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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 01-01-2009 , and ending 12-31-2009

G

Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation The McCarthy Charities Inc		A Employer identification number 14-6019064
	Number and street (or P O box number if mail is not delivered to street address) PO Box 1090	Room/suite	B Telephone number (see page 10 of the instructions) (518) 273-6037
	City or town, state, and ZIP code Troy, NY 12181		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 11,630,060		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,546	1,546	1,546	
	4 Dividends and interest from securities.	247,647	247,647	247,647	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	263,727			
	b Gross sales price for all assets on line 6a _____ 3,013,801				
	7 Capital gain net income (from Part IV , line 2)		263,727		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	10,956			
	12 Total. Add lines 1 through 11	523,876	512,920	249,193	
	13 Compensation of officers, directors, trustees, etc	42,128			39,400
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	4,000			
	16a Legal fees (attach schedule)	5,716			5,716
	b Accounting fees (attach schedule)	315			315
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	11,192			
	19 Depreciation (attach schedule) and depletion . . .	0			
	20 Occupancy				
	21 Travel, conferences, and meetings	7,824			7,824
	22 Printing and publications				
	23 Other expenses (attach schedule)	34,373			34,373
	24 Total operating and administrative expenses. Add lines 13 through 23	105,548	0		87,628
	25 Contributions, gifts, grants paid	604,650			604,650
	26 Total expenses and disbursements. Add lines 24 and 25	710,198	0		692,278
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-186,322			
	b Net investment income (if negative, enter -0-)		512,920		
	c Adjusted net income (if negative, enter -0-)			249,193	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	415,619	1,276,149	1,276,149
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	416,365	☒ 540,986	535,425
	b	Investments—corporate stock (attach schedule)	7,447,528	☒ 6,137,236	8,118,527
	c	Investments—corporate bonds (attach schedule).	1,381,897	☒ 1,597,436	1,699,959
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	9,661,409	9,551,807	11,630,060	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	9,661,409	9,551,807	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	9,661,409	9,551,807	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	9,661,409	9,551,807		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 9,661,409
2	Enter amount from Part I, line 27a	2 -186,322
3	Other increases not included in line 2 (itemize) ▶ _____ ☒	3 76,720
4	Add lines 1, 2, and 3	4 9,551,807
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 9,551,807

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)		2	263,727
If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7				
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		3	-241,723
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8				

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries				
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))	
2008	975,004	16,094,877	0 06058	
2007	846,974	19,161,177	0 04420	
2006	833,775	18,366,628	0 04540	
2005	713,674	17,600,222	0 04055	
2004	676,388	16,433,508	0 04116	
2	Total of line 1, column (d).		2	0 23189
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3	0 04638
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5.		4	10,865,213
5	Multiply line 4 by line 3.		5	503,896
6	Enter 1% of net investment income (1% of Part I, line 27b).		6	5,129
7	Add lines 5 and 6.		7	509,025
8	Enter qualifying distributions from Part XII, line 4.		8	692,278
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18				

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		15,129
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2
3 Add lines 1 and 2.		35,129
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		55,129
6 Credits/Payments		
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a6,726	
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d.		76,726
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		101,597
11 Enter the amount of line 10 to be Credited to 2010 estimated tax 1,597 Refunded		11

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		1b	No
c Did the foundation file Form 1120-POL for this year?.		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?.		4b	No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	No
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) NY _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		10	No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of Robert P McCarthy Telephone no (518) 273-6037 Located at 270 River Street Troy NY Troy NY ZIP+4 12180			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?. If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. Yes No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	☐ Yes	☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	5b		No
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
	☐ Yes ☒ No			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
	☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
	☐ Yes ☒ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	10,910,901
b	Average of monthly cash balances.	1b	119,772
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	11,030,673
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	11,030,673
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	165,460
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	10,865,213
6	Minimum investment return. Enter 5% of line 5.	6	543,261

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	543,261
2a	Tax on investment income for 2009 from Part VI, line 5.	2a	5,129
b	Income tax for 2009 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	5,129
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	538,132
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	538,132
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	538,132

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	692,278
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	692,278
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	5,129
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	687,149
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				538,132
2 Undistributed income, if any, as of the end of 2008				
a Enter amount for 2008 only.			3,404	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2009				
a From 2004.				
b From 2005.				
c From 2006.				
d From 2007.				
e From 2008.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2009 from Part XII, line 4 ➤ \$ 692,278				
a Applied to 2008, but not more than line 2a			3,404	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d Applied to 2009 distributable amount.				538,132
e Remaining amount distributed out of corpus	150,742			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	150,742			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions. . .				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions.				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions).				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a.	150,742			
10 Analysis of line 9				
a Excess from 2005. . . .				
b Excess from 2006. . . .				
c Excess from 2007. . . .				
d Excess from 2008. . . .				
e Excess from 2009. . . .	150,742			

Part XIVPrivate Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			▶ 3a	604,650
b Approved for future payment				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2009)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge			
*****	2010-05-07	*****	
Signature of officer or trustee	Date	Title	

Paid Preparer's Use Only	Preparer's Signature Paul M Macari Esq	Date	Check if self-employed ☒	Preparer's identifying number (see Signature on page 30 of the instructions)
	Firm's name (or yours if self-employed), address, and ZIP code		EIN	
	Martin Law Firm 279 River Street PO Box 1530 Troy, NY 121811530		Phone no (518) 272-6565	

TY 2009 Accounting Fees Schedule

Name: The McCarthy Charities Inc

EIN: 14-6019064

Software ID: 09000047

Software Version: 2009v1.3

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Tice, Brunell and Baker	315	0	0	315

**TY 2009 Investments Corporate
Bonds Schedule****Name:** The McCarthy Charities Inc**EIN:** 14-6019064**Software ID:** 09000047**Software Version:** 2009v1.3

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CDC Loan Fund	25,000	25,000
Pimco Foreign Bond Fund	150,368	143,754
Dodge & Cox Income Fund	176,180	183,398
Fidelity Inflation Protected Bond Fund	155,297	153,117
Fund X Flexible Income Fund	204,978	218,689
Fidelity Strategic Income Fund	226,286	235,751
Federated Prudent Global Income Fund	420,131	447,262
American Century Int. Bond Fund	239,196	292,988

TY 2009 Investments Corporate
Stock Schedule

Name: The McCarthy Charities Inc
EIN: 14-6019064
Software ID: 09000047
Software Version: 2009v1.3

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Harbor International Investor Shares	116,685	118,245
Permanent Portfolio Family of Funds	100,891	123,927
SPDR Ser Tr Db Intl Govt Inflation	99,425	122,892
Google	111,359	123,996
Apple	103,658	105,366
ITT Corp	100,491	89,532
Cameron International Corporation	99,835	100,320
Pimco Total Return Fund	152,056	147,841
Fidelity Select Gold	102,415	137,215
Federated Prudent Bear Fund Class A	716,411	545,000
SPDR Gold Trust	403,570	540,842
Proshares Ultra Short-Real Estate	139,376	9,000
Petrobras ADR	248,818	190,720
Central Fd of Canada CLA	250,870	372,060
Blackrock Global	161,449	151,671
Blackrock Energy	134,271	99,957
Commonfund Multi-Strategy Equity	736,985	894,340
Kinder Morgan Energy Partners	102,566	156,414
National Oilwell Varco Inc.	175,929	110,225
BHP Billiton Ltd Spons ADR	146,029	191,450
MFS International Diversification Fund	232,200	237,128
I Shares Silver Trust	461,008	615,251
Fund X Upgrader Fund	223,605	174,067
Fidelity Convertible Sec Fund	246,651	264,166
Fidelity Canada	176,334	235,248
Fidelity International Discovery Fund	183,742	193,163
Trustco	73,657	338,713
General Electric	62,750	726,240
Bank of America	274,200	1,003,538

TY 2009 Investments Government Obligations Schedule

Name: The McCarthy Charities Inc

EIN: 14-6019064

Software ID: 09000047

Software Version: 2009v1.3

**US Government Securities - End of
Year Book Value:**

540,986

**US Government Securities - End of
Year Fair Market Value:**

535,425

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2009 Legal Fees Schedule

Name: The McCarthy Charities Inc

EIN: 14-6019064

Software ID: 09000047

Software Version: 2009v1.3

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Martin Law Firm	5,716	0	0	5,716

TY 2009 Other Expenses Schedule**Name:** The McCarthy Charities Inc**EIN:** 14-6019064**Software ID:** 09000047**Software Version:** 2009v1.3

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Verizon	2,589			2,589
safe deposit box	106			106
Rental Expenses	10,821			10,821
Post Office Box Rental	176			176
Office Supplies/Administration Expenses	2,002			2,002
NAEIR Expenses	8,239			8,239
Investment Research and Periodicals	4,040			4,040
Investment Expense	3,376			3,376
CommonFund Multi-Strategy Equity	3,024			3,024

TY 2009 Other Income Schedule

Name: The McCarthy Charities Inc

EIN: 14-6019064

Software ID: 09000047

Software Version: 2009v1.3

Description	Revenue And Expenses Per Books	Net Invest ment Income	Adjusted Net Income
Other Investment Income	10,956		

TY 2009 Other Increases Schedule

Name: The McCarthy Charities Inc

EIN: 14-6019064

Software ID: 09000047

Software Version: 2009v1.3

Description	Amount
Trustco Basis from 2008	76,720

TY 2009 Taxes Schedule**Name:** The McCarthy Charities Inc**EIN:** 14-6019064**Software ID:** 09000047**Software Version:** 2009v1.3

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS Dept of Law	750			
2009-Foreign taxes-mutual funds	1,839			
2009 NYS Insurance Fund	612			
2009 NYS Employment Tax	1,439			
2009 IRS Form 941	6,552			

Additional Data

Software ID: 09000047

Software Version:

EIN: 14-6019064

Name: The McCarthy Charities Inc

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
Capital Gain Dividends			
2 shs Henderson Intl Opp Cl C	P	2008-12-09	2009-01-30
58 shs Henderson Intl Opp Cl C	P	2008-12-08	2009-01-30
1000 shs Proshares Ultra Short Consumer Svcs	P	2008-10-07	2009-04-17
500 shs Apple	P	2009-10-21	2009-10-22
300 shs Proshares Ultra Dow 30	P	2009-04-13	2009-04-15
100 shs Proshares Ultra QQQ	P	2009-04-13	2009-04-15
300 shs Ishares Tr MSCI Emerging Markets	P	2009-04-13	2009-04-15
700 shs Ishares Tr MSCI Emerging Markets	P	2009-01-01	2009-01-14
300 shs Ishares Tr S&P 500	P	2009-04-13	2009-04-15
400 shs Ishares Tr S&P 500	P	2009-01-01	2009-01-14
163 shs Ishares Trust Comex Gold ETF	P	2008-09-23	2009-01-14
466 shs Diamonds Trust Series I	P	2009-01-01	2009-01-14
1,200 shs Proshares Ultra Short Financials	P	2008-02-28	2009-04-17
2500 shs St Jude Medical Inc	P	2008-10-07	2009-10-06
1000 shs Mosaic Co	P	2008-07-10	2009-01-30
2000 shs Conoco Phillips	P	2008-10-07	2009-10-22
2000 shs Baxter International Inc	P	2008-12-19	2009-10-29
2,000 shs Johnson & Johnson	P	2008-02-29	2009-03-06
5,585 shs Anglo American PLC	P	2008-08-27	2009-10-29
2,000 shs Abbott Laboratories	P	2008-12-19	2009-03-11
387 shs Henderson Intl Opp Cl C	P	2007-12-10	2009-01-30
4,158 0520 shs Henderson Intl Opp Cl C	P	2007-08-20	2009-01-30
1,800 shs Stryker Corp	P	2007-04-16	2009-05-28
200 shs Stryker Corp	P	2007-04-16	2009-05-28
4,400 shs Coca Cola Co	P	2006-11-16	2009-10-21
600 shs Coca Cola Co	P	2006-11-16	2009-10-21
11,142 shs Trustco Bank	P	1960-01-01	2009-10-07
10,000 shs Trustco Bank	P	1960-01-01	2009-09-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			9,389
26		27	-1
761		754	7
69,393		132,830	-63,437
102,222		103,658	-1,436
7,734		7,836	-102
3,021		3,139	-118
8,370		8,426	-56
16,122		24,043	-7,921
25,474		25,772	-298
34,089		43,221	-9,132
13,211		14,447	-1,236
38,575		47,947	-9,372
73,156		129,594	-56,438
81,769		97,629	-15,860
35,354		140,617	-105,263
103,834		129,326	-25,492
107,244		108,103	-859
93,687		124,776	-31,089
105,793		150,025	-44,232
88,663		105,636	-16,973
5,077		9,783	-4,706
54,554		100,001	-45,447
67,697		123,861	-56,164
7,493		13,761	-6,268
240,061		207,350	32,711
32,712		28,275	4,437
69,190		15,265	53,925
64,524		13,700	50,824

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FM V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			7
			-63,437
			-1,436
			-102
			-118
			-56
			-7,921
			-298
			-9,132
			-1,236
			-9,372
			-56,438
			-15,860
			-105,263
			-25,492
			-859
			-31,089
			-44,232
			-16,973
			-4,706
			-45,447
			-56,164
			-6,268
			32,711
			4,437
			53,925
			50,824

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5,700 shs Trustco Bank	P	1960-01-01	2009-09-24
10,000 shs Trustco Bank	P	1960-01-01	2009-09-22
700 shs Trustco Bank	P	1960-01-01	2009-09-21
4,000 shs Trustco Bank	P	1960-01-01	2009-09-18
4,508 shs Trustco Bank	P	1960-01-01	2009-09-17
10,000 shs Trustco Bank	P	1960-01-01	2009-09-16
10,000 shs Trustco Bank	P	1960-01-01	2009-09-09
2,713 shs Trustco Bank	P	1960-01-01	2009-09-01
6,726 shs Trustco Bank	P	1960-01-01	2009-08-27
3,274 shs Trustco Bank	P	1960-01-01	2009-08-27
10,000 shs Trustco Bank	P	1960-01-01	2009-08-25
50,000 shs Trustco Bank	P	1960-01-01	2009-12-15
10 000 shs Trustco Bank	P	1960-01-01	2009-07-13
10,000 shs Trustco Bank	P	1960-01-01	2009-07-10
11,581 069 shs Loomis Sayles Bond Fund	P	2009-02-01	2009-02-02
2,377 103 shs Federated Prudent Bear Fund	P	2008-08-27	2009-05-28
10,000 shares Fidelity Convertible Securities Fund	P	2005-05-18	2009-07-10
25,438 228 shs Federated Prudent Bear Fund	P	2007-01-01	2009-05-28
367 shares Ishares barclays 1-3 year Term Treasury	P	2008-08-18	2009-01-14
199 shares Ishares B arclays 20+yr Term Treas	P	2008-10-23	2009-01-14
359 shares Ishares Barclays Treas Inflation	P	2008-08-18	2009-01-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
36,872		7,809	29,063
64,290		13,700	50,590
4,490		959	3,531
25,279		5,480	19,799
29,121		6,176	22,945
62,098		13,700	48,398
62,110		13,700	48,410
16,864		3,717	13,147
43,135		9,215	33,920
20,997		4,485	16,512
63,809		13,700	50,109
317,756		68,500	249,256
56,991		13,700	43,291
55,587		13,700	41,887
152,563		162,752	-10,189
15,475		17,020	-1,545
171,525		201,848	-30,323
165,603		182,138	-16,535
31,026		30,479	547
22,926		19,343	3,583
36,089		38,151	-2,062

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			29,063
			50,590
			3,531
			19,799
			22,945
			48,398
			48,410
			13,147
			33,920
			16,512
			50,109
			249,256
			43,291
			41,887
			-10,189
			-1,545
			-30,323
			-16,535
			547
			3,583
			-2,062

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Adele McCarthy Beauvais	Director 0 00	0		
Post Office Box 1090 Troy, NY 12181				
Elizabeth Shendan	Director 0 00	0		
Post Office Box 1090 Troy, NY 12181				
Winifred McCarthy	Director 0 00	0		
Post Office Box 1090 Troy, NY 12181				
Christopher Connally	Director 0 00	0		
Post Office Box 1090 Troy, NY 12181				
Rosanne M Hall	Secretary 0 00	0		
Post Office Box 1090 Troy, NY 12181				
Robert P McCarthy	Treasurer 28 00	42,128	4,000	
Post Office Box 1090 Troy, NY 12181				
Lucy McCarthy	Vice President 0 00	0		
Post Office Box 1090 Troy, NY 12181				
Denis McCarthy	Vice President 0 00	0		
Post Office Box 1090 Troy, NY 12181				
Pamela McCarthy	President 0 00	0		
Post Office Box 1090 Troy, NY 12181				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Hope for Haiti FundPost Office Box 116 Greencove Springs, FL 32043	none		general	200
Cares Inc85 Watervliet Avenue Albany, NY 12206	none		general	500
Bethesda House of Schenectady834 State Street Schenectady, NY 12305	none		general	500
Villa Mary Immaculate St Peter301 Hackett Blvd Albany, NY 12208	none		general	500
Van Rensselaer Fund co RCRCC251 River Street Troy, NY 12180	none		general	500
Northeast Association of the Blind301 Washington Avenue Albany, NY 12206	none		general	500
Catholic Central High School1700 Seventh Avenue Troy, NY 12182	none		general	100
Y-Knot Sailing ProgramCamp Chingachgook 1872 Pilot KnotRd Kattskill Bay, NY 12844	none		general	800
Whitney M Young Jr Foundation920 Lark Drive Albany, NY 12207	none		general	3,500
Troy Chromatic ConcertsPost Office Box 1574 Troy, NY 12180	none		general	1,200
NEST co Oakwood Presbyterian Church313 Tenth Street Troy, NY 12180	none		general	1,000
Equinox Community Services95 Central Avenue Albany, NY 12206	none		general	250
Empire State Youth Orchestra432 State Street Schenectady, NY 12305	none		general	500
Crohn's Colitis Foundation NE Chapt 103 Patroon Drive 10 Guilderland, NY 12084	none		general	250
Susan Odell Taylor School116 Pinwoods Avenue Troy, NY 12180	none		general	1,000
Total ▶ 3a				604,650

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
YMCA Troy2500 21st Street Troy, NY 12180	none		general	3,000
WMHT4 Global View Troy, NY 12180	none		general	2,000
Wiawaka Holiday HousePO Box 564 Troy, NY 12181	none		general	1,000
Watervliet Civic Chest1 14th Street Watervliet, NY 12189	none		general	600
Vanderheyden Hall614 Route 355 Wynanskill, NY 12198	none		general	2,000
Unity House33 Second Street Troy, NY 12180	none		general	18,075
Umbrella of the Capital District509A Union Street Schenectady, NY 12305	none		general	2,000
Troy Youth Organization237 Fourth Street Troy, NY 12180	none		general	2,000
Troy Public Library100 Second Street Troy, NY 12180	none		general	6,500
Troy Music Hall32 Second Street Troy, NY 12180	none		general	1,000
Troy Boys and Girls Club1700 7th Avenue Troy, NY 12180	none		general	7,000
Troy Area United Ministries392 Second Street Troy, NY 12180	none		general	4,000
TRIP Inc415 River Street Troy, NY 12180	none		general	5,250
Teresian House200 Washington Ave Ext Albany, NY 12203	none		general	2,000
TAP Inc210 River Street Troy, NY 12180	none		general	5,000
Total  3a				604,650

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Sponsor-A-Scholar Capital Region3 Pine Avenue Albany, NY 12205	none		general	6,000
Sunnyside Center3301 6th Avenue Troy, NY 12180	none		general	3,000
Sisters of St Joseph385 Watervliet Shaker Road Latham, NY 12210	none		general	5,000
Siena CollegeRoute 9 Loudonville, NY 12211	none		general	15,000
Seton Family Resource1400 8th Avenue Watervliet, NY 12189	none		general	1,000
Seton Health Foundation1300 Massachusetts Avenue Troy, NY 12180	none		general	25,000
Sand Lake Center for the Arts2880 Route 43 Sand Lake, NY 12153	none		general	1,000
Samaritan HospitalNortheast Health 2215 Burdett Avenue Troy, NY 12180	none		general	27,800
Salvation ArmyRiver Street Troy, NY 12180	none		general	1,000
Sacred Heart Church and School310 Spring Avenue Troy, NY 12180	none		general	10,000
St Peter's Hospital315 South Manning Blvd Albany, NY 12208	none		general	25,000
St Peter's Church2310 Fifth Avenue Troy, NY 12180	none		general	60
St Michael's Church175 Williams Road Troy, NY 12180	none		general	1,200
St Mary's Academy4 Parsons Ave Hoosick Falls, NY 12090	none		general	10,600
St Joseph's Church416 Third Street Troy, NY 12180	none		general	3,500
Total  3a				604,650

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
St Jude the Apostle Church6 Schuyler Court Wynanskill, NY 12189	none		general	800
St Henry's ChurchPost Office Box 550 Averill Park, NY 12018	none		general	800
St Colman's Home11 Haswell Road Watervliet, NY 12189	none		general	800
St Catherine's Center401 New Scotland Avenue Albany, NY 12208	none		general	1,500
St Augustine's Church534 4th Avenue Troy, NY 12182	none		general	4,000
St Anne Institute160 North Main Avenue Albany, NY 12203	none		general	1,000
Roarke Center107 Fourth Street Troy, NY 12180	none		general	6,000
Rensselaer Land Trust114 4th Street Troy, NY 12180	none		general	1,000
Rensselaer Newman Foundation Burdett Avenue Troy, NY 12180	none		general	12,000
Rensselaer County Housing Resources415 River Street Troy, NY 12180	none		general	1,700
Rensselaer Chapter NYS ARC131 6th Avenue Troy, NY 12180	none		general	1,500
Rensselaer County Historical Societ 57 Second Street Troy, NY 12180	none		general	40,170
Regional Food Bank965 Albany Shaker Road Latham, NY 12210	none		general	4,000
Redemption Christian Academy230 Second Street Troy, NY 12180	none		general	2,000
Prospect Park Foundation61 State Street Troy, NY 12180	none		general	1,000
Total  3a				604,650

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Parsons Child and Family60 Academy Road Albany, NY 12208	none		general	5,000
Our Lady of Victory SchoolMarshland Court Troy, NY 12180	none		general	5,000
Our Lady of Victory ChurchMarshland Court Troy, NY 12180	none		general	1,000
Open Space Institute1350 Broadway Suite 201 New York, NY 10138	none		general	800
Oakwood Restoration Fund50 101st Street Troy, NY 12180	none		general	625
Music Mobile352 Central Avenue Albany, NY 12206	none		general	10,000
Little Sisters of the Poor1 Jeanne Jugan Lane Latham, NY 12110	none		general	4,200
Literacy Volunteers1913 5th Avenue Troy, NY 12180	none		general	400
LaSalle School391 Western Avenue Albany, NY 12203	none		general	3,500
LaSalle Institute174 Williams Road Troy, NY 12180	none		general	5,000
Lansingburgh BoysGirls Club505 4th Avenue Troy, NY 12182	none		general	5,000
Junior Achievement8 Stanley Circle Latham, NY 12210	none		general	4,000
Joseph's House74 Ferry Street Troy, NY 12180	none		general	7,000
Hudson Mohawk GatewayFoot of Polk Street Troy, NY 12180	none		general	6,500
Hudson Valley Comm College80 Vandenberg Avenue Troy, NY 12180	none		general	3,000
Total  3a				604,650

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Hope House747 Madison Avenue Albany, NY 12208	none		general	1,000
Hope 7 Community Center596 Pawling Avenue Troy, NY 12180	none		general	2,000
Brunswick Garfield Community Librar 605 Brunswick Road Troy, NY 12180	none		general	500
Fast Break FundPO Box 1128 Latham, NY 12110	none		general	2,000
Dyken Pond Environmental Center475 Dyken Pond Road Cropseyville, NY 12052	none		general	5,000
Double H Ranch97 Hidden Valley Rd Lake Luzerne, NY 12846	none		general	3,000
Dominican Retreat House1945 Union Street Niskayuna, NY 12309	none		general	2,000
Diocese of Albany40 North Main Avenue Albany, NY 12203	none		general	110,000
Counseling Care405 Vliet Blvd Cohoes, NY 12047	none		general	1,000
Community Hospice295 Valley View Blvd Rensselaer, NY 12144	none		general	4,000
Community Foundation for the Capita6 Tower Plaza Albany, NY 12203	none		general	800
Commission on Economic Opportunity 2331 Fifth Avenue Troy, NY 12180	none		general	20,200
College of St Rose432 Western Avenue Albany, NY 12203	none		general	5,370
Clothe-A-Child501 Broadway Troy, NY 12180	none		general	800
Circles of Mercy11 Washington Street Rensselaer, NY 12144	none		general	6,400
Total  3a				604,650

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Children's Museum of ScienceTechnol 350 Jordan Road Troy, NY 12180	none		general	3,000
Catholic Charities40 North Main Avenue Albany, NY 12203	none		general	2,750
Catholic Charities Transport227 Stowe Avenue Troy, NY 12180	none		general	5,500
Capital Repertory111 North Pearl Street Albany, NY 12207	none		general	15,000
Capital District Community Loan Fun 255 Orange Street Albany, NY 12210	none		general	100
Community Gardens Capital District 40 River Street Troy, NY 12180	none		general	5,100
Capital City Rescue Mission259 South Pearl Albany, NY 12202	none		general	500
Boy ScoutsTwin Rivers Council253 Washington Ave Extension Albany, NY 12205	none		general	3,000
Bethany House27 State Street Troy, NY 12180	none		general	4,800
Bakerloo TheatreBox 505 Troy, NY 12181	none		general	1,800
Association of Small Foundations1720 N Street NW Washington, DC 20036	none		general	1,000
Assisi AlbanyFranciscan OMCBox 3948 Albany, NY 12203	none		general	2,500
Arts Center of the Captial Region265 River Street Troy, NY 12180	none		general	10,300
ARK Community Charter School762 River Street Troy, NY 12180	none		general	10,050
Albany Institute of HistoryArt125 Washington Avenue Albany, NY 12210	none		general	17,000
Total  3a				604,650

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Academy of the Holy Names1075 New Scotland Avenue Albany, NY 12208	none		general	11,000
Total  3a				604,650