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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545 0052

2009

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeUse the
IRS label.
Otherwise,
print
or type.
See Specific
Instructions.DR. EDWIN A. ULRICH CHARITABLE TRUST
C/O PANGIA & CO., 2 JEFFERSON PLAZA #101
POUGHKEEPSIE, NY 12601

A Employer identification number

14-6161493

B Telephone number (see the instructions)

845-229-8562

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)

\$ 1,049,828.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE

1 Contributions, gifts, grants, etc., received (att sch)

2 Ck ☒ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 204,149.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch) SEE ST 1

c Other prof fees (attach sch)

17 Interest

18 Taxes (attach schedule) (see instr)

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid STMT 4

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

RECEIVED

SEE STMT 2

MAR 22 2010

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SEE STATEMENT 3

SCANNED MAR 29 2010

ADMINISTRATIVE EXPENSES

P 9

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing				
	2	Savings and temporary cash investments		56,508.	29,113.	29,113.
	3	Accounts receivable				
		Less allowance for doubtful accounts				
	4	Pledges receivable				
		Less allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule)				
	b	Investments — corporate stock (attach schedule) STATEMENT 5		537,132.	582,046.	619,868.
	c	Investments — corporate bonds (attach schedule) STATEMENT 6		516,157.	407,107.	400,847.
	11	Investments — land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule)					
12	Investments — mortgage loans					
13	Investments — other (attach schedule)					
14	Land, buildings, and equipment basis					
	Less accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)		1,109,797.	1,018,266.	1,049,828.	
LIABILITIES	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
NET FUND ASSETS OR BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>					
	24	Unrestricted		1,109,797.	1,018,266.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, building, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see the instructions)		1,109,797.	1,018,266.	
	31	Total liabilities and net assets/fund balances (see the instructions)		1,109,797.	1,018,266.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,109,797.
2	Enter amount from Part I, line 27a	2	-93,282.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 7	3	1,751.
4	Add lines 1, 2, and 3	4	1,018,266.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	1,018,266.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a	SEE ATTACHMENT 1	P	VARIOUS	VARIOUS
b	SEE ATTACHMENT 1	P	VARIOUS	VARIOUS
c	SEE ATTACHMENT 2	P	VARIOUS	VARIOUS
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 31,354.		63,341.	-31,987.
b 47,065.		90,445.	-43,380.
c 125,730.		128,885.	-3,155.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			-31,987.
b			-43,380.
c			-3,155.
d			
e			

2 Capital gain net income or (net capital loss)	<div>⌈ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ⌋</div>	2	-78,522.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			
If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8	<div>⌋</div>	3	-31,987.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	73,803.	1,161,652.	0.063533
2007	75,367.	1,344,761.	0.056045
2006	72,823.	1,320,030.	0.055168
2005	71,839.	1,325,877.	0.054182
2004	76,258.	1,345,758.	0.056665

2 Total of line 1, column (d)	2	0.285593
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.057119
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	964,898.
5 Multiply line 4 by line 3	5	55,114.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	420.
7 Add lines 5 and 6	7	55,534.
8 Enter qualifying distributions from Part XII, line 4	8	56,772.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Form 990-PF (2009)

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instr.)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	420.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	420.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	420.
6 Credits/Payments			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	1,044.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	1,044.	
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	624.	
11 Enter the amount of line 10 to be. Credited to 2010 estimated tax 624. Refunded	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) N/A		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>HTTP://BARTLETT.OAG.STATE.NY.US/CHAR FORMS/</u>	13	X	
14	The books are in care of <u>PANGIA & CO., CPA'S, LLC</u> Telephone no <u>845-454-4610</u> Located at <u>2 JEFFERSON PLAZA, POUGHKEEPSIE, N.Y.</u> ZIP + 4 <u>12601</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>		N/A	N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN R. CONKLIN PO BOX 174 RHINECLIFF, NY 12574	TRUSTEE 1.00	2,168.	0.	0.
DIETER H. RENNHACK 55 CAROL DRIVE, PO BOX 89 HOPEWELL JUNCTION, NY 12533	TRUSTEE 1.00	2,168.	0.	0.
JOHN REGAN 9 PARK AVENUE WAPPINGERS FALLS, NY 12590	TRUSTEE 1.00	2,168.	0.	0.
JEFFREY P. ARMSTRONG PO BOX 14 HYDE PARK, NY 12538	TRUSTEE 1.00	2,168.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE'.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	931,327.
b	Average of monthly cash balances	1 b	48,265.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	979,592.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	979,592.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	14,694.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	964,898.
6	Minimum investment return. Enter 5% of line 5	6	48,245.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	48,245.
2a	Tax on investment income for 2009 from Part VI, line 5	2 a	420.
b	Income tax for 2009 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	420.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	47,825.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	47,825.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	47,825.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	56,772.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	56,772.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	420.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	56,352.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				47,825.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			0.	
b Total for prior years. 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008	3,650.			
f Total of lines 3a through e	3,650.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 56,772.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount				47,825.
e Remaining amount distributed out of corpus	8,947.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	12,597.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	12,597.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008	3,650.			
e Excess from 2009	8,947.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b** 85% of line 2a

- c Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon.

- a 'Assets' alternative test – enter.**

- (1) Value of all assets**

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c 'Support' alternative test – enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income**

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include.

- c Any submission deadlines:**

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Total				3a
b Approved for future payment				
Total				3b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	34,227.	
4 Dividends and interest from securities			14	16,719.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					-78,522.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				50,946.	-78,522.
13 Total. Add line 12, columns (b), (d), and (e)				13	-27,576.

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

2009

FEDERAL STATEMENTS

PAGE 1

DR. EDWIN A. ULRICH CHARITABLE TRUST

14-6161493

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 9,150.	\$ 3,050.		\$ 6,100.
TOTAL	\$ 9,150.	\$ 3,050.	\$ 0.	\$ 6,100.

STATEMENT 2
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE TAXES	\$ 100.	\$ 100.		
TOTAL	\$ 100.	\$ 100.	\$ 0.	\$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE FEES	\$ 5,784.	\$ 5,784.		
TOTAL	\$ 5,784.	\$ 5,784.	\$ 0.	\$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS
CASH GRANTS AND ALLOCATIONS

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

MARIST COLLEGE

NORTH ROAD

POUGHKEEPSIE, NY 12601

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

EDUCATIONAL

AMOUNT GIVEN:

\$ 30,000.

CLASS OF ACTIVITY:

DONEE'S NAME:

DONEE'S ADDRESS:

HOSPICE OF DUTCHESS CTY

70 S. HAMILTON STREET

POUGHKEEPSIE, NY 12601

RELATIONSHIP OF DONEE:

NONE

ORGANIZATIONAL STATUS OF DONEE:

CHARITABLE

AMOUNT GIVEN:

250.

CLASS OF ACTIVITY:

DR. EDWIN A. ULRICH CHARITABLE TRUST

14-6161493

STATEMENT 4 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

DONEE'S NAME:	THE CHILDREN'S HOME		
DONEE'S ADDRESS:	91 FULTON STREET		
	POUGHKEEPSIE, NY 12601		
RELATIONSHIP OF DONEE:	NONE		
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE		
AMOUNT GIVEN:		\$	750.
CLASS OF ACTIVITY:			
DONEE'S NAME:	HYDE PARK ROTARY		
DONEE'S ADDRESS:	C/O KAMPF, 8 PUTNAM RD		
	HYDE PARK, NY 12538		
RELATIONSHIP OF DONEE:	NONE		
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE		
AMOUNT GIVEN:			250.
CLASS OF ACTIVITY:			
DONEE'S NAME:	ST JAMES CHURCH		
DONEE'S ADDRESS:	4526 ALBANY POST ROAD		
	HYDE PARK, NY 12538		
RELATIONSHIP OF DONEE:	NONE		
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE		
AMOUNT GIVEN:			250.
CLASS OF ACTIVITY:			
DONEE'S NAME:	DUTCHESS COUNTY MEALS ON WHEEL		
DONEE'S ADDRESS:	10 CANNON STREET		
	POUGHKEEPSIE, NY 12601		
RELATIONSHIP OF DONEE:	NONE		
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE		
AMOUNT GIVEN:			500.
CLASS OF ACTIVITY:			
DONEE'S NAME:	THE BARDAVON		
DONEE'S ADDRESS:	35 MARKET STREET		
	POUGHKEEPSIE, NY 12601		
RELATIONSHIP OF DONEE:	NONE		
ORGANIZATIONAL STATUS OF DONEE:	CHARITABLE		
AMOUNT GIVEN:			10,000.
TOTAL			\$ 42,000.

STATEMENT 5
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

<u>CORPORATE STOCKS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
SEE ATTACHED INVESTMENT SUMMARY	COST	\$ 582,046.	\$ 619,868.
	TOTAL	\$ 582,046.	\$ 619,868.

2009

FEDERAL STATEMENTS

PAGE 3

DR. EDWIN A. ULRICH CHARITABLE TRUST

14-6161493

STATEMENT 6
FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS

<u>CORPORATE BONDS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
SEE ATTACHED INVESTMENT SUMMARY	COST	\$ 407,107.	\$ 400,847.
	TOTAL	<u>\$ 407,107.</u>	<u>\$ 400,847.</u>

STATEMENT 7
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

TIMING DEFERENCES BETWEEN 1099 AND ACCOUNT STATEMENTS	\$ 1,751.
TOTAL	<u>\$ 1,751.</u>

Combined Inventory and Market Value of Assets
Dr Edwin A. Ulrich Charitable Trust

As of: 12/31/2009

<u>Description</u>		<u>Inventory Value</u>	<u>Market Value</u>
Bank Accounts			
	Wells Fargo 6610-4478	3,424.42	3,424.42
	Wells Fargo 7003-5345	25,688.56	25,688.56
	Sub Total:	\$ 29,112.98	\$ 29,112.98
Stocks and Funds			
	<u>No. of Shares</u>		
	500.0000 3M	36,617.60	41,335.00
	1,000.0000 Aberdeen Asia Pacific	5,390.00	6,216.50
	500.0000 Aflac Inc.	21,775.39	23,125.00
	100.0000 Bank of America Corp	4,914.45	1,506.00
	5.0000 Berkshire Hathaway Inc.	14,068.32	16,430.00
	1,000.0000 Bristol-Myers Squibb	22,280.00	25,250.00
	200.0000 CH Energy Group	9,333.60	8,504.00
	5.0000 Cent Hudson Gas and Electric 4.5% Preferred	350.00	340.00
	250.0000 Clough Global Equity	5,792.82	3,547.50
	200.0000 Consolidated Edison Inc.	9,755.62	9,086.00
	600.0000 DNP Select Income Fund	6,588.00	5,370.00
	600.0000 Deere & Company	24,560.16	32,454.00
	200.0000 Deutsche Bank Cap. 6.625%	5,000.00	4,198.00
	250.0000 Deutsche Bank 7.6% Non-Cum. Preferred	6,250.00	5,932.50
	600.0000 Eaton Vance Tax Adv.	12,132.00	8,238.00

Combined Inventory and Market Value of Assets

Combined Inventory and Market Value of Assets
Dr Edwin A. Ulrich Charitable Trust

As of: 12/31/2009

Description		Inventory Value	Market Value
400.0000	Eaton Vance Tax Mgd.	8,000.00	4,932.00
500.0000	Energy Transfer Partners	21,785.00	22,485.00
300.0000	Exxon Mobil	5,259.37	20,457.00
600.0000	FPL Group Inc.	19,161.00	31,692.00
400.0000	FPL Group PST 8.75%	10,876.08	11,516.00
400.0000	FPL Preferred 7.45%	10,000.00	10,776.00
200.0000	Fluor Corp. New	10,138.00	9,008.00
1,121.5550	Franklin Custodian	2,378.15	2,321.61
150.0000	General Electric	4,034.00	2,269.50
400.0000	Goldman Sachs Pfd	10,095.57	10,096.00
200.0000	Healthcare Realty Trust	6,550.00	4,292.00
500.0000	IBM International Business Machines	59,850.00	65,450.00
75.0000	Integrus Energy Group Inc.	2,577.00	3,149.25
216.0000	Ishares MSCI Netherlands	6,678.64	4,419.44
300.0000	JP Morgan Cap XVI	5,517.86	7,269.00
400.0000	Kraft Foods Inc.	11,146.01	10,872.00
100.0000	M&T Bank Corp.	8,678.25	6,689.00
200.0000	Middlesex Water Company	3,692.00	3,524.00
400.0000	New York Community	8,156.00	5,804.00
500.0000	Nike Inc.	29,148.20	33,035.00

Combined Inventory and Market Value of Assets

Combined Inventory and Market Value of Assets
Dr Edwin A. Ulrich Charitable Trust

As of: 12/31/2009

<u>Description</u>		<u>Inventory Value</u>	<u>Market Value</u>
300.0000	Nucor Corp.	12,570.00	13,995.00
500.0000	Nuveen Equit Premium	5,574.06	6,545.00
1,000.0000	Pfizer, Inc.	19,450.00	18,190.00
500.0000	Protective Life Pfd.	10,558.41	10,880.00
300.0000	Rayonier Inc.	9,620.00	12,648.00
2,736.4740	TCW FDS Inc.	25,996.65	27,118.45
1,000.0000	USB Capital 6.5% Pfd	23,670.00	23,869.90
100.0000	Verizon	2,575.13	3,313.00
500.0000	Wachovia Capital 7.85% Preferred	12,500.00	12,685.00
29.0000	Wells Fargo & Co.	8,253.18	782.71
500.0000	Wells Fargo & Co. 8% PFD	7,750.00	12,850.00
600.0000	Wells Fargo Capital VII	15,000.00	15,402.00
Sub Total:		\$ 582,046.52	\$ 619,868.36

Corporate Bonds

Face Amount

\$12,500.00	AT&T Senior Notes 6.375%	12,500.00	13,345.00
\$10,000.00	Alabama Power A Southern	10,000.00	10,300.00
\$5,000.00	BAC Capital Trust XII 6.875%	5,000.00	4,378.00
\$25,000.00	CNA Financial 7.25% Notes Due 11/15/2023	25,690.50	23,284.25
\$15,000.00	Coca Cola 8% Bonds Due 9/15/2022	17,553.00	18,582.45

Combined Inventory and Market Value of Assets

Combined Inventory and Market Value of Assets
Dr Edwin A. Ulrich Charitable Trust

As of: 12/31/2009

<u>Description</u>		<u>Inventory Value</u>	<u>Market Value</u>
\$10,000.00	Comcast Senior Notes 7%	10,323.99	10,090.80
\$15,000.00	Credit Suisse 6.125% Notes Due 11/15/2011	15,322.75	16,198.50
\$50,000.00	FFCB 6.9% Bonds Due 9-1-2010	57,375.00	51,948.50
\$50,000.00	FHLB 6.1% Bonds Due 12/31/2010	52,062.50	52,037.50
\$15,000.00	GTE 6.84% Bonds Due 4/15/2018	15,340.50	16,446.75
\$10,000.00	General Electric Cap Note 6%	10,000.00	9,672.00
\$7,500.00	General Electric Term Note	7,500.00	7,524.00
\$20,000.00	Household Finance Corp. Notes	21,003.33	20,802.00
\$12,500.00	JP Morgan Chase PFD 6.35%	12,500.00	12,115.00
\$10,000.00	MBNA Capital D 8.125% Bonds Due 10/01/2032	10,000.00	9,777.20
\$15,000.00	Morton Community Bank 5% CD Due 8/14/2012	15,525.00	15,767.25
\$20,000.00	Orange & Rockland Utilities 7.5% Due 6/15/2010	22,905.00	20,560.80
\$15,000.00	Providian Nat. 6%	15,420.00	15,131.25
\$10,000.00	Prudential Financial Internotes 6.25%	10,000.00	9,817.50
\$10,000.00	USB Capital XI Trust	10,000.00	9,672.00
\$50,000.00	Wells Fargo Sub Notes	51,085.00	53,396.00
Sub Total:		\$ 407,106.57	\$ 400,846.75

Combined Inventory and Market Value of Assets

Combined Inventory and Market Value of Assets
Dr Edwin A. Ulrich Charitable Trust

As of: 12/31/2009

<u>Description</u>	<u>Inventory Value</u>	<u>Market Value</u>
Grand Total:	<u><u>\$ 1,018,266.07</u></u>	<u><u>\$ 1,049,828.09</u></u>

Realized Gain/Loss

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Amended Date: 2/19/10

Account Number: 7003-5345
 Command Account Number: 9085619882
 CONKLIN,REGAN,ARMSTRONG
 & RENNACK TTEES
 DR EDWIN A ULRICH CHAR
 TRUST UAD 9/10/92

Dr. Edwin A. Ulrich Charitable Trust
 Form 990
 EIN #: 14-6161493
 Attachment /

Realized Gain/Loss Detail for Year

Short Term						
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST
DEUTSCHE BANK 7.60% CONTINGENT CAP III CALL STARTING 2/20/2018	250.0000	25.0000	02/12/08	02/05/09	3,185.98	6,250.00
HARTFORD FINL SVCS GROUP INC	500.0000	53.8752	09/26/08	02/20/09	3,433.98	26,937.60
JPMORGAN CHASE & CO	500.0000	42.9500	09/25/08	09/14/09	21,084.46	21,475.00
M & T BANK CORP	100.0000	85.8800	04/07/08	02/05/09	3,649.97	8,678.25
Total - Short Term					\$31,354.39	\$63,340.85
						-5,028.28
						-\$31,986.46

Long Term						
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST
BAC CAP TRUST X 6.25% DUE 3/29/2055 CALL START 03/29/2011	250.0000	25.0000	03/21/06	02/05/09	2,080.49	6,250.00
	250.0000	25.0000	03/21/06	02/20/09	1,544.99	6,250.00
						-4,169.51
BK AMER CAP 7% SER V TR DUE 12/15/31 CALLABLE 12/15/06	200.0000	25.0000	12/06/01	02/05/09	1,878.51	5,000.00
						-3,121.49
CITIGROUP CAP XV 6.50% DUE 9/15/66 CALL STARTING 9/15/11	150.0000	25.0000	09/07/06	03/05/09	803.99	3,750.00
						-2,946.01
CITIGROUP CAP 7 125% TR PFD TRUPS DUE 7/31/31 CALLABLE 7/31/06	400.0000	25.0000	07/19/01	02/05/09	3,903.74	10,000.00
						-6,096.26
DEUTSCHE BANK 6.625% PERP NON-CUM CAP IX CALL STARTING 08/20/12	200.0000	25.0000	07/13/07	03/05/09	1,273.99	5,000.00
						-3,726.01

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Realized Gain/Loss

Amended Date: 2/19/10

Account Number: 7003-5345
Command/Account Number: 9085619882
CONKLIN, REGAN, ARMSTRONG
& RENNACK TTEES
DR EDWIN A ULRICH CHAR
TRUST UAD 9/10/92

Dr. Edwin A. Ulrich Charitable Trust
Form 990
EIN #: 14-6161493
Attachment 1

Long Term	Continued								
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS		
ING GROEP NV 6.375% PERPETUAL HYBRID CAP SEC CALL STARTING 6/15/2012	200.0000	25.0000	06/06/07	02/05/09	1,929.98	5,000.00	-3,070.02		
	200.0000	25.0000	06/06/07	02/20/09	1,210.99	5,000.00	-3,789.01		
ISHARES MSCI SINGAPORE INDEX FUND	445.0000	14.3500	11/13/07	09/14/09	4,721.32	6,385.75	-1,664.43		
JPMORGAN CHASE & CO	132.0000	28.3265	05/12/97	09/14/09	5,566.29	3,739.11	1,827.18		
	100.0000	47.0000	09/28/00	09/14/09	4,216.89	4,700.00	-483.11		
KIMCO REALTY CORP 6.65% PERP DEP SHS SER F CALLABLE 6/5/08	200.0000	25.0000	05/07/03	02/10/09	2,721.98	5,000.00	-2,278.02		
SECTOR SPDR TR TECHNOLOGY SELECT SECTOR	131.0000	26.4600	11/14/07	07/22/09	2,537.77	3,466.26	-928.49		
SELECT SECTOR SPDR FD HEALTH CARE	126.0000	35.6900	11/14/07	09/14/09	3,648.86	4,496.94	-848.08		
SELECT SECTOR SPDR TR CONSUMER STAPLES	128.0000	28.6000	11/14/07	07/22/09	3,074.84	3,660.80	-585.96		
WACHOVIA 7.85% PFD CUM CAP TR X 12/1/2067 CALL STARTING 12/15/2012	500.0000	25.0000	11/14/07	03/05/09	5,924.96	12,500.00	-6,575.04		
WELLS FARGO COMPANY	0.8650	288.0517	12/08/06	01/02/09	25.22	246.18	-220.96		
Total - Long Term					\$47,064.81	\$90,445.04	-\$43,380.23		

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Realized Gain/Loss

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As of Date: 2/05/10

Account Number: 6610-4478
 Command Account Number: 9085439944
 DR EDWIN A ULRICH CHAR
 TRUST DTD 9-10-92
 CONKLIN,REGAN,ARMSTONG,
 & RENNACK TTEES

Dr. Edwin A. Ulrich Charitable Trust
 Form 990
 EIN #: 14-6161493
 Attachment **2**

Realized Gain/Loss Detail for Year

Long Term	DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
	ALLSTATE BANK CD VERNON HILLS IL ACT/365 FDIC INSURED CPN 5.200% DUE 03/30/09 DTD 03/28/02 FC 09/28/02	10,000.0000	104.8000	09/25/02	03/30/09	10,000.00	10,480.00	-480.00
	ELECTRONIC DATA SYSTEMS NOTES CALL @ MAKE WHOLE +15BP CPN 7.125% DUE 10/15/09 DTD 10/12/99 FC 04/15/00	5,000.0000	104.8750	10/18/01	10/15/09	5,000.00	5,247.75	-247.75
	EUROBANK CD SAN JUAN P R ACT/365 FDIC INSURED CPN 5.200% DUE 02/06/09 DTD 02/06/02 FC 08/06/02	18,000.0000	104.5000	02/19/03	02/06/09	18,000.00	18,810.00	-810.00
	EUROBANK CD SAN JUAN PR ACT/365 FDIC INSURED CPN 5.200% DUE 02/17/09 DTD 02/15/02 FC 08/15/02	10,000.0000	104.9500	03/27/03	02/17/09	10,000.00	10,495.00	-495.00
	GEORGIA-PACIFIC DEBENTURES NON CALL LIFE CPN 7.700% DUE 06/15/15 DTD 06/09/95 FC 12/15/95	15,000.0000	104.1250	03/27/98	12/11/09	15,334.00	15,621.75	-287.75

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As of Date: 2/05/10

Account Number: 6610-4478
Command Account Number: 9085439944
DR EDWIN A ULRICH CHAR
TRUST DTD 9-10-92
CONKLIN,REGAN,ARMSTONG,
& RENNACK TTEES

Dr. Edwin A. Ulrich Charitable Trust
Form 990
EIN #: 14-6161493
Attachment 2

Long Term	Continued								
DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS		
GOLDMAN SACHS GROUP INC									
MEDIUM TERM NOTE									
NON CALL LIFE									
CPN 7.350% DUE 10/01/09									
DTD 09/29/99 FC 04/01/00	10,000.0000	105.7500	02/26/01	10/01/09	10,000.00	10,579.00	-579.00		
GTE CALIFORNIA									
DEBENTURE									
NON CALL LIFE									
CPN 6.700% DUE 09/01/09									
DTD 09/01/97 FC 03/01/98	10,000.0000	99.3750	05/01/02	09/01/09	10,000.00	9,937.50	62.50		
HOUSEHOLD FIN CORP									
INTERNOTES									
CPN 6.650% DUE 05/15/11									
DTD 05/10/01 FC 11/15/01	10,000.0000	105.0000	12/28/05	12/11/09	10,395.80	10,501.67	-105.87		
JP MORGAN CHASE & CO									
MEDIUM TERM NOTES									
SERIES B CALLABLE									
CPN 4.625% DUE 12/15/10									
DTD 12/10/03 FC 01/15/04									
CALL 05/15/09 @ 100.000	17,000.0000	101.2500	03/17/04	04/07/09	17,000.00	17,212.50	-212.50		
MORTON COMMUNITY BK CD									
MORTON, IL ACT/365									
FDIC INSURED									
CPN 3.900% DUE 08/27/09									
DTD 08/27/04 FC 09/27/04	20,000.0000	100.0000	08/16/04	08/27/09	20,000.00	20,000.00	0.00		
Total - Long Term					\$125,729.80	\$128,885.17	-\$3,155.37		

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

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