation Contribution Made | |
|---|--------------|
| December 31, 2009 | |
| | AMOUNT. |
| | AMOUNT |
| 1/6/2009 The Mount Sinai Medical Center | 10,000 00 |
| 2/5/2009 Lawyers for Children | 50,000 00 |
| Yale University | 26,000.00 |
| 3/11/2009 Ursuline Academy | 100,000 00 |
| 3/18/2009 Hudson Institute | 15,000.00 |
| 4/9/2009 Beginning with Children | 10,000.00 |
| 4/17/2009 Facing History and Ourselves | 200,000 00 |
| The Ridgefield Playhouse | 5,000.00 |
| 5/7/2009 Film Society of Lincoln Center | 9,000 00 |
| 5/15/2009;Robin Hood Foundation | 25,000 00 |
| 5/29/2009 Institute of International | 25,000.00 |
| 6/2/2009 Film Society of Lincoln Center | 25,000 00 |
| Columbia Business School | 100,000 00 |
| 6/18/2009 IPTF | 250,000 00 |
| 8/6/2009 Yale University | 800,000.00 |
| 8/18/2009 Ursuline Academy | 66,000.00 |
| 10/26/2009 Robin Hood Foundation | 100,000 00 |
| 10/27/2009 Trinity School | 25,000.00 |
| 12/4/2009 Yale University | 51,000.00 |
| Council on Foreign Relation | 25,000 00 |
| Film Society of Lincoln Center | 25,000 00 |
| The Ridgefield Playhouse | 5,000.00 |
| 12/8/2009 The Brick Church School | 15,000.00 |
| National Parkinson Foundation | 5,000 00 |
| 12/29/2009 The American University | 50,000.00 |
| | 2,017,000.00 |

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ATTACHMENT 1

| - 1 |
|--|
| RECEIVED |
| GRANTS |
| AND |
| GIFTS |
| CONTRIBUTIONS, GIFTS AND GRANTS RECEIVED |
| 뷥 |
| PART 1 |
| FORM 990PE, PART |
| FORM |

| DIRECT PUBLIC SUPPORT | .000,000 | .000,000 | .000,000 | .000,000 | 103,000. | 423,000. |
|-----------------------------|--|--|--|--|--|----------------------------|
| DATE | 2-17-09 | 5-18-09 | 8-17-09 | 11-07-09 | 12-23-09 | TOTAL CONTRIBUTION AMOUNTS |
| NAME AND ADDRESS | CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH ST NEW YORK, NY 10017 | CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH ST NEW YORK, NY 10017 | CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH ST NEW YORK, NY 10017 | CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH ST NEW YORK, NY 10017 | CLAT W/REMAINDER TO GLENN GREENBERG C/O BRAVE WARRIOR 12 EAST 49TH ST NEW YORK, NY 10017 | TOTAL CONTE |

| | INVESTMENT | | 328. 44,328. |
|---------|------------|-------------|--------------|
| REVENUE | EXPENSES | PER BOOKS | 44,328 |
| | | DESCRIPTION | DIVIDENDS |

44,328.

44,328.

TOTAL

GLENN GREENBERG AND LINDA VESTER FOUNDATION,

ATTACHMENT

PART I - OTHER INCOME FORM 990PF,

DESCRIPTION ROYALTIES

AND EXPENSES PER BOOKS REVENUE

NET INVESTMENT INCOME

518.

518.

518.

TOTALS

1156030

- OTHER EXPENSES PART I FORM 990PF,

| | | INVESTMENT | | | 350. | ; | 350. |
|---------|-----|------------|-------------|------------|--------------------|---|--------|
| REVENUE | AND | EXPENSES | PER BOOKS | 250. | 350. | | .009 |
| | | | | | | | TOTALS |
| | | | DESCRIPTION | FILING FEE | ADMINISTRATION FEE | | |

CHARITABLE
PURPOSES
250.

250.

ATTACHMENT 4

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- CORPORATE STOCK

PART II

FORM 990PF,

| ENDING <u>FMV</u> | 0. 0. 484,623. 484,623. 0. 43,000. 221,503. 409,749. 0. 406,323. 155,042. 297,263. 3,011,449. |
|-------------------------|---|
| ENDING BOOK VALUE | 0. 530,032. 542,663. 32,612. 299,550. 249,177. 249,177. 74,406. 557,398. 74,406. 222,696. 221,355. |
| BEGINNING BOOK VALUE | 734,885. 376,862. 0. 1,399,388. 264,255. 84,491. 663,771. 454,349. 639,847. 783,467. 176,624. 94,703. 0. |
| DESCRIPTION | 17,575 SHS - INGERSOLL RAND CO 6,900 SHS - AMERICA MOVIL SAB 6,925 SHS-LOCKHEED MARTIN CORP 30,270 SHS-COMCAST CORP. 12,025 SHS - AMERICAN EXPRESS 5,000 SHS-CROSSTEX ENERGY INC 15,425 SHS - DELL INC COM 5,475 SHS - LABORATORY CORP. 9,300 SHS - LABORATORY CORP. 9,300 SHS - EATON CORP COM 15,150 SHS - RYANAIR HOLDINGS 1,405 SHS - PRECISION CASTPARTS 2,725 SHS - ROCKWELL COLLINS 20,975 SHS-US BANCORP DEL COM 6,345 SHS-VARIAN MED SYS INC |

ATTACHMENT 5

GLENN GREENBERG AND LINDA VESTER FOUNDATION,

FORM 990PE, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

| EXPENSE ACCT AND OTHER ALLOWANCES | .0 | .0 | · o | ·o | 0. |
|---|--|---|---|---|--------------|
| CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | .0 | O | Ó | .0 | 0. |
| COMPENSATION | 0. | · o | 0 | .0 | 0 |
| TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | SECY/TREAS/DIR 3.00 | PRES/DIR 3.00 | DIR | DIR | GRAND TOTALS |
| NAME AND ADDRESS | GLENN H. GREENBERG C/O BRAVE WARRIOR CAPITAL INC 12 EAST 49TH STREET NEW YORK, NY 10017 | LINDA J. VESTER C/O BRAVE WARRIOR CAPITAL INC 12 EAST 49TH STREET NEW YORK, NY 10017 | SPENCER GREENBERG C/O BRAVE WARRIOR CAPITAL INC 12 EAST 49TH STREET NEW YORK, NY 10017 | GREGORY GREENBERG C/O BRAVE WARRIOR CAPITAL INC 12 EAST 49TH STREET NEW YORK, NY 10017 | |

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

| Name | Name of estate or trust GLENN GREENBERG AND LINDA VESTER FOUNDATION, Employer ident | | | | | tification number | | |
|------|--|--------------------------------------|----------------------------------|-----------------------|---|-------------------|--|--|
| | NC | | | | 20-04056 | 27_ | | |
| | : Form 5227 filers need to complete only P | | | | | | | |
| Par | Short-Term Capital Gains and L | osses - Assets | Held One Yo | ear or Less | | | | |
| | (a) Description of property (Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired (mo , day, yr) | (c) Date sold (mo , day, yr) | (d) Sales price | (e) Cost or other (see page 4 of instructions | the | (f) Gain or (loss) for the entire year Subtract (e) from (d) | |
| 1a | | | | | | | | |
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| | | | | | | | | |
| b | Enter the short-term gain or (loss), if any, fr | om Schedule D-1 | , line 1b | | | 1b | -1,033,476. | |
| | Object to the second of the se | 1004 0050 070 | 14 1 0004 | | | ١. | | |
| 2 | Short-term capital gain or (loss) from Forms | s 4684, 6252, 678 | 31, and 8824 | | | 2 | | |
| 3 | Net short-term gain or (loss) from partnersh | nins S comoratio | ns and other ed | states or trusts | | 3 | | |
| 4 | Short-term capital loss carryover Enter the | • | • | • | | <u> </u> | | |
| | Carryover Worksheet | • | | | | 4 | () | |
| 5 | Net short-term gain or (loss). Combine lin | nes 1a through 4 i | n column (f) Eı | nter here and on line | 13, | | | |
| | column (3) on the back | | | <u> </u> | <u> </u> | 5 | -1,033,476. | |
| Par | t II Long-Term Capital Gains and Losse | s - Assets Held I | More Than One | Year | T | | I | |
| | (a) Description of property (Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired (mo , day, yr) | (c) Date sold (mo , day, yr) | (d) Sales price | (e) Cost or other (see page 4 of | the | (f) Gain or (loss) for the entire year | |
| | (Example 100 shales 170 preferred of 2 Co) | (mo, day, yr) | (IIIO, day, yr) | | instructions |) | Subtract (e) from (d) | |
| 6a | | | | | | | | |
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| | | | | <u> </u> | | | | |
| h | Enter the long-term gain or (loss), if any, fro | om Schedule D-1 | line 6h | | | 6ь | | |
| U | Enter the long-term gain or (loss), if any, in | Jili Scriedule D-1, | iline ob | | | 90 | | |
| 7 | Long-term capital gain or (loss) from Forms | 2439, 4684, 625 | 2, 6781, and 88 | 324 | | 7 | | |
| 8 | Net long-term gain or (loss) from partnershi | ps, S corporation | s, and other es | tates or trusts | | 8 | | |
| | | | | | | | | |
| 9 | Capital gain distributions | • • • • • • • • • • | | | | 9 | | |
| 10 | Gain from Form 4797, Part I | | | | | 10 | | |
| 11 | Long-term capital loss carryover Enter the | amount, if any, fr | om line 14 of th | e 2008 Capital Loss | | | | |
| | Carryover Worksheet | • | | | | 11 | () | |
| 12 | Net long-term gain or (loss). Combine line | es 6a through 11 | n column (f) E | nter here and on line | 14a, | | | |
| | column (3) on the back | | <u></u> | <u></u> <u>.</u> | <u> ▶</u> | 12 | | |
| _ | | | | | _ | | | |

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

| Sched | tule D (Form 1041) 2009 | | | | | | Page 2 |
|---------------|--|-----------------|------------------------------------|---------------------------------|----------------------------|--------------------------|---------------------------------------|
| Par | Summary of Parts I and II Caution: Read the instructions before completing this pa | art | • • | eficiaries' page 5) | (2) Est or tru | | (3) Total |
| 13 | | 13 | | | | | -1,033,476. |
| | Net long-term gain or (loss): | | | | | | |
| а | Total for year1 | 14a | | | | | |
| b | | 14b | | | | | |
| | 28% rate gain1 | | | | | | |
| 15 | Total net gain or (loss). Combine lines 13 and 14a ▶ □ | 15 | | | | | -1,033,476. |
| Note to Pa | e: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 9 int V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part | 990-T t IV a | , Part I, line nd the Ca | e 4a) If lines pital Loss Ca | 14a and 15, arryover Wo | colum o rksh e | nn (2), are net gains, go |
| Par | t IV Capital Loss Limitation | | | | | | |
| 16 | Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Par | | | • • | | | |
| a | The loss on line 15, column (3) or b \$3,000 | | | | | 16 | (3,000.) |
| Note Carn | :: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page yoverWorksheeton page 7 of the instructions to figure your capital loss carryover | 1, lin | e 22 (or F | orm 990-T, li | ne 34), is a | loss, c | complete the Capital Loss |
| | Tax Computation Using Maximum Capital Gains Rates | | | | | | · · · · · · · · · · · · · · · · · · · |
| | n 1041 filers. Complete this part only if both lines 14a and 15 in column | |) are gair | s or an am | nount is en | tered | in Part I or Part II and |
| | e is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more the | | | is, or all all | iodiit is on | to, ca | in raiti or raitin and |
| | tion: Skip this part and complete the worksheet on page 8 of the instruction | | | | | | |
| | ther line 14b, col (2) or line 14c, col (2) is more than zero, or | | | | | | |
| | oth Form 1041, line 2b(1), and Form 4952, line 4g are more than zero | | | | | | |
| | n 990-T trusts. Complete this part only if both lines 14a and 15 are | | | | | | |
| | orm 990-T, and Form 990-T, line 34, is more than zero. Skip this part er line 14b, col. (2) or line 14c, col. (2) is more than zero. | and | complete | the works | sheet on p | age 8 | of the instructions if |
| 17 | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) | | . 17 | | | | |
| 18 | Enter the smaller of line 14a or 15 in column (2) | | | | | | |
| | but not less than zero | | | | | | |
| 19 | Enter the estate's or trust's qualified dividends | | | | | | |
| | from Form 1041, line 2b(2) (or enter the qualified | | | | | | |
| | dividends included in income in Part I of Form 990-T) 19 | | | | | | |
| 20 | Add lines 18 and 19 | | | | | | |
| 21 | If the estate or trust is filing Form 4952, enter the | | | | | ľ | |
| | amount from line 4g, otherwise, enter -0 ▶ 21 | | | | | | |
| 22 | Subtract line 21 from line 20 If zero or less, enter -0 | | 22 | | | | |
| 23 | Subtract line 22 from line 17 If zero or less, enter -0- | | 23 | | | | |
| | | | | | | | |
| 24 | Enter the smaller of the amount on line 17 or \$2,300 | | 24 | | | | |
| 25 | Is the amount on line 23 equal to or more than the amount on line 24? | | | | | İ | |
| | Yes. Skip lines 25 and 26, go to line 27 and check the "No" box | | | | | Ì | |
| | No. Enter the amount from line 23 | | 25 | | | | |
| 26 | Subtract line 25 from line 24 | | . 26 | | | | |
| 27 | Are the amounts on lines 22 and 26 the same? | | | | | | |
| | Yes. Skip lines 27 thru 30, go to line 31 No. Enter the smaller of line 17 or line 2 | 22 | 27 | | | | |
| 28 | Enter the amount from line 26 (If line 26 is blank, enter -0-) | | 28 | | | | |
| 29 | Subtract line 28 from line 27 | | 29 | | | | |
| 30 | | | • • —— | | | 30 | |
| 31 | Figure the tax on the amount on line 23 Use the 2009 Tax Rate | | | | nd Trusts | | |
| ٠. | (see the Schedule Ginstructions in the instructions for Form 1041) | | | | | 31 | |
| | (200 the Coneduce Comparations in the managina for Form 1041) | • • | | | | | |
| 32 | Add lines 30 and 31 | | | | | 32 | |
| 33 | Add lines 30 and 31 | | | | d Trusts | <u> </u> | |
| - | (see the Schedule Ginstructions in the instructions for Form 1041) | | | | | 33 | |
| 34 | Tax on all taxable income. Enter the smaller of line 32 or line 33 he | | | | | - | |
| ٠. | G line 1a (or Form 990-T line 36) | | | | | 34 | |

Schedule D (Form 1041) 2009

20-0405627

GLENN GREENBERG AND LINDA VESTER FOUNDATION, 20-0409
FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME | | | | | | | | |
|---|---------------------------------------|---------------------|--------------------------|---|------------------------------------|---------|----------------------|-----------|
| Kind of Pi | roperty | | Descr | iption | | or D | acquired | Date sold |
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | PUBLICLY TRA | | | | Р | | V |
| | | PROPERTY TY | | | | ľ | VAIC | , |
| 44,999. | | 4,878,475. | | | | | -1033476. | |
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| TAL GAIN (LO | OSS) | | • • • • • • • • • • • | • | • • • • • | | <u>-1033476.</u> | |
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