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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545 0052

2009

Department of the Treasury  
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name changeUse the  
IRS label  
Otherwise,  
print  
or type  
See Specific  
InstructionsJEROME AND MARLENE BRODY FOUNDATION, INC  
349 LEGGETT ROAD  
GHENT, NY 12075

A Employer identification number

14-1736554

B Telephone number (see the instructions)

518-392-4410

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)

▶ \$ 595,442.

J Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE

- 1 Contributions, gifts, grants, etc., received (att sch)
- 2 Ck ☒ if the foundn is not req to att Sch B
- 3 Interest on savings and temporary cash investments
- 4 Dividends and interest from securities
- 5a Gross rents
- b Net rental income or (loss)
- 6a Net gain/(loss) from sale of assets not on line 10
- b Gross sales price for all assets on line 6a 97,737.
- 7 Capital gain net income (from Part IV, line 2)
- 8 Net short-term capital gain
- 9 Income modifications
- 10a Gross sales less returns and allowances
- b Less Cost of goods sold
- c Gross profit/(loss) (att sch)
- 11 Other income (attach schedule)

12 Total. Add lines 1 through 11

1,629.

29,482.

33,836.

ADMINISTRATIVE EXPENSES

- 13 Compensation of officers, directors, trustees, etc
- 14 Other employee salaries and wages
- 15 Pension plans, employee benefits
- 16a Legal fees (attach schedule) SEE ST 1
- b Accounting fees (attach sch)
- c Other prof fees (attach sch)
- 17 Interest
- 18 Taxes (attach schedule) SEE STMT 2
- 19 Depreciation (attach sch) and depletion
- 20 Occupancy
- 21 Travel, conferences, and meetings
- 22 Printing and publications
- 23 Other expenses (attach schedule) SEE STATEMENT 3

24 Total operating and administrative expenses. Add lines 13 through 23

12,701.

4,995.

3,903.

25 Contributions, gifts, grants paid PART XV

21,600.

21,600.

26 Total expenses and disbursements. Add lines 24 and 25

34,301.

4,995.

0.

25,503.

27 Subtract line 26 from line 12: Expenses of revenue over expenses and disbursements

-32,672.

24,487.

33,836.

b Net investment income (if positive, enter -0-)

c Adjusted net income (if negative, enter -0-)

SCANNED MAR 18 2010

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MAR 18 2010

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MAR 18 2010

MAR 18 2010

10

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of year amounts only (See instructions.)

|                                                                                                     |                                                                                                                                  | Beginning of year | End of year    |                       |
|-----------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                                                                                                     |                                                                                                                                  | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>ASSETS</b>                                                                                       | 1 Cash — non-interest-bearing                                                                                                    |                   |                |                       |
|                                                                                                     | 2 Savings and temporary cash investments                                                                                         | 174,838.          | 180,036.       | 175,311.              |
|                                                                                                     | 3 Accounts receivable                                                                                                            |                   |                |                       |
|                                                                                                     | Less allowance for doubtful accounts                                                                                             |                   |                |                       |
|                                                                                                     | 4 Pledges receivable                                                                                                             |                   |                |                       |
|                                                                                                     | Less allowance for doubtful accounts                                                                                             |                   |                |                       |
|                                                                                                     | 5 Grants receivable                                                                                                              |                   |                |                       |
|                                                                                                     | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)    |                   |                |                       |
|                                                                                                     | 7 Other notes and loans receivable (attach sch)                                                                                  |                   |                |                       |
|                                                                                                     | Less allowance for doubtful accounts                                                                                             |                   |                |                       |
|                                                                                                     | 8 Inventories for sale or use                                                                                                    |                   |                |                       |
|                                                                                                     | 9 Prepaid expenses and deferred charges                                                                                          |                   |                |                       |
|                                                                                                     | 10a Investments — U.S. and state government obligations (attach schedule) <b>Statement 4</b>                                     | 149,950.          | 109,647.       | 113,632.              |
|                                                                                                     | b Investments — corporate stock (attach schedule) <b>Statement 5</b>                                                             | 261,284.          | 263,557.       | 306,499.              |
|                                                                                                     | c Investments — corporate bonds (attach schedule)                                                                                |                   |                |                       |
|                                                                                                     | 11 Investments — land, buildings, and equipment basis                                                                            |                   |                |                       |
| Less accumulated depreciation (attach schedule)                                                     |                                                                                                                                  |                   |                |                       |
| 12 Investments — mortgage loans                                                                     |                                                                                                                                  |                   |                |                       |
| 13 Investments — other (attach schedule)                                                            |                                                                                                                                  |                   |                |                       |
| 14 Land, buildings, and equipment basis                                                             |                                                                                                                                  |                   |                |                       |
| Less accumulated depreciation (attach schedule)                                                     |                                                                                                                                  |                   |                |                       |
| 15 Other assets (describe )                                                                         |                                                                                                                                  |                   |                |                       |
| 16 <b>Total assets</b> (to be completed by all filers — see instructions. Also, see page 1, item I) | 586,072.                                                                                                                         | 553,240.          | 595,442.       |                       |
| <b>LIABILITIES</b>                                                                                  | 17 Accounts payable and accrued expenses                                                                                         |                   |                |                       |
|                                                                                                     | 18 Grants payable                                                                                                                |                   |                |                       |
|                                                                                                     | 19 Deferred revenue                                                                                                              |                   |                |                       |
|                                                                                                     | 20 Loans from officers, directors, trustees, & other disqualified persons                                                        |                   |                |                       |
|                                                                                                     | 21 Mortgages and other notes payable (attach schedule)                                                                           |                   |                |                       |
|                                                                                                     | 22 Other liabilities (describe )                                                                                                 |                   |                |                       |
|                                                                                                     | 23 <b>Total liabilities</b> (add lines 17 through 22)                                                                            | 0.                | 0.             |                       |
| <b>NET ASSETS OR FUND BALANCES</b>                                                                  | <b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b>                        |                   |                |                       |
|                                                                                                     | 24 Unrestricted                                                                                                                  |                   |                |                       |
|                                                                                                     | 25 Temporarily restricted                                                                                                        |                   |                |                       |
|                                                                                                     | 26 Permanently restricted                                                                                                        |                   |                |                       |
|                                                                                                     | <b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input checked="" type="checkbox"/> |                   |                |                       |
|                                                                                                     | 27 Capital stock, trust principal, or current funds                                                                              |                   |                |                       |
|                                                                                                     | 28 Paid-in or capital surplus, or land, building, and equipment fund                                                             |                   |                |                       |
|                                                                                                     | 29 Retained earnings, accumulated income, endowment, or other funds                                                              | 586,072.          | 553,240.       |                       |
|                                                                                                     | 30 <b>Total net assets or fund balances</b> (see the instructions)                                                               | 586,072.          | 553,240.       |                       |
|                                                                                                     | 31 <b>Total liabilities and net assets/fund balances</b> (see the instructions)                                                  | 586,072.          | 553,240.       |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|                                                                                                                                                              |   |          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---|----------|
| 1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 586,072. |
| 2 Enter amount from Part I, line 27a                                                                                                                         | 2 | -32,672. |
| 3 Other increases not included in line 2 (itemize)                                                                                                           | 3 |          |
| 4 Add lines 1, 2, and 3                                                                                                                                      | 4 | 553,400. |
| 5 Decreases not included in line 2 (itemize) <b>See Statement 6</b>                                                                                          | 5 | 160.     |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30                                                      | 6 | 553,240. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company) |  | (b) How acquired<br>P — Purchase<br>D — Donation | (c) Date acquired<br>(month day, year) | (d) Date sold<br>(month, day, year) |
|------------------------------------------------------------------------------------------------------------------------------------------|--|--------------------------------------------------|----------------------------------------|-------------------------------------|
| 1a See Statement 7                                                                                                                       |  |                                                  |                                        |                                     |
| b                                                                                                                                        |  |                                                  |                                        |                                     |
| c                                                                                                                                        |  |                                                  |                                        |                                     |
| d                                                                                                                                        |  |                                                  |                                        |                                     |
| e                                                                                                                                        |  |                                                  |                                        |                                     |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     |                                            |                                                 |                                              |
| b                     |                                            |                                                 |                                              |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e                     |                                            |                                                 |                                              |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                     | (i) Gains (Column (h)<br>gain minus column (k), but not less<br>than -0-) or Losses (from column (h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| (i) Fair Market Value<br>as of 12/31/69                                                     | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of column (i)<br>over column (j), if any |                                                                                                       |
| a                                                                                           |                                      |                                                     |                                                                                                       |
| b                                                                                           |                                      |                                                     |                                                                                                       |
| c                                                                                           |                                      |                                                     |                                                                                                       |
| d                                                                                           |                                      |                                                     |                                                                                                       |
| e                                                                                           |                                      |                                                     |                                                                                                       |

|                                                                                |                                                                                                                                                                                                              |  |   |          |
|--------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---|----------|
| 2 Capital gain net income or (net capital loss)                                | <div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7<br/>           If (loss), enter -0- in Part I, line 7         </div>                                    |  | 2 | -27,853. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) | <div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0-<br/>           in Part I, line 8         </div> |  | 3 | 4,354.   |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a)<br>Base period years<br>Calendar year (or tax year<br>beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of<br>noncharitable-use assets | (d)<br>Distribution ratio<br>(column (b) divided by column (c)) |
|-------------------------------------------------------------------------|------------------------------------------|-------------------------------------------------|-----------------------------------------------------------------|
| 2008                                                                    | 25,335.                                  | 603,641.                                        | 0.041970                                                        |
| 2007                                                                    | 38,218.                                  | 679,479.                                        | 0.056246                                                        |
| 2006                                                                    | 12,351.                                  | 486,291.                                        | 0.025398                                                        |
| 2005                                                                    | 100.                                     | 271,719.                                        | 0.000368                                                        |
| 2004                                                                    | 100.                                     | 268,349.                                        | 0.000373                                                        |

|                                                                                                                                                                                |   |          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|----------|
| 2 Total of line 1, column (d)                                                                                                                                                  | 2 | 0.124355 |
| 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.024871 |
| 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5                                                                                                 | 4 | 535,035. |
| 5 Multiply line 4 by line 3                                                                                                                                                    | 5 | 13,307.  |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   | 6 | 245.     |
| 7 Add lines 5 and 6                                                                                                                                                            | 7 | 13,552.  |
| 8 Enter qualifying distributions from Part XII, line 4                                                                                                                         | 8 | 25,503.  |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)**

|                                                                                                                                                                                                                                   |    |   |      |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---|------|
| 1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1<br>Date of ruling or determination letter _____ (attach copy of letter if necessary - see instr.) |    |   |      |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                      |    | 1 | 245. |
| c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)                                                                                                        |    |   |      |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                        |    | 2 | 0.   |
| 3 Add lines 1 and 2                                                                                                                                                                                                               |    | 3 | 245. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                      |    | 4 | 0.   |
| 5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-                                                                                                                                          |    | 5 | 245. |
| 6 Credits/Payments                                                                                                                                                                                                                |    |   |      |
| a 2009 estimated tax pmts and 2008 overpayment credited to 2009                                                                                                                                                                   | 6a |   |      |
| b Exempt foreign organizations – tax withheld at source                                                                                                                                                                           | 6b |   |      |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                             | 6c |   |      |
| d Backup withholding erroneously withheld                                                                                                                                                                                         | 6d |   |      |
| 7 Total credits and payments Add lines 6a through 6d                                                                                                                                                                              | 7  |   | 0.   |
| 8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                | 8  |   |      |
| 9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                    | 9  |   | 245. |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                      | 10 |   |      |
| 11 Enter the amount of line 10 to be Credited to 2010 estimated tax Refunded                                                                                                                                                      | 11 |   |      |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                     | Yes | No |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                            |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?<br><i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i> |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                              |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation \$ 0. (2) On foundation managers \$ 0.                                                                                                                                                                                 |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.                                                                                                                                                                                                        |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br><i>If 'Yes,' attach a detailed description of the activities</i>                                                                                                                                                                               |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>                                                                                                                 | X   |    |
| 4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                     |     | X  |
| b If 'Yes,' has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                                  | N/A |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br><i>If 'Yes,' attach the statement required by General Instruction T</i>                                                                                                                                                                         |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?                 | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>                                                                                                                                                                                                        | X   |    |
| 8 a Enter the states to which the foundation reports or with which it is registered (see the instructions)<br>NY                                                                                                                                                                                                                                    |     |    |
| b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>                                                                                                                                | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>                                                                                       |     | X  |
| 10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>                                                                                                                                                                                                        |     | X  |

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Form 990-PF (2009)

**Part VII-A Statements Regarding Activities Continued**

|    |                                                                                                                                                                                                                     |    |   |   |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)                             | 11 |   | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?                                                                                               | 12 |   | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address <u>N/A</u>                                                                   | 13 | X |   |
| 14 | The books are in care of <u>Marlene Brody</u> Telephone no <u></u><br>Located at <u>1301 BAY TERRACE NO. BAY VILLAGE FL</u> ZIP + 4 <u>33141</u>                                                                    |    |   |   |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u> |    |   |   |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Yes | No  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
| 1 a During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                           |     |     |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                       |     |     |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                               |     |     |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                   |     |     |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                         |     |     |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                |     |     |
| (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                               |     |     |
| b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>                                                                                                                                                           | 1 b | X   |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?                                                                                                                                                                                                                                                                                                        | 1 c | X   |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                  |     |     |
| a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>                                                                                                                                                                                        |     |     |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)                                                                                                                                                                                   | 2 b | N/A |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>                                                                                                                                                                                                                                                                                                                          |     |     |
| 3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                               |     |     |
| b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) | 3 b | N/A |
| 4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                              | 4 a | X   |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?                                                                                                                                                                                                                                                              | 4 b | X   |

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Form 990-PF (2009)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| See Statement 8      |                                                          | 0.                                        | 0.                                                                    | 0.                                    |
|                      |                                                          |                                           |                                                                       |                                       |
|                      |                                                          |                                           |                                                                       |                                       |
|                      |                                                          |                                           |                                                                       |                                       |
|                      |                                                          |                                           |                                                                       |                                       |
|                      |                                                          |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

| (a) Name and address of each employee paid more than \$50,000 | (b) Title and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| None                                                          |                                                          |                  |                                                                       |                                       |
|                                                               |                                                          |                  |                                                                       |                                       |
|                                                               |                                                          |                  |                                                                       |                                       |
|                                                               |                                                          |                  |                                                                       |                                       |
|                                                               |                                                          |                  |                                                                       |                                       |
|                                                               |                                                          |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services — (see instructions). If none, enter 'NONE'.

| (a) Name and address of each person paid more than \$50,000              | (b) Type of service | (c) Compensation |
|--------------------------------------------------------------------------|---------------------|------------------|
| None                                                                     |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
| Total number of others receiving over \$50,000 for professional services |                     | 0                |

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|       | Expenses |
|-------|----------|
| 1 N/A |          |
| 2     |          |
| 3     |          |
| 4     |          |

**Part IX-B** Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1 N/A                                                                                                            |        |
| 2                                                                                                                |        |
| All other program-related investments See instructions                                                           |        |
| 3                                                                                                                |        |
| Total. Add lines 1 through 3                                                                                     | 0.     |

BAA

Form 990-PF (2009)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|                                                                                                                     |           |          |
|---------------------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes |           |          |
| <b>a</b> Average monthly fair market value of securities                                                            | <b>1a</b> | 514,306. |
| <b>b</b> Average of monthly cash balances                                                                           | <b>1b</b> | 28,877.  |
| <b>c</b> Fair market value of all other assets (see instructions)                                                   | <b>1c</b> |          |
| <b>d Total</b> (add lines 1a, b, and c)                                                                             | <b>1d</b> | 543,183. |
| <b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)  | <b>1e</b> | 0.       |
| <b>2</b> Acquisition indebtedness applicable to line 1 assets                                                       | <b>2</b>  | 0.       |
| <b>3</b> Subtract line 2 from line 1d                                                                               | <b>3</b>  | 543,183. |
| <b>4</b> Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)   | <b>4</b>  | 8,148.   |
| <b>5 Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4        | <b>5</b>  | 535,035. |
| <b>6 Minimum investment return.</b> Enter 5% of line 5                                                              | <b>6</b>  | 26,752.  |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|                                                                                                           |           |         |
|-----------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b> Minimum investment return from Part X, line 6                                                    | <b>1</b>  | 26,752. |
| <b>2a</b> Tax on investment income for 2009 from Part VI, line 5                                          | <b>2a</b> | 245.    |
| <b>b</b> Income tax for 2009 (This does not include the tax from Part VI)                                 | <b>2b</b> |         |
| <b>c</b> Add lines 2a and 2b                                                                              | <b>2c</b> | 245.    |
| <b>3</b> Distributable amount before adjustments Subtract line 2c from line 1                             | <b>3</b>  | 26,507. |
| <b>4</b> Recoveries of amounts treated as qualifying distributions                                        | <b>4</b>  |         |
| <b>5</b> Add lines 3 and 4                                                                                | <b>5</b>  | 26,507. |
| <b>6</b> Deduction from distributable amount (see instructions)                                           | <b>6</b>  |         |
| <b>7 Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | <b>7</b>  | 26,507. |

**Part XII Qualifying Distributions** (see instructions)

|                                                                                                                                                              |           |         |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                                           |           |         |
| <b>a</b> Expenses contributions, gifts, etc. — total from Part I, column (d), line 26                                                                        | <b>1a</b> | 25,503. |
| <b>b</b> Program-related investments — total from Part IX-B                                                                                                  | <b>1b</b> |         |
| <b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                           | <b>2</b>  |         |
| <b>3</b> Amounts set aside for specific charitable projects that satisfy the                                                                                 |           |         |
| <b>a</b> Suitability test (prior IRS approval required)                                                                                                      | <b>3a</b> |         |
| <b>b</b> Cash distribution test (attach the required schedule)                                                                                               | <b>3b</b> |         |
| <b>4 Qualifying distributions</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4                                            | <b>4</b>  | 25,503. |
| <b>5</b> Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | <b>5</b>  | 245.    |
| <b>6 Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                                                      | <b>6</b>  | 25,258. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2008 | (c)<br>2008 | (d)<br>2009 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2009 from Part XI, line 7                                                                                                                       |               |                            |             | 26,507.     |
| <b>2</b> Undistributed income, if any, as of the end of 2009:                                                                                                                     |               |                            |             |             |
| <b>a</b> Enter amount for 2008 only                                                                                                                                               |               |                            | 22,994.     |             |
| <b>b</b> Total for prior years 20____, 20____, 20____                                                                                                                             |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2009                                                                                                                          |               |                            |             |             |
| <b>a</b> From 2004                                                                                                                                                                |               |                            |             |             |
| <b>b</b> From 2005                                                                                                                                                                |               |                            |             |             |
| <b>c</b> From 2006                                                                                                                                                                |               |                            |             |             |
| <b>d</b> From 2007                                                                                                                                                                |               |                            |             |             |
| <b>e</b> From 2008                                                                                                                                                                |               |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 0.            |                            |             |             |
| <b>4</b> Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 25,503.                                                                                                     |               |                            |             |             |
| <b>a</b> Applied to 2008, but not more than line 2a                                                                                                                               |               |                            | 22,994.     |             |
| <b>b</b> Applied to undistributed income of prior years (Election required — see instructions)                                                                                    |               | 0.                         |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required — see instructions)                                                                                            | 0.            |                            |             |             |
| <b>d</b> Applied to 2009 distributable amount                                                                                                                                     |               |                            |             | 2,509.      |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 0.            |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 0.            |                            |             | 0.          |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                         | 0.            |                            |             |             |
| <b>b</b> Prior years' undistributed income Subtract line 4b from line 2b                                                                                                          |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| <b>d</b> Subtract line 6c from line 6b Taxable amount — see instructions.                                                                                                         |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount — see instructions                                                                            |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010                                                                |               |                            |             | 23,998.     |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)                                  | 0.            |                            |             |             |
| <b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)                                                                              | 0.            |                            |             |             |
| <b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a                                                                                              | 0.            |                            |             |             |
| <b>10</b> Analysis of line 9                                                                                                                                                      |               |                            |             |             |
| <b>a</b> Excess from 2005                                                                                                                                                         |               |                            |             |             |
| <b>b</b> Excess from 2006                                                                                                                                                         |               |                            |             |             |
| <b>c</b> Excess from 2007                                                                                                                                                         |               |                            |             |             |
| <b>d</b> Excess from 2008                                                                                                                                                         |               |                            |             |             |
| <b>e</b> Excess from 2009                                                                                                                                                         |               |                            |             |             |

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

(4) Gross investment income

[illegible]

## 1 Information Regarding Foundation Managers:

- None

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                                        | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|---------|
| Name and address (home or business)              |                                                                                                           |                                |                                  |         |
| <b>a</b> Paid during the year<br>See Statement 9 |                                                                                                           |                                |                                  |         |
| <b>Total</b>                                     |                                                                                                           |                                | <b>3a</b>                        | 21,600. |
| <b>b</b> Approved for future payment             |                                                                                                           |                                |                                  |         |
| <b>Total</b>                                     |                                                                                                           |                                | <b>3b</b>                        |         |

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

| Enter gross amounts unless otherwise indicated |                                                          | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income<br>(see the instructions) |
|------------------------------------------------|----------------------------------------------------------|---------------------------|---------------|--------------------------------------|---------------|-----------------------------------------------------------------------|
|                                                |                                                          | (a)<br>Business<br>code   | (b)<br>Amount | (c)<br>Exclu-<br>sion<br>code        | (d)<br>Amount |                                                                       |
| 1                                              | Program service revenue                                  |                           |               |                                      |               |                                                                       |
| a                                              |                                                          |                           |               |                                      |               |                                                                       |
| b                                              |                                                          |                           |               |                                      |               |                                                                       |
| c                                              |                                                          |                           |               |                                      |               |                                                                       |
| d                                              |                                                          |                           |               |                                      |               |                                                                       |
| e                                              |                                                          |                           |               |                                      |               |                                                                       |
| f                                              |                                                          |                           |               |                                      |               |                                                                       |
| g                                              | Fees and contracts from government agencies              |                           |               |                                      |               |                                                                       |
| 2                                              | Membership dues and assessments                          |                           |               |                                      |               |                                                                       |
| 3                                              | Interest on savings and temporary cash investments       |                           |               | 14                                   | 5,713.        |                                                                       |
| 4                                              | Dividends and interest from securities                   |                           |               | 14                                   | 23,769.       |                                                                       |
| 5                                              | Net rental income or (loss) from real estate             |                           |               |                                      |               |                                                                       |
| a                                              | Debt-financed property                                   |                           |               |                                      |               |                                                                       |
| b                                              | Not debt-financed property                               |                           |               |                                      |               |                                                                       |
| 6                                              | Net rental income or (loss) from personal property       |                           |               |                                      |               |                                                                       |
| 7                                              | Other investment income                                  |                           |               |                                      |               |                                                                       |
| 8                                              | Gain or (loss) from sales of assets other than inventory |                           |               | 18                                   | -27,853.      |                                                                       |
| 9                                              | Net income or (loss) from special events                 |                           |               |                                      |               |                                                                       |
| 10                                             | Gross profit or (loss) from sales of inventory           |                           |               |                                      |               |                                                                       |
| 11                                             | Other revenue                                            |                           |               |                                      |               |                                                                       |
| a                                              |                                                          |                           |               |                                      |               |                                                                       |
| b                                              |                                                          |                           |               |                                      |               |                                                                       |
| c                                              |                                                          |                           |               |                                      |               |                                                                       |
| d                                              |                                                          |                           |               |                                      |               |                                                                       |
| e                                              |                                                          |                           |               |                                      |               |                                                                       |
| 12                                             | Subtotal. Add columns (b), (d), and (e)                  |                           |               |                                      | 1,629.        |                                                                       |
| 13                                             | <b>Total.</b> Add line 12, columns (b), (d), and (e)     |                           |               |                                      | 13            | 1,629.                                                                |

(See worksheet in the instructions for line 13 to verify calculations )

## **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



## JEROME AND MARLENE BRODY FOUNDATION, INC

14-1736554

**Statement 1**  
**Form 990-PF, Part I, Line 16a**  
**Legal Fees**

|                     | (a)<br>Expenses<br>Per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|---------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| LAVELLE & FINN, LLP | \$ 7,606.                    |                                 |                               | \$ 3,803.                     |
| Total               | <u>\$ 7,606.</u>             | <u>\$ 0.</u>                    | <u>\$ 0.</u>                  | <u>\$ 3,803.</u>              |

**Statement 2**  
**Form 990-PF, Part I, Line 18**  
**Taxes**

|                                 | (a)<br>Expenses<br>per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|---------------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| foreign taxes paid on dividends | \$ 148.                      | \$ 148.                         |                               |                               |
| INTERNAL FEDERAL EXCISE TAX     | 177.                         | 177.                            |                               |                               |
| Total                           | <u>\$ 325.</u>               | <u>\$ 325.</u>                  | <u>\$ 0.</u>                  | <u>\$ 0.</u>                  |

**Statement 3**  
**Form 990-PF, Part I, Line 23**  
**Other Expenses**

|                            | (a)<br>Expenses<br>per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|----------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| ml investment/consult fees | \$ 4,670.                    | \$ 4,670.                       |                               |                               |
| NYS DEPARTMENT OF LAW      | 100.                         |                                 |                               | \$ 100.                       |
| Total                      | <u>\$ 4,770.</u>             | <u>\$ 4,670.</u>                | <u>\$ 0.</u>                  | <u>\$ 100.</u>                |

**Statement 4**  
**Form 990-PF, Part II, Line 10a**  
**Investments - U.S. and State Government Obligations**

| <u>U.S. Government Obligations</u>    | <u>Valuation<br/>Method</u> | <u>Book<br/>Value</u> | <u>Fair Market<br/>Value</u> |
|---------------------------------------|-----------------------------|-----------------------|------------------------------|
| US GOVERNMENT SECURITIES-SEE ATTACHED | Cost                        | \$ 109,647.           | \$ 113,632.                  |
|                                       |                             | \$ 109,647.           | \$ 113,632.                  |
| Total                                 |                             | <u>\$ 109,647.</u>    | <u>\$ 113,632.</u>           |

## JEROME AND MARLENE BRODY FOUNDATION, INC

14-1736554

**Statement 5**  
**Form 990-PF, Part II, Line 10b**  
**Investments - Corporate Stocks**

| <u>Corporate Stocks</u>             | <u>Valuation<br/>Method</u> | <u>Book<br/>Value</u> | <u>Fair Market<br/>Value</u> |
|-------------------------------------|-----------------------------|-----------------------|------------------------------|
| MERRILL LYNCH EQUITIES-SEE ATTACHED | Cost                        | \$ 244,537.           | \$ 284,368.                  |
| MERRILL LYNCH EQUITIES-SEE ATTACHED | Cost                        | 19,020.               | 22,131.                      |
|                                     | Total                       | <u>\$ 263,557.</u>    | <u>\$ 306,499.</u>           |

**Statement 6**  
**Form 990-PF, Part III, Line 5**  
**Other Decreases**

|                                 |       |                |
|---------------------------------|-------|----------------|
| accrued interest reconciliation |       | \$ 160.        |
|                                 | Total | <u>\$ 160.</u> |

**Statement 7**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

| <u>Item</u> | <u>(a) Description</u>                   | <u>(b) How<br/>Acquired</u> | <u>(c) Date<br/>Acquired</u> | <u>(d) Date<br/>Sold</u> |
|-------------|------------------------------------------|-----------------------------|------------------------------|--------------------------|
| 1           | 1150 ML&COL CAPPED LIRN                  | Purchased                   | 9/26/2008                    | 1/26/2009                |
| 2           | 1920 SEK CAPPED LIRN                     | Purchased                   | 1/08/2009                    | 4/23/2009                |
| 3           | 1347.399 ING REAL ESTATE FD CL C         | Purchased                   | 3/25/2009                    | 4/23/2009                |
| 4           | 17 ING REAL ESTATE FD CL C               | Purchased                   | 4/03/2009                    | 4/23/2009                |
| 5           | 1 ING REAL ESTATE FD CL C                | Purchased                   | 4/06/2009                    | 4/23/2009                |
| 6           | 457.7530 BLACKROCK GLOBAL ALLOC C        | Purchased                   | 3/25/2009                    | 4/03/2009                |
| 7           | 1252 BLACKROCK GNMA C                    | Purchased                   | 3/31/2009                    | 4/03/2009                |
| 8           | 1355.3030 EVERGREEN ASSET ALLOC C        | Purchased                   | 3/18/2009                    | 6/08/2009                |
| 9           | 971.196 BLACKROCK MID CP GR C            | Purchased                   | 4/03/2009                    | 6/08/2009                |
| 10          | 1 BLACKROCK MID CP GR C                  | Purchased                   | 4/03/2009                    | 6/08/2009                |
| 11          | 1 BLACKROCK MID CP GR C                  | Purchased                   | 4/03/2009                    | 6/08/2009                |
| 12          | 759.088 PRINCIPAL HIGH YIELD C           | Purchased                   | 4/24/2009                    | 8/24/2009                |
| 13          | 1 PRINCIPAL HIGH YIELD C                 | Purchased                   | 5/01/2009                    | 8/24/2009                |
| 14          | 1 PRINCIPAL HIGH YIELD C                 | Purchased                   | 5/21/2009                    | 8/24/2009                |
| 15          | 6 PRINCIPAL HIGH YIELD C                 | Purchased                   | 5/29/2009                    | 8/24/2009                |
| 16          | 6 PRINCIPAL HIGH YIELD C                 | Purchased                   | 6/30/2009                    | 8/24/2009                |
| 17          | 6 PRINCIPAL HIGH YIELD C                 | Purchased                   | 7/31/2009                    | 8/24/2009                |
| 18          | 1 PRINCIPAL HIGH YIELD C                 | Purchased                   | 8/03/2009                    | 8/24/2009                |
| 19          | 955.8840 ING GNMA INC FD CL C            | Purchased                   | 12/09/2008                   | 3/25/2009                |
| 20          | 2 ING GNMA INC FD CL C                   | Purchased                   | 2/04/2009                    | 3/25/2009                |
| 21          | 3 ING GNMA INC FD CL C                   | Purchased                   | 1/06/2009                    | 3/25/2009                |
| 22          | 1 ING GNMA INC FD CL C                   | Purchased                   | 2/05/2009                    | 3/25/2009                |
| 23          | 2 ING GNMA INC FD CL C                   | Purchased                   | 1/06/2009                    | 3/25/2009                |
| 24          | 248.122 EVGN PREC METAL HLD CL C         | Purchased                   | 1/28/2009                    | 3/18/2009                |
| 25          | 603.334 BLACKROCK GNMA C                 | Purchased                   | 12/09/2008                   | 3/25/2009                |
| 26          | 1 BLACKROCK GNMA C                       | Purchased                   | 1/02/2009                    | 3/25/2009                |
| 27          | 1 BLACKROCK GNMA C                       | Purchased                   | 1/30/2009                    | 3/25/2009                |
| 28          | 1 BLACKROCK GNMA C                       | Purchased                   | 2/27/2009                    | 3/25/2009                |
| 29          | 1 BLACKROCK GLOBAL ALLOC C               | Purchased                   | 3/02/2009                    | 3/25/2009                |
| 30          | VARIOUS MERRILL LYNCH #XXX024-SEE DETAIL | Purchased                   | Various                      | Various                  |
| 31          | VARIOUS MERRILL LYNCH #XXX024-SEE DETAIL | Purchased                   | Various                      | Various                  |

## JEROME AND MARLENE BRODY FOUNDATION, INC

14-1736554

Statement 7 (continued)  
Form 990-PF, Part IV, Line 1  
Capital Gains and Losses for Tax on Investment Income

| Item  | (e)<br>Gross<br>Sales | (f)<br>Deprec.<br>Allowed | (g)<br>Cost<br>Basis | (h)<br>Gain<br>(Loss) | (i)<br>FMV<br>12/31/69 | (j)<br>Adj. Bas.<br>12/31/69 | (k)<br>Excess<br>(i) - (j) | (l)<br>Gain<br>(Loss) |
|-------|-----------------------|---------------------------|----------------------|-----------------------|------------------------|------------------------------|----------------------------|-----------------------|
| 1     | 10,908.               |                           | 11,500.              | -592.                 |                        |                              |                            | \$ -592.              |
| 2     | 17,990.               |                           | 19,200.              | -1,210.               |                        |                              |                            | -1,210.               |
| 3     | 10,079.               |                           | 8,327.               | 1,752.                |                        |                              |                            | 1,752.                |
| 4     | 127.                  |                           | 102.                 | 25.                   |                        |                              |                            | 25.                   |
| 5     | 8.                    |                           | 7.                   | 1.                    |                        |                              |                            | 1.                    |
| 6     | 6,101.                |                           | 6,170.               | -69.                  |                        |                              |                            | -69.                  |
| 7     | 13.                   |                           | 13.                  | 0.                    |                        |                              |                            | 0.                    |
| 8     | 13,187.               |                           | 11,669.              | 1,518.                |                        |                              |                            | 1,518.                |
| 9     | 7,089.                |                           | 6,225.               | 864.                  |                        |                              |                            | 864.                  |
| 10    | 7.                    |                           | 6.                   | 1.                    |                        |                              |                            | 1.                    |
| 11    | 7.                    |                           | 6.                   | 1.                    |                        |                              |                            | 1.                    |
| 12    | 5,532.                |                           | 4,889.               | 643.                  |                        |                              |                            | 643.                  |
| 13    | 7.                    |                           | 6.                   | 1.                    |                        |                              |                            | 1.                    |
| 14    | 7.                    |                           | 7.                   | 0.                    |                        |                              |                            | 0.                    |
| 15    | 44.                   |                           | 42.                  | 2.                    |                        |                              |                            | 2.                    |
| 16    | 44.                   |                           | 42.                  | 2.                    |                        |                              |                            | 2.                    |
| 17    | 44.                   |                           | 44.                  | 0.                    |                        |                              |                            | 0.                    |
| 18    | 7.                    |                           | 7.                   | 0.                    |                        |                              |                            | 0.                    |
| 19    | 8,259.                |                           | 8,106.               | 153.                  |                        |                              |                            | 153.                  |
| 20    | 17.                   |                           | 17.                  | 0.                    |                        |                              |                            | 0.                    |
| 21    | 26.                   |                           | 26.                  | 0.                    |                        |                              |                            | 0.                    |
| 22    | 9.                    |                           | 9.                   | 0.                    |                        |                              |                            | 0.                    |
| 23    | 26.                   |                           | 26.                  | 0.                    |                        |                              |                            | 0.                    |
| 24    | 11,669.               |                           | 10,900.              | 769.                  |                        |                              |                            | 769.                  |
| 25    | 6,130.                |                           | 5,997.               | 133.                  |                        |                              |                            | 133.                  |
| 26    | 10.                   |                           | 10.                  | 0.                    |                        |                              |                            | 0.                    |
| 27    | 10.                   |                           | 10.                  | 0.                    |                        |                              |                            | 0.                    |
| 28    | 10.                   |                           | 10.                  | 0.                    |                        |                              |                            | 0.                    |
| 29    | 10.                   |                           | 10.                  | 0.                    |                        |                              |                            | 0.                    |
| 30    | 0.                    |                           | 32,207.              | -32,207.              |                        |                              |                            | -32,207.              |
| 31    | 360.                  |                           | 0.                   | 360.                  |                        |                              |                            | 360.                  |
| Total |                       |                           |                      |                       |                        |                              |                            | \$ -27,853.           |

Statement 8  
Form 990-PF, Part VIII, Line 1  
List of Officers, Directors, Trustees, and Key Employees

| Name and Address                                               | Title and<br>Average Hours<br>Per Week Devoted | Compen-<br>sation | Contri-<br>bution to<br>EBP & DC | Expense<br>Account/<br>Other |
|----------------------------------------------------------------|------------------------------------------------|-------------------|----------------------------------|------------------------------|
| MARLENE BRODY<br>1301 bay terrace<br>no. bay village, FL 33141 | PRES/MEMBER<br>15.00                           | \$ 0.             | \$ 0.                            | \$ 0.                        |
| CARMI RAPPORT<br>436 UNION STREET<br>HUDSON, NY 12534          | Secretary<br>1.00                              | 0.                | 0.                               | 0.                           |

## JEROME AND MARLENE BRODY FOUNDATION, INC

14-1736554

**Statement 8 (continued)**  
**Form 990-PF, Part VIII, Line 1**  
**List of Officers, Directors, Trustees, and Key Employees**

| <u>Name and Address</u>                                                 | <u>Title and<br/>Average Hours<br/>Per Week Devoted</u> | <u>Compen-<br/>sation</u> | <u>Contri-<br/>bution to<br/>EBP &amp; DC</u> | <u>Expense<br/>Account/<br/>Other</u> |
|-------------------------------------------------------------------------|---------------------------------------------------------|---------------------------|-----------------------------------------------|---------------------------------------|
| FRANK SBLENDIDO<br>349 LEGETT ROAD<br>GHENT, NY 12075                   | Treasurer<br>10.00                                      | \$ 0.                     | \$ 0.                                         | \$ 0.                                 |
| ANNE MESSITTE RAPPORT<br>179 EAST 70TH STREET-17B<br>NEW YORK, NY 10021 | Director<br>0                                           | 0.                        | 0.                                            | 0.                                    |
| MELANIE BRANDSTON<br>PO BOX 28<br>HOLLOWVILLE, NY 12530                 | Director<br>0                                           | 0.                        | 0.                                            | 0.                                    |
| JOHN H. LAVELLE<br>29 BRITISH AMERICAN BLVD.<br>LATHAM, NY 12110        | DIRECTOR/MEMBER<br>0                                    | 0.                        | 0.                                            | 0.                                    |
| Total                                                                   |                                                         | \$ 0.                     | \$ 0.                                         | \$ 0.                                 |

**Statement 9**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

| <u>Name and Address</u>                                                                       | <u>Donee<br/>Relationship</u> | <u>Found-<br/>ation<br/>Status</u> | <u>Purpose of<br/>Grant</u> | <u>Amount</u> |
|-----------------------------------------------------------------------------------------------|-------------------------------|------------------------------------|-----------------------------|---------------|
| NATIONAL MUSEUM OF RACING &<br>HALL OF FAME<br>191 UNION AVENUE<br>SARATOGA SPRINGS, NY 12866 | NONE                          | 501(C) (3)                         | PROGRAM SUPPORT             | \$ 1,500.     |
| THE NATIONAL MENINGITIS<br>ASSOCIATION, INC<br>738 ROBINSON FARMS DRIVE<br>MARIETTA, GA 30068 | NONE                          | 501(C) (3)                         | MEDICAL RESEARCH            | 5,000.        |
| EMPIRE STATE YOUTH<br>ORCHESTRA<br>432 STATE STREET, SUITE 230<br>SCHENCTADY, NY 12305        | NONE                          | 501(C) (3)                         | ARTS                        | 1,000.        |
| SANGAM PLACE<br>504 GRAND STREET, APT. H63<br>NEW YORK, NY 10002                              | NONE                          | 501(C) (3)                         | ARTS                        | 1,000.        |
| DOCTORS WITHOUT BORDERS<br>333 7TH AVENUE, 2ND FLOOR<br>NEW YORK, NY 10001                    | NONE                          | 501(C) (3)                         | MEDICAL AID                 | 1,000.        |

JEROME AND MARLENE BRODY FOUNDATION, INC

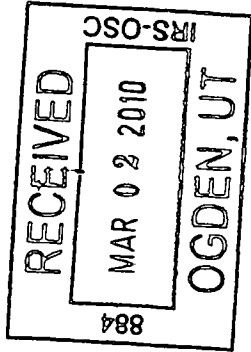
14-1736554

Statement 9 (continued)  
Form 990-PF, Part XV, Line 3a  
Recipient Paid During the Year

| <u>Name and Address</u>                                                 | <u>Donee<br/>Relationship</u> | <u>Found-<br/>ation<br/>Status</u> | <u>Purpose of<br/>Grant</u> | <u>Amount</u>     |
|-------------------------------------------------------------------------|-------------------------------|------------------------------------|-----------------------------|-------------------|
| DARTMOUTH COLLEGE MEDICAL<br>CENTER<br>HANOVER, NH                      | NONE                          | 501 (C) (3)                        | MEDICAL RESEARCH            | \$ 10,000.        |
| PERMANENTLY DISABLE JOCKEYS<br>FUND<br>PO BOX 803<br>ELMHURST, IL 60126 | NONE                          | 501 (C) (3)                        | MEDICAL RESEARCH            | 1,000.            |
| THE OLANA PARTNERSHIP<br>PO BOX 199<br>HUDSON, NY 12534                 | NONE                          | 501 (C) (3)                        | Program Support             | 1,000.            |
| AMERICAN DIABETES<br>ASSOCIATION<br>PO BOX 7023<br>MERRIFIELD, VA 22116 | NONE                          | 501 (C) (3)                        | Program Support             | 100.              |
| Total                                                                   |                               |                                    |                             | \$ <u>21,600.</u> |

**JEROME & MARLENE BRODY FOUNDATION**  
**14-1736554**  
**2009 FORM 990-PF**

**ATTACHMENT**  
**STATEMENTS 4 & 5 DETAIL**



Foundation

24-Hour Assistance: (800) MERRILL

## YOUR EMA BANK DEPOSIT INTEREST SUMMARY

December 01, 2009 - December 31, 2009

| Money Account Description            | Opening Balance | Average Deposit Balance | Current Yield% | Interest on Deposits | Closing Balance |
|--------------------------------------|-----------------|-------------------------|----------------|----------------------|-----------------|
| FIA Card Services, N.A               | 1,890           | 1,913                   | 10             | 0.15                 | <b>1,946</b>    |
| <b>TOTAL ML Bank Deposit Program</b> | <b>1,890</b>    |                         |                | <b>0.15</b>          | <b>1,946</b>    |

## YOUR EMA ASSETS

| CASH/MONEY ACCOUNTS     | Description | Quantity | Total Cost Basis | Estimated Market Price | Estimated Market Value | Estimated Annual Income | Est. Annual Yield% |
|-------------------------|-------------|----------|------------------|------------------------|------------------------|-------------------------|--------------------|
| CASH                    |             | 1.13     | 1.13             |                        | <b>1.13</b>            |                         |                    |
| ML BANK DEPOSIT PROGRAM |             | 1,946.00 | 1,946.00         | 1.0000                 | <b>1,946.00</b>        | <b>2</b>                | .10                |
| <b>TOTAL</b>            |             |          | <b>1,947.13</b>  |                        | <b>1,947.13</b>        | <b>2</b>                | .10                |

## MUTUAL FUNDS/CLOSED END FUNDS/UIT

| Description                                                                                                                | Quantity | Total Client Investment | Cumulative Investment Return | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Estimated Current Yield% |
|----------------------------------------------------------------------------------------------------------------------------|----------|-------------------------|------------------------------|------------------|------------------------|------------------------|------------------------|-------------------------|--------------------------|
| AMERICAN CENTURY INTL BOND FUND CL C<br>SYMBOL: AIQCX Initial Purchase 04/24/09 4780 Fractional Share<br>Fixed Income 100% | 877      | 11,495                  | 1,177                        | 11,908.90        | 14.4500                | <b>12,672.65</b>       | 763.75                 | <b>428</b>              | 3.37                     |
| BLACKROCK GNMA C<br>SYMBOL: BGPCX Initial Purchase 06/08/09 1590 Fractional Share<br>Fixed Income 100%                     | 733      | 7,100                   | 288                          | 7,333.75         | 10.0800                | <b>7,388.64</b>        | 54.89                  | <b>215</b>              | 2.90                     |
| EVERGREEN PRECIOUS METAL HOLDINGS CL C<br>SYMBOL: EKWXC Initial Purchase 06/08/09 6460 Fractional Share<br>Equity 100%     | 234      | 13,150                  | 2,405                        | 13,150.80        | 66.4800                | <b>15,556.32</b>       | 2,405.52               | <b>1</b>                | 2.90                     |
| GABELLI GL GLD NR & INC RES AND INCM TR CO<br>SYMBOL: GGN Initial Purchase 08/25/09                                        | 400      | 5,869                   | 662                          | 5,869.28         | 16.3300                | <b>6,532.00</b>        | 662.72                 | <b>672</b>              | 10.28                    |

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2 of 7



Foundation

TOTAL MERRILL\*

## YOUR EMA ASSETS

December 01, 2009 - December 31, 2009

## MUTUAL FUNDS/CLOSED END FUNDS/UIT

| (continued)<br>Description                                  | Quantity | Total Client<br>Investment | Cumulative<br>Investment Return | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) | Estimated Current<br>Annual Income | Yield% |
|-------------------------------------------------------------|----------|----------------------------|---------------------------------|---------------------|---------------------------|---------------------------|---------------------------|------------------------------------|--------|
| Equity 100%                                                 |          |                            |                                 |                     |                           |                           |                           |                                    |        |
| ING GNMA INCOME<br>FUND CL C                                | 1,207    | 10,207                     | 221                             | 10,440.62           | 8.6400                    | 10,428.48                 | (12.14)                   | 342                                | 3.27   |
| SYMBOL LEGNX Initial Purchase:04/23/09<br>Fixed Income 100% |          | 7840 Fractional Share      |                                 | N/A                 | 8.6400                    | 6.77                      | N/A                       | 1                                  | 3.27   |
| Subtotal (Fixed Income)                                     |          |                            |                                 | 29683               |                           | 30,505.04                 |                           |                                    |        |
| Subtotal (Equities)                                         |          |                            |                                 | 19020               |                           | 22,131.26 ✓               |                           |                                    |        |
| <b>TOTAL</b>                                                |          |                            | 4.753                           | 48,703.35           |                           | 52,636.30                 | 3,874.74                  | 1,660                              | 3.15   |

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment

**Initial Purchase:** Date of your initial investment in this fund.

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

## LONG PORTFOLIO

| Adjusted/Total<br>Cost Basis | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) | Estimated<br>Accrued Interest | Estimated<br>Annual Income | Current<br>Yield% |
|------------------------------|---------------------------|---------------------------|-------------------------------|----------------------------|-------------------|
| 50,650.48                    | 54,583.43                 | 3,874.74                  |                               | 1,661                      | 3.04              |
| <b>TOTAL</b>                 |                           |                           |                               |                            |                   |

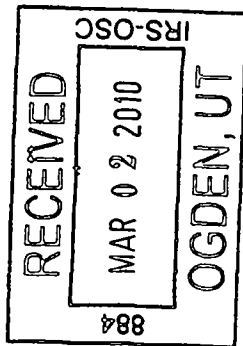
Total values exclude N/A items

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3 of 7



Allianz Multi CDPIII

## MERRILL LYNCH CONSULTS SERVICE

December 01, 2009 - December 31, 2009

### YOUR INVESTMENT MANAGER - ALLIANZ MULTI CDP III - CLOSED

We encourage you to contact your Financial Advisor whenever there are changes in your particular situation or objectives. These changes can then be discussed directly with your Investment Manager. We also encourage you to discuss your portfolio at least annually with your Investment Manager. Your Financial Advisor would be pleased to arrange such discussions.

## YOUR EMA BANK DEPOSIT INTEREST SUMMARY

| Money Account Description            | Opening Balance | Average Deposit Balance | Current Yield% | Interest on Deposits | Closing Balance |
|--------------------------------------|-----------------|-------------------------|----------------|----------------------|-----------------|
| FIA Card Services, N.A.              | 27,716          | 27,424                  | .40            | 9.20                 | 32,385          |
| <b>TOTAL ML Bank Deposit Program</b> | 27,716          |                         |                | 9.20                 | 32,385          |

## YOUR EMA ASSETS

| CASH/MONEY ACCOUNTS     | Quantity  | Total Cost Basis | Estimated Market Price | Estimated Market Value | Estimated Annual Income | Est. Annual Yield% |
|-------------------------|-----------|------------------|------------------------|------------------------|-------------------------|--------------------|
| CASH                    | 680.21    | 680.21           |                        | 680.21                 |                         |                    |
| ML BANK DEPOSIT PROGRAM | 32,385.00 | 32,385.00        | 1.0000                 | 32,385.00              | 130                     | .40                |
| CMA MONEY FUND          | 2,340.00  | 2,340.00         | 1.0000                 | 2,340.00               | 2                       | 10                 |
| <b>TOTAL</b>            |           | 35,405.21        |                        | 35,405.21              | 132                     | .38                |

### GOVERNMENT AND AGENCY SECURITIES<sup>1</sup>

| Description                                                                        | Acquired           | Quantity | Adjusted/Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Accrued Interest | Estimated Annual Income | Estimated Current Yield% |
|------------------------------------------------------------------------------------|--------------------|----------|---------------------------|------------------------|------------------------|------------------------|----------------------------|-------------------------|--------------------------|
| Δ U.S. TRSY INFLATION NOTE<br>2.375% APR 15 2011 INFL ADJ PRIN<br>CUSIP: 912828FB1 | 03/26/09<br>17,425 | 16,000   | 17,749.38                 | 102.9530               | 17,940.01              | 190.63                 | 80.38                      | 414                     | 2.30                     |
| ORIGINAL UNIT/TOTAL COST:                                                          | 109.5160/17,522.56 |          |                           |                        |                        |                        |                            |                         |                          |
| Δ U.S. TRSY INFLATION NOTE<br>INFL ADJ PRIN 1.089                                  | 09/15/09<br>1,089  | 1,000    | 1,115.82                  | 102.9530               | 1,121.25               | 5.43                   | 5.02                       | 26                      | 2.30                     |
| ORIGINAL UNIT/TOTAL COST:                                                          | 111.8580/1,118.58  |          |                           |                        |                        |                        |                            |                         |                          |
| Subtotal                                                                           |                    | 17,000   | 18,865.20                 |                        | 19,061.26              | 196.06                 | 85.40                      | 440                     | 2.30                     |





Allianz Multi CDPIII

## YOUR EMA ASSETS

TOTAL MERRILL®

December 01, 2009 - December 31, 2009

| GOVERNMENT AND AGENCY SECURITIES <sup>1</sup> (continued)                                     | Acquired | Quantity | Adjusted/Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) | Estimated<br>Accrued Interest | Estimated Current<br>Annual Income | Yield% |
|-----------------------------------------------------------------------------------------------|----------|----------|------------------------------|---------------------------|---------------------------|---------------------------|-------------------------------|------------------------------------|--------|
| Δ U.S. TRSY INFLATION NOTE<br>3.00% JUL 15/2012 INFL ADJ/PRIN 1,202<br>CUSIP 912828A17        | 05/09/05 | 1,000    | 1,243.63                     | 107.5160                  | 1,292.64                  | 49.01                     | 13.78                         | 37                                 | 2.79   |
| ORIGINAL UNIT/TOTAL COST 118.1750/1.18175                                                     |          |          |                              |                           |                           |                           |                               |                                    |        |
| Δ U.S. TRSY INFLATION NOTE<br>INFL ADJ/PRIN 3.606                                             | 01/06/06 | 3,000    | 3,688.24                     | 107.5160                  | 3,877.93                  | 189.69                    | 41.33                         | 109                                | 2.79   |
| ORIGINAL UNIT/TOTAL COST 117.2110/3.51633                                                     |          |          |                              |                           |                           |                           |                               |                                    |        |
| Δ U.S. TRSY INFLATION NOTE<br>INFL ADJ/PRIN 2,404                                             | 01/17/07 | 2,000    | 2,431.14                     | 107.5160                  | 2,585.28                  | 154.14                    | 27.55                         | 73                                 | 2.79   |
| ORIGINAL UNIT/TOTAL COST 114.8950/2.29790                                                     |          |          |                              |                           |                           |                           |                               |                                    |        |
| Δ U.S. TRSY INFLATION NOTE<br>INFL ADJ/PRIN 8,415                                             | 09/11/08 | 7,000    | 8,780.09                     | 107.5160                  | 9,048.50                  | 268.41                    | 96.44                         | 253                                | 2.79   |
| ORIGINAL UNIT/TOTAL COST 129.7018/9.07913                                                     |          |          |                              |                           |                           |                           |                               |                                    |        |
| Δ U.S. TRSY INFLATION NOTE<br>INFL ADJ/PRIN 1,202                                             | 09/15/09 | 1,000    | 1,270.66                     | 107.5160                  | 1,292.64                  | 21.98                     | 13.78                         | 37                                 | 2.79   |
| ORIGINAL UNIT/TOTAL COST 127.4720/1.27472                                                     |          |          |                              |                           |                           |                           |                               |                                    |        |
| Subtotal                                                                                      |          | 14,000   | 17,413.76                    |                           | <b>18,096.99</b>          | 683.23                    | 192.88                        | <b>509</b>                         | 2.79   |
| Δ U.S. TREASURY NOTE<br>4.000% FEB 15/2015 CUSIP 912828DM9                                    | 09/08/09 | 2,000    | 2,139.22                     | 106.2810                  | 2,125.62                  | (13.60)                   | 30.00                         | 80                                 | 3.76   |
| ORIGINAL UNIT/TOTAL COST 107.3515/2.14703                                                     |          |          |                              |                           |                           |                           |                               |                                    |        |
| Δ U.S. TREASURY NOTE<br>ORIGINAL UNIT/TOTAL COST 107.2300/1.07230                             | 09/15/09 | 1,000    | 1,068.69                     | 106.2810                  | 1,062.81                  | (5.88)                    | 15.00                         | 40                                 | 3.76   |
| Δ U.S. TREASURY NOTE<br>ORIGINAL UNIT/TOTAL COST 107.5937/4.30375                             | 09/28/09 | 4,000    | 4,290.31                     | 106.2810                  | 4,251.24                  | (39.07)                   | 60.00                         | 160                                | 3.76   |
| Subtotal                                                                                      |          | 7,000    | 7,498.22                     |                           | <b>7,439.67</b>           | (58.55)                   | 105.00                        | <b>280</b>                         | 3.76   |
| FNMA P735580 05%2035<br>AMORTIZED FACTOR 0.570719610 AMORTIZED VALUF 5.707<br>CUSIP 31402RFV6 | 05/16/08 | 10,000   | 5,623.38                     | 102.9427                  | <b>5,875.14</b>           | 251.76                    | 23.80                         | <b>286</b>                         | 4.85   |

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3 of 52

Approved: \_\_\_\_\_

December 01, 2009 - December 31, 2009

**PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.**

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## YOUR EMA ASSETS

TOTAL MERRILL\*

December 01, 2009 - December 31, 2009

| EQUITIES (continued)     |  | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) Annual | Estimated Current<br>Income | Yield% |
|--------------------------|--|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|----------------------------------|-----------------------------|--------|
| Description              |  |        |          |          |                    |                     |                           |                           |                                  |                             |        |
| ABBOTT LABS              |  | ABT    | 01/12/07 | 2        | 50.3700            | 100.74              | 53.9900                   | 107.98                    | 7.24                             | 4                           | 2.96   |
|                          |  |        | 01/17/07 | 15       | 51.4900            | 772.35              | 53.9900                   | 809.85                    | 37.50                            | 24                          | 2.96   |
|                          |  |        | 01/18/08 | 5        | 59.8900            | 299.45              | 53.9900                   | 269.95                    | (29.50)                          | 8                           | 2.96   |
|                          |  |        | 07/09/08 | 5        | 57.4560            | 287.28              | 53.9900                   | 269.95                    | (17.33)                          | 8                           | 2.96   |
|                          |  |        | 03/05/09 | 8        | 45.9900            | 367.92              | 53.9900                   | 431.92                    | 64.00                            | 13                          | 2.96   |
| Subtotal                 |  |        |          | 35       |                    | 1,827.74            |                           | 1,889.65                  | 61.91                            | 57                          | 2.96   |
| ACCENTURE PLC SHS        |  | ACN    | 05/06/09 | 38       | 30.2226            | 1,148.46            | 41.5000                   | 1,577.00                  | 428.54                           | 29                          | 1.80   |
| ADOBE SYS DEL PV\$ 0.001 |  | ADBE   | 04/30/09 | 10       | 27.3390            | 273.39              | 36.7800                   | 367.80                    | 94.41                            |                             |        |
|                          |  |        | 05/01/09 | 32       | 27.2287            | 871.32              | 36.7800                   | 1,176.96                  | 305.64                           |                             |        |
|                          |  |        | 07/22/09 | 13       | 31.3476            | 407.52              | 36.7800                   | 478.14                    | 70.62                            |                             |        |
| Subtotal                 |  |        |          | 55       |                    | 1,552.23            |                           | 2,022.90                  | 470.67                           |                             |        |
| AIR LIQUIDE ADR          |  | AIQY   | 09/17/08 | 27       | 23.3966            | 631.71              | 23.8900                   | 645.03                    | 13.32                            | 12                          | 1.83   |
|                          |  |        | 03/05/09 | 16       | 14.6100            | 233.76              | 23.8900                   | 382.24                    | 148.48                           | 8                           | 1.83   |
| Subtotal                 |  |        |          | 43       |                    | 865.47              |                           | 1,027.27                  | 161.80                           | 20                          | 1.83   |
| AKZO NOBEL N V SPNSD ADR |  | AKZOY  | 09/16/08 | 4        | 57.8300            | 231.32              | 66.3000                   | 265.20                    | 33.88                            | 8                           | 2.92   |
|                          |  |        | 09/24/08 | 3        | 56.5033            | 169.51              | 66.3000                   | 198.90                    | 29.39                            | 6                           | 2.92   |
|                          |  |        | 03/05/09 | 17       | 32.8000            | 557.60              | 66.3000                   | 1,127.10                  | 569.50                           | 33                          | 2.92   |
| Subtotal                 |  |        |          | 24       |                    | 958.43              |                           | 1,591.20                  | 632.77                           | 47                          | 2.92   |
| ALLERGAN INC             |  | AGN    | 11/04/09 | 10       | 58.0830            | 580.83              | 63.0100                   | 630.10                    | 49.27                            | 2                           | .31    |
|                          |  |        | 11/05/09 | 10       | 58.7950            | 587.95              | 63.0100                   | 630.10                    | 42.15                            | 2                           | .31    |
| Subtotal                 |  |        |          | 20       |                    | 1,168.78            |                           | 1,260.20                  | 91.42                            | 4                           | .31    |
| ALLSTATE CORP DEL COM    |  | ALL    | 03/15/06 | 5        | 54.6560            | 273.28              | 30.0400                   | 150.20                    | (123.08)                         | 4                           | 2.66   |
|                          |  |        | 03/21/06 | 5        | 53.6900            | 268.45              | 30.0400                   | 150.20                    | (118.25)                         | 4                           | 2.66   |
|                          |  |        | 01/17/07 | 5        | 64.5500            | 322.75              | 30.0400                   | 150.20                    | (172.55)                         | 4                           | 2.66   |
|                          |  |        | 06/19/07 | 5        | 62.0840            | 310.42              | 30.0400                   | 150.20                    | (160.22)                         | 4                           | 2.66   |
|                          |  |        | 02/19/08 | 15       | 46.9400            | 704.10              | 30.0400                   | 450.60                    | (253.50)                         | 12                          | 2.66   |
|                          |  |        | 03/05/09 | 55       | 14.1998            | 780.99              | 30.0400                   | 1,652.20                  | 871.21                           | 44                          | 2.66   |
| Subtotal                 |  |        |          | 90       |                    | 2,659.99            |                           | 2,703.60                  | 43.61                            | 72                          | 2.66   |

## YOUR EMA ASSETS

December 01, 2009 - December 31, 2009

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss)/Annual Income | Current<br>Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|-----------------------------------------|-------------------|
| ALTRIA GROUP INC                    | MO     | 04/10/08 | 58       | 21.4906            | 1,246.46            | 19.6300                   | 1,138.54                  | (107.92)                                | 79 6.92           |
|                                     |        | 03/05/09 | 20       | 15.5700            | 311.40              | 19.6300                   | 392.60                    | 81.20                                   | 28 6.92           |
|                                     |        | 03/10/09 | 39       | 16.1871            | 631.30              | 19.6300                   | 765.57                    | 134.27                                  | 54 6.92           |
|                                     |        | 03/11/09 | 47       | 16.4393            | 772.65              | 19.6300                   | 922.61                    | 149.96                                  | 64 6.92           |
|                                     |        | 05/15/09 | 34       | 17.1217            | 582.14              | 19.6300                   | 667.42                    | 85.28                                   | 47 6.92           |
| Subtotal                            |        |          | 198      |                    | 3,543.95            |                           | 3,886.74                  | 342.79                                  | 272 6.92          |
| AMAZON COM INC COM                  | AMZN   | 12/04/08 | 13       | 49.7761            | 647.09              | 134.5200                  | 1,748.76                  | 1,101.67                                |                   |
|                                     |        | 03/05/09 | 4        | 64.7300            | 258.92              | 134.5200                  | 538.08                    | 279.16                                  |                   |
| Subtotal                            |        |          | 17       |                    | 906.01              |                           | 2,286.84                  | 1,380.83                                |                   |
| AMER EXPRESS COMPANY                | AXP    | 07/21/09 | 42       | 29.2080            | 1,226.74            | 40.5200                   | 1,701.84                  | 475.10                                  | 31 1.77           |
| AMEREN CORP                         | AEE    | 09/26/07 | 10       | 52.9430            | 529.43              | 27.9500                   | 279.50                    | (249.93)                                | 16 5.50           |
|                                     |        | 09/27/07 | 15       | 53.0693            | 796.04              | 27.9500                   | 419.25                    | (376.79)                                | 24 5.50           |
|                                     |        | 02/19/08 | 15       | 44.3500            | 665.25              | 27.9500                   | 419.25                    | (246.00)                                | 24 5.50           |
|                                     |        | 03/05/09 | 27       | 20.5351            | 554.45              | 27.9500                   | 754.65                    | 200.20                                  | 42 5.50           |
| Subtotal                            |        |          | 67       |                    | 2,545.17            |                           | 1,872.65                  | (672.52)                                | 106 5.50          |
| AMERICA MOVIL SAB DE CV             | AMX    | 09/03/08 | 10       | 50.8900            | 508.90              | 46.9800                   | 469.80                    | (39.10)                                 | 5 .96             |
| ADR                                 |        |          |          |                    |                     |                           |                           |                                         |                   |
| AMERICAN FINL GRP HLDGS             | AFG    | 08/23/06 | 4        | 27.8600            | 111.44              | 24.9500                   | 99.80                     | (11.64)                                 | 3 2.08            |
|                                     |        | 01/17/07 | 15       | 35.3700            | 530.55              | 24.9500                   | 374.25                    | (156.30)                                | 8 2.08            |
|                                     |        | 06/19/07 | 5        | 35.0200            | 175.10              | 24.9500                   | 124.75                    | (50.35)                                 | 3 2.08            |
|                                     |        | 02/19/08 | 15       | 27.3600            | 410.40              | 24.9500                   | 374.25                    | (36.15)                                 | 8 2.08            |
|                                     |        | 03/05/09 | 32       | 13.9000            | 444.80              | 24.9500                   | 798.40                    | 353.60                                  | 17 2.08           |
| Subtotal                            |        |          | 71       |                    | 1,672.29            |                           | 1,771.45                  | 99.16                                   | 39 2.08           |
| AMGEN INC COM PV \$0.0001           | AMGN   | 06/29/09 | 30       | 53.0243            | 1,590.73            | 56.5700                   | 1,697.10                  | 106.37                                  |                   |
| ANNALY CAP MGMT INC                 | NLY    | 07/15/08 | 50       | 14.4058            | 720.29              | 17.3500                   | 867.50                    | 147.21                                  | 150 17.29         |
|                                     |        | 03/18/09 | 44       | 14.5909            | 642.00              | 17.3500                   | 763.40                    | 121.40                                  | 132 17.29         |
|                                     |        | 03/24/09 | 65       | 14.6175            | 950.14              | 17.3500                   | 1,127.75                  | 177.61                                  | 195 17.29         |
| Subtotal                            |        |          | 159      |                    | 2,312.43            |                           | 2,758.65                  | 446.22                                  | 477 17.29         |
| AOL INC                             | AOL    | 05/01/09 | 4        | 19.2025            | 76.81               | 23.2800                   | 93.12                     | 16.31                                   |                   |

## YOUR EMA ASSETS



TOTAL MERRILL®

December 01, 2009 - December 31, 2009

| Equities (continued)<br>Description                                            | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) Annual | Estimated Current<br>Income Yield% |
|--------------------------------------------------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|----------------------------------|------------------------------------|
| APPLE INC                                                                      | AAPL   | 03/24/08 | 3        | 139.4166           | 418.25              | 210.7320                  | 632.19                    | 213.94                           |                                    |
|                                                                                |        | 10/22/08 | 5        | 98.1520            | 490.76              | 210.7320                  | 1,053.66                  | 562.90                           |                                    |
|                                                                                |        | 11/24/08 | 4        | 90.2150            | 360.86              | 210.7320                  | 842.92                    | 482.06                           |                                    |
|                                                                                |        | 12/09/08 | 3        | 100.9766           | 302.93              | 210.7320                  | 632.19                    | 329.26                           |                                    |
|                                                                                |        | 03/05/09 | 5        | 88.9200            | 444.60              | 210.7320                  | 1,053.66                  | 609.06                           |                                    |
| Subtotal                                                                       |        |          | 20       |                    | 2,017.40            |                           | 4,214.62                  | 2,197.22                         |                                    |
| AT&T INC                                                                       | T      | 04/08/05 | 2        | 25.1700            | 50.34               | 28.0300                   | 56.06                     | 5.72                             | 4 5.99                             |
|                                                                                |        | 12/08/05 | 4        | 24.7850            | 99.14               | 28.0300                   | 112.12                    | 12.98                            | 7 5.99                             |
|                                                                                |        | 01/05/06 | 35       | 24.9900            | 874.65              | 28.0300                   | 981.05                    | 106.40                           | 59 5.99                            |
|                                                                                |        | 01/17/07 | 10       | 34.4900            | 344.90              | 28.0300                   | 280.30                    | (64.60)                          | 17 5.99                            |
| Subtotal                                                                       |        |          | 51       |                    | 1,369.03            |                           | 1,429.53                  | 60.50                            | 87 5.99                            |
| ATMOS ENERGY CORP COM                                                          | ATO    | 12/24/07 | 5        | 28.6140            | 143.07              | 29.4000                   | 147.00                    | 3.93                             | 7 4.55                             |
|                                                                                |        | 12/26/07 | 10       | 28.4010            | 284.01              | 29.4000                   | 294.00                    | 9.99                             | 14 4.55                            |
|                                                                                |        | 12/27/07 | 15       | 28.2286            | 423.43              | 29.4000                   | 441.00                    | 17.57                            | 21 4.55                            |
|                                                                                |        | 12/28/07 | 15       | 28.2380            | 423.57              | 29.4000                   | 441.00                    | 17.43                            | 21 4.55                            |
| Subtotal                                                                       |        |          | 45       |                    | 1,274.08            |                           | 1,323.00                  | 48.92                            | 63 4.55                            |
| BANCO SANTANDER BRZL SA<br>AMERICN DEPOSITARY SHARS<br>REPRESENTING 1 ORDINARY | BSBR   | 12/21/09 | 37       | 13.5656            | 501.93              | 13.9400                   | 515.78                    | 13.85                            | 4 .66                              |
| BANK HAWAII CORP                                                               | BOH    | 08/16/07 | 10       | 50.7420            | 507.42              | 47.0600                   | 470.60                    | (36.82)                          | 18 3.82                            |
|                                                                                |        | 02/19/08 | 10       | 47.6600            | 476.60              | 47.0600                   | 470.60                    | (6.00)                           | 18 3.82                            |
|                                                                                |        | 03/05/09 | 17       | 26.3900            | 448.63              | 47.0600                   | 800.02                    | 351.39                           | 31 3.82                            |
| Subtotal                                                                       |        |          | 37       |                    | 1,432.65            |                           | 1,741.22                  | 308.57                           | 67 3.82                            |
| BARNES GROUP INC DE \$ 01                                                      | B      | 03/05/09 | 66       | 8.1743             | 539.51              | 16.9000                   | 1,115.40                  | 575.89                           | 22 1.89                            |
| BAXTER INTERNTL INC                                                            | BAX    | 01/17/07 | 10       | 48.2910            | 482.91              | 58.6800                   | 586.80                    | 103.89                           | 12 1.97                            |
|                                                                                |        | 09/16/08 | 5        | 68.3240            | 341.62              | 58.6800                   | 293.40                    | (48.22)                          | 6 1.97                             |
|                                                                                |        | 03/05/09 | 6        | 51.4200            | 308.52              | 58.6800                   | 352.08                    | 43.56                            | 7 1.97                             |
|                                                                                |        | 05/08/09 | 5        | 50.6440            | 253.22              | 58.6800                   | 293.40                    | 40.18                            | 6 1.97                             |
| Subtotal                                                                       |        |          | 26       |                    | 1,386.27            |                           | 1,525.68                  | 139.41                           | 31 1.97                            |

## YOUR EMA ASSETS

December 01, 2009 - December 31, 2009

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss)/Annual Income | Estimated Current<br>Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|-----------------------------------------|-----------------------------|
| BAYER AG SP ADR                     | BAYRY  | 06/11/07 | 1        | 70.3500            | 70.35               | 79.8000                   | 79.80                     | 9.45                                    | 2 1.72                      |
|                                     |        | 08/09/07 | 5        | 71.8380            | 359.19              | 79.8000                   | 399.00                    | 39.81                                   | 7 1.72                      |
|                                     |        | 02/19/08 | 5        | 81.1500            | 405.75              | 79.8000                   | 399.00                    | (6.75)                                  | 7 1.72                      |
|                                     |        | 08/25/08 | 3        | 77.7833            | 233.35              | 79.8000                   | 239.40                    | 6.05                                    | 5 1.72                      |
|                                     |        | 08/27/09 | 2        | 60.9800            | 121.96              | 79.8000                   | 159.60                    | 37.64                                   | 3 1.72                      |
| Subtotal                            |        |          | 16       |                    | 1,190.60            |                           | 1,276.80                  | 86.20                                   | 24 1.72                     |
| BECTON DICKINSON CO                 | BDX    | 03/21/06 | 1        | 63.8800            | 63.88               | 78.8600                   | 78.86                     | 14.98                                   | 2 1.87                      |
|                                     |        | 01/17/07 | 5        | 72.7500            | 363.75              | 78.8600                   | 394.30                    | 30.55                                   | 8 1.87                      |
|                                     |        | 08/31/07 | 5        | 77.0700            | 385.35              | 78.8600                   | 394.30                    | 8.95                                    | 8 1.87                      |
|                                     |        | 01/16/09 | 4        | 72.2850            | 289.14              | 78.8600                   | 315.44                    | 26.30                                   | 6 1.87                      |
|                                     |        | 03/05/09 | 5        | 63.9100            | 319.55              | 78.8600                   | 394.30                    | 74.75                                   | 8 1.87                      |
|                                     |        | 05/27/09 | 20       | 67.2220            | 1,344.44            | 78.8600                   | 1,577.20                  | 232.76                                  | 30 1.87                     |
|                                     |        | 05/28/09 | 6        | 67.1316            | 402.79              | 78.8600                   | 473.16                    | 70.37                                   | 9 1.87                      |
| Subtotal                            |        |          | 46       |                    | 3,168.90            |                           | 3,627.56                  | 458.66                                  | 71 1.87                     |
| BEST BUY CO INC                     | BBY    | 07/21/09 | 30       | 36.1730            | 1,085.19            | 39.4600                   | 1,183.80                  | 98.61                                   | 17 1.41                     |
|                                     |        | 07/22/09 | 4        | 36.2375            | 144.95              | 39.4600                   | 157.84                    | 12.89                                   | 3 1.41                      |
| Subtotal                            |        |          | 34       |                    | 1,230.14            |                           | 1,341.64                  | 111.50                                  | 20 1.41                     |
| BHP BILLITON PLC SP ADR             | BBL    | 08/21/08 | 4        | 62.9775            | 251.91              | 63.8500                   | 255.40                    | 3.49                                    | 7 2.56                      |
|                                     |        | 09/23/08 | 4        | 52.6125            | 210.45              | 63.8500                   | 255.40                    | 44.95                                   | 7 2.56                      |
|                                     |        | 03/05/09 | 9        | 30.7600            | 276.84              | 63.8500                   | 574.65                    | 297.81                                  | 15 2.56                     |
| Subtotal                            |        |          | 17       |                    | 739.20              |                           | 1,085.45                  | 346.25                                  | 29 2.56                     |
| BNP PARIBAS SPONSORD ADR            | BNPQY  | 01/17/07 | 6        | 53.6350            | 321.81              | 40.1600                   | 240.96                    | (80.85)                                 | 4 1.28                      |
|                                     |        | 04/11/07 | 5        | 53.3620            | 266.81              | 40.1600                   | 200.80                    | (66.01)                                 | 3 1.28                      |
|                                     |        | 02/14/08 | 10       | 44.1960            | 441.96              | 40.1600                   | 401.60                    | (40.36)                                 | 6 1.28                      |
|                                     |        | 05/15/08 | 10       | 53.6050            | 536.05              | 40.1600                   | 401.60                    | (134.45)                                | 6 1.28                      |
|                                     |        | 12/01/09 | 2        | 42.2500            | 84.50               | 40.1600                   | 80.32                     | (4.18)                                  | 2 1.28                      |
| Subtotal                            |        |          | 33       |                    | 1,651.13            |                           | 1,325.28                  | (325.85)                                | 21 1.28                     |
| BOEING COMPANY                      | BA     | 03/05/09 | 37       | 29.2281            | 1,081.44            | 54.1300                   | 2,002.81                  | 921.37                                  | 63 3.10                     |
| BRIDGESTONE CORP ADR                | BRDCY  | 05/08/09 | 14       | 31.6357            | 442.90              | 35.1100                   | 491.54                    | 48.64                                   | 5 .93                       |



## YOUR EMA ASSETS

TOTAL MERRILL®

December 01, 2009 - December 31, 2009

| EQUITIES (continued)       | Symbol | Acquired | Quantity | Unit Cost Basis | Total Cost Basis | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Annual Income | Estimated Current Yield% |
|----------------------------|--------|----------|----------|-----------------|------------------|------------------------|------------------------|------------------------|---------------|--------------------------|
| Description                |        |          |          |                 |                  |                        |                        |                        |               |                          |
| BRINKS CO                  | BCO    | 08/18/09 | 10       | 26.3400         | 263.40           | 24.3400                | 243.40                 | (20.00)                | 4             | 1.64                     |
|                            |        | 08/19/09 | 10       | 26.3990         | 263.99           | 24.3400                | 243.40                 | (20.59)                | 4             | 1.64                     |
|                            |        | 08/20/09 | 15       | 27.1013         | 406.52           | 24.3400                | 365.10                 | (41.42)                | 6             | 1.64                     |
|                            |        | 08/21/09 | 19       | 27.7415         | 527.09           | 24.3400                | 462.46                 | (64.63)                | 8             | 1.64                     |
| Subtotal                   |        |          | 54       |                 | 1,461.00         |                        | 1,314.36               | (146.64)               | 22            | 1.64                     |
| BROADCOM CORP CALIF CL A   | BRCM   | 05/29/09 | 46       | 25.8700         | 1,190.02         | 31.4700                | 1,447.62               | 257.60                 |               |                          |
| CANADIAN NATURAL RES LTD   | CNQ    | 01/17/07 | 19       | 47.0900         | 894.71           | 71.9500                | 1,367.05               | 472.34                 |               |                          |
|                            |        | 03/05/09 | 10       | 31.2900         | 312.90           | 71.9500                | 719.50                 | 406.60                 |               |                          |
| Subtotal                   |        |          | 29       |                 | 1,207.61         |                        | 2,086.55               | 878.94                 |               |                          |
| CATERPILLAR INC DEL        | CAT    | 07/24/09 | 31       | 41.7832         | 1,295.28         | 56.9900                | 1,766.69               | 471.41                 | 53            | 2.94                     |
| CENTURYTEL INC             | CTL    | 02/26/09 | 6        | 28.9183         | 173.51           | 36.2100                | 217.26                 | 43.75                  | 17            | 7.73                     |
|                            |        | 02/27/09 | 13       | 24.5307         | 318.90           | 36.2100                | 470.73                 | 151.83                 | 37            | 7.73                     |
|                            |        | 03/05/09 | 16       | 25.1287         | 402.06           | 36.2100                | 579.36                 | 177.30                 | 45            | 7.73                     |
|                            |        | 09/14/09 | 15       | 31.3980         | 470.97           | 36.2100                | 543.15                 | 72.18                  | 42            | 7.73                     |
| Subtotal                   |        |          | 50       |                 | 1,365.44         |                        | 1,810.50               | 445.06                 | 141           | 7.73                     |
| CHESAPEAKE ENERGY OKLA     | CHK    | 11/12/09 | 75       | 25.1528         | 1,886.46         | 25.8800                | 1,941.00               | 54.54                  | 23            | 1.15                     |
| CHEVRON CORP               | CVX    | 01/05/06 | 3        | 58.0933         | 174.28           | 76.9900                | 230.97                 | 56.69                  | 9             | 3.53                     |
|                            |        | 03/21/06 | 10       | 56.7600         | 567.60           | 76.9900                | 769.90                 | 202.30                 | 28            | 3.53                     |
|                            |        | 01/17/07 | 5        | 70.8100         | 354.05           | 76.9900                | 384.95                 | 30.90                  | 14            | 3.53                     |
|                            |        | 03/05/09 | 6        | 56.6500         | 339.90           | 76.9900                | 461.94                 | 122.04                 | 17            | 3.53                     |
| Subtotal                   |        |          | 24       |                 | 1,435.83         |                        | 1,847.76               | 411.93                 | 68            | 3.53                     |
| CHIMERA INVESTMENT CORP    | CIM    | 06/09/09 | 145      | 3.4731          | 503.60           | 3.8800                 | 562.60                 | 59.00                  | 99            | 17.52                    |
|                            |        | 06/10/09 | 156      | 3.4107          | 532.08           | 3.8800                 | 605.28                 | 73.20                  | 107           | 17.52                    |
| Subtotal                   |        |          | 301      |                 | 1,035.68         |                        | 1,167.88               | 132.20                 | 206           | 17.52                    |
| CHINA LIFE INS CO SP ADR   | LFC    | 05/26/09 | 10       | 53.2150         | 532.15           | 73.3500                | 733.50                 | 201.35                 | 5             | .59                      |
|                            |        | 06/26/09 | 3        | 55.9600         | 167.88           | 73.3500                | 220.05                 | 52.17                  | 2             | .59                      |
| Subtotal                   |        |          | 13       |                 | 700.03           |                        | 953.55                 | 253.52                 | 7             | .59                      |
| CHINA UNICOM HONG KONG LTD | CHU    | 10/29/08 | 22       | 13.5868         | 298.91           | 13.1100                | 288.42                 | (10.49)                | 6             | 2.00                     |
|                            |        | 06/03/09 | 7        | 14.2071         | 99.45            | 13.1100                | 91.77                  | (7.68)                 | 2             | 2.00                     |
| Subtotal                   |        |          | 29       |                 | 398.36           |                        | 380.19                 | (18.17)                | 8             | 2.00                     |

## YOUR EMA ASSETS

December 01, 2009 - December 31, 2009

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss)/Annual | Estimated Current<br>Income Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|----------------------------------|------------------------------------|
| CISCO SYSTEMS INC COM               | CSCO   | 01/12/07 | 2        | 28.8200            | 57.64               | 23.9400                   | 47.88                     | (9.76)                           |                                    |
|                                     |        | 01/17/07 | 40       | 27.0000            | 1,080.00            | 23.9400                   | 957.60                    | (122.40)                         |                                    |
|                                     |        | 04/09/09 | 40       | 17.8692            | 714.77              | 23.9400                   | 957.60                    | 242.83                           |                                    |
| Subtotal                            |        |          | 82       |                    | 1,852.41            |                           | 1,963.08                  | 110.67                           |                                    |
| COMMERCIAL METALS CO COM            | CMC    | 05/03/06 | 14       | 28.7335            | 402.27              | 15.6500                   | 219.10                    | (183.17)                         | 7 3.06                             |
|                                     |        | 01/12/07 | 15       | 25.8900            | 388.35              | 15.6500                   | 234.75                    | (153.60)                         | 8 3.06                             |
|                                     |        | 01/17/07 | 25       | 26.0600            | 651.50              | 15.6500                   | 391.25                    | (260.25)                         | 12 3.06                            |
|                                     |        | 03/05/09 | 48       | 9.6983             | 465.52              | 15.6500                   | 751.20                    | 285.68                           | 24 3.06                            |
| Subtotal                            |        |          | 102      |                    | 1,907.64            |                           | 1,596.30                  | (311.34)                         | 51 3.06                            |
| COMPASS MINERALS INTL<br>INC        | CMP    | 06/17/09 | 10       | 54.7090            | 547.09              | 67.1900                   | 671.90                    | 124.81                           | 15 2.11                            |
|                                     |        | 06/18/09 | 15       | 55.6953            | 835.43              | 67.1900                   | 1,007.85                  | 172.42                           | 22 2.11                            |
| Subtotal                            |        |          | 25       |                    | 1,382.52            |                           | 1,679.75                  | 297.23                           | 37 2.11                            |
| CONOCOPHILLIPS                      | COP    | 02/28/06 | 5        | 60.8380            | 304.19              | 51.0700                   | 255.35                    | (48.84)                          | 10 3.91                            |
|                                     |        | 03/21/06 | 5        | 60.1400            | 300.70              | 51.0700                   | 255.35                    | (45.35)                          | 10 3.91                            |
|                                     |        | 01/17/07 | 10       | 63.8200            | 638.20              | 51.0700                   | 510.70                    | (127.50)                         | 20 3.91                            |
|                                     |        | 03/05/09 | 18       | 35.2100            | 633.78              | 51.0700                   | 919.26                    | 285.48                           | 36 3.91                            |
|                                     |        | 11/10/09 | 30       | 53.2806            | 1,598.42            | 51.0700                   | 1,532.10                  | (66.32)                          | 60 3.91                            |
| Subtotal                            |        |          | 68       |                    | 3,475.29            |                           | 3,472.76                  | (2.53)                           | 136 3.91                           |
| CUMMINS INC COM                     | CMI    | 04/30/09 | 22       | 34.1654            | 751.64              | 45.8600                   | 1,008.92                  | 257.28                           | 16 1.52                            |
|                                     |        | 07/22/09 | 9        | 39.9866            | 359.88              | 45.8600                   | 412.74                    | 52.86                            | 7 1.52                             |
| Subtotal                            |        |          | 31       |                    | 1,111.52            |                           | 1,421.66                  | 310.14                           | 23 1.52                            |
| CURTISS WRIGHT                      | CW     | 11/20/08 | 10       | 26.4730            | 264.73              | 31.3200                   | 313.20                    | 48.47                            | 4 1.02                             |
|                                     |        | 11/21/08 | 16       | 26.6925            | 427.08              | 31.3200                   | 501.12                    | 74.04                            | 6 1.02                             |
|                                     |        | 03/05/09 | 18       | 22.9700            | 413.46              | 31.3200                   | 563.76                    | 150.30                           | 6 1.02                             |
| Subtotal                            |        |          | 44       |                    | 1,105.27            |                           | 1,378.08                  | 272.81                           | 16 1.02                            |
| DANAHER CORP DEL COM                | DHR    | 04/02/07 | 10       | 71.3110            | 713.11              | 75.2000                   | 752.00                    | 38.89                            | 2 .21                              |
|                                     |        | 03/05/09 | 7        | 49.6300            | 347.41              | 75.2000                   | 526.40                    | 178.99                           | 2 .21                              |
| Subtotal                            |        |          | 17       |                    | 1,060.52            |                           | 1,278.40                  | 217.88                           | 4 .21                              |
| DANONE-SPONS ADR                    | DANOY  | 03/18/09 | 40       | 8.6700             | 346.80              | 12.1900                   | 487.60                    | 140.80                           | 33 6.71                            |

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## YOUR EMA ASSETS



TOTAL MERRILL®

December 01, 2009 - December 31, 2009

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss)/Annual | Estimated Current<br>Income | Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|----------------------------------|-----------------------------|--------|
| DEL MONTE FOODS CO                  | DLM    | 06/17/09 | 70       | 9.0191             | 631.34              | 11.3400                   | 793.80                    | 162.46                           | 14                          | 1.76   |
|                                     |        | 06/18/09 | 31       | 9.1119             | 282.47              | 11.3400                   | 351.54                    | 69.07                            | 7                           | 1.76   |
| Subtotal                            |        |          | 101      |                    | 913.81              |                           | <b>1,145.34</b>           | 231.53                           | <b>21</b>                   | 1.76   |
| DEUTSCHE BOERSE AG SHS              | DBOEY  | 09/04/09 | 45       | 7.7580             | 349.11              | 8.3200                    | 374.40                    | 25.29                            | 9                           | 2.36   |
|                                     |        | 09/08/09 | 20       | 7.9135             | 158.27              | 8.3200                    | 166.40                    | 8.13                             | 4                           | 2.36   |
|                                     |        | 09/09/09 | 21       | 8.0123             | 168.26              | 8.3200                    | 174.72                    | 6.46                             | 5                           | 2.36   |
| Subtotal                            |        |          | 86       |                    | 675.64              |                           | <b>715.52</b>             | 39.88                            | <b>18</b>                   | 2.36   |
| DIAMOND OFFSHORE DRLNG              | DO     | 12/31/07 | 5        | 142.9140           | 714.57              | 98.4200                   | 492.10                    | (222.47)                         | 3                           | .50    |
|                                     |        | 02/19/08 | 10       | 115.9640           | 1,159.64            | 98.4200                   | 984.20                    | (175.44)                         | 5                           | .50    |
|                                     |        | 01/12/09 | 3        | 58.6100            | 175.83              | 98.4200                   | 295.26                    | 119.43                           | 2                           | .50    |
|                                     |        | 03/05/09 | 7        | 55.0600            | 385.42              | 98.4200                   | 688.94                    | 303.52                           | 4                           | .50    |
|                                     |        | 07/27/09 | 5        | 93.7360            | 468.68              | 98.4200                   | 492.10                    | 23.42                            | 3                           | .50    |
|                                     |        | 07/28/09 | 8        | 92.4250            | 739.40              | 98.4200                   | 787.36                    | 47.96                            | 4                           | .50    |
| Subtotal                            |        |          | 38       |                    | 3,643.54            |                           | <b>3,739.96</b>           | 96.42                            | <b>21</b>                   | .50    |
| DIRECTV GROUP HLDNGS CLA            | DTV    | 09/21/09 | 40       | 26.7617            | 1,070.47            | 33.3500                   | 1,334.00                  | 263.53                           |                             |        |
|                                     |        | 09/22/09 | 10       | 27.0070            | 270.07              | 33.3500                   | 333.50                    | 63.43                            |                             |        |
|                                     |        | 10/30/09 | 15       | 26.3626            | 395.44              | 33.3500                   | 500.25                    | 104.81                           |                             |        |
| Subtotal                            |        |          | 65       |                    | 1,735.98            |                           | <b>2,167.75</b>           | 431.77                           |                             |        |
| E M C CORPORATION MASS              | EMC    | 04/13/09 | 86       | 13.0024            | 1,118.21            | 17.4700                   | 1,502.42                  | 384.21                           |                             |        |
|                                     |        | 10/08/09 | 20       | 17.7900            | 355.80              | 17.4700                   | 349.40                    | (6.40)                           |                             |        |
|                                     |        | 10/30/09 | 25       | 16.6648            | 416.62              | 17.4700                   | 436.75                    | 20.13                            |                             |        |
| Subtotal                            |        |          | 131      |                    | 1,890.63            |                           | <b>2,288.57</b>           | 397.94                           |                             |        |
| E.ON AG ADR                         | EONGY  | 06/29/09 | 23       | 36.0486            | 829.12              | 41.7500                   | 960.25                    | 131.13                           | 34                          | 3.53   |
| EAST JAPAN RY CO ADR                | EJPRY  | 01/16/09 | 57       | 12.2389            | 697.62              | 10.5500                   | 601.35                    | (96.27)                          | 10                          | 1.55   |
|                                     |        | 05/08/09 | 40       | 9.2930             | 371.72              | 10.5500                   | 422.00                    | 50.28                            | 7                           | 1.55   |
|                                     |        | 09/24/09 | 17       | 11.8476            | 201.41              | 10.5500                   | 179.35                    | (22.06)                          | 3                           | 1.55   |
| Subtotal                            |        |          | 114      |                    | 1,270.75            |                           | <b>1,202.70</b>           | (68.05)                          | <b>20</b>                   | 1.55   |
| EBAY INC COM                        | EBAY   | 11/12/09 | 55       | 23.9796            | 1,318.88            | 23.5300                   | 1,294.15                  | (24.73)                          |                             |        |

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11 of 52

## YOUR EMA ASSETS

December 01, 2009 - December 31, 2009

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) Annual | Estimated Current<br>Income | Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|----------------------------------|-----------------------------|--------|
| EDISON INTL CALIF                   | EIX    | 03/10/09 | 10       | 24.9810            | 249.81              | 34.7800                   | 347.80                    | 97.99                            | 13                          | 3.62   |
|                                     |        | 03/11/09 | 16       | 25.1043            | 401.67              | 34.7800                   | 556.48                    | 154.81                           | 21                          | 3.62   |
|                                     |        | 03/17/09 | 23       | 28.1691            | 647.89              | 34.7800                   | 799.94                    | 152.05                           | 29                          | 3.62   |
| Subtotal                            |        |          | 49       |                    | 1,299.37            |                           | 1,704.22                  | 404.85                           | 63                          | 3.62   |
| ENERGEN CRP COM PV 1CENT            | EGN    | 03/21/06 | 22       | 33.7000            | 741.40              | 46.8000                   | 1,029.60                  | 288.20                           | 11                          | 1.06   |
|                                     |        | 03/05/09 | 19       | 24.5900            | 467.21              | 46.8000                   | 889.20                    | 421.99                           | 10                          | 1.06   |
| Subtotal                            |        |          | 41       |                    | 1,208.61            |                           | 1,918.80                  | 710.19                           | 21                          | 1.06   |
| ENI S P A SPONSORED ADR             | E      | 08/26/08 | 12       | 63.6475            | 763.77              | 50.6100                   | 607.32                    | (156.45)                         | 29                          | 4.68   |
|                                     |        | 08/27/08 | 11       | 64.7490            | 712.24              | 50.6100                   | 556.71                    | (155.53)                         | 27                          | 4.68   |
| Subtotal                            |        |          | 23       |                    | 1,476.01            |                           | 1,164.03                  | (311.98)                         | 56                          | 4.68   |
| ENNIS INC                           | EBF    | 01/04/08 | 5        | 16.5580            | 82.79               | 16.7900                   | 83.95                     | 1.16                             | 4                           | 3.69   |
|                                     |        | 03/05/09 | 69       | 7.1682             | 494.61              | 16.7900                   | 1,158.51                  | 663.90                           | 43                          | 3.69   |
| Subtotal                            |        |          | 74       |                    | 577.40              |                           | 1,242.46                  | 665.06                           | 47                          | 3.69   |
| EQUITY ONE                          | EQY    | 03/21/06 | 19       | 24.2600            | 460.94              | 16.1700                   | 307.23                    | (153.71)                         | 17                          | 5.44   |
| REIT                                |        | 01/17/07 | 20       | 27.2100            | 544.20              | 16.1700                   | 323.40                    | (220.80)                         | 18                          | 5.44   |
|                                     |        | 02/19/08 | 20       | 22.3000            | 446.00              | 16.1700                   | 323.40                    | (122.60)                         | 18                          | 5.44   |
|                                     |        | 03/05/09 | 39       | 9.7074             | 378.59              | 16.1700                   | 630.63                    | 252.04                           | 35                          | 5.44   |
| Subtotal                            |        |          | 98       |                    | 1,829.73            |                           | 1,584.66                  | (245.07)                         | 88                          | 5.44   |
| FEDERATED INVESTRS B                | FII    | 05/08/09 | 15       | 23.9826            | 359.74              | 27.5000                   | 412.50                    | 52.76                            | 15                          | 3.49   |
|                                     |        | 05/11/09 | 42       | 23.7061            | 995.66              | 27.5000                   | 1,155.00                  | 159.34                           | 41                          | 3.49   |
| Subtotal                            |        |          | 57       |                    | 1,355.40            |                           | 1,567.50                  | 212.10                           | 56                          | 3.49   |
| FREEMT-MCMRAN CPR & GLD             | FCX    | 07/21/09 | 21       | 58.3495            | 1,225.34            | 80.2900                   | 1,686.09                  | 460.75                           | 13                          | 7.4    |
| GDF SUEZ ADR                        | GDFZY  | 04/17/08 | 1        | 69.3800            | 69.38               | 42.8000                   | 42.80                     | (26.58)                          | 2                           | 3.46   |
|                                     |        | 05/28/08 | 4        | 83.8100            | 335.24              | 42.8000                   | 171.20                    | (164.04)                         | 6                           | 3.46   |
|                                     |        | 06/04/08 | 5        | 66.0080            | 330.04              | 42.8000                   | 214.00                    | (116.04)                         | 8                           | 3.46   |
|                                     |        | 06/04/09 | 14       | 39.1521            | 548.13              | 42.8000                   | 599.20                    | 51.07                            | 21                          | 3.46   |
| Subtotal                            |        |          | 24       |                    | 1,282.79            |                           | 1,027.20                  | (255.59)                         | 37                          | 3.46   |
| GILEAD SCIENCES INC COM             | GILD   | 04/18/08 | 3        | 51.5166            | 154.55              | 43.2700                   | 129.81                    | (24.74)                          | 2                           | 3.46   |
|                                     |        | 11/18/08 | 6        | 45.2516            | 271.51              | 43.2700                   | 259.62                    | (11.89)                          | 6                           | 3.46   |
|                                     |        | 03/05/09 | 22       | 43.8600            | 964.92              | 43.2700                   | 951.94                    | (12.98)                          | 8                           | 3.46   |
| Subtotal                            |        |          | 31       |                    | 1,390.98            |                           | 1,341.37                  | (49.61)                          | 21                          | 3.46   |



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## YOUR EMA ASSETS



TOTAL MERRILL®

December 01, 2009 December 31, 2009

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss)/Annual | Estimated Current<br>Income | Yield%      |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|----------------------------------|-----------------------------|-------------|
| GLAXOSMITHKLINE PLC ADR             | GSK    | 06/05/07 | 3        | 51.8000            | 155.40              | 42.2500                   | 126.75                    | (28.65)                          | 6                           | 4.38        |
|                                     |        | 06/11/07 | 30       | 52.2656            | 1,567.97            | 42.2500                   | 1,267.50                  | (300.47)                         | 56                          | 4.38        |
|                                     |        | 02/19/08 | 25       | 44.8100            | 1,120.25            | 42.2500                   | 1,056.25                  | (64.00)                          | 47                          | 4.38        |
|                                     |        | 03/05/09 | 28       | 28.2982            | 792.35              | 42.2500                   | 1,183.00                  | 390.65                           | 52                          | 4.38        |
| Subtotal                            |        |          | 86       | 3,635.97           |                     |                           | <b>3,633.50</b>           | (2.47)                           | <b>161</b>                  | <b>4.38</b> |
| GOLDMAN SACHS GROUP INC             | GS     | 10/07/09 | 10       | 188.1530           | 1,881.53            | 168.8400                  | <b>1,688.40</b>           | (193.13)                         | <b>14</b>                   | <b>.82</b>  |
| GOOGLE INC CL A                     | GOOG   | 06/19/08 | 3        | 555.7100           | 1,667.13            | 619.9800                  | 1,859.94                  | 192.81                           |                             |             |
|                                     |        | 06/24/08 | 1        | 546.2800           | 546.28              | 619.9800                  | 619.98                    | 73.70                            |                             |             |
| Subtotal                            |        |          | 4        | 2,213.41           |                     |                           | <b>2,479.92</b>           | 266.51                           |                             |             |
| GRUPO TELEVISION SA ADR             | TV     | 01/17/07 | 5        | 28.6300            | 143.15              | 20.7600                   | 103.80                    | (39.35)                          | 6                           | 5.61        |
|                                     |        | 01/23/07 | 5        | 29.0280            | 145.14              | 20.7600                   | 103.80                    | (41.34)                          | 6                           | 5.61        |
|                                     |        | 01/25/07 | 10       | 29.0210            | 290.21              | 20.7600                   | 207.60                    | (82.61)                          | 12                          | 5.61        |
|                                     |        | 10/03/07 | 10       | 24.4920            | 244.92              | 20.7600                   | 207.60                    | (37.32)                          | 12                          | 5.61        |
| Subtotal                            |        |          | 30       | 823.42             |                     |                           | <b>622.80</b>             | (200.62)                         | <b>36</b>                   | <b>5.61</b> |
| HARRIS CORP DEL                     | HRS    | 01/29/09 | 12       | 43.3566            | 520.28              | 47.5500                   | 570.60                    | 50.32                            | 11                          | 1.85        |
|                                     |        | 02/06/09 | 12       | 44.0675            | 528.81              | 47.5500                   | 570.60                    | 41.79                            | 11                          | 1.85        |
|                                     |        | 03/05/09 | 19       | 31.8400            | 604.96              | 47.5500                   | 903.45                    | 298.49                           | 17                          | 1.85        |
| Subtotal                            |        |          | 43       | 1,654.05           |                     |                           | <b>2,044.65</b>           | 390.60                           | <b>39</b>                   | <b>1.85</b> |
| HARSCO CORPORATION                  | HSC    | 03/21/06 | 4        | 41.4150            | 165.66              | 32.2300                   | 128.92                    | (36.74)                          | 4                           | 2.54        |
|                                     |        | 01/12/07 | 10       | 39.2900            | 392.90              | 32.2300                   | 322.30                    | (70.60)                          | 9                           | 2.54        |
|                                     |        | 01/17/07 | 10       | 39.5300            | 395.30              | 32.2300                   | 322.30                    | (73.00)                          | 9                           | 2.54        |
|                                     |        | 03/05/09 | 31       | 18.0422            | 559.31              | 32.2300                   | 999.13                    | 439.82                           | 26                          | 2.54        |
| Subtotal                            |        |          | 55       | 1,513.17           |                     |                           | <b>1,772.65</b>           | 259.48                           | <b>48</b>                   | <b>2.54</b> |
| HDFC BANK LTD ADR                   | HDB    | 11/26/08 | 3        | 58.4233            | 175.27              | 130.0800                  | 390.24                    | 214.97                           | 2                           | .46         |
|                                     |        | 06/26/09 | 2        | 105.8100           | 211.62              | 130.0800                  | 260.16                    | 48.54                            | 2                           | .46         |
| Subtotal                            |        |          | 5        | 386.89             |                     |                           | <b>650.40</b>             | 263.51                           | <b>4</b>                    | <b>.46</b>  |
| HEALTHCARE REALTY TR                | HR     | 01/04/08 | 45       | 23.9631            | 1,078.34            | 21.4600                   | 965.70                    | (112.64)                         | 70                          | 7.17        |
| REIT                                |        | 03/05/09 | 32       | 13.0000            | 416.00              | 21.4600                   | 686.72                    | 270.72                           | 50                          | 7.17        |
| Subtotal                            |        |          | 77       | 1,494.34           |                     |                           | <b>1,652.42</b>           | 158.08                           | <b>120</b>                  | <b>7.17</b> |
| HEINEKEN NV ADR                     | HINKY  | 09/17/08 | 31       | 22.1151            | 685.57              | 23.8100                   | <b>738.11</b>             | 52.54                            | <b>10</b>                   | <b>1.27</b> |

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## YOUR EMA ASSETS

December 01, 2009 - December 31, 2009

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss)/Annual Income | Estimated Current<br>Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|-----------------------------------------|-----------------------------|
| HEWLETT PACKARD CO DEL              | HPQ    | 06/05/08 | 3        | 48.4433            | 145.33              | 51.5100                   | 154.53                    | 9.20                                    | 1 .62                       |
|                                     |        | 07/17/08 | 15       | 43.0433            | 645.65              | 51.5100                   | 772.65                    | 127.00                                  | 5 .62                       |
|                                     |        | 11/25/08 | 5        | 33.5380            | 167.69              | 51.5100                   | 257.55                    | 89.86                                   | 2 .62                       |
|                                     |        | 03/05/09 | 13       | 27.0800            | 352.04              | 51.5100                   | 669.63                    | 317.59                                  | 5 .62                       |
|                                     |        | 06/29/09 | 10       | 38.6900            | 386.90              | 51.5100                   | 515.10                    | 128.20                                  | 4 .62                       |
|                                     |        | 12/16/09 | 5        | 51.4480            | 257.24              | 51.5100                   | 257.55                    | .31                                     | 2 .62                       |
| <b>Subtotal</b>                     |        |          | 51       |                    | 1,954.85            |                           | <b>2,627.01</b>           | 672.16                                  | <b>19 .62</b>               |
| HOLLY CORP COM \$0.01               | HOC    | 01/05/06 | 8        | 30.6900            | 245.52              | 25.6300                   | 205.04                    | (40.48)                                 | 5 2.34                      |
|                                     |        | 03/21/06 | 20       | 33.5050            | 670.10              | 25.6300                   | 512.60                    | (157.50)                                | 12 2.34                     |
|                                     |        | 02/19/08 | 20       | 53.0100            | 1,060.20            | 25.6300                   | 512.60                    | (547.60)                                | 12 2.34                     |
| <b>Subtotal</b>                     |        |          | 48       |                    | 1,975.82            |                           | <b>1,230.24</b>           | (745.58)                                | <b>29 2.34</b>              |
| HOME DEPOT INC                      | HD     | 01/23/08 | 24       | 29.1983            | 700.76              | 28.9300                   | 694.32                    | (6.44)                                  | 22 3.11                     |
|                                     |        | 02/19/08 | 35       | 27.5785            | 965.25              | 28.9300                   | 1,012.55                  | 47.30                                   | 32 3.11                     |
|                                     |        | 03/05/09 | 17       | 18.1200            | 308.04              | 28.9300                   | 491.81                    | 183.77                                  | 16 3.11                     |
| <b>Subtotal</b>                     |        |          | 76       |                    | 1,974.05            |                           | <b>2,198.68</b>           | 224.63                                  | <b>70 3.11</b>              |
| HSBC HLDG PLC SP ADR                | HBC    | 04/09/09 | 13       | 34.3961            | 447.15              | 57.0900                   | 742.17                    | 295.02                                  | 23 2.97                     |
|                                     |        | 04/15/09 | 5        | 36.1540            | 180.77              | 57.0900                   | 285.45                    | 104.68                                  | 9 2.97                      |
|                                     |        | 04/16/09 | 7        | 36.2500            | 253.75              | 57.0900                   | 399.63                    | 145.88                                  | 12 2.97                     |
|                                     |        | 06/10/09 | 4        | 44.3250            | 177.30              | 57.0900                   | 228.36                    | 51.06                                   | 7 2.97                      |
| <b>Subtotal</b>                     |        |          | 29       |                    | 1,058.97            |                           | <b>1,655.61</b>           | 596.64                                  | <b>51 2.97</b>              |
| HUDSON CITY BANCORP INC             | HCBK   | 07/07/09 | 40       | 13.5485            | 541.94              | 13.7300                   | 549.20                    | 7.26                                    | 24 4.37                     |
|                                     |        | 07/10/09 | 15       | 13.5580            | 203.37              | 13.7300                   | 205.95                    | 2.58                                    | 9 4.37                      |
|                                     |        | 07/13/09 | 35       | 14.1454            | 495.09              | 13.7300                   | 480.55                    | (14.54)                                 | 21 4.37                     |
|                                     |        | 07/14/09 | 23       | 14.3830            | 330.81              | 13.7300                   | 315.79                    | (15.02)                                 | 14 4.37                     |
| <b>Subtotal</b>                     |        |          | 113      |                    | 1,571.21            |                           | <b>1,551.49</b>           | (19.72)                                 | <b>68 4.37</b>              |
| HUTCHISON WHAMPOA ADR               | HUWHY  | 08/19/09 | 15       | 36.3340            | 545.01              | 34.4000                   | 516.00                    | (29.01)                                 | 17 3.12                     |
|                                     |        | 08/27/09 | 10       | 37.2620            | 372.62              | 34.4000                   | 344.00                    | (28.62)                                 | 11 3.12                     |
| <b>Subtotal</b>                     |        |          | 25       |                    | 917.63              |                           | <b>860.00</b>             | (57.63)                                 | <b>28 3.12</b>              |
| IAMGOLD CORP COM                    | IAG    | 02/01/07 | 16       | 8.8300             | 141.28              | 15.6400                   | 250.24                    | 108.96                                  | 1 .38                       |
|                                     |        | 06/20/07 | 100      | 7.7660             | 776.60              | 15.6400                   | 1,564.00                  | 787.40                                  | 6 .38                       |
| <b>Subtotal</b>                     |        |          | 116      |                    | 917.88              |                           | <b>1,814.24</b>           | 896.36                                  | <b>7 .38</b>                |

## YOUR EMA ASSETS



TOTAL MERRILL°

December 01, 2009 - December 31, 2009

| EQUITIES (continued)<br>Description | Symbol | Acquired                                                             | Quantity                   | Unit<br>Cost Basis                                                | Total<br>Cost Basis                                      | Estimated<br>Market Price                                            | Estimated<br>Market Value                                  | Unrealized<br>Gain/(Loss)/Annual Income               | Estimated Current<br>Yield%                                  |
|-------------------------------------|--------|----------------------------------------------------------------------|----------------------------|-------------------------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------------------|------------------------------------------------------------|-------------------------------------------------------|--------------------------------------------------------------|
| ICICI BANK LTD SPD ADR              | IBN    | 12/22/09                                                             | 14                         | 36.0514                                                           | 504.72                                                   | 37.7100                                                              | 527.94                                                     | 23.22                                                 | 14 2.58                                                      |
| ING GP NV SPSP ADR                  | ING    | 03/18/09<br>12/16/09                                                 | 40<br>30                   | 4.7440<br>6.2660                                                  | 189.76<br>187.98                                         | 9.8100<br>9.8100                                                     | 392.40<br>294.30                                           | 202.64<br>106.32                                      |                                                              |
| Subtotal                            |        |                                                                      | 70                         |                                                                   | 377.74                                                   |                                                                      | 686.70                                                     | 308.96                                                |                                                              |
| INTEL CORP                          | INTC   | 12/09/08<br>12/15/08<br>03/05/09<br>11/11/09                         | 49<br>40<br>32<br>95       | 14.1528<br>14.6647<br>12.4200<br>19.8142                          | 693.49<br>586.59<br>397.44<br>1,882.35                   | 20.4000<br>20.4000<br>20.4000<br>20.4000                             | 999.60<br>816.00<br>652.80<br>1,938.00                     | 306.11<br>229.41<br>255.36<br>55.65                   | 28 2.74<br>23 2.74<br>18 2.74<br>54 2.74                     |
| Subtotal                            |        |                                                                      | 216                        |                                                                   | 3,559.87                                                 |                                                                      | 4,406.40                                                   | 846.53                                                | 123 2.74                                                     |
| INTL BUSINESS MACHINES<br>CORP IBM  | IBM    | 06/24/08<br>07/08/08<br>03/05/09<br>04/07/09<br>04/15/09<br>09/14/09 | 3<br>5<br>5<br>8<br>4<br>5 | 123.5566<br>123.2740<br>87.4900<br>98.9312<br>97.9200<br>118.3980 | 370.67<br>616.37<br>437.45<br>791.45<br>391.68<br>591.99 | 130.9000<br>130.9000<br>130.9000<br>130.9000<br>130.9000<br>130.9000 | 392.70<br>654.50<br>654.50<br>1,047.20<br>523.60<br>654.50 | 22.03<br>38.13<br>217.05<br>255.75<br>131.92<br>62.51 | 7 1.68<br>11 1.68<br>11 1.68<br>18 1.68<br>9 1.68<br>11 1.68 |
| Subtotal                            |        |                                                                      | 30                         |                                                                   | 3,199.61                                                 |                                                                      | 3,927.00                                                   | 727.39                                                | 67 1.68                                                      |
| JABIL CIRCUIT INC                   | JBL    | 03/05/09                                                             | 94                         | 3.5600                                                            | 334.64                                                   | 17.3700                                                              | 1,632.78                                                   | 1,298.14                                              | 27 1.61                                                      |
| JOHNSON AND JOHNSON COM             | JNJ    | 05/04/09<br>07/09/09<br>10/29/09                                     | 32<br>27<br>5              | 53.5665<br>56.6985<br>59.7140                                     | 1,714.13<br>1,530.86<br>298.57                           | 64.4100<br>64.4100<br>64.4100                                        | 2,061.12<br>1,739.07<br>322.05                             | 346.99<br>208.21<br>23.48                             | 63 3.04<br>53 3.04<br>10 3.04                                |
| Subtotal                            |        |                                                                      | 64                         |                                                                   | 3,543.56                                                 |                                                                      | 4,122.24                                                   | 578.68                                                | 126 3.04                                                     |
| JPMORGAN CHASE & CO                 | JPM    | 03/24/09<br>06/10/09                                                 | 26<br>12                   | 28.8811<br>35.4541                                                | 750.91<br>425.45                                         | 41.6700<br>41.6700                                                   | 1,083.42<br>500.04                                         | 332.51<br>74.59                                       | 6 .48<br>3 .48                                               |
| Subtotal                            |        |                                                                      | 38                         |                                                                   | 1,176.36                                                 |                                                                      | 1,583.46                                                   | 407.10                                                | 9 .48                                                        |
| KAO CORP SPD ADR                    | KCRPY  | 09/08/08                                                             | 20                         | 29.4090                                                           | 588.18                                                   | 23.3600                                                              | 467.20                                                     | (120.98)                                              | 12 2.41                                                      |
| KBR INC                             | KBR    | 06/16/09<br>06/17/09                                                 | 40<br>29                   | 18.8375<br>18.8224                                                | 753.50<br>545.85                                         | 19.0000<br>19.0000                                                   | 760.00<br>551.00                                           | 6.50<br>5.15                                          | 8 1.05<br>6 1.05                                             |
| Subtotal                            |        |                                                                      | 69                         |                                                                   | 1,299.35                                                 |                                                                      | 1,311.00                                                   | 11.65                                                 | 14 1.05                                                      |
| KEPPEL LTD SPONS ADR                | KPELY  | 04/22/09                                                             | 78                         | 8.0332                                                            | 626.59                                                   | 11.7600                                                              | 917.28                                                     | 290.69                                                | 39 4.19                                                      |

## YOUR EMA ASSETS

December 01, 2009 - December 31, 2009

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) Annual | Estimated Current<br>Income | Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|----------------------------------|-----------------------------|--------|
| KIMBERLY CLARK                      | KMB    | 01/05/06 | 6        | 59.8200            | 358.92              | 63.7100                   | 382.26                    | 23.34                            | 15                          | 3.76   |
|                                     |        | 03/21/06 | 5        | 59.2600            | 296.30              | 63.7100                   | 318.55                    | 22.25                            | 12                          | 3.76   |
|                                     |        | 01/17/07 | 5        | 69.1700            | 345.85              | 63.7100                   | 318.55                    | (27.30)                          | 12                          | 3.76   |
|                                     |        | 06/19/07 | 5        | 68.4720            | 342.36              | 63.7100                   | 318.55                    | (23.81)                          | 12                          | 3.76   |
|                                     |        | 02/19/08 | 5        | 64.1900            | 320.95              | 63.7100                   | 318.55                    | (2.40)                           | 12                          | 3.76   |
| Subtotal                            |        |          | 26       |                    | 1,664.38            |                           | 1,656.46                  | (7.92)                           | 63                          | 3.76   |
| KIMBERLY CLARK DE MX SAB<br>DE CV   | KCDMY  | 09/19/08 | 10       | 20.7210            | 207.21              | 22.4500                   | 224.50                    | 17.29                            | 11                          | 4.83   |
|                                     |        | 09/22/08 | 8        | 21.4000            | 171.20              | 22.4500                   | 179.60                    | 8.40                             | 9                           | 4.83   |
| Subtotal                            |        |          | 18       |                    | 378.41              |                           | 404.10                    | 25.69                            | 20                          | 4.83   |
| KRAFT FOODS INC VA CL A             | KFT    | 05/01/07 | 20       | 33.4860            | 669.72              | 27.1800                   | 543.60                    | (126.12)                         | 24                          | 4.26   |
|                                     |        | 05/09/07 | 20       | 32.7420            | 654.84              | 27.1800                   | 543.60                    | (111.24)                         | 24                          | 4.26   |
|                                     |        | 05/10/07 | 10       | 32.7910            | 327.91              | 27.1800                   | 271.80                    | (56.11)                          | 12                          | 4.26   |
|                                     |        | 03/05/09 | 16       | 20.9893            | 335.83              | 27.1800                   | 434.88                    | 99.05                            | 19                          | 4.26   |
| Subtotal                            |        |          | 66       |                    | 1,988.30            |                           | 1,793.88                  | (194.42)                         | 79                          | 4.26   |
| LUBRIZOL CORP                       | LZ     | 03/21/06 | 13       | 42.6407            | 554.33              | 72.9500                   | 948.35                    | 394.02                           | 17                          | 1.69   |
|                                     |        | 01/17/07 | 10       | 50.3500            | 503.50              | 72.9500                   | 729.50                    | 226.00                           | 13                          | 1.69   |
|                                     |        | 03/05/09 | 19       | 25.4200            | 482.98              | 72.9500                   | 1,386.05                  | 903.07                           | 24                          | 1.69   |
| Subtotal                            |        |          | 42       |                    | 1,540.81            |                           | 3,063.90                  | 1,523.09                         | 54                          | 1.69   |
| LVMH MOET HENNESSY ADR              | LVMUY  | 01/16/09 | 28       | 11.0492            | 309.38              | 22.4500                   | 628.60                    | 319.22                           | 9                           | 1.40   |
|                                     |        | 11/09/09 | 15       | 22.4086            | 336.13              | 22.4500                   | 336.75                    | .62                              | 5                           | 1.40   |
| Subtotal                            |        |          | 43       |                    | 645.51              |                           | 965.35                    | 319.84                           | 14                          | 1.40   |
| MARATHON OIL CORP                   | MRO    | 01/05/06 | 16       | 32.8881            | 526.21              | 31.2200                   | 499.52                    | (26.69)                          | 16                          | 3.07   |
|                                     |        | 01/17/07 | 20       | 43.1110            | 862.22              | 31.2200                   | 624.40                    | (237.82)                         | 20                          | 3.07   |
|                                     |        | 03/05/09 | 30       | 21.1396            | 634.19              | 31.2200                   | 936.60                    | 302.41                           | 29                          | 3.07   |
| Subtotal                            |        |          | 66       |                    | 2,022.62            |                           | 2,060.52                  | 37.90                            | 65                          | 3.07   |
| MATTEL INC COM                      | MAT    | 01/05/06 | 31       | 15.5703            | 482.68              | 19.9800                   | 619.38                    | 136.70                           | 24                          | 3.75   |
|                                     |        | 01/17/07 | 5        | 23.3900            | 116.95              | 19.9800                   | 99.90                     | (17.05)                          | 4                           | 3.75   |
|                                     |        | 03/05/09 | 49       | 10.4595            | 512.52              | 19.9800                   | 979.02                    | 466.50                           | 37                          | 3.75   |
| Subtotal                            |        |          | 85       |                    | 1,112.15            |                           | 1,698.30                  | 586.15                           | 65                          | 3.75   |





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TOTAL MERRILL®

## YOUR EMA ASSETS

December 01, 2009 - December 31, 2009

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss)/Annual | Estimated Current<br>Income Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|----------------------------------|------------------------------------|
| MC GRAW HILL COMPANIES              | MHP    | 08/25/09 | 20       | 31.2340            | 624.68              | 33.5100                   | 670.20                    | 45.52                            | 18 2.68                            |
|                                     |        | 08/26/09 | 10       | 32.1110            | 321.11              | 33.5100                   | 335.10                    | 13.99                            | 9 2.68                             |
|                                     |        | 08/27/09 | 28       | 32.4357            | 908.20              | 33.5100                   | 938.28                    | 30.08                            | 26 2.68                            |
| Subtotal                            |        |          | 58       |                    | 1,853.99            |                           | <b>1,943.58</b>           | 89.59                            | <b>53 2.68</b>                     |
| MEDTRONIC INC COM                   | MDT    | 02/23/09 | 14       | 34.7121            | 485.97              | 43.9800                   | 615.72                    | 129.75                           | 12 1.86                            |
|                                     |        | 02/25/09 | 12       | 34.1916            | 410.30              | 43.9800                   | 527.76                    | 117.46                           | 10 1.86                            |
|                                     |        | 03/05/09 | 29       | 25.6872            | 744.93              | 43.9800                   | 1,275.42                  | 530.49                           | 24 1.86                            |
| Subtotal                            |        |          | 55       |                    | 1,641.20            |                           | <b>2,418.90</b>           | 777.70                           | <b>46 1.86</b>                     |
| METLIFE INC COM                     | MET    | 05/04/09 | 61       | 27.6026            | 1,683.76            | 35.3500                   | <b>2,156.35</b>           | 472.59                           | <b>46 2.09</b>                     |
| MICROSOFT CORP                      | MSFT   | 01/17/07 | 16       | 31.0606            | 496.97              | 30.4800                   | 487.68                    | (9.29)                           | 9 1.70                             |
|                                     |        | 08/08/07 | 20       | 29.8960            | 597.92              | 30.4800                   | 609.60                    | 11.68                            | 11 1.70                            |
|                                     |        | 10/17/07 | 30       | 31.1933            | 935.80              | 30.4800                   | 914.40                    | (21.40)                          | 16 1.70                            |
|                                     |        | 01/18/08 | 10       | 33.8120            | 338.12              | 30.4800                   | 304.80                    | (33.32)                          | 6 1.70                             |
|                                     |        | 10/14/08 | 13       | 24.1215            | 313.58              | 30.4800                   | 396.24                    | 82.66                            | 7 1.70                             |
|                                     |        | 10/28/08 | 25       | 21.8752            | 546.88              | 30.4800                   | 762.00                    | 215.12                           | 13 1.70                            |
|                                     |        | 11/03/08 | 29       | 22.8644            | 663.07              | 30.4800                   | 883.92                    | 220.85                           | 16 1.70                            |
|                                     |        | 03/05/09 | 65       | 15.3800            | 999.70              | 30.4800                   | 1,981.20                  | 981.50                           | 34 1.70                            |
|                                     |        | 03/06/09 | 20       | 15.0935            | 301.87              | 30.4800                   | 609.60                    | 307.73                           | 11 1.70                            |
|                                     |        | 06/17/09 | 6        | 23.4450            | 140.67              | 30.4800                   | 182.88                    | 42.21                            | 4 1.70                             |
|                                     |        | 07/06/09 | 15       | 23.1740            | 347.61              | 30.4800                   | 457.20                    | 109.59                           | 8 1.70                             |
| Subtotal                            |        |          | 249      |                    | 5,682.19            |                           | <b>7,589.52</b>           | 1,907.33                         | <b>135 1.70</b>                    |
| MITSUBISHI CP SPNSRD ADR            | MSBY   | 09/08/08 | 5        | 50.3880            | 251.94              | 49.7600                   | 248.80                    | (3.14)                           | 4 1.25                             |
|                                     |        | 09/10/08 | 10       | 47.0320            | 470.32              | 49.7600                   | 497.60                    | 27.28                            | 7 1.25                             |
| Subtotal                            |        |          | 15       |                    | 722.26              |                           | <b>746.40</b>             | 24.14                            | <b>11 1.25</b>                     |
| MITSUBISHI UFJ FINL GRP<br>INC      | MTU    | 06/26/09 | 45       | 6.5208             | 293.44              | 4.9200                    | 221.40                    | (72.04)                          | 5 2.21                             |
|                                     |        | 06/29/09 | 22       | 6.3245             | 139.14              | 4.9200                    | 108.24                    | (30.90)                          | 3 2.21                             |
| Subtotal                            |        |          | 67       |                    | 432.58              |                           | <b>329.64</b>             | (102.94)                         | <b>8 2.21</b>                      |
| NAT FUEL GAS CO NJ \$1              | NFG    | 06/01/06 | 7        | 36.1985            | 253.39              | 50.0000                   | 350.00                    | 96.61                            | 10 2.68                            |
|                                     |        | 01/17/07 | 20       | 39.0300            | 780.60              | 50.0000                   | 1,000.00                  | 219.40                           | 27 2.68                            |
|                                     |        | 03/05/09 | 10       | 27.3800            | 273.80              | 50.0000                   | 500.00                    | 226.20                           | 14 2.68                            |
| Subtotal                            |        |          | 37       |                    | 1,307.79            |                           | <b>1,850.00</b>           | 542.21                           | <b>51 2.68</b>                     |

## YOUR EMA ASSETS

December 01, 2009 - December 31, 2009

| EQUITIES (continued)          |  | Symbol | Acquired                                     | Quantity             | Unit                                    |  | Total<br>Cost Basis                  | Estimated<br>Market Price                | Estimated<br>Market Value              | Unrealized<br>Gain/(Loss)/Annual Income | Estimated Current<br>Yield%              |
|-------------------------------|--|--------|----------------------------------------------|----------------------|-----------------------------------------|--|--------------------------------------|------------------------------------------|----------------------------------------|-----------------------------------------|------------------------------------------|
| Description                   |  |        |                                              |                      | Cost Basis                              |  |                                      |                                          |                                        |                                         |                                          |
| NATIONAL-OILWELL VARCO<br>INC |  | NOV    | 04/13/09<br>04/14/09                         | 5<br>29<br>34        | 33.9920<br>34.8227                      |  | 169.96<br>1,009.86<br>1,179.82       | 44.0900<br>44.0900                       | 220.45<br>1,278.61<br>1,499.06         | 50.49<br>268.75<br>319.24               |                                          |
| Subtotal                      |  |        |                                              |                      |                                         |  |                                      |                                          |                                        |                                         |                                          |
| NESTLE S A REP RG SH ADR      |  | NSRGY  | 03/14/08<br>08/12/08<br>03/05/09             | 11<br>11<br>12       | 49.4909<br>45.6400<br>30.1900           |  | 544.40<br>502.04<br>362.28           | 48.3500<br>48.3500<br>48.3500            | 531.85<br>531.85<br>580.20             | (12.55)<br>29.81<br>217.92              | 9 1.66<br>9 1.66<br>10 1.66              |
| Subtotal                      |  |        |                                              |                      |                                         |  | 1,408.72                             |                                          | 1,643.90                               | 235.18                                  | 28 1.66                                  |
| NEW YORK CMNTY BANCORP        |  | NYB    | 01/23/09<br>01/29/09<br>01/30/09<br>03/05/09 | 43<br>15<br>31<br>75 | 12.0906<br>13.2840<br>13.5796<br>7.8957 |  | 519.90<br>199.26<br>420.97<br>592.18 | 14.5100<br>14.5100<br>14.5100<br>14.5100 | 623.93<br>217.65<br>449.81<br>1,088.25 | 104.03<br>18.39<br>28.84<br>496.07      | 43 6.89<br>15 6.89<br>31 6.89<br>75 6.89 |
| Subtotal                      |  |        |                                              |                      |                                         |  | 1,732.31                             |                                          | 2,379.64                               | 647.33                                  | 164 6.89                                 |
| NEXEN INC CANADA COM          |  | NXV    | 04/15/09<br>04/16/09                         | 15<br>6              | 19.3686<br>19.2166                      |  | 290.53<br>115.30                     | 23.9300<br>23.9300                       | 358.95<br>143.58                       | 68.42<br>28.28                          |                                          |
| Subtotal                      |  |        |                                              |                      |                                         |  | 405.83                               |                                          | 502.53                                 | 96.70                                   |                                          |
| NOKIA CORP SPON ADR           |  | NOK    | 05/06/09<br>06/03/09<br>06/10/09             | 30<br>17<br>16       | 15.0506<br>15.3241<br>15.9506           |  | 451.52<br>260.51<br>255.21           | 12.8500<br>12.8500<br>12.8500            | 385.50<br>218.45<br>205.60             | (66.02)<br>(42.06)<br>(49.61)           | 12 3.05<br>7 3.05<br>7 3.05              |
| Subtotal                      |  |        |                                              |                      |                                         |  | 967.24                               |                                          | 809.55                                 | (157.69)                                | 26 3.05                                  |
| NOMURA HLDGS INC ADR          |  | NMR    | 12/09/09                                     | 58                   | 7.5463                                  |  | 437.69                               | 7.4000                                   | 429.20                                 | (8.49)                                  | 7 1.62                                   |
| NORTHROP GRUMMAN CORP         |  | NOC    | 10/27/09<br>10/28/09                         | 20<br>15             | 50.9855<br>50.9553                      |  | 1,019.71<br>764.33                   | 55.8500<br>55.8500                       | 1,117.00<br>837.75                     | 97.29<br>73.42                          | 35 3.07<br>26 3.07                       |
| Subtotal                      |  |        |                                              |                      |                                         |  | 1,784.04                             |                                          | 1,954.75                               | 170.71                                  | 61 3.07                                  |
| OCCIDENTAL PETE CORP CAL      |  | OXY    | 01/25/08<br>02/04/09<br>03/05/09             | 3<br>5<br>14         | 64.8133<br>55.3820<br>49.8000           |  | 194.44<br>276.91<br>697.20           | 81.3500<br>81.3500<br>81.3500            | 244.05<br>406.75<br>1,138.90           | 49.61<br>129.84<br>441.70               | 4 1.62<br>7 1.62<br>19 1.62              |
| Subtotal                      |  |        |                                              |                      |                                         |  | 1,168.55                             |                                          | 1,789.70                               | 621.15                                  | 30 1.62                                  |
| ORACLE CORP \$0.01 DEL        |  | ORCL   | 10/19/07<br>04/01/08<br>03/05/09             | 22<br>20<br>29       | 21.1336<br>20.2055<br>14.7800           |  | 464.94<br>404.11<br>428.62           | 24.5300<br>24.5300<br>24.5300            | 539.66<br>490.60<br>711.37             | 74.72<br>86.49<br>282.75                | 5 .81<br>4 .81<br>6 .81                  |
| Subtotal                      |  |        |                                              |                      |                                         |  | 1,297.67                             |                                          | 1,741.63                               | 443.96                                  | 15 .81                                   |

## YOUR EMA ASSETS



TOTAL MERRILL®

December 01, 2009 - December 31, 2009

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss)/Annual | Estimated Current<br>Income Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|----------------------------------|------------------------------------|
| OWENS & MINOR INC NEW COM           | OMI    | 01/12/07 | 5        | 31.2200            | 156.10              | 42.9300                   | 214.65                    | 58.55                            | 5 2.14                             |
|                                     |        | 01/17/07 | 20       | 33.0500            | 661.00              | 42.9300                   | 858.60                    | 197.60                           | 19 2.14                            |
|                                     |        | 03/05/09 | 9        | 32.2900            | 290.61              | 42.9300                   | 386.37                    | 95.76                            | 9 2.14                             |
| Subtotal                            |        |          | 34       |                    | 1,107.71            |                           | <b>1,459.62</b>           | 351.91                           | <b>33 2.14</b>                     |
| PEPSICO INC                         | PEP    | 09/25/07 | 6        | 71.6100            | 429.66              | 60.8000                   | 364.80                    | (64.86)                          | 11 2.96                            |
|                                     |        | 07/07/08 | 10       | 66.4930            | 664.93              | 60.8000                   | 608.00                    | (56.93)                          | 18 2.96                            |
|                                     |        | 03/05/09 | 8        | 45.8300            | 366.64              | 60.8000                   | 486.40                    | 119.76                           | 15 2.96                            |
| Subtotal                            |        |          | 24       |                    | 1,461.23            |                           | <b>1,459.20</b>           | (2.03)                           | <b>44 2.96</b>                     |
| PETROLEO BRAS VTG SPD ADR           | PBR    | 07/05/07 | 7        | 32.3957            | 226.77              | 47.6800                   | 333.76                    | 106.99                           | 3 .74                              |
|                                     |        | 07/12/07 | 20       | 33.4750            | 669.50              | 47.6800                   | 953.60                    | 284.10                           | 8 .74                              |
|                                     |        | 11/05/07 | 10       | 44.7820            | 447.82              | 47.6800                   | 476.80                    | 28.98                            | 4 .74                              |
|                                     |        | 12/10/08 | 3        | 22.1500            | 66.45               | 47.6800                   | 143.04                    | 76.59                            | 2 .74                              |
| Subtotal                            |        |          | 40       |                    | 1,410.54            |                           | <b>1,907.20</b>           | 496.66                           | <b>17 .74</b>                      |
| PFIZER INC                          | PFE    | 01/12/07 | 1        | 26.7500            | 26.75               | 18.1900                   | 18.19                     | (8.56)                           | 1 3.95                             |
|                                     |        | 06/19/07 | 20       | 26.2600            | 525.20              | 18.1900                   | 363.80                    | (161.40)                         | 15 3.95                            |
|                                     |        | 01/04/08 | 115      | 23.0500            | 2,650.75            | 18.1900                   | 2,091.85                  | (558.90)                         | 83 3.95                            |
|                                     |        | 03/05/09 | 57       | 12.6992            | 723.86              | 18.1900                   | 1,036.83                  | 312.97                           | 42 3.95                            |
| Subtotal                            |        |          | 193      |                    | 3,926.56            |                           | <b>3,510.67</b>           | (415.89)                         | <b>141 3.95</b>                    |
| PHILIP MORRIS INTL INC              | PM     | 11/02/09 | 25       | 48.1968            | 1,204.92            | 48.1900                   | 1,204.75                  | (0.17)                           | 58 4.81                            |
| PHILLIPS VAN HEUSEN                 | PVH    | 03/05/09 | 29       | 14.9082            | 432.34              | 40.6800                   | 1,179.72                  | 747.38                           | 5 .36                              |
| PRAXAIR INC                         | PX     | 03/16/09 | 16       | 64.2843            | 1,028.55            | 80.3100                   | 1,284.96                  | 256.41                           | 26 1.99                            |
| PROCTER & GAMBLE CO                 | PG     | 05/19/09 | 12       | 53.1183            | 637.42              | 60.6300                   | 727.56                    | 90.14                            | 22 2.90                            |
|                                     |        | 07/07/09 | 18       | 52.1516            | 938.73              | 60.6300                   | 1,091.34                  | 152.61                           | 32 2.90                            |
|                                     |        | 07/08/09 | 6        | 52.1733            | 313.04              | 60.6300                   | 363.78                    | 50.74                            | 11 2.90                            |
|                                     |        | 07/17/09 | 8        | 55.4562            | 443.65              | 60.6300                   | 485.04                    | 41.39                            | 15 2.90                            |
| Subtotal                            |        |          | 44       |                    | 2,332.84            |                           | <b>2,667.72</b>           | 334.88                           | <b>80 2.90</b>                     |
| R R DONNELLEY SONS                  | RRD    | 03/05/09 | 72       | 5.9338             | 427.24              | 22.2700                   | 1,603.44                  | 1,176.20                         | 75 4.67                            |
| RECKITT BENCKISER GR ADR            | RBGPY  | 02/02/09 | 45       | 7.6268             | 343.21              | 10.8500                   | 488.25                    | 145.04                           | 12 2.40                            |
| REED ELSEVIER P L C                 | RUK    | 05/11/09 | 13       | 32.8784            | 427.42              | 32.7900                   | 426.27                    | (1.15)                           | 17 3.96                            |
| SPONSORED ADR NLW                   |        |          |          |                    |                     |                           |                           |                                  |                                    |

## YOUR EMA ASSETS

December 01, 2009 - December 31, 2009

| EQUITIES (continued)                                |            | Symbol | Acquired | Quantity | Unit    |          | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) Annual | Estimated Current<br>Income | Yield% |
|-----------------------------------------------------|------------|--------|----------|----------|---------|----------|---------------------|---------------------------|---------------------------|----------------------------------|-----------------------------|--------|
| Description                                         | Cost Basis |        |          |          |         |          |                     |                           |                           |                                  |                             |        |
| REYNOLDS AMERICAN INC                               |            | RAI    | 01/05/06 | 16       | 49.1300 | 786.08   | 52.9700             | 847.52                    | 61.44                     | 58                               | 6.79                        |        |
|                                                     |            |        | 01/17/07 | 5        | 63.7100 | 318.55   | 52.9700             | 264.85                    | (53.70)                   | 18                               | 6.79                        |        |
|                                                     |            |        | 06/19/07 | 5        | 61.9700 | 309.85   | 52.9700             | 264.85                    | (45.00)                   | 18                               | 6.79                        |        |
|                                                     |            |        | 02/19/08 | 5        | 64.4600 | 322.30   | 52.9700             | 264.85                    | (57.45)                   | 18                               | 6.79                        |        |
|                                                     |            |        | 03/05/09 | 11       | 32.9000 | 361.90   | 52.9700             | 582.67                    | 220.77                    | 40                               | 6.79                        |        |
| Subtotal                                            |            |        |          | 42       |         | 2,098.68 |                     | 2,224.74                  | 126.06                    | 152                              | 6.79                        |        |
| RICOH CO LTD NEW ADR                                |            | RICOY  | 01/16/09 | 7        | 63.2900 | 443.03   | 71.2000             | 498.40                    | 55.37                     | 11                               | 2.18                        |        |
|                                                     |            |        | 03/24/09 | 5        | 59.9800 | 299.90   | 71.2000             | 356.00                    | 56.10                     | 8                                | 2.18                        |        |
|                                                     |            |        | 12/14/09 | 3        | 69.3466 | 208.04   | 71.2000             | 213.60                    | 5.56                      | 5                                | 2.18                        |        |
| Subtotal                                            |            |        |          | 15       |         | 950.97   |                     | 1,068.00                  | 117.03                    | 24                               | 2.18                        |        |
| ROCHE HLDG LTD SPN ADR                              |            | RHHBY  | 01/25/08 | 18       | 44.8494 | 807.29   | 42.2000             | 759.60                    | (47.69)                   | 12                               | 1.57                        |        |
|                                                     |            |        | 02/19/08 | 10       | 44.3750 | 443.75   | 42.2000             | 422.00                    | (21.75)                   | 7                                | 1.57                        |        |
|                                                     |            |        | 05/21/08 | 10       | 43.6180 | 436.18   | 42.2000             | 422.00                    | (14.18)                   | 7                                | 1.57                        |        |
|                                                     |            |        | 03/05/09 | 11       | 27.8100 | 305.91   | 42.2000             | 464.20                    | 158.29                    | 8                                | 1.57                        |        |
| Subtotal                                            |            |        |          | 49       |         | 1,993.13 |                     | 2,067.80                  | 74.67                     | 34                               | 1.57                        |        |
| ROYAL DUTCH SHELL PLC<br>SPONS ADR A                |            | RDSA   | 09/26/07 | 10       | 83.0260 | 830.26   | 60.1100             | 601.10                    | (229.16)                  | 29                               | 4.75                        |        |
|                                                     |            |        | 10/02/07 | 20       | 81.8770 | 1,637.54 | 60.1100             | 1,202.20                  | (435.34)                  | 58                               | 4.75                        |        |
|                                                     |            |        | 02/19/08 | 5        | 71.7100 | 358.55   | 60.1100             | 300.55                    | (58.00)                   | 15                               | 4.75                        |        |
|                                                     |            |        | 11/19/08 | 9        | 48.6833 | 438.15   | 60.1100             | 540.99                    | 102.84                    | 26                               | 4.75                        |        |
|                                                     |            |        | 03/05/09 | 7        | 39.7500 | 278.25   | 60.1100             | 420.77                    | 142.52                    | 20                               | 4.75                        |        |
|                                                     |            |        | 12/21/09 | 5        | 59.2040 | 296.02   | 60.1100             | 300.55                    | 4.53                      | 15                               | 4.75                        |        |
| Subtotal                                            |            |        |          | 56       |         | 3,838.77 |                     | 3,366.16                  | (472.61)                  | 163                              | 4.75                        |        |
| ROYAL KPN N V SP ADR                                |            | KKPNY  | 05/05/09 | 38       | 12.2263 | 464.60   | 17.0500             | 647.90                    | 183.30                    | 28                               | 4.19                        |        |
|                                                     |            |        | 06/04/09 | 10       | 13.0660 | 130.66   | 17.0500             | 170.50                    | 39.84                     | 8                                | 4.19                        |        |
| Subtotal                                            |            |        |          | 48       |         | 595.26   |                     | 818.40                    | 223.14                    | 36                               | 4.19                        |        |
| SANOFI AVENTIS SPON ADR<br>SENSIENT TECHNOLOGY CORP |            | SNY    | 10/28/09 | 11       | 38.6636 | 425.30   | 39.2700             | 431.97                    | 6.67                      | 13                               | 2.84                        |        |
|                                                     |            | SXT    | 03/21/06 | 21       | 17.5900 | 369.39   | 26.3000             | 552.30                    | 182.91                    | 16                               | 2.88                        |        |
|                                                     |            |        | 01/17/07 | 20       | 24.8070 | 496.14   | 26.3000             | 526.00                    | 29.86                     | 16                               | 2.88                        |        |
|                                                     |            |        | 03/05/09 | 14       | 19.4500 | 272.30   | 26.3000             | 368.20                    | 95.90                     | 11                               | 2.88                        |        |
| Subtotal                                            |            |        |          | 55       |         | 1,137.83 |                     | 1,446.50                  | 308.67                    | 43                               | 2.88                        |        |



## YOUR EMA ASSETS



TOTAL MERRILL\*

December 01, 2009 - December 31, 2009

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss)/Annual | Estimated Current<br>Income Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|----------------------------------|------------------------------------|
| SIEMENS AG ADR                      | SI     | 03/21/06 | 3        | 92.3833            | 277.15              | 91.7000                   | 275.10                    | (2.05)                           | 6 1.91                             |
|                                     |        | 01/17/07 | 5        | 101.1700           | 505.85              | 91.7000                   | 458.50                    | (47.35)                          | 9 1.91                             |
|                                     |        | 02/25/08 | 5        | 131.1780           | 655.89              | 91.7000                   | 458.50                    | (197.39)                         | 9 1.91                             |
|                                     |        | 08/28/08 | 7        | 110.4028           | 772.82              | 91.7000                   | 641.90                    | (130.92)                         | 13 1.91                            |
| Subtotal                            |        |          | 20       |                    | 2,211.71            |                           | 1,834.00                  | (377.71)                         | 37 1.91                            |
| SMITH-NPHW PLC SPADR NEW            | SNN    | 08/27/09 | 5        | 43.2800            | 216.40              | 51.2500                   | 256.25                    | 39.85                            | 4 1.32                             |
|                                     |        | 08/28/09 | 14       | 43.6635            | 611.29              | 51.2500                   | 717.50                    | 106.21                           | 10 1.32                            |
| Subtotal                            |        |          | 19       |                    | 827.69              |                           | 973.75                    | 146.06                           | 14 1.32                            |
| SOUTHWESTERN ENERGY CO              | SWN    | 12/22/09 | 25       | 48.9424            | 1,223.56            | 48.2000                   | 1,205.00                  | (18.56)                          |                                    |
| ST JUDE MEDICAL INC                 | STJ    | 07/22/09 | 33       | 36.8572            | 1,216.29            | 36.7800                   | 1,213.74                  | (2.55)                           |                                    |
|                                     |        | 10/30/09 | 10       | 34.2770            | 342.77              | 36.7800                   | 367.80                    | 25.03                            |                                    |
| Subtotal                            |        |          | 43       |                    | 1,559.06            |                           | 1,581.54                  | 22.48                            |                                    |
| STAPLES INC                         | SPLS   | 06/25/08 | 11       | 25.1090            | 276.20              | 24.5900                   | 270.49                    | (5.71)                           | 4 1.34                             |
|                                     |        | 09/11/08 | 15       | 24.5846            | 368.77              | 24.5900                   | 368.85                    | .08                              | 5 1.34                             |
|                                     |        | 03/05/09 | 26       | 14.7100            | 382.46              | 24.5900                   | 639.34                    | 256.88                           | 9 1.34                             |
|                                     |        | 04/09/09 | 10       | 21.0740            | 210.74              | 24.5900                   | 245.90                    | 35.16                            | 4 1.34                             |
| Subtotal                            |        |          | 62       |                    | 1,238.17            |                           | 1,524.58                  | 286.41                           | 22 1.34                            |
| SUMITOMO MITSU FINL ADR             | SMFIY  | 09/10/08 | 61       | 6.1803             | 377.00              | 2.8500                    | 173.85                    | (203.15)                         | 4 1.75                             |
|                                     |        | 09/25/08 | 29       | 6.4524             | 187.12              | 2.8500                    | 82.65                     | (104.47)                         | 2 1.75                             |
|                                     |        | 05/11/09 | 122      | 4.1796             | 509.92              | 2.8500                    | 347.70                    | (162.22)                         | 7 1.75                             |
|                                     |        | 05/21/09 | 5        | 3.9860             | 19.93               | 2.8500                    | 14.25                     | (5.68)                           | 1 1.75                             |
|                                     |        | 05/22/09 | 42       | 3.9980             | 167.92              | 2.8500                    | 119.70                    | (48.22)                          | 3 1.75                             |
|                                     |        | 12/01/09 | 104      | 3.2124             | 334.09              | 2.8500                    | 296.40                    | (37.69)                          | 6 1.75                             |
| Subtotal                            |        |          | 363      |                    | 1,595.98            |                           | 1,034.55                  | (561.43)                         | 23 1.75                            |
| SUNCOR ENERGY INC NEW               | SU     | 09/03/09 | 14       | 29.9928            | 419.90              | 35.3100                   | 494.34                    | 74.44                            |                                    |
| SWISS REINS SPNSD ADR               | SWCEY  | 09/16/08 | 9        | 50.3344            | 453.01              | 48.1900                   | 433.71                    | (19.30)                          | 1 1.14                             |
|                                     |        | 05/13/09 | 8        | 32.7900            | 262.32              | 48.1900                   | 385.52                    | 123.20                           | 1 1.14                             |
|                                     |        | 08/19/09 | 5        | 42.5160            | 212.58              | 48.1900                   | 240.95                    | 28.37                            | 1 1.14                             |
| Subtotal                            |        |          | 22       |                    | 927.91              |                           | 1,060.18                  | 132.27                           | 3 1.14                             |

## YOUR EMA ASSETS

December 01, 2009 - December 31, 2009

| EQUITIES (continued)                 |  | Symbol | Acquired | Quantity | Unit       |                           | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss)/Annual Income | Estimated Current<br>Yield% |
|--------------------------------------|--|--------|----------|----------|------------|---------------------------|---------------------|---------------------------|---------------------------|-----------------------------------------|-----------------------------|
| Description                          |  |        |          |          | Cost Basis | Estimated<br>Market Price |                     |                           |                           |                                         |                             |
| SYMRISE AG ADR                       |  | SYIEY  | 09/08/09 | 10       | 17.3410    | 21.4500                   | 173.41              | 214.50                    | 41.09                     | 7                                       | 3.06                        |
|                                      |  |        | 09/09/09 | 5        | 18.0560    | 21.4500                   | 90.28               | 107.25                    | 16.97                     | 4                                       | 3.06                        |
|                                      |  |        | 09/10/09 | 17       | 18.3841    | 21.4500                   | 312.53              | 364.65                    | 52.12                     | 12                                      | 3.06                        |
| Subtotal                             |  |        |          | 32       |            |                           | 576.22              | 686.40                    | 110.18                    | 23                                      | 3.06                        |
| TAIWAN S MANUFACTURING ADR           |  | TSM    | 12/09/08 | 17       | 7.4135     | 11.4400                   | 126.03              | 194.48                    | 68.45                     | 7                                       | 3.17                        |
|                                      |  |        | 12/18/08 | 24       | 7.9987     | 11.4400                   | 191.97              | 274.56                    | 82.59                     | 9                                       | 3.17                        |
|                                      |  |        | 09/17/09 | 38       | 10.9578    | 11.4400                   | 416.40              | 434.72                    | 18.32                     | 14                                      | 3.17                        |
|                                      |  |        | 10/28/09 | 15       | 9.8140     | 11.4400                   | 147.21              | 171.60                    | 24.39                     | 6                                       | 3.17                        |
|                                      |  |        | 12/21/09 | 17       | 11.2711    | 11.4400                   | 191.61              | 194.48                    | 2.87                      | 7                                       | 3.17                        |
| Subtotal                             |  |        |          | 111      |            |                           | 1,073.22            | 1,269.84                  | 196.62                    | 43                                      | 3.17                        |
| TARGET CORP COM                      |  | TGT    | 10/14/09 | 30       | 51.4330    | 48.3700                   | 1,542.99            | 1,451.10                  | (91.89)                   | 21                                      | 1.40                        |
| TECK RESOURCES LTD CLS B             |  | TCK    | 12/09/09 | 13       | 34.2892    | 34.9700                   | 445.76              | 454.61                    | 8.85                      |                                         |                             |
| TELEFLEX INC                         |  | TFX    | 01/29/09 | 5        | 52.5540    | 53.8900                   | 262.77              | 269.45                    | 6.68                      | 7                                       | 2.52                        |
|                                      |  |        | 01/30/09 | 11       | 53.0800    | 53.8900                   | 583.88              | 592.79                    | 8.91                      | 15                                      | 2.52                        |
|                                      |  |        | 03/05/09 | 8        | 43.9600    | 53.8900                   | 351.68              | 431.12                    | 79.44                     | 11                                      | 2.52                        |
| Subtotal                             |  |        |          | 24       |            |                           | 1,198.33            | 1,293.36                  | 95.03                     | 33                                      | 2.52                        |
| TESCO PLC SPNRD ADR                  |  | TSCDY  | 04/02/08 | 19       | 24.3963    | 20.5700                   | 463.53              | 390.83                    | (72.70)                   | 11                                      | 2.70                        |
| TIME WARNER INC SHS                  |  | TWX    | 05/01/09 | 52       | 21.0400    | 29.1400                   | 1,094.08            | 1,515.28                  | 421.20                    | 39                                      | 2.57                        |
| TNT NV ADR                           |  | TNTTY  | 08/28/08 | 31       | 38.2096    | 30.8000                   | 1,184.50            | 954.80                    | (229.70)                  | 7                                       | .63                         |
| TOKIO MARINE HOLDINGS INC. TOKYO ADR |  | TKOMY  | 07/13/09 | 16       | 27.3650    | 27.2100                   | 437.84              | 435.36                    | (2.48)                    | 7                                       | 1.60                        |
| TOMKINS PLC SP ADR                   |  | TKS    | 07/07/09 | 5        | 10.1440    | 12.4900                   | 50.72               | 62.45                     | 11.73                     | 1                                       | 1.47                        |
|                                      |  |        | 07/08/09 | 15       | 9.2453     | 12.4900                   | 138.68              | 187.35                    | 48.67                     | 3                                       | 1.47                        |
|                                      |  |        | 07/09/09 | 5        | 9.4340     | 12.4900                   | 47.17               | 62.45                     | 15.28                     | 1                                       | 1.47                        |
|                                      |  |        | 07/10/09 | 5        | 9.5780     | 12.4900                   | 47.89               | 62.45                     | 14.56                     | 1                                       | 1.47                        |
|                                      |  |        | 07/13/09 | 14       | 9.6942     | 12.4900                   | 135.72              | 174.86                    | 39.14                     | 3                                       | 1.47                        |
| Subtotal                             |  |        |          | 44       |            |                           | 420.18              | 549.56                    | 129.38                    | 9                                       | 1.47                        |
| TOTAL S.A. SP ADR                    |  | TOT    | 02/19/08 | 6        | 75.2450    | 64.0400                   | 451.47              | 384.24                    | (67.23)                   | 17                                      | 4.33                        |
|                                      |  |        | 08/21/08 | 29       | 73.2348    | 64.0400                   | 2,123.81            | 1,857.16                  | (266.65)                  | 81                                      | 4.33                        |
|                                      |  |        | 03/05/09 | 18       | 44.1900    | 64.0400                   | 795.42              | 1,152.72                  | 357.30                    | 50                                      | 4.33                        |
| Subtotal                             |  |        |          | 53       |            |                           | 3,370.70            | 3,394.12                  | 23.42                     | 148                                     | 4.33                        |



151  
152  
153

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## YOUR EMA ASSETS

Account Name

TOTAL MERRILL®

December 01, 2009 - December 31, 2009

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss)/Annual | Estimated Current<br>Income Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|----------------------------------|------------------------------------|
| TRAVELERS COS INC                   | TRV    | 01/05/06 | 15       | 46.9600            | 704.40              | 49.8600                   | 747.90                    | 43.50                            | 20 2.64                            |
|                                     |        | 03/21/06 | 10       | 42.0000            | 420.00              | 49.8600                   | 498.60                    | 78.60                            | 14 2.64                            |
|                                     |        | 01/17/07 | 5        | 51.0500            | 255.25              | 49.8600                   | 249.30                    | (5.95)                           | 7 2.64                             |
|                                     |        | 06/19/07 | 5        | 53.2040            | 266.02              | 49.8600                   | 249.30                    | (16.72)                          | 7 2.64                             |
| Subtotal                            |        |          | 35       |                    | 1,645.67            |                           | 1,745.10                  | 99.43                            | 48 2.64                            |
| UBS AG REG                          | UBS    | 12/16/08 | 29       | 13.3220            | 386.34              | 15.5100                   | 449.79                    | 63.45                            |                                    |
| UGI CORP NEW                        | UGI    | 03/21/06 | 30       | 21.7303            | 651.91              | 24.1900                   | 725.70                    | 73.79                            | 24 3.30                            |
|                                     |        | 01/17/07 | 5        | 27.6800            | 138.40              | 24.1900                   | 120.95                    | (17.45)                          | 4 3.30                             |
|                                     |        | 06/19/07 | 10       | 27.5480            | 275.48              | 24.1900                   | 241.90                    | (33.58)                          | 8 3.30                             |
| Subtotal                            |        |          | 45       |                    | 1,065.79            |                           | 1,088.55                  | 22.76                            | 36 3.30                            |
| UNION PACIFIC CORP                  | UNP    | 04/03/09 | 25       | 45.9412            | 1,148.53            | 63.9000                   | 1,597.50                  | 448.97                           | 27 1.69                            |
|                                     |        | 07/22/09 | 6        | 59.2666            | 355.60              | 63.9000                   | 383.40                    | 27.80                            | 7 1.69                             |
| Subtotal                            |        |          | 31       |                    | 1,504.13            |                           | 1,980.90                  | 476.77                           | 34 1.69                            |
| UNITED PARCEL SVC CL B              | UPS    | 03/27/09 | 22       | 50.0740            | 1,101.63            | 57.3700                   | 1,262.14                  | 160.51                           | 40 3.13                            |
|                                     |        | 04/02/09 | 9        | 53.3055            | 479.75              | 57.3700                   | 516.33                    | 36.58                            | 17 3.13                            |
| Subtotal                            |        |          | 31       |                    | 1,581.38            |                           | 1,778.47                  | 197.09                           | 57 3.13                            |
| UNITED TECHS CORP COM               | UTX    | 10/13/08 | 19       | 52.2173            | 992.13              | 69.4100                   | 1,318.79                  | 326.66                           | 30 2.21                            |
|                                     |        | 03/05/09 | 8        | 37.8100            | 302.48              | 69.4100                   | 555.28                    | 252.80                           | 13 2.21                            |
| Subtotal                            |        |          | 27       |                    | 1,294.61            |                           | 1,874.07                  | 579.46                           | 43 2.21                            |
| UNIVERSAL CORP VA                   | UVV    | 03/21/06 | 25       | 36.7800            | 919.50              | 45.6100                   | 1,140.25                  | 220.75                           | 47 4.12                            |
|                                     |        | 03/05/09 | 14       | 26.1200            | 365.68              | 45.6100                   | 638.54                    | 272.86                           | 27 4.12                            |
| Subtotal                            |        |          | 39       |                    | 1,285.18            |                           | 1,778.79                  | 493.61                           | 74 4.12                            |
| V F CORPORATION                     | VFC    | 01/05/06 | 13       | 55.1500            | 716.95              | 73.2400                   | 952.12                    | 235.17                           | 32 3.27                            |
|                                     |        | 03/21/06 | 5        | 56.1700            | 280.85              | 73.2400                   | 366.20                    | 85.35                            | 12 3.27                            |
|                                     |        | 03/05/09 | 11       | 48.0000            | 528.00              | 73.2400                   | 805.64                    | 277.64                           | 27 3.27                            |
| Subtotal                            |        |          | 29       |                    | 1,525.80            |                           | 2,123.96                  | 598.16                           | 71 3.27                            |
| VALERO ENERGY CORP NEW              | VLO    | 04/16/09 | 39       | 21.3171            | 831.37              | 16.7500                   | 653.25                    | (178.12)                         | 24 3.58                            |
|                                     |        | 04/20/09 | 36       | 20.1169            | 724.21              | 16.7500                   | 603.00                    | (121.21)                         | 22 3.58                            |
| Subtotal                            |        |          | 75       |                    | 1,555.58            |                           | 1,256.25                  | (299.33)                         | 46 3.58                            |

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8350 0073727 002666

23 of 52

## YOUR EMA ASSETS

December 01, 2009 - December 31, 2009

| EQUITIES (continued)<br>Description | Symbol | Acquired | Quantity | Unit<br>Cost Basis | Total<br>Cost Basis | Estimated<br>Market Price | Estimated<br>Market Value | Unrealized<br>Gain/(Loss) Annual | Estimated Current<br>Income Yield% |
|-------------------------------------|--------|----------|----------|--------------------|---------------------|---------------------------|---------------------------|----------------------------------|------------------------------------|
|                                     |        |          |          |                    |                     |                           |                           |                                  |                                    |
| VERIZON COMMUNICATIONS COM          | VZ     | 10/27/08 | 24       | 28.1066            | 674.56              | 33.1300                   | 795.12                    | 120.56                           | 46 5.73                            |
|                                     |        | 02/27/09 | 11       | 28.7236            | 315.96              | 33.1300                   | 364.43                    | 48.47                            | 21 5.73                            |
|                                     |        | 03/05/09 | 9        | 27.8600            | 250.74              | 33.1300                   | 298.17                    | 47.43                            | 18 5.73                            |
| Subtotal                            |        |          | 44       |                    | 1,241.26            |                           | 1,457.72                  | 216.46                           | 85 5.73                            |
| VODAFONE GROU PLC SP ADR            | VOD    | 01/17/07 | 7        | 29.3114            | 205.18              | 23.0900                   | 161.63                    | (43.55)                          | 10 5.59                            |
|                                     |        | 02/11/08 | 15       | 33.1793            | 497.69              | 23.0900                   | 346.35                    | (151.34)                         | 20 5.59                            |
|                                     |        | 02/19/08 | 10       | 33.1700            | 331.70              | 23.0900                   | 230.90                    | (100.80)                         | 13 5.59                            |
|                                     |        | 07/29/08 | 14       | 26.5735            | 372.03              | 23.0900                   | 323.26                    | (48.77)                          | 19 5.59                            |
|                                     |        | 12/17/09 | 9        | 22.7666            | 204.90              | 23.0900                   | 207.81                    | 2.91                             | 12 5.59                            |
| Subtotal                            |        |          | 55       |                    | 1,611.50            |                           | 1,269.95                  | (341.55)                         | 74 5.59                            |
| WAL-MART STORES INC                 | WMT    | 07/09/08 | 9        | 58.4366            | 525.93              | 53.4500                   | 481.05                    | (44.88)                          | 10 2.03                            |
|                                     |        | 09/11/08 | 4        | 62.4600            | 249.84              | 53.4500                   | 213.80                    | (36.04)                          | 5 2.03                             |
|                                     |        | 03/05/09 | 12       | 49.5200            | 594.24              | 53.4500                   | 641.40                    | 47.16                            | 14 2.03                            |
|                                     |        | 07/06/09 | 7        | 47.8742            | 335.12              | 53.4500                   | 374.15                    | 39.03                            | 8 2.03                             |
| Subtotal                            |        |          | 32       |                    | 1,705.13            |                           | 1,710.40                  | 5.27                             | 37 2.03                            |
| WASTE MANAGEMENT INC NEW            | WM     | 02/01/08 | 10       | 32.9530            | 329.53              | 33.8100                   | 338.10                    | 8.57                             | 12 3.42                            |
|                                     |        | 02/04/08 | 35       | 33.2628            | 1,164.20            | 33.8100                   | 1,183.35                  | 19.15                            | 41 3.42                            |
|                                     |        | 03/05/09 | 14       | 23.4000            | 327.60              | 33.8100                   | 473.34                    | 145.74                           | 17 3.42                            |
| Subtotal                            |        |          | 59       |                    | 1,821.33            |                           | 1,994.79                  | 173.46                           | 70 3.42                            |
| WD 40 COMPANY DELAWARE              | WDFC   | 03/21/06 | 8        | 30.5500            | 244.40              | 32.3600                   | 258.88                    | 14.48                            | 8 3.09                             |
|                                     |        | 01/17/07 | 20       | 32.8900            | 657.80              | 32.3600                   | 647.20                    | (10.60)                          | 20 3.09                            |
|                                     |        | 06/20/07 | 5        | 33.6140            | 168.07              | 32.3600                   | 161.80                    | (6.27)                           | 5 3.09                             |
|                                     |        | 03/05/09 | 10       | 23.0700            | 230.70              | 32.3600                   | 323.60                    | 92.90                            | 10 3.09                            |
| Subtotal                            |        |          | 43       |                    | 1,300.97            |                           | 1,391.48                  | 90.51                            | 43 3.09                            |
| WEIS MARKETS INC                    | WMK    | 01/17/07 | 15       | 42.0540            | 630.81              | 36.3600                   | 545.40                    | (85.41)                          | 18 3.19                            |
|                                     |        | 06/20/07 | 5        | 41.9880            | 209.94              | 36.3600                   | 181.80                    | (28.14)                          | 6 3.19                             |
|                                     |        | 07/16/09 | 5        | 36.9340            | 184.67              | 36.3600                   | 181.80                    | (2.87)                           | 6 3.19                             |
|                                     |        | 07/17/09 | 11       | 37.3445            | 410.79              | 36.3600                   | 399.96                    | (10.83)                          | 13 3.19                            |
| Subtotal                            |        |          | 36       |                    | 1,436.21            |                           | 1,308.96                  | (127.25)                         | 43 3.19                            |
| WESTPAC BANKING ADR                 | WBK    | 12/24/09 | 4        | 111.1000           | 444.40              | 113.0200                  | 452.08                    | 7.68                             | 20 4.30                            |

Allianz Multi CDP III

## YOUR EMA ASSETS

TOTAL MERRILL\*

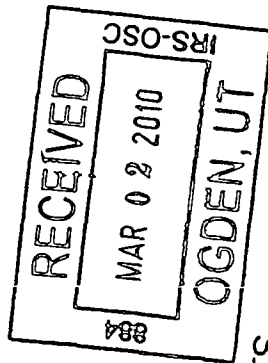
December 01, 2009 December 31, 2009

| EQUITIES (continued)<br>Description | Symbol | Acquired                                     | Quantity             | Unit<br>Cost Basis                       | Total<br>Cost Basis                    | Estimated<br>Market Price                | Estimated<br>Market Value                | Unrealized<br>Gain/(Loss)          | Annual Income | Estimated Current<br>Yield%              |
|-------------------------------------|--------|----------------------------------------------|----------------------|------------------------------------------|----------------------------------------|------------------------------------------|------------------------------------------|------------------------------------|---------------|------------------------------------------|
| WINDSTREAM CORP                     | WIN    | 01/17/07<br>02/19/08<br>03/05/09             | 48<br>40<br>75       | 14.3400<br>12.1600<br>6.6482             | 688.32<br>486.40<br>498.62             | 10.9900<br>10.9900<br>10.9900            | 527.52<br>439.60<br>824.25               | (160.80)<br>(46.80)<br>325.63      |               | 48 9.09<br>40 9.09<br>75 9.09            |
| Subtotal                            |        |                                              | 163                  |                                          | 1,673.34                               |                                          | 1,791.37                                 | 118.03                             |               | 163 9.09                                 |
| WOLVERINE WORLD WIDE                | WWW    | 10/02/08<br>10/03/08<br>10/09/08<br>03/05/09 | 10<br>12<br>16<br>37 | 25.7480<br>25.9975<br>24.2150<br>13.6570 | 257.48<br>311.97<br>387.44<br>505.31   | 27.2200<br>27.2200<br>27.2200<br>27.2200 | 272.20<br>326.64<br>435.52<br>1,007.14   | 14.72<br>14.67<br>48.08<br>501.83  |               | 5 1.61<br>6 1.61<br>8 1.61<br>17 1.61    |
| Subtotal                            |        |                                              | 75                   |                                          | 1,462.20                               |                                          | 2,041.50                                 | 579.30                             |               | 36 1.61                                  |
| WORLD FUEL SERVICES CRP             | INT    | 01/04/08                                     | 68                   | 13.2786                                  | 902.95                                 | 26.7900                                  | 1,821.72                                 | 918.77                             |               | 11 .55                                   |
| WPP PLC ADR                         | WPPGY  | 09/16/08<br>09/24/08                         | 18<br>4              | 47.2361<br>44.9575                       | 850.25<br>179.83                       | 48.6500<br>48.6500                       | 875.70<br>194.60                         | 25.45<br>14.77                     |               | 23 2.57<br>6 2.57                        |
| Subtotal                            |        |                                              | 22                   |                                          | 1,030.08                               |                                          | 1,070.30                                 | 40.22                              |               | 29 2.57                                  |
| WYNN RESORTS LTD                    | WYNN   | 09/28/09                                     | 20                   | 70.6685                                  | 1,413.37                               | 58.2300                                  | 1,164.60                                 | (248.77)                           |               | 5 2.00                                   |
| XEROX CORP                          | XR     | 07/08/08<br>03/05/09                         | 25<br>182            | 13.5508<br>4.4400                        | 338.77<br>808.08                       | 8.4600<br>8.4600                         | 211.50<br>1,539.72                       | (127.27)<br>731.64                 |               | 31 2.00<br>36 2.00                       |
| Subtotal                            |        |                                              | 207                  |                                          | 1,146.85                               |                                          | 1,751.22                                 | 604.37                             |               |                                          |
| YAHOO INC                           | YHOO   | 08/05/09<br>10/08/09                         | 86<br>25             | 14.7844<br>17.8144                       | 1,271.46<br>445.36                     | 16.7800<br>16.7800                       | 1,443.08<br>419.50                       | 171.62<br>(25.86)                  |               |                                          |
| Subtotal                            |        |                                              | 111                  |                                          | 1,716.82                               |                                          | 1,862.58                                 | 145.76                             |               |                                          |
| 3M COMPANY                          | MMM    | 06/03/08<br>10/23/08<br>03/05/09<br>12/11/09 | 9<br>16<br>7<br>15   | 76.1777<br>61.3087<br>42.3500<br>80.7353 | 685.60<br>980.94<br>296.45<br>1,211.03 | 82.6700<br>82.6700<br>82.6700<br>82.6700 | 744.03<br>1,322.72<br>578.69<br>1,240.05 | 58.43<br>341.78<br>282.24<br>29.02 |               | 19 2.46<br>33 2.46<br>15 2.46<br>31 2.46 |
| Subtotal                            |        |                                              | 47                   |                                          | 3,174.02                               |                                          | 3,885.49                                 | 711.47                             |               | 98 2.46                                  |
| TOTAL                               |        |                                              |                      |                                          | 244,536.91                             |                                          | 284,368.21                               | 39,831.30                          |               | 7,732 2.72                               |

Allianz Multi CDP III

## YOUR EMA ASSETS

December 01, 2009 - December 31, 2009



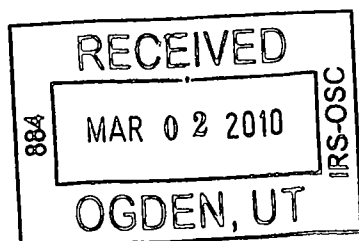
| MUTUAL FUNDS/CLOSED END FUNDS/UIT                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Quantity | Total Client Investment | Cumulative Investment Return | Total Cost Basis  | Estimated Market Price | Estimated Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Current Yield% |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------------------------|------------------------------|-------------------|------------------------|------------------------|------------------------|-------------------------|----------------|
| <b>FIXED INCOME SHARES</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 4,205    | 51,595                  | (336)                        | 51,595.44         | 12 1900                | <b>51,258.95</b>       | (336.49)               |                         |                |
| SERIES C F CL INSTL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |          |                         |                              |                   |                        |                        |                        |                         |                |
| SYMBOL: FXICX Initial Purchase: 01/06/06                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |          |                         |                              |                   |                        |                        |                        |                         |                |
| Fixed Income 100%                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |          |                         |                              |                   |                        |                        |                        |                         |                |
| <b>FIXED INCOME SHARES</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 5,823    | 61,402                  | (5,211)                      | 61,402.96         | 9.6500                 | <b>56,191.95</b>       | (5,211.01)             |                         |                |
| SERIES M F CL INSTL                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |          |                         |                              |                   |                        |                        |                        |                         |                |
| SYMBOL: FXIMX Initial Purchase: 01/06/06                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |          |                         |                              |                   |                        |                        |                        |                         |                |
| Fixed Income 100%                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |          |                         |                              |                   |                        |                        |                        |                         |                |
| <b>Subtotal (Fixed Income)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |          |                         | (5,547)                      | 112,998.40        |                        | <b>107,450.90</b>      | (5,547.50)             |                         |                |
| <b>TOTAL</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |          |                         |                              |                   |                        |                        |                        |                         |                |
| <b>Total Client Investment:</b> Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.<br><b>Cumulative Investment Return:</b> Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.<br><b>Market Timing:</b> Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions. |          |                         |                              |                   |                        |                        |                        |                         |                |
| <b>LONG PORTFOLIO</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |          |                         |                              |                   |                        |                        |                        |                         |                |
| <b>TOTAL</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |          |                         | 502,587.10                   | <b>540,856.32</b> | 37,604.87              | <b>12,731</b>          | 686.30                 |                         | 2.35           |

## Notes

- Δ Debt Instruments purchased at a premium show amortization  
 \* Some agency securities are not backed by the full faith and credit of the United States government.  
 Total values exclude N/A items  
 For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

**JEROME & MARLENE BRODY FOUNDATION**  
**14-1736554**  
**2009 FORM 990-PF**

**ATTACHMENT**  
**STATEMENT 8 DETAIL FOR ITEMS 30-31**





Allianz Multi CDPIII

TOTAL MERRILL\*

## YOUR EMA TRANSACTIONS

January 01, 2009 - January 30, 2009

## REALIZED GAINS/(LOSSES)

| Description              | Quantity | Acquired Date | Liquidation Date | Sales Price     | Cost Basis       | This Statement    | Gains/(Losses) *<br>Year to Date |
|--------------------------|----------|---------------|------------------|-----------------|------------------|-------------------|----------------------------------|
| ADOBE SYS DEL PV\$ 0.001 | 20.0000  | 04/18/07      | 01/14/09         | 425.94          | 859.36           | (433.42)          |                                  |
| ADOBE SYS DEL PV\$ 0.001 | 5.0000   | 06/19/07      | 01/14/09         | 106.48          | 213.16           | (106.68)          |                                  |
| CBL & ASSOC PPTYS INC    | 20.0000  | 01/04/08      | 01/26/09         | 84.46           | 448.05           | (363.59)          |                                  |
| CBL & ASSOC PPTYS INC    | 35.0000  | 01/04/08      | 01/27/09         | 158.13          | 784.09           | (625.96)          |                                  |
| FPL GROUP INC            | 8.0000   | 10/16/07      | 01/06/09         | 409.63          | 507.15           | (97.52)           |                                  |
| FPL GROUP INC            | 15.0000  | 10/17/07      | 01/06/09         | 768.08          | 951.47           | (183.39)          |                                  |
| WYETH                    | 11.0000  | 11/13/07      | 01/12/09         | 407.33          | 513.68           | (106.35)          | (1,916.91)                       |
| Subtotal (Long-Term)     |          |               |                  |                 |                  | (1,916.91)        |                                  |
| ADOBE SYS DEL PV\$ 0.001 | 12.0000  | 09/18/08      | 01/14/09         | 255.57          | 459.11           | (203.54)          |                                  |
| BHP BILLITON PLC SP ADR  | 3.0000   | 08/21/08      | 01/14/09         | 101.84          | 188.92           | (87.08)           |                                  |
| BARCLAYS PLC ADR         | 20.0000  | 08/28/08      | 01/23/09         | 57.16           | 515.59           | (458.43)          |                                  |
| BARCLAYS PLC ADR         | 15.0000  | 08/29/08      | 01/23/09         | 42.87           | 389.60           | (346.73)          |                                  |
| BARCLAYS PLC ADR         | 16.0000  | 09/02/08      | 01/23/09         | 45.74           | 406.31           | (360.57)          |                                  |
| CANON INC ADR REP5SH     | 5.0000   | 08/26/08      | 01/14/09         | 155.13          | 231.44           | (76.31)           |                                  |
| CANON INC ADR REP5SH     | 22.0000  | 08/27/08      | 01/14/09         | 682.58          | 1,017.62         | (335.04)          |                                  |
| HOST HOTELS & RESORTS    | 20.0000  | 02/19/08      | 01/13/09         | 131.81          | 336.80           | (204.99)          |                                  |
| HOST HOTELS & RESORTS    | 15.0000  | 02/29/08      | 01/13/09         | 98.85           | 242.61           | (143.76)          |                                  |
| HOST HOTELS & RESORTS    | 40.0000  | 03/03/08      | 01/13/09         | 263.63          | 669.65           | (406.02)          |                                  |
| HOST HOTELS & RESORTS    | 20.0000  | 03/03/08      | 01/14/09         | 123.53          | 334.83           | (211.30)          |                                  |
| HOST HOTELS & RESORTS    | 20.0000  | 03/04/08      | 01/14/09         | 123.53          | 332.82           | (209.29)          |                                  |
| KOMATSU NEW NEW SPNSDADR | 6.0000   | 09/10/08      | 01/15/09         | 287.22          | 420.87           | (133.65)          |                                  |
| METLIFE INC COM          | 15.0000  | 12/08/08      | 01/14/09         | 415.49          | 454.39           | (38.90)           |                                  |
| METLIFE INC COM          | 15.0000  | 12/08/08      | 01/15/09         | 405.51          | 454.39           | (48.88)           |                                  |
| PNC FINCL SERVICES GROUP | 17.0000  | 12/09/08      | 01/20/09         | 480.43          | 947.28           | (466.85)          |                                  |
| WPP PLC ADR              | 5.0000   | 09/16/08      | 01/14/09         | 138.47          | 236.18           | (97.71)           |                                  |
| WELLS FARGO & CO NEW DEL | 5.0000   | 07/17/08      | 01/15/09         | 100.23          | 134.35           | (34.12)           |                                  |
| WELLS FARGO & CO NEW DEL | 25.0000  | 07/17/08      | 01/15/09         | 501.16          | 691.16           | (190.00)          |                                  |
| Subtotal (Short-Term)    |          |               |                  |                 |                  | (4,053.17)        | (4,053.17)                       |
| <b>TOTAL</b>             |          |               |                  | <b>6,770.80</b> | <b>12,740.88</b> | <b>(5,970.08)</b> | <b>(5,970.08)</b>                |

\* - Excludes transactions for which we have insufficient data

[+]

## YOUR EMA TRANSACTIONS

January 31, 2009 - February 27, 2009

## REALIZED GAINS/(LOSSES)

| Description              | Quantity | Acquired Date | Liquidation Date | Sales Price | Cost Basis | This Statement | Gains/(Losses) *<br>Year to Date |
|--------------------------|----------|---------------|------------------|-------------|------------|----------------|----------------------------------|
| ALCOA INC                | 10.0000  | 09/20/07      | 01/28/09         | 87.64       | 371.46     | (283.82)       |                                  |
| ALCOA INC                | 14.0000  | 09/24/07      | 01/28/09         | 122.70      | 521.56     | (398.86)       |                                  |
| ALCOA INC                | 15.0000  | 09/24/07      | 02/06/09         | 126.78      | 558.82     | (432.04)       |                                  |
| ALCOA INC                | 16.0000  | 09/24/07      | 02/09/09         | 135.79      | 596.09     | (460.30)       |                                  |
| BANK OF AMERICA CORP     | 48.0000  | 01/04/08      | 01/28/09         | 349.32      | 1,917.60   | (1,568.28)     |                                  |
| BAXTER INTERNTL INC      | 2.0000   | 01/12/07      | 02/18/09         | 115.58      | 94.93      | 20.65          |                                  |
| BAXTER INTERNTL INC      | 5.0000   | 01/17/07      | 02/18/09         | 288.96      | 241.45     | 47.51          |                                  |
| DOW CHEMICAL CO          | 10.0000  | 01/17/07      | 02/17/09         | 87.59       | 407.50     | (319.91)       |                                  |
| DOW CHEMICAL CO          | 31.0000  | 02/15/07      | 02/17/09         | 271.54      | 1,344.48   | (1,072.94)     |                                  |
| DOW CHEMICAL CO          | 4.0000   | 02/15/07      | 02/23/09         | 30.57       | 173.49     | (142.92)       |                                  |
| DOW CHEMICAL CO          | 25.0000  | 03/09/07      | 02/23/09         | 191.07      | 1,073.55   | (882.48)       |                                  |
| DOW CHEMICAL CO          | 10.0000  | 03/13/07      | 02/23/09         | 76.42       | 429.71     | (353.29)       |                                  |
| DOW CHEMICAL CO          | 5.0000   | 06/19/07      | 02/23/09         | 38.21       | 226.95     | (188.74)       |                                  |
| DOW CHEMICAL CO          | 10.0000  | 02/19/08      | 02/23/09         | 76.44       | 394.80     | (318.36)       |                                  |
| INTEL CORP               | 41.0000  | 02/04/08      | 02/20/09         | 523.54      | 877.49     | (353.95)       |                                  |
| INTEL CORP               | 5.0000   | 02/04/08      | 02/24/09         | 63.33       | 107.01     | (43.68)        |                                  |
| LOCKHEED MARTIN CORP     | 2.0000   | 08/27/07      | 02/06/09         | 158.24      | 202.25     | (44.01)        |                                  |
| MCDONALDS CORP COM       | 10.0000  | 01/17/07      | 02/04/09         | 581.50      | 449.60     | 131.90         |                                  |
| NOKIA CORP SPON ADR      | 21.0000  | 12/21/07      | 02/10/09         | 270.35      | 815.00     | (544.65)       |                                  |
| ROCHE HLDG LTD SPN ADR   | 2.0000   | 01/25/08      | 02/02/09         | 69.67       | 89.69      | (20.02)        |                                  |
| WYETH                    | 14.0000  | 11/13/07      | 02/19/09         | 600.21      | 653.79     | (53.58)        |                                  |
| WYETH                    | 11.0000  | 02/19/08      | 02/23/09         | 462.31      | 461.56     | .75            |                                  |
| Subtotal (Long-Term)     |          |               |                  |             |            | (7,281.02)     | (9,197.93)                       |
| GILEAD SCIENCES INC COM  | 13.0000  | 04/18/08      | 02/05/09         | 662.74      | 669.69     | (6.95)         |                                  |
| HARTFORD FINL SVCS GROUP | 5.0000   | 05/22/08      | 02/24/09         | 40.82       | 353.28     | (312.46)       |                                  |
| HARTFORD FINL SVCS GROUP | 5.0000   | 05/28/08      | 02/24/09         | 40.82       | 350.32     | (309.50)       |                                  |
| HARTFORD FINL SVCS GROUP | 15.0000  | 05/30/08      | 02/24/09         | 122.46      | 1,068.70   | (946.24)       |                                  |
| HEWLETT PACKARD CO DEL   | 10.0000  | 06/05/08      | 02/24/09         | 296.35      | 484.41     | (188.06)       |                                  |
| MCDONALDS CORP COM       | 5.0000   | 07/09/08      | 02/04/09         | 290.76      | 296.66     | (5.90)         |                                  |
| NOVARTIS ADR             | 25.0000  | 08/21/08      | 01/30/09         | 1,033.70    | 1,390.20   | (356.50)       |                                  |

Allianz Multi CDP III

## YOUR EMA TRANSACTIONS

January 31 2009 - February 27 2009

## REALIZED GAINS/(LOSSES) (continued)

| Description              | Quantity | Acquired Date | Liquidation Date | Sales Price     | Cost Basis       | This Statement    | Gains/(Losses) *<br>Year to Date |
|--------------------------|----------|---------------|------------------|-----------------|------------------|-------------------|----------------------------------|
| RAYTHEON CO DELAWARE NEW | 3.0000   | 03/25/08      | 02/09/09         | 144.19          | 193.28           | (49.09)           |                                  |
| 3M COMPANY               | 5.0000   | 05/28/08      | 02/10/09         | 266.45          | 384.19           | (117.74)          |                                  |
| 3M COMPANY               | 11.0000  | 06/03/08      | 02/10/09         | 586.19          | 837.95           | (251.76)          |                                  |
| UNILEVER NV NY REG SHS   | 5.0000   | 08/25/08      | 01/30/09         | 110.12          | 140.07           | (29.95)           |                                  |
| UNILEVER NV NY REG SHS   | 15.0000  | 08/26/08      | 01/30/09         | 330.36          | 418.20           | (87.84)           |                                  |
| WYETH                    | 4.0000   | 02/19/08      | 02/19/09         | 171.49          | 167.84           | 3.65              |                                  |
| Subtotal (Short-Term)    |          |               |                  |                 | (2,658.34)       |                   | (6,711.51)                       |
| <b>TOTAL</b>             |          |               |                  | <b>8,824.21</b> | <b>18,763.57</b> | <b>(9,939.36)</b> | <b>(15,909.44)</b>               |

\* - Excludes transactions for which we have insufficient data

## UNSETTLED TRADES

| Trade Date       | Settlement Date | Description                | Symbol/<br>Cusip | Transaction Type | Quantity | Price   | Amount          |
|------------------|-----------------|----------------------------|------------------|------------------|----------|---------|-----------------|
| 02/25            | 03/02           | CARDINAL HEALTH INC OHIO   | CAH              | Purchase         | 5        | 35.3769 | (176.88)        |
| 02/25            | 03/02           | INTEL CORP                 | INTC             | Sale             | 32       | 12.7823 | 409.02          |
| 02/25            | 03/02           | MEDTRONIC INC COM          | MDT              | Purchase         | 12       | 34.1918 | (410.30)        |
| 02/25            | 03/02           | SUPERVALU INC DEL COM      | SVU              | Purchase         | 24       | 18.1792 | (436.30)        |
| 02/25            | 03/02           | WYETH                      | WYE              | Sale             | 27       | 41.5658 | 1,122.27        |
| 02/26            | 03/03           | BRISTOL-MYERS SQUIBB CO    | BMV              | Purchase         | 55       | 20.6063 | (1,133.35)      |
| 02/26            | 03/03           | CARDINAL HEALTH INC OHIO   | CAH              | Purchase         | 8        | 34.4371 | (275.50)        |
| 02/26            | 03/03           | EMBARQ CORP                | EQ               | Purchase         | 5        | 34.7015 | (173.51)        |
| 02/27            | 03/04           | EMBARQ CORP                | EQ               | Purchase         | 9        | 35.4332 | (318.90)        |
| 02/27            | 03/04           | JOHNSON AND JOHNSON COM    | JNJ              | Sale             | 17       | 50.5554 | 859.43          |
| 02/27            | 03/04           | VERIZON COMMUNICATIONS COM | VZ               | Purchase         | 11       | 28.7236 | (315.96)        |
| <b>NET TOTAL</b> |                 |                            |                  |                  |          |         | <b>(849.98)</b> |

## YOUR EMA TRANSACTIONS

February 28, 2009 - March 31, 2009

## REALIZED GAINS/(LOSSES)

| Description              | Quantity   | Acquired Date | Liquidation Date | Sales Price | Cost Basis | This Statement | Gains/(Losses) *<br>Year to Date |
|--------------------------|------------|---------------|------------------|-------------|------------|----------------|----------------------------------|
| U.S. TRSY INFLATION NOTE | 4000.0000  | 01/16/07      | 03/26/09         | 4,441.07    | 4,108.46   | 332.61         |                                  |
| U.S. TRSY INFLATION NOTE | 3000.0000  | 06/21/07      | 03/26/09         | 3,330.81    | 3,079.76   | 251.05         |                                  |
| FNMA P190391 06%2038 COR | 17000.0000 | 10/24/08      | 03/09/09         | 15,756.92   | 15,481.41  | N/C            |                                  |
| FHLMC GO 4877 06%2038    | 7000.0000  | 10/29/08      | 03/09/09         | 6,920.30    | 6,691.86   | N/C            |                                  |
| APPLE INC                | 2.0000     | 01/17/07      | 03/06/09         | 166.92      | 191.18     | (24.26)        |                                  |
| BECTON DICKINSON CO      | 1.0000     | 01/05/06      | 03/06/09         | 63.25       | 60.79      | 2.46           |                                  |
| BECTON DICKINSON CO      | 4.0000     | 03/21/06      | 03/06/09         | 253.01      | 255.52     | (2.51)         |                                  |
| CANADIAN NATURAL RES LTD | 3.0000     | 01/12/07      | 03/06/09         | 94.40       | 139.55     | (45.15)        |                                  |
| CANADIAN NATURAL RES LTD | 6.0000     | 01/17/07      | 03/06/09         | 188.82      | 282.54     | (93.72)        |                                  |
| CBS CORP NEW CL B        | 20.0000    | 06/08/06      | 03/05/09         | 69.33       | 535.71     | (466.38)       |                                  |
| CBS CORP NEW CL B        | 15.0000    | 06/27/06      | 03/05/09         | 52.00       | 397.06     | (345.06)       |                                  |
| CBS CORP NEW CL B        | 25.0000    | 06/28/06      | 03/05/09         | 86.67       | 663.28     | (576.61)       |                                  |
| CBS CORP NEW CL B        | 20.0000    | 01/17/07      | 03/05/09         | 69.34       | 628.60     | (559.26)       |                                  |
| CBS CORP NEW CL B        | 20.0000    | 02/19/08      | 03/05/09         | 69.34       | 508.20     | (438.86)       |                                  |
| COLGATE PALMOLIVE        | 3.0000     | 02/06/07      | 03/06/09         | 165.05      | 201.54     | (36.49)        |                                  |
| COLGATE PALMOLIVE        | 2.0000     | 08/02/07      | 03/06/09         | 110.04      | 134.43     | (24.39)        |                                  |
| COLGATE PALMOLIVE        | 5.0000     | 08/02/07      | 03/18/09         | 289.14      | 336.08     | (46.94)        |                                  |
| GLAXOSMITHKLINE PLC ADR  | 14.0000    | 06/05/07      | 03/06/09         | 397.04      | 725.17     | (328.13)       |                                  |
| IAMGOLD CORP COM         | 15.0000    | 01/12/07      | 03/06/09         | 115.20      | 128.61     | (13.41)        |                                  |
| IAMGOLD CORP COM         | 25.0000    | 01/16/07      | 03/06/09         | 192.01      | 215.90     | (23.89)        |                                  |
| IAMGOLD CORP COM         | 31.0000    | 01/17/07      | 03/06/09         | 238.09      | 269.67     | (31.58)        |                                  |
| IAMGOLD CORP COM         | 24.0000    | 02/01/07      | 03/06/09         | 184.34      | 211.92     | (27.58)        |                                  |
| INTEL CORP               | 12.0000    | 02/04/08      | 02/25/09         | 153.38      | 256.84     | (103.46)       |                                  |
| INTEL CORP               | 20.0000    | 02/19/08      | 02/25/09         | 255.64      | 412.20     | (156.56)       |                                  |
| JOHNSON AND JOHNSON COM  | 2.0000     | 06/20/06      | 02/27/09         | 101.10      | 123.23     | (22.13)        |                                  |
| JOHNSON AND JOHNSON COM  | 15.0000    | 01/17/07      | 02/27/09         | 758.33      | 1,003.20   | (244.87)       |                                  |
| NOKIA CORP SPON ADR      | 1.0000     | 12/21/07      | 03/06/09         | 8.53        | 38.81      | (30.28)        |                                  |
| NOKIA CORP SPON ADR      | 40.0000    | 01/24/08      | 03/06/09         | 341.60      | 1,401.75   | (1,060.15)     |                                  |
| NOKIA CORP SPON ADR      | 10.0000    | 02/12/08      | 03/06/09         | 85.39       | 370.94     | (285.55)       |                                  |
| NOKIA CORP SPON ADR      | 10.0000    | 02/19/08      | 03/06/09         | 85.40       | 365.30     | (279.90)       |                                  |





Multi CDP III

TOTAL MERRILL\*

## YOUR EMA TRANSACTIONS

February 28, 2009 - March 31, 2009

### REALIZED GAINS/(LOSSES) (continued)

| Description              | Quantity  | Acquired Date | Liquidation Date | Sales Price | Cost Basis | This Statement | Gains/(Losses) *<br>Year to Date |
|--------------------------|-----------|---------------|------------------|-------------|------------|----------------|----------------------------------|
| NORTH TRUST CORP         | 9.0000    | 10/10/07      | 03/24/09         | 571.80      | 649.61     | (77.81)        |                                  |
| OCCIDENTAL PETE CORP CAL | 14.0000   | 09/19/07      | 03/24/09         | 825.85      | 915.36     | (89.51)        |                                  |
| PFIZER INC DEL PV\$0.05  | 30.0000   | 04/28/06      | 03/06/09         | 374.75      | 754.46     | (379.71)       |                                  |
| PFIZER INC DEL PV\$0.05  | 9.0000    | 01/12/07      | 03/06/09         | 112.43      | 240.75     | (128.32)       |                                  |
| WORLD FUEL SERVICES CRP  | 11.0000   | 01/04/08      | 03/06/09         | 286.08      | 292.13     | (6.05)         |                                  |
| PIMCO FXD INC SHS SER C  | 341.0000  | 01/06/06      | 03/10/09         | 3,590.73    | 3,962.42   | (371.69)       |                                  |
| PIMCO FXD INC SHS SER M  | 97.0000   | 05/09/05      | 03/10/09         | 766.29      | 1,102.89   | (336.60)       |                                  |
| PIMCO FXD INC SHS SER M  | 112.0000  | 01/06/06      | 03/10/09         | 884.81      | 1,270.08   | (385.27)       |                                  |
| Subtotal (Long-Term)     |           |               |                  |             | (6,455.96) |                | (15,653.89)                      |
| U.S. TRSY INFLATION NOTE | 9000.0000 | 09/11/08      | 03/26/09         | 9,992.43    | 9,700.84   | 291.59         |                                  |
| APOLLO GROUP INC CL A    | 10.0000   | 01/16/09      | 03/26/09         | 775.12      | 878.78     | (103.66)       |                                  |
| APOLLO GROUP INC CL A    | 5.0000    | 03/05/09      | 03/26/09         | 387.57      | 335.10     | 52.47          |                                  |
| ALTRIA GROUP INC         | 18.0000   | 04/10/08      | 03/06/09         | 278.82      | 386.82     | (108.00)       |                                  |
| AMAZON COM INC COM       | 5.0000    | 12/04/08      | 03/06/09         | 299.44      | 248.87     | 50.57          |                                  |
| APPLE INC                | 2.0000    | 03/24/08      | 03/06/09         | 166.92      | 278.83     | (111.91)       |                                  |
| BOEING COMPANY           | 5.0000    | 08/08/08      | 03/06/09         | 147.56      | 334.16     | (186.60)       |                                  |
| BOEING COMPANY           | 9.0000    | 08/12/08      | 03/06/09         | 265.61      | 594.89     | (329.28)       |                                  |
| DIAGEO PLC SP5D ADR NEW  | 9.0000    | 03/05/09      | 03/18/09         | 390.34      | 390.87     | (.53)          |                                  |
| ENI S P A SPONSORED ADR  | 5.0000    | 08/25/08      | 03/06/09         | 152.64      | 318.05     | (165.41)       |                                  |
| ENI S P A SPONSORED ADR  | 3.0000    | 08/26/08      | 03/06/09         | 91.59       | 190.94     | (99.35)        |                                  |
| GROUPE DANONE SPON ADR   | 34.0000   | 10/09/08      | 03/06/09         | 291.71      | 415.66     | (123.95)       |                                  |
| GENENTECH INC NEW        | 12.0000   | 05/09/08      | 03/13/09         | 1,128.03    | 822.32     | 305.71         |                                  |
| GENENTECH INC NEW        | 4.0000    | 03/05/09      | 03/13/09         | 376.02      | 327.76     | 48.26          |                                  |
| GAO GAZPROM SPON ADR     | 5.0000    | 04/16/08      | 03/25/09         | 81.35       | 268.83     | (187.48)       |                                  |
| GAO GAZPROM SPON ADR     | 7.0000    | 04/16/08      | 03/26/09         | 113.90      | 376.38     | (262.48)       |                                  |
| GENERAL ELECTRIC         | 33.0000   | 09/18/08      | 03/06/09         | 226.37      | 764.50     | (538.13)       |                                  |
| GENERAL ELECTRIC         | 1.0000    | 09/22/08      | 03/06/09         | 6.86        | 26.89      | (20.03)        |                                  |
| GOOGLE INC CL A          | 1.0000    | 06/19/08      | 03/06/09         | 303.97      | 555.71     | (251.74)       |                                  |
| INTEL CORP               | 18.0000   | 12/09/08      | 03/06/09         | 218.51      | 254.75     | (36.24)        |                                  |
| INTL BUSINESS MACHINES   | 3.0000    | 06/24/08      | 03/06/09         | 251.99      | 370.66     | (118.67)       |                                  |

Allianz Multi CDP III

## YOUR EMA TRANSACTIONS

February 28, 2009 - March 31, 2009

### REALIZED GAINS/(LOSSES) (continued)

| Description              | Quantity | Acquired<br>Date | Liquidation<br>Date | Sales Price      | Cost Basis       | This Statement     | Gains/(Losses) *<br>Year to Date |
|--------------------------|----------|------------------|---------------------|------------------|------------------|--------------------|----------------------------------|
| KOHL'S CORP WISC PV 1CT  | 7.0000   | 10/22/08         | 03/06/09            | 234.42           | 210.77           | 23.65              |                                  |
| KOHL'S CORP WISC PV 1CT  | 8.0000   | 10/22/08         | 03/18/09            | 314.97           | 240.87           | 74.10              |                                  |
| NOVARTIS ADR             | 9.0000   | 08/21/08         | 03/11/09            | 307.20           | 500.48           | (193.28)           |                                  |
| NOKIA CORP SPON ADR      | 25.0000  | 04/28/08         | 03/06/09            | 213.51           | 739.87           | (526.36)           |                                  |
| PNC FINCL SERVICES GROUP | 12.0000  | 01/12/09         | 03/09/09            | 235.50           | 574.65           | (339.15)           |                                  |
| PNC FINCL SERVICES GROUP | 11.0000  | 01/20/09         | 03/09/09            | 215.89           | 298.84           | (82.95)            |                                  |
| PNC FINCL SERVICES GROUP | 5.0000   | 01/20/09         | 03/11/09            | 124.86           | 135.84           | (10.98)            |                                  |
| PNC FINCL SERVICES GROUP | 26.0000  | 02/17/09         | 03/11/09            | 649.27           | 689.96           | (40.69)            |                                  |
| PNC FINCL SERVICES GROUP | 16.0000  | 02/23/09         | 03/11/09            | 399.56           | 399.59           | (.03)              |                                  |
| PHILIP MORRIS INTL INC   | 8.0000   | 07/14/08         | 03/06/09            | 261.61           | 428.03           | (166.42)           |                                  |
| PROCTER & GAMBLE CO      | 17.0000  | 09/18/08         | 03/24/09            | 804.76           | 1,226.89         | (422.13)           |                                  |
| PROCTER & GAMBLE CO      | 6.0000   | 03/05/09         | 03/24/09            | 284.04           | 272.94           | 11.10              |                                  |
| QUALCOMM INC             | 7.0000   | 06/12/08         | 03/06/09            | 230.36           | 344.15           | (113.79)           |                                  |
| RIO TINTO PLC SPNSRD ADR | 5.0000   | 12/10/08         | 03/17/09            | 554.49           | 459.17           | 95.32              |                                  |
| RIO TINTO PLC SPNSRD ADR | 2.0000   | 12/10/08         | 03/23/09            | 265.16           | 183.67           | 81.49              |                                  |
| RIO TINTO PLC SPNSRD ADR | 3.0000   | 03/05/09         | 03/23/09            | 397.76           | 287.79           | 109.97             |                                  |
| RAYTHEON CO DELAWARE NEW | 15.0000  | 03/25/08         | 03/13/09            | 508.11           | 966.42           | (458.31)           |                                  |
| RAYTHEON CO DELAWARE NEW | 7.0000   | 03/25/08         | 03/18/09            | 240.22           | 451.01           | (210.79)           |                                  |
| RAYTHEON CO DELAWARE NEW | 8.0000   | 03/05/09         | 03/18/09            | 274.54           | 286.80           | (12.26)            |                                  |
| SAP AGSLT SPONSORD ADR   | 9.0000   | 11/03/08         | 03/06/09            | 281.15           | 324.23           | (43.08)            |                                  |
| WAL-MART STORES INC      | 8.0000   | 06/24/08         | 03/06/09            | 385.11           | 461.17           | (76.06)            |                                  |
| WAL-MART STORES INC      | 1.0000   | 06/27/08         | 03/06/09            | 48.14            | 56.97            | (8.83)             |                                  |
| WELLS FARGO & CO NEW DEL | 20.0000  | 07/17/08         | 03/09/09            | 191.11           | 552.93           | (361.82)           |                                  |
| WELLS FARGO & CO NEW DEL | 30.0000  | 12/08/08         | 03/09/09            | 286.66           | 953.27           | (666.61)           |                                  |
| WELLS FARGO & CO NEW DEL | 26.0000  | 03/05/09         | 03/09/09            | 248.45           | 206.15           | 42.30              |                                  |
| WELLS FARGO & CO NEW DEL | 74.0000  | 03/05/09         | 03/12/09            | 964.26           | 586.76           | 377.50             |                                  |
| WYETH                    | 27.0000  | 11/18/08         | 02/25/09            | 1,122.27         | 927.13           | 195.14             |                                  |
| Subtotal (Short-Term)    |          |                  |                     |                  |                  | (4,617.83)         | (11,329.34)                      |
| <b>TOTAL</b>             |          |                  |                     | <b>68,445.33</b> | <b>79,015.17</b> | <b>(11,073.79)</b> | <b>(26,983.23)</b>               |

\* - Excludes transactions for which we have insufficient data


  
 Allianz

Allianz Multi CDPIII

## YOUR EMA TRANSACTIONS



TOTAL MERRILL\*

April 01, 2009 - April 30, 2009

## REALIZED GAINS/(LOSSES)

| Description                    | Quantity  | Acquired Date | Liquidation Date | Sales Price | Cost Basis | This Statement | Gains/(Losses)*<br>Year to Date |
|--------------------------------|-----------|---------------|------------------|-------------|------------|----------------|---------------------------------|
| 0 U.S. TRSY INFLATION NOTE CXL | 4000.0000 | 01/16/07      | 03/26/09         | 4,441.07    | 4,108.46   | (332.61)       |                                 |
| 0 U.S. TRSY INFLATION NOTE     | 4000.0000 | 01/16/07      | 03/26/09         | 4,441.07    | 4,230.83   | 210.24         |                                 |
| 0 U.S. TRSY INFLATION NOTE CXL | 3000.0000 | 06/21/07      | 03/26/09         | 3,330.81    | 3,079.76   | (251.05)       |                                 |
| 0 U.S. TRSY INFLATION NOTE     | 3000.0000 | 06/21/07      | 03/26/09         | 3,330.81    | 3,171.54   | 159.27         |                                 |
| INTESA SANPAOLO SPON ADR       | 5.0000    | 06/12/07      | 04/16/09         | 91.16       | 220.28     | (129.12)       |                                 |
| INTESA SANPAOLO SPON ADR       | 10.0000   | 08/16/07      | 04/16/09         | 182.33      | 436.50     | (254.17)       |                                 |
| INTESA SANPAOLO SPON ADR       | 5.0000    | 04/02/08      | 04/16/09         | 91.16       | 222.11     | (130.95)       |                                 |
| OCCIDENTAL PETE CORP CAL       | 13.0000   | 09/19/07      | 03/27/09         | 755.26      | 849.98     | (94.72)        |                                 |
| SUSQUEHANNA BANCSHRS INC       | 58.0000   | 01/04/08      | 04/23/09         | 483.99      | 986.78     | (502.79)       |                                 |
| WHIRLPOOL CORP                 | 5.0000    | 12/17/07      | 04/08/09         | 156.64      | 403.57     | (246.93)       |                                 |
| WHIRLPOOL CORP                 | 5.0000    | 12/19/07      | 04/08/09         | 156.64      | 404.18     | (247.54)       |                                 |
| WHIRLPOOL CORP                 | 10.0000   | 12/20/07      | 04/08/09         | 313.29      | 809.43     | (496.14)       |                                 |
| Subtotal (Long-Term)           |           |               |                  |             |            | (2,316.51)     | (17,970.40)                     |
| 0 U.S. TRSY INFLATION NOTE CXL | 9000.0000 | 09/11/08      | 03/26/09         | 9,992.43    | 9,700.84   | (291.59)       |                                 |
| 0 U.S. TRSY INFLATION NOTE     | 9000.0000 | 09/11/08      | 03/26/09         | 9,992.43    | 9,976.19   | 16.24          |                                 |
| AMAZON COM INC COM             | 2.0000    | 12/04/08      | 03/27/09         | 142.59      | 99.55      | 43.04          |                                 |
| BHP BILLITON LTD ADR           | 21.0000   | 02/09/09      | 04/09/09         | 982.14      | 964.04     | 18.10          |                                 |
| COSTCO WHOLESALE CRP DEL       | 19.0000   | 11/04/08      | 04/21/09         | 849.34      | 1,054.08   | (204.74)       |                                 |
| COSTCO WHOLESALE CRP DEL       | 7.0000    | 11/24/08      | 04/21/09         | 312.91      | 343.61     | (30.70)        |                                 |
| COSTCO WHOLESALE CRP DEL       | 7.0000    | 03/05/09      | 04/21/09         | 312.92      | 277.06     | 35.86          |                                 |
| COVIDIEN LTD                   | 5.0000    | 09/18/08      | 04/02/09         | 162.94      | 272.48     | (109.54)       |                                 |
| COVIDIEN LTD                   | 16.0000   | 09/18/08      | 04/03/09         | 506.47      | 871.97     | (365.50)       |                                 |
| COVIDIEN LTD                   | 9.0000    | 03/05/09      | 04/03/09         | 284.89      | 254.70     | 30.19          |                                 |
| DAWA SECS GROUP INC ADR        | 5.0000    | 09/10/08      | 04/16/09         | 253.94      | 383.32     | (129.38)       |                                 |
| DAWA SECS GROUP INC ADR        | 9.0000    | 09/11/08      | 04/16/09         | 457.10      | 676.78     | (219.68)       |                                 |
| DAWA SECS GROUP INC ADR        | 4.0000    | 09/25/08      | 04/16/09         | 203.15      | 302.92     | (99.77)        |                                 |
| DAWA SECS GROUP INC ADR        | 9.0000    | 03/05/09      | 04/16/09         | 457.11      | 306.45     | 150.66         |                                 |
| EXXON MOBIL CORP COM           | 16.0000   | 11/21/08      | 04/13/09         | 1,093.56    | 1,116.27   | (22.71)        |                                 |
| EXXON MOBIL CORP COM           | 5.0000    | 03/05/09      | 04/13/09         | 341.75      | 313.55     | 28.20          |                                 |
| FREEMPT-MCMRAN CPR & GLD       | 6.0000    | 02/05/09      | 04/07/09         | 252.19      | 165.38     | 86.81          |                                 |

## YOUR EMA TRANSACTIONS

April 01, 2009 - April 30, 2009

## REALIZED GAINS/(LOSSES) (continued)

| Description              | Quantity | Acquired Date | Liquidation Date | Sales Price      | Cost Basis       | This Statement    | Gains/(Losses) *<br>Year to Date |
|--------------------------|----------|---------------|------------------|------------------|------------------|-------------------|----------------------------------|
| GENERAL ELECTRIC         | 27.0000  | 09/22/08      | 04/16/09         | 319.16           | 726.25           | (407.09)          |                                  |
| GENERAL ELECTRIC         | 88.0000  | 03/05/09      | 04/16/09         | 1,040.24         | 591.34           | 448.90            |                                  |
| GENERAL ELECTRIC         | 68.0000  | 03/05/09      | 04/20/09         | 775.31           | 456.96           | 318.35            |                                  |
| INTESA SANPAOLO SPON ADR | 13.0000  | 11/28/08      | 04/16/09         | 237.05           | 232.73           | 4.32              |                                  |
| JUNIPER NETWORKS INC     | 56.0000  | 01/21/09      | 04/07/09         | 891.59           | 923.14           | (31.55)           |                                  |
| JUNIPER NETWORKS INC     | 20.0000  | 03/05/09      | 04/07/09         | 318.43           | 266.00           | 52.43             |                                  |
| SHINHAN FINL GRP SP ADR  | 11.0000  | 03/05/09      | 04/09/09         | 475.35           | 282.36           | 192.99            |                                  |
| STATOILHYDRO ASA         | 10.0000  | 08/21/08      | 04/15/09         | 174.90           | 314.95           | (140.05)          |                                  |
| STATOILHYDRO ASA         | 30.0000  | 08/22/08      | 04/15/09         | 524.71           | 933.05           | (408.34)          |                                  |
| STATOILHYDRO ASA         | 4.0000   | 08/22/08      | 04/16/09         | 71.25            | 124.41           | (53.16)           |                                  |
| STATOILHYDRO ASA         | 17.0000  | 03/05/09      | 04/16/09         | 302.85           | 266.54           | 36.31             |                                  |
| TARGET CORP COM          | 9.0000   | 03/18/09      | 04/15/09         | 341.43           | 277.13           | 64.30             |                                  |
| WAL-MART STORES INC      | 5.0000   | 06/27/08      | 04/09/09         | 252.15           | 284.86           | (32.71)           |                                  |
| WHIRLPOOL CORP           | 24.0000  | 03/05/09      | 04/08/09         | 751.92           | 489.67           | 262.25            |                                  |
| WHIRLPOOL CORP           | 5.0000   | 03/05/09      | 04/16/09         | 183.25           | 102.01           | 81.24             |                                  |
| WHIRLPOOL CORP           | 18.0000  | 03/05/09      | 04/17/09         | 662.10           | 367.26           | 294.84            |                                  |
| Subtotal (Short-Term)    |          |               |                  |                  |                  | (381.48)          | (11,710.82)                      |
| <b>TOTAL</b>             |          |               |                  | <b>33,929.47</b> | <b>35,752.21</b> | <b>(2,697.99)</b> | <b>(29,681.22)</b>               |

\* - Excludes transactions for which we have insufficient data

Δ Debt Instruments purchased at a premium show amortization θ Debt Instruments purchased at a discount show accretion

CXL - Indicates the cancellation of an error transaction.



[REDACTED]

## May 01, 2009 - May 29, 2009

**SECURITY TRANSACTIONS (continued)**

| Description              | Quantity | Acquired Date | Liquidation Date | Sales Price | Cost Basis | Gains/(Losses) * |              |
|--------------------------|----------|---------------|------------------|-------------|------------|------------------|--------------|
|                          |          |               |                  |             |            | This Statement   | Year to Date |
| AXA -SPONS ADR           | 9.0000   | 03/21/06      | 05/06/09         | 153.37      | 308.77     | (155.40)         |              |
| AXA -SPONS ADR           | 15.0000  | 01/17/07      | 05/06/09         | 255.62      | 628.35     | (372.73)         |              |
| AXA -SPONS ADR           | 10.0000  | 10/03/07      | 05/06/09         | 170.41      | 454.55     | (284.14)         |              |
| AXA -SPONS ADR           | 10.0000  | 04/01/08      | 05/06/09         | 170.42      | 386.86     | (216.44)         |              |
| AXA -SPONS ADR           | 10.0000  | 04/02/08      | 05/06/09         | 170.43      | 394.66     | (224.23)         |              |
| AMERICAN TOWER CORP CL A | 5.0000   | 07/18/07      | 04/30/09         | 164.33      | 217.23     | (52.90)          |              |

## Gains/(Losses) \*

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Allianz Multi CDPIII

TOTAL MERRILL\*

## YOUR EMA TRANSACTIONS

May 01, 2009 May 29, 2009

## REALIZED GAINS/(LOSSES)(continued)

| Description              | Quantity | Acquired<br>Date | Liquidation<br>Date | Sales Price | Cost Basis | This Statement | Gains/(Losses) *<br>Year to Date |
|--------------------------|----------|------------------|---------------------|-------------|------------|----------------|----------------------------------|
| AMERICAN TOWER CORP CL A | 6.0000   | 07/19/07         | 04/30/09            | 197.21      | 267.63     | (70.42)        |                                  |
| BLACK AND DECKER CRP COM | 10.0000  | 08/02/07         | 05/06/09            | 388.17      | 889.57     | (501.40)       |                                  |
| BLACK AND DECKER CRP COM | 5.0000   | 08/03/07         | 05/06/09            | 194.09      | 445.07     | (250.98)       |                                  |
| BLACK AND DECKER CRP COM | 10.0000  | 02/19/08         | 05/06/09            | 377.84      | 686.40     | (308.56)       |                                  |
| CATERPILLAR INC DEL      | 10.0000  | 12/06/07         | 05/26/09            | 352.24      | 742.62     | (390.38)       |                                  |
| CATERPILLAR INC DEL      | 15.0000  | 12/07/07         | 05/26/09            | 528.37      | 1,112.27   | (583.90)       |                                  |
| COLGATE PALMOLIVE        | 3.0000   | 08/02/07         | 05/19/09            | 192.92      | 201.65     | (8.73)         |                                  |
| COLGATE PALMOLIVE        | 2.0000   | 09/25/07         | 05/19/09            | 128.62      | 141.53     | (12.91)        |                                  |
| EXELON CORPORATION       | 5.0000   | 01/12/07         | 04/30/09            | 229.75      | 298.19     | (68.44)        |                                  |
| EXELON CORPORATION       | 15.0000  | 01/17/07         | 04/30/09            | 689.26      | 897.60     | (208.34)       |                                  |
| LINCOLN NTL CORP IND NPV | 22.0000  | 01/17/07         | 05/06/09            | 302.56      | 1,465.42   | (1,162.86)     |                                  |
| LINCOLN NTL CORP IND NPV | 10.0000  | 02/19/08         | 05/06/09            | 137.52      | 541.40     | (403.88)       |                                  |
| NORTHN TRUST CORP        | 11.0000  | 10/10/07         | 05/06/09            | 591.44      | 793.98     | (202.54)       |                                  |
| SCHLUMBERGER LTD         | 1.0000   | 01/12/07         | 05/06/09            | 56.09       | 57.74      | (1.65)         |                                  |
| SCHLUMBERGER LTD         | 3.0000   | 01/17/07         | 05/06/09            | 168.29      | 175.50     | (7.21)         |                                  |
| SUSQUEHANNA BANCSHRS INC | 17.0000  | 01/04/08         | 04/30/09            | 141.80      | 289.24     | (147.44)       |                                  |
| TELEFONICA SA SPAIN ADP  | 3.0000   | 11/02/07         | 05/04/09            | 175.62      | 303.43     | (127.81)       |                                  |
| TELEFONICA SA SPAIN ADP  | 5.0000   | 12/13/07         | 05/04/09            | 292.71      | 496.76     | (204.05)       |                                  |
| TELEFONICA SA SPAIN ADP  | 5.0000   | 02/19/08         | 05/04/09            | 292.71      | 422.34     | (129.63)       |                                  |
| WASHINGTON FEDL INC      | 10.0000  | 03/21/06         | 05/04/09            | 134.39      | 241.69     | (107.30)       |                                  |
| WASHINGTON FEDL INC      | 20.0000  | 03/21/06         | 05/06/09            | 264.03      | 483.41     | (219.38)       |                                  |
| WASHINGTON FEDL INC      | 15.0000  | 01/17/07         | 05/06/09            | 198.04      | 339.15     | (141.11)       |                                  |
| WASHINGTON FEDL INC      | 25.0000  | 01/17/07         | 05/06/09            | 323.24      | 565.25     | (242.01)       |                                  |
| Subtotal (Long-Term)     |          |                  |                     |             |            | (6,806.77)     | (24,777.17)                      |
| ASML HLDG N.V. NEW YORK  | 5.0000   | 09/03/08         | 05/22/09            | 99.48       | 116.08     | (16.60)        |                                  |
| ASML HLDG N.V. NEW YORK  | 11.0000  | 09/03/08         | 05/26/09            | 218.41      | 255.38     | (36.97)        |                                  |
| ASML HLDG N.V. NEW YORK  | 11.0000  | 12/18/08         | 05/26/09            | 218.42      | 190.73     | 27.69          |                                  |
| BLACK AND DECKER CRP COM | 5.0000   | 03/05/09         | 05/06/09            | 188.92      | 103.64     | 85.28          |                                  |
| BLACK AND DECKER CRP COM | 15.0000  | 03/05/09         | 05/07/09            | 554.30      | 310.93     | 243.37         |                                  |
| BLACK AND DECKER CRP COM | 20.0000  | 03/05/09         | 05/08/09            | 709.08      | 414.58     | 294.50         |                                  |

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51 of 57

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## YOUR EMA TRANSACTIONS

May 01, 2009 - May 29, 2009

## REALIZED GAINS/(LOSSES)(continued)

| Description              | Quantity | Acquired Date | Liquidation Date | Sales Price | Cost Basis | Gains/(Losses) * |              |
|--------------------------|----------|---------------|------------------|-------------|------------|------------------|--------------|
|                          |          |               |                  |             |            | This Statement   | Year to Date |
| CAP GEMINI SP ADR        | 16.0000  | 12/24/08      | 05/07/09         | 289.07      | 306.22     | (17.15)          |              |
| CNOOC LTD ADR            | 3.0000   | 12/10/08      | 05/26/09         | 366.43      | 291.55     | 74.88            |              |
| CATERPILLAR INC DEL      | 25.0000  | 03/05/09      | 05/26/09         | 880.63      | 584.75     | 295.88           |              |
| CHUBB CORP               | 15.0000  | 09/22/08      | 04/29/09         | 604.29      | 895.86     | (291.57)         |              |
| CHUBB CORP               | 4.0000   | 09/22/08      | 04/30/09         | 158.61      | 238.90     | (80.29)          |              |
| CHUBB CORP               | 8.0000   | 03/05/09      | 04/30/09         | 317.24      | 280.17     | 37.07            |              |
| DBS GROUP HLDGS SPN ADR  | 5.0000   | 03/30/09      | 05/13/09         | 155.55      | 109.87     | 45.68            |              |
| DEERE CO                 | 26.0000  | 05/06/09      | 05/21/09         | 1,079.48    | 1,213.74   | (134.26)         |              |
| EXELON CORPORATION       | 7.0000   | 03/05/09      | 04/30/09         | 321.66      | 308.35     | 13.31            |              |
| HEWLETT PACKARD CO DEL   | 17.0000  | 06/05/08      | 05/08/09         | 577.29      | 823.51     | (246.22)         |              |
| KINGFISHER PLC SP ADR    | 98.0000  | 09/18/08      | 05/11/09         | 512.75      | 471.82     | 40.93            |              |
| KOHL'S CORP WISC PV 1CT  | 7.0000   | 10/22/08      | 05/01/09         | 302.30      | 210.78     | 91.52            |              |
| KOHL'S CORP WISC PV 1CT  | 11.0000  | 10/30/08      | 05/01/09         | 475.06      | 364.72     | 110.34           |              |
| KOHL'S CORP WISC PV 1CT  | 9.0000   | 03/05/09      | 05/01/09         | 388.69      | 319.63     | 69.06            |              |
| LINCOLN NTL CORP IND NPV | 15.0000  | 09/18/08      | 05/06/09         | 206.29      | 661.39     | (455.10)         |              |
| LINCOLN NTL CORP IND NPV | 8.0000   | 09/19/08      | 05/06/09         | 110.02      | 441.64     | (331.62)         |              |
| LINCOLN NTL CORP IND NPV | 10.0000  | 09/26/08      | 05/06/09         | 137.52      | 483.12     | (345.60)         |              |
| LINCOLN NTL CORP IND NPV | 138.0000 | 03/05/09      | 05/06/09         | 1,897.91    | 793.32     | 1,104.59         |              |
| NORTH TRUST CORP         | 7.0000   | 03/05/09      | 05/06/09         | 376.38      | 339.99     | 36.39            |              |
| PHILIP MORRIS INTL INC   | 14.0000  | 07/14/08      | 05/19/09         | 588.96      | 749.05     | (160.09)         |              |
| QUALCOMM INC             | 5.0000   | 06/12/08      | 05/08/09         | 207.63      | 245.82     | (38.19)          |              |
| ST JUDE MEDICAL INC      | 23.0000  | 02/18/09      | 05/06/09         | 784.10      | 880.66     | (96.56)          |              |
| STAPLES INC              | 23.0000  | 06/25/08      | 04/29/09         | 476.63      | 577.50     | (100.87)         |              |
| SUSQUEHANNA BANCSHRS INC | 3.0000   | 03/05/09      | 04/30/09         | 25.03       | 21.26      | 3.77             |              |
| SUSQUEHANNA BANCSHRS INC | 25.0000  | 03/05/09      | 05/01/09         | 197.57      | 177.24     | 20.33            |              |
| SUSQUEHANNA BANCSHRS INC | 30.0000  | 03/05/09      | 05/04/09         | 238.93      | 212.71     | 26.22            |              |
| TOKIO MARINE HOLDINGS    | 14.0000  | 03/02/09      | 05/22/09         | 404.03      | 308.68     | 95.35            |              |
| TOKIO MARINE HOLDINGS    | 9.0000   | 03/18/09      | 05/22/09         | 259.75      | 217.33     | 42.42            |              |
| TARGET CORP COM          | 11.0000  | 03/18/09      | 05/13/09         | 445.76      | 338.71     | 107.05           |              |
| WASHINGTON FEDL INC      | 10.0000  | 03/05/09      | 05/06/09         | 129.30      | 100.07     | 29.23            |              |

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# YOUR EMA TRANSACTIONS

May 01, 2009 - May 29, 2009

TOTAL MERRILL

## REALIZED GAINS/(LOSSES) (continued)

| Description           | Quantity | Acquired Date | Liquidation Date | Sales Price      | Cost Basis       | This Statement    | Gains/(Losses) *<br>Year to Date |
|-----------------------|----------|---------------|------------------|------------------|------------------|-------------------|----------------------------------|
| WASHINGTON FEDL INC   | 18.0000  | 03/05/09      | 05/07/09         | 229.07           | 180.15           | 48.92             |                                  |
| Subtotal (Short-Term) |          |               |                  |                  |                  | 592.69            | (11,118.13)                      |
| <b>TOTAL</b>          |          |               |                  | <b>22,574.03</b> | <b>28,788.11</b> | <b>(6,214.08)</b> | <b>(35,895.30)</b>               |

\* - Excludes transactions for which we have insufficient data

## UNSETTLED TRADES

| Trade Date       | Settlement Date | Description              | Symbol/<br>Cusip | Transaction Type | Quantity | Price    | Amount          |
|------------------|-----------------|--------------------------|------------------|------------------|----------|----------|-----------------|
| 05/27            | 06/01           | BECTON DICKINSON CO      | BDX              | Purchase         | 20       | 67.2221  | (1,344.44)      |
| 05/27            | 06/01           | CATERPILLAR INC DEL      | CAT              | Sale             | 7        | 35.1793  | 246.25          |
| 05/27            | 06/01           | ST MARY LD & EXPL CO     | SM               | Sale             | 5        | 20.4033  | 102.01          |
| 05/28            | 06/02           | BECTON DICKINSON CO      | BDX              | Purchase         | 6        | 67.1324  | (402.79)        |
| 05/28            | 06/02           | CA INC                   | CA               | Sale             | 49       | 17.0918  | 837.47          |
| 05/28            | 06/02           | LINCOLN ELEC HLDGS INC   | LECO             | Sale             | 10       | 40.3698  | 403.68          |
| 05/28            | 06/02           | ST MARY LD & EXPL CO     | SM               | Sale             | 34       | 20.8362  | 708.41          |
| 05/29            | 06/03           | BROADCOM CORP CALIF CL A | BRCM             | Purchase         | 46       | 25.8700  | (1,190.02)      |
| 05/29            | 06/03           | CME GROUP INC            | CME              | Purchase         | 4        | 314.2464 | (1,256.99)      |
| 05/29            | 06/03           | LINCOLN ELEC HLDGS INC   | LECO             | Sale             | 5        | 40.4843  | 202.41          |
| 05/29            | 06/03           | TARGET CORP COM          | TGT              | Sale             | 25       | 38.9259  | 973.12          |
| <b>NET TOTAL</b> |                 |                          |                  |                  |          |          | <b>(720.89)</b> |

## YOUR EMA TRANSACTIONS

May 30, 2009 - June 30, 2009

SECURITY TRANSACTIONS (continued)  
TRANSACTIONS CONDUCTED PER YOUR CONSULTS CLIENT AGREEMENT

| Settlement<br>Date                     | Trade<br>Date | Description                                                                                                                 | Transaction Type | Quantity | Unit<br>Price | Debit            | Credit           | Accrued Interest<br>Earned/(Paid) |
|----------------------------------------|---------------|-----------------------------------------------------------------------------------------------------------------------------|------------------|----------|---------------|------------------|------------------|-----------------------------------|
| 06/25                                  |               | ■ FNMA P889579 06%2038<br>AMORTIZED VALUE 48305 AMORTIZED FACTOR 0.820650390<br>RECORD DATE: 05/29/2009 PAY DATE 06/25/2009 | Prin Payment     |          |               |                  | 302.16           |                                   |
| 06/25                                  |               | ■ FNMA P992144 06%2038<br>AMORTIZED VALUE 48305 AMORTIZED FACTOR 0.788770250<br>RECORD DATE: 05/29/2009 PAY DATE 06/25/2009 | Prin Payment     |          |               |                  | 366.69           |                                   |
| 06/25                                  |               | ■ FNMA P995069 06%2038<br>AMORTIZED VALUE 48305 AMORTIZED FACTOR 0.870760850<br>RECORD DATE: 05/29/2009 PAY DATE 06/25/2009 | Prin Payment     |          |               |                  | 161.62           |                                   |
| 06/25                                  |               | ■ FNMA P992033 06%2038<br>AMORTIZED VALUE 48305 AMORTIZED FACTOR 0.590687560<br>RECORD DATE: 05/29/2009 PAY DATE 06/25/2009 | Prin Payment     |          |               |                  | 607.86           |                                   |
| Subtotal (Other Security Transactions) |               |                                                                                                                             |                  |          |               | 2,733.08         |                  |                                   |
| <b>TOTAL</b>                           |               |                                                                                                                             |                  |          |               | <b>12,940.84</b> | <b>15,667.26</b> |                                   |

## REALIZED GAINS/(LOSSES)

| Description            | Quantity | Acquired<br>Date | Liquidation<br>Date | Sales Price | Cost Basis | This Statement | Gains/(Losses) *<br>Year to Date |
|------------------------|----------|------------------|---------------------|-------------|------------|----------------|----------------------------------|
| BAXTER INTERNTL INC    | 5.0000   | 01/17/07         | 06/03/09            | 240.95      | 241.44     | (.49)          |                                  |
| CABOT OIL & GAS CORP   | 33.0000  | 03/21/06         | 06/11/09            | 1,211.44    | 753.72     | 457.72         |                                  |
| GRUPO TELEVISA SA ADR  | 12.0000  | 11/28/06         | 06/09/09            | 209.23      | 300.41     | (91.18)        |                                  |
| GRUPO TELEVISA SA ADR  | 5.0000   | 01/17/07         | 06/09/09            | 87.18       | 143.15     | (55.97)        |                                  |
| KENNAMETAL INC         | 15.0000  | 01/17/07         | 06/11/09            | 314.60      | 446.09     | (131.49)       |                                  |
| KENNAMETAL INC         | 2.0000   | 01/17/07         | 06/12/09            | 39.29       | 59.49      | (20.20)        |                                  |
| KENNAMETAL INC         | 19.0000  | 02/19/08         | 06/12/09            | 373.26      | 584.44     | (211.18)       |                                  |
| LINCOLN ELEC HLDGS INC | 3.0000   | 01/05/06         | 05/28/09            | 121.10      | 123.60     | (2.50)         |                                  |
| LINCOLN ELEC HLDGS INC | 5.0000   | 03/21/06         | 05/28/09            | 201.84      | 265.75     | (63.91)        |                                  |





## TOTAL MERRILL°

## YOUR EMA TRANSACTIONS

May 30, 2009 - June 30 2009

## REALIZED GAINS/(LOSSES) (continued)

| Description               | Quantity | Acquired<br>Date | Liquidation<br>Date | Sales Price | Cost Basis | This Statement | Gains/(Losses) *<br>Year to Date |
|---------------------------|----------|------------------|---------------------|-------------|------------|----------------|----------------------------------|
| LINCOLN ELEC HLDGS INC    | 2.0000   | 01/17/07         | 05/28/09            | 80 74       | 122 30     | (41 56)        |                                  |
| LINCOLN ELEC HLDGS INC    | 5.0000   | 01/17/07         | 05/29/09            | 202 41      | 305 75     | (103 34)       |                                  |
| LINCOLN ELEC HLDGS INC    | 3.0000   | 01/17/07         | 06/12/09            | 121 47      | 183 45     | (61 98)        |                                  |
| METHANEX CORP COM         | 3.0000   | 01/05/06         | 06/17/09            | 38 71       | 56 34      | (17 63)        |                                  |
| METHANEX CORP COM         | 2.0000   | 03/21/06         | 06/17/09            | 25 82       | 41 35      | (15 53)        |                                  |
| METHANEX CORP COM         | 10.0000  | 03/21/06         | 06/18/09            | 127 46      | 206 79     | (79 33)        |                                  |
| METHANEX CORP COM         | 18.0000  | 03/21/06         | 06/19/09            | 233 35      | 372 23     | (138 88)       |                                  |
| METHANEX CORP COM         | 7.0000   | 06/19/07         | 06/19/09            | 90 76       | 184 81     | (94 05)        |                                  |
| METHANEX CORP COM         | 8.0000   | 06/19/07         | 06/22/09            | 96 56       | 211 22     | (114 66)       |                                  |
| OCCIDENTAL PETE CORP CAL  | 1.0000   | 09/19/07         | 06/17/09            | 62 68       | 65 39      | (2 71)         |                                  |
| OCCIDENTAL PETE CORP CAL  | 7.0000   | 01/25/08         | 06/17/09            | 438 83      | 453 68     | (14 85)        |                                  |
| PETROLEO BRAS VTG SPD ADR | 3.0000   | 07/05/07         | 06/03/09            | 124 53      | 97 18      | 27 35          |                                  |
| ST MARY LD & EXPL CO      | 5.0000   | 01/12/07         | 05/27/09            | 102 01      | 171 50     | (69 49)        |                                  |
| ST MARY LD & EXPL CO      | 3.0000   | 01/12/07         | 05/28/09            | 62 50       | 102 91     | (40 41)        |                                  |
| ST MARY LD & EXPL CO      | 20.0000  | 01/17/07         | 05/28/09            | 416 71      | 685 60     | (268 89)       |                                  |
| VERIZON COMMUNICATNS COM  | 11.0000  | 01/05/06         | 06/01/09            | 322 88      | 334 18     | (11 30)        |                                  |
| VERIZON COMMUNICATNS COM  | 20.0000  | 01/17/07         | 06/01/09            | 587 06      | 734 38     | (147 32)       |                                  |
| VODAFONE GROUP PLC NEW    | 16.0000  | 01/17/07         | 06/03/09            | 292 16      | 468 96     | (176 80)       | (26,267 75)                      |
| Subtotal (Long-Term)      |          |                  |                     |             |            |                |                                  |
| AMERICA MOVIL SAB DE CV   | 8.0000   | 09/03/08         | 06/03/09            | 293 58      | 407 10     | (113 52)       |                                  |
| BHP BILLITON PLC SP ADR   | 13.0000  | 08/21/08         | 06/09/09            | 636 60      | 818 66     | (182 06)       |                                  |
| CABOT OIL & GAS CORP      | 7.0000   | 03/05/09         | 06/11/09            | 256 98      | 141 52     | 115 46         |                                  |
| CABOT OIL & GAS CORP      | 12.0000  | 03/05/09         | 06/12/09            | 420 27      | 242 61     | 177 66         |                                  |
| CATERPILLAR INC DEL       | 7.0000   | 03/05/09         | 05/27/09            | 246 25      | 163 73     | 82 52          |                                  |
| CA INC                    | 49.0000  | 03/02/09         | 05/28/09            | 837 47      | 831 38     | 6 09           |                                  |
| HESS CORP                 | 18.0000  | 06/01/09         | 06/17/09            | 955 59      | 1 250 06   | (294 47)       |                                  |
| HARRIS STRATEX NETWORKS   | 10.0000  | 05/27/09         | 06/11/09            | 66 06       | 53 40      | 12 66          |                                  |
| LINCOLN ELEC HLDGS INC    | 2.0000   | 03/05/09         | 06/12/09            | 80 99       | 56 62      | 24 37          |                                  |
| METHANEX CORP COM         | 12.0000  | 03/05/09         | 06/22/09            | 144 84      | 74 72      | 70 12          |                                  |
| METHANEX CORP COM         | 15.0000  | 03/05/09         | 06/23/09            | 183 16      | 93 40      | 89 76          |                                  |

## YOUR EMA TRANSACTIONS

May 30, 2009 - June 30, 2009

## REALIZED GAINS/(LOSSES)(continued)

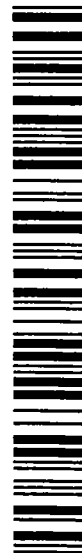
| Description                | Quantity | Acquired Date | Liquidation Date | Sales Price      | Cost Basis       | This Statement  | Gains/(Losses) *<br>Year to Date |
|----------------------------|----------|---------------|------------------|------------------|------------------|-----------------|----------------------------------|
| METHANEX CORP COM          | 10.0000  | 03/05/09      | 06/24/09         | 124.33           | 62.26            | 62.07           |                                  |
| METHANEX CORP COM          | 25.0000  | 03/05/09      | 06/25/09         | 310.46           | 155.67           | 154.79          |                                  |
| RICOH CO LTD NEW ADR       | 3.0000   | 01/16/09      | 06/04/09         | 205.81           | 189.86           | 15.95           |                                  |
| ST MARY LD & EXPL CO       | 11.0000  | 03/05/09      | 05/28/09         | 229.20           | 130.44           | 98.76           |                                  |
| ST MARY LD & EXPL CO       | 11.0000  | 03/05/09      | 06/11/09         | 241.64           | 130.44           | 111.20          |                                  |
| TAIWAN S MANUFACTURING ADR | 26.0000  | 12/09/08      | 06/03/09         | 267.47           | 192.75           | 74.72           |                                  |
| TARGET CORP COM            | 15.0000  | 03/18/09      | 05/29/09         | 583.87           | 461.89           | 121.98          |                                  |
| TARGET CORP COM            | 10.0000  | 03/26/09      | 05/29/09         | 389.25           | 340.45           | 48.80           |                                  |
| VERIZON COMMUNICATNS COM   | 8.0000   | 10/27/08      | 06/01/09         | 234.83           | 224.85           | 9.98            |                                  |
| Subtotal (Short-Term)      |          |               |                  |                  | 686.84           |                 | (10,431.29)                      |
| <b>TOTAL</b>               |          |               |                  | <b>12,934.18</b> | <b>13,737.92</b> | <b>(803.74)</b> | <b>(36,699.04)</b>               |

\* - Excludes transactions for which we have insufficient data

## UNSETTLED TRADES

| Trade Date | Settlement Date | Description               | Symbol/<br>Cusip | Transaction Type | Quantity | Price    | Amount     |
|------------|-----------------|---------------------------|------------------|------------------|----------|----------|------------|
| 06/26      | 07/01           | CHINA LIFE INS CO SP ADR  | LFC              | Purchase         | 3        | 55.9598  | (167.88)   |
| 06/26      | 07/01           | DBS GROUP HLDGS SPN ADR   | DBSDY            | Sale             | 14       | 32.1648  | 450.29     |
| 06/26      | 07/01           | HDFC BANK LTD ADR         | HDB              | Purchase         | 2        | 105.8103 | (211.62)   |
| 06/26      | 07/01           | ITAU UNIBANCO BANCO MULT  | ITUB             | Sale             | 27       | 15.8843  | 428.86     |
| 06/26      | 07/01           | METHANEX CORP COM         | MEOH             | Sale             | 15       | 12.4668  | 186.99     |
| 06/26      | 07/01           | MTSUSHI UFJ FINL GRP      | MTU              | Purchase         | 45       | 6.5208   | (293.44)   |
| 06/29      | 07/02           | AMGEN INC COM PV \$0.0001 | AMGN             | Purchase         | 30       | 53.0244  | (1,590.73) |
| 06/29      | 07/02           | CISCO SYSTEMS INC COM     | CSCO             | Sale             | 18       | 18.9863  | 341.74     |
| 06/29      | 07/02           | E.ON AG ADR               | EONGY            | Purchase         | 27       | 36.0484  | (973.31)   |
| 06/29      | 07/02           | HEWLETT PACKARD CO DEL    | HPQ              | Purchase         | 10       | 38.6904  | (386.90)   |
| 06/29      | 07/02           | METHANEX CORP COM         | MEOH             | Sale             | 15       | 12.3477  | 185.21     |
| 06/29      | 07/02           | MTSUSHI UFJ FINL GRP      | MTU              | Purchase         | 22       | 6.3244   | (139.14)   |

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Allianz Multi CDPIII

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TOTAL MERRILL®

## YOUR EMA TRANSACTIONS

July 01, 2009 - July 31 2009

SECURITY TRANSACTIONS (continued)  
TRANSACTIONS CONDUCTED PER YOUR CONSULTS CLIENT AGREEMENT

| Settlement<br>Date                     | Trade<br>Date | Description | Transaction Type | Quantity | Unit<br>Price | Debit            | Credit           | Accrued Interest<br>Earned/(Paid) |
|----------------------------------------|---------------|-------------|------------------|----------|---------------|------------------|------------------|-----------------------------------|
| Subtotal (Other Security Transactions) |               |             |                  |          |               | 23,594.80        | 2,740.42         |                                   |
| <b>TOTAL</b>                           |               |             |                  |          |               | <b>23,594.80</b> | <b>28,681.75</b> |                                   |

## REALIZED GAINS/(LOSSES)

| Description               | Quantity | Acquired<br>Date | Liquidation<br>Date | Sales Price | Cost Basis | This Statement | Gains/(Losses) *<br>Year to Date |
|---------------------------|----------|------------------|---------------------|-------------|------------|----------------|----------------------------------|
| BNP PARIBAS SPONSORD ADR  | 7.0000   | 11/22/06         | 07/13/09            | 221.95      | 382.26     | (160.31)       |                                  |
| BNP PARIBAS SPONSORD ADR  | 5.0000   | 01/12/07         | 07/13/09            | 158.53      | 276.50     | (117.97)       |                                  |
| BNP PARIBAS SPONSORD ADR  | 4.0000   | 01/17/07         | 07/13/09            | 126.84      | 218.40     | (91.56)        |                                  |
| CISCO SYSTEMS INC COM     | 6.0000   | 01/11/07         | 06/29/09            | 113.91      | 172.65     | (58.74)        |                                  |
| CISCO SYSTEMS INC COM     | 12.0000  | 01/12/07         | 06/29/09            | 227.83      | 345.84     | (118.01)       |                                  |
| COCA COLA COM             | 10.0000  | 10/22/07         | 07/24/09            | 490.64      | 589.33     | (98.69)        |                                  |
| COCA COLA COM             | 10.0000  | 04/07/08         | 07/24/09            | 490.65      | 604.49     | (113.84)       |                                  |
| COLGATE PALMOLIVE         | 8.0000   | 09/25/07         | 07/21/09            | 588.05      | 566.16     | 21.89          |                                  |
| DANAHER CORP DEL COM      | 4.0000   | 01/17/07         | 07/21/09            | 254.86      | 298.04     | (43.18)        |                                  |
| DANAHER CORP DEL COM      | 5.0000   | 03/30/07         | 07/21/09            | 318.58      | 357.25     | (38.67)        |                                  |
| GDF SUEZ ADR              | 4.0000   | 04/17/08         | 07/28/09            | 153.16      | 277.48     | (124.32)       |                                  |
| HALLIBURTON COMPANY       | 15.0000  | 01/22/08         | 07/28/09            | 329.57      | 479.07     | (149.50)       |                                  |
| HALLIBURTON COMPANY       | 25.0000  | 01/23/08         | 07/28/09            | 549.30      | 776.08     | (226.78)       |                                  |
| JPMORGAN CHASE & CO       | 10.0000  | 11/15/07         | 07/07/09            | 325.94      | 446.11     | (120.17)       |                                  |
| KENNAMETAL INC            | 1.0000   | 02/19/08         | 07/08/09            | 17.12       | 30.76      | (13.64)        |                                  |
| LOCKHEED MARTIN CORP      | 7.0000   | 08/27/07         | 07/20/09            | 567.99      | 707.91     | (139.92)       |                                  |
| PETROLEO BRAS VTG SPD ADR | 4.0000   | 07/05/07         | 06/30/09            | 163.93      | 129.57     | 34.36          |                                  |
| PETROLEO BRAS VTG SPD ADR | 4.0000   | 07/05/07         | 07/27/09            | 168.45      | 129.58     | 38.87          |                                  |
| PHILIP MORRIS INTL INC    | 1.0000   | 07/14/08         | 07/20/09            | 43.75       | 53.51      | (9.76)         |                                  |
| SCHLUMBERGER LTD          | 7.0000   | 01/17/07         | 07/07/09            | 347.99      | 409.50     | (61.51)        |                                  |
| SCHLUMBERGER LTD          | 10.0000  | 02/06/07         | 07/07/09            | 497.13      | 650.79     | (153.66)       |                                  |

Allianz Multi CDP III

# YOUR EMA TRANSACTIONS

July 01, 2009 - July 31, 2009

## REALIZED GAINS/(LOSSES) (continued)

| Description              | Quantity | Acquired Date | Liquidation Date | Sales Price | Cost Basis | Gains/(Losses) * |              |
|--------------------------|----------|---------------|------------------|-------------|------------|------------------|--------------|
|                          |          |               |                  |             |            | This Statement   | Year to Date |
| STAPLES INC              | 21 0000  | 06/25/08      | 07/23/09         | 453.32      | 527.28     | (73.96)          |              |
| TOTAL S.A. SP ADR        | 4 0000   | 01/03/08      | 07/10/09         | 199.48      | 338.96     | (139.48)         |              |
| TOTAL S.A. SP ADR        | 4 0000   | 02/19/08      | 07/10/09         | 199.49      | 300.98     | (101.49)         |              |
| WAL-MART STORES INC      | 14.0000  | 06/27/08      | 07/20/09         | 677.31      | 797.64     | (120.33)         |              |
| WAL-MART STORES INC      | 1.0000   | 07/09/08      | 07/20/09         | 48.39       | 58.43      | (10.04)          |              |
| Subtotal (Long-Term)     |          |               |                  |             |            | (2,190.41)       | (28,458.16)  |
| AETNA INC NEW            | 20 0000  | 01/07/09      | 07/21/09         | 486.50      | 583.01     | (96.51)          |              |
| AETNA INC NEW            | 12.0000  | 01/08/09      | 07/21/09         | 291.90      | 348.42     | (56.52)          |              |
| AETNA INC NEW            | 10.0000  | 01/14/09      | 07/21/09         | 243.25      | 260.20     | (16.95)          |              |
| AETNA INC NEW            | 14.0000  | 01/28/09      | 07/21/09         | 340.56      | 467.02     | (126.46)         |              |
| AETNA INC NEW            | 19.0000  | 03/05/09      | 07/21/09         | 462.20      | 362.13     | 100.07           |              |
| AETNA INC NEW            | 4 0000   | 03/05/09      | 07/22/09         | 98.28       | 76.24      | 22.04            |              |
| AETNA INC NEW            | 2.0000   | 08/21/08      | 07/06/09         | 84.26       | 125.94     | (41.68)          |              |
| BHP BILLITON PLC SP ADR  | 55.0000  | 02/26/09      | 07/20/09         | 1,088.53    | 1,133.35   | (44.82)          |              |
| BRISTOL-MYERS SQUIBB CO  | 18.0000  | 03/05/09      | 07/20/09         | 356.25      | 317.51     | 38.74            |              |
| BRISTOL-MYERS SQUIBB CO  | 4.0000   | 05/29/09      | 07/22/09         | 1,106.35    | 1,256.99   | (150.64)         |              |
| CME GROUP INC            | 5.0000   | 05/13/09      | 07/21/09         | 179.98      | 176.56     | 3.42             |              |
| COVIDIEN PLC SHS         | 10 0000  | 05/13/09      | 07/22/09         | 357.57      | 353.14     | 4.43             |              |
| COVIDIEN PLC SHS         | 18.0000  | 05/14/09      | 07/22/09         | 643.65      | 647.12     | (3.47)           |              |
| COVIDIEN PLC SHS         | 9 0000   | 09/11/08      | 07/24/09         | 441.59      | 484.08     | (42.49)          |              |
| COCA COLA COM            | 9 0000   | 03/05/09      | 07/24/09         | 441.59      | 339.84     | 101.75           |              |
| COLGATE PALMOLIVE        | 9.0000   | 03/05/09      | 07/21/09         | 661.56      | 504.18     | 157.38           |              |
| DBS GROUP HLDGS SPN ADR  | 14.0000  | 03/30/09      | 06/26/09         | 450.29      | 307.65     | 142.64           |              |
| DAIICHI SNKYO COUSPN ADR | 12.0000  | 02/02/09      | 07/07/09         | 214.38      | 279.90     | (65.52)          |              |
| E.ON AG ADR              | 4.0000   | 06/29/09      | 07/28/09         | 147.75      | 144.19     | 3.56             |              |
| FREEMT-MCMIRAN CPR & GLD | 30.0000  | 02/05/09      | 07/07/09         | 1,360.22    | 826.94     | 533.28           |              |
| GOLDMAN SACHS GROUP INC  | 3.0000   | 03/13/09      | 07/08/09         | 413.89      | 297.12     | 116.77           |              |
| ITAU UNIBANCO BANCO MULT | 27 0000  | 08/28/08      | 06/29/09         | 428.86      | 580.54     | (151.68)         |              |
| ITAU UNIBANCO BANCO MULT | 10.0000  | 08/28/08      | 07/06/09         | 156.17      | 215.02     | (58.85)          |              |
| JPMORGAN CHASE & CO      | 30 0000  | 09/19/08      | 07/07/09         | 977.83      | 1,365.12   | (387.29)         |              |

Allianz Multi CDP III

TOTAL MERRILL\*

## YOUR EMA TRANSACTIONS

July 01 2009 - July 31 2009

## REALIZED GAINS/(LOSSES) (continued)

| Description            | Quantity | Acquired<br>Date | Liquidation<br>Date | Sales Price      | Cost Basis       | Gains/(Losses) *  |                    |
|------------------------|----------|------------------|---------------------|------------------|------------------|-------------------|--------------------|
|                        |          |                  |                     |                  |                  | This Statement    | Year to Date       |
| JPMORGAN CHASE & CO    | 33 0000  | 03/05/09         | 07/07/09            | 1,075.63         | 545.81           | 529.82            |                    |
| KENNAMETAL INC         | 4 0000   | 03/05/09         | 07/08/09            | 68.49            | 55.91            | 12.58             |                    |
| KENNAMETAL INC         | 15 0000  | 03/05/09         | 07/09/09            | 236.04           | 209.67           | 26.37             |                    |
| KENNAMETAL INC         | 14 0000  | 03/05/09         | 07/10/09            | 232.57           | 195.70           | 36.87             |                    |
| LINCOLN ELEC HLDGS INC | 17.0000  | 03/05/09         | 07/09/09            | 572.44           | 481.27           | 91.17             |                    |
| METHANEX CORP COM      | 15 0000  | 03/05/09         | 06/26/09            | 186.99           | 93.40            | 93.59             |                    |
| METHANEX CORP COM      | 15 0000  | 03/05/09         | 06/29/09            | 185.21           | 93.40            | 91.81             |                    |
| METHANEX CORP COM      | 19 0000  | 03/05/09         | 06/30/09            | 231.42           | 118.32           | 113.10            |                    |
| PHILIP MORRIS INTL INC | 13 0000  | 09/11/08         | 07/20/09            | 568.81           | 708.37           | (139.56)          |                    |
| PHILIP MORRIS INTL INC | 9 0000   | 01/14/09         | 07/20/09            | 393.79           | 372.67           | 21.12             |                    |
| PHILIP MORRIS INTL INC | 10 0000  | 03/05/09         | 07/20/09            | 437.56           | 328.10           | 109.46            |                    |
| ST MARY LD & EXPL CO   | 10 0000  | 03/05/09         | 07/07/09            | 185.05           | 118.58           | 66.47             |                    |
| ST MARY LD & EXPL CO   | 15.0000  | 03/05/09         | 07/08/09            | 263.87           | 177.87           | 86.00             |                    |
| ST MARY LD & EXPL CO   | 8 0000   | 03/05/09         | 07/09/09            | 142.55           | 94.87            | 47.68             |                    |
| SCHLUMBERGER LTD       | 9 0000   | 11/24/08         | 07/07/09            | 447.42           | 416.14           | 31.28             |                    |
| XTO ENERGY INC         | 24.0000  | 01/06/09         | 06/29/09            | 912.61           | 983.34           | (70.73)           |                    |
| XTO ENERGY INC         | 8.0000   | 03/05/09         | 06/29/09            | 304.21           | 249.60           | 54.61             |                    |
| WALGREEN CO            | 11 0000  | 04/20/09         | 07/23/09            | 329.10           | 329.81           | (.71)             |                    |
| Subtotal (Short-Term)  |          |                  |                     |                  |                  | 1,182.13          | (9,249.16)         |
| <b>TOTAL</b>           |          |                  |                     | <b>25,941.33</b> | <b>26,949.61</b> | <b>(1,008.28)</b> | <b>(37,707.32)</b> |

\* - Excludes transactions for which we have insufficient data

## YOUR EMA TRANSACTIONS

August 01, 2009 - August 31, 2009

## REALIZED GAINS/(LOSSES)

| Description              | Quantity | Acquired Date | Liquidation Date | Sales Price     | Cost Basis      | This Statement | Gains/(Losses) *<br>Year to Date |
|--------------------------|----------|---------------|------------------|-----------------|-----------------|----------------|----------------------------------|
| BHP BILLITON PLC SP ADR  | 5.0000   | 08/21/08      | 08/26/09         | 260.74          | 314.87          | (54.13)        |                                  |
| LOCKHEED MARTIN CORP     | 2.0000   | 08/27/07      | 08/05/09         | 148.73          | 202.27          | (53.54)        |                                  |
| LOCKHEED MARTIN CORP     | 5.0000   | 10/09/07      | 08/05/09         | 371.83          | 557.34          | (185.51)       |                                  |
| PEPSICO INC              | 3.0000   | 01/17/07      | 08/05/09         | 175.28          | 194.55          | (19.27)        |                                  |
| PEPSICO INC              | 9.0000   | 09/25/07      | 08/05/09         | 525.87          | 644.49          | (118.62)       |                                  |
| Subtotal (Long-Term)     |          |               |                  |                 |                 | (431.07)       | (28,889.23)                      |
| AKZO NOBEL N V SPNSD ADR | 15.0000  | 09/16/08      | 08/19/09         | 815.01          | 867.43          | (52.42)        |                                  |
| AMERICA MOVIL SAB DE CV  | 2.0000   | 09/03/08      | 08/18/09         | 89.96           | 101.77          | (11.81)        |                                  |
| CARDINAL HEALTH INC OHIO | 5.0000   | 02/25/09      | 08/26/09         | 170.34          | 176.88          | (6.54)         |                                  |
| CARDINAL HEALTH INC OHIO | 8.0000   | 02/26/09      | 08/26/09         | 272.55          | 275.50          | (2.95)         |                                  |
| CARDINAL HEALTH INC OHIO | 5.0000   | 03/03/09      | 08/26/09         | 170.34          | 154.08          | 16.26          |                                  |
| CARDINAL HEALTH INC OHIO | 10.0000  | 03/04/09      | 08/26/09         | 340.69          | 309.53          | 31.16          |                                  |
| CARDINAL HEALTH INC OHIO | 2.0000   | 03/05/09      | 08/26/09         | 68.15           | 60.55           | 7.60           |                                  |
| DAIICHI SNKYO COUSPN ADR | 11.0000  | 02/02/09      | 08/24/09         | 230.27          | 256.58          | (26.31)        |                                  |
| DAIICHI SNKYO COUSPN ADR | 20.0000  | 04/16/09      | 08/24/09         | 418.68          | 358.23          | 60.45          |                                  |
| DAIICHI SNKYO COUSPN ADR | 12.0000  | 05/22/09      | 08/24/09         | 251.21          | 213.82          | 37.39          |                                  |
| HALLIBURTON COMPANY      | 49.0000  | 03/05/09      | 07/29/09         | 1,044.51        | 754.58          | 289.93         |                                  |
| INFOSYS TECH LTD ADR     | 13.0000  | 12/18/08      | 08/20/09         | 528.23          | 338.15          | 190.08         |                                  |
| LOCKHEED MARTIN CORP     | 4.0000   | 09/17/08      | 08/05/09         | 297.46          | 443.49          | (146.03)       |                                  |
| LOCKHEED MARTIN CORP     | 6.0000   | 03/05/09      | 08/05/09         | 446.21          | 360.54          | 85.67          |                                  |
| Subtotal (Short-Term)    |          |               |                  |                 |                 | 472.48         | (8,776.68)                       |
| <b>TOTAL</b>             |          |               |                  | <b>6,626.06</b> | <b>6,584.65</b> | <b>41.41</b>   | <b>(37,665.91)</b>               |

\* - Excludes transactions for which we have insufficient data

Allianz Multi CDP III

TOTAL MERRILL

## YOUR EMA TRANSACTIONS

September 01, 2009 - September 30, 2009

SECURITY TRANSACTIONS (continued)  
TRANSACTIONS CONDUCTED PER YOUR CONSULTS CLIENT AGREEMENT

| Settlement Date | Trade Date | Description                                        | Transaction Type | Quantity | Unit Price | Debit     | Credit   | Accrued Interest Earned/(Paid) |
|-----------------|------------|----------------------------------------------------|------------------|----------|------------|-----------|----------|--------------------------------|
| 09/25           |            | FNMA P992033 06%2038                               | Prin Payment     |          |            |           | 326.59   |                                |
|                 |            | AMORTIZED VALUE 48305 AMORTIZED FACTOR 0.468763920 |                  |          |            |           |          |                                |
|                 |            | RECORD DATE 08/31/2009 PAY DATE 09/25/2009         |                  |          |            |           |          |                                |
|                 |            | Subtotal (Other Security Transactions)             |                  |          |            | 1,455.50  |          |                                |
|                 |            | Total Accrued Interest Paid                        |                  |          |            | 24,341.30 | 7,847.13 | (45.49)                        |
|                 |            | TOTAL                                              |                  |          |            |           |          |                                |

## REALIZED GAINS/(LOSSES)

| Description              | Quantity | Acquired Date | Liquidation Date | Sales Price | Cost Basis | This Statement | Gains/(Losses) * Year to Date |
|--------------------------|----------|---------------|------------------|-------------|------------|----------------|-------------------------------|
| CRH PLC ADR              | 7.0000   | 09/02/08      | 09/03/09         | 163.31      | 170.96     | (7.65)         |                               |
| CRH PLC ADR              | 3.0000   | 09/02/08      | 09/03/09         | 69.99       | 78.47      | (8.48)         |                               |
| CRH PLC ADR              | 7.0000   | 09/02/08      | 09/04/09         | 171.26      | 183.12     | (11.86)        |                               |
| CRH PLC ADR              | 3.0000   | 09/03/08      | 09/04/09         | 73.41       | 77.29      | (3.88)         |                               |
| CRH PLC ADR              | 14.0000  | 09/03/08      | 09/08/09         | 368.09      | 360.73     | 7.36           |                               |
| ORACLE CORP \$0.01 DEL   | 6.0000   | 08/24/07      | 09/18/09         | 130.15      | 119.41     | 10.74          |                               |
| ORACLE CORP \$0.01 DEL   | 28.0000  | 10/19/07      | 09/18/09         | 607.38      | 591.74     | 15.64          |                               |
| Subtotal (Long-Term)     |          |               |                  |             |            | 1.87           | (28,887.36)                   |
| AKZO NOBEL N V SPNSD ADR | 4.0000   | 09/16/08      | 08/27/09         | 224.09      | 231.31     | (7.22)         |                               |
| CARDINAL HEALTH INC OHIO | 16.0000  | 03/05/09      | 08/27/09         | 543.41      | 484.49     | 58.92          |                               |
| CRH PLC ADR              | 13.0000  | 09/02/08      | 08/27/09         | 332.27      | 317.47     | 14.80          |                               |
| CUMMINS INC COM          | 11.0000  | 04/30/09      | 09/21/09         | 490.52      | 375.81     | 114.71         |                               |
| JPMORGAN CHASE & CO      | 12.0000  | 03/24/09      | 09/18/09         | 538.94      | 346.57     | 192.37         |                               |
| ROYAL KPN N V SP ADR     | 13.0000  | 05/05/09      | 08/27/09         | 200.60      | 158.93     | 41.67          |                               |
| SAP AG SHS               | 9.0000   | 03/18/09      | 09/17/09         | 451.70      | 316.70     | 135.00         |                               |
| SAP AG SHS               | 3.0000   | 03/23/09      | 09/17/09         | 150.57      | 110.38     | 40.19          |                               |
| SMITH-NPHW PLC SPADR NEW | 10.0000  | 02/02/09      | 09/04/09         | 425.56      | 349.18     | 76.38          |                               |

## YOUR EMA TRANSACTIONS

September 01, 2009 - September 30, 2009

## REALIZED GAINS/(LOSSES) (continued)

| Description             | Quantity | Acquired Date | Liquidation Date | Sales Price     | Cost Basis      | This Statement | Gains/(Losses) *<br>Year to Date |
|-------------------------|----------|---------------|------------------|-----------------|-----------------|----------------|----------------------------------|
| UNITED STS STL CORP NEW | 32 0000  | 07/20/09      | 09/11/09         | 1,450.38        | 1,248.48        | 201.90         |                                  |
| Subtotal (Short-Term)   |          |               |                  |                 |                 | 868.72         | (7,907.96)                       |
| <b>TOTAL</b>            |          |               |                  | <b>6,391.63</b> | <b>5,521.04</b> | <b>870.59</b>  | <b>(36,795.32)</b>               |

\* - Excludes transactions for which we have insufficient data

## UNSETTLED TRADES

| Trade Date       | Settlement Date | Description               | Symbol/<br>Cusip | Transaction Type | Quantity | Price   | Amount           |
|------------------|-----------------|---------------------------|------------------|------------------|----------|---------|------------------|
| 09/28            | 10/01           | AMERICAN TOWER CORP CL A  | AMT              | Sale             | 20       | 36.7586 | 735.15           |
| 09/28            | 10/01           | WYNN RESORTS LTD          | WYNN             | Purchase         | 20       | 70.6683 | (1,413.37)       |
| 09/29            | 10/02           | AMERICAN TOWER CORP CL A  | AMT              | Sale             | 19       | 36.5196 | 693.85           |
| 09/29            | 10/02           | ANNALY CAP MGMT INC       | NLY              | Sale             | 55       | 18.3409 | 1,008.72         |
| 09/29            | 10/02           | BARNES GROUP INC DE \$.01 | B                | Sale             | 60       | 17.1901 | 1,031.38         |
| 09/29            | 10/02           | CHIMERA INVESTMENT CORP   | CIM              | Sale             | 115      | 3.7300  | 428.93           |
| 09/29            | 10/02           | DEL MONTE FOODS CO        | DLM              | Sale             | 50       | 11.8109 | 590.53           |
| 09/29            | 10/02           | ENNIS INC                 | EBF              | Sale             | 65       | 16.5476 | 1,075.56         |
| 09/29            | 10/02           | ING GP NV SP5D ADR        | ING              | Sale             | 45       | 17.1601 | 772.18           |
| 09/29            | 10/02           | JABIL CIRCUIT INC         | JBL              | Sale             | 165      | 12.2519 | 2,021.50         |
| 09/29            | 10/02           | MATTEL INC COM            | MAT              | Sale             | 45       | 18.3607 | 826.20           |
| 09/29            | 10/02           | PHILLIPS VAN HEUSEN       | PVH              | Sale             | 40       | 43.0056 | 1,720.17         |
| 09/29            | 10/02           | R R DONNELLEY SONS        | RRD              | Sale             | 145      | 21.5201 | 3,120.32         |
| 09/29            | 10/02           | SUMITOMO MITSU FINL ADR   | SMFIY            | Sale             | 50       | 3.3400  | 166.99           |
| 09/29            | 10/02           | WINDSTREAM CORP           | WIN              | Sale             | 45       | 9.8705  | 444.15           |
| 09/29            | 10/02           | XEROX CORP                | XRX              | Sale             | 95       | 7.8914  | 749.66           |
| <b>NET TOTAL</b> |                 |                           |                  |                  |          |         | <b>13,971.92</b> |



Allianz Multi CDP III

## YOUR EMA TRANSACTIONS

October 01, 2009 - October 30, 2009

## REALIZED GAINS/(LOSSES)

| Description               | Quantity | Acquired Date | Liquidation Date | Sales Price | Cost Basis | This Statement | Gains/(Losses) *<br>Year to Date |
|---------------------------|----------|---------------|------------------|-------------|------------|----------------|----------------------------------|
| ANNALY CAP MGMT INC       | 20.0000  | 07/09/08      | 09/29/09         | 366.80      | 323.48     | 43.32          |                                  |
| ANNALY CAP MGMT INC       | 35.0000  | 07/15/08      | 09/29/09         | 641.92      | 504.19     | 137.73         |                                  |
| AMERICAN TOWER CORP CL A  | 19.0000  | 07/19/07      | 09/28/09         | 698.39      | 847.53     | (149.14)       |                                  |
| AMERICAN TOWER CORP CL A  | 1.0000   | 09/25/07      | 09/28/09         | 36.76       | 42.29      | (5.53)         |                                  |
| AMERICAN TOWER CORP CL A  | 19.0000  | 09/25/07      | 09/29/09         | 693.85      | 803.52     | (109.67)       |                                  |
| BAYER AG SP ADR           | 4.0000   | 06/11/07      | 10/13/09         | 278.29      | 281.37     | (3.08)         |                                  |
| BARNES GROUP INC DE \$.01 | 10.0000  | 12/31/07      | 09/29/09         | 171.89      | 335.15     | (163.26)       |                                  |
| BARNES GROUP INC DE \$.01 | 40.0000  | 02/19/08      | 09/29/09         | 687.59      | 1,006.50   | (318.91)       |                                  |
| BOEING COMPANY            | 11.0000  | 08/12/08      | 10/26/09         | 537.80      | 727.10     | (189.30)       |                                  |
| R R DONNELLEY SONS        | 5.0000   | 05/18/06      | 09/29/09         | 107.59      | 167.05     | (59.46)        |                                  |
| R R DONNELLEY SONS        | 15.0000  | 05/19/06      | 09/29/09         | 322.79      | 503.85     | (181.06)       |                                  |
| R R DONNELLEY SONS        | 20.0000  | 01/17/07      | 09/29/09         | 430.39      | 739.88     | (309.49)       |                                  |
| R R DONNELLEY SONS        | 20.0000  | 02/19/08      | 09/29/09         | 430.39      | 655.40     | (225.01)       |                                  |
| ENNIS INC                 | 65.0000  | 01/04/08      | 09/29/09         | 1,075.56    | 1,076.27   | (.71)          |                                  |
| MATTEL INC COM            | 16.0000  | 12/01/05      | 09/29/09         | 293.76      | 260.81     | 32.95          |                                  |
| MATTEL INC COM            | 29.0000  | 01/05/06      | 09/29/09         | 532.44      | 451.52     | 80.92          |                                  |
| PHILLIPS VAN HEUSEN       | 16.0000  | 08/26/08      | 09/29/09         | 688.06      | 574.87     | 113.19         |                                  |
| QUALCOMM INC              | 2.0000   | 06/12/08      | 10/08/09         | 83.65       | 98.34      | (14.69)        |                                  |
| QUALCOMM INC              | 15.0000  | 07/31/08      | 10/08/09         | 627.43      | 834.12     | (206.69)       |                                  |
| SUMITOMO MITSU FINL ADR   | 50.0000  | 09/10/08      | 09/29/09         | 166.99      | 309.01     | (142.02)       |                                  |
| SIEMENS AG ADR            | 4.0000   | 03/21/06      | 10/13/09         | 387.64      | 369.51     | 18.13          |                                  |
| WINDSTREAM CORP           | 8.0000   | 01/12/07      | 09/29/09         | 78.96       | 113.21     | (34.25)        |                                  |
| WINDSTREAM CORP           | 37.0000  | 01/17/07      | 09/29/09         | 365.19      | 530.58     | (165.39)       |                                  |
| XEROX CORP                | 20.0000  | 06/30/08      | 09/29/09         | 157.82      | 272.44     | (114.62)       |                                  |
| XEROX CORP                | 10.0000  | 07/03/08      | 09/29/09         | 78.91       | 134.39     | (55.48)        |                                  |
| XEROX CORP                | 15.0000  | 07/07/08      | 09/29/09         | 118.36      | 202.01     | (83.65)        |                                  |
| XEROX CORP                | 50.0000  | 07/08/08      | 09/29/09         | 394.57      | 677.53     | (282.96)       |                                  |
| Subtotal (Long-Term)      |          |               |                  |             |            | (2,388.13)     | (31,275.49)                      |
| BARNES GROUP INC DE \$.01 | 10.0000  | 03/05/09      | 09/29/09         | 171.90      | 81.74      | 90.16          |                                  |
| BOEING COMPANY            | 13.0000  | 01/29/09      | 10/26/09         | 635.58      | 529.10     | 106.48         |                                  |

Allianz Multi CDP III

TOTAL MERRILL®

## YOUR EMA TRANSACTIONS

October 01, 2009 October 30, 2009

## REALIZED GAINS/(LOSSES) (continued)

| Description              | Quantity | Acquired<br>Date | Liquidation<br>Date | Sales Price      | Cost Basis       | This Statement  | Gains/(Losses) *<br>Year to Date |
|--------------------------|----------|------------------|---------------------|------------------|------------------|-----------------|----------------------------------|
| BOEING COMPANY           | 11.0000  | 02/02/09         | 10/26/09            | 537.81           | 445.54           | 92.27           |                                  |
| CHIMERA INVESTMENT CORP  | 85.0000  | 06/08/09         | 09/29/09            | 317.03           | 285.80           | 31.23           |                                  |
| CHIMERA INVESTMENT CORP  | 30.0000  | 06/09/09         | 09/29/09            | 111.90           | 104.19           | 7.71            |                                  |
| DEL MONTE FOODS CO       | 45.0000  | 06/16/09         | 09/29/09            | 531.47           | 392.72           | 138.75          |                                  |
| DEL MONTE FOODS CO       | 5.0000   | 06/17/09         | 09/29/09            | 59.06            | 45.09            | 13.97           |                                  |
| R R DONNELLEY SONS       | 85.0000  | 03/05/09         | 09/29/09            | 1,829.16         | 504.38           | 1,324.78        |                                  |
| HDFC BANK LTD ADR        | 4.0000   | 11/26/08         | 10/09/09            | 468.42           | 233.67           | 234.75          |                                  |
| ING GP NV SPSD ADR       | 45.0000  | 03/18/09         | 09/29/09            | 772.18           | 213.47           | 558.71          |                                  |
| JABIL CIRCUIT INC        | 30.0000  | 10/21/08         | 09/29/09            | 367.54           | 219.53           | 148.01          |                                  |
| JABIL CIRCUIT INC        | 27.0000  | 10/22/08         | 09/29/09            | 330.79           | 208.96           | 121.83          |                                  |
| JABIL CIRCUIT INC        | 41.0000  | 11/05/08         | 09/29/09            | 502.31           | 365.66           | 136.65          |                                  |
| JABIL CIRCUIT INC        | 67.0000  | 03/05/09         | 09/29/09            | 820.86           | 238.52           | 582.34          |                                  |
| LOWE'S COMPANIES INC     | 42.0000  | 12/04/08         | 10/14/09            | 913.91           | 954.34           | (40.43)         |                                  |
| LOWE'S COMPANIES INC     | 8.0000   | 03/05/09         | 10/14/09            | 174.08           | 106.94           | 67.14           |                                  |
| LOWE'S COMPANIES INC     | 12.0000  | 03/05/09         | 10/15/09            | 258.69           | 160.43           | 98.26           |                                  |
| LOWE'S COMPANIES INC     | 8.0000   | 07/22/09         | 10/15/09            | 172.47           | 166.49           | 5.98            |                                  |
| PNC FINCL SERVICES GROUP | 9.0000   | 02/23/09         | 10/08/09            | 398.64           | 224.78           | 173.86          |                                  |
| PNC FINCL SERVICES GROUP | 46.0000  | 03/05/09         | 10/08/09            | 2,037.55         | 918.60           | 1,118.95        |                                  |
| PHILLIPS VAN HEUSEN      | 14.0000  | 10/03/08         | 09/29/09            | 602.06           | 493.46           | 108.60          |                                  |
| PHILLIPS VAN HEUSEN      | 10.0000  | 03/05/09         | 09/29/09            | 430.05           | 149.08           | 280.97          |                                  |
| QUALCOMM INC             | 9.0000   | 12/15/08         | 10/08/09            | 376.46           | 302.72           | 73.74           |                                  |
| QUALCOMM INC             | 9.0000   | 03/05/09         | 10/08/09            | 376.47           | 312.93           | 63.54           |                                  |
| Subtotal (Short-Term)    |          |                  |                     |                  |                  | 5,538.25        | (2,369.71)                       |
| <b>TOTAL</b>             |          |                  |                     | <b>23,650.18</b> | <b>20,500.06</b> | <b>3,150.12</b> | <b>(33,645.20)</b>               |

\* - Excludes transactions for which we have insufficient data

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41 of 44

Allianz Multi CDP III

TOTAL MERRILL\*

## YOUR EMA TRANSACTIONS

October 31, 2009 November 30, 2009

SECURITY TRANSACTIONS (continued)  
TRANSACTIONS CONDUCTED PER YOUR CONSULTS CLIENT AGREEMENT

| Settlement<br>Date | Trade<br>Date | Description                                        | Transaction Type | Quantity | Unit<br>Price | Debit            | Credit           | Accrued Interest<br>Earned/(Paid) |
|--------------------|---------------|----------------------------------------------------|------------------|----------|---------------|------------------|------------------|-----------------------------------|
| 11/25              |               | ■ FNMA P992033 06%2038                             | Prin Payment     |          |               |                  | 263.93           |                                   |
|                    |               | AMORTIZED VALUE 48305 AMORTIZED FACTOR 0.434288590 |                  |          |               |                  |                  |                                   |
|                    |               | RECORD DATE 10/30/2009 PAY DATE 11/25/2009         |                  |          |               |                  |                  |                                   |
|                    |               | Subtotal (Other Security Transactions)             |                  |          |               | 1,460.38         |                  |                                   |
|                    |               | <b>TOTAL</b>                                       |                  |          |               | <b>12,612.83</b> | <b>14,116.85</b> |                                   |

## REALIZED GAINS/(LOSSES)

| Description              | Quantity | Acquired<br>Date | Liquidation<br>Date | Sales Price | Cost Basis | This Statement | Gains/(Losses) *<br>Year to Date |
|--------------------------|----------|------------------|---------------------|-------------|------------|----------------|----------------------------------|
| ENCANA CORP              | 10.0000  | 08/29/08         | 11/13/09            | 554.36      | 747.86     | (193.50)       |                                  |
| ENCANA CORP              | 5.0000   | 08/29/08         | 11/16/09            | 279.04      | 373.94     | (94.90)        |                                  |
| ENCANA CORP              | 9.0000   | 09/08/08         | 11/16/09            | 502.29      | 608.54     | (106.25)       |                                  |
| ITAU UNIBANCO BANCO HOLD | 9.0000   | 08/28/08         | 10/28/09            | 175.88      | 169.32     | 6.56           |                                  |
| MONSANTO CO NEW DEL COM  | 1.0000   | 01/17/07         | 10/30/09            | 66.90       | 54.10      | 12.80          |                                  |
| MONSANTO CO NEW DEL COM  | 5.0000   | 02/19/08         | 10/30/09            | 334.52      | 599.40     | (264.88)       |                                  |
| MONSANTO CO NEW DEL COM  | 6.0000   | 09/09/08         | 10/30/09            | 401.43      | 606.57     | (205.14)       |                                  |
| Subtotal (Long-Term)     |          |                  |                     |             |            | (845.31)       | (32,120.80)                      |
| BHP BILLITON LTD ADR     | 20.0000  | 07/21/09         | 10/28/09            | 1,335.52    | 1,197.32   | 138.20         |                                  |
| BOEING COMPANY           | 10.0000  | 03/05/09         | 10/28/09            | 474.36      | 292.28     | 182.08         |                                  |
| CVS CAREMARK CORP        | 37.0000  | 07/24/09         | 11/11/09            | 1,106.93    | 1,261.85   | (154.92)       |                                  |
| ENCANA CORP              | 6.0000   | 03/05/09         | 11/16/09            | 334.86      | 224.51     | 110.35         |                                  |
| ENCANA CORP              | 7.0000   | 03/05/09         | 11/17/09            | 381.49      | 261.95     | 119.54         |                                  |
| GOLDMAN SACHS GROUP INC  | 7.0000   | 03/13/09         | 11/02/09            | 1,192.89    | 693.29     | 499.60         |                                  |
| GOLDMAN SACHS GROUP INC  | 4.0000   | 04/02/09         | 11/02/09            | 681.66      | 456.56     | 225.10         |                                  |
| GAM HOLDING LTD ADRS     | 53.0000  | 01/26/09         | 11/13/09            | 130.93      | 324.30     | (193.37)       |                                  |
| GAM HOLDING LTD ADRS     | 7.0000   | 04/16/09         | 11/13/09            | 17.30       | 44.39      | (27.09)        |                                  |
| GAM HOLDING LTD ADRS     | 27.0000  | 04/16/09         | 11/16/09            | 64.91       | 171.22     | (106.31)       |                                  |

## YOUR EMA TRANSACTIONS

October 31, 2009 - November 30, 2009

## REALIZED GAINS/(LOSSES)(continued)

| Description             | Quantity | Acquired Date | Liquidation Date | Sales Price      | Cost Basis       | This Statement | Gains/(Losses) *<br>Year to Date |
|-------------------------|----------|---------------|------------------|------------------|------------------|----------------|----------------------------------|
| MONSANTO CO NEW DEL COM | 4.0000   | 03/05/09      | 10/30/09         | 267.62           | 286.72           | (19.10)        |                                  |
| NORFOLK SOUTHERN CORP   | 20.0000  | 03/24/09      | 11/13/09         | 1,030.61         | 678.67           | 351.94         |                                  |
| NORFOLK SOUTHERN CORP   | 4.0000   | 03/24/09      | 11/16/09         | 206.98           | 135.74           | 71.24          |                                  |
| NORFOLK SOUTHERN CORP   | 21.0000  | 03/27/09      | 11/16/09         | 1,086.70         | 733.04           | 353.66         |                                  |
| SUPERVALU INC DEL COM   | 20.0000  | 02/18/09      | 11/11/09         | 329.80           | 353.24           | (23.44)        |                                  |
| SUPERVALU INC DEL COM   | 9.0000   | 02/18/09      | 11/13/09         | 143.10           | 158.97           | (15.87)        |                                  |
| SUPERVALU INC DEL COM   | 6.0000   | 02/25/09      | 11/13/09         | 95.40            | 109.07           | (13.67)        |                                  |
| SUPERVALU INC DEL COM   | 15.0000  | 02/25/09      | 11/16/09         | 237.39           | 272.69           | (35.30)        |                                  |
| SUPERVALU INC DEL COM   | 3.0000   | 02/25/09      | 11/17/09         | 46.92            | 54.54            | (7.62)         |                                  |
| SUPERVALU INC DEL COM   | 12.0000  | 03/05/09      | 11/17/09         | 187.69           | 180.21           | 7.48           |                                  |
| SUPERVALU INC DEL COM   | 26.0000  | 03/05/09      | 11/18/09         | 404.40           | 390.47           | 13.93          |                                  |
| TULLOW OIL PLC ADR      | 3.0000   | 07/28/09      | 10/28/09         | 584.59           | 499.07           | 85.52          |                                  |
| Subtotal (Short-Term)   |          |               |                  |                  | 1,561.95         |                | (807.76)                         |
| <b>TOTAL</b>            |          |               |                  | <b>12,656.47</b> | <b>11,939.83</b> | <b>716.64</b>  | <b>(32,928.56)</b>               |

\* - Excludes transactions for which we have insufficient data

## UNSETTLED TRADES

| Trade Date       | Settlement Date | Description         | Symbol/<br>Cusip | Transaction Type | Quantity | Price   | Amount        |
|------------------|-----------------|---------------------|------------------|------------------|----------|---------|---------------|
| 11/25            | 12/01           | PROCTER & GAMBLE CO | PG               | Sale             | 10       | 62.9836 | 629.82        |
| 11/30            | 12/03           | HSBC HLDG PLC SPADR | HBC              | Sale             | 4        | 58.1897 | 232.75        |
| <b>NET TOTAL</b> |                 |                     |                  |                  |          |         | <b>862.57</b> |

~~Approved for Release by NSA on 08-09-2014 pursuant to E.O. 13526~~

December 01, 2009- December 31, 2009

| Accrued Interest<br>Earned/(Paid) | Credit          |
|-----------------------------------|-----------------|
|                                   | 134.66          |
|                                   | 398.24          |
|                                   | 1,569.29        |
|                                   | <b>9,904.56</b> |

| This Statement | Gains/(Losses) * | Year to Date |
|----------------|------------------|--------------|
| (126.33)       |                  |              |
| 20.21          |                  |              |
| 20.41          |                  |              |
| (85.71)        |                  | (32,206.51)  |
| 115.27         |                  |              |
| 135.84         |                  |              |
| 50.35          |                  |              |
| 36.12          |                  |              |
| (2.75)         |                  |              |
| 36.96          |                  |              |
| 95.17          |                  |              |
| 65.41          |                  |              |
| 132.95         |                  |              |
| 98.65          |                  |              |
| 154.70         |                  |              |

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~~XXXXXXXXXXXXXXXXXXXX~~**TOTAL MERRILL\*****YOUR EMA TRANSACTIONS**

December 01, 2009 - December 31, 2009

**REALIZED GAINS/(LOSSES) (continued)**

| Description              | Quantity | Acquired Date | Liquidation Date | Sales Price     | Cost Basis      | This Statement  | Gains/(Losses) *<br>Year to Date |
|--------------------------|----------|---------------|------------------|-----------------|-----------------|-----------------|----------------------------------|
| SUN HG KAI PPTY SPSP ADR | 32.0000  | 08/27/09      | 12/01/09         | 470.93          | 463.38          | 7.55            |                                  |
| SHINHAN FINL GRP SP ADR  | 6.0000   | 10/13/09      | 12/24/09         | 450.31          | 490.31          | (40.00)         |                                  |
| WALGREEN CO              | 34.0000  | 04/20/09      | 12/18/09         | 1,244.95        | 1,019.44        | 225.51          |                                  |
| WALGREEN CO              | 11.0000  | 04/29/09      | 12/18/09         | 402.79          | 346.45          | 56.34           |                                  |
| Subtotal (Short-Term)    |          |               |                  |                 |                 | 1,168.07        | 360.31                           |
| <b>TOTAL</b>             |          |               |                  | <b>8,335.27</b> | <b>7,252.91</b> | <b>1,082.36</b> | <b>(31,846.20)</b>               |

\* - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2009 tax return. These reportable transactions will appear on your January statement.

**UNSETTLED TRADES**

| Trade Date       | Settlement Date | Description             | Symbol/<br>Cusip | Transaction Type | Quantity | Price    | Amount          |
|------------------|-----------------|-------------------------|------------------|------------------|----------|----------|-----------------|
| 12/30            | 01/05           | AOL INC                 | AOL              | Sale             | 4        | 23.0869  | 92.34           |
| 12/30            | 01/05           | GOOGLE INC CL A         | GOOG             | Purchase         | 3        | 621.4891 | (1,864.47)      |
| 12/31            | 01/06           | GILEAD SCIENCES INC COM | GILD             | Sale             | 31       | 43.4557  | 1,347.10        |
| <b>NET TOTAL</b> |                 |                         |                  |                  |          |          | <b>(425.03)</b> |

**CASH/OTHER TRANSACTIONS**

| Date  | Transaction Type | Quantity | Description             | Debit    | Credit |
|-------|------------------|----------|-------------------------|----------|--------|
| 12/01 | Journal Entry    |          | TR TO 74824101          | 4,000.00 |        |
|       |                  |          | N/O MARLENE BRODY TTEE  |          |        |
| 12/07 | Fgn Div Tax      |          | PETREO ERAS VTG SPD ADR | 2.26     |        |
|       |                  |          | NON-RECLAIMABLE TAX     |          |        |
| 12/08 | Fgn Div Tax      |          | PAY DATE 12/07/2009     |          |        |
|       |                  |          | KAO CORP SPD ADR        | 45       |        |
|       |                  |          | NON-RECLAIMABLE TAX     |          |        |
|       |                  |          | PAY DATE 12/08/2009     |          |        |

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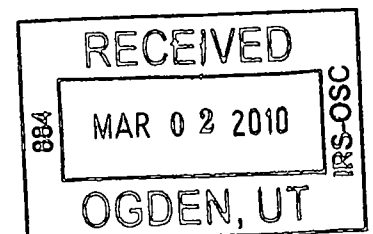
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47 of 52

**JEROME & MARLENE BRODY FOUNDATION**  
**14-1736554**  
**2009 FORM 990-PF**

**ATTACHMENT**  
**PART VII-A 3**



**AMENDED AND RESTATED**  
**BY-LAWS**  
**OF**  
**THE JEROME AND MARLENE BRODY FOUNDATION, INC**

**ARTICLE I**  
**Names and Offices**

The name of the corporation is The Jerome and Marlene Brody Foundation, Inc. (the "Corporation") and its principal office shall be at 349 Leggett Road, Ghent, New York 12075, County of Columbia, State of New York. The Corporation may also have offices at such other places within or without this State as the Board may from time to time determine or the business of the Corporation may require.

**ARTICLE II**  
**Purposes**

The Corporation is organized exclusively for charitable purposes, and shall carry out the aforesaid purposes without pecuniary gain or profit to the corporation, its members, directors or officers. More specifically, the Corporation shall engage in charitable grant-making and financial support of charitable programs of varied types, which satisfy the philanthropic interests of the Brody family.

The Corporation is organized exclusively for charitable purposes under section 501(c)(3) of the Internal Revenue Code of 1986 as amended. The Corporation, its officers and directors shall not take any action which will adversely affect this status of the Corporation.

In addition to the foregoing corporate purposes, the Corporation shall have all of the general powers set forth in Section 202 of the Not-for-Profit Corporation Law, together with the power to solicit and receive grants, bequests and contributions for corporate purposes.

**ARTICLE III**  
**Members**

Section 1. Members. The members of the Corporation shall consist of the persons who signed the Certificate of Incorporation, together with such persons as may become members in accordance with this By-Law.

Except as provided in the preceding paragraph, the members of the Corporation shall be the persons who are appointed as a member by the original members, or appointed as a member by any appointed member after the death of the original members.

Section 2. Meetings. Meetings of the members shall be held at any place within or without the State of New York as the members may from time to time fix, or as shall be specified in the notice or waivers of notice thereof. The annual meeting of the members shall be held at the principal office of the Corporation or at such other place as the members shall designate on such day in the month of January or such other time as the members shall designate. Notice of the time and place of such annual meeting or a properly called special meeting, shall be given personally or by first class mail, it shall be given not less than ten (10) nor more than fifty (50) days before the date of the meeting; if mailed by any other class of mail, it shall be given not less than thirty (30) nor more than sixty (60) days before such date. If mailed, such notice is given when deposited in the United States mail, with postage thereon prepaid, directed to the member at his address as it appears on the record of members, or, if he shall have filed with the secretary of the Corporation a written request that notices to him be mailed to some other address, then directed to him at such other address. Notice of a special meeting shall also include a statement indicating that purpose for such special meeting and at whose direction the meeting is being called.

Section 3. Quorum. At any meeting of the members, the presence of the members entitled to cast a majority of the total number of votes shall constitute a quorum.

#### **ARTICLE IV** **Board of Directors**

Section 1. Powers and Duties. The Board of Directors shall have general power to control and manage the affairs and property of the Corporation, and shall have full power, by majority vote, to adopt rules and regulations, consistent with these By-Laws, governing the action of the Board of Directors and shall have full authority with respect to the distribution and payment of the monies received by the Corporation from time to time; provided, however, that the Board of Directors shall not permit any part of the net earnings or capital of the Corporation to inure to the benefit of any private individual. Notwithstanding the foregoing, reasonable compensation may be paid for services actually rendered by Corporation employees, officers or directors.

Section 2. Number, Election, Term of Office and Removal. The number of directors shall be not less than three (3) and no more than ten (10), the number to be fixed from time to time by resolution of the Board of Directors adopted by a majority of the entire Board of Directors as defined in Section 702 of the Not-for-Profit Corporation Law. There may be voting and non-voting directors. The initial directors shall be the persons named in the Certificate of Incorporation. The directors shall be elected for a term of one year at the annual meeting of the members by a majority of the voting directors then in office and each shall continue in office until his or her successor shall have been elected and qualified, or until his or her death, resignation or removal.

Section 3. Vacancies and Newly Created Directorships. Any newly created directorships and any vacancies in the Board of Directors arising at any time and from any cause, including the authorization of an increase in the number of directors, may be filled at any meeting of the Board of Directors by a majority of the voting directors then in office. Each director so elected shall hold office until the election at the annual meeting of the Board of Directors and the qualification

of his or her successor. Notwithstanding the foregoing, the members shall, under any and all circumstances, have exclusive authority to name qualified persons to the Board of Directors, fill vacancies and remove directors.

Section 4. Meetings. Meetings of the Board shall be held at any place within or without the State of New York as the Board may from time to time fix, or as shall be specified in the notice or waivers of notice thereof. The annual meeting of the Board of Directors shall be held at the principal office of the Corporation or at such other place as the Board of Directors shall designate on such day in the month of January or such other time as the Board of Directors shall designate. Notice of the time and place of such annual meeting shall be given personally or by first class mail, it shall be given not less than ten (10) nor more than fifty (50) days before the date of the meeting; if mailed by any other class of mail, it shall be given not less than thirty (30) nor more than sixty (60) days before such date. If mailed, such notice is given when deposited in the United States mail, with postage thereon prepaid, directed to the member at his address as it appears on the record of members, or, if he shall have filed with the secretary of the Corporation a written request that notices to him be mailed to some other address, then directed to him at such other address.

Specific notice shall be given when the business of or the proposals to be acted upon at such meeting include one or more of the following:

- a) a proposal to amend the Certificate of Incorporation;
- b) a proposal to merge or consolidate with another corporation, domestic or foreign;
- c) a proposal to lease, sell, transfer, convey, exchange or otherwise dispose of all or substantially all of the assets of the Corporation; and
- d) a proposal to dissolve and wind-up the Corporation.

Proposals a) through d) above require the unanimous approval of the members in addition to the approval of the Board.

Section 5. Special Meetings; Notice. Special meetings of the Board of Directors may be held upon the call of the President or of any voting director at the principal office of the Corporation or at such other place as may be designated in the notice of such meeting. Notice of the time, place and purpose of any special meeting of the Board of Directors shall be given by the Secretary by mailing a copy thereof or delivering the same to each director at least twenty-four (24) hours before such meeting.

Section 6. Quorum; Adjournments of Meetings. At all meetings of the Board of Directors, unless a greater proportion is required by law, a majority of the voting directors in office shall constitute a quorum for the transaction of business. In the absence of a quorum, a majority of the voting directors present may, without giving notice other than by announcement at the meeting, adjourn the meeting from time to time until a quorum is obtained. At any such adjourned

meeting at which a quorum is present, any business may be transacted which might have been transacted at the meeting as originally called. Except as otherwise provided, the vote of a majority of the voting directors present at the time of the vote, if a quorum is present at such time, shall be the act of the Board.

Section 7. Organization. The President of the Corporation shall preside at all meetings of the Board of Directors or, in the absence of the President, a Chairman shall be chosen by the directors present. The Secretary of the Corporation shall act as Secretary at all meetings of the Board of Directors. In the absence of the Secretary, the presiding officer may appoint any person to act as Secretary of the meeting.

Section 8. Participation by Telephone. Any one or more members of the Board of Directors or any committee thereof may participate in a meeting of such Board or committee by means of a conference telephone or similar communications equipment allowing all persons participating in the meeting to hear each other at the same time. Participation by such means shall constitute presence in person at a meeting.

Section 9. Compensation. A director or officer of the Corporation may receive, directly or indirectly, salary, compensation or emolument therefrom for his or her service as director, officer or in any other capacity, when authorized by the majority of the voting directors, provided that such compensation is reasonable for the services actually rendered.

Section 10. Resignation. Any director may resign at any time by giving written notice to the President of the Corporation. Such resignation shall take effect at any time specified therein and, unless otherwise specified therein, the acceptance of such resignation shall not be necessary to make it effective.

Section 11. Removal. Any Director may be removed at any time for cause by a vote of a majority of the voting directors at any special meeting of the Board called for that purpose, provided that at least one week's notice of the proposed action shall have been given to the entire Board of Directors then in office. A Director who misses three consecutive meetings shall be automatically removed, but may be reinstated by a vote of a majority of the voting directors for good cause shown. Notwithstanding the foregoing, the Directors who are also members shall not be removed except by their own resignations.

## **ARTICLE V**

### **Officers**

Section 1. Officers. The officers of the corporation shall be a President, a Secretary, a Treasurer, an Assistant Treasurer and such other officers as the Board of Directors may from time to time appoint or elect. One person may hold more than one office in the Corporation, except that one person may not hold both the offices of President and Secretary. No instrument required to be signed by more than one officer shall be signed by one person in more than one capacity.

Section 2. Election, Term of Office and Removal. The officers of the Corporation shall be elected at the annual meeting of the Board of Directors and each shall continue in office until his or her successor shall have been elected and qualified, or until his or her death, resignation or removal. Any officer of the Corporation may be removed, with or without cause, by a vote of a majority of the voting directors then in office.

Section 3. Other Agents, etc. The Board of Directors may from time to time appoint such agents as it shall deem necessary, each of whom shall hold office during the pleasure of the Board of Directors, and shall have such authority, perform such duties and receive such reasonable compensation, if any, as the Board of Directors may from time to time determine. No such other Officer or agent need be a Director of the Corporation. To the full extent allowed by law, the Board of Directors may delegate to any Officer or agent any powers possessed by the Board of Directors and may prescribe their respective titles, terms of office, authorities, and duties.

Section 4. Vacancies. Any vacancy in any office may be filled by the voting directors of the Board of Directors at any regular meeting or at a special meeting called for that purpose. Any officer so elected shall hold office until the election at the annual meeting of the Board of Directors of his or her successor.

Section 5. President: Powers and Duties. The President shall preside at all meetings of the Board of Directors; shall generally manage and supervise the affairs of the Corporation; shall keep the Board of Directors fully informed, and shall freely consult with them concerning the activities of the Corporation; shall have the power to sign alone, unless the Board of Directors shall specifically require an additional signature, in the name of the Corporation, all contracts authorized either generally or specifically by the Board of Directors; and shall perform all duties incident to the office of President, subject however to the control of the Board of Directors, and such other duties as shall from time to time be assigned to him or her by the Board of Directors.

Section 6. Vice-President: Powers and Duties. The Vice-President shall be a member of the Board of Directors and shall have such powers and duties as may be assigned to the Vice-President by the Board of Directors. In the absence of the President, the Vice-President shall in general have the powers and perform the duties of the President.

Section 7. Secretary: Powers and Duties. The Secretary shall act as secretary of all meetings of the Board of Directors, and shall keep the minutes of all such meetings in the books proper for that purpose; shall attend to the giving and serving of all notices of the Corporation; shall keep in safe custody the seal of the Corporation and affix it to any instrument when authorized by the Board of Directors; and shall perform all duties incident to the office of Secretary, subject however to the control of the Board of Directors, and such other duties as shall from time to time be assigned to him or her by the Board of Directors.

Section 8. Treasurer: Powers and Duties. The Treasurer shall have the custody of all funds and securities of the Corporation which may come into his or her hands; shall keep or cause to be kept complete and accurate accounts of receipts and disbursements of the Corporation, and shall deposit all moneys and other valuable effects of the Corporation in the name and to the credit of the Corporation in such banks or depositories as the Board of Directors may designate; whenever

required by the Board of Directors, shall render a statement of his or her accounts; shall at all reasonable times exhibit such books and accounts to the President or any director of the Corporation; and shall perform all duties incident to the office of Treasurer, subject however to the control of the Board of Directors, and such other duties as shall from time to time be assigned to him or her by the Board of Directors. The Treasurer shall, if required by the Board of Directors, give such security for the faithful performance of his or her duties as the Board of Directors may require.

## **ARTICLE VI**

### **Committees**

Section 1. Committees of the Board. The Board may, by resolution adopted by a majority of the voting directors, establish and appoint an executive and other standing committees. The Chairperson of the Board of Directors shall appoint the Chairperson of each committee. Each committee so appointed shall consist of three (3) or more directors and, to the extent provided in the resolution establishing it, shall have all the authority of the Board except as to the following matters:

- a) the filling of vacancies on the Board or on any committee;
- b) the amendment or repeal of the by-laws or the adoption of new by-laws;
- c) the amendment or repeal of any resolution of the Board which by its terms shall not be so amendable or repealable; and
- d) the fixing of compensation of the directors for serving on the Board or any committee.

Special committees may be appointed by the Chairperson with the consent of the Board and shall have only the powers specifically delegated to them by the Board.

## **ARTICLE VII**

### **Contracts, Checks, Bank Accounts, Investments**

Section 1. Checks, Notes, Contracts, etc. The Board of Directors is authorized to select such banks or depositories as it shall deem proper for the funds of the Corporation. The Board of Directors shall determine who, if anyone, in addition to the President and the Secretary, shall be authorized from time to time on the Corporation's behalf to sign checks, drafts or other orders for the payment of money, acceptances, notes or other evidences of indebtedness, to enter into contracts, or to execute and deliver other documents and instruments.

Section 2. Investments. The funds of the Corporation may be retained in whole or in part in cash or be invested and reinvested from time to time in such property, real, personal or otherwise, or stocks, bonds or other securities, as the Board of Directors in its uncontrolled discretion may deem desirable, without regard to the limitations, if any, now imposed or which may hereafter be imposed by law regarding such investments.

## **ARTICLE VIII Office and Books**

Section 1. Office. The office of the Corporation shall be located at such place as the Board of Directors may from time to time determine.

Section 2. Books. There shall be kept at the office of the Corporation correct books of account of the activities and transactions of the Corporation, including a minute book, which shall contain a copy of the Certificate of Incorporation, a copy of these By-Laws, and all minutes of meetings of the Board of Directors.

## **ARTICLE IX Corporate Seal**

The seal of the Corporation shall be circular in form and shall bear the name of the Corporation and words and figures showing that it was incorporated in the State of New York in 1990.

## **ARTICLE X Fiscal Year**

The fiscal year of the Corporation shall be determined by the Board of Directors.

## **ARTICLE XI Indemnification**

The Corporation may, to the fullest extent now or hereafter permitted by and in accordance with the standards and procedures provided for by section 721 through 726 of the Not-for-Profit Corporation Law and any amendments thereto, indemnify any person made, or threatened to be made, a party to any action, suit or proceeding by reason of the fact that he or she (or a person of whom he or she is the legal or personal representative or heir or legatee) is or was a director, officer, employee or other agent of the Corporation, or of any other organization served by him or her in any capacity at the request of the Corporation, against judgments, fines, amounts paid in settlement and reasonable expenses, including attorneys' fees.

## **ARTICLE XII Non-Discrimination**

In all activities of the Corporation there shall be no unlawful discrimination on the basis of age, sex, race, creed, color, religion, nationality, place of origin or political affiliation.

### **ARTICLE XIII**

#### **Amendments**

These By-Laws may be adopted, amended or repealed at any meeting of the Corporation or at any meeting of the Board of Directors by a vote of the majority of the members or a majority of the entire Board of Directors. Any change to the By-Laws made by the Board of Directors must first be approved by a majority of the members and may be amended or repealed by a vote of the majority of the members of the Corporation. Notwithstanding the foregoing, the Board of Directors may not amend any provision regarding the appointment of members of the Corporation.

### **ARTICLE XIV**

#### **Dissolution**

In the event of the dissolution of the Corporation, the assets of the Corporation shall be distributed to an organization exempt from income tax pursuant to §501(c)(3) of the Internal Revenue Code, to be used in furtherance of the exempt purposes of the Corporation, as determined by a resolution of the Board of Directors at the time of dissolution.