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Return of Private Foundation
 or Section 4947(a)(1) Nonexempt Charitable Trust
 Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply Initial return Initial return of a former public charity Final return
 Amended return Address change Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation THE RIDGEFIELD FOUNDATION		A Employer identification number 13-6093563
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see page 10 of the instructions) (212) 750-9330
	570 LEXINGTON AVENUE, 33RD FLOOR		
	City or town, state, and ZIP code NEW YORK, NY 10022		

H Check type of organization Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 79,861,460.

J Accounting method Cash Accrual
 Other (specify) _____
 (Part I, column (d) must be on cash basis)

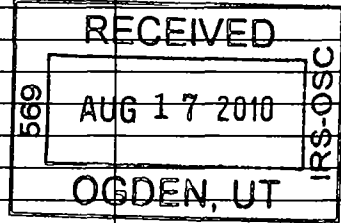
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
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	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	11,500.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	21,303.	22,521.		ATCH 1
4 Dividends and interest from securities	930,931.	992,993.		ATCH 2
5a Gross rents	67,018.	67,018.		
b Net rental income or (loss) 67,018.				
6a Net gain or (loss) from sale of assets not on line 10	-3,910,939.			ATCH 18
b Gross sales price for all assets on line 6a 50,288,566.				
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	49,853.	-14,694.		ATCH 3
12 Total. Add lines 1 through 11	-2,830,334.	1,067,838.		
13 Compensation of officers, directors, trustees, etc	280,613.	140,307.		140,306.
14 Other employee salaries and wages	203,000.	101,500.		101,500.
15 Pension plans, employee benefits	44,945.	22,472.		22,473.
16a Legal fees (attach schedule) ATCH 4	54,617.	27,309.	0.	27,308.
b Accounting fees (attach schedule) ATCH 5	280,933.	140,466.	0.	140,467.
c Other professional fees (attach schedule) *	583,079.	583,079.		
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) **	-39,376.	49,849.		15,246.
19 Depreciation (attach schedule) and depletion	3,864.			
20 Occupancy	187,123.	93,561.		93,562.
21 Travel, conferences, and meetings	7,013.			7,013.
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 8	189,528.	219,656.		25,575.
24 Total operating and administrative expenses. Add lines 13 through 23	1,795,339.	1,378,199.	0.	573,450.
25 Contributions, gifts, grants paid	7,261,081.			7,261,081.
26 Total expenses and disbursements Add lines 24 and 25	9,056,420.	1,378,199.	0.	7,834,531.
27 Subtract line 26 from line 12	-11,886,754.			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		-0-		
c Adjusted net income (if negative, enter -0-)			-0-	



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Operating and Administrative Expenses

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		21,972,918.	7,164,552.	7,164,526.
	3	Accounts receivable ▶ 189,645.				
		Less: allowance for doubtful accounts ▶		248,739.	189,645.	189,645.
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH 9		35,364,008.	21,166,996.	20,070,452.
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH 10		31,583,242.	48,756,545.	52,330,778.	
14	Land, buildings, and equipment basis					
	Less: accumulated depreciation (attach schedule) ▶ 42,357.		14,148.	18,563.	ATCH 11 18,563.	
	23,794.		87,496.	87,496.	87,496.	
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		89,270,551.	77,383,797.	79,861,460.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds		89,270,551.	77,383,797.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		0.	0.	
30	Total net assets or fund balances (see page 17 of the instructions)		89,270,551.	77,383,797.		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)		89,270,551.	77,383,797.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	89,270,551.
2	Enter amount from Part I, line 27a	2	-11,886,754.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	77,383,797.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	77,383,797.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(l) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-5,085,226.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8.		}	3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	6,350,124.	101,570,534.	0.062519
2007	6,278,517.	117,750,132.	0.053321
2006	4,260,614.	98,967,488.	0.043051
2005	4,131,153.	40,589,698.	0.101778
2004	3,833,042.	41,914,195.	0.091450
2	Total of line 1, column (d)		2 0.352119
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 0.070424
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5		4 74,079,098.
5	Multiply line 4 by line 3		5 5,216,946.
6	Enter 1% of net investment income (1% of Part I, line 27b)		6
7	Add lines 5 and 6		7 5,216,946.
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.		8 7,834,531.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

Table with 11 rows for excise tax calculations. Includes items like 'Exempt operating foundations', 'Domestic foundations', 'Tax under section 511', and 'Credits/Payments'. Total tax due is 0, with a 15,000 overpayment.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and foundation requirements. Marked with 'Yes' or 'No' and 'X'.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) 11 X
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? 12 X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 X
Website address N/A
14 The books are in care of ARTHUR S. HOFFMAN Telephone no 212-750-9330
Located at 570 LEXINGTON AVENUE, 33RD FLOOR NEW YORK, NY ZIP + 4 10022
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? 1b X
Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? Yes No
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions) 2b N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) 3b N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? 4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to... (1) Carry on propoganda... (2) Influence the outcome of any specific public election... (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance... c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ATTACHMENT 12 d Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? e Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? f At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? g If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (if not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Row 1: ATTACHMENT 13, 280,613, 4,102, 0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Row 1: ATTACHMENT 14, 191,000, 30,201, 0.

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATTACHMENT 15		709,316.

Total number of others receiving over \$50,000 for professional services		2

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	55,340,440.
b	Average of monthly cash balances	1b	19,548,500.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	318,266.
d	Total (add lines 1a, b, and c)	1d	75,207,206.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	75,207,206.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	1,128,108.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	74,079,098.
6	Minimum investment return. Enter 5% of line 5	6	3,703,955.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	3,703,955.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	0.
b	Income tax for 2009. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,703,955.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	3,703,955.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,703,955.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,834,531.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,834,531.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,834,531.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				3,703,955.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years 20 07, 20 06, 20 05				
3 Excess distributions carryover, if any, to 2009				
a From 2004 1,760,460.				
b From 2005 2,111,270.				
c From 2006				
d From 2007 708,871.				
e From 2008 1,285,165.				
f Total of lines 3a through e	5,865,766.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 7,834,531.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				3,703,955.
e Remaining amount distributed out of corpus	4,130,576.			
5 Excess distributions carryover applied to 2009 (if an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	9,996,342.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	1,760,460.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	8,235,882.			
10 Analysis of line 9				
a Excess from 2005 2,111,270.				
b Excess from 2006				
c Excess from 2007 708,871.				
d Excess from 2008 1,285,165.				
e Excess from 2009 4,130,576.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with columns: Tax year (a) 2009, (b) 2008, (c) 2007, (d) 2006, (e) Total. Rows include 2a (Adjusted net income), 2b (85% of line 2a), 2c (Qualifying distributions), 2d (Amounts included in line 2c), 2e (Qualifying distributions made directly), 3 (Alternative tests), 3a (Assets alternative test), 3b (Endowment alternative test), 3c (Support alternative test).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> ATTACHMENT 16				
Total. ▶ 3a				7,261,081.
b <i>Approved for future payment</i>				
Total. ▶ 3b				

Schedule of Contributors

2009

▶ Attach to Form 990, 990-EZ, or 990-PF.

Name of the organization
THE RIDGEFIELD FOUNDATION

Employer identification number
13-6093563

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE RIDGEFIELD FOUNDATION	Employer identification number 13-6093563
-------------------------------------------------------	-----------------------------------------------------

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	MARITAL TRUST U/W ERNA D. LEIR 570 LEXINGTON AVENUE, 33RD FLOOR NEW YORK, NY 10022	\$ 11,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
13224584.		STATE STREET - PKUB - SEE STMT A PROPERTY TYPE: SECURITIES 13827161.				P	VARIOUS -602,577.	VARIOUS
2,210,738.		STATE STREET - PKUF - SEE STMT B PROPERTY TYPE: SECURITIES 2,064,582.				P	VARIOUS 146,156.	VARIOUS
6,789,783.		STATE STREET - PKUF - SEE STMT B PROPERTY TYPE: SECURITIES 7,504,013.				P	VARIOUS -714,230.	VARIOUS
2,024,888.		STATE STREET - PKUG - SEE STMT C PROPERTY TYPE: SECURITIES 2,234,343.				P	VARIOUS -209,455.	VARIOUS
92,375.		STATE STREET - PKUG - SEE STMT C PROPERTY TYPE: SECURITIES 151,012.				P	VARIOUS -58,637.	VARIOUS
5,328,172.		STATE STREET - PKUN - SEE STMT D PROPERTY TYPE: SECURITIES 5,001,960.				P	VARIOUS 326,212.	VARIOUS
		STATE STREET - PKUN - SEE STMT D PROPERTY TYPE: SECURITIES				P	VARIOUS 65,877.	VARIOUS
2,733,288.		STATE STREET - PKUN - SEE STMT D PROPERTY TYPE: SECURITIES 3,100,849.				P	VARIOUS -367,561.	VARIOUS
382,071.		STATE STREET - PKUN - SEE STMT E PROPERTY TYPE: SECURITIES 271,022.				P	VARIOUS 111,049.	VARIOUS
8,740,431.		STATE STREET - PKUW - SEE STMT F PROPERTY TYPE: SECURITIES 8,160,799.				P	VARIOUS 579,632.	VARIOUS
3,986,104.		STATE STREET - PKUW - SEE STMT F PROPERTY TYPE: SECURITIES 5,124,303.				P	VARIOUS -1138199.	VARIOUS
814,184.		STATE STREET - PKVP - SEE STMT G PROPERTY TYPE: SECURITIES 846,910.				P	VARIOUS -32,726.	VARIOUS

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
211,948.		STATE STREET - PKVQ - SEE STMT H PROPERTY TYPE: SECURITIES 206,489.				P	VARIOUS	VAIROUS 5,459.
2,250,000.		E II REALTY SECS FD PROPERTY TYPE: SECURITIES 5,459,677.				P	10/04/2007	08/26/2009 -3209677.
1,500,000.		EII INTERNATIONAL PROPERTY FD PROPERTY TYPE: SECURITIES 1,007,297.				P	02/01/2006	08/26/2009 492,703.
		DANONE SPONS ADR - CAPITAL GAIN PROPERTY TYPE: SECURITIES				P	VARIOUS	6/2009 1,823.
		CURRENCY GAIN (LOSS) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS -10,184.
		SPRINT - SETTLEMENT CLAIM PROPERTY TYPE: SECURITIES				P	VARIOUS	321.
		AIG - SETTLEMENT CLAIM PROPERTY TYPE: SECURITIES				P	VARIOUS	535.
		DORAL FINANCIAL - CLASS ACTION CLAIM					VARIOUS	344.
		NORTHFORK BANCORP - CLASS ACTION CLAIM PROPERTY TYPE: SECURITIES				P	VARIOUS	727.
		S/T CAPITAL LOSS THRU PHILIPE FD (K-1) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS -104,256.
		L/T CAPITAL LOSS THRU PHILIPPE FD (K-1) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS -415,145.
		SEC 988 G/L THRU PHILIPPE FD (K-1) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS 12,218.

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold	
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)		
		SEC 1231 G/L THRU LINN ENERGY (K-1) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS	
							1,985.		
		SEC 1231 G/L THRU ENTERPRISE (K-1) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS	
							-151.		
		SEC 1256 G/L THRU U.S. NATURAL GAS (K-1) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS	
							-7,343.		
		TOTAL CAPITAL GAIN DISTRIBUTION						523.	
		S/T CAPITAL GAIN THRU OCA ASHMORE (K-1) PROPERTY TYPE: SECURITIES				P			
							1,312.		
		S/T CAPITAL GAIN THRU OCA EAGLE (K-1) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS	
							21,264.		
		L/T CAPITAL GAIN THRU OCA EAGLE (K-1) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS	
							18,036.		
		SEC 1231 G/L THRU OCA EAGLE (K-1) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS	
							-1,361.		
		SEC 1256 G/L THRU OCA EAGLE (K-1) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS	
							100.		
TOTAL GAIN (LOSS)							<u>-5085226.</u>		

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
BANK OF NEW YORK - 306495	707.	707.
BANK OF NEW YORK	1,010.	1,010.
STATE STREET - PKUD	6,821.	6,821.
STATE STREET - PKUE	1,926.	1,926.
STATE STREET - PKUF	1,290.	1,290.
STATE STREET - PKUG	4,683.	4,683.
STATE STREET - PKUN	4,339.	4,339.
STATE STREET - PKUW	291.	291.
CAPITAL ONE, N.A.	0.	1,065.
IRS	236.	236.
INTEREST THRU THE PHILIPPE FUND (K-1)	0.	86.
INTEREST THRU LINN ENERGY (K-1)	0.	5.
INTEREST THRU ENTERPRISE PRODUCTS (K-1)	0.	42.
INTEREST THRU U.S. NATURAL GAS (K-1)	0.	17.
INTEREST THRU OCA ASHMORE EMERGING (K-1)	0.	3.
TOTAL	<u>21,303.</u>	<u>22,521.</u>

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
STATE STREET - PKUB	270,102.	270,102.
STATE STREET - PKUB (VANGUARD S/T BOND)	6,836.	6,836.
STATE STREET - PKUE	7,128.	7,128.
STATE STREET - PKUF	151,681.	151,681.
STATE STREET - PKUG	13,506.	13,506.
STATE STREET - PKUN	152,611.	152,611.
STATE STREET - PKUY	47,116.	47,116.
STATE STREET - PKUW	134,625.	134,625.
STATE STREET - PKUX	112,086.	112,086.
STATE STREET - PKVP	13,195.	13,195.
STATE STREET - PKVQ	22,045.	22,045.
DIVIDEND THRU THE PHILIPPE FUND (K-1)	0.	62,058.
DIVIDEND THRU ENTERPRISE PRODUCT (K-1)	0.	4.
TOTAL	<u>930,931.</u>	<u>992,993.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER INCOME THRU LINN ENERGY (K-1)	21,420.	17,691.
OTHER INCOME THRU U.S. NATURAL GAS (K-1)	0.	-1,411.
OTHER INCOME THRU ENTERPRISE PROD (K-1)	3,816.	0.
OTHER INCOME THRU OCA EAGLE GLOBAL (K-1)	24,617.	-30,974.
TOTALS	<u>49,853.</u>	<u>-14,694.</u>

ATTACHMENT 4

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CADWALADER, WICKERSHAM & TAFT	54,617.	27,309.		27,308.
TOTALS	<u>54,617.</u>	<u>27,309.</u>	<u>0.</u>	<u>27,308.</u>

ATTACHMENT 5

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
SCHONBRAUN MCCANN GROUP - TAX, ACCOUNTING, AND CONSULTING	236,070.	118,035.		118,035.
CORNERSTONE ACCOUNTING GROUP - AUDIT FEE	44,863.	22,431.		22,432.
TOTALS	<u>280,933.</u>	<u>140,466.</u>	<u>0.</u>	<u>140,467.</u>

ATTACHMENT 6FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT ADVISORY FEES:		
CLAIRVEST	164,066.	164,066.
WILKINSON O'GRADY	36,261.	36,261.
FIRST MANHATTAN	52,798.	52,798.
SCHRODERS	132,376.	132,376.
OFFIT HALL	109,304.	109,304.
CHRISTIAN LANGE	67,500.	67,500.
EDGEWOOD MANAGEMENT	17,357.	17,357.
ADVISORY RESEARCH	3,417.	3,417.
TOTALS	<u>583,079.</u>	<u>583,079.</u>

ATTACHMENT 7

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
FOREIGN TAX	21,380.	21,380.	
FEDERAL EXCISE TAX (REFUND)	-91,247.		
PAYROLL TAXES	30,491.	15,245.	15,246.
FOREIGN TAX THRU PHILIPPE (K-1)	0.	13,039.	
FOREIGN TAX THRU OCA EAGLE (K-1)	0.	185.	
TOTALS	<u>-39,376.</u>	<u>49,849.</u>	<u>15,246.</u>

ATTACHMENT 8

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
PAYROLL FEE	1,550.	775.	775.
OFFICE EQUIP	1,888.	944.	944.
COMPUTER SOFTWARE	11,565.	5,783.	5,782.
POSTAGE AND SHIPPING	8,896.		8,896.
TELEPHONE	8,705.	4,352.	4,353.
MESSENGER SERVICE	715.		715.
CAR SERVICE	1,832.		1,832.
INSURANCE	10,976.		5,488.
SUPPLIES	16,487.		8,243.
COPIER MACHINE	6,498.	3,249.	3,249.
DUES & SUBSCRIPTION	830.		830.
INTERNET ACCESS	2,778.	1,389.	1,389.
NY FILING FEE	1,500.		1,500.
STATE STREET EXPENSE	9,363.	9,363.	
OTHER OFFICE EXPENSE	2,760.		2,760.
BANK CHARGES	692.	346.	346.
STATE STREET - MISC FEES	2,663.	2,663.	
REIMBURSEMENT FROM AFFILIATE	-31,327.	-9,799.	-21,528.
CUSTODY FEE	131,157.	131,157.	
DEDUCT THRU PHILIPPE FD (K-1)	0.	21,789.	
DEDUCT THRU LINN ENERGY (K-1)	0.	7,824.	
DEDUCT THRU OCA ASHMORE (K-1)	0.	10,574.	
DEDUCT THRU OCA EAGLE (K-1)	0.	15,516.	
TOTALS	<u>189,528.</u>	<u>219,656.</u>	<u>25,575.</u>

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 9

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
WILKINSON O'GRADY - STMT I	2,598,816.	2,014,278.	2,029,101.
FIRST MANHATTAN	8,251,980.	0.	0.
CLAIRVEST - STMT J	4,977,119.	4,432,648.	5,186,653.
CLAIRVEST - STMT K	-141,706.	-64,807.	-84,630.
SCHROEDERS	8,157,538.	0.	0.
EUROPEAN INVESTORS - STMT L	11,520,261.	6,060,583.	3,547,105.
EDGEWOOD MANAGEMENT - STMT M	0.	4,898,711.	5,234,168.
ADVISORY RESEARCH - STMT N	0.	3,825,583.	4,158,055.
TOTALS	<u>35,364,008.</u>	<u>21,166,996.</u>	<u>20,070,452.</u>

THE RIDGEFIELD FOUNDATION

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 10

DESCRIPTION	BEGINNING	ENDING	ENDING
	BOOK VALUE	BOOK VALUE	FMV
CITIGROUP PE PARTNERS	3,927,320.	4,213,765.	3,222,422.
INSTITUTIONAL INVESTORS FD LTD	7,000,000.	4,894,155.	5,918,746.
OFFIT HALL ABSOLUTE RET. FUND	5,000,000.	3,500,000.	4,545,352.
PIMCO FUNDS	6,500,000.	0.	0.
PHILIPPE FUND EUROPEAN	3,000,000.	0.	0.
VANGUARD BOND INDEX FUND	0.	5,500,000.	5,452,902.
DAEDALUS OFFSHORE FUND	0.	3,000,000.	3,137,756.
PERSHING SQUARE INTL LTD	0.	2,000,000.	2,361,494.
MKP CREDIT OFFSHORE LTD	0.	2,500,000.	2,508,545.
OWL CREEK OVERSEAS FUND LTD	0.	2,000,000.	2,082,832.
ANCHORAGE CAPITAL PARTNERS	0.	2,500,000.	2,673,505.
BRIGADE LEVERAGED CAP STRU	0.	3,000,000.	3,191,940.
TACONIC OPPORTUNITY OFFSHORE	0.	3,000,000.	3,136,696.
OCA ASHMORE EMERGING MARKET	0.	4,000,000.	3,990,568.
OCA BROOKSIDE CAPITAL PARTNER	0.	3,000,000.	3,111,936.
OCA EAGLE GLOBAL MLP LLC	0.	3,500,000.	3,984,697.
EII INTERNATIONAL PRPTY FUND	3,155,922.	2,148,625.	3,011,387.
ROGOSIN INVESTMENT FUND	3,000,000.	0.	0.
TOTALS	31,583,242.	48,756,545.	52,330,778.

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT

ATTACHMENT 11

ACCUMULATED DEPRECIATION DETAIL

FIXED ASSET DETAIL

ASSET DESCRIPTION	METHOD/ CLASS	FIXED ASSET DETAIL			ACCUMULATED DEPRECIATION DETAIL		
		BEGINNING BALANCE	ADDITIONS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	ENDING BALANCE
OFFICE EQUIPMENT	M5	4,005		4,005	2,852	461	3,313
FURN & FIXTURES	SL	10,767		10,767	10,767		10,767
FURN & FIXTURES	M7	2,723		2,723	2,723		2,723
FURN & FIXTURES	M7	2,271		2,271	2,271		2,271
COMPUTERS	SL	6,400		6,400	640	1,280	1,920
FURN & FIXTURES	SL	1,181		1,181	84	169	253
ELEC WATER HEATER	SL	2,800		2,800	200	400	600
OFFICE EQUIPMENT	SL	3,931		3,931	393	786	1,179
OFFICE EQUIPMENT	SL		88	88		9	9
COMPUTERS	SL		6,085	6,085		609	609
FURN & FIXTURES	SL		2,106	2,106		150	150
TOTALS		<u>34,078</u>		<u>42,357</u>	<u>19,930</u>		<u>23,794</u>

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: SEE ATTACHMENT 12A
GRANTEE'S ADDRESS:
CITY, STATE & ZIP:
GRANT DATE:
GRANT AMOUNT:
GRANT PURPOSE:
AMOUNT EXPENDED:
ANY DIVERSION? NO
DATES OF REPORTS:
VERIFICATION DATE:
RESULTS OF VERIFICATION:

**THE RIDGEFIELD FOUNDATION
2009**

Part VII-B, Question 5(c)

Information relating to grants subject to expenditure responsibility

Grantee: Kannerduerf Village d'Enfants

Date Grants Paid:	May 21, 2009	\$ 15,000
		<u>\$ 15,000</u>

Purpose: Animal project & upkeep of Leir house

Amount of grant spent by grantee during 2009	<u>15,000</u>
----------------------------------------------	---------------

Diversion: To the knowledge of the foundation, and based upon information provided by the grantee, no part of the grant has been used for other than its intended purpose.

FORM 990FE, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 13

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
WALTER F LEINHARDT 570 LEXINGTON AVENUE, 33RD FLOOR NEW YORK, NY 10022	DIRECTOR 1.00	0.	0.	0.
MARGOT GIBIS 570 LEXINGTON AVENUE, 33RD FLOOR NEW YORK, NY 10022	DIRECTOR/VP * 40.00	59,137.	1,274.	0.
ARTHUR S. HOFFMAN 570 LEXINGTON AVENUE, 33RD FLOOR NEW YORK, NY 10022	PRES/TREASURER/DIRECTOR * 40.00	221,476.	2,828.	0.
FRED M. LOWENFELS C/O TRANSAMMONIA INC 350 PARK AVENUE NEW YORK, NY 10022	SECRETARY/DIRECTOR 1.00	0.	0.	0.
SAUL FENSTER 570 LEXINGTON AVENUE, 33RD FLOOR NEW YORK, NY 10022	DIRECTOR 1.00	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 13 (CONT'D)

NAME AND ADDRESS

TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION

COMPENSATION

CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS

EXPENSE ACCT AND OTHER ALLOWANCES

SUNHEE KIM GERTZ
570 LEXINGTON AVENUE, 33RD FLOOR
NEW YORK, NY 10022

DIRECTOR
1.00

0.

0.

0.

* THE PRESIDENT AND VICE PRESIDENT ARE EMPLOYED FULL TIME BY THE FOUNDATION AND ITS AFFILIATES

GRAND TOTALS

280,613.

4,102.

0.

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 14

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS EXPENSE ACCT TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES</u>
STEPHEN ROSENTHAL 570 LEXINGTON AVENUE NEW YORK, NY 10022	OFFICE MANAGER 40.00	111,000.	19,619. 0.
MAGS MAURO 570 LEXINGTON AVENUE NEW YORK, NY 10022	SECRETARY 40.00	80,000.	10,582. 0.
	TOTAL COMPENSATION	<u>191,000.</u>	<u>30,201.</u> 0.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 15

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
FTI SCHONBRAUN MCCANN GROUP 750 THIRD AVENUE NEW YORK, NY 10017	ACCOUNTING FEES	236,070.
CLAIRVEST NEW YORK, NY	INVESTMENT ADV FEE	164,066.
OFFIT CAPITAL ADVISOR 485 LEXINGTON AVENUE NEW YORK, NY 10017	INVESTMENT ADV FEE	109,304.
SCHRODERS NEW YORK, NY	INVESTMENT ADV FEE	132,376.
CHRISTIAN LANGE NEW YORK, NY 10021	INVESTMENT ADV FEE	67,500.
	TOTAL COMPENSATION	<u>709,316.</u>

FORM 990FF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 16

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND
FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS	GRANTEES THAT ARE PUBLIC OR PUBLIC CHARITIES EQUIVALENTS (SEE STATEMENT O)	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	NONE	UNRESTRICTED GRANTS	7,261,081
TOTAL CONTRIBUTIONS PAID			<u>7,261,081</u>

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 17

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
OTHER INCOME THRU PARTNERSHIP K-1S			01	49,853.	
TOTALS				<u>49,853.</u>	

The Ridgefield Foundation
Capital gains / losses per books
2 0 0 9

Form 990-PF, page 3, Part IV - line 2 (5,085,226)

Gain (loss) from pass through Partnerships:

Short-term capital gain / loss from The Philippe Fund - Euro Small Cap LLC	104,256
Long-term capital gain / loss from The Philippe Fund - Euro Small Cap LLC	415,145
Section 988 foreign exchange gain / loss from The Philippe Fund - Euro Small Cap LLC	(12,218)
Section 1256 gain / loss from U.S. Natural Gas Fund LP	7,343
Section 1231 gain / loss from Linn Energy LLC	(1,985)
Section 1231 gain / loss from Enterprise Products Partners LP	151
Short-term capital gain / loss from OCA Ashmore Emerging Markets Currency Fund LLC	(1,312)
Short-term capital gain . Loss from OCA Eagle Global MLP LLC	(21,264)
Long-term capital gain / loss from OCA Eagle Global MLP LLC	(18,036)
Section 1231 gain / loss from OCA Eagle Global MLP LLC	1,361
Section 1256 gain / loss from OCA Eagle Global MLP LLC	(100)

Cost basis of securities sold - book / tax difference:

The Philippe Fund - Euro Small Cap LLC	721,316
U.S Natural Gas Fund LP	(8,737)
Linn Energy LLC	(9,398)
Enterprise Products Partners LP	(2,235)

Form 990-PF, page 1, line 6(a) (3,910,939)

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain Loss: Base	Gain Loss: Type	Currency Gain Loss: Base	Total Gain Loss: Base
PKUB	INSTITUTIONAL INVEST FUND LTD	090112	4129 02	500,000 00	020201	4129 02	474,459 77	25,540 23	L	-	-
PKUB	INSTITUTIONAL INVEST FUND LTD	091008	14197 245	1,829,315 00	020201	14197 245	1,631,385 06	197,929 94	L	-	-
PKUB	OFFIT HALL ABSOLUTE RET FUND	090115	1500000	1,500,000 00	041001	1500000	1,500,000 00	-	L	-	-
PKUB	PIMCO FDS	090821	639134 71	6,640,609 64	050513	639134 71	6,500,000 00	140,609 64	L	-	-
PKUB	PHILIPPE FUND EUROPEAN	090707	22168.359	2,672,019 00	050401	22168.359	3,609,676.58	(937,657 58)	L	-	-
PKUB	PHILIPPE FUND EUROPEAN	091023	685 619	82,640.00	050401	685.619	111,639 42	(28,999 42)	L	-	-
PKUB Total				13,224,583.64			13,827,160.83	(602,577.19)		0.00	
Summary PKUB											
Short-term capital gain (loss) S -											
Long-term capital gain (loss) L (602,577 19)											
							13,827,160 83	(602,577 19)	L	-	(602,577 19)
							13,827,160 83	(602,577 19)	L	-	(602,577 19)

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell		Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain		Gain Loss Type	Currency	
				Net Proceeds: (Net Amt - Interest)	Net Proceeds: (Net Amt - Interest)				Loss: Base	Gain: Base		Loss: Base	Gain: Base
PKUF	ABB LTD	090826	11000		211,008.97	090109	11000	157,088.50	53,920.47		S		
PKUF	AMERICAN EXPRESS CO	090826	13000		423,574.31	990205	4500	129,324.27	17,297.61		L		
PKUF	AMERICAN EXPRESS CO					990205	1200	34,510.15	4,589.02		L		
PKUF	AMERICAN EXPRESS CO					990805	600	22,020.28	(2,470.70)		L		
PKUF	AMERICAN EXPRESS CO					990805	1800	66,060.82	(7,412.07)		L		
PKUF	AMERICAN EXPRESS CO					010619	1900	64,621.41	(2,714.40)		L		
PKUF	AMERICAN EXPRESS CO					090209	3000	53,321.50	44,426.42		S		
PKUF	AMERICAN EXPRESS CO					090428	21	61,037.08	8,776.58		S		
PKUF	BERKSHIRE HATHAWAY INC DEL	090826	55		182,845.30	090430	34	104,426.98	8,604.66		S		
PKUF	BERKSHIRE HATHAWAY INC DEL					061218	3000	235,557.40	(137,395.65)		L		
PKUF	BLACK + DECKER CORPORATION	090401	3000		98,161.75	080131	2300	125,642.72	(36,416.13)		L		
PKUF	CITY NATL CORP	090826	4600		178,453.17	080505	2300	115,609.43	(26,382.85)		L		
PKUF	CITY NATL CORP					070725	4000	119,407.80	(104,391.19)		L		
PKUF	CROSTEX ENERGY INC	090826	18500		69,451.81	070727	5000	145,887.00	(127,116.24)		L		
PKUF	CROSTEX ENERGY INC					080417	9500	323,122.85	(287,458.41)		L		
PKUF	DISCOVERY COMMUNICATIONS INC	090826	9500		252,098.52	060124	500	8,384.22	4,884.12		L		
PKUF	DISCOVERY COMMUNICATIONS INC					060425	4500	71,475.56	47,939.53		L		
PKUF	DISCOVERY COMMUNICATIONS INC					061020	4500	73,785.45	45,629.64		L		
PKUF	DISCOVERY COMMUNICATIONS INC					060124	500	5,791.58	6,166.80		L		
PKUF	DISCOVERY COMMUNICATIONS INC					060425	4500	49,373.29	58,252.10		L		
PKUF	DISCOVERY COMMUNICATIONS INC					061020	4500	50,968.91	56,656.47		L		
PKUF	DISCOVERY COMMUNICATIONS INC					061206	5000	234,417.92	(73,546.06)		L		
PKUF	DU PONT E I DE NEMOURS + CO	090826	12500		402,179.66	070126	2200	108,164.24	(37,380.62)		L		
PKUF	DU PONT E I DE NEMOURS + CO					080125	3800	169,511.84	(47,249.22)		L		
PKUF	DU PONT E I DE NEMOURS + CO					090129	1500	35,740.00	12,521.56		S		
PKUF	DU PONT E I DE NEMOURS + CO					010808	2000	84,638.17	(56,081.68)		L		
PKUF	GENERAL ELEC CO	090826	18000		257,008.39	011003	1000	37,916.93	(23,638.69)		L		
PKUF	GENERAL ELEC CO					011218	3000	120,187.48	(77,352.75)		L		
PKUF	GENERAL ELEC CO					020423	700	23,130.03	(13,135.26)		L		
PKUF	GENERAL ELEC CO					020819	1300	42,344.35	(23,782.63)		L		
PKUF	GENERAL ELEC CO					021106	400	10,738.62	(5,027.32)		L		
PKUF	GENERAL ELEC CO					021223	2600	67,797.44	(30,674.01)		L		
PKUF	GENERAL ELEC CO					040317	3000	92,385.34	(49,550.61)		L		
PKUF	GENERAL ELEC CO					060125	1000	33,122.45	(18,844.21)		L		
PKUF	GENERAL ELEC CO					080501	3000	98,065.00	(55,230.26)		L		
PKUF	INTERNATIONAL BUSINESS MACHS	090826	6800		804,464.36	960626	1000	24,963.94	93,339.64		L		
PKUF	INTERNATIONAL BUSINESS MACHS					960626	1800	44,940.20	168,006.25		L		
PKUF	INTERNATIONAL BUSINESS MACHS					960627	4000	98,825.84	374,388.49		L		
PKUF	KNOLL INC	090826	28000		276,887.88	071205	12150	209,567.55	(89,417.99)		L		
PKUF	KNOLL INC					071206	1850	31,550.48	(13,256.10)		L		
PKUF	KNOLL INC					080424	5200	67,021.28	(15,599.25)		L		

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain		Gain Loss: Type	Currency	
								Loss: Base	Gain: Base		Gain: Base	Loss: Base
PKUF	KNOLL INC				080428	4900	63,694.13	(15,238.75)		L	-	-
PKUF	KNOLL INC				080429	3900	50,702.77	(12,136.24)		L	-	-
PKUF	LOWES COS INC	090826	12000	251,008.54	090604	12000	239,276.20	11,732.34		S	-	-
PKUF	M D C HOLDINGS INC	090826	7000	259,621.42	070221	4000	222,217.00	(73,861.90)		L	-	-
PKUF	M D C HOLDINGS INC				090408	3000	88,824.10	22,442.22		S	-	-
PKUF	MCCORMICK + CO INC	090826	4500	146,050.69	090226	4500	138,234.85	7,815.84		S	-	-
PKUF	NESTLE S A	090826	15000	606,395.91	020916	4500	95,053.57	86,865.20		L	-	-
PKUF	NESTLE S A				020917	10500	218,528.01	205,949.13		L	-	-
PKUF	NET 1 UEPS TECHNOLOGIES INC	090826	5000	89,693.69	070515	5000	129,475.00	(39,781.31)		L	-	-
PKUF	NORTHWESTERN CORP	090826	11000	266,094.46	071128	4600	128,149.26	(16,873.39)		L	-	-
PKUF	NORTHWESTERN CORP				080111	3800	110,920.40	(18,996.86)		L	-	-
PKUF	NORTHWESTERN CORP				081204	2600	52,464.14	10,430.91		S	-	-
PKUF	ONEX CORP	090826	9000	188,619.15	080929	9000	233,845.00	(45,225.85)		S	-	-
PKUF	PITNEY BOWES INC	090826	13900	311,964.99	060517	5000	211,872.35	(99,654.73)		L	-	-
PKUF	PITNEY BOWES INC				060710	2500	103,187.76	(47,078.95)		L	-	-
PKUF	PITNEY BOWES INC				070607	6400	302,049.32	(158,410.76)		L	-	-
PKUF	RANGE RES CORP	090826	12000	605,051.04	060616	4000	94,522.45	107,161.23		L	-	-
PKUF	RANGE RES CORP				061017	5000	132,798.63	119,305.97		L	-	-
PKUF	RANGE RES CORP				061018	3000	79,229.93	72,032.83		L	-	-
PKUF	REED ELSEVIER P L C	090826	13137	377,807.73	051118	4674.315	160,863.80	(26,434.91)		L	-	-
PKUF	REED ELSEVIER P L C				061009	3462.685	151,942.49	(52,358.94)		L	-	-
PKUF	REED ELSEVIER P L C				080903	3800	167,187.38	(57,902.96)		S	-	-
PKUF	REED ELSEVIER P L C				080904	1200	51,545.56	(17,034.69)		S	-	-
PKUF	SPIRIT AEROSYSTEMS HLDGS INC	090609	14000	224,901.61	080929	14000	227,933.80	(3,032.19)		S	-	-
PKUF	SUNTECH PWR HLDGS CO LTD	090826	8800	128,891.68	070327	1800	62,634.32	(36,270.11)		L	-	-
PKUF	SUNTECH PWR HLDGS CO LTD				080220	1000	37,150.00	(22,503.22)		L	-	-
PKUF	SUNTECH PWR HLDGS CO LTD				081209	6000	53,739.40	34,141.29		S	-	-
PKUF	TIME WARNER INC NEW	090330	12000	190,569.48	070529	12000	190,569.48	-		L	-	-
PKUF	TIME WARNER INC NEW	090330	6000	86,068.55	061016	6000	86,068.55	-		L	-	-
PKUF	TIME WARNER INC	090826	12000	340,807.04	061016	2000	86,068.55	(29,267.38)		L	-	-
PKUF	TIME WARNER INC				070529	4000	190,569.48	(76,967.13)		L	-	-
PKUF	TIME WARNER INC				090326	6000	115,464.40	54,939.12		S	-	-
PKUF	TIME WARNER CABLE INC	090407	0.06	1.55	061016	0.06	3.62	(2.07)		L	-	-
PKUF	TIME WARNER CABLE INC	090826	1506	54,069.12	061016	501.96	30,262.45	(12,240.85)		L	-	-
PKUF	TIME WARNER CABLE INC				070529	1004.04	67,013.92	(30,966.40)		L	-	-
PKUF	UNITED PARCEL SVC INC	090826	3500	189,530.12	080409	3500	249,193.50	(59,663.38)		L	-	-
PKUF	UNITED STATIONERS INC	090826	10300	483,622.75	000104	2000	56,099.81	37,807.52		L	-	-
PKUF	UNITED STATIONERS INC				010308	1000	24,959.47	21,994.20		L	-	-
PKUF	UNITED STATIONERS INC				011011	2000	57,227.82	36,679.51		L	-	-
PKUF	UNITED STATIONERS INC				080131	4500	242,156.05	(30,864.56)		L	-	-
PKUF	UNITED STATIONERS INC				080324	800	38,818.20	(1,255.27)		L	-	-

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain		Gain Loss: Type	Currency	
								Loss: Base	Loss: Base		Gain: Base	Loss: Base
PKUF	VORNADO REALTY TRUST	090313	0.062	2.26	010307	0.062	2.38	(0.12)	-	L	-	-
PKUF	VORNADO REALTY TRUST	090617	0.157	7.20	010307	0.157	6.04	1.16	-	L	-	-
PKUF	VORNADO REALTY TRUST	090826	5242	298,556.36	090612	57,157	2,749.15	506.21	-	S	-	-
PKUF	VORNADO REALTY TRUST				010307	99,781	3,837.32	1,845.67	-	L	-	-
PKUF	VORNADO REALTY TRUST				011030	5000	196,056.61	88,716.72	-	L	-	-
PKUF	VORNADO REALTY TRUST				090312	85,062	2,728.48	2,116.20	-	S	-	-
PKUF	VORNADO REALTY TRUST	090917	0.98	62.45	090914	0.98	53.18	9.27	-	S	-	-
PKUF	VORNADO REALTY TRUST	091012	22	1,369.32	090914	22	1,193.89	175.43	-	S	-	-
PKUF	VORNADO REALTY TRUST	090826	28000	241,888.78	040106	11000	155,211.13	(60,183.40)	-	L	-	-
PKUF	XEROX CORP				040130	7000	102,688.91	(42,216.71)	-	L	-	-
PKUF	XEROX CORP				040423	6000	79,182.05	(27,348.74)	-	L	-	-
PKUF	XEROX CORP				040812	4000	52,194.20	(17,638.66)	-	L	-	-
PKUF	ACCENTURE LTD	090826	7900	274,521.38	080912	6500	237,889.25	(12,017.23)	-	S	-	-
PKUF	ACCENTURE LTD				090402	1400	39,838.76	8,810.60	-	S	-	-
PKUF Total				9,000,520.54			9,568,594.57	(568,074.03)				
Summary PKUF												
	Short-term capital gain (loss)			2,210,737.80			2,064,581.60	146,156.20		S		146,156.20
	Long-term capital gain (loss)			6,789,782.74			7,504,012.97	(714,230.23)		L		(714,230.23)
				9,000,520.54			9,568,594.57	(568,074.03)				(568,074.03)

Fund	Security Description	Trade Date	Shrs	Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain		Gain Loss		Currency	
								Loss: Base	Type	Loss: Base	Type	Gain Loss: Base	Gain Loss: Base
PKUG	BHP BILLITON LTD	090202	1300	48,757.39	080409	800	63,280.00	(33,275.45)	S	-	-	-	-
PKUG	BHP BILLITON LTD				080416	500	40,865.00	(22,112.16)	S	-	-	-	-
PKUG	CELGENE CORP	090422	700	27,969.95	090224	700	37,016.21	(9,046.26)	S	-	-	-	-
PKUG	EOG RESOURCES INC	090220	1200	66,160.90	080407	400	50,920.00	(28,866.37)	S	-	-	-	-
PKUG	EOG RESOURCES INC				080416	400	53,134.80	(31,081.17)	S	-	-	-	-
PKUG	EOG RESOURCES INC				080515	400	55,048.00	(32,994.36)	S	-	-	-	-
PKUG	MONSANTO CO NEW	090622	800	62,393.59	080407	400	48,580.00	(17,383.20)	L	-	-	-	-
PKUG	MONSANTO CO NEW				080416	400	52,700.00	(21,503.21)	L	-	-	-	-
PKUG	MONSANTO CO NEW	090630	400	29,981.10	080515	400	49,732.00	(19,750.90)	L	-	-	-	-
PKUG	PROSHARES TR	091117	1600	57,392.76	090707	1600	93,981.92	(36,589.16)	S	-	-	-	-
PKUG	SYNGENTA AG	090708	1300	58,007.75	090326	1300	55,828.24	2,179.51	S	-	-	-	-
PKUG	UNITED STATES NATURAL GAS FUND	091118	2800	25,308.26	090501	2800	30,114.40	(4,806.14)	S	-	-	-	-
PKUG	UNITED STATES TREAS BILLS	090402	1500000	1,500,000.00	081001	1500000	1,490,120.42	9,879.58	S	-	-	-	-
PKUG	UNITED STATE TREAS NTS	090604	180000	173,868.84	090226	180000	178,803.80	(4,934.96)	S	-	-	-	-
PKUG	TRANSOCEAN LTD ZUG	090709	1000	67,422.56	090611	1000	85,230.10	(17,807.54)	S	-	-	-	-
PKUG Total				2,117,263.10			2,385,354.89	(268,091.79)					

Summary PKUG				2,024,888.41			2,234,342.89	(209,454.48)	S				(209,454.48)
Short-term capital gain (loss)				92,374.69			151,012.00	(58,637.31)	L				(58,637.31)
Long-term capital gain (loss)				2,117,263.10			2,385,354.89	(268,091.79)					(268,091.79)

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell		Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain		Gain		Currency	Total Gain
				Net Proceeds: (Net Amt - Interest)	Interest				Loss: Base	Gain: Base	Loss: Type	Gain: Base		
PKUN	ABB LTD	091104	3000	57,418.52	070227	500	8,340.00	1,229.75	L	-	-	-	-	
PKUN	ABB LTD				070301	2000	32,940.00	5,339.01	L	-	-	-	-	
PKUN	ABB LTD				070314	500	8,140.00	1,429.76	L	-	-	-	-	
PKUN	ABB LTD	091118	2000	38,039.02	070314	1000	16,280.00	2,739.51	L	-	-	-	-	
PKUN	ABB LTD				070322	1000	17,808.40	1,211.11	L	-	-	-	-	
PKUN	ABB LTD	091221	3000	52,258.65	070816	500	10,540.00	(1,830.22)	L	-	-	-	-	
PKUN	ABB LTD				070420	1000	18,900.00	(1,480.45)	L	-	-	-	-	
PKUN	ABB LTD				070423	1000	18,990.00	(1,570.45)	L	-	-	-	-	
PKUN	ABB LTD				070515	500	10,105.00	(1,395.23)	L	-	-	-	-	
PKUN	ABB LTD							1,919.94	S	-	-	-	-	
PKUN	ABBOTT LABS	090112	1000	49,819.72	070525	1000	56,538.00	(6,718.28)	L	-	-	-	-	
PKUN	ABBOTT LABS	090116	1000	49,659.82	070726	500	25,810.00	(980.09)	L	-	-	-	-	
PKUN	ABBOTT LABS				070726	500	25,825.00	(995.09)	L	-	-	-	-	
PKUN	ABBOTT LABS	091109	500	26,179.37	071022	500	25,440.00	739.37	L	-	-	-	-	
PKUN	AKAMAI TECHNOLOGIES INC	090630	3000	57,188.72	090407	2000	38,260.00	(134.19)	S	-	-	-	-	
PKUN	AKAMAI TECHNOLOGIES INC				090522	1000	20,949.90	(1,886.99)	S	-	-	-	-	
PKUN	ANADARKO PETE CORP	090804	100	3,959.89	080702	100	7,012.00	(3,052.11)	L	-	-	-	-	
PKUN	ANADARKO PETE CORP	090824	1900	75,846.04	080702	400	28,048.00	(12,080.41)	L	-	-	-	-	
PKUN	ANADARKO PETE CORP				080709	500	34,785.00	(14,825.52)	L	-	-	-	-	
PKUN	ANADARKO PETE CORP				081120	1000	32,080.00	7,838.97	S	-	-	-	-	
PKUN	ANADARKO PETE CORP							9,105.88	S	-	-	-	-	
PKUN	ANADARKO PETE CORP	091123	1000	49,918.71	090522	1000	43,570.00	6,348.71	S	-	-	-	-	
PKUN	ANADARKO PETE CORP							3,444.90	S	-	-	-	-	
PKUN	ARCHER DANIELS MIDLAND CO	090423	500	11,744.69	090407	500	13,515.00	(1,770.31)	S	-	-	-	-	
PKUN	ARCHER DANIELS MIDLAND CO	090512	500	12,839.65	090407	500	13,515.00	(675.35)	S	-	-	-	-	
PKUN	ARCHER DANIELS MIDLAND CO	090804	3000	86,907.76	090803	2000	60,860.00	(2,921.49)	S	-	-	-	-	
PKUN	ARCHER DANIELS MIDLAND CO				090803	1000	30,130.00	(1,160.75)	S	-	-	-	-	
PKUN	ARCHER DANIELS MIDLAND CO	090928	1500	41,474.08	090803	1500	45,195.00	(3,720.92)	S	-	-	-	-	
PKUN	ARCHER DANIELS MIDLAND CO	090930	1500	43,754.02	090803	1500	45,195.00	(1,440.98)	S	-	-	-	-	
PKUN	BANK N S HALIFAX	090701	2000	49,838.71	090217	2000	45,720.00	4,118.71	S	-	-	-	-	
PKUN	BANK N S HALIFAX							4,479.97	S	-	-	-	-	
PKUN	BANK N S HALIFAX	091105	2000	87,657.94	090331	500	12,360.00	9,554.49	S	-	-	-	-	
PKUN	BANK N S HALIFAX				090507	500	15,498.00	6,416.49	S	-	-	-	-	
PKUN	BANK N S HALIFAX				090601	1000	36,260.00	7,568.96	S	-	-	-	-	
PKUN	BANK N S HALIFAX	091123	500	23,114.40	090908	500	20,935.00	2,179.40	S	-	-	-	-	
PKUN	BANK N S HALIFAX	091104	2500	174,938.50	090407	1000	64,330.00	5,645.40	S	-	-	-	-	
PKUN	BECTON DICKINSON + CO				090508	1000	62,880.00	7,095.40	S	-	-	-	-	
PKUN	BECTON DICKINSON + CO				090720	500	34,809.95	177.75	S	-	-	-	-	
PKUN	BECTON DICKINSON + CO	091109	2000	61,138.42	090420	1000	29,030.00	1,539.21	S	-	-	-	-	
PKUN	CVS CAREMARK CORP				090427	500	15,024.95	259.66	S	-	-	-	-	
PKUN	CVS CAREMARK CORP				090429	500	15,260.00	24.60	S	-	-	-	-	

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)		Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain		Gain		Currency	
									Loss: Base	Loss: Type	Gain: Base	Gain: Base	Loss: Base	Loss: Base
PKUN	CVS CAREMARK CORP	091110	2000	61,118.42	090518	500	15,390.00	(110.39)	S	-	-	-	-	
PKUN	CVS CAREMARK CORP				090522	1500	44,820.00	1,018.81	S	-	-	-	-	
PKUN	CVS CAREMARK CORP	091111	1500	44,983.84	090522	500	14,940.00	54.61	S	-	-	-	-	
PKUN	CVS CAREMARK CORP				090723	500	16,824.95	(1,830.34)	S	-	-	-	-	
PKUN	CVS CAREMARK CORP				090909	500	18,295.00	(3,300.38)	S	-	-	-	-	
PKUN	CVS CAREMARK CORP	091123	500	15,984.63	090429	500	15,260.00	724.63	S	-	-	-	-	
PKUN	CAL MAINE FOODS INC	091104	2100	59,043.55	090522	2100	43,238.79	15,804.76	S	-	-	-	-	
PKUN	CAL MAINE FOODS INC	091118	500	13,749.69	090522	500	10,294.95	3,454.74	S	-	-	-	-	
PKUN	CAL MAINE FOODS INC	091228	200	4,939.87	090522	200	4,117.98	821.89	S	-	-	-	-	
PKUN	CAL MAINE FOODS INC							827.98	S	-	-	-	-	
PKUN	CARDINAL HEALTH INC	090115	500	18,489.89	080814	500	27,665.00	(9,175.11)	S	-	-	-	-	
PKUN	CARDINAL HEALTH INC	090204	500	19,024.89	080814	500	27,665.00	(8,640.11)	S	-	-	-	-	
PKUN	CATERPILLAR INC	090130	1000	30,359.82	090108	1000	43,542.00	(13,182.18)	S	-	-	-	-	
PKUN	CATERPILLAR INC	090310	1000	26,299.85	090122	1000	37,230.00	(10,930.15)	S	-	-	-	-	
PKUN	CHEVRON CORP	090526	1000	65,060.32	050415	500	26,310.00	6,220.16	L	-	-	-	-	
PKUN	CHEVRON CORP				060829	500	32,740.00	(209.84)	L	-	-	-	-	
PKUN	CHEVRON CORP	090706	1000	63,518.46	070215	500	35,790.00	(4,030.77)	L	-	-	-	-	
PKUN	CHEVRON CORP				060829	500	32,740.00	(980.77)	L	-	-	-	-	
PKUN	CHEVRON CORP	091113	500	34,939.10	070221	500	35,160.00	(220.90)	L	-	-	-	-	
PKUN	CHEVRON CORP	091123	500	39,468.98	070801	500	41,540.00	(2,071.02)	L	-	-	-	-	
PKUN	CHUBB CORP	090706	500	19,579.49	050805	500	21,805.00	(2,225.51)	L	-	-	-	-	
PKUN	CHUBB CORP	090915	500	19,939.48	050829	500	21,405.00	(1,465.52)	L	-	-	-	-	
PKUN	CHUBB CORP							1,044.97	S	-	-	-	-	
PKUN	CHUBB CORP	091104	1000	49,608.72	050829	500	21,405.00	3,399.36	L	-	-	-	-	
PKUN	CHUBB CORP				050805	500	21,805.00	2,999.36	L	-	-	-	-	
PKUN	CHUBB CORP	091109	1300	64,998.45	090416	300	12,894.00	2,105.64	S	-	-	-	-	
PKUN	CHUBB CORP				071211	1000	54,210.00	(4,211.19)	L	-	-	-	-	
PKUN	CHUBB CORP	091111	200	10,163.75	090416	200	8,596.00	1,567.75	S	-	-	-	-	
PKUN	CISCO SYS INC	090303	1000	14,359.91	071109	500	14,365.00	(7,185.04)	L	-	-	-	-	
PKUN	CISCO SYS INC				080327	500	12,310.00	(5,130.05)	S	-	-	-	-	
PKUN	CISCO SYS INC	090423	1000	17,259.65	080709	1000	22,180.00	(4,920.35)	S	-	-	-	-	
PKUN	CISCO SYS INC	090512	1000	18,689.61	080709	1000	22,180.00	(3,490.39)	S	-	-	-	-	
PKUN	COMCAST CORP NEW	091109	2000	29,959.42	090423	2000	26,860.00	3,099.42	S	-	-	-	-	
PKUN	COMCAST CORP NEW	091110	5000	74,648.57	090423	1000	13,430.00	1,499.71	S	-	-	-	-	
PKUN	COMCAST CORP NEW				090702	2000	27,860.00	1,999.43	S	-	-	-	-	
PKUN	COMCAST CORP NEW				090727	2000	29,499.80	359.63	S	-	-	-	-	
PKUN	COMCAST CORP NEW	091111	2000	29,919.42	090727	2000	29,499.80	419.62	S	-	-	-	-	
PKUN	COVANTA HLDG CORP	090702	3000	50,419.70	090331	3000	39,390.00	11,029.70	S	-	-	-	-	
PKUN	DANONE SPONS ADR	090630	0.162	1.60	060613	0.162	1.97	(0.37)	L	-	-	-	-	
PKUN	DANONE SPONS ADR	091105	3094	37,962.40	060613	999.838	12,163.03	104.67	L	-	-	-	-	
PKUN	DANONE SPONS ADR				090601	94.162	955.03	200.31	S	-	-	-	-	

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)		Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain		Gain		Currency	Total Gain Loss: Base
									Loss: Base	Loss: Base	Loss: Type	Gain: Base		
PKUN	DANONE SPONS ADR					070514	1000	16,089.95	(3,820.27)		L			
PKUN	DANONE SPONS ADR					070529	1000	15,811.00	(3,541.32)		L			
PKUN	DIAGEO PLC	090130	1500	81,909.59		060517	400	26,508.00	(4,665.44)		L			
PKUN	DIAGEO PLC					050707	200	11,362.00	(440.72)		L			
PKUN	DIAGEO PLC					060613	600	39,396.00	(6,632.17)		L			
PKUN	DIAGEO PLC					050707	300	17,038.00	(656.08)		L			
PKUN	DIAGEO PLC	090826	1000	49,918.71		070301	1000	77,080.00	(27,161.29)		L			
PKUN	DIAGEO PLC								2,139.94		S			
PKUN	DIAGEO PLC	091104	1000	65,192.32		080624	1000	73,356.00	(8,163.68)		L			
PKUN	ENCANA CORP	091105	1000	57,206.52		071211	500	33,095.00	(4,491.74)		L			
PKUN	ENCANA CORP					080424	500	40,310.00	(11,706.74)		L			
PKUN	ENCANA CORP	091123	1000	53,208.63		080916	1000	61,580.00	(8,371.37)		L			
PKUN	ENCANA CORP	091130	500	27,194.30		090303	500	18,375.00	8,819.30		S			
PKUN	ENTERPRISE PRODUCTS PARTNERS	090729	1000	24,919.35		090303	1000	16,895.00	8,024.35		S			
PKUN	ENTERPRISE PRODUCTS PARTNERS								1,789.95		S			
PKUN	EXELON CORP	091102	1500	69,628.20		090212	1000	53,490.00	(7,071.20)		S			
PKUN	EXELON CORP					090303	500	22,710.00	499.40		S			
PKUN	EXELON CORP	091105	1500	70,843.17		090303	500	22,710.00	904.39		S			
PKUN	EXELON CORP					090424	1000	44,630.00	2,598.78		S			
PKUN	FPL GROUP INC	091102	800	38,975.07		090121	800	38,744.00	231.07		S			
PKUN	FPL GROUP INC	091104	2000	100,922.60		090121	200	9,686.00	406.26		S			
PKUN	FPL GROUP INC					090304	1000	44,820.00	5,641.30		S			
PKUN	FPL GROUP INC					090609	500	27,425.00	(2,194.35)		S			
PKUN	FPL GROUP INC					090625	300	17,127.00	(1,988.61)		S			
PKUN	MADISON WIS	090526	1000	4,719.87		090526	1000	4,734.00	(14.13)		S			
PKUN	GP STRATEGIES CORP	091110	2000	15,359.60		040408	2000	11,817.98	3,541.62		L			
PKUN	GP STRATEGIES CORP	091112	2000	14,996.21		051109	1000	7,530.00	(31.89)		L			
PKUN	GP STRATEGIES CORP					060920	1000	7,319.90	178.20		L			
PKUN	GP STRATEGIES CORP	091111	2000	15,151.80		040408	1000	5,908.99	1,666.91		L			
PKUN	GP STRATEGIES CORP					050712	1000	7,980.00	(404.10)		L			
PKUN	GP STRATEGIES CORP	091118	2000	12,894.66		060920	1000	7,319.90	(872.57)		L			
PKUN	GP STRATEGIES CORP					060927	1000	7,410.00	(962.67)		L			
PKUN	GP STRATEGIES CORP	091123	2000	12,514.67		060927	1000	7,410.00	(1,152.66)		L			
PKUN	GP STRATEGIES CORP					060929	1000	7,520.00	(1,262.67)		L			
PKUN	GP STRATEGIES CORP	091130	3000	18,076.63		060929	1000	7,550.00	(1,524.46)		L			
PKUN	GP STRATEGIES CORP					061002	2000	14,999.80	(2,948.71)		L			
PKUN	GP STRATEGIES CORP	091201	2000	12,168.68		061003	2000	15,009.80	(2,841.12)		L			
PKUN	DANONE SPONS ADR	090303	2000	17,519.90		060214	1000	11,987.00	(3,227.05)		L			
PKUN	DANONE SPONS ADR					060209	1000	11,848.00	(3,088.05)		L			
PKUN	HRPT PROPERTIES TRUST	090330	1000	3,019.98		090210	1000	3,870.00	(850.02)		S			
PKUN	HARRIS CORP DEL	090716	2500	73,172.11		090415	1000	29,490.00	(221.16)		S			

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell		Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain		Gain		Currency
				Net Proceeds: (Net Amt - Interest)	Interest				Loss: Base	Gain: Base	Loss: Type	Gain: Base	
PKUN	HARRIS CORP DEL				090416	1000	28,640.00	628.84		S			
PKUN	HARRIS CORP DEL				090506	500	15,320.00	(685.57)		S			
PKUN	HARRIS CORP DEL	091105	2000	88,714.11	090511	1000	29,032.00	15,325.06		S			
PKUN	HARRIS CORP DEL				090601	1000	31,399.90	12,957.15		S			
PKUN	AVIAT NETWORKS INC	090603	0.045	0.21	090511	0.045	0.27	(0.06)		S			
PKUN	AVIAT NETWORKS INC	090619	621	3,769.62	090511	621	3,726.00	43.62		S			
PKUN	HARSCO CORP	091102	1000	31,001.20	090910	1000	33,729.00	(2,727.80)		S			
PKUN	HARSCO CORP	091104	2000	65,270.32	090910	1000	33,729.00	(1,093.84)		S			
PKUN	HARSCO CORP				090924	1000	34,142.00	(1,506.84)		S			
PKUN	HARSCO CORP	091105	2000	64,494.34	090924	1000	34,630.00	(2,382.83)		S			
PKUN	HARSCO CORP				091029	1000	32,230.00	17.17		S			
PKUN	HEALTH CARE REIT INC	090805	3000	104,757.30	060209	500	17,650.00	(190.45)		L			
PKUN	HEALTH CARE REIT INC				080909	1000	47,180.00	(12,260.90)		S			
PKUN	HEALTH CARE REIT INC				070727	1000	36,129.00	(1,209.90)		L			
PKUN	HEALTH CARE REIT INC				060209	500	17,655.00	(195.45)		L			
PKUN	HEALTH CARE REIT INC				090429	1000	35,566.00	8,809.94		S			
PKUN	HEALTH CARE REIT INC	091105	1000	42,448.90	090429	1000	35,566.00	6,882.90		S			
PKUN	HECLA MNG CO	090112	2000	4,519.97	060126	2000	9,810.60	(5,290.63)		L			
PKUN	HECLA MNG CO	090115	3000	5,669.96	060914	1000	5,480.00	(3,590.01)		L			
PKUN	HECLA MNG CO				060126	2000	9,810.60	(6,030.63)		L			
PKUN	HECLA MNG CO	090310	1000	1,289.99	070103	1000	7,690.00	(6,400.01)		L			
PKUN	HECLA MNG CO	090311	1000	1,290.09	070103	1000	7,690.00	(6,399.91)		L			
PKUN	HECLA MNG CO	090311	1000	1,289.99	080310	1000	11,460.00	(10,170.01)		L			
PKUN	HECLA MNG CO	090423	2000	4,300.08	080310	1000	11,460.00	(9,309.96)		L			
PKUN	HECLA MNG CO				060914	1000	5,480.00	(3,329.96)		L			
PKUN	HONEYWELL INTERNATIONAL INC	091104	1500	55,033.73	090928	1500	56,295.00	(1,261.27)		S			
PKUN	HOSPIRA INC	090518	2000	66,798.48	061228	2000	67,246.00	(447.52)		L			
PKUN	HOSPIRA INC	090518	5000	124,596.78	090217	2000	50,660.00	(821.29)		S			
PKUN	HOSPIRA INC				061228	1000	33,623.00	(8,703.64)		L			
PKUN	HOSPIRA INC				070103	1000	34,092.00	(9,172.64)		L			
PKUN	HOSPIRA INC				070227	500	18,060.00	(5,600.32)		L			
PKUN	HOSPIRA INC				070523	350	13,692.00	(4,970.23)		L			
PKUN	HOSPIRA INC				070524	150	5,844.00	(2,106.10)		L			
PKUN	HUDSON CITY BANCORP INC	091105	3000	39,299.28	090827	3000	38,879.70	419.58		S			
PKUN	HUDSON CITY BANCORP INC	091118	2000	27,219.29	090827	2000	25,919.80	1,299.49		S			
PKUN	HUDSON CITY BANCORP INC	091130	2000	26,119.92	090827	2000	25,919.80	200.12		S			
PKUN	ITT CORP NEW	091104	2000	103,264.34	090303	500	17,457.50	8,358.58		S			
PKUN	ITT CORP NEW				080918	1000	57,080.00	(5,447.83)		L			
PKUN	ITT CORP NEW				080403	500	26,440.00	(623.91)		L			
PKUN	ITT CORP NEW	091105	1000	52,149.65	090303	500	17,457.50	8,617.33		S			
PKUN	ITT CORP NEW				090304	500	17,395.00	8,679.82		S			

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain		Gain		Currency	
								Loss: Base	Loss: Base	Loss: Type	Gain: Base	Loss: Base	Gain: Base
PKUN	INTERNATIONAL BUSINESS MACHS	090420	600	53,938.61	050607	200	15,190.00	2,789.54	-	L	-	-	-
PKUN	INTERNATIONAL BUSINESS MACHS							522.00	-	S	-	-	-
PKUN	INTERNATIONAL BUSINESS MACHS				060712	100	7,530.00	1,459.76	-	L	-	-	-
PKUN	INTERNATIONAL BUSINESS MACHS				051117	300	26,073.00	896.31	-	L	-	-	-
PKUN	INTERNATIONAL BUSINESS MACHS				061127	400	36,888.00	(928.93)	-	L	-	-	-
PKUN	INTERNATIONAL BUSINESS MACHS							1,043.99	-	S	-	-	-
PKUN	INTERNATIONAL BUSINESS MACHS				060712	200	15,060.00	2,919.54	-	L	-	-	-
PKUN	INTERNATIONAL BUSINESS MACHS				061127	100	9,222.00	3,048.68	-	L	-	-	-
PKUN	INTERNATIONAL BUSINESS MACHS				070119	500	47,460.00	13,893.42	-	L	-	-	-
PKUN	INTERNATIONAL BUSINESS MACHS				080910	300	10,074.00	(2,634.20)	-	L	-	-	-
PKUN	KINETIC CONCEPTS INC							581.98	-	S	-	-	-
PKUN	KINETIC CONCEPTS INC							3,910.32	-	S	-	-	-
PKUN	KINETIC CONCEPTS INC				090210	500	12,899.00	4,136.58	-	S	-	-	-
PKUN	KINETIC CONCEPTS INC				090319	300	5,949.00	7.73	-	L	-	-	-
PKUN	KINETIC CONCEPTS INC				080910	200	6,716.00	13,638.64	-	S	-	-	-
PKUN	KINETIC CONCEPTS INC				081202	1000	19,980.00	14,639.61	-	S	-	-	-
PKUN	KINETIC CONCEPTS INC				090319	1000	19,830.00	2,321.24	-	S	-	-	-
PKUN	LEGG MASON INC				090817	1000	27,010.00	1,169.27	-	S	-	-	-
PKUN	LEGG MASON INC				090817	1000	27,010.00	12,633.09	-	S	-	-	-
PKUN	LINN ENERGY LLCUNITS				080917	3000	45,775.40	7,281.41	-	S	-	-	-
PKUN	LINN ENERGY LLCUNITS				080917	2000	30,617.62	24,644.94	-	L	-	-	-
PKUN	LINN ENERGY LLCUNITS				081006	2000	24,294.00	12,270.37	-	L	-	-	-
PKUN	LINN ENERGY LLCUNITS				081006	1000	12,149.00	(2,050.03)	-	S	-	-	-
PKUN	MAGNETEK INC				080206	2000	6,560.00	(2,479.83)	-	S	-	-	-
PKUN	MAGNETEK INC				080206	2000	6,560.00	(960.02)	-	S	-	-	-
PKUN	MAGNETEK INC				080206	1000	3,280.00	26,918.40	-	S	-	-	-
PKUN	MCKESSON CORP				090423	1000	35,030.00	14,259.18	-	S	-	-	-
PKUN	MCKESSON CORP				090423	500	17,515.00	(1,490.00)	-	L	-	-	-
PKUN	MICROSOFT CORP				051021	200	4,952.00	(2,457.03)	-	L	-	-	-
PKUN	MICROSOFT CORP				051021	300	7,428.00	(8,270.09)	-	L	-	-	-
PKUN	MICROSOFT CORP				051024	1000	24,840.00	(4,305.05)	-	L	-	-	-
PKUN	MICROSOFT CORP				051025	500	12,590.00	(5,795.00)	-	L	-	-	-
PKUN	MICROSOFT CORP				061108	500	14,495.00	(6,005.00)	-	L	-	-	-
PKUN	MICROSOFT CORP				061109	500	14,705.00	5,389.07	-	S	-	-	-
PKUN	MOLSON COORS BREWING CO				090331	1000	34,529.90	(1,421.03)	-	S	-	-	-
PKUN	MOLSON COORS BREWING CO				081203	1000	41,340.00	3,779.89	-	S	-	-	-
PKUN	MOLSON COORS BREWING CO							7,998.94	-	S	-	-	-
PKUN	MOLSON COORS BREWING CO				090429	1000	36,919.90	(7,380.11)	-	S	-	-	-
PKUN	NEWS CORP				080923	2000	25,560.00	(5,710.86)	-	S	-	-	-
PKUN	NOVATEL WIRELESS INC				090909	2000	24,095.60	(8,543.82)	-	S	-	-	-
PKUN	NOVATEL WIRELESS INC				090909	3000	36,143.40	(6,417.50)	-	L	-	-	-
PKUN	NOVARTIS AG				050707	600	28,131.00		-	L	-	-	-

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell		Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain		Gain		Currency
				Net Proceeds: (Net Amt - Interest)	Interest				Loss: Base	Gain: Base	Loss: Type	Gain: Base	
PKUN	NOVARTIS AG	090811	1500	66,685.28		051110	400	21,612.00	(7,136.34)	L			
PKUN	NOVARTIS AG					060530	500	27,840.00	(5,611.57)	L			
PKUN	NOVARTIS AG					060620	500	26,155.00	(3,926.58)	L			
PKUN	NOVARTIS AG					060130	500	27,290.00	(5,061.57)	L			
PKUN	NOVARTIS AG	091104	1500	78,414.98		061108	500	30,395.00	(4,256.68)	L			
PKUN	NOVARTIS AG					061018	500	29,030.00	(2,891.67)	L			
PKUN	NOVARTIS AG					061023	500	30,312.00	(4,173.67)	L			
PKUN	NOVARTIS AG	091105	1000	52,428.65		061113	1000	58,730.00	(6,301.35)	L			
PKUN	NOVARTIS AG	091118	500	26,744.31		070612	500	27,330.00	(585.69)	L			
PKUN	NOVARTIS AG	090116	200	3,002.00		080523	200	4,034.60	(1,032.60)	S			
PKUN	NUTRI SYS INC NEW	090219	2800	29,137.75		080523	800	16,138.40	(7,813.33)	S			
PKUN	NUTRI SYS INC NEW					080701	2000	26,585.00	(5,772.32)	S			
PKUN	NUTRI SYS INC NEW	090303	2000	23,260.06		080910	2000	35,160.00	(11,899.94)	S			
PKUN	NUTRI SYS INC NEW	090706	2000	49,741.72		090622	2000	52,860.00	(3,118.28)	S			
PKUN	PAYCHEX INC	090112	1000	51,059.71		070126	500	32,260.00	(6,730.15)	L			
PKUN	PEPSICO INC					061003	500	32,358.60	(6,828.74)	L			
PKUN	PEPSICO INC	090603	1400	69,886.20		080826	400	27,584.00	(7,616.52)	S			
PKUN	PEPSICO INC					070326	500	31,810.00	(6,850.64)	L			
PKUN	PEPSICO INC					080114	500	38,560.00	(13,600.64)	L			
PKUN	PEPSICO INC								4,255.97	S			
PKUN	PEPSICO INC	091104	1000	60,578.44		090217	400	20,292.00	3,939.38	S			
PKUN	PEPSICO INC					080826	600	41,376.00	(5,028.94)	L			
PKUN	PEPSICO INC	091104	500	30,279.22		090217	500	25,365.00	4,914.22	S			
PKUN	PETSMART INC	091104	2500	59,469.71		090727	2500	56,916.25	2,553.46	S			
PKUN	RAYTHEON CO	091104	2500	116,529.25		091014	2000	93,259.80	(36.40)	S			
PKUN	RAYTHEON CO					091019	500	23,559.95	(254.10)	S			
PKUN	REPUBLIC SVCS INC	091104	3000	79,796.14		090422	2000	39,800.00	13,397.43	S			
PKUN	REPUBLIC SVCS INC					090429	1000	20,190.00	6,408.71	S			
PKUN	SK TELECOM LTD	090407	2000	30,759.82		080701	1000	20,916.00	(5,536.09)	S			
PKUN	SK TELECOM LTD					080205	1000	24,080.00	(8,700.09)	L			
PKUN	SK TELECOM LTD	090330	2000	30,619.82		080701	2000	41,832.00	(11,212.18)	S			
PKUN	SK TELECOM LTD	090518	2000	32,131.17		080701	2000	41,832.00	(9,700.83)	S			
PKUN	SK TELECOM LTD	090511	1000	47,428.88		090303	1000	46,039.90	1,388.98	S			
PKUN	SPDR SER TR	090827	2300	57,314.52		090210	1000	21,725.00	3,194.36	S			
PKUN	SCRIPPS NETWORKS INTERACTIVE I					090310	1000	19,240.00	5,679.36	S			
PKUN	SCRIPPS NETWORKS INTERACTIVE I					090422	300	7,953.00	(477.20)	S			
PKUN	SCRIPPS NETWORKS INTERACTIVE I	090918	200	4,939.87		090422	200	5,302.00	(362.13)	S			
PKUN	SCRIPPS NETWORKS INTERACTIVE I								687.98	S			
PKUN	SCRIPPS NETWORKS INTERACTIVE I	091105	2500	52,157.65		090210	2000	26,359.00	15,367.12	S			
PKUN	SCRIPPS NETWORKS INTERACTIVE I					090422	500	8,050.00	2,381.53	S			
PKUN	SEALED AIR CORP NEW												
PKUN	SEALED AIR CORP NEW												

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds:		Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain		Gain		Currency	Total Gain
				(Net Amt - Interest)					Loss: Base	Loss: Base	Loss: Type	Gain: Base		
PKUN	SONIC CORP	091022	3000	30,363.11		090508	3000	28,590.00	1,773.11		S	-	-	
PKUN	SONIC CORP	091105	3000	29,519.53		091002	3000	31,870.80	(2,351.27)		S	-	-	
PKUN	STAPLES INC	090115	1000	16,750.00		061114	300	7,659.00	(2,634.00)		L	-	-	
PKUN	STAPLES INC					061214	700	19,246.65	(7,521.65)		L	-	-	
PKUN	STAPLES INC	090303	1000	15,049.91		071108	1000	21,170.00	(6,120.09)		L	-	-	
PKUN	STAPLES INC	091105	4000	88,595.71		090522	1000	19,479.90	2,669.03		S	-	-	
PKUN	STAPLES INC					090727	1000	21,179.90	969.03		S	-	-	
PKUN	STAPLES INC					090813	1000	22,139.90	9.02		S	-	-	
PKUN	STAPLES INC					080303	1000	22,380.00	(231.07)		L	-	-	
PKUN	SYNGENTA AG		500	22,439.42		080904	500	24,540.00	(2,100.58)		S	-	-	
PKUN	SYNGENTA AG	090622							969.99		S	-	-	
PKUN	SYNGENTA AG	090930	1500	68,938.37		080904	500	24,540.00	(1,560.54)		L	-	-	
PKUN	SYNGENTA AG					081119	1000	31,080.00	14,878.91		S	-	-	
PKUN	SYNGENTA AG	091221	500	27,439.29		090527	500	23,755.00	3,684.29		S	-	-	
PKUN	SYNGENTA AG								1,319.96		S	-	-	
PKUN	TELEPHONE + DATA SYS INC	090706	1500	40,471.95		090602	1500	48,286.20	(7,814.25)		S	-	-	
PKUN	TELEPHONE + DATA SYS INC	090820	500	12,864.68		090602	500	16,095.40	(3,230.72)		S	-	-	
PKUN	TELEPHONE + DATA SYS INC	090924	1000	30,173.22		090602	1000	32,190.80	(2,017.58)		S	-	-	
PKUN	3M CO	090115	300	16,322.90		080709	300	20,997.00	(4,674.10)		S	-	-	
PKUN	3M CO	090213	200	9,869.94		080709	200	13,998.00	(4,128.06)		S	-	-	
PKUN	TIDEWATER INC	090811	1500	65,533.31		071128	1500	73,779.75	(8,246.44)		L	-	-	
PKUN	TIDEWATER INC	091104	3000	131,098.72		080505	1000	59,080.00	(15,380.43)		L	-	-	
PKUN	TIDEWATER INC					071205	500	25,370.00	(3,520.21)		L	-	-	
PKUN	TIDEWATER INC					090602	500	24,603.30	(2,753.52)		S	-	-	
PKUN	TIDEWATER INC					080117	500	25,560.00	(3,710.21)		L	-	-	
PKUN	TIDEWATER INC					080128	500	25,545.00	(3,695.21)		L	-	-	
PKUN	TOKIO MARINE HLDGS INC	091105	1000	26,019.33		011214	1000	13,577.87	12,441.46		L	-	-	
PKUN	TOKIO MARINE HLDGS INC	091104	2000	50,662.69		011214	1250	16,972.33	14,691.85		L	-	-	
PKUN	TOKIO MARINE HLDGS INC					050415	500	14,011.80	(1,346.13)		L	-	-	
PKUN	TOKIO MARINE HLDGS INC					060613	250	8,080.00	(1,747.16)		L	-	-	
PKUN	TRANSCANADA CORP	091105	1000	30,549.21		090219	1000	25,530.00	5,019.21		S	-	-	
PKUN	TRANSCANADA CORP	091110	2000	61,358.62		090219	500	12,765.00	2,574.66		S	-	-	
PKUN	TRANSCANADA CORP					090219	1500	38,220.00	7,798.96		S	-	-	
PKUN	UNITED PARCEL SVC INC	090112	400	20,179.88		080702	400	23,912.00	(3,732.12)		S	-	-	
PKUN	UNITED PARCEL SVC INC	090204	600	27,317.90		080702	600	35,868.00	(8,550.10)		S	-	-	
PKUN	UNITED PARCEL SVC INC	091109	2000	110,373.16		080702	1000	59,780.00	(4,593.42)		L	-	-	
PKUN	UNITED PARCEL SVC INC					090814	1000	53,119.80	2,066.78		S	-	-	
PKUN	UNITED PARCEL SVC INC	091109	1000	56,208.55		090910	500	28,213.00	(108.72)		S	-	-	
PKUN	UNITED PARCEL SVC INC					091019	500	28,770.00	(665.73)		S	-	-	
PKUN	UNITEDHEALTH GROUP INC	090423	1000	22,699.41		070327	500	27,610.00	(16,260.29)		L	-	-	
PKUN	UNITEDHEALTH GROUP INC					070615	500	26,660.00	(15,310.30)		L	-	-	

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain Loss: Base	Gain Loss: Type	Currency Gain Loss: Base	Total Gain Loss: Base
PKUN	UNITEDHEALTH GROUP INC	090706	1000	24,009 38	080422	1000	33,680 00	(9,670.62)	L	-	-
PKUN	UNITEDHEALTH GROUP INC	090930	2000	49,878 71	080709	1000	23,480 00	1,459 35	L	-	-
PKUN	UNITEDHEALTH GROUP INC				080422	1000	33,680 00	(8,740 64)	L	-	-
PKUN	UNITEDHEALTH GROUP INC	091222	2000	64,458.34	080709	2000	46,960 00	17,498 34	L	-	-
PKUN	VEOLIA ENVIRONMENT	090720	1000	29,919 22	090210	1000	23,360.00	6,559.22	S	-	-
PKUN	VEOLIA ENVIRONMENT							1,239 96	S	-	-
PKUN	VEOLIA ENVIRONMENT	091105	3000	99,822.43	090615	1000	29,358 00	3,916 14	S	-	-
PKUN	VEOLIA ENVIRONMENT				090727	1000	34,099 90	(825.76)	S	-	-
PKUN	VEOLIA ENVIRONMENT				091002	1000	35,800 00	(2,525 85)	S	-	-
PKUN	VEOLIA ENVIRONMENT	091104	2000	66,203 29	090217	1000	22,360 00	10,741 65	S	-	-
PKUN	VEOLIA ENVIRONMENT				090303	1000	20,262 00	12,839 64	S	-	-
PKUN	VISA INC	090706	500	30,014 27	090428	500	29,115 00	899 27	S	-	-
PKUN	VISA INC	090924	500	36,729 30	090428	500	29,115 00	7,614 30	S	-	-
PKUN	VIVENDI SA	091109	1000	29,719 23	090811	1000	26,080 00	3,639.23	S	-	-
PKUN	YAHOO INC	091105	2000	31,719 38	090812	2000	29,459.80	2,259 58	S	-	-
PKUN	YAHOO INC	091123	1000	15,428 60	090812	1000	14,729 90	698 70	S	-	-
PKUN	YAHOO INC	091130	2000	29,739 43	090812	2000	29,459 80	279 63	S	-	-
PKUN	EVEREST REINSURANCE GROUP LTD	091105	600	52,145 67	090429	600	44,161 80	7,983 87	S	-	-
PKUN	EVEREST REINSURANCE GROUP LTD	091123	500	43,574 87	090429	400	29,441 20	5,418 70	S	-	-
PKUN	EVEREST REINSURANCE GROUP LTD				090505	100	7,157 99	1,556 98	S	-	-
PKUN	EVEREST REINSURANCE GROUP LTD	091130	700	59,285 95	090505	400	28,631 96	5,245 73	S	-	-
PKUN	EVEREST REINSURANCE GROUP LTD				090720	300	22,957 20	2,451 06	S	-	-
PKUN	EVEREST REINSURANCE GROUP LTD	090112	500	9,184 94	071023	500	24,940 00	(15,755 06)	L	-	-
PKUN	INGERSOLL RAND PLC	091104	500	72,108 14	090421	500	44,765.00	27,343 14	S	-	-
PKUN	ALCON INC	091123	2000	249,833 67	090421	100	8,953.00	3,538 68	S	-	-
PKUN	ALCON INC							499.99	S	-	-
PKUN	ALCON INC				090427	200	19,418.00	5,565 37	S	-	-
PKUN	ALCON INC							999 97	S	-	-
PKUN	ALCON INC				090429	400	38,328 00	11,638 73	S	-	-
PKUN	ALCON INC							1,999 95	S	-	-
PKUN	ALCON INC				090505	300	28,809 00	8,666.05	S	-	-
PKUN	ALCON INC							1,499 96	S	-	-
PKUN	ALCON INC				090508	500	48,309.95	14,148 47	S	-	-
PKUN	ALCON INC							2,499 93	S	-	-
PKUN	ALCON INC				090602	300	31,995 00	5,480 05	S	-	-
PKUN	ALCON INC							1,499 96	S	-	-
PKUN	ALCON INC				091006	200	27,482 00	(2,498 63)	S	-	-
PKUN	ALCON INC							999 97	S	-	-
PKUN	TRANSOCEAN LTD ZUG	090115	300	13,940 92	080815	300	37,836 00	(23,895.08)	S	-	-
PKUN	TRANSOCEAN LTD ZUG	090518	1000	64,918 32	080815	200	25,224.00	(12,240 34)	S	-	-
PKUN	TRANSOCEAN LTD ZUG				081113	800	52,064 00	(129 34)	S	-	-

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain Loss: Base	Gain Loss: Type	Currency Gain Loss: Base	Total Gain Loss: Base
PKUN	TRANSOCEAN LTD ZUG	091105	1100	94,091.69	090213	500	30,301.80	12,467.15	S	-	-
PKUN	TRANSOCEAN LTD ZUG				090331	300	18,066.00	7,595.37	S	-	-
PKUN	TRANSOCEAN LTD ZUG				090420	100	6,451.00	2,102.79	S	-	-
PKUN	TRANSOCEAN LTD ZUG				081113	200	13,016.00	4,091.58	S	-	-
PKUN	TRANSOCEAN LTD ZUG	091123	1000	86,367.77	090420	100	6,451.00	2,185.78	S	-	-
PKUN	TRANSOCEAN LTD ZUG				090429	300	20,049.00	5,861.33	S	-	-
PKUN	TRANSOCEAN LTD ZUG				090507	600	43,698.00	8,122.66	S	-	-
PKUN	BANCO LATINOAMERICANO DE EXP	090423	1000	11,499.70	080930	1000	13,580.00	(2,080.30)	S	-	-
PKUN	BANCO LATINOAMERICANO DE EXP	090630	2000	24,942.55	080930	2000	27,160.00	(2,217.45)	S	-	-
PKUN	BANCO LATINOAMERICANO DE EXP	091104	3000	41,743.92	090121	2000	18,660.00	9,169.28	S	-	-
PKUN	BANCO LATINOAMERICANO DE EXP				080930	1000	13,580.00	334.64	L	-	-
PKUN	BANCO LATINOAMERICANO DE EXP	091105	2000	27,763.48	090121	2000	18,660.00	9,103.48	S	-	-
PKUN Total				8,061,460.37			8,102,808.51	24,528.58			

Summary PKUN											
Short-term capital gain (loss)				5,328,171.98			5,001,959.59	326,212.40	S	-	326,212.40
Short-term capital gain (loss) - option assigned / exercised				-				65,876.71	S	-	65,876.71
Long-term capital gain (loss)				2,733,288.39			3,100,848.92	(367,560.53)	L	-	(367,560.53)
Long-term capital gain (loss) - option assigned / exercised				-				-	L	-	-
				8,061,460.37			8,102,808.51	24,528.57			24,528.57

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain		Gain		Currency		Total Gain Loss: Base
								Loss: Base	Type	Gain	Loss: Base	Gain	Loss: Base	
PKUN	ENCANA CORP JAN09 80 CALL	090106	10	110.00	080716	5	4,819.97	4,764.97	S	-	-	-	-	-
PKUN	ENCANA CORP JAN09 80 CALL	090106	10	1,710.00	080722	5	3,836.97	3,781.97	S	-	-	-	-	-
PKUN	TIDEWATER INC JAN09 45 CALL	090106	10	4,860.00	080721	10	16,809.90	15,099.90	S	-	-	-	-	-
PKUN	CHUBB CORP JAN09 45 CALL	090106	20	220.00	080722	10	4,949.97	89.97	S	-	-	-	-	-
PKUN	NOVARTIS AG JAN09 60 CALL	090106	15	240.00	080813	20	4,079.97	3,859.97	S	-	-	-	-	-
PKUN	DIAGEO PLC JAN09 80 CALL	090106	40	100.00	080813	5	994.99	914.99	S	-	-	-	-	-
PKUN	DIAGEO PLC JAN09 80 CALL	090106	25	400.00	081030	10	569.99	409.99	S	-	-	-	-	-
PKUN	CISCO SYSTEMS JAN09 26 CALL	090106	10	500.00	080815	40	6,779.96	6,679.96	S	-	-	-	-	-
PKUN	ANADARKO PETE CORP FEB09 55 C	090106	50	1,050.00	080818	10	8,059.95	7,559.95	S	-	-	-	-	-
PKUN	INTERNATIONAL RECTIFIER CORP	090106	20	3,700.00	080826	50	5,699.96	4,649.96	S	-	-	-	-	-
PKUN	CHUBB CORP JAN09 50 CALL	090106	30	330.00	080909	20	5,779.96	2,079.96	S	-	-	-	-	-
PKUN	TIDEWATER INC JAN09 60 CALL	090106	25	460.00	080911	30	12,179.92	11,849.92	S	-	-	-	-	-
PKUN	DIAGEO PLC JAN09 75 CALL	090106	10	110.00	080911	25	9,099.94	8,699.94	S	-	-	-	-	-
PKUN	ENCANA CORP JAN09 60 CALL	090106	50	250.00	080916	10	10,439.94	9,979.94	S	-	-	-	-	-
PKUN	INGERSOLL RAND COMPANY LIMITED	090106	50	310.00	080919	10	4,939.97	4,829.97	S	-	-	-	-	-
PKUN	MICROSOFT CORP JAN09 29 CALL	090106	10	4,669.80	080926	50	5,699.96	5,449.96	S	-	-	-	-	-
PKUN	ITT CORP JAN09 55 CALL	090106	30	135.00	080930	10	3,939.97	3,629.97	S	-	-	-	-	-
PKUN	PEPSICO INC JAN09 55 CALL	090106	50	9,525.00	081030	30	13,919.92	9,250.12	S	-	-	-	-	-
PKUN	3M CO JAN09 65 CALL	090106	20	420.00	081030	5	2,039.98	1,904.98	S	-	-	-	-	-
PKUN	ABB LTD MAR09 15 CALL	090106	10	4,970.00	081105	50	8,699.94	(825.06)	S	-	-	-	-	-
PKUN	UNITED PARCEL SVC INC JAN09 6	090106	10	3,160.00	081125	17	3,712.94	3,355.94	S	-	-	-	-	-
PKUN	UNITED PARCEL SVC INC JAN09 6	090106	4	4,970.00	081124	3	611.99	548.99	S	-	-	-	-	-
PKUN	SYNGENTA AG MAR09 40 CALL	090402	10	6,030.00	081217	10	4,039.97	879.97	S	-	-	-	-	-
PKUN	INTERNATIONAL BUSINESS MACHS	090321	30	-	090310	4	1,043.99	(3,926.01)	S	-	-	-	-	-
PKUN	ABB LTD JUN09 15 CALL	090603	15	-	090204	10	1,949.98	1,949.98	S	-	-	-	-	-
PKUN	ITT CORP NEW JUL09 55 CALL	090718	1	-	090204	30	3,719.97	(2,310.03)	S	-	-	-	-	-
PKUN	ANADARKO PETE CORP AUG09 40 C	090822	50	-	090204	15	2,384.98	2,384.98	S	-	-	-	-	-
PKUN	UNITEDHEALTH GROUP INC SEP09	090919	10	-	090706	1	323.99	323.99	S	-	-	-	-	-
PKUN	CHUBB CORP APR09 40 CALL	090331	10	3,510.00	090204	50	23,199.86	23,199.86	S	-	-	-	-	-
PKUN	FPL GROUP INC SEP09 60 CALL	090919	20	-	090204	10	5,739.96	2,229.96	S	-	-	-	-	-
PKUN	TIDEWATER INC JUL09 50 CALL	090718	10	-	090204	10	2,559.98	2,559.98	S	-	-	-	-	-
PKUN	DIAGEO PLC JUL09 60 CALL	090718	20	-	090204	20	4,779.97	4,779.97	S	-	-	-	-	-
PKUN	KINETIC CONCEPTS INC SEP09 30	090723	10	2,878.00	090204	10	2,409.98	2,409.98	S	-	-	-	-	-
PKUN	KINETIC CONCEPTS INC SEP09 30	090713	10	2,160.00	090220	15	3,689.97	1,531.47	S	-	-	-	-	-
PKUN	DIAGEO PLC JUL09 55 CALL	090603	10	5,160.00	090220	5	689.99	(29.51)	S	-	-	-	-	-
PKUN	SYNGENTA AG JUN09 45 CALL	090611	5	3,365.00	090219	10	2,239.98	79.98	S	-	-	-	-	-
PKUN	PEPSICO INC JUL09 50 CALL	090611	16	6,560.00	090224	10	1,939.99	(3,220.01)	S	-	-	-	-	-
PKUN	ABBOTT LABS AUG09 50 CALL	090822	20	-	090224	5	969.99	(2,395.01)	S	-	-	-	-	-
PKUN	ANADARKO PETE CORP AUG09 45 C	090727	10	4,355.00	090316	16	4,863.97	(1,696.03)	S	-	-	-	-	-
PKUN	ANADARKO PETE CORP AUG09 45 C	090727	10	4,355.00	090317	20	6,879.96	6,879.96	S	-	-	-	-	-
PKUN	ANADARKO PETE CORP AUG09 45 C	090727	10	4,355.00	090319	10	4,664.97	309.97	S	-	-	-	-	-

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain		Gain		Currency	
								Loss: Base	Loss: Base	Loss: Type	Gain: Base	Gain: Base	Loss: Base
PKUN	ENCANA CORP APR09 45 CALL	090417	20	1,920.00	090323	20	4,079.97	2,159.97	-	S	-	-	-
PKUN	HOSPIRA INC MAY09 25 CALL	090516	50	-	090324	50	14,699.91	14,699.91	-	S	-	-	-
PKUN	TRANSOCEAN LTD ZUG MAY09 65 C	090516	10	-	090325	10	5,939.96	5,939.96	-	S	-	-	-
PKUN	UNITED PARCEL SVC INC OCT09 5	090915	5	4,760.00	090402	5	3,689.98	(1,070.02)	-	S	-	-	-
PKUN	UNITED PARCEL SVC INC OCT09 5	090928	5	3,990.00	090402	5	3,689.97	(300.03)	-	S	-	-	-
PKUN	STAPLES INC SEP09 20 CALL	090915	20	5,500.00	090402	10	2,489.98	(260.02)	-	S	-	-	-
PKUN	STAPLES INC SEP09 20 CALL	090915	20	5,500.00	090625	10	1,799.95	(950.05)	-	S	-	-	-
PKUN	SEALED AIR CORP NEW OCT09 15	090908	10	4,060.00	090415	10	1,939.95	(2,120.05)	-	S	-	-	-
PKUN	SEALED AIR CORP NEW OCT09 15	090915	10	5,860.00	090415	10	1,939.94	(3,920.06)	-	S	-	-	-
PKUN	TIDEWATER INC OCT09 50 CALL	091017	20	-	090423	20	5,779.84	5,779.84	-	S	-	-	-
PKUN	FPL GROUP INC DEC09 55 CALL	091104	20	720.00	090423	10	3,149.91	2,789.91	-	S	-	-	-
PKUN	FPL GROUP INC DEC09 55 CALL	091104	20	720.00	091006	10	1,729.95	1,369.95	-	S	-	-	-
PKUN	COVANTA HOLDING CORP DEC09 17	090702	30	4,530.00	090423	30	3,269.91	(1,260.09)	-	S	-	-	-
PKUN	SPDR SER TR JUN09 45 CALL	090518	10	2,095.00	090423	10	1,829.95	(265.05)	-	S	-	-	-
PKUN	KINETIC CONCEPTS INC SEP09 25	090727	10	5,750.00	090423	10	1,939.95	(3,810.05)	-	S	-	-	-
PKUN	KINETIC CONCEPTS INC SEP09 25	090813	5	4,380.00	090423	5	969.97	(3,410.03)	-	S	-	-	-
PKUN	KINETIC CONCEPTS INC SEP09 25	090910	2	2,174.00	090423	2	387.99	(1,786.01)	-	S	-	-	-
PKUN	CHEVRON CORP SEP09 75 CALL	090919	20	-	090512	20	4,394.88	4,394.88	-	S	-	-	-
PKUN	HARRIS CORP NOV09 35 CALL	090928	10	4,475.00	090811	10	464.99	(4,010.01)	-	S	-	-	-
PKUN	HARRIS CORP NOV09 35 CALL	091014	10	3,780.00	090811	10	464.98	(3,315.02)	-	S	-	-	-
PKUN	VISA INC DEC09 80 CALL	090706	5	535.00	090528	5	1,489.96	954.96	-	S	-	-	-
PKUN	VISA INC DEC09 80 CALL	091001	5	655.00	090528	5	1,489.96	834.96	-	S	-	-	-
PKUN	VISA INC DEC09 80 CALL	091123	10	2,240.00	090528	10	2,979.92	739.92	-	S	-	-	-
PKUN	VISA INC DEC09 80 CALL	091214	5	2,145.00	090603	5	1,539.95	(605.05)	-	S	-	-	-
PKUN	VISA INC DEC09 80 CALL	091121	20	-	090528	10	4,039.89	4,039.89	-	S	-	-	-
PKUN	TRANSOCEAN LTD NOV09 90 CALL	090916	30	6,870.00	090602	30	2,944.00	(3,926.00)	-	S	-	-	-
PKUN	TRANSOCEAN LTD NOV09 90 CALL	091005	5	210.00	090603	5	1,319.97	1,109.97	-	S	-	-	-
PKUN	SYNGENTA AG DEC09 55 CALL	090908	15	3,165.00	090604	15	1,559.96	(1,605.04)	-	S	-	-	-
PKUN	ABB LTD SEP09 17.5 CALL	090910	15	5,040.00	090604	15	1,559.95	(3,480.05)	-	S	-	-	-
PKUN	ABB LTD SEP09 17.5 CALL	090910	15	5,040.00	090604	15	1,559.95	(3,480.05)	-	S	-	-	-
PKUN	ENTERPRISE PRODS PARTNERS LP	090727	10	4,100.00	090610	10	1,789.95	(2,310.05)	-	S	-	-	-
PKUN	CHEVRON CORP DEC09 70 CALL	091110	5	4,080.00	090706	5	1,219.97	(2,860.03)	-	S	-	-	-
PKUN	CHEVRON CORP DEC09 70 CALL	091219	5	-	090706	5	1,219.96	1,219.96	-	S	-	-	-
PKUN	MOLSON COORS BREWING CO OCT09	091017	10	-	090706	10	1,939.94	1,939.94	-	S	-	-	-
PKUN	ENCANA CORP OCT09 55 CALL	090924	20	5,416.00	090706	20	2,299.93	(3,116.07)	-	S	-	-	-
PKUN	TRANSOCEAN LTD NOV09 85 CALL	091105	11	3,113.00	090706	11	2,309.93	(803.07)	-	S	-	-	-
PKUN	CHUBB CORP OCT09 40 CALL	090723	20	7,416.00	090706	20	4,179.89	(3,236.11)	-	S	-	-	-
PKUN	CHUBB CORP OCT09 40 CALL	090908	5	4,260.00	090706	5	1,044.97	(3,215.03)	-	S	-	-	-
PKUN	SYNGENTA AG DEC09 50 CALL	090930	10	1,360.00	090706	10	2,289.93	929.93	-	S	-	-	-
PKUN	SYNGENTA AG DEC09 50 CALL	091118	15	5,640.00	090811	15	2,159.94	(3,480.06)	-	S	-	-	-

The Ridgefield Foundation
2009

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain		Gain		Currency	
								Loss: Base	Loss: Base	Loss: Type	Gain: Base	Gain: Base	Loss: Base
PKUN	MCKESSON CORP FEB10 60 CALL	091105	5	2,410.00	090810	5	969.97	(1,440.03)	S	-	-	-	-
PKUN	MCKESSON CORP FEB10 60 CALL	091117	5	2,910.00	090810	5	969.98	(1,940.02)	S	-	-	-	-
PKUN	MCKESSON CORP FEB10 60 CALL	091222	5	2,450.00	090810	5	969.97	(1,480.03)	S	-	-	-	-
PKUN	CAL MAINE FOODS INC FEB10 30	091104	20	3,420.00	090811	20	6,279.83	2,859.83	S	-	-	-	-
PKUN	VEOLIA ENVIRONMENT OCT09 35 C	090928	20	7,920.00	090811	20	1,089.97	(6,830.03)	S	-	-	-	-
PKUN	VEOLIA ENVIRONMENT OCT09 35 C	091002	20	3,120.00	090811	20	1,089.96	(2,030.04)	S	-	-	-	-
PKUN	VEOLIA ENVIRONNEMENT JAN10 40	091105	20	720.00	090930	20	3,579.90	2,859.90	S	-	-	-	-
PKUN	STAPLES INC MAR10 25 CALL	091109	40	3,240.00	090930	40	4,559.87	1,319.87	S	-	-	-	-
PKUN	UNITED PARCEL SERVICE CL B AP	091105	30	4,419.90	091022	20	3,519.90	573.30	S	-	-	-	-
PKUN	UNITED PARCEL SERVICE CL B AP	091105	20	2,120.00	091026	10	1,439.96	(33.34)	S	-	-	-	-
PKUN	VEOLIA ENVIRONNEMENT ADR APR1	091222	20	15,700.00	091022	20	2,179.94	59.94	S	-	-	-	-
PKUN	UNITEDHEALTH GROUP INC MAR10	091104	15	2,190.00	091028	15	2,024.94	(165.06)	S	-	-	-	-
PKUN	HONEYWELL INTERNATIONAL INC M	091130	20	860.00	091028	20	2,183.94	1,323.94	S	-	-	-	-
PKUN	YAHOO INC APR10 18 CALL	091104	20	2,110.00	091028	20	2,494.93	384.93	S	-	-	-	-
PKUN	HARSCO CORP APR10 40 CALL	091105	20	3,220.00	091028	20	2,739.92	(480.08)	S	-	-	-	-
PKUN	CHUBB CORP APR10 65 CALL	091105	20	7,720.00	091030	20	4,379.88	(3,340.12)	S	-	-	-	-
PKUN	BANK OF NOVA SCOTIA JUN10 45	091123	5	2,560.00	091030	5	1,094.97	(1,465.03)	S	-	-	-	-
PKUN	BANK OF NOVA SCOTIA JUN10 45	091222	15	5,640.00	091030	15	3,284.91	(2,355.09)	S	-	-	-	-
PKUN	SEALED AIR CORP APR10 20 CALL	091105	25	6,025.00	091102	25	3,617.31	(2,407.69)	S	-	-	-	-
PKUN	SEALED AIR CORP APR10 20 CALL	091118	10	3,460.00	091102	10	1,446.92	(2,013.08)	S	-	-	-	-
PKUN	SEALED AIR CORP APR10 20 CALL	091123	20	7,320.00	091102	20	2,893.85	(4,426.15)	S	-	-	-	-
PKUN Total				271,021.70			382,070.48	111,048.78		(0.00)			
Summary PKUN													
	Short-term capital gain (loss) - option			271,021.70			382,070.48	111,048.78	S	-	-	-	111,048.78
	Long-term capital gain (loss) - option			-			-	-	L	(0.00)	(0.00)	(0.00)	(0.00)
				271,021.70			382,070.48	111,048.78		(0.00)			111,048.78

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain Loss: Base	Gain Loss: Type	Currency Gain Loss: Base	Total Gain/ Loss: Base
PKUW	HDFC BANK LTD ADR	090824	1340	135,581.20	070709	300	26,355.00	3,999.00	L	-	-
PKUW	HDFC BANK LTD ADR				070710	900	80,460.00	10,602.00	L	-	-
PKUW	HDFC BANK LTD ADR				090402	140	8,960.00	5,205.20	S	-	-
PKUW	INFOSYS TECHNOLOGIES SP ADR	090824	1600	70,400.00	090402	1600	45,600.00	24,800.00	S	-	-
PKUW	RELIANCE INFRASTRUCTURE LTD	090824	450	31,590.00	090402	450	15,750.00	15,840.00	S	-	-
PKUW	JIANGSU EXPRESSWAY	090824	24000	19,249.68	090629	24000	17,394.94	1,855.41	S	(0.67)	(0.67)
PKUW	TEXWINCA HLDGS	090824	18000	14,209.24	090630	18000	14,404.57	(194.77)	S	(0.56)	(0.56)
PKUW	ADVANCED SEMICONDT	090403	50000	25,736.06	070705	50000	58,899.89	(32,203.68)	L	(960.15)	(960.15)
PKUW	ADVANCED SEMICONDT	090408	6000	3,005.92	070705	6000	7,067.99	(3,836.03)	L	(226.04)	(226.04)
PKUW	ADVANCED SEMICONDT	090824	70843	53,164.85	090520	6000	3,878.13	627.70	S	(3.07)	(3.07)
PKUW	ADVANCED SEMICONDT				081212	9000	3,145.42	3,565.64	S	43.08	43.08
PKUW	ADVANCED SEMICONDT				070705	10831.007	12,758.90	(4,607.56)	L	(23.10)	(23.10)
PKUW	ADVANCED SEMICONDT				071030	36011.993	42,249.29	(14,641.23)	L	(582.49)	(582.49)
PKUW	ADVANCED SEMICONDT				080829	9000	6,629.30	390.87	S	(266.03)	(266.03)
PKUW	CHINA MOBILE LTD	090205	1500	13,915.07	051028	1500	6,551.14	7,363.84	L	0.09	0.09
PKUW	CHINA MOBILE LTD	090520	1000	9,586.84	051028	1000	4,367.43	5,218.54	L	0.87	0.87
PKUW	CHINA MOBILE LTD	090604	1000	10,048.97	051028	1000	4,367.43	5,680.22	L	1.32	1.32
PKUW	CHINA MOBILE LTD	090629	9500	95,979.17	051028	5000	21,837.14	28,668.35	L	9.86	9.86
PKUW	CHINA MOBILE LTD				060313	2000	9,245.90	10,948.85	L	11.39	11.39
PKUW	CHINA MOBILE LTD				060314	2000	9,384.75	10,811.46	L	9.93	9.93
PKUW	CHINA MOBILE LTD				080509	500	8,470.26	(3,469.92)	L	51.20	51.20
PKUW	CHINA MOBILE LTD	090722	2000	19,681.92	080509	500	8,470.25	(3,600.98)	L	51.21	51.21
PKUW	CHINA MOBILE LTD				080520	1500	25,226.42	(10,628.54)	L	163.56	163.56
PKUW	CHINA MOBILE LTD	090824	10500	108,637.31	080926	1000	10,171.93	146.19	S	28.29	28.29
PKUW	CHINA MOBILE LTD				081106	2000	17,357.94	3,332.86	S	2.02	2.02
PKUW	CHINA MOBILE LTD				081112	2000	17,793.83	2,897.38	S	1.61	1.61
PKUW	CHINA MOBILE LTD				081212	1000	10,071.99	274.81	S	(0.39)	(0.39)
PKUW	CHINA MOBILE LTD				080606	3500	51,784.42	(15,971.88)	L	399.90	399.90
PKUW	CHINA MOBILE LTD				080627	500	6,717.98	(1,589.32)	L	44.55	44.55
PKUW	CHINA MOBILE LTD				081230	500	4,975.89	197.47	S	(0.16)	(0.16)
PKUW	BANK OF EAST ASIA	090513	5000	14,667.28	090311	5000	7,874.11	6,787.43	S	5.74	5.74
PKUW	BANK OF EAST ASIA	090514	60	168.92	090311	60	94.49	74.36	S	0.07	0.07
PKUW	WESTPAC BKG CORP	090408	510	7,372.22	070705	510	11,496.57	(2,133.29)	L	(1,991.06)	(1,991.06)
PKUW	WESTPAC BKG CORP	090824	5670	111,485.64	070705	4870	109,781.02	(11,848.73)	L	(2,176.55)	(2,176.55)
PKUW	WESTPAC BKG CORP				090113	550	6,017.94	3,219.47	S	1,576.89	1,576.89
PKUW	WESTPAC BKG CORP				080724	100	2,143.53	88.58	L	(265.87)	(265.87)
PKUW	WESTPAC BKG CORP				080829	150	3,039.52	(16.93)	S	(73.23)	(73.23)
PKUW	BANGKOK BANK	090519	1300	3,584.34	071022	1300	4,531.01	(913.76)	L	(32.91)	(32.91)
PKUW	BANGKOK BANK	090729	2300	7,764.56	071022	2300	8,016.41	(284.83)	L	32.98	32.98
PKUW	BANGKOK BANK	090824	63600	220,543.30	081106	2800	6,209.06	3,322.42	S	177.97	177.97
PKUW	BANGKOK BANK				081128	3000	5,550.44	4,607.78	S	244.78	244.78
PKUW	BANGKOK BANK				080724	6000	20,658.22	496.97	L	(349.22)	(349.22)
PKUW	BANGKOK BANK				080818	39900	145,068.40	(6,090.28)	L	(618.41)	(618.41)

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain Loss: Base	Gain Loss: Type	Currency Gain Loss: Base	Total Gain Loss: Base
PKUW	BANGKOK BANK				071022	7000	24,397.76	(231.72)	L	107.59	
PKUW	BANGKOK BANK				080829	4900	17,479.63	(603.71)	S	115.62	
PKUW	BEIJING ENTERPRISE	090829	4500	22,293.62	080227	1500	6,604.81	794.66	L	31.74	
PKUW	BEIJING ENTERPRISE				080509	2000	7,841.04	2,019.84	L	47.40	
PKUW	BEIJING ENTERPRISE				080605	1000	3,747.15	1,178.82	L	28.16	
PKUW	BEIJING ENTERPRISE	090630	500	2,497.47	080605	500	1,873.58	609.81	L	14.08	
PKUW	BEIJING ENTERPRISE	090824	7500	37,993.80	081212	1000	3,288.49	1,777.48	S	(0.13)	
PKUW	BEIJING ENTERPRISE				080605	4000	14,988.62	5,162.67	L	112.07	
PKUW	BEIJING ENTERPRISE				080606	2500	9,516.06	3,075.05	L	73.49	
PKUW	CLP HOLDINGS	090116	1000	6,698.46	080520	1000	7,799.02	(1,141.57)	S	41.01	
PKUW	CLP HOLDINGS	090629	2000	13,309.86	080520	1000	7,799.02	(1,194.66)	L	50.57	
PKUW	CLP HOLDINGS				081212	500	3,325.83	1.64	S	(0.01)	
PKUW	CLP HOLDINGS				080627	500	4,258.27	(959.21)	L	28.41	
PKUW	HUANENG POWER INTL	090108	10000	6,747.64	081022	10000	5,842.66	906.37	S	(1.39)	
PKUW	BRIDGESTONE CORP	090824	25300	467,419.47	090731	15400	265,201.81	18,419.90	S	894.49	
PKUW	BRIDGESTONE CORP				090807	9900	170,384.70	7,921.11	S	4,597.46	
PKUW	HOPEWELL HLDGS	090629	2000	6,172.09	080520	1000	4,329.43	(1,271.46)	L	28.07	
PKUW	HOPEWELL HLDGS				070806	1000	4,231.65	(1,188.85)	L	43.25	
PKUW	HOPEWELL HLDGS	090824	7000	21,678.41	080923	4000	13,750.34	(1,387.33)	S	24.65	
PKUW	HOPEWELL HLDGS				080520	1000	4,329.43	(1,260.42)	L	27.91	
PKUW	HOPEWELL HLDGS				080627	2000	6,912.01	(764.02)	L	45.84	
PKUW	BHP BILLITON LTD	090408	250	5,713.05	070202	250	5,153.45	998.50	L	(438.90)	
PKUW	BHP BILLITON LTD	090417	880	21,205.05	070202	880	18,140.16	4,353.51	L	(1,288.62)	
PKUW	BHP BILLITON LTD	090430	970	23,719.60	070202	970	19,995.40	4,762.74	L	(1,038.54)	
PKUW	BHP BILLITON LTD	090617	280	7,782.23	070202	280	5,771.87	1,915.03	L	95.33	
PKUW	BHP BILLITON LTD	090824	1800	57,414.14	070202	850	17,521.74	8,109.52	L	1,480.97	
PKUW	BHP BILLITON LTD				090813	120	3,850.55	(9.24)	S	(13.70)	
PKUW	BHP BILLITON LTD				090303	720	12,496.79	6,512.41	S	3,956.46	
PKUW	BHP BILLITON LTD				080829	110	3,968.41	(364.15)	S	(95.62)	
PKUW	HSBC HLDGS	090423	800	5,354.72	050831	800	12,835.88	(7,517.77)	L	36.61	
PKUW	HSBC HLDGS	090604	1600	13,810.79	060216	1200	19,940.19	(9,606.15)	L	24.05	
PKUW	HSBC HLDGS				070703	400	7,353.13	(3,959.25)	L	58.82	
PKUW	HSBC HLDGS	090824	14966	162,800.88	090403	4166	15,051.26	30,267.28	S	(0.59)	
PKUW	HSBC HLDGS				080926	400	6,418.51	(2,085.13)	S	17.84	
PKUW	HSBC HLDGS				081106	1200	14,039.85	(987.82)	S	1.63	
PKUW	HSBC HLDGS				070703	800	14,706.27	(6,123.09)	L	119.26	
PKUW	HSBC HLDGS				081212	800	8,677.91	24.87	S	(0.34)	
PKUW	HSBC HLDGS				090729	1600	15,107.12	2,298.34	S	(0.58)	
PKUW	HSBC HLDGS				080627	800	12,536.00	(3,916.70)	L	83.14	
PKUW	HSBC HLDGS				090805	1600	17,210.47	194.30	S	0.10	
PKUW	HSBC HLDGS				070802	1200	22,051.94	(9,220.78)	L	222.50	
PKUW	HSBC HLDGS				090108	1200	11,566.01	1,480.26	S	7.39	
PKUW	HSBC HLDGS				090205	1200	9,237.17	3,812.80	S	3.69	

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain/ Loss: Base	Gain Loss: Type	Currency Gain Loss: Base	Total Gain/ Loss: Base
PKUW	CANON INC	090116	4800	148,825.72	081118	1000	29,300.41	(473.71)	S	2,178.66	
PKUW	CANON INC				081125	3800	115,240.74	(3,637.24)	S	6,216.86	
PKUW	CANON INC	090304	300	6,953.77	081128	300	8,958.53	(1,630.72)	S	(374.04)	
PKUW	CANON INC	090317	500	13,314.98	081128	300	8,958.53	(644.58)	S	(324.96)	
PKUW	CANON INC				081222	200	6,203.91	(347.79)	S	(530.13)	
PKUW	CANON INC	090424	1100	32,683.46	081222	1100	34,121.48	1,012.98	S	(2,451.00)	
PKUW	CANON INC	090508	1000	34,523.01	081222	200	6,203.91	1,236.55	S	(535.86)	
PKUW	CANON INC				090106	800	25,124.70	3,622.58	S	(1,128.87)	
PKUW	CANON INC	090710	1100	35,083.90	090106	1100	34,546.47	(309.14)	S	846.57	
PKUW	CANON INC	090728	1500	53,459.04	090106	900	28,265.29	3,823.59	S	(13.46)	
PKUW	CANON INC				090122	300	8,950.59	2,295.13	S	(553.91)	
PKUW	CANON INC				090227	200	5,121.37	1,826.91	S	179.59	
PKUW	CANON INC				090306	100	2,246.54	1,240.03	S	77.37	
PKUW	CANON INC				090306	300	6,739.61	4,135.22	S	183.36	
PKUW	CANON INC	090807	700	24,028.18	090306	400	8,986.14	4,711.97	S	32.28	
PKUW	CANON INC				090331	300	8,705.59	1,472.92	S	119.28	
PKUW	CANON INC	090824	11100	431,060.49	090430	1500	44,761.10	11,834.33	S	1,655.99	
PKUW	CANON INC				090513	200	6,913.70	766.07	S	87.09	
PKUW	CANON INC				090520	700	24,184.63	2,880.83	S	118.53	
PKUW	CANON INC				090611	900	30,985.13	2,972.89	S	992.83	
PKUW	CANON INC				090619	500	16,598.41	2,495.07	S	323.66	
PKUW	CANON INC				090727	100	3,550.39	316.57	S	16.47	
PKUW	CANON INC				090331	7200	208,934.13	62,095.09	S	8,577.58	
PKUW	KT + G CORPORATION	090408	100	5,501.99	090113	100	6,073.10	(567.75)	S	(3.36)	
PKUW	KT + G CORPORATION	090824	1540	83,135.61	090520	50	2,799.80	(125.65)	S	25.05	
PKUW	KT + G CORPORATION				090113	650	39,475.17	(8,012.89)	S	3,627.43	
PKUW	KT + G CORPORATION				090216	840	48,826.73	(9,949.31)	S	6,469.28	
PKUW	DBS GROUP HLDGS	090616	1000	8,115.03	071022	1000	13,012.89	(5,017.46)	L	119.60	
PKUW	DBS GROUP HLDGS	090824	22500	201,260.95	090519	1000	8,465.01	360.95	S	118.98	
PKUW	DBS GROUP HLDGS				081212	1000	6,774.86	1,940.43	S	229.64	
PKUW	DBS GROUP HLDGS				090120	7500	43,629.40	22,687.49	S	770.09	
PKUW	DBS GROUP HLDGS				080724	1000	13,289.13	(3,729.63)	L	(614.57)	
PKUW	DBS GROUP HLDGS				071022	10000	130,128.87	(43,434.19)	L	2,754.63	
PKUW	DBS GROUP HLDGS				080829	1000	11,658.66	(2,578.35)	S	(135.38)	
PKUW	DBS GROUP HLDGS				080919	1000	10,944.29	(1,979.69)	S	(19.67)	
PKUW	CENTRAL JAPAN RLWY	090304	5	27,960.82	080220	5	46,336.83	(22,403.88)	L	4,027.87	
PKUW	CENTRAL JAPAN RLWY	090331	1	5,725.42	080220	1	9,267.37	(4,411.26)	L	869.31	
PKUW	CENTRAL JAPAN RLWY	090403	18	99,369.02	080220	2	18,534.73	(9,045.26)	L	1,551.53	
PKUW	CENTRAL JAPAN RLWY				080228	5	49,171.05	(24,574.84)	L	3,006.30	
PKUW	CENTRAL JAPAN RLWY				080421	1	9,795.45	(4,613.94)	S	338.99	
PKUW	CENTRAL JAPAN RLWY				080508	2	19,906.11	(9,647.85)	S	782.74	
PKUW	CENTRAL JAPAN RLWY				080711	3	32,826.10	(18,357.19)	S	2,092.59	
PKUW	CENTRAL JAPAN RLWY				080718	2	21,742.60	(12,238.12)	S	1,536.52	

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain Loss: Base	Gain Loss: Type	Currency Gain Loss: Base	Total Gain Loss: Base
PKUW	CENTRAL JAPAN RLWY				080723	1	10,886.72	(6,253.52)	S	887.30	
PKUW	CENTRAL JAPAN RLWY				080801	2	21,024.96	(11,636.40)	S	1,652.45	
PKUW	CENTRAL JAPAN RLWY	090415	27	151,025.80	080924	4	39,625.18	(19,883.50)	S	2,632.51	
PKUW	CENTRAL JAPAN RLWY				080925	1	9,672.33	(4,758.82)	S	680.04	
PKUW	CENTRAL JAPAN RLWY				080926	1	9,698.73	(4,749.52)	S	644.34	
PKUW	CENTRAL JAPAN RLWY				081219	2	17,140.02	(4,247.02)	S	(1,705.90)	
PKUW	CENTRAL JAPAN RLWY				090106	1	8,233.39	(2,231.15)	S	(408.69)	
PKUW	CENTRAL JAPAN RLWY				090122	3	22,151.04	(2,977.49)	S	(2,392.91)	
PKUW	CENTRAL JAPAN RLWY				090227	7	42,007.58	(2,187.27)	S	(665.47)	
PKUW	CENTRAL JAPAN RLWY				090306	5	28,850.11	(407.95)	S	(474.43)	
PKUW	CENTRAL JAPAN RLWY				080908	1	10,106.58	(5,383.19)	S	870.16	
PKUW	CENTRAL JAPAN RLWY				080916	1	9,663.39	(4,598.59)	S	528.75	
PKUW	CENTRAL JAPAN RLWY				080918	1	9,555.69	(4,486.92)	S	524.78	
PKUW	CSL	090408	290	6,573.71	080818	290	9,248.66	(965.05)	S	(1,709.90)	
PKUW	CSL	090824	3140	84,650.05	081106	240	6,044.51	(1,024.93)	S	1,450.49	
PKUW	CSL				081212	140	2,694.98	350.70	S	728.53	
PKUW	CSL				090113	130	2,862.85	(108.38)	S	750.15	
PKUW	CSL				080818	2430	77,497.39	(9,377.25)	L	(2,610.71)	
PKUW	CSL				080829	200	7,039.86	(1,478.51)	S	(169.63)	
PKUW	CHEUNG KONG(HLDGS)	090629	2000	23,802.99	050825	2000	20,959.58	2,785.26	L	58.15	
PKUW	CHEUNG KONG(HLDGS)	090824	2000	25,833.78	080627	1000	13,703.27	(877.26)	L	90.88	
PKUW	CHEUNG KONG(HLDGS)				090806	1000	12,800.60	116.62	S	(0.33)	
PKUW	CHINA O/SEAS LAND	090108	10000	13,707.62	080123	4000	6,461.59	(1,022.99)	S	44.45	
PKUW	CHINA O/SEAS LAND				080813	2000	2,936.37	(214.97)	S	20.12	
PKUW	CHINA O/SEAS LAND				071101	4000	9,983.24	(4,502.83)	L	2.64	
PKUW	CHINA O/SEAS LAND	090520	2000	3,720.30	080813	2000	2,936.37	762.55	S	21.38	
PKUW	CHINA O/SEAS LAND	090527	4000	8,223.86	080813	4000	5,872.74	2,308.85	S	42.27	
PKUW	CHINA O/SEAS LAND	090824	13280	29,207.02	081002	6000	7,798.24	5,376.17	S	21.53	
PKUW	CHINA O/SEAS LAND				090121	1280	1,319.81	1,493.90	S	1.43	
PKUW	CHINA O/SEAS LAND				080813	6000	8,809.11	4,320.80	L	66.03	
PKUW	CHINA RESOURCES LAND LIMITED	090824	12000	27,372.15	090629	12000	27,761.55	(388.32)	S	(1.08)	
PKUW	CITIC PACIFIC LTD	090824	6000	17,342.74	090507	6000	10,783.70	6,559.31	S	(0.27)	
PKUW	SK TELECOM	090824	280	38,926.22	090309	280	32,990.67	(2,259.91)	S	8,195.46	
PKUW	PETROCHINA CO	090824	36000	40,960.68	090629	16000	17,779.91	425.53	S	(0.69)	
PKUW	PETROCHINA CO				081230	12000	10,320.82	3,333.08	S	(0.34)	
PKUW	PETROCHINA CO				090205	8000	6,247.42	2,852.45	S	2.50	
PKUW	JARDINE CYCLE + CARRIAGE LTD	090617	3000	36,414.84	070123	3000	27,590.56	7,393.30	L	1,430.98	
PKUW	JARDINE CYCLE + CARRIAGE LTD	090824	8000	132,782.27	070124	3000	28,111.73	19,827.21	L	1,854.41	
PKUW	JARDINE CYCLE + CARRIAGE LTD				070125	2000	18,919.47	13,054.99	L	1,221.11	
PKUW	JARDINE CYCLE + CARRIAGE LTD				081212	1000	6,636.75	9,756.99	S	204.05	
PKUW	JARDINE CYCLE + CARRIAGE LTD				080724	1000	12,550.10	4,745.78	L	(698.10)	
PKUW	JARDINE CYCLE + CARRIAGE LTD				080829	1000	12,453.47	4,374.65	S	(230.34)	
PKUW	KDDI CORP	090520	1	4,881.90	090424	1	4,546.46	238.15	S	97.29	

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell		Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain		Gain Loss: Type	Currency	
				Net Proceeds: (Net Amt - Interest)					Loss: Base	Gain: Base		Gain Loss: Base	Loss: Base
PKUW	KDDI CORP	090619	2	10,500.86		090424	2	9,092.93	1,346.36		S	61.57	
PKUW	KDDI CORP	090728	11	59,793.76		090424	11	50,011.11	8,274.85		S	1,507.80	
PKUW	KDDI CORP	090731	3	15,783.92		090424	3	13,639.39	1,831.46		S	313.07	
PKUW	KDDI CORP	090807	5	26,830.36		090424	5	22,732.32	4,110.87		S	(12.83)	
PKUW	KDDI CORP	090824	59	330,114.38		090424	11	50,011.11	10,215.19		S	1,320.45	
PKUW	KDDI CORP					090430	4	18,097.62	3,613.48		S	669.54	
PKUW	KDDI CORP					090507	9	41,074.35	7,617.45		S	1,664.63	
PKUW	KDDI CORP					090508	18	83,555.14	13,586.54		S	3,571.18	
PKUW	KDDI CORP					090513	3	14,150.18	2,457.06		S	178.24	
PKUW	KDDI CORP					090522	3	14,899.88	1,919.36		S	(33.76)	
PKUW	KDDI CORP					090611	3	15,232.06	1,065.35		S	488.07	
PKUW	KDDI CORP					090710	1	5,683.46	70.45		S	(158.75)	
PKUW	KDDI CORP					090727	7	36,951.75	2,042.99		S	171.36	
PKUW	DAH SING FINANCIAL	090311	4400	9,316.82		070703	400	3,422.92	(2,601.29)		L	25.35	
PKUW	DAH SING FINANCIAL					080627	1200	9,478.36	(6,993.63)		S	56.22	
PKUW	DAH SING FINANCIAL					070802	2800	24,331.60	(18,631.11)		L	228.40	
PKUW	DENWAY MOTORS LTD	090115	46000	13,716.30		080509	4000	2,100.90	(917.72)		S	9.54	
PKUW	DENWAY MOTORS LTD					080520	42000	21,621.56	(9,205.65)		S	107.67	
PKUW	CHINA UNICOM HONG KONG LTD	090629	22000	29,595.33		080416	4000	8,443.38	(3,110.84)		L	48.43	
PKUW	CHINA UNICOM HONG KONG LTD					080520	2000	4,221.10	(1,557.98)		L	27.36	
PKUW	CHINA UNICOM HONG KONG LTD					080627	2000	3,640.22	(974.02)		L	24.28	
PKUW	CHINA UNICOM HONG KONG LTD					080731	14000	29,055.26	(10,417.57)		S	195.71	
PKUW	CHINA TAIPING INSURANCE HOLD	090824	20000	60,603.14		080926	6000	10,067.17	8,085.78		S	27.99	
PKUW	CHINA TAIPING INSURANCE HOLD					081022	6000	9,896.40	8,280.58		S	3.96	
PKUW	CHINA TAIPING INSURANCE HOLD					081203	5000	5,698.61	9,450.78		S	1.40	
PKUW	CHINA TAIPING INSURANCE HOLD					081212	3000	3,953.55	5,137.08		S	(0.16)	
PKUW	CHUNGHWA TELECOM	090320	5000	7,593.49		090113	5000	7,593.49	-		S	-	
PKUW	CHUNGHWA TELECOM	090320	19360	38,745.09		080819	19360	38,745.09	-		S	-	
PKUW	CHUNGHWA TELECOM	090320	3630	7,410.31		080724	3630	7,410.31	-		S	-	
PKUW	CHUNGHWA TELECOM	090320	26620	52,430.51		080317	26620	52,430.51	-		L	-	
PKUW	CHUNGHWA TELECOM	090320	7000	10,548.20		090114	7000	10,548.20	-		S	-	
PKUW	CHUNGHWA TELECOM	090320	53464	2,992.91				3,227.08	-		S	(234.17)	
PKUW	CHUNGHWA TELECOM	090824		90,970.71		090320	24460.7	52,430.51	(7,603.20)		S	(3,206.64)	
PKUW	CHUNGHWA TELECOM					090320	3336.3	7,410.31	(1,183.75)		S	(549.74)	
PKUW	CHUNGHWA TELECOM					090320	17788.1	38,745.09	(6,762.30)		S	(1,715.77)	
PKUW	CHUNGHWA TELECOM					090320	4593.6	7,593.49	131.73		S	90.94	
PKUW	CHUNGHWA TELECOM					090320	3285.3	5,388.00	137.10		S	64.94	
PKUW	CHUNGHWA TELECOM	091009	5346	9,505.27		090520	2199.6	3,724.01	117.94		S	68.97	
PKUW	CHUNGHWA TELECOM					090320	3146.4	5,160.20	271.02		S	163.13	
PKUW	TAIWAN MOBILE CO	090408	2000	2,904.47		070705	2000	2,992.86	7.32		L	(95.71)	
PKUW	TAIWAN MOBILE CO	090824	70525	108,216.76		081212	2000	2,650.05	382.54		S	36.30	
PKUW	TAIWAN MOBILE CO					070705	47243.898	70,697.27	1,923.95		L	(128.04)	
PKUW	TAIWAN MOBILE CO					071030	21281.102	35,524.32	(2,379.65)		L	(489.76)	

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain Loss: Base	Gain Loss: Type	Currency Gain Loss: Base	Total Gain Loss: Base
PKUW	EAST JAPAN RAILWAY	090317	400	19,927.24	090227	400	23,554.50	(3,392.50)	S	(234.76)	
PKUW	EAST JAPAN RAILWAY	090424	200	10,840.86	090227	100	5,888.63	(496.31)	S	28.11	
PKUW	EAST JAPAN RAILWAY				090306	100	5,626.27	(229.25)	S	23.41	
PKUW	SQUARE ENIX HOLDINGS CO LTD	090122	1300	36,671.45	080924	1300	39,002.50	(9,959.57)	S	7,628.52	
PKUW	SQUARE ENIX HOLDINGS CO LTD	090304	300	5,337.38	080924	200	6,000.38	(2,842.38)	S	400.25	
PKUW	SQUARE ENIX HOLDINGS CO LTD				080925	100	2,963.80	(1,393.85)	S	209.18	
PKUW	SQUARE ENIX HOLDINGS CO LTD	090331	100	1,886.46	080925	100	2,963.80	(1,306.59)	S	229.25	
PKUW	SQUARE ENIX HOLDINGS CO LTD	090424	200	3,615.50	080925	200	5,927.60	(2,861.77)	S	549.67	
PKUW	SQUARE ENIX HOLDINGS CO LTD	090507	2300	42,793.26	080925	300	8,891.40	(3,983.39)	S	673.72	
PKUW	SQUARE ENIX HOLDINGS CO LTD				090430	200	3,599.19	141.25	S	(19.29)	
PKUW	SQUARE ENIX HOLDINGS CO LTD				081118	1000	27,552.66	(8,520.72)	S	(426.17)	
PKUW	SQUARE ENIX HOLDINGS CO LTD				090227	600	10,613.83	664.44	S	(114.81)	
PKUW	SQUARE ENIX HOLDINGS CO LTD				090317	200	3,147.76	576.10	S	(2.71)	
PKUW	CAPITALAND	090306	29000	34,325.98	071219	29000	102,741.01	(62,715.88)	L	(5,699.15)	
PKUW	CAPITALAND	090616	2000	4,921.11	071219	2000	8,059.05	(3,204.19)	L	66.25	
PKUW	CAPITALAND	090824	38000	98,300.22	071219	10000	40,295.26	(15,240.16)	L	813.38	
PKUW	CAPITALAND				081106	3000	6,495.35	992.08	S	273.11	
PKUW	CAPITALAND				081212	2000	3,976.22	1,041.09	S	156.39	
PKUW	CAPITALAND				090312	23000	42,958.15	15,327.81	S	1,211.54	
PKUW	ESPRIT HOLDINGS	090629	2500	14,099.92	080520	2500	29,402.36	(15,493.08)	L	190.64	
PKUW	ESPRIT HOLDINGS	090824	3500	26,585.60	080926	1500	9,958.63	1,407.51	S	27.69	
PKUW	ESPRIT HOLDINGS				090527	1000	6,329.20	1,264.80	S	1.88	
PKUW	ESPRIT HOLDINGS				080520	1000	11,760.94	(4,240.85)	L	75.80	
PKUW	FAR EAST DEPT	090824	11000	8,968.24	090506	11000	8,202.56	687.66	S	78.02	
PKUW	FAR EAST DEPT	091009	330	384.63	090506	330	246.08	131.41	S	7.14	
PKUW	MITSUBISHI UFJ FIN GROUP	090304	3200	13,626.80	081209	3200	14,411.14	201.58	S	(985.92)	
PKUW	MITSUBISHI UFJ FIN GROUP	090317	1700	7,885.91	081209	1700	7,655.92	712.98	S	(482.99)	
PKUW	MITSUBISHI UFJ FIN GROUP	090424	3600	18,332.69	081209	3600	16,212.54	2,916.79	S	(796.64)	
PKUW	MITSUBISHI UFJ FIN GROUP	090508	5500	36,318.57	081209	2500	11,258.71	5,970.69	S	(720.96)	
PKUW	MITSUBISHI UFJ FIN GROUP				081209	3000	13,510.45	7,164.82	S	(865.14)	
PKUW	MITSUBISHI UFJ FIN GROUP	090710	2300	13,500.73	081209	2300	10,358.01	3,101.17	S	41.55	
PKUW	MITSUBISHI UFJ FIN GROUP	090728	4800	28,152.99	081209	4800	21,616.71	6,978.76	S	(442.48)	
PKUW	MITSUBISHI UFJ FIN GROUP	090731	1500	8,844.89	081209	800	3,602.79	1,212.89	S	(98.41)	
PKUW	MITSUBISHI UFJ FIN GROUP				081209	700	3,152.44	1,061.27	S	(86.09)	
PKUW	MITSUBISHI UFJ FIN GROUP	090807	2600	15,805.80	081209	2600	11,709.05	4,678.38	S	(581.63)	
PKUW	MITSUBISHI UFJ FIN GROUP	090824	33500	211,253.98	090513	4000	26,322.04	(1,429.22)	S	331.54	
PKUW	MITSUBISHI UFJ FIN GROUP				090520	4400	28,462.89	(855.60)	S	139.50	
PKUW	MITSUBISHI UFJ FIN GROUP				090611	2800	18,392.89	(1,325.19)	S	589.35	
PKUW	MITSUBISHI UFJ FIN GROUP				090619	1200	7,618.63	(199.88)	S	148.56	
PKUW	MITSUBISHI UFJ FIN GROUP				081209	7600	34,226.47	14,522.32	S	(822.51)	
PKUW	MITSUBISHI UFJ FIN GROUP				090727	700	4,106.56	288.65	S	19.04	
PKUW	MITSUBISHI UFJ FIN GROUP				090122	2200	12,120.97	2,543.87	S	(791.44)	
PKUW	MITSUBISHI UFJ FIN GROUP				090227	6100	27,934.55	9,658.12	S	874.47	

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain Loss: Base	Gain Loss: Type	Currency Gain Loss: Base	Total Gain Loss: Base
PKUW	MITSUBISHI UFJ FIN GROUP				090306	3000	12,410.71	6,126.90	S	380.66	
PKUW	MITSUBISHI UFJ FIN GROUP				090331	1500	7,435.25	1,718.63	S	305.25	
PKUW	GUANGSHEN RAILWAY	090824	34000	15,693.76	090630	34000	16,005.55	(311.18)	S	(0.61)	
PKUW	HYUNDAI INDL + DEV	090408	290	8,340.52	070112	290	17,895.87	(4,077.28)	L	(5,478.07)	
PKUW	HYUNDAI INDL + DEV	090824	1540	53,596.69	070112	170	10,490.69	(2,036.20)	L	(2,537.97)	
PKUW	HYUNDAI INDL + DEV				070705	970	81,355.21	(26,733.42)	L	(20,862.84)	
PKUW	HYUNDAI INDL + DEV				071030	400	43,765.67	(18,093.49)	L	(11,750.96)	
PKUW	HANG LUNG GROUP LTD	090630	1000	4,882.77	080620	1000	4,548.15	302.17	L	32.45	
PKUW	HANG LUNG GROUP LTD	090716	2000	9,459.21	080620	1000	4,548.15	149.12	L	32.34	
PKUW	HANG LUNG GROUP LTD				080623	1000	4,460.40	236.57	L	32.63	
PKUW	HANG LUNG GROUP LTD	090824	9000	45,199.56	080926	3000	10,299.86	4,738.02	S	28.64	
PKUW	HANG LUNG GROUP LTD				080724	1000	4,543.75	449.60	L	28.82	
PKUW	HANG LUNG GROUP LTD				080813	5000	21,048.67	3,904.40	L	157.80	
PKUW	HANG SENG BANK	090629	800	11,214.74	070907	800	12,849.92	(1,697.44)	L	62.26	
PKUW	HANG SENG BANK	090805	1100	16,670.77	080520	200	3,883.54	(877.50)	L	25.01	
PKUW	HANG SENG BANK				080627	100	2,071.02	(569.21)	L	13.71	
PKUW	HANG SENG BANK				070907	800	12,849.92	(787.40)	L	61.68	
PKUW	HANG SENG BANK	090824	1700	25,055.53	080926	500	9,615.29	(2,272.76)	S	26.74	
PKUW	HANG SENG BANK				090527	600	8,168.65	672.06	S	2.43	
PKUW	HANG SENG BANK				081212	300	4,035.35	386.37	S	(0.16)	
PKUW	HANG SENG BANK				080627	300	6,213.04	(1,832.69)	L	41.21	
PKUW	PTT PUBLIC COMPANY	090824	3900	27,713.98	090519	3900	24,619.92	2,804.55	S	289.51	
PKUW	HENDERSON LAND DEV	090629	3000	17,238.38	081106	3000	11,173.78	6,062.87	S	1.73	
PKUW	CATHAY FINANCIAL H	090408	5000	4,897.12	070705	5000	11,451.87	(6,188.51)	L	(366.24)	
PKUW	CATHAY FINANCIAL H	090824	80350	116,783.38	090506	19000	25,475.05	1,897.89	S	242.30	
PKUW	CATHAY FINANCIAL H				090520	6000	9,230.78	(502.87)	S	(7.31)	
PKUW	CATHAY FINANCIAL H				070705	20700	47,410.72	(17,238.80)	L	(85.85)	
PKUW	CATHAY FINANCIAL H				071030	13650	33,396.82	(13,097.01)	L	(460.44)	
PKUW	CATHAY FINANCIAL H				090403	21000	21,541.70	8,663.08	S	317.32	
PKUW	HONG KONG LAND HLD	090629	4000	13,918.93	071212	3000	15,281.84	(4,842.64)	L	-	
PKUW	HONG KONG LAND HLD				070802	1000	4,164.16	(684.43)	L	-	
PKUW	HONG KONG LAND HLD	090824	9000	34,185.96	071212	2000	10,187.90	(2,591.02)	L	-	
PKUW	HONG KONG LAND HLD				080520	7000	33,553.47	(6,964.39)	L	-	
PKUW	HONG KONG LAND HLD	090122	2300	51,856.34	080220	1400	43,982.92	(22,017.76)	S	9,599.57	
PKUW	HONDA MOTOR CO				080228	900	28,527.66	(13,738.59)	S	5,502.54	
PKUW	HONDA MOTOR CO	090304	6700	148,252.71	080228	900	28,527.65	(10,447.13)	L	1,834.02	
PKUW	HONDA MOTOR CO				080508	900	29,134.43	(10,455.38)	S	1,235.49	
PKUW	HONDA MOTOR CO				080516	1800	60,692.48	(23,721.09)	S	2,857.70	
PKUW	HONDA MOTOR CO				080718	2200	71,338.20	(27,926.30)	S	5,268.09	
PKUW	HONDA MOTOR CO				080723	600	20,791.84	(9,276.80)	S	1,761.32	
PKUW	HONDA MOTOR CO				080828	300	9,545.39	(3,868.51)	S	961.31	
PKUW	HONDA MOTOR CO	090424	1000	27,649.21	080828	700	22,272.57	(5,668.46)	S	2,750.34	
PKUW	HONDA MOTOR CO				080908	300	10,180.75	(2,994.19)	S	1,108.20	

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PKUW	HONDA MOTOR CO	090508	800	23,885.59	080924	100	3,179.45	(421.77)	S	228.02	
PKUW	HONDA MOTOR CO				080908	200	6,787.17	(1,436.65)	S	620.88	
PKUW	HONDA MOTOR CO				080918	500	14,939.72	(909.75)	S	898.52	
PKUW	HONDA MOTOR CO	090727	4200	121,140.80	080924	500	15,897.24	(3,262.00)	S	1,786.28	
PKUW	HONDA MOTOR CO				080925	1000	31,049.34	(5,820.54)	S	3,614.25	
PKUW	HONDA MOTOR CO				081128	500	11,053.76	3,375.88	S	(8.12)	
PKUW	HONDA MOTOR CO				081205	2200	39,883.38	24,939.62	S	(1,368.29)	
PKUW	HONDA MOTOR CO	090728	900	26,031.55	081205	700	12,690.17	7,890.19	S	(333.60)	
PKUW	HONDA MOTOR CO				081219	200	4,118.52	1,884.33	S	(218.06)	
PKUW	HONDA MOTOR CO	090731	3500	111,491.63	081219	300	6,177.78	3,746.60	S	(367.95)	
PKUW	HONDA MOTOR CO				090106	3000	63,076.54	32,958.16	S	(470.45)	
PKUW	HONDA MOTOR CO				090317	200	4,672.51	1,520.03	S	178.41	
PKUW	HONDA MOTOR CO	090824	6900	221,794.13	090430	1100	31,091.30	3,116.92	S	1,150.26	
PKUW	HONDA MOTOR CO				090513	600	17,648.68	1,415.47	S	222.30	
PKUW	HONDA MOTOR CO				090520	400	11,236.46	1,566.10	S	55.07	
PKUW	HONDA MOTOR CO				090611	300	8,719.36	644.48	S	279.38	
PKUW	HONDA MOTOR CO				090619	600	16,102.01	2,870.46	S	313.98	
PKUW	HONDA MOTOR CO				090710	3000	76,172.50	22,387.34	S	(2,127.61)	
PKUW	HONDA MOTOR CO				090807	100	3,131.24	(1.33)	S	84.50	
PKUW	HONDA MOTOR CO				090317	800	18,690.02	6,246.11	S	779.13	
PKUW	HONG KONG ELECTRIC	090116	1500	8,426.25	080520	1500	8,652.37	(271.62)	S	45.50	
PKUW	HONG KONG ELECTRIC	090824	5000	27,991.93	090423	500	2,958.94	(159.63)	S	(0.12)	
PKUW	HONG KONG ELECTRIC				080520	1000	5,768.25	(207.04)	L	37.18	
PKUW	HONG KONG ELECTRIC				081212	500	2,713.43	85.87	S	(0.11)	
PKUW	HONG KONG ELECTRIC				080606	1000	6,147.95	(597.04)	L	47.48	
PKUW	HONG KONG ELECTRIC				080627	500	2,971.52	(192.03)	L	19.70	
PKUW	HONG KONG ELECTRIC				090311	1500	8,893.80	(502.42)	S	6.20	
PKUW	HONGKONG+CHINA GAS	090311	9000	13,115.99	070703	5900	11,400.06	(2,886.25)	L	84.45	
PKUW	HONGKONG+CHINA GAS				080520	3100	7,649.06	(3,175.26)	S	43.93	
PKUW	HONGKONG+CHINA GAS	090629	10000	21,225.90	080520	900	2,220.69	(324.76)	L	14.40	
PKUW	HONGKONG+CHINA GAS				081212	2000	3,302.68	942.49	S	0.01	
PKUW	HONGKONG+CHINA GAS				080627	2000	4,851.46	(638.64)	L	32.36	
PKUW	HONGKONG+CHINA GAS				090116	5100	8,016.64	2,798.79	S	9.78	
PKUW	HONGKONG+CHINA GAS	090630	900	1,924.47	090116	900	1,414.70	508.04	S	1.73	
PKUW	HON HAI PRECISION	090408	4000	9,600.15	070705	4000	25,987.31	(15,556.09)	L	(831.07)	
PKUW	HON HAI PRECISION	090729	2000	6,781.16	070705	2000	11,298.83	(4,501.68)	L	(15.99)	
PKUW	HON HAI PRECISION	090824	31925	104,128.80	090520	1150	3,458.89	294.77	S	(2.74)	
PKUW	HON HAI PRECISION				081212	2300	3,842.58	3,606.63	S	52.63	
PKUW	HON HAI PRECISION				070705	15250	86,153.60	(36,257.12)	L	(156.02)	
PKUW	HON HAI PRECISION				071030	13225	77,577.05	(33,371.90)	L	(1,069.57)	
PKUW	HUTCHISON WHAMPOA	090604	1000	7,407.83	070802	1000	10,388.78	(3,084.62)	L	103.67	
PKUW	HUTCHISON WHAMPOA	090629	6000	39,800.55	080520	2000	20,912.85	(7,781.60)	L	135.60	
PKUW	HUTCHISON WHAMPOA				070802	4000	41,555.14	(15,442.34)	L	420.90	

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PKUW	HUTCHISON WHAMPOA	090729	1000	7,110.38	080627	1000	9,880.62	(2,836.16)	L	65.92	
PKUW	HUTCHISON WHAMPOA	090824	7000	52,595.29	080923	2000	15,921.97	(923.30)	S	28.56	
PKUW	HUTCHISON WHAMPOA				080926	1000	7,772.46	(280.45)	S	21.60	
PKUW	HUTCHISON WHAMPOA				081212	1000	5,123.25	2,390.56	S	(0.20)	
PKUW	HUTCHISON WHAMPOA				090108	1000	5,570.64	1,939.41	S	3.56	
PKUW	HUTCHISON WHAMPOA				080724	1000	9,667.97	(2,215.67)	L	61.31	
PKUW	HUTCHISON WHAMPOA				090205	1000	4,882.05	2,629.61	S	1.96	
PKUW	INDOCEMENT TUNGGAL	090408	19000	8,148.75	071018	19000	15,002.89	(3,901.94)	L	(2,952.20)	
PKUW	INDOCEMENT TUNGGAL	090729	13500	11,700.96	071018	6500	5,132.57	945.91	L	(444.68)	
PKUW	INDOCEMENT TUNGGAL				071022	7000	5,384.35	1,126.33	L	(443.52)	
PKUW	INDOCEMENT TUNGGAL				090519	5500	3,415.97	1,758.12	S	111.07	
PKUW	INDOCEMENT TUNGGAL	090824	168500	161,918.18	081106	14000	4,438.86	8,613.48	S	400.80	
PKUW	INDOCEMENT TUNGGAL				090813	4500	3,926.81	423.94	S	(26.52)	
PKUW	INDOCEMENT TUNGGAL				071022	104500	80,380.59	26,953.69	L	(6,916.18)	
PKUW	INDOCEMENT TUNGGAL				080829	40000	28,845.53	12,030.69	S	(2,438.67)	
PKUW	JARDINE MATHESON	090604	400	10,861.99	050719	400	7,149.38	3,712.61	L	-	
PKUW	JARDINE MATHESON	090629	1200	31,080.28	050720	400	7,141.36	3,218.73	L	-	
PKUW	JARDINE MATHESON	090824	2000	57,991.38	050923	800	12,998.88	7,721.31	L	-	
PKUW	JARDINE MATHESON				080926	400	10,958.02	640.26	S	-	
PKUW	JARDINE MATHESON				050923	400	6,499.44	5,098.84	L	-	
PKUW	JARDINE MATHESON				080508	400	13,625.53	(2,027.25)	L	-	
PKUW	JARDINE MATHESON				081212	400	6,684.67	4,913.59	S	-	
PKUW	JARDINE MATHESON				080627	400	11,894.96	(296.68)	L	-	
PKUW	JARDINE MATHESON				080507	500	9,053.84	(2,002.72)	L	-	
PKUW	JARDINE STRATEGIC	090629	500	7,051.12	080520	500	9,056.34	(1,025.30)	L	-	
PKUW	JARDINE STRATEGIC	090824	1500	24,093.13	090527	500	6,864.80	1,166.25	S	-	
PKUW	JARDINE STRATEGIC				080507	500	9,053.83	(1,022.79)	L	-	
PKUW	JARDINE STRATEGIC				080520	500	9,056.34	(1,025.30)	L	-	
PKUW	JARDINE STRATEGIC				080222	4500	29,177.24	(6,424.57)	L	178.82	
PKUW	KERRY PROPERTIES	090629	8500	14,558.37	090716	1500	6,794.44	849.48	S	(0.09)	
PKUW	KERRY PROPERTIES				080509	3000	7,693.96	(2,602.23)	L	46.52	
PKUW	KERRY PROPERTIES				080520	2500	6,322.73	(2,081.85)	L	40.99	
PKUW	KERRY PROPERTIES				080627	3000	7,976.91	(2,891.87)	L	53.21	
PKUW	KERRY PROPERTIES				080627	500	1,329.48	(319.12)	L	8.88	
PKUW	BOC HONG KONG HOLDINGS LTD	090729	4500	9,173.13	080724	1500	3,789.26	(755.73)	L	24.18	
PKUW	BOC HONG KONG HOLDINGS LTD				080903	2500	5,617.72	(564.08)	S	42.54	
PKUW	BOC HONG KONG HOLDINGS LTD	090824	12500	24,562.07	090513	12000	17,531.58	6,048.58	S	(0.57)	
PKUW	BOC HONG KONG HOLDINGS LTD				080903	500	1,123.54	(149.52)	S	8.46	
PKUW	BOC HONG KONG HOLDINGS LTD				090728	500	18,588.67	1,389.21	S	(129.85)	
PKUW	JFE HOLDING INC	090807	200	7,393.74	090728	200	7,435.47	179.96	S	(221.69)	
PKUW	JFE HOLDING INC	090824	3800	144,079.85	090728	3800	141,273.89	3,319.68	S	(513.72)	
PKUW	JFE HOLDING INC	090824	44000	22,110.11	090629	44000	22,108.50	2.47	S	(0.86)	
PKUW	CHINA TELECOM CORP	090106	200	8,795.07	071030	200	15,846.98	(10,446.56)	L	3,394.65	
PKUW	SUMITOMO MITSUI GR	090304	4000	119,505.74	080220	1300	95,537.29	(65,002.59)	L	8,304.67	

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										Gain Loss: Base	Total Gain Loss: Base
PKUW	SUMITOMO MITSUI GR				080228	500	38,268.03	(25,790.03)	L	2,460.22	
PKUW	SUMITOMO MITSUI GR				080421	100	7,717.22	(5,020.34)	S	290.76	
PKUW	SUMITOMO MITSUI GR				080508	400	33,937.01	(23,425.59)	S	1,439.15	
PKUW	SUMITOMO MITSUI GR				080718	800	62,678.65	(43,406.12)	S	4,628.62	
PKUW	SUMITOMO MITSUI GR				080723	200	16,431.21	(11,847.85)	S	1,391.93	
PKUW	SUMITOMO MITSUI GR				080801	200	14,264.94	(9,456.45)	S	1,166.80	
PKUW	SUMITOMO MITSUI GR				071030	100	7,923.49	(6,157.91)	L	1,222.06	
PKUW	SUMITOMO MITSUI GR				080828	300	17,664.45	(10,480.50)	S	1,778.98	
PKUW	SUMITOMO MITSUI GR				080908	100	6,156.67	(3,700.80)	S	531.77	
PKUW	SUMITOMO MITSUI GR	090306	3900	111,322.28	080924	300	19,557.85	(12,642.67)	S	1,648.07	
PKUW	SUMITOMO MITSUI GR				080925	200	12,984.27	(8,420.70)	S	1,145.26	
PKUW	SUMITOMO MITSUI GR				080926	100	6,330.59	(4,009.63)	S	533.46	
PKUW	SUMITOMO MITSUI GR				081118	600	21,774.82	(4,559.25)	S	(89.07)	
PKUW	SUMITOMO MITSUI GR				090122	1500	56,901.76	(8,787.24)	S	(5,298.26)	
PKUW	SUMITOMO MITSUI GR				090227	1100	35,286.80	(3,909.85)	S	21.65	
PKUW	SUMITOMO MITSUI GR				080908	100	6,156.66	(3,944.13)	S	641.89	
PKUW	SUMITOMO MITSUI GR	090824	4600	29,928.67	090417	2258	10,576.54	2,343.45	S	1,771.08	
PKUW	SUNCORP METWAY				090420	2342	10,672.51	2,499.25	S	2,065.84	
PKUW	MITSUBISHI CORP	090106	700	9,982.19	080220	700	21,689.50	(14,817.79)	S	3,110.48	
PKUW	MITSUBISHI CORP	090331	1000	13,123.31	080220	100	3,098.50	(2,076.81)	L	290.64	
PKUW	MITSUBISHI CORP				080228	900	28,313.52	(18,513.48)	L	2,010.94	
PKUW	MITSUBISHI CORP	090424	1500	23,421.01	080228	1500	47,189.21	(27,841.16)	L	4,072.96	
PKUW	MITSUBISHI CORP	090508	2100	36,359.77	080228	700	22,021.63	(11,427.62)	L	1,525.91	
PKUW	MITSUBISHI CORP				080516	700	24,489.69	(13,643.38)	S	1,273.61	
PKUW	MITSUBISHI CORP				080530	200	6,860.39	(3,857.67)	S	460.12	
PKUW	MITSUBISHI CORP				080711	500	15,348.49	(7,795.26)	S	1,103.86	
PKUW	MITSUBISHI CORP	090710	2700	46,551.82	080711	500	15,348.49	(9,027.76)	S	2,299.98	
PKUW	MITSUBISHI CORP				080718	300	8,828.24	(5,044.78)	S	1,388.96	
PKUW	MITSUBISHI CORP				080723	500	15,076.63	(9,004.52)	S	2,548.60	
PKUW	MITSUBISHI CORP				080801	300	8,687.46	(4,956.27)	S	1,441.23	
PKUW	MITSUBISHI CORP				080815	1100	27,883.08	(14,435.20)	S	5,517.68	
PKUW	MITSUBISHI CORP	090727	1100	20,963.12	080815	1100	27,883.09	(11,354.80)	S	4,434.83	
PKUW	MITSUBISHI CORP	090728	700	13,497.20	080815	200	5,069.65	(2,068.44)	S	855.13	
PKUW	MITSUBISHI CORP				080908	500	12,725.94	(4,895.77)	S	1,810.69	
PKUW	MITSUBISHI CORP	090807	2500	49,077.96	080925	1200	28,565.39	(7,639.21)	S	2,631.24	
PKUW	MITSUBISHI CORP				080908	500	12,725.94	(4,287.63)	S	1,377.28	
PKUW	MITSUBISHI CORP				080918	800	17,679.46	(3,325.40)	S	1,350.89	
PKUW	MITSUBISHI CORP	090824	20100	405,044.86	080925	200	4,760.90	(1,309.44)	S	578.84	
PKUW	MITSUBISHI CORP				080926	1200	27,284.76	(6,309.57)	S	3,206.59	
PKUW	MITSUBISHI CORP				090430	2400	36,577.52	10,432.82	S	1,353.23	
PKUW	MITSUBISHI CORP				090513	300	5,428.92	548.15	S	68.38	
PKUW	MITSUBISHI CORP				090520	1500	27,662.07	2,429.58	S	135.58	
PKUW	MITSUBISHI CORP				090611	500	10,241.54	(493.96)	S	328.16	

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PKUW	MITSUBISHI CORP				090619	2500	46,979.95	2,482.69	S	916.06	
PKUW	MITSUBISHI CORP				081118	2300	30,030.34	15,523.59	S	794.49	
PKUW	MITSUBISHI CORP				081128	900	11,259.22	6,833.21	S	43.91	
PKUW	MITSUBISHI CORP				081219	1900	25,135.71	14,569.52	S	(1,417.41)	
PKUW	MITSUBISHI CORP				090122	2400	32,650.77	17,844.77	S	(2,131.97)	
PKUW	MITSUBISHI CORP				090227	2700	33,926.22	19,420.75	S	1,062.04	
PKUW	MITSUBISHI CORP				090304	400	4,521.80	3,323.37	S	215.42	
PKUW	MITSUBISHI CORP				090317	900	10,940.70	6,739.56	S	456.08	
PKUW	MITSUBISHI CORP				090305	1600	6,791.19	1,464.26	S	(36.10)	
PKUW	NOMURA HOLDINGS	090424	3700	23,031.21	090305	3700	15,704.62	7,187.09	S	139.50	
PKUW	NOMURA HOLDINGS	090508	8700	60,660.31	090305	8700	36,927.07	23,988.92	S	(255.68)	
PKUW	NOMURA HOLDINGS	090522	7600	54,289.31	090305	7600	32,258.13	20,809.49	S	1,221.69	
PKUW	NOMURA HOLDINGS	090611	2200	19,249.71	090305	2200	9,337.88	9,880.36	S	31.47	
PKUW	NOMURA HOLDINGS	090619	11000	91,349.66	090305	11000	46,689.39	43,926.55	S	733.72	
PKUW	NOMURA HOLDINGS	090727	3900	33,541.85	090305	3900	16,553.51	16,479.47	S	508.87	
PKUW	NOMURA HOLDINGS	090728	1000	8,582.38	090305	1000	4,244.49	4,171.08	S	166.81	
PKUW	NOMURA HOLDINGS	090731	1000	8,624.55	090305	1000	4,244.49	4,244.07	S	135.99	
PKUW	NOMURA HOLDINGS	090807	1300	10,384.19	090305	1300	5,517.84	4,820.49	S	45.86	
PKUW	NOMURA HOLDINGS	090824	30700	264,003.24	090430	4300	26,231.38	9,775.82	S	970.45	
PKUW	NOMURA HOLDINGS				090513	2600	18,408.76	3,717.94	S	231.88	
PKUW	NOMURA HOLDINGS				090520	5100	36,043.82	7,636.73	S	176.67	
PKUW	NOMURA HOLDINGS				090710	3800	29,495.91	4,005.88	S	(823.87)	
PKUW	NOMURA HOLDINGS				090305	6900	29,286.99	29,008.96	S	1,040.28	
PKUW	NOMURA HOLDINGS				090306	5500	23,426.34	23,152.13	S	718.53	
PKUW	NOMURA HOLDINGS				090317	2500	12,730.01	8,237.96	S	530.67	
PKUW	ORIENT O/SEAS INTL	090824	4000	20,879.55	090722	4000	18,527.55	2,352.71	S	(0.71)	
PKUW	HOPEWELL HIGHWAY	090407	900	484.63	070806	100	84.84	(31.85)	L	0.86	
PKUW	HOPEWELL HIGHWAY				080520	200	173.60	(67.02)	S	1.12	
PKUW	HOPEWELL HIGHWAY				080627	200	138.57	(31.80)	S	0.93	
PKUW	HOPEWELL HIGHWAY				080923	400	275.67	(60.78)	S	0.49	
PKUW	FORTUNE REAL ESTATE INVESTME	090629	21000	10,095.94	071102	12000	9,047.80	(3,281.08)	L	2.39	
PKUW	FORTUNE REAL ESTATE INVESTME				071101	9000	6,790.29	(2,469.85)	L	6.39	
PKUW	FORTUNE REAL ESTATE INVESTME	090824	36000	17,227.82	071102	15000	11,309.74	(4,133.53)	L	2.05	
PKUW	FORTUNE REAL ESTATE INVESTME				080520	13000	8,223.68	(2,055.49)	L	52.97	
PKUW	FORTUNE REAL ESTATE INVESTME				071106	8000	6,032.46	(2,207.75)	L	3.69	
PKUW	PHILIPPINE LNG DIS	090520	150	7,082.00	070705	150	8,804.83	(1,518.87)	L	(203.96)	
PKUW	PHILIPPINE LNG DIS	090824	1440	73,246.16	081212	100	4,548.34	570.82	S	(32.62)	
PKUW	PHILIPPINE LNG DIS				070705	520	30,523.43	(2,646.19)	L	(1,427.24)	
PKUW	PHILIPPINE LNG DIS				090113	420	19,489.27	2,224.23	S	(350.04)	
PKUW	PHILIPPINE LNG DIS				080724	400	21,958.41	300.72	L	(1,912.97)	
PKUW	CHINA RES PWR HLDG	090824	6000	14,997.72	090629	6000	13,735.14	1,263.11	S	(0.53)	
PKUW	QBE INS GROUP	090113	1990	33,574.61	081201	1990	30,478.59	2,069.42	S	1,026.60	
PKUW	QBE INS GROUP	090319	1700	22,707.91	081201	1700	26,036.99	(5,277.04)	S	1,947.96	

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PKUW	QBE INS GROUP	090824	1590	30,775 61	081201	1420	21,748 55	(886 69)	S	6,623 28	
PKUW	QBE INS GROUP				081212	170	2,681 17	(115 49)	S	724 79	
PKUW	CHINA LIFE INSURANCE	090520	1000	3,587 61	080813	1000	3,500 38	61 74	S	25 49	
PKUW	CHINA LIFE INSURANCE	090527	3000	10,684 69	080813	3000	10,501 15	107 96	S	75 58	
PKUW	CHINA LIFE INSURANCE	090824	13000	55,410 62	081106	2000	5,198 99	3,325 11	S	0 61	
PKUW	CHINA LIFE INSURANCE				090629	4000	14,846 80	2,203 19	S	(0 57)	
PKUW	CHINA LIFE INSURANCE				090716	1000	3,986 07	276 34	S	(0 06)	
PKUW	CHINA LIFE INSURANCE				081212	1000	2,958 94	1,303 53	S	(0 11)	
PKUW	CHINA LIFE INSURANCE				080813	5000	17,501 92	3,678 66	L	131 20	
PKUW	ZIJIN MINING GROUP CO LTD	090824	38000	33,757 76	090629	32000	28,401 22	27 47	S	(1 10)	
PKUW	ZIJIN MINING GROUP CO LTD				090630	6000	5,412 89	(82 51)	S	(0 21)	
PKUW	SHANGRI LA ASIA LTD	090211	12000	13,278 47	071212	4000	11,953 56	(7,599 19)	L	71 79	
PKUW	SHANGRI LA ASIA LTD				080509	6000	16,770 00	(10,229 75)	S	98 98	
PKUW	SHANGRI LA ASIA LTD				070705	2000	4,966 18	(2,795 20)	L	42 10	
PKUW	SHANGRI LA ASIA LTD	090630	18000	27,505 09	080926	6000	8,716 36	427 43	S	24 58	
PKUW	SHANGRI LA ASIA LTD				080509	6000	16,770 00	(7,703 01)	L	101 37	
PKUW	SHANGRI LA ASIA LTD				080627	2000	4,652 37	(1,627 28)	L	31 03	
PKUW	SHANGRI LA ASIA LTD				080724	4000	9,018 32	(2,963 62)	S	57 54	
PKUW	SAMSUNG ELECTRONIC	090729	30	16,809 82	051021	30	15,919 13	3,220 79	L	(2,330 10)	
PKUW	SAMSUNG ELECTRONIC	090824	290	181,540 52	051021	10	5,306 38	1,730 52	L	(776 88)	
PKUW	SAMSUNG ELECTRONIC				051122	30	17,198 40	4,293 76	L	(2,712 11)	
PKUW	SAMSUNG ELECTRONIC				051214	70	42,193 10	9,233 39	L	(7,606 36)	
PKUW	SAMSUNG ELECTRONIC				081106	20	7,390 83	4,590 41	S	538 80	
PKUW	SAMSUNG ELECTRONIC				070705	30	19,112 12	4,569 07	L	(4,901 14)	
PKUW	SAMSUNG ELECTRONIC				090813	10	5,773 75	499 31	S	(13 05)	
PKUW	SAMSUNG ELECTRONIC				071030	100	59,891 03	18,789 73	L	(16,080 58)	
PKUW	SAMSUNG ELECTRONIC				080829	20	9,500 57	4,177 49	S	(1,158 02)	
PKUW	SEKISUI CHEMICAL	090227	44000	189,984 01	080924	1000	6,236 25	(2,439 79)	S	521 36	
PKUW	SEKISUI CHEMICAL				080925	4000	25,140 55	(10,069 99)	S	2,200 71	
PKUW	SEKISUI CHEMICAL				080926	6000	36,044 76	(13,151 25)	S	3,013 40	
PKUW	SEKISUI CHEMICAL				081128	2000	11,163 57	(2,231 28)	S	(296 65)	
PKUW	SEKISUI CHEMICAL				081219	10000	60,588 68	(12,258 50)	S	(5,152 00)	
PKUW	SEKISUI CHEMICAL				090106	11000	66,263 18	(16,491 71)	S	(2,275 47)	
PKUW	SEKISUI CHEMICAL				090122	10000	56,625 01	(8,142 85)	S	(5,303 97)	
PKUW	SEKISUI CHEMICAL	090619	2000	12,051 19	090511	2000	11,520 87	420 73	S	109 59	
PKUW	SEKISUI CHEMICAL	090731	15000	86,638 22	090511	8000	46,083 49	(1,062 47)	S	1,186 03	
PKUW	SEKISUI CHEMICAL				090512	7000	40,792 50	(944 11)	S	582 78	
PKUW	SEKISUI CHEMICAL	090807	4000	22,244 06	090513	4000	23,813 19	(1,235 52)	S	(333 61)	
PKUW	SEKISUI CHEMICAL	090824	57000	349,829 12	090513	6000	35,719 79	654 42	S	449 91	
PKUW	SEKISUI CHEMICAL				090513	4000	23,731 99	518 50	S	298 92	
PKUW	SEKISUI CHEMICAL				090520	7000	41,789 32	967 34	S	204 81	
PKUW	SEKISUI CHEMICAL				090521	4000	23,776 04	848 55	S	(75 18)	
PKUW	SEKISUI CHEMICAL				090522	1000	5,909 77	240 97	S	(13 39)	

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PKUW	SEKISUI CHEMICAL				090522	3000	17,753 05	699 24	S	(40 23)	
PKUW	SEKISUI CHEMICAL				090611	3000	18,450 09	(629 22)	S	591 19	
PKUW	SEKISUI CHEMICAL				090727	7000	41,591 32	1,177 26	S	192 89	
PKUW	SEKISUI CHEMICAL				090728	13000	76,928 48	3,136 85	S	(279 74)	
PKUW	SEKISUI CHEMICAL				090728	9000	53,046 68	2,382 40	S	(192 90)	
PKUW	SHINSEGAE CO LTD	090113	120	37,930 14	050901	30	11,010 17	1,086 67	L	(2,614 30)	
PKUW	SHINSEGAE CO LTD				051006	50	18,302 85	1,712 11	L	(4,210 74)	
PKUW	SHINSEGAE CO LTD				051013	40	14,575 30	1,367 44	L	(3,299 36)	
PKUW	SHINSEGAE CO LTD	090403	80	27,633 35	051013	10	3,643 82	607 86	L	(797 51)	
PKUW	SHINSEGAE CO LTD				070705	70	47,203 37	(8,277 10)	L	(14,747 09)	
PKUW	SHINSEGAE CO LTD	090408	20	6,769 56	070705	20	13,486 68	(2,409 54)	L	(4,307 58)	
PKUW	SHINSEGAE CO LTD	090824	200	82,921 53	081106	20	6,924 43	862 93	S	504 79	
PKUW	SHINSEGAE CO LTD				081212	20	6,378 42	1,232 46	S	681 28	
PKUW	SHINSEGAE CO LTD				070705	80	53,946 71	(6,943 93)	L	(13,834 17)	
PKUW	SHINSEGAE CO LTD				071030	80	63,562 79	(13,327 75)	L	(17,066 43)	
PKUW	SINO LAND CO	090323	10000	9,231 39	080903	10000	17,674 44	(8,576 00)	S	132 95	
PKUW	SUN HUNG KAI PROPS	090408	2000	19,731 45	071011	2000	33,962 00	(14,245 89)	L	15 34	
PKUW	SUN HUNG KAI PROPS	090424	1000	10,527 16	071011	1000	16,981 00	(6,462 17)	L	8 33	
PKUW	SUN HUNG KAI PROPS	090604	1000	12,553 93	071011	1000	16,981 00	(4,432 88)	L	5 81	
PKUW	SUN HUNG KAI PROPS	090716	2000	25,242 89	080312	1000	16,042 99	(3,497 61)	L	76 06	
PKUW	SUN HUNG KAI PROPS				071011	1000	16,981 00	(4,367 44)	L	7 89	
PKUW	SUN HUNG KAI PROPS	090806	1000	14,563 21	080312	1000	16,042 98	(1,556 05)	L	76 28	
PKUW	SUN HUNG KAI PROPS	090824	4000	58,715 58	080520	2000	33,044 68	(3,899 86)	L	212 97	
PKUW	SUN HUNG KAI PROPS				080627	1000	13,690 17	897 93	L	90 80	
PKUW	SUN HUNG KAI PROPS				090116	1000	8,277 39	6,391 73	S	9 77	
PKUW	SWIRE PACIFIC	090423	2000	14,872 68	050920	1500	14,128 14	(2,995 51)	L	21 88	
PKUW	SWIRE PACIFIC				050921	500	4,703 45	(992 65)	L	7 37	
PKUW	SWIRE PACIFIC	090629	4000	40,051 58	050921	4000	37,627 58	2,365 02	L	58 98	
PKUW	SWIRE PACIFIC	090824	4500	50,137 50	050921	1500	14,110 34	2,580 58	L	21 58	
PKUW	SWIRE PACIFIC				080509	1000	12,342 66	(1,275 13)	L	74 14	
PKUW	SWIRE PACIFIC				080520	500	5,876 55	(343 59)	L	37 87	
PKUW	SWIRE PACIFIC				081212	500	3,514 64	2,056 33	S	(0 14)	
PKUW	SWIRE PACIFIC				080627	1000	10,308 20	765 10	L	68 37	
PKUW	TELEVISION BROADCT	090323	2000	6,238 47	080509	1000	5,533 95	(2,447 88)	S	33 16	
PKUW	TELEVISION BROADCT				071101	1000	6,359 35	(3,245 78)	L	5 67	
PKUW	TELEVISION BROADCT	090824	5000	21,594 72	080926	2000	8,536 24	77 91	S	23 74	
PKUW	TELEVISION BROADCT				080509	2000	11,067 89	(2,496 48)	L	66 48	
PKUW	TELEVISION BROADCT				080627	1000	5,623 93	(1,342 28)	L	37 29	
PKUW	TAIWAN SEMICON MAN	090113	16000	20,454 02	050927	9408 385	14,538 93	(2,527 43)	L	15 96	
PKUW	TAIWAN SEMICON MAN				050928	6591 615	10,112 31	(1,695 18)	L	9 43	
PKUW	TAIWAN SEMICON MAN	090408	8000	12,041 35	050928	8000	12,272 95	(14 43)	L	(217 17)	
PKUW	TAIWAN SEMICON MAN	090729	4000	6,841 84	050928	4000	6,105 94	654 56	L	81 34	
PKUW	TAIWAN SEMICON MAN	090824	85192	150,456 47	050928	4566 965	6,971 41	1,004 18	L	90 07	

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PKUW	TAIWAN SEMICON MAN				051013	12120 8	18,448 83	2,611 11	L	346 45	
PKUW	TAIWAN SEMICON MAN				060215	22221 467	41,804 06	(1,917 13)	L	(641 89)	
PKUW	TAIWAN SEMICON MAN				060620	2089 828	-	3,690 82	L	-	
PKUW	TAIWAN SEMICON MAN				060620	2089 828	-	3,690 82	L	-	
PKUW	TAIWAN SEMICON MAN				070608	430 289	-	759 93	L	-	
PKUW	TAIWAN SEMICON MAN				070608	286 859	-	506 62	L	-	
PKUW	TAIWAN SEMICON MAN				081212	5024,279	6,293 88	2,493 24	S	86,18	
PKUW	TAIWAN SEMICON MAN				071030	36361,685	70,449 46	(5,260 26)	L	(971 31)	
PKUW	TINGYI(CAYMAN ISLA				090408	12000	12,983 15	7,029 29	S	0 51	
PKUW	USHIO INC	090625	12000	20,012 95	080508	700	13,367 59	(5,320 16)	S	1,290 99	
PKUW	USHIO INC	090106	1100	14,674 66	080711	400	6,553 34	(2,018 88)	S	801 78	
PKUW	USHIO INC	090317	800	11,788 49	080711	800	13,106 68	(2,275 04)	S	956 85	
PKUW	USHIO INC	090331	2100	29,609 82	080711	1100	18,021 69	(3,839 19)	S	1,327 41	
PKUW	USHIO INC	090424	1200	15,466 11	080718	1000	15,426 29	(2,570 38)	S	1,244 00	
PKUW	USHIO INC	090508	1900	25,190 12	080723	600	9,255 77	(2,411 89)	S	889 18	
PKUW	USHIO INC	090520	13600	202,429 15	080723	500	9,617 90	(2,915 48)	S	1,030 63	
PKUW	USHIO INC				080828	1400	21,029 66	(4,695 20)	S	2,226 68	
PKUW	USHIO INC				081128	2900	34,640 86	8,558 70	S	12,619 48	
PKUW	USHIO INC				090122	2300	31,457 52	4,974 28	S	(34 52)	
PKUW	USHIO INC				080828	1600	24,033 89	(3,764 29)	S	(2,197 46)	
PKUW	USHIO INC	090710	1000	16,511 48	090122	1000	13,677 18	3,360 03	S	3,545 59	
PKUW	USHIO INC	090728	1500	24,978 42	090122	800	10,941 74	3,057 21	S	(525 73)	
PKUW	USHIO INC				090227	400	5,374 70	1,097 73	S	(677 13)	
PKUW	USHIO INC				090304	300	3,974 10	817 06	S	188 48	
PKUW	USHIO INC				090304	300	3,974 10	1,505 68	S	204 53	
PKUW	USHIO INC				090304	900	11,922 29	3,505 68	S	175 33	
PKUW	USHIO INC				090513	1300	19,139 70	3,645 82	S	239 83	
PKUW	USHIO INC				090611	700	11,062 90	981 55	S	241 07	
PKUW	USHIO INC				090619	1500	22,472 00	3,658 96	S	354 48	
PKUW	USHIO INC				090727	400	6,766 96	286 77	S	438 19	
PKUW	USHIO INC				090304	5100	67,559 61	19,556 82	S	31 38	
PKUW	USHIO INC				090306	2400	33,401 96	8,084 16	S	3,218 67	
PKUW	USHIO INC				080818	470	11,104 28	(508 84)	S	1,024 51	
PKUW	WOOLWORTHS LTD	090408	470	8,542 47	081106	310	6,059 39	(277 52)	S	(2,052 97)	
PKUW	WOOLWORTHS LTD	090824	5070	118,342 47	081212	350	5,790 75	813 44	S	1,454 06	
PKUW	WOOLWORTHS LTD				090113	170	2,971 72	217 68	S	1,565 41	
PKUW	WOOLWORTHS LTD				080818	3910	92,378 13	1,999 96	L	778 69	
PKUW	WOOLWORTHS LTD				080829	330	8,044 64	(148 05)	S	(3,112 00)	
PKUW	WOOLWORTHS LTD				071219	20500	30,097 79	(1,756 56)	L	(193 83)	
PKUW	CAPITALAND	090312	23000	31,763 30	081106	1500	1,043 32	1,007 43	S	(30 46)	
PKUW	CAPITALAND				081212	1000	622 19	749 14	S	20 77	
PKUW	CAPITALAND								S	9 68	

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PKUW	FRANSHION PROPERTI RTS	090317	17020	-	090225	17020	-	-	S	-	-
PKUW	CHINA OV LAND + LTD ANRECHTE	090121	1280	-	081223	1280	-	-	S	232 65	232 65
PKUW	DBS GROUP HOLDINGS	090120	7500	34,661.55	071022	5500	26,839.45	(1,653.63)	L	(161 41)	(161 41)
PKUW	DBS GROUP HOLDINGS				080724	500	2,496.99	(24 81)	S	(60 20)	(60 20)
PKUW	DBS GROUP HOLDINGS				080829	500	2,160.34	210 63	S	(35 13)	(35 13)
PKUW	DBS GROUP HOLDINGS				080919	500	2,012.84	333 06	S	24 09	24 09
PKUW	DBS GROUP HOLDINGS				081212	500	1,151.93	1,134 75	S	11 72	11 72
PKUW	CNOOC LTD	090824	55000	74,976.10	060216	11000	8,904.82	6,078.68	L	0 44	0 44
PKUW	CNOOC LTD				081106	5000	3,795.40	3,020.17	S	48 99	48 99
PKUW	CNOOC LTD				080520	4000	7,600.10	(2,196 28)	L	(0 08)	(0 08)
PKUW	CNOOC LTD				081212	2000	1,837.48	889 00	S	65 95	65 95
PKUW	CNOOC LTD				080606	5000	8,539.21	(1,789 15)	L	171 88	171 88
PKUW	CNOOC LTD				070907	28000	35,763.44	2,234 33	L	297 73	297 73
PKUW	SINGAPORE PRESS HD	090824	12000	29,793.83	090616	12000	25,087.26	4,408.84	S	(120 54)	(120 54)
PKUW	PUBLIC BK BHD	090813	1014	2,866.05	071219	880	2,702.29	(94 45)	L	(10 53)	(10 53)
PKUW	PUBLIC BK BHD				080818	71	209.78	1 43	S	1 48	1 48
PKUW	PUBLIC BK BHD				081106	63	147.83	28 76	S	(924 01)	(924 01)
PKUW	PUBLIC BK BHD	090408	3900	8,359.58	071219	3900	12,045.30	(2,761 71)	L	(1,381 46)	(1,381 46)
PKUW	PUBLIC BK BHD	090504	8800	21,341.79	071219	8800	27,179.14	(4,455 89)	L	(187 39)	(187 39)
PKUW	PUBLIC BK BHD	090519	1200	2,916.18	071219	1200	3,706.25	(602 68)	L	(2,342 48)	(2,342 48)
PKUW	PUBLIC BK BHD	090824	21600	60,734.09	071219	16900	52,196.31	(2,335 03)	L	50 42	50 42
PKUW	PUBLIC BK BHD				081106	2200	5,203.83	931 63	S	(372 45)	(372 45)
PKUW	PUBLIC BK BHD				080818	2500	7,384.77	17 09	L	(0 67)	(0 67)
PKUW	TECHTRONIC INDUSTR	090824	24000	21,753.28	090629	24000	17,227.52	4,526 43	S	(0 62)	(0 62)
PKUW	CHINA MENGNIU DAIRY	090824	17000	41,112.38	090423	9000	16,212.85	5,553 15	S	(0 04)	(0 04)
PKUW	CHINA MENGNIU DAIRY				090716	1000	2,478.85	(60 44)	S	1 71	1 71
PKUW	CHINA MENGNIU DAIRY				090212	7000	8,829.66	8,097 26	S	(1 08)	(1 08)
PKUW	PING AN INSURANCE	090824	4000	31,908.93	090629	4000	27,717.61	4,192 40	S	559 09	559 09
PKUW	YELLOW PAGES	090115	101000	12,102.21	050927	6000	4,291.24	(4,131 39)	L	2,348 95	2,348 95
PKUW	YELLOW PAGES				050928	25000	18,038.00	(17,391 35)	L	932 22	932 22
PKUW	YELLOW PAGES				070705	70000	64,527.54	(57,072 09)	L	376 16	376 16
PKUW	MANILA WATER CO	090113	70500	19,839.30	050519	23555	2,538.10	3,714 32	L	776 85	776 85
PKUW	MANILA WATER CO				050520	46945	5,275.51	7,158 36	L	273 05	273 05
PKUW	MANILA WATER CO	090113	16500	4,643.24	050520	16500	1,854.21	2,515 98	L	352 52	352 52
PKUW	MANILA WATER CO	090408	23000	5,342.56	050520	23000	2,584.65	2,405 39	L	243 13	243 13
PKUW	MANILA WATER CO	090520	106000	31,270.97	050520	14000	1,573.27	2,313 73	L	(579 00)	(579 00)
PKUW	MANILA WATER CO				070705	92000	24,994.91	2,724 93	L	74 42	74 42
PKUW	MANILA WATER CO	090824	185000	55,859.38	081107	29000	8,286.88	395 04	S	(21 82)	(21 82)
PKUW	MANILA WATER CO				081212	11000	3,040.92	302 26	S	(825 74)	(825 74)
PKUW	MANILA WATER CO				070705	65000	17,659.45	2,792 56	L	(1,781 10)	(1,781 10)
PKUW	MANILA WATER CO				080724	53000	20,444.67	(2,660 61)	L	(553 62)	(553 62)
PKUW	MANILA WATER CO				080829	27000	10,620.76	(1,914 69)	S	82 69	82 69
PKUW	CHINA SHENHUA ENERGY	090507	3500	11,202.39	080117	2000	11,683.44	(5,364 76)	L		

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain Loss: Base	Gain Loss: Type	Currency Gain Loss: Base	Total Gain Loss: Base
PKUW	CHINA SHENHUA ENERGY	090824	10000	40,283.99	090627	1500	5,756.72	(994.02)	S	38.32	(1.21)
PKUW	CHINA SHENHUA ENERGY				090629	8500	31,312.19	2,930.41	S	(0.22)	(0.22)
PKUW	CHINA SHENHUA ENERGY	090824	34000	29,748.25	090630	1500	5,630.93	411.89	S	105.69	105.69
PKUW	AAC ACOUSTIC TECH				080117	14000	14,989.02	(2,845.43)	L	0.76	(0.04)
PKUW	AAC ACOUSTIC TECH				090515	10000	7,356.94	1,391.79	S	32.79	32.79
PKUW	AAC ACOUSTIC TECH				090716	4000	3,191.98	307.85	S	21.64	21.64
PKUW	AAC ACOUSTIC TECH				080724	6000	5,170.75	46.15	L	(3.89)	(3.89)
PKUW	CHINA CONST BK	090824	170000	130,994.66	080123	11000	7,944.10	472.27	L	28.36	28.36
PKUW	CHINA CONST BK				080509	4000	3,601.42	(540.83)	L	(0.04)	(0.04)
PKUW	CHINA CONST BK				090630	126000	100,269.48	(3,175.43)	S	47.75	47.75
PKUW	CHINA CONST BK				070703	5000	3,497.59	326.83	L	1.02	1.02
PKUW	CHINA CONST BK				090716	5000	3,712.45	140.38	S	8.42	8.42
PKUW	CHINA CONST BK				081212	5000	2,952.48	900.41	S	3.27	3.27
PKUW	CHINA CONST BK				080627	9000	7,200.26	(313.00)	L	0.12	0.12
PKUW	CHINA CONST BK				090205	5000	2,575.37	1,276.39	S	(0.23)	(0.23)
PKUW	CHINA CONST BK	090629	11500	25,179.01	090112	7500	13,592.30	2,820.37	S	2.63	2.63
PKUW	LINK REIT				090205	4000	7,446.66	1,307.99	S	(4,441.07)	(4,441.07)
PKUW	LINK REIT				090408	1500	2,992.41	260.34	S	(7,174.94)	(7,174.94)
PKUW	LINK REIT	090630	1500	3,252.87	090408	5500	10,972.16	1,414.09	L	(5,187.53)	(5,187.53)
PKUW	LINK REIT	090824	13000	29,276.60	090408	3000	5,972.23	784.14	L	(5,800.70)	(5,800.70)
PKUW	LINK REIT				090424	3000	5,972.23	1,307.99	S	1,694.47	1,694.47
PKUW	LINK REIT				090527	4500	8,858.36	1,273.22	S	(2.71)	(2.71)
PKUW	HANA FINANCIAL HOL	090729	390	10,734.47	060424	390	18,338.08	(3,162.54)	L	-	-
PKUW	HANA FINANCIAL HOL	090824	2680	74,074.74	060424	630	29,623.05	(5,035.02)	L	-	-
PKUW	HANA FINANCIAL HOL				070705	380	20,228.89	(4,538.23)	L	-	-
PKUW	HANA FINANCIAL HOL				071030	430	21,604.33	(3,918.50)	L	-	-
PKUW	HANA FINANCIAL HOL				090403	1240	20,814.56	11,764.36	S	-	-
PKUW	BANK OF CHINA LTD	090824	143000	70,383.91	090630	143000	69,926.48	460.14	S	-	-
PKUW	ITC	090402	9600	36,096.00	090114	9600	33,600.00	2,496.00	S	-	-
PKUW	ITC	090824	5400	26,568.00	090114	5400	18,900.00	7,668.00	S	-	-
PKUW	RANBAXY LABS	090114	15400	67,760.00	070803	15400	138,367.65	(70,607.65)	L	-	-
PKUW	RELIANCE INDS	090824	1170	95,004.00	070709	400	33,940.00	(1,460.00)	L	-	-
PKUW	RELIANCE INDS				070710	400	33,880.00	(1,400.00)	L	-	-
PKUW	RELIANCE INDS				090402	370	24,605.00	5,439.00	S	2.19	2.19
PKUW	IND + COM BK CHINA	090824	88000	60,320.94	090604	32000	20,014.09	1,918.61	S	(1.37)	(1.37)
PKUW	IND + COM BK CHINA				090629	51000	35,448.06	(487.96)	S	(0.05)	(0.05)
PKUW	IND + COM BK CHINA				090716	5000	3,364.54	62.83	S	102.03	102.03
PKUW	CHINA COMM CONSTR	090112	12000	13,508.97	080117	6000	15,777.97	(9,125.51)	S	67.28	67.28
PKUW	CHINA COMM CONSTR				080416	6000	13,158.84	(6,471.64)	S	24.99	24.99
PKUW	CHINA COMM CONSTR	090408	11000	12,261.96	080416	2000	4,386.28	(2,181.82)	S	41.20	41.20
PKUW	CHINA COMM CONSTR				080509	3000	6,858.47	(3,555.50)	S	34.00	34.00
PKUW	CHINA COMM CONSTR				080627	3000	5,126.49	(1,816.32)	S	37.91	37.91
PKUW	CHINA COMM CONSTR	090824	11000	13,148.76	080724	3000	5,977.06	(2,670.80)	S	(0.51)	(0.51)
PKUW	CHINA COMM CONSTR				090629	11000	13,132.79	16.48	S	-	-

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain Loss: Base	Gain Loss: Type	Currency Gain Loss: Base	Total Gain Loss: Base
PKUW	BELLE INTERNATIONAL HOLDINGS	090824	19000	18,587.31	090629	19000	17,088.80	1,499.17	S	(0.66)	
PKUW	CHINA HIGH SPEED	090824	10000	24,375.19	090629	10000	20,826.43	3,549.56	S	(0.80)	
PKUW	FRANSHION PROPERTI	090520	10000	3,685.64	071003	10000	5,092.35	(1,410.74)	L	4.03	
PKUW	FRANSHION PROPERTI	090527	16000	5,791.24	071003	10000	5,092.34	(1,476.43)	L	3.62	
PKUW	FRANSHION PROPERTI				071004	6000	2,946.52	(777.12)	L	2.31	
PKUW	FRANSHION PROPERTI	090824	48000	15,276.05	080923	18000	4,633.56	1,086.65	S	8.31	
PKUW	FRANSHION PROPERTI				080520	26000	11,384.64	(3,183.48)	L	73.37	
PKUW	FRANSHION PROPERTI				071004	4000	1,964.35	(693.47)	L	2.12	
PKUW	UNI PRESIDENT CHIN	090824	45000	25,648.44	090625	36000	20,590.43	(70.88)	S	(0.80)	
PKUW	UNI PRESIDENT CHIN				090626	9000	5,115.81	14.07	S	(0.19)	
PKUW	KB FINANCIAL GROUP	090216	2180	50,291.42	051013	240	13,478.81	(4,517.13)	L	(3,425.01)	
PKUW	KB FINANCIAL GROUP				070705	1330	122,115.68	(49,494.76)	L	(41,938.54)	
PKUW	KB FINANCIAL GROUP				071031	440	35,776.73	(12,795.36)	L	(12,830.81)	
PKUW	KB FINANCIAL GROUP				081106	170	4,105.55	32.30	S	(216.04)	
PKUW	HSBC HOLDINGS PLC RTS	090403	4166	-	090312	4166	-	-	S	-	
PKUW Total				12,726,535.25			13,285,101.46	(545,262.19)		(13,304.02)	

Summary PKUW											
Short-term capital gain (loss)				8,740,431.40			8,160,798.72	382,931.55	S	196,701.13	579,632.68
Long-term capital gain (loss)				3,986,103.85			5,124,302.74	(928,193.74)	L	(210,005.15)	(1,138,198.89)
				12,726,535.25			13,285,101.46	(545,262.19)		(13,304.02)	(558,566.21)

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)		Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain		Currency	
				Gain	Loss				Gain	Loss	Base	Base
									Type	Gain	Loss	Total Gain
										Loss	Loss	Loss
PKVP	APPLE INC	091001	291	52,994.39	090901	291	48,413.67	4,580.72	S	-	-	-
PKVP	CVS CAREMARK CORP	091105	5839	168,249.95	090901	5839	216,100.22	(47,850.27)	S	-	-	-
PKVP	CVS CAREMARK CORP	091105	547	15,370.52	090901	547	20,244.36	(4,873.84)	S	-	-	-
PKVP	COGNIZANT TECHNOLOGY SOLUTIONS	091006	684	26,672.85	090901	684	23,700.60	2,972.25	S	-	-	-
PKVP	COGNIZANT TECHNOLOGY SOLUTIONS	091203	609	27,596.91	090901	609	21,101.85	6,495.06	S	-	-	-
PKVP	COGNIZANT TECHNOLOGY SOLUTIONS	091203	523	23,265.21	090901	523	18,121.95	5,143.26	S	-	-	-
PKVP	ELECTRONIC ARTS INC	091113	455	8,279.01	090901	455	8,171.80	107.21	S	-	-	-
PKVP	ELECTRONIC ARTS INC	091116	728	13,213.08	090901	728	13,074.88	138.20	S	-	-	-
PKVP	ELECTRONIC ARTS INC	091117	265	4,729.00	090901	265	4,759.40	(30.40)	S	-	-	-
PKVP	ELECTRONIC ARTS INC	091118	688	12,154.44	090901	688	12,356.48	(202.04)	S	-	-	-
PKVP	ELECTRONIC ARTS INC	091119	341	5,963.21	090901	341	6,124.36	(161.15)	S	-	-	-
PKVP	ELECTRONIC ARTS INC	091120	620	10,753.37	090901	620	11,135.20	(381.83)	S	-	-	-
PKVP	ELECTRONIC ARTS INC	091123	469	8,132.34	090901	469	8,423.24	(290.90)	S	-	-	-
PKVP	ELECTRONIC ARTS INC	091124	949	16,188.95	090901	949	17,044.04	(855.09)	S	-	-	-
PKVP	ELECTRONIC ARTS INC	091125	888	15,415.46	090901	888	15,948.48	(533.02)	S	-	-	-
PKVP	ELECTRONIC ARTS INC	091127	465	7,933.57	090901	465	8,351.40	(417.83)	S	-	-	-
PKVP	ELECTRONIC ARTS INC	091130	749	12,597.55	090901	749	13,452.04	(854.49)	S	-	-	-
PKVP	ELECTRONIC ARTS INC	091201	862	14,613.71	090901	862	15,481.52	(867.81)	S	-	-	-
PKVP	ELECTRONIC ARTS INC	091202	392	6,591.62	090901	392	7,040.32	(448.70)	S	-	-	-
PKVP	ELECTRONIC ARTS INC	091203	310	5,255.88	090901	310	5,567.60	(311.72)	S	-	-	-
PKVP	GENZYME CORP	091015	463	25,940.34	090901	463	25,566.63	373.71	S	-	-	-
PKVP	GENZYME CORP	091016	479	26,791.31	090901	479	26,450.14	341.17	S	-	-	-
PKVP	GENZYME CORP	091019	436	24,283.04	090901	436	24,075.70	207.34	S	-	-	-
PKVP	GENZYME CORP	091020	460	25,284.85	090901	460	25,400.97	(116.12)	S	-	-	-
PKVP	GENZYME CORP	091021	117	6,267.24	090901	117	6,460.68	(193.44)	S	-	-	-
PKVP	NATIONAL OILWELL VARCO INC	091118	222	10,112.61	090901	222	7,988.03	2,124.58	S	-	-	-
PKVP	NATIONAL OILWELL VARCO INC	091123	152	6,700.77	090901	152	5,469.28	1,231.49	S	-	-	-
PKVP	NATIONAL OILWELL VARCO INC	091124	219	9,618.25	090901	219	7,880.08	1,738.17	S	-	-	-
PKVP	NATIONAL OILWELL VARCO INC	091125	209	9,223.16	090901	209	7,520.26	1,702.90	S	-	-	-
PKVP	RESEARCH IN MOTION LTD	090922	309	26,026.21	090901	309	22,903.08	3,123.13	S	-	-	-
PKVP	RESEARCH IN MOTION LTD	091214	237	14,975.90	090901	237	17,566.44	(2,590.54)	S	-	-	-
PKVP	RESEARCH IN MOTION LTD	091230	244	16,481.40	090901	244	18,085.28	(1,603.88)	S	-	-	-
PKVP	THERMO FISHER SCIENTIFIC INC	090917	375	17,124.90	090901	375	16,818.75	306.15	S	-	-	-
PKVP	THERMO FISHER SCIENTIFIC INC	090921	913	41,495.51	090901	913	40,948.05	547.46	S	-	-	-
PKVP	THERMO FISHER SCIENTIFIC INC	090918	316	14,216.25	090901	316	14,172.60	43.65	S	-	-	-
PKVP	THERMO FISHER SCIENTIFIC INC	090923	111	5,073.76	090901	111	4,978.35	95.41	S	-	-	-
PKVP	THERMO FISHER SCIENTIFIC INC	090922	235	10,831.03	090901	235	10,539.75	291.28	S	-	-	-
PKVP	THERMO FISHER SCIENTIFIC INC	090928	345	15,251.92	090901	345	15,473.25	(221.33)	S	-	-	-
PKVP	THERMO FISHER SCIENTIFIC INC	090925	229	10,091.63	090901	229	10,270.65	(179.02)	S	-	-	-
PKVP	THERMO FISHER SCIENTIFIC INC	090929	242	10,582.95	090901	242	10,853.70	(270.75)	S	-	-	-
PKVP	THERMO FISHER SCIENTIFIC INC	090930	733	31,839.89	090901	733	32,875.05	(1,035.16)	S	-	-	-

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell Net Proceeds: (Net Amt - Interest)	Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain Loss: Base	Gain Loss: Type	Currency Gain Loss: Base	Total Gain Loss: Base
				814,183.94			846,910.13	(32,726.19)		0.00	
	Summary: PKVP										
	Short-term capital gain (loss)			814,183.94			846,910.13	(32,726.19)	S	-	(32,726.19)
	Long-term capital gain (loss)			-			-	-	L	0.00	0.00
				<u>814,183.94</u>			<u>846,910.13</u>	<u>(32,726.19)</u>		<u>0.00</u>	<u>(32,726.19)</u>

Fund	Security Description	Trade Date	Shrs	BASE - USD Sell		Buy Trd Dt	Shares/Par	ID Cost Base	Security Gain Loss: Base	Gain Loss: Type	Currency Gain Loss: Base	Total Gain Loss: Base
				Net Proceeds: (Net Amt - Interest)	Net Proceeds: (Net Amt - Interest)							
PKVQ	CAPITOL FED FINL	090918	560	18,734.03	090901	560	17,686.31	1,047.72	S	-	-	-
PKVQ	CENTERPOINT ENERGY INC	090917	1390	17,327.71	090901	1390	17,171.09	156.62	S	-	-	-
PKVQ	CENTERPOINT ENERGY INC	090922	1650	20,443.30	090901	1650	20,382.95	60.35	S	-	-	-
PKVQ	CENTERPOINT ENERGY INC	090929	1720	21,551.38	090901	1720	21,247.67	303.71	S	-	-	-
PKVQ	CENTERPOINT ENERGY INC	091001	1690	20,743.37	090901	1690	20,877.08	(133.71)	S	-	-	-
PKVQ	ENCORE ACQUISITION CO	091102	700	31,548.95	090901	700	25,926.95	5,622.00	S	-	-	-
PKVQ	GRANITE CONSTR INC	090925	500	14,965.41	090901	500	15,982.00	(1,016.59)	S	-	-	-
PKVQ	GRANITE CONSTR INC	091016	530	14,985.57	090901	530	16,940.92	(1,955.35)	S	-	-	-
PKVQ	JEFFRIES GROUP INC NEW	091022	340	9,334.14	090901	340	7,762.68	1,571.46	S	-	-	-
PKVQ	JEFFRIES GROUP INC NEW	091027	840	22,453.29	090901	840	19,178.38	3,274.91	S	-	-	-
PKVQ	REGIONS FINL CORP NEW	091203	2090	11,676.31	090921	2090	13,698.28	(2,021.97)	S	-	-	-
PKVQ	REGIONS FINL CORP NEW	091207	1470	8,184.74	090921	1470	9,634.67	(1,449.93)	S	-	-	-
PKVQ Total				211,948.20			206,488.98	5,459.22				
Summary PKVQ												
	Short-term capital gain (loss)			211,948.20			206,488.98	5,459.22	S	-	-	5,459.22
	Long-term capital gain (loss)			-			-	-	L	-	-	-
				<u>211,948.20</u>			<u>206,488.98</u>	<u>5,459.22</u>				<u>5,459.22</u>

Fund	Security Name	Shares/Par Value	Base Total Cost	Base Market Value	Base Unrealized Gain/Loss
PKUG	CHEUNG KONG HLDG LTD	6,300	74,816.28	80,766.00	5,949.72
PKUG	SAN JUAN BASIN ROYALTY TR	4,400	77,920.48	75,856.00	(2,064.48)
PKUG	HENGAN INTERNATIONAL	10,000	36,398.40	74,283.76	37,885.36
PKUG	RITCHIE BROS AUCTIONEERS INC	4,800	129,119.52	107,664.00	(21,455.52)
PKUG	IMPERIAL OIL LTD	2,000	114,520.00	77,320.00	(37,200.00)
PKUG	APACHE CORP	800	109,336.00	82,536.00	(26,800.00)
PKUG	SCHEIN HENRY INC	1,400	73,427.80	73,640.00	212.20
PKUG	APPLE INC	600	75,329.76	126,516.00	51,186.24
PKUG	SCHLUMBERGER LTD	1,500	155,145.79	97,635.00	(57,510.79)
PKUG	TJX COS INC NEW	1,900	75,932.87	69,445.00	(6,487.87)
PKUG	FAMILY DLR STORES INC	3,300	85,019.03	91,839.00	6,819.97
PKUG	GOLDCORP INC	1,800	79,166.88	70,812.00	(8,354.88)
PKUG	STANDARD CHARTERED	3,200	73,938.61	81,388.38	7,449.77
PKUG	SEABRIDGE GOLD INC	1,400	39,913.44	33,978.00	(5,935.44)
PKUG	BANCO BRADESCO SA	1,700	37,041.64	37,179.00	137.36
PKUG	CHINA DONGXIANG	55,000	37,635.82	42,558.40	4,922.58
PKUG	TRANSOCEAN INC	185,000	179,681.25	183,150.00	3,468.75
PKUG	DOLLAR TREE INC	2,600	114,564.84	125,580.00	11,015.16
PKUG	SPDR GOLD TR	800	75,039.36	85,848.00	10,808.64
PKUG	OSISKO MNG CORP	7,900	60,504.42	63,522.32	3,017.90
PKUG	PROCTER + GAMBLE CO	55,000	57,514.05	58,562.90	1,048.85
PKUG	WANT WANT CHINA UNSPON ADR	3,100	72,173.58	108,748.00	36,574.42
PKUG	US TREASURY N/B	180,000	180,137.88	180,273.60	135.72
			<u>2,014,277.70</u>	<u>2,029,101.36</u>	<u>14,823.66</u>

Fund	Security Name	Shares/Par Value	Base Total Cost	Base Market Value	Base Unrealized Gain/Loss
PKUN	HUDSON CITY BANCORP INC	4,000	52,599.80	54,920.00	2,320.20
PKUN	ENTERPRISE PRODUCTS PARTNERS	2,000	47,370.00	62,820.00	15,450.00
PKUN	LEGG MASON INC	4,000	112,320.00	120,640.00	8,320.00
PKUN	BANK N S HALIFAX	1,500	65,610.00	70,110.00	4,500.00
PKUN	MCKESSON CORP	5,500	260,928.90	343,750.00	82,821.10
PKUN	EVEREST REINSURANCE GROUP LTD	1,800	146,434.80	154,224.00	7,789.20
PKUN	CAL MAINE FOODS INC	2,300	55,858.78	78,384.00	22,525.22
PKUN	GP STRATEGIES CORP	5,000	38,669.90	37,650.00	(1,019.90)
PKUN	PETSMART INC	3,500	72,287.25	93,415.00	21,127.75
PKUN	HECLA MNG CO	20,000	43,719.70	123,600.00	79,880.30
PKUN	HEALTH CARE REIT INC	2,000	84,255.80	88,640.00	4,384.20
PKUN	BECTON DICKINSON + CO	1,000	71,647.00	78,860.00	7,213.00
PKUN	CVS CAREMARK CORP	3,000	99,720.00	96,630.00	(3,090.00)
PKUN	MICROSOFT CORP	9,000	240,588.10	274,410.00	33,821.90
PKUN	CHUBB CORP	3,500	165,702.95	172,130.00	6,427.05
PKUN	HARRIS CORP DEL	2,000	74,916.00	95,100.00	20,184.00
PKUN	INTERNATIONAL BUSINESS MACHS	3,500	375,345.61	458,150.00	82,804.39
PKUN	ABBOTT LABS	5,000	238,224.96	269,950.00	31,725.04
PKUN	PEPSICO INC	2,000	110,995.00	121,600.00	10,605.00
PKUN	PAYCHEX INC	5,000	139,579.80	153,200.00	13,620.20
PKUN	YAHOO INC	3,000	44,809.70	50,340.00	5,530.30
PKUN	DIAGEO PLC	1,500	90,264.95	104,115.00	13,850.05
PKUN	NOVARTIS AG	2,500	125,760.00	136,075.00	10,315.00
PKUN	UNITEDHEALTH GROUP INC	2,000	51,420.00	60,960.00	9,540.00
PKUN	SEALED AIR CORP NEW	3,000	58,587.15	65,580.00	6,992.85
PKUN	ITT CORP NEW	2,000	91,450.90	99,480.00	8,029.10
PKUN	SYNGENTA AG	1,500	73,325.00	84,405.00	11,080.00
PKUN	ENCANA CORP	2,500	64,359.52	80,975.00	16,615.48
PKUN	CHEVRON CORP	3,000	221,880.00	230,970.00	9,090.00
PKUN	ABB LTD	13,000	223,639.70	248,300.00	24,660.30
PKUN	COMCAST CORP NEW	5,000	78,949.50	84,300.00	5,350.50
PKUN	TRANSCANADA CORP	5,000	135,879.00	171,850.00	35,971.00
PKUN	KINETIC CONCEPTS INC	5,000	141,161.85	188,250.00	47,088.15
PKUN	LINN ENERGY LLCUNITS	4,000	60,406.30	111,520.00	51,113.70
PKUN	VISA INC	2,000	128,935.00	174,920.00	45,985.00
PKUN	TOKIO MARINE HLDGS INC	3,000	96,812.50	81,630.00	(15,182.50)
PKUN	TRANSOCEAN LTD ZUG	1,000	77,808.00	82,800.00	4,992.00

Fund	Security Name	Shares/Par Value	Base Total Cost	Base Market Value	Base Unrealized Gain/Loss
PKUN	VIVENDI SA	4,000	109,814.00	119,000.00	9,186.00
PKUN	CENOVUS ENERGY INC	2,500	60,610.43	63,000.00	2,389.57
			<u>4,432,647.85</u>	<u>5,186,653.00</u>	<u>754,005.15</u>

Fund	Security Name	Shares/Par Value	Base Total Cost	Base Market Value	Base Unrealized Gain/Loss
PKUN	CAL MAINE FOODS INC	(2,300)	(9,521.75)	(21,045.00)	(11,523.25)
PKUN	MCKESSON CORP	(1,000)	(1,939.95)	(4,100.00)	(2,160.05)
PKUN	UNITEDHEALTH GROUP INC	(2,000)	(6,092.34)	(11,850.00)	(5,757.66)
PKUN	INTL BUSINESS MACHINES CORP	(1,000)	(2,514.93)	(3,700.00)	(1,185.07)
PKUN	YAHOO INC	(3,000)	(3,275.91)	(1,740.00)	1,535.91
PKUN	VISA INC CLASS A SHARES	(500)	(1,544.95)	(2,950.00)	(1,405.05)
PKUN	CHEVRON CORP	(3,000)	(7,169.81)	(4,200.00)	2,969.81
PKUN	MCKESSON CORP	(4,000)	(8,284.77)	(12,000.00)	(3,715.23)
PKUN	ITT CORP	(2,000)	(2,649.92)	(100.00)	2,549.92
PKUN	ENCANA CORP	(2,000)	(4,859.87)	(2,100.00)	2,759.87
PKUN	HARRIS CORP	(2,000)	(5,237.86)	(10,500.00)	(5,262.14)
PKUN	INTL BUSINESS MACHINES CORP	(1,000)	(1,809.95)	(2,070.00)	(260.05)
PKUN	CHUBB CORP	(2,000)	(6,079.84)	(4,400.00)	1,679.84
PKUN	NOVARTIS AG ADR	(2,500)	(3,824.89)	(3,875.00)	(50.11)
			(64,806.74)	(84,630.00)	(19,823.26)

Fund	Security Name	Shares/Par Value	Base Total Cost	Base Market Value	Base Unrealized Gain/Loss
PKUX	E11RLTY SECS FD	1,115,441.883	6,060,583.61	3,547,105.19	(2,513,478.42)
			<u>6,060,583.61</u>	<u>3,547,105.19</u>	<u>(2,513,478.42)</u>

Fund	Security Name	Shares/Par Value	Base Total Cost	Base Market Value	Base Unrealized Gain/Loss
PKVP	SOUTHWESTERN ENERGY CO	3,703	159,133.04	178,484.60	19,351.56
PKVP	CELGENE CORP	3,815	201,924.98	212,419.20	10,494.22
PKVP	COGNIZANT TECHNOLOGY SOLUTIONS	6,971	241,545.15	315,786.30	74,241.15
PKVP	COVANCE INC	3,149	164,076.44	171,840.93	7,764.49
PKVP	GILEAD SCIENCES INC	5,571	252,032.04	241,112.88	(10,919.16)
PKVP	APPLE INC	1,121	186,500.77	236,374.06	49,873.29
PKVP	PRAXAIR INC	2,556	207,919.17	205,272.36	(2,646.81)
PKVP	STATE STREET CORPORATION	4,257	219,022.65	185,349.78	(33,672.87)
PKVP	QUALCOMM INC	7,559	342,415.21	349,679.34	7,264.13
PKVP	SCHWAB CHARLES CORP	9,210	163,477.50	173,332.20	9,854.70
PKVP	ALLERGAN INC	4,574	251,798.70	288,207.74	36,409.04
PKVP	NATIONAL OILWELL VARCO INC	5,712	205,529.75	251,842.08	46,312.33
PKVP	GENZYME CORP	1,876	103,591.78	91,942.76	(11,649.02)
PKVP	AMERICAN TOWER CORP	7,682	240,292.96	331,939.22	91,646.26
PKVP	RESEARCH IN MOTION LTD	6,098	434,423.43	411,858.92	(22,564.51)
PKVP	QUANTA SERVICES INC	10,753	233,340.10	224,092.52	(9,247.58)
PKVP	MONSANTO CO NEW	3,256	266,503.60	266,178.00	(325.60)
PKVP	PRICELINE COM INC	734	151,376.29	160,379.00	9,002.71
PKVP	FIRST SOLAR INC	1,658	197,146.03	224,493.20	27,347.17
PKVP	VESTAS WIND SYS A S	11,722	280,507.46	237,956.60	(42,550.86)
PKVP	CME GROUP INC	962	272,366.06	323,183.90	50,817.84
PKVP	VISA INC	1,743	123,787.86	152,442.78	28,654.92
			<u>4,898,710.97</u>	<u>5,234,168.37</u>	<u>335,457.40</u>

Fund	Security Name	Shares/Par Value	Base Total Cost	Base Market Value	Base Unrealized Gain/Loss
PKVQ	HUDSON CITY BANCORP INC	9,150	117,531.75	125,629.50	8,097.75
PKVQ	DENBURY RES INC	5,260	77,924.27	77,848.00	(76.27)
PKVQ	CAPITOL FED FINL	3,180	100,432.99	100,042.80	(390.19)
PKVQ	PLUM CREEK TIMBER CO	3,960	115,087.50	149,529.60	34,442.10
PKVQ	LEUCADIA NATL CORP	5,630	134,859.33	133,937.70	(921.63)
PKVQ	MENS WEARHOUSE INC	4,210	105,875.74	88,662.60	(17,213.14)
PKVQ	WHITE MOUNTAINS INS GROUP LTD	460	142,857.35	153,023.60	10,166.25
PKVQ	FIFTH THIRD BANCORP	5,750	59,971.13	56,062.50	(3,908.63)
PKVQ	SOTHEBYS	5,040	76,535.93	113,299.20	36,763.27
PKVQ	ONEOK INC NEW	3,540	118,308.57	157,777.80	39,469.23
PKVQ	AMERON INTL CORP	990	79,991.90	62,825.40	(17,166.50)
PKVQ	CASEYS GEN STORES INC	2,880	80,786.30	91,929.60	11,143.30
PKVQ	COMMERCIAL METALS CO	4,730	78,465.02	74,024.50	(4,440.52)
PKVQ	TRINITY INDS INC	6,330	98,468.21	110,395.20	11,926.99
PKVQ	AVNET INC	4,500	116,659.80	135,720.00	19,060.20
PKVQ	BERKLEY W R CORP	1,570	40,333.14	38,684.80	(1,648.34)
PKVQ	OVERSEAS SHIPHOLDING GROUP INC	3,390	118,539.15	148,990.50	30,451.35
PKVQ	UMB FINL CORP	3,000	119,283.90	118,050.00	(1,233.90)
PKVQ	AMERCO	880	39,704.46	43,753.60	4,049.14
PKVQ	ENCORE WIRE CORP	4,230	98,912.63	89,126.10	(9,786.53)
PKVQ	GRANITE CONSTR INC	1,460	46,667.44	49,143.60	2,476.16
PKVQ	JEFFRIES GROUP INC NEW	3,890	88,814.14	92,309.70	3,495.56
PKVQ	SCHNITZER STL INDS INC	1,850	98,970.19	88,245.00	(10,725.19)
PKVQ	VAIL RESORTS INC	3,620	113,139.84	136,836.00	23,696.16
PKVQ	CABOT OIL + GAS CORP	3,350	119,218.38	146,026.50	26,808.12
PKVQ	AMERICREDIT CORP	6,450	105,133.73	122,808.00	17,674.27
PKVQ	CENTURYTEL INC	3,720	118,451.50	134,701.20	16,249.70
PKVQ	TELLABS INC	11,870	83,070.62	67,421.60	(15,649.02)
PKVQ	ALLEGHENY TECHNOLOGIES INC	3,290	96,802.66	147,293.30	50,490.64
PKVQ	MOLEX INC	3,570	58,767.91	68,294.10	9,526.19
PKVQ	SL GREEN REALTY CORP	2,270	75,390.33	114,044.80	38,654.47
PKVQ	RAYMOND JAMES FINANCIAL INC	3,520	75,757.44	83,670.40	7,912.96
PKVQ	ENCORE AQUISION CO	1,420	52,594.67	68,188.40	15,593.73
PKVQ	FOOT LOCKER INC	11,260	120,051.87	125,436.40	5,384.53
PKVQ	REGIONS FINL CORP NEW	11,050	72,636.94	58,454.50	(14,182.44)
PKVQ	ADC TELECOMMUNICATIONS INC	9,400	78,824.64	58,374.00	(20,450.64)
PKVQ	INVESTORS BANCORP INC	8,660	80,384.72	94,740.40	14,355.68

Fund	Security Name	Shares/Par Value	Base Total Cost	Base Market Value	Base Unrealized Gain/Loss
PKVQ	CNX GAS CORP	3,540	98,317.48	104,500.80	6,183.32
PKVQ	KAISER ALUM CORP	1,860	61,157.36	77,413.20	16,255.84
PKVQ	WINN DIXIE STORES INC	5,810	78,789.99	58,332.40	(20,457.59)
PKVQ	TFS FINL CORP	5,260	59,244.43	63,856.40	4,611.97
PKVQ	BRINKS HOME SEC HLDGS INC	2,560	78,991.10	83,558.40	4,567.30
PKVQ	ASSISTED LIVING CONCEPTS INC	1,710	43,876.38	45,092.70	1,216.32
			<u>3,825,582.83</u>	<u>4,158,054.80</u>	<u>332,471.97</u>

Part XV - line 3a - Grants and Contributions Paid During the Year

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
Bar-Ilan University 235 Park Avenue South New York, NY 10003	N/A	Public	General support	50,000
Bennington College One College Drive Bennington, VT 05201-6001	N/A	Public	General support	50,000
Am Fr of the Rambam Medical Center 521 Fifth Avenue New York, NY 10175	N/A	Public	General support	50,000
Beth Israel Medical Center First Avenue at 16th Street New York, NY 10003	N/A	Public	General support	280,000
Brandeis University Mailstop 100-POB 549110 Waltham, MA 02454-9110	N/A	Public	General support	380,000
Clark University 950 Main Street Worcester, MA 01610-1477	N/A	Public	General support	445,000
Danbury Police Department 375 Main Street Danbury, CT 06810	N/A	Public	General support	2,000
Danbury Regional Child Advocacy Center 268 Main Street Danbury, CT 06810	N/A	Public	General support	30,000
Doctors Without Borders 333 7th Avenue, 2nd Floor New York, NY 10001-5004	N/A	Public	General support	20,000
Educational Alliance, Inc. 197 East Broadway New York, NY 10002	N/A	Public	General support	20,000
Elaine Kaufman Cultural Center 129 E. 67th Street New York, NY 10023	N/A	Public	General support	25,000
Friends of Karen, Inc. 118 Titicus Road, P.O. Box 190 Purdys, NY 10578-0190	N/A	Public	General support	45,000

Part XV - line 3a - Grants and Contributions Paid During the Year

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
Georgian Foundation 40 Uverdale Road London SW10 OSR, England	N/A	Public	General support	20,000
Green Chimneys 400 Doansburg Rd., Box 719 Brewster, NY 10509	N/A	Public	General support	101,000
Hadassah 50 West 58th Street New York, NY 10019	N/A	Public	General support	225,000
Hebrew University, Inc. 1 Battery Park Plaza, 25th Fl. New York, NY 10004-1405	N/A	Public	General support	375,000
Israel Guide Dog Center 701 Easton Road Warrington, PA 18976	N/A	Public	General support	5,000
Israel Museum 500 Fifth Avenue, Suite 2450 New York, NY 10110	N/A	Public	General support	240,000
Jacob's Pillow P.O. Box 287 Lee, MA 01238	N/A	Public	General support	100,000
Jewish Home & Hospital for Aged 120 West 106th Street NY, NY 10025-3797	N/A	Public	General support	168,581
The Jewish Museum 1109 Fifth Avenue New York, NY 10022	N/A	Public	General support	120,000
Kannerduerf Village d'Enfants Rue Hermann Gmeiner 10 L-7534 Mersch, Luxembourg	N/A	Public	General support	15,000
Lincoln Center Corporate Fund 70 Lincoln Cntr Plaza, 9th Fl. NY, NY 10023	N/A	Public	General support	10,000
Mapendo International, Inc. 689 Massachusetts Avenue - 2nd Floor Cambridge, MA 02139	N/A	Public	General support	50,000

Part XV - line 3a - Grants and Contributions Paid During the Year

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
Montclair Art Museum 3 South Mountain Avenue Montclair, NJ 07042-1747	N/A	Public	General support	80,000
New Jersey Institute of Technology University Heights Newark, NJ 07120-1982	N/A	Public	General support	345,000
Northside Center for Child Development, Inc. 1301 Fifth Avenue New York, NY 10029	N/A	Public	General support	20,000
Philanthropy Roundtable 1150 17th Street, NW, Ste. 503 Washington, DC 20078-9441	N/A	Public	General support	500
Ridgefield Police Department 76 East Ridge Ridgefield, CT 06877	N/A	Public	General support	4,000
The Rogosin Institute 505 East 70th Street New York, NY 10021	N/A	Public	General support	75,000
The Rogosin Institute 505 East 70th Street New York, NY 10021	N/A	Public	Research *	3,000,000
Sacred Heart University 5151 Park Avenue Fairfield, CT 06432	N/A	Public	General support	400,000
Thirteen WNET 450 West 33rd Street, 6th Floor New York, NY 10001	N/A	Public	General support	25,000
Tufts University Medford, MA 02111	N/A	Public	General support	50,000
Weizmann Institute 633 Third Avenue New York, NY 10017	N/A	Public	General support	355,000

* In past years the Foundation transferred \$3,000,000 to The Rogosin Institute in connection with interests in a medical research project undertaken by Rogosin. Whatever the outcome of the project, the funds would be retained by Rogosin. In 2009 the medical research project was terminated after testing, and is not expected to be revived. Accordingly, the Foundation classifies the amount advanced to Rogosin to be an unrestricted charitable contribution.

Part XV - line 3a - Grants and Contributions Paid During the Year

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
Women's Center of Greater Danbury 2 West Street Danbury, CT 06810	N/A	Public	General support	30,000
Young Audiencies, Inc. 115 East 92nd Street NY, NY 10128	N/A	Public	General support	50,000
Total				7,261,081

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box X
 - If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form)
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Type or print File by the due date for filing your return See instructions	Name of Exempt Organization	THE RIDGEFIELD FOUNDATION	Employer identification number	13-6093563
	Number, street, and room or suite no. If a P.O. box, see instructions.	570 LEXINGTON AVENUE, 33RD FLOOR		
	City, town or post office, state, and ZIP code For a foreign address, see instructions.	NEW YORK, NY 10022		

Check type of return to be filed (file a separate application for each return).

- | | | |
|-------------------------------------------------|-------------------------------------------------------------------|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

• The books are in the care of ▶ ARTHUR S. HOFFMAN

Telephone No ▶ 212 750-9330 FAX No. ▶ _____

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ . If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2010 to file the exempt organization return for the organization named above. The extension is for the organization's return for

- ▶ calendar year 2009 or
- ▶ tax year beginning _____, and ending _____

2 If this tax year is for less than 12 months, check reason Initial return Final return Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	3a	\$ 15,000.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 15,000.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FID coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.