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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.Otherwise,
print
or type.See Specific
Instructions.

Name of foundation

CHRISTIAN A. JOHNSON ENDEAVOR FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

1060 PARK AVENUE

Room/suite

City or town, state, and ZIP code

NEW YORK, NY 10128

A Employer identification number

13-6147952

B Telephone number

(212) 534-6620

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end of year

J Accounting method: ☐ Cash ☐ Accrual

(from Part II, col. (c), line 16)

☒ Other (specify) MODIFIED CASH

▶ \$ 176,296,745. (Part I, column (d) must be on cash basis.)

Part I**Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1 Contributions, gifts, grants, etc., received	274,445.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	6,653,718.	6,653,718.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	826,169.			STATEMENT 1
	b Gross sales price for all assets on line 6a	1,775,563.			
	7 Capital gain net income (from Part IV, line 2)		827,619.		
	8 Net short-term capital gain				
Operating and Administrative Expenses	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	-15,790.	-29,122.		STATEMENT 3
	12 Total. Add lines 1 through 11	7,738,542.	7,452,215.		
	13 Compensation of officers, directors, trustees, etc.	290,000.	2,900.		261,000.
	14 Other employee salaries and wages	284,246.	0.		213,185.
	15 Pension plans, employee benefits	103,284.	0.		81,260.
	16a Legal fees STMT 4	1,660.	0.		0.
	b Accounting fees STMT 5	190,020.	52,312.		55,776.
	c Other professional fees STMT 6	606,707.	225,694.		287,246.
	17 Interest				
	18 Taxes STMT 7	226,995.	2,192.		0.
	19 Depreciation and depletion				
	20 Occupancy	162,029.	0.		145,826.
	21 Travel, conferences, and meetings	22,392.	0.		22,392.
	22 Printing and publications	467.	0.		467.
	23 Other expenses STMT 8	461,789.	0.		415,611.
	24 Total operating and administrative expenses. Add lines 13 through 23	2,349,589.	283,098.		1,482,763.
	25 Contributions, gifts, grants paid	8,362,684.			8,362,684.
	26 Total expenses and disbursements. Add lines 24 and 25	10,712,273.	283,098.		9,845,447.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-2,973,731.			
	b Net investment income (if negative, enter -0-)		7,169,117.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

Form 990-PF (2009)

SCANNED MAY 24 2010

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		186,995.	415,159.	415,159.
	2	Savings and temporary cash investments		12,786,607.	7,664,715.	7,664,715.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶		28,200.		
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 9	128,433,397.	157,545,669.	157,545,669.
	c	Investments - corporate bonds				
Liabilities	11	Investments - land, buildings, and equipment basis ▶				
		Less: accumulated depreciation ▶				
	12	Investments - mortgage loans				
	13	Investments - other	STMT 10	6,886,635.	6,945,237.	6,945,237.
	14	Land, buildings, and equipment basis ▶	3,672,829.			
		Less: accumulated depreciation	STMT 11 ▶	3,672,829.	3,672,829.	3,672,829.
	15	Other assets (describe ▶)	STATEMENT 12)	33,696.	53,136.	53,136.
	16	Total assets (to be completed by all filers)		152,028,359.	176,296,745.	176,296,745.
	17	Accounts payable and accrued expenses		67,864.	67,864.	
	18	Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		67,864.	67,864.	
Net Assets or Fund Balances	24	Foundations that follow SFAS 117, check here ▶ ☒ Unrestricted		151,960,495.	176,228,881.	
	25	Temporarily restricted				
	26	Permanently restricted				
	27	Foundations that do not follow SFAS 117, check here ▶ ☐ Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances		151,960,495.	176,228,881.	
	31	Total liabilities and net assets/fund balances		152,028,359.	176,296,745.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	151,960,495.
2	Enter amount from Part I, line 27a	2	-2,973,731.
3	Other increases not included in line 2 (itemize) ▶ UNREALIZED GAINS ON INVESTMENTS	3	27,242,117.
4	Add lines 1, 2, and 3	4	176,228,881.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	176,228,881.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 1,775,563.		947,944.	827,619.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			827,619.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	827,619.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	14,420,133.	195,286,031.	.073841
2007	9,118,353.	222,283,106.	.041021
2006	9,629,566.	202,637,880.	.047521
2005	9,914,776.	187,765,331.	.052804
2004	10,692,971.	173,415,132.	.061661

2 Total of line 1, column (d)	2	.276848
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.055370
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	143,348,677.
5 Multiply line 4 by line 3	5	7,937,216.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	71,691.
7 Add lines 5 and 6	7	8,008,907.
8 Enter qualifying distributions from Part XII, line 4	8	9,845,447.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter. _____ (attach copy of letter if necessary-see instructions)	1	71,691.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)	2	0.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	3	71,691.
3	Add lines 1 and 2	4	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	5	71,691.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	81,000.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	81,000.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	9,309.
11	Enter the amount of line 10 to be: Credited to 2010 estimated tax ☒ 9,309. Refunded ☐	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X	
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► JULIE J. KIDD Telephone no. ► (212) 534-6620
 Located at ► 1060 PARK AVENUE, NEW YORK, NY ZIP+4 ► 10128

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		☒
Organizations relying on a current notice regarding disaster assistance check here ► ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		☒
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ► _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<u>N/A</u>	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	<u>N/A</u>	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		☒
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		☒

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

SEE STATEMENT 16

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JULIE J. KIDD 1060 PARK AVENUE NEW YORK, NY 10128	PRESIDENT & TREASURER 40.00	290,000.	13,746.	0.
ANN B. SPENCE 1060 PARK AVENUE NEW YORK, NY 10128	TRUSTEE 1.00	0.	0.	0.
DONALD W. HARWARD 1060 PARK AVENUE NEW YORK, NY 10128	TRUSTEE 1.00	0.	0.	0.
CHRISTEN L. KIDD 1060 PARK AVENUE NEW YORK, NY 10128	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SUSAN KASSOUF 1060 PARK AVENUE, NEW YORK, NY 10128	SPECIAL PROJECT DIRECTOR 40.00	151,220.	13,967.	0.
ELIZABETH F. BROCKS 1060 PARK AVENUE, NEW YORK, NY 10128	OFFICE ADMIN 40.00	61,762.	13,967.	0.

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ROCKEFELLER TRUST CO. 30 ROCKEFELLER PLAZA, NEW YORK, NY 10112	CUSTODIAL SERVICES	282,118.
KATHLEEN A. SHEA 1060 PARK AVENUE, NEW YORK, NY 10128	BOOKKEEPING	130,780.
GARY BROCKS 1060 PARK AVENUE, NEW YORK, NY 10128	CONSULTING	110,094.
JEAN M. BROWNE 1060 PARK AVENUE, NEW YORK, NY 10128	DATABASE CONSULTING	102,664.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	0.

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	136,368,442.
b	Average of monthly cash balances	1b	9,110,074.
c	Fair market value of all other assets	1c	53,136.
d	Total (add lines 1a, b, and c)	1d	145,531,652.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	145,531,652.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,182,975.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	143,348,677.
6	Minimum investment return. Enter 5% of line 5	6	7,167,434.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	7,167,434.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	71,691.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	71,691.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	7,095,743.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	7,095,743.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	7,095,743.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	9,845,447.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	9,845,447.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	71,691.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	9,773,756.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				7,095,743.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007	6,727,441.			
e From 2008	14,593,976.			
f Total of lines 3a through e	21,321,417.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 9,845,447.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions) **	9,845,447.			
d Applied to 2009 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	7,095,743.			7,095,743.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	24,071,121.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	24,071,121.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008	14,225,674.			
e Excess from 2009	9,845,447.			

** SEE STATEMENT 13

Form 990-PF (2009)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 15 C/O 1060 PARK AVENUE NEW YORK, NY 10128	NONE	SEE STATEMENT 15	SEE STATEMENT 15	8,362,684.
Total				▶ 3a 8,362,684.
b Approved for future payment SEE STATEMENT 15 C/O 1060 PARK AVENUE NEW YORK, NY 10128	NONE	SEE STATEMENT 15	SEE STATEMENT 15	22077000.
Total				▶ 3b 22077000.

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

Employer identification number

CHRISTIAN A. JOHNSON ENDEAVOR FOUNDATION

13-6147952

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

CHRISTIAN A. JOHNSON ENDEAVOR FOUNDATION

13-6147952

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	CHARLOTTE JOHNSON CHARITABLE LEAD TRUSTS C/O ROCKEFELLER TRUST CO., 30 ROCKEFELLER PLAZA NEW YORK, NY 10112	\$ 68,356.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
2	CHARLOTTE JOHNSON CHARITABLE LEAD TRUSTS C/O ROCKEFELLER TRUST CO., 30 ROCKEFELLER PLAZA NEW YORK, NY 10112	\$ 68,588.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
3	CHARLOTTE JOHNSON CHARITABLE LEAD TRUSTS C/O ROCKEFELLER TRUST CO., 30 ROCKEFELLER PLAZA NEW YORK, NY 10112	\$ 68,739.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
4	CHARLOTTE JOHNSON CHARITABLE LEAD TRUSTS C/O ROCKEFELLER TRUST CO., 30 ROCKEFELLER PLAZA NEW YORK, NY 10112	\$ 67,596.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
5	CHARLOTTE JOHNSON CHARITABLE LEAD TRUSTS C/O ROCKEFELLER TRUST CO., 30 ROCKEFELLER PLAZA NEW YORK, NY 10112	\$ 1,166.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

CHRISTIAN A. JOHNSON ENDEAVOR FOUNDATION

13-6147952

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	5,301 SHARES - CENTRAL SECURITIES CORP.	\$ 68,356.	03/31/09
2	4,502 SHARES - CENTRAL SECURITIES CORP.	\$ 68,588.	07/01/09
3	3,963 SHARES - CENTRAL SECURITIES CORP.	\$ 68,739.	09/30/09
4	3,805 SHARES - CENTRAL SECURITIES CORP.	\$ 67,596.	11/09/09
		\$	

CHRISTIAN A. JOHNSON ENDEAVOR FOUNDATION

13-6147952

PAGE 1 OF 1

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	21,266 SHRS BANK OF AMERICA CORP	P	08/09/94	01/21/09
b	11,500 SHRS GENERAL ELECTRIC	P	02/07/91	02/26/09
c	34,000 SHRS PFIZER, INC.	P	03/18/94	04/16/09
d	6,000 SHRS FORTUNE BRANDS, INC.	P	01/30/02	05/21/09
e	16,000 SHRS WYETH	P	03/11/02	10/16/09
f	THRU CASTLE POINT CAPITAL FUND, LP	P	VARIOUS	VARIOUS
g	THRU CASTLE POINT CAPITAL FUND, LP	P	VARIOUS	VARIOUS
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 184,375.		281,309.	-96,934.
b 101,157.		64,088.	37,069.
c 455,986.		163,880.	292,106.
d 220,592.		225,891.	-5,299.
e 804,036.		197,800.	606,236.
f 9,417.			9,417.
g		14,976.	-14,976.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-96,934.
b			37,069.
c			292,106.
d			-5,299.
e			606,236.
f			9,417.
g			-14,976.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	827,619.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
21,266 SHRS BANK OF AMERICA CORP		08/09/94	01/21/09

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
184,375.	281,309.	0.	0.	-96,934.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
11,500 SHRS GENERAL ELECTRIC		02/07/91	02/26/09

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
101,157.	64,088.	0.	0.	37,069.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
34,000 SHRS PFIZER, INC.		03/18/94	04/16/09

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
455,986.	163,880.	0.	0.	292,106.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
6,000 SHRS FORTUNE BRANDS, INC.	220,592.	225,891.	0.	0.	-5,299.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
16,000 SHRS WYETH	804,036.	197,800.	0.	0.	606,236.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
THRU CASTLE POINT CAPITAL FUND, LP	9,417.	462.	0.	0.	8,955.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
THRU CASTLE POINT CAPITAL FUND, LP	0.	15,964.	0.	0.	-15,964.

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	826,169.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	2
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS AND INTEREST FROM SECURITIES	6,646,144.	0.	6,646,144.
THRU CASTLE POINT CAPITAL FUND, LP	7,574.	0.	7,574.
TOTAL TO FM 990-PF, PART I, LN 4	6,653,718.	0.	6,653,718.

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
THRU CASTLE POINT CAPITAL FUND, LP	-29,173.	-29,173.	
STCG	51.	51.	
REALIZED FOREIGN EXCHANGE GAINS	13,300.	0.	
THRU CASTLE POINT CAPITAL FUND, LP	32.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-15,790.	-29,122.	

FORM 990-PF	LEGAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MILBANK, TWEED, HADLEY & MCCLOY	1,660.	0.		0.
TO FM 990-PF, PG 1, LN 16A	1,660.	0.		0.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
KATHLEEN A. SHEA - BOOKKEEPING	130,780.	52,312.		26,156.
DELOITTE TAX LLP - TAX SERVICES	16,100.	0.		8,050.
MCGLADREY & PULLEN	43,140.	0.		21,570.
TO FORM 990-PF, PG 1, LN 16B	190,020.	52,312.		55,776.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANGELO MARKAKIS - CONSULTING SERVICES	31,505.	0.		0.
GARY BROCKS - CONSULTING SERVICES	110,094.	0.		110,094.
JEAN BROWNE - CONSULTING SERVICES	102,664.	0.		102,664.
YONGSOO HA - CONSULTING SERVICES	25,255.	0.		0.
DAVID TJOKRORAHARDJO, INC. - CONSULTING SERVICES	1,794.	0.		0.
MILLICENT ALLENBY - CONSULTING SERVICES	1,277.	0.		1,277.
PATTI MCGILL PETERSON - CONSULTING SERVICES	30,000.	0.		30,000.
RICHARD SHRIVER - CONSULTING SERVICES	15,000.	0.		15,000.
ROBERT DEGAETANO - CONSULTING SERVICES	7,000.	0.		0.
ROCKEFELLER TRUST COMPANY - CUSTODIAL SERVICES	282,118.	225,694.		28,211.
TO FORM 990-PF, PG 1, LN 16C	606,707.	225,694.		287,246.

FORM 990-PF	TAXES		STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	224,803.	0.		0.
FOREIGN TAXES PAID	2,075.	2,075.		0.
FOREIGN TAXES PAID THRU CASTLE POINT	117.	117.		0.
TO FORM 990-PF, PG 1, LN 18	226,995.	2,192.		0.

FORM 990-PF	OTHER EXPENSES		STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	504.	0.		454.
CHRISTMAS TIPS	9,300.	0.		8,370.
COMPUTER	2,959.	0.		2,663.
CONFERENCE CENTER	228,566.	0.		205,709.
CONSULTANTS PASS THRU EXPENSES	8,350.	0.		7,515.
DUES	195.	0.		176.
EQUIPMENT RENTAL	3,763.	0.		3,387.
FOOD & BEVERAGE	4,018.	0.		3,616.
FURNITURE	134.	0.		121.
MISCELLANEOUS	5,877.	0.		5,289.
OFFICE RENOVATION	192,421.	0.		173,179.
OFFICE SUPPLIES	3,975.	0.		3,578.
POSTAGE	1,496.	0.		1,346.
TRANSPORTATION	231.	0.		208.
TO FORM 990-PF, PG 1, LN 23	461,789.	0.		415,611.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
ALCOA, INC.	112,840.	112,840.
BANK OF AMER CORP.	1,056,735.	1,056,735.
CENTRAL SECURITIES CORP.	139,206,050.	139,206,050.
CHEVRON CORP.	1,581,682.	1,581,682.
DUKE ENERGY CO.	344,200.	344,200.
EXXON MOBIL CORP.	4,486,493.	4,486,493.
GENERAL MILLS, INC.	955,935.	955,935.
GENZYME CORP.	197,510.	197,510.
LABORATORY CORP OF AMERICA HOLDINGS	267,553.	267,553.
MEDTRONIC INC.	879,600.	879,600.
NEWMONT MINING CORP.	256,326.	256,326.
PFIZER, INC.	286,674.	286,674.
PROCTOR AND GAMBLE CO.	1,561,223.	1,561,223.
ROYAL DUTCH SHELL PLC	4,327,920.	4,327,920.
SPECTRA ENERGY CORP.	205,100.	205,100.
3M CO.	363,748.	363,748.
WAL-MART STORES, INC.	534,500.	534,500.
WALGREEN CO.	330,480.	330,480.
ZIMMER HLDGS, INC.	591,100.	591,100.
TOTAL TO FORM 990-PF, PART II, LINE 10B	157,545,669.	157,545,669.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CAJEF REAL ESTATE, GMBH	COST	5,963,632.	5,963,632.
CASTLE POINT CAPITAL FUND	FMV	981,605.	981,605.
TOTAL TO FORM 990-PF, PART II, LINE 13		6,945,237.	6,945,237.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	11
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
CO-OPS	2,890,506.	0.	2,890,506.
IMPROVEMENTS	782,323.	0.	782,323.
NOTE: DEPRECIATION EXPENSE IS NOT RECORDED BY THE FOUNDATION.	0.	0.	0.
TOTAL TO FM 990-PF, PART II, LN 14	3,672,829.	0.	3,672,829.

FORM 990-PF	OTHER ASSETS	STATEMENT	12
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
REFUNDABLE FOREIGN SECURITY TAX	33,696.	53,136.	53,136.
TO FORM 990-PF, PART II, LINE 15	33,696.	53,136.	53,136.

FORM 990-PF

ELECTION UNDER REGULATIONS SECTION
53.4942(A)-3(D)(2) TO TREAT
EXCESS QUALIFYING DISTRIBUTIONS
AS DISTRIBUTIONS OUT OF CORPUS

STATEMENT 13

CHRISTIAN A. JOHNSON ENDEAVOR FOUNDATION HEREBY ELECTS TO TREAT THE
CURRENT YEAR'S QUALIFYING DISTRIBUTIONS IN EXCESS OF THE IMMEDIATELY
PRECEDING YEAR'S UNDISTRIBUTED INCOME AS MADE OUT OF CORPUS.

SIGNED: _____


TRUSTEE

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT 14
	PART XV, LINES 2A THROUGH 2D	

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JULIE J. KIDD
1060 PARK AVENUE
NEW YORK, NY 10128

TELEPHONE NUMBER

(212)534-6620

FORM AND CONTENT OF APPLICATIONS

INITIALLY A WRITTEN FORM W/A BRIEF DESCRIPTION OF THE PROPOSAL. IF REQUESTED, AN INDEPTH PROPOSAL WITH FINANCIAL STATEMENTS.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

SEE ATTACHED GUIDELINES - STATEMENT 14A

CHRISTIAN A.
JOHNSON
ENDEAVOR
FOUNDATION

Guidelines

Christian A. Johnson Endeavor Foundation

A Brief History

Christian A. Johnson (1904-1964) established the Endeavor Foundation in 1952 in order to help others achieve their aspirations and make a contribution to society. He believed that everyone should have the opportunity to acquire a fine education, to experience the inspiration of the arts, and to live in a society committed to personal freedoms and opportunities for all.

Mr. Johnson emigrated to the United States from Sweden at the age of five with his mother, father, and younger brother. The family settled in New York's borough of the Bronx, where Christian's father began to work as a carpenter. After a time, three more children were born and, though resources were limited, Christian's parents placed great emphasis on education, encouraging reading and reflection, and managing to scrape together enough money for violin lessons.

Christian proved to be a gifted violinist and performed at Carnegie Hall at the age of sixteen. His violin career was cut short, however, by the death of his father soon after his debut. Through ingenuity and hard work, Christian managed to support the remaining family of six and to graduate from DeWitt Clinton High School with high honors. Over time, he worked his way through college, earning a CPA degree with distinction from Pace University, while pitching for a time for the Yankee's farm team. Eventually, he became one of the outstanding financiers of his generation, centrally involved in the creation of the Public Utility Holding Company Act of 1939. Known as the "wizard of Wall Street" in the 1940s and 50s, he was asked, though he declined, to join President Eisenhower's Council of Economic Advisors.

Mr. Johnson's early years taught him a set of values that became the cornerstone for the rest of his life: the meaning of family and responsibility to others, the necessity for hard work, the willingness to take risks, and the value of an education. He was also reminded regularly, primarily by his endlessly curious and adventurous mother, of the daily joys of freedom provided to those fortunate enough to live in the United States. The freedom to be who you want to be, to live where and how you wish to live, and to stretch yourself to the limit of your abilities had not been part of his mother's life in Sweden. It was for these freedoms, along with the endlessly stimulating and interesting nature of the "melting pot," that they had come to America.

Despite Mr. Johnson's success in the business world, he always remained modest, reserved, and mindful of the feelings, struggles, and triumphs of others, a gentleman in every way. He believed that the most important key to his professional achievements was the trust that people knew they could place in him. Indeed, it was known that no more than a handshake was necessary to count on a commitment from C.A. Johnson. He treated all people as equals, believing that nobility of spirit was not related to wealth, or so-called success, but could be found everywhere, in all spheres of life.

Under the auspices of the Foundation, Mr. Johnson, quietly and anonymously, sought to help people acquire the necessary tools to make the most of their lives and their talents and to become responsible and productive members of society. Mr. Johnson believed that an individual's education is of paramount importance in the realization of human potential and that exposure to the arts should provide not only inspiration but also encouragement to take risks in attempting to achieve new solutions to old problems. He believed above all in the power of the human spirit to change the world when individual commitments and enthusiasm are undaunted by the prospect of hard work or the risk of failure. Hence, the Foundation continues to regard as its mission the strengthening of educational institutions, the support of artistic endeavors, the encouragement of individual initiative and innovation, and provision of assistance in building new democratic societies.

Mr. Johnson died in 1964 at the age of 59. His widow, Charlotte Jean Johnson, renamed the Foundation in his honor at that time. In keeping with his wishes, it has remained a family-run foundation ever since. Mrs. Johnson guided its giving for many years with inspiration, wisdom, and modesty, and the Foundation is currently managed by their daughter, Julie Johnson Kidd.

Grants Program Guidelines

CHRISTIAN A.

JOHNSON

ENDEAVOR

FOUNDATION

Overview

The Christian A. Johnson Endeavor Foundation is dedicated to the life of the mind and spirit. Since its founding in 1952, the Foundation has focused its attention primarily on the field of education, which nurtures and liberates the best in human imagination and action. The qualities fostered by a liberal education, such as intellectual discipline, questioning, analysis, and inspiration, can help individuals realize their highest aspirations and fullest human potential.

The Foundation devotes most of its funds to projects that make a significant institutional difference in independent liberal arts colleges for it believes that they foster just such values in their core mission. The remainder of the Foundation's funds (dispersed by invitation only) are designated for arts organizations, school enrichment, projects that assist newly independent states in the formerly Soviet-dominated region, civic programs in New York City, and other special endeavors that are related to the Foundation's focus.

To help make the grants process more efficient and effective for the Foundation's grantees and for our small staff, we have set forth below defined areas of interest and a process for submission of letters of inquiry (where appropriate). From among these letters, a small number of institutions are then invited to submit full proposals. The following pages describe the Foundation's areas of interest with regard to liberal arts programs, outline the components of a letter of inquiry, and explain the proposal invitation process and the calendar for submissions and decisions. The Foundation is especially interested in providing challenge grants as a means of securing the support of others for a given institution and project. Please note that the Foundation **does not** award grants to individuals; to neighborhood or community projects; to federal, state, or city agencies or projects, to organizations or institutions controlled by religious groups; to health care or medical research; or to building projects.

The Liberal Arts College Program

committed to the goals
of liberal education

The Foundation is wholeheartedly committed to the goals of liberal education – the development of intellectual curiosity, critical and creative thinking, and empathy for the human condition. We believe that liberal education also fosters ethical behavior and the ability to articulate new frameworks of understanding and to invent new methods of action to achieve them. A liberal education seeks to place students in the stream of history, acquaint them with the methods of science, expose them to the power of the arts, and cultivate their appreciation of the intrinsic value of learning for its own sake. Simultaneously, a liberal education develops in students an awareness of their own and others' individual natures and motivations, and cultivates their ability to solve problems interdisciplinarily. Moreover, a liberal education is transforming in nature because students are provided with the opportunity to become the best they can be as individual citizens and leaders, able to advance community and *international understanding*.

small, private, residential
liberal arts colleges

The Foundation believes that small, private, residential liberal arts colleges provide the best environment for the accomplishment of these ends. They are both philosophically and structurally suited to this endeavor. Philosophically, their attributes include a primary focus on undergraduate teaching, a concern for a general and coherent curriculum, engagement of students inside and outside the classroom, a quest for genuine community, and an emphasis on what it means to be a good person and a just society. Their structures as residential, undergraduate institutions with a commitment to small class size provide the framework in which these principles can flourish.

faculty and administrators
who work closely with
students

Within this environment, students require a faculty that is not only demanding and talented, but also dedicated to working closely with individual students and to building a strong and cohesive community of the institution as a whole. The environment requires faculty and administrators who understand the adolescent development process and who create a campus culture that encourages students to seek out and become confident in the use of their own voices manifest in their writing, speaking, and ethical behavior.

the education of
traditional-age students

The Foundation is especially interested in the education of traditional-age students, because it believes such students are in an elastic, formative stage of development at which a strong foundation for future leadership can be fostered. A liberal arts college is particularly well-suited to creating an environment appropriate for the intellectual, social, and psychological development during these years.

Areas of Interest

areas of interest the
Foundation is most likely to
support (in no priority order)

Curriculum

In an increasingly complex world, the goals of educating for breadth and depth of learning may often be in tension with each other. Educating for citizenship and civic leadership in the context of international interdependence increases the difficulty. Many students and faculty are too often caught between superficial understanding, on the one hand, and a narrow specialization, on the other. For this reason, the Foundation is particularly committed to those endeavors that can increase curricular coherence and that sacrifice neither breadth nor depth in the process. Curricular projects that examine fundamental human questions, promote civic participation and international understanding, and create a community of discourse are particularly important to the Foundation. Integrative methods, such as core curricula, thematic approaches across the disciplines, and team teaching, are some examples that might be considered.

Reading, Writing, and Speaking

The Foundation understands that acquiring imaginative, critical, coherent, and articulate thinking requires intensive and extensive reading, writing, and speaking. In the view of many observers, however, these have diminished in quantity and quality in higher education. The Foundation is interested in supporting projects dedicated to restoring the rigor required and the passion for extensive reading, writing, and speaking in the curriculum. Comprehensive reading and examination requirements, mandatory capstone projects and oral presentations, student research, faculty tutorials, and emphasis on writing and speaking across the curriculum are but a few examples that might be considered.

Interdisciplinary Teaching and Learning

Inexorable advances in knowledge and the complex nature of modern problems increasingly require the crossing of traditional disciplinary boundaries. Neither students nor faculty find it easy to engage in interdisciplinary teaching or learning without significant curricular revision and collegial collaboration. The Foundation is interested in supporting projects that imaginatively overcome these problems. Interdepartmentally developed courses and programs, team teaching across disciplines, reading and writing assignments crossing disciplinary borders, and revision of tenure and promotion guidelines are a few examples that might be considered.

Active Student Learning

The Foundation is interested in supporting projects that significantly increase and improve the ways in which students can be more actively engaged in their studies. The Foundation encourages projects that provide faculty with opportunities to learn and practice forms of pedagogy that support active student learning. Projects that emphasize students' intellectual independence and responsibility such as peer tutorials, theses, research, increased opportunities for writing and speaking, reflective community involvement, internships, and group projects are but a few examples that might be considered.

Faculty Development

Essential for liberal arts education is a passionate, dedicated, and talented faculty that demonstrates a life-long commitment to its own growth and development. The Foundation is committed to supporting projects that help faculty to develop curricula and new strategies for teaching and evaluation, as suggested in the areas of interest above.

Assessment

The Foundation understands assessment to be an integral part of teaching and learning as well as the means of ensuring institutional and organization integrity. Prior grantees and researchers point to appropriate and timely assessment of student learning as a powerful teaching and learning tool for both students and professors. The Foundation is interested in supporting innovative projects that focus on assessment of student learning within courses, programs, and the cumulative results of four years of college.

The Foundation is committed as well to assessment as it relates to its own activities, and will gather data over time and across Foundation grants to assess the efficacy of its own grant making. In this regard, the Foundation expects that each grantee will assess the outcomes of Foundation-sponsored projects and, when appropriate, will share the results with others in higher education.

Project Areas Supported by Foundation Request Only

enhancing the life of
the mind and spirit

Enhancing the life of the mind and spirit can be accomplished in many ways and the Foundation from time to time supports projects, programs, and enterprises such as those that:

- assess the outcomes of liberal arts education across a variety of higher education programs and institutions,
- enhance the prospects for international peace, understanding, and cooperation,
- strengthen democratic institutions and leadership,
- embolden the arts,
- engage in elementary and secondary school reform,
- support New York City civic endeavors,
- promote significant opportunities for citizenship education,
- address significant issues in human growth and development.

Support for such projects is initiated by Foundation invitation only. The Foundation cannot respond to letters of inquiry or uninvited proposals submitted in these categories.

Procedures for submission of letters of inquiry and proposals appear on the back panel of this publication.

Procedures for Submission of Letters of Inquiry and Proposals

Proposals will be considered by invitation only after a letter of inquiry outlining the purpose, scope, and institutional support for the project is reviewed. Those letters of inquiry of most interest to the Foundation will be followed by an invitation to the president of the college to meet with appropriate staff of the Foundation. Such discussion may then lead to an invitation to submit a formal proposal. It will be necessary for any organization receiving grant approval to provide the Foundation with an annual report outlining what was accomplished, what improvements could be made, and the possibilities for sharing the results with others.

Letters of Inquiry

A letter of inquiry should include contextual information such as the demographics of the institution, endowment, current fund-raising in progress, and strategic objectives. This information should be followed by the purpose and nature of the proposed project, with specific focus on the impact on students, how the impact on students will be assessed, and how the project fits within the Foundation's program guidelines as outlined above. The letter should also include how, and the degree to which, faculty, administration, and the Board of Trustees support the project. Finally, a summary budget of no more than one page should be enclosed. The Foundation encourages projects requesting challenge grants.

Letters of inquiry/proposals may be submitted at any time during the year and decisions will be made as appropriate.

Further information may be requested from and all letters of inquiry may be addressed to:

Mrs. Julie J. Kidd, President
Christuan A. Johnson Endeavor Foundation
1060 Park Avenue
New York, NY 10128
Tel: (212) 534-6620
Fax: (212) 410-5909

Part XV Supplementary Information

(continued)

3a Grants Paid During The Year

Recipient City, State	Status of Recipient	Purpose of Grant	Amount
American University - Central Asia Foundation <i>Bishkek, KYRGYZ REPUBLIC</i>	501(c)(3)	Central Asian Studies Curriculum	50,000.00
Ars Nova Chamber Singers Inc. <i>Boulder, CO</i>	501(c)(3)	General Operating Support	500.00
Bates College <i>Lewiston, ME</i>	501(c)(3)	Ann's Fund / The Harward Center	10,000.00
Boys & Girls Harbor, Inc. <i>New York, NY</i>	501(c)(3)	Harbor Literacy Center	50,000.00
Bratislava Institute of Humanism <i>Bratislava, SLOVAKIA</i>	ERR	General Operating Support	350,000.00
Bratislava Institute of Humanism <i>Bratislava, SLOVAKIA</i>	ERR	Campus Land Purchase	769,105.82
Bratislava Institute of Humanism <i>Bratislava, SLOVAKIA</i>	ERR	General Operating Support	927.65
Brown University <i>Providence, RI</i>	501(c)(3)	General Operating Support	10,000.00
Central Park Conservancy <i>New York, NY</i>	501(c)(3)	General Operating Support	25,000.00
Council on Foundations Inc. <i>Arlington, VA</i>	501(c)(3)	General Operating Support	15,000.00
De La Salle Academy <i>New York, NY</i>	501(c)(3)	General Operating Support	20,000.00
ECLA European College of Liberal Arts gGmbH <i>Berlin, GERMANY</i>	501(c)(3)	General Operating Support	3,771,658.02
ECLA European College of Liberal Arts gGmbH <i>Berlin, GERMANY</i>	501(c)(3)	General Operating Support	274,929.88
Education Conservancy <i>Portland, OR</i>	501(c)(3)	General Operating Support & Beyond Rankings	100,000.00
Engelhard Center for the Study & Support of Education <i>Washington, DC</i>	501(c)(3)	Bringing Theory to Practice Project	300,000.00
Exploring the Metropolis <i>New York, NY</i>	501(c)(3)	General Operating Support	17,500.00
Featherstone Center for the Arts, Inc. <i>Oak Bluffs, MA</i>	501(c)(3)	General Operating Support	3,000.00
Forest Hills Volunteer Ambulance Corps <i>Forest Hills, NY</i>	501(c)(3)	General Operating Support	2,500.00
Foundation Center <i>New York, NY</i>	501(c)(3)	General Operating Support & New York Capacity-Building Initiative.	10,000.00
Friends of International Liberal Arts Education <i>New York, NY</i>	501(c)(3)	General Operating Support	17,649.83
Fund for Park Avenue New York Inc. <i>New York, NY</i>	501(c)(3)	Holiday Lighting	750.00
Harvard University <i>Cambridge, MA</i>	501(c)(3)	HPAIED General Operating Support	200,000.00
Harvard University <i>Cambridge, MA</i>	501(c)(3)	Project on Good Work	125,000.00
Heritage University <i>Toppenish, WA</i>	501(c)(3)	Teaching & Research Complex Construction	100,000.00
Institute Artes Liberales Foundation <i>Warsaw, POLAND</i>	501(c)(3)	General Operating Support	525,000.00
Lincoln Center Theater <i>New York, NY</i>	501(c)(3)	Open Stages Program	10,000.00
Middlebury College <i>Middlebury, VT</i>	501(c)(3)	General Operating Support	7,500.00

Part XV Supplementary Information

(continued)

3a Grants Paid During The Year

Recipient City, State	Status of Recipient	Purpose of Grant	Amount
Musikanten, Inc. Clancy, MT	501(c)(3)	General Operating Support	500.00
New York Shakespeare Festival New York, NY	501(c)(3)	Shakespeare in the Park	12,000.00
Orpheon, Inc. New York, NY	501(c)(3)	Conductor's Chair	200,000.00
Orpheon, Inc. New York, NY	501(c)(3)	New Programming Challenge	150,000.00
Orpheon, Inc. New York, NY	501(c)(3)	Conductor's Chair	150,000.00
Philanthropy New York Inc. New York, NY	501(c)(3)	General Operating Support	6,000.00
Phillips Academy Andover, MA	501(c)(3)	General Operating Support	8,000.00
Princeton University Princeton, NJ	501(c)(3)	General Operating Support	5,000.00
Queens Library Foundation Jamaica, NY	501(c)(3)	Book Purchases	3,500.00
Sarah Lawrence College Bronxville, NY	501(c)(3)	CAJEF Chair in Middle Eastern Studies & International Affairs	400,000.00
Schoodic International Sculpture Symposium Steuben, ME	501(c)(3)	General Operating Support	10,000.00
Society for Higher Learning Bratislava I, SLOVAKIA	501(c)(3)	General Operating Support	23,662.23
Spirit Animal Sanctuary, Inc. Boonville, NY	501(c)(3)	General Operating Support	25,000.00
St. Bernard's School, Inc. New York, NY	501(c)(3)	General Operating Support	6,000.00
Swarthmore College Swarthmore, PA	501(c)(3)	Presidential Discretionary Fund	500,000.00
TADA Theater and Dance Alliance New York, NY	501(c)(3)	General Operating Support	15,000.00
Theater for the New City Foundation, Inc. New York, NY	501(c)(3)	Summer Street Theater	7,000.00
Trinity Episcopal Schools Corporation New York, NY	501(c)(3)	General Operating Support	5,000.00
Vermont Folklife Center Middlebury, VT	501(c)(3)	General Operating Support	50,000.00
Washington & Lee University Lexington, VA	501(c)(3)	General Operating Support	12,000.00
Williams College Williamstown, MA	501(c)(3)	General Operating Support	8,000.00
Total			\$8,362,683.43

Part XV Supplementary Information

(continued)

3b Future Payments Through Year 2014

Recipient City, State	Status of Recipient	2010	2011	2012	2013	2014
Bennington College <i>Bennington, VT</i>	501(c)(3)	250,000	250,000	250,000		
Bratislava Institute of Humanism <i>Bratislava, SLOVAKIA</i>	ERR	350,000	350,000	350,000	350,000	
College of Menominee Nation <i>Keshena, WI</i>	501(c)(3)	25,000				
ECLA European College of Liberal Arts gGmbH <i>Berlin, GERMANY</i>	501(c)(3)	4,000,000				
ECLA European College of Liberal Arts gGmbH <i>Berlin, GERMANY</i>	501(c)(3)		4,000,000			
ECLA European College of Liberal Arts gGmbH <i>Berlin, GERMANY</i>	501(c)(3)			4,000,000		
ECLA European College of Liberal Arts gGmbH <i>Berlin, GERMANY</i>	501(c)(3)				4,000,000	
Education Conservancy <i>Portland, OR</i>	501(c)(3)	100,000	100,000			
Engelhard Center for the Study & Support of Education <i>Washington, DC</i>	501(c)(3)	300,000				
Great Lakes Colleges Association <i>Ann Arbor, MI</i>	501(c)(3)	50,000				
Harvard University <i>Cambridge, MA</i>	501(c)(3)	200,000	200,000	200,000		
Harvard University <i>Cambridge, MA</i>	501(c)(3)	125,000				
Institute Artes Liberales Foundation <i>Warsaw, POLAND</i>	501(c)(3)	750,000	750,000	350,000	270,000	225,000
Occidental College <i>Los Angeles, CA</i>	501(c)(3)	58,500	58,500			
Orpheon, Inc. <i>New York, NY</i>	501(c)(3)	150,000				
TADA Theater and Dance Alliance <i>New York, NY</i>	501(c)(3)	15,000				
Total Each Year		\$6,373,500	\$5,708,500	\$5,150,000	\$4,620,000	\$225,000
Grand Total Years 2010 - 2014		\$22,077,000				

CHRISTIAN A. JOHNSON ENDEAVOR FOUNDATION'S EXPENDITURE
RESPONSIBILITY REPORT TO IRS ON FORM 990-PF

Attachment for IRS Form 990-PF
for the Christian A. Johnson Endeavor Foundation
13-6147952
Response to Part VII-B 5(c)

The Christian A. Johnson Endeavor Foundation claims exemption from the tax because it maintained expenditure responsibility and hereby provides the statement required by Treas. Reg. § 53.4945-5(d). N.B., this statement must be provided for each responsibility grant.

1. The grantee is: The Bratislava Institute of Humanism;
2. the date of the grant was Feb 2007 and the amount of the grant was \$1,000,000, payable in installments of \$300,000 in 2007, and \$350,000 in both 2008 and 2009.
3. the exclusively charitable and educational purposes of the grant are the development and establishment of a Western-style, liberal arts college and other related activities;
4. the amounts expended by the grantee towards accomplishment of those purposes in 2009 are \$350,000 (based on the most recent report received from the grantee);
5. to the best of the Christian A. Johnson Endeavor Foundation's knowledge, the grantee has not diverted any portion of the funds (or any income therefrom) from the purpose of the grant;
6. the date of the report received from the grantee was February 2010;
7. the Christian A. Johnson Endeavor Foundation has not undertaken an investigation of the grantee's reports because the Christian A. Johnson Endeavor Foundation has no reason to believe that those reports are of dubious accuracy or reliability; and
8. the grantee has certified to the Christian A. Johnson Endeavor Foundation that it has expended, for charitable and educational purposes, an amount equal to the value of the grant not later than the end of the grantee's first taxable year after the taxable year in which the grant was received, in accordance with the out-of-corpus rules of section 4942(g)(3) of the Code.

CHRISTIAN A. JOHNSON ENDEAVOR FOUNDATION'S EXPENDITURE
RESPONSIBILITY REPORT TO IRS ON FORM 990-PF

Attachment for IRS Form 990-PF
for the Christian A. Johnson Endeavor Foundation
13-6147952
Response to Part VII-B 5(c)

The Christian A. Johnson Endeavor Foundation claims exemption from the tax because it maintained expenditure responsibility and hereby provides the statement required by Treas. Reg. § 53.4945-5(d). N.B., this statement must be provided for each responsibility grant.

1. The grantee is: The Bratislava Institute of Humanism;
2. the date of the grant was March 2009 and the amount of the grant was \$927.65 payable in 2009.
3. the exclusively charitable and educational purposes of the grant are the development and establishment of a Western-style, liberal arts college and other related activities;
4. the amounts expended by the grantee towards accomplishment of those purposes in 2009 are \$927.65 (based on the most recent report received from the grantee);
5. to the best of the Christian A. Johnson Endeavor Foundation's knowledge, the grantee has not diverted any portion of the funds (or any income therefrom) from the purpose of the grant;
6. the date of the report received from the grantee was February 2010;
7. the Christian A. Johnson Endeavor Foundation has not undertaken an investigation of the grantee's reports because the Christian A. Johnson Endeavor Foundation has no reason to believe that those reports are of dubious accuracy or reliability; and
8. the grantee has certified to the Christian A. Johnson Endeavor Foundation that it has expended, for charitable and educational purposes, an amount equal to the value of the grant not later than the end of the grantee's first taxable year after the taxable year in which the grant was received, in accordance with the out-of-corpus rules of section 4942(g)(3) of the Code.

CHRISTIAN A. JOHNSON ENDEAVOR FOUNDATION'S EXPENDITURE
RESPONSIBILITY REPORT TO IRS ON FORM 990-PF

Attachment for IRS Form 990-PF
for the Christian A. Johnson Endeavor Foundation
13-6147952
Response to Part VII-B 5(c)

The Christian A. Johnson Endeavor Foundation claims exemption from the tax because it maintained expenditure responsibility and hereby provides the statement required by Treas. Reg. § 53.4945-5(d). N.B., this statement must be provided for each responsibility grant.

1. The grantee is: The Bratislava Institute of Humanism;
2. the date of the grant was February 2009 and the amount of the grant was \$769,105.82, payable in 2009.
3. the exclusively charitable and educational purposes of the grant are the development and establishment of a Western-style, liberal arts college and other related activities;
4. the amounts expended by the grantee towards accomplishment of those purposes in 2009 are \$768,105.82 (based on the most recent report received from the grantee);
5. to the best of the Christian A. Johnson Endeavor Foundation's knowledge, the grantee has not diverted any portion of this grant (or any income therefrom) from the purpose of the grant;
6. the date of the report received from the grantee was February 2010;
7. the Christian A. Johnson Endeavor Foundation has not undertaken an investigation of the grantee's reports because the Christian A. Johnson Endeavor Foundation has no reason to believe that those reports are of dubious accuracy or reliability; and
8. the grantee has certified to the Christian A. Johnson Endeavor Foundation that it has expended, for charitable and educational purposes, an amount equal to the value of the grant not later than the end of the grantee's first taxable year after the taxable year in which the grant was received, in accordance with the out-of-corpus rules of section 4942(g)(3) of the Code.

CHRISTIAN A. JOHNSON ENDEAVOR FOUNDATION
EIN: 6147952
FOR YEAR ENDED 12/31/2009

FORM 990-PF, PAGE 5, PART VII-A, LINE 11

CONTROLLED ENTITY:

CAJEF REAL ESTATE GMBH
C/O THORSTEN MANSKE
KURFURSTENSTRASSE 48-49
BERLIN, GERMANY

EMPLOYER IDENTIFICATION #: N/A

THERE WERE NO TRANSFERS TO OR FROM
THE ENTITY DURING YEAR ENDED 12/31/2009