



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

THE RICHARD FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

181 OLEANDER WAY

City or town, state, and ZIP code

VERO BEACH, FL 32963

A Employer identification number

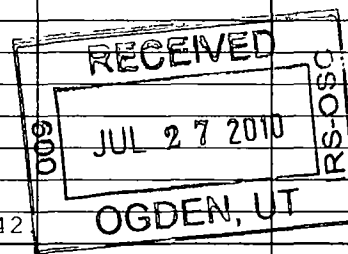
13-6083721

B Telephone number (see page 10 of the instructions)

(772) 231-3471

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 4,447,376.
J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (attach schedule) if the foundation is not required to attach Sch. B.				
2 Check <input type="checkbox"/>				
3 Interest on savings and temporary cash investments	11,850.	11,850.		ATCH 1
4 Dividends and interest from securities	171,037.	170,992.		ATCH 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-132,133.			
b Gross sales price for all assets on line 6a 228,371.				
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	50,754.	182,842.		
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) ATCH 3	3,200.	2,400.	0.	800.
b Accounting fees (attach schedule) ATCH 4	8,850.	6,638.	0.	2,213.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) *	4,884.	479.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 6	250.	0.		250.
24 Total operating and administrative expenses. Add lines 13 through 23	17,184.	9,517.	0.	3,263.
25 Contributions, gifts, grants paid	204,094.			204,094.
26 Total expenses and disbursements Add lines 24 and 25	221,278.	9,517.	0.	207,357.
27 Subtract line 26 from line 12	-170,524.			
a Excess of revenue over expenses and disbursements		173,325.		
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)			-0-	



Operating and Administrative Expenses

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA ** ATCH 5

Form 990-PF (2009)

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets	1	Cash - non-interest-bearing	20,976.	9,462.	9,462.
	2	Savings and temporary cash investments	17,673.	20,015.	20,015.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) <u>ATCH 7</u>	4,000,971.	3,809,310.	4,413,325.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶ <u>ATCH 8</u>)	8,529.	4,574.	4,574.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	4,048,149.	3,843,361.	4,447,376.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	3,948,888.	3,948,888.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	99,261.	-105,527.	
	30	Total net assets or fund balances (see page 17 of the instructions)	4,048,149.	3,843,361.	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	4,048,149.	3,843,361.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,048,149.
2	Enter amount from Part I, line 27a	2	-170,524.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,877,625.
5	Decreases not included in line 2 (itemize) ▶ <u>ATTACHMENT 9</u>	5	34,264.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,843,361.

Form 990-PF (2009)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	-132,133.	
<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> </div>					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			3		
<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8. </div> </div>					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	248,630.	4,545,963.	0.054692
2007	257,457.	5,191,046.	0.049596
2006	186,078.	4,886,092.	0.038083
2005	198,433.	4,583,517.	0.043293
2004	199,726.	4,176,220.	0.047825
2 Total of line 1, column (d)			2 0.233489
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.046698
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 3,905,095.
5 Multiply line 4 by line 3			5 182,360.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,733.
7 Add lines 5 and 6			7 184,093.
8 Enter qualifying distributions from Part XII, line 4			8 207,357.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	1,733.
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	1,733.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,733.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	3,200.	
b Exempt foreign organizations-tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,200.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,467.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input checked="" type="checkbox"/> 1,467. Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NY,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)			X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		X	
Website address <input type="text"/> N/A				
14	The books are in care of <input type="text"/> MR. HAROLD V. RICHARD	Telephone no <input type="text"/>	772-231-3471	
	Located at <input type="text"/> 181 OLEANDER WAY, VERO BEACH, FL	ZIP + 4 <input type="text"/>	32963	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	<input type="checkbox"/>	15	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d) *N/A*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions).
If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Form 990-PF (2009)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services **NONE****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,932,766.
b	Average of monthly cash balances	1b	31,797.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	3,964,563.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,964,563.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	59,468.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,905,095.
6	Minimum investment return. Enter 5% of line 5	6	195,255.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	195,255.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,733.
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,733.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	193,522.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	193,522.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	193,522.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	207,357.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	207,357.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	1,733.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	205,624.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				193,522.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only				
b Total for prior years 20 07, 20 06, 20 05				
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007 3,225.				
e From 2008 27,732.				
f Total of lines 3a through e	30,957.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 207,357.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				193,522.
e Remaining amount distributed out of corpus	13,835.			
5 Excess distributions carryover applied to 2009 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	44,792.			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008. Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	44,792.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007 3,225.				
d Excess from 2008 27,732.				
e Excess from 2009 13,835.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include:

NO SPECIFIED FORM

c Any submission deadlines.

NO DEADLINES

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO RESTRICTIONS

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year ATTACHMENT 11				
Total.			▶ 3a	204,094.
b Approved for future payment				
Total.			▶ 3b	

Form 990-PF (2009)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

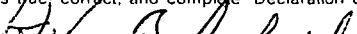
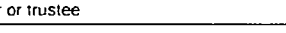
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash			X
(2) Other assets			X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization			X
(2) Purchases of assets from a noncharitable exempt organization			X
(3) Rental of facilities, equipment, or other assets			Y
(4) Reimbursement arrangements			X
(5) Loans or loan guarantees			X
(6) Performance of services or membership or fundraising solicitations			X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees			X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b. If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

X Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge				
	 Signature of officer or trustee		Date 6/2/10	Title	
Paid Preparer's Use Only	Preparer's signature 	Date 6/1/10	Check if self-employed <input type="checkbox"/>	Preparer's identifying number (See Signature on page 30 of the instructions) P00293681	
	Firm's name (or yours if self-employed), address, and ZIP code GRANT THORNTON LLP 60 BROAD STREET NEW YORK, NY 10004-2306			EIN ▶ 36-6055558 Phone no 212-422-1000	

Form **2220**Department of the Treasury
Internal Revenue Service**Underpayment of Estimated Tax by Corporations**

▶ See separate instructions.

▶ Attach to the corporation's tax return.

OMB No 1545-0142

2009

Name

THE RICHARD FOUNDATION

Employer identification number

13-6083721

Note: Generally, the corporation is not required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38 on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

Part I Required Annual Payment

1	Total tax (see instructions)	1	1,733.
2a	Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1	2a	
b	Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method	2b	
c	Credit for federal tax paid on fuels (see instructions)	2c	
d	Total. Add lines 2a through 2c	2d	
3	Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation does not owe the penalty.	3	1,733.
4	Enter the tax shown on the corporation's 2008 income tax return (see instructions). Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5.	4	3,200.
5	Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3.	5	1,733.

Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation must file Form 2220 even if it does not owe a penalty (see instructions).

- 6** ☐ The corporation is using the adjusted seasonal installment method
- 7** ☐ The corporation is using the annualized income installment method
- 8** ☐ The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

Part III Figuring the Underpayment

	(a)	(b)	(c)	(d)
9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year	05/15/2009	06/15/2009	09/15/2009	12/15/2009
10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Schedule A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% of line 5 above in each column.	433.	433.	433.	434.
11 Estimated tax paid or credited for each period (see instructions). For column (a) only, enter the amount from line 11 on line 15.	1,795.		605.	800.
12 Enter amount, if any, from line 18 of the preceding column.		1,362.	929.	1,101.
13 Add lines 11 and 12.		1,362.	1,534.	1,901.
14 Add amounts on lines 16 and 17 of the preceding column.				
15 Subtract line 14 from line 13. If zero or less, enter -0-	1,795.	1,362.	1,534.	1,901.
16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0-				
17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18.				
18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column.	1,362.	929.	1,101.	

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

For Paperwork Reduction Act Notice, see separate instructions.

Form **2220** (2009)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or O	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					1,280.	
129,594.		4700 SHS - BB&T CORP 188,145.					09/06/2007 -58,551.	08/20/2009
80,661.		4774 SHS - BANK OF AMERICA 129,708.					06/09/2003 -49,047.	08/20/2009
16,836.		1000 SHS - HOSPITALITY PPTYS TR 42,651.					12/18/2006 -25,815.	08/20/2009
TOTAL GAIN(LOSS)					<u>-132,133.</u>	

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
JANNEY MONTGOMERY SCOTT - INTEREST	11,850.	11,850.
TOTAL	<u>11,850.</u>	<u>11,850.</u>

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
JANNEY MONTGOMERY SCOTT - DIVIDENDS	170,992.	170,992.
JANNEY MONTGOMERY SCOTT - TAX EXEMPT	45.	0.
TOTAL	<u>171,037.</u>	<u>170,992.</u>

ATTACHMENT 3FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	3,200.	2,400.		800.
TOTALS	<u>3,200.</u>	<u>2,400.</u>	<u>0.</u>	<u>800.</u>

ATTACHMENT 4FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEE	8,850.	6,638.		2,213.
TOTALS	<u>8,850.</u>	<u>6,638.</u>	<u>0.</u>	<u>2,213.</u>

ATTACHMENT 5FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FEDERAL EXCISE TAXES	4,405.	0.
FOREIGN TAXES	479.	479.
TOTALS	<u>4,884.</u>	<u>479.</u>

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
NYS FILING FEE	250.	0.	250.
TOTALS	250.	0.	250.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 7DESCRIPTIONENDING
BOOK VALUEENDING
FMV

JANNEY MONTGOMERY - SEE STMT

3,809,310.

4,413,325.

TOTALS

3,809,310.4,413,325.



Janney Montgomery Scott LLC
Member NYSE • FINRA • SIPC

Client Account Summary

December 1 - December 31, 2009

THE RICHARD FOUNDATION

ACCOUNT NUMBER: 6962-1760

PORTFOLIO DETAILS

SUMMARY OF PORTFOLIO

TOTAL EQUITIES - STOCKS & OPTIONS	\$ 2,801,258
TOTAL EQUITIES - MUTUAL FUNDS, ETFs, UITs	888,425
TOTAL FIXED INCOME - BONDS & PREFERRED	154,719
TOTAL FIXED INCOME - MUTUAL FUNDS, ETFs & UITs	504,105
TOTAL OTHER INVESTMENTS	64,818
TOTAL ACCOUNT VALUE	<u>\$ 4,413,325</u>

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ALTRIA GROUP INC	MO	1,500	12/14/01	16,116.09	10.7440	19.6300	29,445.00	13,328.91	LT		2,040.00	6.92%	
		1,000	6/9/03	10,195.99	10.1959	19.6300	19,630.00	9,434.01	LT		1,360.00	6.92%	
		500	6/9/03	5,098.59	10.1971	19.6300	9,815.00	4,716.41	LT		680.00	6.92%	
		3,000		31,410.67			58,890.00	27,479.33			4,080.00	6.92%	1.3%
ARTESIAN RES CORP CLA	ARTNA	331,500	9/8/99	3,707.68	11.1845	18.3100	6,069.77	2,362.08	LT		248.36	4.09%	
		675	9/13/99	7,585.48	11.2377	18.3100	12,359.24	4,773.77	LT		505.70	4.09%	
		675	9/20/99	7,585.48	11.2377	18.3100	12,359.25	4,773.77	LT		505.71	4.09%	
		3,600	9/21/99	40,112.43	11.1423	18.3100	65,916.00	25,803.57	LT		2,697.12	4.09%	
		6,749,500	10/15/99	72,368.08	10.7219	18.3100	123,583.35	51,215.26	LT		5,056.73	4.09%	
		12,031		131,369.15			220,287.61	88,928.45			9,013.62	4.09%	5.0%
AT&T INC	T	2,890	8/20/09	74,677.40	25.8399	28.0300	81,006.70	6,329.30	ST		4,855.20	5.99%	1.8%
CALIFORNIA WTR SVC GRP	CWVT	6,060	9/14/05	248,260.12	40.9670	36.8200	223,129.20	(25,130.92)	LT		7,150.80	3.20%	5.0%
CAMPBELL SOUP COMPANY	CPB	5,300	9/30/05	159,109.14	30.0205	33.8000	179,140.00	20,030.86	LT		5,830.00	3.25%	

Client Account Summary

December 1 - December 31, 2009

ACCOUNT NUMBER: 6962-1760

THE RICHARD FOUNDATION

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss) Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		2,400	9/30/05	71,884.89	29.9520	33.8000	81,120.00	9,235.11 LT		2,640.00	3.25%	
		300	9/30/05	9,003.15	30.0105	33.8000	10,140.00	1,136.85 LT		330.00	3.25%	
		8,000		239,997.18			270,400.00	30,402.82		8,800.00	3.25%	6.1%
CONSOLIDATED EDISON INC	ED	5,000	11/11/04	227,437.59	45.4875	45.4300	227,150.00	(287.59) LT		11,800.00	5.19%	5.1%
DOMINION RESOURCES INC	D	2,900	8/20/09	98,068.01	33.8165	38.9200	112,868.00	14,799.99 ST		5,075.00	4.49%	
VA NEW		1,600	8/20/09	54,118.48	33.8240	38.9200	62,272.00	8,153.52 ST		2,800.00	4.49%	
		4,500		152,186.49			175,140.00	22,953.51		7,876.00	4.49%	4.0%
JPMORGAN CHASE & COMPANY	JPM	3,000	6/9/03	102,019.74	34.0065	41.6700	125,010.00	22,990.26 LT		600.00	0.47%	
		900	6/9/03	30,586.26	33.9847	41.6700	37,503.00	6,916.74 LT		180.00	0.47%	
		2,850	10/3/05	98,054.62	34.4051	41.6700	118,759.50	20,704.88 LT		570.00	0.47%	
		6,750		230,660.62			281,272.50	50,611.88		1,350.00	0.47%	6.4%
KRAFT FOODS CLASS A	KFT	2,076	3/30/07	65,269.44	31.4400	27.1800	56,425.68	(8,843.76) LT		2,408.16	4.26%	1.3%
NEW JERSEY RES CORP	NJR	5,619	8/20/99	100,239.58	17.8393	37.4000	210,150.60	109,911.02 LT		7,641.84	3.63%	
		2,250	6/9/03	54,706.99	24.3142	37.4000	84,150.00	29,443.01 LT		3,060.00	3.63%	
		7,869		154,946.57			294,300.60	139,354.03		10,701.84	3.63%	6.6%
NORTHWEST NATURAL GAS COMPANY	NWN	6,640	9/14/05	248,607.38	37.4408	45.0400	299,065.60	50,458.22 LT		11,022.40	3.68%	6.8%
PHILIP MORRIS INTERNATIONAL INC	PM	1,500	12/14/01	36,723.54	24.4823	48.1900	72,285.00	35,561.46 LT		3,480.00	4.81%	
		1,000	6/9/03	23,233.46	23.2334	48.1900	48,190.00	24,956.54 LT		2,320.00	4.81%	
		500	6/9/03	11,618.08	23.2361	48.1900	24,095.00	12,476.92 LT		1,160.00	4.81%	
		3,000		71,575.08			144,570.00	72,994.92		6,960.00	4.81%	3.3%
PIEDMONT NATURAL GAS COMPANY INC	PNY	9,750	9/14/05	248,701.47	25.5078	26.7500	260,812.50	12,111.03 LT		10,530.00	4.03%	5.9%
UGI CORP NEW	UGI	8,632	12/8/00	67,693.00	7.8420	24.1900	208,808.08	141,115.08 LT		6,905.60	3.30%	4.7%



Janney Montgomery Scott LLC
Member NYSE • FINRA • SIPC

Client Account Summary

December 1 - December 31, 2009

THE RICHARD FOUNDATION

ACCOUNT NUMBER: 6962-1760

PORTFOLIO DETAILS

EQUITIES - STOCKS & OPTIONS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
TOTAL EQUITIES - STOCKS & OPTIONS				2,192,782.16			2,801,258.47	508,476.30			103,452.62	3.69%	63.2%

EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
COHEN & STEERS QUALITY INCOME REALTY FUND INC	RQI	11,723	6/9/03	181,172.78	15.4544	6.0700	71,158.61	(110,014.17)	LT		4,337.51	6.09%	1.6%
DNP SELECT INCOME FUND INC	DNP	3,700 6,400 11,200	10/29/99 11/19/99 6/9/03	35,642.67 61,248.29 123,111.73	9.6331 9.5700 10.9921	8.9500 8.9500 8.9500	33,115.00 57,280.00 100,240.00	(2,527.67) (3,968.29) (22,871.73)	LT LT LT		2,886.00 4,992.00 8,736.00	8.71% 8.71% 8.71%	
		21,300		220,002.69			190,635.00	(29,367.69)			16,614.00	8.71%	4.3%
ISHARES DOW JONES SELECT DIVIDEND INDEX FUND	DVY	3,800	9/30/05	239,227.57	62.9546	43.9100	166,858.00	(72,369.57)	LT		6,310.66	3.78%	3.8%
ISHARES INC MSCI PACIFIC EXEMPT JAPAN INDEX FUND	EPP	5,775	12/23/05	190,785.01	33.0363	41.3700	238,911.75	48,126.74	LT		8,249.01	3.45%	5.4%
KAYNE ANDERSON ENERGY TOTAL RETURN FUND	KYE	4,900	9/30/05	118,460.90	24.8519	23.1000	113,190.00	(5,270.90)	LT		9,408.00	8.31%	2.6%
KAYNE ANDERSON MLP INVESTMENT COMPANY	KYN	4,300	9/30/05	122,082.42	28.3912	25.0400	107,672.00	(14,410.42)	LT		8,256.00	7.66%	2.4%
TOTAL EQUITIES - MUTUAL FUNDS, ETFs, UITs				1,071,731.37			888,425.36	(183,306.01)			53,175.18	5.98%	20.0%

10040 6760 06 04 0 01 2C1050916574 JMS, 100101001-003, 001, MAFPS IN3-R2N PS, 2, 04

Client Account Summary

December 1 - December 31, 2009

ACCOUNT NUMBER: 6962-1760

THE RICHARD FOUNDATION

PORTFOLIO DETAILS

FIXED INCOME - BONDS & PREFERRED

CORPORATE BONDS

Description	Symbol/ CUSIP	Purchase Date	Quantity	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
JPMORGAN CHASE & COMPANY DEP SHS REPSTG 1/10 PFD SER I B/E PERPETUAL CPN 7.900% DTD 04/23/08 FC 10/30/08 CALL 04/30/18 @ 100.000 MOODY'S: BAA1/S&P: BBB+	46625HHA1	5/6/08	150,000	154,125.00	102.7500	103.1460	154,719.00	594.00	LT		11,850.00	7.65%	3.5%
TOTAL CORPORATE BONDS			150,000	154,125.00			154,719.00	594.00			11,850.00	7.65%	3.5%
TOTAL BONDS			150,000	154,125.00			154,719.00	594.00			11,850.00	7.65%	3.5%
TOTAL FIXED INCOME - BONDS & PREFERRED				154,125.00			154,719.00	594.00			11,850.00	7.65%	3.5%

FIXED INCOME - MUTUAL FUNDS, ETFs & UITs

Description	Symbol/ CUSIP	Purchase Date	Quantity	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ALLIANCEBERNSTEIN INCOME FUND INC	ACG	8/20/99	22,605.288	181,643.49	8.0354	8.2500	186,493.63	4,850.13	LT		13,563.17	7.27%	
		8/23/99	6,841.712	55,177.97	8.0649	8.2500	56,444.12	1,266.15	LT		4,105.03	7.27%	
			29,447	236,821.46			242,937.75	6,116.28			17,668.20	7.27%	5.5%
TEMPLETON EMERGING MARKETS INCOME FUND	TEI	8/26/99	1,724	17,124.40	9.9329	14.4100	24,842.84	7,718.44	LT		1,810.20	7.28%	
		8/27/99	15,000	148,886.08	9.9257	14.4100	216,150.00	67,263.92	LT		15,750.00	7.28%	
		8/30/99	1,400	14,029.77	10.0212	14.4100	20,174.00	6,144.23	LT		1,470.00	7.28%	
			18,124	180,040.25			261,166.84	81,128.59			19,030.20	7.28%	5.9%
TOTAL FIXED INCOME - MUTUAL FUNDS, ETFs & UITs				416,861.71			504,104.59	87,242.87			36,698.40	7.27%	11.4%

OTHER INVESTMENTS

Other Mutual Funds, ETFs & UITs

Description	Symbol/ CUSIP	Purchase Date	Quantity	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
CALAMOS STRATEGIC TOTAL RETURN FD	CSQ	3/12/07	5,500	82,514.86	15.0027	8.7592	48,175.60	(34,339.26)	LT		3,465.00	7.19%	
		3/12/07	1,900	28,509.94	15.0052	8.7592	16,642.48	(11,867.46)	LT		1,197.00	7.19%	



Janney Montgomery Scott LLC
Member NYSE • FINRA • SIPC

Client Account Summary

December 1 - December 31, 2009

THE RICHARD FOUNDATION

ACCOUNT NUMBER: 6962-1760

PORTFOLIO DETAILS

OTHER INVESTMENTS

Other Mutual Funds, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Tax Gain/(Loss) Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
		7,400		111,024.80			64,818.08	(46,206.72)		4,662.00	7.19%	1.5%
TOTAL OTHER MUTUAL FUNDS, ETFs & UITs												
				111,024.80			64,818.08	(46,206.72)		4,662.00	7.19%	1.5%
TOTAL OTHER INVESTMENTS												
				111,024.80			64,818.08	(46,206.72)		4,662.00	7.19%	1.5%

FORM 990PF, PART II - OTHER ASSETSATTACHMENT 8DESCRIPTION

	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
--	------------------------------	-----------------------

DIVIDENDS RECEIVABLE

	4,574.	4,574.
--	--------	--------

TOTALS

	<u>4,574.</u>	<u>4,574.</u>
--	---------------	---------------

ATTACHMENT 9FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

PRIOR PERIOD ADJUSTMENT TO COST BASIS

34,264.

TOTAL

34,264.

THE RICHARD FOUNDATION

13-6083721

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 10

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
VERA R. WOOD 181 OLEANDER WAY VERO BEACH, FL 32963	PRESIDENT 0.00	0.	0.	0.
HAROLD V.B. RICHARD 181 OLEANDER WAY VERO BEACH, FL 32963	VP / TREASURER 2.00	0.	0.	0.
TRUMBULL RICHARD 181 OLEANDER WAY VERO BEACH, FL 32963	VICE PRESIDENT 0.00	0.	0.	0.
PHYLLIS FRITTS 181 OLEANDER WAY VERO BEACH, FL 32963	VICE PRESIDENT 0.00	0.	0.	0.
JAMES A. FRITTS 181 OLEANDER WAY VERO BEACH, FL 32963	VICE PRESIDENT 0.00	0.	0.	0.
ROY STEPHENS 181 OLEANDER WAY VERO BEACH, FL 32963	VICE PRESIDENT 0.00	0.	0.	0.

ATTACHMENT 10

PAGE 28

6475235-10033

6:52:01 AM V 09-6.3

G6B05C 700H 6/2/2010

THE RICHARD FOUNDATION

13-6083721

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JEREMY WOOD 181 OLEANDER WAY VERO BEACH, FL 32963	VP/ASST TREAS 0.00	0.	0.	0.
CECILY RICHARD 181 OLEANDER WAY VERO BEACH, FL 32963	VICE PRESIDENT 0.00	0.	0.	0.
VIRGINIA RICHARD 181 OLEANDER WAY VERO BEACH, FL 32963	VICE PRESIDENT 0.00	0.	0.	0.
GRAND TOTALS		0.	0.	0.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SEE SCHEDULE ATTACHED	NONE EXEMPT ORGANIZATIONS		204,094.
TOTAL CONTRIBUTIONS PAID			204,094.

ATTACHMENT 11

The Richard Foundation
Charitable Contributions
For the 2009 Tax Year

01/01/2009	1797	Amvets Dept. of Florida	100.00
01/08/2009	1804	Vero Beach Museum of Art	150 00
01/24/2009	1807	Kids Wish Network	35.00
01/27/2009	1808	John A. Blackforum Scholarship	300.00
01/27/2009	1810	March of Dimes	250.00
01/27/2009	1811	UNICEF	500.00
01/27/2009	1814	MSF Doctors Without Borders	500.00
01/27/2009	1815	Community Medical Clinic of Kershaw Count	1,000.00
01/27/2009	1816	The Smith Fund	2,000.00
01/27/2009	1818	Beta Theta Pi Foundation	400 00
01/27/2009	1819	Loomis Chaffee School	250.00
01/27/2009	1821	Senior Resource Association	100.00
01/27/2009	1822	University of Virginia	4,500.00
01/27/2009	1823	Mckee Botanical Garden	800.00
01/27/2009	1809	Feeding America	500.00
01/27/2009	1812	The Smile Train	500 00
01/27/2009	1813	Shriners Hospital for Children	500 00
01/27/2009	1817	Chestnut Hill Academy	1,000.00
01/27/2009	1820	Dollars for Scholars of IRC	250 00
02/04/2009	1824	St. George Church	1,000.00
02/13/2009	1825	American Breast Cancer Foundation	35.00
02/13/2009	1826	Virginia Athletics Foundation	500 00
02/16/2009	1827	Viet Now	35 00
02/25/2009	1828	Firefighters Charitable Foundation	35.00
03/03/2009	1829	Agamenticus Yacht Club	100.00
03/04/2009	1830	Mercer Island Boys & Girls Club	1,500.00
03/06/2009	1831	IRMC Foundation	1,000.00
03/09/2009	1832	St. George Church	2,500.00
03/12/2009	1833	Atlantic Classical Orchestra	500.00
03/12/2009	1834	York Land Trust	5,000.00
03/12/2009	1835	WPBT	250.00
03/12/2009	1836	Fresh Air Fund	250.00
03/12/2009	1837	The Nature Conservancy, Florida	2,500 00
03/12/2009	1838	The Browning School	500 00
03/12/2009	1839	The Salvation Army	500 00
03/12/2009	1840	St. Paul's School	1,000.00
03/12/2009	1841	Schepens Eye Research Institute	500 00

03/12/2009 1842	Youth Service League	1,000.00
03/12/2009 1843	Cumberland College	250.00
03/12/2009 1844	Indian River Habitat for Humanity	500.00
03/12/2009 1845	IRC Volunteer Ambulance Squad	250.00
03/12/2009 1846	WACS / 98.9 FM	500.00
03/12/2009 1847	WXEL TV 42	250.00
03/12/2009 1848	Destroyer Escort Historical Museum	500.00
03/12/2009 1849	Indian River Land Trust	500.00
03/12/2009 1850	Recording for the Blind & Dyslexic	350.00
03/12/2009 1851	Gifford Youth Activity Center	500.00
03/12/2009 1852	Guiding Eyes for the Blind	500.00
03/12/2009 1853	The N.Y. Institute for Special Education	250.00
03/12/2009 1854	The Princeton Charter Foundation	250.00
03/12/2009 1855	York Volunteer Ambulance	250.00
03/12/2009 1856	The Macula Foundation	500.00
03/12/2009 1857	American Red Cross	500.00
03/12/2009 1858	Salisbury School	500.00
03/12/2009 1859	VNA & Hospice Foundation	1,000.00
03/12/2009 1860	Indian River Medical Center Foundation	5,000.00
03/12/2009 1861	Great Works Regional Land Trust	250.00
03/12/2009 1863	City of Vero Beach, Recreation Department	1,000.00
03/12/2009 1864	Princeton University Friends of Track	250.00
03/16/2009 1865	Cancer Fund of America	200.00
03/16/2009 1866	Riverside Theatre	575.00
03/16/2009 1869	VNA & Hospice Foundation	270.00
03/16/2009 1870	Vero Beach Museum of Art	220.00
03/17/2009 1867	Veterans of Foreign Wars	200.00
03/20/2009 1868	Amnesty International	250.00
03/20/2009 1871	US Navy Veterans Association	200.00
03/20/2009 1872	The Heritage Foundation	250.00
03/24/2009 1873	Virginia Athletics Foundation	1,000.00
03/24/2009 1874	Operation Smile	500.00
03/31/2009 1875	Riverside Theatre	250.00
04/02/2009 1876	U S Squash	500.00
04/02/2009 1877	St George Church	500.00
04/02/2009 1879	Care	500.00
04/04/2009 1878	Treasure Coast Food Bank	250.00
04/04/2009 1880	Hospice of York	250.00

04/04/2009	1881	American Diabetes Association	500.00
04/04/2009	1882	American Foundation for the Blind	250 00
04/04/2009	1883	USGA	250 00
04/04/2009	1884	Indian River Medical Center Foundation	350.00
04/06/2009	1885	Treasure coast Opera Society	600 00
04/06/2009	1886	Childrens Chantable Foundation	100 00
04/06/2009	1887	Atlantic Classical Orchestra	250 00
04/08/2009	1888	Bach Choir	3,000 00
04/08/2009	1889	Habitat for Humanity	250.00
04/08/2009	1890	SADD	100.00
04/17/2009	1891	Childrens Wish Foundation International	100 00
04/17/2009	1892	Doctors Without Borders	500.00
04/21/2009	1894	Miracle Flight for Kids	125.00
04/21/2009	1895	Senior Resource Association	250 00
04/21/2009	1896	Project Hope	500 00
04/21/2009	1897	Florida EMT & Paramedics	50.00
04/21/2009	1898	Winterthur	150.00
04/21/2009	1899	Special Olympics Maine	100.00
04/21/2009	1900	Special Olympics Florida	100.00
04/21/2009	1901	Platt Caddie Scholarship	200.00
04/25/2009	1902	American Breast Cancer Foundation	100.00
04/27/2009	1904	Childhood Leukemia Foundation	35 00
04/28/2009	1903	RFB&D	300.00
04/29/2009	1893	Rise for Baby and Family	1,000.00
05/05/2009	1905	Indian river Land Trust	250 00
05/05/2009	1906	Robert T. Jones Memorial Scholarship Fund	200 00
05/05/2009	1907	International Rescue Committee	300 00
05/05/2009	1908	Paralyzed Veterans of America	250.00
05/07/2009	1909	Beta Theta Pi Foundation	400.00
05/07/2009	1910	Mental Health Association of NYC	500.00
05/07/2009	1911	The Ethel Walker School	1,000.00
05/07/2009	1912	The Ethel Walker School	5,000 00
05/12/2009	1913	Princeton University	3,600.00
05/13/2009	1914	VNA & Hospice Foundation	270.00
05/13/2009	1915	Mercy Home for Boys & Girls	250 00
05/13/2009	1916	Feeding America	500 00
05/15/2009	1917	Boys Town	200.00
05/15/2009	1918	Treasure coast Opera Society	600.00

06/11/2009	1922	Gifford Youth Activity Center	250.00
07/02/2009	1923	Museums of Old York	250.00
07/02/2009	1924	Womens Committee of York Hospital	550.00
07/03/2009	1925	United Way of York County	5,000.00
07/03/2009	1926	Kids Wish Network	100.00
07/03/2009	1927	Breast Cancer Relief Foundation	100.00
07/03/2009	1928	Portland Museum of Art	250.00
07/03/2009	1929	Doctors Without Borders	500.00
07/03/2009	1930	Smithsonian Contributing Membership	250.00
07/03/2009	1931	Covenant House	250.00
07/03/2009	1932	American Heart Association	500.00
07/03/2009	1933	Trinity Church York Harbor	5,000.00
07/03/2009	1934	Project Hope	500.00
07/06/2009	1935	Indian River Symphonic Association	1,000.00
07/13/2009	1937	Sunshine Camp	250.00
07/15/2009	1938	Friends Academy	2,000.00
07/15/2009	1939	Womens Committee of York Hospital	550.00
07/15/2009	1940	St John's Church	150.00
07/17/2009	1941	York Volunteer Fire Department	500.00
07/17/2009	1942	Old York Historical Society	150.00
07/17/2009	1944	Old York Historical Society	75.00
07/17/2009	1945	US Olympic Committee	75.00
07/20/2009	1943	Profile Society	250.00
07/20/2009	1946	Association for Firefighters & Paramedics	100.00
07/24/2009	1948	WGBH	200.00
07/24/2009	1947	Volunteer Veterans Firemen's Association	310.00
07/27/2009	1949	Breast Cancer Relief Foundation	100.00
08/05/2009	1950	Children's Cancer Leukemia Relief Fund	100.00
08/08/2009	1951	York Hospital	200.00
08/14/2009	1952	Vero Beach Museum of Art	685.00
08/21/2009	1953	National Wildlife Foundation	100.00
08/21/2009	1954	Vero Beach Museum of Art	1,500.00
08/21/2009	1955	World Villages for Children	250.00
08/21/2009	1956	Paralyzed Veterans of America	250.00
08/21/2009	1957	Habitat for Humanity	500.00
08/21/2009	1958	Special Olympics Florida	100.00
08/21/2009	1959	Northshore Animal League of America	250.00
08/21/2009	1960	Recording for the Blind & Dyslexic	250.00

08/21/2009	1961	March of Dimes	250.00
08/21/2009	1962	Special Olympics Maine	100 00
08/21/2009	1964	Shnners Hospital for Children	500.00
08/21/2009	1965	Smile Train	500.00
08/21/2009	1963	Technoserve	250 00
08/21/2009	1966	St. Paul's School	5,000.00
08/22/2009	1967	St Jude Children's Research Hospital	500 00
08/22/2009	1968	Hibiscus Childrens Center	500.00
08/24/2009	1969	Covenant House	250.00
08/24/2009	1970	USO	500 00
08/24/2009	1971	FINCA	200.00
08/24/2009	1972	ASPCA	300 00
08/24/2009	1973	Feeding Amerca	500 00
08/24/2009	1974	The Salvation Army	500.00
08/24/2009	1975	The Music Hall	250 00
08/24/2009	1976	us Fund for UNICEF	250.00
08/24/2009	1977	Guiding Eyes for the Blind	500 00
08/24/2009	1978	Memorial Sloan Kettering Cancer Center	250.00
08/24/2009	1979	Epiphany School	2,500.00
08/24/2009	1980	Trinity Church York Habor	1,000.00
08/24/2009	1981	Alzheimers Disease Research	250.00
08/24/2009	1982	American Cancer Society	1,000 00
08/24/2009	1984	Disabled American Veterans	250 00
08/24/2009	1985	Cystic Fibrosis Foundation	250.00
08/24/2009	1986	The Lighthouse International	250 00
08/24/2009	1987	Humane Society of Vero Beach	250 00
08/24/2009	1988	The Gundalow Company	250 00
08/24/2009	1989	National Trust for Historic Preservation	100.00
08/24/2009	1990	The Eye Bank for Sight Restoration	200.00
08/24/2009	1983	Warner House Association	100.00
08/26/2009	1991	Galapagos Conservancy	200 00
08/26/2009	1992	Parkinson's Disease Foundation	250.00
08/26/2009	1993	Shiloh Youth Ranch	250 00
08/26/2009	1994	Care	500 00
08/26/2009	1995	Orbis International	250 00
08/26/2009	1996	Tuxedo Park School	10,000.00
08/26/2009	1997	Museums of Old York	2,000 00
09/01/2009	1402	Volunteers of America, Greater New York	250 00

09/01/2009	1404	The Fresh Air Fund	250.00
09/01/2009	1401	Guide Dog Foundation for the Blind	500 00
09/01/2009	1403	Vero Beach Museum of Art	500.00
09/01/2009	1405	NAMOS	250.00
09/01/2009	1999	The Source	250.00
09/01/2009	2000	The Arthritis Foundation	250 00
09/04/2009	1407	M.A.D.D	150 00
09/05/2009	1408	Vero Beach Opera Inc.	250.00
09/10/2009	1409	Agamenticus Yacht Club	1,000.00
09/14/2009	1410	Community Medical Clinic of Kersh County	2,500.00
09/15/2009	1411	American Parkinson Disease Association	200.00
09/17/2009	1412	St. John's Church Hunger Outreach	100 00
09/21/2009	1413	Competitive Enterprise Institution	250 00
09/21/2009	1414	Class of 1936 Princeton University	200.00
10/05/2009	1415	Women 2 Women Breast Cancer Foundation	100 00
10/06/2009	1416	Alumni Association UVA Fund	250.00
10/06/2009	1417	The Virginia Castle Foundation	200.00
10/07/2009	1418	The Source	200.00
10/07/2009	1419	Firefighters Support Foundation	100.00
10/07/2009	1420	Great Works Regional Land Trust	250 00
10/07/2009	1421	Volunteers of America, Greater New York	1,000.00
10/10/2009	1422	City of Vero Beach, Recreation Department	500.00
10/26/2009	1423	indian river Land Trust	250.00
10/26/2009	1424	Rector & Visitors, University of Virginia	500 00
10/26/2009	1425	St John's Church, Cold Spring Harbor, NY	200.00
10/26/2009	1426	St. George's Church, York Joint Donation	1,000.00
11/03/2009	1427	Vero Beach Museum of Art	1,020.00
11/04/2009	1428	VNA & Hospice Foundation	270 00
11/04/2009	1429	Ogunquit Playhouse	500 00
11/05/2009	1430	Amvets Dept. of Florida	100.00
11/09/2009	1432	Torrey Pines Christian Church	10,000.00
11/09/2009	1433	Shriva Circus Fund	110.00
11/11/2009	1434	Princeton Club of Vero Beach	230.00
11/11/2009	1435	Women 2 Women Breast Cancer Foundation	100.00
11/11/2009	1436	Cancer Fund of America	35 00
11/11/2009	1437	American Heart Association	500 00
11/11/2009	1438	VNA & Hospice Foundation	500 00
11/11/2009	1439	Make -a-Wish Foundation of Southern FI	100.00

11/11/2009 1441	American Red Cross	500 00
11/11/2009 1442	Miami Medical Center	250 00
11/11/2009 1440	Princeton in Asia	200.00
11/12/2009 1443	Foundation for American Veterans	100.00
11/12/2009 1445	Cold Spring Harbor Laboratory	250 00
11/12/2009 1446	Vero Beach Museum of Art	375 00
11/12/2009 1447	AAST FL	100.00
11/13/2009 1444	The Breast Cancer Society	100 00
11/14/2009 1448	The Salvation Army	500 00
11/14/2009 1449	Miracle Flight for Kids	125 00
11/14/2009 1450	Lighthouse International	250.00
11/19/2009 1451	Friends of Harbor Branch	100 00
11/19/2009 1452	Epiphany School	2,500 00
11/19/2009 1453	York Hospital	15,000.00
11/19/2009 1454	American Breast Cancer Foundation	100 00
11/19/2009 1455	Indian River Medical Center Foundation	1,100.00
11/19/2009 1456	Atlantic Classical Orchestra	144.00
11/20/2009 1457	WPBT 2	100.00
11/24/2009 1460	Florida EMT & Paramedics	100 00
11/24/2009 1461	One West 54th Street Foundation	250 00
11/27/2009 1462	Gifford Youth Activity Center	500.00
11/27/2009 1463	Childhood Leukemia Foundation	50.00
11/27/2009 1464	Student Sponsor Partners	1,000 00
11/27/2009 1465	Dollars for Scholars of IRC	250 00
12/01/2009 1466	FPAL	50 00
12/01/2009 1467	Loomis Chaffee School Annual Fund	350.00
12/05/2009 1468	York Public Library Association	200.00
12/14/2009 1470	Scripps Clinic Research Center	2,500 00
12/14/2009 1469	Friends Academy	2,500 00
12/15/2009 1471	Florida Sheriffs Youth Ranches	250 00
12/15/2009 1472	DEHM	250.00
12/15/2009 1473	Camp Sunshine	200 00
12/15/2009 1474	Orbis International	250 00
12/15/2009 1475	Childrens's Cancer Fund of America	100.00
12/17/2009 1476	American Kidney Fund	500 00
12/17/2009 1478	Blinded Veteran's Association	250.00
12/17/2009 1477	ASPCA	250.00
12/21/2009 1479	Boys & Girls Club of IRC	250 00

12/21/2009	1480	New York University School of Law	1,000.00
12/21/2009	1481	Mercy Home for Boys & Girls	250.00
12/21/2009	1482	The Door	250.00
12/21/2009	1483	Good Shepard Food Branch	250.00
12/21/2009	1484	Mental Health Association of IRC	250.00
12/21/2009	1485	Berkshire Farm Center	250.00
12/21/2009	1486	The Seeing Eye Inc.	250.00
12/21/2009	1487	Care	500.00
12/21/2009	1488	Cumberland College	200.00
12/21/2009	1489	Abilities	200.00
12/21/2009	1491	Mckee Botanical Garden	250.00
12/21/2009	1492	American Foundation for the Blind	250.00
12/21/2009	1493	Florida Police Troopers Association	25.00
12/21/2009	1494	John's Island Foundation	5,000.00
12/21/2009	1495	United Way of Indian River County	5,000.00
12/21/2009	1496	Beta Theta Pi Foundation	250.00
12/21/2009	1497	Vero Beach Museum of Art	230.00
12/21/2009	1490	Amnesty International	250.00
12/22/2009	1498	Police Protective Fund	50.00
12/26/2009	1499	WPBT 2	100.00
12/30/2009	1501	The Nature Conservancy	2,500.00
12/30/2009	1502	Amvets Dept. of Florida	100.00
12/30/2009	1503	Children's Home Society of Florida	250.00
12/30/2009	1504	U S Squash	500.00
12/30/2009	1505	Technoserve	250.00
Total Contributions-Charity			<u>204,094.00</u>

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2009

Name of estate or trust

THE RICHARD FOUNDATION

Employer identification number

13-6083721

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back	5	

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-133,413.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	1,280.
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back	12	-132,133.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

Part III Summary of Parts I and II		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13 Net short-term gain or (loss)	13			
14 Net long-term gain or (loss):				
a Total for year	14a			-132,133.
b Unrecaptured section 1250 gain (see line 18 of the wrksh.)	14b			
c 28% rate gain	14c			
15 Total net gain or (loss). Combine lines 13 and 14a	15			-132,133.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation	
16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	16
a The loss on line 15, column (3) or b \$3,000	(3,000)

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates	
Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.	
Caution: Skip this part and complete the worksheet on page 8 of the instructions if:	
• Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or	
• Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero	
Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.	
17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero	18
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19
20 Add lines 18 and 19	20
21 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0-	21
22 Subtract line 21 from line 20. If zero or less, enter -0-	22
23 Subtract line 22 from line 17. If zero or less, enter -0-	23
24 Enter the smaller of the amount on line 17 or \$2,300	24
25 Is the amount on line 23 equal to or more than the amount on line 24?	25
<input type="checkbox"/> Yes. Skip lines 25 and 26; go to line 27 and check the "No" box.	
<input type="checkbox"/> No. Enter the amount from line 23	
26 Subtract line 25 from line 24	26
27 Are the amounts on lines 22 and 26 the same?	27
<input type="checkbox"/> Yes. Skip lines 27 thru 30 go to line 31	
<input type="checkbox"/> No. Enter the smaller of line 17 or line 22	
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28
29 Subtract line 28 from line 27	29
30 Multiply line 29 by 15% (15)	30
31 Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	31
32 Add lines 30 and 31	32
33 Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	33
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36).	34

Schedule D (Form 1041) 2009

Employer identification number

13-6083721

[illegible]

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b	-133,413.
---	-----------

Schedule D-1 (Form 1041) 2009

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Type or print File by the due date for filing your return. See instructions.	Name of Exempt Organization THE RICHARD FOUNDATION	Employer identification number 13-6083721
	Number, street, and room or suite no. If a P.O. box, see instructions 181 OLEANDER WAY	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions VERO BEACH, FL 32963	

Check type of return to be filed (file a separate application for each return)

<input type="checkbox"/> Form 990	<input type="checkbox"/> Form 990-T (corporation)	<input type="checkbox"/> Form 4720
<input type="checkbox"/> Form 990-BL	<input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust)	<input type="checkbox"/> Form 5227
<input type="checkbox"/> Form 990-EZ	<input type="checkbox"/> Form 990-T (trust other than above)	<input type="checkbox"/> Form 6069
<input checked="" type="checkbox"/> Form 990-PF	<input type="checkbox"/> Form 1041-A	<input type="checkbox"/> Form 8870

- The books are in the care of ▶ **MR. HAROLD V. RICHARD**

Telephone No. ▶ **772 231-3471**

FAX No. ▶ _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2010, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ▶ ☒ calendar year 2009 or
▶ ☐ tax year beginning _____, _____, and ending _____, _____

2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	800.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	1,405.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)