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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year **2009**, or tax year beginning , **2009**, and ending , **20**

G Check all that apply: Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation DORIS DUKE CHARITABLE FOUNDATION		A Employer identification number 13-7043679
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see page 10 of the instructions) (908) 243-3619
	City or town, state, and ZIP code NEW YORK, NY 10019		C If exemption application is pending, check here <input type="checkbox"/> D 1 Foreign organizations check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 1,558,663,265.**

J Accounting method Cash Accrual
 Other (specify) _____ (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	2,459,430			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments	56,237	56,237		
	4 Dividends and interest from securities	19,137,555	19,137,555		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-13,140,096	Attachment 16		
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	2,630,690	-3,094,229		ATCH 1	
12 Total. Add lines 1 through 11	11,143,816	16,099,563			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	619,659			513,365
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) ATCH 2	31,107	0	0	22,820
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) *	4,842,515	4,842,515		
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) *	909			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	13,212			13,495
	22 Printing and publications	838			838
	23 Other expenses (attach schedule) ATCH 5	9,737,807			9,319,335
	24 Total operating and administrative expenses Add lines 13 through 23	15,246,047	4,842,515	0	9,869,853
	25 Contributions, gifts, grants paid	88,778,419		Attachment 18	75,239,224
26 Total expenses and disbursements Add lines 24 and 25	104,024,466	4,842,515	0	85,109,077	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-92,880,650				
b Net investment income (if negative, enter -0-)		11,257,048			
c Adjusted net income (if negative, enter -0-)			-0-		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	1,889,644.	4,844,270.	4,844,270.
	2	Savings and temporary cash investments	90,279,176.	26,982,966.	26,982,966.
	3	Accounts receivable 41,690.			
		Less: allowance for doubtful accounts	0.	41,690.	41,690.
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable	3,702,272.	7,642,597.	7,642,597.
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	127,813.	94,773.	94,773.
	10 a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) <u>ATCH 6</u>	234,040,910.	286,092,472.	286,092,472.
	c	Investments - corporate bonds (attach schedule) <u>ATCH 7</u>	136,851,081.	182,674,691.	182,674,691.
	11	Investments - land, buildings, and equipment basis			
	Less: accumulated depreciation (attach schedule)				
12	Investments - mortgage loans				
13	Investments - other (attach schedule) <u>ATCH 8</u>	917,252,786.	986,350,632.	986,350,632.	
14	Land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)				
15	Other assets (describe <u>ATCH 9</u>)	91,547,928.	63,939,174.	63,939,174.	
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	1,475,691,610.	1,558,663,265.	1,558,663,265.	
Liabilities	17	Accounts payable and accrued expenses	992,809.	1,277,384.	
	18	Grants payable	65,749,818.	79,740,272.	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe <u>ATCH 10</u>)	108,122,664.	41,010,784.	
23	Total liabilities (add lines 17 through 22)	174,865,291.	122,028,440.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	1,300,826,319.	1,436,634,825.	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see page 17 of the instructions)	1,300,826,319.	1,436,634,825.		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,475,691,610.	1,558,663,265.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,300,826,319.
2	Enter amount from Part I, line 27a	2	-92,880,650.
3	Other increases not included in line 2 (itemize) <u>ATTACHMENT 11</u>	3	237,478,274.
4	Add lines 1, 2, and 3	4	1,445,423,943.
5	Decreases not included in line 2 (itemize) <u>ATTACHMENT 12</u>	5	8,789,118.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,436,634,825.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE Attachment 16			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0- or Losses (from col. (h)))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2 -13,140,096.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8. }			3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	112,918,793.	1,768,728,530.	0.063842
2007	103,141,851.	1,976,949,571.	0.052172
2006	81,927,408.	1,781,506,487.	0.045988
2005	72,015,616.	1,620,266,506.	0.044447
2004	63,213,603.	1,504,347,963.	0.042021
2	Total of line 1, column (d)		2 0.248470
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 0.049694
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5		4 1,386,461,656.
5	Multiply line 4 by line 3		5 68,898,826.
6	Enter 1% of net investment income (1% of Part I, line 27b)		6 112,570.
7	Add lines 5 and 6		7 69,011,396.
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18		8 85,218,574.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	112,570.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	112,570.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	112,570.
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	400,000.
b	Exempt foreign organizations-tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	400,000.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	287,430.
11	Enter the amount of line 10 to be: Credited to 2010 estimated tax 287,430. Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a	X	
4b	X	
5		X
6	X	
7	X	
8b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) 11 X
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? 12 X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 X
Website address HTTP://WWW.DDCF.ORG
14 The books are in care of EILEEN OBERLANDER Telephone no. 908-243-3619
Located at 80 ROUTE 206 SOUTH HILLSBOROUGH, NJ ZIP + 4 08876-1256
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? X Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No X
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes No X
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here 1b X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? Yes No X
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions) 2b N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No X
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) 3b N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? 4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** X
Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **ATTACHMENT 13** Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X
If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 14		513,365.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATTACHMENT 15		712,333.

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 SEE ATTACHMENTS 17 & 17A	284,143.
2 -----	
3 -----	
4 -----	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2 -----	
All other program-related investments See page 24 of the instructions	
3 NONE	

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,382,184,556.
b	Average of monthly cash balances	1b	25,390,729.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	1,407,575,285.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,407,575,285.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	21,113,629.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,386,461,656.
6	Minimum investment return. Enter 5% of line 5	6	69,323,083.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	69,323,083.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	112,570.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	112,570.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	69,210,513.
4	Recoveries of amounts treated as qualifying distributions	4	602,380.
5	Add lines 3 and 4	5	69,812,893.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	69,812,893.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	85,218,574.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	85,218,574.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	112,570.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	85,106,004.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				69,812,893.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			40,747,530.	
b Total for prior years 20 07, 20 06, 20 05				
3 Excess distributions carryover, if any, to 2009.				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				0.
f Total of lines 3a through e			0.	
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 85,218,574.				
a Applied to 2008, but not more than line 2a			40,747,530.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				44,471,044.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				25,341,849.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				0.

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHMENT 21

c Any submission deadlines

SEE ATTACHMENT 21

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

SEE ATTACHMENT 21

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHMENT 18				75,239,224.
Total. ▶ 3a				75,239,224.
b Approved for future payment SEE ATTACHMENT 19				81,360,173.
Total. ▶ 3b				81,360,173.

Schedule of Contributors

2009

▶ Attach to Form 990, 990-EZ, or 990-PF.

Name of the organization

DORIS DUKE CHARITABLE
FOUNDATION

Employer identification number

13-7043679

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization DORIS DUKE CHARITABLE FOUNDATION Employer identification number 13-7043679

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ESTATE OF DORIS DUKE 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	\$ 2,459,430.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL EXCISE TAX REFUND	1,971,552.	0.
SALE OF COLLECTIBLES	658,077.	0.
MISCELLANEOUS INCOME	1,061.	0.
INVESTMENTS IN LIMITED PARTNERSHIPS	0.	-3,094,229.
TOTALS	<u>2,630,690.</u>	<u>-3,094,229.</u>

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
TOTAL GAIN (LOSS)							<u>-13140096.</u>	

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
HOLLAND & KNIGHT	31,107.			22,820.
TOTALS	<u>31,107.</u>	<u>0.</u>	<u>0.</u>	<u>22,820.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
CUSTODY FEES	471,162.	471,162.
MANAGERS BASE FEES	1,752,363.	1,752,363.
INVESTMENT ADVISORY FEES	292,006.	292,006.
OTHER INVESTMENT EXPENSES	459,852.	459,852.
INVESTMENT EXPENSE ALLOCATED FROM DDMF	1,768,490.	1,768,490.
BANK FEES	18,759.	18,759.
PROFESSIONAL FEES/CONSULTING	1,874.	1,874.
SECURITIES LENDING EXPENSE	78,009.	78,009.
TOTALS	<u>4,842,515.</u>	<u>4,842,515.</u>

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
STATE INCOME TAX - UBIT	909.
TOTALS	<u>909.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
MANAGEMENT FEES	9,634,114.
LESS: CHARITABLE PORTION RECOGNIZED ON DDMF	100,496.
INSURANCE	3,197.
MISCELLANEOUS EXPENSES	
TOTALS	<u>9,737,807.</u>

CHARITABLE PURPOSES
9,364,977.
-118,102.
67,157.
5,303.
<u>9,319,335.</u>

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 6

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
97-NTGI S&P 500 ENHANCED	2.	0.	0.
58-WESTPORT ASSET MANAGEMENT	16,688,485.	19,011,666.	19,011,666.
99-NTGI STRUCTURED SMALL CAP	3,335,224.	4,431,852.	4,431,852.
98-NTGI EAFE ENHANCED FUND	16,821,314.	21,173,269.	21,173,269.
42-GMO EMG MARKETS FUND III	37,096,802.	60,249,555.	60,249,555.
37-CAP. INT'L EMG.MKTS.GROWTH	44,163,938.	60,714,791.	60,714,791.
132-BGI FRONTIER FUND	11,146,749.	13,953,767.	13,953,767.
36-CAPITAL GUARDIAN GLOBAL E	39,387,331.	51,180,488.	51,180,488.
57-WALTER SCOTT & PARTNERS	40,371,816.	55,115,929.	55,115,929.
100-BRANDES INVESTMENT PTRS	25,029,249.	261,155.	261,155.
TOTALS	<u>234,040,910.</u>	<u>286,092,472.</u>	<u>286,092,472.</u>

FORM 990PF, PART II - CORPORATE BONDS

ATTACHMENT 7

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
62-BLACK ROCK CORE BOND FUND	26,014,514.	27,304,643.	27,304,643.
63-PIMCO COREPLUS BOND FUND	39,570,425.	18,065,182.	18,065,182.
64-PIMCO REAL RETURN	50,678,032.	46,845,828.	46,845,828.
83-FXD INCOME GLOBAL OPP FND	17,684,184.	21,232,095.	21,232,095.
85-NTGI GOVERNMENT BOND FUND	0.	1.	1.
134-CLIFTON GROUP	1,790,751.	6,900,083.	6,900,083.
130-MESIROW FINANCIAL	1,113,175.	228,088.	228,088.
144-PIMCO SHORT DURATION BOND	0.	62,098,771.	62,098,771.
TOTALS	<u>136,851,081.</u>	<u>182,674,691.</u>	<u>182,674,691.</u>

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 8

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
41-FARALLON CAP INST. PTRS	53,550,000.	28,906,664.	28,906,664.
30-AG PRINCESS LP	50,762,540.	60,416,826.	60,416,826.
50-OCH-ZIFF OFFSEAS FUND	49,917,128.	60,500,511.	60,500,511.
74-AQR ABSOLUTE RTRN OFFSHORE	2,141,457.	0.	0.
78-BRIDGewater PURE ALPHA FN	61,860,918.	63,055,002.	63,055,002.
103-OLD LANE CAYMAN HFF	630,091.	521,663.	521,663.
110-RENAISSANCE INSTIT EQTYS	41,824,719.	39,679,242.	39,679,242.
48-MAVERICK FUND LTD	19,647,793.	0.	0.
35-BROOKSIDE CAP PARTNERS	37,293,716.	42,722,658.	42,722,658.
52-PEQUOT ENDOWMENT FUND LP	36,618,323.	8,440,141.	8,440,141.
45-HIGHLINE CAPITAL INT'L	17,215,346.	18,294,617.	18,294,617.
67-SOUTHPORT ENERGY PLUS OS	34,563,428.	43,013,526.	43,013,526.
73-CHILDREN'S INVESTMENT FUND	37,222,745.	31,938,312.	31,938,312.
80-OSPARIE WINGSPAN	20,306,990.	2,999,496.	2,999,496.
87-PROSPECT HARBOR CR PTRS	6,620,646.	11,631,392.	11,631,392.
90-CONVEXITY CAP OFFSHORE	37,855,527.	33,613,281.	33,613,281.
104-KING STREET CAPITAL	26,317,919.	31,679,474.	31,679,474.
112-GALLEON TECHNOLOGY FUND	18,214,560.	5,202,093.	5,202,093.
43-HARBORVEST IPEP I LP	6,179,803.	7,520,403.	7,520,403.
39-COMMONFUND CAP PE V LP	19,816,394.	18,088,964.	18,088,964.
40-COMMONFUND CAP VP VI LP	12,794,279.	11,801,611.	11,801,611.
54-SPO PARTNERS II LP	28,569,752.	45,268,958.	45,268,958.
65-BAIN CAPITAL FUND VIII LP	9,031,365.	8,467,204.	8,467,204.
68-HEARTWOOD FORESTRY FUND V	11,071,419.	10,397,431.	10,397,431.
69-GMO FORESTRY FUND VIII	14,709,642.	14,486,582.	14,486,582.
70-PORTFOLIO ADVISORS	20,737,398.	20,018,294.	20,018,294.
72-KIRTLAND CAPITAL CO LP	2,678,513.	2,697,856.	2,697,856.
75-OAK HILL CAP PART II	10,693,297.	10,884,632.	10,884,632.
76-SANKATY CREDIT OPP II LP	6,351,467.	7,576,355.	7,576,355.
81-SEQUOIA CAP GROWTH FUND III	4,015,547.	4,368,978.	4,368,978.
82-SEQUOIA CAP CHINA I	1,865,026.	1,932,902.	1,932,902.
88-BAY PARTNERS XI, LP	2,134,324.	1,904,515.	1,904,515.
89-LYME FOREST FUND	5,222,081.	5,540,970.	5,540,970.
91-DFJ ELEMENT	1,236,979.	1,121,112.	1,121,112.
92-PORTFOLIO ADVISORS PE IV	12,621,996.	12,322,324.	12,322,324.

FORM 990PF, PART II - OTHER INVESTMENTSATTACHMENT 8 (CONT'D)

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
94-TA ASSOCIATES X LP	2,790,492.	3,395,376.	3,395,376.
95-BAIN CAPITAL FUND IX LP	7,324,873.	5,256,508.	5,256,508.
96-NGEN PARTNERS II	1,159,846.	989,583.	989,583.
101-SEQUOIA CAPITAL XII	2,733,018.	3,192,272.	3,192,272.
102-TPG PARTNERS V	3,393,451.	2,392,937.	2,392,937.
105-OAK INVEST PTNRS XII	2,739,962.	3,235,818.	3,235,818.
106-SEQUOIA CAP INDIA GRW I	2,043,569.	2,195,999.	2,195,999.
107-PERMIRA IV	1,738,829.	1,662,125.	1,662,125.
108-ASIA ALTERNATIVES CAP PTN	3,421,802.	5,674,902.	5,674,902.
109-CERBERUS INSTIT PTNRS LP	2,727,382.	3,864,909.	3,864,909.
121-TACONIC OPPORTUNITY FUND	8,733,251.	21,051,330.	21,051,330.
114-STEEL PARTNERS OFFSHORE	6,046,897.	6,038,826.	6,038,826.
115-STEEL PARTNERS JAPAN	5,374,651.	4,894,241.	4,894,241.
122-ATTICUS GLOBAL FUND	8,072,033.	-342,021.	-342,021.
124-HIGHLINE SELECT LIMITED	15,161,603.	16,179,726.	16,179,726.
126-ALGEBRIS GLOBAL FINANCIAL	13,290,744.	17,931,219.	17,931,219.
111-AACP CHINA GROWTH	1,533,574.	1,972,467.	1,972,467.
113-SILVER LAKE III	840,565.	1,556,493.	1,556,493.
117-GREENPARK INTERNATIONAL IN	4,480,786.	4,015,040.	4,015,040.
119-HARBOURVEST PARTNERS 07 DI	880,676.	1,570,920.	1,570,920.
125-OAK HILL CAPITAL III	1,259,144.	1,268,496.	1,268,496.
118-INSIGHT VENTURE PARTNERS	1,327,981.	2,167,004.	2,167,004.
120-SEQUOIA CAPITAL CHINA II	729,874.	880,573.	880,573.
123-SEQUOIA CAPITAL INDIA III	431,759.	780,782.	780,782.
116-SANKATY CREDIT OPP III	2,782,108.	3,519,096.	3,519,096.
OTHER INVESTMENTS	5.	0.	0.
127-PAULSON ADVANTAGE PLUS	24,957,435.	30,315,358.	30,315,358.
129-BAUPOST VALUE PARTNERS IV	48,226,116.	64,114,295.	64,114,295.
138-GSO SPECIAL SITUATIONS	14,622,914.	21,390,541.	21,390,541.
128-ASIA ALTRNTVE CAP PTR III	241,625.	708,839.	708,839.
131-TPG PARTNERS VI	97,026.	436,083.	436,083.
133-NORDIC CAPITAL FUND VII	782,794.	1,069,550.	1,069,550.
135-INVESTCORP TECH PTNR III	1,031,146.	1,545,965.	1,545,965.
136-RIVERSTONE/CARLYLE GBL	1,245,417.	1,769,912.	1,769,912.
139-SANKATY CREDIT OPPOR IV	1,891,477.	3,587,951.	3,587,951.

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 8 (CONT'D)

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
140-AUSTIN VENTURES X	583,333.	883,575.	883,575.
141-TCV VII(A) LP	261,500.	638,749.	638,749.
143-SEQUOIA CAP INDIA GROWTH	0.	190,617.	190,617.
146-SEQUOIA CAP U.S. GROWTH FD	0.	1,171,675.	1,171,675.
147-ADAGE CAPITAL PARTNERS	0.	21,330,130.	21,330,130.
148-DIVERSIFIED OFFSHORE	0.	10,523,350.	10,523,350.
151-CONATUS CAPITAL OVERSEAS	0.	9,927,200.	9,927,200.
152-STEEL PARTNERS OFFSHORE	0.	168,602.	168,602.
145-GMO MEAN REVERSION	0.	14,959,052.	14,959,052.
149-WOODBINE CAPITAL FUND	0.	9,986,768.	9,986,768.
150-FRONTPOINT OFFSHORE	0.	9,273,810.	9,273,810.
TOTALS	<u>917,252,786.</u>	<u>986,350,632.</u>	<u>986,350,632.</u>

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 9

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SALES COMMITMENT RECEIVABLE	89,179,343.	61,586,218.	61,586,218.
INTEREST RECEIVABLE	884,769.	1,753,283.	1,753,283.
DIVIDENDS RECEIVABLE	365,754.	155,966.	155,966.
TAX RECLAIM RECEIVABLE	103,044.	27,525.	27,525.
OTHER INVESTMENT INCOME RECEIVABLE	42,320.	8,446.	8,446.
DUE FROM DORIS DUKE FOUNDATION	2,232.	2,232.	2,232.
DUE FROM DORIS DUKE FOUNDATION FOR ISLAMIC ART COLLECTIBLES	24,034.	24,034.	24,034.
	946,432.	381,470.	381,470.
TOTALS	<u>91,547,928.</u>	<u>63,939,174.</u>	<u>63,939,174.</u>

FORM 990PF, PART II - OTHER LIABILITIESATTACHMENT 10

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
DUE TO DDMF	3,123,280.	3,756,189.
DUE TO DUKE FARMS FOUNDATION	156,960.	156,960.
DEFERRED FEDERAL & STATE EXCISE TAX PAYABLE	0.	3,448,481.
PURCHASE COMMITMENTS PAYABLE	104,828,963.	33,645,788.
SECURITIES LENDING PAYABLE	13,461.	3,366.
TOTALS	<u>108,122,664.</u>	<u>41,010,784.</u>

ATTACHMENT 11FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
NET UNREALIZED GAIN ON SECURITIES	235,997,379.
CHANGE IN VALUE OF BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS	1,480,895.
TOTAL	<u>237,478,274.</u>

ATTACHMENT 12

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
DEFERRED FEDERAL EXCISE TAX	3,448,481.
PRIOD PERIOD CUMULATIVE ADJUSTMENT TO FUND BALANCE	5,340,637.
TOTAL	<u>8,789,118.</u>



September 7, 2010

Mrs. Marion Oates Charles
President
Newport Restoration Foundation
51 Touro Street
Newport, Rhode Island 02840

D O R I S D U K E
C H A R I T A B L E F O U N D A T I O N

Dear Mrs. Charles:

The Doris Duke Charitable Foundation has made grants to the Newport Restoration Foundation in 2009 totaling \$2,000,000 to be used for Rough Point's general operating expenses (referred to collectively as the "Grant"). Because the Newport Restoration Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Newport Restoration Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of the Newport Restoration Foundation to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising there from, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

Mrs. Marion Charles

Page 2

September 7, 2010

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising there from,
 - (a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
 - (b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - (c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
 - (d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Newport Restoration Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Newport Restoration Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (i) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details concerning the payment of any operating expenses

Mrs. Marion Charles
Page 3
September 7, 2010

which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years, which the Newport Restoration Foundation elects pursuant to Treas. Reg. 53.4942(A)-3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Newport Restoration Foundation were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation

By: Edward P. Henry
Edward P. Henry, President

Agreed to and accepted on behalf of the
Newport Restoration Foundation this
day of 10/20, 2010 _____

By Marion Oates Charles
Marion Oates Charles, President

NEWPORT RESTORATION FOUNDATION

Founded by Doris Duke, 1968



51 TOURO STREET
NEWPORT, RHODE ISLAND 02840-2932
Telephone 401.849.7300
Facsimile 401.849.0125
www.newportrestoration.org

September 13, 2010

Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Henry:

In 2009, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$2,000,000 to the Newport Restoration Foundation ("NRF") in support of Rough Point. By a letter dated September 7, 2010, I agreed on behalf of NRF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. This is a report covering the portion of the grant funds spent in 2009.

Name and address of grantee:

Newport Restoration Foundation
51 Touro Street
Newport, Rhode Island 02840

Purpose of Grants:

To be used for Rough Point's general operating expenses. The Rough Point Property owned by NRF is used for a charitable purpose since it is a historic house, which is open to the public.

The following table contains the date of payment of each grant, the amount of the grant, and a description of how the grant was spent by NRF:

<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/08/09	\$548,250	Employee Related Expenses; General Operating Expenses; General Administrative Expenses and Capital Expenses
3/02/09	\$685,300	" "
6/01/09	\$601,800	" "
9/01/09	\$164,650	" "

All of the grant funds have been spent for Rough Point's general operating expenses, and no portion of the grant funds has been diverted from such purpose.

NEWPORT RESTORATION FOUNDATION

Founded by Doris Duke, 1968



51 TOURO STREET

NEWPORT, RHODE ISLAND 02840-2932

Telephone 401.849.7300

Facsimile 401.849.0125

www.newportrestoration.org

Mr. Edward P. Henry
Page 2
September 13, 2010

In addition, in the letter dated September 7, 2010, I represented that before the end of 2009 NRF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$2,000,000 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, \$2,000,000 of the grant funds were spent for reasonable and necessary administration expenses to accomplish NRF's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of \$ 2,000,000 of the grant funds in 2009. Since NRF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if NRF were not a private operating foundation.

Very truly yours,
Newport Restoration Foundation

By: Marion Oates Charles
Marion Oates Charles, President



September 7, 2010

Mr. Peter Simmons
Chief Financial Officer
Doris Duke Foundation for Islamic Art
650 Fifth Avenue, 19th Floor
New York, New York 10019

D O R I S D U K E
C H A R I T A B L E F O U N D A T I O N

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to the Doris Duke Foundation for Islamic Art in 2009 totaling \$5,519,670 to be used for the Doris Duke Foundation for Islamic Art's general operating expenses (referred to collectively as the "Grant"). Because the Doris Duke Foundation for Islamic Art is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from the Doris Duke Foundation for Islamic Art before making payment of the Grant funds. By signing this letter, you agree on behalf of the Doris Duke Foundation for Islamic Art to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant),
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

Mr. Peter Simmons

Page 2

September 7, 2010

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
 - a) To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code);
 - b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - c) To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
 - d) To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

The Doris Duke Foundation for Islamic Art also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, the Doris Duke Foundation for Islamic Art agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the

Mr. Peter Simmons

Page 3

September 7, 2010

amounts paid to each, (ii) the details concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which the Doris Duke Foundation for Islamic Art elects pursuant to Treas. Reg. 53.4942(A)-3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if the Doris Duke Foundation for Islamic Art were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,

The Doris Duke Charitable Foundation

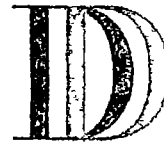
By: Edward P. Henry
Edward P. Henry, President

Agreed to and accepted on behalf of the
Doris Duke Foundation for Islamic Art this 7th
day of September, 2010

By: Peter Simmons

Peter Simmons, Chief Financial Officer

September 9, 2010



D O R I S D U K E
FOUNDATION FOR ISLAMIC ART

Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Henry:

In 2009, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$5,519,670 to the Doris Duke Foundation for Islamic Art ("DDFIA"). By a letter dated September 7, 2010, I agreed on behalf of DDFIA to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2009 the year in which they were granted, this is both a first and final report.

Name and address of grantee:
Doris Duke Foundation for Islamic Art
4055 Papu Circle
Honolulu, HI 96816

Purpose of Grants:

To be used for DDFIA's general operating expenses. Property owned by DDFIA is used for a charitable purpose since DDFIA facilitates the study and understanding of Middle Eastern Art and Culture.

650 Fifth Avenue 19th Floor New York NY 10019
tel 212 974 7000 fax 212 974 7590 www.ddcf.org

An operating foundation supported by the
Doris Duke Charitable Foundation

Mr. Edward P. Henry

Page 2

September 9, 2010

The following table contains the date of payment of each part of the grant that was spent in 2009, and a description of how the grant was spent by DDFIA:

<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/31/09	\$437,053	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Investment Expenses; Capital Expenditures.
2/28/09	\$138,218	" "
3/31/09	\$514,374	" "
4/30/09	\$246,564	" "
5/31/09	\$211,820	" "
6/30/09	\$867,053	" "
7/31/09	\$248,214	" "
8/31/09	\$263,835	" "
9/30/09	\$915,886	" "
10/31/09	\$269,200	" "
11/30/09	\$299,060	" "
12/31/09	\$1,108,393	" "

All of the grant funds have been spent for DDFIA's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated September 7, 2010 I represented that before the end of 2009, DDFIA would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$5,519,670 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made.

As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DDFIA's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2009.

Since DDFIA has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DDFIA were not a private operating foundation.

Very truly yours,

Doris Duke Foundation for Islamic Art

By: 

Peter Simmons, Chief Financial Officer



September 7, 2010

Mr. Peter Simmons
Chief Financial Officer
Duke Farms Foundation
80 Route 206 South
Hillsborough, New Jersey 08844

D O R I S D U K E
C H A R I T A B L E F O U N D A T I O N

Dear Mr. Simmons:

The Doris Duke Charitable Foundation has made grants to Duke Farms Foundation in 2009 totaling \$7,949,217 to be used for Duke Farms Foundation's general operating expenses (referred to collectively as the "Grant"). Because Duke Farms Foundation is a private foundation, rather than a publicly supported charity, this Grant is subject to the "expenditure responsibility" requirements contained in the Treasury regulations. Under these regulations, the Doris Duke Charitable Foundation is required to obtain certain written commitments from Duke Farms Foundation before making payment of the Grant funds. By signing this letter, you agree on behalf of Duke Farms Foundation to the following specific obligations with respect to this Grant:

- (1) To repay any portion of the amount granted as well as income earned thereon which is not used for the purposes of the Grant stated above;
- (2) From the time the Grant is made or any portion of the Grant is received until it is fully expended or otherwise terminated, to submit a full and complete annual narrative and financial reports and a final report upon completion of the grant period, attested by the responsible financial officer of your organization or a certified public accountant, within a reasonable period of time after the close of your annual accounting period on the manner in which the Grant and income earned thereon are spent, compliance with the terms of the Grant, what was accomplished by the expenditure of funds (including a description of the progress made in accomplishing the purposes of the Grant);
- (3) To report the Grant separately on your books of account and to charge expenditures made in furtherance of the Grant purposes against the Grant.
- (4) To maintain written records of receipts and expenditures adequate to enable the use of the Grant funds to be checked readily and to make your books, records and other information available for the Doris Duke Charitable Foundation's inspection at reasonable times, which we believe necessary to keep us fully apprised of the status or use of the Grant or income arising therefrom, including any information regarding the ultimate effect of the Grant, whether or not required under the terms of section 4945 of the Internal Revenue code of 1986, as amended (the "Code");

Mr. Peter Simmons
Page 2
September 7, 2010

- (5) To keep these records of receipts and expenditures and copies of reports submitted to the Doris Duke Charitable Foundation for at least four years after completion of the use of the Grant funds; and
- (6) Not to use any of the Grant or income arising therefrom,
 - a. To carry on propaganda, or otherwise attempt to influence legislation (within the meaning of section 4945(d)(1) of the Code),
 - b. To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive (within the meaning of section 4945(d)(2) of the Code),
 - c. To make any grant which does not comply with the individual grant requirements of section 4945(d)(3) of the Code or the organization grant requirements of section 4945(d)(4) of the Code, or
 - d. To undertake any activity for any purpose other than one that is charitable, scientific, literary, educational or for the prevention of cruelty to animals, or as defined in section 170(c)(2)(B) of the Code.

In the event that you violate the terms of this letter agreement by using any portion of this Grant or any income thereon for purposes other than the purpose of the Grant as previously set forth in this letter, you agree that you will refund to the Doris Duke Charitable Foundation the full amount of the Grant and pay over to the Doris Duke Charitable Foundation any additional amount which may be necessary to effect a correction under section 4945 of the Code.

The foregoing conditions are intended to comply with obligations under United States law to make reasonable efforts and establish adequate procedures to see that grant funds are spent solely for the purposes for which they are granted, and to obtain full and complete records on how grant funds have been expended. Changes in United States laws, or in regulations interpreting them, may require the Doris Duke Charitable Foundation to ask that more detailed reports be submitted or that other steps be taken. The Doris Duke Charitable Foundation will promptly inform you of any such changes, and by signing this letter you indicate your agreement to provide such additional information and comply with such requests.

Duke Farms Foundation also agrees that no later than the end of its first taxable year after the taxable year in which it receives the Grant, it will make a qualifying distribution out of corpus, as defined in section 4942 of the Code, equal to the value of the Grant, and, within such time period, Duke Farms Foundation agrees to provide adequate records or other sufficient evidence to the Doris Duke Charitable Foundation to demonstrate that this distribution has been made. Such records or evidence will state that the qualifying distribution has been made and will specify (1) the names and addresses of the recipients of any such qualifying distribution and the amounts paid to each, (ii) the details

Mr. Peter Simmons

Page 3

September 7, 2010

concerning the payment of any operating expenses which are a part of such qualifying distribution and (iii) the details concerning any operating expenses paid during any of the preceding five years which Duke Farms Foundation elects pursuant to Treas. Reg. 53.4942(A) 3(c)(2)(iv) to treat as a current distribution of corpus in order to make such qualifying distribution. Such records or evidence will also state that the qualifying distribution would be treated as a distribution of corpus under section 4942 of the Code if Duke Farms Foundation were not an operating foundation.

If this letter and its attachment correctly set forth your understanding of the arrangements made regarding this Grant, please countersign the letter and return it to the Doris Duke Charitable Foundation.

Very truly yours,
The Doris Duke Charitable Foundation

By: Edward P. Henry
Edward P. Henry, President

Agreed to and accepted on behalf of
Duke Farms Foundation this 7TH
day of September, 2010

By: Peter Simmons
Peter Simmons, Chief Financial Officer

DUKE FARMS

80 Route 206 South · Hillsborough, NJ 08844 · tel: 908.722.3700 · www.dukefarms.org
Owned and supported by the Duke Farms Foundation

September 9, 2010

Mr. Edward P. Henry
President
Doris Duke Charitable Foundation
650 Fifth Avenue, 19th Floor
New York, New York 10019

Dear Mr. Henry:

In 2009, the Doris Duke Charitable Foundation ("DDCF") made grants totaling \$7,949,217 to Duke Farms Foundation ("DFF"). By a letter dated September 7, 2010, I agreed on behalf of DFF to submit certain reports to DDCF for each year during which any grant funds remained unspent and a final report for the year in which all of the grant funds were spent. Since all of the grant funds were spent during 2009 the year in which they were granted, this is both a first and final report.

Name and address of grantee:
Duke Farms Foundation
80 Route 206 South
Hillsborough, NJ 08844

Purpose of Grants:

To be used for DFF's general operating expenses. Property owned by DFF is used for a charitable purpose since DFF is transitioning to become a regional center for environmental stewardship. DFF provides educational programs to visitors as well as serving as a critical habitat for protecting endangered species of all kinds, both flora and fauna.

The following table contains the date of payment of each part of the grant that was spent in 2009 and a description of how the grant was spent by DFF:


<u>Date of Payment</u>	<u>Amount of Grant</u>	<u>Purpose for which Grant was Used</u>
1/31/09	\$1,672,148	Employee Related Expenses; General Admin Expenses; Program Related Expenses; General Operating Expenses; Investment Expenses; Capital Expenditures.
2/28/09	\$862,028	" "
3/31/09	\$1,353,157	" "
4/30/09	\$758,426	" "
5/31/09	(\$2,453,052)	" "
6/30/09	\$1,195,361	" "
7/31/09	\$1,061,952	" "
8/31/09	\$501,085	" "
9/30/09	\$973,395	" "
10/31/09	\$901,825	" "
11/30/09	\$21,927	" "
12/31/09	\$1,100,965	" "

All of the grant funds have been spent for DFF's operating expenses, and no portion of the grant funds has been diverted from such purpose.

In addition, in the letter dated September 7, 2010, I represented that before the end of 2009, DFF would make a qualifying distribution out of corpus as defined in Section 4942 of the Internal Revenue Code, in the amount of \$7,949,217 and that I would provide DDCF with adequate records or other sufficient evidence to demonstrate that this distribution has been made. As described above, all of the grant funds were spent for reasonable and necessary administration expenses to accomplish DFF's charitable purposes, which are qualifying distributions. Therefore, a qualifying distribution was made of the entire value of the grants in 2009.

Since DFF has otherwise made qualifying distributions equal to the amount of its minimum investment return, this distribution would be treated as a distribution out of corpus if DFF were not a private operating foundation.

Very truly yours,
Duke Farms Foundation

By: 
Peter Simmons, Chief Financial Officer

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 14

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
PETER SIMMONS 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	CHIEF FINANCIAL OFFICER 11.00 hours per week	0.	0.	0.
EDWARD P. HENRY 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	PRESIDENT 20.00 hours per week	0.	0.	0.
ELIZABETH FADER 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	SECRETARY/CHIEF PROGRAM OFFICE 26.00 hours per week	0.	0.	0.
JIDE ZEITLIN 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 28.00 hours per year	0.	0.	0.
HARRY DEMOPOULOS 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 36.00 hours per year	117,673.	0.	0.
ANTHONY S. FAUCI 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 30.00 hours per year	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14 (CONT'D)

NAME AND ADDRESS

TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION

COMPENSATION

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS

EXPENSE ACCT AND OTHER ALLOWANCES

JAMES F. GILL 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 60.00 hours per year	117,673.	0.	0.
ANNE HAWLEY 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 60.00 hours per year	117,673.	0.	0.
NANERL O. KEOHANE 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 89.00 hours per year	57,673.	0.	0.
JOHN H. T. WILSON 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 63.00 hours per year	0.	0.	0.
JOHN ZUCCOTTI 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 70.00 hours per year	0.	0.	0.
PETER A. NADOSY 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 53.00 hours per year	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
WILLIAM H. SCHLESINGER 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 44.00 hours per year	102,673.	0.	0.
CLAIRE BARALT 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	COMMUNICATIONS OFFICER 32.00 hours per week	0.	0.	0.
KATHY HALBREICH 650 FIFTH AVENUE, 19TH FLOOR NEW YORK, NY 10019	TRUSTEE 66.00 hours per year	0.	0.	0.
GRAND TOTALS		513,365.	0.	0.

The Doris Duke Charitable Foundation
Form 990-PF - Return of Private Foundation
FYE: 12/31/2009
Statement 14a

Notes:

(1) The Doris Duke Charitable Foundation (DDCF) does not compensate any of its officers directly; the officers are paid compensation by the Doris Duke Management Foundation (DDMF). The hours shown on the Form 990-PF are based on an allocation of time spent on matters related to DDCF and are for informational purposes only. These officers provide services to other related entities (Doris Duke Foundation (DDF), Doris Duke Foundation for Islamic Art (DDFIA), Duke Farms Foundation (DFF), Doris Duke Management Foundation (DDMF) and in the aggregate, hours worked on matters related to these entities equal or exceed 40 hours a week. The allocation of compensation paid by the DDMF and allocated to DDCF is based on an annual survey and is shown in Note (3) below for informational purposes only. It should be noted that compensation includes SERP and SERP gross up payments as well as other taxable fringe benefits for Edward Henry and Elizabeth Fader. Benefits include 401(K) contributions, health insurance, dental and group life insurance. The salary allocation shown in Note (3) is included in the management fee shown on Line 23, Part I, Other Expenses.

(2) To the extent any trustees receives compensation, they are paid by the Doris Duke Charitable Foundation. The hours shown are based on an allocation of time spent on matters related to Doris Duke Charitable Foundation and are for informational purposes only. These trustees provide services to the other related entities noted above in Note (1) and in the aggregate, hours worked on matters related to these other entities equal or exceed 60 hours per year. The amount of each individual's compensation was established as part of a settlement agreement in connection with the probate of Doris Duke's will and surrogate court order. The surrogate's May 15, 1996 order capped compensation at \$900,000.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 15

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
NORTHERN TRUST COMPANY 50 S. LASALLA ST. CHICAGO, IL 60675	BANKING/INVESTMENTS	417,915.
CAMBRIDGE ASSOCIATES LLC 100 SUMMER STREET BOSTON, MA 02110	INVESTMENT FEES	294,418.
	TOTAL COMPENSATION	<u>712,333.</u>

DORIS DUKE CHARITABLE FOUNDATION

Form 990-PF Return of Private Foundation

FYE: 12/31/2009

Attachment 16

Form 990, Part I, Line 6(a) and Part IV Statement

DESCRIPTION	REALIZED GAINS	REALIZED (LOSSES)
EQUITY INVESTMENTS		
US LARGE CAP MANAGERS		
97-NTGI S&P 500 Enhanced	204,940	(200,095)
TOTAL US LARGE CAP MANAGERS	204,940	(200,095)
US SMALL CAP MANAGERS		
58-WESTPORT ASSET MANAGEMENT	547,695	(1,656,513)
99-NTGI STRUCTURED SMALL CAP	8,050,187	(7,743,393)
TOTAL US SMALL CAP MANAGERS	8,597,882	(9,399,906)
NON-US DEVELOPED		
98-NTGI EAFE ENHANCED FUND	1	(4,832)
TOTAL NON-US DEV. MANAGERS	1	(4,832)
NON-US EMERGING MANAGERS		
42-GMO EMG MARKETS FUND III	910,294	-
37-CAP.INT'L EMG.MKTS.GROWTH	-	(7,924,552)
132-BGI FRONTIER FUND	402,050	(4,861,350)
TOTAL NON-US EMERGING MANAGR	1,312,344	(12,785,902)
GLOBAL EQUITY		
36-CAPITAL GUARDIAN GLOBAL E	7,828,828	(15,227,895)
57-WALTER SCOTT & PARTNERS	3,181,967	(2,661,985)
100-BRANDES INVESTMENT PTRS	3,489,746	(15,033,090)
TOTAL GLOBAL EQUITY	14,500,541	(32,922,970)
TOTAL EQUITY INVESTMENTS	24,615,708	(55,313,705)
MARKETABLE ALTERNATIVE INVST		
MULTI-STRATEGY		
41-FARALLON CAP INST. PTRS	5,257,493	-
74-AQR ABSOLUTE RTRN OFFSHRE	6,730,961	(6,439,550)
103-OLD LANE CAYMAN HFF	122,388	-
TOTAL MULTI-STRATEGY	12,110,842	(6,439,550)
LONG/SHORT EQUITY		
48-MAVERICK FUND LTD	5,247,788	-
52-PEQUOT ENDOWMENT FUND LP	2,556,501	-

DORIŞ DUKE CHARITABLE FOUNDATION

Form 990-PF Return of Private Foundation

FYE: 12/31/2009

Attachment 16

Form 990, Part I, Line 6(a) and Part IV Statement

DESCRIPTION	REALIZED GAINS	REALIZED (LOSSES)
73-CHILDREN'S INVESTMENT FND	2,931,205	(31,959)
112-GALLEON TECHNOLOGY FUND	1,528,156	-
114-STEEL PARTNERS OFFSHORE	-	(4,674,973)
122-ATTICUS GLOBAL FUND	-	(2,355,619)
TOTAL LONG/SHORT EQUITY	12,263,650	(7,062,551)
TOTAL MARKETABLE ALT INVSTMNTS	24,374,492	(13,502,101)
NON-MARKETABLE ALTERNATIVE AST		
43-HARBOUR VEST IPEP I LP	271,422	-
40-COMMONFUND CAP VP VI LP	450,211	-
65-BAIN CAPITAL FUND VIII LP	229,678	-
68-HEARTWOOD FORESTRY FUND V	60,923	-
75-OAK HILL CAP PART. II	70,394	-
81-SEQUOIA CAP GROWTH FNDIII	602,818	-
82-SEQUOIA CAP CHINA I	141,844	-
92-PORTFOLIO ADVISORS PE IV	10,175	-
102-TPG PARTNERS V	137,721	-
106-SEQUOIA CAP INDIA GRW I	197,073	-
107-PERMIRA IV	5,102	(33,663)
108-ASIA ALTERNATIVES CAP PTN	17,360	-
117-GREENPARK INTL INVST III	43,015	(15,014)
128-ASIA ALTRNTVE CAP PTR II	68,492	-
133-NORDIC CAPITAL FUND VII	2,524	(8,911)
139-SANKATY CREDIT OPPOR IV	386,297	-
TOTAL NON MKTBL ALTER. ASSE	2,695,049	(57,588)
FIXED INCOME/MISC INVESTMENTS		
62-BLACK ROCK CORE BOND FUND	4,487,635	(4,869,689)
63-PIMCO COREPLUS BOND FUND	13,747,229	(9,865,439)
64-PIMCO REAL RETURN	5,757,610	(4,110,978)
85-NTGI GOVERNMENT BOND FUND	469,973	(469,973)
142-NTGI ST GOVERNMENT BOND	129,746	(375,409)
144-PIMCO SHORT DURATION BOND	531,507	(386,705)
134-CLIFTON GROUP	24,651,809	(23,552,976)
130-MESIROW FINANCIAL	5,648,253	(7,664,009)
TOTAL FIXED INC./MISC INVESTS	55,423,762	(51,295,178)

DORIŞ DUKE CHARITABLE FOUNDATION

Form 990-PF Return of Private Foundation

FYE: 12/31/2009

Attachment 16

Form 990, Part I, Line 6(a) and Part IV Statement

DESCRIPTION	REALIZED GAINS	REALIZED (LOSSES)
TOTAL INVESTMENT MANAGERS	<u>107,109,011</u>	<u>(120,168,572)</u>
CASH AND OTHER		
1-INVESTMENT CASH	-	(80,535)
TOTAL CASH AND OTHER	-	<u>(80,535)</u>
TOTAL 100-DDCF	<u>107,109,011</u>	<u>(120,249,107)</u>
Total Capital Loss as Reported on Part I, Line 6(a)		<u><u>(13,140,096)</u></u>

Doris Duke Charitable Foundation
Form 990-PF
Part IX-A: Summary of Direct Charitable Activities
FYE: 12/31/2009

Environmental Activities

Doris Duke was a lifelong environmentalist with a keen interest in conservation. In her will, she expressed her interest in "the preservation of wildlife, both flora and fauna" and in supporting "ecological endeavors." The Doris Duke Charitable Foundation promotes an Environmental Program that is committed to enable communities to protect and manage wildlife habitat and create efficient built environments. The Foundation supports environmental initiatives and partners with other tax-exempt organizations like The Nature Conservancy to protect public land.

The Environmental Program strives to accomplish its mission through the following strategies:

- Accelerate wildlife habitat conservation in an era of climate change - Protect important wildlife habitat and help wildlife adapt to the impacts of climate change.
- Reduce impacts on the landscape from increased energy development and energy demand - Expedite the sensitive siting of energy infrastructure and reduce energy demand by increasing energy efficiency in the buildings sector.
- Encourage land stewardship and sustainability in the Tri-State area - Support local efforts to manage wildlife habitat and create efficient, healthy, and vibrant built and natural environments.
- Help build a clean-energy economy – Support the research and analysis needed to develop government policies to foster technological innovation in the energy sector and accelerate the emergence of tomorrow's clean-energy technologies. Grants in this category are made through the Environment Program's Climate Change Initiative, which will wind down by 2011.

Medical Research Activities

Doris Duke was a significant supporter of medical research, making contributions to hospitals and research centers throughout her life. In her will, she expressed her interest in supporting "medical research designed to effectuate cures of major diseases."

The mission of the Medical Research Program is to support the prevention, treatment and cure of human disease. The Medical Research Program strives to accomplish its mission through the following strategies:

- Clinical Research

This strategy supports competitive award programs that build the clinical research career ladder and expand clinical research frontiers to advance the translation of biomedical discoveries into applications that improve human health.

- African Health Research

This strategy supports implementation research and builds research capacity to improve health and strengthen regional health systems in sub-Saharan Africa.

Child Abuse Prevention

Doris Duke took a special interest in the needs of children, supporting nearly 85 child welfare organizations during her life. Her support included contributions to orphanages, camps, mentor programs, little league teams, hospitals, and boys and girls clubs. In her will, Doris Duke expressed her interest in "the prevention of cruelty to children."

The mission of the Child Abuse Prevention Program is to protect children from abuse and neglect in order to promote their healthy development.

The program seeks to accomplish its mission by making grants that develop and infuse best prevention practices into normal, non-stigmatizing systems that routinely serve large numbers of families with young children (birth to age six), such as the early education and child care systems. The foundation supports prevention and early intervention efforts that educate, support, and/or assist families **before** an incident of abuse or neglect occurs.

The Arts

Doris Duke, in her will, expressed an interest in assisting "actors, dancers, singers, musicians and other artists of the entertainment world in fulfilling their ambitions and providing opportunities for the public presentation of their arts and talents." The Doris Duke Charitable Foundation supports this interest by focusing its support on contemporary dance, jazz and theatre artists, and the organizations that nurture, present and produce them.

The mission of the Arts Program is to support performing artists with the creation and public performance of their work. The Arts Program accomplishes this mission through the following initiatives:

- **Artistic Creation & Distribution** – Through national competitive initiatives administered by intermediary organizations, the foundation supports commissioning, production and presentation of new work in each of its fields of interest. Typically these re-granting programs offer additional benefits to supported artists, including professional development, networking opportunities and participation in conferences and other meetings.
- **Organizational Transformation** – To complement its support for artistic creation and distribution, the Arts Program works to build strong organizations to serve performing artists. Through competitive initiatives administered by intermediary organizations, the foundation offers grants to support bold, innovative approaches to addressing current issues

affecting the performing arts: the impact of technology, loss of audiences and/ or changes in leadership.

- **National Sector Building** – Recognizing DDCF's role as a national foundation, the Arts Program supports activities that will build strong national performing arts fields. The Arts Program directly supports national organizations critical to the health of dance, jazz, presenting and theatre, as well as national projects that have the potential to improve the health of a given field.

The \$284,143 in direct charitable expenditures listed in Part IX-A represent amounts paid by the Doris Duke Charitable Foundation to support various meetings, conferences, seminars and other climate, conservation and/or medical research endeavors. Further information about each individual program listed in the attached schedule is available upon request.

Doris Duke Charitable Foundation
Form 990-PF Return of Private Foundation
FYE: 12/31/2009
Direct Charitable Activities
Attachment 17

<u>Direct Charitable Activities</u>	<u>Expenditure</u>
National Conversations	9,668
National Conversations F09	16,206
Fund Panel Winter 09	157
Fund Panel Summer 09	2,106
ME Program 09	3,194
CCI Grantee Meeting	11,045
2009 CRF Annual Meeting	87,946
2009 CSDA Panel meeting	968
2009 Interfaces Panel Meeting	491
2009 DCSA Site Visits	13,866
2009 Clinical Scientist Meetings	64,030
AHI Site Visits	74,466
Total	<u><u>284,143</u></u>

DORIS DUKE CHARITABLE FOUNDATION
Form 990-PF Return of Private Foundation
FYE: 12/31/2009
Attachment 18

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
PROGRAM GRANTS			\$ 59,580,312
NEWPORT RESTORATION FOUNDATION (NRF) FOR ROUGH POINT		GENERAL SUPPORT	\$ 2,000,000
DUKE FARMS FOUNDATION	RELATED ENTITY	GENERAL SUPPORT	\$ 7,949,217
DORIS DUKE FOUNDATION FOR ISLAMIC ART	RELATED ENTITY	GENERAL SUPPORT	\$ 5,519,670
DONATIONS			\$ 82,525
NON CASH PROPERTY (APPRAISED VALUE)			\$ 107,500
			<u>\$ 75,239,224</u>

2009 GRANT PAYMENTS AND FUTURE LIABILITY
Summary by Program Area

	Beginning Balance 2009	Approvals 2009	Amended 2009	Amount Paid 2009	Approved for Future Payment
TOTAL ARTS	21,596,170	5,435,663		13,175,827	13,856,006
TOTAL ENVIRONMENT	16,704,271	9,170,799		13,278,578	12,596,492
TOTAL CLIMATE CHANGE INITIATIVE	9,450,000	4,296,318		12,218,318	1,528,000
TOTAL MEDICAL RESEARCH	12,510,000	8,284,300	(270,000)	12,591,200	7,933,100
TOTAL AFRICAN HEALTH INITIATIVE	32,970	43,832,650	(32,970)	4,620,017	39,212,633
TOTAL CHILD ABUSE PREVENTION	4,148,986	5,226,331		3,641,373	5,733,944
TOTAL STRATEGY & PLANNING		555,000		55,000	500,000
GRAND TOTAL	64,442,397	76,801,060	(302,970)	59,580,312	81,360,173
Per Note H to Consolidated Financial Statements at 12/31/09 (p.20)	64,442,395	78,801,056	(302,970)	61,580,312	81,360,173
Difference	(3)	1,999,996	-	2,000,000	(3)
Grant approved & paid to NRF		2,000,000		2,000,000	

DORIS DUKE CHARITABLE FOUNDATION
 Form 990-PF Return of Private Foundation
 FYE 12/31/2009
 Attachment 18a

VENDOR	DATE	GAAP	CASH	Address	City	State	Zip	PURPOSE OF GIFT
DUKE UNIVERSITY	06/10/09	73,545.00	64,625.00	80X 90202, SMITH WAREHOUSE	DURHAM	NC	27708	DONATION AND SUPPORT OF HISTORICAL MATERIALS
WILLIAM B MEYER INC	08/24/09	17,900.00	17,900.00	225 LONG BEACH BLVD	STRATFORD	CT	6615	RELOCATION OF HISTORICAL MATERIALS TO DUKE UNIV
NEWARK MUSEUM	12/02/09	12,200.00	37,750.00	49 WASHINGTON ST	NEWARK	NJ	7102	DONATION OF DECORATIVE OBJECTS
COOPER-HEWITT MUSEUM	09/21/09	3,500.00	34,000.00	2 EAST 91ST STREET	NEW YORK	NY	10128	DONATION OF DECORATIVE OBJECTS
WOLFSONIAN MUSEUM	11/05/09	8,250.00	18,200.00	1001 WASHINGTON AVENUE	MIAMI	FL	33139	DONATION OF DECORATIVE OBJECTS
ACADEMY OF NATURAL SCIENCE	11/11/09	-	17,550.00	1900 BENJAMIN FRANKLIN PKWY	PHILADELPHIA	PA	19103	DONATION OF FUR AND CARPET ITEMS
Total Donations		115,395.00	190,025.00					

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL, APPROVED SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	PAYMENT FOR FUTURE	NOT APPLICABLE
AFRICAN HEALTH							
DDCF Medical Research Program	501(c)3	\$32,970	\$0	-\$32,970	\$0	\$0	Not Applicable
<i>To support additional planning grants for Population Health Improvement and Training Centers (PHIT)</i>							
\$32,970.00							
2007							
Brigham & Women's Hospital Inc	501(c)3	\$0	\$8,000,000	\$0	\$978,019	\$7,021,981	Not Applicable
75 Francis Street Boston MA 02115 <i>Strengthening and Studying Community-Based, Integrated Primary Health Care Systems in Rural Rwanda</i>							
\$8,000,000.00							
2009							
Trustees of Columbia University in the City of New York	501(c)3	\$0	\$14,671,500	\$0	\$1,841,099	\$12,830,401	Not Applicable
New York NY <i>Accelerating Millennium Development Goal Progress by Exchanging Health System Innovations between Tanzania and Ghana</i>							
\$14,671,500.00							
2009							
Foundation for the National Institutes of Health, Inc.	501(c)3	\$0	\$5,000	\$0	\$5,000	\$0	Not Applicable
9650 Rockville Pike Bethesda MD 20814 <i>Support for the Fogarty International Center's efforts to address global health challenges through innovative and collaborative research and training programs that build global health research capacity</i>							
\$5,000.00							
2009							
Global Health Council Inc	501(c)3	\$0	\$3,150	\$0	\$3,150	\$0	Not Applicable
15 Railroad Row White River Junction VT 05001 <i>Membership</i>							
\$3,150.00							
2009							
Health Alliance International	501(c)3	\$0	\$10,000,000	\$0	\$710,893	\$9,289,107	Not Applicable
1107 NE 45th St., Suite 427 Seattle WA 98105 <i>Strengthening Integrated Primary Health Care and Workforce Training in Sofala Province Mozambique</i>							
\$10,000,000.00							
2009							
National Academy of Sciences	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0	Not Applicable
500 Fifth Street NW Washington DC 20001 <i>Institute of Medicine expert consensus Committee Study Envisioning a Strategy to Prepare for the Long-Term Burden of HIV/AIDS Global Needs and U.S. Interests</i>							
\$50,000.00							
2009							

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL
SHOW ANY RELATIONSHIP TO A
FOUNDATION MANAGER OR
SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	Not Applicable
Tides Center 1014 Torney Avenue San Francisco, CA 94129 <i>Africa Grantees Affinity Group Membership</i> \$3 000 00 2009	501(c)3	\$0	\$3,000	\$0	\$3,000	\$0	Not Applicable
Board of Trustees of the University of Alabama Birmingham AL 35233 <i>Clinic at Mentoring and Community Engagement to Improve Millennium Development Goals Health Outcomes in Lusaka Province of Zambia</i> \$11 100 000 00 2009	501(c)3	\$0	\$11,100,000	\$0	\$1,028,856	\$10,071,144	Not Applicable
Total African Health							\$39,212,633
ARTS		\$32,970	\$43,832,650	-\$32,970	\$4,620,017		
DDCF ARTS Program <i>Fund for National Projects</i> \$3 000 000 00 2008	501(c)3	\$3,000,000	\$0	(\$1,075,650)	\$0	\$1,924,350	Not Applicable
Amherst H Wilder Foundation 451 Lexington Pkwy N Saint Paul MN 55104-4636 <i>Creation of the Doris Duke Leaders in the Arts Fund to subsidize participation of performing arts leaders in the James P. Shannon Leadership Institute</i> \$50 000 00 2009	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0	Not Applicable
Arts Midwest 2908 Hennepin Ave Ste 200 Minneapolis MN 55408-1987 <i>Professional development sessions at the 2009 Midwest Arts Confer</i> \$5 000 00 2009	501(c)3	\$0	\$5,000	\$0	\$5,000	\$0	Not Applicable
Asia Society 725 Park Avenue New York NY 10021 <i>Support for programming and endowment funding through the Mid-Sized Preventing Organizations</i> \$1 000 000 00 2001	501(c)3	\$100,000	\$0	\$0	\$100,000	\$0	Not Applicable
Asian American-Pacific Islanders In Philanthropy 211 Sutter St Ste 600 San Francisco CA 94108 <i>Membership</i> \$5 000 00 2009	501(c)3	\$0	\$5,000	\$0	\$5,000	\$0	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL APPROVED FOR FUTURE PAYMENT - SIGNIFICANT CONTRIBUTOR
 SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OF

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	PAYMENT FOR FUTURE	Not Applicable
Association of Performing Arts Presenters, Inc. 1211 Connecticut Ave NW Washington DC 20036 <i>General Operating support and building cash reserves</i> \$1 120 000 00	501(c)3	\$560,000	\$0	\$0	\$560,000	\$0	Not Applicable
Association of Performing Arts Presenters, Inc. 1211 Connecticut Ave NW Washington DC 20036 <i>Creative Campus Innovations Grant Program</i> \$2 000 000 00	501(c)3	\$1,135,500	\$0	\$0	\$492,000	\$643,500	Not Applicable
Association of Performing Arts Presenters, Inc. 1211 Connecticut Ave NW Washington DC 20036 <i>To provide core operating support grants to organizations selected to receive project re-grants in the 2010 funding round of the Creative Campus Innovations Program (Supplements DDGF Grant No. 2008102)</i> \$310 000 00	501(c)3	\$0	\$310,000	\$0	\$0	\$310,000	Not Applicable
August Wilson Ctr for African American Culture 425 6th Ave Ste 1750 Pittsburgh PA 15219-1819 <i>National Black Theater Initiative Convening</i> \$100 000 00	501(c)3	\$0	\$0	\$100,000	\$100,000	\$0	Not Applicable
Chamber Music America 305 Seventh Avenue New York NY 10001 <i>To Support Organizational Costs Associated with programs and venues for the jazz field</i> \$400 000 00	501(c)3	\$200,000	\$0	\$0	\$200,000	\$0	Not Applicable
Chamber Music America 305 Seventh Avenue New York NY 10001 <i>Doris Duke Jazz Ensembles</i> \$2 215 000 00	501(c)3	\$1,425,996	\$0	\$0	\$418,496	\$1,007,500	Not Applicable
Chamber Music America 305 Seventh Avenue New York NY 10001 <i>To provide core operating support grants to organizations selected to receive pre-sending and residency re-grants in the 2009 - 2011 funding rounds of the Doris Duke Jazz Ensembles Project</i> \$180 000 00	501(c)3	\$0	\$180,000	\$0	\$60,000	\$120,000	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL APPROVED SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	Not Applicable
Trustees of Columbia University in the City of New York New York, NY	501(c)3	\$0	\$0	\$110,000	\$47,000	\$63,000
Jazz Composers Orchestra Institute \$110,000.00						
Creative Capital Foundation 65 Blecker Street, 7th Floor New York NY 10012	501(c)3	\$220,000	\$0	\$0	\$220,000	Not Applicable
To Support Organizational Costs Associated with programs and services for dance jazz presenting, and theater fields \$370,000.00						
Creative Capital Foundation 65 Blecker Street 7th Floor New York NY 10012	501(c)3	\$1,100,000	\$0	\$0	\$1,100,000	Not Applicable
Multi Arts Production Fund (MAP), 2009064 - addl gen op for re-grants \$3,300,000.00						
Creative Capital Foundation 65 Blecker Street, 7th Floor New York NY 10012	501(c)3	\$700,000	\$0	\$0	\$37,500	\$662,500
To provide re-grants and professional development programs for performing artists in contemporary dance, jazz, theater and live interdisciplinary art \$1,200,000.00						
Creative Capital Foundation 65 Blecker Street 7th Floor New York NY 10012	501(c)3	\$0	\$427,800	\$0	\$213,900	\$213,900
To provide core operating support grants to organizations selected to receive re-grants in the 2009 - 2010 funding rounds of the Multi-Arts Production Fund Program \$427,800.00						
Cleveland Modern Dance Association 13110 Shaker Sq Ste 106 Cleveland OH 44120-2381	501(c)3	\$0	\$0	\$120,000	\$40,000	\$80,000
Building a network of new dance presenters across America's heart \$120,000.00						
Dance USA 1111 16th Street, NW, Suite 300 Washington DC 20036	501(c)3	\$250,000	\$0	\$0	\$250,000	Not Applicable
General operating support fund to build cash reserves \$500,000.00						

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL, APPROVED SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR.

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	FOR FUTURE PAYMENT	Not Applicable
Dance USA 1111 16th Street NW Suite 300 Washington DC 20036 Engaging Dance Audiences \$1,500,000.00 2008	501(c)3	\$672,000	\$0	\$0	\$465,000	\$207,000	Not Applicable
Dance USA 1111 16th Street NW Suite 300 Washington DC 20036 <i>to provide core operating support grants to organizations selected to receive project re-grants in the 2009 - 2010 funding rounds of the Engaging Dance Audiences Program</i> \$255,750.00 2009	501(c)3	\$0	\$255,750	\$0	\$127,875	\$127,875	Not Applicable
Dance USA 1111 16th Street NW Suite 300 Washington DC 20036 <i>Support for Dance/USA's internal leadership transition process</i> \$60,000.00 2009	501(c)3	\$0	\$60,000	\$0	\$60,000	\$0	Not Applicable
Emc Arts Inc 127 W 122nd St New York, NY 10027-5502 <i>Innovative Lab for the Performing Arts</i> \$1,500,000.00 2008	501(c)3	\$380,000	\$0	\$0	\$380,000	\$0	Not Applicable
Emc Arts Inc 127 W 122nd St New York, NY 10027-5502 <i>to provide core operating support grants to organizations selected to receive project re-grants in the 2009 funding round of the Innovations Lab for the Performing Arts</i> \$93,000.00 2009	501(c)3	\$0	\$93,000	\$0	\$93,000	\$0	Not Applicable
Fractured Atlas Productions Inc 248 E 35th St Rm 1202 New York, NY 10001 <i>Development of ATHENA Trx a new open source ticketing application for the national performing arts community</i> \$100,000.00 2009	501(c)3	\$0	\$0	\$100,000	\$100,000	\$0	Not Applicable
French American Cultural Exchange 972 5th Ave New York, NY 10075-0104 <i>Evaluatory meeting of the French American Jazz Exchange Program</i> \$49,507.55 2009	501(c)3	\$0	\$55,000	\$0	\$55,000	\$0	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL APPROVED FOR FUTURE PAYMENT
 SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	PAYMENT FOR FUTURE	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
National Association of Latino Arts and Culture 1204 Buena Vista St San Antonio TX 78207 To support programs and services for dance, jazz, presenting, and theater fields and to build cash reserves \$145,000.00 2007	501(c)3	\$72,500	\$0	\$0	\$72,500	\$0	Not Applicable
National Performance Network Inc 866 Camp St New Orleans LA 70130 General operating support \$350,000.00 2007	501(c)3	\$175,000	\$0	\$0	\$175,000	\$0	Not Applicable
National Performance Network Inc 866 Camp St New Orleans LA 70130 Creation Fund, Performance Residency Program, Community Fund and Freight Fund and the International Strategic Partnerships Program \$1,527,186.00 2008	501(c)3	\$2,324,940	\$0	\$0	\$768,698	\$1,556,242	Not Applicable
National Performance Network Inc 866 Camp St New Orleans LA 70130 To support a Nonprofit Business Analysis \$15,970.00 2009	501(c)3	\$0	\$15,970	\$0	\$15,970	\$0	Not Applicable
Network of Ensemble Theaters 1709 N Avenue 56 Los Angeles CA 90042-1118 Ensembles All-together Building Capacity (Ensembles ABC) Program \$150,000.00 2009	501(c)3	\$0	\$0	\$150,000	\$150,000	\$0	Not Applicable
New England Foundation for the Arts 145 Tremont St Fl 7 Boston MA 02111-1214 National Dance Project \$5,800,000.00 2006	501(c)3	\$325,000	\$0	\$0	\$325,000	\$0	Not Applicable
New England Foundation for the Arts 145 Tremont St Fl 7 Boston MA 02111-1214 National Dance Project Continuing \$34,030.00 2009	501(c)3	\$0	\$34,030	\$0	\$34,030	\$0	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL, APPROVED FOR FUTURE PAYMENT, SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR.

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	
New England Foundation for the Arts 145 Tremont St Fl 7 Boston MA 02111-1214 to provide core operating support grants to organizations selected to receive Production and Training project re-grants in the 2009 funding round of the National Dance Project \$295,740.00	501(c)3	\$0	\$295,740	\$0	\$295,740	Not Applicable
Nonprofit Finance Fund 70 West 36th Street 11th Floor New York NY 10018 To Support programs and services for dance, presenting, and theater \$755,000.00	501(c)3	\$377,500	\$0	\$0	\$377,500	Not Applicable
Nonprofit Finance Fund 70 West 36th Street 11th Floor New York NY 10018 Leadership Arts Organizations Initiative \$15,125,000.00	501(c)3	\$7,352,734	\$0	\$0	\$2,800,656	\$4,552,078 Not Applicable
New York Shakespeare Festival 425 Lafayette Street New York, NY 10003 Leading National Theatres Program \$700,000.00	501(c)3	\$150,000	\$0	\$0	\$0	\$150,000 Not Applicable
Playwrights Horizons Inc. 416 West 42nd Street New York, NY 10036 General Operating Support \$50,826.63	501(c)3	\$0	\$50,827	\$0	\$50,827	\$0 DDCF Trustee Jude Zeitlin is on the board of Playwright's Horizon
Theatre Communications Group Inc. 520 8th Avenue 24th floor New York, NY 10018-4156 General operating support and building cash reserves \$1,400,000.00	501(c)3	\$700,000	\$0	\$0	\$700,000	Not Applicable
Theatre Communications Group Inc. 520 8th Avenue 24th floor New York, NY 10018-4156 New Generations Program \$2,500,000.00	501(c)3	\$0	\$2,500,000	\$0	\$1,250,000	\$1,250,000 Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL APPROVED FOR FUTURE PAYMENT SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	2009	Not Applicable
Theatre Communications Group Inc. 520 8th Avenue, 24th Floor New York NY 10018-4156 to provide core operating support grants to organizations selected to receive project re-grants in the 2009 - 2011 funding rounds of the New Generations Program \$711,746 00 2009	501(c)3	\$0	\$711,746	\$0	\$354,085	\$357,661	Not Applicable
Youth Speaks Inc 290 Divison St Ste 302 San Francisco CA 94103-0000 The LIFE Branding Initiative \$150,000 00 2009	501(c)3	\$0	\$0	\$150,000	\$100,000	\$50,000	Not Applicable
Total Arts \$21,596,170 \$5,435,663 \$0 \$13,175,827 \$13,856,006							
CHILD ABUSE PREVENTION PROGRAM							
National Foundation for Centers for Disease Control & Prevention 55 Park Pl Ste 400 Atlanta GA 30303 Building state-based public health leadership to prevent child malt \$1,226,179 00 2008	501(c)3	\$500,000	\$0	\$0	\$0	\$500,000	Not Applicable
Center for the Study of Social Policy 1575 Eye Street NW Suite 500 Washington DC 20005 Quality Improvement Center (QIC) on Early Childhood (formerly National QIC on Prevention of Child Abuse and Neglect of Infant and Young Children) \$289,351 00 2008	501(c)3	\$89,351	\$0	\$0	\$0	\$89,351	Not Applicable
Center for the Study of Social Policy 1575 Eye Street NW Suite 500 Washington DC 20005 Strengthening Families Leadership Summit \$34,750 00 2009	501(c)3	\$0	\$34,750	\$0	\$34,750	\$0	Not Applicable
Chapin Hall Center For Children 1313 E 60th St Chicago IL 60617-2830 Doris Duke Fellowships for the Prevention of Child Abuse and Neg \$1,500,000 00 2009	501(c)3	\$0	\$1,500,000	\$0	\$0	\$1,500,000	Not Applicable
Erikson Institute 451 N LaSalle St Chicago IL 60654 To expand the work of The Family Bath Network \$1,384,812 00 2009	501(c)3	\$0	\$1,384,812	\$0	\$600,000	\$784,812	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

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Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
Family Violence Prevention Fund 383 Rhode Island St. Ste. 304 San Francisco CA 94103 <i>Exploration of primary prevention opportunities in child abuse and neglect and intimate partner violence</i> \$25,000.00	501(c)3	\$0	\$25,000	\$0	\$25,000	\$0	Not Applicable
Friends of Children Trust Fund Inc 55 Court St Fl 4 Boston MA 02108-2104 <i>Earl's Childhood Initiative - Phase 2</i> \$1,698,000.00	501(c)3	\$698,000	\$0	\$0	\$400,000	\$298,000	Not Applicable
Georgia State University Research Foundation Inc Po Box 3999 Atlanta, GA 30302-1999 <i>SafeCare Training and Resource Center</i> \$1,321,403.00	501(c)3	\$293,416	\$0	\$0	\$0	\$293,416	Not Applicable
Grantmakers for Children, Youth and Families 8757 Georgia Avenue Suite 540 Silver Spring MD 20910 <i>Earl's Childhood Funders Collaborative membership</i> \$4,000.00	501(c)3	\$0	\$4,000	\$0	\$4,000	\$0	Not Applicable
National Alliance of Child Abuse Prevention Funds 5712 30th Avenue NE Seattle WA 98105 <i>To develop education and communications strategies and materials</i> \$95,000.00	501(c)3	\$0	\$95,000	\$0	\$95,000	\$0	Not Applicable
The Pew Charitable Trusts 2005 Market St Ste 1700 Philadelphia PA 19103-7017 <i>Home Visiting Research Initiative</i> \$1,885,962.00	501(c)3	\$585,962	\$0	\$0	\$0	\$585,962	Not Applicable
Prevent Child Abuse America 228 S Wabash Ave Fl 10 Chicago IL 60604 <i>To develop education and communications strategies and materials</i> \$100,000.00	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0	Not Applicable
Prevent Child Abuse America 228 S Wabash Ave Fl 10 Chicago IL 60604 <i>Support for a national public education campaign to raise public awareness about the prevention of child maltreatment</i> \$494,032.00	501(c)3	\$0	\$494,032	\$0	\$250,000	\$244,032	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

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Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL APPROVED FOR FUTURE PAYMENT SHOW ANY RELATIONSHIP TO FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
Trustees of Princeton University Princeton NJ 08544 <i>Future of Children Journal Preventing Child Abuse and Neglect</i> \$209,941.00 2007	501(c)3	\$99,941	\$0	\$0	\$99,941	\$0	Not Applicable
RAND Corporation 1776 Main St Santa Monica CA 90407-2138 <i>Promising Practices Network Child abuse prevention research disse</i> \$10,745.27 2008	501(c)3	\$0	\$0	\$0	\$0	\$0	Not Applicable
United States Fund for UNICEF 125 Maiden Lane New York, NY 10038 <i>Unite for Children Unite against AIDS campaign</i> \$10,000.00 2009	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0	Not Applicable
United Way Worldwide 701 N Fairfax St Alexandria VA 22314 <i>Child abuse prevention and family strengthening</i> \$1,835,585.00 2007	501(c)3	\$835,585	\$0	\$0	\$500,000	\$335,585	Not Applicable
University of North Carolina at Chapel Hill Chapel Hill, NC 27599 <i>Preventing Shaken Baby Syndrome and Infant Abuse The Period of PURPLE Crying Program</i> \$2,146,012.00 2007	501(c)3	\$256,012	\$0	\$0	\$256,012	\$0	Not Applicable
University of North Carolina at Chapel Hill Chapel Hill NC 27599 <i>PREVENT Child Maltreatment Institute (PCMI)</i> \$666,670.00 2007	501(c)3	\$266,670	\$0	\$0	\$266,670	\$0	Not Applicable
University of Wisconsin Foundation 1848 University Ave PO Box 8860 Madison WI 53708-8860 <i>Improving Child Neglect Prevention through Enhanced Measurement and Assessment Strategies</i> \$594,049.00 2008	501(c)3	\$374,049	\$0	\$0	\$200,000	\$174,049	Not Applicable
Urban Institute 2100 M Street NW Washington DC 20037 <i>Preventing Child Abuse and Neglect by Linking Depressed Mothers to Effective Services</i> \$400,000.00 2008	501(c)3	\$150,000	\$0	\$0	\$0	\$150,000	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

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Recipient and/or Purpose	Tax Status	APPROVALS		Beginning Balance 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
		2009	2009				
Zero to Three: National Center for Infants, Toddlers and Families 2000 M Street NW Suite 200 Washington DC 20037 "Promoting Responsive Relationships" project which seeks to change practice in child care through training with the Preventing Child Abuse and Neglect curriculum \$1 578 737 00 2009	501(c)3	\$0	\$1,578,737	\$0	\$800,000	\$778,737	Not Applicable
Total Child Abuse Prevention		\$4,148,986	\$5,226,331	\$0	\$3,641,373	\$5,733,944	
Climate Change							
Carnegie Mellon University 5000 Forbes Avenue Pittsburgh PA 15213 Regulation of Capture and Deep Geological Sequestration of Carbon Dioxide \$1 850 000 00 2007	501(c)3	\$378,000	\$0	\$378,000	\$378,000	\$0	Not Applicable
Carnegie Mellon University 5000 Forbes Avenue Pittsburgh PA 15213 Facilitate the large-scale adoption of variable and intermittent sources of renewable electricity \$800,000 00 2009	501(c)3	\$0	\$800,000	\$0	\$0	\$800,000	Not Applicable
Clean Air Cool Planet Inc 100 Market St Ste 204 Portsmouth NH 03801 Laying a path for international cooperation on climate change R&L \$66 100 00 2009	501(c)3	\$0	\$66,100	\$0	\$66,100	\$0	Not Applicable
Clean Air Task Force Inc. 18 Tremont St Ste 530 Boston, MA 02108 To synthesize key findings and research on key climate technology innovation principles \$100 000 00 2009	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0	Not Applicable
Consultative Group on Biological Diversity Presidio Building 1016, PO Box 29361 San Francisco, CA 94129-0761 Membership in the Energy and Climate workgroup \$10 000 00 2009	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR.

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	Not Applicable
Massachusetts Institute of Technology Cambridge MA <i>Accelerating US Energy Innovation</i> 2007	501(c)3	\$703,000	\$0	\$0	\$703,000	\$0	Not Applicable
Natural Resources Defense Council Inc 40 W 20th St FL 11 NY NY 10011 <i>Analysis of how a newly proposed Clean Energy Deployment Administration could work effectively to speed deployment of clean energy technologies by providing affordable financing</i> \$85,000.00 2009	501(c)3	\$0	\$85,000	\$0	\$85,000	\$0	Not Applicable
Institute For International Economics Washington DC 20036-1903 <i>The Coming Climate Change Regime and International Trade</i> \$1,500,000.00 2008	501(c)3	\$500,000	\$0	\$0	\$500,000	\$0	Not Applicable
Institute For International Economics 1750 Massachusetts Ave NW Washington DC 20036-1903 <i>International financing for low-carbon growth</i> \$400,000.00 2009	501(c)3	\$0	\$400,000	\$0	\$400,000	\$0	Not Applicable
Resources for the Future, Inc. 1616 P Street NW Washington DC 20036 <i>To conduct work on the distributional consequences and equity considerations of U.S. climate policy and on reducing tropical deforestation through U.S. climate policy</i> \$598,227.00 2009	501(c)3	\$0	\$598,227	\$0	\$598,227	\$0	Not Applicable
United Nations Foundation 1800 Massachusetts Ave NW 4 Flr Washington DC 20036-1806 <i>Research and analysis to develop "Climate Innovation Centers" for consideration at the UN climate conference</i> \$100,000.00 2009	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0	Not Applicable
Regents of the University of California at Berkeley Berkeley CA 94704 <i>Conference on technology transfer and intellectual property rights in the UN climate negotiations</i> \$20,000.00 2009	501(c)3	\$0	\$20,000	\$0	\$20,000	\$0	Not Applicable
World Resources Institute 10 G Street NE Washington DC 20002 <i>To support research and analysis on U.S. climate policy design</i> \$750,000.00 2009	501(c)3	\$0	\$750,000	\$0	\$400,000	\$350,000	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

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Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	
Yale University New Haven CT 06520-8047 The 2009 Renewable Energy and International Law Project Roundtable \$19,465.81 2009	501(c)3	\$0	\$20,000	\$0	\$20,000	Not Applicable
Environment						
American Planning Association 122 South Michigan Avenue, Suite 1600 Chicago IL 60601 A center of planning in communities, counties and regions that contain priority conservation areas for wildlife habitat protection	501(c)3	\$0	\$50,000	\$0	\$50,000	Not Applicable
\$50,000.00 2009						
Americas Wildlife Association For Resource Education AWARE 444 North Capitol Street NW, Suite 725 Washington DC 20001 Improving State Wildlife Action Plan implementation	501(c)3	\$0	\$800,000	\$0	\$700,000	Not Applicable
\$800,000.00 2009						
Conservation Resources Inc. 100 North Road, Suite 2 PO Box 594 Chester NJ 07930 Provide continued funding for the Raritan Piedmont Wildlife Habitat Partnership	501(c)3	\$0	\$300,000	\$0	\$150,000	Not Applicable
\$300,000.00 2009						
Consulative Group on Biological Diversity Presidio Building 1016 PO Box 29361 San Francisco CA 94129-0361 Membership	501(c)3	\$0	\$30,000	\$0	\$30,000	Not Applicable
\$30,000.00 2009						
Defenders of Wildlife 1110 Seventeenth Street NW Washington DC 20036-4604 Promoting State Wildlife Action Plans to address the impacts of global warming and develop a national network of conservation lands	501(c)3	\$0	\$1,200,001	\$0	\$300,001	Not Applicable
\$1,200,001.00 2009						
Environmental Defense Incorporated 257 Park Avenue South 16th Floor New York NY 10010 Integrating State Wildlife Action Plans into implementation of conservation incentive programs for private lands	501(c)3	\$0	\$2,000,000	\$0	\$500,000	Son of DDCF Trustee Nannerl Keohane is on EDF's staff
\$2,000,000.00 2009						
Total Climate Change		\$9,450,000	\$4,296,318	\$0	\$12,218,318	\$1,528,000

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

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Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	\$0	Not Applicable
Funders Network For Smart Growth & Livable Communities 1500 San Remo Ave Ste 249 Coral Gables FL 33146-1047 Member ship \$10,000.00 2009	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0	Not Applicable
Iowa Natural Heritage Foundation 505 Fifth Ave . Ste 444 Des Moines IA 50309 Upper Midwest wildlife habitat protection initiative \$10,800,000.00 2007	501(c)3	\$1,072,695	\$0	\$0	\$1,072,695	\$0	Not Applicable
Land Trust Alliance Incorporated 1660 L Street NW, Suite 1100 Washington DC 20036 To prepare land trusts for accreditation and to ensure the long term success of the accreditation program \$1,000,000.00 2009	501(c)3	\$0	\$1,000,000	\$0	\$250,000	\$750,000	Not Applicable
National Wildlife Federation 11100 Wildlife Center Drive Reston VA 20190 Protect wildlife and wildlife habitats from the combined effects of global warming and habitat destruction \$1,200,281.00 2009	501(c)3	\$0	\$1,200,281	\$0	\$300,000	\$900,281	Not Applicable
The Nature Conservancy, Inc. 4245 North Fairfax Drive Suite 100 Arlington VA 22203-1606 Implementation of State Wildlife Action Plans in AZ, CO, NM, WY, & \$13,000,000.00 2007	501(c)3	\$2,150,000	\$0	\$0	\$2,150,000	\$0	Not Applicable
The Nature Conservancy, Inc. 4245 North Fairfax Drive Suite 100 Arlington VA 22203-1606 Pacific Northwest Land Protection Initiative \$7,000,000.00 2008	501(c)3	\$4,400,000	\$0	\$0	\$2,500,000	\$1,900,000	Not Applicable
The Nature Conservancy, Inc. 4245 North Fairfax Drive Suite 100 Arlington VA 22203-1606 Linking wetlands mitigation with state wildlife plans \$185,000.00 2009	501(c)3	\$0	\$185,000	\$0	\$100,000	\$85,000	Not Applicable
Natureserve 1101 Wilson Boulevard Arlington VA 22209 Creating a national wildlife conservation system by improving and promoting state wildlife action plans \$600,000.00 2009	501(c)3	\$0	\$600,000	\$0	\$150,000	\$450,000	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT.

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Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	2009	Not Applicable
Open Space Conservancy Inc 1350 Broadway Suite 201 New York, NY 10018 <i>Land protection initiative to assist with implementation of the State Wildlife Action Plans in MA, ME and NH</i> \$6,000,000.00	501(c)3	\$3,600,000	\$0	\$0	\$2,400,000	\$1,200,000	Not Applicable
Open Space Institute Inc 1350 Broadway Rm 201 New York, NY 10018-0983 <i>Outdoor America Campaign - Research and education to assist with the establishment of a fully funded land and water conservation fund</i> \$100,000.00	501(c)3	\$0	\$100,000	\$0	\$100,000	\$0	Not Applicable
Regional Plan Association Inc 4 Irving Place, 7th Floor New York, NY 10001 <i>Implementing State Wildlife Action Plans in the Northeast Migration through Land Use Planning</i> \$400,000.00	501(c)3	\$0	\$400,000	\$0	\$170,000	\$230,000	Not Applicable
Theodore Roosevelt Conservation Partnership Inc 555 11th Street NW 6th floor Washington DC 20004 <i>Educate sportsmen on how State Wildlife Action Plans will be a key factor in maintaining their future hunting and fishing opportunities</i> \$399,690.00	501(c)3	\$0	\$399,690	\$0	\$100,000	\$299,690	Not Applicable
The Trust for Public Land 116 New Montgomery St 4th Floor San Francisco CA 94105 <i>To research how Clean Water State Revolving Funds could be accessed for land conservation</i> \$75,000.00	501(c)3	\$0	\$75,000	\$0	\$75,000	\$0	Not Applicable
The Trust for Public Land 116 New Montgomery St 4th Floor San Francisco CA 94105 <i>Greenprinting as a strategy for implementing state wildlife action plan conservation priorities</i> \$600,000.00	501(c)3	\$0	\$600,000	\$0	\$250,000	\$350,000	Not Applicable
Wildlife Conservation Society 2300 Southern Boulevard Bronx NY 10460 <i>Wildlife Action Opportunities Fund Re-granting Program</i> \$4,400,109.00	501(c)3	\$4,400,109	\$0	\$0	\$2,200,055	\$2,200,054	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL, APPROVED FOR FUTURE PAYMENT
 SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	\$1,081,467	Not Applicable
Woodrow Wilson National Fellowship Foundation 5 Vaughn Drive, Suite 300 Princeton, NJ 08540 <i>Doris Duke Conservation Fellows Program</i> \$2,849,297.00 2008	501(c)3	\$1,081,467	\$0	\$0	\$0	\$1,081,467	
Barnard College 3009 Broadway New York, NY 10027-6909 <i>Diana Center green roof project</i> \$50,413.31 2009	501(c)3	\$0	\$50,413	\$0	\$50,413	\$0	The wife of DDCF Trustee Peter Nadosyn is a Trustee of Barnard College
Common Ground Community Housing Development Fund Corporation Inc 505 Eighth Ave New York, NY 10018 <i>Green Campaign</i> \$50,413.32 2009	501(c)3	\$0	\$50,413	\$0	\$50,413	\$0	DDCF Trustee Jide Zetlin is on the Board of Common Ground
Common Ground Community Housing Development Fund Corporation Inc 505 Eighth Ave New York, NY 10018 <i>Green Campaign</i> \$50,000.00 2009	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0	DDCF Trustee Jide Zetlin is on the Board of Common Ground
Environmental Defense Incorporated 257 Park Avenue South, 16th Floor New York, NY 10010 <i>work toward finding a global climate solution and protecting land and freshwater ecosystems</i> \$20,000.00 2009	501(c)3	\$0	\$20,000	\$0	\$20,000	\$0	The son of DDCF Trustee Nannerl Koehane is staff at EDF
The Nature Conservancy, Inc. 4245 North Fairfax Drive, Suite 100 Arlington, VA 22203-1606 <i>To protect the Quinckand Pond Conservation Area</i> \$50,000.00 2009	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0	Not Applicable
Total Environment		\$16,704,271	\$9,170,799	\$0	\$13,278,578	\$12,596,492	

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	\$1,081,467	Not Applicable
Medical Research Yeshiva University 1300 Morris Park Avenue Bronx, NY 10461 <i>Earl's Identification of Drug-resistant TB in South Africa</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

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FOUNDATION MANAGER OR
SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	FOR FUTURE PAYMENT	Not Applicable
Yeshiva University 1300 Morris Park Avenue Bronx NY 10461 <i>Rapid Diagnosis of Drug-Resistant TB in South Africa</i> \$405 000 00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Yeshiva University 1300 Morris Park Avenue Bronx NY 10461 <i>Clinical Scientist Development Award Bridge Grant Impact of HIV Antiretroviral Therapy and TB Genotype on Survival in Multidrug Resistant</i> \$50 000 00 2009	501(c)3	\$0	\$50,000	\$0	\$50,000	\$0	Not Applicable
Baylor College of Medicine Houston TX 77030 <i>Genetic Determinants of Tracheoepithelial Defects</i> \$405 000 00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Baylor College of Medicine Houston TX 77030 <i>Controlled Trial of Goal-Setting for Diabetes Control in Minorities</i> \$405 000 00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
Beth Israel Deaconess Medical Center Boston MA 02215 <i>Genetic determinants of disorders of neural stem cell proliferation</i> \$405 000 00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Brigham & Women's Hospital Inc 75 Francis Street Boston MA 02115 <i>Agouti and Leucism Genes in COPD</i> \$405 000 00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Brigham & Women's Hospital Inc 75 Francis Street Boston MA 02115 <i>Clinical development of histone deacetylase inhibitors for the treatment of viable cell disease</i> \$486 000 00 2009	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000	Not Applicable
Broad Institute Inc 7 Cambridge Cir Cambridge MA 02142-1401 <i>Discovery and clinical application type 2 diabetes genes</i> \$1 500 000 00 2006	501(c)3	\$500,000	\$0	\$0	\$500,000	\$0	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

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Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	Not Applicable
Broad Institute Inc 7 Cambridge Cir Cambridge, MA 02142-1401 <i>Whole-exome re-sequencing in sickle cell disease patients with extremely mild clinical courses</i> \$486 000 00	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000
Brown University Providence RI 02912 <i>Neural Interfaces for Restoration of Function After Paralysis</i> \$405 000 00	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000
Case Western Reserve University Cleveland OH 44106 <i>Mobile ARV pharmacokinetics at TREAT sites in rural Uganda</i> \$200 000 00	501(c)3	\$50,000	\$0	\$0	\$50,000	\$0
Case Western Reserve University Cleveland OH 44106 <i>Community-Based Case Finding of TB-HIV patients</i> \$200 000 00	501(c)3	\$50,000	\$0	\$0	\$50,000	\$0
Clinical Research Foundation Inc 1350 Connecticut Ave NW Washington DC 20036 <i>Annual Meeting</i> \$10 000 00	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0
Trustees of Columbia University in the City of New York New York NY <i>Identification of Novel Breast Cancer Susceptibility Genes</i> \$405 000 00	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0
Trustees of Columbia University in the City of New York New York NY <i>Novel Approaches to Bacterial Vaginosis Diagnostics and Risk Factors</i> \$405 000 00	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000
Trustees of Columbia University in the City of New York New York NY <i>Linguistic Deficits in Autism: Image-Guided Non-Invasive Brain Stimulation</i> \$405 000 00	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000
Dana-Farber Cancer Institute 44 Binney Street Boston MA 02115 <i>Translational Studies Based on Tumor Suppressor Proteins</i> \$1 500 000 00	501(c)3	\$500,000	\$0	\$0	\$500,000	\$0

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

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Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	
Dana-Farber Cancer Institute 44 Binney Street Boston MA 02115 <i>RNAi factor function in chronic lymphocytic leukemia</i> \$405 000 00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	Not Applicable
Dana-Farber Cancer Institute 44 Binney Street Boston MA 02115 <i>Selective Inhibition of HDAC1 and HDAC2 in Sickle Cell Disease</i> \$486 000 00 2009	501(c)3	\$0	\$486,000	\$0	\$324,000	Not Applicable
Duke University 108 Seeley G. Mudd Building Durham NC 27710 <i>Targeted NF-κB Inhibition in Molecular Subgroups of Patients with Lymphoma</i> \$405 000 00 2008	501(c)3	\$135,000	\$0	\$0	\$0	DDCF Trustee Nannerl Koehane is President-Emeritus of Duke University
Duke University 108 Seeley G. Mudd Building Durham NC 27710 <i>A New Locus for Familial FSGS & GWAS Study of Childhood Nephrotic Syndrome</i> \$405 000 00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	DDCF Trustee Nannerl Koehane is President-Emeritus of Duke University
Duke University 108 Seeley G. Mudd Building Durham, NC 27710 <i>the Duke Medical Annual Fund</i> \$10 000 00 2009	501(c)3	\$0	\$10,000	\$0	\$10,000	DDCF Trustee Nannerl Koehane is President-Emeritus of Duke University
Emory University Atlanta, GA 30322 <i>Molecular predictors of Peripartum Depression</i> \$405 000 00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	Not Applicable
Foundation for the National Institutes of Health, Inc. 9650 Rockville Pike Bethesda MD 20814 <i>2009 Clinical Investigator Student Trainee Forum</i> \$25 300 00 2009	501(c)3	\$0	\$25,300	\$0	\$25,300	Not Applicable
Health Research Alliance Inc. 21 T W Alexander Dr Research Triangle Park, NC 27709 <i>Membership</i> \$5 000 00 2009	501(c)3	\$0	\$5,000	\$0	\$5,000	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL, APPROVED SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	FOR FUTURE PAYMENT	Significant Contributor
Johns Hopkins University Baltimore MD 21205 <i>Telomerase and the Genetics of Idiopathic Pulmonary Fibrosis</i> \$405 000 00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Johns Hopkins University Baltimore MD 21205 <i>Fatality in Elderly Patients Considering Kidney Transplantation</i> \$405 000 00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
Johns Hopkins University Baltimore MD 21205 <i>Advanced Glioblastoma End Products Induce Endothelial Mesenchymal Transition</i> \$405 000 00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
General Hospital Corporation, dba Mass General Hosp 55 Fruit Street, Boston MA 02114 <i>Pharmacogenetic Evaluation of Insulin Resistance Genes in Human</i> \$405 000 00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
General Hospital Corporation, dba Mass General Hosp 55 Fruit Street, Boston MA 02114 <i>Understanding Addictive Mechanisms that Generate Drug Resistance</i> \$405 000 00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
General Hospital Corporation, dba Mass General Hosp 55 Fruit Street, Boston MA 02114 <i>Innate Immunity in HIV-1 Infection</i> \$1 500 000 00 2008	501(c)3	\$375,000	\$0	\$0	\$0	\$375,000	Not Applicable
General Hospital Corporation, dba Mass General Hosp 55 Fruit Street, Boston MA 02114 <i>Stem Cell Characteristics of HIV-1-specific T cells</i> \$405 000 00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
Memorial Sloan-Kettering Cancer Center 1275 York Avenue New York NY 10021 <i>Determinants of Response to Targeted Therapy in Glioblastoma</i> \$405 000 00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
Memorial Sloan-Kettering Cancer Center 1275 York Avenue New York NY 10021 <i>Analysis of the phosphatase PTPRD, a widely inactivated tumor suppressor in glioma</i> \$405 000 00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO A FOUNDATION/MANAGER OR SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO A FOUNDATION/MANAGER OR SIGNIFICANT CONTRIBUTOR
Oxfam-America Inc 226 Causeway St 5th Floor Boston MA 02114 <i>Climate Change work and HIV/AIDS programming in Southern Africa</i> \$20,000.00 2009	501(c)3	\$0	\$20,000	\$0	\$20,000	\$0	Not Applicable
Rockefeller University 1230 York Avenue New York NY 10021 <i>Characterization of Inflammatory Dendritic Cells in Prostate</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
Southwestern Medical Foundation 2305 Cedar Springs Rd Ste 150 Dallas, TX 75201-7805 <i>Genetics of Adult-Onset Pulmonary Fibrosis</i> \$405,000.00 2009	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
Southwestern Medical Foundation 2305 Cedar Springs Rd Ste 150 Dallas TX 75201-7805 <i>Determining cellular immune responses against aquaporin 4 in patients with neuromyelitis optica</i> \$405,000.00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
Southwestern Medical Foundation 2305 Cedar Springs Rd Ste 150 Dallas, TX 75201-7805 <i>PFAST Patent Forum Ovale and Stroke in Sickle Cell Disease</i> \$486,000.00 2009	501(c)3	\$0	\$486,000	\$0	\$324,000	\$162,000	Not Applicable
Board of Trustees of the Leland Stanford Junior University Stanford CA <i>Microbial ecology of the human intestinal tract</i> \$1,500,000.00 2006	501(c)3	\$500,000	\$0	\$0	\$500,000	\$0	Not Applicable
Board of Trustees of the Leland Stanford Junior University Stanford, CA <i>Novel Markers of Pediatric Sarcoma Aggressiveness</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Board of Trustees of the Leland Stanford Junior University Stanford CA <i>Prognostic Genetics of Chromosome Arm 18q Aberrations in Colorectal Cancer</i> \$405,000.00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
Universities Allied For Essential Medicines Corp 2625 Alcatraz Ave #180 Berkeley CA 94618 <i>Annual conference</i> \$10,000.00 2009	501(c)3	\$0	\$10,000	\$0	\$10,000	\$0	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL APPROVED SHOW ANY RELATIONSHIP TO FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	
Board of Trustees of the University of Alabama Birmingham AL 35233 <i>Long Term Risks and Extra-skeletal Benefits of Biologics</i> \$405,000 00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	Not Applicable
Board of Trustees of the University of Alabama Birmingham AL 35233 <i>Impact of HAART to prevent pediatric AIDS in rural Zambia</i> \$405,000 00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	Not Applicable
Board of Trustees of the University of Alabama Birmingham AL 35233 <i>Abnormalities of glutamate transporter localization in schizophrenia</i> \$405,000 00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	Not Applicable
Regents University Of California Los Angeles Los Angeles CA 90095 <i>Dividers of Sex Development</i> \$1,500,000 00 2008	501(c)3	\$1,500,000	\$0	\$0	\$1,125,000	Not Applicable
Regents University Of California Los Angeles Los Angeles, CA 90095 <i>Beta-globin gene correction in hematopoietic stem cells for sickle cell disease</i> \$486,000 00 2009	501(c)3	\$0	\$486,000	\$0	\$270,900	Not Applicable
Regents of the University of California Neuroimaging of <i>Loxus Coeruleus</i> Modulation for Cognitive Disabilities in <i>Schizophrenia</i> \$405,000 00 2009		\$0	\$405,000	\$0	\$270,000	Not Applicable
Regents of the University of California San Francisco CA 94118 <i>Pharmacogenomics of Antimalarial Drugs</i> \$405,000 00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	Not Applicable
Regents of the University of California San Francisco CA 94118 <i>Immunologic Determinants of CD4+ T Cell Recovery in Treated HIV+ Africans</i> \$270,000 00 2008	501(c)3	\$135,000	\$0	(\$135,000)	\$0	Not Applicable
University of Chicago Chicago, IL 60637 <i>Epigenetics and Genetics of Heart Failure</i> \$1,500,000 00 2006	501(c)3	\$500,000	\$0	\$0	\$500,000	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
University of Chicago Chicago IL 60637 <i>The Role of the Pro-Inflammatory S100 Proteins in Acute Coronary</i> \$405,000 00	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
University of Iowa Foundation Po Box 4550 Iowa City IA 52244-4550 <i>Molecular Biology of Skeletal Muscle Atrophy</i> \$405,000 00	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
University of Kentucky Research Foundation 301 Peterson Service Building Lexington KY 40506-0001 <i>Short non-interfering RNAs as novel therapies for age-related macular degeneration</i> \$1,500,000 00	501(c)3	\$375,000	\$0	\$0	\$0	\$375,000	Not Applicable
University of Maryland, Baltimore Baltimore MD 21201 <i>Genetic Diversity and Malaria Vaccine Efficacy</i> \$1,500,000 00	170(c)	\$500,000	\$0	\$0	\$500,000	\$0	Not Applicable
University of Maryland, Baltimore Baltimore MD 21201 <i>S. histovana-mediated Resistance to Malaria</i> \$405,000 00	170(c)	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
University Of Maryland Baltimore Foundation Inc 660 W Redwood St Ste 021 Baltimore MD 21201-1541 <i>The Molecular Epidemiology of Malaria During Pregnancy</i> \$405,000 00	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
University of Miami Miami FL 33136 <i>Therapies for Hormone Resistant Breast Cancer</i> \$1,500,000 00	501(c)3	\$500,000	\$0	\$0	\$0	\$500,000	Not Applicable
Regents of the University of Michigan Ann Arbor MI 48109-1115 <i>New treatment options for childhood genetic kidney diseases</i> \$1,500,000 00	501(c)3	\$500,000	\$0	\$0	\$500,000	\$0	Not Applicable
Regents of the University of Michigan Ann Arbor MI 48109-1115 <i>HDL Atherosclerosis and Chronic Kidney Disease</i> \$405,000 00	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL, SHOW ANY RELATIONSHIP TO FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR
Regents of the University of Michigan Ann Arbor MI 48109-1115 <i>Mycoplasma</i> as a risk factor in clostridial endometritis \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Regents of the University of Michigan Ann Arbor MI 48109-1115 <i>Maternal Adiponin, Placental Development, and Fetal Growth</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Regents of the University of Michigan Ann Arbor MI 48109-1115 <i>Searching for Recurrent Gene Functions and Translocations in Common Solid Tumors</i> \$1,500,000.00 2008	501(c)3	\$375,000	\$0	\$0	\$0	\$375,000	Not Applicable
Trustees of the University of Pennsylvania 3451 Walnut Street Room P221 Philadelphia, PA 19104-6205 <i>HAART and Clearance of C. Neofornans from CSF in Patients with Cryptococcal Meningitis</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
Trustees of the University of Pennsylvania 3451 Walnut Street, Room P221 Philadelphia, PA 19104-6205 <i>Quality of cardiac arrest resuscitation care and effects on post-resuscitation pathophysiology</i> \$405,000.00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
University of Texas Foundation PO Box 250 Austin TX 78767-0250 <i>Immunosuppression in lymphoma tumor microenvironment</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
University of Texas Foundation PO Box 250 Austin TX 78767-0250 <i>Biotekide of CTLA-4 to induce effective anti-tumor immune responses in cancer patients</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
University of Texas Foundation PO Box 250 Austin TX 78767-0250 <i>Immunogenetic therostats of HIV-1 transmission keys for vaccine development</i> \$1,500,000.00 2008	501(c)3	\$375,000	\$0	\$0	\$0	\$375,000	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL
SHOW ANY RELATIONSHIP TO A
FOUNDATION, MANAGER OF
SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	APPROVED FOR FUTURE PAYMENT	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO A FOUNDATION, MANAGER OF SIGNIFICANT CONTRIBUTOR
University of Texas Southwestern Medical Center at Dallas 5323 Harry Hines Blvd Dallas TX 75390 <i>Tar getting the mTOR pathway in Renal-Cell Carcinoma</i> \$405 000 00	170(c)	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
2007 University of Utah Salt Lake City, UT 84112 <i>RBP4 Receptor Expression and Function in Human Adipose Tissue</i> \$405 000 00	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
2008 University of Utah Salt Lake City, UT 84112 <i>Effects of Germline Mutations within the Ras Pathway on Bone Remodeling</i> \$405 000 00	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
2008 University of Washington Seattle, WA <i>HIV-8 Replication and Progression to Malignancy in Africa</i> \$405 000 00	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
2005 Vanderbilt University 2201 West End Ave Nashville TN 37240 <i>Investigational Mutagenesis in the Progression of ATLL</i> \$405,000 00	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
2007 Vanderbilt University 2201 West End Ave Nashville, TN 37240 <i>Neurogenesis in Tuberous Sclerosis Complex</i> \$405 000 00	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
2009 Vanderbilt University 2201 West End Ave Nashville TN 37240 <i>The Fogarty International Clinical Research Scholars & Fellows Support Center's Global Health Research Trainee Alumni Symposium</i> \$54 000 00	501(c)3	\$0	\$54,000	\$0	\$54,000	\$0	Not Applicable
2009 Washington University 660 S Euclid Ave St Louis MO 63110 <i>Effect of Diet-Induced Weight Loss on HIV-Associated Metabolic Syndrome</i> \$405 000 00	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT.

IF RECIPIENT IS AN INDIVIDUAL, APPROVED FOR FUTURE PAYMENT, SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER OR SIGNIFICANT CONTRIBUTOR.

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	2009	Not Applicable
Washington University 660 S. Euclid Ave St. Louis MO 63110 <i>Understanding brain injury and development in at risk infants to improve outcomes</i> \$1,500,000.00 2008	501(c)3	\$375,000	\$0	\$0	\$0	\$375,000	Not Applicable
Yale University New Haven CT 06520-8047 <i>Translating Engineered Constructs for Congenital Heart Surgery</i> \$405,000.00 2007	501(c)3	\$135,000	\$0	\$0	\$135,000	\$0	Not Applicable
Yale University New Haven CT 06520-8047 <i>The Genetic Etiology of Atherosclerosis and Metabolic Syndrome</i> \$115,074.32 2008	501(c)3	\$135,000	\$0	(\$135,000)	\$0	\$0	Not Applicable
Yale University New Haven, CT 06520-8047 <i>Glutamate in OCD: A Novel Perspective on Pathophysiology and Treatment</i> \$405,000.00 2008	501(c)3	\$135,000	\$0	\$0	\$0	\$135,000	Not Applicable
Yale University New Haven CT 06520-8047 <i>Regulation of Fc gamma receptors in immune thrombocytopenic purpura</i> \$405,000.00 2009	501(c)3	\$0	\$405,000	\$0	\$270,000	\$135,000	Not Applicable
Strategy and Planning		\$12,510,000	\$8,284,300	(\$270,000)	\$12,591,200	\$7,933,100	
Council on Foundations Inc. 2121 Crystal Dr Ste 700 Arlington, VA 22202 <i>Membership</i> \$22,500.00 2009	501(c)3	\$0	\$22,500	\$0	\$22,500	\$0	Not Applicable
Foundation Center 79 Fifth Avenue New York, NY 10003 <i>To support core operations and services</i> \$15,000.00 2009	501(c)3	\$0	\$15,000	\$0	\$15,000	\$0	Not Applicable
Grantmakers for Effective Organizations 1725 DeSales St NW Ste 404 Washington DC 20036 <i>Membership</i> \$6,000.00 2009	501(c)3	\$0	\$6,000	\$0	\$6,000	\$0	Not Applicable

PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

IF RECIPIENT IS AN INDIVIDUAL, APPROVED FOR FUTURE PAYMENT, SHOW ANY RELATIONSHIP TO A FOUNDATION MANAGER, OR SIGNIFICANT CONTRIBUTOR

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	APPROVALS 2009	Amended 2009	Amount Paid 2009	Not Applicable
Grants Managers Network Inc 1101 14th St NW Suite 420 Washington DC 20005 Membership \$3,750.00 2009	501(c)3	\$0	\$3,750	\$0	\$3,750	\$0
Independent Sector 1602 L St NW Ste 900 Washington DC 20036 Membership \$6,250.00 2009	501(c)3	\$0	\$6,250	\$0	\$6,250	\$0
National Public Radio Inc 635 Massachusetts Ave NW Washington DC 20001-3753 Support for NPR news programming \$500,000.00 2009	501(c)3	\$0	\$500,000	\$0	\$0	\$500,000
Technology Affinity Group Inc 23 Brnar Road Wayne PA 19087 Membership \$1,500.00 2009	501(c)3	\$0	\$1,500	\$0	\$1,500	\$0
Total Strategy and Planning		\$0	\$555,000	\$0	\$55,000	\$500,000
Grand Total		\$64,442,397	\$76,801,060	(\$302,970)	\$59,580,312	\$81,360,175

DDCF - REFUNDS RECEIVED

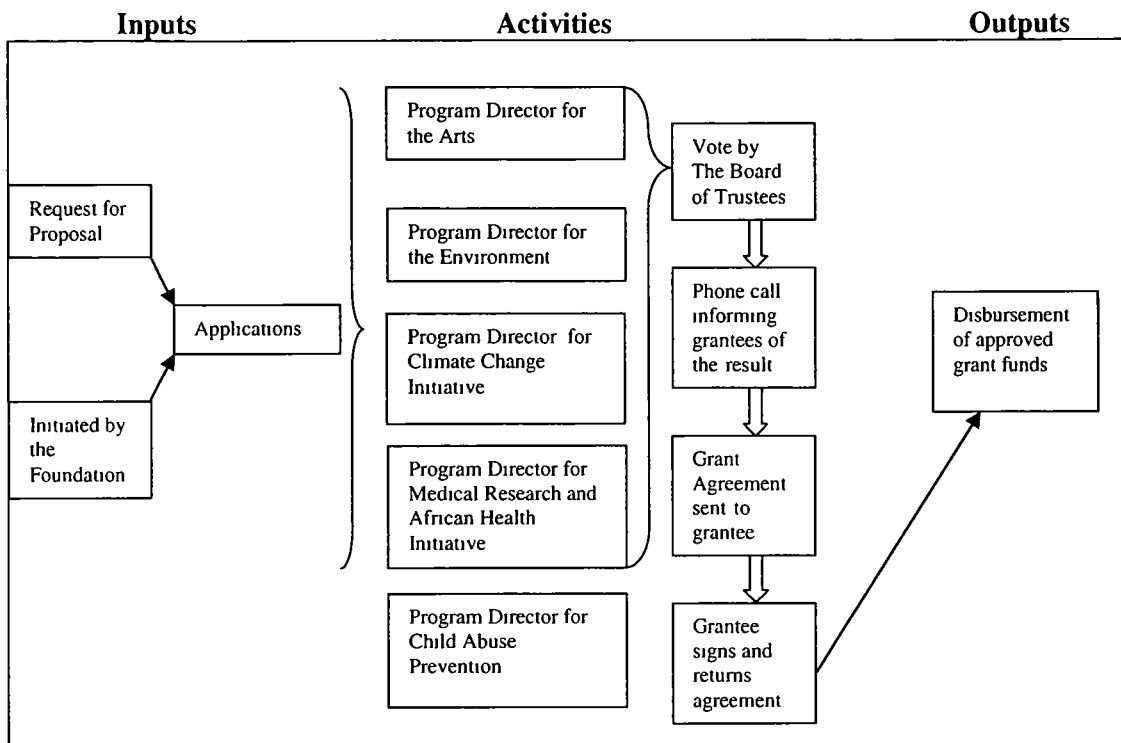
Fiscal Year 2009

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
African Health						
Trustees of Columbia University in the City of New York New York, NY	501(c)3	\$0.00	\$0.00	(\$52,846.00)	(\$52,846.00)	\$0.00
Lexohio PHIT Partnership A Proposal to Strengthen Health and Support Primary Care and District Health Systems \$97,154.00 2008						
Health Alliance International 1107 NE 45th St., Suite 427 Seattle, WA 98105	501(c)3	\$0.00	\$0.00	(\$38,367.00)	(\$38,367.00)	\$0.00
Strengthening Integrated Primary Health Care in Sofala Province, Mozambique \$101,396.00 2008						
Johns Hopkins University 1615 Thames St Baltimore, MD 21231	501(c)3	\$0.00	\$0.00	(\$26,232.00)	(\$26,232.00)	\$0.00
IMARISHA - Improving Regional Integrated Systems for Health Accessibility in Ruvuma, Tanzania \$123,768.00 2008						
Medical Care Development Incorporated 11 Parkwood Dr Augusta, ME 04330-6252	501(c)3	\$0.00	\$0.00	(\$2,915.00)	(\$2,915.00)	\$0.00
Integrated Primary Health Care in the Region Atsimo Andrefana, Madagascar \$147,085.00 2008						
Board of Trustees of the University of Alabama Birmingham, AL 35233	501(c)3	\$0.00	\$0.00	(\$13,329.24)	(\$13,329.24)	\$0.00
Clinical Mentoring and Community Engagement to Improve Health Outcomes \$136,670.76 2008						
Climate Change						
United Nations Foundation 1800 Massachusetts Ave NW 4 Flr Washington, DC 20036-1806	501(c)3	\$0.00	\$0.00	(\$100,000.00)	(\$100,000.00)	\$0.00
Total African Health		\$0.00	\$0.00	(\$133,689.24)	(\$133,689.24)	\$0.00

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
<i>Research and analysis to develop "Climate Innovation Centers" for consideration at the UN climate conference</i>						
\$100,000.00 2009		\$0.00	\$0.00	(\$100,000.00)	(\$100,000.00)	\$0.00
Total Climate Change (21 items)						
Environment						
Keystone Center 1628 Sts John Rd Keystone, CO 80435 <i>National Dialogue on Challenges and Opportunities A Nationwide Listening Project and Conversation on Future Wildlife Conservation Investments</i> \$114,664.00 2007	501(c)3	\$0.00	\$0.00	(\$39,315.00)	(\$39,315.00)	\$0.00
Regents of the University of Michigan Ann Arbor, MI 48109-1115 <i>To administer Doris Duke Conservation Fellowships for two additional classes of fellows</i> \$549,850.90 2002	501(c)3	\$0.00	\$0.00	(\$149.10)	(\$149.10)	\$0.00
Total Environment (24 items)						
		\$0.00	\$0.00	(\$39,464.10)	(\$39,464.10)	\$0.00
Medical Research						
Brigham & Women's Hospital Inc 75 Francis Street Boston, MA 02115 <i>Clinical Translational Approaches to Therapy of Myeloid Blood Diseases</i> \$1,197,008.00 2002	501(c)3	\$0.00	\$0.00	(\$52,992.00)	(\$52,992.00)	\$0.00
Dana-Farber Cancer Institute 44 Binney Street Boston, MA 02115 <i>Establishment of a Diagnostic and Treatment Center for Fanconi Anemia</i> \$1,496,396.13 2000	501(c)3	\$0.00	\$0.00	(\$3,603.87)	(\$3,603.87)	\$0.00
Health Alliance International 1107 NE 45th St., Suite 427 Seattle, WA 98105	501(c)3	\$0.00	\$0.00	(\$1,568.00)	(\$1,568.00)	\$0.00

Recipient and/or Purpose	Tax Status	Beginning Balance 2009	Newly Allocated 2009	Amended 2009	Amount Paid 2009	Ending Balance 2009
HAART Delivery Models A Quasi-Experimental Study \$198,432.00 2005		\$0.00	\$0.00	(\$476.38)	(\$476.38)	\$0.00
Johns Hopkins University 1615 Thames St Baltimore, MD 21231 Infrastructure research training support for the Rakai Research Collaboration ## 2003	501(c)3	\$0.00	\$0.00	(\$476.38)	(\$476.38)	\$0.00
Trustees of Indiana University Indianapolis, IN 46634 Extending HIV Care Beyond the Rural Health Center \$198,108.10 2005	501(c)3	\$0.00	\$0.00	(\$1,891.90)	(\$1,891.90)	\$0.00
Johns Hopkins University Baltimore, MD 21205 Do peer counselors promote adherence to ARVs? \$92,477.86 2006	501(c)3	\$0.00	\$0.00	(\$107,522.14)	(\$107,522.14)	\$0.00
Yale University New Haven, CT 06520-8047 Provision of HIV care, antiretroviral therapy and prevention through a community based program in South Africa \$249,953.15 2004	501(c)3	\$0.00	\$0.00	(\$6,246.85)	(\$6,246.85)	\$0.00
Yale University New Haven, CT 06520-8047 The Genetic Etiology of Atherosclerosis and Metabolic Syr \$115,074.32 2008	501(c)3	\$0.00	\$0.00	(\$154,925.68)	(\$154,925.68)	\$0.00
Total Medical Research (96 items)		\$0.00	\$0.00	(\$329,226.82)	(\$329,226.82)	\$0.00
Grand Total - REFUNDS		\$0.00	\$0.00	(\$602,380.16)	(\$602,380.16)	\$0.00

**Doris Duke Charitable Foundation
Grants Process
December 31, 2009**



The mission of the Doris Duke Charitable Foundation (DDCF) is to improve the quality of people's lives through grants supporting the performing arts, environmental conservation, medical research and prevention of child maltreatment, and through preservation of the cultural and environmental legacy of Doris Duke's properties.

Grant Development and Approval

Grants are either competitively awarded through Request for Proposal (RFP) processes or are initiated by the Foundation. Grants and proposed grant competitions are presented to the Board of Trustees at one of the four meetings that take place during the year. All grants must be approved by the Board of Trustees with the exception of the Program Fund, Trustee recommended grants, and grants to be selected through competitive, peer-reviewed program initiatives. In the latter case, the Board approves a total amount for the program initiative and delegates to DDCF's President Edward Henry the authority to approve grants recommended through the peer-review selection process. The Board has authorized the Medical Research Program's career-ladder award competitions (Clinical Scientist Development Awards, Distinguished Clinical Scientist Awards) to be conducted, with funding requested from the Board following the competition for the number of grants recommended by review panel (in accordance with the MRP budget). Authority for Program Fund (PF) grants is sought from the Board each November for the following year. PF grants must be for purposes that are in keeping with the mission of the Foundation; the amount of these grants is limited both on a per-grant basis (\$100,000 or less) and cumulative annual basis for each program (up to 5% of each Program's annual payout budget may be awarded as Program Fund grants). Additionally, the Board authorized the President to

approve up to \$500,000 in Program Fund grants for the Climate Change Initiative and for the African Health Initiative (with individual grants totaling no more than \$100,000), with funding to come each initiative's annual grants budgets. All Program Fund grants are developed by staff, undergo routine due diligence review, and are approved by the President. Each Program Fund grant totaling \$50,000 or more is discussed at a Staff Program Review meeting prior to approval. Finally, in 2009, one Trustee of the Foundation recommends grants totaling up to \$100,000 annually for projects that are consistent with the mission of the Foundation and three Trustees recommend grants up to \$100,826.63; the projects and organizations must be vetted by staff and approved by the Board Chair prior to grant award.

Edward Henry (President) is actively involved in the entire grants cycle. Prior to performing all the research on a potential grantee, the Program Director discusses the organization or proposed project with Mr. Henry. Based on this discussion, the research will begin or the project will be set aside.

Once a completed grant application is returned to DDCF, the Program Director and staff members review it to ensure that the organization meets DDCF requirements and that the program description is in line with DDCF's mission and program objectives. The application is also reviewed by the Grants Manager and Program Associate for Grants Administration to ensure that all the proper documentation has been received and the organization is a qualified not-for-profit. Each proposed grant is entered into GIFTS for Windows, the Foundation's grants management database.

Once all the submitted application has been reviewed and approved, the Program Director compiles a docket for presentation to the Board of Directors that describes the potential grantee organization and its proposed use of funds. Prior to the Board meeting, the Program Director presents the information to senior management and program staff at a Program Review session and at a "practice" session for the Board meeting. During the meeting the senior staff critiques the written summary and the presentation, and the appropriate changes are made.

At the next Board meeting, the docket will be presented to the Board for their approval. The Board's decisions regarding proposed grants are documented in the Board of Director Minutes. After a grant has been approved by the board, Grants Administration staff records the grant as "Approved" in GIFTS and the GIFTS system assigns a grant number. Grants Administration then sends a report detailing the grants approved to Finance and the Senior Accountant/Assistant Treasurer prepares a journal entry to record the liability.

Grant Award

Once the Board approves the grant, the appropriate DDCF staff member notifies the organization by telephone. The Program Associate for Grants Administration generates a draft grant agreement using the appropriate GIFTS template (grant agreement templates are stored in GIFTS and merged with the GIFTS grant data to create the grant agreement). The Program Director and Grants Manager review and refine the grant agreement until they are satisfied that it is complete. Once the Grants Manager has approved the grant agreement and award letter and verified that all grant documentation is in order, the agreement and award letter is forwarded to the President for signature.

After the President signs the award letter and the grant agreement, the award package is sent to the grantee organization. The grant agreement specifies the total funds awarded, payment schedule, use of the funds, performance and financial reporting requirements, and various other disclosures regarding DDCF's rights (for example, to publicly announce the grant). If the grantee organization agrees to the terms of the agreement an authorized representative of the organization

will sign and return the agreement to the DDCF (two originals of the agreement are sent and the grantee organization retains one original for their records). When the fully executed grant agreement is received by the Foundation, the first grant payment is initiated by Grants Administration and forwarded to Finance for processing.

Grant Payment Process

Once the Grants Manager has determined that all requirements for a grant payment have been met, the grant payment process is initiated.

The Grants Assistant confirms that ACH instructions are still correct and updates the GIFTS record if necessary. Then, Grants Administration exports the grantee data from GIFTS to an Excel spreadsheet which is emailed to the Investment Accountant for upload to the Lawson Process Flow. Once the information is uploaded into Lawson and released (with links to the fully executed grant agreement & the payment authorization) an e-mail is sent to the applicable approvers, alerting them that an invoice requires approval in the Lawson system. Once the approvals have been made, an ACH file is generated via Lawson & transmitted to Bank of America via a secured transmission website. The transmission is then verified with the bank via a phone call to an ACH automated phone system. Once the payment has been sent, the grantee and appropriate program staff are alerted by email that the ACH has been executed.

Grant signing authority requirements:

Grant Payment Amount	Requirements	Signatories
between \$0 and \$9,999.99	Require any one signer listed	Peter Simmons Eileen Oberlander Edward Henry Deborah Close Jeffrey Heil Betsy Fader
between \$10,000 and \$99,999.99	Require any two signers listed	Peter Simmons Eileen Oberlander Ed Henry Deborah Close Jeffrey Heil Betsy Fader
Equal to or over \$100,000	Require two of the following individuals	Peter Simmons Ed Henry Eileen Oberlander

Grant Monitoring

Within each grant agreement the grantee agrees to provide DDCF with certain interim and final financial and program performance information. The time period for this submission varies from grant to grant. To ensure that the DDCF funds are being used in accordance with the grant agreement, the grantee is required to submit the following information:

- A narrative describing program accomplishments, challenges, and future plans
- An assessment of the project to date (have the original goals been achieved, were any program refinements necessary?)

- Copies of any literature, reports, or media coverage that was generated as a result of the grant
- A copy of the most recent audited financial statement
- A budget to actual comparison of use of the grant funds (indicating any budget modifications and explanations for budget variances)
- If a multi-year grant, a revised budget and budget narrative for the upcoming year (if warranted)
- The grantee is also required to notify DDCF of any changes to their board, senior staff, or tax-exempt status.

This information is required whether the grant period is one year or multi-year. If the grant is multi-year, the subsequent disbursements will not be made until this information has been received, reviewed, and approved by DDCF.

This information will be submitted to DDCF and reviewed by the Program Director and the Grants Manager to ensure that the grantee has been using DDCF's funds for the purpose outlined in their grant agreement. This includes determining if certain project milestones have been met, whether funds have been spent reasonably and within program guidelines, and whether the project has benefited the items/individuals targeted in the agreement. Additionally, the financial information is reviewed by the Grants Manager or Program Associate for Grants Management, and either the Program Director or Program Officer – and, as necessary, by a member of the Finance Department – to ensure that the grantee is not experiencing any financial difficulties.

Once this information has been evaluated and approved by DDCF, the payment request is prepared for multi-year grants or, for single year grants, the file will be closed.

Computer information systems

The Foundation uses GIFTS for Windows, a grants management database system purchased from MicroEdge, Inc., to track detailed information about each application for funding and each grant approved. All applications received are entered into the database and their status is tracked according to their progress through the review, approval or declination, and disbursement and reporting process. The database is also used to generate grant related documentation, including (but not limited to) grant award letters and grant agreements, payment request memoranda, and reporting review checklists. GIFTS also provides reports that enable the user to project the upcoming disbursements, determine when financial or other reporting information is required, track grant expiration, and track activities related to each grant.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Type or print <small>File by the due date for filing your return. See instructions.</small>	Name of Exempt Organization DORIS DUKE CHARITABLE FOUNDATION	Employer identification number 13-7043679
	Number, street, and room or suite no. If a P.O. box, see instructions. 650 FIFTH AVENUE, 19TH FLOOR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10019	

Check type of return to be filed (file a separate application for each return):

- | | | |
|---|---|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

• The books are in the care of ▶ EILEEN OBERLANDER

Telephone No. ▶ 908 243-3619 FAX No. ▶ _____

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ . If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2010, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ▶ calendar year 2009 or
- ▶ tax year beginning _____, _____, and ending _____, _____.

2 If this tax year is for less than 12 months, check reason: Initial return Final return Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$ 287,095.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$ 400,000.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return See instructions	Name of Exempt Organization DORIS DUKE CHARITABLE FOUNDATION	Employer identification number 13-7043679
	Number, street, and room or suite no. If a P.O. box, see instructions 650 FIFTH AVENUE, 19TH FLOOR	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions NEW YORK, NY 10019	

Check type of return to be filed (File a separate application for each return):

<input type="checkbox"/> Form 990	<input checked="" type="checkbox"/> Form 990-PF	<input type="checkbox"/> Form 1041-A	<input type="checkbox"/> Form 6069
<input type="checkbox"/> Form 990-BL	<input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust)	<input type="checkbox"/> Form 4720	<input type="checkbox"/> Form 8870
<input type="checkbox"/> Form 990-EZ	<input type="checkbox"/> Form 990-T (trust other than above)	<input type="checkbox"/> Form 5227	

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of **EILEEN OBERLANDER**
Telephone No **908 243-3619** FAX No _____
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for

- I request an additional 3-month extension of time until **11/15/2010**
- For calendar year **2009**, or other tax year beginning _____ and ending _____
- If this tax year is for less than 12 months, check reason: Initial return Final return Change in accounting period
- State in detail why you need the extension **THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE.**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a \$ 287,095.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b \$ 400,000.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature **Scott Shurt** Title **Senior Tax Manager** Date **09/03/10**

GRANT THORNTON LLC
666 THIRD AVENUE
NEW YORK, NY 10017-4011

Form 8868 (Rev 4-2009)