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**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

**2009**

Department of the Treasury  
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year **2009**, or tax year beginning **2009**, and ending **2009**

G Check all that apply:  Initial return  Initial Return of a former public charity  Final return  
 Amended return  Address change  Name change

Use the IRS label Otherwise, print or type. See Specific Instructions.	SLOCUM-DICKSON FOUNDATION, INC. 1729 BURRSTONE ROAD NEW HARTFORD, NY 13413	A Employer identification number <b>15-6018016</b>	
		B Telephone number (see the instructions)	
		C If exemption application is pending, check here <input type="checkbox"/>	
		D 1 Foreign organizations, check here <input type="checkbox"/>	
		2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
H Check type of organization <input type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input checked="" type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, column (c), line 16) ▶ \$ <b>1,148,379.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)				
1 Contributions, gifts, grants, etc received (att sch)	100.			
2 <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	28,918.	28,918.	28,918.	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	-17,403.			
b Gross sales price for all assets on line 6a <b>274,323.</b>				
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain			0.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
<b>12 Total. Add lines 1 through 11</b>	<b>11,615.</b>	<b>28,918.</b>	<b>28,918.</b>	
13 Compensation of officers, directors, trustees, etc	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch) SEE ST 1	1,527.	1,527.	1,527.	
c Other professional fees (attach sch) SEE ST 2	7,628.	7,628.	7,628.	
17 Interest				
18 Taxes (attach schedule) (see instructions) SEE STM 3	404.	404.	404.	
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) SEE STATEMENT 4	2,565.	2,565.	2,565.	
<b>24 Total operating and administrative expenses. Add lines 13 through 23</b>	<b>12,124.</b>	<b>12,124.</b>	<b>12,124.</b>	
25 Contributions, gifts, grants paid PART XV	62,252.			62,252.
<b>26 Total expenses and disbursements. Add lines 24 and 25</b>	<b>74,376.</b>	<b>12,124.</b>	<b>12,124.</b>	<b>62,252.</b>
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-62,761.			
b Net investment income (if negative, enter -0)		16,794.		
c Adjusted net income (if negative, enter -0)			16,794.	

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ADMINISTRATIVE AND EXPENSES

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7	Other notes and loans receivable (attach sch) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule) STATEMENT 5	99,734.	980,601.	941,773.
	b	Investments – corporate stock (attach schedule)			
	c	Investments – corporate bonds (attach schedule) STATEMENT 6	150,608.	49,904.	50,109.
	11	Investments – land, buildings, and equipment, basis ▶			
	Less: accumulated depreciation (attach schedule) ▶				
12	Investments – mortgage loans				
13	Investments – other (attach schedule) STATEMENT 7	993,235.	150,311.	156,497.	
14	Land, buildings, and equipment basis ▶				
	Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	<b>Total assets</b> (to be completed by all filers – see instructions Also, see page 1, item I)	1,243,577.	1,180,816.	1,148,379.	
LIABILITIES	17	Accounts payable and accrued expenses	1,500.	1,500.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ SEE STATEMENT 8)	1.	1.	
	23	<b>Total liabilities</b> (add lines 17 through 22)	1,501.	1,501.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	1,242,076.	1,179,315.	
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	<b>Total net assets or fund balances</b> (see the instructions)	1,242,076.	1,179,315.		
31	<b>Total liabilities and net assets/fund balances</b> (see the instructions)	1,243,577.	1,180,816.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,242,076.
2	Enter amount from Part I, line 27a	2	-62,761.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,179,315.
5	Decreases not included in line 2 (itemize) ▶	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) – Part II, column (b), line 30	6	1,179,315.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 9			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	$\left[ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter -0- in Part I, line 7} \end{array} \right]$	2	-17,403.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	$\left[ \begin{array}{l} \text{If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0-} \\ \text{in Part I, line 8} \end{array} \right]$	3	-17,403.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	78,318.	1,181,824.	0.066269
2007	99,229.	1,387,757.	0.071503
2006	93,600.	1,362,568.	0.068694
2005	83,855.	1,311,220.	0.063952
2004	72,637.	1,334,138.	0.054445

2 Total of line 1, column (d)	2	0.324863
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.064973
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,055,924.
5 Multiply line 4 by line 3	5	68,607.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	168.
7 Add lines 5 and 6	7	68,775.
8 Enter qualifying distributions from Part XII, line 4	8	62,252.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	336.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	336.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	336.
6 Credits/Payments:			
a 2009 estimated tax prmts and 2008 overpayment credited to 2009	6a		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		336.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation $\$$ 0. (2) On foundation managers $\$$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers $\$$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities Continued**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <span style="float:right">▶ N/A</span>	13	X	
14	The books are in care of ▶ <u>RINEHARD FITZGERALD &amp; DEPIETRO</u> Telephone no ▶ <u>(315) 724-2145</u> Located at ▶ <u>291 GENESEE ST., UTICA NY</u> ZIP + 4 ▶ <u>13501</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <span style="float:right">▶ 15</span>		N/A	▶ <input type="checkbox"/> N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here <span style="float:right">▶ <input type="checkbox"/></span>	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years ▶ 20__ , 20__ , 20__ , 20__		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20__ , 20__ , 20__ , 20__		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

BAA

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A  Yes  No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes  No

6b X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

7b N/A

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE'.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
-----		
-----		
-----		
-----		
-----		
<b>Total number of others receiving over \$50,000 for professional services</b>		<b>0</b>

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
-----	
2	
-----	
3	
-----	
4	
-----	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount	
1 N/A		
-----		
2		
-----		
All other program-related investments See instructions		
3		
-----		
<b>Total. Add lines 1 through 3</b>		<b>0.</b>

BAA

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,072,004.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,072,004.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,072,004.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	16,080.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,055,924.
6	Minimum investment return. Enter 5% of line 5	6	52,796.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	52,796.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	336.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	336.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	52,460.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	52,460.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	52,460.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	62,252.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	62,252.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	62,252.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7.				52,460.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			0.	
b Total for prior years: 20___, 20___, 20___		0.		
3 Excess distributions carryover, if any, to 2009.				
a From 2004				
b From 2005				
c From 2006	24,192.			
d From 2007	32,813.			
e From 2008	19,835.			
f Total of lines 3a through e	76,840.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 62,252.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2009 distributable amount				52,460.
e Remaining amount distributed out of corpus	9,792.			
5 Excess distributions carryover applied to 2009 (if an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	86,632.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	86,632.			
10 Analysis of line 9.				
a Excess from 2005				
b Excess from 2006	24,192.			
c Excess from 2007	32,813.			
d Excess from 2008	19,835.			
e Excess from 2009	9,792.			

**Part XIV. Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling. ▶

b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test — enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV. Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) ;

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 11

b The form in which applications should be submitted and information and materials they should include.

SEE STATEMENT FOR LINE 2A

c Any submission deadlines

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT FOR LINE 2A

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 12				
<b>Total</b>			▶ 3a	62,252.
b Approved for future payment				
<b>Total</b>			▶ 3b	





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SLOCUM-DICKSON FOUNDATION, INC.

15-6018016

4/26/10

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STATEMENT 1  
FORM 990-PF, PART I, LINE 16B  
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 1,527.	\$ 1,527.	\$ 1,527.	
TOTAL	<u>\$ 1,527.</u>	<u>\$ 1,527.</u>	<u>\$ 1,527.</u>	<u>\$ 0.</u>

STATEMENT 2  
FORM 990-PF, PART I, LINE 16C  
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	\$ 7,628.	\$ 7,628.	\$ 7,628.	
TOTAL	<u>\$ 7,628.</u>	<u>\$ 7,628.</u>	<u>\$ 7,628.</u>	<u>\$ 0.</u>

STATEMENT 3  
FORM 990-PF, PART I, LINE 18  
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES	\$ 404.	\$ 404.	\$ 404.	
TOTAL	<u>\$ 404.</u>	<u>\$ 404.</u>	<u>\$ 404.</u>	<u>\$ 0.</u>

STATEMENT 4  
FORM 990-PF, PART I, LINE 23  
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	\$ 1,404.	\$ 1,404.	\$ 1,404.	
MISCELLANEOUS	1,161.	1,161.	1,161.	
TOTAL	<u>\$ 2,565.</u>	<u>\$ 2,565.</u>	<u>\$ 2,565.</u>	<u>\$ 0.</u>

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SLOCUM-DICKSON FOUNDATION, INC.

15-6018016

4/26/10

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STATEMENT 5  
FORM 990-PF, PART II, LINE 10A  
INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

<u>U.S. GOVERNMENT OBLIGATIONS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
SEE ATTACHED SCHEDULE 1	COST	\$ 980,601.	\$ 941,773.
		\$ 980,601.	\$ 941,773.
	TOTAL	<u>\$ 980,601.</u>	<u>\$ 941,773.</u>

STATEMENT 6  
FORM 990-PF, PART II, LINE 10C  
INVESTMENTS - CORPORATE BONDS

<u>CORPORATE BONDS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
SEE ATTACHED SCHEDULE 1	COST	\$ 49,904.	\$ 50,109.
	TOTAL	<u>\$ 49,904.</u>	<u>\$ 50,109.</u>

STATEMENT 7  
FORM 990-PF, PART II, LINE 13  
INVESTMENTS - OTHER

<u>OTHER SECURITIES</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
SEE ATTACHED SCHEDULE 1	COST	\$ 150,311.	\$ 156,497.
	TOTAL	<u>\$ 150,311.</u>	<u>\$ 156,497.</u>

STATEMENT 8  
FORM 990-PF, PART II, LINE 22  
OTHER LIABILITIES

ROUNDING

	1.
TOTAL	<u>\$ 1.</u>

**Cash Not Yet Received (continued)**

Security	Record Date	Payable Date	Quantity Held	Rate	Amount of Payment	Dividend Option
Interest (continued)						
GENERAL ELEC CAP CORP MEDIUM TERM NTS MED TERM NT	12/24/09	01/08/10	25,000,000	0.025000	625.00	Cash
<b>Total Cash Not Yet Received</b>					<b>\$2,031.25</b>	

The above information has been obtained from sources we believe to be reliable. All items are subject to receipt. We make no representation as to accuracy or completeness. Cash Not Yet Received is not reflected as an asset in your account until it is actually received.

**Portfolio Holdings**

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
<b>Cash, Money Funds, and FDIC Deposits 2.00% of Portfolio</b>									
Money Market									
SUNAMERICA MONEY MARKET	12/01/09	0000208196	12/31/09	29,153.24	25,923.64	0.00	71.54	0.01%	0.01%
<b>Total Money Market</b>				<b>\$29,153.24</b>	<b>\$25,923.64</b>	<b>\$0.00</b>	<b>\$71.54</b>		
<b>Total Cash, Money Funds, and FDIC Deposits</b>				<b>\$29,153.24</b>	<b>\$25,923.64</b>	<b>\$0.00</b>	<b>\$71.54</b>		

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
<b>Fixed Income 18.00% of Portfolio (in CUSIP Sequence)</b>									
U.S. Government Bonds									
FEDERAL NATL MTG ASSN									
3.000% 05/12/14 B/E DTD 05/12/09									
CALLABLE 05/12/10 @ 100.000									
1ST CPN DTE 11/12/09 CPN PMT SEMI ANNUAL									
ON MAY 12 AND NOV 12									
Moody Rating AAA S & P Rating AAA									
Security Identifier: 31398AXF4									
50,000.000	12/07/09	99 8070	49,903.52	100.2190	50,109.50	205.98	204.17	1,500.00	2.99%
Original Cost Basis: 49,903.50									
<b>Total U.S. Government Bonds</b>			<b>\$49,903.52</b>		<b>\$50,109.50</b>	<b>\$205.98</b>	<b>\$204.17</b>	<b>\$1,500.00</b>	
50,000.000									
Corporate Bonds									
3-CONSOLIDATED EDISON CO NY INC DEB SER									
2002 A 5.625% 07/01/12 B/E									
DTD 06/24/02 1ST CPN DTE 01/01/03									
CPN PMT SEMI ANNUAL ON JAN 01 AND JUL 01									
Moody Rating A3 S & P Rating A-									
Security Identifier: 209111DZ3									

Schedule 1 - page 1



Royal Alliance

Royal Alliance Associates, Inc  
One World Financial Center, 15th Floor  
New York, New York 10281  
800-821-5100

# Brokerage

## Account Statement

Schedule 1 - page 2

Statement Period: 12/01/2009 - 12/31/2009

### Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
<b>Fixed Income (continued)</b>									
<b>Corporate Bonds (continued)</b>									
CONSOLIDATED EDISON CO NY INC DEB SER (continued)									
50,000.000	07/08/08	101.0180	50,333.00	108.0270	54,013.50	3,680.50	1,406.25	2,812.50	5.20%
Original Cost Basis: 50,509.00									
1-GENERAL ELEC CAP CORP MEDIUM TERM NTS									
MED TERM NTS- R 7.375% 01/19/10 B/E									
DTD 01/19/00 1ST CPN DTE 07/19/00									
CPN PMT SEMI ANNUAL ON JAN 19 AND JUL 19									
Moody Rating AA2 S & P Rating AA+									
Security Identifier: 36962GUL6									
25,000.000	07/08/08	102.5700	25,020.00	100.2430	25,060.75	40.75	829.69	1,843.75	7.35%
Original Cost Basis: 25,642.50									
GENERAL ELEC CAP CORP MEDIUM TERM NTS									
MED TERM NT 5.000% 01/08/16 B/E									
DTD 01/09/06 1ST CPN DTE 07/08/06									
CPN PMT SEMI ANNUAL ON JAN 08 AND JUL 08									
Moody Rating AA2 S & P Rating AA+									
Security Identifier: 36962GU69									
25,000.000	08/10/09	100.3040	25,073.25	101.1410	25,285.25	212.00	600.69	1,250.00	4.94%
Original Cost Basis: 25,076.09									
VERIZON COMMUNICATIONS INC									
NT 5.350% 02/15/11 B/E									
DTD 02/15/06 CALLABLE									
1ST CPN DTE 08/15/06 CPN PMT SEMI ANNUAL									
ON FEB 15 AND AUG 15									
Moody Rating A3 S & P Rating A									
Security Identifier: 92343VAB0									
50,000.000	07/08/08	99.4960	49,884.50	104.2740	52,137.00	2,252.50	1,010.56	2,675.00	5.13%
Original Cost Basis: 49,748.00									
<b>Total Corporate Bonds</b>									
			<b>\$150,310.75</b>	<b>\$156,496.50</b>		<b>\$6,185.75</b>	<b>\$3,847.19</b>	<b>\$8,581.25</b>	
<b>Total Fixed Income</b>									
			<b>\$200,214.27</b>	<b>\$206,606.00</b>		<b>\$6,391.73</b>	<b>\$4,051.36</b>	<b>\$10,081.25</b>	
			<b>200,000.000</b>						

Portfolio Holdings (continued)

Schedule 1-page 3

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
<b>Mutual Funds 80.00% of Portfolio</b>								
<b>Mutual Funds</b>								
<b>COLUMBIA ACORN FUND CLASS Z</b>								
	Open End Fund							
	<i>Security Identifier</i> ACRNX							
	Dividend Option Reinvest, Capital Gains Option: Reinvest							
3,522.499	Average	22.8410	80,457.16	24.6800	86,935.28	6,478.12	173.65	0.19%
<b>DODGE &amp; COX STOCK FUND</b>								
	Open End Fund							
	<i>Security Identifier</i> DODGX							
	Dividend Option: Reinvest, Capital Gains Option: Reinvest							
660.306	Average	115.5600	76,305.13	96.1400	63,481.82	-12,823.31	789.06	1.24%
<b>EUROPACIFIC GROWTH FUND CLASS F-1</b>								
	Open End Fund							
	<i>Security Identifier</i> AEGFX							
	Dividend Option: Reinvest, Capital Gains Option: Reinvest							
1,940.550	Average	34.1180	66,207.80	38.1500	74,031.98	7,824.18	1,227.78	1.65%
<b>FUNDAMENTAL INVESTORS CLASS F-1</b>								
	Open End Fund							
	<i>Security Identifier</i> AFIFX							
	Dividend Option: Reinvest, Capital Gains Option: Reinvest							
3,224.394	Average	36.8320	118,761.07	32.7200	105,502.17	-13,258.90	1,566.73	1.48%
<b>THE GROWTH FUND OF AMERICA CLASS F-1</b>								
	Open End Fund							
	<i>Security Identifier</i> GFAFX							
	Dividend Option Reinvest, Capital Gains Option: Reinvest							
5,067.399	Average	30.0570	152,312.91	27.1500	137,579.88	-14,733.03	1,125.97	0.81%
<b>HARBOR INTERNATIONAL FUND</b>								
	Open End Fund							
	<i>Security Identifier</i> HAINX							
	Dividend Option Reinvest, Capital Gains Option: Reinvest							
1,710.059	Average	59.7960	102,254.59	54.8700	93,830.94	-8,423.65	1,205.31	1.28%
<b>THE INVESTMENT COMPANY OF AMERICA</b>								
	Open End Fund							
	<i>Security Identifier</i> AICFX							
	Dividend Option Reinvest, Capital Gains Option: Reinvest							
2,681.729	Average	28.8510	77,370.46	25.9200	69,510.42	-7,860.04	1,496.67	2.15%

# Brokerage Account Statement

Schedule 1 - page 4

Statement Period: 12/01/2009 - 12/31/2009

## Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
<b>Mutual Funds (continued)</b>								
<b>Mutual Funds (continued)</b>								
<b>OPPENHEIMER INTL BOND CLASS A</b>								
	Open End Fund							
	Security Identifier: OIBAX							
	Dividend Option Reinwest: Capital Gains Option: Reinwest Average	6.4280	42,357.56	6.4000	42,170.41	-187.15	1,521.62	3.60%
<b>PIMCO TOTAL RETURN CLASS A</b>								
	Open End Fund							
	Security Identifier: PTTAX							
	Dividend Option Reinwest: Capital Gains Option: Reinwest Average	10.6160	100,036.49	10.8000	101,770.10	1,733.61	5,296.86	5.20%
<b>VANGUARD INFLATION PROTECTED SECURITIES FUND</b>								
	Open End Fund							
	Security Identifier: VIPSX							
	Dividend Option: Reinwest: Capital Gains Option: Reinwest Average	12.5310	51,756.75	12.5500	51,835.43	78.68	883.88	1.70%
<b>VANGUARD MID-CAP STOCK INDEX FUND</b>								
	Open End Fund							
	Security Identifier: VIMSX							
	Dividend Option Reinwest: Capital Gains Option: Reinwest Average	15.9300	86,857.27	16.3600	89,201.00	2,343.73	992.33	1.11%
<b>Total Mutual Funds</b>			<b>\$954,677.19</b>		<b>\$915,849.43</b>	<b>-\$38,827.76</b>	<b>\$16,279.86</b>	
<b>Total Mutual Funds</b>			<b>\$954,677.19</b>		<b>\$915,849.43</b>	<b>-\$38,827.76</b>	<b>\$16,279.86</b>	
	(Money Market)	25,923.64	25,923.64		25,923.64			
		980,600.83	980,600.83		941,773.07			
<b>Total Portfolio Holdings</b>			<b>\$1,180,815.10</b>		<b>\$1,148,379.07</b>	<b>-\$32,436.03</b>	<b>\$4,051.36</b>	<b>\$26,432.65</b>

## Total Portfolio Holdings

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. The method of calculation is based upon the type of fixed income security and certain attributes, obtained from sources believed to be reliable. In the event, one or more of these attributes is changed, there may be a temporary incorrect adjusted cost basis reflected until the portfolio system is amended to reflect this change. These calculations will not be performed under certain circumstances, including those involving continuously callable bonds, foreign bonds, variable rates, bonds in default, index-linked bonds, bonds sold

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SLOCUM-DICKSON FOUNDATION, INC.

15-6018016

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STATEMENT 9  
FORM 990-PF, PART IV, LINE 1  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	50000 FEDERAL HOME LAON BANKS	PURCHASED	8/18/2008	2/13/2009
2	2149.275 ARTIO INTL EQUITY FUND II	PURCHASED	VARIOUS	7/30/2009
3	50000 FEDERAL HOME LOAN MTG	PURCHASED	11/09/2009	12/04/2009
4	3773.585 ARTIO INTL EQUITY FUND II	PURCHASED	VARIOUS	7/30/2009
5	25000 WALMART STORES NOTES	PURCHASED	7/08/2008	8/10/2009
6	50000 FEDERAL NATL MTG	PURCHASED	7/22/2008	9/15/2009
7	936.622 FUNDAMETAL IMVESTORS CLASS F-1	PURCHASED	VARIOUS	11/09/2009
8	PROCEEDS FROM CLASS ACTION LITIGATION	PURCHASED	VARIOUS	VARIOUS
9	CAPITAL GAIN DISTRIBUTIONS	PURCHASED	VARIOUS	VARIOUS

  

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	50,000.		50,819.	-819.				\$ -819.
2	23,587.		29,536.	-5,949.				-5,949.
3	50,000.		50,000.	0.				0.
4	41,413.		51,858.	-10,445.				-10,445.
5	25,000.		25,000.	0.				0.
6	50,000.		50,000.	0.				0.
7	30,000.		34,513.	-4,513.				-4,513.
8	2,984.		0.	2,984.				2,984.
9	1,339.		0.	1,339.				1,339.
							TOTAL	\$ -17,403.

STATEMENT 10  
FORM 990-PF, PART VIII, LINE 1  
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
JOAN MURPHY 168 LITCHFIELD ST FRANKFORT, NY 13340	PRESIDENT 15 hrs annually	\$ 0.	\$ 0.	\$ 0.
REVEREND PAUL DROBIN PO BOX 8245 UTICA, NY 13505	VICE PRESIDENT 15 hrs annually	0.	0.	0.
ANTHONY SPIRIDIGIOZZI 310 GENESEE ST UTICA, NY 13501	TREASURER 5 hrs annually	0.	0.	0.
JAMES O'MALLEY, MD 1729 BURRSTONE ROAD NEW HARTFORD, NY 13413	DIRECTOR 5 hrs annually	0.	0.	0.

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SLOCUM-DICKSON FOUNDATION, INC.

15-6018016

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STATEMENT 10 (CONTINUED)  
FORM 990-PF, PART VIII, LINE 1  
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
MICHAEL STEWART 185 GENESEE ST SUITE 210 UTICA, NY 13501	DIRECTOR 5 hrs annually	\$ 0.	\$ 0.	\$ 0.
MARY MALONE MCCARTHY 258 GENESEE ST UTICA, NY 13502	DIRECTOR 5 hrs annually	0.	0.	0.
JONAS KELLY 1729 BURRSTONE RD NEW HARTFORD, NY 13413	DIRECTOR 5 hrs annually	0.	0.	0.
RICHARD COMPSON 2621 GENESEE ST UTICA, NY 13501	DIRECTOR 5 hrs annually	0.	0.	0.
GAIL JOHNSON, MD 1729 BURRSTONE RD NEW HARTFORD, NY 13413	DIRECTOR 5 hrs annually	0.	0.	0.
KERRY ROGERS 1729 BURRSTONE RD NEW HARTFORD, NY 13413	DIRECTOR 5 hrs annually	0.	0.	0.
NAEEM SAMAD 1729 BURRSTONE ROAD NEW HARTFORD, NY 13413	DIRECTOR 5 hrs annually	0.	0.	0.
JAMES CESARE, MD 32 MORGAN LANE NEW HARTFORD, NY 13413	DIRECTOR 5 hrs annually	0.	0.	0.
ELIZABETH DUGAN 2634 EDGEWOOD RD UITICA, NY 13501	DIRECTOR 5 hrs annually	0.	0.	0.
STEPHEN EADLINE, MD 1729 BURRSTONE RD NEW HARTFORD, NY 13413	DIRECTOR 5 hrs annually	0.	0.	0.
TOTAL		\$ 0.	\$ 0.	\$ 0.

STATEMENT 11  
FORM 990-PF, PART XV, LINE 2A-D  
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM: SLOCUM DICKSON FOUNDATION, INC  
NAME:  
CARE OF:  
STREET ADDRESS: 1729 BURRSTONE ROAD

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SLOCUM-DICKSON FOUNDATION, INC.

15-6018016

4/30/10

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**STATEMENT 11 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 2A-D**  
**APPLICATION SUBMISSION INFORMATION**

CITY, STATE, ZIP CODE: NEW HARTFORD, NY 13413  
 TELEPHONE:  
 FORM AND CONTENT: DETAILED LETTER REQUEST WITH SUPPORTING DOCUMENTS  
 SUBMISSION DEADLINES: MARCH 1 AND SEPTEMBER 1 OF EACH YEAR  
 RESTRICTIONS ON AWARDS: CENTRAL NEW YORK, MEDICAL EDUCATION RELATED

**STATEMENT 12**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
UTICA COLLEGE BURRSTONE ROAD UTICA, NY 13502	NA	501C3	GENERAL FUND	\$ 12,607.
VALLEY HEALTH SERVICES, INC 690 W. GERMAN STREET HERKIMER, NY 13350	NA	501C3	GENERAL FUND	5,811.
MASONIC MEDICAL RESEARCH LAB 2150 BLEEKER STREET UTICA, NY 13501	NA	501C3	GENERAL FUND	4,800.
PLANNED PARENTHOOD GENESEE STREET UTICA, NY 13501	NA	501C3	GENERAL FUND	3,699.
CENTRAL ASSOCIATION FOR BLIND 507 KENT STREET UTICA, NY 13502	NA	501C3	GENERAL FUND	2,079.
HERKIMER COUNTY COMM COLL 100 RESERVOIR ROAD HERKIMER, NY 13350	NA	501C3	GENERAL FUND	2,000.
HOSPICE 4277 MIDDLE SETTLEMENT RD NEW HARTFORD, NY 13413	NA	501C3	GENERAL FUND	3,800.
FAXTON-ST LUKES HEALTHCARE 2215 GENESEE STREET UTICA, NY 13501	NA	501C3	GENERAL FUND	6,000.
ST ELIZABETH SCHOOL OF NURSING 2215 GENESEE STREET UTICA, NY 13501	NA	501C3	GENERAL FUND	6,000.

CLIENT SLOCUM

SLOCUM-DICKSON FOUNDATION, INC.

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STATEMENT 12 (CONTINUED)  
 FORM 990-PF, PART XV, LINE 3A  
 RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
HERKIMER COUNTY HEALTHNET 320 N. MAIN STREET SUITE: 3300 HERKIMER, NY 13350	NA	501C3	GENERAL FUND	\$ 2,956.
ST ELIZABETH SCHOOL OF RADIOLOGY 2215 GENESEE STREET UTICA, NY 13501	NA	501C3	GENERAL FUND	5,000.
UTICA PUBLIC LIBRARY 303 GENESEE STREET UTICA, NY 13501	NA	501C3	GENERAL FUND	3,000.
BOY SCOUTS OF AMERICA 1401 GENESEE ST UTICA, NY 13501	NA	501C3	GENERAL FUND	4,500.
TOTAL \$				<u>62,252.</u>