Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , **and ending**

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: GRIGG-LEWIS FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address): 76 WEST AVENUE — Room/suite:

City or town, state, and ZIP code: LOCKPORT, NY 14094

A Employer identification number: 16-1550858

B Telephone number: 716-478-0002

C If exemption application is pending, check here ▶ [ ]

D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 40,106,144.

J Accounting method: [ ] Cash [X] Accrual
[ ] Other (specify)
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received	756.		N/A	
	2	Check ▶ [X] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	1,126,581.	1,126,581.		STATEMENT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	<2,668,450.>			
	b	Gross sales price for all assets on line 6a 11,133,886.				
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	**Total.** Add lines 1 through 11	<1,541,113.>	1,126,581.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	122,000.	48,800.		73,200.
	14	Other employee salaries and wages	30,877.	7,719.		23,158.
	15	Pension plans, employee benefits	5,678.	1,420.		4,258.
	16a	Legal fees				
	b	Accounting fees STMT 2	16,815.	5,885.		10,930.
	c	Other professional fees STMT 3	159,168.	159,168.		0.
	17	Interest				
	18	Taxes STMT 4	25,756.	2,552.		6,706.
	19	Depreciation and depletion	1,254.	0.		
	20	Occupancy	13,944.	0.		13,944.
	21	Travel, conferences, and meetings	4,936.	0.		4,936.
	22	Printing and publications				
	23	Other expenses STMT 5	27,235.	1,572.		15,978.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	407,663.	227,116.		153,110.
	25	Contributions, gifts, grants paid	1,805,865.			1,805,865.
	26	**Total expenses and disbursements.** Add lines 24 and 25	2,213,528.	227,116.		1,958,975.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	<3,754,641.>			
	b	**Net investment income** (if negative, enter -0-)		899,465.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

RECEIVED
MAY 24 2010
OGDEN, UT
IRS-OSC

SCANNED MAY 27 2010

923501
02-02-10 LHA **For Privacy Act and Paperwork Reduction Act Notice, see the instructions.** Form **990-PF** (2009)

G-H 9

11340506 783816 G0-0602-00 2009.03050 GRIGG-LEWIS FOUNDATION, INC G0-06021

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	800,373.	1,446,141.	1,446,141.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶ 378,000.			
		Less: allowance for doubtful accounts ▶	28,000.	378,000.	378,000.
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	34,798.	22,357.	22,357.
	10a	Investments - U.S. and state government obligations STMT 8	8,107,253.	9,313,906.	9,313,906.
	b	Investments - corporate stock STMT 9	20,967,658.	22,943,763.	22,943,763.
	c	Investments - corporate bonds STMT 10	5,495,951.	5,857,489.	5,857,489.
	11	Investments - land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 11	73,208.	141,825.	141,825.
	14	Land, buildings, and equipment: basis ▶ 4,544.			
		Less: accumulated depreciation STMT 12▶ 1,881.	3,917.	2,663.	2,663.
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers)	35,511,158.	40,106,144.	40,106,144.
Liabilities	17	Accounts payable and accrued expenses	537.	1,354.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	537.	1,354.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	35,510,621.	40,104,790.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances**	35,510,621.	40,104,790.	
	31	**Total liabilities and net assets/fund balances**	35,511,158.	40,106,144.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	35,510,621.
2	Enter amount from Part I, line 27a	2	<3,754,641.>
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	8,360,004.
4	Add lines 1, 2, and 3	4	40,115,984.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	11,194.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	40,104,790.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	VARIOUS SECURITIES - SEE ATTACHMENT A	P	VARIOUS	VARIOUS
b	VARIOUS SECURITIES - SEE ATTACHMENT B	P	VARIOUS	VARIOUS
c	CAPITAL GAINS DIVIDENDS			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,853,704.		6,078,943.	<1,225,239.>
b	6,276,781.		7,723,393.	<1,446,612.>
c	3,401.			3,401.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				<1,225,239.>
b				<1,446,612.>
c				3,401.
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 <2,668,450.>

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	2,542,198.	41,613,128.	.061091
2007	1,989,754.	47,942,588.	.041503
2006	2,497,542.	44,868,878.	.055663
2005	1,559,923.	43,905,620.	.035529
2004	1,408,597.	25,526,692.	.055181

2	Total of line 1, column (d)	2	.248967
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049793
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	35,220,469.
5	Multiply line 4 by line 3	5	1,753,733.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	8,995.
7	Add lines 5 and 6	7	1,762,728.
8	Enter qualifying distributions from Part XII, line 4	8	1,958,975.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	8,995.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	8,995.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	8,995.
6 Credits/Payments.			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 10,002.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	10,002.
8 Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	1,007.
11 Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 1,007.	Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.GRIGGLEWIS.ORG

14 The books are in care of ▶ WILLIAM B. MAY Telephone no ▶716-478-0002

Located at ▶76 WEST AVENUE, LOCKPORT, NY ZIP+4 ▶14094

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly).			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Form **990-PF** (2009)

923541
02-02-10

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		122,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
HARTFORD FINANCIAL MANAGEMENT 40 PRATT STREET, HARTFORD, CT	INVESTMENT MANAGEMENT	113,742.

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:		
a	Average monthly fair market value of securities	1a	34,611,104.
b	Average of monthly cash balances	1b	1,145,717.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	35,756,821.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	35,756,821.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	536,352.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	35,220,469.
6	**Minimum investment return.** Enter 5% of line 5	6	1,761,023.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,761,023.
2a	Tax on investment income for 2009 from Part VI, line 5 — 2a: 8,995.		
b	Income tax for 2009 (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	8,995.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	1,752,028.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,752,028.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,752,028.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,958,975.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,958,975.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	8,995.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,949,980.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2009)

923571
02-02-10

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,752,028.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			351,301.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 1,958,975.				
a Applied to 2008, but not more than line 2a			351,301.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				1,607,674.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				144,354.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon. a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT D				1,805,865.
Total ▶ 3a				1,805,865.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	1,126,581.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	<2,668,450.>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		<1,541,869.>	0.

13 Total. Add line 12, columns (b), (d), and (e) .... 13 <1,541,869.>

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | Date 15-7-10 | Title Ex Dir

Paid Preparer's Use Only

Preparer's signature CPA | Date 5/6/10 | Check if self-employed ☐ | Preparer's identifying number

Firm's name (or yours if self-employed), address, and ZIP code: LUMSDEN & MCCORMICK, LLP, 403 MAIN ST. SUITE 430, BUFFALO, NY 14203

EIN

Phone no (716)856-3300

GRIGG-LEWIS FOUNDATION INC.
EIN: 16-1550858
ATTACHMENT A

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
08-20-08	01-14-09	600	Webster Fmancial Corp	12,456 54	6,362.79	-6,093 75	
08-20-08	01-14-09	100	Webster Fmancial Corp	2,076 09	1,060 46	-1,015 63	
08-20-08	01-14-09	51	Webster Fmancial Corp	1,058 81	540 84	-517 97	
08-20-08	01-14-09	100	Webster Fmancial Corp	2,076 09	1,060 46	-1,015 63	
08-20-08	01-14-09	300	Webster Fmancial Corp	6,228.27	3,181 39	-3,046 88	
08-20-08	01-14-09	100	Webster Fmancial Corp	2,077 09	1,060 46	-1,016 63	
08-20-08	01-14-09	129	Webster Fmancial Corp	2,679 45	1,368 00	-1,311 45	
08-20-08	01-14-09	52	Webster Fmancial Corp	1,080 09	551.44	-528 65	
08-20-08	01-14-09	129	Webster Fmancial Corp.	2,679 45	1,368 00	-1,311 45	
08-20-08	01-14-09	197	Webster Fmancial Corp	4,091 87	2,089 12	-2,002 75	
08-20-08	01-14-09	100	Webster Fmancial Corp.	2,077 09	1,060 46	-1,016 63	
08-20-08	01-14-09	200	Webster Fmancial Corp	4,154 18	2,120 93	-2,033 25	
08-20-08	01-14-09	100	Webster Fmancial Corp	2,077 09	1,060 46	-1,016 63	
08-20-08	01-14-09	100	Webster Fmancial Corp	2,077 09	1,060.46	-1,016 63	
08-20-08	01-14-09	100	Webster Fmancial Corp	2,077 09	1,060 46	-1,016 63	
08-20-08	01-14-09	700	Webster Fmancial Corp	14,546 63	7,423 25	-7,123 38	
08-20-08	01-14-09	100	Webster Fmancial Corp	2,078 09	1,060.46	-1,017 63	
08-20-08	01-14-09	100	Webster Fmancial Corp	2,078 09	1,060 46	-1,017 63	
08-20-08	01-14-09	200	Webster Fmancial Corp	4,156 18	2,120.93	-2,035.25	
08-20-08	01-14-09	2,200	Webster Fmancial Corp	45,739 97	23,330 23	-22,409 74	
08-20-08	01-14-09	100	Webster Financial Corp	2,079 09	1,060.46	-1,018 63	
08-20-08	01-14-09	200	Webster Financial Corp	4,158 18	2,120 93	-2,037 25	
08-20-08	01-14-09	100	Webster Fmancial Corp	2,080 09	1,060.47	-1,019 62	
08-20-08	01-14-09	100	Webster Financial Corp.	2,080 09	1,060 46	-1,019 63	
08-20-08	01-14-09	42	Webster Fmancial Corp	873 64	445 40	-428 24	
08-20-08	01-14-09	3,800	Webster Fmancial Corp	79,043 36	40,297 67	-38,745 69	
10-27-04	01-15-09	1,917 74	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	1,909 95	1,917.74		7 79
01-20-05	01-15-09	1,804 64	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	1,802 95	1,804.64		1 69
01-19-05	01-15-09	40,110 75	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	40,016 74	40,110 75		94 01
11-27-00	01-15-09	9 27	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	9 14	9 27		0 13
03-13-96	01-15-09	26 35	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	26.36	26.35		-0 01
10-20-94	01-15-09	12.60	GNMA Pool #386001 8 000% Due 10-15-24	12 34	12 60		0 26
05-15-97	01-15-09	4 34	GNMA Pool #439538 7 000% Due 04-15-27	4.14	4 34		0 20
01-30-92	01-15-09	30.45	GNMA Pool #318571 8 000% Due 02-15-22	30.45	30 45		0 00
03-25-02	01-15-09	1,127	Bank of Amenca Corp	52,012 20	9,105 13		-42,907 07
07-01-02	01-15-09	563	Bank of America Corp	25,229 95	4,548 53		-20,681 42
11-03-03	01-15-09	1,253	Bank of Amenca Corp	57,791 34	10,123.10		-47,668 24
09-16-04	01-15-09	400	Bank of Amenca Corp	18,493 23	3,231 64		-15,261 59
12-02-04	01-15-09	1,000	Bank of Amenca Corp	46,524 97	8,079 09		-38,445 88
12-02-04	01-15-09	1,000	Bank of Amenca Corp	46,524 98	8,079 09		-38,445 89
12-30-04	01-15-09	2,000	Bank of Amenca Corp	94,149 95	16,158 18		-77,991 77
02-12-08	01-15-09	6	Bank of Amenca Corp.	258 33	48.47	-209 86	
02-12-08	01-15-09	100	Bank of Amenca Corp.	4,304 23	807 91	-3,496 32	
02-12-08	01-15-09	100	Bank of Amenca Corp	4,304 23	807.91	-3,496 32	
02-12-08	01-15-09	100	Bank of America Corp	4,304 23	807.91	-3,496 32	
02-12-08	01-15-09	100	Bank of Amenca Corp	4,304 23	807 91	-3,496 32	
02-12-08	01-15-09	100	Bank of America Corp.	4,304 33	807.91	-3,496 42	
02-12-08	01-15-09	200	Bank of Amenca Corp	8,608 46	1,615 82	-6,992.64	
02-12-08	01-15-09	200	Bank of Amenca Corp.	8,608 46	1,615 82	-6,992 64	
02-12-08	01-15-09	200	Bank of Amenca Corp	8,608.46	1,615 82	-6,992 64	
02-12-08	01-15-09	200	Bank of Amenca Corp	8,608 46	1,615 82	-6,992 64	

GRIGG-LEWIS FOUNDATION INC.
EIN: 16-1550858
ATTACHMENT A

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
02-12-08	01-15-09	700	Bank of America Corp	30,129 62	5,655 36	-24,474 26	
02-12-08	01-15-09	994	Bank of America Corp	42,794 01	8,030 60	-34,763 41	
08-29-08	01-15-09	7,900	Citigroup Inc	150,187 84	30,646 38	-119,541.46	
08-29-08	01-15-09	100	Citigroup Inc	1,901 11	387 93	-1,513 18	
09-12-08	01-15-09	5,000	Citigroup Inc	90,058 95	19,396 45	-70,662 50	
11-17-03	01-20-09	2,000	Royal Bk Scotland 7 65% Ser F PFD	55,900 00	10,070 99		-45,829 01
10-22-02	01-25-09	1,433 03	FNMA 7 YR Balloon #254566 4.500% Due 11-01-09	1,450 72	1,433 03		-17 69
02-01-05	01-25-09	7,250 25	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	7,242 32	7,250 25		7 93
03-26-04	01-25-09	2,185 58	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	2,195 48	2,185 58		-9 90
03-08-06	01-25-09	4,909 59	FNMA 30 YR #256101 5 500% Due 02-01-36	4,813 70	4,909 59		95 89
08-01-03	01-25-09	1,491 95	FNMA 15 YR #736453 5 000% Due 08-01-18	1,485 42	1,491 95		6 53
08-12-04	01-25-09	260 53	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	256 95	260 53		3 58
12-21-04	01-25-09	1,051 54	FNMA 30 YR Pool #805184 5.000% Due 01-01-35	1,045 46	1,051.54		6 08
05-01-08	01-25-09	1,620 00	FNMA 30 YR Pool #850566 5.000% Due 01-01-36	1,602.28	1,620 00	17 72	
06-02-00	01-29-09	2,700	Black & Decker Corp	101,491 00	86,967 01		-14,523 99
10-27-04	02-15-09	3,512 18	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	3,497 91	3,512 18		14 27
01-20-05	02-15-09	2,049 40	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	2,047 48	2,049 40		1.92
01-19-05	02-15-09	888 63	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	886 55	888 63		2 08
11-27-00	02-15-09	617 72	FHLMC Gold 30 Yr #C44969 7.000% Due 11-01-30	608 94	617 72		8 78
03-13-96	02-15-09	19 72	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	19 73	19.72		-0 01
10-20-94	02-15-09	12 69	GNMA Pool #386001 8 000% Due 10-15-24	12.42	12.69		0 27
05-15-97	02-15-09	4 97	GNMA Pool #439538 7 000% Due 04-15-27	4 75	4 97		0.22
01-30-92	02-15-09	27 06	GNMA Pool #318571 8 000% Due 02-15-22	27 06	27 06		0 00
06-18-03	02-17-09	1,200	Ameren Corporation	54,658.96	33,639.07		-21,019 89
11-03-03	02-17-09	1,000	Ameren Corporation	44,609 95	28,032 56		-16,577 39
12-30-04	02-17-09	800	Ameren Corporation	40,081 95	22,426 04		-17,655.91
10-22-02	02-25-09	1,381 74	FNMA 7 YR Balloon #254566 4 500% Due 11-01-09	1,398 79	1,381 74		-17 05
02-01-05	02-25-09	10,643 26	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	10,631 62	10,643 26		11 64
03-26-04	02-25-09	182 93	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	183 76	182 93		-0 83
03-08-06	02-25-09	21,724 74	FNMA 30 YR #256101 5 500% Due 02-01-36	21,300 43	21,724.74		424 31
08-01-03	02-25-09	1,382 32	FNMA 15 YR #736453 5.000% Due 08-01-18	1,376.27	1,382 32		6 05

GRIGG-LEWIS FOUNDATION INC.
EIN: 16-1550858
ATTACHMENT A

Hartford Financial Management, Inc.

REALIZED GAINS AND LOSSES

Grigg-Lewis Foundation, Inc.

HFM - Managed

From 01-01-09 Through 12-31-09

						Gain Or Loss	
Open Date	**Close Date**	**Quantity**	**Security**	**Cost Basis**	**Proceeds**	**Short Term**	**Long Term**
08-12-04	02-25-09	265 43	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	261 78	265 43		3 65
12-21-04	02-25-09	1,060 82	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	1,054 69	1,060 82		6 13
05-01-08	02-25-09	2,813 55	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	2,782 78	2,813 55	30 77	
10-27-04	03-15-09	7,250 93	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	7,221 47	7,250 93		29 46
01-20-05	03-15-09	1,679 43	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	1,677 86	1,679 43		1 57
01-19-05	03-15-09	884 76	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	882 69	884 76		2 07
11-27-00	03-15-09	843 40	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	831.41	843 40		11 99
03-13-96	03-15-09	22 12	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	22.13	22 12		-0 01
10-20-94	03-15-09	12.78	GNMA Pool #386001 8 000% Due 10-15-24	12 51	12 78		0 27
05-15-97	03-15-09	4 38	GNMA Pool #439538 7 000% Due 04-15-27	4 18	4 38		0 20
01-30-92	03-15-09	30.92	GNMA Pool #318571 8 000% Due 02-15-22	30 92	30 92		0 00
10-22-02	03-25-09	1,426 26	FNMA 7 YR Balloon #254566 4 500% Due 11-01-09	1,443 86	1,426 26		-17 60
02-01-05	03-25-09	15,482 64	FNMA 7 YR Balloon #255629 5.000% Due 02-01-35	15,465 70	15,482 64		16.94
03-26-04	03-25-09	3,311 03	FNMA 7 YR Balloon #255245 3.500% Due 04-01-11	3,326.03	3,311 03		-15 00
03-08-06	03-25-09	29,830 90	FNMA 30 YR #256101 5 500% Due 02-01-36	29,248 27	29,830 90		582 63
08-01-03	03-25-09	1,261.32	FNMA 15 YR #736453 5 000% Due 08-01-18	1,255 80	1,261 32		5 52
08-12-04	03-25-09	288 03	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	284 07	288 03		3 96
12-21-04	03-25-09	29,332.12	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	29,162 52	29,332 12		169 60
05-01-08	03-25-09	5,225 41	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	5,168 26	5,225 41	57 15	
10-27-04	04-15-09	6,917 83	FHLMC Gold 30 Yr #G08014 5.000% Due 10-01-34	6,889 73	6,917.83		28.10
01-20-05	04-15-09	2,340.33	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	2,338.14	2,340 33		2.19
01-19-05	04-15-09	28,397 88	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	28,331 32	28,397 88		66 56
11-27-00	04-15-09	7 34	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	7 24	7 34		0 10
03-13-96	04-15-09	39 38	FHLMC Gold 30 Yr #C80391 7.000% Due 03-01-26	39 39	39.38		-0 01
10-20-94	04-15-09	12 87	GNMA Pool #386001 8 000% Due 10-15-24	12.60	12 87		0.27
05-15-97	04-15-09	4 41	GNMA Pool #439538 7.000% Due 04-15-27	4 21	4.41		0.20
01-30-92	04-15-09	24 86	GNMA Pool #318571 8 000% Due 02-15-22	24.86	24 86		0 00

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
10-22-02	04-25-09	1,139 99	FNMA 7 YR Balloon #254566 4 500% Due 11-01-09	1,154 06	1,139 99		-14 07
02-01-05	04-25-09	9,306 60	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	9,296 42	9,306 60		10 18
03-26-04	04-25-09	3,817 61	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	3,834 91	3,817 61		-17 30
03-08-06	04-25-09	26,763 90	FNMA 30 YR #256101 5 500% Due 02-01-36	26,241 17	26,763 90		522 73
08-01-03	04-25-09	1,312.34	FNMA 15 YR #736453 5 000% Due 08-01-18	1,306 60	1,312.34		5 74
08-12-04	04-25-09	280.65	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	276 79	280 65		3 86
12-21-04	04-25-09	946 38	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	940 91	946 38		5 47
05-01-08	04-25-09	5,032 65	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	4,977 61	5,032 65	55 04	
07-08-08	05-07-09	25	El Paso Corporation	480 27	194 73	-285 54	
07-08-08	05-07-09	48	El Paso Corporation	922.12	373 88	-548 24	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778.92	-1,142 15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778.92	-1,142 15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778 92	-1,142 15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778 92	-1,142.15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778.92	-1,142.15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778 92	-1,142 15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778 92	-1,142 15	
07-08-08	05-07-09	100	El Paso Corporation	1,921.07	778 92	-1,142.15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778 92	-1,142.15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778 92	-1,142 15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778 92	-1,142 15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778 92	-1,142 15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778.92	-1,142 15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778 92	-1,142 15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778.92	-1,142 15	
07-08-08	05-07-09	100	El Paso Corporation	1,921.07	778 92	-1,142 15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778 92	-1,142 15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778.92	-1,142 15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778 92	-1,142 15	
07-08-08	05-07-09	100	El Paso Corporation	1,921 07	778 92	-1,142 15	
07-08-08	05-07-09	200	El Paso Corporation	3,842 15	1,557 84	-2,284 31	
07-08-08	05-07-09	200	El Paso Corporation	3,842.15	1,557 84	-2,284 31	
07-08-08	05-07-09	300	El Paso Corporation	5,763 22	2,336 76	-3,426 46	
07-08-08	05-07-09	400	El Paso Corporation	7,684 30	3,115 68	-4,568 62	
07-08-08	05-07-09	400	El Paso Corporation	7,684 30	3,115 68	-4,568 62	
07-08-08	05-07-09	600	El Paso Corporation	11,526 53	4,673 52	-6,853 01	
07-08-08	05-07-09	600	El Paso Corporation	11,526 45	4,673 52	-6,852 93	
07-08-08	05-07-09	600	El Paso Corporation	11,526 45	4,673 52	-6,852 93	
07-08-08	05-07-09	600	El Paso Corporation	11,526 45	4,673 52	-6,852 93	
07-08-08	05-07-09	700	El Paso Corporation	13,447 52	5,452 44	-7,995 08	
07-08-08	05-07-09	4,427	El Paso Corporation	85,045 97	34,482.82	-50,563 15	
07-08-08	05-07-09	900	El Paso Corporation	17,289 67	7,010 28	-10,279 39	
11-26-08	05-07-09	800	El Paso Corporation	5,610 39	6,231.36	620 97	
11-26-08	05-07-09	500	El Paso Corporation	3,506 49	3,894 60	388 11	
11-26-08	05-07-09	200	El Paso Corporation	1,402 58	1,557 84	155 26	
11-26-08	05-07-09	200	El Paso Corporation	1,400 60	1,557.84	157 24	
11-26-08	05-07-09	100	El Paso Corporation	701 30	778.92	77 62	
11-26-08	05-07-09	100	El Paso Corporation	701 30	778.92	77 62	

GRIGG-LEWIS FOUNDATION INC.
EIN: 16-1550858
ATTACHMENT A

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
11-26-08	05-07-09	100	El Paso Corporation	701 30	778 92	77.62	
11-26-08	05-07-09	100	El Paso Corporation	701 30	778 92	77 62	
11-26-08	05-07-09	100	El Paso Corporation	701 30	778 92	77 62	
11-26-08	05-07-09	100	El Paso Corporation	701 30	778 92	77 62	
11-26-08	05-07-09	100	El Paso Corporation	701.30	778 92	77 62	
11-26-08	05-07-09	100	El Paso Corporation	700 30	778 92	78 62	
11-26-08	05-07-09	100	El Paso Corporation	700 30	778 92	78 62	
11-26-08	05-07-09	100	El Paso Corporation	700 30	778 92	78.62	
11-26-08	05-07-09	100	El Paso Corporation	700 30	778 92	78 62	
11-26-08	05-07-09	91	El Paso Corporation	638 18	708 82	70 64	
11-26-08	05-07-09	68	El Paso Corporation	476 88	529.66	52 78	
11-26-08	05-07-09	41	El Paso Corporation	287 12	319 36	32 24	
10-25-00	05-07-09	140	Mindspeed Technologies	2,843 97	291 04		-2,552 93
04-27-01	05-07-09	20	Mindspeed Technologies	165 76	41 58		-124 18
04-27-01	05-07-09	47	Mindspeed Technologies	385 15	96 87		-288 28
02-25-03	05-07-09	260	Mindspeed Technologies	901 08	540 49		-360 59
09-16-04	05-07-09	600	Mindspeed Technologies	9,720 00	1,247 30		-8,472 70
09-22-05	05-07-09	933	Mindspeed Technologies	10,410 36	1,940 37		-8,469 99
04-10-06	05-07-09	700	Mindspeed Technologies	14,332 50	1,455 18		-12,877 32
04-10-06	05-07-09	300	Mindspeed Technologies	6,142.50	599 65		-5,542 85
05-21-07	05-07-09	17	Mindspeed Technologies	183 15	33 58		-149 57
05-21-07	05-07-09	20	Mindspeed Technologies	218 04	39 98		-178 06
05-21-07	05-07-09	20	Mindspeed Technologies	218 04	39 98		-178 06
05-21-07	05-07-09	23	Mindspeed Technologies	252 93	46 37		-206 56
05-21-07	05-07-09	60	Mindspeed Technologies	654 12	119 93		-534 19
05-21-07	05-07-09	60	Mindspeed Technologies	654 12	119 93		-534 19
05-21-07	05-07-09	80	Mindspeed Technologies	872.16	159 91		-712.25
05-21-07	05-07-09	100	Mindspeed Technologies	1,090 20	199 88		-890 32
05-21-07	05-07-09	100	Mindspeed Technologies	1,090 20	199 88		-890 32
05-21-07	05-07-09	100	Mindspeed Technologies	1,090 20	199 88		-890 32
05-21-07	05-07-09	200	Mindspeed Technologies	2,180 40	399 77		-1,780 63
05-21-07	05-07-09	200	Mindspeed Technologies	2,180 40	399 76		-1,780 64
05-21-07	05-07-09	240	Mindspeed Technologies	2,616 48	479 72		-2,136 76
05-21-07	05-07-09	300	Mindspeed Technologies	3,270 60	599.65		-2,670 95
05-21-07	05-07-09	680	Mindspeed Technologies	7,413 35	1,359 20		-6,054 15
05-21-07	05-07-09	583	Mindspeed Technologies	6,355 86	1,165 31		-5,190 55
05-21-07	05-07-09	417	Mindspeed Technologies	4,546 13	833 51		-3,712 62
05-21-07	05-07-09	500	Mindspeed Technologies	5,450 99	1,004 41		-4,446 58
05-21-07	05-07-09	300	Mindspeed Technologies	3,270 60	608 64		-2,661 96
05-21-07	05-07-09	300	Mindspeed Technologies	3,270 60	599 64		-2,670 96
05-21-07	05-07-09	200	Mindspeed Technologies	2,180 40	405.76		-1,774 64
05-21-07	05-07-09	200	Mindspeed Technologies	2,180 40	399.76		-1,780 64
05-21-07	05-07-09	100	Mindspeed Technologies	1,090 20	202 88		-887 32
05-21-07	05-07-09	100	Mindspeed Technologies	1,090 20	200.88		-889 32
05-21-07	05-07-09	100	Mindspeed Technologies	1,090.20	199 88		-890 32
10-27-04	05-15-09	7,827 27	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	7,795 47	7,827 27		31 80
01-20-05	05-15-09	2,335 93	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	2,333 74	2,335.93		2.19
01-19-05	05-15-09	851 84	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	849 84	851 84		2 00
11-27-00	05-15-09	1,719 37	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	1,694 92	1,719 37		24 45
03-13-96	05-15-09	16.36	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	16 37	16 36		-0 01
10-20-94	05-15-09	12.96	GNMA Pool #386001 8 000% Due 10-15-24	12 69	12 96		0 27
05-15-97	05-15-09	4 44	GNMA Pool #439538 7 000% Due 04-15-27	4.24	4 44		0.20

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
01-30-92	05-15-09	19 96	GNMA Pool #318571 8 000% Due 02-15-22	19 96	19 96		0 00
11-21-07	05-19-09	3,255.672	Federated U S Govt 1-3 Yr Inst	34,542 68	35,380 79		838 11
11-30-07	05-19-09	3 118	Federated U S. Govt 1-3 Yr Inst	33 08	33 88		0 80
12-31-07	05-19-09	9 839	Federated U.S Govt 1-3 Yr Inst	104 29	106 92		2 63
12-31-07	05-19-09	1 888	Federated U S Govt 1-3 Yr Inst	20 01	20 52		0 51
01-31-08	05-19-09	12 133	Federated U S Govt 1-3 Yr Inst	130 31	131 85		1 54
01-31-08	05-19-09	0 002	Federated U S Govt 1-3 Yr Inst	0 02	0 02		0 00
02-29-08	05-19-09	10 429	Federated U.S Govt 1-3 Yr Inst	112 63	113.34		0 71
03-31-08	05-19-09	11 376	Federated U S Govt 1-3 Yr Inst	122 75	123 63		0 88
04-30-08	05-19-09	10 318	Federated U.S Govt 1-3 Yr Inst	110 30	112.13		1 83
05-30-08	05-19-09	10 896	Federated U S Govt 1-3 Yr Inst	115 82	118 41	2 59	
06-30-08	05-19-09	9 816	Federated U S. Govt 1-3 Yr Inst	104 34	106.67	2.33	
07-31-08	05-19-09	9.677	Federated U S Govt 1-3 Yr Inst	102 96	105 16	2 20	
08-29-08	05-19-09	10 114	Federated U S Govt 1-3 Yr Inst	107 61	109 91	2 30	
09-30-08	05-19-09	9 499	Federated U S. Govt 1-3 Yr Inst	101 35	103.23	1 88	
10-31-08	05-19-09	8 325	Federated U S Govt 1-3 Yr Inst	88 83	90 47	1 64	
11-05-08	05-19-09	14,005.602	Federated U.S Govt 1-3 Yr Inst	150,000 00	152,204 95	2,204.95	
11-28-08	05-19-09	29 762	Federated U S Govt 1-3 Yr Inst	320 83	323 44	2 61	
11-28-08	05-19-09	8 506	Federated U S Govt 1-3 Yr Inst	91 69	92 44	0.75	
12-31-08	05-19-09	29 948	Federated U S Govt 1-3 Yr Inst	326 43	325 46	-0 97	
12-31-08	05-19-09	9 484	Federated U S Govt 1-3 Yr Inst	103 38	103 07	-0.31	
01-30-09	05-19-09	24 808	Federated U S Govt 1-3 Yr Inst	269.42	269 60	0.18	
01-30-09	05-19-09	0 003	Federated U S. Govt 1-3 Yr Inst	0 03	0.03	0 00	
02-27-09	05-19-09	27 806	Federated U.S Govt 1-3 Yr Inst	301 70	302.18	0 48	
03-04-09	05-19-09	1,940 979	Federated U.S Govt 1-3 Yr Inst	21,059.62	21,093 45	33 83	
10-22-02	05-25-09	2,434 97	FNMA 7 YR Balloon #254566 4 500% Due 11-01-09	2,465 02	2,434 97		-30 05
02-01-05	05-25-09	11,767 53	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	11,754 66	11,767.53		12 87

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
03-26-04	05-25-09	4,319 42	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	4,338 99	4,319 42		-19 57
03-08-06	05-25-09	22,923 08	FNMA 30 YR #256101 5 500% Due 02-01-36	22,475 36	22,923 08		447.72
08-01-03	05-25-09	1,386.09	FNMA 15 YR #736453 5 000% Due 08-01-18	1,380 03	1,386 09		6 06
08-12-04	05-25-09	281.47	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	277 60	281.47		3 87
12-21-04	05-25-09	950 88	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	945 38	950 88		5 50
05-01-08	05-25-09	4,852 37	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	4,799 30	4,852 37		53 07
12-22-04	06-01-09	400,000	Credit Suisse FB USA, Inc 4 700% Due 06-01-09	410,533 00	400,000.00		-10,533 00
10-27-04	06-15-09	9,718 11	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	9,678 63	9,718.11		39 48
01-20-05	06-15-09	1,857 11	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	1,855 37	1,857 11		1 74
01-19-05	06-15-09	900 34	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	898 23	900 34		2 11
11-27-00	06-15-09	8.87	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	8 74	8 87		0 13
03-13-96	06-15-09	16.64	FHLMC Gold 30 Yr #C80391 7.000% Due 03-01-26	16.65	16 64		-0 01
10-20-94	06-15-09	13.05	GNMA Pool #386001 8 000% Due 10-15-24	12.78	13 05		0 27
05-15-97	06-15-09	4.46	GNMA Pool #439538 7 000% Due 04-15-27	4 26	4 46		0 20
01-30-92	06-15-09	20.57	GNMA Pool #318571 8 000% Due 02-15-22	20.57	20.57		0 00
10-22-02	06-25-09	2,124 08	FNMA 7 YR Balloon #254566 4 500% Due 11-01-09	2,150 30	2,124 08		-26 22
02-01-05	06-25-09	14,492.96	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	14,477 10	14,492.96		15 86
03-26-04	06-25-09	2,941.04	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	2,954.37	2,941 04		-13 33
03-08-06	06-25-09	23,317 73	FNMA 30 YR #256101 5 500% Due 02-01-36	22,862 31	23,317.73		455 42
08-01-03	06-25-09	1,548 58	FNMA 15 YR #736453 5 000% Due 08-01-18	1,541.80	1,548 58		6 78
08-12-04	06-25-09	271 76	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	268 02	271.76		3 74
12-21-04	06-25-09	1,053 01	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	1,046 92	1,053 01		6 09
05-01-08	06-25-09	5,283 89	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	5,226 10	5,283.89		57 79
09-28-05	06-30-09	1,000,000	Huntington National Bank 4.650% Due 06-30-09	1,001,015 00	1,000,000 00		-1,015.00
10-27-04	07-15-09	7,481 32	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	7,450 93	7,481.32		30 39
01-20-05	07-15-09	4,851.17	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	4,846.62	4,851.17		4 55
01-19-05	07-15-09	28,650 72	FGLMC Gold 30 Yr #A29752 5.000% Due 01-01-35	28,583.57	28,650 72		67.15

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
11-27-00	07-15-09	8 92	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	8 79	8 92		0 13
03-13-96	07-15-09	45 78	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	45 79	45.78		-0 01
10-20-94	07-15-09	13 15	GNMA Pool #386001 8 000% Due 10-15-24	12 87	13 15		0 28
05-15-97	07-15-09	4 49	GNMA Pool #439538 7 000% Due 04-15-27	4 29	4 49		0 20
01-30-92	07-15-09	20 58	GNMA Pool #318571 8 000% Due 02-15-22	20 58	20 58		0 00
10-22-02	07-25-09	1,593 80	FNMA 7 YR Balloon #254566 4 500% Due 11-01-09	1,613 47	1,593 80		-19 67
02-01-05	07-25-09	14,022 22	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	14,006 88	14,022 22		15 34
03-26-04	07-25-09	5,461 72	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	5,486.47	5,461 72		-24.75
03-08-06	07-25-09	23,756 08	FNMA 30 YR #256101 5 500% Due 02-01-36	23,292 09	23,756 08		463 99
08-01-03	07-25-09	1,289.43	FNMA 15 YR #736453 5 000% Due 08-01-18	1,283 79	1,289 43		5 64
08-12-04	07-25-09	293 57	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	289.53	293.57		4 04
12-21-04	07-25-09	14,064 16	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	13,982 84	14,064 16		81.32
05-01-08	07-25-09	3,613 57	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	3,574 05	3,613 57		39.52
09-20-07	07-30-09	12,468 828	AllianceBernstein Intl Value Fund-A	300,000 00	150,374 07		-149,625 93
12-21-07	07-30-09	544 694	AllianceBernstein Intl Value Fund-A	11,945 14	6,569 01		-5,376 13
12-21-07	07-30-09	197 864	AllianceBernstein Intl Value Fund-A	4,339 15	2,386.24		-1,952.91
12-21-07	07-30-09	49 466	AllianceBernstein Intl Value Fund-A	1,084 79	596.56		-488 23
10-27-04	08-15-09	4,558 44	FHLMC Gold 30 Yr #G08014 5.000% Due 10-01-34	4,539 92	4,558 44		18 52
01-20-05	08-15-09	22,476 33	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	22,455 26	22,476.33		21.07
01-19-05	08-15-09	851 82	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	849 82	851 82		2 00
11-27-00	08-15-09	8.98	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	8 85	8 98		0 13
03-13-96	08-15-09	20 60	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	20.61	20 60		-0 01
10-20-94	08-15-09	13 24	GNMA Pool #386001 8 000% Due 10-15-24	12.96	13 24		0 28
05-15-97	08-15-09	4 52	GNMA Pool #439538 7 000% Due 04-15-27	4 32	4 52		0 20
01-30-92	08-15-09	20 72	GNMA Pool #318571 8 000% Due 02-15-22	20 72	20 72		0 00
10-22-02	08-25-09	3,524 29	FNMA 7 YR Balloon #254566 4 500% Due 11-01-09	3,567.79	3,524.29		-43 50
02-01-05	08-25-09	7,301 24	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	7,293 25	7,301 24		7.99

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
03-26-04	08-25-09	3,560 11	FNMA 7 YR Balloon #255245 3.500% Due 04-01-11	3,576 24	3,560.11		-16 13
03-08-06	08-25-09	14,686 85	FNMA 30 YR #256101 5 500% Due 02-01-36	14,400 00	14,686 85		286 85
08-01-03	08-25-09	1,683 28	FNMA 15 YR #736453 5 000% Due 08-01-18	1,675 92	1,683 28		7 36
08-12-04	08-25-09	273 07	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	269 32	273 07		3 75
12-21-04	08-25-09	11,139 52	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	11,075.11	11,139 52		64 41
05-01-08	08-25-09	2,603 90	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	2,575 42	2,603 90		28.48
10-27-04	09-15-09	3,643 27	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	3,628 47	3,643 27		14 80
01-20-05	09-15-09	1,312.86	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	1,311.63	1,312 86		1.23
01-19-05	09-15-09	881 33	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	879 26	881 33		2 07
11-27-00	09-15-09	9.04	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	8 91	9 04		0 13
03-13-96	09-15-09	21.01	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	21 02	21 01		-0 01
10-20-94	09-15-09	13.33	GNMA Pool #386001 8 000% Due 10-15-24	13 05	13 33		0 28
05-15-97	09-15-09	4 55	GNMA Pool #439538 7.000% Due 04-15-27	4 35	4 55		0 20
01-30-92	09-15-09	20.92	GNMA Pool #318571 8 000% Due 02-15-22	20 92	20 92		0 00
10-22-02	09-25-09	3,433 51	FNMA 7 YR Balloon #254566 4 500% Due 11-01-09	3,475 89	3,433.51		-42 38
02-01-05	09-25-09	7,382 53	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	7,374 45	7,382 53		8 08
03-26-04	09-25-09	4,756.46	FNMA 7 YR Balloon #255245 3.500% Due 04-01-11	4,778 01	4,756.46		-21 55
03-08-06	09-25-09	6,978.30	FNMA 30 YR #256101 5 500% Due 02-01-36	6,842 01	6,978 30		136 29
08-01-03	09-25-09	18,643.24	FNMA 15 YR #736453 5 000% Due 08-01-18	18,561 68	18,643 24		81 56
08-12-04	09-25-09	7,547 65	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	7,443 87	7,547 65		103.78
12-21-04	09-25-09	1,032 54	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	1,026.57	1,032.54		5 97
05-01-08	09-25-09	2,544 21	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	2,516 38	2,544 21		27 83
10-27-04	10-15-09	1,954 98	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	1,947 04	1,954 98		7 94
01-20-05	10-15-09	1,219 39	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	1,218 25	1,219.39		1 14
01-19-05	10-15-09	. 853 74	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	851 74	853 74		2 00
11-27-00	10-15-09	9 05	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	8 92	9 05		0 13
03-13-96	10-15-09	29 07	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	29.08	29 07		-0 01

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
10-20-94	10-15-09	13 43	GNMA Pool #386001 8 000% Due 10-15-24	13 15	13 43		0 28
05-15-97	10-15-09	4 58	GNMA Pool #439538 7 000% Due 04-15-27	4.37	4 58		0 21
01-30-92	10-15-09	21 02	GNMA Pool #318571 8 000% Due 02-15-22	21.02	21 02		0 00
10-22-02	10-25-09	12,079 36	FNMA 7 YR Balloon #254566 4 500% Due 11-01-09	12,228.46	12,079 36		-149 10
02-01-05	10-25-09	7,041.80	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	7,034 10	7,041.80		7 70
03-26-04	10-25-09	2,615 53	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	2,627 38	2,615 53		-11 85
03-08-06	10-25-09	12,250 78	FNMA 30 YR #256101 5 500% Due 02-01-36	12,011 51	12,250 78		239.27
08-01-03	10-25-09	1,275 24	FNMA 15 YR #736453 5 000% Due 08-01-18	1,269 66	1,275 24		5 58
08-12-04	10-25-09	5,202 66	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	5,131 12	5,202 66		71 54
12-21-04	10-25-09	1,399 47	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	1,391 38	1,399 47		8 09
05-01-08	10-25-09	1,444.31	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	1,428 51	1,444 31		15 80
09-07-05	11-03-09	100	Burlington Northern Inc.	5,439 50	9,746 86		4,307 36
09-07-05	11-03-09	200	Burlington Northern Inc.	10,881 00	19,493 71		8,612 71
09-07-05	11-03-09	300	Burlington Northern Inc	16,321 49	29,240.57		12,919 08
09-07-05	11-03-09	300	Burlington Northern Inc	16,324 49	29,240 57		12,916 08
09-07-05	11-03-09	300	Burlington Northern Inc	16,327 49	29,240 57		12,913 08
09-07-05	11-03-09	400	Burlington Northern Inc	21,761 99	38,987 42		17,225.43
09-07-05	11-03-09	400	Burlington Northern Inc	21,761.99	38,987 42		17,225 43
09-22-05	11-03-09	1,000	Burlington Northern Inc	57,179.95	97,468 55		40,288 60
09-27-05	11-03-09	1,000	Burlington Northern Inc.	56,849 95	97,468 55		40,618 60
10-25-00	11-12-09	4,080	News Corp Class A Common	41,395.00	50,222.29		8,827.29
11-21-02	11-12-09	1,020	News Corp Class A Common	13,429.95	12,555.57		-874 38
11-10-03	11-12-09	3,060	News Corp Class A Common	41,985 00	37,666 73		-4,318 27
01-30-04	11-12-09	1,020	News Corp Class A Common	15,014 95	12,555 57		-2,459 38
09-16-04	11-12-09	20	News Corp Class A Common	279 02	246 19		-32 83
09-16-04	11-12-09	4,060	News Corp Class A Common	56,640 98	49,980 16		-6,660 82
09-27-05	11-12-09	2,940	News Corp Class A Common	44,958 77	36,192.53		-8,766 24
09-27-05	11-12-09	1,800	News Corp Class A Common	27,525 78	22,156 89		-5,368 89
04-10-06	11-12-09	100	News Corp Class A Common	1,702 50	1,230 94		-471.56
04-10-06	11-12-09	1,900	News Corp Class A Common	32,347 45	23,387 83		-8,959 62
08-29-07	11-12-09	3,100	News Corp Class A Common	62,564 17	38,159 09		-24,405 08
08-29-07	11-12-09	1,900	News Corp Class A Common	38,345 78	23,387 83		-14,957 95
10-15-07	11-12-09	388	News Corp Class A Common	8,831 65	4,776 04		-4,055 61
10-15-07	11-12-09	1,118	News Corp Class A Common	25,442 32	13,761 89		-11,680 43
10-15-07	11-12-09	400	News Corp Class A Common	9,102 80	4,923 79		-4,179 01
10-15-07	11-12-09	200	News Corp Class A Common	4,551.40	2,461.91		-2,089 49
10-15-07	11-12-09	200	News Corp Class A Common	4,551 40	2,461 89		-2,089 51
10-15-07	11-12-09	200	News Corp Class A Common	4,551.40	2,461 89		-2,089.51
10-15-07	11-12-09	200	News Corp Class A Common	4,551 40	2,461.89		-2,089.51
10-15-07	11-12-09	200	News Corp Class A Common	4,551 40	2,461.87		-2,089 53
10-15-07	11-12-09	200	News Corp Class A Common	4,551 40	2,461.87		-2,089 53
10-15-07	11-12-09	200	News Corp Class A Common	4,551.40	2,461 87		-2,089 53
10-15-07	11-12-09	200	News Corp Class A Common	4,551 40	2,461 87		-2,089 53
10-15-07	11-12-09	154	News Corp Class A Common	3,504.58	1,895 69		-1,608 89
10-15-07	11-12-09	140	News Corp Class A Common	3,185 98	1,723 35		-1,462.63

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
10-15-07	11-12-09	100	News Corp Class A Common	2,275 70	1,230 96		-1,044.74
10-15-07	11-12-09	100	News Corp Class A Common	2,275 70	1,230 94		-1,044 76
10-15-07	11-12-09	100	News Corp Class A Common	2,275 70	1,230.94		-1,044.76
10-15-07	11-12-09	100	News Corp Class A Common	2,275 70	1,230 94		-1,044 76
10-15-07	11-12-09	100	News Corp Class A Common	2,275 70	1,230 94		-1,044 76
10-15-07	11-12-09	100	News Corp Class A Common	2,275 70	1,230 94		-1,044.76
10-15-07	11-12-09	100	News Corp Class A Common	2,275 70	1,230 94		-1,044 76
10-15-07	11-12-09	100	News Corp Class A Common	2,275 70	1,230 94		-1,044 76
10-15-07	11-12-09	100	News Corp Class A Common	2,275 70	1,230 93		-1,044 77
10-15-07	11-12-09	100	News Corp Class A Common	2,275 70	1,230 93		-1,044 77
10-15-07	11-12-09	100	News Corp Class A Common	2,275 70	1,230 93		-1,044 77
10-15-07	11-12-09	100	News Corp Class A Common	2,275 70	1,230 93		-1,044 77
11-22-05	11-12-09	100	Potlatch Corp	4,384.53	2,944 97		-1,439 56
11-22-05	11-12-09	1,900	Potlatch Corp.	83,444 16	55,954 42		-27,489 74
11-22-05	11-12-09	2,000	Potlatch Corp	87,835 95	58,899 40		-28,936 55
02-08-06	11-12-09	1,607	Potlatch Corp	75,017.10	47,325 66		-27,691 44
02-08-06	11-12-09	1,000	Potlatch Corp.	46,681 46	29,488 38		-17,193 08
02-08-06	11-12-09	393	Potlatch Corp	18,345 81	11,592.86		-6,752 95
03-01-06	11-12-09	7	Potlatch Corp.	233 43	206.49		-26 94
03-01-06	11-12-09	117	Potlatch Corp	3,898 43	3,453 09		-445 34
03-31-06	11-12-09	183	Potlatch Corp	6,486 25	5,408 42		-1,077 83
03-31-06	11-12-09	200	Potlatch Corp	7,085 00	5,911 67		-1,173 33
03-31-06	11-12-09	200	Potlatch Corp	7,085 00	5,903 81		-1,181 19
03-31-06	11-12-09	200	Potlatch Corp	7,085 00	5,899 83		-1,185 17
03-31-06	11-12-09	200	Potlatch Corp	7,085 00	5,897 67		-1,187.33
03-31-06	11-12-09	200	Potlatch Corp	7,085 00	5,895 67		-1,189 33
03-31-06	11-12-09	153	Potlatch Corp	5,420 02	4,519 37		-900 65
03-31-06	11-12-09	100	Potlatch Corp	3,542 50	2,957 83		-584 67
03-31-06	11-12-09	100	Potlatch Corp	3,542 50	2,955.83		-586 67
03-31-06	11-12-09	100	Potlatch Corp	3,542 50	2,955 83		-586 67
03-31-06	11-12-09	100	Potlatch Corp	3,542 50	2,953 83		-588 67
03-31-06	11-12-09	100	Potlatch Corp	3,542 50	2,952.83		-589 67
03-31-06	11-12-09	100	Potlatch Corp	3,542.50	2,951 83		-590 67
03-31-06	11-12-09	100	Potlatch Corp	3,542.50	2,951.83		-590 67
03-31-06	11-12-09	100	Potlatch Corp	3,542 50	2,951.83		-590 67
03-31-06	11-12-09	100	Potlatch Corp	3,542.50	2,951 83		-590.67
03-31-06	11-12-09	100	Potlatch Corp	3,542.50	2,950.83		-591 67
03-31-06	11-12-09	100	Potlatch Corp	3,542.50	2,949 83		-592 67
03-31-06	11-12-09	100	Potlatch Corp	3,542 50	2,949 83		-592.67
03-31-06	11-12-09	100	Potlatch Corp	3,542 50	2,949 83		-592 67
03-31-06	11-12-09	82	Potlatch Corp	2,920 26	2,431 69		-488 57
06-20-06	11-12-09	18	Potlatch Corp	603 58	518.14		-85 44
06-20-06	11-12-09	100	Potlatch Corp	3,436 26	2,949 83		-486 43
06-20-06	11-12-09	10	Potlatch Corp.	339.74	291 65		-48.09
09-19-06	11-12-09	90	Potlatch Corp	3,088 27	2,658.18		-430.09
09-19-06	11-12-09	39	Potlatch Corp	1,348 03	1,159 51		-188 52
12-29-06	11-12-09	61	Potlatch Corp	2,457 44	1,788 32		-669 12
12-29-06	11-12-09	50	Potlatch Corp	2,036 45	1,481.97		-554 48
03-22-07	11-12-09	50	Potlatch Corp	2,125 08	1,465 86		-659 22
03-22-07	11-12-09	57	Potlatch Corp	2,418 17	1,667 47		-750 70
06-18-07	11-12-09	43	Potlatch Corp.	1,727.93	1,279 36		-448 57
06-18-07	11-12-09	50	Potlatch Corp	1,990 03	1,477.17		-512 86
06-18-07	11-12-09	21	Potlatch Corp	835 81	620 72		-215 09
08-20-08	11-12-09	600	Weyerhaeuser Co	30,631 34	22,978 33		-7,653 01
08-20-08	11-12-09	100	Weyerhaeuser Co	5,105 22	3,829 72		-1,275 50
08-20-08	11-12-09	100	Weyerhaeuser Co	5,105 22	3,829 72		-1,275 50
08-20-08	11-12-09	100	Weyerhaeuser Co	5,105 22	3,829.72		-1,275 50
08-20-08	11-12-09	200	Weyerhaeuser Co	10,212.45	7,659 45		-2,553 00
08-20-08	11-12-09	100	Weyerhaeuser Co	5,106 22	3,829 72		-1,276 50

GRIGG-LEWIS FOUNDATION INC.
EIN: 16-1550858
ATTACHMENT A

Hartford Financial Management, Inc.
REALIZED GAINS AND LOSSES
Grigg-Lewis Foundation, Inc.
HFM - Managed
From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
08-20-08	11-12-09	100	Weyerhaeuser Co	5,106 22	3,829 72		-1,276 50
08-20-08	11-12-09	100	Weyerhaeuser Co	5,106.22	3,829 72		-1,276 50
08-20-08	11-12-09	100	Weyerhaeuser Co	5,106 22	3,829 72		-1,276 50
08-20-08	11-12-09	300	Weyerhaeuser Co	15,318 67	11,489 17		-3,829 50
08-20-08	11-12-09	880	Weyerhaeuser Co	44,934 77	33,701 57		-11,233 20
08-20-08	11-12-09	600	Weyerhaeuser Co	30,637 34	22,978 93		-7,658 41
08-20-08	11-12-09	220	Weyerhaeuser Co	11,233 69	8,425.61		-2,808 08
08-20-08	11-12-09	380	Weyerhaeuser Co	19,403 67	14,553 32		-4,850 35
08-20-08	11-12-09	120	Weyerhaeuser Co	6,127 48	4,595 78		-1,531 70
11-26-08	11-12-09	380	Weyerhaeuser Co	13,846 80	14,553 32	706 52	
11-26-08	11-12-09	120	Weyerhaeuser Co	4,372 67	4,598 07	225 40	
11-26-08	11-12-09	100	Weyerhaeuser Co	3,643 89	3,831 85	187 95	
11-26-08	11-12-09	100	Weyerhaeuser Co	3,643 89	3,831 72	187 82	
11-26-08	11-12-09	100	Weyerhaeuser Co	3,643 89	3,831 72	187 82	
11-26-08	11-12-09	100	Weyerhaeuser Co	3,643 89	3,831 72	187 82	
11-26-08	11-12-09	100	Weyerhaeuser Co	3,643 89	3,831 72	187 82	
06-18-07	11-12-09	1	Potlatch Corp	36 78	27 26		-9 52
10-27-04	11-15-09	4,607 58	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	4,588 86	4,607.58		18 72
01-20-05	11-15-09	1,226 73	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	1,225 58	1,226 73		1 15
01-19-05	11-15-09	855 56	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	853 55	855 56		2.01
11-27-00	11-15-09	9 12	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	8 99	9 12		0 13
03-13-96	11-15-09	17 43	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	17 44	17 43		-0 01
10-20-94	11-15-09	13 52	GNMA Pool #386001 8 000% Due 10-15-24	13 24	13 52		0 28
05-15-97	11-15-09	4 61	GNMA Pool #439538 7 000% Due 04-15-27	4 40	4 61		0 21
01-30-92	11-15-09	21 17	GNMA Pool #318571 8 000% Due 02-15-22	21 17	21 17		0.00
12-21-04	11-16-09	200,000	Household Finance Corp 4 125% Due 11-16-09	199,749 00	200,000 00		251 00
02-01-05	11-25-09	9,153 30	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	9,143 29	9,153 30		10 01
03-26-04	11-25-09	6,217.52	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	6,245 69	6,217 52		-28 17
03-08-06	11-25-09	14,391 48	FNMA 30 YR #256101 5 500% Due 02-01-36	14,110 40	14,391 48		281 08
08-01-03	11-25-09	1,359.68	FNMA 15 YR #736453 5 000% Due 08-01-18	1,353.73	1,359.68		5.95
08-12-04	11-25-09	241 63	FNMA 30 YR Pool #776422 5.000% Due 06-01-34	238 31	241 63		3 32
12-21-04	11-25-09	9,931.37	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	9,873 95	9,931 37		57 42
05-01-08	11-25-09	2,214 59	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	2,190 37	2,214 59		24 22
10-22-02	11-25-09	555 64	FNMA 7 YR Balloon #254566 4 500% Due 11-01-09	562 50	555 65		-6 85
10-27-04	12-15-09	3,828 50	FHLMC Gold 30 Yr #G08014 5 000% Due 10-01-34	3,812 95	3,828 50		15 55
01-20-05	12-15-09	1,231 62	FGLMC Gold 30 Yr #A29689 5 000% Due 01-01-35	1,230 47	1,231 62		1 15

GRIGG-LEWIS FOUNDATION INC.
EIN: 16-1550858
ATTACHMENT A

Hartford Financial Management, Inc.

REALIZED GAINS AND LOSSES

Grigg-Lewis Foundation, Inc.

HFM - Managed

From 01-01-09 Through 12-31-09

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
01-19-05	12-15-09	862 27	FGLMC Gold 30 Yr #A29752 5 000% Due 01-01-35	860 25	862 27		2 02
11-27-00	12-15-09	9 22	FHLMC Gold 30 Yr #C44969 7 000% Due 11-01-30	9 09	9 22		0 13
03-13-96	12-15-09	11 60	FHLMC Gold 30 Yr #C80391 7 000% Due 03-01-26	11 60	11 60		0 00
10-20-94	12-15-09	13 62	GNMA Pool #386001 8 000% Due 10-15-24	13 33	13 62		0 29
05-15-97	12-15-09	4 63	GNMA Pool #439538 7 000% Due 04-15-27	4.42	4 63		0 21
01-30-92	12-15-09	21 32	GNMA Pool #318571 8 000% Due 02-15-22	21 32	21 32		0.00
02-01-05	12-25-09	9,015.75	FNMA 7 YR Balloon #255629 5 000% Due 02-01-35	9,005 89	9,015 75		9 86
03-26-04	12-25-09	180 26	FNMA 7 YR Balloon #255245 3 500% Due 04-01-11	181 08	180 26		-0 82
03-08-06	12-25-09	9,599 13	FNMA 30 YR #256101 5 500% Due 02-01-36	9,411 65	9,599 13		187 48
08-01-03	12-25-09	1,423 45	FNMA 15 YR #736453 5 000% Due 08-01-18	1,417 22	1,423.45		6 23
08-12-04	12-25-09	240.29	FNMA 30 YR Pool #776422 5 000% Due 06-01-34	236 99	240 29		3.30
12-21-04	12-25-09	1,230.90	FNMA 30 YR Pool #805184 5 000% Due 01-01-35	1,223 78	1,230 90		7 12
05-01-08	12-25-09	2,426 44	FNMA 30 YR Pool #850566 5 000% Due 01-01-36	2,399 90	2,426 44		26 54
11-06-08	12-30-09	2,300	Rydex S&P Eq Wgt HealthCare	93,908 72	131,713 49		37,804.77
11-06-08	12-30-09	500	Rydex S&P Eq Wgt HealthCare	20,414 94	28,633 39		8,218 45
11-06-08	12-30-09	500	Rydex S&P Eq Wgt HealthCare	20,414 94	28,633.36		8,218.42
11-06-08	12-30-09	500	Rydex S&P Eq Wgt HealthCare	20,414 94	28,633.36		8,218 42
11-06-08	12-30-09	500	Rydex S&P Eq Wgt HealthCare	20,414 94	28,633.36		8,218 42
11-06-08	12-30-09	500	Rydex S&P Eq Wgt HealthCare	20,414 94	28,628 36		8,213 42
11-06-08	12-30-09	200	Rydex S&P Eq Wgt HealthCare	8,165 98	11,451.34		3,285 36
TOTAL GAINS						6,622.66	265,041.48
TOTAL LOSSES						-535,509.98	-961,393.83
[illegible]				6,078,943.19	4,853,703.51	-528,887.32	-696,352.36
TOTAL REALIZED GAIN/LOSS		-1,225,239 68					

GRIGG-LEWIS FOUNDATION INC
EIN: 16-1550858
ATTACHMENT B

ACCOUNT: 12 629690 GRIGG LEWIS FDN INC MANNING
TAX ID: 16-1550858 ACC TYPE: 35 CAPACITY: 35 ACCNT: 4 ADM: 53 MGR: 100

QUALIFICATION CRITERIA FOR REPORTING

REPORTING FOR ACCOUNT: 12-629690 (ID: 247) GRIGG LEWIS FDN INC - MANNING
MINOR ASSSET CLASSES EXCLUDED:
1 2 3 5 6 20 31
CASH RECEIPTS INCLUDED FOR THE FOLLOWING CODES:
SHORT TERM RECEIPT CODES:
304
LONG TERM RECEIPT CODES:
344

SUMMARY WILL BE SORTED BY DATE OF ENTRY

GAIN/LOSS SCHEDULE

DATE	SHARES/PAR	DESCRIPTION		DATE ACQUIRED	DATE SOLD/RCD	NET PROCEEDS	PURCHASE COST	GAIN (LOSS)
1/09/09	30000.00000	BANK OF AMER CRP	3.125% 6/15/12	12/15/08	1/06/09	30885.30	30822.30	63.00
1/09/09	110000.00000	GOLDMAN SACHS GP	3.25% 6/15/12	12/17/08	1/06/09	113844.50	115508.80	-1664.30
1/09/09	32000.00000	WELLS FARGO CO	3% 12/09/11	VARIOUS	1/06/09	33107.20	32828.66	278.54
2/02/09	710.00000	BECTON DICKINSON		10/09/08	1/28/09	50298.95	54491.57	-4192.62
2/09/09	230.00000	MILLIPORE CORP		3/05/08	2/04/09	13239.02	16484.10	-3245.08
2/12/09	810.00000	THERMO FISHER SCIENTIFIC INC		11/26/08	2/09/09	32113.20	27416.15	4697.05
2/17/09	110.00000	LONZA AG-REG		VARIOUS	2/12/09	10688.03	8390.60	2297.43
3/05/09	48000.00000	GOLDMAN SACHS GP	3.25% 6/15/12	VARIOUS	3/04/09	49642.56	50369.44	-726.88
3/05/09	28000.00000	KEY BANK NA	3.200% 6/15/12	12/18/08	3/04/09	28900.76	29197.28	-296.52
3/05/09	86000.00000	U.S. TREASURY NOTES	1.5% 12/31/13	2/20/09	3/04/09	84360.63	85079.53	-718.90
3/20/09	4890.00000	CHARLES SCHWAB CORP		3/06/09	3/17/09	67868.90	54442.33	13426.57
3/24/09	1460.00000	HUNT JB TRANS SVCS		10/06/08	3/19/09	33903.92	39422.77	-5518.85
3/30/09	780.00000	ALLERGAN INC		3/03/09	3/25/09	37606.70	27726.74	9879.96
4/02/09	119000.00000	U.S. TREASURY NOTES	1.5% 12/31/13	2/20/09	4/01/09	118739.69	117755.39	984.30
4/02/09	90000.00000	U.S. TREASURY NOTES	4.000% 8/15/18	9/17/08	4/01/09	100153.13	94584.38	5568.75
4/07/09	2070.00000	PACCAR INC		11/13/08	4/02/09	57569.68	54149.54	3420.14
4/16/09	210.00000	EMC CORP MASS		7/23/08	4/13/09	2718.80	2910.54	-191.74
5/01/09	455.00000	JUNIPER NETWORKS INC		6/11/08	4/28/09	9510.98	12013.41	-2502.43
5/19/09	140000.00000	U S TREAS BDS	5.5% 8/15/28	6/19/08	5/18/09	164456.25	152162.50	12293.75
6/24/09	710.00000	3M CO		7/11/08	6/19/09	42160.70	48547.17	-6386.47
6/24/09	240.00000	3M CO		3/13/09	6/19/09	14251.51	11409.07	2842.44
7/24/09	1320.00000	AMERICAN EXPRESS CO		5/26/09	7/21/09	38502.09	30806.56	7695.53
7/24/09	310.00000	BECTON DICKINSON		10/09/08	7/21/09	21525.60	23792.10	-2266.50
7/24/09	170.00000	BECTON DICKINSON		12/02/08	7/21/09	11804.36	10553.77	1250.59
7/24/09	500.00000	BECTON DICKINSON		2/27/09	7/21/09	34718.71	31087.90	3630.81
7/24/09	360.00000	CERNER CORP		11/20/08	7/21/09	22146.95	11729.70	10417.25
7/24/09	580.00000	DEAN FOODS CO		5/15/09	7/21/09	11970.89	10771.06	1199.83
7/24/09	2830.00000	DISNEY WALT CO NEW		10/06/08	7/21/09	70889.67	79464.42	-8574.75
7/24/09	820.00000	DISNEY WALT CO NEW		11/11/08	7/21/09	20540.47	17362.84	3177.63
7/24/09	1170.00000	ELECTRONIC ARTS INC		9/26/08	7/21/09	25470.24	45583.55	-20113.31

GRIGG-LEWIS FOUNDATION INC
EIN: 16-1550858
ATTACHMENT B

GAIN/LOSS PROFILE REPORT FROM 01/01/09 TO 12/31/09 — 04/07/10 10:20

ACCOUNT#: 12-629690 GRIGG LEWIS FOR INC - [illegible]
TAX ID: 16-1550858 ACC TYPE: 35 CAPACITY: 35 ACCNT: 4 ADM: 53 MGR: 100

7/24/09	1040.00000	ELECTRONIC ARTS INC	10/29/08	7/21/09	22640.22	28933.01	-6292.79
7/24/09	110.00000	ELECTRONIC ARTS INC	1/14/09	7/21/09	2394.64	1833.33	561.31
7/24/09	6930.00000	EMC CORP MASS	7/23/08	7/21/09	100759.60	96047.72	4711.88
7/24/09	170.00000	EMC CORP MASS	5/19/09	7/21/09	2471.74	2101.88	369.86
7/24/09	320.00000	FEDEX CORPORATION	10/02/08	7/21/09	19223.57	25260.96	-6037.39
7/24/09	340.00000	FORTUNE BRANDS INC	9/29/08	7/21/09	12455.00	19409.75	-6954.75
7/24/09	110.00000	GENZYME CORP-GENERAL	7/06/09	7/21/09	6124.65	6001.86	122.79
7/24/09	90.00000	GOOGLE INC-CL A	8/05/08	7/21/09	38442.18	42456.78	-4014.60
7/24/09	70.00000	GOOGLE INC-CL A	10/01/08	7/21/09	29899.47	28434.25	1465.22
7/24/09	20.00000	GOOGLE INC-CL A	10/07/08	7/21/09	8542.70	7088.14	1454.56
7/24/09	620.00000	HESS CORPORATION	6/15/09	7/21/09	32454.30	35767.61	-3313.31
7/24/09	70.00000	HESS CORPORATION	7/01/09	7/21/09	3664.20	3720.13	-55.93
7/24/09	410.00000	MONSANTO CO NEW	10/08/08	7/21/09	32794.76	32843.59	-48.83
7/24/09	3260.00000	NOKIA CORP SPNSD ADR	10/15/08	7/21/09	41563.92	53146.47	-11582.55
7/24/09	950.00000	NOVARTIS AG SPONSORED ADR	9/09/08	7/21/09	41581.95	50204.56	-8622.61

GAIN/LOSS SCHEDULE

DATE	SHARES/PAR	DESCRIPTION	DATE ACQUIRED	DATE SOLD/RCD	NET PROCEEDS	PURCHASE COST	GAIN (LOSS)
7/24/09	125.00000	NOVARTIS AG SPONSORED ADR	11/20/08	7/21/09	5471.30	5726.91	-255.61
7/24/09	375.00000	PERKINELMER INC	10/06/08	7/21/09	6659.71	8591.25	-1931.54
7/24/09	520.00000	SALESFORCE.COM	12/02/08	7/21/09	21727.95	14524.07	7203.88
7/24/09	510.00000	SAP AKTIENGESELLSCHAFT SPNS ADR	10/23/08	7/21/09	22211.00	17422.65	4788.35
7/24/09	260.00000	SEI INVESTMENTS COMPANY	2/24/09	7/21/09	4802.13	3135.29	1666.84
7/24/09	360.00000	SHERWIN WILLIAMS CO	5/28/09	7/21/09	19713.09	18991.94	721.15
7/24/09	170.00000	SHERWIN WILLIAMS CO	6/16/09	7/21/09	9308.96	9006.50	302.46
7/24/09	4900.00000	SOUTHWEST AIRLINES CO	10/10/08	7/21/09	33735.14	57032.57	-23297.43
7/24/09	5760.00000	SOUTHWEST AIRLINES CO	2/10/09	7/21/09	39656.00	41698.37	-2042.37
7/24/09	1220.00000	SOUTHWEST AIRLINES CO	3/03/09	7/21/09	8399.36	6463.68	1935.68
7/24/09	570.00000	THERMO FISHER SCIENTIFIC INC	11/26/08	7/21/09	23596.25	19292.85	4303.40
7/24/09	390.00000	THERMO FISHER SCIENTIFIC INC	3/02/09	7/21/09	16144.80	13660.53	2484.27
7/24/09	20.00000	UNILEVER PLC SPONS ADR	8/27/08	7/21/09	506.78	536.81	-30.03
7/24/09	500.00000	WEATHERFORD INTNTL LTD	10/01/08	7/21/09	9484.75	11963.30	-2478.55
7/24/09	770.00000	WEATHERFORD INTNTL LTD	10/30/08	7/21/09	14606.52	11949.09	2657.43
7/27/09	190.00000	LONZA AG-REG	10/28/08	7/22/09	19079.13	14076.83	5002.30
7/28/09	150.00000	PERKINELMER INC	10/06/08	7/23/09	2694.53	3436.50	-741.97
7/29/09	240.00000	AMERICAN EXPRESS CO	12/02/08	7/24/09	7085.60	4835.35	2250.25
7/29/09	280.00000	AMERICAN EXPRESS CO	5/26/09	7/24/09	8266.53	6534.72	1731.81
7/29/09	30.00000	EMC CORP MASS	10/15/08	7/24/09	450.18	304.85	145.33
7/29/09	970.00000	EMC CORP MASS	5/19/09	7/24/09	14555.93	11993.08	2562.85
7/30/09	130.00000	CERNER CORP	11/20/08	7/27/09	8406.79	4235.73	4171.06
8/04/09	350.00000	WEYERHAEUSER CO	8/08/08	7/30/09	12539.44	17687.43	-5147.99
8/04/09	1000.00000	WEYERHAEUSER CO	11/12/08	7/30/09	35826.97	31782.20	4044.77
8/05/09	40.00000	GOOGLE INC-CL A	10/07/08	7/31/09	17889.31	14176.28	3713.03
8/13/09	680.00000	SALESFORCE.COM	12/02/08	8/10/09	31278.51	18993.01	12285.50
8/26/09	550.00000	AMERICAN EXPRESS CO	12/02/08	8/21/09	18049.38	11081.01	6968.37
9/15/09	211.05000	GNMA PL #669081 5.500% 6/15/37	7/24/09	9/15/09	211.05	218.50	-7.45
9/16/09	440.00000	WEYERHAEUSER CO	11/12/08	9/11/09	16376.46	13984.17	2392.29
9/25/09	2136.05000	FNMA PL #AA4537 6.500% 4/01/24	7/24/09	9/25/09	2136.05	2180.11	-44.06
9/25/09	2680.59000	FNMA PL #889260 5.000% 4/01/38	7/24/09	9/25/09	2680.59	2725.82	-45.23
9/25/09	78.64000	FNMA PL #917049 5.000% 5/01/37	7/24/09	9/25/09	78.64	79.97	-1.33

GRIGG-LEWIS FOUNDATION INC
EIN: 16-1550858
ATTACHMENT B

ACCOUNT#: 12-629690   GRIGG LEWIS FDN INC - MANNING
TAX ID: 16-1550858   ACC TYPE: 35 CAPACITY: 35 ACCNT: 4 ADM: 53 MGR: 100

9/25/09	1306.33000	FNMA PL #956420	6.000%	12/01/37	7/24/09	9/25/09	1306.33	1368.18	-61.85
9/25/09	8380.72000	FNMA PL #988714	6%	10/01/38	7/24/09	9/25/09	8380.72	8777.50	-396.78
10/07/09	72000.00000	U.S. TREASURY BONDS	5.375%	2/15/31	1/30/09	10/06/09	85612.50	87997.50	-2385.00
10/15/09	2742.71000	GNMA PL #669081	5.500%	6/15/37	7/24/09	10/15/09	2742.71	2839.56	-96.85
10/16/09	150.00000	CERNER CORP			11/20/08	10/13/09	12777.78	4887.37	7890.41
10/19/09	10.00000	FORTUNE BRANDS INC			10/28/08	10/14/09	435.59	346.35	89.24
10/26/09	2006.36000	FNMA PL #AA4537	4.500%	4/01/24	7/24/09	10/25/09	2006.36	2047.74	-41.38
10/26/09	2616.77000	FNMA PL #889260	5.000%	4/01/38	7/24/09	10/25/09	2616.77	2660.93	-44.16
10/26/09	652.49000	FNMA PL #917049	5.000%	5/01/37	7/24/09	10/25/09	652.49	663.50	-11.01
10/26/09	1850.50000	FNMA PL #956420	6.000%	12/01/37	7/24/09	10/25/09	1850.50	1938.11	-87.61
10/26/09	7410.97000	FNMA PL #988714	6%	10/01/38	7/24/09	10/25/09	7410.97	7761.83	-350.86
11/16/09	220.00000	FEDEX CORPORATION			2/13/09	11/11/09	18141.03	11553.58	6587.45
11/16/09	199.01000	GNMA PL #669081	5.500%	6/15/37	7/24/09	11/15/09	199.01	206.04	-7.03

GAIN/LOSS SCHEDULE

DATE	SHARES/PAR	DESCRIPTION			DATE ACQUIRED	DATE SOLD/RCD	NET PROCEEDS	PURCHASE COST	GAIN (LOSS)
11/20/09	190.00000	FEDEX CORPORATION			2/13/09	11/17/09	16128.93	9978.10	6150.83
11/25/09	2441.97000	FNMA PL #AA4537	4.500%	4/01/24	7/24/09	11/25/09	2441.97	2492.34	-50.37
11/25/09	3798.73000	FNMA PL #889260	5.000%	4/01/38	7/24/09	11/25/09	3798.73	3862.83	-64.10
11/25/09	1103.96000	FNMA PL #917049	5.000%	5/01/37	7/24/09	11/25/09	1103.96	1122.59	-18.63
11/25/09	1159.82000	FNMA PL #956420	6.000%	12/01/37	7/24/09	11/25/09	1159.82	1214.73	-54.91
11/25/09	5917.46000	FNMA PL #988714	6%	10/01/38	7/24/09	11/25/09	5917.46	6197.62	-280.16
12/08/09	480.00000	NOVARTIS AG SPONSORED ADR			3/26/09	12/03/09	26957.73	18456.05	8501.68
12/15/09	2989.19000	GNMA PL #669081	5.500%	6/15/37	7/24/09	12/15/09	2989.19	3094.75	-105.56
12/18/09	790.00000	SEI INVESTMENTS COMPANY			2/24/09	12/15/09	13982.27	9526.45	4455.82
12/28/09	2414.95000	FNMA PL #AA4537	4.500%	4/01/24	7/24/09	12/25/09	2414.95	2464.76	-49.81
12/28/09	3418.95000	FNMA PL #889260	5.000%	4/01/38	7/24/09	12/25/09	3418.95	3476.64	-57.69
12/28/09	456.31000	FNMA PL #917049	5.000%	5/01/37	7/24/09	12/25/09	456.31	464.01	-7.70
12/28/09	1776.99000	FNMA PL #956420	6.000%	12/01/37	7/24/09	12/25/09	1776.99	1861.12	-84.13
12/28/09	4970.04000	FNMA PL #988714	6%	10/01/38	7/24/09	12/25/09	4970.04	5205.34	-235.30
1/06/10	86000.00000	CITIGROUP FNDG	2.250%	12/10/12	10/07/09	12/31/09	86644.14	87416.42	-772.28
1/06/10	116000.00000	JPMORGAN CHASE	2.200%	6/15/12	8/25/09	12/31/09	117707.52	117265.56	441.96
								TOTAL	52649.76

NET CTF ST GAIN/LOSS	0.00
CAPITAL GAIN DISTRIBUTIONS	3401.00
MISC. ST GAIN/LOSS	0.00
ST CAPITAL LOSS CARRYOVER	0.00

Capital Gain Distributions

1/09/09	8409.00000	MANNING & NAPIER CORE + BD SER #124	VARIOUS	1/06/09	81399.12	82778.33	-1379.21
1/29/09	1310.00000	DEAN FOODS CO	8/17/07	1/26/09	25787.72	36202.90	-10415.18
2/06/09	130.00000	GOOGLE INC-CL A	8/17/07	2/03/09	44269.87	64440.26	-20170.39
2/09/09	4750.00000	COMCAST CORP-CL A	8/15/07	2/04/09	67197.87	120819.10	-53621.23
2/11/09	4480.00000	EMC CORP MASS	1/04/08	2/06/09	53580.94	78234.24	-24653.30
2/11/09	2140.00000	EMC CORP MASS	1/29/08	2/06/09	25594.47	33174.28	-7579.81
3/05/09	735.00000	JETBLUE AIRWAYS CORP	9/07/07	3/02/09	2487.30	7198.51	-4711.21

GRIGG-LEWIS FOUNDATION INC
EIN: 16-1550858
ATTACHMENT B

ACCOUNT#: 12-629690 GRIGG LEWIS FDN INC - MANNING
TAX ID: 16-1550858 ACC TYPE: 35 CAPACITY: 35 ACCNT: 4 ADM: 53 MGR: 100

3/05/09	4390.00000	JETBLUE AIRWAYS CORP	11/29/07	3/02/09	14856.11	30033.75	-15177.64
3/23/09	27640.00000	CHARTER COMMUNICATIONS INC	7/10/07	3/18/09	1343.29	122544.70	-121201.41
3/30/09	1480.00000	HOME DEPOT INC	12/21/07	3/25/09	34639.35	39546.63	-4907.28
3/30/09	670.00000	HOME DEPOT INC	2/29/08	3/25/09	15681.33	17995.53	-2314.20
4/07/09	400.00000	FEDEX CORPORATION	2/08/08	4/02/09	19823.73	35593.40	-15769.67
4/07/09	140.00000	FEDEX CORPORATION	2/15/08	4/02/09	6938.30	12246.76	-5308.46
4/07/09	90.00000	FEDEX CORPORATION	3/17/08	4/02/09	4460.34	7541.88	-3081.54
4/08/09	150.00000	UNITED PARCEL SERVICE-CLASS B	7/25/06	4/03/09	7828.89	10274.65	-2445.76
4/08/09	730.00000	UNITED PARCEL SERVICE-CLASS B	3/05/07	4/03/09	38100.60	50937.34	-12836.74
4/15/09	2290.00000	LOWES COS INC	12/27/07	4/09/09	46738.61	53012.59	-6273.98
4/16/09	870.00000	EMC CORP MASS	1/29/08	4/13/09	11263.59	13486.74	-2223.15
4/22/09	30.00000	GOOGLE INC-CL A	8/17/07	4/17/09	11827.69	14870.83	-3043.14
4/22/09	180.00000	GOOGLE INC-CL A	2/13/08	4/17/09	70966.14	93861.75	-22895.61
4/22/09	30.00000	GOOGLE INC-CL A	2/29/08	4/17/09	11827.69	14238.26	-2410.57

GAIN/LOSS SCHEDULE

DATE	SHARES/PAR	DESCRIPTION	DATE ACQUIRED	DATE SOLD/RCD	NET PROCEEDS	PURCHASE COST	GAIN (LOSS)
4/27/09	980.00000	QUEST DIAGNOSTICS INC	8/02/07	4/22/09	51436.81	54959.57	-3522.76
5/01/09	760.00000	JUNIPER NETWORKS INC	6/22/06	4/28/09	15881.46	12011.57	3869.89
5/01/09	805.00000	JUNIPER NETWORKS INC	6/22/06	4/28/09	16827.13	12722.79	4104.34
5/01/09	200.00000	JUNIPER NETWORKS INC	2/29/08	4/28/09	4179.33	5398.18	-1218.85
5/07/09	440.00000	JOHNSON & JOHNSON	4/23/08	5/04/09	23627.13	29630.88	-6003.75
5/08/09	1250.00000	WEYERHAEUSER CO	7/27/07	5/05/09	46104.19	89734.50	-43630.31
6/01/09	570.00000	3M CO	7/07/06	5/27/09	32611.19	42766.36	-10155.17
6/01/09	140.00000	3M CO	8/14/06	5/27/09	8009.77	9655.04	-1645.27
6/04/09	200.00000	WEYERHAEUSER CO	7/27/07	6/01/09	7105.64	14357.52	-7251.88
6/04/09	180.00000	WEYERHAEUSER CO	8/23/07	6/01/09	6395.07	12029.45	-5634.38
6/04/09	370.00000	WEYERHAEUSER CO	3/04/08	6/01/09	13145.43	22429.47	-9284.04
6/05/09	650.00000	CERNER CORP	2/06/08	6/02/09	38049.50	30981.34	7068.16
6/18/09	2700.00000	SEI INVESTMENTS COMPANY	3/14/07	6/15/09	49007.25	77510.25	-28503.00
6/18/09	330.00000	SEI INVESTMENTS COMPANY	2/26/08	6/15/09	5989.77	8532.94	-2543.17
6/18/09	200.00000	SEI INVESTMENTS COMPANY	4/30/08	6/15/09	3630.17	4730.56	-1100.39
6/24/09	220.00000	3M CO	8/14/06	6/19/09	13063.88	15172.21	-2108.33
7/24/09	830.00000	AMERICAN EXPRESS CO	6/24/08	7/21/09	24209.65	33950.57	-9740.92
7/24/09	1420.00000	AMERICAN EXPRESS CO	6/26/08	7/21/09	41418.91	55848.60	-14429.69
7/24/09	2170.00000	AUTODESK INC	4/04/08	7/21/09	44114.96	72876.74	-28761.78
7/24/09	760.00000	AUTOMATIC DATA PROCESSING INC	1/23/08	7/21/09	26988.27	29128.52	-2140.25
7/24/09	1340.00000	BAKER HUGHES INC	8/14/06	7/21/09	52943.65	99141.64	-46197.99
7/24/09	190.00000	BAKER HUGHES INC	9/29/06	7/21/09	7506.93	12854.11	-5347.18
7/24/09	2770.00000	CARNIVAL CORP	6/22/06	7/21/09	75875.27	114345.60	-38470.33
7/24/09	1480.00000	CARNIVAL CORP	6/18/08	7/21/09	40539.86	51776.61	-11236.75
7/24/09	310.00000	CERNER CORP	2/06/08	7/21/09	19070.99	14775.72	4295.27
7/24/09	610.00000	CISCO SYSTEMS INC	12/07/07	7/21/09	13029.33	16938.30	-3908.97
7/24/09	2980.00000	CISCO SYSTEMS INC	1/17/08	7/21/09	63651.46	74916.90	-11265.44
7/24/09	3360.00000	CISCO SYSTEMS INC	4/22/08	7/21/09	71768.08	83316.91	-11548.83
7/24/09	1600.00000	COMCAST CORP-CL A	8/15/07	7/21/09	22751.57	40696.96	-17945.39
7/24/09	760.00000	COMCAST CORP-CL A	10/03/07	7/21/09	10807.00	18176.08	-7369.08
7/24/09	860.00000	DEAN FOODS CO	8/17/07	7/21/09	17749.94	23766.79	-6016.85
7/24/09	400.00000	DEAN FOODS CO	9/27/07	7/21/09	8255.79	9741.96	-1486.17
7/24/09	2180.00000	ELECTRONIC ARTS INC	8/01/06	7/21/09	47457.37	101517.37	-54060.00

GRIGG-LEWIS FOUNDATION INC
EIN: 16-1550858
ATTACHMENT B

ACCOUNT#: 12-629690 GRIGG LEWIS FDN INC - MANNING
TAX ID: 16-1550858 ACC TYPE: 35 CAPACITY: 35 ACCNT: 4 ADM: 53 MGR: 100

7/24/09	130.00000	FEDEX CORPORATION	3/17/08	7/21/09	7809.57	10893.82	-3084.25
7/24/09	910.00000	FEDEX CORPORATION	5/21/08	7/21/09	54667.02	80805.09	-26138.07
7/24/09	540.00000	FORTUNE BRANDS INC	6/24/08	7/21/09	19781.47	34427.54	-14646.07
7/24/09	560.00000	GENZYME CORP-GENERAL	2/22/07	7/21/09	31180.06	35725.42	-4545.36
7/24/09	50.00000	GOOGLE INC-CL A	2/29/08	7/21/09	21356.77	23730.44	-2373.67
7/24/09	70.00000	GOOGLE INC-CL A	7/07/08	7/21/09	29899.47	38248.77	-8349.30
7/24/09	220.00000	HOME DEPOT INC	5/21/08	7/21/09	5348.06	5930.01	-581.95
7/24/09	2460.00000	INTERNATIONAL GAME TECHNOLOGY	8/06/07	7/21/09	43068.57	83211.96	-40143.39
7/24/09	1270.00000	INTERNATIONAL GAME TECHNOLOGY	6/06/08	7/21/09	22234.58	42897.55	-20662.97
7/24/09	530.00000	JOHNSON & JOHNSON	6/22/07	7/21/09	31380.49	32473.25	-1092.76
7/24/09	10.00000	JOHNSON & JOHNSON	4/23/08	7/21/09	592.08	673.43	-81.35
7/24/09	900.00000	JUNIPER NETWORKS INC	6/11/08	7/21/09	23058.48	23762.79	-704.31

GAIN/LOSS SCHEDULE

DATE	SHARES/PAR	DESCRIPTION	DATE ACQUIRED	DATE SOLD/RCD	NET PROCEEDS	PURCHASE COST	GAIN (LOSS)
7/24/09	220.00000	LOWES COS INC	5/23/08	7/21/09	4492.28	5093.04	-600.76
7/24/09	25386.00000	MANNING & NAPIER FD FINL SVCS A #125	VARIOUS	7/21/09	128453.16	268633.48	-140180.32
7/24/09	18580.17600	MANNING & NAPIER FD INTL SERIES #113	6/22/06	7/21/09	137307.50	193977.04	-56669.54
7/24/09	91.50900	MANNING & NAPIER FD INTL SERIES #113	12/17/07	7/21/09	676.25	969.08	-292.83
7/24/09	233.63900	MANNING & NAPIER FD INTL SERIES #113	12/17/07	7/21/09	1726.59	2474.24	-747.65
7/24/09	452.67600	MANNING & NAPIER FD INTL SERIES #113	12/18/07	7/21/09	3345.28	4793.84	-1448.56
7/24/09	14869.44900	MANNING & NAPIER FD TECH SER #116	6/22/06	7/21/09	122375.57	127431.18	-5055.61
7/24/09	1023.29800	MANNING & NAPIER FD TECH SER #116	12/17/07	7/21/09	8421.74	11061.85	-2640.11
7/24/09	2738.25300	MANNING & NAPIER FD TECH SER #116	12/17/07	7/21/09	22535.82	29600.51	-7064.69
7/24/09	14495.00000	MANNING & NAPIER FUND SM CAP-A #111	6/22/06	7/21/09	89724.05	206553.75	-116829.70
7/24/09	9.19000	MANNING & NAPIER FUND SM CAP-A #111	9/15/06	7/21/09	56.89	133.35	-76.46
7/24/09	66.03200	MANNING & NAPIER FUND SM CAP-A #111	9/15/06	7/21/09	408.74	958.12	-549.38
7/24/09	506.10600	MANNING & NAPIER FUND SM CAP-A #111	12/15/06	7/21/09	3132.80	6700.84	-3568.04
7/24/09	2795.19300	MANNING & NAPIER FUND SM CAP-A #111	12/15/06	7/21/09	17302.24	37008.36	-19706.12
7/24/09	102.55000	MANNING & NAPIER FUND SM CAP-A #111	9/17/07	7/21/09	634.78	1347.51	-712.73
7/24/09	9.52100	MANNING & NAPIER FUND SM CAP-A #111	9/18/07	7/21/09	58.93	125.10	-66.17
7/24/09	1183.40800	MANNING & NAPIER FUND SM CAP-A #111	12/17/07	7/21/09	7325.30	11668.41	-4343.11
7/24/09	22182.19500	MANNING & NAPIER LIFE SCIENCES #112	6/22/06	7/21/09	182337.64	262859.01	-80521.37
7/24/09	82.16800	MANNING & NAPIER LIFE SCIENCES #112	9/18/07	7/21/09	675.42	995.06	-319.64
7/24/09	258.63700	MANNING & NAPIER LIFE SCIENCES #112	9/18/07	7/21/09	2126.00	3132.10	-1006.10
7/24/09	16291.79500	MANNING & NAPIER WORLD OPP SER #114	6/22/06	7/21/09	109969.61	150373.27	-40403.66
7/24/09	11.33500	MANNING & NAPIER WORLD OPP SER #114	9/18/07	7/21/09	76.51	115.16	-38.65
7/24/09	137.27200	MANNING & NAPIER WORLD OPP SER #114	9/18/07	7/21/09	926.59	1394.68	-468.09
7/24/09	137.90100	MANNING & NAPIER WORLD OPP SER #114	9/18/07	7/21/09	930.83	1401.07	-470.24
7/24/09	1011.26800	MANNING & NAPIER WORLD OPP SER #114	12/17/07	7/21/09	6826.06	9859.86	-3033.80
7/24/09	1581.61600	MANNING & NAPIER WORLD OPP SER #114	12/17/07	7/21/09	10675.91	15420.76	-4744.85
7/24/09	169.81300	MANNING & NAPIER WORLD OPP SER #114	12/18/07	7/21/09	1146.24	1655.68	-509.44
7/24/09	2670.00000	MICROSOFT CORP	1/18/08	7/21/09	66000.97	89661.27	-23660.30
7/24/09	1070.00000	MICROSOFT CORP	3/24/08	7/21/09	26449.83	31258.66	-4808.83
7/24/09	1420.00000	MICROSOFT CORP	4/14/08	7/21/09	35101.63	40108.47	-5006.84
7/24/09	480.00000	MILLIPORE CORP	3/05/08	7/21/09	32869.55	34401.60	-1532.05
7/24/09	70.00000	MILLIPORE CORP	4/28/08	7/21/09	4793.48	4897.06	-103.58
7/24/09	315.00000	NOVARTIS AG SPONSORED ADR	6/22/06	7/21/09	13787.70	16505.37	-2717.67
7/24/09	460.00000	NOVARTIS AG SPONSORED ADR	10/31/07	7/21/09	20134.42	24484.47	-4350.05
7/24/09	2285.00000	PERKINELMER INC	6/22/06	7/21/09	40579.87	46653.07	-6073.20

GRIGG-LEWIS FOUNDATION INC
EIN. 16-1550858
ATTACHMENT B

GAIN/LOSS PROFILE REPORT FROM 01/01/09 TO 12/31/09

ACCOUNT#: 12-629690 GRIGG LEWIS FDN INC - MANNING
TAX ID: 16-1550858 ACC TYPE: 35 CAPACITY: 35 ACCNT: 4 ADM: 53 MGR: 100

7/24/09	660.00000	PERKINELMER INC	9/29/06	7/21/09	11721.10	12545.08	-823.98
7/24/09	1990.00000	SAP AKTIENGESELLSCHAFT SPNS ADR	8/13/07	7/21/09	86666.44	106118.14	-19451.70
7/24/09	810.00000	SEI INVESTMENTS COMPANY	4/30/08	7/21/09	14960.47	19158.77	-4198.30
7/24/09	5550.00000	SOUTHWEST AIRLINES CO	5/15/07	7/21/09	38210.21	79201.83	-40991.62
7/24/09	2250.00000	SOUTHWEST AIRLINES CO	2/01/08	7/21/09	15490.62	27244.58	-11753.96
7/24/09	5040.00000	UNILEVER PLC SPONS ADR	6/22/06	7/21/09	127708.29	108624.60	19083.69
7/24/09	420.00000	UNILEVER PLC SPONS ADR	6/30/08	7/21/09	10642.36	11943.16	-1300.80
7/24/09	720.00000	UNITED PARCEL SERVICE-CLASS B	4/09/08	7/21/09	37470.71	50971.85	-13501.14
7/24/09	2130.00000	WEATHERFORD INTNTL LTD	6/22/06	7/21/09	40405.06	50392.07	-9987.01
7/24/09	840.00000	WEATHERFORD INTNTL LTD	9/29/06	7/21/09	15934.39	17486.07	-1551.68

GAIN/LOSS SCHEDULE

DATE	SHARES/PAR	DESCRIPTION	DATE ACQUIRED	DATE SOLD/RCD	NET PROCEEDS	PURCHASE COST	GAIN (LOSS)
7/27/09	1660.00000	NESTLE SA	6/23/06	7/22/09	66397.42	50132.26	16265.16
8/03/09	840.00000	L'OREAL	VARIOUS	7/29/09	65650.35	89091.54	-23441.19
8/04/09	430.00000	WEYERHAEUSER CO	3/04/08	7/30/09	15405.60	26066.69	-10661.09
9/23/09	130.00000	CARNIVAL CORP	6/18/08	9/18/09	4195.68	4547.95	-352.27
10/19/09	250.00000	FORTUNE BRANDS INC	9/29/08	10/14/09	10889.63	14271.88	-3382.25
10/27/09	750.00000	UNILEVER PLC SPONS ADR	8/27/08	10/22/09	22933.21	20130.38	2802.83
11/16/09	60.00000	FEDEX CORPORATION	10/02/08	11/11/09	4947.56	4736.43	211.13
11/19/09	200.00000	MICROSOFT CORP	4/14/08	11/16/09	5913.44	5649.08	264.36
11/27/09	5964.00000	MANNING & NAPIER FD FINL SVCS A #125	12/20/07	11/23/09	33636.96	55465.20	-21828.24
11/27/09	508.00000	MANNING & NAPIER FD TECH SER #116	6/22/06	11/23/09	4744.72	4353.56	391.16
11/27/09	580.00000	UNITED PARCEL SERVICE-CLASS B	7/25/06	11/23/09	33869.67	39728.67	-5859.00
12/08/09	30.00000	COMCAST CORP-CL A	10/03/07	12/03/09	478.49	717.48	-238.99
12/08/09	960.00000	COMCAST CORP-CL A	10/31/07	12/03/09	15311.60	20108.85	-4797.25
12/08/09	1010.00000	COMCAST CORP-CL A	12/14/07	12/03/09	16109.08	18389.88	-2280.80
12/08/09	165.00000	NOVARTIS AG SPONSORED ADR	11/20/08	12/03/09	9266.72	7559.53	1707.19
12/28/09	60.00000	GOOGLE INC-CL A	10/07/08	12/22/09	36008.90	21264.42	14744.48
						TOTAL	-1499263.60

Sum of all Net Proceeds Columns	$6,276,781
Sum of all Purchase Cost Columns	- 7,723,393
Net Loss	($1,446,612)

HSBC Private Bank

A division of HSBC Bank USA, N.A.

CUSTODY STATEMENT
GRIGG LEWIS FDN INC – MANNING
December 1, 2009 – December 31, 2009
Account Number: 12–629690

FIXED INCOME

Summary	Total Market Value**	% of Portfolio	Estimated Annual Income
GNMA, FNMA, FHLMC Pools	$907,683.03	12.31%	$46,200
Government Agency Bonds/Notes	$830,046.59	11.26%	$39,599
Non–US Corporate Bonds	$260,242.60	3.53%	$16,125
Taxable Fixed Income Funds	$1,310,114.30	17.76%	$62,910
U.S. Corporate Bonds & Notes	$1,246,073.05	16.90%	$68,181
U.S. Treasury Bonds and Notes	$466,367.15	6.33%	$11,957
Total	$5,020,526.72	68.09%	$244,972

Holdings* (Description sorted by Maturity)	Par Value	Cost	Price/*** Unit	Market Value	Accrued Income	Total Market Value**	Estimated Annual Income	Current Yield
GNMA, FNMA, FHLMC Pools								
FEDL NATL MTGE ASSN POOL #AA4537 15 YR GTD SINGLE FAMILY MORTGAGE DTD 04/01/2009 4.500% 04/01/2024 NON CALLABLE (CUSIP #:31416NBF8)Moody Rating:N/A	141,000.56	143,908.69	102.98	145,202.38	528.75	145,731.13	6,345	4.37%
FEDL NATL MTGE ASSN POOL #917049 30 YR GTD SINGLE FAMILY MORTGAGE DTD 05/01/2007 5.000% 05/01/2037 NON CALLABLE (CUSIP #:31411WZN0)Moody Rating:N/A	53,492.22	54,394.90	102.74	54,957.91	222.88	55,180.79	2,675	4.87%

* All values reported in U.S. dollars
** Includes Accrued Income
*** Prices are rounded to the nearest 100th decimal place for statement formatting purposes

GRIGG-LEWIS FOUNDATION INC
EIN: 16-1550858
ATTACHMENT C

HSBC Private Bank
A division of HSBC Bank USA, N.A.

CUSTODY STATEMENT
GRIGG LEWIS FDN INC – MANNING
December 1, 2009 – December 31, 2009
Account Number: 12–629690

FIXED INCOME

Holdings* (Description sorted by Maturity)	Par Value	Cost	Price/*** Unit	Market Value	Accrued Income	Total Market Value**	Estimated Annual Income	Current Yield
GOVT NATL MTGE ASSN POOL #669081 30 YR GTD SINGLE FAMILY MORTGAGE DTD 06/01/2007 5.500% 06/15/2037 NON CALLABLE (CUSIP #:36295FJ21)Moody Rating:N/A	143,840.99	148,920.37	104.94	150,946.73	659.27	151,606.00	7,911	5.24%
FEDL NATL MTGE ASSN POOL #956420 30 YR GTD SINGLE FAMILY MORTGAGE DTD 11/01/2007 6.000% 12/01/2037 NON CALLABLE (CUSIP #:31413VAV9)Moody Rating:N/A	79,342.28	83,098.66	106.08	84,166.29	396.71	84,563.00	4,761	5.66%
FEDL NATL MTGE ASSN POOL #889260 30 YR GTD SINGLE FAMILY MORTGAGE DTD 03/01/2008 5.000% 04/01/2038 NON CALLABLE (CUSIP #.31410G5V1)Moody Rating:N/A	246,701.23	250,864.32	102.74	253,460.84	1,027.92	254,488.76	12,335	4.87%
FEDL NATL MTGE ASSN POOL #988714 30 YR GTD SINGLE FAMILY MORTGAGE DTD 10/01/2008 6.000% 10/01/2038 NON CALLABLE (CUSIP #:31415TK33)Moody Rating:N/A	202,885.23	212,490.63	106.02	215,098.92	1,014.43	216,113.35	12,173	5.66%
Government Agency Bonds/Notes								
FEDERAL NATL MTG ASSN BENCHMARK NOTES DTD 3/25/04 4.125% 4/15/14 NON CALLABLE (CUSIP #:31359MUT8)Moody Rating:AAA	100,000.00	106,373.00	106.34	106,340.00	870.83	107,210.83	4,125	3.88%
FEDERAL FARM CREDIT BANK DTD 08/25/2008 5.125% 08/25/2016 NON CALLABLE (CUSIP #:31331V2U9)Moody Rating:AAA	295,000.00	322,553.00	107.97	318,511.50	5,291.56	323,803.06	15,119	4.75%

* All values reported in U.S. dollars
** *Includes Accrued Income*
*** *Prices are rounded to the nearest 100th decimal place for statement formatting purposes*

0799.0112.13.03.00.0

HSBC Private Bank
A division of HSBC Bank USA, N.A.

CUSTODY STATEMENT
GRIGG LEWIS FDN INC – MANNING
December 1, 2009 – December 31, 2009
Account Number: 12–629690

FIXED INCOME

Holdings* (Description sorted by Maturity)	Par Value	Cost	Price/*** Unit	Market Value	Accrued Income	Total Market Value**	Estimated Annual Income	Current Yield
FEDERAL NATIONAL MORTGAGE ASSN DTD 04/16/2007 5.000% 05/11/2017 NON CALLABLE (CUSIP #:31359M7X5)Moody Rating:AAA	145,000.00	157,575.85	108.63	157,513.50	1,006.94	158,520.44	7,250	4.60%
FEDERAL NATIONAL MORTGAGE ASSN DTD 05/25/1999 6.250% 05/15/2029 NON CALLABLE (CUSIP #:31359MEU3)Moody Rating:AAA	40,000.00	47,503.28	114.34	45,736.00	319.44	46,055.44	2,500	5.47%
FEDERAL NATIONAL MORTGAGE ASSN DTD 05/05/2000 7.250% 05/15/2030 NON CALLABLE (CUSIP #:31359MFP3)Moody Rating:AAA	38,000.00	50,446.32	127.88	48,594.40	352.03	48,946.43	2,755	5.67%
FEDERAL NATL MTG ASSN DTD 11/03/2000 6.625% 11/15/2030 (CUSIP #:31359MGK3)Moody Rating:AAA	40,000.00	50,059.04	120.19	48,076.00	338.61	48,414.61	2,650	5.51%
FEDERAL HOME LOAN MORTGAGE CORP DTD 10/25/2000 6.750% 03/15/2031 (CUSIP #:3134A4AA2)Moody Rating:AAA	40,000.00	50,504.96	121.78	48,712.00	795.00	49,507.00	2,700	5.54%
FEDERAL HOME LOAN MORTGAGE CORP DTD 02/20/2002 6.250% 07/15/2032 (CUSIP #:3134A4KX1)Moody Rating:AAA	40,000.00	48,360.48	116.09	46,436.00	1,152.78	47,588.78	2,500	5.38%
Non-US Corporate Bonds								
WEATHERFORD INTL LTD DTD 01/08/2009 9.625% 03/01/2019 NON CALLABLE (CUSIP #:947075AF4)Moody Rating:BAA1	75,000.00	91,348.50	124.67	93,502.50	2,406.25	95,908.75	7,219	7.72%

* A[illegible] values reported in U.S. dollars
** [illegible]ludes Accrued Income
*** *Prices are rounded to the nearest 100th decimal place for statement formatting purposes*

GRIGG-LEWIS FOUNDATION INC
EIN: 16-1550858
ATTACHMENT C

HSBC Private Bank

A division of HSBC Bank USA, N.A.

FIXED INCOME

Holdings* (Description sorted by Maturity)	Par Value	Cost	Price/*** Unit	Market Value	Accrued Income	Total Market Value**	Estimated Annual Income	Current Yield
BHP BILLITON FIN USA LTD DTD 03/25/2009 6.500% 04/01/2019 NON CALLABLE (CUSIP #:055451AH1)Moody Rating:A1	75,000.00	83,964.75	114.71	86,032.50	1,218.75	87,251.25	4,875	5.67%
NOKIA CORP DTD 05/07/2009 5.375% 05/15/2019 NON CALLABLE (CUSIP #:654902AB1)Moody Rating:A2	75,000.00	76,689.00	102.09	76,567.50	515.10	77,082.60	4,031	5.26%
Taxable Fixed Income Funds								
MANNING & NAPIER FUND CORE PLUS BOND SERIES #124 (CUSIP #:563821206)	112,944.23	1,101,980.72	10.49	1,184,784.97	0.00	1,184,784.97	62,910	5.31%
MANNING & NAPIER FUND INC – HIGH YIELD BOND SERIES (CUSIP #:56382P583)	12,085.76	120,893.64	10.37	125,329.33	0.00	125,329.33	0	0.00%
U.S. Corporate Bonds & Notes								
JPMORGAN CHASE & CO DTD 02/23/2009 2.200% 06/15/2012 NON CALLABLE (CUSIP #:481247AK0)Moody Rating:AAA	116,000.00	117,265.56	101.39	117,612.40	113.42	117,725.82	2,552	2.17%
CITIGROUP FUNDING INC DTD 08/06/2009 2.250% 12/10/2012 NON CALLABLE (CUSIP #:17313YAJ0)Moody Rating AAA	86,000.00	87,416 42	100.79	86,679.40	112.88	86,792.28	1,935	2.23%
DUPONT EI NEMOUR DTD 12/12/2008 5.875% 01/15/2014 NON CALLABLE (CUSIP #:263534BV0)Moody Rating:A2	75,000.00	82,181.25	110.46	82,845.00	2,031.77	84,876.77	4,406	5.32%

* All values reported in U.S. dollars
** *Includes Accrued Income*
*** *Prices are rounded to the nearest 100th decimal place for statement formatting purposes*

0799.0112.13.04.00.0

GRIGG-LEWIS FOUNDATION INC
EIN: 16-1550858
ATTACHMENT C-

HSBC Private Bank

A division of HSBC Bank USA, N.A.

FIXED INCOME

Holdings* (Description sorted by Maturity)	Par Value	Cost	Price/*** Unit	Market Value	Accrued Income	Total Market Value**	Estimated Annual Income	Current Yield
KROGER CO. DTD 08/15/2007 6 400% 08/15/2017 NON CALLABLE (CUSIP #:501044CG4)Moody Rating:BAA2	75,000.00	80,705.25	109.24	81,930.00	1,813.33	83,743.33	4,800	5.86%
FEDEX CORP DTD 01/16/2009 8.000% 01/15/2019 NON CALLABLE (CUSIP #:31428XAR7)Moody Rating:BAA2	75,000.00	86,286.75	120.44	90,330.00	2,766.67	93,096.67	6,000	6.64%
UNION PACIFIC CORP DTD 10/07/2008 7.875% 01/15/2019 NON CALLABLE (CUSIP #:907818DB1)Moody Rating:BAA2	75,000.00	88,675.50	121.00	90,750.00	2,723.44	93,473.44	5,906	6.51%
CATERPILLAR FINANCIAL SVCS MEDIUM TERM NOTE DTD 02/12/2009 7.150% 02/15/2019 NON CALLABLE (CUSIP #:14912L4E8)Moody Rating:A2	75,000.00	83,664.75	115.64	86,730.00	2,025.83	88,755.83	5,363	6.18%
GOLDMAN SACHS GROUP INC DTD 02/05/2009 7.500% 02/15/2019 NON CALLABLE (CUSIP #:38141EA25)Moody Rating:A1	75,000.00	84,723.00	116.58	87,435.00	2,125.00	89,560.00	5,625	6.43%
JPMORGAN CHASE & CO DTD 04/23/2009 6.300% 04/23/2019 NON CALLABLE (CUSIP #:46625HHL7)Moody Rating:AA3	75,000.00	78,615.00	110.01	82,507.50	892.50	83,400.00	4,725	5.73%
AMERICAN EXPRESS CO DTD 05/18/2009 8.125% 05/20/2019 NON CALLABLE (CUSIP #:025816BB4)Moody Rating:A3	75,000.00	81,290.25	118.51	88,882.50	694.01	89,576.51	6,094	6 86%

* A'' values reported in U.S. dollars
** ludes Accrued Income
*** Prices are rounded to the nearest 100th decimal place for statement formatting purposes

HSBC Private Bank
A division of HSBC Bank USA, N.A.

CUSTODY STATEMENT
GRIGG LEWIS FDN INC – MANNING
December 1, 2009 – December 31, 2009
Account Number: 12–629690

FIXED INCOME

Holdings* (Description sorted by Maturity)	Par Value	Cost	Price/*** Unit	Market Value	Accrued Income	Total Market Value**	Estimated Annual Income	Current Yield
CITIGROUP INC DTD 05/22/2009 8.500% 05/22/2019 NON CALLABLE (CUSIP #:172967EV9)Moody Rating:A3	75,000.00	78,425.25	115.48	86,610.00	690.63	87,300.63	6,375	7.36%
BANK OF AMERICA CORP DTD 06/02/2009 7.625% 06/01/2019 NON CALLABLE (CUSIP #:06051GDZ9)Moody Rating:A2	75,000.00	79,542.00	115.69	86,767.50	476.56	87,244.06	5,719	6.59%
DELL INC DTD 06/15/2009 5.875% 06/15/2019 NON CALLABLE (CUSIP #:24702RAJ0)Moody Rating:A2	75,000 00	77,011 50	105.82	79,365.00	195.83	79,560 83	4,406	5.55%
COMCAST CORP DTD 06/18/2009 5.700% 07/01/2019 NON CALLABLE (CUSIP #:20030NAZ4)Moody Rating:BAA1	75,000.00	77,636.25	104.90	78,675.00	2,291.88	80,966 88	4,275	5.43%
U.S. Treasury Bonds and Notes								
UNITED STATES TREASURY NOTES DTD 05/31/2009 2.250% 05/31/2014 (CUSIP #:912828KV1)Moody Rating:AAA	325,000.00	321,343.75	99.32	322,790.00	642.86	323,432.86	7,313	2.26%
UNITED STATES TREASURY NOTES DTD 05/31/2009 3.250% 05/31/2016 (CUSIP #:912828KW9)Moody Rating:AAA	90,000.00	90,337.50	100.41	90,369.00	257.14	90,626.14	2,925	3.24%
UNITED STATES TREASURY NOTES DTD 05/15/2009 3.125% 05/15/2019 (CUSIP #:912828KQ2)Moody Rating:AAA	55,000.00	52,593.75	94.70	52,085.00	223.15	52,308.15	1,719	3.30%
Total Fixed Income		**$4,849,643.84**		**$4,982,332.57**	**$38,194.15**	**$5,020,526.72**	**$244,972**	**4.92%**

* All values reported in U.S. dollars
*** Includes Accrued Income*
**** Prices are rounded to the nearest 100th decimal place for statement formatting purposes*

0799.0112.13.05.00.0

HSBC Private Bank

A division of HSBC Bank USA, N.A.

CUSTODY STATEMENT
GRIGG LEWIS FDN INC – MANNING
December 1, 2009 – December 31, 2009
Account Number: 12–629690

EQUITIES

Summary

	Total Market Value**	% of Portfolio	Estimated Annual Income
ADR's	$121,982.60	1.65%	$3,564
Global/Intl Equity Mutual Fds	$193,255.52	2.62%	$2,637
Non–U.S. Common Stocks	$95,516.80	1.30%	$1,686
U.S. Common Stocks	$1,382,294.15	18.75%	$17,988
U.S. Equity Mutual Funds	$398,501.25	5.40%	$1,434
Total	$2,191,550.32	29.72%	$27,309

Holdings* (Description sorted by type/alphabetical)	Shares	Cost	Price/Unit***	Market Value	Accrued Income	Estimated Annual Income	Current Yield
ADR's							
NOKIA CORP SPONSORED AMERICAN DEPOSITORY RECEIPT (Ticker Symbol:NOK)	3,340.00	48,329.93	12.85	42,919.00	0.00	1,313	3.06%
SAP AKTIENGESELLSCHAFT SPONSORED AMERICAN DEPOSITORY RECEIPT (Ticker Symbol:SAP)	660.00	22,546.97	46.81	30,894.60	0.00	733	2.37%
UNILEVER PLC SPONSORED AMERICAN DEPOSITORY RECEIPT (Ticker Symbol.UL)	1,510.00	37,146.78	31.90	48,169.00	0.00	1,518	3.15%
Global/Intl Equity Mutual Fds							
MANNING & NAPIER FUND INC INTERNATIONAL SERIES #113 (Ticker Symbol:EXITX)	12,711.43	115,053.95	8.39	106,648.90	0.00	2,008	1.88%

* All values reported in U.S. dollars
** Includes Accrued Income
*** Prices are rounded to the nearest 100th decimal place for statement formatting purposes

GRIGG-LEWIS FOUNDATION INC
EIN: 16-1550858
ATTACHMENT C

HSBC Private Bank
A division of HSBC Bank USA, N.A.

CUSTODY STATEMENT
GRIGG LEWIS FDN INC – MANNING
December 1, 2009 – December 31, 2009
Account Number: 12–629690

EQUITIES

Holdings* (Description sorted by type/alphabetical)	Shares	Cost	Price/Unit***	Market Value	Accrued Income	Estimated Annual Income	Current Yield
MANNING & NAPIER WORLD OPPORTUNITIES FUND FD#114 (Ticker Symbol:EXWAX)	10,665.84	83,768.16	8.12	86,606.62	0.00	629	0.73%
Non–U.S. Common Stocks							
LONZA AG ISIN #CH0013841017 (Ticker Symbol:LONN–VX)	140.00	10,372.40	70.62	9,886.80	0.00	237	2.40%
NESTLE SA (CUSIP #:7123870)	1 070 00	34,537.15	48.56	51,959 20	0 00	1,449	2.79%
WEATHERFORD INTNTL LTD (Ticker Symbol:WFT)	1,880.00	29,218.18	17.91	33,670.80	0.00	0	0.00%
U.S. Common Stocks							
AMERICAN EXPRESS CO (Ticker Symbol:AXP)	910.00	15,891.33	40.52	36,873.20	0.00	655	1.78%
AUTODESK INC (Ticker Symbol:ADSK)	1,440.00	25,570.49	25.41	36,590.40	0.00	0	0.00%
AUTOMATIC DATA PROCESSING INC (Ticker Symbol:ADP)	1,205.00	41,114.30	42.82	51,598.10	409.70	1,639	3.18%
BAKER HUGHES INC (Ticker Symbol:BHI)	810.00	26,202.99	40.48	32,788.80	0.00	486	1.48%
BANK OF NEW YORK MELLON CORP (Ticker Symbol:BK)	1,070.00	31,310.66	27.97	29,927.90	0.00	385	1.29%
BECTON DICKINSON (Ticker Symbol:BDX)	410.00	25,453.21	78.86	32,332.60	151.70	607	1.88%
CARNIVAL CORP (Ticker Symbol:CCL)	910.00	31,835.62	31.69	28,837.90	0.00	0	0.00%

* All values reported in U.S. dollars
** *Includes Accrued Income*
*** *Prices are rounded to the nearest 100th decimal place for statement formatting purposes*

HSBC Private Bank

A division of HSBC Bank USA, N.A.

GRIGG-LEWIS FOUNDATION INC
EIN: 16-1550858
ATTACHMENT C

CUSTODY STATEMENT
GRIGG LEWIS FDN INC – MANNING
December 1, 2009 – December 31, 2009
Account Number: 12–629690

EQUITIES

Holdings*
(Description sorted by type/alphabetical)

	Shares	Cost	Price/Unit***	Market Value	Accrued Income	Estimated Annual Income	Current Yield
CERNER CORP (Ticker Symbol:CERN)	180.00	5,864.85	82.44	14,839.20	0.00	0	0.00%
CISCO SYSTEMS INC (Ticker Symbol:CSCO)	2,015.00	41,395.95	23.94	48,239.10	0.00	0	0.00%
DEAN FOODS CO (Ticker Symbol:DF)	1,360.00	22,474.68	18.04	24,534.40	0.00	0	0.00%
DISNEY WALT CO NEW (Ticker Symbol DIS)	2,200.00	47,189.29	32.25	70,950.00	770.00	770	1.08%
ELECTRONIC ARTS INC (Ticker Symbol:ERTS)	1,470.00	24,891.21	17.75	26,092.50	0.00	0	0.00%
EMC CORP MASS (Ticker Symbol:EMC)	2,980.00	30,281.27	17.47	52,060.60	0.00	0	0.00%
FORTUNE BRANDS INC (Ticker Symbol:FO)	330.00	11,429.38	43.20	14,256.00	0.00	251	1.76%
GENERAL MLS INC (Ticker Symbol:GIS)	1,240.00	62,949.10	70.81	87,804.40	0.00	2,430	2.77%
GENZYME CORP–GENERAL (Ticker Symbol:GENZ)	580.00	31,526.57	49.01	28,425.80	0.00	0	0.00%
GOOGLE INC–CL A (Ticker Symbol:GOOG)	100.00	35,440.70	619.98	61,998.00	0.00	0	0.00%
HESS CORPORATION (Ticker Symbol:HES)	550.00	29,229.58	60.50	33,275.00	55.00	220	0.66%
HOME DEPOT INC (Ticker Symbol:HD)	1,170.00	31,401.23	28.93	33,848.10	0.00	1,053	3.11%
INTERNATIONAL GAME TECHNOLOGY (Ticker Symbol:IGT)	1,190.00	27,643.28	18.77	22,336.30	71.40	286	1.28%

* A" values reported in U.S. dollars
** ludes Accrued Income
*** Prices are rounded to the nearest 100th decimal place for statement formatting purposes

GRIGG-LEWIS FOUNDATION INC
EIN: 16-1550858
ATTACHMENT C

HSBC Private Bank

A division of HSBC Bank USA, N.A.

CUSTODY STATEMENT
GRIGG LEWIS FDN INC – MANNING
December 1, 2009 – December 31, 2009
Account Number: 12–629690

EQUITIES

Holdings* (Description sorted by type/alphabetical)	Shares	Cost	Price/Unit***	Market Value	Accrued Income	Estimated Annual Income	Current Yield
JOHNSON & JOHNSON (Ticker Symbol:JNJ)	730.00	41,318.70	64.41	47,019.30	0.00	1,431	3.04%
JUNIPER NETWORKS INC (Ticker Symbol:JNPR)	575 00	15,181.78	26.67	15,335.25	0.00	0	0.00%
KELLOGG CO (Ticker Symbol:K)	1,630.00	63,511.97	53.20	86,716.00	0.00	2,445	2.82%
KROGER CO (Ticker Symbol:KR)	1,020 00	22,770 99	20.53	20,940.60	0 00	388	1 85%
LOWES COS INC (Ticker Symbol:LOW)	1,390.00	32,178.74	23.39	32,512.10	0.00	500	1.54%
MICROSOFT CORP (Ticker Symbol:MSFT)	1,540.00	43,497.91	30.48	46,939.20	0.00	801	1.71%
MILLIPORE CORP (Ticker Symbol:MIL)	310.00	21,686.98	72.35	22,428.50	0.00	0	0.00%
MONSANTO CO NEW (Ticker Symbol:MON)	530.00	38,223.07	81.75	43,327.50	0.00	562	1.30%
PERKINELMER INC (Ticker Symbol:PKI)	1,445.00	27,111.52	20.59	29,752.55	0.00	405	1.36%
QUEST DIAGNOSTICS INC (Ticker Symbol:DGX)	1,260.00	69,395.34	60.38	76,078.80	0.00	504	0.66%
SAFEWAY INC (Ticker Symbol:SWY)	1,030.00	21,577.88	21.29	21,928.70	103.00	412	1.88%
SHERWIN WILLIAMS CO (Ticker Symbol:SHW)	400.00	21,102.16	61.65	24,660.00	0.00	568	2.30%
SOUTHWEST AIRLINES CO (Ticker Symbol:LUV)	4,210.00	22,305.00	11.43	48,120.30	18.95	76	0.16%

* All values reported in U.S. dollars
*** Includes Accrued Income*
**** Prices are rounded to the nearest 100th decimal place for statement formatting purposes*

0799 0112.13.07.00.0

GRIGG-LEWIS FOUNDATION INC
EIN: 16-1550858
ATTACHMENT C

HSBC Private Bank

A division of HSBC Bank USA, N.A.

CUSTODY STATEMENT
GRIGG LEWIS FDN INC – MANNING
December 1, 2009 – December 31, 2009
Account Number: 12-629690

EQUITIES

Holdings* (Description sorted by type/alphabetical)	Shares	Cost	Price/Unit***	Market Value	Accrued Income	Estimated Annual Income	Current Yield
THERMO FISHER SCIENTIFIC INC (Ticker Symbol:TMO)	680.00	23,016.03	47.69	32,429.20	0.00	0	0.00%
UNITED PARCEL SERVICE–CLASS B (Ticker Symbol:UPS)	530.00	36,303.78	57.37	30,406.10	0.00	954	3.14%
WEYERHAEUSER CO (Ticker Symbol:WY)	800.00	20,770.59	43.14	34,512.00	0.00	160	0.46%
U.S. Equity Mutual Funds							
MANNING & NAPIER FUND INC FINANCIAL SERVICES SERIES CL A #125 (Ticker Symbol:EXFSX)	11,118.02	68,554.69	5.55	61,705.01	0.00	1,434	2.32%
MANNING & NAPIER FUND INC – REAL ESTATE SERIES (Ticker Symbol:MNREX)	7,061.44	72,239.15	10.61	74,921.88	0.00	0	0.00%
MANNING & NAPIER FUND LIFE SCIENCES SERIES (Ticker Symbol:EXLSX)	11,515.36	132,554.29	10.81	122,177.97	0.00	0	0.00%
MANNING & NAPIER FUND, INC SMALL-CAP SERIES FUND #111 (Ticker Symbol:MNSMX)	9,230.67	55,780.27	7.39	68,214.65	0.00	0	0.00%
MANNING & NAPIER FUND, INC. TECHNOLOGY SERIES #116 (Ticker Symbol:EXTCX)	7,346.53	62,805.08	9.73	71,481.74	0.00	0	0.00%
Total Equities		**$1,891,955.13**		**$2,189,970.57**	**$1,579.75**	**$27,309**	**1.25%**

* A" values reported in U.S. dollars
* *ludes Accrued Income*
*** *Prices are rounded to the nearest 100th decimal place for statement formatting purposes*

GRIGG-LEWIS FOUNDATION INC
EIN: 16-1550858
ATTACHMENT C

Hartford Financial Management, Inc.
PORTFOLIO SUMMARY
Grigg-Lewis Foundation, Inc.
HFM - Managed
December 31, 2009

Security Type	Total Cost	Market Value	Pct. Assets	Yield	Est.Annual Income
Equity					
COMMON STOCK					
Industrials	1,567,318 36	1,883,398 00	5 8	1 9	35,560 00
Consumer Discretionary	990,652 80	1,045,455 00	3 2	3 0	31,795 00
Consumer Staples	1,444,650 70	1,723,440 00	5.3	2 2	38,135 00
Energy	1,729,153 08	2,208,465 00	6 8	3 4	74,660.00
Financial	1,755,048 07	1,581,240.00	4 9	2 3	36,740 00
Health Care	1,666,867 53	2,585,888 86	8 0	0 9	23,233 79
Materials	692,281 10	695,680 00	2 2	2.2	15,154.16
Technology	2,557,831 27	3,273,244 80	10 1	0 5	15,453 06
Utilities	599,383 59	696,570 63	2 2	5 1	35,255 32
Communication	606,115 81	760,521 67	2 4	5 8	43,968 83
COMMON STOCK	13,609,302.31	16,453,903.96	51.0	2.1	349,955.16
DOMESTIC STOCK FUNDS					
Mid-Cap Blend	1,781,699 67	1,937,665 82	6 0	0 0	0.00
INT'L STOCK FUNDS					
Foreign Large Cap Blend	2,308,378 33	2,252,178 65	7 0	1 7	37,351.34
	17,699,380.31	20,643,748.43	64.0	1.9	387,306.49
Fixed Income					
TAXABLE BOND FUNDS					
Short-Term Government	683,551 05	681,735 50	2 1	2 0	13,610 47
Long-Term Bond	128,237 50	109,991 00	0 3	8 3	9,177 00
Ultrashort Bond	296,309 45	251,776 49	0 8	5 3	13,362.51
Multisector Bond	193,840.86	200,390 36	0 6	5 8	11,679 42
TAXABLE BOND FUNDS	1,301,938.86	1,243,893.35	3.9	3.8	47,829.41
CORPORATE BONDS	1,876,842 50	1,919,971 65	6 0	2 9	99,000 00
AGENCY BONDS	717,548 97	758,866 50	2 4	2 7	32,875 00
TREASURY NOTES	1,783,431 52	1,791,578 00	5.6	3 9	76,750.00
GNMA					
GNMA 30 Yr 7 00%	1,783 94	2,061.03	0 0	7 8	130 75
GNMA 30 Yr 8 00%	9,639.90	10,702.29	0 0	8 2	779 33
GNMA	11,423.84	12,763.33	0.0	8.2	910.08
FNMA					
FNMA 15 Yr 5 00%	130,338 17	138,724 72	0 4	5 1	6,545 55
FNMA 7 Yr Balloon 3 50%	49,885 43	50,967 97	0 2	3 3	1,738 11
FNMA 30 Yr 5 50%	557,436 58	597,110 08	1 9	5 8	31,269 75
FNMA 30 Yr 5 00%	1,397,597 64	1,447,373 52	4.5	5 1	70,234 63
FNMA	2,135,257.83	2,234,176.29	6.9	5.2	109,788.04
FHLMC					
FHLMC Gold 30 Yr	1,117,608 28	1,152,177 65	3 6	5 0	55,968.28
FHLMC Gold 30 Yr 7 00%	4,919.15	5,438 45	0 0	7 2	347.41
FHLMC Gold 30 Yr 5 00%	264,424 18	273,617 35	0 8	5 1	13,275 14
FHLMC	1,386,951.61	1,431,233.45	4.4	5.1	69,590.83

Hartford Financial Management, Inc.
PORTFOLIO SUMMARY
Grigg-Lewis Foundation, Inc.
HFM - Managed
December 31, 2009

Security Type	Total Cost	Market Value	Pct. Assets	Yield	Est.Annual Income
COLLATERALIZED MTGE OBLIGATIONS	991,406 25	866,850.28	2.7	5 9	57,500 00
Accrued Interest			0 2		
	10,204,801.37	10,259,332.85	32.0	4.3	494,243.37
Cash					
CASH AND EQUIVALENTS					
Cash/Money Mkt Fds	1,279,512.83	1,279,512.83	4 0	0.0	197 29
	1,279,512.83	1,279,512.83	4.0	0.0	197.29
TOTAL PORTFOLIO	**29,183,694.51**	32,182,594.11	**100.0**	**2.6**	**881,747.16**

GRIGG-LEWIS FOUNDATION, INC.
EIN: 16-1550858
Attachment D

Name	Name Address	Amount	Status	Category
AMERICAN RED CROSS	637 Davison Rd Lockport, NY 14094	15,200 00	501c3	Disaster Relief
ASSOCIATION OF SMALL FOUNDATION	33 Wild Berry Lane Underhill, VT 05489-0117	495 00	501c3	Education
ARTPARK	450 South 4th Street Lewiston, NY 14092	50,000 00	501c3	Arts
BARKER CENTRAL SCHOOL DISTRICT	1628 Quaker Road Barker, NY 14012	15,000 00	501c3	Education
BIG BROTHERS/BIG SISTERS OF NIAGARA COUNTY	86 Park Avenue Lockport, NY 14094	20,275 00	501c3	Youth
BOY SCOUTS OF AMERICA	7121 Rochester Road Lockport, NY 14094	12,000 00	501c3	Youth
BUFFALO PHILHARMONIC ORCHESTRA	499 Franklin Street Buffalo, NY 14202	34,000 00	501c3	Arts
BUFFALO SOCIETY OF NATURAL SCIENCES	1020 Humboldt Parkway Buffalo, NY 14211	5,000 00	501c3	Arts
CAMP GOOD DAYS & SPECIAL TIMES	6430 Transit Road Suite 200 Depew NY 14043	1,000 00	501c3	Youth
CITY OF LOCKPORT	One Locks Plaza Lockport NY 14094	110,275 00	501c3	History/Tourism
COLD SPRINGS CEMETARY	4849 Cold Springs Rd Lockport NY 14094	3,600 00	501c13	Community
COMMUNITY MISSION	1570 Buffalo Ave Niagara Falls Ny 14303	25,000 00	501c3	Human Service
CORNELL COOPERATIVE EXTENSION	4487 Lake Rd Lockport NY 14094	18,400 00	501c3	Community
THE DALE ASSOCIATION	315 Bewley Bldg Lockport NY 14094	134,400 00	501c3	Elderly
EASTERN NIAGARA UNITED WAY	Lockview Plaza 41 Main Street Lockport NY 14094-3657	25,200 00	501c3	Human Service
EQUI STAR	2199 Fuller Rd Burt NY 14028	10,000 00	501c3	Human Service
FAMILIES OF SPINAL MUSCULAR ATROPHY	PO Box 444 North Tonawanda, NY 14120	1,000 00	501c3	Health
FAMILY & CHILDRENS SERVICES OF NIAGARA, INC	21-41 Lockview Plaza Lockport NY 14094	162,000 00	501c3	Human Service
FIRST PRESBYTERIAN CHURCH	21 Church Street Lockport NY 14094	12,000 00	501c3	Religious
GIRL SCOUTS OF NIAGARA COUNTY, INC	5000 Cambria Rd Lockport NY 14094	18,400 00	501c3	Youth
GLENWOOD CEMETERY ASSOCIATION	325 Glenwood Avenue Lockport NY 14094	6,425 00	501c13	Community
HABITAT FOR HUMANITY LOCKPORT	75 East Avenue Lockport NY 14094	12,000 00	501c3	Community
HART INTERFAITH, INC	505 Cayuga Street Lewiston, NY 14092	1,800 00	501c3	Elderly
HORIZON VILLAGE	6301 Inducon Drive Sanborn, NY 14132	150,000 00	501c3	Health
IROQUOIS TRAIL COUNCIL	45 Liberty Street Suite 2 Batavia, NY 14020	15,000 00	501c3	Youth
JUNIOR ACHIEVEMENT	275 Oak Street Suite 222 Buffalo NY 14203-1638	12,000 00	501c3	Youth
KENAN CENTER	433 Locust Street Lockport NY 14094	196,600 00	501c3	Arts
LOCKPORT CARES, INC	160 Chestnet Street Lockport, NY 14094	35,000 00	501c3	Human Service
LOCKPORT ICE ARENA & SPORTS, INC	172 EAST AVE LOCKPORT NY 14094	53,500 00	501c3	Youth
LOCKPORT MAIN STREET INC	1 East Avenue Suite 1 Lockport, NY 14094	6,400 00	501c3	Community
LOCKPORT MEMORIAL HOSPITAL	521 East Avenue Lockport NY 14094	25,000 00	501c3	Youth
LOCKPORT NEW BEGINNINGS	271 Elmwood Avenue Lockport NY 14094	3,200 00	501c3	Human Service
LOCKPORT PUBLIC LIBRARY	PO Box 475 East Avenue Lockport NY 14094	13,000 00	501c3	Arts
MENTAL HEALTH ASSOCIATION IN NIAGARA COUNTY	36 Pine Street Lockport NY 14094	15,200 00	501c3	Health
MERCY FLIGHT WNY	100 Amherst Villa Road Buffalo, NY 14225	10,000 00	501c3	Health
MOUNT ST MARY'S HEALTH CENTER	5300 Military Rd, Lewiston NY 14092	50,000 00	501c3	Health
NATIONAL CHILD SAFETY	PO Box 16259 Rochester NY 14616	330 00	501c3	Youth
NATIONAL HOUSE OF HOPE	20 Regent Street Lockport NY 14094	10,300 00	501c3	Youth
NCCC FOUNDATION, INC	3111 Saunders Settlement Rd Lockport NY 14094	212,000 00	501c3	Education
NEW DIRECTIONS YOUTH & FAMILY SERVICES	6395 Old Niagara Rd Lockport NY 14094	12,000 00	501c3	Youth
NIAGARA CHARTER SCHOOL	2077 Lockport Road Niagara Falls, NY 14304	20,000 00	501c3	Education
NIAGARA COUNTY HISTORICAL SOCIETY	76 West Avenue Lockport NY 14094	26,465 00	501c3	History/Tourism
NIAGARA HOSPICE	4675 Sunset Dr Lockport NY 14094	18,400 00	501c3	Health
NIOGA LIBRARY SYSTEM	6575 Wheeler Rd Lockport NY 14094	15,200 00	501c3	Education
OLCOTT BEACH CAROUSEL	PO Box 308 Olcott NY 14126	10,000 00	501c3	Community
OLD FORT NIAGARA	PO Box 169 Lewiston NY 14174	31,000 00	501c3	Arts
OPPORTUNITIES UNLIMITED	107 Heath Street Lockport NY 14094	6,400 00	501c3	Youth
PALACE THEATER	po Box 19 Lockport NY 14094	3,200 00	501c3	Arts
PRESBYTERIAN HOMES OF WNY FOUNDATION	4455 Transit Rd Williamsville, NY 14221	12,000 00	501c3	Elderly
THE SALVATION ARMY	50 Cottage Street Lockport NY 14094	23,400 00	501c3	Human Service
WESTERN NEW YORK PUBLIC BROADCASTING ASSOCIATION	PO Box 1263 Buffalo Ny 14240	1,000 00	501c3	Arts
WNY GRANTMAKERS ASSOCIATION	PO Box 1333 Buffalo, NY 14205	2,000 00	501c3	Education
YMCA	19 East Avenue Lockport NY 14094	18,400 00	501c3	Human Service
YWCA OF NIAGARA, INC	32 Cottage Street Lockport NY 14094	93,400 00	501c3	Human Service
YWCA TRUSTEES	32 Cottage Street Lockport NY 14094	12,000 00	501c3	Human Service
Jan - Dec 09		1,805,865.00		

2009 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1 990-PF

Asset No.	Description	Date Acquired	Method	Life	Conv	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
11	COMPUTERS	06/30/08	200DB	5.00	HY	17	4,544.				4,544.	627.		1,254.	1,881.
	* TOTAL 990-PF PG 1 DEPR						4,544.				4,544.	627.		1,254.	1,881.

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CAPITAL GAINS DIVIDENDS	3,401.	3,401.	0.
INTEREST AND DIVIDENDS FROM INVESTMENTS	1,105,602.	0.	1,105,602.
SECTION 481(A) INTEREST	20,979.	0.	20,979.
TOTAL TO FM 990-PF, PART I, LN 4	1,129,982.	3,401.	1,126,581.

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	16,815.	5,885.		10,930.
TO FORM 990-PF, PG 1, LN 16B	16,815.	5,885.		10,930.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	159,168.	159,168.		0.
TO FORM 990-PF, PG 1, LN 16C	159,168.	159,168.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	7,941.	1,985.		5,956.
NYS FILING FEE	750.	0.		750.
FEDERAL EXCISE TAX	16,498.	0.		0.
FOREIGN TAXES	567.	567.		0.
TO FORM 990-PF, PG 1, LN 18	25,756.	2,552.		6,706.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSE	3,726.	0.		3,726.
INSURANCE	3,264.	0.		3,264.
EQUIPMENT RENTAL	488.	0.		488.
BUSINESS EXPENSE	3,839.	0.		3,939.
TELEPHONE	2,348.	0.		2,348.
SECTION 481(A) ADJUSTMENT	9,785.	0.		0.
DUES AND SUBSCRIPTIONS	3,143.	1,572.		1,571.
POSTAGE AND DELIVERY	642.	0.		642.
TO FORM 990-PF, PG 1, LN 23	27,235.	1,572.		15,978.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 6

DESCRIPTION	AMOUNT
UNREALIZED GAIN/LOSS ON INVESTMENTS	8,360,004.
TOTAL TO FORM 990-PF, PART III, LINE 3	8,360,004.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 7

DESCRIPTION	AMOUNT
SECTION 481(A) RECOGNIZED IN CURRENT YEAR (YR 3 OF 4)	11,194.
TOTAL TO FORM 990-PF, PART III, LINE 5	11,194.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 8

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US GOVERNMENT OBLIGATIONS -SEE ATTACHMENT C	X		9,313,906.	9,313,906.
TOTAL U.S. GOVERNMENT OBLIGATIONS			9,313,906.	9,313,906.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			9,313,906.	9,313,906.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK - SEE ATTACHMENT C	22,943,763.	22,943,763.
TOTAL TO FORM 990-PF, PART II, LINE 10B	22,943,763.	22,943,763.

FORM 990-PF CORPORATE BONDS STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - SEE ATTACHMENT C	5,857,489.	5,857,489.
TOTAL TO FORM 990-PF, PART II, LINE 10C	5,857,489.	5,857,489.

FORM 990-PF OTHER INVESTMENTS STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ACCRUED INTEREST RECEIVABLE	COST	66,825.	66,825.
REAL ESTATE LLC	COST	75,000.	75,000.
TOTAL TO FORM 990-PF, PART II, LINE 13		141,825.	141,825.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 12

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTERS	4,544.	1,881.	2,663.
TOTAL TO FM 990-PF, PART II, LN 14	4,544.	1,881.	2,663.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
NORMAN W. SINCLAIR 38 AMBLESIDE DRIVE LOCKPORT, NY 14094	PRESIDENT 2.00	10,000.	0.	0.
WILLIAM B. MAY 27 THE COMMON LOCKPORT, NY 14094	SECRETARY/EXEC DIRECTOR 35.00	82,000.	0.	0.
CHRISTA CALDWELL 590 WILLOW STREET LOCKPORT, NY 14094	DIRECTOR 2.00	10,000.	0.	0.
R. THOMAS WEEKS 9 GOTHIC LEDGE LOCKPORT, NY 14094	DIRECTOR 2.00	10,000.	0.	0.
DAN L. WILSON 100 HAINES LOCKPORT, NY 14094	TREASURER 2.00	10,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		122,000.	0.	0.