Form **990-PF**

Department of the Treasury
Internal Revenue Service (77)

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: ROY R AND MARIE S NEUBERGER FOUNDATION INC.

Number and street (or P O. box number if mail is not delivered to street address): 605 THIRD AVENUE — Room/suite: 41ST FLR.

City or town, state, and ZIP code: NEW YORK NY 10158-0180

A Employer identification number: 13-6066102

B Telephone number (see page 10 of the instructions): (212) 476-5866

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 13,027,577

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	2,560	2,560		
	4	Dividends and interest from securities 1	189,401	189,401		
	5 a	Gross rents		0		
	b	Net rental income or (loss) 0				
	6 a	Net gain or (loss) from sale of assets not on line 10	-753,484			
	b	Gross sales price for all assets on line 6a 8,227,631				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10 a	Gross sales less returns and allowances 0				
	b	Less. Cost of goods sold 0				
	c	Gross profit or (loss) (attach schedule)	0			
	11	Other income (attach schedule)	0	0	0	
	12	**Total.** Add lines 1 through 11	-561,523	191,961	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16 a	Legal fees (attach schedule)	11,750	0	0	11,750
	b	Accounting fees (attach schedule)	10,000	0	0	10,000
	c	Other professional fees (attach schedule)	152,227	99,227	0	53,000
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)	1,670	1,670	0	0
	19	Depreciation (attach schedule) and depletion	0	0	0	
	20	Occupancy				
	21	Travel, conferences, and meetings	179			179
	22	Printing and publications				
	23	Other expenses (attach schedule)	750	0	0	750
	24	**Total operating and administrative expenses.** Add lines 13 through 23	176,576	100,897	0	75,679
	25	Contributions, gifts, grants paid	844,267			844,267
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,020,843	100,897	0	919,946
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-1,582,366			
	b	**Net investment income** (if negative, enter -0-)		91,064		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	38,814	51,101	51,101
	2	Savings and temporary cash investments	1,452,759	1,561,188	1,561,188
	3	Accounts receivable ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	4	Pledges receivable ▶ 0			
		Less. allowance for doubtful accounts ▶ 0	0	0	0
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	0	0	0
	7	Other notes and loans receivable (attach schedule) ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments—U S and state government obligations (attach schedule)	0	501,612	501,899
	b	Investments—corporate stock (attach schedule)	11,774,272	7,219,800	8,512,116
	c	Investments—corporate bonds (attach schedule)	0	2,349,778	2,401,273
	11	Investments—land, buildings, and equipment basis ▶ 0			
		Less accumulated depreciation (attach schedule) ▶ 0	0	0	0
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	0	0	0
	14	Land, buildings, and equipment: basis ▶ 0			
		Less accumulated depreciation (attach schedule) ▶ 0	0	0	0
	15	Other assets (describe ▶)	0	0	0
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	13,265,845	11,683,479	13,027,577
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21	Mortgages and other notes payable (attach schedule)	0	0	
	22	Other liabilities (describe ▶)	0	0	
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	13,265,845	11,683,479	
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	13,265,845	11,683,479	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	13,265,845	11,683,479	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,265,845
2	Enter amount from Part I, line 27a	2	-1,582,366
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	11,683,479
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	11,683,479

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	8,227,631	0	8,981,115	-753,484
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a	0	0	0	-753,484
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 -753,484

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 . . . 3 0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	971,874	15,007,364	0.064760
2007	825,387	18,323,521	0.045045
2006	792,493	17,286,826	0.045844
2005	761,066	16,184,831	0.047023
2004	613,748	15,035,919	0.040819

2	Total of line 1, column (d)	2	0.243491
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.048698
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	12,201,965
5	Multiply line 4 by line 3	5	594,211
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	911
7	Add lines 5 and 6	7	595,122
8	Enter qualifying distributions from Part XII, line 4	8	919,946

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter: ________ (attach copy of letter if necessary—see instructions) b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		1	911
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3 Add lines 1 and 2		3	911
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	911
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 23,042		
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 0		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	23,042
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	0
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	0
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	22,131
11 Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 22,131 **Refunded** ▶		11	0

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ GLORIA SILVERMAN Telephone no. ▶ (212) 476-5866

Located at ▶ 605 THIRD AVE NY NY ZIP+4 ▶ 10158-3658

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20_____ , 20_____ , 20_____ , 20_____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions.)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20_____ , 20_____ , 20_____ , 20_____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROY R NEUBERGER 605 THIRD AVE NY NY 10158	PRESIDENT 1.00	0	0	0
ANN N ACEVES SAME	VICE-PRES 2.00	0	0	0
JAMES A NEUBERGER SAME	VICE-PRES 2.00	0	0	0
ROY S NEUBERGER SAME	VICE-PRES 2 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0

Total number of others receiving over $50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 CONTRIBUTIONS TO ORGANIZATIONS WHICH HAVE ESTABLISHED AN EXEMPT STATUS AND ARE DEDUCTIBLE UNDER SECTION 170 OF THE IRS CODE. NUMBER OF CONTRIBUTIONS PAID OUT 129.	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	0
Total. Add lines 1 through 3 ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	11,139,603
b	Average of monthly cash balances		1b	1,248,179
c	Fair market value of all other assets (see page 24 of the instructions)		1c	
d	**Total** (add lines 1a, b, and c)		1d	12,387,782
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	Subtract line 2 from line 1d		3	12,387,782
4	Cash deemed held for charitable activities. Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)		4	185,817
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	12,201,965
6	**Minimum investment return.** Enter 5% of line 5		6	610,098

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	610,098
2a	Tax on investment income for 2009 from Part VI, line 5	2a	911		
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	911
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	609,187
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	609,187
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	609,187

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	919,946
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	919,946
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	911
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	919,035

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				609,187
2	Undistributed income, if any, as of the end of 2009:				
a	Enter amount for 2008 only			0	
b	Total for prior years: 20____, 20____, 20___		0		
3	Excess distributions carryover, if any, to 2009:				
a	From 2004 — 610,221				
b	From 2005 — 761,066				
c	From 2006 — 792,493				
d	From 2007 — 825,387				
e	From 2008 — 972,223				
f	**Total** of lines 3a through e	3,961,390			
4	Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 919,946				
a	Applied to 2008, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
* c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)	919,946			
d	Applied to 2009 distributable amount				
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a))*	609,187			609,187
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,272,149			
b	Prior years' undistributed income Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions		0		
e	Undistributed income for 2008. Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f	Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	1,034			
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	4,271,115			
10	Analysis of line 9				
a	Excess from 2005 — 761,066				
b	Excess from 2006 — 792,493				
c	Excess from 2007 — 825,387				
d	Excess from 2008 — 972,223				
e	Excess from 2009 — 919,946				

* Election is made to treat 2009 qualifying distribution as a distribution of corpus.

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2009	Prior 3 years (b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0	0	0	0	0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed	0	0	0	0	0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0	0	0	0	0
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

ROY R NEUBERGER ANN N ACEVES JAMES A NEUBERGER ROY S NEUBERGER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

GLORIA SILVERMAN 605 THIRD AVE NY NY 10158 (212) 476-5866

b The form in which applications should be submitted and information and materials they should include:

LETTER STATING NEED AND VERIFICATION OF ORGANIZATION'S IRS STATUS

c Any submission deadlines.

ANNUAL

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NO INDIVIDUAL GRANTS. FINE ARTS--HIGHER EDUCATION--CULTURAL PROGRAMS

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PER SCHEDULE	NO INDIVIDUALS	EXEMPT	ANNUAL SUPPORT	844,267
Total . . . . . . ▶ 3a				844,267
b *Approved for future payment*				
Total . . . . . . ▶ 3b				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue.					
a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
f		0		0	0
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	2,560	
4 Dividends and interest from securities			14	189,401	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-753,484	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
12 Subtotal. Add columns (b), (d), and (e)		0		-561,523	0

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 -561,523

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions.)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | Date 11/8/2010 | Title PRESIDENT

Paid Preparer's Use Only

Preparer's signature | Date 11/8/2010 | Check if self-employed ☒ | Preparer's identifying number (see **Signature** on page 30 of the instructions)

Firm's name (or yours if self-employed), address, and ZIP code: JOSEPH P BOYLE, PO BOX 40 BERKELEY HGTS. NJ 07922 | EIN [redacted] | Phone no. (908) 771-0608

Line 16a (990-PF) - Legal Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		11,750	0	0	11,750
1	JAMES KAUFMAN	11,750			11,750

Line 16b (990-PF) - Accounting Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		10,000	0	0	10,000
1	JPBOYLE	10,000			10,000

Line 16c (990-PF) - Other Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		152,227	99,227	0	53,000
1	INVESTMENT ADVISORY	99,227	99,227		
2	GLORIA SILVERMAN	53,000			53,000
3					
4					
5					
6					
7					
8					
9					
10					

Part I Line 16a
16b
16c

Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		1,670	1,670	0	0
1	Real estate tax not included in line 20				
2	Tax on investment income				
3	Income tax				
4	FOREIGN TAXES	1,484	1,484		
5	ADR FEES	186	186		
6					
7					
8					
9					
10					

Part I Line 18

Line 23 (990-PF) - Other Expenses

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		750	0	0	750
1	Amortization. See attached statement	0	0	0	0
2	Fund Raising				
3	NYS DEPT OF LAW FILING FEE	750			750
4					
5					
6					
7					
8					
9					
10					

Part I Line 23

Roy R. and Marie S. Neuberger Foundation, Inc.

Contributions - January 1, 2009 thru December31, 2009

alphabetical

		Description	Amount
G	4	Action Against Hunger	$1,000 00
C	11	Actors Fund	$2,500 00
A		American Federation of Arts	$3,000 00
R	3	American Friends Of Shvut Ami	$2,000 00
A		American Museum of Natural History	$800 00
G		American Red Cross	$1,000 00
G	4	Amnesty International	$500 00
A	4	Arts Westchester	$500 00
A	4	Asia Society	$1,500 00
R	3	Association Of Chevros Kadisha Inc	$2,000 00
R	3	Bais Medrash Govoha	$10,000 00
E	4	Bank Street College	$2,000 00
R	3	Bnos Bais Yaakov	$2,000 00
ENV	4	Broadway Malls Association	$1,000 00
A		Brooklyn Museum	$600 00
E	4	Brown Annual Fund	$1,000 00
C		Career Transition For Dancers	$1,000 00
E	4	Center For Social and Emotional Education	$500 00
A	2	Central Asia Institute	$1,000 00
ENV		Central Park Conservancy	$5,000 00
C	4	Chamber Music Conference	$1,000 00
C		Channel 13	$25,000 00
C	4	Channel 13	$1,000 00
M	8	Columbia University - Department Of Opthalmology	$10,000 00
R	3	Congregation Chanichei Hayeshivos	$2,000 00
R	3	Congregation Nesiv Ha Torah	$2,000 00
R	3	Congregation Tiferes Tzvi	$2,000 00
G	4	Copland House	$1,000 00
G	4	Council For a Livable World	$500 00
M	4	Crohn's & Colitis	$500 00
M	4	Cystic Fibrosis Foundation	$1,000 00
M		Damon Runyon Cancer Research Fund	$3,850 00
E		DeWitt Clinton Alumni Association	$1,000 00
G	2	Empty Stocking Fund	$3,000 00
ENV	4	Environmental Defense Fund	$500 00
G	2	Esperanza Shelter	$2,000 00
E	2	Ethical Culture - Fieldston School	$500 00
E	4	Ethical Culture - Fieldston School	$500 00
G		Ethical Culture Society	$5,000 00
G	2	Fanfare	$5,000 00
G	2	Food Depot	$1,000 00

Part I Line 25
P. 1 of 3

E	7	Fordham University	$10,000 00
G	4	Fortune Society	$500 00
A		Frick Collection	$600 00
R	3	Friends of Ahavat Shalom	$10,000 00
C		Friends of Carnegie Hall	$600 00
N		Friends of the Neuberger Museum	$145,000 00
N	4	Friends of the Neuberger Museum	$96,080 00
N	2	Friends of the Neuberger Museum	$500 00
G	5	Grand Street Settlement	$5,000 00
A		Guggenheim Museum	$500 00
G		Henry Street Settlement	$600 00
R	3	Hineni	$20,000 00
G	4	ICI	$500 00
G	4	Innocence Project	$500 00
A		International Center of Photography	$650 00
G	6	Interplast	$10,000 00
R	3	Jewish Awareness America	$3,000 00
A	4	Jewish Museum	$500 00
A		Jewish Museum	$500 00
R	3	Kollel Zichron Yosef	$10,000 00
M	4	Lenox Hill Hospital	$500 00
C	2	Lensic Performing Arts Center	$1,000 00
C		Lincoln Center for Performing Arts	$2,000 00
R	3	Machon Academy	$6,000 00
R	3	Machon Ahavat Emet	$1,000 00
C	4	Merce Cunningham Dance Foundatiion	$500 00
R	3	MESIVTA of Long Beach	$10,000 00
A		Metropolitan Museum of Art	$10,500 00
C		Morgan Library	$2,000 00
E	2	Mount Holyoke College	$1,837 00
A		Mt Holyoke Art Museum	$1,000 00
A	12	Museum Of Art & Design	$1,000 00
A		Museum of Modern Art	$5,300 00
A	2	Museum Of New Mexico Foundation	$500 00
A		Museum of the City of New York	$500 00
A		National Academy	$1,000 00
C	4	National Dance Institute	$42,000 00
C	2	National Dance Institute - New Mexico	$3,000 00
A		National Design Museum	$500 00
ENV		Nature Conservancy	$1,000 00
M	9	Neuro Opthalmic Research Fund	$5,000 00
A	2	New Mexico Museum Foundation	$500 00
A	4	New Museum	$2,500 00
ENV		New York Botanical Garden	$600 00
C		New York City Ballet	$1,000 00
C	4	New York City Center	$3,000 00
ENV		New York Landmarks Conservancy	$1,000 00
M	16	New York Presbyterian Hospital Cornell University Weill Medical	$10,000 00
C		New York Public Library	$1,250 00
G		New York Times Neediest Cases	$5,000 00
R	15	Park Avenue Synagogue	$5,000 00
G	4	Planned Parenthood Federation of America	$500 00

Part 1 Line 25
p. 2 of 3

G		Planned Parenthood of New York	$1,000 00
G	4	Pro Mujer	$500 00
R	3	Rabbi Dr Joseph Breuer Foundation	$20,000 00
G	12	Renaissance Project	$1,000 00
M	14	Riverdale Mental Health Association	$1,000 00
G	2	Santa Fe Farmers' Market Institute	$500 00
E	2	Santa Fe Girls School	$3,000 00
A	2	Santa Fe International Folk Art Market	$3,000 00
C	2	Santa Fe Symphony	$95,500 00
G	2	Santa Fe Teen Arts Center/Warehouse 21	$5,000 00
ENV		Scenic Hudson, Inc	$1,000 00
G	4	Seeger-Bartlett Foundation	$500 00
A		Smithsonian Institution	$750 00
A		Storm King Art Center	$5,000 00
C	4	Symphony Space	$500 00
G	4	The Doe Fund, Inc	$500 00
G	4	The Door	$500 00
G	2	Think New Mexico	$5,000 00
R	3	Torah Academy For Girls	$2,500 00
R	3	Torah Umesora	$25,000 00
G		UJA/Federation	$50,000 00
R	2	Unitarian Universalist Congregation of Santa Fe	$18,750 00
G	2	UUCSF	$12,500 00
A		Whitney Museum Of Art	$1,000 00
ENV		Wildlife Conservation Society	$1,500 00
G	4	WNYC	$1,500 00
G		Women's City Club Of New York	$1,000 00
R	3	Yeshiva Ateres Yisroel	$11,000 00
R	3	Yeshiva Darchei Torah	$2,500 00
R	3	Yeshiva Far Rockway	$1,500 00
R	3	Yeshiva Knesses Ha Torah	$5,000 00
R	3	Yeshiva Knesses Yehuda	$3,000 00
R	3	Yeshiva of Far Rockaway	$1,000 00
R	3	Yeshiva Sh'or Yoshuv	$10,000 00
C	2	York Theatre Company	$1,000 00
R	3	Zichron Menachem	$2,500 00
			$844,267.00

Part I Line 25
p. 3 of 3

Part II, Line 10a (990-PF) - Investments - U.S. and State Government Obligations

	Description	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year	Check "X" if Federal Obligation	Check "X" if State/ Local Obligation
		0	501,612	0	501,899		
1	NEUBERGER BERMAN A/C	0	300,885	0	301,430		X
2	NEUBERGER BERMAN A/C	0	200,727	0	200,469	X	
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							

Part II Line 10a

Part II, Line 10b (990-PF) - Investments - Corporate Stock

			11,774,272	7,219,800	10,265,745	8,512,116
	Description	Num. Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
1	NEUBERGER BERMAN A/C		11,774,272	7,219,800	10,265,745	8,512,116
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						

Part II Line 10b

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

	Description	Interest Rate	Maturity Date	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
				0	2,349,778	0	2,401,273
1	NEUBERGER BERMAN A/C			0	2,349,778	0	2,401,273
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							

Part II Line 10c

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	0
Short Term CG Distributions	0

	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
					Totals	8,227,631	0	8,981,115	-753,484	0	0	0	-753,484
1	PUBLICLY TRADED SECURITIES		P	VARIOUS	VARIOUS	8,227,631	0	8,981,115	-753,484			0	-753,484
2						0	0	0	0			0	0
3						0	0	0	0			0	0
4						0	0	0	0			0	0
5						0	0	0	0			0	0
6						0	0	0	0			0	0
7						0	0	0	0			0	0
8						0	0	0	0			0	0
9						0	0	0	0			0	0
10						0	0	0	0			0	0

Part IV

Roy R. and Marie S. Neuberger Foundation, Inc.

Contributions - January 1, 2009 thru December31, 2009

alphabetical		Description	Amount
G	4	Action Against Hunger	$1,000.00
C	11	Actors Fund	$2,500 00
A		American Federation of Arts	$3,000.00
R	3	American Friends Of Shvut Ami	$2,000.00
A		American Museum of Natural History	$800.00
G		American Red Cross	$1,000.00
G	4	Amnesty International	$500.00
A	4	Arts Westchester	$500.00
A	4	Asia Society	$1,500.00
R	3	Association Of Chevros Kadisha Inc.	$2,000 00
R	3	Bais Medrash Govoha	$10,000.00
E	4	Bank Street College	$2,000.00
R	3	Bnos Bais Yaakov	$2,000.00
ENV	4	Broadway Malls Association	$1,000.00
A		Brooklyn Museum	$600.00
E	4	Brown Annual Fund	$1,000.00
C		Career Transition For Dancers	$1,000.00
E	4	Center For Social and Emotional Education	$500 00
A	2	Central Asia Institute	$1,000.00
ENV		Central Park Conservancy	$5,000.00
C	4	Chamber Music Conference	$1,000.00
C		Channel 13	$25,000.00
C	4	Channel 13	$1,000 00
M	8	Columbia University - Department Of Opthalmology	$10,000.00
R	3	Congregation Chanichei Hayeshivos	$2,000.00
R	3	Congregation Nesiv Ha Torah	$2,000.00
R	3	Congregation Tiferes Tzvi	$2,000.00
G	4	Copland House	$1,000 00
G	4	Council For a Livable World	$500.00
M	4	Crohn's & Colitis	$500.00
M	4	Cystic Fibrosis Foundation	$1,000.00
M		Damon Runyon Cancer Research Fund	$3,850.00
E		DeWitt Clinton Alumni Association	$1,000.00
G	2	Empty Stocking Fund	$3,000.00
ENV	4	Environmental Defense Fund	$500.00
G	2	Esperanza Shelter	$2,000.00
E	2	Ethical Culture - Fieldston School	$500.00
E	4	Ethical Culture - Fieldston School	$500.00
G		Ethical Culture Society	$5,000.00
G	2	Fanfare	$5,000.00
G	2	Food Depot	$1,000.00

Part XV Line 3 a

P 1 of 3

E	7	Fordham University	$10,000.00
G	4	Fortune Society	$500.00
A		Frick Collection	$600.00
R	3	Friends of Ahavat Shalom	$10,000 00
C		Friends of Carnegie Hall	$600.00
N		Friends of the Neuberger Museum	$145,000.00
N	4	Friends of the Neuberger Museum	$96,080.00
N	2	Friends of the Neuberger Museum	$500.00
G	5	Grand Street Settlement	$5,000.00
A		Guggenheim Museum	$500.00
G		Henry Street Settlement	$600.00
R	3	Hineni	$20,000.00
G	4	ICI	$500.00
G	4	Innocence Project	$500.00
A		International Center of Photography	$650.00
G	6	Interplast	$10,000.00
R	3	Jewish Awareness America	$3,000.00
A	4	Jewish Museum	$500.00
A		Jewish Museum	$500.00
R	3	Kollel Zichron Yosef	$10,000.00
M	4	Lenox Hill Hospital	$500.00
C	2	Lensic Performing Arts Center	$1,000.00
C		Lincoln Center for Performing Arts	$2,000 00
R	3	Machon Academy	$6,000.00
R	3	Machon Ahavat Emet	$1,000.00
C	4	Merce Cunningham Dance Foundation	$500.00
R	3	MESIVTA of Long Beach	$10,000 00
A		Metropolitan Museum of Art	$10,500.00
C		Morgan Library	$2,000 00
E	2	Mount Holyoke College	$1,837.00
A		Mt. Holyoke Art Museum	$1,000.00
A	12	Museum Of Art & Design	$1,000.00
A		Museum of Modern Art	$5,300.00
A	2	Museum Of New Mexico Foundation	$500.00
A		Museum of the City of New York	$500.00
A		National Academy	$1,000.00
C	4	National Dance Institute	$42,000.00
C	2	National Dance Institute - New Mexico	$3,000.00
A		National Design Museum	$500.00
ENV		Nature Conservancy	$1,000.00
M	9	Neuro Opthalmic Research Fund	$5,000.00
A	2	New Mexico Museum Foundation	$500.00
A	4	New Museum	$2,500.00
ENV		New York Botanical Garden	$600.00
C		New York City Ballet	$1,000.00
C	4	New York City Center	$3,000.00
ENV		New York Landmarks Conservancy	$1,000.00
M	16	New York Presbyterian Hospital Cornell University Weill Medical	$10,000.00
C		New York Public Library	$1,250.00
G		New York Times Neediest Cases	$5,000.00
R	15	Park Avenue Synagogue	$5,000.00
G	4	Planned Parenthood Federation of America	$500.00

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G		Planned Parenthood of New York	$1,000.00
G	4	Pro Mujer	$500.00
R	3	Rabbi Dr. Joseph Breuer Foundation	$20,000.00
G	12	Renaissance Project	$1,000.00
M	14	Riverdale Mental Health Association	$1,000.00
G	2	Santa Fe Farmers' Market Institute	$500.00
E	2	Santa Fe Girls School	$3,000.00
A	2	Santa Fe International Folk Art Market	$3,000.00
C	2	Santa Fe Symphony	$95,500.00
G	2	Santa Fe Teen Arts Center/Warehouse 21	$5,000.00
ENV		Scenic Hudson, Inc	$1,000.00
G	4	Seeger-Bartlett Foundation	$500 00
A		Smithsonian Institution	$750.00
A		Storm King Art Center	$5,000.00
C	4	Symphony Space	$500 00
G	4	The Doe Fund, Inc.	$500.00
G	4	The Door	$500 00
G	2	Think New Mexico	$5,000 00
R	3	Torah Academy For Girls	$2,500.00
R	3	Torah Umesora	$25,000.00
G		UJA/Federation	$50,000.00
R	2	Unitarian Universalist Congregation of Santa Fe	$18,750.00
G	2	UUCSF	$12,500.00
A		Whitney Museum Of Art	$1,000.00
ENV		Wildlife Conservation Society	$1,500.00
G	4	WNYC	$1,500.00
G		Women's City Club Of New York	$1,000.00
R	3	Yeshiva Ateres Yisroel	$11,000.00
R	3	Yeshiva Darchei Torah	$2,500.00
R	3	Yeshiva Far Rockway	$1,500.00
R	3	Yeshiva Knesses Ha Torah	$5,000.00
R	3	Yeshiva Knesses Yehuda	$3,000 00
R	3	Yeshiva of Far Rockaway	$1,000.00
R	3	Yeshiva Sh'or Yoshuv	$10,000.00
C	2	York Theatre Company	$1,000.00
R	3	Zichron Menachem	$2,500.00
			$844,267.00

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