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**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2009

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply:  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

Use the IRS label. Otherwise, print or type.	Name of foundation <b>NBC UNIVERSAL FOUNDATION</b> Number and street (or P O box number if mail is not delivered to street address) <b>100 UNIVERSAL CITY PLAZA</b>			Room/suite	A Employer identification number <b>13-6096061</b>
See Specific Instructions.	City or town, state, and ZIP code <b>UNIVERSAL CITY, CA 91608</b>				B Telephone number <b>818-777-2168</b>
<p>H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation  <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation</p> <p>I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ►\$ <b>9,023,053.</b> (Part I, column (d) must be on cash basis)</p> <p>J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual  <input type="checkbox"/> Other (specify) _____</p>					
<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received 2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B 3 Interest on savings and temporary cash investments 4 Dividends and interest from securities 5a Gross rents b Net rental income or (loss) 6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a 7 Capital gain net income (from Part IV, line 2) 8 Net short-term capital gain 9 Income modifications Gross sales less returns and allowances 10a b Less: Cost of goods sold c Gross profit or (loss) 11 Other income 12 Total. Add lines 1 through 11	163,850.	163,850.	N/A	STATEMENT 1
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc 14 Other employee salaries and wages 15 Pension plans, employee benefits 16a Legal fees b Accounting fees c Other professional fees 17 Interest 18 Taxes 19 Depreciation and depletion 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications 23 Other expenses	STMT 2	60.	0.	60.
	24 Total operating and administrative expenses Add lines 13 through 23	STMT 3	120.	60.	60.
	25 Contributions, gifts, grants paid		1,450,000.		1,450,000.
	26 Total expenses and disbursements. Add lines 24 and 25		1,450,120.	60.	1,450,060.
	27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements b Net investment income (if negative, enter -0-) c Adjusted net income (if negative, enter -0-)		-1,286,270.	163,790.	N/A

Assets	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year
			(a) Book Value	(b) Book Value
	1 Cash - non-interest-bearing		7,446,628.	6,160,358.
	2 Savings and temporary cash investments			
	3 Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ►			
	Less: allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations	STMT. 4	2,553,800.	2,553,800.
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ►			
	Less accumulated depreciation ►			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ►			
	Less accumulated depreciation ►			
	15 Other assets (describe ► )			
	16 Total assets (to be completed by all filers)		10,000,428.	8,714,158.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ► )			
	23 Total liabilities (add lines 17 through 22)		0.	0.
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted		10,000,428.	8,714,158.
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances		10,000,428.	8,714,158.
	31 Total liabilities and net assets/fund balances		10,000,428.	8,714,158.

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,000,428.
2 Enter amount from Part I, line 27a	2	-1,286,270.
3 Other increases not included in line 2 (itemize) ►	3	0.
4 Add lines 1, 2, and 3	4	8,714,158.
5 Decreases not included in line 2 (itemize) ►	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,714,158.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NONE				
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }		3		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1,598,078.	11,070,421.	.144356
2007	1,884,912.	12,396,595.	.152051
2006	540,075.	13,123,034.	.041155
2005	1,394,461.	13,997,561.	.099622
2004	280,000.	13,878,731.	.020175

2 Total of line 1, column (d)

2 .457359

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .091472

4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5

4 9,745,569.

5 Multiply line 4 by line 3

5 891,447.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 1,638.

7 Add lines 5 and 6

7 893,085.

8 Enter qualifying distributions from Part XII, line 4

8 1,450,060.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	(attach copy of letter if necessary-see instructions)	1	1,638.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		3	1,638.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3	Add lines 1 and 2		5	1,638.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6	Credits/Payments:			
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	6,204.	
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	6,204.	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4,566.	
11	Enter the amount of line 10 to be: Credited to 2010 estimated tax ►	11	0.	

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		
1c	Did the foundation file Form 1120-POL for this year?		
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		
b	If "Yes," has it filed a tax return on Form 990-T for this year?		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>		
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ►		
CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>		
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		

**Part VII-A Statements Regarding Activities (continued)**

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) 11  X

12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? 12  X

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► **N/A** 13  X

14 The books are in care of ► **BRUCE EDDY** Telephone no. ► **818-777-3690**  
Located at ► **100 UNIVERSAL CITY PLAZA, UNIVERSAL CITY, CA** ZIP+4 ► **91608**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► **15** ► **N/A**

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

**Yes** **No**

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes  No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? **SEE SCHEDULE 1**  Yes  No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes  No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes  No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  Yes  No

(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  Yes  No

b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?

Organizations relying on a current notice regarding disaster assistance check here ► **1b** ► **X**

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 1c  X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): 2b  N/A

a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?  Yes  No

If "Yes," list the years ► **2c** **N/A**

b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) 2d  N/A

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► **2e** **N/A**

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  Yes  No

b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) 3b  N/A

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a  N/A

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? 4b  X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

 Yes  No Yes  No Yes  No Yes  No Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

► 

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

 Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

 Yes  No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

 Yes  No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

X

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 5		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

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**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ►

0

**Part IX-A** **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

**Part IX-B** **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
3	All other program-related investments. See instructions.	
<b>Total.</b> Add lines 1 through 3		0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:

a Average monthly fair market value of securities

1a	2,981,113.
1b	6,912,866.
1c	
1d	9,893,979.

b Average of monthly cash balances

c Fair market value of all other assets

d Total (add lines 1a, b, and c)

e Reduction claimed for blockage or other factors reported on lines 1a and

1c (attach detailed explanation)

1e 0.

2 Acquisition indebtedness applicable to line 1 assets

2	0.
3	9,893,979.
4	148,410.
5	9,745,569.
6	487,278.

3 Subtract line 2 from line 1d

4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)

5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4

6 Minimum investment return. Enter 5% of line 5

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6

1	487,278.
2a	1,638.
2b	
2c	1,638.
3	485,640.
4	0.
5	485,640.
6	0.
7	485,640.

2a Tax on investment income for 2009 from Part VI, line 5

b Income tax for 2009. (This does not include the tax from Part VI.)

c Add lines 2a and 2b

3 Distributable amount before adjustments. Subtract line 2c from line 1

4 Recoveries of amounts treated as qualifying distributions

5 Add lines 3 and 4

6 Deduction from distributable amount (see instructions)

7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:

a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26

1a	1,450,060.
1b	0.
2	
3a	
3b	

b Program-related investments - total from Part IX-B

2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes

3 Amounts set aside for specific charitable projects that satisfy the:

a Suitability test (prior IRS approval required)

b Cash distribution test (attach the required schedule)

4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4

5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment

income. Enter 1% of Part I, line 27b

6 Adjusted qualifying distributions. Subtract line 5 from line 4

4	1,450,060.
5	1,638.
6	1,448,422.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				485,640.
2 Undistributed income, if any, as of the end of 2009			0.	
a Enter amount for 2008 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005	122,029.			
c From 2006				
d From 2007	1,270,428.			
e From 2008	1,048,521.			
f Total of lines 3a through e	2,440,978.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 1,450,060.			0.	
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount			485,640.	
e Remaining amount distributed out of corpus	964,420.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	3,405,398.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.	
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.	
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.				0.
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	3,405,398.			
10 Analysis of line 9:				
a Excess from 2005 ..	122,029.			
b Excess from 2006 ..				
c Excess from 2007 ..	1,270,428.			
d Excess from 2008 ..	1,048,521.			
e Excess from 2009 ..	964,420.			



**Part XV. Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
SEE STATEMENT 6				
<i>Total</i>				► 3a 1,450,000.
<i>b Approved for future payment</i>				
NONE				
<i>Total</i>				► 3b 0.

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## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments				14	163,850.
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		163,850.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	163,850.
(See worksheet in line 13 instructions to verify calculations.)					

## **Relationship of Activities to the Accomplishment of Exempt Purposes**



**FORM 990-PF – PART VII-B, QUESTION 1a(2)**

**BORROW MONEY, LEND FROM, LEND MONEY TO, OR OTHERWISE EXTEND CREDIT TO  
A DISQUALIFIED PERSON**

Universal Studios, Inc. ("USI") is a disqualified person with respect to NBC Universal Foundation. NBC Universal Foundation has an arrangement with USI whereby USI pays vendors directly for support services rendered to NBC Universal Foundation. These payments are charged back to NBC Universal Foundation in the form of an interest-free loan. This loan is subsequently forgiven by USI as a charitable contribution to NBC Universal Foundation.

NBC UNIVERSAL FOUNDATION

13-6096061

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INVESTMENT INCOME	163,850.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	163,850.

## FORM 990-PF TAXES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	60.	0.		60.
TO FORM 990-PF, PG 1, LN 18	60.	0.		60.

## FORM 990-PF OTHER EXPENSES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	60.	60.		0.
TO FORM 990-PF, PG 1, LN 23	60.	60.		0.

## FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 4

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. TREASURY NOTES	X		2,553,800.	2,862,695.
TOTAL U.S. GOVERNMENT OBLIGATIONS			2,553,800.	2,862,695.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			2,553,800.	2,862,695.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 5

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CINDY GARDNER 100 UNIVERSAL CITY PLAZA UNIVERSAL CITY, CA 91608	DIRECTOR 1.00	0.	0.	0.
MAREN CHRISTENSEN 100 UNIVERSAL CITY PLAZA UNIVERSAL CITY, CA 91608	EXECUTIVE VP, SECRETARY 1.00	0.	0.	0.
RON MEYER 100 UNIVERSAL CITY PLAZA UNIVERSAL CITY, CA 91608	PRESIDENT & DIRECTOR 1.00	0.	0.	0.
LYNN A. CALPETER 100 UNIVERSAL CITY PLAZA UNIVERSAL CITY, CA 91608	EXECUTIVE VP 1.00	0.	0.	0.
RICHARD COTTON 100 UNIVERSAL CITY PLAZA UNIVERSAL CITY, CA 91608	EXECUTIVE VP 1.00	0.	0.	0.
PATRICIA E. HUTTON 100 UNIVERSAL CITY PLAZA UNIVERSAL CITY, CA 91608	EXECUTIVE VP & TREASURER 1.00	0.	0.	0.
KENNETH L. KAHRS 100 UNIVERSAL CITY PLAZA UNIVERSAL CITY, CA 91608	SENIOR VP 1.00	0.	0.	0.
MARC SAPERSTEIN 100 UNIVERSAL CITY PLAZA UNIVERSAL CITY, CA 91608	SENIOR EXECUTIVE VP 1.00	0.	0.	0.
TODD F. DAVIS 100 UNIVERSAL CITY PLAZA UNIVERSAL CITY, CA 91608	VP/ASSISTANT TREASURER 1.00	0.	0.	0.
H. STEPHEN GORDON 100 UNIVERSAL CITY PLAZA UNIVERSAL CITY, CA 91608	VP/ASSISTANT TREASURER 1.00	0.	0.	0.
DAVID H. MEYERS 100 UNIVERSAL CITY PLAZA UNIVERSAL CITY, CA 91608	VP & ASSISTANT CONTROLLER 1.00	0.	0.	0.

**NBC UNIVERSAL FOUNDATION****13-6096061**

BRIAN J. O'LEARY JR.  
100 UNIVERSAL CITY PLAZA  
UNIVERSAL CITY, CA 91608

VP/ASSISTANT TREASURER  
1.00 0. 0. 0.

MARC PALOTAY  
100 UNIVERSAL CITY PLAZA  
UNIVERSAL CITY, CA 91608

VP/ASSISTANT TREASURER  
1.00 0. 0. 0.

BRIAN DOERGER  
100 UNIVERSAL CITY PLAZA  
UNIVERSAL CITY, CA 91608

VP  
1.00 0. 0. 0.

MARICELA S. MOZQUEDA  
100 UNIVERSAL CITY PLAZA  
UNIVERSAL CITY, CA 91608

ASSISTANT SECRETARY  
1.00 0. 0. 0.

ANDREA R. HARTMAN  
100 UNIVERSAL CITY PLAZA  
UNIVERSAL CITY, CA 91608

ASSISTANT SECRETARY  
1.00 0. 0. 0.

ELIZABETH A. NEWELL  
100 UNIVERSAL CITY PLAZA  
UNIVERSAL CITY, CA 91608

ASSISTANT SECRETARY  
1.00 0. 0. 0.

ANNE B. NIELSEN  
100 UNIVERSAL CITY PLAZA  
UNIVERSAL CITY, CA 91608

ASSISTANT SECRETARY  
1.00 0. 0. 0.

SUSAN E. WEINER  
100 UNIVERSAL CITY PLAZA  
UNIVERSAL CITY, CA 91608

ASSISTANT SECRETARY  
1.00 0. 0. 0.

W. SCOTT SEELEY  
100 UNIVERSAL CITY PLAZA  
UNIVERSAL CITY, CA 91608

ASSISTANT SECRETARY  
1.00 0. 0. 0.

JOHN APADULA  
100 UNIVERSAL CITY PLAZA  
UNIVERSAL CITY, CA 91608

VP/ASSISTANT TREASURER  
1.00 0. 0. 0.

JENNIFER DAWN MAYHEW  
100 UNIVERSAL CITY PLAZA  
UNIVERSAL CITY, CA 91608

VP/ASSISTANT TREASURER  
1.00 0. 0. 0.

DAVID BURG  
100 UNIVERSAL CITY PLAZA  
UNIVERSAL CITY, CA 91608

ASSISTANT SECRETARY  
1.00 0. 0. 0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0. 0. 0.

FORM 990-PF

GRANTS AND CONTRIBUTIONS  
PAID DURING THE YEAR

STATEMENT 6

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
A PLACE CALLED HOME 2830 S. CENTRAL AVENUE LOS ANGELES, CA 90011	PUBLIC CHARITY		50,000.
ADVENTURE THEATRE 7300 MACARTHUR BLVD. GLEN ECHO, MD 20812	PUBLIC CHARITY		20,000.
BOYS AND GIRLS CLUB OF GREATER DALLAS 4816 WORTH ST. DALLAS, TX 75246	PUBLIC CHARITY		35,000.
CAMILLUS HOUSE P O BOX 11829 MIAMI, FL 33101	PUBLIC CHARITY		40,000.
CITIZEN SCHOOLS 308 CONGRESS ST, 5TH FLOOR BOSTON, MA 02210	PUBLIC CHARITY		50,000.
CITY YEAR MIAMI 287 COLUMBUS AVE BOSTON, MA 02116	PUBLIC CHARITY		20,000.
CITY YEAR NEW YORK 287 COLUMBUS AVE BOSTON, MA 02116	PUBLIC CHARITY		55,000.
CITY YEAR WASHINGTON, DC 287 COLUMBUS AVE BOSTON, MA 02116	PUBLIC CHARITY		25,000.

NBC UNIVERSAL FOUNDATION

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COMMUNITIES IN SCHOOLS DALLAS, INC 8700 N. STEMMONS FREEWAY, SUITE 125 DALLAS, TX 75247-3729	PUBLIC CHARITY	27,500.
COMMUNITIES IN SCHOOLS OF MIAMI INC 11965 SW 142ND TER STE 102 MIAMI, FL 33186	PUBLIC CHARITY	35,000.
COMMUNITY PARTNERS F/B/O WRITEGIRL 1000 N. ALAMEDA ST LOS ANGELES, CA 90012	PUBLIC CHARITY	25,000.
COMMUNITY SUPPORT SERVICES, INC 9021 OGDEN AVENUE BROOKFIELD, IL 60513	PUBLIC CHARITY	50,000.
CUBAN-AMERICAN NATIONAL COUNCIL INC 1223 SW 4TH STREET MIAMI, FL 33135	PUBLIC CHARITY	30,000.
DALLAS CONCILLO OF HISP SERV 4800 HARRY HINES BLVD, 2ND FLOOR DALLAS, TX 75235	PUBLIC CHARITY	22,500.
ENCOMPASS 517 S. MYRTLE AVE. MONROVIA, CA 91016	PUBLIC CHARITY	25,000.
ENVIRONMENTORS 1707 HST NW STE 900 WASHINGTON, DC 20006	PUBLIC CHARITY	25,000.
GIRLS INC 120 WALL STREET NEW YORK, NY 10005-3902	PUBLIC CHARITY	50,000.
IMENTOR 40 EXCHANGE PL STE 1202 NEW YORK NEW YORK, NY 10005	PUBLIC CHARITY	55,000.

NBC UNIVERSAL FOUNDATION

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KIDS ECOLOGY CORP  
1350 EAST SUNRISE BLVD FORT  
LAUDERDALE, FL 33304

PUBLIC CHARITY

25,000.

LETS GET READY  
50 BROADWAY, SUITE 806 NEW  
YORK, NY 10004

PUBLIC CHARITY

60,000.

LOS ANGELES CONSERVATION CORPS  
P O BOX 15868 LOS ANGELES, CA  
90015

PUBLIC CHARITY

25,000.

PROJECT GRAD  
4265 SAN FELIPE, ST SUITE 900  
HOUSTONE, TX 77027

PUBLIC CHARITY

75,000.

PROVIDENCE ST. JOSEPH'S  
FOUNDATION  
501 S. BUENA VISTA STREET  
BURBANK, CA 91505-4866

PUBLIC CHARITY

100,000.

SCHOOLS ON WHEELS, INC  
28128 PACIIC COAST HIGH WAY,  
#192 MALIBU, CA 90265

PUBLIC CHARITY

75,000.

SCORE A GOAL IN THE CLASSROOM  
819 PENN ST FORT WORTH, TX  
76102

PUBLIC CHARITY

25,000.

SITAR ARTS CENTER  
1700 KALORAMA ROAD, NW, SUITE  
101 WASHINGTON, DC 20009

PUBLIC CHARITY

20,000.

SOLAR ONE  
24-20 FDR DRIVE SERVICE RD EAST  
NEW YORK, NY 10010

PUBLIC CHARITY

25,000.

STUDENT CONSERVATION ASSOCIATION  
PO BOX 550 CHARLESTOWN, NH  
03603

PUBLIC CHARITY

50,000.

NBC UNIVERSAL FOUNDATION

13-6096061

THE COMMITTEE FOR HISPANIC  
CHILDREN  
110 WILLIAM STREET SUITE 1802  
NEW YORK, NY 10038

PUBLIC CHARITY

53,500.

URBAN ARTS PARTNERSHIP  
414 BROADWAY, 6TH FLOOR NEW  
YORK, NY 10013

PUBLIC CHARITY

61,500.

URBAN EDUCATION PARTNERSHIP  
1055 WEST SEVENTH ST. #200 LOS  
ANGELES, CA 90017

PUBLIC CHARITY

50,000.

VIETNAMESE ASSOC OF ILLINOIS  
5110 N BROADWAY ST CHICAGO , IL  
60640

PUBLIC CHARITY

25,000.

WRITERS GUILD FOUNDATION  
7000 W 3RD ST LOS ANGELES, CA  
90048

PUBLIC CHARITY

50,000.

YMCA OF METRO CHICAGO  
801 NORTH DEARBORN STREET  
CHICAGO, IL 60610

PUBLIC CHARITY

25,000.

YOUNG WOMEN'S LEADERSHIP  
FOUNDATION  
322 EIGHTH AVENUE, SUITE 1402  
NEW YORK, NY 10001

PUBLIC CHARITY

65,000.

TOTAL TO FORM 990-PF, PART XV, LINE 3A

1,450,000.

Form **8868**  
(Rev. April 2008)

Department of the Treasury  
Internal Revenue Service

## Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ►
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form)  
Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Part I** Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ►

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on e-file for Charities & Nonprofits.

Type or print	Name of Exempt Organization <b>NBC UNIVERSAL FOUNDATION</b>	Employer identification number <b>13 6096061</b>
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. <b>180 UNIVERSAL CITY PLAZA BLDG 1280/5</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions <b>UNIVERSAL CITY, CA 91608</b>	

Check type of return to be filed (file a separate application for each return).

<input type="checkbox"/> Form 990	<input type="checkbox"/> Form 990-T (corporation)	<input type="checkbox"/> Form 4720
<input type="checkbox"/> Form 990-BL	<input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust)	<input type="checkbox"/> Form 5227
<input type="checkbox"/> Form 990-EZ	<input type="checkbox"/> Form 990-T (trust other than above)	<input type="checkbox"/> Form 6069
<input checked="" type="checkbox"/> Form 990-PF	<input type="checkbox"/> Form 1041-A	<input type="checkbox"/> Form 8870

- The books are in the care of ► **BRUCE EDDY**

Telephone No ► ( **818** ) **777-3890** FAX No. ► ( **818** ) **866-1553**

- If the organization does not have an office or place of business in the United States, check this box ►
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ►  If it is for part of the group, check this box \_\_\_\_\_ ►  and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 16**, 20**10**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- calendar year 20**09** or
- tax year beginning \_\_\_\_\_, 20\_\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_\_

2 If this tax year is for less than 12 months, check reason.  Initial return  Final return  Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$ <b>2000</b>
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$ <b>6204</b>
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$ <b>NONE</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ►
- Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

<b>Part II Additional (Not Automatic) 3-Month Extension of Time. You must file original and one copy.</b>		
Type or print  File by the extended due date for filing the return. See instructions	Name of Exempt Organization  NBC UNIVERSAL FOUNDATION	Employer identification number  13 : 6096061
	Number, street, and room or suite no. If a P.O. box, see instructions.  100 UNIVERSAL CITY PLAZA BLDG 1280/5	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.  UNIVERSAL CITY, CA 91608	

Check type of return to be filed (File a separate application for each return).

<input type="checkbox"/> Form 990	<input checked="" type="checkbox"/> Form 990-PF	<input type="checkbox"/> Form 1041-A	<input type="checkbox"/> Form 6069
<input type="checkbox"/> Form 990-BL	<input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust)	<input type="checkbox"/> Form 4720	<input type="checkbox"/> Form 8870
<input type="checkbox"/> Form 990-EZ	<input type="checkbox"/> Form 990-T (trust other than above)	<input type="checkbox"/> Form 5227	

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ► BRUCE EDDY  
Telephone No ► ( 818 ) 777-3690 ..... FAX No ► ( 818 ) 866-1553 .....
- If the organization does not have an office or place of business in the United States, check this box ►
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ..... If this is for the whole group, check this box ..... ►  . If it is for part of the group, check this box. .... ►  and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until ..... NOVEMBER 15 ..... 20 10 .....
- 5 For calendar year 2009, or other tax year beginning....., 20..., and ending ....., 20....
- 6 If this tax year is for less than 12 months, check reason  Initial return  Final return  Change in accounting period
- 7 State in detail why you need the extension  
MORE TIME IS NEEDED TO COMPILE THE NECESSARY INFORMATION TO FILE A COMPLETE AND ACCURATE TAX RETURN

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$ 2000
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$ 6204
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$ NONE

#### Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ►

Title ►

VR Tax

Date ► 8/19/10