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Return of Private Foundation

OMB No 1545-0052

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label Otherwise, print or type See Specific Instructions	Name of foundation The Rosenthal Family Foundation (f/k/a The Richard and Hinda Rosenthal Fdn.)		A Employer identification number 13-6104817	
	Number and street (or P O box number if mail is not delivered to street address) 2777 Summer Street		Room/suite 208B	B Telephone number 203-325-9900
	City or town, state, and ZIP code STAMFORD, CT 06905		C If exemption application is pending, check here ☐	
	H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 24,470,290. (Part I, column (d) must be on cash basis)		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
				F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	514,150.		N/A	
2	Check ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	44.	44.		Statement 1
4	Dividends and interest from securities	777,396.	777,396.		Statement 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-583,790.			
b	Gross sales price for all assets on line 6a	10,906,636.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	2,566.	2,557.		Statement 3
12	Total. Add lines 1 through 11	710,366.	779,997.		
13	Compensation of officers, directors, trustees, etc.	60,000.	3,000.		57,000.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees Stmt 4	60,000.	20,000.		40,000.
c	Other professional fees Stmt 5	299,178.	219,178.		80,000.
17	Interest				
18	Taxes Stmt 6	6,795.	6,795.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	19,000.	0.		19,000.
22	Printing and publications	495.	0.		495.
23	Other expenses Stmt 7	887.	0.		887.
24	Total operating and administrative expenses. Add lines 13 through 23	446,355.	248,973.		197,382.
25	Contributions, gifts, grants paid	822,262.			822,262.
26	Total expenses and disbursements. Add lines 24 and 25	1,268,617.	248,973.		1,019,644.
27	Subtract line 26 from line 12.	-558,251.			
a	Excess of revenue over expenses and disbursements		531,024.		
b	Net investment income (if negative, enter -0-)				
c	Adjusted net income (if negative, enter -0-)			N/A	

18

The Rosenthal Family Foundation (f/k/a
The Richard and Hinda Rosenthal Fdn.)

13-6104817

Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		941,829.	1,244,878.	1,244,878.
	3	Accounts receivable ▶ 21,799.				
		Less: allowance for doubtful accounts ▶		7,196.	21,799.	21,799.
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶ 0.				
		Less: allowance for doubtful accounts ▶ 0.				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations Stmt 8	5,625,083.	3,776,268.	3,820,289.	
	b	Investments - corporate stock Stmt 9	4,644,043.	3,763,046.	2,746,164.	
	c	Investments - corporate bonds Stmt 10	6,092,838.	5,648,995.	5,808,982.	
11	Investments - land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other Stmt 11	8,368,607.	10,651,637.	10,828,178.		
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers)	25,679,596.	25,106,623.	24,470,290.		
Liabilities	17	Accounts payable and accrued expenses	159,442.	144,720.		
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)	159,442.	144,720.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	765,328.	765,328.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29	Retained earnings, accumulated income, endowment, or other funds	24,754,826.	24,196,575.		
30	Total net assets or fund balances	25,520,154.	24,961,903.			
31	Total liabilities and net assets/fund balances	25,679,596.	25,106,623.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	25,520,154.
2	Enter amount from Part I, line 27a	2	-558,251.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	24,961,903.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	24,961,903.

Form 990-PF (2009)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b See Attached Statements			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 10,906,636.		11,342,421.	-583,790.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			-583,790.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-583,790.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	959,173.	24,184,267.	.039661
2007	1,083,286.	23,790,351.	.045535
2006	975,654.	18,282,872.	.053364
2005	920,572.	17,879,482.	.051488
2004	990,190.	16,036,630.	.061746

2 Total of line 1, column (d)	2	.251794
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.050359
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	24,520,952.
5 Multiply line 4 by line 3	5	1,234,851.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,310.
7 Add lines 5 and 6	7	1,240,161.
8 Enter qualifying distributions from Part XII, line 4	8	1,019,644.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	10,620.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		3	10,620.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	10,620.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	13,041.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	13,041.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,421.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax	11	0.	
			Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses Stmt 12	X	

Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>None</u>	13	X	
14	The books are in care of ► <u>ASSETS ADMIN & MANAGEMENT INC</u> Telephone no. ► <u>203 325-9900</u> Located at ► <u>2777 Summer Street Suite 208B, STAMFORD, CT</u> ZIP+4 ► <u>06905</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ► _____, _____, _____	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

▶ ☐**5b****c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b****X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 13		60,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000**0**

Form 990-PF (2009)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors
(continued)

3
Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ASSETS ADMIN. & MANAGEMENT, INC. - 2777 SUMMER STREET, SUITE 208B, STAMFORD, CT 06905	ASSET MANAGEMENT, ACCOUNTING,	220,000.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A
Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B
Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	24,287,895.
b	Average of monthly cash balances	1b	606,473.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	24,894,368.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	24,894,368.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	373,416.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	24,520,952.
6	Minimum investment return Enter 5% of line 5	6	1,226,048.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,226,048.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	10,620.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	10,620.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	1,215,428.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,215,428.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,215,428.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,019,644.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,019,644.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	1,019,644.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,215,428.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	84,053.			
b From 2005	57,024.			
c From 2006	89,608.			
d From 2007				
e From 2008				
f Total of lines 3a through e	230,685.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 1,019,644.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				1,019,644.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	195,784.			195,784.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	34,901.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010 Subtract lines 7 and 8 from line 6a	34,901.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006	34,901.			
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				Prior 3 years	(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006		
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed						
b 85% of line 2a						
c Qualifying distributions from Part XII, line 4 for each year listed						
d Amounts included in line 2c not used directly for active conduct of exempt activities						
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c						
3 Complete 3a, b, or c for the alternative test relied upon:						
a "Assets" alternative test - enter:						
(1) Value of all assets						
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)						
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed						
c "Support" alternative test - enter:						
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)						
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)						
(3) Largest amount of support from an exempt organization						
(4) Gross investment income						

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

**NANCY STEPHENS ROSENTHAL, THE ROSENTHAL FAMILY FOUNDATION, 203-325-9900
2777 SUMMER STREET, SUITE 208B, STAMFORD, CT 06905**

b The form in which applications should be submitted and information and materials they should include:

NO SPECIFIC FORM

c Any submission deadlines:

NO SPECIFIC DEADLINE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NO SPECIFIC RESTRICTIONS

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Attached Statement	N/A	Public charity	Unrestricted Grant	822,262.
Total				▶ 3a 822,262.
b Approved for future payment None				
Total				▶ 3b 0.

13-6104817 Page 13

[illegible]

☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Form **990-PF** (2009)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

The Rosenthal Family Foundation (f/k/a
The Richard and Hinda Rosenthal Fdn.)

Employer identification number

13-6104817

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

The Rosenthal Family Foundation (f/k/a
The Richard and Hinda Rosenthal Fdn.)

Employer identification number

13-6104817

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Hinda G. Rosenthal Charitable Lead Annuity Trust 1 C/O AA&M, Inc., 2777 Summer Street, Suite 208B, Stamford, CT 06905	\$ 8,150.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	Hinda G. Rosenthal Charitable Lead Annuity Trust 2 C/O AA&M, Inc., 2777 Summer Street, Suite 208B, Stamford, CT 06905	\$ 506,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	THROUGH THE NORTHERN TRUST COMPANY	P	Various	Various
b	THROUGH THE NORTHERN TRUST COMPANY	P	Various	Various
c	THROUGH THE NORTHERN TRUST COMPANY-BRANDES ACCOUN	P	Various	Various
d	THROUGH THE NORTHERN TRUST COMPANY-BRANDES ACCOUN	P	Various	Various
e	THROUGH THE NORTHERN TRUST COMPANY-HS MANAGEMENT	P	Various	Various
f	THROUGH THE NORTHERN TRUST COMPANY-HS MANAGEMENT	P	Various	Various
g	THROUGH THE NORTHERN TRUST COMPANY-COMMON TRUST F	P	Various	Various
h	THROUGH THE NORTHERN TRUST COMPANY-COMMON TRUST F	P	Various	Various
i	THROUGH BERNSTEIN EMERGING MARKETS	P	Various	Various
j	THROUGH CERBERUS ASIA PARTNERS LP	P	Various	Various
k	THROUGH JUBILEE TAX EXEMPT PARTNERS LP	P	Various	Various
l	THROUGH JUBILEE TAX EXEMPT PARTNERS LP	P	Various	Various
m	THROUGH TECHNOLOGY CROSSOVER VENTURES	P	Various	Various
n	THROUGH BENSON TAX ADVANTAGED INVESTORS LLC	P	Various	Various
o	THROUGH BENSON TAX ADVANTAGED INVESTORS LLC	P	Various	Various

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,651,250.		3,642,651.	8,599.
b 5,152,773.		5,009,897.	142,876.
c 8,050.		1,019.	7,031.
d 252,208.		415,296.	-163,088.
e 915,871.		827,519.	88,352.
f 309,912.		244,943.	64,969.
g			-1,271.
h			-88,789.
i 611,233.		1,198,435.	-587,202.
j			3,307.
k			-30,636.
l			10,537.
m			-3,817.
n			897.
o			-40,482.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			8,599.
b			142,876.
c			7,031.
d			-163,088.
e			88,352.
f			64,969.
g			-1,271.
h			-88,789.
i			-587,202.
j			3,307.
k			-30,636.
l			10,537.
m			-3,817.
n			897.
o			-40,482.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 138 shares NETFLIX INC		P	08/25/06	02/06/09
b FLAG PRIVATE EQUITY III, LP		P	Various	Various
c FLAG PRIVATE EQUITY III, LP		P	Various	Various
d FLAG VENTURE PARTNERS VI, LP		P	Various	Various
e FLAG VENTURE PARTNERS VI, LP		P	Various	Various
f NEXT GENERATION PARTNERS V, LP		P	Various	Various
g NEXT GENERATION PARTNERS V, LP		P	Various	Various
h TIFF PARTNERS II, LLC		P	Various	Various
i TIFF PARTNERS II, LLC		P	Various	Various
j PERRY PARTNERS INTERNATIONAL		P	Various	Various
k Capital Gains Dividends				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,975.		2,661.	2,314.
b			444.
c			-1,583.
d			800.
e			3,659.
f			-519.
g			-5,596.
h			76.
i			2,377.
j			2,591.
k 364.			364.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			2,314.
b			444.
c			-1,583.
d			800.
e			3,659.
f			-519.
g			-5,596.
h			76.
i			2,377.
j			2,591.
k			364.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-583,790.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A



Northern Trust

Capital Gains

01 JAN 09 - 31 DEC 09

Account Number
Account NameRFD01
ROSENTHAL FDN -
BRANDES

Page 1 of 14

Trade Date	Security Description Long	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
Capital Change Receipts/Disbursements										
Common Stock										
11/16/2009	#REORG/BRASIL TELECOM	-286 00		17,691 52	-18,531 18	0 00	-839 66	0 00	0 00	-839 66
02/17/2010	PARTICIPACOES S A	14 30								
	SPONSORED ADR STOCK									
	MERGER TO BRASIL TE	-176 00		10,887 09	-12,332 62	0 00	-1,445 53	0 00	0 00	-1,445 53
	3/14/2000				-18,531 18	0 00	-839 66	0 00	0 00	-839 66
	4/25/2001	-110 00		6,804 43	-6,198 56	0 00	605 87	0 00	0 00	605 87
09/09/2009	TYCO ELECTRONICS LTD F	0 00		219 52	-219 52	0 00	0 00	0 00	0 00	0 00
09/21/2009		14 12								
	2/14/2006	0 00		23 60	-23 60	0 00	0 00	0 00	0 00	0 00
					-219 52	0 00	0 00	0 00	0 00	0 00
	2/16/2006			40 72	-40 72	0 00	0 00	0 00	0 00	0 00
					-219 52	0 00	0 00	0 00	0 00	0 00
	7/27/2007			62 08	-62 08	0 00	0 00	0 00	0 00	0 00
					-219 52	0 00	0 00	0 00	0 00	0 00
	7/30/2007			81 92	-81 92	0 00	0 00	0 00	0 00	0 00
					-219 52	0 00	0 00	0 00	0 00	0 00
	7/31/2007			11 20	-11 20	0 00	0 00	0 00	0 00	0 00
12/11/2009	TYCO ELECTRONICS LTD F	0 00		219 52	-219 52	0 00	0 00	0 00	0 00	0 00
12/23/2009		28 00								
	2/14/2006	0 00		23 60	-23 60	0 00	0 00	0 00	0 00	0 00
					-219 52	0 00	0 00	0 00	0 00	0 00
	2/16/2006			40 72	-40 72	0 00	0 00	0 00	0 00	0 00

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Northern Trust

Capital Gains
01 JAN 09 - 31 DEC 09

Account Number
Account Name

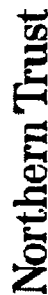
RFD01
ROSENTHAL FDN -
BRANDES

Page 2 of 14

Trade Date Security Description Long

Settlement Date	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain / Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
12/11/2009	TYCO ELECTRONICS LTD F	0.00	0.00	219.52	-219.52	0.00	0.00	0.00	0.00	0.00
12/23/2009		28.00	28.00							
7/27/2007		0.00	0.00	62.08	-62.08	0.00	0.00	0.00	0.00	0.00
7/30/2007										
				81.92	-81.92	0.00	0.00	0.00	0.00	0.00
7/31/2007										
				11.20	-11.20	0.00	0.00	0.00	0.00	0.00
				18,130.56	-18,970.22	0.00	-839.66	0.00	0.00	-839.66
Rights/Warrants										
04/06/2009	DUMMY RIGHTS LINE	-16.00		0.84	0.00	0.00	0.84	0.00	0.00	0.84
04/06/2009		9.57								
4/25/2001		-16.00		0.84	0.00	0.00	0.84	0.00	0.00	0.84
05/08/2009	DUMMY RIGHTS LINE FOR WOLSELEY PLC	-2,890.00		6,042.70	0.00	6,042.70	0.00	0.00	6,042.70	0.00
05/11/2009		28.00								
5/20/2008		-2,890.00		6,042.70	0.00	6,042.70	0.00	0.00	6,042.70	0.00
01/05/2009	TELEMIG CELULAR PARTICIPACOES S A RIGHT	-16.00		0.66	0.00	0.00	0.66	0.00	0.00	0.66
01/06/2009		12.66								
4/25/2001		-16.00		0.66	0.00	0.00	0.66	0.00	0.00	0.66
05/14/2009	TIM PARTICIPACOES SA	-105.00		0.17	0.00	0.00	0.17	0.00	0.00	0.17
05/14/2009	DUMMY RIGHTS LINE	28.00								
4/25/2001		-55.00		0.09	0.00	0.00	0.09	0.00	0.00	0.09
4/25/2001										
4/25/2001		-50.00		0.08	0.00	0.00	0.08	0.00	0.00	0.08
04/03/2009	VIVO PARTICIPACOES S A	-142.00		2.62	0.00	0.00	2.62	0.00	0.00	2.62
04/06/2009		14.30								
4/25/2001		-55.00		1.01	0.00	0.00	1.01	0.00	0.00	1.01

^ Complete cost information not available.
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Capital Gains

**RFD01
ROSENTHAL FDN -
BRANDES**

Page 3 of 14

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Northern Trust

Capital Gains

01 JAN 09 - 31 DEC 09

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 4 of 14

Trade Date	Security Description Long	Settlement Date	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
06/05/2009	Foreign Exchange USD			0.00	0.00	1.20	1.20	0.00	0.00	0.00	0.00	0.00
06/08/2009				12.66								
08/19/2009	Foreign Exchange USD			0.00	0.00	9,020.66	9,020.66	0.00	0.00	0.00	0.00	0.00
08/24/2009				14.12								
Cash						65,912.52	65,902.88	0.00	0.00	9.64	9.64	0.00
Total Foreign Exchange Buy						65,912.52	65,902.88	0.00	0.00	9.64	9.64	0.00
Foreign Exchange Sale												
Cash												
06/05/2009	Foreign Exchange EUR			0.00	0.00	-1.21	-1.20	0.00	0.00	-0.01	-0.01	0.00
06/08/2009				12.66								
08/19/2009	Foreign Exchange GBP			0.00	0.00	-8,991.79	-9,020.66	0.00	0.00	28.87	28.87	0.00
08/24/2009				14.12								
06/25/2009	Foreign Exchange USD			0.00	0.00	-9,987.33	-9,987.33	0.00	0.00	0.00	0.00	0.00
06/30/2009				5.44								
06/26/2009	Foreign Exchange USD			0.00	0.00	-67.89	-87.89	0.00	0.00	0.00	0.00	0.00
06/30/2009				14.30								
07/08/2009	Foreign Exchange USD			0.00	0.00	-7,957.96	-7,957.96	0.00	0.00	0.00	0.00	0.00
07/13/2009				14.12								
07/28/2009	Foreign Exchange USD			0.00	0.00	-11,990.03	-11,990.03	0.00	0.00	0.00	0.00	0.00
07/31/2009				12.66								
10/23/2009	Foreign Exchange USD			0.00	0.00	-7,470.52	-7,470.52	0.00	0.00	0.00	0.00	0.00
10/28/2009				2.45								
10/26/2009	Foreign Exchange USD			0.00	0.00	-8,793.84	-8,793.84	0.00	0.00	0.00	0.00	0.00
10/29/2009				12.66								
12/04/2009	Foreign Exchange USD			0.00	0.00	-10,613.45	-10,613.45	0.00	0.00	0.00	0.00	0.00
12/09/2009				18.00								
Cash						-65,874.02	-65,902.88	0.00	0.00	28.86	28.86	0.00
Total Foreign Exchange Sale						-65,874.02	-65,902.88	0.00	0.00	28.86	28.86	0.00

^ Complete cost information not available

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Northern Trust

Capital Gains
01 JAN 09 - 31 DEC 09

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 5 of 14

Trade Date	Security Description Long	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
Settlement Date	Acquisition Date									
Gain/Loss on Cash Disbursements										
Cash										
06/08/2009	CURRENCY EURO	-0.86		1.21	-1.23	0.00	0.00	-0.02	-0.02	0.00
06/08/2009		35.14								
08/04/2009	CURRENCY EURO	-5.70		8.22	-8.01	0.00	0.00	0.21	0.21	0.00
08/04/2009		14.12								
10/28/2009	CURRENCY EURO	4,982.34		-7,384.08	7,477.25	0.00	0.00	93.17	93.17	0.00
10/28/2009		0.00								
10/29/2009	CURRENCY EURO	5,917.79		-8,736.73	8,887.34	0.00	0.00	150.61	150.61	0.00
10/29/2009		18.00								
06/30/2009	CURRENCY U K STERLING	6,101.74		-10,097.46	10,061.16	0.00	0.00	-36.30	-36.30	0.00
06/30/2009		0.00								
07/13/2009	CURRENCY U K STERLING	4,963.80		-8,043.09	8,034.16	0.00	0.00	-8.93	-8.93	0.00
07/13/2009		12.66								
07/31/2009	CURRENCY U K STERLING	7,294.09		-12,028.68	12,031.97	0.00	0.00	3.29	3.29	0.00
07/31/2009		28.00								
08/24/2009	CURRENCY U K STERLING	-5,446.60		8,991.79	-9,001.60	0.00	0.00	-9.81	-9.81	0.00
08/24/2009		5.72								
12/10/2009	CURRENCY U K STERLING	-6,481.37		10,712.95	-10,533.00	0.00	0.00	179.95	179.95	0.00
12/10/2009		1.78								
Cash				-26,575.87	26,948.04	0.00	0.00	372.17	372.17	0.00
Total Gain/Loss on Cash Disbursements				-26,575.87	26,948.04	0.00	0.00	372.17	372.17	0.00
Sales										
Common Stock										
04/27/2009	#REORGHYPO REAL	-240.00		428.02	-1,675.62	0.00	-1,247.60	0.00	0.00	-1,247.60
04/30/2009	ESTATE HLDG AG	1.78								
	SPONSORED ADR-ADR									
	TERMINATION EFF 06									
	10/15/2001	-1.00		1.79	-8.97	0.00	-7.18	0.00	0.00	-7.18

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Northern Trust

Capital Gains

01 JAN 09 - 31 DEC 09

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 6 of 14

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
04/27/2009	04/30/2009	#REORG/HYPO REAL ESTATE HLDG AG SPONSORED ADR-ADR TERMINATION EFF 06	9/25/2002	-240.00 178	-27.00	428.02 48.15	-1,675.62 -314.04	0.00 0.00	-1,247.60 -265.89	0.00 0.00	0.00 0.00	-1,247.60 -265.89
06/29/2009	07/02/2009	#REORG/THOMSON NAME CHANGE TECHNICOLOR 2057890 EFF 2/3/10	3/24/2003	-212.00 0.90	-212.00	378.08 2,421.29	-1,352.61 -42,730.19	0.00 0.00	-974.53 -40,308.90	0.00 0.00	0.00 0.00	-974.53 -40,308.90
07/10/2006			7/10/2006	-1,050.00		941.61	-17,008.01	0.00	-16,066.40	0.00	0.00	-16,066.40
7/14/2006			7/14/2006	-1,650.00		1,479.68	-25,722.18	0.00	-24,242.50	0.00	0.00	-24,242.50
04/06/2009	04/09/2009	ADR ASTRAZENECA PLC SPONSORED ADR UNITEDKINGDOM	5/9/2007	-270.00 35.14	-270.00	9,488.02	-14,576.92	0.00	-5,088.90	0.00	0.00	-5,088.90
07/07/2009	07/10/2009	ADR ASTRAZENECA PLC SPONSORED ADR UNITEDKINGDOM	5/9/2007	-330.00 43.54	-330.00	14,367.07	-17,816.24	0.00	-3,449.17	0.00	0.00	-3,449.17
12/08/2009	02/17/2010	ADR BRASIL TELECOM S A SPONSORED ADR REPSTG COM	3/14/2000	-0.97 14.12	-0.97	14.80	-16.22	-1.42	0.00	0.00	-1.42	0.00
4/25/2001			4/25/2001	0.00		0.00	0.00	0.00	0.00	0.00	0.00	0.00
11/16/2009			11/16/2009	-0.97		14.80	-16.22	-1.42	0.00	0.00	-1.42	0.00
12/08/2009	02/17/2010	ADR BRASIL TELECOM S A SPONSORED ADR REPSTG PFD SHS		-0.58 0.78	-0.58	17.10	-18.16	-1.06	0.00	0.00	-1.06	0.00

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Northern Trust

Capital Gains

01 JAN 09 - 31 DEC 09

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 7 of 14

Trade Date	Security Description Long	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
Settlement Date	Acquisition Date									
	3/14/2000		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
					-18.16	-1.06	0.00	0.00	-1.06	0.00
	4/25/2001			0.00	0.00	0.00	0.00	0.00	0.00	0.00
					-18.16	-1.06	0.00	0.00	-1.06	0.00
	11/16/2009		-0.58	17.10	-18.16	-1.06	0.00	0.00	-1.06	0.00
04/29/2009	ADR ERICSSON L M TEL CO	-720.00		6,866.82	-10,604.81	0.00	-3,737.99	0.00	0.00	-3,737.99
05/04/2009	ADR CL B SEK 10 NEW ERICSSON L M TEL CO ADR	9.57 -720.00			-10,604.81	0.00	-3,737.99	0.00	0.00	-3,737.99
07/21/2009	ADR ERICSSON L M TEL CO	-770.00		7,811.44	-11,341.25	0.00	-3,529.81	0.00	0.00	-3,529.81
07/24/2009	ADR CL B SEK 10 NEW ERICSSON L M TEL CO ADR	10.18 -770.00			-11,341.25	0.00	-3,529.81	0.00	0.00	-3,529.81
08/18/2009	ADR FRANCE TELECOM	-0.85		19.06	-23.23	0.00	-4.17	0.00	0.00	-4.17
08/18/2009		12.68 -0.85			-23.23	0.00	-4.17	0.00	0.00	-4.17
04/17/2009	ADR GLAXOSMITHKLINE PLC	-530.00		16,307.42	-29,239.62	0.00	-12,932.20	0.00	0.00	-12,932.20
04/22/2009	SPONSORED ADR	30.77 -210.00			-11,263.69	0.00	-4,802.26	0.00	0.00	-4,802.26
	12/1/2006				-29,239.62	0.00	-12,932.20	0.00	0.00	-12,932.20
	2/28/2007		-290.00	8,922.93	-16,398.37	0.00	-7,475.44	0.00	0.00	-7,475.44
					-29,239.62	0.00	-12,932.20	0.00	0.00	-12,932.20
	7/25/2007		-30.00	923.06	-1,577.56	0.00	-654.50	0.00	0.00	-654.50
10/19/2009	ADR HSBC HLDGS PLC	-180.00		10,470.26	-16,274.07	0.00	-5,803.81	0.00	0.00	-5,803.81
10/22/2009	SPONSORED ADR NEW	58.17 -176.32			-15,929.76	0.00	-5,673.56	0.00	0.00	-5,673.56
	1/12/2007			10,256.20	-16,274.07	0.00	-5,803.81	0.00	0.00	-5,803.81

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Northern Trust

Capital Gains
01 JAN 09 - 31 DEC 09

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 8 of 14

Trade Date	Security Description Long	Settlement Date	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
			7/5/2007		-3.68	214.06	-344.31	0.00	-130.25	0.00	0.00	-130.25
11/09/2009	ADR HSBC HLDGS PLC			-250.00		14,426.76	-22,454.91	0.00	-8,028.15	0.00	0.00	-8,028.15
11/13/2009	SPONSORED ADR NEW			57.71								
			1/12/2007		-52.71	3,041.74	-4,762.13	0.00	-1,720.39	0.00	0.00	-1,720.39
							-22,454.91	0.00	-8,028.15	0.00	0.00	-8,028.15
			2/9/2007		-150.00	8,656.05	-13,509.09	0.00	-4,853.04	0.00	0.00	-4,853.04
							-22,454.91	0.00	-8,028.15	0.00	0.00	-8,028.15
			2/27/2007		-29.54	1,704.67	-2,606.59	0.00	-901.92	0.00	0.00	-901.92
							-22,454.91	0.00	-8,028.15	0.00	0.00	-8,028.15
			5/10/2007		-11.97	690.75	-1,062.00	0.00	-371.25	0.00	0.00	-371.25
							-22,454.91	0.00	-8,028.15	0.00	0.00	-8,028.15
			10/4/2007		-5.78	333.55	-515.10	0.00	-181.55	0.00	0.00	-181.55
11/16/2009	ADR HSBC HLDGS PLC			-463.00		29,700.13	-22,489.92	0.00	7,210.21	0.00	0.00	7,210.21
11/19/2009	SPONSORED ADR NEW			64.15								
			1/12/2007		-95.10	6,100.13	-1,758.09	0.00	4,342.04	0.00	0.00	4,342.04
							-22,489.92	0.00	7,210.21	0.00	0.00	7,210.21
			2/9/2007		-62.28	3,995.22	-1,151.45	0.00	2,843.77	0.00	0.00	2,843.77
							-22,489.92	0.00	7,210.21	0.00	0.00	7,210.21
			2/27/2007		-87.20	5,593.31	-1,612.02	0.00	3,981.29	0.00	0.00	3,981.29
							-22,489.92	0.00	7,210.21	0.00	0.00	7,210.21
			2/27/2007		-180.46	11,575.99	-15,923.68	0.00	-4,347.69	0.00	0.00	-4,347.69
							-22,489.92	0.00	7,210.21	0.00	0.00	7,210.21
			5/10/2007		-4.97	318.87	-91.89	0.00	226.98	0.00	0.00	226.98

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Northern Trust

Capital Gains
01 JAN 09 - 31 DEC 09

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 9 of 14

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
	11/16/2009	ADR HSBC HLDGS PLC		-463 00		29,700 13	-22,489 92	0 00	7,210 21	0 00	0 00	7,210 21
	11/19/2009	SPONSORED ADR NEW		64 15								
	7/5/2007			-1 53		97 95	-28 25	0 00	69 70	0 00	0 00	69 70
	10/4/2007			-2 40		154 02	-44 38	0 00	109 64	0 00	0 00	109 64
	2/13/2008			-2 53		162 16	-46 74	0 00	115 42	0 00	0 00	115 42
	2/13/2008			-6 09		390 66	-519 35	0 00	-128 69	0 00	0 00	-128 69
	5/8/2008			-6 00		384 89	-110 92	0 00	273 97	0 00	0 00	273 97
	5/8/2008			-14 45		926 93	-1,203 15	0 00	-276 22	0 00	0 00	-276 22
	10/01/2009	ADR INFINEON TECHNOLOGIES AG		-2,280 00		12,413 14	-17,235 83	0 00	-4,822 69	0 00	0 00	-4,822 69
	10/06/2009	SPONSORED ADR ADR STK		5 44								
	1/20/2006	ISIN# US45662N1037		-650 00		3,538 83	-6,207 50	0 00	-2,668 67	0 00	0 00	-2,668 67
	1/20/2006			-287 98		1,567 84	-885 10	0 00	682 74	0 00	0 00	682 74
	1/23/2006			-412 03		2,243 21	-1,268 38	0 00	976 83	0 00	0 00	976 83
	1/23/2006			-930 00		5,063 26	-8,876 85	0 00	-3,813 59	0 00	0 00	-3,813 59

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Northern Trust

Capital Gains

01 JAN 09 - 31 DEC 09

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 10 of 14

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
05/05/2009		ADR KINGFISHER PLC		-1,450 00		8,291 68	-13,022 02	0 00	-4,730 34	0 00	0 00	-4,730 34
05/08/2009		SPONSORED ADR PAR 15 5/7 PENCE	7/11/2007	5 72		8,291 68	-13,022 02	0 00	-4,730 34	0 00	0 00	-4,730 34
07/22/2009		ADR KINGFISHER PLC		-2,430 00		16,281 80	-19,612 64	0 00	-3,330 84	0 00	0 00	-3,330 84
07/27/2009		SPONSORED ADR PAR 15 5/7 PENCE	7/11/2007	6 70		3,551 17	-4,759 77	0 00	-1,208 60	0 00	0 00	-1,208 60
				-530 00			-19,612 64	0 00	-3,330 84	0 00	0 00	-3,330 84
			9/14/2007	-1,900 00		12,730 63	-14,852 87	0 00	-2,122 24	0 00	0 00	-2,122 24
04/08/2009		ADR KT CORP SPONSORED		-690 00		9,718 94	-15,865 75	0 00	-6,146 81	0 00	0 00	-6,146 81
04/14/2009		ADR		14 12		422 56	-597 77	0 00	-175 21	0 00	0 00	-175 21
			9/18/2003	-30 00			-15,865 75	0 00	-6,146 81	0 00	0 00	-6,146 81
			3/6/2007	-660 00		9,296 38	-15,267 98	0 00	-5,971 60	0 00	0 00	-5,971 60
08/10/2009		ADR MITSUBISHI UFJ FINL		-2,220 00		13,926 37	-26,362 76	0 00	-12,436 39	0 00	0 00	-12,436 39
08/13/2009		GROUP INC SPONSORED		6 27		7,339 57	-13,999 64	0 00	-6,660 07	0 00	0 00	-6,660 07
		ADR	11/13/2006	-1,170 00			-26,362 76	0 00	-12,436 39	0 00	0 00	-12,436 39
			11/21/2006	-1,050 00		6,586 80	-12,363 12	0 00	-5,776 32	0 00	0 00	-5,776 32
02/11/2009		ADR SANOFI-AVENTIS		-340 00		10,318 94	-15,130 00	0 00	-4,811 06	0 00	0 00	-4,811 06
02/17/2009		SPONSORED ADR		30 39		10,318 94	-15,130 00	0 00	-4,811 06	0 00	0 00	-4,811 06
			10/5/2006	-340 00			-11,029 80	0 00	106 35	0 00	0 00	106 35
10/23/2009		ADR TELECOM ARGENTINA S		-820 00		11,136 15	-11,029 80	0 00	106 35	0 00	0 00	106 35
10/28/2009		A SPONSORED ADR REPSTG		18 00		11,136 15	-11,029 80	0 00	106 35	0 00	0 00	106 35
		CL B SHS	11/8/2000	-620 00			-3,002 93	0 00	5,458 21	0 00	0 00	5,458 21
06/19/2009		ADR TELMEX		-670 00		8,461 14		0 00	0 00	0 00	0 00	0 00
06/24/2009		INTERNACIONAL S A B DE C		12 66				0 00	0 00	0 00	0 00	0 00
		V SPONSORED ADR REPSTG						0 00	0 00	0 00	0 00	0 00
		SHS SER L ADR						0 00	0 00	0 00	0 00	0 00

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Northern Trust

Capital Gains
01 JAN 09 - 31 DEC 09

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 11 of 14

Trade Date	Security Description Long	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
11/05/2009	ADR TELMEX	-467 00	-670 00	8,461 14	-3,002 93	0 00	5,458 21	0 00	0 00	5,458 21
11/10/2009	INTERNACIONAL S A B DE C V SPONSORED ADR REPSTG SHS SER L ADR	14 30		6,661 57	-2,093 08	0 00	4,568 49	0 00	0 00	4,568 49
9/29/1999		-467 00		6,661 57	-2,093 08	0 00	4,568 49	0 00	0 00	4,568 49
11/09/2009	ADR TELMEX	-215 00		3,185 15	-963 63	0 00	2,221 52	0 00	0 00	2,221 52
11/13/2009	INTERNACIONAL S A B DE C V SPONSORED ADR REPSTG SHS SER L ADR	14 85		3,185 15	-963 63	0 00	2,221 52	0 00	0 00	2,221 52
9/29/1999		-215 00		3,185 15	-963 63	0 00	2,221 52	0 00	0 00	2,221 52
11/12/2009	ADR TELMEX	-248 00		3,784 38	-1,111 53	0 00	2,672 85	0 00	0 00	2,672 85
11/17/2009	INTERNACIONAL S A B DE C V SPONSORED ADR REPSTG SHS SER L ADR	15 30		3,784 38	-1,111 53	0 00	2,672 85	0 00	0 00	2,672 85
9/29/1999		-248 00		3,784 38	-1,111 53	0 00	2,672 85	0 00	0 00	2,672 85
08/07/2009	ADR UNILEVER N V NEW	-320 00		8,959 76	-6,386 34	585 66	1,987 76	0 00	585 66	1,987 76
08/12/2009	YORK SHS NEW	28 00								
10/3/2003		-250 00		6,999 81	-5,012 05	0 00	1,987 76	0 00	0 00	1,987 76
					-6,386 34	585 66	1,987 76	0 00	585 66	1,987 76
2/18/2009		-70 00		1,959 95	-1,374 29	585 66	0 00	0 00	585 66	0 00
06/01/2009	ADR WOLTERS KLUWER N V	-0 94		14 45	-18 80	-4 35	0 00	0 00	-4 35	0 00
06/01/2009	SPONSORED ADR	30 77								
5/11/2009		-0 94		14 45	-18 80	-4 35	0 00	0 00	-4 35	0 00
08/19/2009	ITV ORD GBP0 10	-11,560 00		9,001 60	-22,414 03	0 00	-12,466 34	-946 09	0 00	-13,412 43
08/24/2009		0 78								
11/18/2005		-4,722 00		3,676 95	-9,167 85	0 00	-5,129 37	-361 53	0 00	-5,490 90
					-22,414 03	0 00	-12,466 34	-946 09	0 00	-13,412 43
11/21/2005		-1,591 00		1,238 89	-3,059 53	0 00	-1,711 55	-109 09	0 00	-1,820 64
					-22,414 03	0 00	-12,466 34	-946 09	0 00	-13,412 43
11/22/2005		-914 00		711 72	-1,766 41	0 00	-986 71	-67 98	0 00	-1,054 69

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Northern Trust

Capital Gains

01 JAN 09 - 31 DEC 09

Account Number
Account NameRFD01
ROSENTHAL FDN -
BRANDES

Page 12 of 14

Trade Date	Security Description Long	Settlement Date	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
08/19/2009	ITV ORD GBP0 10			-11,580.00		9,001.60	-22,414.03	0.00	-12,466.34	-946.09	0.00	-13,412.43
08/24/2009				0.78								
	11/23/2005			-2,192.00		1,706.88	-4,181.20	0.00	-2,330.80	-143.52	0.00	-2,474.32
							-22,414.03	0.00	-12,466.34	-946.09	0.00	-13,412.43
	12/20/2005			-2,141.00		1,667.16	-4,239.04	0.00	-2,307.91	-263.97	0.00	-2,571.88
01/14/2009	NORTEL NETWORKS CORP			-1,076.00		105.76	-28,162.60	0.00	-28,056.84	0.00	0.00	-28,056.84
01/20/2009	NEW COM NEW STK			0.10								
	5/9/2005			-474.00		46.59	-12,050.50	0.00	-12,003.91	0.00	0.00	-12,003.91
							-28,162.60	0.00	-28,056.84	0.00	0.00	-28,056.84
	4/26/2006			-342.60		33.67	-9,123.78	0.00	-9,090.11	0.00	0.00	-9,090.11
							-28,162.60	0.00	-28,056.84	0.00	0.00	-28,056.84
	4/27/2006			-222.40		21.86	-5,993.46	0.00	-5,971.60	0.00	0.00	-5,971.60
							-28,162.60	0.00	-28,056.84	0.00	0.00	-28,056.84
	4/28/2006			-37.00		3.64	-994.86	0.00	-991.22	0.00	0.00	-991.22
01/15/2009	NORTEL NETWORKS CORP			-855.00		89.76	-20,434.65	0.00	-20,344.89	0.00	0.00	-20,344.89
01/21/2009	NEW COM NEW STK			0.11								
	5/9/2005			-606.00		63.62	-15,408.34	0.00	-15,342.72	0.00	0.00	-15,342.72
							-20,434.65	0.00	-20,344.89	0.00	0.00	-20,344.89
	8/4/2006			-249.00		26.14	-5,028.31	0.00	-5,002.17	0.00	0.00	-5,002.17
01/16/2009	NORTEL NETWORKS CORP			-260.00		20.69	-5,250.44	0.00	-5,229.75	0.00	0.00	-5,229.75
01/22/2009	NEW COM NEW STK			0.08								
	8/4/2006			-260.00		20.69	-5,250.44	0.00	-5,229.75	0.00	0.00	-5,229.75
06/03/2009	UNICREDIT SPA EURO 50			-0.45		1.23	-2.41	-0.94	0.00	-0.24	-1.18	0.00
06/03/2009				12.66								
	7/23/2008			-0.45		1.23	-2.41	-0.94	0.00	-0.24	-1.18	0.00

^ Complete cost information not available

*Generated by Northern Trust on 02 Mar 10



Northern Trust

Capital Gains
01 JAN 09 - 31 DEC 09

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 13 of 14

Trade Date	Settlement Date	Security Description Long	Acquisition Date	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
		Common Stock				234,710.70	-397,360.40	577.89	-162,281.26	-946.33	577.65	-163,227.35
Preferred Stock												
11/23/2009		ADR CONTAX		-550.00		1,345.87	-376.05	0.00	969.82	0.00	0.00	969.82
11/27/2009		PARTICIPACOE S A SPONSORED ADR		2.45								
4/25/2001				-550.00		1,345.87	-376.05	0.00	969.82	0.00	0.00	969.82
09/16/2009		ADR VIVO PARTICIPACOE S		-0.84		18.12	-18.93	0.00	-2.81	0.00	0.00	-2.81
09/16/2009		A SPONSORED ADREPSTG		12.66								
4/25/2001		PFD NEW		-0.84		18.12	-18.93	0.00	-2.81	0.00	0.00	-2.81
		Preferred Stock				1,361.99	-394.98	0.00	967.01	0.00	0.00	967.01
Rights/Warrants												
04/08/2009		HSBC HOLDINGS RIGHTS		-0.92		7.83	0.00	0.18	7.65	0.00	0.18	7.65
04/14/2009				14.12								
1/12/2007				-0.33		2.84	0.00	0.00	2.84	0.00	0.00	2.84
2/9/2007				-0.22		1.87	0.00	0.00	1.87	0.00	0.00	1.87
2/27/2007				-0.31		2.80	0.00	0.00	2.80	0.00	0.00	2.80
5/10/2007				-0.02		0.15	0.00	0.00	0.15	0.00	0.00	0.15
7/5/2007				-0.01		0.05	0.00	0.00	0.05	0.00	0.00	0.05
10/4/2007				-0.01		0.06	0.00	0.00	0.06	0.00	0.00	0.06
							0.00	0.18	7.65	0.00	0.18	7.65



Northern Trust

Capital Gains
01 JAN 09 - 31 DEC 09

Account Number
Account Name

RFD01
ROSENTHAL FDN -
BRANDES

Page 14 of 14

Trade Date	Security Description Long	Shares/Par	Price	Proceeds	Cost	Short Term Realized Gain/Loss - Market	Long Term Realized Gain/Loss - Market	Realized Gain /Loss - Translation	Short Term Realized Gain/Loss Total	Long Term Realized Gain/Loss - Total
2/13/2008		-0.01		0.08	0.00	0.00	0.08	0.00	0.00	0.08
5/8/2008		-0.02		0.18	0.00	0.18	7.65	0.00	0.18	7.65
08/27/2009	RTS INFINEON RTS	-5.00		0.00	0.00	0.00	0.00	0.00	0.00	0.00
08/27/2009	INFINEON (RIGHTSWARRANTS NON-TRANSFERABLE)	28.00								
1/20/2006		-2.06		0.00	0.00	0.00	0.00	0.00	0.00	0.00
1/23/2006		-2.94		0.00	0.00	0.00	0.00	0.00	0.00	0.00
01/27/2009	UNICREDIT SPA EURO 50	-2,530.00		0.00	0.00	0.00	0.00	0.00	0.00	0.00
01/27/2009	(SUB RIGHTS 23/01/2009)	12.66								
7/23/2008		-2,530.00		0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Rights/Warrants			7.83	0.00	0.18	7.65	0.00	0.18	7.65
Total Sales				236,080.52	-397,755.38	578.07	-161,306.60	-946.33	577.83	-162,252.69
Report Total				233,720.70	-389,777.56	6,620.77	-162,141.97	-535.66	7,031.20	-163,088.06
Total Short Term Gain Proceeds				8,002.83						
Total Short Term Loss Proceeds				47.58						
Total Long Term Gain Proceeds				69,008.04						
Total Long Term Loss Proceeds				182,760.58						
Total Undetermined Tax Lot Proceeds				-26,537.37						
Additional Short Term Proceeds from zero G/L Transactions				0.00						
Additional Long Term Proceeds from zero G/L Transactions				439.04						
Short Term Proceeds due to REIT Re-Allocation				0.00						
Long Term Proceeds due to REIT Re-Allocation				0.00						
Total Short Term Proceeds				8,050.41 ✓						
Total Long Term Proceeds				252,207.66 ✓						
Total S/T translation gain/loss								410.43		
Total L/T translation gain/loss								-946.09		
Total realized gains						6,628.54	32,225.19	690.01	7,318.55	32,225.19
Total realized losses						-7.77	-194,367.16	-1,225.67	-287.35	-195,313.25
Total realized gain/loss						6,620.77	-162,141.97	-535.66	7,031.20 ✓	-163,088.06 ✓

^ Complete cost information not available.
*Generated by Northern Trust on 02 Mar 10

2009 Tax Information Statement

Account Number: 26-32421
Recipient's Tax ID number: XX-XXX4817
Recipient's Name and Address
ROSENTHAL FOUNDATION
C/O ARNOLD PAPERNO
5 HIGH RIDGE PARK
STAMFORD, CT 06905

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

AGILITY ACCOUNT

2009 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
20.0000	38259P508	GOOGLE INC CL A	10/14/2008	01/05/2009	6,596.05	7,395.41	-799.36	0.00
325.0000	855244109	STARBUCKS CORP	10/09/2008	01/06/2009	3,280.30	3,682.85	-402.55	0.00
200.0000	92826C839	VISA INC COM CL A STK	VARIOUS	01/07/2009	11,414.09	10,622.01	792.08	0.00
100.0000	57383T103	#REORG/MARVEL ENTERTAINMENT CASH & STK MERGER DISNEY 2279496 EFF 12/31/09	11/04/2008	01/21/2009	2,825.71	2,874.69	-48.98	0.00
300.0000	959802109	WESTERN UNION CO	10/15/2008	01/26/2009	4,346.28	5,908.80	-1,562.52	0.00
150.0000	855244109	STARBUCKS CORP	10/09/2008	01/27/2009	1,378.06	1,699.78	-321.72	0.00
225.0000	855244109	STARBUCKS CORP	10/09/2008	01/28/2009	2,139.06	2,549.66	-410.60	0.00
100.0000	023135106	AMAZON COM INC	10/14/2008	01/29/2009	5,116.66	5,832.14	-715.48	0.00
1025.0000	254687106	WALT DISNEY CO	VARIOUS	02/04/2009	19,843.27	25,705.67	-5,862.40	0.00
325.0000	254687106	WALT DISNEY CO	VARIOUS	02/06/2009	6,192.35	7,217.64	-1,025.29	0.00
15.0000	38259P508	GOOGLE INC CL A	10/14/2008	02/11/2009	5,401.79	5,546.56	-144.77	0.00
10.0000	38259P508	GOOGLE INC CL A	10/14/2008	02/12/2009	3,624.77	3,697.71	-72.94	0.00
100.0000	57383T103	#REORG/MARVEL ENTERTAINMENT CASH & STK MERGER DISNEY 2279496 EFF 12/31/09	VARIOUS	02/17/2009	2,534.82	2,864.87	-330.05	0.00
100.0000	57383T103	#REORG/MARVEL ENTERTAINMENT CASH & STK MERGER DISNEY 2279496 EFF 12/31/09	10/09/2008	02/23/2009	2,392.55	2,835.41	-442.86	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Recipient's Name and Address
ROSENTHAL FOUNDATION
C/O ARNOLD PAPERNO
5 HIGH RIDGE PARK
STAMFORD, CT 06905

Account Number: 26-32421
Recipient's Tax ID number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
50.0000	023135106	AMAZON COM INC	10/14/2008	02/24/2009	3,180.98	2,916.07	264.91	0.00
100.0000	57383T103	#REORG/MARVEL ENTERTAINMENT CASH & STK MERGER DISNEY 2279496 EFF 12/31/09	10/09/2008	02/24/2009	2,710.54	2,835.41	-124.87	0.00
5.0000	38259P508	GOOGLE INC CL A	10/14/2008	02/26/2009	1,749.87	1,848.85	-98.98	0.00
500.0000	855244109	STARBUCKS CORP	10/09/2008	02/27/2009	4,539.77	5,665.92	-1,126.15	0.00
25.0000	023135106	AMAZON COM INC	10/14/2008	03/11/2009	1,699.20	1,458.04	241.16	0.00
225.0000	855244109	STARBUCKS CORP	10/09/2008	03/13/2009	2,338.74	2,549.66	-210.92	0.00
150.0000	855244109	STARBUCKS CORP	10/09/2008	03/17/2009	1,639.37	1,699.78	-60.41	0.00
100.0000	023135106	AMAZON COM INC	VARIOUS	03/18/2009	7,056.80	5,624.97	1,431.83	0.00
350.0000	808513105	CHARLES SCHWAB CORP NEW	12/19/2008	03/25/2009	5,282.07	5,448.06	-165.99	0.00
175.0000	808513105	CHARLES SCHWAB CORP NEW	VARIOUS	03/26/2009	2,752.47	2,649.30	103.17	0.00
300.0000	855244109	STARBUCKS CORP	VARIOUS	03/26/2009	3,621.33	3,354.45	266.88	0.00
350.0000	654902204	NOKIA CORP SPONSORED ADR	VARIOUS	03/31/2009	4,138.72	5,718.30	-1,579.58	0.00
150.0000	88732J207	TIME WARNER CABLE INC COM	VARIOUS	04/03/2009	3,895.22	4,361.89	-466.67	0.00
222.0000	88732J207	TIME WARNER CABLE INC COM	VARIOUS	04/06/2009	6,021.87	5,714.43	307.44	0.00
300.0000	808513105	CHARLES SCHWAB CORP NEW	VARIOUS	04/09/2009	4,874.70	4,184.16	690.54	0.00
100.0000	64110L106	NETFLIX INC COM STK	02/11/2009	04/13/2009	4,936.07	3,545.72	1,390.35	0.00
3300	887317303	TIME WARNER INC USD0 01	01/07/2009	04/14/2009	6.23	7.59	-1.36	0.00
75.0000	278965100	ECOLAB INC	10/14/2008	04/21/2009	2,794.26	3,247.94	-453.68	0.00
3300	88732J207	TIME WARNER CABLE INC COM	03/02/2009	04/21/2009	9.03	7.23	1.80	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 8 of 21
Ref: TMA

Recipient's Name and Address
ROSENTHAL FOUNDATION
C/O ARNOLD PAPERNO
5 HIGH RIDGE PARK
STAMFORD, CT 06905

Account Number: 26-32421
Recipient's Tax ID number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
10.0000	38259P508	GOOGLE INC CL A	VARIOUS	04/22/2009	3,892.64	3,611.57	281.07	0.00
75.0000	811065101	SCRIPPS NETWORKS INTERACTIVE INC CL A COM STK	10/09/2008	04/30/2009	2,062.43	2,300.26	-237.83	0.00
250.0000	855244109	STARBUCKS CORP	VARIOUS	04/30/2009	3,780.45	2,515.43	1,265.02	0.00
300.0000	134429109	CAMPBELL SOUP CO	VARIOUS	05/01/2009	7,717.81	11,327.27	-3,609.46	0.00
175.0000	25243Q205	ADR DIAGEO PLC SPONSORED ADR NEW	10/15/2008	05/01/2009	8,466.86	10,594.13	-2,127.27	0.00
100.0000	134429109	CAMPBELL SOUP CO	VARIOUS	05/04/2009	2,598.87	3,532.79	-933.92	0.00
25.0000	25243Q205	ADR DIAGEO PLC SPONSORED ADR NEW	10/15/2008	05/04/2009	1,229.52	1,513.45	-283.93	0.00
100.0000	811065101	SCRIPPS NETWORKS INTERACTIVE INC CL A COM STK	10/09/2008	05/04/2009	2,939.10	3,067.02	-127.92	0.00
50.0000	25243Q205	ADR DIAGEO PLC SPONSORED ADR NEW	10/09/2008	05/05/2009	2,507.15	2,919.56	-412.41	0.00
25.0000	57383T103	#REORG/MARVEL ENTERTAINMENT CASH & STK MERGER DISNEY 2279496 EFF 12/31/09	10/09/2008	05/05/2009	830.72	708.85	121.87	0.00
125.0000	811065101	SCRIPPS NETWORKS INTERACTIVE INC CL A COM STK	10/09/2008	05/06/2009	3,761.61	3,833.77	-72.16	0.00
75.0000	278865100	ECOLAB INC	10/09/2008	05/07/2009	2,894.80	3,003.80	-109.00	0.00
75.0000	278865100	ECOLAB INC	10/09/2008	05/08/2009	2,891.20	3,003.80	-112.60	0.00
125.0000	278865100	ECOLAB INC	VARIOUS	05/12/2009	4,728.21	4,971.98	-243.77	0.00
15.0000	38259P508	GOOGLE INC CL A	VARIOUS	06/03/2009	6,408.57	5,166.95	1,241.62	0.00

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2009 Tax Information Statement

Page 9 of 21
Ref: TMA

Account Number: 26-32421
Recipient's Tax ID number: XX-XXX4817

Recipient's Name and Address
ROSENTHAL FOUNDATION
C/O ARNOLD PAPERNO
5 HIGH RIDGE PARK
STAMFORD, CT 06905

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
5.0000	38259P508	GOOGLE INC CL A	10/09/2008	06/04/2009	2,200.21	1,641.50	558.71	0.00
5.0000	38259P508	GOOGLE INC CL A	10/09/2008	06/05/2009	2,215.18	1,641.50	573.68	0.00
75.0000	57383T103	#REORG/MARVEL ENTERTAINMENT CASH & STK MERGER DISNEY 2279496 EFF 12/31/09	10/09/2008	06/05/2009	2,751.69	2,126.56	625.13	0.00
350.0000	959802109	WESTERN UNION CO	VARIOUS	06/10/2009	6,008.89	6,675.50	-666.61	0.00
175.0000	191216100	COCA COLA CO	10/09/2008	06/18/2009	8,653.29	8,164.56	488.73	0.00
150.0000	002824100	ABBOTT LABORATORIES	10/14/2008	06/19/2009	7,144.06	8,168.33	-1,024.27	0.00
300.0000	134429109	CAMPBELL SOUP CO	VARIOUS	06/22/2009	8,591.14	9,553.47	-962.33	0.00
225.0000	579780206	MCCORMICK & CO INC NON-VTG	03/25/2009	06/22/2009	7,291.84	6,741.63	550.21	0.00
375.0000	134429109	CAMPBELL SOUP CO	VARIOUS	06/23/2009	10,739.08	10,575.69	163.39	0.00
125.0000	887317303	TIME WARNER INC USD0.01	01/07/2009	06/29/2009	3,170.82	2,876.59	294.23	0.00
125.0000	002824100	ABBOTT LABORATORIES	VARIOUS	06/30/2009	5,909.60	6,697.29	-787.69	0.00
50.0000	002824100	ABBOTT LABORATORIES	01/06/2009	07/06/2009	2,296.06	2,570.45	-274.39	0.00
100.0000	002824100	ABBOTT LABORATORIES	01/06/2009	07/10/2009	4,546.19	5,140.89	-594.70	0.00
100.0000	57383T103	#REORG/MARVEL ENTERTAINMENT CASH & STK MERGER DISNEY 2279496 EFF 12/31/09	10/09/2008	07/10/2009	3,675.99	2,835.41	840.58	0.00
100.0000	191216100	COCA COLA CO	10/09/2008	07/13/2009	4,874.29	4,665.46	208.83	0.00
200.0000	855244109	STARBUCKS CORP	11/11/2008	07/17/2009	2,859.30	1,967.34	891.96	0.00
350.0000	855244109	STARBUCKS CORP	VARIOUS	07/20/2009	5,164.43	3,310.28	1,854.15	0.00
325.0000	855244109	STARBUCKS CORP	VARIOUS	07/21/2009	4,700.02	2,751.33	1,948.69	0.00

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2009 Tax Information Statement

Page 10 of 21
 Recipient's Name and Address
 ROSENTHAL FOUNDATION
 C/O ARNOLD PAPERNO
 5 HIGH RIDGE PARK
 STAMFORD, CT 06905

Account Number: 26-32421
 Recipient's Tax ID number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1150.0000	654902204	NOKIA CORP SPONSORED ADR	VARIOUS	07/22/2009	15,088.07	18,389.77	-3,301.70	0.00
175.0000	959802109	WESTERN UNION CO	10/10/2008	08/05/2009	3,057.05	3,065.13	-8.08	0.00
950.0000	959802109	WESTERN UNION CO	VARIOUS	08/06/2009	16,524.73	15,947.45	577.28	0.00
100.0000	811065101	SCRIPPS NETWORKS INTERACTIVE INC CL A COM STK	10/09/2008	08/07/2009	3,395.58	3,067.02	328.56	0.00
125.0000	887317303	TIME WARNER INC USD0.01	VARIOUS	08/07/2009	3,552.00	2,863.47	688.53	0.00
100.0000	002824100	ABBOTT LABORATORIES	VARIOUS	08/14/2009	4,436.22	4,931.80	-495.58	0.00
100.0000	00724F101	ADOBE SYS INC	10/09/2008	08/18/2009	3,161.30	2,977.50	183.80	0.00
125.0000	641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	10/09/2008	08/21/2009	5,105.70	4,752.24	353.46	0.00
100.0000	641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	10/09/2008	08/24/2009	4,102.15	3,801.80	300.35	0.00
100.0000	22160K105	COSTCO WHSL CORP NEW	02/04/2009	08/25/2009	4,966.10	4,300.94	665.16	0.00
50.0000	191216100	COCA COLA CO	10/09/2008	09/02/2009	2,472.86	2,332.73	140.13	0.00
100.0000	22160K105	COSTCO WHSL CORP NEW	02/04/2009	09/03/2009	5,471.66	4,300.94	1,170.72	0.00
100.0000	57383T103	#REORG/MARVEL ENTERTAINMENT CASH & STK MERGER DISNEY 2279496 EFF 12/31/09	10/09/2008	09/03/2009	4,744.60	2,835.41	1,909.19	0.00
100.0000	811065101	SCRIPPS NETWORKS INTERACTIVE INC CL A COM STK	10/09/2008	09/14/2009	3,551.44	3,067.02	484.42	0.00
100.0000	811065101	SCRIPPS NETWORKS INTERACTIVE INC CL A COM STK	10/09/2008	09/16/2009	3,643.49	3,067.02	576.47	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Account Number: 26-32421
 Recipient's Tax ID number: XX-XXX4817
 Recipient's Name and Address
 ROSENTHAL FOUNDATION
 C/O ARNOLD PAPERNO
 5 HIGH RIDGE PARK
 STAMFORD, CT 06905

Page 11 of 21
 Ref: TMA

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
225 0000	57383T103	#REORG/MARVEL ENTERTAINMENT CASH & STK MERGER DISNEY 2279496 EFF 12/31/09	10/09/2008	09/18/2009	11,223.03	6,379.68	4,843.35	0.00
100 0000	811065101	SCRIPPS NETWORKS INTERACTIVE INC CL A COM STK	10/09/2008	09/18/2009	3,700.82	3,067.01	633.81	0.00
10 0000	38259P508	GOOGLE INC CL A	10/09/2008	10/05/2009	4,902.74	3,283.00	1,619.74	0.00
100.0000	64110L106	NETFLIX INC COM STK	07/24/2009	10/15/2009	4,948.50	4,225.79	722.71	0.00
100.0000	887317303	TIME WARNER INC USD0.01	VARIOUS	10/19/2009	3,166.96	2,222.91	944.05	0.00
50 0000	64110L106	NETFLIX INC COM STK	09/03/2009	10/23/2009	2,748.56	2,003.44	745.12	0.00
100 0000	887317303	TIME WARNER INC USD0.01	VARIOUS	10/29/2009	3,020.57	2,211.76	808.81	0.00
100.0000	22160K105	COSTCO WHSL CORP NEW	02/04/2009	11/04/2009	5,865.76	4,300.94	1,564.82	0.00
50 0000	64110L106	NETFLIX INC COM STK	05/07/2009	11/05/2009	2,807.94	1,988.20	819.74	0.00
100.0000	418056107	HASBRO INC	10/19/2009	12/07/2009	3,105.65	2,842.97	262.68	0.00
50.0000	H01301102	ALCON INC	05/05/2009	12/09/2009	8,105.76	4,664.49	3,441.27	0.00
108 6100	00184X105	AOL INC COM STK	VARIOUS	12/11/2009	2,553.76	1,651.62	902.14	0.00
100 0000	418056107	HASBRO INC	VARIOUS	12/16/2009	3,165.74	2,794.13	371.61	0.00
650.0000	366651107	GARTNER GROUP INC NEW CL A	VARIOUS	12/17/2009	11,452.70	11,445.64	7.06	0.00
1050 0000	375558103	GILEAD SCIENCES INC	VARIOUS	12/17/2009	45,474.86	47,698.43	-2,223.57	0.00
1675 0000	418056107	HASBRO INC	VARIOUS	12/17/2009	52,866.49	41,770.16	11,096.33	0.00
375 0000	579780206	MCCORMICK & CO INC NON-VTG	VARIOUS	12/17/2009	13,484.65	10,922.30	2,562.35	0.00
1225 0000	808513105	CHARLES SCHWAB CORP NEW	VARIOUS	12/17/2009	22,122.93	18,249.62	3,873.31	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 12 of 21

Ref: TMA

Recipient's Name and Address
ROSENTHAL FOUNDATION
C/O ARNOLD PAPERNO
5 HIGH RIDGE PARK
STAMFORD, CT 06905

Account Number: 26-32421
Recipient's Tax ID number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
200 0000	811065101	SCRIPPS NETWORKS INTERACTIVE INC CL A COM STK	VARIOUS	12/17/2009	8,147 03	4,398.21	3,748.82	0.00
325 0000	824348106	SHERWIN WILLIAMS CO	VARIOUS	12/17/2009	19,951 23	18,042.55	1,908.68	0.00
925 0000	948626106	WEIGHT WATCHERS INTL INC NEW	VARIOUS	12/17/2009	26,317.14	25,059.51	1,257.63	0.00
350 0000	002824100	ABBOTT LABORATORIES	VARIOUS	12/18/2009	18,612.52	15,336.61	3,275.91	0.00
400 0000	151020104	CELGENE CORP	VARIOUS	12/18/2009	21,891 43	15,773.52	6,117.91	0.00
250 0000	22160K105	COSTCO WHSL CORP NEW	VARIOUS	12/18/2009	14,409.62	10,577.12	3,832.50	0.00
75 0000	25243Q205	ADR DIAGEO PLC SPONSORED ADR NEW	03/13/2009	12/18/2009	5,112.99	3,202.76	1,910.23	0.00
2075 0000	278642103	EBAY INC	VARIOUS	12/18/2009	47,467.09	46,477.77	989.32	0.00
100 0000	487836108	KELLOGG CO	03/18/2009	12/18/2009	5,206 47	3,675.95	1,530.52	0.00
325 0000	580135101	MCDONALDS CORP	VARIOUS	12/18/2009	20,201 48	17,486.81	2,714.67	0.00
200 0000	641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	VARIOUS	12/18/2009	9,581.75	6,432.30	3,149.45	0.00
475 0000	64110L106	NETELIX INC COM STK	VARIOUS	12/18/2009	25,395.74	15,541.19	9,854.55	0.00
725 0000	747525103	QUALCOMM INC	VARIOUS	12/18/2009	32,234.70	32,384.49	-149.79	0.00
1158 0000	887317303	TIME WARNER INC USD0.01	VARIOUS	12/18/2009	34,020.93	21,810.62	12,210.31	0.00
725 0000	904784709	ADR UNILEVER N V NEW YORK SHS NEW	VARIOUS	12/18/2009	23,076.15	17,997.20	5,078.95	0.00
275 0000	931142103	WAL MART STORES INC	VARIOUS	12/18/2009	14,420.63	13,545.50	875.13	0.00
75 0000	H01301102	ALCON INC	05/01/2009	12/18/2009	11,988.44	6,903.27	5,085.17	0.00
4500 00184X105		AOL INC COM STK	03/02/2009	12/28/2009	10 40	5.63	4.77	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 13 of 21
Ref: TMA

Account Number: 26-32421
Recipient's Tax ID number: XX-XXX4817
Recipient's Name and Address
ROSENTHAL FOUNDATION
C/O ARNOLD PAPERNO
5 HIGH RIDGE PARK
STAMFORD, CT 06905

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Total Short Term Sales								
					915,871.12	827,518.72	88,352.40	0.00
Long Term 15% Sales								
100.0000	811065101	SCRIPPS NETWORKS INTERACTIVE INC CL A COM STK	10/09/2008	10/14/2009	3,842.34	3,067.02	775.32	0.00
200.0000	641069406	NESTLE SA SPONSORED ADR REPSTG REG SH	10/09/2008	10/19/2009	8,843.59	7,603.59	1,240.00	0.00
100.0000	191216100	COCA COLA CO	10/09/2008	10/21/2009	5,461.59	4,665.47	796.12	0.00
100.0000	641069406	NESTLE SA SPONSORED ADR REPSTG REG SH	10/09/2008	10/22/2009	4,638.54	3,801.79	836.75	0.00
100.0000	811065101	SCRIPPS NETWORKS INTERACTIVE INC CL A COM STK	10/09/2008	10/26/2009	4,002.97	3,067.01	935.96	0.00
10.0000	38259P508	GOOGLE INC CL A	10/09/2008	11/05/2009	5,474.41	3,283.00	2,191.41	0.00
39.3900	00184X105	AOL INC COM STK	VARIOUS	12/11/2009	926.18	624.66	301.52	0.00
50.0000	931142103	WAL MART STORES INC	10/09/2008	12/11/2009	2,745.61	2,674.65	70.96	0.00
50.0000	25243Q205	ADR DIAGEO PLC SPONSORED ADR NEW	10/09/2008	12/16/2009	3,439.67	2,919.57	520.10	0.00
50.0000	38259P508	GOOGLE INC CL A	VARIOUS	12/17/2009	29,747.23	14,551.82	15,195.41	0.00
500.0000	811065101	SCRIPPS NETWORKS INTERACTIVE INC CL A COM STK	VARIOUS	12/17/2009	20,367.57	12,472.60	7,894.97	0.00
400.0000	00724F101	ADOBE SYS INC	VARIOUS	12/18/2009	14,911.61	10,904.47	4,007.14	0.00
575.0000	191216100	COCA COLA CO	VARIOUS	12/18/2009	32,751.15	26,356.40	6,394.75	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

Page 14 of 21
Ref: TMA

Recipient's Name and Address
ROSENTHAL FOUNDATION
C/O ARNOLD PAPERNO
5 HIGH RIDGE PARK
STAMFORD, CT 06905

Account Number: 26-32421
Recipient's Tax ID number: XX-XXX4817

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
375 0000	25243Q205	ADR DIAGEO PLC SPONSORED ADR NEW	VARIOUS	12/18/2009	25,564.97	21,752.44	3,812.53	0.00
650 0000	487836108	KELLOGG CO	VARIOUS	12/18/2009	33,842.02	30,468.83	3,373.19	0.00
625 0000	580135101	MCDONALDS CORP	VARIOUS	12/18/2009	38,849.00	32,103.03	6,745.97	0.00
525 0000	641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	VARIOUS	12/18/2009	25,152.10	19,452.44	5,699.66	0.00
475 0000	887317303	TIME WARNER INC USD0.01	VARIOUS	12/18/2009	13,955.04	9,388.19	4,566.85	0.00
675 0000	931142103	WAL MART STORES INC	VARIOUS	12/18/2009	35,396.08	35,785.85	-389.77	0.00
Total Long Term 15% Sales					309,911.68	244,942.83	64,968.85	0.00

This is important tax information and is being furnished to you.

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	Amount
CHECKING ACCOUNT	44.
Total to Form 990-PF, Part I, line 3, Column A	44.

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
DIVIDEND INCOME	893.	364.	529.
INTEREST INCOME	624,203.	0.	624,203.
THROUGH CERBERUS ASIA PARTNERS, L.P.	1,252.	0.	1,252.
THROUGH FLAG PRIVATE EQUITY III, L.P.	5,381.	0.	5,381.
THROUGH FLAG VENTURE PARTNERS VI, L.P.	213.	0.	213.
THROUGH JUBILEE TAX EXEMPT PARTNERS, L.P.	1,804.	0.	1,804.
THROUGH NEXT GENERATION PARTNERS V, L.P.	278.	0.	278.
THROUGH NORTHERN TRUST COMPANY AGILITY	14,464.	0.	14,464.
THROUGH NORTHERN TRUST COMPANY BRANDES	80,398.	0.	80,398.
THROUGH NORTHERN TRUST COMPANY INDEX ACCOUNT	38,993.	0.	38,993.
THROUGH TECHNOLOGY CROSSOVER VENTURES II,III,V & VI LPs	3,834.	0.	3,834.
THROUGH TIFF PARTNERS II, L.P.	196.	0.	196.
THROUGH UMPQUA INVESTMENT COMPANY,LLC	5,851.	0.	5,851.
Total to Fm 990-PF, Part I, ln 4	777,760.	364.	777,396.

Form 990-PF	Other Income	Statement	3
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Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
THROUGH NORTHERN TRUST COMPANY	36.	36.	
THROUGH NORTHERN TRUST COMPANY			
COMMON TRUST FUND	2,403.	2,403.	
MUNICIPAL BOND INTEREST	9.	0.	
THROUGH CERBERUS ASIA PARTNERS, L.P.	2,575.	2,575.	
THROUGH FLAG PRIVATE EQUITY III, L.P.	2,206.	2,206.	
THROUGH FLAG VENTURE PARTNERS VI, L.P.	-854.	-854.	
THROUGH NEXT GENERATION PARTNERS V, L.P.	-5,499.	-5,499.	
THROUGH TIFF PARTNERS II, LLC	190.	190.	
THROUGH UMPQUA INVESTMENT COMPANY, LLC	1,514.	1,514.	
THROUGH TECHNOLOGY CROSSOVER VENTURES v	-14.	-14.	
Total to Form 990-PF, Part I, line 11	2,566.	2,557.	

Form 990-PF	Accounting Fees	Statement	4
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
TAX PREPARATION/PLANNING FEES	20,000.	20,000.		0.
ACCOUNTING/RECORD KEEPING	40,000.	0.		40,000.
To Form 990-PF, Pg 1, ln 16b	60,000.	20,000.		40,000.

Form 990-PF	Other Professional Fees	Statement	5
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
PORTFOLIO MANAGEMENT/ADMINISTRATION FEES	172,687.	172,687.		0.
GRANT & ADMINISTRATION EXPENSES	80,000.	0.		80,000.
CAMBRIDGE ASSOCIATES FEES	46,491.	46,491.		0.
To Form 990-PF, Pg 1, ln 16c	299,178.	219,178.		80,000.

Form 990-PF	Taxes	Statement	6
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FOREIGN WITHHOLDING TAXES	6,795.	6,795.		0.
To Form 990-PF, Pg 1, ln 18	6,795.	6,795.		0.

Form 990-PF	Other Expenses	Statement	7
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FILING FEES	750.	0.		750.
MISCELLANEOUS	137.	0.		137.
To Form 990-PF, Pg 1, ln 23	887.	0.		887.

Form 990-PF	U.S. and State/City Government Obligations	Statement	8
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Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
U.S. GOVERNMENT BONDS	X		3,667,902.	3,716,251.
U.S. GOVERNMENT MORTGAGE BACKED SECURITIES	X		108,366.	104,038.
Total U.S. Government Obligations			3,776,268.	3,820,289.
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			3,776,268.	3,820,289.

Form 990-PF	Corporate Stock	Statement	9
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Description	Book Value	Fair Market Value
BRANDES PORTFOLIO	3,743,036.	2,726,154.
AGILITY PARTNERS PORTFOLIO	20,010.	20,010.
Total to Form 990-PF, Part II, line 10b	3,763,046.	2,746,164.

Form 990-PF	Corporate Bonds	Statement	10
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Description	Book Value	Fair Market Value
U.S. GOVERNMENT AGENCY BONDS	2,041,234.	2,076,395.
CORPORATE BONDS	3,518,748.	3,644,497.
COMMERCIAL MORTGAGE-BACKED SECURITIES	83,799.	84,290.
ASSET BACKED SECURITIES	2,340.	1,921.
NON-GOVERNMENT BACKED CMOS	2,874.	1,879.
Total to Form 990-PF, Part II, line 10c	5,648,995.	5,808,982.

Form 990-PF Other Investments Statement 11

Description	Valuation Method	Book Value	Fair Market Value
TECHNOLOGY CROSSOVER VENTURE II LP	COST	92,291.	0.
TIFF PARTNERS II	COST	180,486.	27,962.
CERBERUS INTL LTD	COST	111,203.	342,336.
UMPQUA INVESTMENT COMPANY, LLC	COST	130,954.	183,580.
CERBERUS ASIA PARTNERS, LTD	COST	330,545.	74,243.
TECHNOLOGY CROSSOVER VENTURE III LP	COST	90,471.	17,568.
TECHNOLOGY CROSSOVER VENTURE V LP	COST	447,328.	356,309.
FIR TREE INTERNATIONAL VALUE FUND	COST	300,000.	459,289.
SIRIOS OVERSEAS FUND	COST	300,000.	432,168.
OZ OVERSEAS FUND II	COST	300,074.	462,624.
PERRY PARTNERS INTERNATIONAL	COST	0.	32,982.
CONTRARIAN OFFSHORE FUND	COST	250,000.	402,131.
DAVIDSON KEMPNER INTERNATIONAL FUND	COST	250,000.	407,916.
JUBILEE TAX EXEMPT PARTNERS, L.P.	COST	332,889.	437,558.
BERNSTEIN EMERGING MARKETS FUND	COST	0.	0.
LONGLEAF PARTNERS FUND	COST	735,170.	564,951.
NORTHERN TRUST RUSSELL 100 INDEX FUND	COST	1,834,886.	1,726,007.
NEXT GENERATION PARTNERS V, L.P.	COST	332,500.	232,990.
VIKING GLOBAL EQUITIES III	COST	300,000.	566,035.
FLAG PRIVATE EQUITY III, L.P.	COST	228,000.	147,079.
FLAG VENTURE PARTNERS VI, L.P.	COST	249,000.	190,070.
TECHNOLOGY CROSSOVER VENTURE VI LP	COST	255,840.	164,380.
GREENLIGHT MASTERS OFFSHORE	COST	2,900,000.	2,900,000.
GRUSS GLOBAL INVESTORS	COST	700,000.	700,000.
Total to Form 990-PF, Part II, line 13		10,651,637.	10,828,178.

<u>Name of Contributor</u>	<u>Address</u>
HINDA G. ROSENTHAL CHARITABLE LEAD ANNUITY TRUST	C/O AA&M ,INC., 2777 SUMMER STREET, SUITE 208B STAMFORD, CT 06905
HINDA G. ROSENTHAL CHARITABLE LEAD ANNUITY TRUST 2	C/O AA&M ,INC., 2777 SUMMER STREET, SUITE 208B STAMFORD, CT 06905

Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Contrib	Expense Account
NANCY STEPHENS ROSENTHAL 255 N. SALTAIR, LOS ANGELES, CA 90049	PRESIDENT & TRUSTEE 30.00	10,000.	0.	0.
JAMIE R. WOLF 812 N. FOOTHILL RD, BEVERLY HILLS, CA 90210	SECRETARY & TRUSTEE 20.00	10,000.	0.	0.
RICK ROSENTHAL 255 N. SALTAIR, LOS ANGELES, CA 90049	TREASURER & TRUSTEE 10.00	10,000.	0.	0.
NOAH ROSENTHAL 2015 NICHOLS CANYON ROAD, LOS ANGELES, CA 90046	TRUSTEE 10.00	10,000.	0.	0.
DAVID M. WOLF 812 N. FOOTHILL RD, BEVERLY HILLS, CA 90210	TRUSTEE 10.00	10,000.	0.	0.

The Rosenthal Family Foundation (f/k/a T

13-6104817

KATE WOLF	TRUSTEE			
580 CRANE BOULEVARD, LOS ANGELES,				
CA 90065	10.00	10,000.	0.	0.

Totals included on 990-PF, Page 6, Part VIII

60,000.	0.	0.
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	9/28/10		
	THE ROSENTHAL FAMILY FOUNDATION		
	SCHEDULE OF CONTRIBUTIONS MADE THROUGH DECEMBER, 2009		
			2009
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>
1	AFRICAN MILLENIUM FOUNDATION		750 00
2	ALLIANCE FOR CHILDREN'S RIGHTS		1,000.00
3	AMERICAN CANCER SOCIETY		1,000 00
4	AMER/ ACADEMY OF ARTS & LETTERS		22,000 00
5	AMER/ACADEMY OF PHYSICAL MEDICINE & REHAB.		2,000 00
6	AMERICAN ASSOC FOR CANCER RESEARCH INC.		15,846 21
7	AMERICAN COLLEGE OF PHYSICANS (SINCE 1999 2 AWARDS)		4,510.00
8	AMERICAN FILM INSTITUTE		30,000 00
9	AMERICANS FOR THE ARTS		35,000 00
10	AMERICAN FRIENDS OF PARENTS CIRCLE		4,500 00
11	AMERICAN MUSEUM OF NATURAL HISTORY		400 00
12	AMNESTY INTERNATIONAL U S A		1,000 00
13	ARTISTS FOR A NEW SO AFRICIA		1,000 00
14	BARD COLLEGE		5,000 00

	9/28/10 THE ROSENTHAL FAMILY FOUNDATION		
	SCHEDULE OF CONTRIBUTIONS MADE THROUGH DECEMBER, 2009		
			2009
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>
15	BIONEERS		1,000.00
16	BIOSPHERE FOUNDATION		1,000 00
17	BRAVE NEW FOUNDATION(mailed to Brave New Films)		5,000 00
18	BRENTWOOD PRESBYTERIAN CHURCH		1,000.00
19	BRENTWOOD VILLAGE TREE FDN		750.00
20	CA INSTITUTE OF THE ARTS		24,000 00
21	CA INTERNATIONAL THEATER FESTIVAL		1,200 00
22	CA LEAGUE OF CONSERVATION VOTERS EDU FUND		3,000.00
23	CANTEEN ARTS		3,500.00
24	CENTER FOR EARLY EDUCATION		1,000 00
25	CHEZ PANISSE FDN		1,000 00
26	CHOATE ROSEMARY HALL FOUNDATION		2,500 00
27	CHRYSLIS (Los Angeles, CA)		5,000.00
28	CITY YEAR NEW YORK		1,000 00
29	CODEPINK		3,000 00

	9/28/10 THE ROSENTHAL FAMILY FOUNDATION		
	SCHEDULE OF CONTRIBUTIONS MADE THROUGH DECEMBER, 2009		
			2009
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>
30	COLUMBIA UNIVERSITY-ACCT # 642379/		5,000 00
31	COMMON CAUSE		5,000.00
32	COMMUNITY MEDIA PROJECT		7,500 00
33	COMMUNITY PARTNERS FBO COLLEGE MATCH		1,500.00
34	CONNECTICUT BALLET		1,000 00
35	CONNECTICUT COLLEGE		1,000 00
36	CONSTITUTIONAL RIGHTS FOUNDATION		1,000.00
37	CORO SOUTHERN CALIFORNIA		400 00
38	COURAGE CAMPAIGN INSTITUTE		3,000.00
39	CROHN'S COLITIS FOUNDATION		500.00
40	CURE AUTISM NOW FOUNDATION		5,000 00
41	DEATH PENALTY FOCUS		500 00
42	DEFENDERS OF WILDLIFE		10,000 00
43	DILLON HENRY FOUNDATION		1,000 00
44	DOCTORS WITHOUT BORDERS		1,000 00

	9/28/10 THE ROSENTHAL FAMILY FOUNDATION		
	SCHEDULE OF CONTRIBUTIONS MADE THROUGH DECEMBER, 2009		
			2009
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>
45	DONORS CHOOSE.ORG		1,000 00
46	ELLA BAKER CENTER		5,000.00
47	ENVIROMENT CALIFORNIA		3,000 00
48	FAIRFIELD COUNTY MEDICAL ASSOCIATION		11,193.82
49	FAITH2GREEN		1,080 00
50	FIRE STATION 19/BRENTWOOD		1,000 00
51	FRIENDS OF ROBINSON GARDENS		4,900 00
52	FRIENDS OF THE SABAN FREE CLINIC		5,000.00
53	GARDEN SCHOOL FOUNDATION		1,000.00
54	GABRIELLA AXELRAD EDUCATION FDN		1,500 00
55	GIRAFFE PROJECT		2,500 00
56	GRAND STREET SETTLEMENT (New York)		500.00
57	GRTR WEST HOLLYWD FD COAL		7,000 00
58	GUGGENHEIM MUSEUM		1,750.00
59	HAMILTON GALLERIES		500 00

9/28/10	THE ROSENTHAL FAMILY FOUNDATION		
	SCHEDULE OF CONTRIBUTIONS MADE THROUGH DECEMBER, 2009		
			2009
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>
60	HAMMER MUSEUM		1,000.00
61	HEAL THE BAY		1,000 00
62	HIDDEN HARVEST		3,500 00
63	HIGH COUNTRY NEWS RESEARCH FUND		500 00
64	HOBART SHAKESPEAREANS		2,500 00
65	HUMAN RIGHTS CAMPAIGN		5,000 00
66	HUMAN RIGHTS WATCH		2,500 00
67	IFP FISCAL SPONSORSHIP		13,300 00
68	INSIGHTLA		20,000 00
69	INTERNATIONAL RESCUE COMM		10,000 00
70	JEWISH FAMILY SERVICE		3,000 00
71	JEWISH MUSEUM		500 00
72	KAYNE ERAS CENTER		10,000 00
73	KCET-CHANNEL 28 (Los Angeles, CA)		6,000.00
74	KCRW-FM PUBLIC RADIO		1,500 00

	9/28/10 THE ROSENTHAL FAMILY FOUNDATION		
	SCHEDULE OF CONTRIBUTIONS MADE THROUGH DECEMBER, 2009		
			2009
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>
75	Kjazz 99 (name change from KLON RADIO)		1,000.00
76	KPCC FM		2,500 00
77	LAANE		200.00
78	LANGE FOUNDATION		8,000 00
79	LEAGUE OF CONSERVATION VOTERS FD		5,000 00
80	LIBERTY HILL FOUNDATION		50,500.00
81	LIBRARY FDN OF LOS ANGELES		4,200.00
82	LINCOLN CENTER FOR THE PERFORMING ARTS, INC.		2,500 00
83	LINCOLN CENTER THEATER VIVIAN BEAUMONT THEATRE, INC		20,000.00
84	LONG RIDGE FIRE COMPANY (Stamford)		500.00
85	LOS ANGELES CONSERVANCY		2,500 00
86	LA COUNTY MUSEUM OF ART		6,000 00
87	MADRE		500 00
88	MANHATTAN THEATRE CLUB		5,116 00
89	MEDIA ARTS CENTER, SAN DIEGO		300 00

	9/28/10 THE ROSENTHAL FAMILY FOUNDATION		
	SCHEDULE OF CONTRIBUTIONS MADE THROUGH DECEMBER, 2009		
			2009
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>
90	METROPOLITAN MUSEUM OF ART (New York)		1,200.00
91	MOTHER JONES INVESTIGATIVE FUND		10,000 00
92	MOMS RISING		1,000 00
93	MUSEUM OF CONTEMPORARY ART		1,200 00
94	MUSEUM OF MODERN ART (New York)		1,500 00
95	MY FRIEND'S PLACE		1,000 00
96	MVP BASKETBALL CAMP		1,000 00
97	NARAL FOUNDATION		10,000 00
98	NATIONAL BRAIN TUMOR SOCIETY		1,000 00
99	NATIONAL NONPROFIT VOTER ENGAGEMENT		2,000 00
100	NATIONAL TRUST FOR HISTORIC PRESERVATION		1,000 00
101	NATURAL RESOURCES DEFENSE COUNCIL		11,500 00
102	NAVY SEAL WARRIOR FUND		4,000 00
103	NEW CANAAN COUNTRY SCHOOL		5,000 00
104	NEW ROADS SCHOOL		1,100 00

9/28/10	THE ROSENTHAL FAMILY FOUNDATION		
	SCHEDULE OF CONTRIBUTIONS MADE THROUGH DECEMBER, 2009		
			2009
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>
105	NEW YORK PUBLIC LIBRARY		1,500 00
106	NICK BEGICH SCHOLARSHIP INTERN		1,000 00
	NMAI/NAT MUSEUM AMERICAN		1,000 00
107	OJAI FOUNDATION		2,500 00
108	OPERATION USA		1,000 00
109	PEGASUS THERAPEUTIC RIDING INC		500 00
110	PEN CENTER USA		130,000 00
111	PEOPLE FOR THE AMERICAN WAY		20,000.00
112	PET SMART CHARTIES		3,000 00
113	PETS ARE WONDERFUL SUPP FOR PEOP W/AIDS		1,000 00
114	PHOTO BASED ART INC(BLIND SPOT)		500.00
115	PILOBOLUS		500 00
116	PITZER COLLEGE		10,500 00
117	PLANNED PARENTHOOD- FEDERATION		8,500 00
118	PLOUGHSHARES FUND		4,000 00

	9/28/10 THE ROSENTHAL FAMILY FOUNDATION		
	SCHEDULE OF CONTRIBUTIONS MADE THROUGH DECEMBER, 2009		
			2009
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>
119	POETS AND WRITERS, INC		1,000 00
120	PROGRESSIVE JEWISH ALIANCE		750 00
121	RADCLIFFE COLLEGE		1,000 00
122	REAL MEDICINE FOUNDATION		1,000 00
123	REPRISE		1,500 00
124	ROGUE MACHINE THEATER		1,000.00
125	RYMAN ARTS		1,000 00
126	SALK INSTITUTE,THE		1,000 00
127	SAN FRANCISCO CAMERAWORKS		680 00
128	SAN FRANCISCO FILM SOCIETY		2,500 00
129	SHOOL OF LOST BORDERS		1,000 00
130	SHELTER PARTNERSHIP (Los Angeles)		7,500 00
131	SILVERMINE GUILD ARTS CENTER		1,500 00
132	SMITHSONIAN AMERICAN ART MUSEUM		10,000 00
133	SOUTHERN POVERTY LAW CENTER		10,000 00

	9/28/10 THE ROSENTHAL FAMILY FOUNDATION		
	SCHEDULE OF CONTRIBUTIONS MADE THROUGH DECEMBER, 2009		
			2009
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>
134	STAMFORD ART ASSOCIATION		1,000.00
135	STAMFORD EMERGENCY MEDICAL SERVICES		500 00
136	STAMFORD FIRE FIGHTERS BURN FOUNDATION		500 00
137	STAMFORD MUSEUM AND NATURE CENTER		1,000 00
138	STAMFORD POLICE ASSOCIATION, INC.		500 00
139	STAMFORD SYMPHONY ORCHESTRA		20,000 00
140	SUSAN KOMAN CANCER WALK		500 00
141	TEACH FOR AMERICA		5,000.00
142	THEATRE INSITE FOR WORDTHEATRE		750 00
143	THE AMERICAN CINEMATHEQUE		2,500 00
144	THE INTERNATIONAL DOCUMENTARY ASSOCIATION		2,500 00
145	THE MAGNUM FOUNDATION		5,000 00
146	THE WOMEN'S MEDIA CENTER		3,000 00
147	THREEPENNY REVIEW		5,000 00
148	TIDES CENTER-TRIBES IN TRANSITION		1,500 00

	9/28/10 THE ROSENTHAL FAMILY FOUNDATION		
	SCHEDULE OF CONTRIBUTIONS MADE THROUGH DECEMBER, 2009		
			2009
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT.PAID</u>
149	TIKKUN MAGAZINE AND NSP		1,000 00
150	TREE PEOPLE		5,000 00
151	TRICKLE UP		2,500 00
152	UNIV OF CA PRESS FOUNDATION		11,000.00
153	UCLA FOUNDATION#810360 - FRIENDS OF ENGLISH-CAROLYN SEE		750 00
154	UCLA/MED CTR. L RIGLER CTR HEALTH SCIENCES		1,000 00
155	USA FOR UNHCR		1,000 00
156	UNIVERSITY OF MANITOBA/FINAN AIDS & AWARDS		851.20
157	VIOLENCE POLICY CENTER		3,000 00
158	VIRGINIA AVENUE PROJECT		3,500.00
159	VISITING NURSE & HOSPICE CARE OF WE CT		2,750.00
160	WESTVIEW SCHOOL, LA		200 00
161	WHITNEY MUSEUM OF AMERICAN ART		135.00
162	WITNESS		1,000 00
163	WOMEN'S CAMPAIGN INTERNATIONAL		2,000.00

9/28/10	THE ROSENTHAL FAMILY FOUNDATION		
	SCHEDULE OF CONTRIBUTIONS MADE THROUGH DECEMBER, 2009		
			2009
	<u>RECIPIENT OF CONTRIBUTION</u>		<u>AMT. PAID</u>
164	WRIGHT FOUNDATION		500.00
	YEAR END TOTALS		822,262.23

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)			
Type or print File by the extended due date for filing the return. See instructions	Name of Exempt Organization The Rosenthal Family Foundation (f/k/a The Richard and Hinda Rosenthal Fdn.)		Employer identification number 13-6104817
	Number, street, and room or suite no. If a P O box, see instructions 2777 Summer Street, No. 208B		For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions STAMFORD, CT 06905		

Check type of return to be filed (File a separate application for each return)

- | | | | | | |
|--------------------------------------|---|--|--------------------------------------|------------------------------------|------------------------------------|
| ☐ Form 990 | ☐ Form 990-EZ | ☐ Form 990-T (sec 401(a) or 408(a) trust) | ☐ Form 1041-A | ☐ Form 5227 | ☐ Form 8870 |
| ☐ Form 990-BL | ☒ Form 990-PF | ☐ Form 990-T (trust other than above) | ☐ Form 4720 | ☐ Form 6069 | |

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**ASSETS ADMIN & MANAGEMENT INC**

- The books are in the care of **2777 Summer Street Suite 208B, STAMFORD, CT - 06905**
Telephone No **203 325-9900** FAX No _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for
- 4 I request an additional 3-month extension of time until **November 15, 2010**
- 5 For calendar year **2009**, or other tax year beginning _____, and ending _____
- 6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension
Form K-1s from outside investments have not been received

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	12,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	13,086.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature _____ Title _____ Date _____

Form 8868 (Rev. 4-2009)