



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-EZ**

# Short Form

## Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code  
(except black lung benefit trust or private foundation)

OMB No 1545-1150

**2009**Department of the Treasury  
Internal Revenue Service

► Sponsoring organizations of donor advised funds and controlling organizations as defined in section 512(b)(13) must file Form 990. All other organizations with gross receipts less than \$500,000 and total assets less than \$1,250,000 at the end of the year may use this form.

► The organization may have to use a copy of this return to satisfy state reporting requirements

**Open to Public Inspection****A For the 2009 calendar year, or tax year beginning****, 2009, and ending****B** Check if applicable

- ☐ Address change  
☐ Name change  
☐ Initial return  
☐ Termination  
☐ Amended return  
☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

**C**  
**THE MOCKINGBIRD FOUNDATION**  
**6948 LUTHER CIRCLE**  
**MOORPARK, CA 93021**

**D** Employer identification number

16-1529562

**E** Telephone number

805-276-0817

**F** Group Exemption Number

► N/A

• **Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).**

**G** Accounting method ☒ Cash ☐ Accrual  
 Other (specify) ►

**I** Website: ► WWW.MOCKINGBIRDFOUNDATION.ORG**J** Tax-exempt status (check only one) — ☒ 501(c) ( 3 ) (insert no) ☐ 4947(a)(1) or ☐ 527

**H** Check ☒ if the organization is **not** required to attach Schedule B (Form 990, 990-EZ, or 990-PF)

**K** Check ☒ if the organization is not a section 509(a)(3) supporting organization and its gross receipts are normally **not** more than \$25,000. A Form 990-EZ or Form 990 return is not required, but if the organization chooses to file a return, be sure to file a complete return

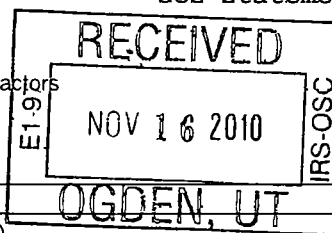
**L** Add lines 5b, 6b, and 7b, to line 9 to determine gross receipts, if \$500,000 or more, file Form 990 instead of Form 990-EZ

► \$ 22,198.

**Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances** (See the instructions for Part I.)

REVENUE	1	Contributions, gifts, grants, and similar amounts received	1	21,536.
	2	Program service revenue including government fees and contracts	2	
	3	Membership dues and assessments	3	
	4	Investment income	4	662.
	5a	Gross amount from sale of assets other than inventory	5a	
	5b	Less cost or other basis and sales expenses	5b	
	5c	Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a)	5c	
	6	Special events and activities (complete applicable parts of Schedule G) If any amount is from gaming, check here <input type="checkbox"/>	6	
	6a	Gross revenue (not including \$ of contributions reported on line 1)	6a	
6b	Less direct expenses other than fundraising expenses	6b		
6c	Net income or (loss) from special events and activities (Subtract line 6b from line 6a)	6c		
7a	Gross sales of inventory, less returns and allowances	7a		
7b	Less cost of goods sold	7b		
7c	Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)	7c		
8	Other revenue (describe ► )	8		
9	<b>Total revenue</b> Add lines 1, 2, 3, 4, 5c, 6c, 7c, and 8	9	22,198.	
EXPENSES	10	Grants and similar amounts paid (attach schedule)	10	81,336.
	11	Benefits paid to or for members	11	
	12	Salaries, other compensation, and employee benefits	12	
	13	Professional fees and other payments to independent contractors	13	
	14	Occupancy, rent, utilities, and maintenance	14	
	15	Printing, publications, postage, and shipping	15	247.
	16	Other expenses (describe ► See Statement 2)	16	4,193.
	17	<b>Total expenses.</b> Add lines 10 through 16	17	85,776.
NET ASSETS	18	Excess or (deficit) for the year (Subtract line 17 from line 9)	18	-63,578.
	19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	19	153,540.
	20	Other changes in net assets or fund balances (attach explanation)	20	
	21	Net assets or fund balances at end of year Combine lines 18 through 20	21	89,962.

See Statement 1

**Part II Balance Sheets.** If Total assets on line 25, column (B) are \$1,250,000 or more, file Form 990 instead of Form 990-EZ

(See the instructions for Part II)

	(A) Beginning of year	(B) End of year
22 Cash, savings, and investments	153,540.	89,962.
23 Land and buildings		
24 Other assets (describe ► )		
25 <b>Total assets</b>	153,540.	89,962.
26 <b>Total liabilities</b> (describe ► )	0.	0.
27 <b>Net assets or fund balances</b> (line 27 of column (B) must agree with line 21)	153,540.	89,962.

**BAA For Privacy Act and Paperwork Reduction Act Notice, see separate instructions.**Form **990-EZ** (2009)

TEEA0803L 01/30/10

SCANNED DEC 03 2010

25

**Part III Statement of Program Service Accomplishments** (See the instructions.)What is the organization's primary exempt purpose? **See Statement 3**

Describe what was achieved in carrying out the organization's exempt purposes. In a clear and concise manner, describe the services provided, the number of persons benefited, or other relevant information for each program title.

**Expenses**  
(Required for section 501(c)(3) and (4) organizations and section 4947(a)(1) trusts, optional for others.)**28** See Statement 4(Grants \$ 81,336.) If this amount includes foreign grants, check here ☐**28 a** 4,440.**29**(Grants \$ ) If this amount includes foreign grants, check here ☐**29 a****30**(Grants \$ ) If this amount includes foreign grants, check here ☐**30 a****31** Other program services (attach schedule)(Grants \$ ) If this amount includes foreign grants, check here ☐**31 a****32** Total program service expenses (add lines 28a through 31a)**32** 4,440.**Part IV List of Officers, Directors, Trustees, and Key Employees.** List each one even if not compensated (See the instrs.)

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account and other allowances
MARK WALSH 684 CADILLAC DRIVE SCOTTS VALLEY, CA 95066	President 0	0.	0.	0.
CHARLIE DIRKSEN 274 SANCHEZ ST SAN FRANCISCO, CA 94114	Vice President 0	0.	0.	0.
ELLIS GODARD 6948 LUTHER CIRCLE MOORPARK, CA 93021	Treasurer 0	0.	0.	0.
CHRIS BERTOLET 12645 MILBANK ST STUDIO CITY, CA 91604	Director 0	0.	0.	0.
HERSCHEL GELMAN 503 HILLSBORO DR SILVER SPRING, MD 20902	Director 0	0.	0.	0.
JEREMY GOODWIN 16 DRESSER AVE APT #4 GREAT BARRINGTON, MA 01230	Director 0	0.	0.	0.
DAN PURCELL 4297 LAKESHORE AVE OAKLAND, CA 94610	Director 0	0.	0.	0.
JACK LEBOWITZ 39 GARRISON ROAD QUEENSBURY, NY 12804-2002	0	0.	0.	0.
JIM RARAS JR 329 WELLMAN AVE NORTH CHELMSFORD, MA 01863	Director 0	0.	0.	0.
DAVID STEINBERG 8511 RAVENNA AVE NE SEATTLE, WA 98115	Director 0	0.	0.	0.
MARCIE D. VOGEL 325 BERYL ST BROOMFIELD, CO 80020	Director 0	0.	0.	0.

**Part V Other Information** (Note the statement requirements in the instrs for Part V.) See Statement 5

	Yes	No
<b>33</b> Did the organization engage in any activity not previously reported to the IRS? If 'Yes,' attach a detailed description of each activity	<b>33</b>	X
<b>34</b> Were any changes made to the organizing or governing documents? If 'Yes,' attach a conformed copy of the changes	<b>34</b>	X
<b>35</b> If the organization had income from business activities, such as those reported on lines 2, 6a, and 7a (among others), but not reported on Form 990-T, attach a statement explaining why the organization did not report the income on Form 990-T		
<b>a</b> Did the organization have unrelated business gross income of \$1,000 or more or was it subject to section 6033(e) notice, reporting, and proxy tax requirements?	<b>35a</b>	X
<b>b</b> If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?	<b>35b</b>	
<b>36</b> Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If 'Yes,' complete applicable parts of Schedule N	<b>36</b>	X
<b>37a</b> Enter amount of political expenditures, direct or indirect, as described in the instructions <b>37a</b> 0.	<b>37a</b>	
<b>b</b> Did the organization file <b>Form 1120-POL</b> for this year?	<b>37b</b>	X
<b>38a</b> Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the period covered by this return?	<b>38a</b>	X
<b>b</b> If 'Yes,' complete Schedule L, Part II and enter the total amount involved <b>38b</b> N/A	<b>38b</b>	
<b>39</b> Section 501(c)(7) organizations Enter		
<b>a</b> Initiation fees and capital contributions included on line 9 <b>39a</b> N/A	<b>39a</b>	
<b>b</b> Gross receipts, included on line 9, for public use of club facilities <b>39b</b> N/A	<b>39b</b>	
<b>40a</b> Section 501(c)(3) organizations Enter amount of tax imposed on the organization during the year under section 4911 <b>0.</b> , section 4912 <b>0.</b> , section 4955 <b>0.</b>		
<b>b</b> Section 501(c)(3) and 501(c)(4) organizations Did the organization engage in any section 4958 excess benefit transaction during the year or is it aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If 'Yes,' complete Schedule L, Part I <b>40b</b> X	<b>40b</b>	X
<b>c</b> Section 501(c)(3) and 501(c)(4) organizations Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 <b>0.</b>		
<b>d</b> Section 501(c)(3) and 501(c)(4) organizations Enter amount of tax on line 40c reimbursed by the organization <b>0.</b>		
<b>e</b> All organizations At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If 'Yes,' complete Form 8886-T <b>40e</b> X	<b>40e</b>	X
<b>41</b> List the states with which a copy of this return is filed <b>None</b>		

**42a** The organization's books are in care of **ELLIS GODARD** Telephone no **805**  
 Located at **6948 LUTHER CIRCLE MOORPARK CA** ZIP + 4 **93021**

**b** At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? **42b** X  
 If 'Yes,' enter the name of the foreign country

See the instructions for exceptions and filing requirements for **Form TD F 90-22.1, Report of a Foreign Bank and Financial Accounts.**

**c** At any time during the calendar year, did the organization maintain an office outside of the U S ? **42c** X  
 If 'Yes,' enter the name of the foreign country

**43** Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of **Form 1041** — Check here ☐ N/A  
 and enter the amount of tax-exempt interest received or accrued during the tax year **43** N/A

	Yes	No
<b>44</b> Did the organization maintain any donor advised funds? If 'Yes,' Form 990 must be completed instead of Form 990-EZ	<b>44</b>	X
<b>45</b> Is any related organization a controlled entity of the organization within the meaning of section 512(b)(13)? If 'Yes,' Form 990 must be completed instead of Form 990-EZ	<b>45</b>	X

**Part VI** Section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts only. All section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts must answer questions 46-49b and complete the tables for lines 50 and 51.

	Yes	No
<b>46</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If 'Yes,' complete Schedule C, Part I		X
<b>47</b> Did the organization engage in lobbying activities? If 'Yes,' complete Schedule C, Part II		X
<b>48</b> Is the organization a school as described in section 170(b)(1)(A)(ii)? If 'Yes,' complete Schedule E		X
<b>49a</b> Did the organization make any transfers to an exempt non-charitable related organization?		X
<b>49b</b> If 'Yes,' was the related organization a section 527 organization?		

**50** Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter 'None.'

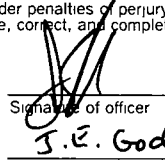
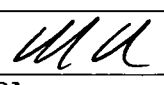
(a) Name and address of each employee paid more than \$100,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account and other allowances
None				

**f** Total number of other employees paid over \$100,000 ▶

**51** Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter 'None.'

(a) Name and address of each independent contractor paid more than \$100,000	(b) Type of service	(c) Compensation
None		

**d** Total number of other independent contractors each receiving over \$100,000 ▶

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.		
	 Signature of officer J.E. Godard Type or print name and title	Date <u>11/9/10</u>	
<b>Paid Preparer's Use Only</b>	Preparer's signature  HAL COHEN Firm's name (or yours if self-employed), address, and ZIP + 4 HAL COHEN, CPA 122 DE NORMANDIE WAY MARTINEZ, CA 94553	Date <u>11/7/10</u> Check if self-employed <input type="checkbox"/> Preparer's Identifying Number (See instructions) N/A EIN <u>N/A</u> Phone no <u>(925) 370-0141</u>	

May the IRS discuss this return with the preparer shown above? See instructions

☒ Yes ☐ No

Department of the Treasury  
Internal Revenue Service

## Public Charity Status and Public Support

**Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.**

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

## 2009

**Open to Public Inspection**

Name of the organization

THE MOCKINGBIRD FOUNDATION

Employer identification number

16-1529562

<b>Part I</b>	<b>Reason for Public Charity Status</b> (All organizations must complete this part.) See instructions
---------------	---

The organization is not a private foundation because it is. (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)
- 3 ☐ A hospital or cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state \_\_\_\_\_
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II)
- 9 ☐ An organization that normally receives (1) more than 33-1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions – subject to certain exceptions, and (2) no more than 33-1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h
- a ☐ Type I      b ☐ Type II      c ☐ Type III – Functionally integrated      d ☐ Type III – Other
- e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)
- f ☐ If the organization received a written determination from the IRS that is a Type I, Type II or Type III supporting organization, check this box
- g ☐ Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

	Yes	No
11 g (i)		
11 g (ii)		
11 g (iii)		

#### **h** Provide the following information about the supported organizations

[illegible]

**BAA** For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Schedule A (Form 990 or 990-EZ) 2009

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
<b>1</b> Gifts, grants, contributions and membership fees received. (Do not include 'unusual grants'.)	151,537.	9,971.	13,205.	8,192.	21,536.	204,441.
<b>2</b> Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf						0.
<b>3</b> The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.						0.
<b>4 Total.</b> Add lines 1-through 3	151,537.	9,971.	13,205.	8,192.	21,536.	204,441.
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f).						0.
<b>6 Public support.</b> Subtract line 5 from line 4						204,441.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
<b>7</b> Amounts from line 4	151,537.	9,971.	13,205.	8,192.	21,536.	204,441.
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	9,585.	18,095.	19,013.	13,431.	662.	60,786.
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on						0.
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets. (Explain in Part IV.)						0.
<b>11 Total support.</b> Add lines 7 through 10						265,227.
<b>12</b> Gross receipts from related activities, etc. (see instructions)					12	0.

**13 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. ☐**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f))	<b>14</b>	77.1 %
<b>15</b> Public support percentage from 2008 Schedule A, Part II, line 14	<b>15</b>	87.0 %

**16a 33-1/3 support test – 2009.** If the organization did not check the box on line 13, and the line 14 is 33-1/3 % or more, check this box and **stop here.** The organization qualifies as a publicly supported organization. ☒**b 33-1/3 support test – 2008.** If the organization did not check a box on line 13, or 16a, and line 15 is 33-1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization. ☐**17a 10%-facts-and-circumstances test – 2009** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and **stop here.** Explain in Part IV how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization. ☐**b 10%-facts-and-circumstances test – 2008.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and **stop here.** Explain in Part IV how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization. ☐**18 Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions. ☐

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I.)

**Section A. Public Support**

Calendar year (or fiscal yr. beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
<b>1</b> Gifts, grants, contributions and membership fees received. (Do not include 'unusual grants'.)						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in a activity that is related to the organization's tax-exempt purpose						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge						
<b>6 Total.</b> Add lines 1 through 5						
<b>7a</b> Amounts included on lines 1, 2, 3 received from disqualified persons						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of 1% of the amount on line 13 for the year						
<b>c</b> Add lines 7a and 7b						
<b>8 Public support.</b> (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal yr. beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
<b>9</b> Amounts from line 6						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
<b>c</b> Add lines 10a and 10b						
<b>11</b> Net income from unrelated business activities not included on line 10b, whether or not the business is regularly carried on						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets. (Explain in Part IV.)						
<b>13 Total support.</b> (add lns 9, 10c, 11, and 12)						
<b>14 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	<b>15</b>	%
<b>16</b> Public support percentage from 2008 Schedule A, Part III, line 15	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for <b>2009</b> (line 10c, column (f) divided by line 13, column (f))	<b>17</b>	%
<b>18</b> Investment income percentage from <b>2008</b> Schedule A, Part III, line 17	<b>18</b>	%
<b>19a 33-1/3 support tests – 2009.</b> If the organization did not check the box on line 14, and line 15 is more than 33-1/3%, and line 17 is not more than 33-1/3%, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization <input type="checkbox"/>		
<b>b 33-1/3 support tests – 2008.</b> If the organization did not check a box on line 14 or 19a, and line 16 is more than 33-1/3%, and line 18 is not more than 33-1/3%, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization <input type="checkbox"/>		
<b>20 Private foundation.</b> If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions <input type="checkbox"/>		



**Part IV** **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Provide any other additional information. See instructions.

[illegible]

## THE MOCKINGBIRD FOUNDATION

16-1529562

**Statement 1**  
**Form 990-EZ, Part I, Line 10**  
**Grants and Similar Amounts Paid**

Donee's Name:	ARGO COMMUNITY HS BAND	
Donee's Address:	7329 W. 63RD AVE	
	SUMMIT, IL 60501	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.
Donee's Name:	BOBCAT BAND BOOSTERS CENTRAL HS	
Donee's Address:	PO BOX 18408	
	KNOXVILLE , TN 37928	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.
Donee's Name:	BREAKTHROUGH MAGNET SCHOOL	
Donee's Address:	121 CORNWALL ST	
	HARTSFORD , CT 06112	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.
Donee's Name:	BURGETTSTOWN AREA MS GUITAR CLUB	
Donee's Address:	104 BAVINGTON RD	
	BURGETTSTOWN, PA 15021	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.
Donee's Name:	CAHUILLA DESERT ACADEMY	
Donee's Address:	82-489 AVENUE 52	
	COACHELLA , CA 92236	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.
Donee's Name:	CENTRAL HEIGHTS ELEM SCHOOL	
Donee's Address:	3521 ELLIS RD	
	RICHMOND, KS 66080	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 2,206.
Donee's Name:	CLEVELAND SCHOOL	
Donee's Address:	123 ALAMEDA PADRE SERRA	
	SANTA BARBARA, CA 93103	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,500.
Donee's Name:	COACHELLA VALLEY HS	
Donee's Address:	83800 AIRPORT BLVD	
	THERMAL, CA 92274	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.
Donee's Name:	COFFEE CTY RED RAIDER BAND BOOSTERS	
Donee's Address:	100 RED RAIDER DR	
	MANCHESTER , TN 37355	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.

## THE MOCKINGBIRD FOUNDATION

16-1529562

**Statement 1 (continued)**  
**Form 990-EZ, Part I, Line 10**  
**Grants and Similar Amounts Paid**

Donee's Name:	COMMUNITY MUSIC SCH OF WEBSTER UNIV.	
Donee's Address:	470 E. LOCKWOOD AVE.	
	WEBSTER GROVES, MO 63119	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.
Donee's Name:	DEWAR PUBLIC SCHOOL	
Donee's Address:	204 E. 5TH STREET	
	HENRYETTA, OK 74437	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 4,990.
Donee's Name:	EAST TROY SCHOOLS MUSIC BOOSTERS	
Donee's Address:	2043 DIVISION ST	
	EAST TROY, WI 53120	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.
Donee's Name:	EDISON ELEMENTARY SCHOOL	
Donee's Address:	201 S. DAWES	
	KENNEWICK, WA 99336	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 5,000.
Donee's Name:	EVERGREEN COMM. CHARTER SCH MIRIMBA BAN	
Donee's Address:	50 BELL RD	
	ASHEVILLE, NC 28805	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.
Donee's Name:	GIRLS ROCK PHILLY	
Donee's Address:	PO BOX 1512	
	PHILADELPHIA, PA 19105	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 4,000.
Donee's Name:	HOPE HAVEN	
Donee's Address:	PO BOX 3777	
	ST LOUIS, MS 39521	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 5,000.
Donee's Name:	JOHN WINTHROP ELEMENTARY SCHOOL	
Donee's Address:	35 BROCKFORD ST	
	DORCHESTER, MA 02125	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 4,620.
Donee's Name:	KNOWLEDGE - FIRST EMPOWERMENT CENTER	
Donee's Address:	2651 CARTWRIGHT RD, STE C	
	MISSOURI CITY, TX 77459	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 5,000.

## THE MOCKINGBIRD FOUNDATION

16-1529562

**Statement 1 (continued)**  
**Form 990-EZ, Part I, Line 10**  
**Grants and Similar Amounts Paid**

Donee's Name:	MANSFIELD HS JAZZ BAND	
Donee's Address:	150 EAST ST	
	MANSFIELD , MA 02048	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.
Donee's Name:	MONUMENTAL ELEMENTARY SCHOOL	
Donee's Address:	127 NORTH ST	
	MONUMENT, OR 97864	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.
Donee's Name:	MUSIC FOR MINORS	
Donee's Address:	883 N. SHORELINE DR. #C120	
	MOUNTAIN VIEW, CA 94043	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.
Donee's Name:	NOBLESVILLE SCHOOLS EDUCATION FDN	
Donee's Address:	1775 FIELD AVE	
	NOBLESVILLE, IN 46060	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.
Donee's Name:	NUCIS PLACE	
Donee's Address:	396 OCONEE ST	
	ATHENS, GA 30601	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 2,500.
Donee's Name:	PEMBROKES JR/SR HIGH SCHOOL	
Donee's Address:	8750 ALLEGHANY RD	
	CORFU, NY 14036	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.
Donee's Name:	RED ROCKS ELEMENTARY	
Donee's Address:	17199 HWY 74	
	MORRISON , CO 80465	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.
Donee's Name:	RIVER ARTS OF MORRISVILLE	
Donee's Address:	74 PLEASANT ST	
	MORRISVILLE, VT 05661	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 4,520.
Donee's Name:	SCHOOL OF JAZZ STUDIES	
Donee's Address:	89 WASHINGTON AVE, RM # 866 EBA	
	ALBANY, NY 12234	
Relationship of Donee:	UNRELATED	
Cash Amount Given:		\$ 1,000.

## THE MOCKINGBIRD FOUNDATION

16-1529562

**Statement 1 (continued)**  
**Form 990-EZ, Part I, Line 10**  
**Grants and Similar Amounts Paid**

Donee's Name:	SITAR ARTS CENTER		
Donee's Address:	1700 KALORAMA RD NW		
	WASHINGTON, DC 20009		
Relationship of Donee:	UNRELATED		
Cash Amount Given:		\$	5,000.
Donee's Name:	SOMIS UNION SCHOOL DISTRICT		
Donee's Address:	5268 NORTH ST		
	SOMIS, CA 93066		
Relationship of Donee:	UNRELATED		
Cash Amount Given:		\$	5,000.
Donee's Name:	SYMPHONY BY THE SEA'S YOUTH ORCHESTRA		
Donee's Address:	PO BOX 1425		
	MARBLEHEAD, MA 01945		
Relationship of Donee:	UNRELATED		
Cash Amount Given:		\$	1,000.
Donee's Name:	UNIVERSAL AFRICAN DANCE & DRUM ENSEMBLE		
Donee's Address:	1544 MT EPHRAIM AVE		
	CAMDEN, NJ 08104		
Relationship of Donee:	UNRELATED		
Cash Amount Given:		\$	1,000.
Donee's Name:	VAIL ELEMENTARY SCHOOL		
Donee's Address:	29915 MIRA LOMA DR		
	TEMECULA, CA 92592		
Relationship of Donee:	UNRELATED		
Cash Amount Given:		\$	5,000.
Donee's Name:	WANTAGH SCHOOLS MUSIC DEPT.		
Donee's Address:	3301 BELTAGH AVE		
	WANTAGH, NY 11793		
Relationship of Donee:	UNRELATED		
Cash Amount Given:		\$	1,000.
Donee's Name:	WASHINGTON PEACE CTR / GIRLS ROCK DC		
Donee's Address:	1525 NEWTON ST NW		
	WASHINGTON, DC 20010		
Relationship of Donee:	UNRELATED		
Cash Amount Given:		\$	5,000.
Donee's Name:	WESTSIDE ELEMENTARY SCHOOL		
Donee's Address:	19191 EXCELSIOR		
	FIVE POINTS, CA 93624		
Relationship of Donee:	UNRELATED		
Cash Amount Given:		\$	1,000.
Donee's Name:	WILDE LAKE HS BAND		
Donee's Address:	5460 TRUMPETER RD		
	COLUMBIA, MD 21044		
Relationship of Donee:	UNRELATED		
Cash Amount Given:		\$	1,000.

## THE MOCKINGBIRD FOUNDATION

16-1529562

**Statement 2**  
**Form 990-EZ, Part I, Line 16**  
**Other Expenses**

Advertising and Promotion	\$	1,500.
Information Technology		249.
Office Expenses		2,444.
Total	\$	<u>4,193.</u>

**Statement 3**  
**Form 990-EZ, Part III**  
**Organization's Primary Exempt Purpose**

TO PROVIDE SUPPORT IN THE EDUCATION, INVOLVEMENT AND APPRECIATION OF MUSICAL ACTIVITIES FOR CHILDREN THROUGHOUT THE COUNTRY.

SUPPORT IS PROVIDED THROUGH THE AWARDING OF GRANTS THROUGHOUT THE YEAR. THESE GRANTS WERE NOT DISTRIBUTED TO ANY RELATED PARTY DIRECTLY OR INDIRECTLY FOR THE 2009 CALENDAR YEAR.

**Statement 4**  
**Form 990-EZ, Part III, Line 28**  
**Statement of Program Service Accomplishments**

THE MOCKINGBIRD FOUNDATION PROVIDED GRANTS IN SUPPORTING THE EDUCATION, INVOLVEMENT AND APPRECIATION OF MUSICAL ACTIVITIES FOR CHILDREN THROUGHOUT THE COUNTRY.

GRANTS WERE AWARDED TO THIRTY-SIX WORTHY PUBLIC ORGANIZATIONS WHICH THEN WERE ABLE TO PURCHASE MUSICAL INSTRUMENTS, SUPPLIES AND INSTRUCTORS TO THE BENEFIT OF MANY LOCAL CHILDREN ACROSS THE COUNTRY DESIRING TO LEARN AND PARTICIPATE IN MUSICAL ACTIVITIES.

**Statement 5**  
**Form 990-EZ, Part V**  
**Regarding Transfers Associated with Personal Benefit Contracts**

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	No
(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	No

**Application for Extension of Time To File an  
Exempt Organization Return**► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete **only Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete **only Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns*

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

<b>Type or print</b>  File by the due date for filing your return. See instructions	Name of Exempt Organization	Employer identification number
	THE MOCKINGBIRD FOUNDATION	16-1529562
	Number, street, and room or suite number. If a P.O. box, see instructions	
	6948 LUTHER CIRCLE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	MOORPARK, CA 93021	

**Check type of return to be filed** (file a separate application for each return)

- |   |  |                                    |
|---|--|------------------------------------|
| <input type="checkbox"/> Form 990               | <input type="checkbox"/> Form 990-T (corporation)                    | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL            | <input type="checkbox"/> Form 990-T (section 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input checked="" type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above)         | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-PF            | <input type="checkbox"/> Form 1041-A                                 | <input type="checkbox"/> Form 8870 |

- The books are in the care of ► ELLIS GODARD

Telephone No. ► 805 FAX No. ► \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 10, to file the exempt organization return for the organization named above

The extension is for the organization's return for

- ☒ calendar year 20 09 or
- ☐ tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	<b>3a</b> \$	0.
<b>b</b> If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	<b>3b</b> \$	0.
<b>c Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b> \$	0.

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

<b>Part II Additional (Not Automatic) 3-Month Extension of Time.</b> Only file the original (no copies needed).	
<b>Type or print</b>  File by the extended due date for filing the return. See instructions	Name of Exempt Organization <b>THE MOCKINGBIRD FOUNDATION</b>
	Number, street, and room or suite number. If a P.O. box, see instructions <b>HAL COHEN, CPA 122 DE NORMANDIE WAY</b>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions <b>MARTINEZ, CA 94553</b>
	Employer identification number <b>16-1529562</b> <small>For IRS use only</small>

**Check type of return to be filed** (File a separate application for each return):

- |   |  |                                      |                                    |
|---|--|--------------------------------------|------------------------------------|
| <input type="checkbox"/> Form 990               | <input type="checkbox"/> Form 990-PF                                 | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-BL            | <input type="checkbox"/> Form 990-T (section 401(a) or 408(a) trust) | <input type="checkbox"/> Form 4720   | <input type="checkbox"/> Form 8870 |
| <input checked="" type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above)         | <input type="checkbox"/> Form 5227   |                                    |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in care of **ELLIS GODARD**  
Telephone No **805** FAX No \_\_\_\_\_
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until 11/15, 2010
- 5 For calendar year 2009, or other tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_
- 6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension Taxpayer respectfully requests additional time to gather information necessary to file a complete and accurate tax return.

<b>8a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	<b>8a</b> \$
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	<b>8b</b> \$
<b>c Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instrs	<b>8c</b> \$

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature \_\_\_\_\_ Title \_\_\_\_\_ Date \_\_\_\_\_



- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☐ **Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** You must file original and one copy.

Type or print  File by the extended due date for filing the return. See instructions.	Name of Exempt Organization <b>Mockingbird Foundation, Inc.</b>	Employer identification number <b>15 29562</b>
	Number, street, and room or suite no. If a P.O. box, see instructions <b>6948 Luther Circle</b>	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>Moorpark, CA 93021</b>	

**Check type of return to be filed** (File a separate application for each return):

- |  |   |                                      |                                    |
|--|---|--------------------------------------|------------------------------------|
| <input checked="" type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-PF                              | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-BL         | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 4720   | <input type="checkbox"/> Form 8870 |
| <input type="checkbox"/> Form 990-EZ         | <input type="checkbox"/> Form 990-T (trust other than above)      | <input type="checkbox"/> Form 5227   |                                    |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of **J.E. Godard, Treasurer / Executive Director**

Telephone No **( 804 ) 276-0817** FAX No **( 253 ) 276-0812**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4** I request an additional 3-month extension of time until **November 15**, 20**10**.
- 5** For calendar year **09**, or other tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_.
- 6** If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7** State in detail why you need the extension **We have changed accountants from a volunteer on the other side of the country, to a close colleague in the same city as several board members (San Francisco). We have also shifted all of our financial records from an ad hoc assemblage in Excel spreadsheets, to a professional accounting database in Quickbooks. This formalization and professionalization of our accounting took some unanticipated time, but brings clarity and lucidity to the the Foundation's records, and taxes.**

<b>8a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>8a</b>	\$	<b>0</b>
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	<b>8b</b>	\$	<b>0</b>
<b>c Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>8c</b>	\$	<b>0</b>

**Signature and Verification**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature Title **Treasurer / Executive Director**Date **8/10/10**