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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the
IRS label.
Otherwise,
print
or type.
See Specific
Instructions.

JKW FOUNDATION TR UA 092597
C/O JEAN STEIN, 10 GRACIE SQUARE PH N
NEW YORK, NY 10028

A Employer identification number

13-7127165

B Telephone number (see the instructions)

212-249-4471

C If exemption application is pending, check here ☐**D 1** Foreign organizations, check here ☐**2** Foreign organizations meeting the 85% test, check here and attach computation ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**H** Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation**I** Fair market value of all assets at end of year.
(from Part II, column (c), line 16)

▶ \$ 11,780,099.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

(a) Revenue and expenses per books**(b)** Net investment income**(c)** Adjusted net income**(d)** Disbursements for charitable purposes (cash basis only)**1** Contributions, gifts, grants, etc., received (att sch)

593,816.

2 Ck ☐ if the foundn is not req to att Sch B**3** Interest on savings and temporary cash investments**4** Dividends and interest from securities

40,657.

32,500.

N/A

5a Gross rents**b** Net rental income or (loss)**6a** Net gain/(loss) from sale of assets not on line 10.

854,788.

b Gross sales price for all assets on line 6a

6,085,921.

7 Capital gain net income (from Part IV, line 2)

854,788.

8 Net short-term capital gain**9** Income modifications**10a** Gross sales less returns and allowances**b** Less Cost of goods sold**c** Gross profit/(loss) (att sch)**11** Other income (attach schedule)**12 Total.** Add lines 1 through 11

1,489,261.

887,288.

13 Compensation of officers, directors, trustees, etc.

0.

14 Other employee salaries and wages.**15** Pension plans, employee benefits**16a** Legal fees (attach schedule)**b** Accounting fees (attach sch) SEE ST 1

38,625.

38,625.

c Other prof fees (attach sch)**17** Interest**18** Taxes (attach schedule) (see instr) . SEE STM 2

8,800.

19 Depreciation (attach sch) and depletion**20** Occupancy**21** Travel, conferences, and meetings**22** Printing and publications**23** Other expenses (attach schedule)

SEE STATEMENT 3

33,447.

26,074.

7,373.

24 Total operating and administrative expenses. Add lines 13 through 23

80,872.

26,074.

45,998.

25 Contributions, gifts, grants paid PART XV

1,053,924.

1,053,924.

26 Total expenses and disbursements. Add lines 24 and 25

1,134,796.

26,074.

1,099,922.

27 Subtract line 26 from line 12:**a Excess of revenue over expenses and disbursements**

354,465.

b Net investment income (if negative, enter -0-)

861,214.

c Adjusted net income (if negative, enter -0-)

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
ASSETS	1 Cash — non-interest-bearing	46,613.	73,358.	73,358.
	2 Savings and temporary cash investments	726,030.	4,535,100.	4,535,100.
	3 Accounts receivable 13,281.			
	Less: allowance for doubtful accounts	10,702.	13,281.	13,281.
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use.			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)	2,236,809.		
	b Investments — corporate stock (attach schedule) STATEMENT 4	6,776,069.	5,528,949.	7,158,360.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment: basis			
LIABILITIES	Less: accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe)			
	16 Total assets (to be completed by all filers — see instructions Also, see page 1, item i)	9,796,223.	10,150,688.	11,780,099.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
NET ASSETS OR FUND BALANCES	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	9,796,223.	10,150,688.	
	30 Total net assets or fund balances (see the instructions)	9,796,223.	10,150,688.	
	31 Total liabilities and net assets/fund balances (see the instructions)	9,796,223.	10,150,688.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,796,223.
2 Enter amount from Part I, line 27a	2	354,465.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	10,150,688.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	10,150,688.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 5				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px; display: inline-block;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>		2	854,788.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):				
If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8	<div style="border: 1px solid black; padding: 2px; display: inline-block;"> </div>		3	2,493.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	1,306,453.	13,729,290.	0.095158
2007	1,192,322.	15,406,991.	0.077388
2006	1,418,055.	14,202,941.	0.099842
2005	1,092,917.	15,162,805.	0.072079
2004	1,658,131.	14,712,890.	0.112699

2 Total of line 1, column (d)	2	0.457166
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.091433
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	11,573,679.
5 Multiply line 4 by line 3	5	1,058,216.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	8,612.
7 Add lines 5 and 6	7	1,066,828.
8 Enter qualifying distributions from Part XII, line 4	8	1,099,922.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instr.)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b ..		1 8,612.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 8,612.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 8,612.
6 Credits/Payments:		
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a 16,000.	
b Exempt foreign organizations — tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c 6,500.	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7 22,500.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9 0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10 13,888.
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax 13,888. Refunded		11 0.

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation . . . \$ 0. (2) On foundation managers . . . \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers . . . \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes.</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XIV.</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <u>N/A</u>				
14	The books are in care of <u>PAT LAZAK</u>	Telephone no. <u>212-319-5079</u>		
Located at <u>12-55 GEORGE STREET FAIR LAWN NJ</u>		ZIP + 4 <u>07410</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here.	N/A <input type="checkbox"/>		
and enter the amount of tax-exempt interest received or accrued during the year		<u>15</u>	N/A	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?	<input type="checkbox"/>	
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	<input type="checkbox"/>	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	<input type="checkbox"/>	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	<u>20__ , 20__ , 20__ , 20__</u>	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009).	<input type="checkbox"/>	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

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Part VII B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEAN STEIN 10 GRACIE SQUARE NEW YORK, NY 10028	TTEE-AS REQ 0	0.	0.	0.
KATRINA VANDEN HEUVEL 340 RIVERSIDE DRIVE NEW YORK, NY 10025	TTEE-AS REQ 0	0.	0.	0.
WENDY VANDEN HEUVEL 276 WEST 11TH STREET NEW YORK, NY 10014	TTEE-AS REQ 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	9,048,675.
b Average of monthly cash balances	1b	2,690,551.
c Fair market value of all other assets (see instructions)	1c	10,702.
d Total (add lines 1a, b, and c)	1d	11,749,928.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	11,749,928.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	176,249.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,573,679.
6 Minimum investment return. Enter 5% of line 5	6	578,684.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	578,684.
2a Tax on investment income for 2009 from Part VI, line 5	2a	8,612.
b Income tax for 2009 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	8,612.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	570,072.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	570,072.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	570,072.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	1,099,922.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,099,922.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	8,612.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,091,310.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				570,072.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			0.	
b Total for prior years: 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	927,436.			
b From 2005	338,140.			
c From 2006	714,255.			
d From 2007	429,069.			
e From 2008	619,988.			
f Total of lines 3a through e	3,028,888.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 1,099,922.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount				570,072.
e Remaining amount distributed out of corpus	529,850.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	3,558,738.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	927,436.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	2,631,302.			
10 Analysis of line 9:				
a Excess from 2005	338,140.			
b Excess from 2006	714,255.			
c Excess from 2007	429,069.			
d Excess from 2008	619,988.			
e Excess from 2009	529,850.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling _____

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

- c** Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . .

- 3** Complete 3a, b, or c for the alternative test relied upon:

a 'Assets' alternative test – enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i).

b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c 'Support' alternative test – enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) .

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization . . .

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> VARIOUS CHARITIES-SEE SCHEDULE ATTACHED	NONE	501C 3	SUPPORT FOR THE ORGANIZATION'S CHARITABLE PURPOSES	1,053,924.
Total			3a	1,053,924.
<i>b Approved for future payment</i>				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue.					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	40,657.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	849,561.	5,227.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				890,218.	5,227.
13	Total. Add line 12, columns (b), (d), and (e)				13	895,445.

(See worksheet in the instructions for line 13 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF

OMB No 1545-0047

2009

Name of the organization

JKW FOUNDATION TR UA 092597

Employer identification number

13-7127165

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)(____) (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule –

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules –

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year. . . . ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

JKW FOUNDATION TR UA 092597

Employer identification number

13-7127165

Part I Contributors (see instructions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	DORIS J. STEIN CHARITABLE LEAD TR 10474 SANTA MONICA BLVD, SUITE LOS ANGELES, CA 90025	\$ 586,411.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	NEW YORK FOUNDATION FOR THE ARTS 155 AVENUE OF THE AMERICAS NEW YORK, NY 10013	\$ 7,405.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization

JKW FOUNDATION TR UA 092597

Employer identification number

13-7127165

Part II Noncash Property (see instructions.)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A		
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

JKW FOUNDATION TR UA 092597

13-7127165

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete cols (a) through (e) and the following line entry)For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this information once — see instructions.) ▶ \$ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
KBMG, LLC-ACCOUNTING FEES	\$ 14,575.			\$ 14,575.
PAT LAZAK-BOOKKEEPING	6,800.			6,800.
WILFRED WYLER & CO-ACCOUNTING FEES	17,250.			17,250.
TOTAL	<u>\$ 38,625.</u>	<u>\$ 0.</u>		<u>\$ 38,625.</u>

STATEMENT 2
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	\$ 8,800.			
TOTAL	<u>\$ 8,800.</u>	<u>\$ 0.</u>		<u>\$ 0.</u>

STATEMENT 3
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADVERTISEMENT	\$ 120.			\$ 120.
INVESTMENT ADVISORY FEES	26,074.	\$ 26,074.		
NYS FILING FEES	750.			750.
STORAGE	6,503.			6,503.
TOTAL	<u>\$ 33,447.</u>	<u>\$ 26,074.</u>		<u>\$ 7,373.</u>

STATEMENT 4
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INSTITUTIONAL DIVERSIFIED ARBITRAGE	COST	\$ 1,226,009.	\$ 1,723,632.
INSTITUTIONAL INVESTMENT FUND	COST	1,040,424.	1,310,188.
CERBERUS INTERNATIONAL	COST	720,573.	1,593,791.
SECURITIES-JP MORGAN CHASE	COST	2,541,943.	2,530,749.
TOTAL		<u>\$ 5,528,949.</u>	<u>\$ 7,158,360.</u>

STATEMENT 5
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	SEE ATTACHED SCHEDULE	PURCHASED	VARIOUS	VARIOUS
2	SEE ATTACHED SCHEDULE	PURCHASED	VARIOUS	VARIOUS
3	SEE ATTACHED SCHEDULE	PURCHASED	VARIOUS	VARIOUS
4	INSTITUTIONAL INVESTMENT FUND	PURCHASED	1/01/2004	1/01/2009
5	INSTITUTIONAL INVESTMENT FUND	PURCHASED	1/01/2004	4/01/2009
6	INSTITUTIONAL INVESTMENT FUND	PURCHASED	1/01/2004	7/01/2009
7	INSTITUTIONAL INVESTMENT FUND	PURCHASED	1/01/2004	10/01/2009
8	INSTITUTIONAL DIVERSIFIED ARBITRAGE	PURCHASED	1/01/2004	1/01/2009
9	INSTITUTIONAL DIVERSIFIED ARBITRAGE	PURCHASED	1/01/2004	4/01/2009
10	INSTITUTIONAL DIVERSIFIED ARBITRAGE	PURCHASED	1/01/2004	7/01/2009
11	INSTITUTIONAL DIVERSIFIED ARBITRAGE	PURCHASED	1/01/2004	10/01/2009
12	100K GNMA 4.5% 3/15/39	PURCHASED	4/20/2009	VARIOUS
13	ROP FNMA 5.5% 11/1/35	PURCHASED	8/13/2009	VARIOUS
14	CERBERUS INTERNATIONAL	PURCHASED	1/01/2005	10/30/2009
15	CLASS ACTION SETTLEMENTS	PURCHASED	VARIOUS	VARIOUS
16	CAPITAL GAIN DIVIDENDS			

	(E) GROSS	(F) DEPREC.	(G) COST	(H) GAIN	(I) FMV	(J) ADJ. BAS.	(K) EXCESS	(L) GAIN
ITEM	SALES	ALLOWED	BASIS	(LOSS)	12/31/69	12/31/69	(I) - (J)	(LOSS)
1	987,951.		986,453.	1,498.				\$ 1,498.
2	107,580.		99,883.	7,697.				7,697.
3	587,898.		583,532.	4,366.				4,366.
4	400,000.		360,531.	39,469.				39,469.
5	659,773.		587,138.	72,635.				72,635.
6	503,956.		424,448.	79,508.				79,508.
7	402,537.		327,123.	75,414.				75,414.
8	112,500.		93,034.	19,466.				19,466.
9	851,629.		684,088.	167,541.				167,541.
10	643,011.		490,333.	152,678.				152,678.
11	525,813.		384,847.	140,966.				140,966.
12	125,840.		129,146.	-3,306.				-3,306.
13	1,700.		1,765.	-65.				-65.
14	170,481.		78,812.	91,669.				91,669.
15	5,227.		0.	5,227.				5,227.
16								25.
							TOTAL	\$ 854,788.

2009 Tax Information Statement

Account Number: 25D049120768
 Recipient's Name and Address
 JEAN STEIN
 10 GRACIE SQUARE
 PENTHOUSE NORTH
 NEW YORK, NY 10028

Recipient's Tax ID number: XX-XXX7165
 Payer's Federal ID number: 13-5266470
 Questions? (718)248-1183
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address
 CITIBANK, N.A.
 153 EAST 53RD STREET
 NEW YORK, NY 10043

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
80000.0000	38122NBY7	GOLDEN ST TOB SECURI	5.500% 6/01/43	09/18/2008	06/10/2009	89,026.40	3,361.82	0.00
75000.0000	912828JE1	U S TREAS NTS	1.375% 7/15/18	06/11/2009	07/02/2009	71,370.88	266.56	0.00
30000.0000	796253SP8	SAN ANTONIO TEX ELEC	5.375% 2/01/14	01/28/2009	07/23/2009	33,384.60	-809.00	0.00
110000.0000	912795Q20	U S TREAS BILLS	11/05/09	07/31/2009	08/04/2009	109,949.81	-2.80	0.00
60000.0000	912795Q20	U S TREAS BILLS	11/05/09	07/31/2009	08/06/2009	59,974.14	-0.01	0.00
70000.0000	880591DT6	TENNESSEE VALLEY	6.790% 5/23/12	04/16/2009	09/14/2009	79,452.80	-564.20	0.00
Total Short Term Sales Reported on 1099-B						1,327,509.28	1,652.96	0.00
INTEREST ON US TSY BILLS						339558.33	339403.76	154.57
SHORT TERM SALES						987950.95	986458.56	1498.39

2009 Tax Information Statement

Account Number: 25D049120768
 Recipient's Tax ID number: XX-XXX7165
 Payer's Federal ID number: 13-5266470
 Questions? (718)248-1183
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address
 CITIBANK, N.A.
 153 EAST 53RD STREET
 NEW YORK, NY 10043

2009 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums.

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale	Stocks, Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Short Term Sales Reported on 1099-B								
30000.0000	939748J52	WASHINGTON ST 5.000% 7/01/11	10/17/2008	01/28/2009	32,494.50	30,952.26	1,542.24	0.00
60000.0000	57582NDH5	MASSACHUSETTS ST 5.500% 11/01/11	09/16/2008	02/24/2009	66,071.40	64,657.96	1,413.44	0.00
60000.0000	602245RX2	MILWAUKEE CNTY WIS 5.000% 10/01/11	10/17/2008	02/24/2009	64,898.40	61,907.24	2,991.16	0.00
60000.0000	6498502U7	NEW YORK ST ENVIRONM 6.000% 6/15/11	10/16/2008	02/25/2009	64,662.60	62,356.89	2,305.71	0.00
100000.0000	912828HN3	U S T NTS INFL INDX 1.625% 1/15/18	12/17/2008	02/27/2009	95,718.88	101,355.01	-5,636.13	0.00
110000.0000	912828CZ1	U S T NTS INFL INDX 0.875% 4/15/10	08/28/2008	03/03/2009	-12,252.42	-127,229.12	114,976.70	0.00
110000.0000	912828CZ1	U S T NTS INFL INDX 0.875% 4/15/10	08/28/2008	03/03/2009	12,252.42	127,229.12	-114,976.70	0.00
110000.0000	912828CZ1	U S T NTS INFL INDX 0.875% 4/15/10	08/28/2008	03/03/2009	120,272.24	127,229.12	-6,956.88	0.00
200000.0000	912795S28	U S TREAS BILLS 8/27/09	02/27/2009	03/30/2009	199,699.36	199,574.78	124.58	0.00
300000.0000	912795O87	U S TREAS BILLS 7/02/09	12/24/2008	03/31/2009	29,965.45	29,960.83	4.62	0.00
300000.0000	912795N23	UNITED STATES TREAS BILLS 7/16/09	03/06/2009	04/17/2009	29,980.80	29,972.59	8.21	0.00
500000.0000	912795O87	U S TREAS BILLS 7/02/09	12/24/2008	04/17/2009	49,947.86	49,934.73	13.13	0.00
400000.0000	438670QL8	HONOLULU HAWAII CITY 5.250% 7/01/15	03/06/2009	05/28/2009	45,658.80	44,752.03	906.77	0.00
300000.0000	912795O87	U S TREAS BILLS 7/02/09	12/24/2008	05/29/2009	29,964.86	29,960.83	4.03	0.00
500000.0000	014393HQ8	ALDINE TEX INDPT 5.250% 2/15/16	10/08/2008	06/09/2009	55,015.50	52,335.79	2,679.71	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

ACCOUNT NUMBER Q-87516-00-3
ACCOUNT TYPE FOUNDATION
ADM OFF DAY/VUCCI

ACCOUNT TITLE THE JKW FOUNDATION
TAX IDENTIFICATION NUMBER 13-7127165
INV OFF JPMSI

TAX OFF

TRANSACTION DESCRIPTION	ACQUIRED/ SOLD	NET PROCEEDS OF SALE	FEDERAL COST BASIS	SHORT TERM GAIN/LOSS LONG TERM GAIN/LOSS ORDINARY INCOME CURRENCY GAIN/LOSS
----------------------------	-------------------	-------------------------	-----------------------	--

Q 106.54

\$122,306.22
GNMA
4.5% MAR 15 2039

4/10/09
11/10/09

122,917.75

124,999.49

(2081.74) ST

POOL NO 487698

\$531.72
GNMA
4.5% MAR 15 2039

4/10/09
11/16/09

531.72

531.72

0

POOL NO 487698

\$1,781.54
FANNIE MAE
5.5% NOV 01 2035

8/13/09
12/01/09

1,781.55

1781.55

0

POOL NO 190363

TOTALS

695,478.14

683,415.55

12062.59

LONG TERM

107580.60

99883.70

7696.60

LT

SHORT TERM

587898.14

583532.15

4365.99

ST

ACCOUNT NUMBER Q-87516-00-3
ACCOUNT TYPE FOUNDATION
ADM OFF DAY/VUCCI

ACCOUNT TITLE THE JKW FOUNDATION
TAX IDENTIFICATION NUMBER 13-7127165
INV OFF JFMSI

TAX OFF

SHORT TERM GAIN/LOSS
LONG TERM GAIN/LOSS
ORDINARY INCOME
CURRENCY GAIN/LOSS

TRANSACTION DESCRIPTION	ACQUIRED/ SOLD	NET PROCEEDS OF SALE	FEDERAL COST BASIS	TAX OFF	SHORT TERM GAIN/LOSS LONG TERM GAIN/LOSS ORDINARY INCOME CURRENCY GAIN/LOSS
\$281.95 GNMA 4.5% MAR 15 2039	4/20/09 10/15/09	281.95	281.95	0	ST
POOL NO 487698					
\$1,469.16 FANNIE MAE 5.5% NOV 01 2035	8/13/09 10/26/09	1,469.16	1,469.16	0	ST
POOL NO 190363					
\$100,000 FEDERAL HOME LOAN BANK 5 3/8% AUG 19 2011	6/11/07 11/10/09	107,580.00	99,883.40	7,696.60	LT
@ 107.58					
\$75,000 U S A TREASURY NOTES 2% NOV 30 2013	6/15/09 11/10/09	75,117.19	73,066.41	2,050.78	ST
@ 100.15625					
\$120,000 U S A TREASURY NOTES 7/8% FEB 28 2011	3/4/09 11/10/09	120,300.00	119,897.28	402.72	ST
@ 100.25					
\$90,000 U S A BONDS 1 1/4% APR 15 2014 DTD 04/30/2009	5/29/09 11/10/09	94,704.86	91,403.39	3,301.47	ST
@ 103.15625					
\$123,248.03 FANNIE MAE 5 5% NOV 01 2035	8/13/09 11/10/09	128,177.96	128,070.80	107.16	ST
POOL NO 190363					
\$40,000 TENN VALLEY AUTHORITY 4 7/8% DEC 15 2016	05/19/09 11/10/09	42,616.00	42,030.40	585.60	ST

JKW FOUNDATION
TAX ID # 13-7127165
TAX YEAR 2009

<u>DONOR</u>	<u>\$</u>	<u>CONTRIBUTION AMOUNT</u>
1+1=ONE 190 North 10th Street Brooklyn, NY 11211	\$	500 00
52ND STREET PROJECT 500 West 52nd Street, 2nd Floor New York, NY 10019	\$	25,000 00
AAASS 8 Story Street Cambndge, MA 02138	\$	7,500 00
ACLU 125 Broad Street, 18th Floor New York, NY 10004	\$	1 000 00
ACORN INSTITUTE 2609 Canal Street New Orleans, LA 70119	\$	3,000 00
ACTOR'S CENTER 12 West 27th Street, #17 New York, NY 10001-6903	\$	5,000 00
ALLIANCE FOR JUSTICE 11 Dupont Circle NW, 22nd Floor Washington, DC 20036-1203	\$	2,000 00
AMERICAN COUNCIL OF LEARNED SOCIETIES 633 Third Ave, 8th Floor New York, NY 10017	\$	2,000 00
AMERICAN PROGRESSIVE CAUCUS POLICY FD 209 Pennsylvania Ave, SE Washington, DC 20003	\$	25,000 00
ARCHIPELAGO BOOKS 232 Third Street, #A111 Brooklyn, NY 11215	\$	2,500 00
ARS NOVA 511 West 54th Street New York, NY 10019	\$	2,500 00
ART OMI, INC 55 Fifth Avenue, 15th Floor New York, NY 10003	\$	1,000 00
BARENBOIM-SAID FOUNDATION 435 Riverside Drive, Suite 122 New York, NY 10025	\$	3,250 00
BARGEMUSIC LTD Fulton Ferry Landing Brooklyn, NY 11201	\$	500 00
BARROW GROUP 312 West 36th Street, #4W New York, NY 10018	\$	20,000 00
BRAVE NEW FOUNDATION 10510 Culver Blvd Culver City, CA 90232	\$	6,400 00
BREAD AND PUPPET THEATER 753 Heights Road Glover, VT 05839	\$	10,000 00

JKW FOUNDATION
TAX ID # 13-7127165
TAX YEAR 2009

<u>DONOR</u>		<u>CONTRIBUTION AMOUNT</u>
BROOKLYN ACADEMY OF MUSIC 30 Lafayette Street Brooklyn, NY 11217	\$	15,000 00
BYZANTINE FRESCO CHAPEL MUSEUM 4011 Yupon Street Houston TX 77006-4840	\$	1,000 00
CAMPAIGN FOR PEACE AND DEMOCRACY 2790 Broadway, #2 New York, NY 10025	\$	1,000 00
CARL SCHULTZ PARK ASSOCIATION 217 East 85th Street PMB 116 New York, NY 10028	\$	1,000 00
CARNEGIE HALL 881 Seventh Avenue New York, NY 10019	\$	25,000 00
CAS PANTHERS Children's Aid Society 885 Columbus Avenue New York, NY 10025	\$	12,334 00
CENTER FOR CONSTITUTIONAL RIGHTS 666 Broadway New York, NY 10012	\$	5,000 00
CENTER FOR CUBAN STUDIES 231 West 29th Street New York, NY 10001	\$	1,500 00
CENTRAL PARK CONSERVANCY 14 East 60th Street New York NY 10022	\$	1,000 00
CHEKHOV PUBLISHING CORPORATION 1165 Park Avenue New York, NY 10128-1210	\$	7,500 00
CHICANA/CHICANO STUDIES FOUNDATION 11222 Canby Avenue Northridge, CA 91326	\$	1,000 00
CHILDREN'S DEFENSE FUND 25 E Street, NW Washington, DC 20001	\$	2,000 00
COALITION FOR THE HOMELESS 129 Fulton Street New York, NY 10038	\$	1,000 00
CODEPINK 2010 Linden Avenue Venice, CA 90291	\$	1 000 00
COLLECTIVE UNCONSCIOUS 279 Church Street New York, NY 10013-3475	\$	1,000 00
COLUMBIA UNIVERSITY 622 West 113th Street New York, NY 10025	\$	20 000 00
COLUMBIA UNIVERSITY OPHTHALMOLOGY 622 West 113th Street New York, NY 10025	\$	2,500 00

JKW FOUNDATION
TAX ID # 13-7127165
TAX YEAR 2009

<u>DONOR</u>		<u>CONTRIBUTION AMOUNT</u>
COLUMBIA UNIV-GSAS ANNUAL FUND 622 West 113th Street New York, NY 10025	\$	1,000 00
CREATEI 121 West 111th Street New York, NY 10026	\$	10,000 00
DEMOCRACY NOW! 207 West 25th Street, 11th Floor New York, NY 10001	\$	10,000 00
DEMOS 220 Fifth Avenue, 5th Floor New York, NY 10001	\$	1,000 00
DOCTORS WITHOUT BORDERS P O Box 5030 Hagerstown, MD 21741	\$	5,000 00
EPIC THEATER ENSEMBLE 252 West 38th Street New York, NY 10018	\$	2,000 00
FAIR VOTE 6930 Carroll Avenue, Suite 610 Takoma Park, MD 20912	\$	1,000 00
FAIR VOTE MINNESOTA FOUNDATION P O Box 19440 Minneapolis, MN 55149-0440	\$	2,500 00
FILM FORUM 209 West Houston Street New York, NY 10014	\$	1,903 00
FINCA 1101 14th Street, NW, 11th Floor Washington, DC 20005	\$	2,500 00
FOUNDATION FOR CONTEMPORARY ARTS, INC 820 Greenwich Street New York, NY 10014	\$	5,000 00
FRACTURED ATLAS 248 West 35th Street, Suite 1202 New York, NY 10001-2505	\$	500 00
FRANKLIN & ELEANOR ROOSEVELT INSTITU 570 Lexington Avenue, 18th Floor New York, NY 10022	\$	5 000 00
GLOBAL GREEN USA 2218 Main Street, 2nd Floor Santa Monica, CA 90405	\$	5,000 00
GLOBAL KIDS 137 East 25th St , 2nd Floor New York, NY 10010	\$	5,000 00
GRASSROOTS INTERNATIONAL Friends of the Jenin Theatre, Inc P O Box 592 Tarrytown, NY	\$	2,500 00
GUGGENHEIM MUSEUM 1071 Fifth Avenue New York, NY 10128-0173	\$	1,000 00

JRW FOUNDATION
TAXID # 13-7127165
TAX YEAR 2009

<u>DONOR</u>		<u>CONTRIBUTION AMOUNT</u>
HAMMER MUSEUM 10899 Wilshire Blvd Los Angeles, CA 90024	\$	2,500 00
HARRIMAN INSTITUTE, COLUMBIA UNIVERSITY Room 1213, International Affairs Building 420 West 118th Street/Mail Code 3345 New York, NY 10025	\$	2,000 00
HICKORY MUSEUM OF ART 243 3rd Avenue, NE Hickory, NC 28601	\$	500 00
HUDSON RIVER SLOOP CLEARWATER, INC 112 Little Market Street Poughkeepsie, NY 12601	\$	1,000 00
INDIANA UNIVERSITY FOUNDATION P O Box 500 Bloomington, IN 47402	\$	3,000 00
INSIGHT MEDITATION SOCIETY 28 West 27th Street New York, NY 10001	\$	3,000 00
INSTITUTE FOR AMERICA'S FUTURE 1825 K Street, NW, Suite 400 Washington, DC 20006	\$	47,000 00
INSTITUTE FOR MIDDLE EAST UNDERSTANDING 2913 El Camino Real, Suite 436 Tustin, CA 92782	\$	1,000 00
INTERNATIONAL RESCUE COMMITTEE P O Box 96651 Washington, DC 20090-6651	\$	2,000 00
J STREET EDUCATION FUND LTD P O Box 66073 Washington, DC 20035	\$	5,000 00
JOHN SIMON GUGGENHEIM MEMORIAL FOUNDATION 90 Park Avenue, 33rd Floor New York, NY 10016	\$	2,000 00
KOPKIND COLONY 158 Kopkind Road Guilford, VT 05301	\$	2,500 00
KOVNO COMMUNICATIONS 2600 10th Street, #628 Berkeley, CA 94710	\$	400 00
KRIPALU CENTER P O Box 309 Stockbridge, MA 01262	\$	2,000 00
LA MAMA THEATER 74 East 4th Street New York, NY 10003	\$	5,000 00
LES FRERES CORBUSIER LTD P O Box 237176 New York, NY 10025	\$	1,000 00
LIBRARY OF AMERICA 14 East 60th Street New York, NY 10022	\$	1,000 00

JKW FOUNDATION
TAX ID # 13-7127165
TAX YEAR 2009

<u>DONOR</u>		<u>CONTRIBUTION AMOUNT</u>
LINCOLN CENTER FOR THE PERFORMING ARTS 70 Lincoln Center Plaza New York, NY 10023	\$	2,360 00
MERCE CUNNINGHAM DANCE FOUNDATION 55 Bethune Street New York, NY 10014	\$	1,000 00
METROPOLITAN MUSEUM OF ART 1000 Fifth Avenue New York, NY 10028	\$	1,200 00
METROPOLITAN OPERA ASSOCIATION 30 Lincoln Center New York, NY 10023	\$	4,860 00
MOREHOUSE SCHOOL OF MEDICINE, INC 720 Westview Drive, SW Atlanta, GA 30310-1495	\$	1,000 00
MUSEUM OF MODERN ART 11 West 53rd Street New York, NY 10019	\$	863 00
NEW DRAMATISTS 424 West 44th Street New York, NY 10036	\$	5 000 00
NEW GEORGES THEATER 109 West 27th Street, 9A New York, NY 10001	\$	10,000 00
NEW YORK CITY PLAYERS 138 S Oxford St , #4c Brooklyn, NY 11217	\$	5,000 00
NEW YORK FOUNDATION FOR THE ARTS 20 Jay Street, 7th Floor Brooklyn, NY 11201	\$	5,400 00
NEW YORK THEATER WORKSHOP 79 East 4th Street New York, NY 10003	\$	9,555 00
NOCHE FLAMENCA 168 West 86th Street, 9A New York, NY 10024	\$	17,000 00
NORMAN MAILER WRITERS COLONY 1115 Broadway, 12th Floor New York, NY 10010	\$	12,500 00
NORTH STAR FUND 520 Eighth Avenue, Suite 2203 New York, NY 10018	\$	5,000 00
NORTHSIDE CENTER FOR CHILD DEVELOPME 1301 Fifth Avenue New York, NY 10029	\$	1,000 00
NUBA DANCE THEATRE	\$	2,500 00
NUBA DANCE THEATRE	\$	5,000 00
NYU CANCER INSTITUTE 530 First Avenue New York, NY 10016	\$	1,000 00

JRW FOUNDATION
TAX ID # 13-7127165
TAX YEAR 2009

<u>DONOR</u>		<u>CONTRIBUTION AMOUNT</u>
NYU COMMUNITY FUND 25 West 4th Street, #501 New York, NY 10012	\$	1,000 00
PEACE ACTION FUND OF NY STATE P O Box 600, JAF Station New York, NY 10116	\$	3,500 00
PHYSICIANS FOR HUMAN RIGHTS 2 Arrow Street, Suite 301 Cambridge, MA 02138	\$	2,500 00
PINE CREST SCHOOL 1501 NE 62 Street Fort Lauderdale, FL 33334	\$	1,000 00
PRINCETON UNIVERSITY Princeton, NJ 08544	\$	150,000 00
PS 122 150 First Avenue New York, NY 10009	\$	5,000 00
P S 3SCC 490 Hudson Street New York, NY 10014	\$	20,000 00
PUBLIC CAMPAIGN 1320 19th Street, NW, Suite M-1 Washington DC 20036	\$	5,000 00
PUBLIC CONCERN FOUNDATION 116 East 16th Street, 8th Floor New York, NY 10003	\$	51,193 99
PUBLIC INTEREST PICTURES 13428 Maxella Ave , #897 Manna del Rey, CA 90292	\$	2,000 00
RAN ORG 221 Pine Street, 5th Floor San Francisco, CA 94104	\$	500 00
REMOTE AREA MEDICAL FOUNDATION 1834 Beech Street Knoxville, TN 37920	\$	5,000 00
RESEARCH TO PREVENT BLINDNESS 645 Madison Avenue, 21st Floor New York, NY 10022-1010	\$	2,500 00
RISING PHOENIX REPERTORY New York, NY	\$	5,000 00
ROBERT F KENNEDY MEMORIAL 1367 Connecticut Avenue, NW Suite 200 Washington, DC 20036	\$	2,500 00
RUBICON THEATRE COMPANY 1006 East Main Street Ventura, CA 93001-0038	\$	1,000 00
SIGNATURE THEATER COMPANY 630 Ninth Avenue New York, NY 10036	\$	4,740 00
SITI COMPANY 520 Eighth Avenue, Suite 310 New York, NY 10018	\$	2 500 00

JRW FOUNDATION
TAX ID # 13-7127165
TAX YEAR 2009

<u>DONOR</u>		<u>CONTRIBUTION AMOUNT</u>
SOCIAL ENVIROMENTAL ENTREPRENEURS, INC 22231 Mulholland Highway Suite 209 Calabasas, CA 91302	\$	5,000 00
SOUTHERN POVERTY LAW ASSOCIATION 400 Washington Avenue Montgomery, AL 36104	\$	5,000 00
ST ANN'S WAREHOUSE 38 Water Street Brooklyn, NY 11217	\$	10,000 00
TALKING BAND 93 Mercer Street, 6W New York, NY 10012	\$	5,000 00
THE ALGEBRA PROJECT, INC. 99 Bishop Allen Drive Cambndge, MA 02139	\$	2,500 00
THE BHUTAN FOUNDATION 2100 Pennsylvania Ave , NW, Suite 525 Washington, DC 20037	\$	5,000 00
THE CORRECTIONAL ASSOCIATION OF NY 2090 Adam Clayton Powell Blvd , Suite 200 New York, NY 10027	\$	16,000 00
THE DRUM MAJOR INSTITUTE For Public Policy 40 Exchange Place, Suite 2001 New York NY 10005	\$	10,000 00
THE EARL MANIGAULT FOUNDATION 2130 First Avenue, Suite 3207 New York, NY 10029	\$	1,144 96
THE FIELD 161 Avenue of the Americas, #1405 New York, NY 10013	\$	15,000 00
THE FILM SOCIETY OF LINCOLN CENTER 70 Lincoln Center Plaza New York, NY 10023	\$	3,000 00
THE HALE HOUSE 152 West 122nd Street New York, NY 10027	\$	2,500 00
THE INSTITUTE FOR AMERICA'S FUTURE 1825 K Street, NW, Suite 400 Washington, DC 20006	\$	40,000 00
THE INSTITUTE FOR POLICY STUDIES 1112 16th Street, NW, Suite 600 Washington, DC 20036	\$	25,000 00
THE LOWER EASTSIDE GIRLS CLUB 56 East 1st Street New York, NY 10003	\$	5 000 00
THE NATION INSTITUTE 116 East 16th Street, 8th Floor New York, NY 10003	\$	44,846 45
THE NEW MUSEUM 235 Bowery New York, NY 10002	\$	1,000 00

JRW FOUNDATION
TAX ID # 13-7127165
TAX YEAR 2009

<u>DONOR</u>		<u>CONTRIBUTION AMOUNT</u>
THE NORTH STAR FUND 520 Eighth Avenue, Suite 2203 New York, NY 10018	\$	5 000 00
THE OSBORNE ASSOCIATION 809 Westchester Avenue Bronx, NY 10455	\$	7,500 00
THE PROGRESSIVE 409 East Main Street Madison, WI 53703	\$	2,500 00
THE PUBLIC CONCERN FOUNDATION 8th Floor New York, NY 10003	\$	70,908 98
THE PUBLIC THEATER 425 Lafayette Street New York, NY 10003	\$	9,300 00
THE TALKING BAND PO Box 293, Prince Station New York, NY 10012	\$	7,250 00
THE WOOSTER GROUP P O Box 354, Canal Street Station New York, NY 10013	\$	5,000 00
THE WORLD SECURITY INSTITUTE 1779 Massachusetts Ave , NW Washington DC 20036	\$	500 00
THE WRITER'S ROOM 740 Broadway, 12th Floor New York, NY 10003	\$	1,000 00
THEATER MITU P O Box 1114 Old Chelsea Station New York, NY 10113	\$	2,500 00
US ACTION 1825 K Street, NW, Suite 210 Washington, DC 20006	\$	5,000 00
WHITNEY MUSEUM OF AMERICAN ART 945 Madison Avenue New York, NY 10021	\$	3,015 00
WOMEN'S LEADERSHIP FUND c/o NWPC California 3053 Cafeto Drive Walnut Creek, CA 94598	\$	500 00
WOMEN'S VOICES, WOMEN VOTE 1707 L Street, NW, Suite 750 Washington, DC 20036	\$	10 000 00
WORKING AMERICA EDUCATION FUND 815 16th Street, NW Washington, DC 20006	\$	15,000 00
ZVIDANCE 246 West 38th Street, 4th Fl New York, NY 10018	\$	1,000 00
SUBTOTAL	\$	1,054,924 38
Less Void previous year contribution		(1,000 00)
TOTAL	\$	<u>1,053,924.38</u>

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns***Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Type or print File by the due date for filing your return. See instructions	Name of Exempt Organization	Employer identification number
	JKW FOUNDATION TR UA 092597	13-7127165
	Number, street, and room or suite number. If a P.O. box, see instructions	
	C/O JEAN STEIN, 10 GRACIE SQUARE PH N	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10028	

Check type of return to be filed (file a separate application for each return).

- | | | |
|---|--|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (section 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

- The books are in the care of ► PAT LAZAK

Telephone No ► 212-319-5079

FAX No ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 10, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year 20 09 or
 - ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____.

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 22,500.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 16,000.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 6,500.


Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions**BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.**Form **8868** (Rev 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions	Name of Exempt Organization		Employer identification number
	JKW FOUNDATION TR UA 092597		13-7127165
	Number, street, and room or suite number. If a P.O. box, see instructions		For IRS use only
	C/O JEAN STEIN, 10 GRACIE SQUARE PH N		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions		
	NEW YORK, NY 10028		

Check type of return to be filed (File a separate application for each return):

- | | | | |
|--------------------------------------|--|--------------------------------------|------------------------------------|
| <input type="checkbox"/> Form 990 | <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (section 401(a) or 408(a) trust) | <input type="checkbox"/> Form 4720 | <input type="checkbox"/> Form 8870 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 5227 | |

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of ☒ PAT LAZAK
Telephone No 212-319-5079 FAX No _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15, 20 10.

5 For calendar year 2009, or other tax year beginning _____, 20____, and ending _____, 20____

6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension TAXPAYER RESPECTFULLY REQUESTS AN ADDITIONAL EXTENSION OF TIME TO FILE SINCE IT HAS NOT RECEIVED INFORMATION FROM ITS INVESTMENT ADVISORS TO FILE A COMPLETE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a \$	8,612.
8b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b \$	22,500.
8c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instrs	8c \$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature  Title CFA Date 7/29/10